# Schedule Number: N1-255-94-003

Some items in this schedule are either obsolete or have been superseded by new NARA approved records schedules. This information is accurate as of: 12/29/2022

# **ACTIVE ITEMS**

These items, unless subsequently superseded, may be used by the agency to disposition records. It is the responsibility of the user to verify the items are still active.

All other items not listed below remain active.

# SUPERSEDED AND OBSOLETE ITEMS

The remaining items on this schedule may no longer be used to disposition records. They are superseded, obsolete, filing instructions, non-records, or were lined off and not approved at the time of scheduling. References to more recent schedules are provided below as a courtesy. Some items listed here may have been previously annotated on the schedule itself.

Item 7/4A was superseded by N1-255-04-003 item 101 or 107 Item 7/5A1a was superseded by N1-255-04-003 item 101 or 107 Item 7/5A2 was superseded by N1-255-04-003 item 103 or 107 Item 7/5A3a was superseded by N1-255-04-003 item 105 or 109 Item 7/5A3b was superseded by N1-255-04-003 item 101 or 107 Item 7/5B1 was superseded by N1-255-04-003 item 101 or 107 Item 7/5C was superseded by N1-255-04-003 item 103 or 107 Item 7/5D1 was superseded by N1-255-04-003 item 101 or 107 Item 7/6 was superseded by N1-255-04-003 item 101 or 107 Item 7/6 was superseded by N1-255-04-003 item 101 or 107 Item 7/7A1 was superseded by N1-255-09-001 item 7/7A1 Item 7/7A2 was superseded by N1-255-09-001 item 7/7A2 Item 7/7B was superseded by N1-255-09-001 item 7/7B Item 7/7C was superseded by N1-255-09-001 item 7/7C Item 7/7D was superseded by N1-255-09-001 item 7/7D

# NOTICE - SOME ITEMS SUPERSEDED OR OBSOLETE

As of 12/29/2022

N1-255-94-003

Item 7/7E was superseded by N1-255-09-001 item 7/7E

Item 7/8 (accepted) was superseded by N1-255-04-003 item 101 or 107

Item 7/8 (rejected) was superseded by N1-255-04-003 item 105 or 109

Item 7/9A1 was superseded by N1-255-04-003 item 101 or 107

Item 7/9A2 was superseded by N1-255-04-003 item 109

Item 7/9B1 was superseded by N1-255-04-003 item 101 or 107

Item 7/9B2 was superseded by N1-255-04-003 item 109

Item 7/10A was superseded by N1-255-04-003 item 101 or 107

Item 7/12A was superseded by N1-255-04-003 item 101

Item 7/15 was superseded by N1-255-04-003 item 105 or 109

Item 7/16 was superseded by N1-255-04-003 item 105

Item 7/17 was superseded by N1-255-04-003 item 105 or 109

Item 7/18A was superseded by N1-255-04-003 item 101

Item 7/19A1 was superseded by N1-255-09-001 item 7/19A1

Item 7/19A2 was superseded by N1-255-09-001 item 7/19A2

Item 7/19B was superseded by N1-255-09-001 item 7/19B

Item 7/20B was superseded by N1-255-09-001 item 7/20B

Item 7/21B1 was superseded by N1-255-09-001 item 7/21B1

Item 7/21B2 was superseded by N1-255-09-001 item 7/21B2

Item 7/21C2 was superseded by N1-255-09-001 item 7/21C2

Item 7/22 was superseded by N1-255-04-003 item 105 or 109

Item 7/23A was superseded by N1-255-04-003 item 101 or 107  $\,$ 

Item 7/23B was superseded by N1-255-04-003 item 102 or 108  $\,$ 

Item 7/25A1 was superseded by N1-255-09-001 item 7/25A1

Item 7/25A2 was superseded by N1-255-09-001 item 7/25A2

Item 7/25A3 was superseded by N1-255-09-001 item 7/25A3

Item 7/25A4 was superseded by N1-255-09-001 item 7/25A4

Item 7/25B was superseded by N1-255-09-001 item 7/25B Item 7/25C2 was superseded by N1-255-09-001 item 7/25C2 Item 7/25C3 was superseded by N1-255-09-001 item 7/25C3 Item 7/25C5 was superseded by N1-255-09-001 item 7/25C5 Item 7/25D was superseded by N1-255-09-001 item 7/25D Item 8/1 was superseded by N1-255-09-001 item 8/1 Item 8/4B was superseded by N1-255-04-003 item 103 or 107 Item 8/5A1 was superseded by N1-255-04-003 item 101 Item 8/5A2 was superseded by N1-255-04-003 item 103 Item 8/5A3 was superseded by N1-255-04-003 item 102 Item 8/5B1 was superseded by N1-255-04-003 item 107 Item 8/5B2 was superseded by N1-255-04-003 item 108 Item 8/7A1 was superseded by N1-255-09-001 item 8/7A1 Item 8/7A3 was superseded by N1-255-09-001 item 8/7A3 Item 8/7B1a was superseded by N1-255-09-001 item 8/7B1a Item 8/7B2 was superseded by N1-255-09-001 item 8/7B2 Item 8/9 was superseded by N1-255-04-003 item 103 or 107 Item 8/10 was superseded by N1-255-04-003 item 101 Item 8/12A was superseded by N1-255-04-003 item 101 Item 8/12B was superseded by N1-255-04-003 item 102 Item 8/13 was superseded by N1-255-04-003 item 101 or 107 Item 8/14A was superseded by N1-255-04-003 item 103 or 107 Item 8/14B was superseded by N1-255-04-003 item 105 or 109 Item 8/14C was superseded by N1-255-04-003 item 101 or 107 Item 8/17A1 was superseded by N1-255-04-003 item 101 Item 8/17A2 was superseded by N1-255-04-003 item 107 Item 8/17C was superseded by N1-255-04-003 item 105 or 109

Item 8/17D was superseded by N1-255-04-003 item 105 or 109 Item 8/18A was superseded by N1-255-04-003 item 107 Item 8/18B was superseded by N1-255-04-003 item 107 Item 8/20A was superseded by N1-255-04-003 item 103 or 107 Item 8/20B was superseded by N1-255-04-003 item 105 or 109 Item 8/21A was superseded by N1-255-04-003 item 101 or 107 Item 8/21B was superseded by N1-255-04-003 item 105 or 109 Item 8/21C was superseded by N1-255-04-003 item 105 or 109 Item 8/22B was superseded by N1-255-04-003 item 101 or 107 Item 8/24A was superseded by N1-255-04-003 item 105 or 109 Item 8/24B was superseded by N1-255-04-003 item 106 or 110 Item 8/25A1a was superseded by N1-255-04-003 item 101 Item 8/25A1b was superseded by N1-255-04-003 item 101 Item 8/25A1c was superseded by N1-255-04-003 item 101 Item 8/25A3a was superseded by N1-255-04-003 item 101 Item 8/25A4 was superseded by N1-255-04-003 item 101 Item 8/25A6 was superseded by N1-255-04-003 item 101 Item 8/25A8a was superseded by N1-255-04-003 item 101 Item 8/25B was superseded by N1-255-04-003 item 103 Item 8/25C2 was superseded by N1-255-04-003 item 101 Item 8/25C3a was superseded by N1-255-04-003 item 103 Item 8/25C3b was superseded by N1-255-04-003 item 103 Item 8/25D1b(ii) was superseded by N1-255-04-003 item 103 Item 8/26B1 was superseded by N1-255-04-003 item 101 Item 8/26B2 was superseded by N1-255-04-003 item 101 Item 8/26B3 was superseded by N1-255-04-003 item 112 Item 8/27C1 was superseded by N1-255-04-003 item 101

Item 8/27C2 was superseded by N1-255-04-003 item 105 Item 8/27C3 was superseded by N1-255-04-003 item 112 Item 8/28A was superseded by N1-255-04-003 item 103 Item 8/29A was superseded by N1-255-04-003 item 101 Item 8/29B was superseded by N1-255-04-003 item 102 Item 8/31A1 was superseded by N1-255-04-003 item 101 Item 8/31A2 was superseded by N1-255-04-003 item 102 Item 8/31B was superseded by N1-255-04-003 item 105 Item 8/31C was superseded by N1-255-04-003 item 105 Item 8/32 was superseded by N1-255-09-001 item 8/32 Item 8/35 was superseded by N1-255-04-003 item 101 Item 8/36B1 was superseded by N1-255-04-003 item 103 Item 8/36B2 was superseded by N1-255-04-003 item 103 Item 8/36B3 was superseded by N1-255-04-003 item 104 Item 8/38A was superseded by N1-255-09-001 item 8/38A Item 8/38B was superseded by N1-255-09-001 item 8/38B Item 8/39 was superseded by N1-255-09-001 item 8/39 Item 8/40 was superseded by N1-255-09-001 item 8/40 Item 8/40.5 was superseded by N1-255-09-001 item 8/40.5 Item 8/42 was superseded by N1-255-09-001 item 8/42 Item 8/43A1 was superseded by N1-255-09-001 item 8/43A1 Item 8/43A2 was superseded by N1-255-09-001 item 8/43A2 Item 8/43B1 was superseded by N1-255-09-001 item 8/43B1 Item 8/43B2 was superseded by N1-255-09-001 item 8/43B2 Item 8/43C1 was superseded by N1-255-09-001 item 8/43C1 Item 8/43C2 was superseded by N1-255-09-001 item 8/43C2 Item 8/43C3 was superseded by N1-255-09-001 item 8/43C3

Item 8/43C4 was superseded by N1-255-09-001 item 8/43C4 Item 8/43D1 was superseded by N1-255-09-001 item 8/43D1 Item 8/43D2 was superseded by N1-255-09-001 item 8/43D2 Item 8/43D3 was superseded by N1-255-09-001 item 8/43D3 Item 8/43D4 was superseded by N1-255-09-001 item 8/43D4 Item 8/43D5 was superseded by N1-255-09-001 item 8/43D5 Item 8/43E was superseded by N1-255-09-001 item 8/43E Item 8/43F was superseded by N1-255-09-001 item 8/43F Item 8/43G was superseded by N1-255-09-001 item 8/43G Item 8/43H was superseded by N1-255-09-001 item 8/43H Item 8/43I was superseded by N1-255-09-001 item 8/43I Item 8/43J was superseded by N1-255-09-001 item 8/43J Item 8/44A was superseded by N1-255-09-001 item 8/44A Item 8/44C was superseded by N1-255-04-003 item 107 Item 8/47B was superseded by N1-255-09-001 item 8/47B Item 8/47C was superseded by N1-255-09-001 item 8/47C Item 8/47D was superseded by N1-255-09-001 item 8/47D Item 8/49A was superseded by N1-255-09-001 item 8/49A Item 8/50 was superseded by N1-255-09-001 item 8/50 Item 8/51 was superseded by N1-255-09-001 item 8/51 Item 8/52 was superseded by N1-255-09-001 item 8/52 Item 8/56A1 was superseded by N1-255-09-001 item 8/56A1 Item 8/56A2 was superseded by N1-255-09-001 item 8/56A2 Item 8/56B1a was superseded by N1-255-09-001 item 8/56B1a Item 8/56C was superseded by N1-255-09-001 item 8/56C Item 8/58 was superseded by N1-255-09-001 item 8/58

REQUEST FOR RECORDS DISPOSIT	ION AUTHORIT	<b>/</b> JC		BLANK (NAF	A use only)
(See Instructions on rever NATIONAL ARCHIVES and RECORDS ADM		2) D/	N/-	255-94-	3
WASHINGTON, DC 20408				1-31-94	
FROM (Agency or establishment) National Aeronautics and Space Admin	istration		NOTI	FICATION TO	
MAJOR SUBDIVISION			USC 33	ance with the pr 03a the dispos	tion request.
NASA Headquarters and Field Insta 11 MINOR SUBDIVISION	ations		for items t	amendments, is a hat may be mark ed" or "withdrawi	pproved except ed "disposition 1" in column 10
NAME OF PERSON WITH WHOM TO CONFER	5 TELEPHONE		ATE	ARCHIVIST OF T	HE UNITED STATES
Adria A. Lipka	202 358-1372	2	-12-96	yout	U.Cal
II.8 93	tached; or ESENTATIVE	TLE	been reo Records	-	
THE CULTURE STATE OF		INASA	Records	OTTICEL	
7 EM 8 DESCRIPTION OF ITEM AND PRO	POSED DISPOSITIO	N	SUF	GRS OR PERSEDED CITATION	10 ACTION TAKEN (NARA USE ONLY)
NASA Records Retention Schedul Chapters 7 and 8. See attache					

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## NASA RECORDS RETENTION SCHEDULE 7

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## PROGRAM FORMULATION RECORDS [SEE NOTE<sub>2</sub>]

This records schedule is one of a series of schedules approved by the Archivist of the United States, which furnish authoritative instructions for the retention, destruction, or retirement of records held by NASA installations or offices. This schedule grants continuing authority for the disposition of records already accumulated or to be accumulated in the future.

The records described in Schedule 7, pertain to the management, planning, and administration of a Research & Development (R&D) program and to groups of projects and laboratory type organizations at field installations. Records in this category are created and accumulated by organizations that have overall management responsibility for programs or multiple-project activities. This schedule provides pertinent record categories and specifies the retention and dispositions for each.

Files consist of overall program administration and reflect planning, budgeting, scheduling, coordination, and management of the program. Files accumulated by offices of project managers who are responsible for the direct administration of the technical aspects of the program/system, should file these as part of the R&D Project Case File which is set forth in Schedule 8 - "Program Management." (SEE NOTE<sub>1</sub>)

The Program Office, normally at NASA Headquarters, is responsible for maintaining the administrative case file relating to the particular program/project, and for insuring the progressive consolidation of essential documentation of the program, from time of inception to program management and may include some records throughout the life of the program to completion. The official records during management through to completion of the project are then filed in the Case File (See Schedule 8).

- **NOTE<sub>1</sub>:** PROJECT MANAGEMENT and PERFORMANCE RECORDS of an R&D Program are described in Schedule 8 which covers records created and accumulated by project organizations that have direct management control over inhouse and/or contractor project activities. These files include individial basic research, applied research, and engineering development projects and as such are designated as either the project/program manager's files or the principle investigator's files and are considered a "PERMANENT" record series.
- NOTE<sub>2</sub>: THERE ARE NO GENERAL RECORDS SCHEDULES (GRS) THAT COVER R&D RECORDS. THEREFORE IF A CATEGORY OR SERIES OF RECORDS IS NOT LISTED IN THIS SCHEDULE OR SCHEDULE 8, DISPOSITION IS <u>NOT</u> AUTHORIZED. CONTACT YOUR INSTALLATION RECORDS MANAGER OR THE NASA RECORDS OFFICER FOR INSTRUCTIONS.



[7000] (N 24-11)

### **DESCRIPTION OF RECORD SERIES**

## **R&D CORRESPONDENCE FILES**

Record copies of correspondence relating to management and operation of a laboratory or a program office. These are housekeeping files and do not include the official program or project files. RETIRE TO FRC 1 YEAR AFTER COMPLETION OR CANCELLATION OF THE PROGRAM. DESTROY WHEN 5 YEARS OLD.

MINIMUM

RETENTION

2 [7000] (N 24-9)

[7000]

withdrawn

study

pending NAS

## **R&D PROJECT CONTROL FILES**

Copies of documents contained in project case files, preliminary sketches, drawings, specifications, and photographs determined by competent scientific and technical personnel not to be of sufficient value for incorporation into project case file, and correspondence concerning such administrative matters as travel, conferences, consultations, and transmittal of documents.

- A. Director's office at performing field installation and Headquarters program offices.
- B. Office of laboratory chiefs and directors supervising R&D projects.

C. All other copies.

**R&D SOURCE DATA FILES** 

Punch cards, electronic tape, or comparable media used to collect and assemble data of a preliminary or intermediate character generated from such processes as experiments or observations of a routine, repetitive nature, and used for reference in arriving at determinations in the conduct of research projects. RETIRE TO FRC 2 YEARS AFTER COMPLETION, RENEWAL, TERMINATION OR CANCELLATION OF THE PROJECT. DESTROY WHEN 10 YEARS OLD.

DESTROY 2 YEARS AFTER COMPLETION, RENEWAL, TERMINATION, OR CANCELLATION OF THE PROJECT, OR EARLIER IF NO LONGER NEEDED.

DESTROY WHEN OBSOLETE OR SUPERSEDED OR WHEN 3 YEARS OLD, WHICHEVER IS SOONER

DESTROY WHEN DETERMINED BY COMPETENT AGENCY RESEARCH PERSONNEL THAT DATA HAS SERVED ALL RESEARCH PURPOSES. SCHEDULES 2 (ELECTRONIC MEDIA) AND 8 (PROGRAM MANAGEMENT) PROJECT ADDITIONAL DISPOSITION GUIDELINES FOR SOME SERIES OF RECORDS.

ITEM <u>[UFI #]</u>	<b>DESCRIPTION OF RECORD SERIES</b>	MINIMUM <u>retention</u>
<b>4</b> [7020]	R&D LONG RANGE PLANNING FILES	
(N 24-1)	Documents involving the establishment of schedules to achieve NASA's long range research and development objectives, the formulation of new concepts and requirements in R&D for planning purposes and similar matters'. Included are R&D long range plans, R&D forecasts, documents reflecting the evolution of these plans or forecasts, and documents contributing to the development of the plans or forecasts.	
	A. Headquarters offices performing the NASA-wide staff responsibility and office responsible for preparation of the plan and forecast.	• PERMANENT • RETIRE TO FRC 5 YEARS AFTER SUPERSESSION OR COMPLETION. TRANSFER TO NARA WHEN 10 YEARS OLD.
	B. Contributing offices (Headquarters and field installations).	DESTROY 5 YEARS AFTER SUPERSESSION OR COMPLETION.
	C. All other offices/copies.	DESTROY 2 YEARS AFTER SUPERSESSION OR COMPLETION.
5 [7100] (H 25-6)	<b>R&amp;D PROJECT FILES - PLANNING AND APPROVAL</b> FINAL REPORTS AND COMPLETED PROJECTS - SEE SO	CHEDULE 8
	A. <u>REPORTS</u>	
	Reports prepared by the project manager or other project personnel, containing information about project progress and research, development, test, and evaluation tasks, including the identification, time phasing, requirements, and other information about planning and approval of the project and associated activities and findings.	
	1. <u>PUBLISHED REPORTS</u>	
	(a) Installations' office of primary responsibility will maintain one official record copy of each report which is published together with papers showing inception, scope, and background, including coordination papers and comments.	• PERMANENT • RETIRE TO FRC AT END OF FISCAL YEAR IN WHICH DOCUMENT IS PUBLISHED. TRANSFER TO NARA WHEN
	NOTE: Reference copies may be maintained for related work.	20 YEARS OLD.
	(b) Working papers such as notes, rough drafts, galley proofs, background reports, and other such papers.	DESTROY 1 YEAR AFTER DOCUMENT IS PUBLISHED.
change	2. <u>UNPUBLISHED REPORTS</u>	
ũ (	Installations' office of primary responsibility will mark and maintain one official record copy of each report that is written and circulated for NASA use but is not published in a "formal" series of reports for general or public dissemination.	RETIRE TO FRC WITHIN 2 YEARS AFTER COMPLETION OF RELATED PROJECT. DESTROY WHEN 20 YEARS OLD.
new	3. Program   Project Documentation	PERMANENT. Retire to FRC
	A. Records that document management decisions and rationale for the establishment of a program   project office.	when 2 years old. Transfer to NARA when 20 years old.
	B. All other records	Destroy when one year old.

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# ITEM [UF1#] DESCRIPTION OF RECORD SERIES

MINIMUM RETENTION

DOCUMENT MAY BE RETIRED TO FRC 1 YEAR AFTER

PUBLICATION. TRANSFER TO NARA WHEN 25 YEARS OLD.

\* PERMANENT \*

## **5 . CONTINUED:** R&D PROJECT FILES - PLANNING AND APPROVAL

#### (N 24-4) B. TECHNICAL REPORTS

These records consist of one copy of each preliminary, progress, or final R&D technical report or publication prepared or issued by a field installation or activity, or received from their respective contractor(s).

 Office of primary responsibility will maintain one record copy of each publication (marked "Official Copy") together with related papers showing inception, scope, and background.

 2. Other copies maintained for reference by any office, including
 SEND TO INSTALLATION LIBRARY

 all technical reports published and distributed by or for the
 FOR DESTRUCTION WHEN NO

 Scientific and Technical Information function.
 LONGER NEEDED FOR REFERENCE.

