

# **Finding Aid for the Records of the Financial Crisis Inquiry Commission**

**(RG 148)**

**If you are interested in viewing any of the records in this finding aid,  
please contact the Center for Legislative Archives at  
[Legislative.Archives@nara.gov](mailto:Legislative.Archives@nara.gov) or (202) 357-5350.**

## Contents

About the Financial Crisis Inquiry Commission.....	5
FCIC Paper Records .....	8
Executive Director Wendy Edelberg’s Files.....	9
General Counsel Gary Cohen’s Files .....	11
Director of Investigations Chris Seefer’s Files.....	12
Director of Research Greg Feldberg’s Files .....	20
Incoming Correspondence to Commissioners .....	24
Staff Briefing Files Relating to Hearing 1 .....	26
Records Relating to Hearing 5.....	28
Shaista Ahmed’s Files.....	29
Jonathan E. Armstrong’s Files.....	31
Rob Bachmann’s Files .....	32
Martin Biegelman’s Files.....	33
Brad Bondi’s Files .....	34
Sylvia Boone’s Files .....	36
Tom Borgers and Victor Cunicelli’s Files .....	40
Ron Borzekowski’s Files .....	42
Ryan Bubb’s Files .....	44
Troy A. Burrus’ Files .....	46
Matthew Cooper’s Files .....	48
Alberto Crego’s Files .....	49
Jobe Danganan’s Files .....	54
Kirstin Downey’s Files .....	56
Karen Dubas’ Files.....	57

Bartly A. Dvizi's Files .....	62
Michael Easterly's Files .....	64
Michael Flagg's Files.....	67
Scott Ganz's Files .....	68
Thomas Greene's Files .....	69
Rishi Gupta and Desi Duncker's Files .....	73
Maryann Haggerty's Files.....	74
Robert C. Hinkley and Thomas Krebs' Files.....	75
Brook L. Kellerman's Files .....	76
Sarah Knaus' Files.....	77
Jay N. Lerner's Files Relating to Lehman Brothers.....	79
Julie Marcacci's Files .....	82
Courtney Mayo's Files.....	83
Carl McCarden's Files .....	84
Bruce McWilliams's Files.....	87
Joel Miller's Files .....	91
Steven L. Mintz's Files .....	92
Clara Morain's Files.....	93
Gretchen Newsom's Files.....	94
Dixie Noonan's Files .....	95
Donna Norman's Files .....	98
Adam Paul's Files .....	99
Jane Poulin's Files .....	100
Steve Sanderford's Files.....	102
Kim Shafer's Files .....	103
Gordon Shemin's Files .....	104
Stuart C. Shroff and Peter Kavounas' Files.....	105

Alexis Simendinger’s Files .....	106
Mina Simhai’s Files.....	107
Jeffrey Smith’s Files.....	109
Thomas H. Stanton’s Files .....	110
Landon Stroebel’s Files .....	113
Melana Vickers’ Files.....	114
George Wahl’s Files.....	115
Tucker Warren’s Files.....	117
Cassidy D. Waskowicz’s Files.....	118
Sarah Zuckerman’s Files Relating to Document Production.....	119
General Files.....	121
Writers’ Research Files.....	128
Incoming Correspondence to the Commission.....	131
Bank of America’s Securities and Exchange Commission Filings .....	132
Files of Bear Stearns.....	133
Federal Housing Finance Authority Production Files.....	134
Federal Housing Finance Authority Press Releases .....	135
Federal National Mortgage Association (Fannie Mae) Minutes and Other Files .....	136
Government Sponsored Enterprise Files .....	139
Files Supplied by Goldman Sachs.....	140
JP Morgan’s Securities and Exchange Commission Filings .....	145
Files Supplied by Morgan Stanley .....	146
Office of the Comptroller of the Currency Records Relating to Citigroup.....	147
Electronic Records.....	148
I. NetDocuments System .....	
Commissioner Upload Space.....	
Commissioners Workspace.....	

FCIC Staff Documents.....

Homefront.....

Series Related to the Preparation of the Final Report:.....

**Latest Versions of the Final Report**.....

**Preliminary Book Material**.....

**Preparing – Current Versions of the Final Report**.....

Memoranda for the Record and Interview Lists.....

Research Library.....

Review.....

Old FCIC Documents [non-record].....

II. Email of Commissioners and Staff.....

III. Loose Media.....

## **About the Financial Crisis Inquiry Commission**

The Financial Crisis Inquiry Commission (FCIC) was established in 2009 by Section 5 of the Fraud Enforcement and Recovery Act (PL 111-21). The 10 Commissioners, appointed by congressional leadership, hired a staff of more than 80 tasked with investigating 22 specific aspects of the financial crisis. The Commission held 19 days of public hearings across the country. Staff interviewed more than 700 people and collected millions of pages of records in paper and electronic form from government entities, financial institutions, and businesses. The 22 topics included in the FCIC’s investigatory mandate are:

- fraud and abuse in the financial sector, including fraud and abuse toward consumers in the mortgage sector;
- Federal and State financial regulators, including the extent to which they enforced, or failed to enforce statutory, regulatory, or supervisory requirements;
- the global imbalance of savings, international capital flows, and fiscal imbalances of various governments;
- monetary policy and the availability and terms of credit;

- accounting practices, including, mark-to-market and fair value rules, and treatment of off-balance sheet vehicles;
- tax treatment of financial products and investments;
- capital requirements and regulations on leverage and liquidity, including the capital structures of regulated and non-regulated financial entities;
- credit rating agencies in the financial system, including, reliance on credit ratings by financial institutions and Federal financial regulators, the use of credit ratings in financial regulation, and the use of credit ratings in the securitization markets;
- lending practices and securitization, including the originate-to-distribute model for extending credit and transferring risk;
- affiliations between insured depository institutions and securities, insurance, and other types of nonbanking companies;
- the concept that certain institutions are 'too-big-to-fail' and its impact on market expectations;
- corporate governance, including the impact of company conversions from partnerships to corporations;
- compensation structures;
- changes in compensation for employees of financial companies, as compared to compensation for others with similar skill sets in the labor market;
- the legal and regulatory structure of the United States housing market;
- derivatives and unregulated financial products and practices, including credit default swaps;
- short-selling;
- financial institution reliance on numerical models, including risk models and credit ratings;
- the legal and regulatory structure governing financial institutions, including the extent to which the structure creates the opportunity for financial institutions to engage in regulatory arbitrage;
- the legal and regulatory structure governing investor and mortgagor protection;
- financial institutions and government-sponsored enterprises; and
- the quality of due diligence undertaken by financial institutions

The FCIC selected several entities to study in depth to illustrate aspects of the crisis. These entities include American International Group (AIG), Bear Stearns, Citigroup, Countrywide Financial, Fannie Mae, Goldman Sachs, Lehman Brothers, Merrill Lynch, Moody's, and Wachovia.

The Commission's website

([www.cybercemetery.unt.edu/archive/fcic/20110310172443/http://fcic.gov](http://www.cybercemetery.unt.edu/archive/fcic/20110310172443/http://fcic.gov)) contains transcripts

and video of the public hearings, the text of the final report, staff data projects, reports and fact sheets, and audio or written transcripts or summaries of hundreds of staff interviews. The website also contains lists of Commissioners and staff; press releases; FCIC policies on financial disclosure, gifts, and other issues; and other information relating to its work.

The Commission issued its final report on Jan. 27, 2011. When it closed its doors on Feb. 13, 2011, it archived its records in the Center for Legislative Archives. The collection totals 551 archival boxes of paper records and more than 13 terabytes of electronic records. **All of the records require screening before they can be served to preclude the release of sensitive information that may be closed by statute or regulation. This screening can take several weeks. Please contact the Center for Legislative Archives well before your visit to allow enough time for screening.** This finding aid is also available in the form of entries in the National Archives Catalog where selected records may be uploaded to facilitate remote access.

## FCIC Paper Records

The paper records of the Financial Crisis Inquiry Commission are comprised of **551 archives boxes arranged into 72 series** primarily created by individual staff. This section of the finding aid provides a brief description of each series, including the volume, followed by a folder title list.

There can be significant duplication in the records since several staff addressed similar topics. It is not unusual to find published materials that were used for reference and background.

As noted in the “About the Commission” section, each folder must be screened before it can be served to researchers. The commission gathered records from government agencies that contain highly sensitive information about *the regulation and supervision of financial institutions*. It also received records that include *sensitive commercial or financial information*. Some records contain information the release of which would violate *personal privacy*. All information fitting into these categories may be withheld from release under NARA General Restrictions (36 CFR 1256). Any records containing redactions of withheld information will reference the specific restriction applied.

### Key to Abbreviations in the Description Section (below):

**LTA-S** = letter size archives box

**LGA-S** = legal size archives box

An archives box holds 800 – 1000 pages.

**NAID** = National Archives (Catalog) Identifier

**HMS** = internal NARA code indicating location within NARA’s stacks



## **Executive Director Wendy Edelberg's Files**

Box count: 5 LTA-S

Arranged by subject

NAID: 24331230      HMS: HS1-93415443

This series contains background materials and briefing books for hearings; responses to document requests from Fannie Mae and others; drafts with comments of Final Report and Preliminary Investigative Reports; news articles, speech texts, and reference reading materials; agendas; email printouts; lessons learned from complex financial derivatives research; FCIC administrative records such as budgets, Commissioner hours worked, staff activities table, contracting for the publication of the Final Report, review of audio for release online; handwritten notes; financial crisis timeline; interview transcripts; and other records. Topics include mortgage fraud, derivatives, housing and credit bubbles, FCIC administration, Goldman Sachs, rating agencies, and lobbying expenditures.

### Folder List:

#### ***Box 1 of 5***

Executive Director, Wendy Edelberg's Notes Binder 1

Wendy Edelberg's Notes – Briefing Binder for 06/02/2010 Hearing

Edelberg's Notes

Fraud

Hearing 5 (Derivatives)

Section 1

Section 2

Section 3

Section 4

Personal -- Wendy Edelberg

Fraud

Budget

Commissioner Hours

Hearing 6

#### ***Box 2 of 5***

Edelberg's Notes: Hearing 5 Background Materials

Publisher

Too Big to Fail

Timeline

Interrogatories

Big Ideas

Edelberg's Notes – Binder 4

Audio File Release Materials Binder

[Unlabeled]

Edelberg

***Box 3 of 5***

[Final Report Galley Proof]

Timeline

[Unlabeled]

Warning Articles

Charts

Fed Transcripts

To Add to Book

Vetting

Last Minute Changes

***Box 4 of 5***

Binder

Research Director: Immediate Actions

Website

Data Projects

Book Jacket Text

Reading

Preliminary Investigative Reports

[Final Report Draft]

***Box 5 of 5***

[Drafts and Comments – Final Report]

[SKDK Consulting Contract]

## General Counsel Gary Cohen's Files

Box count: 2 LTA-S, 2 LGA-S

Arranged by subject

NAID: 24450857      HMS: HS1-92098524

This series contains handwritten notes, news articles, financial disclosure forms, court records re: King County, WA v. IKB Deutsche Industriebank AG, correspondence relating to document production and confidentiality agreements.

### Folder List:

#### ***Box 1 of 4***

Articles

9/11[Commission] Precedents

Staff Lists

Staff Meetings

Archives NARA

Confidential Information Share

Commissioner Ethics

Contracts for Services

Financial Disclosure

#### ***Box 2 of 4***

Financial Disclosure

#### ***Box 3 of 4***

[Handwritten Notes]

King County, WA v. IKB Deutsche Industriebank AG

Lobbying Data (Sources Not Verified)

[unlabeled]

[Handwritten Notes]

FCIC Meeting with Delegation of the European Parliament CRISIS Committee

[Miscellaneous]

#### ***Box 4 of 4***

Document Productions, Original Productions, Confidential Information, Volume Seven- October 8<sup>th</sup>-December 20<sup>th</sup>

FCIC Referrals to the DOJ, Redlines

Confidentiality Agreements, Original Productions

## Director of Investigations Chris Seefer's Files

Box count: 28 LTA-S, 5 LGA-S

Arranged by subject

NAID: 24450876      HMS: HS1-93479756

This series contains email printouts, press clippings, court records, Securities and Exchange Commission filings, handwritten notes, memoranda for the record, drafts of FCIC reports, bank examiner reports, earnings call transcripts, corporate press releases, and other records. Subjects include Lehman Brothers, AIG, Goldman Sachs, Bank of America, Countrywide, too big to fail, and Merrill Lynch.

### Folder List:

#### ***Box 1 of 33***

[Lehman Brothers]

#### ***Box 2 of 33***

Lehman SEC Filings, 2006

Lehman: SEC Reports, 2006-2008

Lehman MFRs

Lehman Chronology Documents

#### ***Box 3 of 33***

AIG: Steve Bensinger Interview [Background]

Hearing 5, AIG Earnings Release 2009-2005

UBS Miscellaneous

Hearing 2 Background Materials: OCC, GSEs, and OFHEO

SEIU [Service Employees International Union]

JP Morgan Chase Research Reports

#### ***Box 4 of 33***

[Handwritten notes]

Greece – Goldman Sachs

Lehman Brother Examiner Report, Volume 6-8

Lehman Brother Examiner Report, Volume 9

#### ***Box 5 of 33***

Lehman 2007 [Board of Directors] Packages

Lehman 2007 Minutes

#### ***Box 6 of 33***

Lehman 2008 Minutes

[Lehman Brothers Holdings, Inc., Form 10-Ks]

Seefer

[Lehman Earnings Call Transcripts]  
[Lehman SEC Filings]

**Box 7 of 33**

IndyMac  
Citi  
[Phillip Swagel]  
[9/11 Report]  
[Causes of the Financial Crisis, 09/2009 American Bar Association Report]  
H[earing]1 Follow-up Letters  
Suggested Questions for Hearing 1 Witnesses, 01/13-14/2010  
Rating Agencies  
[John] Mack  
Fraud FBI  
Roosevelt Institute Project on Global Finance, 03/2009  
The Book

**Box 8 of 33**

[Lehman Document Request List]  
[Miscellaneous]

**Box 9 of 33**

[Miscellaneous] (cont'd.)

**Box 10 of 33**

[Handwritten Notes]  
Las Vegas, NV – Fremont  
Research File – Fannie Mae: Ferguson & Johnson Paper  
Witness File: Chris Laursen  
Exhibits from Hearing on Wall Street and the Financial Crisis: The Role of Investment Banks,  
Senate Permanent Subcommittee on Investigations, 4/27/2010

**Box 11 of 33**

FCIC Forum, 2/26-27/2010  
Sections I – IV Draft, 9/2-5/2010  
Staff Briefing: Goldman Sachs Support Material, 01/13-14/2009  
Hearing 4

**Box 12 of 33**

Galley of Final Report  
[Citigroup's 2008 Form 10-K]  
[Citigroup's 2009 Form 10-Q]  
Bank of America SEC Filings

**Box 13 of 33**

Bank of America SEC Filings (cont'd.)

Sefer

Goldman Sachs Earnings Call Transcripts & Press Releases, Q1 2007 – Q3 2009  
Bank of America Earnings Call Transcripts & Press Releases, Q1 2007 – Q3 2009  
SRM Global Fund vs Countrywide  
Countrywide

***Box 14 of 33***

Miscellaneous  
Wachovia  
[Interview Notes]  
Wachovia FDIC Documents

***Box 15 of 33***

[Wachovia Projects]  
Wachovia v Citigroup, Affidavit of Robert K. Steel  
[Hearing] 7: Too Big to Fail  
[IRS Notice 2008-83]  
[FDIC, Wachovia Liability]  
[Too Big to Fail]  
Witness File: Brooksley Born  
Detailees  
FCIC Omnibus Workplan  
Hearing 1: General  
Hearing 4: Shadow Banking  
Website Tips  
Flaherman  
CFA [Center for Financial Market Integrity]  
Discovery Chart  
Goldman Sachs  
JP Morgan Chase  
Morgan Stanley  
Bank of America Corporation  
FCIC Rules of Procedure  
Executive Compensation  
AIG  
Wa[shington] Mu[tual]

***Box 16 of 33***

[Federal Reserve Open Market Committee (FOMC)]  
[Federal Reserve Open Market Committee and Systemic Risk]  
[Transcript of Interview with Sabeth Siddique, 09/09/2010]

***Box 17 of 33***

Fed[eral Reserve] O[pen] M[arket]C[ommittee] Transcripts  
Siddique Where and When  
[Merrill Lynch Witnesses]  
SEC v ICP Asset Management

Seefer

Norma CDO, Merrill Lynch  
[Merrill Lynch]  
Merrill [Lynch]

***Box 18 of 33***

Merrill Lynch Reports  
[Merrill Lynch Research and Filings]

***Box 19 of 33***

[Merrill Lynch Research and Filings] (cont'd.)  
Rabobank v Merrill Lynch & Co.  
Goldman Sachs Articles, Vol. 1 of 2  
Goldman Sachs Articles, Vol. 2 of 2

***Box 20 of 33***

Goldman Sachs Articles, Vol. 2 of 2 (cont'd.)  
Goldman Sachs SEC Filings, Vol. 1 of 2

***Box 21 of 33***

Goldman Sachs SEC Filings, Vol. 2 of 2  
Hearing 5 Financial Trend Analysis: Goldman Sachs  
[Goldman, Miscellaneous]

***Box 22 of 33***

Goldman  
SEC v. Goldman  
Goldman PSI [Permanent Subcommittee on Investigations]  
Goldman Documents

***Box 23 of 33***

[AIG]  
[Goldman Hearing 5 Followup]  
[Goldman Sachs Letter to FCIC of 3/1/2010, with Appendices]  
Documents Produced by SEC, 5/7/2010  
Goldman Memo and Chron[ology]

***Box 24 of 33***

Goldman Memo and Chron[ology] (cont'd.)  
[Document Request – AIG]  
Witness File: John Reich, Former Director of Office of Thrift Supervision  
Witness File: Satyajit Das  
AIG [American International Group] PWC [PricewaterhouseCoopers]  
Steve Eisman  
Deutsche Bank: Shorting Home Equity Mezzanine Tranches  
AIG Documents

Seefer

**Box 25 of 33**

AIG Govt Assistance  
General Derivative Reading  
Lewis: The Big Short  
Draft AIG Memos  
Hearing 5 Financial Trend Analysis: AIG  
[Cassano]  
[Robert E. Lewis]  
AIG: Memo & Timeline  
AIG Earnings Call Transcripts Q2 and Q3 2007

**Box 26 of 33**

Draft Summary of Preliminary Investigative Report on AIG  
Witness File: Hearing 1, Meredith Whitney (Advisory Group LLC)  
[Office of Federal Housing Enterprise Oversight, Fannie Mae, and Freddie Mac]  
Witness File: Hearing 1, Chris Whalen (SVP Institutional Risk Analytics)  
Witness File: Hearing 1, Bert Ely (Ely & Company, Inc)  
Witness File: Hearing 1, Joan McCullough (Eastshore Partners)  
Witness File: Hearing 1, Tom LaMalfa  
Witness File: Morrison Public Affairs  
Witness File: George Soros  
Chris Seefer Notes – Hearing 1  
Witness File: C.R. “Rusty” Cloutier, FCIC Notes  
Witness File: Julia Gordon, FCIC Notes  
Witness File: Dr. Kenneth T. Rosen, FCIC Notes  
Witness File: Dr. Mark Zandi, FCIC Notes  
Witness File: Peter J. Solomon, FCIC Notes  
Witness File: J. Kyle Bass, FCIC Notes  
Witness File: J. Kyle Bass, Articles, Letters to LPS  
Chris Seefer Notes: Turner, FCIC Notes  
William K. Black File  
Witness File: Hearing 1, Robert Johnson  
Witness File: Paulson & Co., FCIC Notes  
Seefer Notes: 1/5/10 Federal Reserve Board Call  
Seefer Notes: OCC [Office of the Comptroller of the Currency]  
Seefer Notes: Frank Partnoy  
Seefer Notes: Jerry Flum

**Box 27 of 33**

Seefer Notes: Jay Brinkman  
Financial Accounting Standards Board  
Document Request to SEC (Mark Cahn, General Counsel), 12/9/2009  
Document Request – FDIC  
Seefer Notes: John Swingle  
Meeting with Teamsters, 2/23/2010  
Seefer Notes: Robert Levin



Seefer

Seefer Notes: 4/29/10 Ira Wagner  
Seefer Notes: 6/4/10 SEC Call  
Witness File: Hank Greenberg  
Witness File: Lance Auer  
Seefer Notes: Eliot Spitzer  
Seefer Notes: John Talbot  
Seefer Notes: Joseph St. Denis  
Seefer Notes: Laurie Goodman  
Seefer Notes: John Paulson  
Seefer Notes: Bill Ackman  
Seefer Notes: Mark Adelson  
Seefer Notes: Federal Reserve Board Call, 1/21/2010  
Seefer Notes: Peter Shapiro  
Seefer Notes: Craig McCann  
Fannie [Mae] Stock Hearings  
Fannie Mae Research: FNMA Stock Price Chart & Histories  
Seefer Notes: Hearing 2/3  
GSE [Government Sponsored Enterprise] Tables  
Freddie [Mac] Exam Reports  
Research File: Freddie Mac Conservatorship Memo

***Box 28 of 33***

Research File: Fannie Mae Conservatorship Memo  
Witness File: Herbert M. Allison (FNM)  
Research File: Boyce Materials on Government Sponsored Enterprises  
Fannie Mae Research: FNMA Organization Chart  
Witness File: Mark Winer (Fannie Mae)  
Witness File: Nell Minow, FCIC Notes  
Witness File: Chris Swecker, FCIC Notes  
Research File: FNMA Executive Compensation  
Witness File: Stephen Ashley (Fannie Mae)  
Witness File: Enrico Dallavecchia (Fannie Mae)  
[Fannie Mae]  
Fannie Mae: Monthly Reports 2000 – Year to Date 2009  
Document Request Treasury Department  
[Miscellaneous]  
Government Sponsored Enterprises] -- Greenspan  
Research File: Fannie Mae, FNMA Capital Standards  
Witness File: Adolfo Marzol  
Fannie Mae Research: 6/30/2009 FHFA [Federal Housing Finance Agency] Report re SFR  
[Single-Family Residence] Guarantee Fees in 2007 & 2008  
Fannie Mae Research: FHFA Report to Congress, 2008

***Box 29 of 33***

Case File: Fannie Mae, Recent Litigation  
Witness File: David Johnson (Fannie Mae)

Seefer

Research File: Fannie Mae, Board of Directors Info  
Witness File: Pamela Johnson (Fannie Mae)  
Fannie Mae Research: Sept. 2009 GAO Report on Fannie Mae & Freddie Mac  
Witness File: Michael Aguirre, Correspondence  
Witness File: Kenneth Bacon (Fannie Mae)  
Witness File: David C. Benson (Fannie Mae)  
Witness File: Robert T. Blakely (Fannie Mae)  
Thomas J. Donlon (Fannie Mae)  
Witness File: Ann Eilers (Fannie Mae)  
Witness File: Prof. Michael Greenberger (University of Maryland)  
Witness File: Carolyn Groobey (Fannie Mae)  
Witness File: Todd L. Hempstead (Fannie Mae)  
Witness File: Schyrl J. Hinrichs (Fannie Mae)  
Witness File: David C. Hisey (Fannie Mae)  
Witness File: Timothy Howard (Fannie Mae)  
Witness File: Lou Hoyes (Fannie Mae)  
Witness File: Mercy Jimenez (Fannie Mae)  
Witness File: Philip A. Laskawy (Fannie Mae)  
Witness File: Thomas A. Lawler (Fannie Mae)  
Witness File: Salar K. Mirran (Fannie Mae)  
Witness File: Daniel Mudd (Fannie Mae)  
Witness File: Peter Niculescu (Fannie Mae)  
Witness File: Zach Oppenheimer (Fannie Mae)  
Witness File: Karen R. Pallotta (Fannie Mae)  
Witness File: Michael A. Quinn (Fannie Mae)  
Witness File: Franklin Raines (Fannie Mae)  
Witness File: Sampath Rajappa (Fannie Mae)  
Witness File: Michael A. Shaw (Fannie Mae)  
Witness File: Mike Smilow  
Witness File: John W. Snow, Former Treasury Secretary  
Witness File: Julie St. John (Fannie Mae)  
Witness File: Marianne E. Sullivan (Fannie Mae)  
Witness File: Beth A. Wilkinson (Fannie Mae)  
Witness File: Stephen Swad (Fannie Mae)  
Witness File: Armando Falcon (Fannie Mae)  
Witness File: Robert K. Steel (Fannie Mae)  
Witness File: Robert Levin (Fannie Mae)  
Dr. Michael J. Burry Documents

***Box 30 of 33***

Dallavecchia Documents  
Hearing 2/3 Materials: Citigroup and Fannie Mae

***Box 31 of 33***

Hearing 4 Materials: Bear Stearns and Shadow Banking  
Hearing 5: Derivatives – Invites, Testimony, MFRs

Seefer

Hearing 5 Documents Released -- Complex Derivatives: AIG and Goldman Sachs

***Box 32 of 33***

Hearing 5: Memoranda for the Record

[Exhibits from Senate Permanent Subcommittee on Investigations]

Interviews

***Box 33 of 33***

Bear Stearns: Cioffi

Securitization to PIR [Preliminary Investigative Report]

Foreclosures: Senate Comm. on Banking, Housing and Urban Affairs Hearing, 11/16/2010

Foreclosures: Senate Comm. on Banking, Housing and Urban Affairs Hearing, 12/2/2010

## Director of Research Greg Feldberg's Files

Box count: 19 LTA-S, 3 LGA-S

Arranged by subject

NAID: 24450880      HMS: HS1-93416875

This series contains hearing briefing books; interview transcripts; draft Preliminary Staff Reports, Preliminary Investigative Reports, and Final Report; industry publications; congressional testimony; rating agency-supplied documents; bank examiner documents; Government Accountability Office reports; journal and press articles; and handwritten notes. Subjects include rating agencies, AIG, Goldman Sachs, derivatives, Fannie Mae, Lehman Brothers, and others.

### Folder List:

#### ***Box 1 of 22***

FCIC Briefing Meeting, 6/29/2010

Briefing: Hearing on the Role of Derivatives in the Financial Crisis, 6/30-7/1/2010

Hearing 5 Background Materials: Credibility of Credit Rating Agencies, the Investment Decisions Made on those Ratings, and the Financial Crisis

Hearing 5 Background Materials: The Role of Derivatives in the Financial Crisis

#### ***Box 2 of 22***

Hearing 5 Background Materials: The Role of Derivatives in the Financial Crisis (cont'd.)

Morgan Stanley's "Structured Credit Insights," 2006

Citigroup's CDO [Collateralized Debt Obligations]/CLO [Collateralized Loan Obligation] Market Research Reports, 4/5/2006

#### ***Box 3 of 22***

[Miscellaneous Industry Analysis and Research]

#### ***Box 4 of 22***

[Miscellaneous Industry Analysis and Research] (cont'd.)

#### ***Box 5 of 22***

Fannie Mae Research: Morgan Stanley & Sanford Bernstein Analyst Reports, vol. 2 of 4

Fannie Mae Research: Morgan Stanley & Sanford Bernstein Analyst Reports, vol. 3 of 4

#### ***Box 6 of 22***

Fannie Mae Research: Morgan Stanley & Sanford Bernstein Analyst Reports, vol. 4 of 4  
ACA [Financial Guaranty Corporation]

Rating Agency Documents

Hearing 6 Documents Released: TBTF [Too Big to Fail]: Wachovia and Lehman

***Box 7 of 22***

Chronology of Key Events Related to Lehman Brothers with Supporting Documents  
BNY Mellon Production  
Morgan Documents

***Box 8 of 22***

[JP Morgan-Supplied Documents]  
Merrill Lynch: SEC OPSRA [Office of Prudential Supervision and Risk Analysis] Memos  
[Miscellaneous Documents]

***Box 9 of 22***

[Miscellaneous Documents] (cont'd.)  
TBTF [Too Big To Fail]  
Rosner  
Book: Chapter  
[Handwritten Notes]

***Box 10 of 22***

Draft Staff Reports  
[Handwritten Notes]  
[Miscellaneous Documents]

***Box 11 of 22***

[Citi – Office of the Comptroller of the Currency]  
[Derivatives]

***Box 12 of 22***

Supervisor Survey  
[Regulation]  
Corrigan  
[Bank Powers and Audits]  
Speeches  
Notes on Senior Supervisors' Meetings with Firms, 12/3/2007  
[Miscellaneous Reports and Articles]

***Box 13 of 22***

[Miscellaneous Reports and Articles] (cont'd.)  
ABS CDOs [Asset-Backed Securities Collateralized Debt Obligations]  
Early Sessions  
Shadow Banking, Miscellaneous

***Box 14 of 22***

Section I [of Final Report]  
Section II [of Final Report]  
Supervision

Feldberg

**Box 15 of 22**

Section III [of Final Report]  
Fair Value  
Section IV [of Final Report]  
[Re: Reports and Hearings]

**Box 16 of 22**

[Miscellaneous]  
Commercial Paper  
Lehman  
Comments  
[Derivative] Dealer Survey  
Securitization Project  
Fair Value  
MBIA  
[Commercial Paper and Shadow Banking]

**Box 17 of 22**

[Miscellaneous]  
TBTF [Too Big To Fail]  
MLRs [Material Loss Review]

**Box 18 of 22**

Models  
Abacus  
Europe  
Hal Scott  
CRMPG [Counterparty Risk Management Policy Group]  
Roosevelt  
Tricadia  
Monolines  
AIG  
[Report of the Money Market Working Group, 3/17/2009]  
[Miscellaneous Publications Re: Risk]  
Greenspan

**Box 19 of 22**

AIG Information Pertaining to Multi-Sector [Credit Default Swap] Portfolio  
Citigroup [2 folders]  
Bear [Stearns]  
Repo [Repurchase Agreements]  
Governance  
Credit Rating Agencies  
Shadow Banking  
Too Big To Fail

Feldberg

[Ethics Guidelines for Commission Staff]  
[AIG]  
FRBNY [Federal Reserve Bank of New York]  
Derivative Regulation  
Derivatives Testimony

***Box 20 of 22***

[Handwritten Notes]  
Derivatives Readings  
Bloomberg  
Markit  
[International Swaps and Derivatives Association] ISDA  
Hedge Funds  
Derivatives – Witnesses  
Derivatives – Interviews  
Derivatives Infrastructure  
Derivatives  
Sanders  
Credit Derivatives  
Derivatives - Data  
Derivatives – General  
Shadow Banking  
Moody's  
Disclosure  
Asbury  
Investors  
FHFA  
DTCC Data

***Box 21 of 22***

Report of the Money Market Working Group, 3/17/2009  
[Manuals]  
[Publications]  
“Substantive Positions of S&L Trade Associations, 1979-1989”  
“Legal Authority, Basis and Structure of Thrift Regulatory Agencies Past and Present”  
Draft of Staff Report on Credit Ratings

***Box 22 of 22***

Drafts of Section II of Final Report  
[Miscellaneous Documents]

## Incoming Correspondence to Commissioners

Box count: 5 LTA-S

Arranged roughly by name of addressee Commissioner

NAID: 24740229      HMS: HS1-92098603

This series contains incoming correspondence addressed to specific Commissioners discussing suggestions for investigative avenues, proposing solutions, defending decisions, and suggesting reading.

### Folder List:

#### ***Box 1 of 5***

[Miscellaneous Correspondence]

#### ***Box 2 of 5***

[Miscellaneous Correspondence]

Williams, Jacqueline

Szymoniak, Lynn

Pugh, Gwynne

Lyons, Dennis

Keioukora, Tatiana

Locker, Bob

LeVene, Stuart

Anderson, Michael

Dillard, Vicki

Anderson, Robert

Angelo, Christopher

Barr, Alfred

Bernstein, Henry

Brown, David

Mr. Carr

[Form Letters to Chairman from Citizens dated 10/1/2010]

#### ***Box 3 of 5***

[Form Letters to Chairman from Citizens dated 10/1/2010]

#### ***Box 4 of 5***

[Form Letters to Chairman from Citizens dated 10/1/2010]

Domingo, Richard

Faulkner, Michelle

Feldman, T.J.

Langer, H.L.

Minck, John



Incoming Correspondence

Moore, Gary  
Ost, Dolores  
Olson, Carl  
Pessara, Chris  
Pick, J.M.  
Thurman, David  
Garrett, Herm

***Box 5 of 5***

[Miscellaneous Correspondence]

## **Staff Briefing Files Relating to Hearing 1**

Box count: 10 LTA-S

Arranged by subject or witness

NAID: 24740230      HMS: HS1- 93381690

This series contain multiple copies of the staff briefing book for Hearing 1: biography, testimony, and suggested questions for each witness; agendas; overview with statistical graphs; multiple copies of support materials volumes for hearing re: Goldman Sachs, Morgan Stanley, JPMorgan Chase, and Bank of America: news articles, key quotes and prior testimony of witnesses, shareholder letters and stock prices, financial trend analysis, quarterly earnings releases, conference call transcripts, and analysis reports for 2007-2009; Office of the Comptroller of the Currency Quarterly Report on Bank Derivatives Activities in the third quarters of 2006 through 2009; and reports from the Special Inspector General for the Troubled Asset Relief Program

### Folder List:

#### ***Box 1 of 10***

Staff Briefing, January 13-14, 2010 (copies 1 thru 3)

#### ***Box 2 of 10***

Staff Briefing, January 13-14, 2010 (copies 3 and 4)

Support Materials: Day 1, Panel 2

#### ***Box 3 of 10***

Support Materials: Day 1, Panel 3

Support Materials: Day 2, Panel 2

#### ***Box 4 of 10***

Support Materials: Day 2, Panel 2

Support Materials: Vol. 1 -- Goldman Sachs (copies 1 and 2)

#### ***Box 5 of 10***

Support Materials: Vol. 1 – Goldman Sachs (copy 2)

Support Materials: Vol. 2 – Morgan Stanley (copy 1)

#### ***Box 6 of 10***

Support Materials: Vol. 2 – Morgan Stanley (copies 2 and 3)

#### ***Box 7 of 10***

Support Materials: Vol. 2 – Morgan Stanley (copy 3)

Support Materials: Vol. 3 – JPMorgan Chase (copies 1 and 2)

Hearing 1

***Box 8 of 10***

Support Materials: Vol. 3 – JPMorgan Chase (copies 2 and 3)

***Box 9 of 10***

Support Materials: Vol. 4 – Bank of America (copies 1 and 2)

***Box 10 of 10***

Support Materials: Vol. 4 – Bank of America (copies 2 and 3)

## **Records Relating to Hearing 5**

Box count: 2 LTA-S

Arranged by subject or witness

NAID: 24824023      HMS: HS1-93418947

This series contains AIG Forms 10K from FY 2000 through FY 2009 and other background materials for Hearing 5: “The Role of Derivatives in the Financial Crisis.” The background materials include questions for the panelists, panelist statements, news articles, memoranda for the record of staff interviews with panelists, transcripts of those interviews if available, and biographies of panelists.

### Folder List:

#### ***Box 1 of 2***

American International Group, Inc. Form 10-K, FY 2000 and FY 2001

American International Group, Inc. Form 10-K, FY 2002 and FY 2003

American International Group, Inc. Form 10-K, FY 2004 and FY 2005

American International Group, Inc. Form 10-K, FY 2006 and FY 2007

American International Group, Inc. Form 10-K, FY 2008

#### ***Box 2 of 2***

American International Group, Inc. Form 10-K, FY 2009

Hearing 5 Background Materials: The Role of Derivative in the Financial Crisis

## Shaista Ahmed's Files

Box count: 8.6 LTA-S

Arranged by subject

NAID: 24740231      HMS: HS1-92097825

This series contains hearing transcripts; Standard and Poor's-supplied documents; preliminary staff reports; staff investigative reports; memoranda; news clippings and articles regarding rating agencies, especially Standard and Poor's; derivatives; residential mortgage-backed securities; and government-sponsored enterprises.

### Folder List:

#### ***Box 1 of 9***

[Standard and Poor's]

S & P Methodology

Document and Information Requests to Standard & Poor's, Vol. 1

#### ***Box 2 of 9***

Document and Information Requests to Standard & Poor's, Vol. 1(cont'd.)

Document and Information Requests to Standard and Poor's, Vol. 2

Document and Information Requests to Standard and Poor's, Vol. 3

#### ***Box 3 of 9***

Document and Information Requests to Standard and Poor's, Vol. 3 (cont'd.)

Document and Information Requests to Standard and Poor's, Vol. 4

Credit Rating Agency Hearing [Preliminary Staff Report] and Investigative Report Background Documents

Preliminary Staff Reports

Preliminary Investigative Reports

#### ***Box 4 of 9***

Preliminary Investigative Reports (cont'd.)

[Residential Mortgage-Backed Securities Rating]

[Housing Research]

FCIC Hearings Transcript: Role of Derivatives in Financial Crisis, 07/01/2010 [2 copies]

#### ***Box 5 of 9***

FCIC Hearings Transcript: Role of Derivatives in Financial Crisis, 07/01/2010 [2 copies]  
(cont'd.)

FCIC Hearings Transcript: Role of Derivatives in Financial Crisis, 06/30/2010 [2 copies]

Ahmed

**Box 6 of 9**

Derivatives

[Risk Management]

Credit Rating Agencies

Hearing 6: Too Big to Fail -- Follow-up Letters and Responses

Residential Mortgage-Backed Securities Case Study

[Ratings, by Bank]

**Box 7 of 9**

[Federal Reserve Board Transcripts]

[Correspondence and Miscellaneous]

“Systemic Risk: Fannie Mae, Freddie Mac and the Role of OFHEO,” 2/2003

Exhibits to October 15, 2010 Letter, From Tom Baxter to the FCIC

Staff Briefing for the Financial Crisis Inquiry Commission, 1/13-14/2010

**Box 8 of 9**

CD-ROM: Federal Housing Finance Agency Production 3(a)

[Washington Mutual]

Origination I: Materials on Underwriting, Brokers, and Compensation for Section II of FCIC  
Final Report

*The Road Ahead for the Fed*, edited by John Ciorciari and John Taylor

*Civil Rights and the Mortgage Crisis* by U.S. Commission on Civil Rights. 09/2009

[Maxim – Merrill Lynch]

[Government-Sponsored Enterprises]

**Box 9 of 9**

Documents: Securities and Exchange Commission Ratings Agency

List of Potential Hires

## **Jonathan E. Armstrong's Files**

Box count: 1.8 LTA-S, 1 LGA-S

Arranged by subject

NAID: 24740233      HMS: HS1-92098153

This series contains correspondence, handwritten notes, court records, document requests and responses, articles, and other records. Subjects include JP Morgan, the Community Reinvestment Act, Deutsche Bank, Clayton Holdings, mortgage origination and underwriting.

### Folder List:

#### ***Box 1 of 3***

[Handwritten Notes]

Cases

[Clayton Residential Services]

#### ***Box 2 of 3***

[Mortgage Research]

[Data from Deutsche Bank AG]

[Document Request to Department of Housing and Urban Development]

[JPMorgan Chase Response re: Community Reinvestment Act]

[Citigroup Response to Document Request, 11/4/2010]

[Document Requests re: Fair Value Accounting]

[Lewis Ranieri]

[Mortgage Origination]

[William Apgar]

[Andrew Cuomo]

[Documents Supplied by JPMorgan]

#### ***Box 3 of 3***

[Documents Supplied by JPMorgan] (cont'd.)

6/23/10 Interrogatories and Witness Identification Letters

Community Reinvestment Act Interrogatories and Responses

## **Rob Bachmann's Files**

Box count: 1.5 LTA-S

Arranged by subject

NAID: 24740235      HMS: HS1-92098329

This series contains articles, memoranda, drafts of reports, details of the foreclosure of Mr. and Mrs. Michael Smith, and other data.

### Folder List:

#### ***Box 1 of 2***

[Investor's Recovery Specialists, Inc.]

[Smith Foreclosure]

Closed Session Meeting, Gretchen Kinney Newsom, 10/20/2009

Office of the Comptroller of the Currency

Memos, Correspondence, and Lists

#### ***Box 2 of 2***

[Miscellaneous Articles]

Briefing Binder: Hearing on the Impact of the Financial Crisis – Greater Bakersfield, 9/7/2010



## **Martin Biegelman's Files**

Box count: 2 LTA-S

Arranged by subject

NAID: 24740236      HMS: HS1-92098275

This series contains email printouts, articles, witness statements, and other records primarily relating to the first three Commission hearings.

### Folder List:

#### ***Box 1 of 2***

[Citigroup Hearing Background]

[Hearing 1]

[Memoranda]

[Statements of Lanny A. Breuer, Sheila C. Bair, and Mary L. Shapiro, 1/14/2009]

[Responses to Additional Questions from Scott, Taylor, Zingales, and Hart, 11/2009]

[Miscellaneous Notes]

Lisa Madigan

John Suthers

Denise Voight Crawford

Hearing: Too Big To Fail

Countrywide

Adolfo Marzol

Fannie Mae Doc Request

#### ***Box 2 of 2***

Fannie Mae

Hearing 2

Housing Investment, Research, Hearing

Armando Falcon

Clayton Holdings

[Hearings 2 and 3]

Notes

Hearings Overview

[Research – Publications]

## Brad Bondi's Files

Box count: 9 LTA-S

Arranged by subject

NAID: 24740239      HMS: HS1-92098237

This series contains handwritten notes, Citigroup records of Audit and Risk Management Committee meetings, Senate Permanent Subcommittee on Investigations exhibits from its financial crisis hearing, Moody's Rating Committee memoranda, background material for the FCIC hearing on rating agencies, and other records. Subjects include Citigroup, Moody's and other rating agencies

### Folder List:

#### ***Box 1 of 9***

FINRA [Financial Industry Regulatory Authority] Customer Arbitration, (Produced Documents), 1/15/2010

Citi Produced Docs, (Volume 1 of 3, CITI-FCIC 00000975 - CITI-FCIC 00001684, Audit and Risk Management Committee Meeting Supporting Documents), 1/26/2010

#### ***Box 2 of 9***

Citi Produced Docs, (Volume 2 of 3, CITI-FCIC 00001685 - CITI-FCIC 00002350, Audit and Risk Management Committee Meeting Supporting Documents), 1/26/2010

Citi Produced Docs, (Volume 3 of 3, CITI-FCIC 00002351 – CITI FCIC 00003086, Audit and Risk Management Committee Meeting Supporting Documents), 1/26/2010

#### ***Box 3 of 9***

Citi Produced Docs, (Volume 3 of 3, CITI-FCIC 00002351 – CITI FCIC 00003086, Audit and Risk Management Committee Meeting Supporting Documents), 1/26/2010 (cont'd.)

Citi Produced Docs, (CITI – FCIC 00000001 – CITI-FCIC 00000974, Board of Directors and Committee Minutes), 1/25/2010

#### ***Box 4 of 9***

Citi Produced Docs, (CITI – FCIC 00000001 – CITI-FCIC 00000974, Board of Directors and Committee Minutes), 1/25/2010 (cont'd.)

Senior Supervisor's Group, (FRB Citi Annual Report of Inspection), 1999-2008

Bushnell Interview Exhibits & Misc. Citi Documents

#### ***Box 5 of 9***

Citigroup Short-List Docs, 4/7/2010-4/8/2010

Citigroup Briefing Materials, 4/7/2010-4/8/2010

FRB Produced Docs (Citi), 1/19/2010

Citi Executive Committee Meetings, (Supporting Materials), 2005-2008

Bondi

***Box 6 of 9***

Citi Executive Committee Meetings, (Supporting Materials), 2005-2008 (cont'd.)  
Moody's Rating Committee Memoranda  
PSI [Permanent Subcommittee on Investigations], (Financial Crisis Exhibits), 4/23/2010

***Box 7 of 9***

Material for Hearing on Credit Ratings and the Financial Crisis  
Credibility of Credit Ratings, 6/2/2010  
Rating Agency Documents

***Box 8 of 9***

Rating Agency Documents (cont'd.)  
Bondi Notes  
Investment Company Institute, (Report of the Money Market Working Group), 3/17/2009  
U.S. Securities and Exchange Commission Office of Inspector General, (Semiannual Report to Congress), 10/1/2009-3/21/2010  
Interrogatory Documents, (Supporting Documents for Interrogatories Nos. 6, 19, 20, 22, 25, 29, 35, 39), 3/1/2010

***Box 9 of 9***

Interrogatory Documents, (Supporting Documents for Interrogatories Nos. 6, 19, 20, 22, 25, 29, 35, 39), 3/1/2010 (cont'd.)

## Sylvia Boone's Files

Box count: 9.4 LTA-S, 1 LGA-S

Arranged by staff name

NAID: 24740240      HMS: HS1-92098205

This series contains vouchers, receipts, and other administrative documentation regarding staff, commissioners, and contracts.

### Folder List:

#### ***Box 1 of 11***

Scott Ganz

Gretchen Newsom

Courtney Mayo

Alexis A Simendinger

Sarah Zuckerman

Sarah J. Knaus

Tucker Warren

Cassidy D. Waskowicz

Maryann Haggerty

Sam Davidson

Shaista I. Ahmed

Jonathan Armstrong

Rob Bachmann

Sylvia Boone

Ryan Bubb

Matthew Cooper

Gary Cohen

R. Richard Cheng

Jobe Danganan

Thomas Borgers

Martin Biegelman

Barton W. Baker

Rishi Gupta

Thomas Greene

Sean Flynn, Jr.

Michael M. Flagg

Megan Fasules

Michael Easterly

Desmond A. Duncker

Karen Dubas

Elizabeth Del Real

Randall Dodd

Kirsten E. Downey

Clara Morain

Steven L. Mintz

Joel Miller (Office of the Comptroller of the  
Currency)

Bruce McWilliams

Carl McCarden

Julie A. Marcacci

Susan Mandel

Thomas Krebs

Peter Kavounas

Mary K. Kane

Robert C. Hinkley

Brian Sylvester

Landon Stroebel

Thomas Stanton

Jeffrey A. Smith

#### ***Box 2 of 11***

Mina Simhai

Stuart Shroff

Gordon E. Shemin

Christopher Seefer

J Skipper Seabold

Scott Lorretto

Ryan T. Schulte

Beneva Schulte

Adam M. Paul

Dixie Noonan

Boone

Wendy Edelberg  
Bradley Bondi  
Melana Vickers  
Fereshteh Vahdati-Kinney  
Shirley Tang  
Danny J. Michlewicz  
Leighton E. Dellinger  
Phil Angelides  
Peter Wallison  
[Time and Attendance]  
Pay Period # 26, 12/6-19/2009  
Pay Period #1, 12/20/2009-1/02/2010  
Pay Period #2, 1/3-16/2010

***Box 3 of 11***

Brook Kellerman  
Xiaoqi A. Zhu  
Hilary Allen  
Time and Attendance Commissioners  
Outgoing Correspondence  
Aristotle International  
Best Messenger, Inc.  
Bloomberg Finance L.P.  
Factiva/Dow Jones  
FastSigns  
Richard J. Dekaser

***Box 4 of 11***

Westlaw  
Net Documents  
Dickstein Shapiro, LLP  
Grove Street Solutions (Susan Baltake)  
Hired Hand Transcription  
Home Front Communications  
Lexis Nexis 2  
Lexis/Nexis Discovery  
Lippincott Massie McQuilkin  
National Capital Contracting  
Paschel/Roth  
Staples

***Box 5 of 11***

Hively, Kevin  
Gary J. Cohen  
Kastle Systems LLC  
Michael Keegan

William Thomas  
Brooksley Born  
Byron Georgiou  
Robert Graham  
Douglas Holtz-Eakin  
Keith Hennessey  
Heather Murren  
John Thompson  
Pay Period #3, 1/17-30/2010  
Pay Period #5 2/14-27/2010  
Thomas Keegan  
Anthony Ingoglia  
Alexander Maasry

Derish Associates, Inc.  
Daniel P. Feldhaus, C.S.R.  
Caslon Press, Inc.  
Bart Dzivi  
Cort  
Bryan, Michael  
Discovery Document Solutions, Inc.  
Bruce McWilliams  
EPIQ eDiscovery  
Falk, Alice  
Office Furniture Rental Allowance

Secured Shred  
Fred Terell  
Seidman Center – FDIC (Dick Sage)  
Stamps.com  
SKY Television  
Kim L. Shafer  
Thomson Reuters  
Rosenberg, Morton  
Robinson, Andrew  
Lewin, Jane  
Adams, Kathleen

Miller's Office Products  
Christine M. Hock  
Hodak, George A.  
Lori Goodwine (Good PR Now)

Boone

Federal Express  
Contractor (Contracts)  
General Services Administration – Transfer Excess Property

Public Affairs, LLC

***Box 6 of 11***

Intern Stipend Payments  
[Payment Invoice]  
Arthur E. Wilmarth  
Ling, Kristen Rebecca

Shelley Henderson  
Veritext  
Verizon Wireless  
Verizon

***Box 7 of 11***

Verizon WITS3  
Citi Statements  
Citi

Citi Payments {3925}  
Citi Payments {3917}  
Citi {5375}

***Box 8 of 11***

Copying Services (Quotes)  
Verizon Audio Conference (Codes and Points of Contact)  
General Services Administration Contracts  
[Fixtures]  
De-obligations (2011)  
Office of Personnel Management/General Services Administration  
Xerox  
Government Printing Office  
Subscriptions  
Lease Agreement and other Contracts  
Transmittals to General Services Administration/Agency Liaison Division  
Angelides, Philip  
Thomas, William  
Born, Brooksley  
Georgiou, Byron  
Graham, Robert

***Box 9 of 11***

Hennessey, Keith  
Murren, Heather  
Thompson, John  
Wallison, Peter  
Armstrong, Jon  
Ahmed, Shaista I.  
Bachmann, Rob  
Biegelman, Martin  
Bondi, Bradley  
Boone, Sylvia  
Borgers, Thomas

Bubb, Ryan  
Burrus, Troy  
Cohen, Gary  
Cunicelli, Victor  
Dodd, Randall  
Downey, Kirstin  
Dubas, Karen  
Duncker, Desmond A.  
Easterly, Michael  
Edelberg, Wendy  
Feldberg, Gregory

Boone

***Box 10 of 11***

Flynn, Sean  
Ganz, Scott  
Greene, Thomas  
Hinkley, Robert C.  
Krebs, Thomas  
Knaus, Sarah  
Lerner, Jay  
Mayo, Courtney  
McCarden, Carl  
Morain, Clara  
Mintz, Steven

Newsom, Gretchen  
McWilliams, Bruce  
Noonan, Dixie  
Norman, Donna  
Poulin, Jane  
Schulte, Beneva  
Schulte, Ryan  
Seefer, Chris  
Shroff, Stuart  
Shemin, Gordon  
Simhai, Mina

***Box 11 of 11***

Simendinger, Alexis A.  
Smith, Jeffrey  
Stanton, Thomas  
Stroebel, Landon  
Tang, Shirley

Vickers, Melana  
Wahl, George  
Warren, Tucker  
International Travel  
Symposium (District of Columbia)

## Tom Borgers and Victor Cunicelli's Files

Box count: 4.5 LTA-S, 1 LGA-S

Arranged by subject

NAID: 24740242      HMS: HS1-92098173

This series contains document and interview requests to Citigroup, with responses; Clayton Holdings data on loan pools and trending; news articles; put back data; Clayton Holdings' underwriter manual; handwritten notes; Options Group's Global Financial Market Overview and Compensation Reports for 2005-2008; Citigroup briefing materials; and rating agencies materials.

### Folder List:

#### ***Box 1 of 6***

William Apgar Testimony

[Various Permanent Subcommittee on Investigations Exhibits]

Documents Produced by Tom LaMalfa

Deposition of David Andrukonis

[Various Draft Memorandums]

[SEC-supplied documents]

Fannie C[ommunity] R[einvestment] A[ct]

10K PMI [Private Mortgage Insurance]

#### ***Box 2 of 6***

PMI Study

Countrywide, Fannie and Freddie

Put Back -- Wells, DPM, Citigroup

PMI/LMI Request

Put Backs -- All

Put Backs, September 14 -- Wells, Citigroup, J.P. Morgan

#### ***Box 3 of 6***

Clayton Underwriter Manual

Documents Produced by Ed Pinto, 12/10/2009

Andrew Cuomo Testimony

CLAS Training Guide for Put Back Reviews

CRA [Community Reinvestment Act] [on J.P. Morgan, Bank of America, Wells Fargo, and Citigroup]

#### ***Box 4 of 6***

[Handwritten Notes]

2005 Global Financial Market Overview & Compensation Report [Options Group]

2006 Global Financial Market Overview & Compensation Report [Options Group]

2007/2008 Global Financial Market Overview & Compensation Report [Options Group]



Borgers/Cunicelli

Citigroup Briefing Materials, 4/7/2010-4/8/2010  
Rating Agency Documents

***Box 5 of 6***

Rating Agency Documents [3 of 3]  
Moody's Documents from Connecticut Attorney General  
Citigroup Background Reading  
Credibility of Credit Ratings & Investment Decisions Made Based on Those Ratings

***Box 6 of 6***

Citi Correspondence and Communication  
Clayton's UBS Documents

## **Ron Borzekowski's Files**

Box count: 4 LTA-S, 2 LGA-S

Arranged by subject

NAID: 24746996      HMS: HS1-92098371

This series contains articles, Permanent Subcommittee on Investigations exhibits, Federal Reserve press releases, emails, report drafts, document request responses, and other records. Subjects include mortgages, the Community Reinvestment Act, the Federal Reserve, and others.

### Folder List:

#### ***Box 1 of 6***

[Miscellaneous Research Articles]

Senate Permanent Subcommittee on Investigations Exhibits from Hearing on Wall Street and the Financial Crisis

Edward Pinto, Exhibit 1: Sizing Total Exposure to Subprime and Alt-A Loans in US First Mortgage Market as of 6/30/2008

Fannie Mae Data

Federal Reserve Press Releases

Freddie Mac: Cost of Meeting Affordable Housing Goals

#### ***Box 2 of 6***

Hard Money Lending

Crisis Overviews

Preliminary Staff Report on Shadow Banking

Asset-Backed Commercial Papers

Securities and Exchange Commission -- Consolidated Supervised Entity Program

Hedge Funds

Maiden Lane

TBTF [Too Big to Fail]

Short Selling

FCIC Meeting with Delegation of the European Parliament CRISIS Committee, 6/08/2010

[Defining the Crisis]

#### ***Box 3 of 6***

Macro

Mortgage Fraud

ERS [Excess Risk and Speculation]

Shadow Banking

Draft Sections of the Final Report

Affordable Housing Goals – Document Review

[Community Reinvestment Act]

Fannie Mae Housing Goals

Borzekowski

***Box 4 of 6***

Housing

Witness File: Courtney Linn -- Orrick, Sacramento Office

[Document Request Responses re: Community Reinvestment Act]

Lobbying Expenses for Fannie Mae and Freddy Mac

[Miscellaneous]

Nationsbank-Bank of America Merger Documents

***Box 5 of 6***

Nationsbank-Bank of America Merger Documents (cont'd.)

[Research]

***Box 6 of 6***

[Research] (cont'd)

## **Ryan Bubb's Files**

Box count: 4.5 LTA-S

Arranged by subject

NAID: 24747000      HMS: HS1-92098418

This series contains documents supplied by Moody's, background materials, a printed congressional committee hearing on bond insurance, press clippings, drafts of commission investigative reports on Citi and Fannie Mae. Subjects include the rating agencies, especially Moody's; the Community Reinvestment Act; Fannie Mae, and others.

### Folder List:

#### ***Box 1 of 5***

[Handwritten Notes]

Rating Memos

Rating Agency Documents

[Documents Supplied to the Senate Permanent Subcommittee on Investigations by Moody's]

[Research re: Moody's]

#### ***Box 2 of 5***

“The State of the Bond Insurance Industry” Hearing before the House Financial Services Committee, 2/14/2008

[Documents Supplied to the Commission by Moody's]

#### ***Box 3 of 5***

CRA [Community Reinvestment Act]

Securitization

Who Holds [Mortgage Backed Securities, Collateralized Debt Obligations], and Credit Risk?

Flow of Funds

Reasons for Foreclosure Crisis

#### ***Box 4 of 5***

Investigative Reports: Citi and Fannie Mae

Financial Market Regulation

Financial Panic

FCIC

Collateralized Debt Obligations

Servicers, Modifications

Capital Regulation

Housing Bubble

Pinto [Edward]

Mortgage Market

Moody's Internal Documents and Requests

Bubb

Cifuentes [, Arturo]

Adelson [, Mark]

ABX

***Box 5 of 5***

RA [Rating Agencies] Statements

RA [Rating Agencies] Government Reports

RA [Rating Agencies] Interviews

Use of Ratings in Regulations

## **Troy A. Burrus' Files**

Box count: 4 LTA-S, 1 LGA-S

Arranged roughly by subject

NAID: 24747003      HMS: HS1-92098471

This series contains memoranda, news and journal articles, financial agency responsive documents, correspondence, handwritten notes, and other records. Subjects include Henry Paulson, Lehman Brothers, Countrywide, GMAC, repurchase agreements, commercial paper, shadow banking, and others.

### Folder List:

#### ***Box 1 of 5***

Repos [Repurchase Agreements]

[Wachovia/Bear Stearns]

Bear Stearns

[Bear Sterns Miscellaneous]

[Madelyn Antoncic]

Primary Reserve Fund

Pimco

Shadow Banking

State Street

#### ***Box 2 of 5***

[FCIC Reports and Interviews -- Roundtable and Closed Committee Sessions]

Lehman Interview Notes

FCIC

Pimco State Street

TBTF [Too Big to Fail] Interconnectedness

OCC [Office of the Comptroller of the Currency]

General Crisis Info

Clayton – Keith Johnson

Systemic Risk

WaMu [Washington Mutual Bank]

Excessive Risk

Lehman Brothers: Misc. Documents

Lehman Brothers: 10-K/Q Docs.

Lehman Brothers: Balance Sheet

Lehman Brothers: Repo 105

Lehman Brothers: Lehman Interview File

Lehman Brothers: Lehman Counterparty BOA [Bank of America]

Lehman Brothers: Lehman Counterparties – Dreyfus/Federated

Lehman Brothers: Lehman Counterparty – Deutsche

Burrus

Lehman Brothers: Lehman Counterparty – Fidelity  
Lehman Brothers: Lehman Counterparty – UBS  
Lehman Brothers: Ian Lowitt  
Lehman Brothers: Ed Grieb  
Lehman Brothers: Richard Fuld, Jr.  
Lehman Brothers: Erin Callan  
Lehman Brothers: Bart McDade  
Lehman Brothers: Chris O’Meara  
Lehman Brothers: Jenner & Block

***Box 3 of 5***

Lehman Brothers: David Goldfarb  
Lehman Brothers: William Schlich  
Lehman Brothers: Matthew Lee  
Lehman Brothers: Martin Kelly  
[Citi Witnesses]  
Fidelity Repos/CP [Repurchase Agreements and Commercial Paper]  
[Handwritten Notes]  
[Fannie Mae]  
Commercial Paper  
Miscellaneous  
Repurchase Agreements  
Gary Gorton  
Merrill Lynch Repos [Repurchase Agreements]  
US Chamber of Commerce – CP [Commercial Paper]  
JP Morgan Repos [Repurchase Agreements]  
AIG  
Vanguard Investments  
GAO Report  
SEC [Securities and Exchange Commission]  
Genworth/Indy Mac  
FCIC Response Documents (Countrywide)

***Box 4 of 5***

Henry Paulson Background File, Vol. 1  
Henry Paulson Background File, Vol. 4  
Henry Paulson Background File, Vol. 5

***Box 5 of 5***

Timothy Geithner’s Testimony & News Articles  
GMAC [General Motors Acceptance Corporation] Articles  
Background Articles on Shadow Banking  
GE [General Electric] Capital Corporations  
Bank of New York /Mellon Production

## **Matthew Cooper's Files**

Box count: 0.4 LTA-S, 1 LGA-S

Arranged by subject

NAID: 24747005      HMS: HS1-92098560

This series contains handwritten notes, drafts of sections of the final report, articles, and other records relating to Lehman Brothers, government sponsored enterprises, the Term Asset-Backed Securities Loan Facility, and shadow banking.

### Folder List:

#### ***Box 1 of 2***

Author Grid

[Draft Preliminary Staff Report: Shadow Banking and the Financial Crisis]

[Memorandum for the Record: Steve Eisman and Miscellaneous Records]

[Government Sponsored Enterprises]

Section IV Drafts [The Economic Crisis]

[Final Report Drafts and Miscellaneous Working Papers]

[Handwritten Notes]

[Draft Reports]

#### ***Box 2 of 2***

Term Asset-Backed Securities Loan Facility (TALF)

[Lehman Brothers]

[Articles]



## Alberto Crego's Files

Box count: 17.5 LTA-S

Arranged by subject

NAID: 24747006      HMS: HS1-91991554

This series contains bank examiner and other oversight records, news articles, handwritten notes, 10-Ks and 10-Qs, government sponsored enterprise-supplied documents, handwritten notes, hearing preparation materials, memoranda for the record, email printouts, and congressional publications. Subjects include Countrywide Financial, AIG, Fannie Mae and Freddie Mac, Washington Mutual, Indy Mac, private label securities purchases, credit default swaps and collateralized debt obligations.

### Folder List:

#### ***Box 1 of 18***

Countrywide Financial Corp, Office of the Comptroller of the Currency/Office of Thrift

Supervision Documents

Golden West, Pick-a-Payment, Wachovia Documents

Crego's Notes on Countrywide

Countrywide:

High Risk

Servicing and Originations

Originations

Residential Mortgage Backed Securities Issuance

Sales to Fannie Mae and Freddie Mac

#### ***Box 2 of 18***

Countrywide:

Credit Quality Metrics

High LTV and GSE Term Refinancing

Servicing Book – Asset Quality Trends

Countrywide Alternative Loan Trust (CWALT) Data

Countrywide Loan Program Guide

Countrywide Underwriting and [Loan Program Guide]

Countrywide:

100% CLTV/Piggy Back

Subprime Premier Program 23.50

Non-Conforming Expanded Criteria 100% Financing

Non-Conforming Reduced, No Ratio, SISA, and NINA Documentation

#### ***Box 3 of 18***

Countrywide 10-Q, Q-2, 2008

Countrywide Q2 2007 Results

Countrywide Q4 2007 Results

Pick-A-Payment Mortgage Portfolio Information and Wachovia's 10-Q Excerpts  
Countrywide Annual 10-K, December 2007

**Box 4 of 18**

Countrywide:

- Financial Spreads
- Request for Documents
- Spread Analysis – Uniform Bank Performance Report (UBPR)/Uniform Thrift Performance Report (UTPR)
- Press/News
- Rating Agencies
- Financial Trends and Earnings per Share (EPS)
- Bank Funding and Loans
- Regulatory Guidance
- Q2 2007 Earnings
- Board Minutes – Draw Down
- \$2 Billion/Bank of America
- Market Trends – Home Prices and Delinquency
- 8-K Credit Facility Draw - Liquidity

Fannie Mae:

- Credit Losses by Riskiest Asset Class
- Credit Supplements
- [Credit Losses]
- Capital – Leverage
- [Credit Performance and Spreads]
- [Mortgages – General]

**Box 5 of 18**

Fannie Mae Documents (FM-FCIC\_00000210 – FM-FCIC\_00000778)

Fannie Mae, (GSE [Government Sponsored Enterprise] Hearing):

- Fannie [Mae] Acquisition
- Charts and Tables

**Box 6 of 18**

Fannie Mae, (GSE [Government Sponsored Enterprise] Hearing) (cont'd.):

- Fannie Mae PLS [Private Label Securities] Purchases
- [Handwritten Notes]

Lehman vs. Wachovia Debt/Credit Default Swaps

Government Sponsored Enterprise Hearing Regulation Documents

**Box 7 of 18**

Fannie Mae and Freddie Mac:

- Single Family Book Growth Citi and Citigroup Growth in Sales of Interest Only
- Disclosure Subprime
- Treasury Fact Sheet – GSE Bloomberg
- Preferred Stock

Fannie Mae [Private Label Securities] Purchases Response  
Fannie Mae Single Family Book – Seller Services Top  
Fannie Mae Yields on [Available-For-Sale] Portfolio  
Freddie Mac Compensation  
Chapter – Conservatorship for [Government Sponsored Enterprises]  
Fannie Mae and Subprime Hybrid ARMs (An Examination of 2/28 Loans... And  
Recommendations for Fannie Mae Participation Going Forward), February 2007  
Fannie Mae Strategic Plan 2007-2011, (“Deepen Segments – Develop Breadth”)  
Miscellaneous Fannie Mae Documents:  
Asian Fixed Income Investor Trip, Master List of Qs & As, March 2005  
Freddie Mac Mission Update – Executive Summary, 8/30/2007  
Discussion of HUD’s Proposed Housing Goals, (Presentation to the American Bankers  
Association), 4/28/2004  
Additional Q&A for 5/22/07 Press Briefing, (Fannie Mae’s Role in Subprime)  
Fannie Mae Board of Directors Management Report, 7/17/2007  
Freddie Mac Affordable Housing Goals – 2004 Plan, Board of Dir. Meeting, 4/1/2004  
Miscellaneous Reports re: Wachovia, Indy Mac, Washington Mutual, Citibank, etc.  
Fannie Mae - 2009 CRAM [Community Reinvestment Act Mortgages?] Book

***Box 8 of 18***

Fannie Mae - 2009 CRAM [Community Reinvestment Act Mortgages?] Book (cont’d.)  
Acquisitions CRAM Book 1

***Box 9 of 18***

Acquisitions CRAM Book 1 (cont’d.)  
Acquisitions CRAM Book 2  
SEC form 10-Q Fremont General Corp Quarterly Report June – Sept 2007

***Box 10 of 18***

National City Corporation FCIC Work Papers  
GMAC Summary  
GMAC Work Papers  
Fremont General Corporation Work Papers  
Crego Nondisclosure Agreement

***Box 11 of 18***

Fannie Mae Documents FCIC FM-FCIC\_00000779 – FM-FCIC\_00001686

***Box 12 of 18***

SEC Form 10-K Washington Mutual, Inc.  
Washington Mutual Work Papers  
Washington Mutual:  
[Permanent Subcommittee on Investigations] Documents  
[Permanent Subcommittee on Investigations], 4/2010  
Summary – WAMU  
[Office of Thrift Supervision]/FDIC – WAMU

FDIC – WAMU

**Box 13 of 18**

Washington Mutual:

WAMU

Debt Ratings and Articles

Equity – [Credit Default Swaps]

IndyMac Work Papers

Media List Spreadsheet of 1/6/2010

Ratings Backed into Risk Based Capital [Bank Regs for Ratings]

SARs [Suspicious Activity Reports] Reporting Folder

Hearings: AIG Testimony and Transcripts

[Credit Default Swaps – Goldman Sachs, Bear Stearns, Lehman Brothers]

[Residential Mortgage Backed Securities]/[Asset Backed Securities] Examples by Source

AIG Bloomberg Report May 2008

SEC Form 10-K New Century Financial Corp. FY ending 12/31/2005

AIG [Office of Thrift Supervision]

**Box 14 of 18**

AIG [Office of Thrift Supervision] (cont'd.)

Multi-sector [Collateralized Debt Obligation] Book

Presentation to the FCIC April 28, 2010 [Weil, Gotshal & Manges]

AIG [Credit Default Swaps] Notional Balances, 2000-2010

Select ML [Maiden Lane] III LLC Holdings

AIG Multi-sector CDO Book : Credit Committee Presentations 2004 – 2007

**Box 15 of 18**

AIG Multi-sector CDO Book : Credit Committee Presentations 2004 – 2007

AIG Multisector [Credit Default Swap] Book: Credit Risk Committee Presentations [incl. Abacus]

**Box 16 of 18**

AIG Stress Book, 9/15/2008

Valuation & Pricing Related to AIG Transactions

AIG: Largest Insurance Company

AIG – State of New York Issue of Winding Down SEC Loan

Financial Products Contribution to AIG Consolidation

AIG Credit Ratings, March 2005 Downgrades

Goldman Sachs Buys CDS on AIG

2005 ISDA Collateral Guidelines

Memoranda for Record [MFR]: Mahoney and Dahlgren, April 2010

Hearing 5

Government Assistance and Maiden Lane

Securities Lending

**Box 17 of 18**

Crego

PriceWaterhouseCooper Production  
ABS DG  
Hearings and Testimony, AIG, 111<sup>th</sup> Congress  
Investment Securities AIG, 2004-2008  
AIG Financial Documents

***Box 18 of 18***

Press Articles and Research re: [Credit Default Swaps]  
Goldman PLRMBS Sale to AIG – SEC-Lending  
AIG Counterparty Exposures  
Collateral Posting CDS – AIGFP  
AIG Miscellaneous

## **Jobe Danganan's Files**

Box count: 7 LTA-S, 2 LGA-S  
Arranged roughly by subject  
NAID: 24747008      HMS: HS1-91991657

This series contains handwritten notes, memoranda for the record, documents supplied by institutions and Federal agencies at the request of the commission, drafts of FCIC reports, journal and press articles. Subjects include Citi, executive compensation, Federal Housing Finance Agency conservatorship, Lehman Brothers, and short selling.

### Folder List:

#### ***Box 1 of 9***

Select Documents from [Office of the Comptroller of the Currency] (Citi), 2/17/2010  
Excess Risk and Speculation  
[Articles and Research re: Executive Compensation]  
[Draft Preliminary Investigative Report on Governance, Risk Management, and the Financial Crisis]  
Federal Housing Finance Agency [Responsive Documents]  
FHFA [Federal Housing Finance Agency] Conservatorship Manual (5/19/2008 Draft)

#### ***Box 2 of 9***

FHFA [Federal Housing Finance Agency] Conservatorship Manual (6/20/2008 Draft)  
[Drafts of FCIC Preliminary Investigative Reports]  
[Miscellaneous Documents]  
[Records Relating to Compensation]

#### ***Box 3 of 9***

[Records Relating to Compensation] [cont'd.]

#### ***Box 4 of 9***

[Records Relating to Compensation] [cont'd.]

#### ***Box 5 of 9***

[Records Relating to Compensation] [cont'd.]  
OCC Produced Documents (Citi), vol 1 of 6

#### ***Box 6 of 9***

OCC Produced Documents (Citi), vol 1 of 6 [cont'd.]  
Valukas – Lehman Examiner  
Citibank – Lehman  
Interview Prep – Lehman  
AHG [Affordable Housing Goals]– Epiq 300-400

Danganan

***Box 7 of 9***

Corporate Library – Citi, Current  
[Miscellaneous Unfolded Papers]  
Drafts – Reports

***Box 8 of 9***

Interview Notes  
JP Morgan – Lehman  
Chronology of Selected Events re: JPMC and Lehman, including Supporting Documents  
[Miscellaneous Records]

***Box 9 of 9***

Short Sales

## **Kirstin Downey's Files**

Box count: 2 LGA-S

Arranged by subject

NAID: 24747009      HMS: HS1-92107711

This series contains primarily handwritten notes made during interviews. Also included are the "2009 Mortgage Market Statistical Annual" and some news articles. The records, which concern mortgage fraud, include interviews conducted in Sacramento, Bakersfield, and Las Vegas.

### Folder List:

#### ***Box 1 of 2***

[Handwritten Notes]

[Mortgage Fraud]

[Interview Notes]

Jim Rokakis

Las Vegas

Mortgage Indebtedness

Elizabeth Warren

Marc Savitt

Foreclosure Report

#### ***Box 2 of 2***

Dodd-Frank Overview

Nouriel Roubini

[California]

Foreclosures

["2009 Mortgage Market Statistical Annual"]

Miscellaneous Interviews

What Became Of...?

Warnings

September 6-9 Trip

First Version

[Miscellaneous]

Bakersfield

Sujit Canagaretna

Cleveland Data

Sacramento



## **Karen Dubas' Files**

Box count: 33 LTA-S

Arranged by subject

NAID: 24747011      HMS: HS1-93413106

This series contains articles, supporting documents for the preliminary staff report on credit rating agencies, correspondence with Moody's and Standard and Poor's, correspondence regarding the June 1, 2010 hearing, transcripts and interview summaries, correspondence and performance data from Citigroup, reports filed with the Comptroller of the Currency and the Securities and Exchange Commission, Citigroup executive committee meeting minutes, Government Accountability Office reports, drafts of FCIC staff reports and preliminary investigation reports, Citigroup's Audit and Risk Management Committee and Board of Directors' materials, and email printouts. Subjects include credit rating agencies methodology and performance, Citigroup, regulation and supervision of financial institutions, Community Reinvestment Act, Bank of America-Merrill Lynch Troubled Asset Relief Program funds, and others.

### Folder List:

#### ***Box 1 of 33***

Moody's RMBS [Residential Mortgage-backed Securities] and CDO [Collateralized Debt Obligations] Ratings

Rating Agency PSR [Preliminary Staff Report] – Supporting Documents

#### ***Box 2 of 33***

Rating Agency PSR [Preliminary Staff Report] – Supporting Documents (cont'd.)

#### ***Box 3 of 33***

Moody's Corporate 10-Ks

Miscellaneous Moody's Documents

FCIC Interview of Ilya Kolchinsky, 4/27/2010

#### ***Box 4 of 33***

FCIC Interview of Ilya Kolchinsky, 4/27/2010 [duplicate]

SEC Ratings Agency Documents

Rating Agency Correspondence

#### ***Box 5 of 33***

Hearing 5A Transcripts & Memoranda (Moody's Interview Transcripts and MFRs)

Investigative Report Documents (Credit Rating Agencies "Best of" Documents)

#### ***Box 6 of 33***

Connecticut Attorney General's Moody's Documents

Hearings 5A Rating Agency Documents

Citi Preliminary Report Documents (“Best of” Citigroup Documents for Hearing 2/3)

**Box 7 of 33**

Citi Correspondence & Communication (Citigroup-Related Correspondence)

Citigroup & CRA (Community Reinvestment Act)

Select Documents from OCC (Citi), 2/17/2010 (“Best of” OCC Regulatory Documents for CitiGroup)

**Box 8 of 33**

Citigroup Executive Committee Meeting Minutes

Citigroup Report Articles (Public Articles cited in Citigroup Preliminary Investigative Report)

TARP (Chapter 9, Section III of Book Drafts)

**Box 9 of 33**

TARP: Citigroup (Citigroup 2008 Documents) (Chapter 9, Section III of Book Drafts)

TARP: Bank of America and Merrill Lynch (Chapter 9, Section III of Book Drafts)

Wachovia –Systemic Risk Exception, 9/25/2008

Regulation and Supervision, General

**Box 10 of 33**

Regulation and Supervision, General (cont’d.)

Regulator Document Requests:

Misc. Regulators

FRB & FRBNY

OCC [Office of the Comptroller of the Currency]

FHFA [Federal Housing Finance Authority]

FDIC

**Box 11 of 33**

Data for Crisis Benchmarks

Citi 2007 ARM [Audit and Risk Management] Materials [4 files]

**Box 12 of 33**

Citi 2007 ARM [Audit and Risk Management] Materials [4 files] (cont’d.)

**Box 13 of 33**

Citi 2007 ARM [Audit and Risk Management] Materials [4 files] (cont’d.)

Citi 2007 [Board of Directors] Materials [5 files]

**Box 14 of 33**

Citi 2007 [Board of Directors] Materials [5 files] (cont’d.)

**Box 15 of 33**

Citi 2007 [Board of Directors] Materials [5 files] (cont’d.)

Dubas

**Box 16 of 33**

Citi Documents from SEC

**Box 17 of 33**

Citi Documents from SEC

SEC-Citi CSE [Consolidated Supervisory Entities] Documents

**Box 18 of 33**

SEC-Citi CSE [Consolidated Supervisory Entities] Documents (cont'd.)

Witness Exhibits 1:

Exhibits for Maheras, 3/10/2010

Adolfo Marzol Exhibits

FRB Citi Docs

Miscellaneous Citi Docs

**Box 19 of 33**

Witness Exhibits 2:

Exhibits (Prince), 3/17/2010

Exhibits for Rubin, 3/11/2010

Bushnell Exhibits, 4/1/2010

Citi Minutes:

Citi Board of Directors Minutes, 2004-2007

Citi ARM [Audit and Risk Management] Committee Minutes, 2005-2007

Citi Corporate ARM [Audit and Risk Management] Minutes, 2005-2007

Citi Consumer ARM [Audit and Risk Management] Minutes, 2005-2007

Citi 2008 Minutes (Board of Directors and ARM [Audit and Risk Management])

**Box 20 of 33**

Citi Litigation:

George Pappas v. Countrywide et al.

In re: American Home Mortgage Securities Litigation

In re: Citi Shareholder Derivative Litigation

In re: Citigroup Securities Litigation

In re: Citigroup Bond Action Litigation

In re: Lehman Securities & ERISA Litigation

Charles Prince

Robert Rubin

**Box 21 of 33**

Thomas Maheras

David Bushnell

Richard Bitner

Patricia Lindsay

Richard Bowen

Susan Mills

Murray Barnes

Dubas

Nestor Dominguez

**Box 22 of 33**

Robert Rubin Official Transcript, 3/11/2010

Thomas Maheras Official Transcript, 3/10/2010

**Box 23 of 33**

Thomas Maheras Official Transcript, 3/10/2010 (cont'd.)

Citigroup – 2006 SEC Filings

**Box 24 of 33**

Citigroup – 2007 SEC Filings

Citigroup – 2008 SEC Filings

**Box 25 of 33**

Citigroup – 2008 SEC Filings (cont'd.)

Citigroup – 2009 SEC Filings

**Box 26 of 33**

Citigroup – 2009 SEC Filings (cont'd.)

Copies of Citigroup/Paul Weiss Correspondence

Dick Bowen (Citi Whistleblower)

Citi – Tracing a Loan & Ridgeway II, 3/2/2010

Interrogatory Supporting Docs

Citigroup 2003 10K

FINRA [Financial Industry Regulatory Authority] Customer Arbitration

**Box 27 of 33**

Citigroup 2004 SEC Filings

Citigroup 2005 SEC Filings

**Box 28 of 33**

Citigroup 2005 SEC Filings (cont'd.)

FDIC Emails Re: Bank of America – Merrill Lynch TARP Funds

Community Reinvestment Act (CRA)

**Box 29 of 33**

Community Reinvestment Act (CRA) (cont'd.)

Panel 1 Briefing Binder

Kolchinsky Transcripts, 4/27/2010

Witt Transcripts, 4/21/2010

Weill Transcripts, 5/11/2010

Siegel Transcripts, 5/26/2010

**Box 30 of 33**

Panel 2 Briefing Binder

Dubas

McDaniel Transcripts, 5/21/2010  
Buffett Transcripts, 5/26/2010  
Panel 3 Briefing Binder  
Clarkson Transcripts, 5/20/2010  
Froeba Memoranda, 4/27/2010  
Brian Clarkson Interview Exhibits  
Yuri Yoshizawi Interview Exhibits Used  
Raymond McDaniel Interview Exhibits  
[Connecticut Attorney General]: Moody's Hot Documents

***Box 31 of 33***

Charles Prince Official Transcripts, 3/17/2010

***Box 32 of 33***

David Bushnell Official Transcripts, 4/1/2010

***Box 33 of 33***

Citigroup MFRs and Transcripts, 4/7-8/2010  
[Handwritten Notes]

## **Bartly A. Dvizi's Files**

Box count: 7.5 LTA-S

Arranged by subject

NAID: 24747014      HMS: HS1-93413757

This series contains records furnished by the Office of the Comptroller of the Currency and Federal Reserve, hearing preparation materials, memoranda, news clippings, draft reports, handwritten notes, and other records primarily relating to Citigroup.

### Folder List:

#### ***Box 1 of 8***

Federal Reserve Bank Documents, Response to Request #3, 02/10/2010

[Office of the Comptroller of the Currency Documents re: Citigroup]

Select Documents from [Office of the Comptroller of the Currency] (Citi), 02/17/20

[Handwritten Notes]

[Miscellaneous]

#### ***Box 2 of 8***

[Citigroup]

[Shadow Banking]

[Countrywide]

[Documents Supplied by the Federal Reserve Bank of New York]

#### ***Box 3 of 8***

[Records Supplied to the FCIC by the Office of the Comptroller of the Currency]

LexisNexis Documents

[Office of the Comptroller of the Currency-Supplied Documents]

#### ***Box 4 of 8***

[Federal Reserve Bank of New York-Supplied Documents]

[Documents re: Citigroup]

#### ***Box 5 of 8***

[Documents re: Citigroup] (cont'd.)

Public Documents

Federal Reserve Bank Documents (Citi) Response to 2/10/2010 Request #1, Vol. 1

#### ***Box 6 of 8***

Federal Reserve Bank Documents (Citi) Response to 2/10/2010 Request #1, Vol. 1 (cont'd.)

Federal Reserve Bank Documents (Citi) Response to 2/10/2010 Request #1, Vol. 2

Dvizi

***Box 7 of 8***

Federal Reserve Bank Documents (Citi) Response to 2/10/2010 Request #1, Vol. 3  
Federal Reserve Bank Documents (Citi), Response to 2/10/2010 Request #1, Vol. 4

***Box 8 of 8***

Federal Reserve Bank Documents (Citi), Response to 2/10/2010 Request #1, Vol. 4 (cont'd.)  
Federal Reserve Bank Documents (Citi), Response to 2/10/2010 Request #1, Vol. 5

## Michael Easterly's Files

Box count: 13 LTA-S

Arranged in four sections: investigation, research, book, and reference and thereunder by subject  
NAID: 24747016      HMS: HS1-93415366

This series contains primarily journal articles, but also news articles, court documents, handwritten notes, documents supplied by AIG, bank examiner reports, financial institution committee minutes, email printouts, Government Accountability Office and Congressional Research Service reports, congressional testimony, drafts of preliminary staff reports and the final report (referred to as the "book"), FCIC hearing transcripts, memoranda for the record, and Congressional Budget Office reports. Subjects include AIG, Fannie Mae, and the history of regulation of various financial vehicles and the financial industry in general.

### Folder List:

#### ***Box 1 of 13***

Investigations:

- Credit Rating Agencies Report
- Interview Notes
- [Administrative] Instructions
- AIG Investigation

#### ***Box 2 of 13***

Investigations:

- Fannie Mae Investigation

#### ***Box 3 of 13***

Investigations:

- Fannie Mae Investigation (cont'd.)

Research:

- Idle Curiosity
- FCIC's Report on Corporate Governance
- Corporate Governance, General
- History of Boards of Directors (for Corporate Governance Report)
- History of Executive Compensation (for Corporate Governance Report)

#### ***Box 4 of 13***

Research:

- History of Risk Management (for Corporate Governance Report)
- History of Market Discipline (for Planned Addition to History of Corp. Governance Rpt.)
- History of Regulation Report
- History of Insurance Regulation (for History of Regulation Report)
- History of Securities Regulation (for History of Regulation Report)



Easterly

History of Banking Regulation (for History of Regulation Report)

***Box 5 of 13***

Research:

History of Hedge Fund Regulation (for History of Regulation Report)  
History of Derivatives Regulation (for History of Regulation Report)  
Agency Lessons Learned

***Box 6 of 13***

Research:

International Crises  
Monoline Insurance Companies

***Box 7 of 13***

Research:

Housing Finance  
Report on Tax Treatment of Mortgage Debt  
[Collateralized Debt Obligations]  
Report on Housing Bubble

***Box 8 of 13***

Research:

FCIC Reports

Book:

Book-writing Instructions  
Book Draft and Instructions  
Sources for the Book  
Hearing One, Day One Transcript

***Box 9 of 13***

Book:

Interviews and Hearings Consulted During Book-Writing Process  
2003-05 Piggyback Lending  
1998 HUD/Fed [Truth in Lending Act] TILA and [Real Estate Settlement Procedures Act] RESPA Report  
1999 Subprime Guidance

***Box 10 of 13***

Book:

2000 HUD/Treasury Report  
1998 Subprime Meltdown  
Automated Underwriting  
Community Reinvestment Act  
Rating Agencies

Easterly

***Box 11 of 13***

Book:

Derivatives

Regulators

Originators

Reference:

Miscellaneous Articles and Reference Materials

***Box 12 of 13***

Reference:

Miscellaneous Articles and Reference Materials (cont'd.)

***Box 13 of 13***

Reference:

Miscellaneous Articles and Reference Materials (cont'd.)

## Michael Flagg's Files

Box count: 2 LTA-S

Arranged alphabetically by subject

NAID: 24747018      HMS: HS1-93407367

This series contains articles, Federal Deposit Insurance Corporation Inspector General reports, email printouts, Government Accountability Office reports, and other records. Topics include Wachovia, regulatory reform, Washington Mutual, and others.

### Folder List:

#### ***Box 1 of 2***

Bank of America

Book

Citi

Economists/Experts

Emails

Federal Deposit Insurance Corporation

Government Accountability Office

Goldman

Hearings

Investigations

JP Morgan

Mortgages

Politics/Context

Regulatory Reform

Temporary Liquidity Guarantee Program  
(TLGP)

[Public-Private Investment Program] (PPIP)

WAMU [Washington Mutual]

Writing

#### ***Box 2 of 2***

Wachovia: Financial Documents

Wachovia: Clips

## **Scott Ganz's Files**

Box count: 1 LGA-S

Arranged by subject

NAID: 24747020      HMS: HS1- 93407397

This series contains incoming correspondence, resumes, handwritten notes, and a briefing binder for the hearing on derivatives.

### Folder List:

#### ***Box 1 of 1***

[Unfolded]

[Resumes]

Briefing Binder: Hearing re: Derivatives, 6/30-7/1/2010

[Handwritten Notes]

## Thomas Greene's Files

Box count: 20 LTA-S, 7 LGA-S

Unarranged

NAID: 24747032      HMS: HS1-93381440

This series contains news articles, court documents, handwritten notes, drafts of reports and memos, interview summaries, email printouts, and Federal Reserve Board of Governors records. Subjects include commodities trading, Federal government bailouts, Federal Reserve Bank of New York, Lehman Brothers, and Citigroup.

### Folder List:

#### ***Box 1 of 27***

[Reference: Articles and Court Documents]

#### ***Box 2 of 27***

[Reference Material re: Commodities Trading]

[Reference: Articles and Congressional Publications]

#### ***Box 3 of 27***

[Reference: Articles and Congressional Publications] (cont'd.)

[Federal Reserve Board of Governors Documents]

[Notes, Memos, Drafts]

#### ***Box 4 of 27***

[Notes, Memos, Drafts] (cont'd.)

#### ***Box 5 of 27***

[Notes, Memos, Drafts] (cont'd.)

[Report Drafts]

#### ***Box 6 of 27***

[Working Files re: Citigroup]

[GE Capital]

[FCIC Finances]

[Drafts of Report re: Lehman Brothers]

[Draft of Report re: Bear Stearns]

[Miscellaneous Working Papers]

#### ***Box 7 of 27***

[Miscellaneous Working Papers]

Greene

**Box 8 of 27**

[Miscellaneous Working Papers]

**Box 9 of 27**

[Miscellaneous Working Papers]

[Reference: Articles]

**Box 10 of 27**

[Reference: Articles]

**Box 11 of 27**

[Reference: Articles]

**Box 12 of 27**

[Reference: Articles]

**Box 13 of 27**

[Reference: Articles]

**Box 14 of 27**

[Reference: Articles]

**Box 15 of 27**

[Reference: Articles]

**Box 16 of 27**

FCIC Meeting, 10/20/2009

U.S. Bankruptcy Court in re: New Century TRS Holdings, Inc.: Final Report of ... Bankruptcy Court Examiner

Federal Home loan Mortgage Corporation, Form 10-Q

[Miscellaneous Court Cases]

Federal Reserve Board 13(3) Approvals

**Box 17 of 27**

[Unlabeled]

Federal Home Loan Bank of Chicago v. Bank of America Funding Corp. ... 10 CH45033

U.S. Bankruptcy Court in re: Washington Mutual, Inc. – Final Report of the Examiner

U.S. District Court for the Southern District of New York in re: Citigroup, Inc. Bond Litigation

[Personnel]

**Box 18 of 27**

[Personnel] (cont'd.)

9-11 Commission

Advisors/Notes

Admin/Notes

Agencies/CFTC

Agencies/DOI

Agencies/FDIC

Agencies/Federal Reserve

Agencies/GAO

Greene

Agencies/OCC  
Book  
Chair/V-Chair Notes  
Commissioners/Notes  
Commissioners/Working Groups  
Companies/AIG  
Companies/Bear Stearns  
Companies/Clayton

Companies/Countrywide  
Companies/Fannie Mae  
Companies/Freddie Mac  
Companies/GE Capital  
Companies/Goldman, Sachs  
Companies/ JPMorgan Chase  
Companies/Lehman Brothers

**Box 19 of 27**

Companies/Morgan Stanley  
Community Reinvestment Act (CRA)  
Corruption  
Definitions of Crisis  
Ethics  
FCIC Forum  
Fraud  
Fraud Enforcement Act (FERA)  
Glass-Steagall  
Greenspan  
Phil [Angelides]  
Press  
Research Unit  
SIG/TARP [Special Inspector  
Generalship/Troubled Asset Relief  
Program]

Standard & Poors (S&P)  
State Center  
Timelines  
Website  
White House  
Work Plan  
Notes/Front Office  
Notes/"Hill"  
Notes/iTeam Leaders  
Notes/Phil [Angelides]  
Notes/Senior Staff  
Notes/Staff  
Hearing #6 (Speculation)  
Hearings/General  
Hearings/Ideas  
Hypotheses

**Box 20 of 27**

Ideas  
Interview Matrix  
Interviews  
Legal Research  
Lessons Learned  
Macro Working Group  
Messaging  
New York Office  
Notes/Commissioner Meetings

Notes/Contacts  
Hearing #4  
Hearing 5 (Credit Rating Agencies)  
Hearing #5  
Hearing 5A (Derivatives)  
Hearing #1  
Hearing #1 (Crisis Today)  
Hearing #2/3

**Box 21 of 27**

Hearing #2  
Hearing #2 (Subprime)  
Hearing #3 (Securitization)  
Hearing 2/3: Rubin  
Goldman Sachs Documents, Produced 5/28/2010  
Forum to Explore the Causes of the Financial Crisis, 2/26-27/2010

Greene

Hearing on Subprime Lending and Securitization and Government-Sponsored Enterprises (GSEs), 4/7-9/2010

Hearing on Subprime Lending and Securitization and Government-Sponsored Enterprises (GSEs), Briefing Book

***Box 22 of 27***

Hearing on Subprime Lending and Securitization and Government-Sponsored Enterprises (GSEs), Briefing Book (cont'd.)

Staff Briefing for Hearing 1, 1/13-14/2010

***Box 23 of 27***

*The Road Ahead for the Fed*, edited by John Ciorciari and John Taylor

[CDO Data]

U.S. District Court SDNY in re: Citigroup Inc. Bond Litigation -- Amended Complaint Docs: SEC and Press Releases, vol. 1 of 3

***Box 24 of 27***

U.S. District Court SDNY in re: Citigroup Inc. Bond Litigation -- Amended Complaint Docs: SEC and Press Releases, vol. 1 of 3

U.S. District Court SDNY in re: Citigroup Inc. Bond Litigation -- Amended Complaint Docs: News, Analyst Reports, and Transcripts

***Box 25 of 27***

U.S. Bankruptcy Court SDNY in re: Lehman Brothers Holdings, Inc., et al., Report of Examiner [Industry Publications]

Document Requests from Goldman Sachs, 11/2/2010

***Box 26 of 27***

FCIC Hearing on the Role of Derivatives in the Financial Crisis, Supporting Documents [Miscellaneous]

***Box 27 of 27***

[Miscellaneous] (cont'd.)



## **Rishi Gupta and Desi Duncker's Files**

Box count: 3 LTA-S

Arranged by subject

NAID: 24747033      HMS: HS1-93381607

This series contains news clippings and scholarly articles, a draft of the preliminary investigative report on Lehman Brothers, emails, court records, Securities and Exchange Commission filings, Congressional Research Service reports, hearing statements, Government Accountability Office reports, Federal Deposit Insurance Corporation and Department of the Treasury inspector general reports, a preliminary staff report on shadow banking, drafts of sections of the final report, and industry publications. Subjects include Lehman Brothers, Fannie Mae and Freddie Mac conservatorship, the Troubled Asset Relief Program, Indy Mac, shadow banking, Clayton Holdings, and others.

### Folder List:

#### ***Box 1 of 3***

Archstone-Smith Deal

HF Points

Fannie/Freddie Conservatorship

ARS [Auction-Rate Securities] Background Materials

Robert Steele Information

T.A.R.P. [Troubled Asset Relief Program] /C.P.P. [Capital Purchase Program] Allocation

ARS [Auction-Rate Securities] Charges/Writedowns

Dow Kim Background

IndyMac Bank, FSB [Federal Savings Bank]

#### ***Box 2 of 3***

Internal Documents

Clayton Holdings Documents

[Miscellaneous]

[Shadow Banking, Compensation]

[Hedge Funds]

[FCIC Procedures]

Goldschmid

#### ***Box 3 of 3***

Congressional Research Service Reports, Vol. I

Congressional Research Service Reports, Vol. II

# Maryann Haggerty's Files

Box count: 1.3 LTA-S, 1 LGA-S

Arranged by subject

NAID: 24747034      HMS: HS1-93381643

This series contains email printouts, notes, drafts, graphics, and other records relating to the publication – in print and electronic form – of the commission's final report.

## Folder List:

### ***Box 1 of 3***

Proofreading

Web Homefront

[Electronic Publication]/Web Planning

Sell Sheet

National Archives

Interviews/Transcript Notes

Hearings

Will Lippincott

Government Printing Office Information

Book Production

TOPIRS [Topical Investigative Reports]

Creative Brief [Electronic] Book

[Electronic] Book Production

Miscellaneous Reference

Fact Check Notes

Front Matter/Back Matter

Work Plan

Glossary

Document Clearance

Timeline

Vetting

Resolved

Commissioner List Page

Schematics

RMBS [Residential Mortgage Backed  
Securities]/CDO [Collateralized  
Debt Obligations] Schematic

Graphics, Miscellaneous

### ***Box 2 of 3***

Real Questions

Section I Charts

Section II Charts

Section III Charts

Section IV Charts

Charts, Not Using

Home Price Maps

Older Extra Graphics

Review

Graphics – Latest Version

[Graphics]

Graphics – Notes for 12/30 and 12/31

[Commissioner Comments]

Preface

Briefing Binders

Web Site Pre-Launch

### ***Box 3 of 3***

Findings Facts

Accounting TOPIR [Topical Investigative Reports]

## **Robert C. Hinkley and Thomas Krebs' Files**

Box count: 1 LGA-S, 1 LTA-S

Arranged by subject

NAID: 24747035      HMS: HS1- 93418977

This series contains handwritten notes, Federal Register regulations and proposed rules, Securities and Exchange Commission-supplied Form 10-Q for Lehman Brothers Holdings, Inc. from 2008, news articles, Goldman Sachs loan reports and data output.

### Folder List:

#### ***Box 1 of 2***

[Goldman Sachs Loan Data]

[Loan Data]

[News Articles]

[Goldman Sachs Data]

[Handwritten Notes]

#### ***Box 2 of 2***

[Goldman Sachs Data]

[Regulations and Proposed Rules]

[SEC Form 10-Q, Lehman Brothers, FY2008]

[Press articles, correspondence, memos]

[Goldman Sachs Data]

## **Brook L. Kellerman's Files**

Box count: 2.8 LTA-S

Arranged by subject

NAID: 24747036      HMS: HS1-93419067

This series contains articles, slip cases, court records, correspondence, agency-supplied documents, and other records relating to subprime mortgages, mortgage-backed securities, section 302 of the Sarbanes-Oxley Act, bespeaks caution doctrine, cease and desist orders, AIG, Bear Stearns, Lehman Brothers, and other topics.

### Folder List:

#### ***Box 1 of 3***

[Mortgage-Backed Securities] Case  
Subprime Cases – Articles  
[Mortgage-Backed Securities] Articles  
Securities and Exchange Commission Statute of Limitations  
Section 2.6  
10(b) – Outside Directors  
Sarbanes-Oxley Section 302

#### ***Box 2 of 3***

Bespeaks Caution -- Articles  
Bespeaks Caution -- Cases  
Cease and Desist Orders from the Office of Thrift Supervision (OTS)  
Federal Reserve Documents re: AIG  
BSAM [Bear Stearns Asset Management] Documents  
Research for Timeline  
Mortgage-Backed Securities – Offering Docs

#### ***Box 3 of 3***

Documents in the Draft  
Lehman  
Probably Not Actually Cited, but On Point [Miscellaneous]

## **Sarah Knaus' Files**

Box count: 8 LTA-S, 1 LGA-S

Arranged by subject

NAID: 24747037      HMS: HS1-93419114

This series contains documents used in preparation for Hearings 4 and 5, document requests, court records, email printouts, handwritten notes, financial institution-supplied documents, and other records. Subjects include Bear Stearns, American International Group, Reserve Primary Fund, Bank of New York Mellon, and others.

### Folder List:

#### ***Box 1 of 9***

FCIC Hearing 4: Long List Documents, Vol. 1

FCIC Hearing 4: Long List Documents, Vol. 2

FCIC Hearing 4: Short List Documents Day 1

#### ***Box 2 of 9***

FCIC Hearing 4: Short List Documents Day 1 (cont'd.)

New Century of America

Hard Copy Document Requests

Transcripts (1/27/2010) Left By Feretesh

Witness File: Alan Schwartz

Witness File: Jimmy Cayne

Witness File: Tom Marano

Witness File: Brad Fox

Witness File: Karen DeNale

Randall Krosner, Chris Mayer and Jaffe

Bert Eliz

Gary Gorton

Jim Carr

D.A. NY

Reserve Primary Fund

Document Request: Goldman Sachs

[Handwritten Notes]

#### ***Box 3 of 9***

Merrill [Lynch] Analyst Reports (Reviewed by Susan M.)

Document Requests: Background File

#### ***Box 4 of 9***

Hearing 5: Complex Financial Derivatives (Document Request Letters & Responses)

G. Park Emails (AIG) – Hearing 5

Knaus

***Box 5 of 9***

Reserve Primary Fund, RMCI 738-1532  
Bear Stearns Prep: Sam Molinaro  
Bear Stearns Prep: Tom Marano  
Bear Stearns Prep: Mike Alix  
Bear Stearns Prep: Jimmy Cayne  
Hearing 5 Background: AIG

***Box 6 of 9***

ACA ABACUS 00000001 – ACA ABACUS 00001029 (1 of 2)  
ACA ABACUS 00000001 – ACA ABACUS 00001029 (2 of 2)

***Box 7 of 9***

[Bank of New York] Mellon Production  
Cassano Documents I  
Cassano Documents II  
Kevin McGinn Prep  
Forster/Cassano Prep

***Box 8 of 9***

AIG Credit Risk Committee Approval Memos  
Securities and Exchange Commission Cox/Donaldson Speeches & Testimony  
Cox/Donaldson, Vol. II

***Box 9 of 9***

AIG Conference Call Transcripts  
Documents from Hearing 4  
Bear Stearns Articles

## Jay N. Lerner's Files Relating to Lehman Brothers

Box count: 11 LTA-S, 1 LGA-S  
Arranged by subject  
NAID: 24747038      HMS: HS1-93419186

This series contains agency- and Lehman-supplied documents, court records, interview notes and summaries, correspondence, congressional committee records, articles, and other material relating to Lehman Brothers' bankruptcy. Some records also relate to General Electric, Fairfield Greenwich, and Bear Stearns.

### Folder List:

#### ***Box 1 of 12***

Lehman Bankruptcy: Miscellaneous  
Lehman Bankruptcy: Sections I, II, III.A.1  
Lehman Bankruptcy: Section III.A.2  
Lehman Bankruptcy: Section III.A.3  
Lehman Bankruptcy: Section III.A.6  
Lehman Bankruptcy: Section III.B and C  
Lehman Bankruptcy: Appendices 1 – 7 and 15  
[Repurchase Agreement] 105

#### ***Box 2 of 12***

Lehman Witnesses, General  
Lehman Witnesses:  
    Bill Schlich (Ernst & Young)  
    Martin Kelly  
    Ed Grieb  
    Bart McDade  
    Erin Callan  
    Dick Fuld  
    Lehman Lawyers  
House Financial Services Committee Hearing, 4/20/2010; House Oversight & Government Reform Committee Hearing, 10/06/2008

#### ***Box 3 of 12***

Lehman Derivatives  
[Lehman Timeline]  
[Drafts of Investigative Report on Lehman Brothers]  
Lehman  
Tom Krebs' File on Lehman and [Repurchase Agreement] 105

#### ***Box 4 of 12***

Lehman Articles

Lerner

[Court Records]

[Documents Supplied by Lehman Brothers]

**Box 5 of 12**

[Documents Supplied by Lehman Brothers] (cont'd.)

Consolidated Supervised Entity (CSE) Program:

General

[Christopher] Cox / [William H.] Donaldson

[Christopher] Cox / Securities and Exchange Commission

**Box 6 of 12**

CSE Program (cont'd):

[Harvey J.] Goldschmid

[Annette] Nazareth

[Erik] Sirri

[Mike] Macchiaroli – [Matt] Eichner

[Henry] Paulson

[Ben] Bernanke

[Paul] Volcker

[Timothy] Geithner

Commercial Paper

GE Capital

GE Capital-Background

**Box 7 of 12**

GE Capital-Transcripts

Fairfield Greenwich

Clayton Holdings

**Box 8 of 12**

Bear Stearns

Repurchase Agreements

Auction-Rate Securities

Money Markets

**Box 9 of 12**

Reserve Primary-Lehman

CPFF [Commercial Paper Funding Facility] – Treasury

TLGP [Temporary Liquidity Guarantee Program]-FDIC [Federal Deposit Insurance Corporation]

Shadow Banking

Hearing 4 -- May 2010

Henry M. Paulson, Jr. Testimony, 5/6/2010

Robert Rubin Interview, 3/11/2010

Charles Prince Interview, 3/17/2010



Lerner

***Box 10 of 12***

[JP Morgan Chase]

[Miscellaneous]

[Publications]

[Handwritten Notes]

[President's Working Group on Financial Markets and Agencies re: Private Pools of Capital]

FCIC – January Hearings

FCIC – February Hearings

***Box 11 of 12***

FCIC – February Hearings (cont'd.)

Short Selling

Hedge Funds – Congressional Testimony

Hedge Funds

***Box 12 of 12***

Hedge Funds (cont'd.)

Hearing 6 – Derivatives

Excess Risk – Magnetar

Derivatives – Goldman Sachs

Michael Burry – Scion

AIG – Hank Greenberg

## **Julie Marcacci's Files**

Box count: 1.4 LTA-S

Arranged by volume number, handwritten notes unarranged

NAID: 24747039      HMS: HS1- 93419240

This series contains volumes 1-5 of Lehman Brothers Examiner Report (per Lehman Brothers bankruptcy case of 2010) and handwritten notes.

### Folder List:

#### ***Box 1 of 2***

[Unlabeled Handwritten Notes re: Lehman Brothers]

Lehman Brothers Examiner Report, volumes 1-4

#### ***Box 2 of 2***

Lehman Brothers Examiner Report, volume 5

## Courtney Mayo's Files

Box count: 2 LTA-S, 0.5 LGA-S

Arranged by subject

NAID: 24747040      HMS: HS1- 93419270

This series contains transcripts of the public hearings, notes of conversation with Stephanie Kaplan and Philip Zelikow of the 9/11 Commission about its processes and lessons learned, news articles, witness lists, FCIC administration, including Westlaw subscription service and logistics of hearings.

### Folder List:

#### ***Box 1 of 3***

FCIC Public Hearing Transcript, 9/23/2010 (2 copies)

FCIC Hearing Transcript re: Credibility of Credit Ratings, the Investment Decisions Made Based on Those Ratings, and the Financial Crisis, 9/7/2010

#### ***Box 2 of 3***

FCIC Hearing Transcript re: Credibility of Credit Ratings, the Investment Decisions Made Based on Those Ratings, and the Financial Crisis, 9/7/2010

Commission Hearings, 9/7/2010

9/11 Commission

[Handwritten Notes]

[Unlabeled]

WestLaw

Hearing Logistics

#### ***Box 3 of 3***

Hearing: Locations

Miami and Sacramento Field Hearings

Bakersfield and Las Vegas Hearings

Additional Bakersfield Testimony

H[earing] 7

July 28-29 FCIC Meeting

Hearing 5 (New York City)/Retreat

Hearing 5

Hearing 4

Research Symposium

Hearing 1 (1/13-14/2010)

Witness List

## **Carl McCarden's Files**

Box count: 6 LTA-S, 3 LGA-S

Arranged by subject

NAID: 24747041      HMS: HS1-93419942

This series contains news articles, Countrywide statistical data, handwritten notes, court records, earnings reports and press releases, industry publications, derivative valuations, FCIC personnel materials. Also included are records of staff member Beneva Schulte. Subjects include Countrywide Financial Corporation, Morgan Stanley, and Goldman Sachs.

### Folder List:

#### ***Box 1 of 9***

“Countrywide Financial: Corp. Credit Risk Committee, 3/21/2005”

Key Articles Used in Countrywide Preliminary Investigative Report

Morain - Countrywide Files – Documents Reviewed for Case Study Report [I & II]

[Handwritten Notes]

#### ***Box 2 of 9***

Countrywide FLAG Lawsuit

AIG Research – Relevant Litigation Tom Grober Data

Documents Produced by Joseph St. Denis

#### ***Box 3 of 9***

Key New York Fed Emails re: AIG Failure

AIG Debt Owed to U.S. Government

AIG – Greenberg

Derivatives Hearing: Documents from FrontPoint (Steve Eisman), Produced April 2010

Countrywide Financial Corp – Analyst Reports

Key Countrywide Financial Corp. Emails used in Preliminary Investigative Report and Book

#### ***Box 4 of 9***

Countrywide Financial 2006 Earnings Releases & Transcripts

Countrywide Financial Earnings Call Transcripts 2007

“Countrywide 2007 Strategic Plan & Forecast Summary, February 2007”

[Miscellaneous Documents]

#### ***Box 5 of 9***

Morgan Stanley

Freddie Mac

[Miscellaneous Records]

CLSA publications: Initiation of Coverage and Industry Outlook

[Handwritten Notes]

***Box 6 of 9***

FNMA Early and Later Warning Reports 2006  
FNMA Early and Later Warning Reports, 2008  
Rosenblatt Documents  
Morgan Stanley Research Reports  
Bernstein Research: Morgan Stanley/Fannie Mae

***Box 7 of 9***

Morgan Stanley Earnings Call Transcripts & Press Releases: Q1 2007 – Q3 2009  
Goldman Sachs  
Jonathan Egol/Peter Ostrem

***Box 8 of 9***

David Lehman/Jonathan Egol/Peter Ostrem  
Egol  
[Rating Changes Charts]  
[Handwritten Notes]  
Exhibits to Summary of Investigative Report [re: Goldman Sachs]  
Goldman Sachs Abacus 2004-1  
Goldman Sachs Abacus 2006-8  
Goldman Sachs Abacus 2007-18  
[Miscellaneous Goldman Sachs]  
Appendices to March 1, 2010 Letter of Goldman Sachs

***Box 9 of 9***

[Miscellaneous Goldman Sachs]  
Beneva Schulte Files:

- Hearings, General
- Hearing One
- Day 1+ Day 2 Fact Sheets
- Hearing 2
- Glen Sears
- Hearing One – Comm Plan
- Background Information
- Fact Checkers
- Hearing 4
- Hearing 2/3
- Hearing 5 and 5A
- Detailees
- Staff Hires
- Personnel Potentials
- Personnel
- Senior Staff Meetings
- Forum Takeaways
- Hypothesis

McCarden

Grid

## Bruce McWilliams's Files

Box count: 20 LTA-S

Arranged roughly by subject

NAID: 24747042      HMS: HS1-93420201

This series contains statistics, drafts of staff investigative reports, congressional committee exhibits, Congressional Research Service reports, agency- and financial institution-supplied records, Securities and Exchange Commission filings, court records, email printouts, bank examiner reports, articles, and other records. Topics include risk, rating agencies, the Community Reinvestment Act, Citigroup, Wachovia, Moody's, Wells Fargo, National City Corporation, Fremont Investment and Loan, and others.

### Folder List:

#### ***Box 1 of 20***

Jeff Gates, *Guilt by Association* (Santa Barbara: State Street Publications, 2008)

Moody's 10Ks, 1998-2009

Rating Agencies Documents

#### ***Box 2 of 20***

Rating Agencies Documents (cont'd.)

Brian Clarkson

Rating Agency Document

Buffet

Fons – Buffet

Corporate Library

Witnesses

Parmalat

Congressional Research Service Paper on Community Reinvestment Act

Share Holding – Buffet/Moody's

Michalek Testimony

Cifuentes Testimony

Kolchinsky

Cox Testimony

Investment Company Institute – Securities and Exchange Commission Testimony

Rating Agency Articles

Witness Not Used

Compensation

Rating Agencies Hearing: Permanent Subcommittee on Investigations Documents on  
Community Reinvestment Act Hearing

**Box 3 of 20**

Rating Agencies Hearing: Permanent Subcommittee on Investigations Documents on  
Community Reinvestment Act Hearing (cont'd.)

Rating Agency Document 2

[Articles]

[Federal Reserve]

**Box 4 of 20**

Clayton Holdings

Russell

PIMPCO

[Dreyfus]

Riversource

Securities and Exchange Commission Suit Against Reserve Fund

Moody's Fund Stuff

Moody Fees

[Citi Documents]

[Citi] '07

**Box 5 of 20**

[Citi Form 10Q] March 2008 [through] June 2009

[Citi]

Office of the Comptroller of the Currency about Citi

Citi Interview Notes

“Citigroup Saw No Red Flags...” NY Times

GCIB Corp

Short Sale Information

Tier 1 Calculations

Risk-based Capital Guidelines

Capital Chart

Federal Register R[isk]- B[ased] C[apital]

[Federal Reserve Board/Office of the Comptroller of the Currency] FRB/OCC Operational Risk  
Management 2006

[Federal Reserve Board] FRB – [Citigroup] Inspection 2007

[Federal Reserve Board] FRB – [Citigroup] Annual Report of Inspection 2006

Citi – Call Report 12/2009

**Box 6 of 20**

Moody's 10 Qs

Securities and Exchange Commission Credit Rating Agency Documents

Moody's: Corporate Library Articles

**Box 7 of 20**

Moody's Proxy Statements

Moody's Form DEF14A for Period Ending 4/22/2008

Moody's Court Cases



McWilliams

Academics

Chris Laursen Notes

AIG – FRBNY [Federal Reserve Bank of New York] Document

13-3 Regulations

Fed [Federal Reserve Board] Document Request

**Box 8 of 20**

TBTF [Too Big to Fail] Various Notes/Program

Let – Mark to Markets

Barnes Interview

Ganz Paper

Leech Testimony

Citi Stock Offer

[Miscellaneous Citigroup Documents]

[Citigroup 8-K Report, 10/13/2009]

[Citigroup] 10-K 2006

[Citigroup] 10-K 2005

**Box 9 of 20**

[Executive Compensation]

Prince Interview

12 CFR Part 225, App. E, Market Risk Measure

Citi Subsidiaries

[Citigroup 8-K Report, 1/23/2009]

[Citigroup 10-Q Reports, 2007]

Citi Interrogatories

[Citigroup] 10-K, 2009

[*Large Financial Institutions' Perspectives on Risk*, 2/20/2007 from Federal Reserve Bank of New York]

[Citi Senior Supervisor's Group Risk Management Self-Assessment]

[Federal Reserve Bank of New York Examiners' Reports re: Citi]

**Box 10 of 20**

[Draft of "Forum to Explore the Causes of the Financial Crisis," 2/26-27/2010]

[Federal Reserve Reports, Speeches, Timeline]

[Wachovia-Supplied Documents]

[Moody's-Supplied Material]

**Box 11 of 20**

[Moody's-Supplied Material] (cont'd.)

**Box 12 of 20**

[Moody's-Supplied Material] (cont'd.)

[Wachovia-Supplied Documents]

[Briefing Book for Sacramento Field Hearing]

**Box 13 of 20**

[Federal Reserve Examiner Report on Wells Fargo]  
[Moody's-Supplied Material]

**Box 14 of 20**

[Moody's-Supplied Material] (cont'd.)

**Box 15 of 20**

[Moody's-Supplied Material] (cont'd.)  
[National City Corporation]  
[Fremont Investment and Loan]

**Box 16 of 20**

[Fremont Investment and Loan] (cont'd.)  
[Moody's-Supplied Material]  
[Wachovia]  
[Federal Reserve Bank of New York Material re: Lehman Brothers]  
[Too Big to Fail]

**Box 17 of 20**

[Federal Reserve]  
[Federal Reserve Reports re: Bank of America]  
[Federal Reserve Documents re: Wachovia]  
[Wachovia 10-Qs]  
[Wachovia 10-K and Annual Report, 2007]

**Box 18 of 20**

[Hedge Funds Reports from President's Working Group on Financial Markets]  
[Too Big to Fail]  
[Securities and Exchange Commission Oversight of Bear Stearns]  
[Final Report Sections: Drafts]  
[Miscellaneous]

**Box 19 of 20**

[Miscellaneous] (cont'd.)

**Box 20 of 20**

[Miscellaneous] (cont'd.)

## Joel Miller's Files

Box count: 1 LGA-S, 1 LTA-S

Arranged by subject

NAID: 24747044      HMS: HS1-93420623

This series contains handwritten notes, preliminary staff reports, news articles, Florida state investigation records, President's Working Group on Supervision records, and printed regulations.

### Folder List:

#### ***Box 1 of 2***

Annuities

Bank Sweeps

Broker-Dealer Insolvency

Brokered Deposits

Cash Collateral Pools

CDARS

Collective Investment Funds

Commercial Paper

Complex Structured Finance

Credit Underwriting

Enhanced Cash Fund

FHLBs [empty]

Hedge Funds

Home Equity

ILCs [Industrial Loan Companies]

Interview Notes

Wholesale Funding/Shadow Banking:

    Real Estate Lending Standards

    Repo

    Reserve Primary Fund

    Securities Lending

#### ***Box 2 of 2***

    Shadow Banking

    SIVs [Structured Investment Vehicles]

    Ultra-short Funds

Supervision and Regulation

FCIC General Info

State and Local Government Investigations

Florida Materials

Money Market Funds

Money Market Funds 2007-2008 Support

## Steven L. Mintz's Files

Box count: 1 LTA-S

Arranged by document type

NAID: 24822113      HMS: HS1-93420653

This series contains handwritten notes taken during interviews, court records, Department of Justice press releases, and an essay titled "The Panic of 2007."

### Folder List:

#### ***Box 1 of 1***

[Handwritten Notes]

Department of Justice Press Releases

Litigation

"The Panic of 2007"

## Clara Morain's Files

Box count: 3 LTA-S

Arranged by subject

NAID: 24822117      HMS: HS1-93420724

This series contains email printouts, court records, news articles, and Fannie Mae documents.

### Folder List:

#### ***Box 1 of 3***

Hearing and Briefing Binder: Hearing on Subprime Lending and Securitization and GSEs

Fannie Mae Investigation: Treasury Dept. Documents

Fannie Mae Research: Warning Reports [1 of 2]

#### ***Box 2 of 3***

Fannie Mae Research: Warning Reports [2 of 2]

Fannie Mae: Selected Documents

Fannie Mae Research: Research Reports, Earnings Release, Earnings Call Transcripts

FHFA (OFHEO) Info on 3/19/2008 Announcements

Document Request: Fannie Mae

Valukas Report Excerpts and Documents re: Citi [2 of 4]

#### ***Box 3 of 3***

Valukas Report Excerpts and Documents re: Citi [3 of 4]

Key Documents Re: Warnings About Fannie Mae

## Gretchen Newsom's Files

Box count: 1 LTA-S, 2 LGA-S

Arranged by subject

NAID: 24822118      HMS: HS1-93479274

This series contains email printouts, memoranda, vouchers and other administrative records, articles, handwritten notes, and other records. Subjects include the organization, administration, and logistics of the commission, especially budget, arrangements for the hearings, and the rollout of the final report.

### Folder List:

#### ***Box 1 of 3***

FCIC Budget

Rollout Location

Rollout

Book Outline

9/11 Commission

Hearing Sites

Communications

FCIC – Tickler File

Senior Staff Meetings

Website

[Hearings of 6/30-7/1/2010]

[Handwritten Notes]

Congressional Correspondence

Working Groups – TBTF [Too Big to Fail]

#### ***Box 2 of 3***

White Board -- Implementation

Hearing 1

Hearings 2 and 3

Hearing 4: Shadow Banking

Hearings 5 and 5a

Hearing 6: Excess Risk

Las Vegas

Key Goldman Sachs Articles

FCIC-General Services Administration

#### ***Box 3 of 3***

[Ethics and Rules]

[Correspondence]

[Staffing/Personnel]

[Report Outlines/Drafts]

[Commission Meetings and Organization]

[Research]

## **Dixie Noonan's Files**

Box count: 13.2 LTA-S, 1 LGA-S

Arranged by subject

NAID: 24822120      HMS: HS1-93479342

This series contains reports, presentation materials, handwritten notes, press releases and clippings, earnings call transcripts, data supplied by financial institutions, testimony, published industry reports, congressional hearing transcripts, briefing books, correspondence, court filings, and other records. Subjects include AIG, JPMorgan, the Depository Trust and Clearings Corporation, the Federal Reserve Board, Deutsche Bank, the Presidential Working Group on Financial Markets, and many others.

### Folder List:

#### ***Box 1 of 15***

AIG-12/5/07 Disclosures-02/2008 Disclosures  
[Handwritten Notes]

#### ***Box 2 of 15***

JPMorgan Miscellaneous  
JPMorgan -- 2010 Investor Day Powerpoints  
JPMorgan Articles  
JPMorgan Financials  
JPMorgan: TARP; Government Warrants; OCC [Office of the Comptroller of the Currency]  
Derivatives Report

#### ***Box 3 of 15***

Depository Trust & Clearing Corporation, Documents Produced  
JPMorgan Articles, Vol.1  
Jamie Dimon Articles (JP Morgan) Vol. 1  
JPMorgan Chase Research Reports Vol. 1  
JPMorgan Chase: Earnings Call Transcripts & Press Releases, Q1 2007-Q3 2009

#### ***Box 4 of 15***

FRB [Federal Reserve Board] Speeches and Testimonies  
Speeches and Testimony: Gramlich, Spillenkothen, Cole of Federal Reserve Bank  
Randall Krosner, Governor of Federal Reserve Board, Select Speeches & Testimony, 2008 –  
2009  
Mortgage Lending Regulatory Reforms  
Documents from Cassano's Lawyers

#### ***Box 5 of 15***

Alan Greenspan Public Speeches (1994-2005)  
Federal Reserve/Greenspan DLN [Dixie L. Noonan] Working Binder

Noonan

Federal Reserve/Greenspan

**Box 6 of 15**

[Andrew] Forster Interview Documents  
Hearing 5: 05/11/2010 Interviews (Gorton/Frost)  
Gene Park: Interview Prep/Docs  
AIG Earnings, Call Transcripts  
R. [Robert] Lewis Interview Prep

**Box 7 of 15**

[Pierre] Micottis Prep  
Hearing 5: In re AIG 2008 Securities Litigation, Background  
The Federal Reserve  
Federal Reserve: Reviewed Documents

**Box 8 of 15**

HOEPA [Home Ownership and Equity Protection Act] Research  
Sigrid & Paul Menard-First Horizon Home Loans; Request for Modification Assistance;  
#0058704131  
Eric Dinallo Background Information  
Deutsche Bank Documents Produced 6/3/2010  
Background Materials for Hearing 5 (Complex Derivatives)

**Box 9 of 15**

Background Materials for Hearing 5 (Complex Derivatives) (cont'd.)  
Hearing 5: Complex Financial Derivatives -- Miscellaneous Documents  
Hearing 5: Complex Financial Derivatives -- Miscellaneous Articles

**Box 10 of 15**

President's Working Group on Financial Markets Materials  
Hank Greenberg  
AIG SEC  
AIG: Compensation Information  
AIG-NYFRB [Federal Reserve Bank of New York]  
ACA ABACUS 00001742 – ACA ABACUS 00003489: 1 of 3

**Box 11 of 15**

ACA ABACUS 00001742 – ACA ABACUS 00003489: 1 of 3 (cont'd.)  
ACA ABACUS 00001742 – ACA ABACUS 00003489: 2 of 3

**Box 12 of 15**

ACA ABACUS 00001742 – ACA ABACUS 00003489: 3 of 3  
ACA ABACUS 00003504 - ACA ABACUS 00003505: 1 of 1  
ACA ABACUS 00003528 - ACA ABACUS 00003529: 1 of 1  
ACA ABACUS 00003530 - ACA ABACUS 00003531: 1 of 1  
ACA ABACUS 00003532 - ACA ABACUS 00003533: 1 of 1



Noonan

ACA ABACUS 00003534 - ACA ABACUS 00004363: 1 of 2

***Box 13 of 15***

ACA ABACUS 00003534 - ACA ABACUS 00004363: 2 of 2

ACA ABACUS 00004376 – ACA ABACUS 00004377: 1 of 1

ACA ABACUS 00004378 - ACA ABACUS 00004381: 1 of 1

AIG Public Filings

***Box 14 of 15***

AIG Public Filings (cont'd.)

[Court Documents re: Consolidated Class Action Suit Against AIG]

**Box 15 of 15**

[Court Documents re: Consolidated Class Action Suit Against AIG] (cont'd.)

[AIG Amendment to Form 8-K/A]

## **Donna Norman's Files**

Box count: 6 LTA-S

Arranged by subject

NAID: 24822170      HMS: HS1-93479414

This series contains news articles, handwritten notes, documents provided by Goldman Sachs, minutes of AIG Board of Directors' meetings, drafts of FCIC staff reports, and AIG's credit default swap transaction documentation. Subjects include AIG, Goldman Sachs, JP Morgan, credit default swaps, and shadow banking.

### Folder List:

#### ***Box 1 of 6***

Citigroup Articles

AIG ISDAs [International Swap Dealers Association, Inc.]

Non-Abacus Goldman/AIG [Credit Default Swaps]

#### ***Box 2 of 6***

Goldman Sachs Documents

#### ***Box 3 of 6***

Goldman Sachs Documents (cont'd.)

Draft Staff Reports, Jan-June 2010

#### ***Box 4 of 6***

Draft Staff Reports, Jan-June 2010 (cont'd.)

AIG Board Minutes

Eric Dinallo Background Information

AIG Cassano Documents

#### ***Box 5 of 6***

AIG Documents 7 CDS for Abacus, 5/10/2010

Norman Notes

#### ***Box 6 of 6***

Norman Notes (cont'd.)

Shadow Bank Hearing

Office of Inspector General, SEC's Oversight of Bear Stearns and Related Entities: The Consolidated Supervised Entity Program

J.P. Morgan Board Materials and Internal Audit Reports, Binder

## **Adam Paul's Files**

Box count: 0.2 LGA-S

Arranged by subject

NAID: 24822171      HMS: HS1- 93479517

This series contains the employee handbook and ethics guidelines, notes on meetings of the Federal Reserve Board's Federal Open Market Committee, and handwritten notes taken during interviews.

### Folder List:

#### ***Box 1 of 1***

[Employee Handbook and Forms]

[Federal Reserve Board's Open Market Committee (FOMC)]

MFR Notes

## Jane Poulin's Files

Box count: 6 LTA-S

Arranged by subject

NAID: 24822172      HMS: HS1-93479564

This series contains news articles, email printouts, company 10-K and 10-Q forms, oversight reports, court documents, correspondence, and other records relating to fair value accounting, overstock.com, taxing derivatives, and short sales.

### Folder List:

#### ***Box 1 of 6***

Report of the Financial Crisis Advisory Group, 7/28/2009

Fair Value Accounting Research Papers

SEC's Fair Value Study

SEC Form 10-K Annual Reports on Federal Home Loan Banks

#### ***Box 2 of 6***

Accounting Investigation Supporting Documents

Merrill Lynch Testimony

Records re: Overstock.com

Taxation of Derivatives

#### ***Box 3 of 6***

Naked Short Selling

FASB [Financial Accounting Standards Board] Standards, Etc.

"Naked Short Selling: The Problem and the Solution," National Press Club, 12/9/2008

"Fun With Fails: The Topography of Unsettled Trades in U.S. Stock Markets," John W. Welborn, April 2010

"Naked Short Selling and the Options Market Maker Exception," Jonathan E. Johnson III & John W. Welborn, 5/19/2009

[Fails to Deliver]

#### ***Box 4 of 6***

Fannie Mae 10-K, 2008

Hearing 2 Fannie Mae Working Binder

Merrill Lynch 10-K

#### ***Box 5 of 6***

Document Requests and Receipts

Bank of America 10-Q

Citigroup 10-Q, June and September 2009

AIG Annual 10-K, 2008-2009

Poulin

***Box 6 of 6***

Goldman Sachs 10-K, 2009

## **Steve Sanderford's Files**

Box count: 0.4 LTA-S

Unarranged

NAID: 24822173      HMS: HS1-93479612

This series contains news articles and scholarly articles relating to the Basel Capital Accord, risk-based capital requirements for mortgage loans, and regulatory capital arbitrage.

### Folder List:

***Box 1 of 1***

Capital Arbitrage

## **Kim Shafer's Files**

Box count: 2 LTA-S

Arranged by subject

NAID: 24822174      HMS: HS1-93527902

This series contains the briefing book for June 30-July 1, 2010 hearing regarding the role of derivatives in the crisis which includes witness statements, questions for witnesses, draft summary of preliminary investigations of AIG and Goldman Sachs. Also included in the series are research and investigative plans for the derivatives hearing, with drafts; draft reports; email printouts; interview transcripts; draft document requests. Subjects are primarily derivatives and rating agencies.

### Folder List:

#### ***Box 1 of 2***

Briefing Binder: Hearing on the Role of Derivatives in the Financial Crisis, 6/30-7/1/2010

Derivatives PSR [Preliminary Staff Report]

Interview Exhibits

CDO Investors

DTCC [Depository Trust & Clearing Corporation]

Deriv

Deriv Data

Deriv & CDO & League Data

Amplification

CDO Interrogs

#### ***Box 2 of 2***

Rating Agencies

DTCC [Depository Trust & Clearing Corporation]

DTCC [Depository Trust & Clearing Corporation] 2009 Annual Report

Miscellaneous (Derivatives and CDOs)

## **Gordon Shemin's Files**

Box count: 4 LTA-S, 1 LGA-S  
Arranged roughly by subject  
NAID: 24822175      HMS: HS1-93527952

This series contains industry publications, SEC documents, documents supplied by Merrill Lynch and Fannie Mae in response to FCIC requests, and handwritten notes. Subjects include Merrill Lynch and Fannie Mae.

### Folder List:

#### ***Box 1 of 5***

“Moody’s: The First Hundred Years,” by David Stimpson  
Magnetar [Capital LLC/ Norma CDO I Ltd]  
[Merrill Lynch Reports from 2007 Re: Subprime CDOs...]  
[Merrill Lynch CDO Documents]  
[Miscellaneous Merrill Lynch Documents]

#### ***Box 2 of 5***

[Miscellaneous Merrill Lynch Documents] (cont’d.)  
COGR [House Committee on Oversight & Government Reform] Hearings on Merrill Lynch --  
Bank of America Merger  
[Handwritten Notes]  
Legal: Quote Clearing and MFR Objections [2 files]

#### ***Box 3 of 5***

Fannie Mae MFRs  
Dept. of Treasury OIG Inquiry Re: Development and Promulgation of IRS Notice 2008-83  
VHS Tape: Confidential Exhibit H “Citi Financial Customer First Workshop Jan. 2003”  
Connecticut Law Review, May 2007  
Wachovia Documents

#### ***Box 4 of 5***

Wachovia Documents (cont’d.)  
Fact Checking

#### ***Box 5 of 5***

SEC Documents re: Merrill Lynch  
SEC OPSRA [Office of Prudential Supervision and Risk Analysis] Memos  
Merrill Lynch



## **Stuart C. Shroff and Peter Kavounas' Files**

Box count: 1 LGA-S

Arranged by subject

NAID: 24822205      HMS: HS1-93419037

This series contains responsive documents, notes, drafts, bank examiner reports, and others. Subjects include collateralized debt obligations and rating agencies.

### Folder List:

#### ***Box 1 of 1***

[CDO Ratings]

[MKP Capital Management]

[Warehouse Agreement]

[Moody's-Supplied Documents]

[Miscellaneous Industry-Supplied Documents]

[Miscellaneous Correspondence and Document Request Responses]

## **Alexis Simendinger's Files**

Box count: 1 LTA-S, 1 LGA-S

Arranged by subject

NAID: 24822206      HMS: HS1-93528004

This series contains files of one of the writers on the team that wrote the Final Report. The records include press releases about hearings and forums, news articles, a compilation of quotes for use in the Final Report, email printouts, handwritten notes, Simendinger's calendar, and a draft of sections of the Final Report.

### Folder List:

#### ***Box 1 of 2***

[Unlabeled]

[Republican Commissioners]

FCIC Internal Memos

Alexis Simendinger Notes [handwritten notes]

FCIC Interview Notes- Simendinger (Writer)

FCIC Writers' Door Art

SKED- Alexis Interviews

FCIC News Releases- Hearings

FCIC Quotes for Report

#### ***Box 2 of 2***

FCIC "Conclusions" (Report), Conclusions Drafts, Simendinger- Drafts Conclusions

Final Report of the FCIC

## **Mina Simhai's Files**

Box count: 9 LTA-S, 2 LGA-S  
Arranged roughly by subject  
NAID: 24822222      HMS: HS1-93528072

This series contains published research and articles, email printouts, handwritten notes, Bear Stearns minutes and correspondence, court documents, and hearing briefing binders. Subjects include Fannie Mae, Ralph Cioffi, Bear Stearns, Sarbanes – Oxley, shadow banking, and others.

### Folder List:

#### ***Box 1 of 11***

[Fannie Mae]  
Sarbanes – Oxley

#### ***Box 2 of 11***

Sarbanes – Oxley (cont'd.)  
[Published Research Papers]

#### ***Box 3 of 11***

*House of Cards: A Tale of Hubris and Wretched Excess on Wall Street* by William C. Cohan  
SEC -- [Ralph] Cioffi Documents, Vol. I  
SEC -- [Ralph] Cioffi Documents, Vol. II

#### ***Box 4 of 11***

SEC -- [Ralph] Cioffi Documents, Vol. II  
[Ralph Cioffi, Miscellaneous]  
Cioffi Collateral Emails  
Bear Stearns Asset Management Investor Materials

#### ***Box 5 of 11***

Bear Stearns Asset Management Investor Materials (cont'd.)  
Bear [Stearns] Materials for Book  
[Miscellaneous Bear Stearns]

#### ***Box 6 of 11***

[Miscellaneous Bear Stearns] (cont'd.)  
Emails from Alan Schwartz  
Documents: Robert Upton Quotes

#### ***Box 7 of 11***

Background Articles on Shadow Banking  
FCIC Hearing on the Shadow Banking System, May 5-6, 2010, Commission Documents, Day 1

Simhai

***Box 8 of 11***

Document Production for Minutes and Supporting Documentation for Bear Stearns Board of Directors Meetings, BSC-FCIC 000000001 – BSC-FCIC 00000262  
Minutes and Memoranda relating to Bear Stearns Companies Inc, Board of Directors December 12, 2007 Meeting  
Bear Stearns 10-K and 10-Q 2007-2008  
Robert Upton: Search Results from Alan Schwartz's Emails

***Box 9 of 11***

Robert Upton: Search Results from Alan Schwartz's Emails (cont'd.)  
Shadow Banking and Bear Stearns  
CSE [Consolidated Supervised Entity Program]  
OPSRA [Office of Prudential Supervision and Risk Analysis] Securities and Exchange Commission

***Box 10 of 11***

Repos [Repurchase Agreements]  
Hearing 4 Background Materials: The Shadow Banking System

***Box 11 of 11***

[Notes re: Housing]  
[Handwritten Notes]  
[Maiden Lane]  
Lehman Brothers Inc.  
Hearing 2 Background Materials Federal Reserve

## **Jeffrey Smith's Files**

Box count: 1 LGA-S, 1 LTA-S

Arranged by subject

NAID: 24822223      HMS: HS1-93528148

This series contains email printouts, handwritten notes, documents supplied by Wells Fargo and Wachovia regarding derivatives, and documents supplied by the Federal Deposit Insurance Corporation regarding mortgage fraud.

### Folder List:

#### ***Box 1 of 2***

[Unlabeled]

Miscellaneous

Wells Fargo and Wachovia Productions

[Handwritten Notes]

#### ***Box 2 of 2***

FDIC Mortgage Fraud Production

## Thomas H. Stanton's Files

Box count: 9.4 LTA-S, 1 LGA-S  
Arranged roughly by subject  
NAID: 24822224      HMS: HS1-93528197

This series contains email printouts, handwritten notes, documents responsive to requests for information, draft findings, articles, industry-created data, Securities and Exchange Commission filings, briefing books, and other records. Subjects include preemption, housing goals, Fannie Mae and Freddie Mac, causes of the financial crisis, accounting practices, and others.

### Folder List:

#### ***Box 1 of 11***

Preemption

U.S. Commission on Civil Rights Initial Production: Relevant Documents

U.S. Commission on Civil Rights Supplemental Production: Relevant Documents

Summary of Investigative Findings on the Office of the Comptroller of the Currency and  
Preemption

[Memorandum for the Record: Susan Mills, 2/3/2010]

[Robert Monks]

[Preliminary Results of the Residential Mortgage Lender Peer Group Analysis, 11/1/2005]

[Office of the Comptroller of the Currency]

[Lisa Madigan]

[Bank of America Production]

[Office of Thrift Supervision]

[Goldman Sachs 10-K, 2009]

[Housing and Urban Development Correspondence to Fannie Mae and Freddie Mac, 4/24/2008]

#### ***Box 2 of 11***

[Citi]

[AIG]

[Federal Housing Finance Agency Documents]

[Securities and Exchange Commission Memo, 4/6/2009]

[Federal Reserve Bank of New York Document]

[Working Papers]

[Franklin Raines' Statement, 11/9/2010]

[Memorandum for the Record: Murray Barnes]

[Janet Yellin Interview, 11/15/2010]

[Memorandum for the Record: Michael Alix, 4/8/2010]

[Rich Spillenkothen, 3/31/2010]

[Peter Wallison Draft Dissent, 12/17/2010]

[Fannie Mae/Freddie Mac]

**Box 3 of 11**

[Fannie Mae/Freddie Mac] (cont'd.)  
Briefing Book for the Forum to Explore the Causes of the Financial Crisis, 2/26-27/2010  
[Lehman Brothers]  
[Wachovia]  
[Department of the Treasury]

**Box 4 of 11**

[Madelyn Antoncic – Lehman Brothers]  
Final Report of the Financial Crisis Inquiry Commission [Country Case Studies, Draft #2]  
8/12/2010  
[Testimony of the Center for Responsible Lending]  
[U.S. Bankruptcy Court for the Delaware District in re: New Century TRS Holdings, Inc.]  
[Articles and Publications]

**Box 5 of 11**

[Articles and Publications] (cont'd.)  
Origination Practices: Company Documents, Statements, Cases

**Box 6 of 11**

[Standard & Poor's Summary of Lehman Brothers, 4/3/2008]  
[Documents from AIG]  
[Citigroup re: Liquidity Put Valuation]  
[Documents from the Office of Federal Housing Enterprise Oversight]  
[Documents from the Securities and Exchange Commission]  
[Documents from the Office of the Comptroller of the Currency]  
[Lehman Brothers: Default Scenario]  
[Treasury Department Document "Fannie Mae Insolvency and Its Consequences"]  
[Documents from the Federal Housing Finance Agency]  
[Document from Federal Deposit Insurance Corporation: "Initial Risk Analysis of Bank Holding Companies with Trust Preferred Securities"]  
[Documents from Citi]  
[Documents from the Federal Reserve Bank of New York]

**Box 7 of 11**

[Documents from the Federal Reserve]  
[Documents from Fannie Mae and Freddie Mac]

**Box 8 of 11**

[Documents from Fannie Mae and Freddie Mac] (cont'd.)  
[Articles and Publications]

**Box 9 of 11**

[Working Papers]  
[People of California v. Countrywide Financial Corporation]  
[Securities and Exchange Commission v. Mozilo, Sambol, and Sieracki]

[Memo re: Interview with Adolfo Marzol]  
[Memorandum for the Record: Bob Lewis, 6/15/2010]  
[Memorandum for the Record: Kevin McGinn, 6/10/2010]  
[Memorandum for the Record: Anurag Saksena, 6/22/2010]  
[Memorandum for the Record: Mike Quinn, 3/10/2010]  
[Memorandum for the Record: Charles Prince, 3/17/2010]  
[Memorandum for the Record: Jim Embersit, 9/24/2010]  
[Memorandum for the Record: Vikram Pandit, 3/11/2010]  
[Memorandum for the Record: Pat Parkinson, 3/30/2010]  
[Statement of James B. Lockhart, 4/9/2010]  
[Statement of Daniel H. Mudd, 4/9/2010]  
[Henry Paulson Interview Excerpts, 4/2/2010]  
[Interview of David Bushnell, 4/1/2010]  
[Interview with Dugan]  
[Interview of Brian R. Leach, 3/4/2010]  
[Interview of Jay Eisbruck, 4/19/2010]  
[John G. Stumpf]  
[Draft: Role of Accounting During the Financial Crisis]  
[Draft: The Community Reinvestment Act and the Mortgage Crisis]  
[“Regulatory Inaction, Preemption, and Predatory Lending”]  
[Draft: Summary of the Investigative Findings on the Office of the Comptroller of the Currency and Preemption]

***Box 10 of 11***

[Draft: Investigative Findings on Fannie Mae]  
[Draft: Preliminary Investigative Report on Wachovia]  
[Draft: Investigative Findings on Lehman Brothers]  
[Draft: Preliminary Investigative Report on Countrywide]  
[Draft: Preliminary Investigative Report on Credit Ratings and the Financial Crisis]  
[Draft: Investigative Findings on Bear Sterns]  
[Draft: Topical Investigative Report on Compensation]  
[Draft: Borrowers and the Financial Crisis]  
[Draft: Report on the Office of Thrift Supervision’s Supervision of Thrifts and Savings and Loan Holding Companies]  
[Draft: Mortgage Fraud Update]  
[Draft: Preliminary Investigative Report on the Federal Reserve’s Regulation of Subprime Mortgage Lending]  
[Draft: Investigative Findings on GE Capital]

***Box 11 of 11***

[Draft: Preliminary Investigative Report on AIG]  
[Draft: Investigative Findings on Governance, Risk Management, and the Financial Crisis]  
[Draft: Preliminary Investigative Findings on Citigroup]  
[“Reforming Prudential Supervision and Building a Safer Financial System”]



## **Landon Stroebel's Files**

Box count: 1 LGA-S

Arranged by subject

NAID: 24822275      HMS: HS1-93528245

This series contains published Moody's reports about asset-backed commercial paper, news articles, handwritten notes, and hedge fund reports.

### Folder List:

#### ***Box 1 of 1***

SIVS [Structured Investment Vehicles] and ABCP [Asset-Backed Commercial Paper]

Notes on Market Risk Survey

Firm Level Survey Response

[Handwritten Notes]

Cash Management Pools

Hedge Fund Reports

## Melana Vickers' Files

Box count: 1.4 LTA-S

Arranged by subject

NAID: 24823029      HMS: HS1-93528335

This series contains interview notes, news articles, and Federal Reserve-supplied documents. The records pertain to the Federal Reserve.

### Folder List:

#### ***Box 1 of 2***

Interview Notes for Netdocs

[FCIC Interviews with Susan Bies and Paul Volker]

Fed[eral Reserve] Docs

[FCIC Interview with Sabeth Siddique, 9/9/2010]

[FCIC Interview with Ben Bernanke, 11/17/2009]

The Federal Reserve System's Role in Protecting Consumers, 7/16/ 2009

*The Washington Post*, "Bernanke Testifies Before House Budget Committee: Hearing on Long-Term Economic Outlook" [2/28/2007]

FCIC Interview of Seth Carpenter, 9/20/2010

#### ***Box 2 of 2***

FCIC Interview with Lawrence H. Summers, 5/28/2010

[Federal Reserve Document], 11/1/2005

Exhibit 1, Detailed Comments, Institutions Designated High and Moderate Risk

Memo, Sandra L. Thompson, Acting Director, FDIC, to National Risk Committee, 9/13/2006,  
Risk Analysis Center Mortgage Credit Trends Project – Residential Mortgage Review  
Program

Standard & Poors, Structured Finance, Issuer Alert, Increasing Option ARM Credit Support To  
Address The Probability of Default, 7/26/2005

## George Wahl's Files

Box count: 5 LTA-S

Arranged by subject

NAID: 24823030      HMS: HS1-93528370

This series contains news articles, court documents, published reports, email printouts, Department of Justice (DOJ) Office of the Inspector General and Federal Bureau of Investigation audits, Financial Crimes Enforcement Network reports. Also included are records relating to Florida mortgage fraud, Mortgage Bankers Association, DOJ lawsuits, and the Illinois Attorney General. The records pertain to mortgage fraud.

### Folder List:

#### ***Box 1 of 5***

DOJ-OIG FBI Audits  
Safe Mortgage Licensing Act of 2008  
Tom Doyle, MBA [Mortgage Bankers Association]  
Nevada Mortgage Fraud  
Eileen Foster, Countrywide  
Tony Accetta, Bear Stearns  
Rachel Steinmetz v. Capital One

Miscellaneous Mortgage Fraud:  
IRS  
Laws/Bills  
Mortgage Fraud Articles  
OCC  
Center for Responsible Lending  
Federal Reserve [1 of 2]

#### ***Box 2 of 5***

Federal Reserve [2 of 2]  
Mortgage Bankers Association:  
    MBA Production  
    MBA Reports  
    MBA Document Request and Bryan Cave Emails  
    MBA Fraud Meeting Minutes  
    MBA and MARI [Mortgage Asset Research Institute]  
    Jonathan Kempner  
MARI [Mortgage Asset Research Institute]:  
    MARI  
    MARI Document Request  
Regulating Agencies:  
    FinCEN  
    FinCEN Interrogatories  
    FinCEN Bank Reports  
    FDIC

#### ***Box 3 of 5***

MF/RMBS/CDO Lawsuits  
DOJ/FBI:

Wahl

Chris Swecker

FBI/DOJ

“The SAR Activity Review: Trends Tips & Issues,” Oct. 2009

“Filing Trends in Mortgage Loan Fraud”

Alberto Gonzales

DOJ [1 of 2]

***Box 4 of 5***

DOJ [2 of 2]

Madigan, Illinois Attorney General

[Mortgage Fraud, General]

Darcy Parmer v. Wells Fargo Docs.

Center for Responsible Lending

***Box 5 of 5***

Amy Stroup v. BB&T

Ann Fulmer Testimony

Florida Mortgage Fraud

Miami Field Hearing

[Orson Benn Case – Florida]

Fannie Mae/FHFA/OFHEO:

Fannie Mae

FHFA/OFHEO

OFHEO Performance and Accountability Reports, 2005-2007

House Committee on Housing and Commercial Opportunities Testimonies, 10/7/2004

Miami-Dade Police Dept

BSA [Bank Secrecy Act] Rules

## Tucker Warren's Files

Box count: 1 LTA-S

Arranged by subject

NAID: 24823031      HMS: HS1-93528406

This series contains handwritten notes, website screen shots, drafts of a section of the final report, and a briefing binder for the Commission's Jan. 27, 2011, meeting. Subjects include the Commission's website, the rollout and media strategy for the release of the final report.

### Folder List:

#### ***Box 1 of 1***

[Handwritten Notes]

EPIQ Work Order

[Final Report]

Website

Flashing Yellow

[Articles]

Financial Crisis Inquiry Commission Briefing Binder, 01/27/2011 [2 copies]

## Cassidy D. Waskowicz's Files

Box count: 2.5 LTA-S, 1 LGA-S

Arranged by subject

NAID: 24823032      HMS: HS1-93528441

This series contains routine administrative records of the commission as well as memoranda and some research materials. Subjects include potential referrals to the Attorney General; Wells Fargo's unassisted bid; American International Group (AIG); and the administration of the commission, especially printing the commission's final report and personnel issues.

### Folder List:

#### ***Box 1 of 4***

[Handwritten Notes]

General Services Administration (GSA)

Financial

FCIC Rules

Correspondence

Contractors/Epiq

Contractors/LexisNexis

Contractors/Spieler Agency

Communications

Budget

Oath of Office

Signature Cover Page

Confidentiality Agreements (Agencies)

FCIC Staff Meetings

Book Agreement

Personnel/Consultants

Personnel/Detailees

Personnel/Org[anization] Charts

Personnel/Employee Manual

Personnel/Health Care

Personnel/Hiring

Signed Staff Ethics Policies

Wells Fargo "Unassisted Bid" Research

Confidentiality Letters and Employment

Contract Issues

#### ***Box 2 of 4***

Little, Brown & Company Contract

Signed Staff Confidentiality Agreements

American International Group (AIG) Research

FCIC Referrals [to Attorney General]

#### ***Box 3 of 4***

FCIC Referrals [to Attorney General] (cont'd.)

[Table of Objections to Use of Quotes in Final Report]

[Miscellaneous]

Audio File Release Materials

[Alphabetical Correspondence File]

#### ***Box 4 of 4***

[Alphabetical Correspondence File] (cont'd.)

Vetting Information

## **Sarah Zuckerman's Files Relating to Document Production**

Box count: 6 LTA-S, 1 LGA-S

Arranged by subject

NAID: 24823130      HMS: HS1-93528580

This series includes correspondence accompanying responses to document production requests from the FCIC to financial institutions and government agencies, documents provided by Joseph Cassano's counsel, and incoming correspondence. This series also includes Carl McCarden's notes on the interview with Dan Sparks. Subjects include Joseph Cassano, FCIC document requests, and confidentiality of responses.

### Folder List:

#### ***Box 1 of 7***

Document Productions – Original Productions – Vol. 1: 3/19 and prior

Document Productions – Original Productions – Vol. 2: 3/22 – 5/13

#### ***Box 2 of 7***

Document Productions – Original Productions – Vol. 2: 3/22 – 5/13 (cont'd.)

Document Productions – Original Productions – Vol. 3: 5/12 – 6/4

Document Productions -- Original Productions -- Vol. 4: 6/5 – 7/26

#### ***Box 3 of 7***

Document Productions -- Original Productions -- Vol. 4: 6/5 – 7/26 (cont'd.)

Document Productions -- Original Productions -- Vol. 5: 7/27 – 8/18

Document Productions -- Original Productions -- Vol. 6: 8/20 – 10/6

#### ***Box 4 of 7***

Document Productions -- Original Productions -- Vol. 6: 8/20 – 10/6 (cont'd.)

[Joseph] Cassano – Docs Provided by Cassano's Counsel

#### ***Box 5 of 7***

Correspondence Regarding Legal Clearance

Misc. Correspondence

Department of Labor Documents

Goldman Sachs Production Correspondence

#### ***Box 6 of 7***

Goldman Production Cover Letters and Correspondence

Dan Sparks Interview Notes (Carl McCarden)

Original Production Letters

#### ***Box 7 of 7***

Federal Housing Finance Agency Document Request

Zuckerman

Documents Received from [Federal Housing Finance Agency] on 3/12  
Miscellaneous Document Productions – Societe Generale and Vanderbilt Capital Advisors



## General Files

Box count: 24.8 LTA-S, 6 LGA-S

Arranged by subject

NAID: 24823131      HMS: HS1-93407528

This series contains documents supplied by financial institutions and oversight agencies, Securities and Exchange Commission filings, correspondence, handwritten notes, summaries of interviews, materials supportive of commission hearings, articles, and other records. Subjects include too big to fail, Clayton Holdings, collateralized debt obligations, subprime mortgages, shadow banking, credit rating agencies, the Community Reinvestment Act, and others.

### Folder List:

#### ***Box 1 of 31***

Hearing 4 Documents, P[anel] 2

Hearing 2/3 Documents, Day 1

#### ***Box 2 of 31***

Hearing 2/3 Hearing, Day 3

Morgan Stanley 10K Annual Report, 2006-2008

JP Morgan 10K Annual Report, 2006-2008

#### ***Box 3 of 31***

Goldman Sachs 10K Annual Report, 2006-2008

Discovery - Response to Document Production Request: Bank of America

Hearing 1 – Response and Follow-up Letter: Bank of America

Correspondence: Barclays PLC

Correspondence: Bass, J. Kyle

Hearing 1 – Response to Follow-up Letter: Bass, J. Kyle

Correspondence: BNY Mellon

Discovery - Response to Document Production Request: Band of New York Mellon

Correspondence: (Texas Securities Commissioner) Crawford, Denise

Hearing 1 – Response to Follow-up Letter: Crawford, Denise Vogt

Correspondence: Deloitte

Correspondence: Department of Justice

Discovery – Response to March 8 Email Request: Department of Justice

Discovery - Response to Document Production Request: Department of Justice

Correspondence: Department of Labor

Discovery - Response to Document Production Request: Department of Labor

Discovery - Response to Document Production Request: Fannie Mae

Correspondence: Federal Deposit Insurance Corporation

Hearing 1 – Response to Follow-up Letter: Sheila Bair, Federal Deposit Insurance Corporation

Correspondence: Federal Reserve

**Box 4 of 31**

Correspondence: Federated Investors, Inc.  
Correspondence: Fidelity Investments  
Correspondence: Goldman Sachs  
Hearing 1 – Response to Follow-up Letter: Goldman Sachs  
Hearing 2/3 – Response to Follow-up Letter: Citigroup, Inc.  
Hearing 2/3 – Response to Follow-up Letter: Greenspan, Alan  
Hearing 2/3 – Response to Follow-up Letter: Levin, Robert J.  
Hearing 2/3 – Response to Follow-up Letter: Mudd, Daniel H.  
Discovery – Response to Document Production Request: Housing and Urban Development  
Correspondence: JPMorgan Chase  
Hearing 1 – Response to Follow-up Letter: JPMorgan Chase  
Correspondence: Lehman Brothers  
Hearing 1 – Response to Follow-up Letter: Madigan, Lisa  
Correspondence: MBIA, Inc.  
Correspondence: McCleskey, Scott  
Correspondence: (State Street) Meier, Steve  
Correspondence: Merrill Lynch  
Discovery – Response to Document Production Request: Morgan Stanley  
Hearing 1 – Response to Follow-up Letter: Morgan Stanley  
Correspondence: Mortgage Bankers Association  
Correspondence: New York State Banking Department  
Correspondence: Henry M. Paulson  
Discovery – Response to Document Production Request re: Bear Sterns & Lehman Brothers:  
Securities and Exchange Commission  
Hearing 1 -- Response to Follow-up Letter: Mary Shapiro, Securities and Exchange Commission  
Correspondence: (Colorado Attorney General's Office) Suthers, John W.  
Hearing 1 – Response to Follow-up Letter: Theobald, Glenn

**Box 5 of 31**

Bank of America 10K Annual Report 2006  
Bank of America 10K Annual Report 2007  
Morgan Stanley Securities and Exchange Commission Filings, Vol. 1 of 3

**Box 6 of 31**

Morgan Stanley Securities and Exchange Commission Filings, Vol. 1 of 3 (cont'd.)  
NCUA [National Credit Union Administration] Production  
NCUA [National Credit Union Administration]

**Box 7 of 31**

NCUA [National Credit Union Administration] 2/12 Production

**Box 8 of 31**

Principal Global Investors Production  
Miscellaneous Research: Mike Mayo, American International Group, Federal Reserve Bank of  
New York

General

Journal of Economic Perspectives – Winter 2010 – Articles  
GMAC [General Motors Acceptance Corporation] Articles

**Box 9 of 31**

[Repurchase Agreements]  
Background Articles on Shadow Banking  
SEIU [Service Employees International Union]

**Box 10 of 31**

Citigroup Mortgage-Related Litigation, Vol. 2  
Lehman Brothers Emails, Vol. 4

**Box 11 of 31**

Lehman Brothers Emails, Vol. 4 (cont'd.)  
Lehman Brother Emails, Vol. 5  
Agencies/Fed  
Bailout Programs  
Book/ Chapter 2  
Book/Outline  
Outline  
Contacts/Database  
Corporate Governance  
Meeting with Delegation of the European  
Parliament CRISIS Committee,  
6/8/2010

Document Management  
[Encore Discovery Solutions]  
[Executive Director's] Reports  
Fact Checking  
Roundtable Letters  
Forum  
Geithner  
Hearing 1  
Hearing 2/3  
Hearing 2/3: Housing Data  
Hearing 2/3: Take-Aways

**Box 12 of 31**

Affordable Housing Goals  
[Community Reinvestment Act] Staff Report  
Greenspan Letter  
[Government Sponsored Enterprises] Staff Report  
Housing Investigation  
Housing Staff Report  
Mortgage Market Staff Report  
Securitization Staff Report  
Hearing 4: Shadow Banking  
Shadow Banking Investigation  
Shadow Banking [Research and Investigation]  
Shadow Banking Take-Aways  
Derivatives Research and Investigation  
Credit Rating Agencies Research and Investigation Plan  
Hearing 5a: PSR [Preliminary Staff Report]  
Hearing 5a: Credit Rating Agencies  
(Potential) Panel on Credit Rating Agencies  
Hypotheses  
Macro Working Group

General

Pinto

Witness List

[Spreadsheets]

Bank of America 10k Annual Report 2008

***Box 13 of 31***

Bank of America 10k Annual Report 2008 (cont'd.)

Documents produced by Joseph St. Denis

Documents produced by Price Waterhouse Coopers on 5/25/2010

ACA ABACUS 00001030 – ACA ABACUS 00001520

***Box 14 of 31***

ACA ABACUS 00001030 – ACA ABACUS 00001520 (cont'd.)

ACA ABACUS 00001521 – ACA ABACUS 00001524

ACA ABACUS 00001525 – ACA ABACUS 00001528

ACA ABACUS 00001532 – ACA ABACUS 00001535

ACA ABACUS 00001536 – ACA ABACUS 00001722

ACA ABACUS 00001723 – ACA ABACUS 00001726

ACA ABACUS 00001727 – ACA ABACUS 00001730

ACA ABACUS 00001731 – ACA ABACUS 00001734

ACA ABACUS 00001735 – ACA ABACUS 00001736

ACA ABACUS 00001737 – ACA ABACUS 00001741

ACA ABACUS 00004382 – ACA ABACUS 00004386

ACA ABACUS 00004398 – ACA ABACUS 00004399

ACA ABACUS 00004400 – ACA ABACUS 00004405

ACA ABACUS 00004406 – ACA ABACUS 00004412

ACA ABACUS 00004413 – ACA ABACUS 00004454

ACA ABACUS 00004455 – ACA ABACUS 00004766

Hearing 2/3, Day 2

***Box 15 of 31***

Hearing 2/3, Day 2 (cont'd.)

Hearing 5A, Documents, P. 2

Hearing 5A Community Reinvestment Act Documents, P. 1

Hearing 5 Documents

Too Big To Fail Hearing Documents

***Box 16 of 31***

Too Big To Fail Hearing Documents (cont'd.)

Hearing 4 Redacted Documents

Hearing 4 Documents, P. 1

***Box 17 of 31***

FCIC Response Documents (HomeSaver Advance)

Mortgage Insurance

Federal Housing Finance Agency Response: Mortgage Insurance Documents, March 2010

General

FCIC Request: Documents for Fannie Mae  
Midyear Letter for 2008  
Private Label Securities  
Operations, including End User Computers  
Supervision Handbook, as of 1/2007

***Box 18 of 31***

FCIC Request: Documents for Fannie Mae (cont'd.)  
Reserve Management Company  
Reserve Primary Fund Documents  
[Handwritten Notes]  
Managing Timing on 2008 Downgrade  
Emails to McDaniel re: July 2007 Downgrade  
Moody's Is Least Accurate  
March 2008  
Is McDaniel Out of Touch?  
"Servicers Not Modifiers" – Weill  
"How Common is Mass Downgrade?" – Answers Weill  
7/10/2007 Moody's Announcement: Downgrade of Subprime First-Lien [Residential Mortgage-  
Backed Securities]

***Box 19 of 31***

Clayton Holdings  
Clayton Holdings – Interviews  
Call Logs  
[Interview Notes]  
Federal Reserve Board Documents Responsive to Requests #6 – 8, 2/10/2010

***Box 20 of 31***

Federal Reserve Board Documents Responsive to Requests #6 – 8, 2/10/2010 (cont'd.)  
Federal Reserve Board Documents Responsive to Request #9, 2/10/2010  
Federal Reserve Board Production of 3/26/2010, Part 1

***Box 21 of 31***

Federal Reserve Board Production of 3/26/2010, Part 1 (cont'd.)  
Federal Reserve Board Production of 3/26/2010, Part 2

***Box 22 of 31***

GMAC [General Motors Acceptance Corporation] Articles  
Repos [Repurchase Agreements] [Articles]  
Federal Reserve Produced Documents re: Citi, 1/19/2010  
[Asset-Backed Securities Proposed Rule – Securities and Exchange Commission]  
[Securities Offering Reform Final Rule – Securities and Exchange Commission]

***Box 23 of 31***

[Securities Offering Reform Final Rule – Securities and Exchange Commission] (cont'd.)

General

[Repurchase Agreements]  
[Citigroup Articles]  
[Federal Reserve Bank of New York-Supplied Documents]

**Box 24 of 31**

[Federal Reserve Bank of New York-Supplied Documents] (cont'd.)

**Box 25 of 31**

[Federal Reserve Bank of New York-Supplied Documents] (cont'd.)  
[Office of Thrift Supervision Documents re: GE Capital]

**Box 26 of 31**

[Office of Thrift Supervision Documents re: GE Capital](cont'd.)  
President's Working Group on Financial Markets  
Lawrence Summers Interview, 5/28/2010  
FINRA [Financial Industry Regulatory Authority] Documents Produced (Arbitration Cases v. Citi)

**Box 27 of 31**

FINRA [Financial Industry Regulatory Authority] Documents Produced (Arbitration Cases v. Citi) (cont'd.)  
JPMorganChase Earnings Call Transcripts and Press Releases

**Box 28 of 31**

JPMorganChase Earnings Call Transcripts and Press Releases (cont'd.)  
Witness File: Lisa Madigan  
Witness File: John W. Suthers  
Witness File: Glenn Theobald  
Witness File: Denise Voight Crawford  
Witness File: Michael Mayo  
[Stuart] McFarland Witness File: Seefer Notes  
Witness File: James Lockhart

**Box 29 of 31**

Witness File: James Lockhart (cont'd.)  
Goldman Stock Price History  
Research File: Materials Provided by Goldman [Sachs]  
Research File: Goldman [Sachs] Prospectus Supplement, 2/26/2007  
Research File: Goldman [Sachs] Prospectus Supplement, 12/27/2006  
Hearing Documents: Federal Reserve Bank of New York Documents Produced 1/21/2010

**Box 30 of 31**

Bank of America Research for Hearing 1: Federal Reserve Board Documents Produced  
1/26/2010  
Chad Scherr  
Robert Eric Karoly

General

Michael Levy  
Jeff Gates  
Breedon, Joseph  
Cadden, Hugh (Institute for Regulatory Training)  
Cunningham, William  
Kravitz, Richard  
Marilyn Howard

***Box 31 of 31***

Arthur J. Riel  
AIG [American International Group] Proxy

## Writers' Research Files

Box count: 11 LTA-S

Arranged by roughly by section of the final report

NAID: 24823132      HMS: HS1-93528508

This series contains records compiled by the commission's writing team of Kirstin Downey and Alexis Simendinger. It includes drafts of the final report, research data and articles, court records, briefing books, and memoranda for the record. Subjects include mortgage fraud, collateralized debt obligations, Consumer Advisory Council, shadow banking, government sponsored enterprises, and others.

### Folder List:

#### ***Box 1 of 11***

Defaults by Zip Code

FBI – DOJ Mortgage Fraud Investigations

[Miscellaneous Research Material]

Statement of Mark M. Fleming at Sacramento, CA Field Hearing, 9/23/2010

Statement of John C. Dugan, Comptroller of the Currency before the FCIC, 4/8/2010

Draft of Preliminary Investigative Report on the Federal Reserve's Regulation of Subprime  
Mortgage Lending

Draft Topical Investigative Report on Securitization: Misleading Disclosure

#### ***Box 2 of 11***

Preliminary Draft Investigative Findings on Bear Stearns

Preliminary Draft Investigative Findings on Lehman Brothers

Draft of Preliminary Investigative Report on AIG

Preliminary Draft Investigative Findings on Fannie Mae

Mortgage Fraud

Section IV – Economic Impact

#### ***Box 3 of 11***

Section IV – Economic Impact (cont'd.)

FCIC Section IV Information and Report Data

Federal Home Loan Bank of Chicago v Bank of America, et al in the Circuit Court of Cook  
County, IL

Cambridge Place Investment Management, Inc. v Morgan Stanley, et al. in the Commonwealth  
of Massachusetts

State of Florida v Scott Almeida, et al. in the Tenth Federal Judicial Circuit

#### ***Box 4 of 11***

Testimony, Transcripts, and Summaries

CDO [Collateralized Debt Obligations] Underwriters Document Requests

CDO [Collateralized Debt Obligation] Managers Document Requests



Bakersfield Hearing Transcript

**Box 5 of 11**

Sacramento [Field Hearing]

Miami [Field Hearing]

Las Vegas [Field Hearing]

Briefing Book: Hearing on the Impact of the Financial Crisis – Greater Bakersfield, 9/7/2010

Briefing Book: Hearing on the Impact of the Financial Crisis – State of Nevada, 9/8/2010

**Box 6 of 11**

Briefing Book: Hearing on the Impact of the Financial Crisis – Miami, FL, 9/21/2010

Briefing Book: Financial Crisis at the Community Level – Sacramento, CA, 9/23/2010

Federal Reserve's Consumer Advisory Council Meeting (CAC): 3/25, 6/24, 10/28/2004

Federal Reserve's Consumer Advisory Council Meeting (CAC): 3/17/2005

Federal Reserve's Consumer Advisory Council Meeting (CAC): 6/23/2005

Federal Reserve's Consumer Advisory Council Meeting (CAC): 10/27/2005

Federal Reserve's Consumer Advisory Council Meeting (CAC): 3/30/2006

**Box 7 of 11**

Federal Reserve's Consumer Advisory Council Meeting (CAC): 6/22/2006

Federal Reserve's Consumer Advisory Council Meeting (CAC): 10/26/2006

Federal Reserve's Consumer Advisory Council Meeting (CAC): 3/8/2007

Federal Reserve's Consumer Advisory Council Meeting (CAC): 6/21/2007

Federal Reserve's Consumer Advisory Council Meeting (CAC): 10/25/2007

Federal Reserve's Consumer Advisory Council Meeting (CAC): 10/23/2008

Federal Reserve's Consumer Advisory Council Meeting (CAC): 6/18/2009

Federal Reserve's Consumer Advisory Council Meeting (CAC): 10/22/2009

Federal Reserve's Consumer Advisory Council Meeting (CAC): 3/25/2010

**Box 8 of 11**

[Transcript of Federal Reserve Board Public Hearing on Building Sustainable Homeownership:  
Responsible Lending and Informed Consumer Choice – Chicago, 6/7/2006]

[Transcript of Federal Reserve Board Public Hearing on Building Sustainable Homeownership:  
Responsible Lending and Informed Consumer Choice – Philadelphia, 6/9/2006]

[Transcript of Federal Reserve Board Public Hearing on Building Sustainable Homeownership:  
Responsible Lending and Informed Consumer Choice – San Francisco, 6/16/2006]

[Transcript of Federal Reserve Board Public Hearing on Building Sustainable Homeownership:  
Responsible Lending and Informed Consumer Choice – Atlanta, July 11, 2006]

Federal Reserve Board, Home Ownership and Equity Protection Act (HOEPA) Public Hearing,  
8/14/2007

**Box 9 of 11**

Hearing 1 Transcripts

Hearing 2 Background Materials re: Citigroup

Hearing 2 Background Materials re: Federal Reserve

Writers' Research

Hearing 2 Background Materials re: Office of the Comptroller of the Currency; [Government Sponsored Enterprises and Office of Federal Housing Enterprise Oversight]  
Hearings 2 & 3 Transcripts

***Box 10 of 11***

Hearing 4 Transcripts

Hearing 5 Transcripts

For The Record

Supporting Materials FORUM

***Box 11 of 11***

Background on [Government Sponsored Enterprises] -- Documents from Peter Wallison  
Shadow Banking Memoranda for the Record, 5/5/2010 and 5/6/2010

## **Incoming Correspondence to the Commission**

Box count: 2.5 LTA-S

Unarranged

NAID: 24823280      HMS: HS1-93415779

This series contains incoming public correspondence detailing their financial problems and/or allegations of financial institutions' improprieties.

### Folder List:

#### ***Box 1 of 3***

Misc. Correspondence Letters, Faxes and Emails

#### ***Box 2 of 3***

Misc. Correspondence Letters, Faxes and Emails

#### ***Box 3 of 3***

Misc. Correspondence Letters, Faxes and Emails

# Bank of America's Securities and Exchange Commission Filings

Box count: 5 LTA-S

Arranged by volume number

NAID: 24823281      HMS: HS1-92098309

This series contains printouts of filings made by Bank of America to the Securities and Exchange Commission.

## Folder List:

### ***Box 1 of 5***

Bank of American Securities and Exchange Commission Filings, Vol. 1

Bank of American Securities and Exchange Commission Filings, Vol. 2

### ***Box 2 of 5***

Bank of American Securities and Exchange Commission Filings, Vol. 3

Bank of American Securities and Exchange Commission Filings, Vol. 4

### ***Box 3 of 5***

Bank of American Securities and Exchange Commission Filings, Vol. 5

Bank of American Securities and Exchange Commission Filings, Vol. 1

### ***Box 4 of 5***

Bank of American Securities and Exchange Commission Filings, Vol. 2

Bank of American Securities and Exchange Commission Filings, Vol. 3

### ***Box 5 of 5***

Bank of American Securities and Exchange Commission Filings, Vol. 4

Bank of American Securities and Exchange Commission Filings, Vol. 5

## Files of Bear Stearns

Box count: 3 LTA-S

Arranged by subject

NAID: 24823282      HMS: HS1-92098289

This series contains email printouts, primarily from James Cayne and Alan Schwartz (both former Chief Executive Officers of Bear Stearns) regarding Sam Molinaro (former Chief Financial Officer of Bear Stearns), Warren Spector (former President of Bear Stearns), and Michael Alix (former Risk Officer at Bear Stearns).

### Folder List:

#### ***Box 1 of 3***

[James E.] Cayne Emails Mentioning [Warren J.] Spector

Sam Molinaro: Search Result from Alan Schwartz's Emails (Response to Request of 2/18/2010)

#### ***Box 2 of 3***

Michael Alix, Vol. 1: Search Result from Alan Schwartz's Emails (Response to Request of 2/18/2010)

Michael Alix, Vol. 2: Search Result from Alan Schwartz's Emails (Response to Request of 2/18/2010)

#### ***Box 3 of 3***

Michael Alix, Vol. 3: Search Result from Alan Schwartz's Emails (Response to Request of 2/18/2010)

Michael Alix, Vol. 4: Search Result from Alan Schwartz's Emails (Response to Request of 2/18/2010)

## **Federal Housing Finance Authority Production Files**

Box count: 2 LGA-S, 0.4 LTA-S

Arranged by subject

NAID: 24823288      HMS: HS1-93416970

This series contains documents from Fannie Mae and Freddie Mac produced by the Federal Housing Finance Agency, press releases, and agency publications.

### Folder List:

#### ***Box 1 of 3***

FHFA Production to FCIC No. 5: 2004 Proposed Rule and Fannie Mae Comments

FHFA Production to FCIC No. 6: Comments of Federal Home Loan Mortgage Corp on HUD's  
Proposed Housing Goals...

Fannie Mae and Freddie Mac Data Analysis Reports

#### ***Box 2 of 3***

Freddie [Mac] Subprime Inquiry

Subprime Mortgages

Feasibility – 2007 Goals

Topical Comment Summary

Comment Summary by Comment Number

GSA Comment Summary

Organization Type – Comment Summary

Priority Rank – Comment Summary

FHFA Production to FCIC No. 7

OFHEO's [Office of Federal Housing Enterprise Oversight] "Mortgage Markets and the  
Enterprises in 2007," July 2008

2004 Rule – Comments

#### ***Box 3 of 3***

2004 Final Rule Regulatory Analysis of HUD's Regulation of Fanny Mae and Freddie Mac

## **Federal Housing Finance Authority Press Releases**

Box count: 1.3 LTA-S

Arranged chronologically by year

NAID: 24823289      HMS: HS1-93407337

This series contains press releases of the Federal Housing Finance Authority from 2005, 2006, and 2008 and press releases of the Office of Federal Housing Enterprise Oversight from 2007.

### Folder List:

#### ***Box 1 of 2***

Office of Federal Housing Enterprise Oversight Press Releases, 2007

Federal Housing Finance Agency News Releases (2005-2006)

#### ***Box 2 of 2***

Federal Housing Finance Agency News Releases (2008)

## **Federal National Mortgage Association (Fannie Mae) Minutes and Other Files**

Box count: 20 LTA-S, 1 LGA-S  
Arranged roughly chronologically  
NAID: 24823290      HMS: HS1-93415641

This series contains minutes of meetings of the Federal National Mortgage Association (Fannie Mae) Board of Directors and its various committees from 2004 through 2008; court records; handwritten notes; and press articles.

### Folder List:

#### ***Box 1 of 21***

FNMA Board Documents: Vol. 1, 1/2008  
FNMA Board Documents: Vol. 2, 2/2008

#### ***Box 2 of 21***

FNMA Board Documents: Vol. 3, 3-5/2008  
FNMA Board Documents: Vol. 4, 6-7/2008

#### ***Box 3 of 21***

FNMA Board Documents: Vol. 4, 6-7/2008 (cont'd.)  
FNMA Board Documents: Vol. 5, 7-8/2008  
FNMA Board Documents: Vol. 6, Compensation Committee Materials, 1-9/2007

#### ***Box 4 of 21***

FNMA Board Documents: Vol. 6, Compensation Committee Materials, 1-9/2007 (cont'd.)  
FNMA Board Documents: Vol. 7, Audit Committee Deliverables, 2007 & Risk Policy and Capital Committee Minutes, 3/6/2007  
FNMA Board Documents: Vol. 8, Risk Policy & Capital Committee; Board Meetings, 1/2007

#### ***Box 5 of 21***

FNMA Board Documents: Vol. 9, 1-4/2007  
FNMA Board Documents: Vol. 10, 5-8/2007

#### ***Box 6 of 21***

FNMA Board Documents: Vol. 11, 9-10/2007  
FNMA Board Documents: Vol. 12, 11-12/2007; Compliance Committee Materials

#### ***Box 7 of 21***

FNMA Board Documents: Vol. 13, 2005 10-K (Drafts 1.2 and 3), 2007  
FNMA Board Documents: Vol. 14, 2005 10-K (Draft 1.2); Audit Committee Materials, 2007



***Box 8 of 21***

FNMA Board Documents: Vol. 14, 2005 10-K (Draft 1.2); Audit Committee Materials, 2007 (cont'd.)

FNMA Board Documents: Vol. 15, Audit Committee Materials, 2007

FNMA Board Documents: Vol. 16, Audit Committee Materials, 7-12/2007

***Box 9 of 21***

FNMA Board Documents: Vol. 16, Audit Committee Materials, 7-12/2007 (cont'd.)

FNMA Board Documents: Vol. 17, Weekly Business Review, 1-10/2007

FNMA Board Documents: Vol. 18, 1-7/2006

***Box 10 of 21***

FNMA Board Documents: Vol. 19, 7-11/2006

FNMA Board Documents: Vol. 20, 11-12/2006; Risk Policy & Capital Committee Materials, 2006

***Box 11 of 21***

FNMA Board Documents: Vol. 20, 11-12/2006; Risk Policy & Capital Committee Materials, 2006 (cont'd.)

FNMA Board Documents: Vol. 21, Compensation Committee Materials, 2006

FNMA Board Documents: Vol. 22, Audit Committee Deliverables, 2006

***Box 12 of 21***

FNMA Board Documents: Vol. 22, Audit Committee Deliverables, 2006

FNMA Board Documents: Vol. 23, Audit Committee Materials, 2006

***Box 13 of 21***

FNMA Board Documents: Vol. 24, Audit and Compliance Committees Materials, 2006

FNMA Board Documents: Vol. 26, 5-11/2005

***Box 14 of 21***

FNMA Board Documents: Vol. 26, 5-11/2005

FNMA Board Documents: Vol. 29, Audit Committee Materials, 1-10/2005

FNMA Board Documents: Vol. 31, 2-4/2004

***Box 15 of 21***

FNMA Board Documents: Vol. 31, 2-4/2004 (cont'd.)

FNMA Board Documents: Vol. 32, 5-11/2004; Assets and Liabilities Policy Committee Materials, 2004; Audit Committee Materials, 1-3/2004

***Box 16 of 21***

FNMA Board Documents: Vol. 33, Audit Committee Materials, 3-11/2004; Compensation Committee Materials, 2004

Fannie Mae Background Articles, 1995-2010

Fannie Mae

***Box 17 of 21***

FNMA Board Meeting Minutes, 2004-2008

Fannie Mae & Freddie Mac Congressional Hearings, 2004-2008

***Box 18 of 21***

Fannie Mae & Freddie Mac Congressional Hearings, 2004-2008 (cont'd.)

Subprime, 2003-2008

Affordable Housing Goals

[Mudd, General]

Fannie Mae Research: FNMA Financial Trend Analysis

[Fannie Mae Single Family Conventional Acquisition Characteristics Report]

[Fannie Mae, General]

Document #8: Customer Engagement Plans

***Box 19 of 21***

Marzol Background

Index

Document #1: September 2004 Memo (Marzol to Mudd)

Document #2: March 2005 Memo (Marzol to Mudd)

Document #3: June 2005 Presentation (Tracy Amos)

Document #5: September 2007 Email (Quinn to Mudd, etc.)

Document #4: July 2007 Presentation

Document #6: April 2008 Email (Quinn to Mudd, etc.)

Case File – FNMA Conservatorship Lawsuit

Case File – FNMA Restatement Lawsuit

[Department of Housing and Urban Development Housing Goals]

[Fannie Mae Witness Questions and Press Articles]

***Box 20 of 21***

Thomas Lund Documents

[Handwritten Notes]

Fannie Mae Research -- Lobbying

***Box 21 of 21***

Fannie Mae-Initial Working Binder

Fannie Mae Research: FHFA [Federal Housing Finance Agency] ROEs [Reports of Examination], 1994-2008

## Government Sponsored Enterprise Files

Box count: 5.5 LTA-S

Arranged by subject

NAID: 24823321      HMS: HS1-93381560

This series contains Securities and Exchange Commission filings by Fannie Mae, board meeting records, Compensation Committee records, Office of Housing Enterprise Oversight annual reports to Congress, industry publications, and other records primarily concerning Fannie Mae.

### Folder List:

#### ***Box 1 of 6***

Fannie Mae Compensation Committee Materials, 10/2007 – 7/2008

Fannie Mae 10-Q, 2<sup>nd</sup> Quarter 2008

Fannie Mae 10-Q, 3<sup>rd</sup> Quarter 2008

Hearing 2/3: Day 3 -- Fannie Mae Materials, 4/9/2010

#### ***Box 2 of 6***

Federal National Mortgage Association Board Documents, 2005, vol. 25

Federal National Mortgage Association Board Documents, 2005, vol. 27

#### ***Box 3 of 6***

Federal National Mortgage Association Board Documents, 2005, vol. 28

Federal National Mortgage Association Board Documents, 2005, vol. 30

Fannie Mae SEC Filings, 2005 10-K

#### ***Box 4 of 6***

Fannie Mae SEC Filings, 2008 10-K

Federal National Mortgage Association 2009 10-K

Fannie Mae Compensation Materials

#### ***Box 5 of 6***

Fannie Mae Research: Morgan Stanley/Sanford Bernstein Analyst Reports

Office of Federal Housing Enterprise Oversight Responsive Documents: Statements to Congress and Public, 2006-2009

Office of Federal Housing Enterprise Oversight Reports to Congress, 2005 - 2008

#### ***Box 6 of 6***

[Lloyd Blankfein Remarks to Council of Institutional Investors, 4/2009]

[Lloyd Blankfein Testimony, 1/13/2010]

[Goldman Sachs Global Markets Institute “Effective Regulation”]

[Suggested Questions for Hearing 1 Witnesses]

[Miami-Dade County Mortgage Fraud Task Force Report 2009]

[Miscellaneous Publications]

## Files Supplied by Goldman Sachs

Box count: 33.2 LTA-S, 12 LGA-S  
Arranged in numerical batches by Bates number  
NAID: 24823579      HMS: HS1-93381208

This series contains primarily internal Goldman Sachs documents supplied to the commission. The records include email printouts, memoranda, news clippings, briefing materials, weekly estimates, the “Derivative Collateral Dispute Summary of 10/30/2007,” daily trading risk summaries, weekly senior management reports, correspondence, prospectuses, asset purchase agreements, mortgage pass-through certificates, documents supplied to the Senate Permanent Subcommittee on Investigations, records of the Asia Special Situation Group. Subjects include investment risk, plans for future investments, remarketing, residential mortgage-backed securities, collateralized debt obligations, Freddie Mac, the Capital Committee, the Principal Investment Committee, global sales, derivatives, ABACUS, subprime lending, Morgan Stanley’s ABS Capital, Firmwide Capital Committee, GSAA Home Equity Trust, and others.

### Folder List:

#### ***Box 1 of 46***

GS MBS 0000008081 – 8801  
GS MBS 0000008808 – 9538

#### ***Box 2 of 46***

GS MBS 0000008808 – 9538 (cont’d.)  
GS MBS 0000009539 - 0000010481

#### ***Box 3 of 46***

GS MBS 0000009539 – 0000010481 (cont’d.)  
GS MBS 0000014837-GS MBS 0000015594

#### ***Box 4 of 46***

GS MBS 0000014837-GS MBS 0000015594 (cont’d.)  
GS MBS 0000015595-GS MBS 0000016358

#### ***Box 5 of 46***

GS MBS 0000016359-GS MBS 0000016968  
GS MBS 0000002386-GS MBS 0000002965

#### ***Box 6 of 46***

GS MBS 0000014837 – 15594  
GS MBS 0000015595 – 16358

#### ***Box 7 of 46***

GS MBS 0000015595 – 16358 (cont’d.)

Goldman Sachs

GS MBS 0000016366 – 16936

**Box 8 of 46**

GS MBS 0000016366 – 16936 (cont'd.)

[Goldman Sachs] Mortgage Securities Corporation Mortgage Pass-Through Certificates, Series 2006 – S3, 15688-00633, Closing Date 4/27/2006

**Box 9 of 46**

[Goldman Sachs] Mortgage Securities Corporation Mortgage Pass-Through Certificates, Series 2006 – S3, 15688-00633, Closing Date 4/27/2006 (cont'd.)

GSAA [Home Equity Trust] 2006–17 Closing Set, Closing Date 10/30/2006

**Box 10 of 46**

GS MBS 000018070 – GS MBS 000020413

GS MBS 000020414 – GS MBS 000020998

**Box 11 of 46**

GS MBS 000020999 – GS MBS 000023395

[Documents Supplied by Goldman Sachs]

**Box 12 of 46**

[Documents Supplied by Goldman Sachs] (cont'd.)

**Box 13 of 46**

[Documents Supplied by Goldman Sachs] (cont'd.)

**Box 14 of 46**

[Documents Supplied by Goldman Sachs] (cont'd.)

**Box 15 of 46**

GS MBS 0000009898-GS MBS 000010481

GS MBS 0000009272-GS MBS 0000009897

**Box 16 of 46**

GS MBS 0000009272-GS MBS 0000009897 (cont'd.)

GS MBS 0000008600-GS MBS 0000009269

GS MBS 0000008031-GS MBS 0000008599

**Box 17 of 46**

GS MBS 0000008031 -- GS MBS 0000008599 (cont'd.)

GS MBS 0000012181 – GS MBS 000012767

**Box 18 of 46**

GS MBS 0000012768 – GS MBS 0000013516

GS MBS 0000013525 – GS MBS 0000014229

Goldman Sachs

**Box 19 of 46**

GS MBS 0000013525 – GS MBS 0000014229 (cont'd.)  
GS MBS 0000014230 – GS MBS 0000014836

**Box 20 of 46**

[Documents Supplied by Goldman Sachs]

**Box 21 of 46**

[Documents Supplied by Goldman Sachs] (cont'd.)

**Box 22 of 46**

[Documents Supplied by Goldman Sachs] (cont'd.)

**Box 23 of 46**

[Documents Supplied by Goldman Sachs] (cont'd.)  
GS MBS 0000013793 – GS MBS 0000014381  
GS MBS 0000014382 – GS MBS 0000014836

**Box 24 of 46**

GS MBS 0000014382 – GS MBS 0000014836 (cont'd.)  
GS MBS 0000011181 – GS MBS 0000011575  
GS MBS 0000011578 – GS MBS 0000012180

**Box 25 of 46**

GS MBS 0000011578 – GS MBS 0000012180 (cont'd.)  
GS MBS 0000003316 – GS MBS 0000003816  
GS MBS 000003817 – GS MBS 000004638

**Box 26 of 46**

GS MBS 000003817 – GS MBS 000004638 (cont'd.)  
GS MBS 0000004639 – GS MBS 0000005136  
GS MBS 0000005137 – GS MBS 0000005689

**Box 27 of 46**

GS MBS 0000005137 – GS MBS 0000005689 (cont'd.)  
GS MBS 0000005690 – GS MBS 0000006444

**Box 28 of 46**

GS MBS 0000005690 – GS MBS 0000006444 (cont'd.)  
[Goldman Sachs] Mortgage Securities Corporation Mortgage Pass-Through Certificates, Series  
2006 – S3

**Box 29 of 46**

GSAA [Home Equity Trust] 2006 – 17 Closing Set  
GS MBS 0000016969 – GS MBS 0000017817

**Box 30 of 46**

GS MBS 0000016969 – GS MBS 0000017817 (cont'd.)  
GS MBS 0000006445 – GS MBS 0000006488  
GS MBS 0000007779 -- GS MBS 0000007904  
GS MBS 0000007905 – GS MBS 0000008030

**Box 31 of 46**

GS MBS 0000006489 – GS MBS 0000006571  
GS MBS 0000006752 – GS MBS 0000006864  
GS MBS 0000006865 – GS MBS 0000007030  
GS MBS 0000007031 – GS MBS 0000007167  
GS MBS 0000007168 – GS MBS 0000007398  
GS MBS 0000007577 – GS MBS 0000007777  
GS MBS 0000007400 – GS MBS 0000007576  
GS MBS 0000003930 -- GS MBS 0000004303

**Box 32 of 46**

GS MBS 0000003930 -- GS MBS 0000004303 (cont'd.)  
GS MBS 0000003456 -- GS MBS 0000003929  
GS MBS 0000062966 -- GS MBS 0000063455

**Box 33 of 46**

GS MBS 0000004306 -- GS MBS 0000004613  
GS NYAG-00002 -- 0023 [Goldman Sachs Asset Management, “Information Barriers and the  
Use of Protected Information,” 3/9/2007 and “Subprime Mortgage Update”]  
GSMBS 0000017405 – GS MBS 0000018044

**Box 34 of 46**

GSMBS 0000017405 – GS MBS 0000018044 (cont'd.)  
GSMBS 0000016965 – GS MBS 0000017404  
GSMBS 0000011578 – GS MBS 0000012352

**Box 35 of 46**

GSMBS 0000011578 – GS MBS 0000012352 (cont'd.)  
GSMBS 0000010482 – GS MBS 0000001180

**Box 36 of 46**

GSMBS 0000010482 – GS MBS 0000001180 (cont'd.)  
GSMBS0000013019 – GS MBS 00000013742

**Box 37 of 46**

GSMBS0000006445 – GS MBS 0000007167  
GSMBS0000007168 – GS MBS 0000008030

**Box 38 of 46**

GSMBS 0000010482 – GS MBS 00000011032

GSMBS 0000011033 - GS MBS 00000011575

**Box 39 of 46**

GSMBS 0000011033 - GS MBS 00000011575 (cont'd.)  
GS PSI [Permanent Subcommittee on Investigation] 04269 – 05005  
GS MBS 0000003574 -- GS MBS 0000004613

**Box 40 of 46**

GS MBS 0000003574 -- GS MBS 0000004613 (cont'd.)  
GS MBS 0000002966 -- GS MBS 0000003573

**Box 41 of 46**

GS MBS 0000002966 -- GS MBS 0000003573 (cont'd.)  
GS NYAG 0002 – GS NYAG 00023 [Goldman Sachs Asset Management, “Information Barriers  
and the Use of Protected Information,” 3/9/2007 and “Subprime Mortgage Update”]  
GSM 00001 – GSM 00071  
GS MBS 0000000001 – GS MBS 0000000682

**Box 42 of 46**

GS MBS 0000000001 – GS MBS 0000000682 (cont'd.)  
GS MBS 0000000683 – GS MBS 0000001276  
GS MBS 0000001277 – GS MBS 0000001739

**Box 43 of 46**

GS MBS 0000001277 – GS MBS 0000001739 (cont'd.)  
GS MBS 0000001740 - GS MBS 0000002385

**Box 44 of 46**

GSMBS 0000022683 – GS MBS 0000023897  
GSMBS 0000023898 – GS MBS 0000024706

**Box 45 of 46**

GSMBS 0000023898 – GS MBS 0000024706 (cont'd.)  
GSMBS 0000017818 – GS MBS 0000018044  
GSMBS 0000012353 – GS MBS 0000013018

**Box 46 of 46**

GSMBS 0000012353 – GS MBS 0000013018 (cont'd.)



# JP Morgan's Securities and Exchange Commission Filings

Box count: 1.8 LTA-S

Arranged by volume number

NAID: 24823580      HMS: HS1-93419007

This series contains printouts of filings made by JP Morgan to the Securities and Exchange Commission.

## Folder List:

### ***Box 1 of 2***

JP Morgan Securities and Exchange Commission Filings, Vol. 1

JP Morgan Securities and Exchange Commission Filings, Vol. 2

### ***Box 2 of 2***

JP Morgan Securities and Exchange Commission Filings, Vol. 2 (cont'd.)

JP Morgan Securities and Exchange Commission Filings, Vol. 3

## **Files Supplied by Morgan Stanley**

Box count: 4 LTA-S

Arranged by document type

NAID: 24823581      HMS: HS1-93420688

This series contains Securities and Exchange Commission filings made by Morgan Stanley, trustee reports, and other records requested by the commission.

### Folder List:

#### ***Box 1 of 4***

Bloomberg Reports MS0000098-226

Trustee Reports MS0000227-1011

Trustee Reports MS0001012-1499

#### ***Box 2 of 4***

Trustee Reports MS0001012-1499 (cont'd.)

Trustee Reports MS0001500-1986

Morgan Stanley Documents Responsive to 2/2/[2010] Letter

#### ***Box 3 of 4***

Morgan Stanley Documents Responsive to 2/2/[2010] Letter (cont'd.)

Morgan Stanley SEC Filings, Vol. 1

#### ***Box 4 of 4***

Morgan Stanley SEC Filings, Vol. 2 [with duplicate]

# Office of the Comptroller of the Currency Records Relating to Citigroup

Box count: 3 LTA-S

Arranged by volume number

NAID: 24823582      HMS: HS1-93479461

This series contains records about Citigroup that were supplied to the commission by the Office of the Comptroller of the Currency.

## Folder List:

### ***Box 1 of 3***

OCC Produced Documents re: Citi, Vol. 2 [Note: There is no volume 1]

OCC Produced Documents re: Citi, Vol. 3

### ***Box 2 of 3***

OCC Produced Documents re: Citi, Vol. 3 (cont'd.)

OCC Produced Documents re: Citi, Vol. 4

### ***Box 3 of 3***

OCC Produced Documents re: Citi, Vol. 4 (cont'd.)

OCC Produced Documents re: Citi, Vol. 5

OCC Produced Documents re: Citi, Vol. 6

## Electronic Records

The electronic records of the Financial Crisis Inquiry Commission are comprised of three subsets of records: those downloaded from the NetDocuments platform; CDs, DVDs and hard drives supplied to the Commission in response to document requests; and Commissioner and staff email.

## I. NetDocuments System

The FCIC used a document management system called NetDocuments (NetDocs) to organize and share its electronic files. There are 11 cabinets in the NetDocs system, each with its own file structure. Please note there are several folders in the directories that contain no files.

### Commissioner Upload Space


NAID: 24450904






Extent: 10 data files

This series contains an article about the lessons of Depression-era banking reform, FCIC ethics guidelines, and a brochure about credit derivative companies.

File list

## NetDocuments Document Report

 Comm. Upload Space - 3/1/2011 9:34:49 AM

- I.  Cabinet Inbox
  - A.  Background material for report
    - 1.  CalomirisGreatDepressionBankRegulatoryReform2010
  - B.  Ethics Guidelines Dec 7, 2009
- II.  Misc

## Commissioners Workspace


NAID: 24450907

Extent: 2,620 data files












































This series contains final versions of work product FCIC staff shared with the Commissioners. Records include interview lists, administrative files, records relating to field hearings, drafts of the final report, research and investigative materials that resulted in investigative and staff reports, background briefing binders and hearing binders prepared for the Commissioners, hearing documents, (key documents from the investigations), and hearing follow up, (letters sent to witnesses with questions pertaining to their testimony). Topics include too big to fail, macroeconomic factors in the crisis, shadow banking, subprime mortgages, rating agencies, and others.

File list

# NetDocuments Document Report

 Comm. W-Space - 3/1/2011 9:35:45 AM

## I. Restored

- A.  09-11-17 Agenda for Business Meeting of Financial Crisis Inquiry Commission
- B.  09-12-14 Agenda for Business Meeting of Financial Crisis Inquiry Commission
- C.  1) Citigroup Preliminary Investigative Report -3-30-10
- D.  1. LV Session 2 Bios
- E.  10-2-17 Agenda for Business Meeting of Financial Crisis Inquiry Commission
- F.  10-4-20 Agenda for Business Meeting of Financial Crisis Inquiry Commission
- G.  10-6-15 Agenda for Business Meeting of Financial Crisis Inquiry Commission
- H.  10-7-13 Agenda for Business Meeting of Financial Crisis Inquiry Commission
- I.  2) Citigroup Financial Narrative - 4-01-2010
- J.  2) Citigroup Financial Narrative - 4-02-2010
- K.  2. Cattani\_Testimony
- L.  2002-09-28 BOG Meeting Minutes re Citigroup's acquisition of CalFed
- M.  2002-09-30 BOG Meeting Minutes re Citigroup and Enron
- N.  2002-12-13 Draft Proposal to CMAC to Establish a Liquidity Put
- O.  2002-12-17 Minutes of CMAC Meeting Approving Liquidity Put
- P.  2003-02-19 Joint Letter from the OCC and FRBNY to Citigroup Risk Managers
- Q.  2004-09-27 Memo to File from OCC Examiner re Meeting with Citibank
- R.  2005 Report of Examination for Citigroup
- S.  2005 Report of Examination of Citigroup
- T.  2005-03-16 BOG Meeting Minutes re Citigroup's acquisition of First American Bank
- U.  2005-03-16 BOG Minutes re Citigroup's acquisition of First Am
- V.  2005-06-13 Notice of Failure to Meet Safety and Soundness Standards
- W.  2006 Examination Report for Citigroup
- X.  2006 Report of Examination for Citigroup
- Y.  2006-01-09 CMAC Proposal to Issue CDS on Subprime Backed ABS CDOs
- Z.  2006-01-18 Memorandum Reflecting CMAC Approval of Subprime Backed ABS CDOs
- AA.  2006-02-17 Memo to Governor Bies re Citigroup Upgrade
- AB.  2007-05-08 Transmittal of OCC Findings on Structured Credit Derivatives
- AC.  2007-11-19 Minutes of Meeting of Regulators with CRO Bushnell, GC Helfer, and COB Rubin
- AD.  2008-01-30 Email from Dan Chen re Weekly Mortgage Risk
- AE.  2008-02-27 Statement of James Lockhart re releasing portfolio caps
- AF.  2008-03-11 Barrons Article re Fannie Mae
- AG.  2008-05-07 Cox Speech to the Security Traders Conference
- AH.  2008-06-09 Reducing Systemic Risk in a Dynamic Financial System
- AI.  2008-10-07 Testimony of E Dinallo Before the House Oversight Committee
- AJ.  2008-10-23 PBS - Greenspan Admits Flaw
- AK.  2009-11-16 New York Operations Review, Internal Scope Memo
- AL.  2010-01-29 M Mayo Response to Follow Up Qs (copy)
- AM.  2010-02-10 G Cohen ltr to FRB (D Caperton) re Doc Request (copy)
- AN.  2010-02-12 G Cohen ltr to BoA (E O'Keefe) re doc production (copy)
- AO.  2010-02-12 G Cohen ltr to FHFA (A Pollard) re doc production (copy)
- AP.  2010-02-22 G Cohen ltr to OCC (J Williams) re Doc Request (copy)
- AQ.  2010-02-26 D Crawford ltr to T Greene re Hearing One Follow-Up (copy)



- AR.  2010-03-22 Invitation Ltr to Charles Prince (copy)
- AS.  2010-03-22 Invitation Ltr to Thomas Maheras (copy)
- AT.  2010-04-27 L Madigan Hearing One Follow Up (copy)
- AU.  2010-04-28 CNBC - Transcript CNBC's David Faber Speaks with Goldman Sachs Chairman and CEO Lloyd Bla
- AV.  2010-06-17\_J Hawke Response to 4-8-10 Hearing Follow-up Questions
- AW.  2010-06-23 Rubin Response to Hearing Follow-Up
- AX.  2010-07-30 J Lockhart ltr to FCIC re Hearing Follow up Responses (copy)
- AY.  3. Fannie Mae Financial Trend Analysis
- AZ.  3. Financial Trend Analysis
- BA.  4) Investigative Report on GSEs\_Fannie Mae\_03-30-2010
- BB.  4. Key Quotes
- BC.  7. Lehman - Full Financial Narrative
- BD.  7\_11\_08 email UST-FCIC 0029096
- BE.  7\_11\_08 email UST-FCIC 0029096 (copy)
- BF.  7\_18\_08 email UST-FCIC 0029097
- BG.  7\_18\_08 email UST-FCIC 0029097 (copy)
- BH.  712-13\_08 email FCIC-15510-12
- BI.  9\_10\_08 email UST-FCIC 0029201
- BJ.  9\_10\_08 email UST-FCIC 0029201 (copy)
- BK.  9\_10\_08 email UST-FCIC 0029201 (copy)
- BL.  9\_11\_08 email UST-FCIC 0029425-429
- BM.  9\_11\_08 email UST-FCIC 0029510
- BN.  9\_11\_08 email UST-FCIC 0029510 (copy)
- BO.  9\_11\_08 email UST-FCIC 0029573
- BP.  9\_12\_08 email and attached speaking notes UST-FCIC 0029633-35
- BQ.  9\_12\_08 Email and Financial Times article UST-FCIC 0029440-441
- BR.  9\_12\_08 Email and Financial Times article UST-FCIC 0029440-441 (copy)
- BS.  9\_12\_08 email UST-FCIC 0029418-424
- BT.  9\_12\_08 email UST-FCIC 0029418-424 (copy)
- BU.  9\_14\_08 email and resolutions FCIC122282-84 FIX BATES
- BV.  9\_14\_08 email FCIC-122290 FIX BATES
- BW.  9\_14\_08 email thread UST-FCIC0029411-0416
- BX.  a) Citigroup Preliminary Investigative Summary - 3-30-10
- BY.  a) Investigative Findings on Citigroup's Banking Supervisors - OCC-
- BZ.  a) PSR - CRA and the Foreclosure Crisis\_
- CA.  a) PSR - GSEs and the Financial Crisis
- CB.  a) PSR - Role of Federal Reserve in Bank Supervision and Regulation
- CC.  a) PSR Credit Rating Agencies 5-28-2010
- CD.  a) PSR Credit Rating Agencies to commissioners 5-28-2010 \_
- CE.  a. 2008-03-14 Statement of SEC Division of Trading and Markets Regarding the Bear Stearns Companies
- CF.  a. 2008-09-23 Paulson Testimony Before the Senate Banking Committee
- CG.  a. 2010-06-25 Draft PIR on AIG
- CH.  a. 2010-06-25 Draft PIR on Goldman Sachs
- CI.  a. Agenda for Financial Crisis Inquiry Commission 4-6-2010 Briefing Session
- CJ.  a. Bear Stearns Witness Biographies
- CK.  a. Key Quotes - Secretary Paulson

CL.  a. Witness Bios (day1, panel2)

CM.  a1Kroszner\_Bio

CN.  a2Kroszner\_paper

CO.  a3Kroszner\_updated\_table1 (042010)

CP.  a4Kroszner\_slides

CQ.  AIG-FCIC 00000118-31

CR.  AIG-FCIC00145574

CS.  AIG-FCIC00345900-00345901

CT.  AIG-FCIC00345902-00345903

CU.  AIG-FCIC00345904-00345906

CV.  AIG-SEC1364131-32

CW.  b) PSR - Securitization and the Mortgage Crisis

CX.  b) PSR - Securitization and the Mortgage Crisis

CY.  b) Summary of Citigroup Financial Narrative - 4-01-2010

CZ.  b) Summary of Investigative Findings and Timeline for GSEs

DA.  b. FCIC\_Investigative\_Findings\_OCC\_Preemption\_DRAFT

DB.  b1Gourinchas\_Bio

DC.  b2Gourinchas\_paper

DD.  b3Gourinchas\_followup\_questions

DE.  b4Gourinchas\_glob\_imbalances

DF.  b5Gourinchas\_ITA\_Table

DG.  b6Gourinchas\_Treasury\_survey\_2010

DH.  b7Gourinchas\_slides

DI.  Bear Stearns Price Verification Quarterly Update (January 17, 2008).

DJ.  b-i Key Excerpts from FCIC Staff Interview of Roger Stein1

DK.  b-ii. James Cayne

DL.  C Prince Email re Six Sigma Question (2007-09-26) (copy)

DM.  c. 2010-06-16 MFR of Interview with Craig Broderick

DN.  c. Bear Stearns - Summary of Financial Narrative

DO.  c. Key Quotes - Alan Schwartz

DP.  c. Session 1 - Witness Bios - The Ratings Process

DQ.  c1Geanakoplos\_Bio

DR.  c2Geanakoplos\_paper

DS.  c3Geanakoplos\_slides

DT.  CDOs and Citi-CDOs as a Percentage of Citi-CDO Portfolio - Response to I-29 (copy)

DU.  CEO Discussion on Global Credit Markets (2007-09-12) (copy)

DV.  Chairman Alan Greenspan Quotes

DW.  Chairman Alan Greenspan Quotes

DX.  Chart of hearing 1 questions with not answered

DY.  Citigroup Liquidity Put Discussion (copy)

DZ.  CMB Market Risk Mgmt 2007 Annual Limits Book (copy)

EA.  Commissioner Follow-up Requests\_Hearing 2& 3 Panel 1-3

EB.  Cox Quotes


EC.  Crabtree\_Testimony

ED.  Credit and Markets Discussion - Presentation to BOD (2007-10-15) (copy)

EE.  Credit Rating Agencies follow up questions with transcript cites

EF.  Credit Rating Agencies follow up questions with transcript cites (copy)


EG.  Credit Rating Agencies follow up questions with transcript cites (copy)

EH.  Credit Rating Agency Follow-Up Grid


EI.  D Bushnell Email to E Duke re ABX (2007-07-26) (copy)


EJ.  D Bushnell Email to E Duke re Super Seniors (2007-08-13) (copy)


EK.  d. 2009-06-04 M Masters Testimony Before the Senate Agriculture Committee


EL.  d. 2010-04-16 MFR Interview Steve Meier


EM.  d-1) Charles Prince\_Testimony


EN.  d1Lusardi\_bio


EO.  d2Lusardi\_paper


EP.  d3Lusardi\_slides

EQ.  e. 2007-04-17 Mudd Testimony before House Financial Services Committee


ER.  e. Key Quotes - Jimmy Cayne


ES.  e1Mayer\_Bio


ET.  e2Mayer\_paper

EU.  e3Mayer\_slides


EV.  Ethics Guidelines As Approved at the January 20 2010 meeting of the Commissioners with cover memo

EW.  f1Jaffee\_bio

EX.  f2Jaffee\_paper


EY.  f3Jaffee\_slides


EZ.  FCIC Derivatives\_hearing\_day\_two\_transcript\_FINAL

FA.  FCIC Master Contact List for 4-23-10


FB.  FCIC Master Contact List for 6-16-10


FC.  FCIC Rules of Procedure\_ as of 3-16-2010


FD.  FCIC-122423-427


FE.  FCIC-123343-47


FF.  FNMA Demonstratives

FG.  For SCG

FH.  FRB Richmond memo re Wachovia


FI.  FRB to Exam. 000826.

FJ.  FRB to Exam. 001118-1122

FK.  FRBNY to Exam. 000017


FL.  FRBNY to Exam. 000033-37

FM.  FRBNY to Exam. 000038-42


FN.  g) Mortgage Fraud Update - summary


FO.  g1Brunnermeier\_Bio


FP.  g2Brunnermeier\_paper

FQ.  g3Brunnermeier\_Follow\_up\_Questions


FR.  GS 08183


FS.  GS 09589


FT.  GS MBS 0000027878 (CUSIP Overlap Analysis) (copy)


FU.  GSCP The Way Forward (Michael Raynes, 2007-09) (copy)


FV.  GSM - Global Business Introduction, Focus on Non Agency, Subprime (2007-03-28) (copy)

























FW.  GSM Global Business Review - Focus on Non Agency Subprime (2007-03-28) (copy)











































FX.  GSM Mortgage Finance Warehouse Lines of Credit - Response to I-39 (copy)










































FY.  h1Kashyap\_Bio








































FZ.  H2& 3 Follow-up Questions to Fannie Mae & OFHEO















































GA.  h2Kashyap\_paper

- GB.  h3Kashyap\_supplemental\_paper
- GC.  h4Kashyap\_slides
- GD.  H5 Background Binder Index
- GE.  H5 Background Binder Index
- GF.  Hearing 2 Short List
- GG.  Hearing 5 Response Grid
- GH.  hearing 5 short document list
- GI.  i1Gorton\_Bio
- GJ.  i2Gorton\_paper
- GK.  Interview with Dugan
- GL.  Interview with Frake
- GM.  Interview with Frake
- GN.  Interview with Frake
- GO.  Interview with Frake(1)
- GP.  Interview with Lyons
- GQ.  Interview with Waterhouse
- GR.  Investor List for RMBS Cash CDOs - Response to I-19 (copy)
- GS.  Investor List to RMBS Synthetic CDOs - Response to I-20 (copy)
- GT.  John Dugan 3-12-10
- GU.  John Lyons 3-12-10
- GV.  List of Cash and Synthetic CDO and CDO2 - Response to I-16, 17, 23 (copy)
- GW.  List of Cash and Synthetic CDO and CDO2 - Response to I-16, 17, 23 (copy)
- GX.  m. 2009-08-10 Case Study - Bear Stearns and the SEC (M Halloran and E Hellmold)
- GY.  McCarty\_Testimony
- GZ.  Memo from T Brushammar to N Dominguez, J Warne, M Raynes re Liquidity Put Valuation (copy)
- HA.  MFR Scott Waterhouse
- HB.  MFR Scott Waterhouse
- HC.  MOODY'S-COGR-0052080 - 0052160 D Collins Email (sept 11, 2007) Town Hall Feedback
- HD.  NetDocuments Directions for Commissioners
- HE.  Non-Prime and Sub-Prime Exposure BOD Draft (2007-09-07) (copy)
- HF.  Notes of JPM Call
- HG.  OCC Index
- HH.  OCC15-00300853-869 MOU
- HI.  Organizational Chart 2-23-10
- HJ.  PWC-FCIC 000084 - 95
- HK.  Review of Credit Markets for CMAC (Murray Barnes, CMB MRM, 2007-11-19) (copy)
- HL.  Risk View of CDOs on ABS - Alan Smillie (2007-11-16) (copy)
- HM.  RMBS and Citi-RMBS as a Percentage of Citi-CDO Portfolio - Response to I-22 (copy)
- HN.  Ron Frake 3-11-08
- HO.  Scanned from a Xerox multifunction device001
- HP.  Scanned from a Xerox multifunction device001
- HQ.  SEC\_TM\_FCIC\_1053254 Email from P Lewis (Bear) to Eichner, Spurry, Giles, Silva (3-11-08)
- HR.  Segment Analysis (copy)
- HS.  Spreadsheet for H2-3 Follow-up
- HT.  Spreadsheet for H2-3 Follow-up
- HU.  Spreadsheet for H2-3 Follow-Up
- HV.  Spreadsheet for H2-3 Follow-Up\_2010-06-17







- HW.  Subpoena Notes
- HX.  Subprime Whole Loan Purchases in GSM, 2006-2010 - Response to I-35 (copy)
- HY.  Super Senior Contingent Credit Option Program for High Grade ABS CDO - May 2006 (copy)
- HZ.  Takeaways from Research and Investigation Plan
- IA.  TG Interview of J. Dugan\_2010-03-30
- IB.  Tracking the Twin Storms and NYT Briefing Cover Email to C Prince (2007-07-30) (copy)
- IC.  Undated Scope Memorandum of the FRBNY on 2005 Horizontal Examinations of Multiple Companies Complex
- ID.  UST-FCIC 0029512 - UST-FCIC 0029514
- IE.  UST-FCIC 0030001
- II.  -Restored-Data
  - A.  09-05-28 Testimony of Deborah Cuevas Hill Before the Committe on Public Services and Consumer Affairs
  - B.  09-10-07 Statement of Dr Kenneth Rosen Chair of the Fisher Center for Real Estate and Urban Economics at the Hass - US House Committee on Small Business
  - C.  09-11-19 Testimony of Dean Baker Before the Congressional Oversight Panel for the Troubled Asset Relief Program
  - D.  09-12-08 Testimony of Julia Gordon Before the US House of Represntatives Committee on Financial Services
  - E.  10 15 10 FRBNY Letter
  - F.  10-1-20 Agenda for Business Meeting of Financial Crisis Inquiry Commission
  - G.  10-3-16 Agenda for Business Meeting of Financial Crisis Inquiry Commission
  - H.  10-5-18 Agenda for Business Meeting of Financial Crisis Inquiry Commission
  - I.  2010-11-09 Redline Exhibit F- Clayton
  - J.  2010-11-09 Redline Exhibit G- Merrill
  - K.  2010-11-09 Redline Exhibit H- Fannie
  - L.  a. Key Quotes - Chairman Cox
  - M.  Approved Minutes 09-09-17
  - N.  Compensation
  - O.  CRA staff report 10 7 2010
  - P.  Housing Bubble
  - Q.  Regulatory Inaction and Predatory Lending
  - R.  Regulatory Inaction and Preemption
  - S.  Thank You Letters - Las Vegas Hearing
  - T.  Wagstaff - Invite Letter
- III.  R&I Plans
  - A.  Complex Financial Derivatives
    - 1.  Document Requests
      - a.  2010-02-09 G Cohen ltr to BofA (E O'Keefe) (copy)
      - b.  2010-02-09 G Cohen ltr to Goldman Sachs (G Palm) (copy)
    - 2.  Hearing 5
      - a.  Documents
        - 1.  2003-08-19 ISDA Master Agreement Between AIGFP and Goldman AIG-FCIC 00384254
        - 2.  2005-07-21 Email from Forster re Topics to discuss AIG-FCIC00145574
        - 3.  2005-12-01 Schedule to the Master Agreement AIG-FCIC00000110
        - 4.  2005-12-07 CRC Memo Broderick CDO I AIG-FCIC00098115
        - 5.  2005-12-20 CRC memo re AIGGIG Global Securities Lending Cash ...Policy AIG-FCIC00550171-00550175

6.  2006-02-28 Email from Park to Cassano re exiting business-AIG-FCIC00109490
7.  2006-07-17 CRC Memo West Coast Funding I AIG-FCIC00384171
8.  2007 Goldman Sachs third-quarter-earnings
9.  2007 Supervisory Plan at OTSAIG-EFILES 0000084111-130
10.  2007-03-23 Timberwolf Offering Circular GS-MBS-E 000673671
11.  2007-05-22 CRC Minutes AIG-SEC9406809
12.  2007-07-26 email from Davilman to Frost re margin call 20bb of superseniorAIG-FCIC00370077-0037078
13.  2007-07-27 Collateral Call GS 06017
14.  2007-08-01 Athan Email re HALO called needed tomorrow AM AIG-SEC9990006
15.  2007-08-02 Email from Forster to Cassano & Micottis re GS Collateral marks AIG-SEC2035262
16.  2007-08-08 Email fr. Athan to Frost re Latest on GS AIG-SEC1913380
17.  2007-08-08 Memo to AIGFP 2007 2Q Review Files PWC-FCIC 00212-213
18.  2007-08-10 Side Letter Agreement AIG-SUBPRIME-SEC00000547-548
19.  2007-08-16 Email from Forster to Frost re Goldman AIGSEC11604624
20.  2007-09-11 Email Cassano Binder Tab 3
21.  2007-10-02 Frederick W Cook Co 2006 Competitive Executive Compensation Review HHOGRO0002528-2549
22.  2007-11-01 Email- Cassano Tab 4
23.  2007-11-02 Collateral Call GS 07806
24.  2007-11-05 email from Forster to Cassano AIG-SEC2152468
25.  2007-11-05 Micottis Collateral Call Spreadsheet
26.  2007-11-06 Audit Committee Meeting Minutes
27.  2007-11-07 PWC Memo PWC-FCIC 000224-240
28.  2007-11-08 Email fr. Lehman to Forster AIG-FCIC00348171
29.  2007-11-09 Email re Merrill Marks Cassano Binder Tab 12
30.  2007-11-14 Email Cassano Binder Tab 5
31.  2007-11-18 Cassano Binder Tab 6 Email
32.  2007-11-19-20 email re Credit Perspective for the CFO AIG-SEC9422058
33.  2007-11-21 Email from Cassano to Dooley re 2007 Compensation SEC00001400
34.  2007-11-23 Margin Call to AIGFP GS 08183-8236
35.  2007-11-23 Side Letter
36.  2007-11-27 Email AIG-SEC1364133
37.  2007-11-29 Email from Roemer re Cassano Participation in 11-29 Meeting AIGSEC12689327
38.  2007-11-29 Email re FP Meeting Cassano Binder Tab 9- AIGSEC12689327
39.  2007-11-29 PwC Meeting Notes PWC-FCIC 000381-383
40.  2007-11-30 Email from Cassano to Dooley re GS call back AIG-SEC 2556794
41.  2007-11-30 Memo from AIGFP to GS re returning collateral payment AIG-SEC2556795
42.  2007-12-01 email from Cassano re November change in value for SS multisector CDO AIG-SEC5981397
43.  2007-12-05 AIG Investor Conference Call Transcript
44.  2007-12-05 Email to Cassano re PwC year-end Evaluation Cassano Binder Tab 13- AIG-SEC0005623
45.  2007-12-07 Deffered Comp - Write up for Comp Committee SEC00001499
46.  2007-12-13 Proposal for a Special Incentive Plan for AIG-FP for 2007 PowerPoint presentation HHOGRO0

47.  2007-12-14 Forster email re Collateral Dispute
48.  2007-12-17 Cassano email re 2007 special comp plan
49.  2007-12-20 Goldman Sachs Collateral Disagreement Observations and Conclusions Micottis AIG-SEC254922
50.  2007-12-23 email from Sherwood to Cassano & Viniar re CDO spreadsheet AIG-FCIC00336767-00336768
51.  2008-01-02 Collateral Call GS 08890
52.  2008-01-07 Email Cassano Binder Tab 7
53.  2008-01-07 Email fr. Athan to Cassano re CDS Collateral Call Status AIG-FCIC00383724
54.  2008-01-11 Email fr. Cassano to Habayeb- Cassano Binder Tab 56
55.  2008-01-11 Email from Habayeb re getting out of the business 00146702-000001-4
56.  2008-01-16 Email from Cassano to Sherwood re CDO valuations AIG-FCIC00345900-00345906
57.  2008-02-02 Habayeb Memo re Regulatory Capital Transactions PWC-FCIC 000084 - 95 (copy)
58.  2008-02-06 Email fr. Cassano to Habayeb re Soc. Gen. collateral call Cassano Tab 9
59.  2008-02-06 PwC Meeting Notes PWC-FCIC 000384-386
60.  2008-02-29 Margin Call to AIGFP GS 09589-9608
61.  2008-03-07 Cassano email to Dooley and Bensinger Cassano Tab 68
62.  2008-03-16 email Michael Sutton to Robert Willumstad re AIG FT Article FRBNY-TOWNS-R1-193865-193866
63.  2008-04-28 Amended Side Letter GS 05877-05878
64.  2008-05-18 email from Habayeb re why FP didn't hedge HHOGR00026712-713
65.  2008-07-16 Financial Products AIG Investments and ILFC Incentive Compensation Programs and 2007 Payo
66.  2008-09-23 Status of Collateral Calls- Super Senior CDOs AIG-FCIC00384231-00384253
67.  2010-06-17 AIG (S Nettleton) ltr to D Noonan re Docs Production
68.  ABACUS 2005-CB1 information- AIG-FCIC00098415-00098425
69.  AG 0000118
70.  AIG Biographies AIG-SEC9651653
71.  AIG Compensation Information AIG-FCIC00562661-678
72.  AIG ERM Presentation at Cassano Counsel Binder Tab 33
73.  AIG Financial Products Corp. Deferred Compensation Plan AIG-FCIC00384195-00384214
74.  AIG Hedged January 2006-December 2008 GS FCIC 000000550-551
75.  AIG June 11, 2007 Regular Holding Company Examination
76.  AIG, Residential Mortgage Presentation
77.  AIG-FCIC 00220943-52
78.  AIG-FCIC 00384082-94
79.  AIG-FCIC00068785-91
80.  AIG-FCIC00077943-955
81.  AIG-FCIC00125836-840
82.  AIG-FCIC00336717-19
83.  AIG-FCIC00384095-105
84.  AIG-FCIC00384118-127
85.  AIG-FCIC00384215-230








































86.  AIG-FCIC00384296-4355
87.  AIG-FCIC00522328-35
88.  AIG-FCIC00522336-47
89.  AIG-FCIC00535455
90.  AIG-FCIC00561500-00561506
91.  AIGFP Target Examinations Limited Field Visitations 10-12-2004
92.  AIGFP Target Examinations Limited Field Visitations 3-6-2006
93.  AIGFP Target Examinations Limited Field Visitations 4-23-2007
94.  AIG-SEC1364131
95.  AIG-SEC1913380
96.  AIG-SEC2035263
97.  AIG-SEC2035264
98.  AIG-SEC2153469
99.  AIG-SEC9996199
100.  August 2008 Draft Letter JSD00335-342
101.  Cassano Binder Tab 32
102.  Cassano Consulting Agreement 1 HHGR00067174-75
103.  Email from Athan to Frost re Latest on GS AIG-SEC1913380
104.  Figure 11 Documents
105.  GAO Follow-Up Questions at OTSAIG-EFILES 0000011615-620
106.  GAO Report- Troubled Asset Relief Program, Status of Government Assistance Provided to AIG
107.  GS 05793-94
108.  GS 05795-5801
109.  GS 05823-26
110.  GS 05845-5847
111.  GS 05860
112.  GS 05862 -63
113.  GS 05880-5887
114.  GS 06247-268
115.  GS 06269-291
116.  GS 09610
117.  GS 09804-825
118.  GS 09825
119.  GS MBS 0000027878 (CUSIP Overlap Analysis)
120.  GS MBS 0000027880 - Notional amount by counterparty
121.  GS MBS 0000027965
122.  GS MBS 0000027966
123.  GS MBS 0000027967 (copy)
124.  GS MBS-E-001918219-22
125.  GS MBS-E-00221171
126.  GS MBS-E-002356757-58
127.  GS MBS-E-005565527 -52
128.  GS MBS-E-007980762
129.  GS MBS-E-009209654-55
130.  GS MBS-E-009635410
131.  GS MBS-E-009726498


























































































132.  GS MBS-E-010381094 -95
133.  GS MBS-E-010381411 -12
134.  GS00067
135.  GS08318
136.  H5 Document Long List
137.  H5 Document Short List
138.  HHOGR 00057174-57176
139.  HHOGR00001823-1832
140.  ISDA Master Agreement 2003-08-19 AIG-FCIC00384254—AIG-FCIC00384295
141.  Listing of collateral movements from 2007-08-10 to 2008-12-31  
GS-FCIC000000537-539
142.  Meeting notes 2008-02-08 PWC-FCIC 000209-211
143.  Memo to File by AIG FSD CFO Elias Habayeb PWC-FCIC 000101-108
144.  Multisector CDO portfolio information AIG-FCIC00535400-FCIC00535402
145.  Notes of 2007-11-29 meeting produced by PWCPWC-FCIC000111-113
146.  Notes of 2008-02-07 meeting PWC-FCIC 000206-208
147.  PSI Exhibit 103- Goldman Sachs internal email, dated May 2007 re Lester called
148.  PSI Exhibit 107- Goldman Sachs internal email, dated December 2006, re Paulson
149.  PSI Exhibit 11- Goldman Sachs internal email, dated February 2007 re goals
150.  PSI Exhibit 112- Goldman Sachs internal email, dated January 2007 re GSC Post
151.  PSI Exhibit 12- Goldman Sachs document, Mortgage VaR change
152.  PSI Exhibit 130- Goldman Sachs internal email, dated February 2007 re Mortgage Risk
153.  PSI Exhibit 135- Goldman Sachs internal email, dated September 2007, re Fortune- How Goldman Sachs D
154.  PSI Exhibit 151- Goldman Sachs internal email, dated December 2006, re Mezz Risk
155.  PSI Exhibit 158- Thomson StreetEvents Final Transcript, dated December 2007
156.  PSI Exhibit 159- Goldman Sachs, 4Q07 Fact Sheet
157.  PSI Exhibit 16- Goldman Sachs internal email, dated March 2007re Mortgage Talking Points for Earning
158.  PSI Exhibit 160- Goldman Sachs, Securities Division Summary Highlights - Week Ending November 30, 20
159.  PSI Exhibit 161- Goldman Sachs Risk Management and the Residential Mortgage Market, April 2010
160.  PSI Exhibit 169- Goldman Sachs internal email, dated January 2007, re Sub-Prime Presentation to Vini
161.  PSI Exhibit 17- Goldman Sachs internal email, dated March 2007re Mortgage Persentation to the board
162.  PSI Exhibit 170d- Goldman Sachs Internal Email, dated October 2006 re Structured Product New Issues
163.  PSI Exhibit 172- Goldman Sachs internal emails, dated March 2007, re Sale of Anderson CDO
164.  PSI Exhibit 19- Goldman Sachs internal memorandum, dated March 2006
165.  PSI Exhibit 2- Goldman Sachs internal email, dated December 2006 re Subprime Mortgage Risk
166.  PSI Exhibit 20 Goldman Sachs internal email, dated March 2007 re Cactus Delivers
167.  PSI Exhibit 21- Goldman Sachs internal email, dated March 2007
168.  PSI Exhibit 22- Goldman Sachs, Presentation to GS Board of Directors, Subprime Mortgage Business Mar
















































169.  PSI Exhibit 29- Goldman Sachs internal email, dated August 2007 re Market Risk Report
170.  PSI Exhibit 3- Goldman Sachs email fr. Dec. 2006 re Subprime risk meeting w Viniar & McMahan Summary
171.  PSI Exhibit 30- Goldman Sachs internal email, dated August 2007 re Post
172.  PSI Exhibit 31- Goldman Sachs internal email, dated August 2007 re MarketRisk
173.  PSI Exhibit 32- Goldman Sachs internal email, dated August 2007 re Post
174.  PSI Exhibit 35- Market Risk Report, 8-14-07, Mortgage Portfolio Summary
175.  PSI Exhibit 36- Goldman Sachs internal email, dated August 2007 re Taking VaR 144mm
176.  PSI Exhibit 37- Goldman Sachs internal email, dated August 2007
177.  PSI Exhibit 4- Goldman Sachs internal email, dated December 2006, re Single-A ABS CDOs
178.  PSI Exhibit 40- Goldman Sachs internal email, dated September 2007 re Mortgage VaR Analysis
179.  PSI Exhibit 41- Presentation to Board of Directors Residential Mortgage Business September 27, 2007
180.  PSI Exhibit 42- GS Board of Directors Meeting Financial Summary September 17-18 2008 quarter ended
181.  PSI Exhibit 43- Goldman Sachs internal email, dated September 2007 re Mortgage P& L for the week end
182.  PSI Exhibit 44- Goldman Sachs internal email, dated September 2007 re Mortgage commentary on Q3 earn
183.  PSI Exhibit 45- Goldman Sachs, 3rd Quarter 2007 Script
184.  PSI Exhibit 51- Goldman Sachs, How Did GS Avoid the Mortgage Crisis
185.  PSI Exhibit 52- Goldman Sachs internal email, dated November 2007 re NYT
186.  PSI Exhibit 54- Goldman Sachs, Quarterly Market Risk Review, Market Risk Management & Analysis
187.  PSI Exhibit 6- Goldman Sachs internal email, dated January 2007, re ...post
188.  PSI Exhibit 61- Goldman Sachs internal email, dated December 2006 re Last call
189.  PSI Exhibit 62- Goldman Sachs internal email, dated January 2007 re ft-friday
190.  PSI Exhibit 67- Goldman Sachs internal email, dated July 2007 re 7-23 CDO-RMBS requests from Taiwan
191.  PSI Exhibit 7- Goldman Sachs internal email, dated February 2007 re Post
192.  PSI Exhibit 72- Goldman Sachs internal email, dated December 2006 re Retained Bonds
193.  PSI Exhibit 73- Goldman Sachs internal email, dated February 2007 re GS Syndicate RMBS Axes
194.  PSI Exhibit 74- Goldman Sachs internal email, dated February 2007 re Mortgage Risk
195.  PSI Exhibit 76- Goldman Sachs internal email, dated March 2007 re Help
196.  PSI Exhibit 77- Goldman Sachs internal email, dated March 2007 re New Century EPDs
197.  PSI Exhibit 8- Goldman Sachs internal email, dated February 2007 re Post
198.  PSI Exhibit 84- Goldman Sachs internal email, dated May 2007- re CDOs-Mortgages
199.  PSI Exhibit 9- Goldman Sachs internal email, dated February 2007
200.  PSI Exhibit 91- Goldman Sachs internal email, dated January 2007 re MTModel
201.  PSI Exhibit 92- Goldman Sachs internal email, dated February 2007- Second lien deal performance and
202.  PSI Exhibit 96- Goldman Sachs internal email and attachment, dated March 2007

















































re Anderson Mezzanine












































203.  PSI Exhibit 98- Goldman Sachs internal Memorandum, dated November 10, 2006 re Timberwolf CDO
  204.  PWC F07 audit workpaper PWC-FCIC 000021 - 28
  205.  PWC-FCIC 000001 - 12 (copy)
  206.  PWC-FCIC 000019-28
  207.  PWC-FCIC 000114-115
  208.  PWC-FCIC 000214-216
  209.  PWC-FCIC 000217
  210.  PWC-FCIC 000220-223
  211.  SEC vs. Cioffi & Tannin
  212.  SSCDS contract between AIGFP and Goldman Sachs GS 00001-62
  213.  Transcript of 2007-07-11 call AIG-SEC1910855
  214.  Transcript of 2007-07-30 Forster call AIG-SEC1361798
- b.  Hearing Background Materials
1.  I. Background Reading
    - a.  1. AIG
      1.  a. 2008-05-10 WSJ - AIG's Black-Box Pickle
      2.  b. 2008-09-08 WSJ - Bad Bets and Cash Crunch Pushed Ailing AIG to Brink
      3.  c. 2008-10-11 WSJ - Documents Show AIG Knew of Problems with Valuations
      4.  d. 2008-10-31 WSJ Behind AIG's Fall, Risk Models Failed to Pass Real-World Test
      5.  e. 2008-12-29 WPost - The Beautiful Machine
      6.  f. 2008-12-30 WPost - A Crack in the System
      7.  g. 2008-12-31 WPost - Downgrades and Downfall
      8.  h. 2009-02-05 WSJ - An AIG Unit's Quest to Juice Profit
      9.  i. 2009-03-30 Time - How AIG Became Too Big the Fail
      10.  j. 2009-04-28 WSJ - Criminal Authorities Focus on 3 in Investigation of AIG
      11.  k. 2010-04-07 Institutional Investor - The Inside Story of Hank Greenberg and the rise and demise
    - b.  2. Goldman Sachs
      1.  a. 2008-09-28 NYTimes - Behind Insurer's Crisis, Blind Eye to a Web of Risk
      2.  b. 2010-01 Vanity Fair - The Bank Job
      3.  c. 2010-04-18 NYTimes - Senior Executives at Goldman Had a Role in Mortgage Unit
      4.  d. 2010-04-20 NYTimes - Gambling with the Economy
      5.  e. 2010-06-19 Bloomberg - Goldman's Hudson CDO Said to Be Target of 2nd SEC Probe
    - c.  3. AIG and Goldman
      1.  a. 2010-02-07 NYTimes - Testy conflict with Goldman Helped Push AIG to Edge
      2.  b. 2010-04-12 WSJ - AIG, Goldman Unwind Soured Trades
    - d.  4. Regulators
      1.  a. 2010-01-06 FT - CFTC Head Blames OTC Derivatives for Crisis
      2.  b. 2009-03-30 FT\_We modernised ourselves into this ice age
  2.  II. Day 1, Panel 1 - Experts

- a.  1. Witness Bios - Experts
  - 1.  a. Witness Bios - Experts
- b.  2. Transcripts or MFRs of Pre-Interviews
  - 1.  a. 2010-06-10 MFR of Interview with Pete Kyle
  - 2.  b. 2010-06-10 MFR of Interview with Michael Masters
  - 3.  c. 2010-05-24 MFR of Interview with Steve Kohlhagen
  - 4.  d. 2010-02-25 MFR of Interview with Michael Greenberger
- c.  3. Documents of Selected Quotes
  - 1.  b. Key Quotes - Michael Masters
  - 2.  d. Key Quotes - Michael Greenberger
- d.  4. Significant Past Testimony
  - 1.  a. 2009-02-03 M Greenberger Testimony Before House Ag Committee
  - 2.  b. 2009-02-04 M Masters Testimony Before House Ag Committee
  - 3.  c. 2009-06-04 M Masters Testimony Before Senate Ag Committee
  - 4.  d. 2009-08-05 M MGreenberger Testimony Before the CFTC
  - 5.  e. 2010 Greenberger Out of the Black Hole - Make Markets be Markets
  - 6.  f. 1993 - 12-27. Albert Kyle On the Economics of Securities Clearing and Settlement (3)
  - 7.  g. Albert Kyle - Cash Settlement, Price Manipulation and the Modigliani-Miller Theorem
  - 8.  h. Albert Kyle - How to Define Illegal Price Manipulation
  - 9.  i. Albert Kyle - Causes and Cures to Financial Crisis
  - 10.  j. 20100629\_Kyle\_for\_FCIC\_FER\_statement\_Reforming the OTC Derivatives Market
- 3.  III. Day 1, Panel 2 - AIG
  - a.  1. Witness Bios - AIG
    - 1.  a. Witness Bios - AIG
  - b.  2. Transcripts or MFRs of Pre-Interviews
    - 1.  a. 2010-06-25 MFR of Interview with Joe Cassano
    - 2.  b. 2010-06-25 Draft Transcript of Joe Cassano Interview
    - 3.  c. 2010-06-17 MFR of Martin Sullivan Interview
    - 4.  d. 2010-06-17 Transcript of Interview with Martin Sullivan
    - 5.  e. 2010-06-15 Summary of Interview with Robert Lewis
    - 6.  f. 2010-06-15 MFR of Interview with Robert Lewis
  - c.  3. Documents of Selected Key Quotes
    - 1.  a. Key Quotes - Martin Sullivan
    - 2.  b. Key Quotes - Robert Lewis
    - 3.  c. Key Quotes - Joe Cassano
  - d.  4. Significant Past Testimony
    - 1.  a. 2008-10-07 Martin Sullivan Testimony Before the House Oversight Committee
- 4.  IV. Day 1, Panel 3 - Goldman Sachs
  - a.  1. Witness Bios
    - 1.  a. Witness Bios - Goldman Sachs
  - b.  2. Transcripts or MFRs of Pre-Interviews
    - 1.  a. 2010-06-16 Summary of Interview with Lloyd Blankfein
    - 2.  b. 2010-06-16 Transcript of Interview with Lloyd Blankfein
    - 3.  c. 2010-06-17 MFR of Interview with C Broderick











































- 4.  d. 2010-06-16 MFR of Gary Cohn Interview
- 5.  e. 2010-06-17 Draft Transcript of Interview with Gary Cohn
- c.  3. Documents of Selected Key Quotes
  - 1.  a. Key Quotes - Craig Broderick
  - 2.  b. Key Quotes - Gary Cohn
- d.  4. Significant Past Testimony
  - 1.  a. 2010-01-13 L Blankfein Testimony Before the FCIC
  - 2.  b. 2010-04-27 C Broderick Testimony before the Senate Permanant Subcommittee on Investigations
  - 3.  c. 2010-04-27 L Blankfein Testimony Before the Senate Permanent Subcommittee on Investigations
- 5.  V. Day 2, Panel 1 - AIG and Goldman
  - a.  1. Witness Bios
    - 1.  a. Witness Bios - AIG and Goldman
  - b.  2. Transcripts or MFRs of Pre-Interviews
    - 1.  a. 2010-06-15 MFR of Interview with Steven Bensinger
    - 2.  b. 2010-06-16 MFR of David Viniar Interview
    - 3.  c. 2010-06-18 MFR of Andrew Davilman Interview
  - c.  3. Documents of Selected Key Quotes
    - 1.  a. Key Quotes - Steve Bensinger
    - 2.  b. Key Quotes - David Viniar
    - 3.  d. Key Quotes - Elias Habayeb
    - 4.  e. Key Quotes - Andrew Forster
  - d.  4. Significant Past Testimony
    - 1.  a. 2010-04-27 D Viniar Testimony Before the Senate Permanent Subcommittee on Investigations
    - 2.  b. 2010-01-27 E Habayeb Testimony before the House Oversight Committee
- 6.  VI. Day 2, Panel 2 - Regulators
  - a.  1. Witness Bios
    - 1.  a. Witness Bios - Regulators
  - b.  2. Transcripts or MFRs of Pre-Interviews
    - 1.  a. 2010-05-14 Summary of Gary Gensler Interview
    - 2.  b. 2010-05-14 Transcript of Interview of Gary Gensler
    - 3.  c. 2010-05-27 MFR of Interview with Gary Gensler
    - 4.  d. 2010-06-04 MFR of Interview with Eric Dinallo
  - c.  3. Documents of Selected Key Quotes
    - 1.  a. Key Quotes - Gary Gensler
    - 2.  b. Key Quotes - Eric Dinallo
  - d.  4. Significant Past Testimony
    - 1.  a. 2008-10-07 Testimony of E Dinallo Before the House Oversight Committee
    - 2.  b. 2009-10-07 G Gensler Testimony Before House Financial Services Committee
    - 3.  c. 2008-10-14 Dinallo Testimony Before the Senate Ag Committee
    - 4.  d. 2009-03-05 E Dinallo Tesimony Before the Senate Banking Committee
    - 5.  e. 2009-06-22 G Gensler Testimony Before the House Financial Services Committee
- 7.  H5 Background Binder Index
- c.  Hearing Briefing Binder

1.  I. Agendas, Logistics, and Media Advisory
  - a.  a. Agenda for Commission Briefing Session on 6-29-2010
  - b.  b. Agenda and Witness List FOR Hearing June 30-July 1\_2010
  - c.  c. H5\_logistics\_Commissioners
  - d.  d. Media Advisory 6 25 10
2.  II. DAY ONE, WEDNESDAY, JUNE 30, 2010
  - a.  SESSION 1 – Experts
    1.  a. derivatives\_overview\_psr\_6 25
    2.  b. derivatives\_psr\_credit derivatives - Jun 25
    3.  c. Witness Bios - Experts
    4.  d-i. FCIC-Final\_Greenberger\_Testimony
    5.  d-ii-a. 20100629\_Kyle\_for\_FCIC\_FER\_statement\_Reforming the OTC Derivatives Market (copy)
    6.  d-iii. Steven W. Kohlhagen testimony
    7.  d-iv. MichaelMastersFCICTestimony2
    8.  e. Witness Questions - Expert Panel - Day 1\_Session 1
    9.  OLD FCIC Michael Greenberger Testimony June 27 2010
    10.  OLD MichaelMastersTestimonyFCIC
  - b.  SESSION 2 – AIG
    1.  a. 2010-06-24 Draft Summary of PIR on AIG
    2.  b. Witness Bios - AIG
    3.  c-i. Cassano Statement
    4.  c-ii. Lewis Testimony
    5.  c-ii. OLD Lewis Testimony
    6.  c-iii. Martin Sullivan FCIC Prepared Testimony
    7.  d. Witness Questions - AIG Panel - Day 1\_Session 2
  - c.  SESSION 3 – Goldman Sachs
    1.  a. 2010-06-24 Draft Summary of PIR on Goldman Sachs
    2.  b. Witness Bios - Goldman Sachs
    3.  c-i. Testimony from Craig Broderick to FCIC 063010
    4.  c-ii. Testimony from Gary Cohn to FCIC 063010
    5.  d. Witness Questions - Goldman Sachs Panel - Day 1\_Session 3
3.  III. DAY TWO, THURSDAY, JULY 1, 2010
  - a.  SESSION 1 –AIG\_GOLDMAN
    1.  a. Witness Bios - AIG and Goldman
    2.  b-i. S. Bensinger - FCIC Statement
    3.  b-ii. Elias Habayeb Testimony
    4.  b-iii. Testimony of David Lehman to FCIC 07-01-10
    5.  b-iv. Testimony from David Viniar to FCIC 070110
    6.  b-v. Andrew\_Forster Testimony
    7.  c. Witness Questions - AIG and Goldman Sachs Panel - Day 2\_Session 1
  - b.  SESSION 2 –Regulators
    1.  a. Witness Bios - Regulators
    2.  b-i. Dinallo written tstmny for FCIC 7-1-10
    3.  b-ii. Gensler\_Gary. Testimony final clean
    4.  b-iii. CK Lee. FCIC.testimony
    5.  c. Witness Questions - Regulation Panel - Day 2\_Session 2
4.  IV. SUPPLEMENTAL INVESTIGATIVE DOCUMENTS

































- a.  a. 2010-06-25 Draft PIR on AIG
    - b.  b. 2010-06-25 Draft PIR on Goldman Sachs
  - 5.  OUTLINE - H5 Briefing book for Commissioners (1)
- d.  Hearing Follow-Up
  - 1.  Follow-up Letters
    - a.  2010-08-23 Follow-up Letter to Gary Gensler
    - b.  2010-08-23 Follow-up Letter to M Greenberger
    - c.  2010-08-26 Follow-Up Letter to David Lehman (copy)
    - d.  2010-08-30 Follow-up Letter to Mr. Broderick (copy)
    - e.  2010-08-30 Follow-Up Letter to Mr. Cassano (copy)
    - f.  2010-08-31 Follow-Up Letter to Mr. Lee (copy)
    - g.  2010-08-31 Follow-Up Letter to Mr. Viniar (copy)
    - h.  2010-09-13 Follow-Up Letter 2 to Joseph Cassano
  - 2.  Responses
    - a.  2010-09-09 CK Lee Response to Follow-Up
    - b.  2010-09-10 Broderick Response to Follow-Up
    - c.  2010-09-10 Viniar Response to Follow-Up
    - d.  2010-09-14 Gensler Response to Hearing Follow-Up
    - e.  2010-09-29 Michael Greenberger Response to Follow-Up
    - f.  2010-10-08 Cassano Follow-Up
    - g.  2010-11-03 OTS Response to Hearing Follow Up
  - 3.  2010-9-4 Derivatives Takeaways
  - 4.  H5 Commissioner Follow-up Questions (original)
  - 5.  H5 Commissioner Follow-up Questions Grid
- e.  Hearing transcripts
  - 1.  FCIC Derivatives\_hearing\_day\_one\_transcript\_FINAL
  - 2.  FCIC Derivatives\_hearing\_day\_two\_transcript\_FINAL
- f.  MFRs\_Transcripts\_Interview Notes
  - 1.  2010-02-22 MFR re Interview with Frank Partnoy
  - 2.  2010-03-10 Interview notes - IMF Randall Dodd - Background - Derivatives
  - 3.  2010-03-16 Interview notes - FRBNY Theo Lubke - Background sources
  - 4.  2010-03-30 Transcript - Interview of Patrick Parkinson
  - 5.  2010-04-09 Interview notes - Michael Greenberger - Derivatives
  - 6.  2010-04-20 Interview notes - FRBNY Theo Lubke - Background - Derivatives
  - 7.  2010-05-04 MFR re Interview with Andrew Feldstein
  - 8.  2010-05-04 MFR re interview with Laurie Goodman
  - 9.  2010-05-07 Interview notes - Satyajit Das - Derivatives
  - 10.  2010-05-07 Transcript - Interview of Satyajit Das
  - 11.  2010-05-11 MFR re Interview with Adam White
  - 12.  2010-05-11 MFR re Interview with Bob Litan
  - 13.  2010-05-11 MFR re Interview with Richard Bookstaber
  - 14.  2010-05-12 MFR re Interview with Emanuel Derman
  - 15.  2010-05-13 MFR re Interview with Henry Hu
  - 16.  2010-05-14 MFR re Interview with Darrell Duffie
  - 17.  2010-05-14 Transcript - Interview of Gary Gensler
  - 18.  2010-05-17 MFR re Interview with Anthony Sanders
  - 19.  2010-05-19 G Feldberg MFR re interview with Martin Mayer
  - 20.  2010-05-20 Interview notes - FRB Mark Van der Weide - Background sources













































21.  2010-05-24 Interview notes - Steve Kohlhagen - Derivatives
22.  2010-05-27 G Feldberg MFR re interview with Bob Pickel
23.  2010-05-27 G Feldberg MFR re interview with Gary Gensler
24.  2010-06-10 MFR re Interview with Darryll Hendricks
- g.  Misc
3.  Memorandum
  - a.  2010-08-22 Memo re Goldman's CDS Protection and other Hedges Against AIG\_2\_
4.  PSR Outline - Derivatives - Hearing 5b - 4 29
5.  RIP - Derivatives - Hearing 5b - 4 14
6.  RIP - Derivatives - Hearing 5b - 4 27
- B.  Credit Rating Agencies
  1.  Documet Requests
    - a.  2010-02-03 G Cohen ltr to ISDA (Tew Darras) (copy)
    - b.  2010-02-09 G Cohen ltr to JPMorgan (S Cutler) (copy)
    - c.  2010-02-09 G Cohen ltr to WFB (J Strother) re Doc Production (copy)
    - d.  2010-03-10 G Cohen ltr to Moody's (S Ross) re Doc Production (copy)
    - e.  2010-03-10 G Cohen ltr to S& P (B Markley) re Doc Production (copy)
  2.  Hearing 5a
    - a.  Documents
      1.  berkshire hathaway ltr to Shareholders
        - a.  2001pdf
        - b.  2002pdf
        - c.  2003ltr
        - d.  2004ltr
        - e.  2005ltr
        - f.  2006ltr
        - g.  2007ltr
        - h.  2008ltr
        - i.  2009ltr
      2.  2000-09-15 Moody's Approach to Rating Multisector CDOs
      3.  2002 - Moody's Corporation Achieves 1 Billion in Revenue in 2002 (commemorative plaque)
      4.  2004-10-1Moody's Investors Service Record Retention Policy for Non-Public Records Used in the Credit
      5.  2005-06-27 Moody's Revisits its Assumptions Regarding Structured Finance Default and Asset Correlati
      6.  2005-2006 Kolchinsky Performance Evaluation
      7.  2006 - Moody's Corporation Achieves 2 Billion in Revenue in 2006 (commemorative plaque)
      8.  2006-08-17 Yoshizawa Email to Derivatives Monitoring Group re Deal Assignment - Muni Healthcare Edu
      9.  2007-01-04 Email from Sunil Surana to MDs re 2006 US Derivative Market Share
      10.  2007-02-05 Email from Sunil Surana to MDs re Jan 07 US Derivatives Market Share
      11.  2007-02-20 Email from Kolchinsky to Clarkson re Subprime ABS CDO Update
      12.  2007-03-28 Yoshizawa email to Kirnon, McDaniel, Kolchinsky re CSFB Pipeline Info
      13.  2007-05-04 Email from Sunil Surana to MDs re 2007 CDO Market Share.xls
      14.  2007-06-20 Yoshizawa email to Fu and Kolchinsky re BSAM Update
      15.  2007-06-26 Moody's Investors Service Form NRSRO Application to SEC




















































































16.  2007-07-04 Email from Nicolas Weill to Raymond McDaniel, Brian Clarkson, July 4, 2007.
17.  2007-07-10 Moody's Downgrades Subprime First-Lien RMBS
18.  2007-07-10 Moody's Downgrades Subprime First-Lien RMBS
19.  2007-07-11 Lam email to Yoshizawa to 2Q Market Coverage CDO updated
20.  2007-08-12 Tomlinson and Evans - The Rating Charade (Bloomberg)
21.  2007-09-21 A Kimball email to E Kolchinsky re Servicer Survey and New Grid for CDOs of ABS
22.  2007-09-21 Moody's Updates Assumptions for Structured Finance CDOs
23.  2007-10-04 Lam email to Yoshizawa, Kolchinsky, et al re 3Q Market Coverage CDO
24.  2007-10-11 Moody's Downgrades 33.4 Billion of 2006 Subprime First-Lien RMBS and Affirms 280Billion A
25.  2007-11-11 D Collins Email Town Hall Feedback
26.  2008-04-11 Lucchetti - As Housing Boomed, Moody's Opened Up (WSJ)
27.  2008-05-20 Jones - Moody's Error Gave Top Ratings to Debt Products (FT)
28.  2008-08-04 Gary Gorton - The Panic of 2007 (FRBKC)
29.  2008-08-11 Moody's Response to SEC Examination Report
30.  2008-10-22 Jerome Fons Testimony to House Oversight Committee
31.  2008-12-11 Moody's Updates Its Key Assumptions for Rating Structured Finance CDOs
32.  2009-09-30 Kolchinsky Testimony to House Oversight Committee
33.  2010-04-19 Transcript of Interview of Jay Eisbruck
34.  2010-04-21 Transcript of Interview with Gary Witt
35.  2010-04-22 Transcript of Interview of Jerome Fons
36.  2010-04-23 PSI Credit Rating Agencies (copy)
37.  2010-04-23 Richard Michalek Testimony to Permanent Subcommittee on Investigations
38.  2010-04-27 MFR of Interview of Mark Froeba
39.  2010-04-27 Transcript of Interview with Eric Kolchinsky
40.  2010-05 Moody's Rating Symbols and Definitions
41.  2010-05-11 Transcript of Interview with Nicolas Weill
42.  2010-05-17 Transcript of Interview with Yuri Yoshizawa
43.  2010-05-20 Transcript of B Bondi Interview with Brian Clarkson
44.  2010-05-21 Transcript of Interview with Raymond McDaniel
45.  2010-05-26 Transcript of Interview with Roger Stein
46.  2010-05-26 Transcript of Interview with Warren Buffett
47.  Complex Mortgage Related Asset Backed Securities Rating Fee Schedule
48.  Corporate Library Board Analyst Profile of Moody's
49.  E Kolchinsky email to Y Fu, Y Yoshizawa 2006-5-30
50.  Email from Raymond McDaniel to Nicolas Weill, Andrew Kimball, and Brian Clarkson, March 19, 2008.
51.  Examination of Moodys Investor Services, Inc July 11, 2008
52.  Figure 1 - 60+ Days Delinquency
53.  Figure 2 - GSC ABS CDO 2006-2m Estimated Total Market Value of Collateral
54.  First Quarter 2007 Deal List (Moody's, S&P, Fitch deal sizes)
55.  hearing 5 Long doc list
56.  hearing 5 updated short doc list
57.  Key Excerpts from FCIC staff Interview of Roger Stein












































58.  Key Excerpts of FCIC Staff Interview with Jay Siegel
59.  Kolchinsky Chronology
60.  Montana Board of Investments Letter to FCIC
61.  Moody's Investors Service Record Retention Policy for Non-Public Records Used in the Credit Research
62.  MOODY'S-COGR-0002561 - 0002586 2007 Strategic Plan Overview
63.  MOODY'S-COGR-0004710 - 0004711 R McDaniel email to Alica Bass
64.  MOODY'S-COGR-0018860 M Kanef Email to B Clarkson re Subprime Script for BC D1
65.  MOODY'S-COGR-0019397 - 0019409 Testimony of Warren Kornfeld
66.  MOODY'S-COGR-0019693 - 0019695 B Mary email to Moodys subprime Working group (July 11, 2007)
67.  MOODY'S-COGR-0038025 - 0038029 A Kimball memo re Credit Policy Issues at Moodys
68.  MOODY'S-COGR-0039859 R McDaniel email to Linda Huber (June 11, 2008)
69.  MOODY'S-COGR-0042037 - 0042042 Email chain Ratings Credibility Outreach 2
70.  MOODY'S-COGR-0057229 Y Yoshizawa email to B CLarkson (Oct 18, 2007)
71.  MOODY'S-COGR-0064550 - 0064553 Threats and Possible Responses
72.  MOODY'S-COGR-0067365 - 0067366 ERM RISK The Ratings Business
73.  MOODY'S-COGR-0067972 - 0067975 L Levenstein Email to Y Yoshizaawa re Financial Guarantors Special Co
74.  MOODY'S-COGR-0072143 - 0072145 A Mirenda to B Clarkson re WSJ-Next Steps Culture article (Mar 5, 200
75.  MOODY'S-COGR-0076800 - 0076801 L Huber email to G Jonas re Market reaction to rating enhancements (j
76.  Moody's - The First Hundred Years (Stimpson and Mahoney) pp. 264-266
77.  MOODY'S-COGR-0018594 2007-03-23 Email from McDaniel to Clarkson re Sub-Prime and Player-Ump Conflict
78.  MOODY'S-CORG-0057229 Y Yoshizawa email to B Clarkson (oct 18, 2007)
79.  MOODYS-FCIC-0002561 - 0002586 Report on the Financial Stability Forum on enhancing Market and Instit
80.  MOODYS-FCIC-0002561 July 2007 MCO Strategic Plan (McDaniel) [unredacted]
81.  MOODYS-FCIC-0002978-0003015 - Moody's 2007 Operating Plan
82.  MOODYS-FCIC-0003712 - Moody's Investor Service Securities Trading Policy
83.  MOODYS-FCIC-0003716 (2003-03-03) Moody's Bonus Ltr to Kolchinsky from Rutherford
84.  MOODYS-FCIC-0005186 - Derivatives (Americas) Org Chart
85.  MOODYS-FCIC-0005198 - Derivatices (Americas) Org Chart II
86.  MOODYS-FCIC-0005390 - Legal Violations Letter to Michael Kanef
87.  MOODYS-FCIC-0007328 (2006-05-26) Ratings Memorandum GSC ABS CDO 2006-2m Ltd
88.  MOODYS-FCIC-0008593 Rating Committee Memo CMLTI 206-NC2 (2006-08-29)
89.  MOODYS-FCIC-0012073 - Email From Jay Siegel Regarding Revised Silent Second
90.  MOODYS-FCIC-0012075 - Email from Jay Siegel Regarding Benefit For SQ1 Servicer
91.  MOODYS-FCIC-0012079 - Email from Jay Siegel Regarding the Interim Rule
92.  MOODYS-FCIC-0012103 - Email from Jay Siegel Regarding Second Liens IOs
93.  MOODYS-FCIC-0014789 Complex Collateralized Bond and Loan Obligations Rating Fee Schedule
















































94.  MOODYS-FCIC-0014809 Residential Mortgage Securitizations (Home Equity and Overcollateralization Stru
  95.  MOODYS-FCIC-0016904 - Email from Michael Zoccoli Regarding the Sep 2002YTD Distribution
  96.  MOODYS-FCIC-0033971 - Email from Jay Siegel Subject-Rating Feedback Discussion
  97.  MOODYS-FCIC-0035795 - Email from Brian Clarkson Subject-June YTD AFG by Analyst
  98.  MOODYS-FCIC-0035795 (2001-07-05) B Clarkson email re June YTD AFG by Analyst - 1st Half 2001 AFG
  99.  MOODYS-FCIC-0038399 (2010-04-02) Moody's Wells Submission to SEC
  100.  MOODYS-FCIC-0052868 - Email from Mandy Roberts to Wendy Lynn
  101.  MOODYS-FCIC-0055776 - Email from Ray McDaniel Subject-Fitch Criticises Ratings of CPDOs
  102.  MOODYS-FCIC-0184795 - Email from Ray McDaniel Subject-Re Short Guide to Subprime
  103.  MOODYS-FCIC-0207797 Memo from J Goggins re Record Retention Policy 2005-02-15
  104.  MOODYS-FCIC-0207805 Memo from J Goggins re Record Retention Policy 2006-02-15
  105.  MOODYS-FCIC-0284278 (2007-07-19) Memo from B Clarkson to BOD re Subprime Update
  106.  PSI-MOODYS-000072 [Ex16] (2006-01-12) W May Email to G Harris re BES and PEs
  107.  PSI-MOODYS-000090 [Ex 19] (2006-04-27) Email from O'Driscoll to Yoshizawa re Magnolia 2006-5 Class D
  108.  PSI-MOODYS-000096 [Ex 15] B Clarkson Email to N Kirnon re performance evaluation (2003-12-01)
  109.  PSI-MOODYS-MS-000001 [Ex 24a] (2007-10-05) Email from Y Yoshizawa to MDs re 3Q Mkt Coverage CDOs
  110.  SEC\_OCIE\_FCIC\_000001 - SEC Letter to Ray McDaniel
  111.  SEC\_OCIE\_FCIC\_000010 -SEC Fax to Ray McDaniel
  112.  SEC\_OCIE\_FCIC\_000496 - Examination Report to Moody's Investor Services, Inc
  113.  SEC\_OCIE\_FCIC\_000512 - SEC Credit Rating Agencies Report 1
  114.  SEC\_OCIE\_FCIC\_000550 - The Office of Compliance Inspections & Examinations Memo
  115.  Subprime Methodology Changes
  116.  Table 1 - Capital Structure and Ratings of CMLTI 2006-NC2 RMBS
- b.  Hearing Background Materials
1.  I. Background Reading
    - a.  a. SEC Examination Report for Moody's Investor Services Inc
    - b.  b. Summary Report of Issues Identified in SEC Examinations of Select Rating Agencies (July 2008)
    - c.  c. 2010-04-23 Levin and Coburn memo re Wall Street and the Financial Crisis - The Role of Rating
    - d.  d. 2010-04-23 Senator Levin PSI Opening Statement on The Role of Credit Rating Agencies
    - e.  e. 2009-06 Stocktaking on the Use of Credit Ratings (Joint Forum of Basel, BIS, Intl Org Securities
    - f.  f. 2010-04-23 Michalek Testimony to Senate Permanent Subcommittee on Investigations
    - g.  g. 2008-04-11. Article. LUCCHETTI\_ AARON\_ As Housing Boomed\_

- Moody's Opened Up\_ Wall Street Journal
- h.  h. 2008-04-11. Article. Excerpts from Moody's interviews. Wall Street Journal
- i.  i. 2008-07-12. Article. The Reckoning. Debt Watchdogs \_ Tamed or Caught Napping. Morgenson\_Gretchen.
- j.  j. Credit Ratings - Legislation
- k.  k. 2010-05-26 Transcript of Interview with Roger Stein
- 2.  II. Panel 1 - The Ratings Process
  - a.  1. Witness Bios
    - 1.  a. Witness Bios - The Ratings Process REVISED
  - b.  2. Transcripts or MFRs of Pre-Interviews
    - 1.  a. 2010-04-27 Transcript of Interview with Eric Kolchinsky
    - 2.  b. 2010-05-11 Transcript of Interview with Nicolas Weill
    - 3.  c. 2010-04-21 Transcript of Interview with Gary Witt
    - 4.  d. 26 May 2010 Transcript of Interview with Jay Siegel
  - c.  3. Documents of Key Selected Quotes
    - 1.  a. Key Quotes - Eric Kolchinsky
    - 2.  b. Key Quotes - Nicolas Weill
    - 3.  c. Key Quotes - Gary Witt
  - d.  4. Significant Past Testimony
    - 1.  a. 2009-09-30 Kolchinsky Testimony to HOC re Rating Agencies and Next Financial Crisis
    - 2.  b. 2010-04-23 Kolchinsky Testimony to Senate Permanent Subcommittee on Investigations
- 3.  III. Panel 2 - Credit Ratings and Financial Crisis
  - a.  1. Witness Bios
    - 1.  a. Witness Bios - Credit Ratings and the Financial Crisis
  - b.  2. Transcripts or MFRs of Pre-Interviews
    - 1.  a) Transcript of 26 May 2010 FCIC Interview of Warren Buffett
    - 2.  b. 2010-05-21 Transcript of Interview with Raymond McDaniel
  - c.  3. Documents of Key Selected Quotes
    - 1.  a. Key Quotes - Warren Buffett
    - 2.  b. Key Quotes - Raymond McDaniel
  - d.  4. Significant Past Testimony
    - 1.  a. 2008-10-22 McDaniel Testimony before House Oversight Committee on Rating Agencies
    - 2.  b. 2010-04-23 McDaniel and Yoshizawa Testimony to Senate Permanent Subcommittee on Investigations
- 4.  IV. Panel 3 - The Rating Agency Business Model
  - a.  1. Witness Bios
    - 1.  a. Witness Bios - The Rating Agency Business Model
  - b.  2. Transcripts or MFRs of Pre-Interviews
    - 1.  a. 2010-05-20 Transcript of B Bondi Interview with Brian Clarkson
    - 2.  b. 2010-04-27 T Borgers MFR of Interview of Mark Froeba
  - c.  3. Documents of Selected Key Quotes
    - 1.  a. Key Quotes - Brian Clarkson
    - 2.  b. Key Quotes - Mark Froeba
  - d.  4. Significant Past Testimony
- 5.  H5 Background Briefing Binder Index
- c.  Hearing Briefing Binder
  - 1.  I. Agendas, Timelines, Logistics, and Media Adv



































- a.  a. Agenda for Commission Briefing Session on 6-1-2010
- b.  b. Agenda and Witness List FOR Hearing June 2\_2010
- c.  c. H5\_logistics\_Commissioners
- d.  d. Media Advisory 5.26.10 - Final.doc
- 2.  II. MATERIALS for DAY ONE, WEDNESDAY, JUNE 2, 2010
  - a.  1) SESSION 1 – The Ratings Process
    - 1.  a) PSR Credit Ratings and the Financial Crisis 5-28-2010 \_
    - 2.  b) 2010-06-08 Investigative Report Rating Agencies CLEAN
    - 3.  b-i. Key Excerpts from FCIC Staff Interview of Roger Stein
    - 4.  b-ii. Interview Highlights for H5 Hearing Witnesses
    - 5.  b-iii. 2010-05-31 Moody's Financial Summary
    - 6.  c. Session 1- Witness Bios - The Ratings Process - Revised
    - 7.  d-i. Eric Kolchinsky Testimony
    - 8.  d-ii. Nicholas Weill Testimony
    - 9.  d-iii. Gary Witt Testimony
    - 10.  d-iii\_1. Witt-CRA
    - 11.  d-iii\_2. Witt -CDOROM driven Correlated Binomial Sep 2005
    - 12.  d-iii\_3. Witt - Correlated Binomial Aug 2004
    - 13.  d-iii\_4. Witt -MultiSectorCDO Sep 2000
    - 14.  d-iii\_5. Witt -SF CDO Correlation Jun 2005
    - 15.  d-iv. Jay Siegel Testimony
    - 16.  e. H5 - Session 1 questions
    - 17.  OLD b) 2010-05-28 Investigative Report Credit Ratings v3
    - 18.  OLD b) 2010-05-31 REVISED and REDlined Investigative Report - Credit Ratings
    - 19.  OLD b) 2010-06-08 REDLINED Rating Agencies Investigative Report
  - b.  2) SESSION 2 – Credit Ratings and the Financial C
    - 1.  a) Berkshire Hathaway and Warren Buffett FCIC Summary Report May 27(3)
    - 2.  a-i. Buffett \_Berkshire addendum
    - 3.  b. Session 2 - Witness Bios - Credit Ratings and the Financial Crisis
    - 4.  c-ii. Raymond McDaniel Testimony
    - 5.  d. H5 - Session 2 questions
  - c.  3) SESSION 3 – The Credit Rating Agency Business M
    - 1.  a. Session 3 - Witness Bios - The Rating Agency Business Model
    - 2.  b-i. Brian Clarkson Testimony
    - 3.  b-ii. Mark Froeba Testimony
    - 4.  b-iii. MICHALEK-Richard CORRECTED 5 21 10.doc
    - 5.  c. H5 - Session 3 questions
- 3.  OUTLINE - H5 Briefing book for Commissioners (5-27-2010)
- d.  Hearing follow-up
  - 1.  Follow Up Letters
    - a.  Credit Rating Agency Follow Up Letter Kolchinsky
    - b.  Credit Rating Agency Follow Up Letter Moodys
    - c.  Credit Rating Agency Follow Up Letter Witt
    - d.  Froeba follow up
    - e.  Moody's follow up question
  - 2.  Response Letters From Witnesses
    - a.  Kolchinsky response to hearing follow up 7-24-2010

- b.  Moody's follow up letter 7-30-2010
        - c.  Moody's follow up Letter 8-10-10
        - d.  Moody's follow up Letter 8-25-10
        - e.  Moody's follow up Letter 9-10-10
        - f.  Moody's Follow up Letter 9-3-10
        - g.  Moodys response to special request regarding Money Mkt funds
        - h.  Question 14 question mark
        - i.  Witt response to hearing follow up 19-07-2010
      - 3.  2010-10-14 Hearing 5 Follow-up Memo
      - 4.  H5a Commissioner Follow-up Questions (original)
      - 5.  H5a Commissioners Follow-up Questions Grid
      - 6.  takeaways\_from\_credit\_rating\_agencies\_research\_and\_investigation\_plan\_8 25
    - e.  Hearing transcript
      - 1.  2010-06-02 HEARING 5A TRANSCRIPT [RATING AGENCIES]
    - f.  MFRs Transcripts Hearing Notes
    - g.  Misc
      - 1.  2000-2009 Berkshire Hathaway Shareholder Letters
      - 2.  26 May 2010 Interview Transcript of Warren Buffett
      - 3.  Warren Buffett House Oversight investigation Sub-Committee
      - 4.  Warren Buffett Questions for H5 June 2, 2010
  - 3.  RIP - Credit Rating Agencies - Hearing 5a
- C.  Excess Risk - Fin. Spec.
  - 1.  ESR Research and Investigative Plan 5 31
  - 2.  PIR - Excess Risk and Financial Speculation - Merrill Lynch
- D.  Hearing 1
  - 1.  Documents
  - 2.  Hearing follow-up
    - a.  Letters to witnesses
      - 1.  2010-01-27 T Greene Ltr to D Crawford (copy)
      - 2.  2010-01-27 T Greene Ltr to E Holder (copy)
      - 3.  2010-01-27 T Greene Ltr to G Theobald (copy)
      - 4.  2010-01-27 T Greene Ltr to J Gordon (copy)
      - 5.  2010-01-27 T Greene Ltr to J Suthers (copy)
      - 6.  2010-01-27 T Greene Ltr to K Bass (copy)
      - 7.  2010-01-27 T Greene Ltr to K Rosen (copy)
      - 8.  2010-01-27 T Greene Ltr to L Madigan (copy)
      - 9.  2010-01-27 T Greene Ltr to M Mayo (copy)
      - 10.  2010-01-27 T Greene Ltr to M Schapiro (copy)
      - 11.  2010-01-27 T Greene Ltr to P Solomon (copy)
      - 12.  2010-01-27 T Greene ltr to R Cloutier (copy)
      - 13.  2010-01-27 T Greene Ltr to S Bair (copy)
      - 14.  2010-01-28 T Greene Ltr to L Blankfein (copy)
      - 15.  2010-02-02 T Greene Ltr to B Moynihan (copy)
      - 16.  2010-02-02 T Greene Ltr to J Dimon (copy)
      - 17.  2010-02-02 T Greene Ltr to J Mack (copy)
    - b.  Response letters from witnesses
      - 1.  2010-01-29 M Mayo Response to Follow Up Qs (copy)
      - 2.  2010-02-03 P Solomon ltr to Chair re Hearing 1 Follow-Up (copy)





































3.  2010-02-23 BofA (R Brown) ltr to G Cohen re Doc Production (copy)
4.  2010-02-26 D Crawford ltr to T Greene re Hearing One Follow-Up (copy)
5.  2010-02-26 DOJ (R Weich) Ltr to T Greene re DOJ Response to FCIC Doc Request (copy)
6.  2010-02-26\_Colorado AG Fax to T Greene re Extension of Time to Answer FCIC Inquiries (copy)
7.  2010-03-01 J Dimon ltr re Hearing One Follow-Up (copy)
8.  2010-03-01 J Gordon Response to Hearing One Follow-Up (copy)
9.  2010-03-01 K Rosen ltr re Response to Hearing 1 Follow-Up (copy)
10.  2010-03-01\_K Bass Ltr to T Greene re Response to FCIC Request For Additional Info (copy)
11.  2010-03-05 M Shapiro Response re Hearing One Follow-Up (copy)
12.  2010-03-08 L Blankfein Response to Hearing One Follow-Up (copy)
13.  2010-03-11 FDIC (P Nash) Ltr to T Greene re Response to Questions (copy)
14.  2010-03-16 JPM (S Cutler) Ltr to T Greene re Response to Questions (copy)
15.  2010-03-22 G Theobald Response to Hearing One Follow-Up (copy)
16.  2010-03-22 Morgan Stanley (R Yanes) Ltr to T Greene re Response to FCIC Follow-up Questions (copy)
17.  2010-03-30 BofA (R Brown) ltr to T Greene re Hearing One Follow-Up (copy)
18.  2010-04-07 D Crawford ltr to T Greene re Hearing One Follow-Up (copy)
19.  2010-04-16 E Holder ltr to G Cohen re Hearing One Follow-Up (copy)
20.  2010-04-21 B Moynihan (R Brown) Ltr to T Greene re Response to H1 Follow-up (copy)
21.  2010-04-27 L Madigan Hearing One Follow-Up (copy)
22.  2010-04-27 Morgan Stanley (R Yanes) ltr to Seefer re Doc Production (copy)
23.  2010-05-03 JP Morgan Chase (S Cutler) Ltr to T Greene re Hearing 1 Followup (copy)
24.  2010-05-08 BofA (R Brown) ltr to FCIC re Supp Resp to Feb 2 Letter (copy)
25.  2010-05-10 R Cloutier ltr re Hearing One Follow Up (copy)
26.  2010-05-13 BofA (R Brown) ltr to FCIC re Hearing One Follow-Up (copy)
27.  2010-05-19 BofA (R Brown) ltr to FCIC re Hearing One Follow-Up (copy)
28.  2010-05-28 Morgan Stanley (R Yanes) ltr to Seefer Hearing One Follow-Up (copy)
29.  Blankfein Questions not answered
30.  Dimon's Questions not answered
31.  K Rosen H1 follow up answers
32.  Mack Questions not answered
33.  Moynihan questions not answered
- c.  2010-08-23 H1 follow-up memo
- d.  H1 Commissioner Follow-up Questions (original)
- e.  H1 Commissioner Follow-up Questions Grid
3.  Hearing transcripts
  - a.  2010-01-13 HEARING 1 TRANSCRIPT - DAY 1
  - b.  2010-01-14 HEARING 1 TRANSCRIPT - DAY 2
4.  Hrg Bk
  - a.  Briefing Book Exhibits
    1.  Briefing Book - Exhibit 1
    2.  Briefing Book - Exhibit 10
    3.  Briefing Book - Exhibit 11
    4.  Briefing Book - Exhibit 12

5.  Briefing Book - Exhibit 13
  6.  Briefing Book - Exhibit 14
  7.  Briefing Book - Exhibit 15
  8.  Briefing Book - Exhibit 16
  9.  Briefing Book - Exhibit 17
  10.  Briefing Book - Exhibit 18
  11.  Briefing Book - Exhibit 19
  12.  Briefing Book - Exhibit 2
  13.  Briefing Book - Exhibit 3
  14.  Briefing Book - Exhibit 4
  15.  Briefing Book - Exhibit 5
  16.  Briefing Book - Exhibit 6
  17.  Briefing Book - Exhibit 7
  18.  Briefing Book - Exhibit 8
  19.  Briefing Book - Exhibit 9
  20.  Briefing Book - Exhibit Index
  - b.  Part 1 - Witness List
    1.  Hearing Panels
      - a.  Panel 1 - Bank of America
      - b.  Panel 1 - Goldman Sachs
      - c.  Panel 1 - JPMorgan Chase
      - d.  Panel 1 - Morgan Stanley
      - e.  Panel 2 - Market Participation
      - f.  Panel 3 - Impacts on Economy
    2.  Part 1 - Witness list
  - c.  Part 2 - Overview & Logistics
    1.  Part two - Overview & Logistics
  - d.  Part 3 - Day One Fact Sheet
    1.  Part three - Day One Fact Sheet
  - e.  Part 4 - Day Two Overview
    1.  Part 4 - Day Two Overview
  - f.  Briefing Book for Hearing 1 - Index
5.  Hrng bg Mat.
  - a.  I-BG Read
    1.  1. Goldman Sachs
      - a.  08-09-17 NYT - Goldman Posts Profit, and Morgan Follows Suit
      - b.  08-09-22 NYT - Radical Shift For Goldman and Morgan
      - c.  08-10-02 FT.com - Eased mark-to-market rule lifts banks
      - d.  08-12-17 NTY - Goldman Sachs Reports \$2.1 Billion Quarterly Loss
      - e.  09-07-13 Rolling Stone - The Great American Bubble Machine
      - f.  09-08-06 NYT - Despite Bailouts, Business as Usual at Goldman
      - g.  09-09-29 NYPost - The Secret to Goldman Sachs' Good Fortune
      - h.  09-10-10 WSJ - The Bank Everybody Loves to Hate
      - i.  09-10-12 FT.com - To avoid crises, we need more transparency
      - j.  09-10-24 NTY - Short Memories at Goldman
      - k.  09-11-02 McClatchy - Goldman takes on new role- taking away people's homes
      - l.  09-11-06 McClatchy - Goldman left foreign investors holding the subprime bag




































- m.  09-11-06 McClatchy - How Goldman secretly bet on the U.S. housing crash
  - n.  09-11-06 McClatchy - Why did blue-chip Goldman take a walk on subprime's wild side
  - o.  09-11-08 Times - I'm doing 'God's work'. Meet Mr Goldman Sachs
  - p.  09-11-11 Blankfein defends pay levels for 'more productive' Goldman staff
  - q.  09-11-17 SIGTARP - Factors Affecting Efforts to Limit Payments to AIG Counterparties
  - r.  09-11-18 - \$500 Million and Apology From Goldman
  - s.  09-12-10 Goldman Sachs - Goldman Sachs Announces Changes to 2009 Compensation Program
  - t.  09-12-10 WSJ - CORRECT-UPDATE-Goldman Sachs Top Execs Get No Cash Bonus For 2009
  - u.  09-12-11 FT.com - Goldman scraps cash bonuses for 30 top executives
  - v.  09-12-11 LATimes - Goldman scraps cash bonuses for 30 top executives
  - w.  09-12-11 NYT - Goldman Sachs Alters Its Bonus Policy to Quell Uproar
  - x.  09-12-14 ENP Newswire - Goldman Sachs Announces changes to 2009 Compensation Program
  - y.  09-12-16 NYPost - Goldman Suit Over Bonus
  - z.  09-12-16 NYT - As Goldman Thrives, Some Say an Ethos Has Faded
  - aa.  09-12-24 NYT - Banks Bundled Bad Debt, Bet Against It and Won
  - ab.  09-12-30 McClatchy - Goldman's offshore deals deepened global financial crisis
  - ac.  10-01-04 VanityFair - The Bank Job
  - ad.  10-01-05 McClatchy - Goldman's chief executive apologizes for part in fiscal crisis
  - ae.  10-01-07 - Supreme Court of the State of New York, County of New York
  - af.  10-01-07 Reuters - Goldman sued by pension fund over bonus plans
  - ag.  10-01-11 - Goldman Sachs Weighs Requirements for Charity
  - ah.  10-01-11 Bloomberg - Geithner rejects Goldman Sachs Assertion It Didn't Need U.S. Help
  - ai.  10-01-11 Westchester County - Goldman Sachs subsidiary files flurry of mortgage lawsuits
  - aj.  10-01-12 Bloomberg - Goldman Trades Shouldn't Get U.S. Aid, Volcker Says (Update 1)
2.  10.Gordon
- a.  00-05-12 Subprime Mortgage Foreclosures - Mounting Defaults Draining Home Ownership
  - b.  05-01 Center for Responsible Lending, Borrowers in Higher Minority Areas More Likely to Receive Prepayment Penalties on Subprime Loans
  - c.  05-05 Brookings, Credit Where It Counts-Maintaining a Strong Community Reinvestment Act
  - d.  07-03-27 CRL Issue Paper no 14, Subprime Lending-A Net Drain on Homeownership
  - e.  07-05-22 Chairman of the Mortgage Bankers Association Addresses the National Press Club
  - f.  07-08-31 Chairman Bernanke at the Federal Reserve Bank of Kansas City's Economic Symposium
  - g.  08-01-07 The Community Reinvestment Act
  - h.  08-01-14 Addendum to The Community Reinvestment Act - A Welcome Anomaly in the Foreclosure Crisis
  - i.  08-03-31 Opening Remarks to the 2008 National Interagency Community













































Reinvestment Conference































- j.  08-04-08 Center for Responsible Lending, Steered Wrong
  - k.  08-10-12 McClatchy, Private sector loans, not Fannie or Freddie, triggered crisis
  - l.  08-11-19 Comptroller Dugan Says CRA not Responsible for Subprime Lending Abuses
  - m.  08-12 National Low Income Housing Coalition, Renters in Foreclosure
  - n.  08-12-04 Credit Suisse, Foreclosure Update-over 8 million foreclosures expected
  - o.  09-01 Center for Responsible Lending, Continued Decay and Shaky Repairs
  - p.  09-01 Joint Center for Housing Harvard, Meeting Multifamily Housing Finance
  - q.  09-01-13 Goldman Sachs, Global Economics Paper No 177
  - r.  09-03-30 NYT, Banks Starting to Walk Away on Foreclosures
  - s.  09-05 Center for Responsible Lending, Soaring Spillover
  - t.  09-05 Economic Commentary, Ten Myths about Subprime Mortgages
  - u.  09-05 The Impacts of Foreclosures on Families and Communities
  - v.  09-11-15 Calculated Risk, Housing Starts and Vacant Units-No V Shaped Recovery
  - w.  09-11-19 Mortgage Bankers Association, Delinquencies Continue to Climb in Latest MBA National Delinquency Survey
  - x.  09-11-24 The Negative Equity Report, State-by-State Estimates for US Single-Family Residential Properties
  - y.  09-12-24 Center for Responsible Lending, Consumer Federation of Am and National Consumer Law Center on Proposed Rules Regarding Regulation Z
  - z.  09-30-01 PICO - Common Sense solutions for Saving Homes & Communities
  - aa.  July 2007 to November 2009 Hope Now, Phase 1 National Data
  - ab.  Second Quarter 2009 OTS, OCC and OTS Mortgage Metrics Report
  - ac.  The sub-prime mortgage crisis - a synopsis
3.  11. Cloutier
- a.  09 Second Quarter, Fear of the Unknown
  - b.  09-01-05 The 'B' Word-Tackling Tarp's Image Problem
  - c.  09-02-17 MidSouth Banks CEO tells Bloomberg Feds need to break up big banks
  - d.  09-02-20 Business News, La banks have second thoughts about federal funds
  - e.  09-03-02 Healthy Firms Worry Treasury Capital Now Tainted
  - f.  09-05-17 Rusty Cloutier has Money to Spare
  - g.  09-07-01 Money to lend-a recession isnt deterring Rusty Cloutier and his team at this community bank from aggressive plans growth-MidSouth Bank
  - h.  09-09-08 FDIC Flags Dissenters, Raises Ire
  - i.  09-11-11 Big Bad Bnks Hits Bookstores on 10th Anniversary of Repeal of Glass-Steagall Act - Just as Greenspan, Reed Admit Bad Policies
  - j.  10-01 The View from the Bayou
4.  2. Morgan Stanley
- a.  05-12-20 TheStreet - Morgan Stanley Shines
  - b.  06-07-03 BusinessWeek - Mack Attack
  - c.  07-06-21 NYT - Morgan Stanley's Profits Rise, Defying Subprime Slump
  - d.  07-09-20 NYT - Write-Downs Push Morgan's Profit Lower













































- e.  07-10-03 NYT - Morgan Stanley Will Eliminate 600 Jobs
- f.  07-11-07 NYT - Morgan Stanley May be Next to Write Down Assets
- g.  07-12-20 NYT - \$9.4 Billion Write-Down at Morgan Stanley
- h.  07-12-21 SouthChinaMorningPost - Morgan Stanley a good bet until wall Street's next crisis
- i.  08-02-18 LexisNexis - Morgan Shuts Most of Saxon
- j.  08-02-24 Reuters - Morgan Stanley's Mack says firm faces restrictions
- k.  08-03-11 NYT - Morgan Stanley Chief Grappling With New Risk
- l.  08-04-08 Reuters - CORRECTED-INTERVIEW-UPDATE 3-Morgan Stanley CEO says end to trouble nearer
- m.  08-04-09 TheIrishTimes - Morgan Stanley chief predicts near-term end to subprime trouble
- n.  08-09-11 NYT - Some Banks Are Accused Of Aiding A Tax Dodge
- o.  08-09-17 Reuters - Morgan Stanley, Goldman shares sink as fear spreads
- p.  08-09-18 TIME - Can Morgan Stanley and Goldman Sachs Go It Alone
- q.  08-09-21 Morgan Stanley - Morgan Stanley Granted Federal bank Holding Complany Status By U.S. Federa
- r.  08-09-21 Reuters - RPT-UPDATE 4-Goldman, M.Stanley change into Fed-regulated banks
- s.  08-10-14 WaPo - Morgan Stanley-Misubishi Deal Closes
- t.  08-12-17 Dow Jones - Morgan Stanley 2008 Employee Compensation Plunges
- u.  09-02-23 Charlie Rose - Morgan Stanley CEO Advocates Worldwide Coordination of Global Economy
- v.  09-07-02 NYT - Risk Cuts at Morgan May Lead to a Loss
- w.  09-07-16 WSJ - Mortgage Firms Struggle to Redo Hard-Hit Loans
- x.  09-07-23 NYT - Red Ink Stains Bank and Banker
- y.  09-07-23 WSJ - Morgan Stanley Pays for Caution
- z.  09-08-07 NYT - Driving a Bargain With the Treasury
- aa.  09-09-11 NYT - Morgan Stanley Announces New Chief
- ab.  09-10-21 NYT - Morgan Stanley
- ac.  09-10-22 NYT - Morgan Stanley Retutrnrs to a Profit
- ad.  09-10-22 WaPo - Morgan Stanley posts first profit for 2009
- ae.  09-11 - Making Home Affordable Program
- af.  09-11-12 Saxon - Saxon Top Ranked Servicer in HAMP Report
- ag.  09-11-18 WSJ - MUFG, Morgan Stanley Delay Broker
- ah.  09-11-19 LondonToday - John mack, the tough-talking boss of Morgan Stanley, made a suprise confessio
- ai.  09-12-17 WSJ - Walk Away News-Morgan Stanley Gives Properties Back to the Lender
- aj.  09-12-18 Reuters - UPDATE 4-Morgan Stanley's Mack forgoes bonus again
- ak.  09-12-19 NYT - Unlike Most on Wall St., Morgan Stanley's Chief Will Forgo His 2009 Bonus
- al.  09-12-29 Reuters - Morgan Stanley sued over failed \$1.2 billion CDO
- am.  09-12-30 NYT - Suit Claims Bank Knew Investments Were Bad
- an.  09-12-30 WSJ - Morgan Stanley to Overhaul Pay Plan
- ao.  10-01-04 WSJ - The Sun Shines on Morgan Stanley CEO James Gorman
- ap.  10-01-08 Bloomberg - Morgan Stanley Sued for Fraud by Investors in CDO (Update 2)

- aq.  10-01-08 Bloomberg - Morgan Stanley Won't Issue More FDIC-Guaranteed Debt (Update 2)
- ar.  10-01-11 Bloomberg - Morgan Stanley's Mack Proposes Single Global Bank Regulator
- 5.  3. JPMorgan Chase
  - a.  07-03-06 Dow Jones - UPDATE-Dimon, Retail Chief Bullish On Mortgage Business
  - b.  07-11-26 JPMorganChase - Barry Zubrow Appointed Chief Risk Officer Of JPMorgan Chase
  - c.  08-01-14 LexisNexis - Boomerang Effect is Citi's Latest SIV Strategy
  - d.  08-03-18 NYTimes - Rallying the House of Morgan
  - e.  08-07-07 PBS - Interview with Jamie Dimon
  - f.  08-07-08 PBS - War Powers Act; Evaluating the Economic Situation
  - g.  08-09-15 Forbes - BofA Gets Risk Along With Rewards With Merrill
  - h.  09-01-29 FT - Dimon urges US leaders to solve crisis
  - i.  09-03-11 WSJ - Dimon Optimistic About Economy
  - j.  09-03-27 FEDNWS - CNBC Interview with JPMorgan Chase CEO Jamie Dimon (Part 1)
  - k.  09-04-16 MarketWatch - Dimon gets a little rough
  - l.  09-06-05 NIKKEI - Experts From Interview With JPMorgan Chase CEO Jamie Dimon
  - m.  09-06-29 WSJ - A Unified Bank Regulator Is a Good Start
  - n.  09-07-16 NYT - Federal Inquiry Looks at Derivatives Data Providers
  - o.  09-07-18 NYT - In Washington, One Bank Still Holds Sway
  - p.  09-08-06 boston.com - Goldman faces credit-swap inquiry
  - q.  09-08-24 Chicago Tribune - Jamie Dimon doesn't buy into notion that government bailed out JPMorgan C
  - r.  09-08-25 - Will Time Prove Ben Bernanke Wrong
  - s.  09-09-21 Newsweek - The Banker Who Saved Wall Street
  - t.  09-09-28 Puget Sound Business Journal - The downfall of Washington Mutual
  - u.  09-10-14 The Christian Science Monitor - JPMorgan earnings show 'too big to fail' banks getting bigg
  - v.  09-10-15 The Daily Telegraph - JPMorgan's \$29bn bonus pot reignites row over pay
  - w.  09-11-13 The Washington Post - No more 'too big to fail'
  - x.  09-11-14 NYT - JPMorgan Faces New Suit in Alabama County's Woes
  - y.  09-11-30 Forbes - Master Banker, Master Schmooser
  - z.  09-12-07 Why Did They Close WaMu
  - aa.  09-12-11 Dept of Treasury - Treasury Department Announces Pricing of Public Offering of Warrants to
  - ab.  09-12-15 Reuters - Wamu asks to probe Fed over collapse
  - ac.  09-12-28 - What a Deal
  - ad.  09-12-28 Telegraph - JP Morgan may scrap 1.5bn London HQ Plan over Labour's attacks on City
  - ae.  10-01-02 NYT - U.S. Loan Effort Is Seen as Adding to Housing Woes
  - af.  10-01-06 iStonckAnalyst - JPMorgan Chase & Co (NYSE-JPM) Fourth Quarter Earnings Preview 2009
  - ag.  10-01-08 BusinessJournal - JPMorgan Chase's Internal Documents
  - ah.  10-01-10 Bloomberg - JPMorgan Ends SEC Alabama Swap Probe for \$722




































- Million (update 2)
- ai.  10-01-10 Businessweek - German and French banks got \$36 billion from AIG Bailout
- aj.  Andrew M Cuomo - No Rhyme of Reason-The 'Heads I Win, Trails You Lose Bank Bonus Culture
- ak.  OCC's Quarterly Report on Bank Trading and Derivatives Activities Third Quarter 2009
- 6.  4. Bank of America
  - a.  08-01-11 The Charlotte Observer - BofA May Buy Troubled Lender Countrywide Stock Surges Based on New
  - b.  08-01-12 WSJ - Behind Bank of America's Big Gamble
  - c.  08-01-14 BusinessWeek - Bank of America Bags Countrywide
  - d.  08-03-12 CNNMoney - FBI probes Countrywide for possible fraud
  - e.  08-09-14 - Bank of America Said to Walk Away From Lehman Talks (Update 1)
  - f.  08-10-06 PRNewswire - Bank of America Announces National Homeownership Retention Program for Country
  - g.  08-10-07 WaPo - Bank of America To Modify Mortgages From Countrywide
  - h.  09-01-15 WSJ - Bank of America to Get Billions in U.S. Aid
  - i.  09-03-15 BusinessWeek - German and French banks got \$36 billion from AIG Bailout
  - j.  09-03-28 NYT - Bank of America Accused in Ponzi Lawsuit
  - k.  09-08-20 NYT - Countrywide Loses Ruling in Loan Suit
  - l.  09-09 The Atlantic Online - The Final Days of Merrill Lynch
  - m.  09-09-14 Fortune - Checkmate for a Wall Street Wizard
  - n.  09-10-06 Bank of America - Bank of America Announces Nationwide Homeownership Retention Program for
  - o.  09-10-19 LexisNexis - Bank of America Announces Third-Quarter Net Loss of \$1.0 Billion
  - p.  09-10-28 DowJones - Merrill Bonuses Raised Issues In Merger With Bank of America
  - q.  09-11-17 DowJones - Ex-general counsel of Bank of America says he had no role in controversial Merri
  - r.  09-12-09 CNNMoney - Bank of America-Paid in full
  - s.  09-12-11 US Securities and Exchange Commission - Testimony Concerning Events Surrounding Bank of Ame
  - t.  10-01-04 - BofA-We'll bank on balance
  - u.  10-01-05 BusinessWeek - Bailed-Out Banks Profit on Rescued Assets
  - v.  10-01-05 TheCharlotteObserver - Bank of America CEO
  - w.  10-01-08 WSJ - For BofA Investment Bankers, Bonuses Likely Close to 2007
  - x.  10-01-11 Bank of America Corporation - US Securities and Exchange Commission
  - y.  10-01-12 WSJ - BofA to Limit Curl's Duties; SEC Won't Sue Top Officers
  - z.  10-12-01 Bloomberg - Bank of America may Not Guarantee Countrywide's Debt (Update 6)
- 7.  5. Mayo
- 8.  6. Bass
- 9.  7. Solomon
- 10.  8. Zandi
  - a.  08-12-22 Homeownership Vesting Plan






- b.  09-01-06 The Economic Impact of a \$750 Billion Fiscal Stimulus Package
- c.  09-01-21 The Economic Impact of the American Recovery and Reinvestment Act
- d.  09-02-03 WaPo, Moody's Economist Has Become a Go-To Guy on Stimulus Plan
- e.  09-06-03 The Once and Future Financial System
- f.  09-07-09 The Shape of the Coming Recovery
- g.  Moody's Economy Staff
- 11.  9. Rosen
  - a.  04-12-12 SF Chronicle - On the Record-Bay Area real estate
  - b.  07-10 Anatomy of a Housing Market Boom and Correction
  - c.  08-10-29 Deutsche Bank's Alternative Investment Management Business
  - d.  09-01-13 USNews, Kenneth Rosen-Housing Will Bottom Out This Year
  - e.  09-04-09 SF Chronicle, Forecaster sees woes in state
  - f.  09-11-16 Economist Suggests Commercial Developers Go Play Golf
- 12.  H1 Background Reading Index
- b.  II. Day 1, Panel 1
  - 1.  1. Witness Bios
    - a.  a. Witness Bios
  - 2.  2. Transcripts or MFRs of Pre-Interviews
  - 3.  3. Documents of Selected Quotes
    - a.  Key Quotes - Brian Moynihan
    - b.  Key Quotes - Jamie Dimon
    - c.  Key Quotes - John Mack
    - d.  Key Quotes - Lloyd C. Blankfein
  - 4.  4. Significant Past Testimony
    - a.  07-06-22 L Blankfein Interview with Chrystia Freeland, Financial Times US
    - b.  08-04-03 J Dimon Before the Senate Committee on Banking, Housing and Urban Affairs
    - c.  09-02-11 J Dimon Before House Financial Services Committee
    - d.  09-02-11 J Mack Before US House of Rep Committee on Financial Services
    - e.  09-02-11 L Blankfein Before House Committee on Financial Services
    - f.  09-04 L Blankfein Before Council of Institutional Investors
    - g.  09-05-08 L Blankfein Before the Annual Shareholders' Meeting on Compensation Principles
    - h.  09-09-09 L Blankfein Before Handelsblatt Banking Conference
    - i.  09-09-22 L Blankfein Before Council on Foreign Relations Meeting (Part 1)
    - j.  09-11-10 L Blankfein Before Bank of America Merrill Lynch Banking and Financial Services Conference-
    - k.  10-01-04 B Moynihan Remarks to NC Chamber and NC Bankers Association Economic Forecast Forum
- c.  III. Day 1, Panel 2
  - 1.  1. Witness Bios
    - a.  a. Witness Bios
  - 2.  2. Transcripts or MFRs of Pre-Interviews
  - 3.  3. Documents of Selected Quotes
  - 4.  4. Significant Past Testimony
- d.  IV. Day 1, Panel 3
  - 1.  1. Witness Bios
    - a.  a. Witness Bios

- 2.  2. Transcripts or MFRs of Pre-Interviews
- 3.  3. Documents of Selected Quotes
- 4.  4. Significant Past Testimony
  - a.  06-09-20 Statement of Robert D. Broeksmit Before a Joint Hearing of the Subcommittee on Housing and Transportation and the Subcommittee on Economic Policy
  - b.  07-09-19 Testimony of Martin Eakes Before the Joint Economic Committee
  - c.  08-02-13 Prepared Testimony of Michael S. Barr Before the Committee on Financial Crisis
  - d.  08-02-13 Testimony of Ann F. Jaedicke Before the Committee of Financial Services of the US House of Representatives
  - e.  08-10-16 Testimony of Eric Stein Before the US Senate Committee on Banking, Housing and Urban Affairs
  - f.  09-03-03 Prepared Statement of Patricia A McCoy Before the US Senate Committee on Banking, Housing, and Urban Affairs
  - g.  09-03-04 Testimony of Cloutier before the Subcommittee on Financial Institutions and Consumer Credit Committee on Financial Services
  - h.  09-03-17 Cloutier Before the Congress of the United States House Committee on the Judiciary Subcommittee on Courts and Competition Policy
  - i.  09-05-28 Testimony of Deborah Cuevas Hill Before the Committee on Public Services and Consumer Affairs
  - j.  09-09-24 Written Testimony of Mark Zandi Before the House Financial Services Committee
  - k.  09-10-07 Statement of Dr Kenneth Rosen Chair of the Fisher Center for Real Estate and Urban Economic
  - l.  09-10-29 Written Testimony of Mark Zandi Before the Joint Economic Committee
  - m.  09-11-19 Testimony of Dean Baker Before the Congressional Oversight Panel for the Troubled Asset Relief Program
  - n.  09-11-19 Written Testimony of Mark Zandi Before the Congressional Oversight Panel
  - o.  09-12-08 Testimony of Julia Gordon Before the US House of Representatives Committee on Financial Services
- e.  V. Day 2, Panel 1
  - 1.  1. Witness Bios
    - a.  a. Witness Bios
  - 2.  2. Transcripts or MFRs of Pre-Interviews
  - 3.  3. Documents of Selected Quotes
  - 4.  4. Significant Past Testimony
- f.  VI. Day 2, Panel 2
  - 1.  1. Witness Bios
    - a.  a. Witness Bios
  - 2.  2. Transcripts or MFRs of Pre-Interviews
  - 3.  3. Documents of Selected Quotes
  - 4.  4. Significant Past Testimony
- 6.  MFRs Transcripts Interviews Notes
- 7.  Misc
- E.  Macroeconomic Factors and U.S. Monetary Policy
- F.  Shadow Banking
  - 1.  Document Requests
    - a.  2010-02-05 G Cohen Ltr to Reserve Primary Fund (C Birch) (copy)
















































- b.  2010-02-18 G Cohen ltr to JPMorgan (S Cutler) re Doc Prodcution (copy)
  - c.  2010-02-18 G Cohen ltr to Lehman (H Miller) re Doc Production (copy)
  - d.  2010-02-18 G Cohen ltr to Merrill Lynch (R Brown) re Doc Production (copy)
  - e.  2010-02-19 G Cohen ltr to Barclays (J Hughes) re Doc Production (copy)
  - f.  2010-02-26 G Cohen ltr to BNY (R Dorado) re Doc Request (copy)
  - g.  2010-02-26 G Cohen ltr to JPMorgan (S Cutler) re Doc Request (copy)
  - h.  2010-03-08 G Cohen ltr to FDIC (P Nash) re Doc Request (copy)
  - i.  2010-03-10 G Cohen ltr to Federated (P Germaine) re Doc Production (copy)
  - j.  2010-03-10 G Cohen ltr to Fidelity (S Goebel) re Doc Production (copy)
2.  Hearing 4
- a.  Hearing Background Materials
    - 1.  I. Bear Stearns
      - a.  1. Bear Stearns Witness Biographies
        - 1.  a. Bear Stearns Witness Biographies
      - b.  2. Transcripts or Memoranda of Pre-Interviews
        - 1.  a) MFR\_Interview\_BSC\_SMolinaro\_09Apr10\_3\_
        - 2.  b.) Paul Friedman MFR 2010-04-29
        - 3.  c) MFR Warren Spector March 30 interview\_3\_
        - 4.  d. 2010-04-19 MFR of Interview with Tom Marano
        - 5.  e.) 2010-04-23 A Schwartz
        - 6.  f.) Jimmy Cayne MFR
        - 7.  OLD 2010-04-29 Friedman\_2\_
        - 8.  OLD. 2010-03-20 MFR for Warren Spector (Bear Stearns)
        - 9.  OLD. 2010-04-09 MFR of Interview with SMolinaro
      - c.  3. Documents of Selected Key Quotes
        - 1.  a. Key Quotes - Sam Molinaro
        - 2.  b. Key Quotes - Paul Friedman
        - 3.  d. Key Quotes - Alan Schwartz
        - 4.  e. Key Quotes - Jimmy Cayne
      - d.  4. Congressional Research Service Report
        - 1.  a. 2008-03-26 CRS Report - Bear Stearns, Crisis and 'Rescue' for a Major Provider
      - e.  5. Relevant Articles
        - 1.  a. 2007-03-28 WSJ - CEO Pay Rises at Bear Stearns
        - 2.  b. 2007-06-03 WSJ - Bear Stearns and Man Group Launch New Financial Product
        - 3.  c. 2007-06-07 WSJ - The Sure Bet Turns Bad - Funds Howl as Bear Buys Mortgages
        - 4.  d. 2007-06-21 Bloomberg - Bear Stearns Fund Collapse Sends Shock Through CDOs
        - 5.  e. 2007-06-23 WSJ - Bear Stearns Bails Out Fund With Big Loan
        - 6.  f. 2007-06-26 NYT - A Stock Filing Gone Awry for Bear Stearns
        - 7.  g. 2007-07-11 WSJ - Behind a Bear Analyst's Subprime Call
        - 8.  h. 2007-08-06 NYT - Fallout from U.S. Subprime Market Widens, Costing the Job of Top Banker
        - 9.  i. 2007-10-11 Business Week - Bear Stearns' Bad Bet
        - 10.  j. 2007-10-23 WSJ - Bear Stearns Is Big in Bonds But Didn't Start Out That Way
        - 11.  k. 2007-11-16 WSJ - Bear Rating is Cut by S&P
        - 12.  l. 2008-03-18 WSJ - With Street Watching, 'Repo' Trading is Light


















































13.  m. 2008-05-27 WSJ - Lost Opportunities Haunt Final Days of Bear Stearns
14.  n. 2008-05-28 WSJ - Fear, Rumors Touched Off Fatal Run on Bear Stearns
15.  o. 2008-08 Vanity Fair - Bringing Down Bear Stearns
16.  p. 2009-10-14 Rolling Stone - Wall Street's Naked Swindle
17.  q. 2009-10-31 WSJ - In 'The Greatest Trade Ever'
18.  r. 2010-04-01 Bloomberg - Fed Reveals Bear Stearns Assets It Swallowed
19.  s. 2010-04-14 FT - Repo Market Struggles to Pull Back from Slump
- f.  6. Investigator General Report
  1.  a. 2008-09-25 SEC's Oversight of Bear Stearns and Related Entities-the CSE Program
2.  II. Consolidated Supervised Entities Program
  - a.  1. SEC Witness Biographies
    1.  a. SEC Witness Biographies
  - b.  2. Transcripts or "Memoranda for the Record" (MFR)
  - c.  3. Speeches, Letters, Op-Eds, and Testimony
    1.  a. 2007-06-26 Cox Testimony Before the House Financial Services Committee
    2.  b. 2007-07-11 Erik Sirri Testimony Concerning Recent Initiatives Taken by the Commission with Respec
    3.  c. 2007-09-20 Erik Sirri Remarks before the FIA and OIC New York Equity Options Conference
    4.  d. 2007-10-04 Erik Sirri Testimony Concerning the Consolidated Supervision of U.S. Securities Firms
    5.  e. 2008-02-08 Cox Speech to the Practising Law Institute
    6.  f. 2008-02-14 Cox Testimony Before Senate Banking Committee
    7.  g. 2008-04-03 Chairman Cox Testimony Before Senate Banking Committee
    8.  h. 2008-04-16 Chairman Christopher Cox Letter to Sen. Charles Grassley
    9.  i. 2008-04-30 Cox Speech to the ICI Mutual Fund Leadership Dinner
    10.  j. 2008-05-07 Chairman Cox Speech to the Security Traders 12th Annual Washington Conference
    11.  k. 2008-06-19 Chairman Cox Op-Ed for WSJ
    12.  l. 2008-06-19 Erik Sirri Testimony Concerning Oversight of Risk Management at Investment Banks
    13.  m. 2008-07-24 Chairman Christopher Cox Testimony Concerning Reform of the Financial Regulatory System
    14.  n. 2008-10-23 Cox Testimony before House Oversight Committee
    15.  o. 2009-01-05 H. David Kotz Inspector General of the SEC Before the House Financial Services Committ
    16.  p. 2009-04-09 Erik R. Sirri- Securities Markets and Regulatory Reform
    17.  q. 2010-04-20 Cox Testimony re Lehman
    18.  r. 2003-11-07 Donaldson Speech to the Securities Industry Association
    19.  s. 2004-04-28 Donaldson Statement at SEC Open Meeting
    20.  t. 2005-06-29 Donaldson Farewell Remarks to SEC Staff
  - d.  4. Documents of Selected Key Quotes

1.  a. Key Quotes - Chairman Cox
2.  b. Key Quotes - Chairman Donaldson
- e.  5. Relevant Articles
  1.  a. 2005-07-23 New York Times\_Donaldson The Exit Interview
  2.  b. 2008-02-18 Real Clear Markets - The SEC Killed Wall Street on April 28, 2004
  3.  c. 2008-04-17 Bond Buyer - SEC Plans Rule 15c2-12 Changes in May
  4.  d. 2008-05-08 Bond Buyer - SEC Eyes Legislation to Help Strengthen BD Oversight
  5.  e. 2008-09-23 Time - How Much is the SEC's Cox to Blame
  6.  f. 2008-09-27 WaPo - Report Says SEC Failed in Oversight of Bear Stearns
  7.  g. 2008-09-27 NYT - SEC Concedes Oversight Flaws Fueled Collapse
  8.  h. 2008-10-03 NYT - Agency's 04 Rule Let Banks Pile Up New Debt
  9.  i. 2008-10-06 ComplyNet - SEC Chairman Cox Announces End of CSE Program
  10.  j. 2008-10-18 Reuters - SEC Head Calls for Transparency on CDS
  11.  k. 2008-12 Compliance Week - SEC Puts Credit Swaps in Regulatory Sight
  12.  l. 2009-03-31 Reuters - SEC's Head of Trading and Markets Leaving
  13.  m. 2010-03-18 Wall Street Journal - SEC on Lehman Oversight- Not Good Enough
  14.  n. 2010-04-20 DowJones - Ex-SEC Chairman Cox Says Agency Stretched Authority with Lehman
- f.  6. Selected SEC Press Releases
  1.  a. 2008-03-14 Statement of SEC Division of Trading and Markets Regarding the Bear Stearns Companies
  2.  b. 2008-03-18 Answers to Frequently Asked Investor Questions Regarding the Bear Stearns Companies, I
  3.  c. 2008-03-20 Chairman Cox Letter to Basel Committee in Support of New Guidance on Liquidity Managem
  4.  d. 2008-09-26 Chairman Cox Announces End of Consolidated Supervised Entities Program
  5.  e. 2009-03-31 Erik R. Sirri, Director of Division of Trading and Markets, to Leave SEC
- g.  7. Investigator General Report
  1.  a. Investigator General Report SEC's Oversight of Bear Stearns and Related Entities - CSE Program
- h.  8. Case Study- Bear Stearns and the SEC
  1.  a. 2009-08-10 Case Study - Bear Stearns and the SEC (M Halloran and E Hellmold)
- i.  9. SEC Basement Meeting
  1.  a. 2004-04-28 SEC Open Meeting Agenda
3.  III. Secretary Henry Paulson
  - a.  1. Secretary Paulson Biography
    1.  a. Secretary Paulson Biography
  - b.  2. Transcripts or "Memoranda for the Record" (MFR)
    1.  a. 2010-04-02 MFR for Secretary Paulson
  - c.  3. Significant Speeches and Testimony
    1.  a. 2008-09-24 Paulson Testimony Before the House Financial



3.  c. Bear Stearns - Summary of Financial Narrative
  4.  d. Bear Stearns Session 1 - Witness Bios
  5.  e-i. Sam Molinaro
  6.  e-ii. Paul Friedman
  7.  e-iii. Warren Spector FCIC Prepared Testimony
  8.  f) Bear Stearns Session 1 - Suggested Questions
  9.  OLD - Bear Stearns Session 1 - Suggested Questions
  10.  OLD - PSR\_-\_Shadow\_Banking\_0429
  11.  OLD Bear Stearns - Summary of Investigative Findings
  - b.  2. SESSION 2 - BEAR STEARNS CEO's
    1.  a. Bear Stearns Session 2 - Witness Bios
    2.  b-i. Alan Schwartz
    3.  b-ii. James Cayne -- final FCIC statement
    4.  c) Bear Stearns Session 2 - Suggested Questions
    5.  OLD - Bear Stearns Session 2 - Suggested Questions
  - c.  3. SESSION 3 - SEC and CSE
    1.  a. SEC Witness Biographies
    2.  b-i. Christopher Cox Testimony
    3.  b-ii. Chairman Donaldson Testimony
    4.  b-iii. Kotz, David - Testimony
    5.  b-iv. Erik Sirri Testimony
    6.  c) SEC-CSE Program Session 3 – Suggested Questions
    7.  OLD - SEC-CSE Program Session 3 – Suggested Questions
3.  III. DAY TWO, THURSDAY, MAY 6, 2010
    - a.  1. SESSION 1 - Paulson
      1.  a. Secretary Paulson Biography
      2.  b) Paulson FCIC Testimony 5.6.10
      3.  c) Hank Paulson - suggested questions
      4.  OLD - Hank Paulson - suggested questions
    - b.  2. Session 2 - Geithner
      1.  a. Secretary Geithner Biography
      2.  b) Geithner FCIC Testimony 050610 FINAL
      3.  c) Tim Geithner - suggested questions
      4.  OLD - Tim Geithner - suggested questions
    - c.  3. Session 3 - Shadow Banking System Participants
      1.  a. Investigative Report for GE Capital
      2.  b. Financial Summary for GE Capital
      3.  c. Shadow Banking Participants - Witness Biographies
      4.  d-i. Steven R. Meier Testimony
      5.  d-ii. Neal Testimony
      6.  d-iii. Mark Barber Testimony
      7.  d-iv. McCulley\_Written\_Statement
      8.  d-iv. OLD McCulley\_Written\_Statement
      9.  e) Institutions Participating in Shadow Banking Session 3 – Suggested Questions
      10.  OLD - Institutions Participating in Shadow Banking Session 3 – Suggested Questions
  4.  IV. KEY DOCUMENT APPENDIX
    - a.  1) REDLINE Updated Bear Stearns Preliminary Investigation Report -


5-2-2010

- b.  2. Bear Stearns - Full Financial Narrative
- c.  3) Financial Narrative for GE Capital
- d.  4) Lehman Summary of Investigative Findings
- e.  5. Lehman - Summary of Financial Narrative
- f.  6) Lehman Full Preliminary Investigative Report
- g.  7. Lehman - Full Financial Narrative
- h.  OLD Bear Stearns Draft Investigative Report 04-28-10 \_3\_
- 5.  OUTLINE - H4 Briefing book for Commissioners (4-27-2010)
- c.  Hearing follow-up
  - 1.  Follow Up Letters
    - a.  letters to witnesses
      - 1.  2010-08-09 Letter to Chairman Christopher Cox (copy)
      - 2.  2010-08-09 Letter to Paul Friedman (copy)
      - 3.  2010-08-09 Letter to Samuel Molinaro (copy)
      - 4.  2010-08-09 Letter to Warren Spector (copy)
      - 5.  2010-08-10 Follow-up Letter to T Geithner (DOT) (copy)
      - 6.  8-9-2010 Letter to Henry M Paulson
    - 2.  Response Letters From Witnesses
      - a.  2010-08-27 Geithner response to FCIC
      - b.  2010-09-10 Follow-Up Response from Mr. Friedman
      - c.  2010-09-10 Follow-Up Response from Mr. Molinaro
      - d.  2010-09-10 Follow-Up Response from Mr. Spector
      - e.  2010-09-12 Follow-Up Response of Chairman Cox
      - f.  2010-09-14 Paulson Response to Hearing Follow-Up
    - 3.  H4 Commissioner Follow-up Questions (original)
    - 4.  H4 Commissioner Follow-up Questions Grid
    - 5.  takeaways\_from\_shadow\_banking\_research\_and\_investigative\_plan\_7\_22
  - 2.  Hearing transcripts
    - 1.  2010-0505- Hearing 4, Day 1 - Transcript
    - 2.  2010-0506- Hearing 4, Day 2 - Transcript
  - e.  MFRs Transcripts Interview Notes
    - 1.  2010-02-23 Fidelity Investments
    - 2.  2010-02-23 Interview with Merrill Lynch MFR
    - 3.  2010-02-24 SEC Scheidt and Plaze
    - 4.  2010-03-01 US Chamber re commercial paper
    - 5.  2010-03-03 FRB Hewko and Merriett re accounting and capital
    - 6.  2010-03-04 Call with JPMorgan
    - 7.  2010-03-04 Memo re Interview with Fidelity Investments
    - 8.  2010-03-05 ICI MFR
    - 9.  2010-03-11 Call with BNYM re Repo
    - 10.  2010-03-11 Memo re mtg with David Kotz and Pete Kyle
    - 11.  2010-03-15 FDIC Interview
    - 12.  2010-03-15 MFR for State Street re Repo and CP
    - 13.  2010-03-16 Background interview with FRBNY Lubke
    - 14.  2010-03-18 MFR of Interview with M Macchiaroli re CSE and Lehman
    - 15.  2010-03-20 MfR Warren Spector
    - 16.  2010-03-24 MFR Mtg with Bankruptcy Examiner

17.  2010-03-24 MFR of interview with B De Leon (PIMCO)
18.  2010-03-25 Call with Reserve Primary Fund
19.  2010-03-25 Interview notes Kevin Coffey (FRBNY)
20.  2010-03-26 Interview notes for Jean-Marie Davis and Brian Peters (FRBNY)
21.  2010-03-29 Memo for Call with KBass of Hayman Capital
22.  2010-04-01 MFR for Mark Barber (GE Capital)
23.  2010-04-01 MFR of Interview with Annette Nazareth
24.  2010-04-06 Memo re Interview with ISDA
25.  2010-04-06 MFR of Interview at SEC
26.  2010-04-08 MFR re Interview with Michael Alix (Bear Stearns)
27.  2010-04-08 Transcript of Interview with Harvey Goldschmid
28.  2010-04-09 MFR of Interview with Ernst and Young
29.  2010-04-09 MFR of Interview with Sam Molinaro
30.  2010-04-13 MFR of Interview with Robert Upton
31.  2010-04-16 K Dubas MFR with Bart McDade (Lehman)
32.  2010-04-19 K Dubas MFR of Interview with Tom Marano (Bear)
33.  2010-04-20 Memo re Interview with Theo Lubke (FRBNY)
34.  2010-04-21 MFR of Jimmy Cayne (Bear Stearns)
35.  2010-04-22 MFR of Interview with Helen Mucciolo (FRBNY)
36.  2010-04-22 MFR of Interview with Steve Meyer (Bear)
37.  2010-04-23 MFR for Paul McCulley (PIMCO)
38.  2010-04-30 K Dubas memo re phone call with Kyle Bass


f.  Misc

g.  Short List Documents






1.  2005-03-16 FRBNY Operations Review - Draft Closeout report re Large Complex Banking Supervision
2.  2005-12-05 Internal SEC Memo- Risk Management Reviews of Consolidated Supervised Entities
3.  2006-01-06 Internal SEC Memo- Risk Management Reviews of Consolidated Supervised Entities
4.  2006-01-24 SEC Investigation of Bear Stearns
5.  2006-02-01 Internal SEC Memo- Risk Management Reviews of Consolidated Supervised Entities
6.  2006-03-08 Internal SEC Memo- Risk Management Reviews of Consolidated Supervised Entities
7.  2006-04-06 Internal SEC Memo- Risk Management Reviews of Consolidated Supervised Entities
8.  2006-05-08 Internal SEC Memo- Risk Management Reviews of Consolidated Supervised Entities
9.  2006-06-07 Internal SEC Memo- Risk Management Reviews of Consolidated Supervised Entities
10.  2006-06-26 Hedge Fund Derivative-Linked Products- Current Market Practice, Risk Management & Capital
11.  2006-07-05 Internal SEC Memo- Risk Management Reviews of Consolidated Supervised Entities
12.  2006-08-02 Internal SEC Memo- Risk Management Reviews of Consolidated Supervised Entities
13.  2006-09-07 Internal SEC Memo- Risk Management Reviews of Consolidated Supervised Entities
14.  2006-10-03 Residential and Commercial Mortgage Securitization- Current Market

Practice, Risk Managem



































15.  2006-10-06 Internal SEC Memo- Risk Management Reviews of Consolidated Supervised Entities
16.  2006-11-07 Internal SEC Memo- Risk Management Reviews of Consolidated Supervised Entities
17.  2006-12-05 Internal SEC Memo- Risk Management Reviews of Consolidated Supervised Entities
18.  2007-01-04 Internal SEC Memo- Risk Management Reviews of Consolidated Supervised Entities
19.  2007-01-23 Email from Jay Remis re Bear Res December 2006 Business Update
20.  2007-01-24 Email from Sandra Hong re Redemption Report for February 1, 2007
21.  2007-02-02 Internal SEC Memorandum- Risk Management Reviews of Consolidated Supervised Entities
22.  2007-02-13 Email from Warren Spector re US Investment Banks- Examining the sector risk from sub-prim
23.  2007-02-13 Securities Broker Dealer- Little Exposure to subprime implosion
24.  2007-02-28 Email from Jay Remis re Bear Res January 2007 Business Update
25.  2007-03-01 Internal SEC Memo- Risk Management Reviews of Consolidated Supervised Entities
26.  2007-03-19 Email from George Zahringer re Bear Stearns Sub-prime-Prime Mortgage Watch
27.  2007-03-22 Minutes of Regular Meeting of the Board of Directors
28.  2007-03-29 Jeff Mayer and Thomas Marano, Fixed Income Overview
29.  2007-03-30 Internal SEC Memo- Risk Management Reviews of Consolidated Supervised Entities
30.  2007-04-18 The Bear Stearns Companies Inc. Minutes of Regular Meeting of Board of Directors
31.  2007-04-26 Internal SEC Memo- Risk Management Reviews of Consolidated Supervised Entities
32.  2007-05 Funding and Liquidity Package
33.  2007-05-30 SEC Bear Stearns Packet
34.  2007-06-12 Email from Tom Marano to Warren Spector
35.  2007-06-14 Memo from Bob Upton & John Stacconi to Sam Molinaro re Liquidity Pool- Theory and Sizing
36.  2007-06-16 Email from Paul Friedman re Old Lane
37.  2007-06-17 Email from Tom Marano to Warren Spector
38.  2007-06-21 Internal email from Bill Jamison of Federated
39.  2007-06-24 Email from Tom Marano to Warren Spector
40.  2007-06-27 Federated Internal Memo re Counterparty Risk Committee
41.  2007-07-06 Email from Jeff Chan to Alan Greenberg, et al. re BSSC Weekly Net Capital Report
42.  2007-07-12 Email from Tom Marano to Andrew Donnellan, Ralph Cioffi, Raymond Mcgarrigal
43.  2007-08-03 Private Equity and Principal Investing- Current Market Practice, Risk Management & Capita
44.  2007-08-14 Paul Friedman Email to group re Thornburg
45.  2007-08-15 Email 2 from Paul Friedman re Thornburg
46.  2007-08-15 Email from Paul Friedman re Thornburg
47.  2007-08-15 Tom Marano Email to Lisa Anzalone
48.  2007-08-31 BS Earnings Call Transcript







































49.  2007-09-18 Bear Stearns Minutes of a Regular Meeting of the Board of Directors
50.  2007-09-18 JPMorgan Worldwide Securities Services Risk Review, Directors Risk Policy Committee
51.  2007-09-19 OFHEO Press Release- OFHEO Provides Flexibility on Fannie Mae Freddie Mac Mortgage Portf
52.  2007-10-01 Email from SEC Monitor re Bear Stearns Risk Identification Points
53.  2007-11-14 Federated Email from Bill Jamison re Bear Stearns Q4 Preannouncement
54.  2007-11-15 Internal Federated Email fr. Bill Jamison re Bear Stearns
55.  2007-12-02 Bear Stearns Minutes of a Special Meeting of the Board of Directors
56.  2007-12-21 American Banker Article- Setting an OFHEO Plan But Wishing Otherwise
57.  2008-01-08 Bear Stearns Breakdown of revenues from John Paulson
58.  2008-01-09 Email from David Rawlings re Paulson Partners follow up
59.  2008-01-10 Email from Fran Martiniello re Risk Policy Committee Meeting
60.  2008-01-16 Email from Michael Alix re Recession Scenario focus on Commercial Mortgages
61.  2008-01-16 President's Advisory Council
62.  2008-01-17 Bear Stearns Price Verification Quarterly Update
63.  2008-01-18 Lehman Brothers Equity Research
64.  2008-01-22 Minutes of Regular Meeting of the Operations Committee
65.  2008-01-30 Memo from Dan Chen re Weekly Mortgage Risk
66.  2008-02-01 Email chain re Project RENO
67.  2008-02-01 Email from Jim Collins re Nov-Dec CSE Calculations
68.  2008-02-02 Email from Tom Marano to Alan Schwartz
69.  2008-02-04 SEC Internal Audit report
70.  2008-02-05 Email from Elizabeth Ventura to Tom Marano, et al
71.  2008-02-05 Email from Michael Alix re Loan Presentation
72.  2008-02-05 Oliver Wyman Risk Governance Diagnostic Recommendations and Case for Economic Capital De
73.  2008-02-06 Bear Stearns Weekly Mortgage Risk
74.  2008-02-06 Internal SEC Memo- Risk Management Reviews of Consolidated Supervised Entities
75.  2008-02-06 Memo from Dan Chen re Weekly Mortgage Risk
76.  2008-02-07 Testimony of James Lockhart before Senate Banking re GSE Overhaul
77.  2008-02-08 Email from Beau Paulk to Tom Marano et al
78.  2008-02-12 Bear Stearns Minutes of Regular Meeting of the Operations Committee
79.  2008-02-14 Cox Testimony Before Senate Banking Committee
80.  2008-02-15 Email from Sam Molinaro to Alan Schwartz
81.  2008-02-20 Memo from Dan Chen re Weekly Mortgage Risk
82.  2008-02-20 Mortgage Portfolio Update
83.  2008-02-21 Email from Tom Marano to Sam Molinaro
84.  2008-02-21 memo re GMAC ResCap Restructuring and Proposed Transactions
85.  2008-02-25 Sen Schumer ltr to Lockhart
86.  2008-02-27 Email from Paul Friedman re Non-Agency AAA Financing for Non-IG Clients
87.  2008-02-27 Lockhart Statement
88.  2008-02-28 Steel email re GSE Losses Overshadowed by Removal of Portfolio Cap























































































89.  2008-02-29 Bear Stearns Statement of Financial Condition
90.  2008-02-29 Email from Tom Marano re Global Master Repurchase Agreement
91.  2008-03 Email Chain re Novation BS GS
92.  2008-03 Email Chain with Kyle Bass re Novation BS GS
93.  2008-03-03 email from Tom Marano to Sam Molinaro, et al
94.  2008-03-03 Nelson email re GSEs' credit risk
95.  2008-03-04 Minutes of Regular Meeting of the Operations Committee
96.  2008-03-05 Email from Michael Alix re Firmwide Limits as of 2-29-08
97.  2008-03-05 Email from Sal Catrini re TMA 8K hits
98.  2008-03-06 Farrell eml to Steel
99.  2008-03-07 Levin eml to Mudd re deal with OFHEO
100.  2008-03-08 Email from Paul Friedman re Thornburg
101.  2008-03-08 Email from Paul Friedman to Tom Marano re Thornburg
102.  2008-03-08 email from Paul Friedman to Tom Marano, et al
103.  2008-03-08 Thomas eml to Steel
104.  2008-03-09 Email from Paul Friedman re Thornburg
105.  2008-03-10 Internal email from Bill Jamison of Federated
106.  2008-03-11 Email from P Lewis to Eichner, Spurry, Giles, and Silva
107.  2008-03-12 Bear Stearns Weekly Mortgage Risk
108.  2008-03-12 Email from David Rawlings re Client Calls
109.  2008-03-12 Email from Paul Friedman to Tom Marano re Fixed Income
110.  2008-03-12 Memo fr. Tom Marano, Paul Friedman, and Wayne Buchman re Thornburg Mortgage Forbearance
111.  2008-03-12 Transcript of Alan Schwartz on CNBC Squawk
112.  2008-03-13 Bear Stearns Minutes of a Special Meeting of the Board of Directors
113.  2008-03-13 Email chain re Bear Credit Concerns affecting abx, cdx, and ig cds Business with RBC
114.  2008-03-13 Email from David Rawlings re investor moving PB accounts away from Bear
115.  2008-03-13 Email from Jerome Schneider re Mark-Up of Existing Terms
116.  2008-03-13 email from Michael Nierenberg to Tom Marano, et al.,
117.  2008-03-15 email from Tom Marano to Peter Bainlardi, Craig Overlander, Alan Schwartz, Jeff Mayer
118.  2008-03-16 Bear Stearns Minutes of a Special Meeting of the Board of Directors
119.  2008-03-16 Investor Presentation- JPMorgan Chase Acquiring Bear Stearns
120.  2008-03-16 Norton eml to Steel Nason Ryan and Kashkari re GSEs
121.  2008-03-17 Lockhart eml to Mudd and Steel
122.  2008-03-17 Mudd eml to Lockhart
123.  2008-03-18 Email from Tony Chow re Japan FSA Inquiry about liquidity
124.  2008-03-18 Email from Tony Chow to Robert Upton, Jeff Farber, Michael Alix and John Stacconi
125.  2008-03-19 OFHEO Press Release - re Increase Mortgage Mkt Liquidity
126.  2008-03-20 Email from Michael Alix re Firmwide Drawdowns as of March 18, 2008
127.  2008-03-20 Email from Michael Alix re Firmwide Limits as of 3-7-2008
128.  2008-03-20 email from Tom Marano to group
129.  2008-03-26 Email from Paul Friedman to Wayne Buchan, et al. re Thornburg Mortgage Deal Pricing
130.  2008-04-03 Email Chain re Fortune Letter









































131.  2008-06-20 Corporate Library Profile of Bear Stearns Governance
132.  2008-06-26 "Project LLC Summary of Findings and Observations Report" by Ernst & Young
133.  2008-09 GECC Commercial Paper Issuance
134.  2008-09 GECC Commercial Paper Outstanding
135.  2008-09 GECC USD Commercial Paper Activity
136.  2008-09-25 unredacted IG Report
137.  2008-09-26 Chairman Cox Announces End of Consolidated Supervised Entities Program
138.  2009- FRBNY Dec 2009 Operations Review
139.  2009-12-08 Heidi Miller, TSS State of Control and Risk Management
140.  2010-03-05 M Shapiro Response re Hearing One Follow-Up (copy)
141.  2010-04-01 Federated (S Keen) ltr to G Cohen re Doc Production (copy)
142.  2010-04-26 Interrogatories from JPMorgan
143.  Bear Stearns Companies, Inc. Consolidated Statement of Financial Condition 2008-02-29
144.  Bear Stearns Liquidity Chart in billions
145.  Bear Stearns Net Cash Capital Tracking and Cushion
146.  Commercial Paper Market Overview
147.  Corporate Library Bear Stearns
148.  Email from John Mauldin and InvestorsInsght to Ralph Cioffi (July 7, 2007)
149.  Email from Mary Rose O'Kane to Michael Alix (February 20, 2008).
150.  Email from Matthew Eichner to CSE Monitor's (March 11, 2008), subject FW (BN) Bear Stearns Investor
151.  Email from Michael Alix re Recession Scenario focus on Commercial Mortgages, January 16, 2008
152.  Email from Michael Alix re Recession Scenario focus on Commercial Mortgages, January 16, 2008 2
153.  Email from Paul Friedman to Ben Szwalbenest, et al. re Thornburg
154.  Email from Paul Friedman to Cioffi, et al. re old lane
155.  Email from Paul Friedman to Jeff Mayer, et al. re non-agency AAA
156.  Email from Paul Friedman to Wayne Buchan, et al. re Thornburg
157.  Email from Ralph Cioffi to Gerald Cummins, Matthew Tannin and John Geissinger, (April 21 2007).
158.  Email from Sandra Hong re Redemption Report January 24 2007
159.  Email from Thomas Marano to Scott Eichel re Bear Credit Concerns affecting abx, cdx, and ig cds Busi
160.  Email from Tom Marano to Andrew Donnellan, Ralph Cioffi, Raymond Mcgarrigal, July 12, 2007
161.  Email from Tom Marano to Edward Buttacavoli re Thornburg Exec Committee Memo Draft
162.  February 21, 2008 memo re GMAC ResCap Restructuring and Proposed Transactions
163.  Federated Advised Funds - Changes in Approved Commercial Paper List
164.  Federated Money Markets & Municipal Fixed Income – 1st Quarter 2008 presentation
165.  Fitch Presentation, August 2007.
166.  From the August 2007 General Session Minutes- Investment Research Presentation Cont'd
167.  Funding and Liquidity Package, May 2007.
















































168.  GE Exhibit\_20Apr10Meeting (copy)
169.  Hearing 4 Short List
170.  Internal Memorandum From Counterparty Risk Committee to John Fischer, (June 27, 2007).
171.  Internal SEC Document Bear Stearns Packet (May 30, 2007), Meeting held on June 20, 2007.
172.  JPM-FCIC\_00000111 JPM PP - State of Control and Risk Mgmt (12-9-2009)
173.  JPMorgan, US Fixed Income Tri-Party Repo Update, undated
174.  List of Goldman CDOs
175.  Matthew Eichner, et al., memoranda to Erik Sirri, et al., Risk Management Reviews of Consolidated Su
176.  Matthew Eichner, et al., memoranda to Erik Sirri, et al., Risk Management Reviews of Consolidated Su
177.  Matthew Eichner, et al., memoranda to Robert Colby, et al., Risk Management Reviews of Consolidated
178.  Memo from Dan Chen re Weekly Mortgage Risk, January 30, 2008
179.  Memorandum from Bob Upton and Pat Lewis re Funding & Liquidity Packaged (July 23, 2007).
180.  Merrill Lynch Analysis Bear Stearns Asset Management- What Went Wrong
181.  Minutes of a Special Meeting of the Board of Directors December 12, 2007
182.  Minutes of a Special Meeting of the Board of Directors, March 16, 2008
183.  Mortgage Portfolio Update (February 20, 2008.)
184.  Report Pursuant to Section 129 of the Emergency Economic Stabilization Act of 2008 Loan To Facilitat
185.  Rudiger Fahlenbrach and Rene Stulz, Bank CEO Incentives and the Credit Crisis
186.  SEC Fiscal Year 2006 Budget Request
187.  SEC Fiscal Year 2007 Budget Request
188.  SEC\_TM\_FCIC\_1053244 Email from P Lewis (Bear) to M Eichner, et al (3-10-08) re Liquidity Pool
189.  SEC\_TM\_FCIC\_1053246 Schedule 14-A Bear Stearns Merger Proxy Statement (April 28, 2008)
190.  SEC\_TM\_FCIC\_1053247 Email from Matt Eichner to Brian Peters (March 11, 2008)
191.  SEC\_TM\_FCIC\_1053249 Internal SEC Email from Matt Eichner to CSE's Monitor (March 11, 2008)
192.  SEC\_TM\_FCIC\_1053253 Internal SEC Email from Matt Eichner to Sirri, Macchiaroli, and Robert Colby (3-
193.  SEC\_TM\_FCIC\_1053280 Expected Activity for 3-17-08
194.  SEC\_TM\_FCIC\_1053292 Bear Stearns Notes by Steve Spurry, SEC (March 6-14, 2008)
195.  SEC\_TM\_FCIC\_1053299 Email from Upton, Stacconi to Molinaro (6-14-07) re shift in funding
196.  SEC\_TM\_FCIC\_1053301 Internal Audit Report, February 4, 2008
197.  SEC\_TM\_FCIC\_1053309 Internal SEC Doc - Bear Stearns Packet (May 30), Mtg Held June 20
198.  SEC\_TM\_FCIC\_1053316 SEC Timeline of Bear Stearns, March 10-14
199.  SEC\_TM\_FCIC\_1053317 SEC Timeline Regarding Bear Stearns (4-3-08)
200.  SEC\_TM\_FCIC\_1053322 SEC Memo on 'Bear Stearns Liquidity Pool Inspection' (March 2008)
201.  SEC\_TM\_FCIC\_1053369 Lori Richards Memo to Colby re CSE Exam of Bear (11-4-05)

202.  SEC\_TM\_FCIC\_1053383 Bear Stearns Inventory Analysis (Jan 11, 2008)
  203.  SEC\_TM\_FCIC\_1053393 Bear Stearns Net Cash Capital Tracking and Cushion
  204.  SEC\_TM\_FCIC\_1053426 SEC monitor's email of October 1, 2007
  205.  SEC\_TM\_FCIC\_1053474 Internal SEC Doc - Bear Packet (7-31-08) re Meeting on August 15
  206.  SEC\_TM\_FCIC\_1053483 Beart Stearns Co. Inc. Balance Sheet and Funding Composition (1-31-08)
  207.  SEC\_TM\_FCIC\_1053484 Email from James Giles to Spurry, Eichner, Silva (2-4-08) re Upton-Farber Call
  208.  SEC\_TM\_FCIC\_1053533 Eichner memo to Colby re Risk Mgmt Rww of CSE (12-5-05 - 9-7-06)
  209.  SEC\_TM\_FCIC\_1053587 Internal SEC Memo, Risk Mgmt Reviews of CSEs (2-2-07)
  210.  SEC\_TM\_FCIC\_1053596 Memo to Eichner, Sirri, Division of Mkt Reg re Risk Mgmt Rww of CSE (3-30-07)
  211.  SEC\_TM\_FCIC\_1053601 Memo to Eichner, Sirri, Mkt Reg re Risk Mgmt Rww of CSEs (4-26-07)
  212.  sknaus\_ (Part 1)
  213.  The Bear Stearns Companies Inc. 2007 Performance Compensation Plan requires approval from both the B
  214.  The Bear Stearns Companies Inc. Finance and Risk Committee of the Board of Directors Charter
  215.  The Bear Stearns Companies Inc. Minutes of Regular Meeting Board of Directors January 10, 2007
  216.  The Bear Stearns Companies Inc. Minutes of Regular Meeting of Board of Directors (September 18, 2007)
  217.  The Bear Stearns Companies Inc. Minutes of Regular Meetings of Board of Directors (June 21, 2006)
  218.  Wyman Slides 1
3.  GE Capital And Shadow Banking
  4.  Lehman Outline 13April2010
  5.  Market Exposure Survey
  6.  RIP - Shadow Banking - Hearing 4
  7.  Shadow Banking PSR Outline
  8.  shadow\_banking\_reading\_list
  9.  Survey Description
- G.  Subprime Securitization and GSEs
1.  Document Requests
    - a.  2010-01-06 Citi Doc Request 1 (B Bondi to M Helfer) (copy)
    - b.  2010-01-22 Doc\_Retention\_Notice\_Citigroup\_MHelfer (copy)
    - c.  2010-01-22 FNMA Doc Request, G Cohen to Timothy Mayopoulos (copy)
    - d.  2010-01-25 Citi Second Doc Request (B Bondi to Susanna Buergel) (copy)
    - e.  2010-02-02 C Seefer Ltr to FHFA (A Pollard) (copy)
    - f.  2010-02-02 G Cohen ltr to DOT (G Madison) (copy)
    - g.  2010-02-02 G Cohen ltr to FRBNY (S Leventhal) (copy)
    - h.  2010-02-03 G Cohen Ltr to DOJ (F Burton) (copy)
    - i.  2010-02-03 G Cohen Ltr to SEC (M Cahn) (copy)
    - j.  2010-02-08 G Cohen ltr to OCC (J Williams) (copy)
    - k.  2010-02-11 G Cohen ltr to Deloitte (W Lloyd) re Doc Production (copy)
    - l.  2010-02-12 B Bondi ltr to Citi (S Buergel) re second supplemental request (copy)













































- m.  2010-02-12 B Bondi ltr to FRBNY (S Leventhal) re document production (copy)
  - n.  2010-02-12 G Cohen ltr to FHFA (A Pollard) re doc production (copy)
  - o.  2010-02-12 G Cohen Ltr to HUD (H Kanovsky) re doc production (copy)
  - p.  2010-02-16 G Cohen ltr to BofA (R Brown) re Doc Production (copy)
  - q.  2010-02-22 G Cohen ltr to OCC (J Williams) re Doc Request (copy)
  - r.  2010-02-23 B Bondi ltr to Citi (S Buergel) re CF and CM interviews (copy)
  - s.  2010-02-24 G Cohen ltr to FHLMC (R Kelner) re Doc Request (copy)
  - t.  2010-02-25 Doc Request to MARI (copy)
  - u.  2010-02-26 G Cohen ltr to FDIC (M Bradfield) re Doc Request (copy)
  - v.  2010-02-26 G Cohen ltr to FRB (D Caperton) re Doc Request (copy)
  - w.  2010-02-26 G Cohen ltr to OCC (J Williams) re Doc Request (copy)
  - x.  2010-02-26 G Cohen ltr to OTS (D Dakin) re Doc Request (copy)
  - y.  2010-03-02 G Cohen ltr to OCC (J Williams) re Doc Request (copy)
  - z.  2010-03-05 T Greene Ltr to FRB (D Caperton) re Document Production (copy)
  - aa.  2010-03-10 G Cohen to Citi (S Buergel) re Doc Production (copy)
2.  Hearing 2-3
- a.  Hearing Background Materials
    - 1.  1. Federal Reserve
      - a.  1. Chairman Alan Greenspan Biography
        - 1.  1. Chairman Alan Greenspan Biography
      - b.  2. Transcript of pre-interview with Chairman Gr
        - 1.  2010-03-31 K Dubas memo re Interview with Alan Greenspan \_2\_
      - c.  3. Selected Quotes\_Chairman Alan Greenspan\_Housing
        - 1.  4. Selected Quotes\_Chairman Alan Greenspan\_Housing\_Regulation\_GSEs\_misc
      - d.  4. Relevant Articles
        - 1.  a. Did Greenspan Add to Subprime Woes\_ G. Ip\_ Wall Street Journal\_2007-06-09
        - 2.  b. PBS Greenspan Admits Flaw to Congress\_PBS NewsHour Transcript\_2008-10-23
        - 3.  c. Excerpt from "And Then The Roof Caved In" \_ Faber, David\_pgs 50-55\_2009-06
        - 4.  d. The Fed Didn't Cause the Housing Bubble\_A. Greenspan\_Wall Street Journal\_2009-03-11
        - 5.  e. Fed Held Back as Evidence Mounted on Subprime Loan Abuses\_B. Appelbaum\_Wash Post\_2009-09-27
        - 6.  f. Greenspan Concedes Fed Failed to Gauge Bubble\_\_S. Chan\_NYT\_2010-03-18
        - 7.  g. Fed Shrugs as Subprime Crisis Spread\_E. Andrews\_New York Times\_2007-12-18
        - 8.  h. Fortune Alan Greenspan Fights Back\_ G. Colvin\_Fortune Magazine\_2010-02-05
        - 9.  i. Fed's Approach to Regulation Left Banks Exposed to Crisis\_B. Appelbaum\_Wash Post\_2009-12-21
        - 10.  j. The Next Government Bailout\_J. Laing\_Barrons\_2008-03-10
      - e.  5. Greenspan Testimony before House Oversight Committee\_2008-10-23
      - f.  6. The Crisis, Alan Greenspan. Paper Presented to Brookings Institute\_2010-03-09
    - 2.  2. Citi
      - a.  1. Citigroup Witness Bios
        - 1.  1. Citigroup Witness Bios








































- b.  2. Transcripts or memoranda of pre-interviews
  - 1.  b. Transcript of Interview with Patricia Lindsay 2010-03-24
  - 2.  c. Transcript of Interview with Dick Bowen 2010-02-27
  - 3.  d. MFR of Interview with Susan Mills (Citi) 2010-02-03
  - 4.  e. MFR of Interview with Murray Barnes\_ 2010-03-02
  - 5.  f. MFR of Interview with Nestor Dominguez\_ 2010-03-02
  - 6.  h. Transcript of Interview with Tom Maheras (Condensed format)\_ 2010-03-10
  - 7.  i. Transcript of Interview with Charles Prince (condensed version) 2010-03-17
  - 8.  j. Transcript of Interview with Robert Rubin (condensed format)\_ 2010-03-11
- c.  3. Documents of Selected Key Quotes
  - 1.  a. Key Quotes - Charles Prince
  - 2.  b. Key Quotes - Robert Rubin
- d.  4. Relevant Articles
  - 1.  a. Harvard Case Study - What happened to Citigroup Harvard July 2009
  - 2.  b. The Reckoning - Citigroup Saw No Red Flags Even as It Made Bolder Bets\_E. Dash\_2008-11-22
- 3.  3. OCC
  - a.  1. OCC Witness Biographies
    - 1.  1. OCC Witness Biographies
  - b.  2. Transcripts or "Memoranda for the Record" (MFR)
    - 1.  MFR\_Dugan\_2010-03-30
  - c.  3. Relevant Speeches and Previous Testimony
    - 1.  a. Hawke Remarks October 7 2002
    - 2.  b. Hawke Testimony April 1 2004
    - 3.  c. Dugan Testimony September 5 2007
    - 4.  d. Dugan Remarks November 19 2008
    - 5.  e. Dugan Remarks February 2, 2010
    - 6.  f. Statement on Subprime Mortgage Lending
  - d.  4. Document of Selected Key Quotes
    - 1.  a. OCC Selected Speeches and Testimony
  - e.  5. Relevant Articles
    - 1.  a. Does this Bank Watchdog -OCC- Have Bite\_A. Martin\_NYTimes\_2010-03-26
    - 2.  b. Systemic Risk Through Securitization.P. McCoy.Connecticut Law Review.2009-05
- 4.  4. GSEs and OFHEO
  - a.  1. Witness Biographies
    - 1.  1. Witness Biographies
  - b.  2. Transcripts or Memoranda of Pre-Interviews
    - 1.  a. 2010-03-04-Thomas Lund MFR
    - 2.  b. 2010-03-17 Robert Levin MFR
    - 3.  c. 2010-03-19 James Lockhart MFR
    - 4.  d. 2010-03-26 Dan Mudd MFR
    - 5.  e. 2010-03-29 Falcon MFR
  - c.  3. Document of selected key quotes
    - 1.  3 Fannie Mae Key Quotes
  - d.  4. Relevant Articles












































1.  a. The Reckoning- Fannie Reached Tipping Point\_C. Duhigg\_New York Times\_2008-10-5
2.  b. Fannie Mae's Last Stand\_B. McLean\_ Vanity Fair\_2009-02
3.  c. The Endgame Nears for Fannie and Freddie\_J. Laing\_Barrons\_2008-08-18
4.  d. A Break for Freddie and Fannie\_S.Labatou\_new York Times\_2008-03-20
5.  e. Geithner Backs Gov Role in Mortgage Market\_ S. Timiraos\_Wall Street Journal\_2010-03-23
- e.  5. Relevant Speeches and Previous Testimony
  1.  a. 2002-07-23 Falcon Testimony before Subcommittee on Capital Markets, Insurance, and GSEs
  2.  b. 2004-10-06 Falcon Testimony before Subcommittee on Capital Markets, Insurance, and GSEs
  3.  c. 2006-06-06 Lockart Testimony before House Financial Services Committee
  4.  d. 2007-04-17 Mudd Testimony before House Financial Services Committee
  5.  e. 2008-02-07 Lockhart Testimony before Senate Banking Committee
  6.  f. 2008-02-07 Mudd Testimony before Senate Banking Committee
  7.  g. 2008-05-22 Lund Testimony before House Financial Services Committee
  8.  h. 2008-10-23 Lockhart Testimony before Senate Banking Committee
  9.  i. 2008-12-09 Mudd Testimony before House Oversight Committee
5.  INDEX\_H2\_Background Briefing Book for Commissioners
- b.  Hearing Briefing Binder
  1.  I. Agendas, Timelines, Logistics, and Media Adv
    - a.  a. Agenda for Financial Crisis Inquiry Commission 4-6-2010 Briefing Meeting
    - b.  b. Agenda and Witness List for Financial Crisis Inquiry Commission Hearing April 7-9\_2010
    - c.  c. Logistics
    - d.  d. Media Advisory 3 31 10
  2.  II. DAY ONE, WEDNESDAY, APRIL 7, 2010
    - a.  1) Day 1\_Session 1\_ The Federal Reserve
      1.  a) PSR - Role of Federal Reserve in Bank Supervision and Regulation
      2.  b) PSR - The Mortgage Crisis
      3.  c) Prelim Investigative Report on the Federal Reserve
      4.  d) Chairman Alan Greenspan Biography
      5.  e) Greenspan\_FCIC\_Testimony\_4-5-10
      6.  f) Greenspan -- suggested questions
      7.  g) Mortgage Fraud Update - summary
    - b.  2) Day 1\_Session 2\_ Subprime Origination and Secur
      1.  a) Citigroup Preliminary Investigative Summary - 4-01-10
      2.  b) Summary of Citigroup Financial Narrative - 4-02-2010
      3.  c) Citigroup capital ratios 3-30-2010
      4.  d) Subprime Witness Bios
      5.  e-1) Bitner, Richard\_FCIC Testimony
      6.  e-2) Bowen\_Testimony
      7.  e-3) Lindsay\_Testimony
      8.  e-4) Susan Mills\_Testimony














































- 9.  f) Subprime Witnesses - Suggested Questions - 4-05-2010
- c.  3) Day 1\_Session 3\_Citi Subprime Products & Manag.
  - 1.  a) Citi Witness Biographies
  - 2.  b-1) Murray Barnes\_Testimony
  - 3.  b-2) David Bushnell\_Testimony
  - 4.  b-3) Nestor Dominguez\_Testimony
  - 5.  b-4) Thomas Maheras\_Testimony
  - 6.  c) Citi Witnesses - Suggested Questions- 4-05-2010
- 3.  III. DAY TWO, THURSDAY, APRIL 8, 2010
  - a.  1) Day 2\_Session 1\_ Citi Senior Management
    - 1.  a) PSR - CRA and the Mortgage Crisis
    - 2.  b) PSR - Securitization and the Mortgage Crisis
    - 3.  c) Citi Senior Management Witness Biographies
    - 4.  d-1) Prince Testimony
    - 5.  d-2) Rubin\_Testimony
    - 6.  e) Citi Senior Management Witnesses - Suggested Questions - 4-05-2010
  - b.  2) Day 2\_Session 2\_OCC
    - 1.  a) Investigative Findings on Citigroup's Banking Supervisors - OCC
    - 2.  b) FCIC\_Investigative\_Findings\_OCC\_Preemption\_DRAFT
    - 3.  c) OCC Witness Biographies
    - 4.  d-1) Dugan\_Testimony and Appendix with exhibits
    - 5.  d-2) Hawke\_Testimony
    - 6.  e) OCC witness suggested questions
- 4.  IV. DAY THREE, FRIDAY, APRIL 9, 2010
  - a.  1) Day 3\_Session 1\_GSEs\_Fannie
    - 1.  a) PSR - GSEs and the Financial Crisis
    - 2.  b) Summary of Preliminary Investigative Report on GSEs and Timeline
    - 3.  c) GSE Witness Biographies
    - 4.  d-1) Levin Testimony
    - 5.  d-2) Mudd, Daniel\_Testimony
    - 6.  e) Fannie Mae Witnesses - Suggested Questions
    - 7.  e) Fannie Mae Witnesses - Suggested Questions - revised
  - b.  2) Day 3\_Session 2\_OFHEO
    - 1.  a) OFHEO Witness Biographies
    - 2.  b-1) Falcon, Armando\_Testimony
    - 3.  b-2) Lockhart Testimony
    - 4.  c) OFHEO-Regulators- Suggested Questions
    - 5.  c) OFHEO-Regulators- Suggested Questions - revised
- 5.  V. KEY DOCUMENT APPENDIX
  - a.  1) Citigroup Preliminary Investigative Report - 4-01-10
  - b.  2) Citigroup Financial Narrative - 4-02-2010
  - c.  3) Citigroup Compensation Addendum - 4-05-2010
  - d.  4) Investigative Report on GSEs\_Fannie Mae\_03-30-2010
  - 6.  OUTLINE - Briefing books for Commissioners (3-30-2010)(Com)
- c.  Hearing follow-up
  - 1.  Letters to witnesses
    - a.  2010-06-03\_Ltr to D Mudd Re Follow-Up Questions to 4-9-10 Hearing




















- b.  2010-06-03\_Ltr to R Levin Re Follow-Up Questions to 4-9-10 Hearing
- c.  2010-06-04 Ltr to J Lockhart Re Follow-up Questions to 4-9-10 Hearing
- d.  2010-06-09\_Ltr to A Greenspan Re Follow-Up Questions to 4-7-10 Hearing
- e.  2010-06-09\_Ltr to Citi Re Follow-Up Questions to April 7-9 2010 Hearing
- f.  2010-06-09\_Ltr to J Dugan OCC Re Follow-Up Questions to 4-8-10 Hearing
- g.  2010-06-09\_Ltr to J Hawke OCC Re Follow-Up Questions to 4-8-10 Hearing
- 2.  Response letters from witnesses
  - a.  2010-06-17\_D Mudd Response to 4-9-10 Hearing Follow-up Questions
  - b.  2010-06-17\_J Hawke Response to 4-8-10 Hearing Follow-up Questions
  - c.  2010-06-17\_R Levin Response to 4-9-10 Hearing Follow-up Questions
  - d.  2010-06-23 R Rubin Response to 4-8-10 Hearing Follow-up Questions
  - e.  2010-06-23\_A Greenspan Response to 4-7-10 Hearing Follow-up Questions
  - f.  2010-06-23\_Citi Response to April 7-9, 2010 Hearing Follow-up Questions
  - g.  2010-07-08 Dugan Testimony Follow-Up
  - h.  2010-07-19 S Mills & D Bushnell H23 Follow-Up
  - i.  2010-07-30 J Lockhart ltr to FCIC re Hearing Follow up Responses (copy)
  - j.  2010-11-01 Citigroup Response to H2\_3 Follow-Up
- 3.  2010-09-15 Hearing 2-3 Follow-up Memo\_
- 4.  H2-3 Commissioner Follow-up Questions (original)
- 5.  H2-3 Commissioner Follow-up Questions Grid
- 6.  takeaways from housing research and investigative plan (8-25)
- d.  Hearing transcripts
  - 1.  2010-04-07 HEARING 2 & 3 TRANSCRIPT [DAY ONE]\_FINAL
  - 2.  2010-04-08 HEARING 2 3 TRANSCRIPT DAY TWO\_FINAL
  - 3.  2010-04-09 HEARING 2 & 3 TRANSCRIPT [DAY THREE]\_FINAL
- e.  Misc
  - 1.  FM-FCIC\_00170692-00171486
  - 2.  FM-FCIC\_00171487-00173001
  - 3.  FM-FCIC-2\_00001232-1757
  - 4.  Protocol for Documents for H2-3
- f.  Short List Documents
  - 1.  1996-08-12 FRB Supervisory Letter re Supervisory Guidance for Credit Derivatives
  - 2.  1999-03-01 Interagency Guidelines on Subprime Lending
  - 3.  2000-08-31 memo fr Dolores Smith and Glenn Loney to Gov Edward Gramlich, re Compliance Inspections
  - 4.  2000-11-15 Memo re Citigroup's Acquisition of Associates
  - 5.  2000-12-05 FRB Memo
  - 6.  2001-01-31 OCC Press Release re Expanded Guidance for Subprime Lending
  - 7.  2002-09-26 memo fr Richard Spillenkothen to BOG re Board Briefing on September 30
  - 8.  2002-09-28 BOG Meeting Minutes re Citigroup's acquisition of CalFed
  - 9.  2002-09-30 BOG Meeting Minutes re Citigroup and Enron
  - 10.  2003-02-21 Letter from David Hammker re Guidelines for National Banks to Guard Against Predatory Len
  - 11.  2003-02-21 Memo from David Hammaker re Avoiding Predatory and Abusive Lending Practices in Brokered
  - 12.  2003-07-28 Formal Agreement with OCC
  - 13.  2003-07-31 Statement of John Hawke re National City Preemption Determinaiton and Order



























14.  2004-03-29 OCC Findings on Fixed Income Derivatives Examination
15.  2004-09-23 Transmittal to Citibank of OCC Findings on Derivatives Examination
16.  2004-09-27 Memo to File from OCC Examiner re Meeting with Citibank
17.  2005-01-13 OCC Findings on Risk Management Examination
18.  2005-01-31 OCC Transmittal of Risk Management Findings
19.  2005-03-12 Citigroup Response to Risk Management Findings
20.  2005-05 Draft Close Out Report
21.  2005-05-09-27 Operations Review Report (FRBNY)
22.  2005-06-27 Strategic Crossroads presentation (copy)
23.  2005-08-17 Minutes of August 18, 2005 meeting between NYFed examiners and Citigroup (copy)
24.  2006 FHFA Report of Examination on Fannie Mae (copy)
25.  2006-03-06 Citibank Response to December 22, 2005 Transmittal
26.  2006-03-21 Email fr. Leonard Zawistowski to John McCormick re Mortgage Fraud discussion with FBI
27.  2006-05-23 Consent Agreement (copy)
28.  2006-06 OCC Review Check List of CMAC Approval of CDS Issued on ABS CDOs for compliance with Formal
29.  2006-06-08 Testimony of James Lockhart re FDIC, SEC, FRB and Housing Nominations
30.  2006-11-21 OCC Recommendation for Removal of Formal Agreement
31.  2007 FHFA Report of Examination on Fannie Mae (copy)
32.  2007-04-05 OCC Notice of Satisfaction of Safety and Soundness Notice
33.  2007-04-16 Corporate Library Analyst Reports re Citigroup (copy)
34.  2007-06-28 Notice in Federal Register re Interagency Statement on Subprime Mortgage Lending
35.  2007-07-17 Dallavecchia eml to Mudd (copy)
36.  2007-09-19 OFHEO Press Release- OFHEO Provides Flexibility on Fannie Mae, Freddie Mac Mortgage Portf
37.  2007-11-19 Minutes of Meeting of Regulators with CRO Bushnell, GC Helfer, and COB Rubin
38.  2007-12-21 American Banker Article- Setting an OFHEO Plan, But Wishing Otherwise
39.  2008-01-17 Conclusion Memorandum on CDO Valuation
40.  2008-02-07 Testimony of James Lockhart before Senate Banking re GSE Overhaul
41.  2008-02-14 Letter to Pandit Regarding Serious Problems at Citibank
42.  2008-02-27 Lockhart Statement (copy)
43.  2008-03-03 Nelson email re GSEs' credit risk (copy)
44.  2008-03-06 Farrell eml to Steel (copy)
45.  2008-03-08 Thomas eml to Steel (copy)
46.  2008-03-10 Barrons - The Next Gov Bailout (copy)
47.  2008-03-16 Norton eml to Steel Nason Ryan Kashkari (copy)
48.  2008-03-17 Lockhart eml to Mudd and Steel (copy)
49.  2008-03-17 Mudd eml to Lockhart (copy)
50.  2008-03-19 OFHEO Press Release - re Increase Mortgage Mkt Liquidity (copy)
51.  2008-03-24 Citibank Letter to OCC Disputing OCC Findings
52.  2008-04-09 OCC Transmittal Letter and Report of Examination as of December 31, 2007
53.  2008-04-15 Transmittal Letter and Report for 2007 (copy)















































54.  2008-06-17 FINRA Report on the Examination of CGMI (copy)
  55.  2008-08-22 Dickerson ltr to Mudd (copy)
  56.  2008-09-01 Dickerson ltr to Mudd (copy)
  57.  2008-09-04 Dickerson ltr to Mudd - Mid-year letter (copy)
  58.  2008-09-06 FHFA Fannie Mae Conservatorship Memo (copy)
  59.  2008-09-08 OCC - Observations - Allowance Process and Methodology (copy)
  60.  2009-12 Operations Review Close Out Report
  61.  2010-01-22 K Dubas Memo re Bondi Call with M Macchioroli (SEC) (copy)
  62.  2010-02-27 Team 2 Interview with Dick Bowen
  63.  2010-03-23 FCIC Interview with Scott Alvarez and Kiernan Fallon (copy)
  64.  BOD Minutes (2007-10-15) (copy)
  65.  C Prince to R Rubin re Maheras and Super Seniors (2007-09-09) (copy)
  66.  Citi memo re Valuation of Liquidity Puts (2006-10-19) (copy)
  67.  Citigroup PowerPoint on U.S. CDOs (copy)
  68.  Corporate Audit and Risk Mgmt Cmte Minutes (2007-10-15) (copy)
  69.  Corporate Library, R. Marshall, Chief Analyst, April 16, 2007
  70.  D Bowen to R Rubin and D Bushnell re concerns (2007-11-03) (copy)
  71.  December 15, 2005 OCC Findings on North American Global Structured Credit Derivatives Examination
  72.  December 22, 2005 OCC Transmittal to Citibank of Derivatives Examination Findings
  73.  GSM - Global Business Introduction, Focus on Non Agency, Subprime (2007-03-28) (copy)
  74.  GSM Mortgage Finance Warehouse Lines of Credit - Response to I-39 (copy)
  75.  Hearing 2 Short List
  76.  Hearing 2 Short List
  77.  Letter from Sandra F. Braunstein, to Steven H. Rosenbaum, Countrywide Referral Letter, Mar. 5, 2007
  78.  Liquidity Put Discussion (copy)
  79.  Memo to the Committee on Consumer and Community Affairs, Apr. 8, 1998
  80.  November 19 2007 minutes of meeting of regulators with Chief Risk Officer Bushnell, General Counsel
  81.  Presentation to the SEC Regarding Overall CDO Business and Subprime Exposure (2007-06) (copy)
  82.  Purchases, Sales, Securitizations, 3d Party UW (2005-2009) (copy)
  83.  Review of the Current Environment - D Bushnell (2007-10-30) (copy)
  84.  Risk Management Review - Update to Corporate ARM Cmte (2007-10-15) (copy)
3.  Hearing Invitations
- a.  2010-03-10 Invitation Ltr - Greenspan (copy)
  - b.  2010-03-11 Hearing Invite to D Mudd (copy)
  - c.  2010-03-11 Hearing Invite to J Lockhart (copy)
  - d.  2010-03-16 FCIC Hearing Invitation to John C. Dugan (copy)
  - e.  2010-03-16 FCIC Hearing Invitation to John D. Hawke (copy)
  - f.  2010-03-22 Invitation Ltr to Charles Prince (copy)
  - g.  2010-03-22 Invitation Ltr to David Bushnell (copy)
  - h.  2010-03-22 Invitation Ltr to Murray Barnes (copy)
  - i.  2010-03-22 Invitation Ltr to Nestor Dominguez (copy)
  - j.  2010-03-22 Invitation Ltr to Patricia Lindsay (copy)
  - k.  2010-03-22 Invitation Ltr to Richard Bitner (copy)















































- l.  2010-03-22 Invitation Ltr to Richard Bowen (copy)
    - m.  2010-03-22 Invitation Ltr to Robert Levin (copy)
    - n.  2010-03-22 Invitation Ltr to Robert Rubin (copy)
    - o.  2010-03-22 Invitation Ltr to Susan Mills (copy)
    - p.  2010-03-22 Invitation Ltr to Thomas Lund (copy)
    - q.  2010-03-22 Invitation Ltr to Thomas Maheras (copy)
  - 4.  Memorandum
    - a.  Copy of NCRC attachment to memo 10 7 2010
    - b.  CRA staff report 10 7 2010 & Wells Exhibit 1
    - c.  housing\_results\_20100707
    - d.  housing\_results\_pinto\_20100809
    - e.  Pinto response to FCIC housing data 8 14 10
  - 5.  OCC Materials
    - a.  1. OCC Witness Biographies
    - b.  a. Hawke Remarks October 7 2002
    - c.  a. OCC Selected Speeches and Testimony
    - d.  b. Hawke Testimony April 1 2004
    - e.  c. Dugan Testimony September 5 2007
    - f.  d. Dugan Remarks November 19 2008
    - g.  e. Dugan Remarks February 2, 2010
    - h.  f. Statement on Subprime Mortgage Lending
  - 6.  Hearing Plan - Subprime Housing, Securitization, and GSEs - Hearing 2,3
  - 7.  Invitation to Chairman Greenspan
  - 8.  RIP - Subprime Lending, Securitization, and GSEs - Hearing 2,3
- H.  Too Big to Fail
  - 1.  Hearing 6
    - a.  Documents
      - 1.  Chairman's Documents
        - a.  Lehman
          - 1.  07\_11\_08 Memo FCIC-155482-155491 (copy)
          - 2.  3\_09\_09 Memo to File fr. Scott G. Alvarez FCIC-133533-133548 (copy)
          - 3.  6\_16\_08 email FCIC-155446 (copy)
          - 4.  6\_20\_08 email, FCIC-155450-51 (copy)
          - 5.  6\_25\_08 Primary Dealer Monitoring- Liquidity Stress Analysis FCIC-155470-474 (copy)
          - 6.  7\_10\_08 email UST-FCIC 0029097 (copy)
          - 7.  7\_11\_08 email UST-FCIC 0029096 (copy)
          - 8.  7\_12-13\_08 email FCIC-155510-12 (copy)
          - 9.  9\_05\_08 Email FCIC-123348-1223350 (copy)
          - 10.  9\_10\_08 email UST-FCIC 0029201 (copy)
          - 11.  9\_11\_08 Email and plan FCIC-155196-201 (copy)
          - 12.  9\_11\_08 email and gameplan FCIC-154768-773 (copy)
          - 13.  9\_11\_08 Email FCIC-154785 (copy)
          - 14.  9\_11\_08 Email FCIC-154787-789 (copy)
          - 15.  9\_11\_08 email UST-FCIC 0029510 (copy)
          - 16.  9\_12\_08 Email and Financial Times article UST-FCIC 0029440-441 (copy)
          - 17.  9\_12\_08 email FCIC-154863 (copy)
















































18.  9\_12\_08 email FCIC-154870-871 (copy)
19.  9\_12\_08 email UST-FCIC0029418-424 (copy)
20.  9\_13\_08 email FCIC-155967-969 (copy)
21.  9\_13\_08 Email from Tobias to Ashcraft et al FCIC-SSI0001367-1371 (copy)
22.  9\_14\_08 email and resolutions FCIC 154989-154991 (copy)
23.  9\_14\_08 email FCIC-154997 (copy)
24.  9\_14\_08 email FCIC-156017-020 (copy)
25.  9\_14\_08 email thread UST-FCIC0029411-0416 (copy)
26.  FCIC 154463-464. (copy)
27.  FCIC-123343-47 (copy)
28.  FCIC-154477-79 (copy)
29.  FCIC-154542-154545 (copy)
30.  FCIC-154556-563 (copy)
31.  FCIC-154786 (copy)
32.  FCIC-155637-647 (copy)
33.  FRB to Exam. 000826. (copy)
34.  FRBNY to Exam. 034332-33 (copy)

b.  Wachovia
















































1.  11\_17\_08 Letter WF-WACH-003797-3826 (copy)
2.  2006 OCC ROE Wachovia (copy)
3.  2006 RAP FCIC-134446 – FCIC134579 (copy)
4.  2006 Supervisory letter, April 4, 2007 FCIC-134580 –FCIC-134585 (copy)
5.  2007 ROE FCIC 134717-725 (copy)
6.  2009 Wachovia RAP-Supervisory Plan (copy)
7.  7\_12\_07 RAP FCIC-134586-FCIC 134716 (copy)
8.  8\_04\_08 OCC letter and exam report OCC15-00300870-903 (copy)
9.  8\_19\_08 MOU OCC15-00300853-869 (copy)
10.  9\_28\_08 Memo to the Board of Governors of the Federal Reserve System (copy)
11.  9\_29\_08 memo to the FDIC Board of Directors (copy)
12.  9-29-08 Meeting Minutes (copy)
13.  9-29-08 Transcript of Closed Meeting (copy)
14.  9-29-08 Wachovia Board Resolution (copy)
15.  OCC Quarterly Risk Assessment Profiles of Wachovia 2nd Quarter 2007 (copy)
16.  Wachovia power point feldberg (copy)
2.  07\_11\_08 Memo FCIC-155482-155491
3.  11\_17\_08 Letter WF-WACH-003797-3826
4.  2006 OCC ROE Wachovia
5.  2006 RAP FCIC-134446 – FCIC134579
6.  2006 Supervisory letter, April 4, 2007 FCIC-134580 –FCIC-134585
7.  2007 ROE FCIC 134717-725
8.  2008-03-18 NYT - Lehman, Goldman Profits Fall, but Beat Estimates
9.  2008-03-24 FRBNY Press Release
10.  2008-04-08 Einhorn Private Profits and Socialized Risk
11.  2008-05-21 Einhorn Speech

















































12.  2008-06-11 Bloomberg - Lehman's Greatest Value Lies in Lessons Learned
13.  2008-08-18 GS Analyst Report Don't Buy AIG
14.  2008-09-07 Treasury Press Release
15.  2008-09-15 CRS Report Fannie and Freddie in Conservatorship
16.  2008-12-16 CNN Money - Three days that shook the world
17.  2009 Wachovia RAP-Supervisory Plan
18.  2010-03-16 FRBNY Press Release
19.  2010-03-18 Lehman Earnings Release
20.  2010-06-16 Lehman Earnings Release
21.  3\_09\_09 Memo to File fr. Scott G. Alvarez FCIC-133533-133548
22.  6\_16\_08 email FCIC-155446
23.  6\_17\_08 Email FCIC 154463-464.
24.  6\_20\_08 email, FCIC-155450-51
25.  6\_25\_08 Primary Dealer Monitoring- Liquidity Stress Analysis FCIC-155470-474
26.  6\_25\_08 Primary Dealer Monitoring- Liquidity Stress Analysis FCIC-155470-474  
REDACTED
27.  7\_10\_08 email UST-FCIC 0029097
28.  7\_11\_08 Email FCIC-155481
29.  7\_11\_08 email UST-FCIC 0029096
30.  7\_12\_07 RAP FCIC-134586-FCIC 134716
31.  7\_12\_08 Email from McAndrews to McConnell FCIC-155504-06
32.  7\_12-13\_08 email FCIC-155510-12
33.  7\_14\_08 Email from Deborah Bailey FRB to Roger ColeFCIC-155293
34.  7\_14\_08 Email from Mark VanDerWeide, FRB to Scott Alvarez FRB FCIC-155285
35.  7\_15\_08 Email from Mark VanDerWeide FRB to Scott Alvarez FRB FCIC-155290
36.  7\_20\_08 email FCIC-154545
37.  7\_30\_08 Email from Mark VanDerWeide FRB to Deborah Bailey FRB  
FCIC-155214
38.  8\_04\_08 OCC letter and exam report OCC15-00300870-903
39.  8\_18\_08 AIG Report by Goldman FCIC-AIG0015413-430
40.  8\_19\_08 MOU OCC15-00300853-869
41.  8\_8-19\_08 email thread FCIC 155130-155134
42.  9\_05\_08 Email FCIC-156055-156057
43.  9\_10\_08 Email FCIC-154786
44.  9\_10\_08 email UST-FCIC 0029201
45.  9\_11\_08 Email and plan FCIC-155196-201
46.  9\_11\_08 email and gameplan FCIC-154768-773
47.  9\_11\_08 Email FCIC-154785
48.  9\_11\_08 Email FCIC-154787-789
49.  9\_11\_08 email UST-FCIC 0029425-429
50.  9\_11\_08 email UST-FCIC 0029510
51.  9\_11\_08 email UST-FCIC 0029573
52.  9\_12\_08 email and attached speaking notes UST-FCIC 0029633-35
53.  9\_12\_08 Email and Financial Times article UST-FCIC 0029440-441
54.  9\_12\_08 email FCIC-154863
55.  9\_12\_08 email FCIC-154870-871
56.  9\_12\_08 email FCIC-155903
57.  9\_12\_08 email UST-FCIC0029418-424

58.  9\_13\_08 Email and article FCIC-155927-932
59.  9\_13\_08 Email and Master Timeline FCIC 155172-155175
60.  9\_13\_08 Email and Master Timeline FCIC 155172-155175 REDACTED
61.  9\_13\_08 Email FCIC 154949-951
62.  9\_13\_08 Email FCIC 154949-951 REDACTED
63.  9\_13\_08 Email FCIC 154966-67
64.  9\_13\_08 email FCIC-155967-969
65.  9\_13\_08 Email from Tobias to Ashcraft et al FCIC-SSI0001367-1371
66.  9\_13\_08 Emails FCIC 155902-904
67.  9\_14\_08 email and resolutions FCIC 154989-154991
68.  9\_14\_08 email FCIC-154997
69.  9\_14\_08 Email FCIC-155006-010
70.  9\_14\_08 email FCIC-156017-020
71.  9\_14\_08 email FCIC-AIG0021165-66
72.  9\_14\_08 email FCIC-AIG0021165-66 REDACTED
73.  9\_14\_08 email thread UST-FCIC0029411-0416
74.  9\_27\_08 Memo from Elizabeth Gress and John A. Beebe to Jennifer Burns re Wachovia
75.  9\_28\_08 Memo to the Board of Governors of the Federal Reserve System
76.  9\_29\_08 memo to the FDIC Board of Directors
77.  9\_9\_08 email and attachment FCIC-155639-647
78.  9-29-08 Meeting Minutes
79.  9-29-08 Transcript of Closed Meeting
80.  9-29-08 Wachovia Board Resolution
81.  AChronology of key events related to Lehman Brothers
82.  CITI-LBHI-EXAM 000006741-00006742
83.  CITI-LBHI-EXAM 000007751-00007752
84.  CITI-LBHI-EXAM 00005903-5904
85.  CITI-LBHI-EXAM 000072923
86.  CITI-LBHI-EXAM 000073015-00073016
87.  CITI-LBHI-EXAM 000073399-00073400
88.  CITI-LBHI-EXAM 000074286-00074289
89.  CITI-LBHI-EXAM 000076589-00076590
90.  CITI-LBHI-EXAM 000076678-00076680
91.  CITI-LBHI-EXAM 000077310-00077312
92.  CITI-LBHI-EXAM 000077391-00077392
93.  CITI-LBHI-EXAM 000111749-00111751
94.  CITI-LBHI-EXAM 000114115
95.  CITI-LBHI-EXAM 00114115
96.  CITI-LBHI-EXAM 00115773
97.  David\_Einhorn\_Accounting\_Ingenuity
98.  FCIC - 155450 – FCIC-155452
99.  FCIC 156050-156054
100.  FCIC-133426-133437
101.  FCIC-154477-79
102.  FCIC-154542-154545
103.  FCIC-154556-563













































104.  FCIC-154564.
105.  FCIC-154731
106.  FCIC-154731 REDACTED
107.  FCIC-154739
108.  FCIC-154818-820
109.  FCIC-154847-850
110.  FCIC-155000
111.  FCIC-155011-013
112.  FCIC-155014-15
113.  FCIC-155014-15 REDACTED
114.  FCIC-155027
115.  FCIC-155141-143
116.  FCIC-155141-143 REDACTED
117.  FCIC-155144-147
118.  FCIC-155144-147 REDACTED
119.  FCIC-155637-647
120.  FCIC-156050-51
121.  FCIC-AIG0015389
122.  FCIC-AIG0015409
123.  FCIC-AIG0016236
124.  FCIC-AIG0021217-218
125.  FCIC-AIG-0021602-604
126.  FCIC-AIG0021669-21670
127.  FCIC-AIG0021721-723
128.  FCIC-AIG0021762-65
129.  FCIC-SSI0007460
130.  FRB to LEH Examiner 0000781-000782
131.  FRBNY to Exam. 000017-000032\_redacted
132.  FRBNY to Exam. 000033-000037\_redacted
133.  FRBNY to Exam. 000038-000042\_redacted
134.  FRBNY to Exam. 007968
135.  FRBNY to Exam. 024000- 31
136.  FRBNY to Exam. 024766-802
137.  FRBNY to Exam. 034332-33
138.  JPM MTD
139.  JPM-2004 00002032-0002033
140.  JPM-2004 00005039-0005057
141.  JPM-2004 00005218-0005231
142.  JPM-2004 00005594-0005608
143.  JPM-2004 00005856-0005860
144.  JPM-2004 00005879-0005884
145.  JPM-2004 00006139-0006151
146.  JPM-2004 00006152-0006154
147.  JPM-2004 00006170-0006174
148.  JPM-2004 00006300-0006303
149.  JPM-2004 00006314
150.  JPM-2004 00006317-0006319



















































151.  JPM-2004 00006331
152.  JPM-2004 00006361
153.  JPM-2004 00006362
154.  JPM-2004 00006377
155.  JPM-2004 00050095-0050096
156.  JPM-2004 0005411-0005412
157.  JPM-2004 0005867-0005872
158.  JPM-EXAMINER00006052-00006056 REDACTED
159.  LBEX-AM 0000870-000873
160.  LBEX-AM 001354-001357
161.  LBEX-AM 003597-3604
162.  LBEX-AM 008564-008565
163.  LBEX-AM 008608
164.  LBEX-AM008564-8565
165.  LBEX-DOCID 0070144
166.  LBEX-DOCID 0110245
167.  LBEX-DOCID 0280168
168.  LBEX-DOCID 0405652
169.  LBEX-DOCID 0445367
170.  LBEX-DOCID 0457582
171.  LBEX-DOCID 090568
172.  LBEX-DOCID 1076205
173.  LBEX-DOCID 1076467
174.  LBEXDOCID 1078879
175.  LBEXDOCID 1079016
176.  LBEX-DOCID 1091570
177.  LBEXDOCID 131058
178.  LBEX-DOCID 131059
179.  LBEX-DOCID 1527489
180.  LBEX-DOCID 224552
181.  LBEX-DOCID 280175
182.  LBEX-DOCID 4263617
183.  LBEX-DOCID 451527
184.  LBEX-DOCID 457949
185.  LBEX-DOCID 458725
186.  LBEX-DOCID 462068
187.  LBEX-DOCID 514265
188.  LBEX-DOCID1401999
189.  LBHI\_SEC07940\_016911-16977
190.  LBHI\_SEC07940\_053967
191.  LBHI\_SEC07940\_066820
192.  LBHI\_SEC07940\_069737-069738
193.  LBHI\_SEC07940\_074441
194.  LBHI\_SEC07940\_075250
195.  LBHI\_SEC07940\_075534-075535
196.  LBHI\_SEC07940\_439441-439442
197.  LBHI\_SEC07940\_557829

198.  LBHI\_SEC07940\_558653-558656
  199.  LBHI\_SEC07940\_612771-612797
  200.  LBHI\_SEC07940\_623492-623493
  201.  LBHI\_SEC07940\_651987
  202.  LBHI\_SEC07940\_653425-653426
  203.  LBHI\_SEC07940\_670045-670047
  204.  LBHI\_SEC07940\_741210-741211
  205.  LBHI\_SEC07940\_745595-745602
  206.  Lehman Suit against JPM
  207.  Letter to C. Seefer
  208.  Long List
  209.  Moodys-FCIC-0383733
  210.  Notes of 9\_14\_08 conference call with Dinallo FCIC-AIG0021169-72
  211.  nyfrb\_triparty\_whitepaper
  212.  OCC 2005 Report of Examination of Wachovia
  213.  OCC Quarterly Risk Assessment Profiles of Wachovia 2nd Quarter 2006
  214.  OCC Quarterly Risk Assessment Profiles of Wachovia 2nd Quarter 2007
  215.  OCC Quarterly Risk Assessment Profiles of Wachovia 2nd Quarter 2008
  216.  PWP000001727
  217.  TBTF Hearing Document Final List
  218.  UST-FCIC 0029177
  219.  UST-FCIC 0029177 REDACTED
  220.  UST-FCIC 0029475
  221.  UST-FCIC 0029475 REDACTED
  222.  UST-FCIC 0029499
  223.  UST-FCIC 0029499 REDACTED
  224.  UST-FCIC 0029512 - UST-FCIC 0029514
  225.  UST-FCIC 0029516-517
  226.  UST-FCIC 0029516-517 REDACTED
  227.  UST-FCIC 0029680
  228.  UST-FCIC 0029680 REDACTED
  229.  UST-FCIC 0029725-30
  230.  UST-FCIC 0029725-30 REDACTED
  231.  UST-FCIC 0029964
  232.  UST-FCIC 0029964 REDACTED
  233.  UST-FCIC 0030001
  234.  UST-FCIC 0030001 REDACTED
  235.  Wachovia 2009 Supervisory Plan FCIC-136525 – FCIC 136621
  236.  Wachovia Package
  237.  Wachovia power point feldberg
  238.  WF-WACH-003782-3796
  239.  WF-WACH-004017-20
  240.  WF-WACH-016550
  241.  Zubrow FCIC Written Statement
- b.  Hearing Background Materials
1.  I. Background Reading
    - a.  1. Too Big to Fail
      1.  a. 2003-05-30 ICBA - Fed Lite and the Industrial Loan Company







- 7.  g. 2010-08-19 MFR of Interview with Barry Zubrow
- c.  3. Document of Selected Key Quotes
  - 1.  a. Key Quotes - Dick Fuld
  - 2.  b. Key Quotes - Tom Baxter
  - 3.  c. Key Quotes - Harvey Miller
- d.  4. Significant Past Testimony
  - 1.  a. 2008-10-06 Dick Fuld Testimony Before the House Oversight and Government Reform Committee
  - 2.  b. 2009-10-22 Harvey Miller Testimony Before the House Judiciary Subcommittee
  - 3.  c. 2010-04-20 Dick Fuld Testimony Before the House Financial Services Committee
  - 4.  d. 2010-05-26 Tom Baxter Statement to COP
- 4.  IV. Day 2, Panel 1 - Chairman Sheila Bair
  - a.  1. Witness Bios
    - 1.  a. Witness Bios - Chairman Bair
  - b.  2. Transcripts or MFRs of Pre-Interviews
    - 1.  a. 2010-03-29 MFR of Interview with Chairman Bair
    - 2.  b. 2010-08-18 MFR of Interview with Chairman Bair
  - c.  3. Documents of Selected Key Quotes
    - 1.  a. Key Quotes - Chairman Bair
  - d.  4. Significant Past Testimony
    - 1.  a. 2009-05-06 Chairman Bair Testimony Before Senate Banking Committee
- 5.  V. Day 2, Panel 2 - Chairman Ben Bernanke
  - a.  1. Witness Bio
    - 1.  a. Witness Bio - Chairman Bernanke
  - b.  2. Transcripts or MFRs of Pre-Interviews
    - 1.  a. 2010-11-17 Summary of Closed Session with Chairman Bernanke
    - 2.  b. 2009-11-17 Transcript of Closed Session with Chairman Bernanke
  - c.  3. Documents of Selected Key Quotes
    - 1.  a. Key Quotes - Chairman Bernanke
  - d.  4. Significant Past Testimony
    - 1.  a. 2008-09-23 Chairman Bernanke Testimony Before the Senate Banking Committee
    - 2.  b. 2010-04-20 Chairman Bernanke Testimony Before the House Financial Services Committee
- 6.  H6 Background Binder Index
- c.  Hearing Briefing Binder
  - 1.  I. Agendas, Logistics, and Media Advisory
    - a.  a. Agenda and Timeline for Briefing Session and Hearing on TBTF and Retreat Meetings
  - 2.  II. DAY ONE, WEDNESDAY, SEPTEMBER 1, 2010
    - a.  SESSION 1 - Wachovia
      - 1.  1. Draft of PSR on TBTF 8 26 10
      - 2.  2. Summary of Preliminary Investigative Report on Wachovia
      - 3.  3. Session 1 - Witness Bios
      - 4.  4a. Robert Steel Testimony
      - 5.  4b. John Corston Testimony
      - 6.  4c. Scott Alvarez Testimony
      - 7.  5. Session 1 - Wachovia Suggested TBTF Hearing Questions


- b.  SESSION 2 - Lehman
    - 1.  1. Summary of Preliminary Investigative Report on Lehman
    - 2.  2. Session 2 - Witness Bios
    - 3.  3a. Dick Fuld Testimony
    - 4.  3b. Tom Baxter Testimony
    - 5.  3c. Harvey Miller Testimony
    - 6.  3c-i Harvey Miller Valukas Testimony
    - 7.  3c-ii JP Morgan Complaint
    - 8.  3c-iii Memorandum of Law In Support of Motion to Dismiss
    - 9.  3c-iv. Notice of Motion to Dismiss JPM
    - 10.  3c-v. Declaration of A Wolf
    - 11.  3d. Barry Zubrow Testimony
    - 12.  4. Session 2 - Lehman. Suggested TBTF Hearing Questions
    - 13.  OLD Harvey Miller Testimony
  - 3.  III. DAY TWO, THURSDAY, SEPTEMBER 2, 2010
    - a.  SESSION 1 - Bernanke
      - 1.  2. Session 1 - Witness Bio
      - 2.  3. Session 1 - Bernanke. Suggested TBTF Hearing Questions
      - 3.  Bernanke FCIC testimony embargoed until 9am 9-2-10 (2)
    - b.  SESSION 2 - Chairman Bair
      - 1.  1. Session 2 - Witness Bio
      - 2.  2b. Chairman Bair Testimony
      - 3.  3. Session 2 - Bair. Suggested TBTF Hearing Questions
  - 4.  IV. SUPPLEMENTAL INVESTIGATIVE DOCUMENTS
  - 5.  OUTLINE - H6 Briefing book for Commissioners
  - d.  Hearing Follow-Up
    - 1.  Follow-Up Letters
      - a.  2010-10-01 Follow-Up Letter to Alvarez
      - b.  2010-10-01 Follow-Up Letter to Baxter
      - c.  2010-10-01 Follow-Up Letter to Chairman Bair
      - d.  2010-10-01 Follow-Up Letter to Chairman Bernanke
      - e.  2010-10-01 Follow-Up Letter to Fuld
      - f.  2010-10-01 Follow-Up Letter to Miller
    - 2.  Follow-Up Responses
      - a.  2010-09-28 Harvey Miller Follow-Up Response
      - b.  2010-10-14 Fuld Follow-Up Response
      - c.  2010-10-15 Alvarez Follow-Up Response
      - d.  2010-10-15 Baxter Follow-Up Response
      - e.  2010-10-28 Sheila Bair Follow-Up response
      - f.  2010-11-14 Bernanke Follow-up response
    - 3.  H6 Commissioner Follow-up Questions Grid
    - 4.  H6 Commissioners Follow-Up Questions
  - e.  Hearing Transcripts
    - 1.  FCIC Derivatives\_hearing\_day\_one\_transcript\_FINAL
    - 2.  FCIC Derivatives\_hearing\_day\_two\_transcript\_FINAL
  - f.  MFRs Transcripts Hearing Notes
  - g.  Misc
- I.  TopIRs






















1.  2010-10-20 Accounting
2.  2010-10-20 Compensation
3.  2010-10-20 CRA
4.  2010-10-20 International
5.  2010-10-20 Macro & the Housing Bubble
6.  2010-10-20 MMFs
7.  2010-10-20 Off-Balance-Sheet Entities
8.  2010-10-20 Regional - Bakersfield
9.  2010-10-20 Regional - Las Vegas
10.  2010-10-20 Regional - Miami
11.  2010-10-20 Regional - Sacramento
12.  2010-10-20 Regulatory Change in Financial Services, 1980-2000
13.  2010-10-20 Regulatory Inaction, Preemption and Predatory Lending
14.  2010-10-20 Risk Management
15.  2010-10-20 Shadow Banking & Supervision
16.  2010-10-20 Tax
17.  2010-10-22 Securitization
18.  2010-11-05 GSE Put-Backs
19.  2010-11-05 PMI










IV.  Data projects

- A.  View chartbooks
- B.  View CMLTI
- C.  View Hedge Fund Survey
- D.  View Market Risk Survey






























V.  FINAL RPT VERSIONS

















































A.  Old Versions

1.  2010-12-15 - Full FCIC Report. Original
2.  2010-12-19 - 2nd.Full FCIC Report - Redlined - REVISED - to Commission
3.  2010-12-19 - Full FCIC Report - Redlined - REVISED - to Commission
4.  2010-12-20 \_1st\_ - Full FCIC Report -REDLINED to COM. REVISED
5.  2010-12-20 \_2nd\_ - Full FCIC Report -REDLINED to COM. REVISED
6.  2010-12-21 \_1st\_ - Full FCIC Report -REDLINED to COM. REVISED
7.  2010-12-22 \_1st\_ - Full FCIC Report -REDLINED to COM. REVISED
8.  2011-01-03. Full FCIC Report REDLINED against 12-23-10 version - Angelides -1
9.  2011-01-03. Full FCIC Report REDLINED against 12-23-10 version - Born -1
10.  2011-01-03. Full FCIC Report REDLINED against 12-23-10 version - Georgiou -1
11.  2011-01-03. Full FCIC Report REDLINED against 12-23-10 version - Graham- 1
12.  2011-01-03. Full FCIC Report REDLINED against 12-23-10 version - Hennessey -1
13.  2011-01-03. Full FCIC Report REDLINED against 12-23-10 version - Holtz-Eakin -1
14.  2011-01-03. Full FCIC Report REDLINED against 12-23-10 version - Murren -1
15.  2011-01-03. Full FCIC Report REDLINED against 12-23-10 version - Thomas - 1
16.  2011-01-03. Full FCIC Report REDLINED against 12-23-10 version - Thompson -1
17.  2011-01-03. Full FCIC Report REDLINED against 12-23-10 version - Wallison -1
18.  Wallison Dissent
- B.  2010-12-23 Full FCIC Report - FINAL for PRELIMINARY VOTE on 12-23-10 \_CLEAN\_
- C.  2010-12-23 Full FCIC Report - FINAL for PRELIMINARY VOTE on 12-23-10 \_REDLINE\_
- D.  2011-01-06 Full FCIC Report - FINAL for Reconfirmation VOTE \_REDLINE AGAINST 12-23 VERSION  
- B. Born

















































- E.  2011-01-06 Full FCIC Report - FINAL for Reconfirmation VOTE \_REDLINE AGAINST 12-23 VERSION - B. Geor
- F.  2011-01-06 Full FCIC Report - FINAL for Reconfirmation VOTE \_REDLINE AGAINST 12-23 VERSION - B. Grah
- G.  2011-01-06 Full FCIC Report - FINAL for Reconfirmation VOTE \_REDLINE AGAINST 12-23 VERSION - P. Ange
- H.  2011-01-06 Full FCIC Report - FINAL for Reconfirmation VOTE \_REDLINE AGAINST 12-23 VERSION\_ - Hennes
- I.  2011-01-06 Full FCIC Report - FINAL for Reconfirmation VOTE \_REDLINE AGAINST 12-23 VERSION\_ - Holtz-
- J.  2011-01-06 Full FCIC Report - FINAL for Reconfirmation VOTE \_REDLINE AGAINST 12-23 VERSION\_ - Murren
- K.  2011-01-06 Full FCIC Report - FINAL for Reconfirmation VOTE \_REDLINE AGAINST 12-23 VERSION\_ - Thomas
- L.  2011-01-06 Full FCIC Report - FINAL for Reconfirmation VOTE \_REDLINE AGAINST 12-23 VERSION\_ - Thomps
- M.  2011-01-06 Full FCIC Report - FINAL for Reconfirmation VOTE \_REDLINE AGAINST 12-23 VERSION\_ - Wallis

VI.  Administrative

















































- A.  Directories
  - 1.  FCIC Master Contact List
  - 2.  FCIC\_Roster\_for Commissioners
- B.  Minutes
  - 1.  Approved Minutes 09-08-19
  - 2.  Approved Minutes 09-09-16
  - 3.  Approved Minutes 09-10-20
  - 4.  Approved Minutes 09-11-17
  - 5.  Approved Minutes 09-12-14
  - 6.  Approved Minutes 10-1-20
  - 7.  Approved Minutes 10-2-17
  - 8.  Approved Minutes 10-3-16
  - 9.  Approved Minutes 10-4-20
  - 10.  Approved Minutes 10-5-18
  - 11.  Approved Minutes 10-6-15
  - 12.  Approved Minutes 10-7-13
- C.  Policies and Procedures
  - 1.  Considerations with Respect of Commission Documents- Sent to Commissioners
  - 2.  Document Production Tracker- As of 6-17-10
  - 3.  Ethics Guidelines As Approved at the January 20, 2010 meeting of the Commissioners
  - 4.  FCIC Records Management Policy
  - 5.  Financial Crisis Inquiry Commission Rules of Procedure as of 11-17-2010
  - 6.  Financial Crisis Inquiry Commission Rules of Procedure\_as of 11-4-2010
  - 7.  Financial Crisis Inquiry Commission Rules of Procedure\_as of 4-20-2010
  - 8.  Further Considerations with Respect of Commission Documents
  - 9.  NetDocuments Directions for Commissioners
  - 10.  Policy for the Solicitation and Acceptance of Gifts of Services or Property As Approved at the Janua
  - 11.  Proposal re Editing of Additional or Dissenting Views (12-13-2010)
  - 12.  Protocol for Media and Speaking Invitations As Approved at the December 14 2009 meeting of the Commi

















































- 13.  RESOLUTION\_ Process For Issuance, Execution and Enforcement of Subpoenas - 4-20-2010
- D.  Schedule
  - 1.  Future\_FCIC\_Meetings\_Hearings\_as of 2-23-2010
  - 2.  Future\_FCIC\_Meetings\_Hearings\_as of 6-14-2010
  - 3.  Future\_FCIC\_Meetings\_Hearings\_as of 8-10-2010
- E.  Revised Procedures for Additional or Dissenting Views
- VII.  Confidential Referrals
  - A.  Confidential Originals as Given to Commissioners
    - 1.  Addendum to Referral Memorandum Supplement
    - 2.  Confidential Referral Memorandum Supplement
    - 3.  FCIC\_ Referral Memo to Commissioners
    - 4.  FNMA Disclosure Memo
  - B.  Confidential Redlines
    - 1.  2010-11-09 Redline Exhibit A- Fannie Mae
    - 2.  2010-11-09 Redline Exhibit B- Citi
    - 3.  2010-11-09 Redline Exhibit C- Moodys and UBS
    - 4.  2010-11-09 Redline Exhibit D- AIG
    - 5.  2010-11-09 Redline Exhibit E- Goldman Abacus
    - 6.  2010-11-09 Redline Exhibit G- Merrill
    - 7.  2010-11-09 Redline Exhibit H- Fannie Mae
    - 8.  2010-11-10 Redline Exhibit F- Clayton
  - C.  Confidential To DOJ
    - 1.  EXHIBIT A - Fannie Mae
    - 2.  EXHIBIT B - Citigroup
    - 3.  Exhibit C - Moody's & UBS Final
    - 4.  Exhibit D- AIG Referral
    - 5.  EXHIBIT E - Goldman Abacus
    - 6.  EXHIBIT F- Clayton
    - 7.  Exhibit G – Merrill
    - 8.  Exhibit H- Fannie Mae
- VIII.  Field Hearings
  - A.  Bakersfield - September 7, 2010
    - 1.  I. Agenda, Logistics and Media Advisory
      - a.  1. Bakersfield Hearing\_Agenda\_Commissioners\_9 7 10
      - b.  2. Bakersfield Hearing\_Logistics
      - c.  3. Bakersfield Hearing\_Media Advisory
    - 2.  II. Background Materials
      - a.  1. Bakersfield Regional Chartbook
      - b.  2. 2009-10-16\_CNNMoney\_FDIC Announces 99th Bank Failure
      - c.  3. 2010-03-16\_Bak Cal\_Report Bakersfield Fifth Worst Residential Real Estate Market in Country
      - d.  4. 2010-04-15\_Bak Cal\_Panelists Commerical Real Estate Market, Soft but getting better
      - e.  5. Article\_Bak Cal\_Community Banking
    - 3.  III. Session 1\_Welcome
      - a.  1. Bios\_Session 1
    - 4.  IV. Session 2\_Local Banking
      - a.  1. Bios\_Session 2
      - b.  2. Cattani\_Testimony
      - c.  3. Renock\_Testimony
























































- d.  4. Wiley\_Testimony
- e.  5. Suggested Questions\_Session 2
- 5.  V. Session 3\_Residential and Community Real Estate
  - a.  1. Bios\_Session 3
  - b.  2. Bynum\_Testimony
  - c.  3. Peterson\_Testimony
  - d.  4. Suggested Questions\_Session 3
- 6.  VI. Session 4\_Local Housing Market
  - a.  1. Bios\_Session 4
  - b.  2. Crabtree\_Testimony
  - c.  4. Crabtree\_Testimony\_Crabtree Report\_July 2010
  - d.  5. Crabtree\_Testimony\_Market Conditions\_April 2010
  - e.  6. Crabtree\_Testimony\_Market Conditions\_August 2010
  - f.  7. Plank\_Testimony
  - g.  8. Suggested Questions\_Session 4
- 7.  VII. Session 5\_Foreclosures and Loan Modifications
  - a.  1. Bios\_Session 5
  - b.  2. Amble\_Testimony
  - c.  3. McCarty\_Testimony
  - d.  4. McDermott\_Testimony
  - e.  5. McDermott\_Testimony\_Chronology
  - f.  6. Suggested Questions\_Session 5
- 8.  VIII. Forum for Public Comment
- 9.  Bakersfield Field Hearing Index
- 10.  Bakersfield Field hearing Transcript FINAL
- B.  Las Vegas - September 8, 2010
  - 1.  I. Agenda, Media Advisory, Logistics
    - a.  1. Las Vegas Hearing Outline 090310
    - b.  2. FCIC hearing Las Vegas 9.8.10
    - c.  3. Las Vegas\_Logistics
  - 2.  II. Session 1 \_ Economic Impact - Nevada
    - a.  1. FCIC Las Vegas Regional Chartbook \_rx
    - b.  2. LV Hearing Session 1 Bios
    - c.  3a. Aguero \_ Questions and Background (2)
    - d.  3a. Aguero Testimony
    - e.  4. Supporting - Background Material\_Applied Analytics
  - 3.  III. Session 2 \_ Impact on Businesses - Nevada
    - a.  1. Revised LV Session 2 Bios
    - b.  2a. Hill Testimony
    - c.  2a-i. Hill – Employment Statistics
    - d.  2b. Hill \_ Questions and Background
    - e.  3a. Martin\_ Questions and Background
    - f.  3b. Martin - Testimony
    - g.  4a. Satre\_ Questions and Background
    - h.  4b. Satre Testimony
    - i.  4bi. Satre-Exhibit 1
    - j.  4bii. Satre- Exhibit 2
    - k.  4biii. Satre- Exhibit 3



- f.  2-iii.Pontell Testimony FLA 9-21-10
      - g.  3. Session 1 Questions for Ann Fulmer-Bill Black-Henry Pontell
    - 5.  IV. Session 2 - Uncovering Mortgage Fraud in Miami
      - a.  1. Bios\_Session 2
      - b.  2i - Dennis J. Black FCIC testimony
      - c.  2-ii. Testimony - Gallagher. Final
      - d.  2iii. Wilcox Testimony
      - e.  3. Session 2 Questions for Wilcox Gallagher Dennis Black and Rubin
    - 6.  V. Session 3 -The Regulation, Oversight, and Prose
      - a.  1. Bios\_Session 3
      - b.  2-i. Testimony. Cardwell, OFR - FCIC Financial Crisis Panel - Sept. 21 Miami
      - c.  2-ii. U S Attorney Ferrer FCIC Testimony for Sept 21 (20 Sept 10) FINAL CLEARED
      - d.  2-iii. FCIC Written Testimony of R Scott Palmer
      - e.  3. Session 3 Questions for USA Ferrer and Scott Palmer and Tom Cardwell
    - 7.  Miami Field Hearing Index
    - 8.  Miami Field Hearing Transcript FINAL
  - D.  Sacramento - September 23 2010
    - 1.  Additional Testimony Received from Karen Mann
      - a.  1995 Appraisal Journal Article regarding De Minimus
      - b.  Appraisal Management Companies which are Banks
      - c.  Appraisal Outreach Questions\_appraiser\_feedback Frank Dodd Act
      - d.  Barash Memo Summary of Final Title XIV Language HR 4173)
      - e.  http\_\_\_www.ipetitions
      - f.  Part 1 Frank Dodd Act letter 9 24 2010
      - g.  Petition to eliminaty Bank ownee appraisal maangement companies
    - 2.  I. Agenda, Logistics, and Media Advisory
      - a.  1. Sacramento Field Hearing Agenda 9-20-2010
      - b.  2. Sacramento\_Logistics
      - c.  3. Sacramento Media Advisory 9.20.10
    - 3.  II. Background Materials
      - a.  1. FCIC Sacramento Regional Chart Book
      - b.  2. DRAFT FCIC Mortgage Fraud Report (9-17-2010)
      - c.  3.FCIC Draft Topical Investigative Report on Securitization and Clayton
      - d.  3i. Clayton Appendix I- Originator Trending Report
      - e.  3ii. Clayton Appendix I- All Clayton Trending Report
    - 4.  III. Session 1- Overview of the Sacramento Housing
      - a.  1. Session 1 Bios\_Sacramento Hearing
      - b.  2-i. Fleming. CoreLogic Written Statement - FCIC - September 23 2010
      - c.  2-i-1. September 2010 FCIC Pres - AdditionalExhibits
      - d.  3. Suggested Questions\_Session 1
    - 5.  IV. Session 2 -Mortgage Origination, Mortgage Frau
      - a.  1. Session 2 Bios\_Sacramento Hearing
      - b.  2-i. Mann - Testimony
      - c.  2-ii. Putnam - testimony
      - d.  2-iii. Stein Testimony 9.20.10
      - e.  2iii-1.Stein Exhibit. ForeclosuretoRedlining.
      - f.  2iii-2.Stein Exhibit 2.SurveyVIReport.Final-1
      - g.  2-iv. USA Ben Wagner Testimony

- h.  2-iv-1. Exhibit to Wagner Testimony. EDCA Mortgage Fraud Schemes FCIC
      - i.  3. Suggested Questions\_Session 2
    - 6.  V. Session 3 - The Mortgage Securitization Chain
      - a.  1. Session 3 Bios\_Sacramento Hearing
      - b.  2-i. Eggert FCIC Testimony For September 23 Sacramento Hearing
      - c.  2i-1. Supplemental. Eggert article Great Collapse
      - d.  2ii. Testimony of Keith Johnson
      - e.  2ii-1. 2010-09-02 MFR Keith Johnson
      - f.  2-ii-2. 2010-06-08 K Dubas MFR of Interview with Keith Johnson (Clayton Holdings)
      - g.  2-ii-3. Supplemental Bullet Points\_timeline\_articles. re Long Beach, WAMU, Johnson
      - h.  2-iii. 2010-07-22 Vicky Beal MFR
      - i.  2-iii. Clayton-Vicki Beal FCIC Written Testimony
      - j.  3. Suggested Questions\_Session 3
    - 7.  VI. Session 4 -The Impact of the Financial Crisis
      - a.  1. Session 4 Bios\_Sacramento Hearing
      - b.  2i. Canada Testimony.092310
      - c.  2-ii. Tawatao FCIC Testimony - 092110 - Final
      - d.  2-iii. Wagstaff Testimony
      - e.  2iv.Clarence Williams FCIC Testimony 9-23-10
      - f.  2v. Wirz Testimony FinCrisisInquiryCommission V2 -clc
      - g.  4. Suggested Questions\_Session 4
    - 8.  Witness Invite Letters
      - a.  Invite Letter - Beal
      - b.  Invite Letter - Canada
      - c.  Invite Letter - Eggert
      - d.  Invite Letter - Johnson
      - e.  Invite Letter - Mann
      - f.  Invite Letter - Putnam
      - g.  Invite Letter - Reisig
      - h.  Invite Letter - Stein
      - i.  Tawatao - Invite Letter
      - j.  Wagstaff - Invite Letter
    - 9.  Sacramento Field Hearing Index
    - 10.  Sacramento Field Hearing Transcript FINAL
    - 11.  Sacramento Public Testimony Submissions - Sumbitted Online and In Person
  - IX.  Comm. Docs
    - A.  Big Ideas
      - 1.  Proposed Framework for Discussion for Commissioners
    - B.  Hypotheses
      - 1.  Hypotheses\_on\_the\_Causes\_of\_the\_Financial\_Crisis
  - X.  CONFIDENTIAL\_Interview List
  - XI.  Forum Feb 25-26, 2010
    - A.  Brunnermeir
      - 1.  g1Brunnermeier\_Bio
      - 2.  g2Brunnermeier\_paper
      - 3.  g3Brunnermeier\_slides
      - 4.  g4Brunnermeier\_followup\_questions
      - 5.  g5Brunnermeier\_followup\_reponse

- B.  Geanakoplos
  - 1.  c1Geanakoplos\_Bio
  - 2.  c2Geanakoplos\_paper
  - 3.  c3Geanakoplos\_slides
  - 4.  c4Geanakoplos\_followup\_questions
- C.  Gorton
  - 1.  i1Gorton\_Bio
  - 2.  i2Gorton\_paper
  - 3.  i3Gorton\_followup\_questions
- D.  Gourinchas
  - 1.  b1Gourinchas\_Bio
  - 2.  b2Gourinchas\_paper
  - 3.  b3Gourinchas\_followup\_questions
  - 4.  b4Gourinchas\_followup\_responses
  - 5.  b5Gourinchas\_glob\_imbalances
  - 6.  b6Gourinchas\_ITA\_Table
  - 7.  b7Gourinchas\_slides
  - 8.  b8Gourinchas\_Treasury\_survey\_2010
- E.  Jaffee
  - 1.  f1Jaffee\_bio
  - 2.  f2Jaffee\_paper
  - 3.  f3Jaffee\_slides
  - 4.  f4Jaffee\_followup\_questions
  - 5.  f5Jaffee\_followup\_responses
  - 6.  f6Jaffee\_Quinn Shreds and Patches 0310
- F.  Kashyap
  - 1.  h1Kashyap\_Bio
  - 2.  h2Kashyap\_paper
  - 3.  h3Kashyap\_supplemental\_paper
  - 4.  h4Kashyap\_slides
  - 5.  h5Kashyap\_followup\_questions
  - 6.  h6Kashyap\_followup\_responses
- G.  Kroszner
  - 1.  a1Kroszner\_Bio
  - 2.  a2Kroszner\_paper
  - 3.  a3Kroszner\_updated\_table1 (042010)
  - 4.  a4Kroszner\_slides
  - 5.  a5Kroszner\_followup\_questions
  - 6.  a6Kroszner\_followup\_responses
- H.  Lusardi
  - 1.  d1Lusardi\_bio
  - 2.  d2Lusardi\_paper
  - 3.  d3Lusardi\_slides
  - 4.  d4Lusardi\_followup\_questions
  - 5.  d5Lusardi\_followup\_response
- I.  Mayer
  - 1.  e1Mayer\_Bio
  - 2.  e2Mayer\_paper

- 3.  e3Mayer\_slides
- 4.  e4Mayer\_followup\_questions
- J.  Financial Crisis Inquiry Commission\_Forum\_Feb 26 and 27\_public\_agenda
- K.  Media Advisory Final 2 22 10
- XII.  Cabinet Inbox

## FCIC Staff Documents

NAID: 24823584

Extent: 59,355 data files

This series contains incoming and outgoing correspondence; some smaller-volume document productions from agencies and businesses; administrative files regarding the external document management system, Epiq; witness lists; records supporting hearings; chronologies of the financial crisis; risk model data; press releases, advisories, and other records relating to the FCIC interaction with the media; and other documents created by staff.

