

# NOTICE - SOME ITEMS SUPERSEDED OR OBSOLETE

Schedule Number: NC1-053-78-14

Some items in this schedule are either obsolete or have been superseded by new NARA approved records schedules. This information is accurate as of: 8/1/2019

## **ACTIVE ITEMS**

These items, unless subsequently superseded, may be used by the agency to disposition records. It is the responsibility of the user to verify the items are still active.

Items 12a-b, 12d-f, 12i-m, 13b, 14, 15b, 16a-e, 17, 18, 19, 20a-e are still active.

## **SUPERSEDED AND OBSOLETE ITEMS**

The remaining items on this schedule may no longer be used to disposition records. They are superseded, obsolete, filing instructions, non-records, or were lined off and not approved at the time of scheduling. References to more recent schedules are provided below as a courtesy. Some items listed here may have been previously annotated on the schedule itself.

Item 1a superseded by NC1-053-82-02 / 26a

Item 1b superseded by NC1-053-82-02 / 26b

Item 1c superseded by NC1-053-82-02 / 26c

Item 1d superseded by NC1-053-82-02 / 26d

Item 1e superseded by NC1-053-82-02 / 26e

Item 1f superseded by NC1-053-82-02 / 26f

Item 2a superseded by NC1-053-82-02 / 27a

Item 2b superseded by NC1-053-82-02 / 27b

Item 3 superseded by NC1-053-82-02 / 28

Item 4 superseded by NC1-053-82-02 / 29

Item 5 superseded by NC1-053-82-02 / 30

Item 6 superseded by NC1-053-82-02 / 31

Item 7 superseded by NC1-053-82-02 / 32

Item 8 superseded by NC1-053-82-02 / 33

Item 9 superseded by NC1-053-82-02 / 34

Item 10 superseded by N1-053-86-001 / 1b , N1-053-86-001 / 1l , N1-053-86-001 / 1m and N1-053-86-001 / 1n

Item 11 superseded by N1-053-86-001 / 4a.IV and N1-053-86-001 / 4a.v.

Item 12c superseded by N1-053-86-001 / 5q

Item 12g superseded by N1-053-86-001 / 4c.vi.

Item 12g superseded by N1-053-86-001 / 4c.vii


Item 12h superseded by N1-053-86-001 / 5l

Item 13a superseded by N1-053-86-001 / 6

Item 15a superseded by N1-053-86-001 / 5m

**REQUEST FOR RECORDS DISPOSITION AUTHORITY**  
(See Instructions on reverse)

*Rec'd NC 11 7 1978*

LEAVE BLANK	
JOB NO.	NC 1 53 78 14
DATE RECEIVED	AUG 11 1978
NOTIFICATION TO AGENCY	
<small>In accordance with the provisions of 44 U.S.C. 3303a the disposal request, including amendments, is approved except for items that may be stamped "disposal not approved" or "withdrawn" in column 10.</small>	
8-16-78 <small>Date</small>	 <small>Archivist of the United States</small>

TO: GENERAL SERVICES ADMINISTRATION,  
NATIONAL ARCHIVES AND RECORDS SERVICE, WASHINGTON, DC 20408

1. FROM (AGENCY OR ESTABLISHMENT)  
Bureau of the Public Debt
2. MAJOR SUBDIVISION  
Division of Securities Operations
3. MINOR SUBDIVISION  
Securities Transactions Branch

4. NAME OF PERSON WITH WHOM TO CONFER  
Sandra Wagasky

5. TEL. EXT.  
447-9426

6. CERTIFICATE OF AGENCY REPRESENTATIVE:

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposal of the agency's records; that the records proposed for disposal in this Request of 12 page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified.

- A Request for immediate disposal.
- B Request for disposal after a specified period of time or request for permanent retention.