### new C. <u>PROJECT LISTS</u>

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Lists, card indexes, or other media reflecting in a comprehensive manner individual projects administered by the agency.

DESTROY WITH RELATED PROJECT RECORDS. SEE A. AND D. OF THIS ITEM, AND ITEM 6 OF THIS SCHEDULE

#### (N 25-2) D. PLANNING FILES

Official record set of the Project Development Plan, General Test Plan, System Safety Plan, Data Management Plan, Quality and Reliability Plan, Transportation Plan, Configuration Control Plan, Logistics Plan, and all other project planning documents. Documentation included may consist of concept, definition, design and development, evaluation, and operation data/records.

1. Official record set as maintained either by the office of primary responsibility or the project office.

\* PERMANENT \* 1 RETIRE TO FRC WITHIN YEARS AFTER COMPLETION, CANCELLATION, OR TERMINATION OF RELATED PROJECT. TRANSFER TO NARA 10 YEARS AFTER SUBJECT ACTION OR WHEN 25 YEARS OLD, WHICHEVER IS SOONER.

2. Other working/reference copies and offices.

DESTROY WHEN NO LONGER NEEDED FOR REFERENCE OR ON COMPLETION OF THE PROJECT, WHICHEVER IS SOONER.

(N 1-9a) E. <u>ADMINISTRATIVE - R&D</u> (N 24-18)

Administrative operations files, correspondence concerning routine or **DESTROY** WHEN 2 YEARS OLD. temporary administrative matters.

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(N 24-2)

#### ITEM [UF] #1 **DESCRIPTION OF RECORD SERIES**

#### **R&D PROGRAM MANAGER CONTROL FILES** [7100]

These files reflect the control of research, development, procurement, and production of those equipment systems, which because of total cost, technical complexity, or number of project components, are required to have special or "program" management. These files, as such, are accumulated only by offices of those program managers who rely on other installations to contract for, and directly supervise, the technical and engineering aspects of the system. -(ALSO SEE SCHEDULE 5 - ITEM 37 "GRANT" FILES)

#### MINIMUM RETENTION

24/2 change

\* PERMANENT \* RETIRE TO FRC 2 YEARS AFTER COMPLETION, CANCELLATION, TERMINATION, OR SUSPENSION OF THE PROGRAM. TRANSFER TO NARA 10 YEARS AFTER SUBJECT EVENT OR WHEN 25 YEARS OLD WHICHEVER IS SOONER.

#### 7 EARTH OBSERVATIONS COMMERCIAL APPLICATIONS PROGRAM (EOCAP) [7100] (SSC ONLY)

Stennis Space Center (SSC) manages, on behalf of NASA Office of Commercial Programs, an applications program, EOCAP, designed to develop commercial interest for NASA-developed Remote sensing technology. Proposals are received at NASA Headquarters as a part of a NASA Research Announcement (NRA) Selected proposals (projects) are transferred to SSC for general program management. Proposal files consist of the proposal (as received), funding documentation, deliverables, and schedules. Files are kept in the following format/organization:

#### A. EOCAP I PROPOSALS

- Selected proposals, scores, evaluations, recommendations, and funding information.
- 2. Proposals not selected.

#### **B. EOCAP I CONTRACTS**

Records include materials generated during proposal selection. Item A.

#### C. EOCAP I REPORTS

Records consist of both quarterly and annual reports.

#### D. EOCAP I ADMINISTRATION/REVIEWS

Records consist of administrative correspondence and communications; including program reviews and other related activities.

E. EOCAP II RECORDS

EOCAP II records follow the same procedures and disposition instructions as EOCAP I except for EOCAP II proposals which are incorporated into the INSTRUCTIONS; PHASES CHANGE contract file for follow-on work to EOCAP I contracts, unless they are a separate contract.

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FUNDED PROPOSAL FILES WILL BE INCORPORATED INTO EOCAP I CONTRACT FILES.

DESTROY WHEN 1 YEAR OLD.

**DESTROY CONTRACT FILE 3 YEARS** AFTER COMPLETION OF PHASE I. (THIS INCLUDES RECORDS INCORPORATED FROM B. ABOVE )

DESTROY REPORTS 3 YEARS AFTER COMPLETION OF PHASE I.

DESTROY 3 YEARS AFTER COMPLETION OF PHASE I.

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SEE ABOVE ITEMS FOR DISPOSITION TO REFLECT NEW PROGRAM, I.E., DESTROY CONTRACTS 3 YEARS AFTER COMPLETION OF PHASE II.

## ITEM *[UFI#]*

## **DESCRIPTION OF RECORD SERIES**

#### 8 NASA RESEARCH ANNOUNCEMENTS (NRS) - neω (71001

Records consist of announcements encouraging the submission of research proposals. These announcements are usually made in circulated notices and letters, such as Space Science Notices, Applications Notices, and "Dear Colleague" letters. Selection of proposal has been made following peer or scientific (see Item 9 of this schedule) review of the proposals.

## R&D PEER REVIEW AND EVALUATIONS - new

[7100]

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## A. EXTERNAL REVIEWS

Investigative reports of peer reviews and evaluations, which may include but are not limited to "Dear College" letters concerning the feasibility of performing and acquiring the services of, or the performance of an unsolicited proposal from researchers and/or scientists.

- 1. Reports and proposals resulting in a project.
- 2. Reports and proposals not selected or rejected proposals.

#### B. INTERNAL REVIEWS

Reports of peer reviews and evaluations including, but not limited to, proposals, slides/charts, letters, and a chairman's summary report concerning the research being preformed by a specific group/individual of researchers and/or scientists.

- 1. Accepted proposals resulting in a project.
- 2. Rejected proposals.

## MINIMUM <u>RETENTION</u>

TRANSFER ALL FILES TO THE RESPONSIBLE DIVISION/PROJECT 2 YEARS AFTER AWARD. RECORDS WILL BE INCORPORATED INTO INTO THE OFFICIAL PROJECT FILE, OR GRANT/CONTRACT FILE.

FILE DOCUMENTATION WITH THE RELATED GRANT OR CONTRACT FILE; DESTROY ACCORDINGLY.

RETIRE TO FRC WHEN 1 YEAR OLD. DESTROY WHEN 5 YEARS OLD.

FILE WITH RELATED CASE FILE (GRANT OR CONTRACT), DESTROY ACCORDINGLY.

RETIRE TO FRC WHEN 1 YEAR OLD. DESTROY WHEN 5 YEARS OLD.

## **10 PROJECT APPROVAL DOCUMENTS (PAD)**

[7120]

(N 7-4) Authorizations to initiate and carry out the project(s) within the scope defined in the PAD and within available allotted funds.

A. <u>HEADQUARTERS</u>

\* PERMANENT \* RETIRE FILES BY FISCAL YEAR TO FRC WHEN NO LONGER NEEDED FOR ACTIVE REFERENCE OR WHEN 8 YEARS OLD, WHICHEVER IS SOONER. TRANSFER TO NARA WHEN 25 YEARS OLD.

B. FIELD INSTALLATION

DESTROY ANNUAL ACCOUNTS WHEN 2 YEARS OLD, AND NO YEAR ACCOUNTS WHEN 5 YEARS OLD.



ITEM *[UFI #]* 

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[7]20] (N 1-31)	Surveys, analytical studies, background papers, notes, data, interim reports, final reports, and related papers pertaining to administrative functions.	RETIRE TO FRC 3 YEARS AFTER COMPLETION, TERMINATION, OR CANCELLATION OF PROJECT. DESTROY WHEN 7 YEARS OLD.
<b>12</b> [7]2]]	<b>R&amp;D SPECIFICATION FILES</b>	
(N 24-6)	Preliminary, experimental, and final specifications created in connection with engineering projects. Arrange by specification number or by project.	
	<b>A.</b> Office delegated responsibility for maintenance of official record sets.	• PERMANENT • RETIRE TO FRC WHEN NO LONGER NEEDED FOR REFERENCE OR WHEN 5 YEARS OLD WHICHEVER IS LATER. TRANSFER TO NARA WHEN 15 YEARS OLD.
	B. All other offices/copies.	DESTROY WHEN OBSOLETE, <u>SUPERSEDED, OR WHEN 3 YEARS</u> OLD, WHICHEVER IS SOONER.
13	PUBLIC REACTIONS TO ESTABLISHMENT OF MAJOR	PROJECTS
[7120] (n 18-17)	Correspondence with citizens, including municipal offices, expressing interest in or opposition to establishment of new field centers or new NASA construction programs. This correspondence usually cites adverse impacts upon the community.	DESTROY 3 YEARS AFTER SELECTION OF SITE OR <u>ABANDONMENT OF SITE SURVEY</u> OR AFTER INCEPTION OF NEW PROGRAM WHICHEVER IS SOONER.
14	RESEARCH AND TECHNOLOGY OBJECTIVES AND PL	ANS (RTOP) - change
[7]50] (H 24-3) Hulialum	Documents consist of, and are used for, management review and control of rese currently in progress. RTOPs are designed to facilitate communication and cox among technical personnel and to expedite the technology transfer process RT arranged in 5 sections consisting of the following: 1) citations and summaries or RTOPs listed in ascending number order; 2) Subject indexes listing in alphabe order main subject headings by which RTOPs have been identified; 3) Technics index listing individuals responsible for RTOPs; 4) Responsible NASA organiza index listing the NASA organization which developed the RTOPs contained in and, 5) RTOP number index providing a cross-index from the RTOP number as responsible NASA organization to the corresponding accession number assigned to the RTOP.	arch ordination OPs are of the tical al monitor tion the Journal; usigned by the sequentially
	to the RTOPs in the Summary. A. INSTALLATIONS - Office of Primary Responsibility	PERMANENT. Transfor to NARA when DESTROY WHEN & YEARS OLD. 20 years old
	<ul> <li>B. HEADQUARTERS - Secretariat Office (Comptroller) (Records include Development &amp; Operations Reports.)</li> </ul>	PERMANENT * REFIRE TO FRC WHEN 4 YEARS OLD. TRANSFER TO NARA WHEN 30 YEARS OLD
	C. All other offices/copies.	DESTROY WHEN NO LONGER NEEDED OR WHEN 3 YEARS OLD

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**DESCRIPTION OF RECORD SERIES** 

MINIMUM <u>RETENTION</u>

7-6

# ITEM [UFI#] DESCRIPTION OF RECORD SERIES

# 15 R&D INSTALLATION TEST SCHEDULING - change

[7150]

[8080] (N 24-10)

Documents used by testing units to authorize, schedule, and control project
 testing and evaluation of models or prototypes in specialized facilities, such as wind tuanels, materials test laboratories, etc. Included are copies of project orders, copies of operating schedules, critical events, feeder reports, and related papers.

RETIRE TO FRC WHEN 2 YEARS OLD. DESTROY WHEN 10 YEARS OLD.

MINIMUM

RETENTION

# 16 HUMAN EXPERIMENTAL AND RESEARCH DATA RECORDS - NASA 10 HERD

[7170] PASR

Records in this system consists of data obtained in the course of an experiment, test, or research medical data from inflight records; other information collected in connection with an experiment, test, or research. Included in this system of records is information about individuals who have been involved in space flight, aeronautical research flight, and/or participated in NASA tests or experimental or research program; Civil Service employees, multary, employees of other government agencies, contractor employees, students, human subjects (volunteer or paid), and other volunteers on whom information is collected as part of an experiment or study. See Note 1

ASTRONAUT RECORDS - SEE SCHEDULE 8. GROUND TEST AND RESEARCH DATA ARE RETAINED FOR VARYING PERIODS OF TIME DEPENDING ON THE NEED FOR USE OF THE FILES, AND ARE DESTROYED OR OTHERWISE DISPOSED OF WHEN NO LONGER NEEDED, EXCEPT THAT SIGNIFICANT MEDICAL DATA WILL BE HANDLED IN ACCORDANCE WITH OPM REGULATIONS AND NASA SCHEDULE 1.

## 17 INSTITUTIONAL OPERATING PLAN (IOP) - new [7230]

Records in this series are used for the proposed operation plan for particular fund sources related to R&PM money. It is a part of the semiannual budget cycle and is considered working papers for the program/ project offices in the budgetary planning process, usually for the current year and next year monies.

## **18 DRAWINGS - R&D FACILITIES FILES**

- [7320] (N 24-5)
  - Preliminary, experimental, final design, and "as built" drawings created in connection with research and development projects, including reducedsize offset prints and aperture cards. Arrange by drawing number or by project.

A. Office delegated responsibility for maintenance of official record set.

B. Hard copies if maintained on aperture cards.

C. All other copies and offices.

DESTROY WHEN 5 YEARS OLD.

\* PERMANENT \* RETIRE TO FRC WHEN 5 YEARS OLD. IF FILED BY PROJECT, TRANSFER AFTER COMPLETION. TRANSFER TO NARA WHEN 25 YEARS OLD.

DESTROY WHEN NO LONGER NEEDED OR WHEN 10 YEARS OLD, WHICHEVER IS SOONER HARD COPIES MAY BE RETIRED TO FRC WHEN 5 YEARS OLD AND DESTROYED WHEN 10 YEARS OLD.

DESTROY WHEN OBSOLETE, SUPERSEDED, OR NO LONGER NEEDED FOR REFERENCE, OR WHEN 5 YEARS OLD, WHICHEVER IS SOONER.

# Note, : See Schedule 8 for medical records on test subjects.

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ITEM <i>[UFI #]</i>	DESCRIPTION OF RECORD SERIES	MINIMUM <u>RETENTION</u>
19	FINANCIAL REPORTS - CONTRACTS AND GRANTS	
[9300] (n 7-9)	Consolidated agency-wide reports of financial and statistical data covering NASA contracts and grants.	
	A. Headquarters: OPR Copy	
ę	1. June issue:	<b>TERMANENT</b> RETIRE TO FRC 1 YEAR AFTER THE CLOSE OF THE FISCAL YEAR TO WHICH THEY PERTAIN. TRANSFER DEST(6Y TO NARA 10 YEARS AFTER FISCAL YEAR TO WHICH THEY PERTAIN. [N1-255-94-2]
	2. All other issues:	DESTROY 2 YEARS AFTER THE FISCAL YEAR TO WHICH THEY PERTAIN.
	B. All other copies/offices.	DESTROY AS SOON AS ACTIVE REFERENCE VALUE CEASES OR WHEN 2 YEARS OLD WHICHEVER IS SOONER.
20 [7400] (h 7-3)	ALLOTMENT OF FUNDS (504s)	
	Allotment of funds and memoranda concerning decisions on funding levels and changes.	
	A. Headquarters.	• PERMANENT • RETIRE TO FRC WHEN S YEARS OLD FOR ANNUAL ACCOUNTS AND 5 YEARS FOR NO YEAR APPROPRIATIONS. TRANSFER TO NARA WHEN 10 YEARS OLD.
	B. Field Installations.	DESTROY ANNUAL ACCOUNTS WHEN 3 YEARS OLD AND NO YEAR ACCOUNTS WHEN 5 YEARS OLD.
<b>21</b> [7400]	BUDGET AND PROGRAMMING RESOURCES/APPORTIC	ONMENT FILES - change
	A. Correspondence files in formally organized budget offices pertaining to routine administration, internal procedures, and other matters not covered elsewhere in this schedule.	DESTROY WHEN 2 YEARS OLD. [GRS 5-1 (88)]
	B. Recurring reports of scientific research and development activities.	
	1. Headquarters.	RETIRE 1 COPY OF EACH REPORT TO FRC WHEN 2 YEARS OLD. DESTROY WHEN 8 YEARS OLD.
	2. All other copies/offices.	DESTROY WHEN NO LONGER <u>NEEDED OR WHEN 2 YEARS OLD,</u> WHICHEVER IS SOONER.
	C. Special studies.	
. •	1. Headquarters.	* PERMANENT * RETIRE 1 COPY OF EACH REPORT TO FRC WHEN STUDY BECOMES INACTIVE. TRANSFER TO NARA WHEN 15 YEARS OLD.

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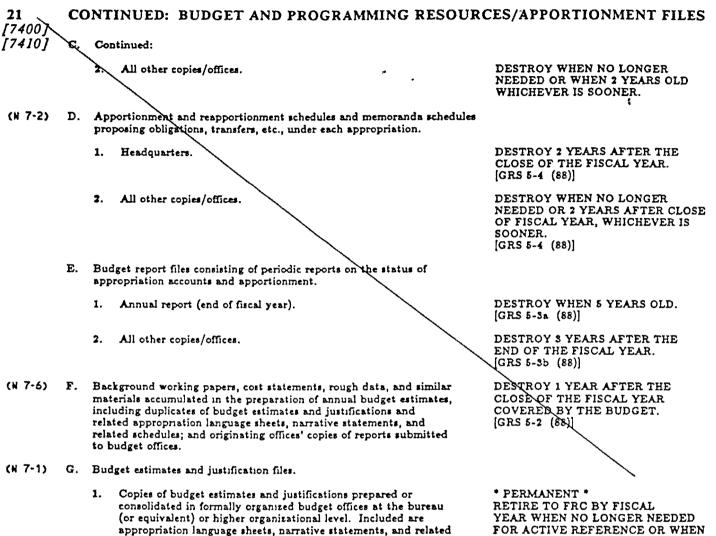
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#### ITEM *[UF]#]*

## **DESCRIPTION OF RECORD SERIES**

### MINIMUM RETENTION

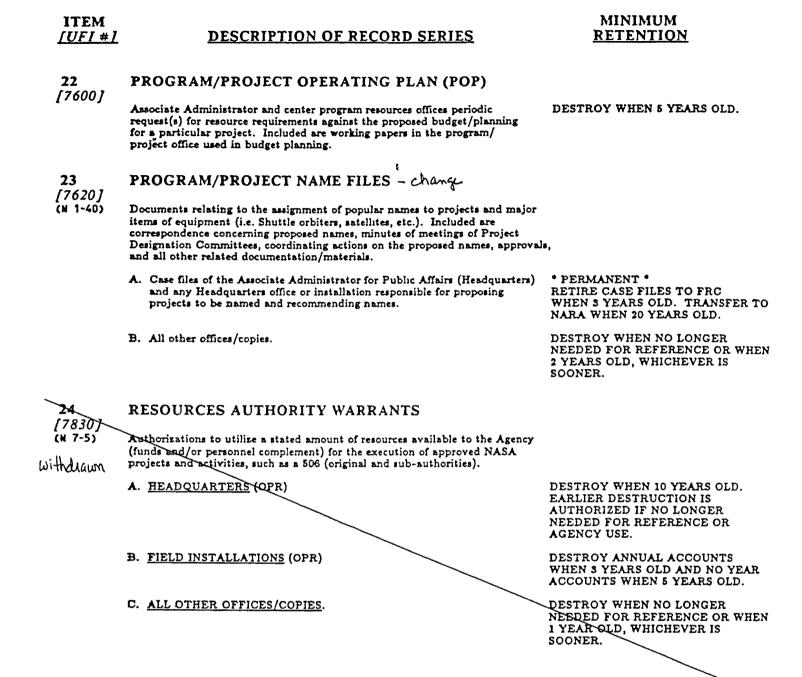


2. Working copies, background materials, all other office/copies.

schedules and data.

YEAR WHEN NO LONGER NEEDED FOR ACTIVE REFERENCE OR WHEN 10 YEARS OLD. TRANSFER TO NARA WHEN 20 YEARS OLD.

SEE ITEM F. OF THIS SCHEDULE.



# ITEM [UFI#] DESCRIPTION OF RECORD SERIES

### 25 AIRCRAFT FILES - new

[7900]

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A. AIRBORNE DATA ACQUISITION

These are files that are maintained at the Aircraft Management Office, Headquarters and at Field Installations. Typically files include information with respect to aircraft operations, cost, scheduling and tasking, usually relating to remote sensing research. [SEE NOTE<sub>1</sub>]

- 1. General correspondence.
- 2. Financial reports.
- 3. Flight schedules.
- 4. Job orders.

#### B. MAINTENANCE RECORDS (CURRENT/HISTORICAL)

Records in this series include maintenance records for various aircraft located at NASA facilities These records also may include some blueprints and sketches of parts used on the various aircraft that are in the inventory.

#### C. PLATFORM AIRCRAFT - OPERATIONAL (ARC ONLY)

- 1. Transferred Aircraft Records (hand copies and electronic copies).
- Aircraft no longer in inventory (non-current/inactive) (hard copies and electronic copies).

DESTROY WHEN 5 YEARS OLD.

