NOTICE - SOME ITEMS SUPERSEDED OR OBSOLETE

Schedule Number: NC1-136-83-02

Some items in this schedule are either obsolete or have been superseded by new NARA approved records schedules. This information is accurate as of: 10/16/2023

ACTIVE ITEMS

These items, unless subsequently superseded, may be used by the agency to disposition records. It is the responsibility of the user to verify the items are still active.

All items except those listed below are active.

SUPERSEDED AND OBSOLETE ITEMS

The remaining items on this schedule may no longer be used to disposition records. They are superseded, obsolete, filing instructions, non-records, or were lined off and not approved at the time of scheduling. References to more recent schedules are provided below as a courtesy. Some items listed here may have been previously annotated on the schedule itself.

Item 26 was superseded by N1-136-94-002 / 1.

Item 38a was superseded by N1-136-05-008 / 1.

Item 38c was superseded by N1-136-05-008 / 3.

NOTICE - SOME ITEMS SUPERSEDED OR OBSOLETE

As of 10/16/2023 NC1-136-83-02

MEC	iuest for rec('_'/' Sition A (See Inst. reverse)	UTHORITY	_	LEAVE BLANK
	foce man teacted		is	
			201 154	07.2
	AL SERVICES ADMINISTRATION, L ARCHIVES AND RECORDS SERVICE, WASHINGTON,	DC 20408	NC1-136-	-83-2
1. FROM (AGE	NCY OR ESTABLISHMENT)	<u> </u>	DATE RECEIVED	*
	partment of Agriculture	,	NOTIF	ICATION TO AGENCY
2. MAJOR SUE Agricul	tural Marketing Service (AMS)		quest, including amendm	rovisions of 44 U.S.C. 3303a the disposal re- nents, is approved except for items that may
	DIVISION Administrative Management,		be stamped "disposal n	ot approved" or "withdrawn" in column 10.
	ty Procurement - Washington, Fiel	Id Offices 5. TEL EXT	Text of the second	
	M. Colbert	447-7965	13-23-85	Archivist of the United States
V ·	E OF AGENCY REPRESENTATIVE:			
that the this age	certify that I am authorized to act for this ager records proposed for disposal in this Requency or will not be needed after the retention proposed for immediate disposal.	st of <u>32</u> page periods specified.	s) are not now r	needed for the business of
	Request for disposal after a spec retention.	mea perioa oi	ume or req	uest for permanent
C. DATE	D. SIGNATURE OF AGENCY REPRESENTATIVE	E. TITLE		900
8/24/83	Koland M. Colbert	Records	Officer	2 1 2 1 2 1 2 1 2 1 2 1 2 1 2 1 2 1 2 1
ITEM NO.	8. DESCRIPTION ((With Inclusive Dates or Re	OF ITEM	Art Comment	SAMPLE OR ACTION TAKEN
115_107	This records disposition schedule records disposition schedule NC records disposition schedules N and NN-172-149. This schedule 11, 12, 13, 14, 16, 19, and 33 a new section to include the records schedule also supersedes it program and items 4c and d, 14, NCI-462-79-3 which pertain to the program and items 4c and d, 14, NCI-462-80-3 insofar as they approgram. The grading, inspection procurement activities of Poultr transferred to AMS from the Food Service (now the Food Safety and effective October 1, 1981. NOTE: Use of this records disposed case and the service without further authorization. All files, including closed case annually by fiscal year. This disposal of records is determine file break. Screen and destroy NO REVISION OF THIS SCHEDULE OR ARE AUTHORIZED WITHOUT THE APPROXIMATE AUTHORIZED WITHOUT THE AUTHORIZED WI	1-136-79-1 and C-136-75-7, NC supersedes iter of NC1-136-79-cords of Poultrems 15, 16, and 15,	supersedes 1-136-77-2, ms 2, 4, 5, l and adds ry Division. nd 17 of of rocurement of ltry ity re uslity ervice) le and the records chedules be broken break. The ar of the cial. STRUCTIONS	All changes to this proposed schedule have been approved by: Changes to this proposed schedule have been approved by: Changes to this proposed schedule h
115_107	ARE AUTHORIZED WITHOUT THE APPROPRIATION OF AND SYSTEMS BRANCH, ADM	OVAL OF THE PAI	PERWORK	STANDARD FORM 115 Revised April, 1975
	Cypies sent to agency			Prescribed by General Services Administration FPMR (41 CFR) 101-11.4
	Cope 5= 0.00 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	/1 · · · / · · ·	Af	

Request f	or Records Disposition Authority - Continuation	JOB NO	o -136-83-2		GE 000X 2
7.	8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	*	9. SAMPLE OR JOB NO.		10 ACTION TAKEN
1.	Administrator's Correspondence Subject Files		NC1-136-79- Item 2	1_	-
<u>-</u>	Arranged alphabetically by subject.				-
	a. Significant	•	un tur		-
	Memoranda, correspondence, reports and other records documenting significant agency and program policy and procedures pertaining to the establishment, planning and development of AMS programs, such as, limitations of USDA's authority to purchase commodities on the open market; testimony before the Senate committee of Agriculture, Nutrition and Forestry; market news; uniform U.S. grade names for food; promotional assistance; school lunch program; income of farmers; direct marketing between farmers and consumers; the feasibility of electronic marketing for the wholesale meat trade; marketing problems; and regulatory changes. Includes controlled or jacketed correspondence and substantive program interagency correspondence which are signed by the Secretary, Assistant Secretary, Administrator, Deputy Administrator, and/or Division Director. These are not filed in a case file. These file are maintained in the originating office, and may be filed at the branch/staff and/or division	e s			
	level.		-		3"/yr.
	(1) Washington Office (Record Copy) PERMANENT. Transfer to FARC when 5 years old. Offer to Archives in 5-year blocks when 20 years old. (2) Field Office				
	Destroy when 3 years old or when no longeneeded for administrative use.	r			
	(3) Other Copies				
	Destroy when 1 year old.				

