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| REQUEST FOR RECORDS DISPOSITION AUTHORITY (See instructions attached) | | FAVE BLANK (NARA use only) | |
| TO NATIONAL ARCHIVES and RECORDS ADMINISTRATION (NIR) WASHINGTON, DC 20408 | | JOB NUMBER NI-060-05-3 | |
| 1 FROM (agency or establishment) Department of Justice | | DATE RECEIVED 3/16/05 | |
| 2 MAJOR SUBDIVISION Criminal Division | | NOTIFICATION TO AGENCY | |
| 3 MINOR SUBDIVISION Office of Administration Intelligence Technology Management Information | | In accordance with the provisions of 44 U S C 3303a, the disposition request, including amendments, is approved except for items that may be marked "disposition not approved" or "withdrawn" in column 10 | |
| 4 NAME OF PERSON WITH WHOM TO CONFER F.W. [Signature] - [Signature] - 3-11-05 Florida Washington, Records Manager | 5 TELEPHONE 202-353-0409 | DATE 6/27/05 | ARCHIVIST OF THE UNITED STATES A. [Signature] |
| 6 AGENCY CERTIFICATION | | | |
| I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposed on the attached <u>2</u> page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified, and that written concurrence from the General Accounting Office, under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies, | | | |
| <input checked="" type="checkbox"/> is not required, <input type="checkbox"/> is attached, or <input type="checkbox"/> has been requested | | | |
| DATE 3-15-05 | SIGNATURE OF AGENCY REPRESENTATIVE <i>Bernard W Berglind</i> Bernard W Berglind | TITLE Records Officer | |
| 7 ITEM NO | 8 DESCRIPTION OF ITEM AND PROPOSED DISPOSITION | 9 GRS OR SUPERSEDED JOB CITATION | 10 ACTION TAKEN (NARA USE ONLY) |
| | AUTOMATED CASE TRACKING SYSTEM III (ACTS III) The case management system is a custom designed Oracle Application that tracks all cases and matters that are sole or shared litigation responsibility of all the litigating sections within the Criminal Division. It maintains detailed information on the status of the case, defendants, charges, sentences and appeals. In these cases, the DOJ represents the legal interests of the United States that are formally filed, or contemplated for filing, in court. The case management systems may also include timekeeping information to document the specific amount and kind of work activity devoted to individual cases and related legal and program tasks. The systems may also include information used to manage the internal assignment of cases to attorneys and staffs, to monitor the status of and work on those cases, to identify and analyze workload trends and issues, to devise its annual budgets and special budget requests, and to report to officials and entities within and outside the Department of Justice concerning the caseload, activities, performance, and needs. | | |
| <i>cc Agency DWME</i> | | | |

1 Inputs

- A Data entry documents used as case management input or source records designed and used solely to create, update, or modify the records in the case management system

Disposition TEMPORARY Destroy after data has been successfully captured, entered, and verified

- ~~B Case-related documents (examples include correspondence and court filings) used to create, update, or modify the records in the case management system~~

~~Disposition File with associated case file or other appropriate file Destroy or accession to NARA according to approved disposition authorities for the associated file~~

2 Masterfile

Examples of data elements include DJ number, case caption, parties, title, relief sought/granted, result, potential Government financial exposure, received date, type of service, and how served, U S Attorney service date, Government agency involved (primary and secondary), case type/litigation area, assigned attorney and reviewer and their roles, related DJ number and relationship, field office, country, other ID numbers, FBI number, crime type, referring entity, staff ID, assign date, end date, victims information, attorney information, defendants information, nature of crime, expert/consultant type, and criminal/no prosecution detail, alien number, case notes, assignment types, actions and calendar dates, litigation stage (pre-trial/trial, appellate memo, court of appeals, certiorari memo, Supreme Court), stage start date, Government role/participation, branch and branch section assignment, handling (personally handled, jointly handled, monitored, delegated, never), court type, court district/circuit, court filing date, court docket number (primary and secondary), appellate assignment, reason for appeal, appeal recommendations, disposition and disposition date, and case closure date

Disposition PERMANENT Fiscal year end data is permanent At the end of each fiscal year move or copy closed cases offline Accession a copy of the data for closed or terminated cases to the National Archives and Records Administration when the data is at least 15 years old and no later than 30 years old in accordance with 36 CFR 1228 270

3 Public use version (when created)

Consists of a redacted copy of Item 2 Note NARA prefers to receive a redacted copy from the agency but a public use version is not required

Disposition PERMANENT Fiscal year end data is permanent At the end of each fiscal year move or copy closed cases offline Accession a copy of the data for closed or terminated cases to NARA when the data is at least 15 years old and no later than 30 years old in accordance with 36 CFR 1228 270

4 Outputs

Management, tracking, and Ad Hoc reports Reports include printed, on-line display, and posted internal web-based display reports containing detailed lists or summary statistical information concerning such things as new case receipts, pending cases, disposition or closure of cases, cases assigned to particular attorneys, reviewers, or components, cases by agency represented, cases by casetype, cases by dollar amounts at issue, cases by handling, cases by assignment type, cases by court, work time recorded for component, attorney, case casetype, period of time, or activity, and any combination of the above elements

Disposition TEMPORARY Destroy when 5 years old or when no longer needed for agency business, whichever is sooner

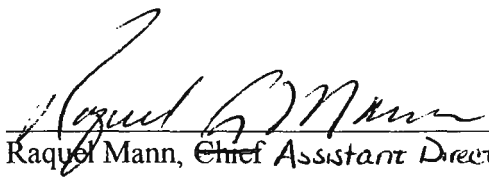
5 Documentation

System administrator documentation, user manuals, policy and procedures, entity relation diagrams (ERDs), record layouts, and codes

Disposition PERMANENT Accession a copy of the documentation to NARA with the masterfile copies Transfer updates and changes with subsequent transfer of the masterfiles

Concurrences

Program Official


 Raquel Mann, Chief Assistant Director
 Intelligence-Technology Management
 Office of Administration
 Criminal Division

3/10/05
 Date

~~Information~~