

NOTICE - SOME ITEMS SUPERSEDED OR OBSOLETE

Schedule Number: N1-059-09-005

Some items in this schedule are either obsolete or have been superseded by new NARA approved records schedules. This information is accurate as of: 2/9/2021

ACTIVE ITEMS

These items, unless subsequently superseded, may be used by the agency to disposition records. It is the responsibility of the user to verify the items are still active.

All items except the ones listed below are active

SUPERSEDED AND OBSOLETE ITEMS

The remaining items on this schedule may no longer be used to disposition records. They are superseded, obsolete, filing instructions, non-records, or were lined off and not approved at the time of scheduling. References to more recent schedules are provided below as a courtesy. Some items listed here may have been previously annotated on the schedule itself.

Item 1 is superseded by DAA-GRS-2016-0011-0008 and DAA-GRS-2016-0011-0009

Item 17 is superseded by DAA-GRS-2017-0010-0004

Item 18 is superseded by DAA-GRS-2017-0010-0004

Request for Records Disposition Authority

(See instructions on reverse)

To: National Archives and Records Administration (NIR)
Washington, DC 20408

1. From: (Agency or establishment)

U.S. Department of State

2. Major Subdivision

Overseas Buildings Operations - Construction, Commissioning & Maintenance

3. Minor Subdivision

Office of Facilities Management Division

4. Name of Person with whom to confer

Shelia A. Prince

5. Telephone (include area code)

(202) 261-8428

Leave Blank (NARA Use Only)

Job Number

NI-059-09-5

Date Received

11/28/08

Notification to Agency

In accordance with the provisions of 44 U.S.C. 3303a, the disposition request, including amendments, is approved except for items that may be marked "disposition not approved" or "withdrawn" in column 10.

Date

4-7-09

Archivist of the United States

Shelia A. Prince

6. Agency Certification

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal on the attached 7 page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified; and that written concurrence from the General Accounting Office, under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies:

is not required

is attached

has been requested

Signature of Agency Representative

Tasha M. Thian

Title

Agency Records Officer

Date (mm/dd/yyyy)

11/14/08

7.
Item
Number

8. Description of Item and Proposed Disposition

9. GRS or
Superseded
Job Citation

10. Action
taken (NARA
Use Only)

See Attached Schedule

Overseas Buildings Operations
Facilities Management Division (OBO/CCM/FAC)
Records Disposition Schedule

- 1. Construction, Commissioning and Maintenance Taskers**
Description: Consisting of copies of OBO front office taskers responding to official-informal tasker requests for maintenance, repairs and expenditures at overseas posts.
Disposition: Temporary. Destroy after action is taken or when no longer needed.
DispAuthNo: Pending
- 2. Trip Reports**
Description: Consists of trip reports on Director's visits to overseas posts.
Disposition: Temporary. Destroy when superseded or when no longer needed.
DispAuthNo: Pending
- 3. Financial Management Files**
Description: Consists of budget excel spreadsheets, power point presentations on Programs Performance Reviews (PPR), congressional requests on management of funds, memoranda and OMB financial reports.
Disposition: Temporary. Cut off file at end of each fiscal year. Destroy 3 years after cut off date.
DispAuthNo: Pending
- 4. Office of Inspector General Files**
Description: Includes OIG's responses to post operations and maintenance investigations.
Disposition: Temporary. Destroy when 5 years old.
DispAuthNo: Pending
- 5. Policy and Procedures Files**
Description: Contains policy and procedures material. Includes revisions and updates; policies and procedures for developing Memorandums of Understanding (MOU) and Memorandas of Agreement (MOA); ALDAC drafts; and general talking points.
Disposition: Temporary. Cutoff policy/procedure files when superseded. Destroy en 3 years after cutoff or when no longer needed, whichever is later.
DispAuthNo: Pending.

6. Facility Manager Files

Description: Files contain records on the Facility Manager as well as copies of personnel correspondence, forms, biographic information; records relating to assignments, authorizations, pending actions, requests for personnel action; and records on individual employees.

Disposition: Temporary. Destroy 3 years after the person retires or leaves the program.

DispAuthNo: Pending

7. Facility Manager Program Issue Papers

Description: Consists of action memoranda, issue and policy papers on hiring, changing position descriptions, streamlining, funding, realigning funds and positions, and other related assignments.

Disposition: Temporary. Destroy when 10 years old.

DispAuthNo: Pending

8. Facility Manager Program Budget Working Files

Description: Copies of documents on housing costs, education, travel, living expenses to post, e-mails and, ICASS, financial and budget spreadsheets.