MINIMUM

RETENTION

DESTROY 2 YEARS AFTER THE FISCAL YEAR OF THE REPORT.

DESTROY 1 YEAR AFTER THE FLIGHT SEASON.

DESTROY 2 YEARS AFTER EXPIRATION.

RETIRE RECORDS TO ON-SITE STORAGE FOR 1 YEAR AFTER CLOSE OF FILE OR WHEN NO LONGER NEEDED RECORDS WILL BE KEPT ON-SITE AS LONG AS AIRCRAFT IS IN AGENCY INVENTORY. IF AIRCRAFT IS TRANSFERRED TO ANOTHER AGENCY, TRANSFER RECORDS WITH AIRCRAFT. IF AIRCRAFT IS OTHERWISE DISPOSED OF OR DESTROYED, RECORDS WILL EITHER BE DESTROYED AT THAT TIME, WHEN NO LONGER NEEDED, OR WHEN 1 YEAR OLD, WHICHEVER IS SOONER.

TRANSFER ASSOCIATED RECORDS WITH THE AIRCRAFT WHEN IT LEAVES AGENCY INVENTORY. THIS INCLUDES TRANSFER OR DONATION TO A MUSEUM OR OTHER SUCH INSTITUTION.

DESTROY RECORDS ASSOCIATED WITH THE SPECIFIC AIRCRAFT WHEN IT IS DESTROYED, DISMANTLED, OR CANNIBALIZED AND NO LONGER ON THE AGENCY INVENTORY, OR WHEN 5 YEARS OLD, WHICHEVER IS SOONER.

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**NOTE<sub>1</sub>:** These files DO NOT include maintenance records which are maintained under a separate contract.

# ITEM[UFI #]DESCRIPTION OF RECORD SERIES

## **25 CONTINUED:** AIRCRAFT FILES

[7900]

# C. PLATFORM AIRCRAFT - OPERATIONAL

- Current/active aircraft maintained in agency inventory. OPR: Code OMM, Medium Altitude Missions Office within the Sciences and Applications Aircraft Division.
- 4. CAD System
- 5. All other offices/copies.

MINIMUM <u>RETENTION</u>

RETAIN ORIGINAL ON-SITE AT ARC WITH AIRCRAFT. EITHER TRANSFER, RECORDS WITH ASSOCIATED PLANE OR DESTROY WHEN PLANE IS NO LONGER MAINTAINED IN THE INVENTORY, AS APPROPRIATE. (SEE ITEM b. ABOVE).

RECORDS MAY BE DELETED WHEN 5 YEARS OLD OR WHEN AIRCRAFT LEAVES THE AGENCY INVENTORY, WHICHEVER IS SOONER.

DESTROY WHEN OBSOLETE, OR WHEN NO LONGER NEEDED FOR REFERENCE OR WHEN 5 YEARS OLD, WHICHEVER IS SOONER

# END OF SCHEDULE

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# NASA RECORDS RETENTION SCHEDULE 8

## PROGRAM MANAGEMENT RECORDS

This records schedule is one of a series of schedules approved by the Archivist of the United States, which furnish authoritative instructions for the retention, destruction, or retirement of records held by NASA installations or offices. This schedule grants continuing authority for the disposition of records already accumulated or to be accumulated in the future.

The records described in Schedule 8, pertain to the management and operation of NASA construction of facilities, architectural, and engineering design records. These records relate to the functions that create, control, formulate and prescribe real or personal property or facilities management polices and procedures used by activities and offices applying these procedures.

Records also included in this series/schedule are Research & Development (R&D) records the pertain to the management, operation, and performance of an R&D Project. Such records are created and accumulated by project organization that have direct management control of in-house and/or contractor project activities. Project files relate to individual basic research, applied research, and engineering development projects. The Project Office, normally at the laboratory or division level at a field installation, is responsible for maintaining the files as is designated as the Office of Primary Responsibility (OPR), and is responsible for maintaining the files relating to the particular project and for insuring the progressive consolidation of essential documentation of the project. When more than one laboratory at an installation, or more than one installation works on a project, the lead project manager should establish procedures to insure that all files relating to the project are collected at a designated location for retirement and transfer.

## INSTRUCTIONS FOR DESIGN AND CONSTRUCTION FILES:

## General Definitions and Guidelines to use with Design and Construction Files:

Generally, drawings pertaining to the design process lose their administrative usefulness after the final construction plans are accepted; these records should be considered inactive after the completion of the construction project. Precise measured drawings which are used during the construction process have a continuing value during the life of the structure, especially for repair and maintenance projects. The original construction drawings as well as repair and alteration drawings (or microform copies) should be considered active while the structure is still used, maintained or owned by the Federal Government.

## SEE DEFINITIONS - NEXT PAGE

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## **DEFINITIONS:**

<u>Preliminary Drawings</u>: Drawings and sketches that are conceptual in nature. These drawings are usually freehand studies that show the basic design of the structure, include the arrangement of rooms, general appearance of elevations and floor plans, as well as other details under consideration.

<u>Models:</u> Three dimensional, reduced-scale models which are prepared for illustrative or presentation purposes.

Intermediate and Pre-final Drawings: Drawings which are created and reviewed in preparation of the final working drawings.

"As Built" Drawings: Annotated copies of final working drawings or additional drawings which show changes in the initial construction from the original design.

<u>Shop Drawings</u>: Detailed drawings prepared by construction contractors or subcontractors of particular parts of the building which they are to supply or by manufacturers of products to be assembled in their shops. These drawings which include plans for architectural embellishments and interior design such as tile and marble work, special cabinet work, elevators, or heating systems, not only provide instructions for assembling the products on the job site, but may also be necessary for future repair and preservation projects.

<u>Repair and Alteration Drawings</u>: Original drawings which depict repairs and alterations to the building as it was originally constructed.

<u>Contract Drawings</u>: Copies of the original workings on which contract negotiations are based.

<u>Standard Drawings:</u> Final drawings for standard details and other documents created in their preparation.

Space Assignment Plan(s): Drawings which depict outline floor plans used for space assignments.

#### ITEM MINIMUM [UF[#] **DESCRIPTION OF RECORD SERIES** RETENTION DEFENSE INDUSTRIAL PLANT EQUIPMENT CENTER (DIPEC) LOAN 4 [8000] (N 17-47) DESTROY 1 YEAR AFTER Listings of tools or test equipment on loan from DIPEC to NASA contractor COMPLETION OF LOAN. or NASA installation. 2 **TOOL DRAWING FILES** [8000] (N 20-9) DESTROY WHEN SUPERSEDED OR A. Vellums, original drawings, and tracings used in the manufacture of OBSOLETE. individual components, with related records or comparable documents maintained as a record of tool design and to facilitate reference to the drawings. B. All other copies. DESTROY WHEN OBSOLETE OR SUPERSEDED OR WHEN 5 YEARS OLD WHICHEVER IS SOONER. DATA FILES ON ORGANIC MATERIALS USED IN CONSTRUCTION OF PLANETARY 18000 SPACECRAFT (N 25-9) RETIRE TO FRC AT END OF Documentation produced by installations, contractors, subcontractors, and other suppliers, for research and development projects related to MISSION, OR WITHIN 6 MONTHS AFTER LAUNCH, WHICHEVER IS SOONER. RETAIN FOR 20 YEARS planetary flight mission hardware, which includes information relative to the organic materials used on spacecraft destined for planetarylanding. Documentation shall include all listings, drawings, test THEN REVIEW WITH NASA's

results, parts lists, and specifications pertinent to the organic

materials content of the spacecraft as flown

SCHEDULE 8

PLANETARY QUARANTINE OFFICER FOR AUTHORIZATION

TO DESTROY.

#### ITEM MINIMUM *[UF] #1* **DESCRIPTION OF RECORD SERIES** RETENTION 4 **R&D CORRESPONDENCE FILES** [8000] (N 24-11) GENERAL ADMINISTRATIVE Records in this series consists of copies of correspondence and **RETIRE TO FRC 1 YEAR AFTER** COMPLETION, CANCELLATION, documentation relating to the administrative management and OR TERMINATION OF THE housekeeping operations of a laboratory or a program office. Files may RELATED PROGRAM/PROJECT. include: Action/Suspense files; general correspondence; and, routine office actions and correspondence. DESTROY WHEN 5 YEARS OLD. [N1-255-91-14] LONG-TERM PROJECTS MAY **RETIRE RECORDS TO FRC PRIOR** TO THE ABOVE EVENTS. RETIRE RECORDS IN 5-YEAR BLOCKS. DESTROY WHEN 10 YEARS OLD. EARLIER DESTRUCTION MAY BE AUTHORIZED IS APPROVAL IS OBTAINED FROM THE CENTER DIRECTOR OR COCNIZANT PROJECT MANAGER/SCHENTIST. **B. PROJECT CORRESPONDENCE - OTHER** RETIRE TO FRC 1 YEAR AFTER Correspondence relating to a project and not a part of the project manager's files. This series may include correspondence and files kept by COMPLETION, CANCELLATION, OR TERMINATION OF THE PROJECT. offices subordinate to the project manager. These are not routine DESTROY 5 YEARS THEREAFTER. administrative/housekeeping files, they are miscellaneous project correspondence files. LONG-TERM PROJECTS MAY RETIRE RECORDS TO FRC PRIOR TO ABOVE EVENTS RETIRE **RECORDS IN 5 YEAR BLOCKS AND** DESTROY WHEN 25 YEARS OLD. C. All other offices/copies. DESTROY WHEN REFERENCE VALUE CEASES OR WHEN 5 YEARS OLD, WHICHEVER IS SOONER. [N1-255-91-14] R&D PROJECT FILES - change 5 See attached 8000 revision (N 25-1) Records consist of the central file for each project office, EXCLUDING test results, maintained at the laboratory or comparable level. These records reflect a complete

maintained at the laboratory or comparable level. These records reflect a complete history of each project from initiation through research, development, design, and testing, to completion. They include (when created by agency personnel or received from contractors)--procurement files, consisting of a copy of each contract or agreement for research services with related modification, changes, or addenda; project authorisation documents; TU application engineering documentation (where applicable); project cards; technical characteristics; test and trial results; drawingt, specifications, and photographs considered to be essential to document design, modulcations, and engineering development; technical and progress reports; notice of completion, cancellation, or termination; reference to the location of prototype models, films, or other items too bulky for inclusion in these files; and correspondence influencing the course of action taken on a project. Project case files usually consist of the series of records listed below. Each series should be filed separately if at all possible.

A. CORRESPONDENCE

SEE ITEM 4 OF THIS SCHEDULE.

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### **DESCRIPTION OF RECORD SERIES**

### **CONTINUED: R&D PROJECT FILES**

#### B. OFFICE OF PRIMARY RESPONSIBILITY (OPR) FOR A PROJECT

R&D project office files relating to complex, especially significant, or unusually large projects, generally resulting in prototype flight equipment; and sometimes comprised of one or more the following file series in this item.

#### C. <u>R&D PROCUREMENT FILES (Cost & Scheduling Files)</u>

One copy of each R&D contract, grant, or agreement associated with the accomplishments of the project with changes, modifications, or addenda thereto. (THIS IS THE PROJECT OFFICE'S FILE, NOT THE PROCUREMENT OFFICE FILE)

#### D. R&D STATUS FILES

Documents reflecting the project managers master plan for research, development, and test of a designated equipment system. These plans are used as management tools and as a means of informing higher authority of the system's status. Included in these records are the project management master plans, superseded and obsolete parts of the plans, studies and reports used to keep the plan current, and related documents.

#### E. R&D PROJECT AUTHORIZATION FILES

Documents reflecting actions leading up to and including the authorization for initiation of a project. Included are Project Planning Documents (PPDs) and Project Authorization Documents (PADs). MINIMUM RETENTION

\* PERMANENT \* RETIRE TO FRC WITHIN 2 YEARS AFTER COMPLETION OF RELATED PROJECT. TRANSFER TO NARA 15 YEARS AFTER COMPLETION OF PROJECT OR WHEN 25 YEARS OLD, WHICHEVER IS SOONER.

RETIRE TO FRC UPON COMPLETION, CANCELLATION, OR TERMINATION OF PROJECT. DESTROY 5 YEARS AFTER DESIGNATED EVENT.

LONG-TERM PROJECTS MAY RETIRE RECORDS TO FRC PRIOR TO ABOVE EVENTS. RETIRE IN 5 YEAR BLOCKS, DESTROY WHEN 15 YEARS OLD. EARLIER DESTRUCTION MAY BE AUTHORIZED IF APPROVAL IS OBTAINED FROM THE CENTER DIRECTOR OR COGNIZANT PROJECT MANAGER/SCIENTIST.

\* PERMANENT \* RETIRE TO FRC WITHIN 2 YEARS AFTER COMPLETION OF RELATED PROJECT. TRANSFER TO NARA 15 YEARS AFTER COMPLETION OF PROJECT OR WHEN 25 YEARS OLD, WHICHEVER IS SOONER.

\* PERMANENT \* RETIRE TO FRC WITHIN 2 YEARS AFTER COMPLETION OF RELATED PROJECT. TRANSFER TO NARA 15 YEARS AFTER COMPLETION OF PROJECT OR WHEN 25 YEARS OLD, WHICHEVER IS SOONER.

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*[UFI #1]* 

## DESCRIPTION OF RECORD SERIES

### MINIMUM RETENTION

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**CONTINUED: R&D PROJECT FILES** 

#### F. R&D PROJECT SPECIFICATION AND DRAWING FILES

- Copies of experimental, preliminary, and final drawings and specifications created in connection with the project.
- (1) Original drawings, such as Space Shuttle Program (SSP) projects.
- (2) Silver halide aperture cards of original drawings and/or microfilm of specifications.
- (3) All other copies of specifications/drawings.

### G. R&D PROJECT TEST, ENGINEERING, AND EVALUATION FILES

1. Documents

Documents relating to the testing and evaluation of R&D items to make sure that design, technical and characteristics requirements are met and to provide information for corrective actions, research, environmental, engineering, service, and other test directives; plans and preliminary and final reports; firing reports; calculations, working papers; related correspondence; and comparable test data.

2. Data on Electronic/Computer Media

See above description. (This includes test and analyzed data)

 Film (This is the same as Schedule 1-71 B.S.)

### H. R&D PROJECT MEETING FILES

Copies of minutes of meetings, conferences, reports, trip reports, reports of consultations, and similar papers pertaining to the project.

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DESTROY WHEN NO LONGER NEEDED OR WHEN 20 YEARS OLD WHICHEVER IS SOONER.

\* PERMANENT \* TRANSFER TO NARA WHEN 20 YEARS OLD OR WHEN PROJECT IS COMPLETE, WHICHEVER IS LONGER.

DESTROY WHEN SUPERSEDED, OBSOLETE, OR NO LONGER NEEDED OR WHEN 10 YEARS OLD WHICHEVER IS SOONER.

RETIRE TO FRC UPON COMPLETION, CANCELLATION, SUSPENSION, OR TERMINATION OF PROJECT. DESTROY WHEN 20 YEARS OLD. EARLIER DESTRUCTION MAY BE AUTHORIZED IF APPROVAL IS OBTAINED FROM THE CENTER DIRECTOR OR THE COGNIZANT PROJECT MANAGER.

LONG-TERM PROJECTS MAY RETIRE RECORDS IN THIS SERIES TO FRC PRIOR TO ABOVE EVENTS. RETIRE RECORDS IN 5 YEAR BLOCKS AND DESTROY WHEN 25 YEARS OLD.

TEST DÀTA TO BE INCORPORATED AND INCLUDED IN THE FINAL TEST REPORT; THEN DESTROY OR RECYCLE MEDIA.

TRANSFER TO FRC 1 YEAR AFTER PROJECT COMPLETION, CANCELLATION, OR TERMINATION. DESTROY WHEN 5 YEARS OLD.

DESTROY WHEN NO LONGER NEEDED FOR REFERENCE OR ADMINISTRATIVE USE OR WHEN 10 YEARS OLD, WHICHEVER IS SOONER.





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### **DESCRIPTION OF RECORD SERIES**

## **CONTINUED: R&D PROJECT FILES**

#### I. <u>R&D PROJECT DATA LOCATION FILES</u>

Cross references or notations as to the existence and location of scale models; experimental hardware, motion picture films, and comparable items which are not filed with the project files.

#### J. <u>R&D PROJECT REFERENCE REPORT FILES</u>

Copies of each technical, progress, or test report issued, or received in connection with the project, including feasibility, cost effectiveness, contractor reports, etc.

K. <u>R&D PROJECT REVIEW FILES</u>

Records in this series consists of documentation or other media produced which document Preliminary Requirements Reviews, Preliminary Design Reviews, Critical Design Reviews, Configuration Inspections, Design Certification Reviews, Flight Readiness Reviews, and Post Flight Evaluations.

#### L. <u>R&D PROJECT TERMINATION FILES</u>

Documents reflecting notices or authority for completion or termination of a project or task, such as technical committee action, or termination notice, indicating R&D work on a particular project or task has been completed.

#### M. <u>R&D FINAL REPORTS</u>

Records in this series includes final reports for:

- Completed Projects
- Cancelled or Terminated Projects
- Suspended Projects

MINIMUM RETENTION

DESTROY WHEN NO LONGER NEEDED FOR REFERENCE USE OR WHEN 20 YEARS OLD, WHICHEVER IS LONGER

\* PERMANENT \* RETIRE TO FRC WITHIN 2 YEARS OF COMPLETION, TERMINATION, SUSPENSION, OR CANCELLATION OF RELATED PROJECT. TRANSFER TO NARA WHEN 15 YEARS OLD.

LONG-TERM PROJECTS MAY RETIRE RECORDS IN THIS SERIES TO FRC PRIOR TO ABOVE EVENTS. RETIRE RECORDS IN 5 YEAR BLOCKS. TRANSFER TO NARA WHEN 20 YEARS OLD.

\* PERMANENT \* RETAIN RECORDS ON-SITE FOR 5 YEARS. RETIRE TO FRC FOR 10 YEARS. TRANSFER TO NARA 15 YEARS AFTER SUBJECT EVENT (INCLUDING TERMINATION, CANCELLATION, OR SUSPENSION). RECORDS DETERMINED TO BE DUPLICATES, REFERENCE COPIES, OR BEING MAINTAINED IN ANOTHER NASA SERIES, MAY BE DISPOSED OF WHEN NO LONGER NEEDED.

\* PERMANENT \* RETIRE TO FRC WITHIN 2 YEARS AFTER TERMINATION OR COMPLETION. TRANSFER TO NARA 10 YEARS AFTER SUBJECT EVENT OR WHEN 25 YEARS OLD WHICHEVER IS SOONER.

\* PERMANENT \* RETIRE TO FRG UPON DESIGNATED EVENT. TRANSFER TO NARA 20 YEARS AFTER DESIGNATED EVENT.

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## **DESCRIPTION OF RECORD SERIES**

**CONTINUED: R&D PROJECT FILES** 

#### N. R&D PROJECT OFFICE FILES - SUMMARIZED

R&D office files relating to relatively small research projects where data and results are summarized in a research report which is usually dissequinated throughout NASA.

#### O. R&D PROJECT SCIENTISTS FILES

Project scientists files that are not duplicated in a central Project Office file or project case file. These should be transferred as an integral whole and should be considered an adjunct to the central Project office file.

#### P. <u>R&D SPACECRAFT FILES - POST LAUNCH/ENGINEERING</u>

Logs and data describing the operations and performance of the various functions of the spacecraft or satellite, revealing malfunctions, attitude, environmental conditions which may be used to check ongoing operations and to introduce engineering improvements into the design of late or similar spacecraft; this series includes reports, technical notes, microfilm plots, memoranda, correspondence, and related papers/documentation.

#### Q. ALL OTHER COPIES

Offices maintaining working or reference copies of any of the above record series or R&D project papers/documentation.

MINIMUM RETENTION

RETIRE TO FRC WITHIN 2 YEARS AFTER COMPLETION OF RELATED PROJECT. DESTROY WHEN 6 YEARS OLD. FILES NECESSARY FOR SUCCEEDING PROJECTS MAY BE TRANSFERRED TO THE NEW PROJECT'S FILES.

\* PERMANENT \* RETIRE TO FRC WITHIN 2 YEARS AFTER COMPLETION, TERMINATION, SUSPENSION, OR CANCELLATION OF THE PROJECT. TRANSFER TO NARA 10 YEARS AFTER DESIGNATED EVENT OR WHEN 25 YEARS OLD, WHICHEVER IS SOONER IF RECORDS ARE NOT ACCEPTED BY NARA THEY WILL BE RETURNED TO THE AGENCY FOR DISPOSAL WHEN NO LONGER NEEDED.