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lequest fo	or Records Disposition Authority—Continuation	JOB NO. NC1-136-83-2	PAGE SX 3 OF 32
7. ITEM NO.	8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9. SAMPLE OR JOB NO.	10. ACTIO
1. Con.	b. Nonsignificant		
	Unless described elsewhere in this schedule, memoranda, correspondence, reports, and other records relating to routine program operations.		
	(1) Record Copy		
	Destroy when 3 years old.		
	(2) Other Copies		
	Destroy when 1 year old.		
	NOTE: For General Administrative Records, see Item 1, NC1-136-79-1.		
2.	Lectures and Speeches	NC1-136-79	9-1
	Filed chronologically.		
	 Lectures and speeches for the AMS Administrator and assistants which pertain to major AMS programs and/or operations. 		
	(1) Record Copy	GRS 14	3"/yr.
	PERMANENT. Transfer to FARC when 3 years old. Offer to Archives in 5-year blocks when 20 years old.	Item la	,
	(2) Other Copies		
	Destroy when 1 year old.	·	
	b. All other lectures and speeches which do not pertain to major AMS programs and operations.		
	Destroy when 3 years old.		
		2	
*			

Request fo	or Records Disposition Authority—Continuation	JOB N	3	AGE XOR 4 F 32
7. ITEM NO.	DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	NCI	9. SAMPLE OR JOB NO.	10. ACTION TAKEN
3.	Committee Records		NC1-136-79-	
	Case filed by name of committee.	:	Item 5a, b and GRS 16	
	 Records of advisory committees and meetings, meetings with interagencies or other Federal 		Item 12a, b	
	agencies, and other meetings (other than staff meetings) chaired by AMS top level employees. Includes records relating to establishment,			
	organization, membership and policy of the committees and records created by committees; i.e. agendas, minutes, final reports and recommendations.			
	PERMANENT. Transfer to FARC when 3 years old. Offer to Archives, when 25 years old. in 5-year blocks			1/4 cu. ft./yr
	 Records of committees below the Administrator's level or other association/committee meetings. 			
	 Records relating to establishment, organization, membership, and policy. 			
	Destroy 2 years after termination of committee.			
	(2) Agenda, minutes, final reports, and relate records documenting accomplishments.	đ		
	Destroy in Agency when 3 years old or when no longer needed for reference.	1		
	NOTE: For Staff Meetings, see Item 6, NCI-136-79-1	•		
4.	Budget Estimates		NC1-136-79-	1
	a. The record copy of budget estimates and justi- fications prepared or consolidated in formally organized budget offices at the Agency level. Included are appropriation language sheets, narrative statements, and related schedules and	· ·		
:	data. (1) Record Copy		• 1	
	Transfer to FARC when 5 years old. Destroy when 25 years old.		î	
	(2) Other Copies			
	Destroy when 3 years old.			
5-203	Four copies, including original, to be submitted to the National Arc		STANDARD F	

Mequest 10	or records Disposition Authority—Continuation		GE OF 5 F 32
7. ITEM NO.	DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9. SAMPLE OR JOB NO.	10. ACTION TAKEN
4. Con.	b. Working papers, cost statements, and rough data accumulated in the preparation of annual budget estimates, including duplicates of papers described in Item 4a; and originating offices' copies of reports submitted to budget office.	GRS 5 Item 4	
	Destroy 1 year after end of fiscal year covered by the budget.		
5.	Legislation	NC1-136-79-1	·
	Records pertaining to the formulation and revision of program planning to include any significant case files or other documents of a precedent nature; including summary of comments and copies of legislative reports or history regarding any proposed legislation, legal opinions, decisions, laws, regulations, and orders.		
	a. Record Copy		6 cu. ft total/
	PERMANENT. Transfer to FARC when 15 years old. Offer to Archives, when 25 years old. in 5-year blocks b. Other Copies		10 yrs.
	Destroy in Agency when 3 years old or when no longer needed.		
6.	Directives, Procedural and Operating Manuals	NC1-136-79-1 Item 19	
	Filed numerically. This item covers records created or accumulated in directing and coordinating agency-wide administrative policy procedures and issuances. In addition to the Agency directives, there are Division and Branch directives created and maintained separately from the Agency's directives which are also covered in this item.	e	
	a. Formal Directives	GRS 16 Item la	
	Formal directives (including procedural and operating manuals) and all revisions thereof, notices, monthly checklists, and indexes.		·
	(1) Directives related to program policies and significant administrative information concerning formal policy for Agency, Division, and Branch formulation, organ- ization, functions, procedures, and		

Request for R	ecords disposition Authority — Continuation	B NO. C1-136-83-2	PAGE XXEX 6 OF 32
7. ITEM NO.	8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9. SAMPLE OR JOB NO.	10.
6. Con.	(a) Record Copy PERMANENT. Offer to Archives in 5-year blocks when 20 years old.		1.6 cu ft./yr
	(b) Other Copies - (such as reference copies)	,	
	Destroy when superseded or obsolete.		
	(2) Directives related to routine administrative functions (e.g., payroll, procurement, trave personnel management, etc.).	<u> </u>	
	Destroy when superseded or obsolete.		
ь	. Directives Case Files		
	Case files related to (a) above consisting of: (1) original submission of directive, including reviewer's comments; (2) background material, working papers, and official regulations supporting need for issuance of directive; (3) clearance and approval documents, including work progress sheets; and (4) printed copy of directive.		
	 Case files related to directives, except Notices, described in a(1) above. 	GRS 16 Item 1b	
	(a) Record Copy		
	Transfer to FARC 3 years after superseded or obsolete. Destroy 15 years after superseded or obsolete.	·	
	(b) Other Copies		
	Destroy when superseded or obsolete.		
	(2) Case files related to Notices as described, along with other directives, in a(1) above.		
·	Destroy when 2 years old.		
	(3) Case files related to directives described in a(2) above.	.n GRS 16 Item 1d	
	Destroy when issuance is destroyed.		
5-203	Four copies, including original, to be submitted to the National Archiv		D FORM 115-A