C. DATE 8/17/78	D. SIGNATURE OF AGENCY REPRESENTATIVE <i>Kenneth C. Rath</i>	E. TITLE Asst. Comm.
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7. ITEM NO.	8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9. SAMPLE OR JOB NO.	10. ACTION TAKEN
	<u>SECURITIES TRANSACTIONS BRANCH</u>	<u>NC 1-53-</u> <u>78-1</u>	
	<p>The records detailed below are generated and maintained by the Division of Securities Operations, Securities Transactions Branch (STB). STB performs similar functions to those of a Federal Reserve Bank, and provides services to the public related to the issue, reissue and redemption of marketable Treasury securities and U. S. Savings Bonds.</p> <p>Records documenting these activities include but are not limited to:</p> <p align="center"><u>PAYMENT AND REISSUE SECTION</u></p> <p>1. Redemption and servicing files - These files document transactions concerning the following types of securities: marketable Treasury, Federal Financing Bank, coupon and stamps. Transactions documented by these files include requests for payment or credit of securities, the physical transfer of securities, and/or the redemption or exchange of securities. Because of their closely related function, payment tickets for Savings Bonds are also listed in this item (see 225e).</p>		

*All pen and ink changes appearing on this schedule have been made with the consent of Sandra Wagasky, 8/11/78, OFA.*

*sent to NARA 8/25/78 48 ITEMS*

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7. ITEM NO.	8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9. SAMPLE OR JOB NO.	10. ACTION TAKEN
a.	Shipping Advices (Registered) --PD 3641 - Description of Retired Registered Securities --PD 3642 - Advice of Shipment Shipping Advices (Bearer) --PD 1 - Advice of Shipment of Securities Forwarded for Credit --PD 3 - Schedule of Serial Numbers --PD 4283 - Lot Ticket for Redeemed Securities Shipping Advices (Coupon) --PD 2212 - Advice of Shipment of Redeemed Interest Coupons --PD 4309 - Schedule of U.S. Coupons Submitted to the Bureau of the Public Debt for Payment DESTROY WHEN 3 YEARS OLD.	1a	
b.	Withheld Taxes - These records document the amount of taxes withheld from the interest checks paid to aliens who own U.S. securities. --PD 4305 - Government and Agency Payment Ticket --IRS 1001 - Ownership, Exemption or Reduced Rate Certificate DESTROY RECORDS GENERATED PRIOR TO <del>7-2-75</del> <sup>7-1-75</sup> WHEN 10 YEARS, 3 MONTHS OLD. DESTROY RECORDS GENERATED AFTER <del>7-2-75</del> <sup>6-30-75</sup> WHEN 6 YEARS, 3 MONTHS OLD.	1b.	
c.	Payment Tickets - Items 225c - 225e document the payment of redeemed marketable securities and Savings Bonds noting payment voucher number, owner's name, and security serial number. --PD 1668 - Request for Redemption of Registered Securities MICROFILM WHEN 3 YEARS OLD AND DESTROY ORIGINALS AFTER VERIFICATION IS COMPLETE. DESTROY MICROFILM WHEN ORIGINAL RECORDS WOULD HAVE BEEN 20 YEARS OLD.	1c.	
d.	--PD 4272 - Affidavit by Owner of Partial Destruction of Mutilated Saving Stamps --PD 4304 - Transfer of Funds (FRB - Richmond) --PD 4305 - Government and Agency Payment Ticket	1d.	

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7. ITEM NO.	8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9. SAMPLE OR JOB NO.	10. ACTION TAKEN
	<p>PD 4305 is used as a payment ticket for a variety of miscellaneous payments such as the redemption of bearer securities, savings stamps and coupons. MICROFILM WHEN RECORDS ARE 3 YEARS OLD AND DESTROY WHEN VERIFICATION IS COMPLETE. DESTROY MICROFILM WHEN ORIGINAL RECORDS WOULD HAVE BEEN 20 YEARS OLD.</p>		
e.	<p>Savings Bonds Pay Ticket File</p> <p>--PD 4227 - U.S Savings Bond Payment Ticket: Continuation Sheet</p> <p>--PD 4257 - U.S. Savings Bond Payment Ticket</p> <p>--PD 4282 - Letter Regarding Reasons for Withholding Payment of Savings Bond(s)</p> <p>--PD 4387 - Letter - Foreign Address</p> <p>MICROFILM WHEN RECORDS ARE 3 YEARS OLD. DESTROY ORIGINAL RECORDS AFTER VERIFICATION OF MICROFILM. DESTROY MICROFILM WHEN ORIGINAL RECORDS WOULD HAVE BEEN 20 YEARS OLD.</p>	1e.	
f.	<p>Payment Vouchers - These records document a request from the Payment and Reissue Section to the Bureau of Government Financial Operations, Washington Disbursing Center, to issue a check for the payment of a redeemed marketable security or savings bond. It is the only record maintained by STB which shows that a check has been issued. All other records of specific transactions refer to the voucher number.</p> <p>--SF 1166 - Voucher and Schedule of Payment</p> <p>--SF 1167 - Continuation Sheet <del>7-1-75</del> DESTROY RECORDS GENERATED PRIOR TO <del>7-2-75</del> WHEN 10 YEARS 3 MONTHS OLD. DESTROY RECORDS GENERATED AFTER <del>7-2-75</del> WHEN 6 YEARS, 3 MONTHS OLD. 6-30-75</p>	1f.	
2.	<p>Supporting documents relating to the issue, reissue and redemption of U.S. Savings Bonds, Savings Notes, Retirement Plan Bonds, and Individual Retirement Plan Bonds. These securities are non-transferrable.</p>		
a.	<p>Applications for purchase - These are memorandum copies of savings bond applications and are duplicated elsewhere.</p> <p>--PD 2507 - Application for United States Savings Bonds - Series E</p> <p>--PD 3550 - Application for and Proof of Purchase of U. S. Retirement Plan Bonds</p> <p>--PD 3700 - Application for United States Savings Bonds - Series H</p> <p>--PD 4293 - Letter Requesting Further Information on U. S. Savings Bonds</p> <p>--PD 4345 - Application for and Proof of Purchase of U. S. Individual Retirement Bonds</p>	2a.	