RETIRE TO FRC 1 YEAR AFTER COMPLETION OF PERFORMANCE PERIOD OF THE CRAFT OR 5 YEARS AFTER DATA COLLECTION OR AFTER 5 VEHICLE FLOWS. DESTROY 5 YEARS AFTER DESIGNATED EVENT.

DESTROY WHEN NO LONGER NEEDED FOR REFERENCE, OR UPON COMPLETION, TERMINATION, CANCELLATION, OR SUSPENSION OF THE PROJECT, OR WHEN 5 YEARS OLD WHICHEVER IS SOONER.



(8000) (N 24-11)

## DESCRIPTION OF RECORD SERIES

**R&D CORRESPONDENCE FILES** 

#### GENERAL ADMINISTRATIVE

Records in this series consists of copies of correspondence and documentation relating to the administrative management and housekeeping operations of a laboratory or a program office. Files may include. Action/Suspense files; general correspondence; and, routine office actions and correspondence.

#### B. PROJECT CORRESPONDENCE - OTHER

Correspondence relating to a project and not a part of the project manager's files. This series may include correspondence and files kept by offices subordinate to the project manager. These are not routine administrative/housekeeping files, they are miscellaneous project correspondence files.

C. All other offices/copies

**5** [8000] (N 25-1)

## **R&D PROJECT FILES**

These records reflect a complete history of each project from initiation through research, development, design and testing, to completion Records in this series are typically maintained in a central file by the project office, which is considered the primary office of responsibility (OPR) Records designated for permanent retention will be clearly identified by the OPR and maintained separately from records considered temporary while in the custody of NASA Selected case files are to be retired separately from other case files to the Federal Records Centor (FRC).

Typical case files include, but are not limited to:

Incoming/outgoing correspondence and memoranda; Procurement files (cost and scheduling), Project Authorization Documents (PAD); TU application engineering documentation (where applicable); Project cards; Test and trial results; Drawings, specifications, photographs, and videos; Technical, status, and progress reports, Notice of completion, cancellation, or termination, Data location files; Project review files; Final project records/reports.

NOTE. This series does not include test results maintained at NASA or contractor laboratorics or comparable level. These records are covered by Item 17 of this Schedule.

## MINIMUM RETENTION

RETIRE TO FRC 1 YEAR AFTER COMPLETION, CANCELLATION, OR TERMINATION OF THE RELATED PROGRAM/PROJECT. DESTROY WHEN 5 YEARS OLD [N1-255-91-14]

LONG-TERM PROJECTS MAY RETIRE RECORDS TO FRC PRIOR TO THE ABOVE EVENTS. RETIRE RECORDS IN 5-YEAR BLOCKS, DESTROY WHEN 10 YEARS OLD. EARLIER DESTRUCTION MAY BE AUTHORIZED IS APPROVAL IS OBTAINED FROM THE CENTER DIRECTOR OR COGNIZANT PROJECT MANAGER/SCIENTIST. <DA: N1-255-94-3>

RETIRE TO FRC 1 YEAR AFTER COMPLETION, CANCELLATION, OR TERMINATION OF THE PROJECT. RESTROY 5 YEARS THEREAFTER.

LONG TERM PROJECTS MAY RETIRE RECORDS TO FRC PRIOR TO ABOVE EVENTS RETIRE RECORDS IN 5 YEAR BLOCKS AND DESTROY WHEN 25 YEARS OLD [N1-255-94-3]

DESTROY WHEN REFERENCE VALUE CEASES OR WHEN 5 YEARS OLD, WHICHEVER IS SOONER. [N1-255-91-14] 202 ~58 3062

## SCHEDULE 8

# ITEM [UFI#] DESCRIPTION OF RECORD SERIES

# 5 CONTINUED: R&D PROJECT FILES /80007

#### A. SELECTED PROJECT CASE FILES

This series consists of records that are of particular significance for for documenting NASA R&D projects. The following selection oritoria will be used to determine if a project falls within this disposal authority.

#### **PROJECTS THAT LEAD TO:**

- The discovery of new solence, reveal new facts or concepts useful for future research, or confirm less firmly based existing bodies of knowledge,
- Development of a "first of its kind" product or process;
- Establish a procedent for significantly changing NASA research, agency policy, internal organization, or legislative action;
- Contributes significant data that broadens knowledge in a field of science or substantively effects a key public policy issue;
- A Results in significant social, political, or scientific controversy;
- Is subject to widespread national or international media attention or the subject of Congressional or agency scrutiny or investigation;
- A Results in a significant improvement in processing or production; and/or,
- O Results in a significant net application of an existing product or process.

#### 1. PERMANENT Records in this series include:

[N 25-1a(2)]

<u>R&D Status Reports</u> Documents reflecting the master plan for research, development, and test of a designated equipment system Plans are used as a management tool and as a means of informing higher level management of the status. Included are project management master plans, superseded and obsolete parts of the plans, studies and reports used to keep the plan current, and related documents.

[N 25-1a(3)]	<u>Authorization Files</u> Documents reflecting scions leading up to and including the authorization for initiation of a project. Included are: Project Planning Documents (PPD), Project Authorization Documents (PAD).
[N 25-1a(4)]	Specification and Drawing Files

Original drawings that have not been microfilmed OR silver halide aperture cards of original drawings and specifications that show experimental, preliminary, and final versions created in connection with the project. Original drawings that have been microfilmed (and microfilm has been verified in accordance with 36 CFR, Part 1230, §1230.14) are no longer considered the "archival" or permanent record and may be disposed of in accordance with B of this item or when no longer needed, whichever is sooner.

- [N 25-1a(9)]
   Reference Reports Technical, progress, or test reports issued, or received in connection with the project including feasibility, cost, effectiveness, and contractor reports

   [N 25-1a(10)]
   Review Files
  - Documents or other media produced which document Preliminary Requirements Reviews, Preliminary Design Reviews, Critical Design Reviews, Configuration Inspections, Design Certification Reviews, Flight Readiness Reviews, and Post Flight Evaluations.

## MINIMUM RETENTION

• PERMANENT •

RECORDS MAY BE RETIRED TO AN FRC WHEN 2 YEARS OLD. TRANSFER TO NARA 15 YEARS AFTER COMPLETION OF THE PROJECT OR WHEN 25 YEARS OLD, WHICHEVER IS SOONER.

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ITEM			MINIMUM
<u>[UFI #]</u>		DESCRIPTION OF RECORD SERIES	RETENTION
<b>5</b> [8000]	CONT	INUED: R&D PROJECT FILES	
[]	1,	CONTINUED:	
[N 25-1a(11)]		Termination Files Documents reflecting notices or authority for completion or termination of a project or task, such as technical committee action, or termination notice, indicating R&D work on a particular project or task has been completed/terminated.	
		<u>Project Scientists Files</u> Files that are not duplicated in a central Project Office file or project case file. These should be transferred as an integral whols and should be considered an adjunct to the central project office file	
		<u>Final Reports</u> Records include reports for completed projects, cancelled, terminated, or suspended projects.	
		Audiovisual Records Records that document R&D project activities and are considered by the Project Office to be an integral part of selected case files \$\vec{\vec{\vec{\vec{\vec{\vec{\vec{	TRANSFER WITH SELECTED PROJECT CASE FILE.
	2.	TEMPORARY RECORDS OF SELECTED PROJECT CASE FILES	
		Records in this series include:	
		R&D Correspondence	SEE ITEM 4 OF THIS SCHEDULE.
		<u>Procurement Files</u> Copies of R&D contract, grant, or agreement associated with the accomplishments of the project with changes, modifications, or addends thereto.	RECORDS MAY BE RETIRED TO AN FRC WHEN 2 YEARS OLD. DESTROY WHEN 15 YEARS OLD.
		(THIS IS THE PROJECT OFFICES' FILES, NOT THE PROCUREMENT	OFFICE FILE.)
(N 25-1a(5))		Protect Test. Engineering, and Evaluation Files Records relating to the testing and evaluation of R&D items to make sure that design, technical and characteristics requirements are met and to provide information for corrective actions, research, environmental, engineering, service, and other test directives; plans and preliminary and final reports; firing reports, calculations; working papers; related correspondence; comparable test data; and, audio visual records that are routine and repetitive in nature.	RECORDS MAY BE RETIRED TO AN FRC WHEN 2 YEARS OLD. DESTROY WHEN 15 YEARS OLD.
		Electronic Data. See above description	DISPOSITION NOT AUTHORIZED AT THIS TIME.
		Film:	SEE SCHEDULE 1-71 B.3.
		Stills and Video.	SEE SCHEDULE 2-23 and 2-24.
[N 25-1±(7)]		<u>Meeting Files</u> Copies of minutes of meetings, conferences, reports, trip reports, reports of consultations, and similar papers pertaining to the project.	RECORDS MAY BE RETIRED TO AN FRC WHEN 2 YEARS OLD. DESTROY WHEN 15 YEARS OLD.

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# SCHEDULE 8

MINIMUM

RETENTION

#### ITEM **DESCRIPTION OF RECORD SERIES** [UF]#]

5	CO	NTINUED:	R&D PROJECT FILES	
[8000]		2. CONTI	NUED.	
[N 25-1a(8)]		Data Lo	ocation Files	
		54	ross references or notations as to the existence and location of cale models; experimental hardware, motion picture films, and comparable items which are not filed with the project files.	RECORDS MAY BE RETIRED TO AN FRC WHEN 2 YEARS OLD. DESTROY WHEN 15 YEARS OLD.
[N 25-1(12)]		រ ប្រ ពោ ពោ នទ	aft Files - Post Launch/Engineering ogs and data describing the operations and performance of the arious functions, attitude, environmental conditions which may be add to check ongoing operations and to introduce engineering inprovements into the design of late or similar spacecraft; this includes reports, technical notes, microfilm plots, temoranda, correspondence, and related papers/documentation.	RECORDS MAY BE RETIRED TO AN FRC WHEN 2 YEARS OLD DESTROY WHEN 13 YEARS OLD.
[N 25-16]		0 	Project Files - Summarized flice files relating to relatively small research projects here data and results are summarized in a research report hich is usually disseminated throughout NASA.	RECORDS MAY BE RETIRED TO AN FRC WHEN 2 YEARS OLD. DESTROY WHEN 15 YEARS OLD.
[N 25-10]		3. ALL O	THER COPIES/OFFICES	
			maintaining working or reference copies of any of the above series of R&D project papers/documentation.	DESTROY WHEN NO LONGER NEEDED FOR REFERENCE, OR UPON COMPLETION, TERMINATION, CANCELLATION, OR SUSPENSION OF THE PROJECT, OR WHEN 5 YEARS OLD WHICHEVER IS SOONER.
	B.	NONSELEC	TED PROJECT CASE FILES	
			e in this series include those projects that do not meet the n criteria identified in A above.	RECORDS MAY BE RETIRED TO AN FRC WHEN 2 YEARS OLD. DESTROY WHEN 15 YEARS OLD. <da: n1-255-94-3=""></da:>
		2. ALL O	THER COPIES/OFFICES	
		records	muntaining working or reference copies of any of the above series of R&D projects that do not meet the selection criteria ed in 5-A or in B-1 above.	DESTROY WHEN NO LONGER NEEDED FOR REFERENCE, OR UPON COMPLETION, TERMINATION,

COMPLETION, TERMINATION, CANCELLATION, OR SUSPENSION OF THE PROJECT, OR WHEN 5 YEARS OLD WHICHEVER IS SOONER <DA: N1-255-94-3>

a.

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RESERVED 6

[XXXX]

# ITEM [UFI#] DESCRIPTION OF RECORD SERIES

MINIMUM RETENTION

## 7 R&D APOLLO DOCUMENTATION ADMINISTRATION FILES [8000] (SEE NOTE<sub>1</sub>)

#### A. APOLLO DOCUMENTATION SYSTEM

(N 24-8) A listing of documentation covering the Apollo Documentation System consisting of record copies of 3 documents: (1) Center Apollo Document Index, Appendix "A"; (2) Center Apollo Document Index, Numerical Listing by Level Category, Control Number; (3) Center Apollo Document Index Alphabetical Listing. The system will provide for continual management review to eliminate duplicate or nonessential requirements and reduce costs related thereto. Included are supporting papers reflecting changes to basic documents.

- 1. Paper Records.
- 2. Microfilm Records. (Includes silver original and diazo copy.)
- 3. All other offices, paper or microfilm copies.

DESTROY AFTER VERIFICATION/ CERTIFICATION OF MICROFILM, OR 1 YEAR AFTER CERTIFICATION OF NO LONGER NEEDED FOR USE.

\* PERMANENT \* TRANSFER TO NARA WHEN 30 YEARS OLD.

DESTROY WHEN NO LONGER NEEDED FOR REFERENCE PURPOSES OR WHEN 10 YEARS OLD, WHICHEVER IS SOONER.

#### B. CONSTRUCTION OF FACILITIES & R&D/GROUND SUPPORT EQUIPMENT (GSE)

Documentation relating to Apollo and projects initiated by the Apollo program (R&D/GSE and CofF) which includes drawings, specifications, technical manuals, electronic/computer media, photos, test reports, Operations & Maintenance (O&M) documentation, constructions files, and, Information for Bids (IFB).

- 1. Office of Primary Responsibility.
  - a. Paper Records.
  - b. Microfilm Records. (Includes silver original and diato copy.)
- 2. All other offices, paper or microfilm copies.

DESTROY AFTER VERIFICATION/ CERTIFICATION OF MICROFILM, OR 1 YEAR AFTER CERTIFICATION OF NO LONGER NEEDED FOR USE.

\* PERMANENT \* RETAIN ON-SITE. RETIRE TO FRC WHEN 20 YEARS OLD AND TRANSFER TO NARA WHEN 30 YEARS OLD.

DESTROY WHEN NO LONGER NEEDED FOR REFERENCE PURPOSES OR WHEN 10 YEARS OLD, WHICHEVER IS SOONER.

**NOTE<sub>1</sub>:** This is a CLOSED series.



[8030]		
	Digital tapes that contain all the basic and supporting measurements	* PERMANENT *
withdrawn	after they have been selected, processed, and reduced to the final	CLOSE FILE AFTER LAST QUERY
withanalox	form that best represents the scientific knowledge obtained from the	OR MANIPULATION. RETIRE TO
pending	investigation.	FRC 1 YEAR LATER. TRANSFER TO
· · · .	[SEE NOTE <sub>1</sub> ]	NARA 20 YEARS AFTER DATE OF
NAS study	•	DATA COLLECTION

**NOTE<sub>1</sub>:** These Records are MAINTAINED at the NATIONAL SPACE SCIENCE DATA CENTER (NSSDC) operated by Goddard Space Flight Center (GSFC).

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### ITEM *[UF]#]*

## **DESCRIPTION OF RECORD SERIES**

### 9 CONFIGURATION CONTROL BOARD (CCB) RECORDS

[8040]

Records such as notices of meetings, change requests, minutes, documentation, changes to documentation, directives, etc.

A. Program level (kept as a part of case file in R&D Program/ or project [See also Item # of this Schedule ] RETIRE TO FRC WHEN 2 YEARS OLD. DESTROY WHEN 30 YEARS OLD. EARLIER DESTRUCTION IS AUTHORIZED UPON RECEIPT OF SPECIFIC AUTHORIZATION FROM PERTINENT CENTER DIRECTOR OR PROGRAM MANAGER.

MINIMUM

**RETENTION** 

B. Project office level.

SEE DISPOSITION ABOVE.

### 10 CONFIGURATION MANAGEMENT FILES - SPACE SHUTTLE PROJECT OFFICE [8040] (MSFC ONLY)

7

Records in this series consist of Baseline Level III documentation with specific requirements applicable to the projects, e g, solid rocket booster and redesigned motor, main engine; etc. The following records are considered a part of this series, but the series is not limited to these records only:

- Level I and II requirements;
- Design and Performance requirements;
- Interface; Verification, Training Requirements;
- Design, construction, and assembly and installation standards and specifications,
- Design concepts, approaches, and solutions; and,
- Product configuration descriptions.

\* PERMANENT \* RETAIN RECORDS AT MSFC UNTIL COMPLETION, CANCELLATION, OR TERMINATION OF THE PROJECT. RETAIN OR RETIRE RECORDS TO FRC FOR 10 YEARS THEN TRANSFER TO NARA

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ITEM <i>[UFI #]</i>	DESCRIPTION OF RECORD SERIES	MINIMUM <u>RETENTION</u>
<b>11</b> [8060]	DESIGN AND ENGINEERING DRAWINGS FOR FABRIC AND EXHIBITS	ATION OF DISPLAY MODELS
(N 9-1d)	A. Original vellum drawings.	RETIRE TO FRC WHEN NO LONGER NEEDED OR WHEN 2 YEARS OLD. DESTROY 8 YEARS LATER.
	B. All other offices/copies.	DESTROY WHEN NO LONGER NEEDED OR WHEN & YEARS OLD, WHICHEVER IS LATER.
1 <b>2</b> [8070]	STANDARDS AND SPECIFICATION FILES	
(N 24-6)	Preliminary, experimental, and final standards/specifications created in connection with engineering projects. Arrange by specification number or by project.	
	A. Office of Primary Responsibility (OPR).	* PERMANENT * RETIRE TO FRC WHEN NO LONGER NEEDED FOR REFERENCE. TRANSFER TO NARA WHEN 15 YEARS OLD.

DESTROY WHEN OBSOLETE OR SUPERSEDED, OR WHEN 5 YEARS OLD, WHICHEVER IS SOONER. .

B. All other offices/copies.

8-10

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### ITEM *[UFI#]*

#### **DESCRIPTION OF RECORD SERIES**

# 13 ADVANCED MANNED AND UNMANNED MISSION STUDIES

[8100] (N 24-16)

16) Advanced studies of flight missions beyond those currently approved, or studies of as yet unapproved spacecraft, launch vehicles, spacecraft, and/or aircraft or aircraft systems that may lead toward such future flight missions or studies leading to significant changes on an already approved configuration of spacecraft and launch vehicle. \* PERMANENT \* RETIRE TO FRC ON COMPLETION OR ABANDONMENT OF STUDY, TRANSFER TO NARA 20 YEARS AFTER COMPLETION OR ABANDONMENT OF STUDY.

MINIMUM

RETENTION

## 14 SUMMARY PROGRESS REPORTS (R&D) - new [8100]

Reports submitted by laboratories or other project offices to show the initiation and degree of completion of projects and consolidated reports prepared therefrom.

- A. Copies of reports retained by reporting office.
- B. Feeder reports used for compilation of consolidated reports, except as indicated in C. below.
- C. Consolidated reports consisting of an official file copy of each consolidated report and any feeder reports containing technical or scientific data not fully documented in the consolidated report.

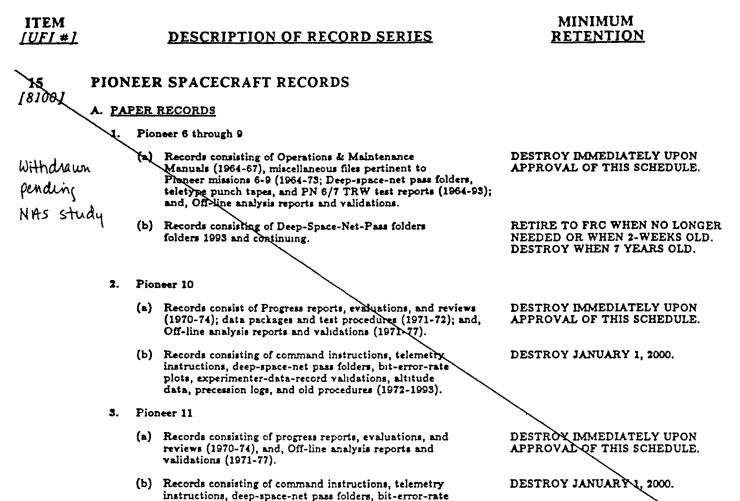
DESTROY 1 YEAR AFTER COMPLETION, CANCELLATION, OR TERMINATION OF RELATED PROJECT.

DESTROY UPON SUBMISSION OF CONSOLIDATED REPORT.