Request for	Records Disposition Authority—Continuation NCI		PAGE OR 7 OF 32
7. ITEM NO	8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9. SAMPLE OR JOB NO.	10. ACTION TAKEN
6. Con.	Agency, Division, Branch, Office informational administrative issuances such as announcements, bulletins, and messagegrams issued to alert employees to matters which require immediate action or attention, or to situations of which they should be aware.		
	(1) Record Copy Filed by the issuing office with background documents attached to the printed copy of each issuance, and usually filed in a 3-ring binder. Destroy when 2 years old.		
	Destroy when issuance has served its purpose.		
5-203	Four copies, including original, to be submitted to the National Archives		

Request 1	or Records Disposition Authority—Continuation	JOB NO. NC1-136-3		AGE OR 8 F 32
7. ITEM NO	DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	SA	9. MPLE OR JOB NO.	10. ACTION TAKEN
7.	Cooperative Documents/Agreements Filed by State and thereunder by number of program.	NC1-	-136-79-1 n 13	
	a. Cooperative Agreements and Memorandums of Understanding with other Federal agencies and with other public and private agencies which are substantive or are of an exceptional or precedent nature.	:		
	(1) Record Copy PERMANENT. Transfer to FARC 5 years after termination of agreement. Offer to Archive in 5-year blocks when 25 years old.	s		1/2 cu. ft./yr. 16 cu. ft. total
	(2) Other Copies Destroy 3 years after termination of agreement.			
	 Cooperative Agreements and Memorandums of Under- standing which are nonsubstantive or of a routine nature. 			
	All Copies Destroy in Agency 3 years after termination of agreement or when no longer needed for administrative use.			
	Filed by State and thereunder by number of agreement			
	c. Reimbursable Agreements (including related records) with other Federal agencies and with other public and private agencies.			
	All Copies			
	Destroy in Agency 3 years after termination of agreement or when no longer needed for administrative use.			
	NOTE: Final reports, evaluations, summaries of results, etc., related to the accomplishment of the agreements described in a, b, and c above - see Item 11.	ı		
	d. Case files on activities of State Milk Control Agencies. These case files consist of copies of State and local agreements, such as State Milk Orders, Price Schedules, statistical and background information.			
	Destroy when superseded.			
5-203	Four cooles, including ariginal to be submitted to the National Acc			DM 115-A

Request f	or Records Disposition Authority—Continuation	JOB NO. NC1-136-83-2	PAGE XXX 9 OF 32
7, ITEM NO.	DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9. SAMPLE OR JOB NO.	10. ACTION TAKEN
7. Con.	e. Case files of agreements with transportation companies pertaining to their reporting to Market News Branch of the Fruit & Vegetable Division the rail movements on fruits and vegetables. Includes assigned line codes for reporting purposes.		
	Destroy 2 years following end of calendar year in which agreement is terminated.		
	f. An agreement between USDA, in cooperation with the Cotton Division, and 14 foreign association to establish universal standards for cotton. The purpose of this agreement is to facilitate and promote foreign commerce of cotton.	18	
	PERMANENT. Transfer to FARC 5 years after termination of agreement. Offer to Archives 25 years after termination.		1" total
8.	Federal Register Dockets	NC1-136-79	9-1
	Case files including AMS dockets submitted for publication in the <u>Federal Register</u> and related material.		
	a. Docket cases which contain material essential the documentation of program formulation and/or major operations which are not substantially covered by the docket itself. These files include the Agency copy with the Office of General Counsel approval.		
	Transfer to FARC when 3 years old. Destroy when 20 years old.	•	
	NOTE: Hearing Clerk's office maintains official copy.		
	b. Divisional copies of dockets, background material, and public commentary (other than described in item 8a). This includes fee docked which do not have public commentary. These may or may not result in Administrative proceedings.	7	
	Break from file at end of fiscal year in which rule is finalized. Destroy 10 years after rule is finalized when no longer needed for administrative use.	or	
	NOTE: For Purchase Program Dockets, see Item 14.		

Request for Records Disposition Authority—Continuation	JOB NO. PAGE 10R 1
7. 8. DESCRIPTION OF ITEM ITEM NO. (With Inclusive Dates or Retention	
9. Compliance/Enforcement/Litigation	NC1-136-79-1 Item 12
a. Precedent Cases	
Records pertaining to administrate civil and criminal court actions essential to the documentation of in regulatory violation cases an including cases against AMS and which are of national importance in major changes in AMS policy of office of General Counsel retain Destroy 5 years after case is concluded in least to proceed for administrate NOTE: Transfer to FARC is not a sincluded in violation complaint (1) Which do not result in litit proceedings and/or warnings not result in formal adminishearings which are not of primportance. Transfer to FARC 3 years after case when no longer needed for a use. (2) Where legal actions have be Destroy 5 years after close action (adjudicated in all or when no longer needed for commodity handlers. Destroy in Agency when defendant when no longer needed for adminishear need	s, and claims of AMS involvement nd litigation, AMS employees, e, and/or result or procedures. ns official copy. losed or when tive use. authorized. s well as records case files: igation or court s but may or may istrative precedent fter removal from is closed or administrative een instituted. e of final court courts involved) or administrative urts against t is deceased or