File list

# NetDocuments Document Report

FCIC Staff Documents - 3/1/2011 9:35:58 AM

## I. Restored Items

- A. 000-00-00 Goldman Response to Hearing Follow Up GS MBS 0000039111
- B. 0000-00-00 FRBNY Maiden Lane Transaction Overview
- C. 0000-00-00 Goldman Sach Info re Trades not in Maiden Lane GS MBS 0000038856
- D. 0000-00-00 Goldman Sachs Breakdown of Revenues and Losses for Marco Proprietary Trading GS MBS 00000
- E. 0000-00-00 Goldman Sachs Counterparties from 2004-2007 GS MBS 0000086981
- F. 0000-00-00 Goldman Sachs Credit Index Names GS MBS 0000038855
- G. 0000-00-00 Goldman Sachs Gross Notional Credit Default Swap Positions 2004-02009 GS MBS 0000086982
- H. 0000-00-00 Goldman Sachs Info on Collateral and Payments to Maiden Lane Counterparties GS MBS 000003
- I. 0000-00-00 Goldman Sachs Info re AIG Collateral Calls GS MBS 0000039095
- J. 0000-00-00 Goldman Sachs Info re Proprietary Trading Units GS MBS 0000039110
- K. 0000-00-00 Goldman Sachs Info re Revenue Associated with Derivatives GS MBS 0000040066-40067
- L. 0000-00-00 Goldman Sachs Information re collateral calls to AIG GS MBS 0000087093
- M. 0000-00-00 Goldman Sachs Marks Provided to BSAM March 31-June 20 2007 GS MBS 0000038055-58, 38984-89
- N. 0000-00-00 Goldman Sachs Notional Amounts of Derivatives Trades GS MBS 0000086980
- O. 0000-00-00 Goldman Sachs Prices Charged to Counterparties and AIG prices for Protection on Reference
- P. 0000-00-00 Goldman Sachs re Collateral Calls to AIG GS MBS 0000039096
- Q. 0000-00-00 Goldmans Sachs Derivatives Trades 2004-2009 GS MBS 0000039106
- R. 0000-00-00 Moodys Global List of AAA Rated Corporations and Financial Institutions 1990-2010 MOODYS-FCIC-0394815-0394855
- S. 10029317\_1
- T. 10-1013 FCIC transmittal letter June 7 risk pol June 23 2 3 8 wr (2)
- U. 10-20-09 Agenda for Closed Meeting
- V. 10-21-2010\_MFR\_Viral\_Acharya
- W. 11.22.2010 G Cohen Letter to Gene Park Re Quotes
- X. 12-11-09 DOJ Holder Invitation letter
- Y. 12-11-09 FDIC Invitation letter
- Z. 12-11-09 SEC Invitation Letter
- AA. 1995-08-00 HUD Urban Policy Bried
- AB. 1997-09-19 Moodys Structured Finance Special Report on Alternative Financial Ratios for the Effects of Securitization
- AC. 1999 Report of Examination-FRE
- AD. 1999-08-29 HUD News Release
- AE. 2000 Report of Examination-FRE
- AF. 2001 Report of Examination-FRE
- AG. 2002 Report of Examination-FRE
- AH. 2002-00-00 Wilmarth The Transformation of the US Financial Services Industry, 1975-2000 - Competition
- AI. 2002-12-17 Minutes of CMAC meeting approving Liquidity Put
- AJ. 2003-01-10 Fannie Mae's Role in Affordable Housing Finance Presentation to HUD
- AK. 2004 Report of Examination-FRE
- AL. 2004-10-07 Swecker Testimony to House Financial Services
- AM. 2005 Report of Examination-FRE
- AN. 2005-03-10 Bernanke Savings Glut
- AO. 2005-08-03 Mozilo email to Dan Tarman cc Andy Bielanski BAC-FCIC-0000767819-22
- AP. 2006 Report of Examination-FRE
- AQ. 2006-01-12 Moodys William May Email to Gus Harris re BES and PEs
- AR. 2006-03-31 OCC Countrywide ROE
- AS. 2006-10-12 Moody's Investor Service Invoice to Susan Mills MOODYS-FCIC-0394813-0394814
- AT. 2006-10-12 Moody's Invoice (MOODYS-FCIC-0394813-0394814) (copy)
- AU. 2006-12-05 SEC Risk Management Review of Consolidated Supervised Entities
- AV. 2007 Report of Examination-FRE
- AW. 2007-00-00 OFHEO Performance and Accountability Report
- AX. 2007-01-04 SEC Risk Management Reviews of CSEs SEC\_TM\_FCIC\_002442-46



AY.  2007-03-00 Alan Greenspan and Jamie Kennedy- Sources and Uses of Equity Extracted from Homes

AZ.  2007-05-11 Goldman Sachs internal email re CDOs-Mortgages

BA.  2007-07-05 SEC Risk Management Review of Consolidated Supervised Entities

BB.  2007-07-22 Merrill Lynch Finance Committee Meeting Summary for BOD BAC-FCIC-0000078547-79

BC.  2007-08-08 Memo to AIGFP 2007 2Q Review Files PWC-FCIC 00212-213

BD.  2007-08-09 AIG Residential Mortgage Presentation

BE.  2007-09-11 Moodys Managing Director's Town Hall Meeting transcript

BF.  2007-09-11 Moodys Managing Director's Town Hall Meeting transcript

BG.  2007-09-21 A Kimball email to E Kolchinsky re Servicer Survey and New Grid for CDOs of ABS (copy)

BH.  2007-10-05 Moodys Email from Yuri Yoshizawa to Managing Directors re 3Q Mkt Coverage CDOs

BI.  2007-10-08 AIG Joseph Cassano email to Andrew Forster et al re SS CDS Valuation

BJ.  2007-10-31 Mozilo email to Garcia cc Sambol BAC-FCIC-E-0000668218

BK.  2007-12-05 AIG Conference Call Transcript

BL.  2007-12-14 Forster email re Collateral Dispute

BM.  2008 Report of Examination-FRE

BN.  2008-00-00 FHFA - Report to Congress

BO.  2008-03-07 OTS letter to AIG General Counsel and Board re Supervisory Concerns

BP.  2008-03-17 Lockhart eml to Mudd and Steel

BQ.  2008-03-20 Lehman email Russo to Fuld re short sellers

BR.  2008-04-24 HUD Letter to Fannie Mae

BS.  2008-06-06 Lehman Borthers Robert Azerad- 2008 Q2 Liquidity Position

BT.  2008-06-12 Citi Fontana Email to Foskett

BU.  2008-06-13 Lehman email Russo to Fuld attaching article

BV.  2008-07-07 OTS Report of Examination of Lehman Brothers

BW.  2008-08-04 The Panic of 2007 - Gorton

BX.  2008-09-04 JPMorgan Lehman Briefing Memo

BY.  2008-09-09 JPMorgan John Hogan Email to Steven Black

BZ.  2008-09-11 Jane Buyers-Russo email to Paolo Tonucci attaching JPMorgan Notice to Lehman Bro

CA.  2008-09-11 JPMorgan Jane Buyers-Russo email to Bryn Thomas Re Lehman Brothers TriParty Collateral

CB.  2008-09-11\_email\_Buyers-Russo\_to\_Tonucci JPM-2004 0005411-12) (copy)

CC.  2008-09-12 Alejandro LaTorre Email to Geithner et al re Update on AIG

CD.  2008-09-12-13 FRBNY Emails on AIG

CE.  2008-09-15 email JPMorgan Weisbrod to Dimon re Tri-Party Close

CF.  2008-09-16 AIG Commercial Paper Outstanding & Maturities- week of Sept 15th produced by JPMorgan

CG.  2008-11-10 Federal Reserve Treasury Press Release on AIG

CH.  2008-11-23 FDIC staff memo to the Board of Directors re Third Quarter 2008 CFO Report to the Board

CI.  2008-11-23 Transcript of FDIC Board of Directors meeting, closed session

CJ.  2008-12-22 Bank of American Minutes of a Special Meeting of the Board of Directors

CK.  2008-12-30 Bank of America Minutes of a Special Meeting if the Board of Directors

CL.  2009-03-18 GAO Preliminary Observations on Assistance Provided to AIG

CM.  2009-05-04 Demyanyk Understanding the Subprime Mortgage Crisis

CN.  2009-07-16 Paulson Testimony Before the House Oversight Committee (Bank of America, Merrill)

CO.  2009-10-21 FRB Senior Supervisors Group- Risk Management Lessons from the Global Banking Crisis of 2008

CP.  2010-01-20 GS (G Palm) Ltr to TGreene re Blankfein Testimony

CQ.  2010-01-27 T Greene Ltr to D Crawford

CR.  2010-01-27 T Greene Ltr to E Holder

CS.  2010-01-27 T Greene Ltr to G Theobald

CT.  2010-01-27 T Greene Ltr to J Gordon

CU.  2010-01-27 T Greene Ltr to J Suthers

CV.  2010-01-27 T Greene Ltr to K Bass

CW.  2010-01-27 T Greene Ltr to K Rosen

CX.  2010-01-27 T Greene Ltr to L Madigan




CY.  2010-01-27 T Greene Ltr to M Mayo

CZ.  2010-01-27 T Greene Ltr to M Schapiro

DA.  2010-01-27 T Greene Ltr to P Solomon

DB.  2010-01-27 T Greene Ltr to R Cloutier  
DC.  2010-01-27 T Greene Ltr to S Bair  
DD.  2010-01-28 T Greene Ltr to L Blankfein  
DE.  2010-01-29 M Mayo Response to Follow Up Qs  
DF.  2010-02-02 FCIC Letter to B Moynihan  
DG.  2010-02-02 T Greene Ltr to J Dimon  
DH.  2010-02-02 T Greene Ltr to J Mack  
DI.  2010-02-03 P Solomon Ltr to Chair re Hearing 1 Follow-Up  
DJ.  2010-02-26 D Crawford Ltr to T Greene re Hearing One Follow-Up  
DK.  2010-03-01 Goldman (G Palm) Ltr Overview of residential mortgage-related products (copy)  
DL.  2010-03-01 J Dimon Ltr re Hearing One Follow-Up  
DM.  2010-03-01 J Gordon Response to Hearing One Follow-Up  
DN.  2010-03-01 K Bass Ltr to T Greene re Response to FCIC Request For Additional Info  
DO.  2010-03-01 K Rosen Ltr re Response to Hearing 1 Follow-Up  
DP.  2010-03-05 M Schapiro Response re Hearing One Follow-Up (copy)  
DQ.  2010-03-08 Goldman (G Palm) Ltr to T Greene re Doc Production  
DR.  2010-03-08 L Blankfein Response to Hearing One Follow-Up  
DS.  2010-03-11 FDIC (P Nash) Ltr to T Greene re Response to Questions  
DT.  2010-03-15 JPM Ltr to T Greene re Response to Questions  
DU.  2010-03-18 B Karp (Citi) Ltr to B Bondi re CRA responses (copy)  
DV.  2010-03-22 G Theobald Response to Hearing One Follow-Up  
DW.  2010-03-22 Morgan Stanley (R Yanes) Ltr to T Greene re Response to FCIC Follow-up Question  
DX.  2010-03-23 FCIC Interview of Scott Alvarez and Kiernan Fallon  
DY.  2010-03-24 B Bondi Interview with John Reed  
DZ.  2010-03-25 FRB Consumer Advisory Council Meeting Minutes  
EA.  2010-03-30 AUDIO FCIC Interview of John Dugan  
EB.  2010-03-30 BofA Ltr to re Follow-Up  
EC.  2010-03-30 D Noonan Interview of Patrick Parkinson  
ED.  2010-03-31 Interview with Norah Barger  
EE.  2010-04-07 D Crawford Ltr to T Greene re Hearing One Follow-Up  
EF.  2010-04-13 PSI Wamu Home Loans Product Strategy  
EG.  2010-04-16 E Holder Ltr to G Cohen re Hearing One Follow-Up  
EH.  2010-04-16 Fannie Mae Presentation on GSE Credit Losses  
EI.  2010-04-21 B Moynihan Ltr re Response to Follow-up  
EJ.  2010-04-27 Lisa Madigan Hearing One Follow-Up  
EK.  2010-04-27 Morgan Stanley (R Yanes) Ltr to Seefer re Doc Production  
EL.  2010-04-30 JP Morgan Chase Ltr to T Greene re Hearing 1 Followup  
EM.  2010-05-08 BofA Ltr to Follow Up  
EN.  2010-05-10 R Cloutier Ltr re Hearing One Follow Up  
EO.  2010-05-11 Gary Gorton 1 of 4  
EP.  2010-05-13 B Bondi interview of G Harris part 2  
EQ.  2010-05-13 B Bondi interview of G Harris part 3  
ER.  2010-05-13 BofA Ltr re Follow-Up  
ES.  2010-05-17 B Bondi Interview of Y Yoshizawa part 2  
ET.  2010-05-19 BofA Ltr re Follow-Up  
EU.  2010-05-28 Morgan Stanley (R Yanes) Ltr to Seefer Hearing One Follow-Up  
EV.  2010-05-31 FRB Richard Spillenkothen Paper- Observations on the Performance of Prudential Supervision  
EW.  2010-06-03 Ltr to D Mudd Re Follow-Up Questions  
EX.  2010-06-03 Ltr to R Levin Re Follow-Up Questions  
EY.  2010-06-04 FCIC Ltr to J Lockhart  
EZ.  2010-06-09 Ltr to Alan Greenspan Re Follow-Up Questions  
FA.  2010-06-09 Ltr to Citi Re Follow-Up Questions  
FB.  2010-06-09 Ltr to J Dugan OCC Re Follow-Up  
FC.  2010-06-09 Ltr to J Hawke OCC Re Follow-Up  
FD.  2010-06-10 Wells Fargo (R Kelner) Ltr to B Bondi re Doc Production

FE.  2010-06-14 Letter to A Kashyap re Forum follow up  
 FF.  2010-06-14 Letter to A Lusardi re Forum follow up  
 FG.  2010-06-14 Ltr to D Jaffee re Forum follow up  
 FH.  2010-06-14 W Edelberg to C Mayer re Forum follow up  
 FI.  2010-06-14 W Edelberg to M Brunnermeier re Forum follow up  
 FJ.  2010-06-14 W Edelberg to P O Gourinchas re Forum follow up  
 FK.  2010-06-14 W Edelberg to R Kroszner re Forum follow up  
 FL.  2010-06-17 D Mudd Response to Hearing Follow-up Questions  
 FM.  2010-06-17 J Hawke Response to Follow Up  
 FN.  2010-06-21 Jake Sun Interview [AIG]  
 FO.  2010-06-23 Alan Greenspan Response to 4-7-10 Hearing Follow-up Questions  
 FP.  2010-06-23 Nestor Dominguez, Murray Barnes, and Chuck Prince Response to Hearing Follow-up Questions  
 FQ.  2010-06-28 D Noonan interview with Richard Scott  
 FR.  2010-06-29 A Kashyap Response to Follow-Up Questions  
 FS.  2010-07-02 A Lusardi Response to Forum Follow-Up  
 FT.  2010-07-08 Dugan Testimony Follow-Up  
 FU.  2010-07-14 G Cohen ltr to SP (B Markley) re Treatment of Non-Public Database  
 FV.  2010-07-14 Goldman Sachs Response to Hearing Follow Up  
 FW.  2010-07-15 Countrywide (R Brown) ltr to FCIC re Doc Request  
 FX.  2010-07-15 Goldman Sachs Response to Hearing Follow Up  
 FY.  2010-07-16 Eric Kolchinsky Follow Up Letter  
 FZ.  2010-07-16 FDIC (J Angel) ltr to C Seefer re Doc Production  
 GA.  2010-07-16 Gary Witt Follow Up Letter  
 GB.  2010-07-16 Goldman Sachs Response to Hearing Follow Up  
 GC.  2010-07-16 Mark Froeba Follow Up Letter  
 GD.  2010-07-16 Ray McDaniel Follow Up Letter  
 GE.  2010-07-16 Ray McDaniel Follow Up Letter  
 GF.  2010-07-19 S Mills & D Bushnell Hearing Follow-Up  
 GG.  2010-07-19 Witt response to hearing follow up  
 GH.  2010-07-20 (pm) - Section IV chair vice chair CHAIR - Draft #1  
 GI.  2010-07-20 (pm) - Section IV chair vice chair CHAIR - Draft #1  
 GJ.  2010-07-20 Jaffee Response to Forum Follow-Up  
 GK.  2010-07-24 - Section I draft  
 GL.  2010-07-24 Kolchinsky response to hearing follow up  
 GM.  2010-07-27 Kroszner Resposne to Forum Follow Up (copy)  
 GN.  2010-07-28 Brunnermeier Response to Forum Follow Up  
 GO.  2010-07-30 J Lockhart ltr re Hearing Follow up Responses  
 GP.  2010-07-30 Moody's follow up letter  
 GQ.  2010-07-30 Moodys Response to Hearing Follow Up REDACTED PER MOODYS  
 GR.  2010-08-02 Audio Interview of P McKenna  
 GS.  2010-08-02 MA Attorney General Coakley Comment Letter  
 GT.  2010-08-05 C Seefer Interview with FRBNY (Art Angula, Bill Rutledge, Dianne Dobbeck)  
 GU.  2010-08-05 Goldman Sachs Response to Hearing Follow Up GS MBS 0000039114-37  
 GV.  2010-08-09 Letter to Chairman Christopher Cox  
 GW.  2010-08-09 Letter to Henry M. Paulson  
 GX.  2010-08-09 Letter to Paul Friedman  
 GY.  2010-08-09 Letter to Samuel Molinaro  
 GZ.  2010-08-09 Letter to Warren Spector  
 HA.  2010-08-10 C Seefer Interview with Deborah Bailey (FRB) (copy)  
 HB.  2010-08-10 Follow-up Letter to T Geithner (DOT)  
 HC.  2010-08-10 Moody's follow up Letter  
 HD.  2010-08-10 Moodys Response to Hearing Follow Up REDACTED PER MOODYS  
 HE.  2010-08-11 - Stroebel Interview of Jonathan Wood - Whitebox Advisors  
 HF.  2010-08-11 C Seefer interview with Tom Baxter  
 HG.  2010-08-11 FNMA (J Kilduff) ltr to B Bondi re Doc Production

HH.  2010-08-12 America Home Mortgage (M Greecher) ltr to T Krebs re Doc Production  
 HI.  2010-08-18 P Gourinchas ltr to FCIC re Forum Follow Up  
 HJ.  2010-08-19 Draft PIR on Lehman  
 HK.  2010-08-19 Interview with Barry Zubrow  
 HL.  2010-08-20 JPMorgan ltr to G Cohen re Doc Production  
 HM.  2010-08-23 Follow-up Letter to Gary Gensler  
 HN.  2010-08-23 Follow-up Letter to M Greenberger  
 HO.  2010-08-25 Moody's Follow Up letter  
 HP.  2010-08-25 Moodys Response to Hearing Follow Up REDACTED PER MOODYS  
 HQ.  2010-08-26 Moodys Follow Up Letter  
 HR.  2010-08-26 Moodys Response to Hearing Follow Up REDACTED PER MOODYS  
 HS.  2010-08-27 Geithner response to FCIC  
 HT.  2010-08-30 Follow-Up Letter to Mr. Cassano  
 HU.  2010-08-31 Follow-Up Letter to Mr. Lee  
 HV.  2010-08-31 Follow-Up Letter to Mr. Viniar  
 HW.  2010-09-03 Moody's Follow up Letter  
 HX.  2010-09-03 Moodys Response to Hearing Follow Up REDACTED PER MOODYS  
 HY.  2010-09-07 Interview with Mark Kemp (Countrywide)  
 HZ.  2010-09-09 CK Lee Response to Follow-Up  
 IA.  2010-09-09 JPMorgan (J Carey) ltr to G Cohen re Hearing One Follow-Up  
 IB.  2010-09-10 Broderick Response to Follow-Up (copy)  
 IC.  2010-09-10 Follow-Up Response from Mr. Friedman  
 ID.  2010-09-10 Follow-Up Response from Mr. Molinaro  
 IE.  2010-09-10 Moody's follow up Letter  
 IF.  2010-09-10 Moodys Response to Hearing Follow Up REDACTED PER MOODYS  
 IG.  2010-09-10 Spector Response to Follow-Up  
 IH.  2010-09-10 Viniar Response to Follow-Up  
 II.  2010-09-12 Follow-Up Response from Chairman Cox  
 IJ.  2010-09-13 Follow-Up Letter 2 to Joseph Cassano  
 IK.  2010-09-13 Interview of Steven Einhorn II  
 IL.  2010-09-14 Gensler Response to Hearing Follow-Up  
 IM.  2010-09-14 Paulson Response to Hearing Follow-Up  
 IN.  2010-09-15 Interview of William Buell  
 IO.  2010-09-15 Interview of William Buell  
 IP.  2010-09-21 George French and Steve Fritts, FDIC  
 IQ.  2010-09-21 Tom Boemio, Federal Reserve  
 IR.  2010-09-22 - UNREVIEWED transcript of Herb Sandler interview  
 IS.  2010-09-23 Mortgage Guaranty Insurance MFR  
 IT.  2010-09-28 Harvey Miller Follow-Up Response  
 IU.  2010-09-29 M Greenberger ltr to W Edelberg re Doc Production  
 IV.  2010-09-29. Meeting Notes  
 IW.  2010-09-30 FRBNY Maiden Lane LLC Holdings  
 IX.  2010-10- (1400) - Section III, Ch. 2 - ed by AF then by RC  
 IY.  2010-10-01 Follow-Up Letter to Alvarez  
 IZ.  2010-10-01 Follow-Up Letter to Baxter  
 JA.  2010-10-01 Follow-Up Letter to Chairman Bair  
 JB.  2010-10-01 Follow-Up Letter to Chairman Bernanke  
 JC.  2010-10-01 Follow-Up Letter to Fuld  
 JD.  2010-10-01 Follow-Up Letter to Miller  
 JE.  2010-10-01 Interview with Arthur Levitt  
 JF.  2010-10-07 - Section III Ch 5 - MFlagg comments (2)  
 JG.  2010-10-08 Cassano Follow-Up (copy)  
 JH.  2010-10-14 Fuld Follow-Up Response  
 JI.  2010-10-15 Alvarez Follow-Up Response  
 JJ.  2010-10-15 Interview with Bill Dudley, New York Fed president

JK.  2010-10-15 Prentiss Cox, former MN atty gen. and lead attorney in Ameriquest case

JL.  2010-10-19 (1700) - Section III, Ch. 2 - ed by AF then RC v.3

JM.  2010-10-19 BAC Home Loans Servicing v Davis

JN.  2010-10-19 Florida State Board of Administration, Michael Lombardi; Kevin Sigrist, deputy ex. dir. & others -- per portfolio mgmt.

JO.  2010-10-20 (2000) - Section III, Ch. 2 - ed by AF then RC v.3

JP.  2010-10-21 (1930) - Section III, Ch. 2 - ed by AF then RC v.3

JQ.  2010-10-22 (1930) - Section III, Ch. 2 - ed by AF then RC v.3

JR.  2010-10-22 FCIC staff audiotape of interview with Mark Adelson, Standard & Poor's

JS.  2010-10-22 Jeff Lebowitz interview notes RCheng

JT.  2010-10-28 Kevin M. Warsh, Federal Reserve Governor

JU.  2010-10-28 Sheila Bair Follow-Up response

JV.  2010-11-01 (1000) - Section III, Ch. 2 - ed by AF; RC factchecks

JW.  2010-11-01 (1600) - Section III, Ch. 2 - ed by AF; RC factchecks

JX.  2010-11-01. Meeting Notes

JY.  2010-11-03 G Cohen Letter to O'Neill re Use of Interview Material

JZ.  2010-11-03 OTS ltr re Hearing Follow Up

KA.  2010-11-03 Paul notes from review of FOMC transcripts

KB.  2010-11-03. Meeting Notes

KC.  2010-11-04 (1800) - Section I, Ch. 1 - sent to C-VC

KD.  2010-11-04 (1930) - Section III, Ch. 7 (Lehman)-to commissioners-AF ed, RC checking

KE.  2010-11-04 B Bernanke Response to Follow Up

KF.  2010-11-05 G Cohen Letter to Sparks re Use of Interview Material

KG.  2010-11-09 Interview of David Smith

KH.  2010-11-10 G Cohen Letter to K Warsh re Use of Interview Materials

KI.  2010-11-16 Goldman Sachs Email to FCIC re AIG Hedges

KJ.  2010-11-16 Levitin Written Testimony before Committee on Banking

KK.  2010-11-17 (1600) - Section II, Ch 2 - as renumbered- to C-VC

KL.  2010-11-17 G Cohen letter to Gates re Use of Interview Material

KM.  2010-11-18 (1000) - Section I, Ch. 2

KN.  2010-11-18 (1900) - Section III, Ch. 7 (Lehman)-to commissioners-AF ed, RC checking

KO.  2010-11-18 MBA NDS - Delinquencies and Loans in Foreclosure Decrease, but Foreclosure Starts Rise

KP.  2010-11-19 (1200) - Section II, Ch. 4 as renumbered - MB has edited all pieces

KQ.  2010-11-19 (1200) - Section II, Ch. 4 as renumbered - MB has edited all pieces(2)

KR.  2010-11-20 (1900) - Section II, Ch. 5 - MB first edit

KS.  2010-11-28 (1300) - Section II, Ch.3 - to C-VC

KT.  2010-11-29 (1300) - Section I, Ch. 4 - AF ed.

KU.  2010-11-30. Meeting Notes

KV.  2010-12-00 FINCEN Fraud Update (to 2010-06-30)

KW.  2010-12-01 (1900) - Section II, Ch. 1 - AF ed.

KX.  2010-12-06 (2100) - Section I, Ch. 4 - AF ed., RC checking

KY.  2010-12-06 G Cohen Letter to R Rubin re Use of Quotes

KZ.  2010-12-07 G Cohen Letter to Hendricks re Use of Quotes

LA.  2010-12-12 (2000) - Section I, Ch. 4 - AF ed., RC checking, MH update

LB.  2010-12-17 G Cohen Letter to Mukasey re Use of Quotes

LC.  2011-01-13. Rollout Meeting

LD.  2011-01-20 FDIC Failed Bank list

LE.  2011-11-10 G Cohen Letter to H Allison re Use of Interview Material

LF.  2-2-2010 FNM working binder template

LG.  9\_05\_08 Email FCIC-123348-1223350
























































LH.  9-16 Agenda for Closed Meeting of Financial Crisis Inquiry Commission[1]

LI.  A Guide to Aggregate House Price Measures
























































LJ.  A Guide to Aggregate House Price Measures

LK.  A Guide to Aggregate House Price Measures
























































LL.  A Guide to Aggregate House Price Measures






















































LM.  A Matter of Main Streets  
 LN.  A Matter of Main Streets  
 LO.  Abacus 2004-2 Ltd (2004) - Term Sheet  
 LP.  Abacus 2004-3, Ltd (2004) - Offering Circular  
 LQ.  Abacus 2005-1, Ltd (2005) - Offering Circular  
 LR.  Abacus 2005-1, Ltd (2005) - Term Sheet  
 LS.  Abacus 2005-2 Ltd (2005) - Term Sheet  
 LT.  Abacus 2005-2, Ltd (2005) - Offering Circular  
 LU.  Abacus 2005-3, Ltd (2005) - Offering Circular  
 LV.  Abacus 2005-5, Ltd (2005) - Offering Circular  
 LW.  Abacus 2007-AC1, Ltd (2007) - Pitchbook  
 LX.  Abacus 2007-AC1, Ltd (2007) - Term Sheet  
 LY.  ABX charts for Kim  
 LZ.  Adirondack 2005-1 Ltd (2005) - Offering Circular  
 MA.  Adirondack 2005-1 Ltd (2005) - Pitchbook  
 MB.  AEW Changes to 8 21 10 Draft of PSR on TBTF(2).UNMARKED(1)  
 MC.  AEW First Draft of PSR on TBTF  
 MD.  AEW Revisions to May 2010 Minutes  
 ME.  Agenda Item 4 - Amendment to Commission Rules  
 MF.  Agenda Item 8. 10-20-09 Meeting \_FCIC\_Preliminary Work Plan[1]  
 MG.  AIG-SEC2035263  
 MH.  AIG-SEC2549228-270  
 MI.  AllResponsesCompiled  
 MJ.  Altius I Funding, Ltd (2005) - Offering Circular  
 MK.  Appendix 2027d 20-- 20GS 20FCIC 20000012769 20- 20GS 20FCIC 20000016411  
 ML.  Appendix 27d -- GS FCIC 000006066 - GS FCIC 000009284  
 MM.  Appendix 27d -- GS FCIC 000009285 - GS FCIC 000012768  
 MN.  Appendix 27d -- GS FCIC 000016412 - GS FCIC 000019015  
 MO.  Armed against ARMs  
 MP.  Armed against ARMs  
 MQ.  ART WILMARTH RECOMMENDATION  
 MR.  assistant\_to\_do  
 MS.  B11 (copy)  
 MT.  BAC-FCIC-0000080557-61  
 MU.  BAC-FCIC-0000089280-81  
 MV.  BAC-ML-CDO-000076862-884  
 MW.  BAC-ML-CDO-000079752  
 MX.  Bakersfield-Vegas Expenses  
 MY.  Bakersfield-Vegas Perdiem  
 MZ.  Baucus Letter - DOJ DOC REQUEST  
 NA.  Baucus Letter - DOJ DOC REQUEST - ATTACHMENT A  
 NB.  Binder Cover & Spine Template  
 NC.  BIODATA  
 ND.  Booms and Busts, the Case of Subprime Mortgages, Gramlich  
 NE.  Booms and Busts, the Case of Subprime Mortgages, Gramlich  
 NF.  Bradfield Letter - FDIC DOC REQUEST  
 NG.  Bradfield Letter - FDIC DOC REQUEST - ATTACHMENT A  
 NH.  Bridges Federal Regulators Seek Comment on Subprime Mortgag  
 NI.  Bridges Federal Regulators Seek Comment on Subprime Mortgag  
 NJ.  Bridges Foreclosures—Let's Talk about the Solution Counseli  
 NK.  Bridges Foreclosures—Let's Talk about the Solution Counseli  
 NL.  Bridges Foreclosures—Let's Talk about the Solution Counseli  
 NM.  Bridges Implications of the New Pricing Data from the Home  
 NN.  Bridges Implications of the New Pricing Data from the Home  
 NO.  Bridges Subprime ARMs Popular Loans, Poor Performance

NP.  Bridges Subprime ARMs Popular Loans, Poor Performance  
 NQ.  Broadwick Funding, Ltd (2006) - Offering Circular  
 NR.  Broadwick Funding, Ltd (2006) - Term Sheet  
 NS.  Brook Kellerman  
 NT.  Bruce 2010 taxes  
 NU.  Bruce McWilliams Consultant Invoice jan 3 jan 7  
 NV.  Bruce McWilliams Consultant Invoice jan 31 feb 4  
 NW.  BSC-FCIC-e00599463  
 NX.  Bubble Bubble Toil and Trouble  
 NY.  Bubble Bubble Toil and Trouble  
 NZ.  bubble talk (no zotero codes)  
 OA.  buddha quote  
 OB.  Cahn Letter - SEC DOC REQUEST  
 OC.  Cahn Letter - SEC DOC REQUEST - ATTACHMENT A  
 OD.  Camber 3 plc (2005) - Offering Circular  
 OE.  Camber 3 plc (2005) - Pitchbook  
 OF.  Camber 3 plc (2005) - Term Sheet  
 OG.  CDO Document Library Index  
 OH.  CDO\_data\_summary  
 OI.  chairman story ideas  
 OJ.  Chapter 1 Draft Insert re TBTF  
 OK.  Chapter 1 Draft Insert re TBTF  
 OL.  chapter 2 doodling  
 OM.  Chicago, Fudan University, the Making of Monetary Policy in  
 ON.  Chicago, Fudan University, the Making of Monetary Policy in  
 OO.  Chicago, Global Interdependence Center, Moskow  
 OP.  Chicago, Global Interdependence Center, Moskow  
 OQ.  Chicago, Global Interdependence Center, Moskow  
 OR.  Chicago, Kenilworth, US Economic Outlook  
 OS.  Chicago, Kenilworth, US Economic Outlook  
 OT.  Chicago, US Economic Outlook Jewish United Fund, 03-07-2007  
 OU.  Chicago, US Economic Outlook Jewish United Fund, 03-07-2007  
 OV.  Chicago, US Economic Outlook, 01-10-2007  
 OW.  Chicago, US Economic Outlook, 01-10-2007  
 OX.  Chicago, US Economic Outlook, University Club, 02-16-2007  
 OY.  Chicago, US Economic Outlook, University Club, 02-16-2007  
 OZ.  CITI-FCIC 00000676 2007-10-15 Meeting of Corporate ARM Committee  
 PA.  Citigroup Transcript Index  
 PB.  Cleared Documents  
 PC.  Commissioner Questions for Witness Follow  
 PD.  Community Affairs Research Conference -- Opening Remarks - S  
 PE.  Community Affairs Research Conference -- Opening Remarks - S  
 PF.  Community Affairs Research Conference -- Opening Remarks - S  
 PG.  Consortium Email  
 PH.  Coolidge Funding, Ltd (2005) - Offering Circular  
 PI.  Coolidge Funding, Ltd (2005) - Pitchbook  
 PJ.  Coolidge Funding, Ltd (2005) - Term Sheet  
 PK.  Copy of FCIC Employee Claim for Compensation FormPP1  
 PL.  Copy of FCIC Employee Claim for Compensation FormPP3  
 PM.  corrigan, banks special (1982)  
 PN.  cp  
 PO.  CP  
 PP.  cp  
 PQ.  cp  
 PR.  Credit Markets Innovations and Their Implications - Federal

PS.  Credit Markets Innovations and Their Implications - Federal  
PT.  Credit Rating Agency Follow Up Letter Froeba  
PU.  CSS-FCIC-E-00004688-00004700  
PV.  Dakin Letter - OTS DOC REQUEST  
PW.  Dakin Letter - OTS DOC REQUEST - ATTACHMENT A  
PX.  Data Webpage v2  
PY.  data\_projects  
PZ.  data\_projects  
QA.  data\_report\_outline  
QB.  data\_report\_outline  
QC.  Davis Square Funding III, Ltd (2004) - Offering Circular  
QD.  Davis Square Funding IV, Ltd (2005) - Offering Circular  
QE.  Davis Square Funding VI, Ltd (2006) - Offering Circular  
QF.  Davis Square Funding VI, Ltd (2006) - Pitchbook  
QG.  DE  
QH.  Denise Crawford Letter to AG Holder 1.20.10  
QI.  Disclosure to Applicants  
QJ.  Do High Debt Payments Hinder Household Consumption Smoothing  
QK.  Do High Debt Payments Hinder Household Consumption Smoothing  
QL.  DO NOT USE Exhibit H - Fannie Mae  
QM.  DOJ Holder Invitation letterv2  
QN.  Draft Chronology--Haggerty 1  
QO.  Draft Chronology--Haggerty 2 (copy)  
QP.  easterly, contribution to chapter 1 rtf  
QQ.  easterly, contribution to chapter 1 rtf  
QR.  easterly, edits to 2010-11-23 1700 Section II Ch 1  
QS.  Economic  
QT.  Economic Outlook - Federal Reserve Bank of Richmond  
QU.  Economic Outlook - Federal Reserve Bank of Richmond  
QV.  Economic Outlook2 - Federal Reserve Bank of Richmond  
QW.  Economic Outlook2 - Federal Reserve Bank of Richmond  
QX.  editing memo  
QY.  Email - FW Names of people to find  
QZ.  Email - More on GSE-Treasury capital surplus deal  
RA.  Email - new employee forms  
RB.  Email - new employee forms  
RC.  Ex 1  
RD.  Ex 10  
RE.  Ex 101  
RF.  Ex 102  
RG.  Ex 103  
RH.  Ex 104  
RI.  Ex 105  
RJ.  Ex 106  
RK.  Ex 107  
RL.  Ex 108  
RM.  Ex 109  
RN.  Ex 11  
RO.  Ex 110  
RP.  Ex 111  
RQ.  Ex 112  
RR.  Ex 113  
RS.  Ex 114  
RT.  Ex 115  
RU.  Ex 116



RV.  Ex 117  
RW.  Ex 118  
RX.  Ex 119  
RY.  Ex 12  
RZ.  Ex 120  
SA.  Ex 121  
SB.  Ex 122  
SC.  Ex 123  
SD.  Ex 124  
SE.  Ex 125  
SF.  Ex 126  
SG.  Ex 127  
SH.  Ex 128  
SI.  Ex 129  
SJ.  Ex 13  
SK.  Ex 130  
SL.  Ex 131  
SM.  Ex 132  
SN.  Ex 133  
SO.  Ex 134  
SP.  Ex 135  
SQ.  Ex 136  
SR.  Ex 137  
SS.  Ex 138  
ST.  Ex 139  
SU.  Ex 14  
SV.  Ex 140  
SW.  Ex 141  
SX.  Ex 142  
SY.  Ex 143  
SZ.  Ex 144  
TA.  Ex 145  
TB.  Ex 146  
TC.  Ex 147  
TD.  Ex 148  
TE.  Ex 149  
TF.  Ex 15  
TG.  Ex 150  
TH.  Ex 151  
TI.  Ex 152  
TJ.  Ex 153  
TK.  Ex 301  
TL.  Ex 302  
TM.  Ex 303  
TN.  Ex 304  
TO.  Ex 305  
TP.  Ex 306  
TQ.  Ex 501  
TR.  Ex 502  
TS.  Ex 503  
TT.  Ex 504  
TU.  Ex 505  
TV.  Ex 506  
TW.  Ex 507  
TX.  Ex 508

TY.  Ex 509  
TZ.  Ex 510  
UA.  Ex 511  
UB.  Ex 512  
UC.  Ex 513  
UD.  Ex 514X  
UE.  Ex 515  
UF.  Ex 516  
UG.  Ex 517  
UH.  Ex 518  
UI.  Ex 519  
UJ.  Ex 520  
UK.  Ex 521  
UL.  Ex 522  
UM.  Ex 523  
UN.  Ex 524  
UO.  Ex 525  
UP.  Ex 526  
UQ.  Ex 527  
UR.  Ex 528  
US.  Ex 529  
UT.  Ex 530  
UU.  Ex 531  
UV.  Ex 532  
UW.  Ex 533  
UX.  Ex 534  
UY.  Exhibit H Fannie Mae  
UZ.  F13 Possible Material Misstatements and Omissions  
VA.  fact checking  
VB.  fact checking roll  
VC.  Fact Sheet - Government Housing Policy Not Primary Cause of the Crisis  
VD.  Factsheet - Excessive borrowing, risky investments and lack of transparency  
VE.  Factsheet - GSEs not primary cause of crisis  
VF.  Fall07JacksonSymposium  
VG.  Fall07JacksonSymposium  
VH.  Fall07JacksonSymposium  
VI.  FCIC - final response to request 1.b. (copy)  
VJ.  FCIC 164834-164975  
VK.  FCIC BOOK PART ONE.02  
VL.  FCIC Master Contact List for 7-14-10  
VM.  FCIC Roundtable - October 20 2009 (4)[1]  
VN.  FCIC Staff Days-Duration of Employment  
VO.  FCIC Staff Departures  
VP.  FCIC-154731  
VQ.  FCIC-AIG0015389-390  
VR.  FCIC-AIG0015409-10  
VS.  FCIC-AIG0016236-39  
VT.  FDIC Invitation letterv2  
VU.  FDIC\_ HSOB Bank & Thrift Failures 1934-1980  
VV.  fettig job letter  
VW.  Final Compiled Sched A - Market Risk Survey  
VX.  Final Draft of PSR on TBTF 8.31.10  
VY.  Flashing yellow Part A  
VZ.  Flashing yellow Part A  
WA.  Flashing Yellow Section I, Ch. 1 - sent to C-VC(2)

WB.  Flashing Yellow Section I, Ch. 2 and Ch. 3 - to C-VC

WC.  Flashing Yellow Section I, Ch. 4 (Subprime) - sent to C-VC

WD.  Flashing Yellow Section II

WE.  Flashing Yellow Section III, Ch. 1. - ed by AF; checks by BK(1)

WF.  FN014\_2010-08-05\_MFR\_Miller\_LEH (bankruptcy lawyer, Weil Gotshal) (copy)

WG.  FN017\_2010-08-06\_MFR\_Tunucci\_LEH (draft) (copy)

WH.  FN017\_2010-08-06\_MFR\_Tunucci\_LEH (draft) (copy)

WI.  FN10\_2007-08-06\_Minutes\_Special\_Mtg\_Countrywide

WJ.  FN124\_2010-08-24\_MFR\_Parkinson\_FRB

WK.  FN146\_2010-10-09\_Darling\_Vetoed\_Lehman\_Bros\_Takeover\_article

WL.  FN15\_2010-04-01\_MFR\_EricSirri\_SEC (Director, Trading and Markets) (copy)

WM.  FN174\_2010-09-01\_written\_testimony\_Miller

WN.  FN18\_2008\_Q2\_Liquidity\_Position\_LBHI\_SEC07940\_516173

WO.  FN19\_2007-08-16\_LAT\_Credit\_crunch\_imperils\_lender (copy)

WP.  FN19\_2007-08-16\_LAT\_Credit\_crunch\_imperils\_lender (online)

WQ.  FN20\_2007-08-16\_Moodys\_Investors\_Service

WR.  FN21\_2008-04-08\_Lehman\_8-K

WS.  FN27\_2010-04-28\_MFR\_DickFuld\_LEH (draft) (copy)

WT.  FN31\_2010-03-05\_Schapiro\_Response\_re\_Hearing\_One\_Follow-Up (copy)

WU.  FN33\_2007\_08\_17\_FED\_press\_rel

WV.  FN36\_2007-11-16\_WSJ\_article

WW.  FN36\_2009-06-30\_SEC\_Proposed\_Rule\_MMF

WX.  FN40a\_2008-05-12\_FRBNY\_presentation\_redacted (FRBNY to Exam 000017-000032) (copy)

WY.  FN44\_2008-07-10\_email\_Schaick\_to\_Feraca (LBHI\_FCIC\_0128796- 0128802) (copy)

WZ.  FN5\_2010-10-23\_MFR\_KenLewis\_BofA (draft)

XA.  FN5\_Transcript\_Mozilo

XB.  FN51\_2008-07-15\_email\_Geithner\_to\_Dudley (FRBNY to Exam. 034332-33) (copy)

XC.  FN54 - 2000-06-01 HUD-Treasury Report

XD.  FN54 - 2000-06-01 Treasury-HUD press rel

XE.  FN54 - 2000-06-01 Treasury-HUD Report

XF.  FN70a\_2010-08-02\_MFR\_Barry\_Zubrow (copy)

XG.  FN81\_2008-09-10\_email\_VanDerWeide\_to\_Alvarez (FCIC-154786, FRB to LEH Examiner 001135) (copy)

XH.  Follow up grid TBTF

XI.  FOMC Sept 16 2008 meeting notes

XJ.  FOMC September 16 2008 housing notes

XK.  Forecasting Real Housing Price Growth in the Eighth District

XL.  Forecasting Real Housing Price Growth in the Eighth District

XM.  Fortius II Funding, Ltd (2006) - Offering Circular

XN.  Fortius II Funding, Ltd (2006) - Pitchbook

XO.  Four Images of Ned Gramlich

XP.  Four Images of Ned Gramlich

XQ.  FRB Bernanke, Housing, Housing Finance, and Monetary Policy

XR.  FRB Bernanke, Housing, Housing Finance, and Monetary Policy

XS.  FRB Comparison of Sample Mortgage Features FCIC-178610-12

XT.  FRB Kroszner--Risk Management and the Economic Outlook

XU.  FRB Kroszner--Risk Management and the Economic Outlook

XV.  FRB Speech by Governor Kroszner on outlook and risks for the

XW.  FRB Speech by Governor Kroszner on outlook and risks for the

XX.  FRB Speech by Governor Kroszner on outlook and risks for the

XY.  FRB Speech, Bernanke--GSE Portfolios, Systemic Risk, and Aff
























































XZ.  FRB Speech, Bernanke--GSE Portfolios, Systemic Risk, and Aff


YA.  FRB Speech, Bernanke--The Subprime Mortgage Market--May 17,

YB.  FRB Speech, Bernanke--The Subprime Mortgage Market--May 17,


YC.  FRB Speech, Bies--Economic Outlook and Developments in Mortg


YD.  FRB Speech, Bies--Economic Outlook and Developments in Mortg

YE.  FRB Speech, Chairman Bernanke on the housing market and subp  
 YF.  FRB Speech, Chairman Bernanke on the housing market and subp  
 YG.  FRB Speech, Kohn--Asset-Pricing Puzzles, Credit Risk, and Cr  
 YH.  FRB Speech, Kohn--Asset-Pricing Puzzles, Credit Risk, and Cr  
 YI.  FRB Speech, Kohn--Asset-Pricing Puzzles, Credit Risk, and Cr  
 YJ.  FRB Speech, Kohn--The Economic Outlook--January 8, 2007  
 YK.  FRB Speech, Kohn--The Economic Outlook--January 8, 2007  
 YL.  FRB Speech, Mishkin--Enterprise Risk Management and Mortgage  
 YM.  FRB Speech, Mishkin--Enterprise Risk Management and Mortgage  
 YN.  FRB Speech, Mishkin---The U\_S\_ Economic Outlook--April 20, 2  
 YO.  FRB Speech, Mishkin---The U\_S\_ Economic Outlook--April 20, 2  
 YP.  FRB Speech--Bernanke, The Recent Financial Turmoil and its E  
 YQ.  FRB Speech--Bernanke, The Recent Financial Turmoil and its E  
 YR.  FRB Speech--Kohn, Economic Outlook--October 5, 2007  
 YS.  FRB Speech--Kohn, Economic Outlook--October 5, 2007  
 YT.  FRB Speech--Kohn, Economic Outlook--October 5, 2007  
 YU.  FRB Speech--Kohn, Financial Markets and Central Banking--Nov  
 YV.  FRB Speech--Kohn, Financial Markets and Central Banking--Nov  
 YW.  FRB Speech--Kohn, Success and Failure of Monetary Policy sin  
 YX.  FRB Speech--Kohn, Success and Failure of Monetary Policy sin  
 YY.  FRB Speech--Kroszner, The Challenges Facing Subprime Mortgag  
 YZ.  FRB Speech--Kroszner, The Challenges Facing Subprime Mortgag  
 ZA.  FRB Speech--Mishkin, Financial Instability and Monetary Poli  
 ZB.  FRB Speech--Mishkin, Financial Instability and Monetary Poli  
 ZC.  FRB Speech--Mishkin, Outlook and Risks for the U\_S\_ Economy-  
 ZD.  FRB Speech--Mishkin, Outlook and Risks for the U\_S\_ Economy-  
 ZE.  FRB Speech--Mishkin, Outlook and Risks for the U\_S\_ Economy-  
 ZF.  FRB Supervisory Letter SR 07-1 on interagency guidance on Co  
 ZG.  FRB Supervisory Letter SR 07-1 on interagency guidance on Co  
 ZH.  FRB Supervisory Letter SR 07-12 - CA 07-3 on Statement on Su  
 ZI.  FRB Supervisory Letter SR 07-12 - CA 07-3 on Statement on Su  
 ZJ.  FRB Supervisory Letter SR 07-16 - CA 07-4 on statement on lo  
 ZK.  FRB Supervisory Letter SR 07-16 - CA 07-4 on statement on lo  
 ZL.  FRB Supervisory Letter SR 07-6 on Working with Mortgage Borr  
 ZM.  FRB Supervisory Letter SR 07-6 on Working with Mortgage Borr  
 ZN.  FRB Testimony, Bernanke, Semiannual Monetary Policy Report t  
 ZO.  FRB Testimony, Bernanke, Semiannual Monetary Policy Report t  
 ZP.  FRB Testimony, Bernanke, Semiannual Monetary Policy Report t  
 ZQ.  FRB Testimony, Bernanke-Monetary Policy Report to the Congre  
 ZR.  FRB Testimony, Bernanke-Monetary Policy Report to the Congre  
 ZS.  FRB Testimony, Bernanke--The economic outlook--March 28, 200  
 ZT.  FRB Testimony, Bernanke--The economic outlook--March 28, 200  
 ZU.  FRB Testimony, Braunstein--Bank mergers, Community Reinvestm  
 ZV.  FRB Testimony, Braunstein--Bank mergers, Community Reinvestm  
 ZW.  FRB Testimony, Braunstein--Subprime mortgages--March 27, 200  
 ZX.  FRB Testimony, Braunstein--Subprime mortgages--March 27, 200  
 ZY.  FRB Testimony--Bernanke, Subprime mortgage lending and mitig  
 ZZ.  FRB Testimony--Bernanke, Subprime mortgage lending and mitig  
 AAA.  FRB Testimony--Bernanke, Subprime mortgage lending and mitig  
 AAB.  FRB Testimony--Braunstein, Fair lending and the Home Mortgag  
 AAC.  FRB Testimony--Braunstein, Fair lending and the Home Mortgag  
 AAD.  FRB Testimony--Chairman Bernanke on the economic situation a  
 AAE.  FRB Testimony--Chairman Bernanke on the economic situation a  
 AAF.  FRB Testimony--Kroszner, Loan modifications and foreclosure  
 AAG.  FRB Testimony--Kroszner, Loan modifications and foreclosure


AAH.  FRB Testimony--Mishkin, Availability of credit to small busi

AAI.  FRB Testimony--Mishkin, Availability of credit to small busi


AAJ.  FRBB Speeches- Connecticut Business and Industry Association


AAK.  FRBB Speeches- Connecticut Business and Industry Association


AAL.  FRBB Speeches- Connecticut Business and Industry Association


AAM.  FRBB Speeches- Recent Developments in Real Estate, Financial


AAN.  FRBB Speeches- Recent Developments in Real Estate, Financial


AAO.  FRBB Speeches- Remarks at the Launch of the Mortgage Relief


AAP.  FRBB Speeches- Remarks at the Launch of the Mortgage Relief


AAQ.  FRBB Speeches- Subprime Mortgage Problems Research, Opportun


AAR.  FRBB Speeches- Subprime Mortgage Problems Research, Opportun


AAS.  Fremont Investigative Report


AAT.  From Complacency to Crisis Financial Risk Taking in the Earl

AAU.  From Complacency to Crisis Financial Risk Taking in the Earl

AAV.  From Spotlight to Shadow Housing's Luster Fades


AAW.  From Spotlight to Shadow Housing's Luster Fades


AAX.  From Spotlight to Shadow Housing's Luster Fades


AAZ.  FW\_


ABA.  G Street Finance, Ltd (2005) - Offering Circular


ABB.  G Street Finance, Ltd (2005) - Pitchbook

ABC.  Gary Stern Speech - Credit Market Developments Lessons for C


ABD.  Gary Stern Speech - Credit Market Developments Lessons for C


ABE.  Glacier Funding CDO V, Ltd (2007) - Pitchbook


ABF.  Gordon Letter - GAO DOC REQUEST


ABG.  Gordon Letter - GAO DOC REQUEST - ATTACHMENT A


ABH.  hinkley


ABI.  hinkley\_request


ABJ.  history of boards of directors

ABK.  history of boards of directors ts

ABL.  history of corporate governance

ABM.  history of corporate governance chrono draft


ABN.  history of corporate governance ts


ABO.  history of corporate governance ts mee wme

ABP.  history of executive compensation

ABQ.  history of risk management

ABR.  Hoenig, Perspectives on Housing and the Economic Outlook,11-


ABS.  Hoenig, Perspectives on Housing and the Economic Outlook,11-

ABT.  Housing and the Monetary Transmission Mechanism


ABU.  Housing and the Monetary Transmission Mechanism


ABV.  Housing and the Subprime Market


ABW.  Housing and the Subprime Market


ABX.  Housing is the Business Cycle


ABY.  Housing is the Business Cycle


ABZ.  Housing is the Business Cycle

ACA.  Housing Slump and slowdown in productivity


ACB.  Housing Slump and slowdown in productivity


ACC.  Housing Slump Could Lean Heavily on Economy


ACD.  Housing Slump Could Lean Heavily on Economy


ACE.  Housing Woes Weigh Heavily on the Economy


ACF.  Housing Woes Weigh Heavily on the Economy

ACG.  Housing, Housing Finance, and Monetary Policy Federal Reser


ACH.  Housing, Housing Finance, and Monetary Policy Federal Reser


ACI.  Hout Bay 2006-1, Ltd (2006) - Offering Circular


ACJ.  Hout Bay 2006-1, Ltd (2006) - Pitchbook


ACJ.  Hudson High Grade Funding 2006-1, Ltd (2006) - Offering Circular


ACK.  Hudson Mezzanine Funding 2006-1, Ltd (2006) - Offering Circular  
ACL.  Hudson Mezzanine Funding 2006-1, Ltd (2006) - Pitchbook  
ACM.  Hudson Mezzanine Funding 2006-1, Ltd (2006) - Term Sheet  
ACN.  Index of Citi Production  
ACO.  interrog\_responses\_tracker  
ACP.  interrog\_responses\_tracker  
ACQ.  interrog\_responses\_tracker  
ACR.  interrog\_responses\_tracker  
ACS.  Interview notes - Acharya - 10-21-2010  
ACT.  Investigative Report - Governance DRAFT  
ACU.  Is New England experiencing a brain drain  
ACV.  Is New England experiencing a brain drain  
ACW.  Is New England experiencing a brain drain  
ACX.  Kara Stein letter  
ACY.  Karpoff Letter - CFTC DOC REQUEST  
ACZ.  Karpoff Letter - CFTC DOC REQUEST - ATTACHMENT A  
ADA.  Keith Johnson Subpoena  
ADB.  Lack of Affordable Housing in New England  
ADC.  Lack of Affordable Housing in New England  
ADD.  letter format  
ADE.  Lev  
ADF.  leverage ratios BAC C fact check  
ADG.  List of Goldman CDOs  
ADH.  List of names  
ADI.  list of names word  
ADJ.  Lockhart Speech After the Feast - September 6, 2007  
ADK.  Lockhart Speech After the Feast - September 6, 2007  
ADL.  Lockhart Speech Navigating Around Financial Turbulence- Sept  
ADM.  Lockhart Speech Navigating Around Financial Turbulence- Sept  
ADN.  Lockhart Speech On Rocket Science - November 7, 2007  
ADO.  Lockhart Speech On Rocket Science - November 7, 2007  
ADP.  Long Beach Mortgage WaMu articles  
ADQ.  Ltr\_NY\_Clearing\_House\_to\_SEC  
ADR.  magic  
ADS.  magic  
ADT.  magic\_new  
ADU.  MAGNETAR-FCIC-068953  
ADV.  Master Chronology - Fact Check Copy  
ADW.  Master\_Contact\_List\_2-6\_11\_00  
ADX.  May 5 Expenses  
ADY.  ME-AEW Draft of Section One 10 01 2010  
ADZ.  Merrill Lynch CDOs  
AEA.  Merrill\_DocSet\_1  
AEB.  Merrill\_DocSet\_10  
AEC.  Merrill\_DocSet\_11  
AED.  Merrill\_DocSet\_2  
AEE.  Merrill\_DocSet\_3  
AEF.  Merrill\_DocSet\_4  
AEG.  Merrill\_DocSet\_5  
AEH.  Merrill\_DocSet\_6  
AEI.  Merrill\_DocSet\_7  
AEJ.  Merrill\_DocSet\_8  
AEK.  Merrill\_DocSet\_9  
AEL.  Miami Field Hearing  
AEM.  Miami-Sacramento Perdiem


AEN.  misc


AEO.  Moderate economic growth expected in 2007 - fedgazette - Pub


AEP.  Moderate economic growth expected in 2007 - fedgazette - Pub


AEQ.  Moderate economic growth expected in 2007 - fedgazette - Pub


AER.  Moodys actual fee calculation


AES.  MOODY'S-FCIC-0003712 - Moody's Investor Service Securities Trading Policy


AET.  MS0002740.Confidential.Treatment.Requested


AEU.  my\_tracker


AEV.  my\_tracker


AEW.  my\_tracker


AEX.  my\_tracker


AEY.  nakamura\_gimme-shelter


AEZ.  nakamura\_gimme-shelter


AFA.  National, Regional, and Metro-Specific Factors of the US Hou


AFB.  National, Regional, and Metro-Specific Factors of the US Hou


AFC.  Nelson Letter - FEDERAL RESERVE DOC REQUEST - ATTACHMENT Av2


AFD.  Nelson Letter - FEDERAL RESERVE DOC REQUESTv2


AFE.  new housing square feet


AFF.  New Redline- Exhibit A Fannie Mae


AFG.  Newbury Street CDO, Ltd (2007) - Pitchbook


AFH.  News and Events-Stern Speech - Perspectives on the Economy -


AFI.  News and Events-Stern Speech - Perspectives on the Economy -


AFJ.  Nonprime Mortgage Woes—How We Got There, Where To Go Next


AFK.  Nonprime Mortgage Woes—How We Got There, Where To Go Next


AFL.  Norma CDO I, Ltd (2007) - Offering Circular


AFM.  Notes of 2008-02-07 meeting PWC-FCIC 000206-208


AFN.  NY Expenses


AFO.  NY Times article jan 26 2011


AFP.  NY Trip 5-27


AFQ.  NY Trip 6-2


AFR.  OCC22-365503-365781


AFS.  Octans I CDO, Ltd (2006) - Offering Circular


AFT.  old Moodys Follow Up Questions


AFU.  OLD Section 1 list


AFV.  Optimal Retirement Asset, the Impact of Housing Wealth


AFW.  Optimal Retirement Asset, the Impact of Housing Wealth


AFX.  Optimal Retirement Asset, the Impact of Housing Wealth


AFY.  Pampelonne CDO I (2006) - Pitchbook


AFZ.  PART I LOCAL 01


AGA.  Part III\_BofA Documents


AGB.  Part One Take 06


AGC.  Part One Take 20


AGD.  Part One Take 22


AGE.  Part One Take 23 net doc


AGF.  Part One Take 25 NET DOCS


AGG.  Part One Version.02


AGH.  Part One Version.08netdoc


AGI.  Part Two 01


AGJ.  People who contact FCIC


AGK.  PLS Goal and Subgoal Qualifying Rates 2003 - 2006 (copy)























































AGL.  Point Pleasant 2007-1, Ltd (2007) - Offering Circular

AGM.  Point Pleasant 2007-1, Ltd (2007) - Pitchbook

AGN.  Primary Reserve Fund 4 TBTF






















































AGO.  PWC-FCIC 000217








AGP.  PWC-FCIC 000220-223

AGQ.  Re- Sec Lending Portion of Survey  
 AGR.  RE- Service Agreement  
 AGS.  Recommendations  
 AGT.  Redline - Exhibit H Fannie Mae  
 AGU.  Reg response to Wachovia  
 AGV.  Regulator comments Brosnan  
 AGW.  Repo  
 AGX.  Report of the Special Examination of Freddie Mac\_Public\_1203  
 AGY.  repos  
 AGZ.  repos  
 AHA.  repos  
 AHB.  repos  
 AHC.  Responding to Financial Crisis, What Role for the Fed  
 AHD.  Responding to Financial Crisis, What Role for the Fed  
 AHE.  Response Master Sheet  
 AHF.  Rising Foreclosures in the United States, a Perfect Storm  
 AHG.  Rising Foreclosures in the United States, a Perfect Storm  
 AHH.  Risk Is a Many Splendored Thing Lessons Learned - Richard Fi  
 AHI.  Risk Is a Many Splendored Thing Lessons Learned - Richard Fi  
 AHJ.  SAMPLE INVOICE (2)  
 AHK.  Scott Alvarez Follow Up  
 AHL.  SEC Invitation Letterv2  
 AHM.  SecLen  
 AHN.  Section 1 list  
 AHO.  section 1--derivatives insert (easterly)  
 AHP.  section 1--partial derivatives insert (easterly)  
 AHQ.  Section 3 quotes requests  
 AHR.  section II 1 phil's edits highlights checked blanks filled  
 AHS.  Servicers Key to Limiting Loan Losses  
 AHT.  Servicers Key to Limiting Loan Losses  
 AHU.  sf1012 travel voucher  
 AHV.  Sierra Madre Funding, Ltd (2004) - Offering Circular  
 AHW.  SMALL ME  
 AHX.  Sources and Uses of Equity Extracted from Homes  
 AHY.  Sources and Uses of Equity Extracted from Homes  
 AHZ.  Sources and Uses of Equity Extracted from Homes  
 AIA.  Speech Economic Outlook and Central Bank Policy (November 27  
 AIB.  Speech Economic Outlook and Central Bank Policy (November 27  
 AIC.  Speech House Prices and Monetary Policy (July 11, 2007) - Ph  
 AID.  Speech House Prices and Monetary Policy (July 11, 2007) - Ph  
 AIE.  Speech The Economic Outlook Prospects for 2007 (February 7,  
 AIF.  Speech The Economic Outlook Prospects for 2007 (February 7,  
 AIG.  St. Louis Reserve Bank State of the U.S. Economy  
 AIH.  St. Louis Reserve Bank State of the U.S. Economy  
 AII.  St. Louis Reserve Bank, Market Healing  
 AIJ.  St. Louis Reserve Bank, Market Healing  
 AIK.  St. Louis Reserve Bank, Market Healing  
 AIL.  St. Louis Reserve Bank, Real Estate in the US Economy  
 AIM.  St. Louis Reserve Bank, Real Estate in the US Economy  
 AIN.  St. Louis Reserve Bank, Reputation and the Non-Prime Mortgag  
 AIO.  St. Louis Reserve Bank, Reputation and the Non-Prime Mortgag  
 AIP.  St. Louis Reserve Bank, The GSEs, Where do we Stand  
 AIQ.  St. Louis Reserve Bank, The GSEs, Where do we Stand  
 AIR.  stay focused  
 AIS.  STW-3.2.10



AIT.  Subprime Side Effects inthe Federal Funds Market  
AIU.  Subprime Side Effects inthe Federal Funds Market  
AIV.  Summer Taxi Expenses  
AIW.  Survey\_Participants  
AIX.  Symposium Foreward Hoenig  
AIY.  Symposium Foreward Hoenig  
AIZ.  Symposium Foreward Hoenig  
AJA.  Takeaways from Research and Investigation Plan1  
AJB.  TBTF Docreq  
AJC.  TBTF Hearing Questions  
AJD.  TBTF Hearing Transcript  
AJE.  temo  
AJF.  test  
AJG.  test  
AJH.  TEST TEST TEST  
AJI.  test1  
AJJ.  test4  
AJK.  testdoc  
AJL.  TG Interview of J. Dugan\_2010-03-30  
AJM.  The 2006 HMDA data  
AJN.  The 2006 HMDA data  
AJO.  The Economic Outlook - Federal Reserve Bank of Richmond  
AJP.  The Economic Outlook - Federal Reserve Bank of Richmond  
AJQ.  The GSEs, Where do we Stand  
AJR.  The GSEs, Where do we Stand  
AJS.  The Housing Market, After the Boom  
AJT.  The Housing Market, After the Boom  
AJU.  The Inflation Outlook - Federal Reserve Bank of Richmond  
AJV.  The Inflation Outlook - Federal Reserve Bank of Richmond  
AJW.  The Inflation Outlook - Federal Reserve Bank of Richmond  
AJX.  The Inflation Outlook2 - Federal Reserve Bank of Richmond  
AJY.  The Inflation Outlook2 - Federal Reserve Bank of Richmond  
AJZ.  The Life-Cycle Effects of House Price Changes  
AKA.  The Life-Cycle Effects of House Price Changes  
AKB.  The New England Rental Market  
AKC.  The New England Rental Market  
AKD.  The Rise and Fall of Subprime Mortgages  
AKE.  The Rise and Fall of Subprime Mortgages  
AKF.  The Role of Central Banks in Credit Markets - Federal Reserv  
AKG.  The Role of Central Banks in Credit Markets - Federal Reserv  
AKH.  The Role of Central Banks in Credit Markets - Federal Reserv  
AKI.  The Role of Lenders in the Home Price Boom  
AKJ.  The Role of Lenders in the Home Price Boom  
AKK.  The U\_S\_ Economy, Globalization and Inflation Measurement (W  
AKL.  The U\_S\_ Economy, Globalization and Inflation Measurement (W  
AKM.  The U\_S\_, Mexican and Border Economies - Richard Fisher Spee  
AKN.  The U\_S\_, Mexican and Border Economies - Richard Fisher Spee  
AKO.  Thomas Borgers CFE Resume  
AKP.  Timberwolf I, Ltd (2007) - Offering Circular  
AKQ.  Timberwolf I, Ltd (2007) - Pitchbook  
AKR.  to\_do\_list  
AKS.  Uncleared Docs  
AKT.  Undated scope memo of FRBNY on 2005 horizontal examinations of multiple companies complex illiquid p  
AKU.  VENDOR PAYMENT ENROLLMENT FORM  
AKV.  Vertical ABS CDO 2007-1 (2007) - Pitchbook

- AKW.  Wachovia 2008 Proxy
- AKX.  Wachovia Corp 2009 Supervisory Plan
- AKY.  West Coast Funding I, Ltd (2006) - Offering Circular
- AKZ.  West Coast Funding I, Ltd (2006) - Pitchbook
- ALA.  West outpaces east in district economic growth - fedgazette
- ALB.  West outpaces east in district economic growth - fedgazette
- ALC.  What is Subprime Lending
- ALD.  What is Subprime Lending
- ALE.  What is Subprime Lending
- ALF.  When Disclosure is not Enough
- ALG.  When Disclosure is not Enough
- ALH.  Williams Letter - OCC DOC REQUEST
- ALI.  Williams Letter - OCC DOC REQUEST - Attachment A
- ALJ.  Wilmarth - The Transformation of the US Financial Services Industry, 1975-2000 - Competition, Consol (copy)
- ALK.  witnesses of interest
- II.  Correspondence
  - A.  2009-12
    1.  2009-12-08 Invitation Ltr - Blankfein
    2.  2009-12-08 Invitation Ltr - Dimon
    3.  2009-12-08 Invitation Ltr - Lewis
    4.  2009-12-08 Invitation Ltr - Mack
    5.  2009-12-09 CFTC Doc Request
    6.  2009-12-09 DOJ Doc Request
    7.  2009-12-09 OCC Doc Request
    8.  2009-12-09 OTS Doc Request
    9.  2009-12-09 SEC Doc Request
    10.  2009-12-09. Letter from Steward, Donald. Product Suggestion
    11.  2009-12-14 Invitation Ltr - Bair
    12.  2009-12-14 Invitation Ltr - Holder
    13.  2009-12-14 Invitation Ltr - Schapiro
    14.  2009-12-15. Angelides-Thomas Ltr\_to\_Chairwoman\_Zoe\_Lofgren.\_re\_-\_FCIC\_delegation\_of\_authority-Ethics
    15.  2009-12-16 Doc Request to FRB-NY (S Leventhal)
    16.  2009-12-17 S Bair ltr to T Greene re Doc Request
    17.  2009-12-22 T Greene ltr to L Madigan
    18.  2009-12-22 T Greene ltr to M Whitney
    19.  2009-12-28 T Greene Ltr to D Crawford
    20.  2009-12-28 T Greene Ltr to G Theobald
    21.  2009-12-28 T Greene Ltr to M Zandi
    22.  2009-12-29 T Greene Ltr to J Suthers
    23.  2009-12-29 T Greene Ltr to K Bass
    24.  2009-12-29 T Greene Ltr to K Rosen
    25.  2009-12-29 T Greene Ltr to P Solomon
    26.  2009-12-31. Ltr from House Ethics - Lofgren - Delegation of Authority for FCIC - ethics office\_Appro
  - B.  2010-01
    1.  2010-01-06 Citi Doc Request 1 (B Bondi to M Helfer)
    2.  2010-01-08 Citi (S Buergel) Ltr to B Bondi (Docs Produced, Request 1.2)
    3.  2010-01-11 OCC (L Brigman) Ltr to T Greene re Doc Production
    4.  2010-01-11 SEC (S Forstein) Ltr to T Greene re Doc Production
    5.  2010-01-12 ABA (M Fein) Ltr to P Angelides
    6.  2010-01-13 OCC (L Bridgman) Ltr to T Greene re Doc Production
    7.  2010-01-15 FINRA (M Shimkus) Ltr to B Bondi re Citi customer arbitrations
    8.  2010-01-15 OTS (M Hingston) Ltr to B Dzivi re Doc Production
    9.  2010-01-19 HOC Email Doc Request (B Bondi to C Hixon & B Beattie)
    10.  2010-01-20 FTC (D Shonka) ltr to G Cohen re\_Citigroup and Countrywide Investigations
    11.  2010-01-20 GS (G Palm) Ltr to T Greene re Blankfein Testimony
    12.  2010-01-20 OCC (L Bridgman) Ltr to T Greene re Doc Production












13.  2010-01-20 OCC (L Bridgman) Ltr to T Greene re Doc Production2
  14.  2010-01-20 OCC (L Bridgman) Ltr to T Greene re Witnesses
  15.  2010-01-21 Congressmen Stearns and Israel Ltr to Angelides re preliminary report
  16.  2010-01-21 FHA Confidentiality Agreement
  17.  2010-01-22 Citi (S Buergel) Ltr to B Bondi
  18.  2010-01-22 FNMA Doc Request, G Cohen to Timothy Mayopoulos
  19.  2010-01-25 Citi Second Doc Request (B Bondi to Susanna Buergel)
  20.  2010-01-25 ltr from S. Buergel (to B. Bondi) re doc production
  21.  2010-01-26 Citi (S Buergel) Ltr to B Bondi
  22.  2010-01-26 Citi (S. Buergel) Letter to B. Bondi (Docs Produced, Request 1.1)
  23.  2010-01-27 Citi (S. Buergel) Letter to B. Bondi (Docs Produced, Request 1.1,2,5,6)
  24.  2010-01-27 Citi (S. Buergel) Letter to B. Bondi (Tentative Interview List)
  25.  2010-01-27 T Greene Ltr to D Crawford
  26.  2010-01-27 T Greene Ltr to E Holder
  27.  2010-01-27 T Greene Ltr to G Theobald
  28.  2010-01-27 T Greene Ltr to J Gordon
  29.  2010-01-27 T Greene Ltr to J Suthers
  30.  2010-01-27 T Greene Ltr to K Bass
  31.  2010-01-27 T Greene Ltr to K Rosen
  32.  2010-01-27 T Greene Ltr to L Madigan
  33.  2010-01-27 T Greene Ltr to M Mayo
  34.  2010-01-27 T Greene Ltr to M Schapiro
  35.  2010-01-27 T Greene Ltr to P Solomon
  36.  2010-01-27 T Greene ltr to R Cloutier
  37.  2010-01-27 T Greene Ltr to S Bair
  38.  2010-01-28 Citi (S. Buergel) Letter to B. Bondi (Docs Produced, Request 1.1)
  39.  2010-01-28 FNMA (J Kilduff) Ltr to M Biegelman
  40.  2010-01-28 T Greene Ltr to L Blankfein
  41.  2010-01-29 Citi (S. Buergel) Letter to B. Bondi (Docs Produced, Request 1.1)
  42.  2010-01-29 Citi (S. Buergel) Letter to B. Bondi (Email Search Terms, Request 1)
  43.  2010-01-29 M Mayo Response to Follow Up Qs
  44.  2010-01-29 T Greene ltr to D Crawford
  45.  2010-01-29 T Greene ltr to E Holder
  46.  2010-01-29 T Greene ltr to J Gordon
  47.  2010-01-29 T Greene ltr to J Suthers
  48.  2010-01-29 T Greene ltr to K Bass
  49.  2010-01-29 T Greene ltr to K Rosen
  50.  2010-01-29 T Greene ltr to L Madigan
  51.  2010-01-29 T Greene ltr to M Mayo
  52.  2010-01-29 T Greene ltr to M Schapiro
  53.  2010-01-29 T Greene ltr to P Solomon
  54.  2010-01-29 T Greene ltr to R Cloutier
  55.  2010-01-29 T Greene ltr to S Bair
- C.  2010-02
1.  2010-02-01 Citi (S. Buergel) Letter to B Bondi (Docs Produced, Request 1.1)
  2.  2010-02-01 Citi (S. Buergel) Letter to B Bondi (Docs Produced, Request 1.1,2,5,6)
  3.  2010-02-01 Citi (S. Buergel) Ltr to B. Bondi (Bios for Interviews II)
  4.  2010-02-02 C Seefer Ltr to FHFA (A Pollard)
  5.  2010-02-02 Citi (S Buergel) ltr to B Bondi (Doc Production, Request 1.1,2,5,6)
  6.  2010-02-02 Citi (S Buergel) ltr to B Bondi (Doc Production, Request 2.8)
  7.  2010-02-02 FRBNY (S Leventhal) Cover Ltr with Doc Production to C Seefer
  8.  2010-02-02 G Cohen ltr to DOT (G Madison)
  9.  2010-02-02 G Cohen ltr to FRB (S Alvarez)
  10.  2010-02-02 G Cohen ltr to FRBNY (S Leventhal)
  11.  2010-02-02 S&P (B Markley) ltr to G Cohen re Doc Preservation
























































12.  2010-02-02 T Greene Ltr to B Moynihan
13.  2010-02-02 T Greene Ltr to J Dimon
14.  2010-02-02 T Greene Ltr to Morgan Stanley (J Mack)
15.  2010-02-03 Citi (S Buergel) ltr to B Bondi (Doc Production, Request 1.1,2,5,6)
16.  2010-02-03 Citi (S Buergel) ltr to B Bondi (Doc Production, Request 2.5)
17.  2010-02-03 G Cohen Ltr to DOJ (F Burton)
18.  2010-02-03 G Cohen Ltr to DOL (D Greenfield)
19.  2010-02-03 G Cohen Ltr to ISDA (Tew Darras)
20.  2010-02-03 G Cohen Ltr to SEC (M Cahn)
21.  2010-02-03 ltr from S. Buergel (to B. Bondi) re doc production
22.  2010-02-03 P Solomon ltr to Chair re Hearing 1 Follow-Up
23.  2010-02-03 T Greene ltr to L Blankfein
24.  2010-02-03 T Greene Ltr to SEC (M Schapiro)
25.  2010-02-04 Citi (S Buergel) ltr to B Bondi (Docs Produced, Requests 1.1, 2, 5, 6)
26.  2010-02-04 Cover Ltr re FNM Production - from OM&M
27.  2010-02-05 Citi (S Buergel) ltr to B Bondi (Doc Production, Request 1.1, 2, 5, 6)
28.  2010-02-05 FRB (S Alvarez) ltr to G Cohen dated 2 5 10
29.  2010-02-05 G Cohen ltr to Reserve Primary Fund (C Birch)
30.  2010-02-08 Citi (S Buergel) Ltr to B Bondi (Docs Produced, Request 1.2)
31.  2010-02-08 G Cohen ltr to FDIC (M Bradfield)
32.  2010-02-08 G Cohen ltr to OCC (J Williams)
33.  2010-02-08 JPMorgan (S. Karp) ltr to T Greene re Document Preservation
34.  2010-02-09 Citi (S Buergel) ltr to B Bondi (Doc Production Request 1 1)
35.  2010-02-09 Citi (S Buergel) ltr to B Bondi re doc request 2 (S Mills emails)
36.  2010-02-09 G Cohen ltr to BofA (E O'Keefe)
37.  2010-02-09 G Cohen ltr to Goldman Sachs (G Palm)
38.  2010-02-09 G Cohen ltr to JPMorgan (S Cutler)
39.  2010-02-09 G Cohen ltr to Morgan Stanley (G Lynch)
40.  2010-02-09 G Cohen ltr to WFB (J Strother) re Doc Production
41.  2010-02-10 Citi (S Buergel) ltr to B Bondi re doc request 2.6 and 1.1
42.  2010-02-10 G Cohen ltr to FRB (D Caperton) re Doc Request
43.  2010-02-10 G Cohen Ltr to HUD (H Kanovsky) re doc production
44.  2010-02-11 B Bondi ltr to Citi (S Buergel) re Doc Production Format
45.  2010-02-11 BOA (R Brown) ltr to G Cohen re doc request timeline
46.  2010-02-11 BOA Letter to FCIC re Document Preservation
47.  2010-02-11 Citi (S Buergel) ltr to B Bondi re doc request 1.1,8 and 2.4
48.  2010-02-11 FNMA (J Kilduff) ltr to C Seefer re Doc Request
49.  2010-02-11 G Cohen ltr to Deloitte (W Lloyd) re Doc Production
50.  2010-02-11 Morgan Stanley Letter to FCIC re Document Preservation
51.  2010-02-12 B Bondi ltr to Citi (S Buergel) re second supplemental request
52.  2010-02-12 B Bondi ltr to FRBNY (S Leventhal) re document production
53.  2010-02-12 Citi (S Buergel) ltr to B Bondi re doc request 2.7
54.  2010-02-12 G Cohen ltr to BoA (E O'Keefe) re doc production
55.  2010-02-12 G Cohen ltr to FHFA (A Pollard) re doc production
56.  2010-02-12 G Cohen Ltr to HUD (H Kanovsky) re doc production
57.  2010-02-12 JPMorgan (S Cutler) Response Ltr to G Cohen
58.  2010-02-12 SEC (M Cahn) ltr to G Cohen (Doc. Production Request 2-3-2010)
59.  2010-02-12 Treasury (G Madison) ltr to G Cohen (Doc. Production Request 2-2-2010)
60.  2010-02-15 ltr from S. Buergel (to B. Bondi) re doc production
61.  2010-02-16 FRB (S Alvarez) ltr to G Cohen re Documents
62.  2010-02-16 ltr from S. Buergel (to B. Bondi) re doc production
63.  2010-02-16 Moody's ltr to T Greene re Document Preservation
64.  2010-02-16 SEC ltr to G Cohen re Doc Production (M Cahn)
65.  2010-02-16\_ Letter from Robert Monks. Attachment - Corporate Governance Past, Present, & Future, by Robert Monks

66.  2010-02-16\_Letter from Jonathan Johnson\_Overstock.com\_to Chairman Angelides and Commissioner Murren
67.  2010-02-16\_Letter from Robert Monks
68.  2010-02-17 Deloitte (C Davidow) ltr to C Seefer re Doc Request
69.  2010-02-17 DOL (Kronheim) ltr to G Cohen re extension of doc request
70.  2010-02-17 FNMA (J Kilduff OM&M) tr to C Seefer re Doc Request
71.  2010-02-17 FRBNY (S Levetal) ltr to B Bondi re Doc Request 3
72.  2010-02-17 ltr from S. Buergel (to B. Bondi) re doc production
73.  2010-02-18 Citi (M Helfer) ltr to B Bondi re Doc Request
74.  2010-02-18 G Cohen ltr to JPMorgan (S Cutler) re Doc Production
75.  2010-02-18 G Cohen ltr to Lehman (H Miller) re Doc Production
76.  2010-02-18 G Cohen ltr to Merrill Lynch (R Brown) re Doc Production
77.  2010-02-18 Morgan Stanley (R Brown) ltr to T Greene
78.  2010-02-18\_Gibson Dunn (M Bopp) Ltr to T Greene re Correction to Hearing Record (General Electric Co
79.  2010-02-18\_SEC (S Forstein) Ltr to T Greene re Doc Request (Citi\_Fannie\_Freddie)
80.  2010-02-19 G Cohen ltr to Barclays (J Hughes) re Doc Production
81.  2010-02-19 ISDA (P Bresnan) ltr to G Cohen re Doc Production
82.  2010-02-19 Lehman (H Miller) ltr to T Krebs re doc request
83.  2010-02-19 ltr to Dr. Bronner from TKrebs
84.  2010-02-19\_Goldman Sachs (R Klapper) Ltr to T Greene re Doc Preservation
85.  2010-02-22 FRBNY (S Leventhal) ltr to B Bondi re Doc Production
86.  2010-02-22 G Cohen ltr to OCC (J Williams) re Doc Request
87.  2010-02-22\_S McCleskey Ltr to T Greene re Credit Rating Agencies
88.  2010-02-23 B Bondi ltr to Citi (S Buergel) re CF and CM interviews
89.  2010-02-23 BofA (R Brown) ltr to G Cohen re Doc Production
90.  2010-02-23 Citi (S Buergel) ltr to B Bondi re Doc Request
91.  2010-02-23 FNMA (J Kilduff) ltr to C Seefer re Doc Request
92.  2010-02-23 FRBNY (S Leventhal) ltr to B Bondi re Doc Production
93.  2010-02-23 JPMorgan (B Karp) ltr to G Cohen - Production Cover Ltr
94.  2010-02-23 JPMorgan (BKarp) ltr to G Cohen re Doc Prod
95.  2010-02-23 JPMorgan (S Cutler) ltr to G Cohen re Feb 18 Doc Request
96.  2010-02-23 Reserve Management (C Clark) ltr to T Krebs re Doc Production
97.  2010-02-23 SEC (S Forstein) ltr to T Greene re Doc Production
98.  2010-02-24 Citi (S Buergel) ltr to B Bondi re Doc Production
99.  2010-02-24 Deloitte (C Davidow) ltr to C Seefer re Doc Confidentiality
100.  2010-02-24 G Cohen ltr to FHLMC (R Kelner) re Doc Request
101.  2010-02-24 JP Morgan (B Karp) ltr to G Cohen re Doc Production
102.  2010-02-24\_OCC (L Bridgman) Ltr to T Greene re Doc Production (FNMA and FHLMC)
103.  2010-02-25 BofA (R Brown) ltr to G Cohen re Doc Production
104.  2010-02-25 Deloitte (C Davidow) Ltr to C Seefer re Doc Prod
105.  2010-02-25 Doc Request to MARI
106.  2010-02-25 FNM (J Kilduff) ltr to C Seefer re Witness contact info
107.  2010-02-25 WFB (J Struther) ltr to C Seefer re producing docs
108.  2010-02-26 BofA (R Brown) ltr to G Cohen re Doc Production
109.  2010-02-26 Citi (S Buergel) ltr to B Bondi re Doc Production
110.  2010-02-26 D Crawford ltr to T Greene re Hearing One Follow-Up
111.  2010-02-26 DOJ (R Weich) Ltr to T Greene re DOJ Response to FCIC Doc Request
112.  2010-02-26 FHFA (A Pollard) ltr to C Seefer - cover ltr to doc prod
113.  2010-02-26 G Cohen ltr to BNY (R Dorado) re Doc Request
114.  2010-02-26 G Cohen ltr to FDIC (M Bradfield) re Doc Request
115.  2010-02-26 G Cohen ltr to FRB (D Caperton) re Doc Request
116.  2010-02-26 G Cohen ltr to JPMorgan (S Cutler) re Doc Request
117.  2010-02-26 G Cohen ltr to OCC (J Williams) re Doc Request
118.  2010-02-26 G Cohen ltr to OTS (D Dakin) re Doc Request
119.  2010-02-26\_Colorado AG Fax to T Greene re Extension of Time to Answer FCIC Inquiries
120.  2010-02-26\_G Cohen Ltr to FRB (D Caperton) re FRB and FCIC Doc Requests

D. 2010-03

1. 2010-03-01 Citi (B Karp) ltr re 10-02-12 Doc Request
2. 2010-03-01 Citi (S Buergel) ltr to B Bondi re Doc Request
3. 2010-03-01 Deloitte (C Davido) ltr to C Seefer re Doc Production
4. 2010-03-01 FRB Email to D Noonan re Doc Production
5. 2010-03-01 FRBNY (M Patrick) ltr to B Bondi re Doc Production
6. 2010-03-01 Goldman (G Palm) ltr Overview of residential mortgage-related products
7. 2010-03-01 J Dimon ltr re Hearing One Follow-Up
8. 2010-03-01 J Gordon Response to Hearing One Follow-Up
9. 2010-03-01 K Rosen ltr re Response to Hearing 1 Follow-Up
10. 2010-03-01\_FRB (D Caperton) Ltr to G Cohen re FRB Document Production
11. 2010-03-01\_K Bass Ltr to T Greene re Response to FCIC Request For Additional Info
12. 2010-03-02 Barclays (D Braff) Fax to T Greene re Notice to Preserve Docs and Data
13. 2010-03-02 Deloitte (C Davidow) ltr to C Seefer re Doc Request
14. 2010-03-02 G Cohen ltr to Barclays (D Braff) re Doc Preservation
15. 2010-03-02 G Cohen ltr to NY AG (G Fishman) re Confidentiality of Shared Space and Information
16. 2010-03-02 G Cohen ltr to OCC (J Williams) re Doc Request
17. 2010-03-02 Lexis (A Gaines) ltr to G Cohen re Doc Request
18. 2010-03-02 OCC (L Bridgman) Ltr to G Cohen re OCC Response to FCIC Doc Request
19. 2010-03-03 BNY Mellon (R Dorado) ltr to G Cohen re Doc Request
20. 2010-03-03 C Seefer Email re NEW CENTURY
21. 2010-03-03 FHLMC (R Kelner) ltr to G Cohen re Doc Request
22. 2010-03-03 FNMA (J Kilduff) ltr to C Seefer re COGR production
23. 2010-03-03 FNMA (J Kilduff) ltr to C Seefer re CRAM et al
24. 2010-03-03 FRB (D Caperton) ltr to G Cohen re Doc Request
25. 2010-03-03 G Cohen ltr to Lexis (A Gaines) re Doc Request
26. 2010-03-04 JPMorgan (S Cutler) ltr to G Cohen re Doc Request
27. 2010-03-05 Citi (S Buergel) ltr to B Bondi re Doc Production
28. 2010-03-05 DOT (M Gordon) ltr to G Cohen re Doc Production
29. 2010-03-05 M Schapiro Response re Hearing One Follow-Up
30. 2010-03-05 T Greene Ltr to FRB (D Caperton) re Document Production
31. 2010-03-06 Congresswoman Emerson ltr to Angelides and Thomas re Investigation request - Lippman - Vi
32. 2010-03-08 Citi (S Buergel) ltr to B Bondi re Doc Production
33. 2010-03-08 Citi (S Buergel) ltr to B Bondi re Doc Production
34. 2010-03-08 FNMA (J Kilduff) ltr to C Seefer re Doc Production
35. 2010-03-08 FRBNY (M Patrick) ltr 2 to B Bondi re Doc Request
36. 2010-03-08 FRBNY (M Patrick) ltr to B Bondi re Doc Request
37. 2010-03-08 G Cohen ltr to FDIC (P Nash) re Doc Request
38. 2010-03-08 Goldman (G Palm) ltr to T Greene re Doc Production
39. 2010-03-08 L Blankfein Response to Hearing One Follow-Up
40. 2010-03-09 Citi (S Buergel) ltr to B Bondi re Doc Production
41. 2010-03-09 LBI (S Cave) ltr to M Simhai re Doc Production
42. 2010-03-10 FRB (S Alvarez) ltr to G Cohen re Doc Request
43. 2010-03-10 G Cohen ltr to Federated (P Germaine) re Doc Production
44. 2010-03-10 G Cohen ltr to Fidelity (S Goebel) re Doc Production
45. 2010-03-10 G Cohen ltr to Moody's (S Ross) re Doc Production
46. 2010-03-10 G Cohen ltr to S& P (B Markley) re Doc Production
47. 2010-03-10 G Cohen to Citi (S Buergel) re Doc Production
48. 2010-03-10 Invitation Ltr - Greenspan
49. 2010-03-10 JPMorgan (B Karp) ltr to G Cohen re Doc Production
50. 2010-03-11 FDIC (P Nash) Ltr to T Greene re Response to Questions
51. 2010-03-11 FHLMC (R Kelner) ltr to G Cohen re Doc Production
52. 2010-03-11 Hearing Invite to D Mudd
53. 2010-03-11 Hearing Invite to J Lockhart
54. 2010-03-12 BofA (R Brown) ltr to G Cohen and C Seefer re Feb 12 Doc Request
























































55.  2010-03-12 Citi (S Buerger) ltr to B Bondi re Doc Production
56.  2010-03-12 Federated (P Germaine) ltr to G Cohen re Doc Production
57.  2010-03-12 FRBNY (M Patrick) ltr 2 to B Bondi Re Doc Production
58.  2010-03-12 FRBNY (M Patrick) ltr to B Bondi Re Doc Production
59.  2010-03-12 HUD (H Kanovsky) ltr to G Cohen re Doc Request
60.  2010-03-15 BNYM (A Fajardo) ltr to M Simhai re Doc Production
61.  2010-03-15 FDIC (L Bollea) Email to C Seefer re Doc Production
62.  2010-03-15 Fidelity (S Goebel) ltr to G Cohen re Doc Request
63.  2010-03-15 JPMorgan (B Karp) ltr to G Cohen re Doc Production
64.  2010-03-15 Morgan Stanley (R Yanes) ltr to G Cohen re Doc Production
65.  2010-03-15 NYSBD (M Gross) Ltr to T Greene re Request to Disclose NYSBD Confidential Material to FCI
66.  2010-03-15 S& P (B Markley) ltr to G Cohen re Doc Request
67.  2010-03-16 FCIC Hearing Invitation to John C. Dugan
68.  2010-03-16 FCIC Hearing Invitation to John D. Hawke
69.  2010-03-16 FCIC Ltr to OCC (J Williams) re Document Production
70.  2010-03-16 FRBNY (M Patrick) ltr to B Bondi re Doc Production
71.  2010-03-16 G Cohen ltr to Barclays (D Braff) re Doc Request
72.  2010-03-16 JPM (S Cutler) Ltr to T Greene re Response to Questions
73.  2010-03-16 JPMorgan (B Karp) ltr to G Cohen re Doc Production
74.  2010-03-17 FNMA (J Kilduff) ltr to C Seefer re Doc Production
75.  2010-03-18 Citi (B Karp) Ltr to B Bondi re CRA Interrogatory Responses
76.  2010-03-18 FNMA (J Kilduff) ltr to C Seefer re Doc Production
77.  2010-03-18 FRBNY (M Patrick) ltr 2 to B Bondi re Doc Production
78.  2010-03-18 FRBNY (M Patrick) ltr to B Bondi re Doc Production
79.  2010-03-18 G Cohen ltr to OTS (D Dakin) re Document Request
80.  2010-03-19 BNYMellon (A Fajardo) ltr to G Cohen re Doc Production
81.  2010-03-19 Citi (B Karp) Ltr to B Bondi re CitiMtg and CitiFncl Interrogatories
82.  2010-03-19 FCIC Doc Request to Mortgage Bankers Assn (A Lawrence)
83.  2010-03-19 Fidelity (M Jensen) to G Cohen re Doc Production
84.  2010-03-19 Morgan Stanley (R Yanes) ltr to G Cohen re Doc Production
85.  2010-03-22 Citi (B Karp) ltr 2 to B Bondi re Doc Production
86.  2010-03-22 Citi (B Karp) ltr to B Bondi re Doc Production
87.  2010-03-22 FNMA (J Kilduff) ltr to C Seefer re Doc production
88.  2010-03-22 G Cohen ltr to SEC (S Forstein) re Doc Request
89.  2010-03-22 G Theobald Response to Hearing One Follow-Up
90.  2010-03-22 Invitation Ltr to Charles Prince
91.  2010-03-22 Invitation Ltr to David Bushnell
92.  2010-03-22 Invitation Ltr to Murray Barnes
93.  2010-03-22 Invitation Ltr to Nestor Dominguez
94.  2010-03-22 Invitation Ltr to Patricia Lindsay
95.  2010-03-22 Invitation Ltr to Richard Bitner
96.  2010-03-22 Invitation Ltr to Richard Bowen
97.  2010-03-22 Invitation Ltr to Robert Levin
98.  2010-03-22 Invitation Ltr to Robert Rubin
99.  2010-03-22 Invitation Ltr to Susan Mills
100.  2010-03-22 Invitation Ltr to Thomas Lund
101.  2010-03-22 Invitation Ltr to Thomas Maheras
102.  2010-03-22 Morgan Stanley (R Yanes) Ltr to T Greene re Response to FCIC Follow-up Questions
103.  2010-03-23 Invitation Ltr to Armando Falcon
104.  2010-03-23 OCC (L Bridgman) ltr to G Cohen re Document Production
105.  2010-03-24 FNMA (J Kilduff) ltr to C Seefer re Doc Production
106.  2010-03-24 FNMA (M Walsh) email re Credit Related Expenses - By SF Loan Type
107.  2010-03-24 FNMA (M Walsh) email re SDQ Volume for Select Quarters in 2007
108.  2010-03-24 FNMA (M Walsh) eml re Lockhart presentation
109.  2010-03-24 G Cohen ltr to GE Capital (M Bopp) re Document Request



110.  2010-03-24 MBA (E Strunk) ltr to T Greene re Doc Production Response
  111.  2010-03-24 SEC (S Forstein) Ltr to T Greene re Doc Request related to Citigroup
  112.  2010-03-25 Federated (G Dulski) ltr to G Cohen re Doc Request
  113.  2010-03-25 G Cohen ltr to DOT (G Madison) re Disclosure of Non-Public Information
  114.  2010-03-25 G Cohen ltr to FHFA (A Pollard) re Disclosure of Non-Public Information
  115.  2010-03-25 G Cohen ltr to OCC (J Williams) re Disclosure of Non-Public Information
  116.  2010-03-25 Lehman (C Zalka) ltr to M Simhai re Doc Production
  117.  2010-03-25 MBIA Fax to T Greene re Response to FCIC Feb 9 Ltr
  118.  2010-03-25 OCC (L Bridgman) ltr to G Cohen re Doc Production
  119.  2010-03-26 FHLMC (R Kelner) ltr to G Cohen re Doc Production
  120.  2010-03-26 Fidelity (M Jensen) ltr to G Cohen re Doc Production
  121.  2010-03-26 FNMA (J Kilduff) ltr to G Cohen re Doc Production
  122.  2010-03-26 G Cohen ltr to JPMorgan (B Karp) re Doc Request & Bear Stearns
  123.  2010-03-26 MBA Ltr to T Greene re Response to FCIC Doc Production Request
  124.  2010-03-29 FNMA (J Kilduff) ltr to C Seefer re certain outstanding requests
  125.  2010-03-29 FRBNY (M Patrick) ltr 2 to B Bondi re Doc Production
  126.  2010-03-29 FRBNY (M Patrick) ltr to B Bondi re Doc Production
  127.  2010-03-29 GE (R Brown) ltr to G Cohen re Document Request
  128.  2010-03-29 JPMorgan (B Karp) ltr 2 to G Cohen re Doc Production
  129.  2010-03-29 JPMorgan (B Karp) ltr 3 to G Cohen re Doc Production
  130.  2010-03-29 JPMorgan (B Karp) ltr to G Cohen re Doc Production
  131.  2010-03-29 OCC (L Bridgman) ltr to G Cohen re Doc Production
  132.  2010-03-30 BAC Response to 2-2-10 FCIC Request
  133.  2010-03-30 BofA (R Brown) ltr to T Greene re Hearing One Follow-Up
  134.  2010-03-30 Citi (S Buergel) ltr to B Bondi re Doc Production
  135.  2010-03-30 G Cohen ltr to Charles Prince re Hearing Two Testimony
  136.  2010-03-30 G Cohen ltr to FRB (D Caperton) re Disclosure of Non-Public Information
  137.  2010-03-30 G Cohen ltr to Murray Barnes re Hearing Two Testimony
  138.  2010-03-30 G Cohen ltr to Nestor Dominguez re Hearing Two Testimony
  139.  2010-03-30 G Cohen ltr to Robert Rubin re Hearing Two Testimony
  140.  2010-03-30 G Cohen ltr to Susan Mills re Hearing Two Testimony
  141.  2010-03-30 G Cohen ltr to Thomas Maheras re Hearing Two Testimony
  142.  2010-03-30 MBA (D Schwartz) ltr to G Wahl re Doc Production
  143.  2010-03-30 Merrill (R Brown) ltr to T Krebs re Bear Stearns Asset Management Report
  144.  2010-03-30 OCC (L Bridgman) ltr to G Cohen re Document Production
  145.  2010-03-31 B Bondi Ltr to S Buergel (Citi) re Fourth Supplemental Request
  146.  2010-03-31 B Karp (Citi) Ltr to B Bondi re 2d and 3d Interrogatories
  147.  2010-03-31 B Markley (S&P) Ltr to B Bondi re document production
  148.  2010-03-31 B Markley (S&P) ltr to B Bondi re FOIA Confidential Treatment
  149.  2010-03-31 G Cohen ltr to Citi (S Buergel) re Disclosure of Non-Public Info
  150.  2010-03-31 G Cohen ltr to Fannie (J Kilduff) re Disclosure of Non-Public Information
  151.  2010-03-31 G Cohen ltr to Treasury (G Madison) re Follow-Up to Disclosure ltr
- E.  2010-04
1.  2010-04-01 Federated (S Keen) ltr to G Cohen re Doc Production
  2.  2010-04-01 G Cohen ltr to FRB (D Caperton) re Document Request
  3.  2010-04-01 JPMorgan (B Karp) ltr to G Cohen re Doc Production
  4.  2010-04-01 OCC ltr to B Dzivi re Document Production
  5.  2010-04-02 Email to D Caperton re Additional Document Per 3\_30 Letter
  6.  2010-04-02 Email to S buergel re Additional Document Per 3\_30 Letter
  7.  2010-04-02 FRB (D Caperton) Email to D Noonan re Doc Production
  8.  2010-04-02 FRBNY (M Patrick) ltr 2 to B Bondi re Doc Production
  9.  2010-04-02 FRBNY (M Patrick) ltr to B Bondi re Doc Production
  10.  2010-04-02 JPMorgan (B Karp) ltr to G Cohen re Doc Production
  11.  2010-04-05 Email to FINRA re Doc For Use in Hearing
  12.  2010-04-05 FNMA (J Kilduff) ltr to C Seefer re Doc Production



13.  2010-04-06 Barclays Fax re Document Preservation
14.  2010-04-06 FRBNY (M Patrick) ltr to B Bondi re Doc Production
15.  2010-04-06 JPMorgan (B Karp) ltr to G Cohen re Doc Production
16.  2010-04-06 S Buergel (Citi) Ltr to B Bondi re doc production
17.  2010-04-06 SEC Ltr to T Greene re March 22 Doc Request re Lehman and Bear Stearns
18.  2010-04-07 D Crawford ltr to T Greene re Hearing One Follow-Up
19.  2010-04-07 FDIC Ltr to G Cohen
20.  2010-04-07 FNMA (J Kilduff) ltr to C Seefer re lobbying, fraud, housing goals and fvr
21.  2010-04-07 JPMorgan (E Goldstein) ltr to G Cohen re Doc Production
22.  2010-04-08 FNMA (J Kilduff) ltr to C Seefer re IA reports, variance reports
23.  2010-04-08 FRBNY (M Patrick) ltr 2 to B Bondi re Doc Production
24.  2010-04-08 FRBNY (M Patrick) ltr to B Bondi re Doc Production
25.  2010-04-08 OCC (R Stearns) ltr to B Dizivi re Docs Requested in Interviews
26.  2010-04-09 Barclays (D Braff) ltr to G Cohen re Doc Production
27.  2010-04-09 FRBNY (M Patrick) ltr 2 to B Bondi re Doc Production
28.  2010-04-09 FRBNY (M Patrick) ltr to B Bondi re Doc Production
29.  2010-04-09 S& P (B Markley) ltr to B Bondi re Doc Production
30.  2010-04-09 SEC Ltr re March 22 FCIC Ltr Related to Lehman and Bear Stearns
31.  2010-04-10 FCIC ltr to R Levin
32.  2010-04-10 JPMorgan (E Goldstein) ltr to G Cohen re Doc Production
33.  2010-04-12 FCIC ltr to A Falcon
34.  2010-04-12 FCIC ltr to D Mudd
35.  2010-04-12 FCIC ltr to J Lockhart
36.  2010-04-12 G Cohen ltr to JPMorgan (B Karp) re Follow-Up Questions for Mike Alix
37.  2010-04-13 G Cohen ltr to MFA (S Kaswell) re FCIC and the MFA
38.  2010-04-13 G Cohen ltr to OTS (D Dakin) re Document Request
39.  2010-04-13 JPMorgan (E Goldstein) ltr to G Cohen re Doc Production
40.  2010-04-14 Angelides and Thomas ltr of response to Congresswoman Emerson re Investigation request -
41.  2010-04-14 B Bondi Ltr to SEC (M Cahn) re Moody's
42.  2010-04-14 FHLMC (R Kelner) ltr to G Cohen re Doc Production
43.  2010-04-14 G Cohen ltr to OTS (M Hinghston) re Doc Request
44.  2010-04-14 G Cohen ltr to SCION CAPITAL LLC (M Burry) re Doc Request
45.  2010-04-14 JPMorgan (E Godlstein) ltr to G Cohen re Doc Production
46.  2010-04-14 Moodys (S Ross) ltr to G Cohen re Doc Production
47.  2010-04-14 Moodys (S Ross) ltr to G Cohen re Doc Production (copy)
48.  2010-04-15 B Bondi Ltr to R Brown (Countrywide) re document request
49.  2010-04-15 B Bondi Thank You Ltr to Charles Prince
50.  2010-04-15 B Bondi Thank You Ltr to David Bushnell
51.  2010-04-15 B Bondi Thank You Ltr to Murray Barnes
52.  2010-04-15 B Bondi Thank You Ltr to Nestor Dominguez
53.  2010-04-15 B Bondi Thank You Ltr to Patricia Lindsay
54.  2010-04-15 B Bondi Thank You Ltr to Richard Bitner
55.  2010-04-15 B Bondi Thank You Ltr to Richard Bowen
56.  2010-04-15 B Bondi Thank You Ltr to Robert Rubin
57.  2010-04-15 B Bondi Thank You Ltr to Susan Mills
58.  2010-04-15 B Bondi Thank You Ltr to Thomas Maheras
59.  2010-04-15 Krebs Ltr to B Karp (Bear Stearns) re Interrogatories
60.  2010-04-15 Paulson Ltr to T Greene re Hearing Participation
61.  2010-04-16 E Holder ltr to G Cohen re Hearing One Follow-Up
62.  2010-04-16 FRB (D Caperton) Email re Doc Production
63.  2010-04-16 G Cohen ltr to AIG (M Berger) re Doc Request
64.  2010-04-16 G Cohen ltr to Goldman (R Klapper) re Doc Request
65.  2010-04-16 Invite Ltr to C Cox
66.  2010-04-16 Invite Ltr to K Cassidy
67.  2010-04-16 Invite Ltr to M Barber

68.  2010-04-16 Invite Ltr to S Meier
69.  2010-04-16 Invite Ltr to W Donaldson
70.  2010-04-16 Invite to H Paulson
71.  2010-04-16 Invite to T Geithner
72.  2010-04-16 JPMorgan (B Karp) ltr to G Cohen re Doc Production
73.  2010-04-16 OCC (L Bridgman) ltr to G Cohen re Doc Production
74.  2010-04-17 JPMorgan (B Karp) ltr to G Cohen re Doc Production
75.  2010-04-18 Krebs Ltr to B Karp (Bear Stearns) re Interrogatories
76.  2010-04-19 C Seefer ltr to F Philip
77.  2010-04-19 G Cohen ltr to JPMorgan (B Karp) re Request for Interviews and Responses to Interrogs
78.  2010-04-19 G Cohen ltr to OTS (M Hingston) re Doc Request
79.  2010-04-19 Invite Ltr to A Schwartz
80.  2010-04-19 Invite Ltr to B De Leon
81.  2010-04-19 Invite Ltr to D Kotz
82.  2010-04-19 Invite Ltr to E Sirri
83.  2010-04-19 Invite Ltr to J Cayne
84.  2010-04-19 Invite Ltr to M Neal
85.  2010-04-19 Invite Ltr to P Friedman
86.  2010-04-19 Invite Ltr to P McCulley
87.  2010-04-19 Invite Ltr to S Molinaro
88.  2010-04-19 Invite Ltr to T Marano
89.  2010-04-19 JPMorgan (E Goldstein) ltr to G Cohen re Doc Production
90.  2010-04-19 JPMorgan (R Miller) ltr to S Zuckerman re Doc Production
91.  2010-04-19 Moody's (S Ross) ltr to G Cohen re Doc Production
92.  2010-04-20 FRBNY (M Patrick) ltr to B Bondi re Doc Production
93.  2010-04-20 OCC (L Bridgman) ltr to S Zuckerman re Doc Production
94.  2010-04-21 B Moynihan (R Brown) Ltr to T Greene re Response to H1 Follow-up
95.  2010-04-21 C Seefer ltr to Magnetar (P Smith)1
96.  2010-04-21 E& Y (R Brown) ltr to T Burrus & J Lerner re Doc Production
97.  2010-04-21 FRBNY (M Patrick) ltr 2 to B Bondi re Doc Production
98.  2010-04-21 FRBNY (M Patrick) ltr to B Bondi re Doc Production
99.  2010-04-21 G Cohen ltr to BofA (R Brown) re Doc Request
100.  2010-04-21 G Cohen ltr to Deutsche Bank (R Walker)
101.  2010-04-21 G Cohen ltr to FDIC (P Nash) re FDIC Response to Treasury Survey
102.  2010-04-21 G Cohen ltr to FHFA (A Pollard) re FHFA Response to Treasury Survey
103.  2010-04-21 G Cohen ltr to FRB (D Caperton) re FRB Response to Treasury Survey
104.  2010-04-21 G Cohen ltr to FRBNY (S Leventhal) re FRBNY Response to Treasury Survey
105.  2010-04-21 G Cohen ltr to GE (R Brown) re Doc Request
106.  2010-04-21 G Cohen ltr to JPMorgan (B Karp) re Doc Request
107.  2010-04-21 G Cohen ltr to Merrill Lynch (R Brown)
108.  2010-04-21 G Cohen ltr to OCC (J Williams) re OCC Response to Treasury Survey
109.  2010-04-21 G Cohen ltr to OTS (M Hingston) re OTS Response to Treasury Survey
110.  2010-04-21 G Cohen ltr to SEC (S Forstein) re SEC Response to Treasury Survey
111.  2010-04-21 G Cohen ltr to Treasury (G Madison) re Doc Request
112.  2010-04-21 G Cohen ltr to Wells Fargo (S Flint) re Doc Request
113.  2010-04-21 Goldman Sachs (R Klapper) Ltr to T Greene re Spreadsheets Produced on Mar 8 to FCIC
114.  2010-04-21 JPMorgan (E Golstein) ltr to G Cohen re Doc Production
115.  2010-04-21 S Meier (R Brown) Ltr to T Greene re Hearing Participation
116.  2010-04-21 SEC (S Forstein) ltr to T Greene re Doc Production
117.  2010-04-22 BofA (R Brown) ltr to G Cohen re Doc Production
118.  2010-04-22 DOJ (R Weich) ltr to T Greene re 4-20 Meeting
119.  2010-04-22 OTS (M Hingston) ltr re Doc Production
120.  2010-04-22 S& P (B Markley) ltr to B Bondi re Doc Production
121.  2010-04-23 Angelides follow up letter to Congressmen Stearns and Israel re preliminary report
122.  2010-04-23 FDIC (M Becker) ltr to B Dzivi re Doc Production

123.  2010-04-23 FNMA (J Kilduff) ltr to C Seefer re Credit Supp, Goals, PLS and comp
124.  2010-04-23 FRBNY (M Patrick) ltr 2 to B Bondi Re Doc Production
125.  2010-04-23 FRBNY (M Patrick) ltr to B Bondi re Doc Request
126.  2010-04-23 Invite Ltr to W Spector
127.  2010-04-23 Moody's (S Ross) ltr to G Cohen re Doc Production
128.  2010-04-24 JPMorgan (E Goldstein) ltr to G Cohen re Doc Production
129.  2010-04-24 JPMorgan (J Carey) ltr to G Cohen re Doc Production
130.  2010-04-26
131.  2010-04-26 AIG (S Nettleton) ltr to D Noonan re Doc Production
132.  2010-04-26 E Goldstein ltr to TKrebs
133.  2010-04-26 FRB (D Caperton) Email to D Noonan re Doc Production
134.  2010-04-26 G Cohen ltr to ACA (S Berkowitz) re Doc Request
135.  2010-04-26 GE (R Brown) ltr to G Cohen re Doc Production
136.  2010-04-26 JPMorgan (E Goldstein) ltr to G Cohen re Doc Production
137.  2010-04-27 B of A and Merrill (Reg Brown) ltr to FCIC re Doc Production
138.  2010-04-27 BofA (R Brown) ltr to B Bondi re Doc Production
139.  2010-04-27 JPMorgan (E Goldstein) ltr to G Cohen re Doc Production
140.  2010-04-27 JPMorgan (E Goldstein) ltr to G Cohen re Mike Alix
141.  2010-04-27 L Madigan Hearing One Follow-Up
142.  2010-04-27 Moody's (S Ross) Ltr to B Bondi re doc production
143.  2010-04-27 Morgan Stanley (R Yanes) ltr to Seffer re Doc Production
144.  2010-04-27 S& P (B Markley) ltr to B Bondi re Doc Production
145.  2010-04-27 sloan\_letter (3)
146.  2010-04-28 DOJ (R Weich) Response to March 8 FCIC Email Request for Docs
147.  2010-04-28 FRBNY (M Patrick) ltr 2 to B Bondi re Doc Production
148.  2010-04-28 FRBNY (M Patrick) ltr 3 to B Bondi re Doc Production
149.  2010-04-28 FRBNY (M Patrick) ltr to B Bondi re Doc Production
150.  2010-04-28 G Cohen ltr to BofA (R Brown) re Doc Request
151.  2010-04-28 G Cohen ltr to Credit Suisse (N Radey) re Doc Request
152.  2010-04-28 G Cohen ltr to Federated (P Germaine) re Disclosure of Non Public Information
153.  2010-04-28 G Cohen ltr to GE (R Brown) re Disclosure of Non Public Information
154.  2010-04-28 G Cohen ltr to JPMorgan (B Karp) re Disclosure of Non Public Information
155.  2010-04-28 G Cohen ltr to JPMorgan (B Karp) re Doc Request
156.  2010-04-28 G Cohen ltr to Lehman (H Miller) re Doc Request
157.  2010-04-28 G Cohen ltr to Morgan Stanley (R Brown) re Doc Request
158.  2010-04-28 G Cohen ltr to SEC (S Forstein) re Disclosure of Non Public Information
159.  2010-04-28 G Cohen ltr to UBS (M Shelton) re Doc Request
160.  2010-04-28 G Cohen ltr to Wachovia (S Flint) re Doc Request
161.  2010-04-28 JPMorgan (E Goldstein) ltr to G Cohen re Doc Production
162.  2010-04-28 SEC (R Humes) ltr to T Greene re Doc Production
163.  2010-04-29 ACA (S Berkowitz) ltr to G Cohen re Doc Request
164.  2010-04-29 B Bondi ltr to Bear (B Karp) re Doc Request
165.  2010-04-29 B Bondi ltr to Deutsche (D Gallagher) re Doc Request
166.  2010-04-29 B Bondi ltr to Lehman (H Miller) re Doc Request
167.  2010-04-29 B Bondi ltr to Merrill (R Brown) re Doc Request
168.  2010-04-29 B Bondi ltr to WaMu (B Karp) re Doc Request
169.  2010-04-29 B Bondi ltr to Wells Fargo (R Kelner) re Doc Request
170.  2010-04-29 BofA (R Brown) ltr 2 to FCIC re Doc Request
171.  2010-04-29 BofA (R Brown) ltr to FCIC re Doc Production
172.  2010-04-29 FHFA Response to Treasury Survey
173.  2010-04-29 FRB (D Caperton) Email to D Noonan re Doc Production
174.  2010-04-29 JPMorgan (E Goldstein) ltr to G Cohen tr Doc Request
175.  2010-04-29 JPMorgan (E Goldstein) ltr to T Krebs re Doc Production
176.  2010-04-29 Letter from Chairman to Charalambakis
177.  2010-04-29 St. Denis (F Philip) ltr to G Cohen re Subpoena






















178.  2010-04-30 AIG (S Nettelton) ltr to D Noonan re Doc Production
  179.  2010-04-30 Follow Up Email to Peter Germain re Federate Documents to Disclose at Hearin
  180.  2010-04-30 Follow-Up Email to Brad Karp re Disclosure of JPMorgan\_ Bear Stearns Documents
  181.  2010-04-30 G Cohen ltr to 250 Capital (R Brown) re Doc Request
  182.  2010-04-30 G Cohen ltr to ACA (S Berkowitz) re Doc Request
  183.  2010-04-30 G Cohen ltr to Cohen & Company (R Fink) re Doc Request
  184.  2010-04-30 G Cohen ltr to GSC Group (E Rubinfeld) re Doc Request
  185.  2010-04-30 G Cohen ltr to Lehman (H Miller) re Doc Request
  186.  2010-04-30 G Cohen ltr to MKP (P Alcamo) re Doc Request
  187.  2010-04-30 G Cohen ltr to NIBC Credit Management (D Reisman)
  188.  2010-04-30 G Cohen ltr to Putnam Investments (F McNamara) re Doc Request
  189.  2010-04-30 G Cohen ltr to State Street (P Gillespie) re Doc Request
  190.  2010-04-30 G Cohen ltr to Vero (G Barbaresi) re Doc Request
  191.  2010-04-30 G Cohen ltr to Vertical Capital (T Pearce) re Doc Request
  192.  2010-04-30 Letter to Frederick Sheehan
  193.  2010-04-30 Moody's (S Ross) ltr to G Cohen re Doc Production
  194.  2010-04-30 SEC (R Humes) ltr to T Greene re Doc Production
  195.  2010-4-29 Letter to John Charalambakis
  196.  2010-4-29 Letter to Kirsten Xanthippe
- F.  2010-05
1.  2010-05-01 Deutsche (W Ricciardi) ltr to G Cohen re Doc Production
  2.  2010-05-01 G Cohen ltr to BofA (R Brown) re Disclosure of NonPublic Documents
  3.  2010-05-01 G Cohen ltr to FRBNY (S Leventhal) re Disclosure of NonPublic Documents
  4.  2010-05-03 D Noonan interview request letter for Gary Gorton
  5.  2010-05-03 D Noonan interview request letter to Ricciardi
  6.  2010-05-03 Email to SEC (S Forstein) re Documents for Use in H4
  7.  2010-05-03 Goldman (S& C - T Edelman) ltr to C Seefer re Doc Production
  8.  2010-05-03 JP Morgan Chase (S Cutler) ltr to T Greene re Hearing 1 Followup
  9.  2010-05-03 JPMorgan (E Goldstein) ltr 2 to G Cohen re Doc Request
  10.  2010-05-03 JPMorgan (E Goldstein) ltr to G Cohen re Doc Request
  11.  2010-05-03 S Ross (Moody's) ltr to B Bondi re Interrogatories
  12.  2010-05-03 W Ricciardi (DB) ltr to B Bondi re doc request
  13.  2010-05-04 David Teischer
  14.  2010-05-04 Email re Goldman Sachs Documents to be Used in 5\_5\_10 & 5\_6\_10 Hearing
  15.  2010-05-04 Email RE\_ Goldman Sachs Documents to be Used in 5\_5\_10 & 5\_6\_10 Hearing
  16.  2010-05-04 Goldman (T Edelman) ltr to M Simhai re Doc Production
  17.  2010-05-04 List of Bear Stearns FCIC Documents for 5\_5\_10-5\_6\_10 Hearing
  18.  2010-05-04 SEC (S Forstein) ltr to T Greene re Doc Production
  19.  2010-05-04 Vertical Capital (J Yoskowitz) ltr to G Cohen re Doc Request
  20.  2010-05-05 ACA (K Groskaufmanis) ltr to D Noonan re Doc Production
  21.  2010-05-05 AIG (S Nettleson) ltr to D Noonan re Doc Request
  22.  2010-05-05 B Bondi ltr to N Radey (Credit Suisse) re Ricciardi emails
  23.  2010-05-05 B Bondi ltr to R Brown (Merrill) re Ricciardi emails
  24.  2010-05-05 JPMorgan (E Goldstein) ltr to G Cohen re Doc Production
  25.  2010-05-05 MKP Capital Management (T DeVita) ltr to D Noonan re Doc Request
  26.  2010-05-06 Email re RE\_ FCIC Letter re Disclosure of Treasury Non-Public Info- Follow-Up
  27.  2010-05-06 Vero (G Barbaresi) ltr to C Seefer re Doc Production
  28.  2010-05-07 B Markley (S&P) ltr to B Bondi re doc production
  29.  2010-05-07 G Cohen ltr to Harding Advisory (J Yoskowitz) re Document Request
  30.  2010-05-07 G Cohen ltr to PSI (E Bean) re Doc Request
  31.  2010-05-07 G Cohen to NY State Insurance (M Lees) re Doc Request
  32.  2010-05-07 NIBC (D Reisman) ltr to C Seefer re Doc Production
  33.  2010-05-07 Putnam (J Tucker) ltr to D Noonan re Doc Production
  34.  2010-05-07 SEC (S Forstein) ltr to T Greene re Doc Production
  35.  2010-05-07 State Street (M Levy) re Doc Request























































36.  2010-05-08 BofA (R Brown) ltr to FCIC re Supp Resp to Feb 2 Letter
37.  2010-05-08 S Ross (Moody's) ltr to B Bondi re doc production 6
38.  2010-05-10 AIG (S Nettleton) ltr to D Noonan re Doc Production
39.  2010-05-10 FNMA (J Kilduff) ltr to C Seefer re Doc Production
40.  2010-05-10 GSC (A Lipman) ltr to G Cohen re Doc Production
41.  2010-05-10 Merrill Lynch (R Brown) ltr to FCIC re Doc Production
42.  2010-05-10 Merrill Lynch (R Brown) Ltr to W Edelberg re Doc Request
43.  2010-05-10 Moody's (S Ross) ltr to G Cohen re Doc Production
44.  2010-05-10 R Cloutier ltr re Hearing One Follow Up
45.  2010-05-10 S Nelles (Moody's) ltr to B Bondi re doc production 7'
46.  2010-05-11 AIG (S Nettleton) ltr to D Noonan re Doc Production
47.  2010-05-11 BofA (R Brown) ltr to B Bondi re Doc Production
48.  2010-05-11 Credit Suisse (N Radey) ltr to B Bondi re Doc Request
49.  2010-05-11 FRBNY (M Patrick) ltr to B Bondi re Doc Production
50.  2010-05-11 Goldman (T Edelman) ltr to C Seefer re Doc Production
51.  2010-05-11 Harding Advisory (S Molo) ltr to G Cohen re Doc Request
52.  2010-05-11 Morgan Stanley (J Morrill) ltr to K Shafer re Doc Production
53.  2010-05-11 S Nelles (Moody's) ltr to B Bondi re doc production 8
54.  2010-05-11 WF (R Kelner) ltr to C Seefer re Doc Production
55.  2010-05-12 AIG (S Nettleton) ltr to D Noonan re Doc Production
56.  2010-05-12 Deutsche Bank (W Ricciardi) ltr to B Bondi re Doc Production
57.  2010-05-12 Deutsche Bank (W Ricciardi) ltr to B Bondi re Doc Production (2)
58.  2010-05-12 G Cohen ltr to UBS (J Moon) re Doc Request
59.  2010-05-12 MKP (T DeVita) ltr to G Cohen re Doc Production
60.  2010-05-12 S Forstein (SEC) ltr to T Greene re Moody's doc production
61.  2010-05-13 250 Capital (R Brown) ltr to C Seefer re Doc Production
62.  2010-05-13 AIG (S Nettleton) ltr to D Noonan re Doc Prod
63.  2010-05-13 AIG (S Nettleton) ltr to D Noonan re Doc Prod
64.  2010-05-13 AIG (S Nettleton) ltr to D Noonan re Doc Production
65.  2010-05-13 BofA (R Brown) ltr to FCIC re Hearing One Follow-Up
66.  2010-05-13 D Noonan ltr to B Rohde re Park Interview
67.  2010-05-13 FRB (D Caperton) Email to D Noonan re Doc Production
68.  2010-05-13 G Cohen ltr to Markit (A Kansler) re Doc Request
69.  2010-05-13 GSC (D Ross) ltr to G Cohen re Doc Request
70.  2010-05-13 Harding Advisory (S Molo) ltr to C Cohen re Doc Prod
71.  2010-05-13 Scion Capital (J Ware) ltr to Seefer re Doc Production
72.  2010-05-13 State Street (M Levy) Ltr to Seefer re Doc Prod
73.  2010-05-14 ACA (K Groskaufmanis) ltr to D Noonan re Document Request
74.  2010-05-14 AIG (Nettleton) ltr to D Noonan re Doc Production
75.  2010-05-14 GS ltr re document request
76.  2010-05-14 GSC ltr to G Cohen re Document Production
77.  2010-05-14 S Nelles (Moody's) ltr to B Bondi re doc production 9
78.  2010-05-15 Lehman ltr re Document Production
79.  2010-05-17 Cohen ltr re document production
80.  2010-05-17 FRB ltr re application Nationsbank Merge BOA
81.  2010-05-17 Goldman (R Klapper) ltr to Seefer re doc prod
82.  2010-05-17 LBHI (L Sheridan) ltr to M Simhai re doc production
83.  2010-05-17 S Forstein (SEC) ltr to T Greene re Moody's doc production
84.  2010-05-17 S Nelles (Moody's) to B Bondi re doc production 10
85.  2010-05-18 G Cohen ltr to CII re Survey
86.  2010-05-18 G Cohen ltr to TWC re doc request
87.  2010-05-18 Goldman (R Klapper) ltr to Seefer re Doc Prod
88.  2010-05-18 Lehman ltr re doc request
89.  2010-05-18 Morgan Stanley (J Morrill) ltr to Seefer
90.  2010-05-19 AIG (S Nettleton) ltr to D Noonan re Doc Prod

91.  2010-05-19 B Bondi ltr to B Karp (Bear) re 2d Moody's request
92.  2010-05-19 B Bondi ltr to B Karp (JPM) re Moody's request
93.  2010-05-19 B Bondi ltr to H Miller (Lehman) re 2d Moody's request
94.  2010-05-19 B Bondi ltr to N Radey (CS) re 2d Moody's request
95.  2010-05-19 B Bondi ltr to R Brown (BofA) re Moody's request
96.  2010-05-19 B Bondi ltr to R Brown (Countrywide) re 2d Moody's request
97.  2010-05-19 B Bondi ltr to R Brown (Merrill) re 2d Moody's request
98.  2010-05-19 B Bondi ltr to R Kelner (WF) re 2d Moody's request
99.  2010-05-19 B Bondi ltr to S Buergel (Citi) re Moody's request
100.  2010-05-19 B Bondi ltr to W Ricciardi (DB) re 2d Moody's request
101.  2010-05-19 BofA (R Brown) ltr to FCIC re Hearing One Follow-Up
102.  2010-05-19 D Noonan ltr re C Gates Interview Request
103.  2010-05-19 Deutsche Bank (W Ricciardi) ltr to G Cohen re Doc Request
104.  2010-05-19 FRBNY ltr to B Bondi re doc Production
105.  2010-05-19 JPM (B Karp) Ltr to G Cohen re Doc Request
106.  2010-05-19 S Nelles (Moody's) ltr to G Cohen re doc production 11
107.  2010-05-20 ISDA (P Bresnan) ltr to C Seefer re Supp Production
108.  2010-05-20 LBHI (C Zalka) ltr to M Simhai
109.  2010-05-20 Magnetar (S Ross) ltr to C Seefer
110.  2010-05-20 Markit (M Davidson) re G Cohen Doct request and Confidentiality letter
111.  2010-05-20- UBS (J Chapman) to D Noonan re Doc request
112.  2010-05-21 BOA (R Brown) to B Bondi re Doc Production
113.  2010-05-21 C Seefer ltr to PwC (H Shapiro)
114.  2010-05-21 FMAC (R Kelner) ltr to G Cohen
115.  2010-05-21 Moodys (S Nelles) ltr to G Cohen re Subpoenas
116.  2010-05-21 S Forstein (SEC) ltr to W Edelberg re Moody's replacement disk
117.  2010-05-21 S Nelles (Moody's) ltr to G Cohen re doc production 15
118.  2010-05-21 S Nelles (Moody's) ltr to G Cohen re doc production 16
119.  2010-05-21 SEC (S Forstein) to T Greene re AIG
120.  2010-05-21AIG (S Nettleton) ltr to D Noonan re Doc request
121.  2010-05-22 AIG (S Nettleton) ltr to D Noonan re doc production
122.  2010-05-23 Goldman (R Klapper) ltr to Seefer re Doc Prod
123.  2010-05-24 BAC (R Brown) ltr to B Bondi re may 5 ltr
124.  2010-05-24 BOA, CWIDE, ML (R Brown) ltr to B Bondi re Moodys Employees
125.  2010-05-24 BOA, CWIDE, ML (R Brown) ltr to G Cohen re SAR
126.  2010-05-24 FRBNY (M Patrick) ltr to B Bondi re doc production
127.  2010-05-24 Goldman (R Klapper) ltr to Seefer re Doc Prod
128.  2010-05-24 Moodys (S Nelles) ltr to G Cohen re Subpoenas
129.  2010-05-25 E Goldstein (JPM) ltr to B Bondi re Moody's employees
130.  2010-05-25 G Cohen ltr to Citi (S Buergel) re rogs
131.  2010-05-25 G Cohen ltr to JP Morgan (E Goldstein) re rogs
132.  2010-05-25 G Cohen ltr to BOA (R Brown) re rogs
133.  2010-05-25 G Cohen ltr to Deutsche Bank (W Ricciardi) re rogs
134.  2010-05-25 G Cohen Ltr to Moodys re disclosure of Non public info
135.  2010-05-25 G Cohen Ltr to SEC (S Forstein) re disclosure of Non public info
136.  2010-05-25 G Cohen ltr to Wells Fargo (R Kelner) re rogs
137.  2010-05-25 Goldman (R Klapper) ltr to Seefer re Doc Prod
138.  2010-05-25 MKP (T De Vita) ltr to G Cohen re doc request 3
139.  2010-05-25 Moodys (S Nelles) ltr to G Cohenre doc request
140.  2010-05-25 PwC (R Brown) ltr to Seefer re Doc Prod
141.  2010-05-25 W Edelberg ltr to W Buffett
142.  2010-05-26 ACA (K Groskaufmanis) ltr to D noonan re Interogs and Doc Production
143.  2010-05-26 AIG (S nettleton) ltr to D Noonan re Doc request
144.  2010-05-26 B Karp (JPM) ltr to B Bondi re CRA
145.  2010-05-26 FRBNY (M Partick) Ltr to B Bondi re doc request

146.  2010-05-26 FW- Information Concerning Goldman Sachs CDOs
147.  2010-05-26 Goldman (R Klapper) ltr to Seefer re Doc Prod
148.  2010-05-26 Invitation Ltr to Brian Clarkson
149.  2010-05-26 Invitation Ltr to Eric Kolchinsky
150.  2010-05-26 Invitation Ltr to Gary Witt
151.  2010-05-26 Invitation Ltr to Mark Froeba
152.  2010-05-26 Invitation Ltr to Nicolas Weill
153.  2010-05-26 Invitation Ltr to Raymond McDaniel
154.  2010-05-26 Moodys (S Nelles) Ltr to G Cohen re Subpoenas
155.  2010-05-26 Wells Fargo (R Kelner) ltr ot B Bondi re Doc Production
156.  2010-05-26 WFB (R Kelner) ltr to Seefer re resp to Wachovia request
157.  2010-05-27 AIG (S Nettleton) ltr to D Noonan re Doc Prod
158.  2010-05-27 B Markey (S&P) ltr to B Bondi re doc production
159.  2010-05-27 DB (W Ricciardi) ltr to B Bondi re Doc request
160.  2010-05-27 G Cohen Ltr to J Sack re Follow-up to 05-19 FCIC Interview Request.doc
161.  2010-05-27 Magnetar (S Ross) ltr to Seefer re Doc Prod
162.  2010-05-27 Markit (M Davidson) ltr to G Cohen re Doc Production
163.  2010-05-27 R Brown (BofA) ltr to B Bondi re Moody's employees
164.  2010-05-27 R Brown (Countrywide) ltr to B Bondi re Moody's employees
165.  2010-05-27 R Brown (Merrill) ltr to B Bondi re Moody's employees
166.  2010-05-28 Credit Suisse (L Richards) ltr to Seefer re Doc Prod per 4-28 request
167.  2010-05-28 Ellington(J Polkes) ltr to C Seefer re Doc request
168.  2010-05-28 GS (R Klapper) ltr to C Seefer re Doc and Info
169.  2010-05-28 ML (R Brown) Ltr to C Seefer re Merrill Lynch
170.  2010-05-28 Moody's (S Nelles) ltr to G Cohen re Subpoenas
171.  2010-05-28 Morgan Stanley (R Yanes) ltr to Seefer Hearing One Follow-Up
172.  2010-05-28 Putnam (J Tucker) ltr to D Noonan re doc Request
173.  2010-05-30 Email re Disclosure of Moody's Corporation Non-Public Information
174.  2010-05-30 FW\_ Disclosure of Moody's Corporation Non-Public Information
175.  2010-05-30 Re\_ Moody's and SEC additional disclosure at the Wednesday hearing
176.  2010-05-31 PwC (Reg Brown) eml to C Seefer re Tim Ryan
177.  2010-5-26 B Karp lrt to G Cohen reg CRA
178.  2010-5-7 Letter to Starling Lawrence

G.  2010-06

1.  2010-06-01 Email RE\_ Corporate Library Use for Hearing
2.  2010-06-01 Email RE\_ Disclosure of Securities and Exchange Commission Non-Public Information
3.  2010-06-01 Email RE\_ SEC documents for Hearing
4.  2010-06-01 Montana Board of Investors to T Krebs (re rating agencies)
5.  2010-06-02 AIG (S Nettleton) ltr to D Noonan re Doc Production
6.  2010-06-02 FRBNY (M Patrick) ltr to B Bondi re Doc Production
7.  2010-06-02 ISDA (P Gluckow) ltr to Seefer re ISDA 6-09 Informal Dealer Poll
8.  2010-06-02 PwC (R Brown) ltr to Seefer re Doc per 5-21 Request
9.  2010-06-03 AIG (S Nettleton) ltr to D Noonan re Doc Production
10.  2010-06-03 C Seefer Doc Request to Caperton (FRB)
11.  2010-06-03 C Seefer Doc Request to J Williams (OCC)
12.  2010-06-03 C Seefer Doc Request to P Nash (FDIC)
13.  2010-06-03 Deutsche Bank Production
14.  2010-06-03 G Cohen Ltr to DTCC re doc production
15.  2010-06-03 Goldman (R Klapper) ltr to Seefer re Doc Production
16.  2010-06-03 WFB (R Kelner) ltr to B Bondi re Doc Production
17.  2010-06-04 FCIC ltr to J Lockhart
18.  2010-06-04 Follow-up Letter to J Lockhart (FHFA)
19.  2010-06-04 G O'Connell (CT AG) ltr to B Bondi re access request
20.  2010-06-04 Ltr S Nettleton (AIG) to D Noonan re Doc Production
21.  2010-06-04 Magnetar (S Ross) ltr to C Seefer re Doc Production

22.  2010-06-04 P Nash (FDIC) Fax to C Seefer re Doc Request
23.  2010-06-04 UBS (J Chapman) ltr to D Noonan re Doc Prod
24.  2010-06-04 UBS (J Chapman) ltr to D Noonan re Doc Production
25.  2010-06-07 AIG (S Nettleton) ltr to D Noonan re Doc Production
26.  2010-06-07 FCIC Doc Request to FNMA (J Kilduff)
27.  2010-06-07 PwC (R Brown) ltr to Seefer re Doc Prod per 5-21 request
28.  2010-06-08 AIG (Wu) ltr to D Noonan re Doc Prod
29.  2010-06-08 FNMA (J Kilduff) ltr to C Seefer re Doc Production
30.  2010-06-08 G Cohen ltr to Citi (S Buergel) re Doc Request
31.  2010-06-08 Merrill (R Brown) ltr to C Seefer re Doc Production
32.  2010-06-08 TCW (L Sun) ltr to C Seefer re Doc Production
33.  2010-06-09 AIG (S Nettleton) ltr to D Noonan Re Doc Production
34.  2010-06-09 B Karp (Citi) ltr to B Bondi re follow-up requests
35.  2010-06-09 Doc Request to S Leventhal (FRBNY)
36.  2010-06-09 PwC (R Brown) ltr to Seefer & Doc Prod Attached
37.  2010-06-09\_Ltr to Citi Re Follow-Up Questions to April 7-9 2010 Hearing
38.  2010-06-10 AIG (S Nettleton) ltr to D Noonan re Doc Production
39.  2010-06-10 Credit Suisse (D Zinman) ltr to D Noonan re Doc Production
40.  2010-06-10 FDIC (P Nash) ltr to C Seefer re Doc Production
41.  2010-06-10 FRBNY (M Patrick) ltr to B Bondi re Doc Production
42.  2010-06-10 Goldman (Klapper) ltr to Seefer re Doc Prod
43.  2010-06-10 Markit (M Davidson) ltr to B Sylvester re Doc Production
44.  2010-06-10 R Kelner (WFB) ltr to B Bondi re CRA Requests
45.  2010-06-10 SEC (S Forstein) ltr to (W Edelberg) re AIG
46.  2010-06-10 SEC (S Forstein) ltr to (W Edelberg) re QSPE
47.  2010-06-11 AIG (S Nettleton) ltr to D Noonan re Doc Production
48.  2010-06-11 B Karp (Citi) ltr to B Bondi re fmr Moody's employees
49.  2010-06-11 B Karp (Citi) ltr to C Seefer re synthetic CDO data
50.  2010-06-11 Credit Suisse (D Zinman) ltr to B Bondi re Doc Production
51.  2010-06-11 Deutsche (W Ricciardi) ltr to B Bondi re Doc Production
52.  2010-06-11 Goldman (Klapper) ltr to Seefer re Doc Prod
53.  2010-06-11 Magnetar (S Ross) ltr to C Seefer re Doc Production
54.  2010-06-11 PwC (R Brown) ltr to Seefer and Attached Production
55.  2010-06-11 SEC (S Forstein) ltr to W Edelberg re Doc Production
56.  2010-06-12 Goldman (Klapper) ltr to Seefer re Doc Prod
57.  2010-06-14 BofA (R Brown) ltr to B Bondi re Doc Production
58.  2010-06-14 G Cohen ltr to CFTC (T Karpoff) re Doc Request
59.  2010-06-14 G Cohen ltr to FDIC (P Nash) re Doc Request
60.  2010-06-14 G Cohen ltr to FINRA (G Callery) re Doc Request
61.  2010-06-14 G Cohen ltr to FRB (D Caperton) re Doc Request
62.  2010-06-14 G Cohen ltr to FRBNY (S Leventhal) re Doc Request
63.  2010-06-14 G Cohen ltr to OCC (J Williams) re Doc Request
64.  2010-06-14 G Cohen ltr to OTS (M Hingston) re Doc Request
65.  2010-06-14 G Cohen ltr to SEC (S Forstein) re Doc Request
66.  2010-06-14 Goldman (Klapper) ltr to Seefer re Doc Prod per Subpoena
67.  2010-06-14 Goldman Sachs (L Blankfein) Ltr to Chairman Angelides
68.  2010-06-14 OCC (L Bridgman) ltr to J Lerner re Doc Production
69.  2010-06-14 W Edelberg to A Kashyap re Forum follow up
70.  2010-06-14 W Edelberg to A Lusardi re Forum follow up
71.  2010-06-14 W Edelberg to C Mayer re Forum follow up
72.  2010-06-14 W Edelberg to D Jaffee re Forum follow up
73.  2010-06-14 W Edelberg to G Gorton re Forum follow up
74.  2010-06-14 W Edelberg to J Geanakoplos re Forum follow up
75.  2010-06-14 W Edelberg to M Brunnermeier re Forum follow up
76.  2010-06-14 W Edelberg to P O Gourinchas re Forum follow up


























































77.  2010-06-14 W Edelberg to R Kroszner re Forum follow up
78.  2010-06-15 AIG (S Nettleton) ltr to D Noonan re Doc Production
79.  2010-06-15 FRBNY (M Patrick) ltr to B Bondi re Doc Production
80.  2010-06-15 MKP (T DeVita) ltr to G Cohen re Doc Production
81.  2010-06-15 Putnam (J Tucker) ltr to D Noonan re Doc Production
82.  2010-06-16 FDIC (P Nash) fax to G Cohen re Doc Request
83.  2010-06-16 Federated ltr to G Cohen tr Shadow Banking PSR
84.  2010-06-16 FNMA (J Kilduff) ltr to C Seefer re Doc Production
85.  2010-06-16 L Martella (CT AG) ltr to B Bondi re Clayton Holdings
86.  2010-06-16 Merrill Lynch (R Brown) ltr to C Seefer re Doc Production
87.  2010-06-16 R Brown (BofA) ltr to B Bondi re CRA
88.  2010-06-17 AIG (S Nettleton) ltr to D Noonan re Doc Production
89.  2010-06-17 FINRA (G Callery) ltr to G Cohen re Doc Request
90.  2010-06-17 Freddie Mac (R Kelner) ltr to G Cohen re Doc Production
91.  2010-06-17\_D Mudd Response to 4-9-10 Hearing Follow-up Questions (copy)
92.  2010-06-17\_J Hawke Response to 4-8-10 Hearing Follow-up Questions
93.  2010-06-18 FRBNY (M Patrick) ltr 2 to B Bondi re Doc Production
94.  2010-06-18 FRBNY (M Patrick) ltr to B Bondi re Doc Production
95.  2010-06-18 Goldman (Klapper) ltr to Seefer re Subpoena response
96.  2010-06-18 Magnetar (S Ross) ltr to C Seefer re Doc Production
97.  2010-06-19 AIG (S Nettleton) ltr to D Noonan re Doc Production
98.  2010-06-21 AIG (S Nettleton) ltr to D Noonan re Doc Production
99.  2010-06-21 Goldman (R Klapper) ltr to C Seefer re Doc Production
100.  2010-06-21 UBS (J Chapman) ltr to D Noonan re Doc Production
101.  2010-06-22 AIG (S Nettleton) ltr to D Noonan re Doc Production
102.  2010-06-22 B Bondi Ltr to G Madison (Treasury) re Request for Electronic Mail
103.  2010-06-22 BofA (R Brown) ltr to G Cohen re Doc Production
104.  2010-06-22 FDIC (J Angel) ltr to G Cohen re Doc Production
105.  2010-06-22 G Cohen ltr to SEC (S Forstein) re Doc Request
106.  2010-06-23 B Karp (Citi) response to H2-3 Hearing Follow-Up Questions
107.  2010-06-23 G Cohen Ltr to A Jacobs (New Century) re Mortgage Interrogatories
108.  2010-06-23 G Cohen Ltr to A Siegel (IndyMac) re Mortgage Interrogatories
109.  2010-06-23 G Cohen Ltr to B Karp (Bear) re Mortgage Interrogatories
110.  2010-06-23 G Cohen Ltr to B Karp (Citi) re Mortgage Interrogatories
111.  2010-06-23 G Cohen Ltr to B Karp (JPM) re Mortgage Interrogatories
112.  2010-06-23 G Cohen Ltr to B Karp (WaMu) re Mortgage Interrogatories
113.  2010-06-23 G Cohen Ltr to B Woram (Option One) re Mortgage Interrogatories
114.  2010-06-23 G Cohen Ltr to C Zalka (Lehman) re Mortgage Interrogatories
115.  2010-06-23 G Cohen Ltr to D Braff (Barclays) re Mortgage Interrogatories
116.  2010-06-23 G Cohen Ltr to D Zinman (CS) re Mortgage Interrogatories
117.  2010-06-23 G Cohen Ltr to J Chapman (UBS) re Mortgage Interrogatories
118.  2010-06-23 G Cohen Ltr to J Kilduff (Fannie) re Mortgage Interrogatories
119.  2010-06-23 G Cohen Ltr to R Brown (BofA) re Mortgage Interrogatories
120.  2010-06-23 G Cohen Ltr to R Brown (Countrywide) re Mortgage Interrogatories
121.  2010-06-23 G Cohen Ltr to R Brown (Merrill) re Mortgage Interrogatories
122.  2010-06-23 G Cohen Ltr to R Kelner (Freddie) re Mortgage Interrogatories
123.  2010-06-23 G Cohen Ltr to R Kelner (Wachovia) re Mortgage Interrogatories
124.  2010-06-23 G Cohen Ltr to R Kelner (Wells Fargo) re Mortgage Interrogatories
125.  2010-06-23 G Cohen Ltr to R Klapper (GS) re Mortgage Interrogatories
126.  2010-06-23 G Cohen Ltr to R Yanes (Morgan Stanley) re Mortgage Interrogatories
127.  2010-06-23 G Cohen Ltr to S Goldfarb (RBS Greenwich Capital) re Mortgage Interrogatories
128.  2010-06-23 G Cohen Ltr to W Ricciardi (DB) re Mortgage Interrogatories
129.  2010-06-23 G Cohen Ltr to W Solomon (GMAC Residential Funding) re Mortgage Interrogatories
130.  2010-06-23 Rubin Response to Hearing Follow-Up
131.  2010-06-23\_A Greenspan Response to 4-7-10 Hearing Follow-up Questions



132.  2010-06-24 B Bondi Ltr to S Cohen (Clayton Holdings) re Interrogatories
133.  2010-06-24 Deutsche Bank (D Gallgher) ltr to G Cohen re Doc Production
134.  2010-06-24 FRB Email to J Lerner re Doc Production
135.  2010-06-24 FRBNY (M Patrick) ltr to B Bondi re Doc Production
136.  2010-06-24 OCC (L Bridgman) ltr to J Lerner re Doc Production
137.  2010-06-24 TY Letter - New School
138.  2010-06-25 A Stroupe (S Estes) ltr to G Wahl re Doc Production
139.  2010-06-25 G Cohen ltr to AIG (S Nettleton) re Disclosure of Non-Public Documents
140.  2010-06-25 G Cohen ltr to Cassano (J Walden) re Disclosure of Non-Public Documents
141.  2010-06-25 G Cohen ltr to Clayton (S Cohen) re Doc Request
142.  2010-06-25 G Cohen ltr to FRBNY (D Caperton) re Disclosure of Non-Public Documents
143.  2010-06-25 G Cohen ltr to Goldman (R Klapper) re Disclosure of Non-Public Documents
144.  2010-06-25 G Cohen ltr to OTS (M Hingston) re Disclosure of Non-Public Documents
145.  2010-06-25 G Cohen ltr to PwC (R Brown) re Disclosure of Non-Public Documents
146.  2010-06-25 G Cohen ltr to SEC (S Forstein) re Disclosure of Non-Public Documents
147.  2010-06-25 Magnetar (S Ross) ltr to C Seefer re Doc Production
148.  2010-06-25 RBS (S Goldfarb) ltr to G Cohen re Docs Request
149.  2010-06-26 AIG (S Nettleton) ltr to D Noonan re Doc Production
150.  2010-06-26 FRBNY (M Patrick) ltr 2 to B Bondi re Doc Production
151.  2010-06-26 FRBNY (M Patrick) ltr to B Bondi re Doc Production
152.  2010-06-28 AIG (S Nettleton) ltr 2 to D Noonan re Doc Production
153.  2010-06-28 AIG (S Nettleton) ltr 3 to D Noonan re Doc Production
154.  2010-06-28 AIG (S Nettleton) ltr to D Noonan re Doc Production
155.  2010-06-28 Bank of America (R Brown) ltr to FCIC re Doc Request
156.  2010-06-28 CFTC (L Richards) Email to J Smith re Doc Production
157.  2010-06-28 Countrywide (R Brown) ltr to FCIC re Doc Request
158.  2010-06-28 Email re Follow-Up Email re Disclosure of Cassano Documents
159.  2010-06-28 Email re Follow-Up Email re Disclosure of Goldman Documents
160.  2010-06-28 Email re Follow-Up Email re Disclosure of SEC Documents
161.  2010-06-28 Email RE\_ Follow-Up RE Disclosure of AIG Documents
162.  2010-06-28 Email to AIG re Follow-Up RE Disclosure of AIG Documents
163.  2010-06-28 FINRA (G Callery) ltr re Doc Production
164.  2010-06-28 FRB (D Caperton) email to J Lerner re Doc Production
165.  2010-06-28 G Cohen Email re Demonstratives Hearing Disclosure
166.  2010-06-28 GMAC (T Morano) ltr to G Cohen re Doc Request
167.  2010-06-28 Merrill Lynch (R Brown) ltr to FCIC re Doc Request
168.  2010-06-28 OCC (L Bridgman) email to J Smith re Doc Production
169.  2010-06-28 PWC (R Brown) ltr to FCIC Re Documents for Hearing
170.  2010-06-29 Email re Follow-Up Email re Disclosure of PwC Documents
171.  2010-06-29 Email re Follow-Up RE Disclosure of AIG Documents
172.  2010-06-29 Email re FW\_ Follow-Up Email re Disclosure of Goldman Documents
173.  2010-06-29 FRBNY (M Patrick) ltr to B Bondi re Doc Production
174.  2010-06-29 Goldman Sachs (R Klapper) ltr 2 to C Seefer re Doc Production
175.  2010-06-29 Goldman Sachs (R Klapper) ltr to C Seefer re Doc Production
176.  2010-06-29 Merrill Lynch (R Brown) ltr to C Seefer re Doc Production
177.  2010-06-29 SEC (S Forstein) ltr to W Edelberg re Doc Production
178.  2010-06-29 Vertical Capital (L Fass) ltr to G Cohen re Doc Production
179.  2010-06-29 W Ricciardi (DB) ltr to B Bondi re DB attorney contact change
180.  2010-06-30 Email re 3 documents we may use today
181.  2010-06-30 JPMorgan (B Karp) ltr to G Cohen re Doc Production
182.  2010-6-20 Letter to H. Douglas Nguyen
183.  2010-6-21 Letter to Lawrence Duffy
184.  2010-6-21 Letter to Rick & Karen Gallacgher
185.  2010-6-21 Letter to Russell Murray
186.  2010-6-24 Letter to Edwin McGuinn Jr

187.  2010-6-24 Letter to Roger Felter
188.  Email - 2010-06-28 J Walden (Cassano lawyer) Email to Seefer - FW Subject to confidentiality agreeme
- H.  2010-07
1.  2010-07-01 FDIC (P Nash) ltr to G Cohen re Doc Production
  2.  2010-07-01 Merrill Lynch (R Brown) ltr to FCIC re Doc Production
  3.  2010-07-02 FDIC (C Watts) ltr to C Seefer re Doc Production
  4.  2010-07-02 G Cohen ltr to S Beach (American Home Mtg) re Mortgage Interrogatories
  5.  2010-07-02 Lusardi Response to Forum Follow-Up
  6.  2010-07-03 FRB ( D Caperton) email to J Smieth re Document Production
  7.  2010-07-06 B Bondi ltr to R Delmar (Treasury IG) re meeting request
  8.  2010-07-06 FRBNY (M Patrick) ltr 2 to B Bondi re Doc Production
  9.  2010-07-06 FRBNY (M Patrick) ltr 3 to B Bondi re Doc Production
  10.  2010-07-06 FRBNY (M Patrick) ltr to B Bondi re Doc Production
  11.  2010-07-07 FRBNY (M Patrick) ltr 2 to B Bondi re Doc Production
  12.  2010-07-07 FRBNY (M Patrick) ltr 3 to B Bondi re Doc Production
  13.  2010-07-07 FRBNY (M Patrick) ltr to B Bondi re Doc Production
  14.  2010-07-07 G Cohen ltr to Barclays (D Braff) re Document Request
  15.  2010-07-07 G Cohen ltr to FRB (D Caperton) re Document Request
  16.  2010-07-07 G Cohen ltr to Lehman (C Zalka) re Document Request
  17.  2010-07-07 G Cohen ltr to OCC (J Williams) re Document Request
  18.  2010-07-07 G Cohen ltr to OTS (M Hingston) re Document Request
  19.  2010-07-07 G Cohen ltr to Wachovia (R Kelner) re Document Request
  20.  2010-07-07 Magnetar (S Ross) ltr to C Seefer re Doc Production
  21.  2010-07-07 OCC (R Stearns) ltr to J Smith re Doc Production
  22.  2010-07-07 Thank You Letter to C Broderick
  23.  2010-07-07 Thank You Letter to G Cohn
  24.  2010-07-07 Thank You Letter to J Cassano
  25.  2010-07-07 Thank You Letter to M Greenberger
  26.  2010-07-07 Thank You Letter to M Masters
  27.  2010-07-07 Thank You Letter to M Sullivan
  28.  2010-07-07 Thank You Letter to P Kyle
  29.  2010-07-07 Thank You Letter to R Lewis
  30.  2010-07-07 Thank You Letter to S Kohlhagen
  31.  2010-07-08 B Karp (Citi) ltr to B Bondi re super senior requests
  32.  2010-07-08 BofA (R Brown) ltr to B Bondi re CRA Request
  33.  2010-07-08 BofA (R Brown) ltr to B Bondi re Doc Production
  34.  2010-07-08 Dugan Testimony Follow-Up (copy)
  35.  2010-07-08 S Flynn ltr to DTCC (L Thompson) re Document Request
  36.  2010-07-09 B Bondi ltr to Citi (B Karp) re Document Request
  37.  2010-07-09 B Bondi ltr to JPMorgan (B Karp) re Document Request
  38.  2010-07-09 E Goldstein (JPMorgan) ltr to B Bondi re AMENDED CRA response
  39.  2010-07-09 FCIC ltr to Mr. Bensinger
  40.  2010-07-09 FCIC ltr to Mr. Dinallo
  41.  2010-07-09 FCIC ltr to Mr. Forster
  42.  2010-07-09 FCIC ltr to Mr. Gensler
  43.  2010-07-09 FCIC ltr to Mr. Habayeb
  44.  2010-07-09 FCIC ltr to Mr. Lee
  45.  2010-07-09 FCIC ltr to Mr. Lehman
  46.  2010-07-09 FCIC ltr to Mr. Viniar
  47.  2010-07-09 FDIC (P Nash) ltr to G Cohen re Doc Production
  48.  2010-07-09 FRBNY (M Patrick) ltr to B Bondi re Doc Production
  49.  2010-07-09 G Cohen ltr to Barclays (D Braff) re Document Request
  50.  2010-07-09 G Cohen ltr to BNP (B Whelchel) re Document Request
  51.  2010-07-09 G Cohen ltr to BofA (R Brown) re Document Request
  52.  2010-07-09 G Cohen ltr to Credit Suisse (D Zinman) re Document Request

53.  2010-07-09 G Cohen ltr to Deutsche (W Ricciardi) Re Document Request
54.  2010-07-09 G Cohen ltr to Lehman (C Zalka) re Document Request
55.  2010-07-09 G Cohen ltr to Merrill Lynch (R Brown) re Document Request
56.  2010-07-09 G Cohen ltr to Morgan Stanley (R Yanes) re Document Request
57.  2010-07-09 G Cohen ltr to RBS (S Goldfarb) re Document Request
58.  2010-07-09 G Cohen ltr to Soc Gen (S Heineman) re Document Request
59.  2010-07-09 G Cohen ltr to UBS (J Chapman) re Document Request
60.  2010-07-09 G Cohen ltr to Wachovia (R Kelner) re Document Request
61.  2010-07-09 Goldman (Klapper) ltr to Seefer re Replacement Doc Prod
62.  2010-07-12 C Seefer ltr to Citi (B Karp) re Document Request
63.  2010-07-12 C Seefer ltr to Goldman Sachs (R Klapper) re Document Request
64.  2010-07-12 C Seefer ltr to JPMorgan re Document Request
65.  2010-07-12 Citi (B Karp) ltr to B Bondi re Doc Production
66.  2010-07-12 FRBNY (M Patrick) ltr 2 to B Bondi re Doc Production
67.  2010-07-12 FRBNY (M Patrick) ltr to B Bondi re Doc Production
68.  2010-07-12 G Cohen ltr to HSBC (M Emerson) re Document Request
69.  2010-07-13 C Seefer ltr to OTS (M Hingston) re Doc Request
70.  2010-07-13 FRBNY (M Patrick) ltr to B Bondi re Doc Request
71.  2010-07-13 SEC ltr to W Edelberg re Countrywide Documents
72.  2010-07-13 Seefer ltr to Countrywide (R Brown) re Doc Request
73.  2010-07-14 BofA (R Brown) ltr to G Cohen re Doc Request
74.  2010-07-14 Citi (B Karp) ltr to B Bondi re Doc Request
75.  2010-07-14 Citi (B Karp) ltr to Seefer re confirmation of receipt of doc request
76.  2010-07-14 Deutsche Bank (W Ricciardi) ltr to G Cohen re Doc Request
77.  2010-07-14 FDIC (J Angel) ltr to C Seefer re Doc Production
78.  2010-07-14 FNMA (Kilduff) ltr to Seefer re Responses to 2-15 4-1 and 6-7 requests
79.  2010-07-14 FRBNY (M Patrick) ltr 2 to B Bondi re Doc Production
80.  2010-07-14 FRBNY (M Patrick) ltr 3 to B Bondi re Doc Production
81.  2010-07-14 FRBNY (M Patrick) ltr to B Bondi re Doc Production
82.  2010-07-14 Goldman Sachs (R Klapper) ltr to C Seefer re Doc Production
83.  2010-07-14 Goldman Sachs (R Klapper) ltr to C Seefer re Hearing Requests
84.  2010-07-14 Merrill Lynch (R Brown) ltr to G Cohen re Doc Request
85.  2010-07-14 RBS (J Brenner) ltr to G Cohen re Doc Request
86.  2010-07-14 Soc Gen (A Sabrin) ltr to G Cohen re Doc Request
87.  2010-07-15 Citi (B Karp) ltr to C Seefer re Doc Production
88.  2010-07-15 Countrywide (R Brown) ltr to FCIC re Doc Production
89.  2010-07-15 Countrywide (R Brown) ltr to FCIC re Doc Request
90.  2010-07-15 FDIC (J Angel) ltr to C Seefer re Doc Production
91.  2010-07-15 FRB (D Caperton) Email 2 to B McWilliams re Doc Production
92.  2010-07-15 FRB (D Caperton) Email to B McWilliams re Doc Production
93.  2010-07-15 HSBC (M Emerson) ltr to G Cohen re Doc Request
94.  2010-07-16 Email - FW Response to FCIC Hearing requests (copy)
95.  2010-07-16 Goldman (S Michaels) Email to Seefer - FW Response to FCIC Hearing requests
96.  2010-07-16 SEC (S Forstein) ltr to W Edelberg re Doc Production
97.  2010-07-19 FCIC Letter to Paul Krugman
98.  2010-07-19 FDIC (P Nash) ltr to C Seefer re Doc Request
99.  2010-07-19 FRB (D Caperton) Email to G Cohen re Doc Production
100.  2010-07-19 Lehman (C Zalka) ltr to B Bondi re Doc Production
101.  2010-07-19 Ltr to FDIC (Paul Nash) re Doc Request
102.  2010-07-19 Ltr to FHFA (A Pollard) re Doc Request
103.  2010-07-19 Ltr to FRB (D Caperton) re Doc Request
104.  2010-07-19 Ltr to FRBNY (S Leventhal) re Doc Request
105.  2010-07-19 Ltr to OCC (J Williams) re Doc Request
106.  2010-07-19 Ltr to OTS (M Hingston) re Doc Request
107.  2010-07-19 Ltr to SEC (S Forstein) re Doc Request

108.  2010-07-19 Ltr to Treasury (G Madison) re Doc Request
109.  2010-07-19 Magnetar (S Ross) ltr to C Seefer re Doc Production
110.  2010-07-19 S Mills & D Bushnell H23 Follow-Up (copy)
111.  2010-07-20 FRBNY (M Patrick) ltr 2 to B Bondi re Doc Production
112.  2010-07-20 FRBNY (M Patrick) ltr 3 to B Bondi re Doc Production
113.  2010-07-20 FRBNY (M Patrick) ltr 4 to B Bondi re Doc Production
114.  2010-07-20 FRBNY (M Patrick) ltr to B Bondi re Doc Production
115.  2010-07-20 FRBNY (M Patrick) ltr to S Zuckerman re Doc Production
116.  2010-07-20 Jaffee Response to Forum Follow-Up
117.  2010-07-20 Lehman (C Zalka) ltr to B Bondi re Doc Production
118.  2010-07-20 Wells Fargo (R Kelner) ltr to C Seefer re Doc Production
119.  2010-07-21 BofA (R Brown) ltr to T Krebs re Doc Production
120.  2010-07-21 FINRA (J Bauer) ltr to R Dodd re Doc Production
121.  2010-07-21 FRBNY (M Patrick) ltr to B Bondi re Doc Production
122.  2010-07-21 G Cohen Ltr to H Kanovsky (HUD)-CRA
123.  2010-07-21 GMAC (T Morano) ltr to G Cohen re Doc Production
124.  2010-07-21 Option One (B Brooks) ltr to T Krebs re Doc Production
125.  2010-07-22 C Seefer Ltr to Merrill (R Brown) re Doc Request
126.  2010-07-22 CS (L Richards) Ltr to B Bondi reg 6-23 Doc Request
127.  2010-07-22 FRBNY (M Patrick) ltr 2 to B Bondi re Doc Production
128.  2010-07-22 FRBNY (M Patrick) ltr to B Bondi re Doc Production
129.  2010-07-22 Goldman (Klapper) ltr to Seefer re Doc Prod
130.  2010-07-22 MKP Capital (T DeVita) ltr to G Cohen re Doc Production
131.  2010-07-22 Vertical Capital (L Fass) ltr to G Cohen re Doc Production
132.  2010-07-23 FNMA (J Kilduff) ltr to C Seefer re Doc Production
133.  2010-07-23 FRB (D Caperton) Email to B McWilliams re Doc Production
134.  2010-07-23 OCC (L Bridgman) ltr to C Seefer re Doc Production
135.  2010-07-23 RBS (R Brown) ltr to T Krebs re Doc Production
136.  2010-07-26 Citi (B Karp) ltr to C Seefer re Doc Production
137.  2010-07-26 Credit Suisse (L Richards) ltr to G Cohen re Doc Production
138.  2010-07-26 FNMA (J Kilduff) ltr to B Bondi re Doc Production
139.  2010-07-26 Goldman (R Klapper) ltr to C Seefer re Doc Production
140.  2010-07-26 Goldman (S Michaels) Email to Seefer re Response to FCIC Hearing requests
141.  2010-07-26 HSBC (R Brown) ltr to R Dodd re Doc Production
142.  2010-07-26 OTS (M Hingston) ltr to FCIC re Doc Production
143.  2010-07-26 Wachovia (R Kelner) ltr to C Seefer re Doc Production
144.  2010-07-27 Kroszner Resposne to Forum Follow Up
145.  2010-07-27 Merrill Lynch (R Brown) ltr to D Noonan re Doc Production
146.  2010-07-27 Merrill Lynch (R Brown) ltr to FCIC re Doc Request
147.  2010-07-28 Brunnermeier Response to Forum Follow Up
148.  2010-07-28 C Seefer Email to FDIC re Countrywide docs
149.  2010-07-28 C Seefer Email to SEC (S Forstein) re Countrywide Docs
150.  2010-07-28 FDIC (C Watts) ltr to C Seefer re Doc Production
151.  2010-07-28 FDIC (C Watts) ltr to G Cohen re Doc Poduction
152.  2010-07-28 FRB (D Caperton) email to B McWilliams re Doc Production
153.  2010-07-28 Goldman Sachs (R Klapper) ltr to C Seefer re Doc Production
154.  2010-07-28 JPMorgan (B Karp) ltr to C Seefer re Doc Production
155.  2010-07-28 JPMorgan (B Karp) ltr to G Cohen re Doc Production
156.  2010-07-28 Latham & Watkins ltr to T Krebs re Doc Production
157.  2010-07-29 C Seefer email to FDIC re Countrywide docs
158.  2010-07-29 Citi (B Karp) ltr to B Bondi re Doc Production
159.  2010-07-29 Clayton (M Rothenberg) ltr to T Borgers re Doc Production
160.  2010-07-29 FRB (D Caperton) Email to B McWilliams re Doc Production
161.  2010-07-29 FRBNY (M Patrick) ltr to B Bondi re Doc Production
162.  2010-07-29 G Cohen ltr to B Karp (JPM) reg Fair Value Acc

























































163.  2010-07-29 G Cohen ltr to J Chapman (UBS) reg Fair Value Acc
  164.  2010-07-29 G Cohen ltr to R Brown (BoA& ML) reg Fair Value Acc
  165.  2010-07-29 G Cohen ltr to R Kelner (Wachovia) reg Fair Value Acc
  166.  2010-07-29 G Cohen ltr to R Klapper (GS) reg Fair Value Acc
  167.  2010-07-29 G Cohen ltr to R Yanes (MS) reg Fair Value Acc
  168.  2010-07-29 G Cohen ltr to W Ricciardi (DB) reg Fair Value Acc
  169.  2010-07-29 Morgan Stanley (R Yanes) ltr to G Cohen re Doc Production
  170.  2010-07-29 RBS (R Brown) ltr to T Krebs re Doc Production
  171.  2010-07-29 SEC (S Forstein) ltr to W Edelberg re Doc Production
  172.  2010-07-29 Wachovia (R Kelner) ltr to C Seefer re Doc Production
  173.  2010-07-30 FDIC (J Angel) ltr to C Seefer re Doc Production
  174.  2010-07-30 FHLMC (R Kelner) ltr to G Cohen re Doc Production
  175.  2010-07-30 FRB ( D Caperton) Email re to B McWilliams re Doc Production
  176.  2010-07-30 J Lockhart ltr to FCIC re Hearing Follow up Responses
  177.  2010-07-30 Lehman (C Zalka) ltr to C Seefer re Doc Production
  178.  2010-07-30 Magnetar (S Ross) ltr to C Seefer re Doc Production
  179.  2010-07-30 Moodys (S Ehrenberg) ltr to B McWilliams re Hearing Follow Up
  180.  2010-07-30 OCC (L Bridgman) ltr 2 to C Seefer re Doc Production
  181.  2010-07-30 OCC (L Bridgman) ltr to C Seefer re Doc Production
  182.  2010-07-30 OTS (M Hingston) ltr to C Seefer re Doc Production
  183.  2010-07-30 SEC (S Forstein) ltr to W Edelberg re Doc Production
  184.  2010-07-30 Wells Fargo (R Kelner) Email to B Bondi re Doc Production
  185.  2010-07-31 FRBNY (M Patrick) ltrs to B Bondi re Doc Production
  186.  2010-7-14 Letter to Dr. Earl Blecher.
  187.  2010-7-14 Letter to Eric Preece
  188.  2010-7-14 Letter to Eric Preece
  189.  2010-7-14 Letter to Mark Zoeller
  190.  2010-7-14 Letter to Stuart Levene
  191.  2010-7-7 Letter to D. Michael Smith
  192.  2010-7-7 Letter to Robert Clouthier
  193.  2010-7-8 Letter to Alan Schaeffer
  194.  2010-7-8 Letter to David Thurman
  195.  2010-7-8 Letter to Lawrence Martin
  196.  2010-7-8 Letter to Leonard Scott
  197.  2010-7-8 Letter to R.N. Terrall
  198.  2010-7-9 Letter to Angel Borrás
  199.  2010-7-9 Letter to Dr. Xanthopoulos
  200.  2010-7-9 Letter to James Mathes
- I.  2010-08
1.  2010-08-02 American Home Mortgage (S Beach) ltr to B Bondi re Doc Production
  2.  2010-08-02 FDIC (C Watts) ltr to C Seefer re Doc Production
  3.  2010-08-02 FRBNY (M Paptrick) ltr 2 to B Bondi re Doc Production
  4.  2010-08-02 FRBNY (M Patrick) ltr 3 to B Bondi re Doc Production
  5.  2010-08-02 FRBNY (M Patrick) ltr 4 to B Bondi re Doc Production
  6.  2010-08-02 FRBNy (M Patrick) ltr to B Bondi re Doc Production
  7.  2010-08-02 HSBC (R Brown) ltr to R Dodd re Doc Production
  8.  2010-08-03 BofA & Merrill Lynch (R Brown) ltr to FCIC re Doc Request
  9.  2010-08-03 BofA-Countrywide (R Brown) ltr to T Krebs re Doc Production
  10.  2010-08-03 Deutsche Bank (W Ricciardi) ltr to G Cohen re Doc Request
  11.  2010-08-03 Email Document Request to Countrywide (R Brown) re documents produced to the SEC
  12.  2010-08-03 Goldman Email re Response to FCIC Doc Request 28
  13.  2010-08-04 Deutsche (W Ricciardi) ltr to G Cohen re Doc Production
  14.  2010-08-04 Goldman (S Fredman) Email re Response to FCIC Jan 28 Request
  15.  2010-08-04 Goldman (S Fredman) Email re Response to FCIC Request 28
  16.  2010-08-04 Wachovia (R Kelner) ltr to C Seefer re Doc Production

























































17.  2010-08-05 Goldman (S Fredman) Email re Response to FCIC 6-26 Request (2)
18.  2010-08-05 Goldman (S Fredman) Email to Seefer re Response to FCIC 6-26 Request
19.  2010-08-05 Goldman Sachs (R Klapper) ltr to C Seefer re Doc Production
20.  2010-08-05 Lehman (C Zalka) ltr to C Seefer re Doc Production
21.  2010-08-05 PIMCO (J Sopko) ltr to B McWilliams re Doc Production
22.  2010-08-05 SEC (Forstein) ltr to W Edelberg re Countrywide Production
23.  2010-08-05 SEC (S Forstein) ltr re Countrywide Prod per Seefer 7-28 request
24.  2010-08-05 State Street (R Brown) ltr to R Borzekowski re Doc Production
25.  2010-08-06 FRB (D Caperton) Email to B McWilliams re Doc Production
26.  2010-08-06 New Century (M Indelicato) ltr to G Cohen re Doc Production
27.  2010-08-06 OCC (L Bridgman) ltr to C Seefer re Doc Production
28.  2010-08-09 Deutsche Bank (R Brown) ltr to R Borzekowski re Doc Production
29.  2010-08-09 FDIC (J Angel) ltr to C Seefer re Doc Production
30.  2010-08-09 HSBC (R Brown) ltr to R Borzekowski re Doc Production
31.  2010-08-09 Lehman Brothers (C Zalka) ltr to C Seefer re Doc Production
32.  2010-08-09 Letter to Chairman Christopher Cox
33.  2010-08-09 Letter to Henry M. Paulson
34.  2010-08-09 Letter to Paul Friedman
35.  2010-08-09 Letter to Samuel Molinaro
36.  2010-08-09 Letter to Warren Spector
37.  2010-08-09 Wachovia (R Kelner) ltr to C Seefer re Doc Production
38.  2010-08-10 Follow-up Letter to T Geithner (DOT)
39.  2010-08-10 Goldman (S. Fredman) Email to Seefer re Response to FCIC Hearing Request
40.  2010-08-10 Moodys (S Ehrenberg) ltr to B McWilliams re Hearing Follow-Up
41.  2010-08-11 Countrywide (R Brown) ltr to C Seefer re Doc Production
42.  2010-08-11 Fannie Mae (Kilduff) ltr to Bondi re June 23 Rogs 2 3
43.  2010-08-11 Merrill Lynch (R Brown) ltr to D Noonan re Doc Production
44.  2010-08-11 SEC (S Forstein) ltr to W Edelberg re Countrywide documents
45.  2010-08-11 Wachovia (R Kelner) ltr to T Krebs re Doc Production
46.  2010-08-12 American Home Mortgage (M Greecher) ltr to T Krebs re Doc Production
47.  2010-08-12 FDIC (J Rymer) ltr to C Seefer re Doc Production
48.  2010-08-12 Goldman (S Fredman) Email to Seefer re Response to FCIC 6-26 Request
49.  2010-08-12 JPMorgan (J Carey) ltr to B Bondi re Moody Employees at JPMorgan
50.  2010-08-12 MKP Capital (T DeVita) ltr to G Cohen re Doc Production
51.  2010-08-13 BofA (R Brown) ltr to M Simhai re Doc Production
52.  2010-08-13 FRBNY (M Patrick) ltr to B Bondi re Doc Production
53.  2010-08-13 Goldman (S Fredman) Email to Seefer re Response to FCIC Request (9 & 10)
54.  2010-08-13 M Charleson email to C Morain re - Countrywide, Eric Flamhol -- Transmittal IV and Final
55.  2010-08-13 M Charleson Email to C Morain re - Countrywide, Eric Flamholtz
56.  2010-08-13 M Charleson Email to C Morain re - Countrywide, Eric Flamholtz, Transmittal III
57.  2010-08-13 M Charleson Email to C Morain re Countrywide, Eric Flamholtz -- Second Transmittal
58.  2010-08-13 Magnetar (S Ross) ltr to C Seefer re Doc Production
59.  2010-08-13 Merrill Lynch (R Brown) ltr to D Noonan re Doc Production
60.  2010-08-13 OCC (L Bridgman) ltr to C Seefer re Doc Production
61.  2010-08-13 RBS (R Brown) ltr to T Krebs re Doc Production
62.  2010-08-13 T Stanton ltr to FDIC (P Nash) re Document Request
63.  2010-08-13 T Stanton ltr to FRB (D Caperton) re Document Request
64.  2010-08-13 T Stanton ltr to FRBNY (S Leventhal) re Document Request
65.  2010-08-13 T Stanton ltr to OCC (J Williams) re Document Request
66.  2010-08-13 T Stanton ltr to OTS (M Hingston) re Document Request
67.  2010-08-13 Wachovia (R Kelner) ltr to C Seefer re Doc Production
68.  2010-08-13 Wachovia (R Kelner) ltr to T Krebs re Doc Production
69.  2010-08-16 BofA (R Brown) ltr to FCIC re Doc Requests
70.  2010-08-16 FRBNY (M Patrick) ltr 2 to B Bondi re Doc Production
71.  2010-08-16 FRBNY (M Patrick) ltr to B Bondi re Doc Production












72.  2010-08-17 Citi (C Hammerman) ltr to C Seefer re Doc Production
73.  2010-08-17 FRBNY (M Patrick) ltr to B Bondi re Doc Production
74.  2010-08-17 Moody's (S Ehrenberg) ltr to B McWilliams re Doc Production
75.  2010-08-17 PIMCO (J Sopko) ltr to B McWilliams re Doc Production
76.  2010-08-17 Wachovia (R Kelner) ltr to C Seefer re Doc Production
77.  2010-08-18 FRB (D Caperton) Email to B McWilliams re Doc Production
78.  2010-08-18 FRBNY (M Patrick) ltr 2 to B Bondi re Doc Production
79.  2010-08-18 FRBNY (M Patrick) ltr to B Bondi re Doc Production
80.  2010-08-18 Invitation Ltr, LV Field Hearing - Aguero
81.  2010-08-18 Invitation Ltr, LV Field Hearing - Burks
82.  2010-08-18 Invitation Ltr, LV Field Hearing - Hill
83.  2010-08-18 Invitation Ltr, LV Field Hearing - Martin
84.  2010-08-18 Invitation Ltr, LV Field Hearing - Satre
85.  2010-08-18 JPMorgan (E Goldstein) ltr to G Cohen re Doc Production
86.  2010-08-18 Lehman (C Zalka) ltr to B Bondi re Doc Production
87.  2010-08-18 Merrill Lynch (R Brown) ltr to D Noonan re Doc Production
88.  2010-08-18 Morgan Stanley (R Yanes) ltr to G Cohen re Doc Production
89.  2010-08-18 P Gourinchas ltr to FCIC re Forum Follow Up
90.  2010-08-18 Request to Interview Mr. De Silva
91.  2010-08-18 Request to Interview Mr. Kim (ML)
92.  2010-08-18 Request to Interview Mr. Margolis (ML)
93.  2010-08-18 Request to Interview Mr. Ricciardi (ML)
94.  2010-08-19 Goldman (S Fredman) Email to Seefer re Response to FCIC 6-26 request
95.  2010-08-20 BoA ltr to V Cunicelli (R Brown) reg CRA
96.  2010-08-20 Freddie Mac (R Kelner) ltr 2 to G Cohen re Doc Production
97.  2010-08-20 Freddie Mac (R Kelner) ltr to G Cohen re Doc Production
98.  2010-08-20 G Cohen ltr to B Karp(Citi) reg Portfolio Adjust
99.  2010-08-20 G Cohen ltr to B Karp(JPM) reg Portfolio Adjust
100.  2010-08-20 G Cohen ltr to Barclays (D Braff) re Survey
101.  2010-08-20 G Cohen ltr to Cantor Fitzgerald (S Merkel) re Survey
102.  2010-08-20 G Cohen ltr to Credit Suisse (D Zinman) re Survey
103.  2010-08-20 G Cohen ltr to D Zinman(CS) reg Portfolio Adjust
104.  2010-08-20 G Cohen ltr to Daiwa (H Wise) re Survey
105.  2010-08-20 G Cohen ltr to HSBC (R Brown) re Survey
106.  2010-08-20 G Cohen ltr to J Chapman(UBS) Portfolio Adjust
107.  2010-08-20 G Cohen ltr to L Mitau(US Bancorp) reg Portfolio Adjust
108.  2010-08-20 G Cohen ltr to Mizuho (E Tarlow) re Survey
109.  2010-08-20 G Cohen ltr to Nomura (D Findlay) re Survey
110.  2010-08-20 G Cohen ltr to R Brown(ML & BoA) reg Portfolio Adjust
111.  2010-08-20 G Cohen ltr to R Brown(PNC) reg Portfolio Adjust
112.  2010-08-20 G Cohen ltr to R Dorado(BNY Mellon) reg Portfolio Adjust
113.  2010-08-20 G Cohen ltr to R Kelner(Wells Fargo) reg Portfolio Adjust
114.  2010-08-20 G Cohen ltr to R Klapper(Goldman) reg Portfolio Adjust
115.  2010-08-20 G Cohen ltr to R Yanes(MS) reg Portfolio Adjust
116.  2010-08-20 G Cohen ltr to W Ricciardi(DB) reg Portfolio Adjust
117.  2010-08-20 Goldman (S Fredman) email to Seefer re Response 6-12 Request
118.  2010-08-20 JPMorgan (J Carey) ltr to G Cohen re Doc Production
119.  2010-08-20 JPMorgan (M Cipolla) ltr to G Cohen re Doc Production
120.  2010-08-20 OCC (L Bridgman) ltr to C Seefer re Doc Production
121.  2010-08-20 RBS (J Brenner) ltr to R Dodd re Doc Production
122.  2010-08-20 S& P (R Rendell) ltr to R Borzekowski re Doc Production
123.  2010-08-20 UBS (J Chapman) ltr to G Cohen re Doc Production
124.  2010-08-23 Citi (B Karp) ltr to G Cohen re Doc Request
125.  2010-08-23 Follow-up Letter to Gary Gensler.docx
126.  2010-08-23 Follow-up Letter to M Greenberger

























































127.  2010-08-23 G Cohen Ltr to L Mitau (US Bancorp) reg Portfolio Adjust
128.  2010-08-23 Goldman (S Fredman) Email to Seefer re Response to FCIC 6-12 Request
129.  2010-08-23 Goldman Sachs (R Klapper) ltr to B Hinkley re Doc Production
130.  2010-08-23 HSBC (R Brown) ltr to R Dodd re Doc Production
131.  2010-08-23 Merrill Lynch ltr to D Noonan re Doc Production
132.  2010-08-24 BofA & Merrill Lynch (R Borwn) ltr to G Cohen re Doc Request
133.  2010-08-24 BofA Countrywide ltr to Seefer re Kurland Interview Confidentiality
134.  2010-08-24 Deutsche Bank (H Boehning) ltr to G Cohen re Doc Request
135.  2010-08-24 Deutsche Bank (R Owens) ltr to T Krebs re Doc Production
136.  2010-08-24 FRBNY (M Patrick) ltr to B Bondi re Doc Production
137.  2010-08-24 Goldman (S Fredman) Email to Seefer re Response to FCIC 6-26 requests
138.  2010-08-24 Hearing Invite B Bernanke
139.  2010-08-24 Hearing Invite H Miller
140.  2010-08-24 Hearing Invite J Corston
141.  2010-08-24 Hearing Invite R Fuld
142.  2010-08-24 Hearing Invite R Steel
143.  2010-08-24 Hearing Invite S Alvarez
144.  2010-08-24 Hearing Invite S Bair
145.  2010-08-24 hearing Invite T Baxter
146.  2010-08-24 JPMorgan (E Goldstein) ltr to G Cohen re Broker Dealer Survey
147.  2010-08-25 BNY Mellon ltr to G Cohen re Doc Request
148.  2010-08-25 Hearing Invite S Black & B Zubrow
149.  2010-08-25 Moody's (S Ehrenberg) ltr to B McWilliams re Doc Production
150.  2010-08-25 Request to Interview Mr. O'Neal (ML)
151.  2010-08-25 Request to Interview Mr. Thain (ML)
152.  2010-08-26 Barclays (D Braff) ltr to G Cohen re Broker Dealer Survey
153.  2010-08-26 Deutsche Bank (R Owens) ltr to T Krebs re Doc Production
154.  2010-08-26 Follow-Up Letter to David Lehman
155.  2010-08-26 G Cohen ltr to FDIC (P Nash) re Disclosure of Non Public Information
156.  2010-08-26 G Cohen ltr to FRB (D Caperton) re Disclosure of Non Public Information
157.  2010-08-26 G Cohen ltr to FRBNY (S Leventhal) re Disclosure of Non Public Information
158.  2010-08-26 G Cohen ltr to Moody's (S Nelles) re Disclosure of Non Public Information
159.  2010-08-26 G Cohen ltr to OCC (J Williams) re Disclosure of Non Public Information
160.  2010-08-26 G Cohen ltr to Treasury (G Madison) re Disclosure of Non Public Information
161.  2010-08-26 G Cohen ltr to Wachovia (R Kelner) re Disclosure of Non Public Information
162.  2010-08-26 Magnetar (S Ross) ltr to C Seefer re Doc Production
163.  2010-08-26 Moodys (S Ehrenberg) ltr to B McWilliams re Doc Production
164.  2010-08-27 American Home Mortgage (M Greecher) ltr to T Krebs re Doc Production
165.  2010-08-27 BNP Paribas (B Whelchel) ltr to G Cohen re Doc Production
166.  2010-08-27 BofA (R Brown) ltr to G Cohen re Doc Production
167.  2010-08-27 Countrywide (R Brown) ltr to C Morain re Doc Production
168.  2010-08-27 JPMorgan (E Goldstein) ltr to Seefer re 8-26 Questions re TBTF Hearing
169.  2010-08-27 Merrill Lynch (R Brown) ltr to D Noonan re Doc Production
170.  2010-08-27 UBS (D Butswinkas) ltr to T Krebs re Doc Production
171.  2010-08-30 Countrywide (R Brown) ltr to C Morain re Doc Production
172.  2010-08-30 Follow-up Letter to Mr. Broderick
173.  2010-08-30 Follow-Up Letter to Mr. Cassano
174.  2010-08-30 G Cohen ltr to FRB (D Caperton) re Disclosure of Documents
175.  2010-08-30 G Cohen ltr to FRBNY (S Leventhal) re Disclosure of Documents
176.  2010-08-30 Merrill Lynch (R Brown) ltr to D Noonan re Doc Production
177.  2010-08-31 Email to FRB re Current List of Documents
178.  2010-08-31 Email to FRBNY RE\_ hearing documents
179.  2010-08-31 Email to Treasury RE\_ hearing documents
180.  2010-08-31 Follow-Up Letter to Mr. Lee
181.  2010-08-31 Follow-Up Letter to Mr. Viniar

- 182.  2010-08-31 RBS (R Brown) ltr to R Dodd re Doc Production
- 183.  2010-08-31 RBS (R Brown) ltr to T Krebs re Doc Production
- J.  Draft Letters
  - 1.  Hearing 1 Follow up Letters
    - a.  2010-02-03 Blankfein Follow Up Ltr 2
    - b.  Bass Hearing One follow up letter
    - c.  Cloutier Hearing One follow up letter
    - d.  Crawford Hearing One follow up letter
    - e.  Dimon Hearing 1 follow up Letter
    - f.  Gordon Hearing One follow up letter
    - g.  Mack Hearing 1 follow up letter
    - h.  Madigan Hearing One follow up letter
    - i.  Mayo Hearing One follow up letter
    - j.  Rosen Hearing One follow up letter
    - k.  Solomon Hearing One Follow up letter
    - l.  Suthers Hearing One follow up letter
    - m.  Theobald Hearing One follow up letter
  - 2.  Hearing 2-3 Follow-Up Letters
    - a.  2010-06-01 ltr to Kilduff obo FannieMae re H2-3 follow-up questions
    - b.  2010-06-01 Rogs to Fannie Mae in Follow-Up to Hearings 2-3v2
    - c.  2010-06-01\_ltr to DMudd Re Follow-Up Questions to 4-9-10 hearing
    - d.  2010-06-01\_ltr to DMudd Re Follow-Up Questions to 4-9-10 hearingv2
    - e.  2010-06-01\_ltr to RLevin Re Follow-Up Questions to 4-9-10 hearing
    - f.  2010-06-01\_ltr to RLevin Re Follow-Up Questions to 4-9-10 hearingv2
    - g.  2010-06-03\_Ltr to D Mudd Re Follow-Up Questions to 4-9-10 Hearing
    - h.  2010-06-03\_Ltr to R Levin Re Follow-Up Questions to 4-9-10 Hearing
    - i.  2010-06-04 Ltr to J Lockhart Re Follow-up Questions to 4-9-10 Hearing
    - j.  2010-06-07 Rogs to Fannie Mae in Follow-Up to Hearings 2-3
    - k.  2010-06-09\_Ltr to A Greenspan Re Follow-Up Questions to 4-7-10 Hearing
    - l.  2010-06-09\_Ltr to Citi Re Follow-Up Questions to April 7-9 2010 Hearing
    - m.  2010-06-09\_Ltr to J Dugan OCC Re Follow-Up Questions to 4-8-10 Hearing
    - n.  2010-06-09\_Ltr to J Hawke OCC Re Follow-Up Questions to 4-8-10 Hearing
  - 3.  Hearing 4 Correspondence
    - a.  2010-03-18 G Cohen ltr to OTS (D Dakin) re Doc Production
    - b.  Document Request Bear Repo
    - c.  Document Request to BONY
    - d.  Document Request to Fidelity
    - e.  Document Request to JPM (triparty repo)
    - f.  Reserve Primary Fund Document Request Letter
  - 4.  Hearing 5 (Derivatives) Correspondence
    - a.  2010-06-14 Hearing Invite to Martin Sullivan
    - b.  2010-06-14 Hearing Invite to Robert Lewis
    - c.  2010-06-15 Hearing Invite to Steven Bensinger
    - d.  2010-06-18 Hearing Invite to Eric Dinallo
    - e.  2010-06-18 Hearing Invite to Gary Gensler
    - f.  2010-06-22 Hearing Invite to Andrew Forster
    - g.  2010-06-22 Hearing Invite to Joseph Cassano
    - h.  2010-06-22 Hearing Invite to Michael Greenberger
    - i.  2010-06-22 Hearing Invite to Michael Masters
    - j.  2010-06-22 Hearing Invite to Peter Kyle
    - k.  2010-06-22 Hearing Invite to Steve Kohlhagen
    - l.  2010-06-23 Hearing Invite to Clarence Lee
    - m.  2010-06-23 Hearing Invite to David Broderick
    - n.  2010-06-23 Hearing Invite to David Lehman
    - o.  2010-06-23 Hearing Invite to David Viniar
    - p.  2010-06-23 Hearing Invite to Gary Cohn

- q.  2010-06-23 Hearing Invite to John Reich
- 5.  Hearing Credit Rating Agency Follow up
- 6.  Hearing Disclosure Letters
  - a.  2010-03-25 G Cohen ltr to DOT (G Madison) re Disclosure of Non-Public Information
  - b.  2010-03-25 G Cohen ltr to FHFA (A Pollard) re Disclosure of Non-Public Information
  - c.  2010-03-25 G Cohen ltr to OCC (J Williams) re Disclosure of Non-Public Information
  - d.  2010-03-30 G Cohen ltr to FRB (D Caperton) re Disclosure of Non-Public Information
  - e.  2010-03-31 G Cohen ltr to Citi (S Buerger) re Disclosure of Non-Public Info
  - f.  2010-03-31 G Cohen ltr to Fannie (J Kilduff) re Disclosure of Non-Public Information
  - g.  2010-03-31 G Cohen ltr to Treasury (G Madison) re Follow-Up to Disclosure ltr
  - h.  2010-04-28 G Cohen ltr to Federated (P Germaine) re Disclosure of Non Public Information
  - i.  2010-04-28 G Cohen ltr to GE (R Brown) re Disclosure of Non Public Information
  - j.  2010-04-28 G Cohen ltr to JPMorgan (B Karp) re Disclosure of Non Public Information
  - k.  2010-04-28 G Cohen ltr to SEC (S Forstein) re Disclosure of Non Public Information
  - l.  Bates numbers for SEC Docs
  - m.  Disclosure FRB NY
  - n.  document disclosure FED FCIC
  - o.  FW Current FRB Documents for use at the hearings
  - p.  FW Follow-Up Email to Brad Karp re Disclosure of JPMorgan Bear Stearns Documents- With Additions
  - q.  Re Additional Document Per 330 Letter
  - r.  RE FCIC Letter re Disclosure of Non-Public Info- Follow-Up
  - s.  RE Urgent--Disclosure of Documents
  - t.  Urgent--Disclosure of Documents
- 7.  Jeff Smith Rogs to Banks Re Mort Fraud
  - a.  2010-05-20 Draft B of A Interrogatories
  - b.  2010-05-20 Draft Rogs to Citi
  - c.  2010-05-20 Draft Rogs to Deutsche
  - d.  2010-05-20 Draft Rogs to JPMorgan
  - e.  2010-05-20 Draft Wells Fargo Rogs
  - f.  5-24-10 Letter to Deutsche Bank to Rescind 5-18-10 Rogs
  - g.  5-24-10 Letter to JP Morgan to Rescind 5-18-10 Rogs
  - h.  5-24-10 Letter2 Recission of 5-18-10 Rogs to BoA
  - i.  5-24-10 Letter2 to Deutsche Bank to Rescind 5-18-10 Rogs
  - j.  5-24-10 Recission of 5-18-10 Rogs to BoA
- 8.  Rogs to Bank Regulators
  - a.  2010-05-26\_Interrogatories\_FINRA\_Derivatives
  - b.  2010-05-26\_Interrogatories\_OTC\_Derivatives
  - c.  2010-05-26\_Interrogatories\_SEC\_Derivativesv2
  - d.  2010-06-03\_Interrogatories\_CFTC\_Derivatives
  - e.  2010-06-03\_Interrogatories\_FDIC\_Derivatives
  - f.  2010-06-03\_Interrogatories\_FINRA\_Derivatives
  - g.  2010-06-03\_Interrogatories\_OCC\_Derivatives
  - h.  2010-06-03\_Interrogatories\_OTC\_Derivatives
  - i.  2010-06-03\_Interrogatories\_SEC\_Derivativesv2
  - j.  OTS\_5-18-10
- 9.  Thank You Letters
  - a.  2010-1-15- Thank you ltr to Bass
  - b.  2010-1-15- Thank you ltr to Cloutier
  - c.  2010-1-15- Thank you ltr to Gordon
  - d.  2010-1-15 Thank you ltr to Madigan
  - e.  2010-1-15- Thank you ltr to Mayo
  - f.  2010-1-15- Thank you ltr to Rosen
  - g.  2010-1-15- Thank you ltr to Solomon
  - h.  2010-1-15- Thank you ltr to Suthers
  - i.  2010-1-15 Thank you ltr to Theobald
  - j.  2010-1-15- Thank you ltr to Zandi

- k.  2010-1-20- Thank you ltr to Bair
  - l.  2010-1-20- Thank you ltr to Blankfein
  - m.  2010-1-20- Thank you ltr to Breuer
  - n.  2010-1-20- Thank you ltr to Crawford
  - o.  2010-1-20- Thank you ltr to Dimon
  - p.  2010-1-20- Thank you ltr to Holder
  - q.  2010-1-20- Thank you ltr to Mack
  - r.  2010-1-20- Thank you ltr to Moynihan
  - s.  2010-1-20- Thank you ltr to Schapiro
10.  06-30-2010 Letter to Michael J. Halloran
  11.  2008-03-26 Email from Paul Friedman to Wayne Buchan, et al. re Thornburg Mortgage Deal Pricing
  12.  2009-12-15 Doc Request Ltr to FRBNY
  13.  2009-12-18 Confirmation letter to L Madigan
  14.  2009-12-18 Confirmation letter to M Whitney
  15.  2009-12-21 Confirmation letter to G Theobald
  16.  2009-12-21 Confirmation letter to G Theobald
  17.  2009-12-21 Confirmation letter to K Bass
  18.  2009-12-21 Confirmation letter to K Rosen
  19.  2009-12-21 Confirmation letter to K Rosen
  20.  2009-12-21 Confirmation letter to L. Madigan
  21.  2009-12-21 Confirmation letter to L\_ Madigan
  22.  2009-12-21 Confirmation letter to M Whitney
  23.  2009-12-21 Confirmation letter to M Whitney
  24.  2009-12-23 Confirmation letter to C Whalen
  25.  2009-12-23 Confirmation letter to K Bass
  26.  2009-12-23 Confirmation letter to L Fink
  27.  2009-12-23 Confirmation letter to L Fink - version names banks
  28.  2009-12-23 Confirmation letter to P Fisher
  29.  2009-12-23 Confirmation letter to P Fisher - version names banks
  30.  2009-12-23 Confirmation letter to P Solomon
  31.  2009-12-23 Confirmation letter to P Solomon - version names banks
  32.  2009-12-23 Confirmation letter to W Ackman
  33.  2009-12-23 Confirmation letter to W Ackman - version names banks
  34.  2009-12-28 Crawford Letter
  35.  2009-12-28 Rosen Letter
  36.  2009-12-28 Theobald Letetr
  37.  2009-12-28 Zandi Letter
  38.  2009-12-29 Ltr to Bass
  39.  2009-12-29 Ltr to Rosen
  40.  2009-12-29 Ltr to Solomon
  41.  2009-12-29 Ltr to Suthrs
  42.  2009-12-31 Confirmation letter to M Mayo
  43.  2010.02.12 B Bondi Ltr to NYFed re Document Production
  44.  2010-02-01 Draft Doc Demand to FHFA
  45.  2010-02-01 Draft Doc Demand to FRB
  46.  2010-02-01 Draft Doc Demand to FRBNY
  47.  2010-02-01 Draft Doc Demand to Treasury
  48.  2010-02-02 Draft Doc Demand to DOJ
  49.  2010-02-02 Draft Doc Demand to DOL (Greenfield)
  50.  2010-02-02 Draft Doc Demand to SEC
  51.  2010-02-02 T Greene Ltr to B Moynihan
  52.  2010-02-02 T Greene Ltr to J Dimon
  53.  2010-02-02 T Greene Ltr to Morgan Stanley (J Mack)
  54.  2010-02-03 Draft Doc Demand to ISDA (Tew Darras)
  55.  2010-02-03 Follow up letter to SEC

56.  2010-02-04 Blankfein Follow Up Ltr 3
57.  2010-02-04 Moynihan Follow Up Ltr 2
58.  2010-02-05 Dimon Follow Up Ltr 2
59.  2010-02-05 Doc Demand to Bank of America(2)
60.  2010-02-05 Doc Demand to Goldman Sachs
61.  2010-02-05 Doc Demand to JP Morgan (2)
62.  2010-02-05 Doc Demand to Morgan Stanley(2)
63.  2010-02-05 Mack Follow Up Ltr 2
64.  2010-02-08 Citi Production Format Specs Ltr DRAFT
65.  2010-02-08 Doc Demand to Morgan Stanley
66.  2010-02-08 Draft Doc Demand to FDIC
67.  2010-02-08 Draft Doc Demand to OCC
68.  2010-02-09 Doc Demand to BofA
69.  2010-02-09 Doc Demand to Deloitte
70.  2010-02-09 Doc Demand to Goldman Sachs (3)
71.  2010-02-09 Doc Demand to JPM
72.  2010-02-09 Doc Demand to WFB
73.  2010-02-10 B Bondi Ltr to Citi (S Buergel) THIRD Doc Request
74.  2010-02-11 Doc Demand to Bank of America
75.  2010-02-11 Draft Doc Demand to HUD
76.  2010-02-12 B Bondi Ltr to Citi (S Buergel) THIRD Doc Request
77.  2010-02-12 Draft Doc Demand to FHFA
78.  2010-02-12 Draft Doc Demand to HUD
79.  2010-02-17 Doc Demand to Goldman Sachs
80.  2010-02-17 Doc Demand to Paulson & Co
81.  2010-02-18 Doc Demand to Barclays (Lehman)
82.  2010-02-18 Doc Demand to Barclays (Lehman)
83.  2010-02-18 Doc Demand to JPM (Bear Stearns)
84.  2010-02-18 Doc Demand to JPM (Bear Stearns)
85.  2010-02-18 Doc Demand to Merrill Lynch
86.  2010-02-18 Doc Demand to Weil Gotshall (Lehman)
87.  2010-02-18 Doc Demand to Weil Gotshall (Lehman)
88.  2010-02-18 TKrebs Ltr to Dr. David G. Bonner - Retirement Systems of Alabama
89.  2010-02-22 B Bondi Ltr to Citi (S Buergel) re CF and CM interview request
90.  2010-02-22 Draft Doc Request to MARI
91.  2010-02-22 G Cohen Ltr to OCC (J Williams) re Doc Request (2)
92.  2010-02-23 B Bondi Ltr to Citi (S Buergel) re CF and CM interviews DRAFT
93.  2010-02-24 Draft Doc Demand to FHLMC
94.  2010-02-25 G Cohen Ltr to FDIC (M Bradfield) re Doc Production
95.  2010-02-26 G Cohen Ltr to FDIC (M Bradfield) re Doc Production
96.  2010-02-26 G Cohen Ltr to FRB (D Caperton) re Doc Production
97.  2010-02-26 G Cohen Ltr to OCC (J Williams) re Doc Production
98.  2010-02-26 G Cohen Ltr to OTS (D Dakin) re Doc Production
99.  2010-02-26\_G Cohen Ltr to FRB (D Caperton) re FRB and FCIC Doc Requests
100.  2010-03-01 Draft Doc Demand to FRB Dave Caperton (Greenspan)
101.  2010-03-02 Draft Invitation Letter to Greenspan
102.  2010-03-02 G Cohen Ltr to Barclays (D Braff) re Doc Preservation
103.  2010-03-02 G Cohen Ltr to FINRA (J Shorris) re Doc Production
104.  2010-03-02 G Cohen Ltr to OCC (J Williams) re Doc Request
105.  2010-03-03 DRAFT Hearing One Follow Up Letter
106.  2010-03-03 G Cohen Ltr to Lexis (A Gaines) re Doc Request
107.  2010-03-04 Follow up Request to FNMA after David Johnson Interview
108.  2010-03-05 T Greene Ltr to FRB Dave Caperton (Greenspan)
109.  2010-03-08 DRAFT Hearing Invite to D Mudd
110.  2010-03-08 DRAFT Hearing Invite to J Lockhart

111.  2010-03-10 G Cohen ltr to Citi (S Buergel) re Doc Production
112.  2010-03-10 G Cohen ltr to Federated (P Germaine) re Doc Production
113.  2010-03-10 G Cohen ltr to Fidelity (S Goebel) re Doc Production
114.  2010-03-10 G Cohen ltr to Moody's (S Roos) re Doc Production
115.  2010-03-10 G Cohen ltr to S& P (B Markley) re Doc Production
116.  2010-03-15 B Bondi ltr to Citi (S Buergel) re delinquency and default rates
117.  2010-03-15 Invitation Ltr to Former OCC Comptroller John D. Hawke
118.  2010-03-15 Invitation Ltr to OCC Comptroller John C. Dugan
119.  2010-03-16 FCIC Ltr to OCC (J Williams) re Document Production
120.  2010-03-18 Draft Doc Request to Mortgage Bankers Assn (MBA)
121.  2010-03-19 DRAFT Hearing Invite Enrico Dallavecchia
122.  2010-03-19 DRAFT Hearing Invite Robert Levin
123.  2010-03-19 DRAFT Hearing Invite to A Kelly
124.  2010-03-19 DRAFT Hearing Invite to C Dickerson
125.  2010-03-19 DRAFT Hearing Invite to E DeMarco
126.  2010-03-19 DRAFT Hearing Invite to J Kerr
127.  2010-03-19 DRAFT Hearing Invite Tom Lund
128.  2010-03-19 FCIC Doc Request to Mortgage Bankers Assn (A Lawrence)
129.  2010-03-22 DRAFT Hearing Invite to A Falcon
130.  2010-03-22 DRAFT Hearing Invite to E DeMarco
131.  2010-03-22 Invitation Ltr to Charles Prince
132.  2010-03-22 Invitation Ltr to David Bushnell
133.  2010-03-22 Invitation Ltr to Murray Barnes
134.  2010-03-22 Invitation Ltr to Nestor Dominguez
135.  2010-03-22 Invitation Ltr to Patricia Lindsay
136.  2010-03-22 Invitation Ltr to Richard Bitner
137.  2010-03-22 Invitation Ltr to Richard Bowen
138.  2010-03-22 Invitation Ltr to Robert Levin
139.  2010-03-22 Invitation Ltr to Robert Rubin
140.  2010-03-22 Invitation Ltr to Susan Mills
141.  2010-03-22 Invitation Ltr to Thomas Lund
142.  2010-03-22 Invitation Ltr to Thomas Maheras
143.  2010-03-23 Invitation Ltr to Armando Falcon
144.  2010-03-31 B Bondi Ltr to S Buergel (Citi) re Fourth Supplemental Request
145.  2010-03-31 B Bondi Ltr to S Buergel re Outstanding Interrogatories
146.  2010-04-01 G Cohen ltr to MFA (S Kaswell)
147.  2010-04-12 Thank you letters
148.  2010-04-13 Draft Doc Demand to OTS
149.  2010-04-13 G Cohen ltr to OTS (D Dakin) re Doc Production
150.  2010-04-14 B Bondi Ltr to SEC (M Cahn) re Moody's
151.  2010-04-14 Doc Demand to FrontPoint
152.  2010-04-14 Doc Demand to JPMorgan
153.  2010-04-14 Draft Doc Demand to Cornwall
154.  2010-04-14 Thank You Letters to Citigroup H2 Witnesses
155.  2010-04-15 B Bondi Ltr to R Brown (Countrywide) re document request
156.  2010-04-15 DRAFT Hearing Invite to Shadow Banking
157.  2010-04-15 Krebs Ltr to B Karp (Bear Stearns) re Interrogatories (2)
158.  2010-04-15 Moody's Subpoena
159.  2010-04-15 T Krebs Ltr to B Karp (Bear Stearns) re Interrogatories
160.  2010-04-16 Doc Demand to AIG
161.  2010-04-16 Draft Doc Demand to OTS
162.  2010-04-16 Invitation Letter to Cox
163.  2010-04-16 Invitation Letter to Donaldson
164.  2010-04-16 Invitation Letter to Geithner
165.  2010-04-16 Invitation Letter to Paulson

166.  2010-04-16 Invitation Ltr to A Schwartz DRAFT
167.  2010-04-16 Invitation Ltr to Barber DRAFT
168.  2010-04-16 Invitation Ltr to Bill De Leon DRAFT
169.  2010-04-16 Invitation Ltr to Cassidy DRAFT
170.  2010-04-16 Invitation Ltr to D Kotz DRAFT
171.  2010-04-16 Invitation Ltr to E Sirri DRAFT
172.  2010-04-16 Invitation Ltr to J Cayne DRAFT
173.  2010-04-16 Invitation Ltr to McCulley DRAFT
174.  2010-04-16 Invitation Ltr to Neal DRAFT
175.  2010-04-16 Invitation Ltr to P Friedman DRAFT
176.  2010-04-16 Invitation Ltr to S Molinaro DRAFT
177.  2010-04-16 Invitation Ltr to Steve Meier DRAFT
178.  2010-04-16 Invitation Ltr to T Marano DRAFT
179.  2010-04-20 B Bondi Ltr to BoA (R Brown) re CRA requests
180.  2010-04-20 B Bondi Ltr to JPMorgan (B Karp) re CRA requests
181.  2010-04-20 B Bondi Ltr to WFB (S Flint) re CRA requests
182.  2010-04-20 Doc Demand to Deutsche Bank
183.  2010-04-20 Doc Demand to Merrill
184.  2010-04-20 Draft Interview Request to C Ricciardi
185.  2010-04-20 G Cohen ltr to FDIC (P Nash) re FDIC Response to Treasury Survey
186.  2010-04-20 G Cohen ltr to FHFA (A Pollard) re FRB Response to Treasury Survey
187.  2010-04-20 G Cohen ltr to FRB (D Caperton) re FRB Response to Treasury Survey
188.  2010-04-20 G Cohen ltr to FRBNY (S Leventhal) re FRBNY Response to Treasury Survey
189.  2010-04-20 G Cohen ltr to OCC (J Williams) re OCC Response to Treasury Survey
190.  2010-04-20 G Cohen ltr to OTS (M Hingston) re OTS Response to Treasury Survey
191.  2010-04-20 G Cohen ltr to SEC (S Forstein) re FDIC Response to Treasury Survey
192.  2010-04-21 B Bondi Draft Doc Demand to Treasury
193.  2010-04-21 B Bondi Ltr to B Karp (Bear) re document request
194.  2010-04-21 B Bondi Ltr to B Karp (WAMU) re document request
195.  2010-04-21 B Bondi Ltr to D Gallagher (DEUTSCHE) re document request
196.  2010-04-21 B Bondi Ltr to H Miller (Lehman) re document request
197.  2010-04-21 B Bondi Ltr to R Brown (Merrill) re document request
198.  2010-04-21 B Bondi Ltr to S Flint (Wells Fargo) re document request
199.  2010-04-21 Doc Demand to Magnetar
200.  2010-04-21 Doc Demand to Paulson & Co.
201.  2010-04-22 Invitation Ltr to W Spector DRAFT
202.  2010-04-23 Doc Demand to ACA
203.  2010-04-23 Subpoena for Documents to Joseph St. Denis
204.  2010-04-27 B Bondi Ltr to S Buergel (Citi) fifth supplemental request (accounting)
205.  2010-04-27 Draft Doc Demand re Bear Stearns
206.  2010-04-27 Draft Doc Demand re JPMorgan
207.  2010-04-27 Draft Doc Demand to B of A
208.  2010-04-27 Draft Doc Demand to Morgan Stanley
209.  2010-04-27 Draft Doc Demand to UBS
210.  2010-04-27 Draft Doc Demand to Wachovia
211.  2010-04-28 Draft Doc Demand to Credit Suisse
212.  2010-04-28 Draft Doc Demand to Lehman Brothers
213.  2010-04-28 Draft Interview Request to M Sullivan
214.  2010-04-28 G Cohen ltr to Federated (P Germaine) re Disclosure of Non Public Information
215.  2010-04-28 G Cohen ltr to GE (R Brown) re Disclosure of Non Public Information
216.  2010-04-28 G Cohen ltr to JPMorgan (B Karp) re Disclosure of Non Public Information
217.  2010-04-28 G Cohen ltr to SEC (S Forstein) re Disclosure of Non Public Information
218.  2010-04-28 Interview Request for Frost and Park
219.  2010-04-29 Doc Demand to 250 Capital LLC
220.  2010-04-29 Doc Demand to ACA


221.  2010-04-29 Doc Demand to Cohen & Co and Strategos Capital Management
222.  2010-04-29 Doc Demand to GSC Group
223.  2010-04-29 Doc Demand to Harding Advisory LLC
224.  2010-04-29 Doc Demand to Lehman Brothers Asset Management LLC
225.  2010-04-29 Doc Demand to MKP Capital Management
226.  2010-04-29 Doc Demand to NIBC Credit Management
227.  2010-04-29 Doc Demand to NIR Capital Management Group LLC
228.  2010-04-29 Doc Demand to State Street Global Advisors
229.  2010-04-29 Doc Demand to The Putnam Advisory Company
230.  2010-04-29 Doc Demand to Vero Capital Management
231.  2010-04-29 Doc Demand to Vertical Capital Management LLC
232.  2010-05 Letter re ABS CDO Questions for Municipal Retirement funds
233.  2010-05-03 b bondi ltr to (Cohen & Company) re Ricciardi
234.  2010-05-03 b bondi ltr to n radey (credit suisse) re Ricciardi
235.  2010-05-03 b bondi ltr to r brown (merrill lynch) re Ricciardi
236.  2010-05-05 Doc Demand to NY State Insurance
237.  2010-05-13 Draft Interrogatories to Markit
238.  2010-05-14 G Cohen ltr to Ellington (D Margolis) re Doc Request
239.  2010-05-14 G Cohen ltr to re Survey (2)
240.  2010-05-14 G Cohen ltr to TCW re Doc Request
241.  2010-05-18 Draft B of A Interrogatories
242.  2010-05-18 Draft Interview Request to C Gates
243.  2010-05-18 Draft Rogs to Citi
244.  2010-05-18 Draft Rogs to Deutsche
245.  2010-05-18 Draft Rogs to JPMorgan
246.  2010-05-18 Draft Wells Fargo Rogs
247.  2010-05-19 B Bondi ltr to B Karp (Bear) re 2d Moody's request
248.  2010-05-19 B Bondi ltr to B Karp (JPM) re Moody's request
249.  2010-05-19 B Bondi ltr to B Karp (WaMu) re 2d Moody's request
250.  2010-05-19 B Bondi ltr to H Miller (Lehman) re 2d Moody's request
251.  2010-05-19 B Bondi ltr to N Radey (CS) re 2d Moody's Request
252.  2010-05-19 B Bondi ltr to R Brown (BofA) re Moody's request
253.  2010-05-19 B Bondi ltr to R Brown (Countrywide) re 2d Moody's request
254.  2010-05-19 B Bondi ltr to R Brown (Merrill) re 2d Moody's request
255.  2010-05-19 B Bondi ltr to R Kelner (WF) re 2d Moody's request
256.  2010-05-19 B Bondi ltr to S Buerger (Citi) re Moody's request
257.  2010-05-19 B Bondi ltr to W Ricciardi (DB) re 2d Moody's request
258.  2010-05-19 Draft
259.  2010-05-19 Draft H2-3 Follow up to Lockhart
260.  2010-05-19 Draft H2-3 Follow up to Mudd
261.  2010-05-21 Draft Rogs to PwC
262.  2010-05-25 DRAFT Doc Demand to Barclays (Lehman)
263.  2010-05-25 DRAFT Doc Demand to BofA
264.  2010-05-25 DRAFT Doc Demand to FDIC
265.  2010-05-25 DRAFT Doc Demand to FRB
266.  2010-05-25 DRAFT Doc Demand to FRBNY
267.  2010-05-25 DRAFT Doc Demand to JPM
268.  2010-05-25 DRAFT Doc Demand to Lehman Brothers Asset Management LLC
269.  2010-05-25 DRAFT Doc Demand to OCC
270.  2010-05-25 DRAFT Doc Demand to Treasury
271.  2010-05-25 DRAFT Doc Demand to Wachovia (Wells) DO NOT USE to S Flint
272.  2010-05-25 DRAFT Doc Demand to Wells Fargo
273.  2010-05-25 Invitation Ltr to Brian Clarkson
274.  2010-05-25 Invitation Ltr to Eric Kolchinsky
275.  2010-05-25 Invitation Ltr to Gary Witt


















































































































276.  2010-05-25 Invitation Ltr to Mark Froeba
277.  2010-05-25 Invitation Ltr to Nicolas Weill
278.  2010-05-25 Invitation Ltr to Raymond McDaniel
279.  2010-05-25 Ltr to Warren Buffett re june 02 Hearing
280.  2010-05-27 G Cohen Ltr to J Sack re Follow-up to 05-19 FCIC Interview Request
281.  2010-06-01 Rogs to Fannie Mae in Follow-Up to Hearings 2-3
282.  2010-06-04 Draft Goldman Subpoena
283.  2010-06-07 B Bondi Ltr to S Buergel (Citi) fifth supplemental request (accounting)
284.  2010-06-08 Draft Goldman Subpoena II
285.  2010-06-14 DRAFT Hearing Invite to Bensinger
286.  2010-06-14 DRAFT Hearing Invite to Lewis
287.  2010-06-14 DRAFT Hearing Invite to Martin Sullivan
288.  2010-06-15 DRAFT Doc Demand to NY AG
289.  2010-06-15 DRAFT Hearing Invite to Alan Frost
290.  2010-06-15 DRAFT Hearing Invite to Forster
291.  2010-06-15 DRAFT Hearing Invite to Gary Gensler
292.  2010-06-15 DRAFT Hearing Invite to OTS
293.  2010-06-15 DRAFT Hearing Invite to OTS
294.  2010-06-16 B Bondi Draft Doc Demand re Securitization
295.  2010-06-17 B Bondi Draft Doc Demand re Securitization
296.  2010-06-20 DRAFT Hearing Invite to Cassano
297.  2010-06-21 B Bondi Draft Doc Demand to SEC
298.  2010-06-22 B Bondi Cover Letters for Securitization Request
299.  2010-06-22 DRAFT Hearing Invite to Goldman Witnesses
300.  2010-06-24 B Bondi Ltr to S Cohen (Clayton Holdings) re Interrogatories
301.  2010-06-24 Doc request to O Brown (GAO)
302.  2010-07-06 B Bondi Draft Letter to Treasury OIG (R Delmar)
303.  2010-07-06 DRAFT Doc Demand to Barclays (Lehman)v2
304.  2010-07-06 DRAFT Doc Demand to Lehman Trustee
305.  2010-07-06 DRAFT Doc Demand to Wachovia
306.  2010-07-07 DRAFT Doc Demand to Citibank
307.  2010-07-07 DRAFT Doc Demand to JPM
308.  2010-07-07 Draft Doc Request to FRB
309.  2010-07-07 Draft doc request to OCC
310.  2010-07-07 Draft Doc request to OTS
311.  2010-07-07 G Cohen Cover Letters re Derivatives Interrogatories
312.  2010-07-07 G Cohen ltr to B Karp (JPM) re derivatives interrogatories
313.  2010-07-07 G Cohen ltr to C Zalka (Lehman) re derivatives interrogatories
314.  2010-07-07 H5 Day 1 Thank you letter Drafts
315.  2010-07-07 H5 Day 2 Thank you letter Drafts
316.  2010-07-07 Sample Letter to New Recipients
317.  2010-07-07 Thank You Letters to Derivatives Hearing Day 1 witnesses
318.  2010-07-07 Thank You Letters to Derivatives Hearing Day 2 witnesses
319.  2010-07-12 Draft Doc Request to Countrywide
320.  2010-07-12 Draft Doc request to OTS re Golden West
321.  2010-07-14 Draft Doc request to OTS re Lehman FSB
322.  2010-07-15 DRAFT Doc Request to FDIC
323.  2010-07-15 DRAFT Doc Request to FHFA
324.  2010-07-15 DRAFT Doc Request to FRB
325.  2010-07-15 DRAFT Doc Request to FRBNY
326.  2010-07-15 DRAFT Doc Request to OCC
327.  2010-07-15 DRAFT Doc Request to OTS
328.  2010-07-15 DRAFT Doc Request to SEC
329.  2010-07-19 DRAFT Doc Request to Treasury
330.  2010-07-19 FCIC Letter to Paul Krugman
























































331.  2010-07-21 C Seefer ltr to R Brown (Merrill) re Doc Request
332.  2010-08-18 Draft Interview Request Ltrs to BofA
333.  2010-08-18 W Edelberg ltr to Michael Steinhardt
334.  2010-08-20 Follow-up Letter to M Greenberger
335.  2010-08-20 Follow-up Letters to H5
336.  2010-08-23 Draft Hearing Invite to Alvarez
337.  2010-08-23 Draft Hearing Invite to Bair
338.  2010-08-23 Draft Hearing Invite to Baxter
339.  2010-08-23 Draft Hearing Invite to Bernanke
340.  2010-08-23 Draft Hearing Invite to Miller
341.  2010-08-23 Draft Hearing Invite to Steel
342.  2010-08-23 Draft Hearing Invite to Zubrow
343.  2010-08-23 Follow-up Letter to A Forster
344.  2010-08-23 Follow-up Letter to Gary Gensler
345.  2010-08-23 Follow-up Letter to J Cassano
346.  2010-08-23 Hearing Invite to Corston
347.  2010-08-23 Invite Letter to Bart McDade
348.  2010-08-23 Invite Letter to Dick Fuld
349.  2010-08-25 Draft Interview Request Ltrs to BofA
350.  2010-08-26 Draft Follow-Up to David Lehman
351.  2010-09-07 Follow-Up to Robert Rubin
352.  2010-09-13 G Cohen ltr to Citi (B Karp) re Doc Request
353.  2010-09-13 G Cohen ltr to FHFA (A Pollard ) re Doc Request
354.  2010-09-13 G Cohen ltr to Genworth Financial (L Roday) re Doc Request
355.  2010-09-13 G Cohen ltr to JPMorgan (B Karp) re Doc Request
356.  2010-09-13 G Cohen ltr to MGIC (J Lane) re Doc Request
357.  2010-09-13 G Cohen ltr to PMI (A Cameron) re Doc Request
358.  2010-09-13 G Cohen ltr to Radian Guaranty (E Hoffman) re Doc Request
359.  2010-09-13 G Cohen ltr to RMIC (S LeRoy) re Doc Request
360.  2010-09-13 G Cohen ltr to Triad (E Wall) re Doc Request
361.  2010-09-13 G Cohen ltr to United Guaranty (S Medieros) re Doc Request
362.  2010-09-13 G Cohen ltr to Wells Fargo (R Kelner) re Doc Request
363.  2010-09-17 G Cohen ltr to JPMorgan (B Karp) re Doc Request
364.  2010-09-20 G Cohen ltr to BofA (R Brown) re Doc Request
365.  2010-09-20 G Cohen ltr to BofA (R Brown) re High-Grade Structured Credit CDO 2007-1 Doc Request
366.  2010-09-20 G Cohen ltr to Florida State Board of Administration re Doc Request
367.  2010-09-21 G Cohen ltr to Ameriprise (S Plumber) re Doc Request
368.  2010-09-24 G Cohen ltr to 250 Cap(Reg Brown) re Doc Request
369.  2010-09-24 G Cohen ltr to ACA Finan (K Groskaufmanis) re Doc Request
370.  2010-09-24 G Cohen ltr to Cohen and Co (G Bruch) re Doc Request
371.  2010-09-24 G Cohen ltr to GSC Group (D Ross) re Doc Request
372.  2010-09-24 G Cohen ltr to MKP Cap (P Alcamo) re Doc Request
373.  2010-09-24 G Cohen ltr to NIBC (D Reisman) re Doc Request
374.  2010-09-24 G Cohen ltr to NIBC (Herman) re Doc Request
375.  2010-09-24 G Cohen ltr to Putnam Adv (J Tucker) re Doc Request
376.  2010-09-24 G Cohen ltr to State Street (M Levy) re Doc Request
377.  2010-09-24 G Cohen ltr to TCW (Sun) re Doc Request
378.  2010-09-24 G Cohen ltr to VERO (Barbaresis) re Doc Request
379.  2010-09-24 G Cohen ltr to Vertical Cap (Pearce and Fass) re Doc Request
380.  2010-09-28 G Cohen ltr to Aladdin (S Samy) re Doc Request
381.  2010-09-28 G Cohen ltr to AllianceBernstein (L Cranch) re Doc Request
382.  2010-09-28 G Cohen ltr to Babson Cap (T Walsh) re Doc Request
383.  2010-09-28 G Cohen ltr to Barclays Bank (Braff) re Doc Request
384.  2010-09-28 G Cohen ltr to Bear Stearns (Karp) re Doc Request
385.  2010-09-28 G Cohen ltr to BlackRock (R Connolly) re Doc Request




















































386.  2010-09-28 G Cohen ltr to Credit Suisse (Richards) re Doc Request
387.  2010-09-28 G Cohen ltr to Deerfield Cap (R Contreras) re Doc Request
388.  2010-09-28 G Cohen ltr to Deutsche (Ricciardi) re Doc Request
389.  2010-09-28 G Cohen ltr to GSO Cap (J Finley) re Doc Request
390.  2010-09-28 G Cohen ltr to ING Invest (G Lins) re Doc Request
391.  2010-09-28 G Cohen ltr to Princeton Advis (J Sheehan) re Doc Request
392.  2010-09-28 G Cohen ltr to Principal Glob (P Halter) re Doc Request
393.  2010-09-28 G Cohen ltr to Stone Tower (Brancaccio) re Doc Request
394.  2010-09-28 G Cohen ltr to Structured Asset Inv (Kelner) re Doc Request
395.  2010-09-28 G Cohen ltr to Vanderbilt Cap (Porter) re Doc Request
396.  2010-09-30 G Cohen ltr to FinCEN (D Wade) re Doc Request
397.  2010-10-01 Ltr to Alvarez re H6 follow-up questions
398.  2010-10-01 ltr to Bair re H6 follow-up questions
399.  2010-10-01 ltr to Baxter re H6 follow-up questions
400.  2010-10-01 ltr to Bernanke re H6 follow-up questions
401.  2010-10-01 ltr to Fuld re H6 follow-up questions
402.  2010-10-13 Letter to Stanley Jacobs
403.  2010-10-15 Letter to Fornelli. Cynthia
404.  2010-10-18 G Cohen ltr to R Weich re Fraud
405.  2010-10-18 Letter to Treves.Gabe.
406.  2010-10-19 Letter to William M. Isaac
407.  2010-10-26 Letter to Tarnasky, Donald
408.  2010-10-27 Letter to Joe Jefferis
409.  2010-10-27 Letter to Josep Patrick Bichsel
410.  2010-10-28 Letter to Gilbert Wootton.
411.  2010-10-28 Letter to Leonard Kass.
412.  2010-10-7 Letter to Bruce L. Brumbaugh
413.  2010-10-7 Letter to Sarah Angela Weltzien
414.  2010-10-7 Letter to Sue Adams
415.  2010-11-05 G Cohen ltr to M Davidson re Use of Potentially Confidential Markit Materials
416.  2010-11-05 G Cohen ltr to M Hingston re Use of Potentially Confidential OTS Material
417.  2010-11-19 CRA Request Letters
418.  2010-11-23 G Cohen ltr to G Callery re Use of Potentially Confidential FINRA Material
419.  2010-11-23 G Cohen ltr to R Brown re Use of Potentially Confidential PWC Material
420.  2010-11-23 G Cohen ltr to R Klapper re Use of Potentially Confidential Goldman Sachs Material
421.  2010-11-24 G Cohen ltr to J Kilduff re Use of Potentially Confidential Fannie Mae Material
422.  2010-11-24 G Cohen ltr to L Bridgman re Use of Potentially Confidential OCC Material
423.  2010-11-3 Letter to John Chapman
424.  2010-11-4 Letter to Linda Bina
425.  2010-1-20 Thank you letters
426.  2010-12-03 G Cohen ltr to A Pollard re Use of Potentially Confidential FHFA Material
427.  2010-12-06 G Cohen ltr to A Pollard re Use of Potentially Confidential FHFA Material
428.  2010-12-06 G Cohen ltr to J Lopez re Use of Potentially Confidential DOJ Material
429.  2010-12-06 G Cohen ltr to R Kelner re Use of Potentially Confidential Freddie Mac Material
430.  2010-12-06 G Cohen ltr to S Nettleton re Use of Potentially Confidential AIG Material
431.  2010-12-07 G Cohen ltr to C Zalka re Use of Potentially Confidential LBHI Material
432.  2010-12-07 G Cohen ltr to D Wade re Use of Potentially Confidential FinCEN Material
433.  2010-12-07 G Cohen ltr to S Nelles re Use of Potentially Confidential Moody's Material
434.  2010-12-08 G Cohen ltr to B Markley re Use of Potentially Confidential S& P Material
435.  2010-12-08 G Cohen ltr to G Madison re Use of Potentially Confidential Treasury Material
436.  2010-12-08 G Cohen ltr to J Chapman re Use of Potentially Confidential UBS Material
437.  2010-1-21 T Greene Ltr
438.  2010-1-21 T Greene Ltr to J Dimon
439.  2010-1-21 T Greene Ltr to J Mack
440.  2010-1-21 T Greene Ltr to L Blankfein

441.  2010-12-13 G Cohen Ltr to B Karp re Use of Potentially Confidential Citi Material
  442.  2010-12-13 G Cohen Ltr to L Wolosky re Use of Potentially Confidential Material from H Greenberg
  443.  2010-12-13 G Cohen Ltr to M Indelicato re Use of Potentially Confidential New Century Material
  444.  2010-12-14 G Cohen Ltr to R Spillenkothen re Use of Potentially Confidential Material
  445.  2010-12-14 G Cohen Ltr to R Yanes re Use of Potentially Confidential Morgan Stanley Material
  446.  2010-12-18 G Cohen Ltr to R Kelner re Use of Potentially Confidential Wells Fargo Material
  447.  2010-1-25 Follow up letter to Bass
  448.  2010-1-25 Follow up letter to Cloutier
  449.  2010-1-25 Follow up letter to DOJ
  450.  2010-1-25 Follow up letter to FDIC
  451.  2010-1-25 Follow up letter to Mayo
  452.  2010-1-25 Follow up letter to Rosen
  453.  2010-1-25 Follow up letter to SEC
  454.  2010-1-25 Follow up letter to Solomon
  455.  2010-1-25 Second Doc Request to Citi DRAFT
  456.  2010-1-26 Follow up to Gordon
  457.  2010-1-26 T Greene Ltr to B Moynihan
  458.  2010-1-26 T Greene Ltr to J Mack
  459.  2010-1-26 T Greene Ltr to L Blankfein
  460.  2010-1-27 Suthers follow up letter
  461.  2010-1-29 Draft Doc Demand to Treasury
  462.  2010-1-29 Follow up to Requests
  463.  2010-1-29 Third Doc Request to Citi DRAFT
  464.  2010-2-24 Letter to Chuck Collins and Nick Thorkelson
  465.  2010-2-24 Letter to David Blackwood
  466.  2010-5-07 Ltr cohen (bean) re PSI access request
  467.  2010-5-7 Letter to Starling Lawrence
  468.  2010-6-20 Ed Doucette. TY - Comment-General 6.20.10
  469.  2010-6-20 Letter to B.K. Thatch.
  470.  2010-6-20 Letter to H. Douglas Nguyen
  471.  2010-6-20 Letter to Robert Eric Karoly
  472.  2010-6-20 Letter to Robert Felter
  473.  2010-7-14 Letter to David Mosso.
  474.  2010-7-14 Letter to Dr. Earl Blecher
  475.  2010-7-14 Letter to Jeff Gates
  476.  2010-7-14 Letter to Mark Zoeller
  477.  2010-7-14 Letter to Stuart Levene
  478.  2010-7-9 Letter to Marilyn E. Howard
  479.  Draft\_Preservation\_Notice\_AMBAC\_Kevin\_Doyle\_2-8-2010
  480.  Draft\_Preservation\_Notice\_Assured\_Guaranty\_James\_Michener\_2-8-2010
  481.  Draft\_Preservation\_Notice\_MBIA\_Ram\_Wertheim\_2-8-2010
  482.  Draft\_Preservation\_Notice\_Syncora\_Susan\_Comparto\_2-8-2010
  483.  FCIC\_Document\_Production\_Ltr\_Dave\_Caperton\_FRB\_2-10-10
  484.  FINAL\_Preservation\_Notice\_AMBAC\_Kevin\_Doyle\_2-9-10.doc
  485.  FINAL\_Preservation\_Notice\_Assured\_James\_Michener\_2-9-10.doc
  486.  FINAL\_Preservation\_Notice\_FRB\_Dave\_Caperton\_2-10-10
  487.  FINAL\_Preservation\_Notice\_MBIA\_Ram\_Wertheim\_2-9-10.doc
  488.  FINAL\_Preservation\_Notice\_Syncora\_Susan\_Comparato\_2-9-2010
  489.  Hedge Fund Survey Participants Letter
  490.  Shell Letter to Bass
  491.  Shell Letter to Mayo
  492.  Shell Letter to Solomon
  493.  Updated form of Doc Demand to Merrill Lynch
- K.  Field Hearing - Sacramento
1.  Invite Letter - Beal

2.  Invite Letter - Canada
  3.  Invite Letter - Eggert
  4.  Invite Letter - Fleming
  5.  Invite Letter - Johnson
  6.  Invite Letter - Mann
  7.  Invite Letter - Putnam
  8.  Invite Letter - Reisig
  9.  Invite Letter - Stein
  10.  Invite Letter - Wagner
  11.  Invite Letter - Williams
  12.  Invite Letter - Wirz
  13.  Invite Letter - Young
- L.  Interview Clearance
1.  11-04
    - a.  2010-11-04 G Cohen Letter to Lynch re Use of Interview Material
    - b.  2010-11-04 G Cohen Letter to Macchiaroli re Use of Interview Material
    - c.  2010-11-04 G Cohen Letter to Maheras re Use of Interview Material
    - d.  2010-11-04 G Cohen Letter to Mahoney re Use of Interview Material
    - e.  2010-11-04 G Cohen Letter to Manchester re Use of Interview Material
    - f.  2010-11-04 G Cohen Letter to Masters re Use of Interview Material
    - g.  2010-11-04 G Cohen Letter to May re Use of Interview Material
    - h.  2010-11-04 G Cohen Letter to McCabe re Use of Interview Material
    - i.  2010-11-04 G Cohen Letter to McDaniel re Use of Interview Material
    - j.  2010-11-04 G Cohen Letter to McDowell re Use of Interview Material
  2.  11-05
    - a.  2010-11-05 G Cohen Letter to A Kelly re Use of Interview Material
    - b.  2010-11-05 G Cohen Letter to E Kelly re Use of Interview Material
    - c.  2010-11-05 G Cohen Letter to G Soros re Use of Interview Material
    - d.  2010-11-05 G Cohen Letter to J B Taylor re Use of Interview Material
    - e.  2010-11-05 G Cohen Letter to Kemp re Use of Interview Material
    - f.  2010-11-05 G Cohen Letter to Kerr re Use of Interview Material
    - g.  2010-11-05 G Cohen Letter to Kim re Use of Interview Material
    - h.  2010-11-05 G Cohen Letter to Kroeker re Use of Interview Material
    - i.  2010-11-05 G Cohen Letter to Kronthal re Use of Interview Material
    - j.  2010-11-05 G Cohen Letter to Lebedin re Use of Interview Material
    - k.  2010-11-05 G Cohen Letter to Lehman re Use of Interview Material
    - l.  2010-11-05 G Cohen Letter to Liang re Use of Interview Material
    - m.  2010-11-05 G Cohen Letter to Lockhart re Use of Interview Material
    - n.  2010-11-05 G Cohen Letter to P Stevens re Use of Interview Material
    - o.  2010-11-05 G Cohen Letter to Sanders re Use of Interview Material
    - p.  2010-11-05 G Cohen Letter to Sharpe re Use of Interview Material
    - q.  2010-11-05 G Cohen Letter to Shiller re Use of Interview Material
    - r.  2010-11-05 G Cohen Letter to Snow re Use of Interview Material
    - s.  2010-11-05 G Cohen Letter to St. Denis re Use of Interview Material
    - t.  2010-11-05 G Cohen Letter to Stehli re Use of Interview Material
    - u.  2010-11-05 G Cohen Letter to Sun re Use of Interview Material
    - v.  2010-11-05 G Cohen Letter to T Lubke re Use of Interview Material
    - w.  2010-11-05 G Cohen Letter to Taleb re Use of Interview Material
    - x.  2010-11-05 G Cohen Letter to Taub re Use of Interview Material
    - y.  2010-11-05 G Cohen Letter to Vargas re Use of Interview Material
    - z.  2010-11-05 G Cohen Letter to Wachter re Use of Interview Material
  3.  2010-10-26
    - a.  2010-10-26 G Cohen Letter to A Rutledge re Use of Interview Material
    - b.  2010-10-26 G Cohen Letter to Brian Peters re Use of Interview Material
    - c.  2010-10-26 G Cohen Letter to J Rutherford re Use of Interview Material
    - d.  2010-10-26 G Cohen Letter to J Ryan re Use of Interview Material

























































- e.  2010-10-26 G Cohen Letter to M Price re Use of Interview Material
- f.  2010-10-26 G Cohen Letter to N Weill re Use of Interview Material
- g.  2010-10-26 G Cohen Letter to Palumbo re Use of Interview Material
- h.  2010-10-26 G Cohen Letter to Pandit re Use of Interview Material
- i.  2010-10-26 G Cohen Letter to Parkinson re Use of Interview Material
- j.  2010-10-26 G Cohen Letter to Parratt re Use of Interview Material
- k.  2010-10-26 G Cohen Letter to Patcham re Use of Interview Material
- l.  2010-10-26 G Cohen Letter to Perlowitz re Use of Interview Material
- m.  2010-10-26 G Cohen Letter to Phillips re Use of Interview Material
- n.  2010-10-26 G Cohen Letter to Phillips-Patrick re Use of Interview Material
- o.  2010-10-26 G Cohen Letter to Plaze re Use of Interview Material
- p.  2010-10-26 G Cohen Letter to Plepler re Use of Interview Material
- q.  2010-10-26 G Cohen Letter to Polakoff re Use of Interview Material
- r.  2010-10-26 G Cohen Letter to R Rubin re Use of Interview Material
- s.  2010-10-26 G Cohen Letter to Reid re Use of Interview Material
- t.  2010-10-26 G Cohen Letter to Ricciardi re Use of Interview Material
- u.  2010-10-26 G Cohen Letter to Roeder re Use of Interview Material
- v.  2010-10-26 G Cohen Letter to Rosenblatt re Use of Interview Material
- w.  2010-10-26 G Cohen Letter to Rymer re Use of Interview Material
- x.  2010-10-26 G Cohen Letter to S Waterhouse re Use of Interview Material
- y.  2010-10-26 G Cohen Letter to Saksena re Use of Interview Material
- z.  2010-10-26 G Cohen Letter to San Pedro re Use of Interview Material
- aa.  2010-10-26 G Cohen Letter to Schachtel re Use of Interview Material
- ab.  2010-10-26 G Cohen Letter to Scheidt re Use of Interview Material
- ac.  2010-10-26 G Cohen Letter to Scherer re Use of Interview Material
- ad.  2010-10-26 G Cohen Letter to Schlich re Use of Interview Material
- ae.  2010-10-26 G Cohen Letter to Schloessmann re Use of Interview Material
- af.  2010-10-26 G Cohen Letter to Seares re Use of Interview Material
- ag.  2010-10-26 G Cohen Letter to Seiler re Use of Interview Material
- ah.  2010-10-26 G Cohen Letter to Shuler re Use of Interview Material
- ai.  2010-10-26 G Cohen Letter to Siegel re Use of Interview Material
- aj.  2010-10-26 G Cohen Letter to Simantel re Use of Interview Material
- ak.  2010-10-26 G Cohen Letter to Sirri re Use of Interview Material
- al.  2010-10-26 G Cohen Letter to Smilow re Use of Interview Material
- am.  2010-10-26 G Cohen Letter to Snajder re Use of Interview Material
- an.  2010-10-26 G Cohen Letter to Sokolov re Use of Interview Material
- ao.  2010-10-26 G Cohen Letter to Solomon re Use of Interview Material
- ap.  2010-10-26 G Cohen Letter to Spillenkothen re Use of Interview Material
- aq.  2010-10-26 G Cohen Letter to Spohn re Use of Interview Material
- ar.  2010-10-26 G Cohen Letter to Steckel re Use of Interview Material
- as.  2010-10-26 G Cohen Letter to Stein re Use of Interview Material
- at.  2010-10-26 G Cohen ltr to A Alvarez re Use of Interview Material
- au.  2010-10-26 G Cohen ltr to A Angulo re Use of Interview Material
- av.  2010-10-26 G Cohen ltr to A Binder re Use of Interview Material
- aw.  2010-10-26 G Cohen ltr to B Bean re Use of Interview Material
- ax.  2010-10-26 G Cohen ltr to B Black re Use of Interview Material
- ay.  2010-10-26 G Cohen ltr to C Broderick re Use of Interview Material
- az.  2010-10-26 G Cohen ltr to C Caolmiris re Use of Interview Material
- ba.  2010-10-26 G Cohen ltr to D Bailey re Use of Interview Material
- bb.  2010-10-26 G Cohen ltr to D Baker re Use of Interview Material
- bc.  2010-10-26 G Cohen ltr to D Bisenius re Use of Interview Material
- bd.  2010-10-26 G Cohen ltr to D Bruch re Use of Interview Material
- be.  2010-10-26 G Cohen ltr to D Bushnell re Use of Interview Material
- bf.  2010-10-26 G Cohen ltr to E Abufaris re Use of Interview Material
- bg.  2010-10-26 G Cohen ltr to E Bean re Use of Interivew Material
























































- bh.  2010-10-26 G Cohen ltr to F Bergsten re Use of Interview Materials
- bi.  2010-10-26 G Cohen ltr to F Bolstad re Use of Interview Material
- bj.  2010-10-26 G Cohen ltr to H Alblescu re Use of Interview Material
- bk.  2010-10-26 G Cohen ltr to I Ackelsberg re Use of Interview Material
- bl.  2010-10-26 G Cohen ltr to J Anderson re Use of Interview Material
- bm.  2010-10-26 G Cohen ltr to J Barth re Use of Interview Material
- bn.  2010-10-26 G Cohen ltr to J Becker re Use of Interview Materials
- bo.  2010-10-26 G Cohen ltr to K Bacon re Use of Interview Material
- bp.  2010-10-26 G Cohen ltr to K Bass re Use of Interview Material
- bq.  2010-10-26 G Cohen ltr to K Birch re Use of Interview Material
- br.  2010-10-26 G Cohen ltr to L Blankfein re Use of Interview Material
- bs.  2010-10-26 G Cohen ltr to L Brickmiller re Use of Interview Material
- bt.  2010-10-26 G cohen ltr to L Bridgman re Use of Interview Material
- bu.  2010-10-26 G Cohen ltr to L Brown re Use of Interview Material
- bv.  2010-10-26 G Cohen ltr to M Adelson re Use of Interview Material
- bw.  2010-10-26 G Cohen ltr to M Barber re Use of Interview Material
- bx.  2010-10-26 G Cohen ltr to M Barnes re Use of Interview Material
- by.  2010-10-26 G Cohen ltr to M Basil re Use of Interview Material
- bz.  2010-10-26 G Cohen ltr to M Bhatia re Use of Interview Material
- ca.  2010-10-26 G Cohen ltr to M Bollo re Use of Interview Material
- cb.  2010-10-26 G Cohen ltr to M Brennan re Use of Interview Material
- cc.  2010-10-26 G Cohen ltr to M Burry re Use of Interview Material
- cd.  2010-10-26 G Cohen ltr to M Cahn re Use of Interview Material
- ce.  2010-10-26 G Cohen ltr to M Calabria re Use of Interview Material
- cf.  2010-10-26 G Cohen ltr to N Barger re Use of Interview Material
- cg.  2010-10-26 G Cohen ltr to N Barofsky re Use of Interview Material
- ch.  2010-10-26 G Cohen ltr to R Barker re Use of Interview Material
- ci.  2010-10-26 G Cohen ltr to R Bitner re Use of Interview Material
- cj.  2010-10-26 G Cohen ltr to R Bookstaber re Use of Interview Material
- ck.  2010-10-26 G Cohen ltr to R Bowen re Use of Interview Material
- cl.  2010-10-26 G Cohen ltr to R Brown re Use of Interview Material
- cm.  2010-10-26 G Cohen ltr to R Burns re Use of Interview Materials
- cn.  2010-10-26 G Cohen ltr to R Byman re Use of Interview Material
- co.  2010-10-26 G Cohen ltr to S Alvarez re Use of Interview Material
- cp.  2010-10-26 G Cohen ltr to S Bair re Use of Interview Material
- cq.  2010-10-26 G Cohen ltr to S Braunstein re Use of Interview Materials
- cr.  2010-10-26 G Cohen ltr to T Baxter re Use of Interview Materials
- cs.  2010-10-26 G Cohen ltr to T Boemio re Use of Interview Material
- ct.  2010-10-26 G Cohen ltr to V Beal re Use of Interview Material
- cu.  2010-10-26 G Cohen ltr to W Ackman re Use of Interview Material
- cv.  2010-10-26 G Cohen ltr to W Arnold re Use of Interview Material
- cw.  2010-10-26 G Cohen ltr to W Brewster re Use of Interview Material
- 4.  2010-10-27
  - a.  2010-10-27 G Cohen Letter to B Smith re Use of Interview Material
  - b.  2010-10-27 G Cohen Letter to H Rubin re Use of Interview Material
  - c.  2010-10-27 G Cohen Letter to M Yu re Use of Interview Material
  - d.  2010-10-27 G Cohen Letter to M Zandi re Use of Interview Material
  - e.  2010-10-27 G Cohen Letter to Prince re Use of Interview Material
  - f.  2010-10-27 G Cohen Letter to Quinn re Use of Interview Material
  - g.  2010-10-27 G Cohen Letter to Ramano re Use of Interview Material
  - h.  2010-10-27 G Cohen Letter to Raynes re Use of Interview Material
  - i.  2010-10-27 G Cohen Letter to Roseman re Use of Interview Material
  - j.  2010-10-27 G Cohen Letter to S Smith re Use of Interview Material
  - k.  2010-10-27 G Cohen Letter to S Weill re Use of Interview Material
  - l.  2010-10-27 G Cohen Letter to Schwab re Use of Interview Material
























































- m.  2010-10-27 G Cohen Letter to Schwartz re Use of Interview Material
- n.  2010-10-27 G Cohen Letter to Spoth re Use of Interview Material
- o.  2010-10-27 G Cohen Letter to Stearns re Use of Interview Material
- p.  2010-10-27 G Cohen Letter to Stermasi re Use of Interview Material
- q.  2010-10-27 G Cohen Letter to Sullivan re Use of Interview Material
- r.  2010-10-27 G Cohen Letter to Swenson re Use of Interview Material
- s.  2010-10-27 G Cohen Letter to Swingle re Use of Interview Material
- t.  2010-10-27 G Cohen Letter to Syron re Use of Interview Material
- u.  2010-10-27 G Cohen Letter to T Ryan re Use of Interview Material
- v.  2010-10-27 G Cohen Letter to Tabe re Use of Interview Material
- w.  2010-10-27 G Cohen Letter to Teicher re Use of Interview Material
- x.  2010-10-27 G Cohen Letter to Tenore re Use of Interview Material
- y.  2010-10-27 G Cohen Letter to Thain re Use of Interview Material
- z.  2010-10-27 G Cohen Letter to Thomas re Use of Interview Material
- aa.  2010-10-27 G Cohen Letter to Turner re Use of Interview Material
- ab.  2010-10-27 G Cohen Letter to Upton re Use of Interview Material
- ac.  2010-10-27 G Cohen Letter to Van der Weide re Use of Interview Material
- ad.  2010-10-27 G Cohen Letter to Viniar re Use of Interview Material
- ae.  2010-10-27 G Cohen Letter to W Rutledge re Use of Interview Material
- af.  2010-10-27 G Cohen Letter to Wagner re Use of Interview Material
- ag.  2010-10-27 G Cohen Letter to Ward re Use of Interview Material
- ah.  2010-10-27 G Cohen Letter to Warne re Use of Interview Material
- ai.  2010-10-27 G Cohen Letter to Westerkamp re Use of Interview Material
- aj.  2010-10-27 G Cohen Letter to Whittaker re Use of Interview Material
- ak.  2010-10-27 G Cohen Letter to Wigand re Use of Interview Material
- al.  2010-10-27 G Cohen Letter to Wilson re Use of Interview Material
- am.  2010-10-27 G Cohen Letter to Winer re Use of Interview Material
- an.  2010-10-27 G Cohen Letter to Wipf re Use of Interview Material
- ao.  2010-10-27 G Cohen Letter to Wong re Use of Interview Material
- ap.  2010-10-27 G Cohen Letter to Yoshizawa re Use of Interview Material
- aq.  2010-10-27 G Cohen Letter to Zubrow re Use of Interview Material
- ar.  2010-10-27 G Cohen ltr to A Castaldo re Use of Interview Material
- as.  2010-10-27 G Cohen ltr to B Behal re Use of Interview Material
- at.  2010-10-27 G Cohen ltr to B Clarkson re Use of Interview Material
- au.  2010-10-27 G Cohen ltr to D Caperton re Use of Interview Material
- av.  2010-10-27 G Cohen ltr to D Chaudoin re Use of Interview Material
- aw.  2010-10-27 G Cohen ltr to G Canner re Use of Interview Material
- ax.  2010-10-27 G Cohen ltr to J Brennan re Use of Interview Material
- ay.  2010-10-27 G Cohen ltr to J Cassano re Use of Interview Material
- az.  2010-10-27 G Cohen ltr to J Cayne re Use of Interview Material
- ba.  2010-10-27 G Cohen ltr to J Chanos re Use of Interview Material
- bb.  2010-10-27 G Cohen ltr to K Bailey re Use of Interview Material
- bc.  2010-10-27 G Cohen ltr to K Cassidy re Use of Interview Material
- bd.  2010-10-27 G Cohen ltr to K Coffey re Use of Interview Material
- be.  2010-10-27 G Cohen ltr to L Arquette re Use of Interview Material
- bf.  2010-10-27 G Cohen ltr to M Alix re Use of Interview Material
- bg.  2010-10-27 G Cohen ltr to M Coffey re Use of Interview Material
- bh.  2010-10-27 G Cohen ltr to R Cantor re Use of Interview Material
- bi.  2010-10-27 G Cohen ltr to R Cohen re Use of Interview Material
- bj.  2010-10-27 G Cohen ltr to R Cohen re Use of Interview Material
- bk.  2010-10-27 G Cohen ltr to S Bensinger re Use of Interview Material
- bl.  2010-10-27 G Cohen ltr to S Bies re Use of Interview Material
- bm.  2010-10-27 G Cohen ltr to S Carpenter re Use of Interview Material
- bn.  2010-10-27 G Cohen ltr to T Clark re Use of Interview Material

























































5.  2010-11-01



















































































































- a.  2010-11-01 G Cohen Letter for Sec Snow re Use of Interview Material
  - b.  2010-11-01 G Cohen Letter to O'Connor re Use of Interview Material
  - c.  2010-11-01 G Cohen Letter to Olson re Use of Interview Material
  - d.  2010-11-01 G Cohen Letter to O'Meara re Use of Interview Material
  - e.  2010-11-01 G Cohen Letter to O'Neal re Use of Interview Material
  - f.  2010-11-01 G Cohen Letter to Oppenheimer re Use of Interview Material
  - g.  2010-11-01 G Cohen Letter to Roger re Use of Interview Material
  - h.  2010-11-01 G Cohen Letter to Spector re Use of Interview Material
6.  2010-11-03
- a.  2010-11-03 G Cohen Letter to H Miller re Use of Interview Material
  - b.  2010-11-03 G Cohen Letter to Hladek re Use of Interview Material
  - c.  2010-11-03 G Cohen Letter to McGee re Use of Interview Material
  - d.  2010-11-03 G Cohen Letter to McGinn re Use of Interview Material
  - e.  2010-11-03 G Cohen Letter to McKenna re Use of Interview Material
  - f.  2010-11-03 G Cohen Letter to Meier re Use of Interview Material
  - g.  2010-11-03 G Cohen Letter to Merriett re Use of Interview Material
  - h.  2010-11-03 G Cohen Letter to Mewbourne re Use of Interview Material
  - i.  2010-11-03 G Cohen Letter to Meyer re Use of Interview Material
  - j.  2010-11-03 G Cohen Letter to Michaels re Use of Interview Material
  - k.  2010-11-03 G Cohen Letter to Miccittis re Use of Interview Material
  - l.  2010-11-03 G Cohen Letter to Mills re Use of Interview Material
  - m.  2010-11-03 G Cohen Letter to Mishkin re Use of Interview Material
  - n.  2010-11-03 G Cohen Letter to Molinaro re Use of Interview Material
  - o.  2010-11-03 G Cohen Letter to Mongolluzzo re Use of Interview Material
  - p.  2010-11-03 G Cohen Letter to Mozilo re Use of Interview Material
  - q.  2010-11-03 G Cohen Letter to Mudd re Use of Interview Material
  - r.  2010-11-03 G Cohen Letter to Mudick re Use of Interview Material
  - s.  2010-11-03 G Cohen Letter to Murray re Use of Interview Material
  - t.  2010-11-03 G Cohen Letter to Nason re Use of Interview Material
  - u.  2010-11-03 G Cohen Letter to Neal re Use of Interview Material
  - v.  2010-11-03 G Cohen Letter to Nelson re Use of Interview Material
  - w.  2010-11-03 G Cohen Letter to Newell re Use of Interview Material
  - x.  2010-11-03 G Cohen Letter to Norton re Use of Interview Material
  - y.  2010-11-03 G Cohen Letter to Paltrowitz re Use of Interview Material
  - z.  2010-11-03 G Cohen Letter to Reich re Use of Interview Material
  - aa.  2010-11-03 G Cohen Letter to Sambol re Use of Interview Material
  - ab.  2010-11-03 G Cohen Letter to Sec Paulson re Use of Interview Material
  - ac.  2010-11-03 G Cohen Letter to Solomon re Use of Interview Material
  - ad.  2010-11-03 G Cohen Letter to Wingard re Use of Interview Material
7.  2010-11-08
- a.  2010-11-08 G Cohen Letter to A McBryde re Use of Interview Material
  - b.  2010-11-08 G Cohen Letter to E Chow re Use of Interview Material
  - c.  2010-11-08 G Cohen Letter to G Park re Use of Interview Material
  - d.  2010-11-08 G Cohen Letter to J Stumpf re Use of Interview Material
  - e.  2010-11-08 G Cohen Letter to L Carter re Use of Interview Material
  - f.  2010-11-08 G Cohen Letter to M Oman re Use of Interview Material
  - g.  2010-11-08 G Cohen Letter to R Retsinas re Use of Interview Material
  - h.  2010-11-08 G Cohen Letter to R Steel re Use of Interview Material
  - i.  2010-11-08 G Cohen Letter to T Marano re Use of Interview Material
  - j.  2010-11-08 G Cohen Letter to W Buell re Use of Interview Material
8.  2010-11-09
- a.  2010-11-09 G Cohen Letter to A Forster re Use of Interview Materials
  - b.  2010-11-09 G Cohen Letter to A Frost re Use of Interview Materials
  - c.  2010-11-09 G Cohen Letter to A Manning re Use of Interview Materials
  - d.  2010-11-09 G Cohen Letter to D Ellis re Use of Interview Materials
  - e.  2010-11-09 G Cohen Letter to D Gussmann re Use of Interview Materials

























































- f.  2010-11-09 G Cohen Letter to D Hoffman re Use of Interview Materials
- g.  2010-11-09 G Cohen Letter to D Johnson re Use of Interview Materials
- h.  2010-11-09 G Cohen Letter to E Duke re Use of Interview Materials
- i.  2010-11-09 G Cohen Letter to E Habayeb re Use of Interview Materials
- j.  2010-11-09 G Cohen Letter to E Xu re Use of Interview Materials
- k.  2010-11-09 G Cohen Letter to F Gibson re Use of Interview Materials
- l.  2010-11-09 G Cohen Letter to G French re Use of Interview Materials
- m.  2010-11-09 G Cohen Letter to G Gensler re Use of Interview Materials
- n.  2010-11-09 G Cohen Letter to G Lippmann re Use of Interview Materials
- o.  2010-11-09 G Cohen Letter to H Greenberg re Use of Interview Materials
- p.  2010-11-09 G Cohen Letter to J Cave re Use of Interview Materials
- q.  2010-11-09 G Cohen Letter to J Corston re Use of Interview Materials
- r.  2010-11-09 G Cohen Letter to J Feid re Use of Interview Materials
- s.  2010-11-09 G Cohen Letter to J Forlenza re Use of Interview Materials
- t.  2010-11-09 G Cohen Letter to K Hadley re Use of Interview Materials
- u.  2010-11-09 G Cohen Letter to M Haggerty re Use of Interview Materials
- v.  2010-11-09 G Cohen Letter to M Hutchings re Use of Interview Materials
- w.  2010-11-09 G Cohen Letter to M Lamont re Use of Interview Materials
- x.  2010-11-09 G Cohen Letter to N Dominguez re Use of Interview Materials
- y.  2010-11-09 G Cohen Letter to P Colohan re Use of Interview Materials
- z.  2010-11-09 G Cohen Letter to R Cioffi re Use of Interview Materials
- aa.  2010-11-09 G Cohen Letter to R Ehrnman re Use of Interview Materials
- ab.  2010-11-09 G Cohen Letter to R Lewis re Use of Interview Materials
- ac.  2010-11-09 G Cohen Letter to S Eichel re Use of Interview Materials
- ad.  2010-11-09 G Cohen Letter to S Fritts re Use of Interview Materials
- ae.  2010-11-09 G Cohen Letter to T Fontana re Use of Interview Materials
- af.  2010-11-09 G Cohen Letter to W DeMonchaux re Use of Interview Materials
- 9.  2010-11-10
  - a.  2010-11-10 G Cohen Letter to A Davilman re Use of Interview Materials
  - b.  2010-11-10 G Cohen Letter to A Fishbein re Use of Interview Materials
  - c.  2010-11-10 G Cohen Letter to D Covitz re Use of Interview Materials
  - d.  2010-11-10 G Cohen Letter to D Dobbeck re Use of Interview Materials
  - e.  2010-11-10 G Cohen Letter to D Jones re Use of Interview Materials
  - f.  2010-11-10 G Cohen Letter to E Coleman re Use of Interview Materials
  - g.  2010-11-10 G Cohen Letter to E Dallavecchia re Use of Interview Materials
  - h.  2010-11-10 G Cohen Letter to G Cohn re Use of Interview Materials
  - i.  2010-11-10 G Cohen Letter to G Harris re Use of Interview Materials
  - j.  2010-11-10 G Cohen Letter to G Loney re Use of Interview Materials
  - k.  2010-11-10 G Cohen Letter to J Dimon re Use of Interview Materials
  - l.  2010-11-10 G Cohen Letter to J Embersit re Use of Interview Materials
  - m.  2010-11-10 G Cohen Letter to K Fallon re Use of Interview Materials
  - n.  2010-11-10 G Cohen Letter to M Feldman re Use of Interview Materials
  - o.  2010-11-10 G Cohen Letter to M Kanef re Use of Interview Materials
  - p.  2010-11-10 G Cohen Letter to R Cole re Use of Interview Materials
  - q.  2010-11-10 G Cohen Letter to R Levin re Use of Interview Materials
  - r.  2010-11-10 G Cohen Letter to S Dahlgren re Use of Interview Materials
  - s.  2010-11-10 G Cohen Letter to S Manzari re Use of Interview Materials
  - t.  2010-11-10 G Cohen Letter to T Hempstead re Use of Interview Materials
  - u.  2010-11-10 G Cohen Letter to T Lund re Use of Interview Materials
  - v.  2010-11-10 G Cohen Letter to T Peterson re Use of Interview Materials
  - w.  2010-11-10 G Cohen Letter to W Dudley re Use of Interview Materials
  - x.  2010-11-10 G Cohen Letter to W English re Use of Interview Materials
  - y.  2010-11-10 G Cohen Letter to W Hallessy re Use of Interview Materials
  - z.  2011-11-10 G Cohen Letter to A Falcon re Use of Interview Material
  - aa.  2011-11-10 G Cohen Letter to A Marzol re Use of Interview Material
















































- ab.  2011-11-10 G Cohen Letter to B Doherty re Use of Interview Material
  - ac.  2011-11-10 G Cohen Letter to B Karpati re Use of Interview Material
  - ad.  2011-11-10 G Cohen Letter to C Dickerson re Use of Interview Material
  - ae.  2011-11-10 G Cohen Letter to D Dochow re Use of Interview Material
  - af.  2011-11-10 G Cohen Letter to D Kotz re Use of Interview Material
  - ag.  2011-11-10 G Cohen Letter to E DeMarco re Use of Interview Material
  - ah.  2011-11-10 G Cohen Letter to G Coleman re Use of Interview Material
  - ai.  2011-11-10 G Cohen Letter to J Dugan re Use of Interview Material
  - aj.  2011-11-10 G Cohen Letter to J Hawke re Use of Interview Material
  - ak.  2011-11-10 G Cohen Letter to J Lyons re Use of Interview Material
  - al.  2011-11-10 G Cohen Letter to K Lewis re Use of Interview Material
  - am.  2011-11-10 G Cohen Letter to M Eichner re Use of Interview Material
  - an.  2011-11-10 G Cohen Letter to M Fernandez re Use of Interview Material
  - ao.  2011-11-10 G Cohen Letter to M Finn re Use of Interview Material
  - ap.  2011-11-10 G Cohen Letter to M Harter re Use of Interview Material
  - aq.  2011-11-10 G Cohen Letter to R Frake re Use of Interview Material
  - ar.  2011-11-10 G Cohen Letter to R Kovacevich re Use of Interview Material
  - as.  2011-11-10 G Cohen Letter to R Levy re Use of Interview Material
  - at.  2011-11-10 G Cohen Letter to S Corona re Use of Interview Material
  - au.  2011-11-10 G Cohen Letter to S Eckert re Use of Interview Material
  - av.  2011-11-10 G Cohen Letter to W Donaldson re Use of Interview Material
10.  2010-11-17
- a.  2010-11-17 G Cohen letter to Antoncic re Use of Interview Material
  - b.  2010-11-17 G Cohen letter to Callan re Use of Interview Material
  - c.  2010-11-17 G Cohen letter to Cisneros re Use of Interview Material
  - d.  2010-11-17 G Cohen letter to Cox re Use of Interview Material
  - e.  2010-11-17 G Cohen letter to Davidson re Use of Interview Material
  - f.  2010-11-17 G Cohen letter to Derman re Use of Interview Material
  - g.  2010-11-17 G Cohen letter to Doyle re Use of Interview Material
  - h.  2010-11-17 G Cohen letter to Eisman re Use of Interview Material
  - i.  2010-11-17 G Cohen letter to Fahey re Use of Interview Material
  - j.  2010-11-17 G Cohen letter to Fink re Use of Interview Material
  - k.  2010-11-17 G Cohen letter to Fons re Use of Interview Material
  - l.  2010-11-17 G Cohen letter to Friedman re Use of Interview Material
  - m.  2010-11-17 G Cohen letter to Froeba re Use of Interview Material
  - n.  2010-11-17 G Cohen letter to Fuld re Use of Interview Material
  - o.  2010-11-17 G Cohen letter to Gaffney re Use of Interview Materials
  - p.  2010-11-17 G Cohen letter to Gates re Use of Interview Materials
  - q.  2010-11-17 G Cohen letter to Gelband re Use of Interview Material
  - r.  2010-11-17 G Cohen letter to Goodman re Use of Interview Material
  - s.  2010-11-17 G Cohen letter to Grant re Use of Interview Material
  - t.  2010-11-17 G Cohen letter to Hockett re Use of Interview Materials
  - u.  2010-11-17 G Cohen letter to Isaac re Use of Interview Materials
  - v.  2010-11-17 G Cohen letter to Jackson re Use of Interview Materials
  - w.  2010-11-17 G Cohen letter to Johnson re Use of Interview Materials
  - x.  2010-11-17 G Cohen letter to Kempner re Use of Interview Materials
  - y.  2010-11-17 G Cohen letter to Kirk re Use of Interview Materials
  - z.  2010-11-17 G Cohen letter to Kohn re Use of Interview Materials
  - aa.  2010-11-17 G Cohen letter to Kolchinsky re Use of Interview Materials
  - ab.  2010-11-17 G Cohen letter to Ledley re Use of Interview Materials
  - ac.  2010-11-17 G Cohen letter to Lind re Use of Interview Materials
  - ad.  2010-11-17 G Cohen letter to Litan re Use of Interview Materials
  - ae.  2010-11-17 G Cohen letter to Lowitt re Use of Interview Materials
  - af.  2010-11-17 G Cohen letter to Mahar re Use of Interview Materials
  - ag.  2010-11-17 G Cohen letter to Mai re Use of Interview Materials

- ah.  2010-11-17 G Cohen letter to Marino re Use of Interview Materials
- ai.  2010-11-17 G Cohen letter to Martinez re Use of Interview Materials
- aj.  2010-11-17 G Cohen letter to Masters re Use of Interview Materials
- ak.  2010-11-17 G Cohen letter to McDade re Use of Interview Materials
- al.  2010-11-17 G Cohen letter to Miller re Use of Interview Materials
- am.  2010-11-17 G Cohen letter to Mukasey re Use of Interview Materials
- an.  2010-11-17 G Cohen letter to Reinhart re Use of Interview Materials
- ao.  2010-11-17 G Cohen letter to Ruberti re Use of Interview Materials
- ap.  2010-11-17 G Cohen letter to Russo re Use of Interview Materials
- aq.  2010-11-17 G Cohen letter to Sandler re Use of Interview Materials
- ar.  2010-11-17 G Cohen letter to Siddique re Use of Interview Materials
- as.  2010-11-17 G Cohen letter to Stroupe re Use of Interview Materials
- at.  2010-11-17 G Cohen letter to Volcker re Use of Interview Materials
- au.  2010-11-17 G Cohen letter to Warsh re Use of Interview Materials
- av.  2010-11-17 G Cohen letter to Weicher re Use of Interview Materials
- aw.  2010-11-17 G Cohen letter to Witt re Use of Interview Materials
- 11.  2010-11-18
  - a.  2010-11-18 G Cohen letter to Allison re Use of Interview Materials
  - b.  2010-11-18 G Cohen letter to Ashley re Use of Interview Materials
  - c.  2010-11-18 G Cohen letter to Breeden re Use of Interview Materials
  - d.  2010-11-18 G Cohen letter to Callahan re Use of Interview Materials
  - e.  2010-11-18 G Cohen letter to Cifuentes re Use of Interview Materials
  - f.  2010-11-18 G Cohen letter to Das re Use of Interview Materials
  - g.  2010-11-18 G Cohen letter to Delmar re Use of Interview Materials
  - h.  2010-11-18 G Cohen letter to Dinallo re Use of Interview Materials
  - i.  2010-11-18 G Cohen letter to Dollar re Use of Interview Materials
  - j.  2010-11-18 G Cohen letter to Duffie re Use of Interview Materials
  - k.  2010-11-18 G Cohen letter to Egol re Use of Interview Materials
  - l.  2010-11-18 G Cohen letter to Gaffney re Use of Interview Materials
  - m.  2010-11-18 G Cohen letter to Goldschmid re Use of Interview Materials
  - n.  2010-11-18 G Cohen letter to Gorton re Use of Interview Materials
  - o.  2010-11-18 G Cohen letter to Hendricks re Use of Interview Materials
  - p.  2010-11-18 G Cohen letter to Johnson re Use of Interview Materials
  - q.  2010-11-18 G Cohen letter to Kohlhagen re Use of Interview Materials
  - r.  2010-11-18 G Cohen letter to Kyle re Use of Interview Materials
  - s.  2010-11-18 G Cohen letter to Levitt re Use of Interview Materials
  - t.  2010-11-18 G Cohen letter to Lindsay re Use of Interview Materials
  - u.  2010-11-18 G Cohen letter to Luciano re Use of Interview Materials
  - v.  2010-11-18 G Cohen letter to Ludwig re Use of Interview Materials
  - w.  2010-11-18 G Cohen letter to Luk re Use of Interview Materials
  - x.  2010-11-18 G Cohen letter to Lyons re Use of Interview Materials
  - y.  2010-11-18 G Cohen letter to Markowitz re Use of Interview Materials
  - z.  2010-11-18 G Cohen letter to McCulley re Use of Interview Materials
  - aa.  2010-11-18 G Cohen letter to Nazareth re Use of Interview Materials
  - ab.  2010-11-18 G Cohen letter to Norton re Use of Interview Materials
  - ac.  2010-11-18 G Cohen letter to O'Neill re Use of Interview Materials
  - ad.  2010-11-18 G Cohen letter to Parmer re Use of Interview Materials
  - ae.  2010-11-18 G Cohen letter to Paulson re Use of Interview Materials
  - af.  2010-11-18 G Cohen letter to Pitt re Use of Interview Materials
  - ag.  2010-11-18 G Cohen letter to Shu re Use of Interview Materials
  - ah.  2010-11-18 G Cohen letter to Silver re Use of Interview Materials
  - ai.  2010-11-18 G Cohen letter to Sparks re Use of Interview Materials
  - aj.  2010-11-18 G Cohen letter to Swecker re Use of Interview Materials
  - ak.  2010-11-18 G Cohen letter to Taylor re Use of Interview Materials
- 12.  2010-11-22
  - a.  2010-11-22 G Cohen Letter to Chrin re Use of Interview Materials






















































- b.  2010-11-22 G Cohen Letter to De Leon re Use of Interview Materials
  - c.  2010-11-22 G Cohen Letter to Fillips re Use of Interview Materials
  - d.  2010-11-22 G Cohen Letter to G Park re Use of Quotes
  - e.  2010-11-22 G Cohen Letter to Hallloran re Use of Interview Materials
  - f.  2010-11-22 G Cohen Letter to McCleskey re Use of Interview Materials
  - g.  2010-11-22 G Cohen Letter to Saunders re Use of Interview Materials
  - h.  2010-11-22 G Cohen Letter to Thompson re Use of Interview Materials
13.  2010-11-23
- a.  2010-11-23 G Cohen Letter to CanagaRetna re Use of Interview Material
  - b.  2010-11-23 G Cohen Letter to Chau re Use of Interview Material
  - c.  2010-11-23 G Cohen Letter to Cioffi re Use of Quotes
  - d.  2010-11-23 G Cohen Letter to Cruise re Use of Interview Material
  - e.  2010-11-23 G Cohen Letter to Gonzales re Use of Interview Material
  - f.  2010-11-23 G Cohen Letter to Kashkari re Use of Interview Material
  - g.  2010-11-23 G Cohen Letter to Lazear re Use of Interview Material
  - h.  2010-11-23 G Cohen Letter to Porter re Use of Interview Material
  - i.  2010-11-23 G Cohen Letter to Ranieri re Use of Interview Material
  - j.  2010-11-23 G Cohen Letter to Rokakis re Use of Interview Material
  - k.  2010-11-23 G Cohen Letter to Yellen re Use of Interview Material
14.  2010-12-01
- a.  2010-12-01 G Cohen Ltr to Apgar re Use of Interview Materials
  - b.  2010-12-01 G Cohen Ltr to Calhoun re Use of Interview Materials
  - c.  2010-12-01 G Cohen Ltr to Gordon re Use of Interview Materials
  - d.  2010-12-01 G Cohen Ltr to Grais re Use of Interview Materials
  - e.  2010-12-01 G Cohen Ltr to Greenspan re Use of Interview Materials
  - f.  2010-12-01 G Cohen Ltr to Lindsey re Use of Interview Materials
  - g.  2010-12-01 G Cohen Ltr to Parker re Use of Interview Materials
  - h.  2010-12-01 G Cohen Ltr to Savitt re Use of Interview Materials
  - i.  2010-12-01 G Cohen ltr to T Marano re Use of Quote
15.  2010-12-02
- a.  2010-12-02 G Cohen Ltr to Lund re Use of Quotes
  - b.  2010-12-02 G Cohen Ltr to Mudd re Use of Quotes
  - c.  2010-12-02 G Cohen ltr to Mukasey re Use of Quotes
  - d.  2010-12-02 G Cohen ltr to Tonucci re Use of Quotes
  - e.  2010-12-02 G Cohen Ltr to Wong re Use of Quotes
16.  2010-12-03
- a.  2010-12-03 G Cohen Letter to Alix re Use of Quotes
  - b.  2010-12-03 G Cohen Letter to Cayne re Use of Quotes
  - c.  2010-12-03 G Cohen Letter to Cioffi re Use of Quotes
  - d.  2010-12-03 G Cohen Letter to Dimon re Use of Quotes
  - e.  2010-12-03 G Cohen Letter to Lebedin re Use of Quotes
  - f.  2010-12-03 G Cohen Letter to Marano re Use of Quotes
  - g.  2010-12-03 G Cohen Letter to Molinaro re Use of Quotes
  - h.  2010-12-03 G Cohen Letter to Schwartz re Use of Quotes
  - i.  2010-12-03 G Cohen Letter to Upton re Use of Quotes
17.  2010-12-06
- a.  2010-12-06 G Cohen Letter to A Rutledge re Use of Quotes
  - b.  2010-12-06 G Cohen Letter to Bair re Use of Quotes
  - c.  2010-12-06 G Cohen Letter to Barnes re Use of Quotes
  - d.  2010-12-06 G Cohen Letter to Brown re Use of Quotes
  - e.  2010-12-06 G Cohen Letter to Bushnell re Use of Quotes
  - f.  2010-12-06 G Cohen Letter to Dominguez re Use of Quotes
  - g.  2010-12-06 G Cohen Letter to Dugan re Use of Quotes
  - h.  2010-12-06 G Cohen Letter to Duke re Use of Quotes
  - i.  2010-12-06 G Cohen Letter to N Weill re Use of Quotes
  - j.  2010-12-06 G Cohen Letter to S Weill re Use of Quotes


- k.  2010-12-06 G Cohen Letter to Sambol re Use of Quotes
- l.  2010-12-06 G Cohen Letter to Siegel re Use of Quotes
- m.  2010-12-06 G Cohen Letter to Solomon re Use of Quotes
- n.  2010-12-06 G Cohen Letter to Stein re Use of Quotes
- o.  2010-12-06 G Cohen Letter to Syron re Use of Quotes
- p.  2010-12-06 G Cohen Letter to Westerkamp re Use of Quotes
- q.  2010-12-06 G Cohen Letter to Wilson re Use of Quotes
- r.  2010-12-06 G Cohen Letter to Zandi re Use of Quotes
- 18.  2010-12-07
  - a.  2010-12-07 G Cohen Letter to Eisman re Use of Quotes
  - b.  2010-12-07 G Cohen Letter to Fuld re Use of Quotes
  - c.  2010-12-07 G Cohen Letter to Geithner re Use of Quotes
  - d.  2010-12-07 G Cohen Letter to Kelly re Use of Quotes
  - e.  2010-12-07 G Cohen Letter to Kim re Use of Quotes
  - f.  2010-12-07 G Cohen Letter to Levin re Use of Quotes
  - g.  2010-12-07 G Cohen Letter to Ludwig re Use of Quotes
  - h.  2010-12-07 G Cohen Letter to Lyons re Use of Quotes
  - i.  2010-12-07 G Cohen Letter to Park re Use of Quotes
  - j.  2010-12-07 G Cohen Letter to Prince re Use of Quotes
  - k.  2010-12-07 G Cohen Letter to Rubin re Use of Quotes
  - l.  2010-12-07 G Cohen Letter to Wagner re Use of Quotes
  - m.  2010-12-07 G Cohen Letter to Winer re Use of Quotes
- 19.  2010-12-10
  - a.  2010-12-10 G Cohen Letter to S Dahlgren re Use of Quotes
  - b.  2010-12-10 G Cohen Letter to S Leventhal re Use of Quotes
- 20.  2010-12-13
  - a.  2010-12-13 G Cohen Letter to E Sirri re Use of Quotes
  - b.  2010-12-13 G Cohen Letter to M Eichner re Use of Quotes
  - c.  2010-12-13 G Cohen Letter to M Halloran re Use of Quotes
  - d.  2010-12-13 G Cohen Letter to T Clark re Use of Quotes
- 21.  2010-12-14
  - a.  2010-12-14 G Cohen Letter to Adelson re Use of Quotes.docx
  - b.  2010-12-14 G Cohen Letter to Baxter re Use of Quotes
  - c.  2010-12-14 G Cohen Letter to Bookstaber re Use of Quotes.docx
  - d.  2010-12-14 G Cohen Letter to Cioffi re Use of Quotes.docx
  - e.  2010-12-14 G Cohen Letter to Dahlgren re Use of Quotes.docx
  - f.  2010-12-14 G Cohen Letter to Gonzales re Use of Quotes.docx
  - g.  2010-12-14 G Cohen Letter to Gorton re Use of Quotes.docx
  - h.  2010-12-14 G Cohen Letter to Lockhart re Use of Quotes.docx
  - i.  2010-12-14 G Cohen Letter to Martinez re Use of Quotes.docx
  - j.  2010-12-14 G Cohen Letter to Mudd re Use of Quotes.docx
  - k.  2010-12-14 G Cohen Letter to Price re Use of Quotes.docx
  - l.  2010-12-14 G Cohen Letter to Reed re Use of Quotes.docx
  - m.  2010-12-14 G Cohen Letter to Snow re Use of Quotes.docx
  - n.  2010-12-14 G Cohen Letter to Syron re Use of Quotes.docx
  - o.  2010-12-14 G Cohen Letter to Waring re Use of Quotes.docx
- 22.  2010-12-15
  - a.  2010-12-15 G Cohen Letter to Bisenius re Use of Quotes
  - b.  2010-12-15 G Cohen Letter to Bowen re Use of Quotes
  - c.  2010-12-15 G Cohen Letter to Carpenter re Use of Quotes
  - d.  2010-12-15 G Cohen Letter to Cisneros re Use of Quotes
  - e.  2010-12-15 G Cohen Letter to Cole re Use of Quotes
  - f.  2010-12-15 G Cohen Letter to Dudley re Use of Quotes
  - g.  2010-12-15 G Cohen Letter to Forster re Use of Quotes
  - h.  2010-12-15 G Cohen Letter to Jackson re Use of Quotes
  - i.  2010-12-15 G Cohen Letter to Jones re Use of Quotes

- j.  2010-12-15 G Cohen Letter to K Lewis re Use of Quotes
  - k.  2010-12-15 G Cohen Letter to Lamont re Use of Quotes
  - l.  2010-12-15 G Cohen Letter to Loney re Use of Quotes
  - m.  2010-12-15 G Cohen Letter to Manzari re Use of Quotes
  - n.  2010-12-15 G Cohen Letter to Mozilo re Use of Quotes
  - o.  2010-12-15 G Cohen Letter to O'Neal re Use of Quotes
  - p.  2010-12-15 G Cohen Letter to Parkinson re Use of Quotes
  - q.  2010-12-15 G Cohen Letter to Steel re Use of Quotes
  - r.  2010-12-15 G Cohen Letter to Stumpf re Use of Quotes
  - s.  2010-12-15 G Cohen Letter to Thain re Use of Quotes
  - t.  2010-12-15 G Cohen Letter to Warsh re Use of Quotes
23.  2010-12-16
- a.  2010-12-16 G Cohen Letter to A Hart re Use of Quotes
  - b.  2010-12-16 G Cohen Letter to A Schwartz re Use of Quotes
  - c.  2010-12-16 G Cohen Letter to Alix re Use of Quotes
  - d.  2010-12-16 G Cohen Letter to Alvarez re Use of Quotes
  - e.  2010-12-16 G Cohen Letter to B Leach re Use of Quote
  - f.  2010-12-16 G Cohen Letter to Baxter re Use of Quotes
  - g.  2010-12-16 G Cohen Letter to Bies re Use of Quotes
  - h.  2010-12-16 G Cohen Letter to Cassano re Use of Quotes
  - i.  2010-12-16 G Cohen Letter to Chairman Bernanke re Use of Quotes
  - j.  2010-12-16 G Cohen Letter to Crabtree re Use of Quote
  - k.  2010-12-16 G Cohen Letter to Eisman re Use of Quotes
  - l.  2010-12-16 G Cohen Letter to Flowers re Use of Quotes
  - m.  2010-12-16 G Cohen Letter to G Burks re Use of Quote
  - n.  2010-12-16 G Cohen Letter to Gensler re Use of Quotes
  - o.  2010-12-16 G Cohen Letter to H Allison re Use of Quote
  - p.  2010-12-16 G Cohen Letter to H Paulson re Use of Quotes
  - q.  2010-12-16 G Cohen Letter to Hunt re Use of Quotes
  - r.  2010-12-16 G Cohen Letter to J Mack re Use of Quote
  - s.  2010-12-16 G Cohen Letter to J Reich re Use of Quote
  - t.  2010-12-16 G Cohen Letter to Kirk re Use of Quotes
  - u.  2010-12-16 G Cohen Letter to L Schwartz re Use of Quote
  - v.  2010-12-16 G Cohen Letter to Lee re Use of Quotes
  - w.  2010-12-16 G Cohen Letter to Levitt re Use of Quotes
  - x.  2010-12-16 G Cohen Letter to Martinez re Use of Quotes
  - y.  2010-12-16 G Cohen Letter to Mishkin re Use of Quotes
  - z.  2010-12-16 G Cohen Letter to N Kashkari re Use of Quote
  - aa.  2010-12-16 G Cohen Letter to Olson re Use of Quotes
  - ab.  2010-12-16 G Cohen Letter to Parratt re Use of Quotes
  - ac.  2010-12-16 G Cohen Letter to Plepler re Use of Quotes
  - ad.  2010-12-16 G Cohen Letter to R Maker re Use of Quote
  - ae.  2010-12-16 G Cohen Letter to R Marcus re Use of Quotes
  - af.  2010-12-16 G Cohen Letter to R Rajan re Use of Quotes
  - ag.  2010-12-16 G Cohen Letter to Ranieri re Use of Quotes
  - ah.  2010-12-16 G Cohen Letter to Sandler re Use of Quotes
  - ai.  2010-12-16 G Cohen Letter to Siddique re Use of Quotes
  - aj.  2010-12-16 G Cohen Letter to Syron re Use of Quotes
  - ak.  2010-12-16 G Cohen Letter to Tabe re Use of Quotes
  - al.  2010-12-16 G Cohen Letter to Willumstad re Use of Quotes
24.  2010-12-17
- a.  2010-12-17 G Cohen Letter to Bair re Use of Quotes
  - b.  2010-12-17 G Cohen Letter to Baxter re Use of Quotes
  - c.  2010-12-17 G Cohen Letter to Breeden re Use of Quotes
  - d.  2010-12-17 G Cohen Letter to Clarkson re Use of Quotes
  - e.  2010-12-17 G Cohen Letter to Dahlgren re Use of Quotes

- f.  2010-12-17 G Cohen Letter to Goldschmid re Use of Quotes
  - g.  2010-12-17 G Cohen Letter to McCabe re Use of Quotes
  - h.  2010-12-17 G Cohen Letter to McDaniel re Use of Quotes
  - i.  2010-12-17 G Cohen Letter to Mukasey re Use of Quotes
  - j.  2010-12-17 G Cohen Letter to Palumbo re Use of Quotes
  - k.  2010-12-17 G Cohen Letter to Snow re Use of Quotes
  - l.  2010-12-17 G Cohen Letter to Wood re Use of Quotes
  - m.  2010-12-20 G Cohen email re Use of Bernanke Quote
  - n.  2010-12-29 C Waskowicz letter to J Reed re Use of Quotes
  - o.  2010-12-31 Email re Use of Governor Cuomo's Interview with the FCIC
  - p.  2011-01-03 C Waskowicz letter to G Gorton re Use of Quote
  - q.  2011-01-03 C Waskowicz letter to G Lippmann re Use of Quote
  - r.  2011-01-03 C Waskowicz letter to G Park re Use of Quotes
  - s.  2011-01-04 C Waskowicz Ltr re Use of Maheras Quote
  - t.  2011-01-04 C Waskowicz Ltr re Use of Syron Quote
  - u.  2011-01-13 C Waskowicz letter to Director Mueller
  - v.  2011-01-13 C Waskowicz letter to J Swartz
  - w.  2011-01-13 C Waskowicz letter to J Wood
  - x.  2011-01-13 C Waskowicz letter to R Diamond
  - y.  2011-01-13 C Waskowicz letter to R Marcus
25.  Response Letters
- a.  12-17-10 K Kramer Ltr re Use of Dallavecchia MFR
  - b.  2010-11-10 Letter from R Brown to G Cohen re Steven Meier Interview
  - c.  2010-11-11 S Hanna ltr re Release of Chris Ricciardi Interview
  - d.  2010-11-12 W Moran ltr to G Cohen re Use of Dow Kim Interview
  - e.  2010-11-15 B Rohde email re Use of Gene Park Interview
  - f.  2010-11-19 OCC Letter re Use of Interview Materials
  - g.  2010-11-22 JPM (E Goldstein) ltr to G Cohen re Disclosure of Confidential Material
  - h.  2010-11-22 K Kramer ltr re Use of Dallavecchia Interview Material
  - i.  2010-11-23 Weicher Ltr re Use of Interview Materials
  - j.  2010-11-26 B Rohde Email re Use of Gene Park Quotes
  - k.  2010-11-29 D Elbaum ltr re Use of J Goodman Interview
  - l.  2010-11-29 D Gussman Email re Use of MFR
  - m.  2010-11-29 T Pritchard ltr to G Cohen re Use of J Kronthal Interview
  - n.  2010-12-01 E Goldstein ltr to G Cohen re Use of JPM Bear MFRS
  - o.  2010-12-02 D Heyl ltr re Use of Interview Materials for Gorton Frost and Micottis
  - p.  2010-12-02 E Goldstein ltr to G Cohen re Tom Morano Quote
  - q.  2010-12-02 J Wareham ltr re Use of Dan Mudd Interview Materials
  - r.  2010-12-03 J Gallgher email re Use of Syron Audio
  - s.  2010-12-06 A Rutledge email re Use of Quotes
  - t.  2010-12-06 Bridgman Email re Use of Comptroller Dugan Quotes
  - u.  2010-12-06 J Gallagher email re Use of Syron Quotes
  - v.  2010-12-06 K Winer ltr re Use of Marzol MFR
  - w.  2010-12-06 Nunley email re Use of Richard Westerkamp Quote
  - x.  2010-12-07 Bosset email re Use of Eugene Ludwig Quote
  - y.  2010-12-07 D Zinman ltr re Use of Charles Gates MFR
  - z.  2010-12-07 E Bosset ltr re Use of Ludwig Interview Material
  - aa.  2010-12-07 E Kitchen email re Use of Robert Levin Quotes
  - ab.  2010-12-07 M Hingston ltr re Use of Solomon Quotes
  - ac.  2010-12-07 P Hynes ltr re Use of Dick Fuld Quote
  - ad.  2010-12-07 R Yanes ltr re Use of D Wong Quotes
  - ae.  2010-12-08 B Rohde email re Use of G Park quotes
  - af.  2010-12-08 Ceresney ltr re Use of Mukasey quotes
  - ag.  2010-12-08 E Goldstein ltr to G Cohen re A Schwartz Interview
  - ah.  2010-12-08 E Goldstein ltr to G Cohen re J Cayne Interview










- ai.  2010-12-08 E Goldstein ltr to G Cohen re L Lebedin Interview
- aj.  2010-12-08 E Goldstein ltr to G Cohen re M Alix and S Molinaro Interview
- ak.  2010-12-08 E Goldstein ltr to G Cohen re R Upton Interview
- al.  2010-12-08 J Wareham ltr re Use of Dan Mudd quotes
- am.  2010-12-08 L Imes ltr re Use of N Dominguez Quotes
- an.  2010-12-08 M Levy ltr re Use of Thomas Lund Quotes
- ao.  2010-12-09 S Nelles ltr re Use of Stein Quotes
- ap.  2010-12-09 S Nelles ltr re Use of Zandi Quotes
- aq.  2010-12-10 D Caperton email re Use of Docs and Quotes
- ar.  2010-12-10 J Hershman ltr to G Cohen re D Bisenius Quotes
- as.  2010-12-10 K Winer email re Use of Mark Winer MFR
- at.  2010-12-10 K Winer ltr re Use of Mark Winer Quotes
- au.  2010-12-10 Moran Letter Re Clarification of Dow Kim Quote Usage
- av.  2010-12-10 Moran ltr re Use of Dow Kim Quotes
- aw.  2010-12-10 R Cole email re Use of Quotes
- ax.  2010-12-10 S Forstein email re Use of Sollazo Quote
- ay.  2010-12-10 W Brown ltr re Use of Sambol quotes
- az.  2010-12-13 M Levy ltr re Mike Quinn April MFR Edits
- ba.  2010-12-13 M Levy ltr re Mike Quinn March MFR Edits
- bb.  2010-12-13 M Levy ltr re Rosenblatt MFR Edits
- bc.  2010-12-13 M Levy ltr re Tom Lund MFR edits
- bd.  2010-12-13 M Levy ltr re Use of Quotes
- be.  2010-12-13 R Brown ltr to G Cohen re Use of Interview Materials
- bf.  2010-12-13 S Nelles ltr re Use of Nicholas Weill Quotes
- bg.  2010-12-13 W Ricciardi ltr to G Cohen re Use of Deutsche Material
- bh.  2010-12-14 B Karp ltr re Use of Citigroup interview materials
- bi.  2010-12-14 B Karp ltr re Use of Citigroup quotes
- bj.  2010-12-14 L Bridgman email re Use of John Lyons Quote
- bk.  2010-12-14 S Forstein email re Use of Erik Sirri quote
- bl.  2010-12-14 S Nelles Ltr re Use of Jay Siegel Quotes
- bm.  2010-12-15 M Berger ltr re Use of Secretary John Snow Quotes
- bn.  2010-12-15 R Bookstaber email re Use of Quote
- bo.  2010-12-16 B Markley email re Use of Adelson Quotes
- bp.  2010-12-16 C Waskowicz email re Use of Roger Cole Quotes
- bq.  2010-12-16 email re Use of Ruhi Maker Quotes
- br.  2010-12-16 G Cohen email re Use of Cassano quote
- bs.  2010-12-16 J Reich email re Use of John Reich Quotes
- bt.  2010-12-16 R Alperstein email re Use of Laura Schwartz Quotes
- bu.  2010-12-17 B Karp ltr re Use of JPMorgan Documents
- bv.  2010-12-17 C Waskowicz email re Use of FHFA quotes
- bw.  2010-12-17 C Waskowicz email re use of Summers quote
- bx.  2010-12-17 Email re Use of J. Christopher Flowers Quote
- by.  2010-12-17 email re Use of Jonathan Wood Quote
- bz.  2010-12-17 Email re Use of Professor Mishkin Quotes
- ca.  2010-12-17 Froeba ltr re Use of MFR
- cb.  2010-12-17 G Burks email re Use of Quote
- cc.  2010-12-17 G Crabtree email re Use of Quote
- cd.  2010-12-17 M Berger ltr re Use of Snow Quotes
- ce.  2010-12-17 M Chipega ltr re Use of O'Neal Quotes
- cf.  2010-12-17 M Halloran email re Use of Quotes and MFR
- cg.  2010-12-17 M Hingston ltr re Use of Gonzalez and Waring Quotes
- ch.  2010-12-17 N Kashkari Email re Use of Neel Kashkari Quotes
- ci.  2010-12-17 R Brown ltr r John Mack Quotes
- cj.  2010-12-17 R Marcus email re Use of Quote
- ck.  2010-12-17 S Kardell ltr re Use of Bowen Quotes

- cl.  2010-12-17 S Leventhal ltr to G. Cohen re Baxter and Dahlgren Statements
- cm.  2010-12-20 Brodsky ltr re Use of Forster Quote
- cn.  2010-12-20 J Gallagher email re Use of Syrons Quote
- co.  2010-12-20 K Downey Ltr re Use of Paulson Quotes
- cp.  2010-12-20 Lewis Ranieri quote changes
- cq.  2010-12-20 R Brown ltr to G Cohen re Plepler Quote
- cr.  2010-12-20 R Brown ltr to G Cohen re Plepler Quote
- cs.  2010-12-20 S Cousins email re Use of Jackson Quote
- ct.  2010-12-20 S Forstein email re Matt Eichner quote
- cu.  2010-12-20 S Forstein email re Use of Eichner Quote
- cv.  2010-12-20 S Forstein email re Use of Parratt Quote
- cw.  2010-12-21 A Hart email re Use of Quotes
- cx.  2010-12-21 B Karp ltr re Use of Citi Documents and Quotes
- cy.  2010-12-21 Ceresney ltr re Use of Mukasey Quotes
- cz.  2010-12-21 E Goldstein (JPM) ltr to G Cohen re Use of Dimon Quotes
- da.  2010-12-21 email re Use of DeMarco Quote
- db.  2010-12-21 email re Use of Mark Adelson Quotes
- dc.  2010-12-21 Email re Use of Sabbeth Siddique Quotes
- dd.  2010-12-21 R Alperstein ltr re Use of Laura Schwartz Quotes
- de.  2010-12-21 W Riccardi ltr re Use of Lamont Quotes
- df.  2010-12-21 G Petrillo email re Use of Allison Quotes and MFR
- dg.  2010-12-22 AIG (S Nettleton) ltr re Use of Habayeb Audio
- dh.  2010-12-22 E Beers email re Use of Mueller Quote
- di.  2010-12-22 Krimminger ltr re Use of FDIC quotes and docs
- dj.  2010-12-22 Osterman (FDIC) ltr to G Cohen re Use of Quotes and Interview Materials
- dk.  2010-12-22 S Nettleton ltr re Redactions to Habayeb audio
- dl.  2010-12-22 Wyatt ltr to G Cohen re Use of Ken Lewis Quotes
- dm.  2010-12-23 J Carey (JPM) ltr re Use of Doc
- dn.  2010-12-27 OCC (J Williams) ltr re Use of Quotes and Interview Materials
- do.  2011-01-03 Rohde email re Use of Park Quotes
- dp.  2011-01-04 D Heyl ltr re Use of Gorton Quotes
- dq.  2011-01-05 D Heyl ltr re Use of Frost Audio
- dr.  2011-01-06 R Ricciari ltr re Use of Lippmann Quotes
- ds.  2011-01-07 B Karp ltr re Use of Maheras Quote
- dt.  2011-01-10 Ehlman ltr re Release of Michael Vardas Audio
- du.  2011-01-14 S Nelles ltr re release of Moodys audio
- dv.  2011-01-18 D Brodsky ltr re Release of Forster audio
- dww.  2011-01-18 W Moran ltr re relase of Dow Kim audio
- dx.  2011-01-22 R Yanes ltr re Release of Morgan Stanley Interviews
- dy.  2011-01-24 W Ricciardi ltr re Release of Deutsche Bank interviews
- dz.  2011-01-25 BAC Letter to FCIC re Audio Files
- ea.  2011-01-25 OTS letter to Gary Cohen re release of OTS interviews
- eb.  2011-01-25 W Moran ltr re Release of Dow Kim interview
- ec.  2011-01-26 E Goldstein ltr re Release of JPMorgan MFRs and audio
- ed.  2011-01-26 R Alperstein ltr re Release of Laura Schwartz audio
- ee.  2011-01-27 J Wareham ltr re Release of Mudd MFR
- ef.  2011-1-24 Letter re Recordings of G. Gorton and P. Micottis FCIC Interviews

M.  misc

1.  Jeff Bohl

- a.  Bohl. Freddie mac 2nd quarter conference call July 2008. 2009-3-23 21-24-3
- b.  Emails and Articles form Jeff Bohl
- c.  fanie mae feddie septembe 2008intro
- d.  general discussion banking mach 2009
- e.  henrey paulson july15th 2008 capital markets and gses
- f.  henry paulson introduction to gse reform july 15th 2008
- g.  merekeley testimony 3 4 2009 banking

- h.  patricia connecticut law school
- i.  shelby fannie and freddie comment march 2009
- N.  01 20 2011 - Letter from Vice Chairman Thomas to Chairman Angelides
- O.  2009-08-01. Dual\_Comp\_Reduction\_Waiver\_Bill\_Thomas
- P.  2009-08-01. Dual\_Comp\_Reduction\_Waiver\_Sen\_Graham
- Q.  2009-08-07 Letter to Glenn Yago
- R.  2009-11-11 Letter from Chairman to Anthony Downs
- S.  2009-12-10. Ltr to Conrad \_and\_ Isakson\_re\_ additional budget allocation
- T.  2009-12-17. DelRossi Response Letter
- U.  2009-12-23 \_\_Letter\_to\_Chairman\_Bernanke\_re\_REDLINE\_Sharing\_Nonpublic\_Information
- V.  2009-12-23\_Letter\_to\_Chairman\_Bernanke\_re\_Sharing\_Nonpublic\_Information
- W.  2009-7-31. Ltr.Reply to Darrell Issa. TY for Report. 7-31-09
- X.  2010-01-06\_FCIC\_Letter\_to\_Chairman\_Bernanke\_re\_Confidentiality\_of\_Non-Public\_Information
- Y.  2010-01-07\_FCIC\_Letter\_to\_Federal\_Registrar\_designating\_GKN\_as\_certifying\_official
- Z.  2010-01-21\_Letter\_to\_Chairman\_Frank\_re\_FCIC\_Funding
- AA.  2010-04-05. FCIC Response Ltr to Congressman Turner
- AB.  2010-04-14. FCIC Ltr to Chairman Waxman-TY-Hearing Room
- AC.  2010-04-14. FCIC Ltr to Cong. Emerson. Response - Questions
- AD.  2010-04-23. Angelides.FCIC Response to Cong. Stearns and Israel
- AE.  2010-04-29. FCIC TY Charalambakis letter (2)
- AF.  2010-08-30\_issa\_letter\_to\_towns\_-\_fc[1]
- AG.  2010-09-01 Email to FRB Re\_ Transcript of 3\_23 interview with Alvarez
- AH.  2010-09-01 Goldman Sachs (R Klapper) ltr to C Seefer re Doc Production
- AI.  2010-09-02 BofA (R Brown) ltr 2 to A Crego re Doc Production
- AJ.  2010-09-02 BofA (R Brown) ltr to A Crego re Doc Production
- AK.  2010-09-02 BofA (R Brown) ltr to T Krebs re Doc Production
- AL.  2010-09-02 Merrill Lynch (R Brown) ltr to D Noonan re Doc Production
- AM.  2010-09-03 G Cohen ltr to NCU (R Fenner) re Doc Request re US Central
- AN.  2010-09-03 G Cohen ltr to NCU (R Fenner) re Doc Request re WesCorp
- AO.  2010-09-03 G Cohen ltr to Robbins Geller re Aug 27 Letter
- AP.  2010-09-03 Merrill Lynch (R Brown) ltr to C Seefer & D Noonan re Doc Production
- AQ.  2010-09-03 Merrill Lynch (R Brown) ltr to T Krebs re Doc Production
- AR.  2010-09-03 Moody's (S Ehrenberg) ltr to B McWilliams re Doc Production
- AS.  2010-09-03 Morgan Stanley (R Yanes) ltr to G Cohen re Doc Production
- AT.  2010-09-03 UBS (J Chapman) ltr to G Cohen re Doc Production
- AU.  2010-09-03 Wells Fargo (R Kelner) ltr to T Krebs re Doc Production
- AV.  2010-09-03 Wells Fargo (R Kelner) ltr 2 to T Krebs re Doc Production
- AW.  2010-09-06 Merrill Lynch (R Brown) ltr to D Noonan re Doc Production
- AX.  2010-09-07 Follow-Up Letter to Mr. Rubin
- AY.  2010-09-07 FRBNY (M Patrick) ltr to B Bondi re Doc Production
- AZ.  2010-09-07 Letter to Kyle Walker
- BA.  2010-09-07 Merrill Lynch (R Brown) ltr to D Noonan re Doc Production
- BB.  2010-09-07 RBS (R Brown) ltr to T Krebs re Doc Production
- BC.  2010-09-08 FDIC (C Watts) ltr to C Seefer re Doc Production
- BD.  2010-09-08 FDIC (M Becker) Email to Seefer re - FDIC WaMu deliverable (disk) to FCIC
- BE.  2010-09-08 G Cohen ltr to NCUA re Doc Request
- BF.  2010-09-08 G Cohen ltr to NCUA re US Central Doc Request
- BG.  2010-09-08 G Cohen ltr to NCUA re WesCorp Doc Request
- BH.  2010-09-08 G Cohen ltr to Overstock (M Griffin) re Overstock Subpoena
- BI.  2010-09-08 G Cohen ltr to Overstock (M Griffin) re Overstock Subpoena and Copper River Partners
- BJ.  2010-09-08 G Cohen ltr to Overstock (M Griffin) re Overstock Subpoena and Gradient
- BK.  2010-09-08 JPMorgan (J Carey) ltr to T Borgers re Doc Production
- BL.  2010-09-08 Merrill Lynch (R Brown) ltr to D Noonan re Doc Production
- BM.  2010-09-08 Merrill Lynch (R Brown) ltr to D Noonan re Lone Star Funds
- BN.  2010-09-09 American Home Mortgage (M Greecher) ltr to T Krebs re Doc Production

BO.  2010-09-09 Barclays (D Braff) ltr to G Cohen re Doc Production

BP.  2010-09-09 CK Lee Response to Follow-Up

BQ.  2010-09-09 FRBNY (M Patrick) ltr to B Bondi re Doc Production

BR.  2010-09-09 JPMorgan (J Carey) ltr to G Cohen re Hearing One Follow-Up

BS.  2010-09-09 Putnam (J Tucker) ltr to D Noonan re doc Production

BT.  2010-09-10 Broderick Response to Follow-Up

BU.  2010-09-10 Follow-Up Response from Mr. Friedman

BV.  2010-09-10 Follow-Up Response from Mr. Molinaro

BW.  2010-09-10 Goldman Sachs (J Padgett) ltr to B Hinkley re Doc Production

BX.  2010-09-10 Merrill Lynch (R Brown) ltr to C Seefer re Doc Production

BY.  2010-09-10 Moody's (S Ehrenberg) ltr to B McWilliams re Doc Production

BZ.  2010-09-10 Morgan Stanley (R Yanes) ltr to B Hinkley re Doc Production

CA.  2010-09-10 Spector Response to Follow-Up

CB.  2010-09-10 Viniar Response to Follow-Up

CC.  2010-09-12 Follow-Up Response from Chairman Cox

CD.  2010-09-13 Follow-Up Letter 2 to Joseph Cassano

CE.  2010-09-13 G Cohen ltr to Genworth (L Roday) re Doc Request

CF.  2010-09-13 G Cohen ltr to MGIC (J Lane) re Doc Request

CG.  2010-09-13 G Cohen ltr to PMI (A Cameron) re Doc Request

CH.  2010-09-13 G Cohen ltr to Radian (E Hoffman) re Doc Request

CI.  2010-09-13 G Cohen ltr to RMIC (S LeRoy) re Doc Request

CJ.  2010-09-13 G Cohen ltr to Triad Guaranty (E Wall) re Doc Request

CK.  2010-09-13 G Cohen ltr to United Guaranty (S Medieros) re Doc Request

CL.  2010-09-13 UBS (D Butswinkas) ltr to T Krebs re Doc Production

CM.  2010-09-14 BofA (R Brown) ltr to R Borzekowski re Doc Production

CN.  2010-09-14 Countrywide (R Brown) ltr to T Krebs re Doc Production

CO.  2010-09-14 G Cohen ltr to Citi (B Karp) re Response to Interrogatories

CP.  2010-09-14 G Cohen ltr to FHFA (A Pollard) re Doc Request

CQ.  2010-09-14 G Cohen ltr to JPMorgan (B Karp) re Response to Interrogatories

CR.  2010-09-14 G Cohen ltr to R Brown re Confidentiality

CS.  2010-09-14 G Cohen ltr to Wells Fargo (R Kelner) re Response to Interrogatories

CT.  2010-09-14 Gensler Response to Hearing Follow-Up

CU.  2010-09-14 Merrill Lynch (R Brown) ltr 2 to C Seefer re Doc Production

CV.  2010-09-14 Merrill Lynch (R Brown) ltr to C Seefer re Doc Production

CW.  2010-09-14 Paulson Response to Hearing Follow-Up

CX.  2010-09-14 Reg Brown Email to G Cohen re Confidentiality

CY.  2010-09-15 B McWilliams ltr to M Schloessmann (R Brown) re Hearing Invitation

CZ.  2010-09-15 FNMA (J Kilduff) ltr to T Stanton re Doc Production

DA.  2010-09-15 FRBNY (M Patrick) ltr 2 to B Bondi re Doc Production

DB.  2010-09-15 FRBNY (M Patrick) ltr to B Bondi re Doc Production

DC.  2010-09-15 Merrill Lynch (R Brown) ltr to T Krebs re Doc Production

DD.  2010-09-15 NCUA (R Fenner) ltr to G Cohen re Doc Production

DE.  2010-09-15 Putnam (J Tucker) ltr to D Noonan re Doc Production

DF.  2010-09-15 UBS (J Chapman) ltr to G Cohen re Doc Production

DG.  2010-09-16 R Brown ltr to C Seefer re Confidentiality of Stan O'Neal Interview

DH.  2010-09-17 Countrywide (R Brown) ltr to FCIC re Doc Production

DI.  2010-09-17 G Cohen ltr to JPMorgan (B Karp) re Document Request

DJ.  2010-09-17 Magnetar (S Ross) ltr to C Seefer re Doc Production

DK.  2010-09-17 OCC (L Bridgman) ltr to T Stanton re Doc Production

DL.  2010-09-17 OTS (M Hingston) ltr to C Seefer re Doc Production


DM.  2010-09-17 Reg Brown ltr to C Seefer re Confidentiality of John Thain Interview


DN.  2010-09-17 UBS (J Chapman) ltr to M Simhai re Doc Production


DO.  2010-09-17 Wells Fargo (R Kelner) ltr to T Krebs re Doc Request


DP.  2010-09-17 WesCorp (R Fenner) ltr to G Cohen re Doc Production


DQ.  2010-09-20 Credit Suisse (L Richards) ltr to G Cohen re Doc Production


DR.  2010-09-20 G Cohen ltr to BofA (R Brown) re Doc Request


DS.  2010-09-20 G Cohen ltr to BofA (R Brown) re High Grade Credit CDO 2007-1


DT.  2010-09-20 G Cohen ltr to Florida State Board fo Administration (A Williams) re Doc Request


DU.  2010-09-20 Merrill Lynch (R Brown) ltr to C Seefer re Doc Production


DV.  2010-09-20 TY - Follow-Up Ltr - LV Field Hearing - Aguero


DW.  2010-09-20 TY - Follow-Up Ltr - LV Field Hearing - Bogden


DX.  2010-09-20 TY - Follow-Up Ltr - LV Field Hearing - Burks


DY.  2010-09-20 TY - Follow-Up Ltr - LV Field Hearing - Clinger, A


DZ.  2010-09-20 TY - Follow-Up Ltr - LV Field Hearing - Fontaine


EA.  2010-09-20 TY - Follow-Up Ltr - LV Field Hearing - Fraser, D


EB.  2010-09-20 TY - Follow-Up Ltr - LV Field Hearing - Gordon, B


EC.  2010-09-20 TY - Follow-Up Ltr - LV Field Hearing - Hill, Steven


ED.  2010-09-20 TY - Follow-Up Ltr - LV Field Hearing - Jeffries


EE.  2010-09-20 TY - Follow-Up Ltr - LV Field Hearing - Martin


EF.  2010-09-20 TY - Follow-Up Ltr - LV Field Hearing - Morrison


EG.  2010-09-20 TY - Follow-Up Ltr - LV Field Hearing - Murray


EH.  2010-09-20 TY - Follow-Up Ltr - LV Field Hearing - Satre


EI.  2010-09-20 UBS (J Chapman) ltr to G Cohen re Doc Production


EJ.  2010-09-21 American Home Mortgage (M Greecher) ltr to T Krebs re Doc Production


EK.  2010-09-21 BofA (R Brown) ltr to B Hinkley re Doc Production


EL.  2010-09-21 Citi (B Karp) ltr to G Cohen re Doc Request


EM.  2010-09-21 Fannie Mae (J Kilduff) ltr to G Cohen re Doc Production


EN.  2010-09-21 Freddie (J Hershman) ltr to G Cohen re Doc Production


EO.  2010-09-21 Radian (T Hunter) ltr to G Cohen re Doc Request


EP.  2010-09-21 SEC (S Forstein) ltr to W Edelberg re Doc Production


EQ.  2010-09-21 T Pritchard ltr to C Seefer re J Kronthal Interview


ER.  2010-09-21 UBS (J Chapman) ltr 2 to G Cohen re Doc Production


ES.  2010-09-21 UBS (J Chapman) ltr to G Cohen re Doc Production


ET.  2010-09-21 US Central (R Fenner) ltr to G Cohen re Doc Production


EU.  2010-09-22 Barclays (D Braff) ltr to G Cohen re Doc Production


EV.  2010-09-22 Countrywide (R Brown) ltr to FCIC re Doc Production


EW.  2010-09-22 FDIC (J Angel) ltr to T Stanton re Doc Production


EX.  2010-09-22 PMI (B Cullen) ltr to T Krebs re Doc Request


EY.  2010-09-23 BofA (R Brown) ltr to G Cohen re Doc Request


EZ.  2010-09-23 BofA ltr 2 to G Cohen re Doc Request


FA.  2010-09-23 FDIC (J Angel) ltr to T Borgers re Doc Production


FB.  2010-09-23 G Cohen ltr to JPMorgan (B Karp) re Doc Request


FC.  2010-09-24 FRB (D Caperton) Email to T Stanton re Doc Production


FD.  2010-09-24 G Cohen ltr to 250 Cap (Reg Brown)


FE.  2010-09-24 G Cohen ltr to ACA Fin (Groskaufmanis)


FF.  2010-09-24 G Cohen ltr to Cohen Co (Bruch)


FG.  2010-09-24 G Cohen ltr to GSC (Ross)


FH.  2010-09-24 G Cohen ltr to MKP Cap (DeVita)


FI.  2010-09-24 G Cohen ltr to NIBC (Herman)


FJ.  2010-09-24 G Cohen ltr to Putnam (Tucker)


FK.  2010-09-24 G Cohen ltr to State Street (Levy)


FL.  2010-09-24 G Cohen ltr to TCW


FM.  2010-09-24 G Cohen ltr to VERO (Barbaresi)


FN.  2010-09-24 G Cohen ltr to Vertical (Pearce)


FO.  2010-09-24 Morgan Stanley (R Yanes) ltr to B Hinkley re Doc Production
























































FP.  2010-09-27 BofA (R Brown) ltr to B Hinkley re Doc Production

FQ.  2010-09-27 FDIC (J Angel) ltr to T Stanton re Doc Production

FR.  2010-09-27 Genworth (J Taggart) ltr to T Krebs re Doc Production

FS.  2010-09-27 JPMorgan (E Goldstein) ltr to C Seefer re Doc Production

FT.  2010-09-27 JPMorgan (J Carey) ltr to B Hinkley re Doc Production

FU.  2010-09-28 Citi (B Karp) ltr to T Borgers re Doc Production  
FV.  2010-09-28 Harvey Miller Follow-Up Response  
FW.  2010-09-28 ICAP (E Smith) ltr to R Dodd re Doc Production  
FX.  2010-09-28 JPMorgan (J Carey) ltr to B Hinkley re Doc Production  
FY.  2010-09-29 250 Capital (R Brown) ltr to G Cohen re Doc Request  
FZ.  2010-09-29 250 Capital (R Brown) ltr to P Kavounas re Doc Production  
GA.  2010-09-29 ACA (S Berkowitz) ltr to G Cohen re Doc Request  
GB.  2010-09-29 G Cohen ltr to Aladdin Cap (Samy) re Doc Request  
GC.  2010-09-29 G Cohen ltr to AllianceBernstein (Cranch) re Doc request  
GD.  2010-09-29 G Cohen ltr to Babson Cap (Walsh) re Doc request  
GE.  2010-09-29 G Cohen ltr to Barclays (Braff of Sull) re Doc Request  
GF.  2010-09-29 G Cohen ltr to Bear Stearns (Karp of Paul Weiss) re Doc request  
GG.  2010-09-29 G Cohen ltr to BlackRock (Connolly) re Doc request  
GH.  2010-09-29 G Cohen ltr to Credit Suisse (L Richards of Richards) re Doc request  
GI.  2010-09-29 G Cohen ltr to Deerfield (Contreras) re Doc request  
GJ.  2010-09-29 G Cohen ltr to Deutsche Bank (Ricciardi of Paul Weiss) re Doc request  
GK.  2010-09-29 G Cohen ltr to GSO Capital (Finley) re Doc request  
GL.  2010-09-29 G Cohen ltr to ING Alt Asset (Lins) re Doc request  
GM.  2010-09-29 G Cohen ltr to Princeton Advisory (Sheehan) re Doc request  
GN.  2010-09-29 G Cohen ltr to Principal (Halter) re Doc request  
GO.  2010-09-29 G Cohen ltr to StoneTower (Brancaccio) re Doc request  
GP.  2010-09-29 G Cohen ltr to Structured Asset Inv (Kelner of Cov) re Doc request  
GQ.  2010-09-29 G Cohen ltr to Vanderbilt Cap (Porter of Pioneer) re Doc Request  
GR.  2010-09-29 M Greenberger ltr to W Edelberg re Doc Production  
GS.  2010-09-30 American Home Mortgage (M Greecher) ltr to T Krebs re Doc Production  
GT.  2010-09-30 BofA (R Brown) ltr to G Feldberg re Doc Production  
GU.  2010-09-30 Clayton (P Bossidy) ltr to FCIC re K Jonhson Testimony  
GV.  2010-09-30 FDIC (J Angel) ltr to T Borgers re Doc Production  
GW.  2010-09-30 FRBNY (M Patrick) ltr 2 to B Bondi re Doc Production  
GX.  2010-09-30 FRBNY (M Patrick) ltr to B Bondi re Doc Production  
GY.  2010-09-30 G Cohen ltr to FinCEN (D Wade) re Doc Request  
GZ.  2010-09-30 Goldman Sachs (R Klapper) ltr to B Hinkley ltr to B Hinkley re Doc Production  
HA.  2010-09-30 Moody's (S Ehrenberg) ltr to B McWilliams re Doc Production  
HB.  2010-09-30 Moody's Letter to FCIC re Clayton & Sacramento Hearing  
HC.  2010-09-30. Sacramento Hearing Angelides TY Letter - Johnson  
HD.  2010-09-30. Sacramento Hearing Angelides TY Letter - Lavulo & Moses  
HE.  2010-09-30. Sacramento Hearing Angelides TY Letter - Mann  
HF.  2010-09-30. Sacramento Hearing Angelides TY Letter - Putnam  
HG.  2010-09-30. Sacramento Hearing Angelides TY Letter - Stein  
HH.  2010-09-30. Sacramento Hearing Angelides TY Letter - Tawatao  
HI.  2010-09-30. Sacramento Hearing Angelides TY Letter - Wagner  
HJ.  2010-09-30. Sacramento Hearing Angelides TY Letter - Wagstaff  
HK.  2010-09-30. Sacramento Hearing Angelides TY Letter - Williams  
HL.  2010-09-30. Sacramento Hearing Angelides TY Letter - Wirz  
HM.  2010-09-30. Sacramento Hearing Angelides TY Letter to Hollis  
HN.  2010-10-01 Credit Suisse (L Richards) ltr to G Cohen re Doc Production  
HO.  2010-10-01 Deutsche (W Ricciardi) ltr to G Cohen re Doc Request  
HP.  2010-10-01 FinCEN (D Wade) ltr to G Cohen re Doc Request  
HQ.  2010-10-01 Florida SBA (M Hazen) ltr to G Cohen re Doc Production  
HR.  2010-10-01 Follow-Up Letter to Alvarez  
HS.  2010-10-01 Follow-Up Letter to Baxter  
HT.  2010-10-01 Follow-Up Letter to Chairman Bair  
HU.  2010-10-01 Follow-Up Letter to Chairman Bernanke  
HV.  2010-10-01 Follow-Up Letter to Fuld  
HW.  2010-10-01 Follow-Up Letter to Miller

HX.  2010-10-01 G Cohen ltr to DOJ Requesting Interviews re Mortgage Fraud  
 HY.  2010-10-01 G Cohen ltr to E Daniels re Request for Interview of Wing Chau  
 HZ.  2010-10-01 G Cohen ltr to Michael Mukasey re Interview Request  
 IA.  2010-10-01 HSBC (R Brown) ltr to G Cohen re Doc Production  
 IB.  2010-10-01 NIBC (B Herman) ltr to P Kavounas re Doc Production  
 IC.  2010-10-04 BlackRock (P Vaughan) ltr to G Cohen re Doc Request  
 ID.  2010-10-04 BofA (R Brown) ltr to B Hinkley re Doc Production  
 IE.  2010-10-04 BofA (R Brown) ltr to T Krebs re Doc Production  
 IF.  2010-10-04 C Seefer ltr to R Brown re Interview Request - Ken Lewis  
 IG.  2010-10-04 Credit Suisse (L Richards) ltr to G Cohen re Doc Request  
 IH.  2010-10-04 Freddie Mac (R Kelner) ltr to G Cohen re Doc Production  
 II.  2010-10-04 PMI (D Libow) ltr to T Krebs re Doc Production  
 IJ.  2010-10-04 R Brown Email - Re Ken Bruce (Merrill Analyst)  
 IK.  2010-10-04- S Berkowitz (ACA Financial) ltr to G Cohen re compliance  
 IL.  2010-10-04 Stone Tower (R Carangelo) ltr to G Cohen re Doc Production  
 IM.  2010-10-05 Florida SBA (M Hazan) ltr to G Cohen re Doc Production  
 IN.  2010-10-05 MKP (T DeVita) ltr to G Cohen re Doc Production  
 IO.  2010-10-05 Princeton (J Frey) ltr to G Cohen re Doc Request  
 IP.  2010-10-05 Princeton Advisory Group (J Frey) ltr to G Cohen re Doc Request  
 IQ.  2010-10-05 SEC (S Forstein) ltr to W Edelberg re Doc Production  
 IR.  2010-10-06 ACA (K Groskaufmanis) ltr to P Kavounas re Production of Replacement Files  
 IS.  2010-10-06 DOJ (R Weich) ltr to G Cohen re Interview Requests  
 IT.  2010-10-06 Florda SBA (M Hazan) ltr to G Cohen re Doc Production  
 IU.  2010-10-06 FRBNY (M Patrick) ltr to B Bondi re August 13 Request Production  
 IV.  2010-10-06 FRBNY (M Patrick) ltr to B Bondi re July 19 Request Production  
 IW.  2010-10-06 FRBNY (M Patrick) ltr to B Bondi re June 9 Request Production  
 IX.  2010-10-06 JPMorgan (J Carey) ltr to B Hinkley re Doc Production  
 IY.  2010-10-07 BNP Paribas (B Whelchel) ltr to G Cohen re Doc Production  
 IZ.  2010-10-07 G Cohen ltr tr Interview Request for R Steinmetz  
 JA.  2010-10-07 S Forstein (SEC) Ltr to W Edelberg re Doc Production  
 JB.  2010-10-08 AllianceBernstein (M Manley) ltr to P Kavounas re Doc Production  
 JC.  2010-10-08 Babson (T Walsh) ltr to G Cohen re Doc Production  
 JD.  2010-10-08 Cassano Follow-Up Letter  
 JE.  2010-10-08 Deutsche Bank (W Ricciardi) ltr to G Cohen re Doc Production  
 JF.  2010-10-08 Lehman (C Zalka) ltr to C Seefer re Doc Production  
 JG.  2010-10-09 FDIC (R Osterman) ltr to G Cohen re FDIC Board Minutes from TBTF Hearing  
 JH.  2010-10-11 Moodys (S Ehrenberg) ltr to B McWilliams re Doc Production  
 JI.  2010-10-11 Princeton Advisory (J Frey) ltr to P Kavounas re Doc Production  
 JJ.  2010-10-12 Babson (T Walsh) ltr to G Cohne re Doc Production  
 JK.  2010-10-12 Deerfield (E Law) ltr to P Kavounas re Doc Production  
 JL.  2010-10-12 FDIC (J Angel) ltr to G Cohen re Doc Production  
 JM.  2010-10-12 Florida SBA (M Hazan) ltr to G Cohen re Doc Production  
 JN.  2010-10-12 G Cohen ltr to R Weich re Follow Up  
 JO.  2010-10-12 JPMorgan (E Goldstein) ltr to T Krebs re Doc Production  
 JP.  2010-10-12 SEC (S Forstein) ltr to W Edelberg re Doc Production  
 JQ.  2010-10-13 Fannie Mae (J Kilduff) ltr to T Stanton re Doc Production  
 JR.  2010-10-13 JPMorgan (E Goldstein) ltr to G Cohen re Doc Production  
 JS.  2010-10-13 Letter to Stanley Jacobs  
 JT.  2010-10-14 Citi (B Karp) ltrs to T Borgers re Doc Production  
 JU.  2010-10-14 Cohen & Co (S Hanna) ltr to P Kavounas re Doc Production  
 JV.  2010-10-14 Deutsche Bank (W Ricciardi) ltr to G Cohen re Doc Production  
 JW.  2010-10-14 Dick Fuld Response to Hearing Follow-Up  
 JX.  2010-10-14 FDIC (H Zia) ltr to C Seefer re Doc Production  
 JY.  2010-10-14 FDIC (H Zia) ltr to T Stanton re Doc Production  
 JZ.  2010-10-14 FinCEN Response to Interrogatories

KA.  2010-10-14 Fuld Follow-Up Response

KB.  2010-10-14 ING (G Lins) ltr to P Kavounas re Doc Production

KC.  2010-10-14 JPMorgan (J Carey) ltr to B Hinkley re Doc Production

KD.  2010-10-15 Alvarez Follow-Up Response

KE.  2010-10-15 Baxter Follow-Up Response

KF.  2010-10-15 Citi (B Karp) ltr to T Borgers

KG.  2010-10-15 FCIC Interview Request to Alberto Gonzalez

KH.  2010-10-15 FDIC (H Zia) ltr to T Stanton re Doc Production

KI.  2010-10-15 FinCEN Response to Interrogatories

KJ.  2010-10-15 JPMorgan (R Miller) ltr to T Borgers re Doc Production

KK.  2010-10-15 Letter to Fornelli. Cynthia

KL.  2010-10-15 NIBC (B Herman) ltr to P Kavounas re Doc Production

KM.  2010-10-15 Vero (G Barbaresi) ltr to G Cohen re Doc Production

KN.  2010-10-15 Vertical Capital (L Fass) ltr to P Kavounas re Doc Production

KO.  2010-10-18 G Cohen Letter to D Wade (FinCEN)

KP.  2010-10-18 JPMorgan (J Carey) ltr to T Borgers

KQ.  2010-10-18 Letter to Treves. Gabe.