#### \*PERMANENT

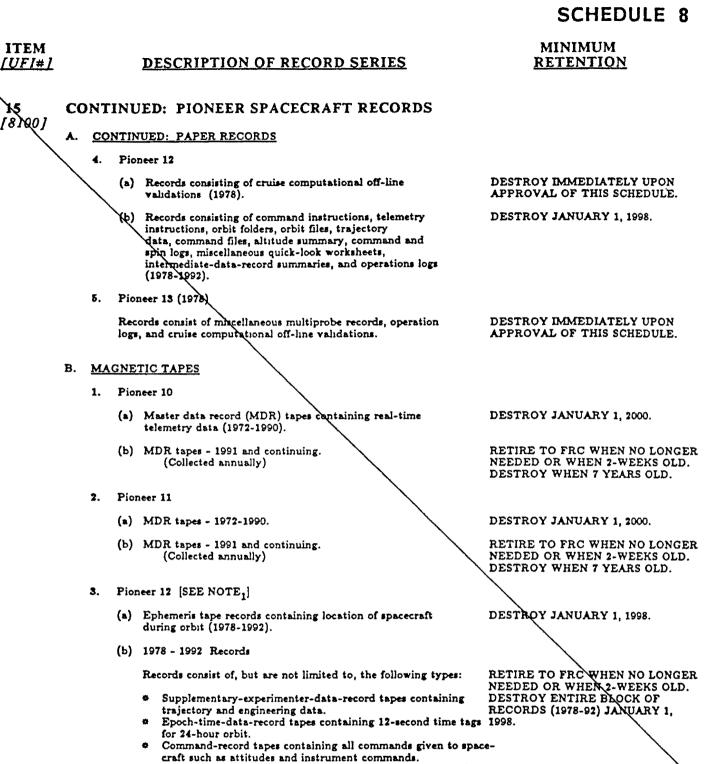
RETIRE TO FRC 3 YEARS AFTER COMPLETION OF REPORT. TRANSFER TO NARA 10 YEARS AFTER REPORT.

Incorporate into program Manager files captured Under chapter 7, item 6.



plots, experimenter-data-record validations, altitude data, precession logs, data packages, test procedures, and

progress reports (1973-1993).



 Intermediate-data-record tapes containing telemetry records received from JPL.

 Log tapes containing telemetry records generated from highspeed data stream received from Ames.

**NOTE<sub>1</sub>:** This is a CLOSED series. Pioneer 12 no longer exists.

8-13

ITEM <i>[UF1 #1</i>	DESCRIPTION OF RECORD SERIES	MINIMUM <u>RETENTION</u>
<b>16</b> [8120]	PROJECT CONTROL FILES	
(N 1-24)	Memoranda, reports, control files such as summary eards showing assignments, progress, and completion of projects.	DESTROY 1 YEAR AFTER <u>THE YEAR IN WHICH THE PROJECT</u> IS CLOSED. [GRS 16-5 (88)]
19 [8200]	<b>R&amp;D EXPERIMENTAL PROJECTS DATA FILES</b>	
(H 25-4)	Documents maintained and used by scientific and engineering personnel for research, development, and test of an item, or within a field of scientific inquiry, reflecting concept, design criteria, studies, calculations, and test performance of assemblies, or devices developed. Included are engineering or scientific notes, drafts of technical reports and articles; telemetry, oscillographic and pen recordings; time and motion films and recordings; still photographs; computer media; sound recordings, and similar ough or raw data which are a part of the project files. These records are considered to be genuine break throughs in a particular field.	See attached revisión
	A. Data essential to support laboratory notebook information, discoveries, and invention disclosures, including data relating to patent matters and data relating to historical, significant, or unique accomplishments.	* PERMANENT * RETIRE TO FRC WHEN NO LONGER NEEDED. TRANSFER TO NARA WHEN 25 YEARS OLD.
will drawn perioding NAS study	B: Data on electronic/computer media.	SEE EITHER SCHEDULE 2 FOR GENERIC ITEM DESCRIPTIONS OR <u>SPECIFIC RECORD</u> SERIES FOR APPROPRIATE DISPOSITIONS.
NIIS STURY	C. Data that has been fully incorporated into reports.	DESTROY WHEN REPORT IS RELEASED, OTHERWISE DESTROY WHEN 5 YEARS OLD, WHICHEVER IS LATER.
	D. Other data files.	RETIRE TO FRC WHEN NO LONGER NEEDED FOR THE PROJECT OR RESEARCH USE. DESTROY WHEN 6 YEARS OLD.

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## 17. R&D EXPERIMENTAL PROJECTS DATA FILES

Documents maintained and used by scientific and engineering personnel for research, development, and test of an item, or within a field of scientific inquiry, reflecting concept, design criteria, studies, calculations, and test performance of assemblies, or devices developed. Included are engineering or scientific notes, drafts of technical reports and articles; telemetry, oscillographic and pen recordings, time and motion films and recordings; still photographs; computer media; sound recordings, and similar rough or raw data which are a part of the project files.

A. Data essential to support laboratory notebook information, discoveries, and invention disclosures, including data relating to patent matters and data relating to historical, significant, or unique accomplishments.

(1) Significant project data files deemed by the originating project office to have value because of the highly significant nature or uniqueness of the activity.

Disposition: PERMANENT. Retire to FRC 2 years after completion of project. Transfer to NARA when 25 years. old.

(2) Non-significant project data files.

Retire to FRC 2 years after completion of project. Destroy when 20 years old.

Willdraw pendin NPS Study B. Data on electronic/computer media.

Disposition: Destroy when no longer needed.

C. Data that has been fully incorporated into NASA technical reports.

Disposition: Destroy when report is released or when 5 years old, whichever is later.

# ITEM[UF1#]DESCRIPTION OF RECORD SERIES

#### 18 ATMOSPHERIC SCIENCE DATA (KSC ONLY) ~ 作い [8200]

#### A. LIGHTNING DATA

KSC related and electrical field and meteorological data to include electronic/computer media, technical memos, scientific journal reports, strip charts, launch pad lightning flash reports, video recordings of lightning strikes, and other associated media.

#### B. LDAR RECORDS (Lightning Detection and Ranging)

Lightning location data to include electronic/computer media and technical reports.

#### MINIMUM RETENTION

RETAIN ON-SITE FOR 10 YEARS OR UNTIL REFERENCE VALUE CEASES. UPON PROGRAM COMPLETION, CANCELLATION, OR TERMINATION, DONATE RECORDS TO THE FOLLOWING UNIVERSITIES IF UNIVERSITIES DO NOT WANT, DESTROY RECORDS IMMEDIATELY. • Order of Donation as follows: A-1; A-2; and A-3.

RETAIN ON-SITE FOR 5 YEARS OR UNTIL REFERENCE VALUE CEASES. UPON PROGRAM COMPLETION, CANCELLATION, OR TERMINATION, DONATE RECORDS TO THE FOLLOWING UNIVERSITIES IF UNIVERSITIES DO NOT WANT, DESTROY RECORDS IMMEDIATELY. \* Order of Donation as follows. B-1; B-2; and B-3.

#### A-1 / B-2

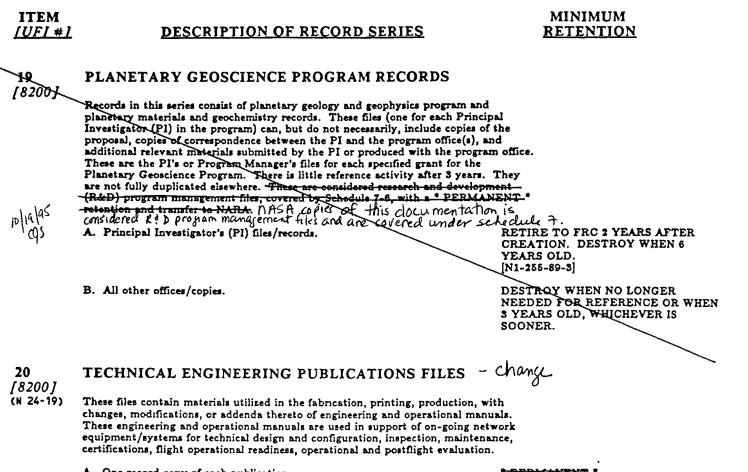
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#### A-2 / B-1

University of Arizona Attn: Dr. Phil Krider Institute of Atmospheric Physics PAS Bldg. 81, Rm. 542 Tucson, AZ 85721 University of Florida Attn: Dr. Martin A. Uman College of Engineering Department of Electrical Engineering Gainsville, FL

#### A-3 / B-3

New Mexico Institute of Mining & Technology Attn: Prof. Paul Krehbiel Physics Department Socorro, NM 87801



- A. One record copy of each publication. (Copy should be marked, "Official Record Copy.")
- B. Camera-ready copy, including board mounted art work, drawings, negatives, and repro assembly sheets.

\* PERMANENT \* RETIRE TO FRC 1 YEAR AFTER EQUIPMENT/SYSTEM BECOMES INACTIVE. TRANSFER TO NATA WHEN SO YEARS OLD. DESTROY 20 YEARS After Superseded. STORE IN INSTALLATION STAGING AREA. AFTER 2 YEARS DESTROY OBSOLETE RECORDS. RETIRE REMAINING FILES TO FRC WHEN 5 YEARS OLD AND DESTROY WHEN 10 YEARS OLD.



24

#### **DESCRIPTION OF RECORD SERIES**

### LABORATORY NOTEBOOKS (R&D)

[8200]
 (N 25-3) Notebooks containing technical and scientific data accumulating from the conduct of research and development. Notebooks used to record and preserve research, development, and testing data reflecting the conditions of tests of plan or approach to problems, observations, modifications, formulas, unusual or significant phenomena, findings and results, and other related data. Included are narrative data, rough sketches, curves, and schematic diagrams.

- A. Bound serially numbered official laboratory notebooks.
- B. Notebooks containing data determined by competent scientific or technical personnel either to be duplicated in technical reports or elsewhere in project case files, or to be of such a routine or fragmentary nature that their retention would not add significantly to the project file.
- C. Other notebooks (unnumbered, looseleaf, etc.) relating to patent numbers, historical, significant, or unique accomplishments, needed to achieve continuity of effort, avoidance of duplicated effort, and to provide hand-written, signed and dated legal proof of Governmentsponsored inventions in the event of patent litigation.
- D. All other notebooks/copies.

See attached NUISIM

MINIMUM

RETENTION

\* PERMANENT \* RETIRE TO FRC 15 YEARS AFTER COMPLETION, CANCELLATION, OR TERMINATION OF THE PROJECT. TRANSFER TO NARA 30 YEARS AFTER SUBJECT EVENT OR WHEN 30 YEARS OLD.

DESTROY 6 MONTHS AFTER COMPLETION, CANCELLATION, OR TERMINATION OF THE RELATED PROJECT OR PROJECTS.

\* PERMANENT \* RETIRE TO FRC WHEN 10 YEARS OLD. TRANSFER TO NARA WHEN 30 YEARS OLD.

DESTROY WHEN NO LONGER NEEDED FOR REFERENCE USE OR WHEN 5 YEARS ODD, WHICHEVER IS SOONER.

22 TRACKING AND DATA ACQUISITION - PROJECT MINITRACK ANALOG CHARTS [8400] (N 25-5) Ministrack analog charts recorded by STDN stations (SANBORN recordings).

A. TRACKING STATIONS

Withdrawn pending NAS study

1. Charts of selected stations indicating ionospheric disturbances.

nin study

- 2. Charts of other stations.
- B. <u>GODDARD SPACE FLIGHT CENTER (GSFC)</u> (charts received from Item A.1. above.)

TRANSFER TO GSFC, ADVANCED DEVELOPMENT DIVISION, WHEN 6 MONTHS OLD. GSFC WILL DESTROY WHEN NO LONGER NEEDED OR WHEN 5 YEARS OLD, WHICHEVER IS SOONER.

DESTROY AT STATION WHEN 1 YEAR OLD.

DESTROY WHEN NO LONGER NEEDED OR WHEN 5 YEARS OLD, WHICHEVER IS SOONER.



**ITEM** (UFL#]

21 [8200]

(N 25-3)

#### DESCRIPTION OF RECORD SERIES

## LABORATORY NOTEBOOKS (R&D)

Notebooks containing technical and scientific data accumulating from the conduct of research and development. Notebooks used to record and preserve research, development, and testing data reflecting the conditions of tests of plan or approach to problems, observations, modifications, formulas, unusual or significant phenomena, findings and results, and other related data Included are narrative data, rough sketches, curves, and schematic diagrams.

- A. Bound serially numbered official laboratory notebooks and looseleaf notebooks that document and support significant, historical, or unique accomplishments or relate to patent findings. These records are needed to achieve continuity of effort, and provide hand-written signed and dated legal proof of Government-sponsored inventions.
- B. Notebooks containing data determined by competent scientific or technical personnel either to be duplicated in technical reports or elsewhere in project case files, or to be of such a routine or fragmentary nature that their retention would not add significantly to the project file.
- C. All other notebooks/copies (These copies cannot be retired to an FRC)

• PERMANENT • RETIRE TO FRC 2 YEARS OLD. TRANSFER TO NARA 25 YEARS OLD.

DESTROY 6 MONTHS AFTER COMPLETION, CANCELLATION, UR TERMINATION OF THE RELATED PROJECT OR PROJECTS

DESTROY WHEN NO LONGER NEEDED FOR REFERENCE USE OR WHEN 5 YEARS OLD, WHICHEVER IS SOONER.

#### MINIMUM RETENTION

- **- -**



#### DESCRIPTION OF RECORD SERIES

#### MINIMUM RETENTION

# 23 [8400]

#### **GROUND NETWORK PROGRAM FILES**

The ground network files consist of records that cover the following elements: The Deep Space Network (DSN), managed by the Jet Propulsion Laboratory (JPL); the Space Flight Tracking and Data Network (STDN), managed by Goddard Space Flight Center (GSFC); Balloon and Sounding Rocket Tracking and Data Acquisition Facilities, managed by GSFC; and the Aeronautics Tracking and Data Acquisition Facilities, managed by Ames Research Center (ARC).

#### 0] A. TRACKING STATION RECORDS (DSN/STDN)

Records in this series consist of data received from three complexes located in Canberra, Australia; Madrid, Spain; and Goldstone, California. These facilities primarily communicate with interplanetary spacecraft and spacecraft in high Earth orbit that are beyond the view constraints of the Tracking and Data Relay Satellite System (TDRSS). Records in this series also consist of data/information received from the ground stations at Merritt Island, Florida; Bermuda and Dakar, Senegal which provide pre-flight, launch, and early orbit communications for Shuttle and expendable launch vehicles (ELV).

1. Station Records.

Records include, but are not limited to, station photos, phasedown/closure, budget, general correspondence, and contract data for the following stations: Australia, Bermuda, Chile; etc.

2. Program Planning Records.

Records in this series consists of 10-year implementation plans; forecasts; implementation program guidelines, roles & responsibilities; utilization records; and, other associated or related documentation.

#### [8440] B. BALLOON AND SOUNDING ROCKET RECORDS

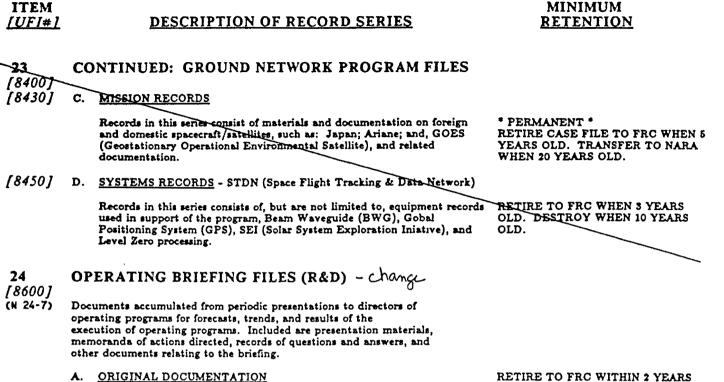
The records consist of data/information collected by the NASA facilities located at Palestine, Texas, and Poker Flat, Alaska (Atmospheric balloon programs). The facilities at Poker Flat, Wallops, and the White Sands Sands Missile Range in New Mexico provide tracking and data acquisition for sounding rocket activities. Also included in this records series are the aeronautics program records consisting of data/information collected by the Flight facilities at Wallops Island and the Western Aeronautical Test Range. \* PERMANENT \* RETIRE TO FRC WHEN 5 YEARS OLD. TRANSFER TO NARA WHEN 25 YEARS OLD.

RETIRE WHEN 2 YEARS OLD. DESTROY WHEN 10 YEARS OLD.

• PERMANENT • RETIRE TO FRC WHEN 5 YEARS OLD. TRANSFER TO NARA WHEN 10 YEARS OLD.

withdrawn ` penduig NAS study

[8410]



B. OTHER OFFICES/COPIES

RETIRE TO FRC WITHIN 2 YEARS AFTER PRESENTATION TO MANAGEMENT OR COMPLETION OF THE PROGRAM. DESTROY WHEN 15 YEARS OLD.

SCHEDULE 8

DESTROY WHEN NO LONGER NEEDED OR WHEN 3 YEARS OLD, WHICHEVER IS SOONER.

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ITEM

[UFI #]

# **DESCRIPTION OF RECORD SERIES**

MINIMUM RETENTION

# 25 MISSION OPERATIONS [8600]

#### (N 26-10) A. VIDEO RECORDINGS

JOHNSON SPACE CENTER - ITEMS 1-4 (JSC ONLY)

1. Space Flight Imagery

Video tape recordings are classified as original field-sequential (FS) recordings, original NTSC records, original film transfer records, and dub master recordings. These records are limited to: Launch/Landings; Downlinks; and On-Board Recordings, the master tapes with all identifying information will be transferred to NARA.

- (a) Launch and Landing Videos.
   (This series includes log books or initial scene list which includes a description, name(s) of people in video, equipment, and a brief synopsis of what is going on.)
   Transfer includes the original recording including the conversion to color.
- (b) Downlinks.
- (c) On-Board Recordings.

(d) Pre-Mission and Post-Mission Videos

- (e) Converted FS, NTSC, film transfer and working duplicates of (made within 2 years of the master) the above items (a) - (d).
- 2. Test and Training Activities
  - (a) Videos in this series can include, but are not limited to, shuttle support, crew training, Wet-F (facility) training, etc.
  - (b) Spacecraft and launch vehicle testing.

\* PERMANENT \* TRANSFER TO NARA WHEN SET 10 YEARS OLD. At Samer if 60 needed for against use-

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\* PERMANENT \* TRANSFER TO NARA WHEN 38 10 YEARS OLD. ST SOCHER IF AS needed for aparty use. TRANSFER TO NARA WHEN 55 10 YEARS OLD. OF SOON OF AS long THESE VIDEOS MAY BE RETAINED AT JSC INDEFINITELY OR MAY BE **RETIRED TO FRC WHEN 5 YEARS** OLD. THOSE RETIRED TO FRC MAY BE DESTROYED WHEN 30 YEARS OLD, THOSE RETAINED ON-SITE MAY BE DESTROYED WHEN NO LONGER NEEDED OR WHEN 50 YEARS OLD, WHICHEVER IS SOONER.

VIDEOS MAY BE RETAINED AT JSC INDEFINITELY THEY MAY BE RELEASED FOR REUSE OR DISPOSAL WHEN NO LONGER NEEDED OR WHEN 50 YEARS OLD, WHICHEVER IS LATER.

REDEASE AND REUSE VIDEO TAPES 30 DAYS AFTER SPECIFIC ACTIVITY OR FILMING.