Request for Records Disposition Authority—Continuation JOB NO. 1-1			PAGE XOK 11 OF 32	
7. ITEM NO.	8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9. SAMPLE OR JOB NO.	10. ACTION TAKEN	
9. Con.	 d. Cards used to list and/or index violations, alleged violations, and actions taken. 		TAKEN	
,	Destroy 10 years after all listed violation cases are closed. However, the cards may be maintained longer as a reference file to serve the needs of the users and destroyed when no longer needed.			
	NOTE: These cards are not permanent and are not transferable to FARC.			
	e. Reports and related correspondence of complaints, suspected violations, investigations of violations, and actions taken on these reports.			
	Destroy 5 years after calendar year in which administrative action was taken on these reports or when no longer needed for administrative use.			
	NOTE: See the Dairy section, Items 73 through 77,			
	See Fruit and Vegetable schedule, Item 3, NC1-136-80-1. See the Poultry section, Item 22, this schedule. See the Transportation & Warehouse section, Item 130,			
	NC1-136-79-1.			
10.	Potential Research and Promotion Board Membership Files for the various Research and Promotion Boards. Include biographical data, correspondence, letters of appointment or no appointment, and agreements to accept membership.			
	a. Appointed member's file			
	Destroy in Agency 3 years after termination of the individual's membership from the board.			
	b. "Not appointed" potential member's file			
	Destroy in Agency immediately upon notification of rejection of appointment or when no longer needed for administrative use.			
	needed for administrative use.			

Request fo	or Records Disposition Authority—Continuation	JOB NO NC1-136-83-2	PAGE QEX 12 OF 32
7. ITEM NO	8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9. SAMPLE OF JOB NO.	10.
		SAMPLE OF JOB NO.	10. ACTION
115-203	Four copies, including original, to be submitted to the National Arc	hives STANDAD	D FORM 115-A

Request	lor Re	cords Disposition Authority – Continuation	JOB NC1-	136-83-2	PAGE XX 13 OF 32
7. ITEM NO.		8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)		9. SAMPLE OR JOB NO.	10. ACTION TAKEN
12.	Acc	ountability Records			
	a.	Forms Stock Control		NC-136-75-7 Item 18	
		Material which relates to the accountability of prenumbered forms, showing forms description, by whom used, quantity received or shipped, balanc on hand, etc. May include correspondence relate to blank forms. Destroy 3 years after all entries have been completed.	y e		
	b.	Certificate Accountability		NC-136-75-7 Item 19	
		Records used to monitor consignment receipt, us and disposition of serially numbered forms. Destroy 3 years after last entry.	e		
	c.	Equipment Accountability		NC-136-75-7	S
		Records relating to the accountability of equiposissued to graders, inspectors, etc., used in performing official duties.	ment	Item 14c	
		Destroy I year after equipment is returned, replaced or otherwise accounted for.			
13.	Tin	me and Attendance (T&A) Report Files			
	a.	Form OF-1130 or equivalent (AD-321)		GRS 2 Item 3a(2)	
		All Offices Destroy 2 years after the end of the pay period	•	deviation	
		NOTE: The payroll preparation and processing record copies are maintained at the National Finance Center.			
·	b.	T&A Supporting Documents. Supplemental T&A records, such as leave requests, sign-in/sign-o and work records used for time accounting under flexitime systems.		GRS 2 Item 3b	
		Destroy after GAO audit or when 3 years old, whichever is sooner.	•		
15-203		FOUr Copies, including original to be submitted to the National Ass			

Request fo	or Records Disposition Authority—Continuation	JOB N		PAGE OFXX 14 OF 32
7. ITEM NO	8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)		9. SAMPLE OR JOB NO.	10. ACTION TAKEN
	Commodity Procurement Items 14 through 20 Under Section 32 of the Act of August 24, 1935, as amended (7 U.S.C. 612c), AMS provides price assistance to producers through the purchase of surplus, and as necessary, other agricultural commodities from regular market outlets to maintain programmed levels of assistance to schools, to the elderly and to other domestic food assistance programs.	;		
14.	Purchase Program Dockets a. Docket Case Files. Includes the docket and all pertinent documentation created during docket origination and continuing through final summary of the program. Includes purchase plans, Secretary's directive, statement of funds, original press releases, delegations of authority and other supporting papers.	у	NC1-462-79- Item 15 & NN-172-149 Item 43	3
	(1) Washington Office (a) Docket Files created prior to FY 1970 PERMANENT. Transfer to FARC when 10 yold. Offer to Archivesywhen 20 years in 5-year bleck (b) Docket Files created after FY 1969	years old.		1/2 cu. ft./yr.
	Destroy in Agency when 10 years old or when no longer needed for administrate use, whichever is sooner. NOTE: Beginning FY 1970 Food and Nutrition Service maintains official copy.			
	(2) Other Copies Destroy when 2 years old.			
·	b. Docket Security. Material related to the security of dockets. Includes signed agreements for employee to handle dockets and docket security listings. Destroy 2 years after separation of employee or	S	·	
115-203	when no longer needed for administrative use. Four copies, including original, to be submitted to the National Arci		STANDARD 5	

Request f	or Records Disposition Authority—Continuation	JOB NO). 136-83-2	PAGE OF 15 OF 32
7. ITEM NO.	DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)		9. SAMPLE OR JOB NO.	10. ACTION TAKEN
14. Con.	c. Food Purchase Releases. Copies of food purchase reports and press releases to inform public of the commodity needed for purchase program. (Original documents are filed with Item a above.)			
	(1) Washington Office (Record Copy)	ļ		
	Destroy when 3 years old.			
	(2) Other Copies			
	Destroy when 2 years old.			
	NOTE: For Federal Register Dockets, see Item 8. For Press Releases, see Item 7c, NC1-136-79-1	1.		
15.	Announcements			
	a. Copies of official purchase announcements.	ĺ		
	(1) Record Copy			
	Destroy in Agency when 10 years old or when no longer needed for administrative use, whichever is sooner.	n		
	(2) Other Copies			
	Destroy when 2 years old.	1		
	b. Announcement Case Files. Includes background o specification and related material. Includes changes, approvals, and other pertinent information on announcement development.	£		
,	Destroy when 5 years old.	į		
	NOTE: For Federal Register Dockets, see Item 8. For Specifications, see Item 42.			
16.	Provisions/Contracting Files		•	
	a. Contract Case File. Contracts awarded under th Purchase Program. Includes invitation or annou ment offers, acceptance, administrative stateme bid analysis, rejected bids, and supporting pap	nce- nts,	NC1-462-79 Item 15b & NN-172-149 Item 45a	
	(1) Record Copy	-		
	Forward to the Agricultural Stabilization and Conservation Service who destroys 6 years and 3 months after contract is completed.		·	
	(2) Other Copies			
	Destroy 2 years after contract is completed.			
15-203	Four cooles including original to be submitted to the Mational Assets			