KR.  2010-10-18 UBS (J Chapman) ltr to G Cohen re Doc Production

KS.  2010-10-19 Barclays (J Scott) ltr to G Cohen re Doc Production

KT.  2010-10-19 BlackRock (T Harkness) ltr to P Kavounas re Doc Production

KU.  2010-10-19 Fannie Mae (J Kilduff) ltr to G Cohen re Doc Production

KV.  2010-10-19 Florida SBA (M Hazan) ltr to G Cohen re Doc Production

KW.  2010-10-19 FRBNY (M Patrick) ltr to B Bondi re Doc Production

KX.  2010-10-19 Freddie Mac (R Kelner) ltr to G Cohen re Doc Production

KY.  2010-10-19 Putnam (J Tucker) ltr to P Kavounas re Doc Production

KZ.  2010-10-20 JPMorgan (E Golstein) ltr to M Simhai re Doc Production

LA.  2010-10-20 MKP (T DeVita) ltr to G Cohen re Doc Production

LB.  2010-10-21 DOJ (R Weich) ltr to G Cohen re Doc Production

LC.  2010-10-21 JPMorgan (J Carey) ltr to T Borgers re Doc Production

LD.  2010-10-21 Moodys (S Ehrenberg) ltr to S Flynn re Doc Production

LE.  2010-10-21 S Forstein (SEC) ltr re Doc Production

LF.  2010-10-22 AllianceBernstein (M Anderson) ltr to P Kavounas re Doc Production

LG.  2010-10-22 Congressman Stearns letter to Angelides re Paulson and Goldman Sachs

LH.  2010-10-22 FRB (D Caperton) Email to G Cohen re Doc Production

LI.  2010-10-22 Magnetar (S Ross) ltr to C Seefer re Doc Production

LJ.  2010-10-22 RBS (J Brenner) ltr to R Dodd re Doc Production

LK.  2010-10-25 Babson (C DeFrancis) ltr to P Kavounas re Doc Production

LL.  2010-10-25 Barclays (J Scott) ltr to G Cohen re Doc Production

LM.  2010-10-25 BofA (R Brown) ltr to C Seefer re Doc Production

LN.  2010-10-25 Credit Suisse (L Richards) ltr to G Cohen re Doc Production

LO.  2010-10-25 RBS (R Brown) ltr to T Krebs re Doc Production

LP.  2010-10-25 State Street (M Levy) ltr to P Kavounas re Doc Production

LQ.  2010-10-25 Wells Fargo (R Kelner) ltr to P Kavounas re Doc Production

LR.  2010-10-26 AllianceBernstein (M Anderson) ltr to P Kavounas re Doc Production

LS.  2010-10-26 Angelides letter of response to Congressman Stearns re Paulson and Goldman Sachs

LT.  2010-10-26 ING (G Lins) ltr to P Kavounas re Doc Production

LU.  2010-10-26 Letter to Tarnasky, Donald

LV.  2010-10-26 SEC (S Forstein) Email to T Krebs re Doc Request

LW.  2010-10-26 United Guaranty (D Trigonoplos) Email to T Krebs re Doc Production

LX.  2010-10-27 FRBNY (M Patrick) ltr to B Bondi re Doc Production

LY.  2010-10-27 JPMorgan (J Carey) ltr to B Hinkley re Doc Production

LZ.  2010-10-27 Lehman (C Zalka) ltr to G Cohen re Doc Production






































MA.  2010-10-27 Letter to Lewis Sckolnick

MB.  2010-10-27 Princeton Advisory Group (J Frey) ltr to P Kavounas re Doc Production

MC.  2010-10-27. Letter from and to Sally Bartlett. Mortgage Modification
















MD.  2010-10-28 J Kilduff Ltr to G Cohen re Use of Interview Material (FNMA)  
 ME.  2010-10-28 Letter to Donna Stewart Lewis  
 MF.  2010-10-28 Letter to Gilbert Wootton  
 MG.  2010-10-28 Letter to H.L. Langer  
 MH.  2010-10-28 Letter to Ira Wm. Nadler  
 MI.  2010-10-28 Letter to Leonard Kass  
 MJ.  2010-10-28 Letter to Martin Heilweil.  
 MK.  2010-10-28 Letter to Orville Garrison  
 ML.  2010-10-28 Letter to Rosemary De Gregorio.  
 MM.  2010-10-28 Sheila Bair Response to Follow-Up  
 MN.  2010-10-29 Babson (C DeFrancis) ltr to G Cohen re Doc Production  
 MO.  2010-10-29 FHFA Production  
 MP.  2010-10-29 FinCEN Response to Interrogatories  
 MQ.  2010-10-29 JPMorgan (E Goldstein) ltr to M Simhai re Doc Production  
 MR.  2010-10-29 R Brown ltr to G Cohen re Use of Confidential Materials  
 MS.  2010-10-7 Letter to Bruce L. Brumbaugh  
 MT.  2010-10-7 Letter to Sarah Angela Weltzien  
 MU.  2010-11-01 Citigroup Response to H2\_3 Follow-Up re Bowen  
 MV.  2010-11-01 Goldman Sachs (J Broeckel) ltr to C Seefer re Doc Production  
 MW.  2010-11-01 Moodys (S Ehrenberg) ltr to B McWilliams re Doc Production  
 MX.  2010-11-01 S& P (B Marley) ltr to R Borzekowski re Doc Production  
 MY.  2010-11-02 AIG (S Nettleton) ltr to Seefer re Habayeb Hearing Testimony Supplement  
 MZ.  2010-11-02 JPMorgan (J Carey) ltr to T Borgers re Doc Production  
 NA.  2010-11-02 Merrill (R Brown) ltr to C Seefer re CDOs  
 NB.  2010-11-02 W Edelberg Follow-Up Letter to Rubin  
 NC.  2010-11-03 FHFA Production  
 ND.  2010-11-03 OTS (M Hingston) ltr to T Stanton re Doc Production  
 NE.  2010-11-03 OTS (M Hingston) ltr to W Edelberg re Hearing Follow Up  
 NF.  2010-11-04 B Bernanke ltr to C and VC re TBTF Hearing Follow Up  
 NG.  2010-11-04 Citi (B Karp) ltr to T Borgers  
 NH.  2010-11-04 G Cohen ltr to D Caperton re Use of Potentially Confidential FRB Material  
 NI.  2010-11-04 G Cohen ltr to P Nash re Use of Potentially Confidential FDIC Material  
 NJ.  2010-11-04 G Cohen ltr to R Brown re Use of Potentially Confidential BofA Material  
 NK.  2010-11-04 G Cohen ltr to S Forstein re Use of Potentially Confidential SEC Material  
 NL.  2010-11-04 L Bridgman Ltr to G Cohen re Use of OCC Interview Material  
 NM.  2010-11-04 M Berger Ltr to G Cohen re Use of Sec Snow Interview Material  
 NN.  2010-11-05 Babson (C DeFrancis) ltr to G Cohen re Doc Production  
 NO.  2010-11-05 G Cohen ltr to M Hingston re Use of Potentially Confidential OTS Material  
 NP.  2010-11-05 G Cohen ltr to S Leventhal re Use of Potentially Confidential FRBNY Material  
 NQ.  2010-11-05 R Brown ltr to G Cohen re Use of Confidential Interviews  
 NR.  2010-11-08 BofA (R Brown) ltr to T Krebs re Doc Production  
 NS.  2010-11-08 NIBC (B Herman) ltr to P Kavounas re Doc Production  
 NT.  2010-11-08 T Green ltr to G Cohen re Use of Dick Syron Interview materials  
 NU.  2010-11-09 G Cohen ltr to B Karp re Use of Potentially Confidential JPMorgan Material  
 NV.  2010-11-09 K Downey ltr to T Krebs re F Raines Response to Questions  
 NW.  2010-11-10 David Grais ltr to T Krebs re Doc Production  
 NX.  2010-11-10 Letter from R Brown to G Cohen re Steven Meier Interview  
 NY.  2010-11-10 R Yates Ltr to G Cohen re Use of Morgan Stanley Interview Material  
 NZ.  2010-11-10 S& P (B Markley) ltr to C Seefer re Doc Production  
 OA.  2010-11-10 Vanderbilt Capital (P Simmons) ltr to P Kavounas re Doc Production  
 OB.  2010-11-11 Letter from Rachel Steinmetz re Confidentiality of Interview  
 OC.  2010-11-11 R Yanes ltr to G Cohen re Use of A Peterson Interview Material  
 OD.  2010-11-11 S Hanna Ltr to G Cohen re Use of Ricciardi INterview Material  
 OE.  2010-11-11 UBS (J Chapman) ltr to G Cohen re Doc Production  
 OF.  2010-11-12 Babson (C DeFrancis) ltr to G Cohen re Doc Production

























































- OG.  2010-11-12 FDIC (Osterman) ltr to G Cohen re Use of Confidential Materials
- OH.  2010-11-12 Moodys (S Nelles) ltr to G Cohen re Release of Confidential Materials
- OI.  2010-11-12 UBS (D Butswinkas) ltr to T Krebs re Doc Production
- OJ.  2010-11-12 W Moran ltr to G Cohen re Use of Dow Kim Interview
- OK.  2010-11-12. Ltr to Obey and Lewis.Additional Allocation.FCIC
- OL.  2010-11-15 E Goldstein ltr to G Cohen re Bear Stearns Interviews
- OM.  2010-11-15 Mogran Stanley (R Yanes) ltr to G Cohen re Release of Confidential Materials
- ON.  2010-11-15 R Brown ltr to G Cohen re Kemp Kim Kronthal and Sambol Interviews
- OO.  2010-11-16 (OTS) M Hingston Email - RE SARs
- OP.  2010-11-16 JPMorgan (E Goldstein) ltr to C Seefer re Doc Production
- OQ.  2010-11-16 Morgan Stanley (R Yanes) ltr to T Borgers re Doc Production
- OR.  2010-11-16 RBS (J Brenner) ltr to R Dodd re Doc Production
- OS.  2010-11-17 Citi (B Karp) ltr to G Cohen re Use of Confidential Citi Material
- OT.  2010-11-17 FCIC Letter to Congress re Report Submission
- OU.  2010-11-17 FCIC Letter to President Obama re Report Submission
- OV.  2010-11-17 FRBNY (M Patrick) Email - FW TSLF and PDCF data
- OW.  2010-11-17 OTS (Hingston) Email - RE SARs
- OX.  2010-11-18 FRBNY (M Patrick) ltr to B Bondi re Doc Production
- OY.  2010-11-18 Moody's (S Ehrenberg) ltr to C Seefer re Doc Production
- OZ.  2010-11-18 P Hynes ltr to G Cohen re Use of Fuld Interview Material
- PA.  2010-11-19 G Cohen ltr to BofA (R Brown) re CRA
- PB.  2010-11-19 G Cohen ltr to Citi (B Karp) re CRA
- PC.  2010-11-19 G Cohen ltr to JPM (B Karp) re CRA
- PD.  2010-11-19 G Cohen ltr to Wells Fargo (R Kelner) re CRA
- PE.  2010-11-19 OCC (L Bridgman) ltr to G Cohen re Use of S Eckert Interview Material
- PF.  2010-11-19. Angelides ltr to Attorney General Holder re referrals to DOJ
- PG.  2010-11-2 Letter to Joseph Patrick Bichsel
- PH.  2010-11-22 Congressman Issa to Angelides-FCIC - confidentiality agreement due 11-29
- PI.  2010-11-22 J Weicher ltr to G Cohen re Interview Use
- PJ.  2010-11-22 JPM (E Goldstein) ltr to G Cohen re Disclosure of Confidential Material
- PK.  2010-11-22 K Kramer ltr to G Cohen re use of Dallavecchia interview material
- PL.  2010-11-23 G Cohen ltr to G Callery re Use of Potentially Confidential FINRA Material
- PM.  2010-11-23 G Cohen ltr to M Davidson re Use of Potentially Confidential Markit Materials
- PN.  2010-11-23 G Cohen ltr to R Brown re Use of Potentially Confidential PWC Material
- PO.  2010-11-23 G Cohen ltr to R Klapper re Use of Potentially Confidential Goldman Sachs Material
- PP.  2010-11-24 BNY Mellon (E Murtha) ltr to M Simhai re Doc Production
- PQ.  2010-11-24 G Cohen ltr to J Kilduff re Use of Potentially Confidential Fannie Mae Material
- PR.  2010-11-24 G Cohen ltr to L Bridgman re Use of Potentially Confidential OCC Material
- PS.  2010-11-29 Aladdin Capital (S Samy) ltr to G Cohen re Doc Production
- PT.  2010-11-29 D Elbaum ltr to G Cohen re Use of Jeff Goodman Interview
- PU.  2010-11-29 T Pritchard ltr to G Cohen re Use of J Kronthal Interview
- PV.  2010-11-3 Letter to J. Patrick Carr
- PW.  2010-11-3 Letter to John Chapman
- PX.  2010-11-30 Cohen & Co (G Bruch) ltr to P Kavounas re Doc Production
- PY.  2010-11-30 Countrywide (R Brown) ltr to C Seefer re Doc Production
- PZ.  2010-11-30 G Cohen ltr to C Hixon (Issa)
- QA.  2010-11-30 G Cohen Thank You ltr to Lord Turner
- QB.  2010-11-30 R Weich DOJ ltr to Angelides re receipt of referral materials
- QC.  2010-11-4 Letter to Joseph Cassano
- QD.  2010-11-4 Letter to Kevin Rodden
- QE.  2010-11-4 Letter to Linda Bina
- QF.  2010-11-4 Letter to William Gorse
- QG.  2010-11-8 Letter to Hazel Mack
- QH.  2010-11-8 Letter to John & Cherie Martin
- QI.  2010-11-8 Letter to Linda Beattie

QJ.  2010-11-8 Letter to Margaret A. Gatenby  
 QK.  2010-11-8 Letter to Mark F. Hughes.  
 QL.  2010-11-8 Letter to Mark J. Zoeller  
 QM.  2010-11-8 Letter to Merick Chaffee.  
 QN.  2010-11-8 Letter to Seema Verma  
 QO.  2010-11-9 Letter to George L. Mahr  
 QP.  2010-12-01 Deutsche Bank (W Ricciardi) ltr to G Cohen re Doc Production  
 QQ.  2010-12-01 E Goldstein ltr to G Cohen re Use of JPM Bear MFRS  
 QR.  2010-12-02 D Heyl ltr to G Cohen re Use of Gorton Frost and Micottis Interviews  
 QS.  2010-12-02 E Goldstein ltr to G Cohen re Tom Marano Quote  
 QT.  2010-12-02 J Wareham ltr to G Cohen re Use of D Mudd Interview  
 QU.  2010-12-03 Deutsche Bank (W Ricciardi) ltr to G Cohen re Response to Interrogatories  
 QV.  2010-12-03 NIBC (B Herman) ltr to P Kavounas re Doc Production  
 QW.  2010-12-06 Deutsche Bank (W Ricciardi) ltr to G Cohen re Doc Production  
 QX.  2010-12-06 G Cohen ltr to A Pollard re Use of Potentially Confidential FHFA Material  
 QY.  2010-12-06 G Cohen ltr to J Lopez re Use of Potentially Confidential DOJ Material  
 QZ.  2010-12-06 G Cohen ltr to R Kelner re Use of Potentially Confidential Freddie Mac Material  
 RA.  2010-12-06 G Cohen ltr to S Nettleton re Use of Potentially Confidential AIG Material  
 RB.  2010-12-07 BofA (R Brown) ltr to G Cohen re Doc Production  
 RC.  2010-12-07 G Cohen ltr to C Zalka re Use of Potentially Confidential LBHI Material  
 RD.  2010-12-07 G Cohen ltr to D Wade re Use of Potentially Confidential FinCEN Material  
 RE.  2010-12-07 G Cohen ltr to S Nelles re Use of Potentially Confidential Moody's Material  
 RF.  2010-12-07 M Davidson ltr to G Cohen re Use of Markit Material  
 RG.  2010-12-07 R Kelner ltr to G Cohen re Use of Clayton Freddie Mac Info  
 RH.  2010-12-08 E Goldstein ltr to G Cohen re A Schwartz Interview  
 RI.  2010-12-08 E Goldstein ltr to G Cohen re J Cayne Interview  
 RJ.  2010-12-08 E Goldstein ltr to G Cohen re L Lebedin Interview  
 RK.  2010-12-08 E Goldstein ltr to G Cohen re M Alix and S Molinaro Interview  
 RL.  2010-12-08 E Goldstein ltr to G Cohen re R Upton Interview  
 RM.  2010-12-08 G Cohen ltr to B Markley re Use of Potentially Confidential S& P Material  
 RN.  2010-12-08 G Cohen ltr to G Madison re Use of Potentially Confidential Treasury Material  
 RO.  2010-12-08 G Cohen ltr to J Chapman re Use of Potentially Confidential UBS Material  
 RP.  2010-12-09 Freddie Mac-Hershman Letter to Angelides re Supplement to Comments on PSR  
 RQ.  2010-12-09 PWC (R Brown) ltr to G Cohen re Documents for Book  
 RR.  2010-12-10 Deutsche Bank (D Gllagher) ltr to G Cohen re Doc Production  
 RS.  2010-12-13 Citi (B Karp) ltr to G Cohen re Doc Production  
 RT.  2010-12-13 Deutsche Bank (W Ricciardi) ltr to G Cohen re Doc Production  
 RU.  2010-12-13 G Cohen ltr to B Karp re Use of Potentially Confidential Citi Material  
 RV.  2010-12-13 G Cohen ltr to L Wolosky re Use of Potentially Confidential Material from H Greenberg  
 RW.  2010-12-13 G Cohen ltr to M Indelicato re Use of Potentially Confidential New Century Material  
 RX.  2010-12-13 R Brown ltr to G Cohen re Use of Countrywide Materials  
 RY.  2010-12-13 R Brown ltr to G Cohen re Use of Merrill Lynch Materials  
 RZ.  2010-12-13 W Ricciardi ltr to G Cohen re Use of Deutsche Material  
 SA.  2010-12-14 G Cohen ltr to R Spillenkothen re Use of Potentially Confidential Material  
 SB.  2010-12-15 R Brown ltr to FCIC re Use of Countrywide Material  
 SC.  2010-12-15 R Brown ltr to FCIC re Use of Merrill Lynch Material  
 SD.  2010-12-16 JPMorgan (J Carey) ltr to G Cohen re Doc Production  
 SE.  2010-12-17 B Karp ltr to G Cohen re Use of JPM Documents  
 SF.  2010-12-17 G Cohen ltr to E Murtha re Use of Potentially Confidential BNY Mellon Material  
 SG.  2010-12-17 Moodys (S Ehrenberg) ltr to C Seefer re Doc Production  
 SH.  2010-12-18 G Cohen ltr to R Kelner re Use of Potentially Confidential Wells Fargo Material  
 SI.  2010-12-20 R Brown ltr re Doc Production  
 SJ.  2010-12-21 B Bernanke ltr to Angelides and Thomas re FOMC information  
 SK.  2010-12-21 G Cohen ltr to K Downey re Secretary Paulson Quotes  
 SL.  2010-12-22 BofA (R Brown) ltr to G Cohen re BofA Fair Value Accounting

- SM.  2010-12-22 Citi (B Karp) ltr to G Cohen re Use of Citi Material
- SN.  2010-12-22 Merrill (R Brown) ltr to G Cohen re Merrill CDOs
- SO.  2010-12-22 Moody's (S Nelles) ltr to G Cohen re Use of Moody's Documents
- SP.  2010-12-23 JPM (J Carey) ltr to G Cohen re Use of JPM Documents
- SQ.  2010-12-27 OCC (J Williams) ltr to G Cohen re Use of OCC Materials
- SR.  2010-12-29 C Waskowicz letter to S Ormsby (FBI)
- SS.  2010-12-30 J Chapman ltr to G Cohen re Use of UBS Material
- ST.  2010-6-22 Thank you ltr to Kerrey - NYC Hearing
- SU.  2011-01-03 R Brown ltr to G Cohen re Use of BofA Materials
- SV.  2011-01-04 J Kilduff ltr to G Cohen re Use of Fannie Materials
- SW.  2011-01-04 S Nelles ltr to FCIC re Use of Moodys Material
- SX.  2011-01-07 E Murtha ltr to G Cohen re Use of BNYM Materials
- SY.  2011-01-10 Morgan Stanley (R Yanes) ltr to G Cohen re February 2 Interrogatories
- SZ.  2011-01-11. Attachment to Letter from Goldman Sachs (Blankfein) to Angelides - BSC Report
- TA.  2011-01-11. Letter from Goldman Sachs (Blankfein) to Angelides re BSC Report
- TB.  2011-01-21 B Karp ltr to G Cohen re Use of JPMorgan Material
- TC.  2011-01-21 R Brown ltr to G Cohen re Use of BofA Material
- TD.  2011-01-21 S Nelles ltr to G Cohen re Use of Moodys Materials
- TE.  2011-01-21 Sharon Nelles ltr to G Cohen re Use of Moodys Material
- TF.  2011-01-22 R Yanes ltr to G Cohen re Use of Morgan Stanley Material
- TG.  2011-01-24. Angelides Invitation to GWU leadership
- TH.  2011-01-25 Letter from Darrell Issa
- TI.  2011-01-25. Congressman Darrel Issa\_Bachus\_McHenry letter to Angelides. Document Request due 1-31.
- TJ.  2011-01-27. Transmittal Letters to Congress and the Administration - Release of Report
- TK.  2011-01-31 R Brown ltr to C Waskowicz re Use of BofA Materials
- TL.  2011-01-31. Angelides ltr of response to Issa\_Bachus\_McHenry re doc request
- TM.  2011-02-03 Chairman Bachus Letter to Comm. Born
- TN.  2011-02-04. House Committee on Financial Services -Bachus - Hearing Invite to Angelides, Phil
- TO.  2011-02-09. Letter from Edelberg to Stanton
- TP.  2011-02-10 FCIC Ltr to DOJ re Referrals
- TQ.  2011-02-10 FCIC SIGNED Archives Access Letter
- TR.  2011-02-11 Third\_Response\_Letter\_to\_House
- TS.  2011-11-01. Moody's Letter from Raymond McDaniel to FCIC -1
- TT.  2011-1-11 Lloyd Blankfein letter to Comm. Born
- TU.  2011-1-11 Lloyd Blankfein letter to Comm. Georgiou
- TV.  2011-1-11 Lloyd Blankfein letter to Comm. Graham
- TW.  2011-1-11 Lloyd Blankfein letter to Comm. Murren
- TX.  2011-1-11 Lloyd Blankfein letter to Comm. Thompson
- TY.  2011-2-3 Letter from Chairman Bachus to to Comm. Wallison
- TZ.  Citi Chronology (2)
- UA.  Draft Chronology - Del Real
- UB.  Letter to Buffett

### III. Press

- A.  Advisories, Press Releases
  1.  Media Advisory 1.10.10 - Final
  2.  news release - senior staff appointments 11-17-09
  3.  news release - senior staff appointments 11-20-09
  4.  news release - senior staff appointments 12-14-09
  5.  news release Greene 9-14-09
  6.  Witness Press Release 12.23.09
- B.  Background Information
  1.  Commissioner Bios
  2.  FCIC Statute of Creation
- C.  Clips
  1.  Commissioner Press Clips- Hearing One
  2.  Commissioner Press Clips- Hearing One

3.  Press Clippings- Hearing One
4.  Press Clippings- Hearing One
- D.  H1
  1.  H1 Unofficial Transcript
  2.  Hearing 1 RSVPs
  3.  Press Packet - Day 1 Fact Sheet Final
  4.  Press Packet - Day 2 Fact Sheet Final
- E.  Interviews
  1.  Background Briefings
    - a.  Templates
      1.  Gretchen Morgenson
      2.  Template
    - b.  Week 1
      1.  Greg Ip
      2.  Jennifer Liberto
      3.  Jim Kuhnhehn
      4.  Jim Puzanghera
      5.  Michele Norris
      6.  Paul Wiseman
      7.  Sewell Chan
      8.  Wall Street Journal
      9.  Washington Post
      10.  William Cohan
    - c.  Angelike Contis
    - d.  John McKinnon
    - e.  John Ydstie - NPR
    - f.  Karen Nye - BBC
    - g.  KPCC
    - h.  The Early Show
  2.  Media Schedule 1.6.09
- F.  Media Lists
  1.  Old
    - a.  FCIC media list rev 12-29-09
    - b.  FCIC media list rev 1-3-10
    - c.  FCIC media list rev 1-6-10
  2.  FCIC media list rev 1.21.11 - SKDK additions
  3.  FCIC media list rev 1-20-10
- G.  Misc
  1.  2011-01-26 What's New Final
  2.  Accelerant
  3.  CNBC staff questions 7.7.10
  4.  Commission Fact Sheet 1.24.11
  5.  Commissioner Ethics Guidelines
  6.  Commissions
  7.  Copy of FCIC Office Staff Roster (2)
  8.  FCIC \$tuff List
  9.  FCIC Combo REV\_F8\_1Mb
  10.  FCIC Commissioners Contact Sheet
  11.  FCIC Phone Roster 7-10-2010 (copy)
  12.  FCIC Press Clips Report Highlights
  13.  FCIC Roster w contact
  14.  Final Report Communications Plan 1.25.11
  15.  Homefront Proposal
  16.  Instructions to connect to mifi
  17.  Phil Angelides Color (5x7)
  18.  QA - Final

























































- 19. RECENT STATE OF THE UNION ADDRESSES
- 20. Staff Confidentiality Agreement
- 21. Staff Employee Handbook
- 22. Staff Ethics Guidelines
- 23. Talking Points 1.24.11
- 24. Tax Exemption
- 25. Wrap Up QA 2.10.11 Final
- H. Op-Eds
  - 1. Op-Ed 01-08-10
- I. Planning Documents - CONFIDENTIAL
  - 1. DRAFT Time Line for Financial Crisis Inquiry Commission First Hearing
  - 2. FCICwelcome script v2
  - 3. Hearing\_1\_communications\_plan - final,final
- J. Q and A
  - 1. Talking Points and Questions - Final
- K. Website
  - 1. Accelerant Studios Proposal
  - 2. Electric Pulp Proposal
  - 3. GPO Proposal
  - 4. Homefront Proposal
  - 5. SKG Proposal
- IV. Cabinet Inbox
  - A. Hearing 4
- V. User Folders
  - A. ACREGO
    - 1. Countrywide H Insert originations and payment option ARM HFI - revised
    - 2. CRAM SUMMARIES BOOK & ACQ
    - 3. fremont
    - 4. fremont comp
    - 5. Fremont General Corporation
    - 6. GMAC VER 2
    - 7. IndyMac 09212010
    - 8. INDYMAC FSB PO IO BOOK-from public filings
    - 9. natcity
    - 10. natcity summary2
    - 11. rescap qtr trends
    - 12. WAMU
    - 13. WAMU
  - B. AFALK
  - C. Apaul
    - 1. Corelogic
      - a. Corelogic FW Question 4\_files
        - 1. colorschememapping
        - 2. filelist
        - 3. themedata
      - b. Corelogic FW Question 4
    - 2. Corporate CRA Commitments
      - a. 2010-05-26 B Karp (JPM) ltr to B Bondi re CRA
      - b. CRA-Corporate Commitments
    - 3. Countrywide Loan Rates
      - a. BAC-FCIC-0000086785
      - b. BAC-FCIC-0000086785
      - c. Countrywide Volume of Loans Originated 2000-2005
    - 4. Dated
      - a. 2010-11-9 MaryAnn Total Originations
        - 1. Mortgage Originations
      - b. 2010-12-16


























1. Corrections 1,4 2010-12-16
2. Richard-1,4 Projects
3. See First Plus
- c. 2010-12-30
  1. Construction and GDP
  2. Copy of SeriesReport-20101230153658
  3. Countrywide Volume of Loans Originated 2000-2005
  4. Dubas-IV\_1 Questions
  5. FY2011 Federal Budget-Table 1-1 Summary
  6. GDPbyInd\_VA\_NAICS\_1947-1997 (Recovered)
  7. NIPA Employment
  8. Personal Consumption
  9. Richard-II Questions
  10. SeriesReport-20101230153306
- d. 2010-12-31
  1. Brook
    - a. Section 2 6 - Fannie-Freddie and PMIs
  2. 2010-12-31 Questions
  3. CLO\_Volume--Cleveland\_Fed\_042709\_out
  4. cop-121410-report
  5. HOPE NOW National Data July07 to April09
  6. res construction contribution-WME
- e. 2011-01-25
  1. Audio Review 2011-01-25
- f. 2011-01-27
  1. 2011-01-28 Audio Review
  2. Audio redaction
  3. Redactions Index
- g. 2011-1-11
  1. annual\_report\_2006\_complete
  2. Census-Housing Starts
  3. Merrill and First Franklin
- h. 2011-1-12
  1. 26-Household Net Worth-2 (2010-12-29)
  2. Annual Deflator
  3. Copy of Audio Review
  4. Financial\_Reform\_and\_the\_Leveraged\_Loan Market
  5. Kynikos Chanos - Ten Lessons of Financial Crisis that Investors Will Soon Forget - 22 Oct 2009
  6. Test 1
  7. Test 1-Combined
  8. Test 1-Redact
  9. WEO
- i. 2011-1-14
  1. ecbwp1290
  2. SigTARP-Citigroup (2011-1-13)
- j. 2011-1-18
  1. Cassidy audio review
  2. Das Satyajit
- k. 2011-1-19
  1. Footnotes
  2. Footnotes\_M
- l. 2011-1-2
  1. Brook
    - a. 2003\_2004\_purchases
    - b. 2005-2007\_PLS\_purchases
    - c. FCIC\_9-20-10 Req. No. 2 (3)
    - d. Moodys-IMF\_comparison\_with\_GSEs

- e. PLS Data 3
    - f. Section 2 6 - Fannie-Freddie and PMIs
  - 2. CDO Issuance (SIFMA)
  - 3. cpff-cp
  - 4. MSCI Index (2011-1-2)
- m. 2011-1-3
  - 1. BIS-OTC Volumes
    - a. BIS-OTC Volumes
    - b. BIS-OTC Volumes
  - 2. Capital Raises of US IBanks (07-08)
  - 3. cop-121410-report
  - 4. Dubas IV\_1
  - 5. FDIC\_SDI\_Karen\_IV
  - 6. FDIC-qbp-Q1 2009
  - 7. FDIC-qbp-Q1 2010
  - 8. HOPE NOW National Data July07 to Nov09 v2 (2)
  - 9. HOPE NOW National Data July07 to Sep09 v2
  - 10. IMF-World Exports
  - 11. Karen-2011-1-3
  - 12. le0269aj
- n. 2011-1-4
  - 1. prices\_intl\_House price indices (nominal and real+average)
  - 2. Wendy Factcheck
- o. 2011-1-5
  - 1. Brook
    - a. recissions
      - 1. 10-4-10 Ltr re PMI Mortgage Insurance Co
      - 2. 2010-09-28 RMIC Production
      - 3. 2010-10-19 MGIC (J Lane) Response to PMI requests
      - 4. GENWORTH Response to FCIC Request
      - 5. PMI and Financial Institution Put Back Draft Write-up November 19 2010
      - 6. PMI and Financial Institution Put Back Draft Write-up November 3 2010
      - 7. Radian-RESCISSION REASONS by Submitter SUMMARY
      - 8. Second Update November 19 2010 Put Back Data (2)
      - 9. TOTAL Recission
      - 10. TRIAD- 2010 Information Request - Financial Crisis Inquiry Commission
      - 11. UGC-FCIC Data Request from UGC100810
    - b. 2010-09-21 Fannie Mae (J Kilduff) ltr to G Cohen re Doc Production (copy)
  - 2. Gordon
    - a. 2005-Oct Options Group Compensation Report
    - b. 2006-Nov Options Group Compensation Report
    - c. 2007-Nov (2007-2008) Options Group Compensation Report
    - d. Options Group Compensation Chart (RRC)
    - e. Options Group-Gordon
- p. 2011-1-6
  - 1. Conference Board
    - a. 5242 -- CC May 2008 press release
    - b. 5248 -- June 2008 CCI Press Release
    - c. Conference Board-CCI-Dec 2010
    - d. Conference Board-CCI-TimeSeries
    - e. Wendy-Conference Board
  - 2. Ron-candlestick
    - a. Test-abs
      - 1. ABS2006
      - 2. AltA
      - 3. Alt-A2008
      - 4. test\_abs
























































































- 5. Test-AltA-2007
- 6. Test-AltA-2007
- 7. Test-AltA-2009
- 8. Test-AltA-2008
- 9. Test-AltA-2008
- b. #a1\_data
- c. a1\_data
- d. read\_core
- e. read\_data
- 3. Wendy
  - a. Fannie2007\_annual\_report
  - b. Spot Oil Prices
- 4. Conference Board-CCI-Dec 2010
- 5. Section 2 6 - Fannie PMIs
- 6. WE-3\_3 and 3\_6
- q. 2011-1-7
  - 1. Section I
    - a. Edwards-LTCM (1999)
    - b. LTCM check
    - c. SandP data for comparison to LTCM
  - 2. 2708Lockhart
  - 3. 93181-w16528
  - 4. GSE Share of Originations
- 5. Federal Reserve Liquidity Programs
  - a. CSV
    - 1. cbls
    - 2. CBLS
    - 3. pdcf
    - 4. pdcf
    - 5. PDCF
    - 6. pdcf\_Merge
    - 7. pdcf\_output
    - 8. PDCF\_Series
    - 9. TAF
    - 10. TAF-short
    - 11. TSLF
    - 12. tslf
    - 13. TSLF\_Selected
  - b. drafts
    - 1. PDCF\_Series
  - c. Feldberg Fed data
    - 1. TSLF2
  - d. Program Totals
    - 1. Fed Liquidity
    - 2. Fed Liquidity-TAF add-on
    - 3. Federal Reserve Total Liquidity Funding
    - 4. FRB-Total Assets
    - 5. Government Assistance TimeLine
  - e. amlf
  - f. blbs
  - g. cbls
  - h. Copy of cpff.cp
  - i. Copy of LEGAL\_CASES-#152264-v1-DF-PDCF000002\_-\_Interest\_Accrued\_on\_PDCF\_and\_Transitional\_Credit\_Loans (2)
  - j. cpff
  - k. cpff-cp
  - l. Fed Liquidity

























































- m.  Federal Reserve Total Liquidity Funding
- n.  Governnet Assistance TimeLine
- o.  mbs
- p.  ml
- q.  pdcf
- r.  PDCF-goldman
- s.  taf
- t.  talf
- u.  talf-borrower
- v.  top
- w.  tslf
- x.  tslf\_by\_firm
- 6.  GSE work
  - a.  FHFA\_Performance
    - 1.  CSV
      - a.  Count
        - 1.  GSE\_ARM\_2001
        - 2.  GSE\_ARM\_2002
        - 3.  GSE\_ARM\_2003
        - 4.  GSE\_ARM\_2004
        - 5.  GSE\_ARM\_2005
        - 6.  GSE\_ARM\_2006
        - 7.  GSE\_ARM\_2007
        - 8.  GSE\_ARM\_2008
        - 9.  GSE\_FRM\_2001
        - 10.  GSE\_FRM\_2002
        - 11.  GSE\_FRM\_2003
        - 12.  GSE\_FRM\_2004
        - 13.  GSE\_FRM\_2005
        - 14.  GSE\_FRM\_2006
        - 15.  GSE\_FRM\_2007
        - 16.  GSE\_FRM\_2008
        - 17.  PLS\_ARM\_2001
        - 18.  PLS\_ARM\_2002
        - 19.  PLS\_ARM\_2003
        - 20.  PLS\_ARM\_2004
        - 21.  PLS\_ARM\_2005
        - 22.  PLS\_ARM\_2006
        - 23.  PLS\_ARM\_2007
        - 24.  PLS\_ARM\_2008
        - 25.  PLS\_FRM\_2001
        - 26.  PLS\_FRM\_2002
        - 27.  PLS\_FRM\_2003
        - 28.  PLS\_FRM\_2004
        - 29.  PLS\_FRM\_2005
        - 30.  PLS\_FRM\_2006
        - 31.  PLS\_FRM\_2007
        - 32.  PLS\_FRM\_2008
      - b.  Delinquent
        - 1.  GSE\_ARM\_2001
        - 2.  GSE\_ARM\_2002
        - 3.  GSE\_ARM\_2003
        - 4.  GSE\_ARM\_2004
        - 5.  GSE\_ARM\_2005
        - 6.  GSE\_ARM\_2006
        - 7.  GSE\_ARM\_2007

























































8.  GSE\_ARM\_2008
9.  GSE\_FRM\_2001
10.  GSE\_FRM\_2002
11.  GSE\_FRM\_2003
12.  GSE\_FRM\_2004
13.  GSE\_FRM\_2005
14.  GSE\_FRM\_2006
15.  GSE\_FRM\_2007
16.  GSE\_FRM\_2008
17.  PLS\_ARM\_2001
18.  PLS\_ARM\_2002
19.  PLS\_ARM\_2003
20.  PLS\_ARM\_2004
21.  PLS\_ARM\_2005
22.  PLS\_ARM\_2006
23.  PLS\_ARM\_2007
24.  PLS\_ARM\_2008
25.  PLS\_FRM\_2001
26.  PLS\_FRM\_2002
27.  PLS\_FRM\_2003
28.  PLS\_FRM\_2004
29.  PLS\_FRM\_2005
30.  PLS\_FRM\_2006
31.  PLS\_FRM\_2007
32.  PLS\_FRM\_2008

c.  Share

1.  GSE\_ARM\_2001
2.  GSE\_ARM\_2002
3.  GSE\_ARM\_2003
4.  GSE\_ARM\_2004
5.  GSE\_ARM\_2005
6.  GSE\_ARM\_2006
7.  GSE\_ARM\_2007
8.  GSE\_ARM\_2008
9.  GSE\_FRM\_2001
10.  GSE\_FRM\_2002
11.  GSE\_FRM\_2003
12.  GSE\_FRM\_2004
13.  GSE\_FRM\_2005
14.  GSE\_FRM\_2006
15.  GSE\_FRM\_2007
16.  GSE\_FRM\_2008
17.  PLS\_ARM\_2001
18.  PLS\_ARM\_2002
19.  PLS\_ARM\_2003
20.  PLS\_ARM\_2004
21.  PLS\_ARM\_2005
22.  PLS\_ARM\_2006
23.  PLS\_ARM\_2007
24.  PLS\_ARM\_2008
25.  PLS\_FRM\_2001
26.  PLS\_FRM\_2002
27.  PLS\_FRM\_2003
28.  PLS\_FRM\_2004
29.  PLS\_FRM\_2005

- 30.  PLS\_FRM\_2006
- 31.  PLS\_FRM\_2007
- 32.  PLS\_FRM\_2008
- d.  Value
  - 1.  GSE\_ARM\_2001
  - 2.  GSE\_ARM\_2002
  - 3.  GSE\_ARM\_2003
  - 4.  GSE\_ARM\_2004
  - 5.  GSE\_ARM\_2005
  - 6.  GSE\_ARM\_2006
  - 7.  GSE\_ARM\_2007
  - 8.  GSE\_ARM\_2008
  - 9.  GSE\_FRM\_2001
  - 10.  GSE\_FRM\_2002
  - 11.  GSE\_FRM\_2003
  - 12.  GSE\_FRM\_2004
  - 13.  GSE\_FRM\_2005
  - 14.  GSE\_FRM\_2006
  - 15.  GSE\_FRM\_2007
  - 16.  GSE\_FRM\_2008
  - 17.  PLS\_ARM\_2001
  - 18.  PLS\_ARM\_2002
  - 19.  PLS\_ARM\_2003
  - 20.  PLS\_ARM\_2004
  - 21.  PLS\_ARM\_2005
  - 22.  PLS\_ARM\_2006
  - 23.  PLS\_ARM\_2007
  - 24.  PLS\_ARM\_2008
  - 25.  PLS\_FRM\_2001
  - 26.  PLS\_FRM\_2002
  - 27.  PLS\_FRM\_2003
  - 28.  PLS\_FRM\_2004
  - 29.  PLS\_FRM\_2005
  - 30.  PLS\_FRM\_2006
  - 31.  PLS\_FRM\_2007
  - 32.  PLS\_FRM\_2008
- 2.  Program
  - a.  clean\_FHFA
  - b.  FHFA\_Analysis
  - c.  FHFA\_Count
  - d.  FHFA\_count
  - e.  FHFA\_delinquent
  - f.  FHFA\_share\_local
  - g.  FHFA\_value
  - h.  Table of Chart data
- 3.  Raw\_Data
  - a.  AppendixTableB1
  - b.  AppendixTableB2
  - c.  AppendixTableB3-1
  - d.  AppendixTableB4
  - e.  AppendixTableB5
  - f.  AppendixTableB6
  - g.  AppendixTableB7
  - h.  AppendixTableB8
- b.  Agency Share 12-7-10
- c.  clean\_FHFA
























































- d.  FCIC-GSE Reported Compare
- e.  FHFA\_wide
- f.  FHFA-Cross Tabs
- g.  FHFA-Test for Graph
- h.  FHFA-Total Table
- i.  Housing Goals-PLS Text (2010-11-8)
- j.  Low FICO Tables
- k.  Prelmin\_Results
- l.  Private Label Residential Mortgage (Fannie Mae)
- m.  README-FHFA\_performance
- n.  state
- o.  Test2
- 7.  Homeownership
  - a.  Owner\_clean
  - b.  Owner\_clean
  - c.  Owner\_clean-1
  - d.  Owner\_timeline
  - e.  ownership
  - f.  Ownership\_clean
- 8.  Interviews- Scanned Notes
- 9.  Moody Volume
  - a.  Moody\_Stata files
    - 1.  CSV
      - a.  Moody\_CDO
      - b.  Moody\_RMBS
      - c.  RMBS
    - 2.  Moody\_volume
    - 3.  Moody\_volume
  - b.  Moody\_2000
  - c.  Moody\_2001
  - d.  Moody\_2002
  - e.  Moody\_2003
  - f.  Moody\_2004
  - g.  Moody\_2005
  - h.  Moody\_2006
  - i.  Moody\_2007
  - j.  Moody\_2008
  - k.  Moody\_2009
  - l.  Moody\_2010
  - m.  Moodys
  - n.  Moodys 2006 Ratings Volume
  - o.  Moody's Ratings
  - p.  Moodys\_rating\_volume
  - q.  Moodys\_rating\_volume\_2
- 10.  Subprime History
  - a.  New Docs 8-13-10
    - 1.  FRBSF- Subprime (2001)
    - 2.  Reg and Securitization (2008)
    - 3.  SEC-Capital Require Rules (2002)
    - 4.  Treas Blueprint Facts
    - 5.  Treas-Blueprint-Full
    - 6.  Treas-Interagency Memo (1999)
  - b.  Stanton Subprime 7-17
    - 1.  Calomiris on subprime FRB-KC 10.02.08
    - 2.  Federal Agencies - Statement on Subprime Lending - Summer 2007
    - 3.  IMF on structure of subprime market 2007

























































- 4.  mozilo speech 2003
- 5.  poon new deal to subprime
- c.  Auto Securitization
- d.  ChomPennCross
- e.  CRL-Subprime today (2009)
- f.  eggert - great collapse
- g.  Evol of Supprime Figures (2006)
- h.  Fannie PLS
- i.  FDIC Problem banks
- j.  history\_of\_subprime\_lending
- k.  Kane-Progress in Mortgage Markets-1984
- l.  Making Sense of Subprime 7-20-10
- m.  Moodys subprime 2005
- n.  OC Register - 2007 - How subprime started
- o.  orignations\_of\_mortgages (IMF)
- p.  Selected Lender History
- q.  short\_history\_of\_subprime
- r.  Southern Pacific Collapse
- s.  Subprime 1 pager
- t.  Subprime Factiva 7-19-10
- u.  Subprime Factiva 7-20-10
- v.  Subprime History
- w.  subprime Hud on the GSE
- x.  Subprime\_Internal Notes (AP)
- y.  subprime\_mortgage\_origination
- z.  subprime\_mortgage\_orignations
- aa.  The Money Store
- ab.  the\_money\_store\_\_and\_first\_u
- 11.  Ahamed, Liaquant Notes (Adam Paul) 8-2-2010
- 12.  Cassidy, John Notes (Adam Paul) 8-10-2010
- 13.  Cohan, Willam Notes (Adam Paul) 7-29-2010
- 14.  FCICletter Chairman draft 12 19 2010
- 15.  Kling, Arnold Notes (Adam Paul) 7-28-2010
- 16.  Laffer, Arthur Notes (Adam Paul 8-11-2010)
- 17.  Madrick, Jeff 7-28-2010
- 18.  Mihm, Stephen Notes (Adam Paul) 7-29-2010
- 19.  Patterson, Scott Notes (Adam Paul) 8-12-2010
- 20.  Reinhart, Vincent Notes (Adam Paul) 9-10-2010
- 21.  Shiller, Robert Notes (Adam Paul) 9-22-10
- 22.  Stumpf,John Notes (Adam Paul) 9-23-2010
- D.  asimendinger
  - 1.  test
- E.  AWILMARTH
  - 1.  AEW Changes to 8 21 10 Draft of PSR on TBTF(2)
  - 2.  AEW Changes to 8 21 10 Draft of PSR on TBTF(2).UNMARKED
  - 3.  AEW Changes to 8.21.10 Draft of PSR on TBTF
  - 4.  AEW Changes to ME-AEW Draft of Section One 09.28.2010
  - 5.  AEW Comments on Draft LB Investigative Report 8.11.10
  - 6.  AEW Comments on GF and RB Draft of Section II-2 - Fed subchapter
  - 7.  AEW Comments on ME-AEW Draft of Section One 09 29 2010(2)
  - 8.  AEW Corrected Draft of PSR on TBTF.8.27.10.UNMARKED(1)
  - 9.  AEW Draft of Inserts to Section One
  - 10.  AEW Draft of PSR on TBTF.Version 2
  - 11.  AEW Draft of PSR on TBTF.Version 2(2)
  - 12.  AEW Draft of Takeaways from TBTF Hearings
  - 13.  AEW Draft of TOPIR on Regulatory Inaction and Preemption

14. AEW Draft of TOPIR on Regulatory Inaction and Preemption.10.15.10
  15. AEW Draft of TOPIR on Regulatory Inaction and Preemption.Version 2
  16. AEW Insert for Book re OCC and OTS Preemption
  17. AEW Insert to Chapter 1 on TBTF.Version 2A
  18. AEW Insert to Chapter 1 on TBTF.Version 2A.UNMARKED
  19. AEW Insert to Chapter 1 re TBTF, Version 2
  20. Draft of PSR on TBTF 8.21.10
  21. Final Draft of PSR on TBTF 8.31.10
  22. Kaufman Article on TBTF.2002
  23. ME-AEW Draft of Section One
  24. ME-AEW Draft of Section One 09.24.2010
  25. ME-AEW Draft of Section One 10 02 2010 pm
  26. SAMPLE INVOICE
- F. BBONDI
- G. BDZIVI
1. test
- H. Bhinkley
1. 7-13-2010 MS Partial Response to Questions from FCIC
- I. BJACOBS
1. 10q
  2. bankruptcy
  3. fin46
  4. net capital
- J. BKELLERMAN
1. 1997-02-21 Greenspan Derivatives Regulation (copy)
  2. Clarkson
  3. Clarkson 2
  4. Outside Directors Notes
  5. tyes
  6. Underwriting Standards
  7. Yoshizawa - document discussion
- K. BMCWILLIAMS
1. book versions
    - a. CDO Kim quotes
      1. CDO Interviews
      2. CDO mfrs notes themes
    - b. Fact checking files
      1. 2010-12-31 - Part A - sent to Phil
      2. 3 banks off balance sheet data
      3. Big Umbrella WSJ on Citi Travelers WSJ
      4. Bruce CBSA and State HPI Data for SFC from 1990
      5. CDO economic statistis section iii chapter 2
      6. Census home ownership rates cb-10155
      7. citi wells b of a leverage paragraph section 3.2
      8. clayton bank reject wavier chart
      9. ECONOMIC INDICATORS SPRING SUMMER 07
      10. investment banking wages
      11. Kennedy-Greenspan\_equityextraction2008Q4
      12. Marc Lackritz ABBA Business Law Meeting Presentation
      13. marc lackritz The Hangover[4]
      14. MBS and CDO issuance
      15. Quarterly mortgage originations from Greg
      16. run on bank footnote backup
      17. section A stuff to put in
      18. section ii chap 4 fact checks
      19. sftotalmedavgsqft





















































- 20. table 34 housing starts 10s0934
- 21. University of Michigan Consumer Sentiment
- 22. US Stock Prices 2006-Present
- c. Flashing Yellow
  - 1. PART A
    - a. 2010-11-22 (1000) - Part A - PA comments addressed
    - b. Part A list
  - 2. section 1
    - a. 2010-11-23 - Section I, Ch. 2 - sent to commissioners
    - b. 2010-11-23 - Section I, Ch. 3- sent to commissioners
    - c. 2010-11-23 (0900) - Section I, Ch. 1 - without intro
    - d. 2010-11-23 (1100) Section 1, Intro--ready for fact-checks
    - e. 2010-11-23- Section I, Ch. 4 - sent to commissioners
    - f. Section 1 list
  - 3. SECTION II
    - a. 2010-11-17 (1600) - Section II, Ch 2 - as renumbered- to C-VC(1)
    - b. 2010-11-28 (1300) - Section II, Ch.3 - to C-VC(1)
    - c. 2010-11-28 (1900) - Section II, Ch. 1 - sent to PA
    - d. SECTION II LIST
  - 4. Flashing Yellow compendium OLD
- d. Mike Flagg edits
  - 1. old
    - a. 2010-09-28 - Section III - Chapter 7 - AIG - All staff[1]
    - b. 2010-10-01 (1900)- Section III - Ch. 8 (sans WaMu, Wach), MBryan Edit
    - c. CHAPTER 9
    - d. Citigroup - Mike edits
  - 2. Section II
    - a. Dow Kim Merrill Lynch quotes
    - b. Merrill for Section II - 3 - bruce quotes
    - c. Merrill for Section II - 3 bruce updates Mike suggestions
    - d. SECTION II CHAPTER 1 FLAGG
    - e. SECTION II CHAPTER 2
  - 3. Section III
    - a. 2010-09-30 - Section III - Chapter 4 - All Staff (2) - Flagg
    - b. 2010-10-07 - Section III Ch 2 - MFlagg comments
    - c. 2010-10-07 - Section III Ch 5 - MFlagg comments (2)
    - d. 2010-10-07 - Section III Ch 7 (Lehman) - MFlagg comments (2)
    - e. 2010-10-07 - Section III Ch 8 - AIG - MFlagg comments (2)
    - f. 2010-10-07 - Section III Ch 9 - MFlagg comments (2)
    - g. 2010-10-07- Section III Ch 1 - MFlagg comments
    - h. 2010-10-13 - Section III Ch 6 - GSEs[1]
    - i. CHAPTER 9
    - j. due diligence chapter quotes
    - k. Merrill for Section II - 3[1]
  - 4. antoncic quotes
  - 5. chapter 6 quotes
  - 6. quote finds for chapter 7
  - 7. section 13 3 addition for Lehman chapter Bruce
  - 8. Section 2 quotes requests ch 1
  - 9. Section 3 quotes ch. 1 2 4 5 6 7 8 9
- e. Moodys chapter
  - 1. Moody07 chapter
  - 2. moodys comparison with fitch s&p
- f. 2010-12-03 - Timeline - AF edits
- g. 2010-12-03 - Timeline - bruce mike fact checks
- h. bernanke 1930s quotes
- i. Brad interview list


















































































































- j.  Bruce quote file
  - k.  buffett quotes
  - l.  dixie interview list
  - m.  Economic overview section 2 chapter 3
  - n.  FOMC minutes 2008 09 16
  - o.  Geithner 100yr flood quotes
  - p.  JOHNSON BEAL MOODYS CLAYTON CORRECTION
  - q.  krugman quotes 2010-10-06
  - r.  leverage ratio fact check
  - s.  lusardi quotes
  - t.  McDaniel clarkson Quotes for chairman
  - u.  Moody CDO RMBS model details
  - v.  Outstanding facts to be checked bruce
  - w.  ricciardi interview quotes
  - x.  sacramento house price detail growth
  - y.  Sec 3 ch 3 GSE quotes for text
  - z.  sec 3 ch 7 lehman bernanke addition
  - aa.  starkman-powerproblem
  - ab.  wachovia westerkamp liquidity
  - ac.  witt on correlation
2.  Citigroup
- a.  chart for hearing 2 Citigroup
  - b.  Citigroup capital ratios 3-29-2010
  - c.  Citigroup capital ratios 4-01-2010 ver 2
  - d.  Citigroup capital ratios v2
  - e.  Citigroup capital ratios v2
  - f.  Citigroup capital ratios ver4
  - g.  Citigroup capital ratios ver4 (2)
  - h.  Citigroup Compensation Addendum - 4-02-2010[1]
  - i.  Citigroup Compensation Addendum - 4-03-2010 ver 1
  - j.  Citigroup Compensation Addendum - 4-04-2010 ver 1
  - k.  Citigroup Compensation Addendum - 4-04-2010 ver 2
  - l.  Citigroup compensation charts
  - m.  Citigroup Compensation section
  - n.  Citigroup Compensation structure
  - o.  Citigroup Financial Narrative
  - p.  Citigroup Financial Narrative- bgm ver1
  - q.  Citigroup Financial Narrative shorter ver1
  - r.  Citigroup Financial Narrative shorter ver1
  - s.  Citigroup memo of understanding 2
  - t.  Citigroup Mortgage Loan Trust
  - u.  Citigroup susan mills follow up
  - v.  Confessions of a Subprime Lender by Richard Bitner
  - w.  Delinquencies 2009
  - x.  Delinquency chart for vic
  - y.  FED Citi docs
  - z.  Fed Paragraph
  - aa.  financial narrative bgm ver1 charts
  - ab.  Questions for Chuck Prince
  - ac.  Questions for Richard Bitner
  - ad.  Securitizations summary Citi 3 (2)
  - ae.  stock prices
  - af.  Tangible common equity
  - ag.  Tangible equity figures
  - ah.  update for Compensation 2
























































- ai.  upfront to financial narrative
- 3.  DERIVATIVES H5 FOLLOW UP
  - a.  Collateral Call Timeline - for the record Hearing follow up version
  - b.  DERIVATIVES FOLLOW UP TEMPLATE
  - c.  H5 Commissioner Follow Up Responses
  - d.  H5 Follow-Up v3 BRUCE
- 4.  Fremont Investment & Loan
  - a.  2007-03-00 Fremont Cease and Desist
  - b.  2009\_06\_09\_fremont\_consent\_judgment
  - c.  Commonwealth\_v\_Fremont\_Inv\_
  - d.  Copy of NV\_Fre\_Results
  - e.  Fremont 10k 2007
  - f.  Fremont Investment
  - g.  fremont\_investment\_and\_loan\_
  - h.  fremont\_investment\_and\_loan\_2
  - i.  fremont\_investment\_and\_loan\_3
  - j.  Fremont\_life\_04
- 5.  King County Lawsuits
  - a.  [https://ecf.nysd.uscourts.gov/cgi-bin/show\\_temp.pl\\_file=6543882-0--20903](https://ecf.nysd.uscourts.gov/cgi-bin/show_temp.pl_file=6543882-0--20903)
  - b.  [https://ecf.nysd.uscourts.gov/cgi-bin/show\\_temp.pl\\_file=6543882-0--20903](https://ecf.nysd.uscourts.gov/cgi-bin/show_temp.pl_file=6543882-0--20903)
  - c.  [https://ecf.nysd.uscourts.gov/cgi-bin/show\\_temp.pl\\_file=7865131-0--23470](https://ecf.nysd.uscourts.gov/cgi-bin/show_temp.pl_file=7865131-0--23470)
  - d.  [https://ecf.nysd.uscourts.gov/cgi-bin/show\\_temp.pl\\_file=7875048-0--23713](https://ecf.nysd.uscourts.gov/cgi-bin/show_temp.pl_file=7875048-0--23713)
  - e.  King County paragraph
- 6.  Lehman
  - a.  Lehman\_CPs\_2008
- 7.  MINNEAPOLIS FED
- 8.  Misc docs
  - a.  2007 SEC Examination into Credit Rating Agencies
  - b.  2010-03-11 Transcript of Interview with Robert Rubin (condensed format)
  - c.  2010-03-17 Transcript of Interview with Charles Prince (condensed version)
  - d.  2010-04-16 Ann Rutledge Subpoena
  - e.  2010-05-28 Investigative Report Rating Agencies v3[1]
  - f.  Ann Rutledge email
  - g.  Bank Reconciliation procedure
  - h.  bear stearns charts
  - i.  Berkshire Hathaway Corp Library report
  - j.  Book Bruce McWilliams Under 33 Financial Plan
  - k.  BR worksheet10
  - l.  Bruce exp rpt Feb10
  - m.  Capital companies
  - n.  charts for 2nd hearing Citigroup data
  - o.  checking 2010 08
  - p.  checking0410
  - q.  Cifuentes Interview
  - r.  Coffee Jr - 2009 - What Went Wrong A Tragedy in Three Acts
  - s.  Commissioner follow up requests Hearing 1
  - t.  comp appendix
  - u.  Comp appendix 2
  - v.  comp write up bruce
  - w.  compensation blurb table
  - x.  Compensation Citi Marheras Bushnell
  - y.  Compensation section
  - z.  Compensation Spreadsheet -- Moodys
  - aa.  confessions
  - ab.  Copy of Lehman exposure
  - ac.  Corporate Governance and Risk Management


















































- ad.  Corporate Governance and Risk Management BGM
- ae.  Corporate Governance and Risk Management.bgm ver 2
- af.  corporate library
- ag.  Credit Rating Agency doc 1 ver 1
- ah.  desktop
- ai.  FCIC expenses Bruce Feb10
- aj.  fcic.cl
- ak.  FERA
  - al.  Forcing Financial Institution Change
- am.  FSA form mcwilliams
- an.  Ganz Basel Memo sg[1]
- ao.  Hearing 5 announcement 5.26.10 - Final.doc
- ap.  hearing notice number 2
- aq.  HEARING TWO Citi Panel 3
- ar.  HEARING two Fed Panel
- as.  Hearing Two Sub Prime Panel 2
- at.  HseJud TBTF 17March09
- au.  JWhite.fcic.3.2010.2
- av.  k08c
- aw.  Kolchinsky response to hearing follow up 7-24-2010
- ax.  Lehman Memo Insert 6
- ay.  Lehman\_CP\_september\_big\_bkld
- az.  logo
- ba.  LSE ETF
- bb.  Maheras Comp 07 number 1
- bc.  MakeMarketsBeMarkets
- bd.  Malmgren interview
- be.  MANAGING THE EXPANDED SAFETY NET
- bf.  MANAGING TOO BIG TO FAIL BY REDUCING SYSTEMIC RISK
- bg.  Media Advisory 6.25.10
- bh.  memo of understanding
  - bi.  Memo of understanding 10
  - bj.  memo of understanding 3
  - bk.  memo of understanding 4
  - bl.  memo of understanding 5
- bm.  memo of understanding 6
- bn.  Memo of understanding 7
- bo.  Memo of understanding 8
- bp.  Memo of understanding 9
- bq.  money
- br.  moody's document policy update
- bs.  Moodys fee schedule verification
- bt.  moodys follow up Letter 8-10-10
- bu.  Moody's follow up Letter 8-10-10
- bv.  Moodys Q1 2010
- bw.  Moody's response to hearing follow up 7-30-2010
- bx.  Moodys response to hearing follow up 8-17-10
- by.  Mortgage Delinquencies 07 09
- bz.  NetDocuments Recent
- ca.  Nevada data 00 07
- cb.  oakland parking ticket hearing request
- cc.  OC Register - 2007 - How subprime started
- cd.  Oct Nov 07 stock price chart
- ce.  parmalat reformatted
- cf.  passport application







- cg.  passwords
- ch.  penny stock book cover0000
- ci.  people who contact FCIC
- cj.  Potential Hearing 2 Questions.Maheras, Dominguez, Barnes(3.26 draft) bruce
- ck.  Prince compensation calcs
- cl.  prince salary
- cm.  PSI Downgrades Moody's july 10 2007
- cn.  PSR Shadow exec summary
- co.  PSR\_-\_Shadow\_Banking\_0421
- cp.  PSR\_-\_Shadow\_Banking\_0421 exec summary
- cq.  PSR\_-\_Shadow\_Banking\_0421[1]
- cr.  psr\_-\_shadow\_banking\_exec summary
- cs.  Question 11 reps and warranties
- ct.  Questions for Kolchinsky
- cu.  Questions for Richard Bitner
- cv.  Rating agencies book comments
- cw.  rating agency document list
- cx.  Real estate
- cy.  Residential Lease Agreement
 Review of Clayton notes
- da.  Risk Management at Citigroup bgm
- db.  RM pull apart bgm
- dc.  Russell Funds lehman Holdings aug sep 08
- dd.  schloessman invitation
- de.  SEC Examination
- df.  SEC section update
- dg.  Section iv. A Erik Kolchinsky Bonus letter
- dh.  Securitization Executive Summar3(AC)
- di.  Stepstone Financials
- dj.  Takeaways from Research and Investigation Plan
- dk.  Takeaways from Research and Investigation Plan1 (2)
- dl.  Tangible equity figures
- dm.  The Corporate Library Moody's 5.13.2010
- dn.  untitled
- do.  Witt response to hearing follow up 19-07-2010
- 9.  Moodys
  - a.  bruce's work
  - b.  Buffet background
  - c.  Buffett addendum v2
  - d.  Compensation Spreadsheet -- Moodys
  - e.  CRA RIR DRAFT Confidential - BJB edits[1]
  - f.  Moodys
  - g.  Moody's
  - h.  Moodys 2009\_Selected\_Financial\_Information
  - i.  Moodys financial analysis
  - j.  Moodys financial summary
  - k.  Moody's financials
  - l.  Moodys official financial from website
  - m.  Moody's write up about Corporate Library
  - n.  Moodys\_rating\_volume
  - o.  Moodys\_rating\_volume\_2
  - p.  PSI rating agency follow up questions
  - q.  RIR Bruce
  - r.  rir investigative report
  - s.  SEC questions about Moodys

- t.  State Investment boards
  - u.  Sullivan and cromwell teleconference over Moodys docs
  - v.  Summary Compensation Table -- Moodys
  - w.  Underwriters labs
10.  National City Corp
- a.  National City Compensation
  - b.  National City Corp Comp picture
  - c.  National City Corp compensation
  - d.  National City Corp news articles
  - e.  National City Corporation
11.  Personal
- a.  CVs
    - 1.  book cover pictures
    - 2.  Bruce McWilliams resume
    - 3.  Bruce McWilliams FHFA OIC Resume
    - 4.  Bruce McWilliams's CV 2
    - 5.  Bruce McWilliams's CV 3
    - 6.  Bruce McWilliams's CV 4
    - 7.  Bruce McWilliams's CV 5
    - 8.  Bruce McWilliams's CV 6
    - 9.  resume
  - b.  GWU Press Conf photos
    - 1.  Financial-Crisis\_UP\_JMC\_2011-0018
    - 2.  Financial-Crisis\_UP\_JMC\_2011-0058
    - 3.  Financial-Crisis\_UP\_JMC\_2011-0074
    - 4.  Financial-Crisis\_UP\_JMC\_2011-0111
    - 5.  Financial-Crisis\_UP\_JMC\_2011-0123
    - 6.  Financial-Crisis\_UP\_JMC\_2011-0127
    - 7.  Financial-Crisis\_UP\_JMC\_2011-0205
    - 8.  Financial-Crisis\_UP\_JMC\_2011-9931
    - 9.  Financial-Crisis\_UP\_JMC\_2011-9986
    - 10.  Financial-Crisis\_UP\_WLA\_2011-9192
  - c.  press conference photos
    - 1.  FCIC Press Conf 001
    - 2.  FCIC Press Conf 002
    - 3.  FCIC Press Conf 003
    - 4.  FCIC Press Conf 004
    - 5.  FCIC Press Conf 005
    - 6.  FCIC Press Conf 006
    - 7.  FCIC Press Conf 007
    - 8.  FCIC Press Conf 008
    - 9.  FCIC Press Conf 009
    - 10.  FCIC Press Conf 010
    - 11.  FCIC Press Conf 011
    - 12.  FCIC Press Conf 012
    - 13.  FCIC Press Conf 013
    - 14.  FCIC Press Conf 014
    - 15.  FCIC Press Conf 015
    - 16.  FCIC Press Conf 016
    - 17.  FCIC Press Conf 017
    - 18.  FCIC Press Conf 018
    - 19.  FCIC Press Conf 019
    - 20.  FCIC Press Conf 020
    - 21.  FCIC Press Conf 021
    - 22.  FCIC Press Conf 022
    - 23.  FCIC Press Conf 023

24.  FCIC Press Conf 024
25.  FCIC Press Conf 025
26.  FCIC Press Conf 026
27.  FCIC Press Conf 027
28.  FCIC Press Conf 028
29.  FCIC Press Conf 029
30.  FCIC Press Conf 030
31.  FCIC Press Conf 031
32.  FCIC Press Conf 032
33.  FCIC Press Conf 033
34.  FCIC Press Conf 034
35.  FCIC Press Conf 035
36.  FCIC Press Conf 036
37.  FCIC Press Conf 037
38.  FCIC Press Conf 038
39.  FCIC Press Conf 039
40.  FCIC Press Conf 040
41.  FCIC Press Conf 041
42.  FCIC Press Conf 042
43.  FCIC Press Conf 043
44.  FCIC Press Conf 044
45.  FCIC Press Conf 045
46.  FCIC Press Conf 046
47.  FCIC Press Conf 047
48.  FCIC Press Conf 048
49.  FCIC Press Conf 049
50.  FCIC Press Conf 050
51.  FCIC Press Conf 051
52.  FCIC Press Conf 052
53.  FCIC Press Conf 053
54.  FCIC Press Conf 054
55.  FCIC Press Conf 055
56.  FCIC Press Conf 056
57.  FCIC Press Conf 057
58.  FCIC Press Conf 058
59.  FCIC Press Conf 059
60.  FCIC Press Conf 060
61.  FCIC Press Conf 061
62.  FCIC Press Conf 062
63.  FCIC Press Conf 063
64.  FCIC Press Conf 064
65.  FCIC Press Conf 065
66.  FCIC Press Conf 066
67.  FCIC Press Conf 067
68.  FCIC Press Conf 068
69.  FCIC Press Conf 069
70.  FCIC Press Conf 070
71.  FCIC Press Conf 071
72.  FCIC Press Conf 072
73.  FCIC Press Conf 073
74.  FCIC Press Conf 074
75.  FCIC Press Conf 075
76.  FCIC Press Conf 076
77.  FCIC Press Conf 077
78.  FCIC Press Conf 078

79.  FCIC Press Conf 079
80.  FCIC Press Conf 080
81.  FCIC Press Conf 081
82.  FCIC Press Conf 082
83.  FCIC Press Conf 083
84.  FCIC Press Conf 084
85.  FCIC Press Conf 085
86.  FCIC Press Conf 086
87.  FCIC Press Conf 087
88.  FCIC Press Conf 088
89.  FCIC Press Conf 089
90.  FCIC Press Conf 090
91.  FCIC Press Conf 091
92.  FCIC Press Conf 092
93.  FCIC Press Conf 093
94.  FCIC Press Conf 094
95.  FCIC Press Conf 095
96.  FCIC Press Conf 096
97.  FCIC Press Conf 097
98.  FCIC Press Conf 098
99.  FCIC Press Conf 099
100.  FCIC Press Conf 100
101.  FCIC Press Conf 101
102.  FCIC Press Conf 102
103.  FCIC Press Conf 103
104.  FCIC Press Conf 104
105.  FCIC Press Conf 105
106.  FCIC Press Conf 106
107.  FCIC Press Conf 107
108.  FCIC Press Conf 108
109.  FCIC Press Conf 109
110.  FCIC Press Conf 110
111.  FCIC Press Conf 111
112.  FCIC Press Conf 112
113.  FCIC Press Conf 113
114.  FCIC Press Conf 114
115.  FCIC Press Conf 115
116.  FCIC Press Conf 116
117.  FCIC Press Conf 117
118.  FCIC Press Conf 118
119.  FCIC Press Conf 119
120.  FCIC Press Conf 120
121.  FCIC Press Conf 121
122.  FCIC Press Conf 122
123.  FCIC Press Conf 123
124.  FCIC Press Conf 124
125.  FCIC Press Conf 125
126.  FCIC Press Conf 126
127.  FCIC Press Conf 127
128.  FCIC Press Conf 128
129.  FCIC Press Conf 129
130.  FCIC Press Conf 130
131.  FCIC Press Conf 131
132.  FCIC Press Conf 132
133.  FCIC Press Conf 133


























134.  FCIC Press Conf 134
135.  FCIC Press Conf 135
136.  FCIC Press Conf 136
137.  FCIC Press Conf 137
138.  FCIC Press Conf 138
139.  FCIC Press Conf 139
140.  FCIC Press Conf 140
141.  FCIC Press Conf 141
142.  FCIC Press Conf 142
143.  FCIC Press Conf 143
144.  FCIC Press Conf 144
145.  FCIC Press Conf 145
146.  FCIC Press Conf 146
147.  FCIC Press Conf 147
148.  FCIC Press Conf 148
149.  FCIC Press Conf 149
150.  FCIC Press Conf 150
151.  FCIC Press Conf 151
152.  FCIC Press Conf 152
153.  FCIC Press Conf 153
154.  FCIC Press Conf 154
155.  FCIC Press Conf 155
156.  FCIC Press Conf 156
157.  FCIC Press Conf 157
158.  FCIC Press Conf 158
159.  FCIC Press Conf 159
160.  FCIC Press Conf 160
161.  FCIC Press Conf 161
162.  FCIC Press Conf 162
163.  FCIC Press Conf 163
164.  FCIC Press Conf 164
165.  FCIC Press Conf 165
166.  FCIC Press Conf 166
167.  FCIC Press Conf 167
168.  FCIC Press Conf 168
169.  FCIC Press Conf 169
170.  FCIC Press Conf 170
171.  FCIC Press Conf 171
172.  FCIC Press Conf 172
173.  FCIC Press Conf 173
174.  FCIC Press Conf 174
175.  FCIC Press Conf 175
176.  FCIC Press Conf 176
177.  FCIC Press Conf 177
178.  FCIC Press Conf 178
179.  FCIC Press Conf 179
180.  staff photo 1
181.  Staff Photo 2
182.  staff photo 3

- d.  Wrap Party
1.  FCIC Wrap Party
  2.  FCIC Wrap Party (1)
  3.  FCIC Wrap Party (10)
  4.  FCIC Wrap Party (11)
  5.  FCIC Wrap Party (12)




- 6. FCIC Wrap Party (13)
- 7. FCIC Wrap Party (14)
- 8. FCIC Wrap Party (15)
- 9. FCIC Wrap Party (16)
- 10. FCIC Wrap Party (17)
- 11. FCIC Wrap Party (18)
- 12. FCIC Wrap Party (19)
- 13. FCIC Wrap Party (20)
- 14. FCIC Wrap Party (21)
- 15. FCIC Wrap Party (22)
- 16. FCIC Wrap Party (3)
- 17. FCIC Wrap Party (4)
- 18. FCIC Wrap Party (5)
- 19. FCIC Wrap Party (6)
- 20. FCIC Wrap Party (7)
- 21. FCIC Wrap Party (8)
- 22. FCIC Wrap Party (9)
- e. Aug expenses
- f. Bruce Apria bill dispute
- g. bruce process control failure fraud
- h. Capital Group Job letter
- i. CRS Shogan thank you note
- j. David Katz letter
- k. Dodd Frank Bill studies
- l. eating progress
- m. FHFA job letter
- n. Intelligent Finance transfer nov 10
- o. kirstin
- p. Krebs krebsian krebsi-isms
- q. planter questions
- r. TBTF investigative paths
- s. Warren COP job letter
- 12. SHADOW BANKING HEARING 4 FOLLOW UP
  - a. 2010-10-15 Shadow Banking Follow Up Memo
  - b. 2010-10-20 Shadow Banking Follow-Up Memo[1]
  - c. Shadow Testimony Requests
- 13. TBTF
  - a. Wachovia
    - 1. 200 page of email about wachovia wells citi deals
    - 2. 2008-08-13 B McWilliams MFR with Rich Westerkamp Richmond Fed
    - 3. breaking the buck april 08 fed memo
    - 4. Fed response to Wachovia
    - 5. Fed supervisory assessment Wachovia July 08
    - 6. Fed view on Wells over Citi
    - 7. Wachovia liabilities
    - 8. Wachovia Liquidity - Bruce to Complete
    - 9. Wachovia liquidity quotes
    - 10. Wachovia liquidity quotes
    - 11. Wachovia Liquidity summary
    - 12. Wachovia Public Filings Regulator Comments
    - 13. Wachovia sept 25 26 29
    - 14. Wachovia update
    - 15. Westerkamp 1 page
  - b. \$100 billion question Haldane
  - c. FRBNY docs list
  - d. Money market fund exposure to Lehman

- e. Primary Reserve Fund 4 TBTF
- f. Reg response 2 TBTF fact pattern
- g. research proposal 13(3) liquidity programs
- h. Reserve funds Lehman holding
- i. Russel funds Lehman holdings
- j. TBTF quotes
- 14. 2010-06-16 LTR MCWILLIAMS TO JEFF GATES
- 15. 2010-07-22 Audio Interview of Vick Beal Part II (copy)
- 16. Audio Review
- 17. Bear Stearns summary 04-28-10
- 18. Identified Documents by Batestamp and Title-3-29-10
- 19. jeff Gates resume
- 20. Lehman CDS spread charts
- 21. links to FCIC investigative and research reports
- 22. MFR - Tom Boemio - 9-21-2010
- L. BSCHULTE
- M. CMAYO
  - 1. 9-11 Commission
    - a. Documents from Stephanie Kaplan
      - 1. 911 Commission\_Meeting Minutes
      - 2. Kaplan
      - 3. Kaplan2
      - 4. MFR\_Albright
      - 5. Zelikow Memo to Staff
    - b. 911 Meeting Notes
    - c. 911 Memo
    - d. Meeting with Stephanie Kaplan
    - e. Philip Zelikow\_11 25 09
    - f. Piloting a Bipartisan Ship\_Kirsten Lundberg
    - g. Stephanie Kaplan
    - h. When Government Writes History\_Ernest May
  - 2. Administrative
    - a. Interviews
      - 1. COM\_\_Kiley\_Tom
      - 2. COM\_\_Merz\_Melissa
      - 3. COMM. Schulte, Beneva[1]
      - 4. Foley Hoag-Insmed letter[1]
      - 5. GC. Ochs, Brian A[1]
      - 6. GC. Redway, James[1]
      - 7. GC. Rezneck, Dan[1]
      - 8. GC. Roberts, Matthew[1]
      - 9. Kevin P. Tully.bio[1]
      - 10. OTHR, Zuckerman, Sarah
      - 11. OTHR. Ames Sandler, Regina[1]
      - 12. OTHR. Davidson, Samuel
      - 13. OTHR. McCarden, Carl
      - 14. OTHR. Mintz, Steve[1]
      - 15. OTHR. Morain, Clara
      - 16. RD. Bothwell, James. pdf[1]
      - 17. RD. Koppell, Jonathan[1]
      - 18. RD. Mendelowitz.Allan[1]
      - 19. RD. Parenteau, Robert[1]
      - 20. RD. Rives, Elizabeth[1]
      - 21. RD. White, Adam[1]
      - 22. SS\_Goodgame\_Dan (2)
      - 23. SS\_Greenberger\_Scott

24.  SS\_Hinkley\_Robert
25.  SS. Barber, Philip[1]
26.  SS. Borgers, Tom
27.  SS. Cohen, Gary[1]
28.  SS. Goodgame. Dan[1]
29.  SS. Hinkley, Robert[1]
30.  SS. Hosp, Edward - Ted[1]
31.  SS. Kelleher, Dennis[1]
32.  SS. Knauer, Chris[1]
33.  SS. Kraden. Jonathan[1]
34.  SS. Krebs, Thomas L[1]
35.  SS. Lee, Susan[1]
36.  SS. Linn, Courtney[1]
37.  SS. Mandel, Victor E[1]
38.  SS. Martin, Michael[1]
39.  SS. McWilliams, Bruce[1]
40.  SS. Missal.Michael J[1]
41.  SS. Newsom, Richard[1]
42.  SS. Price, Lee[1]
43.  SS. Roberson, Peter[1]
44.  SS. Scott.Stephen J[1]
45.  SS. Seefer, Christopher[1]
46.  SS. Tafara, Ethiopis[1]
47.  SS. Van Wazer, Tom[1]
48.  SS. Vinik, Grant R[1]




b.  Resumes

1.  Alice Falk
2.  Benjamin Jacobs
3.  Elizabeth Haynes
4.  Elizabeth Rives
5.  Gordon Shemin
6.  John Maggs
7.  Julie Marcacci
8.  Melana Vickers
9.  Michael Gelb
10.  Nick Lanyi
11.  Phillip Zweig
12.  Steve Mintz
13.  Susan Kinsley
14.  Susan Lee
15.  Susan Mandel
16.  Terry Phillips
17.  W. Theron McLarty

























































c.  Staff Resumes
























































1.  CON - Dzivi, Bart[1]
2.  SS. Biegelman, Martin[1]
3.  SS. Bondi, Bradley[1]
4.  SS. Goldman, Eugene L[1]
5.  SS. Noonan, Dixie[1]
6.  SS. Noonan, Dixie[1]\_2nd
7.  SS. Rezendes, Michael[1]
8.  SS. Simhai, Mina[1]

























































d.  Angelides FCIC bio

- e.  Commissioner\_Working\_Groups\_with\_staff\_point\_person\_and staff team
- f.  Copy of FCIC media list rev 6 28 10 rev (2)
- g.  Copy of FCIC Office Staff Roster

- h. Copy of FCIC Office Staff Roster\_3 3 10
- i. Copy of FCIC Phone Roster\_10 14 10
- j. FCIC Commissioners w photos
- k. FCIC Commissioners w photos (2)
- l. FCIC Contact List\_Post FCIC
- m. FCIC Fax Cover Sheet
- n. FCIC Office Staff Roster
- o. FCIC Phone Roster 7-10-2010
- p. FCIC Phone Roster 7-10-2010 (copy)
- q. FCIC Phone Roster\_8 23 10
- r. FCIC Roster w contact
- s. FCIC\_Meetings\_Hearings\_as of 8-19-10
- t. FCIC\_Roster\_w\_contact
- u. FCIC\_Roster-w-contact
- v. FCIC\_Roster-w-contact2\_3 3 03
- w. Future FCIC Meetings\_Hearings
- x. Future Meetings FCIC
- y. Future\_FCIC\_Meetings\_Hearings\_as of 2-23-2010
- z. Future\_FCIC\_Meetings\_Hearings\_as of 4-26-2010
- aa. Future\_FCIC\_Meetings\_Hearings\_Original v New\_2010-05-11 (2)
- ab. HOCR\_H2 room request
- ac. Master Contact List\_2-3-10\_ST\_SG
- ad. Name Cards\_Staff
- ae. Official FCIC Holidays 2009-10
- af. Organizational Chart 2-23-10
- ag. Phone Extensions
- ah. Principal Point of Contacts
- ai. SF1164
- aj. Staff\_10 22 10
- 3. Articles
  - a. 148278-ceo\_pay\_2002
  - b. Dec 16)Kernville Land Exchange.background[1]
  - c. Dec 16\_Kernville Land Exchange.background[1]
  - d. Financial Crisis Inquiry Commission Names Executive Director (2)
  - e. House passes financial reform\_Washington Post
  - f. Madrick\_ny review regulation final 10-18-09[1][1]
  - g. National Journal Magazine - The Real Cause Of The Crash. by Jonathan Rauch[1]
  - h. Not all on the same page\_Economist\_ 2010-07-01
  - i. Pecora Hearings (Moss, Bolton, and Kintgen - Draft Case Study - 2.13.09)[1]
  - j. Rational Irrationality\_by john Cassidy\_New Yorker\_Oct. 5 2009[1]
- 4. FCIC Commissioner Meetings
  - a. 01-2010
    - 1. 1-20-09\_Agenda\_for\_Business\_Meeting\_of\_Financial\_Crisis\_Inquiry\_Commission5
    - 2. Agenda item 13 Legislative Update for Jan 2010 meeting
    - 3. Draft Meeting Minutes\_01 20 09
    - 4. H1\_Panelist and Guests
  - b. 09-2009
    - 1. 9-16 Agenda for Closed Meeting of Financial Crisis Inquiry Commission[1]
  - c. 10-2009
    - 1. 10-20-09 Agenda for Closed Meeting
    - 2. Agenda Item 8. 10-20-09 Meeting \_FCIC\_Preliminary Work Plan[1]
    - 3. FCIC Roundtable - October 20 2009 (4)[1]
  - d. 11-2009
    - 1. Additional Responses from Panelists
      - a. Additional Responses\_November
      - b. How to Avoid[1]\_Luigi Zingales

























































- c.  Martin Baily Response
- d.  Responses to additional questions from the Financial Crisis Inquiry Commission\_Hal Scott
- e.  Responses to additional questions from the Financial Crisis Inquiry Commission\_Luigi Zingales
- f.  Responses to FCIC questions John B Taylor[1]
- g.  Responses\_Hal Scott
- h.  Responses\_John Taylor
- i.  Responses\_Joseph Stiglitz
- j.  Scott\_Basel Memo
- k.  Scott\_Basel Memo[1]
- l.  Stiglitz\_responses[1]
- m.  Title Page
- n.  Zingales\_response
- 2.  11-17-09 Agenda for Closed Meeting of Financial Crisis Inquiry Commission
- 3.  Draft Meeting Minutes\_11 17 09
- 4.  FCIC Commissioners w photos
- 5.  Packet for Nov 16 Dinner
- 6.  Packet for Nov 16 Dinner (2)
- 7.  White Board Meeting\_11 05 09
- 8.  White Board Meeting\_11 12 09
- e.  12-2009
  - 1.  FCIC\_Hearing 1\_Outline\_12-8-09
  - 2.  FCIC\_Revised Approach to Hearings and Briefings\_12-1-09
  - 3.  HR 4173 Summary
  - 4.  Legislative Update 12 9
- f.  February Research Forum
  - 1.  Bios and CVs
    - a.  AKashyap\_Bio
    - b.  AKashyap\_CV
    - c.  AKashyap\_photo
    - d.  ALusardi\_Bio
    - e.  ALusardi\_CV
    - f.  ALusardi\_photo
    - g.  CMayer\_Bio
    - h.  CMayer\_CV 8 9 08
    - i.  CMayer\_photo
    - j.  DJaffee\_Bio
    - k.  DJaffee\_CV
    - l.  DJaffee\_photo
    - m.  Gary Gorton\_Bio
    - n.  Gary Gorton\_photo
    - o.  Gary Gorton\_vita Nov 12 2008
    - p.  JGeanakoplos\_Bio
    - q.  JGeanakoplos\_CV
    - r.  JGeanakoplos\_photo
    - s.  MBrunnermeier\_Bio
    - t.  MBrunnermeier\_CV
    - u.  MBrunnermeier\_photo
    - v.  POG\_Bio
    - w.  POG\_CV
    - x.  POG\_photo
    - y.  RKroszner\_Bio
    - z.  RKroszner\_CV
    - aa.  RKroszner\_photo
  - 2.  Invite Letters
    - a.  Baily
    - b.  Baily2

























































- c.  fcic\_invitation\_letter\_brunnermeier
  - d.  fcic\_invitation\_letter\_Gourinchas
  - e.  fcic\_invitation\_letter\_kashyap
  - f.  fcic\_invitation\_letter\_kroszner
  - g.  fcic\_invitation\_letter\_lusardi
  - h.  fcic\_invitation\_letter\_symposium\_kroszner
  - i.  fcic\_invitation\_letter\_symposium\_kroszner2
  - j.  fcic\_invitation\_letter\_symposium\_lusardi
  - k.  Johnson v3
  - l.  Johnson2
  - m.  Moss
  - n.  Moss2
  - o.  Reinhart
  - p.  Reinhart2
  - q.  Scott
  - r.  Scott2
  - s.  Stiglitz
  - t.  Stiglitz2
  - u.  Taylor
  - v.  Taylor2
  - w.  Zingales
  - x.  Zingales2
3.  Thank Yous
- a.  forum\_brunnermeier
  - b.  forum\_geanakoplos
  - c.  forum\_gorton
  - d.  forum\_gourinchas
  - e.  forum\_jaffee
  - f.  forum\_kashyap
  - g.  forum\_kroszner
  - h.  forum\_lusardi
  - i.  forum\_mayer
  - j.  thank\_you\_letter (2)
  - k.  thank\_you\_letter (2)\_Brunnermeier
  - l.  thank\_you\_letter (2)\_Geanakoplos
  - m.  thank\_you\_letter (2)\_Gorton
  - n.  thank\_you\_letter (2)\_Jaffee
  - o.  thank\_you\_letter (2)\_Kashyap
  - p.  thank\_you\_letter (2)\_Kroszner
  - q.  thank\_you\_letter (2)\_lusardi
  - r.  thank\_you\_letter (2)\_Mayer
  - s.  thank\_you\_letter (2)\_POG
- 4.  2010-0210-Advisory
  - 5.  2-26-27-2010\_Agenda\_for\_Forum to Explore Causes of Finacial Crisisfinal
  - 6.  2-26-27-2010\_Agenda\_for\_Forum to Explore Causes of Finacial Crisisfinal\_Panelists
  - 7.  Biography\_Dean Grossman
  - 8.  Catering Bill
  - 9.  FCIC\_Media\_Advisory\_Forum\_Final\_2 10 101
  - 10.  Feb Forum\_logistics\_Commissioners
  - 11.  Feb Forum\_logistics\_Economists
  - 12.  Financial Crisis Inquiry Commission\_Forum\_Feb 26 and 27\_Public\_Agenda
  - 13.  Forum Contact Information
  - 14.  Forum\_Overflow Room
  - 15.  Forum\_Poster Board
  - 16.  Forum\_Poster Board2

17.  Forum\_Poster Board3
18.  Itinerary MAYER CHRISTOPHER J 2010-02-26 46VMWD
19.  Logistics.2.26.10.Symposium
20.  Logistics.2.27.10.Symposium Day 2
21.  Media Advisory Final 2 22 10
22.  Media Advisory Final 2 22 10
23.  Name Cards\_Economists
24.  participant informatin
25.  participant information
26.  Symposium\_logistics
27.  Symposium\_logistics\_Commissioners
28.  Symposium\_logistics\_travel
29.  Symposium\_logistics2
- g.  Goldman Sachs
  1.  10q-2009-2q[1]
  2.  10q-2009-3q[1]
  3.  2008-10k-doc[1]
  4.  Goldman Sachs SEC 2008\_2009
- h.  Hearing 1
  1.  2010-0110-FCIC WitnessList
  2.  AAG FCIC Testimony Jan2010 FINAL
  3.  Congressional Contacts
  4.  efarris RFP (2)
  5.  FCIC\_HEARING 1 - DAY 1\_OFFICIAL TRANSCRIPT\_011310
  6.  FDIC Bair testimony Jan 14 FCIC
  7.  H1\_Contact Information
  8.  H1\_Hill Contacts
  9.  H1\_Reserved Seating List
  10.  Hearings\_To Bring List
  11.  Interactive Stock Charts - (GS) Stock Charting - NASDAQ\_com
  12.  Media Schedule 12 31 09
  13.  Name Cards\_Commissioners
  14.  Name Cards\_Hearing 1
  15.  Overflow Room
  16.  Revised Room Request Form-4 30 08 (2)
  17.  Rules for Committee Rooms
  18.  Schapiro FCIC Testimony
  19.  Supporting Materials Labels1
- i.  Hearings, Meetings\_Administrative
  1.  1100 LHOB Request
  2.  Name Cards
  3.  Name Cards\_Commissioners
  4.  Name Cards\_Hearing 1
  5.  Name Cards\_Reserved
- j.  Legislative Updates
  1.  Agenda item 13 Legislative Update for Jan 2010 meeting
  2.  Congressional Committees
  3.  Feb Leg Update
  4.  Feb\_Leg Update
  5.  Leg Report 5 14 10
  6.  Leg Report 6 15 10
  7.  Leg Update
  8.  Leg Update\_11 17 09
  9.  Leg Update\_12 7 09
  10.  Legislative Update
  11.  Legislative Update (1-19-10)

























































12. Legislative Update (2)
  13. Legislative Update 12 7
  14. Legislative Update 12 7 09
  15. Legislative Update\_11 17 09
  16. Legislative Update\_11 17 09\_Summary
  17. Mar\_Leg\_Update
5. Hearing 2 Background
    - a. 1. OCC Witness Biographies
    - b. c. Transcript of Interview with Dick Bowen 2010-02-27
    - c. d. MFR of Interview with Susan Mills (Citi) 2010-02-03
    - d. e. MFR of Interview with Murray Barnes\_ 2010-03-02
    - e. f. MFR of Interview with Nestor Dominguez\_2010-03-02
    - f. h. Transcript of Interview with Tom Maheras (Condensed format) \_2010-03-10
    - g. H2\_Hill Contacts
    - h. i. Transcript of Interview with Charles Prince (condensed version) 2010-03-17
    - i. j. Transcript of Interview with Robert Rubin (condensed format)\_ 2010-03-11
  6. Hearing 2&3
    - a. Citi Articles
      1. Along With a Lender Is Citigroup Buying Trouble\_NYT\_10-22-00
      2. Articles\_Coversheet
      3. As Home Owners Face Strains Market Bets on Loan Defaults\_WSJ\_10-31-06 (2)
      4. Banking On Misery\_Southern Exposure\_Summer 2003 (2)
      5. Banks Push Combine Mortgage, Home-equity lines\_WSJ\_2-7-03
      6. Best Interests How Big Lenders Sell A Pricier Refinancing to Poor Homeowners\_WSJ\_12-7-01
      7. Citi Board the Worst\_Study Says\_CFO
      8. Citigroup - What happened to Citigroup Harvard July 2009
      9. Citigroup Chief Is Set to Exit Amid Losses\_NYT\_11-3-07
      10. Citigroup Saw No Red Flags Even as It Made Bolder Bets\_NYT\_11-23-08
      11. Fed Assesses Citigroup Unit \$70 Million in Loan Abuses\_NYT\_5-28-04
      12. How Fed Will Monitor Citi In Subprime\_American Banker\_10-30-02
      13. Is the Dance Over\_Citigroup Is Upbeat\_NYT\_8-3-07
      14. Lender Lobbying Blitz Abetted Mortgage Mess\_WSJ\_12-31-07
      15. Prince Shows It's Not So Good to Be the Prince\_Bloomberg\_11-7-07
      16. Robert Rubin on the job he never wanted\_11-28-07
      17. Robert Rubin What Meltdown\_1-31-08
      18. Robert Rubins Free Ride\_1-9-09
    - b. 10-8-09\_Senate Banking\_Future of the Mortgage Market and the Housing Enterprises
    - c. 6-3-09\_HFSC Subcmt Cap Markets\_Present Condition and Future Status of Fannie and Freddie
    - d. a. The Reckoning- Fannie Reached Tipping Point\_C. Duhigg\_New York Times\_2008-10-5
    - e. Alan Greenspan Congressional Testimony
    - f. b. Fannie Mae's Last Stand\_B. McLean\_Vanity Fair\_2009-02
    - g. Capitol Host
    - h. Capitol Host\_Catering Form
    - i. Charles Prince articles
    - j. Charles Prince\_quotes
    - k. Fannie Mae and Freddie Mac Hearings
    - l. H2 briefing book labels
    - m. H2 briefing book labels2
    - n. h2\_binder cover, etc
    - o. h2\_binder cover, etc2
    - p. h2\_binder cover, etc3
    - q. H2\_Briefing Binder Tabs
    - r. H2\_Judiciary Room Request
    - s. H2\_Logistical Questions
    - t. H2\_logistics\_Commissioners
    - u. Hearing 2 Special Guest List












































































- v.  Hearing 2\_Binder Labels
- w.  hearing binder cover
- x.  hearing binder cover\_day 1
- y.  Media Advisory 3 31 10
- z.  Name Cards\_Commissioners
- aa.  Name Cards\_Hearing 2
- ab.  Name Cards\_Reserved
- ac.  Overflow Room\_2218
- ad.  Overflow Room\_2322
- ae.  Prince Testimony\_3 7 08
- af.  SIGTARP
- ag.  Witness Contact List
- 7.  Hearing 4
  - a.  Articles
    1.  2007-06-23 WSJ - Bear Stearns Bails Out Fund With Big Loan
    2.  25 People to Blame\_Time\_02 2009
    3.  At Bear an Apology Is Met With Silence\_NYT\_05 30 08
    4.  Bear Run\_Slate\_03 18 08
    5.  Bear Stearns big shots reaped big paydays\_Bloomberg Business Week\_03 19 08
    6.  Bear Stearns chief sold stake before vote on sale\_NYT\_03 27 08
    7.  Bear Stearns' Jimmy Cayne's Profane Tirade Against Treasury's Geithner\_WSJ\_03 04 09
    8.  Bears CEO's Handling of Crisis Raises Issues\_WSJ\_11 01 07
    9.  Bringing Down Bear Stearns\_Vanity Fair\_08 2008
    10.  Cayne
    11.  Cayne to Step Down As Bear Stearns CEO\_WSJ\_01 08 08
    12.  Cayne, James\_NYT\_01 11 08
    13.  Cayne\_Articles
    14.  Cayne1
    15.  Cocksure\_The New Yorker\_07 27 09
    16.  Geissinger
    17.  Geissinger\_Cayne
    18.  James Cayne lashes back at WSJ Report\_CNNMoney\_11 01 07
    19.  Paul Freidman Quotes
    20.  Remember Bear Stearns\_Newsweek\_04 02 09
    21.  Sam Molinaro Quotes
    22.  The Opportunity in Bear Stearns' Adversity\_Barron's\_08 13 07
    23.  The rise and fall of Jimmy Cayne\_CNNMoney\_08 25 08
    24.  Where in the World is Bear's Jimmy Cayne\_WSJ\_03 14 08
  - b.  Agenda for Financial Crisis Inquiry Commission 5-4-2010 Briefing Meeting
  - c.  Background Binder Cover
  - d.  Background Binder Tabs
  - e.  Briefing Binder Tabs
  - f.  Catering Request Form
  - g.  Catering\_Thurs May 6
  - h.  Catering\_Wed May 5
  - i.  Christopher Cox Testimony
  - j.  H4\_Briefing Binder Cover
  - k.  H4\_Briefing Binder Cover\_Day 1
  - l.  H4\_Briefing Binder Cover\_Day 2
  - m.  H4\_Hill Contacts
  - n.  H4\_Logistical Questions
  - o.  H4\_logistics\_Commissioners
  - p.  H4\_Name Cards\_Commissioners
  - q.  H4\_Witness Contact List
  - r.  H4\_Witness Name Cards
  - s.  McCulley\_MFR

- t.  Media Advisory 4 20 10
  - u.  Media Advisory 4 29 10 - Final
  - v.  PSR\_-\_Shadow\_Banking\_0421 (2)
  - w.  RIP - Shadow Banking - Hearing 4
  - x.  Rubin\_MFR
  - y.  Rubin\_MFR\_2010\_04\_27
  - z.  Secretary Timothy Geithner Testimony
8.  Hearing 5\_Derivatives
- a.  a. Key Quotes - Craig Broderick
  - b.  a. Key Quotes - Steve Bensinger
  - c.  andrew\_forster
  - d.  b. Key Quotes - David Viniar
  - e.  b. Key Quotes - Gary Cohn
  - f.  b. Key Quotes - Robert Lewis
  - g.  Background Binder Cover
  - h.  Background Binder Tabs
  - i.  Background Binder Tabs\_Sections
  - j.  Briefing Binder Tabs
  - k.  c. Key Quotes - Joe Cassano
  - l.  Catering Confirmation1
  - m.  Catering Confirmation2
  - n.  Catering Request
  - o.  Draft Summary\_Goldman Sachs
  - p.  H5\_Background Binder Index
  - q.  H5\_Briefing Binder Cover
  - r.  H5\_Hill Contacts
  - s.  H5\_Witness Contacts
  - t.  H5\_Witness Name Cards
  - u.  H5a\_To Bring List
  - v.  Items for Hearing
  - w.  Media Advisory 6 16 10
  - x.  Media Advisory 6 25 10
  - y.  PSR\_6 17 10
9.  Hearing 5a\_Credit Rating Agencies
- a.  ~\$\_Background Binder Labels
  - b.  26 May 2010 Warren Buffett
  - c.  Background Binder Cover
  - d.  Commissioner Retreat\_Contact List
  - e.  Credit Rating Agencies and the Financial Crisis\_Oversight Transcript\_10 22 08
  - f.  H5\_Background Binder Labels
  - g.  H5\_Briefing Binder Cover
  - h.  H5\_Briefing Binder Labels
  - i.  H5\_Contact List\_New School
  - j.  H5\_Email Confirmation Response
  - k.  H5\_Hearing Contacts
  - l.  H5\_Hotel Room List
  - m.  H5\_Items to Bring-Get
  - n.  H5\_RSVP
  - o.  H5\_RSVP\_Email Responses
  - p.  H5\_Witness Name Cards
  - q.  Hotel Authorization
  - r.  Hotel Authorization2
  - s.  Hotel Authorization3
  - t.  Hotel\_from Keiko\_60110 fcic deposit sttmt 5-25
  - u.  Hotel\_Room List
  - v.  Media Advisory 5 21 10

























































- w. Media Advisory 5 26 10 - Final doc
- x. Retreat Notes\_big picture ideas
- 10. Hearing 7
  - a. 1 Draft of PSR on TBTF 8 26 10 (4)
  - b. Background Binder Cover
  - c. Background Binder Tabs
  - d. Background Binder Tabs\_Sections
  - e. Briefing Binder Cover
  - f. Briefing Binder Cover\_Day1
  - g. Briefing Binder Cover\_Day2
  - h. Briefing Binder Cover\_Supplemental Documents
  - i. Briefing Binder Tabs
  - j. Briefing Binder Tabs2
  - k. Catering\_ Tues Sept 1
  - l. Catering\_ Wed Sept 2
  - m. H7\_Hill Contacts
  - n. H7\_Name Cards\_Commissioners
  - o. H7\_Witness Name Cards
  - p. Media Advisory 8 30 10
  - q. Media Advisory 8 4 10
  - r. Senate Catering Request
  - s. Witness Contact Information
  - t. Woodrow Wilson Center\_Contacts
- 11. Hearing\_Bakersfield Field Hearing
  - a. Binder
    - 1. Invitation Letters
      - a. Bakersfield Field Hearing\_Invitation Letters
      - b. Invitation Letter\_Amble
      - c. Invitation Letter\_Amble SG
      - d. Invitation Letter\_Bynum
      - e. Invitation Letter\_Bynum SG
      - f. Invitation Letter\_Cattani
      - g. Invitation Letter\_Cattani SG
      - h. Invitation Letter\_Crabtree
      - i. Invitation Letter\_Crabtree SG
      - j. Invitation Letter\_McCarty
      - k. Invitation Letter\_McCarty SG
      - l. Invitation Letter\_McDermott
      - m. Invitation Letter\_McDermott SG
      - n. Invitation Letter\_Peterson
      - o. Invitation Letter\_Peterson SG
      - p. Invitation Letter\_Plank
      - q. Invitation Letter\_Plank SG
      - r. Invitation Letter\_Renock
      - s. Invitation Letter\_Renock SG
      - t. Invitation Letter\_Wiley
      - u. Invitation Letter\_Wiley SG
    - 2. Testimony
      - a. Gary Crabtree
        - 1. 04\_10 Market Conditions
        - 2. 06\_10 MONTHLY HOUSING REPORT
        - 3. 07\_10 MONTHLY HOUSING REPORT
        - 4. 1\_06 MONTHLY HOUSING REPORT
        - 5. 10\_07 Market Conditions
        - 6. Crabtree\_Bio
      - b. Jeannie McDermott

1.  Jeannie McDermott\_Chronology
- c.  Lloyd Plank
  1.  Article\_Plank
  2.  Lloyd Plank\_Testimony
  3.  Lloyd Plank\_Testimony2
  4.  Testimony\_Plank
- d.  Steve Renock
  1.  Renock Bio
- e.  Public Forum\_Submitted Testimony
- f.  Public Forum\_Submitted Testimony\_Dean\_Addl Information
- g.  Public Forum\_Submitted Testimony\_Urner\_Addl
- h.  Testimony\_Peterson
- i.  Testimony\_Wiley
3.  Testimony and Suggested Questions
  - a.  Session 2
    1.  A Cattani Son (template) (3)
    2.  Renock\_Community Contribution Doc 082410
    3.  Renock\_Delinquent Loan Analysis Doc 082410
    4.  Session 2\_Questions and Answers for Witnesses at Bakersfield Hearing
    5.  Testimony\_Renock
  - b.  Session 3
    1.  Session 3\_Questions and Answers for Witnesses at Bakersfield Hearing
    2.  Testimony\_Bynum
    3.  Testimony\_Petereson
  - c.  Session 4
    1.  Crabtree Report\_July 2010
    2.  Crabtree\_Market Conditions\_04 10
    3.  Session 4\_Questions and Answers for Witnesses at Bakersfield Hearing
    4.  Testimony\_Plank
  - d.  Session 5
    1.  Jeannie McDermott\_Chronology\_9 3 10
    2.  Jeannie McDermott\_Chronology2
    3.  Session5\_Questions and Answers for Witnesses at Bakersfield Hearing
    4.  Testimony\_Amble
    5.  Testimony\_Jeannie
4.  Agenda\_Commissioners\_9 7 10
5.  Article\_Bak Cal\_Community Banking
6.  Article\_Bak Cal\_Community Banking2
7.  Article\_Bak Cal\_Panelists
8.  Article\_Bak Cal\_Report
9.  Article\_FDIC announces 99th bank failure
10.  Bakersfield Panelist Biographies\_Session 1 Welcome
11.  Bakersfield Panelist Biographies\_Session 2 Local Banks
12.  Bakersfield Panelist Biographies\_Session 3 Residential and Community Real Estate
13.  Bakersfield Panelist Biographies\_Session 4 Local Housing Market
14.  Bakersfield Panelist Biographies\_Session 5 Foreclosures and Loan Modifications
15.  Bakersfield Regional Chartbook\_v1
16.  Bakersfield\_Briefing Binder Cover
17.  Bakersfield\_Briefing Binder Tabs
18.  Bakersfield\_Field Hearing Index
19.  Bakersfield\_Logistics
20.  Jerry Jost
21.  WMT\_Field Hearing Timeline (2)
- b.  08 11 10\_Phone Call Notes
- c.  Addresses\_Panelists
- d.  ADMIN BLDG boc

























































- e.  Agenda\_Commissioners
- f.  Agenda\_Commissioners2
- g.  All Sessions\_Questions and Answers for Witnesses at Bakersfield Hearing
- h.  Bak Cal articles
- i.  Bakersfield 9 2 10
- j.  Bakersfield 9 2 10 (2)
- k.  Bakersfield Hearing\_Draft Panels
- l.  Bakersfield Hearing\_To Do
- m.  Bakersfield Panelist Biographies
- n.  Bakersfield Regional Chartbook\_v1
- o.  Bakersfield\_Field Hearing Index
- p.  Bakersfield\_Panelist Name Cards
- q.  Banquet MenuWEB\_040910
- r.  BFL to LAS\_Flight Information
- s.  Brookside
- t.  California Tax Exemption
- u.  Delinquent Loan Analysis Doc 082410
- v.  Draft Commissioner Questions
- w.  Draft Commissioner Questions2
- x.  Draft Invitation Letters to Witnesses
- y.  Draft Invitation Letters to Witnesses (2)
- z.  Draft Invitation Letters to Witnesses (3)
- aa.  Draft Invitation Letters to Witnesses (4)
- ab.  Draft Invitation Letters to Witnesses3
- ac.  Draft Questions and Answers for Witnesses at Bakersfield Hearing
- ad.  FCIC - Bakersfield Hearing 9-7-10
- ae.  FCIC - Bakersfield Hearing 9-7-10\_2
- af.  FCIC Bakersfield Field Hearing 9-8 2010-TIMELINE
- ag.  FCIC Bakersfield Field Hearing 9-8 2010-TIMELINE\_2
- ah.  FCIC Bakersfield Field Hearing 9-8 2010-TIMELINE\_3
- ai.  FCIC Bakersfield Field Hearing 9-8 2010-TIMELINE\_4
- aj.  FCIC Bakersfield Field Hearing 9-8 2010-TIMELINE\_5
- ak.  Field Hearing
  - al.  Flights\_BFL to LAS
- am.  Govt CC\_GN License
- an.  Index
- ao.  Invitation Letter\_Peterson
- ap.  Invitation Letter\_Peterson SG
- aq.  Kern County CUs
- ar.  Kern County CUs (2)
- as.  LAS to BFL flight options
- at.  Las Vegas\_Hotel Confirmation
- au.  Padre Hotel\_8 31 10
- av.  Padre Hotel\_Room List
- aw.  Padre Hotel\_Room List
- ax.  Padre Hotel\_Third Party Billing Form
- ay.  Padre Hotel\_WMT
- az.  Panelist Contact Information
- ba.  Panelist\_Invite Questions
- bb.  Requested testimony topics by panel and witness
- bc.  Requested testimony topics by panel and witness (2)
- bd.  San Joaquin Valley Foreclosure Prevention Resources
- be.  San Joaquin Valley Foreclosure Prevention Resources\_Page 1
- bf.  San Joaquin Valley Foreclosure Prevention Resources\_Page 2
- bg.  Sharp\_Doyle\_Articles

























































- bh.  Supervisor Staff\_Contact Information
  - bi.  WMT Field Hearing Notes
  - bj.  WMT\_Field Hearing Timeline
  - bk.  WMT\_Field Hearing Timeline2
- 12.  Hearing\_Las Vegas Field Hearing
  - a.  08-25-2010 Hearing Invite to A Clinger
  - b.  08-25-2010 Hearing Invite to B Gordon
  - c.  08-25-2010 Hearing Invite to J Fontaine
  - d.  1-1. REVISED Las Vegas Hearing Outline 090310
  - e.  1-2. Revised LV Session 2 Bios
  - f.  2010-08-18 Invitation Ltr LV Field Hearing - Aguero
  - g.  2010-08-18 Invitation Ltr LV Field Hearing - Burks
  - h.  2010-08-18 Invitation Ltr LV Field Hearing - Hill
  - i.  2010-08-18 Invitation Ltr LV Field Hearing - Martin
  - j.  2010-08-18 Invitation Ltr LV Field Hearing - Satre
  - k.  Additional Contact Information
  - l.  Fremont investigative report draft 9 05-1
  - m.  Hotel Information
  - n.  Las Vegas 8 31 10
  - o.  Las Vegas\_Briefing Binder Cover
  - p.  Las Vegas\_Briefing Binder Tabs
  - q.  Las Vegas\_Logistics
  - r.  Las Vegas\_Panelist Name Cards
- 13.  Hearing\_Miami Field Hearing
  - a.  Bio\_Bill Black
  - b.  Bio\_R Alex Acosta
  - c.  Commissioner Travel
  - d.  Miam\_Panelis Contact Information
  - e.  Miami\_Briefing Binder Cover
  - f.  Miami\_Briefing Binder Tabs
  - g.  Miami\_Contact Information
  - h.  Miami\_Hotel\_Room List
  - i.  Miami\_Hotel\_Sofitel
  - j.  Miami\_Logistics
  - k.  Miami\_Witness Contacts
- 14.  Hearing\_Sacramento Field Hearing
  - a.  Bios\_Sacramento Hearing
  - b.  Sacramento\_Briefing Binder Cover
  - c.  Sacramento\_Briefing Binder Tabs
  - d.  Sacramento\_Hotel\_Sheraton Grand
  - e.  Sacramento\_Logistics
  - f.  Sacramento\_Panelist Contact Information
- 15.  Materials for FCIC Website
  - a.  Moss\_Bank Failures and Suspensions - Three Charts (12 14 2009)
  - b.  Moss\_Ounce of Prevention (Harvard Magazine Sept-Oct 2009)
  - c.  Scott\_08-09-09\_FDIC\_Comment\_Letter\_Committee\_on\_Capital\_Markets\_Regulation
  - d.  Scott\_08-Sept-24 Let's Get the Bank Rescue Right1
  - e.  Scott\_09-Aug-21\_The\_Fed's\_independence\_is\_at\_risk1
  - f.  Scott\_09-Dec-11\_Do\_We\_Really\_Need\_a\_Systemic\_Regulator\_by\_Hal\_S\_Scott(WSJ)1
  - g.  Scott\_09-Feb-7 From Awful to Merely Bad Reviewing the Bank Rescue Options (WSJ)
  - h.  Scott\_09-July-24 The Fed Can Lead on Financial Supervision1
  - i.  Scott\_09-June\_Wash\_Post\_Regulatory\_Structure\_Blog
  - j.  Scott\_Committee Derivatives Letter (3-4-10)33
  - k.  Scott\_TGFC-CCMR\_Report\_(5-26-09)\_compressed (2)
  - l.  Taylor\_Responses to FCIC questions
- 16.  October and November Roundtable


























































- a. FCIC Roundtable - October 20 2009 (4)[1]
  - b. Luigi Zingales\_How to Avoid[1]
  - c. Moss\_Bank Failures and Suspensions - Three Charts (12 14 2009)
  - d. Moss\_Ounce of Prevention (Harvard Magazine Sept-Oct 2009)
  - e. Packet for Nov 16 Dinner (2)
  - f. Scott\_Committee Derivatives Letter (3-4-10)33
  - g. Scott\_TGFC-CCMR\_Report\_(5-26-09)\_compressed
17. Section III
- a. #2010-10-03 (1300) - Section III - OUTLINE in progress
  - b. 2010-10-25 (2000) - Section III, Ch. 5 - sent to commissioners.docx; AF ed
  - c. 2010-10-29 (1600) - Section III - Ch. 8 - to commissioners.docx; AF ed.docx; GS check
  - d. 2010-10-29 (1700) - Section III, Ch. 4 - to commissioners.docx; ed by AF.docx; BK-Mina
  - e. 2010-11-01 (2100) - Section III, Ch. 2 - ed by AF.docx; RC factchecked
  - f. 2010-11-08 (1300) - Section III, Ch. 1. - ed by AF.docx; checks by BK
  - g. 2010-11-10 (1800) - Section III, Ch. 3 - sent to commissioners.docx; AF ed
  - h. 2010-11-11 (2000) - Section III - Ch. 9 - AF edit
  - i. 2010-11-15 (900) - Section III, Ch. 6 - GSEs - to commissioners - AF ed
  - j. 2010-11-18 (1300) - Section III - to print
  - k. 2010-11-21 (0200) - Section III, Ch. 7 -AF ed, RC checked
  - l. 2010-11-27 - Section III, Intro - MB edit
18. TARP
- a. TARP
19. Witness List
- a. 2010-12-03\_Witness List Follow Up
  - b. 2010-12-03\_Witness List Follow Up\_Audio Only
  - c. author list 7 19 10\_mid August2
  - d. Copy of Book1\_8 10 10\_Karen and Sarah
  - e. Copy of Book1\_8 10 10\_Troy
  - f. FCIC Document Inventory for Archives\_Audio
  - g. FCIC Document Inventory for Archives\_MFR
  - h. FCIC Master Contact List 10 13 10\_Audio
  - i. FCIC Master Contact List 10 13 10\_Audio
  - j. FCIC Master Contact List 10 13 10\_Audio and nothing
  - k. FCIC Master Contact List 10 13 10\_Audio and nothing
  - l. FCIC Master Contact List 10 13 10\_Interview\_No MFR
  - m. FCIC Master Contact List 10 13 10\_Interview\_No MFR
  - n. FCIC Master Contact List 10 13 10\_Not Interviewed
  - o. FCIC Master Contact List 10 13 10\_Not Interviewed
  - p. FCIC Master Contact List 10 13 10\_Something Else
  - q. FCIC Master Contact List 10 13 10\_Something Else
  - r. FCIC Master Contact List 10 13 10\_Witnesses etc
  - s. FCIC Master Contact List 10 13 10\_Witnesses etc
  - t. FCIC Master Contact List for 02 02 2011
  - u. FCIC Master Contact List for 02 04 2011
  - v. FCIC Master Contact List for 02 08 2011
  - w. FCIC Master Contact List for 10 11 10
  - x. FCIC Master Contact List for 10 11 10
  - y. FCIC Master Contact List for 10 13 10\_Interview\_Nothing
  - z. FCIC Master Contact List for 10 13 10\_Interview\_Nothing
  - aa. FCIC Master Contact List for 10 18 10
  - ab. FCIC Master Contact List for 10 18 10\_both
  - ac. FCIC Master Contact List for 10 18 10\_MFR only
  - ad. FCIC Master Contact List for 10 18 10\_nothing
  - ae. FCIC Master Contact List for 10 23 10
  - af. FCIC Master Contact List for 10 23 10\_remaining 1s and 2s
  - ag. FCIC Master Contact List for 10 29 10


























































- ah.  FCIC Master Contact List for 11 05 10
- ai.  FCIC Master Contact List for 11 12 10
- aj.  FCIC Master Contact List for 11 22 10
- ak.  FCIC Master Contact List for 11 29 10
- al.  FCIC Master Contact List for 12 06 10
- am.  FCIC Master Contact List for 12 12 10
- an.  FCIC Master Contact List for 12 19 10
- ao.  FCIC Master Contact List for October 10
- ap.  FCIC Master Contact List for October 10
- aq.  FCIC Master Contact List\_10 04 10
- ar.  FCIC Master Contact List\_10 04 10
- as.  FCIC Master Contact List\_10 13 10\_MFR
- at.  FCIC Master Contact List\_10 13 10\_MFR
- au.  FCIC Master Contact List\_10 13 10\_Nothing
- av.  FCIC Master Contact List\_10 13 10\_Nothing
- aw.  FCIC Master Contact List\_8 17 10
- ax.  FCIC Master Contact List\_8 17 10
- ay.  FCIC Master Contact List\_8 17 10\_not interviewed
- az.  FCIC Master Contact List\_8 26 10
- ba.  FCIC Master Contact List\_8 9 10
- bb.  FCIC Master Contact List\_9 10 10
- bc.  FCIC Master Contact List\_August
- bd.  FCIC Master Contact List\_Brad
- be.  FCIC Master Contact List\_Brad
- bf.  FCIC Master Contact List\_Dixie
- bg.  FCIC Master Contact List\_Dixie
- bh.  FCIC Master Contact List\_end of June-July
- bi.  FCIC Master Contact List\_mid August
- bj.  FCIC Master Contact List\_mid August2
- bk.  FCIC Master Contact List\_mid August2 (Autosaved)
- bl.  FCIC Master Contact List\_Week of 10 04 10
- bm.  FCIC Master Contact List\_Week of 10 04 10
- bn.  FCIC Master Contact List\_Week of 10 08 10
- bo.  FCIC Master Contact List\_Week of 10 08 10
- bp.  FCIC Master Contact List\_Week of 9 27 10
- bq.  Interview List Template
- br.  Interview List Template\_July
- bs.  Interview List Template\_new additions
- bt.  Missing on Grid\_8 5 10
- bu.  New Names\_8 6 10
- bv.  Witness List\_8 16 10\_not interviewed
- bw.  Witness List\_8 9 10\_Team 2
- bx.  Witness List\_missing audio and MFRs
- by.  Witness List\_missing audio and MFRs\_team 1
- bz.  Witness List\_missing MFRs
- ca.  Witness List\_missing MFRs\_team 1
- 20.  Witness List Template
  - a.  Interview List Template\_4 16 10
    - 1.  Interview List Template\_4 16 10
  - b.  Interview List Template
  - c.  Interview List Template\_03 25 10
- N.  CMCCARDEN
  - 1.  Countrywide
    - a.  COGR Hearing 03-07-08
  - 2.  Fannie Mae
    - a.  Fannie Mae Aug 07- March 08




























































- b.  Reasons for FNMA Increased Risk-03-23-10
  - 3.  Goldman Sachs
    - a.  GS Synthetic CDO Deal Info Sheet
  - 4.  2010-03-04-Thomas Lund MFR (copy)
  - 5.  Freddie 2007 writeup
  - 6.  Suggested Lockhart Questions
- O.  CMORAIN
  - 1.  Draft Memoranda
    - a.  2009-12-10 Cover Memo
    - b.  2009-12-29 Memo re Doc Review Tools
    - c.  2010-02-22 Draft Partnoy MFR
    - d.  2010-02-23 DRAFT FRB call notes
    - e.  2010-03-01 Draft OCC call notes
    - f.  2010-03-04 REVIEWED David Johnson, Fannie Mae CFO
    - g.  2010-03-05 Draft K Bacon Interview Notes
    - h.  2010-03-11 REVIEWED MFR John Weicher
    - i.  2010-03-15 CW call notes - Cindy Simantel
    - j.  2010-03-19 Lockhart MFR
    - k.  2010-03-26 Dan Mudd MFR
    - l.  2010-1-26 Memo re Epiq
    - m.  8-13 Lehman memo excerpts
    - n.  Draft 12-3-09 Call Summary
    - o.  Draft 12-3-09 Call Summaryv2
    - p.  Draft Memo re Citi 1Q09
    - q.  Draft Memo re Citi 1Q09
    - r.  Draft Memo re Citi 1Q09v2
    - s.  Draft memo re FNMA
    - t.  Exec Summary of FNMA Memo
    - u.  Exhibit H Fannie Mae
    - v.  Goldman-Maiden Lane III (4) (2) (2)
    - w.  Short FNMA Timeline
    - x.  Suggested Questions for Fannie Mae Witnesses (4) (2) (3)
  - 2.  Job Applicants
    - a.  Job Announcement
    - b.  Job Announcement - Legal Secretary
    - c.  Job Announcement - Legal Secretary
    - d.  Job Announcement - Paralegal
    - e.  Job Announcement - Paralegal
    - f.  Job Applicant Contact Log
  - 3.  Lexis
    - a.  Lexis Trial Authorization Forms
  - 4.  Morgan Stanley
    - a.  2007 10-K
    - b.  2008 10-K
    - c.  2009 Special Proxy Meeting Statement
    - d.  2009-3-25 Proxy Statement
    - e.  2009-8-24 8-K
  - 5.  Templates
    - a.  2010-1-26 Memo re Epiq
    - b.  Binder Cover- witness binders
    - c.  INDEX TEMPLATE
    - d.  Label Template
    - e.  Memo Template
  - 6.  2009-12-28 index
  - 7.  2010-03-05 REVIEWED FHFA Meeting
  - 8.  2010-04-02 Sec Paulson Interview Notes


























































9.  2010-10-13 Lehman - Section II
  10.  2010-10-13 Lehman - Section III
  11.  August 6 - tunucci call notes
  12.  Bank of America-2009 10K
  13.  Bank of America-2009Q3 10Q
  14.  Binder Cover earnings call
  15.  Binder Cover- Morgan Stanley
  16.  Binder Cover- witness binders
  17.  Blythe\_Masters\_JPMorgan
  18.  Communication with DOJ re Document Production
  19.  David Einhorn
  20.  Doc Rvw Memo
  21.  Draft AHM Witness Memo
  22.  Einhorn Background Memo
  23.  Email - test
  24.  Fannie Mae timeline for Briefing Book
  25.  FCIC Seal
  26.  FCIC Seal
  27.  FCIC\_ Referral Memo
  28.  FCIC-Citigroup Conf calls
  29.  FNMA Witnesses - Key Quotes for Briefing Book
  30.  Follow Up Doc Request Tracking Chart
  31.  FW- GSEs--Fed History
  32.  GSE comments on AHGs
  33.  index - witness binders
  34.  Master Chronology - Control Copy
  35.  Merrill Lynch-2009 10K
  36.  Merrill Lynch-2009Q3 10Q
  37.  temp
  38.  Witness comments re affordable housing goals
- P.  CSEEFER
1.  AIG Document Request
  2.  AIG Memo Insert 1
  3.  AIG Memo Insert 2
  4.  AIG Memo Insert 3
  5.  CDO Manager Doc Request
  6.  Falcon Questions
  7.  Fannie Memo insert 3
  8.  Fannie Memo Insert 4
  9.  Fannie memo insert 5
  10.  Fannie Memo insert 6
  11.  FNMA Memo Insert 1 (copy)
  12.  FNMA Memo Insert 2
  13.  Goldman Chron
  14.  Goldman Doc Request
  15.  Goldman H5 Memo Insert 1
  16.  Letter\_H1\_Julia\_Gordon
  17.  Letter\_H1\_Mike\_Mayo
  18.  Letter\_H1\_Rusty\_Cloutier
  19.  Scion-Burry Doc Request
  20.  Suggested Lockhart Questions
  21.  Suggested Questions for Fannie Mae Witnesses
- Q.  CWASKOWICZ
1.  Cover Sheet - Objection Letter
  2.  CW Book Inserts
  3.  Document with JPMorgan Bear Quotes

























































4.  Document with Objection Letter Resolutions
  5.  Letter
  6.  List of Contracts
  7.  List of Individuals Appearing in Report
  8.  M Quotes for Clearance Letters
  9.  Memo Regarding Unassisted Bid
  10.  Memorandum Regarding Quotation and Interview Objections
  11.  MFR List
  12.  MFR Sections for Permission Letters for Quotes
  13.  Quotes from Reserve Primary Fund Section
  14.  Resolution for GC Approval of Documents
  15.  Responses to Letters with Quotes
  16.  Responsibility Chart Regarding Archived Materials
  17.  Timeline of Important Dates Related to Publishing Contracts
- R.  DDUNCKER
1.  10Ks
    - a.  2007 10Ks
      1.  BAC 2007 10K
      2.  Citi 2007 10K
      3.  Citi 2007 Updated 10K
      4.  GS 2007 10K
      5.  JPM 2007 10K
      6.  MS 2007 10K
    - b.  2008 10Ks
      1.  BAC 2008 10K
      2.  JPM 2008 10K
      3.  MS 2008 10K
  2.  ABX Indices
    - a.  ABX Indices
    - b.  ABX Indices
    - c.  Initial ABX Marketing Presentation
  3.  Dd Document Edits
    - a.  Executive Summary\_Dd Comments
    - b.  Pg 37\_Dd Comments
  4.  GMAC
    - a.  GMAC Drafts
      1.  GMAC Org Charts
      2.  GMAC Outline
      3.  GMAC Overview
    - b.  GMAC Financials
      1.  GMAC Comp
      2.  GMAC Reported Annual Financials
      3.  GMAC Reported Quarterly Financials
      4.  GMAC Supplemental Financial Data
      5.  GMAC Templated Annual Financials
      6.  GMAC Templated Quarterly Financials
    - c.  GMAC Public Docs
      1.  GMAC 10Ks
        - a.  GMAC 2002 10K
        - b.  GMAC 2003 10K
        - c.  GMAC 2004 10K
        - d.  GMAC 2005 10K
        - e.  GMAC 2006 10K
        - f.  GMAC 2007 10K
        - g.  GMAC 2008 10K
        - h.  GMAC 2009 10K
      2.  GMAC Investor Presentations

- a.  GMAC 01Dec06 Investor Presentation
    - b.  GMAC 03Dec07 Investor Presentation
    - c.  GMAC 05Jan10 Investor Presentation
    - d.  GMAC 23Apr10 Presentation
  - 3.  GMAC Restated Financials
  - d.  GMAC Selected News Releases
- 5.  Goldman Sachs
  - a.  27Apr10 PSI Exhibits
    - 1.  27Apr10 PSIExhibits\_Entire Document
    - 2.  27Apr10\_PSI\_Exhibits\_ExcerptEmails
    - 3.  27Apr10\_PSI\_Exhibits\_MBSExposure
    - 4.  3Q07 GS Mortgage Unit Townhall
    - 5.  GS Risk Reviews 2Q06\_4Q07
  - b.  Derivatives Discussion
    - 1.  Goldman's Use of Derivatives
    - 2.  Goldman's Use of Derivatives
    - 3.  Investment Bank Derivatives Holdings
    - 4.  Relevant Deriv Disc from GS 200910K
  - c.  GS Financials
    - 1.  GS Segment Breakdown
    - 2.  GS SNL Financials as reported
    - 3.  GS SNL Templated Financials
  - d.  GS Principal Investments
    - 1.  GS Principal Investments
    - 2.  GS Principal Investments Description
  - e.  GS Public Filings
    - 1.  10Ks
      - a.  GS199910k
      - b.  GS200010k
      - c.  GS200110k
      - d.  GS200210k
      - e.  GS200310k
      - f.  GS200410k
      - g.  GS200510k
      - h.  GS200610k
      - i.  GS200710k
      - j.  GS200810k
      - k.  GS200910k
  - f.  MBS and CDO Deals
    - 1.  Full Offering Circulars
      - a.  ABACUS 2004\_1
      - b.  ABACUS 2006-17
      - c.  Anderson Mezzanine Funding 2007-1 Ltd
      - d.  Camber 7 plc
      - e.  GSC ABS Funding 2006-3g Ltd
      - f.  Hudson Mezzanine Funding 2006-2, Ltd
    - 2.  GSAMP 2005-HE4
      - a.  GSAMP 2005-HE4 Prospectus
      - b.  GSAMP Oct06 Distribution Report
      - c.  GSAMP Oct09 Distribution Report
    - 3.  Key Excerpts of Offering Circulars
      - a.  ABACUS 2006-17 Excerpts
      - b.  Anderson Mezzanine Funding 2007-1 Excerpts
      - c.  Camber 7 plc Excerpts
      - d.  GSC ABS Funding 2006-3g Excerpts
      - e.  Hudson Mezzanine Funding 2006-2 Excerpts
    - 4.  Goldman Sachs CDOs

- 5. GS Synthetic CDO Template
  - g. 17118166-Complaint-Aleynikov
  - h. 2007 - 2010 Financial Analysis
  - i. ABACUS 2007 AC1 Pitchbook\_26Feb07
  - j. B and C of outline
  - k. GS Quarterly Risk Reviews
- 6. Industry Research
  - a. Financial Services Industry
    - 1. Financial Industry Metrics
  - b. Securitization
    - 1. MBS Primer
    - 2. MBS\_ABS\_CDO Market Data
    - 3. Securitization Data
    - 4. SSB Guide to MBS and ABS
- 7. Lehman Brothers
  - a. LEH Valukas Report
    - 1. LEH Valukas Report\_Vol1
    - 2. LEH Valukas Report\_Vol2
    - 3. LEH Valukas Report\_Vol3
    - 4. LEH Valukas Report\_Vol4
    - 5. LEH Valukas Report\_Vol5
    - 6. LEH Valukas Report\_Vol6
    - 7. LEH Valukas Report\_Vol7
    - 8. LEH Valukas Report\_Vol8
    - 9. LEH Valukas Report\_Vol9
  - b. LEH Segment Breakdown
- 8. Market Data and Thought Experiments
  - a. 2007 FIN Monoline Exposure
  - b. BSC OTCD Payments Mar10to14\_08
  - c. Financial Cos. Performance
  - d. Funding and Money Markets
  - e. Hedge Funds\_v3
  - f. ICI\_Report of MM Working Grp\_17Mar09
  - g. IMF Financial Stability Report\_Apr10
  - h. Interconnectedness
  - i. Interconnectedness Backup Data
  - j. Leverage Ratios
  - k. Monoline Exposure
  - l. Multiplier
  - m. Primer
  - n. Repo Sizing\_v3
  - o. RMBS and CDO League Tables (2002-09)
  - p. SNL\_Govt\_Bailout\_Guide
  - q. TARP Participants
  - r. Top Banks By Deposits
  - s. Top Broker Dealers
  - t. Write-downs
- 9. MBS and CDO Deals
  - a. Constituents for ABX.HE.AA.07-2
    - 1. First Franklin Mortgage Loan Trust, 2007-1
      - a. FFML07-1 A1 Tranche Rating History
      - b. FFML07-1 Distribution Report\_25Jun10
      - c. FFML07-1 Distribution Report\_25Oct07
      - d. FFML07-1 Prospectus
      - e. FFML07-1 Tranches
    - 2. JPM Mortgage Acquisition Trust 2007-CH3
      - a. JPMMA07-CH3 A1A Tranche Rating History

























































- b.  JPMMA07-CH3 Distribution\_25Feb10
      - c.  JPMMA07-CH3 Distribution\_25May07
      - d.  JPMMA07-CH3 Prospectus
      - e.  JPMMA07-CH3 Tranches
    - 3.  Constituents for ABX.HE.AA.07-2
  - b.  Countrywide Home Loan Trust 2007-1
    - 1.  CWHL07-1 A1 Tranche Rating History
    - 2.  CWHL07-1 Distribution\_25Jun10
    - 3.  CWHL07-1 Distribution\_26Feb07
    - 4.  CWHL07-1 Prospectus
    - 5.  CWHL07-1 Tranches1
    - 6.  CWHL07-1 Tranches2
  - c.  Goldman CDOs
    - 1.  GSCSF 20063GA
    - 2.  LOCH 20061A
    - 3.  PTPLS 20071A
    - 4.  TWOLF 20071A
  - d.  LB-UBS Commercial Mortgage Trust
    - 1.  LBUBS 2004C2 F Ratings History
    - 2.  LBUBS Amplification
    - 3.  LBUBS Description
    - 4.  LBUBS Description2
  - e.  MBS & CDO Diligence and Performance
    - 1.  GSAMP 2007-FM1
      - a.  Ratings History
        - 1.  GSAMP 2007 FM1 A1 Part 1
        - 2.  GSAMP 2007 FM1 A1 Part 2
        - 3.  GSAMP 2007 FM1 B1
        - 4.  GSAMP 2007 FM1 M1 Part 1
        - 5.  GSAMP 2007 FM1 M1 Part 2
      - b.  GSAMP 2007 FM1
    - 2.  GSAMP 2007-FM2
      - a.  Ratings History
        - 1.  GSAMP 2007 FM2 A1 Part 1
        - 2.  GSAMP 2007 FM2 A1 Part 2
        - 3.  GSAMP 2007 FM2 B1
        - 4.  GSAMP 2007 FM2 M1
      - b.  GSAMP 2007 FM2 Part 1
      - c.  GSAMP 2007 FM2 Part 2
  - f.  Selected Tranches of Various Deals
    - 1.  ACABS 2006-1A
    - 2.  Duke Funding 2006
    - 3.  GEMST 2005-4A
    - 4.  GLCR 2006
    - 5.  Selected Tranches
    - 6.  Vert 2006-1A
  - g.  Soundview Home Loan Trust 2006-EQ1
    - 1.  a1
    - 2.  a2
    - 3.  a3
    - 4.  a3 ratings
    - 5.  All tranches
    - 6.  Soundview Amplification
    - 7.  Soundview Deal Description
- 10.  Merrill Lynch
  - a.  Committee on Oversight and Government Reform
    - 1.  Part I


























































- a.  Slides
  - b.  Weekly Losses
- 2.  Part II
  - a.  Part II\_Federal Reserve Documents I
  - b.  Part II\_Federal Reserve Documents II
  - c.  Part II\_Federal Reserve Documents III
- 3.  Part III
  - a.  Part III\_BofA Documents
- 4.  Part IV
  - a.  Part IV\_BofA Documents
- b.  ML CDOs
  - 1.  Citi CDO Data
  - 2.  Lone Star Sale
  - 3.  Merrill Lynch CDO Pie Charts
  - 4.  Merrill Lynch CDOs
  - 5.  ML Auriga CDO
  - 6.  ML Bernoulli High Grade CDO II
  - 7.  ML Biltmore CDO 2007-1
  - 8.  ML Broderick CDO 3
  - 9.  ML Caldecott CDO 1
  - 10.  ML Centre Square CDO
  - 11.  ML Costa Bella CDO
  - 12.  ML Durant CDO-1
  - 13.  ML Euler ABS CDO I
  - 14.  ML Forge ABS High Grade
  - 15.  ML Fourth St Funding
- c.  ML Drafts
  - 1.  4Q Loss Summary
  - 2.  Merrill Lynch Overview
  - 3.  Merrill Lynch\_Monolines
  - 4.  ML OFS Rough Sketch
  - 5.  ML Stock Price Discussion
- d.  ML Financials
  - 1.  Adjusted Income Statement
  - 2.  ML Compensation
  - 3.  ML Off Balance Sheet
  - 4.  ML Quarterly Writedowns
  - 5.  ML Risk Exposures
  - 6.  ML Segment Breakdown
  - 7.  ML SNL Financials as reported
  - 8.  ML SNL Templated Financials
- e.  ML Public Docs
  - 1.  Bank of America Merrill Lynch
    - a.  BofA 4Q Earnings Release\_16Jan09
    - b.  BofA 4Q Financial Supplement\_16Jan09
    - c.  BofA 4Q Investor Presentation\_16Jan09
    - d.  BofA 4Q Transcript\_16Jan09
    - e.  BofA ML Merger Proxy\_03Nov08
  - 2.  ML 10K\_Qs
    - a.  ML 10Ks
      - 1.  ML 10K\_2000
      - 2.  ML 10K\_2001
      - 3.  ML 10K\_2002
      - 4.  ML 10K\_2003
      - 5.  ML 10K\_2004
      - 6.  ML 10K\_2005
      - 7.  ML 10K\_2006



















































- 8.  ML 10K\_2007
- 9.  ML 10K\_2008
- 10.  ML 10K\_2009
- b.  ML 10Qs
  - 1.  ML 10Q\_1Q07
  - 2.  ML 10Q\_1Q08
  - 3.  ML 10Q\_1Q09
  - 4.  ML 10Q\_1Q10
  - 5.  ML 10Q\_2Q07
  - 6.  ML 10Q\_2Q08
  - 7.  ML 10Q\_2Q09
  - 8.  ML 10Q\_3Q07
  - 9.  ML 10Q\_3Q08
  - 10.  ML 10Q\_3Q09
- 3.  ML Annual Reports
  - a.  ML AR\_2002
  - b.  ML AR\_2003
  - c.  ML AR\_2004
  - d.  ML AR\_2005
  - e.  ML AR\_2006
  - f.  ML AR\_2007
- 4.  ML Earnings Transcripts
  - a.  ML 1Q'07 Earnings Transcript
  - b.  ML 1Q'08 Earnings Transcript
  - c.  ML 2Q'07 Earnings Transcript
  - d.  ML 2Q'08 Earnings Transcript
  - e.  ML 3Q'07 Earnings Transcript
  - f.  ML 3Q'08 Earnings Transcript
  - g.  ML 4Q'07 Earnings Transcript
- 5.  ML Investor Presentations (last 2yrs)
  - a.  ML IP\_12May08
  - b.  ML IP\_14May07
  - c.  ML IP\_14Nov06
  - d.  ML IP\_30Jan08
  - e.  ML IP\_6Feb08
  - f.  ML IP\_8Sep08
  - g.  MLBofA IP\_15Sep08
  - h.  MLIM BlackRock IP\_Feb 06
- 6.  ML Proxies
  - a.  ML 2007 Proxy
  - b.  ML 2008 Proxy
  - c.  ML BofA\_Merger Proxy
- 7.  2008 ML News
- f.  ML Research Reports
  - 1.  ML Research Report\_KBW 08Oct08
  - 2.  ML Research Report\_KBW 17Oct08
  - 3.  ML Research Report\_KBW 18Jul08
  - 4.  ML Research Report\_KBW 23Jul08
  - 5.  ML Research Report\_KBW 29Jul08
  - 6.  ML Research Report\_KBW 30Jul08
  - 7.  ML Research Report\_OPP 06Aug08
  - 8.  ML Research Report\_OPP 08Sep08
  - 9.  ML Research Report\_OPP 16Sep08
  - 10.  ML Research Report\_OPP 18Jul08
  - 11.  ML Research Report\_OPP 19Oct08
  - 12.  ML Research Report\_OPP 25Nov08


























































- 13. ML Research Report\_OPP 29Jul08
    - g. ML Stock Chart
  - 11. Monolines
    - a. Ambac 2008 FINSUPP
    - b. Assured Guaranty 2008 FINSUPP
    - c. FGIC 2009 AR
    - d. FGIC 30Sep07 IP
    - e. MBIA 2008 FINSUPP
  - 12. Moody's Corporation
    - a. Chairman's MCO Email #2
  - 13. National City
    - a. National City Financials
      - 1. Nat City As Reported Financials
      - 2. NCC Asset Quality by Loan Type
      - 3. NCC CO and Recoveries
      - 4. NCC Templated Financials
    - b. PNC 8K on NCC Acquisition
  - 14. Other
    - a. MENA
      - 1. Arab Markets Weekly Review
      - 2. GCC Capital Markets
      - 3. GCC Economic Monthly\_May10
      - 4. GCC Real Estate 2Q10
      - 5. KSA Overview
      - 6. MENA Financial Sector\_Jul09
      - 7. MENA Weekly Monitor\_26Jul10
    - b. 2 26 Brian Peters Jeanmarie Call
    - c. ABS\_CDO Questions for Private Funds or Investment Clients
    - d. BSC 2006 Revenue Breakdown
    - e. Draft Question List
    - f. Idea for Web Graph
    - g. Notes from Initial Meeting
    - h. PB Rankings\_WSJ\_17Mar08
    - i. Ratings Scale
    - j. Reserve Primary Fund LEH Exposure in Sep
  - 15. Surveys
    - a. FCIC Portfolio Adjustment Survey
    - b. Hedge Fund Interrogatories
    - c. Revised Hedge Fund Survey
  - 16. Wachovia
    - a. 30Jun04 vs 05
    - b. Wachovia Asset Quality by Loan Type
    - c. Wachovia CO and Recoveries
    - d. Wachovia SNL As Reported Financials
    - e. Wachovia SNL Templated Financials
    - f. WB Price from Jan07
  - 17. Wells Fargo Earnings Call Transcripts
    - a. WFC 1Q'09 Transcript
    - b. WFC 1Q'10 Transcript
    - c. WFC 2Q'09 Transcript
    - d. WFC 2Q'10 Transcript
    - e. WFC 3Q'08 Transcript
    - f. WFC 3Q'09 Transcript
    - g. WFC 4Q'08 Transcript
    - h. WFC 4Q'09 Transcript
- S. DMICHLIEWICZ
  - 1. AIG Org Chart (copy)

























































2.  Berkshire Hathaway and Warren Buffett FCIC Summary Report May 27(1)
  3.  bristol group
  4.  CRIS Articles
  5.  CRIS June Report
  6.  CRIS June Report
  7.  CRIS MeetingAgendasCombined
  8.  CRIS WorkingDocumentscombined
  9.  from Royal Bank of Scotland Asset Protection Scheme Dec 2009 94 Pages
  10.  House Hearing on Credit Rating Agencies - 10 22 2008
  11.  raymond mcdaniel (copy)
  12.  Sean Egan - CFO Article - September 2003
  13.  Sean Egan - Transcript - 2004
  14.  Sean Egan - Transcript - June 2003
  15.  Sean Egan Testimony - May 2009
  16.  Summary of UK APS
  17.  UK Lending Requirement Articles
  18.  Warren Buffet Research
  19.  WSJ excerpts for housing signals re Moody's
- T.  DNOONAN
1.  AIG
    - a.  2010-04-30 MFR of T Baxter Interview by D Noonan
    - b.  2010-07-14 D Noonan letter to S Nettleton (Weil)
    - c.  AIG Comp Table
    - d.  AIG Document Request Chart
    - e.  AIG Questions (D1P2)
    - f.  AIG Witness Tracking
    - g.  Alan Frost 11 May 2010
    - h.  Andrew Forster interview prep
    - i.  Bob Lewis interview prep
    - j.  Dinallo Questions
    - k.  DLN Additions to Joseph Cassano Notes (2)
    - l.  DLN Call Log
    - m.  DLN Comments -- 2010-06-27 Suggested Hearing Questions by Panel
    - n.  DLN Comments + Additions to Dinallo Questions -- 2010-06-27 Derivatives Hearing Questions
    - o.  DLN Insert to AIG Memo
    - p.  DLRN H5 Workplan Notes
    - q.  Forster Bio
    - r.  Gene Park Interview Outline -- Draft
    - s.  General Questions for all AIG Interviews
    - t.  Gorton, Gary 11 May 2010
    - u.  Insert to AIG Memo - Exiting supprime - Jul 05 to Jan 06
    - v.  Jake Sun Interview
    - w.  Kevin McGinn interview prep
    - x.  Mark Hutchings MFR
    - y.  Micottis Interview Prep Notes
    - z.  SEC - AIG Notes
    - aa.  SEC AIG Document Review -- DLRN Notes
  2.  FRB - Greenspan
    - a.  Chart of Document Requests re Greenspan & OCC Panels (H2& 3)
    - b.  Fed Timeline
    - c.  Fed-Greenspan Panel Investigative Plan Write Up (DRAFT)
    - d.  spring2010\_greenspan
  3.  1-12-10 FCIC Confidential Draft Briefing Binder2 (copy)
  4.  AKBarnettHart Database -- FCIC Lehman Live Original
  5.  And-please-let-Alan-Greenspan-a
  6.  Citigroup Preliminary Investigative Report - 4-01-10 (copy)
























































7.  DLN Notes from The Big Short, by Michael Lewis
8.  Index - 4-14-10
9.  Noonan Comments to -- derivatives\_takeaways\_packet
- U.  EDELREAL
  1.  Test
    - a.  TEST 2
  2.  Test 2
  3.  For the record
- V.  FVahdati-Kinney
  1.  test
- W.  GCOHEN
- X.  GFELDBERG
  1.  1999-10 (Tanoue) - The Subprime Mortgage Crisis of 1998
  2.  2009-06 (MacKenzie) - All Those Arrows
  3.  Commission notes
  4.  Commission notes
  5.  Notes
- Y.  GNEWSOM
  1.  Administrative Documents -including 2009 docs
    - a.  Budget
      1.  2009 Budget Docs - Not Reviewed
        - a.  2009-11-08 GSA Budget - JPGs
          1.  2009-11-08. GSA Email re budget
          2.  FCIIC bEDGET 001
          3.  FCIIC bEDGET 002
          4.  FCIIC bEDGET 003
          5.  FCIIC bEDGET 004
          6.  FCIIC bEDGET 005
          7.  FCIIC bEDGET 006
          8.  FCIIC bEDGET 007
          9.  FCIIC bEDGET 008
          10.  FCIIC bEDGET 009
          11.  FCIIC bEDGET 010
        - b.  2009-10-30. GKN Email - Revisions to Draft Salary Costs
        - c.  2009-10-30. GKN Email - Revisions to Draft Salary Costs-2
        - d.  2009-10-30. GKN revisions to Draft Salary Costs
        - e.  2009-10-30. Greene Email to GSA re budget allocations
        - f.  2009-10-30. GSA Draft Salary Costs
        - g.  2009-10-30. GSA Draft Salary Costs-2
        - h.  2009-10-30. GSA Draft Salary Costs-3
        - i.  2009-10-30. GSA Email - Draft Salary Costs
        - j.  2009-10-30. GSA Email - Draft Salary Costs -2
        - k.  2009-10-30. GSA Email - Draft Salary Costs -3
        - l.  2009-11-02. GSA Email - IT and Verizon Costs
        - m.  2009-11-02. GSA Email re budget
        - n.  2009-11-02. GSA. FCIC Budget Execution
        - o.  2009-11-03. GSA Email re budget
        - p.  2009-11-03. GSA FCIC Budget
        - q.  2009-11-03. GSA FCIC Budget- revised
        - r.  2009-11-04. GKN Email - revised budget
        - s.  2009-11-04. GSA Email - revised budget
        - t.  2009-11-04. GSA FCIC Budget
        - u.  2009-11-06. GSA Email to GKN - budget revisions
        - v.  2009-11-10. GSA Email re budget
        - w.  2009-11-10. GSA Email- revised budget
        - x.  2009-11-10. GSA Email- revised budget-2
        - y.  2009-11-10. GSA Email to GKN - budget revisions

- z.  2009-11-10. GSA FCIC Budget
- aa.  2009-11-10. GSA FCIC Budget Revised
- ab.  2009-11-10. GSA GKN Email correspondence - revised budget
- ac.  2009-11-10. GSA GKN Email correspondence - revised budget-2
- ad.  2009-11-11. GKN Email re FCIC Budget
- ae.  2009-11-11. GSA Email re FCIC Budget
- af.  2009-11-11. GSA FCIC Budget
- ag.  2009-11-12. GKNEmail re FCIC Budget - revisions
- ah.  2009-11-12. GSA Email re FCIC Budget - 1
- ai.  2009-11-12. GSA Email re FCIC Budget - 2
- aj.  2009-11-12. GSA FCIC Budget - 1
- ak.  2009-11-12. GSA FCIC Budget - 2
- al.  2009-11-13. GSA FCIC Budget
- am.  2009-11-13. GSA GKN Email correspondence - revised budget
- an.  2009-11-16. GKN Email correspondence
- ao.  2009-11-16. GKN Email correspondence - revised budget
- ap.  2009-11-16. GSA Email re FCIC Budget - 1
- aq.  2009-11-16. GSA Email re FCIC Budget - 2
- ar.  2009-11-16. GSA FCIC Budget-1
- as.  2009-11-16. GSA FCIC Budget-2
- at.  2009-11-16. GSA GKN Email correspondence - revised budget
- au.  2009-11-17. GSA FCIC Budget - FULL
- av.  2009-11-17. GSA FCIC Budget. SUMMARY
- aw.  2010-02-10. GSA. FCIC Budget
- ax.  2010-02-12. GSA. FCIC Budget
- ay.  2010-02-22. GSA. FCIC Budget
- az.  2010-03-10. GSA. FCIC Budget
- ba.  2010-03-26. GSA FCIC Budget
- bb.  2010-03-28. GSA. FCIC Budget
- bc.  2010-04-20. GKN to GSA. FCIC Budget Execution
- bd.  2010-04-20. GSA. FCIC Budget Execution
- be.  2010-04-22. GSA FCIC Expenses by category FY 09 FY 20101 SUMMARY
- bf.  2010-04-27. GSA. Expense Report
- bg.  2010-04-30. GSA. FCIC Expenses by category thru April 2010
- bh.  2010-05-18. GKN to GSA. FCIC Budget - Supplemental Prep
- bi.  2010-05-25. GSA FCIC Budget
- bj.  2010-06-16. GKN to GSA. FCIC Budget
- bk.  2010-07-13. GSA FCIC Budget Execution
- bl.  2010-07-15. Hock. June 2010 Expense Report and Overall mthlyVariance (4)
- bm.  2010-07-26. GKN Analysis. Hock to GSA.
- bn.  2010-07-30. GSA FCIC Expenses by category thru JULY 2010
- bo.  2010-08-11. GKN Notes. Revisions to FCIC Budget with draft staff raises
- bp.  2010-08-12. GKN Notes. Revisions to FCIC Budget
- bq.  2010-08-30. Hock. FCIC Report3
- br.  2010-09-30. GSA. FCIC 2010 ALL MONTHS OBS
- bs.  2010-10-03. GSA. FCIC Budget
- bt.  Salary and Start Date Calculation Sheet (3)
- bu.  Schedule for FCIC Staff Departures
- bv.  Schedule for FCIC Staff Departures Nov 8th
- bw.  Schedule for FCIC Staff Departures\_NEW
- 2.  2011 Budget Docs
  - a.  2011-02-02-a. GSA and GKN Email Exchange re FCIC deobligations and categorization corrections
  - b.  2011-02-02-b. GSA and GKN Email Exchange re FCIC deobligations dated 1-21-2011
  - c.  2011-02-02-c. FCIC deobligations dated 1-21-2011
  - d.  2011-02-02-d. GSA and GKN Email Exchange re FCIC budget categorization corrections
























































- e. 2011-02-02-e. GKN Corrections to Budget categorization re FCIC budget
- f. 2011-02-02-f. GSA Email re Status of Funds for FCIC Report
- g. 2011-02-02-g. GSA Status of Funds for FCIC Report
- h. 2011-02-07-a. GKN-GSA-Thomas Email Exchange re FCIC Budget
- i. 2011-02-07-b. GSA FCIC FINANCIAL SUMMARY as of 2 4 11 \_2\_
- j. 2011-02-07-c. GSA FCIC Budget FY 2011 as of 2 4 2011a
- k. 2011-02-07-d. Edelberg analysis of GSA FCIC Budget FY 2011 as of 2 4 2011 -showing actual expenses
  - l. 2011-02-08. GKN-Thomas Email Exchange re FCIC Budget
- m. 2011-02-10. Hock FCIC Budget
- n. 2011-02-10. Waskowicz email to Issas office - budget
- 3. Supplement
  - a. 01-12-2010. Ltr to Obey and Lewis re\_additional FCIC allocation
  - b. 031610.CJD.to.Inouye.reFCIC-request
  - c. 09-12-2010. Ltr to Kent and Isakson re\_additional FCIC allocation
  - d. 2-25-2010\_ FCIC Budget Supplement\_Price Memo
  - e. 7-27-2010. Copy of House Roll Count Vote on Authorizing Supplement
  - f. Budget Supplement Actualization 6-17-2010 (1)
  - g. Budget Supplement Actualization 6-28-2010 (1)
  - h. Budget Supplement Actualization 7-14-2010 (1)
  - i. Budget Supplement Actualization 8-3-2010 (1)
  - j. price draft (5)
- b. Compensation - 2009
  - 1. August 29 2009 Commissioner Compensation
    - a. 8-29-09 FCIC - Claim for Compensation
    - b. Angelides. 8-29-09 FCIC - Claim for Compensation
    - c. Born. 8-29-09 FCIC - Claim for Compensation
    - d. Georgiou. 8-29-09 FCIC - Claim for Compensation
    - e. Graham 8-29-09 FCIC - Claim for Compensation
    - f. Hennessey 8-29-09 FCIC - Claim for Compensation
    - g. Holtz Eakin 8-29-09 FCIC - Claim for Compensation
    - h. Murren 8-29-09 FCIC - Claim for Compensation
    - i. Thomas. 8-29-09 FCIC - Claim for Compensation
    - j. Thompson 8-29-09 FCIC - Claim for Compensation
    - k. Thompson 8-29-09 FCIC - Claim for Compensation
    - l. Wallison. 8-29-09 FCIC - Claim for Compensation
  - 2. Misc Compensation Docs
    - a. FCIC - Claim for Compensation
    - b. payroll-calendar-2009 pdf
    - c. PERFORMANCE AWARD MORAIN
    - d. SPECIAL ACT AWARD MORAIN
  - 3. October 24 2009 Commissioner Compensation
    - a. Angelides. FCIC - Claim for Compensation Oct 24-09
    - b. Born. FCIC - Claim for Compensation Oct 24-09
    - c. Georgiou. FCIC - Claim for Compensation Oct 24-09
    - d. Graham. FCIC - Claim for Compensation Oct 24-09
    - e. Hennessey. FCIC - Claim for Compensation Oct 24-09
    - f. Holtz-Eakin. FCIC - Claim for Compensation Oct 24-09
    - g. Murren. FCIC - Claim for Compensation Oct 24-09
    - h. Thomas. FCIC - Claim for Compensation Oct 24-09
    - i. Thompson. FCIC - Claim for Compensation Oct 24-09
    - j. Wallison. FCIC - Claim for Compensation Oct 24-09
  - 4. October 9 2009 Commissioner Compensation
    - a. Angelides.FCIC - Claim for Compensation
    - b. Georgiou. Copy of FCIC - Claim for Compensation 100909
    - c. Holtz-Eakin.FCIC - Claim for Compensation
























































- d.  Murren.FCIC - Claim for Compensation
- e.  Thomas. WMT Claim for Compensation Sept 27-Oct 10 2009
- f.  Thompson. FCIC - Claim for Compensation
- g.  Wallison. WMT Claim for Compensation Sept 27-Oct 10 2009
- 5.  September 12 2009 Commissioner Compensation
  - a.  FCIC - Claim for Compensation-September 12
  - b.  FCIC Compensation - 9-12-09
- 6.  September 25 2009 Commissioner Compensation
  - a.  FCIC Compensation - 9-25-09
- 7.  Staff Compensation - 2009
  - a.  Bachmann. FCIC - Claim for Compensation 10 24
  - b.  Bachmann. FCIC - Claim for Compensation October 08
  - c.  Ganz. FCIC - Claim for Compensation 10 24
  - d.  Ganz. FCIC - Claim for Compensation October 08
  - e.  Greene. FCIC - Claim for Compensation 10 24
  - f.  Greene. FCIC - Claim for Compensation October 08
  - g.  Mayo. FCIC - Claim for Compensation 10 24
  - h.  Mayo. FCIC - Claim for Compensation October 08
  - i.  Newsom. FCIC - Claim for Compensation 10 24
  - j.  Newsom. FCIC - Claim for Compensation October 08
- c.  Contracts - Consultants
  - 1.  01-25-2011. Colonial Caterin GWU - FCIC Contract
  - 2.  2010-11-24 Art Wilmarth SIGNED Consulting Contract
  - 3.  2010-11-24 Kim Shafer SIGNED Consulting Contract
  - 4.  2011-01-07. FCIC Engagement Letter with Dickstein Shapiro- SIGNED
  - 5.  Agreement\_-\_Aristotle\_Intl,\_Inc.[1]
  - 6.  Andrew\_Robinson\_Contract
  - 7.  Balder.John. Cover - Unpaid Consultant
  - 8.  baltake contract draft 10-29-09 doc \_3\_
  - 9.  baltake contract draft 10-29-09.doc.
  - 10.  Bruce McWilliams. Short Term Contract. 01-03-2011
  - 11.  Eli Attie Confi Agreement 12-14-2010
  - 12.  FCIC - Jack Morton Auditorium - 01-19-2011
  - 13.  FCIC Contract\_Suleman Khan\_2010-06-10
  - 14.  FCIC\_Confidentiality
  - 15.  Hock Letter of Engagement - Executed. 6-16-2010
  - 16.  Kirsten Ling's Contract
  - 17.  Lori Goodwine - LV Hearing-08--5-2010
  - 18.  Lori Goodwine - LV Hearing-08--5-2010\_INVOICE 1
  - 19.  Lori Goodwine - LV Hearing-09-13-2010\_INVOICE 1
  - 20.  Mike Bryan Contract
  - 21.  NORQ Contract. Executed 10-7-2010
  - 22.  Resume\_J Balder\_FCIC\_Aug 2010
  - 23.  Short Term Consulting Contract Mina Simhai
  - 24.  Short Term Consulting Contract Peter Kavounas
  - 25.  SIGNED Amendment to the Literary Agent Agreement
  - 26.  SIGNED\_AMENDED\_FCIC\_Kim\_Shafer\_Contract\_2010-04-06
- d.  Database
  - 1.  9-27-2010\_FCIC List of Codes\_Database
  - 2.  Copy of FCIC database of Contacts
  - 3.  FCIC Database Parameters for Staff\_3-5-2010
  - 4.  Gretchen\_Kinney\_Newsom\_20100302131827\_Financial\_Crisis\_Inquiry\_Commission(1)
- e.  Ethics Background Materials
  - 1.  2009-12-15. Angelides-Thomas Ltr\_to\_Chairwoman\_Zoe\_Lofgren\_re\_-\_FCIC\_delegation\_of\_authority-Ethics
  - 2.  2009-12-31. Ltr from House Ethics - Lofgren - Delegation of Authority for FCIC - ethics office\_Appro
























































3.  BRAC additional rules
  4.  BRAC Procedural Rules
  5.  CD Memo Congressional Commissions Rules of Procedure 12-18-08
  6.  code of ethics for government service
  7.  Hearing Procedures\_USCC
  8.  NGIS comission rules
  9.  OCE Code
  10.  rules of the House
  11.  USCC rules
- f.  GSA Administrative Docs - Credit Cards\_MOU\_Lease
1.  Credit Card. cb005 - Travel CBT Card Setup
  2.  Credit Card. cb006 - Program Administrator Setup
  3.  Credit Card. cb016 - Billing Account Set Up
  4.  Credit Card. Greene.cb005 - Travel CBT Card Setup
  5.  Credit Card. GSA\_P.Angelides\_ FCIC\_Credit Card Application
  6.  Credit Card. Newsom.cb005 - Travel CBT Card Setup
  7.  Credit Card. PaymentMthdFormat022007
  8.  Credit Card. Signed.PA Credit Card App\_FCIC\_9-8-09
  9.  Credit Card. Statement of Work - FCIC
  10.  MOU. AAS - IA Part B Financial Crisis Inquiry Commission (3)
  11.  MOU. Angelides Signed GSA IA Agreement 8-25-09.
  12.  MOU. Angelides Signed GSA IB Agreement 9-23-09.
  13.  MOU. Executed FCIC-GSA- Fed Acq-WITS-MOU. 8-20-09
  14.  MOU. Executed Financial Crisis Inquiry Commission MOU.8-17-09
  15.  MOU. Executed Financial Crisis Inquiry Commission WITS\_DAR\_DARA\_docs.8-25-09
  16.  MOU. Financial\_Crisis\_Inquiry\_Commission\_MOU\_6\_Aug\_09
  17.  MOU. OCFO\_IA\_Financial\_Crisis\_Inquiry\_Commission\_-\_20090811[1]
  18.  MOU. PA Signed GSA MOUs (2)8-14-09.
  19.  Phones. 1717 Pokethru Quote
  20.  Phones. Analog Line Order 9-2-2009
  21.  Phones. Analog Order 9-30-2009
  22.  Phones. Analog\_Order\_Return\_\_10-9-2009[1]
  23.  Phones. DAR Letter Greene
  24.  Phones. DAR Spreadsheet 10-7-2009
  25.  Phones. Floor Jack Order 9-30-2009
  26.  Phones. Hosted IP Centrex \_HIPC\_ with 4xT1 Internet Order 9-24-2009 \_2\_
  27.  Phones. Voice Mail 10-7-2009
  28.  Phones. Voice Mail 10-7-2009.COVER
  29.  Phones. VOIP WITS3\_HIPC\_pricing5
  30.  Phones. VoIP\_Order\_9-15-2009[1]
  31.  Phones. Wiring Order 9-2-2009
  32.  Phones. Wiring Quote 9-2-2009
  33.  Phones. WITS3 analog sets 9-30-2009
  34.  Phones. WITS3 HIPC pricing5\_4xT1
  35.  Space Requirements Questionnaire (Basic)
  36.  Space Requirements Questionnaire2 8-7-09
  37.  Space. Executed Lease Agreement\_FCIC\_ 9-8-09
  38.  Space. FCIC letter - Confirmation of Space as lowest bidder
  39.  Space. FCIC\_chair\_& \_ED\_office[1]
  40.  Space. Floorplan.1717 Penn Ave 8th Flr As-Blt rev 08-24-09
  41.  Space. Punch List 10-29-09
  42.  Website. Domain\_Name\_Letter[1]
  43.  Website. FCIC URL Authorization Letter
  44.  Website. FCIC URL Authorization Letter
- g.  Office Docs
1.  COVER PAGE FOR DOCUMENTS REQUIRING FCIC OFFICIALs SIGNATURE
























2.  FCIC Fax Cover Sheet
  3.  Future Meetings FCIC
  4.  Future Meetings FCIC.with Dinners
  5.  Library of Congress - Accounts
  6.  Official FCIC Holidays 2009-10
- h.  Potential Personnel
1.  10-19-09 FCIC Candidates (2) (2)
  2.  ACCOUNTANT. Lea, Gareth
  3.  ACCOUNTANT. Lea, Gareth.REF
  4.  ACCOUNTANT. Medina, Menjie
  5.  ACCOUNTANT. Robinson, Kirby
  6.  ACCOUNTANT. Robinson, Kirby.COVER
  7.  Appendix.Binder2
  8.  Binder1
  9.  Binder1
  10.  Bothwell Reports& Publications
  11.  CAO. Lehmann, Chris
  12.  CAO. Lehmann, Chris.COVER
  13.  COMM. Alderson, Rhodes
  14.  COMM. Alderson, Rhodes. REF
  15.  COMM. Alderson, Rhodes.COVER
  16.  COMM. Alderson, Rhodes.references
  17.  COMM. Carlson, Dale
  18.  COMM. Carlson, Dale.COVER
  19.  COMM. Crow, Matt
  20.  COMM. Czechowski, Halle
  21.  COMM. Czechowski, Halle.COVER
  22.  COMM. Fezenberg, Alvin
  23.  COMM. Fishman, Michael
  24.  COMM. Folmar, Kate
  25.  COMM. Folmar, Kate.COVER
  26.  COMM. Hunter, Christopher
  27.  COMM. Hunter, Christopher.COVER
  28.  COMM. Kelleher, Linda
  29.  COMM. Kelleher, Linda.COVER
  30.  COMM. Kelleher, Linda.REF
  31.  COMM. Kiley, Tom
  32.  COMM. Kiley, Tom.BACKGROUND
  33.  COMM. Kiley, Tom.COVER
  34.  COMM. Kiley, Tom.REF
  35.  COMM. Merz, Melissa
  36.  COMM. Merz, Melissa. REF
  37.  COMM. Merz, Melissa.COVER
  38.  COMM. Merz, Melissa.REF
  39.  COMM. Metaxas, John
  40.  COMM. Metaxas, John.COVER
  41.  COMM. Miller, Katherine
  42.  COMM. Miller, Katherine.COVER
  43.  COMM. Murphy, Daniel
  44.  COMM. Murphy, Daniel.COVER
  45.  COMM. Owens, Alejandra
  46.  COMM. Owens, Alejandra.COVER
  47.  COMM. Prewett, Cecilia
  48.  COMM. Prewett, Cecilia.COVER
  49.  COMM. Prewett, Cecilia.references















































































































50.  COMM. Prewett, Cecilia.References
51.  COMM. Prewett, Cecilia.References3
52.  COMM. Roberts, Susan
53.  COMM. Roberts, Susan. REF
54.  COMM. Roberts, Susan.COVER
55.  COMM. Schulte, Beneva
56.  COMM. Schulte, Beneva. REF
57.  COMM. Straub, William
58.  COMM. Straub, William.COVER
59.  COMM. Warren, Tucker
60.  COMM. Warren, Tucker.COM
61.  COMM. Warren, Tucker.REF
62.  COMM. Wexler, Nu
63.  COMM. Wexler, Nu.COVER
64.  COMM. Wilner, Elizabeth
65.  COMM. Wilner, Elizabeth.COVER
66.  COMM. Zyats, Diane
67.  Comm.Binder1
68.  CON - Baldassare, Mark.COVER
69.  CON - Black, William
70.  CON - Black, William.COVER
71.  CON - Chavez, Steve - Integrated Government Solutions
72.  CON - D'Arista, Jane.COVER
73.  CON - Dzivi, Bart
74.  CON - Dzivi, Bart.COVER
75.  CON - Eisenberg, Meyer\_Mike
76.  CON - Eisenberg, Meyer\_Mike.COVER
77.  CON - Greenberger, Michael
78.  CON - Greenberger, Michael.COVER
79.  CON - Hartigan, John F
80.  CON - Hartigan, John F.COVER
81.  CON - Heineman, Benjamin W
82.  CON - Heineman, Benjamin W.COVER
83.  CON - Hoffman, Peter
84.  CON - Jacob, Marvin
85.  CON - Jaffee, Dwight. COVERpdf
86.  CON - Kersten, David.COVER.
87.  CON - Litan, Robert E
88.  CON - Litan, Robert E.COVER
89.  CON - Mehrling, Perry
90.  CON - Van Zandt, David
91.  CON - Van Zandt, David. COVER
92.  CON - Walker, Robert.COVER
93.  CON -Sethness, Charles
94.  CON -Sethness, Charles.COVER
95.  Con-Other.Borgers, Tom
96.  Copy of writer\_editor\_researcher list
97.  Dymski.Gary. Email 10-19-09. Phone numbers
98.  Dymski\_research\_themes
99.  ED. Fichter, John.COVER
100.  ED. Goldschmid, Harvey
101.  ED. Goldschmid, Harvey.COVER
102.  ED. Greene - Tom
103.  ED. Greene - Tom.COVER
104.  ED. Kecker, John W
























































105.  ED. McCool.Thomas. COVER
106.  ED. Niemeier, Charles D
107.  ED. Niemeier, Charles D.COVER
108.  ED.Binder1
109.  ED-GC. Bromwich.Michael R
110.  ED-GC. Bromwich.Michael R. COVER
111.  ED-GC. Figel.Reid
112.  ED-GC. Figel.Reid.COVER
113.  ED-GC. Fitzgerald.Patrick J
114.  ED-GC. Fitzgerald.Patrick J.COVER
115.  ED-GC. Harris, Steven B
116.  ED-GC. Harris, Steven B.COVER
117.  ED-GC. Holmes.Sven
118.  ED-GC. Holmes.Sven.COVER
119.  ED-GC. Seligman, Joel
120.  ED-GC. Seligman, Joel.COVER
121.  ED-GC. Strauss. Audrey
122.  ED-GC. Strauss. Audrey.COVER
123.  ED-GC. Zimroth-Peter L
124.  ED-GC. Zimroth-Peter L. COVER
125.  ED-GC.Binder1
126.  FCIC Interview Call List
127.  FCIC\_1st flight of staff\_final (2)
128.  FCIC\_2nd flight of staff\_final
129.  FCIC\_Personnel\_3rd flight 12-14-09
130.  FinalBothwellReport
131.  GC. Cole, James
132.  GC. Cole, James.COVER
133.  GC. Feigelson, Jonathan
134.  GC. Feigelson, Jonathan.COVER
135.  GC. Flynn, Rory
136.  GC. Kennedy, Leonard J
137.  GC. Kennedy, Leonard J.COVER
138.  GC. Levine, Theodore
139.  GC. Levine, Theodore. COVER
140.  GC. Miller, Judith A
141.  GC. Miller, Judith A. COVER
142.  GC. Redway, James
143.  GC. Redway, James.REF
144.  GC. Rezneck, Dan
145.  GC. Rezneck, Dan.COVER
146.  GC. Rezneck, Dan.REF
147.  GC. Rezneck, Dan.REF
148.  GC. Roberts, Matthew
149.  GC. Roberts, Matthew. COVER
150.  GC. Umin, Steve
151.  GC. Umin, Steve.COVER
152.  GC. Umin, Steve.REF
153.  GC.Binder1
154.  GD\_Background
155.  GQ\_newspapers
156.  Greenberger, Scott.Critical\_Intro\_and\_Chap\_One
157.  INT. Allen, Hillary Jane
158.  INT. Armstrong, Jonathan
159.  INT. Charalambakis, Joel




















































160.  INT. Driscoe, Lara
161.  INT. Kellerman, Brooke
162.  List - FCIC Potential Personnel
163.  Master Resume Binder\_9-12-09
164.  MCAS\_Globe
165.  Other.Binder1
166.  OTHR - PA. David Z Seide. 7-17-09
167.  OTHR - PA. Grant Vinik. 7-19-09
168.  OTHR - PA. Jonathan Feigelson. 7-17-09
169.  OTHR - PA. Leonard Shambon. 7-17-09
170.  OTHR - PA. Scott Rafferty. 7-22-09
171.  OTHR - PA. Thomas Van Wazer. 7-17-09
172.  OTHR - PA. Victor Mandel. 7-17-09
173.  OTHR. Abraham, Tessie
174.  OTHR. Adams, Kathleen
175.  OTHR. Aitken, Lee
176.  OTHR. Allen, Hilary Jane
177.  OTHR. Alley, Hilary
178.  OTHR. Alley, Hilary.COVER. Refs
179.  OTHR. Ames Sandler, Regina
180.  OTHR. Ames Sandler, Regina.COVER
181.  OTHR. Bachman, Rob
182.  OTHR. Bachman, Rob.COVER
183.  OTHR. Barshay, Jill
184.  OTHR. Barshay, Jill. COVER
185.  OTHR. Barshay, Jill. COVER.REF
186.  OTHR. Barshay, Jill.REF
187.  OTHR. Bennett, David
188.  OTHR. Berger, James
189.  OTHR. Berger, James.COVER
190.  OTHR. Biddick, Kenneth
191.  OTHR. Bingham, Suzanne
192.  OTHR. Bingham, Suzanne.COVER
193.  OTHR. Bock, Jerry T
194.  OTHR. Bock, Jerry T.COVER
195.  OTHR. Boone, Sylvia
196.  OTHR. Boone, Sylvia.COVER
197.  OTHR. Boone, Sylvia.REF
198.  OTHR. Booros, Kristen
199.  OTHR. Booros, Kristen, COVER
200.  OTHR. Bosland, Chris
201.  OTHR. Bosland, Chris.COVER
202.  OTHR. Britton, Katherine
203.  OTHR. Britton, Katherine.COVER
204.  OTHR. Browne, Andrews
205.  OTHR. Buhler, Roman
206.  OTHR. Buhler, Roman.COVER
207.  OTHR. Burk, Darcie
208.  OTHR. Burk, Darcie.COVER
209.  OTHR. Busette, Camille
210.  OTHR. Busette, Camille.COVER
211.  OTHR. Canizares, Elizabeth Keller
212.  OTHR. Canizares, Elizabeth Keller.COVER
213.  OTHR. Canova, Travis
214.  OTHR. Chase.Christopher

215.  OTHR. Chase.Christopher. COVER
216.  OTHR. D'Andres, Arthur C
217.  OTHR. D'Andres, Arthur C.COVER
218.  OTHR. Davidson, Samuel
219.  OTHR. Davies-DeMarco, Mariellen
220.  OTHR. Dean, Terry
221.  OTHR. Dean, Terry.COVER
222.  OTHR. Dongala, Thierry
223.  OTHR. Dubas, Karen
224.  OTHR. Dubas, Karen.COVER
225.  OTHR. Dyer, Richard Joseph
226.  OTHR. Dyer, Richard Joseph.COVER
227.  OTHR. Eaton, Jackson
228.  OTHR. Eaton, Jackson.COVER
229.  OTHR. Egziabher, Petros
230.  OTHR. Egziabher, Petros.COVER
231.  OTHR. Egziabher, Petros.REF
232.  OTHR. Elder, Nolan
233.  OTHR. Evans, Chris
234.  OTHR. Evans, Chris.COVER
235.  OTHR. Fleming, Matthew H.
236.  OTHR. Gardner. Seth
237.  OTHR. Gardner. Seth.COVER
238.  OTHR. Gillers, David
239.  OTHR. Gillers, David, REF
240.  OTHR. Gillers, David.COVER
241.  OTHR. Ginsberg, Alex
242.  OTHR. Ginsberg, Alex.COVER
243.  OTHR. Gleitsmann, Patricia
244.  OTHR. Gleitsmann, Patricia.COVER
245.  OTHR. Granese, Nancy
246.  OTHR. Granese, Nancy.COVER
247.  OTHR. Griffin, Mary
248.  OTHR. Griffin, Mary.COVER
249.  OTHR. Hall, Adam
250.  OTHR. Hall, Adam.COVER
251.  OTHR. Hampton, Steve
252.  OTHR. Hampton, Steve.COVER
253.  OTHR. Hanada, Miki
254.  OTHR. Hanada, Miki.COVER
255.  OTHR. Herman, Brian
256.  OTHR. Herman, Brian.COVER
257.  OTHR. Hodak, George
258.  OTHR. Hong, Claudia
259.  OTHR. Jain, Vivek
260.  OTHR. Jain, Vivek.COVER
261.  OTHR. Johnson, Cheryl
262.  OTHR. Johnson, Cheryl.COVER
263.  OTHR. Jolly, Jacob R.
264.  OTHR. Joshipura.Sanjeev
265.  OTHR. Joshipura.Sanjeev.COVER
266.  OTHR. Karp, Jonathan
267.  OTHR. Karp, Jonathan.COVER
268.  OTHR. Kellerman, Brooke
269.  OTHR. Kenny, Karyn
























































270.  OTHR. Kenny, Karyn.REF
271.  OTHR. Kernan, Gilbert
272.  OTHR. Kilberg, Cameron
273.  OTHR. Kimball-Stanley, Arthur
274.  OTHR. Kimball-Stanley, Arthur.COVER
275.  OTHR. Klass, Elliott M
276.  OTHR. Klass, Elliott M.COVER
277.  OTHR. Koul, Yashomati
278.  OTHR. Labaton, Steve. Article & background
279.  OTHR. Larrimore, Aaron D
280.  OTHR. Larrimore, Aaron D.COVER
281.  OTHR. Lastelic, Stephen
282.  OTHR. Lavinsky, John
283.  OTHR. Lavinsky, John.COVER
284.  OTHR. Leahy, Aidan
285.  OTHR. Leahy, Aidan.COVER
286.  OTHR. Liebman, Dan. pdf
287.  OTHR. Liebman, Dan.COVER
288.  OTHR. Lorentz, Gerald
289.  OTHR. Lorentz, Gerald. COVER
290.  OTHR. Lotke, Eric
291.  OTHR. Lotke, Eric.COVER
292.  OTHR. Lotke, Eric.WRITING SAMPLE
293.  OTHR. Matthew, Asha
294.  OTHR. Matthew, Asha.COVER
295.  OTHR. McCarden, Carl
296.  OTHR. McCarden, Carl.COVER
297.  OTHR. McCarden, Carl.REF
298.  OTHR. McGill, Yolanda
299.  OTHR. McGill, Yolanda.COVER
300.  OTHR. McLaughlin, Rachel
301.  OTHR. McLaughlin, Rachel.COVER
302.  OTHR. Meadvin, David
303.  OTHR. Meadvin, David.COVER
304.  OTHR. Merenda, Basil
305.  OTHR. Merenda, Basil.COVER
306.  OTHR. Merkle, Carl
307.  OTHR. Merkle, Carl.COVER
308.  OTHR. Mihalakas, Athanasios
309.  OTHR. Mihalakas, Athanasios.COVER
310.  OTHR. Mintz, Steve
311.  OTHR. Mintz, Steve.COVER
312.  OTHR. Mishkin, Alexander
313.  OTHR. Mishkin, Alexander.COVER
314.  OTHR. Moore, Richard
315.  OTHR. Morain, Clara
316.  OTHR. Morain, Clara.REF
317.  OTHR. Morain, Clara.REF
318.  OTHR. Nichols-Geerdes
319.  OTHR. Nichols-Geerdes.COVER
320.  OTHR. Nikkel, Deborah
321.  OTHR. Nikkel, Deborah.COVER
322.  OTHR. O'Connell, Michael
323.  OTHR. Openshaw, Jennifer
324.  OTHR. Openshaw, Jennifer.COVER
















































325.  OTHR. Opper, Gary
326.  OTHR. Opper, Gary.COVER
327.  OTHR. Osborn, Dustin
328.  OTHR. Osborn, Dustin.COVER
329.  OTHR. Payne, Walter
330.  OTHR. Phair, Ryan
331.  OTHR. Phair, Ryan.COVER
332.  OTHR. Phair, Ryan.REF
333.  OTHR. Phillips, Noah
334.  OTHR. Phillips, Noah.COVER
335.  OTHR. Piwowar, Michael
336.  OTHR. Piwowar, Michael.COVER
337.  OTHR. Porteous, Alec
338.  OTHR. Porteous, Alec.COVER
339.  OTHR. Quinto, Ricardo
340.  OTHR. Quinto, Ricardo.COVER
341.  OTHR. Saal, Matthew
342.  OTHR. Saal, Matthew.COVER
343.  OTHR. Schuyler, Christopher
344.  OTHR. Schuyler, Christopher. COVER
345.  OTHR. Scordato, Joseph
346.  OTHR. Scordato, Joseph.COVER
347.  OTHR. Scott, Lorretto
348.  OTHR. Sellon, Charles
349.  OTHR. Sellon, Charles.COVER
350.  OTHR. Senderowitz, Stephen J
351.  OTHR. Steed, Jon
352.  OTHR. Steed, Jon.COVER
353.  OTHR. Tallmer, Matthew
354.  OTHR. Tallmer, Matthew.COVER
355.  OTHR. Torre, David N
356.  OTHR. Torre, David N.COVER
357.  OTHR. Tyler, Kariem H
358.  OTHR. Tyler, Kariem H. COVER
359.  OTHR. Uhl, Joshua E
360.  OTHR. Uhl, Joshua E.COVER
361.  OTHR. Vinokurova, Natalya
362.  OTHR. Vinokurova, Natalya. WRITING SAMPLE
363.  OTHR. Vinokurova, Natalya.COVER
364.  OTHR. Wack. Kevin
365.  OTHR. Wack. Kevin. COVER
366.  OTHR. Wallace, David
367.  OTHR. Waskowicz, Cassidy
368.  OTHR. Webster, Collin
369.  OTHR. Webster, Collin.COVER
370.  OTHR. Weisbrod. Stephen
371.  OTHR. Weisbrod. Stephen.COVER
372.  OTHR. Wolohan, Brian
373.  OTHR. Wolters, Bradley
374.  OTHR. Wolters, Bradley.COVER
375.  OTHR. Yeung, Amy
376.  OTHR. Yeung, Amy.COVER
377.  OTHR. Zaffirini, Tony
378.  OTHR. Zaffirini, Tony.COVER
379.  OTHR. Zuckerman, Sarah
























































380.  OTHR. Zuckerman, Sarah.COVER
381.  OTHR. Kenny, Karyn
382.  OTHR. Morain, Clara.REF
383.  OTHR. Wright, Randy
384.  OTHR. Wright, Randy.COVER
385.  PA - OTHER. Binder 8-609
386.  PB - Bernstein, Michael
387.  PB - Downs, Anthony.COVER
388.  PB - Engmann, Doug
389.  PB - Engmann, Doug.COVER
390.  PB - Fishman, Richard.COVER
391.  PB - Maloney, Thomas J
392.  PB - Maloney, Thomas J.COVER
393.  PB - Scott, McGregor W
394.  PB - Scott, McGregorW.COVER
395.  PB - Terrell, Fred
396.  PB - Terrell, Fred.COVER
397.  PB - Woglom, Geoffrey
398.  PB - Woglom, Geoffrey.COVER
399.  Potential Personnel - HOLD
400.  ProBono.Binder1
401.  Raises\_from\_the\_mayor\_outpa..
402.  RD. Baker, Dean. COVER
403.  RD. Bekavac, Nancy
404.  RD. Bekavac, Nancy.COVER
405.  RD. Bekavac, Nancy.REF
406.  RD. Bernstein, Michael
407.  RD. Bernstein, Michael.COVER
408.  RD. Bothwell, James. pdf
409.  RD. Bothwell, James.COVER
410.  RD. Bothwell, James.REF
411.  RD. Dodd, Randall
412.  RD. Dodd, Randall.COVER
413.  RD. Dymski, Gary
414.  RD. Dymski, Gary.COVER
415.  RD. Dymski, Gary.REF
416.  RD. Edelberg, Wendy
417.  RD. Edelberg, Wendy.COVER
418.  RD. Edelberg, Wendy.REF
419.  RD. Edelberg, Wendy.WRITING SAMPLE
420.  RD. Garcia, Gillian
421.  RD. Garcia, Gillian.COVER
422.  RD. Garcia, Gillian.REF
423.  RD. Garcia, Gillian\_VITA
424.  RD. Koppell, Jonathan
425.  RD. Koppell, Jonathan. COVER
426.  RD. Kregal, Jan
427.  RD. Kregal, Jan.COVER
428.  RD. Leight, Peter
429.  RD. Leight, Peter.COVER
430.  RD. Mendelowitz, Alan.REF
431.  RD. Mendelowitz.Allan
432.  RD. Mendelowitz.Allan.COVER
433.  RD. Mendelowitz.Allan.REF
434.  RD. Ordean.Terrance
























































435.  RD. Ordean.Terrance.COVER. pdf
436.  RD. Parenteau, Robert
437.  RD. Parenteau, Robert. COVER
438.  RD. Sanders, Anthony.COVER
439.  RD. Stanton, Tom
440.  RD. Stanton, Tom.COVER
441.  RD. Veron, Nicolas
442.  RD. Veron, Nicolas.COVER
443.  RD. White, Eugene.COVER
444.  RD. Wilson, Beth Anne
445.  RD. Wilson, Beth Anne. WRITING SAMPLE 1
446.  RD. Wilson, Beth Anne. WRITING SAMPLE 2
447.  RD. Wilson, Beth Anne. WRITING SAMPLE 3
448.  RD. Wilson, Beth Anne.COVER
449.  RD. Wilson, Beth Anne.REF
450.  RD. Wilson, Beth Anne.REF
451.  RE. Ahmed, Shaista
452.  RE. Ahmed, Shaista.COVER
453.  RE. Easterly, Michael
454.  RE. Easterly, Michael.COVER
455.  RE. Feldberg, Greg
456.  RE. Feldberg, Greg.COVER
457.  RE. Schuker, Daniel
458.  RE. Schuker, Daniel.COVER
459.  RE. Stanton, Tom
460.  RE. Stanton, Tom.COVER
461.  RE. StroebeL, Landon
462.  Recommendations from Chris Lehane
463.  Recommendations from Courtney Linn
464.  Recommendations from David Walker
465.  Recommendations from Dwight Jaffee for RD
466.  Recommendations from Graham-Woolley
467.  Recommendations from Jamie Gorelick
468.  Recommendations from Karen Dynan for RD
469.  Recommendations from Michael Bernstein for RD
470.  Recommendations from Steve Harris
471.  Recommendations from Walter Issacson
472.  Recommendations from Walter Issacson
473.  Ref. Check - Other
474.  Ref. Checks - Senior Staff
475.  Rejected. Ausbrook, J. Keith
476.  Rejected. Ausbrook, J. Keith.COVER
477.  Rejected. Berry.Steven K
478.  Rejected. Berry.Steven K.COVER
479.  Rejected. Boutris, Demetrios
480.  Rejected. Boutris, Demetrios.COVER
481.  Rejected. Buchman, John
482.  Rejected. Buchman, John.COVER
483.  Rejected. Bullard, Mercer
484.  Rejected. Bullard, Mercer.COVER
485.  Rejected. Davis, Richard J
486.  Rejected. Davis, Richard J.COVER
487.  Rejected. Ferrara, Ralph C
488.  Rejected. Ferrara, Ralph C.COVER
489.  Rejected. Ford, Joseph L



























































490.  Rejected. Ford, Joseph L.COVER
491.  Rejected. Harshbarger, Scott
492.  Rejected. Harshbarger, Scott.COVER
493.  Rejected. Jackson, Howell
494.  Rejected. Jackson, Howell.COVER
495.  Rejected. Lynch, David
496.  Rejected. Lynch, David.COVER
497.  Rejected. Marlais, Don
498.  Rejected. Marlais, Don.COVER
499.  Rejected. McNicoll, Brian
500.  Rejected. McNicoll, Brian.COVER
501.  Rejected. Patriarca, Michael.ARTICLE
502.  Rejected. Patriarca, Michael.COVER
503.  Rejected. Rhinesmith.Alan.COVER
504.  Rejected. Shambon, Leonard M
505.  Rejected. Shambon, Leonard M.COVER
506.  Rejected. Slaughter- Matthew
507.  Rejected. Slaughter- Matthew.COVER
508.  Rejected. Wood, Christy. COVER
509.  Revised List - FCIC Potential Personnel
510.  Revised List - FCIC Potential Personnel - INTERVIEW TO BE SET2
511.  Revised List - FCIC Potential Personnel2
512.  Romney\_often\_casts\_himself\_..
513.  Sources for Applicants
514.  SS. Anderson, Ben
515.  SS. Anderson, Ben.COVER
516.  SS. Andrews, Edmund
517.  SS. Auer, Lance William
518.  SS. Barber, Philip
519.  SS. Barber, Philip.REF
520.  SS. Barber, PhilipCOVER.
521.  SS. Baron, Neil
522.  SS. Baron, Neil.COVER
523.  SS. Bergman, William
524.  SS. Bergman, William.COVER
525.  SS. Biegelman, Martin
526.  SS. Biegelman, Martin.COVER
527.  SS. Biegelman, Martin.REF
528.  SS. Blustein, Paul
529.  SS. Blustein, Paul.COVER. pdf
530.  SS. Bogos, Nicholas
531.  SS. Bogos, Nicholas.COVER
532.  SS. Bondi, Bradley
533.  SS. Bondi, Bradley.COVER
534.  SS. Bondi, Bradley.REF
535.  SS. Borgers, Tom
536.  SS. Borgers, Tom.COVER
537.  SS. Borgers, Tom.REF
538.  SS. Borgers, Tom.REF
539.  SS. Brady, Bruce
540.  SS. Brady, Bruce.COVER
541.  SS. Brooks, Michelle
542.  SS. Bryce, Benjamin
543.  SS. Bryce, Benjamin.COVER
544.  SS. Choharis, Peter
























































545.  SS. Choharis, Peter.COVER
546.  SS. Cohen, Gary
547.  SS. Cohen, Joel
548.  SS. Cumming, Isabel
549.  SS. Cumming, Isabel.COVER
550.  SS. Cwiklinski, Joseph Philip
551.  SS. Cwiklinski, Joseph Philip.COVER
552.  SS. DeWaal, Gary Alan
553.  SS. DeWaal, Gary Alan.COVER
554.  SS. Diermeier, Jeffrey
555.  SS. Ebrahim, Margaret
556.  SS. Fisher, Keith
557.  SS. Forde, Timothy
558.  SS. Frank, TA
559.  SS. Frank, TA.Background
560.  SS. Frank, TA.COVER1
561.  SS. Goldberg, Ronald
562.  SS. Goldman, Eugene L
563.  SS. Goldman, Eugene L.COVER
564.  SS. Goldman, Eugene L.REF
565.  SS. Goodgame. Dan
566.  SS. Goodgame. Dan.COVER. pdf
567.  SS. Greenberger, Scott
568.  SS. Greenberger, Scott.REF
569.  SS. Hand, Lesly.COVER
570.  SS. Hargett, Joe A
571.  SS. Hargett, Joe A.COVER
572.  SS. Harris, Peter
573.  SS. Harris, Peter.COVER
574.  SS. Hartnett, Paul J
575.  SS. Hartnett, Paul J.COVER
576.  SS. Hasler, Julie
577.  SS. Hasler, Julile.REF1
578.  SS. Hayn
579.  SS. Haynes, Elizabeth
580.  SS. Haynes, Elizabeth.REF
581.  SS. Haynes, Elizabeth.WRITING SAMPLE1
582.  SS. Haynes, Elizabeth.WRITING SAMPLE2
583.  SS. Heath, Wesley
584.  SS. Heath, Wesley.COVER
585.  SS. Heavens Jr., James
586.  SS. Hill, Chuck
587.  SS. Hill, Chuck.COVER
588.  SS. Hinkley, Robert
589.  SS. Hinkley, Robert.COVER
590.  SS. Hinkley, Robert.REF
591.  SS. Hoffman, Peter
592.  SS. Hollowell, Doug
593.  SS. Hollowell, Doug.COVER
594.  SS. Hosp, Edward - Ted
595.  SS. Hosp, Edward - Ted.COVER
596.  SS. Hosp, Edward - Ted.REF
597.  SS. Howes, G. Paul
598.  SS. Howes, G. Paul.COVER
599.  SS. Innelli.John
























































600.  SS. Innelli.John.COVER
601.  SS. Jackson, George
602.  SS. Jackson, George.COVER
603.  SS. Jones, Michael
604.  SS. Jones, Michael.COVER
605.  SS. Kelleher, Dennis
606.  SS. Kelleher, Dennis.COVER
607.  SS. Knauer, Chris
608.  SS. Knauer, Chris. COVER
609.  SS. Kotz, David.SEC Report
610.  SS. Kotz, David.TESTIMONY
611.  SS. Kraden. Jonathan
612.  SS. Kraden. Jonathan.COVER
613.  SS. Krebs, Thomas L
614.  SS. Krebs, Thomas L.COVER
615.  SS. Krebs, Thomas L.REF
616.  SS. Kroll, Steve
617.  SS. Kroll, Steve.COVER
618.  SS. Kupfer. Jeffrey F
619.  SS. Kupfer. Jeffrey F.COVER
620.  SS. Lanyi, Nick.COVER
621.  SS. Lanyi, Nickpdf
622.  SS. Lee, Susan
623.  SS. Lee, Susan.COVER
624.  SS. Lee, Susan.REF
625.  SS. Leighty, William H
626.  SS. Leighty, William H.2
627.  SS. Leighty, William H.COVER
628.  SS. Lerner, Jay
629.  SS. Lerner, Jay..REF
630.  SS. Leviss.David
631.  SS. Leviss.David. COVER
632.  SS. Levy.Michael N
633.  SS. Levy.Michael N.COVER
634.  SS. Linn, Courtney
635.  SS. Linn, Courtney.COVER
636.  SS. Litt, Marc
637.  SS. Litt, Marc.COVER
638.  SS. Longstreth, Bevis
639.  SS. Longstreth, Bevis.COVER.pdf
640.  SS. Maduros, Nicolas
641.  SS. Maduros, Nicolas.COVER
642.  SS. Maduros, Nicolas.REF
643.  SS. Mandel, Victor E
644.  SS. Mandel, Victor E.COVER
645.  SS. Martin, Michael
646.  SS. Martin, Michael.COVER
647.  SS. Martin, Michael.REF
648.  SS. Mason. Joe
649.  SS. Mason. Joe.COVER.
650.  SS. Mathew, Asha
651.  SS. Mathew, Asha.COVER
652.  SS. McCarty, Patrick J.
653.  SS. McDonough, Julia
654.  SS. McDonough, Julia.COVER

655.  SS. McDonough, Julia.REF
656.  SS. McWilliams, Bruce
657.  SS. McWilliams, Bruce.COVER
658.  SS. Meulbroek, Lisa
659.  SS. Missal.Michael J
660.  SS. Missal.Michael J.COVER
661.  SS. Mullinax, Don
662.  SS. Mullinax, Don.COVER
663.  SS. Newsom, Richard
664.  SS. Newsom, Richard.Article
665.  SS. Newsom, Richard.COVER
666.  SS. Newsom, Richard.REF
667.  SS. Newton, J. Scott
668.  SS. Noonan, Dixie
669.  SS. Noonan, Dixie. COVER
670.  SS. Noonan, Dixie.REF
671.  SS. Ochs, Brian A
672.  SS. Ochs, Brian A.COVER
673.  SS. Ochs, Brian A.REF
674.  SS. Orenstein, Leo
675.  SS. Orenstein, Leo.COVER
676.  SS. Pasternak, Doug
677.  SS. Pasternak, Doug. COVER
678.  SS. Pasternak, Doug.REF
679.  SS. Phillips, Terry
680.  SS. Phillips, Terry.COVER
681.  SS. Polansky, Steve
682.  SS. Polansky, Steve.COVER
683.  SS. Polaszek. Christophe
684.  SS. Polaszek. Christophe.COVERr
685.  SS. Price, Lee
686.  SS. Price, Lee.COVER
687.  SS. Putnam, Thomas
688.  SS. Quinn, Colleen
689.  SS. Quinn, Colleen.COVER
690.  SS. Rabb, Bruce
691.  SS. Rabb, Bruce. COVER
692.  SS. Rafferty, Scott
693.  SS. Rafferty, Scott.COVER
694.  SS. Reiss, Jonathan
695.  SS. Reiss, Jonathan.COVER
696.  SS. Rezendes, Michael
697.  SS. Rezendes, Michael.COVER
698.  SS. Rezendes, Michael.REF
699.  SS. Rives, Elizabeth
700.  SS. Rives, Elizabeth.COVER
701.  SS. Rives, Elizabeth.REF
702.  SS. Roberson, Peter
703.  SS. Roberson, Peter.COVER
704.  SS. Romatowski. Peter J
705.  SS. Romatowski. Peter J. COVER
706.  SS. Rosario, Peter J.
707.  SS. Rosario, Peter J. (2)
708.  SS. Russakoff, Dale
709.  SS. Schappert, Keith

710.  SS. Schappert, Keith.COVER
711.  SS. Scott.Stephen J
712.  SS. Scott.Stephen J. COVER
713.  SS. Scott.Stephen J. REF
714.  SS. Seefer, Christopher
715.  SS. Seefer, Christopher. COVER
716.  SS. Seefer, Christopher.REF
717.  SS. Seide, David Z
718.  SS. Seide, David Z.COVER
719.  SS. Seiler, Robert
720.  SS. Seiler, Robert.COVER
721.  SS. Shafer, Kim.COVER
722.  SS. Shafer,kim Leslie
723.  SS. Shapiro, Richard
724.  SS. Shapiro, Richard.COVER
725.  SS. Shepard, Stephen B
726.  SS. Shepard, Stephen B.COVER
727.  SS. Simhai, Mina
728.  SS. Simhai, Mina REF
729.  SS. Simhai, Mina.COVER
730.  SS. Stafford, Rebecca
731.  SS. Stanton, Martin
732.  SS. Stemp, Kevin
733.  SS. Stern, Michael
734.  SS. Stern, Michael.COVER
735.  SS. Tafara, Ethiopis
736.  SS. Tafara, Ethiopis.COVER
737.  SS. Teachout, Zephyr
738.  SS. Teachout, Zephyr.COVER
739.  SS. Toohey, Frank
740.  SS. Toohey, Frank.COVER
741.  SS. Trissell, Teresa
742.  SS. Trissell, Teresa.COVER
743.  SS. Tyler, Peter
744.  SS. Tyler, Peter.COVER
745.  SS. Van Wazer,Tom
746.  SS. Van Wazer,Tom.COVER
747.  SS. Van Wazer,Tom.REF
748.  SS. Vinik, Grant R
749.  SS. Vinik, Grant R. COVER
750.  SS. Vinik, Grant R.REF
751.  SS. Von Furstenberg, George
752.  SS. Von Furstenberg, George.COVER
753.  SS. Wahl, George, COVER
754.  SS. Weinkrantz, Daniel
755.  SS. Weinkrantz, Daniel.COVER
756.  SS. Weisbrod, Stephen
757.  SS. Weisbrod, Stephen.COVER
758.  SS. Wessel, David
759.  SS. Wessel, David.COVER
760.  SS. Wheeler, Donald
761.  SS. White, Adam
762.  SS. White, Adam.COVER
763.  SS. Wolff, Paul M
764.  SS. Wolff, Paul M.COVER






















































- 765.  SS. Zweig, Phillip
- 766.  SS. Zweig, Phillip.COVER
- 767.  SS.Binder1
- 768.  Suggested Advisors. Galbraith Memo
- 769.  TG - FCIC Candidates (2)
- 770.  UCCS\_Status\_Report
- 771.  VOL. Lyons, Colleen
- 772.  VOL. Lyons, Colleen.COVER
- 773.  Waskowicz, Cassidy
- 774.  Wasserman\_Schultz\_piece
- 775.  Writer. Cooper, Matt. REF
- 776.  Writer. Cooper, Matthew
- 777.  Writer. Downey, Kirsten
- 778.  Writer. Ebrahim, Margaret
- 779.  Writer. Ebrahim, Margaret. COVER
- 780.  Writer. Gosselin, Peter
- 781.  Writer. Mandel. Susan
- 782.  Writer. Murphy, Richard
- 783.  Writer. Vaida, Bara
- 784.  Writer.Rosshirt, Tom
- 785.  writer\_editor\_researcher list - PA Notes - 7-15-2010
- 786.  Writers Referred to Gary by Phil
- i.  FCIC logo
- j.  FCIC Preliminary Space Needs
- k.  FCIC Staff Days-Duration of Employment (12-1-2010)
- l.  FCIC Statute. Rules. Ethics
- m.  FCIC TO DO LIST
- n.  FCIC\_IT\_Quote\_Rev\_A\_9-15-2009(1)
- o.  Financial Operations Startup Procedures
- p.  GSA check list. conf call 7-20-09
- q.  GSA Contact List
- r.  Notes - FCIC To-List. Conf Calls on 8-7-09
- s.  Notes - Telecon w Bill Thomas. 6-18-09
- t.  Notes - Telecon w Blake Chisam - Tim Blodgett. 7-24-09
- u.  Notes - Telecon w CAO Dan Beard. Walter Edwards. Tim Blodgett. 7-23-09
- v.  Notes - Telecon w GSA on 7-20-09
- w.  Notes - Telecon w GSA on 8-25-09
- x.  Notes - Telecon w Irving Nathan - Tim Blodgett. 7-24-09
- y.  Notes - Telecon w Walter Edwards. Tim Blodgett. 7-28-09
- z.  Office Equipment
- aa.  Robert's Rules of Order
- ab.  TAX EXEMPT CERTIFICATE - FCIC
- 2.  Announcement Docs - 2009
  - a.  Angelides FCIC announcement joint
  - b.  Angelides FCIC talking points
  - c.  ANNOUNCEMENT CONTACT ROSTER
  - d.  MEDIA OUTREACH
  - e.  Phil Angelides. Bio. 7-6-09
  - f.  Phil Angelides. Fact Sheet for Commission Announcement
  - g.  Phil Angelides. Long for Commission Announcement
  - h.  Phil Angelides. Short Bio for Commission Announcement
  - i.  Phil Angelides.Newspaper Quotes for Commission Announcement
  - j.  Press Release - DEM. Appoinments
  - k.  Press Release - REP. Appoinments to FCIC
- 3.  Audio Recordings - Meetings, Forums, Hearings
  - a.  090916\_ FCIC Closed Session Meeting

- b.  090917\_FCIC Public Hearing
- c.  091020\_FCIC Closed Roundtable 2
- d.  091020\_FCIC Closed Roundtable I
- e.  091020\_FCIC Closed Session Meeting 1
- f.  091020\_FCIC Closed Session Meeting 2
- g.  091020\_FCIC Closed Session Meeting 3
- h.  091116\_FCIC Closed Session Dinner
- i.  091117. Transcript\_ Closed Session Meeting with Bernanke\_nov 17 2009
- j.  091117. Transcript\_ Closed Session Meeting with Geithner\_Nov 17 2009
- k.  091117\_1\_FCIC Closed Session\_Business and Geithner
- l.  091117\_1\_FCIC Closed Session\_Business and Geithner
- m.  091117\_2\_ FCIC Closed Session\_Business
- n.  091117\_3\_FCIC Closed Session\_Bernanke and Business
- o.  091117\_3\_FCIC Closed Session\_Bernanke and Business
- p.  091214\_FCIC Telephonic Business Meeting \_Version 2\_COMPLETE
- q.  091214\_FCIC Telephonic Business Meeting\_Version 1\_Verizon
- r.  100120\_FCIC Telephonic Business Meeting\_Verizon
- s.  100220.FCIC Telephonic Business Meeting
- t.  100316\_FCIC Telephonic Business Meeting\_Verizon
- u.  100420. FCIC Telephonic Business Meeting
- v.  100517 FCIC Business Meeting - Verizon
- w.  100518 FCIC Business Meeting - Handheld Recorder - Full Duration
- x.  100603 FCIC Retreat Part 1
- y.  100603 FCIC Retreat Part 1 - Transcript 1st 90 mins
- z.  100603 FCIC Retreat Part 2
- aa.  100603 FCIC Retreat Part 2
- ab.  100604 FCIC Retreat Part 1
- ac.  100611\_WORKING GROUP MTG - Derivatives.1
- ad.  100615 FCIC Business Meeting - Recording started 15 minutes into mtg
- ae.  100623\_WORKING GROUP MTG - Derivatives
- af.  100713 FCIC Business Meeting
- ag.  100728 FCIC Retreat - Housing Discussion 28 Partial Transcript FCIC
- ah.  100728\_FCIC Retreat\_Day 1\_Part 1
- ai.  100728\_FCIC Retreat\_Day 1\_Part 2
- aj.  100729\_FCIC Retreat\_Day 2\_Part 1
- ak.  100729\_FCIC Retreat\_Day 2\_Part 2
- al.  100817 FCIC Telephonic Business Meeting
- am.  100901 Commission Retreat - Day 1
- an.  100902 - Commissioner Retreat - Day 2
- ao.  100903. Commission Meeting - Day 3
- ap.  100914 FCIC Business Meeting
- aq.  100928. Commission Retreat. Day 1
- ar.  100929. Commission Retreat. Day 2
- as.  101012 FCIC Business Meeting
- at.  101026. Commission Retreat.
- au.  101103. FCIC Meeting - Telephonic Retreat
- av.  101104. FCIC Business Meeting
- aw.  101117. FCIC Business Meeting (1)
- ax.  101117. FCIC Business Meeting (2)
- ay.  101117. FCIC Business Meeting (dup audio 2)
- az.  101206. FCIC Business Meeting (Part 1 of 2)
- ba.  101206. FCIC Business Meeting (Part 2 of 2)
- bb.  101207. FCIC Meeting
- bc.  101215. FCIC Business Meeting
- bd.  101223. FCIC Report Meeting

- be.  110106 FCIC Business Meeting
- bf.  110124. FCIC Business Meeting
- bg.  110129. FCIC Business Meeting
- bh.  20110209. FCIC Concluding Meeting
- 4.  Business Meeting and Retreat Agendas
  - a.  2009\_08 (August 19 Business Meeting)
    - 1.  2009-08-19. Archive - Final FCIC Business Meeting Agenda with attachments
    - 2.  Agenda Item 10 - Future Meetings
    - 3.  Agenda Item 3. Commission Rules and Procedures
    - 4.  Agenda Item 5. Delegation to Execute Agreements.2
    - 5.  Agenda Item 7. Proposed Motion
    - 6.  Agenda Item 8 - Travel etc memo
    - 7.  Agenda Item 8. Travel, Per Diem, Compensation
    - 8.  Agenda Item 9 - Sept Meeting
    - 9.  Blake Chisam Memo. Pages from Archive - Final FCIC Agenda with attachments - August 19, 2009  
Telecon
    - 10.  FCIC Agenda and Attachments - Telecon on 8-19-09
    - 11.  Item 7 - Draft Motion and Ethics Memo
    - 12.  payroll-calendar-2009 pdf
    - 13.  Roster and Vote Count
  - b.  2009\_09 (Sept 16-17 - Business Mtg & Public Mtg)
    - 1.  2009-09-16. Archives. FCIC Business Mtg Agenda
    - 2.  2009-09-17. Archives. FCIC Agenda for Public Meeting
    - 3.  8-19-09\_FCIC Minutes
    - 4.  9-16 \_draft ethics rules.memo.Blodgett
    - 5.  9-16 Agenda for Closed Meeting of Financial Crisis Inquiry Commission
    - 6.  9-17 Agenda for Meeting of Financial Crisis Inquiry Commission
    - 7.  Commissioner Statements\_ 9-17-09.fcic
    - 8.  Email Background - Federal Register notice.9-17-09 mtg
    - 9.  FCIC Rules of Procedure.8-26-09
    - 10.  FCIC\_Minutes\_Dinner\_ 9-16-09 TG 1
    - 11.  FCIC\_Public Notice in Fed Reg\_Sptember
    - 12.  Federal Register notice.9-17-09 mtg
    - 13.  Financial Crisis Inquiry Commission Notice of Public Hearing
    - 14.  Transcript\_ 9-17-09.fcic
  - c.  2009\_10 (October 20 Business Mtg and Roundtables)
    - 1.  10-20-09 Agenda for Closed Meeting of Financial Crisis Inquiry Commission
    - 2.  10-20-09 Agenda for Closed Meeting of Financial Crisis Inquiry Commission
    - 3.  2009-10-20. Archives. FCIC Agenda for Closed Meeting of Financial Crisis Inquiry Commission
    - 4.  Action Items from FCIC Meeting of 10-20-2009
    - 5.  Agenda Item 8. 10-20-09 Meeting \_FCIC\_Preliminary Work Plan
    - 6.  Agenda Item 8. 10-20-09 Meeting \_FCIC\_Preliminary Work Plan.pdf
    - 7.  FCIC Roundtable - October 20 2009 (4)
    - 8.  GKn notes
    - 9.  Minutes for FCIC Hearing Sept 17
    - 10.  notes. 10-20-09 Agenda for Closed Meeting of Financial Crisis Inquiry Commission
    - 11.  Roster and Vote Count
  - d.  2009\_11 (Nov 16 Dinner and Nov 17 Meeting-Sessions)
    - 1.  10. Transcript\_ Closed Session Meeting with Bernanke\_nov 17 2009
    - 2.  11-17-09 Agenda for Closed Meeting of Financial Crisis Inquiry Commission
    - 3.  11-17-09 Agenda for Closed Meeting of Financial Crisis Inquiry Commission
    - 4.  9. Transcript\_ Closed Session Meeting with Geithner\_Nov 17 2009
    - 5.  geithner\_-\_treasury
    - 6.  Packet for Nov 16 Dinner
  - e.  2009\_12 (December 14 FCIC Business Meeting)
    - 1.  12-14-09\_Agenda\_for\_Business\_Meeting\_of\_Financial\_Crisis\_Inquiry\_Commission[1]



























































2. Draft Meeting Minutes\_11 17 09 (2)
- f. 2010\_01 (January)
  1. 1-20-09\_Agenda\_for\_Business\_Meeting\_of\_Financial\_Crisis\_Inquiry\_Commission[1]
  2. 1-20-09\_Agenda\_for\_Business\_Meeting\_of\_Financial\_Crisis\_Inquiry\_Commission[2]
  3. 1-20-09\_Agenda\_for\_Business\_Meeting\_of\_Financial\_Crisis\_Inquiry\_Commission[3]
  4. 1-20-09\_Agenda\_for\_Business\_Meeting\_of\_Financial\_Crisis\_Inquiry\_Commission[4]
  5. 1-20-09\_Agenda\_for\_Business\_Meeting\_of\_Financial\_Crisis\_Inquiry\_Commission[5]
  6. Agenda item 13. Legislative Update for Jan 2010 meeting
  7. Agenda item 9. Revised Ethics Guidelines for Commissioners for Jan 2010 meeting
  8. Agenda item 9. Revised Ethics Guidelines for Commissioners for Jan 2010 meeting
  9. Ltr from House Ethics - Delegation of Authority for FCIC\_Approved. 12-31-2009
  10. Notes.Agenda for1-20-10 Financial Crisis Inquiry Commission Telephonic Business Meeting of
- g. 2010\_02 (February)
  1. 2-17-09\_Agenda\_for\_Business\_Meeting\_of\_Financial\_Crisis\_Inquiry\_Commission[1]
  2. Feb\_Leg\_Update[1]
- h. 2010\_03 (March)
  1. 3-16-09\_Agenda\_for\_Business\_Meeting\_of\_Financial\_Crisis\_Inquiry\_Commission[1]
  2. Delegation of Authority - renew in March 2010
- i. 2010\_04 (April)
  1. 4-20-2010\_Agenda\_for\_Business\_Meeting\_of\_Financial\_Crisis\_Inquiry\_Commission[1]
  2. GKN NOTES.4-20-2010\_Agenda\_for\_Business\_Meeting\_of\_Financial\_Crisis\_Inquiry\_Commission[1]
- j. 2010\_05 (May)
  1. 5-18-2010\_Agenda\_for\_Business\_Meeting\_of\_Financial\_Crisis\_Inquiry\_Commission[1]
  2. GKN Notes. 5-18-2010\_Agenda\_for\_Business\_Meeting\_of\_Financial\_Crisis\_Inquiry\_Commission[1]
- k. 2010\_06 (June 3-4 RETREAT)
  1. 19 points. June Retreat. big picture ideas
  2. Agenda for Financial Crisis Inquiry Commission Retreat (4)
  3. GKN Notes for Financial Crisis Inquiry Commission Retreat (4)
  4. GKN Notes for Financial Crisis Inquiry Commission Retreat (DAY 2)
- l. 2010\_06 (June)
  1. 6-15-10. Agenda Items 8 and 9 - Outline and Process for Review and Calendar
  2. 6-15-2010\_Agenda\_for\_Business\_Meeting\_of\_Financial\_Crisis\_Inquiry\_Commission[1]
  3. GKN Notes. 6-15-2010\_Agenda\_for\_Business\_Meeting\_of\_Financial\_Crisis\_Inquiry\_Commission[1]
- m. 2010\_07 (July)
  1. 7-13-2010\_Agenda\_for\_Business\_Meeting\_of\_Financial\_Crisis\_Inquiry\_Commission[1]
  2. Addendum. FCIC Process\_for\_Review\_and\_Approval\_of\_the\_Report\_by\_the\_Commission June 28 redline to Ju
  3. Addendum. FCIC Report outline 6 28 redline to 6 14
  4. Davis Polk Financial Reform Summary
  5. Davis Polk Regulatory Implementation Slides
- n. 2010\_07 July (RETREAT)
  1. Agenda for Financial Crisis Inquiry Commission Retreat - 7-28 and 7-29
  2. DHE\_BB\_PW\_HM\_WMT\_PA\_KH. ByronG
  3. DHE\_BB\_PW\_HM\_WMT\_PA\_KH. ByronG
  4. GKN Notes - Agenda for Financial Crisis Inquiry Commission Retreat - 7-28 and 7-29
  5. GKN Notes. Level 1 outline
  6. GKN Notes.DHE\_BB\_PW\_HM\_WMT\_PA\_KH. ByronG
- o. 2010\_08 (August)
  1. 8-17-2010\_Agenda\_for\_Business\_Meeting\_of\_Financial\_Crisis\_Inquiry\_Commission[1]
- p. 2010\_09 (September 1-3) (RETREAT)
  1. Agenda for Financial Crisis Inquiry Commission Hearing on TBTF and Retreat (3)
- q. 2010\_09 (September 28-29) (RETREAT)
  1. Agenda for Financial Crisis Inquiry Commission Retreat 9-28 and 9-29
  2. Attachment 1. Proposed Framework for Discussion for Commissioners
  3. Attachment 2. Outline for September 1-3
  4. Attachment 3. 2010-09-01 Commissioners Meeting - Comments on Draft Areas of Discussion
  5. Attachment 4. 2010-9-4 Derivatives Takeaways

6.  Attachment 5. 2010-09-26 section III through SIVs to commissioners
7.  Attachment 6. Draft\_timeline\_-\_2008 to commissioners
8.  GKN Notes. Agenda for Financial Crisis Inquiry Commission Retreat 9-28 and 9-29
9.  Notes Taken at 9-28-10 Retreat Meeting by Wendy
- r.  2010\_09 (September)
  1.  9-14-2010\_Agenda\_for\_Business\_Meeting\_of\_Financial\_Crisis\_Inquiry\_Commission (1)
  2.  FCIC Delegation to Execute Agreements and Contracts - Exp Sept. 30th
  3.  GKN Notes. 9-14-2010\_Agenda\_for\_Business\_Meeting\_of\_Financial\_Crisis\_Inquiry\_Commission (1)
- s.  2010\_10 (October 26-27 RETREAT)
  1.  Agenda for Financial Crisis Inquiry Commission Retreat 10-26 and 10-27
  2.  Agenda Item 3 - Minutes of 10-12-2010 Meeting
- t.  2010\_10 (October)
  1.  10-12-2010\_Agenda\_for\_Business\_Meeting\_of\_Financial\_Crisis\_Inquiry\_Commission
  2.  Agenda Item 9 - Clayton and Moodys Letters -2
  3.  GKN Notes. 10-12-2010\_Agenda\_for\_Business\_Meeting\_of\_Financial\_Crisis\_Inquiry\_Commission
- u.  2010\_11 (November 17th Business Meeting)
  1.  Agenda for Financial Crisis Inquiry Commission Retreat 11-17
  2.  Agenda Item 3 - Attachment - Approved Amendment to Commission Rules\_1\_
  3.  GKN Notes. Revised Agenda for Financial Crisis Inquiry Commission Meeting 11-17-2010
  4.  GKN Notes. Revised Agenda for Financial Crisis Inquiry Commission Meeting 11-17-2010
  5.  Not Approved. Agenda for Financial Crisis Inquiry Commission Retreat 11-18 thru 11-19
  6.  Revised Agenda for Financial Crisis Inquiry Commission Meeting 11-17-2010
- v.  2010\_11 (November 3-4 RETREAT and Business Mtg)
  1.  10-26-2010. Chairman Angelides Preliminary Outline - Findings
  2.  Agenda for Financial Crisis Inquiry Commission Retreat 11-3 thru 11-5
  3.  Agenda Item 3 - Minutes of 10-12-2010 Meeting
  4.  Agenda Item 4 - Amendment to Commission Rules
  5.  GKN Notes. 10-26-2010. Chairman Angelides Preliminary Outline - Findings
  6.  GKN Notes. REVISED Agenda for Financial Crisis Inquiry Commission Retreat 11-3
  7.  GKN Notes. REVISED Agenda for Financial Crisis Inquiry Commission Retreat 11-3 thru 11-5
  8.  GKN Notes. REVISED Agenda for Financial Crisis Inquiry Commission. Business Meeting. 11-4
  9.  GKN Notes. Vice Chairman's Mark Discussion Draft 11 02 2010 final
  10.  REVISED Agenda for Financial Crisis Inquiry Commission Retreat 11-3 thru 11-5
  11.  Vice Chairman's Mark Discussion Draft 11 02 2010 final
- w.  2010\_12 (December 15 and 16)
  1.  Agenda for Financial Crisis Inquiry Commission Meeting 12-15 and 12-16-2010 (1)
  2.  Agenda Item 3 for FCIC Meeting 12-15-2010. Minutes for Approval
  3.  Agenda Item 4 for FCIC Meeting 12-15-2010. Minutes for Approval
  4.  GKN Notes. Agenda for Financial Crisis Inquiry Commission Meeting 12-15 and 12-16-2010 (1)
- x.  2010\_12 (December 22 and 23)
  1.  2010-12-15 - Full FCIC Report
  2.  Agenda for Financial Crisis Inquiry Commission Meeting 12-22-2010
  3.  Agenda for Financial Crisis Inquiry Commission Meeting 12-23-2010
  4.  Agenda for Financial Crisis Inquiry Commission Meeting 12-23-2010- OLD
  5.  Agenda item 7 for FCIC Mtg on 12-22-2010. Memorandum Regarding Quotation and Interview Objections(2)
  6.  GKN NOTES. Agenda for Financial Crisis Inquiry Commission Meeting 12-23-2010
- y.  2010\_12 (December 6 and 7)
  1.  Agenda for Financial Crisis Inquiry Commission Meeting 12-6 and 12-7-2010
  2.  Agenda Item 4 - Materials for Chairman-Vice Chairmans Report
  3.  Agenda Item 6. Revised Procedures for Additional or Dissenting Views (2)
  4.  GKN Notes. Agenda for Financial Crisis Inquiry Commission Meeting 12-6 and 12-7-2010
- z.  2011\_01 (January 24, 2011 - Business Mtg)
  1.  Agenda for Financial Crisis Inquiry Commission Meeting 1-24-2011
  2.  Agenda Item 7a. FCIC Beta Site 1 Abridged
  3.  Agenda Item 7b. FCIC Beta Site 2 Abridged
  4.  GKN NOTES. Agenda for Financial Crisis Inquiry Commission Meeting 1-24-2011










































- aa. 2011\_01 (January 29, 2011 - Business Mtg)
  1. 2010-07-27 DEI to Angelides-FCIC - request info due 8-10
  2. 2011-01-25 DEI to Angelides-FCIC - Document Request due 1-31
  3. Agenda for Financial Crisis Inquiry Commission Meeting 1-29-2011
  4. GKN Notes. Agenda for Financial Crisis Inquiry Commission Meeting 1-29-2011
  5. January 2011 Written Consent
- ab. 2011\_01 (January 6, 2011 - Business-Report Mtg)
  1. Agenda for Financial Crisis Inquiry Commission Meeting 1-6-2011
  2. GKN Notes. Agenda for Financial Crisis Inquiry Commission Meeting 1-6-2011
- ac. 2011\_02 (Feb 9th Business-Concluding Meeting)
  1. Agenda for Financial Crisis Inquiry Commission Meeting 2-9-2011
  2. Agenda Item 3 - Attachment 3. FCIC Beta Site 1 Abridged
  3. Agenda Item 3 - Attachment 4. FCIC Beta Site 2 Abridged
  4. GKN Notes. Agenda for Financial Crisis Inquiry Commission Meeting 2-9-2011
  5. Hennessey vote on Action Items of 2-9-2011 Concluding Meeting
  6. Holtz-Eakin vote on Action Items of 2-9-2011 Concluding Meeting
  7. Supplemental Background. 2010-03-19 K Dubas memo re interview with 36CFR1256.56: Privacy
  8. Supplemental Background. Transcript of Interview with Dick Bowen 2010-02-27
  9. Wallison vote on Action Items of 2-9-2011 Concluding Meeting
- ad. Attendance
- 5. Commission Docs
  - a. Financial Disclosure
    1. Email - Financial Disclosure form and due date
  - b. Commissioner Working Groups as of 2-11-2010
  - c. Commissioner\_Working\_Groups\_with\_staff\_point\_person[1]
  - d. FCIC\_Email\_11-17-09
  - e. FCIC\_omnibus\_2-16-10
  - f. Future\_FCIC\_Meetings\_Hearings\_Original v New\_2010-05-11 (GKN)
  - g. Proposed\_Commissioner\_Working\_Groups[1]
- 6. Correspondence
  - a. Correspondence Received
    1. 2010-01-21\_Congressmembers Sterns and Israel\_FCIC request - pre-report on June 15
    2. 2010-01-27\_Congressmember Delahunt. Rec of A.Leahy
  - b. 2010-1-27 Thank you ltr to Rangle - Hearing Room
  - c. 2010-1-29\_Authorization\_Ltr\_for\_GSA\_furniture\_&\_equipment[1]
  - d. 2010-2-04 Thank you ltr to Delahunt - Rec of Aidan Leahy
  - e. 2010-2-19 Thank you ltr to Jonathan Johnson.Overstock.com
  - f. 2010-4-14 Thank you ltr to Waxman - Hearing Room
  - g. Ltr to Chair Rangel. TY for 1100 Longworth
  - h. Ltr to Cong. Delahunt. TY for rec of Aidan Leheay
  - i. TY Letter to Dean Grossman - WCL - Forum\_ 3-2-2010
- 7. GKN Notes
  - a. Retreat
  - b. 12-15-2010. Republican FCIC Primer
  - c. 2010-09-29. Meeting Notes
  - d. 2010-11-01. Meeting Notes
  - e. 2010-11-03. Meeting Notes
  - f. 2010-11-30. Meeting Notes
  - g. 2011-01-13. Rollout Meeting
  - h. 6-25-2010. Excess Risk Working Group Mtg. ERS Research and Investigative Plan\_2010-06-24 (2)
  - i. 7-21-2010. GKN Notes. section I outline mtg with PA and BT
  - j. 7-21-2010. GKN Notes. section I outline mtg with PA and BT
  - k. Book Highlights
    - l. Book Highlights Doc (2)
  - m. Book Outline Meeting Notes 6-8-2010
  - n. Draft Table of Contents - All

- o. final commission meetings grid
  - p. GKN Notes 12-6-2010
  - q. GKN Notes. PA Causes of and contributors to crisis 9-27-10
  - r. initial commission meetings grid
  - s. Notes - Delegation of the European Parliament CRISIS Committee Meeting 6-8-10
  - t. Proposed Framework for Discussion for Commissioners (GKN notes)
  - u. Schedule of Report Review Dates
8. Hearings
- a. Current Takeaways
    - 1. 2010-9-4 Derivatives Takeaways
    - 2. takeaways from housing research and investigative plan (8-25)
    - 3. takeaways\_from\_credit\_rating\_agencies\_research\_and\_investigation\_plan\_8\_25
    - 4. takeaways\_from\_shadow\_banking\_research\_and\_investigative\_plan\_7\_22
  - b. Derivatives - June 2 and 3
    - 1. Misc Docs - Derivatives
      - a. 6-27-2010 Working Group Mtg - GKN Notes. 2010-06-27 Derivatives Hearing Questions
      - b. AIG CDO Portfolio Concentrations
      - c. AIG Executive Compensation
      - d. AIG Executive Compensation
      - e. Collateral Call Timeline - for the record
      - f. Collateral Calls by GS on AIGFP CDS - Totals
      - g. CONFIDENTIAL Collateral Call Timeline for internal use only
      - h. Derivatives Hearing Briefing Session
      - i. FOR HEARING AIG Risk Management
      - j. FOR HEARING Summary of AIG 12-5-07 Statements
      - k. Goldmans use of Derivatives
      - l. List of AIG executives who did know AIG contracts contained a mark
      - m. Notes - Working Group Meeting Derivatives \_2010-06-11
      - n. Notes - Working Group Meeting Derivatives \_2010-06-23
      - o. TIMELINE - Minute by Minute Agenda for Financial Crisis Inquiry Commission Hearing on
      - p. Winners and Losers in Abacus 2004 v2
    - 2. derivatives\_hearing\_day\_one\_transcripts- MARK UP
    - 3. derivatives\_hearing\_day\_two\_transcript- MARK UP
    - 4. FCIC Derivatives\_hearing\_day\_one\_transcript\_FINAL
    - 5. FCIC Derivatives\_hearing\_day\_two\_transcript\_FINAL
  - c. February 2010 Forum
    - 1. Old Versions - Forum Materials
      - a. 2-26-27-2010\_Agenda\_for\_Forum to Explore Causes of Finacial Crisis[1]
      - b. 2-26-27-2010\_Agenda\_for\_Forum\_to\_Explore\_Causes\_of\_Finacial\_Crisis\_v3[1]
      - c. Media\_Advisory\_2.9.10[1]
    - 2. PowerPoint Presentations
      - a. 1. Kroszner FCIC Feb 2010
      - b. 2. Gouinchas
      - c. 3. Geanakoplos.financial crisis inquiry committee congress feb 2010
      - d. 4. Lusardi
      - e. 5. Mayer-FCIC-LessonsLearned-02-27-2010-Mayer
      - f. 6. Jaffee.DJFCICFeb25
      - g. 7. Brunnermeier\_FCIC\_Brunnermeier
      - h. 8.Kashyap.fcic
    - 3. 2-26-27-2010\_Agenda\_for\_Forum to Explore Causes of Finacial Crisis[final]
    - 4. forum\_binder (appendix)\_ distributed to Commission
    - 5. forum\_binder\_distributed to Commissioners
    - 6. Media\_Advisory\_2.10.10
    - 7. public\_agenda[1]
  - d. Field Hearings
    - 1. Bakersfield
      - a. Highlights from the Bakersfield Field Hearing
























































2.  Las Vegas
  - a.  FACILITIES.AV.Equipment for Las Vegas Hearing
  - b.  FCIC Las Vegas Field Hearing 9-8.2010-TIMELINE
  - c.  Georgiou and Murren - field hearing - conf call notes\_7-12 2010-
  - d.  Highlights from the Nevada Field Hearing
  - e.  Las Vegas Field Hearing 090810 invite list (2)
  - f.  Las Vegas Hearing Outline 071410
  - g.  Las Vegas Hearing Outline 080510
  - h.  Las Vegas Hearing Outline 081210
  - i.  Las Vegas Hearing. Background Info and Check on Proposed Panelists - 8-12-2010
3.  Miami Field Hearing - Graham
  - a.  Graham - field hearing - conf call notes\_7-9 2010-
  - b.  Miami Field Hearing Follow-Up Questions
  - c.  Miami Field Hearing Interesting Quotes
  - d.  Miami Field Hearing Transcript with Notations
  - e.  Mortgage Fraud Report as of May 12 2010
  - f.  Mortgage Fraud Report\_7-22-2010
4.  Misc docs
  - a.  ~\$kersfield Field hearing Transcript FINAL
  - b.  ~\$nancial Crisis Inquiry Commission field hearings memo\_7-2.2010-
  - c.  Bakersfield Field Hearing Follow-Up Questions and Items for the Record
  - d.  Bakersfield Field hearing Transcript FINAL
  - e.  Bakersfield Field hearing Transcript with Notations
  - f.  FCIC - WORKING EAA TIMELINE (2)
  - g.  Financial Crisis Inquiry Commission field hearings memo\_6.15.2010-
  - h.  Financial Crisis Inquiry Commission field hearings memo\_7-2.2010-
  - i.  Financial Crisis Inquiry Commission hearing 6.2.1010-FACILITY MEMO-FCIC
  - j.  Las Vegas Field Hearing Follow-Up Questions
  - k.  Las Vegas Field Hearing Interesting Quotes
  - l.  Las Vegas Field Hearing Items For the Record
  - m.  Las Vegas Field Hearing Transcript - FINAL
  - n.  Las Vegas Field Hearing Transcript with Notations
  - o.  Miami Field Hearing Transcript FINAL
  - p.  Sacramento Field Hearing Follow-Up Questions
  - q.  Sacramento Field Hearing Interesting Quotes
  - r.  Sacramento Field Hearing Items For the Record
  - s.  Sacramento Field Hearing Transcript
  - t.  Sacramento Field Hearing Transcript FINAL
  - u.  Thank You Letters - Las Vegas Hearing
5.  Sacramento
  - a.  Sacramento Field Hearing Follow-Up Questions
  - b.  Sacramento Field Hearing Interesting Quotes
  - c.  Sacramento Field Hearing Items For the Record
  - d.  Sacramento Field Hearing Transcript FINAL
  - e.  Sacramento Field Hearing Transcript with notations
6.  Financial Crisis Inquiry Commission field hearings memo\_7-8.2010-
- e.  Hearing 1
  1.  1-10-2009 DRAFT Q & A
  2.  1-10-2009 DRAFT Q & A
  3.  2009-12-28\_Hearing\_One\_Briefing\_Packet\_Outline\_(3)
  4.  2010-1-4\_Hearing\_One\_Briefing\_Agenda
  5.  Blankfein\_Mack\_Quotes
  6.  Exhibits from 1-11-10 FCIC Confidential Draft Briefing Binder - PART 1 OF 2
  7.  Exhibits from 1-11-10 FCIC Confidential Draft Briefing Binder - PART 1 OF 2
  8.  Exhibits from 1-11-10 FCIC Confidential Draft Briefing Binder2
  9.  Exhibits from 1-11-10 FCIC Confidential Draft Briefing Binder2
























































10. Hearing 1 Logistics
  11. hearing\_1\_memo\_followup\_8\_18
  12. Immelt Speech-12-9-09
  13. Pages from FCIC Confidential Draft Briefing Binder - PART 2pdf
  14. Pages from FCIC Confidential Draft Briefing Binder - PART 2pdf
  15. Responsibilities Quotes
- f. Hearing 2-3
1. Briefing Binder
    - a. OUTLINE - Briefing books for Commissioners (3-29-2010)
  2. Agenda and Witness List for Financial Crisis Inquiry Commission Hearing April 7-9\_2010
  3. Agenda for Financial Crisis Inquiry Commission 4-6-2010 Briefing Meeting (3)
  4. DRAFT Timeline for Witnesses for Financial Crisis Inquiry Commission Hearing on
  5. FCIC Fax Cover Sheet\_ Longworth 1100 Room Request for Feb and Mar
  6. FCIC\_Investigative\_Findings\_OCC\_Preemption\_DRAFT
  7. H23+ Staff Work Plan\_5-25-2010
  8. Hearing\_April 7-9\_Logistics\_for\_Commissioners
  9. Housing\_Briefing\_Plan\_1\_25
  10. PSR - GSEs and the Financial Crisis
  11. Research\_Investigative\_Plan\_for\_Housing\_1\_25
  12. Staff takeaways H2\_3\_PA Takeaways\_Notes from WG Mtg of 5-25-2010 (2)
  13. TIMELINE - Minute by Minute Agenda for Financial Crisis Inquiry Commission Hearing on
- g. Hearing 4
1. ~\$MELINE - Minute by Minute Agenda for Financial Crisis Inquiry Commission Hearing on
  2. 2010-05-05 FCIC HEARING 4 TRANSCRIPT [DAY ONE] FINAL
  3. 2010-05-05 FCIC HEARING 4 TRANSCRIPT [DAY ONE] FINAL
  4. 2010-05-06 HEARING 4 TRANSCRIPT [DAY TWO] FINAL
  5. 2010-05-06 HEARING 4 TRANSCRIPT DAY TWO (2)
  6. 7-6-2010 NOTES. Shadow Banking Working Group Meeting
  7. 7-6-2010 Staff Takeaways
  8. DRAFT WITNESS TIMELINE - Financial Crisis Inquiry Commission Hearing on May 5 and 6
  9. Follow-Up Commissioner Rogs from Hearing 4\_2010-06-17
  10. TIMELINE - Minute by Minute Agenda for Financial Crisis Inquiry Commission Hearing on
- h. Hearing 5a - Credit Ratings
1. Hearing Photos
    - a. \_78C1502
    - b. \_78C1518
    - c. \_78C1526
    - d. \_78C1532
    - e. \_78C1543
    - f. \_78C1545
    - g. \_78C1546
    - h. \_78C1557
    - i. \_78C1559
    - j. \_78C1564
    - k. \_78C1567
    - l. \_78C1572
    - m. \_78C1579
    - n. \_78C1590
    - o. \_78C1600
    - p. \_78C1631
    - q. \_78C1644
    - r. \_78C1671
    - s. \_78C1674
    - t. \_78C1688
    - u. \_78C1707
    - v. \_78C1717


















- w. \_78C1735
- x. \_78C1749
- y. \_78C1776
- z. \_78C1788
- aa. IMG\_9402
- ab. IMG\_9410
- ac. IMG\_9413
- ad. IMG\_9425
- ae. IMG\_9428
- af. IMG\_9443
- ag. IMG\_9451
- ah. IMG\_9453
- ai. IMG\_9473
- aj. IMG\_9478
- ak. IMG\_9502
- al. IMG\_9505
- am. IMG\_9510
- an. IMG\_9536
- ao. IMG\_9539
- ap. IMG\_9541
- aq. IMG\_9547
- ar. IMG\_9556
- as. IMG\_9588
- at. IMG\_9603
- au. IMG\_9620
- 2. ~\$10-06-02 HEARING 5A TRANSCRIPT
- 3. 2010-06-02 HEARING 5A TRANSCRIPT
- 4. 6-1-2010 GKN Notes\_Credit Rating Briefing Session
- 5. Credit Rating Agencies H5a Follow-up Questions\_FINAL\_2010-06-21
- 6. GKN Notes - Working Group Meeting Credit Ratings 7-8-2010
- 7. TIMELINE - Minute by Minute Agenda for Financial Crisis Inquiry Commission Hearing on
- i. Notice
  - 1. ~\$IC\_Public Notice\_Hearing of January 13 and 14\_2010
  - 2. ~\$IC\_Public Notice\_Hearing of January 13 and 14\_2010\_Website
  - 3. 1-7-2010\_FCIC\_Letter\_to Federal Registrar designating GKN as certifying official
  - 4. FCIC Packet - Fed Reg - Public Notice. September 1\_2 Hearing
  - 5. FCIC\_Certification Letter - April Hearing
  - 6. FCIC\_Certification Letter - Feb Forum
  - 7. FCIC\_Certification Letter - Jan Mtg
  - 8. FCIC\_Certification Letter - September Hearing
  - 9. FCIC\_Emergency\_Request - Feb. Forum
  - 10. FCIC\_Emergency\_Request - Jan Mtg
  - 11. FCIC\_Emergency\_Request1
  - 12. FCIC\_Federal Registrar\_PKG\_Public Notice\_Hearing of January 13 and 14\_2010\_website
  - 13. FCIC\_Public Notice\_Forum of February 26\_27\_2010
  - 14. FCIC\_Public Notice\_Forum of February 26\_27\_2010
  - 15. FCIC\_Public Notice\_Hearing of April 7-8\_9\_2010
  - 16. FCIC\_Public Notice\_Hearing of April 7-8\_9\_2010
  - 17. FCIC\_Public Notice\_Hearing of January 13 and 14\_2010
  - 18. FCIC\_Public Notice\_Hearing of January 13 and 14\_2010
  - 19. FCIC\_Public Notice\_Hearing of January 13 and 14\_2010\_website
  - 20. FCIC\_Public Notice\_Hearing of January 13 and 14\_2010\_Website
  - 21. FCIC\_Public Notice\_Hearing of September 1\_2\_2010
  - 22. FCIC\_Public Notice\_Hearing of September 17 2009
  - 23. FCIC\_Public Notice\_Hearing of Septemberr 1\_2\_2010






24.  FCIC-package-GPO-Fed Reg.2-25-2010
25.  Federal Registrar - Directions to Publish
- j.  Press Event to Present the Report - 01-27-2011
  1.  Sager Photos of 1-27 FCIC Event - Report Release
    - a.  Sager\_001
    - b.  Sager\_002
    - c.  Sager\_003
    - d.  Sager\_004
    - e.  Sager\_005
    - f.  Sager\_006
    - g.  Sager\_007
    - h.  Sager\_008
    - i.  Sager\_009
    - j.  Sager\_010
    - k.  Sager\_011
    - l.  Sager\_012
    - m.  Sager\_013
    - n.  Sager\_014
    - o.  Sager\_015
    - p.  Sager\_016
    - q.  Sager\_017
    - r.  Sager\_018
    - s.  Sager\_019
    - t.  Sager\_020
    - u.  Sager\_021
    - v.  Sager\_022
    - w.  Sager\_023
    - x.  Sager\_024
    - y.  Sager\_025
    - z.  Sager\_026
    - aa.  Sager\_027
    - ab.  Sager\_028
    - ac.  Sager\_029
    - ad.  Sager\_030
    - ae.  Sager\_031
    - af.  Sager\_032
    - ag.  Sager\_033
    - ah.  Sager\_034
    - ai.  Sager\_035
    - aj.  Sager\_036
    - ak.  Sager\_037
    - al.  Sager\_038
    - am.  Sager\_039
    - an.  Sager\_040
    - ao.  Sager\_041
    - ap.  Sager\_042
    - aq.  Sager\_043
    - ar.  Sager\_045
    - as.  Sager\_046
    - at.  Sager\_048
    - au.  Sager\_049
    - av.  Sager\_050
    - aw.  Sager\_051
    - ax.  Sager\_052
    - ay.  Sager\_053
    - az.  Sager\_054



















- ba.  Sager\_055
- bb.  Sager\_056
- bc.  Sager\_057
- bd.  Sager\_058
- be.  Sager\_059
- bf.  Sager\_060
- bg.  Sager\_061
- bh.  Sager\_062
- bi.  Sager\_063
- bj.  Sager\_064
- bk.  Sager\_065
- bl.  Sager\_066
- bm.  Sager\_067
- bn.  Sager\_068
- bo.  Sager\_069
- bp.  Sager\_070
- bq.  Sager\_071
- br.  Sager\_072
- bs.  Sager\_073
- bt.  Sager\_074
- bu.  Sager\_075
- bv.  Sager\_076
- bw.  Sager\_077
- bx.  Sager\_078
- by.  Sager\_079
- bz.  Sager\_080
- ca.  Sager\_081
- cb.  Sager\_082
- cc.  Sager\_083
- cd.  Sager\_084
- ce.  Sager\_085
- cf.  Sager\_086
- cg.  Sager\_087
- ch.  Sager\_088
- ci.  Sager\_089
- cj.  Sager\_090
- ck.  Sager\_091
- cl.  Sager\_092
- cm.  Sager\_093
- cn.  Sager\_094
- co.  Sager\_095
- cp.  Sager\_096
- cq.  Sager\_097
- cr.  Sager\_098
- cs.  Sager\_099
- ct.  Sager\_100
- cu.  Sager\_101
- cv.  Sager\_102
- cw.  Sager\_103
- cx.  Sager\_104
- cy.  Sager\_105
- cz.  Sager\_106
- da.  Sager\_107
- db.  Sager\_108
- dc.  Sager\_109

dd.  Sager\_110  
de.  Sager\_111  
df.  Sager\_112  
dg.  Sager\_113  
dh.  Sager\_114  
di.  Sager\_115  
dj.  Sager\_116  
dk.  Sager\_117  
dl.  Sager\_118  
dm.  Sager\_119  
dn.  Sager\_120  
do.  Sager\_121  
dp.  Sager\_122  
dq.  Sager\_123  
dr.  Sager\_124  
ds.  Sager\_125  
dt.  Sager\_126  
du.  Sager\_127  
dv.  Sager\_128  
dw.  Sager\_129  
dx.  Sager\_130  
dy.  Sager\_131  
dz.  Sager\_132  
ea.  Sager\_133  
eb.  Sager\_134  
ec.  Sager\_135  
ed.  Sager\_136  
ee.  Sager\_137  
ef.  Sager\_138  
eg.  Sager\_139  
eh.  Sager\_140  
ei.  Sager\_141  
ej.  Sager\_142  
ek.  Sager\_143  
el.  Sager\_144  
em.  Sager\_145  
en.  Sager\_146  
eo.  Sager\_147  
ep.  Sager\_148  
eq.  Sager\_149  
er.  Sager\_150  
es.  Sager\_151  
et.  Sager\_152  
eu.  Sager\_153  
ev.  Sager\_154  
ew.  Sager\_155  
ex.  Sager\_156  
ey.  Sager\_157  
ez.  Sager\_158  
fa.  Sager\_159  
fb.  Sager\_161  
fc.  Sager\_162  
fd.  Sager\_163  
fe.  Sager\_164  
ff.  Sager\_165
















- fg.  Sager\_166
- fh.  Sager\_167
- fi.  Sager\_168
- fj.  Sager\_169
- fk.  Sager\_170
- fl.  Sager\_171
- fm.  Sager\_172
- fn.  Sager\_173
- fo.  Sager\_174
- fp.  Sager\_175
- fq.  Sager\_176
- fr.  Sager\_177
- fs.  Sager\_178
- ft.  Sager\_179
- fu.  Sager\_180
- fv.  Sager\_181
- fw.  Sager\_182

- 2.  Draft Announcement Call List
- 3.  FCIC Minute by Minute for 1-27-2011 Press Event
- 4.  FCIC Minute by Minute for 1-27-2011 Press Event - Commission
- 5.  FCIC\_PRESS\_CONFERENCE\_TRANSCRIPT\_012711
- 6.  FCIC\_PRESS\_CONFERENCE\_TRANSCRIPT\_012711
























































k.  Room Reservations
























































- 1.  1100\_LHOB\_Request[1]
- 2.  attachments
- 3.  Education & Labor Cmte Room Request Form
- 4.  FCIC Request\_Longworth 1100\_May and June 2010
- 5.  FCIC\_Committee on Energy and Commerce\_Room Request Form\_April 7-8-9
- 6.  FCIC\_Committee on Energy and Commerce\_Room Request Form\_May and June
- 7.  Financial Crisis Inquiry Commission hearing 6.2.1010-ARNHOLD HALL-2nd FLOOR floorplan-v2-FINAL
- 8.  Financial Crisis Inquiry Commission hearing 6.2.1010-FACILITY MEMO-FCIC
- 9.  Financial Crisis Inquiry Commission hearing 6.2.1010-press areas
- 10.  Financial Crisis Inquiry Commission hearing 6.2.1010-TIMELINE
- 11.  Homeland Security Cmte Room Request Form
- 12.  Longworth 1100 - Ways and Means - LAYOUT
- 13.  Longworth 1100 - Ways and Means - Revised\_Room\_Request\_Form-4\_30\_08
- 14.  Longworth 1100 - Ways and Means\_Rules\_for\_Committee\_Rooms
- 15.  New York Hotel
- 16.  VA Room Reservation Form

l.  Too Big To Fail

- 1.  ~\$enda for Financial Crisis Inquiry Commission Hearing on TBTF
- 2.  ~\$MELINE - Minute by Minute Agenda for Financial Crisis Inquiry Commission Hearing on TBTF
- 3.  6-25-2010. Working Group Meeting - GKN Notes -TBTF Research and Investigative Plan\_2010-06-24 (2)
- 4.  8-24-10. PA Notes\_Feedback. Draft of PSR on TBTF 8 211
- 5.  8-24-2010. Draft Summary of PIR on Wachovia2-PA Changes1 (2)
- 6.  8-25-2010. Draft Summary of PIR on Lehman-PA Changes1 (2)
- 7.  Agenda for Financial Crisis Inquiry Commission Hearing on TBTF and Retreat
- 8.  Angelides Opening Statement - TBTF 9-1-10 FINAL
- 9.  DRAFT Agenda for Witnesses of the Financial Crisis Inquiry Commission Hearing on TBTF and Retreat
- 10.  DRAFT Agenda for Witnesses of the Financial Crisis Inquiry Commission Hearing on TBTF and Retreat
- 11.  JUST TIMELINE - Minute by Minute Agenda for Financial Crisis Inquiry Commission Hearing on TBTF
- 12.  TBTF Hearing Transcript - Day 2 - FINAL
- 13.  TBTF Hearing Transcript - Day 2 - FINAL
- 14.  TBTF Hearing Transcript - Day 2 - MARK UP
- 15.  TBTF Hearing Transcript - Day 2 - MARK UP

16.  TBTF Hearing Transcript - Day One - FINAL
17.  TBTF Hearing Transcript - Day One - FINAL
18.  TBTF Hearing Transcript - Day One-MARK UP
19.  TBTF Hearing Transcript - Day One-MARK UP
20.  TIMELINE - Minute by Minute Agenda for Financial Crisis Inquiry Commission Hearing on TBTF
- m.  Oath for Swearing
- n.  Panelist logistics
- o.  Security at Hearings
9.  MISC or OLD
  - a.  2009
    1.  Press Briefings
      - a.  090716 FCIC Interview with CNBC Closing Bell. Maria Bartiromo
      - b.  090717 FCIC Interview with Bloomberg
      - c.  090720 FCIC Interview with Julie Kosterlitz - National Journal
      - d.  090721 FCIC Interview with Michael Hiltzik. LA Times Memo
      - e.  090721 FCIC Interview with Michael Hiltzik. LA Times Memo
      - f.  090721 FCIC Interview with Talking Points Memo
      - g.  090721 FCIC Interview with Talking Points Memo
      - h.  090723 FCIC Interview with Fox Business News
      - i.  090723 FCIC Interview with Fox Business News
      - j.  090724 FCIC Interview with Capitol Public Radio
      - k.  090724 FCIC Interview with Capitol Public Radio
      - l.  090724 FCIC Interview with Las Vegas Sun
      - m.  090724 FCIC Interview with Las Vegas Sun
      - n.  090728 FCIC Interview with New York Times. Teresa Twitch
      - o.  090728 FCIC Interview with New York Times. Teresa Twitch
      - p.  090730 FCIC Interview with KPPC
      - q.  090730 FCIC Interview with KPPC
      - r.  090812 FCIC Interview with Susan Cornwell.Rueters
      - s.  090812 FCIC Interview with Susan Cornwell.Rueters
      - t.  090909 FCIC Interview with Patt Morrison.LA Times
      - u.  090909 FCIC Interview with Patt Morrison.LA Times
      - v.  090914 FCIC Interview with Kai Ryssdal. NPR Marketplace
      - w.  090914 FCIC Interview with Kai Ryssdal. NPR Marketplace
      - x.  090916 FCIC Interview with DARren Gersh.PBS Nightly Business Report
      - y.  090916 FCIC Interview with DARren Gersh.PBS Nightly Business Report
      - z.  090918 FCIC Interview with FoxBiz
      - aa.  090918 FCIC Interview with FoxBiz
      - ab.  090918 FCIC Interview with KNX
      - ac.  090918 FCIC Interview with KNX
      - ad.  090923 FCIC Interview with KFWB
      - ae.  090923 FCIC Interview with KFWB
      - af.  091001 FCIC Interview with CNBC
      - ag.  091001 FCIC Interview with CNBC
      - ah.  091006 FCIC Interview with Tavis Smiley
      - ai.  091006 FCIC Interview with Tavis Smiley
      - aj.  091006 FCIC Interview with Tom Sullivan
      - ak.  091006 FCIC Interview with Tom Sullivan
      - al.  091009 FCIC Interview with ABC News Editorial
      - am.  091009 FCIC Interview with ABC News Editorial
      - an.  091116 FCIC Interview with Patt Morrison.KPCC-FM
      - ao.  091116 FCIC Interview with Patt Morrison.KPCC-FM
      - ap.  091118 FCIC Interview with Bloomberg TV, Margaret Brennan
      - aq.  091118 FCIC Interview with Bloomberg TV, Margaret Brennan
      - ar.  091207 FCIC Interview with Gretchen Morgenson, NYT
      - as.  091207 FCIC Interview with Gretchen Morgenson, NYT






















































at.  091208 Interview with Bloomberg News  
au.  091208 Interview with Huffington Post  
av.  091217 Angelides briefing memo WashPost  
aw.  091217 Angelides briefing memo WashPost  
ax.  091217 FCIC Interview with E.J. Dionne  
ay.  091217 FCIC Interview with E.J. Dionne  
az.  091409 FCIC Interview with KCBS Radio  
ba.  091409 FCIC Interview with KCBS Radio  
bb.  100104 FCIC Interview with Greg Ip, The Economist  
bc.  100104 FCIC Interview with Greg Ip, The Economist  
bd.  100107 FCIC Interview with Los Angeles Times  
be.  100107 FCIC Interview with Los Angeles Times  
bf.  100107 FCIC Interview with NPR, All Things Considered  
bg.  100107 FCIC Interview with NPR, All Things Considered  
bh.  100107 FCIC Interview with the Associated Press  
bi.  100107 FCIC Interview with the Associated Press  
bj.  100107 FCIC Interview with USA Today  
bk.  100107 FCIC Interview with USA Today  
bl.  100107 FCIC Interview with Washington Post  
bm.  100107 FCIC Interview with Washington Post  
bn.  100108 FCIC Interview with the Wall St. Journal  
bo.  100108 FCIC Interview with the Wall St. Journal  
bp.  100108 FCIC Interview with New York Times  
bq.  100108 FCIC Interview with New York Times  
br.  100110 FCIC Interview with William Cohan, NYT  
bs.  100110 FCIC Interview with William Cohan, NYT  
bt.  100120 FCIC Interview with Patt Morrison, KPCC  
bu.  100120 FCIC Interview with Patt Morrison, KPCC  
bv.  100121 FCIC Interview with John McKinnon, WSJ  
bw.  100121 FCIC Interview with John McKinnon, WSJ  
bx.  100127 Der Spiegel Interview  
by.  100127 Der Spiegel Interview  
bz.  100127 Interview with USA Today Editorial Board  
ca.  100127 Interview with USA Today Editorial Board  
cb.  100127 Neil Cavuto, Fox News  
cc.  100127 Neil Cavuto, Fox News  
cd.  100204 Interview with Tony Blankley  
ce.  100204 Interview with Tony Blankley  
cf.  100224 FCIC Interview with Huffington Post  
cg.  100224 FCIC Interview with Huffington Post  
ch.  100224 FCIC Interview with the Financial Times  
ci.  100224 FCIC Interview with the Financial Times  
cj.  100316 FCIC Interview on the Dylan Ratigan Show  
ck.  100316 FCIC Interview on the Dylan Ratigan Show  
cl.  100316 FCIC Interview on The Kudlow Report, CNBC  
cm.  100316 FCIC Interview on The Kudlow Report, CNBC  
cn.  100316 FCIC Interview with Frank Rich, NYT  
co.  100316 FCIC Interview with Frank Rich, NYT  
cp.  100316 FCIC Interview with William Cohan, NYT  
cq.  100316 FCIC Interview with William Cohan, NYT  
cr.  100317 FCIC Interview with ABC News  
cs.  100317 FCIC Interview with ABC News  
ct.  100317 FCIC Interview with Fortune Magazine  
cu.  100317 FCIC Interview with Fortune Magazine  
cv.  100317 FCIC Interview with ProPublica

- cw.  100317 FCIC Interview with ProPublica
- cx.  100317 FCIC Interview with The New Yorker
- cy.  100317 FCIC Interview with The New Yorker
- cz.  100317 FCIC Interview with Time Magazine
- da.  100317 FCIC Interview with Time Magazine
- db.  100324 FCIC Interview with ABC News
- dc.  100324 FCIC Interview with ABC News
- dd.  100422 Interview on Closing Bell
- de.  100422 Interview on Closing Bell
- df.  100422 Interview on Street Sense
- dg.  100422 Interview on Street Sense
- dh.  100422 Interview on the Dylan Ratigan Show
- di.  100422 Interview on the Dylan Ratigan Show
- dj.  100429 FCIC Interview - Reuters Summit
- dk.  100429 FCIC Interview - Reuters Summit
- dl.  100504 Interview with Michelle Norris, NPR - All Things Considered
- dm.  100504 Interview with Michelle Norris, NPR - All Things Considered
- dn.  100510 FCIC Interview on Street Smart
- do.  100510 FCIC Interview on Street Smart
- dp.  100510 FCIC Interview with Patt Morrison, KPCC
- dq.  100510 FCIC Interview with Patt Morrison, KPCC
- dr.  100514 FCIC Interview - The Guardian
- ds.  100514 FCIC Interview - The Guardian
- dt.  100514 FCIC Interview - Times of London
- du.  100514 FCIC Interview - Times of London
- dv.  100916 FCIC Interview with Dale Kasler -- Sacramento Bee
- dw.  100916 FCIC Interview with Dale Kasler -- Sacramento Bee
- dx.  100917 FCIC Interview - Sac News and Review
- dy.  100917 FCIC Interview - Sac News and Review
- dz.  100917 FCIC Interview with Andrew Ross - SF Chronicle
- ea.  100917 FCIC Interview with Andrew Ross - SF Chronicle
- eb.  100917 FCIC Interview with Capitol Radio
- ec.  100917 FCIC Interview with Capitol Radio
- ed.  100920 FCIC Harvard Business School
- ee.  100921 FCIC Interview with Jennifer Liberto - CNNMoney
- ef.  100921 FCIC Interview with Jennifer Liberto - CNNMoney
- eg.  100922 FCIC Interview - KGO Radio
- eh.  100922 FCIC Interview - KGO Radio
- ei.  100923 FCIC Interview - KCRA Channel 3 Sacramento
- ej.  100923 FCIC Interview - KCRA Channel 3 Sacramento
- ek.  100925 FCIC Interview - Mark Solich, KFBK
- el.  100925 FCIC Interview - Mark Solich, KFBK
- em.  100925 FCIC Interview with Mark Solich - KFBK
- en.  100925 FCIC Interview with Mark Solich - KFBK
- eo.  100927 FCIC Interview - CNBC Documentary
- ep.  100927 FCIC Interview - CNBC Documentary
- eq.  101004 FCIC Interview with John Lippert - Bloomberg
- er.  101004 FCIC Interview with John Lippert - Bloomberg
- es.  101021 FCIC Interview with CSPAN Newsmakers
- b.  FCIC Task Tracker
- c.  FCIC Task Tracker\_2-3-10
- d.  FCIC\_Task\_Tracker\_2-11-10
- e.  Future\_FCIC\_Meetings\_Hearings\_as of 1-21-2010
- f.  Future\_FCIC\_Meetings\_Hearings\_as of 2-5-2010
- g.  GPO Distribution List of FCIC Report

- h. Organizational\_Chart\_2-2-10
- i. Science Direct\_Do the GSEs matter to low-income..
- 10. Office Admin
  - a. Budget
    - 1. FCIC Travel Calculations\_as of 1-21-2010
  - b. ORG Charts (Organizational Charts)
    - 1. 2009-11-10. FCIC\_Org Chart\_DRAFT from T Greene
    - 2. 2009-11-10. FCIC\_Org Chart\_DRAFT from T Greene
    - 3. 2009-12-03. FCIC Org Chart. Draft from S. Boone
    - 4. 2009-12-09. FCIC Org Chart. Draft from S. Boone
    - 5. 2009-12-14. FCIC Org Chart. Draft from S. Boone
    - 6. 2010-01-16. FCIC Organizational\_Chart\_Chairman Angelides to Commissioners
    - 7. 2010-01-16. RE\_FCIC Organizational\_Chart\_EMAIL from Chairman Angelides to Commissioners
    - 8. 2010-02-02. FCIC Org Chart. Draft from S. Ganz
    - 9. 2010-02-03. FCIC Org Chart\_Chairman Angelides to Commissioners
    - 10. 2010-02-03. RE FCIC Org Chart\_EMAIL from Chairman Angelides to Commissioners
    - 11. 2010-02-23. FCIC Org Chart\_G. Newsom to Commissioners
    - 12. 2010-06-09. FCIC Org Chart DRAFT- INTERNAL\_S. Ganz
    - 13. 2010-06-23. FCIC Org Chart. Draft from S. Ganz
    - 14. 2010-06-24. FCIC Org Chart DRAFT\_S. Ganz
    - 15. 2010-06-26. FCIC Org Chart\_No Additional Funds\_S. Ganz
    - 16. 2010-06-26. FCIC Org Chart\_With Additional Funds\_S. Ganz
    - 17. 2010-07-07. FCIC Org Chart. Draft from G. Newsom to Chairman Angelides
    - 18. 2010-07-07. RE FCIC Org Chart. EMAIL. Draft from G. Newsom to Chairman Angelides
    - 19. 2010-08-09. FCIC Org Chart \_Draft\_S. Ganz
    - 20. 2010-08-17. FCIC Org Chart \_Draft\_S. Ganz
    - 21. 2010-11-03 FCIC Org Chart \_Internal Draft for Archives\_S Ganz
- 11. Research
  - a. 9-11 Commission
    - 1. 1.911CommissionInterviewHandbook[1]
    - 2. 2. Staff Statement 10
    - 3. 3. 9-11 Commission Staff Org Chart
    - 4. 4. Zelikow Workplan Memo
    - 5. 911 Commission Comparison
    - 6. 9-11 Commission Memo
      - a. 1.911CommissionInterviewHandbook[1]
      - b. 2. Staff Statement 10
      - c. 3. 9-11 Commission Staff Org Chart
      - d. 4. Zelikow Workplan Memo
      - e. 911\_Memo[1]
    - 7. 9-11 Commission Staff Org Chart
    - 8. 9-11 Style Sheet
    - 9. 911\_Memo[1]
    - 10. 911CommissionerPaymentDocs[1]
    - 11. 911CommissionInterviewHandbook
    - 12. Interview Guidelines
    - 13. Memo from Andrew Card, Chief of Staff to Executive Agency Heads re 911 Commission
    - 14. MFR - Madeline Albright
    - 15. Piloting a Bipartisan Ship\_Kirsten Lundberg
    - 16. Staff Statement 11
    - 17. Staff Statement 12
    - 18. Staff Statement 2
    - 19. Team 6 Sample Work Plan
    - 20. When Government Writes History\_Ernest May
  - b. Pecora Commission
    - 1. Fletcher. Pecora Committee Continue. 3-14-1933

2. NYT - Financial Inquiries and the Pecora Legacy. 5-6-09
  3. Pecora Appointment Announcement. NYT.104291918
  4. Pecora Commission Report - 19340606\_sensep\_rpt
  5. PECORA QUOTES
  6. Pecora.JP Morgan. NYT100887310
  - c. 12-1-2010. Packet - Unnamed Quotes - FCIC - Negativity
  - d. 12-19-2010. CEO culpability
  - e. Commission Reports - Examples of Dissents
  - f. Examples of Commissions Not Meeting Statutory Deadline for Issuance of Report
  - g. Examples of Commissions Not Meeting Statutory Deadline for Issuance of Report- PA
  - h. List of FCIC Investigative Efforts Still Underway
  - i. TW - Newsworthy Witness Remarks
  - j. Unnamed Quotes - Negativity
12. The Report - Versions Sent to Commission and Notes
- a. 1-6-2011 Components for MASTER FINAL to COMMISSION
    1. Chapter 1. 2011-01-02- (Part A) - Sent to commissioners
    2. Chapter 10. 2010-01- 02 - (Section II, Ch. 5) - Sent to Commissioners
    3. Chapter 11. 2011-01-03 - (Section II, Ch. 6) - sent to Commissioners
    4. Chapter 12. 2011-01-03 (1600) - (Section III, Ch. 1). - Sent to Commissioners
    5. Chapter 13. 2011-01-03 - (1700) (Section III, Ch. 2) - Sent to Commissioners
    6. Chapter 14. 2011-01-04- \_Section\_III,\_Ch.\_3\_- \_sent\_to\_Commissioners(3)
    7. Chapter 15. - \_2011-01-04\_(2300)- \_Section\_III,\_Ch.\_4\_- \_Sent\_to\_Commissioners
    8. Chapter 16. 2011-1-4 - \_Section\_III,\_Ch.\_5\_- \_Sent\_to\_Commissioners(2)
    9. Chapter 17. 2011-01-04 - (Section III, Ch. 6) - Sent to Commissioners
    10. Chapter 18. 2011-01-05 (0900) -( Section III, Ch. 7) - Sent to Commissioners
    11. Chapter 19. 2011-01-04 (2300) - (Section III - Ch. 8) - Sent to Commissioners
    12. Chapter 2. 2011-1-1 - (Section I, Ch. 1) - to commissioners
    13. Chapter 20. 2011-01-05 - Section III - Ch. 9 - Sent to Commissioners
    14. Chapter 21. 2011-01-05 (1700) - Section IV, Ch. 1 - Sent to Commissioners
    15. Chapter 22. 2011-01-05 (2100) - Section IV, Ch. 2 - sent to Commissioners
    16. Chapter 3. 2011-1-1 - (Section I, Ch. 2) - to commissioners
    17. Chapter 4. 2011-1-2 - (Section I, Ch. 3)- to commissioners
    18. Chapter 5. 2011-1-2 - (Section I, Ch. 4) - to commissioners
    19. Chapter 6. 2011-1-1 - (Section II, Ch. 1) - to commissioners
    20. Chapter 7. 2010-01-03 (1100) - (Section II, Ch. 2) - sent to Commissioners
    21. Chapter 8. 2011-01-04 - (Section II, Ch.3) - sent to Commissioners
    22. Chapter 9. 2011-01-06 (0100) - (Section II, Ch. 4) - sent to commissioners
    23. Glossary
    24. Preface. 2011-01-04 - (1600) Preface
    25. REVISED.1-5-2011 - Revised Main Findings - (Version without footnotes - sent to Commissioners)
  - b. 2011-01-06. Reports Sent to Commissioners for Vote
    1. 2011-01-06 Full FCIC Report - FINAL for Reconfirmation VOTE \_REDLINE AGAINST 12-23 VERSION - B. Born
    2. 2011-01-06 Full FCIC Report - FINAL for Reconfirmation VOTE \_REDLINE AGAINST 12-23 VERSION - B. Georgiou
    3. 2011-01-06 Full FCIC Report - FINAL for Reconfirmation VOTE \_REDLINE AGAINST 12-23 VERSION - B. Graham
    4. 2011-01-06 Full FCIC Report - FINAL for Reconfirmation VOTE \_REDLINE AGAINST 12-23 VERSION - P. Angelides
    5. 2011-01-06 Full FCIC Report - FINAL for Reconfirmation VOTE \_REDLINE AGAINST 12-23 VERSION - Hennessey
    6. 2011-01-06 Full FCIC Report - FINAL for Reconfirmation VOTE \_REDLINE AGAINST 12-23 VERSION - Holtz-Eakin
    7. 2011-01-06 Full FCIC Report - FINAL for Reconfirmation VOTE \_REDLINE AGAINST 12-23 VERSION - Murren
    8. 2011-01-06 Full FCIC Report - FINAL for Reconfirmation VOTE \_REDLINE AGAINST 12-23 VERSION - Thomas























































































9.  2011-01-06 Full FCIC Report - FINAL for Reconfirmation VOTE \_REDLINE AGAINST 12-23 VERSION\_ - Thompson
10.  2011-01-06 Full FCIC Report - FINAL for Reconfirmation VOTE \_REDLINE AGAINST 12-23 VERSION\_ - Wallison
- c.  Final Redlined Revisions Sent to Commissioners
  1.  Binder of Revisions - Sent to Commissioners - Redlined against 1-6 version.
  2.  Full Set of Post 1-6-2011 Edits as provided by Maryann and Wendy to Gretchen
  3.  Original Binder of Revisions - Redlined against 1-6 version.
- d.  OLD Versions
  1.  Original Chapters to Commissioners
    - a.  12 10 2010- Full Draft Report - All Pages
    - b.  12 3 2010 Section A
    - c.  2010-11-23 Section 1 Chapter 3
    - d.  2010-11-23 Section I Ch 2
    - e.  2010-11-23 section I intro
    - f.  2010-11-30 Section II Chapter 1
    - g.  2010-12-03 - Section II Chapter 2
    - h.  2010-12-06 Section II Chapter 3
    - i.  2010-12-09 - Section II - Chapter 5
    - j.  2010-12-09 - Section II chapter 4
    - k.  2010-12-12 - Section II chapter 6
    - l.  Section 1 Chapter 1 11 23
    - m.  section I chapter 4 11 24
    - n.  Section III Chapter 1 and 2 to Commissioners
    - o.  Section III Chapter 3 to commissioners
    - p.  Section III Chapter 4 to commissioners. 2010-10-19 \_ \_
    - q.  Section III Chapter 5 to Commissioners 2010-10-24 \_
    - r.  Section III Chapter 6 to Commissioners. REVISED 2010-11-12
    - s.  Section III Chapter 6 to commissioners.2010 10 24
    - t.  Section III Chapter 7 to Commissioners. 2010-10-29
    - u.  Section III Chapter 8 to commissioners 2010-10-21
    - v.  Section III Chapter 9 to Commissioners. 2010-11-09
  2.  2011-01-03. Full FCIC Report REDLINED against 12-23-10 version - ANGELIDES
  3.  2011-01-03. Full FCIC Report REDLINED against 12-23-10 version - Angelides -1
  4.  2011-01-03. Full FCIC Report REDLINED against 12-23-10 version - Born -1
  5.  2011-01-03. Full FCIC Report REDLINED against 12-23-10 version - Georgiou -1
  6.  2011-01-03. Full FCIC Report REDLINED against 12-23-10 version - Graham- 1
  7.  2011-01-03. Full FCIC Report REDLINED against 12-23-10 version - Hennessey -1
  8.  2011-01-03. Full FCIC Report REDLINED against 12-23-10 version - Holtz-Eakin -1
  9.  2011-01-03. Full FCIC Report REDLINED against 12-23-10 version - Murren -1
  10.  2011-01-03. Full FCIC Report REDLINED against 12-23-10 version - Thomas - 1
  11.  2011-01-03. Full FCIC Report REDLINED against 12-23-10 version - Thompson -1
  12.  2011-01-03. Full FCIC Report REDLINED against 12-23-10 version - Wallison -1
  13.  2011-01-03. Full FCIC Report REDLINED against 12-23-10 version. MASTER
  14.  2011-01-03. Full FCIC Report REDLINED against 12-23-10 version. MASTER 2
  15.  Back\_Cover\_-\_For\_Commission\_Distribution
  16.  Front\_Cover\_-\_For\_Commission\_Distribution
- e.  01-18-2011 - Blue Draft
- f.  1-19-2011 to 12-23
- g.  1-19-2011 version redlined against 12-23-2010 version
- h.  2010-12-23 Full FCIC Report - FINAL for PRELIMINARY VOTE on 12-23-10 (CLEAN)
- i.  2011-01-06 Full FCIC Report - FINAL for Reconfirmation VOTE (CLEAN)
- j.  2011-01-06 Full FCIC Report - FINAL for Reconfirmation VOTE (REDLINE AGAINST 12-23 VERSION)
- k.  2011-01-06 Full FCIC Report - FINAL for Reconfirmation VOTE (REDLINE AGAINST 12-23 VERSION) - Edenberg
- l.  2011-01-06 Full FCIC Report - FINAL for Reconfirmation VOTE (REDLINE AGAINST 12-23 VERSION) 1























































- m. 2011-01-06 Full FCIC Report - FINAL for Reconfirmation VOTE \_REDLINE AGAINST 12-23 VERSION\_EDELBERG
  - n. 2011-01-07 Full FCIC Report - FINAL for Publisher (CLEAN)
  - o. 2011-01-07 Full FCIC Report - FINAL for Publisher (REDLINED against 1-6 Commission Approved Version)
  - p. 2011-01-07 Full FCIC Report - FINAL for Publisher \_REDLINED against 1-6 Commission Approved Version\_
  - q. 2011-01-07 Full FCIC Report - FINAL for Publisher \_REDLINED against 1-6 Commission Approved Version\_Edelberg
  - r. 2011-01-07 Full FCIC Report - for Publisher \_CLEAN\_STAFF COPY
  - s. 2011-01-19 Full FCIC Report - FINAL for Publisher with revisions redlined against 1-6 version (CLEAN)
  - t. Chapter Conclusions.
  - u. Footnotes Master
  - v. Main Conclusions.
13. Transcripts
- a. REVIEW\_HRG\_TRANSCRIPT\_SHEET[1]
  - b. Transcript\_ Closed Session Meeting with Geithner\_Nov 17 2009
14. Work Groups
- a. Derivatives
    - 1. GKN Notes - derivatives\_takeaways\_packet - 7-28
  - b. H4 - Shadow Banking
    - 1. 5-26-2010 H4 Working Group Meeting - TakeAways
    - 2. Shadow\_Banking\_RIP\_and\_hearing\_draft[1]
    - 3. Staff\_PA\_DHE\_BB Takeaways from Shadow Banking R and I plan and Mtg Notes form 5-26-2010
  - c. Housing\_GSEs
    - 1. PA Housing and GSE Takeaways from Housing R and I plan (4)
  - d. Too Big Too Fail
    - 1. GKN Notes. TBTF update 7 28 (2)
    - 2. TBTF\_RI Plan\_2010-05-28 (2)
  - e. Commissioner\_Working\_Groups\_with\_staff\_point\_person\_and staff team\_UPDATED
15. Work Plan
- a. 10-16-2009. Draft Work Plan
  - b. 11-13-2009. Implementing Work Plan Memo
  - c. 11-6-2009. Revised Workplan
  - d. 12-1-2009. Revised approach to Hearings
  - e. FCIC\_omnibus\_2-16-10
  - f. FCIC\_Work\_Plan\_Omni\_12-13-09[1]
  - g. Timeline of Omnibus Work Plan
  - h. Timeline of Omnibus Work Plan\_Hearing Plan\_K
  - i. Work Plan 11-18-09
16. FCIC Production Calendar
- Z. GSHEMIN
- 1. 2007 ML Docs Requested Not Produced
  - 2. 2010-07-15 Goldman Email
  - 3. 2010-11-11-MFR of FCIC Interview with Wing Chau
  - 4. 23 Ann Rev Banking & Fin L 225 Art Wilmarth Article
  - 5. 57 Fed Reg 62890-01 OCC Fed FDIC OTS 1992 Final Rule
  - 6. AIG-FCIC535403-54
  - 7. August 24 Inserts for Wachovia Summary PIR
  - 8. August 25 2010 Response Chairman Angelides Comments re Wachovia Summary PIR
  - 9. BAC-FCIC-0000008121
  - 10. BAC-FCIC-0000073451
  - 11. Chris August 26 Wachovia Summary PIR Inserts
  - 12. Draft Hearing Questions for Corston 8 27 2010
  - 13. Draft Hearing Questions for Scott Alvarez 8 27 2010
  - 14. Draft Hearing Questions for Steel
  - 15. Fannie Mae Housing Goal Performance 2003, 2005, 2006
  - 16. Figure 2 Inserts for Wachovia Summary PIR August 26 2010
  - 17. Freddie Goal Qualifying Rates for PLS Purchases 2003 to 2006

























































18.  Freddie Qualifying Rates for Consolidated ABS Alt-A and Subprime
19.  GoldenWest ARM Originations by Year 1981 to 2005
20.  Gordon Notes of Phone Conversation with Eric Solomon August 28 2010
21.  GShemin Draft Doc Wachovia Stuff
22.  Insert Regarding 2004-2005 Merrill Growth
23.  March 15 2009 AIG Press Release re Counterparty Disclosure
24.  May 11 2010 Letter from Rabobank's Counsel to Judge Fried of Supreme Court of NY
25.  Merrill CDO Insert Section II November 10 2010
26.  ML 2007 Board Minutes Produced vs Outstanding
27.  Moody's 3Q 1998 ABCP Market Document
28.  Moody's Park Granada
29.  Moodys Park Sienna
30.  Moodys Structured Finance 2007 Review and 2008 Outlook
31.  New Requests for Merrill 2007 Minutes
32.  November 13 2008 WSJ Citi Directors Mull Replacing Chairman
33.  Pages from 2009 Mortgage Market Statistical Annual V1 pdf - Adobe Acrobat Pro
34.  Questions for Delmar Interview
35.  Questions for Paulson Sept 2 2010
36.  Review of ML 2007 Minutes DN Requested vs Outstanding
37.  SEC OPSRA Memo February 2 2007, SEC\_TM\_FCIC\_002447
38.  SEC OPSRA Memo January 4 2007, SEC\_TM\_FCIC\_002442
39.  September 8 2008 FDIC Confidential Problem Bank Memorandum re WaMu
40.  Summary of Merrill CDO ABS Warehouse 2006 and 2007
41.  Summary of ML Losses 1Q08
42.  Transcript of Select Parts of John Paulson Interview
43.  Transcript of Select Portions of Dobeck and Manzari Interview

























































AA.  GWahl


























































1.  Amy Stroupe (BB&T)
2.  C Drive Upload
  - a.  09Q2\_FraudReport\_FNL
  - b.  10\_Q1MFRI\_051210\_FNL
  - c.  2000 - 10 FinCEN SAR Activity Review (Trends, Tips, Issues) October 2000
  - d.  2001 - 10 FinCEN SAR Activity Review (Trends, Tips, Issues) October 2001
  - e.  2001 - 6 FinCEN SAR Activity Review (Trends, Tips, Issues) June 2001
  - f.  2002 - 8 FinCEN SAR Activity Review (Trends, Tips, Issues) August 2002
  - g.  2003 - 11 FinCEN SAR Activity Review (Trends, Tips, Issues) November 2003
  - h.  2003 - 11 National Assn. of Realtors Response to FinCEN ANPRM - November 2003
  - i.  2003 - 2 FinCEN SAR Activity Review (Trends, Tips, Issues) February 2003
  - j.  2003 - 4 FinCEN Advanced Notice of Proposed Rule Making April 2003
  - k.  2003 -6 Mortgage Bankers Assn. Response to FinCEN ANPRM June 2003
  - l.  2003- 6 National Assn. of Mortgage Brokers Response to FINCEN ANPRM - June 2003
  - m.  2004 - 8 FinCEN SAR Activity Review (Trends, Tips, Issues) August 2004
  - n.  2004 DOJ-OIG Report on FBI
  - o.  2004-10-7 Arthur Prieston
  - p.  2004-10-7 MARI testimony before Congress
  - q.  2004-10-7 Testimony of MBA to Congress
  - r.  2005 - 10 FinCEN SAR Activity Review (Trends, Tips, Issues) October 2005
  - s.  2005 - 4 FinCEN SAR Activity Review (Trends, Tips, Issues) April 2005
  - t.  2005 FFIEC White Paper on Mortgage Fraud
  - u.  2006 - 5 FinCEN SAR Activity Review (Trends, Tips, Issues) May 2006
  - v.  2006 -11 FINCEN Mortgage Fraud Report November 2006
  - w.  2006 FBI MF report
  - x.  2007 - 10 FinCEN SAR Activity Review (Trends, Tips, Issues) October 2007
  - y.  2007 - 5 FinCEN SAR Activity Review (Trends, Tips, Issues) May 2007
  - z.  2007 FBI MF report
  - aa.  2008 - 4 FinCEN Mortgage Loan Fraud SAR Assessment April 2008

- ab.  2008 - 5 FinCEN SAR Activity Review (Trends, Tips, Issues) May 2008
- ac.  2008 -10 FinCEN SAR Activity Review (Trends, Tips, Issues) October 2008
- ad.  2008 FBI MF report
- ae.  2009 - 10 FinCEN SAR Activity Review (Trends, Tips, Issues) October 2009
- af.  2009 - 5 FinCEN SAR Activity Review (Trends, Tips, Issues) May 2009
- ag.  2009 -5 FINCEN Director Testiomy - May 2009
- ah.  2009 FBI MF report
- ai.  2010 - 2 FinCEN Mortgage Loan Fraud Update February 2010
- aj.  2010 DOJ-OIG Report on FBI
- ak.  2010-03-08 Draft MFR re Chris Sweker Interview
- al.  2010-03-22 G Theobald Response to Hearing One Follow-Up
- am.  2010-04-16 E Holder ltr to G Cohen re Hearing One Follow-Up
- an.  2010-06-17 Chris Swecker Interview
- ao.  2010-0907-Crabtree
- ap.  2010-09-16 MFR of the Center for Responsible Lending (Julia Gordon and Michael Calhoun)
- aq.  2010-09-17 MFR of Captain Edward Gallgher
- ar.  2010-09-17 MFR of Ellen Wilcox
- as.  2010-0921-Fulmer-Written
- at.  2010-0921-Pontell
- au.  2010-0921-William-Black
- av.  2010-0923-Putnam
- aw.  2010-0923-Stein
- ax.  2010-0923-Wagner
- ay.  2010-09-30 G Cohen ltr to FinCEN (D Wade) re Doc Request
- az.  2010-09-30 G Cohen ltr to FinCEN (D Wade) re Doc Request (2)
- ba.  2010-10-01 G Cohen ltr to DOJ Requesting Interviews re Mortgage Fraud
- bb.  2010-10-12 G Cohne ltr to R Weich re Follow Up
- bc.  2010-10-18 G Cohen Letter to D Wade (FinCEN)
- bd.  2010-10-20 Interview with Judge Michael Mukasey (former Attorney General)
- be.  2010-10-20 Michael Mukasey MFR
- bf.  2010-11-01 MFR of Alberto Gonzalez (FINAL)
- bg.  31cfr103.18
- bh.  6.5.08 AG Mukasey Roundtable
- bi.  72939\_9946\_Research\_RIHA\_Rossi\_Report
- bj.  Alberto Gonzalez outline 2010-10-26
- bk.  American Bankers Assn. Comments]
- bl.  Ann Fulmer - Interthinx report on MF
- bm.  Ann Fulmer Interthinx Presentation
- bn.  Ann Fulmer ISO Article\_April 2009 v17
- bo.  Ann Fulmer ISO Review Spring 2008
- bp.  Ann Fulmer Mtg Banking Reverse Mortgage Fraud
- bq.  Bank of America FinCEN Report, 8-10
- br.  Bank of America Rogs (2) CS
- bs.  Bank Secrecy Act handbook
- bt.  BB& T
- bu.  BB& T FinCEN Report, 8-10
- bv.  BLACK
- bw.  BRAITHWAITE
- bx.  Chris Swecker Interview Questions
- by.  Citi (2) CS
- bz.  CNN.com - FBI warns of mortgage fraud 'epidemic' - Sep 17, 2004
- ca.  COLLINS AND NIGRO
- cb.  Committe on the Judiciary 2-11-2009 hearing on fraud enforcement
- cc.  Conference of State Bank Supervisors
- cd.  Countrywide FinCEN Report, 8-10

























































- ce.  Courtney Linn Article
- cf.  Debevoise & Plimpton LLP \_ Lawyers \_ Michael B. Mukasey
- cg.  Deutsche Bank FinCEN Report, 8-10
- ch.  Deutsche Bank
- ci.  DHI Mortgage
- cj.  DOJ Comments
- ck.  DOJ Request Chart
- cl.  DRAFT 2010-10-18 G Cohen Letter to D Wade (FinCEN)
- cm.  DRAFT 2010-11-01 MFR of Alberto Gonzalez
- cn.  Fannie Mae - First Beneficial Hearing
- co.  Fannie Mae and Citibank FinCEN report, 3-10
- cp.  Fannie Mae MF stats
- cq.  Fannie Mae Mortgage Fraud (Fed Bank of Dallas)
- cr.  Fannie Mae Mortgage Fraud January 2009
- cs.  Fannie Mae Questions
- ct.  fanniema-mortgage-fraud-report0606
- cu.  FBI Budgets
- cv.  FBI MF Cases by Region
- cw.  FBI MF Cases by Region
- cx.  FBI MF Operations
- cy.  FBI mort frd chart
- cz.  FBI Mortgage Fraud Operations Chartdocx
- da.  FBI Mortgage Fraud Warning
- db.  FBI Pending Mortgage Fraud Investigations (from FBI 2008 Mortgage Fraud Report)
- dc.  FCIC - Responses 6 7 (3)
- dd.  FCIC 2 and 3 final (2)
- de.  FCIC responses 8 9
- df.  FCIC\_Interrogatory1
- dg.  FCIC\_Interrogatory10
- dh.  FCIC\_Interrogatory4
- di.  FCIC\_Interrogatory4 (2)
- dj.  FCIC\_Interrogatory5 (2)
- dk.  FCIC30SeptRequestresponse
- dl.  FHA Approved Lenders (from 2008 FBI Mortgage Fraud Report)
- dm.  FinCEN ANPRM, April 2003
- dn.  FinCEN ANPRM, June 2009
- do.  FinCEN Interrogatories
- dp.  FinCEN SAR Reports FY 2003 - FY 2007 (from 2007 FBI Mortgage Fraud Report)
- dq.  First Beneficial Appeal
- dr.  Fleming on fraud for housing 2005
- ds.  Fleming on fraud for housing 2005 (highlighted)
- dt.  Florida AG MF press releases
- du.  Florida MF Cases
- dv.  Florida MF law
- dw.  Florida MF News Articles
- dx.  fraudupdate0709
- dy.  fraudupdate1009
- dz.  fraudupdate1109
- ea.  Freddie Mac Florida
- eb.  FRIEDRICHS
- ec.  Henry N. Pontell \_ School of Social Ecology
- ed.  Henry Pontell Judiciary Committee May 4 2010 FINAL
- ee.  indymac\_what\_went\_wrong
- ef.  JP Morgan (2) CS
- eg.  JP Morgan Chase FinCEN Report, 8-10

























































- eh.  March 5 2007 Letter from the Fed to DOJ (NOTES)
- ei.  Mass Fraud Complaint
- ej.  Mclean Indictment\_0810201014465100
- ek.  Miami-Dade Mortgage Fraud
- el.  Mortgage Bankers Assn. Coments
- em.  Mortgage Fraud Interview Questions
- en.  mortgagefraudnews0809
- eo.  Mtg\_Fraud\_wp\_Feb2010
- ep.  Mukasey Declines to Create a U.S. Task Force to Investigate Mortgage Fraud -
- eq.  Mukasey outline (10-20-10 REVISED)
- er.  Mukasey outline (10-20-10)
- es.  NatFrdTrndsQ406\_FNL
- et.  Natl Assn. of Federal Credit Unions Comments
- eu.  Natl Assn. of Realtors Comments
- ev.  NGUYEN AND PONTELL
- ew.  Orson Benn
- ex.  PIO News Release - Mortgage Company Fraud - Draft (2) - with quotes FINAL (4)
- ey.  PIO News Release - Mortgage Company Fraud - Facts Sheet FINAL
- ez.  Proponents of mortgage fraud may also adhere to strain theory during down
- fa.  Questions for Judge Mukasey
- fb.  Questions for Rachel Dollar
- fc.  R. Weich to G. Cohen FCIC Resp 10.21.10
- fd.  Responses to October 18 2010 FCIC request
- fe.  Responses to October 18 2010 FCIC request
- ff.  SelfHelp2004AnnualReport-1
- fg.  Silencing the Whistleblowers \_ The Big Money
- fh.  Subpoena to Mr. Chris Swecker
- fi.  Tripp v. IndyMAC (3rd Amended Complaint 6-6-08)
- fj.  TRIVIALIZATION PAPER with Bill Gil CPP OCTOBER 5th--formatted final
- fk.  US homes lost to foreclosure up 25 pct on year - wtop.com
- fl.  USASnap\_img
- fm.  VITA PONTELL 8-10
- fn.  WaPo Article on mortgage fraud case
- fo.  Washington Post Article, November 2009
- fp.  Wells Fargo FinCEN Report, 8-10
- fq.  Wells Fargo Rogs (2) CS
- fr.  Wells Fargo Rogs 7-28-10
- fs.  Wells Fargo suggest that there is 50% ot 75% change that GSEs will be liquidated
- ft.  Wilcox Bio
- 3.  Center for Responsible Lending
  - a.  2010-09-16 MFR of the Center for Responsible Lending (Julia Gordon and Michael Calhoun)
- 4.  Darcy Parmer (Wells Fargo)
  - a.  2010-06-01 Darcy Parmer MFR FINAL
  - b.  Subpoena to Ms. Darcy Parmer
- 5.  Ed Parker (Ameriquest)
  - a.  2010-05-25 Ed Parker MFR FINAL
- 6.  Edward Gallagher - Miami Dade Police Dept.
  - a.  2010-09-17 MFR of Captain Edward Gallgher
- 7.  Ellen Wilcox - FDLE
  - a.  2010-09-17 MFR of Ellen Wilcox
- 8.  FBI-DOJ
  - a.  2010-10-20 MFR of Michael Mukasey
  - b.  2010-11-01 MFR of Alberto Gonzalez
- 9.  Jonathan Kempner (MBA)
  - a.  2010-3-26 REVIEWED MFR Jonathan Kempner
- 10.  MF Report and Charts

























































- a.  CW 2005 orriginations versus referrals for M Fraud
  - b.  DRAFT 2010-09-17
  - c.  DRAFT 2010-09-23 Mortgage Fraud Report
  - d.  DRAFT 2010-10-08 with Chairman's comments and additions
  - e.  DRAFT 2010-10-15
  - f.  DRAFT 2010-10-18
  - g.  DRAFT 2010-10-22
  - h.  DRAFT 2010-10-25 bad origination (Wendy Edelberg edit)
  - i.  DRAFT 2010-10-26 bad origination
  - j.  DRAFT 2010-10-29 bad origination
  - k.  DRAFT 2010-11-09 bad origination
  - l.  DRAFT 2010-11-12 bad origination
  - m.  DRAFT 2010-11-16 bad origination
  - n.  MF Charts
  - o.  MF Timeline
  - p.  MF Timeline
  - q.  Mortgage Fraud Charts
  - r.  Mortgage Fraud Losses FY 2004 - March 2009
11.  Miami Field Hearing
- a.  2007SWPAnnualReport
  - b.  2008SWPAnnualReport
  - c.  AnnualReport2005
  - d.  Bill Black testimony
  - e.  Dennis J. Black FCIC testimony
  - f.  FCIC Written Testimony of R Scott Palmer Final
  - g.  FCICHearing\_Supplemental\_AFulmer\_092910
  - h.  FCICHearingTestimony-AnnFulmer-FINAL
  - i.  Miami Field Hearing Follow
  - j.  Miami Field Hearing Questions
  - k.  Miami Field Hearing Transcript
  - l.  Pontell response to question from Miami hearing
  - m.  Pontell Testimony FLA 9-21-10
  - n.  Questions for Ann Fulmer-Bill Black-Henry Pontell
  - o.  Questions for Ann Fulmer-Bill Black-Henry Pontell
  - p.  Questions for Tom Cardwell - Florida Office of Financial Regulation
  - q.  Questions for Tom Cardwell - Florida Office of Financial Regulation
  - r.  Questions for USA Ferrer and Scott Palmer
  - s.  Questions for USA Ferrer and Scott Palmer
  - t.  Questions for Wilcox, Gallagher, Dennis Black and Rubin
  - u.  Questions for Wilcox, Gallagher, Dennis Black and Rubin
  - v.  SWP2006Report
12.  Misc.
- a.  2010-04-09 MFR with Tom LaMalfa & Ed Pinto (G. Wahl edit)
  - b.  CM\_ECF - vaed
  - c.  FCIC Logo
  - d.  Gramm-Leach-Bliley Act Disclosures
  - e.  The CAQ - Center for Audit Quality - Newsroom
  - f.  The CAQ - Center for Audit Quality - Newsroom (2)
13.  Mortgage Asset Research Institute
- a.  2010-02-24 G Cohen ltr to Lexis (K Hinrichs) re Treatment of Non-Public Informaiton
  - b.  2010-02-25 Doc Request to MARI
  - c.  2-22-10 Doc Request to LexisNexis (MARI)
  - d.  FCIC to LexisNexis request for info re MARI 2-24-10
  - e.  MARI Doc Request Schedule A
  - f.  MARI mortgage fraud report 2008Q1
  - g.  MARI mortgage fraud report 2008Q2
























































- h.  MARI mortgage fraud report 2008Q4
- i.  MARI Report to MBA January 2001
- j.  MARI Report to MBA January 2002
- k.  MARI Report to MBA January 2003
- l.  MARI Report to MBA June 2004
- m.  MARI Report to MBA March 1999
- n.  MARI report to MBA March 2000
- o.  MARI Report to MBA March 2008
- p.  MARI Report to MBA March 2009
- q.  MARI Report to MBA May 2005
- r.  MARI report to MBA May 2006
- s.  MARI Report to MBA May 2007
- 14.  Mortgage Bankers Assn.
  - a.  2010-03-19 FCIC Doc Request to Mortgage Bankers Assn (A Lawrence)
  - b.  3-16-10 Doc Request to Mortgage Bankers Assn (MBA)
  - c.  MBAA - Mortgage Fraud Strengthening Federal and State Mortgage Fraud Prevention Efforts
- 15.  Mortgage Fraud Report and Exec. Summary
  - a.  Mortgage Fraud Executive Summary (Gary Cohen Edit)
  - b.  Mortgage Fraud Report
  - c.  Mortgage Fraud Report - SUMMARY (copy)
  - d.  Mortgage Fraud Report (copy)
- 16.  Nevada MF
- 17.  OCC
  - a.  OCC MF Memo 2008-11-25
- 18.  OFHEO-FHFA
  - a.  OFHEO Final Rule on Mortgage Fraud - August 2005
  - b.  OFHEOPARNovember2005
  - c.  OFHEOPARNovember2006
  - d.  OFHEOPARNovember2007508
- 19.  Rachel Dollar
  - a.  2010-05-19 Rachel Dollar MFR FINAL
- 20.  Rachel Steinmetz
  - a.  2010-10-14 MFR of Rachel Steinmetz (FINAL)
- 21.  SAFE Act
  - a.  Mandates-SAFE
  - b.  MLO-Requirements-SAFE
  - c.  SAFE-Act
  - d.  SummaryofSAFEAct
- 22.  Tim Doyle (Mortgage Bankers Assn.)
  - a.  2010-05-27 REVIEWED Tim Doyle, Mortgage Bankers Association
- 23.  Tony Accetta (Bear Stearns)
  - a.  2010-05-28 Tony Accetta MFR FINAL
- 24.  Whistleblowers
- 25.  William Black
  - a.  William Black - UMKC School of Law
  - b.  William Black bio short
  - c.  William Black Lehman.House Fin hearing.final WKB test.
  - d.  William Black Senate crime subcomm testimony
- 26.  World Outlook Management
  - a.  Lobbying Guide
  - b.  WOM #1
  - c.  WOM #2
  - d.  WOM #3
  - e.  WOM #4
  - f.  WOM #5
  - g.  WOM #6
  - h.  WOM #7
















































































































- i.  WOM #8
    - j.  WOM #9
  - 27.  2006 FBI MF report
  - 28.  2007 FBI MF report
  - 29.  2008 FBI MF report
  - 30.  2009 FBI MF report
  - 31.  Chris Swecker Interview Questions
  - 32.  Fannie Mae MF stats
  - 33.  FBI Las Vegas MF press release
  - 34.  FBI MF press release
  - 35.  FBI MF Task Force press release
  - 36.  MF news articles
  - 37.  Miami Field Hearing
  - 38.  Nevada MF cases
- AB.  JArmstrong
  - 1.  2010-09-24 Bubba Grimsley
  - 2.  2010-11-12 Section III Quotes Pulled (JA)
  - 3.  fact checking
  - 4.  Goldman Sachs 2008 10K
  - 5.  Objections
- AC.  JCOLLINS
  - 1.  2010-10-29 (1800) - Section III, Ch. 3 - sent to commissioners; AF ed(1)
  - 2.  2010-10-29 (1800) - Section III, Ch. 3 Collins fact check
  - 3.  2010-12-01 (1900) - Section II, Ch. 1 - AF ed.(1)
  - 4.  2010-12-01 (1900) - Section II, Ch. 1 - AF ed.(4)
  - 5.  2010-12-07 (2200) - Section II, Ch.3 - AF edits
  - 6.  2010-12-19 (2300) - Section I Ch 3- sent to commissioners
  - 7.  2010-12-19 (2300) - Section I, Ch. 3- sent to commissioners
  - 8.  2010-12-19 (2300) - Section I, Ch. 3- sent to commissioners(4)
  - 9.  Appendix III
  - 10.  Compensation Abbreviated
  - 11.  Countrywide 2Q06
  - 12.  Countrywide 3Q06
  - 13.  Countrywide compensation structure
  - 14.  Draft Compensation TOPIR13
  - 15.  Draft Compensation TOPIR15
  - 16.  Draft Compensation TOPIR7 (2)
  - 17.  Long Beach Mortgage
  - 18.  Rainmaker
  - 19.  Section I Ch 2 fact check
- AD.  jdanganan
- AE.  JMARCACCI
  - 1.  Bear Stearns Segment
  - 2.  Common Wealth Bank of Australia MFR
  - 3.  Compensation Charts Excel
  - 4.  Compensation Charts Word
  - 5.  IB Segment Charts v2
  - 6.  IB Segment Charts v3
  - 7.  Morgan Stanley Segments
  - 8.  SEC Actions on Abusive Short Selling
- AF.  JMiller
  - 1.  Shadow Banking
  - 2.  Shadow banking products 07 02 10.doc
- AG.  JPOULIN
  - 1.  Audio files
    - a.  R. Golden 2 - accounting

2.  MFRs
3.  misc
  - a.  FV questions
  - b.  misc
  - c.  SEC and FDIC responses on MTM
4.  Submitted data
  - a.  BHC Taxes report
5.  AIG FP segment
6.  Citi retained interests
- AH.  JSMITH
  1.  Bear Stearns Paul Friedman Statements Summary
    - a.  Summary Outline of Paul Friedman Statements
  2.  2010-04-13 Draft Doc Demand to OTS (2)
  3.  2010-05-05 HEARING 4 TRANSCRIPT DAY ONE
  4.  2010-05-06 HEARING 4 TRANSCRIPT DAY TWO
  5.  2010-05-18 Draft B of A Interrogatories
  6.  2010-06-10 Draft Exec Summary of AIG Investigative Reportver 719pm june 15 GN edits
  7.  2010-06-17\_JHawke Response to H2-3 Follow-Up
  8.  Addresses for Regulatory Agencies
  9.  Amy Stroupe Interview Prep
  10.  Bear Stearns Draft Investigative Report 05-01-10
  11.  Bear Stearns Liquidity Chart through Collapse
  12.  Compensation for Bear Execs Page 1
  13.  Cont. Research for DNorman For Commissioner Report\_4-17-10
  14.  CSE Exam Liquidity and Risk Management Deficiencies
  15.  cse timeline
  16.  Derivative narrative Bear
  17.  Draft for Derivatives Interrogatory
  18.  Filename List for CSE\_SEC\_& \_Bear
  19.  Follow-Up Commissioner Rogs from Hearing 4
  20.  Hearing 2-3 Follow-Up Summary Memo
  21.  June 3 doc request to FRBNY
  22.  Leverage Ration for Bear and other IBs
  23.  MFR for Interview with Amy Stroupe
  24.  Mortgage Fraud Memo
  25.  Mortgage Fraud Report\_GWahl
  26.  Notes from discussions with Counsel regarding Responses to H2\_3
  27.  PIMCO
  28.  PIMCO and State Street Company Overview
  29.  Press Release Regarding Tim Doyle
  30.  Questions for Schwartz
  31.  REPO Market Risk Masking Between Quarters
  32.  Research project
  33.  Review of ROGs for Goldman
  34.  SAR Disclosure Legal Research
  35.  Scanned Capital Ratio Graph
  36.  SEC Explanation of Bear Collapse as a Liquidity Crisis
  37.  SEC Interview Questions
  38.  Spreadsheet for H2-3 Follow-up
  39.  Straddles BNA
  40.  Summary of Bear Stearns\_JP Morgan Acquisition
  41.  Summary of FRB Document Production 6-21-10
  42.  Summary of Mortgage Fraud Interview Findings
  43.  Wachovia Document Production Follow-Up Requests5-14-10
  44.  Wachovia Follow-Up to Document Requests
  45.  Wyman Report Analysis for Commissioner Report

























































- AI.  kdowney
  - 1.  Kirstin Downey preliminary workplan
  - 2.  test
  - 3.  test
- AJ.  KDUBAS
  - 1.  Book
    - a.  Latest Version Drafts
      - 1.  2010-09-30--Section III Chap 3 for Karen - KMD response to Chair comments
      - 2.  2010-10-05 (1730) Section III Chap 3--staff comments merged wme ghf
      - 3.  2010-10-05 Chairman comments on III.3 (Citigroup)
      - 4.  2010-10-05 Donna comments on III.3 (Citigroup)
      - 5.  2010-10-05 Scott comments on III.3 (Citigroup)
      - 6.  2010-10-08 (1500)- Section III - Ch. 9 (sans WaMu)--combined KMD
      - 7.  2010-10-13 (1700)- Section III - Ch. 9 (sans WaMu)--combined-notes fixed
      - 8.  2010-10-14 (1600)- Section III - Ch. 9 (sans WaMu)--combined-notes fixed - wme
      - 9.  2010-10-14 Sec III Ch 3 to Chair Vice Chair
      - 10.  2010-10-26 - Section III Ch 9 - MB 2nd edit KMD
      - 11.  2010-10-26 - Section III Ch 9 - MB 2nd edit KMD (BofA)
      - 12.  2010-10-26 - Section III Ch 9 - MB 2nd edit KMD (Citi)
      - 13.  2010-10-26 - Section III Ch 9 - MB 2nd edit KMD (TARP)
      - 14.  2010-10-27 (2000)- Section III - Ch 9 - WME editing 1 pm (KMD - Citi)
      - 15.  2010-10-28 (1830) - Section III - Ch 9 - to C-VC, Scott [KMD - BofA, Citi]
      - 16.  2010-10-28 (1830) - Section III - Ch 9 - to C-VC, Scott [KMD - BofA]
      - 17.  2010-10-28 (1830) - Section III - Ch 9 - to C-VC, Scott [KMD - Citi]
      - 18.  2010-10-29 (1800) - Section III Ch 3 - sent to commissioners AF ed [KMD - Citi]
      - 19.  2010-11-11 Moodys for Section II-2-2 - Bryan edits (KMD OLD)
      - 20.  2010-11-12 Moody's for Section II-2-1 (KMD)
      - 21.  2010-11-12 Moodys for Section II-2-2 - Bryan edits (KMD Updated)
      - 22.  2010-11-16 (530 pm) - Section II Ch 2 1 [KMD - Moodys]
      - 23.  2010-11-16 Moody's for Section II-2-1 - Edited (KMD)
      - 24.  2010-11-19 II-3 Moody's (MB 3rd edit) - KMD
      - 25.  2010-11-22 II-5 Moody's (MB 3rd edit) - KMD
      - 26.  2010-11-26 Section II Chapter 3 citi (KMD - Citi)
      - 27.  2010-11-29 Section 2-2 - Moody (KMD)
      - 28.  2010-11-30 Section 2-2 - Moody (KMD)
      - 29.  2010-11-30 Section 2-2 - Moody (KMD) (GF)
      - 30.  2010-12-02 Section II Chapter 4b (KMD)
      - 31.  2010-12-03 Moodys - II-3 (KMD)
      - 32.  2010-12-03 section II chapter 4b (KMD - Moody's)
      - 33.  2010-12-09 - Section II - Chapter 5 (KMD)
      - 34.  2010-12-26 section 4-1 - 6 00 pm version [KMD factchecking]
      - 35.  2010-12-27 (1500) - Section IV Ch 2 - sent to commissioners (KMD factchecking 12-27)
    - b.  Section III
      - 1.  Data
        - a.  4 Week Treasury Rate
        - b.  ABX Index Data
        - c.  AIG Stock Prices
        - d.  Bank Leverage Table
        - e.  BLS Nonfarm Payroll Employment
        - f.  Citigroup Stock Prices
        - g.  Commercial Paper Outstanding (Historical)
        - h.  CP Compilation Data
        - i.  DJIA Closing Values
        - j.  Effects of Financial Constraints (Campello, Graham, Harvey) - Table 3
        - k.  FDIC Failed Bank List (2011-01-03)
        - l.  FDIC List of Failed Banks

- m.  Federal Funds Rate
  - n.  Flow of Funds H4.1 - Federal Reserve Balance Sheet
  - o.  Foreign Money Inflows
  - p.  FRB CPFF Facility Usage
  - q.  FRB G19 - Revolving Consumer Credit
  - r.  FRB Z-1 Table B-100 Balance Sheet of Households and Nonprofit Organizations - Net Worth
  - s.  MMF and CP data
  - t.  S& P 500 & MSCI Index
  - u.  State HPI Data (from Adam)
  - v.  Stock Price Benchmarks for Financial Institutions
  - w.  TARP Summary Chart
  - x.  TARP Table of Allocations
2.  Drafts
- a.  2010-08-05 Joel Section III
  - b.  2010-08-09 Reserve Primary Fund Insert
  - c.  2010-08-09 Section III in progress
  - d.  2010-08-10 Section III in progress
  - e.  2010-08-11 Section III in progress
  - f.  2010-08-12 Section III in progress
  - g.  2010-08-13 Section III in progress
  - h.  2010-08-16 Section III in progress
  - i.  2010-08-20 Section III in progress
  - j.  2010-08-22 Section III in progress
  - k.  2010-08-27 Section III in progress
  - l.  2010-08-27 Section III in progress
  - m.  2010-09-12 Section III in progress
  - n.  2010-09-16 AIG draft
  - o.  2010-09-17 AIG draft
  - p.  2010-09-19 - Section III.1 draft KMD comments
  - q.  2010-09-19 BSAM - Donna original version
  - r.  2010-09-19 BSAM Section (with edits and comments)
  - s.  2010-09-22 - Section III.3 draft KMD
  - t.  2010-09-22 Section III in progress
  - u.  2010-09-27 - Bear Stearns - Donna original version
  - v.  2010-09-27 TARP Insert
  - w.  2010-09-30 GSE 2008 Insert
  - x.  2010-10-05 Chapter 3 Citigroup Insert
  - y.  2010-11-04 Rating Agencies Section II
  - z.  2010-11-10 Rating Agencies (Section II, Chapter 3)
  - aa.  Citigroup 2008 Insert
  - ab.  Citigroup Insert
  - ac.  Section III Outline 2010-07-15
  - ad.  Wachovia Insert 2010-08-26
- 3.  2007-04-09 The Bear Stearns Cos. Inc. (S& P)
  - 4.  2007-04-09 The Bear Stearns Cos. Inc. Summary (S& P)
  - 5.  2007-06-22 The Bear Stearns Cos. Inc. Rating Unaffected by Hedge Fund Difficulties (S& P)
  - 6.  2008-10-16 Merrill Lynch Reports Third Quarter 2008 Net Loss from Continuing Operations of \$5.1 Bill
  - 7.  2010-05-25 Fcic interview of Jay Siegel - KMD Notes
  - 8.  2010-05-26 Transcript of interview with Roger Stein - KMD Notes
  - 9.  2010-08-11 Sponsor Support Key to Money Market Funds (Moody's)
  - 10.  2010-08-14 Section III in progress
  - 11.  2010-11-08 Quotes for Rating Agencies
  - 12.  2010-11-10 Quotes for Rating Agencies
  - 13.  Citigroup 2007 notes
  - 14.  Citigroup subsidiaries


























































15.  Government Liquidity Facilities and Bailout Programs
  16.  GSE Notes
  17.  Interview highlights and quotes
  18.  Quotes for GSE Section
  19.  Roger Cole Interview Excerpts
  20.  TARP Articles from Lexis
  21.  The Global and Economic Financial Crisis - A Timeline (Wharton)
- c.  WaMu
1.  1990 WaMu AR
  2.  WaMu - 1985 Annual Report
  3.  WaMu - 1985 Annual Report
  4.  WaMu - 1985 Annual Report
  5.  WaMu - 1986 Annual Report
  6.  WaMu - 1987 Annual Report
  7.  WaMu - 1987 Annual Report
  8.  WaMu - 1988 Annual Report
  9.  WaMu - 1988 Annual Report
  10.  WaMu - 1989 Annual Report
  11.  WaMu - 1989 Annual Report
  12.  WaMu Draft Report
- d.  2002-11-21 Bernanke Deflation
- e.  2003-10-08 The Future of Financial Services -- Revisited (Roger Ferguson remarks)
- f.  2004-02-20 The Great Moderation (Bernanke remarks to Eastern Economic Association)
- g.  2009-12-08 Laurie Goodman testimony to the House Financial Services Committee
- h.  2010-10-15 MERS - Quick Facts
- i.  2010-10-27 Laurie Goodman presentation
- j.  2010-10-29 Copy of Uncleared Docs
- k.  2010-12-03 Citigroup Compilation
- l.  2010-12-03 Compilation of Moody's
- m.  2010-12-03 PA Comments re Credit Rating Agencies (KMD notes)
- n.  2010-12-20 All Citigroup Sections
- o.  2010-12-22 Screenshot of RealtyTrac website (Nevada foreclosure statistic)
- p.  2011-01-18 Failures at Rating Agencies Fact Sheet
- q.  2011-01-18 Risk Management Failures Fact Sheet - Citigroup
- r.  Bank Size Data
- s.  Compilation for OCC-FRB NY Liquidity Puts
- t.  Fact Checking Issues in 2-2 as of January 3 2011 (KMD - Moodys)
- u.  Jeff Agosta, SVP for Finance at Devon Energy Corporation (Krebs quote confirmation)
- v.  Lewis Transcript (Partial - TARP)
- w.  List of FCIC-Regulator Requests and Responses
- x.  Order, Objection to Claims of Citibank, N.A. 4-6-10 (Bankr. E.D. Cal. May 20, 2010)
- y.  Robert Rubin Compensation
- z.  S& P RMBS Database - Percent of loans in default, foreclosure, or REO by zip code
2.  CRA
- a.  2003-11-14 Draft CRA Loan Agreement for NJ Citizen Action
  - b.  2008-11-08 BOD Memo re Staff Analysis of Relationship bt CRA and Subprime
  - c.  2010-03-18 B Karp (Citi) ltr to B Bondi re CRA responses
  - d.  2010-05-26 B Karp (JPM) ltr to B Bondi re CRA
  - e.  2010-06-09 R Kelner (WFB) ltr to B Bondi re CRA Requests
  - f.  2010-06-16 R Brown (BofA) ltr to B Bondi re CRA (copy)
  - g.  ABA Banking Journal Compliance Watch 6-03
  - h.  Bank of America Charts
  - i.  Bank of America Details Community Development, Foreclosure Relief Goals
  - j.  Carney - Three Ways the CRA Pushed Countrywide
  - k.  CRA possible data sites
  - l.  CRA Questions

- m.  CRA Rating Data
- n.  CRA Report Draft
- o.  eFannieMae.com - CRA-Targeted MBS
- p.  FR Neil Bhutta - Giving Credit where Credit is Due, the CRA and Mortgage Lending in LI Neighborhoods
- q.  FR Staff Analysis of the Relationship between the CRA and the Subprime Crisis
- r.  FRBSF - Revisiting the CRA
- s.  FRBSF & FRB Boston - Revisiting the CRA, Perspectives on the Future
- t.  Harvard Joint Center for Housing - The 25th Anniversary of the CRA, Access to Capital in an Evolving
- u.  ICBA Grant Thornton 2002 Study on CRA
- v.  JCHS - Subprime Lending and the CRA
- w.  Liebowitz - Anatomy of a Train Wreck
- x.  Liebowitz Testimony
- y.  MOU of CRA Goals between JPMorgan and Chicago CRA Coalition
- z.  National Community Reinv Coalition Report 2007
- aa.  NCRC - A Brief Description of the CRA
- ab.  NCRC - CRA Commitments
- ac.  NCRC - CRA Manual
- ad.  NCRC - CRA Toolkit
- ae.  NCRC - Foreclosure in the Nation's Capital, How Unfair and Reckless Lending Undermines Homeownership
- af.  NCRC - How to Use the CRA to Promote Housing and Economic Opportunity
- ag.  NCRC Community Reinvestment Modernization Act of 2009 Resource Toolbox
- ah.  NCRC Testimony - An Examination of Civil Rights Issues with Respect to the Mortgage Crisis 2009.03.2
- ai.  NCRC Testimony - The CRA, Thirty Years of Accomplishments 2008.02.13
- aj.  Pinto - Yes, the CRA Is Toxic
- ak.  PSR - The Community Reinvestment Act and the Mortgage Crisis
- al.  Treasury - The CRA after Financial Modernization
- am.  WF-FCIC-CRA-00000001 Wells Fargo Public Commitments (1990-2010)
- 3.  Fannie Mae
  - a.  2009-06-04 Cost of Freddie Mac's Affordable Housing Mission (Business and Risk Cmte BOD)
  - b.  2010-06-12 To FASB or Not to FASB (Economist)
  - c.  FM-FCIC-00026154 - 00026405 Fannie Mae Papers (2002-2004)
- 4.  Hearing 2
  - a.  Articles
    - 1.  2000.10.22 Opiel & McGeehan - Along With a Lender, Is Citigroup Buying Trouble (NYT)
    - 2.  2001.12.07 John Hechinger - Best Interests, How Bing Lenders Sell a Pricier Refinancing to Poor Home
    - 3.  2002.10.30 Laura Mandaro - How Fed Will Monitor Citi In Subprime (AB)
    - 4.  2003.02.07 Banks Push Combined Mortgage, Home-Equity Lines (WSJ)
    - 5.  2003.06 Michael Hudson - Banking on Misery (Southern Exposure)
    - 6.  2003.06.10 John Goff - Citi Board the Worst, Study Says (CFO.com)
    - 7.  2004.05.28 Timothy O'Brien - Fed Assesses Citigroup Unit \$70 Million in Loan Abuses (NYT)
    - 8.  2005.09.12 Mark Whitehouse - Slices of Risk, How a Formula Ignited Market (WSJ)
    - 9.  2005.10.24 Hudson & Reckard - More Homeowners with Good Credit Getting Stuck with Higher-Rate Loans
    - 10.  2006.10.31 Mark Whitehouse - As Homeowners Face Strains, Market Bets on Loan Defaults (WSJ)
    - 11.  2007.08.03 Eric Dash - Is the Dance Over, Citigroup Is Upbeat (NYT)
    - 12.  2007.11.03 Eric Dash - Citigroup Chief Is Set to Exit Amid Losses (NYT)
    - 13.  2007.11.07 Graef Crystal - Prince Shows It's Not So Good to Be the Prince (Bloomberg)
    - 14.  2007.11.28 Carol Loomis - Robert Rubin on the Job He Never Wanted (Fortune)
    - 15.  2007.12.31 Glenn Simpson - Lender Lobbying Blitz Abetted Mortgage Mess (WSJ)
    - 16.  2008.01.31 Katie Benner - Robert Rubin, What Meltdown (Fortune)
    - 17.  2008.03.07 CEO Pay and the Mortgage Crisis (House Oversight Committee)
    - 18.  2008.10.14 Dean Starkman - Spitzers Ghost (Columbia Journalism Rvw)
    - 19.  2008.11.23 Eric Dash - The Reckoning, Citigroup Saw No Red Flags Even as It Made Bolder Bets (NYT)
    - 20.  2009.01.09 Timothy Noah - Robert Rubins Free Ride (Slate)
    - 21.  2009.07.20 Clayton Rose & Aldo Sesia - What Happened at Citigroup (HBS)

- 22. Articles Coversheet (CMayo)
- b. Memoranda
- c. 2010.01.20 Draft Summary of Combined H2 and H3
- d. 2010.01.21 Partial Work Plan for Housing Hearing
- e. 2010-03-30 CRA Notes for Presentation
- 5. Hearing 3
  - a. 2010.01.29 Memo re Interview with A. Marzol
- 6. Hearing 4
  - a. 2009-11-17 Geithner Transcript from Closed Session
  - b. a. 2009-11-17 Transcript of FCIC Closed Session with Sec. Geithner
  - c. a. IG Report SEC's Oversight of Bear Stearns and Related Entities- The CSE Program
  - d. a. SEC Witness Biographies
  - e. Paulson Quotes
- 7. Notes
  - a. 2009.11.23 Memo re call with G. Theobald (Miami Chief of Police) - DRAFT
  - b. 2009.11.23 Memo re call with V. Spices of IL AG - DRAFT
  - c. 2009.11.24 Memo re call with C. Guzman - DRAFT
  - d. 2010 02 02 Memo re Interview with Janice Warne KD
  - e. 2010.01.12 Memo re Bondi Call with R. Brown (Citi counsel) - DRAFT KD BB
  - f. 2010.01.15 Memo re Bondi Call with B. Karp, S. Buergel, R. Brown (Citi counsel) - DRAFT v2
  - g. 2010.01.19 Memo re Bondi Call with L. Morris (FTC) - DRAFT
  - h. 2010.01.19 Memo re Bondi Call with S. Forstein (SEC) - DRAFT
  - i. 2010.01.20 Memo re Bondi call (briefing by Citi counsel) KD
  - j. 2010.01.21 Memo re Bondi Call with L. Morris (FTC)
  - k. 2010.01.21 Memo re Bondi Call with S. Schwab
  - l. 2010.01.22 Memo re Bondi Call with M. Macchioroli (SEC) KD
  - m. 2010.01.22 Memo re Bondi Call with S. Buergel - KD
  - n. 2010.01.29 Memo re Interview with A. Marzol
  - o. 2010.02.02 Memo re Interview with Bill Arnold
  - p. 2010.02.02 Memo re Interview with Bill Arnold KD
  - q. 2010.02.02 Memo re Interview with Janice Warne
  - r. 2010.02.02 Memo re Interview with Kevin Murray & Joe Forlenza
  - s. 2010.02.02 Memo re Interview with Matt Bollo
  - t. 2010.02.02 Memo re Interview with Pete Steinmetz
  - u. 2010.02.03 Memo re Interview with Dan Hoffman
  - v. 2010.02.03 Memo re Interview with Ed Abufaris & Tony Tenore
  - w. 2010.02.03 Memo re Interview with Fred Bolstad
  - x. 2010.02.03 Memo re Interview with James Ryan & John Schachtel
  - y. 2010.02.03 Memo re Interview with Phil Seares
  - z. 2010.02.03 Memo re Interview with Susan Mills
  - aa. 2010.02.03 Memo re Interview with Susan Mills KD
  - ab. 2010-02-23 K Dubas memo re Citi and CRA
  - ac. 2010-02-23 K Dubas tables for Citi and CRA memo.xlsx
  - ad. 2010-02-25 Memo re B Bondi call with L Brown (Citi) re CRA
  - ae. 2010-03-02 Memo re Testimony with Murray Barnes
  - af. 2010-03-02 Memo re Testimony with Murray Barnes KD
  - ag. 2010-03-02 Memo re Testimony with Murray Barnes KD 1
  - ah. 2010-03-02 Memo re Testimony with Nestor Dominguez
  - ai. 2010-03-02 Memo re Testimony with Nestor Dominguez
  - aj. 2010-03-03 Memo re Testimony with Randy Barker
  - ak. 2010-03-03 Memo re Testimony with Randy Barker KD
  - al. 2010-03-11 K Dubas memo re interview with Tom Maheras (Citi)
  - am. 2010-03-11 K Dubas memo re interview with Vikram Pandit
  - an. 2010-03-19 K Dubas MFR with Bart McDade (Lehman)
  - ao. 2010-04-13 MFR of Interview with David Kotz and Pete Kyle (SEC)
  - ap. 2010-04-13 MFR of Interview with Robert Upton

























































- aq.  2010-04-13 MFR of Interview with Robert Upton
- ar.  2010-04-16 Bart McDade
- as.  2010-04-19 MFR of Interview with Tom Marano
- at.  2010-04-20 MFR of Interview with Josh Anderson
- au.  2010-04-20 MFR of Interview with Josh Anderson (PIMCO)
- av.  2010-04-26 MFR of Interview with David Kotz and Pete Kyle (SEC)
- aw.  2010-04-26 MFR of Interview with William Cohen (House of Cards)
- ax.  2010-04-26 MFR of Phone Interview on Kyle Bass
- ay.  2010-04-26 MFR of Phone Interview with Kyle Bass
- az.  2010-04-28 MFR of Interview with Dick Fuld (Lehman)
- ba.  2010-04-29 Friedman
- bb.  2010-04-29 MFR of Interview with William Donaldson
- bc.  2010-04-30 MFR of Interview with Mabel Yue (Vanguard)
- bd.  2010-05-04 MFR of Interview with David Teicher
- be.  2010-05-11 Notes on transcript of Interview with Michael Kanef
- bf.  2010-05-20 Notes on Transcript of B Bondi Interview with Brian Clarkson
- bg.  2010-05-20 Transcript of Interview with Brian Clarkson (KMD notes)
- bh.  2010-05-21 Transcript of Interview with Raymond McDaniel (KMD Notes)
- bi.  2010-06-08 MFR of Interview with Josh Rosner and Keith Johnson
- bj.  2010-06-11 Notes from T Stanton interview of Brewster (Fannie)
- bk.  2010-06-18 Notes from Interview with Lela Wingard and Stephanie Mudick (JPM)
- bl.  2010-06-22 Notes from T Stanton Interview with Anurag Saksena (Freddie Mac)
- bm.  2010-06-24 Notes from Meeting with SEC (Due Diligence) Part II
- bn.  2010-07-27 LSTA Meeting
- bo.  2010-08-04 Notes from C Seefer Interview at FRBNY (A Angulo, B Rutledge, D Dobbeck)
- bp.  2010-08-13 Notes from C Seefer Interview of Rich Westercamp (FRB Richmond)
- bq.  2010-11-02 David Graiss time stamps
- br.  Aggregated Questions
- 8.  Personal
  - a.  2010.01.29 FCIC Employee Claim for Compensation FormPP3
  - b.  2010-02-24 FCIC Employee Claim for Compensation Form
  - c.  Eventide Groupon 1
  - d.  Karen\_Dubas\_FCIC\_Ethics\_Policy
- 9.  Witness List
  - a.  2010-02-23 M Cooper List Suggestions
  - b.  2010-09-01 Interview List Update
  - c.  2010-12-02 MFRs missing + 2be repaired rev 12 2 (KMD)
  - d.  FCIC Master Contact List
  - e.  FCIC Master Contact List for WE
  - f.  Interview List Template
  - g.  Missing Audio
  - h.  Team 1 Interview List
  - i.  Team 2 Interview List
  - j.  Team 3 Interview List
  - k.  Team 4 Interview List
  - l.  Team 5 Interview List
  - m.  Team R Interview List
- 10.  1. Draft of PSR on TBTF 8 26 10
- 11.  2010.01.15 Meeting Notes
- 12.  2010.01.20 Symposium Invitation Letter KD
- 13.  2010-06-02 Michalek Testimony
- 14.  2010-06-28 Investigative Report - Governance DRAFT
- 15.  Confidential Work Plan - Securitization - 6-17-10
- 16.  Confidential Work Plan - Securitization - 6-17-2010
- 17.  Glossary
- 18.  Petros Egziabher - Cover Letter



- 19.  Petros Egziabher - References
- 20.  Petros Egziabher - Resume
- AK.  KSHAFER
  - 1.  CDO Manager questions
  - 2.  derivatives draft R and I plan 3 10
  - 3.  glass steagall recap
  - 4.  Hsg Hrg Followup Qs
  - 5.  Hsg Hrg Followup Qs
  - 6.  Initial Draft of Investigative Questions taken from RPI
  - 7.  Nestor Dominguez
  - 8.  Nestor Dominguez reformatted
  - 9.  Prince Rubin
- AL.  LSCOTT
  - 1.  2010-05-19 Retirement Funds Survey
  - 2.  5-TAB LABELS
  - 3.  Avery 5-tab template
  - 4.  Avery easyapply 12-tab template
  - 5.  Compensation Spreadsheet -- Moodys
  - 6.  Identified Documents by Batestamp and Title-3-29-10
  - 7.  INDEX TEMPLATE-conference call transcripts binders
  - 8.  Labels - Manilla Envelopes
- AM.  LSTROEBEL
  - 1.  Asset Backed Alert
  - 2.  Bloomberg
    - a.  prospectuses
      - 1.  gsc 2006-7x a1 mtge prospectus
      - 2.  GSC ABS CDO 2006-2m collateral analysis
      - 3.  gsc abs cdo 2006-2m prospectus
      - 4.  inde5 5x a1s mort prospectus
    - b.  USD CDO 2001 to 2010
    - c.  USD CDO 2001 to 2010
  - 3.  Case Studies
    - a.  GSC ABS CDO 2006-2m
      - 1.  CDO Draft for RIR
      - 2.  EMS collateral market value GSC ABS CDO 2006-2m
      - 3.  GSC ABS CDO 2006-2m Collateral Analysis
      - 4.  GSC ABS CDO 2006-2m Moodys EMS Report 28 apr 2010
      - 5.  GSC ABS CDO 2006-2m Moodys New Issue Report 31 May 2006
      - 6.  GSC ABS CDO 2006-2m Moodys Ratings Memo
      - 7.  GSC ABS CDO 2006-2m Moodys Ratings Memo Addendum
      - 8.  GSC ABS CDO 2006-2m Moody's Ratings Release 5 Jun 2006
      - 9.  GSC ABS CDO 2006-2m Prospectus
  - 4.  Casemap Repository
    - a.  Email -
    - b.  Fleming\_Repo
    - c.  Fleming\_TSLF
    - d.  Fleming\_TSLFRepo
  - 5.  Creditflux Data
    - a.  CSoleaguetables07
    - b.  CSoleaguetables08Q1
    - c.  CSoleaguetables08Q2
    - d.  CSoleaguetables08Q4
    - e.  CSoleaguetables2008
    - f.  CSoleaguetables2009Q1
    - g.  CSoleaguetables2009Q2
    - h.  CSoleaguetables2009Q3
    - i.  Monthlyhedgefundperformance

























































- 6. Moodys Reports
  - a. Moodys - Cash Flow CDO - 26 Sep 2005
  - b. Moodys - CDO Monitoring Process - 30 Sep 2002
  - c. Moodys - CDO Primer - 7 Mar 2005
  - d. Moodys - CLO Methodology - 12 Aug 2009
  - e. Moodys - Correlated Binomial Default Distribution - 10 Aug 2004
  - f. Moodys - Exotic Synthetic CDOs w CDOROM - 1 Feb 2007
  - g. Moodys - Rating Multisector CDOs - 15 Sep 2000
  - h. Moodys - Rating Synthetic CDOs - 29 Jul 2003
  - i. Moodys - Revisits CDO Default and Correlation Assumptions - 27 Jun 2005
  - j. Moodys - SF CDO Methodology - 14 Aug 2009
  - k. Moodys - Updated V Scores for SF Securities - Dec 2008
- 7. Moodys SF-DRS
  - a. RMBSCDO\_SummarySheet
  - b. sf\_drs\_access\_db
  - c. sf\_drs\_all\_text\_files
- 8. Shadow Banking
  - a. Shadow Banking RIP
- 9. 2010-11-11 (2000) - Section III - Ch 9 - AF edit(2)
- 10. CRS-Credit Rating Agencies-for PSR
- AN. MCooper
- AO. MEASTERLY
  - 1. AIG
    - a. AIG compensation
      - 1. AIG compensation
      - 2. AIG compensation (long form)
      - 3. AIG compensation (shorter tables)
      - 4. AIG compensation (shorter tables)
      - 5. AIG compensation 2010-06-09
      - 6. AIG Compensation overview (Ryan Schulte)
      - 7. AIG executive compensation (Chris's edits)
      - 8. compensation incentives to hide risk at aigfp
    - b. AIG interviews
      - 1. dinallo, eric
      - 2. dinallo, eric, cheat sheet
      - 3. dinallo, eric, interview questions
      - 4. greenberg articles new highlights
      - 5. greenberg documents full form
      - 6. Greenberg questions
      - 7. Greenberg, Hank, summary and timeline
    - c. AIG's valuation techniques
    - d. Draft Summary AIG IR-June 17 2008(WE snd GN edits by dkn and ac and mee)
    - e. timeline addendum for AIG Financial Products
    - f. timeline for AIG 2010-04-20
  - 2. credit ratings agencies
    - a. liability of rating agencies
    - b. role of ratings agencies
    - c. tardiness of ratings agencies
    - d. tardiness of ratings agencies #2
  - 3. fact-checking
    - a. Bear Stearns Q4 earnings call
    - b. BUBBLES FOR I.1
    - c. easterly, proposed fixes for Section 1
    - d. fedfunds, 1954-
    - e. primer on rtc securitizations
    - f. regQdata
    - g. section 3.7, substitutions for 'email thread' in footnotes

- h. tables for citigroup-fnma calculations
  - i. tables for citigroup-fnma calculations fixed
  - j. text supporting claims about citi-fnma business
  - k. top 25 subprime -- banks thrifts or neither
  - l. top 25 subprime -- banks, thrifts, or neither
4. Fannie Mae
- a. Compensation
    1. compensation section of the Beast edits, 2010-04-01
    2. FNMA Compensation 2000-Conservatorship
    3. incentives for risk-taking in executive compensation at fannie mae (draft)
    4. pay for performance at fannie (draft)
    5. table of aip bonus targets and mgmt performance
  - b. Executives
    1. Mark Winer
    2. Mike Quinn
    3. QUESTIONS FOR JAMES LOCKHART
    4. Tom Lund
  - c. Regulators
    1. 2005-07 condition summary matrix 03172010
    2. Fannie Mae, two potential sections for the book
    3. Fannie Mae's pre-2008 support for mortgage market
    4. Foreign holdings of agency securities
    5. foreign holdings of GSE securities
    6. government assistance received by GSEs
    7. OFHEO oversight, 2006-08
  - d. fannie mae lobbying during the crisis
  - e. housing goals' influence on Fannie (draft)
  - f. HUD housing goals (summary)
  - g. political connections at Fannie Mae, 2005-08 (draft)
  - h. sec documents re fannie mae
  - i. Size of GSE junk holdings (draft)
  - j. timeline for Fannie Mae
  - k. Warnings about FNMA
5. final report
- a. majority's responses to minority criticisms
6. Goldman Sachs
- a. compensation for goldman sachs description, 2000-2009
  - b. compensation for goldman sachs numbers, 2000-2009
  - c. derivative use by Goldman Sachs
  - d. other ways goldman used derivatives
  - e. relative value trading explanation
7. histories
- a. history of corporate governance
    1. history of boards of directors ver 2
    2. history of corporate governance ts mee
    3. history of corporate governance ts mee wme
    4. history of executive compensation ts
    5. history of risk management ts
    6. new corporate governance notes
    7. questions on history of risk management function
  - b. history of regulation
    1. history of frb regulation
    2. history of regulation notes
    3. history of regulation notes
    4. history of sup and reg outline
    5. history of sup and reg outline, 2010 07 14
    6. regulatory change in financial services, 1979-2000

- 7.  regulatory history notes
  - c.  bubble talk
  - d.  congressional deregulation history
  - e.  history of banking panics
  - f.  history of TBTF
  - g.  Making Sense of Subprime 7-20-10
  - h.  mortgage tax deductions
  - i.  notes from scholarly sources
  - j.  primer on rtc securitizations
  - k.  TBTF timeline
- 8.  Indexes
  - a.  AIG DOCUMENTS HELD BY SEC
  - b.  AIG documents held by SEC, description
  - c.  AIG-SEC-NYC
  - d.  derivatives experts MFR index
  - e.  FCIC Master Contact List for 6-16-10
  - f.  follow-ups from derivatives interviews
  - g.  hearing 1 highlights index
  - h.  interview highlights index
  - i.  inventory of securitization docs
  - j.  inventory of securitization docs draft
  - k.  inventory of securitization files
  - l.  mfr list for shadow banking
  - m.  new century bankruptcy report highlights
  - n.  NYFRB AIG documents index
  - o.  NYFRB AIG Emails Index (box 164)--complete
  - p.  quotes for cltv insert
  - q.  scholarly article highlights (mtgs)
  - r.  SEC-NYC documents full notes
  - s.  SEC-NYC documents MEE recommended requests
  - t.  SEC-NYC documents MEE recommended requests with comments
  - u.  SEC-NYC documents, original notes
  - v.  securitization documents
  - w.  timeline of systemic events
- 9.  monolines
  - a.  monoline bond insurers
  - b.  monoline bond insurers pir latest
  - c.  monoline bond insurers pir template zotero codes
  - d.  monoline bond insurers pir template zotero codes
  - e.  monoline bond insurers pir template zotero codes latest
  - f.  monoline notes
- 10.  outlines
  - a.  chapter 1 outline (from wendy) 07 20 2010
  - b.  chapter 1 topics 07 20 2010
  - c.  Conceptual framework of Section 1
  - d.  narrative outline for the book
  - e.  narrative outline for the book old version
  - f.  needed material for revisions
  - g.  section 1 outline starting from 1990
  - h.  Section 1 outline starting from 1990 (revised)
  - i.  topics for chapter 1
- 11.  Quotations
  - a.  Baily and Amrit (sp) quotations
  - b.  Jim Callahan quotations
  - c.  John Snow quotation
  - d.  Levitt quotations

- e. Sandy Weill on compensation
  - f. vince reinhart quotations
  - g. Volcker quotes
12. regulation
- a. regulation outline
  - b. regulation outline, 2d draft
  - c. regulatory change in financial services (zotero codes)
  - d. regulatory change in financial services, 1980-2000
13. section 2
- a. easterly, 2010-11-01 (1800) - Section II, Ch. 2.1-2, with insert about CLTV
  - b. easterly, edits to 2010-11-23 1700 Section II Ch 1
  - c. easterly, insert for 2.1, appraisals
  - d. easterly, section 2 insert (New Century and Ameriquest)
  - e. easterly, way to insert nc bankruptcy material into II.2 (instead of II.4)
  - f. New Century material in II.2, old version
  - g. section II 1 phil's edits highlights checked blanks filled
  - h. section II.1 phil's edits highlights checked
14. section I
- a. drafts
    - 1. 2010-10-15 (1800) Section I Chapter 1 - Parallel Banking me wme
    - 2. 2010-10-18 (1800) - Section I, Chapter 2 - Crises and Consolidation me
    - 3. 2010-10-18 (1800) - Section I, Chapter 2 - Crises and Consolidation me
    - 4. 2010-10-20 (1000) - Section I Ch 2 - Crises and Consolidation - comments meekls
    - 5. 2010-10-23 (1600) - Section I Ch 2 - Crises and Consolidation - wme me
    - 6. 2010-10-25 (1800) Section I Chapter 1 - Parallel Banking me wme me
    - 7. 2010-10-25 (1800) Section I Chapter 1 - Parallel Banking me wme me
    - 8. 2010-11-23 - Section I Ch 3 additions mee
    - 9. chapter 2 (10-24-10) crises and consolidation wme jl me
    - 10. easterly draft of section 1, sept 2010
    - 11. easterly, edits of section I chapter 4 C VC1
    - 12. easterly, edits of section I chapter 4 C VC1 wme
    - 13. greg's comments
    - 14. ME-AEW Draft of Section One
    - 15. ME-AEW Draft of Section One 09 22 10
    - 16. ME-AEW Draft of Section One 09 24 2010 wme me
    - 17. ME-AEW Draft of Section One 09 28 2010
    - 18. ME-AEW Draft of Section One 09 29 2010
    - 19. ME-AEW Draft of Section One 09 30 2010
    - 20. ME-AEW Draft of Section One 10 01 2010
    - 21. ME-AEW Draft of Section One 10 01 2010 pm
    - 22. ME-AEW Draft of Section One 10 01 2010 pm
    - 23. ME-AEW Draft of Section One 10 02 2010
    - 24. ME-AEW Draft of Section One 10 02 2010 pm
    - 25. PART 1 DRAFT (wholesale market)
    - 26. PART 1 DRAFT (wholesale market) v2
    - 27. PENNSY mee
    - 28. section 1 draft (post-1990)
    - 29. section 1 draft (post-1990)
    - 30. Section 1, chapter 1 (the financial markets)
    - 31. Section 1, chapter 1 (the financial markets) mee
    - 32. Section 1, chapter 2 (the regulators)
  - b. reports or inserts
    - 1. Ben's chart of payments for political influence
    - 2. easterly, contribution to chapter 1
    - 3. easterly, introduction to part 3 of section 1
    - 4. Greg's email about ILCs

- 5. inserts on fdicia basel
- 6. inserts on fdicia, basel
- 7. section 1, partial derivatives insert (easterly)
- 8. section 1--short-term funding insert (easterly)
- 9. Section 1--transformation of American finance insert (easterly)
- c. application of statute to section 1
- d. bebchuk and fried, pay without performance slides
- e. drexel
- f. early securitization from mfrs
- g. ftc enforcement actions under hoepa (2000)
- h. history of subprime
- i. inflation and interest rates, 1947-1980
- j. inserts for chapter 1
- k. outline of section 1 abridged
- l. outline of section 1, chapter 1 (financial markets)
- m. outline of section 1, chapter 2 (regulators)
- n. proposed clarification of deposit-share distinction
- o. questions for donald kohn
- p. S&L crisis's influence
- q. sovereign default crisis of 1997-98
- 15. 2010-03-31 Draft Fannie Mae Investigative Report (copy)
- 16. 2010-10-12 Interview with Julian Robertson MFR
- 17. 2010-10-29 Interview with Andrew Davidson MFR
- 18. 2010-11-17 Interview with Marc Savitt MFR
- 19. bubble talk (no zotero codes)
- 20. CDO notes
- 21. CDO notes zotero
- 22. easterly, ideas about the causes of the financial crisis (draft)
- 23. easterly, writing sample on the financial crisis
- 24. Email - Fannie's lobbying
- 25. financial crises overseas
- 26. international aspects
- 27. mortgage tax deductions
- 28. one-month libor
- 29. summary of derivative experts MFRs
- 30. T - 2010-08-24 (Shafer) Role of Credit Derivatives me zotero
- 31. theories about the financial crisis
- AP. MFLAGG
  - 1. AIG
  - 2. BOOK MEMO
  - 3. CITI FDIC memo
  - 4. CONG OVERSIGHT PANEL
  - 5. Editing Memo
  - 6. FDIC
  - 7. FDIC Bank Guaranty Memo
  - 8. FDIC BofA MEMO
  - 9. FDIC MEMO
  - 10. FDIC messages
  - 11. FDIC PPIP Memo
  - 12. FDIC Questions
  - 13. FDIC Wachovia Memo
  - 14. GAO and TBTF
  - 15. research plan
  - 16. sources
  - 17. TODO

18.  TONE
  19.  too big
  20.  total
  21.  WACHOVIA 10-Ks
  22.  WACHOVIA Just Before the Fall
  23.  WACHOVIA MEMO
  24.  WACHOVIA PROGRESS REPORT
  25.  WELLS
  26.  WRITING SEMINAR
  27.  writing seminar II
- AQ.  MHaggerty
1.  data copy
    - a.  Data Webpage v2
  2.  Older drafts
    - a.  Section I
      1.  #2010-10-03 (1300) - Section I - OUTLINE rough in progress
      2.  2010-10-02 (1200) - Section I, Pts. I-VII, to MB, WE edit thru p.7
      3.  2010-10-02 (1700) - Section I for MB
      4.  2010-10-08 (1000) - Section I, Chapter 2 - Crises and Consolidation
      5.  2010-10-08 (1000) Section I, Chapter 1 - Parallel Banking
      6.  2010-10-17 (1300) Section 1, Chapter 3 - GSEs- incl kls
      7.  2010-10-20 (1000) - Section I, Ch. 2 - Crises and Consolidation - comments mee
      8.  2010-10-20 (1000) Section I, Ch. 1 - Parallel Banking - mee, wme
      9.  2010-10-30 (0600) - Section I, Chapter 4 - Subprime - Melana writethru
    - b.  Section II
    - c.  Section III
      1.  2010-09-26 Section III - Chapter 1 - sent to commissioners
      2.  2010-10-01 (1400) - Section III - Ch. 4 - Bear incl staff comments
      3.  2010-10-01 (1400) - Section III - Chapter 7 - AIG incl staff comments
      4.  2010-10-01 (1900)- Section III - Ch. 8 (sans WaMu, Wach), MBryan Edit
      5.  2010-10-04 (1800) Section III - Ch. 2 (WME, BBorn)
      6.  2010-10-04 (1800) Section III - Ch. 2 (WME, BBorn, Brook)
      7.  2010-10-04 (1900)- Section III - Ch. 9 (sans WaMu, Wach)--combined
      8.  2010-10-05 (1500) - Section III, Ch. 3
      9.  2010-10-06 (1600) - Section III - Chapter 7 - AIG incl staff comments wme
      10.  2010-10-06 (1800) - Section III, Ch. 5 - Landon-Clara-AI
      11.  2010-10-06 (1900) - Section III - Chapter 7 - AIG incl staff comments wme-cm
      12.  2010-10-06 (1900) - Section III, Ch. 7 (Lehman)
      13.  2010-10-08 (1000) - Section III, Ch. 1. (WME, BBorn, Brook)
      14.  2010-10-1 (1730) Section III Chap 3--staff comments merged wme ghf
      15.  2010-10-1 (1745) Section III - Chapter 2 - most sent to commissioners
      16.  2010-10-1 (2030) - Section III - Chapter 9 - Wachovia - staff comments
      17.  2010-10-11 (900) Section III Ch. 3--staff wme ghf cm--some fact checks
      18.  2010-10-3 (1730) Section III Chap 3--staff comments merged wme ghf
      19.  2010-10-3 (1745) Section III - Chapter 2
      20.  2010-10-4 (1300) - Section III, Ch. 6 (Lehman)
      21.  2010-10-4 (1600) - Section III, Ch. 1. (WME, BBorn)
      22.  2010-10-4 (1600) - Section III, Ch. 1. (WME, BBorn, Brook)
      23.  2010-10-4 (2000) - Section III, Ch. 6 (Lehman)
      24.  2010-10-5 (1800) - Section III, Ch. 6 (Lehman)
      25.  2010-10-6 (1900) Section III Chap 3--staff wme ghf cm--some fact checks
      26.  2010-10-7 (1900) Section III Ch. 3--staff wme ghf cm--some fact checks
    3.  2010-01-01 (2200) - Conclusions - PA review; points to check
    4.  2010-10-30 Section IV draft
    5.  2010-11-06 Section IV draft--730 PM
    6.  Base for bonus materials spreadsheet

7. New Vehicle Sales and Credit Supply Shocks, by Kathleen Johnson, Karen Pence and Daniel Vine

AR. mkane

AS. MMEDINA

AT. MSIMHAI

1. Bear Stearns

- a. 10-15 Sec III Ch 4 Mike 2nd edit (MS)
- b. 12.2 BSAM Section 3, ch.1 MS edits
- c. 2007-04-09 The Bear Stearns Cos Inc (S P)
- d. 2010-10-19 Interview with Ralph Cioffi reviewed
- e. 2010-11-12 (1300) - Section III, Ch. 1. - MS response to BK can't finds
- f. 2010-12-13 - Section III Ch 1 -wme MS edits
- g. 2010-12-3 (1700) - Section III, Ch. 4 - MS CSE Edits
- h. 4.26 riders
- i. 4.28 riders to investigative report
- j. 4.29 riders2
- k. Bear for Ron
- l. Bear Stearns for II-2-2 ms edits 11.8
- m. Bear Stearns Write Up
- n. BSAM Ch 2
- o. BSAM for Chapter 2
- p. CSE materials from PIR
- q. Email - FW Repo
- r. goldman novation rider
- s. Notes on Warren Spector
- t. Notes on Warren Spector2
- u. Prep for Cioffi interview
- v. Riders for Investigative Report
- w. Witnesses to Interview; notes
- x. WSComparison\_2010-03-20 MER Warren Spector-#1700151v5\_WLRK\_ - FCIC Spector Interview Memo

2. BNY Mellon

- a. Questions for Call with BNY Mellon

3. Referrals

- a. AIG Referral CONFIDENTIAL
  1. D1 PWC Meeting Notes
  2. D2 Cassano Email
  3. D3
  4. D4 Sullivan Interview Transcript
  5. Exhibit D AIG Referral - do not use
  6. Redline- Exhibit D AIG do not use
- b. 36CFR125 6.56: Referral
  1. 36CFR1256 .56: Privacy Referral
- c. Citi Mortgage Referral
  1. 2010-02-27 Transcript of Interview with Dick Bowen
  2. 2010-03-19 Citi Counsel Letter to the FCIC
  3. 2010-04-07 Richard Bowen Written Testimony
  4. CITI-FCIC-E 00743982 D Bowen to R Rubin and D Bushnell re concerns (2007-11-03)
  5. CitiMortgage Reps and Warranties Referral
- d. Citi Referral CONFIDENTIAL
  1. B 1 Risk Management Review CITI-FCIC 00002793
  2. B 2 CITI-FCIC 00000673 Corporate Audit and Risk Mgmt Cmte Minutes (2007-10-15)
  3. B 3 CITI-FCIC 00000444 BOD Minutes (2007-10-15)
  4. B 4 Fed Letter
  5. B 5 Prince Transcript
  6. B 6 CITI-FCIC-E 00036374 C Prince to R Rubin re Maheras and Super Seniors (2007-09-09)
  7. B 7 Rubin Transcript
  8. B 8 CITI 00007657 Presentation to the SEC Regarding Overall CDO Business and Subprime Exposure (2007-


























































9. B 9 Citi HEARING TRANSCRIPT [DAY ONE]
10. B10 Finra Report
11. B11 Conference Call Transcript
12. B12 2007 Citigroup Subprime Exposure Disclosure Timeline
13. EXHIBIT B - Citigroup do not use
14. Redline- Exhibit B Citigroup do not use
- e. Clayton Referral CONFIDENTIAL
  1. EXHIBIT F - Clayton
  2. EXHIBIT F - Clayton -- UPDATED 10-27-2010
  3. F 1 Hearing Transcript
  4. F 2 All Clayton Trending Report 06-07
  5. F 3 Client Trending Breakdown
  6. F 4 MFR of Interview with Keith Johnson 2010-6-8
  7. F 5 MFR of Interview with Keith Johnson 2010-9-2
  8. F 6 Hearing Transcript of Keith Johnson
  9. F 7 MFR of Interview with Vicki Beal
  10. F 8 Audio Transcript of Vicki Beal
  11. F 9 MFR of Interview with Kerry O'Neil
  12. F10 Audio Transcript of Kerry O'Neil
  13. F11 Audio transcript of Mark Hughes
  14. F12 Audio Transcript of Frank Fillips
  15. F13 Clayton Letter to FCIC 10-14-2010
  16. F14 Testimony of Vicki Beal 9-23-2010
  17. F15 Testimony of Keith Johnson 9-23-2010
  18. Redline- Exhibit F Clayton
- f. Fannie Referral (First one) CONFIDENTIAL
  1. A 1 Thomas eml to Steel
  2. A 2 excerpted Fed MFR
  3. A 3 Fannie Conservator Memo
  4. A 4 Observations-Allowance Process and Methodology
  5. A 5 FHFA Interview MFR March 12, 2010
  6. A 7 2010-02-19 OCC DRAFT Meeting Notes
  7. A 8 2010-03-01 Draft OCC call notes
  8. A 9 2005 Report of Examination-FNM
  9. A10 2006 OFEHO Report of Examination on Fannie Mae
  10. A11 2007 OFHEO Report of Examination on Fannie Mae
  11. A12 Dellavecchia eml to Williams
  12. A13 2007-07-17 Dallavecchia eml to Mudd
  13. A14 Fannie 10-Q
  14. EXHIBIT A - Fannie Mae do not use
  15. New Redline- Exhibit A Fannie do not use
  16. Redline- Exhibit A Fannie do not use
- g. Goldman Abacus
  1. E1 - Confidential Informant MFR (copy)
  2. E2 Abacus 2007-18 Offering Circular dated 5-14-2007
  3. EXHIBIT E - Goldman Abacus - do not use
  4. Redline- Exhibit E Goldman.wdf do not use
- h. Moody's.UBS Referral
  1. C1
  2. Exhibit C - Moody's & UBS Final
  3. Redline- Exhibit C Moodys
- i. A11 2010-02-23 DRAFT FRB call notes
- j. BAD Exhibit H Fannie Mae
- k. do not use
- l. E1 - Steve Eisman MFR (copy)
- m. Entire Fed MFR


- n. excerpted Fed MFR
  - o. Exhibit C - Moodys & UBS draft
  - p. Exhibit D - AIG Referral 10.8.10
  - q. F1 All Clayton Trending Report 06-07
  - r. FCIC\_ Referral Memo to Commissioners
  - s. MS notes on Sacramento hearing transcript
  - t. Referral12th (3)
4. SEC
- a. Bullets for Sirri and IG letters
  - b. Questions for Mike Macciarolli
  - c. Sirri Qs
- 5. 14090894-Whos-Holding-the-Bag-Presentation-at-the-Ira-Sohn-May-2007-Conference-by-Bill-Ackman-Founde
  - 6. 2010-10-20 (1800) - Section II, Ch. 2--incl. ms
  - 7. 2010-10-28- Section III, Ch. 1. - MS edits on BSAM(recovered)
  - 8. 2010-10-31 (1300) - Section III, Ch. 4 MS edits
  - 9. 2010-11-18 (2000) - Part A - MS comments
  - 10. 4.25 riders for BS IR
  - 11. Bear Witness Questions
  - 12. Bullets for Regulator invitation letters
  - 13. delete
  - 14. Documents for Geithner
  - 15. Mina's notes
  - 16. Paula Rush - Mina's Notes
  - 17. Paula Rush - Mina's Notes
- AU. mvickers
- 1. citi
  - 2. citi
  - 3. Scan - Regulators- Ooops
- AV. RBACHMANN
- 1. Crisis Timeline
    - a. FCIC Crisis Timeline [1]
    - b. Shadow Banking Timeline
  - 2. FCIC
    - a. Legislative Reports
      - 1. SEC Leg. Updates
        - a. SEC - Dark Pools
        - b. SEC - Money Market Fund Reform
        - c. SEC - short selling
      - 2. Legislative Report - Reg. Agencies
      - 3. Legislative Update 12 7
    - b. Memoranda
      - 1. Basel Memo - Scott Ganz
      - 2. White House final cooperation letter
    - c. Newsweek Articles
      - 1. Converting the Preachers
      - 2. The Education of Tim Geithner
      - 3. The Reeducation of Larry Summers
      - 4. Wall Street Digs In
    - d. Q & A to Panelists
      - 1. 17 Nov 2009 Closed Session Bernanke FINAL
      - 2. 17 Nov 2009 Closed Session Geithner FINAL
      - 3. How to Avoid[1]\_Luigi Zingales
      - 4. Responses\_Hal Scott
      - 5. Responses\_John Taylor
      - 6. Responses\_Joseph Stiglitz
      - 7. Zingales\_response
    - e. Reinhart

- f. Testimonies
    - 1. Fishbein Testimony - Cmte on Banking, March 21,, 1997
  - g. Travel docs
    - 1. GSA Travel Form 87
    - 2. Memo. Travel, Per Diem, Compensation
  - h. Allen Fishbein
  - i. Brian Clarkson - background
  - j. Cantor Testimony 9.30.09
  - k. Copy of FCIC subscriber spreadsheet 10062009-4
  - l. Cover Page for Documents Requiring an FCIC Officials Signature
  - m. Facts on Big 4
  - n. FCIC Roundtable - October 20 2009 (4)
  - o. FCIC\_Roster\_w\_contact
  - p. Fishbein - articles
  - q. Hearing - Senate Banking, Housing and Urban Affairs Committee (Sept. 26, 2007)
  - r. Hearing on Credit Rating Agencies (9.30.10) - Richard Cantor
  - s. House Hearing on Credit Rating Agencies - 10.22.2008
  - t. John Rutherford - background
  - u. Michael Kanef - background
  - v. Moody's '06-'07 Forecasts
  - w. Moody's Forecasts, 2006-07
  - x. Quarterly Earnings
  - y. Richard Cantor - background
  - z. Scott McCleskey Testimony (Sept. 2009)
  - aa. Senate Hearing on Rating Agencies - 4.24.2010
  - ab. Voicemail Setup
  - ac. White Board notes - Thursday Nov. 12
3. Personnel Correspondence
4. Research
- a. 9-11 Commission
  - b. Bailout
  - c. Comprehensive Look
    - 1. The End - Michael Lewis
  - d. Credit Rating Agencies
    - 1. Courts Examine Credit Raters 'Intimate' Relationship with Bankers - Huff Post
    - 2. How Credit Raters Fended Off Oversight from Congress and SEC - Huff Post
    - 3. Under Attack, Credit Rateres Turn to First Amendment - Huff Post
  - e. Crisis Comparisons
  - f. Executive Compensation
  - g. Hedge Funds
  - h. Institutions
    - 1. AIG
      - a. AIG's Rescue Bedevils U.S., WSJ - Nov.24
      - b. Dems call for probe of Fed's Handling of AIG Bailout - Wash. Times (Nov. 19, 2009)
      - c. Freddie Mac Trying to minimize exposure, Nov. 24 - WashPo
    - 2. Bank of America
      - a. Bair says FDIC questioned BofA assistance
    - 3. Bear Stearns
      - a. Goldman Sachs Rumor that Helped Destroy Bear - Mitchell
    - 4. Fannie Mae
      - a. Fannie Mae Eases Credit To Aid Mortgage Lending, Sept. 30, 1999
    - 5. Federal Reserve
      - a. On the Outlook for the Economy & Policy - Bernanke Speech (Nov. 16, 2009)
    - 6. Freddie Mac
    - 7. Goldman Sachs
      - a. McClatchy Series
        - 1. Goldman left foreign investors holding subprime bag - Gordon

- 2. Goldman Takes on New Role - Gordon
- 3. Goldman takes walk on wild side - Gordon
- 4. How Goldman Secretly Bet on the Housing Crash - Gordon
- b. A Lost Glister - FT (Nov. 19, 2009)
- 8. SEC
  - a. Regulator's torch to light up dark pools, Nov. 23 - FT
  - i. Liquidity
  - j. Miscellaneous
  - k. Naked Short Selling
  - l. Pecora
  - m. Shadow Banking
    - 1. Reducing Systemic Risk in a Dynamic Financial System - Geithner Speech (June 9, 2008)
    - 2. The shadow banking system is unravelling - Roubini (Sept. 21, 2008)
  - n. Subprime
  - o. CEO Compensation 1980s
- 5. Moody's Forecasts, 2006-07
- 6. Quotes from reports, H2-H3
- 7. Timeline - Market Indicators
- AW. RBORZEKOWSKI
  - 1. Housing\_outline\_orig\_2003
  - 2. housing\_outline\_v1
  - 3. housing\_thinking
  - 4. This Time It Was Different draft 02-2010
- AX. RBUBB
  - 1. notes on role of government policy
- AY. RCheng
  - 1. 2010-10-18 Interview Michael Mukasey (DN)
    - a. Email - Michael Mukasey
  - 2. 2010-10-18 SECTION III CHAPTER 2 (MH)
    - a. Sec III Ch 2 Supporting Docs
      - 1. 2010-08-27 Krebs Interview of IKB
      - 2. craneindexes
      - 3. FN01\_2007-07-26\_Bloomberg\_article
      - 4. FN02\_2005-08-04\_Interagency\_Guidance\_ABCP\_Capital\_Treatment
      - 5. FN02\_2010-09-08\_Interview\_notes\_BaFin (copy)
      - 6. FN02a\_2003-02-03\_Moodys\_Fundamentals\_ABCP
      - 7. FN03\_2010-04-15\_SEC\_Goldman\_Tourre\_complaint
      - 8. FN03a\_2010-05-06\_Hearing\_transcript\_(Shadow\_Banking\_System)
      - 9. FN04\_2007-08-01\_Paulson\_Transcript
      - 10. FN05\_2007-11-09\_Mozilo\_Transcript\_Vol\_1 (copy)
      - 11. FN07\_2007-08-01\_Mozillo\_e-mail\_BAC-FCIC-E-0000661408-09 (copy)
      - 12. FN08\_2007-08-02\_TheStreet\_article
      - 13. FN08a\_2007-08-06\_Countrywide\_10Q
      - 14. FN09\_2007-08-03\_Forbes\_article
      - 15. FN10\_2007-08-06\_Countrywide Board Minutes BAC-FCIC-0000080057 (copy)
      - 16. FN14\_2007-08-06\_Countrywide\_8K\_Ex99.1
      - 17. FN15\_2007-08-14\_Countrywide\_Press\_Rel
      - 18. FN15\_2007-08-15\_LAT\_E\_Scott\_Reckard\_Countrywide (copy)
      - 19. FN15\_2007-08-15\_LAT\_E\_Scott\_Reckard\_Countrywide (online)
      - 20. FN16\_2007-08-14\_Fed\_Memo\_FCIC-149538-63 (copy)
      - 21. FN17a\_2007-08-15\_Special\_Bd\_Mtg\_BAC-FCIC-0000080071
      - 22. FN18\_2007-08-16\_Countrywide\_8K\_Ex99.3
      - 23. FN19\_2007-08-13\_Merrill\_Lynch\_Analyst\_Report (Attractive upside) (copy)
      - 24. FN19\_2007-08-15\_MarketWatch\_article\_Merrill\_Lynch\_downgrade
      - 25. FN19\_2007-08-15\_Merrill\_Lynch\_Analyst\_Report\_(Liquidity\_Achilles\_Heel) (copy)
      - 26. FN19\_2007-08-16\_LAT\_Credit\_crunch\_imperils\_lender (copy)

























































27.  FN19\_2007-08-16\_Reuters\_article
28.  FN19\_2007-08-17\_WSJ\_Merrill\_Analyst\_Behind\_Surprise\_Call
29.  FN19\_2009-06-18\_SRM\_Globa\_Fund\_Ltd\_v\_Countrywide\_complaint (copy)
30.  FN19a\_2007-08-15\_email\_BAC-FCIC-E-0000661499
31.  FN20\_2007-08-16\_MarketWatch\_article\_Moodys\_downgrade
32.  FN20\_2007-08-16\_Moodys\_lowers\_Countrywide
33.  FN21\_2007-08-17\_LAT\_The\_Mortgage\_Meltdown (copy)
34.  FN22\_2007-08-22\_AP\_NYT\_article
35.  FN22\_2007-08-23\_FT\_article
36.  FN22\_2007-08-23\_PBS\_Gharib\_Mozilo\_transcript
37.  FN23\_2007-08-23\_CNBC\_Martiromo\_Mozillo\_transcript
38.  FN24\_2008-10-26\_Countrywide\_8K\_Ex99
39.  FN24\_2008-10-26\_Countrywide\_earnings\_call\_transcript
40.  FN25\_2008-01-11\_BofA\_press\_rel
41.  FN26\_2007-08-09\_BNP\_Paribas\_press\_rel
42.  FN27\_2007-08-09\_Pittman\_Bloomberg\_article
43.  FN28\_2009-08-24\_Covitz\_Liang\_Suarez\_paper
44.  FN31\_2007-08-10\_FED\_press\_rel
45.  FN32\_2007-08-09\_Bloomberg\_article
46.  FN32\_2007-10-11\_CEB\_speech
47.  FN33\_2007-08-17\_FED\_discount\_window\_press\_rel
48.  FN34\_2007-08-17\_FED\_act\_as\_needed\_press\_rel
49.  FN35\_2007-08-15\_S&P\_SIV\_Weathering\_Current\_Market
50.  FN35a\_2007-07-20\_Moodys\_SIV\_report
51.  FN35b\_2007-09-05\_Moodys\_Global\_Risk\_Perspectives
52.  FN36\_2007-11-13\_Bloomberg\_article
53.  FN36\_2007-11-16\_WSJ\_article
54.  FN36\_2007-12-15\_Newsweek\_article
55.  FN36\_2007-12-20\_Bloomberg\_article
56.  FN36\_2009-06-30\_SEC\_Proposed\_Rule\_MMF
57.  FN38\_2007-12-10\_Bloomberg\_article
58.  FN38\_2007-12-11\_NYT\_article
59.  FN39\_2009-03-31\_OPPAGAResearchMemo
60.  FN40\_2005-11-15\_Bloomberg\_article
61.  FN42\_2007-11-28\_Bloomberg\_article
62.  icimmmfs
63.  iciportcomp0110
- b.  2010-10-22 (1730) - Section III, Ch. 2 - ed by AF; RC factchecks (copy)
- c.  2010-10-25 (2300) - Section III, Ch. 2 - ed by AF.docx; RC factchecks
- d.  2010-10-26 (1700) - Section III, Ch. 2 - ed by AF; RC factchecks
- e.  2010-10-29 (1200) - Section III, Ch. 2 - ed by AF; RC factchecks
- f.  2010-10-29 (1730) - Section III, Ch. 2 - ed by AF; RC factchecks
- g.  2010-10-29 (2100) - Section III, Ch. 2 - ed by AF; RC factchecks
- h.  2010-11-01 (2100) - Section III, Ch. 2 - ed by AF; RC factchecks
3.  2010-10-20 SEC Cites to Track Down (DN)
  - a.  68\_Fed\_Reg\_62872
  - b.  69\_Fed\_Reg\_34428
  - c.  Ltr\_NY\_Clearing\_House\_to\_SEC
4.  2010-10-21 Interview Viral Acharya (SS)
  - a.  2010-10-21 - Viral Acharya - interview - ABCP and Repo
  - b.  2010-10-21 - Viral Acharya - steve sanderford notes
  - c.  2010-10-21\_MFR\_Viral\_Acharya
5.  2010-10-22 Interview Jeff Lebowitz (TS)
  - a.  2010-10-22 Interview with Jeff Lebowitz
  - b.  2010-10-22\_Jeff\_Lebowitz\_interview\_notes (RCheng)
  - c.  2010-10-22\_MFR\_Jeff\_Lebowitz (draft)

6.  2010-10-26 Interview Alberto Gonzales (DN)
  - a.  DRAFT 2010-11-01 MFR of Alberto Gonzalez (GW)
  - b.  Email - Chris Swecker
  - c.  Email - RE Alberto Gonzales
7.  2010-10-28 SEC Proposed Rule - Risk Retention (DN)
  - a.  Email - SEC's Proposed Rule on Risk Retention
8.  2010-11-01 SECTION III CHAPTER 7 (MH)
  - a.  Sec III Ch 7 Supporting Docs
    1.  LEH Valukas Report (copy)
      - a.  LEH Valukas Report\_Vol1 (1-195)
      - b.  LEH Valukas Report\_Vol2 (196-726)
      - c.  LEH Valukas Report\_Vol3 (727-1053)
      - d.  LEH Valukas Report\_Vol4 (1054-1536)
      - e.  LEH Valukas Report\_Vol5 (1537-2209)
      - f.  LEH Valukas Report\_Vol6\_Appx
      - g.  LEH Valukas Report\_Vol7\_Appx
      - h.  LEH Valukas Report\_Vol8\_Appx
      - i.  LEH Valukas Report\_Vol9\_Appx
    2.  FCIC flagged documents from 8-13-10 reading room-Lehman
    3.  FCIC-155307-6059, FRB to LEH Examiner 001656-2408
    4.  FCIC-OTC0018134-0018244
    5.  FN001\_2008-07-13\_email\_Sommer\_to\_FRBNY (FCIC-155510-12, FRB to LEH Examiner 001859-61) (copy)
    6.  FN002\_2010-10-20 FCIC Interview with Jamie Dimon (copy)
    7.  FN002\_2010-10-20\_MFR\_Dimon\_JPMorganChase (copy)
    8.  FN003\_2010-09-01\_TBTF1\_Transcript
    9.  FN004\_2010-09-01\_written\_testimony\_Fuld
    10.  FN004a\_2010-09-02\_TBTF2\_Transcript
    11.  FN005\_2010-10-22\_Interview\_Ken\_Lewis (Part 2 of 2) (Audio) (copy)
    12.  FN005\_2010-10-22\_MFR\_Lewis\_BofA (draft)
    13.  FN011\_2008-06-13\_Kohn\_to\_Bernanke (FRB to LEH Examiner 0000781-82) (copy)
    14.  FN013\_2008-04-08\_1Q08\_Lehman\_10-Q
    15.  FN014\_2010-08-05\_C\_Seefer\_Interview\_with\_Harvey\_Miller (Weil Gotshall)
    16.  FN014\_2010-08-05\_MFR\_Miller\_LEH (bankruptcy lawyer, Weil Gotshal) (copy)
    17.  FN015\_2010-04-01\_MFR\_Sirri\_SEC (Director, Trading and Markets) (copy)
    18.  FN017\_2010-08-06\_C\_Seefer\_interview\_with\_Paolo\_Tonucci
    19.  FN017\_2010-08-06\_MFR\_Tunucci\_LEH (draft) (copy)
    20.  FN018\_2008\_Q2\_Liquidity\_Position (LBHI\_SEC07940\_516173-77)
    21.  FN018\_2008-05-28\_Lehman\_Presentation\_to\_Fed (LBHI\_SEC07940\_062581-600)
    22.  FN018\_2008-09-15\_email\_Weisbrod\_to\_Dimon (JPM-2004 0080146-47)
    23.  FN019\_2008-04-29\_email\_Ugoletti\_to\_Norton (UST-FCIC 0029512-14) (copy)
    24.  FN020\_2008-07-10\_2Q08\_Lehman\_10-Q
    25.  FN020\_2008-09-10\_Lehman\_8-K\_EX-99.1
    26.  FN020\_2008-09-10\_Lehman\_8-K\_EX-99.2
    27.  FN021\_2008-04-04\_Lehman\_8-K
    28.  FN022\_2008-05-07\_Lehman\_Bd\_Mtg\_mtls (LBHI\_SEC07940\_027984-8032)
    29.  FN022\_2008-06-12\_Lehman\_8-K
    30.  FN022\_2008-06-16\_Lehman\_8-K\_EX-99.1
    31.  FN025\_2008-06-13\_email\_Russo\_to\_Fuld (LBHI\_SEC07940\_212723)
    32.  FN027\_2010-04-28\_MFR\_Fuld\_LEH (draft) (copy)
    33.  FN030\_2008-03-20\_email\_Russo\_to\_Fuld (LBHI\_SEC07940\_212208-09)
    34.  FN031\_2010-03-05\_Schapiro\_Response\_re\_Hearing\_One\_Follow-Up (copy)
    35.  FN031\_2010-04-06\_MFR\_Karpati\_Schuler\_SEC\_Enf (copy)
    36.  FN032a\_2008-03-18\_Lehman\_8-K\_EX-99.1
    37.  FN033\_2008-03-20\_Portfolio.com\_Debt\_Shuffle
    38.  FN034\_2008-04-08\_Einhorn\_speech

























































39.  FN034\_2008-05-21\_Einhorn\_speech
40.  FN034a\_2008-06-12\_Reuters\_Wilchins\_article
41.  FN034a\_2008-06-12\_WSJ\_Craig\_article
42.  FN034a\_2008-06-16\_Lehman\_8-K\_EX-99.1
43.  FN035\_2008-06-09\_Lehman\_8-K\_EX-99.1
44.  FN035\_2008-06-16\_email\_Harlow\_to\_FRBNY (FCIC-155446, FRB to LEH Examiner 001795) (copy)
45.  FN036\_2008-06-17\_email\_Dudley\_to\_Bernanke (FCIC 154463-64, FRB to LEH Examiner 00812-13) (copy)
46.  FN037\_2008-06-20\_email\_Clark\_to\_Coffey (FCIC-155450-51, FRB to LEH Examiner 001799-800) (copy)
47.  FN039\_2008-06-12\_email\_Fontana\_to\_Foskett (CITI-LBHI-EXAM 00072923)
48.  FN040a\_2008-05-12\_FRBNY\_presentation\_redacted (FRBNY to Exam 000017-000032) (copy)
49.  FN041\_2008-07-10\_email\_Hoyt\_to\_Schaffer (UST-FCIC 0029097) (copy)
50.  FN042\_2008-07-11\_email\_Hoyt\_to\_Schaffer (UST-FCIC 0029096) (copy)
51.  FN043\_2008-07-11\_email\_Parkinson\_to\_White (FCIC-155481, FRB to LEH Examiner 001830) (copy)
52.  FN044\_2008-07-10\_email\_Mocharko\_to\_Shanley (LBHI\_FCIC\_0128733-0129058) (copy)
53.  FN046\_2010-04-16\_Dreyfus\_Response\_to\_MMF\_Survey
54.  FN046\_2010-04-30\_Federated\_Response\_to\_MMF\_Survey
55.  FN047\_2008-07-11\_email\_Parkinson\_to\_Marshall (FCIC-155480, FRB to LEH Examiner 001829) (copy)
56.  FN048\_2008-07-11\_memo\_Brickler\_to\_Geithner (FCIC-155485-91, FRB to LEH Examiner 1656-2408) (copy)
57.  FN049\_2008-07-13\_email\_McAndrews\_to\_McConnell (FCIC-155504-06, FRB to LEH Examiner 001853-55) (copy)
58.  FN050\_2010-04-16\_MFR\_McDade\_LEH (copy)
59.  FN052\_2008-07-15\_email\_Geithner\_to\_Dudley (FRBNY to Exam. 034332-33) (copy)
60.  FN054\_2008-07-15\_email\_Dudley\_to\_Geithner (FCIC-154477-79, FRB to Exam. 000826-28) (copy)
61.  FN055\_2008-08-19\_email\_Parkinson\_to\_Shafran (FCIC-123343-47, FRB to LEH Examiner 002399-403) (copy)
62.  FN063\_2008-09-05\_email\_Shafran\_to\_Parkinson (FCIC-156055-57, FRB to LEH Examiner 002404-06) (copy)
63.  FN067\_2008-09-03\_email\_Cornejo\_to\_Birney (LBHI\_FCIC\_0133322-572) (copy)
64.  FN068\_2008-09-09\_email\_McConnell\_to\_Angulo (FCIC-155639-47) (copy)
65.  FN070a\_2010-08-19\_MFR\_Barry\_Zubrow (copy)
66.  FN071\_2008-09-09\_Parkinson\_to\_Shafran (UST-FCIC 0029680) (copy)
67.  FN072\_2008-09-09\_memo\_Conf\_Call (FCIC-154564) (copy)
68.  FN073\_2008-09-09\_email\_Wilkinson\_to\_Davis (UST-FCIC 0029964) (copy)
69.  FN075\_2010-08-27\_letter\_Black\_to\_Seefer (copy)
70.  FN075a\_2010-09-09\_Buyers-Russo\_to\_Main (JPM-2004 0006361) (copy)
71.  FN076\_2008-09-09\_email\_Black\_to\_Hogan (JPM-2004 00006362) (copy)
72.  FN080\_2008-09-10\_email\_Rutherford\_to\_Ryan (UST-FCIC 0029201) (copy)
73.  FN081\_2008-09-10\_email\_VanDerWeide\_to\_Alvarez (FCIC-154786, FRB to LEH Examiner 001135) (copy)
74.  FN084\_2008-09-10\_email\_Mosser\_to\_Dudley (FRBNY to Exam. 047337-39)
75.  FN087\_2008-09-11\_email\_Parkinson\_to\_Kohn (Gameplan) (FCIC-154768-73, FRB to LEH Examiner 001117-22) (copy)
76.  FN088\_2008-09-11\_email\_McCabe\_to\_Dudley (FCIC-154785, FRB to LEH Examiner 001134)
77.  FN089\_2008-09-11\_email\_Boesky\_to\_Dudley (UST-FCIC) (copy)
78.  FN090\_2008-09-11\_email\_Proctor\_to\_Bernanke (FCIC-154787-89, FRB to LEH Examiner 00136-38)
79.  FN093\_2008-09-11\_email\_Bacon\_to\_Boesky (UST-FCIC 0029425-29)
80.  FN096\_2008-09-11\_email (Default Management Group) (FCIC-154818-20, FRB to LEH Examiner 001167-69) (copy)
81.  FN098\_2008-09-11\_email\_Nason (UST-FCIC 0029510) (copy)
82.  FN102\_2008-09-11\_email\_Buyers-Russo\_to\_Tonucci (JPM-2004 0005411-12)
83.  FN103\_2008-09-12\_email\_Buyers-Russo\_to\_Thomas (JPM-2004 0050095-96) (copy)
84.  FN105\_2010-05-26\_Lehman\_Estate\_v\_JPMorgan\_complaint
85.  FN107\_2010-09-01\_written\_testimony\_Zubrow (copy)
86.  FN108\_2010-08-24\_MFR\_Fuld\_LEH (copy)

87.  FN112\_2008-09-12\_email\_Wilkinson\_to\_Aderman (UST-FCIC 0029418-24)
88.  FN113\_2008-09-12\_email\_Warsh\_to\_Liang (FCIC-154863, FRB to LEH Examiner 001212)
89.  FN114\_2008-09-12\_email\_Kohn\_to\_Bernanke (FCIC-154870-71, FRB to LEH Examiner 001219-20) (copy)
90.  FN117\_2010-08-11\_C\_Seefer\_interview\_with\_Tom\_Baxter
91.  FN117\_2010-08-11\_Interview\_transcript\_Baxter\_NYFed (copy)
92.  FN118\_2010-08-05\_C\_Seefer\_Interview\_with\_Rodgin\_Cohen\_(Sullivan\_Cromwell)
93.  FN118\_2010-08-05\_MFR\_Cohen\_SullivanCromwell (copy)
94.  FN120\_2010-01-20\_FSA\_Statement\_to\_Examiner
95.  FN121\_2008-09-12\_email\_Parkinson\_to\_Brickler (FCIC-155903, FRB to LEH Examiner 002252) (copy)
96.  FN124\_2010-08-24\_Interview\_with\_Pat\_Parkinson\_(FRB)
97.  FN124\_2010-08-24\_MFR\_Parkinson\_FRB
98.  FN127\_2010-09-17\_Interview\_with\_John\_Thain\_(ML)
99.  FN127\_2010-09-17\_MFR\_Thain\_ML (copy)
100.  FN128\_2008-09-13\_Mollenkamp\_WSJ\_article
101.  FN130\_2008-09-13\_email\_Tsuboi\_to\_LaTorre (FCIC-155967-69, FRB to LEH Examiner 002316-18) (copy)
102.  FN132\_2008-09-13\_email\_Bernanke\_to\_Alvarez (FCIC 154949-51, FRB to LEH Examiner 001298-300) (copy)
103.  FN133\_2008-09-13\_email\_Alvarez\_to\_Van\_Der\_Weide (FCIC 154966-67, FRB to LEH Examiner 001315-16) (copy)
104.  FN134\_2010-08-09\_Interview\_with\_Bart\_McDade\_(Lehman)
105.  FN134\_2010-08-09\_MFR\_McDade (copy)
106.  FN143a\_2008-09-14\_email\_Wilkinson\_to\_Staley (UST-FCIC0029411-16) (copy)
107.  FN146\_2010-10-09\_Darling\_Vetoed\_Lehman\_Bros\_Takeover\_article
108.  FN150\_2010-08-16\_Interview\_with\_Alex\_Kirk\_(Lehman) (copy)
109.  FN150\_2010-08-16\_MFR\_Kirk\_LEH (copy)
110.  FN150\_2010-08-16\_MFR\_Russo\_LEH (copy)
111.  FN158\_2010-10-15\_letter\_Baxter\_to\_Edelberg (Follow-Up Response) (copy)
112.  FN162\_2008-09-15\_email\_Van\_Der\_Weide\_to\_Alvarez (FCIC-155027) (copy)
113.  FN174\_2010-09-01\_written\_testimony\_Miller
114.  FN184a\_2009-11-17\_Transcript\_Closed\_Session\_Bernanke (copy)
115.  FN184b\_2010-05-06\_written\_testimony\_Paulson
116.  FN187\_2008-09-16\_SeattlePI\_article
117.  FN188\_2008-12\_BIS\_Quarterley\_Rev\_article
118.  FN200\_2008-09-14\_email\_Bernanke\_to\_Warsh (FCIC-155000, FRB to LEH Examiner 001349) (copy)
119.  FN201\_12\_USC\_343 (Section 13(3) of Federal Reserve Act)
120.  FN202\_2008-09-23\_Bernanke\_congressional\_testimony
121.  FN204\_2009-03-09\_Memo\_Alvarez (FCIC-133533-48) (copy)
122.  JPM Chron FINAL
123.  LBEX-AM 003932-39
124.  LBEX-AM 003932-39
125.  LBHI\_FCIC\_0133322-572
126.  Lehman Mortgage and Asset Backed Securities v2 (JAM)
127.  PIR - Lehman Bothers
128.  PIR - Lehman Bothers (Summary)
- b.  2010-10-31 (2200) - Section III, Ch. 7 (Lehman)-to commissioners-AF ed
- c.  2010-11-02 (1800) - Section III, Ch. 7 (Lehman)-to commissioners-AF ed, RC factcheck
- d.  2010-11-03 (1800) - Section III, Ch. 7 (Lehman)-to commissioners-AF ed, RC factcheck
- e.  2010-11-04 (1930) - Section III, Ch. 7 (Lehman)-to commissioners-AF ed, RC checking
- f.  2010-11-06 (0100) - Section III, Ch. 7 (Lehman)-to commissioners-AF, JGD, JAM eds., RC checking
- g.  2010-11-08 (1830) - Section III, Ch. 7 (Lehman)-to commissioners-AF ed, RC checking
- h.  2010-11-10 (1830) - Section III, Ch. 7 (Lehman)-to commissioners-AF ed, RC checking
- i.  2010-11-11 (1400) - Section III, Ch. 7 (Lehman)-to commissioners-AF ed, RC checking
- j.  2010-11-11 (2300) - Section III, Ch. 7 (Lehman)-to commissioners-AF ed, RC checking
- k.  2010-11-12 (2030) - Section III, Ch. 7 (Lehman)-to commissioners-AF ed, RC checking



- l.  2010-11-15 (2300) - Section III, Ch. 7 (Lehman)-to commissioners-AF ed, RC checking
- m.  2010-11-16 (2300) - Section III, Ch. 7 (Lehman)-to commissioners-AF ed, RC checking
- n.  2010-11-17 (2300) - Section III, Ch. 7 (Lehman)-to commissioners-AF ed, RC checking
- o.  2010-11-18 (1900) - Section III, Ch. 7 (Lehman)-to commissioners-AF ed, RC checking
- p.  2010-11-21 (0200) - Section III, Ch. 7 (Lehman)-to commissioners-AF ed, RC checking
- 9.  2010-11-05 Interview Tom Boemio & David Lynch (DN)
  - a.  2010-11-05\_MFR\_TomBoemio\_DavidLynch
- 10.  2010-11-17 SEC Office of Risk Assessment (DN)
  - a.  Email - RE SEC Office of Risk Assessment
- 11.  2010-11-23 SECTION I CHAPTER 4 (SA)
  - a.  Selected Supporting Docs
    - 1.  New Century CMLTI 2006-NC2
      - a.  2010-11-04 Citi counsel Karp to Borgers re CMLTI 2006-NC2
    - 2.  2009 Mortgage Market Annual Volume II (table only)
    - 3.  FN00 - FCIC - Credit Debt-Treasuries and Mortgages
    - 4.  FN01 - 2001-12-28 FRBSF Economic Letter
    - 5.  FN02 - 2010-09-08 NV Fair Housing Ctr - Burks Testimony
    - 6.  FN03 - 2010-09-23 Putnam Testimony
    - 7.  FN04 - 1997-02-24 Natoinal Mortgage News - First National Bank of Chicago
    - 8.  FN04 - 1999-12-13 Muolo - National Mortgage News
    - 9.  FN04 - 2004-11-01 Adelson-Bartlett [Nomura] Home Equity ABS Basics article
    - 10.  FN06 - 1998-08 FDIC Managing the Crisis Ch01
    - 11.  FN07 - 1998-08 FDIC Managing the Crisis Ch16
    - 12.  FN09 - FCIC - IMF- BC-Subprime Originators
    - 13.  FN09 - FCIC - supprime reconcile
    - 14.  FN10 - 2000 11 Journal of Housing Research 2 Straka article
    - 15.  FN11 - 2007-03-30 Bernanke speech
    - 16.  FN13 - 1997-03-03 Carner-Passmore working paper
    - 17.  FN13 - 1998-01-22 Greenspan speech
    - 18.  FN14 - 1993-12-08 Bentsen press briefing
    - 19.  FN14 - 1995-05-04 Federal Register 22156-223
    - 20.  FN14 - 2008-02-13 Braunstein speech
    - 21.  FN18 - 2006-Jan-Feb Chomsisengphet and Pennington-Cross paper
    - 22.  FN19 - 1998-08-14 SFC 10-Q
    - 23.  FN20 - 1998-09-14 SFC 8-K
    - 24.  FN21 - 1998-09-30 SFC 8-K
    - 25.  FN22 - 2006-03-01 White Mortgage Banking Magazine article
    - 26.  FN22 - FCIC - Subprime decline
    - 27.  FN23 - 2000-07-10 Muolo NMN re The Money Store
    - 28.  FN23 - 2001-02-12 Anderson SBJ re The Money Store
    - 29.  FN24 - 2001-11-25 Berenson NYT article
    - 30.  FN25 - 1999-09-01 FDIC-OCC Joint Release re Keystone
    - 31.  FN25 - 2002-02-07 GAO Bank Regulation report - Analysis of the Failure of Superior Bank
    - 32.  FN26 - 2002-02-06 Treasury IG - Material Loss Review of Superior Bank (OIG-02-040)
    - 33.  FN26 - BC Lenders Late 90s
    - 34.  FN26 - WSJ WaMu Long Beach Mortgage
    - 35.  FN31 - 1993-10-28 Senate Report 103-169
    - 36.  FN34 - 2006-05-07 Wachovia-Golden West merger
    - 37.  FN34 - 2006-05-08 AP re Wachovia-Golden West merger
    - 38.  FN35 - 1998-July Board-HUD Report
    - 39.  FN36 - 1998-01-20 FRB Consumer Affairs Letter CA 98-1
    - 40.  FN36 - 1999-Nov GAO Report Large Bank Mergers and Fair Lending
    - 41.  FN39 - 2005-July BHC Supervision Manual Section 1000
    - 42.  FN46 - 2010-09-08 Nevada Hearing transcript
    - 43.  FN46 - 2010-09-23 Sacramento Hearing transcript
    - 44.  FN46 - 2010-09-23 Stein written testimony

- 45. FN49 - 2010-01-14 Hearing Transcript
- 46. FN54 - 2000-06-20 HUD-Treasury Report
- b. 2010-11-23 (1800) - Section I, Ch. 4 - sent to commissioners
- c. 2010-12-15 (1600) - Section I, Ch. 4 - AF ed., MH updated, RC checking
- d. 2010-12-17 (2000) - AF ed., MH updated, WME ed., MF ed., RC checking
- e. 2010-12-19 (2300) - Section I, Ch. 4 - ready for commissioners, with final checks
- f. Section I Chapter 4 - Hard Copy endnotes (final checks)
- g. Section I Chapter 4 - Hard Copy endnotes (final checks) - MIA facts
- 12. 2010-11-24 MFR Bergsten (IIE) (GF-SB)
  - a. 2010-03-16 REVIEWED MFR with economists from Institute for International Economics (Bergsten, Cline, Goldstein, Hufbauer, Johnson, Weisman, Williamson)
  - b. 2010-03-16\_MFR\_IIE (Bergsten, Cline, Goldstein, Hufbauer, Johnson, Weisman, Williamson) notes
- 13. 2010-11-24 MFR Lucinda Brickler (GF-SB)
  - a. 2010-02-25 REVIEWED MFR of Lucinda Brickler, Federal Reserve Bank of NY
  - b. Brickler notes (GF-RC-LS)
- 14. 2010-11-30 SEC CorpFin quote (DN)
  - a. 2010-09-04 (Hinkley, Krebs) Securitization Draft
  - b. 2010-10-01 Interview Notes of Shelly Parratt
  - c. 2010-10-22 TOPIR securitization (Abridged)
  - d. 2010-12-02 Securitization Oversight (BH)
  - e. Email - CorpFin Prospectus Supplements quote
  - f. Regulation AB (Subpart 229-1100 to -1123)
  - g. Rule 415 (Subpart 230.415)
  - h. SEC Rel No 33-9117 - History of ABS Shelf Offerings
  - i. SEC Rel No 33-9117 - pages re History of ABS Shelf Offerings
  - j. SEC Rel No. 33-9117
- 15. 2010-12-03 Macchiaroli quote (DN)
  - a. 2010-01-22 K Dubas Memo re Bondi Call with M Macchiaroli (SEC)
  - b. 2010-03-18 MFR of Interview with M Macchiaroli re CSE and Lehman
  - c. 2010-04-01 MFR of Interview with Annette Nazareth
- 16. 2010-12-03 Rubin quote (DN-GF)
  - a. 2010-03-11\_Transcript\_Interview\_Robert\_Rubin
  - b. Email - RE Citi question from Phil - thank you...
- 17. 2010-12-06 Interview Robert Mueller (DN)
  - a. Email - RE Robert Swan Mueller III
  - b. Email - Robert Swan Mueller III
- 18. 2010-12-08 Rule 144A & NSMIA (DN)
  - a. 104\_PL\_290 Natl Sec Mkts Improv Act
  - b. 17\_C\_F\_R\_230\_144A
  - c. 1997 SEC Report - Uniformity, State Regulatory Reqs
  - d. 2003 Stephen Cutler speech
  - e. 2008\_MA\_Secretary\_Enforcement\_White\_Paper
  - f. 2010-01-14 Crawford Hearing Transcript
  - g. 2010-01-14 Crawford written testimony
  - h. 2010-12-07 (1900) - Section II.4a sans fraud - to C-VC
  - i. Email - FW Two securities law questions
  - j. Email - RE Two securities law questions -- follow up on National Securities Markets Improvement Act
  - k. NSMIA
  - l. Reg S
  - m. rule144a article 1
  - n. rule144a article 2
  - o. SecuritiesLawyersDeskbook - 33 Act Rule 144A
  - p. SecuritiesLawyersDeskbook - 33 Act Sec18
- 19. 2010-12-13 ML Proxy Stmt (DN)
  - a. 2006 ML Proxy Stmt
  - b. 2007 ML Proxy Stmt
  - c. 2008 ML Proxy Stmt






























- 20.  2010-12-16 Regulations T and U (DN)
  - a.  12 CFR 220.12
  - b.  Email - Regs T & U
  - c.  NSMIA Fed interpretation
  - d.  NSMIA Fed press rel
  - e.  NSMIA Fed proposed rule in response
  - f.  Peter Fortune - 2000-Sept-Oct New England Econ Rev article
  - g.  Reg U Guideline
  - h.  Section 7 of 34 Act
- 21.  2010-12-19 BSC bonus (GF)
  - a.  2010-04-29 JPMorgan (E Goldstein) ltr to T Krebs re Doc Production
  - b.  Bebchuk-Cohen-Spamann - The Wages of Failure
  - c.  Email - RE Fact check please
  - d.  PIR - Bear Stearns
  - e.  PIR chart on page 36 - BSC top 5 compensation
  - f.  SEC Budget 1995-2009
  - g.  SNL - BSC executive compensation 2004-2007
  - h.  SNL - BSC executive compensation 2007
  - i.  SNL - BSC executive compensation 2007 2
- 22.  2010-12-21 SECTION II CHAPTER 4 (ME)
  - a.  cite from SECTION II CHAPTER 4 (RRC-SM)
  - b.  FM-FCIC\_00030201-09
  - c.  FM-FCIC-00030202
  - d.  FM-FCIC-00030210
  - e.  Freddie Mac - Characteristics of Single-Family Total Mortgage Portfolio
  - f.  Section II Chapter 4 Fact-Checks - SEC filings (RRC)
  - g.  Section II Chapter 4 Fact-Checks - SEC filings (RRC)
- 23.  2010-12-27 SECTION II CHAPTER 2 (SA)
  - a.  168\_F3rd\_331
  - b.  175\_F\_3d\_848
  - c.  2005-Oct Options Group Compensation Report
  - d.  2006-08-29 Loan sale to Citi corr p1 (New Century deal)
  - e.  2006-Nov Options Group Compensation Report
  - f.  2007-Nov (2007-2008) Options Group Compensation Report
  - g.  2008-Oct - EV - Quigley - Compensation and Incentives in the Mortgage Business
  - h.  2010-04-13 Senate Committee on Homeland Security and Govt Affairs
  - i.  2010-11-16 JPM-FCIC00005916
  - j.  2010-11-16 JPMorgan (E Goldstein) ltr to C Seefer re Doc Production
  - k.  2010-12-05 (1200) - Section II Ch 2 - AF edits, RC fact-checking
  - l.  2010-12-28 Email Ron B. to Rich C. - RE Questions on II 2
  - m.  245\_B\_R\_\_151
  - n.  Copy of FCIC PLS RMBS purchases with Deal Name and Impairments
  - o.  Countrywide Volume of Loans Originated 2000-2005
  - p.  Draft Compensation TOPIR15 (JD)
  - q.  Options Group Compensation Chart (RRC)
- AZ.  RDODD
  - 1.  Test
- BA.  RSCHULTE
  - 1.  Confidential
  - 2.  Gary's project
    - a.  Investment banks by
    - b.  Top IB firms
  - 3.  SDAVIDSON
  - 4.  Copy of GS Securitizations Jan 06 to present
  - 5.  FCIC Phone Roster 7-10-2010
  - 6.  Goldman CDO's

7.  Goldman Data and Charts
  8.  GS DEals numbers CDO
  9.  Interview of Ed Grieb
  10.  Lenders Funding
- BB.  SAHMED
1.  additional\_docs
    - a.  (z1) 2010-12-22 (2000) - Section IV, Ch. 1 - sent to commissioners
    - b.  (z2) 4.1
    - c.  ~\$10-01-11 Commissioners Briefing Book
    - d.  ~\$10-01-13 factsheet
    - e.  ~\$10-07-27 ltr to Friedman re H4 follow-up questions
    - f.  ~\$10-07-27 ltr to Geithner re H4 follow-up questions
    - g.  ~\$10-07-27 ltr to Paulson re H4 follow-up questions
    - h.  ~\$10-09-09 ltr to Cassano re H5 follow-up questions
    - i.  ~\$10-09-15 Hearing 2-3 Follow-up Memo\_
    - j.  ~\$10-09-17 Blinder Interview
    - k.  ~\$10-09-20 Draft Compensation TOPIR4
    - l.  ~\$10-09-21 Hearing 5 Follow-up Memo
    - m.  ~\$10-10-16 Option Arm - IndyMac
    - n.  ~\$10-10-6 Hearing 5 Follow-up Memo
    - o.  ~\$10-10-6 Hearing 5 Follow-up Memo - 3pm
    - p.  ~\$aring\_1\_memo\_followup\_8\_18
    - q.  ~\$bs\_case\_study (cmlti\_2006\_nc2) 5 28 10 SIA
    - r.  ~\$cruiting\_outline
    - s.  ~\$cruiting\_packet
    - t.  ~\$plification
    - u.  ~\$rivatives\_packet
    - v.  ~\$tion\_arm\_dump
    - w.  ~\$using\_results\_pinto\_2010\_07\_28
    - x.  ~WRL0070
    - y.  ~WRL0774
    - z.  ~WRL1673
    - aa.  ~WRL1742
    - ab.  ~WRL1869
    - ac.  ~WRL1871
    - ad.  ~WRL1929
    - ae.  ~WRL1968
    - af.  ~WRL2042
    - ag.  ~WRL2640
    - ah.  ~WRL2849
    - ai.  ~WRL3227
    - aj.  ~WRL3421
    - ak.  ~WRL3644
    - al.  ~WRL3852
    - am.  ~WRL3917
    - an.  ~WRL3995
    - ao.  ~WRL4080
    - ap.  01a\_FCIC\_Brunnermeier
    - aq.  1 25 draft Master Contact List
    - ar.  12-21-2010 section 4.1 (adam)
    - as.  2010-01-11 Commissioners Briefing Book
    - at.  2010-01-13 factsheet
    - au.  2010-01-19 GSEs not the primary cause the crisis
    - av.  2010-03-08 L Blankfein Response to Hearing One Follow-Up
    - aw.  2010-05-10 Born Requests - Randall 8-19 responses
    - ax.  2010-05-14 Chairman Gary Gensler Interview Transcript

ay.  2010-05-28 Investigative Report Rating Agencies v3  
az.  2010-05-28 Investigative Report Rating Agencies v3  
ba.  2010-05-28 Transcript of FCIC Interview with Dr Summers  
bb.  2010-05-31 Investigative Report Rating Agencies  
bc.  2010-06-02 Hearing 5a Transcript\_UNREVISED  
bd.  2010-06-17 Investigative Report - Governance  
be.  2010-06-23 R Rubin Response to 4-8-10 Hearing Follow-up Questions  
bf.  2010-07-08 Dugan Testimony Follow-Up  
bg.  2010-07-20 (pm) - Section IV chair vice chair CHAIR - Draft #1  
bh.  2010-07-26 Section II outline  
bi.  2010-07-27 ltr to Cox re H4 follow-up questions  
bj.  2010-07-27 ltr to Friedman re H4 follow-up questions  
bk.  2010-07-27 ltr to Geithner re H4 follow-up questions  
bl.  2010-07-27 ltr to Geithner re H4 follow-up questions - for merge  
bm.  2010-07-27 ltr to Geithner re H4 follow-up questions -8 10 10 SK edits  
bn.  2010-07-27 ltr to Geithner re H4 follow-up questions -8.10.10  
bo.  2010-07-27 ltr to Geithner re H4 follow-up questions -8.9.10  
bp.  2010-07-27 ltr to Molinaro re H4 follow-up questions  
bq.  2010-07-27 ltr to Paulson re H4 follow-up questions  
br.  2010-07-27 ltr to Paulson re H4 follow-up questions 8.9.10  
bs.  2010-07-27 ltr to Spector re H4 follow-up questions  
bt.  2010-07-28 Section I outline  
bu.  2010-08 1 Section I outline (2)  
bv.  2010-08-10 Section II outline revised wme\_8.13  
bw.  2010-08-10 Section III in progress  
bx.  2010-08-15 Section II outline - revised gf\_sia  
by.  2010-08-15 Supervision and Regulation\_sa  
bz.  2010-08-16 Section II outline  
ca.  2010-08-16 Section II outline\_redlined  
cb.  2010-08-16 Section II outline\_redlined  
cc.  2010-08-17 Book project plan  
cd.  2010-08-17\_writers\_staff\_mtg  
ce.  2010-08-19 Book outline - Detail  
cf.  2010-08-19 work plan  
cg.  2010-08-20 Derivatives Takeaways  
ch.  2010-08-20 Follow-up Letter to M Greenberger  
ci.  2010-08-23 Follow-up Letter to Gary Gensler  
cj.  2010-08-23\_Credit Rating Agency Follow-Up Grid  
ck.  2010-08-24 FCIC Interview of Satyajit Das  
cl.  2010-08-26 ltr to Broderick re H5 follow-up questions  
cm.  2010-08-26 ltr to Cassano re H5 follow-up questions  
cn.  2010-08-26 ltr to Cohn re H5 follow-up questions  
co.  2010-08-26 ltr to Forster re H5 follow-up questions  
cp.  2010-08-26 ltr to Lee re H5 follow-up questions  
cq.  2010-08-26 ltr to Lehman re H5 follow-up questions  
cr.  2010-08-26 ltr to Viniar re H5 follow-up questions  
cs.  2010-08-30 Derivatives Takeaways  
ct.  2010-08-31 TBTF PSR - REDLINED  
cu.  2010-09-01  
cv.  2010-09-01 Commissioner meeting September 1 2 and 3 areas for discussion  
cw.  2010-09-01 Commissioners Meeting - Comments on Draft Areas of Discussion  
cx.  2010-0902-Bair  
cy.  2010-09-09 Hearing 2& 3 Followup Memo  
cz.  2010-09-09 inventory of books on crisis  
da.  2010-09-09 ltr to Cassano re H5 follow-up questions
















db.  2010-09-13 Hearing 2-3 Memo  
 dc.  2010-09-14 Commissioners Telephonic Business Meeting  
 dd.  2010-09-14 Hearing 2-3 Follow-up Memo\_  
 de.  2010-09-14 Hearing 2-3 Follow-up Question Grid  
 df.  2010-09-14 Hearing 2-3 Memo\_am  
 dg.  2010-09-15 Hearing 2-3 Follow-up Memo\_  
 dh.  2010-09-17 Blinder Interview  
 di.  2010-09-17 Blinder Interview (original)  
 dj.  2010-09-17 FCIC Interview with Alan Blinder Interview  
 dk.  2010-09-17 ltr to Citi re H2-3 follow-up questions  
 dl.  2010-09-17 Mortgage Fraud Report  
 dm.  2010-09-17 Mortgage Fraud Report - redlined  
 dn.  2010-09-18 Process\_for\_Review\_and\_Approval\_of\_the\_Report\_by\_the\_Commission  
 do.  2010-09-19 - Section III draft - Clara comments  
 dp.  2010-09-19 - Section III draftedit ac  
 dq.  2010-09-20 Draft Compensation TOPIR4  
 dr.  2010-09-20 Larry Lindsey Interview  
 ds.  2010-09-21 Hearing 5 Follow-up Memo  
 dt.  2010-09-22 - UNREVIEWED transcript of Herb Sandler interview  
 du.  2010-09-23 next\_steps  
 dv.  2010-09-24 ltr to Alvarez re H6 follow-up questions  
 dw.  2010-09-24 ltr to Bair re H6 follow-up questions  
 dx.  2010-09-24 ltr to Baxter re H6 follow-up questions  
 dy.  2010-09-24 ltr to Bernanke re H6 follow-up questions  
 dz.  2010-09-24 ltr to Fuld re H6 follow-up questions  
 ea.  2010-09-24 ltr to Miller re H6 follow-up questions  
 eb.  2010-09-26 Draft\_timeline\_-\_2008 to commissioners  
 ec.  2010-09-26 section III through SIVs to commissioners  
 ed.  2010-09-27 Fact-checking Procedures  
 ee.  2010-09-28 TBTF followup questions (compiled byEDR)  
 ef.  2010-10-01 (1400) - Section III - Chapter 4 - Bear incl staff comments1 (chairman version)  
 eg.  2010-10-01 (2100) - Section III, Ch. 2 - ed by AF; RC factchecked  
 eh.  2010-10-04 Wallison response  
 ei.  2010-10-07 History of Option Arms  
 ej.  2010-10-11 (2100) - Section III, Ch. 2 - ed by AF; RC factchecked  
 ek.  2010-10-11 FCIC Interview with Paul Volcker  
 el.  2010-10-11 Volcker Interview  
 em.  2010-10-11 Volcker Interview (original)  
 en.  2010-10-11 Volcker MFR  
 eo.  2010-10-12 Hearing 5 Follow-up Memo  
 ep.  2010-10-13 Hearing 5 Follow-up Memo  
 eq.  2010-10-13 Hearing 5 Follow-up Memo (pm)  
 er.  2010-10-14 Hearing 5 Follow-up Memo  
 es.  2010-10-14 Moody07 (SA)  
 et.  2010-10-14 Staff Takeaways from TBTF Hearing  
 eu.  2010-10-15 Compensation  
 ev.  2010-10-16 History of Option ARMs  
 ew.  2010-10-16 Option Arm - IndyMac  
 ex.  2010-10-17 - Section III - Chapter 4 (staff version)  
 ey.  2010-10-17 - Section III - Chapter3 only missing AIG  
 ez.  2010-10-17 Option ARMs - Countrywide  
 fa.  2010-10-17 Option ARMs - Countrywide - 2  
 fb.  2010-10-18 Section III Ch 5 (staff version)  
 fc.  2010-10-20 Shadow Banking Follow-Up Memo  
 fd.  2010-10-20 TopIR Inventory























































- fe.  2010-10-21 Option Arms - Countrywide
- ff.  2010-10-22 Option Arms (MB)
- fg.  2010-10-22 Securitization
- fh.  2010-10-22 Shadow Banking Follow-Up Memo
- fi.  2010-10-25 (1800) - Section II, Ch. 2-- staff comments; MB preemption, ARMs
- fj.  2010-10-25 (1800) - Section II, Ch. 2-- staff comments; MB preemption, ARMs (old)
- fk.  2010-10-25 (2000) - Section III Ch 5 - sent to commissioners AF ed AC INSERT\_SA addition
- fl.  2010-10-25 (2000) - Section III, Ch. 5 - sent to commissioners; AF ed(5)
- fm.  2010-10-29 ltr to Rubin follow-up questions
- fn.  2010-10-6 Hearing 5 Follow-up Memo
- fo.  2010-10-6 Hearing 5 Follow-up Memo - 3pm
- fp.  2010-11-04 (1800) - Section I, Ch. 1 - sent to C-VC
- fq.  2010-11-05 (1100) - Section III, Ch. 5 - sent to commissioners; AF ed
- fr.  2010-11-05 (1500) - Section III, Ch. 5 - sent to commissioners; AF ed
- fs.  2010-11-05 (1900) - Section I, Ch. 2 and Ch. 3 - to C-VC
- ft.  2010-11-05 (1900) - Section I, Ch. 2 and Ch. 3 - to C-VC
- fu.  2010-11-05 GSE Put-Backs
- fv.  2010-11-05 PMI
- fw.  2010-11-06 (1500) -Section I, Ch. 4 (Subprime) - sent to C-VC
- fx.  2010-11-06 (1500) -Section I, Ch. 4 (Subprime) - sent to C-VC
- fy.  2010-11-08 section I chapter 1 phil with scott
- fz.  2010-11-09 (1800 - Section III - Ch 9 - sent to commissioners
- ga.  2010-11-09 AIG\_thru\_BOFA (3.9 gordon)
- gb.  2010-11-09 AIG\_thru\_BOFA (gordon)
- gc.  2010-11-09 Crisis\_of\_2008\_thru\_RPFund (jennifer)
- gd.  2010-11-09 OT derviatives\_thru\_TARP (peter)
- ge.  2010-11-10 (1800) - Section III Ch 3 - sent to commissioners AF ed
- gf.  2010-11-10 H5 Commissioner Follow Up Memo
- gg.  2010-11-15 chairman's outstanding items for book
- gh.  2010-11-15 Section 3.3 (landon)
- gi.  2010-11-15 Section 3.5 (landon)
- gj.  2010-11-17 (2100) - Section III Ch 2 - LS factcheck and revisions
- gk.  2010-11-23 - Section I Ch 3 (stuart\_factchecker)
- gl.  2010-11-23 Section I Ch 2
- gm.  2010-11-28 (604 pm) - Section II Ch 2 -with PA comments
- gn.  2010-11-29 (1300) - Section I, Ch. 4 - AF ed.
- go.  2010-12-01 (12 pm) - Section II Ch 2
- gp.  2010-12-01 (1900) - Section II, Ch. 1 - AF ed.
- gq.  2010-12-01 hybrid info
- gr.  2010-12-03 Citigroup Compilation
- gs.  2010-12-08 Section 3 5 (landon)
- gt.  2010-12-09 CRA Pledges-Reaffirming Commitments (2.1)
- gu.  2010-12-10 (2000) - Section III Ch 5 - sent to commissioners AF ed
- gv.  2010-12-13 (1900) - Section IV Ch 1 (Economy) - AF edits (12\_21)
- gw.  2010-12-16 Citi
- gx.  2010-12-18 (1200) - Section III - Ch. 9 - GF reading (3.9 noncorrupt)
- gy.  2010-12-20 3 9 - Copy (corrupted wme)
- gz.  2010-12-20 3.9 (cleaned)
- ha.  2010-12-21 section 4.1 (adam - part 2)
- hb.  2010-12-22 section 4.1 (adam - part 2)
- hc.  2010-8-18\_lbc\_editorial\_meeting
- hd.  2010-9-10 Macro & the Housing Bubble
- he.  2010-9-4 Derivatives Takeaways (1)
- hf.  2010-9-4 Derivatives Takeaways (2)
- hg.  2011-01-11 FINAL FACTCHECKING

- hh.  2011-01-14 Risk management failures
- hi.  2011-01-17 Collapse mortgage standards and failures in securitization
- hj.  2011-01-17 Crisis was avoidable
- hk.  2011-01-17 Examples of OTC Derivatives Role in Crisis
- hl.  2011-01-17 Examples of OTC Derivatives Role in Crisis\_ RXB
- hm.  2011-01-17 Excessive borrowing, risky investments and lack of transparency
- hn.  2011-01-17 Failures at rating agencies
- ho.  2011-01-17 Government Ill Prepared
- hp.  2011-01-17 Why the Government Housing Policy not primary causes of the crisis
- hq.  2011-01-17 Why the Government Housing Policy not primary causes of the crisis \_ RXB
- hr.  2011-01-18 Excess liquidity did not need to cause the crisis
- hs.  2011-01-18 Failures at Rating Agencies Fact Sheet
- ht.  2011-01-18 GSEs not primary cause of crisis
- hu.  2011-01-19 Excessive borrowing risky investments and lack of transparency
- hv.  2011-01-19 Failures at Rating Agencies Fact Sheet
- hw.  2011-01-19 Risk management failures
- hx.  2011-01-20 Examples of OTC Derivatives Role in Crisis
- hy.  2011-01-20 Excessive borrowing risky investments and lack of transparency
- hz.  2011-01-20 Why the Government Housing Policy not primary causes of the crisis
- ia.  2011-01-21 - AHG Document 1.21.11
- ib.  2011-01-21 - CRA Document 1.21.11
- ic.  2011-01-21 - Discussion of dissenting statement by three commissioners
- id.  2011-01-21 - Key Press Questions 1.21.11 v2
- ie.  2011-01-21 - Majority and Dissent Commonground
- if.  2011-01-21 - Short Summary
- ig.  2011-01-24 - Commission Fact Sheet
- ih.  2011-01-24 - Discussion of the dissent by Commissioner Wallison
- ii.  2011-02-02 FINAL BOOK
- ij.  2-26-27-2010\_Agenda\_for\_Forum to Explore Causes of Finacial Crisis v3
- ik.  2-26-27-2010\_Agenda\_for\_Forum to Explore Causes of Finacial Crisis\_confidential
- il.  2-26-27-2010\_Agenda\_for\_Forum to Explore Causes of Finacial Crisis1
- im.  2-26-27-2010\_Agenda\_for\_Forum to Explore Causes of Finacial Crisis1.v2
- in.  2-26-27-2010\_Agenda\_for\_Forum to Explore Causes of Finacial Crisisfinal
- io.  300px-Trors\_schema\_english.svg
- ip.  8 26 10 Draft of PSR on TBTF
- iq.  8 31 10 Draft of PSR on TBTF \_ 5pm
- ir.  8 31 10 PSR on TBTF \_ 6pm
- is.  8 31 10 PSR on TBTF \_ 6pm
- it.  8-9-2010 Letter to Henry M Paulson
- iu.  9-14-2010\_Agenda\_for\_Business\_Meeting\_of\_Financial\_Crisis\_Inquiry\_Commission
- iv.  a\_fcic\_invitation\_letter\_symposium\_kroszner2
- iw.  a\_RKroszner\_CV
- ix.  a1Kroszner\_Bio
- iy.  a2Kroszner\_paper
- iz.  a3Kroszner\_updated\_table1 (042010)
- ja.  a4Kroszner\_slides
- jb.  a5Kroszner\_followup\_questions
- jc.  a6Kroszner\_followup\_responses
- jd.  Adam\_Paul\_Confidentiality\_Agreement
- je.  Adam\_Paul\_Ethics\_Policy
- jf.  addional\_misc
- jg.  adelson\_questions
- jh.  AEW Changes to 8 21 10 Draft of PSR on TBTF\_8.27
- ji.  AEW Changes to 8 21 10 Draft of PSR on TBTF\_8.27
- jj.  AEW Draft of TOPIR on Regulatory Inaction and Preemption.Version 2






jk.  AKashyap\_Bio (copy)  
jl.  alternative\_mortgage\_originations (imf)  
jm.  amplification  
jn.  Andrew\_Robinson\_Confidentiality\_Agreement  
jo.  Andrew\_Robinson\_Contract  
jp.  Andrew\_Robinson\_Ethics\_Policy  
jq.  Annamaria\_Lusardi\_Bio  
jr.  Art\_Wilmarth\_Confidentiality\_Agreement  
js.  Art\_Wilmarth\_Contract  
jt.  Art\_Wilmarth\_Ethics\_Policy  
ju.  ASF2010DetailedAgenda  
jv.  B (rank)  
jw.  b\_FCIC\_Symposium\_Invitation\_Ltr\_Gourinchas  
jx.  b\_Gourinchas\_CV  
jy.  b1Gourinchas\_Bio  
jz.  b2Gourinchas\_paper  
ka.  b3Gourinchas\_followup\_questions  
kb.  b4Gourinchas\_followup\_responses  
kc.  b5Gourinchas\_glob\_imbalances  
kd.  b6Gourinchas\_ITA\_Table  
ke.  b7Gourinchas\_slides  
kf.  b8Gourinchas\_Treasury\_survey\_2010  
kg.  Baily  
kh.  Baker\_Mcarthur - The Value of the Too Big to Fail Big Bank Study - Sept 2009  
ki.  big\_ideas\_for\_final\_report  
kj.  Binder1  
kk.  Binder2  
kl.  Binder3  
km.  BIS Quarterly Review\_December 2009\_credit\_instruments  
kn.  boa\_cra\_eval\_2001  
ko.  Book1  
kp.  books\_on\_crisis\_inventory  
kq.  brewster  
kr.  Briefing Book Outline  
ks.  Brunnermeier\_followup\_question  
kt.  bstorming\_misc  
ku.  business\_mtg\_7.13.2010  
kv.  c\_FCIC\_Symposium\_Invitation\_Ltr\_Geanakoplos  
kw.  c\_Geanakoplos\_CV  
kx.  c1Geanakoplos\_Bio  
ky.  c2Geanakoplos\_paper  
kz.  c3Geanakoplos\_slides  
la.  c4Geanakoplos\_followup\_questions  
lb.  cds\_diagram  
lc.  ceos  
ld.  chart  
le.  Chart of hearing 1 questions with not answered  
lf.  Charts for Staff report (2)  
lg.  charts\_23june (4)  
lh.  charts\_draft17june\_COB\_6\_21  
li.  charts\_draft21june  
lj.  charts\_draft21june (2)  
lk.  charts\_draft21june (4)  
ll.  Citi memo re Valuation of Liquidity Puts (2006-10-19) (copy)  
lm.  CMayer\_Bio (copy)


































- In.  Commission Conclusions Highlights
- Io.  commissioner\_murren\_requests
- Ip.  commissioner\_retreat\_july28
- Iq.  commissioner\_retreat\_july29
- Ir.  Commissioner\_Working\_Groups\_with\_staff\_point\_person\_and staff team
- Is.  Compensation
- It.  Conference Call with Joe Spieler, Speiler Agency (Book Agents)\_july
- Iu.  conference\_call\_with\_book\_agents\_7.8.2010
- Iv.  contact\_witness\_list\_dump
- Iw.  contacts\_witness\_list\_dump (1.26.10)
- Ix.  COP
- Iy.  COPY ME - -01-18-2011 - Blue Draft
- Iz.  Copy of Copy of Sand State Data from NDS with Graphs Sheet 2\_v3
- ma.  Copy of fcic\_nonagency (1)\_rx (4)
- mb.  COPY\_of\_Approval Sheet for Annual\_Sick Leave
- mc.  COVER PAGE FOR DOCUMENTS REQUIRING FCIC OFFICIALs SIGNATURE
- md.  COVER PAGE FOR DOCUMENTS REQUIRING FCIC OFFICIALs SIGNATURE\_
- me.  cra\_chart
- mf.  cra\_questions
- mg.  cram\_data
- mh.  cram\_data (seefer)
- mi.  Credit Rating Agencies follow up questions with transcript cites
- mj.  Credit Rating Agencies H5a Follow-up Questions\_FINAL\_2010-06-21
- mk.  Credit Rating Agency Follow-Up Grid
- ml.  credit\_rating\_agency\_working\_group\_mtg\_7.8.2010
- mm.  csnational\_value\_(from s& p)
- mn.  d\_fcic\_invitation\_letter\_symposium\_lusardi
- mo.  d\_Lusardi\_CV
- mp.  d1Lusardi\_bio
- mq.  d2Lusardi\_paper
- mr.  d3Lusardi\_slides
- ms.  d4Lusardi\_followup\_questions
- mt.  d5Lusardi\_followup\_response
- mu.  derivative\_psr\_6 29\_5 pm
- mv.  derivative\_psr\_6 29\_rd\_sia\_rxb\_gf 11am
- mw.  derivative\_psr\_6 29\_rd\_sia\_rxb\_gf 2pm
- mx.  derivative\_psr\_6 29\_rd\_sia\_rxb\_gf 3pm
- my.  derivative\_psr\_6.29\_rd\_sia (5am)
- mz.  derivative\_psr\_6.29\_rd\_sia\_
- na.  Derivatives Hearing Questions
- nb.  derivatives overview\_psr\_6 20\_old
- nc.  derivatives overview\_psr\_6 20\_old
- nd.  Derivatives plan staff report and research
- ne.  derivatives\_background
- nf.  derivatives\_defined\_and\_uses
- ng.  derivatives\_follow\_up\_questions
- nh.  derivatives\_follow\_up\_questions\_7.23
- ni.  derivatives\_follow\_up\_questions\_8\_16\_redline
- nj.  derivatives\_follow\_up\_questions\_8\_18
- nk.  derivatives\_follow\_up\_questions\_8\_27
- nl.  derivatives\_follow\_up\_questions\_revisions\_for\_sendout\_8.22
- nm.  derivatives\_followup\_questions (original)
- nn.  derivatives\_hearing\_day\_one\_transcripts
- no.  derivatives\_hearing\_day\_two\_transcript
- np.  derivatives\_notes\_pg1
























































nq.  derivatives\_overview\_psr\_6\_25\_8pm  
 nr.  derivatives\_overview\_psr\_6\_25\_version\_sent\_to\_working\_group  
 ns.  derivatives\_overview\_psr\_6\_26  
 nt.  derivatives\_overview\_psr\_6\_28\_SA\_RD  
 nu.  derivatives\_overview\_psr\_6\_29\_rd\_sia  
 nv.  derivatives\_packet  
 nw.  derivatives\_psr.6.16  
 nx.  derivatives\_psr\_6\_19  
 ny.  derivatives\_psr\_6.16  
 nz.  derivatives\_psr\_6.17  
 oa.  derivatives\_psr\_6.17  
 ob.  derivatives\_psr\_credit derivatives - Jun 21 clean  
 oc.  derivatives\_psr\_credit derivatives - Jun 29 am  
 od.  derivatives\_psr\_credit derivatives - Jun 29\_5pm  
 oe.  derivatives\_psr\_part1\_6.10  
 of.  derivatives\_takeaways\_packet  
 og.  derivatives\_takeaways\_packet\_8.11  
 oh.  derivatives\_takeaways\_packet\_2  
 oi.  derivatives\_timeline  
 oj.  DerivativesOverview mintz (2)  
 ok.  Deyoung Evanoff - Mergers and Acquisitions of Financial Institutions A Review of Post 2000 Literature - June 2009  
   ol.  DHE\_BB\_PW\_HM\_WMT\_PA\_KH ByronG  
 om.  DJaffee\_Bio (copy)  
 on.  Draft Briefing Binder  
 oo.  Draft Countrywide PIR  
 op.  dump  
 oq.  dump2  
 or.  e\_FCIC\_Symposium\_Invitation\_Ltr\_Mayer  
 os.  e\_Mayer\_CV 8 9 08  
 ot.  e1Mayer\_Bio  
 ou.  e2Mayer\_paper  
 ov.  e3Mayer\_slides  
 ow.  e4Mayer\_followup\_questions  
 ox.  edited\_combined  
 oy.  Electronic Briefing Binder  
 oz.  EquitySwapsandEquityInvesting  
 pa.  erq407\_mengle  
 pb.  erq407\_mengle.jpeg  
 pc.  ers\_working\_group\_mtg\_8.3.10  
 pd.  Excerpts from Susan Mills MFR  
 pe.  exchange.vs.otc  
 pf.  executive\_assistant\_job\_description  
 pg.  f\_FCIC\_Symposium\_Invitation\_Ltr\_Jaffee  
 ph.  f\_Jaffee\_CV  
   pi.  f1Jaffee\_bio  
   pj.  f2Jaffee\_paper  
   pk.  f3Jaffee\_slides  
   pl.  f4Jaffee\_followup\_questions  
 pm.  f5Jaffee\_followup\_responses  
 pn.  f6Jaffee\_Quinn Shreds and Patches 0310  
 po.  Fact Sheet - Examples of OTC Derivatives Role in Crisis  
 pp.  Fact Sheet - Examples of OTC Derivatives Role in Crisis  
 pq.  Fact Sheet 01 -- The Crisis was Avoidable  
 pr.  Fact Sheet 02 -- Failure of Regulation