ORIGINAL VIDEO OF CRITICAL TESTING SHALL BE RETAINED FOR 3 YEARS AT JSC IN THE CENTER STORAGE FACILITY. WHEN VIDEOS ARE 3 YEARS OLD, TAPE WILL BE RELEASED FOR REUSE/RECYCLE

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ITEM <i>[UF1#]</i>		DESCRIPTION OF RECORD SERIES	MINIMUM <u>RETENTION</u>
25	CONT	INUED: MISSION OPERATIONS	
[8600]	A. Con	atinued: <u>VIDEO RECORDINGS</u> (JSC ONLY)	
	3.	Productions (scripted)	
		Records in this series are informational in nature or are documentary in nature, or are general information on routine training elements.	11/2/95 095
		a) Productions that document NASA activities and history.	* PERMANENT* Transfer to NARA when 30 years old, or sooner
		b) Routine training videos	Destroy when no longer
	<b>د.</b>	- Documentaries (taped or live), press conferences, or special events.	needed or 1 year after con- pletion. [GRS 21-17] * PERMANENTX Transfer to NARA when 30 years old. ac-sconer:
		NNEDY SPACE CENTER - ITEMS 5-8 (KSC ONLY) Space Shuttle Program (SSP) [formerly the Space Transportation	* PERMANENT *
		System (STS)] documentary motion picture, video, or film.	RETAIN ON-SITE FOR 5 YEARS. TRANSFER, ALONG WITH ANY INDEXES/FINDING AIDS TO NARA WHEN 5 YEARS OLD. [SEE NOTE <sub>1</sub> ] [N1-255-90-1]
	6.	STS Research and Development audiovisual products. Records include STS R&D coverage, training, and construction progress films. [Sec note 2]	• PERMANENT • Transfer RETAIN ON-SITE FOR 1 YEAR. RETIRE VIDEO TAPE AND MOTION PICTURE FILM (INCLUDING A PRODUCTION COPY OF FILM IF AVAILABLE) ALONG WITH ANY SUPPORTING INDEXES/FINDING AIDS TO NARA (NNSM) FOR 15 YEARS INTERIM STORAGES TRANSFER TO NARA WHEN 18/5 YEARS OLD. [SEE NOTE <sub>1</sub> ] /O [N1-255-90-1]
	7.	Flight Element Engineering Clossout motion picture/video.	RETAIN FILM/VIDEO FOR 5 YEARS ON-SITE, THEN RETIRE TO FRC FOR 15. DESTROY WHEN 20 YEARS OLD. [N1-255-90-1]

NOTE, : Address for shipment is: National Archives at College Park, Motion Picture, Sound and Video Branch, NNSM Rm 3350, 8601 Adelphia Rd, College Park, MD 20740-6001.

NOTE : Still photos covered elsewhere under this item.

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ITEM MINIMUM <u>[UFI #1</u> DESCRIPTION OF RECORD SERIES RETENTION 25 **CONTINUED: MISSION OPERATIONS** [8600] A. Continued: VIDEO RECORDINGS (KSC ONLY) 16 mm, 35 mm, and 70 mm Film/Print Footage STS Engineering. 8. Film footage consists of day-to-day activities or events which may include any of the following in short clip film format, finished/raw footage, or short films: Arrivals of various dignitaries; KSC conference proceedings; astronaut arrivals; some launch coverage; equipment rollouts (e.g., SRB, shuttle external tank); arrival of "new" shuttles or external tanks; ground breaking ceremonies for new facilities; and other miscellaneous daily activities. \* PERMANENT \* (a) Original Film. **RETAIN ON-SITE FOR 5 YEARS** ALONG WITH ANY INDEXES/ FINDING AIDS. TRANSFER TO NARA WHEN 5 YEARS OLD. [N1-255-90-1] Change (b) Copies of Film DONATE COPIES OF THE ORIGINAL FILM AS CITED IN (1) ABOVE TO THE CUSTODY OF EITHER **INSTITUTION:** Kansas Cosmosphere and Space Center, Attn: Mr. Rick Donovan, 1100 N. Plum Street, Hutchinson, KS 67501 OR Brevard Community College, Attn: Mr. Robert A. Aitken, Proyost, Cocos Campus, 1519 Clearlake Road, Gocoa, FL 32922 [N1-255-90-1] MINUL MISHAPS OF MALFUNCTIONS B. STS VIDEO TAPES OF ACCIDENTS, OR IMPOUNDED VIDEO -PERMANENT-**RETIRE TO FRC 2 YEARS AFTER** COMPLETION OF INVESTIGATION (Major accident files are coptured in chapter OR ACCIDENT, WHICHEVER IS LATER. TRANSFER TO NARA WHEN 1, under item 119.) SO YEARS OLD .- Destroy when [N1-255-90-1] 25 years old. Original video tapes for STS SIL (challenger)

are captured under item 30 of this chapter.

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#### ITEM <u>[UFI#]</u> **DESCRIPTION OF RECORD SERIES**

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MINIMUM RETENTION

-	<u>- ST</u>	ILL PHOTOS (KSC ONLY)	
	1.	Space Transportation System (STS) documentary stills. [SEE NOTE <sub>1</sub> ]	• PERMANENT • RETAIN NEGATIVES ON-SITE FOR F YEARS. TRANSFER ORIGINAL NEGATIVE AND (1) CAPTIONED PRINT (WHERE AVAILABLE) TO NARA WHEN 5 YEARS OLD. INDEXES/FINDING AIDS SHOULD BE TRANSFERRED WITH THE RECORDS. [N1-255-90-1]
Chamje	2.	STS Research and Development still pictures of STS R&D coverage, training, and construction progress. [SEE NOTE <sub>1</sub> ]	• PERMANENT • Transfer RETAIN NEGATIVES ON SITE POR- TEAR RETIRE NEGATIVES, (1) CAPTIONED PRINT OF EACH (WHERE AVAILABLE) AND ANY SUPPORTING INDEXES/FINDING AIDS TO NARA STILL PICTURES BRANCH (NNSP), EOR 15 TEARS INTERIM STORAGE TRANSFER TO NARA WHEN 15 YEARS OLD. [N1-255-90-1] 15
lange	3.	Flight Element Engineering Closeout photo's.	RETAIN STILL NEGATIVES POR 5 YEARS ON-SITE, THEN RETIRE TO FRC FOR 15. DESTROY WHEN 20 YEARS OLD. [N1-255-90-1]
		A. Still negatives.	Rotain still negatives for 5 year on sitc, then retire to FRC for 10 years. Destroy when 15 years old.
		B. Electronic mages.	Images will be transferred from the electronic reusable media to Write Once Read Many (WORM) Media. Electronic reusable media Will be released for reuse upon Verification of image transfer the WORM media. WORM storage media will be retained on site f 5 years and then retired to fR for 10 years. WORM storage me will be destroyed when 15 years old.

Contact installation records manager for proper shipping instructions -11/2/95-Cys

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#### ITEM *[UFI #]*

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#### **DESCRIPTION OF RECORD SERIES**



## 25 CONTINUED: MISSION OPERATIONS

#### [8600]

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#### D. TECHNICAL ENGINEERING OPERATIONS AND SUPPORT FOR THE SSP (KSC ONLY)

These KSC documents are utilized in the testing and verification of the SSP hardware. They include records pertaining to testing, inspection, maintenance, scheduling, checkout, and verification of flight operational readiness for on-board systems and ground support systems:

Processing Documentation

Records relating to Problem Reporting and Corrective Action (PRACE, i e., STS element problem reports (PRs), Interim Problem Reports (IPRs), Tile Problem Reports and interim reports, Tile Discrepancy Reports and Correction Action and Assistance Requests (CAARs), Work Authorization Documents such as Operations and Maintenance Instructions (OMIs), Repetitive Task OMIs, Job Cards, Type B Tast Preparation Sheets (TPS), Preventative Maintenance Instructions, Certification and Calibration Procedures, Instruction Change Requests, Work Orders and other working procedures. Also included are the operational processing schedules, trouble tickets, work control documents, test support operations, processing support plan, Operations and Maintenance Plan, Data Management, schedule and status summary and any engineering or operational logs. Technical configuration management requirements and change control documents should be included as well as Operations, Maintenance, Requirements and Specifications (OMRS), any Requirements Change Notices or Waivers/Exceptions to these requirements. Any supporting or related documents to the above. [SEE NOTE<sub>1</sub>] (a) Specified records pertaining to the Orbiter, Space Shuttle Main Engines and Orbital Maneuvering System pods. **RETIRE TO FRC 5 YEARS AFTER** i. Paper records. LAUNCH OF VEHICLE AND AFTER MICROFILMING. DESTROY WHEN 20 YEARS OLD. [N1-255-90-1] RETAIN AT KSC. DESTROY WHEN ii. Microfilm records INFORMATION IS 20 YEARS OLD. [N1-255-90-1] (b) Records pertaining to the External Tank and Solid Rocket Boosters. SEE DISPOSITION FOR ITEM D.1.(1) i. Paper Records. ABOVE. [N1-255-90-1] Electronic/Computer media collected during the buildup RETIRE TO FRC 5 YEARS AFTER ii. New LAUNCH OF VEHICLE. DESTROY and processing phase. Data includes ultrasonics (case to WHEN 20 YEARS OLD. insulation bondline inspection, inspectoscope (video inspection of joint metal parts), sine-bar (tang & clevis shaping data), temposonics (joint mating engagement data), leak check (joint seal integrity check) and the software package revisions for each of the stored data listed. SEE DISPOSITION FOR ITEM D 1.(a) (c) Records pertaining to the Ground Support Equipment. ABOVE. NI-255-90-1

#### NOTE<sub>1</sub>: Records pertaining to Safety and Reliability Reports, including Hazard Reports & Risk Assessments with regard to Shuttle Processing are maintained under UFI 1700. See Schedule 1 for records created in this series or Schedule 8 for Payload Safety.

ITEM MINIMUM DESCRIPTION OF RECORD SERIES *[UF]#1* RETENTION **CONTINUED: MISSION OPERATIONS** 25 [8600] Continued: TECHNICAL ENGINEERING OPERATIONS (KSC ONLY) D. 1. (d) Records pertaining to the Launch Processing System, facility support, complex control system, instrumentation and calibration and operational communication system. RETIRE TO FRC WHEN 2 YEARS i. Paper records. OLD AND AFTER MICROFILMING. DESTROY WHEN 17 YEARS OLD. [N1-255-90-1] ii. Microfilm. RETAIN AT KSC. DESTROY WHEN 20 YEARS OLD. [N1-255-90-1] Records pertaining to the Information Management Systems; Shuttle Processing and Data Management System (SPDMS); **RETAIN THESE RECORDS FOR 2** YEARS OR UNTIL NEXT REVISION Process Engineering and Control System (PECS), Lockheed System Data Network (LSDN), and, also including vendor supplied documentation hardware and software standards, CYCLE, THEN DESTROY. [N1-255-90-1] problem tickets, software release notices, review stem disposition, functional requirements document, user guide(s), verification procedures, and associated documents. Official record copy of Operations and Maintenance Instructions not included in d (1)(a) Records in this series include: Launch Countdown; PAD aborts (S007); Flight readiness firings; Cryogenic Tanking Tests (S0037); Impound/accidents; and Special Tests. (Documents in this item are considered the OMI - 5 Volume Set ) RETIRE TO FRC WHEN 5 YEARS i. Paper records. OLD AND AFTER MICROFILMING. DESTROY WHEN 20 YEARS OLD. [N1-255-90-1] PCEMANENT. TRANSFER TO NARA IN 5 YEAR ii. Sılver Microfilm. BLOCKS [N1-255-90-1] iii. Diazo copy of microfilm. RETAIN AT KSC. DESTROY WHEN NO LONGER NEEDED OR WHEN 35 YEARS OLD, WHICHEVER IS LATER. [N1-255-90-1] RETAIN RECORDS FOR 2 YEARS AND THEN DESTROY BOTH THE (g) Photo contact sheets identifying still photos related to Items (a)-(d). PHOTOS AND ASSOCIATED CONTACT SHEETS. (NOTE: NEGATIVES OF PHOTO CONTACT SHEETS ARE INCLURED UNDER C.S. OF THIS ITEM.) [N1-255-90-1]

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#### DESCRIPTION OF RECORD SERIES

#### 25 **CONTINUED: MISSION OPERATIONS** [8600] Design and Configuration Management (KSC ONLY) Records relating to the design and configuration management support including Type A Test Preparation Sheets (TPS), Engineering Support Requests, Change Control Board Directives, Change Requests, engineering assessment and instructions, modification instruction packages, field engineering changes, configuration change assessments, control board meeting minutes and dispositions, and other similar documentation. (a) Complex control system facility support and ground support equipment. RETIRE TO FRC WHEN 5 YEARS OLD i. Paper records. AND AFTER MICROFILMING. DESTROY WHEN 20 YEARS OLD. [N1-255-90-1] й. Microfilm. RETAIN AT KSC. DESTROY WHEN 20 YEARS OLD. [N1-255-90-1]

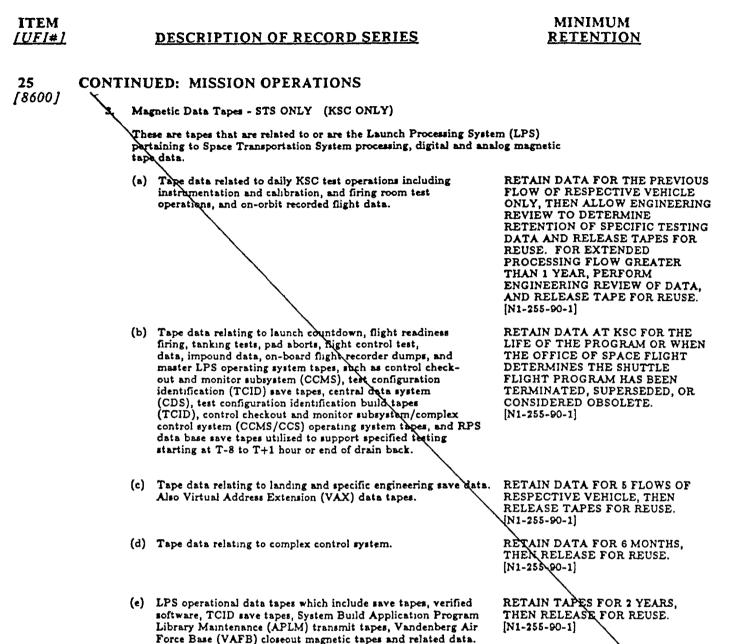
- (b) Launch Processing System and Information Management Systems (SPDMS, PECS, LSDN).
  - i. Paper records.
  - ii. Microfilm.

(c) Design drawings for ground support equipment.

MICROFILM RECORDS. DESTROY PAPER RECORDS WHEN 5 YEARS OLD AND <u>AFTER</u> MICROFILM VERIFICATION. [N1-255-90-1]

RETAIN MICROFILM AT KSC UNTIL SYSTEM IS REPLACED BY NEXT GENERATION DESIGN OR DESTROY WHEN 25 YEARS OLD, WHICHEVER IS EARLIER. \\N1-255-90-1]

RETAIN DOCUMENTS FOR THE LIFE OF THE SYSTEM, PLUS 1 YEAR, THEN DESTROY. [N1-255-90-1]



8-27

ITEM MINIMUM DESCRIPTION OF RECORD SERIES [UF] #] RETENTION **CONTINUED: MISSION OPERATIONS** 25 [8600] Þ. 3. CONTINUED: Magnetic Data Tapes - STS ONLY (KSC ONLY) Data tape products and file space related to Flight Software **RETAIN DATA FOR 1 FLOW OF (1)** Support including Mass Memory Patches, Shuttle Data Tape, **RESPECTIVE VEHICLE OR NEXT** Mass Memory Loads, Ground Support Equipment Kennedy **REVISION LEVEL, WHICHEVER IS** Avionics Test Set (GSE KATS) load, Shuttle Data Files, FIRST, THEN RELEASE TAPES Primary Avionics System Software/Backup Flight System AND/OR FILE SPACE. (PASS/BFS) Memory Certification, Inertial Measurement Unit [N1-255-90-1] (B(U) Calibration, and, associated data. (g) Data tapes related to LPS software development involving RETAIN TAPES FOR A MINIMUM OF troubleshooting and debug. Also tapes associated with 2 WEEKS OR RELEASE FOR REUSE Information Management Systems; SPDMS, PECS, and LSDN. ACCORDING TO LOCAL PRACTICE, WHICHEVER IS LONGER. [N1-255-90-1] (h) Instrumentation and Calibration Data (1) Related to booster stacking operations. RETAIN DATA FOR 1 FLOW OF VEHICLE, THEN RELEASE FOR REUSE. [N1-255-90-1] (2) Determined to be of significant value by KSC engineering. **RETAIN DATA FOR 5 FLOWS, THEN** RELEASE FOR REUSE. [N1-255-90-1] (3) Data pertaining to adverse weather conditions. **RETAIN DATA FOR 6 MONTHS, THEN** RELEASE FOR REUSE. [N1-255-90-1] (i) RETAIN DATA FOR 1 YEAR, THEN Hypergolic maintenance facility processing data related to Orbital Maneuvering System Pod checkout. RELEASE FOR REUSE. [N1-255-90-1] 4. Propellant Consumable Management (KSC ONLY) These are records pertaining to propellant analysis reports and fluid **RETAIN DOCUMENTATION FOR 5** YEARS ON-SITE, THEN RETIRE TO sample analysis relevant to the STS program. FRC FOR 5 YEARS. DESTROY WHEN 10 YEARS OLD. N1-255-90-1 7. 5. Firing Room Testing Data (KSC ONLY) Firing room records and test data are records/documents which accumulate daily or during testing. (a) Launch processing system (LPS) records pertaining to RETAIN COMPUTER PRINTOUTS FOR 2 WEEKS, THEN DESTROY. shared peripheral data, line printer(s), hard copies, strip charts, printer plotter, and related data. [N1-255-90-1 8 a) RETAIN DATA RECORDS FOR (b) Launch processing system records created by Operations and Maintenance Instruction S9002 Integrated Data 1 YEAR AFTER DATE OF CREATION. THEN DESTROY. Requirements for engineering evaluation. [N1-255-90-1 8.b.]



MINIMUM

RETENTION



[8680]

#### **DESCRIPTION OF RECORD SERIES**

#### **CONTINUED: MISSION OPERATIONS**

#### D. CONTINUED: (KSC ONLY)

14

6. Launch Processing System (LPS) Supporting Documentation (KSC ONLY)

LPS documents pertaining to test configuration identification releases (TCID), software development problem reports, test preparation sheets, on-board computation facility data listing, master measurement lists, integrated system number release authorization, function designator directory listing, LPS release notices, goal expanded source listings, system build OMI data sheets, system build test configuration identification (TCID) generated listings, system build model listing, software venfication procedures, reconfiguration network (RNET) documentation and associated records.

7. Copies of Records, Microfilm, and Data Tapes

Copies of records, documentation, microfilm, and data tapes contained in any of the above cited records series maintained at any other installation(x) other than at Kennedy Space Center. RETAIN RECORDS FOR 1 YEAR, ALLOW FOR TECHNICAL/ ENGINEERING REVIEW. AFTER REVIEW DESTROY RECORDS, OR DESTROY RECORDS WHEN 5 YEARS OLD, WHICHEVER IS SOONER. [N1-255-90-1 9]

DESTROY WHEN NO LONGER NEEDED FOR REFERENCE, OR WHEN 5 YEARS OLD, WHICHEVER IS SOONER. [N1-255-90-1 10]

#### 26 FLIGHT READINESS FILES (MSFC ONLY) [8600]

This record series consists of Flight Readiness Review Files (FRR) (condensed and complete versions) of all documentation on all flights; pre-flight; and approved documentation for each project office for a flight to go, including documentation that led up to the decisions made. Also included may be project correspondence and related materials. FRR's are maintained and will be transferred via the four project offices that directly support the Shuttle Program Office. These offices are: (1) Space Shuttle Main Engine; (2) External Tank; (3) Solid Rocket Booster; and, (4) the Redesigned Solid Rocket Motor. [NOTE: Office (3) and (4) were the same office up to STS-26 at which time they wee separated into 2 different offices.]

- A. Flight readiness review files (FRR) for the National Space Transportation System (STS) 1 to STS-25 (including documentation on Challenger).
- B. Flight readiness review files for STS-26 and continuing flights.

\* PERMANENT \* RETIRE IMMEDIATELY TO FRC. TRANSFER TO NARA, JANUARY 1, 2002. [N1-255-92-3 1.A]

\* PERMANENT \* RETAIN FRR'S ON-SITE AT MSFC FOR 5 YEARS AFTER SUBJECT FLIGHT. RETIRE TO FRC FOR 10 YEARS. TRANSFER TO NARA 15 YEARS AFTER SUBJECT FLIGHT (INCLUDING TERMINATION OR CANCELLATION). [N1-255-92-3 1.B.]

DESTROY WHEN NO LONGER NEEDED OR WHEN 2 YEARS OLD, WHICHEVER IS SOONER. [N1-255-92-3 1.C.]

C. All other installations and copies.



[8600]

#### DESCRIPTION OF RECORD SERIES

#### MINIMUM RETENTION

#### FLIGHT DATA FILES (FDF) RECORDS

These records/files consist of the total on-board complement of documentation and related aids available to the flight crews for flight elecution. Materials include procedural checklists, integrated timelines, cue cards, malfunction and reference data, crew activity plans, as well as decals, photos, and other specialized articles such as earth maps and star charts. The actual FDF consists of multiple copies of some documents dependent on crew requirements. The files will consist of the "as flown materials, otherwise backup copies of flown documents will be provided if the originals are unavailable. No hardware items will be included in the materials transferred, e.g., pencils, clamps, backboards, plastic covers, edge labels, cuff checklist bracelet, and metal binding rings. These items will be removed from the FDF documents before being transferred to the Federal Records Center and/or the National Archives and Records Administration (NARA).