request to	or Records Disposition Anthoylive Continuation		PAGE Øg 16 OF 32
7. ITEM NO	8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9. SAMPLE OR JOB NO.	10. ACTION TAKEN
16. Con.	b. Contract Claims. Case files of contract claims which result from nonperformance, contract defaul or other contract disputes.	NC1-462-79-3 Item 15d & NN-172-149 Item 45c	3
	(1) <u>Record Copy</u> Destroy 3 years after case is closed.		
	(2) Other Copies		
	Destroy when 2 years old.		
	c. Power of Attorney. Material relating to the authorization of signature for bid submission for a private company.		
	Destroy 2 years after inactive.		
17.	Economic Statistics	NN 172-149	
	Material relating to special statistics and other economic data to determine market prices, trends, values, and productions. These are also used to develop economic impact statements and program plans.	Item 21 & NC1-462-79-3	3
	a. Record Copy		
	Destroy when 5 years old.		
	b. Other Copies		
	Destroy when no longer needed but no later than 2 years.		
18.	Export Program	NN-172-149	
	General material on the program designed to encourage the export of agricultural products surplus to domest needs.		
	a. Record Copy		
	Destroy in Agency when no longer needed.		
	b. Other Copies		
,	Destroy when 2 years old.		į

Request f	or Records Disposition Authority—Continuation	JOB N		1	SE ON 17
7. ITEM NO	8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	INCI -	136-83-2 9. sample or job no.		32 10. ACTION TAKEN
19.	Commodity Check Loading Records	-	NN-172-149 Item 45b	,	
	Material relating to the check loading performed by graders. Includes shortages, weights, losses, etc.	•	rtem 450		
	Destroy when 3 years old.				
20.	Shipping and Warehousing Records		NN-172-149 Item 23	,	
	General material relating to shipping and warehousing of products. Includes tabulations to determine transportation plans and the like.				
	Destroy when 3 years old.				
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equest for records Disposition Authority—Continuation		JOB NO	i i	PAGE DE 18	
7. TEM NO.	8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	INCT -	9. SAMPLE OR JOB NO.	10. ACTIO TAKE	
	Poultry Division				
	Items 21 through 42				
	The Poultry (PY) Division, AMS, administers				
	marketing services, expansion of market outlets,				
	regulatory and other related programs for poultry,				
	poultry products, shell eggs, egg products, rabbits	,			
	and related products.	•			
	NOTE: Commodity Procurement - See Items 14				
	through 20, this schedule.				
	Egg Research and Promotion - See Research and				
	Promotion, Items 31 and 32, NC1-136-79-1, and Item				
	10, this schedule. For violations of the acts, see				
	Item 22 below. Market News Branch - See Market News, Reports for				
	all Divisions, Items 22 through 30, NC1-136-79-1.				
	Fee Development - See Item 8b, this schedule.				
21.	Poultry Division Subject Files				
	Arranged alphabetically by subject.				
	Records documenting significant Agency and program				
	policy and procedures. Memoranda, correspondence,				
	reports, and other records pertaining to the estab-		,		
	lishment, planning and development of Poultry Division programs, such as: mandatory inspection of	f			
	egg products and surveillance of shell egg packers	•			
	and hatcheries authorized by the Egg Products				
	Inspection Act of 1970; voluntary inspection of egg				
ì	products and grading of poultry, poultry products,	_			
	shell eggs, rabbits, and related products authorized	đ	•		
	by the Agricultural Marketing Act of 1946, as				
	amended; standards for poultry, poultry products, shell eggs, egg products, rabbits and related				
	products; and purchase of poultry and egg products				
	for the National School Lunch Program/other feeding				
	programs, and diversion to other outlets under		-		
:	Section 32, P.L. 320, and related authorities.		,		
	Files may include controlled or jacketed corre-				
	spondence and substantive program interagency		2		
	correspondence signed by the Secretary, Assistant				
	Secretary, Administrator, Deputy Administrator, and/or Division Director.				
	These are not filed in a case file. These files are	e			
	maintained in the originating office, and may be			,	
	filed at the branch and/or division level.				

lequest for	Records Disposition Authority—Continuation JOB N NC1-		GE XX 19 32
7. ITEM NO	8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	8. SAMPLE OR JOB NO.	10. ACTION TAKEN
Con.	 a. Washington Office (Record Copy) PERMANENT. Transfer to FARC when 5 years old. Offer to Archives in 5-year blocks when 20 years old. b. Other Copies Destroy in Agency when 3 years old or when no longer needed for administrative use. 		1"
	Records pertaining to violations or alleged violations of the Egg Products Inspection Act, the Agricultural Marketing Act of 1946, as amended, the Egg Research and Consumer Information Act, Agricultural Fair Practices Act, and related regulations which relate to the responsibilities delegated to the Poultry Division of the Agricultural Marketing Service. a. Records Pertaining to Alleged Violations, actual violations, and detention of products which are not included in specific Violation Case Files, such as reports concerning specific cases, notices of detention, notices of termination of detention, and other related documents, if needed, such as detained tags and shipper's or receiver's statements. (1) Record Copy Destroy in Agency when 3 years old or when no longer needed for administrative use. (2) Other Copies Destroy when 1 year old or when no longer needed for administrative use.	NC-136-75-7 Item 23 & NC1-462-80-3 Item 19	