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7. ITEM NO.	8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9. SAMPLE OR JOB NO.	10. ACTION TAKEN
	<p>--Savings Bond Schedules - These schedules are composite listings of applications from employees of private companies, who participate in payroll deduction savings plans.</p> <p>DESTROY WHEN 2 YEARS OLD.</p>		
b.	<p>Addressograph Plates - These address plates are made up for each individual buying a savings bond by the payroll deduction plan.</p> <p>DESTROY WHEN INDIVIDUAL'S NAME IS REMOVED FROM APPROPRIATE SAVINGS BONDS SCHEDULE.</p>	2b.	
3.	<p>Safe Accountability Files. These records are kept to account for the movement of securities maintained in the Payment &amp; Reissue Section's safe.</p> <p>--PD 846-2 - Authorization for Stock Shipment  --PD 1328 - Securities Stock Requisition  --PD 2835 - U.S. Savings Bonds/Notes and Retirement Plan Bonds General Stock on Hand  --PD 4228 - Requisitions for Bonds  --PD 4258 - Requisition for Bonds (Reissue)  --PD 4281 - Monthly Stock Balance Report  --PD 4606 - Security Assignment Register  --PD 4607 - Security Assignment Register (Spoiled &amp; Replacement Bonds)</p> <p>DESTROY 6 MONTHS AFTER AUDIT OR WHEN 3 YEARS OLD, WHICHEVER IS SOONER.</p>	3.	
4.	<p>Authority Files - These files relate to the redemption of savings bonds by people other than the registered owners, and indicate who is legally appointed as their representative.</p> <p>TRANSFER TO FRC WHEN 5 YEARS OLD. DESTROY 6 YEARS AFTER RELATED SECURITIES HAVE REACHED FINAL MATURITY OR ENTERED THE DEPARTMENT, WHICHEVER IS LATER.</p>	4.	
5.	<p>Evidence Files - These files contain the evidence submitted by owners or beneficiaries to properly authorize the payment of a bond. They include such legal documents as death certificates and notarized letters appointing executors of estates or guardians.</p> <p>TRANSFER TO FRC WHEN 5 YEARS OLD. DESTROY 6 YEARS AFTER RELATED SECURITIES HAVE REACHED FINAL MATURITY OR ENTERED THE DEPARTMENT, WHICHEVER IS LATER.</p>	5.	
6.	<p>Citizenship Files - These files relate to securities held by aliens or by U.S. citizens living in foreign countries. They concern the question of withholding taxes on the interest, which is decided by the person's citizenship.</p> <p>DESTROY WHEN 5 YEARS OLD.</p>	6.	