- A. SPACE SHUTTLE PROGRAM (SSR) FDF RECORDS.
  - Flights STS-1 through STS 51L (1981-1986) (NOTE Flights 51C and 51J are Classified, and 51L is excluded. 51L records will be be shipped with the Records being maintained in the JSC Data Repository )
  - 2. Flights STS-26 and continuing missions

B. FDF RECORDS PRIOR TO STS-1 DATED 1968 (or earlier).

Apollo 8 through Apollo 17
 Skylah 2 through Skylah 4

- Skylab 2 through Skylab 4
- Apollo-Soyuz Test Project (ASTP)
   Shuttle Approach and Landing Test
  - Shuttle Approach and Landing Test (ALT) (-21 boxes; 5/22/68 - 12/21/77)

\* PERMANENT \* TRANSFER IMMEDIATELY TO NARA. [N1-255-88-1]

• PERMANENT • RETIRE TO FRC WHEN 6 MONTHS OLD OR WHEN NO LONGER NEEDED FOR OPERATIONAL OR REVIEW PURPOSES, WHICHEVER IS EARLIER. TRANSFER TO NARA 4 YEARS AFTER MISSION/FLIGHT HAS BEEN COMPLETED. [N1-255-68-1]

• PERMANENT • TRANSFER TO NARA UPON COMPLETION OF MICROFILMING. TOTAL TRANSFER OF THESE RECORDS WILL BE COMPLETED BY DECEMBER 31, 1998. [N1-255-88-1]

### 28 CONTRACTOR PERFORMANCE EVALUATION REPORT FILES - change [8610] R&D PROJECT NASA/DOD

(N 25-8) Semiannual reports of the project manager for each major selected contract reviewed and commented upon by the contractor, and finally reviewed comprehensively at NASA Headquarters, including the final terminal report summarising the whole period.

A. <u>PROJECT MANAGER'S FILE</u> RETIRE TO FRC 2 YEARS AFTER COMPLETION, TERMINATION, OR CANCELLATION OF THE PROGRAM. DESTROY WHEN 60 YEARS OLD. 10 B: <u>HEADQUARTERS.</u> This file includes exchanges of correspondence with the contractor and Project Manager. Circle Manager. Circle Manager.

#### *[UFI#1* DESCRIPTION OF RECORD SERIES RETENTION 29 **OPERATIONS PROGRAM PROGRESS REPORT FILES - R&D** [8610] (N 24-17) Reporting system for program scheduling and review (SARP) charts. \* PERMANENT \* Office of Primary Responsibility for the reports. A. **RETIRE TO FRC WHEN 2 YEARS** OLD. TRANSFER TO NARA WHEN 10 YEARS OLD. DESTROY WHEN 1 YEAR OLD. R. All other offices/copies. **STS 51-L DATA REPOSITORY RECORDS** 186211 A. JSC ONLY This collection resides at Johnson Space Center and is know as the \* PERMANENT \* Willdrawn RETIRE ENTIRE COLLECTION 51L Data Repository. The collection includes all impounded records from the STS 51-L accident and related data accumulated during and after the accident investigation(s). These files have been determined to be of TO FRC WHEN NO LONGER NEEDED FOR ACTIVE AGENCY significant value by the National STS Program Office and are used to USE (i e., COURT CASES OR satisfy inquiries associated with program activities. Included in the LITIGATION), OR WHEN 25 YEARS OLD, WHICHEVER IS collection, but not limited to, are memos, letters, reports, photographs, SOONER. TRANSFER TO NARA WHEN 30 YEARS OLD. film negatives, computer printouts, drawings, books, logs, notes, and data, video, and voice tapes. Also included within this mixed media may be duplication of information as it has been migrated from one medium to another. B All other Installations - Copies retained relating to the above DESTROY series of records AUDIO TAPES - MANNED FLIGHTS/GROUND CONTROL - change 31 [8640] (N 26-9) A. Manned space flights, ground control and flight crew communications (air to ground) tapes. (JSC ONLY) 1. PERMANENT \* Master tapes will all identifying information (including migration TRANSFER TO NARA WHEN # 10 tapes if older material has been changed over to new technology). YEARS OLD. (Program Office OR Office of Primary Responsibility for control of these tapes ) RETAIN IN THE JSC 2. All other offices/copies, including working duplicates which will be PHOTOGRAPHIC AND TELEVISION clearly marked. TECHNOLOGY DIVISION VAULT UNTIL NO LONGER NEEDED, OR 35 YEARS OLD, WHICHEVER IS LATER. B. Spacecraft and launch vehicle testing including Shuttle testing. RELEASE FOR REUSE AS SOON AS (KSC ONLY) PRACTICABLE. RELEASE FOR **REUSE 60 DAYS AFTER LAUNCH OF** SPACECRAFT INVOLVED. C. Expendable launch vehicle testing. RELEASE FOR REUSE AS SOON AS PRACTICABLE, I.E. 60 DAYS AFTER LAUNCH OF SPACECRAFT INVOLVED.

ITEM

SCHEDULE 8

MINIMUM

PASR

33

34

PASR

PASR

#### ITEM *[UF]* #1 **DESCRIPTION OF RECORD SERIES**

#### MINIMUM RETENTION

#### 32 AIRCRAFT CREW MEMBERS QUALIFICATIONS AND PERFORMANCE - new 186501 **RECORDS - NASA 10 ACMO**

Records in this system are of crew members of NASA aircraft which consist of (1) records of qualifications, experience, and currency, e.g., flight hours (day, night, and instrument), types of approaches and landings, crew positions, type aircraft, flight check ratings and related examination results, training performed and medical records; (2) flight itineraries and passenger manifests; and, (3) biographical information.

#### \* PERMANENT \*

**RETAIN RECORDS IN AGENCY** SPACE. DESTROY 5 YEARS AFTER CREW MEMBER SEPARATES FROM AGENCY.

#### KENNEDY SPACE CENTER (KSC) SHUTTLE TRAINING CERTIFICATION SYSTEM - new [8650] (YC 04) - NASA 76 STCS

Records contained in this system are of KSC Civil Service, KSC contractor, and OUTDATED RECORDS ARE DOD personnel who have received systems, skills, or safety training in support DESTROYED. CURRENT RECORDS of KSC or Space Shuttle Operations. Records consist of training attendance and certifications, including certifications of physical ability to perform hazardous tasks.

ARE MAINTAINED UNTIL 3 YEARS AFTER TRAINEE IS SEPARATED FROM NASA.

#### JOHNSON SPACE CENTER (JSC) ASTRONAUT TRAINING RECORDS - new [8650]

Records in this system are of astronaut training which consists of (1) T-38 and other flying records of qualification, experience, and currency, e.g., flight hours (day, night, and instrument), types of approaches and landings, crew positions, type of aircraft, flight check ratings and related examination results, training performed and medical records, 92) astronaut candidate records; (3) simulator training records; and (4) any records pertaining to overall astronaut training for space flights.

10/19/45 CH

\* PERMANENT \* Transfer to NARA 5 years after completion of training program i.e. each separate training program Such as T-38, simulator or overall training for a specific mission

#### 35 ASTRONAUT SELECTION DATABASE (ELECTRONIC) - new [8650]

Electronic records consisting of the selection process and the names of of those selected, including any other related materials maintained in the database.

\* PERMANENT \* TRANSFER TO NARA WITHIN **6 MONTHS AFTER CLASS** SELECTION.

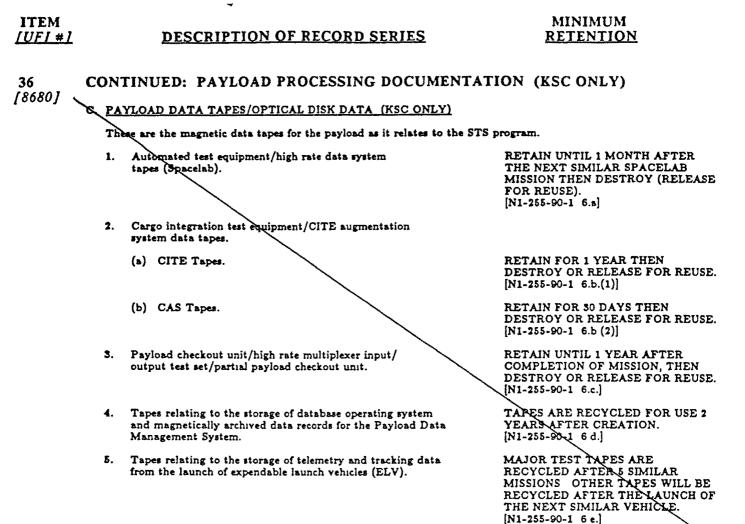
NOTE: PHOTOGRAPHS - STILLS (N 9-4 / N 9-5)

SPACECRAFT

SEE SCHEDULE 2

ITEM MINIMUM *[UF]#1* DESCRIPTION OF RECORD SERIES RETENTION PAYLOAD PROCESSING DOCUMENTATION (KSC ONLY) - change 36 [8680] PAYLOAD PROCESSING Payload records pertaining to Operations and Maintenance Instructions, Test and Assembly Procedures, Test and Inspection Records, Discrepancy Reports, Test Preparation Sheet, Assembly Orders, Fabrication orders, Removal Cantrol Cards, Contractor Unique Work Documents, and associated documentation 1. Specified records (as cited above) which are mission and nonmission unique. RETIRE TO FRC WHEN 1 YEAR OLD (a) Paper records. AND AFTER MICROFILMING. DESTROY WHEN 16 YEARS OLD. [N1-255-90-1] RETAIN AT KSC. DESTROY WHEN (b) Microfilm 20 YEARS OLD. [N1-255-90-1] **RETAIN RECORDS FOR 1 YEAR** 2. Records pertaining to entry control logs, calibration and FOLLOWING MISSION, THEN maintenance, data sheets, contamination analysis/cleaning, support requests, temperature/humidity charts, field DESTROY. [N1-255-90-1] engineering change, engineering instructions and associated documentation. Records pertaining to Operations, Maintenance, Requirements, RETAIN DOCUMENTS FOR 2 YEARS 3. ON-SITE, THEN BETIRE TO FRC FOR 5 YEARS. DESTROY WHEN 7 and Specifications including Revision Change Notices and Exceptions/Waivers. YEARS OLD. [N1-255-90-1] B. PAYLOAD SAFETY DATA **RETAIN DOCUMENTS FOR 5 YEARS** Records in this series consist of payload safety packages for Phase 0, I, AFTER LAST PAYLOAD LAUNCH. II, and III safety reviews; non-compliance reports; and general correspondence for each STS payload and expendable launch vehicle NASA payload. 1. SAFETY OFFICE **RETAIN DOCUMENTS FOR 5 YEARS** AFTER COMPLETION OF LAUNCH. DESTROY WHEN 6 YEARS OLD. **RETAIN DOCUMENTS FOR 5 YEARS** 2. PAYLOAD OFFICE AFTER LAST PAYLOAD LAUNCH. DESTROY 6 YEARS AFTER LAST Files consist of documents designed for specific payload series, i.e., LAUNCH IN PAYLOAD SERIES. TDRS. , etc. DESTROY WHEN NO LONGER 3. ALL OTHER OFFICES/COPIES. NEEDED OR WHEN 2 YEARS OLD WHICHEVER IS SOONER.

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### 37 OCCUPATIONAL SAFETY AND HEALTH ADMINISTRATION (OSHA) CITATION – $ne\omega$ FILES

[8720]

Files consist of original letters of Citation & Notification of Penalty from the U.S. Department of Labor; Results of investigations; Modification Abatement Plan Requests; and, Final Abatement Plan Documentation. RETIRE TO FRC 5 YEARS AFTER DATE OF ABATEMENT/ RESOLUTION. DESTROY 10 YEARS AFTER DATE OF ABATEMENT/ RESOLUTION.

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<b>38</b> [8700]	RADIOACTIVE MATERIALS INSPECTION AND TEST FILES - change			
(N 21-12)	Documents relating to the inspection of materials, premises, and facilities where radioactive, hazardous, and toxic materials are use or stored and documents pertaining to tests made of such premises, facilities, and radiation detecting or monitoring devices.			
	A. Office of Primary Responsibility.	<b>*PERMANENT.</b> RETIRE TO FRC WHEN 6 YEARS OLD. TRANSFER TO NARA WHEN- -15 YEARS OLD. Destroy when 75 Years old.		
	B. All other offices/copies.	DESTROY WHEN 5 YEARS OLD.		
<del>39</del> [8700]	RADIATION MONITORING AND DISPOSAL FILES			
(N 21-13)	Records maintained to conform with Nuclear Regulatory Commission (NRC)/ Department of Energy (DOE) regulations regarding radiation monitoring and disposal. [SEE NOTE <sub>1</sub> ]	DESTROY WHEN 75 YEARS OLD.		
-40	RADIOACTIVE MATERIALS LICENSE FILES			
[8700] (N 21-11)	Documents relating to requests for issuance of, and compliance with, licenses for by-product, source, and special-nuclear materials. Included are applications for license, approval documents, licenses, requests for, and approval of disapproval of, deviations, documents relating to changes in personnel authorized to receive and handle such materials, and related papers.	DESTROY 10 YEARS AFTER EXPIRATION OR RENEWAL OF THE LICENSE, PROVIDED ALL MATERIAL PROCURED HAS BEEN DISPOSED OF.		
41	HEALTH AND MEDICAL CASE HISTORIES OF ASBESTO	DS WORKERS		
[8720] (H 11-4d)	Health and medical case histories and physical examination records, including X-ray films, for all asbestos workers (employees exposed to airborne concentration of asbestos fibers).	RETIRE WITH INDIVIDUAL EMPLOYEE HEALTH CASE FILES AS LISTED IN SCHEDULE 1 OF THIS HANDBOOK. [GRS 1-21 (99)] A.1)		
<b>42</b> [8800]	<b>ASBESTOS RECORDS</b> – $ne\omega$			
	The work plan which includes drawings, qualifications of personnel, and abatement procedures; notification of the work to be done to the regulatory agency; analytical reports from the laboratory verifying the levels of asbestos exposure; and, the final report by the abatement contractor to NASA.	*-PERMANENT * RETAIN ON-SITE FOR 30 YEARS. TRANSFER TO NARA WHEN 30 YEARS OLD. Then retire to FRC. Destroy when SS years old.		
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**DESCRIPTION OF RECORD SERIES** 

ITEM *[UFI#]*  SCHEDULE 8

MINIMUM

**RETENTION** 

**NOTE<sub>1</sub>:** Also see Schedule 1, UFI 1860. This UFI deals specifically with Radiological Health Records. [See Item 130, Schedule 1]

# ITEM[UFI #]DESCRIPTION OF RECORD SERIES

#### MINIMUM RETENTION

#### ENVIRONMENTAL RECORDS

[8800] (N 18-13)

43

Reports concerning the prevention, control, and abatement of air and water pollution at NASA facilities. This record series covers in generic terms miscellaneous records not covered under a specific item in this series.

#### A. ENVIRONMENTAL REPORTS - MISCELLANEOUS

Installation report to NASA Headquarters, and reports as compiled by Headquarters from the installation submissions.

1. Reporting Installation.

RETAIN ON-SITE FOR 5 YEARS AND THEN DESTROY UNLESS THEX IS AN EARLIER DESTRUCTION AUTHORIZED EITHER IN THIS HANDBOOK BY SPECIFIC RECORD SERIES; CITATION IN THE CODE OF FEDERAL REGULATIONS (CFR); OR SOME OTHER APPROPRIATE REGULATORY AUTHORITY OR SPECIFIC STATE AGENCY GOVERNING SUCH RECORDS.

2. Headquarters.

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TRANSFER TO FRC WHEN 3 YEARS OLD. DESTROY WHEN 6 YEARS OLD.

#### B. RESOURCE CONSERVATION AND RECOVERY ACT RECORDS (RCRA)

Records include correspondence concerning hazardous waste activities including Notices of Hazardous Waste activities, hazardous waste generators report(s), inspections; notices of violations and corrective actions, and treatment; storage and disposal facility audits, toxic chemical source reduction; recycling and solid waste management; used oil management and recycling; and, general correspondence about such activities.

1. Notices of Hazardous Waste Activity.

DESTROY WHEN NOTICE HAS BEEN REVISED OR WHEN 3 YEARS OLD, WHICHEVER IS LATER.

2. Hazardous Waste Generators Report and Manifests.

DESTROY WHEN 3 YEARS OLD.

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MINIMUM

RETENTION

DESTROY RECORDS WHEN 3 YEARS

OLD, EXCEPT CLOSURE

NOTIFICATIONS WHICH ARE DESTROYED 3 YEARS AFTER CLOSURE OF THE RELATED TANK.

DESTROY WHEN 3 YEARS OLD.

# ITEM[UFI#]DESCRIPTION OF RECORD SERIES

# **43 CONTINUED: ENVIRONMENTAL RECORDS** [8800]

#### C. TOXIC SUBSTANCE CONTROL ACT RECORDS (TSCA)

Records include correspondence concerning polychlorinated biphenyls (PCB) and asbestos including records of PCBs in service; spills and clean-up records; PCB transformer inspections and PCB annual documents; notifications of asbestos removal; inspections; notices of violation and corrective actions; treatment, storage, and disposal facility audits; and, general correspondence about PCB and asbestos activities.

1.	Records of PCBs in service (Report to EPA).	DESTROY WHEN 3 YEARS OLD
2.	PCB spills and cleanup records.	DESTROY WHEN 5 YEARS OLD. [AUTHORITY: 40 CFR/ 761 125]
3.	PCB transformer inspections.	DESTROY 3 YEARS AFTER DISPOSAL OF RELATED TRANSFORMER [AUTHORITY. 40 CFR/ 761.30]
4.	PCB Annual Document.	DESTROY 5 YEARS AFTER STOPPING USE OR STORING OF PCBs [AUTHORITY: 40 CFR/ 761.180]

#### D. CLEAN WATER ACT

Records include correspondence concerning all water resource activities including monthly discharge monitoring reports, local monitoring reports; permit applications; underground storage tanks; wells; wetlands; inspections; notices of violation and corrective actions; and, general correspondence about water and wetland resources.

1. Underground storage tanks.

Records consist of notifications of, release reports, corrective actions, and closure notifications.

2. Monthly discharge monitoring reports (LaRC ONLY).

		[AUTHORITY. HAMPTON ROADS SANITATION DISTRICT REGULATIONS AND STATE WATER CONTROL BOARD - VIRGINIA]
3.	Hampton Roads Sanitation District (HRSD) Self Monitoring Reports (LaRC ONLY).	DESTROY WHEN 3 YEARS OLD. [AUTHORITY: HRSD REGS]
4.	Toxic management program records.	DESTROY WHEN 3 YEARS OLD.
5.	Permits from the Virginia Pollution Discharge Elimination System System (VPDES) and HRSD (LaRC ONLY).	DESTROY WHEN 5 YEARS OLD.

#### ITEM **DESCRIPTION OF RECORD SERIES** (UFI #1

#### **CONTINUED: ENVIRONMENTAL RECORDS** 43

### [8800]

## E. CLEAN AIR ACT (LaRC ONLY)

Records include correspondence concerning all air pollution activities including air source registrations and permits, "non-criteria" pollutants; inspections; notices of violation and corrective actions, and, general correspondence about air resources.

#### F. SUPERFUND AMENDMENTS AND REAUTHORIZATION ACT (SARA)

Records include correspondence concerning Tier II Reports and Community-Right-to-Know activities; inspections; notices of violation and corrective actions; and, general correspondence about storage of hazardous materials.

# DESTROY WHEN 2 YEARS OLD. AUTHORITY STATE DEPARTMENT

OF AIR POLLUTION CONTROL, VIRGINIA]

DESTROY WHEN 3 YEARS OLD.

#### G. COMPREHENSIVE ENVIRONMENTAL RESPONSE COMPENSATION, AND LIABILITY ACT (CERCLA)

Records include correspondence concerning contaminated site activities including Preliminary Assessments and Remedial investigations/ feasibility studies, clean-up and remediation activities; site closure plans and activities; inspections; notices of violation and corrective actions; and, general correspondence about such activities.

#### **H. NATIONAL ENVIRONMENTAL POLICY ACT**

Records include correspondence concerning Federal environmental assessment issues including environmental assessments, environmental analyses; environmental impact statements; press releases and public comments on impact statements, environmental consideration records; and, general correspondence about such activities.