equest fo	r Rec	cords Disposition Authority—Continuation	JOB NO NC1-136-		AGE & 20 F 32
7. TEM NO		8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	8	9. MPLE OR OB NO.	10. ACTION TAKEN
22. Con.	ъ.	Violation Case Files. Alleged and actual violations, actions taken in each case, and records showing violation records of specific plants.			
		(1) Record Copy			
		Destroy in Agency 3 years after end of fiscal year in which final action is taken or case is closed, or when no longer neede for administrative use.			
		(2) Other Copies			
		Destroy 2 years after end of fiscal year i which final action is taken or case is closed, or when no longer needed for administrative use.	in		
	c.	Violation Listing. Records listing violations and alleged violations, actions taken in each case, and records showing violations of specifiplants.	c		
		Destroy in agency 10 years after completion of list or when no longer needed for administrativuse.	re		, ,
		NOTE: Transfer to FARC is not authorized.			
	d.	Product Detention Listing. Records listing detentions of product by region.			
		Destroy when 3 years old.			
		EE: For Compliance/Enforcement/Litigation, see em 9.			

Request f	or Records	Disposition Authority - Continuation	JOB N NC1-	0 136-83-2	PAGE 10 21 OF 32
7. ITEM NO.		8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)		9. SAMPLE OR JOB NO.	10. ACTION TAKEN
	of the A amended, certify products and appl inspecti	Grading activities are carried out under the terms gricultural Marketing Act of 1946, as and the Egg Products Act of 1970, to the grade and quality of agricultural. Grading involves the policies, programs ication of the voluntary grading and on for poultry and poultry products, shell products, domestic rabbits, and the y shell egg surveillance and egg products on.			
23.	b. Rout this and grad	Record Copy PERMANENT. Transfer to FARC when 10 years Offer to Archives in 5-year blocks when 20 years old. Other Copies Destroy when 1 year old. tine Reports, unless covered elsewhere in schedule, including operating statements reports of volume of products handled and led. Includes weekly, biweekly, monthly and er periodic reports. Record Copy Destroy when 3 years old.	old.	NC-136-75-7 Items 2, 3, 4	1/4"/yr. 2 1/2"/ 10 yrs. total
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Request 1	for Records Disposition Authority - Continuation	JOB N	io -136-83-2	PAGE OF 22 OF 32
7. ITEM NO.	8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	1 1102	9. SAMPLE OR JOB NO.	10. ACTION TAKEN
24.	Billing and Collection Documents including: (a) coportion of grading certificates and worksheets used to determine plant billings, (b) volume reports used to determine administrative charges, (c) statements of charges (d) PY Grading Branch copies of consolidated lists charges submitted to Financial Management Division, and (e) records pertaining to reimbursement for services conducted by cooperating agency employees. (These are GAO Site Audit Records maintained at the Regional Offices.)	r- er- ges,	NC-136-75-7 Item 5 & GRS 6 Item la	
	Destroy 6 years and 3 months after the period of the account. Transfer to FARC after GAO Site Audit or after 3 years, whichever comes first.	2		
25.	Claims Files		NC-136-75-7 Item 7	
	Records pertaining to delinquent accounts for grading services, or to active claims for or against the Government. (These are GAO Site Audit Records.)	ng		
	Destroy 6 years and 3 months after the end of the fiscal year in which collected and/or settled.			
	NOTE: For Compliance/Enforcement/Litigation, see Item 9.			
26.	Grading Certificates and Memorandums		NC-136-75-7 Item 6 &	
	Copies of grading certificates and memorandum work- sheets which constitute the basis for certificates, and supporting correspondence or other documents wh are maintained at the plant facilities.	ich	GRS 6 Item 1b	
	Destroy when 3 years old.			
27.	Grading Services Contract Case Files a. Resident Case Files		NC-136-75-7 Item 8	
	Records maintained at the National and/or Regional offices which include applications for service and control sheets, applications for off-premises freezing of eggs and egg products, work schedule requests, plant surveys, latest water potability certificates, and notices of termination of services.		·	
	Destroy 1 year after service is terminated.			
5-203	Four codies including adainst to be submitted to the Mational Age			