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7. ITEM NO.	8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9. SAMPLE OR JOB NO.	10. ACTION TAKEN
7.	General Correspondence Files - DESTROY WHEN 3 YEARS OLD.	7.	
8.	Transcript Blotter - These files show all the daily work such as transfers from Series E to Series H bonds, FET redemptions, etc. --PD 4253 - Worksheet - U.S. Savings Bonds --PD 4255 - Daily Transcript Worksheet --PD 4326 - Worksheet - Savings Bonds TRANSFER TO FRC WHEN 3 YEARS OLD. DESTROY WHEN 6 YEARS, 3 MONTHS OLD.	8.	
9.	Book Entry Payment Files - These files document the payment of redeemed book entry securities. --PD 4632 - Tender for Treasury Bills in Book Entry Form (26 week bills) --SF 1166 - Schedule and Voucher of Payments TRANSFER TO FRC WHEN 3 YEARS OLD. DESTROY WHEN 6 YEARS, 3 MONTHS OLD.	<i>New Item</i>	
<u>TRUST SECTION</u>			
10.	Tender and subscription forms for purchase or exchange of marketable Treasury securities and Federal Financing Bank securities received in response to public offerings of new securities. --PD 2531 - Delivery of Securities Against Wire Advice --PD 3641 - Description of Retired Registered Securities --PD 3800 - Securities Transactions Request --PD 3800-1 - Registered Issue Request --PD 3905 - Request for Securities Transaction TRANSFER TO FRC WHEN 1 YEAR OLD. DESTROY WHEN 6 YEARS, 3 MONTHS OLD.	9.	
11.	Advice of Shipment Files --PD 2212 - Advice of Shipment of Redeemed Interest Coupons --PD 2475 - Advice of Shipment of Matured Coupons Forwarded for Credit DESTROY RECORDS GENERATED PRIOR TO <del>7-2-75</del> <sup>7-1-75</sup> WHEN 10 YEARS, 3 MONTHS OLD. DESTROY RECORDS GENERATED AFTER <del>7-2-75</del> <sup>6-30-75</sup> WHEN 6 YEARS, 3 MONTHS OLD.	10.	
12.	Custody and Safekeeping Files - These accounts are main- tained by STB as a service for individuals and organiza- tions who wish to allow the Treasury to hold their se- curities in the vault for safekeeping or in custody.		

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7. ITEM NO.	8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9. SAMPLE OR JOB NO.	10. ACTION TAKEN
	<p>These records include the authorities and requests for deposits and withdrawals and the record of interest coupons detached and paid to a customer or credited to a customer account.</p> <p>a. Mail and Over-the-Counter Cases - These files contain the documentation associated with the withdrawal of savings bonds from safekeeping and copies of any accompanying death, marriage or birth certificates relating to the case.</p> <p>--PD 4236 - Letter Concerning Withdrawal of U.S. Savings Bonds from Safekeeping</p> <p>--PD 4266 - Request for Release of Savings Bonds</p> <p>--PD 4311 - Preparatory Sheet - Savings Bonds Withdrawal</p> <p>--TUS 5665 - Safekeeping Receipt <sup>7-1-75</sup>  DESTRUCTOY RECORDS GENERATED PRIOR TO <del>7-2-75</del> WHEN 10 YEARS, 3 MONTHS OLD. DESTRUCTOY RECORDS GENERATED AFTER <del>7-2-75</del> WHEN 6 YEARS, 3 MONTHS OLD.  <sup>6-30-75</sup></p> <p>Safekeeping Files - These files are maintained primarily for individuals who have Savings Bonds in safekeeping in the STB vault (items 12b - 12e below).</p> <p>b. --Account Cards - These cards show the history of deposits and withdrawals made to safekeeping accounts. (PD 4250-Bond Ledger, old TUS 7043)  DESTRUCTOY 5 YEARS AFTER ACCOUNT IS CLOSED.</p> <p>c. --Locator Cards - These cards index the account cards and provide a cross reference to the account by owner's name. They facilitate access to the proper account when the account number is unknown.  DESTRUCTOY WHEN ACCOUNT IS CLOSED.</p> <p>d. --Safekeeping Receipts (TUS 5665) - The original receipt was issued to the customer when the bond was delivered to the vault. They are usually presented to STB when a bond is withdrawn.  DESTRUCTOY RECORDS GENERATED PRIOR TO <sup>7-1-75</sup><del>7-2-75</del> WHEN 10 YEARS, 3 MONTHS OLD. DESTRUCTOY RECORDS GENERATED AFTER <del>7-2-75</del> WHEN 6 YEARS, 3 MONTHS OLD.  <sup>6-30-75</sup></p>	<p><i>11a.</i></p> <p><i>11b.</i></p> <p><i>11c.</i></p> <p><i>11d.</i></p>	