- ps.  Fact Sheet 03 - Risk management failures
- pt.  Fact Sheet 04 - Excessive borrowing risky investments and lack of transparency
- pu.  Fact Sheet 05 -- Government was Ill Prepared
- pv.  Fact Sheet 06 -- Failures of Accountability & Ethics
- pw.  Fact sheet 07 - Collapse of mortgage standards
- px.  Fact Sheet 09 -- Failures at Rating Agencies
- py.  Fact sheet 10 - Excess liquidity
- pz.  Fact Sheet 11 - GSEs not the primary cause the crisis
- qa.  Fact Sheet 12 - Government Housing Policy Not Primary Cause of the Crisis
- qb.  factchecking\_status
- qc.  fannie\_5\_yr\_credit\_spreads over\_treasury
- qd.  fannie\_and\_AHG
- qe.  fannie\_freddie\_alta\_sub\_prime\_breakdown
- qf.  fannie\_freddie\_assets\_and\_mortgage\_backed\_securities
- qg.  fannie\_freddie\_assets\_and\_mortgage\_backed\_securities (need to upload!!)
- qh.  fannie\_freddie\_mkt\_capitalization
- qi.  fannie\_freddie\_murren\_charts (3.29)
- qj.  fannie\_freddie\_new\_business\_as\_share\_of\_originations
- qk.  fannie\_freddie\_total\_assets\_and\_mbs\_outstanding
- ql.  fannie\_mae\_comparative\_returns (bloomberg)
- qm.  fannie\_mae\_cram\_data
- qn.  fannie\_single\_family\_cumulative\_default\_rate
- qo.  Fannie-Market Reactions
- qp.  FCIC 2003-2004 PLS purchases with Deal Names and Impairments
- qq.  FCIC Contact List
- qr.  FCIC Derivatives\_hearing\_day\_one\_transcript\_FINAL
- qs.  FCIC Derivatives\_hearing\_day\_two\_transcript\_FINAL
- qt.  FCIC FANNIE PX
- qu.  FCIC FANNIE PX
- qv.  FCIC Office Staff Roster
- qw.  FCIC Staff Confidentiality and Ethics Policy Agreement Tracker
- qx.  fcic\_bios
- qy.  fcic\_final\_report\_chapter1
- qz.  fcic\_final\_report\_chapter1
- ra.  fcic\_final\_report\_chapter1
- rb.  FCIC\_Hearings\_H5\_Derivatives RIP
- rc.  FCIC\_Hearings\_H5\_Derivatives RIP (NEW -4.13)
- rd.  FCIC\_Hearings\_H5\_Derivatives RIP\_current\_compared\_against\_version\_3.24
- re.  fcic\_invitation\_letter\_kroszner
- rf.  fcic\_invitation\_letter\_kroszner
- rg.  fcic\_invitation\_letter\_lusardi
- rh.  fcic\_invitation\_letter\_lusardi
- ri.  fcic\_nonagency\_(1)\_rxb
- rj.  fcic\_staff\_roster
- rk.  fcic\_symposium\_invitation\_letter
- rl.  fcic\_symposium\_invitation\_letter (sia edits)
- rm.  fcic\_symposium\_invitation\_letter 1.20.10)
- rn.  fcic-AAA-graphic-finalv2[1]
- ro.  FCIC-LessonsLearned-02-27-2010-Mayer
- rp.  Feb Forum\_logistics\_Commissioners
- rq.  Feb Forum\_logistics\_Commissioners
- rr.  Feb Forum\_logistics\_Economists
- rs.  feb\_conference\_invitation\_letter
- rt.  feb\_conference\_invitation\_letter - 1.20.10
- ru.  feb\_conference\_invitation\_letter - 1.20.10 (final copy)

rv.  fhfa\_data(2.22)  
rw.  fhfa\_graph  
rx.  FHFADATA  
ry.  FHFADATA  
rz.  FHFADATA2  
sa.  FHFADATA2  
sb.  file\_list  
sc.  Financial Crisis Inquiry Commission\_Forum\_Feb 26 and 27\_public\_agenda  
sd.  Financial Derivatives working\_group\_meeting July 26  
se.  financial institutions memo  
sf.  first\_8\_pages  
sg.  first\_8\_pages\_rxb  
sh.  FM-FCIC-2\_00000159  
si.  Focus3Q08  
sj.  follow\_up\_forum\_questions  
sk.  Follow-Up Commissioner Rogs from Hearing 4\_2010-06-17  
sl.  Follow-Up Commissioner Rogs from Hearing 4\_7-8-10  
sm.  forum\_binder  
sn.  forum\_binder (appendix)  
so.  forum\_binder\_appendix  
sp.  forum\_binder\_cover\_sheet  
sq.  forum\_logistics\_presenters  
sr.  forum\_logistics\_presenters  
ss.  forum\_pdf\_portfolio  
st.  fraud\_paragraphs\_v1  
su.  freddie\_mac\_comparative\_returns (bloomberg)  
sv.  FreeConferenceCall - New Account Welcome Page  
sw.  FW revised top line bullets  
sx.  g\_Brunnermeier\_CV  
sy.  g\_FCIC\_Symposium\_Invitation\_Ltr\_Brunnermeier  
sz.  g1Brunnermeier\_Bio  
ta.  g2Brunnermeier\_paper  
tb.  g3Brunnermeier\_slides  
tc.  g4Brunnermeier\_followup\_questions  
td.  g5Brunnermeier\_followup\_reponse  
te.  gail\_burke\_nfhc\_nevada\_housing\_info  
tf.  Gary Gorton\_Bio  
tg.  Gary Gorton\_vita Nov 12 2008  
th.  GE Capital And Shadow Banking  
ti.  geither\_dump  
tj.  geither\_list\_misc  
tk.  geithner\_list  
tl.  GlobeSt Defaults Reach 16-year High  
tm.  gse\_actual\_low\_and\_mod\_income\_goal\_performance  
tn.  gse\_psr\_data(2)  
to.  gse\_single\_family\_credit\_guaranty\_business  
tp.  gupta\_rishi\_resume  
tq.  H (actual)  
tr.  H (rank)  
ts.  h\_FCIC\_Symposium\_Invitation\_Ltr\_Kashyap  
tt.  h\_Kashyap\_CV  
tu.  H1 Commissioner Follow-up Questions (original)  
tv.  H1 Commissioner Follow-up Questions Grid  
tw.  h1Kashyap\_Bio  
tx.  H2-3 Commissioner Follow-up Questions (original)

ty.  H2-3 Commissioner Follow-up Questions Grid  
 tz.  h2Kashyap\_paper  
 ua.  h3Kashyap\_supplemental\_paper  
 ub.  H4 Commissioner Follow-up Questions (original)  
 uc.  H4 Commissioner Follow-up Questions Grid  
 ud.  h4Kashyap\_slides  
 ue.  H5 Commissioner Follow-up Questions (original)  
 uf.  H5 Commissioner Follow-up Questions Grid  
 ug.  H5a Commissioner Follow-up Questions (original)  
 uh.  H5a Commissioners Follow-up Questions Grid  
 ui.  h5Kashyap\_followup\_questions  
 uj.  H6 Commissioners Follow-up Questions Grid  
 uk.  h6Kashyap\_followup\_responses  
 ul.  Haldane - The \$100 billion question - 30 March 2010  
 um.  hearing\_1\_followup\_question\_responses\_grid  
 un.  hearing\_1\_memo\_followup\_8\_18  
 uo.  hearing\_1\_memo\_followup\_8\_23  
 up.  hearing\_1\_memo\_followup\_sa\_8.16  
 uq.  hearing\_1\_memo\_followup\_sa\_8.5.10  
 ur.  hearing\_1\_memo\_followup\_sa\_8\_15\_10  
 us.  hearing\_follow\_up\_checklist  
 ut.  hearing\_follow\_up\_procedures  
 uu.  hearing\_followup\_schedule  
 uv.  hearing\_printout\_9.3  
 uw.  hearing\_questions  
 ux.  hearing1\_follow\_up\_questions\_grid  
 uy.  history\_of\_exchanges\_regulation  
 uz.  hMayer\_paper  
 va.  HMDA\_originations 1990-2008  
 vb.  homeownership\_rates  
 vc.  house\_price\_appreciation\_by\_selected\_states (FHFA)  
 vd.  Housing Bubble  
 ve.  Housing Goals 1996-2009 02-01 pdf (4)  
 vf.  housing\_index\_data  
 vg.  housing\_bib\_v2  
 vh.  housing\_bib\_v3  
 vi.  Housing\_Briefing\_Plan  
 vj.  Housing\_Briefing\_Plan[2]  
 vk.  housing\_results\_20100707  
 vl.  housing\_results\_20100707g  
 vm.  housing\_results\_pinto\_2010\_07\_28  
 vn.  Housing-Subprime-Securitization-FCIC-Mayer-public  
 vo.  How to Avoid1\_Luigi\_Zingales (2)  
 vp.  i\_FCIC\_Symposium\_Invitation\_Ltr\_Gorton  
 vq.  i\_Gorton\_CV  
 vr.  i1Gorton\_Bio  
 vs.  i2Gorton\_paper  
 vt.  i3Gorton\_followup\_questions  
 vu.  IMF\_originations  
 vv.  IMF\_originations\_  
 ww.  IMF\_originations\_v2  
 vx.  intern\_notes (alex\_marguilis)  
 vy.  investigation\_memo\_misc  
 vz.  Investigative Plan for Housing (1.21.010  
 wa.  Investigative Plan for Housing (1.21.10)
























































wb.  Investigative Plan for Housing (3)  
wc.  Investigative Plan for Housing (revised - ts & sia)  
wd.  Investigative Plan for Housing (sia)  
we.  Investigative Plan for Housing (ts & sia)  
wf.  Investigative Plan for Housing (wendy)  
wg.  Investigative Plan for Housing\_1\_10\_10  
wh.  Investigative Plan for Housing\_1\_10\_10  
wi.  investigative\_plan\_for\_housing\_(sia edits)  
wj.  investigative\_plan\_for\_housing\_(sia edits)  
wk.  invitation\_letter\_misc  
wl.  jeremy\_stein\_7.7.10  
wm.  JGeanakoplos\_Bio (copy)  
wn.  John Weicher US Civil Rights Commission statement  
wo.  Johnson  
wp.  Johnson(1)  
wq.  Julie\_Marcacci\_Confidentiality\_Agreement  
wr.  Julie\_Marcacci\_Ethics\_Policy  
ws.  Kaufman - Too Big To Fail in Banking What Remains - 8 April 2002  
wt.  Key Word Coding for Contact Chart (2)  
wu.  Kiplinger's Personal Finance - Google Books  
wv.  Kirsten\_Ling\_Confidentiality\_Agreement  
ww.  Kirsten\_Ling\_Ethics\_Policy  
wx.  Kroszner FCIC Feb 2010  
wy.  Kroszner Too Interconnected to Fail Draft Feb 19  
wz.  Kroszner Too Interconnected to Fail Draft Feb 23  
xa.  Kroszner Too Interconnected to Fail Draft Feb 23  
xb.  las\_vegas\_field\_hearing\_local\_housing\_groups\_info  
xc.  las\_vegas\_field\_hearing\_local\_housing\_groups\_info.docx  
xd.  Lehman Outline 13April2010  
xe.  Leighton\_Dellinger\_FCIC\_Confidentiality\_Agreement  
xf.  Leighton\_Dellinger\_FCIC\_Ethics\_Policy  
xg.  Level 1 outline  
xh.  Level 2 outline  
xi.  Lusardi\_Report\_FCIC  
xj.  magic  
xk.  management[1]  
xl.  march\_hearing\_bstorm  
xm.  march\_hearing\_bstorm - 2  
xn.  Market Exposure Survey  
xo.  Master Contact List\_2.4.10  
xp.  mbna& bofa\_merger\_board\_order  
xq.  MBrunnermeier\_Bio  
xr.  Media Advisory Final 2 22 10  
xs.  Mike\_Bryan\_Confidentiality\_Agreement  
xt.  minority LMI calc (upload!!)  
xu.  Mishkin - How Big a Problem is Too Big to Fail A Review of Stern and Feldman - December 2006  
xv.  Moody's - structured finance downgrade chart by sector  
xw.  Moodys MBIA release jan 17 2008  
xx.  moody's\_reps\_& \_warrants (disk 578)  
xy.  MOODYS-FCIC-0392261  
xz.  MOODYS-FCIC-0392262  
ya.  MOODYS-FCIC-0392263  
yb.  MOODYS-FCIC-0392264  
yc.  MOODYS-FCIC-0392265  
yd.  MOODYS-FCIC-0392266


























































ye.  MOODYS-FCIC-0392267  
yf.  MOODYS-FCIC-0392268  
yg.  MOODYS-FCIC-0392269  
yh.  MOODYS-FCIC-0392270  
yi.  MOODYS-FCIC-0392271  
yj.  MOODYS-FCIC-0392272  
yk.  MOODYS-FCIC-0392273  
yl.  MOODYS-FCIC-0392274  
ym.  MOODYS-FCIC-0392275  
yn.  mortgage\_data\_discussion  
yo.  mortgage\_originations (imf)  
yp.  moss  
yq.  mtg  
yr.  names to add (from wendy 2.1.2010)  
ys.  narrative\_outline  
yt.  netdocs\_structure  
yu.  NetDocuments Recent  
yv.  New Microsoft Office Excel 2007 Workbook  
yw.  new\_credit\_derivatives\_psr  
yx.  new\_derivatives\_overview  
yy.  next\_pages  
yz.  next\_pages2  
za.  NOTES ON Citi with tabs  
zb.  occ  
zc.  old\_derivatives\_overview  
zd.  old+derivatives\_psr\_credit derivatives - Jun 25  
ze.  omni\_plan\_with\_track\_changes  
zf.  Omnibus\_Work\_Plan\_2\_12\_COB  
zg.  Omnibus\_Work\_Plan\_2\_14\_tracked  
zh.  omnibus\_work\_plan\_tracked\_changes3  
zi.  omnibus\_work\_plan\_tracked\_changes4  
zj.  omnibus\_work\_plan\_tracked\_changes4(compared)  
zk.  omnibus\_work\_plan\_with\_track\_changes2  
zl.  Omnibus\_Work\_Plan\_wme (2)  
zm.  option\_arm\_dump  
zn.  org  
zo.  org - plus extra info  
zp.  original\_doc  
zq.  original\_proposal  
zr.  originations\_by\_production\_channel (imf)  
zs.  Outline for September 1-3  
zt.  outstanding items (2.4)  
zu.  Overall\_by\_year\_as of \_Dec09\_all  
zv.  page4\_totals\_22june  
zw.  Pages from 2011-02-02 FINAL BOOK-2  
zx.  participant\_list\_details  
zy.  PatternFills  
zz.  pdf\_fico\_chart  
aaa.  pgs 1-4  
aab.  PIR Outline - Lehman  
aac.  PIR outline - Wachovia  
aad.  POG\_Bio  
aae.  Preliminary Staff Report - GSEs and the Financial Crisis  
aaf.  Preliminary Staff Report Fed chair vice chair with coments ghf rxb[1]  
aag.  Press Packet - Fact Sheet SG



























































aah.  prices\_intl\_House price indices (nominal and real+average)  
 aai.  prices\_intl\_House price indices (nominal and real+average)  
 aaj.  Process Criticism 1.22.11  
 aak.  Process\_for\_Review\_and\_Approval\_of\_the\_Report\_by\_the\_Commission August 2  
 aal.  Process\_for\_Review\_and\_Approval\_of\_the\_Report\_by\_the\_Commission August 6  
 aam.  proposal\_with\_track\_changes\_7.13  
 aan.  Proposed Framework for Discussion for Commissioners  
 aao.  PSR - CRA and the Mortgage Crisis Factchecked (2)  
 aap.  PSR - GSEs and the Financial Crisis  
 aaq.  PSR - The Mortgage Crisis  
 aar.  PSR - The Mortgage Crisis (old)  
 aas.  PSR - The Mortgage Crisis(old)  
 aat.  PSR Credit Ratings public release  
 aaU.  PSR Credit Ratings public release  
 aav.  PSR derivatives overview\_psr\_6 23 clean  
 aaw.  PSR derivatives overview\_psr\_6 23 clean RDodd  
 aax.  PSR derivatives overview\_psr\_6.21  
 aay.  PSR derivatives overview\_psr\_6.22  
 aaz.  psr\_credit derivatives\_final  
 aba.  psr\_credit derivatives\_final  
 abb.  psr\_credit\_derivatives\_redlined  
 abc.  psr\_derivative\_overview\_final  
 abd.  psr\_derivative\_overview\_final  
 abe.  purposes  
 abf.  purposes2  
 abg.  Q409Tables1-12TREAS  
 abh.  Q409Tables1-12TREAS\_subset  
 abi.  QA 1 25 11 v2  
 abj.  QA 1.24.11 - with revisions v2  
 abk.  questions\_for\_moody's  
 abl.  quick\_notes1  
 abm.  r\_qs1003  
 abn.  r\_qs1003  
 abo.  r\_qs1003  
 abp.  Reading List - TBTF  
 abq.  recruiting\_outline  
 abr.  recruiting\_packet  
 abs.  redlined\_derivatives\_overview\_psr  
 abt.  redlined\_derivatives\_overview\_psr  
 abu.  redlined\_derivatives\_overview\_psr\_6.24  
 abv.  redlined\_derivatives\_overview\_psr\_6.25  
 abw.  Report outline 8 10 tracked  
 abx.  Reports on Fannie 8-5-10  
 aby.  research plan  
 abz.  Research\_Investigative\_Plan\_for\_Housing  
 aca.  Research\_Investigative\_Plan\_for\_Housing (1.22.10)  
 acb.  Research\_Investigative\_Plan\_for\_Housing (2)  
 acc.  Research\_Investigative\_Plan\_for\_Housing[1]  
 acd.  research\_symposium\_database  
 ace.  research\_symposium\_preliminary\_description  
 acf.  research\_team\_mtg\_notes\_2.10  
 acg.  resource list  
 ach.  REVISED\_GRAPHIC  
 aci.  revised\_proposal  
 acj.  RIP - Housing

























































ack.  rip\_new  
 acl.  rip\_old  
 acm.  rip\_with\_track\_changes  
 acn.  RKroszner\_Bio (copy)  
 aco.  RMBS Rating Methodology  
 acp.  rmbs\_case\_study  
 acq.  rmbs\_case\_study (cmli\_2006\_nc2) 5 28 10 SIA  
 acr.  rmbs\_case\_study (cmli\_2006\_nc2) 5.28.10 SIA  
 acs.  RPPR Results  
 act.  rubin\_followup  
 acu.  s& p\_abs\_cdo\_methodology\_history  
 acv.  s& p\_abs\_cdo\_methodology\_history\_5.13  
 acw.  s& p\_ratings\_methodology  
 acx.  Sand State Data from NDS with Graphs Sheet 2\_v2  
 acy.  sand\_state\_foreclosure\_delinquency\_data (MBA\_NDS)  
 acz.  Schedule for Book\_2010-06-16  
 ada.  Sec III Ch 3 10 15 2010 to Chair Vice Chair1  
 adb.  section 3.9 (jobe)  
 adc.  section 3.9 (london)  
 add.  section 4 7 28  
 ade.  section 4\_8.11.10  
 adf.  section 4\_8.11.10  
 adg.  Section I Ch 2 fact check (jennifer factcheck)  
 adh.  section I for Mike for shaista  
 adi.  section I outline 7 19  
 adj.  Section III Ch 5 (chairman comments)  
 adk.  section\_1\_outline\_with\_track\_changes\_8.1.10  
 adl.  section\_II\_outline\_8 13 10\_redlined\_8.16  
 adm.  section\_II\_outline\_8.13.10\_redlined  
 adn.  section\_II\_outline\_8.13.10\_redlined  
 ado.  select\_companies\_downgrade\_history (moodys)  
 adp.  senior\_staff\_mtg\_7.9.2010  
 adq.  Shadow Banking PSR Outline  
 adr.  shadow\_7\_22  
 ads.  shadow\_banking\_reading\_list  
 adt.  Shadow\_Banking\_RIP\_and\_hearing\_draft  
 adu.  Shadow\_Banking\_RIP\_v2  
 adv.  shai\_charts  
 adw.  shandler\_geoff\_call\_8.6.10  
 adx.  shiller  
 ady.  shiller\_data  
 adz.  siegl\_quotes  
 aea.  siegl\_quotes2  
 aeb.  SIGTARP2  
 aec.  Spreadsheet for H2-3 Follow-up  
 aed.  Staff takeaways from the derivatives hearing  
 aee.  Stephanie\_Kaplan\_Confidentiality\_Agreement  
 aef.  Stephanie\_Kaplan\_Contract  
 aeg.  Stephanie\_Kaplan\_Ethics\_Policy  
 aeh.  Stern Feldman - Too Big to Fail The Hazard of Bank Bailouts - 2004  
 aei.  subprime\_lenders\_ceos\_directors  
 aej.  subprime\_mortgage\_originations\_by\_yr\_of\_ornation\_and\_product\_type  
 aek.  Suleman Khan Contract Amendment (2)  
 ael.  Summary Data File  
 aem.  Survey Description

aen.  Susan\_Mandel\_Confidentiality\_Agreement  
aео.  Susan\_Mandel\_Ethics\_Policy  
aep.  symposium\_email (1)  
aeq.  T - 2010-10-09 (Miller, Stroebel) Shadow Banking & Supervision  
aer.  table\_of\_contents  
aes.  table2\_(need to upload\_rename)  
aet.  takeaway\_chairman\_version\_credit\_rating\_agencies  
aeu.  takeaways\_from\_credit\_rating\_agencies\_research\_and\_investigation\_plan\_7\_26  
aev.  takeaways\_from\_credit\_rating\_agencies\_research\_and\_investigation\_plan\_7.20  
aew.  takeaways\_from\_credit\_rating\_agencies\_research\_and\_investigation\_plan\_7.20\_redlined  
aex.  takeaways\_from\_credit\_rating\_agencies\_research\_and\_investigation\_plan\_8\_25  
aey.  takeaways\_from\_credit\_rating\_agencies\_research\_and\_investigation\_plan\_8\_25  
aez.  takeaways\_from\_derivative\_research\_and\_investigative\_plan\_7.26  
afa.  takeaways\_from\_housing\_20100811  
afb.  takeaways\_from\_housing\_research\_and\_investigative\_plan\_7.20  
afc.  takeaways\_from\_housing\_research\_and\_investigative\_plan\_7.20\_redlined  
afd.  takeaways\_from\_housing\_research\_and\_investigative\_plan\_8\_25  
afe.  takeaways\_from\_housing\_research\_and\_investigative\_plan\_8.12\_redlined  
aff.  takeaways\_from\_housing\_research\_and\_investigative\_plan\_original\_version  
afg.  takeaways\_from\_shadow\_banking\_research\_and\_investigative\_plan  
afh.  takeaways\_from\_shadow\_banking\_research\_and\_investigative\_plan\_7.20  
afi.  takeaways\_from\_shadow\_banking\_research\_and\_investigative\_plan\_7\_22  
afj.  Taylor - Responses to Additional Questions from the FCIC - Nov 2009  
afk.  tbtf\_working\_group\_mtg\_7.30.2010  
afl.  Template for Contact List  
afm.  test  
afn.  thank\_you\_letter  
afo.  thankyou\_letter  
afp.  thankyou\_letter2  
afq.  Thomson - On Systemically Important Financial Institutions and Progressive Systemic Mitigation - August 2009  
afr.  title  
afs.  total\_assets\_total\_mbs\_outstanding\_fannie\_freddie  
aft.  transcript\_derivatives\_hearing\_day2  
afu.  transcript-JUNE 2007  
afv.  users  
afw.  Walker FCIC Invite Letter - Las Vegas Hearing  
afx.  Witness Interview Tracker\_1-28-10  
afy.  witness\_consultant\_contact\_list  
afz.  witness\_list  
aga.  witness\_list\_additions (2.2.10)  
agb.  witness\_list\_gf  
agc.  writer\_editor\_list  
agd.  writer\_editor\_researcher\_list\_8.2.10  
age.  writing\_samples\_writer\_editors  
agf.  z1 - 4.1  
agg.  z1\_data\_holders\_of\_mbs\_cdo\_debt (psr -securitization)  
agh.  z2 (4.1)  
agi.  z2.1 - 12.27 (1pm)  
agj.  z2.1 - version 12.27 (8.13pm)  
agk.  z2.1 - version 12.27 (8.13pm) for Alexis  
agl.  z2010-12-21 version of 4.1 (added checks from kristin,adam,susan)  
agm.  z2010-12-26 section 4.1 - 6.00 pm version  
agn.  z3 - compared 4.1 - with senior staff changes  
ago.  z4 (4.1)  
agp.  Zingales

2.  correspondence
  - a.  resources
    1.  sloan\_letter
  - b.  bailey
  - c.  Johnson v3
  - d.  moss
  - e.  Reinhart
  - f.  scott
  - g.  stiglitz
  - h.  taylor
  - i.  zingales
3.  feb\_forum
  - a.  agenda
    1.  2-26-27-2010\_Agenda\_for\_Forum to Explore Causes of Finacial Crisis v3
    2.  2-26-27-2010\_Agenda\_for\_Forum to Explore Causes of Finacial Crisis wme
    3.  2-26-27-2010\_Agenda\_for\_Forum to Explore Causes of Finacial Crisis1
    4.  2-26-27-2010\_Agenda\_for\_Forum to Explore Causes of Finacial Crisis1.v2
  - b.  binder
    1.  agenda
      - a.  2-26-27-2010\_Agenda\_for\_Forum toExplore Causes of Finacial Crisisfinal
      - b.  FCIC\_Media\_Advisory\_Forum\_Final\_2 10 101
      - c.  Financial Crisis InquiryCommission\_Forum\_Feb 26 and 27\_Public\_Agenda
    2.  bios
      - a.  shortened\_bios
      - b.  AKashyap\_Bio (copy)
      - c.  Annamaria Lusardi\_Bio
      - d.  Annamaria Lusardi\_Bio
      - e.  CMayer\_Bio (copy)
      - f.  CMayer\_Bio (copy)
      - g.  DJaffee\_Bio (copy)
      - h.  Gary Gorton\_Bio
      - i.  JGeanakoplos\_Bio (copy)
      - j.  MBrunnermeier\_Bio
      - k.  MBrunnermeier\_Bio
      - l.  POG\_Bio
      - m.  POG\_Bio
      - n.  RKroszner\_Bio (copy)
  3.  contact\_info
    - a.  Forum Contact Information
  4.  cv
    - a.  AKashyap\_CV
    - b.  ALusardi\_CV
    - c.  CMayer\_CV 8 9 08
    - d.  DJaffee\_CV
    - e.  Gary Gorton\_vita Nov 12 2008
    - f.  JGeanakoplos\_CV
    - g.  MBrunnermeier\_CV
    - h.  POG\_CV
    - i.  RKroszner\_CV
  5.  logistics
    - a.  Email - logistics
    - b.  Symposium\_logistics
  6.  misc
    - a.  follow\_up\_forum\_questions
    - b.  forum\_binder\_cover\_sheet
    - c.  forum\_packet
    - d.  forum\_packet(2)


























































- 7. papers
  - a. Gorton Q and A on the Crisis Feb 2010 (copy)
  - b. GSEs and Housing Policy221
  - c. Housing-Subprime-Securitization-FCIC-Mayer-v4 (copy)
  - d. Kashyap Lessonforfcic (copy)
  - e. Kroszner Too Interconnected to Fail Draft Feb 19 (copy)
  - f. Lusardi\_Report\_FCIC (copy)
- c. correspondence
  - 1. symposium\_email (1)
  - 2. thank\_you\_letter
- d. invitation\_letters
  - 1. COVER PAGE FOR DOCUMENTS REQUIRING FCIC OFFICIALs SIGNATURE
  - 2. COVER PAGE FOR DOCUMENTS REQUIRING FCIC OFFICIALs SIGNATURE\_
  - 3. Email - FW- FCIC\_Symposium\_Invitation\_Ltr\_Caballero
  - 4. Email - FW- FCIC\_Symposium\_Invitation\_Ltr\_Gourinchas
  - 5. Email - FW- FCIC\_Symposium\_Invitation\_Ltr\_Gourinchas
  - 6. Email - FW- FCIC\_Symposium\_Invitation\_Ltr\_Gourinchas
  - 7. fcic\_invitation\_letter\_kroszner
  - 8. fcic\_invitation\_letter\_lusardi
  - 9. fcic\_symposium\_invitation\_letter
  - 10. fcic\_symposium\_invitation\_letter (sia edits)
  - 11. fcic\_symposium\_invitation\_letter 1.20.10)
  - 12. FCIC\_Symposium\_Invitation\_Ltr\_Brunnermeier
  - 13. FCIC\_Symposium\_Invitation\_Ltr\_Caballero
  - 14. FCIC\_Symposium\_Invitation\_Ltr\_Geanakoplos
  - 15. FCIC\_Symposium\_Invitation\_Ltr\_Gorton
  - 16. FCIC\_Symposium\_Invitation\_Ltr\_Gourinchas
  - 17. FCIC\_Symposium\_Invitation\_Ltr\_Gourinchas
  - 18. FCIC\_Symposium\_Invitation\_Ltr\_Gourinchas
  - 19. FCIC\_Symposium\_Invitation\_Ltr\_Jaffee
  - 20. FCIC\_Symposium\_Invitation\_Ltr\_Kashyap
  - 21. FCIC\_Symposium\_Invitation\_Ltr\_Mayer
  - 22. feb\_conference\_invitation\_letter
  - 23. feb\_conference\_invitation\_letter - 1.20.10
  - 24. feb\_conference\_invitation\_letter - 1.20.10 (final copy)
  - 25. invitation\_letter\_misc
- e. misc
  - 1. 1-9-2010\_Briefing\_packet (template)
  - 2. forum\_database
  - 3. research\_symposium\_database
  - 4. research\_symposium\_preliminary\_description
- f. papers
  - 1. Brunnermeier 01f FCIC Crisis Report
  - 2. FCIC\_Gourinchas\_0221
  - 3. geanakoplos leverage cycle solution j35
  - 4. Gorton Q and A on the Crisis Feb 2010
  - 5. GSEs and Housing Policy225
  - 6. Housing-Subprime-Securitization-FCIC-Mayer-v4
  - 7. Jaffee GSEs and Housing Policy221
  - 8. Kashyap Lessonforfcic
  - 9. Kroszner Too Interconnected to Fail Draft Feb 19
  - 10. Lessonforfcickashyap
  - 11. Lusardi\_Report\_FCIC
- g. participants
  - 1. pictures
    - a. AKashyap\_photo
























































- b.  ALusardi\_photo
      - c.  CMayer\_photo
      - d.  DJaffee\_photo
      - e.  Email - Photos
      - f.  Gary Gorton\_photo
      - g.  JGeanakoplos\_photo
      - h.  MBrunnermeier\_photo
      - i.  POG\_photo
      - j.  RKroszner\_photo
    - 2.  AKashyap\_Bio
    - 3.  CMayer\_Bio
    - 4.  DJaffee\_Bio
    - 5.  Email - FW- Bios CVs
    - 6.  Gary Gorton\_Bio
    - 7.  JGeanakoplos\_Bio
    - 8.  participant\_list\_details
    - 9.  participant\_list\_details
    - 10.  POG\_Bio
    - 11.  RKroszner\_Bio
  - h.  powerpt\_presentations
    - 1.  01a\_FCIC\_Brunnermeier
    - 2.  FCIC Lusardi
    - 3.  FCIC-LessonsLearned-02-27-2010-Mayer
    - 4.  geanakoplos
    - 5.  Gourinchas
    - 6.  Jaffee
    - 7.  kashyapfcic
    - 8.  Kroszner FCIC Feb 2010
  - i.  Kroszner Too Interconnected to Fail Draft Feb 23
- 4.  hearings
  - a.  credit\_rating\_agencies
    - 1.  s&p
      - a.  clas\_Descriptions
      - b.  clas\_descriptions2
      - c.  s& p\_writeup
    - 2.  2006\_12N (lehman rmbs)
    - 3.  cantor\_interview
    - 4.  cmlti\_2006\_nc2\_newstory2
    - 5.  cmlti\_2006\_nc2\_newstory3
    - 6.  cmlti\_2006\_nc2\_newstory4
    - 7.  cmlti\_2006\_nc2\_overview
    - 8.  cmlti\_2006\_nc2\_prospectus
    - 9.  cmlti\_2006\_nc2\_story
    - 10.  csmc\_2006\_2
    - 11.  csmc\_2006\_2\_prospectus
    - 12.  misc\_cantor\_interview
    - 13.  ratings\_history\_cmlti
    - 14.  ratings\_methodology
    - 15.  rmbs\_case\_study
    - 16.  rmbs\_case\_study (cmlti 2006-nc2\_)
    - 17.  rmbs\_case\_study (cmlti\_2006\_nc2)
    - 18.  rmbs\_case\_study (cmlti\_2006\_nc2) 5.28.10 SIA
    - 19.  s& p\_ratings\_methodology
    - 20.  scott\_mason\_interview\_s& p
    - 21.  sp\_CDO\_writeup\_5.11
    - 22.  teischer\_interview


























































- b.  derivatives
  1.  cds\_diagram
  2.  Derivatives plan staff report and research - 0429
  3.  derivatives psr (part I & II)
  4.  derivatives psr (part I & II) 6.6.10 version
  5.  derivatives\_4.19
  6.  derivatives\_psr
  7.  derivatives\_psr\_6 19
  8.  derivatives\_regulations
  9.  dodd questions apr 22 - derivatives
  10.  hearing\_questions
  11.  info\_on\_derivatives
  12.  PSR - Derivatives (part 1)
  13.  PSR derivatives overview\_psr\_6.21
  14.  psr\_part1& 2
  15.  Reading List - Derivatives
  16.  redlined\_derivatives\_overview\_psr\_6.25
  17.  test\_doc
  18.  test\_graph
  19.  TRS\_explanation
  20.  uses\_derivatives
  21.  working\_group\_mtg\_6.27
- c.  macro
- d.  shadow\_banking
  1.  cds\_4.17
  2.  cds\_only\_4.18
  3.  derivatives\_4.18
  4.  derivatives\_background
  5.  derivatives\_background\_4.16
  6.  derivatives\_reference\_docs
  7.  derivatives\_background2
  8.  misc\_derivatives\_4.17
  9.  Promise of Credit Derivatives in Nonfinancial Corporations (JACF 2006)
  10.  Promise of Credit Derivatives in Nonfinancial Corporations (JACF 2006)
  11.  Promise of Credit Derivatives in Nonfinancial Corporations (JACF 2006)\_Page\_1
  12.  Promise of Credit Derivatives in Nonfinancial Corporations (JACF 2006)\_Page\_2
  13.  Promise of Credit Derivatives in Nonfinancial Corporations (JACF 2006)\_Page\_3
  14.  Promise of Credit Derivatives in Nonfinancial Corporations (JACF 2006)\_Page\_4
  15.  Promise of Credit Derivatives in Nonfinancial Corporations (JACF 2006)\_Page\_5
  16.  Promise of Credit Derivatives in Nonfinancial Corporations (JACF 2006)\_Page\_6
  17.  Promise of Credit Derivatives in Nonfinancial Corporations (JACF 2006)\_Page\_7
  18.  Promise of Credit Derivatives in Nonfinancial Corporations (JACF 2006)\_Page\_8
  19.  Promise of Credit Derivatives in Nonfinancial Corporations (JACF 2006)\_Page\_9
  20.  types\_of\_derivatives\_figure
- e.  subprime\_lending\_and\_securitization
  1.  heopa\_history
- 5.  library
  - a.  articles
  - b.  contacts
    1.  resources
      - a.  Resource\_List(Wendy)
    2.  witness\_list
      - a.  1 25 draft Master Contact List\_SUPERSEDED
      - b.  additional\_misc
      - c.  ASF2010DetailedAgenda
      - d.  B (rank)
























































- e. contact\_witness\_list\_dump
  - f. contacts\_witness\_list\_dump (1.26.10)
  - g. H (actual)
  - h. H (rank)
  - i. Key Word Coding for Contact Chart (2)
  - j. Master Contact List\_2.4.10\_SUPERSEDED
  - k. names to add (from wendy 2.1.2010)
  - l. org
  - m. org - plus extra info
  - n. Template for Contact List
  - o. title
  - p. Witness Interview Tracker\_1-28-10
  - q. witness\_consultant\_contact\_list
  - r. witness\_list
  - s. witness\_list\_additions (2.2.10)
  - t. witness\_list\_gf
- c. data
- 1. csnational\_value\_(from s& p)
  - 2. fannie\_freddie\_total\_share\_of\_originations
  - 3. fhfa\_graph\_2.22.2010(2)
  - 4. FHFADATA2
  - 5. gse\_psr\_charts
  - 6. Mortgages\_outstanding\_10s1155[1]
6. misc
- a. addiotnal\_subprime\_lending\_history
  - b. cra\_info
  - c. FCIC BUSINESS CARD TEMPLATE
  - d. fcic\_bios
  - e. geithner\_list
  - f. geithner\_list2
  - g. gf\_business\_card
  - h. gf\_business\_card
  - i. h2-3\_grid
  - j. hearing\_followup\_process
  - k. history\_of\_subprime\_lending
  - l. jon\_netdocs\_instructions (library + resources)
  - m. mills\_response
  - n. missy\_gse\_psr\_factchecked
  - o. mort\_criis\_compared
  - p. netbinder\_test
    - 1. fcic\_staff\_roster
    - 2. correspondence
  - q. PSR - Mortgage Crisis (with track changes)
  - r. PSR - Template (5)
  - s. telephonic business mtg
  - t. test
  - u. topir\_inventory
7. STANG
- a. Shirley Tang
    - 1. 911 Commission
      - a. 911 Lessons Learned Binder
        - 1. 1. 911 Commission Interview Handbook
        - 2. 2. Staff Statement 10
        - 3. 3. 9-11 Commission Staff Org Chart
        - 4. 4. Zelikow Workplan Memo
        - 5. 5. Cover and Contents Pg

















































































































- 6.  FCIC\_911\_Memo
- 2.  Admin
  - a.  Binder Spines
    - 1.  1 Inch Binder Spine TEMPLATE
  - b.  Business Card
    - 1.  Business Card\_ST
    - 2.  FCIC BUSINESS CARD TEMPLATE
    - 3.  FCIC BUSINESS CARD TEMPLATE
    - 4.  FCIC BUSINESS CARD TEMPLATE
  - c.  Citrix
    - 1.  Citrix Access Info
  - d.  CompleteGov
    - 1.  3-2-2010\_FCIC List of Codes\_Database
    - 2.  Completegov Login Info
    - 3.  FCIC Database Parameters for Staff\_3-2-2010
  - e.  Conference Call Info
    - 1.  Instant Meeting Web Moderator Tutorial
    - 2.  Instant\_Meeting\_Service\_Value-Added\_Feat1
    - 3.  Online Account - Verizon Conference Line
    - 4.  PA Conference Line
    - 5.  Tom's Conferencing Info
  - f.  Contact Lists
    - 1.  Witnesses
      - a.  Master Contact List\_1-12-10\_SUPERSEDED
    - 2.  FCIC Office Staff Roster\_4-23-10
    - 3.  FCIC Roster of Commissioners\_CONFIDENTIAL
    - 4.  FCIC\_Master\_Roster\_1-20-10
    - 5.  FCIC\_Master\_Roster\_2-12-10
    - 6.  FCIC\_Office\_Staff\_Roster\_1-20-10
    - 7.  FCIC\_Office\_Staff\_Roster\_2-12-10
    - 8.  FCIC\_Office\_Staff\_Roster\_3-3-10
    - 9.  FCIC\_Roster\_Commissioners and FO Staff\_2-24-2010
    - 10.  FCIC\_Roster\_for COMMISSIONERS
    - 11.  FCIC\_Staff\_Roster\_2-24-10
    - 12.  FCIC\_Staff\_Roster\_3-3-10
    - 13.  INCOMPLETE\_FCIC\_Office\_Staff\_Roster\_2-12-10
  - g.  Cover for Official Signature
    - 1.  COVER PAGE FOR DOCUMENTS REQUIRING FCIC OFFICIALs SIGNATURE
    - 2.  Cover Page for Official's Signature
  - h.  Credit Card
    - 1.  FCIC MasterCard
  - i.  DocuMatrix
    - 1.  DocuMatrix Access Info
  - j.  Executive Assistant Duties
    - 1.  EA\_Duties
  - k.  Factiva
    - 1.  Factiva Instructions
    - 2.  Factiva\_FCIC\_Users\_Trial\_1-11-10
  - l.  FCIC Info
    - 1.  FCIC Bios
    - 2.  FCIC Expenses by category thru April 2010 per GSA
    - 3.  FCIC Glossary
    - 4.  FCIC POCs
    - 5.  FCIC Rules of Procedure
    - 6.  FCIC Staff List & Financial Summary\_2010-06-11\_CONFIDENTIAL
    - 7.  FCIC Travel
    - 8.  FCIC\_Budget\_Execution
































9.  FCIC\_Organizational\_Chart\_1-19-10
  10.  Financial Summary --CONFIDENTIAL
  11.  Future\_FCIC\_Meetings\_Hearings\_as of 2010-06-11
  12.  Future\_FCIC\_Meetings\_Hearings\_as of 2-23-2010
  13.  Organizational Chart 1-22-10
  14.  Organizational Chart 2-16-10
  15.  Organizational Chart 2-23-10
  16.  Organizational\_Chart\_1-26-10
  17.  PL 111-21
  18.  Staff List\_Updated 2010-03-31
  19.  Staff List\_Updated 2010-03-31
- m.  FCIC Offer Letters and Contracts
1.  2010-04-15 FCIC Detailee Letter to Brian Sylvester
  2.  2010-06-15 FCIC\_Lippincott-Spieler Agreement
  3.  AMENDED\_FCIC\_Bruce McWilliams\_Contract\_2010-04-05
  4.  AMENDED\_FCIC\_George Hodak\_Contract\_2010-04-05
  5.  AMENDED\_FCIC\_George Hodak\_Contract\_2010-04-05
  6.  AMENDED\_FCIC\_Kathleen Adams\_Contract\_2010-04-05
  7.  AMENDED\_FCIC\_Kathleen Adams\_Contract\_2010-04-05
  8.  AMENDED\_FCIC\_Kim\_Shafer\_Contract\_2010-04-05
  9.  AMENDED\_FCIC\_Morton Rosenberg\_Contract\_2010-04-05
  10.  AMENDED\_FCIC\_Morton Rosenberg\_Contract\_2010-04-05
  11.  Contract\_Richard A Galen\_2-1-10
  12.  FCIC Contract\_Suleman Khan\_2010-06-10
  13.  FCIC Detailee Ltr to DOJ\_Jay Lerner\_1-29-10
  14.  FCIC Letter to USDA re Extension of B Sylvester Detail\_2010-06-11
  15.  FCIC Ltr to Jay Lerner
  16.  FCIC\_Bruce\_McWilliams\_Contract\_2-23-10
  17.  FCIC\_Contract\_Kim\_Shafer\_2-17-10
  18.  FCIC\_Detailee\_Ltr\_to\_DOJ\_Jay\_Lerner\_1-29-10
  19.  FCIC\_Employment\_Ltr\_Thomas\_Stanton\_1-27-10
  20.  FCIC\_Employment\_Confirmation\_Ltr\_Bruce\_McWilliams
  21.  FCIC\_Employment\_Confirmation\_Ltr\_Bruce\_McWilliams
  22.  FCIC\_Fred Terrell contract 1-20-10
  23.  FCIC\_Fred Terrell contract 12-09
  24.  FCIC\_George Hodak contract 3-26-2010
  25.  FCIC\_Kathleen Adams contract 3-26-2010
  26.  FCIC\_Kim\_Shafer\_Contract\_2-15-10
  27.  FCIC\_Morton Rosenberg contract 3-25-2010
  28.  FCIC\_Offer\_Ltr\_Jonathan\_Armstrong
  29.  FCIC\_Offer\_Ltr\_Jonathan\_Armstrong
  30.  FCIC\_Offer\_Ltr\_Michael\_Easterly
  31.  FCIC\_Offer\_Ltr\_Michael\_Easterly
  32.  FCIC\_Offer\_Ltr\_Sarah\_Knaus
  33.  FCIC\_Offer\_Ltr\_Sarah\_Knaus
  34.  FCIC\_Rich Galen contract 1-11-2010
  35.  George Hodak\_Vendor Enrollment Form
  36.  SIGNED\_AMENDED\_FCIC\_Bruce McWilliams\_Contract\_2010-04-06
  37.  SIGNED\_AMENDED\_FCIC\_George Hodak\_Contract\_2010-04-06
  38.  SIGNED\_AMENDED\_FCIC\_Kathleen Adams\_Contract\_2010-04-07
  39.  SIGNED\_AMENDED\_FCIC\_Kim\_Shafer\_Contract\_2010-04-06
  40.  SIGNED\_AMENDED\_FCIC\_Morton Rosenberg\_Contract\_2010-04-07
  41.  SIGNED\_Contract\_Richard A Galen\_2010-03-25
  42.  SIGNED\_FCIC Contract\_Randall Dodd\_2010-05-20
  43.  SIGNED\_FCIC\_Contract\_Fred\_Terrell\_2-4-10
























































- 44.  SIGNED\_FCIC\_Contract\_George\_Hodak\_3-31-10
- 45.  SIGNED\_FCIC\_Contract\_Kathleen\_Adams\_3-31-10
- 46.  SIGNED\_FCIC\_Morton\_Rosenberg\_contract\_3-26-2010
- 47.  SIGNED\_MOA\_Brian\_Sylvester\_Detail
- 48.  Wilmarth Contract
- n.  FCIC Seal
  - 1.  FCIC Seal
  - 2.  FCIC Seal
  - 3.  FCIC Seal
- o.  FedEx
  - 1.  FCIC FedEx Account Info
- p.  LexisNexis
  - 1.  CONTRACT INFORMATION
  - 2.  LexisNexis\_CaseMap\_TimeMap\_Letter\_of\_Intent
  - 3.  LexisNexis\_Database\_Subscription\_Letter\_of\_Intent
- q.  Memo\_Fax\_Template
  - 1.  Fax Cover Sheet
    - a.  FAX Coversheet Informal
    - b.  FCIC FAX COVER SHEET
    - c.  Generic Fax Coversheet
  - 2.  Meeting Agenda
    - a.  AGENDA
  - 3.  Memo
    - a.  FCIC MEMORANDUM
  - 4.  Messages
    - a.  Messages
  - 5.  Action Cover Sheet
- r.  NetDocuments
  - 1.  NetDocuments\_Servicece\_Agreement\_SIGNED\_Signature\_Pg\_1-25-10
  - 2.  NetDocuments\_Service\_Agreement\_1-25-10
- s.  Personnel\_Tang
  - 1.  Annual and Sick Leave Requests
    - a.  Requested Annual Leave
      - 1.  Holiday Vacation Request\_12-17-09
    - b.  Requested Sick Leave
      - 1.  Sick Leave\_03-08-2010
      - 2.  Sick Leave\_03-08-2010
    - c.  COPY\_of\_Approval Sheet for Annual\_Sick Leave
  - 2.  Pay Compensation Forms\_2009
    - a.  Tang Copy of FCIC Employee Claim for Compensation Form 26
  - 3.  Pay Compensation Forms\_2010
    - a.  FCIC Employee Claim for Compensation FormPP2
    - b.  FCIC Employee Claim for Compensation FormPP3\_ST
    - c.  FCIC Employee Claim for Compensation FormPP5\_ST
  - 4.  Reimbursements
    - a.  Taxi\_Eastern Market to FCIC\_Coffee and Gum for Comm\_Reimbursements\_2010-05-10
    - b.  Taxi\_FCIC to W. Wilson Bldg\_Reimbursements\_2010-06-03
  - 5.  GSA 2010 Pay Period Calendar
  - 6.  Staff Confidentiality Agreement 12-23-09
- t.  Rackspace Distribution List
  - 1.  Rackspace Distribution List Login
  - 2.  Rackspace Distribution List Login
- u.  Reimbursement Form
  - 1.  sf1164--REIMBURSEMENT
- v.  Reject and Hold Notices to Job Candidates
  - 1.  Hold Letter
  - 2.  Reject Letter\_Other Positions

3.  Reject Letter\_Research Directors
- w.  Resumes
  1.  Bio\_Fred Terrell
  2.  Bio\_Joe Pichirallo
  3.  Bio\_Michael Pomerleano
  4.  Cover Ltr\_Donna Norman
  5.  Cover Ltr\_Kim Shafer
  6.  Cover Ltr\_Michael Flagg
  7.  Cover Ltr\_Ravi Ramchandani
  8.  CV\_Adam Paul
  9.  CV\_Neil Mehrotra
  10.  Info\_Ron Borzekowski
  11.  Presentation\_Michael Flagg\_Op-ed Tips\_2009-03-05
  12.  Presentation\_Michael Flagg\_Shifting Media Landscape in Washington\_2008-12-04
  13.  Refs frm Clara\_Fereshteh Vahdati-Kinney
  14.  Resume\_Alberto Crego
  15.  Resume\_Alexander Maasry
  16.  Resume\_Anthony Ingolia
  17.  Resume\_Asha Mathew
  18.  Resume\_Bhanu Frueh
  19.  Resume\_Brian Wolohan
  20.  Resume\_Brooke Kellerman
  21.  Resume\_Bruce McWilliams
  22.  Resume\_Carl McCarden
  23.  Resume\_Chris Seefer
  24.  Resume\_Clara Morain
  25.  Resume\_Claudia Hong
  26.  Resume\_Danny Michlewicz
  27.  Resume\_Darryl Getter
  28.  Resume\_David Gillers
  29.  Resume\_Debra Miller
  30.  Resume\_Donna Norman
  31.  Resume\_Elizabeth Rives
  32.  Resume\_Emma Berning
  33.  Resume\_Fereshteh Vahdati-Kinney
  34.  Resume\_Gary Cohen
  35.  Resume\_George Hodak
  36.  Resume\_George Wahl
  37.  Resume\_George Wahl\_w contact info
  38.  Resume\_Georgene Palacky
  39.  Resume\_Hilary Allen
  40.  Resume\_Holly Holland
  41.  Resume\_Ira Wagner
  42.  Resume\_Jay Lerner
  43.  Resume\_Jill Drew
  44.  Resume\_Jobe Danganan
  45.  Resume\_Joel Miller
  46.  Resume\_Jonathan Armstrong
  47.  Resume\_Karen Dubas
  48.  Resume\_Kathleen Adams
  49.  Resume\_Kathryn Judge
  50.  Resume\_Kenneth Fick
  51.  Resume\_Kim Shafer
  52.  Resume\_Leighton Dellinger
  53.  Resume\_Lorretto Scott
























































54.  Resume\_Martin Biegelman
  55.  Resume\_Martin Stanton
  56.  Resume\_Menjie Medina
  57.  Resume\_Michael Easterly
  58.  Resume\_Michael Flagg
  59.  Resume\_Morton Rosenberg\_Revised
  60.  Resume\_Nicholas Kissel
  61.  Resume\_Peter Leight
  62.  Resume\_Peter Rosario
  63.  Resume\_Ravi Ramchandani
  64.  Resume\_Ron Borzekowski
  65.  Resume\_Sam Davidson
  66.  Resume\_Sarah Knaus
  67.  Resume\_Sean Flynn
  68.  Resume\_Stephen Parker
  69.  Resume\_Suleman Khan
  70.  Resume\_Susan Lee
  71.  Resume\_Thomas Borgers
  72.  Resume\_Thomas Keegan
  73.  Resume\_Thomas Putnam
  74.  Resume\_Tom Borgers
  75.  Resume\_Tom Krebs
  76.  Resume\_Tom Stanton
  77.  Resume\_Troy Burrus
  78.  Resume\_Victor Cunicelli
  79.  Resume\_Xiaoqi Zhu
  80.  Writing Samples\_Adam Paul
- x.  TG Filing
    1.  LABELS TEMPLATE for TG Filing
    2.  TG FILING INDEX
  - y.  Tom's Signature
    1.  Tom Greene Electronic Signature
    2.  Tom Greene Electronic Signature
  - z.  Travel Voucher
    1.  sf1012 - TRAVEL VOUCHER
  - aa.  Verizon Conference Line
    1.  Verizon Conference Line
  - ab.  WE Filing
    1.  Inventory
    2.  LABELS TEMPLATE for WE Filing
    3.  LABELS TEMPLATE for WE Filing (2)
  - ac.  Westlaw
    1.  Westlaw\_Account\_Validation\_Certification\_Form
  - ad.  Accessing the W Drive
  - ae.  B Dzivi - FCIC Contract Payments
  - af.  Commissioners Compensation\_2010-06-23
  - ag.  Email - Change in Document Request Letters\_2010-06-14
  - ah.  Email - Sarah Z's Document Tracker\_2010-06-17
  - ai.  Employer SMIF Application Form\_Intern Alexander Maasry
  - aj.  ENTITIES Filing Index
  - ak.  ENTITIES Filing TABS
    - al.  FCIC Database Parameters
  - am.  Follow-up Items for Staff
  - an.  H2 Transcript Binder Cover
  - ao.  Intern (Summer) Email Addresses
  - ap.  Intern Timeline














































- aq.  Public Inquiry Memo\_2010-06-10
- 3.  Book
  - a.  Chapter 2 DRAFT
  - b.  fannie chapter
  - c.  FCIC\_Book\_Alternate Outline for Report 6 msc\_2010-04-27
  - d.  Outline for Chapter One2
  - e.  Outline for Report 03\_04
  - f.  Outline for Report 2
  - g.  Outline for Report 4 msc
  - h.  Production Schedule for FCIC Report
  - i.  Production Schedule for FCIC Report 3 - msc
- 4.  Commissioner Working Groups
  - a.  Comm. Definitions of Financial Crisis
    - 1.  Comm. Definitions of Financial Crisis
  - b.  Comm. Hypotheses
    - 1.  Commissioners Hypotheses With Author Disclosure -- -- Highly Confidential-Password Protected
    - 2.  Hypotheses\_on\_the\_Causes\_of\_the\_Financial\_Crisis-Commissioners
  - c.  Commissioner\_Working\_Groups\_with\_staff\_point\_person\_and\_staff\_team\_UPDATED
- 5.  Confi\_Ethics\_Employee Manual\_Record Mgmt (Staff)
  - a.  SIGNED Staff Confidentiality Agreements
    - 1.  Adam\_Paul\_Confidentiality\_Agreement
    - 2.  Albert\_Crego\_FCIC\_Confidentiality\_Agreement
    - 3.  Alex\_Maasry\_FCIC\_Confidentiality\_Agreement
    - 4.  Alexis Simendiger Confidentiality Agreement
    - 5.  Alice Falk Confidentiality Agreement
    - 6.  Andrew\_Robinson\_Confidentiality\_Agreement
    - 7.  Anthony\_Ingoglia\_FCIC\_Confidentiality\_Agreement
    - 8.  Antonio Vargas Confidentiality Agreement
    - 9.  Art\_Wilmarth\_Confidentiality\_Agreement
    - 10.  Bart\_Dzivi\_FCIC\_Confidentiality\_Agreement
    - 11.  Ben Jacobs Confidentiality Agreement
    - 12.  Beneva\_Schulte\_FCIC\_Confidentiality\_Agreement
    - 13.  Brad\_Bondi\_FCIC\_Confidentiality\_Agreement
    - 14.  Brian\_Sylvester\_FCIC\_Confidentiality\_Agreement
    - 15.  Brook\_Kellerman\_FCIC\_Confidentiality\_Agreement
    - 16.  Bruce\_McWilliams\_FCIC\_Confidentiality\_Agreement
    - 17.  Carl\_McCarden\_FCIC\_Confidentiality\_Agreement
    - 18.  Cassidy Waskowicz Confidentiality Agreement
    - 19.  Chris\_Seefer\_FCIC\_Confidentiality\_Agreement
    - 20.  Clara\_Morain\_FCIC\_Confidentiality\_Agreement
    - 21.  Courtney\_Mayo\_FCIC\_Confidentiality\_Agreement
    - 22.  Danny\_Michlewicz\_FCIC\_Confidentiality\_Agreement
    - 23.  Desmond\_Duncker\_FCIC\_Confidentiality\_Agreement
    - 24.  Dixie\_Noonan\_FCIC\_Confidentiality\_Agreement
    - 25.  Donna\_Norman\_FCIC\_Confidentiality\_Agreement
    - 26.  Elizabeth Del Real Confidentiality Agreement
    - 27.  Ernesto Ramirez Confidentiality Agreement
    - 28.  Fereshteh\_Vahdati\_FCIC\_Confidentiality\_Agreement
    - 29.  Fred\_Terrell\_FCIC\_Confidentiality\_Agreement
    - 30.  Gary\_Cohen\_FCIC\_Confidentiality\_Agreement
    - 31.  George Hodak Confidentiality Agreement
    - 32.  George\_Wahl\_FCIC\_Confidentiality\_Agreement
    - 33.  Girija Natarajan Confidentiality Agreement
    - 34.  Gordon Shemin Confidentiality Agreement
    - 35.  Greg\_Feldberg\_FCIC\_Confidentiality\_Agreement

36.  Gretchen\_Newsom\_FCIC\_Confidentiality\_Agreement
37.  Hilary\_Allen\_FCIC\_Confidentiality\_Agreement
38.  Hueston\_Middleton\_FCIC\_Confidentiality\_Agreement
39.  Jane Lewin Contract-Confidentiality-Ethics
40.  Jane\_Poulin\_FCIC\_Confidentiality\_Agreement
41.  Jay\_Lerner\_FCIC\_Confidentiality\_Agreement
42.  Jeffrey\_Smith\_FCIC\_Confidentiality\_Agreement
43.  Jobe\_Danganan\_FCIC\_Confidentiality\_Agreement
44.  Jonathan\_Armstrong\_FCIC\_Confidentiality\_Agreement
45.  Julie\_Marcacci\_Confidentiality\_Agreement
46.  Karen\_Dubas\_FCIC\_Confidentiality\_Agreement
47.  Kevin Hively Confidentiality Agreement
48.  Kirsten\_Ling\_Confidentiality\_Agreement
49.  Kirsten\_Ling\_Confidentiality\_Agreement
50.  Kirstin Downey Confidentiality Agreement
51.  Landon\_Stroebebel\_FCIC\_Confidentiality\_Agreement
52.  Leighton\_Dellinger\_FCIC\_Confidentiality\_Agreement
53.  Leighton\_Dellinger\_FCIC\_Confidentiality\_Agreement
54.  Lorretto\_Scott\_FCIC\_Confidentiality\_Agreement
55.  Martin\_Biegelman\_FCIC\_Confidentiality\_Agreement
56.  Mary Kane Confidentiality Agreement
57.  Maryann Haggerty Confidentiality Agreement
58.  Matthew\_Cooper\_FCIC\_Confidentiality\_Agreement
59.  Megan Fasules Confidentiality Agreement
60.  MelinOne confidentiality agreement FCIC
61.  Menjie\_Medina\_FCIC\_Confidentiality\_Agreement
62.  Michael Keegan Confidentiality Agreement
63.  Michael\_Easterly\_FCIC\_Confidentiality\_Agreement
64.  Michael\_Flagg\_FCIC\_Confidentiality\_Agreement
65.  Mike\_Bryan\_Confidentiality\_Agreement
66.  Mina\_Simhai\_FCIC\_Confidentiality\_Agreement
67.  Morton\_Rosenberg\_FCIC\_Confidentiality\_Agreement
68.  Peter Kavounas Confidentiality Agreement
69.  Randall\_Dodd\_FCIC\_Confidentiality\_Agreement
70.  Richard Cheng Confidentiality Agreement
71.  Richard Dekaser Confidentiality Agreement
72.  Richard Dekaser Confidentiality Agreement
73.  Richard\_Galen\_FCIC\_Confidentiality\_Agreement
74.  Rob\_Bachmann\_FCIC\_Confidentiality\_Agreement
75.  Robert\_Hinkley\_FCIC\_Confidentiality\_Agreement
76.  Ron\_Borzekowski\_FCIC\_Confidentiality\_Agreement
77.  Ryan\_Bubb\_FCIC\_Confidentiality\_Agreement
78.  Ryan\_Schulte\_FCIC\_Confidentiality\_Agreement
79.  Sam\_Davidson\_FCIC\_Confidentiality\_Agreement
80.  Sarah\_Knaus\_FCIC\_Confidentiality\_Agreement
81.  Sarah\_Zuckerman\_FCIC\_Confidentiality\_Agreement
82.  Scott\_Ganz\_FCIC\_Confidentiality\_Agreement
83.  Sean\_Flynn\_FCIC\_Confidentiality\_Agreement
84.  Shaista\_Ahmed\_FCIC\_Confidentiality\_Agreement
85.  Shirley\_Tang\_FCIC\_Confidentiality\_Agreement
86.  Stephanie\_Kaplan\_Confidentiality\_Agreement
87.  Steve\_Sanderford\_FCIC\_Confidentiality\_Agreement
88.  Suleman\_Khan\_FCIC\_Confidentiality\_Agreement
89.  Susan\_Baltake\_FCIC\_Confidentiality\_Agreement
90.  Susan\_Mandel\_Confidentiality\_Agreement


























































91.  Sylvia\_Boone\_FCIC\_Confidentiality\_Agreement
  92.  Thomas\_Keegan\_FCIC\_Confidentiality\_Agreement
  93.  Tom\_Borgers\_FCIC\_Confidentiality\_Agreement
  94.  Tom\_Greene\_FCIC\_Confidentiality\_Agreement
  95.  Tom\_Krebs\_FCIC\_Confidentiality\_Agreement
  96.  Tom\_Stanton\_FCIC\_Confidentiality\_Agreement
  97.  Troy\_Burrus\_FCIC\_Confidentiality\_Agreement
  98.  Tucker\_Warren\_FCIC\_Confidentiality\_Agreement
  99.  Victor\_Cunicelli\_FCIC\_Confidentiality\_Agreement
  100.  Wendy\_McNiel\_Confidentiality\_Agreement
  101.  Wendy\_Edelberg\_FCIC\_Confidentiality\_Agreement
  102.  Xiaoqi\_Zhu\_FCIC\_Confidentiality\_Agreement
- b.  SIGNED Staff Ethics Policy
1.  Adam\_Paul\_Ethics\_Policy
  2.  Adam\_Paul\_Ethics\_Policy
  3.  Albert\_Crego\_FCIC\_Ethics\_Policy
  4.  Alexander\_Maasry\_Ethics\_Policy
  5.  Alexis\_Simendiger\_Ethics\_Policy
  6.  Alice\_Falk\_Ethics\_Policy
  7.  Andrew\_Robinson\_Ethics\_Policy
  8.  Anthony\_Ingoglia\_FCIC\_Ethics\_Policy
  9.  Antonio\_Vargas\_Ethics\_Policy
  10.  Art\_Wilmarth\_Ethics\_Policy
  11.  Ben\_Jacobs\_Ethics\_Policy
  12.  Beneva\_Schulte\_FCIC\_Ethics\_Policy
  13.  Brad\_Bondi\_FCIC\_Ethics\_Policy
  14.  Brian\_Sylvester\_FCIC\_Ethics\_Policy
  15.  Brook\_Kellerman\_FCIC\_Ethics\_Policy
  16.  Bruce\_McWilliams\_FCIC\_Ethics\_Policy
  17.  Carl\_McCarden\_FCIC\_Ethics\_Policy
  18.  Cassidy\_Waskowicz\_Ethics\_Policy
  19.  Chris\_Seefer\_FCIC\_Ethics\_Policy
  20.  Clara\_Morain\_FCIC\_Ethics\_Policy
  21.  Courtney\_Mayo\_FCIC\_Ethics\_Policy
  22.  Danny\_Michlewicz\_FCIC\_Ethics\_Policy
  23.  Desmond\_Duncker\_FCIC\_Ethics\_Policy
  24.  Dixie\_Noonan\_FCIC\_Ethics\_Policy
  25.  Donna\_Norman\_FCIC\_Ethics\_Policy
  26.  Elizabeth\_Del\_Real\_Ethics\_Policy
  27.  Ernesto\_Ramirez\_Ethics\_Policy
  28.  Fereshteh\_Vahdati\_FCIC\_Ethics\_Policy
  29.  Fred\_Terrell\_FCIC\_Ethics\_Policy
  30.  Gary\_Cohen\_FCIC\_Ethics\_Policy
  31.  George\_Hodak\_Ethics\_Policy
  32.  George\_Wahl\_FCIC\_Ethics\_Policy
  33.  Girija\_Natarajan\_Ethics\_Policy
  34.  Gordon\_Shemin\_Ethics\_Policy
  35.  Greg\_Feldberg\_FCIC\_Ethics\_Policy
  36.  Gretchen\_Newsom\_FCIC\_Ethics\_Policy
  37.  Hilary\_Allen\_FCIC\_Ethics\_Policy
  38.  Jane\_Lewin\_Contract-Confidentiality-Ethics
  39.  Jane\_Poulin\_FCIC\_Ethics\_Policy
  40.  Jay\_Lerner\_FCIC\_Ethics\_Policy
  41.  Jeffrey\_Smith\_FCIC\_Ethics\_Policy
  42.  Jennifer\_Collins\_Ethics\_Policy












































43.  Jobe\_Danganan\_FCIC\_Ethics\_Policy
44.  Jonathan\_Armstrong\_FCIC\_Ethics\_Policy
45.  Julia\_Lanzara\_Ethics\_Policy
46.  Julie\_Marcacci\_Ethics\_Policy
47.  Karen\_Dubas\_FCIC\_Ethics\_Policy
48.  Kathleen\_Adams\_Ethics\_Policy
49.  Kevin\_Hively\_Ethics\_Policy
50.  Kirsten\_Ling\_Ethics\_Policy
51.  Kirsten\_Ling\_Ethics\_Policy
52.  Kirstin\_Downey\_Ethics\_Policy
53.  Landon\_Stroebebel\_FCIC\_Ethics\_Policy
54.  Leighton\_Dellinger\_FCIC\_Ethics\_Policy
55.  Leighton\_Dellinger\_FCIC\_Ethics\_Policy
56.  Lorretto\_Scott\_FCIC\_Ethics\_Policy
57.  Mary\_Kane\_Ethics\_Policy
58.  Maryann\_Haggerty\_Ethics\_Policy
59.  Matt\_Cooper\_FCIC\_Ethics\_Policy
60.  Megan\_Fasules\_Ethics\_Policy
61.  Menjie\_Medina\_FCIC\_Ethics\_Policy
62.  Michael\_Keegan\_Ethics\_Policy
63.  Michael\_Easterly\_FCIC\_Ethics\_Policy
64.  Michael\_Flagg\_FCIC\_Ethics\_Policy
65.  Mike\_Bryan\_Ethics\_Policy
66.  Mina\_Simhai\_FCIC\_Ethics\_Policy
67.  Peter\_Kavounas\_Ethics\_Policy
68.  Randall\_Dodd\_FCIC\_Ethics\_Policy
69.  Richard\_Cheng\_Ethics\_Policy
70.  Richard\_Dekaser\_Ethics\_Policy
71.  Richard\_Galen\_FCIC\_Ethics\_Policy
72.  Rishi\_Gupta\_Ethics\_Policy
73.  Rob\_Bachmann\_FCIC\_Ethics\_Policy
74.  Robert\_Hinkley\_FCIC\_Ethics\_Policy
75.  Ron\_Borzekowski\_FCIC\_Ethics\_Policy
76.  Ryan\_Bubb\_FCIC\_Ethics\_Policy
77.  Ryan\_Schulte\_FCIC\_Ethics\_Policy
78.  Sam\_Davidson\_FCIC\_Ethics\_Policy
79.  Sarah\_Knaus\_FCIC\_Ethics\_Policy
80.  Sarah\_Zuckerman\_FCIC\_Ethics\_Policy
81.  Scott\_Gantz\_FCIC\_Ethics\_Policy
82.  Sean\_Flynn\_FCIC\_Ethics\_Policy
83.  Shaista\_Ahmed\_FCIC\_Ethics\_Policy
84.  Shirley\_Tang\_FCIC\_Ethics\_Policy
85.  Stephanie\_Kaplan\_Ethics\_Policy
86.  Steve\_Sanderford\_Ethics\_Policy
87.  Suleman\_Khan\_FCIC\_Ethics\_Policy
88.  Susan\_Baltake\_FCIC\_Ethics\_Policy
89.  Susan\_Mandel\_Ethics\_Policy
90.  Sylvia\_Boone\_FCIC\_Ethics\_Policy
91.  Thomas\_Keegan\_FCIC\_Ethics\_Policy
92.  Tom\_Borgers\_FCIC\_Ethics\_Policy
93.  Tom\_Greene\_FCIC\_Ethics\_Policy
94.  Tom\_Krebs\_FCIC\_Ethics\_Policy
95.  Tom\_Stanton\_FCIC\_Ethics\_Policy
96.  Troy\_Burrus\_FCIC\_Ethics\_Policy
97.  Tucker\_Warren\_FCIC\_Ethics\_Policy



- 98.  Victor\_Cunicelli\_FCIC\_Ethics\_Policy
- 99.  Wendy\_McNiel\_Ethics\_Policy
- 100.  Wendy\_Edelberg\_FCIC\_Ethics\_Policy
- 101.  Xiaoqi\_Zhu\_FCIC\_Ethics\_Policy
- c.  FCIC\_Staff\_Confidentiality\_and\_Ethics\_Policy\_Agreement\_Tracker
- d.  Records\_Management\_Policy\_2-17-2010
- e.  Staff\_Confidentiality\_Agreement\_12-23-09
- f.  Staff\_Ethics\_Guidelines\_1-20-10
- 6.  Confidentiality\_of\_NP\_Info\_Agreements\_(Agencies)
  - a.  CFTC
    - 1.  Confidentiality\_of\_Non-Public\_Info\_Dan\_Berkovitz\_CFTC\_1-26-10
    - 2.  Confidentiality\_of\_Non-Public\_Info\_Dan\_Berkovitz\_CFTC\_1-26-10
    - 3.  SIGNED\_Confidentiality\_of\_Non-Public\_Info\_Dan\_Berkovitz\_CFTC
  - b.  DOJ
    - 1.  Confidentiality\_of\_Non-Public\_Info\_Ronald\_Weich\_DOJ\_1-29-10
    - 2.  Confidentiality\_of\_Non-Public\_Info\_Ronald\_Weich\_DOJ\_1-29-10
    - 3.  SIGNED\_Confidentiality\_of\_Non-Public\_Info\_Ronald\_Weich\_DOJ
  - c.  DOL
    - 1.  Confidentiality\_of\_Non-Public\_Info\_DOL\_Deborah\_Greenfield
    - 2.  Confidentiality\_of\_Non-Public\_Info\_DOL\_Deborah\_Greenfield
    - 3.  SIGNED\_Confidentiality\_of\_Non-Public\_Info\_DOL\_Deborah\_Greenfield
  - d.  FDIC
    - 1.  Confidentiality\_of\_Non-Public\_Info\_Michael\_Bradfield\_FDIC
    - 2.  Letter\_Confidentiality\_of\_Non-Public\_Info\_Michael\_Bradfield\_FDIC
    - 3.  SIGNED\_Confidentiality\_of\_Non-Public\_Info\_Michael\_Bradfield\_FDIC
  - e.  Federal\_Reserve
    - 1.  SIGNED\_Confidentiality\_of\_Non-Public\_Info\_Ben\_Bernanke\_Federal\_Reserve
  - f.  FHFA
    - 1.  Confidentiality\_of\_Non-Public\_Info\_Alfred\_Pollard\_FHFA\_1-21-10
    - 2.  Confidentiality\_of\_Non-Public\_Info\_FHFA\_Alfred\_Pollard
    - 3.  Confidentiality\_of\_Non-Public\_Info\_FHFA\_Alfred\_Pollard
    - 4.  Confidentiality\_of\_Non-Public\_Info\_FHFA\_Alfred\_Pollard\_1-21-10
    - 5.  SIGNED\_Confidentiality\_of\_Non-Public\_Info\_Alfred\_Pollard\_FHFA
  - g.  FTC
    - 1.  Ltr to GCohen frm FTC re\_Citigroup and Countrywide Investigations
  - h.  OCC
    - 1.  Confidentiality\_of\_Non-Public\_Info\_Julie\_Williams\_Comptroller\_of\_the\_Currency
    - 2.  SIGNED\_Confidentiality\_of\_Non-Public\_Info\_Julie\_Williams\_OCC
  - i.  OTS
    - 1.  Confidentiality\_of\_Non-Public\_Info\_Deborah\_Dakin\_OTS
    - 2.  Confidentiality\_of\_Non-Public\_Info\_Deborah\_Dakin\_OTS
    - 3.  Confidentiality\_of\_Non-Public\_Info\_Deborah\_Dakin\_OTS\_1-26-10
    - 4.  Confidentiality\_of\_Non-Public\_Info\_Deborah\_Dakin\_OTS\_1-26-10
    - 5.  SIGNED\_Confidentiality\_of\_Non-Public\_Info\_Deborah\_Dakin\_Office\_of\_Thrift\_Supervision
    - 6.  SIGNED\_Confidentiality\_of\_Non-Public\_Info\_Deborah\_Dakin\_Office\_of\_Thrift\_Supervision\_1-28-10
  - j.  SEC
    - 1.  Confidentiality\_of\_Non-Public\_Info\_Agreement\_Mark\_Cahn\_SEC
    - 2.  Confidentiality\_of\_Non-Public\_Info\_Mark\_Cahn\_SEC\_1-21-10
    - 3.  Letter\_Confidentiality\_of\_Non-Public\_Info\_Mark\_Cahn\_SEC
    - 4.  SIGNED\_Confidentiality\_of\_Non-Public\_Info\_Mark\_Cahn\_SEC\_1-21-10
  - k.  Treasury
    - 1.  Confidentiality\_of\_Non-Public\_Info\_George\_Madison\_Treasury\_2-4-10
    - 2.  Confidentiality\_of\_Non-Public\_Info\_George\_Madison\_Treasury\_2-4-10
    - 3.  Confidentiality\_of\_Non-Public\_Info\_George\_Madison\_Treasury\_2-5-10
    - 4.  Confidentiality\_of\_Non-Public\_Info\_George\_Madison\_Treasury\_2-5-10
    - 5.  FCIC\_Madison\_letter\_1-7-10








































6. Letter\_George\_Madison
7. Letter\_George\_Madison\_1.7.10.doc
8. SIGNED\_Confidentiality\_of\_Non-Public\_Info\_George\_Madison\_Treasury\_2-5-10
- i. Confidentiality of Non-Public Info Agreements
7. Correspondence
  - a. 2010-01-06 Citi Doc Request 1 (B Bondi to M Helfer) (copy)
  - b. 2010-01-20 Ltr to TGreene re Blankfein Testimony
  - c. 2010-02-05 B Bondi Ltr to B Karp re Citi Treatment of Non-Public Info
  - d. 2010-02-05 B Bondi Ltr to B Karp re Citi Treatment of Non-Public Info
  - e. 2010-02-18\_Gibson Dunn (M Bopp) Ltr to T Greene re Correction to Hearing Record (General Electric Co
  - f. 2010-02-18\_SEC (S Forstein) Ltr to T Greene re Doc Request (Citi\_Fannie\_Freddie)
  - g. 2010-02-19\_Goldman Sachs (R Klapper) Ltr to T Greene re Doc Preservation
  - h. 2010-02-22\_S McCleskey Ltr to T Greene re Credit Rating Agencies
  - i. 2010-02-23\_T Greene Ltr to K Bass re Ltr on Behalf of GE
  - j. 2010-02-23\_T Greene Ltr to K Bass re Ltr on Behalf of GE
  - k. 2010-02-24\_G Cohen Ltr to FSA re Citigroup
  - l. 2010-02-24\_G Cohen Ltr to FSA re Citigroup
  - m. 2010-05-10 Sloan Ltr (Daniel Goroff)
  - n. 2010-05-10 Sloan Ltr (Daniel Goroff)
  - o. 2010-05-12 Ltr to Warren Buffett
  - p. 2010-05-12 Ltr to Warren Buffett
  - q. 2010-06-01\_Ltr to D Mudd Re Follow-Up Questions to 4-9-10 Hearing
  - r. 2010-06-01\_Ltr to R Levin Re Follow-Up Questions to 4-9-10 Hearing
  - s. 2010-06-03\_Ltr to D Mudd Re Follow-Up Questions to 4-9-10 Hearing
  - t. 2010-06-03\_Ltr to R Levin Re Follow-Up Questions to 4-9-10 Hearing
  - u. 2010-06-22 B Bondi Ltr to G Madison (Treasury) re Request for Electronic Mail
8. Document Demand Letters
  - a. FNMA\_1-22-10
    1. Attachment to FCIC Doc Production FNMA\_1-22-10
    2. FCIC\_Document\_Production\_FNMA\_1-22-10
  - b. FCIC\_Document\_Demand\_Letter\_-\_Governmental\_EntitiesV2\_1-24-10
  - c. FCIC\_Document\_Demand\_Letter\_-\_Non\_Governmental\_Entities\_1-24-10
9. Document Preservation Letters (Companies)
  - a. Drafts
    1. Doc Preservation Ltrs Tracker
    2. Preservation\_Notice\_Barclays\_Mark\_Harding\_1-22-10
    3. Preservation\_Notice\_BofA\_Ed\_O'Keefe\_1-22-10
    4. Preservation\_Notice\_BofA\_Ed\_O'Keefe\_1-22-10\_SEC\_Filing\_Address
    5. Preservation\_Notice\_Citigroup\_Michael\_Helfer\_1-22-10
    6. Preservation\_Notice\_Fitch\_Ratings\_Charles\_Brown\_1-22-10
    7. Preservation\_Notice\_Goldman\_Sachs\_Gregory\_Palm\_1-22-10
    8. Preservation\_Notice\_JPMorgan\_Chase\_Stephen\_Cutler\_1-22-10
    9. Preservation\_Notice\_JPMorgan\_Chase\_Stephen\_Cutler\_1-22-10\_SEC\_Filing\_Address
    10. Preservation\_Notice\_Moodys\_John\_Goggins\_1-22-10
    11. Preservation\_Notice\_Morgan\_Stanley\_Gary\_Lynch\_1-22-10
    12. Preservation\_Notice\_S&P\_Rita\_Bolger\_1-22-10
    13. Preservation\_Notice\_Wells\_Fargo\_James\_Strother\_1-22-10
    14. Preservation\_Notice\_Wells\_Fargo\_James\_Strother\_1-22-10\_SEC\_Filing\_Address
  - b. FINAL
    1. Doc Preservation Ltrs Tracker\_1-22-10
    2. Doc\_Retention\_Notice\_AIG\_KShannon
    3. Doc\_Retention\_Notice\_Barclays\_MHarding
    4. Doc\_Retention\_Notice\_BofA\_EO'Keefe
    5. Doc\_Retention\_Notice\_Citigroup\_MHelfer
    6. Doc\_Retention\_Notice\_FitchRatings\_CBrown

7.  Doc\_Retention\_Notice\_GoldmanSachs\_GPalm
  8.  Doc\_Retention\_Notice\_JPMorganChase\_SCutler
  9.  Doc\_Retention\_Notice\_Moodys\_JGoggins
  10.  Doc\_Retention\_Notice\_MorganStanley\_GLynch
  11.  Doc\_Retention\_Notice\_OneWestBank\_JVogel
  12.  Doc\_Retention\_Notice\_S&P\_ASchuman
  13.  Doc\_Retention\_Notice\_WellsFargo\_JStrother
  14.  FINAL\_Preservation\_Notice\_AIG\_Kathleen\_Shannon\_1-22-10
  15.  FINAL\_Preservation\_Notice\_Barcays\_Mark\_Harding\_1-22-10
  16.  FINAL\_Preservation\_Notice\_BofA\_Ed\_O'Keefe\_1-22-10
  17.  FINAL\_Preservation\_Notice\_Citigroup\_Michael\_Helfer\_1-22-10
  18.  FINAL\_Preservation\_Notice\_Fitch\_Ratings\_Charles\_Brown\_1-22-10
  19.  FINAL\_Preservation\_Notice\_Goldman\_Sachs\_Gregory\_Palm\_1-22-10
  20.  FINAL\_Preservation\_Notice\_JPMorgan\_Chase\_Stephen\_Cutler\_1-22-10
  21.  FINAL\_Preservation\_Notice\_Moodys\_John\_Goggins\_1-22-10
  22.  FINAL\_Preservation\_Notice\_Morgan\_Stanley\_Gary\_Lynch\_1-22-10
  23.  FINAL\_Preservation\_Notice\_OneWest\_Bank\_Jules\_Vogel\_1-22-10
  24.  FINAL\_Preservation\_Notice\_S&P\_Adam\_Schuman\_1-22-10
  25.  FINAL\_Preservation\_Notice\_Wells\_Fargo\_James\_Strother\_1-22-10
10.  Document Production Letters
    - a.  FNMA\_1-22-10
      1.  Attachment to FCIC Doc Production FNMA\_1-22-10
      2.  FCIC\_Document\_Production\_FNMA\_1-22-10
    - b.  Reserve Management Corp
      1.  FCIC\_Document\_Production\_Reserve\_Management\_Corp\_2-5-10
      2.  FCIC\_Document\_Production\_Reserve\_Management\_Corp\_2-5-10
    - c.  FCIC\_Document\_Demand\_Letter\_-\_Governmental\_EntitiesV2\_1-24-10
    - d.  FCIC\_Document\_Demand\_Letter\_-\_Non\_Governmental\_Entities\_1-24-10
  11.  FCIC Staff Meetings
    - a.  Big Picture Meeting 2010-02-17
      1.  2010-02-17 Agenda\_Big\_Picture\_Meeting
      2.  2010-02-17 DRAFT\_Minutes\_of\_Big\_Picture\_Meeting
    - b.  Full Staff Meetings
      1.  2010-01-15
        - a.  2010-01-15 Agenda\_Full\_Staff\_Meeting
        - b.  2010-01-15 DRAFT\_MINUTES\_Full\_Staff\_Meeting
        - c.  2010-01-15 EDITS\_to\_MINUTES\_Full\_Staff\_Meeting
        - d.  2010-01-15 FINAL\_MINUTES\_Full\_Staff\_Meeting
      2.  2010-01-26
        - a.  2010-01-26 Agenda\_Full\_Staff\_Meeting
    - c.  H1 Lesson Learned Mtg 2010-01-19
      1.  2010-01-19 DRAFT\_of\_Minutes\_H1\_Lessons\_Learned\_Meeting
      2.  2010-01-19 FINAL\_of\_Minutes\_H1\_Lessons\_Learned\_Meeting
      3.  2010-01-19 FINAL\_of\_Minutes\_H1\_Lessons\_Learned\_Meeting
    - d.  iTeam Leaders Meetings
      1.  2010-02-12 Agenda\_iTeam\_Leaders\_Meeting
      2.  2010-02-25 Agenda\_iTeam\_Leaders\_Meeting
      3.  2010-03-04 Agenda\_iTeam\_Leaders\_Meeting
      4.  2010-03-12 Agenda\_iTeam\_Leaders\_Meeting
      5.  2010-03-18 Agenda\_iTeam\_Leaders\_Meeting
      6.  2010-03-25 Agenda\_iTeam\_Leaders\_Meeting
      7.  2010-04-15 Agenda\_iTeam\_Leaders\_Meeting
      8.  2010-04-22 Agenda\_iTeam\_Leaders\_Meeting
    - e.  Senior Staff Meetings
      1.  2010-01-20
        - a.  2010-01-20 Agenda\_Senior\_Staff\_Meeting
        - b.  2010-01-20 DRAFT\_MINUTES\_Senior\_Staff\_Meeting












































- 2. 2010-01-27
  - a. 2010-01-27 Agenda\_Senior\_Staff\_Meeting
- f. Symposium Take Aways & Hypotheses 2010-03-01
  - 1. 2010-03-01 DRAFT\_of\_Minutes\_Take\_Aways\_and\_Hypotheses\_Meeting
- 12. FCIC Workplan
  - a. FCIC\_omnibus\_2-16-10
  - b. FCIC\_Work Plan\_Omni 12-13-09
  - c. macroeconomic research plan
  - d. Omnibus Work Plan\_1-28-10
  - e. omnibus\_draft\_2-16-10
  - f. Work Plan Revision\_1-20-10
- 13. Full Commission Business Meetings
  - a. 06-15-2010 Process\_for\_Review\_and\_Approval\_of\_the\_Report\_by\_the\_Commission
  - b. 12-14-09 Agenda for Business Meeting of Financial Crisis Inquiry Commission
  - c. 3-16-10\_Agenda\_for\_Business\_Meeting\_of\_Financial\_Crisis\_Inquiry\_Commission
  - d. 5-18-2010\_Agenda\_for\_Business\_Meeting\_of\_Financial\_Crisis\_Inquiry\_Commission1
  - e. 6-15-2010 Report Outline
  - f. 6-15-2010\_Agenda\_for\_Business\_Meeting\_of\_Financial\_Crisis\_Inquiry\_Commission
  - g. Attachment to 3-16-10 Meeting\_Mar\_Leg\_Update sg tg
  - h. Attachment to 3-16-10 Meeting\_Records Management Policy 2-17-2010 revised
  - i. Leg Report 5 17 10
- 14. H1
  - a. Briefing Binders H1
    - 1. Fed Govt Briefing Books
      - a. Eric Holder (DOJ)
        - 1. Bio
          - a. Bio\_Eric Holder
          - b. E\_Holder\_Photo
        - 2. PR
          - a. PR\_Creation of Financial Fraud Enforcement Task Force\_11-17-09
          - b. PR\_Foreclosure Rescue Scams Loan Modification Fraud\_4-6-09
        - 3. Speech
          - a. Speech\_Eric\_Holder\_Financial\_Fraud\_Enforcement\_TF\_PC\_11-17-09
          - b. Speech\_Foreclosure Rescue Scams and Loan Modification Fraud PC\_4-6-09
        - 4. Testimony
          - a. Testimony\_E\_Holder\_Senate\_Judiciary\_11-18-09
          - b. Testimony\_Holder\_House\_Judiciary\_5-14-09
        - 5. Eric Holder Briefing Binder
        - 6. Eric Holder Briefing Binder
      - b. Mary Schapiro (SEC)
        - 1. Bio
          - a. Bio\_M\_Schapiro
        - 2. Cox
          - a. Op-Ed\_Cox\_Reinventing a Markets Watchdog\_11-4-08
          - b. Op-Ed\_Cox\_We Need a Bailout Exit Strategy\_12-11-08
          - c. PR\_Cox\_Blueprint for Financial Regulatory Reform\_3-29-08
          - d. PR\_Meeting With Major Financial Institutions to Discuss Recent Market Conditions\_9-12-08
          - e. PR\_SEC Alerts Compliance Officers About Deficiencies and Weaknesses Found During Recent Compliance E
          - f. PR\_SEC Announces August 4 Roundtable on Performance of IFRS and U.S. GAAP During Subprime Crisis\_7-2
          - g. PR\_SEC Announces Panelists, Agenda for August 4 Roundtable on Performance of IFRS, U.S. GAAP During
          - h. PR\_SEC Examinations Find Shortcomings in Credit Rating Agencies' Practices and Disclosure to Investo
          - i. PR\_SEC Expands Sweeping Investigation of Market Manipulation\_9-19-08

























































- j.  PR\_SEC Warns Public Pension Funds About Inadequate Compliance Procedures\_3-6-08
- k.  PR\_Statement From SEC Chairman Christopher Cox\_9-18-08'
- l.  Speech\_Cox\_Address to 2008 CCO Outreach National Seminar\_11-13-08
- m.  Speech\_Cox\_Building on Strengths in Designing the New Regulatory Structure\_11-12-08
- n.  Speech\_Lessons from the Financial Crisis for Financial Reporting\_Standard Setting and Rule Making\_11
- o.  Statement\_Chairman Cox\_Proposal to Increase Protection by Reducing Reliance on Credit Ratings\_6-25-0
- p.  Statement\_Proposal to Increase Protection by Reducing Reliance on Credit Ratings\_6-25-09
- 3.  Media Coverage
  - a.  Media\_Financial Times\_SEC strengthens pay disclosure rules\_12-16-09
  - b.  Media\_Investment Executive\_12-13-09
  - c.  Media\_WP\_SEC tightens rules on investment advisers\_12-17-09
- 4.  PR
  - a.  PR\_SEC Approves Enhanced Disclosure About Risk Compensation and Corporate Governance\_12-16-09
  - b.  PR\_SEC Charges Hedge Fund Adviser and Principals in \$60 Million Investment Fraud\_3-4-08
  - c.  PR\_SEC Proposes Comprehensive Reforms to Bring Increased Transparency to Credit Rating Process\_6-11-
- 5.  Speeches
  - a.  Speech\_Address Before the Solutions Forum on Fraud\_10-22-09
  - b.  Speech\_Applying the Lessons\_11-5-09
  - c.  Speech\_Building a Stable and Efficient Financial System\_5-8-09
  - d.  Speech\_Improving the Role of the Securities Regulators in a Changing Global Financial System\_6-11-09
  - e.  Speech\_Road to Investor Confidence\_10-27-09
  - f.  Speech\_U of Rochester\_Future of Financial Regulation\_10-10-09
- 6.  Testimony
  - a.  Testimony\_House Appropriations\_Subcommittee on Financial Services & General Government\_3-11-09
  - b.  Testimony\_House\_Agriculture Committee\_re Over-the-Counter Derivatives Markets Act of 2009\_9-22-09
  - c.  Testimony\_House\_Financial Services Subcommittee\_Current State and Agenda\_7-14-09
  - d.  Testimony\_Senate Appropriations\_Subcommittee on Financial Services and General Govt\_6-2-09
  - e.  Testimony\_Senate\_Banking Housing and Urban Affairs\_re Enhancing Investor Protection & Regulation of
  - f.  Testimony\_Senate\_Banking\_Housing\_and Urban Affairs\_re\_Regulation of Systemic Risk\_7-23-09
- 7.  Mary Schapiro Briefing Binder
- 8.  Mary Schapiro Briefing Binder
- 9.  Post-Madoff Reforms
- c.  Sheila Bair (FDIC)
  - 1.  Bio
    - a.  Bio\_Shiela Bair
  - 2.  Media Coverage
    - a.  Media\_CBS 60 Minutes Special\_A Rare Look at How the FDIC Takes Over Banks and Reassures Depositors\_5
    - b.  Op-Ed\_Bair- Back to Housing Basics\_A Stable Housing Market is Vital to Our Economic Recovery\_5-19-09
    - c.  Op-Ed\_How the State can Stabilise Housing Market\_4-29-08
    - d.  Op-Ed\_The Case Against a Super-Regulator\_9-1-09
  - 3.  PR

























































- a.  PR\_FDIC Chairman Bair Comments on Enactment of Financial Rescue Package\_10-3-08
  - b.  PR\_FDIC Launches Foreclosure Prevention Initiative\_9-16-09
  - c.  PR\_FDIC-Insured Institutions Earned \$2.8 Billion in the Third Quarter of 2009\_Loan Balances Decline
  - d.  PR\_FDIC-Insured Institutions Lost \$3.7 Billion in the Second Quarter of 2009\_8-27-09
  - e.  PR\_FDIC's New Advisory Committee on Community Banking Discusses Impact of Financial Crisis on Commun
  - f.  PR\_Insured Banks and Thrifts Lost \$26.2 Billion in the Fourth Quarter\_2-26-09]
4.  Speeches
- a.  Speech\_45th Annual Bank Structure and Competition Conference, Chicago Federal Reserve Bank\_5-7-09
  - b.  Speech\_American Bankers Association Government Relations Summit\_4-1-09
  - c.  Speech\_American Banker's Banker of the Year Awards Keynote Speech\_12-4-08
  - d.  Speech\_Bear Stearns Mortgage and Structured Product Conference\_1-17-08
  - e.  Speech\_Brookings Institution Forum, The Great Credit Squeeze- How it Happened, How to Prevent Anothe
  - f.  Speech\_Consumer Federation of America\_12-4-09
  - g.  Speech\_Economic Club of New York\_4-27-09
  - h.  Speech\_Exchequer Club of Washington D.C.\_6-18-08
  - i.  Speech\_FDIC Joint Press Conference\_10-14-08
  - j.  Speech\_Florida Bankers Association\_9-4-08
  - k.  Speech\_Future of Global Finance Conference, Georgetown University's McDonough School of Business\_9-1
  - l.  Speech\_Global Association of Risk Professionals\_2-25-08
  - m.  Speech\_Independent Community Bankers of America, 2009 National Convention and Techworld\_3-20-09
  - n.  Speech\_Institute of International Bankers Annual Washington Conference\_3-2-09
  - o.  Speech\_Institute of International Bankers Conference\_11-10-09
  - p.  Speech\_International Institute of Finance\_10-4-09
  - q.  Speech\_Johns Hopkins University Carey Business School Leaders & Legends Lecture Series\_11-20-08
  - r.  Speech\_Kansas State University Alfred M. Landon Lecture Series\_11-2-09
  - s.  Speech\_National Association of Attorneys General\_3-3-09I
  - t.  Speech\_National Association of Realtors Midyear Legislative Meeting and Trade Expo\_5-12-09
  - u.  Speech\_Operation Hope Global Financial Literacy Summit\_6-17-09
  - v.  Speech\_Quarterly Banking Profile Press Conference\_11-25-08
  - w.  Speech\_The Future of Mortgage Finance at the 2008 Annual Meeting National Association for Business E
  - x.  Speech\_the Independent Community Bankers Association\_3-5-08
  - y.  Speech\_the Joint Venture Silicon Valley Network State of the Valley Conference\_2-22-08
  - z.  Speech\_The New America Foundation conference- Did Low-income Homeownership Go Too Far\_12-17-08
  - aa.  Speech\_U.S. Treasury Mortgage Finance Press Conference\_7-28-08
5.  Testimonies
- a.  Testimony\_A Review of Foreclosure Mitigation Efforts before the Financial Services Committee U.S. Ho
  - b.  Testimony\_Modernizing Bank Supervision And Regulation before the Senate Committee on Banking, Housin
  - c.  Testimony\_The State of the Banking Industry before the Committee on Banking, Housing and Urban Affai


























































- d.  Testimony\_The State of The Banking Industry- PART II before the Committee on Banking, Housing and Ur
  - 6.  Editorial\_Mortgage Meltdown\_10-24-07
  - 7.  Op-Ed\_Fix Rates to Save Loans\_10-19-07
  - 8.  Sheila Bair Briefing Binder
  - 9.  Sheila Bair Briefing Binder
2.  Sheila Bair
- a.  Bio
  - b.  Bio\_Shiela Bair
  - c.  Editorial\_Mortgage Meltdown\_10-24-07
  - d.  Media\_CBS 60 Minutes Special\_A Rare Look at How the FDIC Takes Over Banks and Reassures Depositors\_5
  - e.  Media\_FDIC chairwoman is right on the money; Sheila Bair recognized the sub-prime crisis early and h
  - f.  Op-Ed\_Bair- Back to Housing Basics\_A Stable Housing Market is Vital to Our Economic Recovery\_5-19-09
  - g.  Op-Ed\_Fix Rates to Save Loans\_10-19-07
  - h.  Op-Ed\_How the State can Stabilise Housing Market\_4-29-08
  - i.  Op-Ed\_The Case Against a Super-Regulator\_9-1-09
  - j.  PR\_FDIC Chairman Bair Comments on Enactment of Financial Rescue Package\_10-3-08
  - k.  PR\_FDIC Launches Foreclosure Prevention Initiative\_9-16-09
  - l.  PR\_FDIC-Insured Institutions Earned \$2.8 Billion in the Third Quarter of 2009\_Loan Balances Decline
  - m.  PR\_FDIC-Insured Institutions Lost \$3.7 Billion in the Second Quarter of 2009\_8-27-09
  - n.  PR\_FDIC's New Advisory Committee on Community Banking Discusses Impact of Financial Crisis on Commun
  - o.  PR\_Insured Banks and Thrifts Earned \$19.3 Billion in the First Quarter\_Troubled Real Estate Loans Tr
  - p.  PR\_Insured Banks and Thrifts Lost \$26.2 Billion in the Fourth Quarter\_2-26-09]
  - q.  Speech\_45th Annual Bank Structure and Competition Conference, Chicago Federal Reserve Bank\_5-7-09
  - r.  Speech\_American Bankers Association Government Relations Summit\_4-1-09
  - s.  Speech\_American Banker's Banker of the Year Awards Keynote Speech\_12-4-08
  - t.  Speech\_Bear Stearns Mortgage and Structured Product Conference\_1-17-08
  - u.  Speech\_Brookings Institution Forum, The Great Credit Squeeze- How it Happened, How to Prevent Anothe
  - v.  Speech\_Consumer Federation of America\_12-4-09
  - w.  Speech\_Economic Club of New York\_4-27-09
  - x.  Speech\_Exchequer Club of Washington D.C.\_6-18-08
  - y.  Speech\_FDIC Joint Press Conference\_10-14-08
  - z.  Speech\_Florida Bankers Association\_9-4-08
  - aa.  Speech\_Future of Global Finance Conference, Georgetown University's McDonough School of Business\_9-1
  - ab.  Speech\_Global Association of Risk Professionals\_2-25-08
  - ac.  Speech\_Independent Community Bankers of America, 2009 National Convention and Techworld\_3-20-09
  - ad.  Speech\_IndyMac Loan Modification Announcement\_8-20-08
  - ae.  Speech\_Institute of International Bankers Annual Washington Conference\_3-2-09
  - af.  Speech\_Institute of International Bankers Conference\_11-10-09
  - ag.  Speech\_International Institute of Finance\_10-4-09
  - ah.  Speech\_Johns Hopkins University Carey Business School Leaders & Legends Lecture Series\_11-20-08
  - ai.  Speech\_Kansas State University Alfred M. Landon Lecture Series\_11-2-09
  - aj.  Speech\_National Association of Attorneys General\_3-3-09I
  - ak.  Speech\_National Association of Realtors Midyear Legislative Meeting and Trade Expo\_5-12-09
  - al.  Speech\_Operation Hope Global Financial Literacy Summit\_6-17-09



























































- am.  Speech\_Quarterly Banking Profile Press Conference\_11-25-08
- an.  Speech\_The Future of Mortgage Finance at the 2008 Annual Meeting National Association for Business E
- ao.  Speech\_the Independent Community Bankers Association\_3-5-08
- ap.  Speech\_the Joint Venture Silicon Valley Network State of the Valley Conference\_2-22-08
- aq.  Speech\_The New America Foundation conference- Did Low-income Homeownership Go Too Far\_12-17-08
- ar.  Speech\_U.S. Treasury Mortgage Finance Press Conference\_7-28-08
- as.  Testimony\_A Review of Foreclosure Mitigation Efforts before the Financial Services Committee U.S. Ho
- at.  Testimony\_FDIC on Bank of America Acquisition of Merrill Lynch before the Committee on Oversight and
- au.  Testimony\_FDIC on Establishing a Framework for Systemic Risk Regulation before the Senate Committee
- av.  Testimony\_FDIC on Regulating and Resolving Institutions Considered Too Big To Fail; before the Senat
- aw.  Testimony\_FDIC on Regulatory Perspectives on Financial Regulatory Reform Proposals before the House
- ax.  Testimony\_FDIC on Strengthening and Streamlining Prudential Bank Supervision before the Senate Commi
- ay.  Testimony\_FDIC on Systemic Regulation, Prudential Measures, Resolution Authority and Securitization
- az.  Testimony\_FHA for Housing Stabilization and Homeownership Retention; before the Committee on Financi
- ba.  Testimony\_Modernizing Bank Supervision And Regulation before the Senate Committee on Banking, Housin
- bb.  Testimony\_Strengthening the Economy\_ Foreclosure Prevention and Neighborhood Preservation\_Committee
- bc.  Testimony\_The State of the Banking Industry before the Committee on Banking, Housing and Urban Affai
- bd.  Testimony\_The State of The Banking Industry- PART II before the Committee on Banking, Housing and Ur
- be.  Testimony\_Turmoil in the U.S. Credit Markets - Examining Recent Regulatory Responses to the Senate C
- 3.  Eric Holder Briefing Binder
- 4.  Eric Holder Briefing Binder
- 5.  Mary Schapiro Briefing Binder
- 6.  Mary Schapiro Briefing Binder
- 7.  Sheila Bair Briefing Binder
- 8.  Sheila Bair Briefing Binder
- b.  CEO Invitation Letters
  - 1.  Blankfein - Invitation Ltr
  - 2.  Dimon - Invitation Ltr
  - 3.  Lewis - Invitation Ltr
  - 4.  Mack - Invitation Ltr
- c.  H1 Briefing Meeting
  - 1.  H1 Briefing Mtg Agenda\_1-12-10
- d.  H1 CEO Quotes
  - 1.  Quotes of Jamie Dimon From Submitted Testimony\_FINAL
  - 2.  Quotes of John Mack From Submitted Testimony\_FINAL
  - 3.  Quotes of Llyod Blankfein From Submitted Testimony\_FINAL
- e.  Official Transcripts
  - 1.  FCIC\_HEARING 1 - DAY 1\_OFFICIAL TRANSCRIPT\_1-13-10
  - 2.  FCIC\_HEARING 1 - DAY 2\_OFFICIAL TRANSCRIPT\_1-14-10
- f.  Witness Letters
  - 1.  Letter\_AND\_PROOF\_H1\_\_Rusty\_Cloutier
  - 2.  Letter\_and\_PROOF\_H1\_Julia\_Gordon
  - 3.  Letter\_H1\_Julia\_Gordon






















































4.  Letter\_H1\_Mike\_Mayo
5.  Letter\_H1\_Rusty\_Cloutier
6.  Letter\_H1\_Rusty\_Cloutier
7.  Letter\_H1\_Rusty\_Cloutier\_New\_Address
8.  Letter\_Hearing 1\_Honorable L. Madigan
9.  Letter\_Hearing1\_M. Whitney
- g.  Commissioners Press Clippings- Hearing One
- h.  H1\_Hearing\_Room\_Map
- i.  Hearing 1 Outline
- j.  Subprime Hearing Task List
- k.  ZANDI Final
15.  H2 and H3--Subprime Lending, Securitization, GSEs
  - a.  Correspondence
    1.  Hawke Dugan Greenspan Thank You Letters
  - b.  HEARING 2 & 3 FOLLOW-UP
    1.  Follow-up Letters
      - a.  2010-06-03\_Ltr to D Mudd Re Follow-Up Questions to 4-9-10 Hearing
      - b.  2010-06-03\_Ltr to R Levin Re Follow-Up Questions to 4-9-10 Hearing
      - c.  2010-06-04 Ltr to J Lockhart Re Follow-up Questions to 4-9-10 Hearing
      - d.  2010-06-09\_Ltr to A Greenspan Re Follow-Up Questions to 4-7-10 Hearing
      - e.  2010-06-09\_Ltr to Citi Re Follow-Up Questions to April 7-9 2010 Hearing
      - f.  2010-06-09\_Ltr to J Dugan OCC Re Follow-Up Questions to 4-8-10 Hearing
      - g.  2010-06-09\_Ltr to J Hawke OCC Re Follow-Up Questions to 4-8-10 Hearing
    2.  Responses to Follow-up
      - a.  2010-06-17\_D Mudd Response to 4-9-10 Hearing Follow-up Questions
      - b.  2010-06-17\_J Hawke Response to 4-8-10 Hearing Follow-up Questions
      - c.  2010-06-17\_J Hawke Response to 4-8-10 Hearing Follow-up Questions
      - d.  2010-06-17\_R Levin Response to 4-9-10 Hearing Follow-up Questions
      - e.  2010-06-23\_A Greenspan Response to 4-7-10 Hearing Follow-up Questions
      - f.  2010-06-23\_Citi Response to April 7-9, 2010 Hearing Follow-up Questions
    3.  DO NOT USE Spreadsheet for H2-3 Follow-Up\_2010-06-17
    4.  H2& 3 Follow-up Questions to Fannie Mae & OFHEO
    5.  Spreadsheet for H2-3 Follow-up
    6.  Spreadsheet for H2-3 Follow-up
  - c.  Hearing Invitations
    1.  2010-03-02 Invitation Letter to Greenspan
    2.  2010-03-09 Draft Invitation Letter to Greenspan
    3.  2010-03-10 Invitation Ltr - Greenspan
    4.  2010-03-11 DRAFT Hearing Invite to D Mudd
    5.  2010-03-11 DRAFT Hearing Invite to J Lockhart
    6.  2010-03-11 Hearing Invite to D Mudd
    7.  2010-03-11 Hearing Invite to J Lockhart
    8.  2010-03-15 Invitation Ltr to Former OCC Comptroller John D. Hawke
    9.  2010-03-15 Invitation Ltr to OCC Comptroller John C. Dugan
    10.  2010-03-16 FCIC Hearing Invitation to John C. Dugan
    11.  2010-03-16 FCIC Hearing Invitation to John D. Hawke
    12.  2010-03-22 Hearing Invitation to Enrico Dallavecchia--NOT INVITED
    13.  2010-03-22 Invitation Ltr to Charles Prince
    14.  2010-03-22 Invitation Ltr to Charles Prince
    15.  2010-03-22 Invitation Ltr to David Bushnell
    16.  2010-03-22 Invitation Ltr to David Bushnell
    17.  2010-03-22 Invitation Ltr to Murray Barnes
    18.  2010-03-22 Invitation Ltr to Murray Barnes
    19.  2010-03-22 Invitation Ltr to Nestor Dominguez
    20.  2010-03-22 Invitation Ltr to Nestor Dominguez
    21.  2010-03-22 Invitation Ltr to Patricia Lindsay

22.  2010-03-22 Invitation Ltr to Patricia Lindsay
  23.  2010-03-22 Invitation Ltr to Richard Bitner
  24.  2010-03-22 Invitation Ltr to Richard Bitner
  25.  2010-03-22 Invitation Ltr to Richard Bowen
  26.  2010-03-22 Invitation Ltr to Richard Bowen
  27.  2010-03-22 Invitation Ltr to Robert Levin
  28.  2010-03-22 Invitation Ltr to Robert Levin
  29.  2010-03-22 Invitation Ltr to Robert Rubin
  30.  2010-03-22 Invitation Ltr to Robert Rubin
  31.  2010-03-22 Invitation Ltr to Susan Mills
  32.  2010-03-22 Invitation Ltr to Susan Mills
  33.  2010-03-22 Invitation Ltr to Thomas Lund
  34.  2010-03-22 Invitation Ltr to Thomas Lund
  35.  2010-03-22 Invitation Ltr to Thomas Maheras
  36.  2010-03-22 Invitation Ltr to Thomas Maheras
  37.  2010-03-23 Invitation Ltr to Armando Falcon
  38.  2010-03-23 Invitation Ltr to Armando Falcon
- d.  Transcripts
1.  FINAL H 2&3 Transcript
    - a.  2010-04-07 HEARING 2 & 3 TRANSCRIPT [DAY ONE] FINAL
    - b.  2010-04-08 HEARING 2 AND 3 TRANSCRIPT [DAY TWO] FINAL
    - c.  2010-04-09 HEARING 2 & 3 TRANSCRIPT [DAY THREE] FINAL
    - d.  4-21-2010 FCIC Statement for the record FINAL
    - e.  FCIC Response Ltr to Congressman Turner
    - f.  Housing\_Report\_Part\_1
    - g.  Housing\_Report\_Part\_2
  2.  Unrevised H 2&3 Transcript
    - a.  2010-04-07 HEARING 2 & 3 TRANSCRIPT [DAY ONE]
    - b.  2010-04-07 HEARING 2 & 3 TRANSCRIPT [DAY ONE]
    - c.  2010-04-08 HEARING 2 & 3 TRANSCRIPT [DAY TWO]
    - d.  2010-04-09 HEARING 2 & 3 TRANSCRIPT [DAY THREE]
    - e.  Transcript Errors
- e.  Agenda for Briefing Meeting - Tuesday April 6
  - f.  Discussion draft lines of investigation\_2-26-10
  - g.  Fannie Mae 6 theories\_2-26-10
  - h.  FCIC\_H-2-3\_Commissioner Briefing 4-5-10
  - i.  For the Record
  - j.  H 2 3 Participant Contact Info
  - k.  Jan 10 Summary of H2
  - l.  Jan 10 Summary of H3
  - m.  Jan\_20\_draft\_summary\_of\_combined\_H2\_and\_H3\_version\_2\_clean
  - n.  OUTLINE and LINKS - H-2 3 Briefing Binder for Commissioners
  - o.  Witness List for H 2 3 --DRAFT AND CONFIDENTIAL
16.  H4--Shadow Banking
- a.  Testimonies
    1.  Christopher Cox Testimony
    2.  H. David Kotz Testimony
    3.  Steven R. Meier Testimony
  - b.  Transcripts
    1.  FINAL H4 Transcript
      - a.  2010-05-05 FCIC HEARING 4 TRANSCRIPT [DAY ONE] FINAL
      - b.  2010-05-05 FCIC HEARING 4 TRANSCRIPT [DAY ONE] FINAL
      - c.  2010-05-06 HEARING 4 TRANSCRIPT [DAY TWO] FINAL
      - d.  2010-05-06 HEARING 4 TRANSCRIPT [DAY TWO] FINAL
    2.  Unrevised H4 Transcripts
      - a.  2010-05-05 HEARING 4 TRANSCRIPT [DAY ONE]

- b.  2010-05-06 HEARING 4 TRANSCRIPT [DAY TWO]
      - c.  Witness Questions for Hearing
        - 1.  Questions Sent To Commissioners
          - a.  Day 1 Shadow Banking Hearing Questions
          - b.  Day 2 Shadow Banking Hearing Questions
        - 2.  Shadow Banking Hearing questions 2010-05-03
        - 3.  Shadow Banking Hearing questions 2010-05-03 [DAY ONE]
        - 4.  Shadow Banking Hearing questions 2010-05-03 [DAY TWO]
      - d.  Follow-Up Commissioner Rogs from Hearing 4\_2010-06-17
      - e.  Hearing 4 Participants
      - f.  Shadow Banking H4\_Follow-up Items\_Questions\_2010-06-07
      - g.  Shadow\_Banking\_RIP\_and\_hearing\_draft 03-04
      - h.  Shadow\_Banking\_RIP\_and\_hearing\_draft 03-11
      - i.  TENTATIVE Hearing 4 Outline
17.  H5a--Credit Rating Agencies
  - a.  H5a Follow-up
    - 1.  Credit Rating Agencies H5a Follow-up Questions\_DRAFT\_2010-06-21\_ST
    - 2.  Credit Rating Agencies H5a Follow-up Questions\_FINAL\_2010-06-21
  - b.  Transcript
    - 1.  FINAL H5a Transcript
      - a.  2010-06-02 HEARING 5A TRANSCRIPT\_FINAL
      - b.  2010-06-02 HEARING 5A TRANSCRIPT\_FINAL
    - 2.  Unrevised 5a Transcript
      - a.  2010-06-02 HEARING 5A TRANSCRIPT
      - b.  2010-06-02 Hearing 5a Transcript\_UNREVISED
  - c.  2010-05-28 Investigative Report Rating Agencies - Redline
  - d.  b-ii. Mark Froeba Testimony
  - e.  Credit Rating Agenceis RIR Outline KLS
  - f.  credit rating agencies R and I plan 3 03
  - g.  Credit Rating Agencies Reading List\_2010-04-30
  - h.  Credit Rating Agencies RIR Outline RB
  - i.  Credit Rating Agencies RIR Outline\_2010-05-11
  - j.  d-iv. Jay Siegel Testimony
  - k.  FCIC\_Hearings\_H5A\_Credit Rating Agencies RIP 2 24
  - l.  H5 Background Briefing Binder Index
  - m.  OUTLINE - H5 Briefing book for Commissioners (5-27-2010)
  - n.  Production Schedule for Hearing 5a on Credit Rating Agencies
  - o.  PSR Rating Agencies 5 28 2010\_UPDATED
  - p.  PSR Rating Agencies REDLINE\_2010-05-28
  - q.  PSR Rating Agencies to commissioners 5-28-2010 (gkn)
  - r.  Schedule
  - s.  Status of WE\_PA\_Comments on Investigative Report on Rating Agencies\_2010-05-28
18.  H5--Derivatives
  - a.  Hearing Invitations
    - 1.  2010-06-14 Hearing Invite to Martin Sullivan
    - 2.  2010-06-14 Hearing Invite to Martin Sullivan
    - 3.  2010-06-14 Hearing Invite to Robert Lewis
    - 4.  2010-06-14 Hearing Invite to Robert Lewis
    - 5.  2010-06-15 Hearing Invite to Steven Bensinger
    - 6.  2010-06-15 Hearing Invite to Steven Bensinger
    - 7.  2010-06-18 Hearing Invite to Eric Dinallo
    - 8.  2010-06-18 Hearing Invite to Eric Dinallo
    - 9.  2010-06-18 Hearing Invite to Gary Gensler
    - 10.  2010-06-18 Hearing Invite to Gary Gensler
    - 11.  2010-06-22 Hearing Invite to Andrew Forster
    - 12.  2010-06-22 Hearing Invite to Andrew Forster
    - 13.  2010-06-22 Hearing Invite to Joseph Cassano

















































14.  2010-06-22 Hearing Invite to Joseph Cassano
  15.  2010-06-22 Hearing Invite to Michael Greenberger
  16.  2010-06-22 Hearing Invite to Michael Greenberger
  17.  2010-06-22 Hearing Invite to Michael Masters
  18.  2010-06-22 Hearing Invite to Michael Masters
  19.  2010-06-22 Hearing Invite to Peter Kyle
  20.  2010-06-22 Hearing Invite to Peter Kyle
  21.  2010-06-22 Hearing Invite to Steve Kohlhagen
  22.  2010-06-22 Hearing Invite to Steve Kohlhagen
  23.  2010-06-23 Hearing Invite to Clarence Lee
  24.  2010-06-23 Hearing Invite to Clarence Lee
  25.  2010-06-23 Hearing Invite to David Broderick
  26.  2010-06-23 Hearing Invite to David Broderick
  27.  2010-06-23 Hearing Invite to David Lehman
  28.  2010-06-23 Hearing Invite to David Lehman
  29.  2010-06-23 Hearing Invite to David Viniar
  30.  2010-06-23 Hearing Invite to David Viniar
  31.  2010-06-23 Hearing Invite to Gary Cohn
  32.  2010-06-23 Hearing Invite to Gary Cohn
  33.  2010-06-23 Hearing Invite to John Reich
  34.  2010-06-23 Hearing Invite to John Reich
  35.  2010-06-24 Hearing Invite to Elias Habayeb
  36.  2010-06-24 Hearing Invite to Elias Habayeb
- b.  MRFs\_Transcripts\_Interview Notes
1.  2010-02-22 MFR re Interview with Frank Partnoy
  2.  2010-03-10 Interview notes - IMF Randall Dodd - Background - Derivatives
  3.  2010-03-16 Interview notes - FRBNY Theo Lubke - Background sources
  4.  2010-03-30 Transcript - Interview of Patrick Parkinson
  5.  2010-04-09 Interview notes - Michael Greenberger - Derivatives
  6.  2010-04-20 Interview notes - FRBNY Theo Lubke - Background - Derivatives
  7.  2010-05-04 MFR re Interview with Andrew Feldstein
  8.  2010-05-04 MFR re interview with Laurie Goodman
  9.  2010-05-07 Interview notes - Satyajit Das - Derivatives
  10.  2010-05-07 Transcript - Interview of Satyajit Das
  11.  2010-05-11 MFR re Interview with Adam White
  12.  2010-05-11 MFR re Interview with Bob Litan
  13.  2010-05-11 MFR re Interview with Richard Bookstaber
  14.  2010-05-12 MFR re Interview with Emanuel Derman
  15.  2010-05-13 MFR re Interview with Henry Hu
  16.  2010-05-14 MFR re Interview with Darrell Duffie
  17.  2010-05-14 Transcript - Interview of Gary Gensler
  18.  2010-05-17 MFR re Interview with Anthony Sanders
  19.  2010-05-19 G Feldberg MFR re interview with Martin Mayer
  20.  2010-05-20 Interview notes - FRB Van der Weide - Background sources
  21.  2010-05-24 Interview notes - Kohlhagen - Derivatives
  22.  2010-05-27 G Feldberg MFR re interview with Bob Pickel
  23.  2010-05-27 G Feldberg MFR re interview with Gary Gensler
  24.  2010-06-10 MFR re Interview with Darryll Hendricks
- c.  Questions for Witnesses
1.  2010-06-27 Suggested Hearing Questions by Panel
- d.  2010-05-17 Agenda\_CFDerivatives\_WG\_Mtg
- e.  Complex Financial Derivatives Reading List\_2010-05-11
- f.  Complex Financial Derivatives Reading List\_2010-05-11\_SA
- g.  derivatives draft R and I plan 3 03
- h.  derivatives draft R and I plan 3 12
- i.  Derivatives Final

- j. Derivatives Hearing Outline\_2010-06-11
- k. DRAFT H5 Schedule of Witnesses
- l. FCIC\_Hearings\_H5\_Derivatives RIP 2 24
- m. Interviews
- n. Media Advisory\_2010-06-25
- o. Media Advisory\_2010-06-29
- p. Production Schedule for Hearing 5 on Complex Financial Derivatives
- q. Production Schedule for Hearing 5 on Complex Financial Derivatives\_WG
- 19. H6--ERS
  - a. ERS Research and Investigative Plan\_2010-06-24
  - b. R and I plan excess risk and leverage\_2010-04-26
- 20. H7--TBTF
  - a. TBTF Research and Investigative Plan\_2010-06-24
  - b. TBTF\_R& I Plan\_2010-05-28
- 21. Interview Matrix
  - a. 1 15 draft Master Contact List\_SUPERSEDED
  - b. Asst. Secretaries\_Treasury\_Bush & Obama Administration
  - c. Copy of 1 26 draft Master Contact List (2) (2)\_SUPERSEDED
  - d. Copy of 1 26 draft Master Contact List (2) (2)\_SUPERSEDED
  - e. Copy of Master Contact List\_2-4-10\_ST\_SG\_SUPERSEDED
  - f. Copy of Master Contact List\_2-5-10 FINAL\_SUPERSEDED
  - g. Federal Reserve Board Governors 2000-Present
  - h. Interview Contact List 1-27-10
  - i. Key Word Coding for Contact Chart
  - j. Key Word Coding for Contact Chart\_ST
  - k. Master Contact List\_1-29-10\_PRINT\_SUPERSEDED
  - l. Master Contact List\_2-2-10\_SUPERSEDED
  - m. Master Contact List\_2-3-10\_ST\_SG\_SUPERSEDED
  - n. Master Contact List\_2-3-10\_ST\_SUPERSEDED
  - o. Master Contact List\_2-3-10\_SUPERSEDED
  - p. Master Contact List\_2-4-10\_ST\_SG\_SUPERSEDED
  - q. Master\_Contact\_List\_1-29-10\_Print\_Legal\_Sz\_SUPERSEDED
  - r. Research for Mina re Master Interview List\_1-26-10
  - s. Witness Interview Tracker\_1-28-10
  - t. Witness Interview Tracker\_1-28-10\_PRINT
  - u. WORKING COPY of 1 26 draft Master Contact List (2) (2)\_SUPERSEDED
- 22. Interviews
  - a. 2010-03-30 Dugan
    - 1. 2010-03-30 AUDIO FCIC Interview of John Dugan
    - 2. MFR\_Dugan\_2010-03-30
  - b. 2010-04-02 Paulson
    - 1. DRAFT\_MRF\_Paulson\_2010-04-02\_Edited 04-06 803pm
  - c. 2010-04-23 SEC\_re GE Capital Investments
    - 1. 2010-04-23 S Tang\_DRAFT MFR\_SEC Phone Interview re GE Capital Investments
    - 2. 2010-04-23 S Tang\_DRAFT MFR\_SEC Phone Interview re GE Capital Investments\_Dd Comments
    - 3. 2010-04-23 S Tang\_MFR\_SEC Phone Interview re GE Capital Investments
    - 4. 2010-04-23 SEC Phone Interview NOTES
  - d. 2010-04-27 OTS\_re GE Capital
    - 1. 2010-04-27 S Tang\_DRAFT\_MFR\_OTTS Interview re GE Capital
    - 2. 2010-04-27 S Tang\_DRAFT\_MFR\_OTTS Interview re GE Capital\_Dd Comments
    - 3. 2010-04-27 S Tang\_MFR\_OTTS Interview re GE Capital
    - 4. NOTES\_OTTS re GE Capital\_2010-04-27
- 23. Symposium
  - a. Invitation Letters
    - 1. FCIC Symposium Invitation Tracker
    - 2. fcic\_invitation\_letter\_brunnermeier

3.  fcic\_invitation\_letter\_caballero
  4.  fcic\_invitation\_letter\_duffie
  5.  fcic\_invitation\_letter\_geanakoplos
  6.  fcic\_invitation\_letter\_gorton
  7.  fcic\_invitation\_letter\_gourinchas
  8.  fcic\_invitation\_letter\_jaffee
  9.  fcic\_invitation\_letter\_kashyap
  10.  fcic\_invitation\_letter\_mayer
  11.  FCIC\_Symposium\_Invitation\_Ltr\_Brunnermeier
  12.  FCIC\_Symposium\_Invitation\_Ltr\_Caballero
  13.  FCIC\_Symposium\_Invitation\_Ltr\_Duffie
  14.  FCIC\_Symposium\_Invitation\_Ltr\_Geanakoplos
  15.  FCIC\_Symposium\_Invitation\_Ltr\_Gorton
  16.  FCIC\_Symposium\_Invitation\_Ltr\_Gourinchas
  17.  FCIC\_Symposium\_Invitation\_Ltr\_Jaffee
  18.  FCIC\_Symposium\_Invitation\_Ltr\_Kashyap
  19.  FCIC\_Symposium\_Invitation\_Ltr\_Mayer
  - b.  Dinner\_2-26-10
  - c.  FCIC\_Forum\_public\_agenda1
24.  TOM GREENE
- a.  Articles
    1.  Along With a Lender Is Citigroup Buying Trouble\_NYT\_10-22-00
    2.  Ameriquest's Ties to Watchdog Group Are Tested\_LATimes\_5-22-05
    3.  As Home Owners Face Strains, Market Bets on Loan Defaults\_WSJ\_10-31-06
    4.  At SEC Scholar Who Saw It Coming\_WSJ\_1-25-10
    5.  Banking On Misery\_Southern Exposure\_Summer 2003
    6.  Banking On Misery\_Southern Exposure\_Summer 2003
    7.  Best Interests How Big Lenders Sell A Pricier Refinancing to Poor Homeowners\_WSJ\_12-7-01
    8.  Doubt is Cast on Loan Papers\_LATimes\_3-28-05
    9.  Fed Assesses Citigroup Unit \$70 Million in Loan Abuses\_NYT\_5-28-04
    10.  Financial Fraud is Focus of Attack by Prosecutors\_NYT\_3-12-09
    11.  Friendly Watchdog Federal Reg Often Helps Banks Fighting Consumers\_WSJ\_1-28-02.doc
    12.  Friendly Watchdog\_WSJ\_1-28-02
    13.  Lender Lobbying Blitz Abetted Mortgage Mess\_WSJ\_12-31-07
    14.  More Homeowners With Good Credit Getting Stuck With Higher-Rate Loans\_LATimes\_10-24-05
    15.  Mortgaged Lives\_NYT\_3-15-00
    16.  Rubin Leaving Citigroup Smith Barney for Sale\_NYT\_1-10-09
    17.  Rubin's Detail Deficit\_Newsweek\_12-8-08
    18.  Sex Lies and Subprime Mortgages\_BW\_11-13-08
    19.  Slices of Risk\_WSJ\_9-12-05
    20.  Spitzer's Ghost\_CJR\_10-14-08
    21.  Taking Risk To Extremes\_BW\_5-23-05
    22.  The President's Bank Reforms Don't Add Up\_Opinion\_Peter Wallison\_WSJ\_10-25-10
    23.  They Warned Us About the Mortgage Crisis\_BW\_10-9-08
    24.  Where Was The Wise Man\_NYT\_4-27-08
    25.  Workers Say Lender Ran Boiler Rooms\_LATimes\_2-4-05
  - b.  BIO
    1.  Thomas Greene BIO\_1-25-10
    2.  Thomas Greene SHORT BIO\_1-25-10
  - c.  Document Requests
    1.  Document Request\_Citigroup
    2.  Document Request\_Citigroup
    3.  Letter\_and\_PROOF\_Document\_Request\_Citigroup

- d. Dual Compensation Waivers
  - 1. Dual Comp Reduction Waiver Bill Thomas
  - 2. Dual Comp Reduction Waiver Sen Graham
- e. FCIC Binder
  - 1. FCIC Binder Cover and Table of Contents
- f. FCIC Candidates
  - 1. TG - FCIC Candidates\_Tracker
  - 2. TG\_FCIC\_Candidates\_CALL LIST\_1-7-10
- g. Financial Disclosure
  - 1. 2010-03-10 S Tang Ltr to S Simpson
  - 2. TG\_2009\_Financial\_Disclosure\_Statement
- h. ID
  - 1. Identification\_TG
- i. Meetings
- j. Memos
  - 1. Memo to Commissioners re H1 Question Topics
  - 2. Memo\_Dual Compensation\_Reduction\_Waiver\_Robert Graham
  - 3. Memo\_Dual\_Compensation\_Reduction\_Waiver\_Bill\_Thomas
  - 4. Robert Graham Waiver 1-7-10
- k. Pay Compensation Forms
  - 1. 2010
    - a. FCIC Employee Claim for Compensation FormPP3\_TG
    - b. FCIC Employee Claim for Compensation FormPP5\_TG
- l. Reimbursements
  - 1. Cohan Book Reimbursement\_2010-04-16
  - 2. Rubin Book Reimbursement\_2010-03-25
  - 3. Taxi\_FCIC to DOJ\_Reimbursement\_2010-04-21
  - 4. Taxi\_GT to Longworth H2\_Reimbursements\_2010-04-07 to 09
  - 5. Taxi\_OCC to FCIC\_Reimbursement\_2010-04-01
- m. Supeona
  - 1. FCIC Subpoena to Eric Holder
- n. Travel
  - 1. Alan Schwartz Interview in NY\_2010-04-23
    - a. Amtrak Travel Options
  - 2. Richard Fuld Interview in NY\_2010-04-28
    - a. Amtrak Travel Options
  - 3. Robert Rubin Interview in NY\_2010-03-10 to 11
    - a. 2010-03-12 Travel Reimbursement (DC-NY)
    - b. TG\_DC-NY\_Itinerary\_Mar 10-11 2010
    - c. TG\_DC-NY\_Itinerary\_Mar 10-11 2010\_v2
- o. 2010-01-20\_GSA (L Wilson) Memo to T Greene re FCIC Overtime Entitlements
- p. 2010-02-22\_D Norman Memo to T Greene re Request to Continue Adjunct Professorship
- q. 2010-04-19 Outline\_Preliminary IR on Bear Stearns
- r. 911 Commission Sensitive Documents
- s. 911 Commission Sensitive Documents
- t. 911CommissionInterviewHandbook
- u. Commissioner Working Groups\_2-16-10
- v. Commissioner\_Working\_Groups\_with\_staff\_point\_person
- w. FCIC News Release Greene 9-14-09
- x. FCIC News Release Greene 9-14-09
- y. FCIC Task Tracker
- z. FCIC Task Tracker
- aa. FCIC\_Citigroup\_Rubin\_background briefing
- ab. FCIC\_Employment\_Ltr\_Thomas\_Stanton\_1-27-10
- ac. FCIC\_Fred Terrell contract 12-09
- ad. FCIC\_Work Plan\_Omni 12-13-09
- ae. Keywords\_Hearings\_Spreadsheet











- af.  LABELS for TG Filing
  - ag.  Ltr to TGreen re Blankfein Testimony\_1-20-10
  - ah.  Mount St. Mary's College Financial Obligation Agreement\_Spring 2010\_Rebecca Greene
  - ai.  Mount St. Mary's College Financial Obligation Agreement\_Spring 2010\_Rebecca Greene
  - aj.  Proposed Commissioner Working Groups\_2-4-10
  - ak.  Rubin - Quotes (preliminary)
  - al.  Work Plan Revision\_1-20-10
25.  WENDY
- a.  Added to NetDocs\_2010-05-28
    - 1.  Acorn's Nutty Regime For Cities\_City Journal\_Spring 2003
    - 2.  Behing AIG's Fall, Risk Models Failed to Pass Real-World Test\_Wall Street Journal\_October 31, 2008
    - 3.  Citigroup Saw No Red Flags Even as it Made Bolder Bets\_NY Times\_November 23, 2008
    - 4.  Cuomo Announces Action to Provide \$2.4 Trillion in Mortgages for Affordable Housing\_HUD\_July 1999
    - 5.  Did Securitization Lead to Lax Screening-Evidence from Subprime Loans\_European Finance Association 2
    - 6.  Ex-SEC Official Blames Agency for Blow-Up of Broker Dealers\_New York Sun\_September 18, 2008
    - 7.  Fannie Mae Eases Credit To Aid Mortgage Lending\_NY Times\_September 1999
    - 8.  Fannie's Perilous Pursuit of Subprime Loans\_Washington Post\_August 19, 2008
    - 9.  Federal Reserve Bank of Boston\_Closing the Gap- A Guide to Equal Opportunity Lending\_April 1993
    - 10.  FHA-Backed Loans-The New Subprime\_Business Week\_November 19, 2008
    - 11.  How to Save the Financial System\_Wall Street Journal\_September 19, 2008
    - 12.  Implications of the New Fannie Mae and Freddie Mac Risk-Based Capital Standard\_Fannie Mae Papers\_Mar
    - 13.  Leonnig, Carol\_How HUD Mortgage Policy Fed the Crisis\_Washington Post\_June 10, 2008
    - 14.  Lockhart, James\_Reforming the Regulation of the Government Sponsored Enterprises\_Statement before t
    - 15.  Moody's Downgrades 691 Mortgage-Backed Securities\_Market-Watch.com\_August 16, 2007
    - 16.  National Mortgage News\_Fannie to Boost Subprime Activities\_March 2000
    - 17.  Pressured to take more risk- Fannie Reached a Tipping Point\_New York Times\_October\_2008
    - 18.  The CRA's Harmful Legacy- How It Hampers Access to Credit\_CEI OnPoint\_March 2008
    - 19.  The Great Untangling\_The Economist\_November 8, 2008
    - 20.  Triple-A Failure\_New York Times Magazine\_April 27, 2008
  - b.  Book Outline
    - 1.  Outline for Report 6 msc sg WME
    - 2.  Outline for Report 6 msc sg WME GJC
    - 3.  Outline for Report\_Alternative
    - 4.  Outline for Report\_Simple
    - 5.  Outline for Report\_Simple with Alt in Track Changes
  - c.  FCIC Hearing Schedule
    - 1.  Future\_FCIC\_Meetings\_Hearings\_as of 2010-05-11
    - 2.  Future\_FCIC\_Meetings\_Hearings\_Original v Alt\_2010-05-11
    - 3.  UPDATED\_FCIC\_Mtgs\_Hearings\_w Comm WG Info
    - 4.  Working Groups\_Alternative
    - 5.  Working Groups\_Original
  - d.  MFR
    - 1.  2010-01-22 MFR\_NCRC
  - e.  Reimbursements
    - 1.  2010-06-01 Travel Reimbursement (DC-NY)
    - 2.  Taxi\_Dirksen to FCIC\_H4\_Reimbursements\_2010-05-05
    - 3.  Taxi\_Rayburn to FCIC\_H2\_Reimbursements\_2010-05-10
  - f.  TASK TRACKER

- 1. WE Task Tracker
- 2. WE Task Tracker (3) (version 1)
- g. Travel
  - 1. WE\_DC-NY\_Itinerary\_June 1-2 2010
- h. Action Plan
- i. Comments on PSRs
- j. Mike Bryan book list
- k. Schedule for Book\_2010-06-16
- l. Squam Lake Report\_FCIC
- m. squamlake
- n. Status of Wallisons Requests
- 26. Asst. Secretaries\_Treasury\_Bush & Obama Administration
- 27. FCIC\_Randall Dodd contract 5-14-2010 (3)
- 28. Federal Reserve Board Governors 2000-Present
- 29. FRB of St. Louis CrisisTimeline
- 30. From Midwest to M.T.A., Pain From Global Gamble\_NYT\_11-2-08
- 31. Interview Contact List 1-27-10
- 32. Kathleen Adams - FCIC Ethics and Confidentiality Agreement emails
- 33. Records Management Policy 2-17-2010
- 34. Research for Mina re Master Interview List\_1-26-10
- 35. Resume\_Hueston Middleton
- 36. S Tang\_Exit Memo
- 37. Staff Ethics Guidelines as approved January 20, 2010
- 38. Treatment\_of\_Non-Public\_Info\_Citi\_2-5-10.
- 39. Treatment\_of\_Non-Public\_Info\_Ltr\_Citigroup\_2-5-10
- 8. 4) Investigative Report on GSEs\_Fannie Mae\_03-30-2010 (copy)
- 9. fully\_footnoted\_book
- 10. interns
- BC. SBOONE
- BD. SFLYNN
  - 1. CDOs
    - a. Impairment
      - 1. Aaaimpair2004MerrillcompareCitilist
      - 2. Aaaimpair2005MerrillcompareCitilist
      - 3. Aaaimpair2006
      - 4. Aaaimpair2006MerrillcompareCitilist
      - 5. Aaaimpair2007MerrillcompareCitilist
      - 6. Baaimpair2004MerrillcompareCitilist
      - 7. Baaimpair2005MerrillcompareCitilist
      - 8. Baaimpair2006
      - 9. Baaimpair2006MerrillcompareCitilist
      - 10. Baaimpair2007MerrillcompareCitilist
      - 11. citi\_data
      - 12. combineimpair
      - 13. impairments\_cdfCiti2005
      - 14. impairments\_cdfCiti2006
      - 15. impairments\_cdfDB2005
      - 16. impairments\_cdfGS2005
      - 17. impairments\_cdfMerrill2005
      - 18. impairments\_cdfMerrill2006
      - 19. impairments\_cdfMerrill2007
      - 20. impairments\_cdfUBS2005
      - 21. impairments\_cdfUBS2006
      - 22. impairments\_compareoverall
      - 23. impairments\_compareoverall2005
      - 24. impairments\_compareoverall2006

















































- 25. impairments\_merrilltooverall2004
- 26. impairments\_merrilltooverall2005
- 27. impairments\_merrilltooverall2006
- 28. impairments\_merrilltooverall2007
- 29. imprsum
- 30. SFdeal\_mast\_filters
- 31. SFdfit\_tranche
- b. Moodys\_EMS
  - 1. CDO\_Data\_Dictionary\_(18-Aug-2010)
  - 2. cdoCollateral\_10032007
  - 3. cdoCollateral\_10042007
  - 4. cdoCollateral\_10052007
  - 5. static\_deal
- c. Moodys\_PDS
  - 1. 22Sept
  - 2. explore\_pds
  - 3. rmbs\_tables
- d. S&P
  - 1. Loan\_tabs\_byzip3\_broad
- e. abslist
- f. CDO Managers Doc Request
- g. CDO Underwriters Doc Request
- h. CDO\_data\_summary
- i. cdoDealSummary\_08312010
- j. coltype
- k. create\_fakestatic\_collateral
- l. interrog\_responses\_tracker
- m. manager\_survey
- n. my\_tracker
- o. NIBCCM 000001-000015
- p. questions for manager survey memo
- 2. Data\_Reports
  - a. data\_projects
  - b. data\_report\_outline
  - c. RH1
- 3. Derivatives
  - a. charts\_draft17june\_COB\_8pm
  - b. charts\_draft21june
  - c. charts\_raw
  - d. derivatives\_psr\_sources
  - e. pg13
  - f. pg19
- 4. Hedge\_Fund\_Survey
  - a. Anonymous Hedge Fund Survey (5)-lincoln square\_r8
  - b. Anonymous Hedge Fund Survey Eden Finalrevised\_r9
  - c. anonymous Hedge Fund Survey victoria\_r10
  - d. Anonymous Hedge Fund Survey-1 year\_r7
  - e. Anonymous Hedge Fund Surveyrevised-3 year\_r11
  - f. Copy of Anonymous Hedge Fund Survey arcadia\_r13
  - g. cp
  - h. FCIC Survey - Updated\_r2
  - i. FCIC Survey 2010 Final 13.08.10\_r1
  - j. leverage
  - k. MASTER Anonymous Hedge Fund Survey updated 8.26\_r14
  - l. repos
  - m. Revised Anonymous Hedge Fund Survey - Whitebox Advisors\_r6








- n. Revised Anonymous Hedge Fund Survey maximus\_r12
- o. Revised Anonymous Hedge Fund Survey\_Campbell\_submission\_7\_30\_2010\_6
- p. Revised Anonymous Hedge Fund Survey\_r15
- q. Revised Anonymous Hedge Fund Survey\_r16
- r. Revised Anonymous Hedge Fund Survey\_r3
- s. Revised Anonymous Hedge Fund Survey\_r4
- t. Revised Anonymous Hedge Fund Survey\_r5
- 5. Hinkley\_Request
  - a. DMA\_USRMBS
  - b. DMIA\_USRMBS
  - c. DP-DL\_INIT\_HIST\_#20100812#
  - d. FCIC Letter to Hinkley 8-31-10
  - e. GS MBS 0000087048
  - f. gs\_dealnames
  - g. hinkley
  - h. hinkley
  - i. hinkley\_request\_part2
  - j. hinkley\_request\_part2
  - k. moodys\_data
  - l. SandP
- 6. IMF
  - a. 2009 Mortgage Market Annual Volume II
  - b. 2009 Mortgage Market Statistical Annual V1
- 7. Magic
  - a. explore\_magic\_part2
  - b. magic\_new
- BE. SGANZ
  - 1. 1
    - a. '
    - b. 01 20 2011 - Letter to Chairman Angelides
    - c. 07\_10 PRELIMINARY REPORT
    - d. 0809 Angelides to Issa
    - e. 0809 Washington Post Article
    - f. 09-12-2010 Ltr to Kent and Isakson re\_additional FCIC allocation
    - g. 1994-00-00 Fair lending pressure builds
    - h. 2001-01-00 Roubini and Capone
    - i. 2010 - 08 - 24 Hearing Invite B Bernanke
    - j. 2010 - 08 - 24 Hearing Invite S Bair
    - k. 2010 06 28 Summary of Recent Financial Reform Legislation
    - l. 2010 06 29 Summary of Recent Financial Reform Legislation
    - m. 2010 10 23 Section III Chapter 6 to Chair and Vice Chair
    - n. 2010 10 24 Section III Chapter 6 to commissioners
    - o. 2010 7 17 Legislative Update
    - p. 2010.11.17. FCIC Letter to Congress re Report Submission
    - q. 2010.11.17. FCIC Letter to President Obama re Report Submission
    - r. 2010-0408-Dugan
    - s. 2010-06-04 Goldman Sachs Subpoena
    - t. 2010-06-10 Draft Exec Summary of AIG Investigative Report SG
    - u. 2010-06-20 Draft Summary of Investigative Report on Goldman Sachs
    - v. 2010-06-27 Derivatives Hearing Questions
    - w. 2010-06-27 Derivatives Hearing Questions
    - x. 2010-07-13 (12 pm) - Section IV draft
    - y. 2010-07-13 (12 pm) - Section IV draft
    - z. 2010-07-13 (12 pm) - Section IV draft SG
    - aa. 2010-07-13 (730 pm) - Section IV draft c\_vc SG
    - ab. 2010-07-26 Section II outline

ac.  2010-07-27 DEI to Angelides-FCIC - request info due 8-10  
 ad.  2010-08-17 FCIC Org Chart \_Draft\_S Ganz  
 ae.  2010-08-17 FCIC Org Chart \_Draft\_S Ganz v2  
 af.  2010-08-19 Book outline - Detail  
 ag.  2010-09-12 Section I draft CHRON sg  
 ah.  2010-09-19 - Section III draft sg  
 ai.  2010-09-22 - Section III draft - Part 3 sg  
 aj.  2010-09-23 - Section III draft --part 4 sg  
 ak.  2010-09-24 - Section III draft - Part 5 - Fed and AIG sg  
 al.  2010-09-29 - Section III - Chapter 7 - AIG - All staff sg  
 am.  2010-09-29 - Section III - Chapter 8 - Wachovia - All staff sg  
 an.  2010-10-01 (1400) - Section III - Chapter 4 - Bear incl staff comments sg  
 ao.  2010-10-01 (1400) - Section III - Chapter 7 - AIG incl staff comments sg  
 ap.  2010-10-01 (1900)- Section III - Ch. 8 (sans WaMu, Wach), MBryan Edit sg  
 aq.  2010-10-01 (1900)- Section III - Ch. 8 (sans WaMu, Wach), MBryan Edit sg for MH  
 ar.  2010-10-01 (1900)- Section III - Ch. 8 (sans WaMu, Wach), MBryan Edit sg for MH WE  
 as.  2010-10-08 (1000) - Section III, Ch. 1. (WME, BBorn, Brook) sg  
 at.  2010-10-08 (1000) Section I, Chapter 1 - Parallel Banking SG  
 au.  2010-10-08 (1800) Section 1, Chapter 3 - GSEs sg  
 av.  2010-10-17 - Section III - Chapter 4  
 aw.  2010-10-17 - Section III - Chapter 4 sg  
 ax.  2010-10-17\_-\_Section\_III\_-\_Chapter\_4 post Phil  
 ay.  2010-10-18 (1600) - Section III, Ch. 1  
 az.  2010-10-19 (1800) - Section III - Ch 8 - to Chair and Vice Chair  
 ba.  2010-10-19 (1800) - Section III - Ch 8 - to Chair and Vice Chair sg  
 bb.  2010-10-19\_-\_Section\_III\_-\_Chapter\_4  
 bc.  2010-10-20 (1000) - Section I, Ch. 2 - Crises and Consolidation - comments mee sg  
 bd.  2010-10-20 (1000) Section I, Ch. 1 - Parallel Banking - mee, wme sg  
 be.  2010-10-20\_(2000)\_-\_Section\_III\_-\_Ch\_\_8\_to commissioenrs  
 bf.  2010-10-21 Section III Ch 5 sg  
 bg.  2010-10-25 (pm) Lehman - Section III - Chapter 7  
 bh.  2010-10-25 (pm) Lehman - Section III - Chapter 7 sg  
 bi.  2010-10-28 (1700)- Section III - Ch. 9 - WME edited sg  
 bj.  2010-10-28 (1830)- Section III - Ch 9 sg  
 bk.  2010-10-28 (1830)- Section III - Ch. 9 - to C-VC  
 bl.  2010-10-30 (0600) - Section I, Chapter 4 - Subprime - Melana writethru sg  
 bm.  2010-11- 03 (1200) - Section II, Ch. 1 - sent to MB sg  
 bn.  2010-11-03 - Section III - Ch 9 - to C-VC - revised clean  
 bo.  2010-11-05 - Timeline sg  
 bp.  2010-11-05 - Timeline sg cuts  
 bq.  2010-11-05 - Timeline sg II  
 br.  2010-11-08 (1800) - Section IV -sans foreclosures - from MB sg  
 bs.  2010-11-09 - Section IV - Foreclosures - AS in process sg  
 bt.  2010-11-10 - Section II, Ch 2-2 - MB 3rd edit  
 bu.  2010-11-11 (2000) - Section III - Ch. 9 - AF edit  
 bv.  2010-11-12 Section III Chapter 6 SG  
 bw.  2010-11-13 (1500) - Section IV, Ch. 1 (Economy) sg  
 bx.  2010-11-14 - Section II, Ch 2.1 - edited by MB sg  
 by.  2010-11-15 (1200) - Part A - to WME sg  
 bz.  2010-11-15 (1800) - Section I, Ch. 1 - most major fixes made sg  
 ca.  2010-11-15 (1800) - Section I, Ch. 2 - most major fixes made sg  
 cb.  2010-11-17 (4 pm) - Section II Ch 2 1 sg  
 cc.  2010-11-17 (4 pm) - Section II Ch 2 1 sg v2  
 cd.  2010-11-17 Letter to Congressional Leadership  
 ce.  2010-11-21 section I chapter 4 11 20 clean sg



cf.  2010-11-28 Section II Chapter 3 sg  
 cg.  2010-12-02 - Section II Chapter 2  
 ch.  2010-12-06 Section II Chapter 3  
 ci.  2010-12-14 (2100) - Section II, Ch. 5 - AF edits sg  
 cj.  2011-01-20\_Letter to PA  
 ck.  2011-01-20\_Letter to PA-1  
 cl.  30-page dissent final-PublicAffairs version-text  
 cm.  8-30-10\_issa\_letter\_to\_towns\_-\_fc  
 cn.  8-9-2010 Response Ltr to Issa FCIC Budget Supplement (2)  
 co.  a. 2010-06-24 Draft Summary of PIR on AIG  
 cp.  a. 2010-06-24 Draft Summary of PIR on Goldman Sachs  
 cq.  Abacus  
 cr.  Abacus (revised)  
 cs.  ABACUS 2007 AC-1 Deal Structure and Investment Incentives\_Darrell Duffie  
 ct.  ABACUS 2007-AC1  
 cu.  AEW Draft of PSR on TBTF.Version 2 SG  
 cv.  AEW Draft of PSR on TBTF.Version 2(1)  
 cw.  Agenda for Financial Crisis Inquiry Commission Meeting 1-6-2011  
 cx.  Agenda Item 6 Further Revised Procedures for Additional or Dissenting Views Revised per 12-6 meeting  
 cy.  Agenda Item 7 Timing of Submission of the Report Redline (2)  
 cz.  Agenda Item 9 - Clayton and Moodys Letters -2  
 da.  AIG 10 18  
 db.  AIG original  
 dc.  AIG payments to International Firms  
 dd.  AIG payments to International Firms  
 de.  Amherst  
 df.  Amherst Transcript  
 dg.  Amherst Transcript-1  
 dh.  Andrew Robinson Resume  
 di.  Appendix for RK  
 dj.  At least I have some words on the page  
 dk.  Bakersfield Hearing\_Draft Panels  
 dl.  Bill 9152010  
 dm.  Bill Thomas additional or dissenting view  
 dn.  Bill's dissent  
 do.  Bingo Card  
 dp.  Blech  
 dq.  Blueline 01 11 2010  
 dr.  Book List  
 ds.  Book Outline Notes  
 dt.  Book1  
 du.  Brooks and Dunn  
 dv.  Budget Supplement Actualization 8-3-2010 (1)  
 dw.  Budget Supplement Actualization 8-3-2010 (1)  
 dx.  Bullet points for opening remarks H23  
 dy.  Bullet points for opening remarks H4  
 dz.  california\_0510  
 ea.  Ch. 3 PA edit  
 eb.  CHECK  
 ec.  Christine Hock  
 ed.  Citi 10 14  
 ee.  Citi 10 19  
 ef.  Class Wars-Rev Expanded Version-38-FC'd SG  
 eg.  Class Wars-Rev Expanded Version-38-FC'd-1 KH  
 eh.  Class Wars-Rev-40-FC'd SG.att 2
























































- ei.  Clayton 09302010
- ej.  Cliffs notes
- ek.  Commission Republicans Media Advisory 11 17 10 - final
- el.  Commissioner Questions
- em.  Compare
- en.  Compare 10 27 DHE + KH to 11 01
- eo.  Compare 3 and 4
- ep.  Compare Submission with 12 30
- eq.  Compare to original
- er.  Comparison - Document originally produced to Chair and Vice Chair by staff and final document to Commissioners
- es.  Comparison of 10 14 and 10 19 drafts of Sec II Ch 3
- et.  Considerations with Respect of Commission Documents
- eu.  Consolidated statements of operations
- ev.  Consumer confidence dirty
- ew.  Consumer confidence for merge
- ex.  Consumer confidency dirty
- ey.  Contents
- ez.  Copy of Amherst
- fa.  Copy of CompensationCommissioners
- fb.  Copy of Copy of Revised Econ Indicator Spreadsheet sg
- fc.  Copy of Copy of Revised Econ Indicator Spreadsheet sg
- fd.  Copy of Draft findings for WMT 12 09 v6 pw
- fe.  Copy of Econ indicators spreadsheet
- ff.  Copy of FCIC Master Contact List\_mid August
- fg.  Copy of Master Contact List\_2-4-10\_ST\_SG
- fh.  Copy of Master Contact List\_2-5-10 FINAL
- fi.  Copy of Master Contact List\_2-5-10\_ST\_SG
- fj.  Copy of Master Contact List\_2-5-10\_ST\_SG\_Raw
- fk.  Copy of prices\_intl\_House price indices (nominal and real+average)
- fl.  Copy of Wallison Footnotes sg
- fm.  Core\_simulation
- fn.  Cost-of-the-Crisis-final
- fo.  CPI U dirty
- fp.  CPI U for merge
- fq.  CRA staff report 10 7 2010 & Wells Exhibit 1
- fr.  Credit Rating Agencies H5a Follow-up Questions\_FINAL\_2010-06-21 PA
- fs.  Credit Rating Agencies H5a Follow-up Questions\_FINAL\_2010-06-21 PW
- ft.  Credit Rating Agencies H5a Follow-up Questions\_FINAL\_2010-06-21 SG
- fu.  Credit Rating Agencies H5a Follow-up Questions\_FINAL\_2010-07-06 SG
- fv.  Credit Suisse
- fw.  crisis background 408
- fx.  CV July 2010
- fy.  CV July 2010
- fz.  Danny James Michlewicz N Y RESUME
- ga.  data
- gb.  Davis Polk Financial Reform Summary
- gc.  Davis Polk Regulatory Implementation Slides
- gd.  debt outstanding dirty
- ge.  debt outstanding for merge
- gf.  derivatives overview\_psr\_6 20
- gg.  derivatives overview\_psr\_6 20
- gh.  derivatives\_psr\_6 17
- gi.  derivatives\_psr\_6 17
- gj.  derivatives\_psr\_6 17 (2)












- gk.  derivatives\_psr\_credit derivatives - Jun 18 - Clean (2)
- gl.  derivatives\_psr\_credit derivatives - Jun 18 - Clean SG
- gm.  derivatives\_psr\_credit derivatives - Jun 21 clean
- gn.  derivatives\_psr\_credit derivatives - Jun 21 clean
- go.  derivatives\_psr\_credit derivatives - Jun 21 clean SG
- gp.  derivatives\_psr\_Section V - kls SG
- gq.  Deutsche Bank
- gr.  DHE\_BB\_HM\_WMT\_PA
- gs.  DHE\_BB\_HM\_WMT\_PA\_KH
- gt.  Dissent - Hennessey, Holtz-Eakin and Thomas
- gu.  Dissent for book 12-24-Final with post-submission changes with typesetting
- gv.  DJIA dirty
- gw.  DJIA for merge
- gx.  Doc
- gy.  Doc1
- gz.  Document Comparison 12 13
- ha.  Doug Holtz-Eakin additional or dissenting view
- hb.  Douglas Holtz-Eakin additional or dissenting view
- hc.  DRAFT
- hd.  Draft 1
- he.  Draft 2
- hf.  Draft 3
- hg.  Draft answers
- hh.  Draft Cinco
- hi.  Draft Commissioner Questions
- hj.  Draft Dissent Part Page
- hk.  Draft Executive Summary 08 12 2010
- hl.  Draft Executive Summary 08 12 2010 dhe
- hm.  Draft Executive Summary 08 12 2010 dhe sg
- hn.  Draft Executive Summary SG
- ho.  Draft Executive Summary SG 2
- hp.  Draft Findings 12 09
- hq.  Draft findings 12 09 v2
- hr.  Draft findings for WMT 12 08
- hs.  Draft findings for WMT 12 08 v2
- ht.  Draft findings for WMT 12 08 v2
- hu.  Draft findings for WMT 12 08 v3
- hv.  Draft findings for WMT 12 09
- hw.  Draft findings for WMT 12 09 v2
- hx.  Draft findings for WMT 12 09 v3
- hy.  Draft findings for WMT 12 09 v4
- hz.  Draft findings for WMT 12 09 v5
- ia.  Draft findings for WMT 12 09 v5
- ib.  Draft findings for WMT 12 09 v6
- ic.  Draft findings for WMT 12 09 v6 pw
- id.  Draft findings for WMT 12 10
- ie.  Draft findings for WMT 12 10 v2
- if.  DRAFT FOR KROSZNER
























































- 2.  2
  - a.  Draft I
  - b.  Draft II
  - c.  Draft III
  - d.  Draft Interrogatory
  - e.  Draft Interrogatory v2
  - f.  Draft Issues of Potential Disagreement



- g.  Draft IV
- h.  Draft Lehman PIR
- i.  Draft of PSR on TBTF 8 21
- j.  Draft of PSR on TBTF 8 21 SG
- k.  Draft PIR on Wachovia
- l.  Draft Quattro
- m.  Draft Quattro\_CR[1]
- n.  Draft Reading List for Macro
- o.  Draft Seis
- p.  DRAFT SG
- q.  Draft Summary AIG IR-June 17 2008
- r.  Draft Summary of Lehman PIR
- s.  Draft Summary of PIR on Wachovia (3)
- t.  Draft Summary of PIR on Wachovia SG
- u.  Draft Topics and Questions SG
- v.  Draft Topics and Questions WE
- w.  Draft Tres
- x.  Draft Uno
- y.  Draft V
- z.  Drawing1
- aa.  Drawing1
- ab.  Drawing2
- ac.  Drawing2 Money
- ad.  Drawing2 Money 08092010
- ae.  Drawing2 No Money
- af.  Electronic Signature
- ag.  Ernesto Felixovich Ramirez - Resume
- ah.  ES
- ai.  FCIC dissent - Hennessey Holtz-Eakin Thomas
- aj.  FCIC dissent - Hennessey Holtz-Eakin Thomas 01 04
- ak.  FCIC dissent - Hennessey Holtz-Eakin Thomas 01 04 + final Hennessey edits
- al.  FCIC dissent - Hennessey Holtz-Eakin Thomas 01 04 + final Hennessey edits v2-2
- am.  FCIC dissent - Hennessey Holtz-Eakin Thomas final
- an.  FCIC dissent - Hennessey Holtz-Eakin Thomas final REDLINE
- ao.  FCIC dissent - Hennessey Holtz-Eakin Thomas final v2
- ap.  FCIC dissent - Hennessey Holtz-Eakin Thomas with inserts
- aq.  FCIC dissent - Hennessey Holtz-Eakin Thomas with inserts and factcheck
- ar.  FCIC dissent - Hennessey Holtz-Eakin Thomas with inserts and factcheck clean
- as.  FCIC dissent - Hennessey Holtz-Eakin Thomas with inserts and factcheck clean 01 04
- at.  FCIC dissent - Hennessey Holtz-Eakin Thomas with inserts and factcheck clean proofread
- au.  FCIC dissent - Hennessey, Holtz-Eakin, Thomas
- av.  FCIC dissent v20 sg
- aw.  FCIC dissent v22 sg
- ax.  FCIC dissent v24a
- ay.  FCIC dissent v24a sg
- az.  FCIC dissent v27a sg
- ba.  FCIC dissent v28a
- bb.  FCIC dissent v28a sg1
- bc.  FCIC dissent v28a sg2
- bd.  FCIC dissent v33 dhe
- be.  FCIC dissent v33 dhe sg
- bf.  FCIC dissent v33 dhe sg clean
- bg.  FCIC dissent v43
- bh.  FCIC dissent v43 sg
- bi.  FCIC dissent v43 sg clean

- bj.  FCIC Dissenting Opinions--first typeset
- bk.  FCIC Employee Claim for Compensation Form26
- bl.  FCIC New Website Content 1.11.10
- bm.  FCIC\_ Referral Memo
- bn.  FCIC\_Work Plan\_Omni 12-13-09
- bo.  FCIC-Dissenting\_1-20small
- bp.  FCICproposalletterJuly5
- bq.  FCIC-statute
- br.  Fed funds dirty
- bs.  Fed\_funds for merge
- bt.  Federal Housing Memo
- bu.  FINAL DATA
- bv.  Final FCIC Publisher Proposal - Signed 7-16-2010
- bw.  Final FCIC Publisher Proposal - Signed 7-17-2010
- bx.  Final FCIC Publisher Proposal - Signed 7-17-2010v2
- by.  Financial Crisis Primer
- bz.  Financial Crisis Primer 12 14
- ca.  Financial Crisis Primer 12 14 10
- cb.  Financial Crisis Primer 12 14 10
- cc.  Financial Crisis Primer 12 14 FINAL
- cd.  Financial Crisis Primer 12 15 10
- ce.  Financial Crisis Primer 12 15 10
- cf.  Financial Crisis Primer COMPARE
- cg.  Financial Crisis Primer FINAL
- ch.  Financial Crisis Primer SG WMT DHE PW KH 12 12
- ci.  Financial Crisis Primer SG WMT DHE PW KH 12 13
- cj.  Financial Crisis Primer SG WMT DHE PW KH CR SG
- ck.  financial profits dirty
- cl.  financial profits for merge
- cm.  Follow up Brunnermeier
- cn.  Follow up Geanakoplos
- co.  Follow up Gorton
- cp.  Follow up Gortonv2'
- cq.  Follow up Gourinchas
- cr.  Follow up Jaffee
- cs.  Follow up Kashyap
- ct.  Follow up Kroszner
- cu.  Follow up Lusardi
- cv.  Follow up Mayer
- cw.  Follow up request
- cx.  For Keith
- cy.  For Kip 11 08
- cz.  Forum Follow-up - Gorton
- da.  fredgraph
- db.  From June 2003 through June 2004
- dc.  Future\_FCIC\_Meetings\_Hearings\_as of 6-14-2010
- dd.  Ganz rec letter
- de.  gfsr 2009
- df.  Goldman Executive Summary Preliminary Investigative Report SG
- dg.  greenbk10
- dh.  GS Details - Final
- di.  GS Details - Final SG
- dj.  GS Details - Final SGv2
- dk.  GS Details v6 SG
- dl.  GS info

























































dm.  HHT Media Advisory 01 27 11 - final  
dn.  HHT Media Advisory 01 27 11 - final  
do.  housing inventory dirty  
dp.  housing inventory for merge  
dq.  housing results SG  
dr.  housing starts dirty  
ds.  housing starts for merge  
dt.  housing\_results\_pinto\_20100804  
du.  housing\_results\_pinto\_20100809 SG  
dv.  How our approach differs from others  
dw.  HPA for MK  
dx.  International home prices  
dy.  International home prices (3)  
dz.  Intro  
ea.  Introduction for book 12-24-Final with inserts  
eb.  IP dirty  
ec.  IP for merge  
ed.  IRS Questions  
ee.  Issues of contention  
ef.  Issues of contention  
eg.  Issues of Potential Conflict SG  
eh.  Issues of Potential Disagreement SG  
ei.  Jane Lewin resume incl. references  
ej.  June 9 - Richard F Vero SG edit  
ek.  Kaplan CV  
el.  Karen Dubas - Resume (2)  
em.  kdhfcic  
en.  Keegan resume  
eo.  Keith's list  
ep.  Keith's outline  
eq.  Kirsten Ling Updated Resume  
er.  Leg Report 6 14 10  
es.  Legislative Update 12 7  
et.  Legislative Update 12 9  
eu.  Letter re Commission Document request confidentiality-12-22-09 (3)  
ev.  Letter re Commission Document request confidentiality-PA Comments (3)  
ew.  Letter to Majority Leader Reid  
ex.  Letter to Minority Leader Boehner  
ey.  Letter to Minority Leader McConnell  
ez.  Letter to President Obama  
fa.  Letter to Speaker Pelosi  
fb.  Letter to Vice President Biden  
fc.  list more infor needed  
fd.  Ltr to Financial Crisis Inquiry Com.3210\_001[1]  
fe.  Macro and the bubble for insert  
ff.  Macro Charts  
fg.  Macro Lit Review 09172010  
fh.  Macro Memo 09172010  
fi.  Macro Memo Draft  
fj.  Macro Memo Draft 08182010  
fk.  Macro Memo Draft 09162010  
fl.  Macro Reading List 09172010  
fm.  Macro Reading List SG 09162010  
fn.  Master Contact List\_2-3-10\_ST\_SG  
fo.  Master Contact List\_2-3-10\_ST\_SG\_409-509

fp.  mclarty\_references  
fq.  mclarty\_resume  
fr.  Media Advisory 11 17 2010  
fs.  Media Advisory 8.19.10  
ft.  Media Advisory 8.29.10  
fu.  Media Advisory 8.4.10  
fv.  Memorandum re Bondi  
fw.  Memorandum re Bondi  
fx.  Memorandum Re Requests  
fy.  merrill\_clean1  
fz.  Miami Herald 9 15 10 v2 sg  
ga.  Miller Consumption Tax  
gb.  Minority Media Advisory 11 17 10 - final  
gc.  Minority Media Advisory 11 17 10 - final  
gd.  ML original  
ge.  MLII  
gf.  MLIII  
gg.  MLIII  
gh.  Moodys  
gi.  More for WMT  
gj.  More for WMT\_amb  
gk.  Motions  
gl.  Motions 01 13  
gm.  Motions 12 06  
gn.  Mozilla Firefox  
go.  NetDocuments Recent  
gp.  New Century by Missal and Richman 10-08  
gq.  Nonfarm employment dirty  
gr.  Nonfarm Employment for merge  
gs.  Notes  
gt.  Notes for Derivatives Working Group 5 17  
gu.  Notes for WMT speech IE  
gv.  Notes on Sec III Ch 1-6 and 8  
gw.  NOTES ON TOO BIG TO FAIL  
gx.  Op-ed draft 01 24  
gy.  Op-ed draft 01 25  
gz.  Org Chart June 24 2010  
ha.  Org Chart  
hb.  Org Chart 08092010  
hc.  Org chart 08172010  
hd.  Org chart 08172010  
he.  Org Chart no Additional Funds  
hf.  Org Chart with Additional Funds  
hg.  Organizational Chart 1-21-09  
hh.  Organizational Chart 1-21-09  
hi.  Organizational Chart 1-21-10  
hj.  Organizational Chart 1-21-10  
hk.  Organizational Chart 1-22-10  
hl.  Organizational Chart 1-22-10  
hm.  Organizational Chart 1-22-10 II  
hn.  Organizational Chart 1-26-10  
ho.  Organizational Chart 1-26-10  
hp.  Organizational Chart 2-1-10  
hq.  Organizational Chart 2-1-10  
hr.  Organizational Chart 2-16-10

- hs.  Organizational Chart 2-16-10
  - ht.  Organizational Chart 2-2-10
  - hu.  Organizational Chart 2-2-10
  - hv.  Organizational Chart 2-23-10
  - hw.  Organizational Chart 2-23-10
  - hx.  Other programs
3.  3
- a.  Outline 6 23 redlined to 6 17
  - b.  Outline 6 23 redlined to 6 17
  - c.  PA edits
  - d.  Personal History Statement Berkeley
  - e.  Personal Statement Draft 10 28 2010
  - f.  Personal Statement Draft 11 04 2010
  - g.  Personal Statement Draft 11 11 2010 FINAL
  - h.  Personal Statement Draft 11 13 2010 FINAL
  - i.  Personal Statement Draft 11 17 2010 FINAL
  - j.  Peter
  - k.  Picture 008
  - l.  Picture 008-1
  - m.  Picture 009
  - n.  Political Science Draft
  - o.  Political Science Draft 11 11 2010
  - p.  Pottery Barn Couch
  - q.  Pottery Barn Couhc
  - r.  Preliminary Outline - Findings
  - s.  Preliminary Outline - Findings SG
  - t.  preliminary questions
  - u.  preliminary questions v2
  - v.  Presentation to publishers FINAL
  - w.  Presentation1
  - x.  Process\_for\_Review\_and\_Approval\_of\_the\_Report\_by\_the\_Commission August 10 commissioners SG
  - y.  Process\_for\_Review\_and\_Approval\_of\_the\_Report\_by\_the\_Commission August 2
  - z.  Process\_for\_Review\_and\_Approval\_of\_the\_Report\_by\_the\_Commission August 2
  - aa.  Process\_for\_Review\_and\_Approval\_of\_the\_Report\_by\_the\_Commission August 6
  - ab.  Process\_for\_Review\_and\_Approval\_of\_the\_Report\_by\_the\_Commission August 9 commissioners SG
  - ac.  Process\_for\_Review\_and\_Approval\_of\_the\_Report\_by\_the\_Commission June 14
  - ad.  Process\_for\_Review\_and\_Approval\_of\_the\_Report\_by\_the\_Commission June 14
  - ae.  Process\_for\_Review\_and\_Approval\_of\_the\_Report\_by\_the\_Commission June 14
  - af.  Process\_for\_Review\_and\_Approval\_of\_the\_Report\_by\_the\_Commission June 17
  - ag.  Process\_for\_Review\_and\_Approval\_of\_the\_Report\_by\_the\_Commission June 17
  - ah.  Process\_for\_Review\_and\_Approval\_of\_the\_Report\_by\_the\_Commission June 23 redlined to June 17
  - ai.  Process\_for\_Review\_and\_Approval\_of\_the\_Report\_by\_the\_Commission June 23 redlined to June 17
  - aj.  proposal 7 14 SG
  - ak.  proposal 7 17 FINAL
  - al.  proposal 7 17 FINAL
  - am.  proposal 7 19
  - an.  proposal wme sg
  - ao.  proposal wme sg gc sg
  - ap.  Proposed Fact Checking Structure
  - aq.  Proposed Fact Checking Structure SG
  - ar.  Proposed Fact Checking Structure SG edit
  - as.  Proposed Framework for Discussion for Commissioners
  - at.  Proposed Framework for Discussion for Commissioners for WMT
  - au.  Proposed Procedures for Additional or Dissenting Views
  - av.  PSR Derivatives in the Financial Crisis - 6-20 gf am JUNE-21
























































- aw.  PSR Derivatives in the Financial Crisis - 6-20 gf am JUNE-21 Sg
- ax.  Public Forum\_Submitted Testimony\_Vasile
- ay.  Public Inquiry Memo 6 10 10
- az.  Public Release Considerations v2
- ba.  Publisher Media Advisory 8 2 10
- bb.  Q and A 2
- bc.  Questions and Answers for WMT
- bd.  questions for forum participants
- be.  Questions for WMT
- bf.  Questions H4
- bg.  Quietly
- bh.  Recommendation to hire--Megan Fasules
- bi.  redlined\_derivatives\_overview\_psr - June 24 SG
- bj.  Reg reform
- bk.  Reg summaries
- bl.  Regulatory Summaries
- bm.  reimbursement
- bn.  Release memo compare
- bo.  Report Media Advisory 11.17.10 - final
- bp.  Report outline
- bq.  Report outline
- br.  Republican Commissioners respond to changed date for delivery of final report
- bs.  Responses to Forum
- bt.  Responses to Forum 08232010
- bu.  Resume\_J Balder\_FCIC\_Aug 2010
- bv.  Resume\_Jonathan Luis Jachym
- bw.  Resume\_R\_Cheng
- bx.  Resume\_Suleman Khan
- by.  Resume--Antonio Vargas
- bz.  Retail sales dirty
- ca.  Retail sales for merge
- cb.  revised credit bubble section
- cc.  revised credit bubble section sg
- cd.  Revised to-date\_31Jul (cmh) (2)
- ce.  RFP 08192010
- cf.  Scott C Ganz CV - July 2010
- cg.  Scott FCIC oped v2
- ch.  Scott Ganz Calendar
- ci.  Scott's list
- cj.  Sec II ch 1 Mike Edit sg
- ck.  Sec III Ch 3 10 14 2010 to Chair Vice Chair
- cl.  Sec III Ch 3 10 14 2010 to Chair Vice Chair SG
- cm.  Sec III Ch 3 Mike 2nd edit sg
- cn.  Sec III Ch 4 COMPARE
- co.  Section 1 Chapter 1 11 18 clean
- cp.  Section 1 Chapter 1 11 18 clean sg
- cq.  Section 1 Chapter 1 11 21 clean
- cr.  Section A
- cs.  section A 11-24-2010
- ct.  section A 11-24-2010 sg
- cu.  Section I Ch 2 clean 11 15 sg
- cv.  Section I chapter 1 11 2 10 C VC
- cw.  Section I chapter 1 11 2 10 C VC sg
- cx.  section I chapter 2 and 3 c vc sg
- cy.  section I chapter 4 C VC sg






















































- cz.  section I intro 11 20
- da.  section I intro 11 20 sg
- db.  section I intro sg
- dc.  section I outline 7 19
- dd.  section II chapter 1 C\_VC sg
- de.  section II.1 phil's edits highlights checked sg
- df.  Section III Ch 7 to commissioners
- dg.  Section III Ch 7 compare
- dh.  Section III Chapter 3 10 1
- di.  Section III Chapter 3 10 19
- dj.  section III chapter 9 11 9 2010 sg
- dk.  section IV - msc2
- dl.  Section IV Notes SG
- dm.  Section IV Notes SG
- dn.  Section IV Notes SG WMT Final
- do.  section\_1\_outline\_clean\_8 1 10
- dp.  section\_1\_outline\_clean\_8 1 10 SG
- dq.  section\_1\_outline\_clean\_8 1 10 SG
- dr.  Sep 2 wme for scott
- ds.  Separate Statement(5) 10 18 2010
- dt.  SeriesReport-20100930151435(1)
- du.  Shortcut (2) to Draft findings for WMT 12 08 v2
- dv.  Shortcut (2) to Draft findings for WMT 12 09 v5
- dw.  Shortcut to Draft Findings 12 09
- dx.  Shortcut to Draft findings 12 09 v2
- dy.  Shortcut to Draft findings for WMT 12 08
- dz.  Shortcut to Draft findings for WMT 12 08 v2
- ea.  Shortcut to Draft findings for WMT 12 08 v3
- eb.  Shortcut to Draft findings for WMT 12 09
- ec.  Shortcut to Draft findings for WMT 12 09 v2
- ed.  Shortcut to Draft findings for WMT 12 09 v3
- ee.  Shortcut to Draft findings for WMT 12 09 v4
- ef.  Shortcut to Draft findings for WMT 12 09 v5
- eg.  Shortcut to Draft findings for WMT 12 09 v6
- eh.  Shortcut to Draft findings for WMT 12 09 v6 pw
- ei.  Shortcut to Personal History Statement Berkeley
- ej.  Signed Returns 2010
- ek.  SSG - BAC Response
- el.  SSG - Goldman Response
- em.  SSG - JPMC Response FINAL
- en.  SSG - Morgan Stanley Response
- eo.  start on cdo chart
- ep.  Statement v2
- eq.  Structure of Ch II - II
- er.  Structure of Ch II - II v2
- es.  Stupid, not evil
- et.  Summary of Separate Statement 10 26 2010
- eu.  Takeaways from Research and Investigation Plan SG
- ev.  TBTF hearing
- ew.  TED Spread dirty
- ex.  TED Spread for merge
- ey.  The beginning
- ez.  The Financial Crisis Inquiry Commission was established in P
- fa.  Thoughts on the Section II outline
- fb.  timeline

























































- fc.  Timeline
- fd.  timeline
- fe.  TIMELINE compare
- ff.  Timeline data
- fg.  Timeline Indicators
- fh.  TOC
- fi.  Too Interconnected to Fail Draft rsk sg
- fj.  Too Interconnected to Fail Draft rsk sg 2
- fk.  Too Interconnected to Fail Draft rsk sg 3
- fl.  TopIR OTS
- fm.  toxic assets section
- fn.  Transmittal Letter
- fo.  Transmittal Letter Boehner
- fp.  Transmittal Letter McConnell
- fq.  Transmittal Letter Obama
- fr.  Transmittal Letter Pelosi
- fs.  Transmittal Letter Reid
- ft.  Transmittal Letter to Leader Reid
- fu.  tymoigne aug 10
- fv.  Unemployment Dirty
- fw.  Unemployment for merge
- fx.  Uses of Credit Ratings in Legislation
- fy.  v6
- fz.  v9
- ga.  Vice Chairman's Mark 10 26 2010
- gb.  Vice Chairman's Mark 10 26 2010 v2
- gc.  Vice Chairman's Mark 10 26 2010 v3
- gd.  Vice Chairman's Mark 10 27 2010
- ge.  Vice Chairman's Mark 10 272010
- gf.  Vice Chairman's Mark Discussion Draft 10 27 2010
- gg.  Vice Chairman's Mark Discussion Draft 10 27 2010 DHE + KBH
- gh.  Vice Chairman's Mark Discussion Draft 11 01 2010
- gi.  Vice Chairman's Mark Discussion Draft 11 02 2010
- gj.  Vice Chairman's Mark Discussion Draft 11 02 2010 final
- gk.  Vice Chairman's Mark Discussion Draft 11 02 2010 v2
- gl.  vickers resume
- gm.  WaMu
- gn.  wgdg
- go.  wgdg
- gp.  What is the 2008 total Federal tax revenue collection from cap gains and dividends
- gq.  Why did we have a housing bubble
- gr.  Wireframe Markups 1.20.11
- gs.  WSJ + kbh
- gt.  WSJ op-ed + kbh edits
- gu.  WSJ v1
- gv.  WSJ v2
- gw.  WSJv3
- 4.  Citi
  - a.  OCC Call notes 3-4
- 5.  For Bart
  - a.  Dugan Speeches
  - b.  Hawke Speeches
- 6.  For Bill
  - a.  10 20 Meeting
    - 1.  Background for 10 20 meeting
  - b.  12-14-09 Meeting



1. 12-14-09 Agenda for Business Meeting of Financial Crisis Inquiry Commission
2. Agenda Item 12\_Legislative Update
3. Agenda Item 7\_Protocol for Media Contact
- c. 911 Commission Information
  1. Piloting a Bipartisan Ship\_Kirsten Lundberg
  2. When Government Writes History\_Ernest May
- d. Articles
  1. FCIC Work in Underway (11 13 2009)
- e. Hearing 2
  1. Subprime Lending - Bart
  2. Subprime Lending - Brad
- f. Hearings Drafts
  1. FCIC\_Hearing 1\_Draft 12-3-09
  2. FCIC\_Hearing 1\_Outline\_12-8-09
  3. FCIC\_Revised Approach to Hearings and Briefings\_12-1-09.
  4. FCIC\_topical hearings\_final\_11-30-09
  5. FCIC\_topical hearings-final\_11-25-09
- g. Work Plan Drafts
  1. FCIC\_Preliminary DRAFT Work Plan (Clean)
  2. FCIC\_Preliminary DRAFT Work Plan 11-6-09
  3. FCIC\_Preliminary DRAFT Work Plan Redline 11-6-09
  4. FCIC\_Preliminary Work Plan 10-14-09
  5. FCIC\_Preliminary Work Plan 10-14-09 SG
  6. FCIC\_Preliminary Work Plan 10-16-09
  7. FCIC\_Preliminary Work Plan 10-16-09 GM SG
- h. 12-11-09 DOJ Holder Invitation letter
- i. 12-11-09 FDIC Invitation letter
- j. 12-11-09 SEC Invitation Letter
- k. 1-6-2010 Briefing packetA
- l. 1-6-2010 Q & A
- m. 2009-12-28 Hearing One Briefing Packet Outline
- n. Commission communications backgrounder pre-hearing
- o. Commission Solicitation Policy Dec 8, 2009
- p. Dec 22 Ltr to Fed re Commitment on Sharing Nonpublic information
- q. Dec 22 Ltr to Fed re Commitment on Sharing Nonpublic information-clean
- r. Dec 22 Ltr to Fed re Commitment on Sharing Nonpublic information--Phil's comments
- s. Draft letter to Isaakson
- t. DRAFT Op-ED 01-06 -stw & mc edits
- u. Employee Handbook
- v. Ethics Guidelines Dec 10, 2009-2(revised)
- w. FCIC Interview Protocol (2)
- x. FCIC Letter\_to\_Chairman\_Bernanke\_re\_Sharing\_Nonpublic\_Information\_1-6-10- final
- y. FCIC\_Gantt\_11-12-09
- z. FCIC\_Implementation\_TG\_11-12-09
- aa. FCIC\_Inteconnections memo\_Bondi\_11-10-09
- ab. FCIC\_Visio\_org chart 10-26-09
- ac. FCIC\_White House\_COS cooperation letter 11 10 draft
- ad. FCICwelcome script v1
- ae. Hearing One Briefing Packet Outline
- af. Housing\_Briefing\_Plan
- ag. Housing\_Briefing\_Plan FINAL
- ah. IndyMac
- ai. Investigative Plan for Housing\_1\_10\_10
- aj. Language for WMT
- ak. Language for WMT2
- al. Letter re Commission Document request confidentiality-12-22-09
























































- am.  letter requesting delegation of authority Dec 8, 2009
- an.  Letter to Chairman Bernanke re Sharing Nonpublic Information
- ao.  New summary of combined H2 and H3
- ap.  Notes on WaMu
- aq.  omnibus\_draft\_2\_16
- ar.  omnibus\_draft\_2\_16\_tracked
- as.  Omnibus\_Work\_Plan\_2\_14\_tracked
- at.  Opening Statement SG AB
- au.  Opening Statement SG AVB
- av.  Questions for Panel 1
- aw.  research proposal 12 31 09
- ax.  Research\_Investigative\_Plan\_for\_Housing
- ay.  Research\_Investigative\_Plan\_for\_Housing FINAL
- az.  Research\_Investigative\_Plan\_for\_Housing SG
- ba.  Shadow Banking RIP and hearing draft
- bb.  White Board notes - Thursday Nov. 12
- 7.  FRBNY - Citi
  - a.  Antonio Alvarez 4-26-2010
  - b.  Bard Stermasi 4 23 2010
  - c.  Diane Dobeck and Steve Manzari 4 26 2010
  - d.  Glenn Snajder 4 23 2010
  - e.  MFR Antonio Alvarez
  - f.  MFR Bard Stermasi
  - g.  MFR Dianne Dobeck and Steve Manzari
  - h.  MFR Glenn Snajder
  - i.  MFR Randolph Brown
  - j.  Randolph Brown 4 26 2010
  - k.  Steven Mirsky 4 26 2010
  - l.  William Hallacy 4 23 2010
- 8.  Macroeconomic Review
  - a.  Bernanke - Monetary Policy and the Housing Bubble - 2009
  - b.  Blanchard et al - Rethinking Macroeconomic Policy - 2010
  - c.  Borio - Whither monetary and financial stability - 2004
  - d.  Caballero - The Other Imbalance and the Financial Crisis - 2010
  - e.  Caballero et al - Financial Crash, Commodity Prices and Global Imbalances - 2008
  - f.  Dokko et al - Monetary Policy and the Housing Bubble - 2009
  - g.  Gourinchas - From World Banker to World Venture Capitalist - 2005
  - h.  Greenspan - The Crisis - 2010
  - i.  Neal and Weidenmier - Crises in the Global Economy from Tulips to Today - 2005
  - j.  Obstfeld and Rogoff - Global Imbalances and the Financial Crisis - 2009
  - k.  Reinhart and Rogoff - From Financial Crash to Debt Crisis - 2010
  - l.  Reinhart and Rogoff - This Time is Different (short version)- April 2008
  - m.  Taylor - Housing and Monetary Policy - 2007
  - n.  Tobias and Shin - Liquidity, Monetary Policy and Financial Cycles - 2007
- 9.  OLD DOCS
- 10.  '
- 11.  01 20 2011 - Letter to Chairman Angelides
- 12.  1994-00-00 Fair lending pressure builds
- 13.  2001-01-00 Roubini and Capone
- 14.  2007 OTS Goals
- 15.  2010-04-16 G Cohen ltr to Goldman (R Klapper) re Doc Request (copy)
- 16.  2010-06-19 Scott Polakoff Interview
- 17.  2010-12-14 (2100) - Section II, Ch. 5 - AF edits sg
- 18.  2011-01-20\_Letter to PA
- 19.  30-page dissent final-PublicAffairs version-text
- 20.  Agenda for Financial Crisis Inquiry Commission Meeting 1-6-2011

















































21.  Amherst
22.  Amherst Transcript-1
23.  Appendix for RK
24.  Bill Thomas additional or dissenting view
25.  Bill's dissent
26.  Blech
27.  Blueline 01 11 2010
28.  Class Wars-Rev Expanded Version-27-E (1)
29.  Compare Submission with 12 30
30.  Compare to original
31.  Copy of Wallison Footnotes sg
32.  Dissent - Hennessey, Holtz-Eakin and Thomas
33.  Dissent for book 12-24-Final with post-submission changes with typesetting
34.  Doug Holtz-Eakin additional or dissenting view
35.  Douglas Holtz-Eakin additional or dissenting view
36.  Draft Dissent Part Page
37.  Draft findings for WMT 12 09 v6 pw
38.  Draft findings for WMT 12 10
39.  Draft findings for WMT 12 10 v2
40.  Electronic Signature
41.  FCIC dissent - Hennessey Holtz-Eakin Thomas
42.  FCIC dissent - Hennessey Holtz-Eakin Thomas 01 04 + final Hennessey edits
43.  FCIC dissent - Hennessey Holtz-Eakin Thomas 01 04 + final Hennessey edits v2-2
44.  FCIC dissent - Hennessey Holtz-Eakin Thomas final
45.  FCIC dissent - Hennessey Holtz-Eakin Thomas final REDLINE
46.  FCIC dissent - Hennessey Holtz-Eakin Thomas final v2
47.  FCIC dissent - Hennessey Holtz-Eakin Thomas with inserts
48.  FCIC dissent - Hennessey Holtz-Eakin Thomas with inserts and factcheck
49.  FCIC dissent - Hennessey Holtz-Eakin Thomas with inserts and factcheck clean
50.  FCIC dissent - Hennessey Holtz-Eakin Thomas with inserts and factcheck clean 01 04
51.  FCIC dissent - Hennessey, Holtz-Eakin, Thomas
52.  FCIC dissent v20 sg
53.  FCIC dissent v22 sg
54.  FCIC dissent v24a
55.  FCIC dissent v24a sg
56.  FCIC dissent v27a sg
57.  FCIC dissent v28a
58.  FCIC dissent v28a sg1
59.  FCIC dissent v28a sg2
60.  FCIC dissent v33 dhe
61.  FCIC dissent v43
62.  FCIC dissent v43 sg
63.  FCIC dissent v43 sg clean
64.  FCIC New Website Content 1.11.10
65.  FCIC\_Hearings\_H5\_Derivatives RIP 3 03 am SG
66.  FCIC-Dissenting\_1-20small
67.  Financial Crisis Primer 12 14
68.  Financial Crisis Primer SG WMT DHE PW KH CR SG
69.  gfsr 2009
70.  HHT Media Advisory 01 27 11 - final
71.  HHT Media Advisory 01 27 11 - final
72.  Holding Company Supervision
73.  How our approach differs from others
74.  IndyMac
75.  International home prices

76.  International home prices (3)
  77.  Introduction for book 12-24-Final with inserts
  78.  Kroszner Bibliography
  79.  Letter to Majority Leader Reid
  80.  Letter to Minority Leader Boehner
  81.  Letter to Minority Leader McConnell
  82.  Letter to President Obama
  83.  Letter to Speaker Pelosi
  84.  Letter to Vice President Biden
  85.  Op-ed draft 01 24
  86.  Op-ed draft 01 25
  87.  PA edits
  88.  PIR - AIG
  89.  preliminary questions
  90.  preliminary questions v2
  91.  Proposed Fact Checking Structure -- wme 2
  92.  Quietly
  93.  revised credit bubble section
  94.  revised credit bubble section sg
  95.  Take\_Aways\_from the forum SG
  96.  Taylor MFR
  97.  Test document
  98.  The Financial Crisis Inquiry Commission was established in P
  99.  TOC
  100.  Too Interconnected to Fail Draft Feb 19
  101.  Too Interconnected to Fail Draft rsk sg 3
  102.  toxic assets section
  103.  Transmittal Letter
  104.  Transmittal Letter Boehner
  105.  Transmittal Letter McConnell
  106.  Transmittal Letter Obama
  107.  Transmittal Letter Pelosi
  108.  Transmittal Letter Reid
  109.  Transmittal Letter to Leader Reid
  110.  Wireframe Markups 1.20.11
  111.  WSJ + kbh
  112.  WSJ op-ed + kbh edits
  113.  WSJ v1
  114.  WSJ v2
  115.  WSJv3
- BF.  Shadow Banking
- BG.  SKNAUS
1.  MFRs
    - a.  Draft MFRs
      1.  2010-03-10 REVIEWED Mike Quinn MFR DRAFT
      2.  2010-03-12 FHFA MFR DRAFT
      3.  2010-03-12 Treasury MFR DRAFT
      4.  2010-03-19 FHFA Interview DRAFT
      5.  2010-03-23 REVIEWED MFR Todd Hempstead, Fannie Mae
      6.  2010-03-24 REVIEWED Eric Rosenblatt, Fannie Mae
      7.  2010-03-29 REVIEWED Armando Falcon, OFHEO
    - b.  Fed MFRs
      1.  2010-03-09 Interview S Meyer DRAFT MFR
      2.  2010-03-19 DRAFT FRB MFR
    - c.  Notes
      1.  2010-03-12 REVIEWED MFR Austin Kelly

- 2.  3-12-10 FHFA interview NOTES
- 3.  Dallavecchia Interview NOTES
- 4.  Demarco
- 5.  Hampstead
- d.  2010-03-11 J Swingle MFR
- e.  2010-03-18 Rosenblatt
- f.  2010-03-30 SEC Call
- g.  2010-03-31 Gussman
- h.  2010-04-01 Jim Michaels
- i.  2010-04-01 S Braunstein
- j.  2010-04-06 REVIEWED Interview with Mike Quinn (FNMA)
- 2.  2000-12-05 FRB Document
- 3.  2010-04-14 REVIEWED Martin Kelly, Lehman
- 4.  2010-04-16 REVIEWED Ed Grieb, Lehman Brothers
- 5.  2010-04-22 REVIEWED MFR Curtis Mewbourne
- 6.  2010-04-23 L Lebedin
- 7.  2010-04-26 Interview with Erin Callan
- 8.  2010-05-10 MFR Notes of Interview with Hank Greenberg (AIG) SEE TRANSCRIPT
- 9.  2010-05-14 MFR Interview with Simpson Thacher lawyers (AIG)
- 10.  2010-05-17 MFR Presentation by Cassano Lawyers
- 11.  2010-05-18 Burry
- 12.  2010-05-18 Notes of Gene Park Interview (AIG) SEE TRANSCRIPT
- 13.  2010-06-10 MFR of Kevin McGinn
- 14.  2010-06-13 Lewis emails
- 15.  2010-06-14 Micottis Interview
- 16.  2010-06-15 MFR Interview with Steven Bensinger (AIG)
- 17.  2010-06-16 Interview with Mark Feldman (JPM)
- 18.  2010-06-17 REVIEWED MFR Orice Williams Brown
- 19.  2010-06-18 MFR of Interview with OCC
- 20.  2010-06-18 OTS
- 21.  2010-06-26 Blythe Masters Interview
- 22.  2010-07-14 Interview with M Antoncic
- 23.  2010-07-16 Interview with Chris O'Meara
- 24.  2010-07-21 MFR of Interview with Fidelity
- 25.  2010-07-22 J Goodman
- 26.  2010-07-29 Interview with Michael Gelband
- 27.  2010-08-02 Interview with Roger Cole
- 28.  2010-08-02 Interview with UBS
- 29.  2010-08-13 Interview with Tom Fontana (Citi)
- 30.  2010-08-16 Interview with Alex Kirk (Lehman)
- 31.  2010-08-19 Interview with Jeff Solomon (Ramius)
- 32.  2010-08-20 Interview with Tom Russo
- 33.  2010-08-23 Interview with Harbinger
- 34.  2010-08-24 Interview with Pat Parkinson (FRB)
- 35.  2010-08-25 Interview with Claren Road
- 36.  2010-12-03
- 37.  a. Key Quotes - Martin Sullivan
- 38.  a. Witness Bios - Regulators
- 39.  Background look
- 40.  Bear Stearns Articles
- 41.  Briefing books for Commissioners
- 42.  Cassano Forster MFR mentions
- 43.  compensation plan
- 44.  Compensation Plan Update
- 45.  Compensation Questions


46.  Countrywide Comp Chart
  47.  f. 2010-06-15 MFR of Interview with Robert Lewis
  48.  Falcon Bio
  49.  Fannie Binder Cover & Spine
  50.  Fannie Board of Directors 2005-Current
  51.  Fannie INDEX TEMPLATE
  52.  Fannie Mae Board Members FY 2005-Current
  53.  Fannie Spine
  54.  FHFA 3-19
  55.  FNMA - list of interviews for briefing book
  56.  FNMA - list of interviews for briefing book(2)
  57.  FNMA Compensation 2000-Conservatorship (by year)
  58.  FNMA Compensation 2000-Current
  59.  FNMA Compensation Chart
  60.  FNMA Compensation Chart
  61.  FNMA Compensation Conservatorship-Current
  62.  FRB Bank Referrals
  63.  FRB Matrix
  64.  FRB Matrix UPDATE
  65.  FRBNY CD Log
  66.  Freddie Compensation
  67.  H5 Background Binder Index
  68.  INDEX TEMPLATE
  69.  Key Quotes - Andrew Forster
  70.  label
  71.  Label Template - File Folders
  72.  Lehman Comp Chart
  73.  Lewis Prep
  74.  McGinn email prep
  75.  Merrill Lynch Comp Chart
  76.  Merrill CDO Chart
  77.  Merrill Lynch Overview
  78.  Mina News
  79.  Pimco News Clips
  80.  Quote letters sent\_people requesting quotes
  81.  Sarah K's list of names
  82.  Scanned from a Xerox multifunction device001
  83.  Short FNMA Timeline
  84.  Suggested Lockhart Questions UPDATED
  85.  temp
  86.  test
  87.  Top 4 Executive Comp
  88.  Wachovia Comp Chart
  89.  Witness Biographies (copy)
- BH.  SMandel
1.  Wowy Zippy Quotes for Report
- BI.  SMINTZ
1.  Interview Notes
    - a.  Bill Black II
    - b.  Bruce Marks
    - c.  Donald Kohn
    - d.  Embersit Fed
    - e.  FDIC 23 Sept
    - f.  Gillian Tett
    - g.  Greg Coleman OCC
    - h.  Interview notes






- i.  Karen Pence FED
  - j.  Markowitz
  - k.  Michael Vardas Northern Trust
  - l.  OCC Visit 2
  - m.  Ragu Raghuram Rajan audio transcript notes times
  - n.  Roger Lowenstein
  - o.  Steve Einhorn, Omega
2.  Miscellany
- a.  2010-04-26 MFR of Interview with William Cohen (House of Cards)BEAR
  - b.  2010-07-27 - Section I draft
  - c.  2010-07-29 - Section I draft
  - d.  2010-07-29 - Section I draft
  - e.  2010-08-31 Book - Brief summary for the agent
  - f.  2010-09-11 Section I draft
  - g.  2010-09-29MS edits - Section III draft --part 4 (2).slm3
  - h.  AEW Changes to 8 21 10 Draft of PSR on TBTF(2).UNMARKED(2)
  - i.  Bear Novation
  - j.  BearRevise
  - k.  BearRevise
  - l.  BISTRO
  - m.  Buffett Interview
  - n.  Buffett Interview Notes
  - o.  Caperton Email Request
  - p.  Chair Comments II.2
  - q.  Dean Baker
  - r.  Delavecchia Letter
  - s.  dofds
  - t.  don't know 22 december
  - u.  Fair Value 5 December
  - v.  Fair Value Soros
  - w.  Fraud Fact Checks
  - x.  FRB on Bear
  - y.  Freddie Fannie PSR
  - z.  GSEmintz
  - aa.  Interview List
  - ab.  INTERVIEWS Breedon
  - ac.  Larry Summers
  - ad.  Larry Summers
  - ae.  Lehman 2Oct
  - af.  Lehman 6oct.2 changes
  - ag.  Lehman Take 11
  - ah.  Links to Webcasts of Speeches by Jamie Dimon
  - ai.  memoranda
  - aj.  Mishkin comment
  - ak.  Mishkin comment
  - al.  Monetary Control Act 1980
  - am.  Nell Quotes
  - an.  outline of section 1, chapter 1 (financial markets)
  - ao.  outline of section 1, chapter 1 (financial markets)
  - ap.  outline of section 1, chapter 2 (regulators)
  - aq.  outline of section 1, chapter 2 (regulators)
  - ar.  Outtakes
  - as.  Part IV netdocs
  - at.  Part One 10 sept 12pm
  - au.  Part One Flow Chart 01

- av.  Part One Take 03
- aw.  Part One Take 04
- ax.  Part One Take 05
- ay.  Part One Take 06
- az.  Part One Take 07
- ba.  Part One Take 08
- bb.  Part One Take 10.netdocs
- bc.  Part One Take 21
- bd.  Part One Take 23
- be.  Part One Take TEST
- bf.  Part One Version 13netdocs
- bg.  Part One Version.01
- bh.  Part One Version.02
- bi.  Paul Volcker REVIEWED
- bj.  PENNSY mee
- bk.  Prelude 23 August
- bl.  Presentation36
- bm.  Presentation36
- bn.  Proposed interviews for prelude
- bo.  PSR Notes
- bp.  Ranieri and rating agencies
- bq.  Research Notes
- br.  Research Notes 8.19
- bs.  Research Notes 8.19.1
- bt.  Research Notes 8.19.1
- bu.  Research notes 8-23
- bv.  Research notes 8-23
- bw.  Richard Syron.local
- bx.  RTC Securitization
- by.  Schiller.2
- bz.  Sellon Jr - 1992 - Changes in Financial Intermediation The Role of P
- ca.  Shadow Banking
- cb.  Shadow Banking
- cc.  Sharpe
- cd.  Sound Bites 6 December
- ce.  Staff meeting notes
- cf.  Strands01
- cg.  Strands01
- ch.  Syron Interview 31 August
- ci.  Test Meeting
- cj.  Two Pager
- ck.  Two Pager 2 MINTZ.2
- cl.  Two Pager Chapter I
- cm.  Two Pager.2
- cn.  UPI report
- co.  Warning signs
- cp.  Wilmarth Notes
- cq.  Wilmarth Notes.2

BJ.  SSANDERFORD
























































BK.  SZUCKERMAN































1.  Background

























































- a.  Angelides\_2329838
- b.  Binder Index
- c.  BulkTemplate\_Jan2008
- d.  Commission Solicitation Policy Dec 7, 2009\_
- e.  Commission Solicitation Policy Dec 8, 2009



- f. Confidentiality Agreement Received
- g. Confidentiality Agreement FCIC Employees
- h. Copy of FCIC Office Staff Roster
- i. DC Parking
- j. Draft\_Preservation\_Notice\_AMBAC\_Kevin\_Doyle\_2-8-2010
- k. Draft\_Preservation\_Notice\_Assured\_Guaranty\_James\_Michener\_2-8-2010
- l. Draft\_Preservation\_Notice\_MBIA\_Ram\_Wertheim\_2-8-2010
- m. Draft\_Preservation\_Notice\_Syncora\_Susan\_Comparto\_2-8-2010
- n. E-mail re Coinfidentialty
- o. Ethics Guidelines Dec 7, 2009
- p. Ethics Guidelines Dec 9, 2009\_
- q. FCIC Commissioners w photos
- r. FCIC Commissioners w photos
- s. FCIC FHFA Commitment re Sharing Nonpublic Information
- t. FCIC Interview Protocol
- u. FCIC Roster w contact2
- v. FCIC\_Graham waiver
- w. FCIC\_Roster\_w\_contact
- x. FCIC\_Thomas waiver
- y. FERA
- z. FINAL\_Preservation\_Notice\_S&P\_Adam\_Schuman\_1-22-10
- aa. FINAL\_Preservation\_Notice\_SP\_Adam\_Schuman\_1-22-10
- ab. Financial Crisis Inquiry Commission Names Executive Director
- ac. How to Use the Fax and Scanner
- ad. Master Contact List\_2-3-10\_ST\_SG\_SUPERSEDED
- ae. Name Plates
- af. Public Input Draft Memo
- ag. Public Law 111 -- Financial Crisis Inquiry Commission \_2\_
- ah. Restaurants Open Late
- ai. Short Form Preservation Notice 1-21-10-2
- aj. Witness Info
- ak. Witness Info- Lewis Ranieri
- 2. Personal
  - a. Duties
  - b. FCIC Employee Claim for Compensation Form25
  - c. FCIC Employee Claim for Compensation Form26
  - d. FCIC Employee Claim for Compensation FormPP1
  - e. FCIC Employee Claim for Compensation FormPP2
  - f. FCIC Employee Claim for Compensation FormPP3
  - g. FCIC Employee Claim for Compensation FormPP5
- 3. Referral Docs
  - a. AIG
    1. 2007-11-29 PwC Meeting Notes PWC-FCIC 000381-383 (copy)
    2. 2007-12-01 email from Cassano re November change in value for SS multisector CDO AIG-SEC5981397 (cop
  - b. Citi
    1. CITI 00007657 Presentation to the SEC Regarding Overall CDO Business and Subprime Exposure (2007-06)
    2. CITI-FCIC 00000444 BOD Minutes (2007-10-15)
    3. CITI-FCIC 00000673 Corporate Audit and Risk Mgmt Cmte Minutes (2007-10-15)
    4. CITI-FCIC 00002793 Risk Management Review - Update to Corporate ARM Cmte (2007-10-15) (copy)
    5. CITI-FCIC-E 00036374 C Prince to R Rubin re Maheras and Super Seniors (2007-09-09)
    6. FCIC-Citi 000198-224 2008-04-15 Transmittal Letter and Report for 2007
  - c. Exhibit H Fannie Mae Referral
    1. Exhibit H Fannie Mae
    2. Exhibit H Redline 10-25

- d.  Fannie Mae
  - 1.  2010-03-12 FHFA MFR DRAFT (copy)
  - 2.  FM-COGR\_00011806-00011900
  - 3.  FM-COGR\_00051915-928
  - 4.  FM-COGR\_00156147
  - 5.  FM-COGR\_00156148
  - 6.  FM-COGR00088741-786
- e.  Goldman-Abacus
  - 1.  2010-04-22 Steve Eisman MFR (copy)
- f.  Goldman-AIG
  - 1.  2007-08-02 Email from Forster to Cassano & Micottis re GS Collateral marks AIG-SEC2035262 (copy)
  - 2.  2010-06-25 Draft Transcript of Joe Cassano Interview (copy)
  - 3.  AIG SEC2152433
  - 4.  PSI Exhibit 84- GS MBS-E-009976918
- g.  Merrill Lynch
  - 1.  Exhibit G – Merrill
  - 2.  G 1 Presentation to Risk Oversight Committee
  - 3.  G 2 FICC Overview
  - 4.  G 3 Interview of Dow Kim
  - 5.  G 4 OSPRA Memo
  - 6.  G 5 Presentation to Merrill Board of Directors
  - 7.  G 6 Interview of Stanley O'Neal
  - 8.  G 7 U.S. Residential Mortgage Update
  - 9.  G 8 Senior Supervisors Meetings
  - 10.  G 9 Norma Offering Circular
  - 11.  G10 Norma Marketing Materials
  - 12.  G11 NIR Engagement Letter
  - 13.  G12 Document with Magnetar Investments in Norma
  - 14.  G13 Document of Magnetar Fees Received
  - 15.  G-14 Document Listing Norma Cash Note Purchasers
  - 16.  G-15 Document Listing Collateral Managers
  - 17.  G-16 Document of Merrill Risk Transformation Strategies
  - 18.  G17 Merrill Information Related to its 44 CDOs
  - 19.  G-18 Documents from Moody's and S&P Relating to Merrill CDOs
  - 20.  Redline- Exhibit G Merrill
- 4.  TK
  - a.  12.17 Draft Memo
  - b.  About Sheila Bair
  - c.  Anatomy of a Hybrid CDO Deal
  - d.  David Gillers Memo
  - e.  Outline of Transcript of David Andrukomis Nov. 21, 2008
  - f.  Questions for Lanny Breuer
  - g.  Questions for Lanny Breuer-Chair and V-Chair
  - h.  Questions for Lanny Breuer-General
  - i.  Roel Campos Interview Memo
  - j.  Shadow Banking
  - k.  Shadow Banking Memo 1.25.2010
  - l.  Shadow Banking Memo to file
  - m.  Sheila Bair
  - n.  Taylor Bean & Whitaker Memo
  - o.  Tom Krebs 12.17 Draft Memo
- 5.  2010-03-15 G Cohen ltr to Morgan Stanley (R Yanes) re Research Inquiry
- 6.  2010-03-15 G Cohen ltr to Morgan Stanley (R Yanes) re Research Inquiry
- 7.  2010-03-15 G Cohen ltr to Prof M Ragoff
- 8.  2010-03-15 G Cohen ltr to Prof M Ragoff
- 9.  Copy of FCIC Office Staff Roster

10.  Document Request Spreadsheet
  11.  Document Request Spreadsheet-GC copy
  12.  DRAFT\_Document\_Preservation\_Memo\_2-10-10
  13.  Extensions
  14.  FCIC Conf Agmt with Daniel P. Feldhaus
  15.  FCIC Confidentiality Agreement with Daniel P. Feldhaus, CSR, Inc
  16.  FCIC Production Log
  17.  FCIC\_Roster\_w\_contact
  18.  Objections to Clearance Tracker
  19.  Organizational\_Chart\_2-2-10
  20.  Pages from BAC-FCIC-0000026933
  21.  POC List
  22.  Sample Document Request Spreadsheet
  23.  signature
- BL.  tborgers
1.  Ackman
  2.  House Oversight
  3.  Monoline
  4.  PMI & Put Back Section
  5.  Richard Cantor
    - a.  Cantor lexis articles
    - b.  DmxNtve0
    - c.  Draft Annual Report for 2007
    - d.  Ideas on models Cantor Kirnon Mety Weil
    - e.  Moodys Business Conduct Post 2004
    - f.  Moodys Business Conduct pre 2004
    - g.  Moody's Notes
    - h.  Richard Cantor Notes
    - i.  Risk Management October 11 2007
    - j.  Subprime working group June 13 2008 Cantor
    - k.  tborgers\_ (Part 1)
    - l.  tborgers\_ (Part 2)
    - m.  tborgers\_ (Part 3)
  6.  Securitization
    - a.  20070508st
    - b.  20070619st
    - c.  20070717st
    - d.  A Predatory Lending Primer HOPEA RL34259\_20080107
    - e.  A Primer SSRN-id1324349[1]
    - f.  An Analysis of the Subprime & Financial CrisisSSRN-id1309442[1]
    - g.  anatomy of a credit crisis 2009
    - h.  Basel II in the United States May 2008 RL34485\_20080514
    - i.  Bear Stearns Crisis and Rescue for a Major Provider of Mortgage-Related ProductsRL34420\_20080319
    - j.  Causes of the Financial Crisis R40173\_20100409
    - k.  CFR\_SS\_2008\_Calem Freddie and Fed analysis Securitization
    - l.  Covered Bonds An Alternative to Securitization RS22925\_20080801
    - m.  Credit Default Swaps Frequently Asked Questions RS22932\_20080730
    - n.  CRSreport Securitization and Federal Regulation of Mortgages for Safety and Soundness September 17,
    - o.  Did Securitization Lead to Lax Screening SSRN-id1093137[1]
    - p.  fha
    - q.  Final\_Report\_New\_Century
    - r.  Financial Crisis The Liquidity Crunch RL34182\_20070921
    - s.  GAO Characteristics and Performance of Nonprime Mortgages July 2009
    - t.  Lessons from the subprime meltdown SSRN-id1070833[1]
    - u.  Mason\_Rosner MBS and CDO problem February 2007
    - v.  Prime or not so prime 2006

- w.  R40173\_20100409
- x.  Securitization July 2010
- y.  Securitization losses its toxic status on regulatory front june 05 2009
- z.  Securitization Searching for Lessons SSRN-id1293169[1]
- aa.  SECURITIZATION SSRN-id1462895[1]
- ab.  Securitization Summary
- ac.  SSB Guide to MBS and ABS
- ad.  SSB Guide to MBS and ABS (2001 but informative)
- ae.  Subprime Mortgage Options RL34423\_20080325
- af.  the Role of Securitization SSRN-id1464627[1]
- ag.  The Securitization of Mortgage Fraud SSRN-id1612330[1]
- ah.  UBS Subprime Market Events credit rating downgrades expected 20070508st
- ai.  Understanding Mortgage Foreclosure Recent Events, the Process, and Costs RL34232\_20071105
- aj.  Understanding the Securitization of Subprime Mortgage Credit SSRN-id1071189[1]
- 7.  Altman
- 8.  Ameriquest \$325 million fine
- 9.  Bank of America Peer Stock Price Chart
- 10.  Big3RevenueVisualforHAWStatement
- 11.  Citigroup notes Borgers
- 12.  Confidential\_Presentation\_to\_Moodys\_Board\_of\_Directors\_October\_2007
- 13.  Credit\_Agencies\_Hearing\_HAW\_Statement\_10.22.08
- 14.  Deven\_Sharma\_Written\_Statement\_10\_22\_08
- 15.  Egan\_Statement
- 16.  E-mail\_from\_Belinda\_Ghetti\_to\_Nicole\_Billick\_et\_al.\_December\_16\_2006
- 17.  E-mail\_from\_Christopher\_Mahoney\_to\_Mark\_Almeida\_et\_al.\_November\_16\_2007
- 18.  E-mail\_from\_Frank\_Raiter\_to\_Richard\_Gugliada\_et\_al.\_March\_20\_2001
- 19.  E-mail\_from\_Mary\_Elizabeth\_Brennan\_to\_Moodys\_Subprime\_Working\_Group\_July\_11\_2007
- 20.  E-mail\_from\_Raymond\_McDaniel\_to\_Mark\_Almeida\_July\_13\_2007
- 21.  Financial Results in 2007 Bank of America
- 22.  Fitch\_Rule\_XI\_2g\_Disclosure
- 23.  Fons\_Ratings\_White\_Paper
- 24.  Fons\_Testimony
- 25.  Hearing One Bank of America Draft 4
- 26.  House Oversight Committee
- 27.  Instant\_Message\_Conversation\_between\_Shannon\_Mooney\_and\_Ralul\_Dilip\_Shah\_April\_5\_2007
- 28.  Joynt\_Testimony
- 29.  Mark Froeba and Gene Phillips - Interview of April 27 2010
- 30.  Mark Froeba and Gene Phillips - Interview of April 27 2010 (3)
- 31.  McDaniel\_Statement
- 32.  Moody potential witness list
- 33.  Moody's Documents Emails and Sources- Public and Confidential 24 May 2010 (2)
- 34.  Moodys\_Town\_Hall\_Transcript\_September\_2007
- 35.  Oversight Committe press release on September 29,2009
- 36.  PMI and Financial Institution Put Back Draft Write-up November 3 2010
- 37.  RaiterTestimony
- 38.  Sali\_Statement
- 39.  securitization hearing 7 October 2009 Senator Jack Reed
- 40.  Shit yourself in a haunted house in each borough
- 41.  test
- 42.  Town\_Statement
- 43.  Witness\_List
- BM.  TGREENE
  - 1.  Rating Agency Hearings Memo
- BN.  TKREBS
  - 1.  2010-06-03 RMBS Memo
  - 2.  Additions to Bear Stearns timeline















































3. Bear Stearns Memo 3-11-2010
4. Bear Stearns timeline
5. Breach of Representatives - Countrywide Notes
6. CDS International
7. Confidential Work Plan - Securitization - 6-17-10
8. Goldman Sachs Notes - T. Krebs
9. Notes regarding engagements by issuers
10. Securities Lending - Tom K's Notes
11. Shadow Banking Memo 1 25 2010
























































BO. TSTANTON

1. GSE readings
  - a. Committee Documents 1
  - b. Committee documents 2
  - c. Committee documents 3
  - d. Committee documents 4
  - e. Fannie, Freddie Influence 2006
  - f. FNMA bylaws 01-2009 -- director indemnification highlighted
  - g. FRE ignored warnings NYT 08-05-2008
  - h. Freddie CEO -- strain of twin missions 08-06-2008
  - i. GAO report on future of the GSEs 09-2009 d09782
  - j. OFHEO staffing 1993
  - k. PAR -- GSEs 07-2009
  - l. PAR -- life cycle of GSEs 09-2007
  - m. partnership offices 1996
  - n. The Failure of Fannie Mae and Freddie Mac -- prepublication draft 09-2009
  - o. Title12-Chapter46
2. Subprime docs
  - a. GSE readings
  - b. Demyanyk and Van Hemmert on subprime 2008
  - c. FRBNY -- subprime mortgage securitization 2008
  - d. Gramlich speech on predatory lending 10-09-2003
  - e. keys mukherjee 2008 securitization and subprime
  - f. Margot Saunders NCLC 2003
  - g. Mian and Sufi -- consequences of mortgage credit expansion 2008
  - h. Mudd testimony on subprime 2007
  - i. Sherlund on subprime FRB 2008
  - j. SubprimeReport -- canada vs US 2007

BP. VCunicelli







1. FCIC
  - a. ABA TF
    1. ABA Task Force on Causes of Financial Crisis
    2. ABA Task Force on Reg Reform - Recommendations re Banking Organization Support for Affiliated Funds
    3. ABA Task Force on Reg Reform - Recommendations re Procyclical Accting Policies
    4. ABA Task Force on Reg Reform - Recommendations re Resolutions of Financial Institutions
    5. ABA Task Force on Reg Reform - Recommendations to Mitigate Systemic Risks
  - b. Citi
    1. Chron
      - a. Brook's Timeline Submission - Corporate Audit and Risk Management Committee
      - b. Citi Chron 2.17 updates[1]
      - c. Citi Chron Current
      - d. Citi Chronology
      - e. Citi Timeline Current
    2. Interview memos-transcripts
      - a. 2010 02 01 Telecon with Federal Reserve re Citigroup
      - b. 2010 02 01 Telecon with OCC re Citigroup
      - c. 2010.01.20 Memo re Bondi call (briefing by Citi counsel) KD

- d.  2010.02.03 Memo re Interview with Susan Mills KD
  - e.  2010.03.16 Cunicelli memo re call with D. Lipshaw
  - f.  2010.03.19 Memo re Telephonic interview R. Auerbach
  - g.  2010.03.9 Cunicelli memo re call with J. O'Reilly
  - h.  2010-03-17 V Cunicelli Memo re call with P. Lindsay
  - i.  2010-03-19 REVIEWED MFR of Interview with Steve Castelo, New Century Financial Corp., regarding sales and appraisals
  - j.  Bowen\_Transcript SEC
  - k.  Bowen\_Transcript\_Prt\_2 SEC
  - l.  Memo to File Counsel Bowen
3.  10-K 2002
  4.  10-K 2003
  5.  10-K 2004
  6.  10-K405 2000
  7.  10-K405 2001
  8.  2010-02-27 Team 2 Interview with Dick Bowen
  9.  2010-03-21 Invitation Ltr to Patricia Lindsay
  10.  ANPRM - Fincen April 2003
  11.  Bowen\_SOX\_Complaint\_w\_Attachment
  12.  Citi says street happy with loans they are not comfortable with
  13.  CitiFi CEO Invitation Letter
  14.  CitiFinancial Cheatsheet
  15.  CitiFinancial Overview(1)
  16.  Citigroup\_Datamonitor April 2009
  17.  Consolidated Amended Class Action Complaint
  18.  C-Transcript-2007-11-05T13\_00
  19.  DRAFT Let and THIRD Doc Request to Citi 2-8-10
  20.  FCIC Hearing document
  21.  History CitiFinancial From Their Website
  22.  Interrogatory Response from CITI
  23.  Memo Telecon Citi Attys 03-15-2010
  24.  Mortgage Bankers Assn Response to FINCEN ANPRM June 2003
  25.  National Assn of Mortgage Brokers Response to FINCEN ANPRM - June 2003
  26.  National Assn of Realtors Response to FINCEN ANPRM - November 2003
  27.  Org Chart 2CITI-FCIC 00086374
  28.  Org chart 3CITI-FCIC 00086375
  29.  Org Chart CITI-FCIC 00086373
  30.  Questions for Richard Bowen
  31.  Questions Patricia Lindsay
  32.  SIGTARP Audit re big banks
  33.  SSRN-id1544927
  34.  testimony-030410-pandit[1]
  35.  Witness Bios
- c.  CRAs
1.  2010-03-17 V Cunicelli Memo to File Interview Andrew Feldstein
  2.  2010-04-28 Draft Noel Kirnon Subpoena
  3.  2010-04-28 Draft Richard Michalek Subpoena
  4.  2010-05-28 MFR G. Witt
  5.  chron Word version DRAFT
  6.  CRA Report Draft Dubas-Cunicelli wme
  7.  CRA Timeline
  8.  House Subcommittee Summary CRAs 9-07
  9.  Jerome Fonds Info
  10.  kolchinsky
  11.  McCleskey Compliance Concerns
  12.  Moody's Formers



























13.  Questions for Dr. Witt
  14.  Quotes re Clarkson
  15.  Quotes re R. McDaniel
  16.  R & R Recommended questions for Moody's
  17.  RIR Draft Market Share
  18.  RIR salient quotes
  19.  Siegel-Stein Interview Summary
  20.  Summary of PSI Docs
  21.  Witt Bullet Points
- d.  Securitization
1.  2010-06-16 MFR for Josh Silver (NCRC)
  2.  2010-06-30 MFR of Interview with Allen Fishbein FRB
  3.  2010-07-19 MFR Ed Pinto DRAFT
  4.  2010-08-17 MFR of Interview with Mary Haggerty JPM
  5.  2010-08-24 MFR with Nick Verbitsky
  6.  2010-09-09 MFR of Interview with Michael Brennan FDIC
  7.  2010-09-09 MFR of Interview with Sonia Garcia FDIC
  8.  2010-09-23 MFR with MGIC personnel
  9.  2010-09-29 MFR with John Mongelluzzo
  10.  2010-11-02 MFR with K. Porter
  11.  Acquisitions reference mats
  12.  Acquisitions source doc
  13.  Article How HUD Mortgage Policy Fed the Crisis
  14.  Citation outline
  15.  Clayton Doc Summary
  16.  Corruption at Bottom (brokers)
  17.  CRA reference mats
  18.  Doc Request NY AG
  19.  Fannie Doc Review Summary
  20.  Follow-up letter B of A
  21.  Follow-up Request JPM
  22.  GS Doc Review
  23.  JPMorgan CRA Questions
  24.  New York Trust law
  25.  PMI Rollup
  26.  Questions Clayton Personnel
  27.  Questions Josh Silver
  28.  Relaxation of UW
  29.  Request for Interview - former Fremont officials via email
  30.  Subprime Orig Stats
  31.  TOPIR securitization (Revised) (2) TLK Changes
- e.  1. Economists Roundtable with FCIC- October 20 2009\_background material
- f.  11. Omnibus\_Work\_Plan
- g.  2. Martin\_Baily\_Response
- h.  2009-11-17 Transcript Ben Bernanke closed-door interview
- i.  2010-03-23 MFR with A. Manning
- j.  2010-03-23 MFR with M. McDowell
- k.  2010-04-19 MFR with J. Eisbruck
- l.  2010-05-07 MFR with Richard Cantor
- m.  2010-05-27 MFR with J. Rutherford
- n.  2010-07-02 MFR with Stan Liebowitz
- o.  3. FRP-POLICY-STATEMENT-FINAL
- p.  4. Zingales\_response
- q.  5. Responses\_Hal\_Scott
- r.  6. Responses\_Joseph\_Stiglitz

- s. 7. Responses\_John\_Taylor
- t. 8. Background Material\_ FCIC Dinner with Moss and Reinhart. Nov 16 2009
- u. 9. Transcript\_ Closed Session Meeting with Geithner\_Nov 17 2009
- v. Bondi Letter to Citi 3-10-10
- w. Fact Check
- x. FCIC Interview Preamble
- y. New York Trust law
- z. Search Terms
- aa. sf1164[1]
- 2. fact check
- BQ. WEDELBERG
  - 1. RIP drafts
    - a. Shadow Banking RIP wme
  - 2. 9 28 notes
  - 3. Commissioner proposal
  - 4. Commissioner\_Working\_Groups\_with\_staff\_point\_person\_wme
  - 5. Draft2 Hearing 55a wme
  - 6. Draft3 Hearing 55a wme
  - 7. FCIC\_Hearings\_H5\_Derivatives RIP 2 24
  - 8. FCIC\_Hearings\_H5A\_Credit Rating Agencies RIP 2 24
  - 9. follow\_up\_forum\_questions
  - 10. friday
  - 11. Graham hypotheses
  - 12. H4 outline wme
  - 13. Johnson
  - 14. Johnson
  - 15. Johnson v3
  - 16. junk
  - 17. junk
  - 18. knee notes 2 10
  - 19. ND New Document
  - 20. omni\_plan\_with\_track\_changes
  - 21. omnibus\_draft\_2\_16\_tracked
  - 22. Omnibus\_Work\_Plan\_2\_12\_COB
  - 23. Omnibus\_Work\_Plan\_2\_14\_tracked
  - 24. Shadow Banking RIP and hearing draft
  - 25. tttest
- BR. WMcNiel
  - 1. Completed Interview Clearances
  - 2. Completed Interview Clearances Part 2
  - 3. Completed Interview Clearances Part 3
  - 4. Completed Interview Clearances Part 4
  - 5. Completed Interview Clearances Part 5
  - 6. Reviewed Interview Materials JP Morgan & Bear Stearns
- BS. 2010-10-27- Section III, Ch. 1. - MS edits on BSAM
- BT. 2010-12-2 (1700) - Section III, Ch. 4 - cut BSAM
- BU. 8.29 riders
- BV. best of Las Vegas hearing
- BW. best of shadow banking hearing
- BX. February Referral Letter
- BY. Follow-Up Goldman Rogs\_5-11-10
- BZ. German Confidentiality Agreement
- CA. Gordon Shemin Contract
- CB. gw vs. others
- CC. January 2011 Written Consent
- CD. List of Individuals Appearing in Report

























- CE.  Mukasey outline (10-20-10)
- CF.  Net Capital Comparative Analysis under CSE Program
- CG.  Revised Questions for Cox and Donaldson
- CH.  Risk Factors for Bear in Nov 2007 10-K
- CI.  Susan Baltake Amendment
- CJ.  WACHOVIA MEMO

VI.  Witness List

- A.  Author List\_7.16.10
  - 1.  author list 7.19.10
- B.  FCIC Master Contact List for 02 02 2011
- C.  FCIC Master Contact List for 02 04 2011
- D.  FCIC Master Contact List for 02 08 2011
- E.  FCIC Master Contact List for 10 11 10
- F.  FCIC Master Contact List for 10 18 10
- G.  FCIC Master Contact List for 10 23 10
- H.  FCIC Master Contact List for 10 29 10
- I.  FCIC Master Contact List for 11 05 10
- J.  FCIC Master Contact List for 11 12 10
- K.  FCIC Master Contact List for 11 22 10
- L.  FCIC Master Contact List for 11 29 10
- M.  FCIC Master Contact List for 12 06 10
- N.  FCIC Master Contact List for 12 12 10
- O.  FCIC Master Contact List for 12 19 10
- P.  FCIC Master Contact List for 12 23 10
- Q.  FCIC Master Contact List for 3-18-10
- R.  FCIC Master Contact List for 4-21-10
- S.  FCIC Master Contact List for 6-16-10
- T.  FCIC Master Contact List for 7-20-10
- U.  FCIC Master Contact List\_10 04 10
- V.  FCIC Master Contact List\_8 17 10
- W.  FCIC Master Contact List\_8 2 10
- X.  FCIC Master Contact List\_9 10 10
- Y.  Interview List Template

VII.  Witness Audio-MFR-Transcript

- A.  chris swecker
- B.  from kim
  - 1.  edited
- C.  January - June 2010
  - 1.  2009 12 28\_Notes\_Mark Calabria Interview
  - 2.  2009-11-23 REVIEWED Bob Gulian & Joe Gentili, Florida AG's office
  - 3.  2009-12-28 MFR for Interview of Mark Calabria
  - 4.  2010 01 21\_MFR\_Christine Napolitano
  - 5.  2010 01 26\_MFR\_James Burkeen
  - 6.  2010 02 01\_MFR\_Notes\_Mike Halloran
  - 7.  2010 02 01\_Notes\_James Burkeen
  - 8.  2010 03 24\_MFR\_Bill DeLeon
  - 9.  2010-01-22 K Dubas Memo re Bondi Call with M Macchioroli (SEC) (copy)
  - 10.  2010-01-22 MFR\_NCRC
  - 11.  2010-01-29 REVIEWED MFR Adolfo Marzol
  - 12.  2010-02-01 D Noonan memo re Telecon with OCC re Citigroup (copy)
  - 13.  2010-02-01 MFR for Interview of James Burkeen
  - 14.  2010-02-02 K Dubas memo re Interview with Bill Arnold (Citi) REVIEWED
  - 15.  2010-02-02 K Dubas memo re Interview with Janice Warne (Citi) REVIEWED
  - 16.  2010-02-02 K Dubas memo re Interview with Kevin Murray and Joe Forlenza (Citi) REVIEWED
  - 17.  2010-02-02 K Dubas memo re Interview with Matt Bollo (Citi) REVIEWED
  - 18.  2010-02-02 K Dubas MFR re Interview with Pete Steinmetz (Citi) REVIEWED

19.  2010-02-03 K Dubas memo re Interview with Susan Mills (Citi) 2 REVIEWED
20.  2010-02-03 K Dubas MFR re Interview with Ed Abufaris and Tony Tenore (Citi) (copy)
21.  2010-02-03 K Dubas MFR re Interview with Fred Bolstad (Citi) REVIEWED
22.  2010-02-03 K Dubas MFR re Interview with James Ryan and John Schachtel (Citi) REVIEWED
23.  2010-02-03 K Dubas MFR re Interview with Phil Seares (Citi) REVIEWED
24.  2010-02-03 REVIEWED Dan Hoffman, Citi
25.  2010-02-09 D Norman memo re SEC Citi Enforcement Team
26.  2010-02-17 B Bondi call with SEC (M Eichner) re broker-dealers
27.  2010-02-22 Draft Partnoy MFR (copy)
28.  2010-02-23 Fidelity Investments
29.  2010-02-23 Interview with Merrill Lynch MFR (copy)
30.  2010-02-24 D Norman memo re FINRA Citi Enforcement
31.  2010-02-24 D Norman memo re SEC Enforcement of Citi CDOs-2
32.  2010-02-24 D Norman memo re SEC Enforcment Citi SIV Team
33.  2010-02-24 SEC Scheidt and Plaze
34.  2010-02-25 - Lucinda Brickler - notes (GF-RC-LS)
35.  2010-02-25 - MFR - Lucinda Brickler
36.  2010-02-25 Memo re B Bondi call with L Brown (Citi) re CRA REVIEWED
37.  2010-02-25 MFR Michael Greenberger (Maryland Law School)
38.  2010-02-27 Team 2 Interview with Dick Bowen (copy)
39.  2010-03-01 US Chamber re commercial paper (copy)
40.  2010-03-02 Memo re Testimony with Murray Barnes REVIEWED
41.  2010-03-02 Memo re Testimony with Nestor Dominguez REVIEWED
42.  2010-03-03 G Feldberg memo re interview with Hewko and Merriett (FRB) re accounting, capital
43.  2010-03-03 Memo re Testimony with Randy Barker REVIEWED
44.  2010-03-03 Team 2 Interview with Edward J. Kelly III
45.  2010-03-04 Call with JPMorgan (copy)
46.  2010-03-04 Memo re Interview with Fidelity Investments (copy)
47.  2010-03-04 Team 2 Interview with Brian R. Leach
48.  2010-03-04\_Notes\_Michael Lewis
49.  2010-03-05 ICI MFR (copy)
50.  2010-03-09 V Cunicelli memo re call with J. O'Reilly (New Century)
51.  2010-03-10 Transcript of Interview with Tom Maheras (Condensed format)
52.  2010-03-11 Call with BNYM re Repo (copy)
53.  2010-03-11 K Dubas memo re interview with Vikram Pandit REVIEWED
54.  2010-03-11 Memo re mtg with David Kotz and Pete Kyle (copy)
55.  2010-03-11 MFR Philippa Malmgren
56.  2010-03-11 Transcript of Interview with Robert Rubin (condensed format)
57.  2010-03-12 FCIC-Scott's notes from Interview with Scott Waterhouse, OCC
58.  2010-03-12 REVIEWED MFR Austin Kelly
59.  2010-03-12 Scott's notes from interview with John C. Dugan, OCC
60.  2010-03-15 FDIC Interview (copy)
61.  2010-03-15 MFR for State Street re Repo and CP (copy)
62.  2010-03-16 - IIE (Bergsten, Cline, Goldstein, Hufbauer, Johnson, Weisman, Williamson) - notes (GF, RC, LS)
63.  2010-03-16 - MFR - IIE (Bergsten, Cline, Goldstein, Hufbauer, Johnson, Weisman, Williamson)
64.  2010-03-16 Background interview with FRBNY Lubke (copy)
65.  2010-03-16 Team 2 Interview of Mickey Bhatia
66.  2010-03-16 V Cunicelli memo re call with D Lipshaw (New Century)
67.  2010-03-17 Transcript of Interview with Charles Prince (condensed version)
68.  2010-03-18 D Norman MFR re Interview with Ellen Bebe Duke REVIEWED
69.  2010-03-18 MFR of Interview with M Macchiaroli re CSE and Lehman (copy)
70.  2010-03-19 D Noonan Interview with R Spillenkothen
71.  2010-03-19 K Dubas memo re interview with 36CFR1256.56: Privacy
72.  2010-03-19 V Cunicelli Memo re Interview of R Auerbach (New Century)
73.  2010-03-20 Mfr Warren Spector (copy)

74.  2010-03-22 REVIEWED MFR with Jeremy Burcham, former Ameriquest and other lenders
75.  2010-03-23 D Noonan Interview with Scott Alvarez and Kiernan Fallon
76.  2010-03-23 G Feldberg MFR re interview with Fed's Alvarez
77.  2010-03-23 REVIEWED MFR Mark Winer
78.  2010-03-23 Team 2 Interview with Amy Manning
79.  2010-03-23 Team 2 Interview with Mary McDowell
80.  2010-03-24 B Bondi Interview of John Reed
81.  2010-03-24 B Bondi Interview with John Reed (copy)
82.  2010-03-24 MFR Mtg with Bankruptcy Examiner (copy)
83.  2010-03-24 MFR of interview with B De Leon (PIMCO) (copy)
84.  2010-03-24 MFR of Interview with Josh Rosner (Graham Fisher)
85.  2010-03-24 Team 2 Interview with Patricia Lindsay
86.  2010-03-25 Call with Reserve Primary Fund (copy)
87.  2010-03-25 Interview notes Kevin Coffey (FRBNY) (copy)
88.  2010-03-26 DRAFT MFR Dan Sokolov
89.  2010-03-26 DRAFT MFR Glenn Canner
90.  2010-03-26 Interview notes for Jean-Marie Davis and Brian Peters (FRBNY) (copy)
91.  2010-03-26 REVIEWED MFR of Interview with Dan Mudd
92.  2010-03-29 Memo for Call with KBass of Hayman Capital (copy)
93.  2010-03-29 REVIEWED Sheila Bair, FDIC
94.  2010-03-29\_Notes\_John Gordon Steele Interview
95.  2010-03-30 D Noonan Interview of Patrick Parkinson
96.  2010-03-30\_S Tang\_MFR\_of J. Dugan Interview
97.  2010-03-31 G Feldberg MFR re interview with Norah Barger
98.  2010-03-31 Interview with David Gussmann (FNMA) - Reviewed
99.  2010-03-31 REVIEWED Alan Greenspan Interview, Fed
100.  2010-04-01 D Noonan Interview of Glenn Loney
101.  2010-04-01 D Noonan Interview of Jim Michaels
102.  2010-04-01 D Noonan Interview of Sandra Braunstein
103.  2010-04-01 G Loney MFR
104.  2010-04-01 MFR for Mark Barber (GE Capital) (copy)
105.  2010-04-01 MFR of Interview with Annette Nazareth (copy)
106.  2010-04-01 MFR of Interview with Eric Sirri (SEC)
107.  2010-04-01 Transcript of Interview with David Bushnell (condensed version)
108.  2010-04-02 MFR for Secretary Paulson (Treasury)
109.  2010-04-02 MFR of Interview with Michael Raynes (Citi) REVIEWED
110.  2010-04-06 Interview with Mike Quinn (FNMA)
111.  2010-04-06 Memo re Interview with ISDA (copy)
112.  2010-04-06 MFR of Interview at SEC (copy)
113.  2010-04-06 REVIEWED Interview with Mike Quinn (FNMA)
114.  2010-04-08 MFR re Interview with Michael Alix (Bear Stearns) (copy)
115.  2010-04-08 Team 3 Interview of Harvey Goldschmid
116.  2010-04-09 MFR Call with Matt Eichner re CSE Program
117.  2010-04-09 MFR Call with Matt Eichner re CSE Program (copy)
118.  2010-04-09 MFR of Interview with Ernst and Young (copy)
119.  2010-04-09 MFR of Interview with Sam Molinaro (copy)
120.  2010-04-09 MFR with Tom LaMalfa & Ed Pinto
121.  2010-04-12 Interview notes - Michael Greenberger - Derivatives
122.  2010-04-13 MFR of Interview with E& Y
123.  2010-04-13 MFR of Interview with Robert Upton (copy)
124.  2010-04-16 K Dubas MFR with Bart McDade (Lehman) (copy)
125.  2010-04-16 MFR Interview Steve Meier
126.  2010-04-16 Team 2 Interview of Scott McCleskey
127.  2010-04-19 FCIC memo of staff interview with Mike Macchiaroli, SEC, rating agencies(2)
128.  2010-04-19 K Dubas MFR of Interview with Tom Marano (Bear) (copy)

129.  2010-04-19 Team 2 Interview of Jay Eisbruck
130.  2010-04-20 Ira Wagner MFR
131.  2010-04-20 Memo re Interview with Theo Lubke (FRBNY) (copy)
132.  2010-04-20 MFR of Interview with Josh Anderson (PIMCO)
133.  2010-04-21 MFR of Jimmy Cayne (Bear Stearns) (copy)
134.  2010-04-21 Transcript of Gary Witt, former Moody's VP
135.  2010-04-22 McWilliams MFR Rutledge R&R Consulting
136.  2010-04-22 MFR Interview with C Mewbourne (PIMCO)
137.  2010-04-22 MFR of Interview with Helen Mucciolo (FRBNY) (copy)
138.  2010-04-22 MFR of Interview with Steve Meyer (Bear) (copy)
139.  2010-04-22 REVIEWED, Jamie Mai & Ben Hockett, Cornwall Capital
140.  2010-04-22 Steve Eisman MFR
141.  2010-04-22 Team 2 Interview of Jerome Fons
142.  2010-04-23 FCIC memo of staff interview with Joseph St. Denis, American International Group, Inc.
143.  2010-04-23 MFR for Paul McCulley (PIMCO) (copy)
144.  2010-04-23 MFR of Alan Schwartz (Bear)
145.  2010-04-23 MFR W. Ackman
146.  2010-04-23 REVIEWED Lou Lebedin, Bear Stearns
147.  2010-04-23 S Tang\_MFR\_SEC Phone Interview re GE Capital Investments
148.  2010-04-26 MFR David Kotz (IG of SEC)
149.  2010-04-26 MFR of interview George O'Connell (CT AG)
150.  2010-04-26 REVIEWED Kyle Bass, Hayman Capital
151.  2010-04-26 Team 2 Interview of George O'Connell
152.  2010-04-27 REVIEWED Michael Finn and Alexandra Luk, OTS re GE Capital
153.  2010-04-27 Transcript of Interview with Eric Kolchinsky
154.  2010-04-28 B Bondi Interview of Alan Fishbein
155.  2010-04-28 G Feldberg MFR re interview with Chris Laursen (copy)
156.  2010-04-28 REVIEWED Dick Fuld, Lehman
157.  2010-04-29 MFR of Paul Friedman (Bear)
158.  2010-04-29 MFR of William Donaldson (SEC)
159.  2010-04-30 K Dubas memo re phone call with Kyle Bass (copy)
160.  2010-05 FCIC Interview of Steve Kohlhagen
161.  2010-05-03 MFR with Michael Neal
162.  2010-05-03 Scott Eichel
163.  2010-05-03 T Krebs interview of Scott Eichel
164.  2010-05-04 - UNREVIEWED transcript of John Reich interview
165.  2010-05-04 B Bondi interview of David Teischer
166.  2010-05-04 MFR for Interview with Andrew Feldstein
167.  2010-05-04 REVIEWED MFR for Bob Behal, Vanguard Investments senior analyst and co-head of credit research
168.  2010-05-05 Interview with Arturo Cifuentes (Moody's)
169.  2010-05-05 REVIEWED MFR with Elise Bean and Chris Barkley, staff of Senate Permanent Subcommittee on Investigations (PSI)
170.  2010-05-07 FCIC Interview of Satyajit Das
171.  2010-05-10 interview former moodys Jerome Fons MFR
172.  2010-05-11 B Bondi Interview of Michael Kanef
173.  2010-05-11 B Bondi Interview of Nicolas Weill
174.  2010-05-11 G Feldberg MFR re interview with Adam White
175.  2010-05-11 G Feldberg MFR re interview with Bob Litan
176.  2010-05-11 REVIEWED MFR Richard Bookstaber, consultant on derivatives to SEC
177.  2010-05-11 Transcript of D Noonan Interview of Alan Frost
178.  2010-05-11 Transcript of Gary Gorton Interview by D Noonan
179.  2010-05-12 FCIC Interview of Emanuel Derman
180.  2010-05-12 G Feldberg MFR re interview with Emanuel Derman
181.  2010-05-13 FCIC Interview of Gus Harris
182.  2010-05-13 G Feldberg MFR re interview with Henry Hu

183.  2010-05-13 interview Vanguard Bob Behal MFR
184.  2010-05-13 MFR for Follow-up Interview Gary Witt
185.  2010-05-14 C Seefer interview of Gary Gensler (3)
186.  2010-05-14 Chairman Gary Gensler Interview Transcript
187.  2010-05-14 FCIC Interview of Gary Gensler
188.  2010-05-14 FCIC Interview of Henry Albuлесcu
189.  2010-05-14 G Feldberg MFR re interview with Darrell Duffie
190.  2010-05-14MFR Gary Gensler Interview
191.  2010-05-16 FCIC Interview of Anthony Sanders
192.  2010-05-16 Prof Anthony Sanders Interview
193.  2010-05-17 B Bondi interview of Yuri Yoshizawa
194.  2010-05-17 B Bondi interview of Yuri Yoshizawa
195.  2010-05-17 G Feldberg MFR re interview with Anthony Sanders
196.  2010-05-17 Yuri Yoshizawa
197.  2010-05-18 D Noonan Interview of Gene Park
198.  2010-05-18 D Noonan Interview of Hank Greenberg
199.  2010-05-18 MFR of Interview with Dr. Michael Burry
200.  2010-05-19 G Feldberg MFR re interview with Martin Mayer
201.  2010-05-20 - MFR of interview with Eugene Xu of DB
202.  2010-05-20 - MFR of interview with Greg Lippmann of DB
203.  2010-05-20 D Noonan Interview of E Xu
204.  2010-05-20 Greg Lippmann, Deutsche Bank transcript
205.  2010-05-20 Interview notes - FRB Van der Weide - Background sources
206.  2010-05-20 Transcript of B Bondi Interview with Brian Clarkson
207.  2010-05-21 Bane
208.  2010-05-21 FCIC Interview Highlights of Ray McDaniel
209.  2010-05-24 Interview notes - Kohlhagen - Derivatives
210.  2010-05-25 Fcic interview of Jay Siegel
211.  2010-05-25 FCIC staff interview of Amy Heinz and Joan Ferenczy\_Additioanl Documents
212.  2010-05-26 FCIC interview of Warren Buffett
213.  2010-05-26 Transcript of Interview with Warren Buffett
214.  2010-05-26 Transcript of R Bubb interview with Roger Stein
215.  2010-05-27 FCIC Interview of John Rutherford
216.  2010-05-27 G Feldberg MFR re interview with Bob Pickel
217.  2010-05-27 G Feldberg MFR re interview with Gary Gensler
218.  2010-05-27 MFR for Interview with Mike Schloessmann (Countrywide)
219.  2010-05-28 Transcript Lawrence Summers - Confidential
220.  2010-05-28 Transcript of FCIC Interview with Dr. Summers
221.  2010-06-04 MFR Interview with Eric Dinallo
222.  2010-06-04 MFR of SEC Briefing re AIG
223.  2010-06-07 REVIEWED MFR Charles Gates
224.  2010-06-08 FCIC memo of staff interview with D. Keith Johnson, Clayton Holdings LLC
225.  2010-06-08 K Dubas MFR of Interview with Keith Johnson (Clayton Holdings)
226.  2010-06-11 MFR Jeff Gates
227.  2010-06-15 MFR of Interview with Robert Lewis
228.  2010-06-16 B Bondi Interview with Josh Silver (NCRС)
229.  2010-06-16 Dan Sparks Interview KLS handwritten notes
230.  2010-06-16 K Dubas MFR for Josh Silver (NCRС)
231.  2010-06-16 MFR of C Seefer Interview with Lloyd Blankfein
232.  2010-06-16 Transcript of Lloyd Blankfein Interview
233.  2010-06-17 FCIC staff audiotape of interview with Craig Broderick, Goldman Sachs (Part 1)
234.  2010-06-17 MFR of Interview with C Broderick
235.  2010-06-17 MFR of Jonathan Egol Interview
236.  2010-06-17 of Transcript Martin Sullivan [AIG] Interview
237.  2010-06-18 MFR of Interview with OCC

238.  2010-06-21 Jake Sun MFR (AIG FP General Counsel)
239.  2010-06-22 MFR of T Stanton Interview with Anurag Saxena (Freddie)
240.  2010-06-23 Transcript of Interview with A Forster (AIG)
241.  2010-06-25 Draft Transcript of Joe Cassano Interview
242.  2010-06-25 MFR of Joe Cassano Interview
243.  2010-06-30 MFR of Interview with Allen Fishbein FRB
244.  2010-07-29 Interview with FRB Officials re 13(3)
245.  2010-07-31 - Transcript of Norah Barger interview
246.  2010-08-09 Notes from Interview of Frank P. Philipps
247.  2010-08-18 MFR of FCIC staff interview with Gary Crabtree
248.  2010-08-20 FCIC staff interview with Jeannie McDermott
249.  2010-08-20 MFR of FCIC staff interview of Jeannie McDermott
250.  2010-08-20 Notes from Interview of Chris Cruise
251.  2010-08-24 MFR of Tom Borgers with Nick Verbitsky
252.  2010-08-30 Notes from Interview of Donald Marron
253.  2010-08-30 Notes from Interview of Jon Greenlee
254.  2010-08-31 Notes from Interview of Prof. Sharpe
255.  2010-09-02 Notes from Interview of Roger Ehrnman
256.  2010-09-02 Notes from Interview of Wayne Rushton
257.  2010-09-08 Notes from Interview of Prof. Bill Black
258.  2010-09-08\_Interview\_notes\_BaFin
259.  2010-09-09 Notes from Interview of Sabeth Siddique
260.  2010-09-10 Notes from Interview of Margot Saunders and Diane Thompson
261.  2010-09-13 Additional Information from Nell Minow Interview
262.  2010-09-13 Additional Information from Nell Minow Interview(2)
263.  2010-09-13 Interview of Steven Einhorn, Omega & GS
264.  2010-09-13 Notes from Interview of Nell Minow
265.  2010-09-15 McWilliams MFR Nancy DiLaban Countrywide
266.  2010-09-16 Notes from Interview of Elizabeth Warren
267.  2010-09-16 Notes from Interview of Julia Gordon and Mike Calhoun
268.  2010-09-16 Notes from Interview with Kenneth Feinberg
269.  2010-09-17 Notes from Interview of Harvey Pitt
270.  2010-09-20 Notes from Interview of Fred Phillips-Patrick and Michael Solomon
271.  2010-09-20 Notes from Interview of Mark Hughes
272.  2010-09-20 Notes from Interview of Nicolas Retsinas
273.  2010-09-21 McWilliams MFR Rampino Fremont
274.  2010-09-21 Notes from Interview of Gene Ludwig
275.  2010-09-21 Notes from Interview of Tom Boemio
276.  2010-09-23 Notes from Interview of David Lynch
277.  2010-09-23 REVIEWED Jeffrey Lane, Mortgage Guaranty Insurance Co.
278.  2010-09-24 Notes from Interview of Nellie Liang and Daniel Covitz
279.  2010-09-27 DO NOT USE Interview of Sihan Shu, Paulson & Co
280.  2010-09-27 MFR of KLS interview of Sihan Shu
281.  2010-09-28 Interview Nestor Dominguez, Citi CDO - Part II
282.  2010-09-28 MFR of Interview with Port Authority of New York and New Jersey
283.  2010-09-28 MFR of KLS interview of Nestor Dominguez
284.  2010-09-28 Notes from Interview of Mel Martinez
285.  2010-09-28 Notes from Interview of Patrick McCabe and Michael Palumbo
286.  2010-09-29 Notes from Interview of Donald Bisenius
287.  2010-09-30 MFR of kls interview Jim Stehli UBS CDOs
288.  2010-09-30 Notes from Interview of Frank San Pedro
289.  2010-10-06 Notes from Interview of Alphonso Jackson
290.  2010-10-06 Notes from Interview of Susan Wachter
291.  2010-10-11 Notes from Interview of Susan Bies
292.  2010-10-12 Notes from the Interview of Henry Cisneros

- 293.  2010-10-13 FCIC transmittal letter June 7 risk pol June 23 2 3 8 wr
- 294.  2010-10-15 Notes from Interview of Prentice Cox
- 295.  2010-10-15 Notes from Interview of Steve Friedman
- 296.  2010-10-19 Notes from Interview of David Jones
- 297.  2010-10-20 Interview\_Additional Documents\_Michael Mukasey ACLR Essay Spring 2009
- 298.  2010-10-28 Interview of Gillian Tett\_Additional Materials\_Fool's Gold hb preface
- 299.  2010-10-29 Additional Documents\_Interview of Andrew Davidson\_SixDegreesofSeparationAug07
- 300.  2010-10-29 Additional Documents\_Interview with Andrew Davidson\_Credit\_Feb09
- 301.  2010-11-09 Responses from Franklin D Raines
- 302.  2010-4-8 John Chrin MFR
- 303.  d. 2010-04-01 MFR of Interview with Eric Sirri (SEC)
- 304.  MFR\_Notes\_Ray Romano
- 305.  MFR\_Notes\_Robin Seiler
- 306.  MFR\_Notes\_Robin Seiler2
- 307.  MFR\_Notes\_Steven Ashley
- 308.  Public Forum\_Submitted Testimony\_Vasile
- D.  swecker
- E.  2010-03-02 REVIEWED Jana Lujan, Clayton Holdings
- F.  2010-03-15 MFR INTERVIEW OF RICHARD BITNER
- G.  2010-03-16 REVIEWED Douglas Lipshaw, New Century Financial
- H.  2010-03-29 REVIEWED John Steele Gordon, historian
- I.  2010-05-25 FCIC staff audiotape of interview with Ed Parker, Ameriquest
- J.  2010-07-07 REVIEWED MFR Interview of Steven Cohen, Clayton Services Inc. counsel
- K.  2010-07-08 MFR Bondi Interview of C. Cain(SEC) reg FNMA & CW
- L.  2010-07-14 Interview with Madelyn Antoncic
- M.  2010-07-14 MFR of Bondi Interview of A. Plepler - BoA(CRA)
- N.  2010-07-15 MFR of Judiciary Committee Meeting
- O.  2010-07-16 MFR of Interview with FDIC (Cave, Wigand, Warren, Corston) re Wachovia
- P.  2010-07-20 MFR of Interview with Jon Rymer and Fred Gibson (FDIC OIG)
- Q.  2010-07-20 REVIEWED Ken Dost, whistleblower
- R.  2010-07-21 MFR of Interview with Fidelity
- S.  2010-07-22 Interview with Vicki Beal (Clayton)
- T.  2010-07-22 Vicki Beal MFR
- U.  2010-07-23 MFR of Interview with Ronald Marcus (OTS Examiner for Lehman)
- V.  2010-07-23 Michael Lewis MFR
- W.  2010-07-23--MFR--McDonald, Duff
- X.  2010-07-27 REVIEWED Bram Smith, Meredith Coffey, Elliot Ganz (LSTA)
- Y.  2010-07-28 MFR- Arnold Kling
- Z.  2010-07-28 MFR of C Seefer Interview with L Carter (OTS)
- AA.  2010-07-28--MFR--Jeff Madrick
- AB.  2010-07-29 - MFR of kls interview with Michael Swenson
- AC.  2010-07-29 - REVIEWED transcript of interview with Michael Swenson
- AD.  2010-07-29 - UNREVIEWED transcript of interview with Michael Swenson
- AE.  2010-07-30 - REVIEWED transcript of Lewis Ranieri interview
- AF.  2010-07-30 Lewis Ranieri MFR Draft
- AG.  2010-08-02 FCIC Interview of Barry Zubrow
- AH.  2010-08-02 FCIC Interview of Patrick McKenna
- AI.  2010-08-02 FCIC Interview of Roger Cole
- AJ.  2010-08-02 Interview with UBS
- AK.  2010-08-04 MFR of Interview with FRB OIG (Elizabeth Coleman, Anthony Castaldo, staff)
- AL.  2010-08-05 Kerry O'Neil MFR
- AM.  2010-08-05 MFR for Interview of FRBNY (A Angulo, B Rutledge, D Dobbeck) REVIEWED by Dubas
- AN.  2010-08-05 MFR of Interview with Harvey Miller (Lehamn bankruptcy lawyer, Weil Gotshal)
- AO.  2010-08-05 MFR of Interview with Rodgin Cohen (Sullivan Cromwell)
- AP.  2010-08-06 REVIEWED Darryll Hendricks, UBS and Tri-Party Repo Task Force

AQ.  2010-08-09 Interview with Bart McDade

AR.  2010-08-09 Interview with Bart McDade

AS.  2010-08-09 MFR of Interview with Dick Fuld

AT.  2010-08-10 Interview with Deborah Bailey (FRB)

AU.  2010-08-10 MFR Dodd Interview of Peter Shapiro - Swap Financial Group

AV.  2010-08-11 - Stroebel Interview of Jonathan Wood - Whitebox Advisors

AW.  2010-08-11 FCIC Interview of Tom Baxter

AX.  2010-08-11 MFR of Arthur Laffer interview

AY.  2010-08-12 MFR of Interview with Eric Flamholtz

AZ.  2010-08-13 B McWilliams MFR with Rich Westerkamp (Richmond Fed)

BA.  2010-08-16 - Interview of Raghunathan Lam Wells - Roc Capital

BB.  2010-08-16 FCIC staff audiotape of interview with Mark Zandi, Moody's Analytics

BC.  2010-08-16 Interview with Alex Kirk (Lehman)

BD.  2010-08-16 MFR -- Economist Mark Zandi

BE.  2010-08-17 FCIC Interview of Mark Zandi

BF.  2010-08-17 FCIC Interview of Mark Zandi (Complete)

BG.  2010-08-17 Interview with Owen Littman (Ramius)

BH.  2010-08-18 MFR Notes - Gary Crabtree

BI.  2010-08-18 MFR of Interview with Bob Steel (CEO of Wachovia)

BJ.  2010-08-18 MFR of Interview with Sheila Bair (Chairman of FDIC)

BK.  2010-08-19 Interview with Barry Zubrow

BL.  2010-08-19 Interview with Barry Zubrow

BM.  2010-08-19 Interview with Ian Lowitt (Lehman)

BN.  2010-08-19 Interview with Barry Zubrow

BO.  2010-08-19 MFR Richard Levy (Wells Fargo VP Controller)

BP.  2010-08-20 Interview with Tom Russo

BQ.  2010-08-23 Interview with Harbinger Part 1

BR.  2010-08-23 Interview with Skip McGee (Lehman)

BS.  2010-08-24 FCIC Interview of Satyajit Das

BT.  2010-08-24 FCIC phone interview Warren Peterson bakersfield witness

BU.  2010-08-24 MFR of Interview with Dick Fuld

BV.  2010-08-24 MFR of Interview with Pat Parkinson (FRB)

BW.  2010-08-24 MFR of Interview with Richard Kovacevich (Wells)

BX.  2010-08-24 MFR of Interview with Richard Kovacevich (Wells)

BY.  2010-08-25 Interview with Ian Lowitt (Lehman)

BZ.  2010-08-25 MFR Macroeconomist Dean Baker

CA.  2010-08-25 MFR of Interview of Rich Delmar (Treasury OIG) re IRS Notice 2008-83

CB.  2010-08-26 - Interview of Brian Taylor - Pine River Capital

CC.  2010-08-26 Interview with Commonwealth Bank of Australia

CD.  2010-08-26 McWilliams MFR Cohen Russell Funds

CE.  2010-08-26 McWilliams MFR G Dulski Federated Investors

CF.  2010-08-26 MFR James McIntyre Fremont Investment

CG.  2010-08-27 REVIEWED IKB interview

CH.  2010-08-31 Transcript of FCIC Interview of Dick Syron, fmr. Freddie Mac

CI.  2010-09-02 - REVIEWED transcript of Eugene Ludwig interview

CJ.  2010-09-02 MFR Keith Johnson

CK.  2010-09-02 Roger Ehrnman MFR

CL.  2010-09-03 Interview of Tom Whif - Morgan Stanley Prime Broker

CM.  2010-09-09 - Interview of David Belmont - UBS Prime Brokerage

CN.  2010-09-09 - REVIEWED transcript of Sabeth Siddique interview

CO.  2010-09-09 FCIC staff audiotape of interview with Sabeth Siddique, Federal Reserve Board (Part 1)

CP.  2010-09-09 Interview Sabeth Siddique, former Fed credit risk section asst. dir.

























































CQ.  2010-09-09 Interview with Dow Kim (ML)

CR.  2010-09-09 SHOULD BE DELETED --rough transcript, Interview of Sabeth Siddique (pro transcript exists)

CS.  2010-09-10 MFR - interview with Vincent Reinhart, former Fed












































CT.  2010-09-10 MFR interview with Charles Calomiris  
 CU.  2010-09-13 Interview of Steven Einhorn  
 CV.  2010-09-13 MFR - Steven Einhorn, Omega Advisors-former Goldman  
 CW.  2010-09-14 Interview with Jeff Kronthal (ML)  
 CX.  2010-09-15 Interview with Chris Ricciardi  
 CY.  2010-09-15 REVIEWED Nancy DiLaban, Countrywide  
 CZ.  2010-09-16 - UNREVIEWED transcript of Stanley O'Neal (Merrill Lynch) interview  
 DA.  2010-09-16 Interview with Stanley O'Neal  
 DB.  2010-09-17 - UNREVIEWED transcript of John Thain (Merrill Lynch) interview  
 DC.  2010-09-17 Interview with John Thain (ML)  
 DD.  2010-09-20 - UNREVIEWED transcript of Seth Carpenter interview  
 DE.  2010-09-22 - REVIEWED transcript of Herb Sandler interview  
 DF.  2010-09-23 John Taylor part 1  
 DG.  2010-09-23 John Taylor part 2  
 DH.  2010-09-23 MFR John Stumpf (CEO-Wells Fargo)  
 DI.  2010-09-24 - UNREVIEWED transcript of Angelo Mozilo interview  
 DJ.  2010-09-27 - UNREVIEWED transcript of interview with David Sambol  
 DK.  2010-09-29 FCIC staff audiotape of interview with Donald J. Bisenius, Freddie Mac  
 DL.  2010-10-01 FCIC Interview of Frederic Mishkin  
 DM.  2010-10-01 FCIC memo of staff interview with Shelly Parratt, Paula Dubberly, SEC  
 DN.  2010-10-01 MFR- Frederic Mishkin  
 DO.  2010-10-01 MFR Interview with Arthur Levitt (former SEC)  
 DP.  2010-10-04 - MFR Interview of Henry Tabe, former Moody's, regarding SIVs  
 DQ.  2010-10-04 - REVIEWED transcript of George Soros interview  
 DR.  2010-10-04 - REVIEWED transcript of George Soros interview  
 DS.  2010-10-04 FCIC Interview of Mark Olson  
 DT.  2010-10-04 MFR of Interview with George Soros  
 DU.  2010-10-04 MFR of Interview with Michael Steinhardt  
 DV.  2010-10-04 MFR of Interview with Sandy Weill  
 DW.  2010-10-05 - UNREVIEWED transcript of J. Christopher Flowers interview  
 DX.  2010-10-05 - UNREVIEWED transcript of Jim Chanos interview  
 DY.  2010-10-05 MFR Chanos  
 DZ.  2010-10-05 MFR of Interview with J Christopher Flowers  
 EA.  2010-10-05 MFR of Interview with Larry Fink  
 EB.  2010-10-08 MFR Michael Snow, Snow Asset Management  
 EC.  2010-10-11 - RE-REVIEWED transcript of Paul Volcker interview  
 ED.  2010-10-11 - REVIEWED transcript of Susan Bies interview  
 EE.  2010-10-11 AUDIO Susan Bies, former Fed Board  
 EF.  2010-10-12 Interview with Julian Robertson MFR (copy)  
 EG.  2010-10-14 - UNREVIEWED transcript of Richard Breeden interview  
 EH.  2010-10-14 FCIC staff audiotape of interview with Kim Shaul, Wisconsin Office of the Commissioner of Insurance  
 EI.  2010-10-14 MFR Tony Peterson  
 EJ.  2010-10-15 - REVIEWED transcript of William Dudley interview  
 EK.  2010-10-15 - UNREVIEWED transcript of David Wong interview  
 EL.  2010-10-19 - Transcript of Ralph Cioffi interview  
 EM.  2010-10-19 - UNREVIEWED transcript of Ralph Cioffi interview  
 EN.  2010-10-20 MFR FCIC Interview of Jamie Dimon - JPMorgan Chase  
 EO.  2010-10-21 - MFR - Viral Acharya  
 EP.  2010-10-21 - Sanderford Interview of Acharya - ABCP and Repo  
 EQ.  2010-10-21 Interview with Michael Karp  
 ER.  2010-10-22 MFR Donald Kohn Fed Vice Chair  
 ES.  2010-10-25 - REVIEWED transcript of Sabeth Siddique SECOND interview  
 ET.  2010-10-28 Julie Dickson\_Additional Documents\_Canadian Residential Mortgage Markets\_Boring but Effective  
 EU.  2010-10-28 Julie Dickson\_Additional Documents\_CMHC Housing Observer  
 EV.  2010-10-28 Julie Dickson\_Additional Documents\_Key Factors Underpinning the Canadian Financial System (Oct 20 2009)



- EW.  2010-10-28 MFR of FCIC Interview John Paulson
- EX.  2010-10-28 MFR of interview Gillian Tett
- EY.  2010-10-28 REVIEWED Julie Dickson, Canada's top financial regulator
- EZ.  2010-10-29 MFR Cohen Interview of Bill Gross - Pimco
- FA.  2010-11-02 David Grais MRF
- FB.  2010-11-05\_MFR\_TomBoemio\_DavidLynch
- FC.  2010-11-09 Interview of David Smith (copy)
- FD.  2010-11-09 Laurie Goodman MFR
- FE.  2010-11-11 Larry Summers - Confidential
- FF.  2010-11-11-MFR of FCIC Interview with Wing Chau
- FG.  2010-11-15 REVIEWED transcript of Janet Yellen interview
- FH.  2010-11-17 Interview with Marc Savitt MFR
- FI.  2010-11-22 REVIEWED MFR Randolph Barker, Citi
- FJ.  2010-11-30 REVIEWED transcript of Lord Adair Turner interview
- FK.  2010-12-14 Interview with FBI Director Robert Mueller (Part 1 of 2)
- FL.  d. 2010-03-26 Dan Mudd MFR
- FM.  Interview with John Lyons, OCC
- FN.  MFR Harry Markovitz
- VIII.  Briefing Binder for Final Report
  - A.  2011-01-21 - AHG Document 1.21.11
  - B.  2011-01-21 - Commission report and Dissent Commonground
  - C.  2011-01-21 - CRA Document 1.21.11
  - D.  2011-01-21 - Discussion of dissenting statement by three commissioners
  - E.  2011-01-21 - Key Press Questions 1.21.11 v2
  - F.  2011-01-21 - Short Summary
  - G.  2011-01-21 - What's new
  - H.  2011-01-24 - Commission Fact Sheet
  - I.  2011-01-24 - Discussion of the dissent by Commissioner Wallison
  - J.  2011-01-26 - Q& A as revised
  - K.  2011-01-26- Process Criticism - short
  - L.  2011-01-26- What's New version that went in binders
  - M.  Briefing Book Outline
  - N.  Commission Conclusions Highlights
  - O.  Electronic Briefing Binder
  - P.  Fact Sheet 01 -- The Crisis was Avoidable
  - Q.  Fact Sheet 02 -- Failure of Regulation
  - R.  Fact Sheet 03 - Risk management failures
  - S.  Fact Sheet 04 - Excessive borrowing risky investments and lack of transparency
  - T.  Fact Sheet 05 -- Government was Ill Prepared
  - U.  Fact Sheet 06 -- Failures of Accountability & Ethics
  - V.  Fact sheet 07 - Collapse of mortgage standards
  - W.  Fact Sheet 08 - Examples of OTC Derivatives Role in Crisis
  - X.  Fact Sheet 09 -- Failures at Rating Agencies
  - Y.  Fact sheet 10 - Excess liquidity
  - Z.  Fact Sheet 11 - GSEs not the primary cause the crisis
  - AA.  Fact Sheet 12 - Government Housing Policy Not Primary Cause of the Crisis
- IX.  MH data copy
  - A.  HomeFront Data Projects
    - 1.  CDO Document Library Files
  - B.  DTCC\_Charts\_v1
  - C.  DTCC\_Charts\_v2
- X.  Office Admin
  - A.  Background Checks
    - 1.  DISCLOSURE TO APPLICANTS
    - 2.  Form - Letters to Rejected Candidates (Background Checks)
    - 3.  Notification-Release Authorization

- 4.  Summary of Your Rights Under the Fair Credit Reporting Act
- B.  Cleared Documents
  - 1.  H 2-3
    - a.  FM-FCIC-N\_00003
      - 1.  FCIC PLS CMBS purchases with Deal Name and Impairments
      - 2.  Repurchases Closed in 2005 - 2009 Report Summary
    - b.  FM-FCIC-N\_00004
      - 1.  FCIC 2003-2004 PLS purchases with Deal Names and Impairments
    - c.  1999 Report of Examination-FNM
    - d.  1999-00-00 OFHEO Freddie Mac Report of Examination
    - e.  2000 Report of Examination-FNM
    - f.  2000-00-00 OFHEO Freddie Mac Report of Examination
    - g.  2000-08-31 memo fr Dolores Smith and Glenn Loney to Gov Edward Gramlich, re Compliance Inspections
    - h.  2000-10-05 Letter to Citi
    - i.  2000-11-15 Memo re Citigroup's Acquisition of Associates
    - j.  2000-12-05 FRB Memo
    - k.  2001 Report of Examination-FNM
    - l.  2001-00-00 OFHEO Freddie Mac Report of Examination
    - m.  2001-03-05 Board Minutes
    - n.  2002 Report of Examination-FNM
    - o.  2002-00-00 OFHEO Freddie Mac Report of Examination
    - p.  2002-09-30 BOG Meeting Minutes re Citigroup and Enron
    - q.  2002-10-28 BOG Meeting Minutes re Citigroup's acquisition of CalFed (copy)
    - r.  2002-12-13 Draft Proposal to CMAC to establish a Liquidity Put
    - s.  2002-12-17 Minutes of CMAC meeting approving Liquidity Put
    - t.  2003 Report of Examination\_Memo-FNM
    - u.  2003-00-00 OFHEO Freddie Mac Special Report of Annual Examination
    - v.  2003-02-19 Joint Letter from OCC & FRBNY to Citi Risk Managers
    - w.  2003-07-28 Formal Agreement between Citi and the OCC
    - x.  2004 OFHEO Report of Examination- Freddie Mac
    - y.  2004 Report of Examination-FNM
    - z.  2004-03-29 OCC Findings on Fixed Income Derivatives Examination
    - aa.  2004-09-23 Transmittal to Citibank of OCC Findings on Derivatives Examination
    - ab.  2004-09-27 Memo to File from OCC Examiner re Meeting with Citibank
    - ac.  2005 OFHEO Report of Examination- Freddie Mac
    - ad.  2005 Report of Examination-FNM
    - ae.  2005-01-13 OCC Findings on Risk Management Examination
    - af.  2005-01-31 OCC Transmittal of Risk Management Findings
    - ag.  2005-03-12 Citigroup Response to Risk Management Findings
    - ah.  2005-05 Draft Close Out Report
    - ai.  2005-05-09-27 Operations Review Report (FRBNY)
    - aj.  2005-06-13 Notice of Failure to Meet Safety and Soundness
    - ak.  2005-08-18 Minutes of CDO-ABS Warehouse Business Product Control and Market Risk Meeting
      - al.  2005-12-15 OCC Findings on North American Global Structured Credit Derivatives Examination
    - am.  2005-12-22 OCC Transmittal to Citibank of Derivatives Examination Findings
    - an.  2006 FHFA Report of Examination on Fannie Mae
    - ao.  2006 Report of Examination-FNM
    - ap.  2006-00-00 OFHEO Freddie Mac Report of Annual Examination
    - aq.  2006-01-09 CMAC Proposal to issue CDS on Subprime backed ABS CDOs
    - ar.  2006-01-18 Memo reflecting CMAC approval of subprime back ABS CDOs
    - as.  2006-03-06 Citibank Response to December 22, 2005 Transmittal
    - at.  2006-03-21 Email fr. Leonard Zawistowski to John McCormick re Mortgage Fraud discussion with FBI
    - au.  2006-06 OCC Review Check List of CMAC Approval of CDS Issued on ABS CDOs for compliance with Formal
    - av.  2006-11-21 OCC Recommendation for Removal of Formal Agreement
    - aw.  2007 FHFA Report of Examination on Fannie Mae
    - ax.  2007 OFHEO Report of Examination- Freddie Mac

- ay.  2007 Report of Examination-FNM
- az.  2007-04-05 OCC Notice of Satisfaction of Safety and Soundness Notice
- ba.  2007-05-08 Transmittal of OCC Findings on Structured Credit Derivatives
- bb.  2007-10-26 OCC and FRBNY Ltr to D Bushnell re Operational Risk Management
- bc.  2007-11-19 Minutes of Meeting of Regulators with CRO Bushnell, GC Helfer, and COB Rubin
- bd.  2008 Report of Examination-FNM
- be.  2008-00-00 OFHEO Freddie Mac Report of Annual Examination
- bf.  2008-01-17 Conclusion Memorandum on CDO Valuation
- bg.  2008-02-14 Letter to Pandit Regarding Serious Problems at Citibank
- bh.  2008-03-03 Nelson email re GSEs' credit risk
- bi.  2008-03-06 Farrell eml to Steel
- bj.  2008-03-08 Thomas eml to Steel
- bk.  2008-03-16 Norton eml to Steel Nason Ryan Kashkari
- bl.  2008-03-17 Email Chain with Mudd, Lockhart, Steel and Syron
- bm.  2008-03-17 Mudd eml to Lockhart
- bn.  2008-03-24 Citibank Letter to OCC Disputing OCC Findings
- bo.  2008-04-09 OCC Transmittal Letter and Report of Examination as of December 31, 2007
- bp.  2008-06-17 FINRA Report on the Examination of CGMI
- bq.  2008-08-22 Dickerson ltr to Mudd
- br.  2008-08-26 FHFA ltr to D Mudd
- bs.  2008-09-01 Dickerson ltr to Mudd
- bt.  2008-09-06 FHFA Fannie Mae Conservatorship Memo
- bu.  2008-10-03 Board Minutes
- bv.  2008-10-05 Board Minutes
- bw.  2008-11-23 Board Minutes
- bx.  2009-06-05 Board Minutes
- by.  2009-06-17 Board Minutes
- bz.  2009-12 Operations Review Close Out Report (copy)
- ca.  2010-01-25 Cumulative CFMSI Loan Losses Summary
- cb.  2010-01-25 Cumulative CMALT Loan Losses Summary
- cc.  2010-01-25 Cumulative CMSI Loan Losses Summary
- cd.  2010-01-25 Cumulative CRMSI Loan Losses Summary
- ce.  CITI 00004244 Citigroup Liquidity Put Discussion
- cf.  CITI 00007657 Presentation to the SEC Regarding Overall CDO Business and Subprime Exposure (2007-06)
- cg.  Citi 00037356 Citi memo re Valuation of Liquidity Puts (2006-10-19) (copy)
- ch.  CITI 00074187
- ci.  CITI 01611657 GSCP The Way Forward (Michael Raynes, 2007-09)
- cj.  CITI-FCIC 00000444 BOD Minutes (2007-10-15)
- ck.  CITI-FCIC 00000673 Corporate Audit and Risk Mgmt Cmte Minutes (2007-10-15)
- cl.  CITI-FCIC 00091337 Investor List to RMBS Synthetic CDOs - Response to I-20
- cm.  CITI-FCIC 00091341 RMBS and Citi-RMBS as a Percentage of Citi-CDO Portfolio - Response to I-22
- cn.  CITI-FCIC 00091762 CDOs and Citi-CDOs as a Percentage of Citi-CDO Portfolio - Response to I-29
- co.  CITI-FCIC 00091763 Subprime Whole Loan Purchases in GSM, 2006-2010 - Response to I-35
- cp.  CITI-FCIC 00091766 Risk View of CDOs on ABS - Alan Smillie (2007-11-16)
- cq.  CITI-FCIC 00091799 Super Senior Contingent Credit Option Program for High Grade ABS CDO - May 2006
- cr.  CITI-FCIC 00092009 CAI SIV Update Fed Update Call (2007-11-30)
- cs.  CITI-FCIC 00093495 Citi SIV Critical Decision Defease vs Consolidation (2007-09-11)
- ct.  CITI-FCIC 00093507 Review of Credit Markets for CMAC (Murray Barnes, CMB MRM, 2007-11-19)
- cu.  CITI-FCIC 00099654 CEO Discussion on Global Credit Markets (2007-09-12)
- cv.  CITI-FCIC 00099767 CitiFinancial 1st and 2nd Mtg Delinquency by FICO 2005-9
- cw.  CITI-FCIC 00099779 CitiFinancial 1st and 2nd Mtg Delinquency by LTV, 2005-9
- cx.  CITI-FCIC 00099801 List of Cash and Synthetic CDO and CDO2 - Response to I-16, 17, 23
- cy.  CITI-FCIC-E 00016495 Cover Email to C Prince re Q3 Earnings Announcement (2007-10-01)
- cz.  CITI-FCIC-E 00016496 October 1, 2007 Recorded Call Transcript (Prince and Crittenden)
- da.  CITI-FCIC-E 00016503 Citi PR re 3Q Writedowns (2007-10-01)

- db.  CITI-FCIC-E 00024594 Tracking the Twin Storms and NYT Briefing Cover Email to C Prince (2007-07-30)
- dc.  CITI-FCIC-E 00024607 Tracking the Twin Storms - Catalysts of Market Volatility
- dd.  CITI-FCIC-E 00024768 C Prince to R Druskin re CMB Revenue - Water Torture (2007-08-03)
- de.  CITI-FCIC-E 00031582 C Prince Email re Six Sigma Question (2007-09-26)
- df.  CITI-FCIC-E 00031616 C Prince Email re Citi Silos (2007-10-02)
- dg.  CITI-FCIC-E 00036374 C Prince to R Rubin re Maheras and Super Seniors (2007-09-09)
- dh.  CITI-FCIC-E 00043432 Purchases, Sales, Securitizations, 3d Party UW (2005-2009)
- di.  CITI-FCIC-E 00639388 D Bushnell Email to E Duke re Super Seniors (2007-08-13)
- dj.  CITI-FCIC-E 00641146 D Bushnell Email to E Duke re ABX (2007-07-26)
- dk.  CITI-FCIC-E 00743982 D Bowen to R Rubin and D Bushnell re concerns (2007-11-03)
- dl.  CITI-FCIC-E 00817791 GSM Non-Agency Cover Email (2007-03-27)
- dm.  CITI-FCIC-E 00817817 GSM Global Business Review - Focus on Non Agency Subprime (2007-03-28)
- dn.  CITI-FCIC-E 01030735
- do.  CITI-FCIC-E 01030741
- dp.  Citigroup PowerPoint on U.S. CDOs
- dq.  Citigroup Written Agreement with FRBNY
- dr.  CMLTI Asset-Backed Pass-Through Certificates
- ds.  FCIC-084936-084940
- dt.  FCIC-085004-085009
- du.  FCIC-085111
- dv.  FCIC-085186-085197
- dw.  FCIC-085227-085232
- dx.  FCIC-085290-085298
- dy.  FCIC-085322-085325
- dz.  FCIC-085333-085340
- ea.  FCIC-085357-085360
- eb.  FCIC-085390-085391
- ec.  FCIC-085481-085482
- ed.  FCIC-085483-085497
- ee.  FCIC-085666-085667
- ef.  FCIC-086292-086294
- eg.  FCIC-086300-086301
- eh.  FCIC-086317-086318
- ei.  FCIC-086326-086340
- ej.  FCIC-086389-086394
- ek.  FCIC-086579-086585
- el.  FCIC-086656-086657
- em.  FCIC-086808
- en.  FCIC-086813-086816
- eo.  FCIC-086817-086818
- ep.  FCIC-086819-086837
- eq.  FCIC-086838-086844
- er.  FCIC-086872-086894
- es.  FCIC-086936-086982
- et.  FCIC-087039-087051
- eu.  FCIC-087159-087168
- ev.  FCIC-087204-087205
- ew.  FCIC-087211-087226
- ex.  FCIC-087291-087324
- ey.  FCIC-087325-087326
- ez.  FCIC-087343-087376
- fa.  FCIC-087383-087385
- fb.  FCIC-087396-087435
- fc.  FCIC-087437-087441
- fd.  FCIC-087443-087466

fe.  FCIC-087619-087637  
ff.  FCIC-087639-087641  
fg.  FCIC-088499-088500  
fh.  FCIC-090757-090771  
fi.  FCIC-090804-090815  
fj.  FCIC-100293-100295  
fk.  FCIC-100296-100298  
fl.  FCIC-100307-100310  
fm.  FCIC-Citi 000193  
fn.  FCIC-Citi 000198-224 2008-04-15 Transmittal Letter and Report for 2007  
fo.  FCIC-FRBNY000042-000044  
fp.  FCIC-FRBNY000378-000435  
fq.  FCIC-FRBNY000550-000573  
fr.  FCIC-FRBNY000663-000666  
fs.  FCIC-FRBNY-000667-000673  
ft.  FCIC-FRBNY000674-000679  
fu.  FCIC-FRBNY000682-000699  
fv.  FCIC-FRBNY000700-000702  
fw.  FCIC-FRBNY000732-000746  
fx.  FCIC-FRBNY000819-000821  
fy.  FM\_FCIC\_00037328-00037330  
fz.  FM0COGR\_00339175-76  
ga.  FM-COGR\_00002344-2376  
gb.  FM-COGR\_00002902-35  
gc.  FM-COGR\_000050991-1123  
gd.  FM-COGR\_00010455-466  
ge.  FM-COGR\_00011806  
gf.  FM-COGR\_00012172  
gg.  FM-COGR\_00013201-211  
gh.  FM-COGR\_00051298-303  
gi.  FM-COGR\_00051327-44  
gj.  FM-COGR\_00051494-501  
gk.  FM-COGR\_00051545-51  
gl.  FM-COGR\_00051915-928  
gm.  FM-COGR\_00051930-34  
gn.  FM-COGR\_00052030-38  
go.  FM-COGR\_00052043  
gp.  FM-COGR\_00052135-39  
gq.  FM-COGR\_00052211-218  
gr.  FM-COGR\_00052228-29  
gs.  FM-COGR\_00060379-98  
gt.  FM-COGR\_00060405-410  
gu.  FM-COGR\_00060412-23  
gv.  FM-COGR\_00060463-556  
gw.  FM-COGR\_00094010-025  
gx.  FM-COGR\_00138471-74  
gy.  FM-COGR\_00138639-653  
gz.  FM-COGR\_00138654-663  
ha.  FM-COGR\_00143155-174  
hb.  FM-COGR\_00143413-29  
hc.  FM-COGR\_00156147  
hd.  FM-COGR\_0038592-613  
he.  FM-COGR00088741-786  
hf.  FM-COGR00138414-23  
hg.  FM-COGR00138448-458

- hh.  FM-COGR00143101-154
- hi.  FM-COGR00143191-217
- hj.  FM-COGR00143219-245
- hk.  FM-COGR00143392-406
- hl.  FM-COGR00143464-69
- hm.  FM-FCIC\_00000210-00001686
- hn.  FM-FCIC\_00029045-00029046
- ho.  FM-FCIC\_00037331-00037338
- hp.  FM-FCIC\_00045293-00045380
- hq.  FM-FCIC\_30201-31970
- hr.  FM-FCIC\_31971-32727
- hs.  FM-FCIC\_37460-38661
- ht.  FM-FCIC\_38661-40181
- hu.  FM-FCIC\_42955-44071
- hv.  FM-FCIC\_44072-45287
- hw.  FM-FCIC-2\_00000047
- hx.  FM-FCIC-2\_00000048-00000145
- hy.  FM-FCIC-2\_00000146-00000158
- hz.  FM-FCIC-2\_00000159-00000165
- ia.  OCC3-00008493 2008-03-24 Citi Ltr to OCC Disputing OCC Findings
- ib.  OCC5-00076169-71
- ic.  Review of the Current Environment - D Bushnell (2007-10-30)
- id.  Ridgeway Court Funding II Monthly Report 2007-11-06
- ie.  Ridgeway II Comparison of Marks on RMBS Collateral
- if.  Ridgeway II Mortgage Originators and Sellers of Citi Underwritten RMBS
- ig.  Ridgeway loan level data excerpt
- ih.  Summary Report of New Century Mortgages- produced on 2010-03-02
  - ii.  Undated scope memo of FRBNY on 2005 horizontal examinations of multiple companies
- ij.  UST-FCIC 0004918-19
- ik.  UST-FCIC 0004934-35
- il.  UST-FCIC0004972-84
- im.  UST-FCIC0005022
- in.  UST-FCIC0005070-71

2.  H 4


- a.  2006-06-26 Hedge Fund Derivative-Linked Products- Current Market Practice, Risk Management & Capital
- b.  2006-10-03 Residential and Commercial Mortgage Securitization- Current Market Practice, Risk Management
- c.  2007-01-10 Minutes of Regular Meeting Board of Directors
- d.  2007-01-23 Email from Jay Remis re Bear Res December 2006 Business Update
- e.  2007-01-24 Email from Sandra Hong re Redemption Report for February 1, 2007
- f.  2007-02-13 Email from Warren Spector re US Investment Banks- Examining the sector risk from sub-prim
- g.  2007-02-13 Securities Broker Dealer- Little Exposure to subprime implosion
- h.  2007-02-28 Email from Jay Remis re Bear Res January 2007 Business Update
- i.  2007-03-19 Email from George Zahringer re Bear Stearns Sub-prime-Prime Mortgage Watch
- j.  2007-03-22 Minutes of Regular Meeting of the Board of Directors
- k.  2007-04-18 The Bear Stearns Companies Inc. Minutes of Regular Meeting of Board of Directors
- l.  2007-04-21 Email from Ralph Cioffi to Gerald Cummins, Matthew Tannin and John Geissinger
- m.  2007-05 Funding and Liquidity Package
- n.  2007-06-12 Email from Tom Marano to Warren Spector
- o.  2007-06-14 Memo from Bob Upton & John Stacconi to Sam Molinaro re Liquidity Pool- Theory and Sizing
- p.  2007-06-16 Email from Paul Friedman re Old Lane
- q.  2007-06-17 Email from Tom Marano to Warren Spector
- r.  2007-06-21 Internal email from Bill Jamison of Federated
- s.  2007-06-24 Email from Tom Marano to Warren Spector
- t.  2007-06-27 Federated Internal Memo re Counterparty Risk Committee
- u.  2007-07-06 Email from Jeff Chan to Alan Greenberg, et al. re BSSC Weekly Net Capital Report























































- v.  2007-07-07 Email from John Mauldin and InvestorsInsight to Ralph Cioffi
- w.  2007-07-12 Email from Tom Marano to Andrew Donnellan, Ralph Cioffi, Raymond McGarrigal
- x.  2007-07-23 Memorandum from Bob Upton and Pat Lewis re Funding & Liquidity Packaged
- y.  2007-08-03 Private Equity and Principal Investing- Current Market Practice, Risk Management & Capita
- z.  2007-08-15 Email 2 from Paul Friedman re Thornburg
- aa.  2007-08-15 Email from Paul Friedman re Thornburg
- ab.  2007-08-15 Tom Marano Email to Lisa Anzalone
- ac.  2007-09-18 Bear Stearns Minutes of a Regular Meeting of the Board of Directors
- ad.  2007-09-18 JPMorgan Worldwide Securities Services Risk Review, Directors Risk Policy Committee
- ae.  2008-01-09 Email from David Rawlings re Paulson Partners follow up
- af.  2008-01-10 Email from Fran Martiniello re Risk Policy Committee Meeting
- ag.  2008-01-16 Email from Michael Alix re Recession Scenario focus on Commercial Mortgages
- ah.  2008-01-16 President's Advisory Council
- ai.  2008-01-17 Bear Stearns Price Verification Quarterly Update
- aj.  2008-01-18 Lehman Brothers Equity Research
- ak.  2008-01-22 Minutes of Regular Meeting of the Operations Committee
- al.  2008-01-30 Memo from Dan Chen re Weekly Mortgage Risk
- am.  2008-02-01 Email chain re Project RENO
- an.  2008-02-01 Email from Jim Collins re Nov-Dec CSE Calculations
- ao.  2008-02-02 Email from Tom Marano to Alan Schwartz
- ap.  2008-02-04 SEC Internal Audit report
- aq.  2008-02-05 Email from Elizabeth Ventura to Tom Marano, et al
- ar.  2008-02-05 Email from Michael Alix re Loan Presentation
- as.  2008-02-05 Oliver Wyman Presentation
- at.  2008-02-06 Memo from Dan Chen re Weekly Mortgage Risk
- au.  2008-02-08 Email from Beau Paulk to Tom Marano et al
- av.  2008-02-12 Bear Stearns Minutes of Regular Meeting of the Operations Committee
- aw.  2008-02-15 Email from Sam Molinaro to Alan Schwartz
- ax.  2008-02-20 Memo from Dan Chen re Weekly Mortgage Risk
- ay.  2008-02-20 Mortgage Portfolio Update
- az.  2008-02-21 Email from Tom Marano to Sam Molinaro
- ba.  2008-02-21 memo re GMAC ResCap Restructuring and Proposed Transactions
- bb.  2008-02-27 Email from Paul Friedman re Non-Agency AAA Financing for Non-IG Clients
- bc.  2008-02-28 Email from Mary Rose O'Kane to Michael Alix
- bd.  2008-02-29 Email from Tom Marano re Global Master Repurchase Agreement
- be.  2008-03-03 email from Tom Marano to Sam Molinaro, et al
- bf.  2008-03-04 Minutes of Regular Meeting of the Operations Committee
- bg.  2008-03-05 Email from Michael Alix re Firmwide Limits as of 2-29-08
- bh.  2008-03-05 Email from Sal Catrini re TMA 8K hits
- bi.  2008-03-08 Email from Paul Friedman to Tom Marano re Thornburg
- bj.  2008-03-08 email from Paul Friedman to Tom Marano, et al
- bk.  2008-03-09 Email from Paul Friedman re Thornburg
- bl.  2008-03-10 Internal email from Bill Jamison of Federated
- bm.  2008-03-11 Email from P Lewis to Eichner, Spurry, Giles, and Silva (copy)
- bn.  2008-03-12 Email from David Rawlings re Client Calls
- bo.  2008-03-12 Email from Paul Friedman to Tom Marano re Fixed Income
- bp.  2008-03-12 Memo fr. Tom Marano, Paul Friedman, and Wayne Buchman re Thornburg Mortgage Forbearance
- bq.  2008-03-12 Transcript of Alan Schwartz on CNBC Squawk
- br.  2008-03-13 Bear Stearns Minutes of a Special Meeting of the Board of Directors
- bs.  2008-03-13 Email chain re Bear Credit Concerns affecting abx, cdx, and ig cds Business with RBC
- bt.  2008-03-13 Email from David Rawlings re investor moving PB accounts away from Bear
- bu.  2008-03-13 Email from Jerome Schneider re Mark-Up of Existing Terms
- bv.  2008-03-13 email from Michael Nierenberg to Tom Marano, et al.,
- bw.  2008-03-15 email from Tom Marano to Peter Bainlardi, Craig Overlander, Alan Schwartz, Jeff Mayer
- bx.  2008-03-16 Bear Stearns Minutes of a Special Meeting of the Board of Directors







- by.  2008-03-16 Investor Presentation- JPMorgan Chase Acquiring Bear Stearns
- bz.  2008-03-18 Email from Tony Chow re Japan FSA Inquiry about liquidity
- ca.  2008-03-18 Email from Tony Chow to Robert Upton, Jeff Farber, Michael Alix and John Stacconi
- cb.  2008-03-20 Email from Michael Alix re Firmwide Drawdowns as of March 18, 2008
- cc.  2008-03-20 Email from Michael Alix re Firmwide Limits as of 3-7-2008
- cd.  2008-03-20 email from Tom Marano to group
- ce.  2008-03-26 Email from Paul Friedman to Wayne Buchan, et al. re Thornburg Mortgage Deal Pricing
- cf.  2008-06-26 "Project LLC Summary of Findings and Observations Report" by Ernst & Young
- cg.  2008-09 GECC Commercial Paper Issuance
- ch.  2008-09 GECC Commercial Paper Outstanding
- ci.  2008-09 GECC USD Commercial Paper Activity
- cj.  2008-09-25 unredacted IG Report
- ck.  2009-12-08 Heidi Miller, TSS State of Control and Risk Management
- cl.  2010-04-26 Interrogatories from JPMorgan
- cm.  BSC-FCIC 00000097
- cn.  BSC-FCIC 00000194
- co.  BSC-FCIC 00000503
- cp.  BSC-FCIC-e00034207
- cq.  BSC-FCIC-E00143268-284
- cr.  BSC-FCIC-e00151617-18
- cs.  BSC-FCIC-e00739992
- ct.  BSC-FCIC-e00775627
- cu.  BSC-FCIC-e00795153
- cv.  BSC-FCIC-e00858753
- cw.  BSC-FCIC-e00923134
- cx.  BSC-FCIC-e00933887
- cy.  Federated Advised Funds - Changes in Approved Commercial Paper List
- cz.  Federated Money Markets & Municipal Fixed Income – 1st Quarter 2008 presentation
- da.  Fitch Presentation, August 2007
- db.  From the August 2007 General Session Minutes- Investment Research Presentation Cont'd
- dc.  GE Calendar of Events for September 2008
- dd.  GEFIC 0001 Commercial Paper Market Overview (copy)
- de.  GS FCIC 000000564
- df.  GS MBS 0000024916-24955
- dg.  GS-PSI-00265
- dh.  JPM-FCIC 00000020
- di.  JPMorgan, US Fixed Income Tri-Party Repo Update, undated
- dj.  Merrill Lynch Analysis Bear Stearns Asset Management- What Went Wrong
- dk.  Minutes of a Special Meeting of the Board of Directors December 12, 2007
- dl.  Provided by FRB New York Bank Supervision Group 2009 Operations Review Report FINAL (4.28.10 @ 5 pm)
- dm.  Provided by FRBNY 2005-05-09-27 Operations Review Report (FRBNY)
- dn.  Provided by FRBNY Transmittal Letter for FRB NY Ops Rev Final Rpt (4.28.10)(2)
- do.  REDACTED for Release SEC Risk Management Reports
- dp.  SEC\_OCIE\_FCIC\_000185 - 000197
- dq.  SEC\_TM\_FCIC\_1053224-423
- dr.  SEC\_TM\_FCIC\_1053244 Email from P Lewis (Bear) to M Eichner, et al (3-10-08) re Liquidity Pool
- ds.  SEC\_TM\_FCIC\_1053247 Email from Matt Eichner to Brian Peters (March 11, 2008)
- dt.  SEC\_TM\_FCIC\_1053249 Internal SEC Email from Matt Eichner to CSE's Monitor (March 11, 2008)
- du.  SEC\_TM\_FCIC\_1053253 Internal SEC Email from Matt Eichner to Sirri, Macchiaroli, and Robert Colby
- dv.  SEC\_TM\_FCIC\_1053280 Expected Activity for 3-17-08
- dw.  SEC\_TM\_FCIC\_1053292 Bear Stearns Notes by Steve Spurry, SEC (March 6-14, 2008)
- dx.  SEC\_TM\_FCIC\_1053301 Internal Audit Report, February 4, 2008
- dy.  SEC\_TM\_FCIC\_1053309 Internal SEC Doc - Bear Stearns Packet (May 30), Mtg Held June 20
- dz.  SEC\_TM\_FCIC\_1053317 SEC Timeline Regarding Bear Stearns (4-3-08)
- ea.  SEC\_TM\_FCIC\_1053322 SEC Memo on 'Bear Stearns Liquidity Pool Inspection' (March 2008)

























































- eb. SEC\_TM\_FCIC\_1053369 Lori Richards Memo to Colby re CSE Exam of Bear (11-4-05)
  - ec. SEC\_TM\_FCIC\_1053424-657
  - ed. SEC\_TM\_FCIC\_1053426 SEC monitor's email of October 1, 2007
  - ee. SEC\_TM\_FCIC\_1053474 Internal SEC Doc - Bear Packet (7-31-08) re Meeting on August 15
  - ef. SEC\_TM\_FCIC\_1053483 Beart Stears Co. Inc. Balance Sheet and Funding Composition (1-31-08)
  - eg. SEC\_TM\_FCIC\_1053533 Eichner memo to Colby re Risk Mgmt Rvw of CSE (12-5-05 - 9-7-06)
  - eh. SEC\_TM\_FCIC\_1053587 Internal SEC Memo, Risk Mgmt Reviews of CSEs (2-2-07)
  - ei. SEC\_TM\_FCIC\_1053596 Memo to Eichner, Sirri, Division of Mkt Reg re Risk Mgmt Rvw of CSE (3-30-07)
  - ej. SEC\_TM\_FCIC\_1053601 Memo to Eichner, Sirri, Mkt Reg re Risk Mgmt Rvw of CSEs (4-26-07)
  - ek. Securitizations Issues & Underwritten by Goldman Sachs 2006-present
  - el. The Bear Stearns Companies Inc. 2007 Performance Compensation Plan
  - em. The Bear Stearns Companies Inc. Finance and Risk Committee of the Board of Directors Charter
3. H 5
- a. 09-02-2008 AIG Targeted Review
  - b. 2005-07-21 Email from Forster re Topics to discuss AIG-FCIC00145574
  - c. 2005-12-07 CRC Memo Broderick CDO I AIG-FCIC00098115
  - d. 2005-12-20 CRC memo re AIGGIG Global Securities Lending Cash ...Policy AIG-FCIC00550171-00550175
  - e. 2006-02-28 Email from Park to Cassano re exiting business-AIG-FCIC00109490
  - f. 2006-07-17 CRC Memo West Coast Funding I AIG-FCIC00384171
  - g. 2007-03-23 Timberwolf Offering Circular GS-MBS-E 000673671
  - h. 2007-05-22 CRC Minutes AIG-SEC9406809
  - i. 2007-07-26 email from Davilman to Frost re margin call 20bb of superseniorAIG-FCIC00370077-0037078
  - j. 2007-07-27 Ash Email to Friedman RE \$2bn margin call
  - k. 2007-07-27 Collateral Call GS 06017
  - l. 2007-07-27 L Brafman email to D Lehman RE FW Collateral Calls
  - m. 2007-07-31 D Lehman email to D Sparks RE Margin Call
  - n. 2007-08-01 Athan Email re HALO called needed tomorrow AM AIG-SEC9990006 (copy)
  - o. 2007-08-02 Email from Forster to Cassano & Micottis re GS Collateral marks AIG-SEC2035262
  - p. 2007-08-08 Email fr. Athan to Frost re Latest on GS AIG-SEC1913380
  - q. 2007-08-10 Side Letter Agreement AIG-SUBPRIME-SEC00000547-548
  - r. 2007-08-16 Email from Forster to Frost re Goldman AIGSEC11604624
  - s. 2007-09-11 Email Cassano Binder Tab 3
  - t. 2007-11-01 Email- Cassano Tab 15
  - u. 2007-11-02 Collateral Call GS 07806
  - v. 2007-11-05 email from Forster to Cassano AIG-SEC2152468
  - w. 2007-11-05 Micottis Collateral Call Spreadsheet
  - x. 2007-11-06 Audit Committee Meeting Minutes
  - y. 2007-11-07 PWC Memo PWC-FCIC 000224-240
  - z. 2007-11-09 Email re Merrill Marks Cassano Binder Tab 12
  - aa. 2007-11-14 Email Cassano Binder Tab 5
  - ab. 2007-11-18 Cassano Binder Tab 6 Email
  - ac. 2007-11-19-20 email re Credit Perspective for the CFO AIG-SEC9422058
  - ad. 2007-11-23 Margin Call to AIGFP GS 08183-8236
  - ae. 2007-11-29 Email re FP Meeting Cassano Binder Tab 9- AIGSEC12689327
  - af. 2007-11-30 Email from Cassano to Dooley re GS call back AIG-SEC 2556794
  - ag. 2007-11-30 Memo from AIGFP to GS re returning collateral payment AIG-SEC2556795
  - ah. 2007-12-01 email from Cassano re November change in value for SS multisector CDO AIG-SEC5981397
  - ai. 2007-12-05 Email to Cassano re PwC year-end Evaluation Cassano Binder Tab 13- AIG-SEC0005623
  - aj. 2007-12-07 Deferred Comp - Write up for Comp Committee SEC00001499
  - ak. 2007-12-14 Forster email re Collateral Dispute
  - al. 2007-12-17 Cassano email re 2007 special comp plan
  - am. 2007-12-23 email from Sherwood to Cassano & Viniar re CDO spreadsheet AIG-FCIC00336767-00336768
  - an. 2008 Targeted Review
  - ao. 2008-01-02 Collateral Call GS 08890
  - ap. 2008-01-07 Email Cassano Binder Tab 7























































- aq.  2008-01-16 Email from Cassano to Sherwood re CDO valuations AIG-FCIC00345900-00345906
- ar.  2008-02-02 Habayeb Memo re Regulatory Capital Transactions PWC-FCIC 000084 - 95
- as.  2008-02-06 PwC Meeting Notes PWC-FCIC 000384-386
- at.  2008-02-29 Margin Call to AIGFP GS 09589-9608
- au.  2008-03-16 email Michael Sutton to Robert Willumstad re AIG FT Article FRBNY-TOWNS-R1-193865-193866
- av.  2008-04-28 Amended Side Letter GS 05877-05878
- aw.  2008-09-23 Status of Collateral Calls- Super Senior CDOs AIG-FCIC00384231-00384253
- ax.  2010-06-17 AIG (S Nettleton) ltr to D Noonan re Docs Production
- ay.  ABACUS 2005-CB1 information- AIG-FCIC00098415-00098425
- az.  AIG 0000118
- ba.  AIG Biographies AIG-SEC9651653
- bb.  AIG Compensation Information AIG-FCIC00562661-678
- bc.  AIG Financial Products Corp. Deferred Compensation Plan AIG-FCIC00384195-00384214
- bd.  AIG Hedged January 2006-December 2008 GS FCIC 000000550-551
- be.  AIG-FCIC 00220943-52
- bf.  AIG-FCIC 00384082-94
- bg.  AIG-FCIC 00522411
- bh.  AIG-FCIC00068785-91
- bi.  AIG-FCIC00077943-955
- bj.  AIG-FCIC00125836-840
- bk.  AIG-FCIC00336716
- bl.  AIG-FCIC00336717-19
- bm.  AIG-FCIC00348556-62
- bn.  AIG-FCIC00348567
- bo.  AIG-FCIC00384095-105
- bp.  AIG-FCIC00384118-127
- bq.  AIG-FCIC00384215-230
- br.  AIG-FCIC00384296-4355
- bs.  AIG-FCIC00393724
- bt.  AIG-FCIC00403936
- bu.  AIG-FCIC00403938
- bv.  AIG-FCIC005209534
- bw.  AIG-FCIC00522328-35
- bx.  AIG-FCIC00522336-47
- by.  AIG-FCIC00535455
- bz.  AIG-FCIC00561500-00561506
- ca.  AIGFP Target Examinations Limited Field Visitations 3-6-2006
- cb.  AIGFP Target Examinations Limited Field Visitations 3-6-2006 REDACTED
- cc.  AIGFP Target Examinations Limited Field Visitations 4-23-2007
- cd.  AIGFP\_Target\_Examinations\_Limited\_Field\_Visitations\_4-23-20071 REDACTED
- ce.  AIG-SEC1364131
- cf.  AIG-SEC1364133
- cg.  AIG-SEC9996199
- ch.  Cassano Binder Tab 32
- ci.  GS 05795-5801
- cj.  GS 05823-26
- ck.  GS 05845-5847
- cl.  GS 05860
- cm.  GS 05862 -63
- cn.  GS 06247-268
- co.  GS 06269-291
- cp.  GS 09610
- cq.  GS 09804-825
- cr.  GS 09825
- cs.  GS FCIC 000000080-167

- ct.  GS MBS 0000021129-GS MBS 0000021133
- cu.  GS MBS 0000027878 (CUSIP Overlap Analysis)
- cv.  GS MBS 0000027880 - Notional amount by counterparty
- cw.  GS MBS 0000027965 (copy)
- cx.  GS MBS 0000027966
- cy.  GS MBS 0000027967
 GS MBS-E-001918219-22
- da.  GS MBS-E-00221171
- db.  GS MBS-E-002356757-58
- dc.  GS MBS-E-005565527 -52
- dd.  GS MBS-E-007980762
- de.  GS MBS-E-009209654-55
- df.  GS MBS-E-009635410
- dg.  GS MBS-E-009726498
- dh.  GS MBS-E-010381094 -95
- di.  GS MBS-E-010381411 -12
- dj.  GS00067
- dk.  GS08318
- dl.  Listing of collateral movements from 2007-08-10 to 2008-12-31 GS-FCIC000000537-539
- dm.  Meeting notes 2008-02-08 PWC-FCIC 000209-211
- dn.  Memo to File by AIG FSD CFO Elias Habayeb PWC-FCIC 000101-108
- do.  Multisector CDO portfolio information AIG-FCIC00535400-FCIC00535402
- dp.  PWC-FCIC 000001 - 12
- dq.  PWC-FCIC 000019-28
- dr.  PWC-FCIC 000473-476
- ds.  PWC-FCIC 000477
- dt.  PWC-FCIC 00379-380
- du.  PWC-FCIC 00381-383
- dv.  PWC-FCIC 00387-389
- dw.  SSCDS contract between AIGFP and Goldman Sachs GS 00001-62
- dx.  Transcript of 2007-07-11 call AIG-SEC1910855
- dy.  Transcript of 2007-07-30 Forster call AIG-SEC1361798
- 4.  H 5a
  - a.  Corporate Library Board Analyst Profile of Moody's (copy)
  - b.  MOODYS-FCIC-0002561 July 2007 MCO Strategic Plan (McDaniel) [unredacted]
  - c.  MOODYS-FCIC-0002978-0003015 - Moody's 2007 Operating Plan
  - d.  MOODYS-FCIC-0003716 (2003-03-03) Moody's Bonus Ltr to Kolchinsky from Rutherford
  - e.  MOODYS-FCIC-0005186 - Derivatives (Americas) Org Chart
  - f.  MOODYS-FCIC-0005198 - Derivatives (Americas) Org Chart II
  - g.  MOODYS-FCIC-0005390 - Legal Violations Letter to Michael Kanef
  - h.  MOODYS-FCIC-0005390 - Legal Violations Letter to Michael Kanef
  - i.  MOODYS-FCIC-0007328 (2006-05-26) Ratings Memorandum GSC ABS CDO 2006-2m Ltd
  - j.  MOODYS-FCIC-0008593 Rating Committee Memo CMLTI 206-NC2 (2006-08-29)
  - k.  MOODYS-FCIC-0012073 - Email From Jay Siegel Regarding Revised Silent Second
  - l.  MOODYS-FCIC-0012075 - Email from Jay Siegel Regarding Benefit For SQ1 Servicer
  - m.  MOODYS-FCIC-0012079 - Email from Jay Siegel Regarding the Interim Rule
  - n.  MOODYS-FCIC-0012103 - Email from Jay Siegel Regarding Second Liens IOs
  - o.  MOODYS-FCIC-0016904 - Email from Michael Zoccoli Regarding the Sep 2002YTD Distribution
  - p.  MOODYS-FCIC-0033971 - Email from Jay Siegel Subject-Rating Feedback Discussion
  - q.  MOODYS-FCIC-0035795 - Email from Brian Clarkson Subject-June YTD AFG by Analyst
  - r.  MOODYS-FCIC-0055776 - Email from Ray McDaniel Subject-Fitch Criticises Ratings of CPDOs
  - s.  MOODYS-FCIC-0184795 - Email from Ray McDaniel Subject-Re Short Guide to Subprime
  - t.  SEC\_OCIE\_FCIC\_000001 - SEC Letter to Ray McDaniel
  - u.  SEC\_OCIE\_FCIC\_000010 -SEC Fax to Ray McDaniel
  - v.  SEC\_OCIE\_FCIC\_000496 - Examination Report to Moody's Investor Services, Inc





- w.  SEC\_OCIE\_FCIC\_000512 - SEC Credit Rating Agencies Report 1
  - x.  SEC\_OCIE\_FCIC\_000550 - The Office of Compliance Inspections & Examinations Memo
  - y.  Subprime Methodology Changes
5.  H 6
- a.  07\_11\_08 Memo FCIC-155482-155491
  - b.  11\_17\_08 Letter WF-WACH-003797-3826
  - c.  2006 OCC ROE Wachovia
  - d.  2006 RAP FCIC-134446 – FCIC134579
  - e.  2006 Supervisory letter, April 4, 2007 FCIC-134580 –FCIC-134585
  - f.  2007 ROE FCIC 134717-725
  - g.  2010-03-23 D Noonan Interview with Scott Alvarez and Kiernan Fallon
  - h.  3\_09\_09 Memo to File fr. Scott G. Alvarez FCIC-133533-133548
  - i.  6\_16\_08 email FCIC-155446
  - j.  6\_17\_08 Email FCIC 154463-464
  - k.  6\_25\_08 Primary Dealer Monitoring- Liquidity Stress Analysis FCIC-155470-474 REDACTED
  - l.  7\_10\_08 email UST-FCIC 0029097
  - m.  7\_11\_08 Email FCIC-155481
  - n.  7\_11\_08 email UST-FCIC 0029096
  - o.  7\_12\_07 RAP FCIC-134586-FCIC 134716
  - p.  7\_12\_08 Email from McAndrews to McConnell FCIC-155504-06
  - q.  7\_12-13\_08 email FCIC-155510-12
  - r.  7\_14\_08 Email from Deborah Bailey FRB to Roger ColeFCIC-155293
  - s.  7\_14\_08 Email from Mark VanDerWeide, FRB to Scott Alvarez FRB FCIC-155285
  - t.  7\_15\_08 Email from Mark VanDerWeide FRB to Scott Alvarez FRB FCIC-155290
  - u.  7\_30\_08 Email from Mark VanDerWeide FRB to Deborah Bailey FRB FCIC-155214
  - v.  8\_04\_08 OCC letter and exam report OCC15-00300870-903
  - w.  8\_18\_08 AIG Report by Goldman FCIC-AIG0015413-430
  - x.  8\_19\_08 MOU OCC15-00300853-869
  - y.  9\_05\_08 Email FCIC-156055-156057
  - z.  9\_10\_08 Email FCIC-154786
  - aa.  9\_10\_08 email UST-FCIC 0029201
  - ab.  9\_11\_08 email and gameplan FCIC-154768-773
  - ac.  9\_11\_08 Email and plan FCIC-155196-201 (copy)
  - ad.  9\_11\_08 Email FCIC-154785
  - ae.  9\_11\_08 Email FCIC-154787-789
  - af.  9\_11\_08 email UST-FCIC 0029425-429
  - ag.  9\_11\_08 email UST-FCIC 0029510
  - ah.  9\_11\_08 email UST-FCIC 0029573
  - ai.  9\_12\_08 email and attached speaking notes UST-FCIC 0029633-35
  - aj.  9\_12\_08 Email and Financial Times article UST-FCIC 0029440-441
  - ak.  9\_12\_08 email FCIC-154863
  - al.  9\_12\_08 email FCIC-154870-871
  - am.  9\_12\_08 email UST-FCIC0029418-424
  - an.  9\_13\_08 Email and article FCIC-155927-932
  - ao.  9\_13\_08 Email and Master Timeline FCIC 155172-155175 REDACTED
  - ap.  9\_13\_08 Email FCIC 154966-67 (copy)
  - aq.  9\_13\_08 email FCIC-155967-969
  - ar.  9\_13\_08 Email from Tobias to Ashcraft et al FCIC-SSI0001367-1371
  - as.  9\_13\_08 Emails FCIC 155902-904
  - at.  9\_14\_08 email and resolutions FCIC 154989-154991
  - au.  9\_14\_08 email FCIC-154997
  - av.  9\_14\_08 Email FCIC-155006-010
  - aw.  9\_14\_08 email FCIC-156017-020
  - ax.  9\_14\_08 email FCIC-AIG0021165-66 REDACTED
  - ay.  9\_14\_08 email thread UST-FCIC0029411-0416

- az.  9\_27\_08 Memo from Elizabeth Gress and John A. Beebe to Jennifer Burns re Wachovia
- ba.  9\_28\_08 Memo to the Board of Governors of the Federal Reserve System
- bb.  9\_29\_08 memo to the FDIC Board of Directors
- bc.  9\_9\_08 email and attachment FCIC-155639-647
- bd.  9-29-08 Meeting Minutes
- be.  9-29-08 Transcript of Closed Meeting
- bf.  9-29-08 Wachovia Board Resolution
- bg.  FCIC - 155450 – FCIC-155452
- bh.  FCIC 155130-155134
- bi.  FCIC 155130-155134
- bj.  FCIC 156050-156054
- bk.  FCIC-133426-133437
- bl.  FCIC-154477-79
- bm.  FCIC-154542-154545
- bn.  FCIC-154556-563
- bo.  FCIC-154564
- bp.  FCIC-154731 REDACTED
- bq.  FCIC-154739
- br.  FCIC-154818-820
- bs.  FCIC-154847-850
- bt.  FCIC-155000
- bu.  FCIC-155011-013
- bv.  FCIC-155014-15 REDACTED
- bw.  FCIC-155027
- bx.  FCIC-155141-143 REDACTED
- by.  FCIC-155144-147 REDACTED
- bz.  FCIC-AIG0015389
- ca.  FCIC-AIG0015409
- cb.  FCIC-AIG0016236
- cc.  FCIC-AIG0021217-218
- cd.  FCIC-AIG-0021602-604
- ce.  FCIC-SSI0007460
- cf.  FRBNY to Exam. 000017-000032\_redacted
- cg.  FRBNY to Exam. 000033-000037\_redacted
- ch.  FRBNY to Exam. 007968
- ci.  FRBNY to Exam. 024000- 31
- cj.  FRBNY to Exam. 024766-802
- ck.  FRBNY to Exam. 034332-33
- cl.  Moodys-FCIC-0383733
- cm.  Notes of 9\_14\_08 conference call with Dinallo FCIC-AIG0021169-72
- cn.  OCC 2005 Report of Examination of Wachovia
- co.  OCC Quarterly Risk Assessment Profiles of Wachovia 2nd Quarter 2006
- cp.  OCC Quarterly Risk Assessment Profiles of Wachovia 2nd Quarter 2007
- cq.  OCC Quarterly Risk Assessment Profiles of Wachovia 2nd Quarter 2008
- cr.  UST-FCIC 0029177 REDACTED
- cs.  UST-FCIC 0029475 REDACTED
- ct.  UST-FCIC 0029499 REDACTED
- cu.  UST-FCIC 0029512 - UST-FCIC 0029514
- cv.  UST-FCIC 0029516-517 REDACTED
- cw.  UST-FCIC 0029680 REDACTED
- cx.  UST-FCIC 0029725-30 REDACTED
- cy.  UST-FCIC 0029964 REDACTED
- cz.  UST-FCIC 0030001 REDACTED
- da.  Wachovia 2009 Supervisory Plan FCIC-136525 – FCIC 136621
- db.  Wachovia power point feldberg

- dc.  WF-WACH-003782-3796
- dd.  WF-WACH-004017-20
- de.  WF-WACH-016550
- 6.  FCIC-document-inventory
- C.  Commission Governance - SEE Commission Work Space
- D.  Documents to be Cleared
  - 1.  Hearing Follow-Up
    - a.  Hearing Follow Up
      - 1.  Credit Rating Agencies
        - a.  Eric Kolchinsky Follow Up
        - b.  Gary Witt Follow Up
        - c.  Moodys Follow Up
        - d.  Moodys Follow Up Exhibits- Code Of Professional Conduct
        - e.  Moodys Follow Up Exhibits- Executive Compensation
        - f.  Moodys Follow Up Exhibits- Mortgage Origination
        - g.  Moodys Follow Up Exhibits- Rating Committee Memos for AIG
        - h.  Moodys Follow Up Exhibits- Reps and Warranties on RMBS
        - i.  Moodys Follow Up Exhibits- Structured Finance Ratings Part A
        - j.  Moodys Follow Up Exhibits- Structured Finance Ratings Part B
      - 2.  Derivatives
        - a.  C.K. Lee Follow Up
        - b.  Craig Broderick Follow Up
        - c.  David Viniar Follow Up
        - d.  Gary Gensler Follow Up
        - e.  Goldman Sachs Follow Up Exhibit GS MBS 0000039095
        - f.  Goldman Sachs Second Follow Up
        - g.  Joseph Cassano Follow Up
        - h.  Michael Greenberger Follow Up
      - 3.  First Public Hearing
        - a.  Bank of America Follow Up
        - b.  Denise Voigt Crawford Follow Up
        - c.  Eric Holder Follow Up
        - d.  FDIC First Follow Up
        - e.  Glenn Theobald Hearing Follow Up
        - f.  Goldman Sachs Follow Up
        - g.  JPMorgan Follow Up
        - h.  Julia Gordon Follow Up
        - i.  Kenneth Rosen Follow Up
        - j.  Kyle Bass Follow Up
        - k.  Lisa Madigan Follow Up
        - l.  Mike Mayo Follow Up
        - m.  Morgan Stanley Follow Up
        - n.  Peter Solomon Follow Up
        - o.  Rusty Cloutier Follow Up
        - p.  SEC Follow Up
      - 4.  Forum
        - a.  Anil Kashyap Follow Up
        - b.  Annamaria Lusardi Follow Up
        - c.  Dwight Jaffee Follow Up
        - d.  Markus Brunnermeier Follow Up
        - e.  Pierre-Olivier Gourinchas
        - f.  Randall Kroszner Follow Up
      - 5.  Shadow Banking
        - a.  Chairman Christopher Cox Follow Up
        - b.  Paul Friedman Follow Up
        - c.  Sam Molinaro Follow Up

- d.  Secretary Henry Paulson Follow Up
- e.  Secretary Tim Geithner Follow Up
- f.  Warren Spector Follow Up
- 6.  Subprime Lending and Securitization and GSEs
  - a.  Alan Greenspan Follow Up
  - b.  Citi Follow Up
  - c.  Dan Mudd Follow Up
  - d.  James Lockhart Follow Up
  - e.  John Dugan Follow Up
  - f.  John Hawke Follow Up
  - g.  Robert Levin Follow Up
- 7.  TBTF
  - a.  Chairman Bernanke Follow Up
  - b.  Dick Fuld Follow Up
  - c.  FDIC Second Follow Up
  - d.  Harvey Miller Follow Up
  - e.  Thomas Baxter Follow Up
- 2.  Public 0000-2007
  - a.  0000-00-00 12 USC 1843
  - b.  0000-00-00 12 USC 343
  - c.  0000-00-00 15 USC 1639(h) (1996)
  - d.  0000-00-00 15 USC 78o-7
  - e.  0000-00-00 17 CFR 229 1111 (a)(3) (2005)
  - f.  0000-00-00 17 CFR 230 144A(a)(1)(i) (2005)
  - g.  0000-00-00 American Bankruptcy Institute Annual Business and Non-Business Filings by Year
  - h.  0000-00-00 FRB Discount Window Getting Started
  - i.  0000-00-00 FRBNY Maiden Lane Transaction Overview
  - j.  0000-00-00 Hank Greenberg Fact Sheet on AIGFP
  - k.  0000-00-00 Loan Syndication Trade Association Presentation, The US Loan Market Today
  - l.  0000-00-00 Loan Syndication Trading Association Presentation on Financial Reform and the Leveraged Loan Market
  - m.  0000-00-00 Loan Syndication Trading Association Presentation- Challenges Facing CLOs...and the Loan
  - n.  0000-00-00 Mortgage Insurance Companies of America, 2009-2010 Fact Book and Member Directory
  - o.  0000-00-00 SBA Losses Climb 154% in 2008 (Coleman Report)
  - p.  0000-00-00 Shiller Historical Hous Prices
  - q.  0000-00-00 U.S. Census Bureau Table 14 Homeowner Rates for the US 1965 to Present
  - r.  1968-00-00 FNMA charter on HUD and goals
  - s.  1975-00-00 FNMA -- History
  - t.  1978-08-15 HUD-Secondary Market
  - u.  1983-10-08 SEC Treadway Rule 415
  - v.  1984-06-28 SIA v FRB 468.US.207.83-614
  - w.  1986-06-29 HUD 1986 Report to Congress on FNMA
  - x.  1986-06-29 HUD 1986 Report to Congress on FNMA
  - y.  1986-06-29 HUD 1986 Report to Congress on FNMA
  - z.  1987-06-00 Order Approving Limited Underwriting and Dealing (FR Bulletin)
  - aa.  1987-07-00 FRB Bulletin 73 no6
  - ab.  1987-07-00 FRB Bulletin 73 no6
  - ac.  1987-12-23 Gutfreund's Pay Is Cut (New York Times)
  - ad.  1989-03-00 Distinguished Lecture on Economics in Government Central Banking and Systemic Risk in Capital Markets
  - ae.  1989-03-00 Federal Reserve Bulletin 75-3
  - af.  1989-04-00 FRB Orders Issued Under Bank Holding Company Act
  - ag.  1989-04-05 Statement of the Federal Financial Supervisory Agencies Regarding the Community Reinvestm
  - ah.  1991-01-00 Frederic S. Mishkin Asymmetric Information and Financial Crises- A Historical Perspective
  - ai.  1991-05-09 John LaWare Testimony to Committee on Banking
  - aj.  1991-11-12 Labaton Power of the Mortgage Twins



- ak.  1992-06-19 Privileged Position (Wall Street Journal)
- al.  1992-12-31 Final Rule Real Estate Lending Standards
- am.  1993-01-11 FRB Joint Final Rule- Real estate lending standards
- an.  1993-01-22 CFTC 58 Fed Reg 5587
- ao.  1993-10-28 Senate Banking Committee Report
- ap.  1994-00-00 Cantor The Credit Rating Industry
- aq.  1994-00-00 Senate Banking Report 103-169
- ar.  1994-03-02 Greenspan Statement before Senate Banking (reprinted in FRB Bulletin 1994-05-01)
- as.  1994-05-18 Financial Derivatives Actions Needed to Protect the Financial System (GAO)
- at.  1994-09-29 103 Pub L 328 (Riegle-Neal)
- au.  1995-05-00 Williams and Jacobsen Business of Banking
- av.  1995-05-04 Community Reinvestment Act - Federal Register Vol. 60 No. 86
- aw.  1995-06-05 Clinton Remarks on Nat'l Homeownership Strategy
- ax.  1995-12-00 FRB Cantor Packer- The Credit Rating Industry
- ay.  1996-09-30 Lending and Investment - Federal Register, Vol. 61, No. 90
- az.  1996-10-11 104 Pub Law 290
- ba.  1996-12-30 Revenue Limit on Bank-Ineligible Activities of Subsidiaries of Bank Holding Companies (Federal Register 61 no. 251)
- bb.  1997-02-21 Greenspan Derivatives Regulation
- bc.  1997-03-03 Canner The CRA and the Profitability of Mortgage-Oriented Banks
- bd.  1997-04-12 Greenspan Evolution of Banking
- be.  1997-05-22 Greenspan Financial Services Act (Testimony US House)
- bf.  1997-09-19 Moodys Structured Finance Special Report on Alternative Financial Ratios for the Effects of Securitization S& P-FCIC 0017504-519
- bg.  1997-10-27 FRB Supervisory Letter SR 97-24 (SUP) on risk-focused framework for supervision of large complex institutions
- bh.  1997-12-00 FDIC History of the Eighties - Lessons for the Future (Volume 1)
- bi.  1998-00-00 CFTC Enforcement
- bj.  1998-01-12 Greenspan Development
- bk.  1998-01-20 Federal Reserve Consumer Affairs Letter CA 98-1 (To the Officers and Managers in Charge o
- bl.  1998-05-07 Joint Statement by Treasury Secretary Rubin, Federal Reserve Board Chairman Greenspan, and SEC Chairman Levitt (Treasury press release)
- bm.  1998-05-14 O'Neill Remarks before the Conference on Appraising Fannie and Freddie
- bn.  1998-07-00 FRB and HUD Joint Report Concerning Reform to the Truth in Lending Act and the Real Estat
- bo.  1998-07-24 BORN THE OVER-THE-COUNTER DERIVATIVES MARKET
- bp.  1998-07-24 Greenspan OTC Derivatives (House Testimony)
- bq.  1998-08-17 Federal Reserve Board Press Release - Order Approving the Merger of Bank Holding Companie
- br.  1998-09-14 Southern Pacific Funding 8-K
- bs.  1998-09-14 Southern Pacific Funding 8-K
- bt.  1998-10 McDonough Statement Before the House Committee on Banking and Financial Services
- bu.  1998-10-00 Subprime Home Equity Industry Outlook - The Party's Over (Moody's)
- bv.  1998-10-01 Greenspan on LTCM (US House Testimony)
- bw.  1998-10-01 W J McDonough FRBNY Testimony to H B and Fin Serv
- bx.  1998-10-14 FRB Wells Fargo Release
- by.  1998-12-13 The Global OTC Derivatives Market at End-June 1998 (Bank of International Settlements press release)
- bz.  1999-02-02 The ABCP Market in the Third Quarter of 1998 (Moody's Special Report)
- ca.  1999-02-15 The Committee to Save the World (Time Cover)
- cb.  1999-03-00 Edwards Hedge Funds and the Collapase of LTCM
- cc.  1999-03-01 Interagency Guidance on Subprime Lending (FRB, FDIC, OCC, OTS)
- cd.  1999-03-19 Greenspan Financial Derivatives
- ce.  1999-04-00 Hedge Funds, Leverage, and the Lessons of Long-Term Capital Management (PWG on Financial Markets)
- cf.  1999-09-01 FDIC Announces Receivership of First National Bank of Keystone
- cg.  1999-09-27 Greenspan Global Crises
- ch.  1999-10-00 Long-Term Capital Management Greater Attention on Systemic Risk (GAO)
- ci.  1999-10-08 Interagency Guidance on High LTV Residential Real Estate Lending

- cj.  1999-10-11 Greenspan Bank Supervision
- ck.  1999-10-19 Greenspan Markets and Crises
- cl.  1999-10-31 Kathleen Day WPost Article Reinventing the Bank
- cm.  1999-11-00 Large Bank Mergers Fair Lending Review (GAO)
- cn.  1999-11-00 Over the Counter Derivatives Markets and the Commodity Exchange Act (PWG on Financial Mar
- co.  1999-12-00 The Making of a Law (ABA Banking Journal)
- cp.  1999-12-15 The Implications of Financial Modernization Legislation for Bank Supervision (Meyer remar
- cq.  2000-01-00 Jones Emerging problems with Basel
- cr.  2000-03-30 New Century 1999 10-K
- cs.  2000-06-01 Treasury and HUD Report- Curbing Predatory Home Lending
- ct.  2000-06-16 NYSID Credit Default Option Facility
- cu.  2000-07-27 Gramlich Equity Lending
- cv.  2000-09-15 Moody's Approach to Rating Multisector CDOs (Moody's Special Report)
- cw.  2000-10-22 NYTimes - Along with a Lender, Is Citigroup Buying Trouble
- cx.  2000-10-31 HUD Announces New Regulations to Provide \$2.4 Trillion in Mortgages for Affordable Housin
- cy.  2000-12-14 First the put; then the cut - The Economist
- cz.  2001-00-00 Blanchard Decline in U.S. Output Volatility
- da.  2001-02-05 Marshall The Crisis of 1998 and the CB
- db.  2001-04-02 New Century 2000 10-K
- dc.  2001-09-10 Critics Unsatisfied (American Banker)
- dd.  2001-12-12 FRB Predatory Lending
- de.  2001-12-20 Truth in Lending (Federal Register 66, no. 245)
- df.  2001-3-21 Gramlich Predatory Lending
- dg.  2002-00-00 Wilmarth The Transformation of the US Financial Services
- dh.  2002-01-18 Gramlich Predatory
- di.  2002-01-28 Friendly Watchdog (Wall Street Journal)
- dj.  2002-02-12 Hawke Speech Women in Housing and Finance
- dk.  2002-02-18 What ifs in ABS CDOs (ASR)
- dl.  2002-03-21 FTC Predatory Lending Charges
- dm.  2002-09-19 Citi Settles FTC Charges for Subprime Lending
- dn.  2002-10-01 OTS Preemption of CA Minimum Payment Statute
- do.  2002-10-15 Ben S. Bernanke Speech, Asset-Price Bubbles and Monetary Policy
- dp.  2002-10-15 Bernanke Asset Bubbles
- dq.  2002-11-13 Greenspan Economic Outlook
- dr.  2002-11-19 Greenspan Financial Risk Management
- ds.  2002-11-21 Deflation - Making Sure 'It' Doesn't Happen Here (Bernanke Speech at National Economists Club)
- dt.  2003-01-02 Four Enron Transactions Facilitated by US Institutions
- du.  2003-04-01 Moody's Mortgage Metrics - A Model Analysis of Residential Mortgage Pools (Moody's Special Report)
- dv.  2003-05-07 William H. Donaldson Testimony Concerning Global Research Analyst Settlement
- dw.  2003-08-05 OCC Treasury Proposed Rule Bank Activities, Real Estate Lending
- dx.  2003-09-17 PSI WaMu Option ARM Focus Group Phase I and II Ex 35 and 36
- dy.  2003-10-08 Roger W. Ferguson Speech, The future of financial services - Revisited
- dz.  2003-10-09 Gramlich speech on predatory lending
- ea.  2003-11-14 State St Letter to OTS
- eb.  2003-11-17 ASF Letter to OTS
- ec.  2003-11-24 Yacht Sold in Case Where Ginnie Suffered Biggest Loss (MortgageDaily.com)
- ed.  2004-01-03 Greenspan Risk and Uncertainty
- ee.  2004-01-07 69 Fed Reg 1904
- ef.  2004-01-07 Countrywide Q3 Earnings Call (7.21)
- eg.  2004-01-28 Countrywide Adding Staff to Boost Purchase Share (American Banker)
- eh.  2004-02-00 FTC Effect of Mortgage Broker Compensation Disclosures
- ei.  2004-02-11 Greenspan Monetary Policy Report
- ej.  2004-02-12 Comments of J.P. Morgan Chase to SEC
- ek.  2004-02-18 Deutsche SEC

- el.  2004-02-20 Bernanke Great Moderation
- em.  2004-03-08 Lehman Brothers Letter to the SEC
- en.  2004-03-12 Moody's Corporation 2003 10-K
- eo.  2004-03-15 New Century 2003 10-K
- ep.  2004-04-00 GAO Predatory Lending Report to Committee on Aging
- eq.  2004-04-08 CBO Updated Estimates of the Subsidies to the Housing GSEs
- er.  2004-04-13 PSI Long Beach Mortgage Production Ex 59a
- es.  2004-05-00 Apgar-Fishbein Changing Industrial Organization
- et.  2004-05-19 Interagency Statement on Structured Finance
- eu.  2004-05-27 FRB\_ Press Release - - Order to cease and desist and civil money penalty against Citigroup, Inc
- ev.  2004-05-28 NYTimes - Fed Assesses Citigroup Unit \$70 million in loan abuse
- ew.  2004-07-12 FINRA News Release- NASD Fines Piper Jaffray \$2.4 Million for IPO Spinning
- ex.  2004-09-17 FBI warns of mortgage fraud 'epidemic' (CNN)
- ey.  2004-09-17 FSA Administrative Actions on Citibank, N.A. Japan Branch
- ez.  2004-10-02 Bush to Home Builders
- fa.  2004-10-07 Swecker Congressional Testimony
- fb.  2004-10-18 Drought of CDO (ASR)
- fc.  2004-11-01 HUD Finalizes Rule on New Housing Goals for Fannie Mae and Freddie Mac (HUD press release)
- fd.  2004-11-04 HUD GSE goals 2005 to 2008
- fe.  2005-00-00 Avery New Information Reported Under HMDA
- ff.  2005-00-00 Golden West 10-K 2005
- fg.  2005-00-00 Polk County v Almeida
- fh.  2005-01-31 Blizzard ASF (ASR)
- fi.  2005-02-01 2004 US CDO Review - 2005 Preview - Record Activity Levels Driven by Resecuritization CDOs and CLOs (Moody's Special Report)
- fj.  2005-02-16 Greenspan Monetary Policy Report (Senate)
- fk.  2005-03-00 BIS Credit Risk Transfer
- fl.  2005-03-08 Moody's Corporation 2004 10-K
- fm.  2005-03-10 Bernanke Savings Glut
- fn.  2005-03-21 Brown Rising Risks in Housing Markets
- fo.  2005-04-15 U.S. Subprime RMBS in CDOs (Hedi Katz, Fitch Special Report)
- fp.  2005-05 Fed Res Rpt GSE Implicit Subsidy and the Value of Gov't Ambiguity
- fq.  2005-05-00 The Rent-Price Ratio for the Aggregate Stock of Owner-Occupied Housing
- fr.  2005-05-01 AIG 8-K
- fs.  2005-05-09 CDO Investors Debate Morality of Spread Environment (ASR)
- ft.  2005-05-16 Credit Risk Management Guidance for Home Equity Lending
- fu.  2005-05-23 CDO Machine (ASR)
- fv.  2005-05-28 Risky Mortgages (Washington Post)
- fw.  2005-06-00 BIS International Banking
- fx.  2005-06-09 Greenspan The economic outlook
- fy.  2005-06-15 OFHEO 2005 Rpt to Cong
- fz.  2005-06-18 After the Fall (The Economist)
- ga.  2005-06-21 ISDA Publishes Template for Credit Default Swaps on Asset-Backed Securities with Pay As You Go Settlement (ISDA press release)
- gb.  2005-06-27 Moody's Revisits its Assumptions Regarding Structured Finance Default (and Asset) Correla
- gc.  2005-06-28 FSA Citigroup penalty
- gd.  2005-06-29 Reilly Moving the Market (Wall Street Journal)
- ge.  2005-06-30 Ameriquest Details Procedures (American Banker)
- gf.  2005-07-25 Counterparty Risk Management Policy Group- Toward Greater Financial Stability
- gg.  2005-08-04 Interagency Guidance on the Eligibility of ABCP Liquidity Facilities
- gh.  2005-08-21 Leonhardt Be Warned (The New York Times)
- gi.  2005-08-26 Greenspan Central Banking
- gj.  2005-09-00 DOJ FBI Reprioritization efforts
- gk.  2005-09-15 FRBNY Meeting on Credit Derivatives
- gl.  2005-09-20 Nomura Report from Boca Raton- Coverage of Selected Sessions of ABS East 2005
- gm.  2005-10 Options Group 2005 Global Financial Market Overview & Compensation Report

- gn.  2005-10-00 Global Financial Market and Compensation Report
- go.  2005-10-25 MBA Originations Rise
- gp.  2005-11-00 Florida Department of Law Enforcement Mortgage Fraud Assessment
- gq.  2005-11-30 Fed's internal Residential Mortgage Lenders Peer Group Survey
- gr.  2005-12-15 FBI on Mortgage Fraud (Washington Post)
- gs.  2005-12-29 Interagency Guidance on Nontraditional Mortgage Products
- gt.  2005-12-30 Merrill Lynch 2005 10-K
- gu.  2005-12-31 Countrywide 2005 10-K
- gv.  2006-00-00 ACA Capital 10-K
- gw.  2006-00-00 FRB Changes in US Family Finances
- gx.  2006-01-12 Moodys William May Email to Gus Harris re BES and PEs
- gy.  2006-01-18 Sandler Capital Rule
- gz.  2006-02-00 Chomsisengphet and Pennington-Cross paper
- ha.  2006-02-03 CDOs with Short-Term Tranches - Moody's Approach to Rating Prime-1 CDO Notes (Moody's Spe
- hb.  2006-02-06 2005 US CDO Review - Looking Ahead to 2006 - Record Year Follows Record Year (Moody's Special Report)
- hc.  2006-02-07 Goldman Sachs 2005 10-K
- hd.  2006-03-00 Reserve Fund Semi Annual Report
- he.  2006-03-01 Moody's Corporation 2005 10-K
- hf.  2006-03-13 FRBNY New Industry Commitments on Credit Derivatives
- hg.  2006-03-14 Citigroup 2006 Proxy Statement
- hh.  2006-03-16 Donald L. Kohn Speech Monetary Policy and Asset Prices
- hi.  2006-03-28 American Financial Services Association Letter to Federal Regulators
- hj.  2006-03-29 American Bankers Association letter to OTS
- hk.  2006-03-29 Housing Policy Council letter to OTS
- hl.  2006-03-29 IndyMac
- hm.  2006-03-29 Smith Proposed Guidance on Nontraditional Mortgage Products
- hn.  2006-03-30 FRB Transcript of the Consumer Advisory Council Meeting
- ho.  2006-04-06 Citigroup 8-K
- hp.  2006-04-18 WaMU Home Loan Discussion Presentation for the Board of Directors
- hq.  2006-05-00 Garbade Repo Contracting Conventions in the 1980s
- hr.  2006-05-23 OFHEO Report - Fannie Mae Facade - Fannie Mae Criticized for Earnings Manipulation (OFHEO
- hs.  2006-05-23 OFHEO Rpt Fannie Made Facade
- ht.  2006-05-23 SEC Speech Remarks at Joint News Conference with OFHEO; Washington, DC
- hu.  2006-06-15 Cox Accounting Irregularities at Fannie Mae (Senate)
- hv.  2006-06-22 FRB Transcript of the Consumer Advisory Council Meeting
- hw.  2006-06-23 Goldstein v SEC
- hx.  2006-06-28 Freddie Mac 2005 Annual Report
- hy.  2006-07-12 Freddie Mac Proxy Statement
- hz.  2006-08-00 PSI Exhibit 37
- ia.  2006-08-00 PSI Exhibit 37 WaMu Option ARM credit Risk
- ib.  2006-08-01 Freddie Mac Voluntarily Adopts Temporary Limited Growth for Retained Portfolio
- ic.  2006-08-07 LaSalle Bank v Lamy
- id.  2006-08-19 Downey Insures Urge Action (Washington Post)
- ie.  2006-09-00 Andrew von Norden-flycht, Draft Paper on The Demise of the Professional Partnership, The Emergence and Diffusion of Publicly-Traded Professional Service Firms
- if.  2006-09-06 Moody's Expands Moody's Mortgage Metrics to Include Subprime Residential Mortgages
- ig.  2006-09-20 Simpson MICA Testimony
- ih.  2006-09-27 FRBNY Progress in Credit Derivatives Markets
- ii.  2006-10-00 Housing at the Tipping Point - The Outlook for the US Residential Real Estate Market (Moo
- ij.  2006-10-11 Ishikawa e-mail to Herrick Senate PSI Exhibit 170c
- ik.  2006-10-24 PSI Exhibit 170d - Geoffrey Williams email to Ficc-Mtgcrr-desk (Goldman Sachs email)
- il.  2006-10-26 FRB Transcript of the Meeting of the Consumer Advisory Council
- im.  2006-11-00 FINCEN Mortgage Fraud Report November 2006
- in.  2006-11-00 Options Group Compensation Report
- io.  2006-11-11 Countrywide seeks to become savings bank -- LA Times

- ip.  2006-11-11 LA Times - Countrywide seeks to become savings bank
- iq.  2006-11-20 Wilmarth Conflict of Interest
- ir.  2006-12-00 Center For Responsible Lending Losing Ground Foreclosures in the Subprime Market and Their Cost to Homeowners
- is.  2006-12-13 Michael Dinius email to David Viniar and Craig Broderick Senate PSI Exhibit 2
- it.  2006-12-14 Kevin Gasvoda, email to Genevieve Nestor and others, PSI Exhibit 72
- iu.  2006-12-14, 12-15 Viniar, Montag, Sparks, Ruzika email chain (PSI Exhibit 3 - Goldman)
- iv.  2006-12-18 Nomura Fixed Income Research, CDO\_CDS Update
- iw.  2006-12-20 PSI Exhibit 151- Goldman Sachs internal email re Mezz Risk\_1 (copy)
- ix.  2006-12-28 Fabrice Tourre Email to Jonathan Egol and others, PSI Exhibit 61
- iy.  2006-3-15 WaMU 10-K
- iz.  2007-00-00 Demyanyk Subprime ARMS
- ja.  2007-00-00 Fannie Mae 2007 Annual Report
- jb.  2007-00-00 October Research 2007 Nationa Appraisal Survey
- jc.  2007-00-00 OFHEO Performance and Accountability Report
- jd.  2007-01-00 CBO Housing Wealth and Consumer Spending
- je.  2007-01-00 Moody's Structured Finance Rating Transitions - 1983-2006 (Moody's Special Comment)
- jf.  2007-01-03 104 Publ L 208
- jg.  2007-01-05 Interagency Statemetn on Sound Practices Concerning Elevated Risk Complex Structured Fina
- jh.  2007-01-18 Early Defaults Rise in Mortgage Securitization (Moody's Special Report)
- ji.  2007-01-18 Frydman-Saks Trends in Executive Compensation
- jj.  2007-01-23 Fabrice Tourre email to Jonathan Egol and others (PSI Exhibit 62)
- jk.  2007-01-31 Daniel Sparks email Tom Montag (PSI Exhibit 91 - Goldman)
- jl.  2007-02-06 Goldman Sachs 2006 10-K
- jm.  2007-02-11 Lloyd Blankfein, email to Tom Montag PSI Exhibit 130
- jn.  2007-02-11 OTS comments on S7-21-03
- jo.  2007-02-13 Bear Stearns 2006 10-K
- jp.  2007-03-00 Alan Greenspan and Jamie Kennedy- Sources and Uses of Equity Extracted from Homes
- jq.  2007-03-01 Countrywide Form 10-K
- jr.  2007-03-01 Moody's Corporation 2006 10-K
- js.  2007-03-01 Washington Mutual 2006 10-K
- jt.  2007-03-16 Merrill Lynch Proxy Statement
- ju.  2007-03-22 Joseph A Smith (Senate)
- jv.  2007-03-23 Freddie Mac 2006 Annual Report
- jw.  2007-03-23 The Impact of Subprime Residential Mortgage-Backed Securities on Moody's-Rated Structured Finance CDOs - A Preliminary Review
- jx.  2007-03-28 Bernanke Allays Subprime Fears as Beazer Faces Probe (Julie Haviv, Reuters)
- yy.  2007-03-28 Bernanke Economic Outlook
- jz.  2007-03-30 Bernanke CRA
- ka.  2007-03-30 JP Morgan Chase 2007 Proxy
- kb.  2007-04-16 Citigroup Corporate Library Analyst Report
- kc.  2007-04-17 Watters v Wachovia
- kd.  2007-04-19 Merrill Lynch 1Q 2007 Earnings Call
- ke.  2007-04-27 Merrill Lynch 2007 Proxy Statement
- kf.  2007-05-07 Freddie Mac Proxy Statement
- kg.  2007-05-09 Everquest Financial Ltd. Form S-1
- kh.  2007-05-11 Goldman Sachs internal email re CDOs-Mortgages
- ki.  2007-05-31 First Quarter 2007 US CDO Review - Climbing the Wall of Subprime Worry (copy)
- kj.  2007-06-09 Did Greenspan Add to Subprime Woes (WSJ)
- kk.  2007-06-28 IKB 2006-2007 Annual Report
- kl.  2007-06-30 Moody's ABCP Program Index - CP Outstanding as of 06-30-2007 (Moody's Investors Service)
- km.  2007-06-30 Rhineland Funding Capital Corporation - Moody's Performance Overview
- kn.  2007-07-00 U.S. Subprime Rating Surveillance Update (Glenn Costello, Fitch Ratings)
- ko.  2007-07-09 Citigroup chief stay bullish on buyouts (The Financial Times)
- kp.  2007-07-10 Announcement - Moody's Downgrades Subprime First-Lien RMBS (Moody's Investors Service)
- kq.  2007-07-11 S& P 612 Subprime RMBS Put on Watch Neg

- kr.  2007-07-12 S & P Various Subprime RMBS Classes Downgraded
- ks.  2007-07-16 Park Granada LLC - Moody's Structured Finance ABCP Program Review
- kt.  2007-07-16 Park Sienna LLC - Moody's Structured Finance ABCP Program Review
- ku.  2007-07-17 Agencies Announce Pilot Project to Improve Supervision of Subprime Mortgage Lenders
- kv.  2007-07-17 Merrill Lynch 2Q 2007 Earnings Call Transcript
- kx.  2007-07-20 Citigroup 2007 2Q Earnings Call Transcript
- ky.  2007-07-20 IKB Press Release
- ky.  2007-07-20 SIVs - An Oasis of Calm in the Sub-prime Maelstrom (Moody's Special Report)
- kz.  2007-07-26 Berenbaum Ending Mortgage Abuse (Senate)
- la.  2007-07-26 Paulson Says Subprime Rout Doesn't Threaten Economy (Bloomberg - Carmichael, Cook)
- lb.  2007-08-02 The Street, Countrywide Defends Liquidity
- lc.  2007-08-03 Merrill Lynch 2007 Q2 Earnings (10-Q)
- ld.  2007-08-03 S&P Research Update - Bear Stearns Cos. Inc. Outlook Revised to Negative
- le.  2007-08-06 Countrywide 8-K
- lf.  2007-08-09 AIG Earnings Call Summary (14.75, 14.90)
- lg.  2007-08-09 AIG Residential Mortgage Presentation
- lh.  2007-08-09 Bloomberg - BNP Paribas Freezes Funds as Loan Losses Roil Markets
- li.  2007-08-09 BNP Paribas suspends NAV calculation
- lj.  2007-08-09 BNP suspends funds (MarketWatch)
- lk.  2007-08-10 Lockhart to Schumer
- ll.  2007-08-10 The Federal Reserve Is Providing Liquidity to Facilitate the Orderly Functioning of Financial Markets (Federal Reserve press release)
- lm.  2007-08-13 FRB July 2007 Senior Loan Officer Opinion Survey on Bank Lending Practices
- ln.  2007-08-16 Credit Crunch Imperils Countrywide
- lo.  2007-08-16 Fannie Mae 2006 10-K
- lp.  2007-08-17 FOMC Statement
- lq.  2007-08-17 Madelyn Antoncic Presentation, Lehman Brothers Risk Management
- lr.  2007-08-23 Mozillo quoted by PBS
- ls.  2007-08-23\_CNBC\_Martiromo\_Mozillo\_transcript
- lt.  2007-08-31 Hu Assessing Credit Risk of CDos backed by Structured Finance Securities
- lu.  2007-09-00 NCRC - CRA Commitments
- lv.  2007-09-01 Gramlich Booms and Busts
- lw.  2007-09-03 Not So Smart (BusinessWeek)
- lx.  2007-09-04 For Private Equity Market, All Eyes on First Data (Reuters)
- ly.  2007-09-05 From Illiquidity to Liquidity - The Path Toward Credit Market Normalization (Moody's Inte
- lz.  2007-09-07 Lehman Who owns residential credit risk
- ma.  2007-09-07 Shilpiekandula Who Owns Residential Credit Risk
- mb.  2007-09-11 Moodys Town Hall Meeting transcript
- mc.  2007-09-19 OFHEO Press Release- OFHEO Provides Flexibility on Fannie Mae, Freddie Mac Mortgage Portfolios
- md.  2007-09-20 Fannie Mae Expand (The New York Times)
- me.  2007-09-27 Bass Testimony before the House Financial Services Committee
- mf.  2007-09-27 Freddie Mac, Four Former Executives Settle SEC Action Relating to Multi-Billion Dollar Accounting Fraud (SEC press release)
- mg.  2007-10-00 Avery-Brevoot-Canner Using HMDA data
- mh.  2007-10-05 Moodys Email from Yuri Yoshizawa to Managing Directorss re 3Q Mkt Coverage CDOs
- mi.  2007-10-08 AIG Joseph Cassano email to Andrew Forster et al re SS CDS Valuation
- mj.  2007-10-11 Moody's Downgrades \$33.4 billion of 2006 Subprime First-Lien RMBS and Affirms \$280 Billion Aaa's and Aa's
- mk.  2007-10-15 Citigroup Q3 2007 Earnings Call Transcript
- ml.  2007-10-17 October 11 Rating Actions Related to 2006 Subprime First-Lien RMBS (Moody's Special Report)
- mm.  2007-10-23 Eisman and Warrack Standard & Poor's Structured Finance Teleconference Transcript
- mn.  2007-10-24 Merrill Lynch 2007 Earnings Call Transcript
- mo.  2007-10-29 Yoon Merrill own subprime warnings unheeded (Reuters)
- mp.  2007-11-00 Options Group Compensation Report
- mq.  2007-11-01 eAppraiseIT complaint

- mr.  2007-11-02 Bloomberg- O'Neal Ranks No. 5 on Payout List, Group Says
- ms.  2007-11-02 Fannie Mae DEFA14A
- mt.  2007-11-05 FRB October 2007 Senior Loan Officer Opinion Survey
- mu.  2007-11-07 AIG 3Q Earnings Conference Call
- mv.  2007-11-07 PWC Memo PWC-FCIC 000224-240
- nw.  2007-11-09 Bank of America 10-Q for period ending 2007-09-30
- mx.  2007-11-15 GE Bond Fund Investors Cash Out After Losses From Subprime - Bloomberg
- my.  2007-11-16 NYTimes As Bank Profits Grew, Warning Signs Went Unheeded
- mz.  2007-12-00 BlackRock Solutions- Fannie Mae's Strategy and Business Model Supplementary Exhibits
- na.  2007-12-00 BlackRock Solutions- Fannie Mae's Strategy and Business Model Supplementary Exhibits
- nb.  2007-12-05 AIG Conference Call Transcript
- nc.  2007-12-10 Bloomberg - Bank of America to Liquidate \$12 Billion Cash Fund
- nd.  2007-12-11 NYTimes - Mortgage Crisis Forces the Closing of a Fund
- ne.  2007-12-18 NYTimes - Fed Shrugged as Subprime Crisis Spread, Edmund L. Andrews
- nf.  2007-12-21 Lockhart Setting an OFHEO Plan But Wishing Otherwise (American Banker)
- ng.  2007-12-31 AIG 2007 10-K
- nh.  2007-12-31 AIG 2007 10-K
- ni.  2007-12-31 Fannie Mae 2007 10-K
- nj.  2007-12-31 FDIC Quarterly 2, no 1
- nk.  2007-12-31 Washington Mutual 2007 10-K
- nl.  2010-04-28 SEC Fact Sheet on Global Analyst Research Settlements
- nm.  2010-11 California Legislative Analyst's Office The 2011-12 Budget
- nn.  2010-2 Dennis Small Business Credit In a Deep Recession
- no.  201-05-20 Order, Objection to Claims of Citibank, N.A. 4-6-10 (Bankr. E.D. Cal.)
- 3.  Public 2008-2011
  - a.  2008-00-00 AIG 10-K
  - b.  2008-00-00 FHFA Report to Congress
  - c.  2008-00-00 GE 2008 Annual Report
  - d.  2008-00-00 Morgan Stanley 2008 10-K
  - e.  2008-01-08 Adelson and Jacob Sub-prime Problem
  - f.  2008-01-16 Moody's Update on Structured Investment Vehicles (Moody's Special Report)
  - g.  2008-01-25 FRBNY - Financial Holding Company Project
  - h.  2008-01-28 Bear Stearns 10-K 2007
  - i.  2008-02-00 State and Local anti-predator lending laws
  - j.  2008-02-18 IKB Restated 2006-2007 Annual Report
  - k.  2008-02-22 Citigroup 2007 10-K
  - l.  2008-02-25 S& P Results of Subprime Stress Test of FGs
  - m.  2008-02-27 2007 Review and 2008 Outlook - US Asset-Backed Commercial Paper (Moody's Structured Finance Special Report)
  - n.  2008-02-27 Fannie Mae Reports 2007 Financial Results (Fannie Mae press release)
  - o.  2008-02-28 Freddie Mac Annual Report 2007
  - p.  2008-02-28 Schumer to Lockhart
  - q.  2008-02-29 Missal - Final Report on the Bankruptcy of New Century
  - r.  2008-02-29 Moody's Corporation 2007 10-K
  - s.  2008-03-00 FRBNY Staff Report- Understanding the Securitization of Subprime Mortgage Credit (copy)
  - t.  2008-03-00 MBA National Delinquency Survey
  - u.  2008-03-03 2008 US CDO Outlook and 2007 Review - Issuance Down in 2007 Triggered by Subprime Mortgages Meltdown, Lower Overall Issuance Expected in 2008
  - v.  2008-03-05 Lehman Proxy Statement
  - w.  2008-03-07 Goldman Sachs Proxy Statement for 2008 Annual Meeting
  - x.  2008-03-11 Yalman Onaran Art BS Investor Lewis May Increase His Stake
  - y.  2008-03-12 Erik R. Sirri Testimony Before the House Financial Services Committee on Municipal Bond Turmoil
  - z.  2008-03-13 Citigroup 2008 Proxy Statement for Fiscal Year 2007
  - aa.  2008-03-17 Hedge Funds (Wall Street Journal)
  - ab.  2008-03-17 Zuckerman Hedge Funds (Wall Street Journal)
  - ac.  2008-03-19 OFHEO Fannie Freddie Initiative Mortgage Market Liquidity

- ad.  2008-03-20 Eisinger Debt Shuffle (Portfolio)
- ae.  2008-03-20 Lehman email Russo to Fuld re short sellers
- af.  2008-03-31 FDIC Quarterly 2, no 2
- ag.  2008-03-31 Treasury Releases Blueprint for Stronger Regulatory Structure (Treasury press release)
- ah.  2008-04-00 IMF Global Financial Stability Report - Containing Systemic Risks and Restoring Financial Soundness
- ai.  2008-04-03 FRBNY Geithner Testimony on Actions by FRBNY in Response to Liquidity Pressures in the Financial Markets
- aj.  2008-04-08\_Einhorn Private Profits and Socialized Risks
- ak.  2008-04-15 Fuld Worst Behind US (Bloomberg)
- al.  2008-04-15 OFHEO 2008 OFHEO Rpt to Cong
- am.  2008-04-18 Moody's Downgrades Ratings of Notes Issued by Maxim High Grade CDO I, Ltd.
- an.  2008-04-18 Moody's Downgrades Ratings of Notes Issued by Maxim High Grade CDO II, Ltd.
- ao.  2008-04-24 Countrywide 2007 10-K
- ap.  2008-04-24 HUD Letter to Fannie Mae
- aq.  2008-05-06 Johnson How far can fair value go
- ar.  2008-05-21 Einhorn Accounting Ingenuity Speech
- as.  2008-05-26 The Sad Story of Auction-Rate Securities (Barrons)
- at.  2008-05-27 Conference Board News Release
- au.  2008-05-28 Lehman Presentation to the Federal Reserve- Update on Capital Liquidity
- av.  2008-06-00 Fettig History of the Powerful Paragraph 13-3
- aw.  2008-06-06 Lehman Borthers Robert Azerad- 2008 Q2 Liquidity Position
- ax.  2008-06-12 Citi Fontana Email to Foskett
- ay.  2008-06-13 Lehman email Russo to Fuld attaching article
- az.  2008-06-19 SEC v Cioffi
- ba.  2008-06-24 S&P Basics of Credit Enhancement in Securitizations
- bb.  2008-06-25 Donohue SEC Open Meeting Speech
- bc.  2008-06-30 AIG 10-Q
- bd.  2008-06-30 FDIC Quarterly 2, no3
- be.  2008-07-07 OTS Report of Examination of Lehman Brothers
- bf.  2008-07-13 Federal Reserve Authority to Lend to FNM FRE
- bg.  2008-07-13 Statement by Daniel H. Mudd, President and CEO (Fannie Mae press release)
- bh.  2008-07-15 Secretary Henry Paulson Testimony on GSE Initiatives before the Senate Banking Committee
- bi.  2008-07-18 Bucks-Pence Do Borrowers know their mortgage terms
- bj.  2008-07-30 Truth in Lending (Federal Register 73 no. 147)
- bk.  2008-08-00 FRBNY Presentation- Developing Metrics for the Four Largest Securities Firms
- bl.  2008-08-04 Gary Gorton The Panic of 2007
- bm.  2008-08-06 Freddie Mac CEO Richard Syron Talks about Stock Slide
- bn.  2008-08-19 FDIC Presses Bank Regulators to Use Warier Eye (Wall Street Journal)
- bo.  2008-08-28 Office of Florida Attorney General News Release
- bp.  2008-09-00 Emergency Economic Stabilization Act
- bq.  2008-09-04 JPMorgan Lehman Briefing Memo
- br.  2008-09-08 OCC Observations - Allowance Process and Methodology
- bs.  2008-09-09 JPMorgan John Hogan Email to Steven Black
- bt.  2008-09-11 JPMorgan Jane Buyers-Russo email to Bryn Thomas Re Lehman Brothers TriParty Collateral
- bu.  2008-09-11 Moody's Downgrades WaMu Ratings, Outlook Negative (Moody's Global Credit Research)
- bv.  2008-09-12 Fidelity, Blackrock, Dreyfus, Reserve Make Big Gains Past 12 Months - Crane Data
- bw.  2008-09-12-13 FRBNY Emails on AIG
- bx.  2008-09-13 LEH Motion re Derivatives
- by.  2008-09-14 FRB Liquidity Support
- bz.  2008-09-14 Lehman Borthers Minutes of a Meeting of the Board of Directors
- ca.  2008-09-15 email JPMorgan Weisbrod to Dimon re Tri-Party Close
- cb.  2008-09-16 AIG Commercial Paper Outstanding & Maturities- week of Sept 15th produced by JPMorgan
- cc.  2008-09-16 FOMC statement
- cd.  2008-09-19 Statement by Secretary Henry M. Paulson, Jr. on Comprehensive Approach to Market Developments (Treasury press release)



- ce.  2008-09-19 Treasury Announces Guaranty Program for Money Market Funds (Treasury press release)
- cf.  2008-09-23 Bernanke Financial Markets (Senate Testimony)
- cg.  2008-09-23 Dodd Shelbey (Senate Banking)
- ch.  2008-09-23 Paulson on Turmoil in US Credit Markets (Senate)
- ci.  2008-09-24 Bernanke Economic Outlook
- cj.  2008-09-24 Bernanke Future of Fin Services
- ck.  2008-09-25 OTS Fact Sheet on Washington Mutual Bank
- cl.  2008-09-25 SEC's Oversight of Bear Stearns and Related Entities (SEC OIG Report)
- cm.  2008-09-26 Cox Announces End of CSE Program
- cn.  2008-09-28 OTS Fact Sheet on Washington Mutual Bank
- co.  2008-09-30 Moody's Downgrades Sigma's MTNs to Ca and Not Prime, and Withdraws CP Ratings (Moody's GI)
- cp.  2008-10-00 IMF Global Financial Stability Report - Financial Stress and Deleveraging - Macro-Financial Implications and Policy
- cq.  2008-10-00 Quigley - Compensation and Incentives in the Mortgage Business
- cr.  2008-10-01 State of California 2008 Debt Affordability Report Making the Municipal Bond Market Work
- cs.  2008-10-03 Wells Fargo, Wachovia Agree to Merge - Creating Premier Coast-to-Coast Financial Services Franchise without Government Assistance
- ct.  2008-10-04 Letter to House Committee on Oversight and Government Reform from Joseph St. Denis
- cu.  2008-10-05 Steel Affidavit Re Citigroup
- cv.  2008-10-06 Nell Minow Testimony Before the House Oversight Committee on the Bankruptcy of Lehman Brothers
- cw.  2008-10-07 FRB CPFF release
- cx.  2008-10-08 Forbes - Revolver at Their Heads
- cy.  2008-10-14 FDIC plan to free up liquidity
- cz.  2008-10-16 Merrill Lynch 3Q 2008 Earnings Call Transcript
- da.  2008-10-16 Merrill Lynch Reports Third Quarter 2008 Net Loss from Continuing Operations of \$5.1 Billion (Merrill Lynch press release)
- db.  2008-10-21 In re Vargas
- dc.  2008-10-22 Fons Testimony (House)
- dd.  2008-11-00 Bar-Gill Making Credit Safer
- de.  2008-11-00 FRBNY Staff Report- The Federal Home Loan Bank System The Lender of Next to Last Resort
- df.  2008-11-10 Fannie Mae Q3 2008 10-Q
- dg.  2008-11-10 Federal Reserve Treasury on AIG
- dh.  2008-11-11 Heartbreak Hotels (Portfolio)
- di.  2008-11-12 Federal Reserve Board, Wachovia Case Study
- dj.  2008-11-12 Remarks by Secretary Henry M. Paulson, Jr. on Financial Rescue Package and Economic Update (Treasury press release)
- dk.  2008-11-17 Letter WF-WACH-003797-3826
- dl.  2008-11-23 Banking Regulator Played Advocate Over Enforcer (Appelbaum, Nakashima - Washington Post)
- dm.  2008-11-23 FDIC staff memo to the Board of Directors re Third Quarter 2008 CFO Report to the Board
- dn.  2008-11-23 Joint Statement by Treasury, Federal Reserve, and FDIC on Citigroup (joint press release)
- do.  2008-11-26 Elizabeth Laderman and Carolina Reid Working Paper on Lending in low and moderate income neighborhoods in California The Performance of CRA Lending During the Subprime Meltdown
- dp.  2008-11-26 FRB Order approving BoA Acquisition
- dq.  2008-12-00 FINCEN 2008 Annual Report
- dr.  2008-12-03 FRBNY AIG CDO Facility Terms and Conditions
- ds.  2008-12-03 The Primary Fund Plan of Liquidation and Distribution of Assets
- dt.  2008-12-04 Foreclosure Update - Over 8 Million Expected (Dubitsky, Yang, Stevanovic, Suehr)
- du.  2008-12-05 Demyanyk and Van Hemmert
- dv.  2008-12-05 Demyanyk Understanding the Subprime Mortgage Crisis
- dw.  2008-12-10 Mauricio Soto, How Is the Financial Crisis Affecting Retirement Savings, Urban Institute
- dx.  2008-12-11 Moody's Updates Its Key Assumptions for Rating Structured Finance CDOs
- dy.  2008-12-22 Bank of American Minutes of a Special Meeting of the Board of Directors
- dz.  2008-12-23 Bernanke email to Alvarez (Re Fw BAC)
- ea.  2008-12-26 Merrill Lynch 2008 10-K
- eb.  2008-12-30 Bank of America Minutes of a Special Meeting of the Board of Directors

- ec.  2008-12-31 TARP Transactions Report - Capital Purchase Program
- ed.  2009-00-00 City Journal- Yes, the CRA is Toxic by Edward Pinto
- ee.  2009-00-00 FDIC Quarterly Banking Profile First Quarter 2009 (Vol 3 No 2)
- ef.  2009-00-00 Mayer-Pence-Sherlund The Rise in Mortgage Defaults
- eg.  2009-00-00 OCC Report on Bank Trading and Derivatives Activities First Quarter 2009
- eh.  2009-01-13 Goldman Sachs - Home Prices and Credit Losses, Projections and Policy Options
- ei.  2009-01-16 BAC 4q08 Earnings Call Transcript
- ej.  2009-01-16 In re Lehman Brothers Holdings
- ek.  2009-02-00 Gyourko Understanding Commercial Real Estate
- el.  2009-02-02 FRB January 2009 Senior Loan Officer Opinion Survey
- em.  2009-02-06 SIGTARP Initial Report to the Congress
- en.  2009-02-09 Lockhart ASF Speech
- eo.  2009-02-20 Hilton Debt Clogs Lenders' Balance Sheets (Commercial Mortgage Alert)
- ep.  2009-02-25 CNN Money Small Biz Loan Failure Rate Hits 12% by Emily Maltby
- eq.  2009-02-26 Fannie Mae 2008 Credit Supplement
- er.  2009-02-26 Ken Lewis deposition in re Executive Compensation Investigation (BOA, ML)
- es.  2009-03-00 Did the CRA Cause the Mortgage Market Meltdown, Neil Bhutta and Glenn Cantor
- et.  2009-03-00 Moody's Structured Finance Rating Transitions - 1983-2008 (Moody's Credit Policy Special Comment)
- eu.  2009-03-06 Credit Suisse Institutional Money Market Fund Inc (13 42)
- ev.  2009-03-11 Freddie Mac Fourth Quarter 2008 Financial Results Supplement
- ew.  2009-03-11 Greenspan The Fed Didn't Cause the Housing Bubble\_A. Greenspan(Wall Street Journal)
- ex.  2009-03-15 AIG Discloses Counterparties
- ey.  2009-03-18 GAO - Federal Financial Assistance - Preliminary Observations on Assistance Provided to AIG
- ez.  2009-03-18 Scott Polakoff Testimony American International Group's Impact on the Global Economy Before During, and After Federal Intervention
- fa.  2009-03-30 Phillip Swagel, The Financial Crisis- An Inside View
- fb.  2009-03-31 Florida Legislature Office of Program Policy Analysis and Government Accountability Research Memo, The SBA Is Correcting Problems Relating to Its Oversight of the Local Gov't Investing Pool
- fc.  2009-03-31 Goldman Sachs Avoding Another Meltdown
- fd.  2009-04-00 IFSL Research - Hedge Funds 2009
- fe.  2009-04-00 McConnell-Saretto Auction Failures
- ff.  2009-04-09 Erik R. Sirri Remarks at the National Economists Club on Securities Markets and Regulator
- fg.  2009-05-00 McCoy Systemic Risk Through Securitization
- fh.  2009-05-04 Demyanyk Understanding the Subprime Mortgage Crisis
- fi.  2009-05-05 SEC v Reserve Management Company
- fj.  2009-05-11 Coakley Mass AG and Goldman
- fk.  2009-05-18 Montana pension funds growing (Billings Gazette)
- fl.  2009-05-19 BIS OTC Derivatives Market Activity
- fm.  2009-06-03 SEC Finalizes ARS Settlements with Bank of America, RBC, and Deutsche Bank (SEC press release)
- fn.  2009-06-11 Bank of America and Merrill Lynch - House Oversight Committee Transcript
- fo.  2009-06-12 Cooperatieve Centrale v Merrill Lynch
- fp.  2009-06-18 CRE Mortgage Default Rate To Double By 2010
- fq.  2009-06-25 Bernanke Merrill Lynch (House Testimony)
- fr.  2009-06-26 More Marshall on Money Funds (Crane Data)
- fs.  2009-07-00 Financial Crisis Highlights Need to Improve Oversight of Leverage (GAO)
- ft.  2009-07-08 SEC Money Market Fund Reform
- fu.  2009-07-16 Paulson Testimony to H Oversight
- fv.  2009-08-00 FRBNY Current Issues, The Federal Reserve's Primary Dealer Credit Facility
- fw.  2009-08-24 Covitz\_Liang\_Suarez The Evolution of a Financial Crisis ABCP
- fx.  2009-08-29 Landmark v Kesler
- fy.  2009-09-00 FRB Cleveland MacGee Why Didn't Canada's Housing Market Go Bust
- fz.  2009-09-03 Delmar Treasury
- ga.  2009-09-27 Fed Held Back as Evidence Mounted on Subprime Loan Abuses (Washington Post)
- gb.  2009-09-27 Washington Post- Fed Held Back as Evidence Mounted on Subprime Loan Abuses, Binyamin Appe

- gc.  2009-10-00 IMF World Economic Outlook Ch3 Lessons for Monetary Policy
- gd.  2009-10-00 NCLC Why Servicers Foreclose when they should modify
- ge.  2009-10-21 FRB Senior Supervisors Group- Risk Management Lessons from the Global Banking Crisis of 20
- gf.  2009-10-21 Sheen Lehman Aurora (Bloomberg)
- gg.  2009-10-28 MERS-v.-Johnston-Vermont-Case
- gh.  2009-11-06 COP Guarantees in TARP
- gi.  2009-11-17 SIGTARP Doc Re AIG Counterparties
- gj.  2009-11-17 SIGTARP Factors affecting efforts to limit payment to AIG counterparties
- gk.  2009-11-17 Timothy J. Mayopoulous Testimony Before the House Oversight Committee
- gl.  2009-12-04 Bloomberg - Geithner Slams Bonuses, Says Banks Would Have Failed
- gm.  2009-12-08 Goodman Testimony before the House Financial Services Committee
- gn.  2009-12-11 Bair FDIC on BoA acquisition of Merrill (House)
- go.  2009-12-11 Bair on Merrill Lynch House Oversight
- gp.  2009-12-11 Georgetown University Annual Financial Report 2008-2009
- gq.  2009-12-23 Banks Bundled Bad Debt, Bet Against It and Won (New York Times - Morgenson, Story)
- gr.  2009-12-28 FRB Report on Acquisition of Bear Stearns by JPMorgan
- gs.  2009-12-31 Fannie Mae 2009 10-K
- gt.  2010-00-00 Bebachuk-Cohen-Spamann - The Wages of Failure
- gu.  2010-00-00 FDIC Quarterly Banking Profile First Quarter 2010
- gv.  2010-00-00 FDIC Quarterly Banking Profile Third Quarter 2010
- gw.  2010-00-00 FDIC\_Quarterly\_Vol4No1\_Full
- gx.  2010-00-00 Journal of Economic Perspectives, Markets- The Credit Rating Agencies, Lawrence J. White
- gy.  2010-01-00 Comptroller of Currency Large Bank Supervision
- gz.  2010-01-03 Bernanke Housing Bubble
- ha.  2010-01-03 Citi's Creator, Alone With His Regrets (New York Times)
- hb.  2010-01-12 SEC v Bank of America
- hc.  2010-01-14 Banks Set for Record Pay (WSJ)
- hd.  2010-01-14 Goldman Sachs Clarifies Various Media Reports of Aspect of FCIC Hearing (Goldman Sachs press release)
- he.  2010-01-14 RealtyTrac Year-End Report Shows Record 2.8 Million US Properties with Foreclosure Filing
- hf.  2010-01-20 Bloomberg - AIG 100-Cents Fed Deal Driven by France Belied by French Banks
- hg.  2010-01-20 Statement of the Financial Services Authority before the Lehman Examiner
- hh.  2010-01-23 Erika Poethig Written Testimony for the House Subcommittee on Housing and Community Opportunity, Impact of the Foreclosure Crisis on Public and Affordable Housing in the Twin Cities
- hi.  2010-01-29 Ex-Convicts Active in Mortgage Fraud (Miami Herald)
- hj.  2010-01-29 Maiden Lane III Quarterly Holdings Report January 2010
- hk.  2010-02-01 FHFA Mortgage Market Note- Housing Goals of Fannie Mae and Freddie Mac in the Context of
- hl.  2010-02-01 FHFA Note Housing\_Goals\_1996-2009
- hm.  2010-02-04 SEC v Bank of America
- hn.  2010-02-10 Commercial Real Estate Losses and the Risk (COP Oversight Report)
- ho.  2010-02-13 Journal of Financial Economics- The Real Effects of Financial Constraints Evidence from a Financial Crisis
- hp.  2010-02-23 New York Office of the State Comptroller, New York City Securities Industry Bonus Pool
- hq.  2010-02-23 Wall Street Bonuses Rose Sharply in 2009 (New York State Comptroller DiNapoli press release)
- hr.  2010-02-24 Default Rates Reach 16-Year High (Globe St)
- hs.  2010-02-26 Elizabeth Duke Testimony before the House Financial Services and Small Business Committee
- ht.  2010-02-26 Goldman Sachs 2009 10-K
- hu.  2010-03-04 COP Citigroup and TARP hearing
- hv.  2010-03-04 Department of the Treasury response to Congressional Oversight Panel, Questions for the Record
- hw.  2010-03-04 Vikram Pandit COP
- hx.  2010-03-11 Community Reinvestment Act (Federal Register Vol.75, No. 47 11666
- hy.  2010-03-25 FRB Consumer Advisory Council Meeting Minutes
- hz.  2010-04-00 GAO Regulators' Use of Systemic Risk Exception
- ia.  2010-04-00 The Role of Mortgage Brokers in the Subprime Crisis (Berndt, Hollifield, Sandas)
- ib.  2010-04-00 Treasury and FDIC Offices of Inspector General - Evaluation of WaMu
- ic.  2010-04-00 Tres FDIC Rpt re WaMu 10-002EV (4.10)

- id.  2010-04-12 Freddie Mac 2009 10-K
- ie.  2010-04-13 PSI Exhibits list
- if.  2010-04-13 PSI Incentive Plan 2004 Long Beach Mortgage Production
- ig.  2010-04-13 PSI WaMu Home Loans Ex 60a
- ih.  2010-04-13 PSI WaMu presentation
- ii.  2010-04-16 SEC v Goldman Sachs and Tourre
- ij.  2010-04-20 Bernanke Lehman Lessons (House Testimony)
- ik.  2010-04-20 Cox Testimony re Lehman
- il.  2010-04-27 David Viniar Testimony Before the Senate Permanent Subcommittee on Investigations
- im.  2010-04-27 Michael Swenson Opening Statement before the Senate Permanent Subcommittee on Investigation
- in.  2010-05-00 FRB Profit and Balance Sheet Developments at U.S. Commercial Banks in 2009
- io.  2010-05-03 FRB April 2010 Senior Loan Officer Opinion Survey on Bank Lending Practices
- ip.  2010-05-13 Donald L. Kohn Speech The Federal Reserve's Policy Actions during the Financial Crisis an
- iq.  2010-05-17 FRBNY Tri-Party Repo Infrastructre Reform
- ir.  2010-05-20 Objection to Claims of Citibank
- is.  2010-05-25 FHFA 2009 Report To Congress
- it.  2010-05-26 Lehman Brothers Holdings v JPMorgan Chase
- iu.  2010-05-26 Michael Finn Testimony before Congressional Oversight Panel
- iv.  2010-05-26 Michael Moriarty Testimony before the Congressional Oversight Panel
- iw.  2010-06-00 US Conference of Mayors Impact of the Mortgage Foreclosure Crisis on Vacant and Abandoned
- ix.  2010-06-04 NERA- Credit Crisis Litigation Revisited, Litigating the Alphabet of Structured Products
- iy.  2010-06-09 Basis Yield Alpha Fund v Goldman Sachs
- iz.  2010-06-10 Bloomberg - AIG's Rescue Had 'Poisonous' Effect, U.S. Panel Says
- ja.  2010-06-10 Congressional Panel Attacks AIG Rescue (FT)
- jb.  2010-06-10 COP AIG Rescue
- jc.  2010-06-24 Morgan Stanley to Pay \$102 Million for Role in Massachusetts Subprime Mortgage Meltdown U
- jd.  2010-07-00 NAEHCY- A Critical Moment Child and Youth Homelessness in Our Nation's Schools
- je.  2010-07-01 Eric Dinallo Testimony to FCIC (The Role of Derivatives)
- jf.  2010-07-09 Cambridge Place v Morgan Stanley
- yg.  2010-07-12 Bernanke Flow of Credit to Small Business
- jh.  2010-07-12 FHFA Issues Subpoenas for PLS Documents
- ji.  2010-07-15 Goldman Sachs to Pay Record \$550 Million to Settle SEC Charges Related to Subprime Mortgage CDO (SEC press release)
- jj.  2010-07-16 Viral Acharya DFA re Repo Mrkt
- jk.  2010-07-27 Blinder and Zandi How the Great Recession was Brought to an End
- jl.  2010-07-29 SEC v Citigroup
- jm.  2010-08-09 Sponsor Support Key to Money Market Funds (Moody's Investors Service)
- jn.  2010-08-10 Office Deals, Done 19 Months Apart, Show Markets' Move (The New York Times)
- jo.  2010-08-16 Auditors Say Colorado Pension Plan Recovering (AP)
- jp.  2010-08-16 FRB July 2010 Senior Loan Officer Opinion Survey on Bank Lending Practices
- jq.  2010-08-26 Corelogic Negative Equity
- jr.  2010-08-31 FCIC PSR Too-Big-To-Fail Financial Institutions (copy)
- js.  2010-08-31 Goldman Sachs - Valuation and Pricing Related to Initial Collateral Calls on Transactions with AIG
- jt.  2010-09-00 FHFA Conservator Report on GSEs Q3
- ju.  2010-09-00 Kaiser Commission, Medicaid Enrollment Data Snapshot
- jv.  2010-09-00 TreppWire CMBS Delinquency Rate Nears 9%, Up 21 BPs in August after Leveling in July
- kw.  2010-09-01 John Corston Testimony to the FCIC - Systemically Important and TBTF
- ix.  2010-09-07 Moody's Proposes New Money Market Fund Rating Methodology and Symbols (Moody's Request fo
- yy.  2010-09-08 Nevada Hearing transcript
- zz.  2010-09-08 Wells Fargo Notice of Removal, Charles Schwab v BNP Paribas
- ka.  2010-09-10 Gerardi Optimism and Pessimism about U.S. Housing Market
- kb.  2010-09-13 FHFA Data on the Risk Characteristics and Performance of Single-Family Mortgage Originate
- kc.  2010-09-14 Cai-Cherny-Milbourn Compensation and Risk Incentives
- kd.  2010-09-16 COP TARP on the Eve of its Expiration
- ke.  2010-09-24 Default & Loss Rates of Structured Finance Securities - 1993-2009 (Moody's Special Commen


- kf.  2010-09-29 Bold Builders Bet on Skyscrapers (The Wall Street Journal)
- kg.  2010-09-29 NCSL Fiscal Brief Projected State Revenue Growth in FY 2011 and Beyond
- kh.  2010-09-30 FRBNY Maiden Lane LLC Holdings
- ki.  2010-09-30 Kaiser Commission Results from Medicaid Budget Survey
- kj.  2010-09-30 What AIG Owes the US Government
- kk.  2010-10-00 Hoene-Pagano City Fiscal Conditions in 2010
- kl.  2010-10-00 State Comptroller Thomas P. DiNapoli- Economic Trends in New York State
- km.  2010-10-06 National League of Cities, Recession's Effects Intensify in Cities
- kn.  2010-10-09 Darling\_Vetoed\_Lehman\_Bros\_Takeover
- ko.  2010-10-10 TARP Two Year Retrospective
- kp.  2010-10-11 Edward Pinto Memorandum Sizing Total Federal Government and Federal Agency Contributions
- kq.  2010-10-15 Federal Home Loan Bank of Chicago v Bank of America Funding Corp
- kr.  2010-10-19 Davis v Countrywide
- ks.  2010-10-19 TARP Transactions Report for the Period Ending 2010-10-15
- kt.  2010-10-26 SIGTARP Q Rpt to Cong
- ku.  2010-10-27 COP Evers testimony
- kv.  2010-10-27 COP Gordon HAMP Servicer Abuses
- kx.  2010-10-27 Guy Cecala Testimony before the Congressional Oversight Panel (TARP Foreclosure Mitigation Programs)
- ky.  2010-10-27 Laurie Goodman - Robosigners and Other Servicing Failures (presentation)
- ky.  2010-10-27 Porter Testimony before the Congressional Oversight Panel for TARP
- kz.  2010-11-00 CBO Report on TARP
- la.  2010-11-00 CoreLogic Housing\_and\_Mortgage\_Trends
- lb.  2010-11-00 FRBNY Staff Report The Tri-Party Repo Market Before the 2010 Reforms
- lc.  2010-11-00 MBA National Delinquency Survey
- ld.  2010-11-05 CMSA\_Compndium
- le.  2010-11-08 FRB October 2010 Senior Loan Officer Opinion Survey on Bank Lending Practices
- lf.  2010-11-16 Adam J. Levitin Testimony Before the Senate Banking Committee
- lg.  2010-11-16 COP Consequences of Mortgage Irregularities
- lh.  2010-11-16 Diane E. Thompson Testimony Before the Senate Banking Committee
- li.  2010-11-16 Kemp v Countrywide
- lj.  2010-11-16 Triennial and Regular OTC Derivatives Market Statistics (Bank of International Settlements press release)
- lk.  2010-11-18 MBA Delinquencies in NDS
- ll.  2010-11-18 MBA NDS press release
- lm.  2010-11-18 TARP Transactions Report for the Period Ending 2010-11-16
- ln.  2010-12-00 FINCEN Fraud Update (to 2010-06-30)
- lo.  2010-12-00 National Association of Realtors Commercial Real Estate Quarterly Market Survey, December 2010
- lp.  2010-12-00 NFIB Small Business Economic Trends, December 2010
- lq.  2010-12-02 F. Dana Winslow NYS Supreme Court Justice Testimony before the House Judiciary Committee
- lr.  2010-12-02 Green Street Advisors - Commercial Property Values Gain More Than 30% from '09 Lows
- ls.  2010-12-06 HOPE NOW Industry Extrapolations and Metrics (October 2010)
- lt.  2010-12-10 Taxpayers Receive \$10.5 Billion in Proceeds Today from Final Sale of Treasury Department Citigroup Common Stock (Treasury press release)
- lu.  2010-12-13 New CoreLogic Data Shows Third Consecutive Quarterly Decline in Negative Equity
- lv.  2010-12-14 COP Review of Treasury Foreclosure Prevention Programs
- lw.  2010-12-16 Center on Budget and Policy Priorities, States Continue to Feel Recession's Impact
- lx.  2010-12-2 Winslow Testimony to Judiciary
- ly.  2010-12-20 Announcement - US Commercial Real Estate Prices Rise 1.3% in October (Moody's Global Credit Research)
- lz.  2010-12-21 Cuomo v Ernst & Young
- ma.  2010-12-21 Moodys-REAL Commercial Property Price Indices, December 2010 (Moody's Special Report)
- mb.  2010-12-28 Conference Board News Release
- mc.  2010-4-27 David Viniar Testimony to Senate Comm on Gov't Affairs
- md.  2011-00-00 OMB Historical Tables 1-1