Request 6	Request for Records Disposition Authority - Continuation		PAGE XX 23
daas.		NC1-136-83-2	OF 32
7. ITEM NO.	8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9. SAMPLE OR JOB NO.	ACTION TAKEN
27. Con.	b. <u>Nonresident Case Files</u>		
	Records maintained at the National and/or Regional office which include the approval, grading certificate, and graders' logbooks.		
4	Destroy l year after service is terminated.		
28.	Salmonella Program Files	NC-136-75-7 Item 21	
	a. General Material pertaining to the Salmonella Surveillance Program.		
	(1) Record Copy		
	Destroy when 3 years old.		
	(2) Other Copies		
	Destroy when 2 years old.		
	b. Results of Sampling and Testing for Salmonella bacteria.		
	(1) Positive Results		
	(a) Record Copy		
	Destroy when 5 years old.		
	(b) Other Copies		
	Destroy when 3 years old.		
	(2) Negative Results		
	Destroy when 1 year old.		
	NOTE: For Laboratory Sample Files, see Item 38.		
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Requesÿí	or Records Disposition Authority – Continuation	JOB N	o. 136-83-2	PAGE ØR 24 OF 32
7. ITEM NO.	8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	LIVOI -	9. SAMPLE OR JOB NO.	10. ACTION
29.	Shell Egg Surveillance	· · · · · · · · · · · · · · · · · · ·		
	a. Egg Handler Registration			
	(1) Individual Registration Record and related documents.		NC-136-75-7 Item 12A	
	Destroy 1 year after firm is deregistered.			
ā.	(2) Cumulative Record of Registrants (National Office)		Item 12B	
	Destroy when superseded or obsolete.			
	b. Shell Egg Regulatory Inspector Reports		NC-136-75-7 Item 10C	
	(1) Record Copy (National Office)			
	(a) Destroy paper records when 4 years old, or destroy after microfilmed copies are reviewed and found acceptable.			
	(b) Destroy microfilm records when 4 years old.	3		
	(2) <u>Violation Report Copy (Regional Office)</u>			
	Destroy when 2 years old.			
	(3) Other Copies (State Offices and Plants)			
	Destroy when 1 year old.			
	NOTE: Microfilming will be done in accordance with the provisions of FPMR 101=11.5. 36 CFR 1230.			
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Maguest for vectors preposition wathout a continuation		JOB NO NC1-136-83-2	PAGE 95 25 OF 32
7, ITEM NO.	8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9. SAMPLE OR JOB NO.	10.
30.	Plant Operations		
	Records used to check internal operations of processing plants, grade interpretations, causes of undergrade products, and sanitation.	2	
	a. Narrative Reports on facilities and operations changes which are needed in official egg products plants to continue compliance with USDA standards including concurrence by USDA inspectors and plant management on proposed completion dates.		
	Destroy when 3 years old.		ļ
	b. Daily Report of Plant Operation (Egg Products) an Report of Egg Drying Operations	NC-136-75-7 Item 10B	
	Destroy when 1 year old.		
	c. Poultry Quality Control Grading Record and Shell Egg Daily Candling Record	Item 10D	
	Destroy when 1 year old.		
	d. Inspection of Poultry Packaging Worksheet (Plants	s)	
	Destroy 1 year after completed.		
	e. All Other Forms, unless specifically listed elsewhere in this schedule, related to implant operations.		
	Destroy when 6 months old.	·	
31.	Plant Surveys/Reviews	NC-136-75-7	,
	Poultry, shell egg and egg products plant reviews/ audits, supervisor's comparison grading for quality control of service, audit reports, review checklists field trip reports, and other related documents.	Item 11	
	Destroy when 3 years old.		
	NOTE: For Audits and Investigations, see Item 9, NC1-136-79-1.		
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lequest f	or Records Disposition Authority—Continuation	JOB NO NC1-136-83-	PAGE OF 26 2 OF 32
7. ITEM NO.	8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9. SAMPL JOB N	10. E OR ACTION
32.	Poultry Plant Liaison	NC-136- Item 1:	· · · · · · · · · · · · · · · · · · ·
	Records used to designate employees of poultry plants as liaison with Government graders.	ints	
	Destroy l year after end of fiscal year in which designation is withdrawn, superseded, or canceled.	,	
33.	Plant Blueprints prepared in connection with plant applications for resident inspection services.	NC-136 Item 9	-75-7
	a. Record Copy (National Office)		
	Destroy l year after inspection service is terminated.		
	b. Other Copies (State Offices and Plants)		
	Destroy when inspection service is terminated.		
34.	Labeling	NC1-13	1
	a. Label Case Files. Includes material for both fully and temporarily approved labels for shell egand egg products.		
•.	(1) Destroy paper records 1 year after the close of the fiscal year in which the lal becomes inactive or after microfilmed copies have been reviewed and found acceptable.	bel .	
	(2) Destroy microfilm 1 year after the end of the fiscal year in which all label cases the roll become inactive.	3	
	NOTE: Microfilming will be done in accordance with the provisions of FPNR 101-11-3. 36 CFR 1230.	th	
	b. <u>Disapproved Labels</u> . Includes applications, sketches and related information.		
	Destroy 1 year after label is disapproved.		

Request f	or Records Disposition Authority—Continuation	OB NO. C1-136-83-2	PAGE DEX 27 OF 32
7. ITEM NO.	8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9. SAMPLE OR JOB NO.	10. ACTION TAKEN
35.	Licensing	NC-136-75-	7
	a. License Case File including applications, recommendations and approvals of grader/inspector license Also includes resumes of qualifications and relatinformation.	s.	
	Destroy 1 year after termination of license.		
	b. License Card File. Record of grader, inspector, and/or license cards.		
	Destroy 1 year after termination of license.	,	
36.	Import/Export	NC-136-75- Item 22	7
	Material related to import and export of products. Includes clearances for the importation of egg products and shell eggs.		
	a. Record Copy	,	
	Destroy when 3 years old.		
	b. Other Copies	,	
·	Destroy when 1 year old.		
	NOTE: For Fee Certificates, see Item 24.		
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115-203	Four copies, including original, to be submitted to the National Archiv		FORM 115-A

lequest fo	or records Disposition Authority—Continuation :		GEXQEX 28 F 32
7. ITEM NO	8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9. SAMPLE OR JOB NO.	10. ACTION TAKEN
	Laboratory Analysis		
	The USDA Poultry Laboratories conduct all phases of sampling, testing and analysis. This includes the chemical, microbiological, and physical laboratory analysis on assigned products (poultry, rabbits, meat, and related products) for quality, fat content, class, condition, keeping properties, and wholesomeness. Analysis is conducted through cooperation between AMS Divisions, in agreement with the Food Safety and Inspection Service, or audit of laboratory analysis done by State agencies.		
37.	Laboratory Notebooks	GRS 19	
	Notebooks used by laboratory technicians to record methods and procedures used routinely in quality assurance analysis and testing.	Item 6b	
	Destroy 3 years after completion of the last analysis or test covered by the notebook.		
38.	Laboratory Sample Files	NC1-462-80-3 Item 4c &	
	a. Worksheets. Routine laboratory sample reports and worksheets.		
	File by type of commodity analysis or as best suits user needs.		
	Destroy when 3 years old.		
	b. Special Sample Reports. Results of special or one time sample analysis.	NC1-462-80-3 Item 4c	
	(1) Record Copy (Requesting Office)		
	Destroy when 5 years old.		
	NOTE: The Requesting Office is usually the National Office.		
	(2) Other Copies		
	Destroy when 3 years old.		
5-203		STANDARD SO	