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7. ITEM NO.	8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9. SAMPLE OR JOB NO.	10. ACTION TAKEN
e.	<p>--Safekeeping Jackets (Closed) - These jackets contained the securities and other material related to the account such as deposit and withdrawal tickets. They do not show the serial numbers of the bonds or to whom they were released.</p> <p>DESTROY RECORDS GENERATED PRIOR TO <del>7-2-75</del><sup>7-1-75</sup> WHEN 10 YEARS, 3 MONTHS OLD. DESTROY RECORDS GENERATED AFTER <del>7-2-75</del><sup>6-30-75</sup> WHEN 6 YEARS, 3 MONTHS OLD.</p> <p>Custody Files - These files are maintained primarily for organizations which have securities in custody in the STB vault (items 12f - 12j below).</p>	11e.	
f.	<p>--Custody Account Jackets (Closed) - These jackets contained the securities and other material related to the account. They do not show the serial numbers of the bonds or to whom the securities were released.</p> <p>DESTROY RECORDS GENERATED PRIOR TO <del>7-2-75</del> WHEN 10 YEARS, 3 MONTHS OLD. DESTROY RECORDS GENERATED AFTER <del>7-2-75</del><sup>6-30-75</sup> WHEN 6 YEARS, 3 MONTHS OLD.</p>	11f.	
g.	<p>--PD 4235 - Deposit Ticket</p> <p>--PD 4259 - Withdrawal Ticket</p> <p>DESTROY RECORDS GENERATED PRIOR TO <del>7-2-75</del><sup>7-1-75</sup> WHEN 10 YEARS, 3 MONTHS OLD. DESTROY RECORDS GENERATED AFTER <del>7-2-75</del><sup>6-30-75</sup> WHEN 6 YEARS, 3 MONTHS OLD.</p>	11g.	
h.	<p>--PD 4285 - Receipt of Depository of U.S. Savings Bonds Deposited by Bond Approving Officer</p> <p>--PD 4324 - Custody Receipt</p> <p>DESTROY RECORDS GENERATED PRIOR TO <del>7-2-75</del><sup>7-1-75</sup> WHEN 10 YEARS, 3 MONTHS OLD. DESTROY RECORDS GENERATED AFTER <del>7-2-75</del><sup>6-30-75</sup> WHEN 6 YEARS, 3 MONTHS OLD.</p>	11h.	
i.	<p>--PD 4249 - Account Card</p> <p>--PD 4284 - Coupon Interest Control Cards</p> <p>DESTROY RECORDS GENERATED PRIOR TO <del>7-2-75</del> WHEN 10 YEARS, 3 MONTHS OLD. DESTROY RECORDS GENERATED AFTER <del>7-2-75</del><sup>6-30-75</sup> WHEN 6 YEARS, 3 MONTHS OLD.</p>	11i.	
j.	<p>--Coupon Letters (PD 4260 - Letter Enclosing Checks for Interest Coupons) - These letters accompany interest checks to organizations or individuals to announce payments of coupons cut from securities held in custody.</p> <p>DESTROY RECORDS GENERATED PRIOR TO <del>7-2-75</del><sup>7-1-75</sup> WHEN 10 YEARS, 3 MONTHS OLD. DESTROY RECORDS GENERATED AFTER <del>7-2-75</del><sup>6-30-75</sup> WHEN 6 YEARS, 3 MONTHS OLD.</p>	11j.	