Disposition: Temporary. Cutoff when superseded. Destroy when no longer needed.

DispAuthNo: Pending

9. IMAP Trip Reports

Description: The International Maintenance Assistance Program (IMAP) consists of trip reports arranged by posts. It includes maintenance and preventative reports on the condition of the (Heating, Ventilation, Air-Conditioning) HVAC system, building maintenance, Special Access Program, training, and reports by the general inspection team.

Disposition: Temporary. Destroy when 6 years old.

DispAuthNo: Pending

10. Infra-Red – Demography Files

Description: Consists of records regarding infra-red detection equipment inspections for all post properties, and photographs of electrical hot spots.

Disposition: Temporary. Cutoff when property is no longer owned/leased. Destroy 3 years after cutoff.

DispAuthNo: Pending

11. Generator Inspection Team Reports

Description: Consists of reports on post generator maintenance and work orders to include invoices, technical files and contracts.

Disposition: Temporary. Cutoff when property no longer owned/leased. Destroy 3 years after cutoff.

DispAuthNo: Pending

12. Facility Manager's Training Records

Description: Contains facility manager training records in FAC maintained by employee name and includes career history, training certifications, assignment files, GSO and external training, training and funding approval.

Disposition: Temporary. Cutoff when employee separate. Destroy 5 years after cutoff.

DispAuthNo: Pending

13. FEAP Workload Analysis & Staffing Recommendations

Description: Consists of staffing study reports and Labor Requirement Studies on staffing skill levels at facilities overseas for government owned long term leases, maintenance operations at residential properties and posts, ambassadors' residence; and (NEC) New Embassy Compounds, arranged by posts. Includes labor costs, spreadsheets and annual salaries.

Disposition: Temporary. Cutoff end of calendar year. Destroy when 10 years old.

DispAuthNo: Pending

14. FEAP Staffing Studies by Special Requests

Description: Consists of records on the evaluation reports on the conditions of the posts buildings and grounds, maintenance and quality service performed and validation. Includes training/skills and work orders in the facilities maintenance program.

Disposition: Temporary. Destroy when 10 years old.

DispAuthNo: Pending

15. Facility Evaluation & Assistance Program (FEAP)

Description: Consists of evaluation reports on the conditions of the overseas facilities, follow-ups on maintenance repairs and corrective actions. Includes inspections, observations, recommendations, documentations and work orders.

Disposition: Temporary. Destroy when 10 years old.

DispAuthNo: Pending

16. Elevator Safety Program

Description: Records concerning the maintenance and operations of elevators at Posts worldwide. Includes contract negotiations, scope of works, safety and inspection surveys, trouble and safety reports, budget estimates, e-mails, correspondence, telegrams, photographs, drawings, modifications, code requirements, acquiring replacement parts for suppliers and working files for contract service and maintenance operations for elevators located in an overseas Government-Owned or Long Term Lease Property. Also, includes correspondence for the evaluation and testing of elevators located at Short Term Leased properties.

Disposition: Temporary. Cutoff when superseded or replaced. Destroy 10 years after cutoff.

DispAuthNo: Pending

17. Poly Chlorinated Biphenyls (PCB) Records

Description: Consists of remediation data, survey, cost estimates, laboratory data, surveys and records documenting employee occupational exposures, trip reports and contract records.

Disposition: Temporary. Destroy when 30 years or after the completion of the project, whichever is later.

DispAuthNo: Pending

18. Asbestos Abatement Records

Description: Consists of statement of works and documents on property location, inspection findings, photographs, facility personnel interviews, and air monitoring qualities.

Disposition: Temporary. Destroy when 30 years old or after the completion of the project, whichever is later.

DispAuthNo: Pending

19. Underground Fuel Storage Tank Records

Description: Consists of records regarding the replacements of fuel steel tanks, clean-up sites, soil assessments and inventory monitoring, drawings and diesel for backup generators.

Disposition: Temporary. Cutoff when superseded or replaced. Destroy 7 years after cutoff.

DispAuthNo: Pending

20. Water Quality Treatment Records

Description: Consists of records concerning water quality data, waste water/corrosion treatment, site survey visits/interviews and maintenance operations.

Disposition: Temporary. Cutoff when property is no longer owned/leased. Destroy 3 years after cutoff or when no longer needed for reference, whichever is later

DispAuthNo: Pending

21. Facility Project Support Program

Description: Consists of correspondence files relating to the administration and operation of the facility's security. Includes documents, memoranda, telegrams, surveys, Independent Government Estimates (IGE) and other statement of works for contracting and office renovation projects.