- me.  2011-01-03 Bank of America Press Release
- mf.  2011-01-03 FDIC Failed Bank List
- 4.  Round Three
  - a.  0000-00-00 AIG Enterprise Risk Management Presentation
  - b.  0000-00-00 AIG External CDS Notional by Counterparty 2008-09-15
  - c.  0000-00-00 AIG Hank Greenberg Fact Sheet on AIGFP
  - d.  0000-00-00 AIMA's Illustrative Questionnaire for Due Diligence of Bear Stearns High Grade Structured Credit Strategies Fund
  - e.  0000-00-00 Bear Stearns High Grade Structured Credit Strategies High Grade and High Grade Enhanced LEV Redemption Totals
  - f.  0000-00-00 Bear Stearns High Grade Structured Credit Strategies Summary of Principal Terms
  - g.  0000-00-00 Bear Stearns High-Grade Structured Credit Strategies Marketing Presentation
  - h.  0000-00-00 Citi Due Diligence Accepts
  - i.  0000-00-00 Citi Due Diligence Rejects
  - j.  0000-00-00 Countrywide Single Family Conventional Book Characteristics
  - k.  0000-00-00 Countrywide Single Family Conventional Book Characteristics
  - l.  0000-00-00 Fannie Mae Goal-Qualifying Mortgages
  - m.  0000-00-00 Fannie Mae hg- credsupp-dec09
  - n.  0000-00-00 Fannie Mae hg- credsupp-dec09
  - o.  0000-00-00 Fannie Mae Single Family Conventional Book Characteristics
  - p.  0000-00-00 Fannie Mae Subprime and Alt-A balances 2003-2004
  - q.  0000-00-00 Fannie Mae Subprime and Alt-A balances 2003-2004
  - r.  0000-00-00 Fannie Mae Table on Loans and Securities for Affordable Housing Goals
  - s.  0000-00-00 FBI Mortgage Fraud Operations 2004-2009
  - t.  0000-00-00 FBI Presentation on Mortgage Fraud
  - u.  0000-00-00 Federal Reserve System Budget and Staff Information
  - v.  0000-00-00 Federated Spreadsheet Showing Repo Exposure to Bear Stearns
  - w.  0000-00-00 FRB F-6 Task Force on Supervisory Staff Competencies Compensation and Coordination
  - x.  0000-00-00 FRB President's Working Group Untitled Conclusions
  - y.  0000-00-00 FRBNY Comment on Possible 13-3 Lending to AIG
  - z.  0000-00-00 FRBNY Document on Pros and Cons of Lending to AIG
  - aa.  0000-00-00 FRBNY Presentation- Developing Metrics for the Four Largest Securities Firms
  - ab.  0000-00-00 FRBNY Supervisory lessons Learned from Recent Market Events working draft
  - ac.  0000-00-00 Freddie Mac- PLS Goal and Subgoal Qualifying Rates 2003 - 2006
  - ad.  0000-00-00 Freddie Mac Spreadsheet on Goal and Sub-Goal Qualifying Rates for Consolidated ABS Alt-A and Subprime
  - ae.  0000-00-00 Goldman Sachs Document re Valuation and Pricing Related to Transactions with AIG
  - af.  0000-00-00 Goldman Sachs Estimated Revenue Analysis
  - ag.  0000-00-00 Goldman Sachs Maiden Lane Counterparty Cashflow Information
  - ah.  0000-00-00 Goldman Sachs Paper on the Effect of Fair Value Accounting on Goldman Sachs
  - ai.  0000-00-00 Goldman Sachs Warehouse Commitment by Quarter
  - aj.  0000-00-00 McKinsey Presentation by Anthony Sanomero- Lessons Learned from the REcent Turmoil in the Credit Markets
  - ak.  0000-00-00 Merrill Lynch CDO Deal Documents
    - al.  0000-00-00 Merrill Lynch Subprime CDO Tranche Rating Information
  - am.  0000-00-00 Moodys Global List of AAA Rated Corporations and Financial Institutions 1990-2010
  - an.  0000-00-00 OCC Produced GSE Capital Requirements under Basel I
  - ao.  0000-00-00 OTS GAO Follow-Up Questions on CIO Program and AIG
  - ap.  0000-00-00 S& P Rating Docs on Citi
  - aq.  0000-00-00 SEC Expected Activity for 2008-03-17
  - ar.  1996-11-08 Moody's Approach to Rating Residential Mortgage Pass-Through Securities
  - as.  1999-03-22 Fannie Mae 1999 National Advisory Council Presentation on HUD Goals
  - at.  1999-06-15 Fannie Memo re HUD Housing Goals Options
  - au.  2000-11-16 Fannie Mae Presentation on Minority Lending - Assessment and Action Plan
  - av.  2001-01-29 Fannie Mae Comments on OFHEO Systemic Risk Report
  - aw.  2001-01-29 Freddie Mac Comment on OFHEO Systemic Risk Report

- ax.  2003-02-06 Fannie Mae's Quarterly Risk Assessment YE 2002 memo
- ay.  2003-03-24 Fannie Mae Conseco Manufactured Housing Business- Data Gaps Lessons Learned
- az.  2003-04-15 Fannie Mae Manufactured Housing Securities Status Report
- ba.  2004-00-00 OFHEO Report of Examination- Freddie Mac
- bb.  2004-06-09 Fannie Mae Presentation to HUD- HUD's Proposed Housing Goals
- bc.  2004-09-03 Fannie Mae Memo re Mission Legislation
- bd.  2005-00-00 Freddie Mac Note 5 to Consolidate Financial Statements- Retained Portfolio and Cash and Investments Portfolio
- be.  2005-00-00 OFHEO Report of Examination- Freddie Mac
- bf.  2005-03-21 FDIC Memo from Richard Brown to the National Risk Committee- Rising Risks in Housing Markets
- bg.  2005-03-22 letter from OCC to Treasury Bank -Conditional Approval #679
- bh.  2005-05-22 Countrywide McMurray email to Sambol
- bi.  2005-06-13 Countrywide Mozillo Email
- bj.  2005-06-28 Countrywide Corporate Credit Risk Committee Minutes
- bk.  2005-06-30 FRBNY Risk Assessment Program for JPMorgan Risk-Focused Supervisory Documents
- bl.  2005-08-03 Countrywide Mozilo email to Dan Tarman cc Andy Bielanski
- bm.  2005-09-05 Federal Reserve Bank of San Francisco Letter to Angelo Mozilo
- bn.  2005-09-30 Fannie Mae Presentation- Housing Goals and Minority Lending
- bo.  2005-10-31 Fannie Mae Presentation to HUD- Update on Fannie's Housing Goals Performance
- bp.  2006-01-20 OFHEO Office of Examination ltr to Richard Syron
- bq.  2006-01-24 SEC OCIE Entrance Exam of Bear Stearns
- br.  2006-02-28 Countrywide 2006 Fixed Income Investor Forum
- bs.  2006-03-27 Countrywide Mozilo email to Kurland Sambol Dieracki Spector and McMurray
- bt.  2006-04-03 Countrywide Mozilo Email
- bu.  2006-04-10 FRBNY Annual Inspection of Citi Cover Letter
- bv.  2006-05-05 Fannie Memo re Proposal for Increasing Housing Goal Loans
- bw.  2006-05-26 Countrywide Bigelow email to Mozilo cc Sieracki and Riordan
- bx.  2006-06-01 Countrywide Mozilo email to Garcia, Furash, Kurland and Sambol re Bank assets
- by.  2006-07-17 Countrywide McMurray email
- bz.  2006-08-00 Bear Stearns High-Grade Structured Credit Strategies
- ca.  2006-08-10 Countrywide Mozilo email to Clayton Clark
- cb.  2006-08-11 Countrywide Mozilo email to McMurray cc Sambol, Bartlett, and Sieracki
- cc.  2006-08-16 Merrill Lynch Engagement Letter with N.I.R. Management
- cd.  2006-08-31 Countrywide McMurray email to Furash and Muir
- ce.  2006-09-00 Treasury, FRB, FDIC, OTS and NCUA Notice of Proposed Illustrations of Consumer Information
- cf.  2006-09-01 Citi Pooling and Svcing Agreement
- cg.  2006-09-26 Countrywide Mozilo email to Sambol cc Garcia and Sieracki
- ch.  2006-10-02 Citi CMLT106-NC2 Individual Asset Summary Report Prepared by Clayton
- ci.  2006-11-02 Countrywide Email fr David Sambol re Product Summit
- cj.  2006-11-16 Countrywide McMurray email to Sambol re Extreme Alt-A Follow Up
- ck.  2007 OTS Supervisory Plan for AIG
- cl.  2007-00-00 Citi Market Risk Management 2007 Annual Limits Book
- cm.  2007-00-00 OFHEO Report of Exmaination- Freddie Mac
- cn.  2007-01-04 Fannie Mae 2007 Forecast Presentation
- co.  2007-01-08 2007 Fannie Mae Presentation- Housing Goal Plan
- cp.  2007-01-18 Merrill Lynch Norma Offering Circular
- cq.  2007-01-24 Countrywide McMurray email to Gissinger
- cr.  2007-02-00 Countrywide 2007 Strategic Plan and Forecast Summary
- cs.  2007-02-00 Merrill Lynch Board of Directors Meeting- FICC Update
- ct.  2007-02-11 Countrywide McMurray email to Sambol
- cu.  2007-02-27 Countrywide Mozilo email to Tarman
- cv.  2007-03-10 Countrywide Sambol email to Mozilo cc Garcia and Bartlett
- cw.  2007-03-15 Bear Stearns Email from Ralph Cioffi to Ardavan Mobasheri
- cx.  2007-03-22 Countrywide Mozilo email to himself
- cy.  2007-05-09 Bear Stearns Emails from Cioffi re ABACUS marks

- cz.  2007-05-13 Bear Stearns Email from Ralph Cioffi Bear Stearns to Matt Tannin
- da.  2007-05-23 Email from Goldman Sachs to Bear Stearns
- db.  2007-05-23 Email re Bear Stearns Asset Management Marks from Goldman Sachs
- dc.  2007-05-30 Bear Stearns Asset Management Emails re Marks
- dd.  2007-06-01 Bear Stearns Ralph Cioffi e-mail message to Adam Kugler
- de.  2007-06-07 Bear Stearns Gregory Quental letter
- df.  2007-06-11 OTS Holding Company Report Of Examination of AIG
- dg.  2007-07-16 Bear Stearns Structured Credit Rating Changes- Downgrades and Exposures Analysis
- dh.  2007-08-11 Countrywide Mozilo email to Bob Cap
- di.  2007-08-15 Citi Chuck Prince Letter to Members of the Board re Leveraged Lending and Subprime
- dj.  2007-08-15 Countrywide Rubin email to Mozilo
- dk.  2007-08-16 Countrywide Mozilo email to Sambol and Garcia
- dl.  2007-08-16 OTS Directive Letter to Countrywide David Sambol
- dm.  2007-08-20 Countrywide Loan Program Guide- Non Conforming Programs
- dn.  2007-08-24 Countrywide Mozilo email to Sambol cc Sieracki Bartlett
- do.  2007-08-26 Countrywide Funding Plan
- dp.  2007-08-30 Countrywide Mozilo email to Bob Cap cc Sambol
- dq.  2007-09-07 Countrywide Email re Credit Risk
- dr.  2007-09-21 Moodys A Kimball email to E Kolchinsky re Servicer Survey and New Grid for CDOs of ABS
- ds.  2007-09-22 Countrywide Board of Directors Resolution for OTS- Carlos Garcia
- dt.  2007-09-28 OTS Letter to Countrywide re Ratings
- du.  2007-10-05 Fannie Mae Memo to the Board from Dan Mudd re Weekly Market Update and Materials
- dv.  2007-10-15 Citi Board Presentation- Credit and Markets Discussion
- dw.  2007-10-22 PWC Notes of Meeting with Joseph St Denis
- dx.  2007-10-31 Countrywide Mozilo email to Garcia cc Sambol
- dy.  2007-11-04 Countrywide Mozilo email to Garcia cc Sambol
- dz.  2007-11-09 SEC Countrywide Deposition of Angelo Mozilo Volume 2
- ea.  2007-11-09 SEC Countrywide Deposition of Angelo Mozilo Volume 3
- eb.  2007-11-09 SEC Countrywide Deposition of Angelo Mozilo Volume 4
- ec.  2007-11-09 SEC Countrywide Deposition of Angelo Mozilo Volume 5
- ed.  2007-11-19 PWC Notes re Meeting to Discuss Super Senior Valuations and Collateral Disputes
- ee.  2007-11-20 Countrywide Craig Naselow email to Sambol et al
- ef.  2007-11-21 AIG Joe Cassano to Bill Dooley 2007 compensation email
- eg.  2007-12-12 OTS Countrywide Report Of Examination Quarterly Target Reviews
- eh.  2007-12-20 Goldman Sachs Collateral Disagreement- Observations and Conclusions by Micottis
- ei.  2008-01-09 OCC Cross-sectoral review of group-wide identification and management of risk concentrations
- ej.  2008-01-11 AIG Email re BET Valuation for Multi Sector CDO Book
- ek.  2008-02-01 Bear Stearns Email from Friedman to Alix re WSJ-Liquidity Guidelines to Get Update
- el.  2008-02-04 Bear Stearns Mary Rose O'Kane e-mail message to Alan Schwartz et al
- em.  2008-02-07 PWC Audit Committee Notes
- en.  2008-03-07 AIG Cassano email to Dooley and Bensinger
- eo.  2008-03-07 Fannie Mae Email re Update
- ep.  2008-03-10 OTS (CK Lee) Letter to AIG Board Of Directors re Supervisory Concerns
- eq.  2008-03-12 Bear Stearns-Goldman Sachs Woolard e-mail message to Stuart Smith et al
- er.  2008-03-12 Goldman Email re Bear Stearns Goldman Sachs Novation
- es.  2008-03-25 AIG Forster email to Neil Wright
- et.  2008-04-15 FRBNY Summary of Supervisory Activity and Findings on JPMorgan
- eu.  2008-05-06 Goldman Sachs and AIG Credit Event Notice and Notice of Publicly Available Information
- ev.  2008-06-13 FRB Email re Lehman
- ew.  2008-06-25 FRBNY Primary Dealer Monitoring Liquidity Stress Analysis
- ex.  2008-07-00 FRBNY Observations on the Role of Supervision in the Current Financial Crisis
- ey.  2008-07-08 SEC Countrywide Deposition of John McMurray Volume 1
- ez.  2008-07-08 SEC Countrywide Deposition of John McMurray Volume 1
- fa.  2008-07-09 SEC Countrywide Deposition of John McMurray Volume 2
- fb.  2008-07-09 SEC Countrywide Deposition of John McMurray Volume 2



- fc.  2008-07-15 JPMorgan Directors Risk Policy Committee Tri-Part Repo Update
- fd.  2008-08-05 SEC Countrywide Deposition of John McMurray Volume 3
- fe.  2008-08-05 SEC Countrywide Deposition of John McMurray Volume 3
- ff.  2008-08-06 SEC Countrywide Deposition of John McMurray Volume 4
- fg.  2008-08-06 SEC Countrywide Deposition of John McMurray Volume 4
- fh.  2008-09-12 FRB Patricia Mosser email re AIG
- fi.  2008-09-12 FRBNY Email re AIG Meeting with OTS
- fj.  2008-09-13 FRB email Bernanke to Alvarez
- fk.  2008-09-14 FRBNY Alejandro LaTorre e-mail message to Timothy Geithner
- fl.  2008-09-15 FRBNY Email re AIG
- fm.  2008-09-15 FRBNY Email re Notes from Various Calls and Meetings at Morgan Stanley
- fn.  2008-09-15 Goldman Sachs Collateral Invoice for AIGFP
- fo.  2008-09-16 FRBNY Email re Morgan Stanley Potential PDCF Usage
- fp.  2008-09-17 FRBNY Email re On-Site Primary Dealer Update
- fq.  2008-09-18 FRBNY Email re Bank of New York Mellon End of Day Recap
- fr.  2008-09-18 FRBNY Email re PB Balance
- fs.  2008-09-19 FRBNY Email re Bank of New York Mellon PDCF Issue
- ft.  2008-09-20 Morgan Stanley Corporate Treasury Meeting with Federal Reserve
- fu.  2008-09-23 FRBNY Email re On-Site Primary Update
- fv.  2008-09-29 FRBNY Wachovia Liquidity Risk Profile
- fw.  2008-10-01 SEC Deposition of Stanford Lee Kurland
- fx.  2008-11-23 FDIC Board of Directors Memo re Citi
- fy.  2008-12-00 Fannie Mae Single Family Conventional Book Characteristics
- fz.  2009-01-14 FRBNY Summary of Supervisory Activity and Findings on Citi
- ga.  2009-02-11 SEC Countrywide Deposition of John McMurray Volume 5
- gb.  2009-02-11 SEC Countrywide Deposition of John McMurray Volume 5
- gc.  2009-07-00 FHFA Response to PWG Questionnaire on Supervision
- gd.  2009-07-16 FDIC - Response to PWG Questionnaire on Supervision
- ge.  2009-07-16 SEC Response to PWG Questionnaire on Supervision
- gf.  2009-08-11 OCC Response to PWG Working Group on Supervision
- gg.  2009-08-11 OTS Response to PWG Questionnaire on Supervision
- gh.  2009-09-10 FRBNY Report on Systemic Risk and Bank Supervision draft
- gi.  2009-12-08 FRBNY Policy Perspectives on OTC Derivatives Market Infrastructure
- gj.  2010-01-13 John Mack Written Testimony
- gk.  2010-01-13 Mark Zandi Written Testimony
- gl.  2010-01-13 Peter Solomon Written Testimony
- gm.  2010-01-14 Lisa Madigan Written Testimony
- gn.  2010-01-14 Mary Schapiro Written Testimony
- go.  2010-01-14 Sheila Bair Written Testimony
- gp.  2010-02-11 FRBNY Memo re Repo Haircuts
- gq.  2010-02-26 Annamaria Lusardi- Americas Financial Capability
- gr.  2010-02-26 John Geanakoplos Written Testimony
- gs.  2010-02-26 Pierre Olivier Gourinchas Written Testimony
- gt.  2010-02-27 Christopher Mayer Written Testimony
- gu.  2010-04-07 Alan Greenspan Written Testimony
- gv.  2010-04-07 Patricia Lindsay Written Testimony
- gw.  2010-04-07 Preliminary Staff Report- The Mortgage Crisis
- gx.  2010-04-07 Richard Bowen Written Testimony
- gy.  2010-04-08 John Dugan Written Testimony
- gz.  2010-04-08 John Hawke Written Testimony
- ha.  2010-04-09 Armando Falcon Written Testimony
- hb.  2010-04-09 Daniel Mudd Written Testimony
- hc.  2010-04-09 James Lockhart Written Testimony
- hd.  2010-05-04 Preliminary Staff Report- Shadow and the Financial Crisis
- he.  2010-05-05 Erik Sirri Written Testimony

- hf.  2010-05-05 James Cayne Written Testimony
  - hg.  2010-05-06 Henry Paulson Written Testimony
  - hh.  2010-05-10 FRB, FRBNY, and OCC Draft Interagency White Paper on Securitization Market Reform
  - hi.  2010-06- 30 Preliminary Staff Report- Overview on Derivatives
  - hj.  2010-06-02 Eric Kolchinsky Written Testimony
  - hk.  2010-06-02 Gary Witt Testimony
  - hl.  2010-06-02 Preliminary Staff Report- Credit Ratings and The Financial Crisis
  - hm.  2010-06-17 Daniel Mudd Response to Hearing Follow-up Questions
  - hn.  2010-06-23 Robert Rubin Response to Hearing Follow-up Questions
  - ho.  2010-06-30 Craig Broderick Written Testimony
  - hp.  2010-07-01 Eric Dinallo Written Testimony
  - hq.  2010-07-01 Goldman Sachs-AIG Collateral Call Timeline
  - hr.  2010-07-08 Bank of America Letter to the FCIC re CRA
  - hs.  2010-08-18 Morgan Stanley Response to Interrogatories
  - ht.  2010-08-22 FCIC Staff Memo re Goldman's CDS Protection and other Hedges Against AIG
  - hu.  2010-08-31 Preliminary Staff Report- Too Big To Fail Institutions
  - hv.  2010-09-01 Harvey Miller Written Testimony
  - hw.  2010-09-01 Richard Fuld Written Testimony
  - hx.  2010-09-01 Robert Steel Written Testimony
  - hy.  2010-09-01 Scott Alvarez Written Testimony
  - hz.  2010-09-02 Ben Bernanke Written Testimony
  - ia.  2010-09-07 Gary Crabtree Written Testimony
  - ib.  2010-09-07 Lloyd Plank Written Testimony
  - ic.  2010-09-07 Warren Peterson Written Testimony
  - id.  2010-09-08 Gail Burks Written Testimony
  - ie.  2010-09-21 Ann Fulmer Supplemental Written Testimony
  - if.  2010-09-21 Dennis Black Written Testimony
  - ig.  2010-09-21 Henry Pontell Written Testimony
  - ih.  2010-09-21 J Thomas Cardwell Written Testimony
  - ii.  2010-09-21 William Black Written Testimony
  - ij.  2010-09-23 Karen Mann Written Testimony
  - ik.  2010-09-23 Kevin Stein Written Testimony
  - il.  2010-09-23 Mark Fleming Written Testimony
  - im.  2010-09-23 Thomas C Putnam Written Testimony
5.  Wallison
- a.  0000-00-00 Census Bureau Data - Homeownership Rates for the US and Regions
  - b.  0000-00-00 Fannie Mae Business Update - Headlines
  - c.  0000-00-00 FDIC - 2000 Rules and Regulations - Part 345 Community Reinvestment
  - d.  0000-00-00 HUD- The National Homeownership Strategy- Partners in the American Dream
  - e.  0000-00-00 Moodys Summary of Key Provisions for Cash CDOs as of January 2000-2010
  - f.  0000-00-00 Pinto - Sizing Total Exposure to Subprime and Alt-A Loans as of 2008-06-30
  - g.  0000-00-00 USCODE-2009-title12-chap30-sec2901
  - h.  1977-10-12 95 Public Law 128
  - i.  1992-10-28 102\_P\_L\_\_550
  - j.  1995-00-00 Vandell FHFA Restructuring Proposals
  - k.  1995-06-05 President William J. Clinton Remarks on the National Homeownership Strategy
  - l.  1995-08-00 Urban Policy Brief
  - m.  1998-00-00 HUD Consolidated Report
  - n.  1999-06-15 Fannie Memo re HUD Housing Goals Options
  - o.  1999-07-29 HUD Press Release
  - p.  2000-00-00 Fannie Mae Foundation Making New Markets
  - q.  2000-00-00 Fannie Mae Foundation Making New Markets
  - r.  2000-01-20 Intuit Press Release- Quicken Loans First To Offer FHA Home Mortgages Nationally On The Internet
  - s.  2000-10-30 Jamie Gorelick Remarks
  - t.  2000-10-31 Federal Register

- u.  2000-10-31 HUD Regulation of Fannie and Freddie\_Final Rule
- v.  2000-11-16 Fannie Mae and Minority Lending
- w.  2001-00-00 Expanded Guidance for Subprime Lending Programs (OCC, FRB, FDIC, OTS)
- x.  2001-01-00 HUD Issue Brief
- y.  2001-06-29 Rosner Housing in the New Millenium
- z.  2001-09-00 Fall Newsletter
- aa.  2003-01-10 Fannie Mae's Role in Affordable Housing Finance Presentation to HUD
- ab.  2003-01-22 Action Plan for the Housing Goals Rewrite
- ac.  2003-03-00 Fanne Mae Presentation- The HUD Housing Goals
- ad.  2003-03-31 Fannie Mae 2002 10-K
- ae.  2004-06-09 Discussion of HUD's Proposed Housing Goals
- af.  2004-09-03 Fannie Mae Memo re Mission Legislation
- ag.  2004-11-02 Federal Register vol 69
- ah.  2005-03-02 Fannie Mae internal memo Adolfo Marzol to Dan Mudd RE Private Label Securities
- ai.  2005-03-16 Fannie Mae 2004 10-K
- aj.  2005-05-00 HUD Recent House Price Trends
- ak.  2005-05-13 Two GSEs Cut Corners To Hit Goals (American Banker)
  - al.  2005-06-14 Fannie Mae Presentation- Costs and Benefits of Mission Activities - Project Phineas
- am.  2005-06-27 Fanne Mae Presentation- Single Family Guarantee Business- Facing Strategic Crossroads
- an.  2005-09-30 Fannie Mae Presentation- Housing Goals and Minority Lending
- ao.  2005-10-31 Fannie Mae Presentation to HUD- Update on Fannie's Housing Goals Performance
- ap.  2006-03-16 Fanne Mae 2005 10-K
- aq.  2006-05-05 Fannie Memo re Proposal for Increasing Housing Goal Loans
- ar.  2006-06-26 Fannie Mae Ashlye to Senior Management
- as.  2006-10-12 Moody's Investor Service Invoice to Susan Mills
- at.  2007-00-00 Fannie Mae 2007 Annual Report
- au.  2007-03-30 Bernanke CRA- Evolution and New Challenges
- av.  2007-04-11 Fannie Mae Housing Goals Briefing for HUD
- aw.  2007-06-00 GAO FHFA Decline in Agency Market Share
- ax.  2007-06-22 Fannie Mae Housing Goals Forecast
- ay.  2007-07-23 Tenth Judicial Circuit in and for Polk County Florida, State of Florida vs. Scott Almeida
- az.  2007-07-27 Fannie Mae Forecast Meeting
- ba.  2007-08-16 Fannie Mae 2006 10-K
- bb.  2007-09-00 CRA Commitments (National Community Reinvestment Coalition - NCRC)
- bc.  2007-09-00 NCRC CRA Commitments
- bd.  2007-09-07 Lehman Who owns resdientail credit risk (copy)
- be.  2007-10-16 Fannie Mae Minutes of a Meeting fo the Board of Directors
- bf.  2007-11-09 Update on Sub-Prime Mortgage Meltdown (Florida SBA)
- bg.  2007-12-11 Countrywide Questions and Answers about lending
- bh.  2007-12-21 Fannie Mae ltr to HUD re affordable housing goals
- bi.  2008-01-31 Fannie Mae 2007 10-K
- bj.  2008-04-00 Profiles of GSE Mortgage Purchases, 2001-2004
- bk.  2008-04-07 IIF Discussion Memorandum
  - bl.  2008-06-09 Geithner Reducing Systemic Risk
- bm.  2008-06-10 Washington Post How HUD Policy
- bn.  2008-07-00 Anderson, Capozza and Van Order
- bo.  2008-07-21 OFHEO Mortgage Market and Enterprises in 2007
- bp.  2008-08-00 Beltran, Pounder, Thomas - Foreign Exposure to ABS of US Origin (FRB International Financ
- bq.  2008-09-00 HUD Profiles of GSE Mortgage Purchases, 2005-2007
- br.  2008-09-30 Fannie Mae 2008 Third Quarter 10-Q
- bs.  2008-11-00 John Taylor - Financial Crisis and Policy Responses (Presented to FCIC 2009-10-20)
- bt.  2008-12-03 Kroszner FRB CRA and Recent Mortgage Crisis
- bu.  2009-01-00 Roubini and Parisi-Carbone
- bv.  2009-02-17 PBS\_Inside the Meltdown
- bw.  2009-02-26 Fannie Mae 2008 10-K

- bx.  2009-04-14 Bernanke Morehouse Speech
  - by.  2009-04-14 Bernanke Speech to Morehouse College - Four Questions about Financial Crisis
  - bz.  2009-06-04 Cost of Freddie Mac's Affordable Housing Mission (Business and Risk Cmte BOD)
  - ca.  2009-07-20 Taylor and Scott - Why Toxic Assets Are So Hard to Clean Up
  - cb.  2009-07-30 FHFA Fannie Mae and Freddie Mac Single-Family Guarantee Fees in 2007 and 2008
  - cc.  2009-08-06 Fannie Mae q2credit\_summary
  - cd.  2009-09-07 Moody's Presentation- Introducing Moody's Mortgage Metrics Subprime
  - ce.  2009-10-00 Deregulation and the Financial Crisis (Wallison AEI FSO)
  - cf.  2009-11-06 HUD Actuarial Review of FHFA MMI Fund
  - cg.  2010-01-00 HUD Report to Congress
  - ch.  2010-01-03 Bernanke Monetary Policy and the Housing Bubble
  - ci.  2010-01-14 SeekingAlpha - Moody's Projects Losses of Almost Half of Original Balance from 2007 Subprime
  - cj.  2010-02-01 FHFA Market Note 10-2
  - ck.  2010-02-25 Bank of America 2009 10-K
  - cl.  2010-03-09 Greenspan - The Crisis (second draft)
  - cm.  2010-03-15 Triggers of the Financial Crisis (Pinto memo revised)
  - cn.  2010-04-07 FCIC PSR on CRA and the Mortgage Crisis
  - co.  2010-04-16 Fannie Mae Presentation on GSE Credit Losses
  - cp.  2010-04-21 Pinto - High LTV, Subprime, and Alt-A Originations 1992-2007
  - cq.  2010-04-21 Pinto - Sizing Total Federal Government and Agency Contributions as of 2008-06-30
  - cr.  2010-04-21 Pinto Exhibit 2
  - cs.  2010-05-13 Bailout Nation
  - ct.  2010-08-03 Raines Poor Credit Judgments Sank Fannie and Freddie (Wall Street Journal)
  - cu.  2010-08-05 Fannie Mae Second Quarter Credit Supplement
  - cv.  2010-08-14 Pinto Government Policies
  - cw.  2010-08-17 NPR Tells Us That Republicans Believe that Fannie and Freddie Caused the Crash
  - cx.  2010-08-23 Kudlow Barney Frank Comes Home to the Facts
  - cy.  2010-09-02 Bair Testimony to the FCIC - Systemically Important and TBTF
  - cz.  2010-09-02 Bernanke Testimony to the FCIC
  - da.  2010-09-14 Federal Register FHFA Enterprise Housing Goals
  - db.  2010-09-29 Michael Lea Testimony to Senate Banking Committee
  - dc.  2010-11-04 Pinto - Government Housing Policies in the Lead-Up to the Financial Crisis - A Forensic Study
  - dd.  2010-11-15 Jaffee - Reforming the US Mortgage Market Through Private Market Incentives
  - de.  2011-01-21 Looking for New Customers
6.  0000-00-00 AIG CDS notional balances at year-end 2000-2010 Q1 AIGFCIC00519748
  7.  0000-00-00 AIMA's Illustrative Questionnaire for Due Diligence of Bear Stearns High Grade Structured Credit Strategies Fund BSAMFCIC 00000364-388
  8.  0000-00-00 Bear Stearns Asset Management Collateral Manager Presentation
  9.  0000-00-00 Bear Stearns Asset Management Collateral Manager Presentation BSAMFCIC 00000671-705
  10.  0000-00-00 Bear Stearns Asset Management Spreadsheet BSAMFCIC-e0000013
  11.  0000-00-00 Bear Stearns Asset Management Spreadsheet of Funds BSC-FCIC 00000690
  12.  0000-00-00 Bear Stearns Cioffi and Tannin Compensation Table BSAMFCIC00002002
  13.  0000-00-00 Bear Stearns High Grade Structured Credit Strategies Presentation BSAMFCIC 00000468-514
  14.  0000-00-00 Bear Stearns Liquidity Chart in billions- SEC\_TM\_FCIC\_1053802
  15.  0000-00-00 Bear Stearns NAV estimate reconciliation chart BSAMFCIC-e0000038
  16.  0000-00-00 BNY Mellon Tri-Part Repo Transactions Information BNYM FCIC 000099 - 000121
  17.  0000-00-00 Citi GSM Mortgage Finance Warehouse Lines of Credit CITI-FCIC 00091764-65
  18.  0000-00-00 Citi GSM Warehouse Lines Additions CITI-FCIC 00108748
  19.  0000-00-00 Citi Investor List for RMBS Cash CDOs CITI-FCIC 00091306-36
  20.  0000-00-00 CMLTI-2006-nc2-prospectus
  21.  0000-00-00 Countrywide originations by quarter from 2003 to 2007 BAC-FCIC-0000055785
  22.  0000-00-00 Countrywide Whole Loan and MBS Sales to GSEs BAC-FCIC-0000055788-89
  23.  0000-00-00 Fannie Mae Presentation- The HUD Housing Goals FM-FCIC-00172206-25
  24.  0000-00-00 Fannie Mae Business Update - Headlines FM-FCIC-00172622-26
  25.  0000-00-00 FRB 2006-2007 Countrywide Institutional Overview Risk Assessment and Strategy Paper on Credit Risk FCIC-146901-902

26.  0000-00-00 FRB Senior Supervisors Group Self Assessment FCIC 184084-184104
27.  0000-00-00 Golden West Table Showing ARM Originations by Year
28.  0000-00-00 Goldman Sachs 2004-2007 Synthetic and Partially Synthetic CDOs GS MBS 0000027879
29.  0000-00-00 Goldman Sachs Document re Valuation and Pricing Related to Initial Collateral Calls on Transactions with AIG GS MBS 0000087033-47
30.  0000-00-00 Goldman Sachs Info re AIG Trades not part of the Matched Book GS MBS 0000040068
31.  0000-00-00 Goldman Sachs Loans Acquired from Residential Mortgage Originator Warehouse Counterparties GS FCIC 000000174-214
32.  0000-00-00 Loan Syndication Trading Association Presentaiton- Challenges Facing CLOs...and the Loan
33.  0000-00-00 Loan Syndication Trading Association Presentaiton- Financial Reform and the Leveraged Loan Market
34.  0000-00-00 Loan Syndication Trading Association Presentation- The US Loan Market
35.  0000-00-00 Merrill Lynch Norma Flow of Funds BAC-ML-CDO-000059221
36.  0000-00-00 Merrill Lynch Tranche Purchase Information BAC-ML-CDO-000079726-752
37.  0000-00-00 Moodys Chronology Prepared by Eric Kolchinsky
38.  0000-00-00 Moodys Global List of AAA Rated Corporations and Financial Institutions 1990-2010 MOODY'S-FCIC-0394815-0394855
39.  0000-00-00 Moodys Summary of Key Provisions for Cash CDOs as of January 2000-2010 MOODY'S-FCIC-0394732
40.  0000-00-00 OTS Memo re AIG Meeting with the Commission Bancaire OTSAIG\_EFILES0000002377-78
41.  0000-00-00 PWC Memo re AIG Valuation of Super Snior Credit Default Swaps PWC-FCIC 000015 - 68
42.  0000-00-00 SEC Narrative Chronologies- Merrill Lynch SEC\_TM\_FCIC\_002550-52
43.  0000-00-00 Spreadsheet of Markit Data MK00000001
44.  0000-00-00 Wells Fargo Chart re CRA Commitments WF-FCIC-CRA-000000008
45.  0000-00-00 Wells Fargo CRA Public Commitments WF-FCIC-CRA-000000001-4
46.  1997-09-19 Moodys Structured Finance Special Report on Alternative Financial Ratios for the Effects of Securitization S& P-FCIC 0017504-519
47.  1998-04-08 FRB Memo to the Committee on Consumer and Community Affairs FCIC-114038-94
48.  1998-08-10 FRB Memorandum to BOG from Division of Consumer and Community Affairs FCIC-133068-153
49.  2000-07-03 John Taylor Letter to the OTS re Responsible Alternative Mortgage Lending
50.  2003-04-01 Moody's Mortgage Metrics - A Model Analysis of Residential Mortgage Pools
51.  2003-08-18 OCC Report Of Examination on Treasury Bank
52.  2003-10-22 Countrywide Loan Program Guide- Non-Conforming COFI MTA or LIBOR BAC-FCIC-0000005768-778
53.  2003-10-22 Countrywide Loan Program Guide- Non-Conforming COFI MTA or LIBOR BAC-FCIC-0000005768-78
54.  2003-12-05 Countrywide Loan Program Guide- High LTV Rate and Term Refinances BAC-FCIC-0000005450-54
55.  2003-12-08 Countrywide Loan Program Guide- Subprime Programs BAC-FCIC0000006022-30
56.  2004-03-25 FRB Transcript of the Consumer Advisory Council Meeting
57.  2004-06-24 FRB Transcript of the Consumer Advisory Council Meeting
58.  2004-07-12 Countrywide Loan Program Guide- Non-Conforming COFI MTA or LIBOR BAC-FCIC-0000008121-132
59.  2004-09-01 Countrywide Email from Mozilo to Kurland and McLaughlin BAC-FCIC-E-0000767201
60.  2004-10-28 FRB Transcript of the Consumer Advisory Council FCIC-179139-211
61.  2005-01-24 FRB Memo- Stress Scenarios on Bank Exposures to GSE Debt FCIC-121074-96
62.  2005-01-31 OCC Report Of Examination on Treasury Bank
63.  2005-03-07 Countrywide Loan Program Guide- Non Conforming Porgrams BAC-FCIC-000075127-37
64.  2005-03-07 Countrywide Loan Program Guide Non Conforming Programs BAC-FCIC-0000073451-61
65.  2005-03-17 FRB Transcript of the Consumer Advisory Council Meeting
66.  2005-04-05 FRBNY Summary of Supervision for Citi FCIC-Citi 000583-585
67.  2005-04-11 Memorandum of Understanding Between the OTS and la Commission Bancaire
68.  2005-05-05 FRB Memo re Talking Points on House Prices FCIC-178420-178424
69.  2005-06-14 Fannie Mae Presentation- Costs and Benefits of Mission Activities - Project Phineas FM-FCIC-00172093-110
70.  2005-08-02 Countrywide Mozilo email to Garcia cc Kurland re Bank Assets
71.  2005-09-20 Nomura Report from Boca Raton- Coverage of Selected Sessions of ABS East 2005
72.  2005-09-30 FRB Inspection Report on Countrywide FCIC-148018-025
73.  2005-10-27 FRB Consumer Advisory Council Meeting Transcript FCIC-179212-92
74.  2005-11-01 FRB Preliminary Results of the Residential Mortgage Lender Peer Group Analysis FCIC-183969-184047
75.  2005-11-01 FRB Presenation- Preliminary Results of the Residential Mortgage Lender Peer Group Analysis FCIC-183969-4047
76.  2005-11-01 FRB Untitled Presentation on Nontraditional Mortgages FCIC- 178505-12






































77.  2005-11-30 FRB Presentation- Residential Mortgage LendersPeer Group Survey- Analysis and Implications for First Lien Guidance
78.  2005-11-30 FRB Presentation- Residential Mortgage LendersPeer Group Survey- Analysis and Implications for First Lien Guidance FCIC-178620-74
79.  2005-12-05 SEC Risk Management Review of Consolidated Supervised Entities
80.  2006-01-03 Countrywide Loan Program Guide on Non Conforming Mortgages BAC-FCIC-0000074705-18
81.  2006-01-06 SEC Risk Management Review of Consolidated Supervised Entities
82.  2006-02-01 SEC Risk Management Review of Consolidated Supervised Entities
83.  2006-02-03 Moody's Approach to Rating Prime-1 CDO Notes MOODYS-FCIC-0010418-31
84.  2006-03-08 SEC Risk Management Review of Consolidated Supervised Entities
85.  2006-03-31 OCC Report of Examination of Countrywide 2006
86.  2006-04-06 SEC Risk Management Review of Consolidated Supervised Entities
87.  2006-04-13 Countrywide Mozilo email to Sieracki cc Kurland Sambol Spector McMurray BAC-FCIC-E-0000673427
88.  2006-04-17 Countrywide Email on Subprime Seconds BAC-FCIC-E-0000673436
89.  2006-04-17 Countrywide Mozilo email to Sambol and Kurland BAC-FCIC-E-0000673435
90.  2006-04-27 Moodys Email from O'Driscoll to Yoshizawa re Magnolia 2006-5 Class D
91.  2006-05-08 SEC Risk Management Review of Consolidated Supervised Entities
92.  2006-05-18 Countrywide Mozilo email to Sambol Bartlett Sieracki cc Kurland BAC-FCIC-E-0000675537-38
93.  2006-05-30 Moodys E Kolchinsky email to Y Fu, Y Yoshizawa
94.  2006-06-07 SEC Risk Management Review of Consolidated Supervised Entities
95.  2006-06-13 Fannie Mae 2005 Report of Examination Board of Directors Presentation
96.  2006-07-05 SEC Risk Management Review of Consolidated Supervised Entities
97.  2006-07-13 Countrywide Briefing Paper- Meeting with Office of Thrift Supervision BAC-FCIC-E-0000707731-99
98.  2006-08-00 Bear Stearns High Grade Structured Credit Strategies Enhanced Leverage Fund Private Placement Memo BSC-FCIC-e00599463-536
99.  2006-08-02 SEC Risk Management Review of Consolidated Supervised Entities
100.  2006-08-12 Countrywide McMurray email to Mozilo cc Sambol Bartlett Sieracki and Garcia BAC-FCIC-E-0000717406-407
101.  2006-09-05 OCC Supervisory Letter of Countrywide Pay Option ARM Portfolio
102.  2006-09-07 Fannie Mae Term Sheet FM-FCIC-2\_00004763-812
103.  2006-09-07 SEC Risk Management Review of CSEs SEC\_TM\_FCIC\_002387-91
104.  2006-10-06 SEC Risk Management Review of Consolidated Supervised Entities
105.  2006-11-07 SEC Risk Management Review of CSEs SEC\_TM\_FCIC\_002428-32
106.  2006-11-23 Bear Stearns Matt Tannin email diary entry SEC\_ENF\_FCIC\_019572-73
107.  2006-12-05 SEC Risk Management Review of Consolidated Supervised Entities
108.  2006-12-31 Bear Stearns High Grade Structured Credit Strategies Enhanced Leverage Master Fund Financial Statements BSAMFCIC00000268-297
109.  2006-12-31 Bear Stearns High Grade Structured Credit Strategies Master Fund Financial Statements BSAMFCIC 00000221-253
110.  2007-01 UBS Global CDO Group Presentation on Product Series UBSSFCIC00063871-922- REDACTED PER UBS
111.  2007-01-00 UBS Global CDO Group Presentation on Product Series UBSSFCIC00063871-922
112.  2007-01-04 SEC monthly cse memo SEC\_TM\_FCIC\_002442
113.  2007-01-29 Countrywide Mozilo email BAC-FCIC-E-0000669612-16
114.  2007-02-02 SEC Risk Management Review of Consolidated Supervised Entities
115.  2007-02-07 SEC monthly cse memo SEC\_TM\_FCIC\_002447-451
116.  2007-03-01 SEC Risk Management Reviews of CSEs SEC\_TM\_FCIC\_002452-57
117.  2007-03-02-03 Freddie Mac Board of Directors Presentation- Business Strategy FMAC-FCIC 000044685-766
118.  2007-03-07 Bear Stearns Email from Matthew Tannin to Chavanne Klaus MEAG New York Corporation SEC\_ENF\_FCIC\_019185
119.  2007-03-12 Bear Stearns Asset Management Transcript of Conference Call SEC\_ENF\_FCIC\_019194-219
120.  2007-03-13 Fannie Mae Business Review Briefing
121.  2007-03-16 Bear Stearns Email from Matt Tannin to Bella Borg-Brenner SEC\_ENF\_FCIC\_019253-54
122.  2007-03-20 Lehman Board presentation on Subprime Mortgage Origination Business LBHI-FCIC-0001272-82
123.  2007-03-22 Bear Stearns Email from Jim Crystal to Ralph Cioffi and others SEC\_ENF\_FCIC\_019269
124.  2007-03-23 Bear Stearns Email from Ralph Cioffi to Ken Mak SEC\_ENF\_FCIC\_019274-77
125.  2007-03-28 Moodys Yoshizawa email to Kirnon, McDaniel, Kolchinsky re CSFB Pipeline Info
126.  2007-03-30 Bear Stearns Email from Matthew Tannin to Steven Van Solkema SEC\_ENF\_FCIC\_019314

























































127.  2007-03-30 SEC Risk Management Review of Consolidated Supervised Entities
128.  2007-03-30 SEC Risk Management Review of CSEs SEC\_TM\_FCIC\_002479-84
129.  2007-04-02 Email from Goldman Sachs to Bear Stearns GS MBS 0000038055
130.  2007-04-11 Fannie Mae Housing Goals Briefing for HUD FM-FCIC-00172441-55
131.  2007-04-16 Countrywide Loan Program Guide- Non Conforming Programs BAC-FCIC-000074110-26
132.  2007-04-19 Bear Stearns Email from Steven Van Solkema SEC\_ENF\_FCIC\_019326-30
133.  2007-04-21 Fannie Mae Minutes of a Board of Directors Meeting
134.  2007-04-22 Bear Stearns Email from Matt Tannin to Ralph Cioffi SEC\_ENF\_FCIC\_019335-38
135.  2007-04-25 Bear Stearns Asset Management Transcript of Conference Call SEC\_ENF\_FCIC\_019346-411
136.  2007-04-26 Bear Stearns Warren Spector e-mail message to Mary Kay Scucci BSC-FCIC-e00496746-47
137.  2007-04-26 Merrill Lynch Minutes of Meeting of the Finance Committee BAC-FCIC-0000078518-26
138.  2007-04-26 SEC Risk Management Review of CSEs SEC\_TM\_FCIC\_002501-06
139.  2007-04-27 Merrill Lynch US Residential Mortgage Update BAC-ML-CDO-000076828-861
140.  2007-04-27 SEC Bear Stearns High Grade Risk Analysis SEC\_ENF\_FCIC\_020618-25
141.  2007-05-01 Bear Stearns Asset Management Email from Iris Semic BSAMFCIC00001058-60
142.  2007-05-01 Bear Stearns Email re BSAM Marks from Goldam Sachs BSAM-FCICe-00000001-02
143.  2007-05-01 Email from Goldman Sachs to Bear Stearns GS MBS 0000038056
144.  2007-05-08 Fannie Mae Housing Goals Audit Team Briefing
145.  2007-05-10 Bear Stearns Email from Robert Ervin BSAMFCIC-e0000006
146.  2007-05-16 Email from Bear Stearns Asset Management Hedge Fund Product Management BSAMFCIC-e0000007-09
147.  2007-05-21 Fannie Mae Minutes of a Board of Directors Meeting
148.  2007-05-29 Bear Stearns Asset Management Pricing Committee Minutes BSAMFCIC 0000001-03
149.  2007-05-31 SEC Risk Management Review of Consolidated Supervised Entities
150.  2007-06-04 Bear Stearns Asset Management Pricing Committee Minutes BSAMFCIC 0000004-05
151.  2007-06-05 Bear Stearns Asset Management Pricing Committee Minutes BSAMFCIC000006
152.  2007-06-05 OCC Supervisory Letter to Bank of America OCC4-00009459-67
153.  2007-06-06 Bear Stearns Cioffi Email BSAMFCIC-e00000042-44
154.  2007-06-06 Bear Stearns Email from Greg Quental to Andrew Donnellan et al SEC\_ENF\_FCIC\_020963
155.  2007-06-22 Fannie Mae Housing Goals Forecast FM-FCIC-00172456-62
156.  2007-06-29 SEC Merrill Lynch Monthly Risk Package SEC\_TM\_FCIC\_001312-1320
157.  2007-07-00 Merrill Lynch ABS CDO Update Presentation BAC-ML-CDO-000076862-884
158.  2007-07-04 Moodys Email from Nicolas Weill to Raymond McDaniel, Brian Clarkson
159.  2007-07-05 SEC Risk Management Review of CSEs SEC\_TM\_FCIC\_002473-78
160.  2007-07-13 Bear Stearns Email re High Grade Enhanced Leverage Q and A SEC\_ENF\_FCIC\_020995-97
161.  2007-07-17 Fannie Mae Board of Directors Management Report
162.  2007-07-22 Merrill Lynch Finance Committee Meeting Minutes BAC-FCIC-0000078547-579
163.  2007-07-27 Fannie Mae Forecast Meeting FM-FCIC-00172469-72
164.  2007-08-01 Countrywide Mozilo Email Chain BAC-FCIC-E-0000661408-09
165.  2007-08-01 Draft Talking Points for Chuck Prince New York Times Interview CITI-FCIC-E 00024595-608
166.  2007-08-01 Fannie Mae Mudd ltr to Lockhart
167.  2007-08-03 SEC Risk Management Review of CSEs SEC\_TM\_FCIC\_002458-64
168.  2007-08-06 Countrywide Board Minutes BAC-FCIC-0000080057-61
169.  2007-08-08 PWC Memo to AIGFP 2007 2Q Review Files PWC-FCIC 00212-213
170.  2007-08-10 FHFA Lockhart letter to Mudd
171.  2007-08-13 Merrill Lynch Kenneth Bruce Analyst Report on Countrywide- Attractive Upside
172.  2007-08-14 FRB Memo to the Board re Countrywide FCIC-149538-63
173.  2007-08-15 Countrywide Minutes of a Special Joint Telephonic Board of Directors Meeting of Countrywide BAC-FCIC-0000080071-75
174.  2007-08-15 Fannie Mae Mudd letter to Lockhart
175.  2007-08-15 Merrill Lynch Kenneth Bruce Analyst Report on Countrywide -Liquidity Achilles Heel
176.  2007-09-05 SEC Risk Management Reviews of CSEs SEC\_TM\_FCIC\_002465-72
177.  2007-09-07 Citi Board Presentation on Non-Prime and Sub-Prime Exposure CITI-FCIC-E 00639280-88
178.  2007-09-26 Merrill Lynch Presentation to the Risk Oversight Committee BAC-ML-CDO-000077012-034
179.  2007-10-05 SEC Risk Management Review of CSEs SEC\_TM\_FCIC\_002485-91
180.  2007-10-11 PWC Notes of a Meeting at AIGFP PWC-FCIC 0214-216
























































181.  2007-10-15 Citi Board of Directors Minutes CITI-FCIC 00000444-449
182.  2007-10-15 Citi Corporate Audit and Risk Management Committee Minutes CITI-FCIC 00000673-677
183.  2007-10-15 Citi Risk Management Review - Update to Corporate ARM Committee CITI-FCIC 00002793-800
184.  2007-10-21 Merrill Lynch Board of Directors Presentation- Leveraged Finance and Mortgage CDO Review BAC-ML-CDO-000077035-89
185.  2007-10-21 Moodys Presentation to Board of Directors re Credit policy issues at Moody's suggested by the subprime-liquidity crisis
186.  2007-11-01 AIG Cassano Email to Habayeb
187.  2007-11-06 SEC monthly CSEs memo SEC\_TM\_FCIC\_002492-95
188.  2007-11-07 Moodys Email from Mandy Roberts to Wendy Lynn MOODYS-FCIC-0052868-74
189.  2007-11-09 Florida SBA Update on Sub-Prime Mortgage Meltdown
190.  2007-11-09 SEC Countrywide Deposition of Angelo Mozilo Volume 1 SEC-ENF-FCIC-000974-1148
191.  2007-11-30 SEC monthly CSE memo SEC\_TM\_FCIC\_002496-500
192.  2007-12-00 BlackRock Solutions- Fannie Mae's Strategy and Business Model Supplementary Exhibits FMAC-FC
193.  2007-12-03 Merrill Lynch Board Of Directors Presentation- FICC Overview BAC-FCIC-0000078628-68
194.  2007-12-03 Senior Supervisors Group Notes on Senior Supervisors Meetings FCIC-125511-32
195.  2007-12-07 AIG Super Senior Credit Transactions- Principal Collateral Provisions AIG-FCIC535403-54
196.  2007-12-11 Citi Board Audit and Risk Committee Risk Management Update CITI-FCIC 00092014-25
197.  2007-12-21 Fannie Mae ltr to HUD re affordable housing goals FM-FCIC-00171915-21
198.  2008-01-02 SEC monthly cse memo SEC\_TM\_FCIC\_002512-16
199.  2008-01-25 FRB Financial Holding Company Project FRBNY-FCIC-General0024682-0024728
200.  2008-01-30 SEC Matthew Eichner Email to James Giles et al
201.  2008-02-06 SEC Risk Management Review of Consolidated Supervised Entities
202.  2008-02-12 Bear Stearns Tom Marano e-mail message to Alan Schwartz and Richie Metrick BSC-FCIC-e00145322
203.  2008-03-05 SEC Risk Management Review of Consolidated Supervised Entities
204.  2008-03-07 Fannie Mae Email exchange between Mudd Steel and Levin
205.  2008-03-07 OTS letter to AIG General Counsel and Board re Supervisory Concerns
206.  2008-03-11 Hayman Email to Bear Stearns re Bear stearns Goldman Sachs Novation GS MBS 0000024919-20
207.  2008-03-11 Hayman Second Email to Bear Stearns re Bear stearns Goldman Sachs Novation GS MBS 0000024921-24922
208.  2008-03-19 Joshua Rosner OFHEO Got Rolled, GrahamFisher Weekly Spew
209.  2008-06-20 Corporate Library Profile of Bear Stearns Governance
210.  2008-07-10 Lehman Brothers email Mocharko to Shanley LBHI\_FCIC\_0128796-0128802
211.  2008-07-11 FRB email Parkinson to Marshall FCIC-155480, FRB to LEH Examiner 001829
212.  2008-07-22 OTS letter to FDIC re Examination of WaMu
213.  2008-08-19 FRB Email re Goldman Sachs Report on AIG SB-AIG-35695-714
214.  2008-08-22 FHFA Dickerson letter to Syron
215.  2008-08-28 Morgan Stanley Liquidity and Financing activity report to the FRNBY FIC-MS0003346
216.  2008-09-00 Fannie Mae Single Family Conventional Book Characteristics Overall FM-FCIC-00000211
217.  2008-09-00 Fannie Mae Single Family Conventional Book Characteristics Overall FM-FCIC-00000219
218.  2008-09-00 FDIC Problem Bank Memo on WaMu
219.  2008-09-03 Lehman Brothers Emil Cornejo e-mail to Janet Birney LBHI FCIC 0133490-92
220.  2008-09-04 FHFA (Dickerson) ltr to Fannie Mae (Mudd) - Mid-year letter
221.  2008-09-04 FHFA (Dickerson) ltr to Freddie Mac (Syron)
222.  2008-09-06 FHFA Freddie Mac Conservatorship Memo
223.  2008-09-10 FRBNY email from Patricia Mosser to Dudley etc re thoughts on Lehman FCIC-OTC0018224
224.  2008-09-11 OTS letter to FDIC re WaMu ratings
225.  2008-09-12 FRBNY Hayley Boesky email to Bill Dudley re AIG Panic FCIC-SSI 0000710
226.  2008-09-13 FRBNY Email re AIG Update SB-AIG 35651
227.  2008-09-13 FRBNY Email re AIG-Board Call SB-AIG 35647-48
228.  2008-09-14 FRBNY Alejandro LaTorre e-mail message to Adam Ashcraft FCIC-AIG0021202
229.  2008-09-14 FRBNY Email re AIG and the Discount Window FCIC-AIG0021566-67
230.  2008-09-15 FRBNY Email re AIG Lead Point FCIC-AIG0020500
231.  2008-09-15 FRBNY Email re Morgan Stanley Counterparty Issues FCIC-MS0002366
232.  2008-09-16 FRBNY Email re Merrill Lynch Overnight Info FCIC-MS0002337
233.  2008-09-16 Systemic Impact of AIG Bankruptcy attachment to FRBNY internal email from Alejandro LaTorr

















































































































234.  2008-09-17 FRBNY Email re Morgan Stanley Tri-Party Repo Activity FCIC-MS0002333-2336
235.  2008-09-18 FRBNY Email re Merrill Lynch Liquidity Update FCIC-MS0002339
236.  2008-09-19 FRBNY Email re On-Site Primary Dealer Update FCIC-MS0002434-2437
237.  2008-09-19 Morgan Stanley Liquidity and Financing activity report to FRBNY FCIC-MS0002536
238.  2008-09-20 Morgan Stanley Corporate Treasury Meeting with Federal Reserve FCIC-MS0002526-46
239.  2008-09-27 FRB Beebe Memo to Burns re Wachovia Large Funds Providers
240.  2008-09-28 FRBNY Email from Patrice Maher re Morgan Stanley Liquidity Changes FCIC-MS0002413-16
241.  2008-10-03 Morgan Stanley Liquidity and Financing activity report to the FRBNY FCIC-MS0003291
242.  2008-10-22 FRBNY Email re Morgan, Goldman and Merrill Afternoon Update FCIC-BA-ML 0006359-61
243.  2008-11-20 FDIC Richardson email to Cave Corston - RE CONFIDENTIAL - Citigroup - Deterioration
244.  2008-11-21 FDIC Richardson Email re 11-21-08 Citi Liquidity Call Notes
245.  2008-11-22 FDIC Krimminger, Thomas, Murton Email Chain - RE Proposed Conduit
246.  2008-11-23 Memo to the FDIC Board from James R. Wigand and Herbert J. Held re recommendation for systemic risk determination for Citigroup
247.  2008-11-23 Transcript of FDIC Board of Directors meeting, closed session
248.  2009-01-11 FDIC Cox Email to Corston, Hoyer - FW Funding Vulnerabilities Memo
249.  2009-01-15 FDIC Board Meeting Transcript
250.  2009-01-15 Memo to the FDIC board of directors from Mitchell Glassman, Sandra Thompson, Arthur Murton, and John Thomas re Bank of America
251.  2009-03-24 James Xanthos (Citigroup) testimony to FINRA
252.  2009-06-04 Freddie Mac- Cost of Affordable Housing Mission
253.  2009-08-05 FRBNY Report on Systemic Risk and Supervision Draft FRBNY-FCIC-General0079954-78
254.  2009-08-14 FRB Response to PWG Questionnaire on Supervision FCIC-135166-207
255.  2009-10-09 Treasury- Reforming Prudential Supervision and Building a Safer Financial System
256.  2010-01-00 Moody's Bond Rating Application- Collateralized Bond and Loan Obligations- Complex MOODYS-FCIC-0394439-51
257.  2010-03-01 Citi Counsel letter to the FCIC
258.  2010-03-05 Mary Shapiro Response to Hearing Follow-Up Questions
259.  2010-03-08 Lloyd Blankfein Response to Hearing Follow-Up Questions
260.  2010-03-18 Citi Counsel letter to the FCIC re CRA
261.  2010-03-19 Citi Counsel Letter to the FCIC
262.  2010-03-22 Fannie Mae Counsel letter to the FCIC and FM-FCIC-2\_00000036-46
263.  2010-03-22 OCC GSE Analysis Prepared for the FCIC
264.  2010-03-25 Interview notes Kevin Coffey (FRBNY)
265.  2010-03-31 Citi Counsel letter to the FCIC
266.  2010-04-02 Moody's Wells Submission to SEC MOODYS-FCIC-0038399-439
267.  2010-04-16 Dreyfus Response to MMF Survey
268.  2010-04-28 Department of Justice Letter to the FCIC and FCIC\_REQ\_A000000447-553
269.  2010-04-30 Federated Response to MMF Survey
270.  2010-05-03 Moodys Counsel Letter to the FCIC
271.  2010-05-11 Letter from Rabobank's Counsel to Judge Fried of Supreme Court of NY
272.  2010-05-26 JPMorgan Counsel Letter to the FCIC re CRA
273.  2010-05-31 FRB Richard Spillenkothen Paper- Observations on the Performance of Prudential Supervision
274.  2010-06-03 AIG Counsel Email to the FCIC
275.  2010-06-16 Bank of American Counsel letter to the FCIC
276.  2010-06-17 Robert Levin Response to Hearing Follow-up Questions
277.  2010-06-21 AIG Counsel letter to the FCIC
278.  2010-06-23 Nestor Dominguez, Murray Barnes, and Chuck Prince Response to Hearing Follow-up Questions
279.  2010-07-07 FCIC Staff Analysis of Housing Data
280.  2010-07-15 Goldman Sachs Email to the FCIC
281.  2010-07-15 Goldman Sachs Second Email to the FCIC and GS MBS 0000038856
282.  2010-07-29 FinCEN Report to the FCIC on Countrywide
283.  2010-07-29 FinCEN Report to the FCIC on Countrywide REDACTED per FinCEN
284.  2010-08-02 Deloitte Counsel letter to the FCIC re Rhinebridge PLC
285.  2010-08-02 Massachusetts Attorney General (Martha Coakley) Comment Letter
286.  2010-08-09 FCIC Staff Analysis of Housing Data and Comparison with Ed Pinto Analysis


- 287.  2010-08-13 Bank of America Counsel letter to the FCIC
  - 288.  2010-08-18 Morgan Stanley Counsel letter to the FCIC and MS0002685-739
  - 289.  2010-08-20 Bank of American Counsel Letter to the FCIC re the Community Reinvestment Act
  - 290.  2010-08-27 Steven Black Responses to FCIC Interrogatories
  - 291.  2010-09-17 FCIC Staff Memo re Goldman Sachs Exposure to AIG
  - 292.  2010-09-21 Fannie Mae Counsel letter to the FCIC and FM-FCIC-2\_00004700-4759 and FM-FCIC\_00025866-25953
  - 293.  2010-09-21 Freddie Mac Counsel letter to the FCIC
  - 294.  2010-09-30 Moody's Letter to FCIC re Clayton & Sacramento Hearing
  - 295.  2010-09-30 Moody's Letter to FCIC re Clayton & Sacramento Hearing
  - 296.  2010-10-13 Fannie Mae Counsel letter to the FCIC
  - 297.  2010-10-14 Citi Counsel letters to the FCIC
  - 298.  2010-10-14 FinCEN Response to Interrogatories
  - 299.  2010-10-15 FDIC Budget and Staff Information Produced for the FCIC
  - 300.  2010-10-15 FinCEN Response to Interrogatories
  - 301.  2010-10-15 Thomas Baxter Response to Hearing Follow-up Questions
  - 302.  2010-10-19 Fannie Mae Counsel letter to the FCIC
  - 303.  2010-10-19 Freddie Mac Counsel letter to FCIC
  - 304.  2010-10-21 Department Of Justice letter to the FCIC
  - 305.  2010-10-28 Sheila Bair Response to Hearing Follow-Up Questions
  - 306.  2010-11-01 Richard Bowen Response to Follow-Up Questions
  - 307.  2010-11-02 FRB Email to FCIC re Richard Westerkamp Question
  - 308.  2010-11-02 Merrill Lynch Counsel letter to FCIC re CDOs
  - 309.  2010-11-04 Citi Counsel letter to FCIC
  - 310.  2010-11-16 FCIC emails with Ann Rutledge (R& R Consulting) re CDO ratings [Redacted]
  - 311.  2010-11-16 JPMorgan Counsel letter to the FCIC
  - 312.  2010-12-16 JPMorgan Counsel letter to FCIC and JPM-FCIC 00005918-5981
  - 313.  2010-12-21 Magnetar Counsel Email to FCIC
  - 314.  2010-12-22 Merrill Lynch Counsel Letter to the FCIC re Merrill CDOs
  - 315.  2010-12-22 William Black Email to the FCIC
  - 316.  Email from Vertical Capital re Rent a Manager quote
  - 317.  MOODYS-FCIC-0003712 - Moody's Investor Service Securities Trading Policy
  - 318.  PW1997
  - 319.  PWC-FCIC 000217
  - 320.  PWC-FCIC 000220-223
  - 321.  Uncleared Docs
- E.  FCIC Contacts
    - 1.  Master Contact List\_SUPERSEDED
    - 2.  Master Contact List\_SUPERSEDED
    - 3.  Potential Witnesses and Investigation Contacts
    - 4.  Principal Point of Contacts
    - 5.  Witness Interview Tracker\_1-29-10
  - F.  FCIC Forms
    - 1.  COVER\_PAGE\_FOR\_DOCUMENTS\_REQUIRING\_FCIC\_OFFICIALs\_SIGNATURE[1]
  - G.  FCIC Roster
    - 1.  Copy of FCIC Office Staff Roster
    - 2.  FCIC Phone Roster
    - 3.  FCIC\_Roster\_for Commissioners
    - 4.  FCIC\_Roster\_w\_contact
    - 5.  Organizational\_Chart\_1-26-10
    - 6.  Organizational\_Chart\_2-16-10[1]
  - H.  Front Desk
    - 1.  Comment General Correspondence
    - 2.  FCIC Phone Roster 7-10-2010 (copy)
    - 3.  FCIC\_Roster\_w\_contact(3)
    - 4.  How To
    - 5.  Mail
























































6.  mail merge
7.  seeking personal assistance
8.  tip suggestion
- I.  Office Closure
  1.  FCIC Records Management Policy
  2.  Handbook\_on\_Staff\_and Consultant\_Departures - 11-1-2010
- J.  Office Correspondence
  1.  Memo re Napolitano Meeting 1 28 - DRAFT-edits
  2.  Shadow banking Time Line and the repo market-edits
- K.  Recruiting
  1.  0508fmo
  2.  0508fmo
  3.  0608fmo
  4.  0608fmo
  5.  0708fmo
  6.  0708fmo
  7.  0908fmo
  8.  0908fmo
  9.  1108fmo
  10.  1108fmo
  11.  2010-0630-psr-derivative-overview
  12.  2010-0630-psr-derivative-overview
  13.  2010-0630-psr-derivative-overview
  14.  2010-0630-psr-derivative-overview
  15.  2010-0630-psr-derivative-overview
  16.  2010-0630-psr-derivative-overview
  17.  2010-0630-psr-derivative-overview
  18.  2010-0630-psr-derivative-overview
  19.  2010-0630-psr-derivative-overview
  20.  2010-0630-psr-derivative-overview
  21.  2010-0630-psr-derivative-overview
  22.  2010-0630-psr-derivative-overview
  23.  2010-0630-psr-derivative-overview
  24.  2010-0630-psr-derivative-overview
  25.  2010-0630-psr-derivative-overview
  26.  2010-0630-psr-derivative-overview
  27.  2010-0630-psr-derivative-overview
  28.  4.11.09\_Why it all Collapsed
  29.  4.11.09\_Why it all Collapsed
  30.  AMHP-100400-DEPRESSION-dg copy\_Layout 1
  31.  AMHP-100400-DEPRESSION-dg copy\_Layout 1
  32.  AMHP-100400-DEPRESSION-dg copy\_Layout 1
  33.  AMHP-100400-DEPRESSION-dg copy\_Layout 1
  34.  AMHP-100400-DEPRESSION-dg copy\_Layout 1
  35.  ATT00001
  36.  ATT00001.
  37.  ATT00001.
  38.  ATT00001.
  39.  ATT00001.
  40.  ATT00001.
  41.  ATT00001.
  42.  ATT00001.
  43.  ATT00001.
  44.  ATT00001.
  45.  ATT00001.
  46.  ATT00001.

47.  ATT00001.
48.  ATT00001.
49.  ATT00002.
50.  ATT00002.
51.  ATT00002.
52.  ATT00002.
53.  ATT00003.
54.  ATT00003.
55.  ATT00003.
56.  ATT00003.
57.  Ben Jacobs' Resume 2010 (3)
58.  Ben Jacobs' Resume 2010 (3)
59.  Ben Jacobs' Resume 2010 (3)
60.  BWCDs072197fp
61.  BWCDs072197fp
62.  CDWResume
63.  central valley smog
64.  central valley smog
65.  CFO Summary-cr-sl 01\_12\_09-no comments
66.  CFO Summary-cr-sl 01\_12\_09-no comments
67.  CFO Summary-cr-sl 01\_12\_09-no comments
68.  CFO Summary-cr-sl 01\_12\_09-no comments
69.  CV-SK-chrono
70.  CV-SK-chrono
71.  dekaser
72.  dekaser
73.  DeKaser Sample
74.  DeKaser Sample
75.  DeKaser Sample
76.  DeKaser Sample
77.  DerivativesOverview.mintz
78.  DerivativesOverview.mintz
79.  DerivativesOverview.mintz
80.  Derivforcommission
81.  econ story
82.  econ story
83.  econ story
84.  econ story
85.  econ story
86.  Email -
87.  Email -
88.  Email -
89.  Email -
90.  Email -
91.  Email -
92.  Email -
93.  Email -
94.  Email -
95.  Email -
96.  Email -
97.  Email -
98.  Email -
99.  Email -
100.  Email -
101.  Email -
























































102.  Email - A. Falk reference Contact information for Tom Eldridge
103.  Email - A. Falk reference Contact information for Tom Eldridge
104.  Email - Alex Berenson withdrew
105.  Email - Alice Falk phone number
106.  Email - Alice Falk phone number
107.  Email - Alice Falk phone number
108.  Email - any further thoughts
109.  Email - Art Willmarth
110.  Email - Background info on Elizabeth Haynes
111.  Email - Background info on Elizabeth Haynes
112.  Email - Background info on Elizabeth Haynes
113.  Email - Ben Jacobs
114.  Email - Ben Jacobs
115.  Email - Ben Jacobs
116.  Email - Call me re Alex Berenson
117.  Email - can we talk tomorrow morning
118.  Email - can we talk tomorrow morning
119.  Email - Contact Information for Rishi Gupta
120.  Email - Contact Information for Rishi Gupta
121.  Email - Contact Information for Rishi Gupta
122.  Email - Contact Information for Rishi Gupta
123.  Email - Contact Information for Rishi Gupta
124.  Email - Contact Information for Rishi Gupta
125.  Email - Contact Information for Rishi Gupta
126.  Email - Contact Information for Rishi Gupta
127.  Email - Delivered RE- Hi richard
128.  Email - derivatives overview
129.  Email - derivatives overview
130.  Email - derivatives overview
131.  Email - derivatives overview
132.  Email - Do NOT Distribute - Old Personnel Grid
133.  Email - Editor writers
134.  Email - Editor writers
135.  Email - Editor writers
136.  Email - Editor writers
137.  Email - FCIC
138.  Email - FCIC
139.  Email - FCIC
140.  Email - FCIC editor position
141.  Email - FCIC Inquiry
142.  Email - FCIC Inquiry
143.  Email - FCIC Interview & Writing Sample
144.  Email - FCIC Interview & Writing Sample
145.  Email - FCIC Interview & Writing Sample
146.  Email - FCIC Interview & Writing Sample
147.  Email - FCIC request for samples
148.  Email - FCIC request for samples
149.  Email - FCIC request for samples
150.  Email - FCIC request for samples
151.  Email - FCIC request for samples
152.  Email - FCIC request for samples
153.  Email - FCIC request for samples
154.  Email - FCIC request for samples
155.  Email - FCIC request for samples
156.  Email - FCIC request for samples
























































157.  Email - FCIC request for samples
158.  Email - FCIC request for samples
159.  Email - FCIC request for samples
160.  Email - FCIC request for samples
161.  Email - FCIC request for samples
162.  Email - FCIC request for samples
163.  Email - FCIC request for samples
164.  Email - FCIC request for samples
165.  Email - FCIC request for samples
166.  Email - FCIC request for samples
167.  Email - FCIC request for writing sample
168.  Email - FCIC request for writing sample
169.  Email - FCIC Research Opportunities - Dan Marcus Suggestion
170.  Email - FCIC Research Opportunities - Dan Marcus Suggestion
171.  Email - FCIC Research Opportunities - Dan Marcus Suggestion
172.  Email - FCIC Research Opportunities - Dan Marcus Suggestion
173.  Email - FCIC Research Opportunities - Dan Marcus Suggestion
174.  Email - FCIC Research Opportunities - Dan Marcus Suggestion
175.  Email - FCIC Research Opportunities - Dan Marcus Suggestion
176.  Email - FCIC Research Opportunities - Dan Marcus Suggestion
177.  Email - FCIC Research Opportunities - Dan Marcus Suggestion
178.  Email - FCIC Research Opportunities - Dan Marcus Suggestion
179.  Email - FCIC Research Opportunities - Dan Marcus Suggestion
180.  Email - FCIC writer positions
181.  Email - FCIC writer positions
182.  Email - FCIC writer positions
183.  Email - FCIC writer positions
184.  Email - FCIC writer positions
185.  Email - FCIC writer positions
186.  Email - FCIC writer positions
187.  Email - FCIC Writing Sample Request
188.  Email - FCIC Writing Sample Request
189.  Email - FCIC Writing Sample Request
190.  Email - FCIC Writing Sample Request
191.  Email - FCIC Writing Sample Request
192.  Email - FCIC Writing Sample Request
193.  Email - Follow up
194.  Email - Follow up
195.  Email - Follow up
196.  Email - Follow up
197.  Email - Follow up
198.  Email - Follow up to your conversation with gary Cohen
199.  Email - Follow up to your conversation with gary Cohen
200.  Email - Follow up to your conversation with gary Cohen
201.  Email - Follow up to your conversation with gary Cohen
202.  Email - Follow up to your conversation with gary Cohen
203.  Email - For Tuesday - personnel list I gave Beneva
204.  Email - For Tuesday - personnel list I gave Beneva
205.  Email - For Tuesday - personnel list I gave Beneva
206.  Email - For Tuesday - personnel list I gave Beneva
207.  Email - For Tuesday - personnel list I gave Beneva
208.  Email - For Tuesday - personnel list I gave Beneva
209.  Email - FW- FCIC request for samples
210.  Email - Fw- Here's a candidate for one of your commission writing editing
211.  Email - Fw- Here's a candidate for one of your commission writing editing
























































212.  Email - Fw- Here's a candidate for one of your commission writing editing
213.  Email - Fw- Here's a candidate for one of your commission writing editing
214.  Email - Fw- Here's a candidate for one of your commission writing editing
215.  Email - Fw- Here's a candidate for one of your commission writing editing
216.  Email - Fw- Here's a candidate for one of your commission writing editing
217.  Email - Fw- Here's a candidate for one of your commission writing editing
218.  Email - FW- jon any thoughts on writers editors who might want to workhere
219.  Email - FW- jon any thoughts on writers editors who might want to workhere
220.  Email - Fw- RE- recommendations and writing samples
221.  Email - Fw- Rishi Gupta Resume
222.  Email - Fw- Rishi Gupta Resume
223.  Email - Getting stuff signed
224.  Email - Gregg Forte -- senior editor
225.  Email - Hello
226.  Email - Hello
227.  Email - Hello
228.  Email - Hello
229.  Email - Hello
230.  Email - Hello
231.  Email - Hello
232.  Email - Hello
233.  Email - Hello
234.  Email - here is my submission
235.  Email - here is my submission
236.  Email - Hi Bara
237.  Email - Hi Cassidy
238.  Email - Hi Cassidy
239.  Email - Hi David
240.  Email - Hi Jill
241.  Email - Hi Jill
242.  Email - Hi Jill
243.  Email - Hi Jill
244.  Email - Hi Jill
245.  Email - Hi Judy
246.  Email - Hi Judy
247.  Email - Hi Liz
248.  Email - Hi Liz
249.  Email - Hi Liz
250.  Email - hi Liz
251.  Email - Hi Mike
252.  Email - Hi Nick
253.  Email - Hi Pat re FCIC
254.  Email - Hi Pat re FCIC
255.  Email - Hi Pat re FCIC
256.  Email - Hi Pat re FCIC
257.  Email - Hi Pat re FCIC
258.  Email - Hi Pat re FCIC
259.  Email - Hi Pat re FCIC
260.  Email - Hi Pat re FCIC
261.  Email - Hi Pat re FCIC
262.  Email - Hi Pat re FCIC
263.  Email - Hi Pat re FCIC
264.  Email - Hi Pat re FCIC
265.  Email - Hi Pat re FCIC
266.  Email - Hi Pat re FCIC
























































267.  Email - Hi Pat re FCIC
268.  Email - Hi Phillip
269.  Email - Hi richard
270.  Email - hi Richard
271.  Email - hi Richard
272.  Email - Hi richard
273.  Email - Hi richard
274.  Email - Hi richard
275.  Email - Hi richard
276.  Email - Hi richard
277.  Email - Hi richard
278.  Email - Hi richard
279.  Email - Hi richard
280.  Email - Hi richard
281.  Email - Hi richard br
282.  Email - Hi richard br
283.  Email - Hi Rick
284.  Email - Hi Scott
285.  Email - Hi Scott
286.  Email - Hi Scott
287.  Email - Hi Scott
288.  Email - Hi Scott
289.  Email - Hi Stephanie
290.  Email - Hi Steve
291.  Email - Hi Steve
292.  Email - Hi Steve
293.  Email - Hi Susan
294.  Email - Hi Susan
295.  Email - Hi Susan
296.  Email - Hi Susan
297.  Email - Hi Susan
298.  Email - Hi Susan
299.  Email - Hi Susan
300.  Email - Hi Susan
301.  Email - Hi Susan
302.  Email - Hi Susan
303.  Email - Hi Susan
304.  Email - Hi Susan
305.  Email - Hi Susan
306.  Email - Hi Susan
307.  Email - Hi Susan
308.  Email - Hi Susan br
309.  Email - Hi Susan br
310.  Email - Hi Susan br
311.  Email - Hi Susan br
312.  Email - hi Terry
313.  Email - Hi Tim
314.  Email - In the market
315.  Email - job search
316.  Email - job search
317.  Email - job search
318.  Email - John Maggs
319.  Email - Jonathan Rauch
320.  Email - Jonathan Rauch
321.  Email - Jonathan Rauch


























































322.  Email - Judy Pasternak
323.  Email - Julie Marcacci- 2pm
324.  Email - Julie%20A.%20Marcacci%20Resume3[1]
325.  Email - Julie%20A.%20Marcacci%20Resume3[1]
326.  Email - Julie%20A.%20Marcacci%20Resume3[1]
327.  Email - Julie%20A.%20Marcacci%20Resume3[1]
328.  Email - Julie%20A.%20Marcacci%20Resume3[1]
329.  Email - Julie%20A.%20Marcacci%20Resume3[1]
330.  Email - Julie%20A.%20Marcacci%20Resume3[1]
331.  Email - Junior Researcher Assistant
332.  Email - Just checking...
333.  Email - Just checking...
334.  Email - last email
335.  Email - Last one promise...
336.  Email - Last one promise...
337.  Email - Last one promise...
338.  Email - Last one promise...
339.  Email - Last one promise...
340.  Email - Last one promise...
341.  Email - Last one promise...
342.  Email - Mark Zandi - recommendations re writers editors
343.  Email - Mark Zandi - recommendations re writers editors
344.  Email - Mark Zandi - recommendations re writers editors
345.  Email - Mark Zandi - recommendations re writers editors
346.  Email - Mark Zandi - recommendations re writers editors
347.  Email - Mary Kane resume
348.  Email - Mary Kane resume
349.  Email - Mary Kane resume
350.  Email - Mary Kane resume
351.  Email - Mary Kane resume
352.  Email - Meeting Forward Notification Richard DeKaser Interview
353.  Email - Meeting Forward Notification Richard DeKaser Interview
354.  Email - Meeting Forward Notification Richard DeKaser Interview
355.  Email - Meeting Forward Notification Richard DeKaser Interview
356.  Email - missing resumes
357.  Email - missing resumes
358.  Email - more info
359.  Email - more info br
360.  Email - new contact details
361.  Email - new contact details
362.  Email - new hires process (2)
363.  Email - Next Steps
364.  Email - Note from Susan re writers
365.  Email - Note from Susan re writers
366.  Email - Note from Susan re writers
367.  Email - Note from Susan re writers
368.  Email - Note from Susan re writers
369.  Email - Note from Susan re writers
370.  Email - Note from Susan re writers
371.  Email - Note from Susan re writers
372.  Email - Note from Susan re writers
373.  Email - Note from Susan re writers
374.  Email - Out of Office AutoReply FCIC Inquiry
375.  Email - Patrick Pexton FCIC
376.  Email - Patrick Pexton FCIC
























































377.  Email - Patrick Pexton FCIC
378.  Email - Patrick Pexton FCIC
379.  Email - Per Mike Bryan's introduction
380.  Email - Per Mike Bryan's introduction
381.  Email - Phillip L. Zweig References Clips etc.
382.  Email - Phillip L. Zweig References Clips etc.
383.  Email - Phillip L. Zweig References Clips etc.
384.  Email - Phillip L. Zweig References Clips etc.
385.  Email - Phillip L. Zweig References Clips etc.
386.  Email - Phillip L. Zweig References Clips etc.
387.  Email - Phillip L. Zweig References Clips etc.
388.  Email - phone call from your assistant
389.  Email - phone call from your assistant
390.  Email - phone call from your assistant
391.  Email - phone call from your assistant
392.  Email - Potential Senior Investigative Staff A Few Others
393.  Email - Project Book Coordinator
394.  Email - Project Book Coordinator
395.  Email - Project Book Coordinator
396.  Email - Project Book Coordinator
397.  Email - RE- consulting agreement
398.  Email - Re- FCIC Interview & Writing Sample
399.  Email - Re- FCIC Interview & Writing Sample
400.  Email - Re- FCIC Interview & Writing Sample
401.  Email - Re- FCIC Interview & Writing Sample
402.  Email - Re- FCIC Interview & Writing Sample
403.  Email - Re- FCIC Interview & Writing Sample
404.  Email - Re- FCIC request for samples
405.  Email - Re- FCIC request for samples
406.  Email - Re- FCIC request for samples
407.  Email - Re- FCIC request for samples
408.  Email - Re- FCIC request for samples
409.  Email - Re- FCIC request for samples
410.  Email - Re- FCIC request for samples
411.  Email - Re- FCIC request for samples
412.  Email - Re- FCIC request for samples
413.  Email - Re- FCIC request for samples
414.  Email - Re- FW- Hi Liz
415.  Email - Re- FW- Hi Liz
416.  Email - Re- FW- Hi Liz
417.  Email - Re- Hello
418.  Email - RE- Hello
419.  Email - Re- Hi Bara
420.  Email - Re- Hi Bara
421.  Email - Re- Hi Bara
422.  Email - Re- Hi Bara
423.  Email - Re- Hi David
424.  Email - Re- Hi Judy
425.  Email - Re- Hi Judy
426.  Email - Re- Hi Judy
427.  Email - Re- Hi Marc
428.  Email - Re- Hi Marc
429.  Email - Re- Hi Marc
430.  Email - Re- Hi Marc
431.  Email - Re- Hi Marc





















































- 432.  Email - Re- Hi Marc
- 433.  Email - Re- Hi Marc
- 434.  Email - Re- Hi Marc
- 435.  Email - Re- Hi Marc
- 436.  Email - Re- Hi Marc
- 437.  Email - Re- Hi Marc
- 438.  Email - Re- Hi Marc
- 439.  Email - Re- Hi Marc
- 440.  Email - Re- Hi Marc
- 441.  Email - Re- Hi Marc
- 442.  Email - Re- Hi Mike
- 443.  Email - Re- Hi Mike
- 444.  Email - Re- Hi Mike
- 445.  Email - Re- Hi Mike
- 446.  Email - Re- Hi Mike
- 447.  Email - Re- Hi Mike
- 448.  Email - Re- Hi Mike
- 449.  Email - Re- Hi Mike
- 450.  Email - Re- Hi Mike
- 451.  Email - Re- Hi Mike
- 452.  Email - Re- Hi Mike
- 453.  Email - Re- Hi Nick
- 454.  Email - Re- Hi Nick
- 455.  Email - Re- Hi Nick
- 456.  Email - Re- Hi Nick
- 457.  Email - Re- Hi Nick
- 458.  Email - Re- Hi Phillip
- 459.  Email - Re- Hi Phillip
- 460.  Email - Re- Hi Phillip
- 461.  Email - Re- Hi Phillip
- 462.  Email - Re- hi Richard
- 463.  Email - Re- hi Richard
- 464.  Email - Re- hi Richard
- 465.  Email - RE- Hi Stephanie
- 466.  Email - RE- Hi Stephanie
- 467.  Email - RE- Hi Stephanie
- 468.  Email - Re- Hi Stephanie
- 469.  Email - Re- Hi Stephanie
- 470.  Email - Re- Hi Stephanie
- 471.  Email - Re- Hi Steve
- 472.  Email - Re- Hi Steve
- 473.  Email - Re- Hi Steve
- 474.  Email - Re- hi Terry
- 475.  Email - Re- hi Terry
- 476.  Email - Re- hi Terry
- 477.  Email - Re- hi Terry
- 478.  Email - Re- hi Terry
- 479.  Email - Re- hi Terry
- 480.  Email - Re- hi Terry
- 481.  Email - Re- hi Terry
- 482.  Email - Re- hi Terry
- 483.  Email - Re- Hi Tim
- 484.  Email - Re- Hi Tim
- 485.  Email - Re- Hi Tim
- 486.  Email - Re- Hi Tim

487.  Email - Re- Hi Tim
488.  Email - Re- Hi Tim
489.  Email - Re- Hi Tim
490.  Email - Re- Hi Tim
491.  Email - RE- jon any thoughts on writers editors who might want to work he
492.  Email - RE- kirsten downey br
493.  Email - RE- kirsten downey br
494.  Email - Re- Making a connection
495.  Email - Re- Making a connection
496.  Email - Re- Making a connection
497.  Email - Re- Making a connection
498.  Email - Re- Making a connection
499.  Email - Re- Making a connection
500.  Email - Re- Making a connection
501.  Email - Re- Marc Levinson -- can you stop by at 4 30 tomorrow Tuesday
502.  Email - Re- Marc Levinson -- can you stop by at 4 30 tomorrow Tuesday
503.  Email - Re- Note from Susan re writers
504.  Email - Re- Note from Susan re writers
505.  Email - Re- Note from Susan re writers
506.  Email - Re- Note from Susan re writers
507.  Email - Re- Note from Susan re writers
508.  Email - Re- Note from Susan re writers
509.  Email - Re- Note from Susan re writers
510.  Email - Re- Note from Susan re writers
511.  Email - Re- Note from Susan re writers
512.  Email - Re- Note from Susan re writers
513.  Email - Re- Note from Susan re writers
514.  Email - Re- Note from Susan re writers
515.  Email - RE- phone call from your assistant br
516.  Email - RE- phone call from your assistant br
517.  Email - Re- references
518.  Email - Re- references
519.  Email - Re- references
520.  Email - Re- Referral from Stephanie Kaplan
521.  Email - Re- referral from Stephanie Kaplan
522.  Email - Re- referral from Stephanie Kaplan
523.  Email - Re- Referral from Stephanie Kaplan
524.  Email - Re- referral from Stephanie Kaplan
525.  Email - Re- referral from Stephanie Kaplan
526.  Email - Re- Referral from Stephanie Kaplan
527.  Email - Re- referral from Stephanie Kaplan
528.  Email - Re- referral from Stephanie Kaplan
529.  Email - Re- referral from Stephanie Kaplan
530.  Email - Re- referral from Stephanie Kaplan
531.  Email - Re- Referral from Stephanie Kaplan
532.  Email - Re- Referral from Stephanie Kaplan
533.  Email - Re- Referral from Stephanie Kaplan
534.  Email - Re- Referral from Stephanie Kaplan
535.  Email - Re- Referral from Stephanie Kaplan
536.  Email - Re- referral from Stephanie Kaplan
537.  Email - Re- referral from Stephanie Kaplan
538.  Email - Re- Referral from Stephanie Kaplan
539.  Email - Re- referral from Stephanie Kaplan
540.  Email - Re- Referral from Stephanie Kaplan
541.  Email - Re- Referral from Stephanie Kaplan

















































542.  Email - Re- Referral from Stephanie Kaplan
543.  Email - Re- Rishi Gupta Resume
544.  Email - RE- Rishi Gupta Resume
545.  Email - Re- Rishi Gupta Resume
546.  Email - RE- Rishi Gupta Resume
547.  Email - Re- writer\_editor\_researcher list.xlsx
548.  Email - RE- writer\_editor\_researcher list.xlsx
549.  Email - RE- writer\_editor\_researcher list.xlsx
550.  Email - Re- writer\_editor\_researcher list.xlsx
551.  Email - RE- Zweig
552.  Email - recommendations and writing samples
553.  Email - recommendations and writing samples
554.  Email - recruiting
555.  Email - recruiting etc.
556.  Email - recruiting etc.
557.  Email - references
558.  Email - references
559.  Email - references
560.  Email - references
561.  Email - references
562.  Email - references
563.  Email - references
564.  Email - references
565.  Email - referral from Stephanie Kaplan
566.  Email - Referral from Stephanie Kaplan
567.  Email - referral from Stephanie Kaplan
568.  Email - Researchers -- new hires potentials
569.  Email - resumes
570.  Email - resumes
571.  Email - resumes
572.  Email - resumes
573.  Email - resumes
574.  Email - resumes
575.  Email - Rishi Gupta Resume
576.  Email - search for a new project coordinator
577.  Email - search for a new project coordinator
578.  Email - search for a new project coordinator
579.  Email - search for a new project coordinator
580.  Email - search for a new project coordinator
581.  Email - search for a new project coordinator
582.  Email - search for a new project coordinator
583.  Email - search for a new project coordinator
584.  Email - search for a new project coordinator
585.  Email - search for a new project coordinator
586.  Email - search for a new project coordinator
587.  Email - search for a new project coordinator
588.  Email - search for a new project coordinator
589.  Email - search for a new project coordinator
590.  Email - search for a new project coordinator
591.  Email - Senior editor interview
592.  Email - Senior editor interview
593.  Email - Simendinger - Writer positions -- per references
594.  Email - Simendinger - Writer positions -- per references
595.  Email - Simendinger - Writer positions -- per references
596.  Email - Sofia Mendoza said to contact you














597.  Email - Sofia Mendoza said to contact you
598.  Email - Sofia Mendoza said to contact you
599.  Email - Sofia Mendoza said to contact you
600.  Email - Sofia Mendoza said to contact you
601.  Email - Sofia Mendoza said to contact you
602.  Email - Sofia Mendoza said to contact you
603.  Email - Sofia Mendoza said to contact you br
604.  Email - Sofia Mendoza said to contact you br
605.  Email - Sofia Mendoza said to contact you BR BR Mr. Cohen BR BR M
606.  Email - Some possible names for senior editor writer
607.  Email - Stephanie Kaplan (9 11 coordinator)
608.  Email - TG - FCIC Candidates (2).doc
609.  Email - The commission's book project
610.  Email - The commission's book project
611.  Email - thoughts on writers -- resending to CanyonParthers and FCIC address
612.  Email - thoughts on writers -- resending to CanyonParthers and FCIC address
613.  Email - thoughts on writers -- resending to CanyonParthers and FCIC address
614.  Email - thoughts on writers -- resending to CanyonParthers and FCIC address
615.  Email - thoughts on writers -- resending to CanyonParthers and FCIC address
616.  Email - Undeliverable Hi Liz
617.  Email - Undeliverable Hi Liz
618.  Email - Undeliverable hi Richard
619.  Email - update
620.  Email - when can we talk
621.  Email - when can we talk
622.  Email - Writer Candidate
623.  Email - writer editor
624.  Email - writer editor
625.  Email - Writer Editor candidate
626.  Email - Writer Editor candidate
627.  Email - Writer Editor Researcher Resumes
628.  Email - Writer Editor Researcher Resumes
629.  Email - Writer Editor Researcher Resumes
630.  Email - writer\_editor\_researcher list(1).xlsx
631.  Email - writer\_editor\_researcher list(1).xlsx
632.  Email - writer\_editor\_researcher list(1).xlsx
633.  Email - writer\_editor\_researcher list.xlsx
634.  Email - writer\_editor\_researcher list.xlsx
635.  Email - writer\_editor\_researcher list.xlsx
636.  Email - writer\_editor\_researcher list.xlsx
637.  Email - writer\_editor\_researcher list.xlsx
638.  Email - writer\_editor\_researcher list.xlsx
639.  Email - writer\_editor\_researcher list.xlsx
640.  Email - writer\_editor\_researcher list.xlsx
641.  Email - writer\_editor\_researcher list.xlsx
642.  Email - writers suggestion
643.  Email - writers suggestion
644.  Email - writers suggestion
645.  Email - writers suggestion
646.  Email - writers suggestion
647.  Email - your inquiry
648.  Email - your inquiry
649.  Email - your inquiry
650.  Email - Z. Teachout and Contact info for Lakritz
651.  Falk resume, 2010

- 652.  Falk resume, 2010
- 653.  Falk resume, 2010
- 654.  Falk resume, 2010
- 655.  Falk resume, 2010
- 656.  fax to Gary Cohen FCIC 7-13-10 002
- 657.  fax to Gary Cohen FCIC 7-13-10 003
- 658.  fax to Gary Cohen FCIC 7-13-10 004
- 659.  fax to Gary Cohen FCIC 7-13-10 005
- 660.  fax to Gary Cohen FCIC 7-13-10 006
- 661.  fax to Gary Cohen FCIC 7-13-10 007
- 662.  fax to Gary Cohen FCIC 7-13-10 008
- 663.  fax to Gary Cohen FCIC 7-13-10 009
- 664.  fax to Gary Cohen FCIC 7-13-10 010
- 665.  fax to Gary Cohen FCIC 7-13-10 011
- 666.  fax to Gary Cohen FCIC 7-13-10 012
- 667.  final Susan Mandel
- 668.  final Susan Mandel
- 669.  final Susan Mandel
- 670.  final Susan Mandel
- 671.  final Susan Mandel
- 672.  final Susan Mandel
- 673.  final Susan Mandel
- 674.  finewood
- 675.  finewood
- 676.  Gordon Shemin References
- 677.  Gordon Shemin References
- 678.  Gordon Shemin References
- 679.  Gordon Shemin Resume
- 680.  Gordon Shemin Resume
- 681.  Gordon Shemin Resume
- 682.  Gordon Shemin Resume
- 683.  Gordon Shemin Resume
- 684.  Gupta Rishi - Resume
- 685.  Gupta Rishi - Resume
- 686.  Gupta Rishi - Resume
- 687.  How the Pain Spread
- 688.  Jacobs, Ben
- 689.  Jacobs, Ben
- 690.  JohnMaggsresumeForCohen
- 691.  Julie A. Marcacci Resume3[1]
- 692.  Julie A. Marcacci Resume3[1]
- 693.  Julie A. Marcacci Resume3[1]
- 694.  Julie A. Marcacci Resume3[1]
- 695.  Julie A. Marcacci Resume3[1]
- 696.  LevinsonVita0110
- 697.  LevinsonVita0110
- 698.  MarcLevinsonresume
- 699.  MarcLevinsonresume
- 700.  MarcLevinsonresume
- 701.  MarcLevinsonresume
- 702.  MaryKaneResume
- 703.  MaryKaneResume
- 704.  MaryKaneResume
- 705.  MaryKaneResume
- 706.  MaryKaneResume


- 707.  Michael Gelb resume
- 708.  Michael Gelb resume
- 709.  Michael Gelb resume
- 710.  Mike Bryan book list
- 711.  mzvclipsan
- 712.  Navajo series Day 1
- 713.  Navajo series Day 1
- 714.  Navajo series Day 2
- 715.  Navajo series Day 2
- 716.  Navajo series Day 3
- 717.  Navajo series Day 3
- 718.  Navajo series Day 4
- 719.  Navajo series Day 4
- 720.  New Economy v2
- 721.  New Economy v2
- 722.  New Economy v2
- 723.  New Economy v2
- 724.  No loans
- 725.  No loans
- 726.  No loans
- 727.  No loans
- 728.  O'Neill rec
- 729.  OTHR. Barshay, Jill
- 730.  OTHR. Barshay, Jill
- 731.  OTHR. Barshay, Jill
- 732.  OTHR. Mintz, Steve
- 733.  OTHR. Mintz, Steve
- 734.  OTHR. Mintz, Steve
- 735.  OTHR. Mintz, Steve
- 736.  OTHR. Mintz, Steve
- 737.  OTHR. Mintz, Steve
- 738.  PASTERNAK resume[1][1]
- 739.  PASTERNAK resume[1][1]
- 740.  PASTERNAK\_resume[1][1]
- 741.  Pexton CV July 2010
- 742.  Pexton CV July 2010
- 743.  Phillip Zweig
- 744.  Ref Check GREENBERGER
- 745.  Ref Check RIVES
- 746.  RESUME - FCIC
- 747.  Revised List - FCIC PotentialPersonnel - INTERVIEW TO BE SET2
- 748.  RICKResume2010
- 749.  RICKResume2010
- 750.  Samuelson
- 751.  Samuelson
- 752.  short,mkt versionn-dates-ltrhead\_
- 753.  short,mkt versionn-dates-ltrhead\_
- 754.  short,mkt versionn-dates-ltrhead\_
- 755.  short,mkt versionn-dates-ltrhead\_
- 756.  short,mkt versionn-dates-ltrhead\_
- 757.  short,mkt versionn-dates-ltrhead\_
- 758.  Simendinger - Bush NEC
- 759.  Simendinger - Bush NEC
- 760.  Simendinger - Bush NEC
- 761.  Simendinger - Bush NEC











- 762.  Simendinger - Energy Bill
- 763.  Simendinger - Energy Bill
- 764.  Simendinger - Energy Bill
- 765.  Simendinger - Energy Bill
- 766.  Simendinger - WH Counsel
- 767.  Simendinger - WH Counsel
- 768.  Simendinger - WH Counsel
- 769.  Simendinger - WH Counsel
- 770.  SimendingerAlexisResume
- 771.  SimendingerAlexisResume
- 772.  SimendingerAlexisResume
- 773.  SimendingerAlexisResume
- 774.  SS. Forde, Timothy
- 775.  SS. Forde, Timothy
- 776.  SS. Forde, Timothy
- 777.  SS. Haynes, Elizabeth
- 778.  SS. Haynes, Elizabeth
- 779.  SS. Haynes, Elizabeth
- 780.  SS. Haynes, Elizabeth
- 781.  SS. Haynes, Elizabeth
- 782.  SS. Haynes, Elizabeth.REF
- 783.  SS. Haynes, Elizabeth.WRITING SAMPLE1
- 784.  SS. Haynes, Elizabeth.WRITING SAMPLE2
- 785.  SS. Lee, Susan
- 786.  SS. Lee, Susan
- 787.  SS. Lee, Susan
- 788.  SS. Lee, Susan
- 789.  SS. Linn, Courtney
- 790.  SS. Linn, Courtney
- 791.  SS. Linn, Courtney
- 792.  SS. Missal.Michael J
- 793.  SS. Missal.Michael J
- 794.  SS. Missal.Michael J
- 795.  SS. Rives, Elizabeth
- 796.  SS. Rives, Elizabeth
- 797.  SS. Rives, Elizabeth
- 798.  SS. Rives, Elizabeth
- 799.  SS. Teachout, Zephyr
- 800.  SS. Teachout, Zephyr
- 801.  SS. Teachout, Zephyr
- 802.  Terry Phillips
- 803.  TG - FCIC Candidates (2)
- 804.  Theron McLarty 6-30-10
- 805.  Theron McLarty 6-30-10
- 806.  Theron McLarty 6-30-10
- 807.  Theron McLarty 6-30-10
- 808.  UAE
- 809.  UAE
- 810.  vickers resume
- 811.  vickers resume
- 812.  vickers resume
- 813.  vickers resume
- 814.  Victor Bout profile
- 815.  Victor Bout profile
- 816.  Writer. Murphy, Richard

- 817.  Writer. Murphy, Richard
- 818.  Writer. Murphy, Richard
- 819.  WRITER.Greenberger references
- 820.  WRITER.Greenberger resume 908
- 821.  writer\_editor\_researcher list
- 822.  writer\_editor\_researcher list
- 823.  writer\_editor\_researcher list
- 824.  writer\_editor\_researcher list
- 825.  writer\_editor\_researcher list
- 826.  writer\_editor\_researcher list
- 827.  writer\_editor\_researcher list(1)
- 828.  writer\_editor\_researcher list(1)
- 829.  writer\_editor\_researcher list(2)


































L.  Subpoenas

- 1.  2010-03-25 FCIC Subpoena- Michael Raynes
- 2.  2010-04-16 Ann Rutledge Subpoena
- 3.  2010-04-16 Moody's Subpoena
- 4.  2010-04-27 Joseph St. Denis Subpoena
- 5.  2010-05-05 Moody's Subpoena
- 6.  2010-05-07 Noel Kirnon Subpoena
- 7.  2010-05-07 Richard Michalek Subpoena
- 8.  2010-05-13 Brian Clarkson Subpoena
- 9.  2010-05-25 Warren Buffett Subpoena
- 10.  2010-05-25 Warren Buffett subpoena
- 11.  2010-05-27 Darcy Parmer Subpoena
- 12.  2010-06-04 Goldman Sachs Subpoena
- 13.  2010-06-04 Goldman Subpoena
- 14.  2010-06-07 D.Keith Johnson Subpoena
- 15.  2010-06-08 Goldman Sachs Subpoena
- 16.  2010-06-08 Goldman Sachs Subpoena
- 17.  2010-10-08 FCIC Subpoena of Rachel Steinmetz
- 18.  2010-10-13 FCIC Subpoena of Sonia Garcia
- 19.  AIG Subpoena
- 20.  AIG Subpoena
- 21.  Angela Judd Subpoena
- 22.  Chris Swecker subpoena
- 23.  Darcy Parmer subpoena
- 24.  Eric Kolchinsky Subpoena
- 25.  form subpoena
- 26.  Keith Johnson Subpoena
- 27.  Michael Raynes Subpoena
- 28.  Ms. Amy Stroupe subpoena
- 29.  Overstock Subpoena
- 30.  Reich Subpoena
- 31.  Robert Levin Subpoena
- 32.  Subpoena Tracker
- 33.  Thomas Lund Subpoena


M.  Templates










- 1.  Binder Cover & Spine Template
- 2.  Envelope Template
- 3.  Epiq Document Request Specs
- 4.  Index\_-\_Background Materials Binder for Hearing 5 (Complex Derivatives)
- 5.  Label Template
- 6.  Label Template - Binder Tabs
- 7.  Label Template - File Folders
- 8.  Letter template [formatted for letterhead]
























































- 9.  Memo Template
- N.  03-16-2010 FRB FRBNY Doc Request Matrix
- O.  1
- P.  1.27DSMDB-#2889762-v1-Response\_Letter\_to\_House
- Q.  12 21 Resolution for GC Approval of Documents
- R.  2010-09-20 G Cohen ltr to BofA (R Brown) re High-Grade Structured Credit CDO 2007-1 Doc Request
- S.  2010-11-24 Art Wilmarth SIGNED Consulting Contract
- T.  2010-11-24 Kim Shafer SIGNED Consulting Contract
- U.  2011-01-04 PR Donnelley SIGNED Confidentiality Letter
- V.  Addendum to Referral Memorandum Supplement
- W.  Agency POC List for FCIC
- X.  Agreement Between the FCIC and NORC
- Y.  Alice Falk Contract
- Z.  Amendment to literary agent agreement Spieler and Lippincott
- AA.  Art Wilmarth Contract New for September 2010
- AB.  author list 6.11.10
- AC.  Blank Contract
- AD.  Clayton2 referral draft - Oct 26
- AE.  Confidential Referral Memorandum Supplement
- AF.  Copy of 1 26 draft Master Contact List (2)\_SUPERSEDED
- AG.  Criminal referral process
- AH.  DeKaser Contract
- AI.  Delegation to Administer Oaths
- AJ.  DETAILEE MOA
- AK.  Do not use Letter re Commission Document request, confidentiality
- AL.  Document release notice and permission form letter
- AM.  Draft NORC Agreement
- AN.  Draft Stephanie Kaplan Contract
- AO.  e-mail update to commissioners May 26th
- AP.  Employee Handbook
- AQ.  Factoid
- AR.  FCIC Conf Agmt with Daniel P Feldhaus CSR Inc
- AS.  FCIC Confidentiality Agreement with Little Brown
- AT.  FCIC Confidentiality Agreement with PublicAffairs
- AU.  FCIC detailee form
- AV.  FCIC Interview Protocol (2)
- AW.  FCIC NDA with UK Publisher
- AX.  FCIC Proposal Agreement LippincottSpieler-GJC
- AY.  FCIC Staffing Issues
- AZ.  Form Interview Request Letter
- BA.  Form notice letter for interviews- no permission needed
- BB.  Form of Confidentiality Agreement for Disclosure of Documents
- BC.  Form- Part Time Contract
- BD.  Form permission notice letter for interviews
- BE.  Form- Private Company Confidentiality Letter
- BF.  Homefront Confidentiality Agreement
- BG.  Internet and Related Matters
- BH.  Interview Clearance
- BI.  Interview Work Plan
- BJ.  Jane Lewin Contract
- BK.  Kevin Hively Contract
- BL.  labels for tabs for binders
- BM.  legend[1]
- BN.  Letter re Commission Documents, confidentiality3
- BO.  letter to Darrell Issa re November 22, 2010

- BP.  Little Brown Countersigned Contract with the FCIC
- BQ.  Memo re Document Disclosure (2)
- BR.  Memo to Chair and Vice Chair re Referrals
- BS.  Michael Keegan Contract
- BT.  Mike Bryan New Contract
- BU.  Mike Bryan Second Contract Amendment
- BV.  Mike Michael Bryan Contract
- BW.  Miscellaneous timeline items
- BX.  Monday letter to Darrell Issa re his letter of November 22, 2010
- BY.  OLD FCIC Glossary
- BZ.  PublicAffairs Contract
- CA.  Publisher Confidentiality Agreement
- CB.  Records Management Policy Do Not Use 2-11-2010
- CC.  Referral letter to the attorney general
- CD.  Reporting to the President Revisions Nov 12
- CE.  RESOLUTION FOR THE ISSUANCE OF SUBPOENAS
- CF.  Review checklist for audiotapes
- CG.  Richard DeKaser Contract Amendment
- CH.  S Baltake Contract Amendment
- CI.  Sam's to do list
- CJ.  Sharing Nonpublic Information and Space With NY City
- CK.  short form letter re Commission Document request, confidentiality
- CL.  Short Term Consulting Contract - Kim Shafer
- CM.  Short Term Consulting Contract Art Wilmarth
- CN.  Short Term Consulting Contract Art Wilmarth
- CO.  Short Term Consulting Contract Christopher P. Seefer
- CP.  Short Term Consulting Contract Peter Kavounas
- CQ.  Short Term Consulting Contract Ryan Bubb
- CR.  SIGNED FCIC Confidentiality Agreement with Little Brown
- CS.  Special Confidentiality Agreement for Ed Pinto
- CT.  Special Consultant Confi Agreement
- CU.  Susan Baltake contract Second Amendment
- CV.  writer\_editor\_researcher list

























































XI.  HomeFront Data Projects


























































A.  CDO Document Library Files

1.  2004-01-00\_Independence V\_CDO Offering Circular
2.  2004-01-00\_Independence V\_CDO Pitchbook
3.  2004-01-00\_Independence V\_CDO Term Sheet
4.  2004-03-00\_Glacier Funding I\_CDO Offering Circular
5.  2004-03-00\_Glacier Funding I\_CDO Pitchbook
6.  2004-03-00\_Glacier Funding I\_CDO Term Sheet
7.  2004-03-00\_Lakeside II\_CDO Offering Circular
8.  2004-03-00\_Lakeside II\_CDO Pitchbook
9.  2004-03-00\_Lakeside II\_CDO Term Sheet
10.  2004-05-00\_ACA ABS 2004-1\_CDO Pitchbook
11.  2004-07-00\_Cascade Funding 1\_CDO Offering Circular
12.  2004-07-00\_Cascade Funding 1\_CDO Pitchbook
13.  2004-07-00\_Cascade Funding 1\_CDO Term Sheet
14.  2004-07-00\_Crystal Cove\_CDO Offering Circular
15.  2004-07-00\_Crystal Cove\_CDO Pitchbook
16.  2004-07-00\_Sierra Madre Funding\_CDO Offering Circular
17.  2004-09-00\_Abacus 2004-2\_CDO Term Sheet
18.  2004-10-00\_Davis Square Funding III\_CDO Offering Circular
19.  2004-10-00\_Glacier Funding II\_CDO Offering Circular
20.  2004-10-00\_Glacier Funding II\_CDO Pitchbook
21.  2004-10-00\_Glacier Funding II\_CDO Term Sheet























































22.  2004-12-00\_Abacus 2004-3\_CDO Offering Circular
23.  2004-12-00\_Dunhill\_CDO Offering Circular
24.  2004-12-00\_Dunhill\_CDO Term Sheet
25.  2004-12-00\_E\_trade III\_CDO Offering Circular
26.  2004-12-00\_E\_trade III\_CDO Pitchbook
27.  2004-12-00\_E\_trade III\_CDO Term Sheet
28.  2004-12-00\_Jupiter High-Grade\_CDO Offering Circular
29.  2004-12-00\_Jupiter High-Grade\_CDO Pitchbook
30.  2004-12-00\_Jupiter High-Grade\_CDO Term Sheet
31.  2005-02-00\_Coolidge Funding\_CDO Offering Circular
32.  2005-02-00\_Coolidge Funding\_CDO Pitchbook
33.  2005-02-00\_Coolidge Funding\_CDO Term Sheet
34.  2005-03-00\_Abacus 2005-1\_CDO Offering Circular
35.  2005-03-00\_Abacus 2005-1\_CDO Term Sheet
36.  2005-03-00\_Adirondack 2005-1\_CDO Offering Circular
37.  2005-03-00\_Camber 3\_CDO Offering Circular
38.  2005-03-00\_Camber 3\_CDO Pitchbook
39.  2005-03-00\_Camber 3\_CDO Term Sheet
40.  2005-03-00\_Fort Sheridan\_CDO Offering Circular
41.  2005-03-00\_Fort Sheridan\_CDO Pitchbook
42.  2005-03-00\_Fort Sheridan\_CDO Term Sheet
43.  2005-03-00\_Huntington\_CDO Offering Circular
44.  2005-03-00\_Huntington\_CDO Pitchbook
45.  2005-03-00\_Huntington\_CDO Term Sheet
46.  2005-03-00\_Jupiter High-Grade II\_CDO Offering Circular
47.  2005-03-00\_Jupiter High-Grade II\_CDO Pitchbook
48.  2005-03-00\_Jupiter High-Grade II\_CDO Term Sheet
49.  2005-03-00\_Jupiter High-Grade III\_CDO Offering Circular
50.  2005-03-00\_Jupiter High-Grade III\_CDO Pitchbook
51.  2005-03-00\_Jupiter High-Grade III\_CDO Term Sheet
52.  2005-04-00\_Class V Funding\_CDO Offering Circular
53.  2005-04-00\_Class V Funding\_CDO Pitchbook
54.  2005-04-00\_Class V Funding\_CDO Term Sheet
55.  2005-04-00\_Davis Square Funding IV\_CDO Offering Circular
56.  2005-05-00\_Abacus 2005-2\_CDO Offering Circular
57.  2005-05-00\_Abacus 2005-2\_CDO Term Sheet
58.  2005-05-00\_Adirondack 2005-1\_CDO Pitchbook
59.  2005-06-00\_Independence VI\_CDO Offering Circular
60.  2005-06-00\_Independence VI\_CDO Pitchbook
61.  2005-06-00\_Independence VI\_CDO Term Sheet
62.  2005-06-00\_Kleros Preferred Funding\_CDO Offering Circular
63.  2005-06-00\_Kleros Preferred Funding\_CDO Pitchbook
64.  2005-06-00\_Kleros Preferred Funding\_CDO Term Sheet
65.  2005-07-00\_Abacus 2005-3\_CDO Offering Circular
66.  2005-07-00\_Glacier Funding III\_CDO Term Sheet
67.  2005-07-00\_Glacier Funding III\_CDO Offering Circular
68.  2005-08-00\_Altius I Funding\_CDO Offering Circular
69.  2005-09-00\_Khaleej II\_CDO Offering Circular
70.  2005-09-00\_Khaleej II\_CDO Pitchbook
71.  2005-10-00\_Lexington Capital Funding\_CDO Pitchbook
72.  2005-10-00\_Lexington Capital Funding\_CDO Term Sheet
73.  2005-10-00\_Lexington Capital Funding\_Offering Circular
74.  2005-11-00\_Abacus 2005-5\_CDO Offering Circular
75.  2005-11-00\_G Street Finance\_CDO Offering Circular
76.  2005-11-00\_G Street Finance\_CDO Pitchbook

























































77.  2005-11-00\_Lenox\_CDO Offering Circular
78.  2005-11-00\_Lenox\_CDO Pitchbook
79.  2005-11-00\_Lenox\_CDO Term Sheet
80.  2005-12-00\_Broderick 1\_CDO Offering Circular
81.  2005-12-00\_Broderick 1\_CDO Pitchbook
82.  2005-12-00\_Broderick 1\_CDO Term Sheet
83.  2005-12-00\_E\_trade IV\_CDO Offering Circular
84.  2005-12-00\_E\_trade IV\_CDO Pitchbook
85.  2005-12-00\_E\_trade IV\_CDO Term Sheet
86.  2006-01-00\_Kleros Preferred Funding II\_CDO Offering Circular
87.  2006-03-00\_Bernoulli High Grade I\_CDO Offering Circular
88.  2006-03-00\_Bernoulli High Grade I\_CDO Pitchbook
89.  2006-03-00\_Bernoulli High Grade I\_CDO Term Sheet
90.  2006-03-00\_Davis Square Funding VI\_CDO Offering Circular
91.  2006-03-00\_Davis Square Funding VI\_CDO Pitchbook
92.  2006-03-00\_Hout Bay 2006-1\_CDO Offering Circular
93.  2006-03-00\_Hout Bay 2006-1\_CDO Pitchbook
94.  2006-03-00\_Independence VII\_CDO Offering Circular
95.  2006-03-00\_Independence VII\_CDO Pitchbook
96.  2006-03-00\_Independence VII\_CDO Term Sheet
97.  2006-04-00\_Class V Funding II\_CDO Offering Circular
98.  2006-04-00\_Class V Funding II\_CDO Term Sheet
99.  2006-04-00\_GSC 2006-2m\_CDO Offering Circular
100.  2006-04-00\_GSC 2006-2m\_CDO Pitchbook
101.  2006-04-00\_GSC 2006-2m\_CDO Term Sheet
102.  2006-05-00\_Broadwick Funding\_CDO Offering Circular
103.  2006-05-00\_Broadwick Funding\_CDO Term Sheet
104.  2006-05-00\_Libertas Preferred Funding I\_CDO Offering Circular
105.  2006-05-00\_Libertas Preferred Funding I\_CDO Pitchbook
106.  2006-05-00\_Libertas Preferred Funding I\_CDO Term Sheet
107.  2006-06-00\_Jupiter High-Grade IV\_CDO Offering Circular
108.  2006-06-00\_Kleros Real Estate I\_CDO Offering Circular
109.  2006-06-00\_Kleros Real Estate I\_CDO Term Sheet
110.  2006-06-00\_West Coast Funding I\_CDO Offering Circular
111.  2006-06-00\_West Coast Funding I\_CDO Pitchbook
112.  2006-07-00\_Kleros Real Estate II\_CDO Offering Circular
113.  2006-07-00\_Kleros Real Estate II\_CDO Term Sheet
114.  2006-08-00\_Broderick 2\_CDO Offering Circular
115.  2006-08-00\_Broderick 2\_CDO Pitchbook
116.  2006-08-00\_Broderick 2\_CDO Term Sheet
117.  2006-08-00\_Commodore V\_CDO Offering Circular
118.  2006-08-00\_Commodore V\_CDO Pitchbook
119.  2006-08-00\_Commodore V\_CDO Term Sheet
120.  2006-08-00\_Pampelonne I\_CDO Pitchbook
121.  2006-09-00\_Kleros Funding III\_CDO Offering Circular
122.  2006-09-00\_Kleros Funding III\_CDO Term Sheet
123.  2006-09-00\_Octans I\_CDO Offering Circular
124.  2006-10-00\_Fortius II Funding\_CDO Offering Circular
125.  2006-10-00\_Fortius II Funding\_CDO Pitchbook
126.  2006-10-00\_Hudson High Grade Funding 2006-1\_CDO Offering Circular
127.  2006-10-00\_Hudson Mezzanine Funding 2006-1\_CDO Offering Circular
128.  2006-10-00\_Hudson Mezzanine Funding 2006-1\_CDO Pitchbook
129.  2006-10-00\_Hudson Mezzanine Funding 2006-1\_CDO Term Sheet
130.  2006-11-00\_Kleros Real Estate III\_CDO Offering Circular
131.  2006-12-00\_Auriga\_CDO Offering Circular

























































132.  2006-12-00\_Auriga\_CDO Pitchbook
  133.  2006-12-00\_Auriga\_CDO Term Sheet
  134.  2006-12-00\_Ipswich Street\_CDO Offering Circular
  135.  2006-12-00\_Ipswich Street\_CDO Term Sheet
  136.  2007-01-00\_Norma CDO I\_CDO Offering Circular
  137.  2007-01-00\_Vertical 2007-1\_CDO Pitchbook
  138.  2007-02-00\_Newbury Street\_CDO Pitchbook
  139.  2007-02-00\_Newbury Street\_CDO Term Sheet
  140.  2007-02-00\_Volans 2007-1\_CDO Offering Circular
  141.  2007-02-00\_Volans 2007-1\_CDO Pitch Book
  142.  2007-02-00\_Volans-2007-1\_CDO Term Sheet
  143.  2007-03-00\_Abacus 2007-AC1\_CDO Pitchbook
  144.  2007-03-00\_Abacus 2007-AC1\_CDO Term Sheet
  145.  2007-03-00\_Point Pleasant 2007-1\_CDO Offering Circular
  146.  2007-03-00\_Point Pleasant 2007-1\_CDO Pitchbook
  147.  2007-03-00\_Timberwolf I\_CDO Offering Circular
  148.  2007-03-00\_Timberwolf I\_CDO Pitchbook
  149.  2007-05-00\_Glacier Funding V\_CDO Pitchbook
  150.  2007-05-00\_Glacier Funding V\_CDO Term Sheet
  151.  CDO Document Library Index
- B.  Chartbooks
1.  0000-00-00\_FCIC\_CDO\_Market\_Charts
- C.  CMLTI 2006-NC2 Files
1.  0000-00-00\_CMLTI 2006-NC2 Loan-Level Panel Data
  2.  0000-00-00\_FCIC\_The Story of CMLTI 2006-NC2
  3.  2006-00-00\_CMLTI\_2006-NC2\_07R\_due diligence accept
  4.  2006-00-00\_CMLTI\_2006-NC2\_07R\_due diligence rejects
  5.  2006-06-09\_CMLTI\_2006-NC2\_01R \_ Citi Commitment Letter
  6.  2006-06-09\_CMLTI\_2006-NC2\_02R \_ Trade Ticket
  7.  2006-06-22\_CMLTI\_2006-NC2\_03R \_ Stip sheet
  8.  2006-07-20\_CMLTI\_2006-NC2\_04R \_ First tape delivery
  9.  2006-07-20\_CMLTI\_2006-NC2\_04R \_ Second tape delivery
  10.  2006-07-26\_CMLTI\_2006-NC2\_06R \_ due diligence start
  11.  2006-07-31\_CMLTI\_2006-NC2\_05R \_ loan issues 0731
  12.  2006-07-31\_CMLTI\_2006-NC2\_05R \_ loan issues 0731b
  13.  2006-08-03\_CMLTI\_2006-NC2\_05R \_ loan issues 0803
  14.  2006-08-09\_CMLTI\_2006-NC2\_05R \_ loan issues 0809
  15.  2006-08-21\_CMLTI\_2006-NC2\_05R \_ loan issues 0821
  16.  2006-08-24\_CMLTI\_2006-NC2\_05R \_ loan issues 0824
  17.  2006-08-26\_CMLTI\_2006-NC2\_18R \_ Moody's rating memorandum
  18.  2006-08-29\_CMLTI\_2006-NC2\_08R \_ final pool issues
  19.  2006-08-29\_CMLTI\_2006-NC2\_09R \_ trade summary
  20.  2006-08-29\_CMLTI\_2006-NC2\_10R \_ Wire instructions
  21.  2006-08-29\_CMLTI\_2006-NC2\_11R \_ Wire confirms
  22.  2006-08-29\_CMLTI\_2006-NC2\_12R \_ Mortgage releases
  23.  2006-09-01\_CMLTI\_2006-NC2\_19R \_ Pooling and Servicing Agreement
  24.  2006-09-07\_CMLTI\_2006-NC2\_14R \_ Fannie Term Sheet
  25.  2006-09-11\_CMLTI\_2006-NC2\_17aR \_ S& P model docs 9-11
  26.  2006-09-12\_CMLTI\_2006-NC2\_13R \_ Prospectus
  27.  2006-09-26\_CMLTI\_2006-NC2\_17bR \_ S& P model docs 9-26
  28.  2006-09-26\_CMLTI\_2006-NC2\_17cR \_ S& P waterfall
  29.  2006-09-27\_CMLTI\_2006-NC2\_15bR \_ S& P invoice
  30.  2006-09-28\_CMLTI\_2006-NC2\_16aR \_ S& P ratings letter to Citi
  31.  2006-09-28\_CMLTI\_2006-NC2\_16bR \_ S& P final deal summary
  32.  2006-09-28\_CMLTI\_2006-NC2\_16cR \_ S& P rating summary
  33.  2006-09-28\_CMLTI\_2006-NC2\_16dR \_ S& P pre-closing checklist

- 34.  2006-09-28\_CMLTI\_2006-NC2\_16eR \_ S& P true sale letter and tax opinion
- 35.  2006-10-12\_CMLTI\_2006-NC2\_15R \_ Moody's invoice
- 36.  2010-10-14\_CMLTI\_2006-NC2\_20R \_ Senior Investors
- 37.  2010-11-04\_CMLTI\_2006-NC2\_20R \_ Mezz Investors
- D.  Hedge Fund Survey Files
  - 1.  0000-00-00\_FCIC\_Hedge\_Fund\_Survey\_Blank\_Form
  - 2.  0000-00-00\_FCIC\_Hedge\_Fund\_Survey\_Chartbook
  - 3.  0000-00-00\_FCIC\_Hedge\_Fund\_Survey\_Database
  - 4.  0000-00-00\_FCIC\_Hedge\_Fund\_Survey\_Methodology
- E.  Market Risk Survey Files
  - 1.  0000-00-00\_FCIC\_Market\_Risk\_Survey\_Blank\_Form
  - 2.  0000-00-00\_FCIC\_Market\_Risk\_Survey\_Chartbook
  - 3.  0000-00-00\_FCIC\_Market\_Risk\_Survey\_Database
  - 4.  0000-00-00\_FCIC\_Market\_Risk\_Survey\_Participants
- F.  Data Webpage v2
- XII.  Hearing Field Bakersfield
  - A.  Bakersfield Transcripts
    - 1.  Bakers\_Notes\_All
    - 2.  Best of Bakersfield
- XIII.  Hearing Field Las Vegas
  - A.  Hearing Invite Letters
    - 1.  08-25-2010 Hearing Invite to A Clinger
    - 2.  08-25-2010 Hearing Invite to B Gordon
    - 3.  08-25-2010 Hearing Invite to D Bogden
    - 4.  08-25-2010 Hearing Invite to J Fontaine
    - 5.  08-26-2010 Hearing Invite to D Fraser
    - 6.  08-26-2010 Hearing Invite to H Morrison
    - 7.  2010-08-18 Invitation Ltr, LV Field Hearing - Satre (copy)
    - 8.  2nd Batch - Invite Letters - Las Vegas Hearing
    - 9.  3rd Batch - Invite Letters - Las Vegas Hearing
    - 10.  Invite Letters - Las Vegas Hearing
    - 11.  Jeffries. FCIC Invite Letter - Las Vegas Hearing
    - 12.  Walker FCIC Invite Letter - Las Vegas Hearing
  - B.  Hearing Logistics
    - 1.  1. Las Vegas Hearing Outline 090310
    - 2.  FCIC LV Non Registered Guest Sign in Sheetdoc
    - 3.  Las Vegas 8.31.10
    - 4.  OUTLINE - LV Hearing Briefing Book
    - 5.  Thank You
    - 6.  The Ballroom Measurements2 (4)
    - 7.  TIMELINE. Las Vegas Hearing Outline 090310
    - 8.  VIP Invite
  - C.  Las Vegas Hearing Transcripts
    - 1.  Best of the Nevada Field Hearing
    - 2.  Vegas\_all\_notes
  - D.  Las Vegas Witness Interviews and MFRs
    - 1.  2010-08-31 Interview Jeffries Fremont
    - 2.  2010-09-03 Kellerman MFR Walker Fremont
    - 3.  FCIC Interview Walker Fremont
    - 4.  Fremont General confidentiality letter
    - 5.  Fremont McIntyre interview questions
    - 6.  LEXUS MCINTYRE FREMONT
  - E.  Staff Prepared documents
    - 1.  Fremont General Corporation
    - 2.  Fremont investigative report 9 05 ver 2
    - 3.  Fremont investigative report draft ver 1
  - F.  stock chart data











- 1.  Fremont Stock chart
- 2.  FREMONT STOCK CHART
- G.  subprime loan data
  - 1.  Nevada\_Fre\_Fix\_1
- H.  Witness Bios
  - 1.  LV Hearing Session 1 Bios
  - 2.  LV Session 3 Bios
  - 3.  LV Session 4 Bios
  - 4.  LV Session 4 Bios
  - 5.  LV Session 4 Bios
  - 6.  LV Session 4 Bios
  - 7.  Session 2 Bios
  - 8.  Session 2 Bios
- I.  FCIC - Agüero Testimony
- J.  FCIC - Gordon Testimony
- K.  FCIC Exhibits
- L.  Fremont lawsuits
- M.  Fremont Nevada Loans
- N.  Hill DETR Employment Statistics
- O.  Las Vegas Hearing Outline 082910
- P.  Panelist quotes, testimonies
- Q.  Testimony of Steven D Hill (2)
- XIV.  Hearing Field Miami
  - A.  Miami Transcripts
    - 1.  Miami Transcript-Formatted
    - 2.  Miami Transcript-Rough
    - 3.  Miami\_133-185
    - 4.  Miami\_1-86
    - 5.  Miami\_87-132
  - B.  FCIC Miami Field Hearing VIP Invite
  - C.  Invite Letters - Miami Hearing-Batch 1
  - D.  Miami Thank you
  - E.  Miami\_Name Cards\_Witness
- XV.  Hearing Field Sacramento
  - A.  Long Beach Mortgage WAMU
    - 1.  OC Register - 2007 - How subprime started
    - 2.  OC Register - 2007 - How subprime started
    - 3.  PSI findings on WaMu Long Beach Mortgage
    - 4.  PSI findings on WaMu Long Beach Mortgage
  - B.  Sacramento Transcripts
    - 1.  09-23-2010hearing-ROUGH
    - 2.  Sacra\_1-92
    - 3.  Sacra2
    - 4.  Sacra3
    - 5.  Sacramento\_Formatted
  - C.  Sacto Background documents
    - 1.  PSI WaMu Long Beach Exhibits
    - 2.  United States Senate Committee on Homeland Security and Government Affairs Hearings
  - D.  Sacto binder items
    - 1.  1-i. Eggert Bio Topics Questions
    - 2.  1-iii. Keith Johnson Bio Topics Questions
    - 3.  2-iii. Clayton-Vicki Beal FCIC Written Testimony
    - 4.  eggert article Great Collapse
    - 5.  Long Beach Mortgage document compendium
    - 6.  Long Beach Mortgage timeline and PSI findings
    - 7.  Long Beach Mortgage WaMu articles
    - 8.  Long Beach Mortgage Washington Mutual facts

- 9.  OC Register - 2007 - How subprime started
- 10.  PSI findings on WaMu Long Beach Mortgage
- 11.  Sac Panel 3 document links
- 12.  TOPIR securitization
- 13.  Vicki Beal Bio topics questions
- E.  Sacto Invite Letters
  - 1.  Invite Letters - Sacramento Hearing - Batch 2
  - 2.  Invite Letters - Sacramento Hearing-Batch 1
  - 3.  Invite Letters - Sacramento Hearing-Batch 3
- F.  Sacto Logistics
  - 1.  Commissioner\_Name Cards
  - 2.  FCIC Sacramento Field Hearing VIP Invite
  - 3.  Sacramento Field Hearing 9-16-2010
  - 4.  Sacramento Thank you
  - 5.  Sacramento\_Media Advisory
  - 6.  Sacramento\_Name Cards\_Witness
  - 7.  TIMELINE Sacramento Field Hearing Agenda 9-20-2010
- G.  Sacto TY Letters
  - 1.  Thank you Letters - Sacramento Hearing
  - 2.  TY Letter - Beal
  - 3.  TY Letter - Canada
  - 4.  TY Letter - Carpenter
  - 5.  TY Letter - Eggert
  - 6.  TY Letter - Fleming
  - 7.  TY Letter - Hollis
  - 8.  TY Letter - Johnson
  - 9.  TY Letter - Lavulo & Moses
  - 10.  TY Letter - Mann
  - 11.  TY Letter - Putnam
  - 12.  TY Letter - Stein
  - 13.  TY Letter - Tawatao
  - 14.  TY Letter - Wagner
  - 15.  TY Letter - Wagstaff
  - 16.  TY Letter - Williams
  - 17.  TY Letter - Wirz
- H.  Sacto Witness Info
  - 1.  2010 09 16 Berliner countrywide interview request 2 b witness
  - 2.  2010 09 16 Berliner Countrywide interview with Cohen Edelberg
  - 3.  2010-09-15 Hearing ltr invitation Johnson Clayton
  - 4.  Keith Johnson background topics questions OLD
  - 5.  Sacramento hearing witness list
- I.  2010-09-15 McWilliams MFR Nancy DiLaban Countrywide
- J.  beal johnson bullet points
- K.  Long Beach Mortgage articles
- L.  Long Beach Mortgage articles
- M.  potential witnesses for sacramento hearing
- N.  sacramento hearing detail
- XVI.  Book
  - A.  Part I
    - 1.  Part One Expanded Outline
    - 2.  2010-07-27 - Section I draft
    - 3.  2010-07-29 - Section I draft
    - 4.  Part One - draft
    - 5.  Part One Version NEW
  - B.  Part III
    - 1.  2010-08-11 Section III in progress

- 2.  2010-09-24 - Section III - Chapter 1 - cites added
  - 3.  2010-09-24 - Section III - Chapter 11 post Phil
  - C.  2010-07-16 (2 pm) - Section IV draft
  - D.  2010-07-18 - Section IV draft - for editing
  - E.  2010-07-18 (pm) - Section IV chair vice chair
  - F.  2010-07-18 (pm) - Section IV draft
  - G.  2010-07-20 (pm) - Section IV chair vice chair CHAIR - Draft #1
  - H.  2010-07-20 (pm) - Section IV chair vice chair CHAIR edited
  - I.  August 7, 2007 Meeting
  - J.  foreclosure summary
  - K.  Merrill Insert for Book RE CDOs and Collateral Managers
- XVII.  Discovery
- A.  AIG-FRBNY-BlackRock
    - 1.  Email - FW MLIII -- Fair Value
  - B.  Confidentiality Agreements (Gov)
    - 1.  2010-03-08 NYSBD (M Gross) Letter to Thomas Greene re confidentiality (signed copy)
    - 2.  2010-04-13 G Cohen ltr to CT AG re Confidentiality Agreement
    - 3.  2010-04-13 G Cohen ltr to OH AG re Confidentiality Agreement
    - 4.  2010-09-08 G Cohen ltr to NCUA re Treatment of Non Public Information
    - 5.  2010-09-13 G Cohen ltr to MIA re Treatment of Non Public Information
    - 6.  DOJ Confidentiality Agreement
    - 7.  SIGNED Confidentiality of Non-Public Info Heather Kanovsky HUD
    - 8.  SIGNED\_Confidentiality\_of\_Non-Public\_Info\_Alfred\_Pollard\_FHFA
    - 9.  SIGNED\_Confidentiality\_of\_Non-Public\_Info\_Ben\_Bernanke\_Federal\_Reserve
    - 10.  SIGNED\_Confidentiality\_of\_Non-Public\_Info\_Dan\_Berkovitz\_CFTC
    - 11.  SIGNED\_Confidentiality\_of\_Non-Public\_Info\_Deborah\_Dakin\_Office\_of\_Thrift\_Supervision\_1-28-10
    - 12.  SIGNED\_Confidentiality\_of\_Non-Public\_Info\_DOL\_Deborah\_Greenfield
    - 13.  SIGNED\_Confidentiality\_of\_Non-Public\_Info\_George\_Madison\_Treasury\_2-5-10
    - 14.  SIGNED\_Confidentiality\_of\_Non-Public\_Info\_Julie\_Williams\_OCC
    - 15.  SIGNED\_Confidentiality\_of\_Non-Public\_Info\_Mark\_Cahn\_SEC\_1-21-10
    - 16.  SIGNED\_Confidentiality\_of\_Non-Public\_Info\_Michael\_Bradfield\_FDIC
    - 17.  SIGNED\_Confidentiality\_of\_Non-Public\_Info\_Ronald\_Weich\_DOJ
  - C.  Confidentiality Agreements (NonGov)
    - 1.  2010-02-08 B Bondi Ltr to Citi (S Buerger & B Karp) re non-public info
    - 2.  2010-02-24 G Cohen ltr to Lexis (K Hinrichs) re Treatment of Non-Public Informaiton
    - 3.  2010-03-02 G Cohen ltr to FINRA (J Shorris) re Treatment of NonPublic Info and Doc Request
    - 4.  2010-03-04 T Krebs ltr to State Street (D Phelan) re Treatment of Non-Public Info
    - 5.  2010-03-08 G Cohen ltr to Goldman (R Klapper) re Treatment of Nonpublic Info
    - 6.  2010-03-08 G Cohen ltr to Goldman re Treatmet of NonPublic Info
    - 7.  2010-03-08 G Cohen ltr to Morgan Stanley (R Yanes) re Treatment of NonPublic Info
    - 8.  2010-03-09 G Cohen ltr to Morgan Stanley re Treatmet of NonPublic Info
    - 9.  2010-03-10 T Krebs letter to PIMCO (J Sopko) re Treatment of NonPublic Info
    - 10.  2010-03-11 G Cohen ltr to Vanguard (N Will) re Treatment of Non-Public Info
    - 11.  2010-03-15 G Cohen ltr to Fannie (J Kilduff) re Treatment of Non-Public Info
    - 12.  2010-03-15 G Cohen ltr to Fannie (J Kilduff) re Treatment of Non-Public Info
    - 13.  2010-03-17 G Cohen ltr to UBS (J Moon) re Treatment of NonPublic Info
    - 14.  2010-03-25 G Cohen ltr to Barclays (C deOnis) re Treatment of Non-Public Information
    - 15.  2010-03-30 G Cohen ltr to S& P (B Markley) re Treatment of Non-Public Info
    - 16.  2010-04-14 G Cohen ltr to J Cassano (J Walden) re Treatment on Non-Public Info
    - 17.  2010-04-21 G Cohen ltr to AIG (M Berger) re Treatment of Non Public Information
    - 18.  2010-04-27 G Cohen ltr to Cornwall (W Gussman) re Treatment of NonPublic Info
    - 19.  2010-04-27 G Cohen ltr to Magnetar (S Ross) re Treatment of NonPublic Info
    - 20.  2010-05-03 G Cohen ltr to Moody's (S Ross) re Treatment of Non Public Information
    - 21.  2010-05-04 G Cohen ltr to MKP (P Alcamo) re Treatment of Non Public Information
    - 22.  2010-05-07 G Cohen ltr to GSC (D Ross) re Treatment of Non Public Information
    - 23.  2010-05-07 G Cohen ltr to NIBC (A Lambert) re Treatment of Non Public Information

24.  2010-05-11 G Cohen ltr to Scion Capital (J Ware) re Treatment of Non Public Information
  25.  2010-05-12 G Cohen ltr to L Schwartz (L Aperstein) re Treatment of Non Public Info
  26.  2010-05-12 G Cohen ltr to Scion Capital (J Ware) re Treatment of NonPublic Information
  27.  2010-05-13 G Cohen ltr to ACA (N Erickson) re Treatment of Non Public Info
  28.  2010-05-14 G Cohen ltr to UBS (M Aquino) re Treatment of Non Public Information
  29.  2010-05-17 G Cohen Ltr to A Roseman (R Bennett) re Treatment of Non Public Info (2).docx
  30.  2010-05-19 G Cohen confi ltr to Markit
  31.  2010-05-19 G Cohen ltr re C Gates Confidential Information
  32.  2010-06-03 G Cohen Confi to E Dinallo
  33.  2010-06-03 G Cohen Confi to TCW
  34.  2010-06-10 G Cohen ltr to A Forster (D Brodsky) re Treatment of Non-Public Info
  35.  2010-06-14 G Cohen ltr to J Cassano (J Walden) re Interview Confidentiality
  36.  2010-06-14 G Cohen ltr to Joe Cassano (J Walden) re Confidentiality of Interview
  37.  2010-06-23 G Cohen ltr to Credit Suisse (D Zinman) re Treatment of NonPublic Info
  38.  2010-07-14 G Cohen ltr to Wachovia (R Kelner) re Treatment of Non Public Information
  39.  2010-07-15 G Cohen ltr to NCRC (J Silver) re Treatment of Non Public Information
  40.  2010-07-16 G Cohen ltr to Ally Financial (J Hromy) re Treatment of Non Public Information
  41.  2010-07-23 G Cohen ltr to S& P (B Markley) re Treatment of Non Public Database
  42.  2010-08-02 G Cohen ltr to PIMCO (J Sopko) re Treatement of Non Public Information
  43.  2010-08-16 G Cohen ltr to Moody's (S Nelles) re Treatment of Non Public Database
  44.  2010-08-25 G Cohen ltr to W Ruberti re Treatment of Non Public Information
  45.  2010-08-27 G Cohen ltr to Maryland Insurance Adminstration re Treatment of Non Public Information
  46.  2010-08-31 G Cohen ltr to Fremont General (J Carroll) re Treatment of Non Public Information
  47.  2010-09-23 G Cohen ltr to D Sambol re Treatment of Non Public Information
  48.  2010-09-24 G Cohen ltr to ICAP re Treatment of Non Public Information
  49.  2010-09-27 G Cohen ltr to RMIC (J Heitkamp) re Treatment of Non Public Info
  50.  2010-09-28 G Cohen ltr to G Levine re Treatment of Non Public Information
  51.  2010-09-29 G Cohen ltr to Stone Tower Capital re Treatment of Non Public Information
  52.  2010-09-30 G Cohen ltr to Aladdin Capital re Treatment of Non Public Information
  53.  2010-09-30 G Cohen ltr to Vanderbilt Capital re Treatment of Non Public Information
  54.  2010-10-04 G Cohen ltr to PMI (A Cameron) re Treatment of Non Public Information
  55.  2010-10-05 G Cohen ltr to AllianceBernstein re Treatment of Non Public Information
  56.  2010-10-08 G Cohen ltr to BlackRock re Treatment of Non Public Information
  57.  2010-10-12 G Cohen ltr to Deerfield Capital re Treatment of Non Public Information
  58.  2010-10-12 G Cohen ltr to ING Investment re Treatment of Non Public Information
  59.  2010-10-12 G Cohen ltr to MGIC (J Lane) re Treatment of Non Public Information
  60.  Treatment\_of\_Non-Public\_Info\_BNYMellon\_2-18-10.
- D.  DocuMatrix Materials
1.  01\_DocuMatrix Quick Reference Guide
  2.  DMX - Welcome Packet
  3.  DocuMatrix Categorization Overview
  4.  Epiq Document Request Specs\_20100126
  5.  FC0001 - ProjectTeam
- E.  Document Preservation Letters
1.  2010-01-22 Doc\_Retention\_Notice\_AIG\_KShannon
  2.  2010-01-22 Doc\_Retention\_Notice\_Barclays\_MHarding
  3.  2010-01-22 Doc\_Retention\_Notice\_BofA\_EO'Keefe
  4.  2010-01-22 Doc\_Retention\_Notice\_Citigroup\_MHelfer
  5.  2010-01-22 Doc\_Retention\_Notice\_FitchRatings\_CBrown
  6.  2010-01-22 Doc\_Retention\_Notice\_GoldmanSachs\_GPalm
  7.  2010-01-22 Doc\_Retention\_Notice\_JPMorganChase\_SCutler
  8.  2010-01-22 Doc\_Retention\_Notice\_Moodys\_JGoggins
  9.  2010-01-22 Doc\_Retention\_Notice\_MorganStanley\_GLynch
  10.  2010-01-22 Doc\_Retention\_Notice\_OneWestBank\_JVogel
  11.  2010-01-22 Doc\_Retention\_Notice\_S& P\_ASchuman
  12.  2010-01-22 Doc\_Retention\_Notice\_WellsFargo\_JStrother

13.  2010-02-02 S&P (B Markley) ltr to G Cohen re Doc Preservation (copy)
14.  FINAL\_Preservation\_Notice\_AMBAC\_Kevin\_Doyle\_2-9-10.doc
15.  FINAL\_Preservation\_Notice\_Assured\_James\_Michener\_2-9-10.doc
16.  FINAL\_Preservation\_Notice\_MBIA\_Ram\_Wertheim\_2-9-10.doc
17.  FINAL\_Preservation\_Notice\_Syncora\_Guarantee\_Susan\_Comparto\_2-9-10.doc
18.  FINAL\_Preservation\_Notice\_Syncora\_Jim\_Ludy\_2-9-10
19.  FINAL\_Preservation\_Notice\_Syncora\_Susan\_Comparato\_2-9-2010











































F.  Produced Docs

1.  AIG

a.  2010-05-11


























1.  AIG-FCIC00403124

b.  2010-05-26 Production - Disk 339
















1.  AIG-FCIC00519934-956
2.  AIG-FCIC00519957-977
3.  AIG-FCIC00519978-994
4.  AIG-FCIC00519995-00520017
5.  AIG-FCIC00520018-044
6.  AIG-FCIC00520045-067
7.  AIG-FCIC00520068-094
8.  AIG-FCIC00520095-109
9.  AIG-FCIC00520110-132
10.  AIG-FCIC00520133-159
11.  AIG-FCIC00520160-182
12.  AIG-FCIC00520183-209
13.  AIG-FCIC00520210-229
14.  AIG-FCIC00520230-249
15.  AIG-FCIC00520250-269
16.  AIG-FCIC00520270-293
17.  AIG-FCIC00520294-321
18.  AIG-FCIC00520322-345
19.  AIG-FCIC00520346-373
20.  AIG-FCIC00520374-395
21.  AIG-FCIC00520396-421
22.  AIG-FCIC00520422-451
23.  AIG-FCIC00520452-478
24.  AIG-FCIC00520479-502
25.  AIG-FCIC00520503-530
26.  AIG-FCIC00520531-547
27.  AIG-FCIC00520548-568
28.  AIG-FCIC00520569-589
29.  AIG-FCIC00520590-609
30.  AIG-FCIC00520610-630
31.  AIG-FCIC00520631-650
32.  AIG-FCIC00520651-670
33.  AIG-FCIC00520671-690
34.  AIG-FCIC00520691-711
35.  AIG-FCIC00520712-733
36.  AIG-FCIC00520734-769
37.  AIG-FCIC00520770-780
38.  AIG-FCIC00520781-800
39.  AIG-FCIC00520801-820
40.  AIG-FCIC00520821-844
41.  AIG-FCIC00520845-871
42.  AIG-FCIC00520872-953


c.  2010-06-04 Production

1.  Disk # 351









- a.  AIG-FCIC00520956-00521065
- b.  AIG-FCIC00521066-00521083
- c.  AIG-FCIC00521084-00521105
- d.  AIG-FCIC00521106-00521127
- e.  AIG-FCIC00521128-00521148
- f.  AIG-FCIC00521149-00521164
- g.  AIG-FCIC00521165-00521194
- h.  AIG-FCIC00521195-00521213
- i.  AIG-FCIC00521214-00521232
- j.  AIG-FCIC00521233-00521247
- k.  AIG-FCIC00521248-00521266
- l.  AIG-FCIC00521267-00521285
- m.  AIG-FCIC00521286-00521304
- n.  AIG-FCIC00521305-00521323
- o.  AIG-FCIC00521324-00521338
- p.  AIG-FCIC00521339-00521357
- q.  AIG-FCIC00521358-00521376
- r.  AIG-FCIC00521377-00521400
- s.  AIG-FCIC00521401-00521419
- t.  AIG-FCIC00521420-00521438
- u.  AIG-FCIC00521439-00521457
- v.  AIG-FCIC00521458-00521474
- w.  AIG-FCIC00521475-00521493
- x.  AIG-FCIC00521494-00521512
- y.  AIG-FCIC00521513-00521530
- z.  AIG-FCIC00521531-00521549
- aa.  AIG-FCIC00521550-00521564
- ab.  AIG-FCIC00521565-00521584
- ac.  AIG-FCIC00521585-00521603

2.  Disk # 352

























































- a.  AIG-FCIC00521604-00521686
- b.  AIG-FCIC00521687-00521704
- c.  AIG-FCIC00521705-00521707
- d.  AIG-FCIC00521708-00521760
- e.  AIG-FCIC00521761-00521763
- f.  AIG-FCIC00521764-00521767
- g.  AIG-FCIC00521768-00521793
- h.  AIG-FCIC00521794-00521853
- i.  AIG-FCIC00521854-00521889
- j.  AIG-FCIC00521890-00521895
- k.  AIG-FCIC00521896-00521913
- l.  AIG-FCIC00521914-00521918
- m.  AIG-FCIC00521919-00521939
- n.  AIG-FCIC00521940-00521946
- o.  AIG-FCIC00521947-00521958
























































d.  2010-06-07 Production

1.  Disk # 368

























































- a.  AIG-FCIC00521959 - AIG-FCIC00522053
- b.  AIG-FCIC00522054 - AIG-FCIC00522068
- c.  AIG-FCIC00522069 - AIG-FCIC00522182
- d.  AIG-FCIC00522183 - AIG-FCIC00522234
- e.  AIG-FCIC00522235 - AIG-FCIC00522251
- f.  AIG-FCIC00522252 - AIG-FCIC00522253
- g.  AIG-FCIC00522254 - AIG-FCIC00522258
- h.  AIG-FCIC00522259 - AIG-FCIC00522283
























































2.  Disk # 369


























































- a.  AIG-FCIC00522284-00522626
- 3.  Disk # 370
  - a.  AIG-FCIC 00522627-00523131
  - b.  AIG-FCIC 00524641-00525142
  - c.  AIG-FCIC 00525646-526150
  - d.  AIG-FCIC 00526151-00526651
  - e.  AIG-FCIC 00526652-00527151
  - f.  AIG-FCIC 00527152-00527655
  - g.  AIG-FCIC 00527656-00528166
  - h.  AIG-FCIC 00528167-00528667
  - i.  AIG-FCIC 00528668-00529169
  - j.  AIG-FCIC 00529170-00529675
  - k.  AIG-FCIC 00529676-00530179
  - l.  AIG-FCIC 00530180-00530680
  - m.  AIG-FCIC 00530681-00531182
  - n.  AIG-FCIC 00531183-00531689
  - o.  AIG-FCIC 00531690-00532195
  - p.  AIG-FCIC 00532196-00532697
  - q.  AIG-FCIC 00532698-00532857
  - r.  AIG-FCIC00523132-00523631
  - s.  AIG-FCIC00523632-00524135
  - t.  AIG-FCIC00524136-00524640
  - u.  AIG-FCIC-00525143-00525645
- 4.  Disk # 371
  - a.  AIG-FCIC00532858-00532923
  - b.  AIG-FCIC00532924-00532928
  - c.  AIG-FCIC00532929-00532942
  - d.  AIG-FCIC00532943-00532949
  - e.  AIG-FCIC00532950-00532953
- e.  2010-06-09 Production
  - 1.  Disk # 355
    - a.  AIG-FCIC00532954 - AIG-FCIC00532992
    - b.  AIG-FCIC00532993 - AIG-FCIC00533032
    - c.  AIG-FCIC00533033 - AIG-FCIC00533056
    - d.  AIG-FCIC00533057 - AIG-FCIC00533087
    - e.  AIG-FCIC00533088 - AIG-FCIC00533127
    - f.  AIG-FCIC00533128 - AIG-FCIC00533162
    - g.  AIG-FCIC00533163 - AIG-FCIC00533205
    - h.  AIG-FCIC00533206 - AIG-FCIC00533237
    - i.  AIG-FCIC00533238 - AIG-FCIC00533304
    - j.  AIG-FCIC00533305 - AIG-FCIC00533335
    - k.  AIG-FCIC00533336 - AIG-FCIC00533357
    - l.  AIG-FCIC00533358 - AIG-FCIC00533396
    - m.  AIG-FCIC00533397 - AIG-FCIC00533437
    - n.  AIG-FCIC00533438 - AIG-FCIC00533464
    - o.  AIG-FCIC00533465 - AIG-FCIC00533477
    - p.  AIG-FCIC00533478 - AIG-FCIC00533490
    - q.  AIG-FCIC00533491 - AIG-FCIC00533502
    - r.  AIG-FCIC00533503 - AIG-FCIC00533514
    - s.  AIG-FCIC00533515 - AIG-FCIC00533526
    - t.  AIG-FCIC00533527 - AIG-FCIC00533575
    - u.  AIG-FCIC00533576 - AIG-FCIC00533599
    - v.  AIG-FCIC00533600 - AIG-FCIC00533623
    - w.  AIG-FCIC00533624 - AIG-FCIC00533647
    - x.  AIG-FCIC00533648 - AIG-FCIC00533656
    - y.  AIG-FCIC00533657 - AIG-FCIC00533670
























































z.  AIG-FCIC00533671 - AIG-FCIC00533684  
aa.  AIG-FCIC00533685 - AIG-FCIC00533694  
ab.  AIG-FCIC00533695 - AIG-FCIC00533714  
ac.  AIG-FCIC00533715 - AIG-FCIC00533728  
ad.  AIG-FCIC00533729 - AIG-FCIC00533746  
ae.  AIG-FCIC00533747 - AIG-FCIC00533758  
af.  AIG-FCIC00533759 - AIG-FCIC00533769  
ag.  AIG-FCIC00533770 - AIG-FCIC00533786  
ah.  AIG-FCIC00533787 - AIG-FCIC00533803  
ai.  AIG-FCIC00533804 - AIG-FCIC00533820  
aj.  AIG-FCIC00533821 - AIG-FCIC00533837  
ak.  AIG-FCIC00533838 - AIG-FCIC00533856  
al.  AIG-FCIC00533857 - AIG-FCIC00533872  
am.  AIG-FCIC00533873 - AIG-FCIC00533907  
an.  AIG-FCIC00533908 - AIG-FCIC00533925  
ao.  AIG-FCIC00533926 - AIG-FCIC00533941  
ap.  AIG-FCIC00533942 - AIG-FCIC00533952  
aq.  AIG-FCIC00533953 - AIG-FCIC00533970  
ar.  AIG-FCIC00533971 - AIG-FCIC00533986  
as.  AIG-FCIC00533987 - AIG-FCIC00534002  
at.  AIG-FCIC00534003 - AIG-FCIC00534021  
au.  AIG-FCIC00534022 - AIG-FCIC00534035  
av.  AIG-FCIC00534036 - AIG-FCIC00534054  
aw.  AIG-FCIC00534055 - AIG-FCIC00534073  
ax.  AIG-FCIC00534074 - AIG-FCIC00534090  
ay.  AIG-FCIC00534091 - AIG-FCIC00534113  
az.  AIG-FCIC00534114 - AIG-FCIC00534134  
ba.  AIG-FCIC00534135 - AIG-FCIC00534154  
bb.  AIG-FCIC00534155 - AIG-FCIC00534176  
bc.  AIG-FCIC00534177 - AIG-FCIC00534221  
bd.  AIG-FCIC00534222 - AIG-FCIC00534238  
be.  AIG-FCIC00534239 - AIG-FCIC00534249  
bf.  AIG-FCIC00534250 - AIG-FCIC00534260  
bg.  AIG-FCIC00534261 - AIG-FCIC00534284  
bh.  AIG-FCIC00534285 - AIG-FCIC00534306  
bi.  AIG-FCIC00534307 - AIG-FCIC00534347  
bj.  AIG-FCIC00534348 - AIG-FCIC00534357  
bk.  AIG-FCIC00534358 - AIG-FCIC00534378  
bl.  AIG-FCIC00534379 - AIG-FCIC00534406  
bm.  AIG-FCIC00534407 - AIG-FCIC00534423  
bn.  AIG-FCIC00534424 - AIG-FCIC00534436  
bo.  AIG-FCIC00534437 - AIG-FCIC00534449  
bp.  AIG-FCIC00534450 - AIG-FCIC00534462  
bq.  AIG-FCIC00534463 - AIG-FCIC00534473  
br.  AIG-FCIC00534474 - AIG-FCIC00534483  
bs.  AIG-FCIC00534484 - AIG-FCIC00534492  
bt.  AIG-FCIC00534493 - AIG-FCIC00534508  
bu.  AIG-FCIC00534509 - AIG-FCIC00534521  
bv.  AIG-FCIC00534522 - AIG-FCIC00534531  
bw.  AIG-FCIC00534532 - AIG-FCIC00534545  
bx.  AIG-FCIC00534546 - AIG-FCIC00534558  
by.  AIG-FCIC00534559 - AIG-FCIC00534573  
bz.  AIG-FCIC00534574 - AIG-FCIC00534585  
ca.  AIG-FCIC00534586 - AIG-FCIC00534595  
cb.  AIG-FCIC00534596 - AIG-FCIC00534605















































































































- cc.  AIG-FCIC00534606 - AIG-FCIC00534624
- cd.  AIG-FCIC00534625 - AIG-FCIC00534636
- ce.  AIG-FCIC00534637 - AIG-FCIC00534649
- cf.  AIG-FCIC00534650 - AIG-FCIC00534663
- cg.  AIG-FCIC00534664 - AIG-FCIC00534673
- ch.  AIG-FCIC00534674 - AIG-FCIC00534685
- ci.  AIG-FCIC00534686 - AIG-FCIC00534700
- cj.  AIG-FCIC00534701 - AIG-FCIC00534713
- ck.  AIG-FCIC00534714 - AIG-FCIC00534725
- cl.  AIG-FCIC00534726 - AIG-FCIC00534739
- cm.  AIG-FCIC00534740 - AIG-FCIC00534751
- cn.  AIG-FCIC00534752 - AIG-FCIC00534765
- co.  AIG-FCIC00534766 - AIG-FCIC00534776
- cp.  AIG-FCIC00534777 - AIG-FCIC00534785
- cq.  AIG-FCIC00534786 - AIG-FCIC00534803
- cr.  AIG-FCIC00534804 - AIG-FCIC00534819
- cs.  AIG-FCIC00534820 - AIG-FCIC00534827
- ct.  AIG-FCIC00534828 - AIG-FCIC00534838
- cu.  AIG-FCIC00534839 - AIG-FCIC00534848
- cv.  AIG-FCIC00534849 - AIG-FCIC00534861
- cw.  AIG-FCIC00534862 - AIG-FCIC00534878
- cx.  AIG-FCIC00534879 - AIG-FCIC00534891
- cy.  AIG-FCIC00534892 - AIG-FCIC00534902
- cz.  AIG-FCIC00534903 - AIG-FCIC00534912
- da.  AIG-FCIC00534913 - AIG-FCIC00534925
- db.  AIG-FCIC00534926 - AIG-FCIC00534935
- dc.  AIG-FCIC00534936 - AIG-FCIC00534945
- dd.  AIG-FCIC00534946 - AIG-FCIC00534956
- de.  AIG-FCIC00534957 - AIG-FCIC00534969
- df.  AIG-FCIC00534970 - AIG-FCIC00534979
- 2.  Disk # 356
  - a.  AIG-FCIC00534980-00535031
  - b.  AIG-FCIC00535032-00535035
  - c.  AIG-FCIC00535036-00535090
  - d.  AIG-FCIC00535091-00535181
  - e.  AIG-FCIC00535182-00535191
  - f.  AIG-FCIC00535192-00535282
  - g.  AIG-FCIC00535283-00535393
  - h.  AIG-FCIC00535399
  - i.  AIG-FCIC0535394-00535398
- 3.  AIG-FCIC00535400-FCIC00535402
- 4.  AIG-FCIC00535403-FCIC00535454
- f.  2010-06-10 Production- Disk # 372
  - 1.  AIG-FCIC00535455
  - 2.  AIG-FCIC00535456-457
  - 3.  AIG-FCIC00535458-463
  - 4.  AIG-FCIC00535464-465
  - 5.  AIG-FCIC00535466-470
  - 6.  AIG-FCIC00535471-473
  - 7.  AIG-FCIC00535474-476
  - 8.  AIG-FCIC00535477-479
  - 9.  AIG-FCIC00535480-486
  - 10.  AIG-FCIC00535487-490
  - 11.  AIG-FCIC00535491-497
  - 12.  AIG-FCIC00535498-502
  - 13.  AIG-FCIC00535503-507




14.  AIG-FCIC00535508-512
  15.  AIG-FCIC00535513-522
  16.  AIG-FCIC00535523-537
  17.  AIG-FCIC00535538-575
  18.  AIG-FCIC00535576-580
  19.  AIG-FCIC00535581-585
  20.  AIG-FCIC00535586-616
  21.  AIG-FCIC00535617-648
  22.  AIG-FCIC00535649-653
- g.  2010-06-11 Production- Disk # 382
1.  AIG-FCIC00535654-00535664
  2.  AIG-FCIC00535665-00535674
  3.  AIG-FCIC00535675-00535684
  4.  AIG-FCIC00535685-00535693
  5.  AIG-FCIC00535694-00535702
  6.  AIG-FCIC00535702-00535712
  7.  AIG-FCIC00535713-00535718
  8.  AIG-FCIC00535719-00535728
  9.  AIG-FCIC00535729-00535740
  10.  AIG-FCIC00535741-00535796
  11.  AIG-FCIC00535797-00535813
  12.  AIG-FCIC00535814-00535832
  13.  AIG-FCIC00535833-00535848
  14.  AIG-FCIC00535849-00535865
  15.  AIG-FCIC00535866-00535882
  16.  AIG-FCIC00535883-00535893
  17.  AIG-FCIC00535894-00535913
  18.  AIG-FCIC00535914-00535920
  19.  AIG-FCIC00535921-00535933
  20.  AIG-FCIC00535934-00535956
  21.  AIG-FCIC00535957-00535975
  22.  AIG-FCIC00535976-00536031
  23.  AIG-FCIC00536032-00536074
  24.  AIG-FCIC00536075-00536085
  25.  AIG-FCIC00536086-00536095
  26.  AIG-FCIC00536096-00536105
  27.  AIG-FCIC00536106-00536114
  28.  AIG-FCIC00536115-00536127
  29.  AIG-FCIC00536128-00536137
  30.  AIG-FCIC00536138-00536149
  31.  AIG-FCIC00536150-00536161
  32.  AIG-FCIC00536162-00536177
  33.  AIG-FCIC00536178-00536193
  34.  AIG-FCIC00536194-00536212
  35.  AIG-FCIC00536213-00536214
  36.  AIG-FCIC00536215-00536222
  37.  AIG-FCIC00536223-00536242
  38.  AIG-FCIC00536243-00536326
  39.  AIG-FCIC00536327-00536356
  40.  AIG-FCIC00536357-00536367
  41.  AIG-FCIC00536368-00536431
  42.  AIG-FCIC00536432-00536605
  43.  AIG-FCIC00536606-00536625
  44.  AIG-FCIC00536626-00536641
  45.  AIG-FCIC00536642-00536678

























































- 46.  AIG-FCIC00536679-00536714
- 47.  AIG-FCIC00536715-00536731
- h.  2010-06-15 Production
  - 1.  Disk # 388
    - a.  AIG-FCIC 00547409-00547878
    - b.  AIG-FCIC 00547879-00548378
    - c.  AIG-FCIC 00548379-0054881
    - d.  AIG-FCIC 00548882-00549381
    - e.  AIG-FCIC 00549382-00549883
    - f.  AIG-FCIC 00549884-00549963
  - 2.  Disk # 389
    - a.  AIG-FCIC00549964-00549987
    - b.  AIG-FCIC00549988-00550011
    - c.  AIG-FCIC00550012-00550064
    - d.  AIG-FCIC00550065-00550118
    - e.  AIG-FCIC00550119-00550133
    - f.  AIG-FCIC00550134-00550156
    - g.  AIG-FCIC00550157-00550170
    - h.  AIG-FCIC00550171-00550175
    - i.  AIG-FCIC00550176-00550200
    - j.  AIG-FCIC00550201-00550228
    - k.  AIG-FCIC00550229-00550230
    - l.  AIG-FCIC00550231-00550342
    - m.  AIG-FCIC00550343-00550355
    - n.  AIG-FCIC00550356-00550388
    - o.  AIG-FCIC00550389
    - p.  AIG-FCIC00550390-00550391
    - q.  AIG-FCIC00550392-00550404
    - r.  AIG-FCIC00550405-00550415
    - s.  AIG-FCIC00550416-00550428
    - t.  AIG-FCIC00550429-00550435
    - u.  AIG-FCIC00550436-00550440
- i.  2010-06-17 Production
  - 1.  Disk # 404
    - a.  AIG-FCIC-00550563-00551444
  - 2.  Disk # 405
    - a.  AIG-FCIC 00551445-00551946
    - b.  AIG-FCIC 00551947-00552450
    - c.  AIG-FCIC 00552451-00552952
    - d.  AIG-FCIC 00552953-00553453
    - e.  AIG-FCIC 00553454-00553583
- j.  2010-06-19 Production
  - 1.  Disk # 400
    - a.  AIG-FCIC 00553611-00554097
    - b.  AIG-FCIC 00554098-00554598
    - c.  AIG-FCIC 00554599-00554797
    - d.  AIG-FCIC 00554798-00555207
    - e.  AIG-FCIC 00555208-00555608
    - f.  AIG-FCIC 00555609-00556143
    - g.  AIG-FCIC 00556144-00556206
  - 2.  Disk # 401
    - a.  AIG-FCIC00556207-00556208
    - b.  AIG-FCIC00556209-00556210
    - c.  AIG-FCIC00556211-00556213
    - d.  AIG-FCIC00556214-00556215
    - e.  AIG-FCIC00556216-00556218
    - f.  AIG-FCIC00556219-00556221

- g.  AIG-FCIC00556222-00556224
- h.  AIG-FCIC00556225-00556227
- i.  AIG-FCIC00556228-00556230
- j.  AIG-FCIC00556231-00556233
- k.  AIG-FCIC00556234-00556235
- l.  AIG-FCIC00556236-00556238
- m.  AIG-FCIC00556239-00556241
- n.  AIG-FCIC00556242-00556244
- o.  AIG-FCIC00556245-00556246
- p.  AIG-FCIC00556247-00556249
- q.  AIG-FCIC00556250-00556254
- r.  AIG-FCIC00556255-00556259
- s.  AIG-FCIC00556260-00556262
- t.  AIG-FCIC00556263-00556265
- u.  AIG-FCIC00556266-00556268
- v.  AIG-FCIC00556269-00556272
- w.  AIG-FCIC00556273-00556276
- x.  AIG-FCIC00556277-00556280
- y.  AIG-FCIC00556281-00556283
- z.  AIG-FCIC00556284-00556286
- aa.  AIG-FCIC00556287-00556292
- ab.  AIG-FCIC00556293-00556295
- ac.  AIG-FCIC00556296-00556298
- ad.  AIG-FCIC00556299-00556302
- ae.  AIG-FCIC00556303-00556307
- af.  AIG-FCIC00556308-00556311
- ag.  AIG-FCIC00556312-00556316
- ah.  AIG-FCIC00556317-00556319
- ai.  AIG-FCIC00556320-00556323
- aj.  AIG-FCIC00556324-00556326
- ak.  AIG-FCIC00556327-00556329
- al.  AIG-FCIC00556330-00556332
- am.  AIG-FCIC00556333-00556336
- an.  AIG-FCIC00556337-00556341
- ao.  AIG-FCIC00556342-00556344
- ap.  AIG-FCIC00556345-00556348
- aq.  AIG-FCIC00556349-00556351
- ar.  AIG-FCIC00556352-00556355
- as.  AIG-FCIC00556356-00556360
- at.  AIG-FCIC00556361-00556364
- au.  AIG-FCIC00556365-00556369
- av.  AIG-FCIC00556370-00556372
- aw.  AIG-FCIC00556373-00556375
- ax.  AIG-FCIC00556376-00556379
- ay.  AIG-FCIC00556380-00556383
- az.  AIG-FCIC00556384-00556387
- ba.  AIG-FCIC00556388-00556392
- bb.  AIG-FCIC00556393-00556397
- bc.  AIG-FCIC00556398-00556401
- bd.  AIG-FCIC00556402-00556406
- be.  AIG-FCIC00556407-00556409
- bf.  AIG-FCIC00556410-00556413
- bg.  AIG-FCIC00556414-00556417
- bh.  AIG-FCIC00556418-00556423
- bi.  AIG-FCIC00556424-00556429

bj.  AIG-FCIC00556430-00556433  
bk.  AIG-FCIC00556434-00556441  
bl.  AIG-FCIC00556442-00556449  
bm.  AIG-FCIC00556450-00556455  
bn.  AIG-FCIC00556456-00556461  
bo.  AIG-FCIC00556462-00556468  
bp.  AIG-FCIC00556469-00556476  
bq.  AIG-FCIC00556477-00556482  
br.  AIG-FCIC00556483-00556488  
bs.  AIG-FCIC00556489-00556497  
bt.  AIG-FCIC00556498-00556501  
bu.  AIG-FCIC00556502-00556556  
bv.  AIG-FCIC00556557-00556612  
bw.  AIG-FCIC00556613-00556671  
bx.  AIG-FCIC00556672-00556711  
by.  AIG-FCIC00556712-0056759  
bz.  AIG-FCIC00556760-00556819  
ca.  AIG-FCIC00556820-00556840  
cb.  AIG-FCIC00556841-00556928  
cc.  AIG-FCIC00556929-00556970  
cd.  AIG-FCIC00556971-00557012  
ce.  AIG-FCIC00557013-00557088  
cf.  AIG-FCIC00557089-00557089  
cg.  AIG-FCIC00557090-00557096  
ch.  AIG-FCIC00557097-00557104  
ci.  AIG-FCIC00557105-00557151  
cj.  AIG-FCIC00557152-00557156  
ck.  AIG-FCIC00557157-00557188  
cl.  AIG-FCIC00557189-00557197  
cm.  AIG-FCIC00557198-00557209  
cn.  AIG-FCIC00557210-00557270  
co.  AIG-FCIC00557271-00557287  
cp.  AIG-FCIC00557288-00557477  
cq.  AIG-FCIC00557478-00557541  
cr.  AIG-FCIC00557542-00557762  
cs.  AIG-FCIC00557763-00557956  
ct.  AIG-FCIC00557957-00557882  
cu.  AIG-FCIC00557983-00558097  
cv.  AIG-FCIC00558098-00558385  
cw.  AIG-FCIC00558386-00558576  
cx.  AIG-FCIC00558577-00558769  
cy.  AIG-FCIC00558770-00558888  
cz.  AIG-FCIC00558889-00558894  
da.  AIG-FCIC00558895-00558907  
db.  AIG-FCIC00558908-00558909  
dc.  AIG-FCIC00558910-00558915  
dd.  AIG-FCIC00558916-00558918  
de.  AIG-FCIC00558919  
df.  AIG-FCIC00558920-00558934  
dg.  AIG-FCIC00558935-00558937  
dh.  AIG-FCIC00558938-00558939  
di.  AIG-FCIC00558940-00558946  
dj.  AIG-FCIC00558947-00558950  
dk.  AIG-FCIC00558951  
dl.  AIG-FCIC00558952

dm.  AIG-FCIC00558953  
dn.  AIG-FCIC00558954-00558956  
do.  AIG-FCIC00558957-00558958  
dp.  AIG-FCIC00558959-00558964  
dq.  AIG-FCIC00558965-00558967  
dr.  AIG-FCIC00558968  
ds.  AIG-FCIC00558969-00558970  
dt.  AIG-FCIC00558971-00558972  
du.  AIG-FCIC00558973  
dv.  AIG-FCIC00558974-00558979  
dw.  AIG-FCIC00558980-00558982  
dx.  AIG-FCIC00558983  
dy.  AIG-FCIC00558984-00558998  
dz.  AIG-FCIC00558999-AIGFCIC00559001  
ea.  AIG-FCIC00558999-AIGFCIC00559002  
eb.  AIG-FCIC00559003-00559008  
ec.  AIG-FCIC00559009-00559011  
ed.  AIG-FCIC00559012  
ee.  AIG-FCIC00559013  
ef.  AIG-FCIC00559014-00559016  
eg.  AIG-FCIC00559017-00559041  
eh.  AIG-FCIC00559042-00559047  
ei.  AIG-FCIC00559048  
ej.  AIG-FCIC00559049-00559051  
ek.  AIG-FCIC00559052-00559057  
el.  AIG-FCIC00559058-00559061  
em.  AIG-FCIC00559062  
en.  AIG-FCIC00559063-00559076  
eo.  AIG-FCIC00559077-00559079  
ep.  AIG-FCIC00559080-00559081  
eq.  AIG-FCIC00559082-00559087  
er.  AIG-FCIC00559088-00559090  
es.  AIG-FCIC00559091  
et.  AIG-FCIC00559092-00559097  
eu.  AIG-FCIC00559098-00559099  
ev.  AIG-FCIC00559100-00559101  
ew.  AIG-FCIC00559102-00559106  
ex.  AIG-FCIC00559107-00559114  
ey.  AIG-FCIC00559115  
ez.  AIG-FCIC00559116-00559124  
fa.  AIG-FCIC00559125-00559126  
fb.  AIG-FCIC00559127-00559130  
fc.  AIG-FCIC00559131-00559133  
fd.  AIG-FCIC00559134-00559135  
fe.  AIG-FCIC00559136-00559141  
ff.  AIG-FCIC00559142-00559144  
fg.  AIG-FCIC00559145  
fh.  AIG-FCIC00559146-00559147  
fi.  AIG-FCIC00559148-00559151  
fj.  AIG-FCIC00559152-00559227  
fk.  AIG-FCIC00559228-00559285  
fl.  AIG-FCIC00559286-00559334  
fm.  AIG-FCIC00559335-00559390

k.  2010-06-21 Production  
1.  Disk # 406  
a.  AIG-FCIC 00559391-00559802

- b.  AIG-FCIC 00559803-00560217
  - 2.  Disk # 407
    - a.  AIG-FCIC 00560218-00560717
    - b.  AIG-FCIC 00560718-00561115
  - 3.  Disk # 408
    - a.  AIG-FCIC00561116-00561117
    - b.  AIG-FCIC-00561118-00561162
    - c.  AIG-FCIC-00561163-0051213
    - d.  AIG-FCIC-00561214-00561312
    - e.  AIG-FCIC-00561313-00561333
- I.  2010-06-22 Production- Disk # 412
  - 1.  AIG-FCIC00561334-00561499
  - 2.  AIG-FCIC00561500-00561503
  - 3.  AIG-FCIC00561504-00561591
  - 4.  AIG-FCIC00561592-00561676
  - 5.  AIG-FCIC00561677-00561743
  - 6.  AIG-FCIC00561744-00561806
  - 7.  AIG-FCIC00561807-00561901
  - 8.  AIG-FCIC00561902-00562067
  - 9.  AIG-FCIC00562068-00562111
  - 10.  AIG-FCIC00562112-00562143
  - 11.  AIG-FCIC00562144-00562228
  - 12.  AIG-FCIC00562229-00562314
  - 13.  AIG-FCIC00562315-00562422
  - 14.  AIG-FCIC00562423-00562472
  - 15.  AIG-FCIC00562473-00562501
  - 16.  AIG-FCIC00562502-00562567
  - 17.  AIG-FCIC00562568-00562609.pdf.1
  - 18.  AIG-FCIC00562610-00562660
- m.  DISK #249
  - 1.  AIG-FCIC00384254-295
  - 2.  AIG-FCIC00384296-355
  - 3.  AIG-FCIC00384356-481
  - 4.  AIG-FCIC00384482-548
  - 5.  AIG-FCIC00384549-611
  - 6.  AIG-FCIC00384612-723
  - 7.  AIG-FCIC00384724-748
  - 8.  AIG-FCIC00384749-773
- n.  2010-14-05 AIG S Nettleton ltr re D Noonan Doc request
- o.  AIG Consolidated Complaint
- p.  AIG Motion to Dismiss
- q.  AIG Paper- The 2008 Financial Crisis and Its Aftermath- Addressing the Next Debt Challenge
- r.  AIG Reply to Opposition
- s.  AIG-FCIC00336706-08
- t.  AIG-FCIC00336709-12
- u.  AIG-FCIC00336713-15
- v.  AIG-FCIC00336716
- w.  AIG-FCIC00336717-19
- x.  AIG-FCIC00336720-34
- y.  AIG-FCIC00336735-42
- z.  AIG-FCIC00336743
- aa.  AIG-FCIC00336744
- ab.  AIG-FCIC00336745
- ac.  AIG-FCIC00336746-55
- ad.  AIG-FCIC00336756-65
- ae.  Opposition to Motion to Dismiss

2. AllianceBernstein

a. 2010-10-22 Production- Disk # 715


























































1. II.2-0000001
2. II.2-0000298
3. II.2-0000318
4. II.2-0000406
5. II.2-0000689
6. II.2-0000783
7. II.2-0001118
8. II.2-0001134
9. II.2-0001211
10. II.2-0001482
11. II.2-0001676
12. II.2-0001696
13. II.2-0001897
14. II.2-0002050
15. II.2-0002082
16. II.2-0002096
17. II.2-0002097
18. II.2-0002145
19. II.2-0002190
20. II.2-0002269
21. II.2-0002355
22. II.2-0002487
23. II.2-0002579
24. II.2-0002723
25. II.2-0003057
26. II.2-0003089
27. II.2-0003129
28. II.2-0003198
29. II.2-0003272
30. II.2-0003476
31. III.12-0000001

b. 2010-10-26 Production- Disk # 723

























































1. Interrogatory 10

























































- a. ABCDS Buy Transactions
- b. ASAP - Purchase Journal
- c. List of broker codes for data provided as part of Interrogatory 10
- d. Long Hill Buy Transactions
- e. MCDO1 Buy Transactions
- f. MCDOII Buy Transactions
- g. MPF Limited - Purchase Journal
- h. MPF Limited - Purchase Journal - Part II
- i. MPF Three Purchase Log SSB
- j. MPF Two Purchase Log SSB
- k. PASA Buy Transactions
- l. Pegasus 2 Buy Transactions SSB
- m. Pegasus 3 Buy Transactions SSB
- n. Pegasus 4 Buy Transactions SSB
- o. Pegasus Five Series No. 1 - Purchase Journal
- p. Pegasus Five Series No. 2 - Purchase Journal
- q. Pegasus One Buy Transactions SSB
- r. Pegasus Seven Series No. 1 - Purchase Journal
- s. Pegasus Six Series No. 1 - Purchase Journal
- t. Pinstripe Buy Transactions
- u. Revelstoke Buy Transactions



























































2.  Interrogatory 3
  - a.  ABCDS Buy Protection Sold
  - b.  Long Hill Buy Protection Sold
3.  American Home Mortgage
  - a.  2010-08-02 Production- Disk # 523
    1.  FCIC Responses for #7 & 9\_08-02-10
  - b.  2010-08-12 Production- Disk # 545
    1.  (10029168\_1)\_AHM Interrogatory #4\_2007.xls (Sent 8-12-10) (2
    2.  (10029211\_1)\_AHM Interrogatory #11 (2).xls (Sent 8-12-10) (2
    3.  (10029213\_1)\_AHM Interrogatory #4(t) 2003 - 2007 (2).xls (Se
    4.  (10029215\_1)\_AHM Interrogatory #10 (2).xls (Sent 8-12-10) (2
  - c.  2010-08-27 Production- Disk # 587
    1.  (10096092\_1)\_AHM Interrogatory #3\_2007 (2).xls (Sent 8-27-10
    2.  (10096101\_1)\_AHM Interrogatory #4\_2006.xls (Sent 8-27-10)
  - d.  2010-09-30 Production- Disk # 665
    1.  (10218481\_1)\_AHM Interrogatory #4\_2003.xls (Sent 9-30-10)
    2.  (10218498\_1)\_AHM Interrogatory #4\_2004.xls (Sent 9-30-10)
    3.  (10218508\_1)\_AHM Interrogatory #4(r)(i) 2003-2007.xls (Sent
4.  Amy Stroupe
  - a.  2010-06-25 Production- Disk # 418
    1.  Deposition Exhibits 1-49
    2.  Deposition Exhibits 50-97
    3.  Deposition Minuscript - Amy Stroupe
    4.  Deposition Minuscript - Brian Prater
    5.  Deposition Minuscript - Bryan Drum
    6.  Deposition Minuscript - Carlos Goodrich
    7.  Deposition Minuscript - Charles Mattox
    8.  Deposition Minuscript - Daniel Loftis
    9.  Deposition Minuscript - Jason Harrill
    10.  Deposition Minuscript - Karen Price-Crowder
    11.  Deposition Minuscript - Leo Hill
    12.  Deposition Minuscript - Sherri Harper
    13.  Deposition Transcript - Francis C. Clark
    14.  Deposition Transcript - George Wilson
    15.  Deposition Transcript - Walter Pitt
    16.  Depositions Exhibits 98-150
    17.  Documents produced by BB& T in DOL Case- pt.1
    18.  Documents produced by BB& T in DOL Case- pt.2
    19.  Documents produced by BB& T in DOL Case- pt.3
    20.  Documents produced by BB& T in DOL Case- pt.4
    21.  Documents produced by Stroupe in DOL Case
    22.  Errata Sheet - Amy Stroupe
    23.  Errata Sheet - Brian Prater
    24.  Errata Sheet - Daniel Loftis
    25.  Errata Sheet - Jason Harrill
    26.  Errata Sheet - Karen Price-Crowder
    27.  Errata Sheet - Leo Hill
    28.  Errata Sheet - Sherri Harper
    29.  Hearing Minuscript
5.  Babson Capital
  - a.  BQM 20101007164826\_001
  - b.  BQM babson\_mktval\_mtgcd0
  - c.  BQM babson\_mktval\_mtgcd0 oct2006\_ubs
  - d.  BQM Mass Mutual Engagement Letter re EMBS VI Nov 16 Execution
  - e.  BQM OM
  - f.  EMBS 5 - Private Placement Memorandum\_v1\_0
  - g.  EMBS Fund II Pres to Nationwide 02.11.00 b

- h. EMBS Fund II Pres to Nationwide 02.11.00 c
  - i. EMBS Fund II Pres to Nationwide 02.11.00 c (2)
  - j. EMBS Fund Pres to Nationwide 11.30.99
  - k. EMBS Fund Pres to Nationwide 11.30.99 (2)
  - l. EMBS Fund V - Babson Presentation2
  - m. EMBS Fund V - Babson Presentation3
  - n. EMBS Fund V - Babson Presentation5
  - o. EMBS Fund V - Mass Mutual Engagement Letter re EMBS Feb 28
  - p. EMBS Fund V - Preliminary Marketing Book v3
  - q. EMBS II 002177
  - r. embs II marketbook
  - s. embs II mktg new
  - t. EMBS III - Private Placement Memorandum\_v1\_0
  - u. EMBS III 1041258N
  - v. EMBS IV - Private Placement Memorandum\_v1\_0
  - w. EMBS IV 20101007154911\_001
  - x. EMBS IV Execution Copy 080503
  - y. EMBS IV Marketing Book 091203
  - z. Whately CDO I Marketing Book 030904 PS
  - aa. Whately I Term Sheet 030404 PS
  - ab. WHATELY OM\_v1\_0
  - ac. Whatley CDO I Marketing Book 091203
6. Bank of America
- a. 2010-06-22 Production- HD # 49
    - 1. BAC-FCIC-E 0000257963-258462
    - 2. BAC-FCIC-E 0000258463-258962
    - 3. BAC-FCIC-E 0000258963-259462
    - 4. BAC-FCIC-E 0000259463-259900
    - 5. BAC-FCIC-E 0000259923-0000260422
    - 6. BAC-FCIC-E 0000260423-0000260946
    - 7. BAC-FCIC-E 0000260947-0000261446
    - 8. BAC-FCIC-E 0000261447-0000261946
    - 9. BAC-FCIC-E 0000261947-0000262446
    - 10. BAC-FCIC-E 0000262447-0000262954
    - 11. BAC-FCIC-E 0000262955-0000263454
    - 12. BAC-FCIC-E 0000263455-0000263954
    - 13. BAC-FCIC-E 0000263955-0000264294
    - 14. BAC-FCIC-E 0000264295-0000264794
    - 15. BAC-FCIC-E 0000264795-0000265317
  - b. 2010-07-21 Production- Disk # 473
    - 1. BAC-FCIC-0000055783-0000056282
    - 2. BAC-FCIC-0000056283-0000056782
    - 3. BAC-FCIC-0000056783-0000057323
  - c. 2010-08-03 Production- Disk # 514
    - 1. BAC-FCIC-0000057327-0000057343
  - d. 2010-08-11 Production- Disk # 535
    - 1. BAC-FCIC-0000071522
    - 2. BAC-FCIC-0000071522-0000071525
  - e. 2010-08-13 Production- Disk # 538
    - 1. BAC-FCIC-0000071526-0000071581
  - f. 2010-08-27 Production- Disk # 582
    - 1. BAC Schedule B in Response to FCIC 3-25-10 Broker-Dealer Survey
  - g. 2010-09-02 Production
    - 1. Disk # 592
      - a. BAC-FCIC-0000075340-0000075364
  - h. 2010-09-30 Production- Disk # 656
    - 1. BAC-FCIC 0000080773-0000081079




















2.  BAC-FCIC 0000081080-0000081098
  3.  BAC-FCIC 0000081099-0000081231
  4.  BAC-FCIC 0000081232-0000081631
  5.  BAC-FCIC 0000081632-0000081667
- i.  2010-10-04 Production
1.  Disk # 666
    - a.  BAC-FCIC-0000080557
    - b.  BAC-FCIC-0000080769
  2.  Disk # 667
    - a.  BAC-FCIC-0000081668
    - b.  BAC-FCIC-0000081669-0000081755
    - c.  BAC-FCIC-0000081756-0000082003
    - d.  BAC-FCIC-0000082004-0000082248
    - e.  BAC-FCIC-0000082249-0000082264
    - f.  BAC-FCIC-0000082265
    - g.  BAC-FCIC-0000082266-0000082535
    - h.  BAC-FCIC-0000082536-0000082765
    - i.  BAC-FCIC-0000082766-0000082841
    - j.  BAC-FCIC-0000082842-0000082848
    - k.  BAC-FCIC-0000082849-0000082900
    - l.  BAC-FCIC-0000082901-0000083086
    - m.  BAC-FCIC-0000083087-0000083276
    - n.  BAC-FCIC-0000083277-0000083301
    - o.  BAC-FCIC-0000083302-0000083541
    - p.  BAC-FCIC-0000083542-0000083781
    - q.  BAC-FCIC-0000083782-0000083861
    - r.  BAC-FCIC-0000083862-0000083872
    - s.  BAC-FCIC-0000083873-0000084179
    - t.  BAC-FCIC-0000084180-0000084198
    - u.  BAC-FCIC-0000084199-0000084269
    - v.  BAC-FCIC-0000084270-0000084452
    - w.  BAC-FCIC-0000084453-0000084636
    - x.  BAC-FCIC-0000084637-0000084640
    - y.  BAC-FCIC-0000084641-0000084654
    - z.  BAC-FCIC-0000084655-0000084657
    - aa.  BAC-FCIC-0000084658-0000084722
    - ab.  BAC-FCIC-0000084723-0000084911
    - ac.  BAC-FCIC-0000084912-0000084933
    - ad.  BAC-FCIC-0000084934-0000084943
    - ae.  BAC-FCIC-0000084944-0000085037
    - af.  BAC-FCIC-0000085038-0000085301
    - ag.  BAC-FCIC-0000085302-0000085584
    - ah.  BAC-FCIC-0000085585-0000085598
    - ai.  BAC-FCIC-0000085599
    - aj.  BAC-FCIC-0000085600-0000085604
    - ak.  BAC-FCIC-0000085605-0000085689
    - al.  BAC-FCIC-0000085690-0000086002
    - am.  BAC-FCIC-0000086003-0000086325
    - an.  BAC-FCIC-0000086326-0000086349
    - ao.  BAC-FCIC-0000086350-0000086523
    - ap.  BAC-FCIC-0000086524-00086688
    - aq.  BAC-FCIC-0000086689-0000086764
    - ar.  BAC-FCIC-0000086765
    - as.  BAC-FCIC-0000086766-0000086784
- j.  2010-11-08 Production- Disk # 732
1.  BAC-FCIC-0000088820











- k.  2010-12-07 Production- Disk # 744
  - 1.  2010-06-16 BAC Production Letter to FCIC re\_ 4-21-10 CRA request
  - 2.  BAC CRA Press Releases
  - 3.  Mortgage Spreadsheet produced 2010.09.02
- l.  2010-12-15 Production
  - 1.  Disk # 746
    - a.  BAC-FCIC-0000089275-76
    - b.  BAC-FCIC-0000089277-79
    - c.  BAC-FCIC-0000089280-81
    - d.  BAC-FCIC-0000089282-83
    - e.  BAC-FCIC-0000089284
    - f.  BAC-FCIC-0000089285-90
    - g.  BAC-FCIC-0000089291
    - h.  BAC-FCIC-0000089292-93
    - i.  BAC-FCIC-0000089294-95
    - j.  BAC-FCIC-0000089296
    - k.  BAC-FCIC-0000089297-303
    - l.  BAC-FCIC-0000089304
    - m.  BAC-FCIC-0000089305-306
    - n.  BAC-FCIC-0000089307
    - o.  BAC-FCIC-0000089309-312
    - p.  BAC-FCIC-0000089313
    - q.  BAC-FCIC-0000089314
    - r.  BAC-FCIC-0000089315-316
    - s.  BAC-FCIC-0000089317-318
    - t.  BAC-FCIC-0000089319
    - u.  BAC-FCIC-0000089320
    - v.  BAC-FCIC-0000089321-22
    - w.  BAC-FCIC-0000089323-24
    - x.  BAC-FCIC-0000089325-27
    - y.  BAC-FCIC-0000089328-30
    - z.  BAC-FCIC-0000089331-36
    - aa.  BAC-FCIC-0000089337-405
    - ab.  BAC-FCIC-0000089406-407
    - ac.  BAC-FCIC-0000089408-410
    - ad.  BAC-FCIC-0000089411-13
    - ae.  BAC-FCIC-0000089414
    - af.  BAC-FCIC-0000089415-18
  - 2.  Disk # 747
    - a.  BAC-FCIC-0000089419
    - b.  BAC-FCIC-0000089420
    - c.  BAC-FCIC-0000089421-23
    - d.  BAC-FCIC-0000089424-50
    - e.  BAC-FCIC-0000089451-63
- m.  2010-12-20 Production- Disk # 749
  - 1.  BAC-FCIC-0000089464-0000089714
- 7.  Barclays
  - a.  2010-08-26 Production- Disk # 579
    - 1.  FCIC-SURVEY 0001
    - 2.  FCIC-SURVEY 0002
  - b.  2010-09-22 Production
    - 1.  FCIC-Subprime 001
    - 2.  FCIC-Subprime 002
    - 3.  FCIC-Subprime 003
    - 4.  FCIC-Subprime 004
    - 5.  FCIC-Subprime 005


















































6.  FCIC-Subprime 006
7.  FCIC-Subprime 007
8.  FCIC-Subprime 008
9.  FCIC-Subprime 009
10.  FCIC-Subprime 010
11.  FCIC-Subprime 011
12.  FCIC-Subprime 012
13.  FCIC-Subprime 013
14.  FCIC-Subprime 014
15.  FCIC-Subprime 015
- c.  2010-10-19 Production- Disk # 707
  1.  FCIC-SURVEY 0004
- d.  2010-10-25 Production- Disk #721
  1.  FCIC-SURVEY 0005
8.  BNY Mellon
  - a.  BNYM FCIC 000099 - 000121(1)
9.  CFTC
  - a.  2010-06-28 Production
    1.  09. 05. 26. Swearing-In Ceremony
    2.  09. 06. 02. Testimony-Senate Subcommittee on Financial Services
    3.  09. 06. 04. Senate Committee on Ag, Nutrition, and Forestry
    4.  09. 06. 22. Testimony-Senate Banking Subcommittee
    5.  09. 06. 24. Managed Funds Association
    6.  09. 07. 07. Speculative Position Limits and Transparency Initiatives
    7.  09. 07. 21. Testimony-Senate Permanent Subcommittee on Investigations
    8.  09. 07. 22. Oral Testimony-House Financial Services
    9.  09. 07. 22. Testimony-House Financial Services
    10.  09. 07. 28. Opening Statement-Hearing of the CFTC
    11.  09. 07. 29. Opening Statement-Hearing of the CFTC
    12.  09. 08. 05. Opening Statement-Hearing of the CFTC
    13.  09. 08. 11. Treasury Dept's Derivative Plan
    14.  09. 09. 02. Opening Statement-Joint Meeting with SEC
    15.  09. 09. 03. Opening Statement-Joint meeting with SEC
    16.  09. 09. 09. Senate committee on Agriculture, Nutrition, and Forestry
    17.  09. 09. 14. New Republic and International Securities Exchange
    18.  09. 09. 16. Energy and Environmental Markets Advisory Committee
    19.  09. 09. 22. Testimony-House Ag. committee
    20.  09. 09. 23. International Energy Agency
    21.  09. 09. 25. European Commission
    22.  09. 10. 07. Testimony-House committee on Financial Services
    23.  09. 10. 15. Passage of OTC Derivatives Regulatory Reform
    24.  09. 10. 21. Futures Industry Association Annual Expo
    25.  09. 10. 23. GW Law School Symposium-OTC regulation
    26.  09. 10. 27. natural Gas Roundtable Luncheon
    27.  09. 10. 29. Agriculture Advisory Committee
    28.  09. 11. 03. IETA 2009 Fall Symposium-Cap and Trade
    29.  09. 11. 17. Testimony-House Ag. committee
    30.  09. 11. 18. Exchequer Club of Washington-OTC reform
    31.  09. 11. 18. Testimony-Senate Ag. committee
    32.  09. 12. 02. Testimony-House committee on Energy and Commerce
    33.  09. 12. 03. Consumer Federation of America Financial Services Conference
    34.  09. 12. 09. Global Markets Advisory Committee
    35.  09. 12. 11. Passage of HR 4173
    36.  10. 01. 06. Council on Foreign Relations
    37.  10. 01. 14. Closing Statement-Meeting of the CFTC
    38.  10. 01. 14. Opening Statement-Meeting of the CFTC

39.  10. 01. 27. Fordham University-OTC reform
40.  10. 01. 29. American Bar Association-Committee on Derivatives and Futures Law
41.  10. 02. 01. FY2011 Budget Request
42.  10. 02. 24. Energy Bar Association
43.  10. 03. 01. Institute of International Bankers conference
44.  10. 03. 02. Women in Housing and Finance-OTC reform
45.  10. 03. 03. Testimony-House Ag. committee
46.  10. 03. 09. Markit's OTC Derivatives Markets Conference
47.  10. 03. 09. Testimony-Senate committee on Energy and Nat. Resources
48.  10. 03. 11 FIA Annual Futures Industry Conference
49.  10. 03. 16. European Parliament-Economic and Monetary Affairs Committee
50.  10. 03. 18. Chatham House-Derivatives Reform
51.  10. 03. 22. Senate Banking Committee Passage of OTC reform
52.  10. 03. 24. U.S. Chamber of Commerce
53.  10. 03. 25. Precious and Base Metals Markets
54.  10. 04. 12 Columbia University
55.  10. 04. 13. Council of Institutional Investors
56.  10. 04. 16. Wall St. Transparency and Accountability Act
57.  10. 04. 27. Significant Price Discovery Contracts
58.  10. 04. 28 Appendix for Testimony-Senate Appropriations
59.  10. 04. 28. Oral Testimony-Senate Appropriations
60.  10. 04. 28. Testimony-Senate Appropriations
61.  10. 05. 10. Meeting with Exchange Leaders
62.  10. 05. 11. Testimony-House Financial Services
63.  10. 05. 14. American Cotton Shippers Association
64.  10. 05. 18. Global Corporate Treasurer's Forum
65.  10. 05. 19. Box Office Receipts
66.  10. 05. 20. Passage of Senate Financial Reform
67.  10. 05. 20. Testimony-Senate Committee on Banking, Housing, and Urban Affairs
68.  10. 05. 24. Joint CFTC-SEC Advisory Committee
69.  10. 06. 03. Sandler O'Neill Global Exchange and Brokerage Conference
70.  10. 06. 10. IOSCO
71.  10. 06. 22. Second CFTC-SEC Advisory Committee meeting
72.  10. 06. 22. Status of Wall St. Reform
73.  radhakrishnansenate\_ag\_cds1014
74.  radhakrishnansenate\_ag\_cds1120


















































10.  Citigroup














































- a.  2010-03-19 Production
  1.  CITI-FCIC 99767-78
  2.  CITI-FCIC 99779-90
- b.  2010-03-31 Production - Disk #156
  1.  CITI-FCIC 00099801 - 00099862 - I-16, 17, 23 - RMBS Cash, Synthetic, Squared CDOs
- c.  2010-04-06 Production
  1.  CITI-FCIC 103192-103220
- d.  2010-06-09 Production
  1.  CITI-FCIC 00103221 - 00103246 CMB Risk Mgmt Cmte Minutes
  2.  CITI-FCIC 00103259 - 00103758 - Falcon, MAT, ASTA Funds
  3.  CITI-FCIC 00103759 - 00104258 - Falcon, MAT, ASTA Funds
  4.  CITI-FCIC 00104259 - 00104758 - Falcon, MAT, ASTA Funds
  5.  CITI-FCIC 00104759 - 00105258 - Falcon, MAT, ASTA Funds
  6.  CITI-FCIC 00105259 - 00105758 - Falcon, MAT, ASTA Funds
  7.  CITI-FCIC 00105759 - 00106258 - Falcon, MAT, ASTA Funds
  8.  CITI-FCIC 00106259 - 00106758 - Falcon, MAT, ASTA Funds
  9.  CITI-FCIC 00106759 - 00107258 - Falcon, MAT, ASTA Funds
  10.  CITI-FCIC 00107259 - 00107758 - Falcon, MAT, ASTA Funds
  11.  CITI-FCIC 00107759 - 00108258 - Falcon, MAT, ASTA Funds





12.  CITI-FCIC 00108259 - 00108733 - Falcon, MAT, ASTA Funds
13.  CITI-FCIC 00108734 - Liquidity Puts Summary Sheet
14.  CITI-FCIC 00108735 - 00108747 - CMB Policy
15.  CITI-FCIC 00108748 - Warehouse Lines Additions
16.  CITI-FCIC 00108749 - 00108756 - Moody's CDO Fee Schedule
- e.  2010-06-11 Production
  1.  CITI-FCIC 00108757 - 00108767 - Synthetic CDO Data
- f.  2010-07-08 Production
  1.  CITI-FCIC 00108757 - 00108768 - Super Senior Data
- g.  2010-07-26 Production- HD # 53
  1.  Excel Files part 1
    - a.  00000416
    - b.  00000725
    - c.  00000753
    - d.  00001023
    - e.  00001027
    - f.  00001044
    - g.  00001061
    - h.  00001074
    - i.  00001075
    - j.  00001452
    - k.  00001478
    - l.  00001479
    - m.  00001495
    - n.  00001600
    - o.  00002269
    - p.  00002544
    - q.  00002601
    - r.  00002822
    - s.  00003103
    - t.  00003406
    - u.  00003956
    - v.  00004518
    - w.  00004603
    - x.  00004613
    - y.  00004616
    - z.  00004722
    - aa.  00005201
    - ab.  00005360
    - ac.  00005637
    - ad.  00006243
    - ae.  00006936
    - af.  00007320
    - ag.  00011575
    - ah.  00011876
    - ai.  00011877
    - aj.  00012631
    - ak.  00012635
    - al.  00012948
    - am.  00013171
    - an.  00013780
    - ao.  00013829
    - ap.  00014038
    - aq.  00014069
    - ar.  00014070
    - as.  00014354



















































at.  00014437  
au.  00014458  
av.  00014779  
aw.  00014782  
ax.  00014795  
ay.  00014796  
az.  00014854  
ba.  00015871  
bb.  00016203  
bc.  00016395  
bd.  00016400  
be.  00016450  
bf.  00016455  
bg.  00016456  
bh.  00017102  
bi.  00017103  
bj.  00017108  
bk.  00017115  
bl.  00017116  
bm.  00017117  
bn.  00017118  
bo.  00017119  
bp.  00017120  
bq.  00017719  
br.  00017910  
bs.  00017939  
bt.  00018248  
bu.  00018255  
bv.  00018257  
bw.  00018300  
bx.  00018360  
by.  00018361  
bz.  00018362  
ca.  00018363  
cb.  00018434  
cc.  00018495  
cd.  00018509  
ce.  00018510  
cf.  00018511  
cg.  00018618  
ch.  00018726  
ci.  00018766  
cj.  00018771  
ck.  00018868  
cl.  00018874  
cm.  00018914  
cn.  00018915  
co.  00018916  
cp.  00018942  
cq.  00018993  
cr.  00019002  
cs.  00019003  
ct.  00019008  
cu.  00019087  
cv.  00019092
































































































cw.  00019291  
cx.  00019292  
cy.  00019369  
cz.  00019374  
da.  00019427  
db.  00019520  
dc.  00019599  
dd.  00019600  
de.  00019611  
df.  00019812  
dg.  00019844  
dh.  00019942  
di.  00019967  
dj.  00020091  
dk.  00020258  
dl.  00020678  
dm.  00020803  
dn.  00021142  
do.  00021207  
dp.  00021211  
dq.  00021590  
dr.  00021591  
ds.  00021845  
dt.  00021848  
du.  00021854  
dv.  00021856  
dw.  00021865  
dx.  00022213  
dy.  00022290  
dz.  00022313  
ea.  00022691  
eb.  00022692  
ec.  00022700  
ed.  00022739  
ee.  00022752  
ef.  00022758  
eg.  00022759  
eh.  00022777  
ei.  00022778  
ej.  00022801  
ek.  00022809  
el.  00022811  
em.  00022813  
en.  00022971  
eo.  00023073  
ep.  00023079  
eq.  00023080  
er.  00023082  
es.  00023083  
et.  00023161  
eu.  00023164  
ev.  00023183  
ew.  00023184  
ex.  00023196  
ey.  00024501



























ez.  00024506  
fa.  00024642  
fb.  00024656  
fc.  00024663  
fd.  00024694  
fe.  00024826  
ff.  00024835  
fg.  00024840  
fh.  00024852  
fi.  00025338  
fj.  00025773  
fk.  00025782  
fl.  00025788  
fm.  00025827  
fn.  00025830  
fo.  00025832  
fp.  00025833  
fq.  00025837  
fr.  00025839  
fs.  00025840  
ft.  00025897  
fu.  00025899  
fv.  00025904  
fw.  00026165  
fx.  00026420  
fy.  00026421  
fz.  00026422  
ga.  00026423  
gb.  00026481  
gc.  00026628  
gd.  00026850  
ge.  00027016  
gf.  00027293  
gg.  00027313  
gh.  00027738  
gi.  00027759  
gj.  00027765  
gk.  00027767  
gl.  00027967  
gm.  00028044  
gn.  00028214  
go.  00028888  
gp.  00028910  
gq.  00029262  
gr.  00029347  
gs.  00029700  
gt.  00029701  
gu.  00029704  
gv.  00029705  
gw.  00029891  
gx.  00029942  
gy.  00030180  
gz.  00030198  
ha.  00030376  
hb.  00030726

hc.  00030747  
hd.  00031148  
he.  00031200  
hf.  00031215  
hg.  00031231  
hh.  00031663  
hi.  00031852  
hj.  00031941  
hk.  00031946  
hl.  00031961  
hm.  00031965  
hn.  00031992  
ho.  00032006  
hp.  00032009  
hq.  00032368  
hr.  00032401  
hs.  00032654  
ht.  00032790  
hu.  00033218  
hv.  00033222  
hw.  00033444  
hx.  00033451  
hy.  00033904  
hz.  00033918  
ia.  00034007  
ib.  00034011  
ic.  00034224  
id.  00034225  
ie.  00034229  
if.  00034246  
ig.  00034343  
ih.  00034403  
ii.  00034511  
ij.  00034552  
ik.  00034555  
il.  00034593  
im.  00034600  
in.  00034913  
io.  00035195  
ip.  00035202  
iq.  00035667  
ir.  00035683  
is.  00035709  
it.  00035724  
iu.  00035740  
iv.  00035813  
iw.  00035828  
ix.  00035844  
iy.  00036420  
iz.  00036451  
ja.  00036985  
jb.  00037010  
jc.  00037014  
jd.  00037165  
je.  00037168



jf.  00037172  
jg.  00037180  
jh.  00037243  
ji.  00037352  
jj.  00037355  
jk.  00037526  
jl.  00037967  
jm.  00037968  
jn.  00038002  
jo.  00038129  
jp.  00038134  
jq.  00038228  
jr.  00038915  
js.  00038919  
jt.  00039053  
ju.  00039546  
jv.  00039569  
jw.  00039750  
jx.  00039794  
jy.  00039812  
jz.  00039815  
ka.  00040125  
kb.  00040144  
kc.  00040155  
kd.  00040438  
ke.  00040552  
kf.  00040578  
kg.  00040909  
kh.  00041062  
ki.  00041091  
kj.  00041911  
kk.  00042023  
kl.  00042048  
km.  00042470  
kn.  00042615  
ko.  00042618  
kp.  00042955  
kq.  00043049  
kr.  00043270  
ks.  00043273  
kt.  00043276  
ku.  00043534  
kv.  00043701  
kw.  00043716  
kx.  00043721  
ky.  00043734  
kz.  00043743  
la.  00043775  
lb.  00043777  
lc.  00043781  
ld.  00043795  
le.  00044518  
lf.  00044725  
lg.  00044870  
lh.  00044872
























































li.  00045450  
lj.  00045471  
lk.  00045474  
ll.  00045481  
lm.  00045585  
ln.  00045717  
lo.  00045737  
lp.  00045754  
lq.  00045804  
lr.  00045810  
ls.  00046149  
lt.  00047335  
lu.  00047610  
lv.  00047631  
lw.  00048780  
lx.  00048878  
ly.  00048942  
lz.  00049105  
ma.  00049625  
mb.  00049723  
mc.  00049744  
md.  00049908  
me.  00050111  
mf.  00050114  
mg.  00050116  
mh.  00050286  
mi.  00050314  
mj.  00050573  
mk.  00050596  
ml.  00050643  
mm.  00051179  
mn.  00051186  
mo.  00051189  
mp.  00051192  
mq.  00051195  
mr.  00051197  
ms.  00051199  
mt.  00051204  
mu.  00051205  
mv.  00051206  
mw.  00051207  
mx.  00051287  
my.  00051298  
mz.  00051312  
na.  00051314  
nb.  00051495  
nc.  00051863  
nd.  00052009  
ne.  00052011  
nf.  00052164  
ng.  00052195  
nh.  00052302  
ni.  00052500  
nj.  00052668  
nk.  00052671

nl.  00052674  
nm.  00052774  
nn.  00052798  
no.  00052811  
np.  00052831  
nq.  00052871  
nr.  00052911  
ns.  00052951  
nt.  00052960  
nu.  00052966  
nv.  00053075  
nw.  00053130  
nx.  00053135  
ny.  00053144  
nz.  00053254  
oa.  00053255  
ob.  00053285  
oc.  00053399  
od.  00053401  
oe.  00053410  
of.  00053479  
og.  00053538  
oh.  00053756  
oi.  00054060  
oj.  00054231  
ok.  00054294  
ol.  00054828  
om.  00054830  
on.  00054834  
oo.  00054863  
op.  00054962  
oq.  00054969  
or.  00055016  
os.  00055058  
ot.  00055071  
ou.  00055208  
ov.  00055296  
ow.  00055305  
ox.  00055313  
oy.  00055314  
oz.  00055316  
pa.  00055319  
pb.  00055345  
pc.  00055758  
pd.  00056049  
pe.  00056064  
pf.  00056101  
pg.  00056110  
ph.  00056276  
pi.  00056279  
pj.  00056298  
pk.  00056336  
pl.  00056353  
pm.  00056389  
pn.  00056408
























- po.  00056415
- pp.  00056428
- pq.  00056551
- pr.  00056554
- ps.  00056717
- pt.  00056718
- pu.  00056759
- pv.  00056760
- pw.  00057908
- px.  00057909
- py.  00059087
- pz.  00059088
- qa.  00059090
- qb.  00059091
- qc.  00059118
- qd.  00059120
- qe.  00059319
- qf.  00059331
- qg.  00059514
- qh.  00059515
- qi.  00059516
- qj.  00059541
- qk.  00059554
- ql.  00059805
- qm.  00059806
- qn.  00059807
























































2.  Excel Files part 2
























































- a.  00060338
- b.  00060366
- c.  00060633
- d.  00060660
- e.  00060706
- f.  00060763
- g.  00061176
- h.  00061330
- i.  00061332
- j.  00061482
- k.  00061510
- l.  00061537
- m.  00061601
- n.  00061665
- o.  00061724
- p.  00061970
- q.  00062275
- r.  00062446
- s.  00062508
- t.  00062986
- u.  00062988
- v.  00062992
- w.  00063021
- x.  00063114
- y.  00063121
- z.  00063169
- aa.  00063211
- ab.  00063224



































ac.  00063357  
ad.  00063443  
ae.  00063452  
af.  00063460  
ag.  00063461  
ah.  00063463  
ai.  00063466  
aj.  00063492  
ak.  00063892  
al.  00064189  
am.  00064204  
an.  00064241  
ao.  00064254  
ap.  00065234  
aq.  00065235  
ar.  00066395  
as.  00066396  
at.  00066398  
au.  00066399  
av.  00066426  
aw.  00066428  
ax.  00066626  
ay.  00066638  
az.  00066849  
ba.  00066850  
bb.  00066851  
bc.  00066876  
bd.  00066889  
be.  00067143  
bf.  00067144  
bg.  00067145  
bh.  00067660  
bi.  00067896  
bj.  00067898  
bk.  00067928  
bl.  00068283  
bm.  00068503  
bn.  00068965  
bo.  00068969  
bp.  00069015  
bq.  00069109  
br.  00069234  
bs.  00069254  
bt.  00069255  
bu.  00069791  
bv.  00069800  
bw.  00069822  
bx.  00069887  
by.  00070330  
bz.  00070351  
ca.  00070368  
cb.  00070738  
cc.  00070802  
cd.  00070914  
ce.  00070915




































































































cf.  00070916  
cg.  00070917  
ch.  00070919  
ci.  00070947  
cj.  00071135  
ck.  00072495  
cl.  00072506  
cm.  00072529  
cn.  00072530  
co.  00072531  
cp.  00072532  
cq.  00072830  
cr.  00073413  
cs.  00074184  
ct.  00074186  
cu.  00074187  
cv.  00074212  
cw.  00076861  
cx.  00077111  
cy.  00077150  
cz.  00077154  
da.  00077631  
db.  00077649  
dc.  00077804  
dd.  00077832  
de.  00078131  
df.  00078604  
dg.  00078608  
dh.  00078799  
di.  00078833  
dj.  00078841  
dk.  00078855  
dl.  00079185  
dm.  00079343  
dn.  00079347  
do.  00079360  
dp.  00079390  
dq.  00079527  
dr.  00079655  
ds.  00080043  
dt.  00080287  
du.  00080321  
dv.  00080607  
dw.  00081034  
dx.  00081084  
dy.  00081213  
dz.  00081251  
ea.  00081258  
eb.  00081294  
ec.  00081339  
ed.  00081341  
ee.  00081345  
ef.  00081384  
eg.  00081454  
eh.  00081458
















































ei.  00081524  
ej.  00081537  
ek.  00081542  
el.  00081657  
em.  00081695  
en.  00081861  
eo.  00081864  
ep.  00081865  
eq.  00081952  
er.  00081964  
es.  00083088  
et.  00083851  
eu.  00083862  
ev.  00083877  
ew.  00083880  
ex.  00083934  
ey.  00084189  
ez.  00084248  
fa.  00084254  
fb.  00084289  
fc.  00084296  
fd.  00084321  
fe.  00084490  
ff.  00084497  
fg.  00084841  
fh.  00085253  
fi.  00085316  
fj.  00085365  
fk.  00085371  
fl.  00085397  
fm.  00085417  
fn.  00085424  
fo.  00085612  
fp.  00085619  
fq.  00085625  
fr.  00085628  
fs.  00085634  
ft.  00085640  
fu.  00085716  
fv.  00085721  
fw.  00085744  
fx.  00085750  
fy.  00085764  
fz.  00085788  
ga.  00085929  
gb.  00085930  
gc.  00085933  
gd.  00086301  
ge.  00086302  
gf.  00086410  
gg.  00086411  
gh.  00086412  
gi.  00086413  
gj.  00086414  
gk.  00086415
























































gl.  00086416  
gm.  00086426  
gn.  00086427  
go.  00086467  
gp.  00086468  
gq.  00086911  
gr.  00086942  
gs.  00087308  
gt.  00087668  
gu.  00087674  
gv.  00087677  
gw.  00087681  
gx.  00087687  
gy.  00087753  
gz.  00087763  
ha.  00087775  
hb.  00087786  
hc.  00087793  
hd.  00087803  
he.  00087806  
hf.  00087920  
hg.  00087921  
hh.  00087948  
hi.  00087954  
hj.  00087964  
hk.  00088092  
hl.  00088116  
hm.  00088173  
hn.  00088237  
ho.  00088238  
hp.  00088377  
hq.  00088451  
hr.  00088475  
hs.  00088826  
ht.  00088880  
hu.  00088882  
hv.  00088886  
hw.  00088896  
hx.  00089306  
hy.  00089307  
hz.  00089308  
ia.  00089321  
ib.  00089369  
ic.  00089381  
id.  00089383  
ie.  00089433  
if.  00089440  
ig.  00089468  
ih.  00089493  
ii.  00089494  
ij.  00089497  
ik.  00089498  
il.  00089549  
im.  00089588  
in.  00089845

io.  00089866  
ip.  00089872  
iq.  00090432  
ir.  00090594  
is.  00090612  
it.  00090666  
iu.  00090672  
iv.  00090685  
iw.  00090687  
ix.  00090688  
iy.  00090690  
iz.  00090691  
ja.  00090860  
jb.  00090981  
jc.  00091180  
jd.  00091184  
je.  00091226  
jf.  00091237  
jg.  00091238  
jh.  00091493  
ji.  00092071  
jj.  00093043  
jk.  00093045  
jl.  00093046  
jm.  00093083  
jn.  00093542  
jo.  00094870  
jp.  00095299  
jq.  00095334  
jr.  00095506  
js.  00096334  
jt.  00096368  
ju.  00096635  
jv.  00097399  
jw.  00097411  
jx.  00099201  
jy.  00099296  
jz.  00099303  
ka.  00099357  
kb.  00099520  
kc.  00100286  
kd.  00100353  
ke.  00100379  
kf.  00100385  
kg.  00100401  
kh.  00100422  
ki.  00100555  
kj.  00100556  
kk.  00100579  
kl.  00100580  
km.  00100698  
kn.  00100699  
ko.  00101158  
kp.  00101775  
kq.  00101943
























































kr.  00101990  
ks.  00102036  
kt.  00102053  
ku.  00102054  
kv.  00102105  
kw.  00102482  
kx.  00102484  
ky.  00102529  
kz.  00102531  
la.  00102535  
lb.  00102537  
lc.  00102541  
ld.  00102558  
le.  00102764  
lf.  00102765  
lg.  00102766  
lh.  00102779  
li.  00102843  
lj.  00102855  
lk.  00102857  
ll.  00102908  
lm.  00102915  
ln.  00102943  
lo.  00102969  
lp.  00102970  
lq.  00102973  
lr.  00102974  
ls.  00103035  
lt.  00103074  
lu.  00103206  
lv.  00103212  
lw.  00103773  
lx.  00103931  
ly.  00103949  
lz.  00104003  
ma.  00104009  
mb.  00104022  
mc.  00104024  
md.  00104025  
me.  00104027  
mf.  00104028  
mg.  00104189  
mh.  00104509  
mi.  00104513  
mj.  00104555  
mk.  00104569  
ml.  00104824  
mm.  00104860  
mn.  00105196  
mo.  00105691  
mp.  00105693  
mq.  00105694  
mr.  00105735  
ms.  00106134  
mt.  00107630
























































mu.  00107644  
mv.  00107646  
mw.  00109019  
mx.  00109026  
my.  00109047  
mz.  00109348  
na.  00109643  
nb.  00109647  
nc.  00109725  
nd.  00109836  
ne.  00110120  
nf.  00110127  
ng.  00110129  
nh.  00110205  
ni.  00110207  
nj.  00110208  
nk.  00110254  
nl.  00110323  
nm.  00110351  
nn.  00110440  
no.  00110503  
np.  00110518  
nq.  00110586  
nr.  00110615  
ns.  00110858  
nt.  00110864  
nu.  00111152  
nv.  00111611  
nw.  00111766  
nx.  00111774  
ny.  00111834  
nz.  00112251  
oa.  00112258  
ob.  00112279  
oc.  00112612  
od.  00113151  
oe.  00113152  
of.  00113291  
og.  00113298  
oh.  00113300  
oi.  00113376  
oj.  00113378  
ok.  00113379  
ol.  00113425  
om.  00113494  
on.  00113522  
oo.  00113600  
op.  00113663  
oq.  00113678  
or.  00113744  
os.  00113772  
ot.  00113937  
ou.  00114316  
ov.  00114334  
ow.  00114829


- ox.  00114830
- oy.  00114834
- oz.  00114835
- pa.  00115013
- pb.  00115018
- pc.  00115087
- pd.  00115092
- pe.  00115096
- pf.  00115178
- pg.  00115230
- ph.  00115235
- pi.  00115318
- pj.  00115378
- pk.  00115397
- pl.  00115535
- pm.  00115540
- pn.  00115673
- po.  00115690
- pp.  00115699
- pq.  00115731
- pr.  00115805
- ps.  00115810
- pt.  00115812
- pu.  00115817
- pv.  00145192
- pw.  00145193
- px.  00145542
- py.  00145543
- pz.  00145544
- qa.  00145545
- qb.  00145546
- qc.  00145547
- qd.  00151887
- h.  2010-07-29 Production- Disk # 499
  - 1.  CITI-FCIC-e 1144583
  - 2.  CITI-FCIC-e 1144594
  - 3.  CITI-FCIC-e 1144595
- i.  2010-08-17 Production- Disk # 554
  - 1.  CITI-FCIC-LEH 00151888-00151983
- j.  2010-09-26 Production- Disk # 653
  - 1.  CITI-FCIC 00110379-00112160
- k.  2010-10-14 Production- Disk # 701
  - 1.  CITI-FCIC 00112161
  - 2.  CITI-FCIC 00122231
  - 3.  CITI-FCIC 00122232
- l.  2010-12-13 Production- Disk # 745
  - 1.  CITI-FCIC 00122233-00122331
  - 2.  CITI-FCIC 00122332-00122825
  - 3.  CITI-FCIC 00122826-00123433
- 11.  Clayton Holdings
  - a.  2010-07-07 Production
    - 1.  Disk # 443
      - a.  CLAY 0083909- CLAY 0085512
        - 1.  CBA- CSC 359
          - a.  CLAY-0083909\_1ExceptionDetail04-04
          - b.  CLAY-0083910\_APR\_ORIGINATOR04-04
          - c.  CLAY-0083911\_DTI Variance Report
























































- d.  CLAY-0083912\_MAC\_UPLOAD4-4-06
- e.  CLAY-0083913\_THE IVAN REPORT04-04
- f.  CLAY-0083914\_1ExceptionDetail04-04
- g.  CLAY-0083915\_DTI Variance Report
- h.  CLAY-0083916\_MAC\_UPLOAD4-4-06
- i.  CLAY-0083917\_APR\_Originator04-05
- j.  CLAY-0083918\_Daily Summary and reports
- k.  CLAY-0083919\_DTI Variance Report04-05
- l.  CLAY-0083920\_ExceptionDetail04-05
- m.  CLAY-0083921\_The Ivan Report04-05
- n.  CLAY-0083922\_APR\_Originator04-06
- o.  CLAY-0083923\_CSC359 Daily Reports and Summary
- p.  CLAY-0083924\_DTI\_VARIANCE04-06
- q.  CLAY-0083925\_ExceptionDetail04-06
- r.  CLAY-0083926\_The Ivan Report 04-06
- s.  CLAY-0083927\_APR\_Originator04-07
- t.  CLAY-0083928\_CSC 359 Daily reports and Summary
- u.  CLAY-0083929\_DTI Variance 04-07
- v.  CLAY-0083930\_ExceptionDetail
- w.  CLAY-0083931\_Ivan Report04-07
- x.  CLAY-0083932\_APR\_Originator04-08
- y.  CLAY-0083933\_CSC359 Reports and Summary
- z.  CLAY-0083934\_DTI Variance 04-08
- aa.  CLAY-0083935\_ExceptionDetail20060408
- ab.  CLAY-0083936\_The Ivan Report 04-08
- ac.  CLAY-0083937\_APR\_Originator04-09
- ad.  CLAY-0083938\_CSC359 Daily reports and Summary
- ae.  CLAY-0083939\_DTI\_VARIANCE04-09
- af.  CLAY-0083940\_ExceptionDetail04-09
- ag.  CLAY-0083941\_The Ivan Report 04-09
- ah.  CLAY-0083942\_APR\_Originator 04-10
- ai.  CLAY-0083943\_CSC359 Daily Summary and reports
- aj.  CLAY-0083944\_DTI Variance 04-10
- ak.  CLAY-0083945\_ExceptionDetail04-10
- al.  CLAY-0083946\_The Ivan Report 04-10
- am.  CLAY-0083947\_APR\_Originator04-11
- an.  CLAY-0083948\_CSC359 Daily report and summary
- ao.  CLAY-0083949\_DTI Variance04-11
- ap.  CLAY-0083950\_ExceptionDetail04-11
- aq.  CLAY-0083951\_The Ivan Report 04-11
- ar.  CLAY-0083952\_APR\_Originator 04-12
- as.  CLAY-0083953\_DTI Variance 04-12
- at.  CLAY-0083954\_ExceptionDetail04-12
- au.  CLAY-0083955\_Stand Alone 2nds 04-12
- av.  CLAY-0083956\_The Ivan Report 04-12
- aw.  CLAY-0083957\_APR\_Originator04-13
- ax.  CLAY-0083958\_CSC 359 Daily summary and reports
- ay.  CLAY-0083959\_DTI\_VARIANCE04-13
- az.  CLAY-0083960\_ExceptionDetail04-13
- ba.  CLAY-0083961\_Stand Alone 2nds 04-13
- bb.  CLAY-0083962\_The Ivan Report 04-13
- bc.  CLAY-0083963\_APR\_Originator04-14
- bd.  CLAY-0083964\_DTI Variance04-14
- be.  CLAY-0083965\_ExceptionDetail04-14
- bf.  CLAY-0083966\_Stand Alone 2nds 04-14






























































- bg.  CLAY-0083967\_The Ivan Report 04-14
- bh.  CLAY-0083968\_ExceptionDetail
- bi.  CLAY-0083969\_CBA CSC359 Exception Detail 4-18-06A
- bj.  CLAY-0083970\_CBA CSC359 Exception Detail 4-18-06A\_VLOOKUP2
- bk.  CLAY-0083971\_CBA CSC359 Exception Detail 4-18-06B REPORT TO CLIENT  
615PM
- bl.  CLAY-0083972\_CSC259 overrides
- bm.  CLAY-0083973\_ExceptionDetail client overrides CSC359 4-18-06
- bn.  CLAY-0083974\_CSC359 ExceptionDetail 4-20-06
- bo.  CLAY-0083975\_CSC359 MAC\_UPLOAD 4-20-06
- bp.  CLAY-0083976\_ExceptionDetail
- bq.  CLAY-0083977\_MAC\_UPLOAD
- br.  CLAY-0083978\_CSC359 Exception Detail Report 4-21-06 130PM
- bs.  CLAY-0083979\_MAC\_UPLOAD
- bt.  CLAY-0083980\_CSC359 Exception Detail Report 4-21-06 615PM
- bu.  CLAY-0083981\_DTI Variance Report CSC359 4-21-06
- bv.  CLAY-0083982\_MAC\_UPLOAD
- bw.  CLAY-0083983\_CSC359 Exception Detail Report 10AM 4-26-06
- bx.  CLAY-0083984\_MAC\_UPLOAD
- by.  CLAY-0083985\_CSC359 Exception Detail Report 11AM 4-26-06
- bz.  CLAY-0083986\_MAC\_UPLOAD
- ca.  CLAY-0083987\_CSC359 Exception Detail Report 615PM 4-27-06
- cb.  CLAY-0083988\_MAC\_UPLOAD
- cc.  CLAY-0083989\_CSC359 Exception Detail Report 5-1-06 530PM
- cd.  CLAY-0083990\_CSC359 Exception Detail Report 5-4-06 930AM
- ce.  CLAY-0083991\_CSC359 Exception Detail Report 5-9-06
- cf.  CLAY-0083992\_MAC\_UPLOAD
- cg.  CLAY-0083993\_CBA359 Exception Detail Report 5-10-06
- ch.  CLAY-0083994\_CSC359 Exception Detail Report 4PM 5-10-06
- ci.  CLAY-0083995\_CSC359 Exception Detail Report 5-11-06
- cj.  CLAY-0083996\_CSC359 Exception Detail Report 5-12-06
- ck.  CLAY-0083997\_CSC359 MAC Upload 5-12-06
- cl.  CLAY-0083998\_CSC359 Exception Detail Report 5-15-06
- cm.  CLAY-0083999\_CSC359 Exception Detail Report 5-16-06
- cn.  CLAY-0084000\_CSC359 MAC Upload 5-16-06
- co.  CLAY-0084001\_CSC359\_Reconciliation\_SecondFunding\_Revised\_051606
- cp.  CLAY-0084002\_CSC359 Exception Detail Report 5-16-06 PM
- cq.  CLAY-0084003\_CSC359 MAC Upload 5-16-06 PM
- cr.  CLAY-0084004\_CSC359 Exception Detail Report 71 Loans 5-18-06
- cs.  CLAY-0084005\_CSC359 Exception Detail Report Full Pool 5-18-06
- ct.  CLAY-0084006\_CSC359 MAC Upload 5-18-06
- cu.  CLAY-0084007\_CSC359 Exception Detail Report 5-19-06
- cv.  CLAY-0084008\_CSC359 MAC Upload 5-19-06
- cw.  CLAY-0084009\_CSC359 Exception Detail Report 5-19-06Updated
- cx.  CLAY-0084010\_CSC359 MAC Upload 5-19-06 Updated
- cy.  CLAY-0084011\_ExceptionDetail
- cz.  CLAY-0084012\_CSC359 Full Data Upload - Part A
- da.  CLAY-0084013\_CSC359 Full Data Upload - Part B
- db.  CLAY-0084014\_CSC359 Full Data Upload - Part C
- dc.  CLAY-0084015\_CSC359 Full Data Upload
- dd.  CLAY-0084016\_ExceptionDetail
- de.  CLAY-0084017\_ExceptionDetail20061116
- df.  CLAY-0084018\_CSC359 Exception Detail Report 20061130
- 2.  CBA- OMM 101
  - a.  CLAY-0085253\_ExceptionDetail\_4\_11\_06
  - b.  CLAY-0085254\_ExceptionDetail\_4\_11b\_06

- c.  CLAY-0085255\_ExceptionDetail\_4\_12\_06
  - d.  CLAY-0085256\_Re CBassOMM101 daily report\_04\_12\_06
  - e.  CLAY-0085257\_ExceptionDetail\_4\_13\_06
  - f.  CLAY-0085258\_Re CBassOMM101 daily report 04\_13\_06
  - g.  CLAY-0085259\_LLDC20060413\_Incmp
  - h.  CLAY-0085260\_LoanLevelDC20060413
  - i.  CLAY-0085470\_ExceptionDetail\_4\_14\_06
  - j.  CLAY-0085471\_Re CbassOMM101 final daily report\_04\_14\_06
  - k.  CLAY-0085472\_CBA\_OMM101 Exception Detail 4\_17\_06 600PM
  - l.  CLAY-0085473\_ExceptionDetail
  - m.  CLAY-0085474\_OMM101 Exception Detail Report 4-18-06 645PM Overrides Completed
  - n.  CLAY-0085475\_CBA OMM 101 Exception Detail Report 4-19-06 545PM
  - o.  CLAY-0085476\_MAC\_UPLOAD
  - p.  CLAY-0085477\_OMM101 Exception Detail Report 4-20-06 7PM
  - q.  CLAY-0085478\_ExceptionDetail
  - r.  CLAY-0085479\_MAC\_UPLOAD
  - s.  CLAY-0085480\_MAC\_UPLOAD
  - t.  CLAY-0085481\_OMM101 Exception Detail Report 220PM 4-25-06
  - u.  CLAY-0085482\_CBA\_OMM101\_Exception Detail 4\_26\_06 400PM
  - v.  CLAY-0085483\_ExceptionDetail
  - w.  CLAY-0085484\_MAC\_UPLOAD
  - x.  CLAY-0085485\_OMM101 Exception Detail Report 4-26-06 6PM
  - y.  CLAY-0085486\_MAC\_UPLOAD
  - z.  CLAY-0085487\_OMM101 Exception Detail Report 4-26-06 840AM
  - aa.  CLAY-0085488\_MAC\_UPLOAD
  - ab.  CLAY-0085489\_OMM101 Exception Detail Report 550PM 4-27-06
  - ac.  CLAY-0085490\_MAC\_UPLOAD
  - ad.  CLAY-0085491\_OMM101 Exception Detail Report 615PM 4-28-06
  - ae.  CLAY-0085492\_OMM101 Exception Detail Report 5-1-06 540PM
  - af.  CLAY-0085493\_OMM101 Exception Detail Report 5-2-06 12PM
  - ag.  CLAY-0085494\_MAC\_UPLOAD 5-2-06 5PM
  - ah.  CLAY-0085495\_OMM101 Exception Detail Report 5PM 5-2-06
  - ai.  CLAY-0085496\_OMM101 Exception Detail Report 5-3-06
  - aj.  CLAY-0085497\_MAC\_UPLOAD OMM101 5-4-06 545PM Final
  - ak.  CLAY-0085498\_OMM101 Exception Detail Report 5-4-06 545PM Final
  - al.  CLAY-0085499\_MAC\_UPLOAD OMM101 4PM 5-4-06
  - am.  CLAY-0085500\_OMM101 Exception Detail Report 4PM 5-4-06
  - an.  CLAY-0085501\_OMM101 Exception Detail Report 5-11-06
  - ao.  CLAY-0085502\_OMM101 MAC Upload 5-11-06
  - ap.  CLAY-0085503\_OMM101 Exception Detail Report 5-17-06
  - aq.  CLAY-0085504\_OMM101 MAC Upload 5-17-06
  - ar.  CLAY-0085505\_OMM101 Exception Detail Report 5-19-06
  - as.  CLAY-0085506\_OMM101 MAC Upload 5-19-06
  - at.  CLAY-0085507\_OMM101 Exception Detail Report 5-22-06
  - au.  CLAY-0085508\_OMM101 MAC Upload 5-22-06
  - av.  CLAY-0085509\_OMM101 Exception Detail Report 5-22-06 630PM
  - aw.  CLAY-0085510\_OMM101 MAC Upload 5-22-06 630PM
  - ax.  CLAY-0085511\_MAC\_UPLOAD
  - ay.  CLAY-0085512\_OMM101 Full Data Upload
3.  CBA-CSC 362A & B
- a.  CLAY-0084019\_APR Originator 5-2-06
  - b.  CLAY-0084020\_CSC 362 Exception Detail Report 5-2-06
  - c.  CLAY-0084021\_DTI Variance 5-2-06
  - d.  CLAY-0084022\_Ivan Report 5-2-06
  - e.  CLAY-0084023\_MAC\_UPLOAD
























- f.  CLAY-0084024\_Stand Alone 2nds 5-2-06
- g.  CLAY-0084025\_APR Originator 5-3-06
- h.  CLAY-0084026\_CSC362 Exception Detail Report 5-3-06 9AM
- i.  CLAY-0084027\_DTI Variance Report 5-3-06
- j.  CLAY-0084028\_IASRPT20060503 CSC362
- k.  CLAY-0085103\_Ivan Report 5-3-06
- l.  CLAY-0085104\_Stand Alone 2nds Report 5-3-06
- m.  CLAY-0085105\_APR Originator CSC362 5-4-06
- n.  CLAY-0085106\_CSC362 Exception Detail Report 5-4-06 9AM
- o.  CLAY-0085107\_DTI Variance Report CSC362 5-4-06
- p.  CLAY-0085108\_Ivan Report CSC362 5-4-06
- q.  CLAY-0085109\_Stand Alone 2nds Report CSC362 5-4-06
- r.  CLAY-0085110\_APR Originator Report CSC362 5-5-06
- s.  CLAY-0085111\_CSC362 Exception Detail Report 5-5-06
- t.  CLAY-0085112\_DTI Variance Report CSC362 5-5-06
- u.  CLAY-0085113\_Ivan Report CSC362 5-5-06
- v.  CLAY-0085114\_Loan #'s Box #'s Report CSC362 5-5-06
- w.  CLAY-0085115\_Stand Alone 2nds Report CSC362 5-5-06
- x.  CLAY-0085116\_APR Originator Report CSC362 5-8-06
- y.  CLAY-0085117\_CSC362 Exception Detail Report 5-8-06
- z.  CLAY-0085118\_DTI Variance Report CSC362 5-8-06
- aa.  CLAY-0085119\_Ivan Report CSC362 5-8-06
- ab.  CLAY-0085120\_Loan # & Box # Report CSC362 5-8-06
- ac.  CLAY-0085121\_Stand Alone 2nds Report CSC362 5-8-06
- ad.  CLAY-0085122\_APR Originator Report CSC362 5-8-06 Second Set
- ae.  CLAY-0085123\_CSC362 Exception Detail Report 5-8-06 Second Set
- af.  CLAY-0085124\_DTI Variance Report CSC362 5-8-06 Second Set
- ag.  CLAY-0085125\_Ivan Report CSC362 5-8-06 Second Set
- ah.  CLAY-0085126\_Loan # & Box # Report CSC362 5-8-06 Second Set
- ai.  CLAY-0085127\_QC Status CSC362
- aj.  CLAY-0085128\_Stand Alone 2nds Report CSC362 5-8-06 Second Set
- ak.  CLAY-0085129\_APR Originator Report 5-9-06 CSC362
- al.  CLAY-0085130\_CSC362 5-9-06CBASS Overrides-Pends
- am.  CLAY-0085131\_CSC362 Exception Detail Report 5-9-06
- an.  CLAY-0085132\_DTI Variance Report CSC362 5-9-06
- ao.  CLAY-0085133\_Ivan Report CSC362 5-9-06
- ap.  CLAY-0085134\_Loan # & Box # Report CSC362 5-9-06
- aq.  CLAY-0085135\_QC status CSC362
- ar.  CLAY-0085136\_Stand Alone 2nds Report CSC362 5-9-06
- as.  CLAY-0085137\_APR Originator Report CSC362 5-10-06
- at.  CLAY-0085138\_CSC362 Exception Detail Report 5-10-06
- au.  CLAY-0085139\_DTI Variance Report CSC362 5-10-06
- av.  CLAY-0085140\_Ivan Report CSC362 5-10-06
- aw.  CLAY-0085141\_Loan # & Box # Report CSC362 5-10-06
- ax.  CLAY-0085142\_Stand Alone 2nds Report CSC362 5-10-06
- ay.  CLAY-0085143\_APR Originator Report CSC362 5-11-06
- az.  CLAY-0085144\_CSC362 Exception Detail Report 5-11-06
- ba.  CLAY-0085145\_DTI Variance Report CSC362 5-11-06
- bb.  CLAY-0085146\_Ivan Report CSC362 5-11-06
- bc.  CLAY-0085147\_Loan # & Box # Report CSC362 5-11-06
- bd.  CLAY-0085148\_Pool Number QC Sign-Off CSC362 5-11-06
- be.  CLAY-0085149\_Stand Alone 2nds Report CSC362 5-11-06
- bf.  CLAY-0085150\_ExceptionDetail
- bg.  CLAY-0085151\_MAC\_UPLOAD
- bh.  CLAY-0085152\_APR Originator Report CSC362 5-11-06

























































- bi.  CLAY-0085153\_CSC362 Exception Detail Report 5-12-06
- bj.  CLAY-0085154\_DTI Variance Report CSC362 5-11-06
- bk.  CLAY-0085155\_Ivan Report CSC362 5-11-06
- bl.  CLAY-0085156\_Loan # & Box # Report CSC362 5-11-06
- bm.  CLAY-0085157\_QC Pool Status
- bn.  CLAY-0085158\_Stand Alone 2nds Report CSC362 5-11-06
- bo.  CLAY-0085159\_APR Originator Report CSC362 5-12-06 End of Day
- bp.  CLAY-0085160\_CSC362 Exception Detail Report 5-12-06 End of Day
- bq.  CLAY-0085161\_DTI Variance Report CSC362 5-12-06 End of Day
- br.  CLAY-0085162\_Ivan Report CSC362 5-12-06 End of Day
- bs.  CLAY-0085163\_Loan # & Box # Report CSC362 5-12-06 End of Day
- bt.  CLAY-0085164\_Pool Number QC Sign Off CSC362 5-12-06 End of Day
- bu.  CLAY-0085165\_Stand Alone 2nds Report CSC362 5-12-06 End of Day
- bv.  CLAY-0085166\_CSC362 Exception Detail Report 5-14-06
- bw.  CLAY-0085167\_APR Originator Report CSC362 5-15-06
- bx.  CLAY-0085168\_CSC362 Exception Detail Report 5-15-06
- by.  CLAY-0085169\_CSC362 MAC\_UPLOAD 5-15-06
- bz.  CLAY-0085170\_DTI Variance Report CSC362 5-15-06
- ca.  CLAY-0085171\_Ivan Report CSC362 5-15-06
- cb.  CLAY-0085172\_Loan # & Box # Report CSC362 5-15-06
- cc.  CLAY-0085173\_QC Sign Off Report CSC362 5-15-06
- cd.  CLAY-0085174\_Stand Alone 2nds Report CSC362 5-15-06
- ce.  CLAY-0085175\_CSC362 Exception Detail Report 5-15-06 PM
- cf.  CLAY-0085176\_ExceptionDetail
- cg.  CLAY-0085177\_QC\_LIST
- ch.  CLAY-0085178\_APR Originator Report CSC362 5-16-06
- ci.  CLAY-0085179\_CSC362 Exception Detail Report 5-16-06
- cj.  CLAY-0085180\_CSC362 MAC Upload 5-16-06
- ck.  CLAY-0085181\_DTI Variance Report CSC362 5-16-06
- cl.  CLAY-0085182\_Ivan Report CSC362 5-16-06
- cm.  CLAY-0085183\_Loan # & Box # Report CSC362 5-16-06
- cn.  CLAY-0085184\_Pool Number QC Status CSC362 5-16-06
- co.  CLAY-0085185\_Stand Alone 2nds Report CSC362 5-16-06
- cp.  CLAY-0085186\_ExceptionDetail
- cq.  CLAY-0085187\_APR Originator Report CSC362 5-17-06 AM
- cr.  CLAY-0085188\_CSC362 Exception Detail Report 5-17-06 AM
- cs.  CLAY-0085189\_CSC362 MAC Upload 5-17-06 AM
- ct.  CLAY-0085190\_DTI Variance Report CSC362 5-17-06 AM
- cu.  CLAY-0085191\_Ivan Report CSC362 5-17-06 AM
- cv.  CLAY-0085192\_Loan # & Box # Report CSC362 5-17-06 AM
- cw.  CLAY-0085193\_Pool Number QC Sign Off CSC362 5-17-06 AM
- cx.  CLAY-0085194\_Stand Alone 2nds Report CSC362 5-17-06 AM
- cy.  CLAY-0085195\_APR Originator Report CSC362 5-18-06
- cz.  CLAY-0085196\_CSC362 Exception Detail Report 5-18-06
- da.  CLAY-0085197\_CSC362 MAC Upload 5-18-06
- db.  CLAY-0085198\_DTI Variance Report CSC362 5-18-06
- dc.  CLAY-0085199\_Ivan Report CSC362 5-18-06
- dd.  CLAY-0085200\_Loan # & Box # Report CSC362 5-18-06
- de.  CLAY-0085201\_Pool Number QC Sign Off Report CSC362 5-18-06
- df.  CLAY-0085202\_Stand Alone 2nds Report CSC362 5-18-06
- dg.  CLAY-0085203\_APR Originator Report CSC362 5-19-06
- dh.  CLAY-0085204\_CSC262 MAC Upload 5-19-06
- di.  CLAY-0085205\_CSC362 Exception Detail Report 5-19-06
- dj.  CLAY-0085206\_DTI Variance Report CSC362 5-19-06
- dk.  CLAY-0085207\_Ivan Report CSC362 5-19-06

- dl.  CLAY-0085208\_Loan # & Box # Report CSC362 5-19-06
  - dm.  CLAY-0085209\_Pool Number QC Sign Off Report CSC362 5-19-06
  - dn.  CLAY-0085210\_Stand Alone 2nds Report CSC362 5-19-06
  - do.  CLAY-0085211\_APR Originator Report CSC362 5-22-06
  - dp.  CLAY-0085212\_CSC362 Exception Detail Report 5-22-06
  - dq.  CLAY-0085213\_DTI Variance Report CSC362 5-22-06
  - dr.  CLAY-0085214\_Ivan Report CSC362 5-22-06
  - ds.  CLAY-0085215\_Loan # & Box # Report CSC362 5-22-06
  - dt.  CLAY-0085216\_Stand Alone 2nds Report CSC362 5-22-06
  - du.  CLAY-0085217\_APR Originator Report CSC362 5-23-06
  - dv.  CLAY-0085218\_CSC362 Exception Detail Report 5-23-06
  - dw.  CLAY-0085219\_CSC362 MAC Upload 5-23-06
  - dx.  CLAY-0085220\_DTI Variance Report CSC362 5-23-06
  - dy.  CLAY-0085221\_Ivan Report CSC362 5-23-06
  - dz.  CLAY-0085222\_Loan # & Box # Report CSC362 5-23-06
  - ea.  CLAY-0085223\_Pool Number QC Sign Off CSC362 5-23-06
  - eb.  CLAY-0085224\_Stand Alone 2nds Report CSC362 5-23-06
  - ec.  CLAY-0085225\_APR Originator Test CSC362 5-24-06
  - ed.  CLAY-0085226\_CSC362 Exception Detail Report 5-24-06
  - ee.  CLAY-0085227\_CSC362 MAC Upload 5-24-06
  - ef.  CLAY-0085228\_DTI Variance Report CSC362 5-24-06
  - eg.  CLAY-0085229\_Ivan Report CSC362 5-24-06
  - eh.  CLAY-0085230\_Loan # & Box # Report CSC362 5-24-06
  - ei.  CLAY-0085231\_Stand Alone 2nds Report CSC362 5-24-06
  - ej.  CLAY-0085232\_ExceptionDetail20060525
  - ek.  CLAY-0085233\_MAC\_UPLOAD20060525
  - el.  CLAY-0085234\_APR Originator Report CSC362 5-31-06
  - em.  CLAY-0085235\_CSC362 Exception Detail Report 5-31-06
  - en.  CLAY-0085236\_CSC362 MAC Upload 5-31-06
  - eo.  CLAY-0085237\_DTI Variance Report CSC362 5-31-06
  - ep.  CLAY-0085238\_Ivan Report CSC362 5-31-06
  - eq.  CLAY-0085239\_Loan # & Box # Report CSC362 5-31-06
  - er.  CLAY-0085240\_Lost Y's CSC362 5-31-06
  - es.  CLAY-0085241\_Stand Alone 2nds Report CSC362 5-31-06
  - et.  CLAY-0085242\_CSC362 Exception Detail Report 6-1-06
  - eu.  CLAY-0085243\_CSC362 MAC Upload 6-1-06
  - ev.  CLAY-0085244\_CSC362 Exception Detail Report 6-23-06
  - ew.  CLAY-0085245\_CSC362 MAC Upload 6-27-06
  - ex.  CLAY-0085246\_CSWC362 Exception Detail Report 6-27-06
  - ey.  CLAY-0085247\_CSC362 Full Data Upload - Part A
  - ez.  CLAY-0085248\_CSC362 Full Data Upload - Part B
  - fa.  CLAY-0085249\_CSC362 Full Data Upload - Part C
  - fb.  CLAY-0085250\_CSC362 Full Data Upload
  - fc.  CLAY-0085251\_CSC 362 Excep Detail 11.16.06
  - fd.  CLAY-0085252\_ExceptionDetail
- b.  CLAY 0085513- CLAY 0134582
- 1.  GREW AMQ 0508
    - a.  CLAY-0086123\_8.10.05\_AMQ 2nds 0508\_Event 3 Loans
    - b.  CLAY-0086258\_8.10.05\_AMQ 2nds 0508\_Exception Detail
    - c.  CLAY-0086259\_8.10.05\_AMQ 2nds 0508\_Non-Event 3 Loans
    - d.  CLAY-0086260\_8.11.05\_AMQ 2nds 0508\_Event 3 Loans
    - e.  CLAY-0086379\_8.11.05\_AMQ 2nds 0508\_Exception Detail
    - f.  CLAY-0086380\_8.11.05\_AMQ 2nds 0508\_Non-Event 3 Loans
    - g.  CLAY-0086381\_8.12.05\_AMQ 2nds 0508\_Event 3 Loans
    - h.  CLAY-0086452\_8.12.05\_AMQ 2nds 0508\_Exception Detail
    - i.  CLAY-0086453\_8.12.05\_AMQ 2nds 0508\_Non-Event 3 Loans

- j.  CLAY-0086454\_8.16.05\_AMQ 2nds 0508\_Event 3 Loans
- k.  CLAY-0086519\_8.16.05\_AMQ 2nds 0508\_Exception Detail
- l.  CLAY-0086520\_8.16.05\_AMQ 2nds 0508\_Non-Event 3 Loans
- m.  CLAY-0086521\_8.17.05\_AMQ 2nds 0508\_Event 3 Loans
- n.  CLAY-0086575\_8.17.05\_AMQ 2nds 0508\_Exception Detail
- o.  CLAY-0086576\_8.17.05\_AMQ 2nds 0508\_Non-Event 3 Loans
- p.  CLAY-0086577\_8.19.05\_AMQ 2nds 0508\_Event 3 Loans
- q.  CLAY-0086627\_8.19.05\_AMQ 2nds 0508\_Exception Detail
- r.  CLAY-0086628\_8.19.05\_AMQ 2nds 0508\_Non-Event 3 Loans
- s.  CLAY-0086629\_8.2.05\_AMQ 2nds 0508\_Preliminary Event 3 Loans
- t.  CLAY-0086704\_8.2.05\_AMQ 2nds 0508\_Preliminary Exception Detail
- u.  CLAY-0086705\_8.2.05\_AMQ 2nds 0508\_Preliminary Non-Event 3 Loans
- v.  CLAY-0086706\_8.22.05\_AMQ 2nds 0508\_Event 3 Loans
- w.  CLAY-0086746\_8.22.05\_AMQ 2nds 0508\_Exception Detail
- x.  CLAY-0086747\_8.22.05\_AMQ 2nds 0508\_Non-Event 3 Loans
- y.  CLAY-0086748\_8.23.05\_AMQ 2nds 0508\_Event 3 Loans
- z.  CLAY-0086778\_8.23.05\_AMQ 2nds 0508\_Exception Detail
- aa.  CLAY-0086779\_8.23.05\_AMQ 2nds 0508\_Non-Event 3 Loans
- ab.  CLAY-0086780\_8.3.05\_AMQ 2nds 0508\_Preliminary Event 3 Loans
- ac.  CLAY-0086912\_8.3.05\_AMQ 2nds 0508\_Preliminary Exception Detail
- ad.  CLAY-0086913\_8.3.05\_AMQ 2nds 0508\_Preliminary Non-Event 3 Loans
- ae.  CLAY-0086914\_8.4.05\_AMQ 2nds 0508\_Event 3 Loans
- af.  CLAY-0087112\_8.4.05\_AMQ 2nds 0508\_Exception Detail
- ag.  CLAY-0087113\_8.4.05\_AMQ 2nds 0508\_Non-Event 3 Loans
- ah.  CLAY-0087114\_8.5.05\_AMQ 2nds 0508\_Event 3 Loans
- ai.  CLAY-0087312\_8.5.05\_AMQ 2nds 0508\_Exception Detail
- aj.  CLAY-0087313\_8.8.05\_AMQ 2nds 0508\_Event 3 Loans
- ak.  CLAY-0087478\_8.8.05\_AMQ 2nds 0508\_Exception Detail
- al.  CLAY-0087479\_8.8.05\_AMQ 2nds 0508\_Non-Event 3 Loans
- am.  CLAY-0087480\_20050818\_EventStatus
- an.  CLAY-0087481\_ExceptionDetail20050818

























































2.  GREW AMQ 0508 B

























































- a.  CLAY-0085513\_08-30-05 AMQ WL 0508 B Event 3 Loans
- b.  CLAY-0085591\_08-30-05 AMQ WL 0508 B Exception Detail
- c.  CLAY-0085592\_08-30-05 AMQ WL 0508 B Non-Event 3 DetlList
- d.  CLAY-0085593\_8.23.05\_AMQ WL 0508 B\_Preliminary Event 3 Loans
- e.  CLAY-0085672\_8.23.05\_AMQ WL 0508 B\_Preliminary Exception Detail
- f.  CLAY-0085673\_8.23.05\_AMQ WL 0508 B\_Preliminary Non-Event 3 Loans
- g.  CLAY-0085674\_8.24.05\_AMQ WL 0508 B\_Preliminary Event 3 Loans
- h.  CLAY-0085809\_8.24.05\_AMQ WL 0508 B\_Preliminary Exception Detail
- i.  CLAY-0085810\_8.24.05\_AMQ WL 0508 B\_Preliminary Non-Event 3 Loans
- j.  CLAY-0085811\_8.26.05\_AMQ WL 0508 B\_Event 3 Loans
- k.  CLAY-0085966\_8.26.05\_AMQ WL 0508 B\_Exception Detail
- l.  CLAY-0085967\_8.26.05\_AMQ WL 0508 B\_Non-Event 3 Loans
- m.  CLAY-0085968\_8.31.05\_AMQ WL 0508 B\_Event 3 Loans
- n.  CLAY-0086019\_8.31.05\_AMQ WL 0508 B\_Exception Detail
- o.  CLAY-0086020\_8.31.05\_AMQ WL 0508 B\_Non-Event 3 Loans
- p.  CLAY-0086021\_9.1.05\_AMQ WL 0508 B\_Event 3 Loans
- q.  CLAY-0086064\_9.1.05\_AMQ WL 0508 B\_Exception Detail
- r.  CLAY-0086065\_9.1.05\_AMQ WL 0508 B\_Non-Event 3 Loans
- s.  CLAY-0086066\_9.6.05\_AMQ WL 0508 B\_Event 3 Loans
- t.  CLAY-0086096\_9.6.05\_AMQ WL 0508 B\_Exception Detail
- u.  CLAY-0086097\_9.6.05\_AMQ WL 0508 B\_Final Event 3 Loans
- v.  CLAY-0086120\_9.6.05\_AMQ WL 0508 B\_Final Exception Detail
- w.  CLAY-0086121\_9.6.05\_AMQ WL 0508 B\_Final Non-Event 3 Loans

























































- x.  CLAY-0086122\_9.6.05\_AMQ WL 0508 B\_Non-Event 3 Loans
- 3.  GREW FREMONT 0508
  - a.  CLAY-0087482\_9.12.05\_FRE 2nds 0508\_Event 3 Loans
  - b.  CLAY-0087569\_9.12.05\_FRE 2nds 0508\_ExceptionDetail
  - c.  CLAY-0087570\_9.13.05\_FRE 2nds 0508\_Event 3 Loans
  - d.  CLAY-0087649\_9.13.05\_FRE 2nds 0508\_ExceptionDetail
  - e.  CLAY-0087650\_9.6.05\_FRE 2nds 0508\_Event 3 Loans
  - f.  CLAY-0087747\_9.6.05\_FRE 2nds 0508\_ExceptionDetail
  - g.  CLAY-0087748\_9.7.05\_FRE 2nds 0508\_Event 3 Loans
  - h.  CLAY-0087840\_9.8.05\_FRE 2nds 0508\_Event 3 Loans
  - i.  CLAY-0087928\_9.8.05\_FRE 2nds 0508\_ExceptionDetail
  - j.  CLAY-0087929\_20050830\_EventStatus
  - k.  CLAY-0087930\_ExceptionDetail20050830
  - l.  CLAY-0087931\_IASCRCM320050830
- 4.  GREW NC 0509
  - a.  CLAY-0088605\_10.17.05\_NCEN 2nds 0509\_ExceptionDetail
  - b.  CLAY-0088606\_10.19.05\_NCEN 2nd Liens 0509\_Event 3 Loans
  - c.  CLAY-0088654\_10.3.05\_NCEN 2nd Liens 0509\_Event 3 Loans
  - d.  CLAY-0088713\_9.13.05\_NCEN 2nd Liens 0509\_Event 3 Loans
  - e.  CLAY-0088808\_9.13.05\_NCEN 2nds 0509\_ExceptionDetail
  - f.  CLAY-0088809\_9.14.05\_NCEN 2nd Liens 0509\_Event 3 Loans
  - g.  CLAY-0088877\_9.14.05\_NCEN 2nds 0509\_ExceptionDetail
  - h.  CLAY-0088878\_ExceptionDetail20050907
  - i.  CLAY-0088879\_ExceptionDetail20051012
  - j.  CLAY-0088880\_IASCRCM320051012
- 5.  LEH, AMQ 2005-4
  - a.  CLAY-0089767\_Credit2List
  - b.  CLAY-0089768\_Event 3 List
  - c.  CLAY-0089769\_IASCRCM320050714
  - d.  CLAY-0090760\_AMQ5-4 1's and 2's
  - e.  CLAY-0090761\_Credit2List
  - f.  CLAY-0090762\_Event 3 List
  - g.  CLAY-0090763\_IASCRCM320050715
  - h.  CLAY-0091604\_IASCREV120050715
  - i.  CLAY-0092185\_IASCREV220050715
  - j.  CLAY-0092358\_LLDC20050715\_Incmp
  - k.  CLAY-0092359\_LoanLevelDC20050715
  - l.  CLAY-0092551\_IASCRCM320050718
  - m.  CLAY-0093317\_Event 3 List
  - n.  CLAY-0093318\_Event 3 List
  - o.  CLAY-0093319\_IASCRCM320050719
  - p.  CLAY-0093787\_LLDC20050719\_Incmp
  - q.  CLAY-0093788\_LoanLevelDC20050719
  - r.  CLAY-0093980\_Event 3 List
  - s.  CLAY-0093981\_Event 3 List
  - t.  CLAY-0093982\_IASCRCM320050722
  - u.  CLAY-0094269\_LLDC20050722\_Incmp
  - v.  CLAY-0094270\_LoanLevelDC20050722
  - w.  CLAY-0094462\_Event 3 List
  - x.  CLAY-0094463\_Event 3 List
  - y.  CLAY-0094464\_Event 3 List
  - z.  CLAY-0094465\_fees\_report\_20050725
  - aa.  CLAY-0094466\_Final Event 3 List
  - ab.  CLAY-0094467\_Frequency20050725
  - ac.  CLAY-0094506\_IAS Credit or Compliance Event 3s
  - ad.  CLAY-0094784\_Narrative- Ameriquet 2005-4

























































- ae. CLAY-0094789\_SuperSummary20050725
- af. CLAY-0094793\_TapeCompareField20050725
- ag. CLAY-0094801\_Upload
- ah. CLAY-0094802\_VBook Ameriquet 2005-4
- ai. CLAY-0094803\_fees\_report\_20050725
- aj. CLAY-0094804\_Final Event 3 List
- ak. CLAY-0094805\_Frequency20050725
- al. CLAY-0094844\_IAS Credit or Compliance Event 3s
- am. CLAY-0095122\_Narrative- Ameriquet 2005-4
- an. CLAY-0095127\_SuperSummary20050725
- ao. CLAY-0095131\_TapeCompareField20050725
- ap. CLAY-0095139\_Upload
- aq. CLAY-0095140\_fees\_report\_20050725
- ar. CLAY-0095141\_Final Event 3 List
- as. CLAY-0095142\_Frequency20050725
- at. CLAY-0095181\_IAS Credit or Compliance Event 3s
- au. CLAY-0095459\_Narrative- Ameriquet 2005-4
- av. CLAY-0095464\_SuperSummary20050725
- aw. CLAY-0095468\_TapeCompareField20050725
- ax. CLAY-0095476\_Upload
- c. CLAY 0134583- CLAY 0134598
  - 1. First NLC 2006-09 DEU
    - a. Final Reports Delivered to Client
      - 1. CLAY-0134583\_Exh 1 - First NLC \$150MM 09-29-06 Summary Results
      - 2. CLAY-0134584\_Exh 3 - First NLC \$150MM 09-29-06 - Data Discrepancies
      - 3. CLAY-0134585\_Exh 4 - First NLC \$150MM 09-29-06 - Final Rejects
      - 4. CLAY-0134586\_First NLC \$150MM 09-29-06 - Street Solutions Upload
  - 2. First Street 2006-09 DEU
    - a. Final Reports Delivered to Client
      - 1. CLAY-0134587\_Exh 1 - First Street \$26MM 09-22-06 - Summary Results
      - 2. CLAY-0134588\_Exh 3 - First St \$26MM 9-22-06 - Data Discrepancies
      - 3. CLAY-0134589\_Exh 4 - First St \$26MM 9-22-06 - Final Rejects
      - 4. CLAY-0134590\_First St \$26MM 9-22-06 - Street Solutions Upload
  - 3. Impac 2006-10 DEU
    - a. Final Reports Delivered to Client
      - 1. CLAY-0134591\_Exh 1 - Impac \$90MM 10-26-06 Summary Results
      - 2. CLAY-0134592\_Exh 3 - Impac \$90MM 10-26-06 - Data Discrepancies
      - 3. CLAY-0134593\_Exh 4 - Impac \$90MM 10-26-06 - Final Rejects
      - 4. CLAY-0134594\_Impac \$90MM 10-26-06 - Street Solutions
  - 4. Lime Financial 2006-10 DEU
    - a. Final Reports Delievered to Client
      - 1. CLAY-0134595\_Exh 1 - Lime \$50MM 10-31-06 Summary Results
      - 2. CLAY-0134596\_Exh 3 - Lime \$50MM 10-31-06 - Data Discrepancies
      - 3. CLAY-0134597\_Exh 4 - Lime \$50MM 10-31-06 - Final Rejects
      - 4. CLAY-0134598\_Lime \$50MM 10-31-06 - Street Solutions Upload
- d. NYAG Lehman Indy Mac Production
  - 1. INDY MAC 2004-03
    - a. fees\_report
    - b. frequency report
    - c. ias - credit 2 (non-compliance 3)
    - d. ias - credit or compliance 3
    - e. narrative - indymac 2004-3
    - f. super summary
    - g. tape comparison report
    - h. upload
  - 2. INDY MAC 2005-1B
    - a. fees\_report\_20050520



















































































































- b.  Final Event 3 List
- c.  Frequency20050520
- d.  IAS Credit or Compliance Event 3s
- e.  Narrative- Indymac 2005-1B
- f.  SuperSummary20050520
- g.  TapeCompareField20050520
- h.  Upload
- 3.  INDY MAC 2005-2
  - a.  fees\_report\_20051110
  - b.  Final Event 3 List
  - c.  Frequency20051110
  - d.  IAS Credit 1s
  - e.  IAS Credit 2s
  - f.  IAS Credit or Compliance Event 3s
  - g.  Narrative - Indy Mac 2005-2
  - h.  SuperSummary20051110
  - i.  TapeCompareField20051110
  - j.  Upload
- 4.  INDY MAC 2005-3A
  - a.  fees\_report\_20051223
  - b.  Final Event 3 List
  - c.  Frequency20051223
  - d.  IAS Credit 1s
  - e.  IAS Credit 2s
  - f.  IAS Credit or Compliance 3s
  - g.  Narrative- Indy Mac 2005-3A
  - h.  SuperSummary20051223
  - i.  TapeCompareField20051223
  - j.  Upload
- 5.  INDY MAC 2005-4
  - a.  fees\_report\_20060105
  - b.  Final Event 3 List
  - c.  Frequency20060105
  - d.  IAS Credit 1s
  - e.  IAS Credit 2s
  - f.  IAS Credit or Compliance Event 3s
  - g.  Narrative - Indy Mac 2005-4
  - h.  SuperSummary20060105
  - i.  TapeCompareField20060105
  - j.  Upload
- 6.  INDY MAC 2005-5
  - a.  fees\_report\_20060131
  - b.  Final Event 3 List
  - c.  Frequency20060131
  - d.  IAS Credit 1s
  - e.  IAS Credit 2s
  - f.  IAS Credit or Compliance Event 3s
  - g.  Narrative - Indy Mac 2005-5
  - h.  SuperSummary20060131
  - i.  TapeCompareField20060131
  - j.  Upload
- 7.  INDY MAC 2005-L3
  - a.  fees\_report\_20051219
  - b.  Final Event 3 List
  - c.  Frequency20051219
  - d.  IAS Credit 2 with compliance event 1 or 2

























































- e.  IAS Credit or Compliance grade 3
  - f.  Indy Mac 2005\_L3 Narrative
  - g.  Narrative- Indy Mac 2005\_L3 Narrative
  - h.  SuperSummary20051219
  - i.  TapeCompareField20051219
  - j.  Upload
8.  INDY MAC 2006 07 LEHMAN
- a.  fees\_report\_20061031
  - b.  Final Event3List
  - c.  Frequency20061031
  - d.  IAS Credit 1s
  - e.  IAS Credit 2s PDF
  - f.  IASCredit or Compliance Event 3s PDF
  - g.  Narrative- Indy Mac 2006-12
  - h.  SuperSummary20061101
  - i.  TapeCompareField20061031
  - j.  Upload
9.  INDY MAC 2006 08 LEH
- a.  fees\_report\_20060821
  - b.  Final Event 3 List
  - c.  Frequency20060821
  - d.  IAS Credit 1s
  - e.  IAS Credit 2s
  - f.  IAS Credit or Compliance Event 3s
  - g.  Narrative- Indy Mac 2006-14
  - h.  SuperSummary20050418
  - i.  TapeCompareField20060821
  - j.  Upload
10.  INDY MAC 2006 08C LEH
- a.  fees\_report\_20060831
  - b.  Final Event 3 List
  - c.  Frequency20060831
  - d.  IAS Credit 1s
  - e.  IAS Credit 2s
  - f.  IAS Credit or Compliance Event 3s
  - g.  Narrative- Indy Mac 2006-15
  - h.  SuperSummary20060831
  - i.  TapeCompareField20060901
  - j.  Upload
11.  INDY MAC 2006 09 LEH
- a.  fees\_report\_20061102
  - b.  Final Event 3 List
  - c.  Frequency20061102
  - d.  IAS Credit 1s
  - e.  IAS Credit 2s
  - f.  IAS Credit or Compliance Event 3s
  - g.  Narrative- Indy Mac 2006-3 Helco
  - h.  SuperSummary20061102
  - i.  TapeCompareField20061102
  - j.  Upload
12.  INDY MAC 2006 09B LEH
- a.  fees\_report\_20061128
  - b.  Final Event 3 List
  - c.  Frequency20061128
  - d.  IAS Credit 1s
  - e.  IAS Credit 2s

























































- f.  IAS Credit or Compliance Event 3s
  - g.  Narrative- Indy Mac 2006-20
  - h.  SuperSummary20061128
  - i.  TapeCompareField20061128
  - j.  Upload
13.  INDY MAC 2006 09C LEH
- a.  fees\_report\_20061129
  - b.  Final Event 3 List
  - c.  Frequency20061129
  - d.  IAS Credit 1s
  - e.  IAS Credit 2s
  - f.  IAS Credit or Compliance Event 3s
  - g.  Narrative- Indy Mac 2006-21
  - h.  SuperSummary20061129
  - i.  TapeCompareField20061129
  - j.  Upload
14.  INDY MAC 2006 09D LEH
- a.  fees\_report\_20061124
  - b.  Final Event 3 List
  - c.  Frequency20061128
  - d.  IAS Credit 1s
  - e.  IAS Credit 2s
  - f.  IAS Credit or Compliance Event 3s
  - g.  Narrative- Indy Mac 2006-23
  - h.  SuperSummary20061124
  - i.  TapeCompareField20061128
  - j.  Upload
15.  INDY MAC 2006-08C LEH
- a.  fees\_report\_20060904
  - b.  Final Event 3 List
  - c.  Frequency20060904
  - d.  IAS Credit 1s
  - e.  IAS Credit 2
  - f.  IAS Credit or Compliance Event 3s
  - g.  Narrative-Indy Mac 2006-16
  - h.  SuperSummary20060904
  - i.  TapeCompareField20060904
  - j.  Upload
16.  INDY MAC 2006-08D LEH
- a.  fees\_report\_20060907
  - b.  Final Event 3 List
  - c.  Frequency20060907
  - d.  IAS Credit 1s
  - e.  IAS Credit 2s
  - f.  IAS Credit or Compliance Event 3s
  - g.  Narrative- Indy Mac 2006-17
  - h.  SuperSummary20060907
  - i.  TapeCompareField20060907
  - j.  Upload
17.  INDY MAC 2006-1
- a.  fees\_report\_20060215
  - b.  Final Event 3 List
  - c.  Frequency20060215
  - d.  IAS Credit 1s
  - e.  IAS Credit 2s
  - f.  IAS Credit or Compliance Event 3s

- g.  Narrative-Indy Mac 2006-1
  - h.  SuperSummary20060215
  - i.  TapeCompareField20060215
  - j.  Upload
18.  INDY MAC 2006-10
- a.  fees\_report\_20060607
  - b.  Final Event 3 List
  - c.  Frequency20060607
  - d.  IAS Credit 1s
  - e.  IAS Credit 2s
  - f.  IAS Credit or Compliance Event 3s
  - g.  Narrative- Indy Mac 2006-10
  - h.  SuperSummary20060607
  - i.  TapeCompareField20060607
  - j.  Upload
19.  INDY MAC 2006-11 LEH
- a.  ExceptionDetail20061227
  - b.  fees\_report\_20061227
  - c.  IASRCM320061227
  - d.  IASCREV120061227
  - e.  IASCREV220061227
  - f.  INDY MAC 2006-4H Report as of 12 13 06\_QC'd - BB
  - g.  LLDC20061227\_Incmp
  - h.  LoanLevelDC20061227
  - i.  Narrative
  - j.  Narrative.doc
  - k.  SuperSummary20061227
  - l.  TapeCompareField20061227
  - m.  Upload
20.  INDY MAC 2006-11 LEHMAN
- a.  fees\_report\_20060713
  - b.  Final Event 3 List
  - c.  Frequency20060713
  - d.  IAS Credit 1s
  - e.  IAS Credit 2s
  - f.  IAS Credit or Compliance Event 3s
  - g.  Narrative- Indy Mac 2006-11
  - h.  SuperSummary20050418
  - i.  TapeCompareField20060714
  - j.  Upload
21.  INDY MAC 2006-11A LEH
- a.  ExceptionDetail20061227\_08
  - b.  fees\_report\_20061227
  - c.  Final Event 3 List
  - d.  IAS Credit or Compliance 1
  - e.  IAS Credit or Compliance 2
  - f.  IAS Credit or Compliance 3
  - g.  Narrative
  - h.  Narrative.doc
  - i.  SuperSummary20061227
  - j.  TapeCompareField20061227
  - k.  Updupdate Indymac 2006-L4 12
  - l.  Updupdate Indymac 2006-L4 12.13.06
  - m.  Upload
22.  INDY MAC 2006-12B
- a.  fees\_report\_20070118

























































- b.  Final Event 3 List
  - c.  Frequency20070118
  - d.  IAS Credit 1s
  - e.  IAS Credit 2s
  - f.  IAS Credit or Compliance Event 3s
  - g.  Narrative- Indy Mac 2006-AR
  - h.  SuperSummary20070118
  - i.  TapeCompareField20070118
  - j.  Upload
23.  INDY MAC 2006-12C LEH
- a.  fees\_report\_20070124
  - b.  Final Event 3 List
  - c.  Frequency20070124
  - d.  IAS Credit 1s
  - e.  IAS Credit 2s
  - f.  IAS Credit or Compliance Event 3s
  - g.  Narrative- Indy Mac 2006-27
  - h.  SuperSummary20070124
  - i.  TapeCompareField20070124
  - j.  Upload
24.  INDY MAC 2006-13 LEH
- a.  fees\_report\_20060814
  - b.  Final Event 3 List
  - c.  Frequency20060814
  - d.  IAS Credit 1s
  - e.  IAS Credit 2s
  - f.  IAS Credit or Compliance Event 3s
  - g.  Narrative- Indy Mac 2006-13
  - h.  SuperSummary20060814
  - i.  TapeCompareField20060814
  - j.  Upload
25.  INDY MAC 2006-2
- a.  fees\_report\_20060324
  - b.  Final Event 3 List
  - c.  Frequency20060324
  - d.  IAS Credit 1s
  - e.  IAS Credit 2s
  - f.  IAS Credit or Compliance Event 3s
  - g.  Narrative-Indy Mac 2006-2
  - h.  SuperSummary20060324
  - i.  TapeCompareField20060324
  - j.  Upload
26.  INDY MAC 2006-3
- a.  fees\_report\_20060315
  - b.  Final Event 3 List
  - c.  Frequency20060315
  - d.  IAS Credit 1s
  - e.  IAS Credit 2s
  - f.  IAS Credit or Compliance Event 3s
  - g.  Narrative- Indy Mac 2006-3
  - h.  SuperSummary20050418
  - i.  TapeCompareField20060315
  - j.  Upload
27.  INDY MAC 2006-4
- a.  fees\_report\_20060404
  - b.  Final Event 3 List





















































- c.  Frequency20060404
- d.  IAS Credit 1s
- e.  IAS Credit 2s
- f.  IAS Credit or Compliance Event 3s
- g.  Narrative-Indy Mac 2006-4
- h.  SuperSummary20060404
- i.  TapeCompareField20060404
- j.  Upload
- 28.  INDY MAC 2006-5
  - a.  fees\_report\_20060411
  - b.  Final Event 3 List
  - c.  Frequency20060411
  - d.  IAS Credit 1s
  - e.  IAS Credit 2s
  - f.  IAS Credit or Compliance Event 3s
  - g.  Narrative- Indy Mac 2006-5
  - h.  SuperSummary20060411
  - i.  TapeCompareField20060411
  - j.  Upload
- 29.  INDY MAC 2006-6
  - a.  fees\_report\_20060411
  - b.  Final Event 3 List
  - c.  Frequency20060411
  - d.  IAS Credit 1s
  - e.  IAS Credit 2s
  - f.  IAS Credit or Compliance Event 3s
  - g.  Narrative- Indy Mac 2006-6
  - h.  SuperSummary20060411
  - i.  TapeCompareField20060411
  - j.  Upload
- 30.  INDY MAC 2006-6 HELOC Lehman
  - a.  fees\_report\_20060815
  - b.  Final Event 3 List
  - c.  Frequency20060815
  - d.  IAS Credit 1s
  - e.  IAS Credit 2s
  - f.  IAS Credit or Compliance Event 3s
  - g.  Narrative- Indy Mac 2006-H2
  - h.  SuperSummary20060815
  - i.  TapeCompareField20060815
  - j.  Upload
- 31.  INDY MAC 2006-8
  - a.  fees\_report\_20060531
  - b.  Final Event 3 List
  - c.  Frequency20060531
  - d.  IAS Credit 1s
  - e.  IAS Credit 2s
  - f.  IAS Credit or Compliance Event 3s
  - g.  Narrative- Indy Mac 2006-WL-8
  - h.  SuperSummary20060531
  - i.  TapeCompareField20060531
  - j.  Upload
- 32.  INDY MAC 2006-B
  - a.  fees\_report\_20060327
  - b.  Final Event 3 List
  - c.  Frequency20060327



















































- d.  IAS Credit 1s
  - e.  IAS Credit 2s
  - f.  IAS Credit or Compliance Event 3s
  - g.  Narrative-Indy Mac 2006-B
  - h.  SuperSummary20060327
  - i.  TapeCompareField20060327
  - j.  Upload
33.  INDY MAC 2006-H1
- a.  fees\_report\_20060418
  - b.  Final Event 3 List
  - c.  Frequency20060418
  - d.  IAS Credit 1s
  - e.  IAS Credit 2s
  - f.  IAS Credit or Compliance Event 3s
  - g.  Narrative- Indy Mac 2006-1H
  - h.  SuperSummary20060418
  - i.  TapeCompareField20060418
  - j.  Upload
34.  INDY MAC 2006-WL-9
- a.  fees\_report\_20060612
  - b.  Final Event 3 List
  - c.  Frequency20060612
  - d.  IAS Credit 1s
  - e.  IAS Credit 2s
  - f.  IAS Credit or Compliance Event 3s
  - g.  Narrative- Indy Mac 2006-WL-9
  - h.  SuperSummary20060612
  - i.  TapeCompareField20060612
  - j.  Upload
35.  INDY MAC 2007-1 LEH
- a.  fees\_report\_20070130
  - b.  Final Event 3 List
  - c.  Frequency20070130
  - d.  IAS Credit 1s
  - e.  IAS Credit 2s
  - f.  IAS Credit or Compliance Event 3s
  - g.  Narrative- Indy Mac 2007-1
  - h.  SuperSummary20070130
  - i.  TapeCompareField20070130
  - j.  Upload
36.  INDY MAC 2007-1B LEH
- a.  fees\_report\_20070212
  - b.  Final Event 3 List
  - c.  Frequency20070212
  - d.  IAS Credit 1s
  - e.  IAS Credit 2s
  - f.  IAS Credit or Compliance Event 3s
  - g.  Narrative - Indy Mac 2007-2
  - h.  SuperSummary20070212
  - i.  TapeCompareField20070212
  - j.  Upload
37.  INDY MAC 2007-2 HELOC LEH
- a.  fees\_report\_20070321
  - b.  Final Event 3 List
  - c.  Frequency20070321
  - d.  IAS Credit 1s

- e.  IAS Credit 2s
  - f.  IAS Credit or Compliance Event 3s
  - g.  Narrarive- Indy Mac 2007-1H
  - h.  SuperSummary20070321
  - i.  TapeCompareField20070321
  - j.  Upload
38.  INDY MAC 2007-3 LEH
- a.  fees\_report\_20070402
  - b.  Final Event3List-20070402
  - c.  Frequency20070402
  - d.  IAS Credit 1s
  - e.  IAS Credit 2s
  - f.  IAS Credit or Compliance Event 3s
  - g.  Narrative- Indy Mac 2007-5
  - h.  SuperSummary20070402
  - i.  TapeCompareField20070402
  - j.  Upload
39.  INDY MAC RAST 6-A5CB
- a.  Final Event 3 List
  - b.  Frequency20060501
  - c.  IAS Credit 1s
  - d.  IAS Credit 2s
  - e.  IAS Credit or Compliance Event 3s
  - f.  Narrative- Indy Mac RAST 2006-A5CB
  - g.  SuperSummary20050418
  - h.  TapeCompareField20060501
  - i.  Upload
40.  INDY RAST 2005-AR14
- a.  fees\_report\_20051024
  - b.  Final Event 3 List
  - c.  Frequency20051024
  - d.  IAS Credit or Compliance Event 3s
  - e.  Narrative - Indy Mac RAST 2005-AR14
  - f.  SuperSummary20051024
  - g.  TapeCompareField20051024
  - h.  Upload
41.  INDYMAC 0401
- a.  Pool 3 02-03-04
    - 1.  fees\_report
    - 2.  Frequency Report
    - 3.  IAS - Credit 2 (Non-Compliance 3)
    - 4.  IAS - Credit or Compliance 3
    - 5.  IndyMac 2003-3
    - 6.  Narrative - IndyMac 2003-3
    - 7.  Super Summary
    - 8.  Tape Comparison Report
    - 9.  Upload
  - b.  Pool 4 02-03-04
    - 1.  fees\_report
    - 2.  Frequency Report
    - 3.  IAS - Credit 2(Non-Compliance 3)
    - 4.  IAS - Credit or Compliance 3
    - 5.  IndyMac 2003-4
    - 6.  Narrative - IndyMac 2003-4
    - 7.  Super Summary
    - 8.  Tape Comparison Report




























































- 9.  Upload
- c.  Pool 5 02-03-04
  - 1.  fees\_report
  - 2.  Frequency Report
  - 3.  IAS - Credit 2 (Non-Compliance 3)
  - 4.  IAS - Credit or Compliance 3
  - 5.  IndyMac 2003-5
  - 6.  Narrative - IndyMac 2003-5
  - 7.  Super Summary
  - 8.  Tape Comparison Report
  - 9.  Upload
- 42.  INDYMAC 2004-1
  - a.  fees\_report
  - b.  frequency report
  - c.  ias - credit 2 (non compliance 3)
  - d.  ias - credit or compliance 3
  - e.  indymac 2004-1a
  - f.  narrative - indymac 2004-1a
  - g.  super summary
  - h.  tape comparison report
  - i.  upload
- 43.  INDYMAC 2004-2
  - a.  fees report. xls
  - b.  frequency report
  - c.  ias credit 2 (non- compliance 3)
  - d.  ias- credit or compliance 3
  - e.  narrative- indymac 2004-2
  - f.  super summary
  - g.  tape comparison frequency report
  - h.  upload.xls
- 44.  INDYMAC 2004-2 SEC
  - a.  rejectlist
- 45.  INDYMAC 2004-3
  - a.  fees\_report
  - b.  frequency report
  - c.  ias - credit 2 (non-compliance 3)
  - d.  ias - credit or compliance 3
  - e.  narrative - indymac 2004-3
  - f.  super summary
  - g.  tape comparison report
  - h.  upload
- 46.  INDYMAC 2004-3 SEC
  - a.  indy 2004-3h reject as of 12-14-04
- 47.  INDYMAC 2004-4
  - a.  exceptiondetail
  - b.  fees\_report\_20050110
  - c.  frequency report
  - d.  ias credit or compliance event 3s
  - e.  narrative - indymac 2004-4 \_2\_
  - f.  super summary
  - g.  tape comparison report
  - h.  upload
- 48.  INDYMAC 2005-1
  - a.  fees\_report\_20050519
  - b.  Final Event 3 List
  - c.  Frequency20050519














































- d.  IAS Credit or Compliance Event 3s
  - e.  Narrative- IndyMac2005-1
  - f.  SuperSummary20050519
  - g.  TapeCompareField20050519
  - h.  Upload
49.  INDYMAC 2005-AR17
- a.  fees\_report\_20050727
  - b.  Final Event 3 List
  - c.  Frequency20050727
  - d.  IAS Credit or Compliance Event 3s
  - e.  Narrative- IndyMac 2005-AR17
  - f.  SuperSummary20050727
  - g.  TapeCompareField20050727
  - h.  Upload
50.  INDYMAC 2007-5 Lehman
- a.  DataFrequency Indymac-2007-8
  - b.  fees\_report\_IndyMac 2007-8
  - c.  IndyMac 2007-8 Final EV 3 List 05252007
  - d.  IndyMac 2007-8\_IAS\_Credit\_or\_Compliance\_3\_05
  - e.  IndyMac 2007-8\_IAS\_Credit1\_05
  - f.  IndyMac 2007-8\_IAS\_Credit2\_05
  - g.  Narrative IndyMac 2007-8
  - h.  SuperSummary-Indymac 2007-8
  - i.  Upload-Indymac 2007-8
51.  INDYMAC RAST2005-A7
- a.  Event 3 List
  - b.  fees\_report\_20050627
  - c.  Frequency20050627
  - d.  IAS Credit or Compliance Event 3s
  - e.  SuperSummary20050627
  - f.  TapeCompareField20050627
  - g.  Upload
- e.  Trending Reports Top 15 Clients
- 1.  Bear Stearns - EMC
    - a.  Bear EMC V2 - Category Report
    - b.  Bear EMC V2 - Executive Summary 08.20.07
    - c.  Bear EMC V2 - Rejects & Waivers
  - 2.  CBASS- Reports Not Delivered to Client
    - a.  CBASS V2 - Category Report
    - b.  CBASS V2 - Executive Summary
    - c.  CBASS V2 - Rejects & Waivers
  - 3.  Countrywide- Reports Not Delivered to Client
    - a.  Countrywide V2 - Category Report
    - b.  Countrywide V2 - Executive Summary
    - c.  Countrywide V2 - Rejects & Waivers
  - 4.  Credit Suisse
    - a.  1. CSFB - Top 5 Quarter Trending Report - 20070425
    - b.  1A. CSFB - Top 5 sellers - 2006 quarterly Reject and Waiver Trending Report - 20070425
    - c.  2. CSFB - Decision One (Seller #1) Quarter Trending Report - 20070425
    - d.  3. CSFB - Accredited Home (Seller #2) Quarter Trending Report - 20070425
    - e.  4. CSFB - Taylor Bean (Seller #3) Quarter Trending Report - 20070425
    - f.  5. CSFB - Ownit Mortgage (Seller #4) Quarter Trending Report - 20070425
    - g.  6. CSFB - R& G Financial (Seller #5) Quarter Trending Report - 20070425
    - h.  7. CSFB - All Others Quarter Trending Report - 20070425
    - i.  8. CSFB - 2006 Quarterly Reject Exception Categories Trending Report - all loans - 20070425

























































- j.  9. CSFB - 2006 Quarterly Waiver Exception Categories Trending Report - all loans - 20070425
      - k.  CS - Final Executive Summary 7-25-07
- 5.  Deutsche Bank
  - a.  Categories Report\_09-18-07
  - b.  Deutsche Executive Summary\_08-13-07
  - c.  Rejects & Waivers Report\_09-18-07
  - d.  Updated Deutsche Executive Summary (with definitions)\_09-28-07
- 6.  Goldman
  - a.  1. GS - Top 5 sellers - 2006 quarterly Reject and Waiver Trending Report - 20070412
  - b.  1A. GS - Top 5 Quarter Trending Report - 20070412
  - c.  2. GS - Fremont (Seller #1) Quarter Trending Report - 20070412
  - d.  3. GS - First Franklin (Seller #2) Quarter Trending Report - 20070412
  - e.  4. GS - IndyMac (Seller #3) Quarter Trending Report - 20070412
  - f.  5. GS - RFC (Seller #4) Quarter Trending Report - 20070412
  - g.  6. GS - New Century (Seller #5) Quarter Trending Report - 20070412
  - h.  7. GS - All Others Quarter Trending Report - 20070412
  - i.  8. GS - 2006 Quarterly Reject Exception Categories Trending Report - all loans - 20070412
  - j.  9. GS - 2006 Quarterly Waiver Exception Categories Trending Report - all loans - 20070412
  - k.  Goldman V2 - Executive Summary 6 6 07
- 7.  Greenwich Capital
  - a.  Greenwich V2 - Category Report
  - b.  Greenwich V2 - Executive Summary\_08.28.07
  - c.  Greenwich V2 - Rejects & Waivers
- 8.  HSBC
  - a.  HSBCMS V2 - Category Report
  - b.  HSBCMS V2 - Executive Summary
  - c.  HSBCMS V2 - Rejects & Waivers
- 9.  JP Morgan- Reports Not Delivered to Client
  - a.  JPM V2 - Category Report
  - b.  JPM V2 - Executive Summary
  - c.  JPM V2 - Rejects & Waivers
- 10.  Lehman
  - a.  1A. 'LEHMAN' - Top 5 sellers - 2006 quarterly Reject and Waiver Trending Report - 20070417
  - b.  2. 'LEHMAN' - IndyMac (Seller #1) Quarter Trending Report - 20070417
  - c.  3. 'LEHMAN' - Wells Fargo (Seller #2) Quarter Trending Report - 20070417
  - d.  4. 'LEHMAN' - Option One (Seller #3) Quarter Trending Report - 20070417
  - e.  5. 'LEHMAN' - Greenpoint (Seller #4) Quarter Trending Report - 20070417
  - f.  6. 'LEHMAN' - Countrywide (Seller #5) Quarter Trending Report - 20070417
  - g.  7. 'LEHMAN' - All Others Quarter Trending Report - 20070417
  - h.  8. 'LEHMAN' - 2006 Quarterly Reject Exception Categories Trending Report - all loans - 20070417
  - i.  9. 'LEHMAN' - 2006 Quarterly Waiver Exception Categories Trending Report - all loans - 20070417
  - j.  Lehman Executive Summary 7-23-07
- 11.  Merrill Lynch- Reports Not Delivered to Client
  - a.  1. MERRILL - Top 5 sellers - 2006 quarterly Reject and Waiver Trending Report - 20070420
  - b.  1A. MERRILL - Top 5 Quarter Trending Report - 20070420
  - c.  2. MERRILL - ResMAE (Seller #1) Quarter Trending Report - 20070420
  - d.  3. MERRILL - First Franklin (Seller #2) Quarter Trending Report - 20070420
  - e.  4. MERRILL - First NLC (Seller #3) Quarter Trending Report - 20070420
  - f.  5. MERRILL - National City (Seller #4) Quarter Trending Report - 20070420
  - g.  6. MERRILL - OwnIt Mortgage (Seller #5) Quarter Trending Report - 20070420

- h. 7. MERRILL - All Others Quarter Trending Report - 20070420
- i. 8. MERRILL - 2006 Quarterly Reject Exception Categories Trending Report - all loans - 20070420
- j. 9. MERRILL - 2006 Quarterly Waiver Exception Categories Trending Report - all loans - 20070420
- k. Merrill Executive Summary 7-18-07
- l. Supplement - Primary Reject Exceptions by Quarter
- 12. Morgan Stanley
  - a. 1 MORGAN - Top 5 sellers - 2006 quarterly Reject and Waiver Trending Report - 20070328 formatted
  - b. 1a. 'MORGAN' - Top 5 Quarter Trending Report - 20070328
  - c. 2. 'MORGAN' - New Century (Seller #1) Quarter Trending Report - 20070328
  - d. 3. 'MORGAN' - Decision One (Seller #2) Quarter Trending Report - 20070328
  - e. 4. 'MORGAN' - WMC (Seller #3) Quarter Trending Report - 20070328
  - f. 5. 'MORGAN' - First NLC (Seller #4) Quarter Trending Report - 20070328
  - g. 6. 'MORGAN' - Accredited Home (Seller #5) Quarter Trending Report - 20070328
  - h. 7. 'MORGAN' - All Others Quarter Trending Report - 20070328
  - i. 8. MORGAN - 2006 Quarterly Reject Exception Categories Trending Report - all loans - 20070328
  - j. 9. MORGAN - 2006 Quarterly Waiver Exception Categories Trending Report - all loans - 20070328
  - k. Morgan Executive Summary- Trending Reports FNL\_06-14-07\_\_2\_
- 13. Nomura
  - a. 1. NOMURA - Top 5 sellers - 2006 quarterly Reject and Waiver Trending Report - 20070424
  - b. 1A. NOMURA - Top 5 Quarter Trending Report - 20070424
  - c. 2. NOMURA - Pinnacle Financial (Seller #1) Quarter Trending Report - 20070424
  - d. 3. NOMURA - Aegis Mortgage (Seller #2) Quarter Trending Report - 20070424
  - e. 4. NOMURA - First National (Seller #3) Quarter Trending Report - 20070424
  - f. 5. NOMURA - Ownit Mortgage (Seller #4) Quarter Trending Report - 20070424
  - g. 6. NOMURA - Baltimore (Seller #5) Quarter Trending Report - 20070424
  - h. 7. NOMURA - All Others Quarter Trending Report - 20070424
  - i. 8. NOMURA - 2006 Quarterly Reject Exception Categories Trending Report - all loans - 20070424
  - j. 9. NOMURA - 2006 Quarterly Waiver Exception Categories Trending Report - all loans - 20070424
  - k. Nomura Summary
- 14. UBS
  - a. UBS V2 - Category Report
  - b. UBS V2 - Executive Summary
  - c. UBS V2 - Rejects & Waivers
- 15. WaMu
  - a. WAMU ALT-A - Categories Report
  - b. WAMU ALT-A - Executive Summary 10-2-07
  - c. WAMU ALT-A - Rejects & Waivers
  - d. WAMU Subprime - Categories Report
  - e. WAMU Subprime - Executive Summary 10-2-07
  - f. WAMU Subprime - Rejects & Waivers
- 16. All Clayton Trending Handout
- 2. Disk # 444
  - a. CLAY 0000001-0001013
    - 1. Client Contracts
      - a. Bear - EMC
      - b. Countrywide
      - c. Credit Suisse
      - d. Deutsche
      - e. Goldman Sachs
      - f. HSBC - Household

























































- g. JP Morgan
- h. Lehman
- i. Merrill Lynch
- j. Morgan Stanley
- k. Nomura
- l. UBS Warburg
- m. Wamu
- 2. Credit Profiles
  - a. Bear
  - b. C-Bass
  - c. CountryWide
  - d. CSFB
  - e. Deutsche
  - f. Goldman Sachs
  - g. Greenwich
  - h. HSBC
  - i. JPM
  - j. Lehman
  - k. Merrill Lynch
  - l. Morgan Stanley
  - m. Nomura
  - n. UBS
  - o. WAMU subprime
- 3. 2005 Org Charts
- 4. 2006 Org Charts
- 5. 2007 Org Charts
- 6. Client Report Usage
- 7. Comp Plan
- 8. Exception Tracking
- 9. Full Client List
- 10. Info from Seller
- 11. Top 15 Clients
- b. CLAY 0001014- CLAY 0022481
  - 1. Deals Docs Top 5
    - a. Bear- EMC (Sebring- SG0602)
      - 1. Deal Set Up
        - a. Bear-EMC - Compliance Profile
        - b. Bear-EMC - Credit Profile
        - c. Deal Memo
        - d. Deal Stratification Summary
        - e. Guidelines Approval Form-Sebring Capital 041006
        - f. Sebring guideline variance with Bear-EMC 041006
        - g. Sebring Subprime Guidelines
        - h. Sebring\_Full\_Doc\_Matrix
        - i. Sebring\_Stated\_Doc\_Matrix
      - 2. Deal Tape
        - a. DealTape
        - b. DealTape
      - 3. Reports
        - a. DailyReports 05-19-06
          - 1. Sebring (SG0602) Clayton DD Data File 051906
          - 2. Sebring (SG0602) Clayton Due Diligence Issues 051906
          - 3. Sebring (SG0602) IAS 1 Report 051906
          - 4. Sebring (SG0602) IAS 2 Report 051906
          - 5. Sebring (SG0602) IAS 3 Report 051906
          - 6. Sebring (SG0602) Production Report 051906

























































- b.  DailyReports 05-22-06
  - 1.  Sebring (SG0602) Clayton DD Data File 052206
  - 2.  Sebring (SG0602) Clayton Due Diligence Issues 052206
  - 3.  Sebring (SG0602) IAS 1 Report 052206
  - 4.  Sebring (SG0602) IAS 2 Report 052206
  - 5.  Sebring (SG0602) IAS 3 Report 052206
  - 6.  Sebring (SG0602) Production Report 052206
- c.  DailyReports 05-22-06 1
  - 1.  Sebring (SG0602) Clayton DD Data File 052206
  - 2.  Sebring (SG0602) Clayton Due Diligence Issues 052206
  - 3.  Sebring (SG0602) IAS 1 Report 052206
  - 4.  Sebring (SG0602) IAS 2 Report 052206
  - 5.  Sebring (SG0602) IAS 3 Report 052206
  - 6.  Sebring (SG0602) Production Report 052206
- d.  DailyReports 05-22-06 2
  - 1.  Sebring (SG0602) Clayton DD Data File 052206
  - 2.  Sebring (SG0602) Clayton Due Diligence Issues 052206
  - 3.  Sebring (SG0602) IAS 1 Report 052206
  - 4.  Sebring (SG0602) IAS 2 Report 052206
  - 5.  Sebring (SG0602) IAS 3 Report 052206
  - 6.  Sebring (SG0602) Production Report 052206
- e.  DailyReports 05-23-06
  - 1.  Sebring (SG0602) Clayton DD Data File 052306
  - 2.  Sebring (SG0602) Clayton Due Diligence Issues 052306
  - 3.  Sebring (SG0602) IAS 1 Report 052306
  - 4.  Sebring (SG0602) IAS 2 Report 052306
  - 5.  Sebring (SG0602) IAS 3 Report 052306
  - 6.  Sebring (SG0602) Production Report 052306
- f.  DailyReports 05-24-06
  - 1.  Sebring (SG0602) Clayton DD Data File 052406
  - 2.  Sebring (SG0602) Clayton Due Diligence Issues 052406
  - 3.  Sebring (SG0602) IAS 1 Report 052406
  - 4.  Sebring (SG0602) IAS 2 Report 052406
  - 5.  Sebring (SG0602) IAS 3 Report 052406
  - 6.  Sebring (SG0602) Production Report 052406
- g.  xFinal Reports 05-25-06
  - 1.  Sebring (SG0602) Clayton DD Data File 052506
  - 2.  Sebring (SG0602) Clayton Due Diligence Issues 052506
  - 3.  Sebring (SG0602) IAS 1 Report 052506
  - 4.  Sebring (SG0602) IAS 2 Report 052506
  - 5.  Sebring (SG0602) IAS 3 Report 052506
  - 6.  Sebring (SG0602) Production Report 052506
- b.  Deutsche (Lenders direct- LNDR0609)
  - 1.  Deal Set Up
    - a.  1stMortgageUnderwritingGuidelines
    - b.  2ndMortgageUnderwritingGuidelines
    - c.  Deal Memo
    - d.  Deal Stratification Summary
    - e.  Deutsche - compliance profile
    - f.  Deutsche - credit profile
    - g.  Lenders \$33MM 09-05-06 - Bid Sheet to Clayton
    - h.  Scope for Deutsche reviews Sept 06
  - 2.  Deal Tape
    - a.  DealTape
    - b.  Lender's Direct \$33MM 09-05-06 - Collateral Sample
    - c.  Lender's Direct \$33MM 09-05-06 - Payment History Review
    - d.  Lender's Direct \$33MM 09-05-06 -Inspection Order


























































- 3.  Reports
  - a.  DailyReports 08-24-06
    - 1.  LLDC20060824\_Incmp
  - b.  DailyReports 08-31-07
    - 1.  LLDC20060831\_Incmp
  - c.  xFinal Reports 09-01-06
    - 1.  Exh 1 - Lenders \$33MM 9-5-06 Summary Results
    - 2.  Exh 3 - Lenders \$33MM 09-05-06 - Data Discrepancies
    - 3.  Exh 4 - Lenders \$33MM 09-05-06 - Final Rejects
    - 4.  Exh 4 - Lenders \$33MM 09-05-06 - Final Rejects from client
    - 5.  Lenders \$33MM 09-05-06 - Open Issues 8.30.06(Tieout Call)
    - 6.  Lenders \$33MM 09-05-06 - Street Solutions
- c.  Goldman (AAMES- AAM0606A)
  - 1.  Deal Set Up
    - a.  Deal Memo.htm
    - b.  Deal Stratification Summary
    - c.  Goldman - compliance profile
    - d.  Goldman - credit profile
  - 2.  Deal Tape
    - a.  Deal Tape
  - 3.  Reports
    - a.  DailyReports 06-27-06
      - 1.  COMPUPLD
      - 2.  DataFrequency20060627
      - 3.  ExceptionDetail20060627
      - 4.  GSSummary
      - 5.  GSUPLD
      - 6.  IASEV320060627
      - 7.  LLDC20060627\_Incmp
      - 8.  LoanLevelDC20060627
      - 9.  LoanStatus20060627
      - 10.  SuperSummary20060627
      - 11.  TapeCompareField20060627
      - 12.  XLFrequency
      - 13.  XLTapeComp
    - b.  DailyReports 06-28-06 1
      - 1.  COMPUPLD
      - 2.  DataFrequency20060628
      - 3.  ExceptionDetail20060628
      - 4.  GSSummary
      - 5.  GSUPLD
      - 6.  IASEV320060628
      - 7.  LLDC20060628\_Incmp
      - 8.  LoanLevelDC20060628
      - 9.  LoanStatus20060628
      - 10.  SuperSummary20060628
      - 11.  TapeCompareField20060628
      - 12.  XLFrequency
      - 13.  XLTapeComp
    - c.  DailyReports 06-28-06 2
      - 1.  COMPUPLD
      - 2.  DataFrequency20060628
      - 3.  ExceptionDetail20060628
      - 4.  GSSummary
      - 5.  GSUPLD
      - 6.  IASEV320060628
      - 7.  LLDC20060628\_Incmp

- 8.  LoanLevelDC20060628
- 9.  LoanStatus20060628
- 10.  SuperSummary20060628
- 11.  TapeCompareField20060628
- 12.  XLFrequency
- 13.  XLTapeComp
- d.  DailyReports 06-29-06
  - 1.  COMPUPLD
  - 2.  DataFrequency20060629
  - 3.  ExceptionDetail20060629
  - 4.  GSSummary
  - 5.  GSUPLD
  - 6.  IASEV320060629
  - 7.  LLDC20060629\_Incmp
  - 8.  LoanLevelDC20060629
  - 9.  LoanStatus20060629
  - 10.  SuperSummary20060629
  - 11.  TapeCompareField20060629
  - 12.  XLFrequency
  - 13.  XLTapeComp
- e.  DailyReports 07-03-06
  - 1.  ExceptionDetail20060703
  - 2.  IASEV320060703
- f.  DailyReports 07-06-06
  - 1.  COMPUPLD
  - 2.  DataFrequency20060706
  - 3.  ExceptionDetail20060706
  - 4.  GSSummary
  - 5.  GSUPLD
  - 6.  IASEV320060706
  - 7.  LLDC20060706\_Incmp
  - 8.  LoanLevelDC20060706
  - 9.  LoanStatus20060706
  - 10.  SuperSummary20060706
  - 11.  TapeCompareField20060706
  - 12.  XLFrequency
  - 13.  XLTapeComp
- g.  DailyReports 07-11-06
  - 1.  LoanStatus20060711
- h.  xFinal Reports 07-11-06
  - 1.  COMPUPLD
  - 2.  DataFrequency20060711
  - 3.  ExceptionDetail20060711
  - 4.  ExceptionDetail20060711\_03
  - 5.  GSSummary
  - 6.  GSUPLD
  - 7.  IASEV320060711
  - 8.  LLDC20060711\_Incmp
  - 9.  LoanLevelDC20060711
  - 10.  LoanStatus20060711
  - 11.  SuperSummary20060711
  - 12.  TapeCompareField20060711
  - 13.  XLFrequency
  - 14.  XLTapeComp
- d.  Lehman (Option One- OPT6-7)
  - 1.  Deal Set Up












































- a.  Deal Memo
  - b.  Deal Stratification Summary
  - c.  Lehman Brothers - compliance profile
  - d.  Lehman Brothers - credit profile
  - e.  Lehman Deal Packet For Leads
  - f.  Lehman Option One Deal Specific Guidelines
2.  Deal Tape
- a.  Deal Tape
3.  Reports
- a.  DailyReports 06-30-06
    - 1.  Event1& 2List
    - 2.  Event3List
    - 3.  IASCRCM320060630
    - 4.  IASCREV120060630
    - 5.  LLDC20060630\_Incmp
    - 6.  LoanLevelDC20060630
  - b.  DailyReports 07-03-06
    - 1.  Event1& 2List
    - 2.  Event3List
    - 3.  IASCRCM320060703
    - 4.  IASCREV120060703
    - 5.  IASCREV220060703
    - 6.  LLDC20060703\_Incmp
    - 7.  LoanLevelDC20060703
  - c.  DailyReports 07-05-06
    - 1.  Event1& 2List
    - 2.  Event3List
    - 3.  IASCRCM320060705
    - 4.  IASCREV120060705
    - 5.  IASCREV220060705
    - 6.  LLDC20060705\_Incmp
    - 7.  LoanLevelDC20060705
  - d.  DailyReports 07-06-06
    - 1.  Event1& 2List
    - 2.  Event3List
    - 3.  IASCRCM320060706
    - 4.  IASCREV120060706
    - 5.  IASCREV220060706
    - 6.  LLDC20060706\_Incmp
    - 7.  LoanLevelDC20060706
  - e.  DailyReports 07-07-06
    - 1.  Event1& 2List
    - 2.  Event3List
    - 3.  IASCRCM320060707
    - 4.  IASCREV120060707
    - 5.  IASCREV220060707
    - 6.  LLDC20060707\_Incmp
    - 7.  LoanLevelDC20060707
  - f.  DailyReports 07-10-06
    - 1.  Event 1 & 2
    - 2.  Event3
    - 3.  FicoAprCompare
    - 4.  IASCRCM320060710
    - 5.  IASCREV120060710
    - 6.  IASCREV220060710
    - 7.  LLDC20060710\_Incmp
























































- 8.  LoanLevelDC20060710
- g.  DailyReports 07-13-06
  - 1.  Event1& 2List
  - 2.  Event1& 2List.xls
  - 3.  Event3List
  - 4.  Event3List.xls
  - 5.  IASRCRM320060713
  - 6.  IASCREV120060713
  - 7.  IASCREV220060713
  - 8.  LLDC20060713\_Incmp
  - 9.  LoanLevelDC20060713
- h.  DailyReports 07-14-06
  - 1.  Event1& 2List
  - 2.  Event3List
  - 3.  IASRCRM320060714
  - 4.  IASCREV120060714
  - 5.  IASCREV220060714
  - 6.  LLDC20060714\_Incmp
  - 7.  LoanLevelDC20060714
- i.  DailyReports 07-17-06
  - 1.  Event1& 2List
  - 2.  Event3List
  - 3.  IASRCRM320060717
  - 4.  IASCREV120060717
  - 5.  IASCREV220060717
  - 6.  LLDC20060717\_Incmp
  - 7.  LoanLevelDC20060717
- j.  DailyReports 07-18-06
  - 1.  Event1& 2List
  - 2.  Event3List
  - 3.  IASRCRM320060718
  - 4.  IASCREV120060718
  - 5.  IASCREV220060718
  - 6.  LLDC20060718\_Incmp
  - 7.  LoanLevelDC20060718
- k.  DailyReports 07-19-06
  - 1.  Event1& 2List
  - 2.  Event3List
  - 3.  IASRCRM320060719
  - 4.  IASCREV120060719
  - 5.  IASCREV220060719
  - 6.  LLDC20060719\_Incmp
  - 7.  LoanLevelDC20060719
- l.  DailyReports 07-20-06
  - 1.  Event1& 2List
  - 2.  Event3List
  - 3.  IASRCRM320060720
  - 4.  IASCREV120060720
  - 5.  IASCREV220060720
  - 6.  LLDC20060720\_Incmp
  - 7.  LoanLevelDC20060720
- m.  DailyReports 07-24-06
  - 1.  Event1& 2List
  - 2.  Event1& 2List.xls
  - 3.  Event3List
  - 4.  Event3List.xls
























































- 5.  IASRCM320060724
- 6.  IASCREV120060724
- 7.  IASCREV220060724
- 8.  LLDC20060724\_Incmp
- 9.  LoanLevelDC20060724
- n.  DailyReports 07-25-06
  - 1.  Event1& 2List
  - 2.  Event3List
  - 3.  IASRCM320060725
  - 4.  IASCREV120060725
  - 5.  IASCREV220060725
  - 6.  LLDC20060725\_Incmp
  - 7.  LoanLevelDC20060725
- o.  DailyReports 07-26-06
  - 1.  Event1& 2List
  - 2.  Event3List
  - 3.  IASRCM320060726
  - 4.  IASCREV120060726
  - 5.  IASCREV220060726
  - 6.  LLDC20060726\_Incmp
  - 7.  LoanLevelDC20060726
- p.  DailyReports 07-27-06
  - 1.  Event1& 2List
  - 2.  Event3List
  - 3.  IASRCM320060727
  - 4.  IASCREV120060727
  - 5.  IASCREV220060727
  - 6.  LLDC20060727\_Incmp
  - 7.  LoanLevelDC20060727
- q.  DailyReports 08-03-08
  - 1.  ExceptionDetail20060803
  - 2.  IASCREV120060803
  - 3.  IASCREV220060803
- r.  MOODYS\_UPLOAD 08-22-06
  - 1.  LEHMAN\_OPT6-7\_MOODYS
- s.  xFinal Reports (VBook) 08-03-06
  - 1.  fees\_report\_20060803
  - 2.  Final Event 3 List
  - 3.  Frequency20060803
  - 4.  IAS Credit 1s
  - 5.  IAS Credit 2s
  - 6.  IAS Credit or Compliance Event 3s
  - 7.  Narrative-Option One 2006-7
  - 8.  SuperSummary20060803
  - 9.  TapeCompareField20060803
  - 10.  Upload
- e.  Morgan Stanley (Accredited- ACC0608)
  - 1.  Deal Set Up
    - a.  07\_01\_06\_Guidelines
    - b.  Deal Memo
    - c.  Deal Stratification Summary
    - d.  Lead Deal Packet MS (06-27-07)
    - e.  morgan stanley - compliance profile
  - 2.  Deal Tape
    - a.  Deal Tape
    - b.  Deal Tape
  - 3.  Reports

- a. DailyReports 07-19-06
  - 1. allexcp
- b. DailyReports 08-14-06
  - 1. Acc Loan Level Data compare.xls (08-14-06)
  - 2. Accredited Credit Upload (08-14-06)
  - 3. Accredited Detailed Upload (08-14-06)
  - 4. Accredited Event 3's (08-14-06)
  - 5. Accredited Freq Compare (08-14-06).pdf
- c. DailyReports 08-17-06
  - 1. Accredited Credit Upload (08-17-06)
  - 2. Accredited Detailed Upload.XLS (08-17-06)
  - 3. Accredited Event 3's (08-17-06)
  - 4. Detail Upload
- d. DailyReports 08-21-06
  - 1. Acc Loan Level Data Compare.xls (08-21-06)
  - 2. Daily Event3
  - 3. Daily Upload
- e. DailyReports 08-30-06
  - 1. AC19CC~1
  - 2. AC24CC~1
  - 3. AC5161~1
  - 4. AC5734~1
  - 5. AC67C2~1
  - 6. ACCRED~1
  - 7. ACCRED~2
  - 8. ACCRED~3
  - 9. ACCRED~4
  - 10. ACE5A8~1
  - 11. ACE93C~1
  - 12. ACF83C~1
  - 13. Daily Event3 08-25-06
  - 14. DTI60
  - 15. Full Upload
  - 16. HMDA Upload
  - 17. Less 500
  - 18. Short Upload
- f. DailyReports 09-08-06 1
  - 1. ACC August Detailed Upload
  - 2. Detailed Upload
  - 3. Loan\_Disposition
- g. DailyReports 09-08-06 2
  - 1. Daily Event3
  - 2. Daily Upload
- c. CLAY 0022482
  - 1. Clayton Contract Lead UW\_QC\_UW 2004 to Current
- d. CLAY 0022483- CLAY 0022489
  - 1. 6 Clients\_ CONDUIT Deals and UWs\_ TOTAL 2004 to Present
  - 2. 6 Clients\_ CU Deals and UWs\_ TOTAL 2004 to Present
  - 3. 6 Clients\_ FIELD Deals and UWs\_ TOTAL 2004 to Present
  - 4. Client-Preferred Leads\_ Road Deals
  - 5. Client-Preferred Leads\_ Road Deals (3)
  - 6. Morgan Stanley Preferred DD Staff
  - 7. Morgan Stanley Preferred DD Staff (4)
  - 8. Morgan Stanley Scratch and Dent Team
  - 9. Morgan Stanley Scratch and Dent Team (1)
  - 10. Production Log Summary
- e. CLAY 0022490- CLAY 0025998




























1.  Lead Resumes
  - a.  Abaray, Michael
  - b.  Abbott, Jennifer
  - c.  Alailima, Taiyoung
  - d.  Alexander, H Roger
  - e.  Allen, Doug
  - f.  Allen, Thomas
  - g.  Alongi, Robert
  - h.  Alvarez, A Jose
  - i.  Amando, Gwen
  - j.  Andrews, Stephen
  - k.  Aquino, Caroline
  - l.  Baley, M Jennifer
  - m.  Barden, Linda
  - n.  Barham, Mary
  - o.  Barmore, Gary
  - p.  Bartay, Gregory
  - q.  Beal, Grant
  - r.  Beal, Herbert Grant (Trey)
  - s.  Beckius, Cory
  - t.  Beecher, Steve
  - u.  Blair, Eric
  - v.  Borrego, JoAnn
  - w.  Bosman, Richelle
  - x.  Bosman, S Richard
  - y.  Boyer, L Mark
  - z.  Breaux, Deborah
  - aa.  Brichetto, John
  - ab.  Briggs, Annetta
  - ac.  Brummer, Janet
  - ad.  Burt, James
  - ae.  Burt, Melanie
  - af.  Burwin, Jerold
  - ag.  Calderon, Calderon
  - ah.  Calfior, Susan
  - ai.  Callahan, Melody
  - aj.  Callans, Karen
  - ak.  Calloway, Michael
  - al.  Camoia, Paul
  - am.  Campfield, Junie Benjamin
  - an.  Caravetta, Joe
  - ao.  Carr, Tremayne
  - ap.  Cascio III, Victor
  - aq.  Clarkson, James
  - ar.  Claypoole, Sherri
  - as.  Clayton, Kara
  - at.  Clemence, Douglas
  - au.  Cochrane, Peggy
  - av.  Cole, Lana
  - aw.  Collins, J Marcia
  - ax.  Conn, Sherrie
  - ay.  Conway, James
  - az.  Daley, Michelle
  - ba.  Dallman, Paul
  - bb.  Day, C Marshall






























bc.  DeCent, Joyce  
bd.  Dedeaux, Corinne  
be.  DeGood, Andrew  
bf.  DeLaVergne, R Michael  
bg.  Della Pietra, Richard  
bh.  Dickson, Jane Y  
bi.  DiGesare, Matthew  
bj.  Dinsmore, Brian  
bk.  Dooley, C Sharon  
bl.  Dotson, Joyce  
bm.  Dunkin, Rebecca  
bn.  Duplantis, Daniel  
bo.  Durant, Christina  
bp.  Ethun, Stefanie  
bq.  Evans, Lynda  
br.  Evans, M Jocelyn  
bs.  Forgit, Donald  
bt.  Fox, Ralph  
bu.  Frazier, Christopher  
bv.  Freeney, Lori  
bw.  Fricke, James R  
bx.  Frigo, Michelle  
by.  Fullington, O Wayne  
bz.  Gaveglio, Debra  
ca.  Gentry, Kris  
cb.  Gibson, Stacie  
cc.  Giesen III, Bernard  
cd.  Grehalva, Troy  
ce.  Griffin, April  
cf.  Grigbsy, Mike  
cg.  Grimes, Nancy  
ch.  Haack, Michael  
ci.  Hall, Andrew  
cj.  Hall, Nell  
ck.  Hand, Susan  
cl.  Harbaugh, David  
cm.  Harker, Susan  
cn.  Harris, Te'nda  
co.  Harvey, Scott  
cp.  Hastings, Valerie  
cq.  Helin, Marilyn  
cr.  Henderson, Shelley  
cs.  Hewitt, Calvin  
ct.  Higginbotham, Kara  
cu.  Hollingsworth, Jennifer  
cv.  Holtz, Brett  
cw.  Hoven, Doris  
cx.  Hutchinson, John  
cy.  Jajtner, Jim  
cz.  Jakubowski, Richard  
da.  James, A Troy  
db.  Jessup, James  
dc.  Jones, Margaret  
dd.  Kelley, M. Alice  
de.  Kenton, Susanna

df.  Kertzner, Barry  
dg.  Khennavong, James  
dh.  King, Cindy  
di.  Kirkland, Gloria  
dj.  Kluk, Paula Ana  
dk.  Kohlbek, Edmund  
dl.  Kohlbek, Pamela  
dm.  Krauss, Larry  
dn.  Kula, Richard  
do.  Lacy, Timothy  
dp.  Lerma, Manuel  
dq.  Lesnefsky, Linda  
dr.  Longinotti, Joseph  
ds.  Luedtke, Katherine  
dt.  Luttenberger, Jason  
du.  Mack, Jeffrey  
dv.  Mahon, Carol  
dw.  Maitland, William  
dx.  Mango, Joanne  
dy.  Manzur-Peek, Lynda  
dz.  Marcum, Cathy  
ea.  Markham, Jennifer  
eb.  Marston, Karen  
ec.  Martinez, M Theresa  
ed.  Massaro, Sandra  
ee.  Massey, Keenan  
ef.  Matteo, Thomas  
eg.  May, Stephen  
eh.  McCann, Grant  
ei.  McCoy, Michael  
ej.  McCullough Jr, D William  
ek.  McDuell, Janell  
el.  McLean, Vermerica  
em.  McManus, John  
en.  Medina, Deborah  
eo.  Merrill, Prabhawati  
ep.  Metzger, Lee  
eq.  Mixan, Gary  
er.  Moreaux, Paul  
es.  Morgan, L Gregory  
et.  Morici, Richard  
eu.  Morlatt, Karen  
ev.  Morris, Bethany  
ew.  Murray, Joseph  
ex.  Myers, Charlene  
ey.  Norkiewicz, Leo  
ez.  Novak, Roberta  
fa.  Ogrin, Theresa  
fb.  O'Looney, Jenifer  
fc.  Oppermann, Alycn  
fd.  Osborn, Cheryl  
fe.  Owen, Harrison  
ff.  Paczkowski, Thomas  
fg.  Paxton, William  
fh.  Pearson, J Linda

























































fi.  Peat, Adam  
fj.  Peat, Sarah  
fk.  Peek, Edward  
fl.  Peralta, Anrico  
fm.  Pesicka, Donna  
fn.  Petersen, Calvin  
fo.  Petersen, E Jacob  
fp.  Petersen, Rodney  
fq.  Phillips, Felicia  
fr.  Piety, Nancy  
fs.  Pinero, Daniel  
ft.  Plavecki, Dawn  
fu.  Radabaugh, Carrie  
fv.  Rader, Peter Gregory  
fw.  Rand, Anthony  
fx.  Rand, Katie  
fy.  Rankin, Catherine  
fz.  Richards, T Jermaine  
ga.  Ricketts, Jerry  
gb.  Rider, Robert  
gc.  Rivera, Robert  
gd.  Robbins, Kathleen  
ge.  Rogers, J Barbara  
gf.  Romero-Gordon, Elba  
gg.  Rowell, Valerie  
gh.  Rudolph, P Gregory  
gi.  Saenz, Denise  
gj.  Sandlin, Stacey  
gk.  Santana, Matona  
gl.  Santaniello, Robert  
gm.  Sasnett, Teresa  
gn.  Scampoli, Christopher  
go.  Schley, Charles  
gp.  Sciarretti, Albert  
gq.  Shepherd, Rebecca  
gr.  Sherer, K Lynn  
gs.  Sherman, Tammy  
gt.  Sipe, D John  
gu.  Skeen, Holly  
gv.  Smiley, Karen  
gw.  Smisck, Alex  
gx.  Smith, Carlene  
gy.  Smith, Mindy  
gz.  Spadacino, Michael  
ha.  Spagna, Scott  
hb.  Sparrow, Kimberly  
hc.  Spearman, L Christina  
hd.  Spears, Terry  
he.  Spoerle, LuciAnn  
hf.  Stewart, Maureen  
hg.  Stong, Jared  
hh.  Stott, Blaine  
hi.  Sturgill, Bill  
hj.  Taylor, Debra  
hk.  Taylor, Madonna



















































































































- hl.  Tombari, Michelle
- hm.  Tooraen, Alan
- hn.  Trinh, Huy
- ho.  Tripp, Stephen
- hp.  Twombly, William
- hq.  Van Houtte, George
- hr.  Van Houtte, Timothy
- hs.  Vitrano, Barbara
- ht.  Voll, Heather
- hu.  Wade-Smith, Charlene
- hv.  Wagner, W George
- hw.  Waldron, Richard
- hx.  Walker, Barbara
- hy.  Ward, Steven
- hz.  Washington, Lester
- ia.  Waterman, Anne
- ib.  Weirbach, C Dawn
- ic.  Welling, Heidi
- id.  Wells, Michael
- ie.  Welty, L Sarah
- if.  Wetuski, Keith
- ig.  Williams Jr, D Gerald
- ih.  Winn, Timothy
- ii.  Wright, Merriann
- ij.  Zuk, Zina
- ik.  Zysk, Dana
- il.  Zysk, Michelle

























































- 2.  Training and Hiring (Lead\_QC\_UW)
  - a.  2004 Leads Conference\_Jan 17 to 18\_2004
    - 1.  2004 Leads\_ Action Plan Followup
    - 2.  2004 Leads\_ Agenda Final
    - 3.  2004 Leads\_ CLAS Data and Narratives
    - 4.  2004 Leads\_ Clayton Tale of the Tape
    - 5.  2004 Leads\_ Computer and Network Services
    - 6.  2004 Leads\_ Due Diligence Trends
    - 7.  2004 Leads\_ Lead Introductions
    - 8.  2004 Leads\_ Life of a Loan
    - 9.  2004 Leads\_ Project Managament Process\_ CSM and Lead
    - 10.  2004 Leads\_ Regulatory Compliance
    - 11.  2004 Leads\_ Review of 2003 & Outlook for 2004\_ SL
    - 12.  2004 Leads\_ Upcoming CLAS Enhancements
    - 13.  2004 Leads\_ What Does It Take to Strat a Job
    - 14.  2004 Leads\_ WinFish New Features 2003
    - 15.  2004 Leads\_ Winfish Updates
  - b.  2004 Surge Training- Fall
    - 1.  CLAS Reporter (Winfish) Manual 5.5.6
    - 2.  Clayton CLAS Reporter
    - 3.  Compliance Training Workshop I
    - 4.  Proj Mgmt and Best Prac
    - 5.  Proj Mgmt and Best Prac-Outline
    - 6.  Proj Mgmt and Best Prac-Take Away
  - c.  2004 to 2005\_Lead Training
    - 1.  Colts\_ Clayton OutLine and Training System
    - 2.  Conference Agenda\_ 10-25-04
    - 3.  Deal Management\_ Accessing E-mail
    - 4.  Deal Management\_ Down Loading A New Deal

5. Deal Management\_ Network Set-up
  6. Deal Management\_ Network Set-up Doc
  7. Deal Management\_ New WinFish CLAS Backup
  8. Deal Management\_ Shipping and Receiving Equipment
  9. Differences in Lenders
  10. Excel Lunctions for Leads and QC
  11. Lead Diagnostic Tool
  12. MCI Access Manager
  13. Network Set-up
  14. Project Management Best Practices
  15. Project Management Best Practices Outline
  16. Project Management Best Practices Take Away
  17. Quality Assurance in Client Delivery
  18. Situational Leadership II\_ Two Day Design
  19. Training Approach
  20. Transaction Process
  21. WinFish Presentation
  22. WinFish Presentation Notes
  23. WinFish Read Me
- d. 2005 Leads Conference\_Feb 18 to 20\_2005
1. 2005 Leads\_ Client Panel
  2. 2005 Leads\_ Client Panel Talking Points Questons
  3. 2005 Leads\_ Conference After-Survey
  4. 2005 Leads\_ Consolidated
  5. 2005 Leads\_ Key Initiatives
  6. 2005 Leads\_ Quality Control
  7. 2005 Leads\_ Quality Underwriter Training
- e. 2005 to 2006\_Scratch and Dent Training
1. CLAS Overview\_ Walkthrough Presentation
  2. Fraud Overview
  3. Key Screens on CPI
  4. Loan Status
  5. NPA Commentary Scope for MS
  6. Pay History Exercise
  7. Process for Collecting Bad Mortgage Debt
  8. Scratch & Dent Quick Reference Sheet
  9. Scratch and Dent Training Agenda
  10. Willingness\_ Ability\_ Risk and Opportunity
- f. 2006 Leads Conference\_Feb 17 to 20\_2006
1. 2006 Leads\_ Agenda Final
  2. 2006 Leads\_ ClaytonConnect Client Guide
  3. 2006 Leads\_ Compliance Breakout Session
  4. 2006 Leads\_ Compliance Session
  5. 2006 Leads\_ Elevated Review Flag
  6. 2006 Leads\_ Intoducing SCOUT
  7. 2006 Leads\_ Invite List- FINAL
  8. 2006 Leads\_ Leveraging the Technology ET
  9. 2006 Leads\_ Managing for the Future
  10. 2006 Leads\_ Managing for the Future\_ Jon Berger
  11. 2006 Leads\_ Panel Description
  12. 2006 Leads\_ Quality Breakout Session
  13. 2006 Leads\_ Quality Control Breakout Session
  14. 2006 Leads\_ Start dates for Conferencemaster
  15. 2006 Leads\_ Teambuilding Groups
  16. 2006 Leads\_ The Art and Science of the Deal
  17. 2006 Leads\_ Welcome Session






- g.  2006 to Current\_Lead Training
  - 1.  CU Lead Training Agenda
  - 2.  Lead Training Project Mgt and Best Practices
- h.  2006 to Current\_New UW Training
  - 1.  Exercises
    - a.  Appraisal Exercise 1.16.06
    - b.  HUD Exercise 1.16.06
    - c.  Income Exercise 1.16.05
    - d.  Note Exercise 1.16.06
  - 2.  General Underwriter Manual
    - a.  1. Borrower Process and Product FINAL
    - b.  2. Equity FINAL
    - c.  3. Income FINAL
    - d.  4. Credit FINAL
    - e.  5. Compensating Factors FINAL
    - f.  6. Compliance FINAL
    - g.  Cover\_ General UW Manual
  - 3.  Reading Exercise
    - a.  1a Process - Lock In HUD Booklet
    - b.  1b Process - Affordable Housing Finance
    - c.  1c Process - American Banker
    - d.  1d Process - ARM HUD Consumer Guide
    - e.  1e Process - Home Equity Loan Risk
    - f.  1f - Process - Home is on the line \_HELOC\_
    - g.  1g Process - Piggybacks\_ MI and costs
    - h.  2a Equity - Pumping Up Prices
    - i.  4 Credit - FICO Scores
    - j.  6a Compliance - NCRC Predatory
    - k.  6b Regulations - Ill and Chicago
    - l.  7a Fraud - Fighting Appraisal Fraud
    - m.  7b Fraud - Mortgage Fraud
    - n.  Complete Reading Booklet
- i.  2007 Leads Conference\_Feb 16 to19\_2007
  - 1.  2007 Leads\_ Agenda Final
  - 2.  2007 Leads\_ Agenda Trifold
  - 3.  2007 Leads\_ Borrowers Interest Suitability Panel
  - 4.  2007 Leads\_ Borrowers Interest Suitability Takeaway
  - 5.  2007 Leads\_ Client Panel Discussion
  - 6.  2007 Leads\_ Deal Process Flow
  - 7.  2007 Leads\_ Keith Johnson presentation
  - 8.  2007 Leads\_ Mission Success icon
  - 9.  2007 Leads\_ Production Quality Dynamics
- j.  2007 to Current\_Scratch and Dent Training
  - 1.  Collection Comments 101
    - a.  01 CC Agenda
    - b.  02 Collection Comments Example 1
    - c.  02ACOL~7
    - d.  03 Collection Comments Example 2
    - e.  03ACOL~F
    - f.  04 Collection Comments Example 3
    - g.  04ACO~17
    - h.  05 Collection Comments Example 4
    - i.  05ACO~1E
    - j.  06 Collection Comments Example 5
    - k.  06ACO~26
    - l.  07 Collection Comments Example 6

- m.  07ACO~2D
- n.  08 Collection Comments Example 7
- o.  08ACO~35
- p.  09 Abbreviations
- q.  10 Definitions
- r.  10a Addendum to Definitions
- 2.  Pay Histories
  - a.  CPI Trans Codes
  - b.  Example 8 pay history
  - c.  LSAMS transaction codes
  - d.  Mortgage Serve Trans Codes
  - e.  PH Agenda
  - f.  PH Example 1 Answer Key
  - g.  PH Example 2 Answer Key
  - h.  PH Example 3 Answer Key
  - i.  PH Example 4 Answer Key
  - j.  PH Example 5 Answer Key
  - k.  PH Example 6 Answer Key
  - l.  PH Example 7 Answer Key
  - m.  PH Example 8 Answer Key
  - n.  PH Examples 1-7 and Process
  - o.  PH Presentation
  - p.  Sample Screens Shots for Pay History Exercises
- 3.  Servicing 101
- 4.  SND Training Pilot\_ 08-10-07
- k.  Approach to Wokforce Development
  - 1.  Appendix 1 UW-er Questions
  - 2.  Appendix 2 Ab Lincoln UW-er sample
  - 3.  Appendix 2 JamesSmith Lead sample
  - 4.  Appendix 2 PRO\_Lead
  - 5.  Appendix 2 PRO\_Underwriter
  - 6.  Appendix 3 Day 1 General Knowledge Test
  - 7.  Appendix 3 Day 2 Overview Test
  - 8.  Appendix 3 Day 3 Credit Test
  - 9.  Appendix 3 Day 4 Compliance Test
  - 10.  Appendix 3 Day 5 Final Test
  - 11.  Appendix 4 Did You Know 1003-P
  - 12.  Appendix 4 Did You Know 1004-P
  - 13.  Appendix 4 Did You Know Credit-P
  - 14.  Appendix 4 Did You Know General-P
  - 15.  Appendix 4 Did You Know Title-P
  - 16.  Appendix 4 Glossary of Mortgage Terms-P
  - 17.  Appendix 4a PRACTICE TEST 1-P
  - 18.  Appendix 5 Monthly performance scores
  - 19.  Appendix 5 QC Checkpoints
  - 20.  Appendix 5 UW period report card per deal
  - 21.  Appendix 6 Lead Portal Feedback
  - 22.  Appendix 7 Annual UW-er report card
  - 23.  Approach to Workforce Development\_ 05-31-07
- l.  CLAS UW QC Manuals
  - 1.  CLAS Conduit Manual\_ Morgan Stanley\_ 2005
  - 2.  CLAS Pre Review Manual\_ 2007
  - 3.  CLAS Put Back Manual\_ 2007
  - 4.  Quality Control Manual Complete
  - 5.  Underwriting Manual Complete
  - 6.  WinFish Manual




















































- 7.  Written Exercises Complete
- m.  ET
  - 1.  Doc 0003 - New Credit-Compliance Grade for Waived Exceptions
  - 2.  Doc 0004 Exhibit A - New Bulk Override Screen
  - 3.  Doc 0004 Exhibit B - New stock text for status changes
  - 4.  Doc 0004 Exhibit C - Changes to IE screen
  - 5.  Doc 0005 Exhibit A - Exception Editing Dialog Box
  - 6.  Doc 0005 Exhibit B - New loan grades and loan grading screen
  - 7.  Doc 0006 Exhibit A - New Options for Pending Conditions
  - 8.  Doc 0007 Exhibit A - New ET Loan Grade 2T (Bear-EMC)
  - 9.  DOC00~23
  - 10.  Exception Tracking, Standard Grading Schema Explained, 08-04-06
  - 11.  Exception Tracking, User Manual, 02-10-06
  - 12.  Exception Tracking, User Manual, 06-16-05
  - 13.  Sample Quick List20050906
  - 14.  Update #0001 - ET News Flash
  - 15.  Update #0002 - ET News Flash
  - 16.  Update #0003 - ET News Flash
  - 17.  Update #0004 - ET News Flash
  - 18.  Update #0005 - ET News Flash
  - 19.  Update #0006 - ET News Flash
  - 20.  Update #0007 - ET News Flash
- n.  New Hire Package
  - 1.  Clayton Complete New Hire Packet 5-08-07
- o.  Tests
  - 1.  Compliance Test
  - 2.  Compliance Test\_ answerkey
  - 3.  Credit Test
  - 4.  Credit Test\_ answerkey
  - 5.  Final Test
  - 6.  Final Test\_ answerkey
  - 7.  General Knowledge Test
  - 8.  General Knowledge Test\_ answerkey
  - 9.  Overview Test
  - 10.  Overview Test\_ answerkey
- p.  Training Communications
  - 1.  Appraisal Photos Field
  - 2.  Appraiser and Supervisory Appraiser Data
  - 3.  Assumability
  - 4.  Automatic Credit Event 3
  - 5.  Bottom Line Due Diligence\_ The CSM
  - 6.  Bottom Line Due Diligence\_ The Lead
  - 7.  CLAS Changes
  - 8.  CLAS Changes (S& P)
  - 9.  Client Compliance Communication Process
  - 10.  Credit Exceptions
  - 11.  Credit Exceptions - Instructions
  - 12.  Credit Script Change Protocol
  - 13.  DISSCO Overview
  - 14.  Dual Amort vs ARM Balloon
  - 15.  Essential QC Functions
  - 16.  Exception Capture Method by Client
  - 17.  Frequency Cleaning Manual
  - 18.  GUI\_COMMENT
  - 19.  Guide for Patches
  - 20.  History Source Doc






















































- 21.  HMDA Reporting
- 22.  IO Update
- 23.  Lead Deal Tips
- 24.  Manufactured Housing
- 25.  Missing Document Report
- 26.  Moodys Rating Screen
- 27.  Morgan Script-New Appraisal Fields
- 28.  New Loan Status S
- 29.  Pay History Rewards
- 30.  Production Logs
- 31.  QC Status Revision
- 32.  Reports Archiving
- 33.  Script Request Procedure
- 34.  Setting Valuation Model
- 35.  SP Doc Type Instr
- 36.  Understanding Pay Option Arm Loans
- 37.  UserGuideforValuationScreen04-15-2004
- q.  CLAS Reporter (Winfish) Manual 7.7.0
- r.  CU FHA Training, 02-09-05
- s.  Fortified QC Manual revised 11-16-06
- f.  CLAY 0025999- CLAY 0026257
  - 1.  BearEMC
    - a.  BEA - FIN AMERICA (FA0505) Production Report
    - b.  BEA - PEOPLES 04-084 Production Report
    - c.  EMC - AAMES (AA0601) Production Report1
    - d.  EMC - AAMES (AA0601) Production Report2
    - e.  EMC - CIT (CG0601) Production Report
    - f.  EMC -PEOPLES (PC0506) Production Report
    - g.  Encore 2006-08 Bear Production Logs
    - h.  Encore 2007-02 BSRM Production Report
    - i.  Suntrust 2006-10 Bear (CU) Production log
  - 2.  Greenwich 2006
    - a.  GREW GREW - OOMC 0605 Production Report
  - 3.  Lehman
    - a.  INDY MAC 2006 09C LEH Production Report
    - b.  LEH - 1ST FRANK 2004-1-2 Production Report
    - c.  LEH - CW 2006-11 (CU) Pool B Production Reports
    - d.  LEH - CW 2006-11 (CU) Production Report
    - e.  LEH - LONG BEACH 2004-2 Production Report
    - f.  LEH - SUNTRUST 2004-1& 2 Production Report1
    - g.  LEH - SUNTRUST 2004-1& 2 Production Report2
    - h.  LEH - WELLS FARGO 2006-5 Production Report
    - i.  LEHA - MERCANTILE 2004-1 Production Report
    - j.  Wilmington Finance 2006-06 Add ons Production Report
    - k.  Wilmington Finance 2006-06 Production Report
  - 4.  Merrill
    - a.  MEL - 1NLC0605 Production Report
    - b.  MEL - ACC0508 Production Report
    - c.  MEL - AMQ0601 Production Report
    - d.  MEL - DEC10411 Production Report
    - e.  MEL - FF0510 Production Report
    - f.  MEL - OWN0507 (CU) Production Report
    - g.  MEL - WMC0512NY Production Report
    - h.  Mortgage Lenders Network 2006-08 Merrill 1 Production Report
    - i.  RFC 2007-01 Merrill Production Report
    - j.  TBD MERRILL 2006-09 MEL Production Report

- g. CLAY 002658- CLAY 0027144
  - 1. Deutsche Bank Final Reports
    - a. CTWDE 0412
      - 1. (12\_1) CWALT 2004-30CB Due Diligence OV complete tape
      - 2. Countrywide 2004-30CB 12-04 - Actual Sample Reviewed
      - 3. Engagement Letter - Countrywide 2004-30CB Dec 04
      - 4. Exh 1 - Ctwde 2004-30CB Dec 04 - Summary Results
      - 5. Exh 4 - Countrywide 2004-30CB 12-04 - Final Rejects
    - b. DEU-CTWDE 0509c
      - 1. compliance profile\_deutsche\_08-05-2005\_2
      - 2. COU \$528MM Sept 05C - Open Issues 10-5-2005
      - 3. COU \$528MM Sept 05C - Spreadsheet
      - 4. Countrywide \$528MM Sept 05C - Event 3 LoansPDF
      - 5. Countrywide \$528MM September 05C - Narrative
      - 6. Exh 1 - Countrywide \$528MM Sept 05C - Summary Results
      - 7. Exh 3 - COU \$528MM Sept 05C - Data Discrepancies
      - 8. Exh 4 - COU \$528MM Sept 05C - Final Rejects
    - c. DEU-FRE 0406
      - 1. Exh 1 - Fremont \$1B June 06 - Summary Results
      - 2. Exh 3 - Fremont \$1B June 06 - Data Discrepancies
      - 3. Exh 4 - Fremont \$1B June 06 - Final Rejects
      - 4. Fremont \$1B 06-29-06 Pay Histories Worksheet2
      - 5. Fremont \$1B Final Value Issues (6-23)
      - 6. Fremont \$1B June 06 - Street Solutions Upload
    - d. DEU-GRNPT 0602A
      - 1. Exh 1 - Greenpoint \$510MM HELOC Feb 06 - Summary Results
      - 2. Exh 3 - GreenPoint \$510MM HELOC Feb 06 - Data Discrepancies
      - 3. Exh 4 - Greenpoint \$510MM HELOC Feb06 - Final Rejects
      - 4. GreenPoint \$424MM Feb 06A - Street Solutions
      - 5. Greenpoint \$510MM HELOCs February 06 - Narrati
    - e. DEU-NCEN 0505
      - 1. Exh 1 - NCEN \$1BMM May 05 - Summary Results
      - 2. Exh 3 - NCEN \$1B May 05 - Data Discrepancies
      - 3. Exh 4 - NCEN \$1B May 05 - Final Rejects
      - 4. NCEN \$1B July 05 - Narrative
      - 5. NCEN \$1B May 05 - Side Letter Loans
    - f. IndyMac 2007-02 DEU
      - 1. Exh 1 - IndyMac \$325MM 03-23-07 - Summary Results
      - 2. Exh 3 - IndyMac \$325MM 02-28-07 - Data Discrepancies
      - 3. Exh 4 - IndyMac \$325MM 02-28-07 - Final Rejects - Revised
      - 4. IndyMac \$325MM 02-28-07 - Loan Disposition Report - Revised
      - 5. IndyMac \$325MM 02-28-07 - Street Solutions Upload - Revised
      - 6. IndyMac \$325MM 02-28-07 (02\_26) Additional Rebuttal Results
      - 7. IndyMac \$325MM 02-28-07 Pay History Results
    - g. Option One 2006-06 DEU
      - 1. Copy of Copy of Copy of OPTIONONEPAYHSTRY062506-A2
      - 2. Exh 1 - Option One \$1B July 06 - Summary Results
      - 3. Exh 3 - Option One \$1B July 06 - Data Discrepancies
      - 4. Exh 4 - Option One \$1B July 06 - Final Rejects
      - 5. OOMC \$1B (7-14) Rebuttal Final
      - 6. Option One \$1B July 06 - Street Solutions Upload
    - h. PHH 2007-04 DEU
      - 1. Exh 4 - PHHAM 2007-2 March 07 - Final Rejects
- h. CLAY 0027145- CLAY 0037050
  - 1. Rating Agency Production
    - a. Bear
      - 1. Final Report Set Example















































- a.  CLAY-0027786\_Taylor Bean 07-0338 WL (TB07A9) Production Report 073007
- b.  CLAY-0027790\_Taylor Bean 07-0338 WL (TB07A9) Clayton Due Diligence Issues 073007
- c.  CLAY-0027791\_Taylor Bean 07-0338 WL (TB07A9) IAS 1 Report 073007
- d.  CLAY-0027846\_Taylor Bean 07-0338 WL (TB07A9) IAS 2 Report 073007
- e.  CLAY-0027850\_Taylor Bean 07-0338 WL (TB07A9) IAS 3 Report 073007
- f.  CLAY-0027872\_Taylor Bean 07-0338 WL (TB07A9) Loan Disposition Report 073007
- g.  CLAY-0027873\_Bear Stearns - 2W to 4 Mapping
- h.  CLAY-0027874\_Taylor Bean 07-0338 WL (TB07A9) Clayton DD Data Upload
- 2.  Moody's Scenario 5 Correspondence
  - a.  CLAY-0027875\_New Fields
- b.  C-Bass
  - 1.  Final Report Set Example
    - a.  CLAY-0027877\_MAC\_UPLOAD
    - b.  CLAY-0027878\_ExceptionDetail
    - c.  CLAY-0027879\_IASEV320070319
- c.  Chase
  - 1.  Final Report Set Example
    - a.  CLAY-0028119\_WilmingtonOctoberTapeCompareField20071022
    - b.  CLAY-0028133\_Appraisal Name Upload
    - c.  CLAY-0028134\_HighCostTest\_20071022
    - d.  CLAY-0028135\_IAS\_Events\_1\_or\_2\_20071022
    - e.  CLAY-0028698\_IAS\_Event\_3\_20071022
    - f.  CLAY-0028777\_LoanLevelDC20071022
    - g.  CLAY-0028815\_LoanList20071022
    - h.  CLAY-0028816\_LoanStatus20071022
    - i.  CLAY-0028817\_SuperSummary20071022
    - j.  CLAY-0028823\_wilmington october\_prodlog20071022
    - k.  CLAY-0028831\_WilmingtonOctober\_ExceptionDetail-20071022
    - l.  CLAY-0028832\_WilmingtonOctoberDataFrequency20071022
    - m.  CLAY-0028923\_Upload 2
    - n.  CLAY-0028924\_Upload 3
    - o.  CLAY-0028925\_Upload 1
    - p.  CLAY-0028926\_LoanLevelDataCompare
- d.  Countrywide
  - 1.  Final Report Example Set
    - a.  CLAY-0028927\_IAS220070314
    - b.  CLAY-0029214\_IAS120070314
    - c.  CLAY-0029626\_ExceptionDetail
    - d.  CLAY-0029627\_APR\_043V
    - e.  CLAY-0029628\_Upload3
    - f.  CLAY-0029629\_Upload2
    - g.  CLAY-0029630\_Upload1
    - h.  CLAY-0029631\_Prop\_Stat
    - i.  CLAY-0029632\_LLDC20070314\_Incmp
    - j.  CLAY-0029633\_IAS320070314
    - k.  CLAY-0029758\_Exception Report (custom)
  - 2.  Moody's Scenario 6
    - a.  Correspondence
      - 1.  CLAY-0029759\_20071114124622893
    - b.  Reports
      - 1.  CLAY-0029761\_CW - Upload



























































- e.  Credit Suisse
  - 1.  Compliance Letters\_US Bank Example
    - a.  CLAY-0029762\_Credit Suisse - CW MA Letter\_110206
    - b.  CLAY-0029763\_Credit Suisse - WF MA Letter\_012706
  - 2.  Final Report Set Example
    - a.  CLAY-0029764\_IASEV320071022
    - b.  CLAY-0029912\_LLDC20071022\_Incmp
    - c.  CLAY-0029913\_LoanStatusDetail\_20071022
    - d.  CLAY-0029914\_TBW8077\_ExceptionDetail-20071022
    - e.  CLAY-0029915\_CSUPLOAD
  - 3.  Moody's Scenario 5- Correspondence
    - a.  CLAY-0029916\_20071114124633011
  - 4.  Moody's Scenario 6- Correspondence
    - a.  CLAY-0029918\_20071114124628126
    - b.  CLAY-0029920\_Script Screen Shot
  - 5.  S&P Scenario 2
    - a.  Correspondence
      - 1.  CLAY-~12
      - 2.  CLAY-0~2
      - 3.  CLAY-0029924\_RG MORTGAGE-ALTA-20061130-7905-Diligence-Order
      - 4.  CLAY-0029927\_RG MORTGAGE-ALTA-20061228-7926-Diligence-Order
    - b.  Reports
      - 1.  CLAY-0029928\_Banco Popular Data Upload
      - 2.  CLAY-0029929\_HSBC 8087 Copy of DetlList
      - 3.  CLAY-0029930\_R& G 2006-12-2 CSFB - RG 7926 - SettlementReport
      - 4.  CLAY-0029931\_R& G Puerto Rico 2006-12 FIR - RG7905DATA
- f.  Deutsche Bank
  - 1.  Compliance Letters Ftich Examples
    - a.  CLAY-0029932\_Fitch NM Letter - IndyMac 2004
  - 2.  Compliance Letters\_Dominion Examples
    - a.  CLAY-0~6
    - b.  CLAY-0~C
    - c.  CLAY-0029933\_Deu ACE 2006-HE4 - Due Diligence Letter
    - d.  CLAY-0029938\_Deutsche - Fre0410 - KY Individual Asset Summary
  - 3.  Final Report Set Example
    - a.  CLAY-0~8
    - b.  CLAY-0029960\_Exh 4 - Taylor Bean Whitaker \$6MM 07-27-07 - Final Rejects
    - c.  CLAY-0029961\_Taylor Bean Whitaker \$6MM 07-27-07 - Loan Disposition Report
    - d.  CLAY-0029962\_Taylor Bean Whitaker \$6MM 07-27-07 - Street Solutions Upload
    - e.  CLAY-0029963\_Exh 1 - Taylor Bean Whitaker \$6MM 07-27-07 - Summary Results
  - 4.  Moody's Scenario 4- Reports
    - a.  CLAY-0029964\_ACE2007HE6\_Income Request\_Clayton results
  - 5.  Rating Agency Presentations
    - a.  CLAY-0029965\_ACE 2005-HE7 -Summary Results
    - b.  CLAY-0029966\_AHM \$195MM May 2006 - Narrative
    - c.  CLAY-0029974\_CSM Deal Spreadsheet
    - d.  CLAY-0029975\_Exh 1 - AHM \$195MM May 06 - Summary Results Revised
- g.  Goldman
  - 1.  Final Report Set Example
    - a.  CLAY-0029976\_NTM Shock Qualification
    - b.  CLAY-0029977\_SuperSummary20071022











































- c.  CLAY-0029983\_Data Frequency
- d.  CLAY-0029984\_Tape Compare
- e.  CLAY-0029985\_ExceptionDetail
- f.  CLAY-0029986\_Data Frequency
- g.  CLAY-0030059\_Exception Summary
- h.  CLAY-0030060\_Tape Compare
- i.  CLAY-0030066\_Upload v3 (compliance)
- j.  CLAY-0030067\_Upload v2
- k.  CLAY-0030068\_Goldman Special Summary
- l.  CLAY-0030069\_Upload v1
- m.  CLAY-0030070\_HighCostTest\_20071022
- n.  CLAY-0030071\_IAS Event 3
- o.  CLAY-0030078\_LoanLevelDataCompare
- p.  CLAY-0030079\_Loan\_Disposition
- q.  CLAY-0030080\_LoanLevelDataCompare
- r.  CLAY-0030089\_LoanStatus20071022
- 2.  Moody's Scenario 6- Correspondence
  - a.  CLAY-0030090\_Goldman Moody's Screen
- h.  Greenwich
  - 1.  Final Report Set Example
    - a.  CLAY-0030092\_20070822SummarybyPool
    - b.  CLAY-0030093\_FirstNLCNovember2007\_Exception3M-20071108
    - c.  CLAY-0030094\_Loan\_Disposition
    - d.  CLAY-0030095\_11.08.07\_First NLC November 2007\_EventStatus
    - e.  CLAY-0030096\_11.08.07\_First NLC November 2007\_IAS\_Event3
    - f.  CLAY-0031374\_11.08.07\_First NLC November 2007\_LoanLevelDataComp
    - g.  CLAY-0031375\_Final Upload (not yet delivered to client)
  - 2.  Moody's Scenario 2
    - a.  Correspondence
      - 1.  CLAY--~24
      - 2.  CLAY--~2B
      - 3.  CLAY--~5D
      - 4.  CLAY--~71
      - 5.  CLAY--~78
      - 6.  CLAY--~80
      - 7.  CLAY--~9E
      - 8.  CLAY--~A5
      - 9.  CLAY--~CB
      - 10.  CLAY--~D1
      - 11.  CLAY-0~5
      - 12.  CLAY-0031376\_FW Greenwich Data Scrub
      - 13.  CLAY-0031388\_FW HSBC Data Scrub info
      - 14.  CLAY-0031390\_FW HSBC Data Scrub info.msg^HSBC Scrub Screens
      - 15.  CLAY-0031393\_FW Moody's Mortgage History
      - 16.  CLAY-0031394\_FW Moody's Mortgage History.msg^History Grade
      - 17.  CLAY-0031396\_FW Moody's Script Enhancements
      - 18.  CLAY-0031400\_Moody's Upload
      - 19.  CLAY-0031401\_Moody's Upload.msg^LEHMAN\_PC6-1DS\_MOODY'S
      - 20.  CLAY-0031402\_RE Book1.xls
      - 21.  CLAY-0031408\_RE First Franklin - Moody's Data
      - 22.  CLAY-0031410\_RE First Franklin ALT-B Data
      - 23.  CLAY-0031412\_sampsch\_altb
      - 24.  CLAY-0031413\_RE First Franklin Review

- 25. CLAY-0031415\_RE Greenwich Data Scrub
- 26. CLAY-0031426\_RE Moody's Mortgage History
- 27. CLAY-0031428\_Untitled
- 28. CLAY-0031429\_Untitled.msg^Moody's Residential Loan-by-Loan Layout (2)
- 29. CLAY-0031447\_Book1.xls
- 30. CLAY-0031452\_Book1.xls.msg^Book1
- 31. CLAY-0031453\_First Franklin
- 32. CLAY-0031454\_FW Greenwich FFRK Moody's Scrub Next Week
- 33. CLAY-0031456\_RE Greenwich - First Franklin Moody's Data Capture 3
- 34. CLAY-0031465\_RE Greenwich - First Franklin Moody's Data Capture 4
- 35. CLAY-0031473\_RE Greenwich - First Franklin Moody's Data Capture 5
- 36. CLAY-0031482\_RE Greenwich - First Franklin Moody's Data Capture
- 37. CLAY-0031492\_RE Greenwich - First Franklin Moody's Data Capture 1
- 38. CLAY-0031499\_RE Greenwich - First Franklin Moody's Data Capture 2
- b. Reports
  - 1. CLAY-0031506\_Greenwich - Moody\_s 8NM
- 3. Moody's Scenario 3
  - a. Correspondence
    - 1. CLAY-0031507\_Haborview 2007 2 and 3 now
  - b. Reports
    - 1. CLAY-0031511\_DetlList
- 4. Moody's Scenario 6- Correspondence
  - a. CLAY-0031512\_FW Greenwich - Moody's Doc Type
  - b. CLAY-0031514\_Moody's Residential Loan-by-Loan Layout
  - c. CLAY-0031530\_Moody's Doc Type
  - d. CLAY-0031531\_RE\_ S& P doc Type
- i. HSBC
  - 1. Final Report Set Example
    - a. CLAY-0031533\_EV3 IAS REPORT20070831
    - b. CLAY-0031700\_Event Status Report
    - c. CLAY-0031701\_Fees\_Report
    - d. CLAY-0031702\_HSBC Exception Detail - All Loans
    - e. CLAY-0031703\_Loan\_Disposition
    - f. CLAY-0031704\_LoanLevelDC20070831
    - g. CLAY-0031802\_prodlog20070831
    - h. CLAY-0031806\_Super Summary
    - i. CLAY-0031810\_EV1 IAS REPORT20070831
    - j. CLAY-0032101\_EV2 IAS REPORT20070831
    - k. CLAY-0032323\_LoanLevelDataCompare
    - l. CLAY-0032324\_ExceptionDetail Event 3
    - m. CLAY-0032325\_Complete DD Results Upload
  - 2. Moody's Scenario 2
    - a. Correspondence
      - 1. CLAY-0032326\_FW HSBC - First Franklin 2006-4 DS
      - 2. CLAY-0032327\_Data Scrub Template
    - b. Reports
      - 1. CLAY-0032328\_HSBC - FF 2006-4 Data Scrub 20JUL06
  - 3. Moody's Scenario 7- Reports
    - a. CLAY-0032329\_HSBC - Moody\_s Rating Screen
- j. JP Morgan
  - 1. Compliance Letters\_US Bank Examples

- a.  CLAY-0032330\_JPM - Clayton DBRS Rating Letter CBASS 2006-CB7
- 2.  Final Report Set Example
  - a.  CLAY-0032334\_NatCity20071105(5448)Exception\_Summary20070930
  - b.  CLAY-0032335\_DDUUpload
  - c.  CLAY-0032336\_Loan\_Disposition
  - d.  CLAY-0032337\_LoanList20070930
  - e.  CLAY-0032338\_LoanStatus20070930
  - f.  CLAY-0032339\_LoanLevelDataCompare
  - g.  CLAY-0032340\_LoanLevelDataCompare
- k.  Lehman
  - 1.  Compliance Letters\_Dominion Examples
    - a.  CLAY-0032351\_Lehman - DBRS Rating Letter - SASCO 2007-WF2
    - b.  CLAY-0032355\_Lehman - DBRS Rating Letter - WFHET 2007-2
    - c.  CLAY-0032360\_Lehman - SASCO 2006-ARS1 DBRS Letter 6-23-06
  - 2.  Compliance Letters\_Fitch Examples
    - a.  CLAY-0032365\_Lehman - BNC 2003-10 FITCH LETTER
    - b.  CLAY-0032366\_Lehman - Sample IAS - Compliance Only - Fitch Letter
  - 3.  Final Report Set Example
    - a.  CLAY-0032369\_PHH 2007-2\_IAS\_Credit1\_09.17.07
    - b.  CLAY-0032446\_PHH 2007-2\_IAS\_Credit2\_09.17.07
    - c.  CLAY-0032453\_PHH 2007-2\_IAS\_Credit\_or\_Compliance\_3\_09.17.07
    - d.  CLAY-0032457\_PHH 2007-2\_Loan\_Disposition
    - e.  CLAY-0032458\_PHH 2007-2\_LoanLevelDataComp\_09.17.07
    - f.  CLAY-0032461\_PHH 2007-2\_LoanLevelDataComp\_09.17.07
    - g.  CLAY-0032462\_PHH 2007-2\_Super Summary
    - h.  CLAY-0032466\_PHH 2007-2\_Upload
    - i.  CLAY-0032467\_PHH2007-2\_Credit1and2List-20070917
    - j.  CLAY-0032468\_PHH2007-2\_DataFrequency20070924
    - k.  CLAY-0032499\_PHH2007-2\_Event3List-20070917
    - l.  CLAY-0032500\_PHH2007-2\_TapeCompareField20070924
    - m.  CLAY-0032511\_PHH 2007-2 Narrative
    - n.  CLAY-0032516\_PHH 2007-2\_Fees\_Report\_20070918
  - 4.  Moody's Scenario 1- Reports
    - a.  CLAY-0032517\_Lehman - Moodys Data Upload
    - b.  CLAY-0032518\_LEHMAN\_PC6-1DS\_MOODY'S
  - 5.  Moody's Scenario 2- Reports
    - a.  CLAY-0032519\_CW 2007-2 - Moody\_s Data Scrub
    - b.  CLAY-0032520\_Wells Fargo 2006 08 LEHMAN\_WF6-16DS\_MOODY'S
    - c.  CLAY-0032521\_Wells Fargo 2007 3 LEH - Moodys Data Scrub 4
    - d.  CLAY-0032522\_LEHMAN\_PC6-1DS - MISSING DOCS
    - e.  CLAY-0032523\_LEHMAN\_PC6-1DS\_MOODY'S
  - 6.  Moody's Scenario 3
    - a.  Correspondence
      - 1.  BNCMT 2007-4
        - a.  CLAY-0032527\_FW Aug FNMA Verify \_ Moody Data
        - b.  CLAY-0032529\_August Moodys Data Request
        - c.  CLAY-0032530\_FW BNCMT 2007-4 Moody Data Clayton
        - d.  CLAY-0032532\_FW July FNMA Verify \_ Moody Data
        - e.  CLAY-0032534\_Moodys - Loan List
        - f.  CLAY-0032535\_Moody's Data Request
        - g.  CLAY-0032536\_FW Sept FNMA Verify \_ Moody Data Clayton
        - h.  CLAY-0032538\_Sept Request\_ Moodys Data - 22










- Loans
- 2. HSBC SOV
  - a. CLAY-0032539\_FW HSBC SOV Moody Data Clayton 2
  - b. CLAY-0032541\_9 Loans
  - c. CLAY-0032570\_FW HSBC SOV Moody Data Clayton
  - d. CLAY-0032572\_Moody's Data - HSBC\_SOV
- 3. CLAY-0032524\_FW HSBC SOV Moody Data Clayton
- 4. CLAY-0032526\_Moody's Data - HSBC\_SOV
- b. Reports
  - 1. BNCMT 2007-4
    - a. CLAY-0032574\_August Moodys Data Request
    - b. CLAY-0032575\_Lehman - Sept Request\_ Moodys Data - 22 Loans
    - c. CLAY-0032576\_Moody\_s Data Request
    - d. CLAY-0032577\_Moodys - Loan List
  - 2. HSBC SOV
    - a. CLAY-0032578\_LehmanHSBCSOV - Moody\_s Data For Lehman
  - 3. CLAY-0032573\_Lehman - August 2007 Moodys Data Request
- 7. Moody's Scenario 5- Correspondence
  - a. CLAY-0032579\_FW Lehman Moody's Data Collection Procedure
  - b. CLAY-0032580\_Lehman Moody's Review Procedures -- 6-20-07 Revision
  - c. CLAY-0032583\_Expanded Data Press Release
- i. Merrill Lynch
  - 1. Final Report Set Example
    - a. CLAY-0032585\_IAS Event 1-2-5-9
    - b. CLAY-0032802\_IAS Event 3-4
    - c. CLAY-0032923\_Loan Level Data Compare
    - d. CLAY-0032924\_Loan\_Disposition
    - e. CLAY-0032925\_LoanLevelDataCompare
    - f. CLAY-0032943\_LoanList20070315
    - g. CLAY-0032944\_LoanStatus20070315
    - h. CLAY-0032945\_MissDoc
    - i. CLAY-0032946\_Alliance2007-5\_ExceptionDetail-20070315
    - j. CLAY-0032947\_Alliance2007-5TapeCompareField20070315
    - k. CLAY-0032953\_alliance 2007-5\_productionlog20070315
    - l. CLAY-0032960\_Copy of Alliance2007-5Exception\_Summary20070312
    - m. CLAY-0032961\_Exception Detail
    - n. CLAY-0032962\_IAS Event 3-4
    - o. CLAY-0033076\_IAS Event 1-2-5-9
    - p. CLAY-0035550\_LoanLevelDataCompare
    - q. CLAY-0035551\_Loan\_Disposition
    - r. CLAY-0035552\_LoanLevelDataCompare
    - s. CLAY-0035700\_LoanList20070418
    - t. CLAY-0035701\_LoanStatus20070418
    - u. CLAY-0035702\_SURF Upload
    - v. CLAY-0035703\_SURF Settlement Report
    - w. CLAY-0035704\_MissDoc
    - x. CLAY-0035705\_april mer 2\_productionlog20070418
    - y. CLAY-0035721\_AprilMer2\_ExceptionDetail-20070418
    - z. CLAY-0035722\_AprilMer2Exception\_Summary20070418
    - aa. CLAY-0035723\_AprilMer2TapeCompareField20070418
    - ab. CLAY-0035731\_FNMA\_ExceptionReport
  - 2. Moody's Scenario 1
    - a. Correspondence

1.  CLAY-0035732\_March FFMER Diligence
2.  CLAY-0035733\_FW Moody's fields
3.  CLAY-0035734\_OWNNIT\_2006-110\_Moody
4.  CLAY-0035735\_Mortgage History Template for Moodys version 3
- b.  Reports
  1.  Ownit 2006-10 MEL 2
    - a.  CLAY-0035737\_OWNNIT\_2006-110\_Moody
  2.  ReMae 2007-01- RES0702
    - a.  CLAY-0035738\_RES0702\_Moody\_s\_Report
  3.  CLAY-0035736\_RFC\_2006-136\_Moody\_Report
3.  Moody's Scenario 2
  - a.  Correspondence
    1.  CLAY-0035739\_RE RFC
  - b.  Reports
    1.  CLAY-0035741\_Copy of RFC\_Moodys\_2006\_136\_Moodys\_Report\_20070112\_2
4.  Moody's Scenario 5- Correspondence
  - a.  CLAY-0035742\_FW Moody's
5.  Moody's Scenario 6- Correspondence
  - a.  CLAY-0035745\_FW New Moody's Doc Level
6.  S&P Scenario 4
  - a.  Correspondence
    1.  CLAY-0035747\_RE MLN 53 and 70
    2.  CLAY-0035748\_MLN\_2006-53\_Income& DocLevel
    3.  CLAY-0035749\_MLN\_2006-70\_Income& DocLevel
  - b.  Reports
    1.  CLAY-0035750\_MLN\_2006-53\_Income& DocLevel
    2.  CLAY-0035751\_MLN\_2006-70\_Income& DocLevel
- m.  Morgan Stanley
  1.  Compliance Letters\_Fitch Examples
    - a.  CLAY-0~2
  2.  Final Report Set Example
    - a.  CLAY-0035756\_LoanLevelDataCompare
    - b.  CLAY-0035757\_Loan\_Disposition
    - c.  CLAY-0035758\_Management Exceptions
    - d.  CLAY-0035759\_pool level exit
    - e.  CLAY-0035760\_Final Tie-Out - Short Upload
    - f.  CLAY-0035761\_TapeCompareField20070621
    - g.  CLAY-0035769\_Final Tie-Out - Detailed Upload
    - h.  CLAY-0035770\_Final Tie-Out - DTI60
    - i.  CLAY-0035771\_Final Tie-Out - Fico Less 500
    - j.  CLAY-0035772\_FNMA Exceptions
    - k.  CLAY-0035773\_Final Tie-Out - Full Upload
    - l.  CLAY-0035774\_Final Tie-Out - Collateral Analysis
  3.  Moody's Scenario 2
    - a.  Correspondence
      1.  CLAY-0035775\_Alt-B Data Scrub on Non-Sample Loans
      2.  CLAY-0035777\_Scrub list
    - b.  Reports
      1.  CLAY~169
      2.  CLAY~16F
      3.  CLAY~~19
      4.  CLAY~191
      5.  CLAY~197
      6.  CLAY~~1F
      7.  CLAY~~25
      8.  CLAY~~2B

























9.  CLAY--~31
10.  CLAY--~37
11.  CLAY--~40
12.  CLAY--~46
13.  CLAY--~56
14.  CLAY--~5C
15.  CLAY--~6B
16.  CLAY--~71
17.  CLAY--~8E
18.  CLAY--~94
19.  CLAY--~B1
20.  CLAY--~B7
21.  CLAY--~C0
22.  CLAY--~C6
23.  CLAY--~E3
24.  CLAY--~E9
25.  CLAY--~EF
26.  CLAY--~F5
27.  CLAY-0035778\_Accredited 2007-02 MOR Scrub - Reserves Report (02-22-07)
28.  CLAY-0035779\_Accredited 2007-04 MOR Scrub - Reserves Report (4-25-07)
29.  CLAY-0035784\_DEC ONE 2006-10D MOR2 - Data Scrub 10-26-06
30.  CLAY-0035786\_Decision One 2006-06-1 Data Scrub 6-22-06
31.  CLAY-0035788\_Decision One 2006-09 MOR Scrub (09-27-06)
32.  CLAY-0035789\_Decision One 2006-10 MOR 2 Scrub Reserve
33.  CLAY-0035791\_Decision One 2007-02 MOR Scrub DD Reserves Report (2\_16\_07)
34.  CLAY-0035792\_Decision One 2007-02 MOR Scrub Reserves Report 02-16-07
35.  CLAY-0035795\_Decision One Feb \_07 Scrub Reserves Report \$250MM (3\_20\_07)
36.  CLAY-0035796\_Decision One June Alt B Scrub \$65MM Reserves Report 6-14-07
37.  CLAY-0035799\_First NLC 2006-08 MOR 1 - MOR NLC 0608D Data Scrub 8-17-06
38.  CLAY-0035800\_First NLC 2006-09 MOR Scrub Report for NLC 0609D
39.  CLAY-0035801\_First NLC 2006-10 MOR Scrub Reserve
40.  CLAY-0035802\_First NLC 2007-01 MOR Scrub - Reserves Scrub Report 012507
41.  CLAY-0035803\_First NLC 2007-04 MOR Scrub - Reserves Report 4-20-07
42.  CLAY-0035804\_Flagstar 2007-03 Data Scrub Report (3\_9\_07)
43.  CLAY-0035805\_Freemont 2006-12 MOR Scrub report 012907
44.  CLAY-0035806\_New Century 2006-06-1 - MORG NC0606 Data Scrub 6-21-06
45.  CLAY-0035807\_New Century 2006-07MOR NC 0607E Data Scrub 7-27-06
46.  CLAY-0035808\_New century 2006-08 MOR 1 - Data Scrub 8-21-06
47.  CLAY-0035810\_New Century 2006-12 MOR Scrub - Reserves Report
48.  CLAY-0035811\_New Century 2007-02 MOR Scrub 1 - Reserves Report(02-15-07)
49.  CLAY-0035813\_New Century 2007-02 MOR Scub - Reserves Report(02-15-07)
50.  CLAY-0035814\_New Century\_ Oct 2006 Scrub - Reserves

- Report - 12-21-2006
51.  CLAY-0035815\_Wilmington 2006-07 MOR 1 - WIL 0607D Data Scrub 7-26-06
  52.  CLAY-0035816\_Wilmington 2006-08 MOR Scrub - WIL 0608D Data Scrub 8-24-06
  53.  CLAY-0035817\_Wilmington 2006-09 MOR 1 - MOR WIL 0609D Data Scrub
  54.  CLAY-0035818\_Wilmington 2006-10 MOR 1 - Scrub Reserve
  55.  CLAY-0035819\_Wilmington 2007-02 MOR Scrub - Reserves Report (2\_23\_07)
  56.  CLAY-0035820\_Wilmington 2007-02 MOR Scrub 1 - Reserves Report (2\_23\_07)
  57.  CLAY-0035821\_WMC 2006-10 MOR - Scrub Reserve
  58.  CLAY-0035822\_WMC 2007-02 MOR Scrub - Reserves Report
  59.  CLAY-0035823\_WMC 2007-02 MOR Scrub 2 - Reserves Report (2\_21\_07)
  60.  CLAY-0035824\_WMC February 2007-03 Scrub Mor - Reserves Report (3-29-07)
  61.  CLAY-0035825\_WMC January 2007 Scrub - Reserves Report




n.  Nomura

1.  Compliance Letters\_Fitch Examples
  - a.  CLAY-0035826\_Nomura - Template of DDLETTE template
2.  Final Report Set Example
  - a.  CLAY-0035827\_03.14.07\_KayCo 10\_ExceptionDetail
  - b.  CLAY-0035828\_03.14.07\_KayCo 10\_EventStatus
  - c.  CLAY-0035829\_03.14.07\_KayCo 10\_IAS\_Event3
  - d.  CLAY-0035897\_03.14.07\_KayCo 10\_LoanLevelDataComp
  - e.  CLAY-0035903\_03.14.07\_KayCo 10\_LoanLevelDataComp
  - f.  CLAY-0035904\_03.14.07\_KayCo 10\_StandardDataUpload

























o.  Overview Documents

1.  CLAY--~29
2.  CLAY--~2F
3.  CLAY--~35
4.  CLAY--~40
5.  CLAY--~45
6.  CLAY-0~C
7.  CLAY-0027145\_Rating Agency Overview
8.  CLAY-0027148\_Report descriptions
9.  CLAY-0027150\_S& P Subprime Standards
10.  CLAY-0027336\_SP Doc Type Instr
11.  CLAY-0027337\_spLEVELS 5.6 Data Quality Manual
12.  CLAY-0027391\_spLEVELS 5.6 File Format Release Notes
13.  CLAY-0027394\_spLEVELS 5.6 File Format
14.  CLAY-0027401\_2 - Moodys Data Capture
15.  CLAY-0027408\_April 2007 - Moody\_s revised data field requirements
16.  CLAY-0027409\_Moody's revised data field requirements Apr07
17.  CLAY-0027416\_Moody's Residential Loan-by-Loan Layout
18.  CLAY-0027432\_February 2005 - Moodys Rating Screen
19.  CLAY-0027433\_Moodys Rating Screen
20.  CLAY-0027435\_June 2006 - Moody\_s Coding Prime and AltA docs
21.  CLAY-0027436\_Moody's Coding Prime and AltA docs 6-15-06
22.  CLAY-0027473\_Moody's Approach to Documentation Programs
23.  CLAY-0027483\_November 2006 - Moodys Data Capture
24.  CLAY-0027484\_Moodys Data Capture




















p.  Rating Agency Meetings

1.  CLAY-0027491\_Chronology of Meetings
2.  CLAY-0027494\_1 - Moodys Rating Screen
3.  CLAY-0027496\_10 - InFront 7 31 07 with Servicer IDs




4.  CLAY-0027517\_10.1 - Trending Reports v3 Mock-Up-Draft
5.  CLAY-0027536\_2 - Moodys Data Capture
6.  CLAY-0027543\_3 - Moody\_s Information Screen
7.  CLAY-0027544\_3.1 - Moody\_s revised EXPANDED data field requirements Jun07
8.  CLAY-0027546\_4 - Moodys Clayton Overview\_Moodys\_011107
9.  CLAY-0027611\_4.1 - Addendum C - Clayton Default Profile
10.  CLAY-0027624\_4.2 - Addendum C - Client Credit profile document 010307 (6)
11.  CLAY-0027628\_4.3 - Addendum D - 06 19 06\_Sample\_IAS
12.  CLAY-0027632\_4.4 - Addendum D - 06 19 06\_Sample\_LoanLevelDataCompare
13.  CLAY-0027635\_5 - Moodys\_High Cost Presentation
14.  CLAY-0027674\_6 - Sample Loan Disposition Summary Reports
15.  CLAY-0027674\_6 - Sample Loan Disposition Summary Reports
16.  CLAY-0027675\_6.1 - Sample Super Summary 6 28 06
17.  CLAY-0027681\_6.2 - Sample Loan Level Tape Comparison Report
18.  CLAY-0027684\_7 - Proposed Language
19.  CLAY-0027685\_8 - Copy of Moodys\_Scrlmage
20.  CLAY-0027685\_8 - Copy of Moodys\_Scrlmage
21.  CLAY-0027686\_9 - Clayton Intercept Slip Sheet
22.  CLAY-0027687\_9.1 - InterCept Dashboards0808 (3)
23.  CLAY-0027688\_Index & Intro Doc
24.  CLAY-0027692\_Intro Doc
25.  CLAY-0027696\_11-09-07\_Fitch
26.  CLAY-0027738\_11-30-06\_Fitch\_Clayton Overview UK
27.  CLAY-0027769\_S and P 10-10-07\_v1.0







































q.  UBS
























































1.  Compliance Letters\_Fitch Examples
  - a.  CLAY-0035905\_UBS\_Fitch-KY-Multiple loans
  - b.  CLAY-0035906\_UBS\_Fitch-KY-Single Loan
  - c.  CLAY-0035907\_UBS\_Fitch-NJ-Multiple loans
  - d.  CLAY-0035908\_UBS\_Fitch-NJ-Single loan
  - e.  CLAY-0035909\_UBS\_Fitch-NM-Multiple loans
  - f.  CLAY-0035910\_UBS\_Fitch-NM-Single Loan
2.  Final Report Set Example
  - a.  CLAY-0035911\_ExceptionDetail
  - b.  CLAY-0035912\_IAS\_Event 3
  - c.  CLAY-0036649\_Loan\_Disposition
  - d.  CLAY-0036650\_LoanLevelDataCompare
  - e.  CLAY-0036804\_LoanLevelDataCompare
  - f.  CLAY-0036805\_Moodys Upload
  - g.  CLAY-0036806\_SubPrime\_Upload
3.  Moody's Scenario 1- Reports
  - a.  CLAY-0036807\_FNLCO608\_Moody\_sData\_Aug28
4.  Moody's Scenario 5- Reports
  - a.  CLAY-0036808\_UBS - LIME0703\_InitialMoodysQuery
























































r.  WAMU- WMMSC








1.  Final Report Example Set
  - a.  CLAY-0036809\_Tape Deltas - Pool Level
  - b.  CLAY-0036810\_Due Diligence Summary
  - c.  CLAY-0036811\_ExceptionDetail-20070605
  - d.  CLAY-0036812\_Exceptions Criteria Listing
  - e.  CLAY-0036815\_Loan Disposition Detail
  - f.  CLAY-0036816\_Loan Disposition Summary
  - g.  CLAY-0036817\_Loan\_Disposition
  - h.  CLAY-0036818\_PEOPLES CHOICE 03 - Single cell exceptions


06-05-2007




- i.  CLAY-0036819\_Tape Deltas - Loan Level
  - j.  CLAY-0037034\_Tape Deltas - Loan Level
  - k.  CLAY-0037035\_Tape Deltas - Pool Level
  - l.  CLAY-0037039\_SportyExport
  - m.  CLAY-0037040\_Export01-AppraisalInformation
  - n.  CLAY-0037041\_Export02-ARMInformation
  - o.  CLAY-0037042\_Export03-BCCreditInformation
  - p.  CLAY-0037043\_Export04-CreditInformation
  - q.  CLAY-0037044\_Export05-MainInformation
  - r.  CLAY-0037045\_Export06-MortgageInformation
  - s.  CLAY-0037046\_Export07-NarrativeInformation
  - t.  CLAY-0037047\_Export08-NoteInformation
  - u.  CLAY-0037048\_Export09-RegzHud1Information
  - v.  CLAY-0037049\_Export10-TitleInformation
  - w.  CLAY-0037050\_Export11-SettlementFees
- i.  CLAY 0037051 - Clay 0037070
- 1.  CLAY 0037051- CLAY 0037070
- j.  CLAY 0037071 - CLAY 0083908
- 1.  BEA, FGMC (FG0512)
    - a.  CLAY-0037071\_FGMC 05-1114 WL (FG0512) Clayton DD Data File 091905
    - b.  CLAY-0037072\_FGMC 05-1114 WL (FG0512) Clayton Due Diligence Issues 091905
    - c.  CLAY-0037073\_FGMC 05-1114 WL (FG0512) IAS 1 Report 091905
    - d.  CLAY-0037110\_FGMC 05-1114 WL (FG0512) IAS 2 Report 091905
    - e.  CLAY-0037117\_FGMC 05-1114 WL (FG0512) IAS 3 Report 091905
    - f.  CLAY-0037148\_FGMC 05-1114 WL (FG0512) Loans Not Reviewed Report 091905
    - g.  CLAY-0037149\_FGMC 05-1114 WL (FG0512) Production Report 091905
    - h.  CLAY-0037153\_FGMC 05-1114 WL (FG0512) Clayton DD Data File 092605
    - i.  CLAY-0037154\_FGMC 05-1114 WL (FG0512) Clayton DD Data File 092705
    - j.  CLAY-0037155\_FGMC 05-1114 WL (FG0512) Clayton Due Diligence Issues 092705
    - k.  CLAY-0037156\_FGMC 05-1114 WL (FG0512) IAS 1 Report 092705
    - l.  CLAY-0037204\_FGMC 05-1114 WL (FG0512) IAS 2 Report 092705
    - m.  CLAY-0037220\_FGMC 05-1114 WL (FG0512) IAS 3 Report 092705
    - n.  CLAY-0037231\_prodlog20050927
    - o.  CLAY-0037235\_FGMC 05-1114 WL (FG0512) Clayton DD Data File 092805
    - p.  CLAY-0037236\_FGMC 05-1114 WL (FG0512) Clayton Due Diligence Issues 092805
    - q.  CLAY-0037237\_FGMC 05-1114 WL (FG0512) IAS 1 Report 092805
    - r.  CLAY-0037290\_FGMC 05-1114 WL (FG0512) IAS 2 Report 092805
  - 2.  EMC, FIRST HORIZON- FH0522
    - a.  CLAY-0037311\_First Horizon 05-1130 WL (FH0522) Clayton DD Data File 09200
    - b.  CLAY-0037312\_First Horizon 05-1130 WL (FH0522) Clayton Due Diligence Issu
    - c.  CLAY-0037313\_First Horizon 05-1130 WL (FH0522) IAS 1 Report 092005
    - d.  CLAY-0037459\_First Horizon 05-1130 WL (FH0522) IAS 2 Report 092005
    - e.  CLAY-0037479\_First Horizon 05-1130 WL (FH0522) IAS 3 Report 092005
    - f.  CLAY-0037522\_First Horizon 05-1130 WL (FH0522) Production Report 092005
    - g.  CLAY-0037526\_First Horizon 05-1130 WL (FH0522) Clayton DD Data File 09210
    - h.  CLAY-0037527\_First Horizon 05-1130 WL (FH0522) Clayton Due Diligence Issu
    - i.  CLAY-0037528\_First Horizon 05-1130 WL (FH0522) IAS 1 Report 092105
    - j.  CLAY-0038007\_First Horizon 05-1130 WL (FH0522) IAS 2 Report 092105
    - k.  CLAY-0038051\_First Horizon 05-1130 WL (FH0522) IAS 3 Report 092105
    - l.  CLAY-0038180\_First Horizon 05-1130 WL (FH0522) Production Report 092105
    - m.  CLAY-0038186\_First Horizon 05-1159 WL (FH05C2) Clayton DD Data File 09210
    - n.  CLAY-0038187\_First Horizon 05-1159 WL (FH05C2) Clayton Due Diligence Issu