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7. ITEM NO.	8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9. SAMPLE OR JOB NO.	10. ACTION TAKEN
k.	<p>--PD 4309 - Schedule of U.S. Coupons Submitted to the Bureau of the Public Debt for Payment</p> <p>This is a listing of the interest coupons paid out to customers by the STB. This schedule is maintained by STB until the permanent receipt of cancelled coupons has been prepared and forwarded to STB from the Interest Coupon Audit Section.</p> <p>DESTROY RECORDS GENERATED PRIOR TO <sup>7-1-75</sup><del>7-2-75</del> WHEN 10 YEARS, 3 MONTHS OLD. DESTROY RECORDS GENERATED AFTER <del>7-2-75</del> WHEN 6 YEARS, 3 MONTHS OLD. <sub>6-30-75</sub></p>	11k.	
l.	<p>--Cutting Letters (Tissues) DESTROY WHEN 1 YEAR OLD.</p>	11l.	
m.	<p>Monthly Cases - These are chronological files kept of the daily work done in custody and safekeeping files. They contain cancelled receipts, letters of instruction concerning deposit and withdrawals and receipts for securities temporarily removed from the vault.</p> <p>--PD 4264 - Receipt for Temporary Withdrawal --PD 4290 - Letter Forwarding Under Separate Cover Securities Which Have Been Held by Treasury</p> <p>DESTROY RECORDS GENERATED PRIOR TO <sup>7-1-75</sup><del>7-2-75</del> WHEN 10 YEARS, 3 MONTHS OLD. DESTROY RECORDS GENERATED AFTER <del>7-2-75</del> WHEN 6 YEARS, 3 MONTHS OLD. <sub>6-30-75</sub></p>	11m.	
13.	<p>Wire Transfer Files - These files relate to transfers of securities authorized over the wire.</p>	12a.	
a.	<p>--PDA Daily Reports - This is a daily report prepared to account for the movement of securities in and out of the vault by piece and dollar amount.</p> <p>--PD 2531 - Delivery of Securities Against Wire-Incoming From Wire --PD 2532 - Wire Transfer of Government Securities-Outgoing to FRB's --PD 2565 - Daily Report of Securities Charges for Public Debt Transactions (Bearer) --PD 2565-1 - Daily Report of Security Charges for Public Debt Transactions (Registered) --PD 2565-2 - Daily Report of Security Charges for Public Debt Transactions (Book Entry) --PD 2566 - Report of Security Credits for Public Debt Transaction (Bearer) --PD 2566-1 - Report of Security Credits for Public Debt Transactions (Registered)</p>		

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7. ITEM NO.	8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9. SAMPLE OR JOB NO.	10. ACTION TAKEN
	--PD 2566-2 - Report of Security Credits for Public Debt Transactions (Book Entry) --PD 4291 - Application for Telegraphic Transfer of U.S. Bearer Securities --PD 4296 - Record of Securities Transactions --PD 4308 - Memo of Security Stock Transaction TRANSFER TO FRC WHEN 2 YEARS OLD. DESTROY RECORDS GENERATED PRIOR TO <del>7-2-75</del> WHEN 10 YEARS, 3 MONTHS OLD. DESTROY RECORDS GENERATED AFTER <del>7-2-75</del> WHEN 6 YEARS, 3 MONTHS OLD. <u>6-30-75</u>		
b.	--PDA Monthly Reports - These reports show the dollar amount of outstanding securities on hand at the end of every month. --PD 2834 - Report of Book Entry Balances Outstanding or Securities on Hand as of the Close of Business <u>7-1-75</u> DESTROY RECORDS GENERATED PRIOR TO <del>7-2-75</del> WHEN 10 YEARS, 3 MONTHS OLD. DESTROY RECORDS GENERATED AFTER <del>7-2-75</del> WHEN 6 YEARS, 3 MONTHS OLD. <u>6-30-75</u>	12b.	
14.	Authority Files for Custody Blocks F-G DESTROY RECORDS GENERATED PRIOR TO <del>7-2-75</del> WHEN 10 YEARS, 3 MONTHS OLD. DESTROY RECORDS GENERATED AFTER <del>7-2-75</del> WHEN 6 YEARS, 3 MONTHS OLD. <u>6-30-75</u>	13.	
15.	GFO Servicing Files a. Transcript Files - These files document the sale of securities of original issues and record the daily charges and deposits made to agency accounts which are administered by the Bureau of Government Financial Operations (GFO). --PD 2532 - Wire Transfer of Government Securities (Outgoing) --PD 2547 - Daily Report of Public Debt Interest Charges and Credits --PD 2547-1 - Daily Report of Public Debt Interest Charges and Credits (Book Entry) --GFO 17 - Transcript of General Account of U.S. Treasury --GFO 17M - Abstract of Charges --GFO 17C - Abstract of Receipts --GFO 5208C - Debit Voucher --GFO 5388C - Debit Voucher --TFS 12 - Debit Voucher (17-210) --TFS 1201 - Office Copy for Forwarding Depository --TFS 5402 - Debit Voucher (17-866)	14a.	

