

National Archives and Records Administration  
REQUEST FOR DISPOSITION AUTHORITY

Records Schedule Number: DAA-0463-2022-0001

Status: APPROVED  
Date Approved: 01/22/2025

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## General Information

|  |   |
|--|---|
| Agency or Establishment  | Animal and Plant Health Inspection Service  |
| Record/Scheduling Group  | 0463 - Records of the Animal and Plant Health Inspection Service  |
| Records Schedule Applies To  | Agency Subdivision  |
| Major Subdivision  | Veterinary Services   |
| Schedule Subject   | Regionalization   |
| Additional Schedule Information                                    | <p>In order to determine whether animals and animal products can be safely imported to the United States from a particular foreign region or compartment, APHIS conducts a variety of assessments and reviews to assess risk and decide if additional import conditions are necessary. Examples of these activities include: evaluating the animal health statuses of foreign regions or compartments requesting to export to the United States; reviewing information supporting the World Organization for Animal Health (OIE) bovine spongiform encephalopathy (BSE) risk designations for foreign regions; and evaluating the ability of foreign regions to control foreign animal disease outbreaks via zoning. APHIS also reviews animal health statuses periodically and in response to animal disease outbreaks to determine whether current conditions support maintaining those statuses.</p> |
| Is There a Classified Version of This Schedule?                    | No  |
| Is consultation and coordination with Tribal Governments required? | No- The records covered by this schedule do not implicate Tribal interests  |

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## Item Count

Total number of disposition items: 4

Number of Temporary disposition items: 1

Number of Permanent disposition items: 3

Number of Items with Disposition Not Approved: 0

Number of Inactive disposition items: 0

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Outline of Records Schedule Items for DAA-0463-2022-0001

| <b>Item #</b> | <b>Title</b>  | <b>Disposition</b> |
|---------------|---|--------------------|
| 0001          | Imports to the U.S. - Import Risk Assessments, and Evaluations and Reviews of Animal Health Statuses, Compartments, and Zoning of Foreign Regions : Decision Documents and Supporting Reports (Fiscal Year 2018 and Later Projects) | Permanent          |
| 0002          | Imports to the U.S. - Import Risk Assessments, and Evaluations and Reviews of Animal Health Statuses, Compartments, and Zoning of Foreign Regions : Project Files (Fiscal Year 2018 and Later Projects)                             | Temporary          |
| 0003          | Imports to the U.S. - Import Risk Assessments, and Evaluations and Reviews of Animal Health Statuses, Compartments, and Zoning of Foreign Regions : Project Files (Pre-Fiscal Year 2018 Projects)                                   | Permanent          |
| 0004          | Imports to the U.S. - Import Risk Assessments, and Evaluations and Reviews of Animal Health Statuses, Compartments, and Zoning of Foreign Regions : Animal Health Status Lists  | Permanent          |

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Records Schedule Items

|   |  |
|---|--|
| <b>Group Title</b>  | Imports to the U.S. - Import Risk Assessments, and Evaluations and Reviews of Animal Health Statuses, Compartments, and Zoning of Foreign Regions  |
| DAA-0463-2022-0001-0001   | <b>STATUS: Active</b>  |
| <b>ITEM GENERAL INFORMATION</b>                                     |  |
| Item Title  | Decision Documents and Supporting Reports (Fiscal Year 2018 and Later Projects)  |
| Item Description  | This item covers records that document proposed and final decisions related to the activities described in this schedule's background information section, along with technical reports that justify those decisions. Examples of records include: final risk assessments, final animal health status evaluation report, final compartmentalization evaluation report, final status review reports, final zoning evaluations, site visit reports, regulatory documents associated with the activity (including proposed, interim, and final rules, notices of availability and determination, and technical and other amendments), and import bulletins. This item applies only to records of projects started in FY 2018 and later. |
| Is this item media neutral?   | Yes  |
| Is this item a Big Bucket?  | No   |
| <b>SUPERSEDED AGENCY DISPOSITION AUTHORITIES AND GRS DEVIATIONS</b> |  |
| Does this item supersede existing disposition authorities?          | No   |
| Is this item a deviation from the GRS?                              | No   |
| <b>DISPOSITION INSTRUCTION</b>                                      |  |
| Final Disposition   | Permanent  |
| Cutoff Instructions   | Other: Cutoff at the end of the fiscal year in which the project closes.   |
| Are there multiple instructions for this item?                      | No   |
| Transfer Instruction  | Transfer to the National Archives 5 year blocks 20 year(s) after cutoff of the most recent records   |
| <b>ADDITIONAL INFORMATION</b>                                       |  |
| Current Records Format  | Textual data:500 MB  |