- o.  CLAY-0038188\_First Horizon 05-1159 WL (FH05C2) IAS 1 Report 092105
- p.  CLAY-0038207\_First Horizon 05-1159 WL (FH05C2) IAS 2 Report 092105
- q.  CLAY-0038211\_First Horizon 05-1159 WL (FH05C2) Production Report 092105
- r.  CLAY-0038215\_First Horizon 05-1130 WL (FH0522) Clayton DD Data File 09220
- s.  CLAY-0038216\_First Horizon 05-1130 WL (FH0522) Clayton Due Diligence Issu
- t.  CLAY-0038217\_First Horizon 05-1130 WL (FH0522) IAS 1 Report 092205
- u.  CLAY-0039005\_First Horizon 05-1130 WL (FH0522) IAS 2 Report 092205
- v.  CLAY-0039059\_First Horizon 05-1130 WL (FH0522) IAS 3 Report 092205
- w.  CLAY-0039241\_First Horizon 05-1130 WL (FH0522) Production Report 092205
- x.  CLAY-0039247\_First Horizon 05-1159 WL (FH05C2) Clayton DD Data File 09220
- y.  CLAY-0039248\_First Horizon 05-1159 WL (FH05C2) Clayton Due Diligence Issu
- z.  CLAY-0039249\_First Horizon 05-1159 WL (FH05C2) IAS 1 Report 092205
- aa.  CLAY-0039331\_First Horizon 05-1159 WL (FH05C2) IAS 2 Report 092205
- ab.  CLAY-0039347\_First Horizon 05-1159 WL (FH05C2) IAS 3 Report 092205
- ac.  CLAY-0039401\_First Horizon 05-1159 WL (FH05C2) Production Report 092205
- ad.  CLAY-0039405\_First Horizon 05-1130 WL (FH0522) Clayton DD Data File 09230
- ae.  CLAY-0039406\_First Horizon 05-1130 WL (FH0522) Clayton Due Diligence Issu
- af.  CLAY-0039407\_First Horizon 05-1130 WL (FH0522) IAS 1 Report 092305
- ag.  CLAY-0040503\_First Horizon 05-1130 WL (FH0522) IAS 2 Report 092305
- ah.  CLAY-0040559\_First Horizon 05-1130 WL (FH0522) IAS 3 Report 092305
- ai.  CLAY-0040750\_First Horizon 05-1130 WL (FH0522) Production Report 092305
- aj.  CLAY-0040756\_First Horizon 05-1159 WL (FH05C2) Clayton DD Data File 09230
- ak.  CLAY-0040757\_First Horizon 05-1159 WL (FH05C2) Clayton Due Diligence Issu
- al.  CLAY-0040758\_First Horizon 05-1159 WL (FH05C2) IAS 1 Report 092305
- am.  CLAY-0041037\_First Horizon 05-1159 WL (FH05C2) IAS 2 Report 092305
- an.  CLAY-0041056\_First Horizon 05-1159 WL (FH05C2) IAS 3 Report 092305
- ao.  CLAY-0041149\_First Horizon 05-1159 WL (FH05C2) Production Report 092305
- ap.  CLAY-0041155\_First Horizon 05-1130 WL (FH0522) Clayton DD Data File 09260
- aq.  CLAY-0041156\_First Horizon 05-1130 WL (FH0522) Clayton Due Diligence Issu
- ar.  CLAY-0041157\_First Horizon 05-1130 WL (FH0522) IAS 1 Report 092605
- as.  CLAY-0042288\_First Horizon 05-1130 WL (FH0522) IAS 2 Report 092605
- at.  CLAY-0042348\_First Horizon 05-1130 WL (FH0522) IAS 3 Report 092605
- au.  CLAY-0042521\_First Horizon 05-1130 WL (FH0522) Production Report 092605
- av.  CLAY-0042527\_First Horizon 05-1159 WL (FH05C2) Clayton DD Data File 09260
- aw.  CLAY-0042528\_First Horizon 05-1159 WL (FH05C2) Clayton Due Diligence Issu
- ax.  CLAY-0042529\_First Horizon 05-1159 WL (FH05C2) IAS 1 Report 092605
- ay.  CLAY-0043050\_First Horizon 05-1159 WL (FH05C2) IAS 2 Report 092605
- az.  CLAY-0043078\_First Horizon 05-1159 WL (FH05C2) IAS 3 Report 092605
- ba.  CLAY-0043206\_First Horizon 05-1159 WL (FH05C2) Production Report 092605
- bb.  CLAY-0043212\_First Horizon 05-1130 WL (FH0522) Clayton DD Data File 09280
- bc.  CLAY-0043213\_First Horizon 05-1130 WL (FH0522) Clayton Due Diligence Issu
- bd.  CLAY-0043214\_First Horizon 05-1130 WL (FH0522) IAS 1 Report 092805
- be.  CLAY-0044381\_First Horizon 05-1130 WL (FH0522) IAS 2 Report 092805
- bf.  CLAY-0044440\_First Horizon 05-1130 WL (FH0522) IAS 3 Report 092805
- bg.  CLAY-0044544\_First Horizon 05-1159 WL (FH05C2) Clayton DD Data File 09280
- bh.  CLAY-0044545\_First Horizon 05-1159 WL (FH05C2) Clayton Due Diligence Issu
- bi.  CLAY-0044546\_First Horizon 05-1159 WL (FH05C2) IAS 1 Report 092805
- bj.  CLAY-0045082\_First Horizon 05-1159 WL (FH05C2) IAS 2 Report 092805
- bk.  CLAY-0045116\_First Horizon 05-1159 WL (FH05C2) IAS 3 Report 092805
- bl.  CLAY-0045214\_First Horizon 05-1130 WL (FH0522) Clayton DD Data File 09290
- bm.  CLAY-0045215\_First Horizon 05-1130 WL (FH0522) Clayton Due Diligence Issu
- bn.  CLAY-0045216\_First Horizon 05-1130 WL (FH0522) IAS 1 Report 092905
- bo.  CLAY-0046385\_First Horizon 05-1130 WL (FH0522) IAS 2 Report 092905
- bp.  CLAY-0046539\_First Horizon 05-1130 WL (FH0522) IAS 3 Report 092905
- bq.  CLAY-0046638\_prodlog20050929





















































br.  CLAY-0046644\_First Horizon 05-1130 WL (FH0522) Clayton DD Data File 10040  
bs.  CLAY-0046645\_First Horizon 05-1130 WL (FH0522) Clayton Due Diligence Issu  
bt.  CLAY-0046646\_First Horizon 05-1130 WL (FH0522) IAS 1 Report 100405  
bu.  CLAY-0047863\_First Horizon 05-1130 WL (FH0522) IAS 2 Report 100405  
bv.  CLAY-0047999\_First Horizon 05-1130 WL (FH0522) IAS 3 Report 100405  
bw.  CLAY-0048064\_First Horizon 05-1130 WL (FH0522) Loans Not Reviewed Report  
bx.  CLAY-0048065\_First Horizon 05-1130 WL (FH0522) Production Report 100405  
by.  CLAY-0048071\_First Horizon 05-1159 WL (FH05C2) Clayton DD Data File 10040  
bz.  CLAY-0048072\_First Horizon 05-1159 WL (FH05C2) Clayton Due Diligence Issu  
ca.  CLAY-0048073\_First Horizon 05-1159 WL (FH05C2) IAS 1 Report 100405  
cb.  CLAY-0048656\_First Horizon 05-1159 WL (FH05C2) IAS 2 Report 100405  
cc.  CLAY-0048720\_First Horizon 05-1159 WL (FH05C2) IAS 3 Report 100405  
cd.  CLAY-0048760\_First Horizon 05-1159 WL (FH05C2) Loans Not Reviewed Report  
ce.  CLAY-0048761\_First Horizon 05-1159 WL (FH05C2) Production Report 100405  
cf.  CLAY-0048767\_First Horizon 05-1130 WL (FH0522) Clayton DD Data File 10050  
cg.  CLAY-0048768\_First Horizon 05-1130 WL (FH0522) Clayton Due Diligence Issu  
ch.  CLAY-0048769\_First Horizon 05-1130 WL (FH0522) IAS 1 Report 100505  
ci.  CLAY-0049988\_First Horizon 05-1130 WL (FH0522) IAS 2 Report 100505  
cj.  CLAY-0050124\_First Horizon 05-1130 WL (FH0522) IAS 3 Report 100505  
ck.  CLAY-0050188\_First Horizon 05-1130 WL (FH0522) Production Report 100505  
cl.  CLAY-0050194\_First Horizon 05-1159 WL (FH05C2) Clayton DD Data File 10050  
cm.  CLAY-0050195\_First Horizon 05-1159 WL (FH05C2) Clayton Due Diligence Issu  
cn.  CLAY-0050196\_First Horizon 05-1159 WL (FH05C2) IAS 1 Report 100505  
co.  CLAY-0050779\_First Horizon 05-1159 WL (FH05C2) IAS 2 Report 100505  
cp.  CLAY-0050843\_First Horizon 05-1159 WL (FH05C2) IAS 3 Report 100505  
cq.  CLAY-0050883\_First Horizon 05-1159 WL (FH05C2) Production Report 100505  
cr.  CLAY-0050889\_First Horizon 05-1130 WL (FH0522) Clayton DD Data File 10140  
cs.  CLAY-0050890\_First Horizon 05-1130 WL (FH0522) Clayton Due Diligence Issu  
ct.  CLAY-0050891\_First Horizon 05-1130 WL (FH0522) IAS 1 Report 101405  
cu.  CLAY-0052120\_First Horizon 05-1130 WL (FH0522) IAS 2 Report 101405  
cv.  CLAY-0052258\_First Horizon 05-1130 WL (FH0522) IAS 3 Report 101405  
cw.  CLAY-0052311\_prodlog20051014  
cx.  CLAY-0052317\_First Horizon 05-1159 WL (FH05C2) Clayton DD Data File 10140  
cy.  CLAY-0052318\_First Horizon 05-1159 WL (FH05C2) Clayton Due Diligence Issu  
cz.  CLAY-0052319\_First Horizon 05-1159 WL (FH05C2) IAS 1 Report 101405  
da.  CLAY-0052908\_First Horizon 05-1159 WL (FH05C2) IAS 2 Report 101405  
db.  CLAY-0052972\_First Horizon 05-1159 WL (FH05C2) IAS 3 Report 101405  
dc.  CLAY-0053012\_prodlog20051014  
dd.  CLAY-0053018\_First Horizon Clayton DD Data File 102005  
de.  CLAY-0053019\_First Horizon Clayton Due Diligence Issues 102005  
df.  CLAY-0053020\_First Horizon IAS 1 Report 102005  
dg.  CLAY-0054869\_First Horizon IAS 2 Report 102005  
dh.  CLAY-0055108\_First Horizon IAS 3 Report 102005  
di.  CLAY-0055128\_prodlog20051020  
dj.  CLAY-0055134\_First Horizon 05-1130 WL (FH0522) Clayton DD Data File 10200  
dk.  CLAY-0055135\_First Horizon 05-1130 WL (FH0522) Clayton Due Diligence Issu  
dl.  CLAY-0055136\_First Horizon 05-1130 WL (FH0522) IAS 1 Report 102005  
dm.  CLAY-0056375\_First Horizon 05-1130 WL (FH0522) IAS 2 Report 102005  
dn.  CLAY-0056538\_First Horizon 05-1130 WL (FH0522) IAS 3 Report 102005  
do.  CLAY-0056552\_prodlog20051020  
dp.  CLAY-0056558\_First Horizon 05-1159 WL (FH05C2) Clayton DD Data File 10200  
dq.  CLAY-0056559\_First Horizon 05-1159 WL (FH05C2) Clayton Due Diligence Issu  
dr.  CLAY-0056560\_First Horizon 05-1159 WL (FH05C2) IAS 1 Report 102005  
ds.  CLAY-0057170\_First Horizon 05-1159 WL (FH05C2) IAS 2 Report 102005  
dt.  CLAY-0057246\_First Horizon 05-1159 WL (FH05C2) IAS 3 Report 102005






- du.  CLAY-0057253\_prodlog20051020
- dv.  CLAY-0057259\_First Horizon 05-1159 WL (FH05C2) Clayton DD Data File 10210
- dw.  CLAY-0057260\_First Horizon 05-1159 WL (FH05C2) Clayton Due Diligence Issu
- dx.  CLAY-0057261\_First Horizon 05-1159 WL (FH05C2) IAS 1 Report 102105
- dy.  CLAY-0057874\_First Horizon 05-1159 WL (FH05C2) IAS 2 Report 102105
- dz.  CLAY-0057950\_First Horizon 05-1159 WL (FH05C2) IAS 3 Report 102105
- ea.  CLAY-0057954\_prodlog20051021

3.  EMC, MILA (MI0511)
























































- a.  CLAY-0057960\_MILA (MI0511) Clayton DD Data File 110805
- b.  CLAY-0057961\_MILA (MI0511) Clayton Due Diligence Issues 110805
- c.  CLAY-0057962\_MILA (MI0511) IAS 1 Report 110805
- d.  CLAY-0058019\_MILA (MI0511) IAS 3 Report 110805
- e.  CLAY-0058069\_MILA (MI0511) Production Report 110805
- f.  CLAY-0058073\_MILA (MI0511) Clayton DD Data File 110905
- g.  CLAY-0058074\_MILA (MI0511) Clayton Due Diligence Issues 110905
- h.  CLAY-0058075\_MILA (MI0511) IAS 1 Report 110905
- i.  CLAY-0058313\_MILA (MI0511) IAS 2 Report 110905
- j.  CLAY-0058319\_MILA (MI0511) IAS 3 Report 110905
- k.  CLAY-0058385\_MILA (MI0511) Production Report 110905
- l.  CLAY-0058389\_MILA (MI0511) Clayton DD Data File 111005
- m.  CLAY-0058390\_MILA (MI0511) Clayton Due Diligence Issues 111005
- n.  CLAY-0058391\_MILA (MI0511) IAS 1 Report 111005
- o.  CLAY-0058940\_MILA (MI0511) IAS 2 Report 111005
- p.  CLAY-0058952\_MILA (MI0511) IAS 3 Report 111005
- q.  CLAY-0059020\_MILA (MI0511) Production Report 111005
- r.  CLAY-0059024\_MILA (MI0511) Clayton DD Data File 111105
- s.  CLAY-0059025\_MILA (MI0511) Clayton Due Diligence Issues 111105
- t.  CLAY-0059026\_MILA (MI0511) IAS 1 Report 111105
- u.  CLAY-0059836\_MILA (MI0511) IAS 2 Report 111105
- v.  CLAY-0059848\_MILA (MI0511) IAS 3 Report 111105
- w.  CLAY-0059945\_MILA (MI0511) Production Report 111105
- x.  CLAY-0059949\_MILA (MI0511) Clayton DD Data File 111405
- y.  CLAY-0059950\_MILA (MI0511) Clayton Due Diligence Issues 111405
- z.  CLAY-0059951\_MILA (MI0511) IAS 1 Report 111405
- aa.  CLAY-0060867\_MILA (MI0511) IAS 2 Report 111405
- ab.  CLAY-0060906\_MILA (MI0511) IAS 3 Report 111405
- ac.  CLAY-0060973\_MILA (MI0511) Loans Not Reviewed Report 111405
- ad.  CLAY-0060974\_MILA (MI0511) Production Report 111405
- ae.  CLAY-0060978\_MILA (MI0511) Clayton DD Data File 111405
- af.  CLAY-0060979\_MILA (MI0511) Clayton Due Diligence Issues 111405
- ag.  CLAY-0060980\_MILA (MI0511) IAS 1 Report 111405
- ah.  CLAY-0061892\_MILA (MI0511) IAS 2 Report 111405
- ai.  CLAY-0061931\_MILA (MI0511) IAS 3 Report 111405
- aj.  CLAY-0062002\_MILA (MI0511) Loans Not Reviewed Report 111405
- ak.  CLAY-0062003\_MILA (MI0511) Production Report 111405
- al.  CLAY-0062007\_MILA (MI0511) Clayton DD Data File 111405
- am.  CLAY-0062008\_MILA (MI0511) Clayton Due Diligence Issues 111405
- an.  CLAY-0062009\_MILA (MI0511) IAS 1 Report 111405
- ao.  CLAY-0062921\_MILA (MI0511) IAS 2 Report 111405
- ap.  CLAY-0062960\_MILA (MI0511) IAS 3 Report 111405
- aq.  CLAY-0063031\_MILA (MI0511) Loans Not Reviewed Report 111405
- ar.  CLAY-0063032\_MILA (MI0511) Production Report 111405
- as.  CLAY-0063036\_MILA (MI0511) Clayton DD Data File 111605
- at.  CLAY-0063037\_MILA (MI0511) Clayton Due Diligence Issues 111605
- au.  CLAY-0063038\_MILA (MI0511) IAS 1 Report 111605
























































- av.  CLAY-0063964\_MILA (MI0511) IAS 2 Report 111605
- aw.  CLAY-0064025\_MILA (MI0511) IAS 3 Report 111605
- ax.  CLAY-0064058\_prodlog20051116
- 4.  MEL, 1HOR0601
  - a.  CLAY-0064062\_Exception\_Summary20060118
  - b.  CLAY-0064063\_ExceptionDetail20060118
  - c.  CLAY-0064064\_IASEV12520060118
  - d.  CLAY-0064187\_IASEV3420060118
  - e.  CLAY-0064221\_LLDC20060118\_Incmp
  - f.  CLAY-0064222\_LoanLevelDC20060118
  - g.  CLAY-0064249\_LoanList20060118
  - h.  CLAY-0064250\_LoanStatus20060118
  - i.  CLAY-0064251\_MissDoc
  - j.  CLAY-0064252\_prodlog20060118
  - k.  CLAY-0064257\_TapeCompareField20060118
  - l.  CLAY-0064266\_2005-01-19 - Merrill - First Horizon 2006-02 - 1HOR0601 - FN
  - m.  CLAY-0064267\_Exception\_Summary20060119
  - n.  CLAY-0064268\_ExceptionDetail20060119
  - o.  CLAY-0064269\_IASEV12520060119
  - p.  CLAY-0064937\_IASEV3420060119
  - q.  CLAY-0065206\_LLDC20060119\_Incmp
  - r.  CLAY-0065207\_LoanLevelDC20060119
  - s.  CLAY-0065367\_LoanList20060119
  - t.  CLAY-0065368\_LoanStatus20060119
  - u.  CLAY-0065369\_MissDoc
  - v.  CLAY-0065370\_prodlog20060119
  - w.  CLAY-0065375\_TapeCompareField20060119
  - x.  CLAY-0065384\_Exception\_Summary20060120
  - y.  CLAY-0065385\_ExceptionDetail20060120
  - z.  CLAY-0065386\_IASEV12520060120
  - aa.  CLAY-0066140\_IASEV3420060120
  - ab.  CLAY-0066369\_LLDC20060120\_Incmp
  - ac.  CLAY-0066370\_LoanLevelDC20060120
  - ad.  CLAY-0066537\_LoanList20060120
  - ae.  CLAY-0066538\_LoanStatus20060120
  - af.  CLAY-0066539\_MissDoc
  - ag.  CLAY-0066540\_prodlog20060120
  - ah.  CLAY-0066545\_TapeCompareField20060120
  - ai.  CLAY-0066554\_Exception\_Summary20060123
  - aj.  CLAY-0066555\_ExceptionDetail20060123\_06
  - ak.  CLAY-0066556\_IASEV12520060123
  - al.  CLAY-0066997\_IASEV3420060123
  - am.  CLAY-0067105\_LLDC20060123\_Incmp
  - an.  CLAY-0067106\_LoanLevelDC20060123
  - ao.  CLAY-0067199\_LoanList20060123
  - ap.  CLAY-0067200\_LoanStatus20060123
  - aq.  CLAY-0067201\_MissDoc
  - ar.  CLAY-0067202\_prodlog20060123
  - as.  CLAY-0067208\_TapeCompareField20060123
  - at.  CLAY-0067217\_IASEV12520060124
  - au.  CLAY-0068036\_IASEV3420060124
  - av.  CLAY-0068233\_LLDC20060124\_Incmp
  - aw.  CLAY-0068234\_LoanLevelDC20060124
  - ax.  CLAY-0068406\_LoanStatus20060124
  - ay.  CLAY-0068407\_MissDoc
























































az.  CLAY-0068408\_prodlog20060124  
ba.  CLAY-0068414\_STIPLOG\_MERRILL\_1HOR0601\_20060124\_1  
bb.  CLAY-0068415\_TapeCompareField20060124  
bc.  CLAY-0068424\_Exception\_Summary20060125  
bd.  CLAY-0068425\_ExceptionDetail20060125  
be.  CLAY-0068426\_IASEV12520060125  
bf.  CLAY-0069251\_IASEV3420060125  
bg.  CLAY-0069439\_LLDC20060125\_Incmp  
bh.  CLAY-0069440\_LoanLevelDC20060125  
bi.  CLAY-0069611\_LoanList20060125  
bj.  CLAY-0069612\_LoanStatus20060125  
bk.  CLAY-0069613\_MissDoc  
bl.  CLAY-0069614\_prodlog20060125  
bm.  CLAY-0069620\_TapeCompareField20060125  
bn.  CLAY-0069629\_Exception\_Summary20060127  
bo.  CLAY-0069630\_ExceptionDetail20060127  
bp.  CLAY-0069631\_IASEV12520060127  
bq.  CLAY-0070494\_IASEV3420060127  
br.  CLAY-0070647\_LLDC20060127\_Incmp  
bs.  CLAY-0070648\_LoanLevelDC20060127  
bt.  CLAY-0070819\_LoanList20060127  
bu.  CLAY-0070820\_LoanStatus20060127  
bv.  CLAY-0070821\_MissDoc  
bw.  CLAY-0070822\_prodlog20060127  
bx.  CLAY-0070828\_TapeCompareField20060127  
by.  CLAY-0070837\_2001-02-01 - Merrill - First Horizon 2006-02 - 1HOR0601 - XP  
bz.  CLAY-0070838\_Exception\_Summary20060201  
ca.  CLAY-0070839\_ExceptionDetail20060201  
cb.  CLAY-0070840\_IASEV12520060201  
cc.  CLAY-0071734\_IASEV3420060201  
cd.  CLAY-0071857\_LLDC20060201\_Incmp  
ce.  CLAY-0071858\_LoanLevelDC20060201  
cf.  CLAY-0072029\_LoanList20060201  
cg.  CLAY-0072030\_LoanStatus20060201  
ch.  CLAY-0072031\_MissDoc  
ci.  CLAY-0072032\_prodlog20060201  
cj.  CLAY-0072038\_TapeCompareField20060201  
ck.  CLAY-0072047\_Exception\_Summary20060202  
cl.  CLAY-0072048\_ExceptionDetail20060202  
cm.  CLAY-0072049\_IASEV12520060202  
cn.  CLAY-0072952\_IASEV3420060202  
co.  CLAY-0073066\_LLDC20060202\_Incmp  
cp.  CLAY-0073067\_LoanLevelDC20060202  
cq.  CLAY-0073238\_LoanList20060202  
cr.  CLAY-0073239\_LoanStatus20060202  
cs.  CLAY-0073240\_MissDoc  
ct.  CLAY-0073241\_prodlog20060202  
cu.  CLAY-0073247\_TapeCompareField20060202  
cv.  CLAY-0073256\_Exception\_Summary20060203  
cw.  CLAY-0073257\_ExceptionDetail20060203  
cx.  CLAY-0073258\_IASEV12520060203  
cy.  CLAY-0074194\_IASEV3420060203  
cz.  CLAY-0074275\_LLDC20060203\_Incmp  
da.  CLAY-0074276\_LoanLevelDC20060203  
db.  CLAY-0074447\_LoanList20060203















- dc.  CLAY-0074448\_LoanStatus20060203
- dd.  CLAY-0074449\_MissDoc
- de.  CLAY-0074450\_prodlog20060203
- df.  CLAY-0074456\_TapeCompareField20060203
- dg.  CLAY-0074465\_LoanStatus20060221
- dh.  CLAY-0074466\_LoanStatus20060223
- 5.  MEL, BAYR0605
  - a.  CLAY-0074467\_Exception\_Summary20060510
  - b.  CLAY-0074468\_ExceptionDetail20060510
  - c.  CLAY-0074469\_ExceptionReport
  - d.  CLAY-0074470\_IASEV12520060510
  - e.  CLAY-0074530\_IASEV3420060510
  - f.  CLAY-0074565\_LLDC20060510\_Incmp
  - g.  CLAY-0074566\_LoanLevelDC20060510
  - h.  CLAY-0074579\_LoanList20060510
  - i.  CLAY-0074580\_LoanStatus20060510
  - j.  CLAY-0074581\_MissDoc
  - k.  CLAY-0074582\_prodlog20060510
  - l.  CLAY-0074586\_TapeCompareField20060510
  - m.  CLAY-0074595\_Exception\_Summary20060511
  - n.  CLAY-0074596\_ExceptionDetail20060511
  - o.  CLAY-0074597\_ExceptionReport
  - p.  CLAY-0074598\_IASEV12520060511
  - q.  CLAY-0074712\_IASEV3420060511
  - r.  CLAY-0074765\_LLDC20060511\_Incmp
  - s.  CLAY-0074766\_LoanLevelDC20060511
  - t.  CLAY-0074788\_LoanList20060511
  - u.  CLAY-0074789\_LoanStatus20060511
  - v.  CLAY-0074790\_MissDoc
  - w.  CLAY-0074791\_prodlog20060511
  - x.  CLAY-0074795\_TapeCompareField20060511
  - y.  CLAY-0074804\_Exception\_Summary20060515
  - z.  CLAY-0074805\_ExceptionDetail20060515
  - aa.  CLAY-0074806\_ExceptionReport
  - ab.  CLAY-0074807\_IASEV12520060515
  - ac.  CLAY-0074964\_IASEV3420060515
  - ad.  CLAY-0074991\_LLDC20060515\_Incmp
  - ae.  CLAY-0074992\_LoanLevelDC20060515
  - af.  CLAY-0075017\_LoanList20060515
  - ag.  CLAY-0075018\_LoanStatus20060515
  - ah.  CLAY-0075019\_MissDoc
  - ai.  CLAY-0075020\_prodlog20060515
  - aj.  CLAY-0075025\_TapeCompareField20060515
  - ak.  CLAY-0075034\_Exception\_Summary20060518
  - al.  CLAY-0075035\_ExceptionDetail20060518
  - am.  CLAY-0075036\_ExceptionReport
  - an.  CLAY-0075037\_IASEV12520060518
  - ao.  CLAY-0075194\_IASEV3420060518
  - ap.  CLAY-0075221\_LLDC20060518\_Incmp
  - aq.  CLAY-0075222\_Loan\_Disposition
  - ar.  CLAY-0075223\_LoanLevelDC20060518
  - as.  CLAY-0075248\_LoanList20060518
  - at.  CLAY-0075249\_LoanStatus20060518
  - au.  CLAY-0075250\_MissDoc
  - av.  CLAY-0075251\_prodlog20060518





- aw.  CLAY-0075256\_TapeCompareField20060518
- ax.  CLAY-0075265\_Exception\_Summary20060523
- ay.  CLAY-0075266\_ExceptionDetail20060523
- az.  CLAY-0075267\_ExceptionReport
- ba.  CLAY-0075268\_IASEV12520060523
- bb.  CLAY-0075425\_IASEV3420060523
- bc.  CLAY-0075452\_LLDC20060523\_Incmp
- bd.  CLAY-0075453\_Loan\_Disposition
- be.  CLAY-0075454\_LoanLevelDC20060523
- bf.  CLAY-0075479\_LoanList20060523
- bg.  CLAY-0075480\_LoanStatus20060523
- bh.  CLAY-0075481\_MissDoc
- bi.  CLAY-0075482\_prodlog20060523
- bj.  CLAY-0075487\_TapeCompareField20060523
- bk.  CLAY-0075496\_Exception\_Summary20060524
- bl.  CLAY-0075497\_ExceptionDetail20060524
- bm.  CLAY-0075498\_ExceptionReport
- bn.  CLAY-0075499\_IASEV12520060524
- bo.  CLAY-0075656\_IASEV3420060524
- bp.  CLAY-0075683\_LLDC20060524\_Incmp
- bq.  CLAY-0075684\_Loan\_Disposition
- br.  CLAY-0075685\_LoanLevelDC20060524
- bs.  CLAY-0075710\_LoanList20060524
- bt.  CLAY-0075711\_LoanStatus20060524
- bu.  CLAY-0075712\_MissDoc
- bv.  CLAY-0075713\_prodlog20060524
- bw.  CLAY-0075718\_TapeCompareField20060524
- 6.  MEL, FRE0505
  - a.  CLAY-0075727\_Exception\_Summary20050510
  - b.  CLAY-0075728\_ExceptionDetail20050510
  - c.  CLAY-0075729\_IASEV12520050510
  - d.  CLAY-0075810\_IASEV3420050510
  - e.  CLAY-0075856\_LLDC20050510\_Incmp
  - f.  CLAY-0075857\_LoanLevelDC20050510
  - g.  CLAY-0075871\_LoanList20050510
  - h.  CLAY-0075872\_LoanStatus20050510
  - i.  CLAY-0075873\_MEL - FRE0505 S Dealdata merrill FRE0505
  - j.  CLAY-0075875\_MissDoc
  - k.  CLAY-0075876\_prodlog20050510
  - l.  CLAY-0075881\_TapeCompareField20050510
  - m.  CLAY-0075931\_Exception\_Summary20050511
  - n.  CLAY-0075932\_ExceptionDetail20050511
  - o.  CLAY-0075933\_IASEV12520050511
  - p.  CLAY-0076215\_IASEV3420050511
  - q.  CLAY-0076353\_LLDC20050511\_Incmp
  - r.  CLAY-0076354\_LoanLevelDC20050511
  - s.  CLAY-0076398\_LoanList20050511
  - t.  CLAY-0076399\_LoanStatus20050511
  - u.  CLAY-0076400\_MissDoc
  - v.  CLAY-0076401\_prodlog20050511
  - w.  CLAY-0076407\_TapeCompareField20050511
  - x.  CLAY-0076414\_Exception\_Summary20050512
  - y.  CLAY-0076415\_ExceptionDetail20050512
  - z.  CLAY-0076416\_IASEV12520050512
  - aa.  CLAY-0076760\_IASEV3420050512






- ab.  CLAY-0076837\_LLDC20050512\_Incmp
- ac.  CLAY-0076838\_LoanLevelDC20050512
- ad.  CLAY-0076883\_LoanList20050512
- ae.  CLAY-0076884\_LoanStatus20050512
- af.  CLAY-0076885\_MissDoc
- ag.  CLAY-0076886\_prodlog20050512
- ah.  CLAY-0076892\_TapeCompareField20050512
- ai.  CLAY-0076918\_Exception\_Summary20050513
- aj.  CLAY-0076919\_ExceptionDetail20050513
- ak.  CLAY-0076920\_IASEV12520050513
- al.  CLAY-0077264\_IASEV3420050513
- am.  CLAY-0077341\_LLDC20050513\_Incmp
- an.  CLAY-0077342\_LoanLevelDC20050513
- ao.  CLAY-0077387\_LoanList20050513
- ap.  CLAY-0077388\_LoanStatus20050513
- aq.  CLAY-0077389\_MissDoc
- ar.  CLAY-0077390\_prodlog20050513
- as.  CLAY-0077396\_TapeCompareField20050513
- at.  CLAY-0077446\_Exception\_Summary20050515
- au.  CLAY-0077447\_ExceptionDetail20050515
- av.  CLAY-0077448\_IASEV12520050515
- aw.  CLAY-0077792\_IASEV3420050515
- ax.  CLAY-0077869\_LLDC20050515\_Incmp
- ay.  CLAY-0077870\_LoanLevelDC20050515
- az.  CLAY-0077915\_LoanList20050515
- ba.  CLAY-0077916\_LoanStatus20050515
- bb.  CLAY-0077917\_MissDoc
- bc.  CLAY-0077918\_prodlog20050515
- bd.  CLAY-0077924\_TapeCompareField20050515
- be.  CLAY-0077944\_Exception\_Summary20050517
- bf.  CLAY-0077945\_ExceptionDetail20050517
- bg.  CLAY-0077946\_IASEV12520050517
- bh.  CLAY-0078303\_IASEV3420050517
- bi.  CLAY-0078368\_LLDC20050517\_Incmp
- bj.  CLAY-0078369\_LoanLevelDC20050517
- bk.  CLAY-0078414\_LoanList20050517
- bl.  CLAY-0078415\_LoanStatus20050517
- bm.  CLAY-0078416\_MissDoc
- bn.  CLAY-0078417\_prodlog20050517
- bo.  CLAY-0078423\_TapeCompareField20050517
- bp.  CLAY-0078443\_Exception\_Summary20050519
- bq.  CLAY-0078444\_ExceptionDetail20050519
- br.  CLAY-0078445\_IASEV12520050519
- bs.  CLAY-0078811\_IASEV3420050519
- bt.  CLAY-0078867\_LLDC20050519\_Incmp
- bu.  CLAY-0078868\_LoanLevelDC20050519
- bv.  CLAY-0078913\_LoanList20050519
- bw.  CLAY-0078914\_LoanStatus20050519
- bx.  CLAY-0078915\_MissDoc
- by.  CLAY-0078916\_prodlog20050519
- bz.  CLAY-0078922\_TapeCompareField20050519
- ca.  CLAY-0078958\_Exception\_Summary20050520
- cb.  CLAY-0078959\_ExceptionDetail20050520
- cc.  CLAY-0078960\_IASEV12520050520
- cd.  CLAY-0079326\_IASEV3420050520









ce.  CLAY-0079382\_LLDC20050520\_Incmp  
cf.  CLAY-0079383\_LoanLevelDC20050520  
cg.  CLAY-0079428\_LoanList20050520  
ch.  CLAY-0079429\_LoanStatus20050520  
ci.  CLAY-0079430\_MissDoc  
cj.  CLAY-0079431\_prodlog20050520  
ck.  CLAY-0079437\_TapeCompareField20050520  
cl.  CLAY-0079456\_Exception\_Summary20050522  
cm.  CLAY-0079457\_ExceptionDetail20050522  
cn.  CLAY-0079458\_IASEV12520050522  
co.  CLAY-0079826\_IASEV3420050522  
cp.  CLAY-0079880\_LLDC20050522\_Incmp  
cq.  CLAY-0079881\_LoanLevelDC20050522  
cr.  CLAY-0079926\_LoanList20050522  
cs.  CLAY-0079927\_LoanStatus20050522  
ct.  CLAY-0079928\_MissDoc  
cu.  CLAY-0079929\_prodlog20050522  
cv.  CLAY-0079935\_TapeCompareField20050522  
cw.  CLAY-0079954\_Exception\_Summary20050524  
cx.  CLAY-0079955\_ExceptionDetail20050524  
cy.  CLAY-0079956\_IASEV12520050524  
cz.  CLAY-0080324\_IASEV3420050524  
da.  CLAY-0080378\_LLDC20050524\_Incmp  
db.  CLAY-0080379\_LoanLevelDC20050524  
dc.  CLAY-0080424\_LoanList20050524  
dd.  CLAY-0080425\_LoanStatus20050524  
de.  CLAY-0080426\_MissDoc  
df.  CLAY-0080427\_prodlog20050524  
dg.  CLAY-0080433\_TapeCompareField20050524  
dh.  CLAY-0080452\_LoanList20050525  
di.  CLAY-0080453\_LoanStatus20050525  
dj.  CLAY-0080454\_Exception\_Summary20050525  
dk.  CLAY-0080455\_ExceptionDetail20050525  
dl.  CLAY-0080456\_IASEV12520050525  
dm.  CLAY-0080832\_IASEV3420050525  
dn.  CLAY-0080878\_LLDC20050525\_Incmp  
do.  CLAY-0080879\_LoanLevelDC20050525  
dp.  CLAY-0080924\_LoanList20050525  
dq.  CLAY-0080925\_LoanStatus20050525  
dr.  CLAY-0080926\_MissDoc  
ds.  CLAY-0080927\_prodlog20050525  
dt.  CLAY-0080933\_TapeCompareField20050525  
du.  CLAY-0080957\_Exception\_Summary20050526  
dv.  CLAY-0080958\_ExceptionDetail20050526  
dw.  CLAY-0080959\_fnma\_Note\_Rate\_Pricing  
dx.  CLAY-0080960\_IASEV12520050526  
dy.  CLAY-0081340\_IASEV3420050526  
dz.  CLAY-0081382\_LLDC20050526\_Incmp  
ea.  CLAY-0081383\_LoanLevelDC20050526  
eb.  CLAY-0081428\_LoanList20050526  
ec.  CLAY-0081429\_LoanStatus20050526  
ed.  CLAY-0081430\_MERUPLD  
ee.  CLAY-0081431\_MissDoc  
ef.  CLAY-0081432\_prodlog20050526  
eg.  CLAY-0081438\_TapeCompareField20050526













- eh.  CLAY-0081445\_IO-List-Fremont-2005-47
- ei.  CLAY-0081446\_Exception\_Summary20050526
- ej.  CLAY-0081447\_ExceptionDetail20050526
- ek.  CLAY-0081448\_fnma\_Note\_Rate\_Pricing
- el.  CLAY-0081449\_IASEV12520050526
- em.  CLAY-0081832\_IASEV3420050526
- en.  CLAY-0081871\_LLDC20050526\_Incmp
- eo.  CLAY-0081872\_LoanLevelDC20050526
- ep.  CLAY-0081917\_LoanList20050526
- eq.  CLAY-0081918\_LoanStatus20050526
- er.  CLAY-0081919\_MERUPLD
- es.  CLAY-0081920\_MissDoc
- et.  CLAY-0081921\_prodlog20050526
- eu.  CLAY-0081927\_TapeCompareField20050526



















































7.  MEL, MARI0512

- a.  CLAY-0081934\_Exception\_Summary20060105
- b.  CLAY-0081935\_ExceptionDetail20060105
- c.  CLAY-0081936\_IASEV12520060105
- d.  CLAY-0081989\_IASEV3420060105
- e.  CLAY-0082008\_LLDC20060105\_Incmp
- f.  CLAY-0082009\_LoanLevelDC20060105
- g.  CLAY-0082022\_LoanList20060105
- h.  CLAY-0082023\_LoanStatus20060105
- i.  CLAY-0082024\_MissDoc
- j.  CLAY-0082025\_prodlog20060105
- k.  CLAY-0082029\_TapeCompareField20060105
- l.  CLAY-0082038\_Exception\_Summary20060106
- m.  CLAY-0082039\_ExceptionDetail20060106
- n.  CLAY-0082040\_IASEV12520060106
- o.  CLAY-0082096\_IASEV3420060106
- p.  CLAY-0082112\_LLDC20060106\_Incmp
- q.  CLAY-0082113\_LoanLevelDC20060106
- r.  CLAY-0082126\_LoanList20060106
- s.  CLAY-0082127\_LoanStatus20060106
- t.  CLAY-0082128\_MissDoc
- u.  CLAY-0082129\_prodlog20060106
- v.  CLAY-0082133\_TapeCompareField20060106
- w.  CLAY-0082142\_Exception\_Summary20060109
- x.  CLAY-0082143\_ExceptionDetail20060109
- y.  CLAY-0082144\_IASEV12520060109
- z.  CLAY-0082200\_IASEV3420060109
- aa.  CLAY-0082216\_LLDC20060109\_Incmp
- ab.  CLAY-0082217\_LoanLevelDC20060109
- ac.  CLAY-0082230\_LoanList20060109
- ad.  CLAY-0082231\_LoanStatus20060109
- ae.  CLAY-0082232\_MissDoc
- af.  CLAY-0082233\_prodlog20060109
- ag.  CLAY-0082237\_TapeCompareField20060109
- ah.  CLAY-0082246\_Exception\_Summary20060111
- ai.  CLAY-0082247\_ExceptionDetail20060111
- aj.  CLAY-0082248\_ExceptionDetail20060111\_08
- ak.  CLAY-0082249\_IASEV12520060111
- al.  CLAY-0082308\_IASEV3420060111
- am.  CLAY-0082321\_LLDC20060111\_Incmp
- an.  CLAY-0082322\_LoanLevelDC20060111
























































- ao.  CLAY-0082335\_LoanList20060111
- ap.  CLAY-0082336\_LoanStatus20060111
- aq.  CLAY-0082337\_MissDoc
- ar.  CLAY-0082338\_prodlog20060111
- as.  CLAY-0082342\_TapeCompareField20060111
- at.  CLAY-0082351\_Exception\_Summary20060112
- au.  CLAY-0082352\_ExceptionDetail20060112
- av.  CLAY-0082353\_IASEV12520060112
- aw.  CLAY-0082415\_IASEV3420060112
- ax.  CLAY-0082425\_LLDC20060112\_Incmp
- ay.  CLAY-0082426\_LoanLevelDC20060112
- az.  CLAY-0082439\_LoanList20060112
- ba.  CLAY-0082440\_LoanStatus20060112
- bb.  CLAY-0082441\_MissDoc
- bc.  CLAY-0082442\_prodlog20060112
- bd.  CLAY-0082446\_TapeCompareField20060112
- be.  CLAY-0082455\_LoanStatus20060117
- bf.  CLAY-0082456\_IASEV12520060125
- bg.  CLAY-0082518\_IASEV3420060125
- bh.  CLAY-0082528\_LoanStatus20060125
- bi.  CLAY-0082529\_LoanStatus20060130
- 8.  MEL, OWN0512
  - a.  CLAY-0082530\_ETEXCELL
  - b.  CLAY-0082531\_Exception\_Summary20060106
  - c.  CLAY-0082532\_ETEXCELL
  - d.  CLAY-0082533\_Exception\_Summary20060111
  - e.  CLAY-0082534\_ExceptionDetail20060111
  - f.  CLAY-0082535\_IASEV12520060111
  - g.  CLAY-0082588\_IASEV3420060111
  - h.  CLAY-0082599\_LLDC20060111\_Incmp
  - i.  CLAY-0082600\_LoanLevelDC20060111
  - j.  CLAY-0082611\_LoanList20060111
  - k.  CLAY-0082612\_LoanStatus20060111
  - l.  CLAY-0082613\_MissDoc
  - m.  CLAY-0082614\_prodlog20060111
  - n.  CLAY-0082618\_TapeCompareField20060111
  - o.  CLAY-0082627\_OWN0512\_ETEXCELL
  - p.  CLAY-0082628\_ExceptionDetail20060117
  - q.  CLAY-0082629\_ExceptionDetail20060117\_05
  - r.  CLAY-0082630\_IASEV12520060117
  - s.  CLAY-0082683\_IASEV3420060117
  - t.  CLAY-0082694\_LLDC20060117\_Incmp
  - u.  CLAY-0082695\_LoanLevelDC20060117
  - v.  CLAY-0082706\_LoanList20060117
  - w.  CLAY-0082707\_LoanStatus20060117
  - x.  CLAY-0082708\_MissDoc
  - y.  CLAY-0082709\_prodlog20060117
  - z.  CLAY-0082713\_TapeCompareField20060117
  - aa.  CLAY-0082722\_Exception\_Summary20060120
  - ab.  CLAY-0082723\_ExceptionDetail20060120
  - ac.  CLAY-0082724\_ExceptionDetail20060120\_05
  - ad.  CLAY-0082725\_IASEV12520060120
  - ae.  CLAY-0082778\_IASEV3420060120
  - af.  CLAY-0082789\_LLDC20060120\_Incmp
  - ag.  CLAY-0082790\_LoanLevelDC20060120
























































- ah.  CLAY-0082801\_LoanList20060120
- ai.  CLAY-0082802\_LoanStatus20060120
- aj.  CLAY-0082803\_MissDoc
- ak.  CLAY-0082804\_prodlog20060120
- al.  CLAY-0082808\_TapeCompareField20060120
- am.  CLAY-0082817\_LoanStatus20060221
- 9.  MEL, Sierra Pacific0512
  - a.  CLAY-0082818\_Exception\_Summary20060104
  - b.  CLAY-0082819\_ExceptionDetail20060104
  - c.  CLAY-0082820\_IASEV12520060104
  - d.  CLAY-0082952\_IASEV3420060104
  - e.  CLAY-0082959\_LLDC20060104\_Incmp
  - f.  CLAY-0082960\_LoanLevelDC20060104
  - g.  CLAY-0082978\_LoanList20060104
  - h.  CLAY-0082979\_LoanStatus20060104
  - i.  CLAY-0082980\_MissDoc
  - j.  CLAY-0082981\_prodlog20060104
  - k.  CLAY-0082984\_TapeCompareField20060104
  - l.  CLAY-0082993\_Exception\_Summary20060104
  - m.  CLAY-0082994\_ExceptionDetail20060104
  - n.  CLAY-0082995\_IASEV12520060104
  - o.  CLAY-0083127\_IASEV3420060104
  - p.  CLAY-0083134\_LLDC20060104\_Incmp
  - q.  CLAY-0083135\_LoanLevelDC20060104
  - r.  CLAY-0083153\_LoanList20060104
  - s.  CLAY-0083154\_LoanStatus20060104
  - t.  CLAY-0083155\_MissDoc
  - u.  CLAY-0083156\_prodlog20060104
  - v.  CLAY-0083159\_TapeCompareField20060104
  - w.  CLAY-0083168\_SP0512\_ETEXCELL
  - x.  CLAY-0083169\_LoanStatus20060117
  - y.  CLAY-0083170\_LoanStatus20060130
  - z.  CLAY-0083171\_Exception\_Summary20051220
  - aa.  CLAY-0083172\_ExceptionDetail20051220
  - ab.  CLAY-0083173\_IASEV12520051220
  - ac.  CLAY-0083177\_IASEV3420051220
  - ad.  CLAY-0083193\_LLDC20051220\_Incmp
  - ae.  CLAY-0083194\_LoanLevelDC20051220
  - af.  CLAY-0083197\_LoanList20051220
  - ag.  CLAY-0083198\_LoanStatus20051220
  - ah.  CLAY-0083199\_MissDoc
  - ai.  CLAY-0083200\_prodlog20051220
  - aj.  CLAY-0083203\_TapeCompareField20051220
  - ak.  CLAY-0083212\_Exception\_Summary20051227
  - al.  CLAY-0083213\_ExceptionDetail20051227
  - am.  CLAY-0083214\_IASEV12520051227
  - an.  CLAY-0083323\_IASEV3420051227
  - ao.  CLAY-0083352\_LLDC20051227\_Incmp
  - ap.  CLAY-0083353\_LoanLevelDC20051227
  - aq.  CLAY-0083371\_LoanList20051227
  - ar.  CLAY-0083372\_LoanStatus20051227
  - as.  CLAY-0083373\_MissDoc
  - at.  CLAY-0083374\_prodlog20051227
  - au.  CLAY-0083377\_TapeCompareField20051227
  - av.  CLAY-0083386\_Exception\_Summary20051228
























































- aw.  CLAY-0083387\_ExceptionDetail20051228\_10
- ax.  CLAY-0083496\_IASEV3420051228
- ay.  CLAY-0083526\_LLDC20051228\_Incmp
- az.  CLAY-0083527\_LoanLevelDC20051228
- ba.  CLAY-0083545\_LoanList20051228
- bb.  CLAY-0083546\_LoanStatus20051228
- bc.  CLAY-0083547\_MissDoc
- bd.  CLAY-0083548\_prodlog20051228
- be.  CLAY-0083551\_TapeCompareField20051228
- bf.  CLAY-0083560\_Exception\_Summary20051229
- bg.  CLAY-0083561\_ExceptionDetail20051229\_10
- bh.  CLAY-0083562\_IASEV12520051229
- bi.  CLAY-0083667\_IASEV3420051229
- bj.  CLAY-0083700\_LLDC20051229\_Incmp
- bk.  CLAY-0083701\_LoanLevelDC20051229
- bl.  CLAY-0083719\_LoanList20051229
- bm.  CLAY-0083720\_LoanStatus20051229
- bn.  CLAY-0083721\_MissDoc
- bo.  CLAY-0083722\_prodlog20051229
- bp.  CLAY-0083725\_TapeCompareField20051229
- bq.  CLAY-0083734\_Exception\_Summary20051230
- br.  CLAY-0083735\_ExceptionDetail20051230
- bs.  CLAY-0083736\_IASEV12520051230
- bt.  CLAY-0083860\_IASEV3420051230
- bu.  CLAY-0083875\_LLDC20051230\_Incmp
- bv.  CLAY-0083876\_LoanLevelDC20051230
- bw.  CLAY-0083894\_LoanList20051230
- bx.  CLAY-0083895\_LoanStatus20051230
- by.  CLAY-0083896\_MissDoc
- bz.  CLAY-0083897\_prodlog20051230
- ca.  CLAY-0083900\_TapeCompareField20051230
- k.  NYAG Subpoena and Cover Letters091409-184818
- 3.  Disk # 445
  - a.  16 GS UBS Deal Reports
    1.  GS FHLT 2006 E FRE0611A
    2.  GS FMT2NDS-APR272006-CO FRE0604A
    3.  GS FMST2NDS JAN302006 FRE0601
    4.  GS FRE SD MAY312006 FRES0605
    5.  GS Fremont 2nds Feb 27 FRE0602
    6.  GS FREMONT APRIL272006 FRE0604
    7.  GS GSAMP 06 S3 Put Back CFPB702A
    8.  GS GSAMP 2006 S3 Repurchase Review GSPB0706
    9.  GS GSAMP 2006 S5 Repurchase Review GSPB706B
    10.  GS GSAMP S3 3926-25 GSPB706A
    11.  GS LB2NDS JAN252006 LB0601
    12.  GS NC Warehouse Review NC0702A
    13.  GS New Century TPO Warehouse Nov 2007 NC0711
    14.  GS New Century Warehouse Review June 2006 NCWH0606
    15.  GS ZFREE NOV1706 FREE0610
    16.  UBS Option One - Warehouse - 00122905 - Feb 2006 OOWL0602
  - b.  GS UW Guidelines
    1.  102005 CONDUIT SUBPRIME UNDERWRITING MATRIX - 2006 05 31
    2.  GSMC Conduit Alt A - 2007 Feb 2
    3.  GSMC Conduit Alt A - 2007 Feb 2 (PRIMARY CHANGES)
    4.  GSMC PayOption ARM - 2006 06 30
    5.  GSMC Standard 1







- 6.  Layered Risk Report v1.2
- 7.  Subprime Underwriting Guidelines
- c.  MAAG 16 GS UBS Deals
  - 1.  GS FHLT 2006-E (Deal ID 16087)
    - a.  All Reports Delivered to Client
      - 1.  Client
        - a.  CLAY-MA-0000081
        - b.  CLAY-MA-0000082
        - c.  CLAY-MA-0000150
        - d.  CLAY-MA-0000151
        - e.  CLAY-MA-0000152
        - f.  CLAY-MA-0000153
        - g.  CLAY-MA-0000154
        - h.  CLAY-MA-0000167
        - i.  CLAY-MA-0000168
        - j.  CLAY-MA-0000173
        - k.  CLAY-MA-0000174
        - l.  CLAY-MA-0000175
        - m.  CLAY-MA-0000181
        - n.  CLAY-MA-0000187
        - o.  CLAY-MA-0000188
        - p.  CLAY-MA-0000197
        - q.  CLAY-MA-0000198
        - r.  CLAY-MA-0000280
        - s.  CLAY-MA-0000281
        - t.  CLAY-MA-0000282
        - u.  CLAY-MA-0000283
        - v.  CLAY-MA-0000284
        - w.  CLAY-MA-0000285
        - x.  CLAY-MA-0000431
        - y.  CLAY-MA-0000432
        - z.  CLAY-MA-0000452
        - aa.  CLAY-MA-0000453
        - ab.  CLAY-MA-0000454
        - ac.  CLAY-MA-0000460
        - ad.  CLAY-MA-0000466
        - ae.  CLAY-MA-0000467
        - af.  CLAY-MA-0000572
        - ag.  CLAY-MA-0000573
        - ah.  CLAY-MA-0000660
        - ai.  CLAY-MA-0000661
        - aj.  CLAY-MA-0000662
        - ak.  CLAY-MA-0000663
        - al.  CLAY-MA-0000664
        - am.  CLAY-MA-0000665
        - an.  CLAY-MA-0000823
        - ao.  CLAY-MA-0000824
        - ap.  CLAY-MA-0000854
        - aq.  CLAY-MA-0000855
        - ar.  CLAY-MA-0000856
        - as.  CLAY-MA-0000862
        - at.  CLAY-MA-0000868
        - au.  CLAY-MA-0000869
        - av.  CLAY-MA-0001011
        - aw.  CLAY-MA-0001012
        - ax.  CLAY-MA-0001099
































ay.  CLAY-MA-0001100  
az.  CLAY-MA-0001101  
ba.  CLAY-MA-0001102  
bb.  CLAY-MA-0001103  
bc.  CLAY-MA-0001104  
bd.  CLAY-MA-0001292  
be.  CLAY-MA-0001293  
bf.  CLAY-MA-0001336  
bg.  CLAY-MA-0001337  
bh.  CLAY-MA-0001338  
bi.  CLAY-MA-0001344  
bj.  CLAY-MA-0001350  
bk.  CLAY-MA-0001351  
bl.  CLAY-MA-0001524  
bm.  CLAY-MA-0001525  
bn.  CLAY-MA-0001612  
bo.  CLAY-MA-0001613  
bp.  CLAY-MA-0001614  
bq.  CLAY-MA-0001615  
br.  CLAY-MA-0001616  
bs.  CLAY-MA-0001617  
bt.  CLAY-MA-0001805  
bu.  CLAY-MA-0001806  
bv.  CLAY-MA-0001849  
bw.  CLAY-MA-0001850  
bx.  CLAY-MA-0001851  
by.  CLAY-MA-0001857  
bz.  CLAY-MA-0001863  
ca.  CLAY-MA-0001864  
cb.  CLAY-MA-0002036  
cc.  CLAY-MA-0002037  
cd.  CLAY-MA-0002126  
ce.  CLAY-MA-0002127  
cf.  CLAY-MA-0002128  
cg.  CLAY-MA-0002129  
ch.  CLAY-MA-0002130  
ci.  CLAY-MA-0002131  
cj.  CLAY-MA-0002358  
ck.  CLAY-MA-0002359  
cl.  CLAY-MA-0002413  
cm.  CLAY-MA-0002414  
cn.  CLAY-MA-0002415  
co.  CLAY-MA-0002421  
cp.  CLAY-MA-0002427  
cq.  CLAY-MA-0002428  
cr.  CLAY-MA-0002645  
cs.  CLAY-MA-0002646  
ct.  CLAY-MA-0002738  
cu.  CLAY-MA-0002739  
cv.  CLAY-MA-0002740  
cw.  CLAY-MA-0002741  
cx.  CLAY-MA-0002742  
cy.  CLAY-MA-0002743  
cz.  CLAY-MA-0003128  
da.  CLAY-MA-0003129

db.  CLAY-MA-0003203  
dc.  CLAY-MA-0003204  
dd.  CLAY-MA-0003205  
de.  CLAY-MA-0003211  
df.  CLAY-MA-0003217  
dg.  CLAY-MA-0003218  
dh.  CLAY-MA-0003444  
di.  CLAY-MA-0003445  
dj.  CLAY-MA-0003537  
dk.  CLAY-MA-0003538  
dl.  CLAY-MA-0003539  
dm.  CLAY-MA-0003540  
dn.  CLAY-MA-0003541  
do.  CLAY-MA-0003542  
dp.  CLAY-MA-0004017  
dq.  CLAY-MA-0004018  
dr.  CLAY-MA-0004119  
ds.  CLAY-MA-0004120  
dt.  CLAY-MA-0004121  
du.  CLAY-MA-0004127  
dv.  CLAY-MA-0004134  
dw.  CLAY-MA-0004135  
dx.  CLAY-MA-0004534  
dy.  CLAY-MA-0004535  
dz.  CLAY-MA-0004628  
ea.  CLAY-MA-0004629  
eb.  CLAY-MA-0004630  
ec.  CLAY-MA-0004631  
ed.  CLAY-MA-0004632  
ee.  CLAY-MA-0004633  
ef.  CLAY-MA-0004957  
eg.  CLAY-MA-0004958  
eh.  CLAY-MA-0005062  
ei.  CLAY-MA-0005063  
ej.  CLAY-MA-0005064  
ek.  CLAY-MA-0005070  
el.  CLAY-MA-0005077  
em.  CLAY-MA-0005078  
en.  CLAY-MA-0005404  
eo.  CLAY-MA-0005405  
ep.  CLAY-MA-0005498  
eq.  CLAY-MA-0005499  
er.  CLAY-MA-0005500  
es.  CLAY-MA-0005501  
et.  CLAY-MA-0005502  
eu.  CLAY-MA-0005503  
ev.  CLAY-MA-0005827  
ew.  CLAY-MA-0005828  
ex.  CLAY-MA-0005932  
ey.  CLAY-MA-0005933  
ez.  CLAY-MA-0005934  
fa.  CLAY-MA-0005940  
fb.  CLAY-MA-0005947  
fc.  CLAY-MA-0005948  
fd.  CLAY-MA-0006274


fe.  CLAY-MA-0006275  
ff.  CLAY-MA-0006368  
fg.  CLAY-MA-0006369  
fh.  CLAY-MA-0006370  
fi.  CLAY-MA-0006371  
fj.  CLAY-MA-0006372  
fk.  CLAY-MA-0006663  
fl.  CLAY-MA-0006664  
fm.  CLAY-MA-0006755  
fn.  CLAY-MA-0006756  
fo.  CLAY-MA-0006757  
fp.  CLAY-MA-0006763  
fq.  CLAY-MA-0006770  
fr.  CLAY-MA-0006771  
fs.  CLAY-MA-0007064  
ft.  CLAY-MA-0007065  
fu.  CLAY-MA-0007158  
fv.  CLAY-MA-0007159  
fw.  CLAY-MA-0007160  
fx.  CLAY-MA-0007161  
fy.  CLAY-MA-0007162  
fz.  CLAY-MA-0007163  
ga.  CLAY-MA-0007417  
gb.  CLAY-MA-0007418  
gc.  CLAY-MA-0007522  
gd.  CLAY-MA-0007523  
ge.  CLAY-MA-0007524  
gf.  CLAY-MA-0007530  
gg.  CLAY-MA-0007537  
gh.  CLAY-MA-0007538  
gi.  CLAY-MA-0007794  
gj.  CLAY-MA-0007795  
gk.  CLAY-MA-0007888  
gl.  CLAY-MA-0007889  
gm.  CLAY-MA-0007890  
gn.  CLAY-MA-0007891  
go.  CLAY-MA-0007892  
gp.  CLAY-MA-0008143  
gq.  CLAY-MA-0008144  
gr.  CLAY-MA-0008235  
gs.  CLAY-MA-0008236  
gt.  CLAY-MA-0008237  
gu.  CLAY-MA-0008243  
gv.  CLAY-MA-0008250  
gw.  CLAY-MA-0008251  
gx.  CLAY-MA-0008504  
gy.  CLAY-MA-0008505  
gz.  CLAY-MA-0008598  
ha.  CLAY-MA-0008599  
hb.  CLAY-MA-0008600  
hc.  CLAY-MA-0008601  
hd.  CLAY-MA-0008602  
he.  CLAY-MA-0008603  
hf.  CLAY-MA-0008818  
hg.  CLAY-MA-0008819



















- hh.  CLAY-MA-0008923
- hi.  CLAY-MA-0008924
- hj.  CLAY-MA-0008925
- hk.  CLAY-MA-0008931
- hl.  CLAY-MA-0008938
- hm.  CLAY-MA-0008939


















2.  Seller
























































- a.  CLAY-MA-0000189
- b.  CLAY-MA-0000190
- c.  CLAY-MA-0000468
- d.  CLAY-MA-0000469
- e.  CLAY-MA-0000870
- f.  CLAY-MA-0000871
- g.  CLAY-MA-0001352
- h.  CLAY-MA-0001353
- i.  CLAY-MA-0001523
- j.  CLAY-MA-0001865
- k.  CLAY-MA-0001866
- l.  CLAY-MA-0002429
- m.  CLAY-MA-0002430
- n.  CLAY-MA-0003219
- o.  CLAY-MA-0003220
- p.  CLAY-MA-0004136
- q.  CLAY-MA-0004137
- r.  CLAY-MA-0005079
- s.  CLAY-MA-0005080
- t.  CLAY-MA-0005949
- u.  CLAY-MA-0005950
- v.  CLAY-MA-0006772
- w.  CLAY-MA-0006773
- x.  CLAY-MA-0007539
- y.  CLAY-MA-0007540
- z.  CLAY-MA-0008252
- aa.  CLAY-MA-0008253
- ab.  CLAY-MA-0008940
- ac.  CLAY-MA-0008941



2.  GS FMT2NDS-APR272006-CO (Deal ID 13594)

























































a.  All Reports Delivered to Client

- 1.  CLAY-MA-0009156
- 2.  CLAY-MA-0009157
- 3.  CLAY-MA-0009237
- 4.  CLAY-MA-0009238
- 5.  CLAY-MA-0009239
- 6.  CLAY-MA-0009240
- 7.  CLAY-MA-0009637
- 8.  CLAY-MA-0009638
- 9.  CLAY-MA-0009681
- 10.  CLAY-MA-0009682
- 11.  CLAY-MA-0009688
- 12.  CLAY-MA-0009699
- 13.  CLAY-MA-0009700
- 14.  CLAY-MA-0009701
- 15.  CLAY-MA-0009702
- 16.  CLAY-MA-0009703
- 17.  CLAY-MA-0009704
- 18.  CLAY-MA-0009791
























































19.  CLAY-MA-0009792
20.  CLAY-MA-0009793
21.  CLAY-MA-0009794
22.  CLAY-MA-0010740
23.  CLAY-MA-0010741
24.  CLAY-MA-0010844
25.  CLAY-MA-0010845
26.  CLAY-MA-0010851
27.  CLAY-MA-0010864
28.  CLAY-MA-0010865
29.  CLAY-MA-0010866
30.  CLAY-MA-0010867
31.  CLAY-MA-0010952
32.  CLAY-MA-0010953
33.  CLAY-MA-0010954
34.  CLAY-MA-0010955
35.  CLAY-MA-0012034
36.  CLAY-MA-0012035
37.  CLAY-MA-0012153
38.  CLAY-MA-0012154
39.  CLAY-MA-0012160
40.  CLAY-MA-0012172
41.  CLAY-MA-0012173
42.  CLAY-MA-0012174
43.  CLAY-MA-0012175
44.  CLAY-MA-0012260
45.  CLAY-MA-0012261
46.  CLAY-MA-0012262
47.  CLAY-MA-0012263
48.  CLAY-MA-0013342
49.  CLAY-MA-0013343
50.  CLAY-MA-0013461
51.  CLAY-MA-0013462
52.  CLAY-MA-0013468
53.  CLAY-MA-0013480
54.  CLAY-MA-0013481
55.  CLAY-MA-0013482
56.  CLAY-MA-0013483
57.  CLAY-MA-0014296
58.  CLAY-MA-0014297
59.  CLAY-MA-0014382
60.  CLAY-MA-0014383
61.  CLAY-MA-0014384
62.  CLAY-MA-0014385
63.  CLAY-MA-0015198
64.  CLAY-MA-0015199
65.  CLAY-MA-0015315
66.  CLAY-MA-0015316
67.  CLAY-MA-0015322
68.  CLAY-MA-0015334
69.  CLAY-MA-0015335
70.  CLAY-MA-0015336
71.  CLAY-MA-0015337
72.  CLAY-MA-0016065
73.  CLAY-MA-0016066

74.  CLAY-MA-0016151
75.  CLAY-MA-0016152
76.  CLAY-MA-0016153
77.  CLAY-MA-0016154
78.  CLAY-MA-0016155
79.  CLAY-MA-0016883
80.  CLAY-MA-0016884
81.  CLAY-MA-0017000
82.  CLAY-MA-0017001
83.  CLAY-MA-0017007
84.  CLAY-MA-0017019
85.  CLAY-MA-0017020
86.  CLAY-MA-0017021
87.  CLAY-MA-0017022
88.  CLAY-MA-0017023
89.  CLAY-MA-0017712
90.  CLAY-MA-0017713
91.  CLAY-MA-0017798
92.  CLAY-MA-0017799
93.  CLAY-MA-0017800
94.  CLAY-MA-0017801
95.  CLAY-MA-0018490
96.  CLAY-MA-0018491
97.  CLAY-MA-0018607
98.  CLAY-MA-0018608
99.  CLAY-MA-0018614
100.  CLAY-MA-0018627
101.  CLAY-MA-0018628
102.  CLAY-MA-0018629
103.  CLAY-MA-0018630
104.  CLAY-MA-0018715
105.  CLAY-MA-0018716
106.  CLAY-MA-0018717
107.  CLAY-MA-0018718
108.  CLAY-MA-0019363
109.  CLAY-MA-0019364
110.  CLAY-MA-0019480
111.  CLAY-MA-0019481
112.  CLAY-MA-0019487
113.  CLAY-MA-0019500
114.  CLAY-MA-0019501
115.  CLAY-MA-0019502
116.  CLAY-MA-0019503
117.  CLAY-MA-0019588
118.  CLAY-MA-0019589
119.  CLAY-MA-0019590
120.  CLAY-MA-0019591
121.  CLAY-MA-0020154
122.  CLAY-MA-0020155
123.  CLAY-MA-0020271
124.  CLAY-MA-0020272
125.  CLAY-MA-0020278
126.  CLAY-MA-0020290
127.  CLAY-MA-0020291
128.  CLAY-MA-0020292
























































129.  CLAY-MA-0020293
  130.  CLAY-MA-0020378
  131.  CLAY-MA-0020379
  132.  CLAY-MA-0020380
  133.  CLAY-MA-0020381
  134.  CLAY-MA-0020935
  135.  CLAY-MA-0020936
  136.  CLAY-MA-0021052
  137.  CLAY-MA-0021053
  138.  CLAY-MA-0021059
  139.  CLAY-MA-0021071
  140.  CLAY-MA-0021072
  141.  CLAY-MA-0021073
  142.  CLAY-MA-0021074
3.  GS FMTS2NDS JAN302006 (Deal ID 12560)
- a.  All Reports Delivered to Clients
    1.  CLAY-MA-0021075
    2.  CLAY-MA-0021076
    3.  CLAY-MA-0021147
    4.  CLAY-MA-0021148
    5.  CLAY-MA-0021149
    6.  CLAY-MA-0021308
    7.  CLAY-MA-0021309
    8.  CLAY-MA-0021338
    9.  CLAY-MA-0021339
    10.  CLAY-MA-0021345
    11.  CLAY-MA-0021354
    12.  CLAY-MA-0021355
    13.  CLAY-MA-0021356
    14.  CLAY-MA-0021357
    15.  CLAY-MA-0021428
    16.  CLAY-MA-0021429
    17.  CLAY-MA-0021430
    18.  CLAY-MA-0021589
    19.  CLAY-MA-0021590
    20.  CLAY-MA-0021619
    21.  CLAY-MA-0021620
    22.  CLAY-MA-0021626
    23.  CLAY-MA-0021635
    24.  CLAY-MA-0021636
    25.  CLAY-MA-0021637
    26.  CLAY-MA-0021638
    27.  CLAY-MA-0021721
    28.  CLAY-MA-0021722
    29.  CLAY-MA-0021723
    30.  CLAY-MA-0022205
    31.  CLAY-MA-0022206
    32.  CLAY-MA-0022315
    33.  CLAY-MA-0022316
    34.  CLAY-MA-0022322
    35.  CLAY-MA-0022332
    36.  CLAY-MA-0022333
    37.  CLAY-MA-0022334
    38.  CLAY-MA-0022335
    39.  CLAY-MA-0022418
    40.  CLAY-MA-0022419
























































41.  CLAY-MA-0022420
  42.  CLAY-MA-0023035
  43.  CLAY-MA-0023036
  44.  CLAY-MA-0023169
  45.  CLAY-MA-0023170
  46.  CLAY-MA-0023176
  47.  CLAY-MA-0023187
  48.  CLAY-MA-0023188
  49.  CLAY-MA-0023189
  50.  CLAY-MA-0023190
  51.  CLAY-MA-0023191
  52.  CLAY-MA-0023192
  53.  CLAY-MA-0023274
  54.  CLAY-MA-0023275
  55.  CLAY-MA-0023276
  56.  CLAY-MA-0023277
  57.  CLAY-MA-0023278
  58.  CLAY-MA-0023615
  59.  CLAY-MA-0023616
  60.  CLAY-MA-0023749
  61.  CLAY-MA-0023750
  62.  CLAY-MA-0023751
  63.  CLAY-MA-0023757
  64.  CLAY-MA-0023768
  65.  CLAY-MA-0023769
4.  GS FRE SD MAY312006 (Deal ID 13974)
- a.  All Reports Delivered to Client
    1.  CLAY-MA-0023770
    2.  CLAY-MA-0023771
    3.  CLAY-MA-0023772
    4.  CLAY-MA-0023773
    5.  CLAY-MA-0023774
    6.  CLAY-MA-0023775
    7.  CLAY-MA-0023776
    8.  CLAY-MA-0023850
    9.  CLAY-MA-0023851
    10.  CLAY-MA-0023852
    11.  CLAY-MA-0023853
    12.  CLAY-MA-0023854
    13.  CLAY-MA-0024272
    14.  CLAY-MA-0024273
    15.  CLAY-MA-0024294
    16.  CLAY-MA-0024295
    17.  CLAY-MA-0024296
    18.  CLAY-MA-0024297
    19.  CLAY-MA-0024298
    20.  CLAY-MA-0024299
    21.  CLAY-MA-0024305
    22.  CLAY-MA-0024316
    23.  CLAY-MA-0024317
    24.  CLAY-MA-0024318
    25.  CLAY-MA-0024319
    26.  CLAY-MA-0024320
    27.  CLAY-MA-0024321
    28.  CLAY-MA-0024322
    29.  CLAY-MA-0024388


























































30.  CLAY-MA-0024389
31.  CLAY-MA-0024390
32.  CLAY-MA-0024391
33.  CLAY-MA-0024574
34.  CLAY-MA-0024575
35.  CLAY-MA-0024587
36.  CLAY-MA-0024588
37.  CLAY-MA-0024589
38.  CLAY-MA-0024590
39.  CLAY-MA-0024591
40.  CLAY-MA-0024592
41.  CLAY-MA-0024598
42.  CLAY-MA-0024609
43.  CLAY-MA-0024610
44.  CLAY-MA-0024611
45.  CLAY-MA-0024612
46.  CLAY-MA-0024613
47.  CLAY-MA-0024614
48.  CLAY-MA-0024615
49.  CLAY-MA-0024616
50.  CLAY-MA-0024617
51.  CLAY-MA-0024695
52.  CLAY-MA-0024696
53.  CLAY-MA-0024697
54.  CLAY-MA-0024698
55.  CLAY-MA-0024699
56.  CLAY-MA-0025362
57.  CLAY-MA-0025363
58.  CLAY-MA-0025402
59.  CLAY-MA-0025403
60.  CLAY-MA-0025404
61.  CLAY-MA-0025405
62.  CLAY-MA-0025406
63.  CLAY-MA-0025407
64.  CLAY-MA-0025413
65.  CLAY-MA-0025423
66.  CLAY-MA-0025424
67.  CLAY-MA-0025425
68.  CLAY-MA-0025426
69.  CLAY-MA-0025427
70.  CLAY-MA-0025428
71.  CLAY-MA-0025429
72.  CLAY-MA-0025490
73.  CLAY-MA-0025491
74.  CLAY-MA-0025492
75.  CLAY-MA-0025493
76.  CLAY-MA-0025494
77.  CLAY-MA-0025976
78.  CLAY-MA-0025977
79.  CLAY-MA-0026009
80.  CLAY-MA-0026010
81.  CLAY-MA-0026011
82.  CLAY-MA-0026012
83.  CLAY-MA-0026013
84.  CLAY-MA-0026014
























































- 85.  CLAY-MA-0026020
- 86.  CLAY-MA-0026030
- 87.  CLAY-MA-0026031
- 88.  CLAY-MA-0026032
- 89.  CLAY-MA-0026033
- 90.  CLAY-MA-0026034
- 91.  CLAY-MA-0026035
- 92.  CLAY-MA-0026036
- 93.  CLAY-MA-0026037
- 5.  GS Fremont 2nds Feb 27 (Deal ID 12889)
  - a.  All Reports Delivered to Client
    - 1.  CLAY-MA-0026038
    - 2.  CLAY-MA-0026039
    - 3.  CLAY-MA-0026113
    - 4.  CLAY-MA-0026114
    - 5.  CLAY-MA-0026115
    - 6.  CLAY-MA-0026116
    - 7.  CLAY-MA-0026270
    - 8.  CLAY-MA-0026271
    - 9.  CLAY-MA-0026292
    - 10.  CLAY-MA-0026293
    - 11.  CLAY-MA-0026299
    - 12.  CLAY-MA-0026308
    - 13.  CLAY-MA-0026309
    - 14.  CLAY-MA-0026310
    - 15.  CLAY-MA-0026311
    - 16.  CLAY-MA-0026388
    - 17.  CLAY-MA-0026389
    - 18.  CLAY-MA-0026390
    - 19.  CLAY-MA-0026391
    - 20.  CLAY-MA-0026843
    - 21.  CLAY-MA-0026844
    - 22.  CLAY-MA-0026904
    - 23.  CLAY-MA-0026905
    - 24.  CLAY-MA-0026911
    - 25.  CLAY-MA-0026921
    - 26.  CLAY-MA-0026922
    - 27.  CLAY-MA-0026923
    - 28.  CLAY-MA-0026924
    - 29.  CLAY-MA-0027005
    - 30.  CLAY-MA-0027006
    - 31.  CLAY-MA-0027007
    - 32.  CLAY-MA-0027008
    - 33.  CLAY-MA-0027737
    - 34.  CLAY-MA-0027738
    - 35.  CLAY-MA-0027836
    - 36.  CLAY-MA-0027837
    - 37.  CLAY-MA-0027843
    - 38.  CLAY-MA-0027854
    - 39.  CLAY-MA-0027855
    - 40.  CLAY-MA-0027856
    - 41.  CLAY-MA-0027857
    - 42.  CLAY-MA-0027940
    - 43.  CLAY-MA-0027941
    - 44.  CLAY-MA-0027942
    - 45.  CLAY-MA-0027943


































46.  CLAY-MA-0028468
47.  CLAY-MA-0028469
48.  CLAY-MA-0028597
49.  CLAY-MA-0028598
50.  CLAY-MA-0028604
51.  CLAY-MA-0028616
52.  CLAY-MA-0028617
53.  CLAY-MA-0028618
54.  CLAY-MA-0028619
55.  CLAY-MA-0028702
56.  CLAY-MA-0028703
57.  CLAY-MA-0028704
58.  CLAY-MA-0028705
59.  CLAY-MA-0028836
60.  CLAY-MA-0028842
61.  CLAY-MA-0028843
62.  CLAY-MA-0028844
63.  CLAY-MA-0028925
64.  CLAY-MA-0028926
65.  CLAY-MA-0028927
66.  CLAY-MA-0028928
67.  CLAY-MA-0029284
68.  CLAY-MA-0029285
69.  CLAY-MA-0029415
70.  CLAY-MA-0029416
71.  CLAY-MA-0029422
72.  CLAY-MA-0029434
73.  CLAY-MA-0029435
74.  CLAY-MA-0029436
75.  CLAY-MA-0029437
76.  CLAY-MA-0029518
77.  CLAY-MA-0029519
78.  CLAY-MA-0029520
79.  CLAY-MA-0029521
80.  CLAY-MA-0029877
81.  CLAY-MA-0029878
82.  CLAY-MA-0030008
83.  CLAY-MA-0030009
84.  CLAY-MA-0030015
85.  CLAY-MA-0030027
86.  CLAY-MA-0030028
87.  CLAY-MA-0030029
88.  CLAY-MA-0030030
89.  CLAY-MA-0030031
90.  CLAY-MA-0030112
91.  CLAY-MA-0030113
92.  CLAY-MA-0030114
93.  CLAY-MA-0030115
94.  CLAY-MA-0030116
95.  CLAY-MA-0030430
96.  CLAY-MA-0030431
97.  CLAY-MA-0030561
98.  CLAY-MA-0030562
99.  CLAY-MA-0030568
100.  CLAY-MA-0030580


101.  CLAY-MA-0030581
102.  CLAY-MA-0030582
103.  CLAY-MA-0030583
104.  CLAY-MA-0030584
105.  CLAY-MA-0030665
106.  CLAY-MA-0030666
107.  CLAY-MA-0030667
108.  CLAY-MA-0030668
109.  CLAY-MA-0030669
110.  CLAY-MA-0030962
111.  CLAY-MA-0030963
112.  CLAY-MA-0031093
113.  CLAY-MA-0031094
114.  CLAY-MA-0031100
115.  CLAY-MA-0031112
116.  CLAY-MA-0031113
117.  CLAY-MA-0031114
118.  CLAY-MA-0031115
119.  CLAY-MA-0031196
120.  CLAY-MA-0031197
121.  CLAY-MA-0031198
122.  CLAY-MA-0031199
123.  CLAY-MA-0031200
124.  CLAY-MA-0031423
125.  CLAY-MA-0031424
126.  CLAY-MA-0031554
127.  CLAY-MA-0031555
128.  CLAY-MA-0031556
129.  CLAY-MA-0031562
130.  CLAY-MA-0031574
131.  CLAY-MA-0031575
132.  CLAY-MA-0031576
133.  CLAY-MA-0031577
134.  CLAY-MA-0031658
135.  CLAY-MA-0031659
136.  CLAY-MA-0031660
137.  CLAY-MA-0031661
138.  CLAY-MA-0031884
139.  CLAY-MA-0031885
140.  CLAY-MA-0032015
141.  CLAY-MA-0032016
142.  CLAY-MA-0032022
143.  CLAY-MA-0032034
144.  CLAY-MA-0032035
145.  CLAY-MA-0032036
146.  CLAY-MA-0032037
147.  CLAY-MA-0032118
148.  CLAY-MA-0032119
149.  CLAY-MA-0032120
150.  CLAY-MA-0032349
151.  CLAY-MA-0032350
152.  CLAY-MA-0032480
153.  CLAY-MA-0032481
154.  CLAY-MA-0032482
155.  CLAY-MA-0032488

156.  CLAY-MA-0032500
  157.  CLAY-MA-0032501
  158.  CLAY-MA-0032502
  159.  CLAY-MA-0032503
  160.  CLAY-MA-0032584
  161.  CLAY-MA-0032585
  162.  CLAY-MA-0032586
  163.  CLAY-MA-0032587
  164.  CLAY-MA-0032816
  165.  CLAY-MA-0032817
  166.  CLAY-MA-0032947
  167.  CLAY-MA-0032948
  168.  CLAY-MA-0032954
  169.  CLAY-MA-0032966
  170.  CLAY-MA-0032967
6.  GS FREMONT APRIL272006 (Deal ID 13469)
- a.  All Reports Delivered to Client
    1.  CLAY-MA-0032968
    2.  CLAY-MA-0032969
    3.  CLAY-MA-0033036
    4.  CLAY-MA-0033037
    5.  CLAY-MA-0033038
    6.  CLAY-MA-0033039
    7.  CLAY-MA-0033040
    8.  CLAY-MA-0033083
    9.  CLAY-MA-0033084
    10.  CLAY-MA-0033091
    11.  CLAY-MA-0033092
    12.  CLAY-MA-0033098
    13.  CLAY-MA-0033108
    14.  CLAY-MA-0033109
    15.  CLAY-MA-0033110
    16.  CLAY-MA-0033111
    17.  CLAY-MA-0033185
    18.  CLAY-MA-0033186
    19.  CLAY-MA-0033187
    20.  CLAY-MA-0033188
    21.  CLAY-MA-0033189
    22.  CLAY-MA-0033316
    23.  CLAY-MA-0033317
    24.  CLAY-MA-0033331
    25.  CLAY-MA-0033332
    26.  CLAY-MA-0033338
    27.  CLAY-MA-0033349
    28.  CLAY-MA-0033350
    29.  CLAY-MA-0033351
    30.  CLAY-MA-0033352
    31.  CLAY-MA-0033431
    32.  CLAY-MA-0033432
    33.  CLAY-MA-0033433
    34.  CLAY-MA-0033434
    35.  CLAY-MA-0033435
    36.  CLAY-MA-0033617
    37.  CLAY-MA-0033618
    38.  CLAY-MA-0033638
    39.  CLAY-MA-0033639

40.  CLAY-MA-0033645
41.  CLAY-MA-0033656
42.  CLAY-MA-0033657
43.  CLAY-MA-0033658
44.  CLAY-MA-0033659
45.  CLAY-MA-0033734
46.  CLAY-MA-0033735
47.  CLAY-MA-0033736
48.  CLAY-MA-0033737
49.  CLAY-MA-0033738
50.  CLAY-MA-0033953
51.  CLAY-MA-0033954
52.  CLAY-MA-0033979
53.  CLAY-MA-0033980
54.  CLAY-MA-0033986
55.  CLAY-MA-0033996
56.  CLAY-MA-0033997
57.  CLAY-MA-0033998
58.  CLAY-MA-0033999
59.  CLAY-MA-0034000
60.  CLAY-MA-0034001
61.  CLAY-MA-0034076
62.  CLAY-MA-0034077
63.  CLAY-MA-0034078
64.  CLAY-MA-0034079
65.  CLAY-MA-0034279
66.  CLAY-MA-0034280
67.  CLAY-MA-0034305
68.  CLAY-MA-0034306
69.  CLAY-MA-0034312
70.  CLAY-MA-0034322
71.  CLAY-MA-0034323
72.  CLAY-MA-0034324
73.  CLAY-MA-0034325
74.  CLAY-MA-0034400
75.  CLAY-MA-0034401
76.  CLAY-MA-0034402
77.  CLAY-MA-0034403
78.  CLAY-MA-0034404
79.  CLAY-MA-0034405
80.  CLAY-MA-0034605
81.  CLAY-MA-0034606
82.  CLAY-MA-0034631
83.  CLAY-MA-0034632
84.  CLAY-MA-0034638
85.  CLAY-MA-0034648
86.  CLAY-MA-0034649
87.  CLAY-MA-0034650
88.  CLAY-MA-0034651
89.  CLAY-MA-0034726
90.  CLAY-MA-0034727
91.  CLAY-MA-0034728
92.  CLAY-MA-0034729
93.  CLAY-MA-0034929
94.  CLAY-MA-0034930















95.  CLAY-MA-0034955
96.  CLAY-MA-0034956
97.  CLAY-MA-0034962
98.  CLAY-MA-0034972
99.  CLAY-MA-0034973
100.  CLAY-MA-0034974
101.  CLAY-MA-0034975
102.  CLAY-MA-0035050
103.  CLAY-MA-0035051
104.  CLAY-MA-0035052
105.  CLAY-MA-0035053
106.  CLAY-MA-0035247
107.  CLAY-MA-0035248
108.  CLAY-MA-0035273
109.  CLAY-MA-0035274
110.  CLAY-MA-0035280
111.  CLAY-MA-0035290
112.  CLAY-MA-0035291
113.  CLAY-MA-0035292
114.  CLAY-MA-0035293
115.  CLAY-MA-0035294
116.  CLAY-MA-0035369
117.  CLAY-MA-0035370
118.  CLAY-MA-0035371
119.  CLAY-MA-0035372
120.  CLAY-MA-0035554
121.  CLAY-MA-0035555
122.  CLAY-MA-0035580
123.  CLAY-MA-0035581
124.  CLAY-MA-0035587
125.  CLAY-MA-0035597
126.  CLAY-MA-0035598
127.  CLAY-MA-0035599
128.  CLAY-MA-0035600
129.  CLAY-MA-0035601
130.  CLAY-MA-0035676
131.  CLAY-MA-0035677
132.  CLAY-MA-0035678
133.  CLAY-MA-0035679
134.  CLAY-MA-0035861
135.  CLAY-MA-0035862
136.  CLAY-MA-0035887
137.  CLAY-MA-0035888
138.  CLAY-MA-0035894
139.  CLAY-MA-0035904
140.  CLAY-MA-0035905
141.  CLAY-MA-0035906
142.  CLAY-MA-0035907
143.  CLAY-MA-0035908
144.  CLAY-MA-0035983
145.  CLAY-MA-0035984
146.  CLAY-MA-0035985
147.  CLAY-MA-0035986
148.  CLAY-MA-0035987
149.  CLAY-MA-0036169

- 150.  CLAY-MA-0036170
- 151.  CLAY-MA-0036195
- 152.  CLAY-MA-0036196
- 153.  CLAY-MA-0036202
- 154.  CLAY-MA-0036212
- 155.  CLAY-MA-0036213
- 156.  CLAY-MA-0036214
- 157.  CLAY-MA-0036215
- 158.  CLAY-MA-0036290
- 159.  CLAY-MA-0036291
- 160.  CLAY-MA-0036292
- 161.  CLAY-MA-0036293
- 162.  CLAY-MA-0036475
- 163.  CLAY-MA-0036476
- 164.  CLAY-MA-0036501
- 165.  CLAY-MA-0036502
- 166.  CLAY-MA-0036508
- 167.  CLAY-MA-0036518
- 168.  CLAY-MA-0036519
- 169.  CLAY-MA-0036520
- 170.  CLAY-MA-0036521
- 171.  CLAY-MA-0036596
- 172.  CLAY-MA-0036597
- 173.  CLAY-MA-0036598
- 174.  CLAY-MA-0036599
- 175.  CLAY-MA-0036781
- 176.  CLAY-MA-0037217
- 177.  CLAY-MA-0037218
- 178.  CLAY-MA-0037243
- 179.  CLAY-MA-0037244
- 180.  CLAY-MA-0037250
- 181.  CLAY-MA-0037260
- 182.  CLAY-MA-0037261

























































7.  GS GSAMP 06 S3 Put Back (Deal ID 17203)


















































a.  All Reports Delivered to Client

1.  Client





- a.  CLAY-MA-0037262
- b.  CLAY-MA-0037263
- c.  CLAY-MA-0037264
- d.  CLAY-MA-0037347
- e.  CLAY-MA-0037348
- f.  CLAY-MA-0037357
- g.  CLAY-MA-0037358
- h.  CLAY-MA-0037359
- i.  CLAY-MA-0037360
- j.  CLAY-MA-0037679
- k.  CLAY-MA-0037680
- l.  CLAY-MA-0037703
- m.  CLAY-MA-0037704
- n.  CLAY-MA-0037705
- o.  CLAY-MA-0037706
- p.  CLAY-MA-0037707
- q.  CLAY-MA-0037708
- r.  CLAY-MA-0037709
- s.  CLAY-MA-0037715
- t.  CLAY-MA-0037716



















































































2.  Seller
    - a.  CLAY-MA-0037717
    - b.  CLAY-MA-0037718
  3.  CLAY-MA-0038025
  4.  CLAY-MA-0038111
  5.  CLAY-MA-0038120
  6.  CLAY-MA-0038121
  7.  CLAY-MA-0038122
  8.  CLAY-MA-0038123
  9.  CLAY-MA-0038540
  10.  CLAY-MA-0038541
  11.  CLAY-MA-0038575
  12.  CLAY-MA-0038576
  13.  CLAY-MA-0038577
  14.  CLAY-MA-0038578
  15.  CLAY-MA-0038584
  16.  CLAY-MA-0038585
  17.  CLAY-MA-0038586
8.  GS GSAMP 2006 S3 Repurchase Review (Deal ID 18086)
- a.  All Reports Delivered to Client
    1.  Client
      - a.  CLAY-MA-0038587
      - b.  CLAY-MA-0038588
      - c.  CLAY-MA-0038589
      - d.  CLAY-MA-0038677
      - e.  CLAY-MA-0038683
      - f.  CLAY-MA-0038684
      - g.  CLAY-MA-0038685
      - h.  CLAY-MA-0038686
      - i.  CLAY-MA-0038687
      - j.  CLAY-MA-0038688
      - k.  CLAY-MA-0038822
      - l.  CLAY-MA-0038823
      - m.  CLAY-MA-0038833
      - n.  CLAY-MA-0038834
      - o.  CLAY-MA-0038835
      - p.  CLAY-MA-0038841
      - q.  CLAY-MA-0038842
      - r.  CLAY-MA-0038956
      - s.  CLAY-MA-0038957
      - t.  CLAY-MA-0038958
      - u.  CLAY-MA-0039052
      - v.  CLAY-MA-0039058
      - w.  CLAY-MA-0039059
      - x.  CLAY-MA-0039060
      - y.  CLAY-MA-0039061
      - z.  CLAY-MA-0039062
      - aa.  CLAY-MA-0039063
      - ab.  CLAY-MA-0039311
      - ac.  CLAY-MA-0039312
      - ad.  CLAY-MA-0039328
      - ae.  CLAY-MA-0039329
      - af.  CLAY-MA-0039330
      - ag.  CLAY-MA-0039336
      - ah.  CLAY-MA-0039337
      - ai.  CLAY-MA-0039541

- aj.  CLAY-MA-0039542
- ak.  CLAY-MA-0039543
- al.  CLAY-MA-0039637
- am.  CLAY-MA-0039643
- an.  CLAY-MA-0039644
- ao.  CLAY-MA-0039645
- ap.  CLAY-MA-0039646
- aq.  CLAY-MA-0039647
- ar.  CLAY-MA-0040046
- as.  CLAY-MA-0040047
- at.  CLAY-MA-0040070
- au.  CLAY-MA-0040071
- av.  CLAY-MA-0040072
- aw.  CLAY-MA-0040078
- ax.  CLAY-MA-0040079
- ay.  CLAY-MA-0040407
- az.  CLAY-MA-0040408
- ba.  CLAY-MA-0040409
- bb.  CLAY-MA-0040504
- bc.  CLAY-MA-0040510
- bd.  CLAY-MA-0040511
- be.  CLAY-MA-0040512
- bf.  CLAY-MA-0040513
- bg.  CLAY-MA-0040514
- bh.  CLAY-MA-0040515
- bi.  CLAY-MA-0040968
- bj.  CLAY-MA-0040969
- bk.  CLAY-MA-0040995
- bl.  CLAY-MA-0040996
- bm.  CLAY-MA-0040997
- bn.  CLAY-MA-0041003
- bo.  CLAY-MA-0041004
- bp.  CLAY-MA-0041460
- bq.  CLAY-MA-0041461
- br.  CLAY-MA-0041558
- bs.  CLAY-MA-0041559
- bt.  CLAY-MA-0041565
- bu.  CLAY-MA-0041566
- bv.  CLAY-MA-0041567
- bw.  CLAY-MA-0041568
- bx.  CLAY-MA-0041569
- by.  CLAY-MA-0041570
- bz.  CLAY-MA-0042087
- ca.  CLAY-MA-0042088
- cb.  CLAY-MA-0042117
- cc.  CLAY-MA-0042118
- cd.  CLAY-MA-0042119
- ce.  CLAY-MA-0042125
- cf.  CLAY-MA-0042126





2.  Seller

- a.  CLAY-MA-0038843
- b.  CLAY-MA-0038844
- c.  CLAY-MA-0039338
- d.  CLAY-MA-0039339
- e.  CLAY-MA-0040080

- f.  CLAY-MA-0040081
- g.  CLAY-MA-0041005
- h.  CLAY-MA-0041006
- i.  CLAY-MA-0042127
- j.  CLAY-MA-0042128
- 3.  CLAY-MA-0041459
- 9.  GS GSAMP 2006 S5 Repurchase Review (Deal ID 18114)
  - a.  All Reports Delivered to Client
    - 1.  CLAY-MA-0042645
    - 2.  CLAY-MA-0042646
    - 3.  CLAY-MA-0042647
    - 4.  CLAY-MA-0042735
    - 5.  CLAY-MA-0042736
    - 6.  CLAY-MA-0042740
    - 7.  CLAY-MA-0042741
    - 8.  CLAY-MA-0042742
    - 9.  CLAY-MA-0042743
    - 10.  CLAY-MA-0042744
    - 11.  CLAY-MA-0042896
    - 12.  CLAY-MA-0042897
    - 13.  CLAY-MA-0042903
    - 14.  CLAY-MA-0042904
    - 15.  CLAY-MA-0042905
    - 16.  CLAY-MA-0042911
    - 17.  CLAY-MA-0042912
    - 18.  CLAY-MA-0042913
    - 19.  CLAY-MA-0042914
- 10.  GS GSAMP S3 3926-25 (Deal ID 18123)
  - a.  All Reports Delivered to Client
    - 1.  Client
      - a.  CLAY-MA-0043066
      - b.  CLAY-MA-0043067
      - c.  CLAY-MA-0043155
      - d.  CLAY-MA-0043156
      - e.  CLAY-MA-0043162
      - f.  CLAY-MA-0043163
      - g.  CLAY-MA-0043164
      - h.  CLAY-MA-0043165
      - i.  CLAY-MA-0043166
      - j.  CLAY-MA-0043390
      - k.  CLAY-MA-0043391
      - l.  CLAY-MA-0043405
      - m.  CLAY-MA-0043406
      - n.  CLAY-MA-0043407
      - o.  CLAY-MA-0043413
      - p.  CLAY-MA-0043414
      - q.  CLAY-MA-0043528
      - r.  CLAY-MA-0043529
      - s.  CLAY-MA-0043622
      - t.  CLAY-MA-0043623
      - u.  CLAY-MA-0043629
      - v.  CLAY-MA-0043630
      - w.  CLAY-MA-0043631
      - x.  CLAY-MA-0043632
      - y.  CLAY-MA-0043633
      - z.  CLAY-MA-0043634
























- aa.  CLAY-MA-0043946
- ab.  CLAY-MA-0043947
- ac.  CLAY-MA-0043967
- ad.  CLAY-MA-0043968
- ae.  CLAY-MA-0043969
- af.  CLAY-MA-0043975
- ag.  CLAY-MA-0043976
- ah.  CLAY-MA-0044223
- ai.  CLAY-MA-0044224
- aj.  CLAY-MA-0044317
- ak.  CLAY-MA-0044318
- al.  CLAY-MA-0044324
- am.  CLAY-MA-0044325
- an.  CLAY-MA-0044326
- ao.  CLAY-MA-0044327
- ap.  CLAY-MA-0044328
- aq.  CLAY-MA-0044329
- ar.  CLAY-MA-0044665
- as.  CLAY-MA-0044666
- at.  CLAY-MA-0044687
- au.  CLAY-MA-0044688
- av.  CLAY-MA-0044689
- aw.  CLAY-MA-0044695
- ax.  CLAY-MA-0044696
























































2.  Seller
























































- a.  CLAY-MA-0043415
- b.  CLAY-MA-0043416
- c.  CLAY-MA-0043977
- d.  CLAY-MA-0043978
- e.  CLAY-MA-0044697
- f.  CLAY-MA-0044698

























































11.  GS LB2NDS JAN252006 (Deal ID 12513)
























































a.  All Reports Delivered to Client

- 1.  CLAY-MA-0045034
- 2.  CLAY-MA-0045035
- 3.  CLAY-MA-0045103
- 4.  CLAY-MA-0045104
- 5.  CLAY-MA-0045105
- 6.  CLAY-MA-0045106
- 7.  CLAY-MA-0045107
- 8.  CLAY-MA-0045297
- 9.  CLAY-MA-0045298
- 10.  CLAY-MA-0045322
- 11.  CLAY-MA-0045323
- 12.  CLAY-MA-0045329
- 13.  CLAY-MA-0045338
- 14.  CLAY-MA-0045339
- 15.  CLAY-MA-0045340
- 16.  CLAY-MA-0045341
- 17.  CLAY-MA-0045415
- 18.  CLAY-MA-0045416
- 19.  CLAY-MA-0045417
- 20.  CLAY-MA-0045418
- 21.  CLAY-MA-0045799
- 22.  CLAY-MA-0045800
- 23.  CLAY-MA-0045853
























































24.  CLAY-MA-0045854
25.  CLAY-MA-0045860
26.  CLAY-MA-0045869
27.  CLAY-MA-0045870
28.  CLAY-MA-0045871
29.  CLAY-MA-0045872
30.  CLAY-MA-0045873
31.  CLAY-MA-0045950
32.  CLAY-MA-0045951
33.  CLAY-MA-0045952
34.  CLAY-MA-0045953
35.  CLAY-MA-0046766
36.  CLAY-MA-0046767
37.  CLAY-MA-0046858
38.  CLAY-MA-0046859
39.  CLAY-MA-0046865
40.  CLAY-MA-0046875
41.  CLAY-MA-0046876
42.  CLAY-MA-0046877
43.  CLAY-MA-0046878
44.  CLAY-MA-0046953
45.  CLAY-MA-0046954
46.  CLAY-MA-0046955
47.  CLAY-MA-0046956
48.  CLAY-MA-0047822
49.  CLAY-MA-0047823
50.  CLAY-MA-0047920
51.  CLAY-MA-0047921
52.  CLAY-MA-0047927
53.  CLAY-MA-0047937
54.  CLAY-MA-0047938
55.  CLAY-MA-0047939
56.  CLAY-MA-0047940
57.  CLAY-MA-0047941
58.  CLAY-MA-0048016
59.  CLAY-MA-0048017
60.  CLAY-MA-0048018
61.  CLAY-MA-0048019
62.  CLAY-MA-0048758
63.  CLAY-MA-0048759
64.  CLAY-MA-0048856
65.  CLAY-MA-0048857
66.  CLAY-MA-0048863
67.  CLAY-MA-0048873
68.  CLAY-MA-0048874
69.  CLAY-MA-0048875
70.  CLAY-MA-0048876
71.  CLAY-MA-0048951
72.  CLAY-MA-0048952
73.  CLAY-MA-0048953
74.  CLAY-MA-0048954
75.  CLAY-MA-0049496
76.  CLAY-MA-0049497
77.  CLAY-MA-0049595
78.  CLAY-MA-0049596






















































79.  CLAY-MA-0049602
80.  CLAY-MA-0049612
81.  CLAY-MA-0049613
82.  CLAY-MA-0049614
83.  CLAY-MA-0049615
84.  CLAY-MA-0049689
85.  CLAY-MA-0049690
86.  CLAY-MA-0049691
87.  CLAY-MA-0049692
88.  CLAY-MA-0049928
89.  CLAY-MA-0049929
90.  CLAY-MA-0050028
91.  CLAY-MA-0050029
92.  CLAY-MA-0050035
93.  CLAY-MA-0050045
94.  CLAY-MA-0050046
95.  CLAY-MA-0050047
96.  CLAY-MA-0050048
97.  CLAY-MA-0050122
98.  CLAY-MA-0050123
99.  CLAY-MA-0050124
100.  CLAY-MA-0050125
101.  CLAY-MA-0050340
102.  CLAY-MA-0050341
103.  CLAY-MA-0050440
104.  CLAY-MA-0050441
105.  CLAY-MA-0050447
106.  CLAY-MA-0050457
107.  CLAY-MA-0050458
108.  CLAY-MA-0050459
109.  CLAY-MA-0050460
110.  CLAY-MA-0050534
111.  CLAY-MA-0050535
112.  CLAY-MA-0050536
113.  CLAY-MA-0050537
114.  CLAY-MA-0050538
115.  CLAY-MA-0050741
116.  CLAY-MA-0050742
117.  CLAY-MA-0050841
118.  CLAY-MA-0050842
119.  CLAY-MA-0050848
120.  CLAY-MA-0050858
121.  CLAY-MA-0050859
122.  CLAY-MA-0050860
123.  CLAY-MA-0050861
124.  CLAY-MA-0050935
125.  CLAY-MA-0050936
126.  CLAY-MA-0050937
127.  CLAY-MA-0050938
128.  CLAY-MA-0051132
129.  CLAY-MA-0051133
130.  CLAY-MA-0051232
131.  CLAY-MA-0051233
132.  CLAY-MA-0051239
133.  CLAY-MA-0051249

- 134.  CLAY-MA-0051250
- 135.  CLAY-MA-0051251
- 136.  CLAY-MA-0051252
- 137.  CLAY-MA-0051253
- 138.  CLAY-MA-0051254
- 139.  CLAY-MA-0051328
- 140.  CLAY-MA-0051329
- 141.  CLAY-MA-0051330
- 142.  CLAY-MA-0051331
- 143.  CLAY-MA-0051516
- 144.  CLAY-MA-0051517
- 145.  CLAY-MA-0051616
- 146.  CLAY-MA-0051617
- 147.  CLAY-MA-0051623
- 148.  CLAY-MA-0051633
- 149.  CLAY-MA-0051634
- 150.  CLAY-MA-0051635
- 12.  GS NC Warehouse Review (Deal ID 17352)
  - a.  Deal Cancelled- no reports found
    - 1.  CLAY-MA-0051636
- 13.  GS NewCentury TPO Warehouse Nov2007 (Deal ID 18613)
  - a.  All Reports Delivered to Client
  - b.  CLAY-MA-0051637
  - c.  CLAY-MA-0051638
  - d.  CLAY-MA-0051639
  - e.  CLAY-MA-0051640
  - f.  CLAY-MA-0051641
  - g.  CLAY-MA-0051642
  - h.  CLAY-MA-0051646
  - i.  CLAY-MA-0051647
  - j.  CLAY-MA-0051649
  - k.  CLAY-MA-0051650
  - l.  CLAY-MA-0051651
  - m.  CLAY-MA-0051713
  - n.  CLAY-MA-0051714
  - o.  CLAY-MA-0051724
  - p.  CLAY-MA-0051725
  - q.  CLAY-MA-0051726
  - r.  CLAY-MA-0051732
  - s.  CLAY-MA-0051733
- 14.  GS NewCentury WarehouseReview June2006 DealID14378
  - a.  No reports found
    - 1.  CLAY-MA-0051734
- 15.  GS ZFREE NOV1706 (Deal ID 15860)
  - a.  All Reports Delivered to Clients
    - 1.  Client
      - a.  CLAY-MA-0051735
      - b.  CLAY-MA-0051736
      - c.  CLAY-MA-0051794
      - d.  CLAY-MA-0051795
      - e.  CLAY-MA-0051796
      - f.  CLAY-MA-0051797
      - g.  CLAY-MA-0051798
      - h.  CLAY-MA-0051799
      - i.  CLAY-MA-0051806
      - j.  CLAY-MA-0051807

























































- k.  CLAY-MA-0051809
- l.  CLAY-MA-0051810
- m.  CLAY-MA-0051811
- n.  CLAY-MA-0051817
- o.  CLAY-MA-0051823
- p.  CLAY-MA-0051824
- q.  CLAY-MA-0051833
- r.  CLAY-MA-0051834
- s.  CLAY-MA-0051890
- t.  CLAY-MA-0051891
- u.  CLAY-MA-0051892
- v.  CLAY-MA-0051893
- w.  CLAY-MA-0051894
- x.  CLAY-MA-0051928
- y.  CLAY-MA-0051929
- z.  CLAY-MA-0051934
- aa.  CLAY-MA-0051935
- ab.  CLAY-MA-0051936
- ac.  CLAY-MA-0051942
- ad.  CLAY-MA-0051948
- ae.  CLAY-MA-0051949
- af.  CLAY-MA-0051985
- ag.  CLAY-MA-0051986
- ah.  CLAY-MA-0052042
- ai.  CLAY-MA-0052043
- aj.  CLAY-MA-0052044
- ak.  CLAY-MA-0052045
- al.  CLAY-MA-0052046
- am.  CLAY-MA-0052047
- an.  CLAY-MA-0052069
- ao.  CLAY-MA-0052070
- ap.  CLAY-MA-0052075
- aq.  CLAY-MA-0052076
- ar.  CLAY-MA-0052077
- as.  CLAY-MA-0052083
- at.  CLAY-MA-0052089
- au.  CLAY-MA-0052090
- av.  CLAY-MA-0052114
- aw.  CLAY-MA-0052115
- ax.  CLAY-MA-0052171
- ay.  CLAY-MA-0052172
- az.  CLAY-MA-0052173
- ba.  CLAY-MA-0052174
- bb.  CLAY-MA-0052175
- bc.  CLAY-MA-0052176
- bd.  CLAY-MA-0052192
- be.  CLAY-MA-0052193
- bf.  CLAY-MA-0052198
- bg.  CLAY-MA-0052199
- bh.  CLAY-MA-0052200
- bi.  CLAY-MA-0052206
- bj.  CLAY-MA-0052212
- bk.  CLAY-MA-0052213
- bl.  CLAY-MA-0052231
- bm.  CLAY-MA-0052232

















































































































bn.  CLAY-MA-0052288  
bo.  CLAY-MA-0052289  
bp.  CLAY-MA-0052290  
bq.  CLAY-MA-0052291  
br.  CLAY-MA-0052292  
bs.  CLAY-MA-0052293  
bt.  CLAY-MA-0052309  
bu.  CLAY-MA-0052310  
bv.  CLAY-MA-0052315  
bw.  CLAY-MA-0052316  
bx.  CLAY-MA-0052317  
by.  CLAY-MA-0052323  
bz.  CLAY-MA-0052329  
ca.  CLAY-MA-0052330  
cb.  CLAY-MA-0052348  
cc.  CLAY-MA-0052349  
cd.  CLAY-MA-0052405  
ce.  CLAY-MA-0052406  
cf.  CLAY-MA-0052407  
cg.  CLAY-MA-0052408  
ch.  CLAY-MA-0052409  
ci.  CLAY-MA-0052410  
cj.  CLAY-MA-0052426  
ck.  CLAY-MA-0052427  
cl.  CLAY-MA-0052432  
cm.  CLAY-MA-0052433  
cn.  CLAY-MA-0052434  
co.  CLAY-MA-0052440  
cp.  CLAY-MA-0052446  
cq.  CLAY-MA-0052447  
cr.  CLAY-MA-0052465  
cs.  CLAY-MA-0052466  
ct.  CLAY-MA-0052522  
cu.  CLAY-MA-0052523  
cv.  CLAY-MA-0052524  
cw.  CLAY-MA-0052525  
cx.  CLAY-MA-0052526  
cy.  CLAY-MA-0052527  
cz.  CLAY-MA-0052543  
da.  CLAY-MA-0052544  
db.  CLAY-MA-0052549  
dc.  CLAY-MA-0052550  
dd.  CLAY-MA-0052551  
de.  CLAY-MA-0052557  
df.  CLAY-MA-0052563  
dg.  CLAY-MA-0052564  
dh.  CLAY-MA-0052583  
di.  CLAY-MA-0052584  
dj.  CLAY-MA-0052640  
dk.  CLAY-MA-0052641  
dl.  CLAY-MA-0052642  
dm.  CLAY-MA-0052643  
dn.  CLAY-MA-0052644  
do.  CLAY-MA-0052660  
dp.  CLAY-MA-0052661
























































- dq.  CLAY-MA-0052666
- dr.  CLAY-MA-0052667
- ds.  CLAY-MA-0052668
- dt.  CLAY-MA-0052674
- du.  CLAY-MA-0052680
- dv.  CLAY-MA-0052681
- dw.  CLAY-MA-0052699
- dx.  CLAY-MA-0052700
- dy.  CLAY-MA-0052756
- dz.  CLAY-MA-0052757
- ea.  CLAY-MA-0052758
- eb.  CLAY-MA-0052759
- ec.  CLAY-MA-0052760
- ed.  CLAY-MA-0052761
- ee.  CLAY-MA-0052777
- ef.  CLAY-MA-0052778
- eg.  CLAY-MA-0052783
- eh.  CLAY-MA-0052784
- ei.  CLAY-MA-0052785
- ej.  CLAY-MA-0052791
- ek.  CLAY-MA-0052797
- el.  CLAY-MA-0052798
- em.  CLAY-MA-0052816
- en.  CLAY-MA-0052817
- eo.  CLAY-MA-0052873
- ep.  CLAY-MA-0052874
- eq.  CLAY-MA-0052875
- er.  CLAY-MA-0052876
- es.  CLAY-MA-0052877
- et.  CLAY-MA-0052878
- eu.  CLAY-MA-0052894
- ev.  CLAY-MA-0052895
- ew.  CLAY-MA-0052900
- ex.  CLAY-MA-0052901
- ey.  CLAY-MA-0052902
- ez.  CLAY-MA-0052908
- fa.  CLAY-MA-0052914
- fb.  CLAY-MA-0052915
- fc.  CLAY-MA-0052933
- fd.  CLAY-MA-0052934
- fe.  CLAY-MA-0052990
- ff.  CLAY-MA-0052991
- fg.  CLAY-MA-0052992
- fh.  CLAY-MA-0052993
- fi.  CLAY-MA-0052994
- fj.  CLAY-MA-0052995
- fk.  CLAY-MA-0053002
- fl.  CLAY-MA-0053003
- fm.  CLAY-MA-0053008
- fn.  CLAY-MA-0053009
- fo.  CLAY-MA-0053016
- fp.  CLAY-MA-0053022
- fq.  CLAY-MA-0053023


























































- 2.  Seller
  - a.  CLAY-MA-0051825





















- b.  CLAY-MA-0051826
- c.  CLAY-MA-0051950
- d.  CLAY-MA-0051951
- e.  CLAY-MA-0052091
- f.  CLAY-MA-0052092
- g.  CLAY-MA-0052214
- h.  CLAY-MA-0052215
- i.  CLAY-MA-0052331
- j.  CLAY-MA-0052332
- k.  CLAY-MA-0052448
- l.  CLAY-MA-0052449
- m.  CLAY-MA-0052565
- n.  CLAY-MA-0052566
- o.  CLAY-MA-0052582
- p.  CLAY-MA-0052682
- q.  CLAY-MA-0052683
- r.  CLAY-MA-0052799
- s.  CLAY-MA-0052800
- t.  CLAY-MA-0052916
- u.  CLAY-MA-0052917
- v.  CLAY-MA-0053024
- w.  CLAY-MA-0053025
- 16.  UBS Option One- Warehouse- 00122905- Feb Deal 2006
  - a.  All Reports Delivered to Client
    - 1.  CLAY-MA-0053032
    - 2.  CLAY-MA-0053033
    - 3.  CLAY-MA-0053034
    - 4.  CLAY-MA-0053035
    - 5.  CLAY-MA-0053036
    - 6.  CLAY-MA-0053037
    - 7.  CLAY-MA-0053038
    - 8.  CLAY-MA-0053332
  - 17.  CLAY-MA-0000079
  - 18.  CLAY-MA-0000080
  - d.  MAAG Additional GS Silver
    - 1.  GS FHLT 2006-E (Deal ID 16087)
    - 2.  GS GSAMP 06 S3 Put Back (Deal ID 17203)
    - 3.  GS GSAMP 2006 S3 Repurchase Review (Deal ID 18086)
    - 4.  GS GSAMP 2006 S5 Repurchase Review (Deal ID 18114)
    - 5.  GS GSAMP S3 3926-25 (Deal ID 18123)
    - 6.  GS NC Warehouse Review (Deal ID 17352)
    - 7.  GS New Century TPO Warehouse Nov 2007 (Deal ID 18613)
    - 8.  GS ZFREE NOV1706 (Deal ID 15860)
    - 9.  GSNEWC~2
  - e.  All DD Clients 2005-07
  - f.  Clay-MA 000003-78
  - g.  MA High Cost 2005-07
  - h.  MAAG Subpoenas and Cover Letters091409-185406
- b.  2010-07-29 Production- Disk # 497
  - 1.  Natives
    - a.  CLAY-FCIC-000001
    - b.  CLAY-FCIC-000002
    - c.  CLAY-FCIC-000003
    - d.  CLAY-FCIC-000100
    - e.  CLAY-FCIC-000101
    - f.  CLAY-FCIC-000102

- g.  CLAY-FCIC-000103
- h.  CLAY-FCIC-000104
- i.  CLAY-FCIC-000167
- j.  CLAY-FCIC-000168
- k.  CLAY-FCIC-000169
- l.  CLAY-FCIC-000309
- m.  CLAY-FCIC-000310
- n.  CLAY-FCIC-000311
- o.  CLAY-FCIC-000312
- p.  CLAY-FCIC-000313
- q.  CLAY-FCIC-000314
- r.  CLAY-FCIC-000733
- s.  CLAY-FCIC-000736
- t.  CLAY-FCIC-000752
- u.  CLAY-FCIC-000827
- v.  CLAY-FCIC-000833
- w.  CLAY-FCIC-000834
- x.  CLAY-FCIC-000835
- y.  CLAY-FCIC-000836
- z.  CLAY-FCIC-001112
- aa.  CLAY-FCIC-001113
- ab.  CLAY-FCIC-001114
- ac.  CLAY-FCIC-001115
- ad.  CLAY-FCIC-001177
- ae.  CLAY-FCIC-001178
- af.  CLAY-FCIC-001179
- ag.  CLAY-FCIC-001180
- ah.  CLAY-FCIC-001181
- ai.  CLAY-FCIC-001182
- aj.  CLAY-FCIC-001183
- ak.  CLAY-FCIC-001184
- al.  CLAY-FCIC-001185
- am.  CLAY-FCIC-001186
- an.  CLAY-FCIC-001187
- ao.  CLAY-FCIC-001188
- ap.  CLAY-FCIC-001189
- aq.  CLAY-FCIC-001190
- ar.  CLAY-FCIC-001191
- as.  CLAY-FCIC-001192
- at.  CLAY-FCIC-001193
- au.  CLAY-FCIC-001194
- av.  CLAY-FCIC-001195
- aw.  CLAY-FCIC-001196
- ax.  CLAY-FCIC-001197
- ay.  CLAY-FCIC-001198
- az.  CLAY-FCIC-001199
- ba.  CLAY-FCIC-001200
- bb.  CLAY-FCIC-001201
- bc.  CLAY-FCIC-001202
- bd.  CLAY-FCIC-001203
- be.  CLAY-FCIC-001204
- bf.  CLAY-FCIC-001205
- bg.  CLAY-FCIC-001206
- bh.  CLAY-FCIC-001207
- bi.  CLAY-FCIC-001208

bj.  CLAY-FCIC-001209  
bk.  CLAY-FCIC-001268  
bl.  CLAY-FCIC-001269  
bm.  CLAY-FCIC-001270  
bn.  CLAY-FCIC-001271  
bo.  CLAY-FCIC-001272  
bp.  CLAY-FCIC-001273  
bq.  CLAY-FCIC-001274  
br.  CLAY-FCIC-001949  
bs.  CLAY-FCIC-001950  
bt.  CLAY-FCIC-001951  
bu.  CLAY-FCIC-001952  
bv.  CLAY-FCIC-001953  
bw.  CLAY-FCIC-001954  
bx.  CLAY-FCIC-001955  
by.  CLAY-FCIC-001956  
bz.  CLAY-FCIC-001957  
ca.  CLAY-FCIC-001958  
cb.  CLAY-FCIC-001959  
cc.  CLAY-FCIC-001960  
cd.  CLAY-FCIC-001961  
ce.  CLAY-FCIC-002148  
cf.  CLAY-FCIC-002149  
cg.  CLAY-FCIC-002150  
ch.  CLAY-FCIC-002151  
ci.  CLAY-FCIC-002152  
cj.  CLAY-FCIC-002153  
ck.  CLAY-FCIC-002154  
cl.  CLAY-FCIC-002155  
cm.  CLAY-FCIC-002156  
cn.  CLAY-FCIC-002157  
co.  CLAY-FCIC-002158  
cp.  CLAY-FCIC-002159  
cq.  CLAY-FCIC-002160  
cr.  CLAY-FCIC-002161  
cs.  CLAY-FCIC-002162  
ct.  CLAY-FCIC-002163  
cu.  CLAY-FCIC-002164  
cv.  CLAY-FCIC-002165  
cw.  CLAY-FCIC-002166  
cx.  CLAY-FCIC-002167  
cy.  CLAY-FCIC-002168  
cz.  CLAY-FCIC-002169  
da.  CLAY-FCIC-002170  
db.  CLAY-FCIC-002171  
dc.  CLAY-FCIC-002172  
dd.  CLAY-FCIC-002173  
de.  CLAY-FCIC-002174  
df.  CLAY-FCIC-002175  
dg.  CLAY-FCIC-002176  
dh.  CLAY-FCIC-002177  
di.  CLAY-FCIC-002178  
dj.  CLAY-FCIC-002179  
dk.  CLAY-FCIC-002180  
dl.  CLAY-FCIC-002181



































- dm.  CLAY-FCIC-002182
- dn.  CLAY-FCIC-002183
- do.  CLAY-FCIC-002184
- dp.  CLAY-FCIC-002185
- dq.  CLAY-FCIC-002186
- dr.  CLAY-FCIC-002187
- ds.  CLAY-FCIC-002188
- dt.  CLAY-FCIC-002189
- du.  CLAY-FCIC-002190
- dv.  CLAY-FCIC-002191
- dw.  CLAY-FCIC-002192
- dx.  CLAY-FCIC-002193
- dy.  CLAY-FCIC-002194
- dz.  CLAY-FCIC-002195
- ea.  CLAY-FCIC-002196
- eb.  CLAY-FCIC-002197
- ec.  CLAY-FCIC-002198
- ed.  CLAY-FCIC-002199
- ee.  CLAY-FCIC-002200
- ef.  CLAY-FCIC-002201
- eg.  CLAY-FCIC-002202
- eh.  CLAY-FCIC-002203
- ei.  CLAY-FCIC-002204
- ej.  CLAY-FCIC-002205
- ek.  CLAY-FCIC-002206
- el.  CLAY-FCIC-002207
- em.  CLAY-FCIC-002208
- en.  CLAY-FCIC-002209
- eo.  CLAY-FCIC-002210
- ep.  CLAY-FCIC-002211
- eq.  CLAY-FCIC-002212
- er.  CLAY-FCIC-002213
- es.  CLAY-FCIC-002214
- et.  CLAY-FCIC-002215
- eu.  CLAY-FCIC-002216
- ev.  CLAY-FCIC-002217
- ew.  CLAY-FCIC-002218
- ex.  CLAY-FCIC-002219
- ey.  CLAY-FCIC-002220
- ez.  CLAY-FCIC-002221
- fa.  CLAY-FCIC-002222
- fb.  CLAY-FCIC-002223
- fc.  CLAY-FCIC-002224
- fd.  CLAY-FCIC-002225
- fe.  CLAY-FCIC-002271
- ff.  CLAY-FCIC-002272
- fg.  CLAY-FCIC-002599
- fh.  CLAY-FCIC-002600
- fi.  CLAY-FCIC-002601
- fj.  CLAY-FCIC-002602
- fk.  CLAY-FCIC-002603
- fl.  CLAY-FCIC-002604
- 2.  CLAY-FCIC-000001-000250
- 3.  CLAY-FCIC-000251-000500
- 4.  CLAY-FCIC-000501-000750

5.  CLAY-FCIC-000751-001000
  6.  CLAY-FCIC-001001-001250
  7.  CLAY-FCIC-001251-001500
  8.  CLAY-FCIC-001501-001750
  9.  CLAY-FCIC-001751-002000
  10.  CLAY-FCIC-002001-002250
  11.  CLAY-FCIC-002251-002511
  12.  CLAY-FCIC-002512-002757
- c.  2010-09-02 Disk # 593
1.  MBIA v. CW
    - a.  Client Agreement
      1.  CW Comercial Real Estate
        - a.  CLAY-MBIACW-000030
        - b.  CLAY-MBIACW-000035
        - c.  CLAY-MBIACW-000039
        - d.  CLAY-MBIACW-000043
        - e.  CLAY-MBIACW-000046
        - f.  CLAY-MBIACW-000051
      2.  CW Home Loans
        - a.  CLAY-MBIACW-000054
        - b.  CLAY-MBIACW-000070
        - c.  CLAY-MBIACW-000071
        - d.  CLAY-MBIACW-000080
        - e.  CLAY-MBIACW-000099
        - f.  CLAY-MBIACW-000101
        - g.  CLAY-MBIACW-000103
        - h.  CLAY-MBIACW-000108
        - i.  CLAY-MBIACW-000112
        - j.  CLAY-MBIACW-000117
        - k.  CLAY-MBIACW-000138
      3.  CW Securities
        - a.  CLAY-MBIACW-000183
        - b.  CLAY-MBIACW-000194
      4.  Extra
        - a.  CLAY-MBIACW-000001
        - b.  CLAY-MBIACW-000002
        - c.  CLAY-MBIACW-000003
      5.  Whole Sale Subprime
    - b.  CW Guidelines
      1.  CLAY-MBIACW-000198
      2.  CLAY-MBIACW-000200
      3.  CLAY-MBIACW-000202
      4.  CLAY-MBIACW-000206
      5.  CLAY-MBIACW-000210
      6.  CLAY-MBIACW-000213
      7.  CLAY-MBIACW-000215
      8.  CLAY-MBIACW-000216
      9.  CLAY-MBIACW-000218
      10.  CLAY-MBIACW-000220
      11.  CLAY-MBIACW-000223
      12.  CLAY-MBIACW-000223
      13.  CLAY-MBIACW-000224
      14.  CLAY-MBIACW-000224
      15.  CLAY-MBIACW-000244
    - c.  Invoice
      1.  CLAY-MBIACW-000245
    - d.  Transactions

























































1.  CLAY-MBIACW-000246
2.  CLAY-MBIACW-000248
3.  CLAY-MBIACW-000255
4.  CLAY-MBIACW-000260
5.  CLAY-MBIACW-000260
6.  CLAY-MBIACW-000261
7.  CLAY-MBIACW-000847
8.  CLAY-MBIACW-001138
9.  CLAY-MBIACW-001434
10.  CLAY-MBIACW-001434
11.  CLAY-MBIACW-001435
12.  CLAY-MBIACW-001435
13.  CLAY-MBIACW-001450
14.  CLAY-MBIACW-001450
15.  CLAY-MBIACW-001451
16.  CLAY-MBIACW-001451
17.  CLAY-MBIACW-002251
18.  CLAY-MBIACW-002251
19.  CLAY-MBIACW-002266
20.  CLAY-MBIACW-002266









2.  Syncora v CW

a.  Natives

1.  CLAY-SYNCORACW-E000035
2.  CLAY-SYNCORACW-E000060
3.  CLAY-SYNCORACW-E000068
4.  CLAY-SYNCORACW-E000092
5.  CLAY-SYNCORACW-E000100
6.  CLAY-SYNCORACW-E000119
7.  CLAY-SYNCORACW-E000120
8.  CLAY-SYNCORACW-E000121
9.  CLAY-SYNCORACW-E000132
10.  CLAY-SYNCORACW-E000133
11.  CLAY-SYNCORACW-E000134
12.  CLAY-SYNCORACW-E000143
13.  CLAY-SYNCORACW-E000144
14.  CLAY-SYNCORACW-E000145
15.  CLAY-SYNCORACW-E000153
16.  CLAY-SYNCORACW-E000163
17.  CLAY-SYNCORACW-E000164
18.  CLAY-SYNCORACW-E000165
19.  CLAY-SYNCORACW-E000177
20.  CLAY-SYNCORACW-E000178
21.  CLAY-SYNCORACW-E000179
22.  CLAY-SYNCORACW-E000191
23.  CLAY-SYNCORACW-E000192
24.  CLAY-SYNCORACW-E000193
25.  CLAY-SYNCORACW-E000205
26.  CLAY-SYNCORACW-E000215
27.  CLAY-SYNCORACW-E000225
28.  CLAY-SYNCORACW-E000237
29.  CLAY-SYNCORACW-E000238
30.  CLAY-SYNCORACW-E000239
31.  CLAY-SYNCORACW-E000250
32.  CLAY-SYNCORACW-E000251
33.  CLAY-SYNCORACW-E000252
34.  CLAY-SYNCORACW-E000261








































































































- 35.  CLAY-SYNCORACW-E000262
- 36.  CLAY-SYNCORACW-E000263
- 37.  CLAY-SYNCORACW-E000271
- 38.  CLAY-SYNCORACW-E000281
- 39.  CLAY-SYNCORACW-E000282
- 40.  CLAY-SYNCORACW-E000283
- 41.  CLAY-SYNCORACW-E000295
- 42.  CLAY-SYNCORACW-E000296
- 43.  CLAY-SYNCORACW-E000297
- 44.  CLAY-SYNCORACW-E000309
- 45.  CLAY-SYNCORACW-E000310
- 46.  CLAY-SYNCORACW-E000311
- 47.  CLAY-SYNCORACW-E000323
- 48.  CLAY-SYNCORACW-E000331
- 49.  CLAY-SYNCORACW-E000332
- 50.  CLAY-SYNCORACW-E000345
- b.  CLAY-SYNCORACW-0000437
- c.  CLAY-SYNCORACW-0001937
- d.  CLAY-SYNCORACW-0001938
- e.  CLAY-SYNCORACW-0001939
- f.  CLAY-SYNCORACW-0001940
- g.  CLAY-SYNCORACW-0001941
- h.  CLAY-SYNCORACW-0002462
- i.  CLAY-SYNCORACW-0002463
- j.  CLAY-SYNCORACW-0002464
- k.  CLAY-SYNCORACW-0002465
- l.  CLAY-SYNCORACW-0002466
- m.  CLAY-SYNCORACW-0002467
- n.  CLAY-SYNCORACW-0002468
- o.  CLAY-SYNCORACW-0002469
- p.  CLAY-SYNCORACW-0002470
- q.  CLAY-SYNCORACW-0002471
- r.  CLAY-SYNCORACW-0002472
- s.  CLAY-SYNCORACW-0002473
- t.  CLAY-SYNCORACW-0002474
- u.  CLAY-SYNCORACW-0002475
- v.  CLAY-SYNCORACW-0002476
- w.  CLAY-SYNCORACW-0002477
- x.  CLAY-SYNCORACW-0002478
- y.  CLAY-SYNCORACW-0002479
- z.  CLAY-SYNCORACW-0002480
- 3.  UGMI v CW
  - a.  04-S1
    - 1.  Reports
      - a.  CLAY-UGMICW-015487
      - b.  CLAY-UGMICW-015487
    - 2.  CLAY-UGMICW-015472
    - 3.  CLAY-UGMICW-015472
    - 4.  CLAY-UGMICW-015473
    - 5.  CLAY-UGMICW-015474
    - 6.  CLAY-UGMICW-015475
    - 7.  CLAY-UGMICW-015476
    - 8.  CLAY-UGMICW-015477
    - 9.  CLAY-UGMICW-015478
    - 10.  CLAY-UGMICW-015479
    - 11.  CLAY-UGMICW-015479
























































- 12.  CLAY-UGMICW-015480
- 13.  CLAY-UGMICW-015481
- 14.  CLAY-UGMICW-015482
- 15.  CLAY-UGMICW-015483
- 16.  CLAY-UGMICW-015483
- 17.  CLAY-UGMICW-015484
- 18.  CLAY-UGMICW-015485
- 19.  CLAY-UGMICW-015486
























































b.  05-AB2
























































1.  Reports
























































- a.  CLAY-UGMICW-015506
- b.  CLAY-UGMICW-015506
- c.  CLAY-UGMICW-015507
- d.  CLAY-UGMICW-015508
- e.  CLAY-UGMICW-015509
- f.  CLAY-UGMICW-015510
- g.  CLAY-UGMICW-015510
- h.  CLAY-UGMICW-015510
- i.  CLAY-UGMICW-015511
- j.  CLAY-UGMICW-015511
- k.  CLAY-UGMICW-015511
- l.  CLAY-UGMICW-015512
- m.  CLAY-UGMICW-015512
- n.  CLAY-UGMICW-015512
- o.  CLAY-UGMICW-015513
- p.  CLAY-UGMICW-015513
- q.  CLAY-UGMICW-015513
- r.  CLAY-UGMICW-015514
- s.  CLAY-UGMICW-015514
- t.  CLAY-UGMICW-015514
- u.  CLAY-UGMICW-015515
- v.  CLAY-UGMICW-015515
- w.  CLAY-UGMICW-015515
- x.  CLAY-UGMICW-015516
- y.  CLAY-UGMICW-015516
- z.  CLAY-UGMICW-015516
- aa.  CLAY-UGMICW-015517
- ab.  CLAY-UGMICW-015517
- ac.  CLAY-UGMICW-015518
- ad.  CLAY-UGMICW-015519
- ae.  CLAY-UGMICW-015520
- af.  CLAY-UGMICW-015521
- ag.  CLAY-UGMICW-015522
- ah.  CLAY-UGMICW-015523
- ai.  CLAY-UGMICW-015524
- aj.  CLAY-UGMICW-015525
- ak.  CLAY-UGMICW-015526
- al.  CLAY-UGMICW-015527
- am.  CLAY-UGMICW-015528
- an.  CLAY-UGMICW-015529
- ao.  CLAY-UGMICW-015530
- ap.  CLAY-UGMICW-015531
- aq.  CLAY-UGMICW-015532
- ar.  CLAY-UGMICW-015533
- as.  CLAY-UGMICW-015534
- at.  CLAY-UGMICW-015535
























































au.  CLAY-UGMICW-015536  
av.  CLAY-UGMICW-015537  
aw.  CLAY-UGMICW-015538  
ax.  CLAY-UGMICW-015539  
ay.  CLAY-UGMICW-015540  
az.  CLAY-UGMICW-015541  
ba.  CLAY-UGMICW-015542  
bb.  CLAY-UGMICW-015543  
bc.  CLAY-UGMICW-015544  
bd.  CLAY-UGMICW-015545  
be.  CLAY-UGMICW-015546  
bf.  CLAY-UGMICW-015547  
bg.  CLAY-UGMICW-015548  
bh.  CLAY-UGMICW-015549  
bi.  CLAY-UGMICW-015550  
bj.  CLAY-UGMICW-015551  
bk.  CLAY-UGMICW-015552  
bl.  CLAY-UGMICW-015553  
bm.  CLAY-UGMICW-015554  
bn.  CLAY-UGMICW-015555  
bo.  CLAY-UGMICW-015556  
bp.  CLAY-UGMICW-015557  
bq.  CLAY-UGMICW-015558  
br.  CLAY-UGMICW-015559  
bs.  CLAY-UGMICW-015560  
bt.  CLAY-UGMICW-015561  
bu.  CLAY-UGMICW-015562  
bv.  CLAY-UGMICW-015563  
bw.  CLAY-UGMICW-015564  
bx.  CLAY-UGMICW-015565  
by.  CLAY-UGMICW-015566  
bz.  CLAY-UGMICW-015567  
ca.  CLAY-UGMICW-015568  
cb.  CLAY-UGMICW-015569  
cc.  CLAY-UGMICW-015570  
cd.  CLAY-UGMICW-015571  
ce.  CLAY-UGMICW-015572  
cf.  CLAY-UGMICW-015573  
cg.  CLAY-UGMICW-015574  
ch.  CLAY-UGMICW-015575  
ci.  CLAY-UGMICW-015576  
cj.  CLAY-UGMICW-015577  
ck.  CLAY-UGMICW-015578  
cl.  CLAY-UGMICW-015579  
cm.  CLAY-UGMICW-015580  
cn.  CLAY-UGMICW-015581  
co.  CLAY-UGMICW-015582  
cp.  CLAY-UGMICW-015583  
cq.  CLAY-UGMICW-015584  
cr.  CLAY-UGMICW-015585  
cs.  CLAY-UGMICW-015586  
ct.  CLAY-UGMICW-015587  
cu.  CLAY-UGMICW-015588  
cv.  CLAY-UGMICW-015589  
cw.  CLAY-UGMICW-015590

cx.  CLAY-UGMICW-015591  
cy.  CLAY-UGMICW-015592  
cz.  CLAY-UGMICW-015593  
da.  CLAY-UGMICW-015594  
db.  CLAY-UGMICW-015595  
dc.  CLAY-UGMICW-015596  
dd.  CLAY-UGMICW-015597  
de.  CLAY-UGMICW-015598  
df.  CLAY-UGMICW-015599  
dg.  CLAY-UGMICW-015600  
dh.  CLAY-UGMICW-015601  
di.  CLAY-UGMICW-015602  
dj.  CLAY-UGMICW-015603  
dk.  CLAY-UGMICW-015604  
dl.  CLAY-UGMICW-015605  
dm.  CLAY-UGMICW-015606  
dn.  CLAY-UGMICW-015607  
do.  CLAY-UGMICW-015608  
dp.  CLAY-UGMICW-015609  
dq.  CLAY-UGMICW-015610  
dr.  CLAY-UGMICW-015611  
ds.  CLAY-UGMICW-015612  
dt.  CLAY-UGMICW-015613  
du.  CLAY-UGMICW-015614  
dv.  CLAY-UGMICW-015615  
dw.  CLAY-UGMICW-015616  
dx.  CLAY-UGMICW-015617  
dy.  CLAY-UGMICW-015618  
dz.  CLAY-UGMICW-015619  
ea.  CLAY-UGMICW-015620  
eb.  CLAY-UGMICW-015621  
ec.  CLAY-UGMICW-015622  
ed.  CLAY-UGMICW-015623  
ee.  CLAY-UGMICW-015624  
ef.  CLAY-UGMICW-015625  
eg.  CLAY-UGMICW-015626  
eh.  CLAY-UGMICW-015627  
ei.  CLAY-UGMICW-015628  
ej.  CLAY-UGMICW-015629  
ek.  CLAY-UGMICW-015630  
el.  CLAY-UGMICW-015631  
em.  CLAY-UGMICW-015632  
en.  CLAY-UGMICW-015633  
eo.  CLAY-UGMICW-015634  
ep.  CLAY-UGMICW-015635  
eq.  CLAY-UGMICW-015636  
er.  CLAY-UGMICW-015637  
es.  CLAY-UGMICW-015638  
et.  CLAY-UGMICW-015639  
eu.  CLAY-UGMICW-015640  
ev.  CLAY-UGMICW-015641  
ew.  CLAY-UGMICW-015642  
ex.  CLAY-UGMICW-015643  
ey.  CLAY-UGMICW-015644  
ez.  CLAY-UGMICW-015645
























































- fa.  CLAY-UGMICW-015646
- fb.  CLAY-UGMICW-015647
- fc.  CLAY-UGMICW-015648
- fd.  CLAY-UGMICW-015649
- fe.  CLAY-UGMICW-015650
- ff.  CLAY-UGMICW-015651
- fg.  CLAY-UGMICW-015652
- fh.  CLAY-UGMICW-015653
- fi.  CLAY-UGMICW-015654
- fj.  CLAY-UGMICW-015655
- fk.  CLAY-UGMICW-015656
- fl.  CLAY-UGMICW-015657
- fm.  CLAY-UGMICW-015658
- fn.  CLAY-UGMICW-015659
- fo.  CLAY-UGMICW-015660
- fp.  CLAY-UGMICW-015661
- fq.  CLAY-UGMICW-015662
- fr.  CLAY-UGMICW-015663
- fs.  CLAY-UGMICW-015664
- ft.  CLAY-UGMICW-015665
- fu.  CLAY-UGMICW-015666
- fv.  CLAY-UGMICW-015667
- fw.  CLAY-UGMICW-015668
- fx.  CLAY-UGMICW-015669
- fy.  CLAY-UGMICW-015670
- fz.  CLAY-UGMICW-015671
- ga.  CLAY-UGMICW-015672
- gb.  CLAY-UGMICW-015673
- gc.  CLAY-UGMICW-015674
- gd.  CLAY-UGMICW-015675
- ge.  CLAY-UGMICW-015675
- gf.  CLAY-UGMICW-015675
- gg.  CLAY-UGMICW-015676
- gh.  CLAY-UGMICW-015676
- gi.  CLAY-UGMICW-015676
- gj.  CLAY-UGMICW-015677
- gk.  CLAY-UGMICW-015677
- gl.  CLAY-UGMICW-015677
- gm.  CLAY-UGMICW-015678
- gn.  CLAY-UGMICW-015678
- go.  CLAY-UGMICW-015678
- gp.  CLAY-UGMICW-015679
- gq.  CLAY-UGMICW-015679
- gr.  CLAY-UGMICW-015679
- gs.  CLAY-UGMICW-015680
- gt.  CLAY-UGMICW-015680
- gu.  CLAY-UGMICW-015680
- gv.  CLAY-UGMICW-015681
- gw.  CLAY-UGMICW-015681
- gx.  CLAY-UGMICW-015681
- gy.  CLAY-UGMICW-015681
- gz.  CLAY-UGMICW-015682
- ha.  CLAY-UGMICW-015682
- hb.  CLAY-UGMICW-015683
- hc.  CLAY-UGMICW-015683
























































hd.  CLAY-UGMICW-015684  
he.  CLAY-UGMICW-015684  
hf.  CLAY-UGMICW-015685  
hg.  CLAY-UGMICW-015685  
hh.  CLAY-UGMICW-015686  
hi.  CLAY-UGMICW-015686  
hj.  CLAY-UGMICW-015687  
hk.  CLAY-UGMICW-015687  
hl.  CLAY-UGMICW-015688  
hm.  CLAY-UGMICW-015688  
hn.  CLAY-UGMICW-015689  
ho.  CLAY-UGMICW-015689  
hp.  CLAY-UGMICW-015690  
hq.  CLAY-UGMICW-015690  
hr.  CLAY-UGMICW-015691  
hs.  CLAY-UGMICW-015691  
ht.  CLAY-UGMICW-015692  
hu.  CLAY-UGMICW-015692  
hv.  CLAY-UGMICW-015693  
hw.  CLAY-UGMICW-015693  
hx.  CLAY-UGMICW-015694  
hy.  CLAY-UGMICW-015694  
hz.  CLAY-UGMICW-015695  
ia.  CLAY-UGMICW-015695  
ib.  CLAY-UGMICW-015696  
ic.  CLAY-UGMICW-015696  
id.  CLAY-UGMICW-015697  
ie.  CLAY-UGMICW-015697  
if.  CLAY-UGMICW-015698  
ig.  CLAY-UGMICW-015698  
ih.  CLAY-UGMICW-015699  
ii.  CLAY-UGMICW-015699  
ij.  CLAY-UGMICW-015700  
ik.  CLAY-UGMICW-015700  
il.  CLAY-UGMICW-015701  
im.  CLAY-UGMICW-015701  
in.  CLAY-UGMICW-015702  
io.  CLAY-UGMICW-015702  
ip.  CLAY-UGMICW-015703  
iq.  CLAY-UGMICW-015703  
ir.  CLAY-UGMICW-015704  
is.  CLAY-UGMICW-015704  
it.  CLAY-UGMICW-015705  
iu.  CLAY-UGMICW-015705  
iv.  CLAY-UGMICW-015706  
iw.  CLAY-UGMICW-015706  
ix.  CLAY-UGMICW-015707  
iy.  CLAY-UGMICW-015707  
iz.  CLAY-UGMICW-015708  
ja.  CLAY-UGMICW-015708  
jb.  CLAY-UGMICW-015709  
jc.  CLAY-UGMICW-015709  
jd.  CLAY-UGMICW-015710  
je.  CLAY-UGMICW-015710  
jf.  CLAY-UGMICW-015711
















































jpg.  CLAY-UGMICW-015711  
jhh.  CLAY-UGMICW-015712  
jii.  CLAY-UGMICW-015712  
jii.  CLAY-UGMICW-015713  
jkk.  CLAY-UGMICW-015713  
jll.  CLAY-UGMICW-015714  
jmm.  CLAY-UGMICW-015714  
jnn.  CLAY-UGMICW-015715  
joo.  CLAY-UGMICW-015715  
jpp.  CLAY-UGMICW-015716  
jqq.  CLAY-UGMICW-015716  
jrr.  CLAY-UGMICW-015717  
jss.  CLAY-UGMICW-015717  
jtt.  CLAY-UGMICW-015718  
juu.  CLAY-UGMICW-015718  
jvv.  CLAY-UGMICW-015719  
jww.  CLAY-UGMICW-015719  
jxx.  CLAY-UGMICW-015720  
jyy.  CLAY-UGMICW-015720  
jzz.  CLAY-UGMICW-015721  
kaa.  CLAY-UGMICW-015721  
kbb.  CLAY-UGMICW-015722  
kcc.  CLAY-UGMICW-015722  
kdd.  CLAY-UGMICW-015723  
kee.  CLAY-UGMICW-015723  
kff.  CLAY-UGMICW-015724  
kgg.  CLAY-UGMICW-015724  
khh.  CLAY-UGMICW-015725  
kii.  CLAY-UGMICW-015725  
kjj.  CLAY-UGMICW-015726  
kkk.  CLAY-UGMICW-015726  
kll.  CLAY-UGMICW-015727  
kmm.  CLAY-UGMICW-015727  
knn.  CLAY-UGMICW-015728  
koo.  CLAY-UGMICW-015728  
kpp.  CLAY-UGMICW-015729  
kqq.  CLAY-UGMICW-015729  
krr.  CLAY-UGMICW-015730  
kss.  CLAY-UGMICW-015730  
ktt.  CLAY-UGMICW-015731  
kuu.  CLAY-UGMICW-015731  
kvv.  CLAY-UGMICW-015732  
kww.  CLAY-UGMICW-015732  
kxx.  CLAY-UGMICW-015733  
kyy.  CLAY-UGMICW-015733  
kzz.  CLAY-UGMICW-015734  
laa.  CLAY-UGMICW-015734  
lbb.  CLAY-UGMICW-015735  
lcc.  CLAY-UGMICW-015735  
ldd.  CLAY-UGMICW-015736  
lee.  CLAY-UGMICW-015736  
lff.  CLAY-UGMICW-015737  
lgg.  CLAY-UGMICW-015737  
lhh.  CLAY-UGMICW-015738  
lil.  CLAY-UGMICW-015738









lj.  CLAY-UGMICW-015739  
lk.  CLAY-UGMICW-015739  
ll.  CLAY-UGMICW-015740  
lm.  CLAY-UGMICW-015740  
ln.  CLAY-UGMICW-015741  
lo.  CLAY-UGMICW-015741  
lp.  CLAY-UGMICW-015742  
lq.  CLAY-UGMICW-015742  
lr.  CLAY-UGMICW-015743  
ls.  CLAY-UGMICW-015743  
lt.  CLAY-UGMICW-015744  
lu.  CLAY-UGMICW-015744  
lv.  CLAY-UGMICW-015745  
lw.  CLAY-UGMICW-015745  
lx.  CLAY-UGMICW-015746  
ly.  CLAY-UGMICW-015746  
lz.  CLAY-UGMICW-015747  
ma.  CLAY-UGMICW-015747  
mb.  CLAY-UGMICW-015748  
mc.  CLAY-UGMICW-015748  
md.  CLAY-UGMICW-015749  
me.  CLAY-UGMICW-015749  
mf.  CLAY-UGMICW-015750  
mg.  CLAY-UGMICW-015750  
mh.  CLAY-UGMICW-015751  
mi.  CLAY-UGMICW-015751  
mj.  CLAY-UGMICW-015752  
mk.  CLAY-UGMICW-015752  
ml.  CLAY-UGMICW-015753  
mm.  CLAY-UGMICW-015753  
mn.  CLAY-UGMICW-015754  
mo.  CLAY-UGMICW-015754  
mp.  CLAY-UGMICW-015755  
mq.  CLAY-UGMICW-015755  
mr.  CLAY-UGMICW-015756  
ms.  CLAY-UGMICW-015756  
mt.  CLAY-UGMICW-015757  
mu.  CLAY-UGMICW-015757  
mv.  CLAY-UGMICW-015758  
mw.  CLAY-UGMICW-015758  
mx.  CLAY-UGMICW-015759  
my.  CLAY-UGMICW-015759  
mz.  CLAY-UGMICW-015760  
na.  CLAY-UGMICW-015760  
nb.  CLAY-UGMICW-015761  
nc.  CLAY-UGMICW-015761  
nd.  CLAY-UGMICW-015762  
ne.  CLAY-UGMICW-015762  
nf.  CLAY-UGMICW-015763  
ng.  CLAY-UGMICW-015763  
nh.  CLAY-UGMICW-015764  
ni.  CLAY-UGMICW-015764  
nj.  CLAY-UGMICW-015765  
nk.  CLAY-UGMICW-015765  
nl.  CLAY-UGMICW-015766
















nm.  CLAY-UGMICW-015766  
nn.  CLAY-UGMICW-015767  
no.  CLAY-UGMICW-015767  
np.  CLAY-UGMICW-015768  
nq.  CLAY-UGMICW-015768  
nr.  CLAY-UGMICW-015769  
ns.  CLAY-UGMICW-015769  
nt.  CLAY-UGMICW-015770  
nu.  CLAY-UGMICW-015770  
nv.  CLAY-UGMICW-015771  
nw.  CLAY-UGMICW-015771  
nx.  CLAY-UGMICW-015772  
ny.  CLAY-UGMICW-015772  
nz.  CLAY-UGMICW-015773  
oa.  CLAY-UGMICW-015773  
ob.  CLAY-UGMICW-015774  
oc.  CLAY-UGMICW-015774  
od.  CLAY-UGMICW-015775  
oe.  CLAY-UGMICW-015775  
of.  CLAY-UGMICW-015776  
og.  CLAY-UGMICW-015776  
oh.  CLAY-UGMICW-015777  
oi.  CLAY-UGMICW-015777  
oj.  CLAY-UGMICW-015778  
ok.  CLAY-UGMICW-015778  
ol.  CLAY-UGMICW-015779  
om.  CLAY-UGMICW-015779  
on.  CLAY-UGMICW-015780  
oo.  CLAY-UGMICW-015780  
op.  CLAY-UGMICW-015781  
oq.  CLAY-UGMICW-015781  
or.  CLAY-UGMICW-015782  
os.  CLAY-UGMICW-015782  
ot.  CLAY-UGMICW-015783  
ou.  CLAY-UGMICW-015783  
ov.  CLAY-UGMICW-015784  
ow.  CLAY-UGMICW-015784  
ox.  CLAY-UGMICW-015785  
oy.  CLAY-UGMICW-015785  
oz.  CLAY-UGMICW-015786  
pa.  CLAY-UGMICW-015786  
pb.  CLAY-UGMICW-015787  
pc.  CLAY-UGMICW-015787  
pd.  CLAY-UGMICW-015788  
pe.  CLAY-UGMICW-015788  
pf.  CLAY-UGMICW-015789  
pg.  CLAY-UGMICW-015789  
ph.  CLAY-UGMICW-015790  
pi.  CLAY-UGMICW-015790  
pj.  CLAY-UGMICW-015791  
pk.  CLAY-UGMICW-015791  
pl.  CLAY-UGMICW-015792  
pm.  CLAY-UGMICW-015792  
pn.  CLAY-UGMICW-015793  
po.  CLAY-UGMICW-015793

pp.  CLAY-UGMICW-015794  
pq.  CLAY-UGMICW-015794  
pr.  CLAY-UGMICW-015795  
ps.  CLAY-UGMICW-015795  
pt.  CLAY-UGMICW-015796  
pu.  CLAY-UGMICW-015796  
pv.  CLAY-UGMICW-015797  
pw.  CLAY-UGMICW-015797  
px.  CLAY-UGMICW-015798  
py.  CLAY-UGMICW-015798  
pz.  CLAY-UGMICW-015799  
qa.  CLAY-UGMICW-015799  
qb.  CLAY-UGMICW-015800  
qc.  CLAY-UGMICW-015800  
qd.  CLAY-UGMICW-015801  
qe.  CLAY-UGMICW-015801  
qf.  CLAY-UGMICW-015802  
qg.  CLAY-UGMICW-015802  
qh.  CLAY-UGMICW-015803  
qi.  CLAY-UGMICW-015803  
qj.  CLAY-UGMICW-015804  
qk.  CLAY-UGMICW-015804  
ql.  CLAY-UGMICW-015805  
qm.  CLAY-UGMICW-015805  
qn.  CLAY-UGMICW-015806  
qo.  CLAY-UGMICW-015806  
qp.  CLAY-UGMICW-015807  
qq.  CLAY-UGMICW-015807  
qr.  CLAY-UGMICW-015808  
qs.  CLAY-UGMICW-015808  
qt.  CLAY-UGMICW-015809  
qu.  CLAY-UGMICW-015809  
qv.  CLAY-UGMICW-015810  
qw.  CLAY-UGMICW-015810  
qx.  CLAY-UGMICW-015811  
qy.  CLAY-UGMICW-015811  
qz.  CLAY-UGMICW-015812  
ra.  CLAY-UGMICW-015812  
rb.  CLAY-UGMICW-015813  
rc.  CLAY-UGMICW-015813  
rd.  CLAY-UGMICW-015814  
re.  CLAY-UGMICW-015814  
rf.  CLAY-UGMICW-015815  
rg.  CLAY-UGMICW-015815  
rh.  CLAY-UGMICW-015816  
ri.  CLAY-UGMICW-015816  
rj.  CLAY-UGMICW-015817  
rk.  CLAY-UGMICW-015817  
rl.  CLAY-UGMICW-015818  
rm.  CLAY-UGMICW-015818  
rn.  CLAY-UGMICW-015819  
ro.  CLAY-UGMICW-015819  
rp.  CLAY-UGMICW-015820  
rq.  CLAY-UGMICW-015820  
rr.  CLAY-UGMICW-015821










































- rs.  CLAY-UGMICW-015821
- rt.  CLAY-UGMICW-015822
- ru.  CLAY-UGMICW-015822
- rv.  CLAY-UGMICW-015823
- rw.  CLAY-UGMICW-015823
- rx.  CLAY-UGMICW-015824
- ry.  CLAY-UGMICW-015824
- rz.  CLAY-UGMICW-015825
- sa.  CLAY-UGMICW-015825
- sb.  CLAY-UGMICW-015826
- sc.  CLAY-UGMICW-015826
- sd.  CLAY-UGMICW-015827
- se.  CLAY-UGMICW-015827
- sf.  CLAY-UGMICW-015828
- sg.  CLAY-UGMICW-015828
- sh.  CLAY-UGMICW-015829
- si.  CLAY-UGMICW-015829
- sj.  CLAY-UGMICW-015830
- sk.  CLAY-UGMICW-015830
- sl.  CLAY-UGMICW-015831
- sm.  CLAY-UGMICW-015831
- sn.  CLAY-UGMICW-015832
- so.  CLAY-UGMICW-015832
- sp.  CLAY-UGMICW-015833
- sq.  CLAY-UGMICW-015833
- sr.  CLAY-UGMICW-015834
- ss.  CLAY-UGMICW-015834
- st.  CLAY-UGMICW-015835
- su.  CLAY-UGMICW-015835
- sv.  CLAY-UGMICW-015836
- sw.  CLAY-UGMICW-015837
- sx.  CLAY-UGMICW-015838
- sy.  CLAY-UGMICW-015839
- sz.  CLAY-UGMICW-015839
- ta.  CLAY-UGMICW-015839
- tb.  CLAY-UGMICW-015840
- tc.  CLAY-UGMICW-015840
- td.  CLAY-UGMICW-015840
- te.  CLAY-UGMICW-015841
- tf.  CLAY-UGMICW-015841
- tg.  CLAY-UGMICW-015841
- th.  CLAY-UGMICW-015842
- ti.  CLAY-UGMICW-015842
- tj.  CLAY-UGMICW-015842
- tk.  CLAY-UGMICW-015843
- tl.  CLAY-UGMICW-015843
- tm.  CLAY-UGMICW-015843
























































- 2.  CLAY-UGMICW-015488
- 3.  CLAY-UGMICW-015488
- 4.  CLAY-UGMICW-015489
- 5.  CLAY-UGMICW-015490
- 6.  CLAY-UGMICW-015491
- 7.  CLAY-UGMICW-015492
- 8.  CLAY-UGMICW-015492
- 9.  CLAY-UGMICW-015493


















10.  CLAY-UGMICW-015494
11.  CLAY-UGMICW-015495
12.  CLAY-UGMICW-015496
13.  CLAY-UGMICW-015497
14.  CLAY-UGMICW-015498
15.  CLAY-UGMICW-015499
16.  CLAY-UGMICW-015500
17.  CLAY-UGMICW-015500
18.  CLAY-UGMICW-015501
19.  CLAY-UGMICW-015502
20.  CLAY-UGMICW-015503
21.  CLAY-UGMICW-015504
22.  CLAY-UGMICW-015505


c.  05-AB4






































1.  Reports















- a.  CLAY-UGMICW-015862
- b.  CLAY-UGMICW-015862
- c.  CLAY-UGMICW-015862
- d.  CLAY-UGMICW-015863
- e.  CLAY-UGMICW-015863
- f.  CLAY-UGMICW-015863
- g.  CLAY-UGMICW-015864
- h.  CLAY-UGMICW-015864
- i.  CLAY-UGMICW-015864
- j.  CLAY-UGMICW-015865
- k.  CLAY-UGMICW-015865
- l.  CLAY-UGMICW-015865
- m.  CLAY-UGMICW-015866
- n.  CLAY-UGMICW-015866
- o.  CLAY-UGMICW-015866
- p.  CLAY-UGMICW-015867
- q.  CLAY-UGMICW-015867
- r.  CLAY-UGMICW-015867
- s.  CLAY-UGMICW-015868
- t.  CLAY-UGMICW-015868
- u.  CLAY-UGMICW-015868
- v.  CLAY-UGMICW-015869
- w.  CLAY-UGMICW-015869
- x.  CLAY-UGMICW-015869
- y.  CLAY-UGMICW-015870
- z.  CLAY-UGMICW-015870
- aa.  CLAY-UGMICW-015870
- ab.  CLAY-UGMICW-015871
- ac.  CLAY-UGMICW-015871
- ad.  CLAY-UGMICW-015871
- ae.  CLAY-UGMICW-015872
- af.  CLAY-UGMICW-015872
- ag.  CLAY-UGMICW-015872
- ah.  CLAY-UGMICW-015873
- ai.  CLAY-UGMICW-015873
- aj.  CLAY-UGMICW-015873
- ak.  CLAY-UGMICW-015874
- al.  CLAY-UGMICW-015874
- am.  CLAY-UGMICW-015874
- an.  CLAY-UGMICW-015875
- ao.  CLAY-UGMICW-015875

- ap.  CLAY-UGMICW-015876
  - aq.  CLAY-UGMICW-015877
  - ar.  CLAY-UGMICW-015878
  - as.  CLAY-UGMICW-015879
  - at.  CLAY-UGMICW-015880
  - au.  CLAY-UGMICW-015881
  - av.  CLAY-UGMICW-015882
  - aw.  CLAY-UGMICW-015883
  - ax.  CLAY-UGMICW-015884
  - ay.  CLAY-UGMICW-015885
  - az.  CLAY-UGMICW-015886
  - ba.  CLAY-UGMICW-015887
  - bb.  CLAY-UGMICW-015888
  - bc.  CLAY-UGMICW-015889
  - bd.  CLAY-UGMICW-015890
  - be.  CLAY-UGMICW-015891
  - bf.  CLAY-UGMICW-015892
  - bg.  CLAY-UGMICW-015893
  - bh.  CLAY-UGMICW-015894
  - bi.  CLAY-UGMICW-015895
  - bj.  CLAY-UGMICW-015896
  - bk.  CLAY-UGMICW-015897
  - bl.  CLAY-UGMICW-015898
  - bm.  CLAY-UGMICW-015899
  - bn.  CLAY-UGMICW-015900
  - bo.  CLAY-UGMICW-015901
  - bp.  CLAY-UGMICW-015902
  - bq.  CLAY-UGMICW-015903
  - br.  CLAY-UGMICW-015904
  - bs.  CLAY-UGMICW-015905
  - bt.  CLAY-UGMICW-015906
  - bu.  CLAY-UGMICW-015907
  - bv.  CLAY-UGMICW-015908
  - bw.  CLAY-UGMICW-015909
  - bx.  CLAY-UGMICW-015910
  - by.  CLAY-UGMICW-015910
  - bz.  CLAY-UGMICW-015910
  - ca.  CLAY-UGMICW-015911
  - cb.  CLAY-UGMICW-015911
  - cc.  CLAY-UGMICW-015912
  - cd.  CLAY-UGMICW-015913
  - ce.  CLAY-UGMICW-015914
  - cf.  CLAY-UGMICW-015915
  - cg.  CLAY-UGMICW-015915
  - ch.  CLAY-UGMICW-015915
  - ci.  CLAY-UGMICW-015916
  - cj.  CLAY-UGMICW-015916
  - ck.  CLAY-UGMICW-015916
  - cl.  CLAY-UGMICW-015917
  - cm.  CLAY-UGMICW-015917
  - cn.  CLAY-UGMICW-015917
2.  CLAY-UGMICW-015844
  3.  CLAY-UGMICW-015844
  4.  CLAY-UGMICW-015845
  5.  CLAY-UGMICW-015846









































6.  CLAY-UGMICW-015847
7.  CLAY-UGMICW-015848
8.  CLAY-UGMICW-015848
9.  CLAY-UGMICW-015849
10.  CLAY-UGMICW-015850
11.  CLAY-UGMICW-015851
12.  CLAY-UGMICW-015852
13.  CLAY-UGMICW-015853
14.  CLAY-UGMICW-015854
15.  CLAY-UGMICW-015855
16.  CLAY-UGMICW-015855
17.  CLAY-UGMICW-015856
18.  CLAY-UGMICW-015857
19.  CLAY-UGMICW-015858
20.  CLAY-UGMICW-015859
21.  CLAY-UGMICW-015860
22.  CLAY-UGMICW-015861


























































d.  06-26

1.  Reports
2.  CLAY-UGMICW-009332
3.  CLAY-UGMICW-009332
4.  CLAY-UGMICW-009333
5.  CLAY-UGMICW-009334
6.  CLAY-UGMICW-009335
7.  CLAY-UGMICW-009336
8.  CLAY-UGMICW-009337
9.  CLAY-UGMICW-009338
10.  CLAY-UGMICW-009339
11.  CLAY-UGMICW-009340
12.  CLAY-UGMICW-009341
13.  CLAY-UGMICW-009342
14.  CLAY-UGMICW-009343
15.  CLAY-UGMICW-009344
16.  CLAY-UGMICW-009345
17.  CLAY-UGMICW-009345
18.  CLAY-UGMICW-009346
19.  CLAY-UGMICW-009347
20.  CLAY-UGMICW-009348
21.  CLAY-UGMICW-009349
22.  CLAY-UGMICW-009350
23.  CLAY-UGMICW-009350
24.  CLAY-UGMICW-009351
25.  CLAY-UGMICW-009352
26.  CLAY-UGMICW-009353
27.  CLAY-UGMICW-009354
28.  CLAY-UGMICW-009355
29.  CLAY-UGMICW-009356
30.  CLAY-UGMICW-009357
31.  CLAY-UGMICW-009358
32.  CLAY-UGMICW-009359
33.  CLAY-UGMICW-009360
34.  CLAY-UGMICW-009361
35.  CLAY-UGMICW-009362
36.  CLAY-UGMICW-009363
37.  CLAY-UGMICW-009364

38.  CLAY-UGMICW-009364
39.  CLAY-UGMICW-009365
40.  CLAY-UGMICW-009366
41.  CLAY-UGMICW-009367
42.  CLAY-UGMICW-009368
43.  CLAY-UGMICW-009369
44.  CLAY-UGMICW-009370
45.  CLAY-UGMICW-009371
46.  CLAY-UGMICW-009372
47.  CLAY-UGMICW-009373
48.  CLAY-UGMICW-009374
49.  CLAY-UGMICW-009375
50.  CLAY-UGMICW-009376
51.  CLAY-UGMICW-009377











































e.  07-2









































1.  CLAY-UGMICW-000012
2.  CLAY-UGMICW-000012
3.  CLAY-UGMICW-000013
4.  CLAY-UGMICW-000014
5.  CLAY-UGMICW-000015
6.  CLAY-UGMICW-000016
7.  CLAY-UGMICW-000017
8.  CLAY-UGMICW-000018
9.  CLAY-UGMICW-000019
10.  CLAY-UGMICW-000020
11.  CLAY-UGMICW-000021
12.  CLAY-UGMICW-000022
13.  CLAY-UGMICW-000022
14.  CLAY-UGMICW-000023
15.  CLAY-UGMICW-000024
16.  CLAY-UGMICW-000025
17.  CLAY-UGMICW-000026
18.  CLAY-UGMICW-000027
19.  CLAY-UGMICW-000027
20.  CLAY-UGMICW-000028
21.  CLAY-UGMICW-000029
22.  CLAY-UGMICW-000030
23.  CLAY-UGMICW-000031
24.  CLAY-UGMICW-000032
25.  CLAY-UGMICW-000033
26.  CLAY-UGMICW-000034
27.  CLAY-UGMICW-000035
28.  CLAY-UGMICW-000036
29.  CLAY-UGMICW-000037
30.  CLAY-UGMICW-000038
31.  CLAY-UGMICW-000039
32.  CLAY-UGMICW-000040
33.  CLAY-UGMICW-000041
34.  CLAY-UGMICW-000041
35.  CLAY-UGMICW-000042
36.  CLAY-UGMICW-000043
37.  CLAY-UGMICW-000044
38.  CLAY-UGMICW-000045
39.  CLAY-UGMICW-000046
40.  CLAY-UGMICW-000047










































- 41.  CLAY-UGMICW-000048
- 42.  CLAY-UGMICW-000049
- 43.  CLAY-UGMICW-000050
- 44.  CLAY-UGMICW-000051
- f.  CLAY-UGMICW-000001
- g.  CLAY-UGMICW-000001
- h.  CLAY-UGMICW-000002
- i.  CLAY-UGMICW-000003
- j.  CLAY-UGMICW-000004
- k.  CLAY-UGMICW-000004
- l.  CLAY-UGMICW-000005
- m.  CLAY-UGMICW-000006
- n.  CLAY-UGMICW-000007
- o.  CLAY-UGMICW-000007
- p.  CLAY-UGMICW-000008
- q.  CLAY-UGMICW-000009
- r.  CLAY-UGMICW-000010
- s.  CLAY-UGMICW-000011
- t.  CLAY-UGMICW-000011
- d.  Handed Production 2010-08-04
  - 1.  All Clayton Trending Report 06-07
- 12.  COGR
  - a.  Filed version Schedule A both closings
  - b.  Schedule A both closings
- 13.  Cohen
- 14.  Countrywide
  - a.  2010-09-14 Production- Disk # 619
    - 1.  BAC-FCIC-0000079239
    - 2.  BAC-FCIC-0000079240
    - 3.  BAC-FCIC-0000079241
  - b.  2010-09-22 Production- Disk # 639
    - 1.  BAC-FCIC-0000080561-80768
  - c.  2010-10-25 Production- Disk # 717
    - 1.  BAC-FCIC-0000086785
  - d.  2010-11-30 Production- Disk # 742
    - 1.  BAC-FCIC-0000088821-0000089116
- 15.  Credit Suisse
  - a.  2010-06-10 Production
    - 1.  Credit Suisse CDOs (Exhibit 1)
    - 2.  CS-FCIC-GATES-00000001 - 00000059
    - 3.  CS-FCIC-GATES-00000060 - 00000086
    - 4.  CS-FCIC-GATES-00000087 - 00000128
    - 5.  CS-FCIC-GATES-00000129 - 00000160
  - b.  2010-07-22 Production- Disk # 479
    - 1.  CSS-FCIC-E-00004664
    - 2.  CSS-FCIC-E-00004665
    - 3.  CSS-FCIC-E-00004666
    - 4.  CSS-FCIC-E-00004667
    - 5.  CSS-FCIC-E-00004668
    - 6.  CSS-FCIC-E-00004669
    - 7.  CSS-FCIC-E-00004670
    - 8.  CSS-FCIC-E-00004671
    - 9.  CSS-FCIC-E-00004672
  - c.  2010-07-26 Production- Disk # 487
    - 1.  CSS-FCIC-E-00004673
    - 2.  CSS-FCIC-E-00004674
    - 3.  CSS-FCIC-E-00004675























































- d. 2010-09-20 Production- Disk # 635
  - 1. CSS-FCIC-E-00004676
- e. 2010-10-01 Production- Disk # 668
  - 1. CSS-FCIC-E-00004683
- f. 2010-10-25 Production- Disk # 720
  - 1. CSS-FCIC-E-00000012-00000511
  - 2. CSS-FCIC-E-00000512-00001011
  - 3. CSS-FCIC-E-00001012-00001511
  - 4. CSS-FCIC-E-00001512-00002011
  - 5. CSS-FCIC-E-00002012-00002511
  - 6. CSS-FCIC-E-00002512-00003011
  - 7. CSS-FCIC-E-00003012-00003511
  - 8. CSS-FCIC-E-00003512-00004011
  - 9. CSS-FCIC-E-00004012-00004663
  - 10. CSS-FCIC-E-00004688
  - 11. CSS-FCIC-E-00004688
  - 12. CSS-FCIC-E-00004693
  - 13. CSS-FCIC-E-00004693
  - 14. CSS-FCIC-E-00004699
  - 15. CSS-FCIC-E-00004699
- 16. CT AG
  - a. 2010-05-06
    - 1. fcic (3)
  - b. 2010-05-21
    - 1. Pursuit Fourth Amended Complaint- 1st Part
    - 2. Pursuit Fourth Amended Complaint-2d Part
    - 3. Pursuit Partners Exhibits to Amended Complaint
  - c. 2010-06-16
    - 1. Bear EMC
      - a. BEA - FIN AMERICA (FA0505) Production Report
      - b. BEA - PEOPLES 04-084 Production Report
      - c. EMC - AAMES (AA0601) Production Report1
      - d. EMC - AAMES (AA0601) Production Report2
      - e. EMC - CIT (CG0601) Production Report
      - f. EMC -PEOPLES (PC0506) Production Report
      - g. Encore 2006-08 Bear Production Logs
      - h. Encore 2007-02 BSRM Production Report
      - i. Suntrust 2006-10 Bear (CU) Production log
    - 2. CLAY 0037071 - CLAY 0083908
      - a. BEA, FGMC (FG0512)
        - 1. DISK0919
          - a. CLAY-0037071\_FGMC 05-1114 WL (FG0512) Clayton DD Data File 091905
          - b. CLAY-0037071\_FGMC 05-1114 WL (FG0512) Clayton DD Data File 091905
          - c. CLAY-0037072\_FGMC 05-1114 WL (FG0512) Clayton Due Diligence Issues 091905
          - d. CLAY-0037072\_FGMC 05-1114 WL (FG0512) Clayton Due Diligence Issues 091905
          - e. CLAY-0037073\_FGMC 05-1114 WL (FG0512) IAS 1 Report 091905
          - f. CLAY-0037110\_FGMC 05-1114 WL (FG0512) IAS 2 Report 091905
          - g. CLAY-0037117\_FGMC 05-1114 WL (FG0512) IAS 3 Report 091905
          - h. CLAY-0037148\_FGMC 05-1114 WL (FG0512) Loans Not Reviewed Report 091905
          - i. CLAY-0037148\_FGMC 05-1114 WL (FG0512) Loans Not Reviewed Report 091905
          - j. CLAY-0037149\_FGMC 05-1114 WL (FG0512) Production Report 091905
        - 2. DISK0926
          - a. CLAY-0037153\_FGMC 05-1114 WL (FG0512) Clayton DD Data File 092605
          - b. CLAY-0037153\_FGMC 05-1114 WL (FG0512) Clayton DD Data File 092605




















































- 3.  DISK0927
  - a.  CLAY-0037154\_FGMC 05-1114 WL (FG0512) Clayton DD Data File 092705
  - b.  CLAY-0037154\_FGMC 05-1114 WL (FG0512) Clayton DD Data File 092705
  - c.  CLAY-0037155\_FGMC 05-1114 WL (FG0512) Clayton Due Diligence Issues 092705
  - d.  CLAY-0037155\_FGMC 05-1114 WL (FG0512) Clayton Due Diligence Issues 092705
  - e.  CLAY-0037156\_FGMC 05-1114 WL (FG0512) IAS 1 Report 092705
  - f.  CLAY-0037204\_FGMC 05-1114 WL (FG0512) IAS 2 Report 092705
  - g.  CLAY-0037220\_FGMC 05-1114 WL (FG0512) IAS 3 Report 092705
  - h.  CLAY-0037231\_prodlog20050927
- 4.  DISK0928
  - a.  CLAY-0037235\_FGMC 05-1114 WL (FG0512) Clayton DD Data File 092805
  - b.  CLAY-0037235\_FGMC 05-1114 WL (FG0512) Clayton DD Data File 092805
  - c.  CLAY-0037236\_FGMC 05-1114 WL (FG0512) Clayton Due Diligence Issues 092805
  - d.  CLAY-0037236\_FGMC 05-1114 WL (FG0512) Clayton Due Diligence Issues 092805
  - e.  CLAY-0037237\_FGMC 05-1114 WL (FG0512) IAS 1 Report 092805
  - f.  CLAY-0037290\_FGMC 05-1114 WL (FG0512) IAS 2 Report 092805
- b.  EMC, FIRST HORIZON-FH0522
  - 1.  DISK0920
    - a.  FH0522
      - 1.  CLAY-0037311\_First Horizon 05-1130 WL (FH0522) Clayton DD Data File 09200
      - 2.  CLAY-0037311\_First Horizon 05-1130 WL (FH0522) Clayton DD Data File 09200
      - 3.  CLAY-0037312\_First Horizon 05-1130 WL (FH0522) Clayton Due Diligence Issu
      - 4.  CLAY-0037312\_First Horizon 05-1130 WL (FH0522) Clayton Due Diligence Issu
      - 5.  CLAY-0037313\_First Horizon 05-1130 WL (FH0522) IAS 1 Report 092005
      - 6.  CLAY-0037459\_First Horizon 05-1130 WL (FH0522) IAS 2 Report 092005
      - 7.  CLAY-0037479\_First Horizon 05-1130 WL (FH0522) IAS 3 Report 092005
      - 8.  CLAY-0037522\_First Horizon 05-1130 WL (FH0522) Production Report 092005
  - 2.  disk0921
    - a.  fh0522
      - 1.  CLAY-0037526\_First Horizon 05-1130 WL (FH0522) Clayton DD Data File 09210
      - 2.  CLAY-0037526\_First Horizon 05-1130 WL (FH0522) Clayton DD Data File 09210
      - 3.  CLAY-0037527\_First Horizon 05-1130 WL (FH0522) Clayton Due Diligence Issu
      - 4.  CLAY-0037527\_First Horizon 05-1130 WL (FH0522) Clayton Due Diligence Issu
      - 5.  CLAY-0037528\_First Horizon 05-1130 WL (FH0522) IAS 1 Report 092105
      - 6.  CLAY-0038007\_First Horizon 05-1130 WL (FH0522) IAS 2 Report 092105
      - 7.  CLAY-0038051\_First Horizon 05-1130 WL (FH0522) IAS 3 Report 092105
      - 8.  CLAY-0038180\_First Horizon 05-1130 WL (FH0522) Production Report 092105
    - b.  FH05C2
      - 1.  CLAY-0038186\_First Horizon 05-1159 WL (FH05C2) Clayton DD Data File 09210
      - 2.  CLAY-0038186\_First Horizon 05-1159 WL (FH05C2) Clayton DD Data File 09210
      - 3.  CLAY-0038187\_First Horizon 05-1159 WL (FH05C2) Clayton Due Diligence Issu
      - 4.  CLAY-0038187\_First Horizon 05-1159 WL (FH05C2) Clayton Due Diligence Issu

























































5.  CLAY-0038188\_First Horizon 05-1159 WL (FH05C2) IAS 1 Report 092105
  6.  CLAY-0038207\_First Horizon 05-1159 WL (FH05C2) IAS 2 Report 092105
  7.  CLAY-0038211\_First Horizon 05-1159 WL (FH05C2) Production Report 092105
3.  disk0922
- a.  fh0522
    1.  CLAY-0038215\_First Horizon 05-1130 WL (FH0522) Clayton DD Data File 09220
    2.  CLAY-0038215\_First Horizon 05-1130 WL (FH0522) Clayton DD Data File 09220
    3.  CLAY-0038216\_First Horizon 05-1130 WL (FH0522) Clayton Due Diligence Issu
    4.  CLAY-0038216\_First Horizon 05-1130 WL (FH0522) Clayton Due Diligence Issu
    5.  CLAY-0038217\_First Horizon 05-1130 WL (FH0522) IAS 1 Report 092205
    6.  CLAY-0039005\_First Horizon 05-1130 WL (FH0522) IAS 2 Report 092205
    7.  CLAY-0039059\_First Horizon 05-1130 WL (FH0522) IAS 3 Report 092205
    8.  CLAY-0039241\_First Horizon 05-1130 WL (FH0522) Production Report 092205
  - b.  FH05C2
    1.  CLAY-0039247\_First Horizon 05-1159 WL (FH05C2) Clayton DD Data File 09220
    2.  CLAY-0039247\_First Horizon 05-1159 WL (FH05C2) Clayton DD Data File 09220
    3.  CLAY-0039248\_First Horizon 05-1159 WL (FH05C2) Clayton Due Diligence Issu
    4.  CLAY-0039248\_First Horizon 05-1159 WL (FH05C2) Clayton Due Diligence Issu
    5.  CLAY-0039249\_First Horizon 05-1159 WL (FH05C2) IAS 1 Report 092205
    6.  CLAY-0039331\_First Horizon 05-1159 WL (FH05C2) IAS 2 Report 092205
    7.  CLAY-0039347\_First Horizon 05-1159 WL (FH05C2) IAS 3 Report 092205
    8.  CLAY-0039401\_First Horizon 05-1159 WL (FH05C2) Production Report 092205
4.  disk0923
- a.  fh0522
    1.  CLAY-0039405\_First Horizon 05-1130 WL (FH0522) Clayton DD Data File 09230
    2.  CLAY-0039405\_First Horizon 05-1130 WL (FH0522) Clayton DD Data File 09230
    3.  CLAY-0039406\_First Horizon 05-1130 WL (FH0522) Clayton Due Diligence Issu
    4.  CLAY-0039406\_First Horizon 05-1130 WL (FH0522) Clayton Due Diligence Issu
    5.  CLAY-0039407\_First Horizon 05-1130 WL (FH0522) IAS 1 Report 092305
    6.  CLAY-0040503\_First Horizon 05-1130 WL (FH0522) IAS 2 Report 092305
    7.  CLAY-0040559\_First Horizon 05-1130 WL (FH0522) IAS 3 Report 092305
    8.  CLAY-0040750\_First Horizon 05-1130 WL (FH0522) Production Report 092305
  - b.  FH05C2
    1.  CLAY-0040756\_First Horizon 05-1159 WL (FH05C2) Clayton DD Data File 09230
    2.  CLAY-0040756\_First Horizon 05-1159 WL (FH05C2) Clayton DD Data File 09230
    3.  CLAY-0040757\_First Horizon 05-1159 WL (FH05C2) Clayton Due Diligence Issu
    4.  CLAY-0040757\_First Horizon 05-1159 WL (FH05C2) Clayton Due Diligence Issu
    5.  CLAY-0040758\_First Horizon 05-1159 WL (FH05C2) IAS 1 Report 092305
    6.  CLAY-0041037\_First Horizon 05-1159 WL (FH05C2) IAS 2 Report 092305
    7.  CLAY-0041056\_First Horizon 05-1159 WL (FH05C2) IAS 3 Report 092305

8.  CLAY-0041149\_First Horizon 05-1159 WL (FH05C2) Production Report 092305
5.  disk0926
  - a.  fh0522
    1.  CLAY-0041155\_First Horizon 05-1130 WL (FH0522) Clayton DD Data File 09260
    2.  CLAY-0041155\_First Horizon 05-1130 WL (FH0522) Clayton DD Data File 09260
    3.  CLAY-0041156\_First Horizon 05-1130 WL (FH0522) Clayton Due Diligence Issu
    4.  CLAY-0041156\_First Horizon 05-1130 WL (FH0522) Clayton Due Diligence Issu
    5.  CLAY-0041157\_First Horizon 05-1130 WL (FH0522) IAS 1 Report 092605
    6.  CLAY-0042288\_First Horizon 05-1130 WL (FH0522) IAS 2 Report 092605
    7.  CLAY-0042348\_First Horizon 05-1130 WL (FH0522) IAS 3 Report 092605
    8.  CLAY-0042521\_First Horizon 05-1130 WL (FH0522) Production Report 092605
  - b.  FH05C2
    1.  CLAY-0042527\_First Horizon 05-1159 WL (FH05C2) Clayton DD Data File 09260
    2.  CLAY-0042527\_First Horizon 05-1159 WL (FH05C2) Clayton DD Data File 09260
    3.  CLAY-0042528\_First Horizon 05-1159 WL (FH05C2) Clayton Due Diligence Issu
    4.  CLAY-0042528\_First Horizon 05-1159 WL (FH05C2) Clayton Due Diligence Issu
    5.  CLAY-0042529\_First Horizon 05-1159 WL (FH05C2) IAS 1 Report 092605
    6.  CLAY-0043050\_First Horizon 05-1159 WL (FH05C2) IAS 2 Report 092605
    7.  CLAY-0043078\_First Horizon 05-1159 WL (FH05C2) IAS 3 Report 092605
    8.  CLAY-0043206\_First Horizon 05-1159 WL (FH05C2) Production Report 092605
6.  disk0928
  - a.  fh0522
    1.  CLAY-0043212\_First Horizon 05-1130 WL (FH0522) Clayton DD Data File 09280
    2.  CLAY-0043212\_First Horizon 05-1130 WL (FH0522) Clayton DD Data File 09280
    3.  CLAY-0043213\_First Horizon 05-1130 WL (FH0522) Clayton Due Diligence Issu
    4.  CLAY-0043213\_First Horizon 05-1130 WL (FH0522) Clayton Due Diligence Issu
    5.  CLAY-0043214\_First Horizon 05-1130 WL (FH0522) IAS 1 Report 092805
    6.  CLAY-0044381\_First Horizon 05-1130 WL (FH0522) IAS 2 Report 092805
    7.  CLAY-0044440\_First Horizon 05-1130 WL (FH0522) IAS 3 Report 092805
  - b.  FH05C2
    1.  CLAY-0044544\_First Horizon 05-1159 WL (FH05C2) Clayton DD Data File 09280
    2.  CLAY-0044544\_First Horizon 05-1159 WL (FH05C2) Clayton DD Data File 09280
    3.  CLAY-0044545\_First Horizon 05-1159 WL (FH05C2) Clayton Due Diligence Issu
    4.  CLAY-0044545\_First Horizon 05-1159 WL (FH05C2) Clayton Due Diligence Issu
    5.  CLAY-0044546\_First Horizon 05-1159 WL (FH05C2) IAS 1 Report 092805
    6.  CLAY-0045082\_First Horizon 05-1159 WL (FH05C2) IAS 2 Report 092805
    7.  CLAY-0045116\_First Horizon 05-1159 WL (FH05C2) IAS 3 Report 092805
7.  DISK0929
  - a.  FH0522
    1.  CLAY-0045214\_First Horizon 05-1130 WL (FH0522) Clayton DD Data File 09290
    2.  CLAY-0045214\_First Horizon 05-1130 WL (FH0522) Clayton DD Data File

























































- 09290
- 3.  CLAY-0045215\_First Horizon 05-1130 WL (FH0522) Clayton Due Diligence Issu
- 4.  CLAY-0045215\_First Horizon 05-1130 WL (FH0522) Clayton Due Diligence Issu
- 5.  CLAY-0045216\_First Horizon 05-1130 WL (FH0522) IAS 1 Report 092905
- 6.  CLAY-0046385\_First Horizon 05-1130 WL (FH0522) IAS 2 Report 092905
- 7.  CLAY-0046539\_First Horizon 05-1130 WL (FH0522) IAS 3 Report 092905
- 8.  CLAY-0046638\_prodlog20050929
- 8.  disk1004
  - a.  fh0522
    - 1.  CLAY-0046644\_First Horizon 05-1130 WL (FH0522) Clayton DD Data File 10040
    - 2.  CLAY-0046644\_First Horizon 05-1130 WL (FH0522) Clayton DD Data File 10040
    - 3.  CLAY-0046645\_First Horizon 05-1130 WL (FH0522) Clayton Due Diligence Issu
    - 4.  CLAY-0046645\_First Horizon 05-1130 WL (FH0522) Clayton Due Diligence Issu
    - 5.  CLAY-0046646\_First Horizon 05-1130 WL (FH0522) IAS 1 Report 100405
    - 6.  CLAY-0047863\_First Horizon 05-1130 WL (FH0522) IAS 2 Report 100405
    - 7.  CLAY-0047999\_First Horizon 05-1130 WL (FH0522) IAS 3 Report 100405
    - 8.  CLAY-0048064\_First Horizon 05-1130 WL (FH0522) Loans Not Reviewed Report
    - 9.  CLAY-0048064\_First Horizon 05-1130 WL (FH0522) Loans Not Reviewed Report
    - 10.  CLAY-0048065\_First Horizon 05-1130 WL (FH0522) Production Report 100405
  - b.  FH05C2
    - 1.  CLAY-0048071\_First Horizon 05-1159 WL (FH05C2) Clayton DD Data File 10040
    - 2.  CLAY-0048071\_First Horizon 05-1159 WL (FH05C2) Clayton DD Data File 10040
    - 3.  CLAY-0048072\_First Horizon 05-1159 WL (FH05C2) Clayton Due Diligence Issu
    - 4.  CLAY-0048072\_First Horizon 05-1159 WL (FH05C2) Clayton Due Diligence Issu
    - 5.  CLAY-0048073\_First Horizon 05-1159 WL (FH05C2) IAS 1 Report 100405
    - 6.  CLAY-0048656\_First Horizon 05-1159 WL (FH05C2) IAS 2 Report 100405
    - 7.  CLAY-0048720\_First Horizon 05-1159 WL (FH05C2) IAS 3 Report 100405
    - 8.  CLAY-0048760\_First Horizon 05-1159 WL (FH05C2) Loans Not Reviewed Report
    - 9.  CLAY-0048760\_First Horizon 05-1159 WL (FH05C2) Loans Not Reviewed Report
    - 10.  CLAY-0048761\_First Horizon 05-1159 WL (FH05C2) Production Report 100405
- 9.  DISK1005
  - a.  FH0522
    - 1.  CLAY-0048767\_First Horizon 05-1130 WL (FH0522) Clayton DD Data File 10050
    - 2.  CLAY-0048767\_First Horizon 05-1130 WL (FH0522) Clayton DD Data File 10050
    - 3.  CLAY-0048768\_First Horizon 05-1130 WL (FH0522) Clayton Due Diligence Issu
    - 4.  CLAY-0048768\_First Horizon 05-1130 WL (FH0522) Clayton Due Diligence Issu
    - 5.  CLAY-0048769\_First Horizon 05-1130 WL (FH0522) IAS 1 Report 100505
    - 6.  CLAY-0049988\_First Horizon 05-1130 WL (FH0522) IAS 2 Report 100505
    - 7.  CLAY-0050124\_First Horizon 05-1130 WL (FH0522) IAS 3 Report 100505
    - 8.  CLAY-0050188\_First Horizon 05-1130 WL (FH0522) Production Report 100505

























































- b.  FH05C2
  1.  CLAY-0050194\_First Horizon 05-1159 WL (FH05C2) Clayton DD Data File 10050
  2.  CLAY-0050194\_First Horizon 05-1159 WL (FH05C2) Clayton DD Data File 10050
  3.  CLAY-0050195\_First Horizon 05-1159 WL (FH05C2) Clayton Due Diligence Issu
  4.  CLAY-0050195\_First Horizon 05-1159 WL (FH05C2) Clayton Due Diligence Issu
  5.  CLAY-0050196\_First Horizon 05-1159 WL (FH05C2) IAS 1 Report 100505
  6.  CLAY-0050779\_First Horizon 05-1159 WL (FH05C2) IAS 2 Report 100505
  7.  CLAY-0050843\_First Horizon 05-1159 WL (FH05C2) IAS 3 Report 100505
  8.  CLAY-0050883\_First Horizon 05-1159 WL (FH05C2) Production Report 100505
- 10.  disk1014
  - a.  fh0522
    1.  CLAY-0050889\_First Horizon 05-1130 WL (FH0522) Clayton DD Data File 10140
    2.  CLAY-0050889\_First Horizon 05-1130 WL (FH0522) Clayton DD Data File 10140
    3.  CLAY-0050890\_First Horizon 05-1130 WL (FH0522) Clayton Due Diligence Issu
    4.  CLAY-0050890\_First Horizon 05-1130 WL (FH0522) Clayton Due Diligence Issu
    5.  CLAY-0050891\_First Horizon 05-1130 WL (FH0522) IAS 1 Report 101405
    6.  CLAY-0052120\_First Horizon 05-1130 WL (FH0522) IAS 2 Report 101405
    7.  CLAY-0052258\_First Horizon 05-1130 WL (FH0522) IAS 3 Report 101405
    8.  CLAY-0052311\_prodlog20051014
  - b.  FH05C2
    1.  CLAY-0052317\_First Horizon 05-1159 WL (FH05C2) Clayton DD Data File 10140
    2.  CLAY-0052317\_First Horizon 05-1159 WL (FH05C2) Clayton DD Data File 10140
    3.  CLAY-0052318\_First Horizon 05-1159 WL (FH05C2) Clayton Due Diligence Issu
    4.  CLAY-0052318\_First Horizon 05-1159 WL (FH05C2) Clayton Due Diligence Issu
    5.  CLAY-0052319\_First Horizon 05-1159 WL (FH05C2) IAS 1 Report 101405
    6.  CLAY-0052908\_First Horizon 05-1159 WL (FH05C2) IAS 2 Report 101405
    7.  CLAY-0052972\_First Horizon 05-1159 WL (FH05C2) IAS 3 Report 101405
    8.  CLAY-0053012\_prodlog20051014
- 11.  disk1020
  - a.  fh0522
    1.  CLAY-0055134\_First Horizon 05-1130 WL (FH0522) Clayton DD Data File 10200
    2.  CLAY-0055134\_First Horizon 05-1130 WL (FH0522) Clayton DD Data File 10200
    3.  CLAY-0055135\_First Horizon 05-1130 WL (FH0522) Clayton Due Diligence Issu
    4.  CLAY-0055135\_First Horizon 05-1130 WL (FH0522) Clayton Due Diligence Issu
    5.  CLAY-0055136\_First Horizon 05-1130 WL (FH0522) IAS 1 Report 102005
    6.  CLAY-0056375\_First Horizon 05-1130 WL (FH0522) IAS 2 Report 102005
    7.  CLAY-0056538\_First Horizon 05-1130 WL (FH0522) IAS 3 Report 102005
    8.  CLAY-0056552\_prodlog20051020
  - b.  FH05C2
    1.  CLAY-0056558\_First Horizon 05-1159 WL (FH05C2) Clayton DD Data File 10200
    2.  CLAY-0056558\_First Horizon 05-1159 WL (FH05C2) Clayton DD Data File 10200

3.  CLAY-0056559\_First Horizon 05-1159 WL (FH05C2) Clayton Due Diligence Issu
4.  CLAY-0056559\_First Horizon 05-1159 WL (FH05C2) Clayton Due Diligence Issu
5.  CLAY-0056560\_First Horizon 05-1159 WL (FH05C2) IAS 1 Report 102005
6.  CLAY-0057170\_First Horizon 05-1159 WL (FH05C2) IAS 2 Report 102005
7.  CLAY-0057246\_First Horizon 05-1159 WL (FH05C2) IAS 3 Report 102005
8.  CLAY-0057253\_prodlog20051020
- c.  CLAY-0053018\_First Horizon Clayton DD Data File 102005
- d.  CLAY-0053018\_First Horizon Clayton DD Data File 102005
- e.  CLAY-0053019\_First Horizon Clayton Due Diligence Issues 102005
- f.  CLAY-0053019\_First Horizon Clayton Due Diligence Issues 102005
- g.  CLAY-0053020\_First Horizon IAS 1 Report 102005
- h.  CLAY-0054869\_First Horizon IAS 2 Report 102005
- i.  CLAY-0055108\_First Horizon IAS 3 Report 102005
- j.  CLAY-0055128\_prodlog20051020
12.  disk1021
  - a.  fh05c2
    1.  CLAY-0057259\_First Horizon 05-1159 WL (FH05C2) Clayton DD Data File 10210
    2.  CLAY-0057259\_First Horizon 05-1159 WL (FH05C2) Clayton DD Data File 10210
    3.  CLAY-0057260\_First Horizon 05-1159 WL (FH05C2) Clayton Due Diligence Issu
    4.  CLAY-0057260\_First Horizon 05-1159 WL (FH05C2) Clayton Due Diligence Issu
    5.  CLAY-0057261\_First Horizon 05-1159 WL (FH05C2) IAS 1 Report 102105
    6.  CLAY-0057874\_First Horizon 05-1159 WL (FH05C2) IAS 2 Report 102105
    7.  CLAY-0057950\_First Horizon 05-1159 WL (FH05C2) IAS 3 Report 102105
    8.  CLAY-0057954\_prodlog20051021
- c.  EMC, MILA (MI0511)
  1.  DISK1108
    - a.  CLAY-0057960\_MILA (MI0511) Clayton DD Data File 110805
    - b.  CLAY-0057960\_MILA (MI0511) Clayton DD Data File 110805
    - c.  CLAY-0057961\_MILA (MI0511) Clayton Due Diligence Issues 110805
    - d.  CLAY-0057961\_MILA (MI0511) Clayton Due Diligence Issues 110805
    - e.  CLAY-0057962\_MILA (MI0511) IAS 1 Report 110805
    - f.  CLAY-0058019\_MILA (MI0511) IAS 3 Report 110805
    - g.  CLAY-0058069\_MILA (MI0511) Production Report 110805
  2.  DISK1109
    - a.  CLAY-0058073\_MILA (MI0511) Clayton DD Data File 110905
    - b.  CLAY-0058073\_MILA (MI0511) Clayton DD Data File 110905
    - c.  CLAY-0058074\_MILA (MI0511) Clayton Due Diligence Issues 110905
    - d.  CLAY-0058074\_MILA (MI0511) Clayton Due Diligence Issues 110905
    - e.  CLAY-0058075\_MILA (MI0511) IAS 1 Report 110905
    - f.  CLAY-0058313\_MILA (MI0511) IAS 2 Report 110905
    - g.  CLAY-0058319\_MILA (MI0511) IAS 3 Report 110905
    - h.  CLAY-0058385\_MILA (MI0511) Production Report 110905
  3.  DISK1110
    - a.  CLAY-0058389\_MILA (MI0511) Clayton DD Data File 111005
    - b.  CLAY-0058389\_MILA (MI0511) Clayton DD Data File 111005
    - c.  CLAY-0058390\_MILA (MI0511) Clayton Due Diligence Issues 111005
    - d.  CLAY-0058390\_MILA (MI0511) Clayton Due Diligence Issues 111005
    - e.  CLAY-0058391\_MILA (MI0511) IAS 1 Report 111005
    - f.  CLAY-0058940\_MILA (MI0511) IAS 2 Report 111005
    - g.  CLAY-0058952\_MILA (MI0511) IAS 3 Report 111005
    - h.  CLAY-0059020\_MILA (MI0511) Production Report 111005

























































4.  DISK1111
  - a.  CLAY-0059024\_MILA (MI0511) Clayton DD Data File 111105
  - b.  CLAY-0059024\_MILA (MI0511) Clayton DD Data File 111105
  - c.  CLAY-0059025\_MILA (MI0511) Clayton Due Diligence Issues 111105
  - d.  CLAY-0059025\_MILA (MI0511) Clayton Due Diligence Issues 111105
  - e.  CLAY-0059026\_MILA (MI0511) IAS 1 Report 111105
  - f.  CLAY-0059836\_MILA (MI0511) IAS 2 Report 111105
  - g.  CLAY-0059848\_MILA (MI0511) IAS 3 Report 111105
  - h.  CLAY-0059945\_MILA (MI0511) Production Report 111105
5.  DISK1114
  - a.  CLAY-0059949\_MILA (MI0511) Clayton DD Data File 111405
  - b.  CLAY-0059949\_MILA (MI0511) Clayton DD Data File 111405
  - c.  CLAY-0059950\_MILA (MI0511) Clayton Due Diligence Issues 111405
  - d.  CLAY-0059950\_MILA (MI0511) Clayton Due Diligence Issues 111405
  - e.  CLAY-0059951\_MILA (MI0511) IAS 1 Report 111405
  - f.  CLAY-0060867\_MILA (MI0511) IAS 2 Report 111405
  - g.  CLAY-0060906\_MILA (MI0511) IAS 3 Report 111405
  - h.  CLAY-0060973\_MILA (MI0511) Loans Not Reviewed Report 111405
  - i.  CLAY-0060973\_MILA (MI0511) Loans Not Reviewed Report 111405
  - j.  CLAY-0060974\_MILA (MI0511) Production Report 111405
6.  DISK1114-first
  - a.  CLAY-0060978\_MILA (MI0511) Clayton DD Data File 111405
  - b.  CLAY-0060978\_MILA (MI0511) Clayton DD Data File 111405
  - c.  CLAY-0060979\_MILA (MI0511) Clayton Due Diligence Issues 111405
  - d.  CLAY-0060979\_MILA (MI0511) Clayton Due Diligence Issues 111405
  - e.  CLAY-0060980\_MILA (MI0511) IAS 1 Report 111405
  - f.  CLAY-0061892\_MILA (MI0511) IAS 2 Report 111405
  - g.  CLAY-0061931\_MILA (MI0511) IAS 3 Report 111405
  - h.  CLAY-0062002\_MILA (MI0511) Loans Not Reviewed Report 111405
  - i.  CLAY-0062002\_MILA (MI0511) Loans Not Reviewed Report 111405
  - j.  CLAY-0062003\_MILA (MI0511) Production Report 111405
7.  DISK1114-second
  - a.  CLAY-0062007\_MILA (MI0511) Clayton DD Data File 111405
  - b.  CLAY-0062007\_MILA (MI0511) Clayton DD Data File 111405
  - c.  CLAY-0062008\_MILA (MI0511) Clayton Due Diligence Issues 111405
  - d.  CLAY-0062008\_MILA (MI0511) Clayton Due Diligence Issues 111405
  - e.  CLAY-0062009\_MILA (MI0511) IAS 1 Report 111405
  - f.  CLAY-0062921\_MILA (MI0511) IAS 2 Report 111405
  - g.  CLAY-0062960\_MILA (MI0511) IAS 3 Report 111405
  - h.  CLAY-0063031\_MILA (MI0511) Loans Not Reviewed Report 111405
  - i.  CLAY-0063031\_MILA (MI0511) Loans Not Reviewed Report 111405
  - j.  CLAY-0063032\_MILA (MI0511) Production Report 111405
8.  DISK1116
  - a.  CLAY-0063036\_MILA (MI0511) Clayton DD Data File 111605
  - b.  CLAY-0063036\_MILA (MI0511) Clayton DD Data File 111605
  - c.  CLAY-0063037\_MILA (MI0511) Clayton Due Diligence Issues 111605
  - d.  CLAY-0063037\_MILA (MI0511) Clayton Due Diligence Issues 111605
  - e.  CLAY-0063038\_MILA (MI0511) IAS 1 Report 111605
  - f.  CLAY-0063964\_MILA (MI0511) IAS 2 Report 111605
  - g.  CLAY-0064025\_MILA (MI0511) IAS 3 Report 111605
  - h.  CLAY-0064058\_prodlog20051116
- d.  MEL, 1HOR0601
  1.  DISK0118
    - a.  CLAY-0064062\_Exception\_Summary20060118
    - b.  CLAY-0064062\_Exception\_Summary20060118
    - c.  CLAY-0064063\_ExceptionDetail20060118



















































































































- d.  CLAY-0064063\_ExceptionDetail20060118
  - e.  CLAY-0064064\_IASEV12520060118
  - f.  CLAY-0064187\_IASEV3420060118
  - g.  CLAY-0064221\_LLDC20060118\_Incmp
  - h.  CLAY-0064221\_LLDC20060118\_Incmp
  - i.  CLAY-0064222\_LoanLevelDC20060118
  - j.  CLAY-0064249\_LoanList20060118
  - k.  CLAY-0064249\_LoanList20060118
  - l.  CLAY-0064250\_LoanStatus20060118
  - m.  CLAY-0064250\_LoanStatus20060118
  - n.  CLAY-0064251\_MissDoc
  - o.  CLAY-0064251\_MissDoc
  - p.  CLAY-0064252\_prodlog20060118
  - q.  CLAY-0064257\_TapeCompareField20060118
2.  DISK0119
- a.  CLAY-0064266\_2005-01-19 - Merrill - First Horizon 2006-02 - 1HOR0601 - FN
  - b.  CLAY-0064266\_2005-01-19 - Merrill - First Horizon 2006-02 - 1HOR0601 - FN
  - c.  CLAY-0064267\_Exception\_Summary20060119
  - d.  CLAY-0064267\_Exception\_Summary20060119
  - e.  CLAY-0064268\_ExceptionDetail20060119
  - f.  CLAY-0064268\_ExceptionDetail20060119
  - g.  CLAY-0064269\_IASEV12520060119
  - h.  CLAY-0064937\_IASEV3420060119
  - i.  CLAY-0065206\_LLDC20060119\_Incmp
  - j.  CLAY-0065206\_LLDC20060119\_Incmp
  - k.  CLAY-0065207\_LoanLevelDC20060119
  - l.  CLAY-0065367\_LoanList20060119
  - m.  CLAY-0065367\_LoanList20060119
  - n.  CLAY-0065368\_LoanStatus20060119
  - o.  CLAY-0065368\_LoanStatus20060119
  - p.  CLAY-0065369\_MissDoc
  - q.  CLAY-0065369\_MissDoc
  - r.  CLAY-0065370\_prodlog20060119
  - s.  CLAY-0065375\_TapeCompareField20060119
3.  DISK0120
- a.  CLAY-0065384\_Exception\_Summary20060120
  - b.  CLAY-0065384\_Exception\_Summary20060120
  - c.  CLAY-0065385\_ExceptionDetail20060120
  - d.  CLAY-0065385\_ExceptionDetail20060120
  - e.  CLAY-0065386\_IASEV12520060120
  - f.  CLAY-0066140\_IASEV3420060120
  - g.  CLAY-0066369\_LLDC20060120\_Incmp
  - h.  CLAY-0066369\_LLDC20060120\_Incmp
  - i.  CLAY-0066370\_LoanLevelDC20060120
  - j.  CLAY-0066537\_LoanList20060120
  - k.  CLAY-0066537\_LoanList20060120
  - l.  CLAY-0066538\_LoanStatus20060120
  - m.  CLAY-0066538\_LoanStatus20060120
  - n.  CLAY-0066539\_MissDoc
  - o.  CLAY-0066539\_MissDoc
  - p.  CLAY-0066540\_prodlog20060120
  - q.  CLAY-0066545\_TapeCompareField20060120
4.  DISK0123
- a.  CLAY-0066554\_Exception\_Summary20060123
  - b.  CLAY-0066554\_Exception\_Summary20060123
  - c.  CLAY-0066555\_ExceptionDetail20060123\_06

























































- d.  CLAY-0066555\_ExceptionDetail20060123\_06
  - e.  CLAY-0066556\_IASEV12520060123
  - f.  CLAY-0066997\_IASEV3420060123
  - g.  CLAY-0067105\_LLDC20060123\_Incmp
  - h.  CLAY-0067105\_LLDC20060123\_Incmp
  - i.  CLAY-0067106\_LoanLevelDC20060123
  - j.  CLAY-0067199\_LoanList20060123
  - k.  CLAY-0067199\_LoanList20060123
  - l.  CLAY-0067200\_LoanStatus20060123
  - m.  CLAY-0067200\_LoanStatus20060123
  - n.  CLAY-0067201\_MissDoc
  - o.  CLAY-0067201\_MissDoc
  - p.  CLAY-0067202\_prodlog20060123
  - q.  CLAY-0067208\_TapeCompareField20060123
5.  DISK0124
- a.  CLAY-0067217\_IASEV12520060124
  - b.  CLAY-0068036\_IASEV3420060124
  - c.  CLAY-0068233\_LLDC20060124\_Incmp
  - d.  CLAY-0068233\_LLDC20060124\_Incmp
  - e.  CLAY-0068234\_LoanLevelDC20060124
  - f.  CLAY-0068406\_LoanStatus20060124
  - g.  CLAY-0068406\_LoanStatus20060124
  - h.  CLAY-0068407\_MissDoc
  - i.  CLAY-0068407\_MissDoc
  - j.  CLAY-0068408\_prodlog20060124
  - k.  CLAY-0068414\_STIPLLOG\_MERRILL\_1HOR0601\_20060124\_1
  - l.  CLAY-0068414\_STIPLLOG\_MERRILL\_1HOR0601\_20060124\_1
  - m.  CLAY-0068415\_TapeCompareField20060124
6.  DISK0125
- a.  CLAY-0068424\_Exception\_Summary20060125
  - b.  CLAY-0068424\_Exception\_Summary20060125
  - c.  CLAY-0068425\_ExceptionDetail20060125
  - d.  CLAY-0068425\_ExceptionDetail20060125
  - e.  CLAY-0068426\_IASEV12520060125
  - f.  CLAY-0069251\_IASEV3420060125
  - g.  CLAY-0069439\_LLDC20060125\_Incmp
  - h.  CLAY-0069439\_LLDC20060125\_Incmp
  - i.  CLAY-0069440\_LoanLevelDC20060125
  - j.  CLAY-0069611\_LoanList20060125
  - k.  CLAY-0069611\_LoanList20060125
  - l.  CLAY-0069612\_LoanStatus20060125
  - m.  CLAY-0069612\_LoanStatus20060125
  - n.  CLAY-0069613\_MissDoc
  - o.  CLAY-0069613\_MissDoc
  - p.  CLAY-0069614\_prodlog20060125
  - q.  CLAY-0069620\_TapeCompareField20060125
7.  DISK0127
- a.  CLAY-0069629\_Exception\_Summary20060127
  - b.  CLAY-0069629\_Exception\_Summary20060127
  - c.  CLAY-0069630\_ExceptionDetail20060127
  - d.  CLAY-0069630\_ExceptionDetail20060127
  - e.  CLAY-0069631\_IASEV12520060127
  - f.  CLAY-0070494\_IASEV3420060127
  - g.  CLAY-0070647\_LLDC20060127\_Incmp
  - h.  CLAY-0070647\_LLDC20060127\_Incmp
  - i.  CLAY-0070648\_LoanLevelDC20060127

























































- j.  CLAY-0070819\_LoanList20060127
  - k.  CLAY-0070819\_LoanList20060127
  - l.  CLAY-0070820\_LoanStatus20060127
  - m.  CLAY-0070820\_LoanStatus20060127
  - n.  CLAY-0070821\_MissDoc
  - o.  CLAY-0070821\_MissDoc
  - p.  CLAY-0070822\_prodlog20060127
  - q.  CLAY-0070828\_TapeCompareField20060127
8.  DISK0201
- a.  CLAY-0070837\_2001-02-01 - Merrill - First Horizon 2006-02 - 1HOR0601 - XP
  - b.  CLAY-0070837\_2001-02-01 - Merrill - First Horizon 2006-02 - 1HOR0601 - XP
  - c.  CLAY-0070838\_Exception\_Summary20060201
  - d.  CLAY-0070838\_Exception\_Summary20060201
  - e.  CLAY-0070839\_ExceptionDetail20060201
  - f.  CLAY-0070839\_ExceptionDetail20060201
  - g.  CLAY-0070840\_IASEV12520060201
  - h.  CLAY-0071734\_IASEV3420060201
  - i.  CLAY-0071857\_LLDC20060201\_Incmp
  - j.  CLAY-0071857\_LLDC20060201\_Incmp
  - k.  CLAY-0071858\_LoanLevelDC20060201
  - l.  CLAY-0072029\_LoanList20060201
  - m.  CLAY-0072029\_LoanList20060201
  - n.  CLAY-0072030\_LoanStatus20060201
  - o.  CLAY-0072030\_LoanStatus20060201
  - p.  CLAY-0072031\_MissDoc
  - q.  CLAY-0072031\_MissDoc
  - r.  CLAY-0072032\_prodlog20060201
  - s.  CLAY-0072038\_TapeCompareField20060201
9.  DISK0202
- a.  CLAY-0072047\_Exception\_Summary20060202
  - b.  CLAY-0072047\_Exception\_Summary20060202
  - c.  CLAY-0072048\_ExceptionDetail20060202
  - d.  CLAY-0072048\_ExceptionDetail20060202
  - e.  CLAY-0072049\_IASEV12520060202
  - f.  CLAY-0072952\_IASEV3420060202
  - g.  CLAY-0073066\_LLDC20060202\_Incmp
  - h.  CLAY-0073066\_LLDC20060202\_Incmp
  - i.  CLAY-0073067\_LoanLevelDC20060202
  - j.  CLAY-0073238\_LoanList20060202
  - k.  CLAY-0073238\_LoanList20060202
  - l.  CLAY-0073239\_LoanStatus20060202
  - m.  CLAY-0073239\_LoanStatus20060202
  - n.  CLAY-0073240\_MissDoc
  - o.  CLAY-0073240\_MissDoc
  - p.  CLAY-0073241\_prodlog20060202
  - q.  CLAY-0073247\_TapeCompareField20060202
10.  DISK0203
- a.  CLAY-0073256\_Exception\_Summary20060203
  - b.  CLAY-0073256\_Exception\_Summary20060203
  - c.  CLAY-0073257\_ExceptionDetail20060203
  - d.  CLAY-0073257\_ExceptionDetail20060203
  - e.  CLAY-0073258\_IASEV12520060203
  - f.  CLAY-0074194\_IASEV3420060203
  - g.  CLAY-0074275\_LLDC20060203\_Incmp
  - h.  CLAY-0074275\_LLDC20060203\_Incmp
  - i.  CLAY-0074276\_LoanLevelDC20060203

- j.  CLAY-0074447\_LoanList20060203
- k.  CLAY-0074447\_LoanList20060203
- l.  CLAY-0074448\_LoanStatus20060203
- m.  CLAY-0074448\_LoanStatus20060203
- n.  CLAY-0074449\_MissDoc
- o.  CLAY-0074449\_MissDoc
- p.  CLAY-0074450\_prodlog20060203
- q.  CLAY-0074456\_TapeCompareField20060203
- 11.  DISK0221
  - a.  CLAY-0074465\_LoanStatus20060221
  - b.  CLAY-0074465\_LoanStatus20060221
- 12.  DISK0223
  - a.  CLAY-0074466\_LoanStatus20060223
  - b.  CLAY-0074466\_LoanStatus20060223
- e.  MEL, BAYR0605
  - 1.  DISK0510
    - a.  CLAY-0074467\_Exception\_Summary20060510
    - b.  CLAY-0074467\_Exception\_Summary20060510
    - c.  CLAY-0074468\_ExceptionDetail20060510
    - d.  CLAY-0074468\_ExceptionDetail20060510
    - e.  CLAY-0074469\_ExceptionReport
    - f.  CLAY-0074469\_ExceptionReport
    - g.  CLAY-0074470\_IASEV12520060510
    - h.  CLAY-0074530\_IASEV3420060510
    - i.  CLAY-0074565\_LLDC20060510\_Incmp
    - j.  CLAY-0074565\_LLDC20060510\_Incmp
    - k.  CLAY-0074566\_LoanLevelDC20060510
    - l.  CLAY-0074579\_LoanList20060510
    - m.  CLAY-0074579\_LoanList20060510
    - n.  CLAY-0074580\_LoanStatus20060510
    - o.  CLAY-0074580\_LoanStatus20060510
    - p.  CLAY-0074581\_MissDoc
    - q.  CLAY-0074581\_MissDoc
    - r.  CLAY-0074582\_prodlog20060510
    - s.  CLAY-0074586\_TapeCompareField20060510
  - 2.  DISK0511
    - a.  CLAY-0074595\_Exception\_Summary20060511
    - b.  CLAY-0074595\_Exception\_Summary20060511
    - c.  CLAY-0074596\_ExceptionDetail20060511
    - d.  CLAY-0074596\_ExceptionDetail20060511
    - e.  CLAY-0074597\_ExceptionReport
    - f.  CLAY-0074597\_ExceptionReport
    - g.  CLAY-0074598\_IASEV12520060511
    - h.  CLAY-0074712\_IASEV3420060511
    - i.  CLAY-0074765\_LLDC20060511\_Incmp
    - j.  CLAY-0074765\_LLDC20060511\_Incmp
    - k.  CLAY-0074766\_LoanLevelDC20060511
    - l.  CLAY-0074788\_LoanList20060511
    - m.  CLAY-0074788\_LoanList20060511
    - n.  CLAY-0074789\_LoanStatus20060511
    - o.  CLAY-0074789\_LoanStatus20060511
    - p.  CLAY-0074790\_MissDoc
    - q.  CLAY-0074790\_MissDoc
    - r.  CLAY-0074791\_prodlog20060511
    - s.  CLAY-0074795\_TapeCompareField20060511
  - 3.  DISK0515

























































- a.  CLAY-0074804\_Exception\_Summary20060515
  - b.  CLAY-0074804\_Exception\_Summary20060515
  - c.  CLAY-0074805\_ExceptionDetail20060515
  - d.  CLAY-0074805\_ExceptionDetail20060515
  - e.  CLAY-0074806\_ExceptionReport
  - f.  CLAY-0074806\_ExceptionReport
  - g.  CLAY-0074807\_IASEV12520060515
  - h.  CLAY-0074964\_IASEV3420060515
  - i.  CLAY-0074991\_LLDC20060515\_Incmp
  - j.  CLAY-0074991\_LLDC20060515\_Incmp
  - k.  CLAY-0074992\_LoanLevelDC20060515
  - l.  CLAY-0075017\_LoanList20060515
  - m.  CLAY-0075017\_LoanList20060515
  - n.  CLAY-0075018\_LoanStatus20060515
  - o.  CLAY-0075018\_LoanStatus20060515
  - p.  CLAY-0075019\_MissDoc
  - q.  CLAY-0075019\_MissDoc
  - r.  CLAY-0075020\_prodlog20060515
  - s.  CLAY-0075025\_TapeCompareField20060515
4.  DISK0518
- a.  CLAY-0075034\_Exception\_Summary20060518
  - b.  CLAY-0075034\_Exception\_Summary20060518
  - c.  CLAY-0075035\_ExceptionDetail20060518
  - d.  CLAY-0075035\_ExceptionDetail20060518
  - e.  CLAY-0075036\_ExceptionReport
  - f.  CLAY-0075036\_ExceptionReport
  - g.  CLAY-0075037\_IASEV12520060518
  - h.  CLAY-0075194\_IASEV3420060518
  - i.  CLAY-0075221\_LLDC20060518\_Incmp
  - j.  CLAY-0075221\_LLDC20060518\_Incmp
  - k.  CLAY-0075222\_Loan\_Disposition
  - l.  CLAY-0075222\_Loan\_Disposition
  - m.  CLAY-0075223\_LoanLevelDC20060518
  - n.  CLAY-0075248\_LoanList20060518
  - o.  CLAY-0075248\_LoanList20060518
  - p.  CLAY-0075249\_LoanStatus20060518
  - q.  CLAY-0075249\_LoanStatus20060518
  - r.  CLAY-0075250\_MissDoc
  - s.  CLAY-0075250\_MissDoc
  - t.  CLAY-0075251\_prodlog20060518
  - u.  CLAY-0075256\_TapeCompareField20060518
5.  DISK0523
- a.  CLAY-0075265\_Exception\_Summary20060523
  - b.  CLAY-0075265\_Exception\_Summary20060523
  - c.  CLAY-0075266\_ExceptionDetail20060523
  - d.  CLAY-0075266\_ExceptionDetail20060523
  - e.  CLAY-0075267\_ExceptionReport
  - f.  CLAY-0075267\_ExceptionReport
  - g.  CLAY-0075268\_IASEV12520060523
  - h.  CLAY-0075425\_IASEV3420060523
  - i.  CLAY-0075452\_LLDC20060523\_Incmp
  - j.  CLAY-0075452\_LLDC20060523\_Incmp
  - k.  CLAY-0075453\_Loan\_Disposition
  - l.  CLAY-0075453\_Loan\_Disposition
  - m.  CLAY-0075454\_LoanLevelDC20060523
  - n.  CLAY-0075479\_LoanList20060523

























































- o.  CLAY-0075479\_LoanList20060523
  - p.  CLAY-0075480\_LoanStatus20060523
  - q.  CLAY-0075480\_LoanStatus20060523
  - r.  CLAY-0075481\_MissDoc
  - s.  CLAY-0075481\_MissDoc
  - t.  CLAY-0075482\_prodlog20060523
  - u.  CLAY-0075487\_TapeCompareField20060523
6.  DISK0524
- a.  CLAY-0075496\_Exception\_Summary20060524
  - b.  CLAY-0075496\_Exception\_Summary20060524
  - c.  CLAY-0075497\_ExceptionDetail20060524
  - d.  CLAY-0075497\_ExceptionDetail20060524
  - e.  CLAY-0075498\_ExceptionReport
  - f.  CLAY-0075498\_ExceptionReport
  - g.  CLAY-0075499\_IASEV12520060524
  - h.  CLAY-0075656\_IASEV3420060524
  - i.  CLAY-0075683\_LLDC20060524\_Incmp
  - j.  CLAY-0075683\_LLDC20060524\_Incmp
  - k.  CLAY-0075684\_Loan\_Disposition
  - l.  CLAY-0075684\_Loan\_Disposition
  - m.  CLAY-0075685\_LoanLevelDC20060524
  - n.  CLAY-0075710\_LoanList20060524
  - o.  CLAY-0075710\_LoanList20060524
  - p.  CLAY-0075711\_LoanStatus20060524
  - q.  CLAY-0075711\_LoanStatus20060524
  - r.  CLAY-0075712\_MissDoc
  - s.  CLAY-0075712\_MissDoc
  - t.  CLAY-0075713\_prodlog20060524
  - u.  CLAY-0075718\_TapeCompareField20060524
- f.  MEL, FRE0505
1.  DISK0510
- a.  CLAY-0075727\_Exception\_Summary20050510
  - b.  CLAY-0075727\_Exception\_Summary20050510
  - c.  CLAY-0075728\_ExceptionDetail20050510
  - d.  CLAY-0075728\_ExceptionDetail20050510
  - e.  CLAY-0075729\_IASEV12520050510
  - f.  CLAY-0075810\_IASEV3420050510
  - g.  CLAY-0075856\_LLDC20050510\_Incmp
  - h.  CLAY-0075856\_LLDC20050510\_Incmp
  - i.  CLAY-0075857\_LoanLevelDC20050510
  - j.  CLAY-0075871\_LoanList20050510
  - k.  CLAY-0075871\_LoanList20050510
  - l.  CLAY-0075872\_LoanStatus20050510
  - m.  CLAY-0075872\_LoanStatus20050510
  - n.  CLAY-0075873\_MEL - FRE0505 S Dealdata merrill FRE0505
  - o.  CLAY-0075875\_MissDoc
  - p.  CLAY-0075875\_MissDoc
  - q.  CLAY-0075876\_prodlog20050510
  - r.  CLAY-0075881\_TapeCompareField20050510
2.  DISK0511
- a.  CLAY-0075931\_Exception\_Summary20050511
  - b.  CLAY-0075931\_Exception\_Summary20050511
  - c.  CLAY-0075932\_ExceptionDetail20050511
  - d.  CLAY-0075932\_ExceptionDetail20050511
  - e.  CLAY-0075933\_IASEV12520050511
  - f.  CLAY-0076215\_IASEV3420050511

























































- g.  CLAY-0076353\_LLDC20050511\_Incmp
  - h.  CLAY-0076353\_LLDC20050511\_Incmp
  - i.  CLAY-0076354\_LoanLevelDC20050511
  - j.  CLAY-0076398\_LoanList20050511
  - k.  CLAY-0076398\_LoanList20050511
  - l.  CLAY-0076399\_LoanStatus20050511
  - m.  CLAY-0076399\_LoanStatus20050511
  - n.  CLAY-0076400\_MissDoc
  - o.  CLAY-0076400\_MissDoc
  - p.  CLAY-0076401\_prodlog20050511
  - q.  CLAY-0076407\_TapeCompareField20050511
3.  DISK0512
- a.  CLAY-0076414\_Exception\_Summary20050512
  - b.  CLAY-0076414\_Exception\_Summary20050512
  - c.  CLAY-0076415\_ExceptionDetail20050512
  - d.  CLAY-0076415\_ExceptionDetail20050512
  - e.  CLAY-0076416\_IASEV12520050512
  - f.  CLAY-0076760\_IASEV3420050512
  - g.  CLAY-0076837\_LLDC20050512\_Incmp
  - h.  CLAY-0076837\_LLDC20050512\_Incmp
  - i.  CLAY-0076838\_LoanLevelDC20050512
  - j.  CLAY-0076883\_LoanList20050512
  - k.  CLAY-0076883\_LoanList20050512
  - l.  CLAY-0076884\_LoanStatus20050512
  - m.  CLAY-0076884\_LoanStatus20050512
  - n.  CLAY-0076885\_MissDoc
  - o.  CLAY-0076885\_MissDoc
  - p.  CLAY-0076886\_prodlog20050512
  - q.  CLAY-0076892\_TapeCompareField20050512
4.  DISK0513
- a.  CLAY-0076918\_Exception\_Summary20050513
  - b.  CLAY-0076918\_Exception\_Summary20050513
  - c.  CLAY-0076919\_ExceptionDetail20050513
  - d.  CLAY-0076919\_ExceptionDetail20050513
  - e.  CLAY-0076920\_IASEV12520050513
  - f.  CLAY-0077264\_IASEV3420050513
  - g.  CLAY-0077341\_LLDC20050513\_Incmp
  - h.  CLAY-0077341\_LLDC20050513\_Incmp
  - i.  CLAY-0077342\_LoanLevelDC20050513
  - j.  CLAY-0077387\_LoanList20050513
  - k.  CLAY-0077387\_LoanList20050513
  - l.  CLAY-0077388\_LoanStatus20050513
  - m.  CLAY-0077388\_LoanStatus20050513
  - n.  CLAY-0077389\_MissDoc
  - o.  CLAY-0077389\_MissDoc
  - p.  CLAY-0077390\_prodlog20050513
  - q.  CLAY-0077396\_TapeCompareField20050513
5.  DISK0516
- a.  CLAY-0077446\_Exception\_Summary20050515
  - b.  CLAY-0077446\_Exception\_Summary20050515
  - c.  CLAY-0077447\_ExceptionDetail20050515
  - d.  CLAY-0077447\_ExceptionDetail20050515
  - e.  CLAY-0077448\_IASEV12520050515
  - f.  CLAY-0077792\_IASEV3420050515
  - g.  CLAY-0077869\_LLDC20050515\_Incmp
  - h.  CLAY-0077869\_LLDC20050515\_Incmp

- i.  CLAY-0077870\_LoanLevelDC20050515
  - j.  CLAY-0077915\_LoanList20050515
  - k.  CLAY-0077915\_LoanList20050515
  - l.  CLAY-0077916\_LoanStatus20050515
  - m.  CLAY-0077916\_LoanStatus20050515
  - n.  CLAY-0077917\_MissDoc
  - o.  CLAY-0077917\_MissDoc
  - p.  CLAY-0077918\_prodlog20050515
  - q.  CLAY-0077924\_TapeCompareField20050515
6.  DISK0517
- a.  CLAY-0077944\_Exception\_Summary20050517
  - b.  CLAY-0077944\_Exception\_Summary20050517
  - c.  CLAY-0077945\_ExceptionDetail20050517
  - d.  CLAY-0077945\_ExceptionDetail20050517
  - e.  CLAY-0077946\_IASEV12520050517
  - f.  CLAY-0078303\_IASEV3420050517
  - g.  CLAY-0078368\_LLDC20050517\_Incmp
  - h.  CLAY-0078368\_LLDC20050517\_Incmp
  - i.  CLAY-0078369\_LoanLevelDC20050517
  - j.  CLAY-0078414\_LoanList20050517
  - k.  CLAY-0078414\_LoanList20050517
  - l.  CLAY-0078415\_LoanStatus20050517
  - m.  CLAY-0078415\_LoanStatus20050517
  - n.  CLAY-0078416\_MissDoc
  - o.  CLAY-0078416\_MissDoc
  - p.  CLAY-0078417\_prodlog20050517
  - q.  CLAY-0078423\_TapeCompareField20050517
7.  DISK0519
- a.  CLAY-0078443\_Exception\_Summary20050519
  - b.  CLAY-0078443\_Exception\_Summary20050519
  - c.  CLAY-0078444\_ExceptionDetail20050519
  - d.  CLAY-0078444\_ExceptionDetail20050519
  - e.  CLAY-0078445\_IASEV12520050519
  - f.  CLAY-0078811\_IASEV3420050519
  - g.  CLAY-0078867\_LLDC20050519\_Incmp
  - h.  CLAY-0078867\_LLDC20050519\_Incmp
  - i.  CLAY-0078868\_LoanLevelDC20050519
  - j.  CLAY-0078913\_LoanList20050519
  - k.  CLAY-0078913\_LoanList20050519
  - l.  CLAY-0078914\_LoanStatus20050519
  - m.  CLAY-0078914\_LoanStatus20050519
  - n.  CLAY-0078915\_MissDoc
  - o.  CLAY-0078915\_MissDoc
  - p.  CLAY-0078916\_prodlog20050519
  - q.  CLAY-0078922\_TapeCompareField20050519
8.  DISK0520
- a.  CLAY-0078958\_Exception\_Summary20050520
  - b.  CLAY-0078958\_Exception\_Summary20050520
  - c.  CLAY-0078959\_ExceptionDetail20050520
  - d.  CLAY-0078959\_ExceptionDetail20050520
  - e.  CLAY-0078960\_IASEV12520050520
  - f.  CLAY-0079326\_IASEV3420050520
  - g.  CLAY-0079382\_LLDC20050520\_Incmp
  - h.  CLAY-0079382\_LLDC20050520\_Incmp
  - i.  CLAY-0079383\_LoanLevelDC20050520
  - j.  CLAY-0079428\_LoanList20050520































































- k.  CLAY-0079428\_LoanList20050520
  - l.  CLAY-0079429\_LoanStatus20050520
  - m.  CLAY-0079429\_LoanStatus20050520
  - n.  CLAY-0079430\_MissDoc
  - o.  CLAY-0079430\_MissDoc
  - p.  CLAY-0079431\_prodlog20050520
  - q.  CLAY-0079437\_TapeCompareField20050520
9.  DISK0523
- a.  CLAY-0079456\_Exception\_Summary20050522
  - b.  CLAY-0079456\_Exception\_Summary20050522
  - c.  CLAY-0079457\_ExceptionDetail20050522
  - d.  CLAY-0079457\_ExceptionDetail20050522
  - e.  CLAY-0079458\_IASEV12520050522
  - f.  CLAY-0079826\_IASEV3420050522
  - g.  CLAY-0079880\_LLDC20050522\_Incmp
  - h.  CLAY-0079880\_LLDC20050522\_Incmp
  - i.  CLAY-0079881\_LoanLevelDC20050522
  - j.  CLAY-0079926\_LoanList20050522
  - k.  CLAY-0079926\_LoanList20050522
  - l.  CLAY-0079927\_LoanStatus20050522
  - m.  CLAY-0079927\_LoanStatus20050522
  - n.  CLAY-0079928\_MissDoc
  - o.  CLAY-0079928\_MissDoc
  - p.  CLAY-0079929\_prodlog20050522
  - q.  CLAY-0079935\_TapeCompareField20050522
10.  DISK0524
- a.  CLAY-0079954\_Exception\_Summary20050524
  - b.  CLAY-0079954\_Exception\_Summary20050524
  - c.  CLAY-0079955\_ExceptionDetail20050524
  - d.  CLAY-0079955\_ExceptionDetail20050524
  - e.  CLAY-0079956\_IASEV12520050524
  - f.  CLAY-0080324\_IASEV3420050524
  - g.  CLAY-0080378\_LLDC20050524\_Incmp
  - h.  CLAY-0080378\_LLDC20050524\_Incmp
  - i.  CLAY-0080379\_LoanLevelDC20050524
  - j.  CLAY-0080424\_LoanList20050524
  - k.  CLAY-0080424\_LoanList20050524
  - l.  CLAY-0080425\_LoanStatus20050524
  - m.  CLAY-0080425\_LoanStatus20050524
  - n.  CLAY-0080426\_MissDoc
  - o.  CLAY-0080426\_MissDoc
  - p.  CLAY-0080427\_prodlog20050524
  - q.  CLAY-0080433\_TapeCompareField20050524
11.  DISK0525
- a.  CLAY-0080452\_LoanList20050525
  - b.  CLAY-0080452\_LoanList20050525
  - c.  CLAY-0080453\_LoanStatus20050525
  - d.  CLAY-0080453\_LoanStatus20050525
12.  DISK0525-FINAL
- a.  CLAY-0080454\_Exception\_Summary20050525
  - b.  CLAY-0080454\_Exception\_Summary20050525
  - c.  CLAY-0080455\_ExceptionDetail20050525
  - d.  CLAY-0080455\_ExceptionDetail20050525
  - e.  CLAY-0080456\_IASEV12520050525
  - f.  CLAY-0080832\_IASEV3420050525
  - g.  CLAY-0080878\_LLDC20050525\_Incmp

























































- h.  CLAY-0080878\_LLDC20050525\_Incmp
- i.  CLAY-0080879\_LoanLevelDC20050525
- j.  CLAY-0080924\_LoanList20050525
- k.  CLAY-0080924\_LoanList20050525
- l.  CLAY-0080925\_LoanStatus20050525
- m.  CLAY-0080925\_LoanStatus20050525
- n.  CLAY-0080926\_MissDoc
- o.  CLAY-0080926\_MissDoc
- p.  CLAY-0080927\_prodlog20050525
- q.  CLAY-0080933\_TapeCompareField20050525
- 13.  DISK0526
  - a.  CLAY-0080957\_Exception\_Summary20050526
  - b.  CLAY-0080957\_Exception\_Summary20050526
  - c.  CLAY-0080958\_ExceptionDetail20050526
  - d.  CLAY-0080958\_ExceptionDetail20050526
  - e.  CLAY-0080959\_fnma\_Note\_Rate\_Pricing
  - f.  CLAY-0080959\_fnma\_Note\_Rate\_Pricing
  - g.  CLAY-0080960\_IASEV12520050526
  - h.  CLAY-0081340\_IASEV3420050526
  - i.  CLAY-0081382\_LLDC20050526\_Incmp
  - j.  CLAY-0081382\_LLDC20050526\_Incmp
  - k.  CLAY-0081383\_LoanLevelDC20050526
  - l.  CLAY-0081428\_LoanList20050526
  - m.  CLAY-0081428\_LoanList20050526
  - n.  CLAY-0081429\_LoanStatus20050526
  - o.  CLAY-0081429\_LoanStatus20050526
  - p.  CLAY-0081430\_MERUPLD
  - q.  CLAY-0081430\_MERUPLD
  - r.  CLAY-0081431\_MissDoc
  - s.  CLAY-0081431\_MissDoc
  - t.  CLAY-0081432\_prodlog20050526
  - u.  CLAY-0081438\_TapeCompareField20050526
- 14.  disk0913
  - a.  CLAY-0081445\_IO-List-Fremont-2005-47
  - b.  CLAY-0081445\_IO-List-Fremont-2005-47
- 15.  final0526
  - a.  CLAY-0081446\_Exception\_Summary20050526
  - b.  CLAY-0081446\_Exception\_Summary20050526
  - c.  CLAY-0081447\_ExceptionDetail20050526
  - d.  CLAY-0081447\_ExceptionDetail20050526
  - e.  CLAY-0081448\_fnma\_Note\_Rate\_Pricing
  - f.  CLAY-0081448\_fnma\_Note\_Rate\_Pricing
  - g.  CLAY-0081449\_IASEV12520050526
  - h.  CLAY-0081832\_IASEV3420050526
  - i.  CLAY-0081871\_LLDC20050526\_Incmp
  - j.  CLAY-0081871\_LLDC20050526\_Incmp
  - k.  CLAY-0081872\_LoanLevelDC20050526
  - l.  CLAY-0081917\_LoanList20050526
  - m.  CLAY-0081917\_LoanList20050526
  - n.  CLAY-0081918\_LoanStatus20050526
  - o.  CLAY-0081918\_LoanStatus20050526
  - p.  CLAY-0081919\_MERUPLD
  - q.  CLAY-0081919\_MERUPLD
  - r.  CLAY-0081920\_MissDoc
  - s.  CLAY-0081920\_MissDoc
  - t.  CLAY-0081921\_prodlog20050526






























- u.  CLAY-0081927\_TapeCompareField20050526
- g.  MEL, MARI0512
  - 1.  DISK0105
    - a.  CLAY-0081934\_Exception\_Summary20060105
    - b.  CLAY-0081934\_Exception\_Summary20060105
    - c.  CLAY-0081935\_ExceptionDetail20060105
    - d.  CLAY-0081935\_ExceptionDetail20060105
    - e.  CLAY-0081936\_IASEV12520060105
    - f.  CLAY-0081989\_IASEV3420060105
    - g.  CLAY-0082008\_LLDC20060105\_Incmp
    - h.  CLAY-0082008\_LLDC20060105\_Incmp
    - i.  CLAY-0082009\_LoanLevelDC20060105
    - j.  CLAY-0082022\_LoanList20060105
    - k.  CLAY-0082022\_LoanList20060105
    - l.  CLAY-0082023\_LoanStatus20060105
    - m.  CLAY-0082023\_LoanStatus20060105
    - n.  CLAY-0082024\_MissDoc
    - o.  CLAY-0082024\_MissDoc
    - p.  CLAY-0082025\_prodlog20060105
    - q.  CLAY-0082029\_TapeCompareField20060105
  - 2.  DISK0106
    - a.  CLAY-0082038\_Exception\_Summary20060106
    - b.  CLAY-0082038\_Exception\_Summary20060106
    - c.  CLAY-0082039\_ExceptionDetail20060106
    - d.  CLAY-0082039\_ExceptionDetail20060106
    - e.  CLAY-0082040\_IASEV12520060106
    - f.  CLAY-0082096\_IASEV3420060106
    - g.  CLAY-0082112\_LLDC20060106\_Incmp
    - h.  CLAY-0082112\_LLDC20060106\_Incmp
    - i.  CLAY-0082113\_LoanLevelDC20060106
    - j.  CLAY-0082126\_LoanList20060106
    - k.  CLAY-0082126\_LoanList20060106
    - l.  CLAY-0082127\_LoanStatus20060106
    - m.  CLAY-0082127\_LoanStatus20060106
    - n.  CLAY-0082128\_MissDoc
    - o.  CLAY-0082128\_MissDoc
    - p.  CLAY-0082129\_prodlog20060106
    - q.  CLAY-0082133\_TapeCompareField20060106
  - 3.  DISK0109
    - a.  CLAY-0082142\_Exception\_Summary20060109
    - b.  CLAY-0082142\_Exception\_Summary20060109
    - c.  CLAY-0082143\_ExceptionDetail20060109
    - d.  CLAY-0082143\_ExceptionDetail20060109
    - e.  CLAY-0082144\_IASEV12520060109
    - f.  CLAY-0082200\_IASEV3420060109
    - g.  CLAY-0082216\_LLDC20060109\_Incmp
    - h.  CLAY-0082216\_LLDC20060109\_Incmp
    - i.  CLAY-0082217\_LoanLevelDC20060109
    - j.  CLAY-0082230\_LoanList20060109
    - k.  CLAY-0082230\_LoanList20060109
    - l.  CLAY-0082231\_LoanStatus20060109
    - m.  CLAY-0082231\_LoanStatus20060109
    - n.  CLAY-0082232\_MissDoc
    - o.  CLAY-0082232\_MissDoc
    - p.  CLAY-0082233\_prodlog20060109
    - q.  CLAY-0082237\_TapeCompareField20060109

4.  DISK0111
  - a.  CLAY-0082246\_Exception\_Summary20060111
  - b.  CLAY-0082246\_Exception\_Summary20060111
  - c.  CLAY-0082247\_ExceptionDetail20060111
  - d.  CLAY-0082247\_ExceptionDetail20060111
  - e.  CLAY-0082248\_ExceptionDetail20060111\_08
  - f.  CLAY-0082248\_ExceptionDetail20060111\_08
  - g.  CLAY-0082249\_IASEV12520060111
  - h.  CLAY-0082308\_IASEV3420060111
  - i.  CLAY-0082321\_LLDC20060111\_Incmp
  - j.  CLAY-0082321\_LLDC20060111\_Incmp
  - k.  CLAY-0082322\_LoanLevelDC20060111
  - l.  CLAY-0082335\_LoanList20060111
  - m.  CLAY-0082335\_LoanList20060111
  - n.  CLAY-0082336\_LoanStatus20060111
  - o.  CLAY-0082336\_LoanStatus20060111
  - p.  CLAY-0082337\_MissDoc
  - q.  CLAY-0082337\_MissDoc
  - r.  CLAY-0082338\_prodlog20060111
  - s.  CLAY-0082342\_TapeCompareField20060111
5.  DISK0112
  - a.  CLAY-0082351\_Exception\_Summary20060112
  - b.  CLAY-0082351\_Exception\_Summary20060112
  - c.  CLAY-0082352\_ExceptionDetail20060112
  - d.  CLAY-0082352\_ExceptionDetail20060112
  - e.  CLAY-0082353\_IASEV12520060112
  - f.  CLAY-0082415\_IASEV3420060112
  - g.  CLAY-0082425\_LLDC20060112\_Incmp
  - h.  CLAY-0082425\_LLDC20060112\_Incmp
  - i.  CLAY-0082426\_LoanLevelDC20060112
  - j.  CLAY-0082439\_LoanList20060112
  - k.  CLAY-0082439\_LoanList20060112
  - l.  CLAY-0082440\_LoanStatus20060112
  - m.  CLAY-0082440\_LoanStatus20060112
  - n.  CLAY-0082441\_MissDoc
  - o.  CLAY-0082441\_MissDoc
  - p.  CLAY-0082442\_prodlog20060112
  - q.  CLAY-0082446\_TapeCompareField20060112
6.  DISK0117
  - a.  CLAY-0082455\_LoanStatus20060117
  - b.  CLAY-0082455\_LoanStatus20060117
7.  DISK0125
  - a.  CLAY-0082456\_IASEV12520060125
  - b.  CLAY-0082518\_IASEV3420060125
  - c.  CLAY-0082528\_LoanStatus20060125
  - d.  CLAY-0082528\_LoanStatus20060125
8.  DISK0130
  - a.  CLAY-0082529\_LoanStatus20060130
  - b.  CLAY-0082529\_LoanStatus20060130
- h.  MEL, OWN0512
  1.  DISK0104
    - a.  CLAY-0082530\_ETEXCELL
    - b.  CLAY-0082530\_ETEXCELL
  2.  disk0106
    - a.  test
      1.  CLAY-0082531\_Exception\_Summary20060106
      2.  CLAY-0082531\_Exception\_Summary20060106

























































3.  DISK0111
  - a.  CLAY-0082532\_ETEXCELL
  - b.  CLAY-0082532\_ETEXCELL
  - c.  CLAY-0082533\_Exception\_Summary20060111
  - d.  CLAY-0082533\_Exception\_Summary20060111
  - e.  CLAY-0082534\_ExceptionDetail20060111
  - f.  CLAY-0082534\_ExceptionDetail20060111
  - g.  CLAY-0082535\_IASEV12520060111
  - h.  CLAY-0082588\_IASEV3420060111
  - i.  CLAY-0082599\_LLDC20060111\_Incmp
  - j.  CLAY-0082599\_LLDC20060111\_Incmp
  - k.  CLAY-0082600\_LoanLevelDC20060111
  - l.  CLAY-0082611\_LoanList20060111
  - m.  CLAY-0082611\_LoanList20060111
  - n.  CLAY-0082612\_LoanStatus20060111
  - o.  CLAY-0082612\_LoanStatus20060111
  - p.  CLAY-0082613\_MissDoc
  - q.  CLAY-0082613\_MissDoc
  - r.  CLAY-0082614\_prodlog20060111
  - s.  CLAY-0082618\_TapeCompareField20060111
4.  disk0112
  - a.  beta
    1.  CLAY-0082627\_OWN0512\_ETEXCELL
    2.  CLAY-0082627\_OWN0512\_ETEXCELL
5.  DISK0117
  - a.  CLAY-0082628\_ExceptionDetail20060117
  - b.  CLAY-0082628\_ExceptionDetail20060117
  - c.  CLAY-0082629\_ExceptionDetail20060117\_05
  - d.  CLAY-0082629\_ExceptionDetail20060117\_05
  - e.  CLAY-0082630\_IASEV12520060117
  - f.  CLAY-0082683\_IASEV3420060117
  - g.  CLAY-0082694\_LLDC20060117\_Incmp
  - h.  CLAY-0082694\_LLDC20060117\_Incmp
  - i.  CLAY-0082695\_LoanLevelDC20060117
  - j.  CLAY-0082706\_LoanList20060117
  - k.  CLAY-0082706\_LoanList20060117
  - l.  CLAY-0082707\_LoanStatus20060117
  - m.  CLAY-0082707\_LoanStatus20060117
  - n.  CLAY-0082708\_MissDoc
  - o.  CLAY-0082708\_MissDoc
  - p.  CLAY-0082709\_prodlog20060117
  - q.  CLAY-0082713\_TapeCompareField20060117
6.  DISK0120
  - a.  CLAY-0082722\_Exception\_Summary20060120
  - b.  CLAY-0082722\_Exception\_Summary20060120
  - c.  CLAY-0082723\_ExceptionDetail20060120
  - d.  CLAY-0082723\_ExceptionDetail20060120
  - e.  CLAY-0082724\_ExceptionDetail20060120\_05
  - f.  CLAY-0082724\_ExceptionDetail20060120\_05
  - g.  CLAY-0082725\_IASEV12520060120
  - h.  CLAY-0082778\_IASEV3420060120
  - i.  CLAY-0082789\_LLDC20060120\_Incmp
  - j.  CLAY-0082789\_LLDC20060120\_Incmp
  - k.  CLAY-0082790\_LoanLevelDC20060120
  - l.  CLAY-0082801\_LoanList20060120
  - m.  CLAY-0082801\_LoanList20060120
























































- n.  CLAY-0082802\_LoanStatus20060120
- o.  CLAY-0082802\_LoanStatus20060120
- p.  CLAY-0082803\_MissDoc
- q.  CLAY-0082803\_MissDoc
- r.  CLAY-0082804\_prodlog20060120
- s.  CLAY-0082808\_TapeCompareField20060120
- 7.  DISK0221
  - a.  CLAY-0082817\_LoanStatus20060221
  - b.  CLAY-0082817\_LoanStatus20060221
- i.  MEL, Sierra Pacific0512
  - 1.  DISK0104
    - a.  New
      - 1.  CLAY-0082993\_Exception\_Summary20060104
      - 2.  CLAY-0082993\_Exception\_Summary20060104
      - 3.  CLAY-0082994\_ExceptionDetail20060104
      - 4.  CLAY-0082994\_ExceptionDetail20060104
      - 5.  CLAY-0082995\_IASEV12520060104
      - 6.  CLAY-0083127\_IASEV3420060104
      - 7.  CLAY-0083134\_LLDC20060104\_Incmp
      - 8.  CLAY-0083134\_LLDC20060104\_Incmp
      - 9.  CLAY-0083135\_LoanLevelDC20060104
      - 10.  CLAY-0083153\_LoanList20060104
      - 11.  CLAY-0083153\_LoanList20060104
      - 12.  CLAY-0083154\_LoanStatus20060104
      - 13.  CLAY-0083154\_LoanStatus20060104
      - 14.  CLAY-0083155\_MissDoc
      - 15.  CLAY-0083155\_MissDoc
      - 16.  CLAY-0083156\_prodlog20060104
      - 17.  CLAY-0083159\_TapeCompareField20060104
    - b.  CLAY-0082818\_Exception\_Summary20060104
    - c.  CLAY-0082818\_Exception\_Summary20060104
    - d.  CLAY-0082819\_ExceptionDetail20060104
    - e.  CLAY-0082819\_ExceptionDetail20060104
    - f.  CLAY-0082820\_IASEV12520060104
    - g.  CLAY-0082952\_IASEV3420060104
    - h.  CLAY-0082959\_LLDC20060104\_Incmp
    - i.  CLAY-0082959\_LLDC20060104\_Incmp
    - j.  CLAY-0082960\_LoanLevelDC20060104
    - k.  CLAY-0082978\_LoanList20060104
    - l.  CLAY-0082978\_LoanList20060104
    - m.  CLAY-0082979\_LoanStatus20060104
    - n.  CLAY-0082979\_LoanStatus20060104
    - o.  CLAY-0082980\_MissDoc
    - p.  CLAY-0082980\_MissDoc
    - q.  CLAY-0082981\_prodlog20060104
    - r.  CLAY-0082984\_TapeCompareField20060104
  - 2.  disk0112
    - a.  beta
      - 1.  CLAY-0083168\_SP0512\_ETEXCELL
      - 2.  CLAY-0083168\_SP0512\_ETEXCELL
  - 3.  DISK0117
    - a.  CLAY-0083169\_LoanStatus20060117
    - b.  CLAY-0083169\_LoanStatus20060117
  - 4.  DISK0130
    - a.  CLAY-0083170\_LoanStatus20060130
    - b.  CLAY-0083170\_LoanStatus20060130

























































5.  DISK1220
  - a.  CLAY-0083171\_Exception\_Summary20051220
  - b.  CLAY-0083171\_Exception\_Summary20051220
  - c.  CLAY-0083172\_ExceptionDetail20051220
  - d.  CLAY-0083172\_ExceptionDetail20051220
  - e.  CLAY-0083173\_IASEV12520051220
  - f.  CLAY-0083177\_IASEV3420051220
  - g.  CLAY-0083193\_LLDC20051220\_Incmp
  - h.  CLAY-0083193\_LLDC20051220\_Incmp
  - i.  CLAY-0083194\_LoanLevelDC20051220
  - j.  CLAY-0083197\_LoanList20051220
  - k.  CLAY-0083197\_LoanList20051220
  - l.  CLAY-0083198\_LoanStatus20051220
  - m.  CLAY-0083198\_LoanStatus20051220
  - n.  CLAY-0083199\_MissDoc
  - o.  CLAY-0083199\_MissDoc
  - p.  CLAY-0083200\_prodlog20051220
  - q.  CLAY-0083203\_TapeCompareField20051220
6.  DISK1227
  - a.  CLAY-0083212\_Exception\_Summary20051227
  - b.  CLAY-0083212\_Exception\_Summary20051227
  - c.  CLAY-0083213\_ExceptionDetail20051227
  - d.  CLAY-0083213\_ExceptionDetail20051227
  - e.  CLAY-0083214\_IASEV12520051227
  - f.  CLAY-0083323\_IASEV3420051227
  - g.  CLAY-0083352\_LLDC20051227\_Incmp
  - h.  CLAY-0083352\_LLDC20051227\_Incmp
  - i.  CLAY-0083353\_LoanLevelDC20051227
  - j.  CLAY-0083371\_LoanList20051227
  - k.  CLAY-0083371\_LoanList20051227
  - l.  CLAY-0083372\_LoanStatus20051227
  - m.  CLAY-0083372\_LoanStatus20051227
  - n.  CLAY-0083373\_MissDoc
  - o.  CLAY-0083373\_MissDoc
  - p.  CLAY-0083374\_prodlog20051227
  - q.  CLAY-0083377\_TapeCompareField20051227
7.  DISK1228
  - a.  CLAY-0083386\_Exception\_Summary20051228
  - b.  CLAY-0083386\_Exception\_Summary20051228
  - c.  CLAY-0083387\_ExceptionDetail20051228\_10
  - d.  CLAY-0083387\_ExceptionDetail20051228\_10
  - e.  CLAY-0083388\_IASEV12520051228
  - f.  CLAY-0083496\_IASEV3420051228
  - g.  CLAY-0083526\_LLDC20051228\_Incmp
  - h.  CLAY-0083526\_LLDC20051228\_Incmp
  - i.  CLAY-0083527\_LoanLevelDC20051228
  - j.  CLAY-0083545\_LoanList20051228
  - k.  CLAY-0083545\_LoanList20051228
  - l.  CLAY-0083546\_LoanStatus20051228
  - m.  CLAY-0083546\_LoanStatus20051228
  - n.  CLAY-0083547\_MissDoc
  - o.  CLAY-0083547\_MissDoc
  - p.  CLAY-0083548\_prodlog20051228
  - q.  CLAY-0083551\_TapeCompareField20051228
8.  DISK1229
  - a.  CLAY-0083560\_Exception\_Summary20051229


























































- b.  CLAY-0083560\_Exception\_Summary20051229
- c.  CLAY-0083561\_ExceptionDetail20051229\_10
- d.  CLAY-0083561\_ExceptionDetail20051229\_10
- e.  CLAY-0083562\_IASEV12520051229
- f.  CLAY-0083667\_IASEV3420051229
- g.  CLAY-0083700\_LLDC20051229\_Incmp
- h.  CLAY-0083700\_LLDC20051229\_Incmp
- i.  CLAY-0083701\_LoanLevelDC20051229
- j.  CLAY-0083719\_LoanList20051229
- k.  CLAY-0083719\_LoanList20051229
- l.  CLAY-0083720\_LoanStatus20051229
- m.  CLAY-0083720\_LoanStatus20051229
- n.  CLAY-0083721\_MissDoc
- o.  CLAY-0083721\_MissDoc
- p.  CLAY-0083722\_prodlog20051229
- q.  CLAY-0083725\_TapeCompareField20051229
- 9.  DISK1230
  - a.  CLAY-0083734\_Exception\_Summary20051230
  - b.  CLAY-0083734\_Exception\_Summary20051230
  - c.  CLAY-0083735\_ExceptionDetail20051230
  - d.  CLAY-0083735\_ExceptionDetail20051230
  - e.  CLAY-0083736\_IASEV12520051230
  - f.  CLAY-0083860\_IASEV3420051230
  - g.  CLAY-0083875\_LLDC20051230\_Incmp
  - h.  CLAY-0083875\_LLDC20051230\_Incmp
  - i.  CLAY-0083876\_LoanLevelDC20051230
  - j.  CLAY-0083894\_LoanList20051230
  - k.  CLAY-0083894\_LoanList20051230
  - l.  CLAY-0083895\_LoanStatus20051230
  - m.  CLAY-0083895\_LoanStatus20051230
  - n.  CLAY-0083896\_MissDoc
  - o.  CLAY-0083896\_MissDoc
  - p.  CLAY-0083897\_prodlog20051230
  - q.  CLAY-0083900\_TapeCompareField20051230
- 3.  CLAY 0083909 - CLAY 0085512
  - a.  CBA - CSC 359
    - 1.  DISK0404
      - a.  CSC359 REPORTS 4-4-06
        - 1.  CLAY-0083914\_1ExceptionDetail04-04
        - 2.  CLAY-0083914\_1ExceptionDetail04-04
        - 3.  CLAY-0083915\_DTI Variance Report
        - 4.  CLAY-0083915\_DTI Variance Report
        - 5.  CLAY-0083916\_MAC\_UPLOAD4-4-06
        - 6.  CLAY-0083916\_MAC\_UPLOAD4-4-06
      - b.  CLAY-0083909\_1ExceptionDetail04-04
      - c.  CLAY-0083909\_1ExceptionDetail04-04
      - d.  CLAY-0083910\_APR\_ORIGINATOR04-04
      - e.  CLAY-0083910\_APR\_ORIGINATOR04-04
      - f.  CLAY-0083911\_DTI Variance Report
      - g.  CLAY-0083911\_DTI Variance Report
      - h.  CLAY-0083912\_MAC\_UPLOAD4-4-06
      - i.  CLAY-0083912\_MAC\_UPLOAD4-4-06
      - j.  CLAY-0083913\_THE IVAN REPORT04-04
      - k.  CLAY-0083913\_THE IVAN REPORT04-04
    - 2.  DISK0405
      - a.  CLAY-0083917\_APR\_Originator04-05





























































- b.  CLAY-0083917\_APR\_Originator04-05
- c.  CLAY-0083918\_Daily Summary and reports
- d.  CLAY-0083919\_DTI Variance Report04-05
- e.  CLAY-0083919\_DTI Variance Report04-05
- f.  CLAY-0083920\_ExceptionDetail04-05
- g.  CLAY-0083920\_ExceptionDetail04-05
- h.  CLAY-0083921\_The Ivan Report04-05
- i.  CLAY-0083921\_The Ivan Report04-05
- 3.  DISK0406
  - a.  CLAY-0083922\_APR\_Originator04-06
  - b.  CLAY-0083922\_APR\_Originator04-06
  - c.  CLAY-0083923\_CSC359 Daily Reports and Summary
  - d.  CLAY-0083924\_DTI\_VARIANCE04-06
  - e.  CLAY-0083924\_DTI\_VARIANCE04-06
  - f.  CLAY-0083925\_ExceptionDetail04-06
  - g.  CLAY-0083925\_ExceptionDetail04-06
  - h.  CLAY-0083926\_The Ivan Report 04-06
  - i.  CLAY-0083926\_The Ivan Report 04-06
- 4.  DISK0407
  - a.  CLAY-0083927\_APR\_Originator04-07
  - b.  CLAY-0083927\_APR\_Originator04-07
  - c.  CLAY-0083928\_CSC 359 Daily reports and Summary
  - d.  CLAY-0083929\_DTI Variance 04-07
  - e.  CLAY-0083929\_DTI Variance 04-07
  - f.  CLAY-0083930\_ExceptionDetail
  - g.  CLAY-0083930\_ExceptionDetail
  - h.  CLAY-0083931\_Ivan Report04-07
  - i.  CLAY-0083931\_Ivan Report04-07
- 5.  DISK0408
  - a.  CLAY-0083932\_APR\_Originator04-08
  - b.  CLAY-0083932\_APR\_Originator04-08
  - c.  CLAY-0083933\_CSC359 Reports and Summary
  - d.  CLAY-0083934\_DTI Variance 04-08
  - e.  CLAY-0083934\_DTI Variance 04-08
  - f.  CLAY-0083935\_ExceptionDetail20060408
  - g.  CLAY-0083935\_ExceptionDetail20060408
  - h.  CLAY-0083936\_The Ivan Report 04-08
  - i.  CLAY-0083936\_The Ivan Report 04-08
- 6.  DISK0409
  - a.  CLAY-0083937\_APR\_Originator04-09
  - b.  CLAY-0083937\_APR\_Originator04-09
  - c.  CLAY-0083938\_CSC359 Daily reports and Summary
  - d.  CLAY-0083939\_DTI\_VARIANCE04-09
  - e.  CLAY-0083939\_DTI\_VARIANCE04-09
  - f.  CLAY-0083940\_ExceptionDetail04-09
  - g.  CLAY-0083940\_ExceptionDetail04-09
  - h.  CLAY-0083941\_The Ivan Report 04-09
  - i.  CLAY-0083941\_The Ivan Report 04-09
- 7.  DISK0410
  - a.  CLAY-0083942\_APR\_Originator 04-10
  - b.  CLAY-0083942\_APR\_Originator 04-10
  - c.  CLAY-0083943\_CSC359 Daily Summary and reports
  - d.  CLAY-0083944\_DTI Variance 04-10
  - e.  CLAY-0083944\_DTI Variance 04-10
  - f.  CLAY-0083945\_ExceptionDetail04-10
  - g.  CLAY-0083945\_ExceptionDetail04-10

























































- h.  CLAY-0083946\_The Ivan Report 04-10
- i.  CLAY-0083946\_The Ivan Report 04-10
- 8.  DISK0411
  - a.  CLAY-0083947\_APR\_Originator04-11
  - b.  CLAY-0083947\_APR\_Originator04-11
  - c.  CLAY-0083948\_CSC359 Daily report and summary
  - d.  CLAY-0083949\_DTI Variance04-11
  - e.  CLAY-0083949\_DTI Variance04-11
  - f.  CLAY-0083950\_ExceptionDetail04-11
  - g.  CLAY-0083950\_ExceptionDetail04-11
  - h.  CLAY-0083951\_The Ivan Report 04-11
  - i.  CLAY-0083951\_The Ivan Report 04-11
- 9.  DISK0412
  - a.  CLAY-0083952\_APR\_Originator 04-12
  - b.  CLAY-0083952\_APR\_Originator 04-12
  - c.  CLAY-0083953\_DTI Variance 04-12
  - d.  CLAY-0083953\_DTI Variance 04-12
  - e.  CLAY-0083954\_ExceptionDetail04-12
  - f.  CLAY-0083954\_ExceptionDetail04-12
  - g.  CLAY-0083955\_Stand Alone 2nds 04-12
  - h.  CLAY-0083955\_Stand Alone 2nds 04-12
  - i.  CLAY-0083956\_The Ivan Report 04-12
  - j.  CLAY-0083956\_The Ivan Report 04-12
- 10.  DISK0413
  - a.  CLAY-0083957\_APR\_Originator04-13
  - b.  CLAY-0083957\_APR\_Originator04-13
  - c.  CLAY-0083958\_CSC 359 Daily summary and reports
  - d.  CLAY-0083959\_DTI\_VARIANCE04-13
  - e.  CLAY-0083959\_DTI\_VARIANCE04-13
  - f.  CLAY-0083960\_ExceptionDetail04-13
  - g.  CLAY-0083960\_ExceptionDetail04-13
  - h.  CLAY-0083961\_Stand Alone 2nds 04-13
  - i.  CLAY-0083961\_Stand Alone 2nds 04-13
  - j.  CLAY-0083962\_The Ivan Report 04-13
  - k.  CLAY-0083962\_The Ivan Report 04-13
- 11.  DISK0414
  - a.  CLAY-0083963\_APR\_Originator04-14
  - b.  CLAY-0083963\_APR\_Originator04-14
  - c.  CLAY-0083964\_DTI Variance04-14
  - d.  CLAY-0083964\_DTI Variance04-14
  - e.  CLAY-0083965\_ExceptionDetail04-14
  - f.  CLAY-0083965\_ExceptionDetail04-14
  - g.  CLAY-0083966\_Stand Alone 2nds 04-14
  - h.  CLAY-0083966\_Stand Alone 2nds 04-14
  - i.  CLAY-0083967\_The Ivan Report 04-14
  - j.  CLAY-0083967\_The Ivan Report 04-14
- 12.  DISK0417
  - a.  CLAY-0083968\_ExceptionDetail
  - b.  CLAY-0083968\_ExceptionDetail
- 13.  DISK0418
  - a.  CLAY-0083969\_CBA CSC359 Exception Detail 4-18-06A
  - b.  CLAY-0083969\_CBA CSC359 Exception Detail 4-18-06A
  - c.  CLAY-0083970\_CBA CSC359 Exception Detail 4-18-06A\_VLOOKUP2
  - d.  CLAY-0083970\_CBA CSC359 Exception Detail 4-18-06A\_VLOOKUP2
  - e.  CLAY-0083971\_CBA CSC359 Exception Detail 4-18-06B REPORT TO CLIENT  
6:15PM

























































- f.  CLAY-0083971\_CBA CSC359 Exception Detail 4-18-06B REPORT TO CLIENT 615PM
  - g.  CLAY-0083972\_CSC259 overrides
  - h.  CLAY-0083973\_ExceptionDetail client overrides CSC359 4-18-06
- 14.  DISK0419
  - a.  CLAY-0083974\_CSC359 ExceptionDetail 4-20-06
  - b.  CLAY-0083974\_CSC359 ExceptionDetail 4-20-06
  - c.  CLAY-0083975\_CSC359 MAC\_UPLOAD 4-20-06
  - d.  CLAY-0083975\_CSC359 MAC\_UPLOAD 4-20-06
- 15.  DISK0420
  - a.  CLAY-0083976\_ExceptionDetail
  - b.  CLAY-0083976\_ExceptionDetail
  - c.  CLAY-0083977\_MAC\_UPLOAD
  - d.  CLAY-0083977\_MAC\_UPLOAD
- 16.  DISK0421
  - a.  CLAY-0083978\_CSC359 Exception Detail Report 4-21-06 130PM
  - b.  CLAY-0083978\_CSC359 Exception Detail Report 4-21-06 130PM
  - c.  CLAY-0083979\_MAC\_UPLOAD
  - d.  CLAY-0083979\_MAC\_UPLOAD
- 17.  DISK0421B
  - a.  CLAY-0083980\_CSC359 Exception Detail Report 4-21-06 615PM
  - b.  CLAY-0083980\_CSC359 Exception Detail Report 4-21-06 615PM
  - c.  CLAY-0083981\_DTI Variance Report CSC359 4-21-06
  - d.  CLAY-0083981\_DTI Variance Report CSC359 4-21-06
  - e.  CLAY-0083982\_MAC\_UPLOAD
  - f.  CLAY-0083982\_MAC\_UPLOAD
- 18.  DISK0426
  - a.  CLAY-0083983\_CSC359 Exception Detail Report 10AM 4-26-06
  - b.  CLAY-0083983\_CSC359 Exception Detail Report 10AM 4-26-06
  - c.  CLAY-0083984\_MAC\_UPLOAD
  - d.  CLAY-0083984\_MAC\_UPLOAD
- 19.  DISK0426B
  - a.  CLAY-0083985\_CSC359 Exception Detail Report 11AM 4-26-06
  - b.  CLAY-0083985\_CSC359 Exception Detail Report 11AM 4-26-06
  - c.  CLAY-0083986\_MAC\_UPLOAD
  - d.  CLAY-0083986\_MAC\_UPLOAD
- 20.  DISK0427
  - a.  CLAY-0083987\_CSC359 Exception Detail Report 615PM 4-27-06
  - b.  CLAY-0083987\_CSC359 Exception Detail Report 615PM 4-27-06
  - c.  CLAY-0083988\_MAC\_UPLOAD
  - d.  CLAY-0083988\_MAC\_UPLOAD
- 21.  DISK0501
  - a.  CLAY-0083989\_CSC359 Exception Detail Report 5-1-06 530PM
  - b.  CLAY-0083989\_CSC359 Exception Detail Report 5-1-06 530PM
- 22.  DISK0504
  - a.  CLAY-0083990\_CSC359 Exception Detail Report 5-4-06 930AM
  - b.  CLAY-0083990\_CSC359 Exception Detail Report 5-4-06 930AM
- 23.  DISK0509
  - a.  CLAY-0083991\_CSC359 Exception Detail Report 5-9-06
  - b.  CLAY-0083991\_CSC359 Exception Detail Report 5-9-06
  - c.  CLAY-0083992\_MAC\_UPLOAD
  - d.  CLAY-0083992\_MAC\_UPLOAD
- 24.  DISK0510
  - a.  CLAY-0083993\_CBA359 Exception Detail Report 5-10-06
  - b.  CLAY-0083993\_CBA359 Exception Detail Report 5-10-06
- 25.  DISK0510B
  - a.  CLAY-0083994\_CSC359 Exception Detail Report 4PM 5-10-06

- b.  CLAY-0083994\_CSC359 Exception Detail Report 4PM 5-10-06
- 26.  DISK0511
  - a.  CLAY-0083995\_CSC359 Exception Detail Report 5-11-06
  - b.  CLAY-0083995\_CSC359 Exception Detail Report 5-11-06
- 27.  DISK0512
  - a.  CLAY-0083996\_CSC359 Exception Detail Report 5-12-06
  - b.  CLAY-0083996\_CSC359 Exception Detail Report 5-12-06
  - c.  CLAY-0083997\_CSC359 MAC Upload 5-12-06
  - d.  CLAY-0083997\_CSC359 MAC Upload 5-12-06
- 28.  DISK0515
  - a.  CLAY-0083998\_CSC359 Exception Detail Report 5-15-06
  - b.  CLAY-0083998\_CSC359 Exception Detail Report 5-15-06
- 29.  DISK0516
  - a.  CLAY-0083999\_CSC359 Exception Detail Report 5-16-06
  - b.  CLAY-0083999\_CSC359 Exception Detail Report 5-16-06
  - c.  CLAY-0084000\_CSC359 MAC Upload 5-16-06
  - d.  CLAY-0084000\_CSC359 MAC Upload 5-16-06
  - e.  CLAY-0084001\_CSC359\_Reconciliation\_SecondFunding\_Revised\_051606
  - f.  CLAY-0084001\_CSC359\_Reconciliation\_SecondFunding\_Revised\_051606
- 30.  DISK0516PM
  - a.  CLAY-0084002\_CSC359 Exception Detail Report 5-16-06 PM
  - b.  CLAY-0084002\_CSC359 Exception Detail Report 5-16-06 PM
  - c.  CLAY-0084003\_CSC359 MAC Upload 5-16-06 PM
  - d.  CLAY-0084003\_CSC359 MAC Upload 5-16-06 PM
- 31.  DISK0518
  - a.  CLAY-0084004\_CSC359 Exception Detail Report 71 Loans 5-18-06
  - b.  CLAY-0084004\_CSC359 Exception Detail Report 71 Loans 5-18-06
  - c.  CLAY-0084005\_CSC359 Exception Detail Report Full Pool 5-18-06
  - d.  CLAY-0084005\_CSC359 Exception Detail Report Full Pool 5-18-06
  - e.  CLAY-0084006\_CSC359 MAC Upload 5-18-06
  - f.  CLAY-0084006\_CSC359 MAC Upload 5-18-06
- 32.  DISK0519
  - a.  CLAY-0084007\_CSC359 Exception Detail Report 5-19-06
  - b.  CLAY-0084007\_CSC359 Exception Detail Report 5-19-06
  - c.  CLAY-0084008\_CSC359 MAC Upload 5-19-06
  - d.  CLAY-0084008\_CSC359 MAC Upload 5-19-06
- 33.  DISK0519UPDATED
  - a.  CLAY-0084009\_CSC359 Exception Detail Report 5-19-06Updated
  - b.  CLAY-0084009\_CSC359 Exception Detail Report 5-19-06Updated
  - c.  CLAY-0084010\_CSC359 MAC Upload 5-19-06 Updated
  - d.  CLAY-0084010\_CSC359 MAC Upload 5-19-06 Updated
- 34.  DISK0531
  - a.  CLAY-0084011\_ExceptionDetail
  - b.  CLAY-0084011\_ExceptionDetail
- 35.  DISK0803
  - a.  CLAY-0084012\_CSC359 Full Data Upload - Part A
  - b.  CLAY-0084012\_CSC359 Full Data Upload - Part A
  - c.  CLAY-0084013\_CSC359 Full Data Upload - Part B
  - d.  CLAY-0084013\_CSC359 Full Data Upload - Part B
  - e.  CLAY-0084014\_CSC359 Full Data Upload - Part C
  - f.  CLAY-0084014\_CSC359 Full Data Upload - Part C
  - g.  CLAY-0084015\_CSC359 Full Data Upload
  - h.  CLAY-0084015\_CSC359 Full Data Upload
- 36.  disk1116
  - a.  CLAY-0084016\_ExceptionDetail
  - b.  CLAY-0084016\_ExceptionDetail
  - c.  CLAY-0084017\_ExceptionDetail20061116

























































- d.  CLAY-0084017\_ExceptionDetail20061116
- 37.  DISK1130
  - a.  CLAY-0084018\_CSC359 Exception Detail Report 20061130
  - b.  CLAY-0084018\_CSC359 Exception Detail Report 20061130
- b.  CBA - CSC 362A & B
  - 1.  DISK0502
    - a.  CLAY-0084019\_APR Originator 5-2-06
    - b.  CLAY-0084019\_APR Originator 5-2-06
    - c.  CLAY-0084020\_CSC 362 Exception Detail Report 5-2-06
    - d.  CLAY-0084020\_CSC 362 Exception Detail Report 5-2-06
    - e.  CLAY-0084021\_DTI Variance 5-2-06
    - f.  CLAY-0084021\_DTI Variance 5-2-06
    - g.  CLAY-0084022\_Ivan Report 5-2-06
    - h.  CLAY-0084022\_Ivan Report 5-2-06
    - i.  CLAY-0084023\_MAC\_UPLOAD
    - j.  CLAY-0084023\_MAC\_UPLOAD
    - k.  CLAY-0084024\_Stand Alone 2nds 5-2-06
    - l.  CLAY-0084024\_Stand Alone 2nds 5-2-06
  - 2.  DISK0503
    - a.  CLAY-0084025\_APR Originator 5-3-06
    - b.  CLAY-0084025\_APR Originator 5-3-06
    - c.  CLAY-0084026\_CSC362 Exception Detail Report 5-3-06 9AM
    - d.  CLAY-0084026\_CSC362 Exception Detail Report 5-3-06 9AM
    - e.  CLAY-0084027\_DTI Variance Report 5-3-06
    - f.  CLAY-0084027\_DTI Variance Report 5-3-06
    - g.  CLAY-0084028\_IASRPT20060503 CSC362
    - h.  CLAY-0085103\_Ivan Report 5-3-06
    - i.  CLAY-0085103\_Ivan Report 5-3-06
    - j.  CLAY-0085104\_Stand Alone 2nds Report 5-3-06
    - k.  CLAY-0085104\_Stand Alone 2nds Report 5-3-06
  - 3.  DISK0504
    - a.  CLAY-0085105\_APR Originator CSC362 5-4-06
    - b.  CLAY-0085105\_APR Originator CSC362 5-4-06
    - c.  CLAY-0085106\_CSC362 Exception Detail Report 5-4-06 9AM
    - d.  CLAY-0085106\_CSC362 Exception Detail Report 5-4-06 9AM
    - e.  CLAY-0085107\_DTI Variance Report CSC362 5-4-06
    - f.  CLAY-0085107\_DTI Variance Report CSC362 5-4-06
    - g.  CLAY-0085108\_Ivan Report CSC362 5-4-06
    - h.  CLAY-0085108\_Ivan Report CSC362 5-4-06
    - i.  CLAY-0085109\_Stand Alone 2nds Report CSC362 5-4-06
    - j.  CLAY-0085109\_Stand Alone 2nds Report CSC362 5-4-06
  - 4.  DISK0505
    - a.  CLAY-0085110\_APR Originator Report CSC362 5-5-06
    - b.  CLAY-0085110\_APR Originator Report CSC362 5-5-06
    - c.  CLAY-0085111\_CSC362 Exception Detail Report 5-5-06
    - d.  CLAY-0085111\_CSC362 Exception Detail Report 5-5-06
    - e.  CLAY-0085112\_DTI Variance Report CSC362 5-5-06
    - f.  CLAY-0085112\_DTI Variance Report CSC362 5-5-06
    - g.  CLAY-0085113\_Ivan Report CSC362 5-5-06
    - h.  CLAY-0085113\_Ivan Report CSC362 5-5-06
    - i.  CLAY-0085114\_Loan #'s Box #'s Report CSC362 5-5-06
    - j.  CLAY-0085114\_Loan #'s Box #'s Report CSC362 5-5-06
    - k.  CLAY-0085115\_Stand Alone 2nds Report CSC362 5-5-06
    - l.  CLAY-0085115\_Stand Alone 2nds Report CSC362 5-5-06
  - 5.  DISK0508
    - a.  CLAY-0085116\_APR Originator Report CSC362 5-8-06

























































- b.  CLAY-0085116\_APR Originator Report CSC362 5-8-06
  - c.  CLAY-0085117\_CSC362 Exception Detail Report 5-8-06
  - d.  CLAY-0085117\_CSC362 Exception Detail Report 5-8-06
  - e.  CLAY-0085118\_DTI Variance Report CSC362 5-8-06
  - f.  CLAY-0085118\_DTI Variance Report CSC362 5-8-06
  - g.  CLAY-0085119\_Ivan Report CSC362 5-8-06
  - h.  CLAY-0085119\_Ivan Report CSC362 5-8-06
  - i.  CLAY-0085120\_Loan # & Box # Report CSC362 5-8-06
  - j.  CLAY-0085120\_Loan # & Box # Report CSC362 5-8-06
  - k.  CLAY-0085121\_Stand Alone 2nds Report CSC362 5-8-06
  - l.  CLAY-0085121\_Stand Alone 2nds Report CSC362 5-8-06
6.  DISK0508B
- a.  CLAY-0085122\_APR Originator Report CSC362 5-8-06 Second Set
  - b.  CLAY-0085122\_APR Originator Report CSC362 5-8-06 Second Set
  - c.  CLAY-0085123\_CSC362 Exception Detail Report 5-8-06 Second Set
  - d.  CLAY-0085123\_CSC362 Exception Detail Report 5-8-06 Second Set
  - e.  CLAY-0085124\_DTI Variance Report CSC362 5-8-06 Second Set
  - f.  CLAY-0085124\_DTI Variance Report CSC362 5-8-06 Second Set
  - g.  CLAY-0085125\_Ivan Report CSC362 5-8-06 Second Set
  - h.  CLAY-0085125\_Ivan Report CSC362 5-8-06 Second Set
  - i.  CLAY-0085126\_Loan # & Box # Report CSC362 5-8-06 Second Set
  - j.  CLAY-0085126\_Loan # & Box # Report CSC362 5-8-06 Second Set
  - k.  CLAY-0085127\_QC Status CSC362
  - l.  CLAY-0085127\_QC Status CSC362
  - m.  CLAY-0085128\_Stand Alone 2nds Report CSC362 5-8-06 Second Set
  - n.  CLAY-0085128\_Stand Alone 2nds Report CSC362 5-8-06 Second Set
7.  DISK0509
- a.  CLAY-0085129\_APR Originator Report 5-9-06 CSC362
  - b.  CLAY-0085129\_APR Originator Report 5-9-06 CSC362
  - c.  CLAY-0085130\_CSC362 5-9-06CBASS Overrides-Pends
  - d.  CLAY-0085130\_CSC362 5-9-06CBASS Overrides-Pends
  - e.  CLAY-0085131\_CSC362 Exception Detail Report 5-9-06
  - f.  CLAY-0085131\_CSC362 Exception Detail Report 5-9-06
  - g.  CLAY-0085132\_DTI Variance Report CSC362 5-9-06
  - h.  CLAY-0085132\_DTI Variance Report CSC362 5-9-06
  - i.  CLAY-0085133\_Ivan Report CSC362 5-9-06
  - j.  CLAY-0085133\_Ivan Report CSC362 5-9-06
  - k.  CLAY-0085134\_Loan # & Box # Report CSC362 5-9-06
  - l.  CLAY-0085134\_Loan # & Box # Report CSC362 5-9-06
  - m.  CLAY-0085135\_QC status CSC362
  - n.  CLAY-0085135\_QC status CSC362
  - o.  CLAY-0085136\_Stand Alone 2nds Report CSC362 5-9-06
  - p.  CLAY-0085136\_Stand Alone 2nds Report CSC362 5-9-06
8.  DISK0510
- a.  CLAY-0085137\_APR Originator Report CSC362 5-10-06
  - b.  CLAY-0085137\_APR Originator Report CSC362 5-10-06
  - c.  CLAY-0085138\_CSC362 Exception Detail Report 5-10-06
  - d.  CLAY-0085138\_CSC362 Exception Detail Report 5-10-06
  - e.  CLAY-0085139\_DTI Variance Report CSC362 5-10-06
  - f.  CLAY-0085139\_DTI Variance Report CSC362 5-10-06
  - g.  CLAY-0085140\_Ivan Report CSC362 5-10-06
  - h.  CLAY-0085140\_Ivan Report CSC362 5-10-06
  - i.  CLAY-0085141\_Loan # & Box # Report CSC362 5-10-06
  - j.  CLAY-0085141\_Loan # & Box # Report CSC362 5-10-06
  - k.  CLAY-0085142\_Stand Alone 2nds Report CSC362 5-10-06
  - l.  CLAY-0085142\_Stand Alone 2nds Report CSC362 5-10-06




















































9.  DISK0511
  - a.  CLAY-0085143\_APR Originator Report CSC362 5-11-06
  - b.  CLAY-0085143\_APR Originator Report CSC362 5-11-06
  - c.  CLAY-0085144\_CSC362 Exception Detail Report 5-11-06
  - d.  CLAY-0085144\_CSC362 Exception Detail Report 5-11-06
  - e.  CLAY-0085145\_DTI Variance Report CSC362 5-11-06
  - f.  CLAY-0085145\_DTI Variance Report CSC362 5-11-06
  - g.  CLAY-0085146\_Ivan Report CSC362 5-11-06
  - h.  CLAY-0085146\_Ivan Report CSC362 5-11-06
  - i.  CLAY-0085147\_Loan # & Box # Report CSC362 5-11-06
  - j.  CLAY-0085147\_Loan # & Box # Report CSC362 5-11-06
  - k.  CLAY-0085148\_Pool Number QC Sign-Off CSC362 5-11-06
  - l.  CLAY-0085148\_Pool Number QC Sign-Off CSC362 5-11-06
  - m.  CLAY-0085149\_Stand Alone 2nds Report CSC362 5-11-06
  - n.  CLAY-0085149\_Stand Alone 2nds Report CSC362 5-11-06
10.  DISK0511jbh
  - a.  CLAY-0085150\_ExceptionDetail
  - b.  CLAY-0085150\_ExceptionDetail
  - c.  CLAY-0085151\_MAC\_UPLOAD
  - d.  CLAY-0085151\_MAC\_UPLOAD
11.  DISK0512
  - a.  CLAY-0085152\_APR Originator Report CSC362 5-11-06
  - b.  CLAY-0085152\_APR Originator Report CSC362 5-11-06
  - c.  CLAY-0085153\_CSC362 Exception Detail Report 5-12-06
  - d.  CLAY-0085153\_CSC362 Exception Detail Report 5-12-06
  - e.  CLAY-0085154\_DTI Variance Report CSC362 5-11-06
  - f.  CLAY-0085154\_DTI Variance Report CSC362 5-11-06
  - g.  CLAY-0085155\_Ivan Report CSC362 5-11-06
  - h.  CLAY-0085155\_Ivan Report CSC362 5-11-06
  - i.  CLAY-0085156\_Loan # & Box # Report CSC362 5-11-06
  - j.  CLAY-0085156\_Loan # & Box # Report CSC362 5-11-06
  - k.  CLAY-0085157\_QC Pool Status
  - l.  CLAY-0085157\_QC Pool Status
  - m.  CLAY-0085158\_Stand Alone 2nds Report CSC362 5-11-06
  - n.  CLAY-0085158\_Stand Alone 2nds Report CSC362 5-11-06
12.  DISK0512Evening
  - a.  CLAY-0085159\_APR Originator Report CSC362 5-12-06 End of Day
  - b.  CLAY-0085159\_APR Originator Report CSC362 5-12-06 End of Day
  - c.  CLAY-0085160\_CSC362 Exception Detail Report 5-12-06 End of Day
  - d.  CLAY-0085160\_CSC362 Exception Detail Report 5-12-06 End of Day
  - e.  CLAY-0085161\_DTI Variance Report CSC362 5-12-06 End of Day
  - f.  CLAY-0085161\_DTI Variance Report CSC362 5-12-06 End of Day
  - g.  CLAY-0085162\_Ivan Report CSC362 5-12-06 End of Day
  - h.  CLAY-0085162\_Ivan Report CSC362 5-12-06 End of Day
  - i.  CLAY-0085163\_Loan # & Box # Report CSC362 5-12-06 End of Day
  - j.  CLAY-0085163\_Loan # & Box # Report CSC362 5-12-06 End of Day
  - k.  CLAY-0085164\_Pool Number QC Sign Off CSC362 5-12-06 End of Day
  - l.  CLAY-0085164\_Pool Number QC Sign Off CSC362 5-12-06 End of Day
  - m.  CLAY-0085165\_Stand Alone 2nds Report CSC362 5-12-06 End of Day
  - n.  CLAY-0085165\_Stand Alone 2nds Report CSC362 5-12-06 End of Day
13.  DISK0514
  - a.  CLAY-0085166\_CSC362 Exception Detail Report 5-14-06
  - b.  CLAY-0085166\_CSC362 Exception Detail Report 5-14-06
14.  DISK0515
  - a.  DISK0515 Night
    1.  CLAY-0085175\_CSC362 Exception Detail Report 5-15-06 PM


























































- 2.  CLAY-0085175\_CSC362 Exception Detail Report 5-15-06 PM
- 3.  CLAY-0085176\_ExceptionDetail
- 4.  CLAY-0085176\_ExceptionDetail
- 5.  CLAY-0085177\_QC\_LIST
- 6.  CLAY-0085177\_QC\_LIST
- b.  CLAY-0085167\_APR Originator Report CSC362 5-15-06
- c.  CLAY-0085167\_APR Originator Report CSC362 5-15-06
- d.  CLAY-0085168\_CSC362 Exception Detail Report 5-15-06
- e.  CLAY-0085168\_CSC362 Exception Detail Report 5-15-06
- f.  CLAY-0085169\_CSC362 MAC\_UPLOAD 5-15-06
- g.  CLAY-0085169\_CSC362 MAC\_UPLOAD 5-15-06
- h.  CLAY-0085170\_DTI Variance Report CSC362 5-15-06
- i.  CLAY-0085170\_DTI Variance Report CSC362 5-15-06
- j.  CLAY-0085171\_Ivan Report CSC362 5-15-06
- k.  CLAY-0085171\_Ivan Report CSC362 5-15-06
- l.  CLAY-0085172\_Loan # & Box # Report CSC362 5-15-06
- m.  CLAY-0085172\_Loan # & Box # Report CSC362 5-15-06
- n.  CLAY-0085173\_QC Sign Off Report CSC362 5-15-06
- o.  CLAY-0085173\_QC Sign Off Report CSC362 5-15-06
- p.  CLAY-0085174\_Stand Alone 2nds Report CSC362 5-15-06
- q.  CLAY-0085174\_Stand Alone 2nds Report CSC362 5-15-06
- 15.  DISK0516
  - a.  CLAY-0085178\_APR Originator Report CSC362 5-16-06
  - b.  CLAY-0085178\_APR Originator Report CSC362 5-16-06
  - c.  CLAY-0085179\_CSC362 Exception Detail Report 5-16-06
  - d.  CLAY-0085179\_CSC362 Exception Detail Report 5-16-06
  - e.  CLAY-0085180\_CSC362 MAC Upload 5-16-06
  - f.  CLAY-0085180\_CSC362 MAC Upload 5-16-06
  - g.  CLAY-0085181\_DTI Variance Report CSC362 5-16-06
  - h.  CLAY-0085181\_DTI Variance Report CSC362 5-16-06
  - i.  CLAY-0085182\_Ivan Report CSC362 5-16-06
  - j.  CLAY-0085182\_Ivan Report CSC362 5-16-06
  - k.  CLAY-0085183\_Loan # & Box # Report CSC362 5-16-06
  - l.  CLAY-0085183\_Loan # & Box # Report CSC362 5-16-06
  - m.  CLAY-0085184\_Pool Number QC Status CSC362 5-16-06
  - n.  CLAY-0085184\_Pool Number QC Status CSC362 5-16-06
  - o.  CLAY-0085185\_Stand Alone 2nds Report CSC362 5-16-06
  - p.  CLAY-0085185\_Stand Alone 2nds Report CSC362 5-16-06
- 16.  DISK0517
  - a.  CLAY-0085186\_ExceptionDetail
  - b.  CLAY-0085186\_ExceptionDetail
- 17.  DISK0517AM
  - a.  CLAY-0085187\_APR Originator Report CSC362 5-17-06 AM
  - b.  CLAY-0085187\_APR Originator Report CSC362 5-17-06 AM
  - c.  CLAY-0085188\_CSC362 Exception Detail Report 5-17-06 AM
  - d.  CLAY-0085188\_CSC362 Exception Detail Report 5-17-06 AM
  - e.  CLAY-0085189\_CSC362 MAC Upload 5-17-06 AM
  - f.  CLAY-0085189\_CSC362 MAC Upload 5-17-06 AM
  - g.  CLAY-0085190\_DTI Variance Report CSC362 5-17-06 AM
  - h.  CLAY-0085190\_DTI Variance Report CSC362 5-17-06 AM
  - i.  CLAY-0085191\_Ivan Report CSC362 5-17-06 AM
  - j.  CLAY-0085191\_Ivan Report CSC362 5-17-06 AM
  - k.  CLAY-0085192\_Loan # & Box # Report CSC362 5-17-06 AM
  - l.  CLAY-0085192\_Loan # & Box # Report CSC362 5-17-06 AM
  - m.  CLAY-0085193\_Pool Number QC Sign Off CSC362 5-17-06 AM
  - n.  CLAY-0085193\_Pool Number QC Sign Off CSC362 5-17-06 AM






























































- o.  CLAY-0085194\_Stand Alone 2nds Report CSC362 5-17-06 AM
      - p.  CLAY-0085194\_Stand Alone 2nds Report CSC362 5-17-06 AM
- 18.  DISK0518
  - a.  CLAY-0085195\_APR Originator Report CSC362 5-18-06
  - b.  CLAY-0085195\_APR Originator Report CSC362 5-18-06
  - c.  CLAY-0085196\_CSC362 Exception Detail Report 5-18-06
  - d.  CLAY-0085196\_CSC362 Exception Detail Report 5-18-06
  - e.  CLAY-0085197\_CSC362 MAC Upload 5-18-06
  - f.  CLAY-0085197\_CSC362 MAC Upload 5-18-06
  - g.  CLAY-0085198\_DTI Variance Report CSC362 5-18-06
  - h.  CLAY-0085198\_DTI Variance Report CSC362 5-18-06
  - i.  CLAY-0085199\_Ivan Report CSC362 5-18-06
  - j.  CLAY-0085199\_Ivan Report CSC362 5-18-06
  - k.  CLAY-0085200\_Loan # & Box # Report CSC362 5-18-06
  - l.  CLAY-0085200\_Loan # & Box # Report CSC362 5-18-06
  - m.  CLAY-0085201\_Pool Number QC Sign Off Report CSC362 5-18-06
  - n.  CLAY-0085201\_Pool Number QC Sign Off Report CSC362 5-18-06
  - o.  CLAY-0085202\_Stand Alone 2nds Report CSC362 5-18-06
  - p.  CLAY-0085202\_Stand Alone 2nds Report CSC362 5-18-06
- 19.  DISK0519
  - a.  CLAY-0085203\_APR Originator Report CSC362 5-19-06
  - b.  CLAY-0085203\_APR Originator Report CSC362 5-19-06
  - c.  CLAY-0085204\_CSC262 MAC Upload 5-19-06
  - d.  CLAY-0085204\_CSC262 MAC Upload 5-19-06
  - e.  CLAY-0085205\_CSC362 Exception Detail Report 5-19-06
  - f.  CLAY-0085205\_CSC362 Exception Detail Report 5-19-06
  - g.  CLAY-0085206\_DTI Variance Report CSC362 5-19-06
  - h.  CLAY-0085206\_DTI Variance Report CSC362 5-19-06
  - i.  CLAY-0085207\_Ivan Report CSC362 5-19-06
  - j.  CLAY-0085207\_Ivan Report CSC362 5-19-06
  - k.  CLAY-0085208\_Loan # & Box # Report CSC362 5-19-06
  - l.  CLAY-0085208\_Loan # & Box # Report CSC362 5-19-06
  - m.  CLAY-0085209\_Pool Number QC Sign Off Report CSC362 5-19-06
  - n.  CLAY-0085209\_Pool Number QC Sign Off Report CSC362 5-19-06
  - o.  CLAY-0085210\_Stand Alone 2nds Report CSC362 5-19-06
  - p.  CLAY-0085210\_Stand Alone 2nds Report CSC362 5-19-06
- 20.  DISK0522
  - a.  CLAY-0085211\_APR Originator Report CSC362 5-22-06
  - b.  CLAY-0085211\_APR Originator Report CSC362 5-22-06
  - c.  CLAY-0085212\_CSC362 Exception Detail Report 5-22-06
  - d.  CLAY-0085212\_CSC362 Exception Detail Report 5-22-06
  - e.  CLAY-0085213\_DTI Variance Report CSC362 5-22-06
  - f.  CLAY-0085213\_DTI Variance Report CSC362 5-22-06
  - g.  CLAY-0085214\_Ivan Report CSC362 5-22-06
  - h.  CLAY-0085214\_Ivan Report CSC362 5-22-06
  - i.  CLAY-0085215\_Loan # & Box # Report CSC362 5-22-06
  - j.  CLAY-0085215\_Loan # & Box # Report CSC362 5-22-06
  - k.  CLAY-0085216\_Stand Alone 2nds Report CSC362 5-22-06
  - l.  CLAY-0085216\_Stand Alone 2nds Report CSC362 5-22-06
- 21.  DISK0523
  - a.  CLAY-0085217\_APR Originator Report CSC362 5-23-06
  - b.  CLAY-0085217\_APR Originator Report CSC362 5-23-06
  - c.  CLAY-0085218\_CSC362 Exception Detail Report 5-23-06
  - d.  CLAY-0085218\_CSC362 Exception Detail Report 5-23-06
  - e.  CLAY-0085219\_CSC362 MAC Upload 5-23-06
  - f.  CLAY-0085219\_CSC362 MAC Upload 5-23-06









- g.  CLAY-0085220\_DTI Variance Report CSC362 5-23-06
- h.  CLAY-0085220\_DTI Variance Report CSC362 5-23-06
- i.  CLAY-0085221\_Ivan Report CSC362 5-23-06
- j.  CLAY-0085221\_Ivan Report CSC362 5-23-06
- k.  CLAY-0085222\_Loan # & Box # Report CSC362 5-23-06
- l.  CLAY-0085222\_Loan # & Box # Report CSC362 5-23-06
- m.  CLAY-0085223\_Pool Number QC Sign Off CSC362 5-23-06
- n.  CLAY-0085223\_Pool Number QC Sign Off CSC362 5-23-06
- o.  CLAY-0085224\_Stand Alone 2nds Report CSC362 5-23-06
- p.  CLAY-0085224\_Stand Alone 2nds Report CSC362 5-23-06
- 22.  DISK0524
  - a.  CLAY-0085225\_APR Originator Test CSC362 5-24-06
  - b.  CLAY-0085225\_APR Originator Test CSC362 5-24-06
  - c.  CLAY-0085226\_CSC362 Exception Detail Report 5-24-06
  - d.  CLAY-0085226\_CSC362 Exception Detail Report 5-24-06
  - e.  CLAY-0085227\_CSC362 MAC Upload 5-24-06
  - f.  CLAY-0085227\_CSC362 MAC Upload 5-24-06
  - g.  CLAY-0085228\_DTI Variance Report CSC362 5-24-06
  - h.  CLAY-0085228\_DTI Variance Report CSC362 5-24-06
  - i.  CLAY-0085229\_Ivan Report CSC362 5-24-06
  - j.  CLAY-0085229\_Ivan Report CSC362 5-24-06
  - k.  CLAY-0085230\_Loan # & Box # Report CSC362 5-24-06
  - l.  CLAY-0085230\_Loan # & Box # Report CSC362 5-24-06
  - m.  CLAY-0085231\_Stand Alone 2nds Report CSC362 5-24-06
  - n.  CLAY-0085231\_Stand Alone 2nds Report CSC362 5-24-06
- 23.  DISK0526
  - a.  CLAY-0085232\_ExceptionDetail20060525
  - b.  CLAY-0085232\_ExceptionDetail20060525
  - c.  CLAY-0085233\_MAC\_UPLOAD20060525
  - d.  CLAY-0085233\_MAC\_UPLOAD20060525
- 24.  DISK0531
  - a.  CLAY-0085234\_APR Originator Report CSC362 5-31-06
  - b.  CLAY-0085234\_APR Originator Report CSC362 5-31-06
  - c.  CLAY-0085235\_CSC362 Exception Detail Report 5-31-06
  - d.  CLAY-0085235\_CSC362 Exception Detail Report 5-31-06
  - e.  CLAY-0085236\_CSC362 MAC Upload 5-31-06
  - f.  CLAY-0085236\_CSC362 MAC Upload 5-31-06
  - g.  CLAY-0085237\_DTI Variance Report CSC362 5-31-06
  - h.  CLAY-0085237\_DTI Variance Report CSC362 5-31-06
  - i.  CLAY-0085238\_Ivan Report CSC362 5-31-06
  - j.  CLAY-0085238\_Ivan Report CSC362 5-31-06
  - k.  CLAY-0085239\_Loan # & Box # Report CSC362 5-31-06
  - l.  CLAY-0085239\_Loan # & Box # Report CSC362 5-31-06
  - m.  CLAY-0085240\_Lost Y's CSC362 5-31-06
  - n.  CLAY-0085240\_Lost Y's CSC362 5-31-06
  - o.  CLAY-0085241\_Stand Alone 2nds Report CSC362 5-31-06
  - p.  CLAY-0085241\_Stand Alone 2nds Report CSC362 5-31-06
- 25.  DISK0601
  - a.  CLAY-0085242\_CSC362 Exception Detail Report 6-1-06
  - b.  CLAY-0085242\_CSC362 Exception Detail Report 6-1-06
  - c.  CLAY-0085243\_CSC362 MAC Upload 6-1-06
  - d.  CLAY-0085243\_CSC362 MAC Upload 6-1-06
- 26.  DISK0623
  - a.  CLAY-0085244\_CSC362 Exception Detail Report 6-23-06
  - b.  CLAY-0085244\_CSC362 Exception Detail Report 6-23-06
- 27.  DISK0627

























































- a.  CLAY-0085245\_CSC362 MAC Upload 6-27-06
  - b.  CLAY-0085245\_CSC362 MAC Upload 6-27-06
  - c.  CLAY-0085246\_CSWC362 Exception Detail Report 6-27-06
  - d.  CLAY-0085246\_CSWC362 Exception Detail Report 6-27-06
28.  DISK0803
- a.  CLAY-0085247\_CSC362 Full Data Upload - Part A
  - b.  CLAY-0085247\_CSC362 Full Data Upload - Part A
  - c.  CLAY-0085248\_CSC362 Full Data Upload - Part B
  - d.  CLAY-0085248\_CSC362 Full Data Upload - Part B
  - e.  CLAY-0085249\_CSC362 Full Data Upload - Part C
  - f.  CLAY-0085249\_CSC362 Full Data Upload - Part C
  - g.  CLAY-0085250\_CSC362 Full Data Upload
  - h.  CLAY-0085250\_CSC362 Full Data Upload
29.  disk1116
- a.  CLAY-0085251\_CSC 362 Excep Detail 11.16.06
  - b.  CLAY-0085251\_CSC 362 Excep Detail 11.16.06
  - c.  CLAY-0085252\_ExceptionDetail
  - d.  CLAY-0085252\_ExceptionDetail
- c.  CBA - OMM 101
- 1.  DISK0411
    - a.  CLAY-0085253\_ExceptionDetail\_4\_11\_06
    - b.  CLAY-0085253\_ExceptionDetail\_4\_11\_06
    - c.  CLAY-0085254\_ExceptionDetail\_4\_11b\_06
    - d.  CLAY-0085254\_ExceptionDetail\_4\_11b\_06
  - 2.  DISK0412
    - a.  CLAY-0085255\_ExceptionDetail\_4\_12\_06
    - b.  CLAY-0085255\_ExceptionDetail\_4\_12\_06
    - c.  CLAY-0085256\_Re CBassOMM101 daily report\_04\_12\_06
  - 3.  DISK0413
    - a.  CLAY-0085257\_ExceptionDetail\_4\_13\_06
    - b.  CLAY-0085257\_ExceptionDetail\_4\_13\_06
    - c.  CLAY-0085258\_Re CBassOMM101 daily report 04\_13\_06
  - 4.  DISK0413\_NS
    - a.  CLAY-0085259\_LLDC20060413\_Incmp
    - b.  CLAY-0085259\_LLDC20060413\_Incmp
    - c.  CLAY-0085260\_LoanLevelDC20060413
  - 5.  DISK0414
    - a.  CLAY-0085470\_ExceptionDetail\_4\_14\_06
    - b.  CLAY-0085470\_ExceptionDetail\_4\_14\_06
    - c.  CLAY-0085471\_Re CbassOMM101 final daily report\_04\_14\_06
  - 6.  DISK0417
    - a.  CLAY-0085472\_CBA\_OMM101 Exception Detail 4\_17\_06 600PM
    - b.  CLAY-0085472\_CBA\_OMM101 Exception Detail 4\_17\_06 600PM
    - c.  CLAY-0085473\_ExceptionDetail
    - d.  CLAY-0085473\_ExceptionDetail
  - 7.  DISK0418
    - a.  CLAY-0085474\_OMM101 Exception Detail Report 4-18-06 645PM Overrides Completed
    - b.  CLAY-0085474\_OMM101 Exception Detail Report 4-18-06 645PM Overrides Completed
  - 8.  DISK0419
    - a.  CLAY-0085475\_CBA OMM 101 Exception Detail Report 4-19-06 545PM
    - b.  CLAY-0085475\_CBA OMM 101 Exception Detail Report 4-19-06 545PM
  - 9.  DISK0420
    - a.  CLAY-0085476\_MAC\_UPLOAD
    - b.  CLAY-0085476\_MAC\_UPLOAD
    - c.  CLAY-0085477\_OMM101 Exception Detail Report 4-20-06 7PM

- d.  CLAY-0085477\_OMM101 Exception Detail Report 4-20-06 7PM
- 10.  DISK0421
  - a.  CLAY-0085478\_ExceptionDetail
  - b.  CLAY-0085478\_ExceptionDetail
  - c.  CLAY-0085479\_MAC\_UPLOAD
  - d.  CLAY-0085479\_MAC\_UPLOAD
- 11.  DISK0425
  - a.  CLAY-0085480\_MAC\_UPLOAD
  - b.  CLAY-0085480\_MAC\_UPLOAD
  - c.  CLAY-0085481\_OMM101 Exception Detail Report 220PM 4-25-06
  - d.  CLAY-0085481\_OMM101 Exception Detail Report 220PM 4-25-06
- 12.  DISK0426\_NS
  - a.  CLAY-0085482\_CBA\_OMM101\_Exception Detail 4\_26\_06 400PM
  - b.  CLAY-0085482\_CBA\_OMM101\_Exception Detail 4\_26\_06 400PM
  - c.  CLAY-0085483\_ExceptionDetail
  - d.  CLAY-0085483\_ExceptionDetail
- 13.  DISK0426B
  - a.  CLAY-0085484\_MAC\_UPLOAD
  - b.  CLAY-0085484\_MAC\_UPLOAD
  - c.  CLAY-0085485\_OMM101 Exception Detail Report 4-26-06 6PM
  - d.  CLAY-0085485\_OMM101 Exception Detail Report 4-26-06 6PM
- 14.  DISK0427
  - a.  CLAY-0085486\_MAC\_UPLOAD
  - b.  CLAY-0085486\_MAC\_UPLOAD
  - c.  CLAY-0085487\_OMM101 Exception Detail Report 4-26-06 840AM
  - d.  CLAY-0085487\_OMM101 Exception Detail Report 4-26-06 840AM
- 15.  DISK0427B
  - a.  CLAY-0085488\_MAC\_UPLOAD
  - b.  CLAY-0085488\_MAC\_UPLOAD
  - c.  CLAY-0085489\_OMM101 Exception Detail Report 550PM 4-27-06
  - d.  CLAY-0085489\_OMM101 Exception Detail Report 550PM 4-27-06
- 16.  DISK0428
  - a.  CLAY-0085490\_MAC\_UPLOAD
  - b.  CLAY-0085490\_MAC\_UPLOAD
  - c.  CLAY-0085491\_OMM101 Exception Detail Report 615PM 4-28-06
  - d.  CLAY-0085491\_OMM101 Exception Detail Report 615PM 4-28-06
- 17.  DISK0501
  - a.  CLAY-0085492\_OMM101 Exception Detail Report 5-1-06 540PM
  - b.  CLAY-0085492\_OMM101 Exception Detail Report 5-1-06 540PM
- 18.  DISK0502
  - a.  CLAY-0085493\_OMM101 Exception Detail Report 5-2-06 12PM
  - b.  CLAY-0085493\_OMM101 Exception Detail Report 5-2-06 12PM
- 19.  DISK0502B
  - a.  CLAY-0085494\_MAC\_UPLOAD 5-2-06 5PM
  - b.  CLAY-0085494\_MAC\_UPLOAD 5-2-06 5PM
  - c.  CLAY-0085495\_OMM101 Exception Detail Report 5PM 5-2-06
  - d.  CLAY-0085495\_OMM101 Exception Detail Report 5PM 5-2-06
- 20.  DISK0503
  - a.  CLAY-0085496\_OMM101 Exception Detail Report 5-3-06
  - b.  CLAY-0085496\_OMM101 Exception Detail Report 5-3-06
- 21.  DISK0504 FINAL
  - a.  CLAY-0085497\_MAC\_UPLOAD OMM101 5-4-06 545PM Final
  - b.  CLAY-0085497\_MAC\_UPLOAD OMM101 5-4-06 545PM Final
  - c.  CLAY-0085498\_OMM101 Exception Detail Report 5-4-06 545PM Final
  - d.  CLAY-0085498\_OMM101 Exception Detail Report 5-4-06 545PM Final
- 22.  DISK0504-4PM
  - a.  CLAY-0085499\_MAC\_UPLOAD OMM101 4PM 5-4-06

- b.  CLAY-0085499\_MAC\_UPLOAD OMM101 4PM 5-4-06
- c.  CLAY-0085500\_OMM101 Exception Detail Report 4PM 5-4-06
- d.  CLAY-0085500\_OMM101 Exception Detail Report 4PM 5-4-06
- 23.  DISK0511
  - a.  CLAY-0085501\_OMM101 Exception Detail Report 5-11-06
  - b.  CLAY-0085501\_OMM101 Exception Detail Report 5-11-06
  - c.  CLAY-0085502\_OMM101 MAC Upload 5-11-06
  - d.  CLAY-0085502\_OMM101 MAC Upload 5-11-06
- 24.  DISK0517 FINAL
  - a.  CLAY-0085503\_OMM101 Exception Detail Report 5-17-06
  - b.  CLAY-0085503\_OMM101 Exception Detail Report 5-17-06
  - c.  CLAY-0085504\_OMM101 MAC Upload 5-17-06
  - d.  CLAY-0085504\_OMM101 MAC Upload 5-17-06
- 25.  DISK0519
  - a.  CLAY-0085505\_OMM101 Exception Detail Report 5-19-06
  - b.  CLAY-0085505\_OMM101 Exception Detail Report 5-19-06
  - c.  CLAY-0085506\_OMM101 MAC Upload 5-19-06
  - d.  CLAY-0085506\_OMM101 MAC Upload 5-19-06
- 26.  DISK0522
  - a.  CLAY-0085507\_OMM101 Exception Detail Report 5-22-06
  - b.  CLAY-0085507\_OMM101 Exception Detail Report 5-22-06
  - c.  CLAY-0085508\_OMM101 MAC Upload 5-22-06
  - d.  CLAY-0085508\_OMM101 MAC Upload 5-22-06
- 27.  DISK0522FINAL
  - a.  CLAY-0085509\_OMM101 Exception Detail Report 5-22-06 630PM
  - b.  CLAY-0085509\_OMM101 Exception Detail Report 5-22-06 630PM
  - c.  CLAY-0085510\_OMM101 MAC Upload 5-22-06 630PM
  - d.  CLAY-0085510\_OMM101 MAC Upload 5-22-06 630PM
- 28.  DISK0809
  - a.  CLAY-0085511\_MAC\_UPLOAD
  - b.  CLAY-0085511\_MAC\_UPLOAD
  - c.  CLAY-0085512\_OMM101 Full Data Upload
  - d.  CLAY-0085512\_OMM101 Full Data Upload
- 4.  CLAY 0085513 - CLAY 0134582
  - a.  GREW AMQ 0508
    - 1.  DISK0818
      - a.  CLAY-0087480\_20050818\_EventStatus
      - b.  CLAY-0087480\_20050818\_EventStatus
      - c.  CLAY-0087481\_ExceptionDetail20050818
      - d.  CLAY-0087481\_ExceptionDetail20050818
    - 2.  CLAY-0086123\_8.10.05\_AMQ 2nds 0508\_Event 3 Loans
    - 3.  CLAY-0086258\_8.10.05\_AMQ 2nds 0508\_Exception Detail
    - 4.  CLAY-0086258\_8.10.05\_AMQ 2nds 0508\_Exception Detail
    - 5.  CLAY-0086259\_8.10.05\_AMQ 2nds 0508\_Non-Event 3 Loans
    - 6.  CLAY-0086259\_8.10.05\_AMQ 2nds 0508\_Non-Event 3 Loans
    - 7.  CLAY-0086260\_8.11.05\_AMQ 2nds 0508\_Event 3 Loans
    - 8.  CLAY-0086379\_8.11.05\_AMQ 2nds 0508\_Exception Detail
    - 9.  CLAY-0086379\_8.11.05\_AMQ 2nds 0508\_Exception Detail
    - 10.  CLAY-0086380\_8.11.05\_AMQ 2nds 0508\_Non-Event 3 Loans
    - 11.  CLAY-0086380\_8.11.05\_AMQ 2nds 0508\_Non-Event 3 Loans
    - 12.  CLAY-0086381\_8.12.05\_AMQ 2nds 0508\_Event 3 Loans
    - 13.  CLAY-0086452\_8.12.05\_AMQ 2nds 0508\_Exception Detail
    - 14.  CLAY-0086452\_8.12.05\_AMQ 2nds 0508\_Exception Detail
    - 15.  CLAY-0086453\_8.12.05\_AMQ 2nds 0508\_Non-Event 3 Loans
    - 16.  CLAY-0086453\_8.12.05\_AMQ 2nds 0508\_Non-Event 3 Loans
    - 17.  CLAY-0086454\_8.16.05\_AMQ 2nds 0508\_Event 3 Loans
    - 18.  CLAY-0086519\_8.16.05\_AMQ 2nds 0508\_Exception Detail

19.  CLAY-0086519\_8.16.05\_AMQ 2nds 0508\_Exception Detail
20.  CLAY-0086520\_8.16.05\_AMQ 2nds 0508\_Non-Event 3 Loans
21.  CLAY-0086520\_8.16.05\_AMQ 2nds 0508\_Non-Event 3 Loans
22.  CLAY-0086521\_8.17.05\_AMQ 2nds 0508\_Event 3 Loans
23.  CLAY-0086575\_8.17.05\_AMQ 2nds 0508\_Exception Detail
24.  CLAY-0086575\_8.17.05\_AMQ 2nds 0508\_Exception Detail
25.  CLAY-0086576\_8.17.05\_AMQ 2nds 0508\_Non-Event 3 Loans
26.  CLAY-0086576\_8.17.05\_AMQ 2nds 0508\_Non-Event 3 Loans
27.  CLAY-0086577\_8.19.05\_AMQ 2nds 0508\_Event 3 Loans
28.  CLAY-0086627\_8.19.05\_AMQ 2nds 0508\_Exception Detail
29.  CLAY-0086627\_8.19.05\_AMQ 2nds 0508\_Exception Detail
30.  CLAY-0086628\_8.19.05\_AMQ 2nds 0508\_Non-Event 3 Loans
31.  CLAY-0086628\_8.19.05\_AMQ 2nds 0508\_Non-Event 3 Loans
32.  CLAY-0086629\_8.2.05\_AMQ 2nds 0508\_Preliminary Event 3 Loans
33.  CLAY-0086704\_8.2.05\_AMQ 2nds 0508\_Preliminary Exception Detail
34.  CLAY-0086704\_8.2.05\_AMQ 2nds 0508\_Preliminary Exception Detail
35.  CLAY-0086705\_8.2.05\_AMQ 2nds 0508\_Preliminary Non-Event 3 Loans
36.  CLAY-0086705\_8.2.05\_AMQ 2nds 0508\_Preliminary Non-Event 3 Loans
37.  CLAY-0086706\_8.22.05\_AMQ 2nds 0508\_Event 3 Loans
38.  CLAY-0086746\_8.22.05\_AMQ 2nds 0508\_Exception Detail
39.  CLAY-0086746\_8.22.05\_AMQ 2nds 0508\_Exception Detail
40.  CLAY-0086747\_8.22.05\_AMQ 2nds 0508\_Non-Event 3 Loans
41.  CLAY-0086747\_8.22.05\_AMQ 2nds 0508\_Non-Event 3 Loans
42.  CLAY-0086748\_8.23.05\_AMQ 2nds 0508\_Event 3 Loans
43.  CLAY-0086778\_8.23.05\_AMQ 2nds 0508\_Exception Detail
44.  CLAY-0086778\_8.23.05\_AMQ 2nds 0508\_Exception Detail
45.  CLAY-0086779\_8.23.05\_AMQ 2nds 0508\_Non-Event 3 Loans
46.  CLAY-0086779\_8.23.05\_AMQ 2nds 0508\_Non-Event 3 Loans
47.  CLAY-0086780\_8.3.05\_AMQ 2nds 0508\_Preliminary Event 3 Loans
48.  CLAY-0086912\_8.3.05\_AMQ 2nds 0508\_Preliminary Exception Detail
49.  CLAY-0086912\_8.3.05\_AMQ 2nds 0508\_Preliminary Exception Detail
50.  CLAY-0086913\_8.3.05\_AMQ 2nds 0508\_Preliminary Non-Event 3 Loans
51.  CLAY-0086913\_8.3.05\_AMQ 2nds 0508\_Preliminary Non-Event 3 Loans
52.  CLAY-0086914\_8.4.05\_AMQ 2nds 0508\_Event 3 Loans
53.  CLAY-0087112\_8.4.05\_AMQ 2nds 0508\_Exception Detail
54.  CLAY-0087112\_8.4.05\_AMQ 2nds 0508\_Exception Detail
55.  CLAY-0087113\_8.4.05\_AMQ 2nds 0508\_Non-Event 3 Loans
56.  CLAY-0087113\_8.4.05\_AMQ 2nds 0508\_Non-Event 3 Loans
57.  CLAY-0087114\_8.5.05\_AMQ 2nds 0508\_Event 3 Loans
58.  CLAY-0087312\_8.5.05\_AMQ 2nds 0508\_Exception Detail
59.  CLAY-0087312\_8.5.05\_AMQ 2nds 0508\_Exception Detail
60.  CLAY-0087313\_8.8.05\_AMQ 2nds 0508\_Event 3 Loans
61.  CLAY-0087478\_8.8.05\_AMQ 2nds 0508\_Exception Detail
62.  CLAY-0087478\_8.8.05\_AMQ 2nds 0508\_Exception Detail
63.  CLAY-0087479\_8.8.05\_AMQ 2nds 0508\_Non-Event 3 Loans
64.  CLAY-0087479\_8.8.05\_AMQ 2nds 0508\_Non-Event 3 Loans

























































- b.  GREW AMQ 0508 B
1.  CLAY-0085513\_08-30-05 AMQ WL 0508 B Event 3 Loans
  2.  CLAY-0085591\_08-30-05 AMQ WL 0508 B Exception Detail
  3.  CLAY-0085591\_08-30-05 AMQ WL 0508 B Exception Detail
  4.  CLAY-0085592\_08-30-05 AMQ WL 0508 B Non-Event 3 DetlList
  5.  CLAY-0085592\_08-30-05 AMQ WL 0508 B Non-Event 3 DetlList
  6.  CLAY-0085593\_8.23.05\_AMQ WL 0508 B\_Preliminary Event 3 Loans
  7.  CLAY-0085672\_8.23.05\_AMQ WL 0508 B\_Preliminary Exception Detail
  8.  CLAY-0085672\_8.23.05\_AMQ WL 0508 B\_Preliminary Exception Detail

























































9.  CLAY-0085673\_8.23.05\_AMQ WL 0508 B\_Preliminary Non-Event 3 Loans
  10.  CLAY-0085673\_8.23.05\_AMQ WL 0508 B\_Preliminary Non-Event 3 Loans
  11.  CLAY-0085674\_8.24.05\_AMQ WL 0508 B\_Preliminary Event 3 Loans
  12.  CLAY-0085809\_8.24.05\_AMQ WL 0508 B\_Preliminary Exception Detail
  13.  CLAY-0085809\_8.24.05\_AMQ WL 0508 B\_Preliminary Exception Detail
  14.  CLAY-0085810\_8.24.05\_AMQ WL 0508 B\_Preliminary Non-Event 3 Loans
  15.  CLAY-0085810\_8.24.05\_AMQ WL 0508 B\_Preliminary Non-Event 3 Loans
  16.  CLAY-0085811\_8.26.05\_AMQ WL 0508 B\_Event 3 Loans
  17.  CLAY-0085966\_8.26.05\_AMQ WL 0508 B\_Exception Detail
  18.  CLAY-0085966\_8.26.05\_AMQ WL 0508 B\_Exception Detail
  19.  CLAY-0085967\_8.26.05\_AMQ WL 0508 B\_Non-Event 3 Loans
  20.  CLAY-0085967\_8.26.05\_AMQ WL 0508 B\_Non-Event 3 Loans
  21.  CLAY-0085968\_8.31.05\_AMQ WL 0508 B\_Event 3 Loans
  22.  CLAY-0086019\_8.31.05\_AMQ WL 0508 B\_Exception Detail
  23.  CLAY-0086019\_8.31.05\_AMQ WL 0508 B\_Exception Detail
  24.  CLAY-0086020\_8.31.05\_AMQ WL 0508 B\_Non-Event 3 Loans
  25.  CLAY-0086020\_8.31.05\_AMQ WL 0508 B\_Non-Event 3 Loans
  26.  CLAY-0086021\_9.1.05\_AMQ WL 0508 B\_Event 3 Loans
  27.  CLAY-0086064\_9.1.05\_AMQ WL 0508 B\_Exception Detail
  28.  CLAY-0086064\_9.1.05\_AMQ WL 0508 B\_Exception Detail
  29.  CLAY-0086065\_9.1.05\_AMQ WL 0508 B\_Non-Event 3 Loans
  30.  CLAY-0086065\_9.1.05\_AMQ WL 0508 B\_Non-Event 3 Loans
  31.  CLAY-0086066\_9.6.05\_AMQ WL 0508 B\_Event 3 Loans
  32.  CLAY-0086096\_9.6.05\_AMQ WL 0508 B\_Exception Detail
  33.  CLAY-0086096\_9.6.05\_AMQ WL 0508 B\_Exception Detail
  34.  CLAY-0086097\_9.6.05\_AMQ WL 0508 B\_Final Event 3 Loans
  35.  CLAY-0086120\_9.6.05\_AMQ WL 0508 B\_Final Exception Detail
  36.  CLAY-0086120\_9.6.05\_AMQ WL 0508 B\_Final Exception Detail
  37.  CLAY-0086121\_9.6.05\_AMQ WL 0508 B\_Final Non-Event 3 Loans
  38.  CLAY-0086121\_9.6.05\_AMQ WL 0508 B\_Final Non-Event 3 Loans
  39.  CLAY-0086122\_9.6.05\_AMQ WL 0508 B\_Non-Event 3 Loans
  40.  CLAY-0086122\_9.6.05\_AMQ WL 0508 B\_Non-Event 3 Loans
- c.  GREW FREMONT 0508
1.  DISK0830
    - a.  CLAY-0087929\_20050830\_EventStatus
    - b.  CLAY-0087929\_20050830\_EventStatus
    - c.  CLAY-0087930\_ExceptionDetail20050830
    - d.  CLAY-0087930\_ExceptionDetail20050830
    - e.  CLAY-0087931\_IASRCM320050830
  2.  CLAY-0087482\_9.12.05\_FRE 2nds 0508\_Event 3 Loans
  3.  CLAY-0087569\_9.12.05\_FRE 2nds 0508\_ExceptionDetail
  4.  CLAY-0087569\_9.12.05\_FRE 2nds 0508\_ExceptionDetail
  5.  CLAY-0087570\_9.13.05\_FRE 2nds 0508\_Event 3 Loans
  6.  CLAY-0087649\_9.13.05\_FRE 2nds 0508\_ExceptionDetail
  7.  CLAY-0087649\_9.13.05\_FRE 2nds 0508\_ExceptionDetail
  8.  CLAY-0087650\_9.6.05\_FRE 2nds 0508\_Event 3 Loans
  9.  CLAY-0087747\_9.6.05\_FRE 2nds 0508\_ExceptionDetail
  10.  CLAY-0087747\_9.6.05\_FRE 2nds 0508\_ExceptionDetail
  11.  CLAY-0087748\_9.7.05\_FRE 2nds 0508\_Event 3 Loans
  12.  CLAY-0087840\_9.8.05\_FRE 2nds 0508\_Event 3 Loans
  13.  CLAY-0087928\_9.8.05\_FRE 2nds 0508\_ExceptionDetail
  14.  CLAY-0087928\_9.8.05\_FRE 2nds 0508\_ExceptionDetail
- d.  GREW NC 0509
1.  DISK0907
    - a.  CLAY-0088878\_ExceptionDetail20050907
    - b.  CLAY-0088878\_ExceptionDetail20050907

























































2.  DISK1012
    - a.  CLAY-0088879\_ExceptionDetail20051012
    - b.  CLAY-0088879\_ExceptionDetail20051012
    - c.  CLAY-0088880\_IASCRCM320051012
  3.  CLAY-0088605\_10.17.05\_NCEN 2nds 0509\_ExceptionDetail
  4.  CLAY-0088605\_10.17.05\_NCEN 2nds 0509\_ExceptionDetail
  5.  CLAY-0088606\_10.19.05\_NCEN 2nd Liens 0509\_Event 3 Loans
  6.  CLAY-0088654\_10.3.05\_NCEN 2nd Liens 0509\_Event 3 Loans
  7.  CLAY-0088713\_9.13.05\_NCEN 2nd Liens 0509\_Event 3 Loans
  8.  CLAY-0088808\_9.13.05\_NCEN 2nds 0509\_ExceptionDetail
  9.  CLAY-0088808\_9.13.05\_NCEN 2nds 0509\_ExceptionDetail
  10.  CLAY-0088809\_9.14.05\_NCEN 2nd Liens 0509\_Event 3 Loans
  11.  CLAY-0088877\_9.14.05\_NCEN 2nds 0509\_ExceptionDetail
  12.  CLAY-0088877\_9.14.05\_NCEN 2nds 0509\_ExceptionDetail
- e.  LEH, AMQ 2005-4
1.  DISK0714
    - a.  CLAY-0089767\_Credit2List
    - b.  CLAY-0089767\_Credit2List
    - c.  CLAY-0089768\_Event 3 List
    - d.  CLAY-0089768\_Event 3 List
    - e.  CLAY-0089769\_IASCRCM320050714
  2.  DISK0715
    - a.  CLAY-0090760\_AMQ5-4 1's and 2's
    - b.  CLAY-0090760\_AMQ5-4 1's and 2's
    - c.  CLAY-0090761\_Credit2List
    - d.  CLAY-0090761\_Credit2List
    - e.  CLAY-0090762\_Event 3 List
    - f.  CLAY-0090762\_Event 3 List
    - g.  CLAY-0090763\_IASCRCM320050715
    - h.  CLAY-0091604\_IASCREV120050715
    - i.  CLAY-0092185\_IASCREV220050715
    - j.  CLAY-0092358\_LLDC20050715\_Incmp
    - k.  CLAY-0092358\_LLDC20050715\_Incmp
    - l.  CLAY-0092359\_LoanLevelDC20050715
  3.  DISK0718
    - a.  CLAY-0092551\_IASCRCM320050718
  4.  DISK0719
    - a.  CLAY-0093317\_Event 3 List
    - b.  CLAY-0093317\_Event 3 List
  5.  DISK0720
    - a.  CLAY-0093318\_Event 3 List
    - b.  CLAY-0093318\_Event 3 List
    - c.  CLAY-0093319\_IASCRCM320050719
    - d.  CLAY-0093787\_LLDC20050719\_Incmp
    - e.  CLAY-0093787\_LLDC20050719\_Incmp
    - f.  CLAY-0093788\_LoanLevelDC20050719
  6.  DISK0721
    - a.  CLAY-0093980\_Event 3 List
    - b.  CLAY-0093980\_Event 3 List
  7.  DISK0722
    - a.  CLAY-0093981\_Event 3 List
    - b.  CLAY-0093981\_Event 3 List
    - c.  CLAY-0093982\_IASCRCM320050722
    - d.  CLAY-0094269\_LLDC20050722\_Incmp
    - e.  CLAY-0094269\_LLDC20050722\_Incmp
    - f.  CLAY-0094270\_LoanLevelDC20050722
  8.  DISK0725


























































- a. CLAY-0094462\_Event 3 List
- b. CLAY-0094462\_Event 3 List
- 9. DISK0725\_1
  - a. CLAY-0094463\_Event 3 List
  - b. CLAY-0094463\_Event 3 List
- 10. DISK0725-2
  - a. CLAY-0094464\_Event 3 List
  - b. CLAY-0094464\_Event 3 List
- 11. VBook 7-25-05
  - a. VBook Password
    - 1. CLAY-0095140\_fees\_report\_20050725
    - 2. CLAY-0095140\_fees\_report\_20050725
    - 3. CLAY-0095141\_Final Event 3 List
    - 4. CLAY-0095141\_Final Event 3 List
    - 5. CLAY-0095142\_Frequency20050725
    - 6. CLAY-0095181\_IAS Credit or Compliance Event 3s
    - 7. CLAY-0095459\_Narrative- Ameriquet 2005-4
    - 8. CLAY-0095464\_SuperSummary20050725
    - 9. CLAY-0095468\_TapeCompareField20050725
    - 10. CLAY-0095476\_Upload
    - 11. CLAY-0095476\_Upload
  - b. CLAY-0094465\_fees\_report\_20050725
  - c. CLAY-0094465\_fees\_report\_20050725
  - d. CLAY-0094466\_Final Event 3 List
  - e. CLAY-0094466\_Final Event 3 List
  - f. CLAY-0094467\_Frequency20050725
  - g. CLAY-0094506\_IAS Credit or Compliance Event 3s
  - h. CLAY-0094784\_Narrative- Ameriquet 2005-4
  - i. CLAY-0094789\_SuperSummary20050725
  - j. CLAY-0094793\_TapeCompareField20050725
  - k. CLAY-0094801\_Upload
  - l. CLAY-0094801\_Upload
  - m. CLAY-0094802\_VBook Ameriquet 2005-4
  - n. CLAY-0094803\_fees\_report\_20050725
  - o. CLAY-0094803\_fees\_report\_20050725
  - p. CLAY-0094804\_Final Event 3 List
  - q. CLAY-0094804\_Final Event 3 List
  - r. CLAY-0094805\_Frequency20050725
  - s. CLAY-0094844\_IAS Credit or Compliance Event 3s
  - t. CLAY-0095122\_Narrative- Ameriquet 2005-4
  - u. CLAY-0095127\_SuperSummary20050725
  - v. CLAY-0095131\_TapeCompareField20050725
  - w. CLAY-0095139\_Upload
  - x. CLAY-0095139\_Upload
- 5. CLAY 0134583 - CLAY 0134598
  - a. First NLC 2006-09 DEU
    - 1. Final Reports Delivered to Client
      - a. CLAY-0134583\_Exh 1 - First NLC \$150MM 09-29-06 Summary Results
      - b. CLAY-0134583\_Exh 1 - First NLC \$150MM 09-29-06 Summary Results
      - c. CLAY-0134584\_Exh 3 - First NLC \$150MM 09-29-06 - Data Discrepancies
      - d. CLAY-0134584\_Exh 3 - First NLC \$150MM 09-29-06 - Data Discrepancies
      - e. CLAY-0134585\_Exh 4 - First NLC \$150MM 09-29-06 - Final Rejects
      - f. CLAY-0134585\_Exh 4 - First NLC \$150MM 09-29-06 - Final Rejects
      - g. CLAY-0134586\_First NLC \$150MM 09-29-06 - Street Solutions Upload
      - h. CLAY-0134586\_First NLC \$150MM 09-29-06 - Street Solutions Upload
  - b. First Steet 2006-09 DEU









1.  Final Reports Delivered to Client
  - a.  CLAY-0134587\_ Exh 1 - First Street \$26MM 09-22-06 - Summary Results
  - b.  CLAY-0134587\_ Exh 1 - First Street \$26MM 09-22-06 - Summary Results
  - c.  CLAY-0134588\_ Exh 3 - First St \$26MM 9-22-06 - Data Discrepancies
  - d.  CLAY-0134588\_ Exh 3 - First St \$26MM 9-22-06 - Data Discrepancies
  - e.  CLAY-0134589\_ Exh 4 - First St \$26MM 9-22-06 - Final Rejects
  - f.  CLAY-0134589\_ Exh 4 - First St \$26MM 9-22-06 - Final Rejects
  - g.  CLAY-0134590\_ First St \$26MM 9-22-06 - Street Solutions Upload
  - h.  CLAY-0134590\_ First St \$26MM 9-22-06 - Street Solutions Upload
- c.  Impac 2006-10 DEU
  1.  Final Reports Delivered to Client
    - a.  CLAY-0134591\_ Exh 1 - Impac \$90MM 10-26-06 Summary Results
    - b.  CLAY-0134591\_ Exh 1 - Impac \$90MM 10-26-06 Summary Results
    - c.  CLAY-0134592\_ Exh 3 - Impac \$90MM 10-26-06 - Data Discrepancies
    - d.  CLAY-0134592\_ Exh 3 - Impac \$90MM 10-26-06 - Data Discrepancies
    - e.  CLAY-0134593\_ Exh 4 - Impac \$90MM 10-26-06 - Final Rejects
    - f.  CLAY-0134593\_ Exh 4 - Impac \$90MM 10-26-06 - Final Rejects
    - g.  CLAY-0134594\_ Impac \$90MM 10-26-06 - Street Solutions
    - h.  CLAY-0134594\_ Impac \$90MM 10-26-06 - Street Solutions
  - d.  Lime Financial 2006-10 DEU
    1.  Final Reports Delivered to Client
      - a.  CLAY-0134595\_ Exh 1 - Lime \$50MM 10-31-06 Summary Results
      - b.  CLAY-0134595\_ Exh 1 - Lime \$50MM 10-31-06 Summary Results
      - c.  CLAY-0134596\_ Exh 3 - Lime \$50MM 10-31-06 - Data Discrepancies
      - d.  CLAY-0134596\_ Exh 3 - Lime \$50MM 10-31-06 - Data Discrepancies
      - e.  CLAY-0134597\_ Exh 4 - Lime \$50MM 10-31-06 - Final Rejects
      - f.  CLAY-0134597\_ Exh 4 - Lime \$50MM 10-31-06 - Final Rejects
      - g.  CLAY-0134598\_ Lime \$50MM 10-31-06 - Street Solutions Upload
      - h.  CLAY-0134598\_ Lime \$50MM 10-31-06 - Street Solutions Upload
6.  Client Contracts
  - a.  Bear - EMC
  - b.  Countrywide
  - c.  Credit Suisse
  - d.  Deutsche
  - e.  Goldman Sachs
  - f.  HSBC - Household
  - g.  JP Morgan
  - h.  Lehman
  - i.  Merrill Lynch
  - j.  Morgan Stanley
  - k.  Nomura
  - l.  Thumbs
  - m.  UBS Warburg
  - n.  Wamu
7.  Client Profiles
  - a.  Bear
  - b.  C-Bass
  - c.  CountryWide
  - d.  CSFB
  - e.  Deutsche
  - f.  Goldman Sachs
  - g.  Greenwich
  - h.  HSBC
  - i.  JPM
  - j.  Lehman
  - k.  Merrill Lynch

























































- I.  Morgan Stanley
- m.  Nomura
- n.  Thumbs
- o.  UBS
- p.  WAMU subprime
- 8.  Deals Docs Top 5
  - a.  Bear-EMC (Sebring - SG0602)
    - 1.  Deal Set Up
      - a.  Bear-EMC - Compliance Profile
      - b.  Bear-EMC - Credit Profile
      - c.  Deal Memo
      - d.  Deal Stratification Summary
      - e.  Guidelines Approval Form-Sebring Capital 041006
      - f.  Sebring guideline variance with Bear-EMC 041006
      - g.  Sebring Subprime Guidelines
      - h.  Sebring\_Full\_Doc\_Matrix
      - i.  Sebring\_Stated\_Doc\_Matrix
    - 2.  Deal Tape
      - a.  DealTape
      - b.  DealTape
      - c.  DealTape
      - d.  DealTape.csv
    - 3.  Reports
      - a.  Sebring (SG0602) Clayton DD Data File 051906
      - b.  Sebring (SG0602) Clayton DD Data File 051906
      - c.  Sebring (SG0602) Clayton DD Data File 052206
      - d.  Sebring (SG0602) Clayton DD Data File 052206
      - e.  Sebring (SG0602) Clayton DD Data File 052206
      - f.  Sebring (SG0602) Clayton DD Data File 052206
      - g.  Sebring (SG0602) Clayton DD Data File 052206
      - h.  Sebring (SG0602) Clayton DD Data File 052206
      - i.  Sebring (SG0602) Clayton DD Data File 052306
      - j.  Sebring (SG0602) Clayton DD Data File 052306
      - k.  Sebring (SG0602) Clayton DD Data File 052406
      - l.  Sebring (SG0602) Clayton DD Data File 052406
      - m.  Sebring (SG0602) Clayton DD Data File 052506
      - n.  Sebring (SG0602) Clayton DD Data File 052506
      - o.  Sebring (SG0602) Clayton Due Diligence Issues 051906
      - p.  Sebring (SG0602) Clayton Due Diligence Issues 051906
      - q.  Sebring (SG0602) Clayton Due Diligence Issues 052206
      - r.  Sebring (SG0602) Clayton Due Diligence Issues 052206
      - s.  Sebring (SG0602) Clayton Due Diligence Issues 052206
      - t.  Sebring (SG0602) Clayton Due Diligence Issues 052206
      - u.  Sebring (SG0602) Clayton Due Diligence Issues 052206
      - v.  Sebring (SG0602) Clayton Due Diligence Issues 052206
      - w.  Sebring (SG0602) Clayton Due Diligence Issues 052306
      - x.  Sebring (SG0602) Clayton Due Diligence Issues 052306
      - y.  Sebring (SG0602) Clayton Due Diligence Issues 052406
      - z.  Sebring (SG0602) Clayton Due Diligence Issues 052406
      - aa.  Sebring (SG0602) Clayton Due Diligence Issues 052506
      - ab.  Sebring (SG0602) Clayton Due Diligence Issues 052506
      - ac.  Sebring (SG0602) IAS 1 Report 051906
      - ad.  Sebring (SG0602) IAS 1 Report 052206
      - ae.  Sebring (SG0602) IAS 1 Report 052206
      - af.  Sebring (SG0602) IAS 1 Report 052206
      - ag.  Sebring (SG0602) IAS 1 Report 052306

- ah.  Sebring (SG0602) IAS 1 Report 052406
- ai.  Sebring (SG0602) IAS 1 Report 052506
- aj.  Sebring (SG0602) IAS 2 Report 051906
- ak.  Sebring (SG0602) IAS 2 Report 052206
- al.  Sebring (SG0602) IAS 2 Report 052206
- am.  Sebring (SG0602) IAS 2 Report 052206
- an.  Sebring (SG0602) IAS 2 Report 052306
- ao.  Sebring (SG0602) IAS 2 Report 052406
- ap.  Sebring (SG0602) IAS 2 Report 052506
- aq.  Sebring (SG0602) IAS 3 Report 051906
- ar.  Sebring (SG0602) IAS 3 Report 052206
- as.  Sebring (SG0602) IAS 3 Report 052206
- at.  Sebring (SG0602) IAS 3 Report 052206
- au.  Sebring (SG0602) IAS 3 Report 052306
- av.  Sebring (SG0602) IAS 3 Report 052406
- aw.  Sebring (SG0602) IAS 3 Report 052506
- ax.  Sebring (SG0602) Production Report 051906
- ay.  Sebring (SG0602) Production Report 052206
- az.  Sebring (SG0602) Production Report 052206
- ba.  Sebring (SG0602) Production Report 052206
- bb.  Sebring (SG0602) Production Report 052306
- bc.  Sebring (SG0602) Production Report 052406
- bd.  Sebring (SG0602) Production Report 052506
- b.  Deutsche (Lenders direct - LNDR0609)
  - 1.  Deal Set Up
    - a.  1stMortgageUnderwritingGuidelines
    - b.  2ndMortgageUnderwritingGuidelines
    - c.  Deal Memo
    - d.  Deal Stratification Summary
    - e.  Deutsche - compliance profile
    - f.  Deutsche - credit profile
    - g.  Lenders \$33MM 09-05-06 - Bid Sheet to Clayton
    - h.  Lenders \$33MM 09-05-06 - Bid Sheet to Clayton
    - i.  Scope for Deutsche reviews Sept 06
    - j.  Thumbs
  - 2.  Deal Tape
    - a.  DealTape
    - b.  DealTape
    - c.  Lender's Direct \$33MM 09-05-06 - Collateral Sample
    - d.  Lender's Direct \$33MM 09-05-06 - Collateral Sample
    - e.  Lender's Direct \$33MM 09-05-06 - Payment History Review
    - f.  Lender's Direct \$33MM 09-05-06 - Payment History Review
    - g.  Lender's Direct \$33MM 09-05-06 -Inspection Order
    - h.  Lender's Direct \$33MM 09-05-06 -Inspection Order
  - 3.  Reports
    - a.  Daily Reports
      - 1.  LLDC20060824\_Incmp
      - 2.  LLDC20060824\_Incmp
      - 3.  LLDC20060831\_Incmp
      - 4.  LLDC20060831\_Incmp
    - b.  Final Reports
      - 1.  Exh 1 - Lenders \$33MM 9-5-06 Summary Results
      - 2.  Exh 1 - Lenders \$33MM 9-5-06 Summary Results
      - 3.  Exh 3 - Lenders \$33MM 09-05-06 - Data Discrepancies
      - 4.  Exh 3 - Lenders \$33MM 09-05-06 - Data Discrepancies
      - 5.  Exh 4 - Lenders \$33MM 09-05-06 - Final Rejects

























































- 6. Exh 4 - Lenders \$33MM 09-05-06 - Final Rejects
  - 7. Exh 4 - Lenders \$33MM 09-05-06 - Final Rejects from client
  - 8. Exh 4 - Lenders \$33MM 09-05-06 - Final Rejects from client
  - 9. Lenders \$33MM 09-05-06 - Open Issues 8.30.06(Tieout Call)
  - 10. Lenders \$33MM 09-05-06 - Open Issues 8.30.06(Tieout Call)
  - 11. Lenders \$33MM 09-05-06 - Street Solutions
  - 12. Lenders \$33MM 09-05-06 - Street Solutions
- c. Goldman (AAMES - AAM0606A)
- 1. Deal Set Up
    - a. Deal Memo.htm
    - b. Deal Stratification Summary
    - c. Goldman - compliance profile
    - d. Goldman - credit profile
  - 2. Deal Tape
    - a. Deal Tape
    - b. Deal Tape
  - 3. Reports
    - a. Daily Reports
      - 1. COMPUPLD
      - 2. COMPUPLD
      - 3. COMPUPLD
      - 4. COMPUPLD
      - 5. COMPUPLD
      - 6. COMPUPLD
      - 7. COMPUPLD
      - 8. COMPUPLD
      - 9. COMPUPLD
      - 10. COMPUPLD
      - 11. DataFrequency20060627
      - 12. DataFrequency20060628
      - 13. DataFrequency20060628
      - 14. DataFrequency20060629
      - 15. DataFrequency20060706
      - 16. ExceptionDetail20060627
      - 17. ExceptionDetail20060627
      - 18. ExceptionDetail20060628
      - 19. ExceptionDetail20060628
      - 20. ExceptionDetail20060628
      - 21. ExceptionDetail20060628
      - 22. ExceptionDetail20060629
      - 23. ExceptionDetail20060629
      - 24. ExceptionDetail20060703
      - 25. ExceptionDetail20060703
      - 26. ExceptionDetail20060706
      - 27. ExceptionDetail20060706
      - 28. GSSummary
      - 29. GSSummary
      - 30. GSSummary
      - 31. GSSummary
      - 32. GSSummary
      - 33. GSSummary
      - 34. GSSummary
      - 35. GSSummary
      - 36. GSSummary
      - 37. GSSummary
      - 38. GSUPLD

























































39.  GSUPLD
40.  GSUPLD
41.  GSUPLD
42.  GSUPLD
43.  GSUPLD
44.  GSUPLD
45.  GSUPLD
46.  GSUPLD
47.  GSUPLD
48.  IASEV320060627
49.  IASEV320060628
50.  IASEV320060628
51.  IASEV320060629
52.  IASEV320060703
53.  IASEV320060706
54.  LLDC20060627\_Incmp
55.  LLDC20060627\_Incmp
56.  LLDC20060628\_Incmp
57.  LLDC20060628\_Incmp
58.  LLDC20060628\_Incmp
59.  LLDC20060628\_Incmp
60.  LLDC20060629\_Incmp
61.  LLDC20060629\_Incmp
62.  LLDC20060706\_Incmp
63.  LLDC20060706\_Incmp
64.  LoanLevelDC20060627
65.  LoanLevelDC20060628
66.  LoanLevelDC20060628
67.  LoanLevelDC20060629
68.  LoanLevelDC20060706
69.  LoanStatus20060627
70.  LoanStatus20060627
71.  LoanStatus20060628
72.  LoanStatus20060628
73.  LoanStatus20060628
74.  LoanStatus20060628
75.  LoanStatus20060629
76.  LoanStatus20060629
77.  LoanStatus20060706
78.  LoanStatus20060706
79.  LoanStatus20060711
80.  LoanStatus20060711
81.  SuperSummary20060627
82.  SuperSummary20060628
83.  SuperSummary20060628
84.  SuperSummary20060629
85.  SuperSummary20060706
86.  TapeCompareField20060627
87.  TapeCompareField20060628
88.  TapeCompareField20060628
89.  TapeCompareField20060629
90.  TapeCompareField20060706
91.  XLFrequency
92.  XLFrequency
93.  XLFrequency

- 94.  XLFrequency
- 95.  XLFrequency
- 96.  XLFrequency
- 97.  XLFrequency
- 98.  XLFrequency
- 99.  XLFrequency
- 100.  XLFrequency
- 101.  XLTapeComp
- 102.  XLTapeComp
- 103.  XLTapeComp
- 104.  XLTapeComp
- 105.  XLTapeComp
- 106.  XLTapeComp
- 107.  XLTapeComp
- 108.  XLTapeComp
- 109.  XLTapeComp
- 110.  XLTapeComp
- b.  Final Reports
  - 1.  COMPUPLD
  - 2.  COMPUPLD
  - 3.  DataFrequency20060711
  - 4.  ExceptionDetail20060711
  - 5.  ExceptionDetail20060711
  - 6.  ExceptionDetail20060711\_03
  - 7.  ExceptionDetail20060711\_03
  - 8.  GSSummary
  - 9.  GSSummary
  - 10.  GSUPLD
  - 11.  GSUPLD
  - 12.  IASEV320060711
  - 13.  LLDC20060711\_Incmp
  - 14.  LLDC20060711\_Incmp
  - 15.  LoanLevelDC20060711
  - 16.  LoanStatus20060711
  - 17.  LoanStatus20060711
  - 18.  SuperSummary20060711
  - 19.  TapeCompareField20060711
  - 20.  XLFrequency
  - 21.  XLFrequency
  - 22.  XLTapeComp
  - 23.  XLTapeComp
- d.  Lehman (Option One - OPT6-7)
  - 1.  Deal Set Up
    - a.  Deal Memo
    - b.  Deal Stratification Summary
    - c.  Lehman Brothers - compliance profile
    - d.  Lehman Brothers - credit profile
    - e.  Lehman Deal Packet For Leads
    - f.  Lehman Option One Deal Specific Guidelines
  - 2.  Deal Tape
    - a.  Deal Tape
    - b.  Deal Tape
  - 3.  Reports
    - a.  Daily Reports
      - 1.  2006-06-30
        - a.  Event1& 2List

























































- b.  Event1& 2List
  - c.  Event3List
  - d.  Event3List
  - e.  IASRCM320060630
  - f.  IASCREV120060630
  - g.  LLDC20060630\_Incmp
  - h.  LLDC20060630\_Incmp
  - i.  LoanLevelDC20060630
  - j.  Thumbs
2.  2006-07-03
- a.  Event1& 2List
  - b.  Event1& 2List
  - c.  Event3List
  - d.  Event3List
  - e.  IASRCM320060703
  - f.  IASCREV120060703
  - g.  IASCREV220060703
  - h.  LLDC20060703\_Incmp
  - i.  LLDC20060703\_Incmp
  - j.  LoanLevelDC20060703
3.  2006-07-05
4.  2006-07-06
- a.  Event1& 2List
  - b.  Event1& 2List
  - c.  Event3List
  - d.  Event3List
  - e.  IASRCM320060706
  - f.  IASCREV120060706
  - g.  IASCREV220060706
  - h.  LLDC20060706\_Incmp
  - i.  LLDC20060706\_Incmp
  - j.  LoanLevelDC20060706
5.  2006-07-07
- a.  Event1& 2List
  - b.  Event1& 2List
  - c.  Event3List
  - d.  Event3List
  - e.  IASRCM320060707
  - f.  IASCREV120060707
  - g.  IASCREV220060707
  - h.  LLDC20060707\_Incmp
  - i.  LLDC20060707\_Incmp
  - j.  LoanLevelDC20060707
6.  2006-07-10
- a.  Event 1& 2
  - b.  Event 1& 2
  - c.  Event3
  - d.  Event3
  - e.  FicoAprCompare
  - f.  FicoAprCompare
  - g.  IASRCM320060710
  - h.  IASCREV120060710
  - i.  IASCREV220060710
  - j.  LLDC20060710\_Incmp
  - k.  LLDC20060710\_Incmp
  - l.  LoanLevelDC20060710



















































































































7.  2006-07-13
  - a.  Event1& 2List
  - b.  Event1& 2List
  - c.  Event1& 2List.xls
  - d.  Event1& 2List.xls
  - e.  Event3List
  - f.  Event3List
  - g.  Event3List.xls
  - h.  Event3List.xls
  - i.  IASRCM320060713
  - j.  IASCREV120060713
  - k.  IASCREV220060713
  - l.  LLDC20060713\_Incmp
  - m.  LLDC20060713\_Incmp
  - n.  LoanLevelDC20060713
8.  2006-07-14
  - a.  Event1& 2List
  - b.  Event1& 2List
  - c.  Event3List
  - d.  Event3List
  - e.  IASRCM320060714
  - f.  IASCREV120060714
  - g.  IASCREV220060714
  - h.  LLDC20060714\_Incmp
  - i.  LLDC20060714\_Incmp
  - j.  LoanLevelDC20060714
9.  2006-07-17
10.  2006-07-18
  - a.  Event1& 2List
  - b.  Event1& 2List
  - c.  Event3List
  - d.  Event3List
  - e.  IASRCM320060718
  - f.  IASCREV120060718
  - g.  IASCREV220060718
  - h.  LLDC20060718\_Incmp
  - i.  LLDC20060718\_Incmp
  - j.  LoanLevelDC20060718
11.  2006-07-19
  - a.  Event1& 2List
  - b.  Event1& 2List
  - c.  Event3List
  - d.  Event3List
  - e.  IASRCM320060719
  - f.  IASCREV120060719
  - g.  IASCREV220060719
  - h.  LLDC20060719\_Incmp
  - i.  LLDC20060719\_Incmp
  - j.  LoanLevelDC20060719
12.  2006-07-20
  - a.  Event1& 2List
  - b.  Event1& 2List
  - c.  Event3List
  - d.  Event3List
  - e.  IASCREV120060720
  - f.  IASCREV220060720
























































- g.  LLDC20060720\_Incmp
- h.  LLDC20060720\_Incmp
- i.  LoanLevelDC20060720
- 13.  2006-07-24
  - a.  Event1& 2List
  - b.  Event1& 2List
  - c.  Event1& 2List.xls
  - d.  Event3List
  - e.  Event3List
  - f.  Event3List.xls
  - g.  Event3List.xls
  - h.  IASRCM320060724
  - i.  IASCREV120060724
  - j.  IASCREV220060724
  - k.  LLDC20060724\_Incmp
  - l.  LLDC20060724\_Incmp
  - m.  LoanLevelDC20060724
- 14.  2006-07-25
  - a.  Event1& 2List
  - b.  Event1& 2List
  - c.  Event3List
  - d.  Event3List
  - e.  IASRCM320060725
  - f.  IASCREV120060725
  - g.  IASCREV220060725
  - h.  LLDC20060725\_Incmp
  - i.  LLDC20060725\_Incmp
  - j.  LoanLevelDC20060725
- 15.  2006-07-26
  - a.  Event1& 2List
  - b.  Event1& 2List
  - c.  Event3List
  - d.  Event3List
  - e.  IASRCM320060726
  - f.  IASCREV120060726
  - g.  IASCREV220060726
  - h.  LLDC20060726\_Incmp
  - i.  LLDC20060726\_Incmp
  - j.  LoanLevelDC20060726
- 16.  2006-07-27
  - a.  Event1& 2List
  - b.  Event1& 2List
  - c.  Event3List
  - d.  Event3List
  - e.  IASRCM320060727
  - f.  IASCREV120060727
  - g.  IASCREV220060727
  - h.  LLDC20060727\_Incmp
  - i.  LLDC20060727\_Incmp
  - j.  LoanLevelDC20060727
- 17.  2006-08-03
  - a.  ExceptionDetail20060803
  - b.  ExceptionDetail20060803
  - c.  IASCREV120060803
  - d.  IASCREV220060803
  - e.  Thumbs
























































- 18. Moody's Upload 2006-08-22
  - a. LEHMAN\_OPT6-7\_MOODY5
  - b. LEHMAN\_OPT6-7\_MOODY5
- b. Final Reports
  - 1. fees\_report\_20060803
  - 2. fees\_report\_20060803
  - 3. Final Event 3 List
  - 4. Final Event 3 List
  - 5. Frequency20060803
  - 6. IAS Credit 1s
  - 7. IAS Credit 2s
  - 8. IAS Credit or Compliance Event 3s
  - 9. Narrative-Option One 2006-7
  - 10. SuperSummary20060803
  - 11. TapeCompareField20060803
  - 12. Upload
  - 13. Upload
- e. Morgan Stanley (Accredited - ACC0608)
  - 1. Deal Set Up
    - a. 07\_01\_06\_Guidelines
    - b. Deal Memo
    - c. Deal Stratification Summary
    - d. Lead Deal Packet MS (06-27-07)
    - e. morgan stanley - compliance profile
  - 2. Deal Tape
    - a. Deal Tape
    - b. Deal Tape
    - c. Deal Tape
    - d. Deal Tape.csv
  - 3. Reports
    - a. 2006-07-19 Daily
      - 1. allexcp
      - 2. allexcp
    - b. 2006-08-14 Daily
      - 1. Acc Loan Level Data compare (08-14-06)
      - 2. Acc Loan Level Data compare.xls (08-14-06)
      - 3. Accredited Credit Upload (08-14-06)
      - 4. Accredited Credit Upload (08-14-06)
      - 5. Accredited Credit Upload (08-14-06)
      - 6. Accredited Detailed Upload (08-14-06)
      - 7. Accredited Detailed Upload (08-14-06)
      - 8. Accredited Detailed Upload (08-14-06)
      - 9. Accredited Event 3's (08-14-06)
      - 10. Accredited Freq Compare (08-14-06).pdf
    - c. 2006-08-17 Daily
      - 1. Accredited Credit Upload (08-17-06)
      - 2. Accredited Credit Upload (08-17-06)
      - 3. Accredited Detailed Upload (08-17-06)
      - 4. Accredited Detailed Upload.XLS (08-17-06)
      - 5. Accredited Event 3's (08-17-06)
      - 6. Accredited Event 3's (08-17-06)
      - 7. Detail Upload
      - 8. Detail Upload
    - d. 2006-08-21 Daily
      - 1. Acc Loan Level Data Compare (08-21-06)
      - 2. Acc Loan Level Data Compare.xls (08-21-06)
      - 3. Daily Event3

- 4.  Daily Event3
- 5.  Daily Upload
- 6.  Daily Upload
- e.  2006-08-30 Final
  - 1.  AC1150~1
  - 2.  AC134C~1
  - 3.  AC19CC~1
  - 4.  AC24CC~1
  - 5.  AC49B5~1
  - 6.  AC5161~1
  - 7.  AC5642~1
  - 8.  AC5734~1
  - 9.  AC67C2~1
  - 10.  ACCRED~1
  - 11.  ACCRED~1
  - 12.  ACCRED~2
  - 13.  ACCRED~2
  - 14.  ACCRED~3
  - 15.  ACCRED~3
  - 16.  ACCRED~4
  - 17.  ACCRED~4
  - 18.  ACCRED~8
  - 19.  ACD8BB~1
  - 20.  ACD9B6~1
  - 21.  ACE5A8~1
  - 22.  ACE8B1~1
  - 23.  ACE93C~1
  - 24.  ACEABD~1
  - 25.  ACF83C~1
  - 26.  Daily Event3 08-25-06
  - 27.  Daily Event3 08-25-06
  - 28.  DTI60
  - 29.  DTI60
  - 30.  Full Upload
  - 31.  Full Upload
  - 32.  HMDA Upload
  - 33.  HMDA Upload
  - 34.  Less 500
  - 35.  Less 500
  - 36.  Short Upload
  - 37.  Short Upload
- f.  2006-09-08 Final
  - 1.  ACC August Detailed Upload
  - 2.  ACC August Detailed Upload
  - 3.  Daily Event3
  - 4.  Daily Event3
  - 5.  Daily Upload
  - 6.  Daily Upload
  - 7.  Detailed Upload
  - 8.  Detailed Upload
  - 9.  Loan\_Disposition
  - 10.  Loan\_Disposition
- 9.  Deutsche Bank Final Reports
  - a.  CTWDE 0412
    - 1.  (12\_1) CWALT 2004-30CB Due Dligence OV complete tape
    - 2.  (12\_1) CWALT 2004-30CB Due Dligence OV complete tape







3.  Countrywide 2004-30CB 12-04 - Actual Sample Reviewed
  4.  Countrywide 2004-30CB 12-04 - Actual Sample Reviewed
  5.  Engagement Letter - Countrywide 2004-30CB Dec 04
  6.  Exh 1 - Ctwdc 2004-30CB Dec 04 - Summary Results
  7.  Exh 1 - Ctwdc 2004-30CB Dec 04 - Summary Results
  8.  Exh 4 - Countrywide 2004-30CB 12-04 - Final Rejects
  9.  Exh 4 - Countrywide 2004-30CB 12-04 - Final Rejects
- b.  DEU-CTWDE 0509c
1.  compliance profile\_deutsche\_08-05-2005\_2
  2.  COU \$528MM Sept 05C - Open Issues 10-5-2005
  3.  COU \$528MM Sept 05C - Open Issues 10-5-2005
  4.  COU \$528MM Sept 05C - Spreadsheet
  5.  COU \$528MM Sept 05C - Spreadsheet
  6.  Countrywide \$528MM Sept 05C - Event 3 LoansPDF
  7.  Countrywide \$528MM September 05C - Narrative
  8.  Exh 1 - Countrywide \$528MM Sept 05C - Summary Results
  9.  Exh 1 - Countrywide \$528MM Sept 05C - Summary Results
  10.  Exh 3 - COU \$528MM Sept 05C - Data Discrepancies
  11.  Exh 3 - COU \$528MM Sept 05C - Data Discrepancies
  12.  Exh 4 - COU \$528MM Sept 05C - Final Rejects
  13.  Exh 4 - COU \$528MM Sept 05C - Final Rejects
- c.  DEU-FRE 0406
1.  Exh 1 - Fremont \$1B June 06 - Summary Results
  2.  Exh 1 - Fremont \$1B June 06 - Summary Results
  3.  Exh 3 - Fremont \$1B June 06 - Data Discrepancies
  4.  Exh 3 - Fremont \$1B June 06 - Data Discrepancies
  5.  Exh 4 - Fremont \$1B June 06 - Final Rejects
  6.  Exh 4 - Fremont \$1B June 06 - Final Rejects
  7.  Fremont \$1B 06-29-06 Pay Histories Worksheet2
  8.  Fremont \$1B 06-29-06 Pay Histories Worksheet2
  9.  Fremont \$1B Final Value Issues (6-23)
  10.  Fremont \$1B Final Value Issues (6-23)
  11.  Fremont \$1B June 06 - Street Solutions Upload
  12.  Fremont \$1B June 06 - Street Solutions Upload
- d.  DEU-GRNPT 0602A
1.  Exh 1 - Greenpoint \$510MM HELOC Feb 06 - Summary Results
  2.  Exh 1 - Greenpoint \$510MM HELOC Feb 06 - Summary Results
  3.  Exh 3 - GreenPoint \$510MM HELOC Feb 06 - Data Discrepancies
  4.  Exh 3 - GreenPoint \$510MM HELOC Feb 06 - Data Discrepancies
  5.  Exh 4 - Greenpoint \$510MM HELOC Feb06 - Final Rejects
  6.  Exh 4 - Greenpoint \$510MM HELOC Feb06 - Final Rejects
  7.  GreenPoint \$424MM Feb 06A - Street Solutions
  8.  GreenPoint \$424MM Feb 06A - Street Solutions
  9.  Greenpoint \$510MM HELOCs February 06 - Narrati
- e.  DEU-NCEN 0505
1.  Exh 1 - NCEN \$1BMM May 05 - Summary Results
  2.  Exh 1 - NCEN \$1BMM May 05 - Summary Results
  3.  Exh 3 - NCEN \$1B May 05 - Data Discrepancies
  4.  Exh 3 - NCEN \$1B May 05 - Data Discrepancies
  5.  Exh 4 - NCEN \$1B May 05 - Final Rejects
  6.  Exh 4 - NCEN \$1B May 05 - Final Rejects
  7.  NCEN \$1B July 05 - Narrative
  8.  NCEN \$1B May 05 - Side Letter Loans
  9.  NCEN \$1B May 05 - Side Letter Loans
- f.  IndyMac 2007-02 DEU
1.  Exh 1 - IndyMac \$325MM 03-23-07 - Summary Results











































2.  Exh 1 - IndyMac \$325MM 03-23-07 - Summary Results
3.  Exh 3 - IndyMac \$325MM 02-28-07 - Data Discrepancies
4.  Exh 3 - IndyMac \$325MM 02-28-07 - Data Discrepancies
5.  Exh 4 - IndyMac \$325MM 02-28-07 - Final Rejects - Revised
6.  Exh 4 - IndyMac \$325MM 02-28-07 - Final Rejects - Revised
7.  IndyMac \$325MM 02-28-07 - Loan Disposition Report - Revised
8.  IndyMac \$325MM 02-28-07 - Loan Disposition Report - Revised
9.  IndyMac \$325MM 02-28-07 - Street Solutions Upload - Revised
10.  IndyMac \$325MM 02-28-07 - Street Solutions Upload - Revised
11.  IndyMac \$325MM 02-28-07 (02\_26) Additional Rebuttal Results
12.  IndyMac \$325MM 02-28-07 (02\_26) Additional Rebuttal Results
13.  IndyMac \$325MM 02-28-07 Pay History Results
14.  IndyMac \$325MM 02-28-07 Pay History Results
- g.  Option One 2006-06 DEU
  1.  Copy of Copy of Copy of OPTIONONEPAYHSTRY062506-A2
  2.  Copy of Copy of Copy of OPTIONONEPAYHSTRY062506-A2
  3.  Exh 1 - Option One \$1B July 06 - Summary Results
  4.  Exh 1 - Option One \$1B July 06 - Summary Results
  5.  Exh 3 - Option One \$1B July 06 - Data Discrepancies
  6.  Exh 3 - Option One \$1B July 06 - Data Discrepancies
  7.  Exh 4 - Option One \$1B July 06 - Final Rejects
  8.  Exh 4 - Option One \$1B July 06 - Final Rejects
  9.  OOMC \$1B (7-14) Rebuttal Final
  10.  OOMC \$1B (7-14) Rebuttal Final
  11.  Option One \$1B July 06 - Street Solutions Upload
  12.  Option One \$1B July 06 - Street Solutions Upload
- h.  PHH 2007-04 DEU
  1.  Exh 4 - PHHAM 2007-2 March 07 - Final Rejects
  2.  Exh 4 - PHHAM 2007-2 March 07 - Final Rejects
10.  Greenwich
  - a.  GREW GREW - OOMC 0605 Production Report
11.  Lead Resumes
  - a.  Abaray, Michael
  - b.  Abbott, Jennifer
  - c.  Alailima, Taiyoung
  - d.  Alexander, H Roger
  - e.  Allen, Doug
  - f.  Allen, Thomas
  - g.  Alongi, Robert
  - h.  Alvarez, A Jose
  - i.  Amando, Gwen
  - j.  Andrews, Stephen
  - k.  Aquino, Caroline
  - l.  Baley, M Jennifer
  - m.  Barden, Linda
  - n.  Barham, Mary
  - o.  Barmore, Gary
  - p.  Bartay, Gregory
  - q.  Beal, Grant
  - r.  Beal, Herbert Grant (Trey)
  - s.  Beckius, Cory
  - t.  Beecher, Steve
  - u.  Blair, Eric
  - v.  Borrego, JoAnn
  - w.  Bosman, Richelle
  - x.  Bosman, S Richard


























































y.  Boyer, L Mark  
z.  Breaux, Deborah  
aa.  Brichetto, John  
ab.  Briggs, Annetta  
ac.  Brummer, Janet  
ad.  Burt, James  
ae.  Burt, Melanie  
af.  Burwin, Jerold  
ag.  Calderon, Calderon  
ah.  Calfior, Susan  
ai.  Callahan, Melody  
aj.  Callans, Karen  
ak.  Calloway, Michael  
al.  Camoia, Paul  
am.  Campfield, Junie Benjamin  
an.  Caravetta, Joe  
ao.  Carr, Tremayne  
ap.  Cascio III, Victor  
aq.  Clarkson, James  
ar.  Claypoole, Sherri  
as.  Clayton, Kara  
at.  Clemence, Douglas  
au.  Cochrane, Peggy  
av.  Cole, Lana  
aw.  Collins, J Marcia  
ax.  Conn, Sherrie  
ay.  Conway, James  
az.  Daley, Michelle  
ba.  Dallman, Paul  
bb.  Day, C Marshall  
bc.  DeCent, Joyce  
bd.  Dedeaux, Corinne  
be.  DeGood, Andrew  
bf.  DeLaVergne, R Michael  
bg.  Della Pietra, Richard  
bh.  Dickson, Jane Y  
bi.  DiGesare, Matthew  
bj.  Dinsmore, Brian  
bk.  Dooley, C Sharon  
bl.  Dotson, Joyce  
bm.  Dunkin, Rebecca  
bn.  Duplantis, Daniel  
bo.  Durant, Christina  
bp.  Ethun, Stefanie  
bq.  Evans, Lynda  
br.  Evans, M Jocelyn  
bs.  Forgit, Donald  
bt.  Fox, Ralph  
bu.  Frazier, Christopher  
bv.  Freeney, Lori  
bw.  Fricke, James R  
bx.  Frigo, Michelle  
by.  Fullington, O Wayne  
bz.  Gaveglio, Debra  
ca.  Gentry, Kris




























cb.  Gibson, Stacie  
cc.  Giesen III, Bernard  
cd.  Grehalva, Troy  
ce.  Griffin, April  
cf.  Grigbsy, Mike  
cg.  Grimes, Nancy  
ch.  Haack, Michael  
ci.  Hall, Andrew  
cj.  Hall, Nell  
ck.  Hand, Susan  
cl.  Harbaugh, David  
cm.  Harker, Susan  
cn.  Harris, Te'nda  
co.  Harvey, Scott  
cp.  Hastings, Valerie  
cq.  Helin, Marilyn  
cr.  Henderson, Shelley  
cs.  Hewitt, Calvin  
ct.  Higginbotham, Kara  
cu.  Hollingsworth, Jennifer  
cv.  Holtz, Brett  
cw.  Hoven, Doris  
cx.  Hutchinson, John  
cy.  Jajtner, Jim  
cz.  Jakubowski, Richard  
da.  James, A Troy  
db.  Jessup, James  
dc.  Jones, Margaret  
dd.  Kelley, M. Alice  
de.  Kenton, Susanna  
df.  Kertzner, Barry  
dg.  Khennavong, James  
dh.  King, Cindy  
di.  Kirkland, Gloria  
dj.  Kluk, Paula Ana  
dk.  Kohlbek, Edmund  
dl.  Kohlbek, Pamela  
dm.  Krauss, Larry  
dn.  Kula, Richard  
do.  Lacy, Timothy  
dp.  Lerma, Manuel  
dq.  Lesnefsky, Linda  
dr.  Longinotti, Joseph  
ds.  Luedtke, Katherine  
dt.  Luttenberger, Jason  
du.  Mack, Jeffrey  
dv.  Mahon, Carol  
dw.  Maitland, William  
dx.  Mango, Joanne  
dy.  Manzur-Peek, Lynda  
dz.  Marcum, Cathy  
ea.  Markham, Jennifer  
eb.  Marston, Karen  
ec.  Martinez, M Theresa  
ed.  Massaro, Sandra
























































ee.  Massey, Keenan  
ef.  Matteo, Thomas  
eg.  May, Stephen  
eh.  McCann, Grant  
ei.  McCoy, Michael  
ej.  McCullough Jr, D William  
ek.  McDuell, Janell  
el.  McLean, Vermerica  
em.  McManus, John  
en.  Medina, Deborah  
eo.  Merrill, Prabhawati  
ep.  Metzger, Lee  
eq.  Mixan, Gary  
er.  Moreaux, Paul  
es.  Morgan, L Gregory  
et.  Morici, Richard  
eu.  Morlatt, Karen  
ev.  Morris, Bethany  
ew.  Murray, Joseph  
ex.  Myers, Charlene  
ey.  Norkiewicz, Leo  
ez.  Novak, Roberta  
fa.  Ogrin, Theresa  
fb.  O'Looney, Jenifer  
fc.  Oppermann, Alycn  
fd.  Osborn, Cheryl  
fe.  Owen, Harrison  
ff.  Paczkowski, Thomas  
fg.  Paxton, William  
fh.  Pearson, J Linda  
fi.  Peat, Adam  
fj.  Peat, Sarah  
fk.  Peek, Edward  
fl.  Peralta, Anrico  
fm.  Pesicka, Donna  
fn.  Petersen, Calvin  
fo.  Petersen, E Jacob  
fp.  Petersen, Rodney  
fq.  Phillips, Felicia  
fr.  Piety, Nancy  
fs.  Pinero, Daniel  
ft.  Plavecki, Dawn  
fu.  Radabaugh, Carrie  
fv.  Rader, Peter Gregory  
fw.  Rand, Anthony  
fx.  Rand, Katie  
fy.  Rankin, Catherine  
fz.  Richards, T Jermaine  
ga.  Ricketts, Jerry  
gb.  Rider, Robert  
gc.  Rivera, Robert  
gd.  Robbins, Kathleen  
ge.  Rogers, J Barbara  
gf.  Romero-Gordon, Elba  
gg.  Rowell, Valerie


























































gh.  Rudolph, P Gregory  
gi.  Saenz, Denise  
gj.  Sandlin, Stacey  
gk.  Santana, Matona  
gl.  Santaniello, Robert  
gm.  Sasnett, Teresa  
gn.  Scampoli, Christopher  
go.  Schley, Charles  
gp.  Sciarretti, Albert  
gq.  Shepherd, Rebecca  
gr.  Sherer, K Lynn  
gs.  Sherman, Tammy  
gt.  Sipe, D John  
gu.  Skeen, Holly  
gv.  Smiley, Karen  
gw.  Smisck, Alex  
gx.  Smith, Carlene  
gy.  Smith, Mindy  
gz.  Spadacino, Michael  
ha.  Spagna, Scott  
hb.  Sparrow, Kimberly  
hc.  Spearman, L Christina  
hd.  Spears, Terry  
he.  Spoerle, LuciAnn  
hf.  Stewart, Maureen  
hg.  Stong, Jared  
hh.  Stott, Blaine  
hi.  Sturgill, Bill  
hj.  Taylor, Debra  
hk.  Taylor, Madonna  
hl.  Tombari, Michelle  
hm.  Tooraen, Alan  
hn.  Trinh, Huy  
ho.  Tripp, Stephen  
hp.  Twombly, William  
hq.  Van Houtte, George  
hr.  Van Houtte, Timothy  
hs.  Vitrano, Barbara  
ht.  Voll, Heather  
hu.  Wade-Smith, Charlene  
hv.  Wagner, W George  
hw.  Waldron, Richard  
hx.  Walker, Barbara  
hy.  Ward, Steven  
hz.  Washington, Lester  
ia.  Waterman, Anne  
ib.  Weirbach, C Dawn  
ic.  Welling, Heidi  
id.  Wells, Michael  
ie.  Welty, L Sarah  
if.  Wetuski, Keith  
ig.  Williams Jr, D Gerald  
ih.  Winn, Timothy  
ii.  Wright, Merriann  
ij.  Zuk, Zina

- ik.  Zysk, Dana
- il.  Zysk, Michelle
- 12.  Lehman
  - a.  INDY MAC 2006 09C LEH Production Report
  - b.  LEH - 1ST FRANK 2004-1-2 Production Report
  - c.  LEH - CW 2006-11 (CU) Pool B Production Reports
  - d.  LEH - CW 2006-11 (CU) Production Report
  - e.  LEH - LONG BEACH 2004-2 Production Report
  - f.  LEH - SUNTRUST 2004-1& 2 Production Report1
  - g.  LEH - SUNTRUST 2004-1& 2 Production Report2
  - h.  LEH - WELLS FARGO 2006-5 Production Report
  - i.  LEHA - MERCANTILE 2004-1 Production Report
  - j.  Wilmington Finance 2006-06 Add ons Production Report
  - k.  Wilmington Finance 2006-06 Production Report
- 13.  Merrill
  - a.  MEL - 1NLC0605 Production Report
  - b.  MEL - ACC0508 Production Report
  - c.  MEL - AMQ0601 Production Report
  - d.  MEL - DEC10411 Production Report
  - e.  MEL - FF0510 Production Report
  - f.  MEL - OWN0507 (CU) Production Report
  - g.  MEL - WMC0512NY Production Report
  - h.  Mortgage Lenders Network 2006-08 Merrill 1 Production Report
  - i.  RFC 2007-01 Merrill Production Report
  - j.  TBD MERRILL 2006-09 MEL Production Report
- 14.  Rating Agency Production
  - a.  1 Overview Documents
    - 1.  Appendix 1 to Overview Memo
      - a.  CLAY-0027150\_S&P Subprime Standards
      - b.  CLAY-0027336\_SP Doc Type Instr
      - c.  CLAY-0027337\_spLEVELS 5.6 Data Quality Manual
      - d.  CLAY-0027391\_spLEVELS 5.6 File Format Release Notes
      - e.  CLAY-0027394\_spLEVELS 5.6 File Format
    - 2.  Appendix 2 to Overview Memo
      - a.  CLAY-0027401\_2 - Moodys Data Capture
    - 3.  Appendix 3 to Overview Memo
      - a.  CLAY--~29
      - b.  CLAY--~2F
      - c.  CLAY--~35
      - d.  CLAY--~40
      - e.  CLAY--~45
      - f.  CLAY-0~C
      - g.  CLAY-0027408\_April 2007 - Moody\_s revised data field requirements
      - h.  CLAY-0027409\_Moody's revised data field requirements Apr07
      - i.  CLAY-0027416\_Moody's Residential Loan-by-Loan Layout
      - j.  CLAY-0027432\_February 2005 - Moodys Rating Screen
      - k.  CLAY-0027433\_Moodys Rating Screen
      - l.  CLAY-0027435\_June 2006 - Moody\_s Coding Prime and AltA docs
      - m.  CLAY-0027436\_Moody's Coding Prime and AltA docs 6-15-06
      - n.  CLAY-0027473\_Moody's Approach to Documentation Programs
      - o.  CLAY-0027483\_November 2006 - Moodys Data Capture
      - p.  CLAY-0027484\_Moodys Data Capture
    - 4.  CLAY-0027145\_Rating Agency Overview
    - 5.  CLAY-0027148\_Report descriptions
  - b.  2 Rating Agency Meetings
    - 1.  Fitch
      - a.  CLAY-0027696\_11-09-07\_ Fitch

- b.  CLAY-0027738\_11-30-06\_Fitch\_Clayton Overview UK
- 2.  Moody's
  - a.  CLAY-0027494\_1 - Moodys Rating Screen
  - b.  CLAY-0027496\_10 - InFront 7 31 07 with Servicer IDs
  - c.  CLAY-0027517\_10.1 - Trending Reports v3 Mock-Up-Draft
  - d.  CLAY-0027536\_2 - Moodys Data Capture
  - e.  CLAY-0027543\_3 - Moody\_s Information Screen
  - f.  CLAY-0027544\_3.1 - Moody\_s revised EXPANDED data field requirements Jun07
  - g.  CLAY-0027546\_4 - Moodys Clayton Overview\_Moodys\_011107
  - h.  CLAY-0027611\_4.1 - Addendum C - Clayton Default Profile
  - i.  CLAY-0027624\_4.2 - Addendum C - Client Credit profile document 010307 (6)
  - j.  CLAY-0027628\_4.3 - Addendum D - 06 19 06\_Sample\_IAS
  - k.  CLAY-0027632\_4.4 - Addendum D - 06 19 06\_Sample\_LoanLevelDataCompare
  - l.  CLAY-0027635\_5 - Moodys\_High Cost Presentation
  - m.  CLAY-0027674\_6 - Sample Loan Disposition Summary Reports
  - n.  CLAY-0027674\_6 - Sample Loan Disposition Summary Reports
  - o.  CLAY-0027675\_6.1 - Sample Super Summary 6 28 06
  - p.  CLAY-0027681\_6.2 - Sample Loan Level Tape Comparison Report
  - q.  CLAY-0027684\_7 - Proposed Language
  - r.  CLAY-0027685\_8 - Copy of Moodys\_Scrlmage
  - s.  CLAY-0027685\_8 - Copy of Moodys\_Scrlmage
  - t.  CLAY-0027686\_9 - Clayton Intercept Slip Sheet
  - u.  CLAY-0027687\_9.1 - InterCept Dashboards0808 (3)
  - v.  CLAY-0027688\_Index & Intro Doc
  - w.  CLAY-0027692\_Intro Doc
- 3.  S and P
  - a.  CLAY-0027769\_S and P 10-10-07\_v1.0
- 4.  CLAY-0027491\_Chronology of Meetings
- c.  Bear
  - 1.  Compliance Letters\_ Dominion Example
  - 2.  Compliance Letters\_ Fitch Example
  - 3.  Final Report Set Example
    - a.  CLAY-0027786\_Taylor Bean 07-0338 WL (TB07A9) Production Report 073007
    - b.  CLAY-0027790\_Taylor Bean 07-0338 WL (TB07A9) Clayton Due Diligence Issues 073007
    - c.  CLAY-0027790\_Taylor Bean 07-0338 WL (TB07A9) Clayton Due Diligence Issues 073007
    - d.  CLAY-0027791\_Taylor Bean 07-0338 WL (TB07A9) IAS 1 Report 073007
    - e.  CLAY-0027846\_Taylor Bean 07-0338 WL (TB07A9) IAS 2 Report 073007
    - f.  CLAY-0027850\_Taylor Bean 07-0338 WL (TB07A9) IAS 3 Report 073007
    - g.  CLAY-0027872\_Taylor Bean 07-0338 WL (TB07A9) Loan Disposition Report 073007
    - h.  CLAY-0027872\_Taylor Bean 07-0338 WL (TB07A9) Loan Disposition Report 073007
    - i.  CLAY-0027873\_Bear Stearns - 2W to 4 Mapping
    - j.  CLAY-0027873\_Bear Stearns - 2W to 4 Mapping
    - k.  CLAY-0027874\_Taylor Bean 07-0338 WL (TB07A9) Clayton DD Data Upload
    - l.  CLAY-0027874\_Taylor Bean 07-0338 WL (TB07A9) Clayton DD Data Upload
  - 4.  Moody's Scenario 1
    - a.  Correspondence
    - b.  Reports
  - 5.  Moody's Scenario 2
    - a.  Correspondence
    - b.  Reports
  - 6.  Moody's Scenario 3
    - a.  Correspondence
    - b.  Reports


























































- 7. Moody's Scenario 4
  - a. Correspondence
  - b. Reports
- 8. Moody's Scenario 5
  - a. Correspondence
    - 1. CLAY-0027875\_New Fields
- 9. Moody's Scenario 6
  - a. Correspondence
  - b. Reports
- 10. Moody's Scenario 7
  - a. Correspondence
  - b. Reports
- 11. S&P Scenario 8
  - a. Correspondence
  - b. Reports
- d. C-Bass
  - 1. Compliance Letters\_ Dominion Example
  - 2. Compliance Letters\_ Fitch Example
  - 3. Final Report Set Example
    - a. CLAY-0027877\_MAC\_UPLOAD
    - b. CLAY-0027877\_MAC\_UPLOAD
    - c. CLAY-0027878\_ExceptionDetail
    - d. CLAY-0027878\_ExceptionDetail
    - e. CLAY-0027879\_IASEV320070319
  - 4. Moody's Scenario 1
    - a. Correspondence
    - b. Reports
  - 5. Moody's Scenario 2
    - a. Correspondence
    - b. Reports
  - 6. Moody's Scenario 3
    - a. Correspondence
    - b. Reports
  - 7. Moody's Scenario 4
    - a. Correspondence
    - b. Reports
  - 8. Moody's Scenario 5
    - a. Correspondence
    - b. Reports
  - 9. Moody's Scenario 6
    - a. Correspondence
    - b. Reports
  - 10. Moody's Scenario 7
    - a. Correspondence
    - b. Reports
  - 11. S&P Scenario 8
    - a. Correspondence
    - b. Reports
- e. Chase
  - 1. Compliance Letters\_ Dominion Example
  - 2. Compliance Letters\_ Fitch Example
  - 3. Final Report Set Example
    - a. CLAY-0028119\_WilmingtonOctoberTapeCompareField20071022
    - b. CLAY-0028133\_Appraisal Name Upload
    - c. CLAY-0028133\_Appraisal Name Upload
    - d. CLAY-0028134\_HighCostTest\_20071022
    - e. CLAY-0028134\_HighCostTest\_20071022
    - f. CLAY-0028135\_IAS\_Events\_1\_or\_2\_20071022














































- g.  CLAY-0028698\_IAS\_Event\_3\_20071022
- h.  CLAY-0028777\_LoanLevelDC20071022
- i.  CLAY-0028815\_LoanList20071022
- j.  CLAY-0028815\_LoanList20071022
- k.  CLAY-0028816\_LoanStatus20071022
- l.  CLAY-0028816\_LoanStatus20071022
- m.  CLAY-0028817\_SuperSummary20071022
- n.  CLAY-0028823\_wilmington october\_prodlog20071022
- o.  CLAY-0028831\_WilmingtonOctober\_ExceptionDetail-20071022
- p.  CLAY-0028831\_WilmingtonOctober\_ExceptionDetail-20071022
- q.  CLAY-0028832\_WilmingtonOctoberDataFrequency20071022
- r.  CLAY-0028923\_Upload 2
- s.  CLAY-0028923\_Upload 2
- t.  CLAY-0028924\_Upload 3
- u.  CLAY-0028924\_Upload 3
- v.  CLAY-0028925\_Upload 1
- w.  CLAY-0028925\_Upload 1
- x.  CLAY-0028926\_LoanLevelDataCompare
- y.  CLAY-0028926\_LoanLevelDataCompare
- 4.  Moody's Scenario 1
  - a.  Correspondence
  - b.  Reports
- 5.  Moody's Scenario 2
  - a.  Correspondence
  - b.  Reports
- 6.  Moody's Scenario 3
  - a.  Correspondence
  - b.  Reports
- 7.  Moody's Scenario 4
  - a.  Correspondence
  - b.  Reports
- 8.  Moody's Scenario 5
  - a.  Correspondence
  - b.  Reports
- 9.  Moody's Scenario 6
  - a.  Correspondence
  - b.  Reports
- 10.  Moody's Scenario 7
  - a.  Correspondence
  - b.  Reports
- 11.  S&P Scenario 8
  - a.  Correspondence
  - b.  Reports
- f.  Countrywide
  - 1.  Compliance Letters\_ Dominion Example
  - 2.  Compliance Letters\_ Fitch Example
  - 3.  Final Report Set Example
    - a.  CLAY-0028927\_IAS220070314
    - b.  CLAY-0029214\_IAS120070314
    - c.  CLAY-0029626\_ExceptionDetail
    - d.  CLAY-0029626\_ExceptionDetail
    - e.  CLAY-0029627\_APR\_043V
    - f.  CLAY-0029627\_APR\_043V
    - g.  CLAY-0029628\_Upload3
    - h.  CLAY-0029628\_Upload3
    - i.  CLAY-0029629\_Upload2
    - j.  CLAY-0029629\_Upload2



































- k.  CLAY-0029630\_Upload1
- l.  CLAY-0029630\_Upload1
- m.  CLAY-0029631\_Prop\_Stat
- n.  CLAY-0029631\_Prop\_Stat
- o.  CLAY-0029632\_LLDC20070314\_Incmp
- p.  CLAY-0029632\_LLDC20070314\_Incmp
- q.  CLAY-0029633\_IAS320070314
- r.  CLAY-0029758\_Exception Report (custom)
- s.  CLAY-0029758\_Exception Report (custom)
- 4.  Moody's Scenario 1
  - a.  Correspondence
  - b.  Reports
- 5.  Moody's Scenario 2
  - a.  Correspondence
  - b.  Reports
- 6.  Moody's Scenario 3
  - a.  Correspondence
  - b.  Reports
- 7.  Moody's Scenario 4
  - a.  Correspondence
  - b.  Reports
- 8.  Moody's Scenario 5
  - a.  Correspondence
  - b.  Reports
- 9.  Moody's Scenario 6
  - a.  Correspondence
    - 1.  CLAY-0029759\_20071114124622893
  - b.  Reports
    - 1.  CLAY-0029761\_CW - Upload
    - 2.  CLAY-0029761\_CW - Upload
- 10.  Moody's Scenario 7
  - a.  Correspondence
  - b.  Reports
- 11.  S&P Scenario 8
  - a.  Correspondence
  - b.  Reports
- g.  Credit Suisse
  - 1.  Compliance Letters\_ Dominion Example
  - 2.  Compliance Letters\_ Fitch Example
  - 3.  Compliance Letters\_ US Bank Example
    - a.  CLAY-0029762\_Credit Suisse - CW MA Letter\_110206
    - b.  CLAY-0029763\_Credit Suisse - WF MA Letter\_012706
  - 4.  Final Report Set Example
    - a.  CLAY-0029764\_IASEV320071022
    - b.  CLAY-0029912\_LLDC20071022\_Incmp
    - c.  CLAY-0029912\_LLDC20071022\_Incmp
    - d.  CLAY-0029913\_LoanStatusDetail\_20071022
    - e.  CLAY-0029913\_LoanStatusDetail\_20071022
    - f.  CLAY-0029914\_TBW8077\_ExceptionDetail-20071022
    - g.  CLAY-0029914\_TBW8077\_ExceptionDetail-20071022
    - h.  CLAY-0029915\_CSUPLOAD
    - i.  CLAY-0029915\_CSUPLOAD
  - 5.  Moody's Scenario 1
    - a.  Correspondence
    - b.  Reports
  - 6.  Moody's Scenario 2
    - a.  Correspondence

- b. Reports
- 7. Moody's Scenario 3
  - a. Correspondence
  - b. Reports
- 8. Moody's Scenario 4
  - a. Correspondence
  - b. Reports
- 9. Moody's Scenario 5
  - a. Correspondence
    - 1. CLAY-0029916\_20071114124633011
  - b. Reports
- 10. Moody's Scenario 6
  - a. Correspondence
    - 1. CLAY-0029918\_20071114124628126
    - 2. CLAY-0029920\_Script Screen Shot
  - b. Reports
- 11. Moody's Scenario 7
  - a. Correspondence
  - b. Reports
- 12. S&P Scenario 2
  - a. Correspondence
    - 1. CLAY-~12
    - 2. CLAY-0029924\_RG MORTGAGE-ALTA-20061130-7905-Diligence-Order
    - 3. CLAY-0029924\_RG MORTGAGE-ALTA-20061130-7905-Diligence-Order
    - 4. CLAY-0029927\_RG MORTGAGE-ALTA-20061228-7926-Diligence-Order
    - 5. CLAY-0029927\_RG MORTGAGE-ALTA-20061228-7926-Diligence-Order
  - b. Reports
    - 1. CLAY-0029928\_Banco Popular Data Upload
    - 2. CLAY-0029928\_Banco Popular Data Upload
    - 3. CLAY-0029929\_HSBC 8087 Copy of DetlList
    - 4. CLAY-0029929\_HSBC 8087 Copy of DetlList
    - 5. CLAY-0029930\_R&G 2006-12-2 CSFB - RG 7926 - SettlementReport
    - 6. CLAY-0029930\_R&G 2006-12-2 CSFB - RG 7926 - SettlementReport
    - 7. CLAY-0029931\_R&G Puerto Rico 2006-12 FIR - RG7905DATA
    - 8. CLAY-0029931\_R&G Puerto Rico 2006-12 FIR - RG7905DATA
- 13. S&P Scenario 8
  - a. Correspondence
  - b. Reports
- h. Deutsche Bank
  - 1. Compliance Letters Fitch Examples
    - a. CLAY-0029932\_Fitch NM Letter - IndyMac 2004
  - 2. Compliance Letters\_ Dominion Examples
    - a. CLAY-0~6
    - b. CLAY-0~C
    - c. CLAY-0029933\_Deu ACE 2006-HE4 - Due Diligence Letter
    - d. CLAY-0029938\_Deutsche - Fre0410 - KY Individual Asset Summary
  - 3. Final Report Set Example
    - a. CLAY-0~8
    - b. CLAY-0029960\_Exh 4 - Taylor Bean Whitaker \$6MM 07-27-07 - Final Rejects
    - c. CLAY-0029960\_Exh 4 - Taylor Bean Whitaker \$6MM 07-27-07 - Final Rejects
    - d. CLAY-0029961\_Taylor Bean Whitaker \$6MM 07-27-07 - Loan Disposition Report
    - e. CLAY-0029961\_Taylor Bean Whitaker \$6MM 07-27-07 - Loan Disposition Report
    - f. CLAY-0029962\_Taylor Bean Whitaker \$6MM 07-27-07 - Street Solutions Upload
    - g. CLAY-0029962\_Taylor Bean Whitaker \$6MM 07-27-07 - Street Solutions Upload
    - h. CLAY-0029963\_Exh 1 - Taylor Bean Whitaker \$6MM 07-27-07 - Summary Results
    - i. CLAY-0029963\_Exh 1 - Taylor Bean Whitaker \$6MM 07-27-07 - Summary Results
  - 4. Moody's Scenario 1
    - a. Correspondence

























