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7. ITEM NO.	8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9. SAMPLE OR JOB NO.	10. ACTION TAKEN
	<p>--TFS 5522 - Debit Voucher (17-860)</p> <p>--TFS 5523 - Credit Voucher</p> <p>--SF 215 - Deposit Ticket</p> <p>--SF 219 - Certificate of Deposit</p> <p>--SF 5515 - Debit Voucher</p> <p>DESTROY RECORDS GENERATED PRIOR TO <del>7-2-75</del><sup>7-1-75</sup> WHEN 10 YEARS, 3 MONTHS OLD. DESTROY RECORDS GENERATED AFTER <del>7-2-75</del><sup>6-30-75</sup> WHEN 6 YEARS, 3 MONTHS OLD.</p>		
b.	<p>GFO Transactions Files - These files record the transcript service performed for GFO, which facilitates their administration of other government agencies investments and payments of debts incurred.</p> <p>DESTROY RECORDS GENERATED PRIOR TO <del>7-2-75</del><sup>7-1-75</sup> WHEN 10 YEARS, 3 MONTHS OLD. DESTROY RECORDS GENERATED AFTER <del>7-2-75</del><sup>6-30-75</sup> WHEN 6 YEARS, 3 MONTHS OLD.</p>	14b.	
16.	<p>Vault accountability files - These files are maintained to account for the amounts and types of securities stock transferred in and out of the STB vault.</p>		
a.	<p>Custody Accounts</p> <p>--PD 4226 - Daily Report of Collateral and Custody Accounts Transactions</p> <p>DESTROY RECORDS GENERATED PRIOR TO <del>7-2-75</del><sup>7-1-75</sup> WHEN 10 YEARS, 3 MONTHS OLD. DESTROY RECORDS GENERATED AFTER <del>7-2-75</del><sup>6-30-75</sup> WHEN 6 YEARS, 3 MONTHS OLD.</p>	15a.	
b.	<p>Safekeeping Accounts</p> <p>--PD 4252 - Daily Report of Savings Bonds Safekeeping Transactions</p> <p>--PD 4270 - Daily Report of changes in Block Control Vault #7</p> <p>DESTROY RECORDS GENERATED PRIOR TO <del>7-2-75</del><sup>7-1-75</sup> WHEN 10 YEARS, 3 MONTHS OLD. DESTROY RECORDS GENERATED AFTER <del>7-2-75</del><sup>6-30-75</sup> WHEN 6 YEARS, 3 MONTHS OLD.</p>	15b.	
c.	<p>Block M Accountability Files - These files account for movement of general stock securities in and out of the vault by piece, serial number and dollar amount.</p> <p>--PD 1 - Advice of Shipment of Securities Forwarded for Credit</p> <p>--PD 3 - Schedule of Serial Numbers</p> <p>--PD 2565 - Daily Report of Security Charges for Public Debt Transactions</p> <p>--PD 2834 - Report of Book Entry Balances Outstanding or Securities on Hand</p> <p>--Daily Work Ticket File (Vault)</p>	15c.	