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DESTROY WHEN 5 YEARS OLD.

Retire when 5 years old. Destroy when 10 years old. 1/22/96 as DESTROY WHEN 5 YEARS OLD. AUTHORITY: COUNCIL ON ENVIRONMENTAL QUALITY (CEQ)]

8-38

#### MINIMUM RETENTION

MINIMUM

RETENTION



#### DESCRIPTION OF RECORD SERIES

### 43 CONTINUED: ENVIRONMENTAL RECORDS

[8800]

I.

#### SPECIAL AGREEMENTS

Records include correspondence concerning special agreements or actions Federal, State, and local regulatory agencies including Federal Facility Compliance Agreements (FFCA); consent agreements; interagency agreements; notices of non-compliance with such agreements; corrective actions; and, general correspondence about such activities.

#### J. <u>ROUTINE CORRESPONDENCE - ENVIRONMENTAL ENGINEERING</u> (LaRC ONLY)

Records include routine correspondence with other NASA centers, Headquarters, and regulatory agencies such as U.S. Environmental Protection Agency; State Water Control Board; Hampton Roads Sanitation District; Virginia Department of Waste Management; Fish and Wildlife; and, the Virginia Department of Health.

## 44 WIND TUNNEL FILES $-ne\omega$

[8800]

A. WIND TUNNEL FACILITY - R&D PROJECT (MSFC ONLY)

Records in this series are historical and experimental data from wind tunnel tests run on a 14-inch Trisonic Wind Tunnel and the High Reynolds Number Wind Tunnel. Tests were "force" test data with respect to (1) 14" data on mock model shuttle and (2) High Reynolds data on solid rocket booster motor. Data is submitted and filed by the associated tunnel used and test number for both facilities. [SEE NOTE<sub>1</sub>]

B. NATIONAL TRANSONIC WIND TUNNEL (1980 - 1990) (LaRC ONLY)

Records in these files (both inactive and active) consist of case files which include but are not limited to Work Packages, which are the instructions and specifications on maintenance/operations, configuration, and how to operate the structure, including wind tunnel test files which include the results of tests, drawings, models, fabrication and design, and specifications from start to finish of the project. [SEE NOTE<sub>2</sub>]

C. WIND TUNNEL RECORDS - GENERAL

Records in these files (both inactive and active) consist of case files which include but are not limited to work packages, instructions and specifications on the maintenance and operation, configuration, and how to operate the structure; and, wind tunnel test files, which include the results of tests, drawings, models, fabrication and design, and specifications from start to finish. DESTROY 7 YEARS AFTER COMPLETION OF EXISTING FEDERAL FACILITIES COMPLIANCE AGREEMENT WITH EPA APPROVAL. [AUTHORITY: FFCA]

DESTROY WHEN 3 YEARS OLD UNLESS OTHERWISE DICTATED OR SPECIFIED BY FEDERAL, STATE, OR LOCAL REGULATORY AGENCY, WHICHEVER IS LONGER.

11/195 C/S

Retire to FRC upon approval. Destroy in 2005.

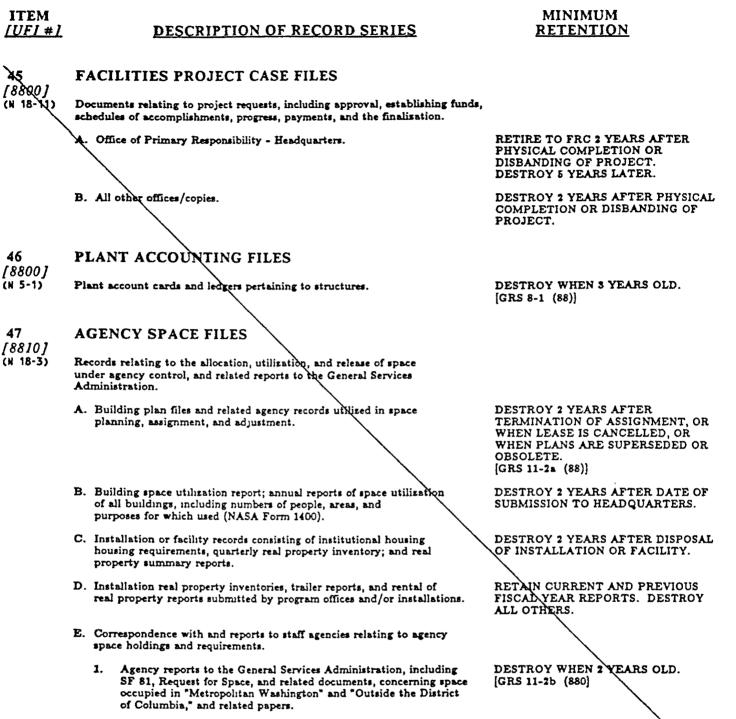
Retire to FRC upon approval, Destroy in 2005.

RETAIN RECORDS UNTIL NO LONGER NEEDED FOR REFERENCE USE, DISCONTINUANCE OF FACILITY, OR WHEN /5" YEARS OLD, WHICHEVER IS LATER.

**NOTE<sub>1</sub>:** This is a CLOSED Series. Dated: 1970-1985 - 56 c.feet.

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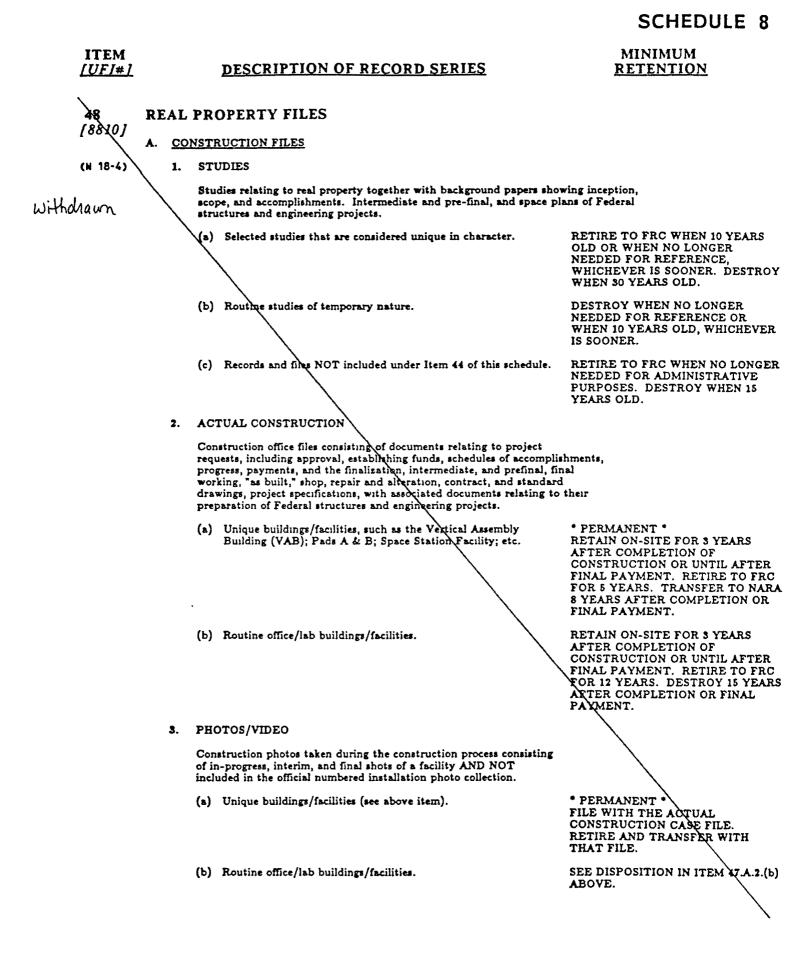
**NOTE<sub>2</sub>:** This is a CLOSED Series. The Wind Tunnel was demolished over a 5-year period. There is currently 10 years of records on-hand.



2. Copies in subordinate reporting units and related work papers.

DESTROY WHEN 1 YEAR OLD [GRS 11-2b (88)]

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ITEM <i>[UFI #]</i>		DESCRIPTION OF RECORD SERIES	MINIMUM RETENTION
48 [8800]	CONTI	NUED: REAL PROPERTY FILES	
100117	B. INST.	ALLATION RECORDS - PERMITS, LEASES, LICENSES, ETC.	
[88]]] \ (N 18-2)		Records consists of easements, leases, licenses, permits, and agreements which have been terminated or have expired; installation prochures and historical data; appraisal reports; correspondence artaining to installation; industrial facilities data; and real property lisposal documents.	* PERMANENT * RETIRE TO FRC 2 YEARS AFTER DISPOSAL OF INSTALLATION. TRANSFER TO NARA 5 YEARS LATER.
(N 18-12)	r t t	Drawings, correspondence, reports, and all other documents maintained by installation of primary responsibility relating to lesign and construction of facilities, including maps, layouts, building plans, water and drainage systems, alterations, additions, betterments, and removals made to property and all other pertinent information.	* PERMANENT * RETIRE TO FRC 2 YEARS AFTER DISPOSAL OF PROPERTY. TRANSFER TO NARA WHEN 25 YEARS OLD.
		NASA (BOC) PROJECT CONTROL BOARD FILES (KSC ONLY) Record copy of individual files, cantrolled by work authorization backage number (WAPN), pertaining to changes in configuration of obysical structures, modifications, and unique work activities on assigned facilities, systems and equipment within the contractual ost/scope limits provided to the Base Operations Contract (BOC). Series includes both NASA-held and BOC-held Government records.)	
	(	a) Approved and Cancelled Approved WAPN.	• PERMANENT • RETIRE TO FRC WHEN 5 YEARS OLD. TRANSFER TO NARA WHEN 20 YEARS OLD.
	(	b) Disapproved WAPN.	DESTROY WHEN 5 YEARS OLD OR WHEN NO LONGER NEEDED,

#### C. <u>REPORTS - REAL PROPERTY</u>

Records consisting of the record copies of real property reports to GSA; inventory reports of jurisdictional status of Federal areas within states; report of NASA total facilities; report of NASA industrial facilities; and report of in-house facilities.

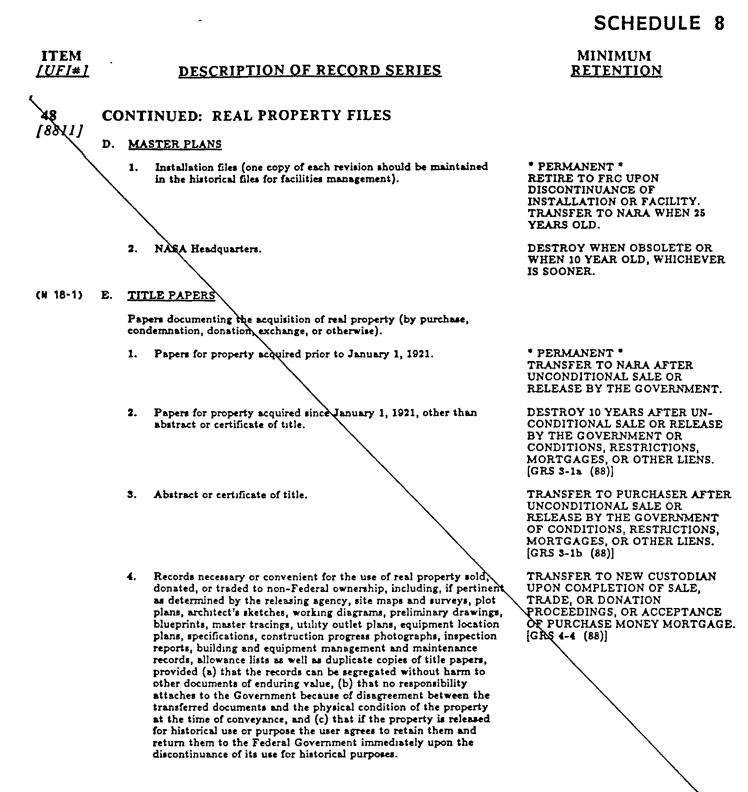
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RETIRE TO FRC WHEN NO LONGER NEEDED FOR REFERENCE. DESTROY WHEN 20 YEARS OLD. [HISTORY OFFICE MAY RETAIN INDEFINITELY FOR REFERENCE]

WHICHEVER IS LATER.

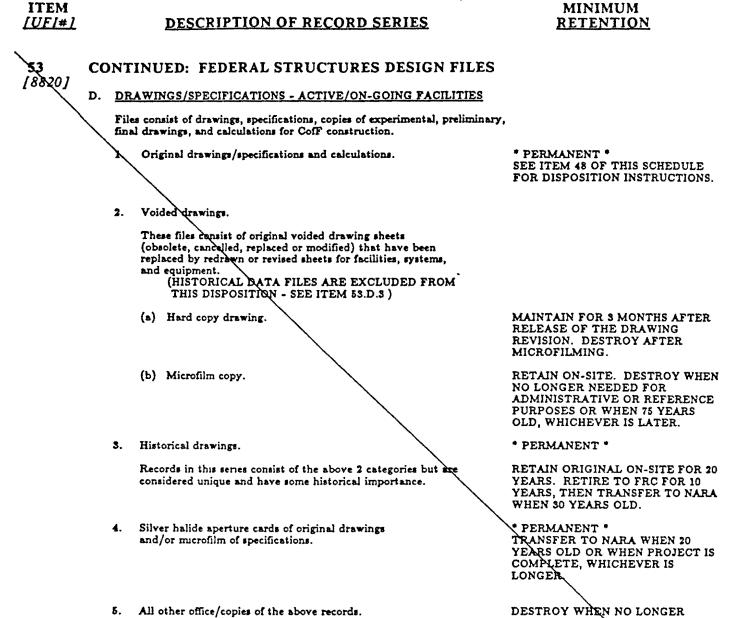
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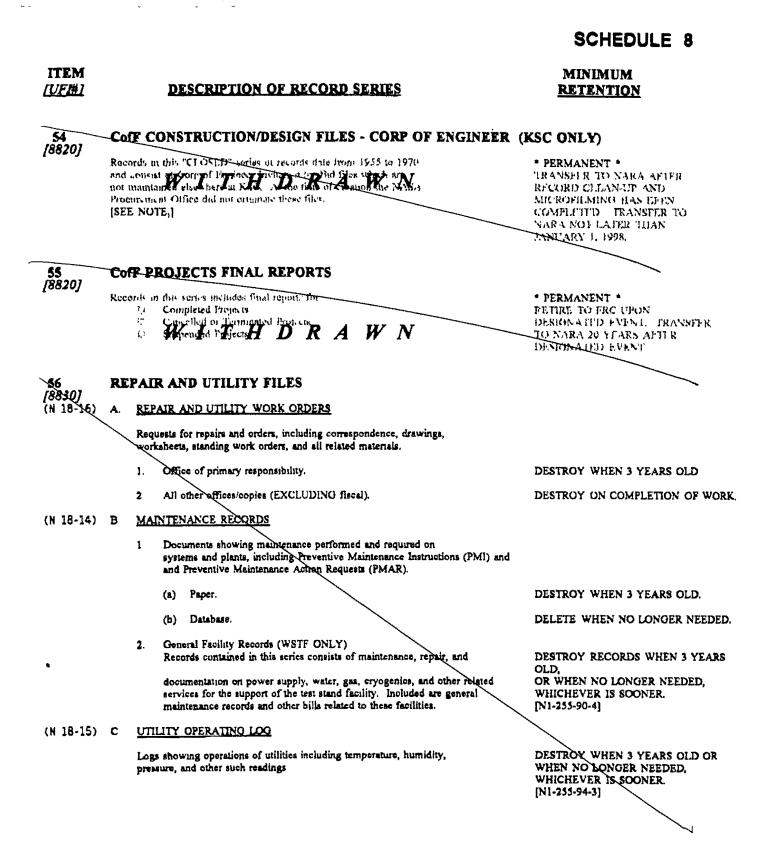
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ITEM <u>[UF] #]</u>	DESCRIPTION OF RECORD SERIES	MINIMUM <u>RETENTION</u>
49	HOUSE APPLICATION FILES	
[8814] (n 15-31)	A. Applications for, and certificates of eligibility for housing of essential employees, and all related documents.	DESTROY 5 YEARS AFTER THE THE ISSUANCE OF EACH CERTIFICATE.
	B. Forms requesting agency assistance in housing matters, such as rental or purchase.	DESTROY WHEN 1 YEAR OLD. [GRS 1-25- (88)]
50 [8814]	WALLOPS FLIGHT FACILITY BASE HOUSING TENAN	T RECORDS - NASA 53 BHTR - New
PASR	Records in this system consist of housing rental agreements, records of rent receipts and records of dormitory occupants.	DESTROY WHEN 30 YEARS OLD UNLESS AUTHORIZED BY ANOTHER SERIES IDENTIFIED WITHIN THESE SCHEDULES.
<b>51</b> [8814] PASR	WHITE SANDS TEST FACILITY FEDERAL HOUSING A HOUSING PROGRAM - NASA 73 FHAP	DMINISTRATION (FHA) 809 - new
	Records in this system consist of WSTF Civil Service and contractor personnel who have applied for FHA 809 housing Records contain personal data (i.e., name, address, home phone, age, etc.), realtor, mortgage, and employment data.	CERTIFICATES ARE HELD FOR 5 YEARS AFTER ISSUANCE AND THEN DESTROYED. INDEX CARDS ARE RETAINED IN AGENCY SPACE UNTIL 50 YEARS OLD AND THEN DESTROYED.
<u>52</u> [8820]	SHOP PLANNING AND LAYOUT FILES	
(N 20-10)	Documents reflecting the layout, location, and comparable data relative relative to manufacturing space, machinery, and equipment.	DESTROY WHEN SUPERSEDED OR OBSOLETE, OR WHEN 10 YEARS OLD WHICHEVER IS LATER.
53	FEDERAL STRUCTURES DESIGN FILES	
[8820] (N 18-10)	Preliminary and presentation drawings and models of Federal structures and engineering projects. Includes design criteria, trade-off studies, and estimates of cost.	
withdrawn	A. Files selected for architectural, historical, and technological significance.	
	1. Drawings.	RETIRE WITH INSTALLATION PROPERTY FILES UNDER ITEM 46 B.2. OF THIS SCHEDULE.
	2. Models.	MODELS ARE CONSIDERED NON- RECORD MATERIAL AND MAY BE TRANSFERRED TO THE NATIONAL AIR AND SPACE MUSEUM WHEN NO LONGER REQUIRED.
	B. Authorized projects.	DESTROY 2 YEARS AFTER COMPLETION OR DISBANDING OF PROJECT.
	C. Unauthorized projects.	
	1. Installations.	DESTROY 4 YEARS AFTER DATE OF LAST DOCUMENT IN FILE.
	2. NASA Headquarters.	DESTROY 2 YEARS AFTER SUBMISSION.



DESTROY WHEN NO LONGER NEEDED FOR REFERENCE OR ADMINISTRATIVE USE OR WHEN 5 YEARS OLD.

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NOTE1: This is a CLOSED series of records. Current accumulation on-hand 75 cubic ft.



#### DESCRIPTION OF RECORD SERIES

57 [8900] (N 11-4c)

#### ASTRONAUT MEDICAL REPORTS/RECORDS

Health and medical case histories, and physical and environmental records, biomedical data pertaining to NASA astronauta, including space flight (mission) data, i.e., astronaut medical testing results, fight and ground-based data collected from astronauts and civilians including procedures, correspondence, log books, strip charts, etc [SEF. NO I'L.]



### MEDICAL RECORDS - TEST SUBJECTS

Records in this series consist of medical records of test subjects under contract to NASA which include documentation of physical exams, diagnosis, treatment, and tests given in order for the individual to participate as a "test" subject in R&D Project medical tests.

#### MINIMUM RETENTION

• PERMANENT • RETIRE RECORDS TO FRC WHEN ASTRONAUT IS SEPARATED FROM THE PROGRAM/AGENCY. TRANSFER TO NARA WHEN 30 YEARS OLD.

DESTROY 75 YEARS AFTER BIRTH DATE (DOB), OR 60 YEARS AFTER DATE OF EARLIEST DOCUMENT IN THE FOLDER IF DOB CANNOT BE ASCERTAINED, OR 30 YEARS AFTER CONTRACT HAS BEEN COMPLETED/TERMINATED, WHICHEVER IS LATER.

END OF SCHEDULE

NOTE<sub>1</sub>: Medical records for the astronauts are kept all together, ground medical/routine as well as space flight medical. This information is maintained by astronaut name in one complete medical history case file.