- b.  Reports
- 5.  Moody's Scenario 2
  - a.  Correspondence
  - b.  Reports
- 6.  Moody's Scenario 3
  - a.  Correspondence
  - b.  Reports
- 7.  Moody's Scenario 4
  - a.  Correspondence
  - b.  Reports
    - 1.  CLAY-0029964\_ACE2007HE6\_Income Request\_Clayton results
    - 2.  CLAY-0029964\_ACE2007HE6\_Income Request\_Clayton results
- 8.  Moody's Scenario 5
  - a.  Correspondence
  - b.  Reports
- 9.  Moody's Scenario 6
  - a.  Correspondence
  - b.  Reports
- 10.  Moody's Scenario 7
  - a.  Correspondence
  - b.  Reports
- 11.  Rating Agency Presentations
  - a.  CLAY-0029965\_ACE 2005-HE7 -Summary Results
  - b.  CLAY-0029965\_ACE 2005-HE7 -Summary Results
  - c.  CLAY-0029966\_AHM \$195MM May 2006 - Narrative
  - d.  CLAY-0029974\_CSM Deal Spreadsheet
  - e.  CLAY-0029974\_CSM Deal Spreadsheet
  - f.  CLAY-0029975\_Exh 1 - AHM \$195MM May 06 - Summary Results Revised
  - g.  CLAY-0029975\_Exh 1 - AHM \$195MM May 06 - Summary Results Revised
- 12.  S&P Scenario 8
  - a.  Correspondence
  - b.  Reports
- i.  Goldman
  - 1.  Compliance Letters\_ Dominion Examples
  - 2.  Compliance Letters\_ Fitch Examples
  - 3.  Final Report Set Example
    - a.  CLAY-0029976\_NTM Shock Qualification
    - b.  CLAY-0029976\_NTM Shock Qualification
    - c.  CLAY-0029977\_SuperSummary20071022
    - d.  CLAY-0029983\_Data Frequency
    - e.  CLAY-0029983\_Data Frequency
    - f.  CLAY-0029984\_Tape Compare
    - g.  CLAY-0029984\_Tape Compare
    - h.  CLAY-0029985\_ExceptionDetail
    - i.  CLAY-0029985\_ExceptionDetail
    - j.  CLAY-0029986\_Data Frequency
    - k.  CLAY-0030059\_Exception Summary
    - l.  CLAY-0030059\_Exception Summary
    - m.  CLAY-0030060\_Tape Compare
    - n.  CLAY-0030066\_Upload v3 (compliance)
    - o.  CLAY-0030066\_Upload v3 (compliance)
    - p.  CLAY-0030067\_Upload v2
    - q.  CLAY-0030067\_Upload v2
    - r.  CLAY-0030068\_Goldman Special Summary
    - s.  CLAY-0030068\_Goldman Special Summary
    - t.  CLAY-0030069\_Upload v1
    - u.  CLAY-0030069\_Upload v1


























































- v.  CLAY-0030070\_HighCostTest\_20071022
- w.  CLAY-0030070\_HighCostTest\_20071022
- x.  CLAY-0030071\_IAS Event 3
- y.  CLAY-0030078\_LoanLevelDataCompare
- z.  CLAY-0030078\_LoanLevelDataCompare
- aa.  CLAY-0030079\_Loan\_Disposition
- ab.  CLAY-0030079\_Loan\_Disposition
- ac.  CLAY-0030080\_LoanLevelDataCompare
- ad.  CLAY-0030089\_LoanStatus20071022
- ae.  CLAY-0030089\_LoanStatus20071022
- 4.  Moody's Scenario 1
  - a.  Correspondence
  - b.  Reports
- 5.  Moody's Scenario 2
  - a.  Correspondence
  - b.  Reports
- 6.  Moody's Scenario 3
  - a.  Correspondence
  - b.  Reports
- 7.  Moody's Scenario 4
  - a.  Correspondence
  - b.  Reports
- 8.  Moody's Scenario 5
  - a.  Correspondence
  - b.  Reports
- 9.  Moody's Scenario 6
  - a.  Correspondence
    - 1.  CLAY-0030090\_Goldman Moody's Screen
  - b.  Reports
- 10.  Moody's Scenario 7
  - a.  Correspondence
  - b.  Reports
- 11.  S&P Scenario 8
  - a.  Correspondence
  - b.  Reports
- j.  Greenwich
  - 1.  Compliance Letters\_ Dominion Examples
  - 2.  Compliance Letters\_ Fitch Examples
  - 3.  Final Report Set Example
    - a.  CLAY-0030092\_20070822SummarybyPool
    - b.  CLAY-0030092\_20070822SummarybyPool
    - c.  CLAY-0030093\_FirstNLCNovember2007\_Exception3M-20071108
    - d.  CLAY-0030093\_FirstNLCNovember2007\_Exception3M-20071108
    - e.  CLAY-0030094\_Loan\_Disposition
    - f.  CLAY-0030094\_Loan\_Disposition
    - g.  CLAY-0030095\_11.08.07\_First NLC November 2007\_EventStatus
    - h.  CLAY-0030095\_11.08.07\_First NLC November 2007\_EventStatus
    - i.  CLAY-0030096\_11.08.07\_First NLC November 2007\_IAS\_Event3
    - j.  CLAY-0031374\_11.08.07\_First NLC November 2007\_LoanLevelDataComp
    - k.  CLAY-0031374\_11.08.07\_First NLC November 2007\_LoanLevelDataComp
    - l.  CLAY-0031375\_Final Upload (not yet delivered to client)
    - m.  CLAY-0031375\_Final Upload (not yet delivered to client)
  - 4.  Moody's Scenario 1
    - a.  Correspondence
    - b.  Reports
  - 5.  Moody's Scenario 2
    - a.  Correspondence

























































1.  CLAY--~24
2.  CLAY--~2B
3.  CLAY--~5D
4.  CLAY--~71
5.  CLAY--~78
6.  CLAY--~80
7.  CLAY--~9E
8.  CLAY--~A5
9.  CLAY--~CB
10.  CLAY--~D1
11.  CLAY-0~5
12.  CLAY-0031376\_FW Greenwich Data Scrub
13.  CLAY-0031388\_FW HSBC Data Scrub info
14.  CLAY-0031390\_FW HSBC Data Scrub info.msg^HSBC Scrub Screens
15.  CLAY-0031393\_FW Moody's Mortgage History
16.  CLAY-0031394\_FW Moody's Mortgage History.msg^History Grade
17.  CLAY-0031394\_FW Moody's Mortgage History.msg^History Grade
18.  CLAY-0031396\_FW Moody's Script Enhancements
19.  CLAY-0031400\_Moody's Upload
20.  CLAY-0031401\_Moody's Upload.msg^LEHMAN\_PC6-1DS\_MOODY'S
21.  CLAY-0031401\_Moody's Upload.msg^LEHMAN\_PC6-1DS\_MOODY'S
22.  CLAY-0031402\_RE Book1.xls
23.  CLAY-0031408\_RE First Franklin - Moody's Data
24.  CLAY-0031410\_RE First Franklin ALT-B Data
25.  CLAY-0031412\_sampsch\_altb
26.  CLAY-0031412\_sampsch\_altb
27.  CLAY-0031413\_RE First Franklin Review
28.  CLAY-0031415\_RE Greenwich Data Scrub
29.  CLAY-0031426\_RE Moody's Mortgage History
30.  CLAY-0031428\_Untitled
31.  CLAY-0031429\_Untitled.msg^Moody's Residential Loan-by-Loan Layout (2)
32.  CLAY-0031447\_Book1.xls
33.  CLAY-0031452\_Book1.xls.msg^Book1
34.  CLAY-0031452\_Book1.xls.msg^Book1
35.  CLAY-0031453\_First Franklin
36.  CLAY-0031454\_FW Greenwich FFRK Moody's Scrub Next Week
37.  CLAY-0031456\_RE Greenwich - First Franklin Moody's Data Capture 3
38.  CLAY-0031465\_RE Greenwich - First Franklin Moody's Data Capture 4
39.  CLAY-0031473\_RE Greenwich - First Franklin Moody's Data Capture 5
40.  CLAY-0031482\_RE Greenwich - First Franklin Moody's Data Capture
41.  CLAY-0031492\_RE Greenwich - First Franklin Moody's Data Capture 1
42.  CLAY-0031499\_RE Greenwich - First Franklin Moody's Data Capture 2
- b.  Reports
  1.  CLAY-0031506\_Greenwich - Moody\_s 8NM
  2.  CLAY-0031506\_Greenwich - Moody\_s 8NM
6.  Moody's Scenario 3
  - a.  Correspondence
    1.  CLAY-0031507\_Haborview 2007 2 and 3 now
  - b.  Reports
    1.  CLAY-0031511\_DetlList
    2.  CLAY-0031511\_DetlList
7.  Moody's Scenario 4
  - a.  Correspondence
  - b.  Reports
8.  Moody's Scenario 5
  - a.  Correspondence

- b. Deals
- 9. Moody's Scenario 6
  - a. Correspondence
    - 1. CLAY-0031512\_FW Greenwich - Moody's Doc Type
    - 2. CLAY-0031514\_Moody's Residential Loan-by-Loan Layout
    - 3. CLAY-0031530\_Moody's Doc Type
    - 4. CLAY-0031531\_RE\_ S&P doc Type
  - b. Reports
- 10. Moody's Scenario 7
  - a. Correspondence
  - b. Reports
- 11. S&P Scenario 8
  - a. Correspondence
  - b. Reports
- k. HSBC
  - 1. Compliance Letters\_ Dominion Examples
  - 2. Compliance Letters\_ Fitch Examples
  - 3. Final Report Set Example
    - a. CLAY-0031533\_EV3 IAS REPORT20070831
    - b. CLAY-0031700\_Event Status Report
    - c. CLAY-0031700\_Event Status Report
    - d. CLAY-0031701\_Fees\_Report
    - e. CLAY-0031701\_Fees\_Report
    - f. CLAY-0031702\_HSBC Exception Detail - All Loans
    - g. CLAY-0031702\_HSBC Exception Detail - All Loans
    - h. CLAY-0031703\_Loan\_Disposition
    - i. CLAY-0031703\_Loan\_Disposition
    - j. CLAY-0031704\_LoanLevelDC20070831
    - k. CLAY-0031802\_prodlog20070831
    - l. CLAY-0031806\_Super Summary
    - m. CLAY-0031810\_EV1 IAS REPORT20070831
    - n. CLAY-0032101\_EV2 IAS REPORT20070831
    - o. CLAY-0032323\_LoanLevelDataCompare
    - p. CLAY-0032323\_LoanLevelDataCompare
    - q. CLAY-0032324\_ExceptionDetail Event 3
    - r. CLAY-0032324\_ExceptionDetail Event 3
    - s. CLAY-0032325\_Complete DD Results Upload
    - t. CLAY-0032325\_Complete DD Results Upload
  - 4. Moody's Scenario 1
    - a. Correspondence
    - b. Reports
  - 5. Moody's Scenario 2
    - a. Correspondence
      - 1. CLAY-0032326\_FW HSBC - First Franklin 2006-4 DS
      - 2. CLAY-0032327\_Data Scrub Template
      - 3. CLAY-0032327\_Data Scrub Template
    - b. Reports
      - 1. CLAY-0032328\_HSBC - FF 2006-4 Data Scrub 20JUL06
      - 2. CLAY-0032328\_HSBC - FF 2006-4 Data Scrub 20JUL06
  - 6. Moody's Scenario 3
    - a. Correspondence
    - b. Reports
  - 7. Moody's Scenario 4
    - a. Correspondence
    - b. Reports
  - 8. Moody's Scenario 5
    - a. Correspondence

- b. Reports
  - 9. Moody's Scenario 6
    - a. Correspondence
    - b. Reports
  - 10. Moody's Scenario 7
    - a. Correspondence
    - b. Reports
      - 1. CLAY-0032329\_HSBC - Moody\_s Rating Screen
  - 11. S&P Scenario 8
    - a. Correspondence
    - b. Reports
- I. JP Morgan
  - 1. Compliance Letters\_ Dominion Examples
  - 2. Compliance Letters\_ Fitch Examples
  - 3. Compliance Letters\_ US Bank Examples
    - a. CLAY-0032330\_JPM - Clayton DBRS Rating Letter CBASS 2006-CB7
  - 4. Final Report Set Example
    - a. CLAY-0032334\_NatCity20071105(5448)Exception\_Summary20070930
    - b. CLAY-0032334\_NatCity20071105(5448)Exception\_Summary20070930
    - c. CLAY-0032335\_DDUpload
    - d. CLAY-0032335\_DDUpload
    - e. CLAY-0032336\_Loan\_Disposition
    - f. CLAY-0032336\_Loan\_Disposition
    - g. CLAY-0032337\_LoanList20070930
    - h. CLAY-0032337\_LoanList20070930
    - i. CLAY-0032338\_LoanStatus20070930
    - j. CLAY-0032338\_LoanStatus20070930
    - k. CLAY-0032339\_LoanLevelDataCompare
    - l. CLAY-0032339\_LoanLevelDataCompare
    - m. CLAY-0032340\_LoanLevelDataCompare
  - 5. Moody's Scenario 1
    - a. Correspondence
    - b. Reports
  - 6. Moody's Scenario 2
    - a. Correspondence
    - b. Reports
  - 7. Moody's Scenario 3
    - a. Correspondence
    - b. Reports
  - 8. Moody's Scenario 4
    - a. Correspondence
    - b. Reports
  - 9. Moody's Scenario 5
    - a. Correspondence
    - b. Reports
  - 10. Moody's Scenario 6
    - a. Correspondence
    - b. Reports
  - 11. Moody's Scenario 7
    - a. Correspondence
    - b. Reports
  - 12. S&P Scenario 8
    - a. Correspondence
    - b. Reports
- m. Lehman
  - 1. Compliance Letters\_ Dominion Examples
    - a. CLAY-0032351\_Lehman - DBRS Rating Letter - SASCO 2007-WF2
    - b. CLAY-0032355\_Lehman - DBRS Rating Letter - WFHET 2007-2






















































- c.  CLAY-0032360\_Lehman - SASCO 2006-ARS1 DBRS Letter 6-23-06
- 2.  Compliance Letters\_ Fitch Examples
  - a.  CLAY-0032365\_Lehman - BNC 2003-10 FITCH LETTER
  - b.  CLAY-0032366\_Lehman - Sample IAS - Compliance Only - Fitch Letter
- 3.  Final Report Set Example
  - a.  CLAY-0032369\_PHH 2007-2\_IAS\_Credit1\_09.17.07
  - b.  CLAY-0032446\_PHH 2007-2\_IAS\_Credit2\_09.17.07
  - c.  CLAY-0032453\_PHH 2007-2\_IAS\_Credit\_or\_Compliance\_3\_09.17.07
  - d.  CLAY-0032457\_PHH 2007-2\_Loan\_Disposition
  - e.  CLAY-0032457\_PHH 2007-2\_Loan\_Disposition
  - f.  CLAY-0032458\_PHH 2007-2\_LoanLevelDataComp\_09.17.07
  - g.  CLAY-0032461\_PHH 2007-2\_LoanLevelDataComp\_09.17.07
  - h.  CLAY-0032461\_PHH 2007-2\_LoanLevelDataComp\_09.17.07
  - i.  CLAY-0032462\_PHH 2007-2\_Super Summary
  - j.  CLAY-0032466\_PHH 2007-2\_Upload
  - k.  CLAY-0032466\_PHH 2007-2\_Upload
  - l.  CLAY-0032467\_PHH2007-2\_Credit1and2List-20070917
  - m.  CLAY-0032467\_PHH2007-2\_Credit1and2List-20070917
  - n.  CLAY-0032468\_PHH2007-2\_DataFrequency20070924
  - o.  CLAY-0032499\_PHH2007-2\_Event3List-20070917
  - p.  CLAY-0032499\_PHH2007-2\_Event3List-20070917
  - q.  CLAY-0032500\_PHH2007-2\_TapeCompareField20070924
  - r.  CLAY-0032511\_PHH 2007-2 Narrative
  - s.  CLAY-0032516\_PHH 2007-2\_Fees\_Report\_20070918
  - t.  CLAY-0032516\_PHH 2007-2\_Fees\_Report\_20070918
- 4.  Moody's Scenario 1
  - a.  Correspondence
  - b.  Reports
    - 1.  CLAY-0032517\_Lehman - Moodys Data Upload
    - 2.  CLAY-0032517\_Lehman - Moodys Data Upload
    - 3.  CLAY-0032518\_LEHMAN\_PC6-1DS\_MOODY'S
    - 4.  CLAY-0032518\_LEHMAN\_PC6-1DS\_MOODY'S
- 5.  Moody's Scenario 2
  - a.  Correspondence
  - b.  Reports
    - 1.  Peoples Choice 2006 09 Moodys
      - a.  CLAY-0032522\_LEHMAN\_PC6-1DS - MISSING DOCS
      - b.  CLAY-0032522\_LEHMAN\_PC6-1DS - MISSING DOCS
      - c.  CLAY-0032523\_LEHMAN\_PC6-1DS\_MOODY'S
      - d.  CLAY-0032523\_LEHMAN\_PC6-1DS\_MOODY'S
    - 2.  CLAY-0032519\_CW 2007-2 - Moody\_s Data Scrub
    - 3.  CLAY-0032519\_CW 2007-2 - Moody\_s Data Scrub
    - 4.  CLAY-0032520\_Wells Fargo 2006 08 LEHMAN\_WF6-16DS\_MOODY'S
    - 5.  CLAY-0032520\_Wells Fargo 2006 08 LEHMAN\_WF6-16DS\_MOODY'S
    - 6.  CLAY-0032521\_Wells Fargo 2007 3 LEH - Moodys Data Scrub 4
    - 7.  CLAY-0032521\_Wells Fargo 2007 3 LEH - Moodys Data Scrub 4
- 6.  Moody's Scenario 3
  - a.  Correspondence
    - 1.  BNCMT 2007-4
      - a.  CLAY-0032527\_FW Aug FNMA Verify \_ Moody Data
      - b.  CLAY-0032529\_August Moodys Data Request
      - c.  CLAY-0032529\_August Moodys Data Request
      - d.  CLAY-0032530\_FW BNCMT 2007-4 Moody Data Clayton
      - e.  CLAY-0032532\_FW July FNMA Verify \_ Moody Data
      - f.  CLAY-0032534\_Moodys - Loan List
      - g.  CLAY-0032534\_Moodys - Loan List
      - h.  CLAY-0032535\_Moody's Data Request








































- i.  CLAY-0032535\_Moody's Data Request
      - j.  CLAY-0032536\_FW Sept FNMA Verify \_ Moody Data Clayton
      - k.  CLAY-0032538\_Sept Request\_ Moodys Data - 22 Loans
      - l.  CLAY-0032538\_Sept Request\_ Moodys Data - 22 Loans
    - 2.  HSBC SOV
      - a.  CLAY-0032539\_FW HSBC SOV Moody Data Clayton 2
      - b.  CLAY-0032541\_9 Loans
      - c.  CLAY-0032570\_FW HSBC SOV Moody Data Clayton
      - d.  CLAY-0032572\_Moody's Data - HSBC\_SOV
      - e.  CLAY-0032572\_Moody's Data - HSBC\_SOV
    - 3.  CLAY-0032524\_FW HSBC SOV Moody Data Clayton
    - 4.  CLAY-0032526\_Moody's Data - HSBC\_SOV
    - 5.  CLAY-0032526\_Moody's Data - HSBC\_SOV
  - b.  Reports
    - 1.  BNCMT 2007-4
      - a.  CLAY-0032574\_August Moodys Data Request
      - b.  CLAY-0032574\_August Moodys Data Request
      - c.  CLAY-0032575\_Lehman - Sept Request\_ Moodys Data - 22 Loans
      - d.  CLAY-0032575\_Lehman - Sept Request\_ Moodys Data - 22 Loans
      - e.  CLAY-0032576\_Moody\_s Data Request
      - f.  CLAY-0032576\_Moody\_s Data Request
      - g.  CLAY-0032577\_Moodys - Loan List
      - h.  CLAY-0032577\_Moodys - Loan List
    - 2.  HSBC SOV
      - a.  CLAY-0032578\_LehmanHSBCSOV - Moody\_s Data For Lehman
      - b.  CLAY-0032578\_LehmanHSBCSOV - Moody\_s Data For Lehman
    - 3.  CLAY-0032573\_Lehman - August 2007 Moodys Data Request
    - 4.  CLAY-0032573\_Lehman - August 2007 Moodys Data Request
  - 7.  Moody's Scenario 4
    - a.  Correspondence
    - b.  Reports
  - 8.  Moody's Scenario 5
    - a.  Correspondence
      - 1.  CLAY-0032579\_FW Lehman Moody's Data Collection Procedure
      - 2.  CLAY-0032580\_Lehman Moody's Review Procedures -- 6-20-07 Revision
      - 3.  CLAY-0032583\_Expanded Data Press Release
    - b.  Reports
  - 9.  Moody's Scenario 6
    - a.  Correspondence
    - b.  Reports
  - 10.  Moody's Scenario 7
    - a.  Correspondence
    - b.  Reports
  - 11.  S&P Scenario 8
    - a.  Correspondence
    - b.  Reports
  - n.  Merrill Lynch
    - 1.  Compliance Letters\_ Dominion Examples
    - 2.  Compliance Letters\_ Fitch Examples
    - 3.  Final Report Set Example
      - a.  CLAY-0032585\_IAS Event 1-2-5-9
      - b.  CLAY-0032802\_IAS Event 3-4
      - c.  CLAY-0032923\_Loan Level Data Compare
      - d.  CLAY-0032923\_Loan Level Data Compare
      - e.  CLAY-0032924\_Loan\_Disposition
      - f.  CLAY-0032924\_Loan\_Disposition
      - g.  CLAY-0032925\_LoanLevelDataCompare


















































- h.  CLAY-0032943\_LoanList20070315
- i.  CLAY-0032943\_LoanList20070315
- j.  CLAY-0032944\_LoanStatus20070315
- k.  CLAY-0032944\_LoanStatus20070315
- l.  CLAY-0032945\_MissDoc
- m.  CLAY-0032945\_MissDoc
- n.  CLAY-0032946\_Alliance2007-5\_ExceptionDetail-20070315
- o.  CLAY-0032946\_Alliance2007-5\_ExceptionDetail-20070315
- p.  CLAY-0032947\_Alliance2007-5TapeCompareField20070315
- q.  CLAY-0032953\_alliance 2007-5\_productionlog20070315
- r.  CLAY-0032960\_Copy of Alliance2007-5Exception\_Summary20070312
- s.  CLAY-0032960\_Copy of Alliance2007-5Exception\_Summary20070312
- t.  CLAY-0032961\_Exception Detail
- u.  CLAY-0032961\_Exception Detail
- v.  CLAY-0032962\_IAS Event 3-4
- w.  CLAY-0033076\_IAS Event 1-2-5-9
- x.  CLAY-0035550\_LoanLevelDataCompare
- y.  CLAY-0035550\_LoanLevelDataCompare
- z.  CLAY-0035551\_Loan\_Disposition
- aa.  CLAY-0035551\_Loan\_Disposition
- ab.  CLAY-0035552\_LoanLevelDataCompare
- ac.  CLAY-0035700\_LoanList20070418
- ad.  CLAY-0035700\_LoanList20070418
- ae.  CLAY-0035701\_LoanStatus20070418
- af.  CLAY-0035701\_LoanStatus20070418
- ag.  CLAY-0035702\_SURF Upload
- ah.  CLAY-0035702\_SURF Upload
- ai.  CLAY-0035703\_SURF Settlement Report
- aj.  CLAY-0035703\_SURF Settlement Report
- ak.  CLAY-0035704\_MissDoc
- al.  CLAY-0035704\_MissDoc
- am.  CLAY-0035705\_april mer 2\_productionlog20070418
- an.  CLAY-0035721\_AprilMer2\_ExceptionDetail-20070418
- ao.  CLAY-0035721\_AprilMer2\_ExceptionDetail-20070418
- ap.  CLAY-0035722\_AprilMer2Exception\_Summary20070418
- aq.  CLAY-0035722\_AprilMer2Exception\_Summary20070418
- ar.  CLAY-0035723\_AprilMer2TapeCompareField20070418
- as.  CLAY-0035731\_FNMA\_ExceptionReport
- at.  CLAY-0035731\_FNMA\_ExceptionReport
- 4.  Moody's Scenario 1
  - a.  Correspondence
    - 1.  CLAY-0035732\_March FFMER Diligence
    - 2.  CLAY-0035733\_FW Moody's fields
    - 3.  CLAY-0035734\_OWNIT\_2006-110\_Moody
    - 4.  CLAY-0035734\_OWNIT\_2006-110\_Moody
    - 5.  CLAY-0035735\_Mortgage History Template for Moodys version 3
    - 6.  CLAY-0035735\_Mortgage History Template for Moodys version 3
  - b.  Reports
    - 1.  Ownit 2006-10 MEL 2
      - a.  CLAY-0035737\_OWNIT\_2006-110\_Moody
      - b.  CLAY-0035737\_OWNIT\_2006-110\_Moody
    - 2.  ResMae 2007-01 - RES0702
      - a.  CLAY-0035738\_RES0702\_Moody\_s\_Report
      - b.  CLAY-0035738\_RES0702\_Moody\_s\_Report
    - 3.  CLAY-0035736\_RFC\_2006-136\_Moody\_Report
    - 4.  CLAY-0035736\_RFC\_2006-136\_Moody\_Report



5. Moody's Scenario 2
  - a. Correspondence
    1. CLAY-0035739\_RE RFC
  - b. Reports
    1. CLAY-0035741\_Copy of RFC\_Moodys\_2006\_136\_Moodys\_Report\_20070112\_2
    2. CLAY-0035741\_Copy of RFC\_Moodys\_2006\_136\_Moodys\_Report\_20070112\_2
6. Moody's Scenario 3
  - a. Correspondence
  - b. Reports
7. Moody's Scenario 4
  - a. Correspondence
  - b. Reports
8. Moody's Scenario 5
  - a. Correspondence
    1. CLAY-0035742\_FW Moody's
  - b. Reports
9. Moody's Scenario 6
  - a. Correspondence
    1. CLAY-0035745\_FW New Moody's Doc Level
  - b. Reports
10. Moody's Scenario 7
  - a. Correspondence
  - b. Reports
11. S&P Scenario 4
  - a. Correspondence
    1. CLAY-0035747\_RE MLN 53 and 70
    2. CLAY-0035748\_MLN\_2006-53\_Income&DocLevel
    3. CLAY-0035748\_MLN\_2006-53\_Income&DocLevel
    4. CLAY-0035749\_MLN\_2006-70\_Income&DocLevel
    5. CLAY-0035749\_MLN\_2006-70\_Income&DocLevel
  - b. Reports
    1. CLAY-0035750\_MLN\_2006-53\_Income&DocLevel
    2. CLAY-0035750\_MLN\_2006-53\_Income&DocLevel
    3. CLAY-0035751\_MLN\_2006-70\_Income&DocLevel
    4. CLAY-0035751\_MLN\_2006-70\_Income&DocLevel
12. S&P Scenario 8
  - a. Correspondence
  - b. Reports
- o. Morgan Stanley
  1. Compliance Letters\_ Dominion Examples
  2. Compliance Letters\_ Fitch Examples
    - a. CLAY-0~2
  3. Final Report Set Example
    - a. CLAY-0035756\_LoanLevelDataCompare
    - b. CLAY-0035756\_LoanLevelDataCompare
    - c. CLAY-0035757\_Loan\_Disposition
    - d. CLAY-0035757\_Loan\_Disposition
    - e. CLAY-0035758\_Management Exceptions
    - f. CLAY-0035758\_Management Exceptions
    - g. CLAY-0035759\_pool level exit
    - h. CLAY-0035759\_pool level exit
    - i. CLAY-0035760\_Final Tie-Out - Short Upload
    - j. CLAY-0035760\_Final Tie-Out - Short Upload
    - k. CLAY-0035761\_TapeCompareField20070621
    - l. CLAY-0035769\_Final Tie-Out - Detailed Upload
    - m. CLAY-0035769\_Final Tie-Out - Detailed Upload






















































- n.  CLAY-0035770\_Final Tie-Out - DTI60
  - o.  CLAY-0035770\_Final Tie-Out - DTI60
  - p.  CLAY-0035771\_Final Tie-Out - Fico Less 500
  - q.  CLAY-0035771\_Final Tie-Out - Fico Less 500
  - r.  CLAY-0035772\_FNMA Exceptions
  - s.  CLAY-0035772\_FNMA Exceptions
  - t.  CLAY-0035773\_Final Tie-Out - Full Upload
  - u.  CLAY-0035773\_Final Tie-Out - Full Upload
  - v.  CLAY-0035774\_Final Tie-Out - Collateral Analysis
  - w.  CLAY-0035774\_Final Tie-Out - Collateral Analysis
- 4.  Moody's Scenario 1
    - a.  Correspondence
    - b.  Reports
  - 5.  Moody's Scenario 2
    - a.  Correspondence
      - 1.  CLAY-0035775\_Alt-B Data Scrub on Non-Sample Loans
      - 2.  CLAY-0035777\_Scrub list
    - b.  Reports
      - 1.  CLAY~169
      - 2.  CLAY~16F
      - 3.  CLAY~~19
      - 4.  CLAY~191
      - 5.  CLAY~197
      - 6.  CLAY~~1F
      - 7.  CLAY~~25
      - 8.  CLAY~~2B
      - 9.  CLAY~~31
      - 10.  CLAY~~37
      - 11.  CLAY~~40
      - 12.  CLAY~~46
      - 13.  CLAY~~56
      - 14.  CLAY~~5C
      - 15.  CLAY~~6B
      - 16.  CLAY~~71
      - 17.  CLAY~~8E
      - 18.  CLAY~~94
      - 19.  CLAY~~B1
      - 20.  CLAY~~B7
      - 21.  CLAY~~C0
      - 22.  CLAY~~C6
      - 23.  CLAY~~E3
      - 24.  CLAY~~E9
      - 25.  CLAY~~EF
      - 26.  CLAY~~F5
      - 27.  CLAY-0035778\_Accredited 2007-02 MOR Scrub - Reserves Report (02-22-07)
      - 28.  CLAY-0035778\_Accredited 2007-02 MOR Scrub - Reserves Report (02-22-07)
      - 29.  CLAY-0035779\_Accredited 2007-04 MOR Scrub - Reserves Report (4-25-07)
      - 30.  CLAY-0035779\_Accredited 2007-04 MOR Scrub - Reserves Report (4-25-07)
      - 31.  CLAY-0035784\_DEC ONE 2006-10D MOR2 - Data Scrub 10-26-06
      - 32.  CLAY-0035784\_DEC ONE 2006-10D MOR2 - Data Scrub 10-26-06
      - 33.  CLAY-0035786\_Decision One 2006-06-1 Data Scrub 6-22-06
      - 34.  CLAY-0035786\_Decision One 2006-06-1 Data Scrub 6-22-06
      - 35.  CLAY-0035788\_Decision One 2006-09 MOR Scrub (09-27-06)


























































36.  CLAY-0035788\_Decision One 2006-09 MOR Scrub (09-27-06)
37.  CLAY-0035789\_Decision One 2006-10 MOR 2 Scrub Reserve
38.  CLAY-0035789\_Decision One 2006-10 MOR 2 Scrub Reserve
39.  CLAY-0035791\_Decision One 2007-02 MOR Scrub DD Reserves Report (2\_16\_07)
40.  CLAY-0035791\_Decision One 2007-02 MOR Scrub DD Reserves Report (2\_16\_07)
41.  CLAY-0035792\_Decision One 2007-02 MOR Scrub Reserves Report 02-16-07
42.  CLAY-0035792\_Decision One 2007-02 MOR Scrub Reserves Report 02-16-07
43.  CLAY-0035795\_Decision One Feb \_07 Scrub Reserves Report \$250MM (3\_20\_07)
44.  CLAY-0035795\_Decision One Feb \_07 Scrub Reserves Report \$250MM (3\_20\_07)
45.  CLAY-0035796\_Decision One June Alt B Scrub \$65MM Reserves Report 6-14-07
46.  CLAY-0035796\_Decision One June Alt B Scrub \$65MM Reserves Report 6-14-07
47.  CLAY-0035799\_First NLC 2006-08 MOR 1 - MOR NLC 0608D Data Scrub 8-17-06
48.  CLAY-0035799\_First NLC 2006-08 MOR 1 - MOR NLC 0608D Data Scrub 8-17-06
49.  CLAY-0035800\_First NLC 2006-09 MOR Scrub Report for NLC 0609D
50.  CLAY-0035800\_First NLC 2006-09 MOR Scrub Report for NLC 0609D
51.  CLAY-0035801\_First NLC 2006-10 MOR Scrub Reserve
52.  CLAY-0035801\_First NLC 2006-10 MOR Scrub Reserve
53.  CLAY-0035802\_First NLC 2007-01 MOR Scrub - Reserves Scrub Report 012507
54.  CLAY-0035802\_First NLC 2007-01 MOR Scrub - Reserves Scrub Report 012507
55.  CLAY-0035803\_First NLC 2007-04 MOR Scrub - Reserves Report 4-20-07
56.  CLAY-0035803\_First NLC 2007-04 MOR Scrub - Reserves Report 4-20-07
57.  CLAY-0035804\_Flagstar 2007-03 Data Scrub Report (3\_9\_07)
58.  CLAY-0035804\_Flagstar 2007-03 Data Scrub Report (3\_9\_07)
59.  CLAY-0035805\_Freemont 2006-12 MOR Scrub report 012907
60.  CLAY-0035805\_Freemont 2006-12 MOR Scrub report 012907
61.  CLAY-0035806\_New Century 2006-06-1 - MORG NC0606 Data Scrub 6-21-06
62.  CLAY-0035806\_New Century 2006-06-1 - MORG NC0606 Data Scrub 6-21-06
63.  CLAY-0035807\_New Century 2006-07MOR NC 0607E Data Scrub 7-27-06
64.  CLAY-0035807\_New Century 2006-07MOR NC 0607E Data Scrub 7-27-06
65.  CLAY-0035808\_New century 2006-08 MOR 1 - Data Scrub 8-21-06
66.  CLAY-0035808\_New century 2006-08 MOR 1 - Data Scrub 8-21-06
67.  CLAY-0035810\_New Century 2006-12 MOR Scrub - Reserves Report
68.  CLAY-0035810\_New Century 2006-12 MOR Scrub - Reserves Report
69.  CLAY-0035811\_New Century 2007-02 MOR Scrub 1 - Reserves Report(02-15-07)
70.  CLAY-0035811\_New Century 2007-02 MOR Scrub 1 - Reserves Report(02-15-07)
71.  CLAY-0035813\_New Century 2007-02 MOR Scub - Reserves Report(02-15-07)
72.  CLAY-0035813\_New Century 2007-02 MOR Scub - Reserves Report(02-15-07)
73.  CLAY-0035814\_New Century\_ Oct 2006 Scrub - Reserves Report - 12-21-2006
74.  CLAY-0035814\_New Century\_ Oct 2006 Scrub - Reserves Report - 12-21-2006

























































75.  CLAY-0035815\_Wilmington 2006-07 MOR 1 - WIL 0607D Data Scrub 7-26-06
  76.  CLAY-0035815\_Wilmington 2006-07 MOR 1 - WIL 0607D Data Scrub 7-26-06
  77.  CLAY-0035816\_Wilmington 2006-08 MOR Scrub - WIL 0608D Data Scrub 8-24-06
  78.  CLAY-0035816\_Wilmington 2006-08 MOR Scrub - WIL 0608D Data Scrub 8-24-06
  79.  CLAY-0035817\_Wilmington 2006-09 MOR 1 - MOR WIL 0609D Data Scrub
  80.  CLAY-0035817\_Wilmington 2006-09 MOR 1 - MOR WIL 0609D Data Scrub
  81.  CLAY-0035818\_Wilmington 2006-10 MOR 1 - Scrub Reserve
  82.  CLAY-0035818\_Wilmington 2006-10 MOR 1 - Scrub Reserve
  83.  CLAY-0035819\_Wilmington 2007-02 MOR Scrub - Reserves Report (2\_23\_07)
  84.  CLAY-0035819\_Wilmington 2007-02 MOR Scrub - Reserves Report (2\_23\_07)
  85.  CLAY-0035820\_Wilmington 2007-02 MOR Scrub 1 - Reserves Report (2\_23\_07)
  86.  CLAY-0035820\_Wilmington 2007-02 MOR Scrub 1 - Reserves Report (2\_23\_07)
  87.  CLAY-0035821\_WMC 2006-10 MOR - Scrub Reserve
  88.  CLAY-0035821\_WMC 2006-10 MOR - Scrub Reserve
  89.  CLAY-0035822\_WMC 2007-02 MOR Scrub - Reserves Report
  90.  CLAY-0035822\_WMC 2007-02 MOR Scrub - Reserves Report
  91.  CLAY-0035823\_WMC 2007-02 MOR Scrub 2 - Reserves Report (2\_21\_07)
  92.  CLAY-0035823\_WMC 2007-02 MOR Scrub 2 - Reserves Report (2\_21\_07)
  93.  CLAY-0035824\_WMC February 2007-03 Scrub Mor - Reserves Report (3-29-07)
  94.  CLAY-0035824\_WMC February 2007-03 Scrub Mor - Reserves Report (3-29-07)
  95.  CLAY-0035825\_WMC January 2007 Scrub - Reserves Report
  96.  CLAY-0035825\_WMC January 2007 Scrub - Reserves Report
6.  Moody's Scenario 3
    - a.  Correspondence
    - b.  Reports
  7.  Moody's Scenario 4
    - a.  Correspondence
    - b.  Reports
  8.  Moody's Scenario 5
    - a.  Correspondence
    - b.  Reports
  9.  Moody's Scenario 6
    - a.  Correspondence
    - b.  Reports
  10.  Moody's Scenario 7
    - a.  Correspondence
    - b.  Reports
  11.  S&P Scenario 8
    - a.  Correspondence
    - b.  Reports
- p.  Nomura
1.  Compliance Letters\_ Dominion Examples
  2.  Compliance Letters\_ Fitch Examples
    - a.  CLAY-0035826\_Nomura - Template of DDLETTE template
  3.  Final Report Set Example
    - a.  CLAY-0035827\_03.14.07\_KayCo 10\_ExceptionDetail
    - b.  CLAY-0035827\_03.14.07\_KayCo 10\_ExceptionDetail
    - c.  CLAY-0035828\_03.14.07\_KayCo 10\_EventStatus
    - d.  CLAY-0035828\_03.14.07\_KayCo 10\_EventStatus

- e. CLAY-0035829\_03.14.07\_KayCo 10\_IAS\_Event3
- f. CLAY-0035897\_03.14.07\_KayCo 10\_LoanLevelDataComp
- g. CLAY-0035903\_03.14.07\_KayCo 10\_LoanLevelDataComp
- h. CLAY-0035903\_03.14.07\_KayCo 10\_LoanLevelDataComp
- i. CLAY-0035904\_03.14.07\_KayCo 10\_StandardDataUpload
- j. CLAY-0035904\_03.14.07\_KayCo 10\_StandardDataUpload
- 4. Moody's Scenario 1
  - a. Correspondence
  - b. Reports
- 5. Moody's Scenario 2
  - a. Correspondence
  - b. Reports
- 6. Moody's Scenario 3
  - a. Correspondence
  - b. Reports
- 7. Moody's Scenario 4
  - a. Correspondence
  - b. Reports
- 8. Moody's Scenario 5
  - a. Correspondence
  - b. Reports
- 9. Moody's Scenario 6
  - a. Correspondence
  - b. Reports
- 10. Moody's Scenario 7
  - a. Correspondence
  - b. Reports
- 11. S&P Scenario 8
  - a. Correspondence
  - b. Reports
- q. UBS
  - 1. Compliance Letters\_ Dominion Examples
  - 2. Compliance Letters\_ Fitch Examples
    - a. CLAY-0035905\_UBS\_Fitch-KY-Multiple loans
    - b. CLAY-0035906\_UBS\_Fitch-KY-Single Loan
    - c. CLAY-0035907\_UBS\_Fitch-NJ-Multiple loans
    - d. CLAY-0035908\_UBS\_Fitch-NJ-Single loan
    - e. CLAY-0035909\_UBS\_Fitch-NM-Multiple loans
    - f. CLAY-0035910\_UBS\_Fitch-NM-Single Loan
  - 3. Final Report Set Example
    - a. CLAY-0035911\_ExceptionDetail
    - b. CLAY-0035911\_ExceptionDetail
    - c. CLAY-0035912\_IAS\_Event 3
    - d. CLAY-0036649\_Loan\_Disposition
    - e. CLAY-0036649\_Loan\_Disposition
    - f. CLAY-0036650\_LoanLevelDataCompare
    - g. CLAY-0036804\_LoanLevelDataCompare
    - h. CLAY-0036804\_LoanLevelDataCompare
    - i. CLAY-0036805\_Moodys Upload
    - j. CLAY-0036805\_Moodys Upload
    - k. CLAY-0036806\_SubPrime\_Upload
    - l. CLAY-0036806\_SubPrime\_Upload
  - 4. Moody's Scenario 1
    - a. Correspondence
    - b. Reports
      - 1. Reports
        - a. CLAY-0036807\_FNLCO608\_Moody\_sData\_Aug28

























































b.  CLAY-0036807\_FNL0608\_Moody\_sData\_Aug28

























































5.  Moody's Scenario 2
  - a.  Correspondence
  - b.  Reports
6.  Moody's Scenario 3
  - a.  Correspondence
  - b.  Reports
7.  Moody's Scenario 4
  - a.  Correspondence
  - b.  Reports
8.  Moody's Scenario 5
  - a.  Correspondence
  - b.  Reports
    1.  CLAY-0036808\_UBS - LIME0703\_InitialMoodyQuery
    2.  CLAY-0036808\_UBS - LIME0703\_InitialMoodyQuery
9.  Moody's Scenario 6
  - a.  Correspondence
  - b.  Reports
10.  Moody's Scenario 7
  - a.  Correspondence
  - b.  Reports
11.  S&P Scenario 8
  - a.  Correspondence
  - b.  Reports
- r.  WAMU-WMMSC
  1.  Compliance Letters Dominion Examples
    - a.  Correspondence
    - b.  Deals
  2.  Compliance Letters\_ Fitch Examples
    - a.  Correspondence
    - b.  Deals
  3.  Final Report Set
    - a.  CLAY-0036809\_Tape Deltas - Pool Level
    - b.  CLAY-0036809\_Tape Deltas - Pool Level
    - c.  CLAY-0036810\_Due Diligence Summary
    - d.  CLAY-0036810\_Due Diligence Summary
    - e.  CLAY-0036811\_ExceptionDetail-20070605
    - f.  CLAY-0036811\_ExceptionDetail-20070605
    - g.  CLAY-0036812\_Exceptions Criteria Listing
    - h.  CLAY-0036815\_Loan Disposition Detail
    - i.  CLAY-0036815\_Loan Disposition Detail
    - j.  CLAY-0036816\_Loan Disposition Summary
    - k.  CLAY-0036817\_Loan\_Disposition
    - l.  CLAY-0036817\_Loan\_Disposition
    - m.  CLAY-0036818\_PEOPLES CHOICE 03 - Single cell exceptions 06-05-2007
    - n.  CLAY-0036818\_PEOPLES CHOICE 03 - Single cell exceptions 06-05-2007
    - o.  CLAY-0036819\_Tape Deltas - Loan Level
    - p.  CLAY-0037034\_Tape Deltas - Loan Level
    - q.  CLAY-0037034\_Tape Deltas - Loan Level
    - r.  CLAY-0037035\_Tape Deltas - Pool Level
    - s.  CLAY-0037039\_SportyExport
    - t.  CLAY-0037039\_SportyExport
    - u.  CLAY-0037040\_Export01-AppraisalInformation
    - v.  CLAY-0037040\_Export01-AppraisalInformation
    - w.  CLAY-0037041\_Export02-ARMInformation
    - x.  CLAY-0037041\_Export02-ARMInformation
    - y.  CLAY-0037042\_Export03-BCCreditInformation

























































- z.  CLAY-0037042\_Export03-BCCreditInformation
- aa.  CLAY-0037043\_Export04-CreditInformation
- ab.  CLAY-0037043\_Export04-CreditInformation
- ac.  CLAY-0037044\_Export05-MainInformation
- ad.  CLAY-0037044\_Export05-MainInformation
- ae.  CLAY-0037045\_Export06-MortgageInformation
- af.  CLAY-0037045\_Export06-MortgageInformation
- ag.  CLAY-0037046\_Export07-NarrativeInformation
- ah.  CLAY-0037046\_Export07-NarrativeInformation
- ai.  CLAY-0037047\_Export08-NoteInformation
- aj.  CLAY-0037047\_Export08-NoteInformation
- ak.  CLAY-0037048\_Export09-RegzHud1Information
- al.  CLAY-0037048\_Export09-RegzHud1Information
- am.  CLAY-0037049\_Export10-TitleInformation
- an.  CLAY-0037049\_Export10-TitleInformation
- ao.  CLAY-0037050\_Export11-SettlementFees
- ap.  CLAY-0037050\_Export11-SettlementFees
- 4.  Moody's Scenario 1
  - a.  Correspondence
  - b.  Reports
- 5.  Moody's Scenario 2
  - a.  Correspondence
  - b.  Reports
- 6.  Moody's Scenario 3
  - a.  Correspondence
  - b.  Reports
- 7.  Moody's Scenario 4
  - a.  Correspondence
  - b.  Reports
- 8.  Moody's Scenario 5
  - a.  Correspondence
  - b.  Reports
- 9.  Moody's Scenario 6
  - a.  Correspondence
  - b.  Reports
- 10.  Moody's Scenario 7
  - a.  Correspondence
  - b.  Reports
- 11.  S&P Scenario 8
  - a.  Correspondence
  - b.  Reports
- 15.  Training and Hiring
- 16.  Training and Hiring (Lead\_ QC\_ UW)
  - a.  2004 Leads Conference\_ Jan 17 to 18\_ 2004
    - 1.  2004 Leads\_ Action Plan Followup
    - 2.  2004 Leads\_ Agenda Final
    - 3.  2004 Leads\_ CLAS Data and Narratives
    - 4.  2004 Leads\_ Clayton Tale of the Tape
    - 5.  2004 Leads\_ Computer and Network Services
    - 6.  2004 Leads\_ Due Diligence Trends
    - 7.  2004 Leads\_ Lead Introductions
    - 8.  2004 Leads\_ Life of a Loan
    - 9.  2004 Leads\_ Project Managment Process\_ CSM and Lead
    - 10.  2004 Leads\_ Regulatory Compliance
    - 11.  2004 Leads\_ Review of 2003 & Outlook for 2004\_ SL
    - 12.  2004 Leads\_ Upcoming CLAS Enhancements
    - 13.  2004 Leads\_ What Does It Take to Strat a Job

14.  2004 Leads\_ WinFish New Features 2003
15.  2004 Leads\_ Winfish Updates
- b.  2004 Surge Training-Fall
  1.  CLAS Reporter (Winfish) Manual 5.5.6
  2.  Clayton CLAS Reporter
  3.  Compliance Training Workshop I
  4.  Proj Mgmt and Best Prac
  5.  Proj Mgmt and Best Prac-Outline
  6.  Proj Mgmt and Best Prac-Take Away
- c.  2004 to 2005\_ Lead Training
  1.  Colts\_ Clayton OutLine and Training System
  2.  Conference Agenda\_ 10-25-04
  3.  Conference Agenda\_ 10-25-04
  4.  Deal Management\_ Accessing E-mail
  5.  Deal Management\_ Down Loading A New Deal
  6.  Deal Management\_ Network Set-up
  7.  Deal Management\_ Network Set-up Doc
  8.  Deal Management\_ New WinFish CLAS Backup
  9.  Deal Management\_ Shipping and Receiving Equipment
  10.  Differences in Lenders
  11.  Excel Lunctions for Leads and QC
  12.  Lead Diagnostic Tool
  13.  MCI Access Manager
  14.  Network Set-up
  15.  Project Management Best Practices
  16.  Project Management Best Practices Outline
  17.  Project Management Best Practices Take Away
  18.  Quality Assurance in Client Delivery
  19.  Situational Leadership II\_ Two Day Design
  20.  Training Approach
  21.  Transaction Process
  22.  WinFish Presentation
  23.  WinFish Presentation Notes
  24.  WinFish Read Me
- d.  2005 Leads Conference\_ Feb 18 to 20\_ 2005
  1.  2005 Leads\_ Client Panel
  2.  2005 Leads\_ Client Panel Talking Points Questons
  3.  2005 Leads\_ Conference After-Survey
  4.  2005 Leads\_ Consolidated
  5.  2005 Leads\_ Key Initiatives
  6.  2005 Leads\_ Quality Control
  7.  2005 Leads\_ Quality Underwriter Training
- e.  2005 to 2006\_ Scratch and Dent Training
  1.  CLAS Overview\_ Walkthrough Presentation
  2.  Fraud Overview
  3.  Key Screens on CPI
  4.  Loan Status
  5.  NPA Commentary Scope for MS
  6.  Pay History Exercise
  7.  Process for Collecting Bad Mortgage Debt
  8.  Scratch & Dent Quick Reference Sheet
  9.  Scratch and Dent Training Agenda
  10.  Willingness\_ Ability\_ Risk and Opportunity
- f.  2006 Leads Conference\_ Feb 17 to 20\_ 2006
  1.  2006 Leads\_ Agenda Final
  2.  2006 Leads\_ ClaytonConnect Client Guide


















































































































3.  2006 Leads\_ Compliance Breakout Session
4.  2006 Leads\_ Compliance Session
5.  2006 Leads\_ Elevated Review Flag
6.  2006 Leads\_ Introducing SCOUT
7.  2006 Leads\_ Invite List- FINAL
8.  2006 Leads\_ Invite List- FINAL
9.  2006 Leads\_ Leveraging the Technology ET
10.  2006 Leads\_ Managing for the Future
11.  2006 Leads\_ Managing for the Future\_ Jon Berger
12.  2006 Leads\_ Panel Description
13.  2006 Leads\_ Quality Breakout Session
14.  2006 Leads\_ Quality Control Breakout Session
15.  2006 Leads\_ Start dates for Conferencemaster
16.  2006 Leads\_ Start dates for Conferencemaster
17.  2006 Leads\_ Teambuilding Groups
18.  2006 Leads\_ Teambuilding Groups
19.  2006 Leads\_ The Art and Science of the Deal
20.  2006 Leads\_ Welcome Session
- g.  2006 to Current\_ Lead Training
  1.  CU Lead Training Agenda
  2.  Lead Training Project Mgt and Best Practices
- h.  2006 to Current\_ New UW Training
  1.  Exercises
    - a.  Appraisal Exercise 1.16.06
    - b.  HUD Exercise 1.16.06
    - c.  Income Exercise 1.16.05
    - d.  Note Exercise 1.16.06
  2.  General Underwriter Manual
    - a.  1. Borrower Process and Product FINAL
    - b.  2. Equity FINAL
    - c.  3. Income FINAL
    - d.  4. Credit FINAL
    - e.  5. Compensating Factors FINAL
    - f.  6. Compliance FINAL
    - g.  Cover\_ General UW Manual
  3.  Reading Exercise
    - a.  1a Process - Lock In HUD Booklet
    - b.  1b Process - Affordable Housing Finance
    - c.  1c Process - American Banker
    - d.  1d Process - ARM HUD Consumer Guide
    - e.  1e Process - Home Equity Loan Risk
    - f.  1f - Process - Home is on the line \_HELOC\_
    - g.  1g Process - Piggybacks\_ MI and costs
    - h.  2a Equity - Pumping Up Prices
    - i.  4 Credit - FICO Scores
    - j.  6a Compliance - NCRC Predatory
    - k.  6b Regulations - Ill and Chicago
    - l.  7a Fraud - Fighting Appraisal Fraud
    - m.  7b Fraud - Mortgage Fraud
    - n.  Complete Reading Booklet
- i.  2007 Leads Conference\_ Feb 16 to 19\_ 2007
  1.  2007 Leads\_ Agenda Final
  2.  2007 Leads\_ Agenda Trifold
  3.  2007 Leads\_ Borrowers Interest Suitability Panel
  4.  2007 Leads\_ Borrowers Interest Suitability Takeaway
  5.  2007 Leads\_ Client Panel Discussion
























































6.  2007 Leads\_ Deal Process Flow
7.  2007 Leads\_ Keith Johnson presentation
8.  2007 Leads\_ Mission Success icon
9.  2007 Leads\_ Production Quality Dynamics
- j.  2007 to Current\_ Scratch and Dent Training
  1.  Collection Comments 101
    - a.  01 CC Agenda
    - b.  02 Collection Comments Example 1
    - c.  02ACOL~7
    - d.  03 Collection Comments Example 2
    - e.  03ACOL~F
    - f.  04 Collection Comments Example 3
    - g.  04ACO~17
    - h.  05 Collection Comments Example 4
    - i.  05ACO~1E
    - j.  06 Collection Comments Example 5
    - k.  06ACO~26
    - l.  07 Collection Comments Example 6
    - m.  07ACO~2D
    - n.  08 Collection Comments Example 7
    - o.  08ACO~35
    - p.  09 Abbreviations
    - q.  10 Definitions
    - r.  10a Addendum to Definitions
  2.  Pay Histories
    - a.  CPI Trans Codes
    - b.  Example 8 pay history
    - c.  Example 8 pay history
    - d.  LSAMS transaction codes
    - e.  Mortgage Serve Trans Codes
    - f.  PH Agenda
    - g.  PH Example 1 Answer Key
    - h.  PH Example 2 Answer Key
    - i.  PH Example 3 Answer Key
    - j.  PH Example 4 Answer Key
    - k.  PH Example 5 Answer Key
    - l.  PH Example 6 Answer Key
    - m.  PH Example 7 Answer Key
    - n.  PH Example 8 Answer Key
    - o.  PH Examples 1-7 and Process
    - p.  PH Presentation
    - q.  Sample Screens Shots for Pay History Exercises
  3.  Servicing 101
  4.  SND Training Pilot\_ 08-10-07
- k.  Approach to Workforce Development
  1.  Appendix 1 UW-er Questions
  2.  Appendix 2 Ab Lincoln UW-er sample
  3.  Appendix 2 JamesSmith Lead sample
  4.  Appendix 2 PRO\_Lead
  5.  Appendix 2 PRO\_Underwriter
  6.  Appendix 3 Day 1 General Knowledge Test
  7.  Appendix 3 Day 2 Overview Test
  8.  Appendix 3 Day 3 Credit Test
  9.  Appendix 3 Day 4 Compliance Test
  10.  Appendix 3 Day 5 Final Test
  11.  Appendix 4 Did You Know 1003-P



















































12.  Appendix 4 Did You Know 1004-P
13.  Appendix 4 Did You Know Credit-P
14.  Appendix 4 Did You Know General-P
15.  Appendix 4 Did You Know Title-P
16.  Appendix 4 Glossary of Mortgage Terms-P
17.  Appendix 4a PRACTICE TEST 1-P
18.  Appendix 5 Monthly performance scores
19.  Appendix 5 QC Checkpoints
20.  Appendix 5 UW period report card per deal
21.  Appendix 6 Lead Portal Feedback
22.  Appendix 7 Annual UW-er report card
23.  Approach to Workforce Development\_ 05-31-07
- I.  CLAS UW QC Manuals
  1.  CLAS Conduit Manual\_ Morgan Stanley\_ 2005
  2.  CLAS Pre Review Manual\_ 2007
  3.  CLAS Put Back Manual\_ 2007
  4.  Quality Control Manual Complete
  5.  Underwriting Manual Complete
  6.  WinFish Manual
  7.  Written Exercises Complete
- m.  ET
  1.  ET News Flash
    - a.  Update #0001 - ET News Flash
    - b.  Update #0002 - ET News Flash
    - c.  Update #0003 - ET News Flash
    - d.  Update #0004 - ET News Flash
    - e.  Update #0005 - ET News Flash
    - f.  Update #0006 - ET News Flash
    - g.  Update #0007 - ET News Flash
  2.  ET News Flash Document Attachments
    - a.  Doc 0003 - New Credit-Compliance Grade for Waived Exceptions
    - b.  Doc 0004 Exhibit A - New Bulk Override Screen
    - c.  Doc 0004 Exhibit B - New stock text for status changes
    - d.  Doc 0004 Exhibit C - Changes to IE screen
    - e.  Doc 0005 Exhibit A - Exception Editing Dialog Box
    - f.  Doc 0005 Exhibit B - New loan grades and loan grading screen
    - g.  Doc 0006 Exhibit A - New Options for Pending Conditions
    - h.  Doc 0007 Exhibit A - New ET Loan Grade 2T (Bear-EMC)
    - i.  DOC00~23
    - j.  Sample Quick List20050906
    - k.  Sample Quick List20050906
  3.  ET User Manual Guide
    - a.  Exception Tracking, Standard Grading Schema Explained, 08-04-06
    - b.  Exception Tracking, User Manual, 02-10-06
    - c.  Exception Tracking, User Manual, 06-16-05
- n.  New Hire Package
  1.  Clayton Complete New Hire Packet 5-08-07
- o.  Tests
  1.  Compliance Test
  2.  Compliance Test\_ answerkey
  3.  Credit Test
  4.  Credit Test\_ answerkey
  5.  Final Test
  6.  Final Test\_ answerkey
  7.  General Knowledge Test
  8.  General Knowledge Test\_ answerkey

- 9.  Overview Test
- 10.  Overview Test\_ answerkey
- p.  Training Communications
  - 1.  Appraisal Photos Field
  - 2.  Appraiser and Supervisory Appraiser Data
  - 3.  Assumability
  - 4.  Automatic Credit Event 3
  - 5.  Bottom Line Due Diligence\_ The CSM
  - 6.  Bottom Line Due Diligence\_ The Lead
  - 7.  CLAS Changes
  - 8.  CLAS Changes (S&P)
  - 9.  Client Compliance Communication Process
  - 10.  Credit Exceptions
  - 11.  Credit Exceptions - Instructions
  - 12.  Credit Script Change Protocol
  - 13.  DISSCO Overview
  - 14.  Dual Amort vs ARM Balloon
  - 15.  Essential QC Functions
  - 16.  Exception Capture Method by Client
  - 17.  Frequency Cleaning Manual
  - 18.  GUI\_COMMENT
  - 19.  Guide for Patches
  - 20.  History Source Doc
  - 21.  HMDA Reporting
  - 22.  IO Update
  - 23.  Lead Deal Tips
  - 24.  Manufactured Housing
  - 25.  Missing Document Report
  - 26.  Moodys Rating Screen
  - 27.  Morgan Script-New Appraisal Fields
  - 28.  New Loan Status S
  - 29.  Pay History Rewards
  - 30.  Production Logs
  - 31.  QC Status Revision
  - 32.  Reports Archiving
  - 33.  Script Request Procedure
  - 34.  Setting Valuation Model
  - 35.  SP Doc Type Instr
  - 36.  Understanding Pay Option Arm Loans
  - 37.  UserGuideforValuationScreen04-15-2004
- q.  CLAS Reporter (Winfish) Manual 7.7.0
- r.  CU FHA Training, 02-09-05
- s.  Fortified QC Manual revised 11-16-06
- 17.  2005 Org Charts
- 18.  2006 Org Charts
- 19.  2007 Org Charts
- 20.  6 Clients\_ CONDUIT Deals and UWs\_ TOTAL 2004 to Present
- 21.  6 Clients\_ CONDUIT Deals and UWs\_ TOTAL 2004 to Present
- 22.  6 Clients\_ CU Deals and UWs\_ TOTAL 2004 to Present
- 23.  6 Clients\_ CU Deals and UWs\_ TOTAL 2004 to Present
- 24.  6 Clients\_ FIELD Deals and UWs\_ TOTAL 2004 to Present
- 25.  6 Clients\_ FIELD Deals and UWs\_ TOTAL 2004 to Present
- 26.  CLAY 0037051- CLAY 0037070
- 27.  Clayton Contract Lead UW\_QC\_UW 2004 to Current
- 28.  Clayton Contract Lead UW\_QC\_UW 2004 to Current

















































29.  Client Report Usage
  30.  Client-Preferred Leads\_ Road Deals
  31.  Client-Preferred Leads\_ Road Deals
  32.  Client-Preferred Leads\_ Road Deals (2)
  33.  Client-Preferred Leads\_ Road Deals (3)
  34.  Comp Plan
  35.  Exception Tracking
  36.  Full Client List
  37.  Info from Seller
  38.  Morgan Stanley Preferred DD Staff
  39.  Morgan Stanley Preferred DD Staff (4)
  40.  Morgan Stanley Scratch and Dent Team
  41.  Morgan Stanley Scratch and Dent Team
  42.  Morgan Stanley Scratch and Dent Team (1)
  43.  Morgan Stanley Scratch and Dent Team (5)
  44.  Production Log Summary
  45.  Thumbs
  46.  Thumbs
  47.  Thumbs
  48.  Top 15 Clients
17.  David Grais
    - a.  2007 October Research Report Volume 1
    - b.  2007 October Research Report Volume 2
    - c.  Appraisers Petition
    - d.  Data Summary
    - e.  Schwab Complaint
    - f.  Schwab Schedules
    - g.  Understanding the Securitization of Subprime Mortgage Credit
  18.  Deerfield
    - a.  DEERFIELD 000001
  19.  Deutsche Bank
    - a.  2010-08-26 Production- Disk # 580
      1.  Interrogatory # 5
        - a.  Exhibit 1s
          1.  2003 Final
            - a.  CIT - \$53MM - 05-03 - Exhibit 1
            - b.  Downey #8 - \$5MM Due Diligence Summary
            - c.  Encore - \$120MM 07-03 - Exhibit 1
            - d.  Encore \$106MM 02-03 Exhibit 1
            - e.  Encore Ex 1 \$50MM 0103 Clayton
            - f.  Exh 1 - Downey \$20MM July 03 B - Summary Results
            - g.  Exh 1 - Downey July 03A \$30MM - Summary Results
            - h.  Exh 1 - Downey June 03 A \$15MM - Summary Results
            - i.  Exh 1 - Downey May 03B \$20MM - Summary Results
            - j.  Exh 1 - First Street \$4MM Oct 03 - Summary results
            - k.  Exh 1 - First Street \$5MM Dec 03 - Summary Results
            - l.  Exh 1 - FNBA \$100MM 10-03A - Summary Results
            - m.  Exh 1 - FNBA \$65MM Dec 03 - Summary Results
            - n.  Exh 1 - FNBA \$84MM Jan 04 - Summary Results
            - o.  Exh 1 - Fremont AugSept 03 \$1.1B - Summary Results
            - p.  Exh 1 - Greenpoint \$19MM Dec 03A - Summary Results
            - q.  Exh 1 - Greenpoint \$41MM Nov 03 - Summary Results
            - r.  Exh 1 - Greenpoint \$80MM Dec 03B - Summary Results
            - s.  Exh 1 - Greenpoint Oct 03 \$40MM - Summary Results
            - t.  Exh 1 - HomeLoanCenter \$6MM Nov 03 Summary Results
            - u.  Exh 1 - Homestar \$29MM Nov 03A - Summary Results
























































- v.  Exh 1 - Homestar Alt A Sept 03 \$50MM - Summary Results
- w.  Exh 1 - Homestar Comm 7, Del #4 \$37MM Nov 03B - Summary Resu
- x.  Exh 1 - Homestar Comm 7, Del. #1 \$78MM 10-03A - Summary Resu
- y.  Exh 1 - Homestar Comm 7, Del. #2 \$75MM 10-03B - Summary Resu
- z.  Exh 1 - Homestar Comm 7, Del. #6 \$24MM Dec 03A - Summary Res
- aa.  Exh 1 - Homestar Oct 03 Alt A \$26MM - Summary Results
- ab.  Exh 1 - NovaStar \$581MM MS Oct 03 - Summary Results
- ac.  Exh 1 - Oakmont \$19MM Dec 03 - Summary Results
- ad.  Exh 1 - Oakmont \$29MM Oct 03 - Summary Results
- ae.  Exh 1 - OOMC \$1B Nov 03 - Summary Results
- af.  Exh 1 - Peoples Choice \$100MM 903 Final
- ag.  Exh 1 - Wells Fargo \$32MM Nov 03 - Summary Results
- ah.  Exh. 1 - FNBA \$120MM 10-03B Summary Results
- ai.  Exhibit 1 - Downey \$15MM May 03 Summary Results
- aj.  Exhibit 1 - Downey June 03 B \$25MM - Summary Results
- ak.  Exhibit 1 - Downey June 03C \$23.6MM Summary Results
- al.  Exhibit 1 - First Street \$3MM Sept 03 - Summary Results
- am.  Exhibit 1 - FNBA - \$100MM Jul 03 - Summary Results
- an.  Exhibit 1 - FNBA - \$81MM Aug 03 - Summary Results
- ao.  Exhibit 1 - Greenpoint \$16MM August 03 Summary Results
- ap.  Exhibit 1 - Greenpoint \$17MM May 03 Summary Results
- aq.  Exhibit 1 - Greenpoint \$230MM Sept 03B Summary Results
- ar.  Exhibit 1 - Greenpoint \$33MM June 03 Summary Results
- as.  Exhibit 1 - Greenpoint Sept 03 \$39MM Summary Results
- at.  Exhibit 1 - Nat City \$71MM Sept 03 Summary Results
- au.  Exhibit 1 - Nat City \$79MM Feb 03
- av.  Exhibit 1 - NovaStar Sept 03 - \$29MM - Summary Results
- aw.  Exhibit 1 - Peoples Choice \$27MM May 03 Summary Results
- ax.  Exhibit 1 - Peoples Choice \$50MM Dec. 03 Summary Results
- ay.  Exhibit 1 - SIB \$32MM July 03 Summary Results
- az.  Exhibit 1 - Summary Homestar Alt A - 07-03
- ba.  Exhibit 1 - Summary Long Beach \$53MM Jan 03
- bb.  Ext 1 - Nat City \$92MM Oct 03 - Summary Results
- bc.  FNBA - \$41MM - Exhibit 1
- bd.  FNBA - \$77MM - 09-03 Exhibit 1
- be.  HomeStar \$25MM - 12-03 - Exhibit 1
- bf.  Homestar 3 011003 exh 1 clayton
- bg.  Homestar 3 100902 exh 1 clayton
- bh.  Homestar 3 101802 exh 1 clayton
- bi.  Homestar 3 110802 exh 1 clayton
- bj.  Homestar 3 112002 exh 1 clayton
- bk.  Homestar 3 120602 exh 1 clayton
- bl.  Homestar 3 121902 exh 1 clayton
- bm.  HomeStar Alt A - \$39MM Exhibit 1
- bn.  Nat City \$427MM Exhibit 1 5-03
- bo.  NCEN - 07-03 - \$416MM Exhibit 1
- bp.  NCEN -\$504MM 05-03 Exhibit 1
- bq.  Peoples Choice \$27MM Exh 1 0103 Clayton
- 2.  2004 Final
  - a.  Exh 1 - Barrington \$9MM July 04 - Summary Results
  - b.  Exh 1 - Chapel \$27MM 10-20-04 - Summary Results
  - c.  Exh 1 - Chapel \$30MM 10-29-04 - Summary Results
  - d.  Exh 1 - Chapel \$4MM Sept. 04 - Summary Results
  - e.  Exh 1 - CIT \$52MM Apr 04 - Summary Results
  - f.  Exh 1 - Countrywide A \$125MM May 04 - Summary Results


- g.  Exh 1 - Encore \$150MM May 04 - Summary Results
- h.  Exh 1 - First St \$11MM Dec04 - Summary Results
- i.  Exh 1 - First St \$11MM Jul 04 - Summary Results
- j.  Exh 1 - First St \$13MM Nov04 - Summary Results
- k.  Exh 1 - First St \$14MM Oct 04 - Summary Results
- l.  Exh 1 - First St \$7.3MM Feb 04 - Summary Results
- m.  Exh 1 - First Street \$10MM Apr 04 - Summary Results
- n.  Exh 1 - First Street \$8MM Exhibit 1 - 01-2004
- o.  Exh 1 - First Street\$8MM Exhibit 1 - 05-2004
- p.  Exh 1 - FNBA \$115MM April 04 - Summary Results
- q.  Exh 1 - FNBA \$180MM June 04 - Summary Results
- r.  Exh 1 - FNBA \$200MM May 04B - Summary Results
- s.  Exh 1 - FNBA \$300MM Feb 04B - Summary Results
- t.  Exh 1 - FNBA \$75MM Feb 04 - Summary Results
- u.  Exh 1 - FNBA \$75MM May 04A - Summary Results
- v.  Exh 1 - FNBA Mar. 04 - \$85MM - Summary Results
- w.  Exh 1 - Fremont \$1B May-June 04 - Summary Results
- x.  Exh 1 - Fremont \$540MM Sept 04 - Summary Results
- y.  Exh 1 - Fremont \$558MM Oct 04 - Summary Results
- z.  Exh 1 - Fremont \$589MM May 04 - Summary Results
- aa.  Exh 1 - Fremont \$625MM Dec 04 - Summary Results
- ab.  Exh 1 - Greenpoint \$139MM 4-04F - Summary Results
- ac.  Exh 1 - Greenpoint \$51MM April 04D - Summary Results
- ad.  Exh 1 - Greenpoint \$79MM 4-04B - Summary Results
- ae.  Exh 1 - Greenpoint \$7MM Apr 04A - Summary Results
- af.  Exh 1 - Greenpoint \$83MM 3-04 - Summary Results
- ag.  Exh 1 - Greenpoint \$84MM 02-04 - Summary Results
- ah.  Exh 1 - Greenpoint \$97MM 4-04E - Summary Results
- ai.  Exh 1 - Greenpoint \$97MM Mar 04 - Summary Results
- aj.  Exh 1 - Greenpoint \$98MM 4-04C - Summary Results
- ak.  Exh 1 - Home Loan Center \$15MM Nov 04 - Summary Results
- al.  Exh 1 - Impac \$33MM Dec04 - Summary Results
- am.  Exh 1 - Impac \$54MM Oct 04 - Summary Results
- an.  Exh 1 - IndyMac \$350MM Mar. 04 - Summary Results
- ao.  Exh 1 - Key Bank \$490MM 12-04 Exhibit 1
- ap.  Exh 1 - Metrociti \$10MM Dec 04 - Summary Results
- aq.  Exh 1 - Metrociti \$7MM June 04 - Summary Results
- ar.  Exh 1 - Metrociti \$8.6MM July 04 - Summary Results
- as.  Exh 1 - MILA \$21.5MM Dec 04 - Summary Results
- at.  Exh 1 - MILA \$28MM Dec 04B - Summary Results
- au.  Exh 1 - Millennium \$10MM Nov 04 - Summary Result
- av.  Exh 1 - Millennium \$11MM June 04 - Summary Result
- aw.  Exh 1 - Nat City \$355MM April 04 - Summary Results
- ax.  Exh 1 - Oakmont \$30MM 3-04 - Summary Results
- ay.  Exh 1 - Oakmont \$68MM Mar 04 - Summary Results
- az.  Exh 1 - OOMC \$1.6B Mar 04 - Summary Results
- ba.  Exh 1 - OwnIt \$103MM Dec 04 - Summary results
- bb.  Exh 1 - OwnIT Mortgage \$165MM July 04 Summary Results
- bc.  Exh 1 - Peoples Choice \$109MM Jan 04 - Summary Results
- bd.  Exh 1 - Peoples Choice \$450MM Sept 04 - Summary Results
- be.  Exh 1 - Pinnacle Direct \$13MM Mar 04 - Summary Results
- bf.  Exh 1 - Pinnacle Direct \$20MM Nov 04 - Summary Results
- bg.  Exh 1 - Pinnacle Direct \$33MM May 04 - Summary Results
- bh.  Exh 1 - Pinnacle Financial \$15MM Apr 04 - Summary Results
- bi.  Exh 1 - Pinnacle Financial \$21MM May 04 - Summary Results


- bj.  Exh 1 - Realty Mortgage \$2MM June 04 - Summary Results
- bk.  Exh 1 - Realty Mortgage \$4.5MM Mar 04 Summary Results
- bl.  Exh 1 - ResMAE \$101MM Apr 04 - Summary Results
- bm.  Exh 1 - ResMAE \$130MM Mar. 04 - Summary Results
- bn.  Exh 1 - ResMAE \$250MM Sept 04 - Summary Results
- bo.  Exh 1 - ResMAE \$265MM Oct 04 - Summary Results
- bp.  Exh 1 - ResMAE \$306MM Nov 04 - Summary Results
- bq.  Exh 1 - ResMAE \$50.1MM Jan 04 - Summary Results
- br.  Exh 1 - ResMAE \$580MM Dec. 04 - Summary Results
- bs.  Exh 1 - ResMAE \$75MM 2-04
- bt.  Exh 1 - ResMAE 109MM May 04 - Summary Results
- bu.  Exh 1 - WMC \$900MM Sept 04 - Summary Results
- bv.  Exh 1 - Millenium \$10MM Mar. 04 - Summary Results
- bw.  Exh 1 - Millenium \$7MM Feb. 04 - Summary Results
- 3.  2005 Final
  - a.  Exh 1 - AHL \$5.1MM Nov 05 - Summary Results
  - b.  Exh 1 - AHL \$5.3MM Aug 05 - Summary Results
  - c.  Exh 1 - AHL \$5.9MM Nov 05 - Summary Results
  - d.  Exh 1 - Alliance Bancorp \$17MM June 05 - Summary Results
  - e.  Exh 1 - Alterna \$6.2MM Nov 05 - Summary Results
  - f.  Exh 1 - American Home Mortgage \$69MM Dec 05 - Summary Result
  - g.  Exh 1 - American Home Mortgage \$91MM - Summary Results
  - h.  Exh 1 - Americorp \$5.8MM Dec 05 - Summary Results
  - i.  Exh 1 - Americorp \$5.9MM Oct 05 - Summary Results
  - j.  Exh 1 - Ameriquest \$160MM June 05 - Summary Results
  - k.  Exh 1 - AMQ \$158MM 2nd Liens May 05 -Summary Results
  - l.  Exh 1 - Avaris \$15.2MM SubPrime July 05 - Summary Results
  - m.  Exh 1 - Avaris \$17MM June 05 - Summary Results
  - n.  Exh 1 - Avaris \$20.5MM AltA-PayOption July 05 - Summary (1)
  - o.  Exh 1 - Avaris \$20.5MM AltA-PayOption July 05 - Summary Resu
  - p.  Exh 1 - Avaris \$20MM Feb 05 - Summary Results
  - q.  Exh 1 - Avaris \$28MM May 05 - Summary Results
  - r.  Exh 1 - Avaris \$4.4MM SubPrime & 2nd Liens August 05 - Summa
  - s.  Exh 1 - Avaris \$6.4MM Aug 05B - Summary Results
  - t.  Exh 1 - Avaris \$6.8MM Option ARMs June 05 - Summary Results
  - u.  Exh 1 - Avaris \$8.9MM 10-27-05 - Summary Results
  - v.  Exh 1 - Baltimore America 2nds \$5.6MM Aug 05 - Summary Resul
  - w.  Exh 1 - Cameron Financial \$12MM Aug 05 - Summary Results
  - x.  Exh 1 - Casablanca \$1.1MM Aug 05 - Summary Results
  - y.  Exh 1 - Centennial \$1.4MM Nov 05 - Summary Results
  - z.  Exh 1 - Chapel \$114MM July 05 - Summary Results
  - aa.  Exh 1 - Chapel \$65MM Feb 05 - Summary Results
  - ab.  Exh 1 - Chapel \$70MM Sept 05 - Summary
  - ac.  Exh 1 - Chapel \$74.7MM May 05 - Summary
  - ad.  Exh 1 - CIT \$18MM Jul 05 - Summary Results
  - ae.  Exh 1 - CIT \$71.5MM Apr 05 - Summary Results
  - af.  Exh 1 - Comunity Lending \$3.2MM Nov 05 - Summary Results
  - ag.  Exh 1 - Countrywide \$135MM Aug 05 - Summary Results
  - ah.  Exh 1 - Countrywide \$50MM Aug 05B - Summary Results
  - ai.  Exh 1 - Countrywide \$528MM Sept 05C - Summary Results
  - aj.  Exh 1 - Cypress \$6MM Feb 05 - Summary Results
  - ak.  Exh 1 - Empire \$4.3MM Sept 05 - Summary Results
  - al.  Exh 1 - Empire Bancorp \$4.4MM Oct 05 - Summary Results
  - am.  Exh 1 - Equity Resources \$1 3MM Nov 05 - Summary Results
  - an.  Exh 1 - Equity Resources \$1.5MM Dec 05 - Summary Results





- ao.  Exh 1 - Family Lending \$17MM Nov 05 - Summary Results
- ap.  Exh 1 - Fidelity & Trust \$17MM Aug 05A - Summary Results
- aq.  Exh 1 - Fidelity & Trust \$18MM Aug 05B - Summary Results
- ar.  Exh 1 - Fidelity & Trust \$38MM July 05 - Summary Results
- as.  Exh 1 - Fidelity and Trust \$16MM Oct 05 - Summary Results
- at.  Exh 1 - Fidelity Trust \$24MM Oct 05A - Summary Results
- au.  Exh 1 - Finance America \$425MM Mar 05 - Summary Results
- av.  Exh 1 - First Bank \$22MM Oct 05 - Summary Results
- aw.  Exh 1 - First Consolidated \$2.4MM Oct 05 - Summary Results
- ax.  Exh 1 - First Consolidated \$5MM Dec 05 - Summary Results
- ay.  Exh 1 - First Financial \$13.7MM Mar 05 - Summary Results
- az.  Exh 1 - First Financial \$15.4MM May 05 - Summary Results
- ba.  Exh 1 - First Financial \$18.1MM June 05 - Summary Results
- bb.  Exh 1 - First Financial \$4.4MM Aug 05 - Summary Results
- bc.  Exh 1 - First Financial \$4MM Nov 05 - Summary Results
- bd.  Exh 1 - First Financial \$8MM July 05 - Summary Re
- be.  Exh 1 - First Financial 2nd liens \$1.3MM Dec 05 - Summary Re
- bf.  Exh 1 - First Horizon \$28MM Dec 05 - Summary Results
- bg.  Exh 1 - First St \$11MM Feb 05 - Summary Results
- bh.  Exh 1 - First St \$13MM April 05 - Summary Results
- bi.  Exh 1 - First St \$20.4MM August 05 - Summary Results
- bj.  Exh 1 - First St \$23MM Dec 05 - Summary Results
- bk.  Exh 1 - First St \$24.6MM July 05 - Summary Results
- bl.  Exh 1 - First St \$24MM Aug 05A - Summary Results
- bm.  Exh 1 - FNBA \$212MM Sept 05 - Summary Results
- bn.  Exh 1 - Franklin Bank \$11MM Dec 05A - Summary Results
- bo.  Exh 1 - Franklin Bank \$7.4MM Dec 05 - Summary Results
- bp.  Exh 1 - Freedom Mortgage \$6.5MM Oct 05 - Summary Results
- bq.  Exh 1 - Fremont \$1.2B June 05 - Summary Results
- br.  Exh 1 - Fremont \$122MM 2nd Lien July 05 - Summary Results
- bs.  Exh 1 - Fremont \$195MM 2nd Lien November 05 - Summary Result
- bt.  Exh 1 - Fremont \$1B August 05 - Summary Results
- bu.  Exh 1 - Fremont \$1B Dec 05 - Summary Results 1
- bv.  Exh 1 - Fremont \$1B Dec 05A - Summary Results
- bw.  Exh 1 - Fremont \$1BMM Feb 05 - Summary Results
- bx.  Exh 1 - Fremont \$658MM Jan 05 - Summary Results
- by.  Exh 1 - Fremont \$91MM Apr 05 - Summary Results
- bz.  Exh 1 - Funding America \$39MM Nov 05 - Summary Results (4)
- ca.  Exh 1 - Greenpoint \$100MM July 05 - Summary Results
- cb.  Exh 1 - Greenpoint \$122MM Dec 05D - Summary Results
- cc.  Exh 1 - Greenpoint \$154MM Aug 05D - Summary Results
- cd.  Exh 1 - Greenpoint \$157MM Nov 05A - Summary Results
- ce.  Exh 1 - Greenpoint \$3 8MM Dec 05C - Summary Results
- cf.  Exh 1 - Greenpoint \$31MM Aug 05A - Summary Results
- cg.  Exh 1 - Greenpoint \$33MM 1-05 - Summary Results
- ch.  Exh 1 - Greenpoint \$35MM Oct 05C - Summary Results
- ci.  Exh 1 - Greenpoint \$41MM Oct 05 - Summary Results
- cj.  Exh 1 - Greenpoint \$42.5MM June 05A - Summary Results
- ck.  Exh 1 - Greenpoint \$43
- cl.  Exh 1 - Greenpoint \$44MM Nov 05 - Summary Results
- cm.  Exh 1 - Greenpoint \$48MM Dec 05A - Summary Results
- cn.  Exh 1 - Greenpoint \$54MM June 05C - Summary Results
- co.  Exh 1 - Greenpoint \$65MM Aug 05B - Summary Results
- cp.  Exh 1 - Greenpoint \$71.3MM Feb 05 - Summary Results
- cq.  Exh 1 - Greenpoint \$80MM Sept 05A - Summary Results


- cr.  Exh 1 - Greenpoint \$85MM 1-05 - Summary Results
- cs.  Exh 1 - Greenpoint \$86MM Apr 05 - Summary Results
- ct.  Exh 1 - Greenpoint \$88MM Oct 05B - Summary Results
- cu.  Exh 1 - Greenpoint \$89MM Sept 05 - Summary Results
- cv.  Exh 1 - Greenpoint \$93MM Aug 05 - Summary Results
- cw.  Exh 1 - Greenpoint \$93MM Dec 05 - Summary Results
- cx.  Exh 1 - Greenpoint \$93MM Jan 05 - Summary Results
- cy.  Exh 1 - Harbor Capital \$11MM Sept 05 - Summary Results
- cz.  Exh 1 - HOME123 \$36MM Oct 05 - Summary Results
- da.  Exh 1 - Impac \$200MM July 05 - Summary Results
- db.  Exh 1 - Impac \$33MM June 05 - Summary Results
- dc.  Exh 1 - IndyMac \$185MM Jan 06 - Summary Results
- dd.  Exh 1 - IndyMac \$250MM Dec 05A - Summary Results
- de.  Exh 1 - Lenders Direct \$27MM April 05 - Summary Results
- df.  Exh 1 - Lenders Direct \$41MM Sept 05 - Summary Results
- dg.  Exh 1 - Lenders Direct \$51MM August 05 - Summary Results
- dh.  Exh 1 - Lenders Direct \$65MM Oct 05 - Summary Results
- di.  Exh 1 - Liberty \$14 9MM Nov 05A - Summary Results
- dj.  Exh 1 - Liberty \$16MM Nov 05 - Summary Results
- dk.  Exh 1 - Lime \$30MM Apr 05 - Summary Results
- dl.  Exh 1 - Lime Financial 2nds \$12 MM Oct 05 - Summary Results
- dm.  Exh 1 - Loan Center of CA \$17MM Dec 05 - Summary Results
- dn.  Exh 1 - Loan Link \$20MM Oct 05 - Summary Results
- do.  Exh 1 - Loan Link \$7.2MM Oct 05A - Summary Results
- dp.  Exh 1 - Mandalay \$10.5 MM Jan 05 - Summary Results
- dq.  Exh 1 - Mandalay \$174MM Sept 05 - Summary Results
- dr.  Exh 1 - Master Financial \$17.9MM Oct 05 - Summary Results
- ds.  Exh 1 - Maxim \$1.3MM July 05 - Summary Results
- dt.  Exh 1 - Maxim \$2 5MM Dec 05 - Summary Results
- du.  Exh 1 - MetroCiti \$12 MM Jan 05 - Summary Results
- dv.  Exh 1 - MetroCiti \$13.5MM July 05 - Summary Results
- dw.  Exh 1 - MetroCiti \$14MM Aug 05 - Summary Results
- dx.  Exh 1 - Metrociti \$17 5MM Oct 05 - Summary Results
- dy.  Exh 1 - MetroCiti \$8.2MM June 05 - Summary Results
- dz.  Exh 1 - MetroCiti \$9.7MM Feb 05 - Summary Results
- ea.  Exh 1 - Metrocities \$16MM Sept 05 - Summary Results
- eb.  Exh 1 - Metrocities \$32 6MM Nov 05 - Summary Results
- ec.  Exh 1 - MILA \$142.9MM May 05 - Summary Results
- ed.  Exh 1 - MILA \$22MM Mar 05 - Summary Results
- ee.  Exh 1 - Millenium \$29MM Nov 05A - Summary Results
- ef.  Exh 1 - MLSG \$26MM Sept 05 - Summary Results
- eg.  Exh 1 - MLSG \$28MM Aug 05 - Summary Results
- eh.  Exh 1 - Mortgage IT \$130 MM Aug 05 B - Summary
- ei.  Exh 1 - Mortgage IT \$13MM Dec 05 - Summary Results
- ej.  Exh 1 - Mortgage IT \$18MM Seconds Dec 05A - Summary Results
- ek.  Exh 1 - Mortgage IT \$67MM July 05 - Summary Results
- el.  Exh 1 - MortgageIT \$170MM Aug 05 - Summary Results
- em.  Exh 1 - MortgageIT \$61MM Mar 05 - Summary Results
- en.  Exh 1 - MortgageIT \$8.4MM Aug 05A - Summary Results
- eo.  Exh 1 - MTG IT \$23MM Oct 05 - Summary Results
- ep.  Exh 1 - Nat City \$92MM Sept 05 - Summary Results
- eq.  Exh 1 - National City - \$459MM 02-05 Summary Results
- er.  Exh 1 - National City \$200MM Jun 05 - Summary Results
- es.  Exh 1 - National City \$203MM Apr 05B - Summary Results
- et.  Exh 1 - National City \$509MM 01-05 - Summary Results


eu.  Exh 1 - National City \$50MM July 05 - Summary Results


ev.  Exh 1 - National City \$58MM Trade 148 July 05 - Summary Resu


ew.  Exh 1 - National City \$5B CDS Review May 05 - Summary Result


ex.  Exh 1 - National City \$61.5MM Mar 05 - Summary Results


ey.  Exh 1 - National City \$62MM Trade 149-150 July 05 - Summary


ez.  Exh 1 - National City \$64MM Trade 147 July 05 - Summary Resu


fa.  Exh 1 - National City \$73MM Sept 05B - Summary Results


fb.  Exh 1 - NCEN \$1.5B Oct 05 - Summary Results


fc.  Exh 1 - NCEN \$183MM Mar 05 - Summary Results


fd.  Exh 1 - NCEN \$1BMM May 05 - Summary Results


fe.  Exh 1 - NCEN \$200MM 2nd Liens Aug 05 - Summary Results


ff.  Exh 1 - Novastar \$177MM Aug - Summary Results


fg.  Exh 1 - NY Mortgage \$2.5MM Aug-05 - Summary Results


fh.  Exh 1 - NY Mortgage \$2.7MM Apr-05 - Summary Results


fi.  Exh 1 - Oak Street \$10MM Nov 05 - Summary Results


fj.  Exh 1 - Oak Street \$4.4MM Oct 05 - Summary Results


fk.  Exh 1 - Ohio Savings \$100MM Aug 05 - Summary Results


fl.  Exh 1 - Ohio Savings \$199MM Sept 05 - Summary Results


fm.  Exh 1 - Ohio Savings \$73MM Sept 05 - Summary Results


fn.  Exh 1 - Option One \$50M Sept 05 - Summary Results


fo.  Exh 1 - OSB \$61MM DEC-05 - Summary Results


fp.  Exh 1 - Ownit Mtge \$252MM Mar 05 - Summary Results


fq.  Exh 1 - Ownit Mtge \$300MM Aug 05 - Summary Results


fr.  Exh 1 - PHH \$41MM \$68MM Dec 05 - Summary Results


fs.  Exh 1 - PHH \$68MM Dec 05 - Summary Results


ft.  Exh 1 - Pinnacle \$17MM May 05 - Summary Results


fu.  Exh 1 - Pinnacle Direct \$15MM Oct 05 - Summary Results


fv.  Exh 1 - Pinnacle Direct \$19MM June 05 - Summary Results


fw.  Exh 1 - Pinnacle Direct \$21MM Feb 05 - Summary Results


fx.  Exh 1 - Pinnacle Direct \$29MM Oct 05B - Summary Results


fy.  Exh 1 - Pinnacle Direct \$31MM Oct 05A - Summary Results


fz.  Exh 1 - Pinnacle Financial \$10MM Jan 05 - Summary Results


ga.  Exh 1 - Pinnacle Financial \$12MM Sept 05B - Summary Results


gb.  Exh 1 - Pinnacle Financial \$14.6MM July 05A - Summary Result


gc.  Exh 1 - Pinnacle Financial \$16 MM Sept 05 - Summary


gd.  Exh 1 - Pinnacle Financial \$17.2MM Aug 05 - Summary Results


ge.  Exh 1 - Pinnacle Financial \$17.5MM Feb 05 - Summary Results


gf.  Exh 1 - Pinnacle Financial \$22 MM Aug 05B - Summary


gg.  Exh 1 - Pinnacle Financial \$33MM Nov 05 - Summary Results


gh.  Exh 1 - Pinnacle Financial \$45MM June 05 - Summary Results


gi.  Exh 1 - Pinnacle Financial \$62MM July 05 - Summary Results


gj.  Exh 1 - Pinnacle Financial \$73 MM Aug 05A - Summary


gk.  Exh 1 - Profund \$3.6MM July 05A - Summary Results


gl.  Exh 1 - Profund \$4.0MM July 05 - Summary Results


gm.  Exh 1 - Profund \$5 8MM Oct 05 - Summary Results


gn.  Exh 1 - Quick Loan Funding \$85MM Oct 05 - Summary Results


go.  Exh 1 - Quicken \$11MM Aug. 05 - Summary Results


gp.  Exh 1 - Quicken \$13MM May 05 - Summary Results


gq.  Exh 1 - Quicken \$23 MM Sept 05 - Summary


gr.  Exh 1 - Quicken \$26 MM July 05 - Summary Results
























































gs.  Exh 1 - Quicken \$28 MM June 05 - Summary Results
























































gt.  Exh 1 - Quicken \$33 MM July 05 A - Summary Results


















































gu.  Exh 1 - ResMAE \$105MM Jan. 05 - Summary Results
























































gv.  Exh 1 - ResMAE \$276MM - \$100MM Jan. 05 - Summary Results Gro
























































gw.  Exh 1 - ResMAE \$276MM Jan. 05 - Summary Results

- gx.  Exh 1 - ResMAE \$302MM Apr 05 - Summary Results
  - gy.  Exh 1 - ResMAE \$323MM Mar 05 - Summary Results
  - gz.  Exh 1 - RFC RALI \$63MM Aug 05 - Summary Results
  - ha.  Exh 1 - RFC WH26 \$90MM Sept 05 - Summary Results
  - hb.  Exh 1 - Right Away \$22MM Feb 05 - Summary Results
  - hc.  Exh 1 - Right Away \$7.8MM Aug 05 - Summary Results
  - hd.  Exh 1 - RightAway \$10MM Mar 05B - Summary Results
  - he.  Exh 1 - RightAway \$13.5MM July 05 - Summary Results
  - hf.  Exh 1 - RightAway \$14.9MM June 05A - Summary Results
  - hg.  Exh 1 - RightAway \$18.7MM June 05B - Summary Results
  - hh.  Exh 1 - RightAway \$22MM Sept 05 - Summary Results
  - hi.  Exh 1 - RightAway Mtge \$56MM MarApr 05 - Summary Results - \$
  - hj.  Exh 1 - RightAway Mtge \$56MM MarApr 05 - Summary Results (1)
  - hk.  Exh 1 - Sea Breeze \$3MM June 05 - Summary Results
  - hl.  Exh 1 - Seabreeze \$10.9MM Aug 05 - Summary Results
  - hm.  Exh 1 - Seabreeze \$2.6MM July 05 - Summary Results
  - hn.  Exh 1 - Sebring \$21MM Nov 05 - Summary Results
  - ho.  Exh 1 - Sierra Pacific \$45MM Sept 05A - Summary Results
  - hp.  Exh 1 - Sierra Pacific \$53MM Oct 05B - Summary Results
  - hq.  Exh 1 - Sierra Pacific \$59MM Oct 05 - Summary Results
  - hr.  Exh 1 - Sierra Pacific \$80MM Sept 05B - Summary Results
  - hs.  Exh 1 - Sierra Pacific \$9 4MM Aug 05 - Summary Results
  - ht.  Exh 1 - Silver State \$14 3MM Nov 05A - Summary Results
  - hu.  Exh 1 - Silver State \$2 2MM Nov 05 - Summary Results
  - hv.  Exh 1 - Silver State \$21 8MM Oct 05B - Summary Results
  - hw.  Exh 1 - Silver State \$21MM Dec 05 - Summary Results
  - hx.  Exh 1 - Steward Financial \$3 8MM Oct 05 - Summary Results
  - hy.  Exh 1 - Steward Financial \$6 4MM Oct 05A - Summary Results
  - hz.  Exh 1 - UAMC \$13MM July 05 - Summary Results
  - ia.  Exh 1 - United Federal Mtg \$10MM Nov 05 - Summary Results
  - ib.  Exh 1 - Weichert \$23MM Oct 05 - Summary Results
  - ic.  Exh 1 - Wells Fargo \$450MM Dec 05 - Summary Results 2
  - id.  Exh 1 - Wells Fargo \$641MM May 05 - Summary Results
  - ie.  Exh 1 - WMC \$1B Sept 05 - Summary Results
  - if.  Exh 1 - WMC \$60.8MM Apr 05 - Summary Results
  - ig.  Exh 1 -Cameron \$3.2MM Jan 05 - Summary Results
  - ih.  Exh 1 -Cameron \$5.6MM Feb 05 - Summary Results
  - ii.  Exh 1- First Street \$31MM Oct 05 - Summary Results
  - ij.  Exh 1- Franklin \$10MM Oct 05 - Summary Results
  - ik.  Exh 1- Greenpoint \$58MM Dec 05B - Summary Results
  - il.  Exh 1- Maxim \$2.4MM Nov 05 - Summary Results
  - im.  Exh 1 -Millenium \$13.5MM Feb. 05 - Summary Results
  - in.  Exh 1 -Sebring \$30MM Dec 05 - Summary Results
  - io.  Exh 1- Silver State \$5.9MM Oct 05A - Summary Results
  - ip.  Exh 1 Silver State 2nds \$8 4MM Oct 05 -Summary Results
  - iq.  Exhibit 1 - Ameriquest \$776MM 09-05 - Summary Results
  - ir.  Exhibit 1 - Cameron \$6MM Apr 05 - Summary Results
4.  2006 Final
- a.  Exh 1 - Access National \$1.3MM 11-10-06 Summary Results
  - b.  Exh 1 - Access National \$1.3MM 11-10-06 Summary Results
  - c.  Exh 1 - Access National \$1.3MM 11-10-06 Summary Results
  - d.  Exh 1 - Access National \$1.5MM 2nds 9-20-06 Summary Results
  - e.  Exh 1 - Access National \$1.5MM 2nds 9-20-06 Summary Results
  - f.  Exh 1 - Access National \$1.5MM 2nds 9-20-06 Summary Results
  - g.  Exh 1 - Access National \$1.6MM June 06B - Summary Results
























































- h.  Exh 1 - Access National \$1.6MM June 06B - Summary Results
- i.  Exh 1 - Access National \$1.6MM June 06B - Summary Results
- j.  Exh 1 - Access National \$2.7MM 7-31-06 - Summary Results
- k.  Exh 1 - Access National \$2.7MM 7-31-06 - Summary Results
- l.  Exh 1 - Access National \$2.7MM 7-31-06 - Summary Results
- m.  Exh 1 - Access National \$4.4MM 9-20-06 Summary Results
- n.  Exh 1 - Access National \$4.4MM 9-20-06 Summary Results
- o.  Exh 1 - Access National \$4.4MM 9-20-06 Summary Results
- p.  Exh 1 - Access National \$4MM 06-26-06 - Summary Results
- q.  Exh 1 - Access National \$4MM 06-26-06 - Summary Results
- r.  Exh 1 - Access National \$4MM 06-26-06 - Summary Results
- s.  Exh 1 - Access National \$8.0MM ARMs 9-20-06 Summary Results
- t.  Exh 1 - Access National \$8.0MM ARMs 9-20-06 Summary Results
- u.  Exh 1 - Access National \$8.0MM ARMs 9-20-06 Summary Results
- v.  Exh 1 - Access National \$9.4MM 07-31-06 - Summary Results
- w.  Exh 1 - Access National \$9.4MM 07-31-06 - Summary Results
- x.  Exh 1 - Access National \$9.4MM 07-31-06 - Summary Results
- y.  Exh 1 - Access National \$9MM June 06 -Summary Results
- z.  Exh 1 - Access National \$9MM June 06 -Summary Results
- aa.  Exh 1 - Access National \$9MM June 06 -Summary Results
- ab.  Exh 1 - Acoustic \$69MM Mar 06 - Final Rejects
- ac.  Exh 1 - Acoustic \$69MM Mar 06 - Final Rejects
- ad.  Exh 1 - Acoustic \$69MM Mar 06 - Final Rejects
- ae.  Exh 1 - ACT \$4.1MM 4-20-06 - Summary Results
- af.  Exh 1 - ACT \$4.1MM 4-20-06 - Summary Results
- ag.  Exh 1 - ACT \$4.1MM 4-20-06 - Summary Results
- ah.  Exh 1 - Act Mortgage \$1.0MM 2nd Liens July 06B - Summary Res
- ai.  Exh 1 - Act Mortgage \$1.0MM 2nd Liens July 06B - Summary Res
- aj.  Exh 1 - Act Mortgage \$1.0MM 2nd Liens July 06B - Summary Res
- ak.  Exh 1 - Act Mortgage \$10MM 2nd Liens Aug 06 - Summary Result
- al.  Exh 1 - Act Mortgage \$10MM 2nd Liens Aug 06 - Summary Result
- am.  Exh 1 - Act Mortgage \$10MM 2nd Liens Aug 06 - Summary Result
- an.  Exh 1 - Act Mortgage \$6.1MM 2nd Liens - Summary Results
- ao.  Exh 1 - Act Mortgage \$6.1MM 2nd Liens - Summary Results
- ap.  Exh 1 - Act Mortgage \$6.1MM 2nd Liens - Summary Results
- aq.  Exh 1 - Act Mortgage \$8.2MM 07-26-06 - Summary Results
- ar.  Exh 1 - Act Mortgage \$8.2MM 07-26-06 - Summary Results
- as.  Exh 1 - Act Mortgage \$8.2MM 07-26-06 - Summary Results
- at.  Exh 1 - Aegis \$16MM 12-27-06 Summary Results
- au.  Exh 1 - Aegis \$16MM 12-27-06 Summary Results
- av.  Exh 1 - Aegis \$16MM 12-27-06 Summary Results
- aw.  Exh 1 - Aegis \$19MM 6-28-06 Summary Results
- ax.  Exh 1 - Aegis \$19MM 6-28-06 Summary Results
- ay.  Exh 1 - Aegis \$19MM 6-28-06 Summary Results
- az.  Exh 1 - Aegis \$245MM Apr 06 - Summary Results
- ba.  Exh 1 - Aegis \$245MM Apr 06 - Summary Results
- bb.  Exh 1 - Aegis \$245MM Apr 06 - Summary Results
- bc.  Exh 1 - Aegis \$26.5MM Jun 06B - Summary Results
- bd.  Exh 1 - Aegis \$26.5MM Jun 06B - Summary Results
- be.  Exh 1 - Aegis \$26.5MM Jun 06B - Summary Results
- bf.  Exh 1 - Aegis \$4.2MM 06-27-06 - Summary Results
- bg.  Exh 1 - Aegis \$4.2MM 06-27-06 - Summary Results
- bh.  Exh 1 - Aegis \$4.2MM 06-27-06 - Summary Results
- bi.  Exh 1 - Aegis \$5.8MM 06-26-06 - Summary Results
- bj.  Exh 1 - Aegis \$5.8MM 06-26-06 - Summary Results

















































- bk.  Exh 1 - Aegis \$5.8MM 06-26-06 - Summary Results
- bl.  Exh 1 - AFM \$1MM Jan 06 - Summary Results
- bm.  Exh 1 - AFM \$1MM Jan 06 - Summary Results
- bn.  Exh 1 - AFM \$1MM Jan 06 - Summary Results
- bo.  Exh 1 - AHM \$100MM 5-25-06 - Summary Results
- bp.  Exh 1 - AHM \$100MM 5-25-06 - Summary Results
- bq.  Exh 1 - AHM \$100MM 5-25-06 - Summary Results
- br.  Exh 1 - AHM \$111MM June 06 - Summary Results
- bs.  Exh 1 - AHM \$111MM June 06 - Summary Results
- bt.  Exh 1 - AHM \$111MM June 06 - Summary Results
- bu.  Exh 1 - AHM \$118MM 06-29-06 Summary Results
- bv.  Exh 1 - AHM \$118MM 06-29-06 Summary Results
- bw.  Exh 1 - AHM \$118MM 06-29-06 Summary Results
- bx.  Exh 1 - AHM \$12.9MM 8-22-06 - Summary Results
- by.  Exh 1 - AHM \$12.9MM 8-22-06 - Summary Results
- bz.  Exh 1 - AHM \$12.9MM 8-22-06 - Summary Results
- ca.  Exh 1 - AHM \$122MM Jan 06 - Summary Results
- cb.  Exh 1 - AHM \$122MM Jan 06 - Summary Results
- cc.  Exh 1 - AHM \$122MM Jan 06 - Summary Results
- cd.  Exh 1 - AHM \$130MM May 06A - Summary Results
- ce.  Exh 1 - AHM \$130MM May 06A - Summary Results
- cf.  Exh 1 - AHM \$130MM May 06A - Summary Results
- cg.  Exh 1 - AHM \$13MM 8-22-06 - Summary Results
- ch.  Exh 1 - AHM \$13MM 8-22-06 - Summary Results
- ci.  Exh 1 - AHM \$13MM 8-22-06 - Summary Results
- cj.  Exh 1 - AHM \$17MM Jun 06B - Summary Results
- ck.  Exh 1 - AHM \$17MM Jun 06B - Summary Results
- cl.  Exh 1 - AHM \$17MM Jun 06B - Summary Results
- cm.  Exh 1 - AHM \$193MM 12-27-06 - Summary Results
- cn.  Exh 1 - AHM \$193MM 12-27-06 - Summary Results
- co.  Exh 1 - AHM \$193MM 12-27-06 - Summary Results
- cp.  Exh 1 - AHM \$195MM May 06 - Summary Results
- cq.  Exh 1 - AHM \$195MM May 06 - Summary Results
- cr.  Exh 1 - AHM \$195MM May 06 - Summary Results
- cs.  Exh 1 - AHM \$200MM 7-25-06 - Summary Results
- ct.  Exh 1 - AHM \$200MM 7-25-06 - Summary Results
- cu.  Exh 1 - AHM \$200MM 7-25-06 - Summary Results
- cv.  Exh 1 - AHM \$235MM June 06A - Summary Results
- cw.  Exh 1 - AHM \$235MM June 06A - Summary Results
- cx.  Exh 1 - AHM \$235MM June 06A - Summary Results
- cy.  Exh 1 - AHM \$250MM 7-27-06 - Summary Results
- cz.  Exh 1 - AHM \$250MM 7-27-06 - Summary Results
- da.  Exh 1 - AHM \$250MM 7-27-06 - Summary Results
- db.  Exh 1 - AHM \$265MM 12-27-06 Summary Results w-Clears
- dc.  Exh 1 - AHM \$265MM 12-27-06 Summary Results w-Clears
- dd.  Exh 1 - AHM \$265MM 12-27-06 Summary Results w-Clears
- de.  Exh 1 - AHM \$300MM 07-27-06 - Summary Results
- df.  Exh 1 - AHM \$300MM 07-27-06 - Summary Results
- dg.  Exh 1 - AHM \$300MM 07-27-06 - Summary Results
- dh.  Exh 1 - AHM \$32MM May 06C Summary Results
- di.  Exh 1 - AHM \$32MM May 06C Summary Results
- dj.  Exh 1 - AHM \$32MM May 06C Summary Results
- dk.  Exh 1 - AHM \$35MM 10-12-06 Summary Results
- dl.  Exh 1 - AHM \$35MM 10-12-06 Summary Results
- dm.  Exh 1 - AHM \$35MM 10-12-06 Summary Results
























































dn.  Exh 1 - AHM \$40MM Jan 06A - Summary Results  
do.  Exh 1 - AHM \$40MM Jan 06A - Summary Results  
dp.  Exh 1 - AHM \$40MM Jan 06A - Summary Results  
dq.  Exh 1 - AHM \$50MM 11-7-06 Summary Results  
dr.  Exh 1 - AHM \$50MM 11-7-06 Summary Results  
ds.  Exh 1 - AHM \$50MM 11-7-06 Summary Results  
dt.  Exh 1 - AHM \$55.5MM 8-22-06 - Summary Results  
du.  Exh 1 - AHM \$55.5MM 8-22-06 - Summary Results  
dv.  Exh 1 - AHM \$55.5MM 8-22-06 - Summary Results  
dw.  Exh 1 - AHM \$63MM 8-22-06 - Summary Results  
dx.  Exh 1 - AHM \$63MM 8-22-06 - Summary Results  
dy.  Exh 1 - AHM \$63MM 8-22-06 - Summary Results  
dz.  Exh 1 - AHM \$94MM 12-27-06 Summary Results  
ea.  Exh 1 - AHM \$94MM 12-27-06 Summary Results  
eb.  Exh 1 - AHM \$94MM 12-27-06 Summary Results  
ec.  Exh 1 - AHM \$94MM 2nd Liens July 06 - Summary Results  
ed.  Exh 1 - AHM \$94MM 2nd Liens July 06 - Summary Results  
ee.  Exh 1 - AHM \$94MM 2nd Liens July 06 - Summary Results  
ef.  Exh 1 - AHM \$96MM June 06C - Summary Results  
eg.  Exh 1 - AHM \$96MM June 06C - Summary Results  
eh.  Exh 1 - AHM \$96MM June 06C - Summary Results  
ei.  Exh 1 - Alliance \$11MM 05-24-06 - Summary Results  
ej.  Exh 1 - Alliance \$11MM 05-24-06 - Summary Results  
ek.  Exh 1 - Alliance \$11MM 05-24-06 - Summary Results  
el.  Exh 1 - Alliance \$16MM July 06 Summary Results  
em.  Exh 1 - Alliance \$16MM July 06 Summary Results  
en.  Exh 1 - Alliance \$16MM July 06 Summary Results  
eo.  Exh 1 - Alliance \$19MM 8-23-06 - Summary Results  
ep.  Exh 1 - Alliance \$19MM 8-23-06 - Summary Results  
eq.  Exh 1 - Alliance \$19MM 8-23-06 - Summary Results  
er.  Exh 1 - Alliance \$21MM 6-15-06 - Summary Results  
es.  Exh 1 - Alliance \$21MM 6-15-06 - Summary Results  
et.  Exh 1 - Alliance \$21MM 6-15-06 - Summary Results  
eu.  Exh 1 - Alliance \$22MM 12-15-06 Summary Results  
ev.  Exh 1 - Alliance \$22MM 12-15-06 Summary Results  
ew.  Exh 1 - Alliance \$22MM 12-15-06 Summary Results  
ex.  Exh 1 - Alliance \$24MM 10-06-06 Summary Results  
ey.  Exh 1 - Alliance \$24MM 10-06-06 Summary Results  
ez.  Exh 1 - Alliance \$24MM 10-06-06 Summary Results  
fa.  Exh 1 - Alliance \$26MM 05-17-06 - Summary Results  
fb.  Exh 1 - Alliance \$26MM 05-17-06 - Summary Results  
fc.  Exh 1 - Alliance \$26MM 05-17-06 - Summary Results  
fd.  Exh 1 - Alliance \$26MM 06-28-06 Summary Results  
fe.  Exh 1 - Alliance \$26MM 06-28-06 Summary Results  
ff.  Exh 1 - Alliance \$26MM 06-28-06 Summary Results  
fg.  Exh 1 - Alliance \$27MM 8-7-06 - Summary Results  
fh.  Exh 1 - Alliance \$27MM 8-7-06 - Summary Results  
fi.  Exh 1 - Alliance \$27MM 8-7-06 - Summary Results  
fj.  Exh 1 - Alliance \$29MM 7-24-06 - Summary Results  
fk.  Exh 1 - Alliance \$29MM 7-24-06 - Summary Results  
fl.  Exh 1 - Alliance \$29MM 7-24-06 - Summary Results  
fm.  Exh 1 - Alliance \$35MM 7-21-06 - Summary Results  
fn.  Exh 1 - Alliance \$35MM 7-21-06 - Summary Results  
fo.  Exh 1 - Alliance \$35MM 7-21-06 - Summary Results  
fp.  Exh 1 - Alliance \$41MM 11-1-06 Summary Results














fq.  Exh 1 - Alliance \$41MM 11-1-06 Summary Results  
fr.  Exh 1 - Alliance \$41MM 11-1-06 Summary Results  
fs.  Exh 1 - Alliance \$52MM 12-1-06 Summary Results  
ft.  Exh 1 - Alliance \$52MM 12-1-06 Summary Results  
fu.  Exh 1 - Alliance \$52MM 12-1-06 Summary Results  
fv.  Exh 1 - Alliance \$57MM 11-9-06 - Summary Results  
fw.  Exh 1 - Alliance \$57MM 11-9-06 - Summary Results  
fx.  Exh 1 - Alliance \$57MM 11-9-06 - Summary Results  
fy.  Exh 1 - Allstate \$2.5MM Jan 06 - Summary Results  
fz.  Exh 1 - Allstate \$2.5MM Jan 06 - Summary Results  
ga.  Exh 1 - Allstate \$2.5MM Jan 06 - Summary Results  
gb.  Exh 1 - Allstate \$2MM July 06 - Final Rejects  
gc.  Exh 1 - Allstate \$2MM July 06 - Final Rejects  
gd.  Exh 1 - Allstate \$2MM July 06 - Final Rejects  
ge.  Exh 1 - Allstate \$2MM June 06 - Due Dilligence Summary  
gf.  Exh 1 - Allstate \$2MM June 06 - Due Dilligence Summary  
gg.  Exh 1 - Allstate \$2MM June 06 - Due Dilligence Summary  
gh.  Exh 1 - Allstate \$4.2MM Mar 06 - Summary Results  
gi.  Exh 1 - Allstate \$4.2MM Mar 06 - Summary Results  
gj.  Exh 1 - Allstate \$4.2MM Mar 06 - Summary Results  
gk.  Exh 1 - Allstate \$5.5MM March 06 - Summary Results  
gl.  Exh 1 - Allstate \$5.5MM March 06 - Summary Results  
gm.  Exh 1 - Allstate \$5.5MM March 06 - Summary Results  
gn.  Exh 1 - AME \$1.7MM 8-31-06 - Summary Results  
go.  Exh 1 - AME \$1.7MM 8-31-06 - Summary Results  
gp.  Exh 1 - AME \$1.7MM 8-31-06 - Summary Results  
gq.  Exh 1 - AME Financial \$7.2MM Aug 06B Summary Results  
gr.  Exh 1 - AME Financial \$7.2MM Aug 06B Summary Results  
gs.  Exh 1 - AME Financial \$7.2MM Aug 06B Summary Results  
gt.  Exh 1 - AME Financial \$8.7MM June 06A - Summary Results  
gu.  Exh 1 - AME Financial \$8.7MM June 06A - Summary Results  
gv.  Exh 1 - AME Financial \$8.7MM June 06A - Summary Results  
gw.  Exh 1 - American Home Loans \$3MM Mar 06 - Summary Results -  
gx.  Exh 1 - American Home Loans \$3MM Mar 06 - Summary Results -  
gy.  Exh 1 - American Home Loans \$3MM Mar 06 - Summary Results -  
gz.  Exh 1 - American Mortgage Express Corp \$10MM 07-24-06 - Summ  
ha.  Exh 1 - American Mortgage Express Corp \$10MM 07-24-06 - Summ  
hb.  Exh 1 - American Mortgage Express Corp \$10MM 07-24-06 - Summ  
hc.  Exh 1 - American Mortgage Express Corp \$21MM July 06A - Summ  
hd.  Exh 1 - American Mortgage Express Corp \$21MM July 06A - Summ  
he.  Exh 1 - American Mortgage Express Corp \$21MM July 06A - Summ  
hf.  Exh 1 - American Mortgage Express Corp \$5.1MM 8-29-06 - Summ  
hg.  Exh 1 - American Mortgage Express Corp \$5.1MM 8-29-06 - Summ  
hh.  Exh 1 - American Mortgage Express Corp \$5.1MM 8-29-06 - Summ  
hi.  Exh 1 - American Mortgage Express Corp \$7.8MM 12-11-08 Summa  
hj.  Exh 1 - American Mortgage Express Corp \$7.8MM 12-11-08 Summa  
hk.  Exh 1 - American Mortgage Express Corp \$7.8MM 12-11-08 Summa  
hl.  Exh 1 - Americorp \$5MM Feb 06 - Summary Results  
hm.  Exh 1 - Americorp \$5MM Feb 06 - Summary Results  
hn.  Exh 1 - Americorp \$5MM Feb 06 - Summary Results  
ho.  Exh 1 - Ameriquest \$400MM Mar 06 - Summary Results  
hp.  Exh 1 - Ameriquest \$400MM Mar 06 - Summary Results  
hq.  Exh 1 - Ameriquest \$400MM Mar 06 - Summary Results  
hr.  Exh 1 - Amstar \$4 3MM Mar 06 - Summary Results  
hs.  Exh 1 - Amstar \$4 3MM Mar 06 - Summary Results

















































































































ht.  Exh 1 - Amstar \$4 3MM Mar 06 - Summary Results  
hu.  Exh 1 - Approved Funding \$5.7MM 8-28-06 - Summary Results  
hv.  Exh 1 - Approved Funding \$5.7MM 8-28-06 - Summary Results  
hw.  Exh 1 - Approved Funding \$5.7MM 8-28-06 - Summary Results  
hx.  Exh 1 - Baltimore American \$1.5MM - Summary Results  
hy.  Exh 1 - Baltimore American \$1.5MM - Summary Results  
hz.  Exh 1 - Baltimore American \$1.5MM - Summary Results  
ia.  Exh 1 - Barrington \$3 5MM Apr 06 - Summary Results  
ib.  Exh 1 - Barrington \$3 5MM Apr 06 - Summary Results  
ic.  Exh 1 - Barrington \$3 5MM Apr 06 - Summary Results  
id.  Exh 1 - Bayrock \$20MM 10-18-06 Summary Results  
ie.  Exh 1 - Bayrock \$20MM 10-18-06 Summary Results  
if.  Exh 1 - Bayrock \$20MM 10-18-06 Summary Results  
ig.  Exh 1 - Bayrock \$24MM 12-21-06 Summary Results  
ih.  Exh 1 - Bayrock \$24MM 12-21-06 Summary Results  
ii.  Exh 1 - Bayrock \$24MM 12-21-06 Summary Results  
ij.  Exh 1 - Bayrock \$9.4MM 11-15-06 Summary Results  
ik.  Exh 1 - Bayrock \$9.4MM 11-15-06 Summary Results  
il.  Exh 1 - Bayrock \$9.4MM 11-15-06 Summary Results  
im.  Exh 1 - Bottom Line \$5MM July 06 - Summary Results  
in.  Exh 1 - Bottom Line \$5MM July 06 - Summary Results  
io.  Exh 1 - Bottom Line \$5MM July 06 - Summary Results  
ip.  Exh 1 - Broadview Mtge \$5.3MM 9-27-06 - Summary Results  
iq.  Exh 1 - Broadview Mtge \$5.3MM 9-27-06 - Summary Results  
ir.  Exh 1 - Broadview Mtge \$5.3MM 9-27-06 - Summary Results  
is.  Exh 1 - Cameron \$17.2MM 9-1-06 - Summary Results  
it.  Exh 1 - Cameron \$17.2MM 9-1-06 - Summary Results  
iu.  Exh 1 - Cameron \$17.2MM 9-1-06 - Summary Results  
iv.  Exh 1 - Cameron \$7MM 12-08-06 Summary Results  
iw.  Exh 1 - Cameron \$7MM 12-08-06 Summary Results  
ix.  Exh 1 - Cameron \$7MM 12-08-06 Summary Results  
iy.  Exh 1 - Cameron Financial \$4 6MM Apr 06 - Summary Results  
iz.  Exh 1 - Cameron Financial \$4 6MM Apr 06 - Summary Results  
ja.  Exh 1 - Cameron Financial \$4 6MM Apr 06 - Summary Results  
jb.  Exh 1 - Cameron Financial \$4MM 4-27-06A Summary Results  
jc.  Exh 1 - Cameron Financial \$4MM 4-27-06A Summary Results  
jd.  Exh 1 - Cameron Financial \$4MM 4-27-06A Summary Results  
je.  Exh 1 - Chapel \$115MM Jan 06 - Summary Results 2  
jf.  Exh 1 - Chapel \$115MM Jan 06 - Summary Results 2  
jg.  Exh 1 - Chapel \$115MM Jan 06 - Summary Results 2  
jh.  Exh 1 - Chapel \$3 4MM May 06 - Summary Results  
ji.  Exh 1 - Chapel \$3 4MM May 06 - Summary Results  
jj.  Exh 1 - Chapel \$3 4MM May 06 - Summary Results  
jk.  Exh 1 - Chapel \$61MM Mar 06 - Summary Results  
jl.  Exh 1 - Chapel \$61MM Mar 06 - Summary Results  
jm.  Exh 1 - Chapel \$61MM Mar 06 - Summary Results  
jn.  Exh 1 - Chase \$146MM 05-25-06 Summary Results  
jo.  Exh 1 - Chase \$146MM 05-25-06 Summary Results  
jp.  Exh 1 - Chase \$146MM 05-25-06 Summary Results  
jq.  Exh 1 - CIT \$124MM Feb 06 - Summary Rejects  
jr.  Exh 1 - CIT \$124MM Feb 06 - Summary Rejects  
js.  Exh 1 - CIT \$124MM Feb 06 - Summary Rejects  
jt.  Exh 1 - CIT \$200MM 10-30-06 Summary Results  
ju.  Exh 1 - CIT \$200MM 10-30-06 Summary Results  
jv.  Exh 1 - CIT \$200MM 10-30-06 Summary Results
























































jw.  Exh 1 - CIT \$225MM 09-25-06 Summary Results  
jx.  Exh 1 - CIT \$225MM 09-25-06 Summary Results  
jy.  Exh 1 - CIT \$225MM 09-25-06 Summary Results  
jz.  Exh 1 - Columbia \$13MM 8-31-06 - Summary Results  
ka.  Exh 1 - Columbia \$13MM 8-31-06 - Summary Results  
kb.  Exh 1 - Columbia \$13MM 8-31-06 - Summary Results  
kc.  Exh 1 - Columbia \$2.3MM 8-28-06 - Summary Results  
kd.  Exh 1 - Columbia \$2.3MM 8-28-06 - Summary Results  
ke.  Exh 1 - Columbia \$2.3MM 8-28-06 - Summary Results  
kf.  Exh 1 - Columbia \$2.6MM 9-28-06 Summary Results  
kg.  Exh 1 - Columbia \$2.6MM 9-28-06 Summary Results  
kh.  Exh 1 - Columbia \$2.6MM 9-28-06 Summary Results  
ki.  Exh 1 - Columbia \$2.9MM 8-28-06 Summary Results  
kj.  Exh 1 - Columbia \$2.9MM 8-28-06 Summary Results  
kk.  Exh 1 - Columbia \$2.9MM 8-28-06 Summary Results  
kl.  Exh 1 - Columbia \$21.8MM July 06A - Summary Results  
km.  Exh 1 - Columbia \$21.8MM July 06A - Summary Results  
kn.  Exh 1 - Columbia \$21.8MM July 06A - Summary Results  
ko.  Exh 1 - Columbia \$9.1MM July 06 - Summary Results  
kp.  Exh 1 - Columbia \$9.1MM July 06 - Summary Results  
kq.  Exh 1 - Columbia \$9.1MM July 06 - Summary Results  
kr.  Exh 1 - ComUnity \$12MM Mar 06 - Summary Results  
ks.  Exh 1 - ComUnity \$12MM Mar 06 - Summary Results  
kt.  Exh 1 - ComUnity \$12MM Mar 06 - Summary Results  
ku.  Exh 1 - ComUnity \$22MM Feb 06 - Summary Results  
kv.  Exh 1 - ComUnity \$22MM Feb 06 - Summary Results  
kw.  Exh 1 - ComUnity \$22MM Feb 06 - Summary Results  
kx.  Exh 1 - ComUnity \$40MM Jan 06 - Summary Results  
ky.  Exh 1 - ComUnity \$40MM Jan 06 - Summary Results  
kz.  Exh 1 - ComUnity \$40MM Jan 06 - Summary Results  
la.  Exh 1 - ComUnity Lending \$10MM 11-22-06 Summary Results  
lb.  Exh 1 - ComUnity Lending \$10MM 11-22-06 Summary Results  
lc.  Exh 1 - ComUnity Lending \$10MM 11-22-06 Summary Results  
ld.  Exh 1 - ComUnity Lending \$11MM 10-26-06 Summary Results - RE  
le.  Exh 1 - ComUnity Lending \$11MM 10-26-06 Summary Results - RE  
lf.  Exh 1 - ComUnity Lending \$11MM 10-26-06 Summary Results - RE  
lg.  Exh 1 - ComUnity Lending \$12.3MM 8-30-06 - Summary Results  
lh.  Exh 1 - ComUnity Lending \$12.3MM 8-30-06 - Summary Results  
li.  Exh 1 - ComUnity Lending \$12.3MM 8-30-06 - Summary Results  
lj.  Exh 1 - ComUnity Lending \$15MM 8-18-06 Summary Results  
lk.  Exh 1 - ComUnity Lending \$15MM 8-18-06 Summary Results  
ll.  Exh 1 - ComUnity Lending \$15MM 8-18-06 Summary Results  
lm.  Exh 1 - ComUnity Lending \$16MM July 06A - Summary Results  
ln.  Exh 1 - ComUnity Lending \$16MM July 06A - Summary Results  
lo.  Exh 1 - ComUnity Lending \$16MM July 06A - Summary Results  
lp.  Exh 1 - ComUnity Lending \$2MM 7-24-06 - Summary Results  
lq.  Exh 1 - ComUnity Lending \$2MM 7-24-06 - Summary Results  
lr.  Exh 1 - ComUnity Lending \$2MM 7-24-06 - Summary Results  
ls.  Exh 1 - ComUnity Lending \$9.8MM 8-18-06 Summary Results  
lt.  Exh 1 - ComUnity Lending \$9.8MM 8-18-06 Summary Results  
lu.  Exh 1 - ComUnity Lending \$9.8MM 8-18-06 Summary Results  
lv.  Exh 1 - Concord \$1.7MM (Fixed) 12-21-06 Summary Results  
lw.  Exh 1 - Concord \$1.7MM (Fixed) 12-21-06 Summary Results  
lx.  Exh 1 - Concord \$1.7MM (Fixed) 12-21-06 Summary Results  
ly.  Exh 1 - Concord \$1.7MM 12-21-06 (Seconds) Summary Results
























































lz.  Exh 1 - Concord \$1.7MM 12-21-06 (Seconds) Summary Results  
ma.  Exh 1 - Concord \$1.7MM 12-21-06 (Seconds) Summary Results  
mb.  Exh 1 - Concord \$1MM 11-21-06 Summary Results  
mc.  Exh 1 - Concord \$1MM 11-21-06 Summary Results  
md.  Exh 1 - Concord \$1MM 11-21-06 Summary Results  
me.  Exh 1 - Concord \$4MM 11-29-06 Summary Results  
mf.  Exh 1 - Concord \$4MM 11-29-06 Summary Results  
mg.  Exh 1 - Concord \$4MM 11-29-06 Summary Results  
mh.  Exh 1 - Concord \$6.0MM 12-21-06 Summary Results  
mi.  Exh 1 - Concord \$6.0MM 12-21-06 Summary Results  
mj.  Exh 1 - Concord \$6.0MM 12-21-06 Summary Results  
mk.  Exh 1 - Countrywide \$100MM 9-27-06 Summary Results  
ml.  Exh 1 - Countrywide \$100MM 9-27-06 Summary Results  
mm.  Exh 1 - Countrywide \$100MM 9-27-06 Summary Results  
mn.  Exh 1 - Countrywide \$100MM July 06A Summary Results Revised  
mo.  Exh 1 - Countrywide \$100MM July 06A Summary Results Revised  
mp.  Exh 1 - Countrywide \$100MM July 06A Summary Results Revised  
mq.  Exh 1 - Countrywide \$125MM July 06B - Summary Results  
mr.  Exh 1 - Countrywide \$125MM July 06B - Summary Results  
ms.  Exh 1 - Countrywide \$125MM July 06B - Summary Results  
mt.  Exh 1 - Countrywide \$150MM 11-22-06 Summary Results  
mu.  Exh 1 - Countrywide \$150MM 11-22-06 Summary Results  
mv.  Exh 1 - Countrywide \$150MM 11-22-06 Summary Results  
mw.  Exh 1 - Countrywide \$160MM 11-21-06  
mx.  Exh 1 - Countrywide \$160MM 11-21-06  
my.  Exh 1 - Countrywide \$160MM 11-21-06  
mz.  Exh 1 - Countrywide \$200MM 12-21-06 Summary Results  
na.  Exh 1 - Countrywide \$200MM 12-21-06 Summary Results  
nb.  Exh 1 - Countrywide \$200MM 12-21-06 Summary Results  
nc.  Exh 1 - Countrywide \$200MM July 06C Summary Results  
nd.  Exh 1 - Countrywide \$200MM July 06C Summary Results  
ne.  Exh 1 - Countrywide \$200MM July 06C Summary Results  
nf.  Exh 1 - Countrywide \$203MM 1-20-07 - Summary Results  
ng.  Exh 1 - Countrywide \$203MM 1-20-07 - Summary Results  
nh.  Exh 1 - Countrywide \$203MM 1-20-07 - Summary Results  
ni.  Exh 1 - Countrywide \$26MM 9-27-06 Summary Results  
nj.  Exh 1 - Countrywide \$26MM 9-27-06 Summary Results  
nk.  Exh 1 - Countrywide \$26MM 9-27-06 Summary Results  
nl.  Exh 1 - Countrywide \$300MM 12-21-06 Summary Results  
nm.  Exh 1 - Countrywide \$300MM 12-21-06 Summary Results  
nn.  Exh 1 - Countrywide \$300MM 12-21-06 Summary Results  
no.  Exh 1 - Countrywide \$89MM 9-28-06 Summary Results  
np.  Exh 1 - Countrywide \$89MM 9-28-06 Summary Results  
nq.  Exh 1 - Countrywide \$89MM 9-28-06 Summary Results  
nr.  Exh 1 - Creative Mortgage \$2 3MM 47-25-06 - Summary Results  
ns.  Exh 1 - Creative Mortgage \$2 3MM 47-25-06 - Summary Results  
nt.  Exh 1 - Creative Mortgage \$2 3MM 47-25-06 - Summary Results  
nu.  Exh 1 - DHI \$22MM Mar 06 - Summary Results  
nv.  Exh 1 - DHI \$22MM Mar 06 - Summary Results  
nw.  Exh 1 - DHI \$22MM Mar 06 - Summary Results  
nx.  Exh 1 - DHI \$30.5MM Jun 06 - Summary Results  
ny.  Exh 1 - DHI \$30.5MM Jun 06 - Summary Results  
nz.  Exh 1 - DHI \$30.5MM Jun 06 - Summary Results  
oa.  Exh 1 - DHI \$41MM 9-11-06 Summary Results  
ob.  Exh 1 - DHI \$41MM 9-11-06 Summary Results

oc.  Exh 1 - DHI \$41MM 9-11-06 Summary Results  
od.  Exh 1 - DHI \$79MM 10-31-06 Summary Results  
oe.  Exh 1 - DHI \$79MM 10-31-06 Summary Results  
of.  Exh 1 - DHI \$79MM 10-31-06 Summary Results  
og.  Exh 1 - DHI \$8.3MM (2nds) 12-28-06 Summary Results  
oh.  Exh 1 - DHI \$8.3MM (2nds) 12-28-06 Summary Results  
oi.  Exh 1 - DHI \$8.3MM (2nds) 12-28-06 Summary Results  
oj.  Exh 1 - DHI \$82MM April 06 - Summary Results  
ok.  Exh 1 - DHI \$82MM April 06 - Summary Results  
ol.  Exh 1 - DHI \$82MM April 06 - Summary Results  
om.  Exh 1 - DHI \$8MM May 06 - Summary Results  
on.  Exh 1 - DHI \$8MM May 06 - Summary Results  
oo.  Exh 1 - DHI \$8MM May 06 - Summary Results  
op.  Exh 1 - DHI \$9MM June 06A - Due Diligence Summary  
oq.  Exh 1 - DHI \$9MM June 06A - Due Diligence Summary  
or.  Exh 1 - DHI \$9MM June 06A - Due Diligence Summary  
os.  Exh 1 - Doral - Commercial 2 + 3  
ot.  Exh 1 - Doral - Commercial 2 + 3  
ou.  Exh 1 - Doral - Commercial 2 + 3  
ov.  Exh 1 - Dynamx \$1.7MM April 06 - Summary Results  
ow.  Exh 1 - Dynamx \$1.7MM April 06 - Summary Results  
ox.  Exh 1 - Dynamx \$1.7MM April 06 - Summary Results  
oy.  Exh 1 - Encore \$400MM Apr 06 - Summary Results  
oz.  Exh 1 - Encore \$400MM Apr 06 - Summary Results  
pa.  Exh 1 - Encore \$400MM Apr 06 - Summary Results  
pb.  Exh 1 - Equity Resources \$1 2 MM Mar 06 - Summary Results  
pc.  Exh 1 - Equity Resources \$1 2 MM Mar 06 - Summary Results  
pd.  Exh 1 - Equity Resources \$1 2 MM Mar 06 - Summary Results  
pe.  Exh 1 - Equity Resources \$1.2MM 9-15-06 Summary Results  
pf.  Exh 1 - Equity Resources \$1.2MM 9-15-06 Summary Results  
pg.  Exh 1 - Equity Resources \$1.2MM 9-15-06 Summary Results  
ph.  Exh 1 - Equity Resources \$1.5MM Dec 05 - Summary Results  
pi.  Exh 1 - Equity Resources \$1.5MM Dec 05 - Summary Results  
pj.  Exh 1 - Equity Resources \$1.5MM Dec 05 - Summary Results  
pk.  Exh 1 - Equity Resources \$1.97MM 10-23-06 Summary Results  
pl.  Exh 1 - Equity Resources \$1.97MM 10-23-06 Summary Results  
pm.  Exh 1 - Equity Resources \$1.97MM 10-23-06 Summary Results  
pn.  Exh 1 - Equity Resources \$2.0MM Jul 06 - Summary Results  
po.  Exh 1 - Equity Resources \$2.0MM Jul 06 - Summary Results  
pp.  Exh 1 - Equity Resources \$2.0MM Jul 06 - Summary Results  
pq.  Exh 1 - EquityPlus \$3.2MM June 06 - Summary Results  
pr.  Exh 1 - EquityPlus \$3.2MM June 06 - Summary Results  
ps.  Exh 1 - EquityPlus \$3.2MM June 06 - Summary Results  
pt.  Exh 1 - Family Lending \$4.9MM June 06 - Due Diligence Summar  
pu.  Exh 1 - Family Lending \$4.9MM June 06 - Due Diligence Summar  
pv.  Exh 1 - Family Lending \$4.9MM June 06 - Due Diligence Summar  
pw.  Exh 1 - Family Lending \$5MM June 06A - Summary Results  
px.  Exh 1 - Family Lending \$5MM June 06A - Summary Results  
py.  Exh 1 - Family Lending \$5MM June 06A - Summary Results  
pz.  Exh 1 - Fidelity & Trust \$11.5MM 9-15-06 - Summary Results  
qa.  Exh 1 - Fidelity & Trust \$11.5MM 9-15-06 - Summary Results  
qb.  Exh 1 - Fidelity & Trust \$11.5MM 9-15-06 - Summary Results  
qc.  Exh 1 - Fidelity & Trust \$4MM May 06 - Summary Results  
qd.  Exh 1 - Fidelity & Trust \$4MM May 06 - Summary Results  
qe.  Exh 1 - Fidelity & Trust \$4MM May 06 - Summary Results















qf.  Exh 1 - Fidelity & Trust \$9.9MM July 06 - Summary Results  
qg.  Exh 1 - Fidelity & Trust \$9.9MM July 06 - Summary Results  
qh.  Exh 1 - Fidelity & Trust \$9.9MM July 06 - Summary Results  
qi.  Exh 1 - Fieldstone \$63MM Jan 06 - Summary Results  
qj.  Exh 1 - Fieldstone \$63MM Jan 06 - Summary Results  
qk.  Exh 1 - Fieldstone \$63MM Jan 06 - Summary Results  
ql.  Exh 1 - First Bank \$10.9MM 4-5-06 - Summary Results  
qm.  Exh 1 - First Bank \$10.9MM 4-5-06 - Summary Results  
qn.  Exh 1 - First Bank \$10.9MM 4-5-06 - Summary Results  
qo.  Exh 1 - First Bank \$21MM 4-26-06 - Summary Results  
qp.  Exh 1 - First Bank \$21MM 4-26-06 - Summary Results  
qq.  Exh 1 - First Bank \$21MM 4-26-06 - Summary Results  
qr.  Exh 1 - First Consolidated \$1.7MM July 06 - Summary Results  
qs.  Exh 1 - First Consolidated \$1.7MM July 06 - Summary Results  
qt.  Exh 1 - First Consolidated \$1.7MM July 06 - Summary Results  
qu.  Exh 1 - First Consolidated \$2.5MM Jan 06 - Summary Results  
qv.  Exh 1 - First Consolidated \$2.5MM Jan 06 - Summary Results  
qw.  Exh 1 - First Consolidated \$2.5MM Jan 06 - Summary Results  
qx.  Exh 1 - First Consolidated \$3 8MM Mar 06 - Summary Results -  
qy.  Exh 1 - First Consolidated \$3 8MM Mar 06 - Summary Results -  
qz.  Exh 1 - First Consolidated \$3 8MM Mar 06 - Summary Results -  
ra.  Exh 1 - First Consolidated \$3MM 5-18-06 - Summary Results  
rb.  Exh 1 - First Consolidated \$3MM 5-18-06 - Summary Results  
rc.  Exh 1 - First Consolidated \$3MM 5-18-06 - Summary Results  
rd.  Exh 1 - First Consolidated \$3MM Mar 06 - Summary Results  
re.  Exh 1 - First Consolidated \$3MM Mar 06 - Summary Results  
rf.  Exh 1 - First Consolidated \$3MM Mar 06 - Summary Results  
rg.  Exh 1 - First Consolidated \$8.3MM 9-1-06 - Summary Results  
rh.  Exh 1 - First Consolidated \$8.3MM 9-1-06 - Summary Results  
ri.  Exh 1 - First Consolidated \$8.3MM 9-1-06 - Summary Results  
rj.  Exh 1 - First Financial (FFEI) \$10MM July 06 - Summary Resul  
rk.  Exh 1 - First Financial (FFEI) \$10MM July 06 - Summary Resul  
rl.  Exh 1 - First Financial (FFEI) \$10MM July 06 - Summary Resul  
rm.  Exh 1 - First Financial (FFEI) \$7.8MM 8-25-06 - Summary Resu  
rn.  Exh 1 - First Financial (FFEI) \$7.8MM 8-25-06 - Summary Resu  
ro.  Exh 1 - First Financial (FFEI) \$7.8MM 8-25-06 - Summary Resu  
rp.  Exh 1 - First Financial (FFEI) \$8.6MM 11-6-06 Summary Result  
rq.  Exh 1 - First Financial (FFEI) \$8.6MM 11-6-06 Summary Result  
rr.  Exh 1 - First Financial (FFEI) \$8.6MM 11-6-06 Summary Result  
rs.  Exh 1 - First Financial (FFEI) \$9.6MM May 06 Summary Results  
rt.  Exh 1 - First Financial (FFEI) \$9.6MM May 06 Summary Results  
ru.  Exh 1 - First Financial (FFEI) \$9.6MM May 06 Summary Results  
rv.  Exh 1 - First Guaranty \$3.1MM 09-28-06 Summary Results  
rw.  Exh 1 - First Guaranty \$3.1MM 09-28-06 Summary Results  
rx.  Exh 1 - First Guaranty \$3.1MM 09-28-06 Summary Results  
ry.  Exh 1 - First Guaranty \$3.6MM Jun 06 - Summary Results  
rz.  Exh 1 - First Guaranty \$3.6MM Jun 06 - Summary Results  
sa.  Exh 1 - First Guaranty \$3.6MM Jun 06 - Summary Results  
sb.  Exh 1 - First Guaranty \$4.3MM 8-29-06 - Summary Results  
sc.  Exh 1 - First Guaranty \$4.3MM 8-29-06 - Summary Results  
sd.  Exh 1 - First Guaranty \$4.3MM 8-29-06 - Summary Results  
se.  Exh 1 - First Interstate \$1.1MM 10-5-06 Summary Results  
sf.  Exh 1 - First Interstate \$1.1MM 10-5-06 Summary Results  
sg.  Exh 1 - First Interstate \$1.1MM 10-5-06 Summary Results  
sh.  Exh 1 - First Interstate \$1.5MM 9-11-06 Summary Results
























































- si.  Exh 1 - First Interstate \$1.5MM 9-11-06 Summary Results
- sj.  Exh 1 - First Interstate \$1.5MM 9-11-06 Summary Results
- sk.  Exh 1 - First Mariner \$1.4MM 06-08-06 - Summary Results
- sl.  Exh 1 - First Mariner \$1.4MM 06-08-06 - Summary Results
- sm.  Exh 1 - First Mariner \$1.4MM 06-08-06 - Summary Results
- sn.  Exh 1 - First Mariner \$16MM 8-29-06 - Summary Results
- so.  Exh 1 - First Mariner \$16MM 8-29-06 - Summary Results
- sp.  Exh 1 - First Mariner \$16MM 8-29-06 - Summary Results
- sq.  Exh 1 - First NLC \$100MM 08-30-06 - Summary Results
- sr.  Exh 1 - First NLC \$100MM 08-30-06 - Summary Results
- ss.  Exh 1 - First NLC \$100MM 08-30-06 - Summary Results
- st.  Exh 1 - First NLC \$100MM Feb 06 - Summary Results
- su.  Exh 1 - First NLC \$100MM Feb 06 - Summary Results
- sv.  Exh 1 - First NLC \$100MM Feb 06 - Summary Results
- sw.  Exh 1 - First NLC \$100MM July 06 - Summary Results
- sx.  Exh 1 - First NLC \$100MM July 06 - Summary Results
- sy.  Exh 1 - First NLC \$100MM July 06 - Summary Results
- sz.  Exh 1 - First NLC \$150MM 09-29-06 - Summary Results
- ta.  Exh 1 - First NLC \$150MM 09-29-06 - Summary Results
- tb.  Exh 1 - First NLC \$150MM 09-29-06 - Summary Results
- tc.  Exh 1 - First NLC \$154MM May 06 - Summary Results
- td.  Exh 1 - First NLC \$154MM May 06 - Summary Results
- te.  Exh 1 - First NLC \$154MM May 06 - Summary Results
- tf.  Exh 1 - First Savings \$4.9MM - Summary Results
- tg.  Exh 1 - First Savings \$4.9MM - Summary Results
- th.  Exh 1 - First Savings \$4.9MM - Summary Results
- ti.  Exh 1 - First Street \$18MM Apr 06 - Summary Results
- tj.  Exh 1 - First Street \$18MM Apr 06 - Summary Results
- tk.  Exh 1 - First Street \$18MM Apr 06 - Summary Results
- tl.  Exh 1 - First Street \$21.4MM Jan 06 - Summary Results
- tm.  Exh 1 - First Street \$21.4MM Jan 06 - Summary Results
- tn.  Exh 1 - First Street \$21.4MM Jan 06 - Summary Results
- to.  Exh 1 - First Street \$24MM 8-7-06 - Summary Results
- tp.  Exh 1 - First Street \$24MM 8-7-06 - Summary Results
- tq.  Exh 1 - First Street \$24MM 8-7-06 - Summary Results
- tr.  Exh 1 - First Street \$26MM 09-22-06 Summary Results
- ts.  Exh 1 - First Street \$26MM 09-22-06 Summary Results
- tt.  Exh 1 - First Street \$26MM 09-22-06 Summary Results
- tu.  Exh 1 - First Street \$29.7MM 11-3-06 Summary Results
- tv.  Exh 1 - First Street \$29.7MM 11-3-06 Summary Results
- tw.  Exh 1 - First Street \$29.7MM 11-3-06 Summary Results
- tx.  Exh 1 - First Street \$30MM 5-31-06 - Summary Results
- ty.  Exh 1 - First Street \$30MM 5-31-06 - Summary Results
- tz.  Exh 1 - First Street \$30MM 5-31-06 - Summary Results
- ua.  Exh 1 - Flick Mortgage \$3.5MM 11-21-06 Summary Results
- ub.  Exh 1 - Flick Mortgage \$3.5MM 11-21-06 Summary Results
- uc.  Exh 1 - Flick Mortgage \$3.5MM 11-21-06 Summary Results
- ud.  Exh 1 - Flick Mortgage \$4.6MM 11-6-06 Summary Results
- ue.  Exh 1 - Flick Mortgage \$4.6MM 11-6-06 Summary Results
- uf.  Exh 1 - Flick Mortgage \$4.6MM 11-6-06 Summary Results
- ug.  Exh 1 - Flick Mortgage \$6.3MM 11-21-06 Summary Results
- uh.  Exh 1 - Flick Mortgage \$6.3MM 11-21-06 Summary Results
- ui.  Exh 1 - Flick Mortgage \$6.3MM 11-21-06 Summary Results
- uj.  Exh 1 - Franklin Bank \$14MM 8-28-06 - Summary Results
- uk.  Exh 1 - Franklin Bank \$14MM 8-28-06 - Summary Results
























































- ul.  Exh 1 - Franklin Bank \$14MM 8-28-06 - Summary Results
- um.  Exh 1 - Franklin Bank \$23MM May 06 Summary Results
- un.  Exh 1 - Franklin Bank \$23MM May 06 Summary Results
- uo.  Exh 1 - Franklin Bank \$23MM May 06 Summary Results
- up.  Exh 1 - Franklin Bank \$8MM 12-28-06 Summary Results
- uq.  Exh 1 - Franklin Bank \$8MM 12-28-06 Summary Results
- ur.  Exh 1 - Franklin Bank \$8MM 12-28-06 Summary Results
- us.  Exh 1 - Franklin First \$16MM 07-26-06 - Summary Results
- ut.  Exh 1 - Franklin First \$16MM 07-26-06 - Summary Results
- uu.  Exh 1 - Franklin First \$16MM 07-26-06 - Summary Results
- uv.  Exh 1 - Freedom \$1.4MM May 06 Summary Results
- uw.  Exh 1 - Freedom \$1.4MM May 06 Summary Results
- ux.  Exh 1 - Freedom \$1.4MM May 06 Summary Results
- uy.  Exh 1 - Freedom \$1.9MM 11-13-06 Summary Results
- uz.  Exh 1 - Freedom \$1.9MM 11-13-06 Summary Results
- va.  Exh 1 - Freedom \$1.9MM 11-13-06 Summary Results
- vb.  Exh 1 - Freedom \$12MM 11-13-06 Summary Results
- vc.  Exh 1 - Freedom \$12MM 11-13-06 Summary Results
- vd.  Exh 1 - Freedom \$12MM 11-13-06 Summary Results
- ve.  Exh 1 - Freedom \$14.5MM May 06A Summary Results
- vf.  Exh 1 - Freedom \$14.5MM May 06A Summary Results
- vg.  Exh 1 - Freedom \$14.5MM May 06A Summary Results
- vh.  Exh 1 - Freedom \$3.3MM 8-18-06 - Summary Results
- vi.  Exh 1 - Freedom \$3.3MM 8-18-06 - Summary Results
- vj.  Exh 1 - Freedom \$3.3MM 8-18-06 - Summary Results
- vk.  Exh 1 - Freedom \$7 6MM Feb 06 - Summary Results
- vl.  Exh 1 - Freedom \$7 6MM Feb 06 - Summary Results
- vm.  Exh 1 - Freedom \$7 6MM Feb 06 - Summary Results
- vn.  Exh 1 - Fremont \$1.25 B July 06 - Summary Results
- vo.  Exh 1 - Fremont \$1.25 B July 06 - Summary Results
- vp.  Exh 1 - Fremont \$1.25 B July 06 - Summary Results
- vq.  Exh 1 - Fremont \$1B June 06 - Summary Results
- vr.  Exh 1 - Fremont \$1B June 06 - Summary Results
- vs.  Exh 1 - Fremont \$1B June 06 - Summary Results
- vt.  Exh 1 - Fremont \$600MM May 06 - Summary Results
- vu.  Exh 1 - Fremont \$600MM May 06 - Summary Results
- vv.  Exh 1 - Fremont \$600MM May 06 - Summary Results
- vw.  Exh 1 - GMAC \$125MM 12-15-06 Summary Results - Revised
- vx.  Exh 1 - GMAC \$125MM 12-15-06 Summary Results - Revised
- vy.  Exh 1 - GMAC \$125MM 12-15-06 Summary Results - Revised
- vz.  Exh 1 - GMAC \$140MM Mar 06
- wa.  Exh 1 - GMAC \$140MM Mar 06
- wb.  Exh 1 - GMAC \$140MM Mar 06
- wc.  Exh 1 - GMFS \$1.3MM Feb 06 - Summary Results
- wd.  Exh 1 - GMFS \$1.3MM Feb 06 - Summary Results
- we.  Exh 1 - GMFS \$1.3MM Feb 06 - Summary Results
- wf.  Exh 1 - GMFS \$1.5MM 9-29-06 - Summary Results
- wg.  Exh 1 - GMFS \$1.5MM 9-29-06 - Summary Results
- wh.  Exh 1 - GMFS \$1.5MM 9-29-06 - Summary Results
- wi.  Exh 1 - GMFS \$1.7MM Feb 06A - Summary Results
- wj.  Exh 1 - GMFS \$1.7MM Feb 06A - Summary Results
- wk.  Exh 1 - GMFS \$1.7MM Feb 06A - Summary Results
- wl.  Exh 1 - GMFS \$2.4MM 8-21-06 Summary Results
- wm.  Exh 1 - GMFS \$2.4MM 8-21-06 Summary Results
- wn.  Exh 1 - GMFS \$2.4MM 8-21-06 Summary Results
























































wo.  Exh 1 - GMFS \$2.5MM June 06 - Summary Results  
wp.  Exh 1 - GMFS \$2.5MM June 06 - Summary Results  
wq.  Exh 1 - GMFS \$2.5MM June 06 - Summary Results  
wr.  Exh 1 - GMFS \$3MM 8-25-06 - Summary Results  
ws.  Exh 1 - GMFS \$3MM 8-25-06 - Summary Results  
wt.  Exh 1 - GMFS \$3MM 8-25-06 - Summary Results  
wu.  Exh 1 - GMFS \$4MM 11-6-06 Summary Results  
wv.  Exh 1 - GMFS \$4MM 11-6-06 Summary Results  
ww.  Exh 1 - GMFS \$4MM 11-6-06 Summary Results  
wx.  Exh 1 - GMFS \$5.1MM 12-28-06 Summary Results  
wy.  Exh 1 - GMFS \$5.1MM 12-28-06 Summary Results  
wz.  Exh 1 - GMFS \$5.1MM 12-28-06 Summary Results  
xa.  Exh 1 - GMFS \$7.6MM 12-28-06 Summary Results  
xb.  Exh 1 - GMFS \$7.6MM 12-28-06 Summary Results  
xc.  Exh 1 - GMFS \$7.6MM 12-28-06 Summary Results  
xd.  Exh 1 - Greenpoint \$113MM 12-15-06 Summary Results  
xe.  Exh 1 - Greenpoint \$113MM 12-15-06 Summary Results  
xf.  Exh 1 - Greenpoint \$113MM 12-15-06 Summary Results  
xg.  Exh 1 - GreenPoint \$114MM June 06 - Summary Results  
xh.  Exh 1 - GreenPoint \$114MM June 06 - Summary Results  
xi.  Exh 1 - GreenPoint \$114MM June 06 - Summary Results  
xj.  Exh 1 - Greenpoint \$122MM Aug 06A - Summary Results  
xk.  Exh 1 - Greenpoint \$122MM Aug 06A - Summary Results  
xl.  Exh 1 - Greenpoint \$122MM Aug 06A - Summary Results  
xm.  Exh 1 - Greenpoint \$149MM Aug 06 - Summary Results  
xn.  Exh 1 - Greenpoint \$149MM Aug 06 - Summary Results  
xo.  Exh 1 - Greenpoint \$149MM Aug 06 - Summary Results  
xp.  Exh 1 - Greenpoint \$24MM 8-25-06 Summary Results  
xq.  Exh 1 - Greenpoint \$24MM 8-25-06 Summary Results  
xr.  Exh 1 - Greenpoint \$24MM 8-25-06 Summary Results  
xs.  Exh 1 - Greenpoint \$25.7MM May 06 - Summary Results  
xt.  Exh 1 - Greenpoint \$25.7MM May 06 - Summary Results  
xu.  Exh 1 - Greenpoint \$25.7MM May 06 - Summary Results  
xv.  Exh 1 - Greenpoint \$28MM June 06A - Summary Results  
xw.  Exh 1 - Greenpoint \$28MM June 06A - Summary Results  
xx.  Exh 1 - Greenpoint \$28MM June 06A - Summary Results  
xy.  Exh 1 - Greenpoint \$39MM Mar 06B - Summary Results  
xz.  Exh 1 - Greenpoint \$39MM Mar 06B - Summary Results  
ya.  Exh 1 - Greenpoint \$39MM Mar 06B - Summary Results  
yb.  Exh 1 - Greenpoint \$424MM - HELOC - Summary Results  
yc.  Exh 1 - Greenpoint \$424MM - HELOC - Summary Results  
yd.  Exh 1 - Greenpoint \$424MM - HELOC - Summary Results  
ye.  Exh 1 - Greenpoint \$4MM 9-18-06 Summary Results  
yf.  Exh 1 - Greenpoint \$4MM 9-18-06 Summary Results  
yg.  Exh 1 - Greenpoint \$4MM 9-18-06 Summary Results  
yh.  Exh 1 - Greenpoint \$55MM Mar 06A -Summary Results  
yi.  Exh 1 - Greenpoint \$55MM Mar 06A -Summary Results  
yj.  Exh 1 - Greenpoint \$55MM Mar 06A -Summary Results  
yk.  Exh 1 - Greenpoint \$70MM Mar 06 - Summary Results  
yl.  Exh 1 - Greenpoint \$70MM Mar 06 - Summary Results  
ym.  Exh 1 - Greenpoint \$70MM Mar 06 - Summary Results  
yn.  Exh 1 - Greenpoint \$75MM 9-11-06 Summary Results  
yo.  Exh 1 - Greenpoint \$75MM 9-11-06 Summary Results  
yp.  Exh 1 - Greenpoint \$75MM 9-11-06 Summary Results  
yq.  Exh 1 - Greenpoint \$98MM Feb 06 - Summary Results





















































































yr.  Exh 1 - Greenpoint \$98MM Feb 06 - Summary Results  
ys.  Exh 1 - Greenpoint \$98MM Feb 06 - Summary Results  
yt.  Exh 1 - Guild Mortgage \$1.3MM 2nd Liens June 06 - Summary Re  
yu.  Exh 1 - Guild Mortgage \$1.3MM 2nd Liens June 06 - Summary Re  
yv.  Exh 1 - Guild Mortgage \$1.3MM 2nd Liens June 06 - Summary Re  
yw.  Exh 1 - Guild Mortgage \$4.9MM 12-29-06 Summary Results  
yx.  Exh 1 - Guild Mortgage \$4.9MM 12-29-06 Summary Results  
yy.  Exh 1 - Guild Mortgage \$4.9MM 12-29-06 Summary Results  
yz.  Exh 1 - Guild Mortgage \$5.6MM 10-10-06 - Summary Results  
za.  Exh 1 - Guild Mortgage \$5.6MM 10-10-06 - Summary Results  
zb.  Exh 1 - Guild Mortgage \$5.6MM 10-10-06 - Summary Results  
zc.  Exh 1 - Guild Mortgage June 06A - Summary Results  
zd.  Exh 1 - Guild Mortgage June 06A - Summary Results  
ze.  Exh 1 - Guild Mortgage June 06A - Summary Results  
zf.  Exh 1 - Home Loan Corp \$10.6MM ARMs 8-10-06 - Summary Result  
zg.  Exh 1 - Home Loan Corp \$10.6MM ARMs 8-10-06 - Summary Result  
zh.  Exh 1 - Home Loan Corp \$10.6MM ARMs 8-10-06 - Summary Result  
zi.  Exh 1 - Home Loan Corp \$2.9MM 2nd Liens Jun 06A - Summary Re  
zj.  Exh 1 - Home Loan Corp \$2.9MM 2nd Liens Jun 06A - Summary Re  
zk.  Exh 1 - Home Loan Corp \$2.9MM 2nd Liens Jun 06A - Summary Re  
zl.  Exh 1 - Homeview \$3.3MM 06-01-06 Summary Results  
zm.  Exh 1 - Homeview \$3.3MM 06-01-06 Summary Results  
zn.  Exh 1 - Homeview \$3.3MM 06-01-06 Summary Results  
zo.  Exh 1 - Horizon \$4 8MM July 06 - Summary Results  
zp.  Exh 1 - Horizon \$4 8MM July 06 - Summary Results  
zq.  Exh 1 - Horizon \$4 8MM July 06 - Summary Results  
zr.  Exh 1 - Impac \$200MM May 06 - Summary Results  
zs.  Exh 1 - Impac \$200MM May 06 - Summary Results  
zt.  Exh 1 - Impac \$200MM May 06 - Summary Results  
zu.  Exh 1 - Impac \$90MM 10-26-06 Exhibit 1  
zv.  Exh 1 - Impac \$90MM 10-26-06 Exhibit 1  
zw.  Exh 1 - Impac \$90MM 10-26-06 Exhibit 1  
zx.  Exh 1 - Indy Mac \$280MM 09-28-06 Summary Results  
zy.  Exh 1 - Indy Mac \$280MM 09-28-06 Summary Results  
zz.  Exh 1 - Indy Mac \$280MM 09-28-06 Summary Results  
aaa.  Exh 1 - IndyMac \$100MM 8-30-06 - Summary Results  
aab.  Exh 1 - IndyMac \$100MM 8-30-06 - Summary Results  
aac.  Exh 1 - IndyMac \$100MM 8-30-06 - Summary Results  
aad.  Exh 1 - IndyMac \$200MM 3-30-06 - Summary Results  
aae.  Exh 1 - IndyMac \$200MM 3-30-06 - Summary Results  
aaf.  Exh 1 - IndyMac \$200MM 3-30-06 - Summary Results  
aag.  Exh 1 - IndyMac \$215MM INDX 2006-AR27 - Summary Results  
aah.  Exh 1 - IndyMac \$215MM INDX 2006-AR27 - Summary Results  
aai.  Exh 1 - IndyMac \$215MM INDX 2006-AR27 - Summary Results  
aaj.  Exh 1 - IndyMac \$230MM Dec.06 - Summary Results  
aak.  Exh 1 - IndyMac \$230MM Dec.06 - Summary Results  
aal.  Exh 1 - IndyMac \$230MM Dec.06 - Summary Results  
aam.  Exh 1 - IndyMac \$50MM 12-28-06 Summary Results  
aan.  Exh 1 - IndyMac \$50MM 12-28-06 Summary Results  
aao.  Exh 1 - IndyMac \$50MM 12-28-06 Summary Results  
aap.  Exh 1 - Innovative \$8.5MM Jan 06 - Summary Results  
aaq.  Exh 1 - Innovative \$8.5MM Jan 06 - Summary Results  
aar.  Exh 1 - Innovative \$8.5MM Jan 06 - Summary Results  
aas.  Exh 1 - Investaid \$1.9MM 6-8-06 - Summary Results  
aat.  Exh 1 - Investaid \$1.9MM 6-8-06 - Summary Results





































aau.  Exh 1 - Investaid \$1.9MM 6-8-06 - Summary Results  
aav.  Exh 1 - Investaid \$2.9MM 5-30-06 - Summary Results  
aaw.  Exh 1 - Investaid \$2.9MM 5-30-06 - Summary Results  
aax.  Exh 1 - Investaid \$2.9MM 5-30-06 - Summary Results  
aay.  Exh 1 - Investaid \$4.7MM Jun 06 - Summary Results  
aaz.  Exh 1 - Investaid \$4.7MM Jun 06 - Summary Results  
aba.  Exh 1 - Investaid \$4.7MM Jun 06 - Summary Results  
abb.  Exh 1 - Investaid \$6.3MM 09-27-06 - Summary Results - REVISE  
abc.  Exh 1 - Investaid \$6.3MM 09-27-06 - Summary Results - REVISE  
abd.  Exh 1 - Investaid \$6.3MM 09-27-06 - Summary Results - REVISE  
abe.  Exh 1 - Investaid \$7.7MM 08-28-06 - Summary Results - REVISE  
abf.  Exh 1 - Investaid \$7.7MM 08-28-06 - Summary Results - REVISE  
abg.  Exh 1 - Investaid \$7.7MM 08-28-06 - Summary Results - REVISE  
abh.  Exh 1 - Just Mortgage \$26MM 10-26-06 Summary Results  
abi.  Exh 1 - Just Mortgage \$26MM 10-26-06 Summary Results  
abj.  Exh 1 - Just Mortgage \$26MM 10-26-06 Summary Results  
abk.  Exh 1 - Just Mortgage \$3.6MM 9-11-06 Summary Results  
abl.  Exh 1 - Just Mortgage \$3.6MM 9-11-06 Summary Results  
abm.  Exh 1 - Just Mortgage \$3.6MM 9-11-06 Summary Results  
abn.  Exh 1 - Lancaster \$15MM (Fixed) 9-13-06 Summary Results  
abo.  Exh 1 - Lancaster \$15MM (Fixed) 9-13-06 Summary Results  
abp.  Exh 1 - Lancaster \$15MM (Fixed) 9-13-06 Summary Results  
abq.  Exh 1 - Lancaster \$15MM 9-13-06 (ARMS) Summary Results  
abr.  Exh 1 - Lancaster \$15MM 9-13-06 (ARMS) Summary Results  
abs.  Exh 1 - Lancaster \$15MM 9-13-06 (ARMS) Summary Results  
abt.  Exh 1 - Lancaster \$19MM July 06 - Summary Results  
abu.  Exh 1 - Lancaster \$19MM July 06 - Summary Results  
abv.  Exh 1 - Lancaster \$19MM July 06 - Summary Results  
abw.  Exh 1 - Lancaster \$25MM 9-27-06 Summary Results  
abx.  Exh 1 - Lancaster \$25MM 9-27-06 Summary Results  
aby.  Exh 1 - Lancaster \$25MM 9-27-06 Summary Results  
abz.  Exh 1 - Lancaster \$62MM Mar 06 - Summary Results  
aca.  Exh 1 - Lancaster \$62MM Mar 06 - Summary Results  
acb.  Exh 1 - Lancaster \$62MM Mar 06 - Summary Results  
acc.  Exh 1 - Lenders \$33MM 9-5-06 Summary Results  
acd.  Exh 1 - Lenders \$33MM 9-5-06 Summary Results  
ace.  Exh 1 - Lenders \$33MM 9-5-06 Summary Results  
acf.  Exh 1 - Lenders Direct \$20MM June 06 - Summary Results  
acg.  Exh 1 - Lenders Direct \$20MM June 06 - Summary Results  
ach.  Exh 1 - Lenders Direct \$20MM June 06 - Summary Results  
aci.  Exh 1 - Lenders Direct \$20MM May 06 - Summary Results  
acj.  Exh 1 - Lenders Direct \$20MM May 06 - Summary Results  
ack.  Exh 1 - Lenders Direct \$20MM May 06 - Summary Results  
acl.  Exh 1 - Lenders Direct \$20MM May 06 B - Summary Results  
acm.  Exh 1 - Lenders Direct \$20MM May 06 B - Summary Results  
acn.  Exh 1 - Lenders Direct \$20MM May 06 B - Summary Results  
aco.  Exh 1 - Lenders Direct \$36 5MM Jan 06 - Summary Results  
acp.  Exh 1 - Lenders Direct \$36 5MM Jan 06 - Summary Results  
acq.  Exh 1 - Lenders Direct \$36 5MM Jan 06 - Summary Results  
acr.  Exh 1 - Lenders Direct \$40MM Apr 06 - Summary Results  
acs.  Exh 1 - Lenders Direct \$40MM Apr 06 - Summary Results  
act.  Exh 1 - Lenders Direct \$40MM Apr 06 - Summary Results  
acu.  Exh 1 - Liberty American \$1.4MM 6-26-06 - Summary Results  
acv.  Exh 1 - Liberty American \$1.4MM 6-26-06 - Summary Results  
acw.  Exh 1 - Liberty American \$1.4MM 6-26-06 - Summary Results
























































acx.  Exh 1 - Liberty American \$10.2MM 11-22-06 Summary Results  
acy.  Exh 1 - Liberty American \$10.2MM 11-22-06 Summary Results  
acz.  Exh 1 - Liberty American \$10.2MM 11-22-06 Summary Results  
ada.  Exh 1 - Liberty American \$12MM 10-24-06 Summary Results  
adb.  Exh 1 - Liberty American \$12MM 10-24-06 Summary Results  
adc.  Exh 1 - Liberty American \$12MM 10-24-06 Summary Results  
add.  Exh 1 - Liberty American \$12MM Mar 06 - Summary Results  
ade.  Exh 1 - Liberty American \$12MM Mar 06 - Summary Results  
adf.  Exh 1 - Liberty American \$12MM Mar 06 - Summary Results  
adg.  Exh 1 - Liberty American \$8.9MM 5-18-06 - Summary Results  
adh.  Exh 1 - Liberty American \$8.9MM 5-18-06 - Summary Results  
adi.  Exh 1 - Liberty American \$8.9MM 5-18-06 - Summary Results  
adj.  Exh 1 - Lime \$5.5MM 8-30-06 - Summary Results  
adk.  Exh 1 - Lime \$5.5MM 8-30-06 - Summary Results  
adl.  Exh 1 - Lime \$5.5MM 8-30-06 - Summary Results  
adm.  Exh 1 - Lime \$50MM 10-31-06 Summary Results  
adn.  Exh 1 - Lime \$50MM 10-31-06 Summary Results  
ado.  Exh 1 - Lime \$50MM 10-31-06 Summary Results  
adp.  Exh 1 - Lime \$5MM 2nd liens Jan 06 - Summary Results  
adq.  Exh 1 - Lime \$5MM 2nd liens Jan 06 - Summary Results  
adr.  Exh 1 - Lime \$5MM 2nd liens Jan 06 - Summary Results  
ads.  Exh 1 - Loan Link \$10.8MM 10-16-06 Summary Results  
adt.  Exh 1 - Loan Link \$10.8MM 10-16-06 Summary Results  
adu.  Exh 1 - Loan Link \$10.8MM 10-16-06 Summary Results  
adv.  Exh 1 - Loan Link \$3MM Mar 06 - Summary Results  
adw.  Exh 1 - Loan Link \$3MM Mar 06 - Summary Results  
adx.  Exh 1 - Loan Link \$3MM Mar 06 - Summary Results  
ady.  Exh 1 - Loan Link \$4.8MM June 06 - Due Diligence Summary  
adz.  Exh 1 - Loan Link \$4.8MM June 06 - Due Diligence Summary  
aea.  Exh 1 - Loan Link \$4.8MM June 06 - Due Diligence Summary  
aeb.  Exh 1 - Loan Link \$5.8MM June 06A - Summary Results  
aec.  Exh 1 - Loan Link \$5.8MM June 06A - Summary Results  
aed.  Exh 1 - Loan Link \$5.8MM June 06A - Summary Results  
aee.  Exh 1 - Long Beach \$384MM Jan 06 - Summary Results  
aef.  Exh 1 - Long Beach \$384MM Jan 06 - Summary Results  
aeg.  Exh 1 - Long Beach \$384MM Jan 06 - Summary Results  
aeh.  Exh 1 - Mandalay \$14MM 11-30-06 Summary Results  
aei.  Exh 1 - Mandalay \$14MM 11-30-06 Summary Results  
aej.  Exh 1 - Mandalay \$14MM 11-30-06 Summary Results  
aek.  Exh 1 - Maribella \$0.6MM 9-29-06 Summary Results  
ael.  Exh 1 - Maribella \$0.6MM 9-29-06 Summary Results  
aem.  Exh 1 - Maribella \$0.6MM 9-29-06 Summary Results  
aen.  Exh 1 - Maribella \$0.7MM 10-27-06 (FIXED) Summary Results  
aео.  Exh 1 - Maribella \$0.7MM 10-27-06 (FIXED) Summary Results  
aep.  Exh 1 - Maribella \$0.7MM 10-27-06 (FIXED) Summary Results  
aeq.  Exh 1 - Maribella \$1.7MM 8-30-06 (1sts) - Summary Results  
aer.  Exh 1 - Maribella \$1.7MM 8-30-06 (1sts) - Summary Results  
aes.  Exh 1 - Maribella \$1MM 9-15-06 Summary Results  
aet.  Exh 1 - Maribella \$1MM 9-15-06 Summary Results  
aeu.  Exh 1 - Maribella \$2.5MM 9-29-06 Summary Results  
aev.  Exh 1 - Maribella \$2.5MM 9-29-06 Summary Results  
aew.  Exh 1 - Maribella \$2.8MM 8-29-06 (1sts) - Summary Results  
aex.  Exh 1 - Maribella \$2.8MM 8-29-06 (1sts) - Summary Results  
aey.  Exh 1 - Maribella \$3.1MM 10-27-06 (ARMS) Summary Results  
aez.  Exh 1 - Maribella \$3.1MM 10-27-06 (ARMS) Summary Results

afa.  Exh 1 - Maribella \$326K 9-15-06 Summary Results  
afb.  Exh 1 - Maribella \$326K 9-15-06 Summary Results  
afc.  Exh 1 - Maribella \$478K 8-29-06 (2nds) - Summary Results  
afd.  Exh 1 - Maribella \$478K 8-29-06 (2nds) - Summary Results  
afe.  Exh 1 - Maribella \$531K 8-30-06 (2nds) - Summary Results  
aff.  Exh 1 - Maribella \$531K 8-30-06 (2nds) - Summary Results  
afg.  Exh 1 - Master Financial \$15MM Apr 06A - Summary Results  
afh.  Exh 1 - Master Financial \$15MM Apr 06A - Summary Results  
afi.  Exh 1 - Master Financial \$21MM Apr 06 - Summary Results  
afj.  Exh 1 - Master Financial \$21MM Apr 06 - Summary Results  
afk.  Exh 1 - Meridas \$8MM 8-25-06 - Summary Results  
afl.  Exh 1 - Meridas \$8MM 8-25-06 - Summary Results  
afm.  Exh 1 - Meridian \$9.6MM 12-14-06 Summary Results  
afn.  Exh 1 - Meridian \$9.6MM 12-14-06 Summary Results  
afo.  Exh 1 - Meridias \$1.3MM Aug 06 - Due Diligence Summary  
afp.  Exh 1 - Meridias \$1.3MM Aug 06 - Due Diligence Summary  
afq.  Exh 1 - Meridias \$10.7MM 10-31-06 Summary Results  
afr.  Exh 1 - Meridias \$10.7MM 10-31-06 Summary Results  
afs.  Exh 1 - Meridias \$18MM 12-26-06 Summary Results  
aft.  Exh 1 - Meridias \$18MM 12-26-06 Summary Results  
afu.  Exh 1 - Meridias \$3MM 05-19-06 - Summary Results  
afv.  Exh 1 - Meridias \$3MM 05-19-06 - Summary Results  
afw.  Exh 1 - Meridias \$5.8MM June 06 - Due Diligence Summary  
afx.  Exh 1 - Meridias \$5.8MM June 06 - Due Diligence Summary  
afy.  Exh 1 - Meridias \$7.6MM June 06A - Due Diligence Summary.xls  
afz.  Exh 1 - Meridias \$7.6MM June 06A - Due Diligence Summary.xls  
aga.  Exh 1 - Meridias \$7.9MM July 06A - Summary Results  
agb.  Exh 1 - Meridias \$7.9MM July 06A - Summary Results  
agc.  Exh 1 - Metrociti \$15MM Feb 06 - Summary Results  
agd.  Exh 1 - Metrociti \$15MM Feb 06 - Summary Results  
age.  Exh 1 - Metrociti \$9MM 8-29-06 - Summary Results  
agf.  Exh 1 - Metrociti \$9MM 8-29-06 - Summary Results  
agg.  Exh 1 - Metrocities \$41MM 06-26-06 - Summary Results  
agh.  Exh 1 - Metrocities \$41MM 06-26-06 - Summary Results  
agi.  Exh 1 - MILA \$24mm 11-17-06 Summary Results  
agj.  Exh 1 - MILA \$24mm 11-17-06 Summary Results  
agk.  Exh 1 - MILA \$50MM Apr 06 - Summary Results  
agl.  Exh 1 - MILA \$50MM Apr 06 - Summary Results  
agm.  Exh 1 - Mila \$7.8MM 11-17-06 Summary Results  
agn.  Exh 1 - Mila \$7.8MM 11-17-06 Summary Results  
ago.  Exh 1 - MILA \$76MM July 06 -Summary Results  
agp.  Exh 1 - MILA \$76MM July 06 -Summary Results  
agq.  Exh 1 - Millenium \$12 3MM Mar 06 - Summary Results  
agr.  Exh 1 - Millenium \$12 3MM Mar 06 - Summary Results  
ags.  Exh 1 - Millenium \$15MM May 06 - Summary Results  
agt.  Exh 1 - Millenium \$15MM May 06 - Summary Results  
agu.  Exh 1 - Millenium \$20MM Feb 06 - Summary Results  
agv.  Exh 1 - Millenium \$20MM Feb 06 - Summary Results  
agw.  Exh 1 - Millenium \$25MM June 06 - Summary Results  
agx.  Exh 1 - Millenium \$25MM June 06 - Summary Results  
agy.  Exh 1 - Millennium \$13MM 7-12-06 - Summary Results  
agz.  Exh 1 - Millennium \$13MM 7-12-06 - Summary Results  
aha.  Exh 1 - MLSG \$6.5MM Jan 06 - Summary Results  
ahb.  Exh 1 - MLSG \$6.5MM Jan 06 - Summary Results  
ahc.  Exh 1 - Montgomery \$1.1MM 9-11-06 Summary Results
































ahd.  Exh 1 - Montgomery \$1.1MM 9-11-06 Summary Results  
ahe.  Exh 1 - Montgomery \$1.2MM July 06 - Summary Results  
ahf.  Exh 1 - Montgomery \$1.2MM July 06 - Summary Results  
ahg.  Exh 1 - Mortgage Network \$11.9MM 9-1-06 - Summary Results  
ahh.  Exh 1 - Mortgage Network \$11.9MM 9-1-06 - Summary Results  
ahi.  Exh 1 - Mortgage Network \$23.9MM 9-1-06 - Summary Results  
ahj.  Exh 1 - Mortgage Network \$23.9MM 9-1-06 - Summary Results  
ahk.  Exh 1 - Mortgage Network \$9.8MM 11-3-06 Summary Results  
ahl.  Exh 1 - Mortgage Network \$9.8MM 11-3-06 Summary Results  
ahm.  Exh 1 - MortgageIT \$0.9MM 12-27-06 Summary Results  
ahn.  Exh 1 - MortgageIT \$0.9MM 12-27-06 Summary Results  
aho.  Exh 1 - MortgageIT \$100MM 8-30-06 Summary Results  
ahp.  Exh 1 - MortgageIT \$100MM 8-30-06 Summary Results  
ahq.  Exh 1 - MortgageIT \$100MM June 06C - Summary Results  
ahr.  Exh 1 - MortgageIT \$100MM June 06C - Summary Results  
ahs.  Exh 1 - MortgageIT \$12MM 9-15-06 - Summary Results  
aht.  Exh 1 - MortgageIT \$12MM 9-15-06 - Summary Results  
ahu.  Exh 1 - MortgageIT \$13MM 12-15-06 Summary Results  
ahv.  Exh 1 - MortgageIT \$13MM 12-15-06 Summary Results  
ahw.  Exh 1 - MortgageIT \$150MM 11-29-06 Summary Results  
ahx.  Exh 1 - MortgageIT \$150MM 11-29-06 Summary Results  
ahy.  Exh 1 - MortgageIT \$15MM 10-19-06 Summary Results  
ahz.  Exh 1 - MortgageIT \$15MM 10-19-06 Summary Results  
aia.  Exh 1 - MortgageIT \$178MM 12-6-06 Summary Results  
aib.  Exh 1 - MortgageIT \$178MM 12-6-06 Summary Results  
aic.  Exh 1 - MortgageIT \$19MM Mar 06 - Summary Results  
aid.  Exh 1 - MortgageIT \$19MM Mar 06 - Summary Results  
aie.  Exh 1 - MortgageIT \$19MM Mar 06B - Summary Results  
aif.  Exh 1 - MortgageIT \$19MM Mar 06B - Summary Results  
aig.  Exh 1 - MortgageIT \$200MM 12-19-06 Summary Results  
aih.  Exh 1 - MortgageIT \$200MM 12-19-06 Summary Results  
aii.  Exh 1 - MortgageIT \$24MM 10-27-06 Summary Results  
aij.  Exh 1 - MortgageIT \$24MM 10-27-06 Summary Results  
aik.  Exh 1 - MortgageIT \$25MM 11-9-06 Summary Results  
ail.  Exh 1 - MortgageIT \$25MM 11-9-06 Summary Results  
aim.  Exh 1 - MortgageIT \$26MM 11-15-06 Summary Results  
ain.  Exh 1 - MortgageIT \$26MM 11-15-06 Summary Results  
aio.  Exh 1 - MortgageIT \$29MM June 06 - Summary Results  
aip.  Exh 1 - MortgageIT \$29MM June 06 - Summary Results  
aiq.  Exh 1 - MortgageIT \$32MM 10-26-06 Summary Results  
air.  Exh 1 - MortgageIT \$32MM 10-26-06 Summary Results  
ais.  Exh 1 - MortgageIT \$32MM 12-15-06 Summary Results  
ait.  Exh 1 - MortgageIT \$32MM 12-15-06 Summary Results  
aiu.  Exh 1 - MortgageIT \$33MM June 06B - Due Diligence Summary  
aiv.  Exh 1 - MortgageIT \$33MM June 06B - Due Diligence Summary  
aiw.  Exh 1 - MortgageIT \$36MM 12-27-06 Summary Results  
aix.  Exh 1 - MortgageIT \$36MM 12-27-06 Summary Results  
aiy.  Exh 1 - MortgageIT \$4.3MM 9-15-06 - Summary Results  
aiz.  Exh 1 - MortgageIT \$4.3MM 9-15-06 - Summary Results  
aja.  Exh 1 - MortgageIT \$40MM 11-8-06 Summary Results  
ajb.  Exh 1 - MortgageIT \$40MM 11-8-06 Summary Results  
ajc.  Exh 1 - MortgageIT \$45MM 11-21-06 Summary Results  
ajd.  Exh 1 - MortgageIT \$45MM 11-21-06 Summary Results  
aje.  Exh 1 - MortgageIT \$46MM 10-19-06 Summary Results  
ajf.  Exh 1 - MortgageIT \$46MM 10-19-06 Summary Results
























































ajg.  Exh 1 - MortgageIT \$46MM 10-26-06 Summary Results  
ajh.  Exh 1 - MortgageIT \$46MM 10-26-06 Summary Results  
aji.  Exh 1 - MortgageIT \$48MM Mar 06A - Summary Results  
ajj.  Exh 1 - MortgageIT \$48MM Mar 06A - Summary Results  
ajk.  Exh 1 - MortgageIT \$54MM 11-29-06 Summary Results  
ajl.  Exh 1 - MortgageIT \$54MM 11-29-06 Summary Results  
ajm.  Exh 1 - MortgageIT \$56MM 10-18-06 Summary Results  
ajn.  Exh 1 - MortgageIT \$56MM 10-18-06 Summary Results  
ajo.  Exh 1 - MortgageIT \$57MM 11-9-06 Summary Results  
ajp.  Exh 1 - MortgageIT \$57MM 11-9-06 Summary Results  
ajq.  Exh 1 - MortgageIT \$58MM 12-27-06 Summary Results  
ajr.  Exh 1 - MortgageIT \$58MM 12-27-06 Summary Results  
ajs.  Exh 1 - MortgageIT \$6.1MM July 06 -Summary Results  
ajt.  Exh 1 - MortgageIT \$6.1MM July 06 -Summary Results  
aju.  Exh 1 - MortgageIT \$6.3MM 06-22-06 - Summary Results  
ajv.  Exh 1 - MortgageIT \$6.3MM 06-22-06 - Summary Results  
ajw.  Exh 1 - MortgageIT \$6.4MM 10-19-06 Summary Results  
ajx.  Exh 1 - MortgageIT \$6.4MM 10-19-06 Summary Results  
ajy.  Exh 1 - MortgageIT \$6.8MM 10-19-06 FIXED Summary Results  
ajz.  Exh 1 - MortgageIT \$6.8MM 10-19-06 FIXED Summary Results  
aka.  Exh 1 - MortgageIT \$68MM 11-28-06 Summary Results  
akb.  Exh 1 - MortgageIT \$68MM 11-28-06 Summary Results  
akc.  Exh 1 - MortgageIT \$7.5MM 12-15-06 Summary Results  
akd.  Exh 1 - MortgageIT \$7.5MM 12-15-06 Summary Results  
ake.  Exh 1 - MortgageIT \$76MM 12-27-06 Summary Results  
akf.  Exh 1 - MortgageIT \$76MM 12-27-06 Summary Results  
akg.  Exh 1 - MortgageIT \$8.5MM 9-28-06 Summary Results  
akh.  Exh 1 - MortgageIT \$8.5MM 9-28-06 Summary Results  
aki.  Exh 1 - MortgageIT \$800MM June 06A - Due Diligence Summary  
akj.  Exh 1 - MortgageIT \$800MM June 06A - Due Diligence Summary  
akk.  Exh 1 - MortgageIT \$9.5MM 12-27-06 Summary Results  
akl.  Exh 1 - MortgageIT \$9.5MM 12-27-06 Summary Results  
akm.  Exh 1 - Nation One \$4.7MM 07-31-06 - Summary Results  
akn.  Exh 1 - Nation One \$4.7MM 07-31-06 - Summary Results  
ako.  Exh 1 - Nation One \$4MM Mar 06 - Summary Results  
akp.  Exh 1 - Nation One \$4MM Mar 06 - Summary Results  
akq.  Exh 1 - National City \$75MM July 06 - Summary Results  
akr.  Exh 1 - National City \$75MM July 06 - Summary Results  
aks.  Exh 1 - National City City \$89MM Jan 06 - Summary Results  
akt.  Exh 1 - National City City \$89MM Jan 06 - Summary Results  
aku.  Exh 1 - Network Funding \$1 3MM 04-13-06 - Summary Results  
akv.  Exh 1 - Network Funding \$1 3MM 04-13-06 - Summary Results  
akw.  Exh 1 - Network Funding \$7.2MM 10-19-06 Summary Results  
akx.  Exh 1 - Network Funding \$7.2MM 10-19-06 Summary Results  
aky.  Exh 1 - Network Funding \$9.7MM July 06 - Summary Results  
akz.  Exh 1 - Network Funding \$9.7MM July 06 - Summary Results  
ala.  Exh 1 - New Century \$1 B 10-20-06 - Summary Results  
alb.  Exh 1 - New Century \$1 B 10-20-06 - Summary Results  
alc.  Exh 1 - New Century \$1B June 06 - Summary Results  
ald.  Exh 1 - New Century \$1B June 06 - Summary Results  
ale.  Exh 1 - New Century \$700MM Aug 06 - Summary Results  
alf.  Exh 1 - New Century \$700MM Aug 06 - Summary Results  
alg.  Exh 1 - NYMC \$3.5MM Feb 06 - Summary Results  
alh.  Exh 1 - NYMC \$3.5MM Feb 06 - Summary Results  
ali.  Exh 1 - Oak St \$15MM May - Summary Results


































alj.  Exh 1 - Oak St \$15MM May - Summary Results  
alk.  Exh 1 - Oak St \$5MM May 06A - Summary Results  
all.  Exh 1 - Oak St \$5MM May 06A - Summary Results  
alm.  Exh 1 - Oak Street \$1.4MM May 06B - Summary Results  
aln.  Exh 1 - Oak Street \$1.4MM May 06B - Summary Results  
alo.  Exh 1 - Oak Street \$3.8MM Feb 06 - Summary Results  
alp.  Exh 1 - Oak Street \$3.8MM Feb 06 - Summary Results  
alq.  Exh 1 - Oak Street \$4.1MM May 06C Summary Results  
alr.  Exh 1 - Oak Street \$4.1MM May 06C Summary Results  
als.  Exh 1 - Ohio Savings \$83MM Jan 06 - Summary Results  
alt.  Exh 1 - Ohio Savings \$83MM Jan 06 - Summary Results  
alu.  Exh 1 - Ohio Savings Bank \$105MM 03-29-06 - Summary Results  
alv.  Exh 1 - Ohio Savings Bank \$105MM 03-29-06 - Summary Results  
alw.  Exh 1 - Option One \$1 25B Mar 06 - Summary Results - Final  
alx.  Exh 1 - Option One \$1 25B Mar 06 - Summary Results - Final  
aly.  Exh 1 - Option One \$1B July 06 - Summary Results  
alz.  Exh 1 - Option One \$1B July 06 - Summary Results  
ama.  Exh 1 - Pemmttek \$1.9MM Jun 06A - Summary Results  
amb.  Exh 1 - Pemmttek \$1.9MM Jun 06A - Summary Results  
amc.  Exh 1 - Peoples Choice \$250MM 09-27-06 Summary Results  
amd.  Exh 1 - Peoples Choice \$250MM 09-27-06 Summary Results  
ame.  Exh 1 - Peoples Choice \$42MM June 06 - Summary Results  
amf.  Exh 1 - Peoples Choice \$42MM June 06 - Summary Results  
amg.  Exh 1 - Pinnacle Direct \$1.2MM Feb 06 - Summary Results  
amh.  Exh 1 - Pinnacle Direct \$1.2MM Feb 06 - Summary Results  
ami.  Exh 1 - Pinnacle Direct \$16MM 11-29-06 Summary Results  
amj.  Exh 1 - Pinnacle Direct \$16MM 11-29-06 Summary Results  
amk.  Exh 1 - Pinnacle Direct \$33.5MM 9-11-06 Summary Results  
aml.  Exh 1 - Pinnacle Direct \$33.5MM 9-11-06 Summary Results  
amm.  Exh 1 - Pinnacle Direct \$49MM 8-28-06 Summary Results  
amn.  Exh 1 - Pinnacle Direct \$49MM 8-28-06 Summary Results  
amo.  Exh 1 - Pinnacle Financial \$10MM 11-1-06 - Summary Results  
amp.  Exh 1 - Pinnacle Financial \$10MM 11-1-06 - Summary Results  
amq.  Exh 1 - Pinnacle Financial \$12MM March 06A - Summary Results  
amr.  Exh 1 - Pinnacle Financial \$12MM March 06A - Summary Results  
ams.  Exh 1 - Pinnacle Financial \$17MM Mar 06B - Summary Results  
amt.  Exh 1 - Pinnacle Financial \$17MM Mar 06B - Summary Results  
amu.  Exh 1 - Pinnacle Financial \$18MM 12-21-06 Summary Results  
amv.  Exh 1 - Pinnacle Financial \$18MM 12-21-06 Summary Results  
amw.  Exh 1 - Pinnacle Financial \$21MM 10-18-06 Summary Results  
amx.  Exh 1 - Pinnacle Financial \$21MM 10-18-06 Summary Results  
amy.  Exh 1 - Pinnacle Financial \$29.1MM Aug 06A - Summary Results  
amz.  Exh 1 - Pinnacle Financial \$29.1MM Aug 06A - Summary Results  
ana.  Exh 1 - Pinnacle Financial \$31MM Apr 06B - Summary Results  
anb.  Exh 1 - Pinnacle Financial \$31MM Apr 06B - Summary Results  
anc.  Exh 1 - Pinnacle Financial \$4.4MM Mar 06C - Summary Results  
and.  Exh 1 - Pinnacle Financial \$4.4MM Mar 06C - Summary Results  
ane.  Exh 1 - Pinnacle Financial \$40MM Mar 06D - Summary Results  
anf.  Exh 1 - Pinnacle Financial \$40MM Mar 06D - Summary Results  
ang.  Exh 1 - Pinnacle Financial \$43MM July 06 - Summary Results  
anh.  Exh 1 - Pinnacle Financial \$43MM July 06 - Summary Results  
ani.  Exh 1 - Pinnacle Financial \$5 4MM Apr 06C - Summary Results  
anj.  Exh 1 - Pinnacle Financial \$5 4MM Apr 06C - Summary Results  
ank.  Exh 1 - Pinnacle Financial \$7.5MM 12-11-06 Summary Results  
anl.  Exh 1 - Pinnacle Financial \$7.5MM 12-11-06 Summary Results























ann.  Exh 1 - Pinnacle Financial \$7MM 8-23-06 Summary Results  
ann.  Exh 1 - Pinnacle Financial \$7MM 8-23-06 Summary Results  
ano.  Exh 1 - Pinnacle Financial \$7MM Mar 06 - Summary Results  
anp.  Exh 1 - Pinnacle Financial \$7MM Mar 06 - Summary Results  
anq.  Exh 1 - Pinnacle Financial \$9 3MM Apr 06 - Summary Results  
anr.  Exh 1 - Pinnacle Financial \$9 3MM Apr 06 - Summary Results  
ans.  Exh 1 - Platinum \$1.3MM 8-24-06 Summary Results  
ant.  Exh 1 - Platinum \$1.3MM 8-24-06 Summary Results  
anu.  Exh 1 - Platinum \$7.1MM 8-25-06 Summary Results  
anv.  Exh 1 - Platinum \$7.1MM 8-25-06 Summary Results  
anw.  Exh 1 - Plaza \$11MM July 06A - Summary Results  
anx.  Exh 1 - Plaza \$11MM July 06A - Summary Results  
any.  Exh 1 - Plaza \$12MM 10-5-06 Summary Results  
anz.  Exh 1 - Plaza \$12MM 10-5-06 Summary Results  
aoa.  Exh 1 - Plaza \$12MM 8-24-06 Summary Results  
aob.  Exh 1 - Plaza \$12MM 8-24-06 Summary Results  
aoc.  Exh 1 - Plaza \$13MM Aug 06A - Summary Results  
aod.  Exh 1 - Plaza \$13MM Aug 06A - Summary Results  
aoe.  Exh 1 - Plaza \$15MM JULy 06 - Summary Results  
aof.  Exh 1 - Plaza \$15MM JULy 06 - Summary Results  
aog.  Exh 1 - Plaza \$15MM June 06 - Due Diligence Summary  
aoh.  Exh 1 - Plaza \$15MM June 06 - Due Diligence Summary  
aoi.  Exh 1 - Plaza Mortgage \$50MM 12-5-06 Summary Results  
aoj.  Exh 1 - Plaza Mortgage \$50MM 12-5-06 Summary Results  
aok.  Exh 1 - Plaza MTG \$4.9MM 12-11-06 Summary Results  
aol.  Exh 1 - Plaza MTG \$4.9MM 12-11-06 Summary Results  
aom.  Exh 1 - PMC \$10MM July 06A - Summary Results  
aon.  Exh 1 - PMC \$10MM July 06A - Summary Results  
aoo.  Exh 1 - PMC \$4.3MM 11-28-06 (2nds) Summary Results  
aop.  Exh 1 - PMC \$4.3MM 11-28-06 (2nds) Summary Results  
aoq.  Exh 1 - Preferred Financial \$12MM Aug 06 - Summary Results  
aor.  Exh 1 - Preferred Financial \$12MM Aug 06 - Summary Results  
aos.  Exh 1 - Preferred Financial \$24MM July 06 - Summary Results  
aot.  Exh 1 - Preferred Financial \$24MM July 06 - Summary Results  
aou.  Exh 1 - Preferred Financial \$27MM Aug 06A - Summary Results  
aov.  Exh 1 - Preferred Financial \$27MM Aug 06A - Summary Results  
aow.  Exh 1 - Preferred Financial \$28MM 9-27-06 Summary Results  
aox.  Exh 1 - Preferred Financial \$28MM 9-27-06 Summary Results  
aoy.  Exh 1 - Priority Financial \$2.9MM 11-13-06 Summary Results  
aoz.  Exh 1 - Priority Financial \$2.9MM 11-13-06 Summary Results  
apa.  Exh 1 - Profound \$2.5MM 8-25-06 - Summary Results  
apb.  Exh 1 - Profound \$2.5MM 8-25-06 - Summary Results  
apc.  Exh 1 - Profound \$3 2MM Mar 06 - Summary Results  
apd.  Exh 1 - Profound \$3 2MM Mar 06 - Summary Results  
ape.  Exh 1 - Profound \$5.1 MM Jan 06 - Summary Results  
apf.  Exh 1 - Profound \$5.1 MM Jan 06 - Summary Results  
apg.  Exh 1 - Provident \$10MM 8-30-06 - Summary Results  
aph.  Exh 1 - Provident \$10MM 8-30-06 - Summary Results  
api.  Exh 1 - Provident \$16MM July 06 - Summary Results  
apj.  Exh 1 - Provident \$16MM July 06 - Summary Results  
apk.  Exh 1 - Provident \$29.5MM 9-29-06 Summary Results  
apl.  Exh 1 - Provident \$29.5MM 9-29-06 Summary Results  
apm.  Exh 1 - Quicken \$74MM Mar 06 - Summary Results  
apn.  Exh 1 - Quicken \$74MM Mar 06 - Summary Results  
apo.  Exh 1 - Quicken Loans \$15MM Apr 06 - Summary Results

















































































































app.  Exh 1 - Quicken Loans \$15MM Apr 06 - Summary Results  
apq.  Exh 1 - REMN \$8.9MM 9-8-06 Summary Results  
apr.  Exh 1 - REMN \$8.9MM 9-8-06 Summary Results  
aps.  Exh 1 - Resmae \$600MM 11-28-06 Summary Results  
apt.  Exh 1 - Resmae \$600MM 11-28-06 Summary Results  
apu.  Exh 1 - SBMC \$12MM Jun 06 Summary Results  
apv.  Exh 1 - SBMC \$12MM Jun 06 Summary Results  
apw.  Exh 1 - SBMC \$14MM 10-6-06 Summary Results  
apx.  Exh 1 - SBMC \$14MM 10-6-06 Summary Results  
apy.  Exh 1 - SBMC \$15MM July 06 - Summary Results  
apz.  Exh 1 - SBMC \$15MM July 06 - Summary Results  
aqa.  Exh 1 - SBMC \$17MM July 06 - Summary Results  
aqb.  Exh 1 - SBMC \$17MM July 06 - Summary Results  
aqc.  Exh 1 - SBMC \$2.0MM 8-21-06 Summary Results  
aqd.  Exh 1 - SBMC \$2.0MM 8-21-06 Summary Results  
aqe.  Exh 1 - SBMC \$32MM 12-29-06 Summary Results  
aqf.  Exh 1 - SBMC \$32MM 12-29-06 Summary Results  
aqg.  Exh 1 - SBMC \$7.8MM 8-21-06 - Summary Results  
aqh.  Exh 1 - SBMC \$7.8MM 8-21-06 - Summary Results  
aqi.  Exh 1 - Seabreeze \$17MM 11-17-06 Summary Results  
aqj.  Exh 1 - Seabreeze \$17MM 11-17-06 Summary Results  
aqk.  Exh 1 - Seabreeze \$20MM 8-17-06 - Summary Results  
aql.  Exh 1 - Seabreeze \$20MM 8-17-06 - Summary Results  
aqm.  Exh 1 - Seabreeze \$3.8MM 9-28-06  
aqn.  Exh 1 - Seabreeze \$3.8MM 9-28-06  
aqo.  Exh 1 - Sebring \$100MM 9-27-06 Summary Results-Revised  
aqp.  Exh 1 - Sebring \$100MM 9-27-06 Summary Results-Revised  
aqq.  Exh 1 - Sebring \$19MM 10-30-06 - Summary Results  
aqr.  Exh 1 - Sebring \$19MM 10-30-06 - Summary Results  
aqs.  Exh 1 - Sebring \$2.6MM 10-30-06 Summary Results  
aqt.  Exh 1 - Sebring \$2.6MM 10-30-06 Summary Results  
aqu.  Exh 1 - Sebring \$25MM 10-20-06 - Summary Results  
aqv.  Exh 1 - Sebring \$25MM 10-20-06 - Summary Results  
aqw.  Exh 1 - Sebring \$40MM July 06 - Summary Results  
aqx.  Exh 1 - Sebring \$40MM July 06 - Summary Results  
aqy.  Exh 1 - Sebring \$45MM 8-29-06 - Summary Results  
aqz.  Exh 1 - Sebring \$45MM 8-29-06 - Summary Results  
ara.  Exh 1 - Sebring \$5.9MM 10-30-06 Summary Results  
arb.  Exh 1 - Sebring \$5.9MM 10-30-06 Summary Results  
arc.  Exh 1 - Sebring \$57MM 08-25-06 - Summary Results  
ard.  Exh 1 - Sebring \$57MM 08-25-06 - Summary Results  
are.  Exh 1 - Sebring \$69MM 6-26-06 Summary Results  
arf.  Exh 1 - Sebring \$69MM 6-26-06 Summary Results  
arg.  Exh 1 - Sebring \$70MM 10-27-06 Summary Results  
arh.  Exh 1 - Sebring \$70MM 10-27-06 Summary Results  
ari.  Exh 1 - Shearson \$4MM 10-12-06 Summary Results  
arj.  Exh 1 - Shearson \$4MM 10-12-06 Summary Results  
ark.  Exh 1 - Shearson Mortgage \$8.1MM Mar 06 - Summary Results  
arl.  Exh 1 - Shearson Mortgage \$8.1MM Mar 06 - Summary Results  
arm.  Exh 1 - Sierra Pacific \$2.0MM 11-30-06 Summary Results  
arn.  Exh 1 - Sierra Pacific \$2.0MM 11-30-06 Summary Results  
aro.  Exh 1 - Sierra Pacific \$26MM 8-14-06 - Summary Results  
arp.  Exh 1 - Sierra Pacific \$26MM 8-14-06 - Summary Results  
arq.  Exh 1 - Sierra Pacific \$41MM 8-31-06 Summary Results  
arr.  Exh 1 - Sierra Pacific \$41MM 8-31-06 Summary Results

ars.  Exh 1 - Sierra Pacific \$73MM 11-14-06 Summary Results  
art.  Exh 1 - Sierra Pacific \$73MM 11-14-06 Summary Results  
aru.  Exh 1 - Sierra Pacific \$82MM 10-26-06 Summary Results  
arv.  Exh 1 - Sierra Pacific \$82MM 10-26-06 Summary Results  
arw.  Exh 1 - Silver State \$10MM 12-27-06 Summary Results  
arx.  Exh 1 - Silver State \$10MM 12-27-06 Summary Results  
ary.  Exh 1 - Silver State \$13MM 9-21-06 Summary Results  
arz.  Exh 1 - Silver State \$13MM 9-21-06 Summary Results  
asa.  Exh 1 - Silver State \$2 3MM Mar 06A - Summary Results  
asb.  Exh 1 - Silver State \$2 3MM Mar 06A - Summary Results  
asc.  Exh 1 - Silver State \$22.9 MM Apr 06 - Summary Results  
asd.  Exh 1 - Silver State \$22.9 MM Apr 06 - Summary Results  
ase.  Exh 1 - Silver State \$24.5MM 12-28-06 - Summary Results - Po  
asf.  Exh 1 - Silver State \$24.5MM 12-28-06 - Summary Results - Po  
asg.  Exh 1 - Silver State \$30MM 11-10-06 Summary Results  
ash.  Exh 1 - Silver State \$30MM 11-10-06 Summary Results  
asi.  Exh 1 - Silver State \$31MM Apr 06A - Summary Results  
asj.  Exh 1 - Silver State \$31MM Apr 06A - Summary Results  
ask.  Exh 1 - Silver State \$32MM 12-28-06 - Summary Results  
asl.  Exh 1 - Silver State \$32MM 12-28-06 - Summary Results  
asm.  Exh 1 - Silver State \$32MM Aug 06 - Summary Results  
asn.  Exh 1 - Silver State \$32MM Aug 06 - Summary Results  
aso.  Exh 1 - Silver State \$36.8MM 11-16-06 Summary Results  
asp.  Exh 1 - Silver State \$36.8MM 11-16-06 Summary Results  
asq.  Exh 1 - Silver State \$5.3MM Aug 06A Summary Results  
asr.  Exh 1 - Silver State \$5.3MM Aug 06A Summary Results  
ass.  Exh 1 - Silver State \$5.6MM (seconds) 10-26-06 - Summary Res  
ast.  Exh 1 - Silver State \$5.6MM (seconds) 10-26-06 - Summary Res  
asu.  Exh 1 - Silver State \$7.5MM Mar 06 - Summary Results  
asv.  Exh 1 - Silver State \$7.5MM Mar 06 - Summary Results  
asw.  Exh 1 - Silver State \$7.8MM 8-31-06 - Summary Results  
asx.  Exh 1 - Silver State \$7.8MM 8-31-06 - Summary Results  
asy.  Exh 1 - Silver State \$8.7MM 11-10-06 Summary Results  
asz.  Exh 1 - Silver State \$8.7MM 11-10-06 Summary Results  
ata.  Exh 1 - Silver State \$87MM 9-29-06 - Summary Results  
atb.  Exh 1 - Silver State \$87MM 9-29-06 - Summary Results  
atc.  Exh 1 - Silver State \$9 2MM Mar 06B - Summary Results  
atd.  Exh 1 - Silver State \$9 2MM Mar 06B - Summary Results  
ate.  Exh 1 - South Lake \$3.9MM Apr 06 - Summary Results  
atf.  Exh 1 - South Lake \$3.9MM Apr 06 - Summary Results  
atg.  Exh 1 - South Lake \$4MM May 06 - Summary Results  
ath.  Exh 1 - South Lake \$4MM May 06 - Summary Results  
ati.  Exh 1 - South Pacific \$5.1MM May 06 - Summary Results  
atj.  Exh 1 - South Pacific \$5.1MM May 06 - Summary Results  
atk.  Exh 1 - South Pacific \$5MM 07-20-06 - Summary Results  
atl.  Exh 1 - South Pacific \$5MM 07-20-06 - Summary Results  
atm.  Exh 1 - Stearns \$20MM July 06 - Summary Results  
atn.  Exh 1 - Stearns \$20MM July 06 - Summary Results  
ato.  Exh 1 - Stearns \$25MM 09-04-06 - Summary Results  
atp.  Exh 1 - Stearns \$25MM 09-04-06 - Summary Results  
atq.  Exh 1 - Steward \$3.5MM 06-30-06 - Summary Results  
atr.  Exh 1 - Steward \$3.5MM 06-30-06 - Summary Results  
ats.  Exh 1 - Steward Financial \$2.4MM May 06 - Summary Results  
att.  Exh 1 - Steward Financial \$2.4MM May 06 - Summary Results  
atu.  Exh 1 - Steward Financial \$3.1MM 8-31-06 - Summary Results






















































- atv.  Exh 1 - Steward Financial \$3.1MM 8-31-06 - Summary Results
- atw.  Exh 1 - Sunset Direct \$51MM 7-28-06 - Summary Results
- atx.  Exh 1 - Sunset Direct \$51MM 7-28-06 - Summary Results
- aty.  Exh 1 - Town & Country \$77MM Jan 06 - Summary Results
- atz.  Exh 1 - Town & Country \$77MM Jan 06 - Summary Results
- aua.  Exh 1 - UBS \$113MM 07-26-06 - Summary Results
- aub.  Exh 1 - UBS \$113MM 07-26-06 - Summary Results
- auc.  Exh 1 - UBS \$62MM Mar 06 - Summary Results
- aud.  Exh 1 - UBS \$62MM Mar 06 - Summary Results
- aue.  Exh 1 - Unimortgage \$4MM 5-31-06 Summary Results
- auf.  Exh 1 - Unimortgage \$4MM 5-31-06 Summary Results
- aug.  Exh 1 - Valley Vista Mortgage \$2.6MM 10-6-06 Summary Results
- auh.  Exh 1 - Valley Vista Mortgage \$2.6MM 10-6-06 Summary Results
- aii.  Exh 1 - Virtual Bank \$37MM Jun 06 - Summary Results
- auj.  Exh 1 - Virtual Bank \$37MM Jun 06 - Summary Results
- auk.  Exh 1 - Weichert \$11MM 9-20-06 - Summary Results
- aul.  Exh 1 - Weichert \$11MM 9-20-06 - Summary Results
- aum.  Exh 1 - Weichert \$18MM 08-15-06 - Summary Results
- aun.  Exh 1 - Weichert \$18MM 08-15-06 - Summary Results
- auo.  Exh 1 - Weichert \$3.5MM 12-26-06 Summary Results
- aup.  Exh 1 - Weichert \$3.5MM 12-26-06 Summary Results
- auq.  Exh 1 - Weichert \$5.5MM 10-27-06 Summary Results
- aur.  Exh 1 - Weichert \$5.5MM 10-27-06 Summary Results
- aus.  Exh 1 - Wells Fargo \$450MM Dec 05 - Summary Results 2
- aut.  Exh 1 - Wells Fargo \$450MM Dec 05 - Summary Results 2
- auu.  Exh 1 - Westar \$9MM Mar 06 - Summary Results
- auv.  Exh 1 - Westar \$9MM Mar 06 - Summary Results
- auw.  Exh 1 - WMC \$1B 12-27-06 - Summary Results Revised
- aux.  Exh 1 - WMC \$1B 12-27-06 - Summary Results Revised
- auy.  Exh 1 - WMC \$1B 9-27-06 Summary Results
- auz.  Exh 1 - WMC \$1B 9-27-06 Summary Results
- ava.  Exh 1 - Zone \$2.5MM 10-6-06 Summary Results
- avb.  Exh 1 - Zone \$2.5MM 10-6-06 Summary Results

5.  2007 Final
- a.  Exh 1 - Ace Mortgage \$1(1).7MM (Seconds) 02-22-07 - Summary
  - b.  Exh 1 - Ace Mortgage \$1.4MM 03-23-07 - Summary Report
  - c.  Exh 1 - Ace Mortgage \$13MM 02-22-07 - Summary Results
  - d.  Exh 1 - Ace Mortgage \$3.8MM 03-23-07 - Summary Results
  - e.  Exh 1 - Ace Mortgage \$3.9MM 1-25-07 - Summary Results
  - f.  Exh 1 - Ace Mortgage \$33MM 02-26-07 - Summary Results
  - g.  Exh 1 - Ace Mortgage \$4(1).3MM 02-22-07 - Summary Results
  - h.  Exh 1 - Ace Mortgage \$5(1).8MM 02-26-07 - Summary Results
  - i.  Exh 1 - AHM \$100MM 01-25-07 - Summary Results
  - j.  Exh 1 - AHM \$12(1).8MM 06-26-07 - Summary Results
  - k.  Exh 1 - AHM \$18MM 06-26-07 - Summary Results
  - l.  Exh 1 - AHM \$19MM 01-16-07 - Summary Results
  - m.  Exh 1 - AHM \$275MM 01-25-07 - Summary Results
  - n.  Exh 1 - AHM \$350MM 01-25-07 - Summary Results
  - o.  Exh 1 - AHM \$85MM 1-23-07 - Summary Results - Post Tail Fund
  - p.  Exh 1 - AHM ITIN \$13.8MM ARMs 6-28-07 - Summary Results
  - q.  Exh 1 - AHM ITIN \$24MM FIXED 6-28-07 - Summary Results
  - r.  Exh 1 - Alliance \$19MM 02-07-07 - Summary Results
  - s.  Exh 1 - Alliance \$2(1).2MM 1-11-07 - Summary Results
  - t.  Exh 1 - Alliance \$21(1).6MM 01-19-07 - Summary Results
  - u.  Exh 1 - Alliance \$24(1).8MM 01-19-07 - Summary Results

- v.  Exh 1 - Alliance \$27MM 01-04-07 Summary Results
- w.  Exh 1 - Alliance \$38(1).7MM 01-17-07 - Summary Results
- x.  Exh 1 - Alliance \$39MM 01-19-07 - Summary Results
- y.  Exh 1 - Alliance \$5(1).8MM 01-12-07 (ARMs) Summary Results
- z.  Exh 1 - Alliance \$8(1).8MM 3-23-07 - Summary Results
- aa.  Exh 1 - Allied \$3(1).6MM 03-02-07 - Summary Results
- ab.  Exh 1 - American Guardian \$5.3MM 01-04-07 Summary Results
- ac.  Exh 1 - AMX \$5.5MM 03-15-07 - Summary Reflets (03-14)
- ad.  Exh 1 - AMX \$9.3MM 03-15-07 - Summary Results (03-14)
- ae.  Exh 1 - Apreva \$2.8MM 3-23-07 - Summary Results
- af.  Exh 1 - Cameron \$6(1).6MM 01-19-07 - Summary Results
- ag.  Exh 1 - ComUnity Lending \$13.4MM 2-20-07 - Summary Results
- ah.  Exh 1 - ComUnity Lending \$1MM 2-23-07 - Summary Results
- ai.  Exh 1 - ComUnity Lending \$4(1).6MM 07-25-07 - Summary Result
- aj.  Exh 1 - Countrywide \$100MM 4-30-07 - Summary Results
- ak.  Exh 1 - Countrywide \$100MM Tail (06-28) - Summary Results
- al.  Exh 1 - Countrywide \$139MM 6-29-07 - Summary Results
- am.  Exh 1 - Countrywide \$150MM 8-17-07 - Summary Results
- an.  Exh 1 - Countrywide \$200MM 8-17-07 - Summary Results
- ao.  Exh 1 - Countrywide \$25MM 06-29-07 - Summary Results
- ap.  Exh 1 - Countrywide \$327MM 5-30-07 - Summary Results
- aq.  Exh 1 - Countrywide \$500MM 06-29-07 - Summary Results
- ar.  Exh 1 - Countrywide \$50MM 06-29-07 - Summary Results
- as.  Exh 1 - Countrywide \$50MM 10-1 ARMS 06-29-07 - Summary Resul
- at.  Exh 1 - Countrywide \$590.6MM 05-29-07 - Summary Results
- au.  Exh 1 - Countrywide \$600MM 05-25-07 - Summary Results
- av.  Exh 1 - Countrywide \$700MM 06-22-07 - Summary Results
- aw.  Exh 1 - Countrywide \$750MM 6-22-07 - Summary Results
- ax.  Exh 1 - Countrywide \$75MM 4-30-07 - Summary Results
- ay.  Exh 1 - Countrywide \$75MM 8-17-07 - Summary Results
- az.  Exh 1 - Countrywide (0348) \$25MM 07-31-07 (3-1 ALT) - Summar
- ba.  Exh 1 - Countrywide (0350) \$100MM 07-31-07 (5-1 CORE) - Summ
- bb.  Exh 1 - Countrywide (0352) \$200MM 07-24-07 (10-1 ALT) - Summ
- bc.  Exh 1 - Countrywide (0355) \$100MM 07-24-07 (5-1 CORE) - Summ
- bd.  Exh 1 - Countrywide (0356) \$100MM 07-24-07 (5-1 ALT) - Summa
- be.  Exh 1 - Countrywide (0359) \$200MM 07-24-07 (5-1 ALT) - Summa
- bf.  Exh 1 - Countrywide (0401) \$70MM Tail 07-23-07 - Summary Res
- bg.  Exh 1 - Ctwd (0349) \$100MM (5-1 Alt) 7-31-07 - Summary Resu
- bh.  Exh 1 - Ctwd (0351) \$50MM (7-1 Core) 7-24-07 - Summary Resu
- bi.  Exh 1 - Ctwd (0353) \$125MM (10-1 Core) 7-24-07 - Summary Re
- bj.  Exh 1 - Ctwd (0400) \$24MM Tail (5-1 Core) 7-23-07 - Summary
- bk.  Exh 1 - Decision 1 \$23MM 02-16-07 (Fixed) - Summary Results
- bl.  Exh 1 - Decision 1 \$70MM 02-16-07 (ARMs) - Summary Results
- bm.  Exh 1 - Decision One \$9(1).4MM 02-26-07 - Summary Results
- bn.  Exh 1 - Decision One \$9(1).6MM 3-23-07 - Summary Results
- bo.  Exh 1 - DecisionOne \$63MM 02-26-07 - Summary Results
- bp.  Exh 1 - First Street Financial \$34.5MM 02-28-07 - Summary Re
- bq.  Exh 1 - Flick Mortgage \$2.8MM 02-21-07 - Summary Results
- br.  Exh 1 - GMAC \$300MM 01-30-07 - Summary Results
- bs.  Exh 1 - GMAC RFC \$87MM 2-27-07 - Summary Results
- bt.  Exh 1 - GMAC-RFC \$375MM 01-30-07 -Summary Results
- bu.  Exh 1 - GMFS \$3(1).1MM 6-28-07 - Summary Results
- bv.  Exh 1 - GMFS \$3(1).6MM 2-20-07 - Summary Results
- bw.  Exh 1 - GMFS \$5(1).3MM 1-19-07 - Summary Results
- bx.  Exh 1 - Greenpoint \$120MM 02-21-07 - Summary Results

by.  Exh 1 - Greenpoint \$16MM 3-12-07 -Summary Results  
 bz.  Exh 1 - Greenpoint \$41(1).7MM 06-12-07 - Summary Results  
 ca.  Exh 1 - Greenpoint \$50(1).5MM 05-30-07 - Summary Results  
 cb.  Exh 1 - Greenpoint \$52.5MM 04-05-07 - Summary Results  
 cc.  Exh 1 - Greenpoint \$76MM 06-11-07 - Summary Results  
 cd.  Exh 1 - Greenpoint \$80MM 02-28-07 - Summary Results  
 ce.  Exh 1 - Horizon Direct \$2(1).9MM 02-28-07 - Summary Results  
 cf.  Exh 1 - Impac \$102MM 02-27-07 - Summary Results  
 cg.  Exh 1 - IndyMac \$325MM 02-28-07 - Summary Results  
 ch.  Exh 1 - IndyMac \$330MM 03-29-07 - Summary Results  
 ci.  Exh 1 - IndyMac \$370MM 02-27-07 (ARMs) - Summary Results  
 cj.  Exh 1 - Just Mortgage \$5(1).3MM 02-12-07 - Summary Results  
 ck.  Exh 1 - Lime \$77(1).5MM 07-30-07 - Summary Results  
 cl.  Exh 1 - Loan Link \$3MM 06-29-07 - Summary Results  
 cm.  Exh 1 - Loan Link \$5.8MM 06-25-07 - Summary Results  
 cn.  Exh 1 - M & T \$200MM 02-15-07 - Summary Results  
 co.  Exh 1 - M & T \$251MM 02-15-07 - Summary Results  
 cp.  Exh 1 - M & T \$33(1).7MM (2nds) 02-22-07 - Summary Results  
 cq.  Exh 1 - MILA \$11MM 01-12-07 - Summary Results  
 cr.  Exh 1 - MILA \$18MM 2-16-07 - Summary Results  
 cs.  Exh 1 - Mila \$4(1).7MM 02-16-07 - Summary Results  
 ct.  Exh 1 - Mortgage Network \$27MM 12-29-06 - Summary Results  
 cu.  Exh 1 - MortgagelT \$144MM 01-11-07 - Summary Results  
 cv.  Exh 1 - MortgagelT \$14MM 01-12-07 - Summary Results  
 cw.  Exh 1 - MortgagelT \$150MM 1-25-07 - Summary Results  
 cx.  Exh 1 - MortgagelT \$4(1).3MM 01-12-07 2nd Liens - Summary Re  
 cy.  Exh 1 - MortgagelT \$40MM 01-11-07 - Summary Results  
 cz.  Exh 1 - MortgagelT \$6(1).8MM 01-12-07 - Sumary Results  
 da.  Exh 1 - MortgagelT \$75MM 01-11-07 - Summary Results  
 db.  Exh 1 - Nation One \$3.8MM 01-17-07 - Summary Results  
 dc.  Exh 1 - Nation One \$3MM 03-06-07 - Summary Results  
 dd.  Exh 1 - National City Mortgage \$20MM 07-09-07 - Summary Resu  
 de.  Exh 1 - National City Mortgage \$26(1).4MM 07-09-07 - Summary  
 df.  Exh 1 - National City Mortgage \$26(1).5MM 07-09-07 - Summary  
 dg.  Exh 1 - National City Mtg \$50(1).1MM 07-09-07 - Summary Resu  
 dh.  Exh 1 - National City Mtg 4 Pools 07-09-07 - Summary Results  
 di.  Exh 1 - Natonal City Mtg \$200MM 07-25-07 - Summary Results  
 dj.  Exh 1 - Opteum \$89.7MM 03-29-07 - Summary Results 04-27-07  
 dk.  Exh 1 - Pinnacle Financial \$25(1).5MM 03-23-07 - Summary Re  
 dl.  Exh 1 - Pinnacle Financial \$25MM 1-12-07 - Summary Results  
 dm.  Exh 1 - Platinum Direct \$5MM 03-16-07 - Summary Results 03-1  
 dn.  Exh 1 - PMC \$24MM 01-09-07 - Summary Results  
 do.  Exh 1 - SBMC \$3MM 06-19-07 Fixed - Summary Results  
 dp.  Exh 1 - SBMC \$4.3MM 06-19-07 ARMs - Summary Results  
 dq.  Exh 1 - Sea Breeze \$1(1).4MM 06-29-07 (FXD) - Summary Result  
 dr.  Exh 1 - Seabreeze \$3 7MM 06-29-07 (ARMS) - Summary Results  
 ds.  Exh 1 - Shearson \$2(1).9MM 1-19-07 - Summary Results  
 dt.  Exh 1 - Taylor Bean Whitaker \$15(1).5MM 07-06-07 - Summary R  
 du.  Exh 1 - Taylor Bean Whitaker \$6MM 07-27-07 - Summary Results  
 dv.  Exh 1 - TBW \$2.2MM 6-29-07 - Summary Results  
 dw.  Exh 1 - TBW \$39MM 6-15-07 - Summary Results  
 dx.  Exh 1 - Trans Financial Network \$1(1).4MM 02-16-07 - Summary  
 dy.  Exh 1 - UBS \$61(1).6MM 01-25-07 - Summary Results  
 dz.  Exh 1 - Wachovia \$107.5MM 06-21-07 - Summary Results  
 ea.  Exh 1 - Wachovia \$60MM 7-24-07 - Summary Results

- eb.  Exh 1 - Wachovia \$85MM 05-23-07 - Summary Results
- ec.  Exh 1 - Weichert \$(1).8MM 07-20-07 - Summary Results
- ed.  Exh 1 - Weichert \$(1).1MM 07-20-07 - Summary Results
- ee.  Exh 1- Platinum Direct \$7(1).3MM 03-02-07 -Summary Restlts
- ef.  Exh1 - AMX \$7.1MM 02-28-07 - Summary Results
- b.  Exhibit 4s
  - 1.  2003
    - a.  Exhibit 4 - Final Reject List Nat City May 03-\$524MM
  - 2.  2005
    - a.  Exh 4 - Allstate \$1.4M 11-30-05- Final Rejections
    - b.  Exh 4 - Avaris \$10MM June 05B - Final Rejects
    - c.  Exh 4 - Avaris \$2.6MM Alt A August 05 - Final Rejects
    - d.  Exh 4 - CastlePoint \$2.6MM 11-10-05 - Final Rejects
    - e.  Exh 4 - CastlePoint \$2.9M 8-25-05 Exclusions from Settlemen
    - f.  Exh 4 - ComUnity \$2.1MM 09-30-05 - Final Rejection
    - g.  Exh 4 - Dynamax \$2.8M Nov 05 - Final Rejections
    - h.  Exh 4 - First Financial \$2.5MM Sept 05 - Final Rejects
    - i.  Exh 4 - First St \$13MM Feb 05 - Final Rejections
    - j.  Exh 4 - First Street \$15MM 06-05 Final Rejections
    - k.  Exh 4 - Fremont \$18.5MM S& D Final Rejections
    - l.  Exh 4 - Greenpoint \$7MM Aug 05C - Final Rejects
    - m.  Exh 4 - Impac \$25MM Sept 05 - Final Rejcts
    - n.  Exh 4 - Imperial Lending \$ 5.3M 11-30-05 - Final Rejects
    - o.  Exh 4 - IndyMac \$25MM 6MO Libor June 05 - Final Rejects
    - p.  Exh 4 - IndyMac \$25MM Jumbo July 05 - Final Rejects
    - q.  Exh 4 - Innovative \$14MM Oct 05 - Final Rejects
    - r.  Exh 4 - Loan Center CA \$14MM Oct 05 - Final Rejects
    - s.  Exh 4 - Madison Oct 05 S& D - Final Rejects
    - t.  Exh 4 - Maxim \$.5M 2nd Liens Aug 05 - Final Rejects
    - u.  Exh 4 - Maxim \$2.2MM Oct 05A - Final Rejects
    - v.  Exh 4 - Maxim \$2.5MM Oct 05 - Final Rejects
    - w.  Exh 4 - Millenium \$3.3 MM Nov 05 - Final Rejects
    - x.  Exh 4 - Millenium \$4.2MM Apr 05 - Final Rejection List
    - y.  Exh 4 - National City \$6.1MM Sept 05A - Final Rejects
    - z.  Exh 4 - National City \$61.5MM Apr 05 - Final Rejections
    - aa.  Exh 4 - New Century \$37M 8-30-05 - Final Rejects
    - ab.  Exh 4 - Pinnacle Financial \$6.2MM Sept 05A - Final Rejects
    - ac.  Exh 4 - Plaza \$9.2MM June 05 - Final Rejection List
    - ad.  Exh 4 - QLF \$9MM SD 12-21-05 - Final Rejects
    - ae.  Exh 4 - QLF S& D \$8.2MM Oct 05 - Final Rejects
    - af.  Exh 4 - ResMAE \$130MM 03-29-05 - Final Rejections Credit-Com
    - ag.  Exh 4 - RightAway \$14MM May 05B - Final Rejects
    - ah.  Exh 4 - Sierra Pacific \$1.2MM Oct 05A - Final Rejections
    - ai.  Exh 4 Gateway \$9MM 2nd June 05 - Final Rejects
    - aj.  Exh. 4 - Liberty \$17.3MM July 05 - Final Rejects
  - 3.  2006
    - a.  Exh 4 - Access National \$1.9MM May 06 - Final Rejects REVISE
    - b.  Exh 4 - Access National \$5.6MM May 06A - Final Rejects REVIS
    - c.  Exh 4 - Accredited \$42MM May 06 - Final Rejects
    - d.  Exh 4 - ACT \$2.1MM July 06 - Final Rejects
    - e.  Exh 4 - ACT \$6.0MM July 06A - Final Rejects
    - f.  Exh 4 - ACT Mtge \$5.2MM 2nd Liens Apr 06 - Final Rejects
    - g.  Exh 4 - Aegis \$10.6MM 8-24-06 - Aug 06 - Final Rejects
    - h.  Exh 4 - Aegis \$11MM Apr 06A - Final Rejects
    - i.  Exh 4 - Aegis \$11MM S& D May 06 - Final Rejects
    - j.  Exh 4 - Aegis \$2MM Non Performing May 06A - Final Rejects

- k.  Exh 4 - Aegis \$71MM Apr 04B - Final Rejects
- l.  Exh 4 - Aegis \$9.8MM May 06B - Final Rejects - REVISED
- m.  Exh 4 - AHL \$800K S& D July 06 - Final Rejects
- n.  Exh 4 - AHM \$126MM ITIN 10-17-06 - Final Rejects
- o.  Exh 4 - AHM \$44MM Apr 06 - Final Rejects
- p.  Exh 4 - Alliance \$1.6MM 11-07-06 - Final Rejects
- q.  Exh 4 - Alliance \$19MM 8-23-06 - Final Rejects
- r.  Exh 4 - Alliance \$23MM June 06C - Final Rejects
- s.  Exh 4 - Alliance \$24MM 11-07-06 - Final Rejects
- t.  Exh 4 - Alliance \$29MM June 06 - Final Rejects
- u.  Exh 4 - Allstate \$1.3MM April 06 - Final Rejects
- v.  Exh 4 - Allstate \$2.6MM S& D Mar 06 - Final Rejects
- w.  Exh 4 - Allstate S & D \$2MM Feb 06 - Final Rejects
- x.  Exh 4 - Allstate SD \$3 5MM 04-28-06 - Final Rejects
- y.  Exh 4 - AME Financial \$1.6MM Aug 06A - Final Rejects
- z.  Exh 4 - AME Financial \$1MM May 06 - Final Rejects
- aa.  Exh 4 - AME Financial \$3.2MM 8-31-06 - Final Rejects -
- ab.  Exh 4 - AME Financial \$3MM June 06 - Final Rejects
- ac.  Exh 4 - AMX \$6.4MM Aug 06 - Final Rejects
- ad.  Exh 4 - AMX \$7.8MM 07-12-06 - Final Rejects
- ae.  Exh 4 - Baltimore American \$2.5MM May 06A - Final Rejects
- af.  Exh 4 - Baltimore American \$5.8MM May 06 - Final Rejects
- ag.  Exh 4 - Cameron \$14MM July 06 - Final Rejects
- ah.  Exh 4 - Capitol Mortgage \$2.3MM 11-16-06 - Final Rejects - R
- ai.  Exh 4 - Castle Pt S& D \$9MM Feb 06 - Final Rejects
- aj.  Exh 4 - CastlePoint \$4.2MM S& D Jan 06 - Revised Final Reject
- ak.  Exh 4 - Chapel \$3.6MM (2nds) May 06A - Final Rejects
- al.  Exh 4 - Columbia \$3.6MM S& D 11-3-06 - Final Rejects
- am.  Exh 4 - Columbia \$8.7MM 08-28-06 - Final Rejects
- an.  Exh 4 - Columbia \$8.7MM June 06 - Final Rejects
- ao.  Exh 4 - DHI \$13.4MM 05-18-06 - Final Rejects
- ap.  Exh 4 - DHI \$39MM July 06 - Final Rejects
- aq.  Exh 4 - Equity Now \$8.9MM 8-31-06 - Final Rejects
- ar.  Exh 4 - Everbank \$3.8MM S& D 10-17-06 - Final Rejects
- as.  Exh 4 - Fidelity & Trust \$12MM May 06A - Final Rejections
- at.  Exh 4 - First Financial \$11MM 08-31-06 - Final Rejects
- au.  Exh 4 - First Financial \$11MM June 06 - Final Rejects
- av.  Exh 4 - First Financial \$6.3MM Apr 06 - Final Rejects
- aw.  Exh 4 - First Financial \$7.7MM Aug 06 - Final Rejects
- ax.  Exh 4 - First Financial \$8.5MM July 06A - Final Rejects
- ay.  Exh 4 - First Financial \$8.6MM July 06B - Final Rejects
- az.  Exh 4 - Funding America \$16MM S& D - Final Rejects
- ba.  Exh 4 - Funding America \$7.2MM S& D 11-22-06 - Final Rejects
- bb.  Exh 4 - GMFS \$2.5MM Apr 06 - Final Rejects
- bc.  Exh 4 - GMFS \$3.3MM 08-30-06 - Final Rejects
- bd.  Exh 4 - HMIC \$1.7MM May 06 - Final Rejects
- be.  Exh 4 - Home Loan Corp \$2.3MM Fixed 08-10-06 - Final Rejects
- bf.  Exh 4 - Home Loan Corp \$2.7MM 2nds Aug 06 - Final Rejects
- bg.  Exh 4 - HomeLoan Corp \$13MM June 06 - Final Rejects
- bh.  Exh 4 - Imperial \$1.3MM Feb 06 - Final Rejects
- bi.  Exh 4 - Imperial \$1.6MM02-03-06 - Final Rejects
- bj.  Exh 4 - Imperial \$4MM S& D 01-13-06 -Loans Removed for credit
- bk.  Exh 4 - Investaid \$3.8MM 05-30-06 (seconds) - Final Rejects
- bl.  Exh 4 - Investaid \$7.5MM 07-18-06 - Final Rejects
- bm.  Exh 4 - KHovnanian \$1.5MM 11-17-06 - Final Rejects

bn.  Exh 4 - KHovnanian \$995K 10-6-06 - Final Rejects - Revised (

bo.  Exh 4 - Lancaster \$2.6MM S& D July 06 - Final Rejects

bp.  Exh 4 - Lancaster \$7MM 2nd Liens Mar 06A - Final Rejects

bq.  Exh 4 - Legacy \$393K 09-28-06 - Final Rejects

br.  Exh 4 - Lender's Direct \$20MM 5-26-06 - Final Rejects

bs.  Exh 4 - Lime Financial \$3.3MM S& D - Final Rejects

bt.  Exh 4 - Long Beach \$384MM Jan 06 - Final Rejects

bu.  Exh 4 - Madison \$11.3MM S& D Aug 06 - Final Rejects

bv.  Exh 4 - Madison \$6MM S& D Jan 06 - Final Rejects - After Tail

bw.  Exh 4 - Madison \$7.2MM Mar 06 - Final Rejects

bx.  Exh 4 - Madison S& D \$20MM July 06 - Final Rejects 08-02

by.  Exh 4 - Master Financial S& D \$2.1MM 10-18-06 - Final Rejects

bz.  Exh 4 - Matrix \$2MM 05-15-06 - Final Rejections (05-25)

ca.  Exh 4 - Matrix \$9.5MM 02-23-06 -Final Rejects (02-21)

cb.  Exh 4 - Meridias \$1.3MM S& D 10-19-06 - Final Rejects

cc.  Exh 4 - Meridias \$1MM S& D 11-9-06 - Final Rejects

cd.  Exh 4 - Meridias \$5MM May 06C - Final Rejects - Revised (2)

ce.  Exh 4 - Meridias \$6.8MM Apr 06 - Final Rejects

cf.  Exh 4 - Meridias \$7.1MM 2nds May 06A - Final Rejects

cg.  Exh 4 - Meridias \$7.3MM May 06 - Final Rejects

ch.  Exh 4 - Meridias \$8.5MM 07-05-06 - Final Rejects

ci.  Exh 4 - Metrocities \$17MM 6-29-06 - Final Rejects

cj.  Exh 4 - MILA \$1.4MM S& D June 06 - Final Rejects

ck.  Exh 4 - MILA \$1MM S& D 11-30-06 - Final Rejects

cl.  Exh 4 - MILA \$2.8MM S& D 10-30-06 - Final Rejects - Revised

cm.  Exh 4 - MILA \$3.1MM 09-28-06 - Final Rejects

cn.  Exh 4 - Mortgage IT \$5MM S& D Aug 06 - Final Rejects

co.  Exh 4 - MortgageIT \$11MM S& D 12-28-06 - Final Rejects

cp.  Exh 4 - MortgageIT \$4.5MM 10-19-06 - Final Rejects

cq.  Exh 4 - MortgageIT \$5.5MM S& D 9-14-06 - Final Rejects

cr.  Exh 4 - MortgageIT \$8.6MM S& D 11-9-06 - Final Rejects

cs.  Exh 4 - MortgageIT S& D \$1MM 10-12-06 - Final Rejects

ct.  Exh 4 - MortgageIT SD \$7.7MM Apr 06 - Final Rejects

cu.  Exh 4 - National City \$105MM Mar 06 - Final Rejects REVISED

cv.  Exh 4 - NationOne \$2.9MM 2nd Liens - Final Rejects

cw.  Exh 4 - North Pacific \$3.4MM May 06A - Final Rejects

cx.  Exh 4 - North Pacific \$4.8MM May 06 - Final Rejects

cy.  Exh 4 - Oak St \$4MM July 06 - Final Rejects

cz.  Exh 4 - Oak Street \$6.7MM 08-31-06 - Final Rejects

da.  Exh 4 - OSB \$89MM Apr 06 - Final Rejects

db.  Exh 4 - Ownlt \$19MM S& D July 06 - Final Rejects

dc.  Exh 4 - PFC \$17MM July 06A - Final Rejects

dd.  Exh 4 - Pinnacle Direct \$30MM June 06 - Final Rejects - 6-23

de.  Exh 4 - Pinnacle Financial \$2MM S& D 10-31-06 - Final Rejects

df.  Exh 4 - Pinnacle Financial \$5.8MM May 06 - Final Rejects

dg.  Exh 4 - Pinnacle Financial \$5.9MM Aug 06 - Final Rejects

dh.  Exh 4 - Pinnacle Financial \$7 2MM Apr 06A - Final Rejects

di.  Exh 4 - Platinum \$3MM S& D Aug 06 - Final Rejects

dj.  Exh 4 - Platinum \$4MM 06-30-06 - Final Rejects

dk.  Exh 4 - Platinum \$5.5MM 8-25-06 - Final Rejects

dl.  Exh 4 - Platinum Direct \$3MM S& D 10-12-06 - Final Rejects -




























dm.  Exh 4 - Plaza \$9MM Jul 06 - Final Rejects

dn.  Exh 4 - PMC \$14MM Jul 06 - Final Rejects REVISED




























do.  Exh 4 - QLF \$10MM Feb 06A - Final Rejects

dp.  Exh 4 - QLF \$17MM 01-30-06 - Final Rejections (01-30)



















































































































- dq.  Exh 4 - QLF \$20.5MM S& D Jan 06 - Final Rejects
- dr.  Exh 4 - QLF \$29.7MM Feb 06 - Final Rejects
- ds.  Exh 4 - QLF \$37MM 03-09-06 - Final Reject (03-08)
- dt.  Exh 4 - Quicken Loans \$1MM S& D 10-20-06 - Final Rejects
- du.  Exh 4 - SBMC \$36MM May 06 - Final Rejects
- dv.  Exh 4 - Seabreeze \$1.9MM Jul 06 - Final Rejects
- dw.  Exh 4 - Seabreeze \$4MM 8-17-06 - Final Rejects
- dx.  Exh 4 - Security Atlantic \$2MM S& D 11-27-06 - Final Rejects
- dy.  Exh 4 - Shearson \$4.5MM 06-16-06 - Final Rejects
- dz.  Exh 4 - Shearson \$5.9MM 09-08-06 - Final Rejects
- ea.  Exh 4 - Sierra Pacific \$36MM May 06 - Final Rejects
- eb.  Exh 4 - Sierra Pacific \$42MM May 06A - Final Rejects
- ec.  Exh 4 - Silver State \$2MM S& D Aug 06 - Final Rejects
- ed.  Exh 4 - Silver State \$45MM 6-27-06 - Final Rejects
- ee.  Exh 4 - Silver State \$6.5MM June 06 - Final Rejects
- ef.  Exh 4 - Silver State \$68MM June 06 - Final Rejects
- eg.  Exh 4 - Silver State \$7.8MM 8-31-06 (9-5-06) - Final Rejects
- eh.  Exh 4 - Silver State \$9.5MM Jul 06 - Final Rejects
- ei.  Exh 4 - Suburban \$4.2MM July 06 - Final Rejects
- ej.  Exh 4 - Tribeca Lending \$11MM 09-08-06 - Final Rejects Revis
- ek.  Exh 4 - WAMU \$24MM 09-26-06 - Final Rejects
- el.  Exh 4 - Weichert \$7.1MM 08-28-06 - Final Rejects
- em.  Exh 4 - Weststar \$1.8MM 07-06-06 Final Rejects
- en.  Exh 4 - Zone Funding \$5MM S& D May 06 - Final Rejects
- eo.  Exh 4 - Zone Funding S& D \$4.8MM April 06 - Final Results
- ep.  Exh 4- Greenpoint \$97 MM Apr 06 - Final Rejects
- eq.  Exh 4- Greenpoint\$22MM 05-24-06 - Final Rejections
























































4.  2007

- a.  Exh 4 - AHM \$38.4MM ITIN 1-26-07 - Final Rejects
  - b.  Exh 4 - American Residential \$16.7MM S& D - Final Rejects
  - c.  Exh 4 - Financial Freedom \$437MM 2-07 - Final Rejections - R
  - d.  Exh 4 - HNMA \$1.2MM 01-25-07 ITIN - Final Rejects
  - e.  Exh 4 - Home Savings \$5.9MM S& D - Final Rejects
  - f.  Exh 4 - Just Mortgage \$958K S& D 12-14-06 - Final Rejects
  - g.  Exh 4 - Loan Center of CA \$2MM S& D - Final Rejects
  - h.  Exh 4 - MortgageIT \$5.4MM S& D 1-9-07 - Detail of Cures
  - i.  Exh 4 - Platinum \$6MM S& D 2-12-07 - Final Rejects
  - j.  Exh 4 - Power Express \$650K 3-10-07 - Final Rejects
  - k.  Exh 4 - Pro30 Funding \$14[1].7MM 03-15-07 Final Rejects 03-
  - l.  Exh 4 - Structured Funding \$324K S& D 01-30-07 - Final Reject
  - m.  Exh 4 - Sunset Direct \$4.3MM S& D 2-9-07 - Final Rejects
  - n.  Exh4 - Pro30 Funding \$2[1].4MM 03-15-07 (Seconds) Final Rej
  - o.  Exh4 - SBMC \$42[1].8MM 03-15-07 - Final Rejects 03-13-07
  - p.  Exh4 Pro30 Funding \$1[1].8MM 03-15-07 - Final Rejects 03-09
  - q.  EXH4 SBMC \$1MM 03-09-07 Final Rejects
- 2.  Answer to Interrogatory No. 1
  - 3.  Answer to Interrogatory No. 10
  - 4.  Answer to Interrogatory No. 11
  - 5.  Answer to Interrogatory No. 12
  - 6.  Answer to Interrogatory No. 2(a-p)
  - 7.  Answer to Interrogatory No. 2(q)
  - 8.  Answer to Interrogatory No. 2(r)
  - 9.  Answer to Interrogatory No. 2(r)(iii)
  - 10.  Answer to Interrogatory No. 3(a-r)
  - 11.  Answer to Interrogatory No. 3(r)(iii)

- 12. Answer to Interrogatory No. 6
- 13. Answer to Interrogatory No. 9
- b. Deutsche Bank CDO Transactions
- 20. DOJ
  - a. 2010-03-12
    - 1. FBI mort frd chart
  - b. DOJ Produced Docs FCIC\_REQ\_A242-443
  - c. FBI Budgets
- 21. DOL
  - a. DOC (77) FANNIE MAE COMPLAINT #2 TO SEND TO FCIC
- 22. FDIC
  - a. 2010-06-11 Production
    - 1. Bank of America
      - a. 011609\_Secy.Paulson\_BankofAmerica
      - b. 1-15-09 Board Meeting Case
      - c. Certified BOA Resolution (2)
      - d. Min 1-15-09
      - e. Transcript 1-15-09 Closed
    - 2. Citigroup
      - a. 11-23-08 Citibank Resolution
      - b. 2008-11-23 FDIC Board of Directors Memo re Citi
      - c. November 23, 2008 Board minutes
      - d. Paulson
      - e. Transcript 11-23-08 Closed
    - 3. Guaranty of Bank Debt
      - a. 10-15-08 Systemic Risk Letter
      - b. Certified Board Resolution
      - c. FDIC Guarantee of Debt Board Case
      - d. Min 10-13-08
      - e. Transcript 10-13-08 Closed
    - 4. PPIP 3\_26\_09
      - a. Legacy Loans Program - Signed Board Case Memo and Resolution
      - b. Min 3-26-09
      - c. Revised Resolution to Accompany Systemic Risk Recommendation for Public-Private Investment Fund Case
      - d. Systemic Risk Recommendation PPIF
      - e. Transcript 3-26-09 Closed
    - 5. PPIP 7\_7\_09
      - a. 7-7-09 Closed Minutes signed
      - b. 7-7-09 PPIP Board Case
      - c. 7-7-09 PPIP resolution
      - d. PPIP Letter 7\_7\_09
      - e. Transcript 7-7-09 Closed
    - 6. Wachovia
      - a. 9-29-08 Certified Wachovia Resolution
      - b. Min 9-29-08
      - c. Transcript 9-29-08 Closed
      - d. Wachovia Bank Board Case 9\_29\_08
    - 7. FDIC Bair testimony Jan 14 FCIC
    - 8. FDIC Response Letter 11June10
  - b. 2010-06-22 Production- Disk # 411
    - 1. Bank Fraud Working Group
      - a. 1Q2004
      - b. 1Q2006 BFWG Meeting Memo
      - c. 1Q2007 BFWG Meeting Memo
      - d. 1Q2008 BFWG Meeting Memo
      - e. 1Q2009 BFWG Meeting Memo

























































- f.  2Q2004
  - g.  2Q2006 BFWG Meeting Memo
  - h.  2Q2007 BFWG Meeting Memo
  - i.  2Q2007 BFWG Meeting Memo 2
  - j.  2Q2008 BFWG Meeting Memo
  - k.  2Q2008 BFWG Meeting Memo Revised Format
  - l.  2Q2009 BFWG Meeting Memo
  - m.  3Q2003
  - n.  3Q2005 BFWG Meeting Memo
  - o.  3Q2006 BFWG Meeting Memo
  - p.  3Q2007 BFWG Meeting Memo
  - q.  3Q2008 BFWG Meeting Memo
  - r.  3Q2009 BFWG Meeting Memo
  - s.  4Q2003
  - t.  4Q2005 BFWG Meeting Memo
  - u.  4Q2006 BFWG Meeting Memo
  - v.  4Q2007 BFWG Meeting Memo
  - w.  4Q2008 BFWG Meeting Memo
  - x.  4Q2009
2.  CFFC Reports
- a.  123105CFFCReport
  - b.  1Q06CFFCR
  - c.  1Q09CFFCR
  - d.  2Q06CFFCR
  - e.  2Q08CFFCR
  - f.  2Q09CFFCR
  - g.  3Q06CFFCR
  - h.  3Q07CFFCR
  - i.  3Q08CFFCR
  - j.  3Q09CFFCR
  - k.  4Q06CFFCReport
  - l.  4Q07CFFCReport
  - m.  4Q08CFFCR1
  - n.  CFFCR01Q07
  - o.  CFFCR2Q07
  - p.  CFFCReport1q08
  - q.  DSC 3Q09Cyber Fraud & Financial Crime Report
3.  Docs on FDIC's web site
- a.  2007 FBI MortgageFraudReport
  - b.  Anatomy of a Fraud
  - c.  Chicago 5-16-2005 D0FD29BD
  - d.  CHIRO-13-2005
  - e.  Conduits
  - f.  CT Housing Task Force 5-3-2007
  - g.  DIL\_Subprime
  - h.  DIR Graph - Mortgage Fraud is on the Rise
  - i.  DIR Graph - Mortgage Fraud is on the Rise 2
  - j.  DIR Hot Topics 3-23-06
  - k.  DIR Mortgage Fraud Increasing in the Southeast
  - l.  DIR NAIOP September 2007
  - m.  DIR Perspectives Early Payment Default 12-21-06
  - n.  DIR Report May 16, 2005 Economy\_2005\_02
  - o.  DOF News and Views Fall\_2007
  - p.  Emerging Risks in Banking 4-19-06
  - q.  Emerging Risks in Banking 5-9-06
  - r.  FBI 0-17-04 Press Release on Operation Continued Action

- s.  FBI 11-17-04-cd2 [Read-Only]
- t.  FBI 2005 - Financial Crimes Report to
- u.  FBI Swecker Congressional Testimony 10-7-04
- v.  FDIC Advisory Committee Econ Inclusion 2007 july minutes
- w.  FDIC Economic Outlook Roundtable 2006 - remarks by Rich Brown
- x.  FDIC\_ 2008 Annual Report
- y.  FDIC\_ FDIC Consumer News Fall 2007
- z.  FDIC\_ FYI\_ Scenarios for the Next U.S. Recession
- aa.  FDIC\_ Office of the Ombudsman March 2007
- ab.  FDIC\_ Risk Management Manual of Examination Policies 2-20-05
- ac.  FDIC\_ Risk-Based Assessment System May 16, 2005 - Mortgage Fraud
- ad.  FDIC\_ SA-44-2003\_ Fraud Alert on Advance Payments\_Identity Theft 7-31-2003
- ae.  FDIC\_ Speeches and Testimony 3\_20\_2009
- af.  FFIEC White Paper 3P\_Mtg\_Fraud\_wp\_oct04
- ag.  FIL-111-04
- ah.  FIL-32-08
- ai.  FIL-4-2007
- aj.  http\_\_\_www.cnn.com\_2004\_LAW\_09\_17\_mortgage
- ak.  Legal\_ Legal Division Global Email 062408
- al.  MARI Periodic Mortgage Fraud Case Report
- am.  MARI Periodic Mortgage Fraud Case Report 2008
- an.  MortgageFraudPrevention
- ao.  Mtg Red Flags
- ap.  NATIONAL\_RRC\_ROLLUP\_Fall2007
- aq.  oct\_2004\_RiskCase\_nrc
- ar.  Ombudsman Report to the Industry
- as.  RD Memo on Bank Fraud Working Groups 07\_017\_BFWG
- at.  recessionRoundtableTranscript
- au.  Red Flags in Mortgage Lending 2004
- av.  Resolution Costs wp2004\_01
- aw.  SANFRAN SPRING 2006
- ax.  SFRO News0906
- ay.  Spring\_2005\_RiskCase\_nrc
- az.  Statement of Sheila Bair 9-5-07
- ba.  Supervisory Insights
- bb.  Supervisory Insights Summer 07
- bc.  The 2008 FDIC Accounting & Auditing Conference
- 4.  Periodic Emails
  - a.  Periodic email 10-1-2008
  - b.  Periodic email 10-23-2008
  - c.  Periodic email 12-04-2009
  - d.  Periodic email 12-16-2008
  - e.  Periodic email 1-28-2010
  - f.  Periodic email 1-30-2009
  - g.  Periodic email 3-20-2009
  - h.  Periodic email 6-24-2009
  - i.  Periodic email 8-15-2008 (Initial)
  - j.  Periodic email 8-7-2009
  - k.  Periodic email 9-28-2009
- 5.  RAC Audio Presentations
  - a.  6-22-09 Mortgage Fraud 2009
  - b.  6-23-08-Mortgage-Fraud
- c.  2010-07-01 Production- Disk # 432
  - 1.  2009 TBTF Sheila Bair FDIC Testimony 05 06 09
  - 2.  abcnews.go.com-print
  - 3.  Bair 7-24-09
























































4.  CDS\_0206
  5.  CDS\_Operational\_Risk\_0306
  6.  CDSmarket8-3-04
  7.  Chairman FDIC Speech 2009
  8.  CSFB\_on\_interest\_rate\_derivatives
  9.  Derivative\_Week\_4-4-04
  10.  derivatives\_concentration\_risk
  11.  derivatives\_exposure
  12.  doug Jones Testimony 98
  13.  EcNews070713
  14.  ecNews080104
  15.  economist\_article
  16.  FDIC\_Speeches & Testimony - 11\_02\_2009
  17.  FDIC\_Speeches & Testimony - 4\_12\_2010
  18.  Homebuilders\_ATL\_1105
  19.  HotTopics\_CDS\_Data\_1009
  20.  HotTopics\_CDS\_Defined\_1009
  21.  HotTopics\_CDS\_Market\_Reform\_0510
  22.  HotTopics\_credit\_0606
  23.  HotTopics\_FinancialInnovation\_0507
  24.  HT\_Derivatives\_Revisited\_1106
  25.  OFHEO\_and\_FannieMae
  26.  Public FDIC webpages
  27.  RE\_Derivatives\_0406
  28.  Risk\_Case\_Spring06\_Board
  29.  Risk\_Case\_Spring06\_NRC
  30.  Spring 2007 Risk Case FINAL
  31.  TAKE\_CreditDerivatives\_113006
  32.  Takeaway\_AEI\_Fannie\_Mae
  33.  Takeaways\_CFRA\_021607
  34.  Takeaways\_Senate\_Hearing\_CFTC\_09-08-05
  35.  takeaways\_yieldcurves\_derivatives
  36.  UFMK0705
  37.  ufmk0805a
  38.  www.washingtonpost.com-wp-dyn-content-article-2010-05-03
- d.  2010-07-02 Production
1.  07-08 Alvin Tracking and Surveillance
  2.  08-08 Provider Summary
  3.  08-08 Provider Summary-modified
  4.  3Q08 Deposit History
  5.  9\_28\_08 10\_21 am
  6.  9\_28\_08 11\_58 pm
  7.  9\_28\_08 8\_13 am
  8.  9\_29\_08 12\_08 am
  9.  Approval of Systemic Risk Exception
  10.  AW\_
  11.  Board Resolution
  12.  Business - WSJ.com
  13.  Daily Liquidity 9-29-2008
  14.  Draft - please acknowledge receipt
  15.  Draft press release
  16.  Draft WB RESOLUTIONciti3
  17.  FDIC Board Action
  18.  FW\_ 9\_29\_08 5\_41 am
  19.  Fw\_ Board meeting tonight 9\_29\_08 5\_44 am
















20.  Fw\_ Board meeting tonight 9\_29\_08 5\_45 am
21.  FW\_ Confidential
22.  Fw\_ Memo 9\_28\_08 10\_50 pm
23.  FW\_ Memo 9\_28\_08 10\_58 pm
24.  Fw\_ Memo 9\_28\_08 11\_01 pm
25.  FW\_ Memo 9\_28\_08 11\_15 pm
26.  FW\_ Memo 9\_28\_08 11\_16 pm
27.  FW\_ Statement by Secretary Paulson on the Sale of Wachovia Bank
28.  Fw\_ Systemic risk portion of Wachovia board case 9\_28\_08 10\_31 pm.doc
29.  Fw\_ Systemic risk portion of Wachovia board case 9\_28\_08 10\_39 pm.doc
30.  FW\_ SystemicCase.doc
31.  FW\_ SystemicCase-4am 9\_29\_08 5\_35 am
32.  FW\_ SystemicCase-4am 9\_29\_08 5\_36 am
33.  FW\_ SystemicCase-4am 9\_29\_08 5\_40 am
34.  Fw\_ SystemicCase-4am.doc
35.  FW\_ Treas statement - close to final
36.  FW\_ Wachovia Deal - Board Case\_Draft Resolution and a WSJ Article w\_some detail
37.  FW\_ WB stuff 9\_28\_08 5\_49 pm
38.  Fw\_ WB stuff 9\_28\_08 6\_19 pm
39.  FW\_ Weekend Transaction 9\_27\_08 1\_43 pm
40.  FW\_ Weekend Transaction 9\_27\_08 1\_56 pm
41.  FW\_ Weekend Transaction 9\_27\_08 2\_48 pm
42.  FW\_ Weekend Transaction 9\_27\_08 3\_08 pm
43.  FW\_ WM Materials 9\_24\_08 1\_21 pm
44.  Good morning
45.  Liquidity Run-off scenario 9.24.08 v2
46.  MANY ARTs
47.  Memorandum
48.  Paulson Statement on the Sale of Wachovia Bank
49.  Press Release
50.  Re\_ AW\_
51.  RE\_ Board meeting tonight
52.  RE\_ Board meeting tonight 9\_28\_08 9\_40 pm
53.  RE\_ Board meeting tonight 9\_29\_08 5\_40 am
54.  Re\_ FDIC Board Action 9\_29\_08 6\_40 am
55.  RE\_ FDIC Board Action 9\_29\_08 6\_44 am
56.  Re\_ Good morning
57.  Re\_ IIF's Daily Comment for September 29, 2008
58.  RE\_ I'm available 9\_28\_08 10\_44 am
59.  Re\_ I'm available 9\_28\_08 11\_07 am
60.  Re\_ I'm available 9\_28\_08 11\_13 am
61.  Re\_ I'm available 9\_28\_08 11\_21 am
62.  Re\_ I'm available 9\_28\_08 3\_25 pm
63.  RE\_ I'm available 9\_28\_08 3\_27 pm
64.  re\_ Q& A for the Chairman, Wachovia
65.  Re\_ Senate Briefing on Citi\_Wachovia
66.  Re\_ Systemic risk portion of Wachovia board case.doc
67.  RE\_ Treas statement - close to final
68.  Re\_ Update
69.  RE\_ Wac Liquidity Update 9\_29\_08 1\_45 pm
70.  Re\_ Weekend Transaction 9\_27\_08 1\_28 pm
71.  Re\_ Weekend Transaction 9\_27\_08 1\_35 pm
72.  Re\_ Weekend Transaction 9\_27\_08 1\_44 pm
73.  Re\_ Weekend Transaction 9\_27\_08 1\_49 pm
74.  Re\_ Weekend Transaction 9\_27\_08 1\_51 pm
























































75. Re\_Weekend Transaction 9\_27\_08 2\_01 pm
76. Re\_Weekend Transaction 9\_27\_08 2\_08 pm
77. Re\_Weekend Transaction 9\_27\_08 3\_03 pm
78. Re\_Weekend Transaction 9\_27\_08 5\_50 pm
79. Re\_Weekend Transaction 9\_27\_08 5\_52 pm
80. Re\_Weekend Transaction 9\_27\_08 5\_58 pm
81. Re\_Weekend Transaction 9\_29\_08 4\_12 pm
82. Re\_Weekend Transaction 9\_29\_08 4\_19 pm
83. RE\_WM Materials 9\_24\_08 11\_58 am
84. RE\_WM Materials 9\_24\_08 12\_19 pm
85. Systemic risk
86. Systemic risk portion of Wachovia board case
87. Systemic risk portion of Wachovia board case 9\_28\_08 10\_16 pm.doc
88. Systemic risk portion of Wachovia board case 9\_28\_08 10\_23 pm.doc
89. SystemicCase
90. SystemicCase4am
91. SystemicCase-4am.doc
92. the case
93. vfcc-maturitycalendarpool
94. Wachovia -- systemic risk exception\_
95. Wachovia Liability Breakout 9.08-v1
96. wachoviaquestions-answers
97. WB Debt Maturities
98. WB Lg Funds Providers-9-2008
99. WB Lg Funds Providers-9-2008
100. WB Liabilities-9-2008 v2
101. WB Liabilities-9-2008 v2
102. wb memo board
103. Weekend Transaction 9\_27\_08 1\_17\_pm
- e. 2010-07-14 Production- Disk # 453
  1. 06 WM Final Presentation
  2. FCIC\_SYSRISK\_PPIP\_A
  3. FCIC\_SYSRISK\_PPIP\_B
  4. FCIC\_SYSRISK\_TLGP\_2
- f. 2010-07-15 Production- Disk # 461
  1. FCIC\_SYSRISK\_BOA\_2
  2. FCIC\_SYSRISK\_CITI\_2\_chmn
  3. FCIC\_SYSRISK\_CITI\_2\_staff
- g. 2010-07-28 Production
  1. Disk # 489
    - a. AGD Sim
      1. 1. FDIC Simulation May 1 Workshop Brief FINAL
      2. 2. FDIC Simulation Scenario Fact Book May 1 FINAL
      3. 3. May 1 Update
      4. 4. May 6 -12 Compiled Updates
      5. 5. May 7 Fact Book
      6. 6. May 13 Intro Brief
      7. 7. May 13 Updates
      8. 8. May 14 Intro Brief
      9. 9. May 14 Update
      10. Strategic Readiness Simulation Exec Summary
      11. Strategic Readiness Simulation Final Report
    - b. BJD Sim
      1. 1. Opening Brief
      2. 2. Move 1 Scenario
      3. 2007 Simulation

- 4.  3. Move2 Scenario
- 5.  4. Move 3 Scenario and Taskings
- 6.  5. Combined\_Injects
- 7.  FDIC Simulation Toolkit FINAL
- 8.  FDIC\_Resolution 101\_4-9-07\_FINAL
- c.  MOU files
  - 1.  Interagency MOU signed Board Case package July 9 2010
  - 2.  Jan 29, 2002, Minutes
  - 3.  Jan. 18, 2005, Board jacket
  - 4.  Jan. 18, 2005, Minutes
  - 5.  Jan. 29, 2002, Board jacket
  - 6.  Jan. 29, 2002, Transcript
  - 7.  Summary of 2002 and 2005 Actions
  - 8.  Transcript 1-18-05 open
- d.  RAM Sim
  - 1.  Comparison Charts2
  - 2.  Framework for Invoking Systemic Risk Exception v5
  - 3.  Ram Bank Resolution Cash Disbursement Estimates v3 (2)
  - 4.  RAM Bank Scenario FINAL
  - 5.  RAM bank simulation
  - 6.  Statutory Provisions - Formatted
  - 7.  Systemic Risk Tabletop Overview and Agenda
- e.  a. LIDI User Guide
- f.  LIDI RD Memo
- g.  srisk protocol draft11
- 2.  Disk # 490
  - a.  WA000001
  - b.  WA000002
  - c.  WA000015
  - d.  WA000016
  - e.  WA000029
  - f.  WA000030
  - g.  WA000043
  - h.  WA000044
  - i.  WA000057
  - j.  WA000070
  - k.  WA000073
  - l.  WA000075
  - m.  WA000077
  - n.  WA000090
  - o.  WA000091
  - p.  WA000093
  - q.  WA000106
  - r.  WA000109
  - s.  WA000112
  - t.  WA000115
  - u.  WA000133
  - v.  WA000136
  - w.  WA000140
  - x.  WA000141
  - y.  WA000144
  - z.  WA000147
  - aa.  WA000148
  - ab.  WA000160
  - ac.  WA000161
  - ad.  WA000163



























































ae.  WA000176  
af.  WA000177  
ag.  WA000179  
ah.  WA000192  
ai.  WA000193  
aj.  WA000197  
ak.  WA000198  
al.  WA000205  
am.  WA000225  
an.  WA000226  
ao.  WA000233  
ap.  WA000253  
aq.  WA000254  
ar.  WA000257  
as.  WA000258  
at.  WA000271  
au.  WA000272  
av.  WA000285  
aw.  WA000286  
ax.  WA000299  
ay.  WA000312  
az.  WA000313  
ba.  WA000316  
bb.  WA000329  
bc.  WA000330  
bd.  WA000331  
be.  WA000344  
bf.  WA000345  
bg.  WA000358  
bh.  WA000361  
bi.  WA000362  
bj.  WA000375  
bk.  WA000378  
bl.  WA000381  
bm.  WA000383  
bn.  WA000385  
bo.  WA000386  
bp.  WA000388  
bq.  WA000389  
br.  WA000390  
bs.  WA000391  
bt.  WA000393  
bu.  WA000394  
bv.  WA000395  
bw.  WA000408  
bx.  WA000410  
by.  WA000412  
bz.  WA000414  
ca.  WA000427  
cb.  WA000428  
cc.  WA000430  
cd.  WA000434  
ce.  WA000438  
cf.  WA000442  
cg.  WA000452
























































ch.  WA000457  
ci.  WA000462  
cj.  WA000467  
ck.  WA000468  
cl.  WA000471  
cm.  WA000472  
cn.  WA000476  
co.  WA000477  
cp.  WA000490  
cq.  WA000491  
cr.  WA000504  
cs.  WA000505  
ct.  WA000507  
cu.  WA000510  
cv.  WA000511  
cw.  WA000513  
cx.  WA000514  
cy.  WA000527  
cz.  WA000528  
da.  WA000541  
db.  WA000542  
dc.  WA000555  
dd.  WA000556  
de.  WA000569  
df.  WA000570  
dg.  WA000583  
dh.  WA000584  
di.  WA000587  
dj.  WA000588  
dk.  WA000601  
dl.  WA000604  
dm.  WA000607  
dn.  WA000611  
do.  WA000614  
dp.  WA000617  
dq.  WA000621  
dr.  WA000631  
ds.  WA000633  
dt.  WA000636  
du.  WA000639  
dv.  WA000640  
dw.  WA000642  
dx.  WA000644  
dy.  WA000646  
dz.  WA000648  
ea.  WA000661  
eb.  WA000715  
ec.  WA000717  
ed.  WA000719  
ee.  WA000721  
ef.  WA000724  
eg.  WA000743  
eh.  WA000762  
ei.  WA000764  
ej.  WA000782

























































ek.  WA000784  
el.  WA000803  
em.  WA000822  
en.  WA000842  
eo.  WA000862  
ep.  WA000864  
eq.  WA000909  
er.  WA000912  
es.  WA000932  
et.  WA000935  
eu.  WA000941  
ev.  WA000944  
ew.  WA000945  
ex.  WA000947  
ey.  WA000963  
ez.  WA000969  
fa.  WA000972  
fb.  WA000973  
fc.  WA000975  
fd.  WA000986  
fe.  WA000987  
ff.  WA000988  
fg.  WA000991  
fh.  WA000993  
fi.  WA000996  
fj.  WA000998  
fk.  WA000999  
fl.  WA001002  
fm.  WA001005  
fn.  WA001006  
fo.  WA001007  
fp.  WA001008  
fq.  WA001009  
fr.  WA001010  
fs.  WA001012  
ft.  WA001013  
fu.  WA001015  
fv.  WA001017  
fw.  WA001019  
fx.  WA001020  
fy.  WA001021  
fz.  WA001022  
ga.  WA001024  
gb.  WA001027  
gc.  WA001028  
gd.  WA001031  
ge.  WA001033  
gf.  WA001034  
gg.  WA001036  
gh.  WA001038  
gi.  WA001039  
gj.  WA001041  
gk.  WA001047  
gl.  WA001048  
gm.  WA001049

- gn. WA001050
- go. WA001053
- gp. Wachovia 2 Search Reviewed
- h. 2010-07-30 Production- Disk # 500
  - 1. BAC LIDI 1Q08
  - 2. BAC LIDI 1Q09
  - 3. BAC LIDI 1Q10
  - 4. BAC LIDI 2Q08
  - 5. BAC LIDI 2Q09
  - 6. BAC LIDI 3Q08
  - 7. BAC LIDI 3Q09
  - 8. BAC LIDI 4Q08
  - 9. BAC LIDI 4Q09
  - 10. Citi 03-09
  - 11. Citi 12-08
  - 12. Citi 3-08
  - 13. Citi 6-08
  - 14. Citi 6-09
  - 15. Citi 9-08
  - 16. Citi 9-09
  - 17. Citi LIDI 1Q10
  - 18. Citi LIDI 4Q09
  - 19. Wachovia LIDI 1Q08
  - 20. Wachovia LIDI 1Q09
  - 21. Wachovia LIDI 2Q08
  - 22. Wachovia LIDI 2Q09
  - 23. Wachovia LIDI 3Q08
  - 24. Wachovia LIDI 3Q09
  - 25. Wachovia LIDI 4Q08
  - 26. Wachovia LIDI 4Q09
- i. 2010-08-02 Production- Disk # 511
  - 1. 1-29-08 Problem Memo
  - 2. 2008 Camels Rating Discussion Paper
  - 3. 2008 Camels Rating Discussion Paper Wash input
  - 4. 3-6-08 Rating Difference Memo
  - 5. 6-17-08 Streicher email
  - 6. CWB-RatingsDiffMemo5-20-08
  - 7. Discussion with Dochow 12-17-07
  - 8. OTS response to FDIC followup Questions - Countrywide
  - 9. OTSExamRequest9-4-07
  - 10. Visitation Comments Nov 1 2007 (Eagar)
  - 11. Visitation email cover 11-1-07
- j. 2010-08-09 Production- Disk # 528
  - 1. Downey 2007 Exam Recap 7-18-07
  - 2. Downey 8-20-07 Problem Bk Memo
  - 3. Downey FDIC Participation in 3-10-08 Exam
  - 4. Downey Supervisory History 11-13-08
  - 5. IMB Memo Downgrade to Composite 5 as af 6\_25\_08
  - 6. IndyMac 5-7-08 email re Capital
  - 7. IndyMac Briefing Memo by Hoyer June 19
  - 8. IndyMac FDIC Findings Memo 5-27-08
  - 9. IndyMac FDIC Participation in OTS Exam
  - 10. IndyMac Hoyer Capital Issues 5-26-08
  - 11. IndyMac June 12 Capital Analysis (Burns)
  - 12. IndyMac LIDI 5-22-08
  - 13. IndyMac Problem Bk Memo addendum 6-26-08

14.  IndyMac Problem Bk Memo comments 6-6-08
15.  IndyMac Supervisory History
16.  IndyMac Visitation (Lewin) Final
- k.  2010-09-08 Production- Disk # 607
  1.  2008-07-21 FDIC letter to OTS
  2.  2008-07-22 OTS letter to FDIC
  3.  2008-08-22 Draft Problem Bank Memo
  4.  2008-09-11 OTS letter to FDIC
  5.  FDIC Participation in the 2008 OTS Examination
  6.  FW Washington Mutual
  7.  IG Report 4-9-10 10-002EV
  8.  Memo per our discussion
  9.  WAMU Rating
  10.  WAMU Ratings
- l.  2010-09-22 Production- Disk # 638
  1.  2006 Year-to-Date Housing Market Update
  2.  A Project Update by the FDIC Risk Analysis Cneter dated 12-4-03
  3.  Affordability\_HomeSales\_ATL\_0306
  4.  An Introduction to Collateralized Debt Obligations & Their Risks to Deposit Institutions
  5.  Answers to some of your frequently asked questions
  6.  Auction Rate Securities Market 8-18-08
  7.  CDO PowerPoint handout
  8.  CDOs at Large Banks 9-20-06
  9.  CDS\_0206
  10.  CDS\_Operational\_Risk\_0306
  11.  CDSmarket8-3-04
  12.  Commercial Real Estate Update - dated 9-08
  13.  COMMERCIAL REAL ESTATE VISITATION PROJECT 5-7-08
  14.  crbn0403
  15.  December 2007 NRC Presentation on CMBS
  16.  derivatives\_concentration\_risk
  17.  derivatives\_exposure
  18.  DSC ATL Commercial Real Estate Overview
  19.  Econcom\_Conf\_Housing\_1105
  20.  Economy\_com\_HsgMrtgMrkts\_050506
  21.  Executive Summary Assesment of Risk in Home Equity Lending dated 9-16-04
  22.  FL\_HomeSales\_ATL\_0306
  23.  home\_equity\_takeaway
  24.  Homebuilders\_ATL\_1105
  25.  Hot Topics\_FreddieFannie\_0708
  26.  hottopic\_helocs\_053105
  27.  HotTopic\_HousingInWest\_SF\_07-07-05
  28.  hottopic\_io\_loans\_08-08-05
  29.  HotTopic\_Option\_Arms\_08-18-05
  30.  hottopic\_subprime\_risk\_08-17-05
  31.  HotTopics\_Housing\_Overhang\_0207
  32.  HotTopics\_2QMBA\_NDS\_0909
  33.  hottopics\_40+mortgages\_0606
  34.  HotTopics\_CDS\_Data\_1009
  35.  HotTopics\_CDS\_Defined\_1009
  36.  HotTopics\_FL\_ConsumerSpending\_ATL\_0907
  37.  HotTopics\_FL\_Econ\_ATL\_1209
  38.  HotTopics\_Homebuilders\_0208
  39.  hottopics\_Housing\_ATL\_0307
  40.  HotTopics\_HPI\_0607
  41.  HotTopics\_HPI\_3Q07\_1207
























42.  HotTopics\_HPIndices\_2Q07
43.  hottopics\_Inventories\_ATL\_072006
44.  HotTopics\_Inventories\_CHI\_0708
45.  HotTopics\_MAResMkts\_BOS\_0807
46.  HotTopics\_MBA\_NDS\_3Q08\_1208
47.  HotTopics\_MidAtlantic\_Housing\_NY\_0308
48.  HotTopics\_Mortgages\_0307
49.  HotTopics\_NewHomeSales\_CHI\_0409
50.  HotTopics\_Q3MBA\_NDS\_1109
51.  HotTopics\_Q4MBA\_NDS\_0309
52.  HotTopics\_RMBSDowngrades\_0907
53.  HotTopics\_SovereignWealthFunds\_1107
54.  HotTopics\_Subprime\_Lending\_0307
55.  HotTopics\_TBA\_Market\_1208
56.  HotTopics\_WallStreet\_NY\_0808
57.  Housing Markets, Consumer Finances 12-4-03
58.  housing\_cycle\_1205
59.  HT\_CapitalMktReform\_1206
60.  HT\_condo\_ATL\_1106
61.  HT\_Derivatives\_Revisited\_1106
62.  HT\_Reinsurance\_0906
63.  HT\_Residential\_Builders\_1106
64.  HT\_subprime\_10-06
65.  Hybrid\_Securities\_0206
66.  Interest Rate Risk Project Status Report dated 2-22-05
67.  Large Bank Exposure to CD Os and Monoline Bond Insurers 1-16-08
68.  Mortgage Credit Trends Project Update dated 7-19-05
69.  Mortgage\_Put\_Back\_HotTopics
70.  Mtg Credit Trends NRC presentation handout 9-06
71.  Nontraditional Mortgage Products - part b
72.  Notes on Major US Credit Card Lenders dated 11-30-
73.  Notes on Wachovia's Pay Option ARM Portfolio Loss Projections - California Florida Comparison
74.  PPR090904
75.  Prakken\_0206
76.  RAC Mortgage Credit Trends Project
77.  RE\_Derivatives\_0406
78.  Regional Risk Committee Discussions of Markets 9-11-08
79.  Report to National Risk Committee - dated 1-16-08
80.  Report to the FDIC National Risk Committee
81.  Report to the National Risk Committee dated 9-20-06
82.  Rising Risks in Housing Markets 2005
83.  Rising Risks in Housing Markets dated 3-21-05
84.  spreaderosion
85.  Summary of Mortgage Survey Results dated 10-19-05
86.  Synthetic Credit and Collateral Debt Obligations
87.  TAKE\_\_Moody's\_NY\_011807
88.  TAKE\_CreditDerivatives\_113006
89.  TAKE\_FreddieMac\_111506
90.  TAKE\_Moodys\_101206
91.  TAKE\_RECON\_111406
92.  takeaway050406
93.  Takeaway-Housing\_Bubble
94.  Takeaways MBA NDS 2Q 08
95.  Takeaways\_AEI\_102209
96.  Takeaways\_AEI\_Foreclosures\_061708

97.  Takeaways\_AEI\_Housing\_101107
  98.  Takeaways\_BEC\_010908
  99.  Takeaways\_Cash\_CDO\_Fundamentals\_10-13-05
  100.  Takeaways\_CDOs\_021507
  101.  takeaways\_csfbioans\_060605
  102.  Takeaways\_FRB\_Homeownership\_DAL\_061207
  103.  Takeaways\_Hearing\_CreditMkts\_090507
  104.  Takeaways\_HouseHearing\_SystemicRisk\_072408
  105.  Takeaways\_HousingOutlook\_SF\_040208
  106.  Takeaways\_MA\_HousingBubble\_09-08-05
  107.  Takeaways\_MasonRosner\_050307
  108.  Takeaways\_MetroStudy\_Housing\_ATL\_080108
  109.  Takeaways\_MetroStudy2\_ATL\_042607
  110.  Takeaways\_Moodys\_Housing\_020509
  111.  takeaways\_Moodys\_062706
  112.  Takeaways\_Moodys\_091407
  113.  Takeaways\_Moody's\_EconFallout\_090407
  114.  Takeaways\_Moodys\_Housing\_ATL\_050307
  115.  Takeaways\_Moodys\_MortgageCredit\_072507
  116.  Takeaways\_Mortgage\_Market\_Highlights\_07-06-05
  117.  Takeaways\_Mudd\_010808
  118.  Takeaways\_NABE\_CreditMkt\_080707
  119.  takeaways\_nabe\_policy\_survey\_09-16-05
  120.  Takeaways\_NEC\_040209
  121.  Takeaways\_NEC\_050307
  122.  Takeaways\_NEEP\_BOS\_053008
  123.  Takeaways\_PetersonInst\_Financial\_100708
  124.  takeaways\_receivership
  125.  Takeaways\_RegionalOutlook\_DAL\_013108
  126.  takeaways\_senatehearing\_credit\_agencies
  127.  Takeaways\_SP\_RMBS\_071509
  128.  takeaways\_subprime\_market\_mba\_08-24-05
  129.  Takeaways\_UrbanInstitute\_Subprime\_072507
  130.  takeaways\_yieldcurves\_derivatives
  131.  Takeaways11\_4\_04GlobalHsg
  132.  Targeted Review Results-Nontraditional & Subprime Mortgage Products
  133.  TW\_MultHsg\_3-8-05
  134.  ucml0103a
  135.  Update on Commercial Real Estate Lending dated 7-18-07
  136.  us\_risk\_profile
  137.  usbn0402
  138.  usbn1002
  139.  USEcon\_0609
  140.  USEcon\_1208
  141.  usecon0907
- m.  2010-09-23 Production- Disk # 643
1.  2005 review and Examination Progress-Plan
  2.  2006 Rreview and Examination Progress-Plan
  3.  Compliance Report and CRA Act Performance Evaluation
  4.  Correspondednce to bank re Revised MOU
  5.  Correspondence
  6.  Correspondence from Bank re Quarterly Written Progress Report
  7.  Correspondence from Bank to FDIC and DFI re Visitation
  8.  Correspondence from FDIC to Bank of Visitation
  9.  Correspondence from the FDIC and DFI to Bank re Visitation
































10.  Correspondence MOU to the Bank Board
11.  Correspondence review of Assessment Risk Classification
12.  Correspondence signed copy of the MOU
13.  Correspondence to Bank re Receipt of Quarterly Progress Report
14.  First Quarter 2004 Progress Report
15.  Fourth Quarter 2003 Progress Report
16.  Fremont Investment & Loan
17.  Letter to Board re 2004 Quarerly Progress Report
18.  Liquidity and Funds Management Management's Perspective
19.  Memorandum re Loan Documents
20.  MOU
21.  Quarterly Progress Report
22.  Quarterly Written Progress Report re MOU
23.  Quarterly Written Progress Report re MOU 4-30-04
24.  report of Management and fax Cover Sheet
25.  Response to Joint REO 06-30-04
26.  response to Joint Report of Examination of 8-4-03
27.  Response to Joint Report of Examination of 89-30-05
28.  Response to S& S Report of Visitation
29.  Response to S& S Report of Visitation 3-23-04pdf
30.  ROE 03-31-2005
31.  ROE 04-11-2005
32.  ROE 06-30-2004
33.  ROE 10-25-2005
34.  ROE IT 11-08-2004
35.  ROV 03-23-2005
36.  S& S Transmittal of Joint ROE 1-14-2005
37.  Second Quarter 2004 Progress Report
38.  Summary of Examination Findings
39.  Third Quarter 2005 Quarterly Progress Report 3-29-2005
40.  Transmittal of IT Report of Examination
- n.  2010-09-27 Production- Disk # 648
  1.  12-08-03 FYI
  2.  4-21-03 FYI
  3.  A Chronology of Key Basel II Concepts
  4.  Basel II August 22 2005
  5.  Basel II implementation Jan 2010
  6.  Basel II implementation January 2010 redacted
  7.  Basel II policy and implementation 11-27-06
  8.  Calibration\_Treasury
  9.  Chairman Bair to Sen. Collins
  10.  Powell Letter to Greenspan
  11.  TPS Risk Analysis
- o.  2010-09-30 Production- Disk # 657
  1.  August 31, 2010 request - RD memo
  2.  DSC Memo-RAC Mortgage Credit Trends Project
  3.  DSC\_DR\_09062010\_1
  4.  Exhibit 2 Final
  5.  Exhibit 3 Final
  6.  Exhibit 4 Final
  7.  FCIC Spoth
  8.  NRC Memo Final
- p.  2010-10-09 Production- Disk # 679
  1.  Transcript 10-13-08 (Reviewed)
  2.  Transcript 11-23-08 (Reviewed)
  3.  Transcript 1-15-09 (Reviewed)


















































































































- 4. Transcript 3-26-09 (Reviewed)
  - 5. Transcript 9-29-08 (Previously Released))
  - q. 2010-10-14 Production
    - 1. Disk # 699
      - a. Transcript 7-7-09 (Reviewed)
    - 2. Disk # 700
      - a. Copy of FHLB Advance Repayments for 2008 Closings 10-14-10
  - r. 2010-10-15 Production- Disk # 703
    - 1. FCIC\_Request 10-15-10
  - s. 2010-12-13 Production
    - 1. FDIC\_ HSOB Bank & Thrift Failures 1934-1939
    - 2. FDIC\_ HSOB Bank & Thrift Failures 1934-1980
    - 3. FDIC\_ HSOB Bank & Thrift Failures 1940-1949
    - 4. FDIC\_ HSOB Bank & Thrift Failures 1950-1959
    - 5. FDIC\_ HSOB Bank & Thrift Failures 1960-1969
    - 6. FDIC\_ HSOB Bank & Thrift Failures 1970-1979
    - 7. FDIC\_ HSOB Bank & Thrift Failures 1980-1989
  - t. FDIC\_OIG Response to FCIC Request Mar 16 10pdf
23. FHLMC
- a. 2010-03-11 Production- Disk # 120
    - 1. FMAC-FCIC 000000001-000000005
    - 2. FMAC-FCIC 000000006
    - 3. FMAC-FCIC 000000007-000000015
    - 4. FMAC-FCIC 000000016-000000050
    - 5. FMAC-FCIC 0000000228-0000000229
    - 6. FMAC-FCIC 0000000278-000000694
    - 7. FMAC-FCIC 000000051-000000058
    - 8. FMAC-FCIC 000000059-000000077
    - 9. FMAC-FCIC 0000000695-000001072
    - 10. FMAC-FCIC 000000078-000000080
    - 11. FMAC-FCIC 000000081-000000088
    - 12. FMAC-FCIC 000000089-000000102
    - 13. FMAC-FCIC 000000103-000000109
    - 14. FMAC-FCIC 0000001073-000001365
    - 15. FMAC-FCIC 000000110-000000117
    - 16. FMAC-FCIC 000000118-000000122
    - 17. FMAC-FCIC 000000123-000000137
    - 18. FMAC-FCIC 0000001366-000002366
    - 19. FMAC-FCIC 000000138-000000162
    - 20. FMAC-FCIC 000000163-000000173
    - 21. FMAC-FCIC 000000174-000000183
    - 22. FMAC-FCIC 000000184-000000187
    - 23. FMAC-FCIC 000000188-000000191
    - 24. FMAC-FCIC 000000192-000000208
    - 25. FMAC-FCIC 000000209-000000214
    - 26. FMAC-FCIC 000000215-000000227
    - 27. FMAC-FCIC 000000230-000000277
    - 28. FMAC-FCIC 0000002367-000002522
    - 29. FMAC-FCIC 0000002523-000002528
    - 30. FMAC-FCIC 0000002529-000002774
  - b. 2010-03-26 Production- Disk # 146
    - 1. FMAC-FCIC 000002775-000002852
    - 2. FMAC-FCIC 000002854-000002911
    - 3. FMAC-FCIC 000002912-000003221
    - 4. FMAC-FCIC 000003222-000003717
    - 5. FMAC-FCIC 000003718-000003761


























































6.  FMAC-FCIC 000003762-000004260
7.  FMAC-FCIC 000004261-000004472
8.  FMAC-FCIC 000004428-000004927
9.  FMAC-FCIC 000004928-000005195
10.  FMAC-FCIC 000005196-000005678
11.  FMAC-FCIC 000005679-000006012
12.  FMAC-FCIC 000006013-000006428
13.  FMAC-FCIC 000006429-000006907
14.  FMAC-FCIC 000006908-000007332
15.  FMAC-FCIC 000007333-000007606
16.  FMAC-FCIC 000007607-000008024
17.  FMAC-FCIC 000008025-000008503
18.  FMAC-FCIC 000008504-000008940
19.  FMAC-FCIC 000008941-000009214
20.  FMAC-FCIC 000009215-000009714
21.  FMAC-FCIC 000009717-000009998
22.  FMAC-FCIC 000009999-000010497
23.  FMAC-FCIC 000010498-000010784
24.  FMAC-FCIC 000010785-000011154
25.  FMAC-FCIC 000011155-000011651
26.  FMAC-FCIC 000011652-000012064
27.  FMAC-FCIC 000012065-000012556
28.  FMAC-FCIC 000012557-000013056

























































c.  2010-04-14 Production- Disk # 183

1.  FMAC-FCIC 000022751-000022827
2.  FMAC-FCIC 000022828-000023323
3.  FMAC-FCIC 000023324-000023820
4.  FMAC-FCIC 000023821-000024315
5.  FMAC-FCIC 000024316-000024770
6.  FMAC-FCIC 000024771-000025005
7.  FMAC-FCIC 000025006-000025354
8.  FMAC-FCIC 000025355-000025854
9.  FMAC-FCIC 000025855-000026044
10.  FMAC-FCIC 000026045-000026528
11.  FMAC-FCIC 000026529-000026871
12.  FMAC-FCIC 000026872-000026890
13.  FMAC-FCIC 000026891-000026940
14.  FMAC-FCIC 000026941-000027419
15.  FMAC-FCIC 000027420-000027890
16.  FMAC-FCIC 000027891-000028382
17.  FMAC-FCIC 000028383-000028400
18.  FMAC-FCIC 000028401-000028436
19.  FMAC-FCIC 000028437-000028483
20.  FMAC-FCIC 000028484-000028509
21.  FMAC-FCIC 000028510-000028565
22.  FMAC-FCIC 000028566-000028656
23.  FMAC-FCIC 000028657-000028788
24.  FMAC-FCIC 000028789-000028836
25.  FMAC-FCIC 000028839-000028869
26.  FMAC-FCIC 000028870-000028895
27.  FMAC-FCIC 000028896-000029092
28.  FMAC-FCIC 000029093-000029432
29.  FMAC-FCIC 000029433-000029928
30.  FMAC-FCIC 000029929-000030218
31.  FMAC-FCIC 000030212-000030222

32.  FMAC-FCIC 000030223-000030548
33.  FMAC-FCIC 000030549-000030596
34.  FMAC-FCIC 000030597-000030766
35.  FMAC-FCIC 000030767-000030796
36.  FMAC-FCIC 000030797-000030810
37.  FMAC-FCIC 000030811-000030824
38.  FMAC-FCIC 000030825-000031141
39.  FMAC-FCIC 000031142-000031164
40.  FMAC-FCIC 000031165-000031210
41.  FMAC-FCIC 000031211-000031307
42.  FMAC-FCIC 000031308-000031331
43.  FMAC-FCIC 000031332-000031353
44.  FMAC-FCIC 000031354-000031375
45.  FMAC-FCIC 000031376-000031430
46.  FMAC-FCIC 000031431-000031930
47.  FMAC-FCIC 000031931-000031958
48.  FMAC-FCIC 000031959-000032054
49.  FMAC-FCIC 000032055-000032359
50.  FMAC-FCIC 000032360-000032433
51.  FMAC-FCIC 000032434-000032493
52.  FMAC-FCIC 000032494-000032598
53.  FMAC-FCIC 000032599-000033083
54.  FMAC-FCIC 000033084-000033577
55.  FMAC-FCIC 000033578-000034070
56.  FMAC-FCIC 000034071-000034529
57.  FMAC-FCIC 000034531-000034995
58.  FMAC-FCIC 000034997-000035494
59.  FMAC-FCIC 000035495-000035530
60.  FMAC-FCIC 000035531-000035998
61.  FMAC-FCIC 000035999-000036294
62.  FMAC-FCIC 000036295-000036785
63.  FMAC-FCIC 000036786-000037047
64.  FMAC-FCIC 000037048-000037545
65.  FMAC-FCIC 000037546-000037764
66.  FMAC-FCIC 000037765-000038241
67.  FMAC-FCIC 000038242-000038538
68.  FMAC-FCIC 000038539-000039014
69.  FMAC-FCIC 000039015-000039311
70.  FMAC-FCIC 000039312-000039811
71.  FMAC-FCIC 000039812-000040310
72.  FMAC-FCIC 000040311-000040808
73.  FMAC-FCIC 000040809-000041270
74.  FMAC-FCIC 000041271-000041764
75.  FMAC-FCIC 000041765-000042147
76.  FMAC-FCIC 000042148-000042641
77.  FMAC-FCIC 000042642-000043050
78.  FMAC-FCIC 000043051-000043387
79.  FMAC-FCIC 000043388-000043616
80.  FMAC-FCIC 000043616-000043642
81.  FMAC-FCIC 000043643-000043660
82.  FMAC-FCIC 000043661-000043724
83.  FMAC-FCIC 000043725-000043855
84.  FMAC-FCIC 000043865-000044351
85.  FMAC-FCIC 000044352-000044585
86.  FMAC-FCIC 000044586-000045062

87.  FMAC-FCIC 000045063-000045407
88.  FMAC-FCIC 000045408-000045900
89.  FMAC-FCIC 000045901-000046122
90.  FMAC-FCIC 000046123-000046619
91.  FMAC-FCIC 000046620-000046849
92.  FMAC-FCIC 000046850-000047344
93.  FMAC-FCIC 000047345-000047632
94.  FMAC-FCIC 000047633-000048132
95.  FMAC-FCIC 000048133-000048326
96.  FMAC-FCIC 000048327-000048820
97.  FMAC-FCIC 000048821-000049180
98.  FMAC-FCIC 000049181-000049680
99.  FMAC-FCIC 000049681-000050057
100.  FMAC-FCIC 000050058-000050557
101.  FMAC-FCIC 000050558-000051033
102.  FMAC-FCIC 000051054-000051550
103.  FMAC-FCIC 000051551-000052049
104.  FMAC-FCIC 000052050-000052531
105.  FMAC-FCIC 000052532-000053019
106.  FMAC-FCIC 000053020-000053197
- d.  2010-06-17 Production- disk #397
  1.  FM-FCIC 000074329-000074385
  2.  FM-FCIC 000074386-000074415
- e.  2010-07-30 Production- Disk # 508
  1.  Workbook 1
  2.  Workbook 2
- f.  2010-08-20 Production
  1.  Disk # 564
    - a.  FMAC-FCIC 000074623-000074646
    - b.  FMAC-FCIC 000074647-000074685
    - c.  FMAC-FCIC 000074686-000074705
    - d.  FMAC-FCIC 000074706-000074728
    - e.  FMAC-FCIC 000074729-000074742
    - f.  FMAC-FCIC 000074743-000074776
    - g.  FMAC-FCIC 000074777-000074806
    - h.  FMAC-FCIC 000074807-000074815
    - i.  FMAC-FCIC 000074816-000074819
    - j.  FMAC-FCIC 000074820
    - k.  FMAC-FCIC 000074821
    - l.  FMAC-FCIC 000074822
    - m.  FMAC-FCIC 000074823-000074907
    - n.  FMAC-FCIC 000074908-000074926
  2.  Disk # 565
    - a.  FMAC-FCIC 000074416
    - b.  FMAC-FCIC 000074416-000074583
    - c.  FMAC-FCIC 000074429
    - d.  FMAC-FCIC 000074440
    - e.  FMAC-FCIC 000074453
    - f.  FMAC-FCIC 000074463
    - g.  FMAC-FCIC 000074473
    - h.  FMAC-FCIC 000074486
    - i.  FMAC-FCIC 000074499
    - j.  FMAC-FCIC 000074516
    - k.  FMAC-FCIC 000074533
    - l.  FMAC-FCIC 000074547
    - m.  FMAC-FCIC 000074558

























































- n.  FMAC-FCIC 000074584-000074618
    - o.  FMAC-FCIC 000074619-000074622
  - 3.  Disk # 566
    - a.  Response to Interrogatory No. 6
    - b.  Response to Interrogatory No. 6 - Involuntary Terminations
    - c.  Response to Interrogatory No. 6 - Voluntary Terminations
  - g.  2010-10-04 Production- Disk # 664
    - 1.  Freddie Mac - Response to Interrogatories 2 3
    - 2.  Freddie Mac - Response to Interrogatories 2 3
    - 3.  Freddie Mac - Response to Interrogatory 5
    - 4.  Freddie Mac - Response to Interrogatory 5
  - h.  2010-12-02 Production
    - 1.  FMAC-FCIC 000074937-000074942
    - 2.  FMAC-FCIC 000074943-000074948
    - 3.  FMAC-FCIC 000074949-000074954
  - i.  2010-12-07 Production
    - 1.  FMAC-FCIC 000074955-000074960
  - j.  2010-12-21 Production
    - 1.  FCIC - final response to request 1.b.
    - 2.  PLS Goal and Subgoal Qualifying Rates 2003 - 2006
- 24.  FINRA
  - a.  2010-03-02 Production
    - 1.  CGMI Subprime Enf Rfrl
    - 2.  CGMI Subprime Exam Cover Letter
    - 3.  CGMI Subprime Exam Response Ext
    - 4.  citi& nonciti-employees-HE3
    - 5.  citi-chart-employeesHE3
    - 6.  Citigroup - Report on Special Examination 6-17-08
    - 7.  Citigroup White Paper - Surveillance Team
    - 8.  Citigroup's 8-7-08 response to the special examination report and related attachments
    - 9.  citi-list-employees-HE3
    - 10.  CMLT06HE30Mar07
    - 11.  CMLT06HE3Apr07
    - 12.  CMLT06HE3Aug07
    - 13.  CMLT06HE3Feb07
    - 14.  CMLT06HE3Jan07
    - 15.  CMLT06HE3Jul07
    - 16.  CMLT06HE3Jun07
    - 17.  CMLT06HE3May07
    - 18.  James Xanthos testimony
  - b.  2010-06-28 Production
    - 1.  2010-06-28 FINRA Production- pt. 1
    - 2.  2010-06-28 FINRA Production- pt. 2
  - c.  Update on Subprime Lending Citigroup March 2010
- 25.  Flamholtz, Eric
  - a.  2010-08-13 M Charleson Email to C Morain re - Countrywide, Eric Flamholtz (copy)
  - b.  2010-08-13 M Charleson Email to C Morain re Countrywide, Eric Flamholtz -- Second Transmittal (copy)
  - c.  Countrywide Case Final
  - d.  Flamholtz document 1
  - e.  Flamholtz Kurland Making Strategic Planning Work
  - f.  FlamholtzKurlandempirical
- 26.  Florida SBA
  - a.  2010-10-05 Production- Disk # 669
    - 1.  Document Request - Item 3 - Bates Numbered
    - 2.  Document Request - Item 4 - Bates Numbered
  - b.  2010-10-06 Production- Disk # 673
    - 1.  Record 1

























































- a.  LGIP - Trade Activity - Bates Numbered
      - b.  LGIP - Trade Activity - Fund B - Bates Numbered
      - c.  LGIP ratings downgrades timeline
    - 2.  Record 2 - Bates Numbered
  - c.  2010-10-12 production -Disk # 693
    - 1.  LGIP Downgrades
    - 2.  Record 5
  - d.  2010-10-19 Production- Disk # 708
    - 1.  Pucillo ltr 09-15-2010
    - 2.  SBA's Proof of Claim - Lehman Brothers
    - 3.  Sutherland Memo 11-30-2000
  - e.  Interrogatories w-exhibits - Bates Numbered 10-01-10
27.  FNMA
  - a.  2010-04-02 Production
    - 1.  FM-FCIC-2\_00000343
    - 2.  FM-FCIC-2\_00000347
    - 3.  FM-FCIC-2\_00000351
    - 4.  FM-FCIC-2\_00000352
    - 5.  FM-FCIC-2\_00000359
    - 6.  FM-FCIC-2\_00000364
    - 7.  FM-FCIC-2\_00000368
    - 8.  FM-FCIC-2\_00000374
    - 9.  FM-FCIC-2\_00000379
    - 10.  FM-FCIC-2\_00000384
    - 11.  FM-FCIC-2\_00000385
    - 12.  FM-FCIC-2\_00000394
    - 13.  FM-FCIC-2\_00000417
    - 14.  FM-FCIC-2\_00000439
    - 15.  FM-FCIC-2\_00000482
    - 16.  FM-FCIC-2\_00000491
    - 17.  FM-FCIC-2\_00000496
    - 18.  FM-FCIC-2\_00000497
    - 19.  FM-FCIC-2\_00000509
    - 20.  FM-FCIC-2\_00000522
    - 21.  FM-FCIC-2\_00000537
    - 22.  FM-FCIC-2\_00000552
    - 23.  FM-FCIC-2\_00000567
    - 24.  FM-FCIC-2\_00000582
    - 25.  FM-FCIC-2\_00000597
    - 26.  FM-FCIC-2\_00000612
    - 27.  FM-FCIC-2\_00000628
    - 28.  FM-FCIC-2\_00000644
    - 29.  FM-FCIC-2\_00000684
    - 30.  FM-FCIC-2\_00000727
    - 31.  FM-FCIC-2\_00000770
    - 32.  FM-FCIC-2\_00000825
  - b.  2010-04-23 Production
    - 1.  FM-FCIC-2\_00001157
    - 2.  FM-FCIC-2\_00001188
    - 3.  FM-FCIC-2\_00001196
    - 4.  FM-FCIC-2\_00001213
    - 5.  FM-FCIC-2\_00001220
    - 6.  hg\_credsupp-dec09-FCIC
    - 7.  Subprime and Alt-A balances\_2003-2004 (Final)
  - c.  2010-06-08 Production
    - 1.  Disk # 360













































- a.  2002-01 The Competitive Effects of Fannie Mae (Carlton, Gross, Stillman) [Fannie Mae Papers]
- b.  FM-FCIC\_00171487 Fannie Mae and Affordable Lending (Presentation to Center for Community Change)
- c.  FM-FCIC-00171192 PMM Sub-Goal Action Plan (2005-19-06)
- d.  FM-FCIC-00171254 Fannie Mae and Affordable Lending (2002-11)
- e.  FM-FCIC-00171552 Fannie Mae 2008 Annual Housing Activities Report
- f.  FM-FCIC-00171564 Fannie Mae's 2006 Annual Housing Activities Report
- g.  FM-FCIC-00171596 2007 Forecast Presentation (January 12, 2007)
- h.  FM-FCIC-00171600 2006 Housing Goals (March 7, 2006)
- i.  FM-FCIC-00171626 Fannie Mae Ltr to HUD on housing goals performance (2006-11-22)
- j.  FM-FCIC-00171639 2001 Annual Housing Activities Report (AHAR)
- k.  FM-FCIC-00171701 Fannie Mae ltr to HUD re 2000 affordable housing goal performance (2001-03-16)
- l.  FM-FCIC-00171760 Fannie Mae's 1999 Annual Housing Activities Report
- m.  FM-FCIC-00171806 2002 Annual Housing Activities Report (AHAR)
- n.  FM-FCIC-00171852 2003 Annual Housing Activities Report (AHAR)
- o.  FM-FCIC-00171915 Fannie Mae ltr to HUD re affordable housing goals (2007-12-21)
- p.  FM-FCIC-00171929 Housing Goals Steering Committee (2007-08-01)
- q.  FM-FCIC-00171931 Fannie Mae and Minority Lending - Assessment and Action Plan (2000-11-16)
- r.  FM-FCIC-00171977 Fannie Memo re Market Leadership Analysis (2000-12-28)
- s.  FM-FCIC-00171981 Fannie Memo re Proposal for Increasing Housing Goal Loans (2006-05-05)
- t.  FM-FCIC-00172000 Fannie Memo (2002-02-13)
- u.  FM-FCIC-00172005 Fannie Mae and Minority Lending - Understanding Market Leadership (2002-11)
- v.  FM-FCIC-00172061 Fannie's Role in Affordable Housing Finance (HUD Presentation, 2003-01-10)
- w.  FM-FCIC-00172091 Fannie Memo re Mission Legislation (2004-09-03)
- x.  FM-FCIC-00172093 Costs and Benefits of Mission Activities - Project Phineas (2005-06-14)
- y.  FM-FCIC-00172111 Fannie Mae and the HUD Housing Goals - Sales and Marketing (2000-02-03)
- z.  FM-FCIC-00172138 Fannie Memo re HUD Housing Goals Options (1999-06-15)
- aa.  FM-FCIC-00172142 Fannie Mae - 1999 National Advisory Council (1999-03-22)
- ab.  FM-FCIC-00172166 Talking Points for Mayors
- ac.  FM-FCIC-00172168 Housing and Minority Lending Goals Update
- ad.  FM-FCIC-00172169 Housing Goals and Minority Lending Overview (2005-07-28)
- ae.  FM-FCIC-00172178 Housing Goals and Minority Lending (2005-09-30)
- af.  FM-FCIC-00172185 Update on Fannie's Housing Goals Performance (2005-10-31)
- ag.  FM-FCIC-00172195 Housing Goals Rewrite - Additional Follow-Up Analyses (2003-05-12)
- ah.  FM-FCIC-00172204 Fannie Memo re Effects of Including FHA in Housing Goals Scoring (2003-05-23)
- ai.  FM-FCIC-00172206 The HUD Housing Goals
- aj.  FM-FCIC-00172226 The HUD Housing Goals
- ak.  FM-FCIC-00172240 Community Lending Inc Q1 2003 Highlights
- al.  FM-FCIC-00172286 The HUD Housing Goals (2003-07-08)
- am.  FM-FCIC-00172298 The HUD Housing Goals
- an.  FM-FCIC-00172312 Developing the Strategy for the Upcoming Housing Goals Rewrite
- ao.  FM-FCIC-00172339 Affordable Housing Goals - The Challenges of 2002
- ap.  FM-FCIC-00172358 Housing Goals in 2006 (2006-01-11)
- aq.  FM-FCIC-00172377 Summary of 2005 Results and 2006 Projections
- ar.  FM-FCIC-00172424 Market Has Changed Relative to Subgoal Levels
- as.  FM-FCIC-00172429 Cost of Housing Goals
- at.  FM-FCIC-00172430 HUD Housing Goals and the Subprime Market
- au.  FM-FCIC-00172437 2007 Forecast Presentation (2007-01-04)
- av.  FM-FCIC-00172441 Fannie Mae Housing Goals Briefing for HUD (2007-04-11)
- aw.  FM-FCIC-00172456 Housing Goals Forecast (2007-06-22)
- ax.  FM-FCIC-00172463 Housing Goals Plan (2007-06-23)
- ay.  FM-FCIC-00172469 Forecast Meeting (2007-07-27)




















































- az. FM-FCIC-00172473 Housing Goals and Minority Lending Overview (2005-08-26)
- ba. FM-FCIC-00172489 Follow Up to Urban Institute Meeting
- bb. FM-FCIC-00172491 2007 Mission Report Excerpts
- bc. FM-FCIC-00172515 Fannie Memo re Mainstreaming the Mission (2007-09-11)
- bd. FM-FCIC-00172521 Fannie Memo re Housing Goals Scoring Recommendations (2007-01-24)
- be. FM-FCIC-00172528 The HUD Housing Goals for Fannie and Freddie (Presentation to ABA 2003-06-24)
- bf. FM-FCIC-00172547 Record SF Volumes Create Significant Challenges (2002)
- bg. FM-FCIC-00172552 Action Plan for the Housing Goals Rewrite
- bh. FM-FCIC-00172595 Discussion of HUD's Proposed Housing Goals (2004-06-09)
- bi. FM-FCIC-00172622 Business Update - Headlines
- bj. FM-FCIC-00172627 Fannie Spreadsheet
- bk. FM-FCIC-00172982 2007 Housing Goal Plan
- bl. FM-FCIC-00172987 Housing Goals Presentation at Senior Mgmt Group Mtg (2007-01-08)
- bm. FM-FCIC-00172995 2007 Housing Goal Plan (2007-01-18)
- 2. Disk # 361
  - a. FM-FCIC-2-00001232 - 00001757
- d. 2010-06-16 Production- disk # 394
  - 1. FM-FCIC-2\_00001758
  - 2. FM-FCIC-2\_00001763
- e. 2010-07-14 Production
  - 1. FM-FCIC-2\_00001764-4640
- f. 2010-07-26 Production- Disk # 484
  - 1. FM-FCIC-2\_00004641
- g. 2010-08-11 Production- Disk # 534
  - 1. 2a-n 17845a\_cash\_loans
  - 2. 2q Top 5 Cash States New
  - 3. 3a-n 17845a\_mbs\_loans
  - 4. 3q Top MBS States new
- h. 2010-09-15 Production- Disk # 620
  - 1. FM-FCIC-2\_00004698
- i. 2010-10-13 Production
  - 1. Disk # 694
    - a. 2-3 r Delinquencies
    - b. Delinquencies - REO
    - c. Delinquencies - Reverse Only
    - d. Rog r - Losses
  - 2. Disk # 695
    - a. FM-FCIC\_00173002-00173499
    - b. FM-FCIC\_00173002-00173499
  - 3. Disk # 696
    - a. FM-FCIC-2\_00004813
    - b. FM-FCIC-2\_00004814
    - c. FM-FCIC-2\_00004821
- j. 2010-10-19 Production- Disk # 705
  - 1. FCIC 2003-2004 PLS purchases with Deal Names and Impairments\_201010
  - 2. FCIC PLS CMBS purchases with Deal Name and Impairments\_201010
- k. 2010-12-17 Production
  - 1. FCIC Request
- l. Organization Charts FM-ORG-COGR 00001-00014
- 28. FRB
  - a. 2010-03-09 Production
    - 1. Chairman Bernanke Speech March 6,2007
    - 2. Chairman Greenspan Testimony before Committe on Banking,etc Feb 24,2004
    - 3. Chairman Greenspan Testimony before Committee on Banking etc April 6,2005
    - 4. FCIC-111934-112230 (GSEs)
    - 5. References Concerning Government Sponsored Enterprises (GSEs) Feb 16,2007
    - 6. The GSE Implicit Subsidy and Value of Govt. Ambiguity


















































































































- b.  2010-05-21 Production
  - 1.  FCIC 133397-133415
  - 2.  FCIC 133438-133448
  - 3.  FCIC 133449-133451
  - 4.  FCIC 133452-133466
  - 5.  FCIC 133467-133482
  - 6.  FCIC 133483-133493
  - 7.  FCIC 133494-133510
  - 8.  FCIC-133416-133425
  - 9.  FCIC-133426-133437
- c.  2010-06-24 Production
  - 1.  Disk # 413
    - a.  FCIC 133511-133972
    - b.  FCIC 133973-134445
    - c.  FCIC 134446-134895
    - d.  FCIC 134896-135403
    - e.  FCIC 135404-135900
    - f.  FCIC 135901-136396
    - g.  FCIC 136397-136408
- d.  2010-06-28 Production- Disk # 426
  - 1.  13(3) Analysis
  - 2.  13(3) Analysis in Bear Stearns
  - 3.  AIG & Systemic Risk
  - 4.  AIG Systemic Impact
  - 5.  Bear Stearns Analysis
  - 6.  FCIC 133397-133415
  - 7.  FCIC 133438-133448
  - 8.  FCIC 133449-133451
  - 9.  FCIC 133452-133466
  - 10.  FCIC 133467-133482
  - 11.  FCIC 133483-133493
  - 12.  FCIC 133494-133510
  - 13.  FCIC-133416-133425
  - 14.  FCIC-133426-133437
  - 15.  Fed Provides Credit to Bear Stearns
  - 16.  Impact of AIG Failure - Feb. 09
  - 17.  Staff Memo on AIG - Sept. 08
  - 18.  Staff Memo on PDCF
  - 19.  Systemic Risks of AIG
- e.  2010-07-03 Production
  - 1.  FCIC-136409-136413
  - 2.  FCIC-136414-136419
  - 3.  FCIC-136420-136424
  - 4.  FCIC-136425-136429
  - 5.  FCIC-136430-136436
  - 6.  FCIC-136437-136441
  - 7.  FCIC-136442-136444
  - 8.  FCIC-136445-136451
  - 9.  FCIC-136452-136454
  - 10.  FCIC-136455-136459
  - 11.  FCIC-136460-136465
  - 12.  FCIC-136466-136469
  - 13.  FCIC-136470-136485
  - 14.  FCIC-136486-136488
  - 15.  FCIC-136489-136492
  - 16.  FCIC-136493-136505



























































17.  FCIC-136506-136511
18.  FCIC-136512-136514
- f.  2010-07-15 Production
  1.  2009 Wachovia IO-RAP-Supervisory Plan - FINAL
  2.  Draft 2008 Wachovia Risk Assessment
- g.  2010-07-19 Production- Disk # 463
  1.  04-04 attach-1 Interag Advis on Acctg for Deferred Comp agre
  2.  2010\_07 EU CRD draft leg
  3.  A Theory of Bank Regulation
  4.  AFinalFSFCompensationPrinciples
  5.  Ahn Dewynne Hua Penoud & Wilmott The EOY bonus How to opt re
  6.  APRA Consults on Remuneration
  7.  APRA PPG511 Remuneration\_Sept\_Consultation
  8.  APRA RP Remuneration\_Sept\_Consultation
  9.  APS-510-Sept-Consultation
  10.  Are American CEO's Overpaid
  11.  AXP 2007 Compensation and Benefits Committee Charter 070423
  12.  AXP 2008 10-k 090227
  13.  AXP 2008 AR
  14.  AXP 2009 proxy
  15.  AXP 2009 proxy supplement
  16.  AXP SCAP presentation 090507
  17.  BAC 2008 10-k 090227
  18.  BAC 2008 AR
  19.  BAC 2008 Investor Fact Book Full Year
  20.  BAC 2009 Proxy
  21.  BAC 2009 SH Meeting presentation 090428
  22.  BAC Compensation and Benefits Committee Charter 1\_23\_08 at w
  23.  BBT 2008 AR Annual Review
  24.  BBT 2009 Compensation Committee Charter 090224
  25.  BBT 2009 Proxy with 2008 10-K
  26.  BBT 2009 SH meeting Presentation 090428
  27.  BCBS Compensation letter and survey 27Aug09
  28.  Bebchuk & Fried Executive Compensation JEP 2003
  29.  Bebchuk-SSRN-id1416227
  30.  Bentson & Evan Perf comp contracts & CEO inc to shft risk to
  31.  BK 2008 10-K
  32.  BK 2008 AR
  33.  BK 2008 AR financial section
  34.  BK 2009 HR and Compensation Committee Charter 090414
  35.  BK 2009 Proxy
  36.  BoardFSFCompSummaryMemo20090325
  37.  brian breheny testimony before CFS 61109
  38.  BW Rethink that deferred comp plan now 30Jun2008
  39.  C 2008 10-k
  40.  C 2008 AR
  41.  C 2009 Corporate Governance Guidelines 090313
  42.  C 2009 Personnel and Compensation Committee Charter 090121
  43.  C 2009 Proxy
  44.  CEBS-High Level principles for Remuneration Policies
  45.  CEO Compensation and the Trasnformation of Banking
  46.  CFO-dot-com Employment contracts How to cut the best deal 23
  47.  CFO-dot-com For the first time restricted shares outstrip op
  48.  CH FINMA consultation circular on remuneration in German ber
  49.  CH FINMA key points of the circular on remuneration systems
  50.  CH FINMA pr opening consultation period for circular on remu

51.  Chemmanur & Peaglis Why issue tracking stock J Appld Corp Fi
52.  Chen-Steiner-Whyte Does stock-option-bnd comp induce risk-ta
53.  COF 2008 10-k 090226
54.  COF 2009 AR
55.  COF 2009 Compensation\_Committee\_Charter 090129
56.  COF 2009 Proxy
57.  COF 2009 SH meeting presentation 090423
58.  Comp APRA Executive-remuneration the-regulatory-debate 09031
59.  Comp APRA pr apra consults on remuneration 090528
60.  Comp APRA remuneration discussion paper 090528
61.  Comp APRA remuneration Draft Prudential Practice Guide 511 0
62.  Comp APRA remuneration Draft Prudential Standard APS-510 090
63.  Comp APRA remuneration Draft Prudential Standard GPS-510 090
64.  Comp APRA remuneration Draft Prudential Standard LPS-510 090
65.  Comp CEBS High-level-principles-for-remuneration-policies 09
66.  Comp IIF Compensation in Financial Services Progress Report-
67.  Comp Reuters novel ideas surface for us banks executive pay
68.  Comp WSJ AlanBlinder crazy compensation and the crisis 09052
69.  Compensation Policies
70.  Compensation-Consideration,Alternatives Next Steps 4232009
71.  Cong.Oversight Panel Report January 2009
72.  Contents and Scope of the new Code of Practice
73.  credit suisse announces comp. structure 09 and 10
74.  CRMPG III Containiing systemic risk Aug2008
75.  CU Journal CEOs of thrifts & banks cash in despite decline 3
76.  Cunat & Guadalupe Exec comp & compet in bkg & fin sectors JB
77.  CuomoBonusReportFinal07302009
78.  Discussion Paper Remuneration
79.  DJ Americredit bd gives l-t incent award to CEO (phantom sto
80.  DJ Deferred compensation contributions drop (not protected f
81.  DJ German BaFin clamps down on bank risk management and bonu
82.  DJ Getting personal Exec pay issue moves from simmer to boil
83.  DJ Merrill Lynch offers deferred pay plan for some execs 6Ju
84.  draftguidanceFRnoticefinal
85.  DraftGuidancePublicQandAfinal
86.  Ellingsen & Johannesson Pride & prejud Human side of incent
87.  Equilar 2009 Executive Compensation Outlook Report
88.  Equilar Bonus Plan Design 2009 Report
89.  Equilar Executive Compensation Trends March 2009
90.  Excerpts from Hay\_Group\_presentation-Explaining\_Executive\_Pa
91.  Exec Comp and Business Policy Choices at US Commerical Banks
92.  Executive Compensation
93.  Fed Press Release 102209
94.  Fed Reg Vol 75 No 122 62510
95.  Fed Reserve Issue Final Guidance on Incentive Comp.
96.  Fidelity About restricted stock awards from Web site 1May200
97.  Fields & Fraser On the comp implics of comm bk entry into in
98.  FINMA Key points of the circular on remuneration
99.  FINMA-Circular on Executive Compensation 2009
100.  FITB 2006 Compensation CommitteeCharter 061219
101.  FITB 2008 10-k
102.  FITB 2008 AR
103.  FITB 2009 Proxy 090310a
104.  FMCG Value-based management Jun2008
105.  FMCG Value-based management KPMs 2003

106.  Forbes Apples Jobs (mentions fed law that exec comp be perf-
107.  FR Joint Press Release on Incentive Guidelines
108.  FRB Analysis of the Executive Comp Provisions of EESA 2008
109.  FRB Recent Developments in CEO Turnover
110.  FRBNY Staff Reports
111.  FRBOG Alvarez testimony house financial services committee 0
112.  FRBOG bernanke speech for FRB-CHI conference 0900507
113.  FRBOG bernanke speech to community bankers 090320
114.  FRBOG pr interag stmt on meeting the needs of creditworthy b
115.  FSA draft code on remuneration practices
116.  FSA Reforming Remuneration practices in financial sevice
117.  FSB\_Comp\_StandardsForPittsburgFinal
118.  FSF Issues Principles for Sound Compensation Practices
119.  FSF Survey on Compensation 1232009
120.  FT Fed governor urges bankers pay reform 26Feb2008
121.  FT Germany gets tough on executive pay 29May2009
122.  Garakos-Piotroski-Srinivasan Gbzn & exec comp Anal of pay p
123.  GB HMT walker\_review\_consultation 090716
124.  GB HMT walker\_review\_consultation 090716 Remuneration extrac
125.  gene sperling before CFS 61109
126.  GMAC 090522 8-K equity and governance agreements GJM
127.  GMAC 2008 10-k 090227
128.  GPS-510-Sept-Consultation
129.  GS 2008 10-k
130.  GS 2008 AR
131.  GS 2009 Compensation Committee Charter 0901
132.  GS 2009 Proxy SH meeting 090508
133.  GS statement of compensation principles SH meeting 090508
134.  Guidry-Leone-Rock Earn-bsd bonus plns & earn mgmt by bus-uni
135.  Harley Snyder Countrywide Testimony on Mozilo compensation 7
136.  IIF Final Report of the Comm on Mkt Best Practices 0807
137.  IIF State of Wholesale Banking Compensation DRAFT\_13 March
138.  IIF-State of the Industry Compensation
139.  Inst for Policy Studies ExecutiveExcess2008
140.  J Freda 2007PerformanceMetricsStudy
141.  Jarque CEO comp trends mkt chngs & regn FRB-Rich Econ Q Summ
142.  John Finnegan Merrill Lynch Testimony on ML CEO comp 7Mar200
143.  Johnson Assoc 2007 Comp & 2008 outlook The gathering storm M
144.  Johnson Associates Changing compensation landscape 24Oct2007
145.  Johnson Associates Financial services compensation Q12008 12
146.  Johnson Associates Performance-based executive compensation
147.  Johnson Associates rewind of 2006 Wall Street compensation M
148.  Joint Press Release on Meeting the Needs of Creditworthy Bor
149.  JPM 2008 10-k 090302
150.  JPM 2008 AR
151.  JPM 2009 Proxy
152.  JPM Compensation and Management Development Committee Charte
153.  Kane Using deferred comp to strengthen ethics of fin reg JBF
154.  Kapitan RMA Journal Putting it all togeth Risk-based incent
155.  KEY 2008 10-K
156.  KEY 2008 AR
157.  KEY 2008 Compensation and Organization Committee Charter 080
158.  KEY 2008 SH presentation 090521
159.  KEY 2009 Proxy
160.  LPS-510-Sept-Consultation

























































161.  Market News Pubg UBS establishes new corp gov & board member
162.  Matejka-Merchat-VanderStede Empl horizon & choice of perf me
163.  Mc Lagan Exec. Comp Roundtable 982008
164.  Mc Lagan- Pay Pressures 6232008
165.  Mc Lagan- Where are the Villains Now
166.  McLagan Scorecard Template draft 14Jun2009
167.  MET 2007 compensation committee charter
168.  MET 2008 10-K
169.  MET 2008 AR
170.  MET 2009 Proxy
171.  Moodys CorpGov reforms to us corp governance and exec pay qu
172.  Moodys Tha bailout of stock options July2009
173.  MS 090522 8-K executive comp
174.  MS 2008 10-k
175.  MS 2008 Compensation Committee Charter 081216
176.  MS 2009 Compensation Report April 2009
177.  MS 2009 Proxy
178.  National Post Germany leads push to tie bank bonuses to long
179.  NYSE FAQs on Equity Compensation Plans Mar2007
180.  NYSE Listed Company Manual Section 303A-05 Compensation Comm
181.  NYSE Manual Section 303A-02 Indep Tests for indep directors
182.  NYSE Manual Section 303A-08 SH Approval of Equity Comp Plans
183.  NYT How big a payday for pay consultants 22June2008
184.  NYT Pay it back if you didnt earn it (clawbacks) 10Jun2008
185.  Oliver Wyman final comp report
186.  Oliver Wyman Financial Stability Forum Survey 121808
187.  Outside Monitoring and CEO Compensation in the Banking Indus
188.  PNC 2008 10-K
189.  PNC 2008 AR
190.  PNC 2008 Personnel and Compensation Committee Charter 080214
191.  PNC 2009 Proxy
192.  Price Waterhouse-Reward-A New Paradigm
193.  ProxyStatementQuickLookTop10Memo20091004WithTable
194.  Prudential Practice Guide PPG 511 09072009
195.  Prudential Standard APS 510
196.  Prudential Standard GPS 510
197.  Prudential Standard LPS 510
198.  pwc\_finma\_circular\_english
199.  Rajan & Reichelstein Subj perf indic & discretionary bonus
200.  Reuters German watchdog takes aim at bankers bonuses 14Aug20
201.  Reuters Wall Street bonuses could slide in 2008 13May2008
202.  RF 2008 10-K
203.  RF 2009 Proxy
204.  RF 2009 SH Meeting presentation 090416
205.  RF Compensation Committee Charter
206.  RiskMetrics 2009proxy\_season\_exec\_pay
207.  Scholes Stock and compensation JF July 1991
208.  SIFMA Compensation of top management in small and regional f
209.  SIFMA Guidelines 061009 FINAL
210.  SIG0923 SIGR Compensation assessment methodology
211.  SR 04-04 Acctg for Deferred Comp Agreements 20040211
212.  SR 04-19 attach-1 Interag Stmt on Purch & Risk Mgmt of Life
213.  SR 04-19 Interag Statement on Purch & Risk Mgmt of Life Ins
214.  STI 2008 10-k
215.  STI 2008 AR

216.  STI 2009 Compensation Committee Charter 090427
217.  STI 2009 Proxy
218.  STT 2008 10-K
219.  STT 2008 Executive Compensation Committee Charter 080215
220.  STT 2009 AR
221.  STT 2009 Proxy
222.  Sullivan and Cromwell-Credit Institutions
223.  SummaryOfFourProposals
224.  TARP\_OriginalRestrictions\_Compensation\_EESA\_2008\_11\_05
225.  Testimony by Scott Alvarez 2252010
226.  Testimony of Kevin Murphy before CFS 61109
227.  Testimony of Lucian Bebchuk before CFS 61109
228.  Testimony of Lynn Turner before CFS 61109
229.  Testimony of Neil Minow 61109
230.  Testimony of Scott Alvarez before CFS 61109
231.  TestimonyForHFSC--Final as sent to Hill
232.  The+IIF+-+Oliver+Wyman+Compensation+Report
233.  Thoughts on Compensation Incentives and the Recent Credit Tu
234.  UBS\_Compensation\_Evaluation\_2008\_12\_04
235.  UBS\_Compensation\_Report
236.  USB 2008 10-K
237.  USB 2008 AR
238.  USB 2009 Compensation and Human Resources Committee Charter
239.  USB 2009 Proxy
240.  WFC 2007 Human Resources Committee Charter 070925
241.  WFC 2008 10-k part-1
242.  WFC 2008 10-k part-2
243.  WFC 2008 10-k part-3
244.  WFC 2008 AR
245.  WFC 2009 Proxy
- h.  2010-07-23 Production- Disk # 481
  1.  FCIC-146693- 147043
  2.  FCIC-147044- 147363
  3.  FCIC-147364- 147714
  4.  FCIC-147715- 148098
  5.  FCIC-148099- 148658
  6.  FCIC-148659- 148939
  7.  FCIC-148940- 149222
  8.  FCIC-149223- 149763
  9.  FCIC-149764- 150274
  10.  FCIC-150275- 150759
  11.  FCIC-150760- 151273
  12.  FCIC-151274- 151759
  13.  FCIC-151760- 152151
  14.  FCIC-152152- 152724
  15.  FCIC-152725- 153248
  16.  FCIC-153249- 153724
  17.  FCIC-153725- 154051
  18.  FCIC-154052- 154433
- i.  2010-07-26 Production
  1.  2009 Wachovia IO-RAP-Supervisory Plan - FINAL
  2.  Wachovia Corp 2009 Supervisory Plan
- j.  2010-07-28 Production- Disk # 488 DO NOT USE
  1.  FCIC-121727-122194
  2.  FCIC-122195-122599
  3.  FCIC-122600-122994

- 4.  FCIC-122995-123352
- k.  2010-07-29 Production- Disk # 495
  - 1.  FRB to LEH Examiner 1656-2408
  - 2.  FRB to LEH Examiner-0783-1655
- l.  2010-07-30 Production - Disks 506 and 507
  - 1.  Disk # 506
    - a.  Wachovia Golden West Case Files
      - 1.  FCIC-156813-157112
      - 2.  FCIC-157113-157412
      - 3.  FCIC-157413-157712
      - 4.  FCIC-157713-158012
      - 5.  FCIC-158013-158312
      - 6.  FCIC-158313-158612
      - 7.  FCIC-158613-158912
      - 8.  FCIC-158913-159212
      - 9.  FCIC-159213-159512
      - 10.  FCIC-159513-159812
      - 11.  FCIC-159813-160270
    - b.  Wachovia South Trust Case File
      - 1.  Notation Voting Records (Application) 20041018
        - a.  FCIC-163626-163854
      - 2.  Notation Voting Records (Reconsideration) 20041108
        - a.  FCIC-163855-163866
      - 3.  Notation Voting Records (Reconsideration) 20041122
        - a.  FCIC-163867-163877
      - 4.  FCIC-160271-160570
      - 5.  FCIC-160571-160870
      - 6.  FCIC-160871-161170
      - 7.  FCIC-161171-161470
      - 8.  FCIC-161471-161770
      - 9.  FCIC-162071-162370
      - 10.  FCIC-162371-162670
      - 11.  FCIC-162671-162970
      - 12.  FCIC-162971-163270
      - 13.  FCIC-163271-163625
    - c.  Wells Fargo- Wachovia Case File
      - 1.  FCIC-163878-164185
    - d.  FCIC-15060-156493
    - e.  FCIC-156494-156812
  - 2.  Disk # 507
    - a.  Request 3
      - 1.  FCIC-164186-164269
      - 2.  FCIC-164270-164306
      - 3.  FCIC-164307-164424
      - 4.  FCIC-164425-164819
      - 5.  FCIC-164820-164833
    - b.  Request 4
      - 1.  FCIC-164834
    - c.  Request 7
      - 1.  2004-12-02 Countrywide ReconTrust
        - a.  FCIC-164835-164848
      - 2.  2005-08-10 Regulation with Exemption Request
        - a.  FCIC-164849-164864
      - 3.  2006-06-08 Regulation W Exemption Request
        - a.  FCIC-164865-164888
      - 4.  2007-08-21
        - a.  08-24-07
          - 1.  FCIC-167731-167732

- b. Liquidity Updates
      - 1. FCIC-167733-167827
    - c. FCIC-164889-165388
    - d. FCIC-165389-165888
    - e. FCIC-165889-166388
    - f. FCIC-166389-166888
    - g. FCIC-166889-167388
    - h. FCIC-167389-167730
  - d. Request 8
    - 1. FCIC-167828-167829
- m. 2010-08-06 Production
  - 1. FCIC-167830-167971
- n. 2010-08-13 Production- Disk # 546
  - 1. Board and Richmond Citi-Wells-Wach emails (Bates Numbered 167972-171822) CONFIDENTIAL DO NOT REPRODUCE
  - 2. FRBSF Citi-Wells-Wach emails (Bates Numbered 171823-173483) CONFIDENTIAL DO NOT REPRODUCE
  - 3. Section 13 and least-cost emails (Bates Numbered 173484 -176598) CONFIDENTIAL DO NOT REPRODUCE
- o. 2010-08-18 FRB Production- Disk # 555
  - 1. Daily Liquidity Reports
    - a. FCIC-176612-176808
  - 2. Liquidity Packages
    - a. August
      - 1. 07-08 Provider Summary
        - a. FCIC-176912
      - 2. WMFSBAugust08OTS
        - a. FCIC-177103-177411
      - 3. FCIC-176809-177102
    - b. October
      - 1. FCIC-177412-177438
    - c. September
      - 1. FCIC-177439-177501
  - 3. FCIC-176599-176611
- p. 2010-09-24 Production
  - 1. cgfs\_oct2004\_review
  - 2. Default\_Model\_Eval
  - 3. details\_about\_csi\_memo
  - 4. FCIC-178070-178151
  - 5. FCIC-178152-178157
  - 6. FCIC-178158-178160
  - 7. FCIC-178161-178164
  - 8. FCIC-178165-178169
  - 9. FCIC-178170-178196
  - 10. FCIC-178197-178201
  - 11. FCIC-178202-178210
  - 12. FCIC-178211-178253
  - 13. FCIC-178254-178266
  - 14. FCIC-178267-178289
  - 15. FCIC-178290-178292
  - 16. FCIC-178293-178299
  - 17. FCIC-178300-178315
  - 18. FCIC-178316-178321
  - 19. FCIC-178322-178329
  - 20. FCIC-178330-178332
  - 21. FCIC-178333-178347
  - 22. FCIC-178348-178367
  - 23. FCIC-178368-178402



24.  FCIC-178403-178404
25.  FCIC-178405-178407
26.  FCIC-178408-178419
27.  FCIC-178420-178424
28.  FCIC-178425
29.  FCIC-178426-178429
30.  FCIC-178430-178434
31.  FCIC-178435-178497
32.  FCIC-178498-178502
33.  FCIC-178503-178504
34.  Fed's Internal Bank Survey Non-Traditional Mortgage data November 2005, FCIC-178505-178619
35.  Fed's internal Residential Mortgage Lenders Peer Group Survey November 2005, FCIC-178620-178674
36.  FCIC-178675-178680
37.  FCIC-178681-178696
38.  FCIC-178697-178719
39.  FCIC-178720-178738
40.  FCIC-178739-178762
41.  FCIC-178763-178789
42.  household\_conditions\_jan2005
43.  hpi\_refi
44.  improvements\_contrib
45.  mdebt\_newho\_final
46.  mortgage\_delinquency\_trends
47.  refipriceindex
48.  resinvestor052605
49.  Subprime.032107
- q.  2010-10-20 Production
  1.  June 2004 Transcript
  2.  June 2005 Transcript
  3.  March 2004 Transcript
  4.  March 2005 Transcript
  5.  October 2004 Transcript
  6.  October 2005 Transcript
- r.  2010-10-22 Production- Disk # 713
  1.  2005
    - a.  A Dynamic Look at Subprime Loan Performance
    - b.  A Perspective on the Economic Outlook - Richard Fisher Speec
    - c.  A rising housing tide - fedgazette - Publications & Papers
    - d.  An Analysis of Potential Competitive Impacts of Basel II Cap
    - e.  An Overview of Banking, and the U\_S\_ and Texas Economies - R
    - f.  Asset Price Persistence and Real Estate Illiquidity
    - g.  Bubble Talk
    - h.  Bubbling or just frothy house prices
    - i.  Community Affairs Research Conference - Opening Remarks - Fe
    - j.  Developer's Viewpoint Urban Markets Strengthen
    - k.  District economy continues to grow - fedgazette - Publicatio
    - l.  District economy to expand in 2005 - fedgazette - Publicatio
    - m.  Duisburg-Nord, From Rusted Ruins to Recreational Park
    - n.  FourImages\_0415
    - o.  FRB Speech, Bernanke--The Economic Outlook--March 8, 2005
    - p.  FRB Speech, Bies--Community Banks A Regulatory Update--June
    - q.  FRB Speech, Bies--The Economy and Managing Personal Finances
    - r.  FRB Speech, Ferguson--Asset prices and monetary liquidity--M
    - s.  FRB Speech, Ferguson---U\_S\_ Current Account Deficit Causes an
    - t.  FRB Speech, Gramlich--HMDA data and their effect on mortgage
    - u.  FRB Speech, Greenspan--Closing remarks--August 27, 2005

- v.  FRB Speech, Greenspan--Globalization--March 10, 2005
- w.  FRB Speech, Greenspan--Government-sponsored enterprises--May
- x.  FRB Speech, Greenspan--Mortgage banking--September 26, 2005
- y.  FRB Speech, Kohn--Economic Outlook--April 14, 2005
- z.  FRB Speech, Kohn--Imbalances in the U\_S\_ Economy--April 22,
- aa.  FRB Speech, Kohn--The Economic Outlook--October 19, 2005
- ab.  FRB Speeches, Bies--Current Regulatory Issues--June 14, 2005
- ac.  FRB Speeches, Bies--Regulatory issues--October 12, 2005
- ad.  FRB Speeches, Kohn--Managing Risk in a Changing Economic and
- ae.  FRB Supervisory Letter SR 05-10 on accounting and reporting
- af.  FRB Testimony, Greenspan -- Regulatory reform of the governm
- ag.  FRB Testimony, Greenspan—Monetary Policy Report to the Cong
- ah.  FRB Testimony, Greenspan—Monetary Policy Report to the Congr
- ai.  FRB Testimony, Greenspan--The economic outlook--June 9, 2005
- aj.  Greenspan-Closing Remarks
- ak.  GSEs, Mortgage Rates, and Secondary Market Activities
- al.  Guynn Speech Continuing the Pattern of Growth and Low Inflat
- am.  Has Financial Development Made the World Riskier
- an.  Has the Housing Boom increased Mortgage Risk
- ao.  House of Cards
- ap.  Housing affordability Catch me if you can - fedgazette - Pub
- aq.  Housing and the Macroeconomy the Role of Implicit Guarantees
- ar.  Housing and the Mqacroeconomy-the Role of Implicit Guarantee
- as.  Housing Portfolio Choice and teh Macroeconomy
- at.  Housing, House Prices, and the Equity Premium Puzzle
- au.  Isles Adopts Regional Focus for Greater Impact
- av.  Macroeconomic Models with Heterogenous Agents and Housing
- aw.  Making Sense of Elevated Housing Prices - Southwest Economy,
- ax.  Moving Up, Trends in Homeownership
- ay.  New Information Reported under HMDA and Its Application in F
- az.  Regional Asset Indicators
- ba.  Speech Advancing Regional Equity (May 23, 2005) - Philadelph
- bb.  Speech Economic Outlook (August 31, 2005) - Philadelphia Fed
- bc.  Speech Economic Outlook and the Role of Bank Directors (June
- bd.  Speech The Implications of a Consumer-Driven Expansion (July
- be.  St. Louis Reserve Bank, GSE Risks
- bf.  Stern, View Banks Too Big to Fail Imposes Costs(2)
- bg.  Stock return and interest rate risk at Fannie Mae and Freddi
- bh.  The Delinquency of Subprime Mortgages
- bi.  The Economic Outlook - Speech, Jeffrey M\_ Lacker, Decemb (1)
- bj.  The Economic Outlook - Speech, Jeffrey M\_ Lacker, December 2
- bk.  The Effect of Housing GSEs on Mortgage Rates
- bl.  The GSE Implicit Subsidy and the Value of Government Ambigui
- bm.  The Life-Cycle Effects of House Price Changes
- bn.  The Long-Run Relationship between House Prices and Rents
- bo.  U\_S\_ and the Global Economy - Federal Reserve Bank of New Yo
- 2.  2006
  - a.  A Primer on Inflation - Speech by Richard W\_ Fisher, Aug\_ 30
  - b.  A trend and Variance Decomposition of the Rent-Price Ratio i
  - c.  An analysis of the systemic risks posed by Fannie Mae and Fr
  - d.  An Overview of Chapters 40R and 40S, Massachusetts' Newest H
  - e.  An Update on the Status of the Economy and Its Implications
  - f.  Are Banks Vulnerable to a Housing Bust
  - g.  Chicago, US Economic Outlook, Moskow
  - h.  Consumption Over the Life Cycle How Different Is Housing - W

























































- i.  District economic expansion to continue in 2006 - fedgazette
- j.  District economic growth remains on track - fedgazette - Pub
- k.  Economic Outlook - Federal Reserve Bank of Richmond
- l.  EconSouth - Vol\_ 8, No\_ 4 - Housing Cooldown Blows Through F
- m.  EconSouth - Vol\_ 8, No\_ 4 - Housing, Energy Loom Large in '0
- n.  End of the (credit) line - fedgazette - Publications & Paper
- o.  Federal Credit and Insurance Programs, Housing
- p.  Fed's Stern Upbeat about US Economy
- q.  FRB Speech--Bernanke, Panel Discussion Comments on the Outlo
- r.  FRB Speech--Bernanke, The Economic Outlook--November 28, 200
- s.  FRB Speech--Bies, A Supervisor's Perspective on Mortgage Mar
- t.  FRB Speech--Bies, A Supervisory Perspective on Enterprise Ri
- u.  FRB Speech--Bies, Productivity and economic outlook--January
- v.  FRB Speech--Bies, Supervisory Perspective on Current Bank Ca
- w.  FRB Speech--Bies, The Continuous Challenges of Risk Manageme
- x.  FRB Speech--Bies, The Economic Outlook--November 2, 2006
- y.  FRB Speech--Ferguson, Economic Outlook for the United States
- z.  FRB Speech--Ferguson, Financial Regulation Seeking the Middl
- aa.  FRB Speech--Ferguson, Thoughts on Financial Stability and Ce
- ab.  FRB Speech--Kohn, Business Capital Spending--April 27, 2006
- ac.  FRB Speech--Kohn, Economic outlook--April 13, 2006
- ad.  FRB Speech--Kohn, Economic Outlook--October 4, 2006
- ae.  FRB Speech--Kohn, Monetary policy and asset prices--March 16
- af.  FRB Speech--Warsh, Financial Markets and the Federal Reserve
- ag.  FRB Supervisory Letter SR 06-15-CA 06-12 on Interagency Guid
- ah.  FRB Testimony, Braunstein, Non-traditional mortgage products
- ai.  FRB Testimony--Bernanke, Monetary Policy Report to the Congr
- aj.  FRB Testimony--Bernanke, Outlook of the U\_S\_ economy--April
- ak.  FRB Testimony--Bernanke, Semiannual Monetary Policy Report t
- al.  FRB Testimony--Olson, Home Mortgage Disclosure Act---June 13
- am.  FRBB Public Policy Discussion Papers- Do Households Benefit
- an.  FRBB Speeches- Comments to New England Realtors Conference
- ao.  FRBB Speeches- Remarks to the National Association of Busine
- ap.  GSEs, Mortgage Rates, and Secondary Market Activities
- aq.  Guynn Speech Adjusting to the Next Stage in the Housing Cycl
- ar.  Guynn Speech Nearing a Sustainable Balance of Economic Growt
- as.  High Equity Premia and Crash Fears
- at.  Higher Priced Home Lending and the 2005 HMDA Data
- au.  Housing Boom or Bubble
- av.  Housing Markets Cool in Some States but not Others
- aw.  Impact of Globalization on Monetary Policy
- ax.  Lenders Look to Market Rate Housing
- ay.  License to deal Regulation in the mortgage broker industry -
- az.  Making Sense of the US Housing Slowdown
- ba.  Monetary Policy Tactics and Strategy - Federal Reserve Bank
- bb.  Poole
- bc.  Remarks by President Geithner Some Perspectives on U\_S\_ Mone
- bd.  Residential\_mortgage default
- be.  Speech The Economic Outlook and Monetary Policy (November 28
- bf.  Speech The Economy, Inflation, and Monetary Policy (October
- bg.  St. Louis Reserve Bank, Recent Developments in Housing Marke
- bh.  Straight Talk from the Fed
- bi.  Supervision Spotlight The Credit Cycle - SRC Insights Fourth
- bj.  Texas Housing, A Boom with no Bubble
- bk.  The Changing Housing Market, A Bang or a Whimper

- bl.  The CPI for Rents, a Case of Understated Inflation
  - bm.  The Current State of the U\_S\_ and Mexican Economies Where Do
  - bn.  The Economic Outlook - Federal Reserve Bank of Richmond
  - bo.  The Economic Outlook for 2006 - Federal Reserve Bank of Rich
  - bp.  The Evolutikon of the Subprime Mortgage Market
  - bq.  The Price of Residential Land in Large U.S. Cities
  - br.  The Regional Economic Outlook - Federal Reserve Bank of Rich
  - bs.  The United States Still the Growth Engine for the World Econ
  - bt.  Uncertainty and Transparency in the Conduct of Monetary Poli
  - bu.  Using State and Metropolitan Area House Price Cycles to Inte
  - bv.  What do Financial Asset Prices Say about the Housing Market
  - bw.  What Happens to Banks when House Prices Fall
  - bx.  Your House just Doubled in Value
3.  2007
- a.  A Guide to Aggregate House Price Measures
  - b.  A Matter of Main Streets
  - c.  Armed against ARMs
  - d.  Booms and Busts, the Case of Subprime Mortgages, Gramlich
  - e.  Bridges Federal Regulators Seek Comment on Subprime Mortgag
  - f.  Bridges Foreclosures—Let’s Talk about the Solution Counseli
  - g.  Bridges Implications of the New Pricing Data from the Home
  - h.  Bridges Implications of the New Pricing Data from the Home
  - i.  Bridges Subprime ARMs Popular Loans, Poor Performance
  - j.  Bridges Subprime ARMs Popular Loans, Poor Performance
  - k.  Bubble Bubble Toil and Trouble
  - l.  Bubble Bubble Toil and Trouble
  - m.  Chicago, Fudan University, the Making of Monetary Policy in
  - n.  Chicago, Fudan University, the Making of Monetary Policy in
  - o.  Chicago, Global Interdependence Center, Moskow
  - p.  Chicago, Global Interdependence Center, Moskow
  - q.  Chicago, Kenilworth, US Economic Outlook
  - r.  Chicago, Kenilworth, US Economic Outlook
  - s.  Chicago, US Economic Outlook Jewish United Fund, 03-07-2007
  - t.  Chicago, US Economic Outlook Jewish United Fund, 03-07-2007
  - u.  Chicago, US Economic Outlook, 01-10-2007
  - v.  Chicago, US Economic Outlook, 01-10-2007
  - w.  Chicago, US Economic Outlook, University Club, 02-16-2007
  - x.  Chicago, US Economic Outlook, University Club, 02-16-2007
  - y.  Community Affairs Research Conference -- Opening Remarks - S
  - z.  Community Affairs Research Conference -- Opening Remarks - S
  - aa.  Credit Markets Innovations and Their Implications - Federal
  - ab.  Credit Markets Innovations and Their Implications - Federal
  - ac.  Do High Debt Payments Hinder Household Consumption Smoothing
  - ad.  Do High Debt Payments Hinder Household Consumption Smoothing
  - ae.  Economic Outlook - Federal Reserve Bank of Richmond
  - af.  Economic Outlook - Federal Reserve Bank of Richmond
  - ag.  Economic Outlook2 - Federal Reserve Bank of Richmond
  - ah.  Economic Outlook2 - Federal Reserve Bank of Richmond
  - ai.  Fall07JacksonSymposium
  - aj.  Fall07JacksonSymposium
  - ak.  Forecasting Real Housing Price Growth in the Eighth District
  - al.  Forecasting Real Housing Price Growth in the Eighth District
  - am.  Four Images of Ned Gramlich
  - an.  Four Images of Ned Gramlich
  - ao.  FRB Bernanke, Housing, Housing Finance, and Monetary Policy






- ap.  FRB Bernanke, Housing, Housing Finance, and Monetary Policy
- aq.  FRB Kroszner--Risk Management and the Economic Outlook
- ar.  FRB Kroszner--Risk Management and the Economic Outlook
- as.  FRB Speech by Governor Kroszner on outlook and risks for the
- at.  FRB Speech by Governor Kroszner on outlook and risks for the
- au.  FRB Speech, Bernanke--GSE Portfolios, Systemic Risk, and Aff
- av.  FRB Speech, Bernanke--GSE Portfolios, Systemic Risk, and Aff
- aw.  FRB Speech, Bernanke--The Subprime Mortgage Market--May 17,
- ax.  FRB Speech, Bernanke--The Subprime Mortgage Market--May 17,
- ay.  FRB Speech, Bies--Economic Outlook and Developments in Mortg
- az.  FRB Speech, Bies--Economic Outlook and Developments in Mortg
- ba.  FRB Speech, Chairman Bernanke on the housing market and subp
- bb.  FRB Speech, Chairman Bernanke on the housing market and subp
- bc.  FRB Speech, Kohn--Asset-Pricing Puzzles, Credit Risk, and Cr
- bd.  FRB Speech, Kohn--Asset-Pricing Puzzles, Credit Risk, and Cr
- be.  FRB Speech, Kohn--The Economic Outlook--January 8, 2007
- bf.  FRB Speech, Kohn--The Economic Outlook--January 8, 2007
- bg.  FRB Speech, Mishkin--Enterprise Risk Management and Mortgage
- bh.  FRB Speech, Mishkin--Enterprise Risk Management and Mortgage
- bi.  FRB Speech, Mishkin---The U\_S\_ Economic Outlook--April 20, 2
- bj.  FRB Speech, Mishkin---The U\_S\_ Economic Outlook--April 20, 2
- bk.  FRB Speech--Bernanke, The Recent Financial Turmoil and its E
- bl.  FRB Speech--Bernanke, The Recent Financial Turmoil and its E
- bm.  FRB Speech--Kohn, Economic Outlook--October 5, 2007
- bn.  FRB Speech--Kohn, Economic Outlook--October 5, 2007
- bo.  FRB Speech--Kohn, Financial Markets and Central Banking--Nov
- bp.  FRB Speech--Kohn, Financial Markets and Central Banking--Nov
- bq.  FRB Speech--Kohn, Success and Failure of Monetary Policy sin
- br.  FRB Speech--Kohn, Success and Failure of Monetary Policy sin
- bs.  FRB Speech--Kroszner, The Challenges Facing Subprime Mortgag
- bt.  FRB Speech--Kroszner, The Challenges Facing Subprime Mortgag
- bu.  FRB Speech--Mishkin, Financial Instability and Monetary Poli
- bv.  FRB Speech--Mishkin, Financial Instability and Monetary Poli
- bw.  FRB Speech--Mishkin, Outlook and Risks for the U\_S\_ Economy-
- bx.  FRB Speech--Mishkin, Outlook and Risks for the U\_S\_ Economy-
- by.  FRB Supervisory Letter SR 07-1 on interagency guidance on Co
- bz.  FRB Supervisory Letter SR 07-1 on interagency guidance on Co
- ca.  FRB Supervisory Letter SR 07-12 - CA 07-3 on Statement on Su
- cb.  FRB Supervisory Letter SR 07-12 - CA 07-3 on Statement on Su
- cc.  FRB Supervisory Letter SR 07-16 - CA 07-4 on statement on lo
- cd.  FRB Supervisory Letter SR 07-16 - CA 07-4 on statement on lo
- ce.  FRB Supervisory Letter SR 07-6 on Working with Mortgage Borr
- cf.  FRB Supervisory Letter SR 07-6 on Working with Mortgage Borr
- cg.  FRB Testimony, Bernanke, Semiannual Monetary Policy Report t
- ch.  FRB Testimony, Bernanke, Semiannual Monetary Policy Report t
- ci.  FRB Testimony, Bernanke-Monetary Policy Report to the Congre
- cj.  FRB Testimony, Bernanke-Monetary Policy Report to the Congre
- ck.  FRB Testimony, Bernanke--The economic outlook--March 28, 200
- cl.  FRB Testimony, Bernanke--The economic outlook--March 28, 200
- cm.  FRB Testimony, Braunstein--Bank mergers, Community Reinvestm
- cn.  FRB Testimony, Braunstein--Bank mergers, Community Reinvestm
- co.  FRB Testimony, Braunstein--Subprime mortgages--March 27, 200
- cp.  FRB Testimony, Braunstein--Subprime mortgages--March 27, 200
- cq.  FRB Testimony--Bernanke, Subprime mortgage lending and mitig
- cr.  FRB Testimony--Bernanke, Subprime mortgage lending and mitig

























































- cs.  FRB Testimony--Braunstein, Fair lending and the Home Mortgage
- ct.  FRB Testimony--Braunstein, Fair lending and the Home Mortgage
- cu.  FRB Testimony--Chairman Bernanke on the economic situation a
- cv.  FRB Testimony--Chairman Bernanke on the economic situation a
- cw.  FRB Testimony--Kroszner, Loan modifications and foreclosure
- cx.  FRB Testimony--Kroszner, Loan modifications and foreclosure
- cy.  FRB Testimony--Mishkin, Availability of credit to small busi
- cz.  FRB Testimony--Mishkin, Availability of credit to small busi
- da.  FRBB Speeches- Connecticut Business and Industry Association
- db.  FRBB Speeches- Connecticut Business and Industry Association
- dc.  FRBB Speeches- Recent Developments in Real Estate, Financial
- dd.  FRBB Speeches- Recent Developments in Real Estate, Financial
- de.  FRBB Speeches- Remarks at the Launch of the Mortgage Relief
- df.  FRBB Speeches- Remarks at the Launch of the Mortgage Relief
- dg.  FRBB Speeches- Subprime Mortgage Problems Research, Opportun
- dh.  FRBB Speeches- Subprime Mortgage Problems Research, Opportun
- di.  From Complacency to Crisis Financial Risk Taking in the Earl
- dj.  From Complacency to Crisis Financial Risk Taking in the Earl
- dk.  From Spotlight to Shadow Housing's Luster Fades
- dl.  From Spotlight to Shadow Housing's Luster Fades
- dm.  Gary Stern Speech - Credit Market Developments Lessons for C
- dn.  Gary Stern Speech - Credit Market Developments Lessons for C
- do.  Hoenig, Perspectives on Housing and the Economic Outlook,11-
- dp.  Hoenig, Perspectives on Housing and the Economic Outlook,11-
- dq.  Housing and the Monetary Transmission Mechanism
- dr.  Housing and the Monetary Transmission Mechanism
- ds.  Housing and the Subprime Market
- dt.  Housing and the Subprime Market
- du.  Housing is the Business Cycle
- dv.  Housing is the Business Cycle
- dw.  Housing Slump and slowdown in productivity
- dx.  Housing Slump and slowdown in productivity
- dy.  Housing Slump Could Lean Heavily on Economy
- dz.  Housing Slump Could Lean Heavily on Economy
- ea.  Housing Woes Weigh Heavily on the Economy
- eb.  Housing Woes Weigh Heavily on the Economy
- ec.  Housing, Housing Finance, and Monetary Policy Federal Reser
- ed.  Housing, Housing Finance, and Monetary Policy Federal Reser
- ee.  Is New England experiencing a brain drain
- ef.  Is New England experiencing a brain drain
- eg.  Lack of Affordable Housing in New England
- eh.  Lack of Affordable Housing in New England
- ei.  Lockhart Speech After the Feast - September 6, 2007
- ej.  Lockhart Speech After the Feast - September 6, 2007
- ek.  Lockhart Speech Navigating Around Financial Turbulence- Sept
- el.  Lockhart Speech Navigating Around Financial Turbulence- Sept
- em.  Lockhart Speech On Rocket Science - November 7, 2007
- en.  Lockhart Speech On Rocket Science - November 7, 2007
- eo.  Moderate economic growth expected in 2007 - fedgazette - Pub
- ep.  Moderate economic growth expected in 2007 - fedgazette - Pub
- eq.  nakamura\_gimme-shelter
- er.  nakamura\_gimme-shelter
- es.  National, Regional, and Metro-Specific Factors of the US Hou
- et.  National, Regional, and Metro-Specific Factors of the US Hou
- eu.  News and Events-Stern Speech - Perspectives on the Economy -


























































- ev.  News and Events-Stern Speech - Perspectives on the Economy -
- ew.  Nonprime Mortgage Woes—How We Got There, Where To Go Next
- ex.  Nonprime Mortgage Woes—How We Got There, Where To Go Next
- ey.  Optimal Retirement Asset, the Impact of Housing Wealth
- ez.  Optimal Retirement Asset, the Impact of Housing Wealth
- fa.  Responding to Financial Crisis, What Role for the Fed
- fb.  Responding to Financial Crisis, What Role for the Fed
- fc.  Rising Foreclosures in the United States, a Perfect Storm
- fd.  Rising Foreclosures in the United States, a Perfect Storm
- fe.  Risk Is a Many Splendored Thing Lessons Learned - Richard Fi
- ff.  Risk Is a Many Splendored Thing Lessons Learned - Richard Fi
- fg.  Servicers Key to Limiting Loan Losses
- fh.  Servicers Key to Limiting Loan Losses
- fi.  Sources and Uses of Equity Extracted from Homes
- fj.  Sources and Uses of Equity Extracted from Homes
- fk.  Speech Economic Outlook and Central Bank Policy (November 27
- fl.  Speech Economic Outlook and Central Bank Policy (November 27
- fm.  Speech House Prices and Monetary Policy (July 11, 2007) - Ph
- fn.  Speech House Prices and Monetary Policy (July 11, 2007) - Ph
- fo.  Speech The Economic Outlook Prospects for 2007 (February 7,
- fp.  Speech The Economic Outlook Prospects for 2007 (February 7,
- fq.  St. Louis Reserve Bank State of the U.S. Economy
- fr.  St. Louis Reserve Bank State of the U.S. Economy
- fs.  St. Louis Reserve Bank, Market Healing
- ft.  St. Louis Reserve Bank, Market Healing
- fu.  St. Louis Reserve Bank, Real Estate in the US Economy
- fv.  St. Louis Reserve Bank, Real Estate in the US Economy
- fw.  St. Louis Reserve Bank, Reputation and the Non-Prime Mortgag
- fx.  St. Louis Reserve Bank, Reputation and the Non-Prime Mortgag
- fy.  St. Louis Reserve Bank, The GSEs, Where do we Stand
- fz.  St. Louis Reserve Bank, The GSEs, Where do we Stand
- ga.  Subprime Side Effects inthe Federal Funds Market
- gb.  Subprime Side Effects inthe Federal Funds Market
- gc.  Symposium Foreward Hoenig
- gd.  Symposium Foreward Hoenig
- ge.  The 2006 HMDA data
- gf.  The 2006 HMDA data
- gg.  The Economic Outlook - Federal Reserve Bank of Richmond
- gh.  The Economic Outlook - Federal Reserve Bank of Richmond
- gi.  The GSEs, Where do we Stand
- gj.  The GSEs, Where do we Stand
- gk.  The Housing Market, After the Boom
- gl.  The Housing Market, After the Boom
- gm.  The Inflation Outlook - Federal Reserve Bank of Richmond
- gn.  The Inflation Outlook - Federal Reserve Bank of Richmond
- go.  The Inflation Outlook - Federal Reserve Bank of Richmond
- gp.  The Inflation Outlook - Federal Reserve Bank of Richmond
- gq.  The Inflation Outlook - Federal Reserve Bank of Richmond
- gr.  The Inflation Outlook - Federal Reserve Bank of Richmond
- gs.  The Inflation Outlook - Federal Reserve Bank of Richmond
- gt.  The Inflation Outlook - Federal Reserve Bank of Richmond
- gu.  The Inflation Outlook2 - Federal Reserve Bank of Richmond
- gv.  The Inflation Outlook2 - Federal Reserve Bank of Richmond
- gw.  The Inflation Outlook2 - Federal Reserve Bank of Richmond
- gx.  The Life-Cycle Effects of House Price Changes


























































- gy.  The Life-Cycle Effects of House Price Changes
- gz.  The Life-Cycle Effects of House Price Changes
- ha.  The New England Rental Market
- hb.  The New England Rental Market
- hc.  The Rise and Fall of Subprime Mortgages
- hd.  The Rise and Fall of Subprime Mortgages
- he.  The Role of Central Banks in Credit Markets - Federal Reserv
- hf.  The Role of Central Banks in Credit Markets - Federal Reserv
- hg.  The Role of Lenders in the Home Price Boom
- hh.  The Role of Lenders in the Home Price Boom
- hi.  The Role of Lenders in the Home Price Boom
- hj.  The Role of Lenders in the Home Price Boom
- hk.  The Role of Lenders in the Home Price Boom
- hl.  The U\_S\_ Economy, Globalization and Inflation Measurement (W
- hm.  The U\_S\_ Economy, Globalization and Inflation Measurement (W
- hn.  The U\_S\_ Economy, Globalization and Inflation Measurement (W
- ho.  The U\_S\_ Economy, Globalization and Inflation Measurement (W
- hp.  The U\_S\_ Economy, Globalization and Inflation Measurement (W
- hq.  The U\_S\_, Mexican and Border Economies - Richard Fisher Spee
- hr.  The U\_S\_, Mexican and Border Economies - Richard Fisher Spee
- hs.  West outpaces east in district economic growth - fedgazette
- ht.  West outpaces east in district economic growth - fedgazette
- hu.  What is Subprime Lending
- hv.  What is Subprime Lending
- hw.  What is Subprime Lending
- hx.  What is Subprime Lending
- hy.  When Disclosure is not Enough
- hz.  When Disclosure is not Enough
- ia.  When Disclosure is not Enough
- s.  2010-11-03 Production
  - 1.  FCIC-182003-183061
  - 2.  FCIC-182406-182473
  - 3.  FCIC-182474-182539
  - 4.  FCIC-182540-182616
  - 5.  FCIC-182617-182695
  - 6.  FCIC-182696-182775
  - 7.  FCIC-182776-182846
  - 8.  FCIC-182847-182921
  - 9.  FCIC-182922-183002
  - 10.  FCIC-183062-183144
  - 11.  FCIC-183145-183220
  - 12.  FCIC-183221-183292
  - 13.  FCIC-183293-183370
  - 14.  FCIC-183371-183454
  - 15.  FCIC-183455-183538
- t.  2010-11-09 Production
  - 1.  FCIC-183539-183543
- u.  2010-11-23 Production
  - 1.  FCIC-183540-183564
- v.  2010-11-24 Production
  - 1.  Hearing to Examine Truth in Lending June 171997
  - 2.  Hearing to Examine Truth in Lending June 31997 (2)
  - 3.  Hearing to Examine Truth in Lending June 51997
- w.  2010-12-10 Production
  - 1.  FCIC 184084-184104
  - 2.  FCIC-183969-184047




















































































































- 3.  FCIC-184048-184083
- x.  2005-08-17 Minutes of August 18, 2005 meeting between NYFed examiners and Citigroup
- y.  2010-11-03 Seefer notes from review of FOMC minutes
- z.  FCIC-FRBNY000042-000044
- aa.  FCIC-FRBNY000378-000435
- ab.  FCIC-FRBNY000518-000549
- ac.  FCIC-FRBNY000550-000573
- ad.  FCIC-FRBNY000663-000666
- ae.  FCIC-FRBNY-000667-000673
- af.  FCIC-FRBNY000674-000679
- ag.  FCIC-FRBNY000682-000699
- ah.  FCIC-FRBNY000700-000702
- ai.  FCIC-FRBNY000732-000746
- aj.  FCIC-FRBNY000819-000821
- 29.  FRBNY
  - a.  2010-06-21 Production- Disk # 402
    - 1.  FCIC-SSI 0000001-0000200
    - 2.  FCIC-SSI 0000201-0000400
    - 3.  FCIC-SSI 0000401-0000414
  - b.  2010-06-24- Disk # 416
    - 1.  FCIC- SSI 0000415-0000614
    - 2.  FCIC- SSI 0000615-0000641
  - c.  2010-06-26 Production
    - 1.  Disk # 421
      - a.  FCIC-OTC0000001-0000200
      - b.  FCIC-OTC0000201-0000400
      - c.  FCIC-OTC0000401-0000600
      - d.  FCIC-OTC0000601-0000800
      - e.  FCIC-OTC0000801-0001000
      - f.  FCIC-OTC0001001-0001200
      - g.  FCIC-OTC0001201
  - d.  2010-06-29 Production- Disk # 428
    - 1.  FCIC-BA-ML0000001-0000200
    - 2.  FCIC-BA-ML0000201-0000400
    - 3.  FCIC-BA-ML0000401-0000600
    - 4.  FCIC-BA-ML0000601-0000800
    - 5.  FCIC-BA-ML0000801-0001000
    - 6.  FCIC-BA-ML0001001-0001200
    - 7.  FCIC-BA-ML0001201-0001400
    - 8.  FCIC-BA-ML0001401-0001600
    - 9.  FCIC-BA-ML0001601-0001800
    - 10.  FCIC-BA-ML0001801-0002000
    - 11.  FCIC-BA-ML0002001-0002200
    - 12.  FCIC-BA-ML0002201-0002400
    - 13.  FCIC-BA-ML0002401-0002600
    - 14.  FCIC-BA-ML0002601-0002800
    - 15.  FCIC-BA-ML0002801-0003000
    - 16.  FCIC-BA-ML0003001-0003200
    - 17.  FCIC-BA-ML0003201-0003400
    - 18.  FCIC-BA-ML0003401-0003600
    - 19.  FCIC-BA-ML0003601-0003800
    - 20.  FCIC-BA-ML0003801-0004000
    - 21.  FCIC-BA-ML0004001-0004200
    - 22.  FCIC-BA-ML0004201-0004400
    - 23.  FCIC-BA-ML0004401-0004600
    - 24.  FCIC-BA-ML0004601-0004800

- 25.  FCIC-BA-ML0004801-0005000
- 26.  FCIC-BA-ML0005001-0005200
- 27.  FCIC-BA-ML0005201-0005400
- 28.  FCIC-BA-ML0005401-0005600
- 29.  FCIC-BA-ML0005601-0005800
- 30.  FCIC-BA-ML0005801-0006000
- 31.  FCIC-BA-ML0006001-0006200
- 32.  FCIC-BA-ML0006201-0006311
- e.  2010-07-06 Production
  - 1.  Disk # 436
    - a.  FCIC-SSI0000642-0000682
  - 2.  Disk # 437
    - a.  FCIC-SSI 0000683-0000710
  - 3.  Disk # 438
    - a.  FCIC-SSI 0000711-0000910
    - b.  FCIC-SSI 0000911-0001110
    - c.  FCIC-SSI 0001111-0001310
    - d.  FCIC-SSI 0001311-0001361
- f.  2010-07-07 Production
  - 1.  Disk # 439
    - a.  FCIC-SSI 0001362-0001412
- g.  2010-07-09 Production- Disk # 446
  - 1.  FCIC-OTC0001202-0001401
  - 2.  FCIC-OTC0001202-FCIC-OTC0009225
  - 3.  FCIC-OTC0001402-0001601
  - 4.  FCIC-OTC0001602-0001801
  - 5.  FCIC-OTC0001802-0002001
  - 6.  FCIC-OTC0002002-0002201
  - 7.  FCIC-OTC0002202-0002401
  - 8.  FCIC-OTC0002402-0002601
  - 9.  FCIC-OTC0002602-0002801
  - 10.  FCIC-OTC0002802-0003001
  - 11.  FCIC-OTC0003002-0003201
  - 12.  FCIC-OTC0003202-0003401
  - 13.  FCIC-OTC0003402-0003601
  - 14.  FCIC-OTC0003602-0003801
  - 15.  FCIC-OTC0003802-0004001
  - 16.  FCIC-OTC0004002-0004201
  - 17.  FCIC-OTC0004202-0004401
  - 18.  FCIC-OTC0004402-0004601
  - 19.  FCIC-OTC0004602-0004801
  - 20.  FCIC-OTC0004802-0005001
  - 21.  FCIC-OTC0005002-0005201
  - 22.  FCIC-OTC0005202-0005401
  - 23.  FCIC-OTC0005402-0005601
  - 24.  FCIC-OTC0005602-0005801
  - 25.  FCIC-OTC0005802-0006001
  - 26.  FCIC-OTC0006002-0006201
  - 27.  FCIC-OTC0006202-0006401
  - 28.  FCIC-OTC0006402-0006601
  - 29.  FCIC-OTC0006602-0006801
  - 30.  FCIC-OTC0006802-0007001
  - 31.  FCIC-OTC0007002-0007201
  - 32.  FCIC-OTC0007202-0007401
  - 33.  FCIC-OTC0007402-0007601
  - 34.  FCIC-OTC0007602-0007801


























































35.  FCIC-OTC0007802-0008001
  36.  FCIC-OTC0008002-0008201
  37.  FCIC-OTC0008202-0008401
  38.  FCIC-OTC0008402-0008601
  39.  FCIC-OTC0008602-0008801
  40.  FCIC-OTC0008802-0009001
  41.  FCIC-OTC0009002-0009201
  42.  FCIC-OTC0009202-0009225
- h.  2010-07-12 Production
1.  Disk # 447
    - a.  FCIC-GS0009258-0009457
    - b.  FCIC-GS0009458-0009657
    - c.  FCIC-GS0009658-0009857
    - d.  FCIC-GS0009858-0009883
- i.  2010-07-13 Production- Disk # 452
1.  FRBNY-FCIC-General0060651
- j.  2010-07-14 Production
1.  Disk # 456
    - a.  Ford Motor Credit
      1.  FCIC-SSI0001748-0001947
      2.  FCIC-SSI0001948-0002147
      3.  FCIC-SSI0002148-0002347
      4.  FCIC-SSI0002348-0002547
      5.  FCIC-SSI0002548-0002747
      6.  FCIC-SSI0002748-0002947
      7.  FCIC-SSI0002948-0003037
    - b.  GMAC
      1.  FCIC-SSI0003038-0003237
      2.  FCIC-SSI0003238-0003437
      3.  FCIC-SSI0003438-0003637
      4.  FCIC-SSI0003638-0003837
      5.  FCIC-SSI0003838-0004037
      6.  FCIC-SSI0004038-0004237
      7.  FCIC-SSI0004238-0004437
      8.  FCIC-SSI0004438-0004637
      9.  FCIC-SSI0004638-0004837
      10.  FCIC-SSI0004838-0005037
      11.  FCIC-SSI0005038-0005237
      12.  FCIC-SSI0005238-0005437
      13.  FCIC-SSI0005438-0005637
      14.  FCIC-SSI0005638-0005837
      15.  FCIC-SSI0005838-0006037
      16.  FCIC-SSI0006038-0006237
      17.  FCIC-SSI0006238-0006437
      18.  FCIC-SSI0006438-0006489
    - c.  GMAC- Credit Stuff
      1.  FCIC-SSI0006490-0006517
    - d.  TARP Related Proposals
      1.  FCIC-SSI006518-006717
      2.  FCIC-SSI006518-006717
      3.  FCIC-SSI006718-006917
      4.  FCIC-SSI006718-006917
      5.  FCIC-SSI006918-007040
      6.  FCIC-SSI006918-007040
    - e.  FCIC-SSI001413-001612
    - f.  FCIC-SSI001613-001747
  2.  Disk # 457

- a.  FCIC-SSI0007041-0007200
- 3.  Disk # 458
  - a.  FCIC-OTS0009226-0009425
  - b.  FCIC-OTS0009426-0009625
  - c.  FCIC-OTS0009626-0009825
  - d.  FCIC-OTS0009826-0010025
  - e.  FCIC-OTS0010026-0010225
  - f.  FCIC-OTS0010226-0010425
  - g.  FCIC-OTS0010426-0010625
  - h.  FCIC-OTS0010626-0010825
  - i.  FCIC-OTS0010826-0011025
  - j.  FCIC-OTS0011026-0011225
  - k.  FCIC-OTS0011226-0011425
  - l.  FCIC-OTS0011426-0011625
  - m.  FCIC-OTS0011626-0011825
  - n.  FCIC-OTS0011826-0012025
  - o.  FCIC-OTS0012026-0012225
  - p.  FCIC-OTS0012226-0012425
  - q.  FCIC-OTS0012426-0012625
  - r.  FCIC-OTS0012626-0012825
  - s.  FCIC-OTS0012826-0012981
- k.  2010-07-20 Production
  - 1.  Disk # 464
    - a.  FCIC-SSI0007201-0007400
    - b.  FCIC-SSI0007401-0007600
    - c.  FCIC-SSI0007601-0007800
    - d.  FCIC-SSI0007801-0008000
    - e.  FCIC-SSI0008001-0008200
    - f.  FCIC-SSI0008201-0008400
    - g.  FCIC-SSI0008401-0008485
  - 2.  Disk # 468
    - a.  FCIC-OTC0013557-0013733
- l.  2010-07-21 Production- Disk # 475
  - 1.  FCIC-Wachovia0000001-0000200
  - 2.  FCIC-Wachovia0000201-0000400
  - 3.  FCIC-Wachovia0000401-0000600
  - 4.  FCIC-Wachovia0000601-0000800
  - 5.  FCIC-Wachovia0000801-0001000
  - 6.  FCIC-Wachovia0001001-0001200
  - 7.  FCIC-Wachovia0001201-0001400
  - 8.  FCIC-Wachovia0001401-0001514
- m.  2010-07-22 Production
  - 1.  Disk # 477
    - a.  FCIC-SSI0008486-0008491
  - 2.  Disk # 478
    - a.  FCIC-SSI0008492-0008691
    - b.  FCIC-SSI0008692-0008820
- n.  2010-07-29 Production- Disk # 498
  - 1.  FCIC-SSI0008821-0009020
  - 2.  FCIC-SSI0009021-0009220
  - 3.  FCIC-SSI0009221-0009420
  - 4.  FCIC-SSI0009421-0009620
  - 5.  FCIC-SSI0009621-0009820
  - 6.  FCIC-SSI0009821-0010020
  - 7.  FCIC-SSI0010021-0010220
  - 8.  FCIC-SSI0010221-0010420
  - 9.  FCIC-SSI0010421-0010620






















































10.  FCIC-SSI0010621-0010820
11.  FCIC-SSI0010821-0011020
12.  FCIC-SSI0011021-0011076
- o.  2010-07-31 Production- Disk # 513
  1.  FCIC-Wachovia0001515-0001714
  2.  FCIC-Wachovia0001715-0001914
  3.  FCIC-Wachovia0001915-0002036
  4.  FCIC-Wachovia0002037-0002236
  5.  FCIC-Wachovia0002237-0002436
  6.  FCIC-Wachovia0002437-0002478
  7.  FCIC-Wachovia0002479-0002678
  8.  FCIC-Wachovia0002679-0002878
  9.  FCIC-Wachovia0002879-0003078
  10.  FCIC-Wachovia0003079-0003278
  11.  FCIC-Wachovia0003279-0003478
  12.  FCIC-Wachovia0003479-0003678
  13.  FCIC-Wachovia0003679-0003878
  14.  FCIC-Wachovia0003879-0003883
  15.  FCIC-Wachovia0003884-0004083
  16.  FCIC-Wachovia0004084-0004283
  17.  FCIC-Wachovia0004284-0004449
- p.  2010-08-02 Production
  1.  Disk # 515
    - a.  FCIC-BA-ML0006312-0006394
  2.  Disk # 516
    - a.  FRBNY-FCIC-General0060652-0060851
    - b.  FRBNY-FCIC-General0060852-0061051
    - c.  FRBNY-FCIC-General0061052-0061251
    - d.  FRBNY-FCIC-General0061252-0061451
    - e.  FRBNY-FCIC-General0061452-0061623
  3.  Disk # 517
    - a.  FCIC-SSI0011077-0011276
    - b.  FCIC-SSI0011277-0011476
    - c.  FCIC-SSI0011477-0011676
    - d.  FCIC-SSI0011677-0011876
    - e.  FCIC-SSI0011877-0012076
    - f.  FCIC-SSI0012077-0012276
    - g.  FCIC-SSI0012277-0012476
    - h.  FCIC-SSI0012477-0012676
    - i.  FCIC-SSI0012677-0012876
    - j.  FCIC-SSI0012877-0013076
    - k.  FCIC-SSI0013077-0013276
    - l.  FCIC-SSI0013277-0013476
    - m.  FCIC-SSI0013477-0013676
    - n.  FCIC-SSI0013677-0013876
    - o.  FCIC-SSI0013877-0014076
    - p.  FCIC-SSI0014077-0014276
    - q.  FCIC-SSI0014277-0014476
    - r.  FCIC-SSI0014477-0014665
  4.  DISK # 518
    - a.  FCIC-OTC0013734-0013933
    - b.  FCIC-OTC0013934-0014133
    - c.  FCIC-OTC0014134-0014333
    - d.  FCIC-OTC0014334-0014533
    - e.  FCIC-OTC0014534-0014733
    - f.  FCIC-OTC0014734-0014933


- g.  FCIC-OTC0014934-0015133
- h.  FCIC-OTC0015134-0015333
- i.  FCIC-OTC0015334-0015533
- j.  FCIC-OTC0015534-0015733
- k.  FCIC-OTC0015734-0015933
- l.  FCIC-OTC0015934-0016133
- m.  FCIC-OTC0016134-0016333
- n.  FCIC-OTC0016334-0016533
- o.  FCIC-OTC0016534-0016733
- p.  FCIC-OTC0016734-0016933
- q.  FCIC-OTC0016934-0017133
- r.  FCIC-OTC0017134-0017333
- s.  FCIC-OTC0017334-0017533
- t.  FCIC-OTC0017534-0017733
- u.  FCIC-OTC0017734-0017933
- v.  FCIC-OTC0017934-0018133
- w.  FCIC-OTC0018134-0018244
- q.  2010-08-17 Production
  - 1.  Disk # 560
    - a.  FRBNY-FCIC-General0076134-0076139
  - 2.  Disk # 561
    - a.  FCIC-MS0002308-0002507
    - b.  FCIC-MS0002508-0002707
    - c.  FCIC-MS0002708-0002907
    - d.  FCIC-MS0002908-0003107
    - e.  FCIC-MS0003108-0003170
  - 3.  Disk # 562
    - a.  FCIC-MS0003171-0003370
    - b.  FCIC-MS0003371-0003570
    - c.  FCIC-MS0003571-0003770
    - d.  FCIC-MS0003771-0003849
- r.  2010-08-24 Production- Disk # 574
  - 1.  FCIC-OTC0018245-18444
  - 2.  FCIC-OTC0018445-18644
  - 3.  FCIC-OTC0018645-18844
  - 4.  FCIC-OTC0018845-19044
  - 5.  FCIC-OTC0019045-19244
  - 6.  FCIC-OTC0019245-19444
  - 7.  FCIC-OTC0019445-19644
  - 8.  FCIC-OTC0019645-19844
  - 9.  FCIC-OTC0019845-20044
  - 10.  FCIC-OTC0020045-20244
  - 11.  FCIC-OTC0020245-20444
  - 12.  FCIC-OTC0020445-20454
- s.  2010-09-15 Production- Disk # 624
  - 1.  FRBNY-FCIC-General0078393-0078941
- t.  2010-10-06 Production
  - 1.  Disk # 675
    - a.  FRBNY-FCIC-General 0079061-0079660
    - b.  FRBNY-FCIC-General 0079661-0080260
    - c.  FRBNY-FCIC-General 0080261-0080860
    - d.  FRBNY-FCIC-General 0080861-0081471
  - 2.  disk # 678
    - a.  FRBNY-FCIC-General 0082303-0082356
- u.  2010-10-19 Production- Disk # 706
  - 1.  FRBNY-FCIC-General0082373-0082489
- v.  2010-10-27 Production- Disk # 724
























































- 1. FRBNY-FCIC-General0082490-0082729
- w. FCIC-ML 0000001-0000064
- 30. FrontPoint
  - a. 071907 FrontPoint SubPrime Discussion, Conference Call
  - b. FP-FCIC 000001-67 (Shorting Home Mezzanine Tranches)
  - c. UNTITLED\_DISC
  - d. VIDEO\_TS
  - e. VIDEO\_TS
  - f. VIDEO\_TS
  - g. VOB\_DATA
  - h. VTS\_01\_0
  - i. VTS\_01\_0
  - j. VTS\_01\_0
- 31. GE
  - a. GECIC 00023-30
- 32. Genworth
  - a. 2010-09-27 Production
    - 1. 9 27 10 GENWORTH Response to FCIC Request
- 33. GMAC
  - a. 2010-07-21 Production
    - 1. gmac
    - 2. LAW000000001
    - 3. LAW000000002
    - 4. LAW000000003
    - 5. LAW000000007
    - 6. LAW000000008
    - 7. LAW000000009
    - 8. LAW000000010
    - 9. LAW000000011
    - 10. LAW000000012
    - 11. LAW000000013
    - 12. LAW000000014
    - 13. LAW000000015
    - 14. LAW000000016
    - 15. LAW000000017
    - 16. LAW000000018
    - 17. LAW000000019
    - 18. LAW000000020
    - 19. LAW000000021
    - 20. LAW000000022
    - 21. LAW000000023
    - 22. LAW000000024
    - 23. LAW000000025
    - 24. LAW000000026
    - 25. LAW000000027
    - 26. LAW000000028
    - 27. LAW000000029
    - 28. LAW000000030
    - 29. LAW000000031
    - 30. LAW000000032
    - 31. LAW000000035
    - 32. LAW000000036
    - 33. LAW000000037
    - 34. LAW000000038
- 34. Goldman Sachs
  - a. 2010-04-21 Goldman Sachs Production
























































1.  2006
  - a.  GS FCIC 000000566
  - b.  GS FCIC 000006066
  - c.  GS FCIC 000009285
  - d.  GS FCIC 000012769
  - e.  GS FCIC 000016412
2.  2007
  - a.  GS FCIC 000019016
  - b.  GS FCIC 000030545
  - c.  GS FCIC 000035819
  - d.  GS FCIC 000040555
  - e.  GS FCIC 000046048
3.  2008
  - a.  GS FCIC 000049756
  - b.  GS FCIC 000053915
  - c.  GS FCIC 000063956
  - d.  GS FCIC 000066376
  - e.  GS FCIC 000069914
4.  2009
  - a.  GS FCIC 000074578
  - b.  GS FCIC 000075305
  - c.  GS FCIC 000082689
  - d.  GS FCIC 000083373
  - e.  GS FCIC 000084056
5.  27d Notional YE Summary
  - a.  GS FCIC 000000564
  - b.  GS FCIC 000000564
- b.  2010-05-03 Production
  1.  2010-05-03 Goldman (S&C - T Edelman) ltr to C Seefer re Doc Production
  2.  GS FCIC 000000564
  3.  GS FCIC 000000564
  4.  GS MBS 0000018045
  5.  GS MBS 0000024956
  6.  GS-PSI-00172-264
  7.  GS-PSI-00197
  8.  GS-PSI-00265
  9.  GS-PSI-00265
  10.  May 4, 2010 letter to FCIC
- c.  2010-05-04 Goldman Sachs Production
  1.  GS MBS 0000024916-24955
- d.  2010-05-05 Production
  1.  GS FCIC 000000564
  2.  GS MBS 0000018045
  3.  GS MBS 0000024956
  4.  GS-PSI-00172-264
  5.  GS-PSI-00265
- e.  2010-05-11 Production
  1.  Disk #251
    - a.  GS 00001-GS 00062
    - b.  GS 00063-GS 00798
    - c.  GS 00799-GS 01568
    - d.  GS 01569-GS 02049
    - e.  GS 02050-GS 02570
    - f.  GS 02571-GS 03132
    - g.  GS 03133-GS 03616
    - h.  GS 03617-GS 04438
    - i.  GS 04439-GS 05151

















































































