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| Approximate first year of records covered by this authority               | 2017  |
| End year of records covered by this authority                             | Still being created   |
| Date span of the initial transfer   | From: --/--/---- To: --/--/----   |
| Frequency of transfer   | 5   |
| Are any of the records covered by this item subject to a FOIA exemption?  | No  |
| <b>DAA-0463-2022-0001-0002</b>  |   |
| <b>STATUS: Active</b>   |   |
| <b>ITEM GENERAL INFORMATION</b>   |   |
| Item Title  | Project Files (Fiscal Year 2018 and Later Projects)   |
| Item Description  | This item covers correspondence, information submissions from foreign regions, meeting minutes and agendas, field notes, draft documents, project tracking forms, and other supporting documentation created or compiled in the course of the activities described in this schedule's background information section. This item applies only to records of projects started in FY 2018 and later. |
| Is this item media neutral?   | Yes   |
| Is this item a Big Bucket?  | No  |
| <b>SUPERSEDED AGENCY DISPOSITION AUTHORITIES AND GRS DEVIATIONS</b>       |   |
| Does this item supersede existing disposition authorities?                | No  |
| Is this item a deviation from the GRS?                                    | No  |
| <b>DISPOSITION INSTRUCTION</b>  |   |
| Final Disposition   | Temporary   |
| Cutoff Instructions   | Other: Cutoff at the end of the fiscal year in which the project closes.  |
| Retention Period  | Destroy 20 year(s) after cutoff.  |
| <b>ADDITIONAL INFORMATION</b>   |   |
| Are any of the records covered by this item national security classified? |   |
| GAO Approval Required   | No  |
| <b>DAA-0463-2022-0001-0003</b>  |   |
| <b>STATUS: Active</b>   |   |
| <b>ITEM GENERAL INFORMATION</b>   |   |
| Item Title  | Project Files (Pre-Fiscal Year 2018 Projects)   |

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| Item Description   | This item covers records that document proposed and final decisions related to the activities described in this schedule's background information section, along with technical reports that justify those decisions. Examples of records include: final risk assessments, final animal health status evaluation report, final compartmentalization evaluation report, final status review reports, final zoning evaluations, site visit reports, regulatory documents associated with the activity (including proposed, interim, and final rules, notices of availability and determination, and technical and other amendments), and import bulletins. This item also covers correspondence, information submissions from foreign regions, meeting minutes and agendas, field notes, draft documents, project tracking forms, and other supporting documentation created or compiled in the course of the activities described in this schedule's background information section. |
| Is this item media neutral?  | Yes   |
| Is this item a Big Bucket?   | No  |
| <b>SUPERSEDED AGENCY DISPOSITION AUTHORITIES AND GRS DEVIATIONS</b>      |   |
| Does this item supersede existing disposition authorities?               | No  |
| Is this item a deviation from the GRS?                                   | No  |
| <b>DISPOSITION INSTRUCTION</b>   |   |
| Final Disposition  | Permanent   |
| Cutoff Instructions  | Other: Cutoff at the end of the fiscal year in which the project closes.  |
| Are there multiple instructions for this item?                           | No  |
| Transfer Instruction   | Transfer to the National Archives 5 year blocks 20 year(s) after cutoff of the most recent records  |
| <b>ADDITIONAL INFORMATION</b>  |   |
| Current Records Format   | Paper-based textual records:50 cubic feet ; Textual data:50 MB  |
| Approximate first year of records covered by this authority              | 1980  |
| End year of records covered by this authority                            | Year: 2017  |
| Frequency of transfer  | 5   |
| Are any of the records covered by this item subject to a FOIA exemption? | No  |
| <b>DAA-0463-2022-0001-0004</b>   | <b>STATUS: Active</b>   |

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| <b>ITEM GENERAL INFORMATION</b>  |   |
|--|---|
| Item Title   | Animal Health Status Lists  |
| Item Description   | This item covers Animal Health Status of Regions Lists and Temporary Restrictions Lists. The former is a list of APHIS-recognized animal health statuses of regions regarding specific animal diseases or pests, or acceptable commodities. The later is a list of regions with temporary restrictions on importation of some animals and animal products to the United States. |
| Is this item media neutral?  | Yes   |
| Is this item a Big Bucket?   | No  |
| <b>SUPERSEDED AGENCY DISPOSITION AUTHORITIES AND GRS DEVIATIONS</b>      |   |
| Does this item supersede existing disposition authorities?               | No  |
| Is this item a deviation from the GRS?                                   | No  |
| <b>DISPOSITION INSTRUCTION</b>   |   |
| Final Disposition  | Permanent   |
| Cutoff Instructions  | Other: Cutoff at the end of fiscal year in which the list is updated.   |
| Are there multiple instructions for this item?                           | No  |
| Transfer Instruction   | Transfer to the National Archives 5 year blocks 20 year(s) after cutoff of the most recent records  |
| <b>ADDITIONAL INFORMATION</b>  |   |
| Current Records Format   | Textual data:67 MB  |
| Approximate first year of records covered by this authority              | 2011  |
| End year of records covered by this authority                            | Still being created   |
| Frequency of transfer  | 5   |
| Are any of the records covered by this item subject to a FOIA exemption? | No  |

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Signatory Information

| Action  | User           | Date       |
|---------|----------------|------------|
| Approve | Colleen Shogan | 01/22/2025 |