Disposition: Temporary. Cutoff when U.S. government no longer owns or leases the building. Destroy 3 years after cutoff.

DispAuthNo: Pending

22. Contract Document Files

Description: Memoranda, reports, contract files, payments, modifications, receipts; and other records documenting assignments, progress and completion of projects for New and Existing Embassy Compounds (NEC) at post.

Disposition: Temporary. Cutoff after the final payment of contract. Destroy 7 years after cutoff.

DispAuthNo: Pending

23. Roof Program Files

Description: Includes correspondence, memorandums, e-mails, scopes of work, contracts, proof and exterior building proposals, funding, photographs and drawings by posts.

Disposition: Temporary. Cutoff when property is no longer owned/leased. Destroy 3 years after cutoff.

DispAuthNo: Pending

24. Roof Program Job Books

Description: Consists of transmittals, comments review section, correspondence, memoranda, telegrams, trip and inspection reports, scopes of work, telephone conversations, e-mails, building information, application for payments, drawings and sketches, specifications, bids, product data and other documentation.

Disposition: Temporary. Cutoff when property is no longer owned/leased. Destroy 3 years after cutoff.

DispAuthNo: Pending

25. Utility Management Program Files

Description: Consists of correspondence, memorandum and general files by post projects on utility issues including generators, UPS, switchboards, transformers, circuit breakers. Includes e-mails, scope of work and drawings, specifications specific to equipment and utility bills.

Disposition: Temporary. Cutoff when property is no longer owned/leased. Destroy 3 years after cutoff.

DispAuthNo: Pending

26. Global Conditions Survey Reports

Description: Consists of survey reports from 1990-2005 arranged by each post. Includes narrative text of each post visit, recommendations for building repairs on all government owned long term lease properties, budget and programming, and (FPS) Facility Program Services requirements.

Disposition: Temporary. Cutoff when superseded. Destroy when no longer needed.

DispAuthNo: Pending

27. Small Projects Assistance Files

Description: Consists of files on tracking problem areas, managing approval of project funds and trends, scope of work for bids and (IGE) Independent Government Estimates. Includes drawing files, large format completed projects, generic files, specifications, analysis reports on maintenance problems, recommendations for new construction projects, photographs, AutoCAD drawings and floor plans.

Disposition: Temporary. Destroy when 6 years old or when no longer needed.

DispAuthNo: Pending

28. Asbestos Survey Records

Description: NOTE: Asbestos Survey inspections are expected to be a one time project. The project began in 1991 and is expected to continue for approximately 10 years or until all posts have been inspected. As each post completes its inspection, the reports are sent to the Department. These reports will be accessed to show the results of testing and/or monitoring asbestos for legal purposes only. Maintained both in paper and electronically.

Bound in spiral notebooks, arranged as received and may range in size from 1/4 to 2 inches thick per report. One report for each building

inspected. Reports document the results of inspections and tests used to monitor and measure asbestos, conducted at Department of State owned or long-term leased facilities.

(Formerly N1-59-92-30, item 1:A-08-008-01)

Disposition: Temporary. Cut off at the end of the calendar year and retire to the record center. Destroy 10 years after building is sold or 5 years after short term lease expires.

DispAuthNo: Pending

29. Post Asbestos Management Plan

Description: This report is abstracted from the Asbestos Survey Records. The Post Asbestos Management Plan describes location and condition of asbestos materials in each post surveyed and gives procedures for managing asbestos in place. (Formerly N1-59-92-30, item 2: A-08-008-02)

Disposition: Temporary. Destroy 10 years after building is sold.

DispAuthNo: Pending

30a Roof Drawings and Specifications

Description: Master files of drawings of proposed, constructed, repairs and designs of completed roof projects. Includes specifications and associated reports.

(a) Recordkeeping copy (paper).

Disposition: Temporary. Cutoff at the end of project. Destroy 6 years after the property no longer owned/leased.

DispAuthNo: Pending.

30b Roof Drawings and Specifications

Description: Electronic files of drawings of proposed, constructed, repairs and designs of completed roof projects. Includes specifications and associated reports.

(b) Electronic version of records includes computer aided design (CAD) files with PDF and TIFF image files.

Disposition: Temporary. Cutoff at the end of project. Destroy 6 years after the af the property is no longer owned/leased.

DispAuthNo: Pending

Obsolete: These items reflect a print and file process.

Reported on 2/8/19 by Mark Sgambettero