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7. ITEM NO.	8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9. SAMPLE OR JOB NO.	10. ACTION TAKEN
	(PD 2531, 2532, 3800, 4235, 4259, 4308) DESTROY RECORDS GENERATED PRIOR TO <del>7-2-75</del> WHEN 10 YEARS, 3 MONTHS OLD. DESTROY RECORDS GENERATED AFTER <del>7-2-75</del> WHEN 6 YEARS, 3 MONTHS OLD. <i>6-30-75</i>		
<i>df.</i>	--PD 4297 - Daily Report of Changes in Low Serial Numbers of Unissued Stock on Hand DESTROY RECORDS GENERATED PRIOR TO <del>7-2-75</del> WHEN 10 YEARS, 3 MONTHS OLD. DESTROY RECORDS GENERATED AFTER <del>7-2-75</del> WHEN 6 YEARS, 3 MONTHS OLD. <i>6-30-75</i>	<i>15f.</i>	
<i>cf.</i>	Sign-in Register Sheets for Entry into Vault 7 DESTROY RECORDS GENERATED PRIOR TO <del>7-2-75</del> WHEN 10 YEARS, 3 MONTHS OLD. DESTROY RECORDS GENERATED AFTER <del>7-2-75</del> WHEN 6 YEARS, 3 MONTHS OLD. <i>6-30-75</i>	<i>15g.</i>	
<i>17.</i>	General Correspondence DESTROY WHEN 2 YEARS OLD.	<i>16.</i>	
<i>18.</i>	Military Paying Agents for the Redemption of Savings Bonds - These are the files which authorize individuals on military bases overseas to be paying agents for redemption of U.S. Savings Bonds. DESTROY 3 YEARS AFTER SUPERCESSION OR WHEN OTHERWISE INVALIDATED.	<i>17.</i>	
<i>19.</i>	Branch Subject Files - These files pertain to those matters concerning the Securities Transactions Branch which are arranged and indexed by their content, rather than by addressee, date or other identifying characteristic. DESTROY WHEN 3 YEARS OLD.	<i>18.</i>	
<i>20.</i>	Transmittal and Minor Internal Operating Files (not covered elsewhere in this schedule) - These consist of a variety of internal forms used in STB operations. They include minor facilitating files such as internal receipts, transmittal sheets, temporary informational devices, daily summaries, local controls, reports and the like. They are of short term value because they contain data available elsewhere or are used to expedite procedures.		
<i>21.</i>	a. --Mail Notifications - (Postal Service Form 3877 - Acceptance of Registered, Insured, COD & Certified Mail). These are memorandum copies. --PD 2531 - Delivery of Securities Against Wire Advice (Bookkeeping) --PD 4286 - Record of Security Stock on Hand DESTROY 6 MONTHS AFTER AUDIT OR WHEN 3 YEARS OLD, WHICHEVER IS SOONER.	<i>19a.</i>	

## Request for Records Disposition Authority - Continuation

JOB NO.

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7. ITEM NO.	8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9. SAMPLE OR JOB NO.	10. ACTION TAKEN
b.	--PD 4287 - Lot Ticket for Securities Held in Vault No. 7 DESTROY RECORDS GENERATED PRIOR TO <del>7-2-75</del> <sup>7-1-75</sup> WHEN 10 YEARS, 3 MONTHS OLD. DESTROY RECORDS GENERATED AFTER <del>7-2-75</del> <sup>6-30-75</sup> WHEN 6 YEARS, 3 MONTHS OLD.	19b.	
c.	--PD 2531 - Deliveries of Securities Against Wire Advice --PD 4230 - High and Low Serial Numbers of Treasury Bill Stock on Hand --PD 4308 - Memorandum of Security Stock Transaction --PD 4330 - Account Record of Bonds <del>7-2-75</del> <sup>7-1-75</sup> DESTROY RECORDS GENERATED PRIOR TO <del>7-2-75</del> WHEN 10 YEARS, 3 MONTHS OLD. DESTROY RECORDS GENERATED AFTER <del>7-2-75</del> <sup>6-30-75</sup> WHEN 6 YEARS, 3 MONTHS OLD.	19c.	
d.	--PD 2532 - Wire Transfer of Government Securities (Bookkeeping) --PD 4279 - Coupon Interest Control Plate Adjustment --Daily Work Files - PD 2531 - Delivery of Securities Against Wire Advice PD 2532 - Wire Transfer of Government Securities PD 4308 - Memo of Security Stock Transactions --Transaction Log - (PD 4295 - Securities Transactions Conducted Through Public Debt) These files are memorandum copies only. DESTROY WHEN 1 YEAR OLD.	19d.	
e.	Book Entry Work Files - These files document the opening and financial accounting of accounts of book entry secu- rities. They are memorandum copies only.  --PD 2531 - CPD or AD Transfer Incoming --PD 2532 - Wire Transfer of Government Securities --PD 2547 - Daily Report of Public Debt Interest Charges and Credits --PD 2566-2 - Daily Report of Security Credits for Public Debt Transactions --PD 4632-1 - Tender for Treasury Bills (Book Entry - 13 weeks) --PD 4632-2 - Tender for Treasury Bills (Book Entry - 26 weeks) --PD 4632-3 - Tender for Treasury Bills (Book Entry - 52 weeks) --SF 215 - Deposit Ticket --TFS 5504 - Debit Voucher TRANSFER TO FRC WHEN 1 YEAR OLD. DESTROY RECORDS GENERATED PRIOR TO <del>7-2-75</del> WHEN 10 YEARS, 3 MONTHS OLD. DESTROY RECORDS GENERATED AFTER <del>7-2-75</del> <sup>6-30-75</sup> WHEN 6 YEARS, 3 MONTHS OLD.	New item	