Request for Records Disposition Authority—Continuation JOB N NC1-			GE 30 × 29 ₹ 32
7. ITEM NO	DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9. SAMPLE OR JOB NO.	10. ACTION TAKEN
38. Con.	c. Sampling and Testing Certificates. Copies of certificates issued by the laboratories for analysis performed.		
	Destroy when 3 years old.		
	NOTE: Positive results are reported to National, Regional, State, or Plant levels, as appropriate.		
	d. Comparison Sample Files. Correspondence, results, and related material relating to comparison lab sample testing for quality control of resident laboratory.	NC1-462-80-3 Item 4d	
:	Subdivide by area and plant.		
	Destroy when 3 years old.		
	e. <u>Logs</u> . Daily record which shows each sample received in laboratory. Includes listing of analysis for mandatory and voluntary programs.		
	Destroy 3 years after last entry.		
39.	Methodology Files	NC1-462-80-3	
	Records relating to: standard methods of testing; adaptation of methods developed outside the Agency (e.g., a university) for use in inspecting and testing eggs; development of new methods of analysis; and reagent production (development of substances used in the test system to identify diseases, chemicals, residues, and the like, in laboratories). Includes copies of methods developed by private industry.	Item 15	
	File by name of specific method.	·	
	a. Record Copy (Gastonia Laboratory)		
	Transfer to FARC 3 years after method is discontinued or substance is no longer used in the test system, as applicable. Destroy when 20 years old.		
	b. Other Copies (Includes Outside Reference Material)	<u>.</u>	
	Destroy when superseded or obsolete.		
	NOTE: Published reports regarding adaptation of methods for egg inspection are disposable under Publicity and Information Records, Item 7, NC1-136-79-1.		

Request f	or Records Disposition Authority—Continuation	JOB NO	1	PAGE 00 30 OF 32
7. ITEM NO	8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)		9. SAMPLE OR JOB NO.	10. ACTION TAKEN
40.	Outside Laboratories Correspondence and reports related to State and commercial laboratories. Includes lab visits and performances.		NC1-462-80-3 Item 16	3
	Subdivide by State labs, commercial labs, and area, or as best suits user needs.			
	a. Record Copy			
	Destroy when 3 years old.			
	b. Other Copies			
	Destroy when 2 years old. Standardization			
	The Agricultural Marketing Act of 1946, as amended, authorizes the development and revision of grade standards for poultry, poultry products, shell eggs, egg products, rabbits, and related products. Standards are developed from input from those industries directly affected and others interested, and help buyers and sellers trade on agreed-upon quality levels.			
41.	Standards		NC1-462-80-3	3
	a. Case Files.	l	NN-172-149 Items 33-38	
	Records essential to the development, revision, field testing and authority of poultry standards Includes <u>Federal Register</u> background material.		•	
	(1) Significant			
	Records documenting the development of/or major changes to standards of national importance, such as those for shell eggs.			
	(a) Record Copy (National Office)			1"/yr. 10"/10
	PERMANENT. Transfer to FARC when 10 years old. Offer to Archives in 5-yea blocks when 20 years old.	r		yrs. total
	(b) Other Copies			
	Destroy when superseded or obsolete.			
15-203	Four copies, including original, to be submitted to the National Arci		STANDARD F	

Request for	Request for Records Disposition Authority—Continuation			PAGE OR 31	
7.	8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	INC1-	36-83-2 9. SAMPLE OR JOB NO.	10. ACTION TAKEN	
41.	(2) Nonsignificant				
Con.	Records relating to the development of/or changes to standards which are not of national importance.				
	(a) Record Copy (National Office)				
-	Destroy when 10 years old or no long needed, whichever is sooner.	er	·		
	(b) Other Copies				
	Destroy when superseded or obsolete.				
	b. Proposed Standards Files relating to poultry standards and including Federal Register docke background material, and public commentary, ar described in Item 8b.				
	Case file as necessary.				
	c. Other Standards. General material, related information and copies of standards for equipment, containers, etc., and foreign standards (import or export standards developed by other agencies). Food Quality Assurance activity harecord copy of container standards.	;			
	Case file as needed.				
	Destroy when superseded or obsolete.				
	d. Deckets Historical Files. Historical reof poultry breeding in the United State of grading and marketing of poultry, as significant changes in standards.	es,			
	PERMANENT. Transfer to FARC when 10 years old. Offer to NARA in 10-year blocks to 20 years old.	ears when		%"/yr.	
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115-203	Four copies, including original, to be submitted to the National Ar	rchives	STANDARI	FORM 115-A	

Request for Records Disposition Authority—Continuation JOB NO. 1-3 NC.1-3		j	AGE (MEK 32) F 32		
7. ITEM NO		8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)		9. SAMPLE OR JOB NO.	10. ACTION TAKEN
		Specifications			
	Gra act pro	altry Division provides input supplied by the ding Branch to the Food Quality Assurance (FQA) vivity for development of poultry and poultry aducts specifications. Responsibility for maging specifications rests with the FQA activity	·•		
42.	Spe	cifications		NN-172-149	
	a.	Agency Specifications		Items 33-38 NC-136-75-7	•
		Case files relating to proposed poultry quality specifications or revisions of specifications. Includes copies of specifications proposed for inclusion in purchase announcements. (See Commodity Procurement, Item 15.)	•	Items 16-17	
		(1) Record Copy			
		Destroy in Agency when 5 years old or no longer needed for administrative use.			
		(2) Other Copies			
		Destroy when 2 years old.			
	ъ.	Other Federal Specifications			
		Case files of Department of Defense and other Federal agencies' specifications.			
		Destroy when superseded or obsolete.			
	c.	Commodity Exchange Specifications			
		Case files of individual commodity exchange specifications.			
		Destroy when superseded or obsolete.			
	d.	Private Specifications			
		Filed alphabetically by name of customer.			
		Case files including all material relating to t development, modification, etc. of specifications.	he		
		(1) Record Copy			
		Destroy 5 years after termination.			
		(2) Other Copies			
		Destroy when superseded or obsolete.			
5-203		Saut cooles Including adding to be sub-liked to the Matter to			