- j.  GS 05152-GS 05792
  - k.  GS 05793-GS 06405
  - l.  GS 06406-GS 07022
  - m.  GS 07023-GS 07669
  - n.  GS 07670-GS 08372
  - o.  GS 08373-GS 09167
  - p.  GS 09168-GS 10061
  - q.  GS 10063-GS 10072
  - r.  GS 10073-GS 10093
2.  Disk #252
- a.  GS 00001- GS 00062
  - b.  GS 00063 - GS 00798
3.  Disk #253
- a.  2007.08.09 T Harrop to J Luks and J McNeila. Re Fw. CDO CDS BWIC
  - b.  2007.08.14 (2\_01pm) T Harrop to J McNeila and J Luks. Re CDO CDS BWIC Due Friday Aug 17
  - c.  2007.08.14 (2\_34pm) T Harrop Re CDO CDS BWIC Due Friday, August 17
  - d.  2007.08.14 (3\_14pm) T Harrop to J McNeila, J Luks, et al. Re. FW CDO CDS BWIC Due Friday Aug 17
  - e.  2007.08.15 (10\_42am) T Harrop to J Luks. Re CDO CDS BWIC Due Friday Aug 17
  - f.  2007.08.15 (10\_47am) T Harrop to J McNeila and J Luks. Re CDO CDS BWIC Due Friday Aug 17
  - g.  2007.08.15 (8\_31am) T. Harrop to W. Saft Re RE CDO CDS BWIC Due Friday, August 17
  - h.  2007.08.15 (8\_39m)T Harrop to W Saft. Re CDO CDS BWIC Due Friday Aug 17
  - i.  2007.08.17 (1\_05pm) T Harrop to C Creed and G Williams. Re FW. CDO CDS BWIC - nothing traded
  - j.  2007.08.17 (1\_12pm) T Harrop Re. CDO CDS BWIC - nothing traded
  - k.  2007.08.17 (1\_18pm) T. Harrop to T. Enright Re RE CDO CDS BWIC-- nothing traded
  - l.  2007.08.17 (1\_21pm) T Harrop to B Marshall. Re CDO CDS BWIC - nothing traded
  - m.  2007.08.17 (1\_38pm) T Harrop to B Marshall. Re CDO CDS BWIC - nothing traded
  - n.  2007.08.17 (11\_08am) T Harrop to H Roeder. Re FW. CDO CDS BWIC Due Friday Aug 17
  - o.  2007.08.17 (11\_29am) T Harrop to M Braselton Re. CDO CDS BWIC Due Friday Aug 17
  - p.  2007.08.17 (11\_43am) T Harrop. Re CDO CDS BWIC Due Friday Aug 17
  - q.  2007.08.17 (11\_45am) T Harrop to K Blaney. Re FW. CDO CDS BWIC Due Friday Aug 17
  - r.  2007.08.17 (11\_50am) T Harrop to K Alexander Re. CDO CDS BWIC Due Friday Aug 17
  - s.  2007.08.17 (12\_16pm) T. Harrop to K Blaney Re CDO CDS BWIC Due Friday Aug 17
  - t.  2007.08.17 (2\_11pm) T Harrop to S Haider. Re CDO CDS BWIC- nothing traded
  - u.  2007.08.21 (10\_20am) T Harrop to C Creed and G Williams. Re CDO CDS BWIC- nothing traded
  - v.  2007.08.21 (10\_26am) T Harrop to C Creed and G Williams. Re CDO CDS BWIC - nothing traded
  - w.  2008.04.24 Goldman Sachs to AIG FP. RE Amended Side Letter Agreement
  - x.  2008.05.06 T Harrop to T Athan Re. Credit Event Notice and Notice of Publicly Available
  - y.  BWIC POLL INFOR - BWICect Spreadsheet
  - z.  Copy of bwicetc
4.  Disk #255
- a.  GS10063
  - b.  GS10064
  - c.  GS10065
  - d.  GS10066
  - e.  GS10067
  - f.  GS10068
  - g.  GS10069
  - h.  GS10070
  - i.  GS10071
  - j.  GS10072
5.  Disk #256
- a.  GS100073-93 w redactions
6.  GS MBS 0000018045-GS MBS 0000018052





































7.  GS MBS 0000021129-GS MBS 0000021133
8.  GS MBS 0000022668
9.  GS MBS 0000026537
- f.  2010-05-14 Goldman Production
  1.  Appendix 15
  2.  Appendix 5
    - a.  GS FCIC 000000169 - GS FCIC 000000173
    - b.  GS FCIC 000000174 - GS FCIC 000000214
- g.  2010-05-19 Production - CD 305
  1.  GSMBS-E-000412141
  2.  GSMBS-E-000412142
  3.  GSMBS-E-000412143
  4.  GSMBS-E-000412144
  5.  GSMBS-E-000412145
  6.  GSMBS-E-000412146
  7.  GSMBS-E-000412147
  8.  GSMBS-E-000412148
  9.  GSMBS-E-000412149
  10.  GSMBS-E-000412150
  11.  GSMBS-E-000412151
  12.  GSMBS-E-000412156
  13.  GSMBS-E-000412157
  14.  GSMBS-E-000412234
  15.  GSMBS-E-000412235
  16.  GSMBS-E-000412236
  17.  GSMBS-E-000412237
  18.  GSMBS-E-000412238
  19.  GSMBS-E-000412239
  20.  GSMBS-E-000412264
  21.  GSMBS-E-000412706
  22.  GSMBS-E-000412717
  23.  GSMBS-E-000412943
  24.  GSMBS-E-000413189
  25.  GSMBS-E-000413952
  26.  GSMBS-E-000413953
  27.  GSMBS-E-000413954
  28.  GSMBS-E-000413955
  29.  GSMBS-E-000413956
  30.  GSMBS-E-000413957
  31.  GSMBS-E-000413958
  32.  GSMBS-E-000413959
  33.  GSMBS-E-000415142
  34.  GSMBS-E-000415311
  35.  GSMBS-E-000415312
  36.  GSMBS-E-000415313
  37.  GSMBS-E-000415314
  38.  GSMBS-E-000415315
  39.  GSMBS-E-000415316
  40.  GSMBS-E-000415317
  41.  GSMBS-E-000415318
  42.  GSMBS-E-000415319
  43.  GSMBS-E-000415574
  44.  GSMBS-E-000415575
  45.  GSMBS-E-000415604
  46.  GSMBS-E-000415605
  47.  GSMBS-E-000415606

48.  GSMBS-E-000415607
49.  GSMBS-E-000415608
50.  GSMBS-E-000415609
51.  GSMBS-E-000415610
52.  GSMBS-E-000415611
53.  GSMBS-E-000415662
54.  GSMBS-E-000415663
55.  GSMBS-E-000418592
56.  GSMBS-E-000418593
57.  GSMBS-E-000418594
58.  GSMBS-E-000418595
59.  GSMBS-E-000418596
60.  GSMBS-E-000418599
61.  GSMBS-E-000418600
62.  GSMBS-E-000418601
63.  GSMBS-E-000418602
64.  GSMBS-E-000418603
65.  GSMBS-E-000418604
66.  GSMBS-E-000418605
67.  GSMBS-E-000418606
68.  GSMBS-E-000418607
69.  GSMBS-E-000418608
70.  GSMBS-E-000418609
71.  GSMBS-E-000418610
72.  GSMBS-E-000418611
73.  GSMBS-E-000418612
74.  GSMBS-E-000418613
75.  GSMBS-E-000418614
76.  GSMBS-E-000418615
77.  GSMBS-E-000418616
78.  GSMBS-E-000418617
79.  GSMBS-E-000418618
80.  GSMBS-E-000418631
81.  GSMBS-E-000419396
82.  GSMBS-E-000419693
83.  GSMBS-E-000419809
84.  GSMBS-E-000419810
85.  GSMBS-E-000419814
86.  GSMBS-E-000419815
87.  GSMBS-E-000419816
88.  GSMBS-E-000419817
89.  GSMBS-E-000419818
90.  GSMBS-E-000419819
91.  GSMBS-E-000421728
92.  GSMBS-E-000423220
93.  GSMBS-E-000423221
94.  GSMBS-E-000423222
95.  GSMBS-E-000423225
96.  GSMBS-E-000423621
97.  GSMBS-E-000423629
98.  GSMBS-E-000423836
99.  GSMBS-E-000424163
100.  GSMBS-E-000424164
101.  GSMBS-E-000424165
102.  GSMBS-E-000424166













103.  GSMBS-E-000424167
104.  GSMBS-E-000424168
105.  GSMBS-E-000424169
106.  GSMBS-E-000424170
107.  GSMBS-E-000424171
108.  GSMBS-E-000424524
109.  GSMBS-E-000424825
110.  GSMBS-E-000424826
111.  GSMBS-E-000424827
112.  GSMBS-E-000424828
113.  GSMBS-E-000424829
114.  GSMBS-E-000424830
115.  GSMBS-E-000424831
116.  GSMBS-E-000424832
117.  GSMBS-E-000424844
118.  GSMBS-E-000424845
119.  GSMBS-E-000424871
120.  GSMBS-E-000426844
121.  GSMBS-E-000426845
122.  GSMBS-E-000428033
123.  GSMBS-E-000428288
124.  GSMBS-E-000431545
125.  GSMBS-E-000431546
126.  GSMBS-E-000431547
127.  GSMBS-E-000431548
128.  GSMBS-E-000431549
129.  GSMBS-E-000431550
130.  GSMBS-E-000431551
131.  GSMBS-E-000431552
132.  GSMBS-E-000431553
133.  GSMBS-E-000431554
134.  GSMBS-E-000431555
135.  GSMBS-E-000431556
136.  GSMBS-E-000431558
137.  GSMBS-E-000431581
138.  GSMBS-E-000431591
139.  GSMBS-E-000433212
140.  GSMBS-E-000435345
141.  GSMBS-E-000435346
142.  GSMBS-E-000435347
143.  GSMBS-E-000435348
144.  GSMBS-E-000435349
145.  GSMBS-E-000435350
146.  GSMBS-E-000435356
147.  GSMBS-E-000435357
148.  GSMBS-E-000435358
149.  GSMBS-E-000435399
150.  GSMBS-E-000435400
151.  GSMBS-E-000435401
152.  GSMBS-E-000435402
153.  GSMBS-E-000435403
154.  GSMBS-E-000435404
155.  GSMBS-E-000435405
156.  GSMBS-E-000435406
157.  GSMBS-E-000435407
























































158.  GSMBS-E-000436258
159.  GSMBS-E-000436259
160.  GSMBS-E-000436559
161.  GSMBS-E-000437374
162.  GSMBS-E-000439340
163.  GSMBS-E-000439343
164.  GSMBS-E-000439346
165.  GSMBS-E-000439349
166.  GSMBS-E-000439350
167.  GSMBS-E-000439351
168.  GSMBS-E-000439352
169.  GSMBS-E-000439353
170.  GSMBS-E-000439817
171.  GSMBS-E-000439818
172.  GSMBS-E-000439819
173.  GSMBS-E-000439820
174.  GSMBS-E-000439821
175.  GSMBS-E-000439822
176.  GSMBS-E-000439823
177.  GSMBS-E-000439824
178.  GSMBS-E-000439825
179.  GSMBS-E-000439826
180.  GSMBS-E-000439827
181.  GSMBS-E-000439828
182.  GSMBS-E-000439829
183.  GSMBS-E-000439830
184.  GSMBS-E-000439831
185.  GSMBS-E-000439832
186.  GSMBS-E-000439848
187.  GSMBS-E-000439850
188.  GSMBS-E-000440467
189.  GSMBS-E-000440468
190.  GSMBS-E-000440469
191.  GSMBS-E-000440470
192.  GSMBS-E-000440471
193.  GSMBS-E-000440475
194.  GSMBS-E-000440476
195.  GSMBS-E-000440556
196.  GSMBS-E-000440572
197.  GSMBS-E-000440573
198.  GSMBS-E-000442814
199.  GSMBS-E-000442815
200.  GSMBS-E-000442816
201.  GSMBS-E-000442817
202.  GSMBS-E-000443449
203.  GSMBS-E-000443739
204.  GSMBS-E-000443756
205.  GSMBS-E-000443757
206.  GSMBS-E-000443758
207.  GSMBS-E-000443759
208.  GSMBS-E-000444578
209.  GSMBS-E-000447370
210.  GSMBS-E-000447372
211.  GSMBS-E-000447392
212.  GSMBS-E-000447393

- 213.  GSMBS-E-000447394
- 214.  GSMBS-E-000447395
- 215.  GSMBS-E-000447396
- 216.  GSMBS-E-000447397
- 217.  GSMBS-E-000447398
- 218.  GSMBS-E-000447399
- 219.  GSMBS-E-000447402
- 220.  GSMBS-E-000449198
- 221.  GSMBS-E-000450508
- 222.  GSMBS-E-000450509
- 223.  GSMBS-E-000450510
- 224.  GSMBS-E-000450511
- 225.  GSMBS-E-000450660
- 226.  GSMBS-E-000450796
- 227.  GSMBS-E-000450797
- 228.  GSMBS-E-000450921
- 229.  GSMBS-E-000450922
- 230.  GSMBS-E-000450923
- 231.  GSMBS-E-000450924
- 232.  GSMBS-E-000450925
- 233.  GSMBS-E-000450926
- 234.  GSMBS-E-000450927
- 235.  GSMBS-E-000450928
- 236.  GSMBS-E-000450929
- 237.  GSMBS-E-000450930
- 238.  GSMBS-E-000451121
- 239.  GSMBS-E-000451122
- 240.  GSMBS-E-000451124
- 241.  GSMBS-E-000451125
- 242.  GSMBS-E-000451126
- 243.  GSMBS-E-000451318
- 244.  GSMBS-E-000451329
- 245.  GSMBS-E-000451333
- 246.  GSMBS-E-000451334
- 247.  GSMBS-E-000451335
- 248.  GSMBS-E-000451336
- 249.  GSMBS-E-000451337
- 250.  GSMBS-E-000451338
- 251.  GSMBS-E-000451339
- 252.  GSMBS-E-000451340
- 253.  GSMBS-E-000451341
- 254.  GSMBS-E-000451350
- 255.  GSMBS-E-000451351
- 256.  GSMBS-E-000451352
- 257.  GSMBS-E-000451353
- 258.  GSMBS-E-000451354
- 259.  GSMBS-E-000451355
- 260.  GSMBS-E-000451357
- 261.  GSMBS-E-000451358
- 262.  GSMBS-E-000451359
- 263.  GSMBS-E-000451360
- 264.  GSMBS-E-000451361
- 265.  GSMBS-E-000451362
- 266.  GSMBS-E-000451363
- 267.  GSMBS-E-000451670

























































- 268.  GSMBS-E-000451671
- 269.  GSMBS-E-000451722
- 270.  GSMBS-E-000452076
- 271.  GSMBS-E-000452077
- 272.  GSMBS-E-000452079
- 273.  GSMBS-E-000452422
- 274.  GSMBS-E-000452423
- 275.  GSMBS-E-000452424
- 276.  GSMBS-E-000452425
- 277.  GSMBS-E-000452426
- 278.  GSMBS-E-000452428
- 279.  GSMBS-E-000452429
- 280.  GSMBS-E-000452773
- 281.  GSMBS-E-000452867
- 282.  GSMBS-E-000453505
- 283.  GSMBS-E-000453533
- 284.  GSMBS-E-000453536
- 285.  GSMBS-E-000453537
- 286.  GSMBS-E-000453538
- 287.  GSMBS-E-000453539
- 288.  GSMBS-E-000453540
- 289.  GSMBS-E-000453541
- 290.  GSMBS-E-000453542
- 291.  GSMBS-E-000453543
- 292.  GSMBS-E-000453544
- 293.  GSMBS-E-000453545
- 294.  GSMBS-E-000453546
- 295.  GSMBS-E-000453547
- 296.  GSMBS-E-000453548
- 297.  GSMBS-E-000453549
- 298.  GSMBS-E-000453550
- 299.  GSMBS-E-000453552
- 300.  GSMBS-E-000453554
- 301.  GSMBS-E-000453555
- 302.  GSMBS-E-000454150
- 303.  GSMBS-E-000454152

























































h.  2010-05-19 Production - Disk 311
























































- 1.  GSMBS-E-000592728
- 2.  GSMBS-E-000638920
- 3.  GSMBS-E-000657734
- 4.  GSMBS-E-000664229
- 5.  GSMBS-E-000673670
- 6.  GSMBS-E-000684891
- 7.  GSMBS-E-000767460
- 8.  GSMBS-E-000808574
- 9.  GSMBS-E-000808984
- 10.  GSMBS-E-000814198
- 11.  GSMBS-E-000822102
- 12.  GSMBS-E-000822355
- 13.  GSMBS-E-000822377
- 14.  GSMBS-E-000854537
- 15.  GSMBS-E-000855351
- 16.  GSMBS-E-000894345
- 17.  GSMBS-E-000942763
- 18.  GSMBS-E-000974394
























































19.  GSMBS-E-000974736
20.  GSMBS-E-001068087
21.  GSMBS-E-001074183
22.  GSMBS-E-001074835
23.  GSMBS-E-001095201
24.  GSMBS-E-001121675
25.  GSMBS-E-001164562
26.  GSMBS-E-001272623
27.  GSMBS-E-001298530
28.  GSMBS-E-001305460
29.  GSMBS-E-001312807
30.  GSMBS-E-001314030
31.  GSMBS-E-001314350
32.  GSMBS-E-001314364
33.  GSMBS-E-001370529
34.  GSMBS-E-001370535
35.  GSMBS-E-001373898
36.  GSMBS-E-001376176
37.  GSMBS-E-001376445
38.  GSMBS-E-001377006
39.  GSMBS-E-001478622
40.  GSMBS-E-001480852
41.  GSMBS-E-001481888
42.  GSMBS-E-001482211
43.  GSMBS-E-001482354
44.  GSMBS-E-001545095
45.  GSMBS-E-001546145
46.  GSMBS-E-001548092
47.  GSMBS-E-001548268
48.  GSMBS-E-001550191
49.  GSMBS-E-001557726
50.  GSMBS-E-001557869
51.  GSMBS-E-001652776
52.  GSMBS-E-001654643
53.  GSMBS-E-001660801
54.  GSMBS-E-001864052
55.  GSMBS-E-002406858
56.  GSMBS-E-002407580
57.  GSMBS-E-002507886
58.  GSMBS-E-002630533
59.  GSMBS-E-003244975
60.  GSMBS-E-003253913
61.  GSMBS-E-003271715
62.  GSMBS-E-003287058
63.  GSMBS-E-003288017
64.  GSMBS-E-003297923
65.  GSMBS-E-003323492
66.  GSMBS-E-003461406
67.  GSMBS-E-003505239
68.  GSMBS-E-003731103
69.  GSMBS-E-003733913
70.  GSMBS-E-005954146
71.  GSMBS-E-007834255
72.  GSMBS-E-007835613
73.  GSMBS-E-007836884



























































































74.  GSMBS-E-007838113
75.  GSMBS-E-007839371
76.  GSMBS-E-007840588
77.  GSMBS-E-007841953
78.  GSMBS-E-007843612
79.  GSMBS-E-007843622
80.  GSMBS-E-007843698
81.  GSMBS-E-007843778
82.  GSMBS-E-007843789
83.  GSMBS-E-007844015
84.  GSMBS-E-009238909
85.  GSMBS-E-009461852
86.  GSMBS-E-009615725
87.  GSMBS-E-011176400
88.  GSMBS-E-012476371
89.  GSMBS-E-013100361
90.  GSMBS-E-013101569
91.  GSMBS-E-013102773
92.  GSMBS-E-013103940
93.  GSMBS-E-013105261
94.  GSMBS-E-013106521
95.  GSMBS-E-013107815
96.  GSMBS-E-013109130
97.  GSMBS-E-013621287
98.  GSMBS-E-013637578
99.  GSMBS-E-014302980
100.  GSMBS-E-015542073
101.  GSMBS-E-016589298
102.  GSMBS-E-016627903
103.  GSMBS-E-016924546
104.  GSMBS-E-016981347
105.  GSMBS-E-017021030
106.  GSMBS-E-017509556
107.  GSMBS-E-017777843
108.  GSMBS-E-017865530
109.  GSMBS-E-018127065
110.  GSMBS-E-018320391
111.  GSMBS-E-018640865
112.  GSMBS-E-018640871
113.  GSMBS-E-018873879
114.  GSMBS-E-019225176
115.  GSMBS-E-019710908
- i.  2010-05-28 Goldman Sachs Production
  1.  GS MBS 0000026981 - 0000027041
  2.  GS MBS 0000027042 - 0000027043
  3.  GS MBS-E-003461487 - 003461698
  4.  GS MBS-E-017485839 - 017485904
  5.  GS MBS-E-017485905 - 017485983
  6.  GS MBS-E-017486026 - 017486105
- j.  2010-06-04 Production
  1.  GS MBS 0000027481 - 0000027658
  2.  GS MBS 0000027659 - 0000027875
  3.  GS MBS 0000027876 (ABACUS CDS Cashflows)
  4.  GS MBS 0000027877 (Non-ABACUS CDS Cashflows)
  5.  GS MBS 0000027878 (CUSIP Overlap Analysis)
  6.  GS MBS 0000027879 (2004-2007 GS Synthetic and Partially Synthetic CDOs)

- k.  2010-06-11 Production
  - 1.  Binder1 - GSMB020315038
  - 2.  GS MBS 000027880 - Notional amount by counterparty
  - 3.  GS020315038-6037
  - 4.  GS020317038-8037
- l.  2010-06-12 Goldman Production
- m.  2010-06-14 Goldman Production
  - 1.  GS MBS 000027892 Nov 2006 IRP June2010
  - 2.  GS MBS 000027893 Nov 2006 FX June2010
  - 3.  GS MBS 000027894 Nov 2006 Equities June2010
  - 4.  GS MBS 000027895 Nov 2006 Commodities June2010
  - 5.  GS MBS 000027896 Nov 2006 CDs June2010
  - 6.  GS MBS 000027897 Nov 2007 IRP June2010
  - 7.  GS MBS 000027898 Nov 2007 FX June2010
  - 8.  GS MBS 000027899 Nov 2007 Equity June2010
  - 9.  GS MBS 000027900 Nov 2007 Commodities June2010
  - 10.  GS MBS 000027901 Nov 2007 CD June2010
  - 11.  GS MBS 000027902 Nov 2008 IRP June2010
  - 12.  GS MBS 000027903 Nov 2008 FX June2010
  - 13.  GS MBS 000027904 Nov 2008 Equities June2010
  - 14.  GS MBS 000027905 Nov 2008 Credit Derivatives June2010
  - 15.  GS MBS 000027906 Nov 2008 Commodities June2010
  - 16.  GS MBS 000027907 Dec 2009 IRP June2010
  - 17.  GS MBS 000027908 Dec 2009 FX June2010
  - 18.  GS MBS 000027909 Dec 2009 Equities June2010
  - 19.  GS MBS 000027910 Dec 2009 Commodities June2010
  - 20.  GS MBS 000027911 Dec 2009 CD June2010
  - 21.  GS MBS 000027965
  - 22.  GS MBS 000027966
  - 23.  GS MBS 000027967
  - 24.  GS MBS 000027968
  - 25.  GS MBS 000027969
  - 26.  GS MBS 000027970
  - 27.  GS MBS 000027971
  - 28.  GS MBS 000027972
  - 29.  GS MBS 000027973
  - 30.  GS MBS 000027974
- n.  2010-06-21 Production
  - 1.  GS MBS 000035841 - GS MBS 000035858
  - 2.  GS MBS 000035859
  - 3.  GS MBS 000035860
- o.  2010-07-08 Prod - GS 000038786 Replacement Doc
  - 1.  GS MBS 000038786
- p.  2010-07-14 Production- Disk 455
  - 1.  GS MBS 000038855
- q.  2010-07-16 Goldman Prod per Hearing Questions
  - 1.  Email - FW Response to FCIC Hearing requests
  - 2.  GS MBS 000038839
  - 3.  GS MBS 000038840
  - 4.  GS MBS 000038841 - 38843
- r.  2010-07-23 Goldman Production
  - 1.  2010-07-22 Goldman (Klapper) ltr to Seefer re Doc Prod (copy)
  - 2.  Appendix 1 (GS MBS 000038992)
  - 3.  Appendix 11 (GS MBS 000038997)
  - 4.  Appendix 12 (GS MBS 000038998)
  - 5.  Appendix 2 (GS MBS 000038993)






















6.  Appendix 3 (GS MBS 0000038994)
  7.  Appendix 4 (GS MBS 0000038995)
  8.  Appendix 6 (GS MBS 0000038996)
- s.  2010-08-05 Production- Disk # 522
1.  GS MBS 0000039138 - 39150
  2.  GS MBS 0000039151 - 39165
  3.  GS MBS 0000039166 - 39171
  4.  GS MBS 0000039172 - 39186
  5.  GS MBS 0000039187 - 39201
  6.  GS MBS 0000039202 - 39217
  7.  GS MBS 0000039218 - 39228
  8.  GS MBS 0000039229 - 39243
  9.  GS MBS 0000039244 - 39310
  10.  GS MBS 0000039311 - 39385
  11.  GS MBS 0000039386 - 39394
  12.  GS MBS 0000039395 - 39401
  13.  GS MBS 0000039402 - 39408
  14.  GS MBS 0000039409 - 39411
  15.  GS MBS 0000039412 - 39457
  16.  GS MBS 0000039458 - 39515
  17.  GS MBS 0000039516 - 39522
  18.  GS MBS 0000039523 - 39607
  19.  GS MBS 0000039608 - 39695
  20.  GS MBS 0000039696 - 39702
  21.  GS MBS 0000039703 - 39706
  22.  GS MBS 0000039707 - 39770
  23.  GS MBS 0000039771 - 39773
  24.  GS MBS 0000039774 - 39834
  25.  GS MBS 0000039835 - 39906
  26.  GS MBS 0000039907 - 39910
  27.  GS MBS 0000039911 - 39985
  28.  GS MBS 0000039986 - 39987
  29.  GS MBS 0000039988 - 39992
  30.  GS MBS 0000039993 - 40060
  31.  GS MBS 0000040061 - 40065
  32.  GS MBS-E-000540726 - 540785
- t.  2010-08-13 6-23 Production
1.  GS MBS 0000040143
  2.  GS MBS 0000040144
- u.  2010-08-23 Production- Disk # 573
1.  GS MBS 0000040189 - 40492
  2.  GS MBS 0000040493 - 40838
  3.  GS MBS 0000040839 - 41175
  4.  GS MBS 0000041176 - 41436
  5.  GS MBS 0000041437 - 41738
  6.  GS MBS 0000041739 - 42028
  7.  GS MBS 0000042029 - 42306
  8.  GS MBS 0000042307 - 42586
  9.  GS MBS 0000042587 - 42871
  10.  GS MBS 0000042872 - 43160
  11.  GS MBS 0000043161 - 43395
  12.  GS MBS 0000043396 - 43698
  13.  GS MBS 0000043699 - 44038
  14.  GS MBS 0000044039 - 44324
  15.  GS MBS 0000044325 - 44661
  16.  GS MBS 0000044662 - 44996























































17.  GS MBS 0000044997 - 45283
18.  GS MBS 0000045284 - 45527
19.  GS MBS 0000045528 - 45791
20.  GS MBS 0000045792 - 46047
21.  GS MBS 0000046048 - 46332
22.  GS MBS 0000046333 - 46606
23.  GS MBS 0000046607 - 46881
24.  GS MBS 0000046882 - 47207
25.  GS MBS 0000047208 - 47482
26.  GS MBS 0000047483 - 47769
27.  GS MBS 0000047770 - 48036
28.  GS MBS 0000048037 - 48407
29.  GS MBS 0000048408 - 48769
30.  GS MBS 0000048770 - 49031
31.  GS MBS 0000049032 - 49381
32.  GS MBS 0000049382 - 49717
33.  GS MBS 0000049718 - 50069
34.  GS MBS 0000050070 - 50428
35.  GS MBS 0000050429 - 50784
36.  GS MBS 0000050785 - 51129
37.  GS MBS 0000051130 - 51433
38.  GS MBS 0000051434 - 51815
39.  GS MBS 0000051816 - 52109
40.  GS MBS 0000052110 - 52394
41.  GS MBS 0000052395 - 52699
42.  GS MBS 0000052700 - 52954
43.  GS MBS 0000052955 - 53223
44.  GS MBS 0000053224 - 53524
45.  GS MBS 0000053525 - 53811
46.  GS MBS 0000053812 - 54045
47.  GS MBS 0000054046 - 54430
48.  GS MBS 0000054431 - 54755
49.  GS MBS 0000054756 - 55099
50.  GS MBS 0000055100 - 55524
51.  GS MBS 0000055525 - 55822
52.  GS MBS 0000055823 - 55827
53.  GS MBS 0000055828 - 55831
54.  GS MBS 0000055832 - 56274
55.  GS MBS 0000056275 - 56681
56.  GS MBS 0000056682 - 57037
57.  GS MBS 0000057038 - 57398
58.  GS MBS 0000057399 - 57698
59.  GS MBS 0000057699 - 57975
60.  GS MBS 0000057976 - 58083
61.  GS MBS 0000058084 - 58239
62.  GS MBS 0000058240 - 58401
63.  GS MBS 0000058402 - 58627
64.  GS MBS 0000058628 - 58636
65.  GS MBS 0000058637 - 58798
66.  GS MBS 0000058799 - 58945
67.  GS MBS 0000058946 - 59103
68.  GS MBS 0000059104 - 59260
69.  GS MBS 0000059261 - 59453
70.  GS MBS 0000059454 - 59691
71.  GS MBS 0000059692 - 60004
























































72.  GS MBS 0000060005 - 60339
73.  GS MBS 0000060340 - 60654
74.  GS MBS 0000060655 - 60992
75.  GS MBS 0000060993 - 61345
76.  GS MBS 0000061346 - 61709
77.  GS MBS 0000061710 - 62006
78.  GS MBS 0000062007 - 62223
79.  GS MBS 0000062224 - 62476
80.  GS MBS 0000062477 - 62724
81.  GS MBS 0000062725 - 62893
82.  GS MBS 0000062894 - 63190
83.  GS MBS 0000063191 - 63534
84.  GS MBS 0000063535 - 63814
85.  GS MBS 0000063815 - 64129
86.  GS MBS 0000064130 - 64431
87.  GS MBS 0000064432 - 64734
88.  GS MBS 0000064735 - 65037
89.  GS MBS 0000065038 - 65368
90.  GS MBS 0000065369 - 65693
91.  GS MBS 0000065694 - 66021
92.  GS MBS 0000066022 - 66342
93.  GS MBS 0000066343 - 66685
94.  GS MBS 0000066686 - 66987
95.  GS MBS 0000066988 - 67262
96.  GS MBS 0000067263 - 67551
97.  GS MBS 0000067552 - 67855
98.  GS MBS 0000067856 - 68155
99.  GS MBS 0000068156 - 68420
100.  GS MBS 0000068421 - 68725
101.  GS MBS 0000068726 - 69042
102.  GS MBS 0000069043 - 69355
103.  GS MBS 0000069356 - 69673
104.  GS MBS 0000069674 - 70000
105.  GS MBS 0000070001 - 70307
106.  GS MBS 0000070308 - 70628
107.  GS MBS 0000070629 - 70732
108.  GS MBS 0000070733 - 70968
109.  GS MBS 0000070969 - 71206
110.  GS MBS 0000071207 - 71454
111.  GS MBS 0000071455 - 71697
112.  GS MBS 0000071698 - 71933
113.  GS MBS 0000071934 - 72149
114.  GS MBS 0000072150 - 72454
115.  GS MBS 0000072455 - 72731
116.  GS MBS 0000072732 - 73018
117.  GS MBS 0000073019 - 73356
118.  GS MBS 0000073357 - 73762
119.  GS MBS 0000073763 - 74084
120.  GS MBS 0000074085 - 74396
121.  GS MBS 0000074397 - 74776
122.  GS MBS 0000074777 - 75067
123.  GS MBS 0000075068 - 75325
124.  GS MBS 0000075326 - 75559
125.  GS MBS 0000075560 - 75909
126.  GS MBS 0000075910 - 76206

127.  GS MBS 0000076207 - 76478
128.  GS MBS 0000076479 - 76743
129.  GS MBS 0000076744 - 77047
130.  GS MBS 0000077048 - 77298
131.  GS MBS 0000077299 - 77659
132.  GS MBS 0000077660 - 78105
133.  GS MBS 0000078106 - 78512
134.  GS MBS 0000078513 - 78901
135.  GS MBS 0000078902 - 79328
136.  GS MBS 0000079329 - 79715
137.  GS MBS 0000079716 - 80059
138.  GS MBS 0000080060 - 80461
139.  GS MBS 0000080462 - 80878
140.  GS MBS 0000080879 - 81295
141.  GS MBS 0000081296 - 81605
142.  GS MBS 0000081606 - 81607
143.  GS MBS 0000081608 - 81972
144.  GS MBS 0000081973 - 82311
145.  GS MBS 0000082312 - 82717
146.  GS MBS 0000082718 - 83084
147.  GS MBS 0000083085 - 83437
148.  GS MBS 0000083438 - 83854
149.  GS MBS 0000083855 - 84117
150.  GS MBS 0000084118 - 84542
151.  GS MBS 0000084543 - 84966
152.  GS MBS 0000084967 - 85153
153.  GS MBS 0000085154 - 85543
154.  GS MBS 0000085544 - 85884
155.  GS MBS 0000085885 - 86025
156.  GS MBS 0000086026 - 86197
157.  GS MBS 0000086198 - 86372
158.  GS MBS 0000086373 - 86685
159.  GS MBS 0000086686 - 86979
























































v.  2010-09-01 Production- Disk # 590

1.  GS-MBS-E 021817224-576
2.  GSMBS-E-021817225
3.  GSMBS-E-021817228
4.  GSMBS-E-021817231
5.  GSMBS-E-021817234
6.  GSMBS-E-021817237
7.  GSMBS-E-021817240
8.  GSMBS-E-021817243
9.  GSMBS-E-021817246
10.  GSMBS-E-021817249
11.  GSMBS-E-021817252
12.  GSMBS-E-021817255
13.  GSMBS-E-021817258
14.  GSMBS-E-021817261
15.  GSMBS-E-021817264
16.  GSMBS-E-021817267
17.  GSMBS-E-021817270
18.  GSMBS-E-021817273
19.  GSMBS-E-021817276
20.  GSMBS-E-021817279
21.  GSMBS-E-021817282



























































22.  GSMBS-E-021817285
23.  GSMBS-E-021817288
24.  GSMBS-E-021817291
25.  GSMBS-E-021817294
26.  GSMBS-E-021817297
27.  GSMBS-E-021817300
28.  GSMBS-E-021817303
29.  GSMBS-E-021817306
30.  GSMBS-E-021817309
31.  GSMBS-E-021817312
32.  GSMBS-E-021817315
33.  GSMBS-E-021817318
34.  GSMBS-E-021817321
35.  GSMBS-E-021817324
36.  GSMBS-E-021817327
37.  GSMBS-E-021817330
38.  GSMBS-E-021817333
39.  GSMBS-E-021817336
40.  GSMBS-E-021817339
41.  GSMBS-E-021817342
42.  GSMBS-E-021817345
43.  GSMBS-E-021817348
44.  GSMBS-E-021817351
45.  GSMBS-E-021817354
46.  GSMBS-E-021817357
47.  GSMBS-E-021817360
48.  GSMBS-E-021817363
49.  GSMBS-E-021817366
50.  GSMBS-E-021817369
51.  GSMBS-E-021817372
52.  GSMBS-E-021817375
53.  GSMBS-E-021817378
54.  GSMBS-E-021817381
55.  GSMBS-E-021817384
56.  GSMBS-E-021817387
57.  GSMBS-E-021817390
58.  GSMBS-E-021817393
59.  GSMBS-E-021817396
60.  GSMBS-E-021817399
61.  GSMBS-E-021817402
62.  GSMBS-E-021817405
63.  GSMBS-E-021817408
64.  GSMBS-E-021817411
65.  GSMBS-E-021817414
66.  GSMBS-E-021817417
67.  GSMBS-E-021817420
68.  GSMBS-E-021817423
69.  GSMBS-E-021817426
70.  GSMBS-E-021817429
71.  GSMBS-E-021817432
72.  GSMBS-E-021817435
73.  GSMBS-E-021817438
74.  GSMBS-E-021817441
75.  GSMBS-E-021817444
76.  GSMBS-E-021817447


























































77.  GSMBS-E-021817450
78.  GSMBS-E-021817453
79.  GSMBS-E-021817456
80.  GSMBS-E-021817459
81.  GSMBS-E-021817462
82.  GSMBS-E-021817465
83.  GSMBS-E-021817468
84.  GSMBS-E-021817471
85.  GSMBS-E-021817474
86.  GSMBS-E-021817477
87.  GSMBS-E-021817480
88.  GSMBS-E-021817483
89.  GSMBS-E-021817486
90.  GSMBS-E-021817489
91.  GSMBS-E-021817492
92.  GSMBS-E-021817495
93.  GSMBS-E-021817498
94.  GSMBS-E-021817501
95.  GSMBS-E-021817504
96.  GSMBS-E-021817507
97.  GSMBS-E-021817510
98.  GSMBS-E-021817513
99.  GSMBS-E-021817516
100.  GSMBS-E-021817519
101.  GSMBS-E-021817522
102.  GSMBS-E-021817525
103.  GSMBS-E-021817528
104.  GSMBS-E-021817531
105.  GSMBS-E-021817534
106.  GSMBS-E-021817537
107.  GSMBS-E-021817540
108.  GSMBS-E-021817543
109.  GSMBS-E-021817546
110.  GSMBS-E-021817549
111.  GSMBS-E-021817552
112.  GSMBS-E-021817555
113.  GSMBS-E-021817558
114.  GSMBS-E-021817561
115.  GSMBS-E-021817565
116.  GSMBS-E-021817568
117.  GSMBS-E-021817571
118.  GSMBS-E-021817574
- w.  2010-09-10 Production- Disk # 614
  1.  GS MBS-E-002510779
  2.  GS MBS-E-002510779-002511144
  3.  GS MBS-E-002510780
  4.  GS MBS-E-002510781
  5.  GS MBS-E-002510782
  6.  GS MBS-E-002510783
  7.  GS MBS-E-002510784
  8.  GS MBS-E-002510785
  9.  GS MBS-E-002510786
  10.  GS MBS-E-002510787
  11.  GS MBS-E-002510788
  12.  GS MBS-E-002510789
































































































13.  GS MBS-E-002510842
14.  GS MBS-E-002510861
15.  GS MBS-E-002510878
16.  GS MBS-E-002510879
17.  GS MBS-E-002510880
18.  GS MBS-E-002510881
19.  GS MBS-E-002510964
20.  GS MBS-E-002510965
21.  GS MBS-E-002510966
22.  GS MBS-E-002510967
23.  GS MBS-E-002510968
24.  GS MBS-E-002510969
25.  GS MBS-E-002510970
26.  GS MBS-E-002510971
27.  GS MBS-E-002510972
28.  GS MBS-E-002510973
29.  GS MBS-E-002510974
30.  GS MBS-E-002510975
31.  GS MBS-E-002510976
32.  GS MBS-E-002510977
33.  GS MBS-E-002510978
34.  GS MBS-E-002510979
35.  GS MBS-E-002510980
36.  GS MBS-E-002510981
37.  GS MBS-E-002510982
38.  GS MBS-E-002510983
39.  GS MBS-E-002510984
40.  GS MBS-E-002510985
41.  GS MBS-E-002510986
42.  GS MBS-E-002510987
43.  GS MBS-E-002510988
44.  GS MBS-E-002511031
45.  GS MBS-E-002511050
46.  GS MBS-E-002511051
47.  GS MBS-E-002511052
48.  GS MBS-E-002511053
49.  GS MBS-E-002511114
50.  GS MBS-E-002511115
51.  GS MBS-E-002511116
52.  GS MBS-E-002511117
53.  GS MBS-E-002511118
54.  GS MBS-E-002511119
55.  GS MBS-E-002511120
56.  GS MBS-E-002511121
57.  GS MBS-E-002511122
58.  GS MBS-E-002511123
59.  GS MBS-E-002511124
60.  GS MBS-E-002511125
61.  GS MBS-E-002511126
62.  GS MBS-E-002511127
63.  GS MBS-E-002511128
64.  GS MBS-E-002511129
65.  GS MBS-E-002511130
66.  GS MBS-E-002511131
67.  GS MBS-E-002511132

























































- 68.  GS MBS-E-002511133
- 69.  GS MBS-E-002511134
- 70.  GS MBS-E-002511135
- 71.  GS MBS-E-002511136
- 72.  GS MBS-E-002511137
- 73.  GS MBS-E-002511138
- 74.  GS MBS-E-002511139
- 75.  GS MBS-E-002511140
- 76.  GS MBS-E-002511141
- 77.  GS MBS-E-002511142
- 78.  GS MBS-E-002511143
- 79.  GS MBS-E-002511144
- x.  2010-09-30 Production- Disk # 659
  - 1.  GS MBS 0000087084
- y.  Goldman Securitizations
  - 1.  Goldman Sachs Securitizations Jan 06 to Present
- z.  2010-07-30 Prod - GS MBS 0000039106
- aa.  2010-08-03 Prod - GS MBS 0000039110 - Aggregate Net Revenues and Pre-Tax Profit and Loss (1) from Pr
- ab.  Goldman PSI Log - GS MBS 0000027891 (copy)
- ac.  GS FCIC 0001C
- ad.  GS MBS 0000038049
- ae.  GS MBS 0000038055-58 38984-89 (2)
- af.  GS MBS 0000039095
- ag.  GS MBS 0000039095 (copy)
- ah.  GS MBS 0000039096
- ai.  GS MBS 0000039112
- aj.  GS MBS 0000039113
- ak.  GS MBS 0000039114
- al.  GS MBS 0000039115
- am.  GS MBS 0000039117-39137
- an.  GS MBS 0000040066-40067
- ao.  GS MBS 0000040068
- ap.  GS MBS 0000040145 - 40185
- aq.  GS MBS 0000086980
- ar.  GS MBS 0000086981
- as.  GS MBS 0000086982
- 35.  Harding Advisory LLC
  - a.  888 Tactical Fund Final OC
  - b.  Adrastea Final OC
  - c.  Cloverie Final Series Prospectus
  - d.  Jupiter 1 Final OC
  - e.  Jupiter 2 Final OC
  - f.  Jupiter 3 Final OC
  - g.  Jupiter 4 Final OC
  - h.  Jupiter 4 Final OC Supplement
  - i.  Jupiter 5 Final OC
  - j.  Jupiter 6 Final OC
  - k.  Jupiter 7 Final OC
  - l.  Lexington 1 Final OC
  - m.  Lexington 2 Final OC
  - n.  Lexington 3 Final OC
  - o.  Lexington 3 Final OC Supplement
  - p.  Lexington 5 Final OC
  - q.  Neo Final OC
  - r.  Octans 1 Final OC
  - s.  Octans 1 Final OC Supplement

- t.  Octans 2 Final OC
- u.  Octans 3 Final OC
- v.  Octonion Final OC
- w.  Tigris Final OC Notes
- x.  Tigris Final OC Preference Shares
- y.  Tribune Final Base Prospectus
- 36.  HSBC
  - a.  2010-07-26 Production- Disk # 486
    - 1.  HBUS-FCIC-000000001-000000034
  - b.  2010-08-02 Production- Disk # 512
    - 1.  HBUS-FCIC-000000035-000000043
  - c.  2010-08-23 Production- Disk # 572
    - 1.  HBUS-FCIC-000000044-000000078
  - d.  2010-10-01 Production- Disk # 661
    - 1.  HBUS-FCIC-000000079
    - 2.  HBUS-FCIC-000000080
- 37.  ING
  - a.  2010-10-14 Production
    - 1.  ING CLOs - Interrogatory 1
    - 2.  ING CSO Listing
  - b.  2010-10-26 Production
    - 1.  Ghent CDOs
- 38.  ISDA
  - a.  2010-02-19 ISDA Docs ISDA00001-00003
  - b.  Position Definitions and Layout
  - c.  Transaction Definitions and Layout
- 39.  JPMorgan Chase
  - a.  2010-02-24 Production
    - 1.  Response to Request 2
  - b.  2010-04-24 Production
    - 1.  00000690
    - 2.  BSC-FCIC-00000690-00000905
  - c.  2010-06-30 Production Disk #431
    - 1.  Bear Stearns June 30 FCIC Production
    - 2.  JPMorgan June 30 FCIC Production
  - d.  2010-07-28 Production
    - 1.  Disk # 492
      - a.  JPM-FCIC 00000661-00000860
      - b.  JPM-FCIC 00000861-00001060
      - c.  JPM-FCIC 00001061-00001260
      - d.  JPM-FCIC 00001261-00001460
      - e.  JPM-FCIC 00001461-00001754
    - 2.  Disk # 493
      - a.  BSC-FCIC-c00000001-00000489
      - b.  BSC-FCIC-c00000269
      - c.  BSC-FCIC-c00000271
      - d.  BSC-FCIC-c00000276
      - e.  BSC-FCIC-c00000281
      - f.  BSC-FCIC-c00000286
      - g.  BSC-FCIC-c00000293
      - h.  BSC-FCIC-c00000319
      - i.  BSC-FCIC-c00000345
      - j.  BSC-FCIC-c00000371
      - k.  BSC-FCIC-c00000396
      - l.  BSC-FCIC-c00000421
      - m.  BSC-FCIC-c00000464
      - n.  BSC-FCIC-c00000471
  - e.  2010-08-18 Production- Disk # 559

1.  JPM-FCIC 00001755
2.  JPM-FCIC 00001757
3.  JPM-FCIC 00001758
4.  JPM-FCIC-00001755-00001777
- f.  2010-08-24 Production- Disk # 575
  1.  JPM-FCIC 00001778
  2.  JPM-FCIC 00001793
  3.  JPM-FCIC-00001778-00001800
- g.  2010-09-27 Production- Disk # 650
  1.  JPM-FCIC 00001814-00002291
  2.  JPM-FCIC 00002292-00002759
- h.  2010-09-27 Production Disk # 651
  1.  BSAM-FCIC-00000001
  2.  BSAMFCIC-e00000001
- i.  2010-09-28 Production- Disk # 652
  1.  JPM-FCIC 00002761-00003260
  2.  JPM-FCIC 00003261-00003760
  3.  JPM-FCIC 00003761-00004260
  4.  JPM-FCIC 00004261-00004760
  5.  JPM-FCIC 00004761-00005260
  6.  JPM-FCIC 00005261-00005780
- j.  2010-10-06 Production- Disk # 671
  1.  JPM-FCIC 00005781
  2.  JPM-FCIC 00005782
  3.  JPM-FCIC 00005783
  4.  JPM-FCIC 00005784
  5.  JPM-FCIC 00005785
  6.  JPM-FCIC 00005786
  7.  JPM-FCIC 00005787
- k.  2010-10-12 Production
  1.  JPM-FCIC 00005814
  2.  JPM-FCIC 00005815
  3.  JPM-FCIC 00005816
- l.  2010-10-13 Production- Disk # 697
  1.  Interrogatory 13
    - a.  BSAMFCIC 00001050
  2.  Request 1
    - a.  BSAM-FCIC 00000007-00000467
    - b.  BSAM-FCIC 00000468-00000986
  3.  Request 2
    - a.  BSAMFCIC 00000987\_HG, 11-03
    - b.  BSAMFCIC 00000988\_HG, 12-03
    - c.  BSAMFCIC 00000989\_HG, 01-04
    - d.  BSAMFCIC 00000990\_HG, 02-04
    - e.  BSAMFCIC 00000991\_HG, 03-04
    - f.  BSAMFCIC 00000992\_HG, 04-04
    - g.  BSAMFCIC 00000993\_HG, 05-04
    - h.  BSAMFCIC 00000994\_HG, 06-04
    - i.  BSAMFCIC 00000995\_HG, 07-04
    - j.  BSAMFCIC 00000996\_HG, 08-04
    - k.  BSAMFCIC 00000997\_HG, 09-04
    - l.  BSAMFCIC 00000998\_HG, 10-04
    - m.  BSAMFCIC 00000999\_HG, 11-04
    - n.  BSAMFCIC 00001000\_HG, 12-04
    - o.  BSAMFCIC 00001001\_HG, 01-05
    - p.  BSAMFCIC 00001002\_HG, 02-05
    - q.  BSAMFCIC 00001003\_HG, 03-05

- r.  BSAMFCIC 00001004\_HG, 04-05
- s.  BSAMFCIC 00001005\_HG, 05-05
- t.  BSAMFCIC 00001006\_HG, 06-05
- u.  BSAMFCIC 00001007\_HG, 07-05
- v.  BSAMFCIC 00001008\_HG, 08-05
- w.  BSAMFCIC 00001009\_HG, 09-05
- x.  BSAMFCIC 00001010\_HG, 10-05
- y.  BSAMFCIC 00001011\_HG, 11-05
- z.  BSAMFCIC 00001012\_HG, 12-05
- aa.  BSAMFCIC 00001013\_EL, 08-06
- ab.  BSAMFCIC 00001014\_EL, 09-06
- ac.  BSAMFCIC 00001015\_EL, 10-06
- ad.  BSAMFCIC 00001016\_EL, 11-06
- ae.  BSAMFCIC 00001017\_EL, 12-06
- af.  BSAMFCIC 00001018\_EL, 01-07
- ag.  BSAMFCIC 00001019\_EL, 02-07
- ah.  BSAMFCIC 00001020\_EL, 03-07
- ai.  BSAMFCIC 00001021\_EL, 04-07
- aj.  BSAMFCIC 00001022\_EL, 05-07
- ak.  BSAMFCIC 00001023\_EL, 06-07
- al.  BSAMFCIC 00001024\_HG, 01-06
- am.  BSAMFCIC 00001025\_HG, 02-06
- an.  BSAMFCIC 00001026\_HG, 03-06
- ao.  BSAMFCIC 00001027\_HG, 04-06
- ap.  BSAMFCIC 00001028\_HG, 05-06
- aq.  BSAMFCIC 00001029\_HG, 06-06
- ar.  BSAMFCIC 00001030\_HG, 07-06
- as.  BSAMFCIC 00001031\_HG, 08-06
- at.  BSAMFCIC 00001032\_HG, 09-06
- au.  BSAMFCIC 00001033\_HG, 10-06
- av.  BSAMFCIC 00001034\_HG, 11-06
- aw.  BSAMFCIC 00001035\_HG, 12-06
- ax.  BSAMFCIC 00001036\_HG, 01-07
- ay.  BSAMFCIC 00001037\_HG, 02-07
- az.  BSAMFCIC 00001038\_HG, 03-07
- ba.  BSAMFCIC 00001039\_HG, 04-07
- bb.  BSAMFCIC 00001040\_HG, 05-07
- bc.  BSAMFCIC 00001041\_HG, 06-07
- 4.  Request 4
  - a.  BSAM-FCIC 00001042-00001049
- m.  2010-10-14 Production- Disk # 698
  - 1.  JPM-FCIC 00005788
  - 2.  JPM-FCIC 00005789
  - 3.  JPM-FCIC 00005790
  - 4.  JPM-FCIC 00005791
  - 5.  JPM-FCIC 00005792
  - 6.  JPM-FCIC 00005793
  - 7.  JPM-FCIC 00005794-00005797
  - 8.  JPM-FCIC 00005796
  - 9.  JPM-FCIC 00005798
  - 10.  JPM-FCIC 00005799
  - 11.  JPM-FCIC 00005800
  - 12.  JPM-FCIC 00005801
  - 13.  JPM-FCIC 00005802
  - 14.  JPM-FCIC 00005803
  - 15.  JPM-FCIC 00005804

- 16.  JPM-FCIC 00005805
  - 17.  JPM-FCIC 00005806
  - 18.  JPM-FCIC 00005807
  - 19.  JPM-FCIC 00005808
  - 20.  JPM-FCIC 00005809
  - 21.  JPM-FCIC 00005810
  - 22.  JPM-FCIC 00005811
  - 23.  JPM-FCIC 00005812
  - 24.  JPM-FCIC 00005813
  - n.  2010-10-20 Production- Disk # 709
    - 1.  BSAM-FCIC 00001051-00001368
    - 2.  BSAM-FCIC 00001369-00001642
    - 3.  BSAM-FCIC 00001643-00002001
  - o.  2010-10-27 Production- Disk # 725
    - 1.  JPM-FCIC 00005817
    - 2.  JPM-FCIC 00005818
    - 3.  JPM-FCIC 00005819
    - 4.  JPM-FCIC 00005820
    - 5.  JPM-FCIC 00005821-00005824
    - 6.  JPM-FCIC 00005825-00005844
    - 7.  JPM-FCIC 00005848
    - 8.  JPM-FCIC 00005849-00005852
    - 9.  JPM-FCIC 00005853
    - 10.  JPM-FCIC 00005854-00005859
    - 11.  JPM-FCIC 00005860
    - 12.  JPM-FCIC 00005861
    - 13.  JPM-FCIC 00005862
    - 14.  JPM-FCIC 00005863
    - 15.  JPM-FCIC 00005864-00005867
    - 16.  JPM-FCIC 00005868
    - 17.  JPM-FCIC 00005869
    - 18.  JPM-FCIC 00005870-00005874
    - 19.  JPM-FCIC 00005875
    - 20.  JPM-FCIC 00005876-00005897
    - 21.  JPM-FCIC 00005898
    - 22.  JPM-FCIC 00005899
    - 23.  JPM-FCIC 00005900
    - 24.  JPM-FCIC 00005901
    - 25.  JPM-FCIC 00005902
    - 26.  JPM-FCIC 00005903
    - 27.  JPM-FCIC 00005904
    - 28.  JPM-FCIC 00005905-00005909
    - 29.  JPM-FCIC 00005910-00005914
    - 30.  JPM-FCIC 00005915
  - p.  2010-10-29 Production- Disk # 728
    - 1.  BSAMFCIC00002002
  - q.  2010-11-16 Production- Disk # 739
    - 1.  JPM-FCIC00005916
  - r.  2009-12-22 FRBNY Taks Force on Triparty Repo Infrastructure Progress Report
  - s.  2010-02-23 JPM Response to 2-9 Doc Request 2
  - t.  2010-05-19 JPM (B Karp) Ltr to G Cohen re Doc Request
  - u.  2010-05-20 B Karp (JPM) ltr to G Cohen re doc request
  - v.  JPM-FCIC 00000323
  - w.  JPM-FCIC 00000330
  - x.  JPM-FCIC 00000334
40.  Kolchinsky

- a.  3Q Market Coverage-CDO
  - b.  RE 3Q Market Coverage-CDO
  - c.  RE 3Q Market Coverage-CDO
  - d.  Re 3Q Market Coverage-CDO
  - e.  RE 3Q Market Coverage-CDO
41.  Lehman Brothers
- a.  2010-07-30 Production
    - 1.  Disk # 509
      - a.  Natives
        - 1.  LBHI\_FCIC\_0128870
        - 2.  LBHI\_FCIC\_0129080
        - 3.  LBHI\_FCIC\_0129260
        - 4.  LBHI\_FCIC\_0129448
        - 5.  LBHI\_FCIC\_0129646
        - 6.  LBHI\_FCIC\_0129848
        - 7.  LBHI\_FCIC\_0130043
        - 8.  LBHI\_FCIC\_0130088
        - 9.  LBHI\_FCIC\_0130287
        - 10.  LBHI\_FCIC\_0130480
        - 11.  LBHI\_FCIC\_0130682
        - 12.  LBHI\_FCIC\_0130898
        - 13.  LBHI\_FCIC\_0131101
        - 14.  LBHI\_FCIC\_0131280
        - 15.  LBHI\_FCIC\_0131445
        - 16.  LBHI\_FCIC\_0131660
        - 17.  LBHI\_FCIC\_0131842
        - 18.  LBHI\_FCIC\_0132038
        - 19.  LBHI\_FCIC\_0132231
        - 20.  LBHI\_FCIC\_0132437
        - 21.  LBHI\_FCIC\_0132465
        - 22.  LBHI\_FCIC\_0132476
        - 23.  LBHI\_FCIC\_0132681
        - 24.  LBHI\_FCIC\_0132896
        - 25.  LBHI\_FCIC\_0132949
        - 26.  LBHI\_FCIC\_0133119
        - 27.  LBHI\_FCIC\_0133324
      - b.  LBHI\_FCIC\_0128733-0129058
      - c.  LBHI\_FCIC\_0129059-0129428
      - d.  LBHI\_FCIC\_0129429-0129845
      - e.  LBHI\_FCIC\_0129846-0130282
      - f.  LBHI\_FCIC\_0130283-0130679
      - g.  LBHI\_FCIC\_0130680-0131099
      - h.  LBHI\_FCIC\_0131100-0131442
      - i.  LBHI\_FCIC\_0131443-0131840
      - j.  LBHI\_FCIC\_0131841-0132223
      - k.  LBHI\_FCIC\_0132224-0132473
      - l.  LBHI\_FCIC\_0132474-0132871
      - m.  LBHI\_FCIC\_0132872-0133321
      - n.  LBHI\_FCIC\_0133322-0133572
      - o.  LBHI\_FCIC\_0133573-0133883
      - p.  LBHI\_FCIC\_0133884-0134146
      - q.  LBHI\_FCIC\_0134147-0134396
      - r.  LBHI\_FCIC\_0134397-0134406
    - 2.  Disk # 510
      - a.  2006 Bonus - Top 100 Total Comp - REV 10\_13\_2009
      - b.  2007 Bonus - Top 100 Total Comp - REV 10\_13\_2009
























































b. 2010-08-09 Production
























































1. Disk # 531


























































a. Native Files






















































1. LBHI\_FCIC\_0256583
2. LBHI\_FCIC\_0256591
3. LBHI\_FCIC\_0256595
4. LBHI\_FCIC\_0256608
5. LBHI\_FCIC\_0256630
6. LBHI\_FCIC\_0256646
7. LBHI\_FCIC\_0256659
8. LBHI\_FCIC\_0256721
9. LBHI\_FCIC\_0256727
10. LBHI\_FCIC\_0256748
11. LBHI\_FCIC\_0256754
12. LBHI\_FCIC\_0256760
13. LBHI\_FCIC\_0256795
14. LBHI\_FCIC\_0256820
15. LBHI\_FCIC\_0256822
16. LBHI\_FCIC\_0256834
17. LBHI\_FCIC\_0256835
18. LBHI\_FCIC\_0256885
19. LBHI\_FCIC\_0256888
20. LBHI\_FCIC\_0256902
21. LBHI\_FCIC\_0256905
22. LBHI\_FCIC\_0256947
23. LBHI\_FCIC\_0256958
24. LBHI\_FCIC\_0256961
25. LBHI\_FCIC\_0256986
26. LBHI\_FCIC\_0257039
27. LBHI\_FCIC\_0257042
28. LBHI\_FCIC\_0257058
29. LBHI\_FCIC\_0257086
30. LBHI\_FCIC\_0257087
31. LBHI\_FCIC\_0257108
32. LBHI\_FCIC\_0257116
33. LBHI\_FCIC\_0257135
34. LBHI\_FCIC\_0257155
35. LBHI\_FCIC\_0257175
36. LBHI\_FCIC\_0257202
37. LBHI\_FCIC\_0257280
38. LBHI\_FCIC\_0257338
39. LBHI\_FCIC\_0257368
40. LBHI\_FCIC\_0257372
41. LBHI\_FCIC\_0257442
42. LBHI\_FCIC\_0257446
43. LBHI\_FCIC\_0257485
44. LBHI\_FCIC\_0257600
45. LBHI\_FCIC\_0257604
46. LBHI\_FCIC\_0257608
47. LBHI\_FCIC\_0257673
48. LBHI\_FCIC\_0257726
49. LBHI\_FCIC\_0257729
50. LBHI\_FCIC\_0257758
51. LBHI\_FCIC\_0257814
52. LBHI\_FCIC\_0257839
53. LBHI\_FCIC\_0257841





















































































































54.  LBHI\_FCIC\_0257853
55.  LBHI\_FCIC\_0257854
56.  LBHI\_FCIC\_0257865
57.  LBHI\_FCIC\_0257875
58.  LBHI\_FCIC\_0257879
59.  LBHI\_FCIC\_0257929
60.  LBHI\_FCIC\_0257935
61.  LBHI\_FCIC\_0257940
62.  LBHI\_FCIC\_0257946
63.  LBHI\_FCIC\_0257956
64.  LBHI\_FCIC\_0257964
65.  LBHI\_FCIC\_0257967
66.  LBHI\_FCIC\_0257981
67.  LBHI\_FCIC\_0258013
68.  LBHI\_FCIC\_0258016
69.  LBHI\_FCIC\_0258030
70.  LBHI\_FCIC\_0258036
71.  LBHI\_FCIC\_0258040
72.  LBHI\_FCIC\_0258047
73.  LBHI\_FCIC\_0258066
74.  LBHI\_FCIC\_0258067
75.  LBHI\_FCIC\_0258113
76.  LBHI\_FCIC\_0258130
77.  LBHI\_FCIC\_0258133
78.  LBHI\_FCIC\_0258183
79.  LBHI\_FCIC\_0258195
80.  LBHI\_FCIC\_0258208
81.  LBHI\_FCIC\_0258230
82.  LBHI\_FCIC\_0258246
83.  LBHI\_FCIC\_0258259
84.  LBHI\_FCIC\_0258274
85.  LBHI\_FCIC\_0258382
86.  LBHI\_FCIC\_0258386
87.  LBHI\_FCIC\_0258390
88.  LBHI\_FCIC\_0258477
89.  LBHI\_FCIC\_0258491
90.  LBHI\_FCIC\_0258516
91.  LBHI\_FCIC\_0258526
92.  LBHI\_FCIC\_0258543
93.  LBHI\_FCIC\_0258554
94.  LBHI\_FCIC\_0258567
95.  LBHI\_FCIC\_0258588
96.  LBHI\_FCIC\_0258604
97.  LBHI\_FCIC\_0258617
98.  LBHI\_FCIC\_0258643
99.  LBHI\_FCIC\_0258657
100.  LBHI\_FCIC\_0258671
101.  LBHI\_FCIC\_0258736
102.  LBHI\_FCIC\_0258739
103.  LBHI\_FCIC\_0258748
104.  LBHI\_FCIC\_0258778
105.  LBHI\_FCIC\_0258795
106.  LBHI\_FCIC\_0258815
107.  LBHI\_FCIC\_0258827
108.  LBHI\_FCIC\_0258840

























































109.  LBHI\_FCIC\_0258863
110.  LBHI\_FCIC\_0258876
111.  LBHI\_FCIC\_0258896
112.  LBHI\_FCIC\_0258909
113.  LBHI\_FCIC\_0258925
114.  LBHI\_FCIC\_0258952
115.  LBHI\_FCIC\_0258981
116.  LBHI\_FCIC\_0258994
117.  LBHI\_FCIC\_0259008
118.  LBHI\_FCIC\_0259026
119.  LBHI\_FCIC\_0259028
120.  LBHI\_FCIC\_0259039
121.  LBHI\_FCIC\_0259056
122.  LBHI\_FCIC\_0259071
123.  LBHI\_FCIC\_0259073
124.  LBHI\_FCIC\_0259082
125.  LBHI\_FCIC\_0259113
126.  LBHI\_FCIC\_0259129
127.  LBHI\_FCIC\_0259158
128.  LBHI\_FCIC\_0259331
129.  LBHI\_FCIC\_0259359
130.  LBHI\_FCIC\_0259394
131.  LBHI\_FCIC\_0259415
132.  LBHI\_FCIC\_0259437
133.  LBHI\_FCIC\_0259472
134.  LBHI\_FCIC\_0259474
135.  LBHI\_FCIC\_0259482
136.  LBHI\_FCIC\_0259499
137.  LBHI\_FCIC\_0259514
138.  LBHI\_FCIC\_0259516
139.  LBHI\_FCIC\_0259524
140.  LBHI\_FCIC\_0259532
141.  LBHI\_FCIC\_0259549
142.  LBHI\_FCIC\_0259564
143.  LBHI\_FCIC\_0259566
144.  LBHI\_FCIC\_0259584
145.  LBHI\_FCIC\_0259600
146.  LBHI\_FCIC\_0259668
147.  LBHI\_FCIC\_0259809
148.  LBHI\_FCIC\_0259828
149.  LBHI\_FCIC\_0259860
150.  LBHI\_FCIC\_0259871
151.  LBHI\_FCIC\_0259885
152.  LBHI\_FCIC\_0259898
- b.  LBHI\_FCIC\_0256581-0256833
- c.  LBHI\_FCIC\_0256834-0257083
- d.  LBHI\_FCIC\_0257084-0257333
- e.  LBHI\_FCIC\_0257334-0257585
- f.  LBHI\_FCIC\_0257586-0257838
- g.  LBHI\_FCIC\_0257839-0258088
- h.  LBHI\_FCIC\_0258089-0258341
- i.  LBHI\_FCIC\_0258342-0258598
- j.  LBHI\_FCIC\_0258599-0258850
- k.  LBHI\_FCIC\_0258851-0259103
- l.  LBHI\_FCIC\_0259104-0259358
























































- m.  LBHI\_FCIC\_0259359-0259614
    - n.  LBHI\_FCIC\_0259615-0259864
    - o.  LBHI\_FCIC\_0259865-0259945
  - 2.  Disk # 532
    - a.  LBHI\_FCIC\_0259946-0260270
    - b.  LBHI\_FCIC\_0260271-0260615
    - c.  LBHI\_FCIC\_0260616-0260912
    - d.  LBHI\_FCIC\_0260913-0261187
    - e.  LBHI\_FCIC\_0261188-0261446
    - f.  LBHI\_FCIC\_0261447-0261809
    - g.  LBHI\_FCIC\_0261810-0262112
    - h.  LBHI\_FCIC\_0262113-0262380
    - i.  LBHI\_FCIC\_0262381-0262514
  - c.  2010-08-18 Production -Disk # 558
    - 1.  LBHI\_FCIC\_0262515
    - 2.  LBHI\_FCIC\_0262516
    - 3.  LBHI\_FCIC\_0262517
    - 4.  LBHI\_FCIC\_0262518
    - 5.  LBHI\_FCIC\_0262519
    - 6.  LBHI\_FCIC\_0262520
    - 7.  LBHI\_FCIC\_0262521
  - d.  2010-10-27 Production- Disk # 726
    - 1.  LBHI\_FCIC\_1085236
    - 2.  LBHI\_FCIC\_1085237
    - 3.  LBHI\_FCIC\_1085238
    - 4.  LBHI\_FCIC\_1085239
- 42.  LexisNexis
  - a.  2010-04-07
    - 1.  MBA2ndCaseRpt
    - 2.  MBA2ndCaseRpt (bob's changes)
    - 3.  MBA2ndCaseRpt wMD
    - 4.  MBA8thCaseRpt 4 17 06
    - 5.  MBA8thCaseRpt with cover letter
    - 6.  mbarpt2
    - 7.  MIDEX Agreement for Services 2009 01 21 FINAL (2)
    - 8.  MIDEX Policy Procedures Guide
  - b.  List of Subscribers
  - c.  Sample MARI Reports
- 43.  Magnetar
  - a.  2010-05-20 Production Disk #310
    - 1.  Magnetar-FCIC-000001
  - b.  2010-05-27 Production Disk #338
    - 1.  Magnetar-FCIC-000183
    - 2.  Magnetar-FCIC-000317
    - 3.  Magnetar-FCIC-000446
    - 4.  Magnetar-FCIC-000683
    - 5.  Magnetar-FCIC-001302
    - 6.  Magnetar-FCIC-003545
    - 7.  Magnetar-FCIC-003559
  - c.  2010-06-04 Production Disk #
    - 1.  Magnetar-FCIC-003629
    - 2.  Magnetar-FCIC-004729
    - 3.  Magnetar-FCIC-005988
  - d.  2010-06-11 Production Disk # 379
    - 1.  MAGNETAR-FCIC-006739-7122
    - 2.  MAGNETAR-FCIC-007123-7557
    - 3.  MAGNETAR-FCIC-007558-7813

4.  MAGNETAR-FCIC-007814-8241
  5.  MAGNETAR-FCIC-008242-8496
  6.  MAGNETAR-FCIC-008497-8754
  7.  MAGNETAR-FCIC-008755-9243
  8.  MAGNETAR-FCIC-009244-9360
  - e.  2010-07-07 Production
    1.  Magnetar-FCIC-040981 to 041339
    2.  Magnetar-FCIC-041340 to 041829
    3.  Magnetar-FCIC-041830 to 042301
    4.  Magnetar-FCIC-042302 to 042787
    5.  Magnetar-FCIC-042788 to 043271
    6.  Magnetar-FCIC-043272 to 044206
    7.  Magnetar-FCIC-044207 to 45159
    8.  Magnetar-FCIC-045160 to 046128
    9.  Magnetar-FCIC-046129 to 046628
    10.  Magnetar-FCIC-046629 to 047569
    11.  Magnetar-FCIC-047570 to 048544
    12.  Magnetar-FCIC-048545 to 048620
  - f.  2010-08-26 Production Disk #576
    1.  Magnetar-FCIC-068699
  - g.  2010-09-17 Production Disk #629
    1.  MAGNETAR-FCIC-068953
    2.  MAGNETAR-FCIC-069094
  - h.  2010-10-22 Production- Disk # 712
    1.  MAGNETAR-FCIC-069096
44.  Markit
- a.  2010-05-14 Production
    1.  CONFIDENTIAL ABX.HE History 5-14-10
    2.  CONFIDENTIAL TABX.HE history 5-14-10
  - b.  2010-05-27 Production
    1.  CONFIDENTIAL CDX History 2004 - 2008
    2.  CONFIDENTIAL CDX History 2009
    3.  CONFIDENTIAL CDX History 2010
    4.  CONFIDENTIAL CMBX History 20100527
    5.  CONFIDENTIAL iBoxx \$ Overall
    6.  CONFIDENTIAL iBoxx £ Overall
    7.  CONFIDENTIAL iBoxx Asia
    8.  CONFIDENTIAL iBoxx EUR High Yield
    9.  CONFIDENTIAL iBoxx Euro Overall
    10.  CONFIDENTIAL iBoxx GEMX Global USD
    11.  CONFIDENTIAL iBoxx indices list - 20100524
    12.  CONFIDENTIAL iBoxx Sovereign Japan local currency
    13.  CONFIDENTIAL iBoxx Sterling Inflation-Linked Index
    14.  CONFIDENTIAL iBoxx TIPS Inflation-Linked Index
    15.  CONFIDENTIAL iTraxx Asia History - Full
    16.  CONFIDENTIAL iTraxx Europe History - Full
    17.  CONFIDENTIAL LCDX History
    18.  CONFIDENTIAL Markit iBoxx USD Leveraged Loans Index
  - c.  2010-06-10 Production
    1.  CONFIDENTIAL.Historical Liquidity Metrics
  - d.  2010-05-19 G Cohen confi ltr to Markit
  - e.  2010-05-20 Markit (A Kansler) to G Cohen re Doc request and Confidentiality letter
45.  Merrill Lynch
- a.  2010-07-27
    1.  3(b) BAC-ML-CDO-000000001
    2.  3(d) BAC-FCIC-0000057324 - 26
  - b.  2010-08-13 Production- Disk # 541























































- 1.  BAC-FCIC-0000071582-0000071600
- c.  2010-08-18 Production -Disk # 557
  - 1.  BAC-FCIC-0000071601-0000071745
- d.  2010-08-23 Production- Disk # 571
  - 1.  BAC-FCIC-0000071746-0000071896
- e.  2010-08-27 Production- Disk # 581
  - 1.  BAC-FCIC-0000071897-0000072009
- f.  2010-08-30 Production- Disk # 588
  - 1.  BAC-FCIC-0000072105-0000072237
- g.  2010-09-02 Production- Disk # 594
  - 1.  BAC-FCIC-0000078444-0000078937
- h.  2010-09-03 Production
  - 1.  Disk # 599
    - a.  BAC-FCIC-0000078938
    - b.  BAC-FCIC-0000078941
    - c.  BAC-FCIC-0000078955
  - 2.  Disk # 600
    - a.  BAC-ML-CDO-000098763-000099009
- i.  2010-09-06 Production
  - 1.  BAC-FCIC-E-0000891844 to 0000892435
  - 2.  BAC-FCIC-E-0000892436 to 0000893076
  - 3.  BAC-FCIC-E-0000893077 to 0000893636
  - 4.  BAC-FCIC-E-0000893637 to 0000894213
  - 5.  BAC-FCIC-E-0000894214 to 0000894984
  - 6.  BAC-FCIC-E-0000894985 to 0000895755
  - 7.  BAC-FCIC-E-0000895756 to 0000896320
  - 8.  BAC-FCIC-E-0000896321 to 0000897008
- j.  2010-09-07 Production- Disk # 605
  - 1.  BAC-FCIC-E-0000078959-0000079081
- k.  2010-09-10 Production
  - 1.  BAC-FCIC-E-0000897009 to 0000897759
- l.  2010-09-14 Production- Disk # 617
  - 1.  BAC-FCIC-0000079082-0000079237
- m.  2010-09-15 Production- Disk # 622
  - 1.  BAC-FCIC-0000079242
  - 2.  BAC-FCIC-0000079243
  - 3.  BAC-FCIC-0000079244
- n.  2010-09-20 Production- Disk # 633
  - 1.  BAC-FCIC-0000080356-0000080556
- o.  Disk #345
  - 1.  BAC-ML-CDO-000076360
  - 2.  BAC-ML-CDO-000076786
- p.  Disk #359
  - 1.  BAC-ML-CDO-000077277
  - 2.  BAC-ML-CDO-000079474
  - 3.  BAC-ML-CDO-000079476
- q.  Disk #393
  - 1.  BAC-ML-CDO-000079477
- r.  Disk #427
  - 1.  BAC-ML-CDO-000079717
- s.  Disk #435
  - 1.  BAC-ML-CDO-000079724
- 46.  MGIC
  - a.  2010-10-27 Production
    - 1.  2Q10-Radian-Earnings-Presentation-Rescission-Rates
    - 2.  FCIC 10-27-10
  - b.  Production 2010-10-19
    - 1.  MGIC 2010-10-19















































- c.  2010-10-19 MGIC (J Lane) Response to PMI requests
- 47.  MKP
  - a.  2010-06-15 Production- Disk # 386
    - 1.  Item 12
      - a.  CBO VI
        - 1.  Credit Suisse
      - b.  VELA
        - 1.  Letter to BoNY 3.03.08 re MKP Vela
        - 2.  MKP Response to Trustee
    - 2.  Item 2
      - a.  AIS WATERFALLS
        - 1.  CBO I
          - a.  2003
            - 1.  MKP CBO I Waterfall 01-31-03
            - 2.  MKP CBO I Waterfall 02-26-03 Updated CFs
            - 3.  MKP CBO I Waterfall 03-04-03 Updated CFs
            - 4.  MKP CBO I Waterfall 04-30-03 GT Stress
            - 5.  MKP CBO I Waterfall 05-29-03
            - 6.  MKP CBO I Waterfall 06-03-03 Best
            - 7.  MKP CBO I Waterfall 07-07-03
            - 8.  MKP CBO I Waterfall 08-19-03
            - 9.  MKP CBO I Waterfall 09-23-03
            - 10.  MKP CBO I Waterfall 10-02-03
            - 11.  MKP CBO I Waterfall 11-05-03
            - 12.  MKP CBO I Waterfall 12-03-03
          - b.  2004
            - 1.  MKP CBO I Waterfall 01-20-04 Post LIFT Trades
            - 2.  MKP CBO I Waterfall 02-10-04
            - 3.  MKP CBO I Waterfall 03-02-04
            - 4.  MKP CBO I Waterfall 05-05-04
            - 5.  MKP CBO I Waterfall 06-09-04
            - 6.  MKP CBO I Waterfall 07-21-04 Update LIBOR + Remit
            - 7.  MKP CBO I Waterfall 08-25-04 Remit + Updated Aircraft
            - 8.  MKP CBO I Waterfall 09-07-04 Updated LIBOR + Misc. for Newsletter
            - 9.  MKP CBO I Waterfall 10-20-04 Updated LIBOR
            - 10.  MKP CBO I Waterfall 11-29-04 Updated LIBOR
            - 11.  MKP CBO I Waterfall 12-29-04 Updated LIBOR
          - c.  2005
            - 1.  MKP CBO I Waterfall 01-18-05 Updated LIBOR
            - 2.  MKP CBO I Waterfall 02-23-05 LIBOR + Trustee Report
            - 3.  MKP CBO I Waterfall 03-02-05 Payment Date
            - 4.  MKP CBO I Waterfall 04-20-05 Update LIBOR
            - 5.  MKP CBO I Waterfall 06-06-05 Payment Date + LIBOR
            - 6.  MKP CBO I Waterfall 08-16-05 Monthly Report + LIBOR
            - 7.  MKP CBO I Waterfall 11-10-05 Monthly Report
            - 8.  MKP CBO I Waterfall 12-09-05 Monthly Report
          - d.  2006
            - 1.  MKP CBO I Waterfall 01-10-06 Monthly Report
            - 2.  MKP CBO I Waterfall 02-08-06 Monthly Report + Update CF
            - 3.  MKP CBO I Waterfall 03-21-06 Monthly Report
            - 4.  MKP CBO I Waterfall 06-16-06 Monthly Report
            - 5.  MKP CBO I Waterfall 08-08-06 Monthly Report
            - 6.  MKP CBO I Waterfall 10-23-06 Monthly Report
          - e.  2007
            - 1.  MKP CBO I Waterfall 01-30-07 Monthly Report
            - 2.  MKP CBO I Waterfall 02-18-07 Monthly Report
            - 3.  MKP CBO I Waterfall 03-29-07 Monthly Report
























































4.  MKP CBO I Waterfall 04-23-07 Monthly Report
5.  MKP CBO I Waterfall 05-15-07 Monthly Report
6.  MKP CBO I Waterfall 06-25-07 Monthly Report
7.  MKP CBO I Waterfall 07-30-07 Monthly Report
8.  MKP CBO I Waterfall 08-21-07 Monthly Report
9.  MKP CBO I Waterfall 09-26-07 Monthly Report
10.  MKP CBO I Waterfall 10-26-07 Monthly Report
11.  MKP CBO I Waterfall 11-26-07 Monthly Report
12.  MKP CBO I Waterfall 12-31-07 Monthly Report
- f.  2008
  1.  MKP CBO I Waterfall 1-29-08 Monthly Report
  2.  MKP CBO I Waterfall 2-28-08 Monthly Report
  3.  MKP CBO I Waterfall 3-28-08 Monthly Report
  4.  MKP CBO I Waterfall 4-29-08 Monthly Report
  5.  MKP CBO I Waterfall 9-12-08 Monthly Report
2.  CBO II
  - a.  2003
    1.  MKP CBO II Waterfall 01-30-03
    2.  MKP CBO II Waterfall 02-03-03
    3.  MKP CBO II Waterfall 03-21-03 Forward Libor CNF and MMT w-d
    4.  MKP CBO II Waterfall 04-30-03 Forward Libor CNF stress
    5.  MKP CBO II Waterfall 05-28-03 Forward Libor CNF stress
    6.  MKP CBO II Waterfall 06-20-03 Forward Libor OAK stress
    7.  MKP CBO II Waterfall 07-02-03 A Forward Libor 07-02
    8.  MKP CBO II Waterfall 08-01-03 Updated Forward Libor
    9.  MKP CBO II Waterfall 09-15-03
    10.  MKP CBO II Waterfall 10-29-03
    11.  MKP CBO II Waterfall 11-12-03
    12.  MKP CBO II Waterfall 12-15-03
  - b.  2004
    1.  MKP CBO II Waterfall 01-20-04
    2.  MKP CBO II Waterfall 02-03-04
    3.  MKP CBO II Waterfall 04-30-04 Updated MID
    4.  MKP CBO II Waterfall 05-26-04 Updated All MH
    5.  MKP CBO II Waterfall 06-30-04 OAK 01-D 01-E Default
    6.  MKP CBO II Waterfall 07-27-04 Wholesale Changes
    7.  MKP CBO II Waterfall 08-31-04 Updated Aircraft + LIBOR
    8.  MKP CBO II Waterfall 09-08-04 Updated LIBOR + Remit
    9.  MKP CBO II Waterfall 11-12-04 Payment Date + Updated LIBOR
  - c.  2005
    1.  MKP CBO II Waterfall 01-19-05 Updated All Cashflows + LIBOR
    2.  MKP CBO II Waterfall 02-23-05 LIBOR + IC Calcs
    3.  MKP CBO II Waterfall 05-04-05 Payment Date
    4.  MKP CBO II Waterfall 06-06-05 Monthly Report + Update LIBOR
    5.  MKP CBO II Waterfall 08-16-05 Monthly Report + Update LIBOR
    6.  MKP CBO II Waterfall 10-14-05 Monthly Report + Update LIBOR
    7.  MKP CBO II Waterfall 11-10-05 Monthly Report + Update LIBOR
    8.  MKP CBO II Waterfall 12-09-05 Monthly Report + Update LIBOR
  - d.  2006
    1.  MKP CBO II Waterfall 01-10-06 Monthly Report + Update LIBOR
    2.  MKP CBO II Waterfall 02-08-06 Payment Date + Update CF
    3.  MKP CBO II Waterfall 03-22-06 Monthly Report
    4.  MKP CBO II Waterfall 06-12-06 Monthly Report
    5.  MKP CBO II Waterfall 08-10-06 Monthly Report
    6.  MKP CBO II Waterfall 10-23-06 Monthly Report
    7.  MKP CBO II Waterfall 11-20-06 Monthly Report


























































- e.  2007
  1.  MKP CBO II Waterfall 01-02-07 Monthly Report
  2.  MKP CBO II Waterfall 02-18-07 Monthly Report
  3.  MKP CBO II Waterfall 03-29-07 Monthly Report
  4.  MKP CBO II Waterfall 04-23-07 Monthly Report
  5.  MKP CBO II Waterfall 05-30-07 Monthly Report
  6.  MKP CBO II Waterfall 06-22-07 Monthly Report
  7.  MKP CBO II Waterfall 07-30-07 Monthly Report
  8.  MKP CBO II Waterfall 08-21-07 Monthly Report
  9.  MKP CBO II Waterfall 09-26-07 Monthly Report
  10.  MKP CBO II Waterfall 10-26-07 Monthly Report
  11.  MKP CBO II Waterfall 11-27-07 Monthly Report
  12.  MKP CBO II Waterfall 12-27-07 Monthly Report
- f.  2008
  1.  MKP CBO II Waterfall 12-3-08 Monthly Report
  2.  MKP CBO II Waterfall 1-30-08 Monthly Report
  3.  MKP CBO II Waterfall 2-28-08 Monthly Report
  4.  MKP CBO II Waterfall 3-28-08 Monthly Report
  5.  MKP CBO II Waterfall 4-28-08 Monthly Report
  6.  MKP CBO II Waterfall 9-12-08 Monthly Report
- 3.  CBO III
  - a.  2004
    1.  MKP CBO III Waterfall 06-07-04
    2.  MKP CBO III Waterfall 07-21-04 Update LIBOR + Update Res A Speeds + Finished Ramp-Up
    3.  MKP CBO III Waterfall 08-27-04 Update Long Cashflows
    4.  MKP CBO III Waterfall 09-07-04 Update LIBOR
    5.  MKP CBO III Waterfall 11-22-04 Update LIBOR + Roadshow
  - b.  2005
  - c.  2006
    1.  MKP CBO III Waterfall 01-10-06 Monthly Report + Cashflows
    2.  MKP CBO III Waterfall 02-27-06 Cashflows + Reinvestments
    3.  MKP CBO III Waterfall 04-18-06 Reinvestments + LIBOR w AFC adj IC
    4.  MKP CBO III Waterfall 06-12-06
    5.  MKP CBO III Waterfall 08-10-06
    6.  MKP CBO III Waterfall 10-23-06
    7.  MKP CBO III Waterfall 11-24-06 Subprime Sales Final
    8.  MKP CBO III Waterfall 12-22-06
  - d.  2007
    1.  MKP CBO III Waterfall 01-26-07
    2.  MKP CBO III Waterfall 02-26-07
    3.  MKP CBO III Waterfall 03-27-07
    4.  MKP CBO III Waterfall 04-25-07
    5.  MKP CBO III Waterfall 05-15-07v2
    6.  MKP CBO III Waterfall 06-25-07
    7.  MKP CBO III Waterfall 07-30-07
    8.  MKP CBO III Waterfall 08-21-07
    9.  MKP CBO III Waterfall 09-26-07
    10.  MKP CBO III Waterfall 10-26-07
    11.  MKP CBO III Waterfall 11-26-07
    12.  MKP CBO III Waterfall 12-27-07
  - e.  2008
    1.  MKP CBO III Waterfall 12-3-08
    2.  MKP CBO III Waterfall 1-29-08
    3.  MKP CBO III Waterfall 2-28-08
    4.  MKP CBO III Waterfall 3-28-08













































































5.  MKP CBO III Waterfall 4-29-08
6.  MKP CBO III Waterfall 9-12-08 Monthly Report
4.  CBO IV
  - a.  2005
    1.  MKP CBO IV Waterfall 06-09-05 Initial Run
    2.  MKP CBO IV Waterfall 07-13-05 Initial Run
    3.  MKP CBO IV Waterfall 08-16-05 Update LIBOR
    4.  MKP CBO IV Waterfall 10-17-05 Update LIBOR + Mgmt Fee Calc Adj
    5.  MKP CBO IV Waterfall 11-08-05 Update LIBOR
    6.  MKP CBO IV Waterfall 12-09-05 Monthly Report
  - b.  2006
    1.  MKP CBO IV Waterfall 02-10-06 Monthly Report + LIBOR + CF
    2.  MKP CBO IV Waterfall 03-21-06 Monthly Report
    3.  MKP CBO IV Waterfall 04-20-06 Payment + LIBOR
    4.  MKP CBO IV Waterfall 06-12-06 LIBOR
    5.  MKP CBO IV Waterfall 08-14-06
    6.  MKP CBO IV Waterfall 10-19-06
    7.  MKP CBO IV Waterfall 11-16-06
    8.  MKP CBO IV Waterfall 12-22-06
  - c.  2007
    1.  MKP CBO IV Waterfall 01-22-07
    2.  MKP CBO IV Waterfall 02-18-07
    3.  MKP CBO IV Waterfall 03-27-07
    4.  MKP CBO IV Waterfall 04-23-07
    5.  MKP CBO IV Waterfall 05-15-07
    6.  MKP CBO IV Waterfall 06-25-07
    7.  MKP CBO IV Waterfall 07-31-07
    8.  MKP CBO IV Waterfall 08-23-07
    9.  MKP CBO IV Waterfall 09-26-07
    10.  MKP CBO IV Waterfall 10-17-07
    11.  MKP CBO IV Waterfall 11-26-07
    12.  MKP CBO IV Waterfall 12-27-07
  - d.  2008
    1.  MKP CBO IV Waterfall 12-5-08
    2.  MKP CBO IV Waterfall 1-30-08
    3.  MKP CBO IV Waterfall 2-28-08
    4.  MKP CBO IV Waterfall 3-28-08
    5.  MKP CBO IV Waterfall 4-28-08
    6.  MKP CBO IV Waterfall 9-14-08
5.  CBO V
  - a.  2006
    1.  MKP CBO V Waterfall 04-27-06 Initial Run
    2.  MKP CBO V Waterfall 06-12-06
    3.  MKP CBO V Waterfall 08-14-06
    4.  MKP CBO V Waterfall 10-20-06
    5.  MKP CBO V Waterfall 11-20-06
    6.  MKP CBO V Waterfall 12-28-06
  - b.  2007
    1.  MKP CBO V Waterfall 02-23-07
    2.  MKP CBO V Waterfall 03-27-07
    3.  MKP CBO V Waterfall 04-27-07
    4.  MKP CBO V Waterfall 05-30-07
    5.  MKP CBO V Waterfall 06-25-07
    6.  MKP CBO V Waterfall 07-30-07
    7.  MKP CBO V Waterfall 08-27-07
    8.  MKP CBO V Waterfall 09-27-07

9.  MKP CBO V Waterfall 10-26-07
10.  MKP CBO V Waterfall 11-30-07
11.  MKP CBO V Waterfall 12-31-07
12.  MKP CBO V Waterfall 1-31-07
- c.  2008
  1.  MKP CBO V Waterfall 12-5-08
  2.  MKP CBO V Waterfall 1-31-08
  3.  MKP CBO V Waterfall 2-28-08
  4.  MKP CBO V Waterfall 3-28-08
  5.  MKP CBO V Waterfall 4-29-08
  6.  MKP CBO V Waterfall 9-12-08
6.  CBO VELA
  - a.  2007
    1.  MKP CBO VII Waterfall 03-14-07
    2.  MKP CBO VII Waterfall 05-29-07
    3.  MKP CBO VII Waterfall 06-25-07
    4.  MKP CBO VII Waterfall 07-30-07
    5.  MKP CBO VII Waterfall 08-29-07
    6.  MKP CBO VII Waterfall 09-21-07
    7.  MKP CBO VII Waterfall 10-31-07
    8.  MKP CBO VII Waterfall 11-29-07
    9.  MKP CBO VII Waterfall 12-3-07
    10.  MKP CBO VII Waterfall 4-8-08
7.  CBO VI
  - a.  2007
    1.  MKP CBO VI Waterfall 01-31-07
    2.  MKP CBO VI Waterfall 02-18-07
    3.  MKP CBO VI Waterfall 03-27-07 Trades
    4.  MKP CBO VI Waterfall 04-25-07 Reinvestment100
    5.  MKP CBO VI Waterfall 05-30-07
    6.  MKP CBO VI Waterfall 06-29-07
    7.  MKP CBO VI Waterfall 07-30-07
    8.  MKP CBO VI Waterfall 08-29-07
    9.  MKP CBO VI Waterfall 09-28-07
    10.  MKP CBO VI Waterfall 10-30-07
    11.  MKP CBO VI Waterfall 11-28-07
    12.  MKP CBO VI Waterfall 12-31-07
  - b.  2008
    1.  MKP CBO VI Waterfall 12-5-08
    2.  MKP CBO VI Waterfall 3-31-08
    3.  MKP CBO VI Waterfall 4-29-08
    4.  MKP CBO VI Waterfall 9-14-08
  - b.  Quarterly CBO IC Meeting 12-08
  - c.  Quarterly CBO IC Meeting 3-09
  - d.  Quarterly CBO IC Meeting 9-08
3.  Item 6
  - a.  Documents re assumptions of spreadsheet
    1.  20100609144914701
    2.  20100609144927103
    3.  20100609145019686
    4.  20100609145049493
    5.  20100609145055758
    6.  20100609145106543
    7.  20100609145137113
    8.  20100609145156224
    9.  20100609145201688






































10.  20100609145222969
11.  20100609145255509
12.  20100609145340657
13.  20100609145419107
14.  20100609145440516
15.  20100609145448801
16.  20100609145509871
17.  20100609145526972
18.  20100609145610811
19.  20100609145653732
20.  20100609145728605
21.  20100609145820248
22.  20100609145841939
23.  20100609145852143
24.  20100609151537504
25.  20100609151552056
26.  20100609151607255
27.  20100609151621635
28.  20100609151646447
29.  20100609151700093
30.  20100609151720629
31.  20100609151735597
32.  20100609151748379
33.  20100609151811834
34.  20100609151826536
35.  20100609151839591
36.  20100609151854521
37.  20100609151908428
38.  20100609151918772
39.  20100609151932370
40.  20100609151941978
41.  20100609152015858
42.  20100609152031269
43.  20100609152045033
44.  20100609153101469
45.  20100609153917862
46.  20100609153923359
47.  20100609153931417
48.  20100609153936508
49.  20100609153942541
50.  20100609153953968
51.  20100609154000468
52.  20100609154006856
53.  20100609154013316
54.  20100609154018675
55.  20100609154024086
56.  20100609154029744
57.  20100609154035071
58.  20100609154040518
59.  20100609154046018
60.  20100609154053629
61.  20100609154058586
62.  20100609154110548
63.  20100609154115208
64.  20100609154516592


























































65.  20100609154520670
66.  20100609154524331
67.  20100609154530262
68.  20100609154559577
69.  20100609154626985
70.  20100609154631596
71.  20100609154637371
72.  20100609154645994
73.  20100609154701414
74.  20100609154724217
75.  20100609154728373
76.  20100609154735930
77.  20100609154741143
78.  20100609154750272
79.  20100609154754927
80.  20100609154759211
81.  20100609154804233
82.  20100609154811230
83.  20100614125155275
84.  20100614125518465
85.  20100614125638537
- b.  Documents re LPS model
  1.  AFT Prepay Model Structure\_544 doc
  2.  AFT\_Default\_Model\_544
  3.  LPS MODEL
  4.  ReleaseNotes\_v546
- c.  Subprime Simulation Model New
4.  Items 7 and 8
  - a.  CBO I
    1.  CBO I Collateral Specs for Warehousing
    2.  modification to side letter - Sep 12 2000
    3.  Proposed change to MH collateral guidelines
    4.  Risk Analysis of CBO Warehousing
  - b.  CBO II
    1.  MKP CBO II Investment Guidelines
    2.  MKP Warehouse - final
    3.  Warehouse Parameter Summary
  - c.  CBO III
    1.  GCM MKP Warehouse Agreement Final
    2.  MKP CBO III Ramp-Up Date Collateral Debt Securities Schedule
    3.  MKP CBO III Ramp-Up Notice - Trustee
    4.  MKP CDO III FINAL Engagement Letter and Warehouse
  - d.  CBO IV
  - e.  CBO V
    1.  MKP CBO V Portfolio Allocation 09-30-05
    2.  MKP5Ware(Exec)
  - f.  CBO VELA
    1.  MKP - Warehousing Agreement (EX)
    2.  MKP Synthetic Master Collateral File 110806rm
    3.  MKP Vela CDO Elig Criteria 091506
  - g.  CBO VI
    1.  CSFB - MKP CBO VI - Mezz Cash Managed ABS CDO Termsheet
    2.  MKP CBO VI Eligibility Criteria Table Final
    3.  Wrhsng Agrmt MKP
- b.  2010-10-20 Production- Disk # 710
  1.  Interrogatory 6a,b,c & d
  2.  Interrogatory 12
























































- 48.  Moody's
  - a.  2010-04-14 Production- Disk # 182
  - b.  2010-05-03 Production- Disk # 227
    - 1.  MOODYS-FCIC-0013711-0014225
    - 2.  MOODYS-FCIC-0014226-0014581
  - c.  2010-05-10
    - 1.  072107 RMBS and CDO Rating Actions slides
    - 2.  MOODYS-FCIC-0038399
    - 3.  MOODYS-FCIC-0038440
    - 4.  MOODYS-FCIC-0038442
  - d.  2010-05-10 Production- Disk # 246
    - 1.  MOODYS-FCIC-000243-000258
    - 2.  MOODYS-FCIC-000259-000279
    - 3.  MOODYS-FCIC-000977-000998
  - e.  2010-05-18 Production
    - 1.  MOODYS-FCIC-0002561
    - 2.  MOODYS-FCIC-0002643
    - 3.  MOODYS-FCIC-0002692
    - 4.  MOODYS-FCIC-0002773
    - 5.  MOODYS-FCIC-0002805
    - 6.  MOODYS-FCIC-0002853
    - 7.  MOODYS-FCIC-0002911
    - 8.  MOODYS-FCIC-0002932
    - 9.  MOODYS-FCIC-0002978
    - 10.  MOODYS-FCIC-0003016
    - 11.  MOODYS-FCIC-0003038
    - 12.  MOODYS-FCIC-0003044
  - f.  2010-05-26 Production
    - 1.  Disk # 342
      - a.  MOODYS-FCIC-0302561-0302581
  - g.  2010-07-30 Production
    - 1.  MOODYS-FCIC-0382780
  - h.  2010-08-10 Production- Disk 533
    - 1.  MOODYS-FCIC-0382791-0382830
    - 2.  MOODYS-FCIC-0382831-0382880
    - 3.  MOODYS-FCIC-0382881-0382925
    - 4.  MOODYS-FCIC-0382926-0382943
    - 5.  MOODYS-FCIC-0382944-0383006
    - 6.  MOODYS-FCIC-0383007-0383024
    - 7.  MOODYS-FCIC-0383025-0383068
    - 8.  MOODYS-FCIC-0383069-0383104
    - 9.  MOODYS-FCIC-0383105-0383170
    - 10.  MOODYS-FCIC-0383171-0383236
    - 11.  MOODYS-FCIC-0383237-0383280
    - 12.  MOODYS-FCIC-0383281-0383351
    - 13.  MOODYS-FCIC-0383352-0383389
    - 14.  MOODYS-FCIC-0383390-0383447
    - 15.  MOODYS-FCIC-0383448-0383485
    - 16.  MOODYS-FCIC-0383486-0383514
    - 17.  MOODYS-FCIC-0383515-0383536
    - 18.  MOODYS-FCIC-0383537-0383538
    - 19.  MOODYS-FCIC-0383539-0383540
    - 20.  MOODYS-FCIC-0383541-0383542
    - 21.  MOODYS-FCIC-0383543-0383546
    - 22.  MOODYS-FCIC-0383547-0383549
    - 23.  MOODYS-FCIC-0383550-0383551
    - 24.  MOODYS-FCIC-0383552-0383553

25.  MOODYS-FCIC-0383554-0383555
26.  MOODYS-FCIC-0383556-0383558
27.  MOODYS-FCIC-0383559-0383560
28.  MOODYS-FCIC-0383561-0383563
29.  MOODYS-FCIC-0383564-0383566
30.  MOODYS-FCIC-0383567-0383568
31.  MOODYS-FCIC-0383569-0383571
32.  MOODYS-FCIC-0383572-0383573
33.  MOODYS-FCIC-0383574
34.  MOODYS-FCIC-0383575-0383590
35.  MOODYS-FCIC-0383591-0383606
36.  MOODYS-FCIC-0383607-0383622
37.  MOODYS-FCIC-0383623-0383638
38.  MOODYS-FCIC-0383639-0383656
39.  MOODYS-FCIC-0383657-0383682
40.  MOODYS-FCIC-0383683-0383707
41.  MOODYS-FCIC-0383708-0383732

























































i.  2010-08-25 Production- Disk # 586

1.  MOODYS-FCIC-0383790
2.  MOODYS-FCIC-0383792
3.  MOODYS-FCIC-0383807
4.  MOODYS-FCIC-0383816
5.  MOODYS-FCIC-0383821
6.  MOODYS-FCIC-0383836
7.  MOODYS-FCIC-0383858
8.  MOODYS-FCIC-0383859
9.  MOODYS-FCIC-0383890
10.  MOODYS-FCIC-0383897
11.  MOODYS-FCIC-0383908
12.  MOODYS-FCIC-0383909
13.  MOODYS-FCIC-0383920
14.  MOODYS-FCIC-0383925
15.  MOODYS-FCIC-0383932
16.  MOODYS-FCIC-0383936
17.  MOODYS-FCIC-0383953
18.  MOODYS-FCIC-0383969
19.  MOODYS-FCIC-0383971
20.  MOODYS-FCIC-0383999
21.  MOODYS-FCIC-0384000
22.  MOODYS-FCIC-0384031
23.  MOODYS-FCIC-0384038
24.  MOODYS-FCIC-0384049
25.  MOODYS-FCIC-0384050
26.  MOODYS-FCIC-0384061
27.  MOODYS-FCIC-0384066
28.  MOODYS-FCIC-0384173
29.  MOODYS-FCIC-0384435
30.  MOODYS-FCIC-0384492
31.  MOODYS-FCIC-0384493
32.  MOODYS-FCIC-0384984
33.  MOODYS-FCIC-0385142
34.  MOODYS-FCIC-0385500
35.  MOODYS-FCIC-0386123
36.  MOODYS-FCIC-0387057
37.  MOODYS-FCIC-0387059























- 38.  MOODYS-FCIC-0387807
- 39.  MOODYS-FCIC-0387873
- 40.  MOODYS-FCIC-0387935
- 41.  MOODYS-FCIC-0390517
- 42.  MOODYS-FCIC-0390518
- 43.  MOODYS-FCIC-0390519
- 44.  MOODYS-FCIC-0390923
- 45.  MOODYS-FCIC-0390991
- 46.  MOODYS-FCIC-0391017
- 47.  MOODYS-FCIC-0391023
- 48.  MOODYS-FCIC-0391042
- 49.  MOODYS-FCIC-0391081
- 50.  MOODYS-FCIC-0391320
- 51.  MOODYS-FCIC-0391601
- 52.  MOODYS-FCIC-0391843
- 53.  MOODYS-FCIC-0391897
- 54.  MOODYS-FCIC-0392261-0392275
- j.  2010-08-26 Production- Disk # 578
  - 1.  Moody's-FCIC-0392261-392487
- k.  2010-09-03 Production- Disk # 602
  - 1.  Moody's-FCIC-0392488-0392521
- l.  2010-09-10 Production- Disk # 612
  - 1.  MOODY'S-FCIC 0392522-0392945
  - 2.  MOODY'S-FCIC 0392946-0393302
  - 3.  MOODY'S-FCIC 0393303-0393535
  - 4.  MOODYS-FCIC-0392964
- m.  2010-09-30 Production- Disk # 658
  - 1.  Moody's-FCIC-0393536-0393887
- n.  2010-10-11 Production- Disk # 691
  - 1.  MOODYS-FCIC-0393888-0394166
  - 2.  MOODYS-FCIC-0393892
  - 3.  MOODYS-FCIC-0394167-0394438
  - 4.  MOODYS-FCIC-0394439-0394732
- o.  2010-10-21 Production- Disk # 711
  - 1.  MOODYS-FCIC-0394733
- p.  2010-11-01 Production- Disk # 729
  - 1.  MOODYS-FCIC-0394734-0394812
- q.  2010-11-18 Production- Disk # 740
  - 1.  MOODYS-FCIC-0394813-0394814
- r.  2010-12-17 Production- Disk # 748
  - 1.  MOODYS-FCIC-0394815-0394855
- 49.  Morgan Stanley
  - a.  2010-09-10 Production- Disk # 613
    - 1.  MS0002741-MS0003197
    - 2.  MS0003198-MS0003674
    - 3.  MS0003675-MS0003919
    - 4.  MS0003920-MS0004344
    - 5.  MS0004345-MS0004631
    - 6.  MS0004632-MS0004957
    - 7.  MS0004958-MS0005361
    - 8.  MS0005362-MS0005662
    - 9.  MS0005663-MS0005855
    - 10.  MS0005856-MS0006091
    - 11.  MS0006092-MS0006493
    - 12.  MS0006494-MS0006676
    - 13.  MS0006677-MS0007078
    - 14.  MS0007079-MS0007489
























































15.  MS0007490-MS0008116
16.  MS0008117-MS0008437
17.  MS0008438-MS0008933
18.  MS0008934-MS0009244
19.  MS0009245-MS0009438
20.  MS0009439-MS0009939
21.  MS0009940-MS0010342
22.  MS0010343-MS0010527
23.  MS0010528-MS0010712
24.  MS0010713-MS0010932
25.  MS0010933-MS0011101
26.  MS0011102-MS0011281
27.  MS0011282-MS0011762
28.  MS0011763-MS0012271
29.  MS0012272-MS0012748
30.  MS0012749-MS0013240
31.  MS0013241-MS0013744
32.  MS0013745-MS0014213
33.  MS0014214-MS0014752
34.  MS0014753-MS0014937
35.  MS0014938-MS0015435
36.  MS0015436-MS0015937
37.  MS0015938-MS0016447
38.  MS0016448-MS0016764
39.  MS0016765-MS0017326
40.  MS0017327-MS0017864
41.  MS0017865-MS0018107
42.  MS0018108-MS0018558
43.  MS0018559-MS0018795
44.  MS0018796-MS0019208
45.  MS0019209-MS0019674
46.  MS0019675-MS0020098
47.  MS0020099-MS0020516
48.  MS0020517-MS0020964
49.  MS0020965-MS0021424
50.  MS0021425-MS0021643
51.  MS0021644-MS0021956
52.  MS0021957-MS0022229
53.  MS0022230-MS0022634
54.  MS0022635-MS0022873
55.  MS0022874-MS0023374
56.  MS0023375-MS0023932
57.  MS0023933-MS0024233
58.  MS0024234-MS0024556
59.  MS0024557-MS0024978
60.  MS0024979-MS0025284
61.  MS0025285-MS0025728
62.  MS0025729-MS0025987
63.  MS0025988-MS0026280
64.  MS0026281-MS0026595
65.  MS0026596-MS0026856
66.  MS0026857-MS0026986
67.  MS0026987-MS0027567
68.  MS0027568-MS0028033
69.  MS0028034-MS0028208


















































































































- 70.  MS0028209-MS0028392
- 71.  MS0028393-MS0028637
- 72.  MS0028638-MS0029082
- 73.  MS0029083-MS0029243
- 74.  MS0029244-MS0029608
- 75.  MS0029609-MS0029934
- 76.  MS0029935-MS0030313
- 77.  MS0030314-MS0030625
- 78.  MS0030626-MS0030783
- 79.  MS0030784-MS0031234
- 80.  MS0031235-MS0031548
- 81.  MS0031549-MS0031948
- 82.  MS0031949-MS0032299
- 83.  MS0032300-MS0032645
- 84.  MS0032646-MS0033123
- 85.  MS0033124-MS0033654
- 86.  MS0033655-MS0034137
- 87.  MS0034138-MS0034385
- 88.  MS0034386-MS0034908
- 89.  MS0034909-MS0035398
- 90.  MS0035399-MS0035699
- 91.  MS0035700-MS0035989
- 92.  MS0035990-MS0036243
- b.  4.28.request.int.1
- c.  5.18.10.cover.letter
- d.  MS0000089-90
- e.  MS0000091
- f.  MS0002740 Confidential Treatment Requested
- 50.  NCRC
  - a.  2003-11-14 Draft CRA Loan Agreement for NJ Citizen Action
  - b.  Bank of America Details Community Development, Foreclosure Relief Goals
  - c.  MOU of CRA Goals between JPMorgan and Chicago CRA Coalition
  - d.  NCRC Community Reinvestment Modernization Act of 2009 Resource Toolbox
- 51.  NCUA
  - a.  2010-09-15 Production
    - 1.  Disk # 625- U.S. Central
      - a.  03-0131\_MGT Rpt
      - b.  03-0228\_MGT Rpt
      - c.  03-0331\_MGT Rpt
      - d.  03-0430\_MGT Rpt
      - e.  03-0531\_MGT Rpt
      - f.  03-0630\_MGT Rpt
      - g.  03-0731\_MGT Rpt
      - h.  03-0831 USC Management Report 8-31-2003
      - i.  03-0930 USC Management Report 9-30-2003
      - j.  03-1031 USC Management Report 10-31-2003
      - k.  03-1130 USC Management Report 11-30-2003
      - l.  03-1231 USC Management Report 12-31-2003
      - m.  04-0131 MGT Report
      - n.  04-0228 MGT Report
      - o.  04-0430 MGT Report
      - p.  04-0531 MGT Report
      - q.  04-0630 MGT Report
      - r.  04-0731 MGT Report
      - s.  04-0831 MGT Report
      - t.  04-0831 USC Management Report 08-31-2004

























































- u.  04-0930 MGT Report
- v.  04-1031 MGT Report
- w.  04-1130 MGT Report
- x.  04-1231 MGT Report
- y.  04-FEB Management Report
- z.  04-May Management Report
- aa.  05-0131 MGT Report
- ab.  05-0228 MGT Report
- ac.  05-0331 MGT Report
- ad.  05-0430 MGT Report
- ae.  05-0531 MGT Report
- af.  05-0630 MGT Report
- ag.  05-0731 MGT Report
- ah.  05-0831 MGT Report
- ai.  05-0930 MGT Report
- aj.  05-1031 MGT Report
- ak.  05-1130 MGT Report
- al.  05-1231 MGT Report
- am.  06-0131 MGT Report
- an.  06-0228 MGT Report
- ao.  06-0331 MGT Report
- ap.  06-0430 MGT Report
- aq.  06-0531 MGT Report
- ar.  06-0630 MGT Report
- as.  06-0731 MGT Report
- at.  06-0831 MGT Report
- au.  06-0930 MGT Report
- av.  06-1031 MGT Report
- aw.  06-1130 MGT Report
- ax.  06-1231 MGT Report
- ay.  07-0131 MGT Report
- az.  07-0228 MGT Report
- ba.  07-0331 MGT Report
- bb.  07-0430 MGT Report
- bc.  07-0531 MGT Report
- bd.  07-0630 MGT Report
- be.  07-0731 MGT Report
- bf.  07-1031 MGT Report
- bg.  07-1130\_USCMGTReport
- bh.  08-0131\_MGTReport\_USCManagementReport\_1\_31\_2008
- bi.  08-0229\_USCMGTReport
- bj.  08-0331 MGT Report
- bk.  08-0430 MGT Report
- bl.  08-0531 MGT Report
- bm.  08-0630\_MGTReport
- bn.  08-0831\_MGTReport
- bo.  09-0222\_Message\_RE\_USC\_ManagementReports
- bp.  09-0228\_MGTReport\_USC
- bq.  09-0331\_MGTReport\_USCentral
- br.  09-0406\_Message\_RE\_USC\_ManagementReports
- bs.  09-0430\_MGT\_Report
- bt.  09-0531\_MGT\_Report\_USC
- bu.  09-0731\_MGT\_Report\_USC
- bv.  09-0831\_MGT\_Report\_USC\_24756
- bw.  09-0930 MGT RPT 10-23-2009

























































- bx.  09-0930\_MGT\_Report\_CU24756 USC MGT RPT 11-25-2009
- by.  09-1031\_MGT\_Report\_CU24756 USC MGT RPT 12-2009
- bz.  09-1130\_MGT\_Report\_CU24756\_USC
- ca.  09-1231\_MGT\_Report\_CU24756 USC MGT RPT 02-2010
- cb.  2003 Derivatives
- cc.  2003 Exam Draft TL
- cd.  2003 Exam Report
- ce.  2003 Exam TL
- cf.  2003 Investments-Loans Purchased
- cg.  2004 ALM
- ch.  2004 ALM Derivatives
- ci.  2004 ALM Liquidity
- cj.  2004 ALM Model
- ck.  2004 Exam Report
- cl.  2004 Exam Report Confidential
- cm.  2004 Exam TL
- cn.  2004 Investment Credit
- co.  2004 Investments ABS
- cp.  2004 Investments PPO
- cq.  2004 Investments-CMOs-MBS
- cr.  2004 Investments-Commercial Debt-Money Markets
- cs.  2005 ALM
- ct.  2005 ALM Derivatives
- cu.  2005 ALM Liquidity
- cv.  2005 ALM Model
- cw.  2005 Exam Report
- cx.  2005 Exam TL
- cy.  2005 Investments
- cz.  2005 Investments Pre Purchase
- da.  2006 201A Investments & Pre Purchase
- db.  2006 ALM
- dc.  2006 ALM Derivatives
- dd.  2006 ALM Liquidity
- de.  2006 ALM Model
- df.  2006 ALM Overview
- dg.  2006 Exam Report
- dh.  2006 Exam Report Conf
- di.  2006 Examination TL
- dj.  2006 Investment Credits
- dk.  2006 Investments
- dl.  2006 Investments - PrePurchase
- dm.  2007 ALM
- dn.  2007 ALM Derivatives
- do.  2007 ALM Liquidity
- dp.  2007 ALM Model
- dq.  2007 Exam Report
- dr.  2007 Exam Report Confidential
- ds.  2007 Exam TL
- dt.  2007 Investment Credit
- du.  2007 Investments
- dv.  2007 Investments Pre Purchase
- dw.  2008 ALM Derivatives
- dx.  2008 ALM Liquidity
- dy.  2008 ALM Model
- dz.  2008 ALM Risk Management

- ea.  2008 Exam Report
- eb.  2008 Exam Report Confidential
- ec.  2008 Exam TL
- ed.  2008 Investment Credit
- ee.  2008 Investment Pre Purchase
- 2.  Disk # 626- WesCorp
  - a.  03-0630\_MGT Rpt
  - b.  03-0731\_MGT Rpt
  - c.  03-0831 WesCorp\_MgtRpt\_08302003
  - d.  03-0930 WesCorp\_MgtRpt\_09302003
  - e.  03-1031 WesCorp\_MgtRpt\_10312003
  - f.  03-1130 WesCorp Management Report 11-30-2003
  - g.  03-1231 WesCorp Management Report 12-31-2003
  - h.  04-0131 WesCorp Management Report
  - i.  04-0229 MGT Report
  - j.  04-0331 MGT Report
  - k.  04-0430 MGT Report
  - l.  04-0531 MGT Report
  - m.  04-0630 MGT Report
  - n.  04-0731 MGT Report
  - o.  04-0831 MGT Report
  - p.  04-0930-MGT Report
  - q.  04-1031 MGT Report
  - r.  04-1130 MGT Report
  - s.  04-1231 MGT Report
  - t.  05-0131 MGT Report
  - u.  05-0228 MGT Report
  - v.  05-0331 MGT Report
  - w.  05-0430 MGT Report
  - x.  05-0531 MGT Report
  - y.  05-0630 MGT Report
  - z.  05-0731 MGT Report
  - aa.  05-0831 MGT Report
  - ab.  05-0930 MGT Report
  - ac.  05-1031 MGT Report
  - ad.  05-1130 MGT Report
  - ae.  05-1231 MGT Report
  - af.  06-0131 MGT Report
  - ag.  06-0228 MGT Report
  - ah.  06-0331 MGT Report
  - ai.  06-0430 MGT Report
  - aj.  06-0531 MGT Report
  - ak.  06-0630 MGT Report
  - al.  06-0731 MGT Report
  - am.  06-0831 MGT Report
  - an.  06-0930 MGT Report
  - ao.  06-1031 MGT Report
  - ap.  06-1130 MGT Report
  - aq.  06-1231 MGT Report
  - ar.  07-0131 MGT Report
  - as.  07-0228 MGT Report
  - at.  07-0331 MGT Report
  - au.  07-0430 MGT Report
  - av.  07-0531 MGT Report
  - aw.  07-0630 MGT Report


























































- ax.  07-0731 MGT Report
- ay.  07-0831 MGT Report
- az.  07-0930 MGT Report
- ba.  07-1031 MGT Report
- bb.  07-1130 WesCorp MGT Report
- bc.  07-1231 WesCorp MGT Report
- bd.  08-0131 WesCorp MGT Report
- be.  08-0229\_WesCorpMGTReport
- bf.  08-0331 MGT Report
- bg.  08-0430 MGT Report
- bh.  08-0531 MGT Report
- bi.  08-0630\_MGTReport
- bj.  08-0731 MGT Report
- bk.  08-0831\_MGTReport
- bl.  08-0930\_MGTReport\_WesCorp\_19693
- bm.  08-1031\_WesCorpMGTReport
- bn.  08-1130\_WesCorp Supervision Summary Report Effective 11302008
- bo.  08-1231\_MGTReport\_WesCorp 01-31-2009.doc
- bp.  09-0121\_TCCULGP\_Confirms 1
- bq.  09-0131\_WesCorp\_MGTReport
- br.  09-0331\_MGTReport\_WesCorp\_033109
- bs.  09-0430\_MGTReport
- bt.  09-0531\_MGT\_Report\_WesCorp
- bu.  09-0630\_MGT\_Report
- bv.  09-0731\_MGT\_Report\_Priority 19693 WesCorp
- bw.  09-0831\_MGT\_Report\_19693\_WesCorp 093009 Monthly Report
- bx.  09-0930\_MGT\_Report\_WesCorp 09-30-2009
- by.  09-1031\_MGT\_Report\_WesCorp 10-31-2009
- bz.  09-1130\_MGT\_Report\_WesCorp 19693 Monthly Management Report
- ca.  09-1231\_MGT\_Report\_WesCorp\_19693\_123109 Monthly Management Report with Task Listing
- cb.  2003 ALM Management
- cc.  2003 Borrowing Liquidity
- cd.  2003 Exam Report
- ce.  2003 Exam Report Confidential
- cf.  2003 Investments
- cg.  2003 Investments-Derivatives
- ch.  2004 ALM
- ci.  2004 Dreivative Accounting
- cj.  2004 Dreivatives
- ck.  2004 Exam Report
- cl.  2004 Exam Report Confidential
- cm.  2004 Exam TL
- cn.  2004 Investment Credit
- co.  2004 Investments
- cp.  2004 Liquidity
- cq.  2005 ALM
- cr.  2005 Derivative Accounting
- cs.  2005 Dreivatives
- ct.  2005 Exam Report
- cu.  2005 Exam Report Confidential
- cv.  2005 Exam TL
- cw.  2005 Investment Credit
- cx.  2005 Investments
- cy.  2005 Liquidity
- cz.  2006 ALM



























































- da.  2006 Derivative Accounting
- db.  2006 Derivatives
- dc.  2006 Exam Report
- dd.  2006 Exam Report Confidential
- de.  2006 Exam TL
- df.  2006 Investment Credit
- dg.  2006 Investments
- dh.  2006 Liquidity
- di.  2007 ALM
- dj.  2007 Derivative Accounting
- dk.  2007 Derivatives
- dl.  2007 Exam Report
- dm.  2007 Exam Report Confidential
- dn.  2007 Exam TL
- do.  2007 Investment Credit
- dp.  2007 Investments
- dq.  2007 Liquidity
- dr.  2008 ALM
- ds.  2008 Derivatives
- dt.  2008 Exam Report
- du.  2008 Exam Report Confidential
- dv.  2008 Exam TL
- dw.  2008 Investment Credit
- dx.  2008 Investments
- dy.  2008 Liquidity
- b.  2010-09-17 Production- Disk # 627
  - 1.  ALCO 2002
    - a.  April
    - b.  August
    - c.  December
    - d.  February
    - e.  January
    - f.  July
    - g.  June
    - h.  March
    - i.  May
    - j.  November
    - k.  October
    - l.  September
  - 2.  ALCO 2003
    - a.  April
      - 1.  ALCO charts 0403
      - 2.  ALCO charts for book 0403
      - 3.  ALCO charts0403
      - 4.  ALCO Economic Section Graphs April 7 2003
      - 5.  ALCO Economic Section Graphs April 7 20031
      - 6.  ALCO Economic Section Graphs Mar 21 2003
      - 7.  ALCO.econ April 7 20031
      - 8.  ALCOEcon April 7 2003
      - 9.  Board Report April 16 2003
      - 10.  BusinessForecast200303March
      - 11.  IdreportMar03
      - 12.  InvestProductsMar2003
      - 13.  Liquidity200202MarchALCO
      - 14.  MemberTrendsMar2003
    - b.  August

























































1.  ALCO charts 0703 Baoho
  2.  ALCO charts 0803
  3.  ALCO Economic Section Graphs Aug 15 2003
  4.  ALCO Economic Section Graphs August 18 2003
  5.  ALCO Economic Section Graphs200308August
  6.  ALCOEcon08182003
  7.  Board Report August 18 2003
  8.  Business Forecast Summary 0703
  9.  Business Forecast200307July
  10.  IdreportJuly03
  11.  IdreportJuly03.#2
  12.  InvestProductsJul2003
  13.  Liquidity200306July
  14.  MemberTrendsJuly2003
- c.  December
1.  Agenda 122303
  2.  ALCO charts 1103
  3.  ALCO Economic Section Graphs December 12 2003
  4.  ALCOEcon December 12 2003
  5.  BusinessForecast200311November
  6.  IdreportNov03
  7.  InvestProductsNov2003
  8.  InvestProductsNov20031
  9.  Liquidity200311November
  10.  MemberTrendsNov2003
  11.  Minutes 112503
  12.  RA page four for December Board report
  13.  RA page one for December Board report
  14.  RA page three for December Board report
  15.  RA page two for December Board report
- d.  February
1.  ALCO charts Feb 03
  2.  ALCO Economic Section Graphs Feb 14 2003
  3.  BusinessForecast200301January
  4.  IdreportJan2003
  5.  InvestProductsJan2003
- e.  January
1.  ALCO charts Jan 03
  2.  ALCO Economic Section Graphs January 17 2003
  3.  ALCOdec2002
  4.  BusinessForecast200212December
  5.  IdreportDec2002
  6.  InvestProductsDec2002
  7.  Liquidity200212December
  8.  MemberTrendsDec2002
- f.  July
1.  ALCO charts 0703
  2.  ALCO Economic Section Graphs July 18 2003
  3.  Alcobook.72003
  4.  ALCOEco071803
  5.  ALCOPolicyChanges200307July
  6.  Board Report July 18 2003
  7.  BusinessForecast200306June
  8.  IdreportJune03
  9.  InvestProductsJun2003
  10.  Liquidity200306June

























































11.  MemberTrendsJun2003
- g.  June
1.  ALCO charts 0603
  2.  ALCO Economic Section Graphs June 13 2003
  3.  ALCOEcon June 13 2003
  4.  Board Report June 16 2003
  5.  BusinessForecast200305May
  6.  IdreportMay03
  7.  InvestProductsMay2003
  8.  June2003.charts
  9.  Liquidity200304April
  10.  Liquidity200305May
  11.  MemberTrendsMay2003
- h.  March
1.  ALCO charts 0303
  2.  ALCO charts March 03
  3.  BusinessForecast200302February
  4.  IdreportFeb2003
  5.  InvestProductsFeb2003
  6.  Liquidity200202FebALCO
  7.  Liquidity2003FebALCO
  8.  MemberTrendsFeb2003
- i.  May
1.  ALCO charts 0503
  2.  ALCO Economic Section Graphs May 16 2003
  3.  ALCOEcon May 16 2003
  4.  Board Report May16 2003
  5.  BusinessForecast200304April
  6.  IdreportApr03
  7.  InvestProductsApr2003
  8.  MemberTrendsApr2003
- j.  November
1.  Agenda 112503
  2.  ALCO charts 1003
  3.  ALCO charts 1103
  4.  ALCO Economic Section Graphs November 14 2003
  5.  ALCOEcon November 14 2003
  6.  Board Report November 14 2003
  7.  Business Forecast Summary200310October
  8.  IdreportNov03
  9.  IdreportOct03
  10.  InvestProductsOct2003
  11.  Liquidity200310October
  12.  MemberTrendsOct2003
  13.  Minutes 102203
- k.  October
1.  10 22 03 Agenda
  2.  10- Mid October 03 - INVESTMENT PURCHASES
  3.  9 - Sept 03 - INVESTMENT PURCHASES
  4.  9 18 03 Minutes
  5.  ALCO charts 0903
  6.  ALCO charts 1003
  7.  ALCO Economic Section Graphs October 10 2003
  8.  ALCOEcon10102003
  9.  BusinessForecast200309
  10.  IdreportSept03



















































































































11.  InvestProductsSep2003
  12.  Liquidity200309September
  13.  MemberTrendsSep2003
  14.  RA page four for Oct 2003 board report
  15.  RA page one for Oct 2003 board report
  16.  RA page three for Oct 2003 board report
  17.  RA page two for Oct 2003 board report
  18.  US Dollar Index
- I.  September
1.  ALCO charts 0803
  2.  ALCO charts 0903
  3.  ALCO Economic Section Graphs September 8 2003
  4.  ALCOEcon09112003
  5.  Aug Sales Recap
  6.  Business Forecast200308
  7.  IdreportAug03
  8.  IdreportAug031
  9.  intRatePlanning 08-03
  10.  Investment Advisory Service oct 2003
  11.  InvestProductsAugI2003
  12.  Liquidity200308August
  13.  MemberTrendsAug2003
  14.  QRM NII by Book of Business 08-03
  15.  RC091003
  16.  SwapListALCOBook
3.  ALCO 2004
- a.  April
    1.  April 2004 ALCO
  - b.  August
    1.  ALCO-August 24 2004
  - c.  December
    1.  December 21 2004
  - d.  February
    1.  Bus Forecast & Liquidity
    2.  Econ & Market Conditions
    3.  Int Rate Risk Review
    4.  Member Activity
    5.  Minutes & Consent items
    6.  Other business
    7.  Strategies
  - e.  January
    1.  Minutes for ALCO & ALSC
    2.  Section 1 written & graphs
    3.  Section 2
    4.  Section 3
    5.  Section 4
    6.  Section 5.1-5.6
    7.  Section 6
    8.  Section 7
  - f.  July
    1.  ALCO July 2004
  - g.  June
    1.  ALCO 6-22-04
  - h.  March
    1.  March 24 2004
  - i.  May
    1.  May 20 2004

























































- j.  November
  - 1.  November 23, 2004
- k.  October
  - 1.  ALCO October 26 2004
  - 2.  color graphs
- l.  September
  - 1.  September 21 2004
- 4.  ALCO 2005
  - a.  April
    - 1.  Agenda.Sec1
    - 2.  Sec2thru6
    - 3.  Sec7andOther
  - b.  August
    - 1.  Agenda.Section 1 and 2
    - 2.  Section 3 thru 6
    - 3.  Section 7
  - c.  December
    - 1.  Section 6thru7
    - 2.  Section1thru5
  - d.  February
    - 1.  Agenda.Sec1
    - 2.  Sec2thru6
    - 3.  Sec7andOther
  - e.  January
    - 1.  Agenda& Sec1
    - 2.  Sec 2-6
    - 3.  Sec 7.pdf
  - f.  July
    - 1.  Sections 1 thru 5
    - 2.  Sections 6 and 7
  - g.  June
    - 1.  June 28 2005
  - h.  March
    - 1.  Agenda.Sec1
    - 2.  Sec2thru6
    - 3.  Sec7andOther
  - i.  May
    - 1.  Agenda.Sec 1 and 2
    - 2.  Section 3 thru 6
    - 3.  Section 7
  - j.  November
    - 1.  Section 1 thru 6
    - 2.  Section 7
  - k.  October
    - 1.  Section1thru4
    - 2.  Section5thru7
  - l.  September
    - 1.  Agenda.Section 1 and 2
    - 2.  Section 3 through 6
    - 3.  Section 7
- 5.  ALCO 2006
  - a.  April
  - b.  August
  - c.  December
  - d.  February
  - e.  January
  - f.  July
  - g.  June

























































- h.  March
- i.  May
- j.  November
- k.  October
- l.  September
- 6.  ALCO 2007
  - a.  June
  - b.  Scanned ALCO - April 24 2007
  - c.  Scanned ALCO - August 28 2007
  - d.  Scanned ALCO - December 18 2007
  - e.  Scanned ALCO - February 25 2007
  - f.  Scanned ALCO - January 23 2007
  - g.  Scanned ALCO - July 24 2007
  - h.  Scanned ALCO - March 27 2007
  - i.  Scanned ALCO - May 21 2007
  - j.  Scanned ALCO - November 27 2007
  - k.  Scanned ALCO - October 30 2007
  - l.  Scanned ALCO - September 18 2007
- 7.  ALCO 2008
  - a.  19 May 2008
  - b.  22 April 2008
  - c.  22 January 2008
  - d.  22 July 2008
  - e.  23 December 2008
  - f.  23 September 2008
  - g.  24 June 2008
  - h.  25 November 2008
  - i.  26 August 2008
  - j.  28 October 2008
  - k.  March
- 8.  ALCO 2009
  - a.  February ALCO 2009
  - b.  January 2009
  - c.  January ALCO 2009
  - d.  March 2009
  - e.  March ALCO 2009
- 9.  Item 1 & 5
  - a.  Bios for Federal Subpoena
  - b.  Historical Organizational Charts - Investment Department
  - c.  RESPONSES to 1 and 5
- 10.  Item 2 & 3
  - a.  RESPONSES to 2, 3 and 4
- 11.  Item 4
  - a.  PRICING 06-30-2010 - RMBS
  - b.  PRICING 12-31-2003 - RMBS
  - c.  PRICING 12-31-2004 - RMBS
  - d.  PRICING 12-31-2005 - RMBS
  - e.  PRICING 12-31-2006 - RMBS
  - f.  PRICING 12-31-2007 - RMBS
  - g.  PRICING 12-31-2008 - RMBS
  - h.  PRICING 12-31-2009 - RMBS
  - i.  RMBS - Maturities 2003-2009
  - j.  RMBS - Realized Losses
  - k.  RMBS - Sales 2003-2009
  - l.  RMBS - Write downs
- c.  2010-09-21 Production- Disk # 642

1.  10001-10500
  2.  1001-1500
  3.  10501-11000
  4.  1-1000
  5.  11001-11500
  6.  11501-12000
  7.  12001-12500
  8.  12501-13000
  9.  13001-13500
  10.  13501-13919
  11.  1501-2000
  12.  2001-2500
  13.  2501-3000
  14.  3001-3500
  15.  3501-4000
  16.  4001-4500
  17.  4501-5000
  18.  5001-5500
  19.  5501-6000
  20.  6001-6500
  21.  6501-7000
  22.  7001-7500
  23.  7501-8000
  24.  8001-8500
  25.  8501-9000
  26.  9001-9500
  27.  9501-10000
52.  NIBC
- a.  NIBCCM 000001-000015
53.  OCC
- a.  2010-06-14 Production
    1.  CompressedPWG Supervision Questionnaire Final OCC
    2.  Financial Market Disruption
    3.  Risk Management Testimony-3-18-09-Long. pdf
  - b.  2010-06-22 Production
    1.  Interagency Statement Re Risks Posed by Derivatives
  - c.  2010-06-24 Production
    1.  ARS
      - a.  ARS - April 2009
      - b.  ARS - April 2010
      - c.  ARS - August 2009
      - d.  ARS - Cheat Sheet 2-28-10
      - e.  ARS - Cheat Sheet 5-16-08
      - f.  ARS - December 2008
      - g.  ARS - February 2009
      - h.  ARS - February 2010
      - i.  ARS - January 2009
      - j.  ARS - July 2008 (Inactive)
      - k.  ARS - July 2009
      - l.  ARS - June 2009
      - m.  ARS - March 2009
      - n.  ARS - May 2009
      - o.  ARS - November 2008
      - p.  ARS - November 2009
      - q.  ARS - October 2008
      - r.  ARS - October 2009









- s.  ARS - September 2008
  - t.  ARS - September 2008
  - u.  ARS - September 2009
2.  BOLI
- a.  BOLI - 2009
  - b.  BOLI - April 2010
  - c.  BOLI - August 2009
  - d.  BOLI - December 2008
  - e.  BOLI - February 2009
  - f.  BOLI - February 2010
  - g.  BOLI - January 2009
  - h.  BOLI - July 2009
  - i.  BOLI - June 2009
  - j.  BOLI - March 2009
  - k.  BOLI - May 2009
  - l.  BOLI - November 2008
  - m.  BOLI - November 2009
  - n.  BOLI - October 2008
  - o.  BOLI - October 2009
  - p.  BOLI - September 2008
  - q.  BOLI - September 2008
  - r.  BOLI - September 2009
  - s.  BOLI Cheat 2-28-10
  - t.  BOLI Cheat Sheet 5-16-08
  - u.  BOLI June 2008
3.  CDO
- a.  CDO - April 2009
  - b.  CDO - August 2008
  - c.  CDO - August 2009
  - d.  CDO - December 2008
  - e.  CDO - February 2009
  - f.  CDO - January 2009
  - g.  CDO - July 2009
  - h.  CDO - June 2008
  - i.  CDO - June 2009
  - j.  CDO - March 2009
  - k.  CDO - May 2009
  - l.  CDO - November 2008
  - m.  CDO - October 2008
  - n.  CDO - October 2009
  - o.  CDO - September 2008
  - p.  CDO - September 2009
  - q.  cdocheatsheet 4-22-2008
4.  CDRI
- a.  CDRI Bachus
  - b.  CDRI Dodd
  - c.  CDRI Frank
  - d.  CDRI Shelby
5.  CDS
- a.  CDS - April 2009
  - b.  CDS - April 2010
  - c.  CDS - August 2009
  - d.  CDS - December 2008
  - e.  CDS - February 2009
  - f.  CDS - January 2009
  - g.  CDS - July 2009
























































- h.  CDS - June 2008
  - i.  CDS - June 2009
  - j.  CDS - March 2009
  - k.  CDS - March 2010
  - l.  CDS - May 2009
  - m.  CDS - May 2010
  - n.  CDS - November 2008
  - o.  CDS - November 2009
  - p.  CDS - October 2008
  - q.  CDS - October 2009
  - r.  CDS - September 2008
  - s.  CDS - September 2009
  - t.  CDS August 2008
  - u.  CDScheatsheet 5-27-2008
6.  CMBS
- a.  CMBS - April 2009
  - b.  CMBS - April 2010
  - c.  CMBS - August 2008
  - d.  CMBS - August 2009
  - e.  CMBS - February 2009
  - f.  CMBS - February 2010
  - g.  CMBS - January 2009
  - h.  CMBS - July 2009
  - i.  CMBS - June 2008
  - j.  CMBS - June 2009
  - k.  CMBS - March 2009
  - l.  CMBS - May 2009
  - m.  CMBS - May 2010
  - n.  CMBS - November 2008
  - o.  CMBS - November 2009
  - p.  CMBS - October 2008
  - q.  CMBS - October 2009
  - r.  CMBS - September 2008
  - s.  CMBS - September 2009
  - t.  CMBScheatsheet 4-28-2008
7.  Counterparty Credit Risk
- a.  Counterparty Credit Risk - April 2009
  - b.  Counterparty Credit Risk - April 2010
  - c.  Counterparty Credit Risk - August 2008
  - d.  Counterparty Credit Risk - August 2009
  - e.  Counterparty Credit Risk - December 2008
  - f.  Counterparty Credit Risk - February 2009
  - g.  Counterparty Credit Risk - January 2009
  - h.  Counterparty Credit Risk - July 2009
  - i.  Counterparty Credit Risk - June 2009
  - j.  Counterparty Credit Risk - March 2009
  - k.  Counterparty Credit Risk - May 2009
  - l.  Counterparty Credit Risk - November 2008
  - m.  Counterparty Credit Risk - November 2009
  - n.  Counterparty Credit Risk - October 2008
  - o.  Counterparty Credit Risk - October 2009
  - p.  Counterparty Credit Risk - September 2008
  - q.  Counterparty Credit Risk - September 2009
  - r.  counterparty credit risk cheat sheet 4-22-2008
8.  Covered Bond
- a.  Covered Bond - April 2009

- b.  Covered Bond - August 2009
  - c.  Covered Bond - December 2008
  - d.  Covered Bond - February 2009
  - e.  Covered Bond - January 2009
  - f.  Covered Bond - July 2008 (Inactive)
  - g.  Covered Bond - July 2009
  - h.  Covered Bond - June 2009
  - i.  Covered Bond - March 2009
  - j.  Covered Bond - May 2009
  - k.  Covered Bond - November 2008
  - l.  Covered Bond - November 2009
  - m.  Covered Bond - October 2008
  - n.  Covered Bond - October 2009
  - o.  Covered Bond - September 2008
  - p.  Covered Bond - September 2008
  - q.  Covered Bond - September 2009
  - r.  Covered Bond Cheat Sheet - 2-28-10
  - s.  Covered Bond Cheat Sheet - 5-16-08
  - t.  Covered Bonds - April 2010
9.  CRE
- a.  CRE - April 2009
  - b.  CRE - August 2009
  - c.  CRE - December 2008
  - d.  CRE - February 2009
  - e.  CRE - January 2009
  - f.  CRE - March 2009
  - g.  CRE - March 2010
  - h.  CRE - May 2009
  - i.  CRE - November 2008
  - j.  CRE - November 2009
  - k.  CRE - October 2008
  - l.  CRE - September 2008
  - m.  CRE - September 2009
  - n.  CRE August 2008
  - o.  CRE June 2008
10.  Credit Card
- a.  Credit Card - December 2008
  - b.  Credit Card - February 2009
  - c.  Credit Card - February 2009
  - d.  Credit Card - January 2009
  - e.  Credit Card - July 2009
  - f.  Credit Card - March 2009
  - g.  Credit Card - May 2009
  - h.  Credit Card - September 2008
  - i.  Credit Card August 2008
  - j.  Credit Card November 2008
  - k.  Credit Card October 2008
11.  FHLB
- a.  FHLB - April 2009
  - b.  FHLB - August 2008
  - c.  FHLB - August 2009
  - d.  FHLB - December 2009
  - e.  FHLB - February 2009
  - f.  FHLB - January 2009
  - g.  FHLB - July 2009
  - h.  FHLB - June 2008

- i.  FHLB - June 2009
  - j.  FHLB - March 2009
  - k.  FHLB - May 2009
  - l.  FHLB - November 2008
  - m.  FHLB - November 2009
  - n.  FHLB - October 2008
  - o.  FHLB - October 2009
  - p.  FHLB - September 2008
  - q.  FHLB - September 2008
  - r.  FHLB - September 2009
  - s.  FHLB Cheat Sheet - 03-01-10
  - t.  FHLB Cheat Sheet - 5-16-08
  - u.  FHLB Cheat Sheet - April 2010
  - v.  FHLB Cheat Sheet - December 2008
12.  Financial Markets Snapshot
- a.  Financial Markets Snapshot - 1 Pager (07312008)
  - b.  Financial Markets Snapshot - 1 Pager (08012008)
  - c.  Financial Markets Snapshot - 1 Pager (08082008)
  - d.  Financial Markets Snapshot - 1 Pager (09192008)
  - e.  Financial Markets Snapshot - 1 Pager (10102008)
  - f.  Financial Markets Snapshot (01232009)
  - g.  Financial Markets Snapshot (02062009)
  - h.  Financial Markets Snapshot (05012009)
  - i.  Financial Markets Snapshot (05292009)
  - j.  Financial Markets Snapshot (07022009)
  - k.  Financial Markets Snapshot (07312009)
  - l.  Financial Markets Snapshot (10022009)
  - m.  Financial Markets Snapshot (12262008)
13.  Foreclosure Quick Reference
- a.  Foreclosure Quick Reference - 3Q 2008
  - b.  Foreclosure Quick Reference - 4Q2009
  - c.  Foreclosure Quick Reference - April 2008
  - d.  Foreclosure Quick Reference 1Q08
  - e.  Foreclosure Quick Reference 1Q2009
  - f.  Foreclosure Quick Reference 2Q08
  - g.  Foreclosure Quick Reference 4Q 2008
  - h.  Foreclosure Quick Reference 4Q08 final
  - i.  Foreclosure Quick Reference- Q2 2009 final
  - j.  Foreclosure Quick Reference Q3 2009
  - k.  Foreclosure Reference Cover Note Q3-2009
14.  Home Equity
- a.  Home Equity - August 2008
  - b.  Home Equity - February 2009
  - c.  Home Equity - February 2009
  - d.  Home Equity - January 2009
  - e.  Home Equity - July 2009
  - f.  Home Equity - March 2009
  - g.  Home Equity - November 2008
  - h.  Home Equity - October 2008
  - i.  Home Equity June 2008
  - j.  Home Equity May 2009
  - k.  Home Equity- September 2008
15.  LB Capital Raising
- a.  LB Capital Raising - 3Q 2008
  - b.  LB Capital Raising - August 2008
  - c.  LB Capital Raising - January 2009



























































- d.  LB Capital Raising - Nov 2008
  - e.  LB Capital Raising 3Q 2008
  - f.  LB Capital Raising Activities - July 2008
  - g.  LB Capital Raising Activities - May 2008
  - h.  LB Capital Raising Q3 2008
16.  Leveraged Loans
- a.  Leveraged Loan - April 2009
  - b.  Leveraged Loan - March 2009
  - c.  Leveraged Loan - November 2009
  - d.  Leveraged Loans - April 2010
  - e.  Leveraged Loans - August 2008
  - f.  Leveraged Loans - December 2008
  - g.  Leveraged Loans - December 2009
  - h.  Leveraged Loans - February 2009
  - i.  Leveraged Loans - February 2010
  - j.  Leveraged Loans - January 2009
  - k.  Leveraged Loans - June 2008
  - l.  Leveraged Loans - May 2009
  - m.  Leveraged Loans - November
  - n.  Leveraged Loans - October 2008
  - o.  Leveraged Loans - September 2008
17.  Monoline
- a.  Monoline - April 2009
  - b.  Monoline - August 2009
  - c.  Monoline - December 2008
  - d.  Monoline - December 2009
  - e.  Monoline - February 2009
  - f.  Monoline - February 2010
  - g.  Monoline - January 2009
  - h.  Monoline - July 2008
  - i.  Monoline - July 2009
  - j.  Monoline - June 2008
  - k.  Monoline - June 2009
  - l.  Monoline - March 2009
  - m.  Monoline - May 2009
  - n.  Monoline - November 2008
  - o.  Monoline - November 2009
  - p.  Monoline - October 2009
  - q.  Monoline - September 2008
  - r.  Monoline - September 2008
  - s.  Monoline - September 2009
  - t.  Monoline Cheat Sheet 2-28-10
  - u.  Monoline Cheat Sheet 5-15-08
  - v.  Monolines - April 2010
18.  Wachovia
19.  Weekly Market Summary
- a.  market summary April 10 2009
  - b.  market summary April 11 2008
  - c.  market summary April 17 2009
  - d.  market summary April 18 2008
  - e.  market summary April 24 2009
  - f.  market summary April 25 2008
  - g.  market summary April 3 2009
  - h.  market summary Aug 27 2007
  - i.  market summary Aug 28 2007
  - j.  market summary Aug 29 2007
























































- k.  market summary Aug 30 2007
- l.  market summary Aug 31 2007
- m.  market summary August 1 2008
- n.  market summary August 14 2009
- o.  market summary August 15 2008
- p.  market summary August 21 2009
- q.  market summary August 22 2008
- r.  market summary August 28 2009
- s.  market summary August 29 2008
- t.  market summary August 7, 2009
- u.  market summary August 8 2008
- v.  market summary Dec 14 2007
- w.  market summary Dec 21 2007
- x.  market summary Dec 28 2007
- y.  market summary Dec 7 2007
- z.  market summary December 11 2009
- aa.  market summary December 12 2008
- ab.  market summary December 18 2009
- ac.  market summary December 19 2008
- ad.  market summary December 4 2009
- ae.  market summary December 5 2008
- af.  market summary Feb 1 2008
- ag.  market summary Feb 15 2008
- ah.  market summary Feb 22 2008
- ai.  market summary Feb 29 2008
- aj.  market summary Feb 8 2008
- ak.  market summary February 13 2009
- al.  market summary February 20 2009
- am.  market summary February 27 2009
- an.  market summary February 6 2009
- ao.  market summary Jan 04 2008
- ap.  market summary Jan 11 2008
- aq.  market summary Jan 18 2008
- ar.  market summary Jan 25 2008
- as.  market summary January 16 2009
- at.  market summary January 23 2009
- au.  market summary January 30 2009
- av.  market summary January 8 2010
- aw.  market summary January 9 2009
- ax.  market summary July 10 2009
- ay.  market summary July 11 2008
- az.  market summary July 17 2009
- ba.  market summary July 18 2008
- bb.  market summary July 2 2009
- bc.  market summary July 24 2009
- bd.  market summary July 25 2008
- be.  market summary July 3 2008
- bf.  market summary July 31, 2009
- bg.  market summary June 12 2009
- bh.  market summary June 19 2009
- bi.  market summary June 20 2008
- bj.  market summary June 26 2009
- bk.  market summary June 27 2008
- bl.  market summary June 5 2009
- bm.  market summary June 6 2008

- bn.  market summary March 13 2009
- bo.  market summary March 14 2008
- bp.  market summary March 20 2009
- bq.  market summary March 21 2008
- br.  market summary March 27 2009
- bs.  market summary March 28 2008
- bt.  market summary March 6 2009
- bu.  market summary March 7 2008
- bv.  market summary May 1 2009
- bw.  market summary May 15 2009
- bx.  market summary May 16 2008
- by.  market summary May 2 2008
- bz.  market summary May 22 2009
- ca.  market summary May 23 2008
- cb.  market summary May 29 2009
- cc.  market summary May 30 2008
- cd.  market summary May 8 2009
- ce.  market summary May 9 2008
- cf.  market summary Nov 16 2007
- cg.  market summary Nov 23 2007
- ch.  market summary Nov 30 2007
- ci.  market summary Nov 9 2007
- cj.  market summary November 13 2009
- ck.  market summary November 14 2008
- cl.  market summary November 20 2009
- cm.  market summary November 21 2008
- cn.  market summary November 27 2009
- co.  market summary November 28 2008
- cp.  market summary November 6 2009
- cq.  market summary November 7 2008
- cr.  market summary Oct 1 2007
- cs.  market summary Oct 10 2007
- ct.  market summary Oct 11 2007
- cu.  market summary Oct 12 2007
- cv.  market summary Oct 2 2007
- cw.  market summary Oct 26 2007
- cx.  market summary Oct 3 2007
- cy.  market summary Oct 4 2007
- cz.  market summary Oct 5 2007
- da.  market summary Oct 9 2007
- db.  market summary October 10 2008
- dc.  market summary October 16 2009
- dd.  market summary October 17 2008
- de.  market summary October 2 2009
- df.  market summary October 23 2009
- dg.  market summary October 24 2008
- dh.  market summary October 3 2008
- di.  market summary October 30 2009
- dj.  market summary October 31 2008
- dk.  market summary October 9 2009
- dl.  market summary Sept 10 2007
- dm.  market summary Sept 11 2007
- dn.  market summary Sept 12 2007
- do.  market summary Sept 13 2007
- dp.  market summary Sept 14 2007

- dq.  market summary Sept 17 2007
- dr.  market summary Sept 18 2007
- ds.  market summary Sept 19 2007
- dt.  market summary Sept 20 2007
- du.  market summary Sept 21 2007
- dv.  market summary Sept 4 2007
- dw.  market summary Sept 5 2007
- dx.  market summary Sept 6 2007
- dy.  market summary Sept 7 2007
- dz.  market summary September 11 2009
- ea.  market summary September 12 2008
- eb.  market summary September 18 2009
- ec.  market summary September 25 2009
- ed.  market summary September 26 2008
- ee.  market summary September 4 2009
- ef.  market summary September 5 2008
- eg.  Weekly Market Summary - April 1 - 2010
- eh.  Weekly Market Summary - April 16 - 2010
- ei.  Weekly Market Summary - April 23 - 2010
- ej.  Weekly Market Summary - April 30 - 2010
- ek.  Weekly Market Summary - April 9 - 2010
- el.  Weekly Market Summary - February 12 - 2010
- em.  Weekly Market Summary - February 19 - 2010
- en.  Weekly Market Summary - February 26 - 2010
- eo.  Weekly Market Summary - January 15 - 2010
- ep.  Weekly Market Summary - January 22 - 2010
- eq.  Weekly Market Summary - January 29 - 2010
- er.  Weekly Market Summary - June 4 - 2010
- es.  Weekly Market Summary - March 12 - 2010
- et.  Weekly Market Summary - March 19 - 2010
- eu.  Weekly Market Summary - March 26 - 2010
- ev.  Weekly Market Summary - March 5 - 2010
- ew.  Weekly Market Summary - May 14 - 2010
- ex.  Weekly Market Summary - May 21 - 2010
- ey.  Weekly Market Summary - May 28 - 2010
- ez.  Weekly Market Summary - May 7 - 2010
- 20.  1-10449-JPM\_RAS\_2007\_2Q\_Quarterly\_RAS\_Submission\_Final
- 21.  1-11418-ROE\_JPM\_123105\_-\_JPMC\_Bank\_NA\_CTR\_8\_V032906\_-\_Signature
- 22.  1-11419-ROE\_JPM\_123105\_-\_Bank\_One\_Trust\_Co\_NA\_CTR\_16235\_V032906\_-\_Signature
- 23.  1-11420-ROE\_JPM\_123105\_-\_Chase\_Bank\_USA\_NA\_CTR\_23160\_V032906\_-\_Signature
- 24.  1-11421-ROE\_JPM\_123105\_-\_JP\_Morgan\_Trust\_Co\_NA\_CTR\_23470\_V032906\_-\_Signature
- 25.  1-11423-ROE\_JPM\_123106\_-\_JPMC\_Bank\_NA\_CTR\_8\_-\_Signature
- 26.  1-11424-ROE\_JPM\_123106\_-\_Chase\_Bank\_USA\_NA\_CTR\_23160\_-\_Signature
- 27.  1-11425-ROE\_JPM\_123106\_-\_JP\_Morgan\_Trust\_Co\_NA\_CTR\_23470\_-\_Signature
- 28.  1-14756-JPM\_RAS\_2007\_3Q\_Quarterly\_RAS\_Submission\_Final
- 29.  1-153013-1-128649-JPMC\_3Q\_RAS
- 30.  1-163892-JPMC\_Q4\_2009\_CORE\_Risk\_Assessment\_-\_Bank
- 31.  1-17385-JPM\_RAS\_2007\_4Q\_Core\_RAS\_Submission\_Final
- 32.  1-18026-ROE\_JPM\_20071231\_Chase\_Bank\_USA\_NA\_CTR\_23160\_-\_Signature
- 33.  1-18027-ROE\_JPM\_20071231\_JPMorgan\_Chase\_Bank\_NA\_CTR\_8\_-\_Signature
- 34.  1-18028-ROE\_JPM\_20071231\_J\_P\_Morgan\_Trust\_Company\_NA\_CTR\_23470\_-\_Signature
- 35.  1-181511-JPMorgan\_Chase\_Bank\_\_National\_Association\_Columbus
- 36.  1-181513-Chase\_Bank\_USA\_\_N\_A\_\_Wilmington\_\_Delaware
- 37.  1-181515-JPMorgan\_Bank\_and\_Trust\_Company\_\_N\_A\_\_San\_Francisco
- 38.  1-20570-JPM\_RAS\_2008\_1Q\_Quarterly\_RAS\_Submission\_Final

39.  1-2106-JPM\_RAS\_2007\_1Q\_Quarterly\_RAS\_Submission\_Final
40.  1-2108-JPM\_RAS\_2006\_3Q\_Quarterly\_RAS\_Submission\_Final
41.  1-2109-JPM\_RAS\_2006\_1Q\_Quarterly\_RAS\_Submission\_Final
42.  1-2110-JPM\_RAS\_2006\_2Q\_Quarterly\_RAS\_Submission\_Final
43.  1-2111-JPM\_RAS\_2006\_4Q\_Core\_RAS\_Submission\_Final
44.  1-22573-JPM\_RAS\_2008\_2Q\_Quarterly\_RAS\_Submission\_Final
45.  1-27469-JPMC\_3Q08\_RAS
46.  1-4 Fam Residential Q2 2009
47.  1-4 Family Residential
48.  1-4 Family Residential RE - April 2009
49.  1-4 Family Residential RE - August 2008
50.  1-4 Family Residential RE - December 2008
51.  1-4 Family Residential RE - January 2009
52.  1-4 Family Residential RE - June 2009
53.  1-4 Family Residential RE - March 2009
54.  1-4 Family Residential RE - May 2008
55.  1-4 Family Residential RE - November 2008
56.  1-4 Family Residential RE - September 2008
57.  1-4 Residential Housing - February 2009
58.  1-62059-JPMC\_1Q\_RAS
59.  1-Chart in 1-10449-JPM\_RAS\_2007\_2Q\_Quarterly\_RAS\_Submission\_Final.doc
60.  1-Chart in 1-2108-JPM\_RAS\_2006\_3Q\_Quarterly\_RAS\_Submission\_Final.doc
61.  1-Chart in 1-2110-JPM\_RAS\_2006\_2Q\_Quarterly\_RAS\_Submission\_Final.doc
62.  1Q04 LBSRFinal
63.  1Q05 LBSR Final
64.  1Q2004 Derivatives Report
65.  1Q2005 Derivatives Report
66.  1Q2006 Derivatives Report
67.  1Q2007 Derivatives Report
68.  1Q2008 Derivatives Report
69.  1Q2009 ALLL Stress
70.  1Q2009 Derivatives Report
71.  2004 ROE Wachovia
72.  2004 Wells Fargo (Lead Bank) Report of Examination
73.  2004 Wells Fargo RAS 1Q
74.  2004 Wells Fargo RAS 2Q
75.  2004 Wells Fargo RAS 3Q
76.  2004 Wells Fargo RAS 4Q
77.  2005 ROE Wells Fargo Grand Junction-Downtown N.A. #18749
78.  2005 ROE WF Alaska Trust Company N.A. 24471
79.  2005 ROE WF Bank Grand Junction N.A. #15317
80.  2005 ROE WF Bank Northwest # 2597
81.  2005 ROE WF Financial NB #21099
82.  2005 ROE WF HSBC Trade Bank #22897
83.  2005 ROE WF NB South Central #11668
84.  2005 Wells Fargo (Lead Bank) Report of Examination
85.  2005 Wells Fargo RAS 1Q
86.  2005 Wells Fargo RAS 2Q
87.  2005 Wells Fargo RAS 3Q
88.  2005 Wells Fargo RAS 4Q
89.  2006 ROE\_WF\_Alaska\_Trust\_24471
90.  2006 Wells Fargo (Lead Bank) Report of Examination
91.  2006 Wells Fargo RAS 1Q
92.  2006 Wells Fargo RAS 2Q
93.  2006 Wells Fargo RAS 3Q (Core Assessment)






94.  2006 Wells Fargo RAS 4Q
95.  2006\_ROE\_WF\_Financial\_NB\_21099
96.  2006\_ROE\_WF\_HSBC\_Trade\_Bank\_22897
97.  2006\_ROE\_WFB\_Grand\_Junction\_15317
98.  2006\_ROE\_WFB\_Grand\_Junction-Downtown\_18749
99.  2006\_ROE\_WFB\_Northwest\_2597
100.  2006\_ROE\_WFNB\_South\_Central\_11668
101.  2007 ROE Wells Fargo Alaska Trust Company, N.A.
102.  2007 ROE Wells Fargo Bank Grand Junction, N.A.
103.  2007 ROE WF Bank Grand Junction - Downtown, N.A.
104.  2007 ROE WF Financial NB
105.  2007 ROE WF HSBC Trade Bank, N.A.
106.  2007 ROE WF NB South Central
107.  2007 ROE WFB Northwest, N.A.
108.  2007 Wells Fargo (Lead Bank) Report of Examination
109.  2007 Wells Fargo RAS 1Q
110.  2007 Wells Fargo RAS 2Q
111.  2007 Wells Fargo RAS 3Q (Core Assessment)
112.  2007 Wells Fargo RAS 4Q
113.  2008 OCCDMRM\_n21909\_v4\_WB\_2008\_EIC\_-\_ROE\_-\_WBNA\_2008\_ROE\_as\_of\_6-30-08\_
114.  2008 Wells Fargo (Lead Bank) Report of Examination
115.  2008 Wells Fargo RAS 1Q
116.  2008 Wells Fargo RAS 2Q
117.  2008 Wells Fargo RAS 3Q (Core Assessment)
118.  2008 Wells Fargo RAS 4Q
119.  2008\_ROE\_WF\_Alaska\_Trust\_Co\_24471
120.  2008\_ROE\_WF\_Financial\_NB\_21099
121.  2008\_ROE\_WF\_HSBC\_Trade\_Bank\_NA\_22897
122.  2008\_ROE\_WFB\_Grand\_Junction\_Downtown\_NA\_18749
123.  2008\_ROE\_WFB\_Grand\_Junction\_NA\_15317
124.  2008\_ROE\_WFB\_Northwest\_NA\_2597
125.  2008\_ROE\_WFNB\_South\_Central\_11668
126.  2009 Riegle CDRIA Section 305 Report - 3-25-10
127.  2009 ROE Wachovia\_Bank NA - 1 (Previously Provided)
128.  2009 ROE WFB\_South\_Central - 11668
129.  2009 ROE\_Delaware\_Trust\_Co\_NA - 23201
130.  2009 ROE\_Wachovia\_Card\_Services\_NA - 24728
131.  2009 ROE\_WB\_of\_Delaware\_NA - 22559
132.  2009 ROE\_WF\_Alaska\_Trust\_Co\_NA - 24471
133.  2009 ROE\_WF\_Financial\_NB - 21099
134.  2009 ROE\_WF\_HSBC\_Trade\_Bank\_NA - 22897
135.  2009 ROE\_WFB\_Northwest\_NA - 2597
136.  2009 Wachovia (Not Lead Bank, but Significant Affiliate) Report of Examination
137.  2009 Wells Fargo (Lead Bank) Report of Examination
138.  2009 Wells Fargo RAS 1Q
139.  2009 Wells Fargo RAS 2Q
140.  2009 Wells Fargo RAS 3Q (Core Assessment)
141.  2009 Wells Fargo RAS 4Q
142.  2009-10-09 - Supervision Study - Consolidated OCC edits-10-28-09-v2
143.  2-176212-1Q\_2010\_RAS
144.  2-90207-JPMC\_2Q\_RAS
145.  2Q04 FinalWachovia LBSR
146.  2Q05 LBSR Final
147.  2Q2004 Derivatives Report
148.  2Q2005 Derivatives Report
























































149.  2Q2006 Derivatives Report
150.  2Q2007 Derivatives Report
151.  2Q2008 Derivatives Report
152.  2Q2009 ALLL Sensitivity
153.  2Q2009 Derivatives Report
154.  2Q2009 LB Residential RE Concentration Supplement
155.  2-Worksheet in BAC LBS Report 2005-4Q.doc
156.  3-14-05 Frank Sec 305 of CDRI
157.  3-14-05 Oxley Sec 305 of CDRI
158.  3-14-05 Sarbanes Sec 305 of CDRI
159.  3-14-05 Shelby Sec 305 of CDRI
160.  3-49300-JPM\_Chase\_National\_Association\_2008\_ROE
161.  3-49818-JPMC\_Bank\_and\_Trust\_Company\_\_NA\_\_2008\_ROE
162.  3Q04 LBSR\_Final
163.  3Q05 Wachovia LBSR
164.  3Q2004 Derivatives Report
165.  3Q2005 Derivatives Report
166.  3Q2006 Derivatives Report
167.  3Q2007 Derivatives Report
168.  3Q2008 Derivatives Report
169.  3Q2009 Derivatives Report
170.  3Q2009 Residential RE Concentration Supplement
171.  4-43097-JPMC\_12-31-08\_CORE\_Assessment
172.  4-50262-2008\_JPM\_Chase\_Bank\_USA\_\_NA\_\_23160
173.  4Q04 LBSR Final
174.  4Q-08 NRC Commerical Credit Update
175.  4Q2004 Derivatives Report
176.  4Q2005 Derivatives Report
177.  4Q2006 Derivatives Report
178.  4Q2007 Derivatives Report
179.  4Q2008 ALLL Stress
180.  4Q2008 Derivatives Report
181.  4Q2009 ALLL Sensitivity
182.  4Q2009 Derivatives Report
183.  ABS CDO Rating - December 2008
184.  ABS CDO Rating - January 2009
185.  ABS CDO Rating - November 2008
186.  AFS Dashboard
187.  April 2009 Price Risk Report
188.  August 2009 Price Risk Report
189.  BAC 2004 ROE
190.  BAC 2005 ROE
191.  BAC 2007 ROE
192.  BAC 2008 ROE
193.  BAC LBS Report 2004-1Q
194.  BAC LBS Report 2004-2Q
195.  BAC LBS Report 2004-3Q
196.  BAC LBS Report 2004-4Q
197.  BAC LBS Report 2005-1Q
198.  BAC LBS Report 2005-2Q
199.  BAC LBS Report 2005-3Q
200.  BAC LBS Report 2005-4Q
201.  BAC LBS Report 2006-1Q
202.  BAC LBS Report 2006-2Q
203.  BAC LBS Report 2006-3Q

























































204.  BAC LBS Report 2006-4Q
205.  BAC LBS Report 2007-1Q
206.  BAC LBS Report 2007-2Q
207.  Bachus
208.  Bank Performance
209.  Candl
210.  Capital Key Indicators
211.  Commodity
212.  Condition of the Banking System - 1 Q 2009
213.  Condition of the Banking System - 1Q 2009
214.  Condition of the banking system - 2Q 2009
215.  Condition of the Banking System - 4Q2009
216.  Condition of the Banking System 3Q 2008
217.  Condition of the Banking Sytem - 1Q 2009
218.  Condition of the National Banking System - May 2008
219.  Condition of the National Banking System - September 2008
220.  CRE
221.  credit gdp
222.  Credit Ratings - Pending Legislation and Potential Credit Agency Downgrades - May 2010
223.  Debt Sustainability
224.  December 2009 Price Risk Report
225.  Developed Europe
226.  Developed Europe
227.  Dodd
228.  Dugan Briefing - Derivatives 2005
229.  EditorsNote
230.  EM Risk Factors with table
231.  European Industry Analysis
232.  Evolving List of Lessons Learned
233.  Exchange vs CCP - October 2009
234.  ExCom Briefing - Hedge Funds - June 2005
235.  ExCom NRC Update (Liquidity Focus) November 1, 2007
236.  FAS 140 - December 2008
237.  FAS 140 August 2008
238.  FAS 140 Summary October 2008
239.  FAS 166 and FAS 167 - August 2009
240.  Febraury 2010 Price Risk Report
241.  February 2009 Price Risk Report
242.  FIN 46(R) Summary October 2008
243.  FIN 46(R) Summary of Proposed Changes
244.  FIN 46(R) Summary of Proposed Changes\_December
245.  Final 1Q2007 RAS - Wachovia Corporation
246.  Final 2005 ROE Delaware Trust Bank CN23201
247.  Final 2005 ROE Wachovia Lead Bank CN1
248.  Final 2005 ROE WBoFD CN 22559
249.  FINAL 2006 ROE Delaware Trust Company N A CN23201 Final
250.  FINAL 2006 ROE WBD N A CN22559 Final
251.  FINAL 2007 ROE Delaware Trust Company N A CN23201 \_2\_
252.  FINAL 2007 ROE WBD N A CN22559
253.  FINAL 2007 ROE WCS N A CN24728
254.  Final 2Q06 WACHOVIA RAS
255.  FINAL 2Q07 RAS WAC
256.  Final 3-31-2006 Core Assessment - Wachovia
257.  Final 3Q06 WACHOVIA RAS
258.  Final 4Q05 RAS - Consolidated Wachovia


























































259.  Final 4Q06 Wachovia Core Assessment
260.  FINAL 4Q07 OCCDMRM\_n16755\_v1\_WB\_2008\_EIC\_-\_CORE\_ASSESSMENT\_-\_2007\_as\_of\_12\_31\_07\_dates\_2\_15\_08
261.  Final 4Q08 OCCDMRM\_n44518\_v2\_WB\_2009\_EIC\_-\_CORE\_ASSESSMENT\_-\_as\_of\_12\_31\_2008\_-\_dated\_2\_17\_2009
262.  FINAL DUGAN FCIC Statement 4-8-10
263.  FINAL2007 ROE for CN1
264.  Financial Markets
265.  Financial Markets Snapshot - 1 Pager (10102008)
266.  Foreclosures 2Q 2008
267.  Frank1
268.  FRB Lending Facilites - April 2010
269.  FRB Lending Facilites - December 2009
270.  FRB Lending Facilites - March 2010
271.  FRB Lending Facilites - October 2009
272.  FRB Lending Facilities - October 2009
273.  GBFA Scenarios
274.  GBFA\_Russia\_Trip\_Report\_March\_2009\_final
275.  Global Risk Overview
276.  GO Bank Performance
277.  GO Commodity Markets
278.  GO Financial Markets
279.  GO Global Risk Overview
280.  GO International Economics
281.  GO US CRE
282.  GO US Economics
283.  GO US Industry
284.  GSE - September 2008
285.  Highlights of 1Q2008 Condition ed
286.  Homebuilders March 2009 Final
287.  Homebuilders March 2009 FinalA
288.  Housing Market Update - August 2008
289.  Housing Market Update - December 2008
290.  Housing Market Update - May 2009
291.  Housing Market Update - November 2008
292.  Housing Market Update - November 2008
293.  Housing Market Update - October 2008
294.  Housing Market Update Cheat Sheet 4-28-2008
295.  Industry update
296.  Interconnections of Risk November 2008
297.  International
298.  January 2009 Price Risk Report
299.  January 2010 Price Risk Report
300.  January 29\_Interagency\_Clean\_Incentive Comp
301.  July 2009 Price Risk Report
302.  June 2009 Price Risk Report
303.  Large Bank Capital Offerings - June 2009
304.  Large Bank Capital Offerings - March 2009
305.  Large Bank Exposure to Residential RE CDOs and Commitments
306.  LB Capital Activities - December 2009 -
307.  LB Capital Activities - March 2010
308.  LB CDO and Residential RE Exposure
309.  LB Residential RE Exposure 3Q 2008
310.  LBR - 1Q2009
311.  LBR - 2Q2009
312.  LBR - 3Q08
























































313.  LBR - 4Q08
314.  LBS ALLL Stress Test 1Q 2008
315.  LBS ALLL Stress Test 2Q2008
316.  LBS ALLL Stress Test 3Q2008
317.  Lehman Repo 105 - April 2010
318.  Lessons Learned Summary - Roeder2009
319.  Leverage Loan - September 2009
320.  Leveraged Lending - August 2009
321.  Leveraged Lending - July 2009
322.  Leveraged Lending - June 2009
323.  Leveraged Lending - October 2009
324.  levloanscheatsheet 5-29-2008
325.  LIBOR - May 2008
326.  Liquidity - June 2009
327.  Liquidity Impacts - Disruption - October 2008
328.  Liquidity Myths and Realities (KED) - Chicago FRB December 3, 2007
329.  Liquidity Outlier Analysis
330.  March 2009 Price Risk Report
331.  March 2010 Price Risk Report
332.  Market Disruptions and Policy Responses - October 2008
333.  May 2009 Price Risk Report
334.  Monthly Summary of Significant Rating Changes
335.  Mortgage Losses Cheat Sheet 2-28-2008
336.  National banking system update
337.  National Banking System Update 3Q 2008
338.  OCC Comments on Treasury White Paper
339.  OCCDMRM\_n12432\_v9\_WB2007\_EIC\_-\_LBR\_-\_3Q07\_RAS\_10-25-07
340.  OCCDMRM\_n13644\_v1\_BAC\_2007\_EIC\_-\_3Q\_LBSR
341.  OCCDMRM\_n144197\_v1\_BAC\_2010\_EIC\_-\_LBR\_3Q2009
342.  OCCDMRM\_n161096\_v1\_BAC\_2010\_EIC\_-\_2009\_Core\_Assessment\_Document
343.  OCCDMRM\_n16483\_v1\_2006\_BAC\_BANA\_ROE\_-\_Charter\_13044
344.  OCCDMRM\_n16484\_v1\_2006\_BAC\_FIA\_Card\_Services\_ROE
345.  OCCDMRM\_n16485\_v1\_2006\_BAC\_Bank\_of\_America\_Trust\_Co\_of\_Delaware\_ROE
346.  OCCDMRM\_n16486\_v1\_2006\_BAC\_Bank\_of\_America\_Oregon\_ROE
347.  OCCDMRM\_n16487\_v1\_2006\_BAC\_Bank\_of\_America\_California\_ROE
348.  OCCDMRM\_n16488\_v1\_2006\_BAC\_Bank\_of\_America\_Georgia\_ROE
349.  OCCDMRM\_n16489\_v1\_2006\_BAC\_Bank\_of\_America\_Rhode\_Island\_ROE
350.  OCCDMRM\_n16777\_v1\_BAC\_2007\_EIC\_-\_4QLBSR
351.  OCCDMRM\_n186002\_v1\_BAC\_2010\_EIC\_-\_2009\_ROE\_BOA\_California\_Charter\_\_24077
352.  OCCDMRM\_n186004\_v1\_BAC\_2010\_EIC\_-\_2009\_ROE\_FIA\_Charter\_\_22381
353.  OCCDMRM\_n186005\_v1\_BAC\_2010\_EIC\_-\_ROE\_-\_Trust
354.  OCCDMRM\_n186014\_v1\_BAC\_2010\_EIC\_-\_ROE\_-\_OR
355.  OCCDMRM\_n186016\_v1\_BAC\_2010\_EIC\_-\_ROE\_-\_Recon\_Trust
356.  OCCDMRM\_n186027\_v1\_BAC\_2010\_EIC\_-\_ROE\_-\_RI
357.  OCCDMRM\_n186030\_v1\_BAC\_2010\_EIC\_-\_2009\_ROE\_BANA\_Charter\_\_13044\_pdf
358.  OCCDMRM\_n19760\_v1\_BAC2008\_EIC\_-\_LBR\_-\_1Q08
359.  OCCDMRM\_n19820\_v1\_WB\_2008\_EIC\_-\_RAS\_1Q08\_RAS\_dated\_5-15-08
360.  OCCDMRM\_n21918\_v3\_WB\_2008\_EIC\_-\_ROE\_-\_Delaware\_Trust\_Company\_-\_Wachovia\_as\_of\_6-30-08
361.  OCCDMRM\_n21919\_v3\_WB\_2008\_EIC\_-\_ROE\_for\_Wachovia\_Bank\_of\_Delaware\_\_NA\_as\_of\_6-30-08
362.  OCCDMRM\_n21920\_v3\_WB\_2008\_EIC\_-\_ROE\_for\_Wachovia\_Card\_Services\_as\_of\_6-30-08
363.  OCCDMRM\_n22495\_v8\_BAC2008\_EIC\_-\_LBR\_-\_2Q08(8)
364.  OCCDMRM\_n22948\_v1\_WB\_2008\_EIC\_-\_RAS\_-\_2Q08\_Wachovia\_RAS\_dated\_8-18-08
365.  OCCDMRM\_n27116\_v2\_WB\_2008\_EIC\_-\_RAS\_-\_3Q08\_RAS\_dated\_11-15-08
366.  October 2009 Price Risk Report
























































367.  Paulson Briefing - Derivatives 2006
368.  Paulson Briefing - OCC Background Information and Credit Conditions 2006
369.  Pension Fund Losses
370.  Potential Areas of Credit
371.  Projected Counterparty Credit Valuation Adjustment Losses
372.  PWG Supervision Questionnaire Final OCC
373.  Q104 NRC ExCom (May 2004)
374.  Q1-04 NRC National RADAR Risk Discussion Document
375.  Q105 ExCom Update
376.  Q1-05 NRC National RADAR Risk Discussion Document
377.  Q106 NRC ExCom
378.  Q1-06 NRC National RADAR Risk Discussion Document
379.  Q107 NRC ExComm
380.  Q1-07 NRC MIS Package
381.  Q1-07 NRC National RADAR Risk Discussion Document
382.  Q1-08 NRC MIS Package
383.  Q1-08 NRC National RADAR Risk Discussion Document
384.  Q1-09 NRC MIS Package
385.  Q1-09 NRC National RADAR Risk Discussion Document
386.  Q1-10 NRC National RADAR Risk Discussion Document
387.  Q204 NRC ExCom
388.  Q2-04 NRC National RADAR Risk Discussion Document
389.  Q205 NRC ExComm May 05
390.  Q2-05 NRC National RADAR Risk Discussion Document
391.  Q206 NRC ExCom
392.  Q2-06 NRC MIS Package
393.  Q2-06 NRC National RADAR Risk Discussion Document
394.  Q207 NRC ExCom
395.  Q2-07 NRC MIS Package
396.  Q2-07 NRC National RADAR Risk Discussion Document
397.  Q2-08 NRC MIS Package
398.  Q2-08 NRC National RADAR Risk Discussion Document
399.  Q2-09 NRC MIS Package
400.  Q2-09 NRC National RADAR Risk Discussion Document
401.  Q2-10 NRC National RADAR Risk Discussion Document
402.  Q3-04 NRC National RADAR Risk Discussion Document
403.  Q305 ExCom Update July 05
404.  Q3-05 NRC National RADAR Risk Discussion Document
405.  Q306 NRC ExCom
406.  Q3-06 NRC MIS Package
407.  Q3-06 NRC National RADAR Risk Discussion Document
408.  Q307 NRC ExCom Update
409.  Q3-07 NRC MIS Package
410.  Q3-07 NRC National RADAR Risk Discussion Document
411.  Q3-08 NRC National RADAR Risk Discussion Document
412.  Q3-09 NRC MIS Package
413.  Q3-09 NRC National RADAR Risk Discussion Document
414.  Q404 NRC and Condition ExCom (December 2004)
415.  Q4-04 NRC National RADAR Risk Discussion Document
416.  Q405 NRC Ex Com (October 2005)
417.  Q406 NRC Ex Com
418.  Q4-06 NRC National RADAR Risk Discussion Document
419.  Q407 NRC ExCom
420.  Q4-07 NRC MIS Package
421.  Q4-07 NRC National RADAR Risk Discussion Document

422.  Q4-08 NRC MIS Package
423.  Q4-08 NRC National RADAR Risk Discussion Document
424.  Q4-09 NRC MIS Package
425.  Q4-09 NRC National RADAR Risk Discussion Document
426.  QFR response for border final 5-18-09
427.  QFRs FINAL 2=26=09
428.  Rating - April 2009
429.  Rating Changes - August 28 2008
430.  Rating Changes - September 2008
431.  Ratings - February 2009
432.  Ratings - June 2009
433.  Ratings - March 2009
434.  RE Draft supervision study
435.  RE Strategic Risk Management Plan - Lessons Learned Inventory
436.  Regulatory Proposals
437.  Residential RE and CDO Concentrations
438.  Retail
439.  Retail Stress Analysis
440.  Risk Management Testimony-3-18-09-Long. pdf
441.  RMBS Downgrades Cheat Sheet 4-28-2008
442.  ROE2006 Wachovia Bank N A CN1 Final
443.  Scenario Descriptions
444.  Securities AFS
445.  Securitized Credit Card Losses
446.  September 2009 Price Risk Report
447.  Shelby
448.  Speculative Grade Default Losses
449.  SSG Second Lessons Learned - October 2009
450.  Table of Contents 2008
451.  Table of Contents 2009
452.  Trading Book
453.  Treasury White Paper Reforming Supervision - OCC Comments and Issues10.22
454.  Tri Party Repo - December 2009
455.  Tri-Party Repo - April 2010
456.  Tri-Party Repo - December 2009
457.  US Macro Debt Sustainability
458.  Vulnerability of Austrian, German, Italian bking systems to crisis in E. Europe
- d.  2010-06-28 Production
  1.  FCIC - Request - 2010-06-14 - Derivatives - Dugan Speech - 2006-11-06
  2.  FCIC - Request - 2010-06-14 - Derivatives - Dugan Speech - 2008-03-04
- e.  2010-07-06 Production- Disk # 441
  1.  1-Chart in credit gdp.doc
  2.  1Q2000 Derivatives Report
  3.  1Q2001 Derivatives Report
  4.  1Q2002 Derivatives Report
  5.  1Q2003 Derivatives Report
  6.  1Q2004 Derivatives Report
  7.  1Q2005 Derivatives Report
  8.  1Q2006 Derivatives Report
  9.  1Q2007 Derivatives Report
  10.  1Q2008 Derivatives Report
  11.  1Q2009 Derivatives Report
  12.  2006 Oct LBS Conf Cap Mkts Roundtable 914 06 KED Suggestions
  13.  2009 LBS Director Outreach (draft 01)
  14.  20091001 Derivatives - Policy House View
  15.  201004 OTCD Infrastructure Executive Summary

























































16.  201005 OTCD Infrastructure Executive Summary
17.  201006 OTCD Infrastructure Executive Summary
18.  2-Chart in credit gdp.doc
19.  2Q2000 Derivatives Report
20.  2Q2001 Derivatives Report
21.  2Q2002 Derivatives Report
22.  2Q2003 Derivatives Report
23.  2Q2004 Derivatives Report
24.  2Q2005 Derivatives Report
25.  2Q2006 Derivatives Report
26.  2Q2007 Derivatives Report
27.  2Q2008 Derivatives Report
28.  2Q2009 Derivatives Report
29.  3Q2000 Derivatives Report
30.  3Q2001 Derivatives Report
31.  3Q2002 Derivatives Report
32.  3Q2003 Derivatives Report
33.  3Q2004 Derivatives Report
34.  3Q2005 Derivatives Report
35.  3Q2006 Derivatives Report
36.  3Q2007 Derivatives Report
37.  3Q2008 Derivatives Report
38.  3Q2009 Derivatives Report
39.  4Q2000 Derivatives Report
40.  4Q2001 Derivatives Report
41.  4Q2002 Derivatives Report
42.  4Q2003 Derivatives Report
43.  4Q2004 Derivatives Report
44.  4Q2005 Derivatives Report
45.  4Q2006 Derivatives Report
46.  4Q2007 Derivatives Report
47.  4Q2008 Derivatives Report
48.  4Q2009 Derivatives Report
49.  ABA Speech 3 10-5-07
50.  ASF Speech(fin)
51.  Briefing Materials for Comptroller \_PWG\_
52.  castle - coc edits July28
53.  castle - coc edits July28 1
54.  castle - final post red border
55.  castle - final post red border
56.  castle - final post red border 1
57.  castle - ked - July 23ceb final
58.  Castle Red Border on OTC Derivatives
59.  Castle Red Border on OTC Derivatives 1
60.  CCRM Integrated 12 05 08
61.  CDS - April 2009
62.  CDS - April 2010
63.  CDS - August 2009
64.  CDS - December 2008
65.  CDS - December 2009
66.  CDS - February 2009
67.  CDS - January 2009
68.  CDS - July 2009
69.  CDS - June 2008
70.  CDS - June 2009
























































71.  CDS - June 2010
72.  CDS - May 2009
73.  CDS - May 2010
74.  CDS - November 2008
75.  CDS - November 2009
76.  CDS - October 2008
77.  CDS - October 2009
78.  CDS - September 2008
79.  CDS - September 2009
80.  CDS August 2008
81.  CDScheatsheet 5-27-2008
82.  Chicago presentationfinal
83.  China speech JCD
84.  Clearinghouses and exchanges - October 2009
85.  CM Lead opening comments
86.  Comptrollers Speech- NY Bankers Association- 2006-11-06
87.  Condition Lending Testimony 2-26-10
88.  Consolidated Qs and As
89.  Counterparty Credit - June 2010
90.  Counterparty Credit Risk - April 2008
91.  Counterparty Credit Risk - April 2009
92.  Counterparty Credit Risk - April 2010
93.  Counterparty Credit Risk - August 2008
94.  Counterparty Credit Risk - August 2009
95.  Counterparty Credit Risk - December 2008
96.  Counterparty Credit Risk - December 2009
97.  Counterparty Credit Risk - February 2009
98.  Counterparty Credit Risk - January 2009
99.  Counterparty Credit Risk - July 2006
100.  Counterparty Credit Risk - July 2009
101.  Counterparty Credit Risk - June 2009
102.  Counterparty Credit Risk - March 2009
103.  Counterparty Credit Risk - May 2009
104.  Counterparty Credit Risk - November 2008
105.  Counterparty Credit Risk - November 2009
106.  Counterparty Credit Risk - October 2008
107.  Counterparty Credit Risk - October 2009
108.  Counterparty Credit Risk - September 2008
109.  Counterparty Credit Risk - September 2009
110.  counterparty credit risk cheat sheet 4-22-2008
111.  credit gdp
112.  CRMPG-II
113.  CSFT Roadmap
114.  December 16 LBS Strategy Meeting \_3\_
115.  Derivatives 1Q 2000
116.  Derivatives 1Q 2001
117.  Derivatives 1Q 2002
118.  Derivatives 1Q 2003
119.  Derivatives 1Q 2004
120.  Derivatives 1Q 2005
121.  Derivatives 1Q 2006
122.  Derivatives 1Q 2007
123.  Derivatives 2Q 2000
124.  Derivatives 2Q 2001
125.  Derivatives 2Q 2002
























































126.  Derivatives 2Q 2003
127.  Derivatives 2Q 2004
128.  Derivatives 2Q 2005
129.  Derivatives 2Q 2006
130.  Derivatives 3Q 2000
131.  Derivatives 3Q 2001
132.  Derivatives 3Q 2002
133.  Derivatives 3Q 2003
134.  Derivatives 3Q 2004
135.  Derivatives 3Q 2005
136.  Derivatives 3Q 2007
137.  Derivatives 4Q 2000
138.  Derivatives 4Q 2001
139.  Derivatives 4Q 2002
140.  Derivatives 4Q 2003
141.  Derivatives 4Q 2004
142.  Derivatives 4Q 2005
143.  derivatives interpretive index 6 2008
144.  derivatives interpretive index 6-13-08
145.  derivatives oral statement final
146.  Derivatives Outliers
147.  Derivatives Position Memo June 1 2009
148.  Derivatives Position Memo June 9 2009
149.  Derivatives Presentation for Paulson draft WR
150.  Derivatives Presentation for Paulson final
151.  Derivatives Presentation PPT2
152.  Dick QFR 7-9-08
153.  Dick QFRs 7-9-08
154.  Dugan Briefing August 16 2005
155.  Dugan Briefing August 16 2005 - Master final
156.  Dugan Briefing August 16 2005 - Master ked final edits
157.  DUGAN HFSC Financial Regulation Reform FINAL
158.  dugan leverage
159.  DUGAN SBC Financial Regulator Reform 8-3-09(jcd)
160.  FDIC Board Presentation Jan 2003
161.  Feb 5 Presentation revised
162.  February 2010- OTC Derivatives Infrastructure Executive Sum
163.  FINAL DUGAN FCIC Statement 4-8-10
164.  Final Oral Statement
165.  Final Testimony
166.  FRB Chicago CM Conf 2005 - kdick
167.  FRB Chicago CM Conference 2009 v3
168.  FW Another Q and A
169.  FW Castle Letter on Derivatives
170.  FW Castle Letter on Derivatives- O
171.  FW Derivatives Interpretive Index
172.  FW Derivatives Memo- CLOSE HOLD
173.  FW Derivatives Presentation for Paulson draft WR
174.  FW Final Version of speech
175.  FW Gensler Testimony
176.  FW HFSC Hearing Summary on OTC Derivatives
177.  FW Materials for briefing book
178.  FW OTC Derivatives Regulators' Forum Final Draft of Framework
179.  FW OTS Derivatives Letter- Close Hold
180.  FW Presentation for Dugan Briefing
























































181.  FW Questions for Ms. Dick following the Banking Committee's
182.  FW Request ReviewComment Statement of Facts for GAO Study on
183.  GAOHQ-2901338-v2-OCC\_STATEMENT\_OF\_FACTS\_(250424) (occ)
184.  GARP Speech(jcd) final 2-27
185.  Gensler- Testimony for Senate Agriculture Committee 6-4-09
186.  Hearing Transcript 7-9-08
187.  Hedge Fund NRC Presentation - 2005
188.  Hedge Fund Risk Briefing - July 2006
189.  Hedge Funds - June 2006
190.  IIB Speech 3-01-10
191.  Interpretive Letters since 2000
192.  JD IIB speech 0308
193.  Kathryn Dick Oral Statement - July 2008
194.  Kathryn Dick Testimony - July 2008
195.  KED\_FRB\_Chi Nov 2002
196.  KED\_FRB\_Chicago Nov04 REV
197.  KED\_FRB\_Chicago\_Dec03
198.  large bank audit - April 2002
199.  LB Audit Outreach Oct 2004
200.  LB Audit Outreach October 2005
201.  Letter to Regulators - OTC Derivatives 6 2 08
202.  MArch 2010- OTS Derivatives Infrastructure Executive Summary
203.  Meeting with Rep Kaptur
204.  NRC Credit Derivatives Infrastructure project- April 2006
205.  ny bankers 2006 jcd rev2(fin)
206.  ny bankers 2006 jcd rev2(fin) (2)11-09-06 6 pm
207.  OCC and Derivatives\_20090728-V03
208.  OCC HF Briefing
209.  oral statement for condition hearing 6-5-08
210.  Oral statement for credit markets testimony
211.  otc deriv strategy revised overview for TFG 6\_02
212.  otc deriv strategy revised overview for TFG 6\_02 1
213.  OTC Derivatives Letter May 12 FINAL Draft
214.  OTCD Regulators Forum Framework (Draft 15 Sept)clean
215.  OTCD Regulators Forum Framework (Draft 15 Sept)tracked
216.  OTCD Regulators Forum Press Release (Draft 15 Sept)clean
217.  OTCD Regulators Forum Press Release (Draft 15 Sept)tracked
218.  outliers2004annual
219.  outliers2004branches
220.  Proposed 2011 Call Report Changes 04222010
221.  Proposed Call Report Changes - 2009
222.  PWG Briefing one pager
223.  PWG Counterparty Credit - October 2006
224.  PWG OTC Derivatives Workstreams 06132009
225.  PWG Policy Statement on Financial Market Crisis 2008
226.  PWG progress report October 2008
227.  PWG Reg Reform Object1-2 Compilation Final 03092009
228.  PWG Talking Points Dec 6 2005 v1
229.  PWG workstream summary memo
230.  QAs for Comptroller Dugan
231.  QFRs 9-4-08 FINAL for green border ked edits Oct 9 clean
232.  QFRS 9-4-08 FINAL for green border ked edits Oct 9 red-line
233.  Qs and As list
234.  Qs and As list w As 7-7-08
235.  Qs and As list w As 7-7-08 1

















































































































- 236.  Questions for the record - derivatives hearing 10-17-08
- 237.  RE FCICI Derivatives Docs
- 238.  RE Key Documents
- 239.  Reed and Crapo Answers following Credit Derivatives Hearing
- 240.  Response to Congressman Castle Letter- OTC Derivatives
- 241.  Senate Banking Condition Testimony (written) 3-4-08 (3)
- 242.  senate trading
- 243.  SSG Observations on Risk Management Practives During the Rec
- 244.  SSG Report on Management of CDS Credit Events
- 245.  SSG Risk Management Lessons October 2009
- 246.  Standard Responses and themes
- 247.  subprime mark to market
- 248.  Test FINAL w-o attach 7-8-08
- 249.  Test FINAL w-o attach 7-8-08 1
- 250.  Trading Losses
- 251.  XProductExecSumonAPR08metrics
- 252.  XProductExecSumonAprilmetrics
- 253.  XProductExecSumonAUG08metrics
- 254.  XProductExecSumonAUGmetrics
- 255.  XProductExecSumonDEC08metrics
- 256.  XProductExecSumonFEB08metrics
- 257.  XProductExecSumonFebmetrics0423
- 258.  XProductExecSumonJANmetrics
- 259.  XProductExecSumonJANmetricsRev
- 260.  XProductExecSumonJulymetrics
- 261.  XProductExecSumonJUNE08metrics
- 262.  XProductExecSumonJunmetrics
- 263.  XProductExecSumonMAY08metricsR
- 264.  XProductExecSumonMaymetrics
- 265.  XProductExecSumonMCH08metrics
- 266.  XProductExecSumonMchmetrics0517final
- 267.  XProductExecSumonNOV08metrics
- 268.  XProductExecSumonNOVmetrics
- 269.  XProductExecSumonOCT08metrics
- 270.  XProductExecSumonOCTmetrics
- 271.  XProductExecSumonSEPT08metrics
- 272.  XProductExecSumonSEPTmetrics
- 273.  XProductSupCallMin031408
- f.  2010-07-14 OCC Production
  - 1.  OCC15-00300853
  - 2.  OCC15-00300870
- g.  2010-07-23 Production- Disk # 482
  - 1.  OCC16-00300904-00303518
    - a.  00NE010026 Effinity decision letter version 2
    - b.  00NE010026 Effinity decision letter version 2\_1
    - c.  1-Worksheet in Business Proposal 8-04a.doc
    - d.  20032004forecast
    - e.  20032004forecast\_1
    - f.  2006 Home Equity Conclusions
    - g.  2008-ML-11-0001 Decision Letter
    - h.  2009-ML-02-0003 BANA-CW Decision Letter
    - i.  2009-ML-02-0003 BANA-CW Executed Operating Agreement
    - j.  2-Worksheet in Business Proposal 8-04a.doc
    - k.  3Q04 Risk Assessment-TB
    - l.  Account Management Aug 2006 exam conclusion
    - m.  BANA OREO memo
























































- n.  BANA OREO memo draft 101708
- o.  BANA OREO memo draft 101708\_1
- p.  BANA OREO memo draft 101708\_2
- q.  Bank of America Formal Agreement 091608
- r.  Bank of America notice letter 091608
- s.  Board Exam Schedule 10152003
- t.  Board Exam Schedule 10152003\_1
- u.  BofACountrywide merger
- v.  BofACountrywideindemPhilC52309withmyedits
- w.  BofACountrywideindemPhilF68099withjulieedits
- x.  BofAdraftOpAgreementCountrywide42209
- y.  Briefing-Nov30
- z.  BSA risk summary
- aa.  BSA risk summary-TB122004
- ab.  Business Proposal 8-04a
- ac.  Capital Markets Activities Exam 5-24-2004
- ad.  Comp Ltr 7132004
- ae.  Compliance Management Conclusion memo 2004
- af.  Conclusion Letter April 2004 Credit Risk Mgmt
- ag.  Conclusion Letter May 2003 Internal Audit Target
- ah.  Conclusion Letter Nov 2004 Credit Risk Mgmt
- ai.  Conclusion Memo 2Q05 Account Mgmt Review
- aj.  Conclusion Memo 2Q06 SOX Section 404
- ak.  Conclusion Memo Account Mgmt Review 2004
- al.  Conclusion Memo April 2004 AQ Exam CQ Review
- am.  Conclusion Memo CFC 2006-00
- an.  Conclusion Memo CFC 2006-10
- ao.  Conclusion Memo CFC 2006-8 Home Equity Lending
- ap.  Conclusion Memo EFTA 2004
- aq.  Conclusion Memo Expedited Funds Availability 2004
- ar.  Conclusion Memo HE Review Quality Control 2006
- as.  Conclusion Memo IRR June 2006
- at.  Conclusion Memo June 2005 Credit Risk Mgmt Review
- au.  Conclusion Memo NBK 2006-00
- av.  Conclusion Memo Oct 2004 AQ Exam
- aw.  Conclusion Memo Privacy of Consumer Financial Info 2004
- ax.  Conclusion Memo Reg D 2004
- ay.  Conclusion Memo TB Internal and External Audit 2004
- az.  Conclusion Memo Truth in Savings 2004
- ba.  Conclusions IRR Measurement and Mgmt June 2006
- bb.  Confidential Information to FRB dated Jan. 19, 2001
- bc.  Corporate Governance Exam 5-26-2004
- bd.  Countrywide AVM Controls status assessment 2005
- be.  Countrywide indemnification
- bf.  Countrywide ltr to FRB 6-6-2003
- bg.  Countrywide ltr to FRB 7-16-2003 aduit
- bh.  Countrywide ltr to OCC 12-13-2006 Home Equity
- bi.  Countrywide ltr to OCC 9-9-2005 HELOC
- bj.  Countrywide ltr to OCC Credit Model Review 11-6-2006
- bk.  Countrywide ltr to OCC PayOption ARM 10-5-2006
- bl.  Countrywide Response to SL 2006-05
- bm.  Countrywide Response to SL 2006-07
- bn.  Countrywide, Conversion to Thrift
- bo.  Credit Risk Exam 12-4-2003
- bp.  Credit Risk Management Exam 5-26-2004






















- bq.  Credit Underwriting Review 3-11-2003
- br.  Credit\_Underwriting\_Review\_\_12\_2002
- bs.  FDIC ltr to OCC re Countrywide 6-19-2003
- bt.  finkmemo
- bu.  FW BAC Indemnification Proposal
- bv.  FW BANA OREO memo
- bw.  FW Draft operating agreement attached
- bx.  FW Status Update BANACW Indemnification Agreement
- by.  FW Treasury Bank - Background Materials
- bz.  FW Treasury Bank Schedule and Materials
- ca.  FW Treasury Strategy
- cb.  HE Exam Collateral Valuation Mgt conclusions 2006
- cc.  HE Risk Mgmt and Portfolio Mgmt 2006 conclusion
- cd.  HE Risk Mgmt Summary comment conclusion 2006
- ce.  HELOC Securitization - Final Sidley Regulatory Memo
- cf.  Information Technology Exam 1-17-2005
- cg.  Internal Audit Exam 5-26-2003
- ch.  January 2004
- ci.  January 2004\_1
- cj.  Letter to OCC dated October 31, 2001
- ck.  Letter to the OCC from FDIC dated June 19, 2003
- cl.  LLL Note
- cm.  LLL Note (2)
- cn.  Lybarger 10-10-08
- co.  Lybarger 10-10-08\_1
- cp.  Memo to Reviewers
- cq.  Model Validation supplemental memo
- cr.  OCC 2004 Business Plan
- cs.  OCC ltr to Treasury Credit Market Activities 5-21-2003
- ct.  OCC Plan Presentation 4-8-04
- cu.  OCC16-00300904-00300941
- cv.  OCC16-00300942-00300969
- cw.  OCC16-00300970-00301040
- cx.  OCC16-00301041-00301063
- cy.  OCC16-00301064-00301069
- cz.  OCC16-00301070-00301073
- da.  OCC16-00301074-00301091
- db.  OCC16-00301092-00301093
- dc.  OCC16-00301094-00301095
- dd.  OCC16-00301096-00301109
- de.  OCC16-00301110
- df.  OCC16-00301111-00301113
- dg.  OCC16-00301114-00301115
- dh.  OCC16-00301116-00301117
- di.  OCC16-00301118-00301120
- dj.  OCC16-00301121-00301123
- dk.  OCC16-00301124-00301126
- dl.  OCC16-00301127-00301131
- dm.  OCC16-00301132-00301143
- dn.  OCC16-00301144-00301154
- do.  OCC16-00301155-00301156
- dp.  OCC16-00301157-00301180
- dq.  OCC16-00301181-00301183
- dr.  OCC16-00301184-00301185
- ds.  OCC16-00301186-00301197

dt.  OCC16-00301198-00301201  
du.  OCC16-00301202-00301204  
dv.  OCC16-00301205-00301210  
dw.  OCC16-00301211-00301256  
dx.  OCC16-00301257-00301262  
dy.  OCC16-00301263-00301265  
dz.  OCC16-00301266-00301269  
ea.  OCC16-00301270-00301271  
eb.  OCC16-00301272-00301283  
ec.  OCC16-00301284-00301286  
ed.  OCC16-00301287-00301291  
ee.  OCC16-00301292-00301294  
ef.  OCC16-00301295-00301296  
eg.  OCC16-00301297  
eh.  OCC16-00301298-00301300  
ei.  OCC16-00301301-00301307  
ej.  OCC16-00301308-00301309  
ek.  OCC16-00301310-00301311  
el.  OCC16-00301312-00301314  
em.  OCC16-00301315-00301316  
en.  OCC16-00301327-00301331  
eo.  OCC16-00301444-00301453  
ep.  OCC16-00301509-00301517  
eq.  OCC16-00301518-00301523  
er.  OCC16-00301545-00301548  
es.  OCC16-00301556-00301563  
et.  OCC16-00301564-00301577  
eu.  OCC16-00301578-00301580  
ev.  OCC16-00301581-00301582  
ew.  OCC16-00301583-00301586  
ex.  OCC16-00301587-00301592  
ey.  OCC16-00301593-00301595  
ez.  OCC16-00301760  
fa.  OCC16-00301768  
fb.  OCC16-00301863  
fc.  OCC16-00301867  
fd.  OCC16-00301885  
fe.  OCC16-00301889-00301891  
ff.  OCC16-00301892  
fg.  OCC16-00301897-00301898  
fh.  OCC16-00301906-00301907  
fi.  OCC16-00301915-00301916  
fj.  OCC16-00301939-00301941  
fk.  OCC16-00301943-00301944  
fl.  OCC16-00301945-00301946  
fm.  OCC16-00301947-00301948  
fn.  OCC16-00301949-00301950  
fo.  OCC16-00301951-00301953  
fp.  OCC16-00301954-00301955  
fq.  OCC16-00301962-00301963  
fr.  OCC16-00301964-00301965  
fs.  OCC16-00302054-00302056  
ft.  OCC16-00302062-00302065  
fu.  OCC16-00302760-00302775  
fv.  OCC16-00302776-00302783
























































fw.  OCC16-00302904-00302907  
fx.  OCC16-00302942-00302943  
fy.  OCC16-00302956-00302959  
fz.  OCC16-00302960-00302963  
ga.  OCC16-00302974-00302976  
gb.  OCC16-00302977-00302979  
gc.  OCC16-00302980-00302981  
gd.  OCC16-00302982-00302989  
ge.  OCC16-00303145-00303146  
gf.  OCC16-00303160  
gg.  OCC16-00303180  
gh.  OCC16-00303181  
gi.  OCC16-00303198  
gj.  OCC16-00303199  
gk.  OCC16-00303200  
gl.  OCC16-00303203  
gm.  OCC16-00303205  
gn.  OCC16-00303208  
go.  OCC16-00303209  
gp.  OCC16-00303210  
gq.  OCC16-00303211  
gr.  OCC16-00303220  
gs.  OCC16-00303229  
gt.  OCC16-00303230  
gu.  OCC16-00303232  
gv.  OCC16-00303242  
gw.  OCC16-00303244  
gx.  OCC16-00303246  
gy.  OCC16-00303256  
gz.  OCC16-00303257  
ha.  OCC16-00303258  
hb.  OCC16-00303260  
hc.  OCC16-00303262  
hd.  OCC16-00303264  
he.  OCC16-00303265  
hf.  OCC16-00303266  
hg.  OCC16-00303267  
hh.  OCC16-00303276  
hi.  OCC16-00303279  
hj.  OCC16-00303290  
hk.  OCC16-00303293  
hl.  OCC16-00303303  
hm.  OCC16-00303305  
hn.  OCC16-00303307  
ho.  OCC16-00303309  
hp.  OCC16-00303311-00303312  
hq.  OCC16-00303326  
hr.  OCC16-00303346  
hs.  OCC16-00303347-00303348  
ht.  OCC16-00303362  
hu.  OCC16-00303382  
hv.  OCC16-00303383  
hw.  OCC16-00303384  
hx.  OCC16-00303385  
hy.  OCC16-00303387









- hz.  OCC16-00303389
- ia.  OCC16-00303390
- ib.  OCC16-00303391
- ic.  OCC16-00303393
- id.  OCC16-00303395
- ie.  OCC16-00303397
- if.  OCC16-00303399
- ig.  OCC16-00303401
- ih.  OCC16-00303402
- ii.  OCC16-00303420
- ij.  OCC16-00303425
- ik.  OCC16-00303427
- il.  OCC16-00303428
- im.  OCC16-00303429
- in.  OCC16-00303430
- io.  OCC16-00303434
- ip.  OCC16-00303435
- iq.  OCC16-00303439
- ir.  OCC16-00303441
- is.  OCC16-00303442
- it.  OCC16-00303446
- iu.  OCC16-00303447
- iv.  OCC16-00303450
- iw.  OCC16-00303470
- ix.  OCC16-00303477
- iy.  OCC16-00303478
- iz.  OCC16-00303487
- ja.  OCC16-00303488
- jb.  OCC16-00303489
- jc.  OCC16-00303497
- jd.  OCC16-00303498
- je.  OCC16-00303499
- jf.  OCC16-00303500
- jg.  OCC16-00303501
- jh.  OCC16-00303502
- ji.  OCC16-00303503
- jj.  OCC16-00303504
- jk.  OCC16-00303505
- jl.  OCC16-00303506
- jm.  OCC16-00303508
- jn.  OCC16-00303509
- jo.  OCC16-00303510
- jp.  OCC16-00303512
- jq.  OCC16-00303514
- jr.  OCC16-00303516
- js.  OCC16-00303517
- jt.  OCC16-00303518
- ju.  Operating Agreement Treasury Bank 2001
- jv.  Organization Chart 3-31-04
- jw.  RE B of A Indemnification
- jx.  RE BANA OREO memo
- jy.  RE BANA OREO memo-01
- jz.  RE BANA OREO memo-02
- ka.  RE Bo A - GLBA Agreement
- kb.  RE BofACountrywide merger
























































kc.  RE BofACountrywide merger-01  
kd.  RE BofACountrywide merger-02  
ke.  RE Countrywide  
kf.  RE Countrywide indemnification  
kg.  RE Countrywide merger  
kh.  Report of Examination 2003  
ki.  Report of Examination 2004  
kj.  Report of Examination 2006  
kk.  Report of Examination as of December 31, 2001  
kl.  Request Letter Home Equity Exam Aug 2006  
km.  Request Letter Liquidity Mgmt July 2006  
kn.  Review of Deposit Compliance Exam 1-20-2005  
ko.  Review of Information Technology 2-15-2005  
kp.  Revised draft Countrywide indemnification agreement  
kq.  Scope Memo CFC 2006-01  
kr.  Scope Memo CFC 2006-04  
ks.  Scope Memo CFC 2006-11  
kt.  Scope Memo Credit Exam AMR 2004  
ku.  Scope Memo CWB 2006-02  
kv.  Scope Memo Oct 2004 Credit Exam TB  
kw.  Scope Memo RESPA Compliance 2006  
kx.  Securitization Exam Conclusion Memo 2005  
ky.  SL 05-01 1Q Crdt Review  
kz.  SL 05-02 1Q05 CM Review  
la.  SL 05-03 Flood Response  
lb.  SL 05-04 HELOC Review  
lc.  SL 2005-05 Fair Lending Review  
ld.  SL 2006-01 Document Custody Division Examination  
le.  SL 2006-02 Internal Audit Examination  
lf.  SL 2006-03 Appraiser Selection  
lg.  SL 2006-04 Interest Rate Risk Examination  
lh.  SL 2006-05 Payoption ARM Portfolio Risk Mgt Review  
li.  SL 2006-05 PayOption Risk Mgt  
lj.  SL 2006-06 Information Security Review  
lk.  SL 2006-07 Credit Model Documentation and Validation  
ll.  SL 2006-07 Credit Model Risk Examination  
lm.  SL 2006-08 Lending Compliance Examination  
ln.  SL 2006-09 Liquidity Examination  
lo.  SL 2006-10 Home Equity Lending  
lp.  SL 2006-10 Home Equity Lending 1  
lq.  SL 2006-10 Home Equity Lending\_1  
lr.  SL 2006-11 New Products Services  
ls.  SL 2006-11 New Products Services (2)  
lt.  SL 2006-12 Affiliates Insider Transactions  
lu.  SL 2007-02 Allowance for Loan and Lease Losses  
lv.  SL 2007-04 Close out Letter  
lw.  Strategy 6302003  
lx.  Supervisory Letter CFC 2006-05 PayOption Risk Mgt  
ly.  Supervisory Letter CFC 2006-05 PayOption Risk Mgt (2)  
lz.  Supervisory LTV Issues Sept 2006  
ma.  TB 2004-2007 Business Plan  
mb.  TB FDPA Violation Memo Aug 2004  
mc.  TB Flood Conclusion Memo Aug 2004  
md.  TB Strategy 6302003  
me.  TB-BSA Questionnaire
























































mf.  treasbank.gbor2  
mg.  treasbank.gbor2  
mh.  treasbank.gbor2 (2)  
mi.  treasbank.gbor2 (3)  
mj.  treasbank.gbor2 (4)  
mk.  treasbank.gbor2 (5)  
ml.  treasbank.gbor2 (6)  
mm.  treasbank10(cap).ltr  
mn.  treasbank10(cap).ltr (2)  
mo.  treasbank3.mem  
mp.  treasbank3.mem  
mq.  treasbank3.mem (2)  
mr.  treasbank3.mem (3)  
ms.  treasbank7.ltr  
mt.  treasbank7.ltr  
mu.  treasbank7.ltr (2)  
mv.  treasbank7.ltr (3)  
mw.  treasbank7.ltr (4)  
mx.  treasbank7.ltr (5)  
my.  treasbank8.ltr  
mz.  treasbank8.ltr (2)  
na.  treasbank8.ltr (3)  
nb.  treasbank9.ltr  
nc.  treasbank9.ltr (2)  
nd.  treasbanksum2.mem  
ne.  treasbanksum2.mem  
nf.  treasbanksum2.mem (2)  
ng.  treasbanksum2.mem (3)  
nh.  treasbanksum2.mem (4)  
ni.  treasbanksum2.mem (5)  
nj.  Treas-BSA strat  
nk.  Treasury 2004 Schedule  
nl.  Treasury 2004 Schedule\_1  
nm.  Treasury Bank Data Integrity Conclusion Memo 2003  
nn.  Treasury Bank Fair Lending conclusion memo 2005  
no.  Treasury Bank HELOC securitization  
np.  Treasury Bank HELOC securitization  
nq.  Treasury Bank HELOC securitization (2)  
nr.  Treasury Bank Letter to OCC dated October 31, 2001  
ns.  Treasury Bank Materials  
nt.  Treasury Bank Materials  
nu.  Treasury Bank to OCC 23A issues ltr 7-7-2003  
nv.  Treasury letter dated August 21, 2002  
nw.  Treasury letter dated January 30, 2002  
nx.  Treasury letter dated September 20, 2002  
ny.  Treasury letter to OCC dated February 22, 2001  
nz.  Treasury ltr to OCC 10-14-2003 Home Equity Lending  
oa.  Treasury ltr to OCC 6-30-2003 Capital Markets  
ob.  Treasury ltr to OCC Credit Underwriting 8-15-2003  
oc.  Treasury ltr to OCC Home Equity Lending 5-22-2003  
od.  treasurygbor.mem  
oe.  treasurygbor.mem  
of.  treasurygbor.mem (2)  
og.  treasurygbor.mem (3)  
oh.  treasurygbor.mem (4)

















































































































- oi.  treasurygbor.mem (5)
- oj.  treasurygbor.mem.0421
- 2.  OCC16-00303519-00305228
  - a.  00NE010026 Effinity conf memo
  - b.  00NE010026 Effinity CRA results
  - c.  00NE010026 Effinity decision letter version 2
  - d.  00NE010026 Effinity decision letter version 2 (2)
  - e.  00NE010026 Effinity field inves
  - f.  00NE010026 Effinity legal memo
  - g.  1-Document in CSC-Treasury Bank.doc
  - h.  1-Document in CSC-Treasury Bank.doc (2)
  - i.  1-Document in CSC-Treasury Bank.doc (3)
  - j.  1-Document in CSC-Treasury Bank1.doc
  - k.  1-Document in CSC-Treasury Bank1.doc (2)
  - l.  1-Worksheet in Business Proposal 8-04a.doc
  - m.  1-Worksheet in Business Proposal 8-04a.doc (2)
  - n.  1-Worksheet in Business Proposal 8-04a.doc (3)
  - o.  1-Worksheet in Business Proposal 8-04a.doc (4)
  - p.  1-Worksheet in Business Proposal 8-04a.doc (5)
  - q.  2006 Supervisory Strategy (Consolidated) Countrywide
  - r.  2141 ROE - Full scope as of June 30 2003
  - s.  24141 2Q03 Capital Markets Exam
  - t.  24141 2Q2003 Transactions with Affiliates exam
  - u.  24141 4Q03 Interim Audit Follow up
  - v.  24141 April 2004 Asset Quality Exam
  - w.  24141 Capital Mkts Exam 1Q2004
  - x.  24141 Consumer Compliance 2002
  - y.  24141 Consumer Compliance 2003
  - z.  24141 Corporate Governance 2004 exam
  - aa.  24141 CRA Data Integrity exam Dec 31 2003
  - ab.  24141 Document Custody 1Q2003
  - ac.  24141 Document Custody Feb 2004
  - ad.  24141 Horizontal HELOC April 2003
  - ae.  24141 Internal External Audit April 2003
  - af.  24141 IT Target Feb 2003
  - ag.  24141 IT Target Feb 2004
  - ah.  24141 IT Target July 2002
  - ai.  24141 New Wire Transfer Apr 2002
  - aj.  24141 New Wire Transfer April 2002
  - ak.  24141 Quarterly Monitoring Memo 2Q2001
  - al.  24141 Retail Non-Deposit June 2004
  - am.  24141 ROE - Full Scope as of Dec 31 2001
  - an.  24141 ROE - Full Scope as of March 31 2001
  - ao.  24141 Wire Transfer VA Apr 2002
  - ap.  2-Document in 24141 Corporate Governance 2004 exam.doc
  - aq.  2-Document in 24141 Quarterly Monitoring Memo 2Q2001.doc
  - ar.  2-Document in 3Q2001 Quarterly Monitoring memo.doc
  - as.  2-Worksheet in Business Proposal 8-04a.doc
  - at.  2-Worksheet in Business Proposal 8-04a.doc (2)
  - au.  2-Worksheet in Business Proposal 8-04a.doc (3)
  - av.  2-Worksheet in Business Proposal 8-04a.doc (4)
  - aw.  2-Worksheet in Business Proposal 8-04a.doc (5)
  - ax.  3Q2001 Quarterly Monitoring memo
  - ay.  Affiliates Ltr 12172004
  - az.  Appendix CM follow-up 12202004

- ba.  Audit Ltr 01152005
- bb.  Bank 2nd Response to SL 2006-03
- bc.  Bank Response to SL 2006-04
- bd.  Bank Response to SL 2006-05
- be.  Bank Response to SL 2006-07
- bf.  Bank Response to SL 2006-10
- bg.  Bank Response to SL-2006-03
- bh.  Bank Responses to SL 2006-08
- bi.  BAS Issues Insert for Treasury Ltr
- bj.  bucks1p
- bk.  bucks1p (2)
- bl.  Business Proposal 8-04a
- bm.  Business Proposal 8-04a (2)
- bn.  Business Proposal 8-04a (3)
- bo.  Business Proposal 8-04a (4)
- bp.  Business Proposal 8-04a (5)
- bq.  Cap Mkts Ltr 12202004
- br.  Cap Mkts Ltr 5242004
- bs.  Comp Ltr 7132004
- bt.  Corp Gov Ltr 5262004
- bu.  Countrywide Bank 2006 ROE
- bv.  Countrywide EC presentation Feb 2006
- bw.  Credit Ltr 612004
- bx.  Credit Ltr#2 12172004
- by.  CSC-Treasury Bank
- bz.  CSC-Treasury Bank (2)
- ca.  CSC-Treasury Bank (3)
- cb.  CSC-Treasury Bank1
- cc.  CSC-Treasury Bank1 (2)
- cd.  Deposit Comp Ltr 01202005
- ce.  Ext Aud-Comp Aud Ltr 01202004
- cf.  FW\_ Treasury Bank
- cg.  FW\_ Treasury Bank (2)
- ch.  FW\_ Treasury Bank HELOC Securitization Legal Opinion
- ci.  FW\_ Treasury Memo
- cj.  FW\_ Treasury Memo (2)
- ck.  HELOC Securitization - Final Sidley Regulatory Memo
- cl.  HELOC Securitization - Final Sidley Regulatory Memo (2)
- cm.  HELOC Securitization - Final Sidley Regulatory Memo (3)
- cn.  IT Ltr 02152005
- co.  IT Ltr4152004
- cp.  KPMG Letter
- cq.  KPMG Letter (2)
- cr.  L2 OCC re responses to OTS 4-17-07
- cs.  Lending Authority Risk Tip 092203
- ct.  Lending Authority Risk Tip 092203 (2)
- cu.  Lending Limit 1104
- cv.  Lending Limit 1104 (2)
- cw.  Lending Limit2
- cx.  Occ credit response final
- cy.  OCC16-00303519
- cz.  OCC16-00303520
- da.  OCC16-00303521
- db.  OCC16-00303522
- dc.  OCC16-00303523
























































dd.  OCC16-00303524  
de.  OCC16-00303525  
df.  OCC16-00303526  
dg.  OCC16-00303527  
dh.  OCC16-00303528  
di.  OCC16-00303529  
dj.  OCC16-00303533  
dk.  OCC16-00303537  
dl.  OCC16-00303539  
dm.  OCC16-00303540  
dn.  OCC16-00303542  
do.  OCC16-00303544  
dp.  OCC16-00303546  
dq.  OCC16-00303547  
dr.  OCC16-00303548  
ds.  OCC16-00303549  
dt.  OCC16-00303551  
du.  OCC16-00303552  
dv.  OCC16-00303553  
dw.  OCC16-00303555  
dx.  OCC16-00303557  
dy.  OCC16-00303559  
dz.  OCC16-00303561  
ea.  OCC16-00303562  
eb.  OCC16-00303563  
ec.  OCC16-00303564  
ed.  OCC16-00303565  
ee.  OCC16-00303566  
ef.  OCC16-00303567  
eg.  OCC16-00303576  
eh.  OCC16-00303585  
ei.  OCC16-00303586  
ej.  OCC16-00303594  
ek.  OCC16-00303596  
el.  OCC16-00303605  
em.  OCC16-00303607  
en.  OCC16-00303609  
eo.  OCC16-00303611-00303613  
ep.  OCC16-00303614  
eq.  OCC16-00303623  
er.  OCC16-00303632  
es.  OCC16-00303635  
et.  OCC16-00303636  
eu.  OCC16-00303639  
ev.  OCC16-00303641  
ew.  OCC16-00303642  
ex.  OCC16-00303643  
ey.  OCC16-00303651  
ez.  OCC16-00303652  
fa.  OCC16-00303653  
fb.  OCC16-00303654  
fc.  OCC16-00303659  
fd.  OCC16-00303664  
fe.  OCC16-00303674  
ff.  OCC16-00303684

fg.  OCC16-00303694  
fh.  OCC16-00303698  
fi.  OCC16-00303702  
fj.  OCC16-00303706  
fk.  OCC16-00303709  
fl.  OCC16-00303711  
fm.  OCC16-00303713  
fn.  OCC16-00303715  
fo.  OCC16-00303719  
fp.  OCC16-00303720  
fq.  OCC16-00303721  
fr.  OCC16-00303722  
fs.  OCC16-00303729  
ft.  OCC16-00303730  
fu.  OCC16-00303737  
fv.  OCC16-00303744  
fw.  OCC16-00303751  
fx.  OCC16-00303752  
fy.  OCC16-00303753  
fz.  OCC16-00303760  
ga.  OCC16-00303766  
gb.  OCC16-00303767  
gc.  OCC16-00303768  
gd.  OCC16-00303769  
ge.  OCC16-00303770  
gf.  OCC16-00303771  
gg.  OCC16-00303777  
gh.  OCC16-00303784  
gi.  OCC16-00303787  
gj.  OCC16-00303789  
gk.  OCC16-00303791  
gl.  OCC16-00303794  
gm.  OCC16-00303795  
gn.  OCC16-00303796  
go.  OCC16-00303817  
gp.  OCC16-00303820  
gq.  OCC16-00303822  
gr.  OCC16-00303830  
gs.  OCC16-00303838  
gt.  OCC16-00303845  
gu.  OCC16-00303860  
gv.  OCC16-00303875  
gw.  OCC16-00303876  
gx.  OCC16-00303877  
gy.  OCC16-00303877 (2)  
gz.  OCC16-00303883  
ha.  OCC16-00303887  
hb.  OCC16-00303888  
hc.  OCC16-00303889  
hd.  OCC16-00303890  
he.  OCC16-00303891  
hf.  OCC16-00303892  
hg.  OCC16-00303893  
hh.  OCC16-00303895  
hi.  OCC16-00303897























hj.  OCC16-00303898  
hk.  OCC16-00303905  
hl.  OCC16-00303912  
hm.  OCC16-00303914  
hn.  OCC16-00303929  
ho.  OCC16-00303930  
hp.  OCC16-00303945  
hq.  OCC16-00303946  
hr.  OCC16-00303961  
hs.  OCC16-00303966  
ht.  OCC16-00303984  
hu.  OCC16-00303988  
hv.  OCC16-00304006  
hw.  OCC16-00304007  
hx.  OCC16-00304009  
hy.  OCC16-00304011  
hz.  OCC16-00304012  
ia.  OCC16-00304013  
ib.  OCC16-00304014  
ic.  OCC16-00304015  
id.  OCC16-00304016  
ie.  OCC16-00304017  
if.  OCC16-00304018  
ig.  OCC16-00304024  
ih.  OCC16-00304026  
ii.  OCC16-00304028  
ij.  OCC16-00304043  
ik.  OCC16-00304047  
il.  OCC16-00304059  
im.  OCC16-00304071  
in.  OCC16-00304073  
io.  OCC16-00304074  
ip.  OCC16-00304077  
iq.  OCC16-00304080  
ir.  OCC16-00304081  
is.  OCC16-00304092  
it.  OCC16-00304274  
iu.  OCC16-00304285  
iv.  OCC16-00304286  
iw.  OCC16-00304287  
ix.  OCC16-00304288  
iy.  OCC16-00304289  
iz.  OCC16-00304290  
ja.  OCC16-00304291  
jb.  OCC16-00304301  
jc.  OCC16-00304311  
jd.  OCC16-00304314  
je.  OCC16-00304315  
jf.  OCC16-00304318  
jg.  OCC16-00304320  
jh.  OCC16-00304322  
ji.  OCC16-00304324  
jj.  OCC16-00304325  
jk.  OCC16-00304328  
jl.  OCC16-00304329
























































jm.  OCC16-00304330  
jn.  OCC16-00304331  
jo.  OCC16-00304334  
jp.  OCC16-00304336  
jq.  OCC16-00304354  
jr.  OCC16-00304355  
js.  OCC16-00304356  
jt.  OCC16-00304358  
ju.  OCC16-00304361  
jv.  OCC16-00304363  
jw.  OCC16-00304365  
jx.  OCC16-00304367  
jy.  OCC16-00304369  
jz.  OCC16-00304371  
ka.  OCC16-00304373  
kb.  OCC16-00304375  
kc.  OCC16-00304376  
kd.  OCC16-00304377  
ke.  OCC16-00304378  
kf.  OCC16-00304379  
kg.  OCC16-00304427  
kh.  OCC16-00304428  
ki.  OCC16-00304476  
kj.  OCC16-00304477  
kk.  OCC16-00304504  
kl.  OCC16-00304530  
km.  OCC16-00304531  
kn.  OCC16-00304553  
ko.  OCC16-00304556  
kp.  OCC16-00304558  
kq.  OCC16-00304560  
kr.  OCC16-00304580  
ks.  OCC16-00304581  
kt.  OCC16-00304582  
ku.  OCC16-00304583  
kv.  OCC16-00304584  
kw.  OCC16-00304585  
kx.  OCC16-00304586  
ky.  OCC16-00304891-00304964  
kz.  OCC16-00304965-00304967  
la.  OCC16-00304968  
lb.  OCC16-00305099-00305100  
lc.  OCC16-00305101-00305103  
ld.  OCC16-00305104-00305105  
le.  OCC16-00305106-00305109  
lf.  OCC16-00305139  
lg.  OCC16-00305140  
lh.  OCC16-00305211  
li.  OCC16-00305228  
lj.  ots press release 11 9 06  
lk.  RE\_ Treasury Bank  
ll.  RE\_ Treasury Bank  
lm.  ROE 2004 Treasury Bank  
ln.  SL 05-01 1Q Crdt Review  
lo.  SL 05-02 1Q05 CM Review
























































lp.  SL 05-03 Flood Response  
lq.  SL 05-04 HELOC Review  
lr.  SL 05-05 - Fair Lending Review  
ls.  Strat Planning 11152004  
lt.  Supervisory Letter CFC 2006-01 Doc Custody  
lu.  Supervisory Letter CFC 2006-02 Internal Audit  
lv.  Supervisory Letter CFC 2006-03 Appraisal Independence  
lw.  Supervisory Letter CFC 2006-04 IRR  
lx.  Supervisory Letter CFC 2006-05 PayOption Risk Mgt  
ly.  Supervisory Letter CFC 2006-06 Information Security  
lz.  Supervisory Letter CFC 2006-08 Lending Compliance  
ma.  Supervisory Letter CFC 2006-09 Liquidity  
mb.  Supervisory Letter CFC 2006-10 Home Equity Lending  
mc.  Supervisory Letter CFC 2006-11 New Products Services  
md.  Supervisory Letter CFC 2007-03 Reverse Mortgages  
me.  Supervisory Letter CFC 2007-04 Close out Letter  
mf.  TB Supervisory Strateg 2004 All Exams  
mg.  treasbank bas updated 0131  
mh.  treasbank bas updated 0201  
mi.  treasbank ltr bas 0216  
mj.  treasbank.ltr  
mk.  treasbank.ltr  
ml.  treasbank.ltr (2)  
mm.  treasbank.ltr (2)  
mn.  treasbank.mem  
mo.  treasbank.mem  
mp.  treasbank.mem (2)  
mq.  treasbank.mem (2)  
mr.  treasbank.mem (3)  
ms.  treasbank.mem (3)  
mt.  treasbank.mem (4)  
mu.  treasbank.mem (4)  
mv.  treasbank2.ltr  
mw.  treasbank2.ltr (2)  
mx.  treasbank3.ltr  
my.  treasbank3.ltr (2)  
mz.  treasbank3.ltr (3)  
na.  treasbank3.ltr (4)  
nb.  treasbank3.mem  
nc.  treasbank3.mem (2)  
nd.  treasbank3.mem (3)  
ne.  treasbank3.mem (4)  
nf.  treasbank3.mem (5)  
ng.  treasbank4.ltr  
nh.  treasbank4.ltr (2)  
ni.  treasbank4.ltr (3)  
nj.  treasbank5.ltr  
nk.  treasbank6.ltr  
nl.  treasbank6.ltr (2)  
nm.  treasbank6.ltr (3)  
nn.  treasbanksum2.mem  
no.  treasbanksum2.mem (2)  
np.  treasbanksum2.mem (3)  
nq.  treasbanksum2.memx  
nr.  Treasury
























































- ns.  Treasury Bank
- nt.  Treasury Bank - Response to Query re Large Bank Report
- nu.  Treasury Bank - Response to Query re Large Bank Report (2)
- nv.  Treasury Bank - Response to Query re Large Bank Report (3)
- nw.  Treasury Bank (2)
- nx.  Treasury Bank 2004-H nonconsolidation opinion CBSH1
- ny.  Treasury Bank 2004-H nonconsolidation opinion CBSH1 (2)
- nz.  Treasury Bank 2004-H nonconsolidation opinion CBSH2
- oa.  Treasury Bank 2004-H nonconsolidation opinion CBSH2 (2)
- ob.  Treasury Bank 2005 Strategy TP
- oc.  Treasury Bank Docs As Requested
- od.  Treasury Bank HELOC Securitization
- oe.  Treasury Bank True Sale 2004-H Bank to CWABS
- of.  Treasury Bank True Sale 2004-H Bank to CWABS (2)
- og.  Treasury Bank True Sale 2004-H CWABS to Trust
- oh.  Treasury Bank True Sale 2004-H CWABS to Trust (2)
- oi.  Treasury Bank\_2
- oj.  Underwriting and AQ Follow up Dec 2002
- 3.  OCC16-00305229-00306426
  - a.  00NE02025 Effinity conf memo
  - b.  00NE02025 Effinity CRA results
  - c.  00NE02025 Effinity decision letter
  - d.  00NE02025 Effinity decision letter version 2
  - e.  00NE02025 Effinity field inves
  - f.  00NE02025 Effinity grnbr1
  - g.  00NE02025 Effinity legal memo
  - h.  2008-ML-12-0139 Confidential Memo
  - i.  2008-ML-12-0139 Decision Letter - Final
  - j.  2008-ML-12-0139 Decision Letter - ver 7
  - k.  2008-ML-12-0139 Green Border Decision Package
  - l.  2008-ML-12-0139 Green Border Final Decision Package
  - m.  2008-ML-12-0139 Indemnification Agreement 6-21-2008
  - n.  2008-ML-12-0139 Indemnification Agreement Final
  - o.  2008-ML-12-0139 Operating Agreement 6-21-2008
  - p.  2008-ML-12-0139 Operating Agreement Final
  - q.  2008-ML-12-0230 Decision Letter
  - r.  2008-ML-12-0230 Draft Decision Letter
  - s.  2008-ML-12-0230 Draft Decision Letter v3
  - t.  2008-ML-12-0230 Draft Decision Letter v3 (2)
  - u.  2008-ML-12-0230 Draft Decision Letter v5
  - v.  2009-ML-02-0003 BANA-CW Decision Letter
  - w.  23A exemption request conditions
  - x.  Addendum
  - y.  BAC\_team\_edits\_BofACountrywideCALMAC6608
  - z.  BAC\_team\_edits\_BofACountrywidePOCCBANAagreementC (2)
  - aa.  BAC\_team\_response\_Countrywidedocumentsquestions61008
  - ab.  BANA Countrywide 2nd Funding Transaction
  - ac.  BANA Countrywide 2nd Funding Transaction
  - ad.  BANA- CW Funding Transaction Comptroller Summary
  - ae.  BANA- CW Funding Transaction Comptroller Summary
  - af.  BANA\_Countrywide Funding Transaction
  - ag.  BANA\_Countrywide Funding Transaction
  - ah.  BANAcountrywideconversationwithColorado42109
  - ai.  BANACountrywidemergerabbreviatedapproval
  - aj.  BANACountrywidemergerlongapproval41609












- ak.  BANACountrywidemergerlongapproval41609 (3).jg
- al.  BANACountrywidemergermemo42309
- am.  Bank of America Formal Agreement 091108
- an.  Bank of America Formal Agreement 091608
- ao.  Bank of America notice letter 091108
- ap.  Bank of America notice letter 091608
- aq.  BofA Countrywide funding transaction
- ar.  BofACountrywideCALMAC6608
- as.  BofACountrywideCALMAE6608
- at.  BofACountrywideCALMAF6608
- au.  BofACountrywideCALMAG61908
- av.  BofACountrywideCALMAG61908
- aw.  BofAcountrywideindemPhilC52309withmyedits
- ax.  BofAcountrywideindemPhilE52609withmyedits
- ay.  BofAcountrywideindemPhilF68099withjulieedits
- az.  BofAcountrywidePOCCBANAagreementC
- ba.  BofAcountrywidePOCCBANAagreementf
- bb.  BofAcountrywidePOCCBANAagreementf
- bc.  BofAcountrywidePOCCBANAagreementf (2)
- bd.  BofAdountrywidefundingapprovalB
- be.  BofAdraftOpAgreementCountrywide42209
- bf.  CA AG Countrywide\_complaint
- bg.  CA AG Countrywide\_complaint
- bh.  Countrywidedocumentsquestions61008
- bi.  CountrywideqtoJLW61208B
- bj.  EARS Documents
- bk.  Effinity Bank1
- bl.  Effinity Bank3
- bm.  Effinity decision letter
- bn.  Effinity decision letterlizedits
- bo.  FAX
- bp.  FW 7043861670 7 page(s)
- bq.  FW AG Actions re Countrywide
- br.  FW BANA Countrywide
- bs.  FW BANA CW Funding Transaction
- bt.  FW BofA Countrywide
- bu.  FW Countrywide Commercial Loans Contributed to BANA
- bv.  FW Countrywide Funding Plan Application
- bw.  FW CW Capital Contribution
- bx.  FW CW Capital Contribution (10)
- by.  FW CW Capital Contribution (5)
- bz.  FW CW Capital Contribution (7)
- ca.  FW CW Capital Contribution (9)
- cb.  FW CW Contribution of Capital
- cc.  FW CW Restructuring
- cd.  FW CW Restructuring (6)
- ce.  FW CW Update
- cf.  FW\_ Countrywide Funding Plan Application
- cg.  FW\_ Countrywide Funding Plan Application
- ch.  IL Countrywide\_complaint
- ci.  IL Countrywide\_complaint
- cj.  Indemnification agreement
- ck.  LaSalle Formal Agreement 091608
- cl.  LaSalle notice letter 091608
- cm.  OCC16-00305243

cn.  OCC16-00305244  
co.  OCC16-00305247  
cp.  OCC16-00305248  
cq.  OCC16-00305251  
cr.  OCC16-00305254  
cs.  OCC16-00305257  
ct.  OCC16-00305260  
cu.  OCC16-00305263  
cv.  OCC16-00305265  
cw.  OCC16-00305267  
cx.  OCC16-00305268  
cy.  OCC16-00305270  
cz.  OCC16-00305271  
da.  OCC16-00305273  
db.  OCC16-00305274  
dc.  OCC16-00305276  
dd.  OCC16-00305278  
de.  OCC16-00305279  
df.  OCC16-00305281  
dg.  OCC16-00305285  
dh.  OCC16-00305288  
di.  OCC16-00305291  
dj.  OCC16-00305294  
dk.  OCC16-00305297  
dl.  OCC16-00305299  
dm.  OCC16-00305300  
dn.  OCC16-00305301  
do.  OCC16-00305304  
dp.  OCC16-00305381  
dq.  OCC16-00305397  
dr.  OCC16-00305399  
ds.  OCC16-00305412  
dt.  OCC16-00305414  
du.  OCC16-00305415  
dv.  OCC16-00305417  
dw.  OCC16-00305433  
dx.  OCC16-00305435  
dy.  OCC16-00305436  
dz.  OCC16-00305437  
ea.  OCC16-00305439  
eb.  OCC16-00305441  
ec.  OCC16-00305453  
ed.  OCC16-00305454  
ee.  OCC16-00305455  
ef.  OCC16-00305457  
eg.  OCC16-00305458  
eh.  OCC16-00305461  
ei.  OCC16-00305462  
ej.  OCC16-00305463  
ek.  OCC16-00305464  
el.  OCC16-00305465  
em.  OCC16-00305468  
en.  OCC16-00305469  
eo.  OCC16-00305471  
ep.  OCC16-00305473

eq.  OCC16-00305475  
er.  OCC16-00305477  
es.  OCC16-00305479  
et.  OCC16-00305480  
eu.  OCC16-00305481  
ev.  OCC16-00305484  
ew.  OCC16-00305486  
ex.  OCC16-00305487  
ey.  OCC16-00305488  
ez.  OCC16-00305490  
fa.  OCC16-00305491  
fb.  OCC16-00305493  
fc.  OCC16-00305494  
fd.  OCC16-00305495  
fe.  OCC16-00305496  
ff.  OCC16-00305497  
fg.  OCC16-00305498  
fh.  OCC16-00305499  
fi.  OCC16-00305500  
fj.  OCC16-00305502  
fk.  OCC16-00305503  
fl.  OCC16-00305504  
fm.  OCC16-00305507  
fn.  OCC16-00305508  
fo.  OCC16-00305513  
fp.  OCC16-00305523  
fq.  OCC16-00305533  
fr.  OCC16-00305548  
fs.  OCC16-00305554  
ft.  OCC16-00305555  
fu.  OCC16-00305565  
fv.  OCC16-00305566  
fw.  OCC16-00305568  
fx.  OCC16-00305569  
fy.  OCC16-00305581  
fz.  OCC16-00305582  
ga.  OCC16-00305586  
gb.  OCC16-00305587  
gc.  OCC16-00305603  
gd.  OCC16-00305605-00305606  
ge.  OCC16-00305607  
gf.  OCC16-00305608  
gg.  OCC16-00305611  
gh.  OCC16-00305622  
gi.  OCC16-00305624  
gj.  OCC16-00305626  
gk.  OCC16-00305628  
gl.  OCC16-00305631  
gm.  OCC16-00305634  
gn.  OCC16-00305636  
go.  OCC16-00305638  
gp.  OCC16-00305641  
gq.  OCC16-00305643  
gr.  OCC16-00305645  
gs.  OCC16-00305646






gt.  OCC16-00305661  
gu.  OCC16-00305684  
gv.  OCC16-00305703  
gw.  OCC16-00305704  
gx.  OCC16-00305705  
gy.  OCC16-00305708  
gz.  OCC16-00305709-00305711  
ha.  OCC16-00305712  
hb.  OCC16-00305715  
hc.  OCC16-00305716  
hd.  OCC16-00305717  
he.  OCC16-00305718  
hf.  OCC16-00305741  
hg.  OCC16-00305743  
hh.  OCC16-00305744  
hi.  OCC16-00305885  
hj.  OCC16-00305886  
hk.  OCC16-00305887-00305889  
hl.  OCC16-00305890  
hm.  OCC16-00305892  
hn.  OCC16-00305893  
ho.  OCC16-00305895  
hp.  OCC16-00305896  
hq.  OCC16-00305915  
hr.  OCC16-00305923-00305924  
hs.  OCC16-00305936  
ht.  OCC16-00305938  
hu.  OCC16-00305939  
hv.  OCC16-00305942  
hw.  OCC16-00305944  
hx.  OCC16-00305947  
hy.  OCC16-00305949  
hz.  OCC16-00305950  
ia.  OCC16-00305951  
ib.  OCC16-00305952  
ic.  OCC16-00305954  
id.  OCC16-00305955  
ie.  OCC16-00305960  
if.  OCC16-00305971-00305972  
ig.  OCC16-00305973  
ih.  OCC16-00305975  
ii.  OCC16-00305978  
ij.  OCC16-00305980  
ik.  OCC16-00305982  
il.  OCC16-00305993-00305994  
im.  OCC16-00305995  
in.  OCC16-00305997  
io.  OCC16-00305998  
ip.  OCC16-00305999  
iq.  OCC16-00306001  
ir.  OCC16-00306004  
is.  OCC16-00306005  
it.  OCC16-00306006  
iu.  OCC16-00306022  
iv.  OCC16-00306023

- iw.  OCC16-00306026
- ix.  OCC16-00306045
- iy.  OCC16-00306046
- iz.  OCC16-00306066
- ja.  OCC16-00306083
- jb.  OCC16-00306102
- jc.  OCC16-00306111
- jd.  OCC16-00306122-00306123
- je.  OCC16-00306343-00306345
- jf.  OCC16-00306346-00306347
- jg.  OCC16-00306358-00306360
- jh.  OCC16-00306361-00306363
- ji.  OCC16-00306364-00306365
- jj.  OCC16-00306366-00306367
- jk.  OCC16-00306368-00306370
- jl.  OCC16-00306371
- jm.  OCC16-00306392-00306394
- jn.  OCC16-00306395-00306396
- jo.  OCC16-00306397-00306398
- jp.  OCC16-00306402-00306404
- jq.  OCC16-00306405-00306406
- jr.  OCC16-00306407
- js.  RE BANA Countrywide 2nd Funding Transaction
- jt.  RE BANA-CHL request 10 10
- ju.  RE BANA-CHL request 10 10 (1)
- jv.  RE BANA-CHL request 10 10 (11)
- jw.  RE BofA Countrywide
- jx.  RE BofA thrift assets into NB charter
- jy.  RE CW Capital Contribution
- jz.  RE CW Capital Contribution (1)
- ka.  RE CW Capital Contribution (4)
- kb.  RE CW Capital Contribution (8)
- kc.  Revised BofA Indemnification Agreement Draft 110308pm
- kd.  Revised BofA Indemnification Agreement Draft 110308pm (2)
- ke.  Revised BofA Operating Agreement Draft 110308pm
- kf.  Revised BofA Operating Agreement Draft 110308pm (2)
- kg.  Scanned Comments
- kh.  SDC briefings memo
- ki.  Second BofA (CHL) Indemnification Agreement Final
- kj.  Second BofA (CHL) Indemnification Agreement FINAL (2)
- kk.  Second BofA (CHL) Operating Agreement Final
- kl.  Second BofA (CHL) Operating Agreement FINAL (2)
- km.  TSumm\_CHL Oct 2008
- kn.  TSumm\_CHL Oct 2008 (2)
- ko.  TSumm\_CHL Oct 2008\_(Impaired 03\_3 Accounting)
- kp.  TSumm\_CHL Oct 2008\_(Impaired 03\_3 Accounting) (2)
- kq.  WA Statement of Charges
- kr.  WA Statement of Charges
- h.  2010-08-13 Production- Disk # 544
  - 1.  OCC20-00349409-00349608
  - 2.  OCC20-00349609-00349808
  - 3.  OCC20-00349809-00350008
  - 4.  OCC20-00350009-00350208
  - 5.  OCC20-00350209-00350477
- i.  2010-09-17 Production- Disk # 647
  - 1.  OCC22-00361262-00361385

2.  OCC22-00361386-00361879
3.  OCC22-00361880-00362373
4.  OCC22-00362374-00362867
5.  OCC22-00362868-00363361
6.  OCC22-00363362-00363855
7.  OCC22-00363856-00364349
8.  OCC22-00364350-00364377
9.  OCC22-00364382-00364891
10.  OCC22-00364892-00365887







































54.  Option One

a.  2010-07-21 Production- Disk # 476

1.  01\_INTERROGATORY NO. 01 OPTION ONE FCIC\_v1
2.  02\_INTERROGATORIES 2, 3, 4, 5 AND 6 OPTION ONE FCIC RESPONSE\_v1
3.  03\_INTERROGATORY NO. 09 OPTION ONE FCIC\_v1
4.  04\_INTERROGATORY NO. 11 OPTION ONE FCIC\_v1
5.  05\_INTERROGATORY NO. 12 OPTION ONE FCIC\_v1

55.  OTS















a.  2010-11-03 Production- Disk # 731

1.  OTS Congressional Contacts
  - a.  OTS Congressional contacts log
  - b.  OTS Congressional Tracking log
2.  OTS Internal Failed Bank Reviews
  - a.  Ameribank FBR
  - b.  American Sterling Bank FBR
  - c.  AmTrust FBR
  - d.  BankUnited FBR
  - e.  Bradford Bank FBR
  - f.  Century Bank FBR
  - g.  Charter Bank FBR
  - h.  Downey Savings and Loan
  - i.  Dwelling House Savings and Loan FBR
  - j.  ebank FBR
  - k.  First Bank of Idaho FBR
  - l.  Greater Atlantic Bank FBR
  - m.  Guaranty Bank FBR
  - n.  Home Federal Savings Bank FBR
  - o.  Indymac Bank FBR
  - p.  Irwin Union Bank FBR
  - q.  Key West FBR
  - r.  OTS-OIG Failed Bank & MLR Summary as of 9-30-10
  - s.  OTS-OIG Failed Bank & MLR Summary as of 9-30-10
  - t.  Partners Bank FBR
  - u.  Peoples Community Bank FBR
  - v.  Peoples First Community FBR
  - w.  PFF Bank & Trust FBR
  - x.  Platinum Community Bank FBR
  - y.  Suburban Federal Savings Bank FBR
  - z.  Tier One FBR
  - aa.  Vantus Bank FBR
3.  OTS New Directions Bulletins
  - a.  New Directions 02-17
  - b.  New Directions 04-09
  - c.  New Directions 05-04
  - d.  New Directions 06-02
  - e.  New Directions 06-12
  - f.  New Directions 06-14



























































- g. New Directions 06-15
  - h. New Directions 07-02
  - i. New Directions 07-07
  - j. New Directions 07-08
  - k. New Directions 08-04
  - l. New Directions 08-05a
  - m. New Directions 08-07
  - n. New Directions 08-08
  - o. New Directions 08-09
  - p. New Directions 08-11
  - q. New Directions 08-15
  - r. New Directions 09-04
  - s. New Directions 09-06
  - t. New Directions 09-07
  - u. New Directions 09-09
  - v. New Directions 09-10
  - w. New Directions 09-18
  - x. New Directions 09-20 Handbook Examination
  - y. New Directions 09-23
  - z. New Directions 10-12
  - aa. New Directions 10-17 Handbook Examination
56. OTS - Countrywide production
- a. 18039\_20070402\_16
    - 1. Report of Examination
      - a. ROEF\_18039\_20070402\_16
  - b. 18039\_20070402\_46
    - 1. Report of Examination
      - a. ROEM\_18039\_20070402\_46
  - c. 18039\_20070402\_86
    - 1. Report of Examination
      - a. ROEF\_18039\_20070402\_86
    - 2. Work Papers
      - a. 4.2 Q3 2007 Authentication in an Internet Environment
      - b. 4.3 Q3 2007 Project Management
      - c. 4.4 Q3 2007 Information Security
      - d. Business Continuity and Technology Disaster Recovery Overview - January 2008
      - e. Enterprise Data Security and Privacy Overview - January 8, 2008
      - f. Enterprise Data Security and Privacy Presentation 4-20-07
      - g. Global Markets Division Overview Presentation - March 26, 2007
      - h. Information Technology Overview - January 8, 2008
      - i. IT Audit Overview - January 8, 2008
      - j. IT Audit Overview March 2007
      - k. IT Overview March 2007
      - l. Q1 2008 Business Continuity-Disaster Recovery Planning
      - m. Q1 2008 Data Center Operations
      - n. Q1 2008 Information Security
      - o. Q1 2008 IT Audit Index
      - p. Q1 2008 Program Change Management
      - q. Q1 2008 Technology Governance
      - r. Q1 2008 Thrift Integration Project Update
      - s. Q1 2008 Project Management - Part 1
      - t. Q1 2008 Project Management - Part 2
      - u. Q1 2008 Remote Deposit Capture
      - v. Q4 2007 Information Security Update Summary
      - w. Q4 2007 Contingency Planning Index
      - x. Q4 2007 Thrift Integration Workpaper Index

- y. Security Incident Response Program Presentation 1-26-07
- d. 18039\_20070702\_46
  - 1. Report of Examination
    - a. ROEM\_18039\_20070702\_46
- e. 18039\_20070928\_46
  - 1. Report of Examination
    - a. ROEM\_18039\_20070928\_46
  - 2. Work Papers
    - a. Ratings Reassessment Memo cross-reference
- f. 18039\_20071001\_46
  - 1. Report of Examination
    - a. ROEM\_18039\_20071001\_46
- g. 18039\_20071203\_73
  - 1. Report of Examination
    - a. ROEM\_18039\_20071203\_73
  - 2. Work Papers
    - a. 4Q2007 ReconTrust Scope
    - b. 730P-00 Related Organizations Review (12-03-07) Index
    - c. 730P-01 Related Organizations Program
    - d. 730P-01.a Draft Memo ROE
    - e. 730P-02 ReconTrust Presentation 12.06.2007
    - f. 730P-03 Recap of OCC ROE dated 3.31.06
    - g. 730P-04 4Q2007 ReconTrust Scope
    - h. 730P-05 Exit Meeting Agenda 1.30.2008
    - i. 730P-06 ReconTrust Organization Chart
    - j. 730P-07.a Operational Risk and Compliance Committee Charter\_10-30-07
    - k. 730P-07.b New State Steering Committee
    - l. 730P-08 RTNV 11-01-07 UWC Various
    - m. 730P-09 RTNA 05-03-07 BOD MINUTES\_FINAL (approved executed)
    - n. 730P-10 RTNV 09-28-07 Org Consent
    - o. 730P-11 Bylaws of ReconTrust
    - p. 730P-12 Executive Compensation
    - q. 730P-13 RTNV Balance Sheet
    - r. 730P-14 RTNA Balance Sheet
    - s. 730P-15 Examiner Supplemental Requests DX
    - t. 730P-16 OTS Approval Order 2007-08
    - u. 730P-17 Recon-TWA memo 2Q2007 review
    - v. 730P-18 380-08 CWB TWA September 2007 Executive Summary
    - w. 730P-19 380-09 CWB TWA Report September 2007
    - x. 730P-21 ReconTrust Policies and Procedures List
    - y. 730P-5-00 ReconTrust Company Index
    - z. 730P-5-01 SS Subordinate Orgs ROE Page (ReconTrust)
    - aa. Scope Worksheet for Next Exam
- h. 18039\_20080102\_46
  - 1. Report of Examination
    - a. ROEM\_18039\_20080208\_63
- i. 18039\_20080208\_63
  - 1. Report of Examination
    - a. ROEM\_18039\_20080208\_63
  - 2. Work Papers
    - a. Additional Statistics Requested.6.23.08
    - b. APR 1Q2Q 07 all division - by MSA
    - c. APR 3Q4Q 07 all division - by MSA
    - d. APR Pricing Key Document
    - e. CFC NonDiscrimination Program 122807-Part2
    - f. CFC NonDiscriminationProgram 122807-Part1
    - g. CMD & FSL APR 1st Lien Pricing Restitution Summary -32008 Final

















































































































- h.  CMD APR Restitution Final - Loan Detail - 032608 to OTS
- i.  CMD1\_Q1Q207\_MSAList
- j.  CMD1\_refClusters\_Q1Q2\_07\_D\_18MAR08
- k.  Comments on CW fair lending commitments
- l.  Company Response (Spousal Signature Provisions)
- m.  Company Response (Transactional Level Corrective Action)
- n.  Countrywide FL violations DC legal review
- o.  Countrywide referral to DOJ 6-27-08 - redline
- p.  CW Fair Lending Committe Report Dec 07
- q.  CW FL Q2 08 summary
- r.  CW Pricing Letter Feb 8, 2008
- s.  CW pricing relief methodology
- t.  CW Quit Letter Feb 8, 2008
- u.  CW response to findings 2Q07
- v.  CW wholesale report
- w.  Findings Memo #5 (Compliance-Fair Lending) Final
- x.  FSL Prime & Subprime APR Restitution - Loan Detail -032408 to OTS
- y.  Marc Fisher Email to Mariana . 6.26.08.Addional Statistics Req
- z.  NonBorr Spouse Quit Claim Project - Status Report 052308
- aa.  NonBorr Spouse Quit Claim Project - Status Report 062708
- ab.  NonDiscrimination ProjectPlan 033108
- ac.  OTS Response\_11-27-07 Meeting Action Items
- ad.  q4-31 ecoa spouse info & sign draft audit steps\_revised
- ae.  q4-32 - spousalsignaturerequirements\_quitclaimfocusedreview\_092707\_v2
- af.  q4-33 cfc response
- ag.  Re\_ Additional Statistics Request.6.24.08.1
- ah.  Spousal Signature Jaffe
- ai.  Status of pricing remediation
- aj.  Update on nonborrower spouse quitclaim project
- ak.  Updated on spousal quitclaims project 6.27.08
- al.  Wholesale pricing Hancock
- am.  WLD APR 1st Lien Pricing Restitution Summary - 041608 Final
- an.  WLD APR Restitution Final - Loan Detail - 041708 to OTS Final
- j.  18039\_20080212\_64
  - 1.  Report of Examination
    - a.  CRAE\_18039\_20080212\_64
- k.  18039\_20080401\_16
  - 1.  Report of Examination
    - a.  ROEF\_18039\_20080401\_16
  - 2.  Work Papers
    - a.  000-0 - Administration Index
    - b.  000-2 - Progress Report 2008-2009 Exam Cycle
    - c.  000-3a - Q3 2008 3-Year Plan Rollforward
    - d.  000-3b - Q3 2008 Supervisory Plan Rollforward
    - e.  000-3c - Countrywide 10-9-2008 Wrapup Plan
    - f.  000-4 - 2008-2009 Scope Memo to Management
    - g.  060B-00 - Master Scope Worksheet (Revised)
    - h.  060B-01 - 2Q2008 Asset Quality Scope
    - i.  060B-02 - 2Q2008 Management Scope
    - j.  060B-03 - 2Q2008 Compliance Scope
    - k.  060B-04 - 3Q2008 Asset Quality Scope
    - l.  060B-05 - 3Q2008 Earnings Scope
    - m.  060B-06 - 3Q2008 L&S Scope
    - n.  060B-07 - 3Q2008 Compliance Scope
    - o.  300-00 Countrywide Reconstituted Board & Management under BAC
    - p.  300-01 CWB Directors & Officers as of 9-30-08 List





- q.  300-02 Key Contact Information CWB & BAC
- r.  300-03 Board Resolution 11-12-2008 for Removal of Officers from CWB
- s.  300-04 Status of Matters Requiring Board Attention as of 11-05-2008
- t.  300P-00 BAC Transition & Quarterly Regulator Update Mtgs Index 300P
- u.  300P-01 BAC CFC Red Oak Merger Agmt
- v.  300P-02 BAC-CFC-OTS-FDIC Meeting 2-28-09
- w.  300P-03 BAC Meeting Summary 2-28-08
- x.  300P-04 BAC Response to FRS Addl Info Request
- y.  300P-05 BAC Application to BGFRS
- z.  300P-06 BofA Hearings via FRBSF in LA 4-28-09
- aa.  300P-07 BAC 2Q08 Earnings Release Transcript
- ab.  300P-08 MHEIS Quarterly Regulators Update 11-06-08 Final
- ac.  300P-08a Regulators Meeting Update Agenda
- ad.  300P-09 Countrywide Transition Regulator Update 09-29-08
- ae.  300P-10 Countrywide Transition Regulator Update 11-06-08
- af.  300P-11 Countrywide Transition Stakeholders Meeting 01-15-09
- ag.  300P-12 MHEIS Quarterly Regulatory Meeting Agenda 2-05-09
- ah.  300P-13 BAC CFC Transition Update 2-28-09
- ai.  300P-14 BAC CFC Transition Update 3-26-09
- aj.  310BM-00 Countrywide Board of Directors Meeting Minutes Recap 2008-09 Index
- ak.  310BM-01 Synoptic Overview of Board Minutes Reviewed
- al.  310BM-02 CWB Board Meeting Extract (8-12-08 & 11-12-08)
- am.  355P-00 Internal Audit Bi-weekly Updates Index 355P
- an.  355P-00a BofA Audit Plan Executive Summary
- ao.  355P-01 OTS Update Meeting 10-23-08
- ap.  355P-02 OTS Update Meeting 11-05-08
- aq.  355P-03 OTS Meeting Agenda (11-20-08)
- ar.  355P-04 OTS Update Meeting 12-04-08
- as.  355P-05 OTS Update Meeting 12-18-08
- at.  355P-06 OTS Commitments 12-18-08
- au.  355P-07 OTS summary of issues at 01-08-09
- av.  355P-08 OTS Update Meeting 1-15-09
- aw.  355P-08a OTS Update Meeting 1-29-09
- ax.  355P-09 OTS Update Meeting 2-12-09
- ay.  355P-10 OTS Update Meeting 2-26-09
- az.  355P-11 OTS Update Meeting 3-12-09
- ba.  355P-12 OTS Update Meeting 3-26-09
- bb.  355P-13 OTS Update Meeting 4-9-09
- bc.  355P-14 OTS Update Meeting 4-23-09
- bd.  ACCTR-1 Application Conditions and Commitments Tracking Report (ACCTR)
- be.  ACCTR-2 Application Conditions and Commitments Tracking Report (ACCTR)
- bf.  AM-1 - 2008-2009 Exam Admin Memo
- bg.  AM-2 - 2008-2009 Exam Admin Memo Revision #1
- bh.  AM-3 - 2008-2009 Exam Admin Memo Revision #2
- bi.  CEFSR-01 -- FINAL
- bj.  CEFSR-02 -- FRB 2006 2007 Findings Status - As of June 30 2008
- bk.  CEFSR-03 -- OCC 2006 2007 Findings Status - As of June 30 2008
- bl.  CEFSR-04 -- OTS 2007 2008 Findings Status - As of June 30 2008
- bm.  CEFSR-05 -- Re Status Update on Open Items for OTS OCC and FRB Examination Findings
- bn.  FM01-1 - Findings Memo #1 (Mgmt-TWA) FINAL
- bo.  FM01-2 - Findings Memo 1\_ Response.06.12.08
- bp.  FM02-1 - Findings Memo #2 (Compliance-Advertising)
- bq.  FM02-2 - Findings Memo #2 Response
- br.  FM03-1 - Findings Memo #3 AQ-ALLL
- bs.  FM03-2 - Findings Memo #3 Response
























































- bt.  FM03-3 - Findings Memo #3 Supplemental ResponseA
- bu.  FM03-4 - Findings Memo #3 Supplemental ResponseB
- bv.  FM04-1 Findings Memo #4 (Compliance-Advertising Regulation Violation)
- bw.  FM04-2 Findings Memo #4 Response
- bx.  FM05-1 - Findings Memo #5 - Compliance - Fair Lending Final Memo
- by.  FM05-2 - Findings Memo #5 Response
- bz.  FM05-3 - Findings Memo #5 Status Update
- ca.  IRL-1 - Issues Resolution Log
- cb.  P-00 - EXAM CYCLE PERK INDEX
- cc.  P-000 - Continuous Exam Perk & Monitoring Documentation
- cd.  P-01 - PERK MEMO FOR EXAM CYCLE
- ce.  P-02 - 2Q08 Asset Quality PERK
- cf.  P-03 - 2Q08 Asset Quality ELD Supplement PERK
- cg.  P-04 - 2Q08 Management PERK
- ch.  P-05 - 2Q08 Earnings PERK
- ci.  P-06 - 2Q08 Compliance PERK
- cj.  P-07 - 2Q08 Fair Lending PERK
- ck.  P-08 - 3Q08 Asset Quality PERK
- cl.  P-09 - 3Q08 Liquidity PERK
- cm.  P-10 - 3Q08 Liquidity PERK - PERK 013 Mtg Bkg Questionnaire
- cn.  P-11 - 3Q08 Sensitivity PERK
- co.  P-12 - 3Q08 Compliance PERK
- cp.  P-13 - 4Q08 Asset Quality PERK
- cq.  P-14 - 4Q08 Compliance PERK
- cr.  P-15 - 4Q08 IT PERK
- cs.  STAFF-1 - OTS Staff Chart 2Q2008
- ct.  STAFF-2 - OTS Staff Chart 3Q2008
- cu.  STAFF-3 - OTS Staff Chart 4Q2008
- I.  18039\_20080401\_46
  - 1.  Report of Examination
    - a.  ROEM\_18039\_20080401\_46
- m.  18039\_20080401\_86
  - 1.  Report of Examination
    - a.  ROEF\_18039\_20080401\_86
- n.  18039\_20080701\_46
  - 1.  Report of Examination
    - a.  ROEM\_18039\_20080701\_46
- o.  AIG
  - 1.  14939\_20001121\_62
    - a.  Report of Examination
      - 1.  ROEF\_14939\_20001121\_62
  - 2.  14939\_20001204\_50
    - a.  Report of Examination
      - 1.  ROEF\_14939\_20001204\_50
  - 3.  14939\_20010402\_10
    - a.  Report of Examination
      - 1.  ROEF\_14939\_20010402\_10
  - 4.  14939\_20010529\_60
    - a.  Report of Examination
      - 1.  CRAE\_14939\_20010529\_60
      - 2.  CRAE\_14939\_20010529\_60
      - 3.  ROEF\_14939\_20010529\_60
  - 5.  14939\_20020624\_60
    - a.  Report of Examination
      - 1.  ROEF\_14939\_20020624\_60
  - 6.  14939\_20020625\_10
    - a.  Report of Examination
      - 1.  ROEF\_14939\_20020625\_10



12.  1000CLS\_Anderson - Complaint
13.  1000CLS\_Arki - Complaint
14.  1000CLS\_Beneger - Complaint
15.  1000CLS\_Boggio - Complaint
16.  1000CLS\_Burlack - Complaint
17.  1000CLS\_Charnly - Complaint
18.  1000CLS\_Emory - Complaint
19.  1000CLS\_Green - Complaint
20.  1000CLS\_Kemmit - Complaint
21.  1000CLS\_Knopp - Complaint
22.  1000CLS\_Leonard - Complaint
23.  1000CLS\_Martin - Complaint
24.  1000CLS\_Miller - Complaint
25.  1000CLS\_Nowak - Complaint
26.  1000CLS\_Obrien, Harold - Complaint
27.  1000CLS\_Obrien, J. - Complaint
28.  1000CLS\_Santiago - Complaint
29.  1000CLS\_Sweitzer - Complaint
30.  1000CLS\_Tennessee - Complaint
31.  1000CLS\_Wasserman - Complaint
32.  1400 02 A.00 2005 Operational Risk Ranking Grid
33.  1400 02 A.01 2005 Operational Risk Review Index
34.  1400 02 A.02 2005 Operational Risk Review Overview
35.  1400 02 A.03 2005 Operational Risk Review Schedule
36.  1400 02 A.04 2005 AIG Bank CORM Program Jan 2005
37.  1400 02 A.05 2005 AIG BANK OPERATIONAL REVIEWS
38.  1400 03 BSA Policy Revised 9-03
39.  1400 04 FinCEN 314(a) Procedures 10-05
40.  1400 05 OFAC Policy Revised
41.  1400 06 PERK023 BSA AML OFAC USAPA Request
42.  1400 07 Training-Exam Results By Student and Location
43.  1400 08 01 BSA Audit Testing Procedures
44.  1400 08 02 AIGFSBreport#05-030
45.  1400 09 OFAC 1st Qtr 05
46.  1400 09 OFAC 3rd qtr04
47.  1400 09 OFAC 4th qtr04
48.  1400 10 314(a) 1st QTR 05
49.  1400 10 314(a) 4th qtr04
50.  1400 11 1 Suspicious Activity Report
51.  1400 11 2 Attachment to SAR
52.  1400 11 2 sar region 1
53.  1400 11 SAR 1st QTR 2005\_
54.  1400 11 SAR 3rd qtr04
55.  1400 11 SAR 4th qtr\_
56.  1400 11 SAR OFAC 314(a) 2nd QTR 2005\_
57.  1400 12 Currency Monitoring Assessment 3-2005
58.  1400 12 Currency Monitoring Assessment 3rd Qtr 2004
59.  1400 13 New Account Verification Assessment August 2004
60.  1400 13 New Account Verification Assessment January 2005
61.  200 AQ\_01.0 Loan Production Summary-OTS
62.  200 AQ\_02.0 Sampling - Read List
63.  200 AQ\_03.0 Abusive Lending Review Summary Worksheet
64.  200 AQ\_04.0 Abusive and Inappropriate Lending Practices - Outline
65.  200 AQ\_05.0 Risk Management - OTS Innovative Product Survey 10-31-05
66.  200 AQ\_05.1 Risk Management - OTS Innovative Product Survey 12-31-05
























































67.  200 AQ\_06.0 Risk Management - MorEquity Dashboard Report
68.  200 AQ\_06.1 Risk Management - WFI Dashboard Report
69.  200 AQ\_06.1a Risk Management - WFI Dashboard Report Q4 2005
70.  200 AQ\_07.0 WFI Loan Repurchases 2005
71.  200 AQ\_07.1 WFI Loan Repurchases 12.2005 Only
72.  200 AQ\_08.0 Investor Sales WF 2004-YTD 6.30.2005
73.  200 AQ\_08.1 Investor Sales WF 2005
74.  200 AQ\_08.2 Investor Sales WF 2005
75.  200 AQ\_08.3 Investor Sales YTD 12.20.2005 Second Lien
76.  200 AQ\_09.0 Loan Fee Analysis - 1Q 2005
77.  200 AQ\_09.1 Broker Listing as of 9.30.2005
78.  200 AQ\_10.0 OTS Portfolio-Classified-Ratio Analysis
79.  200 AQ\_11.0 Loan Portfolio Analysis and Reconciliation
80.  200 AQ\_12.0 Reverse Mortgage Review
81.  200 AQ\_13.0 Premium Finance and Private Label Program Review
82.  200 AQ\_13.1 A.I. CREDIT PARTICIPATION
83.  200 AQ\_13.2 A.I. CREDIT PREMIUM LOAN SERVICES
84.  200 AQ\_13.3 AI Credit Part Exhibits
85.  200 AQ\_13.4 Insurance Premium Financing
86.  200 AQ\_13.5 KCPPKP LOAN
87.  200 AQ\_13.6 Premium Finance OTS Description of Program (final) 06-17-05
88.  200 AQ\_13.7 Private Label Loans
89.  200LS\_1.0 Sampling - Read List
90.  200LS\_Akoko - DB
91.  200LS\_Allen - DG
92.  200LS\_Arthur - HP
93.  200LS\_Augusta - HP
94.  200LS\_Barnes - HP
95.  200LS\_Barrera - DB
96.  200LS\_Beasley - DB
97.  200LS\_BEYAN - JF
98.  200LS\_Brown R - DB
99.  200LS\_Brown RYV - DB
100.  200LS\_Bruce - DB
101.  200LS\_Burgess - DB
102.  200LS\_Cantrell - DB
103.  200LS\_Craig - HP
104.  200LS\_Croxton - JF
105.  200LS\_Dobb - DB
106.  200LS\_Dunston - DG
107.  200LS\_Ellison - JF
108.  200LS\_Evans - DB
109.  200LS\_Fitchpatrick - DG
110.  200LS\_Gainey - DB
111.  200LS\_Galvan - DB
112.  200LS\_Garrison - DG
113.  200LS\_Gatzke - HP
114.  200LS\_Groce - DG
115.  200LS\_Higgenbottom - HP
116.  200LS\_Holoman - DG
117.  200LS\_Honore - JF
118.  200LS\_Kincaid - DB
119.  200LS\_Larson - HP
120.  200LS\_McBride - DB
121.  200LS\_Mistak - DB

122.  200LS\_Mitchell L - JM
123.  200LS\_Mitchell R - JF
124.  200LS\_Murphey - HP
125.  200LS\_Newman - DB
126.  200LS\_Phanouvong - DG
127.  200LS\_Pinera - JF
128.  200LS\_Preston - JF
129.  200LS\_Randle - DB
130.  200LS\_Reed S - JF
131.  200LS\_Sampson - JF
132.  200LS\_Savaro - HP
133.  200LS\_Smith - DB
134.  200LS\_Sparks - DB
135.  200LS\_Strait - JM
136.  200LS\_Torres - HP
137.  200LS\_Vaught - HP
138.  200LS\_Vincolato - JM
139.  200LS\_Williams R - DB
140.  200LS\_Williams S - DB
141.  200RP AQ\_ 2.0 Program 571 - Mortgage Banking Overview
142.  200RP AQ\_ 1.0 Program 209 - Sampling
143.  300 M\_2.0 Revised 2006 Business Plan Narrative
144.  300 M\_3.0 WFI Audited Financial StmtS 2005
145.  300 M\_3.1 WFI Unaudited Financial StmtS 2005
146.  300 M\_4.0 WFI Cost of Funds
147.  300 M\_5.0 AIG Mortgage Advisor Questionnaire - Summary AK
148.  300 M\_5.1 AIG Mortgage Advisor Questionnaire - Summary MD
149.  300 M\_5.1a Questionnaire\_Chryssostomides
150.  300 M\_5.1b Questionnaire\_Cole
151.  300 M\_5.1c Questionnaire\_Dibenedetto
152.  300 M\_5.1d Questionnaire\_Ludvickson
153.  300 M\_5.1e Questionnaire\_Matarazzo
154.  300RP M\_1.0 Program 330 - Management Assessment
155.  500 Balance Sheet Changes
156.  500 IPR AIG Bank Overview 100605
157.  500 IPR Investment Policy\_Jan2003
158.  500 IPR June05 Investment Hldgs
159.  500 IPR Security Sales and Purchases
160.  500 Liquidity and IRR Notes
161.  500 OTS 62004 NPV
162.  500 OTS 62005 NPV
163.  500RP Liquidity Prg (risk-focused)
164.  600 IPR ALM Policy\_Jan2003
165.  600 IPR Int Rate Risk Policy\_Jan2005
166.  600 IPR NIIModel 0605
167.  600 IPR NPV06.30.05
168.  600 IPR NPV06.30.05\_Money Market Intangible
169.  600 IPR Raw Data June 2005 NPV Data
170.  600 Model Differences
171.  600 NPV Balance Sheet Changes
172.  600RP Sensitivity to Market Risk Prg (risk-focused)
173.  B7D3D5A3-E386-4042-B8FA-1DAD47317560
174.  README
17.  14939\_20051004\_70
  - a.  Report of Examination
    1.  ROEF\_14939\_20051004\_70

- b.  Work Papers
  - 1.  210P\_TR
  - 2.  210P\_TR 1 Trust Committee Report June 2005 2nd qrt
  - 3.  210P\_TR 2 AIGorgchart company wide
  - 4.  310P\_TR
  - 5.  310P\_TR 1 Vendor Oversight EBS May 2005
  - 6.  310P\_TR 2 PERK Internal Controls 2005
  - 7.  310P\_TR 3 Vendor Review
  - 8.  410P\_TR
  - 9.  410P\_TR 1 Audit Report
  - 10.  610P\_TR
  - 11.  610P\_TR 1
  - 12.  610P\_TR 1 Filed Schedule FS December 31 2003
  - 13.  610P\_TR 1 Filed Schedule FS December 31, 2004
  - 14.  610P\_TR 1 Filed Schedule FS June 30 2005
  - 15.  610P\_TR 2 Examination Memo for Schedule FS
  - 16.  610P\_TR 3 Trustee vs Custodial Assets @Valic 06.30.05
  - 17.  610P\_TR 4 PERK Earnings 2005
  - 18.  720P\_TR
  - 19.  720P\_TR 1 Administrative review
  - 20.  720P\_TR 1 Annual Trust Account Reviews June 2004 - Administrative
  - 21.  720P\_TR 2 Sample file account Cooke, Matthew
  - 22.  720P\_TR 2 Sample file account Davis, Victoria P.
  - 23.  720P\_TR 3 RIC-E trust service agreement signed copies 2002
  - 24.  720P\_TR 3 Trust Recordkeeping June 2005 REV
  - 25.  730P\_TR
  - 26.  730P\_TR 1 VRSCO Site Visit May 2005
  - 27.  730P\_TR 2 Administrative Reviews
  - 28.  810P\_TR
  - 29.  810P\_TR 1 Current INV TRUST Policy REV
  - 30.  810P\_TR 1 Investment Policy needs
  - 31.  810P\_TR 1 mutual fund policy needs
  - 32.  810P\_TR 3 Current Infor on Asset Monitoring by EBS
  - 33.  810P\_TR 4 Mutual Fund RIC-E 06-30-05
  - 34.  810P\_TR 5 Ric-E trust Polaris 10-11-05(values)
  - 35.  810P\_TR 6 aspireact
  - 36.  D5120006-25F2-4DA8-8F68-55478E47A81A
  - 37.  OTS Closing Presentation AIG Savings Bank
  - 38.  README
- 18.  14939\_20060210\_63
  - a.  Report of Examination
    - 1.  ROEM\_14939\_20060210\_63
  - b.  Work Papers
    - 1.  Documents and Settings
      - a.  GRANTFW
        - 1.  Desktop
          - a.  AIG Fair Lending
            - 1.  14939 AIG Bank 2004 HMDA - Significant CRA Wiz data
            - 2.  14939 AIG Bank 2004 HMDA - Significant Difference of Means - FL Wiz data AIG
            - 3.  Bank Predatory Lending review from 2004
          - b.  AIG memo for BA
            - 1.  memo
          - c.  HMDA Project AIG
            - 1.  14939 AIG HMDA Project Phase III Tracking Sheet
            - 2.  AIG 14939 Denial Regression Analysis Report
            - 3.  AIG 14939 Pricing Regression Analysis Report


























































4. AIG 14939 Regression Analysis Tables 1A
  5. AIG Federal Savings Bank 14939 HMDA Cover letter
  6. Fair Lending Field Visit Memo
  7. HMDA Data 2004 Analysis
  8. HMDA White Paper May 2005
2. 5D2072CB-96CA-4027-8FCF-947BE1ED8DE9
  3. README
19. 14939\_20060224\_43
    - a. Report of Examination
      1. ROEM\_14939\_20060224\_43
    - b. Work Papers
      1. 1B6BCCEE-22E2-4C8D-A675-76ED84DBE540
      2. 90 Day Deferred 2004
      3. 90 Day Deferred 2005
      4. Alt Mtge instructions
      5. IO 2004
      6. IO 2005
      7. OTS\_INNOVATIVE\_PRODUCT\_WORKSHEET
      8. README
  20. 14939\_20060327\_46
    - a. Report of Examination
      1. ROEM\_14939\_20060327\_46
  21. 14939\_20061010\_46
    - a. Report of Examination
      1. ROEM\_14939\_20061010\_46
    - b. Work Papers
      1. My Secure Folder
        - a. Exams
          1. AIG
            - a. 2006.Oct 10 Field Visit
              1. Final Documents
                - a. 000\_Administration 1.0 Scope Memo 10.10.2006
                - b. 1000\_Compliance 1.0 Remediation Review Summary
                - c. 1000\_Compliance 1.1 Remediation Review Presentation 12.05.06
                - d. 1000\_Compliance 2.0 SAR Review Summary
  2. 78AE7EAA-BC7A-470E-ACDB-432868E45391
  3. README
22. 14939\_20061017\_63
  - a. Report of Examination
    1. ROEM\_14939\_20061017\_63
  - b. Work Papers
    1. 1201P 017 10-05 Compliance Exam-Pricing Notes
    2. 1201P 018 AIG 2005 Denver DENIALS 2nd lien refi
    3. 1201P 019 AIG 2005 Denver DENIALS 1st lien refi
    4. 1201P 020 2005 HMDA Project Penalty Fee Table- Bobbi K
    5. 1201P 021 AIG 2005 Denver Decisioning Files used multiple times- color coded
    6. 1201P 022 AIG 2005 Denver DENIALS 1st lien refi
    7. 1201P 023 AIG 2005 Denver DENIALS 2nd lien refi
    8. 1201P 024 AIG 2005 Exam- predatory practices
    9. 1201P 025 AIG 2005 Pricing sample Chicago
    10. 1201P 026 AIG FSB #14939 profile
    11. 1201P 027 E-mail notes
    12. 1201P 028 Fair Lending Exam Sample included in remediation sample
    13. 1201P 029 HUD Row Home Settlements
    14. 1201P 030 Kennedy Pricing Determinant Penalty Table
    15. 1201P 031 Rate sheet and wholesale pricing discussion 4
    16. 1201P 032 2005 07 01 Near Prime Rate Sheets1

























































17.  1201P 033 2005 07 01 Score Plus Rate Sheets
18.  1201P 034 WFI - Loan Prog - Orig-Denied-SFD-1st and 2nd Liens-OO
19.  1201P 035 AIG 2005 - Orig-Den - Repr - Decision - Chicago - Detail
20.  1201P 036 AIG 2005 - Orig-Den - Repr - Decision - Denver - Race Detail
21.  1201P 037 AIG 2005 - Orig-Den - Repr - Decision - NY-White Plains MSA
22.  1201P 038 AIG 2005 - Orig-Den - Regression - Decision - Chicago MSA
23.  1201P 039 AIG 2005 - Orig-Den - Regression - Decision - Denver MSA
24.  1201P 040 AIG 2005 - Orig-Den - Regression - Decision - NY-White Plains- Detail
25.  1201P 041 AIG 2005 All Originations by race and Appraised Value
26.  1201P 042 AIG 2005 Decisioning Analysis By 3 MSAs
27.  1201P 043 AIG 2005 Denied Minorities by Branch number only
28.  1201P 044 AIG 2005 Denied Minorities by Branch-Officer-MSA
29.  1201P 045 AIG 2005 Denied Minorities by MSA and Branch Number
30.  1201P 046 AIG 2005 Denied Minorities by MSA
31.  1201P 047 AIG 2005 Denied Minorities by OfficerN and MSA
32.  1201P 048 AIG 2005 Denied Minorities by OfficerN
33.  1201P 049 AIG 2005 Home Impr-branch-loan prog
34.  1201P 050 AIG 2005 Loan Program Originated
35.  1201P 051 AIG 2005 Loan Programs Pivot
36.  1201P 052 AIG 2005 Orig Denied - Atlanta - Compare
37.  1201P 053 AIG 2005 Originated - Denied by MSA - all races
38.  1201P 054 AIG - Chicago MSA Comparative List
39.  1201P 055 AIG - NY-White Plains MSA Comparative List
40.  1201W 001 Original FL Letter to AIG
41.  1201W 002 PERK 1-12-06 MEQ Fairlending 3qtr 2005
42.  1201W 003 PERK 1-13-06 WF Fairlending Review May 05 through June 05 data
43.  1201W 004 PERK 4-5-06 AIG Federal Savings Bank FL Review 2005 Mortgage Data
44.  1201W 005 PERK 4-06 2005 HMDA Data Analysis
45.  1201W 006 PERK 5-30-06 Pricing Variance
46.  1201W 007 PERK 6-30-06 1Q 2006 HMDA
47.  1201W 008 PERK 7-7-06 Analysis of Broker Compensation REV on 75 Files Reviewed
48.  1201W 009 PERK 7-21-06 2005 Fair Lending Pricing Review and Broker Analysis  
REVISED
49.  1201W 010 PERK 9-1-06 AIG Bank Side-by-Side Phase II
50.  1201W 011 PERK 9-7-06 2005 Fair Lending Pricing Review Follow-Up
51.  1201W 012 PERK 10-16-06 AIG Bank REV January-May 2006 Pricing Analysis(2)
52.  1201W 013 PERK 10-19-05 CMI 2005 Fair Lending Review
53.  1201W 014 PERK 11-10-06 Chronology of Mortgage Data Fair Lending Reviews  
Conducted i
54.  1201W 015 PERK 11-16-06 fair lending phh everbank MEQ 2006
55.  1201W 016 Notes to PERK Response Review
56.  1215P 001 AIG HMDA validation sample
57.  1215P 002 FRB HMDA Sampling Standards
58.  1215P 003 HMDA Rate Spread Notes
59.  1215P 004 HMDA VALIDATION TECHNIQUES
60.  1215P 005 AIG loan sample Margaret Cullen 2
61.  156E3095-36AE-4D12-95BF-3A13B3FCF501
62.  README
23.  14939\_20061113\_16
  - a.  Report of Examination
    1.  ROEF\_14939\_20061113\_16
  - b.  Work Papers
    1.  dempseymw
      - a.  My Documents
        1.  ROE
          - a.  AIG
            1.  341P IT Risks and Controls Prg

























































- 2. PERK025 IT Update Form Completed - corrected
  - 2. gonzalezja
    - a. Desktop
      - 1. My Folder
        - a. AIG FSB
          - 1. 400\_Earnings 2.0 AIG FSB GEN INFO
          - 2. 400\_Earnings 3.0 Ratios Info
          - 3. 400\_Earnings 4.0 UTPR 3yr- 9.30.2006
          - 4. 400\_Earnings 5.0 Balance Sheet and Inc Statement 12 31 2006
          - 5. 400\_Earnings 5.1 Detail Misc Acct Recv 12 31 2006
          - 6. 400\_Earnings 6.0 Review of Other Assets
          - 7. 400\_Earnings 6.1 DE Equity Fund V Summary
          - 8. 400\_Earnings 6.2 Innovation Ventures Summary
          - 9. 400\_Earnings 6.3 Argosy Partners II Summary
          - 10. 400\_Earnings Program (risk-focused)
          - 11. 400\_Earnings 1.0 Draft Comment
3. grantrb
  - a. Desktop
    - 1. 110P\_Capltl Stk Prg
    - 2. 200RP\_ Asset Quality Prg (risk-focused)
    - 3. 300\_Management Minutes
    - 4. 600RP\_ Sensitivity to Mrkt Risk Prg (risk-focused)
    - 5. AIG loan review
    - 6. AIG scope memo
    - 7. Capital program
4. My Secure Folder
  - a. 300RP Management Prg (risk-focused)
  - b. Exit Meeting 02-01-07
5. obbpbn
  - a. Desktop
    - 1. 1400 AIG Invalid TIN Listing
    - 2. 1400 AIG OFAC Quarterly Review Report
    - 3. 1400 AIG OFAC RISK ASSESSMENTS 1
    - 4. 1400 AIG Private Lable SAR Review
    - 5. 1400 AIG Risk Matrix
    - 6. 1400 FinCEN-AIG SARs FILINGS
    - 7. 1400 Private Label Credit Card CTR Review
    - 8. 1400P AIG BANK BSA AML Core (minimum procedures) Prg - Assessing Compliance
    - 9. 1415 AIG BANK ECONOMIC SANCTIONS
    - 10. 500 AIG SB Savings Analysis
    - 11. 500 Brokerage Average Balances Liquidity Balances
    - 12. 500 Liquidity Forecast
    - 13. 500RP Liquidity Prg (risk-focused)
6. PERK 005 IT
  - a. Audit
    - 1. 1
      - a. AIGFSB 06\_29\_05
    - 2. 3
      - a. 2006 IT Audit Plan for FSB
    - 3. 4
      - a. FSB - IT Risk Assessment
  - b. Business Continuity
    - 1. 1
      - a. 1BCP from SAAMCo 2005
      - b. 2005 letter AIG Federal Savings Bank
      - c. Business Recovery Posture OSI

- d. CheckContingency[1]
- e. DR Attestation - 1931 - 040806
- f. Federal Reserve Account Services Business Continuity
- g. FedWire Business Continuity Information
- h. FedwireResilience[1]
- i. OSI - TCBS Test 2006
- j. Vendor Oversight SAAMCo 2005
- 2. 2
  - a. DR- BCP
- 3. 4
  - a. Business Continuity testing
  - b. CALL TREE Test 11-2005
  - c. Disaster Recovery April 2006 Operations
  - d. FSB BCP Scorecard v2b 2005
- c. General
  - 1. 1
    - a. Examination contact
  - 2. 2
    - a. Overall Architecture 2006
- d. Information Integrity
  - 1. 1
    - a. Information Security Policy
  - 2. 2
    - a. CMP 006 Privacy & Accuracy of Customer Information
    - b. Information Security Program AIG
  - 3. 3
    - a. Admin controls
    - b. Bisy Adminstrator
    - c. Procedures for Product Upgrades for OSI Software
    - d. System SecurityFinal
  - 4. 4
    - a. Back Office Profile
    - b. Branch Management Profile
    - c. Customer Service Representative Profile
    - d. Operations Management Profile
    - e. OSI Access
  - 5. 5
    - a. RTXN
  - 6. 6
    - a. Attestation - IntelliNet Penetration Analysis July 05
    - b. GLB-SP\_Pershing
    - c. Information Security Posture
- e. Internet Banking - Internet Activities
  - 1. 1
    - a. Internet Banking
  - 2. 2
    - a. Internet%20Security%20and%20Usage%20for%20End%20Users%20Stan
  - 3. 3
    - a. 2005 SAS 70 Report - Digital Insight
    - b. Digital Insight SAS 70 3rd QTR 2006
  - 4. 5
    - a. Compliance Reference Materials Final
- f. IT Management Oversight
  - 1. 2
    - a. ALICO Change\_Management\_Policy
  - 2. 3
    - a. SOX Testing
      - 1. Sox Testing 1

























































2.  Sox Testing 10
  3.  Sox Testing 11
  4.  Sox Testing 12
  5.  Sox Testing 13
  6.  Sox Testing 14
  7.  Sox Testing 15
  8.  Sox Testing 16
  9.  Sox Testing 17
  10.  Sox Testing 18
  11.  Sox Testing 19
  12.  Sox Testing 2
  13.  Sox Testing 20
  14.  Sox Testing 21
  15.  Sox Testing 22
  16.  Sox Testing 23
  17.  Sox Testing 24
  18.  Sox Testing 25
  19.  Sox Testing 26
  20.  Sox Testing 27
  21.  Sox Testing 28
  22.  Sox Testing 29
  23.  Sox Testing 3
  24.  Sox Testing 30
  25.  Sox Testing 31
  26.  Sox Testing 32
  27.  Sox Testing 33
  28.  Sox Testing 34
  29.  Sox Testing 35
  30.  Sox Testing 36
  31.  Sox Testing 37
  32.  Sox Testing 38
  33.  Sox Testing 39
  34.  Sox Testing 4
  35.  Sox Testing 40
  36.  Sox Testing 41
  37.  Sox Testing 42
  38.  Sox Testing 43
  39.  Sox Testing 44
  40.  Sox Testing 45
  41.  Sox Testing 46
  42.  Sox Testing 47
  43.  Sox Testing 48
  44.  Sox Testing 49
  45.  Sox Testing 5
  46.  Sox Testing 50
  47.  Sox Testing 51
  48.  Sox Testing 52
  49.  Sox Testing 53
  50.  Sox Testing 6
  51.  Sox Testing 7
  52.  Sox Testing 8
  53.  Sox Testing 9
- b.  BISYS SAS 70 Oct 2005
- c.  BISYS Site Visit June 2006
- d.  FedLine Advantage Conversion - July 2006

- e. Fidelity Visit (10-2005)
  - f. Pershing 2005 SAS 70 Review
  - g. Retail Information Security Assessment October 2005
  - h. RIC-E Vendor Oversight EBS 2006
  - i. THIRD PARTY SERVICE ARRANGEMENTS
  - j. THIRD PARTY SERVICE MATERIAL CHANGES
  - k. VALIC BFDS SAS 70 Review
  - l. VALIC DST SAS 70
  - m. VALIC SAS 70 Review
  - n. VALIC TB82 Review Report
  - o. Vendor Oversight - Fidelity
  - p. Vendor Oversight - Pershing Dec 2005
  - q. VRSCO 2005 Agreed Upon Procedure Review
  - r. VRSCO 2005 Agreed Upon Procedure Summary Report
- g. Vendor Management
- 1. 1
    - a. Primary IT Service Providers
  - 2. 2
    - a. TB 82 9\_15\_06 cmm
  - 3. 3
    - a. Reports
      - 1. AIGGIC SAS70 response
    - b. Risk Assessments
      - 1. AIG- AIGGAMHC Risk Assessment Matrix 9.12.06
      - 2. AIG- Dovenmuehle Risk Assessment Matrix 9.12.06
      - 3. AIG- U of C Risk Assessment Matrix 9.12.06
      - 4. AIG-ALICO Risk Assessment Matrix 9.12.06
      - 5. AIG-CHL Risk Assessment Matrix 9.12.06
      - 6. AIG-EFC Risk Assessment Matrix 9.12.06
      - 7. AIG-Everbank Risk Assessment Matrix 9.12.06
      - 8. AIG-Gilpin Risk Assessment Matrix 9.12.06
      - 9. AIG-OSI Risk Assessment Matrix 9.12.06
      - 10. AIG-Pershing Risk Assessment Matrix 9.12.06
      - 11. AIG-SAAMCo Risk Assessment Matrix 9.12.06
      - 12. AIG-SBI Risk Assessment Matrix 9.12.06
      - 13. AIG-VALIC Risk Assessment Matrix 9.12.06
      - 14. AIG-Webster Risk Assessment Matrix 9.12.06
    - c. SAS 70s
      - 1. 2005 Fidelity SAS 70 Report for MSP
      - 2. 2005 Pershing LLC SAS 70 Final
      - 3. 2005 VALIC SAS 70 FINAL REPORT
      - 4. AIGGIG 2005 SAS 70
      - 5. DST SAS 70 9.30.05 - Final
      - 6. Spring 2006 TCBS Report 061506
  - 4. 4
    - a. OSI Info Security Posture
  - 5. 5
    - a. ADVISOR AGREEMENT
    - b. LOAN CORRES EVERBANK
    - c. SUBSERVICING
7. sheltonsh
- a. Desktop
    - 1. Agreement with WFI
    - 2. IRR 09-30-06 Reconciliation
- 8. 1000 Fair Lending & Compliance Analysis AIG
  - 9. 1000P W-Findings
  - 10. 1100P COEP Prg

11.  1205P Equal Credit Oppor Prg
12.  1210P Fair Housing Program
13.  1215P Home Mtg Disclosure Prg
14.  1300P CAN\_SPAM TCPA
15.  1315P OTS Mortgage Regulations Prg
16.  1320 W Escrow Analysis Computation
17.  1320 W RESPA Transactional Testing
18.  1320P RESPA Prg
19.  1323P Homeowners Protect Prg
20.  1325 P Consumer Leasing Prg
21.  1336P Check 21 Prg
22.  1340 W Flood Transactional Testing
23.  1340P Flood Disaster Protect Prg
24.  1350 P Fair Debt Collections Act Prg
25.  1355 P Unfair or Deceptive Prg
26.  1360P Homeownership Counseling Prg
27.  1370P Electronic Banking
28.  1380P Insurance Consumer Protect Prg
29.  1405P Bank Protection Act
30.  1410P\_SS Equal Employment
31.  1420P Interest on Deposits Prg
32.  1430P Branch Closings Prg
33.  300\_Management 1.0 OTS SAR Review Summary
34.  300\_Management 1.1 OTS Reconciliation of SAR Review
35.  300\_Management 1.2 Bank Summary of SAR Review
36.  300\_Management 1.3 SAR Policy and Procedures (PBA)
37.  300\_Management 1.4 SAR Access Database - review by ICS (PBA)
38.  300\_Management 1.5 Repurchase Loan Activity
39.  300\_Management 1.6 SAR Review from OTS Field Visit
40.  300\_Management 1.7 Expanded Review Memo from OTS Findings (PBA)
41.  F548BE9E-0150-488A-A385-384E999517A9
42.  Internal Control Questionnaire
43.  Management Questionnaire
44.  README
24.  14939\_20061211\_70
  - a.  Report of Examination
    1.  ROEF\_14939\_20061211\_70
  - b.  Work Papers
    1.  210P\_TR Management Prg
    2.  210P\_TR Schedule of directors
    3.  310P\_TR Oper & Intl Controls Prg
    4.  310P\_TR Operations Item 8 Overdrafts
    5.  310P\_TR RIC-E Trust Committee Report 10-2006
    6.  310P\_TR VALIC SAS 70 Review
    7.  410P\_TR 2006 Audit Plan Summary - Nov 10
    8.  410P\_TR Audit PLersonnel
    9.  410P\_TR Audit Prg
    10.  410P\_TR Engagement Memo Bank
    11.  410P\_TR Trust IAD Plan Memo 1
    12.  610P\_TR Earnings Prg
    13.  610P\_TR Income Statements
    14.  730O\_TR 9 OPERATIONAL RISK REVIEW INDEX
    15.  730P\_TR
    16.  730P\_TR 1 KJanos Resume
    17.  730P\_TR 1 Management qualifications
    18.  730P\_TR 10 VALIC & Bank Overview


























































19.  730P\_TR 11 Trustee v Custodial Assets 10-31-2006
20.  730P\_TR 12 QA Schedule 2007 Trust
21.  730P\_TR 2 RSVP Trust Committee New Business Summary Report Sept 2006
22.  730P\_TR 2 RSVP Trustee v Custodial Assets 9-30-2006
23.  730P\_TR 3 Account Acceptance Policy
24.  730P\_TR 4 Employee Benefit Account Oversight
25.  730P\_TR 5 Oversight of VRSO
26.  730P\_TR 5 third party oversight
27.  730P\_TR 6 File Review
28.  730P\_TR 7 Meeting with management
29.  730P\_TR 8 Monthly report
30.  820P\_TR Asset Management 10 Accts with Loans
31.  820P\_TR List of Discretionary Investments
32.  820P\_TR Market Sec Prg
33.  820P\_TR Ric-E 9-30-06
34.  820P\_TR RIC-E Trust Investment Policy FINAL
35.  820P\_TR RSVP Trustee v Custodial Assets 9-30-2006
36.  AIG FSB Review Testing Leadsheet
37.  CF62047F-C66A-48E0-AF01-5E26686B8E8D
38.  README
25.  14939\_20070416\_43
  - a.  Report of Examination
    1.  ROEM\_14939\_20070416\_43
26.  14939\_20071001\_63
  - a.  Report of Examination
    1.  ROEM\_14939\_20071001\_63
  - b.  Work Papers
    1.  Documents and Settings
      - a.  chicorikasni
        1.  Desktop
          - a.  1201P AIG 2005 Denver 1st lien
          - b.  1201P AIG 2005 Denver 2nd lien
          - c.  AIG Exhibits to OTS
          - d.  AIG response to OTS
          - e.  Bank comments on Denver files
          - f.  CRA International's methodgy exhibits
          - g.  CRA International's methodology
          - h.  Denver Study Counteroffers made not accepted
          - i.  Review of 18 brokers supplying targets
          - j.  Summary review of 25 Targets
    2.  PocketDrive
    3.  B6BFA44B-D95C-4B16-8D0C-C2A709B86AEF
    4.  Phase III Target 1
    5.  Phase III Target 10
    6.  Phase III Target 11
    7.  Phase III Target 12
    8.  Phase III Target 13
    9.  Phase III Target 14
    10.  Phase III Target 15
    11.  Phase III Target 16
    12.  Phase III Target 17
    13.  Phase III Target 18
    14.  Phase III Target 19
    15.  Phase III Target 2
    16.  Phase III Target 20
    17.  Phase III Target 21






























































18.  Phase III Target 22
19.  Phase III Target 23
20.  Phase III Target 24
21.  Phase III Target 25
22.  Phase III Target 26
23.  Phase III Target 27
24.  Phase III Target 28
25.  Phase III Target 29
26.  Phase III Target 3
27.  Phase III Target 30
28.  Phase III Target 31
29.  Phase III Target 32
30.  Phase III Target 33
31.  Phase III Target 34
32.  Phase III Target 35
33.  Phase III Target 36
34.  Phase III Target 37
35.  Phase III Target 38
36.  Phase III Target 39
37.  Phase III Target 4
38.  Phase III Target 40
39.  Phase III Target 5
40.  Phase III Target 6
41.  Phase III Target 7
42.  Phase III Target 8
43.  Phase III Target 9
44.  README
45.  Target file16
46.  target file 1
47.  target file 10
48.  Target file 12
49.  target file 13
50.  target file 14
51.  target file 15
52.  target file 17
53.  target file 18
54.  target file 19
55.  target file 2
56.  target file 20
57.  target file 21
58.  target file 22
59.  target file 23
60.  target file 24
61.  target file 25
62.  target file 3
63.  target file 4
64.  target file 5
65.  target file 6
66.  target file 7
67.  target file 8
68.  Target file 9
69.  target file11
27.  14939\_20080128\_86
  - a.  Report of Examination
    1.  ROEF\_14939\_20080128\_86
  - b.  Work Papers

1. Documents and Settings
  - a. donahuetj
    1. My Documents
      - a. \$
        1. AIG FSB\_PERK025\_IT\_Update\_Form
    - b. montesre
      1. My Documents
        - a. aig
          1. wp
            - a. s&d
              1. infosec
                - a. 300\_IT D&A\_FFiec workprogram
                - b. 300\_IT no sql bpa
  2. 000\_IT Roe\_Index
  3. 100\_IT AUD\_FFiec workprogram
  4. 100\_IT aud\_include audit report 3-28-2007
  5. 100\_IT aud\_include audit with Business Continuity 1-18-2007
  6. 100\_IT aud\_include discussion document audit
  7. 100\_IT aud\_include IT committee with audit representation
  8. 100\_IT no charter
  9. 100\_IT schedule
  10. 100\_IT staff
  11. 200\_IT BCP\_FFiec workprogram
  12. 200\_IT bcp\_include backup software
  13. 200\_IT bcp\_include tape encryption
  14. 200\_IT no enterprise
  15. 200\_IT no network
  16. 500\_IT edge
  17. 500\_IT glba policy data classification
  18. 500\_IT glba policy privacy
  19. 500\_IT glba risk
  20. 500\_IT GLBA\_FFiec workprogram
  21. 500\_IT glba\_include database listing 1
  22. 500\_IT glba\_include database listing 2
  23. 500\_IT glba\_include discussion document
  24. 500\_IT glba\_include pershing glba audit
  25. 500\_IT InfoSec\_FFiec workprogram
  26. 500\_IT MBSA
  27. 500\_IT no ads
  28. 500\_IT services
  29. 700\_IT mainframe
  30. 700\_IT Ops\_FFiec workprogram
  31. 700\_IT ops\_include IBM Mainframe
  32. 700\_IT ops\_include updated RACF
  33. FD71E308-8170-463F-8C25-C24C84615B2F
  34. README
28. 14939\_20080219\_16
  - a. Report of Examination
    1. ROEF\_14939\_20080219\_16
  - b. Work Papers
    1. Documents and Settings
      - a. neidichsa
        1. Desktop
          - a. Current Exam
            1. AIG
              - a. Earnings
                1. 400WP 06 GL Account 12.31.07
              - b. Liquidity

1. 500WP 08 Investment Securities Performance Monitoring
2. 500WP 09 Investment Securities Review
- c. Sensitivity
  1. 600WP 03a NPV Reconciliation rev 123107
  2. 600WP 03b NPV Reconciliation 093007
- b. obbopn
  1. Desktop
    - a. 100 AIG BANK CAPITAL CALCULATION WORK SHEET
    - b. 100-1 INDEMINIFICATION AGREEMENT
    - c. 100-2 MORTGAGE LOAN SERVICES AGREEMENR
    - d. 100RP Capital Prg (risk-focused)
    - e. 1400P BSA AML Core (minimum procedures) Prg - Assessing Compliance
    - f. 300 AIG BOARD MINUTES
    - g. 300 COMPLIANCE COMMITTEE REMDIATION MINUTES
    - h. 300 MANAGEMENT QUESTIONNAIRE
    - i. 300RP Management Prg (risk-focused)
    - j. 400RP Earnings Prg (risk-focused)
    - k. 500RP Liquidity Prg (risk-focused)
    - l. 600RP Sensitivity to Mrkt Risk Prg (risk-focused)
    - m. AIG BANK AGENDA FOR EXIT MEETING
  2. MY DOCS 2008
    - a. 00 08 16-Melded AIG
      1. 1000 SS Compliance AIG 2008 UPLOAD
        - a. PERK007 2-15 Fair Lending Ques 2-19 FINAL
  3. My Secure Folder
    - a. Institutions
      1. AIG
        - a. BSA Programs
          1. 1400P 01 SAR ComplianceAudit 2007
          2. 1400P 02 PLCC Fraud Audit
          3. 1400P 03 Risk Matrix
          4. 1400P 04 OPP 001 BSA 2-15
          5. 1400P 05 2006 B o D SAR Update 4th Qtr 2006)1
          6. 1400P 06 2007 B o D SAR4q Update
        - b. SCOPE MEMO 20080222 AIG
4. ODC424DC-13EB-4642-906B-309F15650EC4
5. 1000 WP01 Compliance Risk Profile
6. 1000P Compliance Exceptions
7. 1000P Homogeneous\_Loan\_Review\_Spreadsheet
8. 1200P Nondiscrimination
9. 1201 01 Fair Lending Loan File Review
10. 1201P Fair Lending Program
11. 1205 01 ECOA Loan File Review
12. 1205P Equal Credit Oppor Prg
13. 1210P Fair Housing
14. 1215P Home Mtg Disclosure Prg
15. 1300P FCRA
16. 1305P TIL2 Program cover memo
17. 1320P RESPA Prg
18. 1340P Flood Disaster Protect Prg
19. 1350P Fair Debt Collection Prg
20. 1355P Unfair or Deceptive Prg
21. 1410P EEO Prg
22. 200RP 12 31 2007 ALLL analysis
23. 200RP 12 31 2007 classifiedassets

24.  200RP 12 31 2007 Delinquencies
25.  200RP 12 31 2007 HLTV
26.  200RP 12 31 2007 reo parcels
27.  200RP Asset Quality Prg (risk-focused)
28.  200RP auditcommitteereprt
29.  200RP Due Diligence Credit Policy
30.  200RP Due Diligence SEL00191CreditShippingList112106
31.  200RP Due Diligence Wachovia 03 Quality Summary
32.  200RP Due Diligence Wachovia 092006 Due Diligence Final Fully Merged
33.  200RP Due Diligence Wachovia 092006 Due Diligence Final JW
34.  200RP Due Diligence Wachovia 092006 Exception Loans
35.  200RP Due Diligence Wachovia 10-23 DUE DILIGENCE SUMMARYBob Whitby
36.  200RP Homogeneous Loan Review HELOC Spreadsheet
37.  200RP Memorandums Wachoviaport
38.  200RP OTS Talking Points - Asset Quality ao 22908
39.  200RP REO parcels
40.  200RP2-Delinquency-February 2008 (2)
41.  200RPHomogeneous Loan Review (Originated)
42.  200RPHomogeneous SF CRA Loan Review
43.  400WP 01 AIG Key Operating Ratios
44.  400WP 02 Rate-Volume Analysis
45.  400WP 03 AIG Bank Financial Highlights 2 29 08 - FINAL (3)
46.  400WP 04 BOD 2.29.08 BS and P&L
47.  400WP 05 UTPR3YrDec2007
48.  500WP 01 AIG Deposit Borrowing Changes
49.  500WP 02 Sweep Deposits Average Balances 123107
50.  500WP 03 Projected cash flow
51.  500WP 04 Brokered Deposits as of 12-31-07
52.  500WP 05 December 2007 Short-Term Liquidity Forecast
53.  500WP 06 Portfolio Analysis OTS 3\_12
54.  500WP 07 Holdings report
55.  600WP 01 CMR Changes AIG
56.  600WP 02 Internal December NPV
57.  600WP 04 Earnings at Risk 11.30.2007
58.  600WP 05 Structured&Complex Sec Valuation
59.  600WP 06 Int Rate Risk Policy\_8.06
60.  README
29.  14939\_20080225\_64
  - a.  Report of Examination
    1.  CRAE\_14939\_20080225\_64
30.  14939\_20080310\_70
  - a.  Report of Examination
    1.  ROEF\_14939\_20080310\_70
  - b.  Work Papers
    1.  AIG FSB
      - a.  2008 Examination
        1.  Exhibits
          - a.  200P\_TR AIG org chart company 050907 TOM
        2.  Programs
          - a.  210P\_TR Management Prg
          - b.  610P\_TR Earnings Prg
          - c.  810P\_TR Portfolio Mgmt Prg
  2.  1375P 00 Privacy March 2008 Statement Message Custodian Code N
  3.  1375P Privacy of Consumer Finan Info Prg
  4.  1400P 00 Risk Matrix
  5.  1400P 01 FSB Audit Plan 08
  6.  1400P 02 SAAMCo 2007 New Account Review






































7.  1400P 03 New Account Process Report Custodial IRAs
8.  1400P 04 Redline OPP 001 BSA redline version Revised 2-11 (2)
9.  1400P 05 Redline SAR Policy and Procedures Final Redline version 2-11 ar
10.  1400P BSA AML Core (minimum procedures) Prg - Assessing Compliance
11.  310P\_TR Oper Intl Controls Prg
12.  410P\_TR Audit Prg
13.  610P\_TR 2006 & 2007 Trust and Custodial Financial Overview
14.  610P\_TR Current Business Plan
15.  610P\_TR LIST OF TRUST RELATED SERVICE PROVIDERS
16.  610P\_TR MANAGEMENT COMMITTEES
17.  610P\_TR PERK 2008 Schedule of directors officers
18.  610P\_TR QA AIG Bank Quality Assurance Program -Trust
19.  610P\_TR Risk Matrix
20.  610P\_TR Trust Audit Committee Charter
21.  610P\_TR Trust Committee Charter
22.  610P\_TR Updated Board Committees 021408
23.  6EFBDBD5-4939-46C6-A0BE-43355B5095B1
24.  720P\_TR 12.31.07 Master List Account Type
25.  720P\_TR Compliance 1
26.  810P\_TR RIC E Trusts 12-31-07
27.  810P\_TR RIC-E Trust Investment Policy FINAL
28.  810P\_TR RIC-E Watch Lists
29.  README
31.  14939\_20080414\_46
  - a.  Report of Examination
    1.  ROEM\_14939\_20080414\_46
32.  14939\_20090420\_16
  - a.  Report of Examination
    1.  ROEF\_14939\_20090420\_16
  - b.  Workpapers
    1.  AA8C7320-36D8-442C-8EED-F85B910F697B
33.  14939\_20090420\_70
  - a.  Report of Examination
    1.  ROEF\_14939\_20090420\_70
  - b.  Workpapers
    1.  7E6D976B-49BD-4749-A66A-A2AE170018CB
34.  14939\_20090630\_46
  - a.  Report of Examination
    1.  ROEM\_14939\_20090630\_46
35.  14939\_20091102\_64
  - a.  Report of Examination
    1.  CRAE\_14939\_20091102\_64
  - b.  Workpapers
    1.  D791292E-A471-4746-8323-5825C958828E
36.  H2984\_20010418\_A
  - a.  Report of Examination
    1.  ROEF\_H2984\_20010418\_A
37.  H2984\_20020701\_A
  - a.  Report of Examination
    1.  ROEF\_H2984\_20020701\_A
38.  H2984\_20030818\_90
  - a.  Report of Examination
    1.  ROEF\_H2984\_20030818\_90
39.  H2984\_20041012\_93
  - a.  Report of Examination
    1.  ROEM\_H2984\_20041012\_93
40.  H2984\_20041115\_93
  - a.  Report of Examination
    1.  ROEM\_H2984\_20041115\_93































- 41. H2984\_20050124\_93
    - a. Report of Examination
      - 1. ROEM\_H2984\_20050124\_93
  - 42. H2984\_20050531\_90
    - a. Report of Examination
      - 1. ROEF\_H2984\_20050531\_90
  - 43. H2984\_20060130\_93
    - a. Report of Examination
      - 1. ROEM\_H2984\_20060130\_93
  - 44. H2984\_20060306\_93
    - a. Report of Examination
      - 1. ROEM\_H2984\_20060306\_93
  - 45. H2984\_20060605\_90
    - a. Report of Examination
      - 1. ROEF\_H2984\_20060605\_90
  - 46. H2984\_20060612\_87
    - a. Report of Examination
      - 1. ROEM\_H2984\_20060612\_87
    - b. Work Papers
      - 1. katzai
        - a. Desktop
          - 1. 2006 HC Asset Mgmt PWC Related Audit Reports
            - a. Advantage1
      - 2. My Current Examination
        - a. AIG
          - 1. 000\_IT 2004 IAD Audit Reports
          - 2. 000\_IT 2005 IAD Audit Reports
          - 3. 000\_IT 2006 IAD Audit Reports
          - 4. 000\_IT 2006-116 AIG Financial Advisors General IT Controls Report
          - 5. 000\_IT Meeting Notes
        - 3. 000\_IT 2006 IT Audit Plan
        - 4. 000\_IT AIG Acronyms
        - 5. 000\_IT AIG Security Program Summary 062206
        - 6. 000\_IT Global Infrastructure - Status and Strategy
        - 7. 000\_IT Guided Portfolio Report
        - 8. 000\_IT Information%20Systems%20Management%20OCIO%20IT%20Policies%20-%20December%2C
        - 9. 000\_IT MJV AIG Emails and Attachments
        - 10. 000\_IT MJV AIG Hardcopy Handouts OCR'd
        - 11. 000\_IT Personal Lines Claims - Operational Disbursement Report
        - 12. 000\_IT Pre-Implementation Reviews
        - 13. 000\_IT Risk Assessment Shared Facilities
        - 14. 9930836A-E73D-4B6E-A3E1-96451BFA1EF1
        - 15. README
47. H2984\_20070205\_93
  - a. Report of Examination
    - 1. ROEF\_H2984\_20070205\_93
  - b. Work Papers
    - 1. My Secure Folder
      - a. CFG Target
        - 1. Uploaded CFG WPS
          - a. CAP\_17 CFG Funding
          - b. CFG\_SCOPE MEMO FINAL
        - 2. 720P\_HC CORE Prg CFG
        - 3. CAP\_17\_CFG Capital WP
        - 4. CCG\_Compliance Comment Edits\_MAR
        - 5. CFG BOD
        - 6. CFG Owned or Managed Companies
        - 7. CFG\_13\_CCG Interaction

8. CFG\_23\_India Acquisition Strategy Oct 20
  9. CFG\_OTM\_MKT CONDIT Latin America Banking Market Summary\_032007
  10. CFG\_OTM\_MKT CONDIT Poland Discussion Macro Econ
  11. COM\_26\_Sample 2007 CFG Company Level Compliance Risk Assessment
  12. CR\_1\_CFG Meeting Recap Concentrations
  13. CR\_1\_Credit Process Overview
  14. CR\_1\_Credit Risk Process\_MemoCHS Feb1
  15. CR\_11\_HC Earnings Prg
  16. ERM\_25\_ERM and Op Risk @ CFG
  17. IAD\_20\_2007 CFG Audit Plan
  18. IAD\_20\_CFG 2007 Strategy Document
  19. MGT\_12\_OTM-AIG Strat Plan Discussion 030507
  20. MGT\_OTM\_Q4 Snr Mgt Mtg Agenda 3-21-07\_CFG
  21. MGT\_OTM\_Q4 Snr Mgt Mtg Agenda 3-21-07\_CFG Meeting Notes
  22. Regulator QuestionnaireFINAL
  23. RM\_25\_ERM and ORM Meeting Notes
  24. RM\_ORM\_25\_Regulatory Requirements on Ops Risk 3 - CFG
2. richardssa
    - a. Desktop
      1. AIG
        - a. AIG-IAD
          1. Hong Kong
            - a. RM\_355 HK4\_CC Audit Strategy
            - b. RM\_355 HK7\_Finance Audit Strategy
          2. Taiwan
            - a. RM\_355 TW10\_CC Risk Assessment
          3. RM\_355 1\_Report Comment
          4. RM\_355 3A\_Functionally Regulated Review
          5. RM\_355 3B\_RRCI Manual
          6. RM\_355 3C\_Philam SB
          7. RM\_355 3D\_List of BSP FINDINGS-04.30.06 (OTS)
          8. RM\_355P\_Internal Audit Prg
3. russoma
  - a. Desktop
    1. Temp CFG WPs
      - a. CAP\_17\_CFG Capital Dialogue and Background 2006
      - b. PERK\_CFG\_DOCUMENTS LIST
    2. 1000P\_OTM\_COEP Prg
    3. C\_OTM\_CFG Compliance Corresp
4. 937548C3-4EA9-4568-8B82-E82ECA0EA5C0
  5. C\_1\_Targeted Review Summary
  6. C\_10\_SAR Reporting CLEAN- NY
  7. C\_11\_SAR report - Dec 06 (2)HK
  8. C\_12\_Choi Pat Tai (PWdec2006)
  9. C\_13\_Wong Yuk Yan (PW)dec2006
  10. C\_2\_Miscellaneous CFG info
  11. C\_3\_CFG Compliance Manual Review
  12. C\_4\_Meeting w\_ Chris Seymour 13-Feb-2007
  13. C\_5\_CCO APPROVEDCompliance Waiver Form\_8\_4\_2
  14. C\_6\_Cert.2006-THC-Ops
  15. C\_7\_ARG\_Waivers
  16. C\_8\_Compliance Waiver Form - 2 2- PEPsCOMMENTS
  17. C\_9\_REJECTED AIGB 2.8 COMMENTS
  18. CCG\_25\_CFG Corporate Interaction Trea Comptr
  19. CCG\_25\_OTM - ERM ORM Compliance IAD (Final)
  20. CCG\_VirginiaHobbsFinal.memo
  21. CFG draft comment long version\_03272007





































22.  CFG draft comment long version\_03282007
23.  CFG draft comment short version\_03282007
24.  COM\_19\_CFG Bus Practices Committee Mtg Minutes 042706 Draft v2 050206
25.  COM\_19\_CFG Bus Practices Committee Mtg Minutes 042706 FINAL
26.  COM\_19\_CFG Bus Practices Committee Mtg Minutes 083106
27.  COM\_19\_CFG Bus Practices Committee Mtg Minutes 101906
28.  COM\_19\_CFG Bus Practices Committee Mtg Minutes 111606
29.  COM\_25\_EXHIBIT 1 - Compliance Risk Self Assessment Template (2007)
30.  COM\_26\_CFG Management Response to Elevating Compliance Findings
31.  COM\_26\_Compliance CCG Interrelation With Local
32.  COM\_27\_COM Plan
33.  COM\_27\_Sample of Local Compl Risk Assessment 2007
34.  COM\_8\_CFG BP Committee Charter FINAL
35.  COM\_8\_CFG Monthly Training Rpt-Jan07
36.  COM\_8\_CFG Monthly-Jan07
37.  COM\_8\_CFGMonthly-Dec06
38.  COM\_8\_Legal Compliance Training Projects Rpt 060305
39.  COM\_8\_Request for samples of STRs
40.  COM\_OTs\_Compliance Article From KOB
41.  COM\_OTs\_Draft Comment Incorporating CFG MGT Addit Insight
42.  COM\_OTs\_Draft Comment Mgt Insight2
43.  COM\_OTs\_MGT Compliance Comment Feedback
44.  CR\_1\_poland wkly sales rpt 1206
45.  CR\_10A\_Q&A.21406 meeting
46.  CR\_10B\_2nd Question List
47.  CR\_10C\_Q&A Meeting #2
48.  CR\_10D\_Responses from Meeting #2
49.  CR\_12A\_Taiwan.Econ.Risk
50.  CR\_12B\_Poland Econ.Risk
51.  CR\_12C\_HK Econ.Risk
52.  CR\_12D\_TH.Econ.Risk
53.  CR\_13A\_Taiwan.CC.Chargeoffs.2006
54.  CR\_13B\_TAI.CC crisis paper
55.  CR\_1B\_Draft Ops Com.Xref
56.  CR\_2\_OTs CFG Corresp
57.  CR\_2A1\_FSs.123106
58.  CR\_2A2\_FSs.13106
59.  CR\_2B\_2005. FSs
60.  CR\_3A\_Strategic.Plan.Asia
61.  CR\_3B\_Strategic.Plan.Pol
62.  CR\_4A1\_CFG 2007 Budget
63.  CR\_4A2\_CFG 2006 Budget
64.  CR\_6A\_TWC.FSdetail
65.  CR\_6B\_TWF.FSdetail
66.  CR\_6C\_Pol.FSdetail
67.  CR\_6D\_HKC.FSdetail
68.  CR\_6E\_HKF.FSdetail
69.  CR\_6F\_THC.FSdetail
70.  CR\_6G\_THF.FSdetail
71.  CR\_7A1\_TWC.Crdt.Prog
72.  CR\_7A1\_TWF Crdt.Progs
73.  CR\_7B1\_Pol.CC.CPP
74.  CR\_7B2\_Pol.unsecuredA.CPP
75.  CR\_7B3\_Pol.unsecuredB.CPP
76.  CR\_7B4\_Pol.Retail.sales.fin.CPP



























































77.  CR\_7C1\_HK.CC.CCP
78.  CR\_7C2\_HK.PIL.CPP
79.  CR\_7C3\_HK.Auto.CPP
80.  CR\_7D1\_THC.CC.CPP
81.  CR\_7D2a.Thc.Used Car CPP
82.  CR\_7D2b.Thc.used car App
83.  CR\_7D3a\_THF.NewCar.CPP
84.  CR\_7D3b\_THF.NewCar.App
85.  CR\_8A\_CC Concentrations
86.  CR\_8B\_Russo Conc. Correspondence
87.  CR\_9\_AIG FSs
88.  CR\_OTs\_Retail Lending Specialist Subprime Discussion CFG
89.  ERM\_25\_ORM Local Requirements for CFG Owned Regulated Companies
90.  IAD\_21\_Mgt Response\_AC Process
91.  IAD\_Draft Comment\_SRichards
92.  MGT\_12\_CFG Strategic Plan Dialogue w Mgt
93.  MGT\_21\_BOD Information Flow
94.  MGT\_21\_HKC - AC Meeting Minutes - 12082006
95.  MGT\_21\_HKF AC 120806 (final)
96.  MGT\_21\_OTs - Linkage of local BOD, AC and AIG BOD and AC (2)
97.  MGT\_21\_Poland AC meeting\_minutes\_2006\_11\_8\_eng
98.  MGT\_21\_THC Q2 2006 - June 15,2006
99.  MGT\_21\_THF Q3 2006 August 22,2006
100.  MGT\_21\_TWC AC Meeting Minutes 20060901
101.  MGT\_21\_TWF AC Meeting Minutes 090106
102.  MGT\_OTs\_Communication of Exam Findings to CCG MGT
103.  MGT\_OTs\_Correspondence with Liaison Re CFG exam conclusion
104.  README
105.  RM\_355 2\_Functional Regulator Reports
106.  RM\_355 HK1\_Hong Kong Conclusion
107.  RM\_355 HK10\_IA Data Security
108.  RM\_355 HK11\_IA Financial Accounting
109.  RM\_355 HK2\_CC IA Comm Minutes
110.  RM\_355 HK3\_CC Risk Assessment
111.  RM\_355 HK5\_Finance Audit Committee Minutes
112.  RM\_355 HK6\_Finance Risk Assessment
113.  RM\_355 HK8\_IA Card Operations
114.  RM\_355 HK9\_IA AML
115.  RM\_355 POL1\_Poland Conclusion
116.  RM\_355 POL2\_IA Comm Minutes
117.  RM\_355 POL3\_Risk Assessment
118.  RM\_355 POL4\_Audit Strategy
119.  RM\_355 POL5\_IA Reviews
120.  RM\_355 THL1\_Thailand Conclusion
121.  RM\_355 THL2\_FIN Comm Minutes
122.  RM\_355 THL3\_FIN Risk Assessment Writeup
123.  RM\_355 THL4\_FIN&CC Risk Assessments
124.  RM\_355 THL5\_FIN Audit Strategy
125.  RM\_355 THL6\_CC Comm Minutes
126.  RM\_355 THL7\_CC Audit Strategy
127.  RM\_355 TW1\_Taiwan Conclusion
128.  RM\_355 TW2\_CC IA Comm Minutes
129.  RM\_355 TW3\_CC Audit Strategy
130.  RM\_355 TW4\_Finance IA Comm Minutes
131.  RM\_355 TW5\_Finance Risk Assessment
























































132.  RM\_355 TW6\_Finance Audit Strategy
133.  RM\_355 TW7\_IA Information Sec
134.  RM\_355 TW8\_IA IT
135.  RM\_355 TW9\_IA Card Production
48.  H2984\_20070220\_93
  - a.  Report of Examination
    1.  ROEF\_H2984\_20070220\_93
  - b.  Work Papers
    1.  00PERK\_09a\_Response from ILFC - prepared by JTC
    2.  692F0120-3A09-4A0B-A993-46DA21BCEBCB
    3.  ILFC\_AD\_01\_Target ROE\_Final\_4-12-07-JTC
    4.  ILFC\_AD\_01a\_Target ROE\_Draft\_3-28-07-JTC
    5.  ILFC\_AD\_01b\_Target ROE\_DraftII\_4-3-07-BW
    6.  ILFC\_AD\_01c\_Target ROE\_DraftIII\_4-3-07-JTC
    7.  ILFC\_AD\_01d\_Target ROE\_DraftIIInotes\_4-3-07-JTC
    8.  ILFC\_AD\_01e\_Target ROE\_DraftIV\_4-3-07-JTC
    9.  ILFC\_AD\_01f\_Target ROE\_DraftIVnotes\_4-3-07-JTC
    10.  ILFC\_Ad\_02\_OTS-ILFC MtgAgendaDetail\_3-13-07\_JTC&JD
    11.  ILFC\_Ad\_03\_OTS-ILFC MtgRecap\_3-13-07\_MW
    12.  ILFC\_ad\_04\_OTS-AIGSeniorMangt\_Update\_3-21-07-JTC
    13.  ILFC\_ad\_05\_OTS-AIGSeniorMangt\_Update\_4-16-07-JTC
    14.  ILFC\_Ad\_06\_OTS Recommendations\_Final\_JTC
    15.  ILFC\_Ad\_06a\_OTS Recommendations\_Draft\_3-13-07-JTC&JD
    16.  ILFC\_Ad\_06b\_OTS Recommendation\_ILFCMngtResp 3-13-07\_IPD
    17.  ILFC\_Ad\_06c\_OTS Recommendations\_ILFCMantResp2\_JTC
    18.  ILFC\_Ad\_08\_Scope Memo-TargetExam-12-19-2006-JTC
    19.  ILFC\_Ad\_08a\_Scope Memo-TargetExam\_initial-JTC
    20.  ILFC\_Ad\_09\_PERK Request-12-20-2006-JTC
    21.  ILFC\_Ad\_10\_Conclusion Memo 2-20-07 Target JDD
    22.  ILFC\_Ad\_11\_WSJ article on Foreign airline markets
    23.  ILFC\_IAD\_01\_2007 ILFC Risk Assessment Conclusions
    24.  ILFC\_IAD\_02\_2007 ILFC Risk Assessment Tool
    25.  ILFC\_IAD\_03\_2007 ILFC Strategy Document
    26.  ILFC\_IAD\_04\_CAPS - ILFC Closed 022007
    27.  ILFC\_IAD\_05\_CAPS - ILFC Open 022007
    28.  ILFC\_IAD\_06\_CAPS Summary ILFC 022007
    29.  ILFC\_IAD\_07a\_06-119 Reserves\_IPD
    30.  ILFC\_IAD\_07b\_06-158 Purchase Price Buildup
    31.  ILFC\_IAD\_07c\_06-159 Q1 2006 SI Reporting
    32.  ILFC\_IAD\_07d\_06-160 Q1 2006 Complex Structured Finance Transactions
    33.  ILFC\_IAD\_07e\_06-381 Q2 2006 SI Reporting
    34.  ILFC\_IAD\_07f\_06-382 Cash
    35.  ILFC\_IAD\_07g\_06-383 Q2 2006 Complex Structured Finance Transactions
    36.  ILFC\_IAD\_07h\_06-390 Aircraft Insurance Coverage
    37.  ILFC\_IAD\_07i\_07-043 Q3 2006 SI Reporting
    38.  ILFC\_IAD\_07k\_07-045 Lease Revenue
    39.  ILFC\_IAD\_07l\_07-046 Q3 2006 Complex Structured Finance Transactions
    40.  ILFC\_IAD\_08\_2007 ILFC Audit Plan
    41.  ILFC\_MD\_01\_Compliance Committee Records and Compliance Plan-IPD
    42.  ILFC\_MD\_01\_ERM Meeting Recap\_1-30-2007\_JTC
    43.  ILFC\_MD\_02\_AIG Financial services Meeting Recap\_2-12-2007\_JTC
    44.  ILFC\_MD\_02\_Compliance Self-Assessment ~ 2007\_IPD
    45.  ILFC\_MD\_03\_AIG Financial Services Meeting Recap\_3-12-2007\_JTC
    46.  ILFC\_MD\_03\_Compliance\_internal memo re treasury commerce rules\_IPD
    47.  ILFC\_MD\_04\_AIG Financial Services Meeting Recap\_3-28-2007\_JTC
    48.  ILFC\_MD\_04\_Compliance\_2006-Q4 ~ Litigation Report\_IPD

























































49.  ILFC\_MD\_04\_Mngt Meeting Notes\_Scanned\_JTC
50.  ILFC\_MD\_05\_Compliance-Sackman ~ 2007-02-14\_Letter to PwC\_IPD
51.  ILFC\_MD\_05\_Meeting with CEO Steven Udvar Hazy 2-27-07\_JDD
52.  ILFC\_MD\_06\_Compliance-Phone discussions with Mari Malone 3-9-07\_JTC
53.  ILFC\_MD\_06\_Phone discussions with ILFC 3-9-07\_JTC
54.  ILFC\_MD\_07\_History of ILFC & Steve Hazy\_JDD
55.  ILFC\_MD\_08\_2-20-07 Presentation to OTS\_IPD
56.  ILFC\_MD\_09\_Board Meeting 7-27-06 Package Notes\_JDD
57.  ILFC\_MD\_10\_Meeting with Bill Hespe\_op Risk\_3-19-07\_MAR&JTC
58.  ILFC\_Rk\_01\_OTs Review of Lease Process\_MNW
59.  ILFC\_Rk\_010\_CRC\_Portfolio Review - 2-May-2006 - Minutes\_IPD
60.  ILFC\_Rk\_011\_Leases Reviewed\_JDD
61.  ILFC\_Rk\_012\_RAT - Airline Ratings List\_IPD
62.  ILFC\_Rk\_013\_Risk Assessment Team Project Meeting 2-26-07\_JDD
63.  ILFC\_Rk\_014\_Risk Assessment Evaluations and Airline Report Cards\_IPD
64.  ILFC\_Rk\_02\_OTs Reviewed Leases\_MNW
65.  ILFC\_Rk\_03\_OTs Review of Hi Fly Lease\_MNW
66.  ILFC\_Rk\_04\_OTs Review of Wizz Air\_MNW
67.  ILFC\_Rk\_05\_HiFly Lease Agreement Summary\_IPD
68.  ILFC\_Rk\_06\_Wizz Air Lease Agreement Summary\_IPD
69.  ILFC\_Rk\_07\_Best Air Lease Agreement Summary\_IPD
70.  ILFC\_Rk\_08\_SunExpress Lease Agreement Summary\_IPD
71.  ILFC\_Rk\_09\_OTs Review of Past Dues and Collection Procedures\_MNW
72.  ILFC\_Rk\_15\_ERMPortfolioReview\_2-May-2006 - Minutes
73.  ILFC\_Rk\_15\_ERMPortfolioReview\_FIN 45 Guarantee Analysis (03-31-2006)
74.  ILFC\_Rk\_15\_ERMPortfolioReview\_Leased Aircraft Valuation Summary as of 03-31-2006
75.  ILFC\_Rk\_15\_ERMPortfolioReview\_Notes Receivable - Collectibility Analysis
76.  ILFC\_Rk\_15\_ERMPortfolioReview\_Other Information as of 03-31-2006
77.  ILFC\_Rk\_15\_ERMPortfolioReview\_Outstanding Payments Report as of 04-19-2006
78.  ILFC\_Rk\_15\_ERMPortfolioReview\_Risk Assessment Team Ratings Spreadsheet as of 03-31-2006
79.  ILFC\_Rk\_15\_ERMPortfolioReview\_Sample Risk Assessment Evaluations and Airline Report Cards
80.  ILFC\_Rk\_15\_ERMPortfolioReview\_Valuation Summary as of 03-31-2006
81.  ILFC\_Rk\_16\_reviews of risk reports\_JTC
82.  PERK\_2005 Status Update\_02-02-07\_PwC SAD\_IPD
83.  PERK\_20051219 Sin List (Cash Transactions)1\_IPD
84.  PERK\_20051219 Sin List (Miscellaneous Invoices)1\_IPD
85.  PERK\_20051219 Sin List1\_IPD
86.  PERK\_2006 Status Report\_2-22-07\_PwC final SAD item\_IPD
87.  PERK\_20060925 Cash Transactions1\_IPD
88.  PERK\_20060925 Miscellaneous Invoices1\_IPD
89.  PERK\_20060925 Sin List1\_IPD
90.  PERK\_2-20-07 OTS Presentation\_IPD
91.  PERK\_3\_0\_13\_01\_v3.5\_Fair Value\_SFAS 133\_IPD
92.  PERK\_3\_0\_16\_v3.2\_Debt review control narrative\_IPD
93.  PERK\_3\_0\_25\_v2.3\_Annual and Quarterly Close control narrative\_IPD
94.  PERK\_Aircraft Valuation Summary092006\_IPD
95.  PERK\_Aircraft Valuation Summary122006\_IPD
96.  PERK\_Analysis of Historical Lease Margins aka SEASONAL\_IPD
97.  PERK\_BOD Info package\_2005 q4\_IPD
98.  PERK\_BOD Info package\_2006 Q3\_IPD
99.  PERK\_BOD Info package\_2006 Q4\_IPD
100.  PERK\_cash requirements for 2007\_IPD
101.  PERK\_Copy of 2006BUDGET\_IPD
102.  PERK\_Copy of 2007BUDGET\_IPD

103.  PERK\_Copy of fleet summary as at December 31 2005\_IPD
104.  PERK\_Copy of fleet summary as at September 29 2006\_IPD
105.  PERK\_CSFT(Policy)\_V1-1-10-2006\_IPD
106.  PERK\_Enterprise Risk Management\_ Questionnaire\_ 2006\_IPD
107.  PERK\_Expiring Lease Contracts 1-1-2007 to 12-31-2009\_IPD
108.  PERK\_growth history BS & IS\_IPD
109.  PERK\_IAD - 2006 Aircraft Insurance Coverage Internal Audit Report\_IPD
110.  PERK\_IAD - 2006 Internal Audit Lease Revenue Report\_IPD
111.  PERK\_IAD - 2006 Overhaul Reserves Internal Audit Report\_IPD
112.  PERK\_IAD - 2006\_Q3 CSFT Internal Audit Report\_IPD
113.  PERK\_ICMS ProjectPlan\_3\_12\_2007\_IPD
114.  PERK\_ILFC Board Minutes July 2006\_IPD
115.  PERK\_ILFC Board Minutes May 2006\_IPD
116.  PERK\_ILFC Board Minutes October 2006\_IPD
117.  PERK\_ILFC Organization Chart 1-9-07\_IPD
118.  PERK\_ILFC Phone List\_IPD
119.  PERK\_Lease process - \_OTS request\_\_2007\_IPD
120.  PERK\_Modebt2006\_IPD
121.  PERK\_Proposed Lease Classification Finding\_PwC\_3-2-07\_IPD
122.  PERK\_Schedule of Aircraft Purchases for YE 12-31-07\_IPD
123.  PERK\_SI 2005\_Q4\_OTS\_IPD
124.  PERK\_SI 2006\_Q3\_OTS\_IPD
125.  PERK\_Titles List 2007\_IPD
126.  README
49.  H2984\_20070423\_93
  - a.  Report of Examination
    1.  ROEF\_H2984\_20070423\_93
    2.  ROEM\_H2984\_20070423\_93
  - b.  Work Papers
    1.  98865D9F-C2DE-42D4-B0A7-A4EEA6D983EA
    2.  FP\_Ad\_00\_Target Exam ROE Draft
    3.  FP\_Ad\_01\_Scope Memo-TargetExam\_4-19-07\_JTC
    4.  FP\_Ad\_02\_Scoping Discussions\_CW-JTC
    5.  FP\_Ad\_03\_Target prep phone discussion\_4-16-2007
    6.  FP\_Ad\_03a\_Kolbert phone discussion\_3-6-2007
    7.  FP\_Ad\_04\_PERK Request-4-16-2007-JTC
    8.  FP\_Ad\_05\_AIGSeniorMangt\_Update\_5-21-07-JTC
    9.  FP\_Ad\_06\_AIGSeniorMangt\_Update\_7-30-07-JTC
    10.  FP\_ad\_07\_Entrance mtg\_4-23-07
    11.  FP\_Ad\_08\_Exit Mtg Agenda\_7-13-07
    12.  FP\_Ad\_09\_Exit Mtg Notes\_7-13-07
    13.  FP\_Ad\_10\_Monthly Recap\_3-31-2007\_IPD
    14.  FP\_Ad\_11\_Schedule SI\_ExhibitA\_31Mar07\_IPD
    15.  FP\_Cr\_01\_Credit Risk Update\_JTC
    16.  FP\_Cr\_02\_Ed Diaz\_mtg notes\_JTC&NK
    17.  FP\_Cr\_03\_ABS mtg- OTS requested discussion points
    18.  FP\_Cr\_04\_IV.2 Grp\_Crdt\_Summary\_Rpt\_Replacement\_OTS modified
    19.  FP\_Cr\_05\_NCEL exceeded
    20.  FP\_Cr\_05a\_NCEL exceeded explanations\_IDP&JTC
    21.  FP\_Cr\_06\_ABS RADAR 27 April 07\_IPD
    22.  FP\_Cr\_06a\_Answers to OTS questions 040507\_\_ABS Radar\_IPD
    23.  FP\_Cr\_07\_ABS PORTFOLIO CREDIT REVIEW APRIL 2007\_IPD
    24.  FP\_Cr\_07a\_CMBS PORTFOLIO Review April 2007\_IPD
    25.  FP\_Cr\_07b\_CREDIT REVIEW APRIL 2007 \_CDOs\_ + CDO Market Update\_IPD
    26.  FP\_Cr\_07c\_ABS PORTFOLIO CREDIT REVIEW March 2007\_IPD
    27.  FP\_Cr\_08\_Reported Exposures\_Differences\_IDP&JTC

28.  FP\_Cr\_09\_IV.4 TDG MARCH YTD.xls
29.  FP\_Cr\_10\_AIGFP\_Collateral Mgmt Review\_001\_Collateral Meeting Recap NBK
30.  FP\_Cr\_10a\_ca\_summ\_IPD
31.  FP\_Cr\_10b\_sec haircutsIPD
32.  FP\_Cr\_10c\_pldgca\_265\_IPD
33.  FP\_Cr\_10d\_Answers to additional collateral management questions from the OTS\_IPD
34.  FP\_Cr\_10e\_Another FU to additional collateral management questions from the OTS
35.  FP\_Cr\_11\_sub-prime CDO exposure\_06-30-07\_IPD&JTC
36.  FP\_Cr\_12\_CRC PRES - May 2007 FINAL\_IPD
37.  FP\_Cr\_13\_PFE\_Proposal\_20070522\_IPD
38.  FP\_Cr\_13a\_FW potential add-on\_IPD&JTC
39.  FP\_Cr\_14\_Collateral Meeting Recap NBK
40.  FP\_Cr\_14a\_Summary collateral report IPD
41.  FP\_Cr\_14b\_ca\_summ
42.  FP\_Cr\_14c\_pldgca\_265
43.  FP\_Cr\_14d\_Emails
44.  FP\_Cr\_14e\_security haircuts Screen
45.  FP\_LCA\_01\_LCA Memo NBK
46.  FP\_LCA\_02\_LCA Meeting notes NBK
47.  FP\_LCA\_03\_Clover Corporate Structure Rationalisation
48.  FP\_LCA\_04\_Clover Equity Subscription A
49.  FP\_LCA\_05\_I.4 LondonCity\_BibleListing IPD
50.  FP\_LCA\_06\_I.5 London City Airport - Value Trend IPD
51.  FP\_LCA\_07\_I.5 Clover Equity Company Draft Audited FS
52.  FP\_LCA\_08\_LC credit memo
53.  FP\_LCA\_09\_06 LCA MasterPlan
54.  FP\_LCA\_10\_Purchase agreement
55.  FP\_LCA\_11\_PWC agreed structure plan
56.  FP\_LCA\_12\_PWC funds flow memo
57.  FP\_LCA\_13\_City Airport Review\_014 5 scenarios
58.  FP\_LCA\_14\_City Airport Review\_015 Emails
59.  FP\_LCA\_15\_Prime Brokerage-airport-GIC phone discussion 1-18-07\_IPD
60.  FP\_MR\_01\_Market Risk Update\_JTC
61.  FP\_MR\_02\_Mtgs - Pierre Mincotis\_JTC
62.  FP\_MR\_02a\_market risk mtg- OTS requested discussion points\_IPD
63.  FP\_MR\_02b\_Market Risk-Oil Hedging Strategy\_ Pierre Mincotis\_5-29-07
64.  FP\_MR\_02c\_Email\_Commodity Book PL\_IPD
65.  FP\_MR\_03\_AIG MRM Oversight of FP\_mtg 5-29-07\_JTC
66.  FP\_MR\_03a\_AIG MRM Oversight of FP\_\_Follow-up\_JTC
67.  FP\_MR\_04\_Market Risk Policy Manual as of 0606\_IPD
68.  FP\_MR\_04a\_Market Risk Limits Final 2007-03\_IPD
69.  FP\_MR\_05\_Daily Risk Update email\_20070510\_IPD.rtf
70.  FP\_MR\_05a\_dailyrisk
71.  FP\_MR\_05b\_DailyRisk\_20070510
72.  FP\_MR\_05c\_tg\_fx\_risk\_050907
73.  FP\_MR\_06\_Risk\_Stress\_Testing\_Development\_Plan)2007
74.  FP\_MR\_06a\_Risk\_Stress\_Testing\_Development\_Plan\_2006
75.  FP\_MR\_06bStress Tests Results GSD 20070402.rtf
76.  FP\_MR\_07\_III.5 VaR Results\_GSD 20070402
77.  FP\_MR\_08\_FP Risk Summary Report to AIG\_IPD
78.  FP\_MR\_09\_Call partic by AIG\_20070522
79.  FP\_MR\_10\_Disc of FP risk results with AIG
80.  FP\_MR\_10a\_Disc of FP risk results with AIG
81.  FP\_MR\_11\_P&L Variability Analysis
82.  FP\_MR\_11a\_Revenue Changes 2005-2006\_JTC

83.  FP\_MR\_11b\_GAAP\_DETAIL\_010207\_IPD
84.  FP\_MR\_11c\_GAAP\_DETAIL\_010306\_IPD
85.  FP\_MR\_11d\_PVAHISTORICALSUMMARY\_GSD010207\_IPD
86.  FP\_MR\_11e\_PVAHISTORICALSUMMARY\_GSD010306\_IPD
87.  FP\_Other\_01\_123106\_InvProtectAgmt\_GAPs\_IPD
88.  FP\_Other\_02\_200660000431957\_Nightingale\_PSR\_IPD
89.  FP\_Other\_02a\_Structured Investment Vehicle\_JTC
90.  FP\_Other\_03\_BAIG Update June 2007\_JTC
91.  FP\_Other\_03s\_BAIG meeting memo\_NK&JTC
92.  FP\_Other\_04\_Bear Streams hedge funds issues - meeting memo\_JTC
93.  FP\_Other\_05\_REX Memo to Files\_7-3-07\_IPD
94.  FP\_Other\_05a\_Email to DC\_REX consumer protection\_JTC
95.  FP\_Other\_06\_Org Changes Meeting\_NK&JTC
96.  FP\_Other\_07\_2007 Audit Plan Status for AIGFP\_IPD
97.  FP\_Other\_07a\_Email AIGFP audits\_JTC
98.  FP\_Other\_07b\_RE AIGFP audits\_IPD
99.  FP\_Other\_08\_Meeting for FAS 157 & 159
100.  FP\_Other\_09\_sub-prime CDO exposure\_06-30-07\_JTC
101.  FP\_Other\_09b\_CDO Exposure Summary (4)\_IPD
102.  FP\_Other\_09c\_Subprime Analysis (2)\_IPD
103.  FP\_Ssr\_01\_Risk Update\_JTC
104.  FP\_Ssr\_02\_mtgs\_A Forster\_JTC&NK
105.  FP\_Ssr\_02a\_Recovery Rates -SuperSeniorAnswers\_IPD
106.  FP\_Ssr\_02b\_Sub-prime - SS & Cash CDo\_mtgs\_A Forster\_JTC
107.  FP\_Ssr\_03\_Credit Derivatives Procedures Manual\_rev 2-06\_cln
108.  FP\_Ssr\_04\_WVAR Model Description\_IPD
109.  FP\_Ssr\_04a\_II.2 Models for the Synthetic Credit Business
110.  FP\_Ssr\_05\_WVAR\_Annex A CdBamtm30December2005
111.  FP\_Ssr\_06\_WVAR\_Annex B AigPkgDec2005Valuedforbruce
112.  FP\_Ssr\_07\_WVAR\_Annex C SummLewisExhDec2005Values
113.  FP\_Ssr\_08\_TOTAL\_PORTFOLIO\_IPD
114.  FP\_Ssr\_09\_30March2007\_original\_v3
115.  FP\_Ssr\_10\_AIGPkgMarch2007\_IPD
116.  FP\_Ssr\_11\_AIGQtrReport\_AgendaMarch2007
117.  FP\_Ssr\_12\_AIGQtrmtg\_30March2006\_writeup
118.  FP\_Ssr\_13\_AIG Fairfield new spreadsheet
119.  README
50.  H2984\_20070425\_93
  - a.  Report of Examination
    1.  ROEF\_H2984\_20070425\_93
    2.  ROEM\_H2984\_20070425\_93
  - b.  Work Papers
    1.  Catastrophic Risk and Reinsurance RECAP
    2.  CatManagementProgram\_Dec2006\_vers02-08-2007\_Final
    3.  OTS-Explanation of Documents Provided\_April2007
51.  H2984\_20070529\_93
  - a.  Report of Examination
    1.  ROEM\_H2984\_20070529\_93
  - b.  Work Papers
    1.  200 ASSET QUALITY
      - a.  200 01 Asset Quality Prg (tailored)
      - b.  200 02 AQ Draft ROE
      - c.  200 03 AGFI 10Q 03312007
      - d.  200 03a AGFS Risk Mgmt 03312007
      - e.  200 03b AQ Accrual Accounting
      - f.  200 04 CSP (Credit Strategy & Policy) Minute Review































- g.  200 04a CSP Special Topics
  - h.  200 04b CSP Package Data
  - i.  200 04c CSP Chart Graph Meet 05312007
  - j.  200 04d CSP Credit Strategy Request
  - k.  200 05 Portfolio Summary
  - l.  200 05a RE Port Detail 0307
  - m.  200 05b Non-RE Port Detail 0307
  - n.  200 05c Retail Port Detail 0307
  - o.  200 05d Loan Originations 03312007
  - p.  200 06 Sample Product Analysis Summary
  - q.  200 06a Sample Product Analysis Branch RE
  - r.  200 06b Sample Product Info Branch RE
  - s.  200 06c Sample Product Analysis WFI RE
  - t.  200 06d Sample Product Info WFI RE
  - u.  200 06e Sample Product Analysis NRE
  - v.  200 06f Sample Product Info NRE
  - w.  200 06g Sample Product Analysis Retail
  - x.  200 06h Sample Product Info Retail
  - y.  200 07 Underwriting Summary
  - z.  200 07a Credit Score Summary
  - aa.  200 07b Loan Underwrite Credit Score Meet 06052007
  - ab.  200 07c Loan Underwrite Credit Score Meet 06122007
  - ac.  200 07d Credit Score Meet 06202007
  - ad.  200 08 Problem Assets Summary
  - ae.  200 08a Delinquency Grid
  - af.  200 09 ALLL Summary
  - ag.  200 09a AGFI Loan Loss Reserve Evaluation 03312007
  - ah.  200 09b Monte Carlo Presentation to PWC
  - ai.  200 09c ALLL Meet 06062007
  - aj.  200 09d Charge-Offs 03312007
  - ak.  200 10 WFI Reserve Summary
  - al.  200 10a WFI Monthly Results Memo
  - am.  200 10c WFI Reserve Memo by PWC
  - an.  200 10d WFI Reserve Process Overview
  - ao.  200 10e Repurchase Reserve Meet 06122007
  - ap.  200 10f Repurchase Reserve Meet 06132007
  - aq.  200 10g Repurchase Reserve Meet 06202007
  - ar.  200 10h Scratch & Dent 1Q2007
  - as.  200 10i Loan Repurchases 1Q2007
2.  300 MANAGEMENT
- a.  300 00 Management Prg (risk-focused)
  - b.  300 01 Major Project Sch Follow-up
  - c.  300 01a APL
  - d.  300 01b PPD Clarification Opinion
  - e.  300 01c State Exams
  - f.  300 01d1 WFI Quality Control Review
  - g.  300 01d2 Risk Management Manual
  - h.  300 01d3 corelogicsample1
  - i.  300 01d4 dataverifysample1
  - j.  300 01d5 Rec Worksheet Wholesale - 5-30
  - k.  300 01e1MorEquity Quality Control
  - l.  300 01e2 MorEquity Origination Production Review
  - m.  300 01e3 Re QC MorEquity
  - n.  300 01e4 Summary of Findings (Booked) -Feb 2007
  - o.  300 01e5 Summary of Findings (TD's) - Feb 2007













- p.  300 01f1 Compliance Administrators
- q.  300 01f2 Compliance Administrators by division
- r.  300 01g Best Practices Resp
- s.  300 01h1 ISS enhancements
- t.  300 01h2 Regulatory Backfill of Data Status Report 6\_6\_07
- u.  300 01h3 Central\_MEQ\_Field\_Def\_09-14-06-ISS deliverables
- v.  300 01h4 18935 - REAC Reporting
- w.  300 02 AGF Board Minutes
- x.  300 03 AGF 2007 Business Plan - Final
- y.  300 04 OTS Meeting with AGF 6.25
- z.  300 05 OTS Meeting with AGF 6.29
- aa.  300 06 Org Chart
- ab.  300 07 OCEAN FINANCIAL MORTGEGAGE LIMITED MEETING06
- ac.  300 07a OFML info request
- ad.  300 07b OTS presentation - Ocean Overview 062807
- ae.  300 07c OFML Due Diligence Process (OTS)\_062707 FINAL
- af.  355 01a 2007 Plan Risk Weighting Template
- ag.  355 01b 2007 Audit Plan
- ah.  355 01c 2007 Manpower Analysis\_ Final
- ai.  355 01d 2007 Strategy Document
- aj.  355 02a Records Retention
- ak.  355 02b Record Mgmt Audit Status\_20070507
- al.  355 03a 07-70 Excess & Surplus Insurance Lines
- am.  355 03b 07-114 Record Retention Program
- an.  355 03c 07-135 Income Tax Accounting Process (FAS 109)
- ao.  355 03d 07-192 Accounting Pronouncements - 3rd Qtr 2006
- ap.  355 03e 07-231 Network Routers\_IT
- aq.  355 03f 07-306 Novell OES Security
- ar.  355 03g 07-364 WFI - Origination Channels
- as.  355 03h 07-381 USA Patriots Act
- at.  355 03i 07-382 WFI LAN Controls
- au.  355 03j 07-386 Capital Market Funding - Derivatives and Hedge Accounting (FAS 133)
- av.  355P Internal Audit Prg
- 3.  400 EARNINGS
  - a.  400 02 Draft Earnings Comment
  - b.  400 03 Review of Earnings Perk provided by mgmt
  - c.  400 04 IPD Comparative income statement for 2005 & 2006
  - d.  400 05 IPD Original comparative income statement for 3-31-07
  - e.  400 06 IPD Revised comparative income statement for 3-31-07
  - f.  400 07 IPD Comparative statements for 2005 & 2006
  - g.  400 08 IPD Original comparative statements for 3-31-07
  - h.  400 09 IPD Revised comparative statements for 3-31-07
  - i.  400 10 Email Exam Quest I to Mgmt on Reserves
  - j.  400 10a Mgmt Email Response to Quest I on Reserves
  - k.  400 11 Email Exam Quest II & Mgmt Response on Reserves
- 4.  Documents and Settings
  - a.  richardssa
    - 1.  Desktop
      - a.  AGF EXAM
        - 1.  Exam Report
          - a.  Orig Draft Exam
        - 2.  Management
          - a.  Internal Audit
            - 1.  355 04a Overview.No Audit 5 yrs or more
            - 2.  355 04b No Audit 5 yrs or more
        - 3.  Prior Exam Follow-up














- a.  300 01aa APL response
    - b.  AIG
      - 1.  AGF
        - a.  AGF Scope Memo Final
      - c.  1000 01 Compliance Prg (tailored)
      - d.  400 01 Earnings Prg (tailored)
      - e.  Draft Exam
5.  1000 02 Draft Compliance Comment
6.  1000 03 Review of AGFC Compliance Comm Minutes
7.  1000 04 IPD AGF Compliance Risk Self Assessment (2007)
8.  1000 05 Review of AGFS Branch Management and Controls
9.  1000 05a Review of AGF Legal Dept and Branch Operations
10.  1000 05b IPD Branch Span of Control Chart
11.  1000 05c IPD AGF Compliance Information Flow Chart
12.  1000 05d IPD Sample Monthly Summary COR Report
13.  1000 05e IPD Branch (Internal) Audit Program
14.  1000 06 BSA & CIP Policies and Procedures
15.  1000 06a AGFC Attorney Memo Why SARs-FinCEN Requests Are Not Done
16.  1000 06b IPD Anti-Money Laundering Policy
17.  1000 06c IPD Currency Transaction Report Policy
18.  1000 06d Review of CTR Filings Made For 1st Quarter of 2007
19.  1000 06e CTR Exceptions Correspondance
20.  1000 06f IPD WFI - CTR Policy
21.  1000 06g More CTR Exceptions Correspondance
22.  1000 06h IPD Mgmt Response to CTR Exceptions
23.  1000 06i IPD Internal Audit CTR Findings
24.  1000 07 Review Of Open State Exams as of 6-6-07
25.  1000 07a Meeting with Thomas Mountain Over State Exams
26.  1000 07b IPD AGFS, AGAF, MorEquity & ARC Open Exams at 060607
27.  1000 07c IPD WFI Open Exams at 060607
28.  1000 07d IPD List of WFI State Exams Conducted in Past Year
29.  1000 07e IPD List of AGFS, AGAF, MorEquity & ARC State Exams in Past Year
30.  1000 08 Review of Consumer Complaints
31.  1000 08a IPD AGFS, AGAF, MorEquity and Retail Complaints
32.  1000 08b IPD Insurance Related Complaints
33.  1000 08c IPD RE Insurance complaints
34.  1000 08d IPD WFI complaints jan-mar 2007
35.  1000 08e IPD Detail on Prepayment Penalty Complaints to MorEquity
36.  1000 09 Review of Consumer Litigation
37.  1000 09a IPD Pending litigation list
38.  1000 09b IPD Pending Litigation by Principal Allegation
39.  1000 09c WFI PENDING CONSUMER LITIGATION
40.  1000 09d IPD Re FW Info. Requested by OTS Examiners
41.  1000 10 Review of Prepayment Penalty Issue at AGFC and Subs
42.  1000 10a Mgmt Email with Attachments 10b thru 10e
43.  1000 10b IPD AGFS Real Estate Policy-OPP A-309.ON
44.  1000 10c IPD AGFC HOEPA Policy-OPP A-309.2E
45.  1000 10d IPD Morequity Policy-MEQ 591.6
46.  1000 10e IPD WFI Prepayment Penalty Customer Disclosure
47.  1000 10f Mgmt Email with Attachments 10g thru 10i
48.  1000 10g IPD MorEquity Prepayment Penalty Customer Disclosure
49.  1000 10h IPD Alabama Law Grids
50.  1000 10i IPD AGF Branch Prepayment Penalty Customer Disclosure
51.  1000 10j IPD Mgmt Email with Attachment 10k
52.  1000 10k IPD Exams Last 12 Months with Prepay Penalty Exceptions
53.  500 01 Liquidity Prg (tailored)

- 54. 500 02 Draft Liquidity Sensitivity to Market Risk Comment
- 55. 500 03 Initial Questions with Mgmt Responses
- 56. 500 04 Review of 12-31-06 10K
- 57. 500 05 Review of 3-31-07 10Q
- 58. 500 06 IPD AGF Liquidity & IRR Risk Assessment-See Highlights
- 59. 500 07 IPD AGF Capitalization Narrative-See Highlights on Liquidity and Sensitivity
- 60. 500 08 IPD AGF Asset Liability Mgmt Policy 5-03
- 61. 500 08a Review of Asset Liability Mgmt Policy
- 62. 500 09 Review of Asset Liability Comm (ALCO) Minutes
- 63. 500 09a IPD AGF ALCO Membership
- 64. 500 10 Mgmt Email Concerning Other Sub Investment Policies
- 65. 500 10a IPD Merit Life Investment Plan
- 66. 500 10b IPD Yosemite Investment Plan
- 67. 500 11 Memo Re Resolution By the Terms and Pricing Comm On Shelf Borrowing
- 68. 500 11a Attachment to Memo on Shelf Borrowing
- 69. A631122D-3840-4938-804D-4A9400D9FE96
- 70. README
- 52. H2984\_20070604\_93
  - a. Report of Examination
    - 1. ROEM\_H2984\_20070604\_93
- 53. H2984\_20070611\_90
  - a. Report of Examination
    - 1. ROEF\_H2984\_20070611\_90
  - b. Work Papers
    - 1. CMLPXI
      - a. AIG
        - 1. 2007
          - a. 2007 AIG Roll-Up Exam
            - 1. Roll-Up Meeting Agendas and Recaps
              - a. R\_15\_AIA Presentation\_ver 4\_Jul 10
              - b. R\_16\_OTs 21st Presentation 7-12-07
              - c. R\_17\_OTs - HSB Presentation 07 10 07
              - d. R\_18\_OTs - Domestic Retirements Svc Presentation - 7-27-07
              - e. R\_19\_OTs - Presentation - Domestic Life - 073007 v2
              - f. R\_20\_OTs Presentation - AIG-Foreign-Gen - 7-16-07
              - g. R\_21\_OTs Presentation - DBG June 2007
              - h. R\_22\_OTs Presentation - Transatlantic Holdings TRH 7-19-2007
              - i. R\_23\_OTs Presentation AIG Europe SA
              - j. R\_24\_Personal Lines Presentation
  - 2. Documents and Settings
    - a. misirpn
      - 1. Desktop
        - a. AIG H2984 2007 Exam
          - 1. 730PE\_014 Detail of AIG Revenue by Country-Region
    - b. richardssa
      - 1. Desktop
        - a. AIG
          - 1. AIG Compliance Review
            - a. 1000 05 Compliance Questions & Observations
- 3. 1000 01 Compliance Prg (tailored)
- 4. 1000 02 Compliance PERK Document Request
- 5. 1000 03 Minutes of the Regulatory Compliance and Legal Comm
- 6. 1000 04 ORM-Compliance Top Down Risk Assessment Rev
- 7. 1000 06 Compliance rev conclusions and exam comment
- 8. 2958B3F3-3379-4539-A8C1-BBE8E0FE5CC6
- 9. 730PE\_008 1Q07 Net Worth Equity

























































10.  730PE\_009 Spread Sheet to Support ROE Cash Flow
11.  730PE\_012 Budget
12.  730PE\_013 Summary of AIG Revenues by Country-Region
13.  730PL\_000 Liquidity Workpaper Index
14.  730PL\_001 Liquidity Analysis
15.  730PL\_002 Referenced - Draft Liquidity Comment
16.  730PL\_003 Parent Cash Flow
17.  730PL\_004 Liquidity Overview July 18, 2007
18.  730PL\_005 AIG Treasury Operation
19.  730PL\_006 AIG Treasury Organization Chart
20.  730PL\_007 Liquidity Meeting Discussion Points
21.  C\_001\_Treasury Group Contacts
22.  C\_002\_AIG Treasury Org Chart 122006
23.  C\_003\_Capital Committee Attendees
24.  C\_004\_HC CAPITAL PROGRAM\_06112007
25.  C\_005\_Capital Conclusions Work Paper\_062007 RollUp
26.  C\_006\_a\_AIG Capital Rating Matrix
27.  C\_006\_Capital Scope-PERK-Work Program2007 Roll-Up
28.  C\_007\_a\_SCAR ICM Update AIG Treas
29.  C\_007\_SCAR\_20070524 OTS ICM Update
30.  C\_008\_AGF\_SCAR-ECM\_2006Q4
31.  C\_009\_AICredit\_SCAR-ECM\_2006Q4
32.  C\_010\_CFG\_ICM-ECM\_2006Q4
33.  C\_011\_ILFC\_ICM-ECM\_2006Q4
34.  C\_012\_CEF\_ICM-ECM\_2006Q4
35.  C\_013\_CCP\_062707draft
36.  C\_014\_CCP\_041107final
37.  C\_015\_a\_AMIP\_ICM-ECM\_2006Q4
38.  C\_015\_CCP\_062707final
39.  C\_016\_OTSAIG Dialogue Capital Roll-up Exam Recommendations--082007
40.  C\_017\_a\_SCAR Analysis\_AIG\_OTS Correspondence\_06112007exam
41.  C\_017\_AIG Treasury Discussion PERK\_072307
42.  C\_018\_AB 06-02 - Model Documentation and Validation
43.  C\_018\_AIG Validation Status 12312006
44.  C\_018\_capital model validation discussion\_123106
45.  C\_019\_a\_AIG Treasury SCAR Analysis 122006
46.  C\_019\_a\_AIG Treasury YE SCAR Filing Delays
47.  C\_019\_AIG FP capital model 12-31-06 Analysis for OTS
48.  C\_019\_AIG FP capital model 12-31-06 for OTS
49.  C\_019\_SCAR\_Q42006\_083007
50.  C\_020\_SCAR\_Q12007\_090607\_nohybridincl
51.  C\_021\_ECM & MIP Update 040307
52.  C\_021\_ECM Meeting\_012407
53.  C\_021\_ECM Update 052407
54.  C\_022\_2007 AIG ECM Presentation
55.  C\_023\_Revised SCAR Rpt Filing Timeline\_032007
56.  C\_024\_AIG IAD\_SCAR audit recap\_072407
57.  C\_025\_Model Validation Status AIG Resp\_123106
58.  C\_026\_AIG Q1 2007 SCAR Filing Delay
59.  C\_026\_AIG Response Timeframe for Revised SP model
60.  C\_026\_AIG YE SCAR Filing Delays
61.  C\_026\_OTSAIG dialogue\_Revised Timeline for SCAR reporting
62.  C\_027\_AIG \$750mm Sub Debt Offering
63.  C\_027\_AIG Hybrid Activity 2007
64.  C\_027\_AIG Hybrid Discussion 022707

65.  C\_027\_OTs\_AIG dialogue\_Hybrid Capital Treatment SCAR
66.  C\_028\_SCAR Q42007 draft 060607
67.  C\_029\_AIGFP ICM Recap 061907 - Detailed Q&A
68.  C\_030\_AIGFP ICM Recap 061907
69.  C\_031\_Quarterly Capital Meeting w AIG Treasury Recap 04 03 07FINAL
70.  C\_032\_Quarterly Capital Meeting w AIG Treasury Recap 06182007
71.  C\_033\_a\_YE SCAR Model Analysis for Capital Committee
72.  C\_033\_Quarterly Capital Meeting w AIG Treasury Recap 061820071
73.  C\_034\_SCAR process 052107
74.  C\_035\_SCAR Analysis YE2006\_090507
75.  C\_036\_SP RBC Model FP background\_0700
76.  C\_037\_ICM recap\_111606
77.  C\_038\_AIG TREASURY Mission Statement Jan 2007 w OTS points
78.  C\_039\_AIG TREASURY CCG Continuing Exam Background on Process
79.  C\_040\_Stat to GAAP WP 2006
80.  C\_041\_SCAR Overview Doc\_083005
81.  C\_042\_1QTR 10-Q Financial Analysis
82.  IA\_000 Index Internal Audit AIG
83.  IA\_001 Internal Audit Contacts
84.  IA\_002 Audit Committee Minutes
85.  IA\_003 IA Report to Audit Committee
86.  IA\_004 Audit Committee Members
87.  IA\_005 Org Chart IA
88.  IA\_006 Feb 2007 IA Review
89.  IA\_007 January 2007 OTS - IA Meeting
90.  IA\_008 Sample Agenda
91.  IA\_009 Future Meeting
92.  IA\_010 2006 Report Comments
93.  IA\_011 Audit Plan 2007
94.  IA\_012 Audit Plan 2007 Overview Hours
95.  IA\_013 Audit Plan June 2007 Summary Hours
96.  IA\_014 Remediation May 4, 07 Status
97.  IA\_015 Remediation July 18, 07 Status
98.  IA\_016 Opinon and Financial Statements
99.  IA\_017 Financial Highlights
100.  IA\_018 Roemer Card
101.  IA\_019 Internal Audit Global Methodology
102.  IA\_020 Audit Ratings Description
103.  IA\_021 Audit Report Ratings Dec 06
104.  IA\_022 Audit Report Ratings June 07
105.  IA\_023 Summary List Audit Ratings Dec 2006
106.  IA\_024 Summary Details Audit Ratings Dec 2006
107.  IA\_025 Summary List & Details Rating June 07
108.  IA\_026 Protiviti Mar 2007 Internal Review
109.  IA\_027 Response to Protiviti
110.  IA\_028 IA Headcount Dec 2006
111.  IA\_029 IA Headcount June 2007
112.  IA\_030 Budget Fiscal 2007
113.  IA\_031 Audit Plan 2006 Results
114.  IA\_032 External Audit Hours & Fee
115.  IA\_034 Idenfity & Measure Risk
116.  IA\_038 Suggested IA Procedures
117.  IA\_039 Standards for Internal Audit
118.  IA\_040 Audit Report List
119.  IA\_041 Retention Audit #06-135

120.  IA\_042 Lexington Audit #06-150
121.  IA\_043 ALICO Asset #06-186
122.  IA\_044 Katrina Claims # 06-280
123.  IA\_045 Environmental #06-329
124.  IA\_046 Fleet Adm. #06-377
125.  IA\_047 AGF Accrued #06-002
126.  IA\_048 AIG Annuity #06-047
127.  IA\_049 IA Report Template
128.  IA\_050 Review of Audit Workpapers
129.  IA\_051 Hierarchy Org Chart
130.  IA\_052 Remediation IA Workpapers
131.  IA\_053 SOX testing worksheets
132.  IA\_054 Legal Org Chart
133.  IA\_057 June 29th IA Meeting
134.  IA\_058 August 15th IA meeting
135.  IA\_059 August 29th IA Meeting
136.  IA\_060 Audit Com Meet & Atten to May 07
137.  IA\_061 Audit Com Meet & Atten to May 08
138.  IA\_062 Policy Statement on Charter
139.  IA\_063 Internal Audit Charter
140.  IA\_064 IA Financial Results 2006
141.  IA\_065 IA Financial Results June 2007
142.  IA\_067 Major Areas of Review Aug 27
143.  IA\_068 PwC Planned Scope 2007
144.  IA\_069 PwC Audit Plan 2007
145.  O\_001\_Org Structure Workpaper\_061107
146.  O\_002\_AIG Corporate Reorganization Simplification Project
147.  O\_003\_Agenda for Conf Call with AIA
148.  O\_004\_Agenda for Meeting with AIU-Foreign General
149.  O\_005\_Agenda for Meeting with ALICO
150.  O\_006\_Agenda for Meeting with PLD
151.  O\_007\_Agenda for Meeting with UGC
152.  O\_008\_AGF, ILFC and CFG Meeting Recap 4-16-07
153.  O\_009\_AGF-CFG Meeting Recap 2-27-07
154.  O\_010\_FSA Meeting Recap 5-23-07
155.  O\_011\_GC Meeting Agenda 3-20-07
156.  O\_012\_GC Meeting Agenda 5-22-07
157.  O\_013\_GC Meeting Agenda 6-19-07-07
158.  O\_014\_GC Meeting Agenda 8-14-07
159.  O\_015\_GC Meeting Agenda 9-18-07
160.  O\_016\_GC Meeting Agenda 11-19-07
161.  O\_017\_GC Meeting Agenda 11-19-07
162.  O\_018\_GC Meeting Recap 1-16-07
163.  O\_019\_GC Meeting Recap 3-20-07
164.  O\_020\_GC Meeting Recap 5-22-07
165.  O\_021\_GC Meeting Recap 6-19-07-07
166.  O\_022\_GC Meeting Recap 7-10-07
167.  O\_023\_GC Meeting Recap 8-14-07
168.  O\_024\_GC Meeting Recap 9-18-07
169.  O\_025\_GC Update Meeting 2-20-07
170.  O\_026\_HSB\_Meeting Recap\_Aug2007
171.  O\_027\_Kevin McGinn - CRE Scope information
172.  O\_028\_Modification of Request for Reports of Examination
173.  O\_029\_MRM Meeting re Life Products Hedging\_4-12-07
174.  O\_030\_OTTS Presentation - DBG June 2007
























































175.  O\_031\_PwC Meeting 1-22-07
176.  O\_032\_PwC Meeting 10-24-07
177.  O\_033\_PwC Meeting - 4-25-07 SOX changes w AS 5
178.  O\_034\_PwC Meeting recap 5-16-07 -- AIG PB
179.  O\_035\_PwC Meeting\_2-01-2007
180.  O\_036\_PwC Update Meeting 2-20-07
181.  O\_037\_RE 4-07 RMG Material
182.  O\_038\_RE Dubai PW PRESENTATION
183.  O\_039\_Reinsurance Meeting Agenda 4-12-07
184.  O\_040\_Remediation Meeting 7-24-07
185.  O\_041\_Remediation Meeting - Status 4-2-07
186.  O\_042\_Risk Concentration and Remediation Meeting 2-12-07
187.  O\_043\_Risk Concentration and Remediation Meeting\_ 5-8-07
188.  O\_044\_Risk Concentrations and Remediation Meeting 10-16-07
189.  O\_045\_Role of AIG Board\_meeting recap\_10-15-07
190.  O\_046\_Russo--Remediation Input
191.  O\_047\_Sr. Mgt Meeting Agenda 3-21-07
192.  O\_048\_Sr. Mgt Meeting Agenda 5-22-07
193.  O\_049\_Sr. Mgt Meeting Agenda 8-15-07
194.  O\_050\_Sr. Mgt Meeting Agenda 11-14-07
195.  O\_051\_Sr. Mgt Meeting Recap 3-21-07
196.  O\_052\_Sr. Mgt Meeting Recap 5-22-07
197.  O\_053\_Sr. Mgt Meeting Recap 8-15-07
198.  O\_054\_Sr. Mgt Meeting Recap 11-14-07
199.  O\_055\_Sr. Mgt Meeting Recap 12-12-06
200.  R\_01\_Committee Mtg Minutes Review\_JTC
201.  R\_02\_Independent Consultants Report Review\_JTC
202.  R\_03\_Potential CSFT review\_JTC
203.  R\_04\_Risk Concentraitons Q&A\_Feb 07\_KOB
204.  R\_05\_Risk Concentration Overview\_Feb 07\_SJ
205.  R\_06\_Risk Concentrations Trend Analysis\_SJ
206.  R\_07\_OTS Quarterly RC Reports - 2007Q2\_IPD
207.  R\_08\_Credit Risk Committee Minutes Review\_SJ
208.  R\_09\_Country Cross-Border House Limits\_IPD
209.  R\_10\_FP matters for roll-up exam\_JTC
210.  R\_11\_Corporate Control Groups Review Scope\_MAR
211.  R\_12\_ERM Meeting Recap\_1-30-2007
212.  R\_13\_Project FIRE Mtg\_March 07
213.  R\_14\_AIG\_and\_the\_Residential\_Mortgage\_Market\_FINAL\_08-09-07
214.  README
54.  H2984\_20070919\_93
  - a.  Report of Examination
    1.  ROEM\_H2984\_20070919\_93
55.  H2984\_20071001\_93
  - a.  Report of Examination
    1.  ROEM\_H2984\_20071001\_93
  - b.  Work Papers
    1.  201 00 SunAmerica PERK
    2.  201 01a State Limits ARIZ TEX
    3.  201 01b State Limits DEL NY
    4.  201%2001c%20ACLI%20Comparison
    5.  201%2002%20Real%20Estate%20Policies%20Procedures
    6.  201%2003%20CRC%20Committee%20Minutes
    7.  Summary of Commercial Real Estate Portfolios Rev
    8.  Targeted Review Scope-PERK for GRE
56.  H2984\_20071228\_93


























































- a. Report of Examination
  - 1. ROEM\_H2984\_20071228\_93
- 57. H2984\_20080211\_93
  - a. Report of Examination
    - 1. ROEM\_H2984\_20080211\_93
  - b. Work Papers
    - 1. CMLPXI
      - a. AIG
        - 1. 2008
          - a. Targeted Reviews 2008
            - 1. AIG Investments -- Residential Securities Review
              - a. 07\_Operational Doc
                - 1. 07\_a\_Review of Electronic Perk doc Operational Items
- 2. 01\_a\_Residential\_Ad\_01\_Scope Memo-TargetExam\_2008
- 3. 01\_b\_10K Conf Call Slides
- 4. 01\_c\_10K info on RMBS
- 5. 01\_d\_10K\_12-31-07
- 6. 01\_e\_CDS Valuation Methodologies
- 7. 01\_f\_Exam notes on AIG's CDS valuation\_JTC input2
- 8. 01\_g\_Exam notes related to Subprime & CDSs\_JTC input
- 9. 01\_h\_Senior Management Mtg\_5-29-08
- 10. 01\_i\_ConferenceCallCreditPresentation\_05\_09\_08
- 11. 03\_a\_Review of Portfolio Management\_JTC
- 12. 03\_b\_FIAAT-Month of March 202008\_PBI
- 13. 03\_c\_FIAAT-Summary for March 2008\_PBI
- 14. 03\_d\_IAD Report 08-292\_PBI
- 15. 03\_e\_IAD Report No 08-353\_PBI
- 16. 04\_a\_OTTI Process Review\_MT
- 17. 04\_b\_AIGI Pricing-OTTD Review\_MMdoc
- 18. 04\_c\_OTTI Process Review\_JTC
- 19. 04\_d\_AIG INC OTTD Detail\_10K\_PBI
- 20. 04\_f\_Copy of OTTD Report Q42007\_FinalDraft\_v3 (2)
- 21. 04\_g\_OTTI in 10-K
- 22. 04\_h\_Emerging Issues Task Force 99-20 Accounting for Investors in Credit sensitive MBS and ABS
- 23. 04\_i\_March 2008 OTTD List\_AIGI\_JTC
- 24. 04\_j\_March 2008 OTTD List\_exls\_#155544\_PBI
- 25. 04\_k\_OTTD Questions from OTS
- 26. 04\_l\_OTTD Report Q42007\_FinalDraft\_v3 (2)
- 27. 04\_m\_OTTD\_Due to Credit\_Reconciliation\_JTC
- 28. 04\_n\_Accretion post-OTTD\_PBI
- 29. 05\_a\_Review of Electronic Perk doc on pricing\_MT
- 30. 05\_b\_Pricing\_OTTD Review\_MM
- 31. 05\_c\_aaReview of pricing and valuation\_JTC
- 32. 05\_c\_accounting policy-investments in debt and equity securities
- 33. 05\_d\_Appendix\_Approved Pricing Vendors
- 34. 05\_e\_Credit Markets and Valuation of Illiquid Securities\_PBI
- 35. 05\_f\_Muni Challenge 3\_31\_#1554867 (2)\_PBI
- 36. 05\_g\_PerK 340-4th Qtr Pricing Procedures
- 37. 05\_h\_PerK 344-Interactive Data Pricing Procedures
- 38. 05\_i\_PerK 362-File Memo from Michael Fara on Testing Pricing Procedures
- 39. 05\_j\_PerK 378-Memo from Spilberg on 4Q07 Price ABS\_CDOVerification Process
- 40. 05\_k\_PerK 378-Memo from Spilberg on 4Q07 Price Verification Process
- 41. 05\_l\_PricingPolicyDraft\_Clean\_041207\_PBI
- 42. 05\_m\_PROCEDURE - STRUCTURED PRODUCTS\_PBI
- 43. 05\_n\_Q108 Price Testing Procedures\_PBI

























































44.  06\_a\_Review of Credit Processes\_MT
45.  06\_b\_Review of Credit Processes\_JTC
46.  06\_c\_Aaa\_Portfolio\_01312008\_Audit
47.  06\_d\_AIGI RMBS Surveillance Process-Updated 4-3-2008 doc
48.  06\_e\_IAD Report 08-292
49.  06\_f\_IAD Report No 08-353
50.  06\_i\_Perk 231-Process for Determining Credit Impairment on RMBS
51.  06\_j\_Perk 235 Surveillance - September 2007
52.  06\_k\_Perk 235-Surveillance for non-Agency MBS - Sept 2007
53.  06\_l\_Perk 365-ABS CDO Qtr Analysis-Dec 07
54.  06\_m\_Perk 368-Watch List Categories for CDOs
55.  06\_n\_Perk 369-Guidelines for Evaluating CDOs
56.  06\_o\_Perk 372-Change to Approval Process for RMBSs
57.  06\_p\_Perk 374-ORR and Watchlist Procedures
58.  06\_q\_Perk 381-CDO Watchlist with Security detail
59.  06\_r\_Perk 420 SF CDO Quality Sheet 31-DEC-07
60.  06\_s\_Perk 420-SF CDO with Subprime Exposure
61.  06\_t\_Q1 2008 Surveillance Notes
62.  06\_u\_Q1 2008 Surveillance\_#1554327
63.  41172901-5731-495C-91F2-D6375F07D303
64.  README
58.  H2984\_20080219\_93
  - a.  Report of Examination
    1.  ROEM\_H2984\_20080219\_93
  - b.  Work Papers
    1.  Documents and Settings
      - a.  richardssa
        1.  Desktop
          - a.  ILFC
            1.  Workpapers for upload
              - a.  ILFC 2008 Scope Memo
2.  1000 03 ILFC Personnel Policy Manual ~ portion on FCPA
3.  1000 01 Key Operational and Compliance Risks ~ 2008 (September 2007)
4.  1000 02 Compliance Committee Agenda ~ 2007-06-13
5.  1000 04 Training ~ 2007-04-20 ~ ILFC Town Hall Meeting
6.  1000 05 ILFC Travel Entertainment Policy ~2007-11-02
7.  1000 06a ILFC ORM Review
8.  1000 06b ILFC Fraud Risk Assessment Matrix\_2007(ILFC reviewed)
9.  1000TP Compliance Prg (tailored)
10.  219 01 Lease Process
11.  219 02 Outstanding Lease Payments
12.  219 03 Airline Ratings List
13.  219 04 Risk Assessment Comtt
14.  219P Leasing Activities Prg
15.  300 01 4-14-2008 OTS Presentation\_w\_JLP comments
16.  300 02a BOD 9-30-06 meeting minutes
17.  300 02b BOD 3-31-07 meeting minutes
18.  300 02c BOD 6-30-07 meeting minutes
19.  300 02d BOD 9-30-07 meeting minutes
20.  300 03 ILFC Organizational Chart\_2\_28\_08
21.  300 04 ERM\_Questionnaire\_2007
22.  300 05 ILFC Q4'07 FSDC Package
23.  300 06 ILFC 2008 Budget
24.  300 07 Prior Exam Follow-up
25.  300RP Management Prg (risk-focused)
26.  355 01a 2008 ILFC - Business Risk Profile Tool FINAL.doc

















































































































27. 355 01b 2008 ILFC Risk Assessment Conclusions FINAL
  28. 355 02 IC\_Entity\_Body\_2007
  29. 355 03 ILFC LOB Presentation
  30. 355 04 2008 ILFC Audit Plan (as of 2.4.08)
  31. 355 05a ILFC - Credit
  32. 355 05b ILFC - CSFTs - Q4 2006 - Q1 2007
  33. 355 05c ILFC - Debt Review
  34. 355 05d ILFC - Engine Concessions
  35. 355 05e ILFC - FAS 133 Q2 2007
  36. 355 05f ILFC - FAS 133 Q3 2007
  37. 355 05g ILFC - FAS 144 Impairment Review
  38. 355 05h ILFC - Lease Revenue
  39. 355 05i ILFC - NR and LOC
  40. 355 05j ILFC - PeopleSoft Application and Spreadsheet Control
  41. 355 05k ILFC - Q1 2007 SI Reporting
  42. 355 05l ILFC - Q3 2006 Complex Structured Finance Transactions
  43. 355 05m ILFC - Q3 2006 SI Reporting
  44. 355 05n ILFC - SAB 99 Q1 2007
  45. 355 05o ILFC - SAB 99 Q2 2007
  46. 355 05p ILFC - SAB 99 Q3 2007
  47. 355 05q ILFC - T & E
  48. 355P Internal Audit Prg
  49. 8F7B2AF7-31F0-4B69-B284-FE9F6808FFFF
  50. README
  59. H2984\_20080310\_93
    - a. Report of Examination
    - b. Work Papers
      1. Documents and Settings
        - a. orourketo
          1. Desktop
            - a. 2008 Sup Letter
              1. Cole Letter
              2. Final Sup Letter 2008
              3. Fw RECEIPT Letter to AIG BOD 3-7-08
              4. RE Bear Stearns and Lehman exposure
              5. SDD Briefing3
        2. 9D83CD7F-4F21-4D4B-9D30-23CDAE866D25
        3. README
60. H2984\_20080428\_93
  - a. Report of Examination
    1. ROEM\_H2984\_20080428\_93
61. H2984\_20080602\_93
  - a. Report of Examination
    1. ROEM\_H2984\_20080602\_93
  - b. Work Papers
    1. Documents and Settings
      - a. richardssa
        1. Desktop
          - a. AGF
            1. 2008 Exam
              - a. 060P AGFI 2008 Scope Memo Final
    2. Earnings
      - a. 400\_000 Earnings Prg (tailored)
      - b. 400\_001a Earnings Analysis Comment - AGFI
      - c. 400\_001b Indexed Version - Earnings Analysis Comment - AGFI
      - d. 400\_002a AGF -Information Request for Onsite Visit and Financial Review
      - e. 400\_002b Re OTS Recommendations - AGFI Onsite Financial Review
      - f. 400\_002c Response - 2008 Business Plan Recommendation--AIG08 0004213























































- g.  400\_003 Q&A's Meetings with AGFI Senior Management
  - h.  400\_004 Draft June 2008 10-Q - AGFI
  - i.  400\_005 December 07 10k- AGFI
  - j.  400\_006 Examiner Workbook - Analysis of AGFI Earnings and Trends
  - k.  400\_007 AGFI Operating Segment Trends 2007 -2008
  - l.  400\_008a 1Q 2008 Actual vs. Plan P&L
  - m.  400\_008b 2Q 2008 Actual vs. Plan P&L
  - n.  400\_008c AIG Updated June 30 2008 monthly Forecast -2008 Business Plan
  - o.  400\_009a WFI Balance Sheet Detail - June - Actual 2008
  - p.  400\_009b WFI Comp Balance Sheet Detail - December - Actual 2007
  - q.  400\_009c WFI Comp Statements of Income - Qtr2 - Actual 2008
  - r.  400\_009d WFI Comp Statements of Income - December - Actual 2007
  - s.  400\_010a MEQ Balance Sheet Detail - June - Actual 2008
  - t.  400\_010b MEQ Comp Balance Sheet - December - Actual 2007
  - u.  400\_010c MEQ Comp Statements of Income - Qtr2 - Actual 2008
  - v.  400\_010d MEQ Comp Statements of Income - December - Actual 2007
  - w.  400\_011a OFML Balance Sheet Detail - June - Actual 2008
  - x.  400\_011b OFML Comp Balance Sheet Detail - December - Actual 2007
  - y.  400\_011c OFML Comp Statements of Income - Qtr2 - Actual 2008
  - z.  400\_011d OFML Comp Statements of Income - December - Actual 2007
  - aa.  400\_012a Merit Life Balance Sheet Detail - June - Actual 2008
  - ab.  400\_012b Merit Life Comp Balance Sheet Detail - December - Actual 2007
  - ac.  400\_012c Merit Life Comp Statements of Income - Qtr2 - Actual 2008
  - ad.  400\_012d Merit Life Comp Statements of Income - December - Actual 2007
  - ae.  400\_013a Yosemite Balance Sheet Detail - June - Actual 2008
  - af.  400\_013b Yosemite Comp Balance Sheet Detail - December - Actual 2007
  - ag.  400\_013c Yosemite Comp Statements of Income - Qtr2 - Actual 2008
  - ah.  400\_013d Yosemite Comp Statements of Income - December - Actual 2007
  - ai.  400\_014 Oceans Income Statement - 2008 Qtr2 Actual to Plan
3.  Liquidity
- a.  500\_000 Liquidity Prg (tailored)
  - b.  500\_001a Liquidity & Asset Liability Management Analysis and Conclusions
  - c.  500\_001b - Indexed Version- Liquidity & Asset Liability Management Analysis and Conclusions
  - d.  500\_002 Moody's Liquidity -Global Credit Research
  - e.  500\_003a Review of Asset Liability Mgmt Policy
  - f.  500\_003b Asset-Liability Mgmt Policy
  - g.  500\_004 - Internal Liquidity Monitoring
  - h.  500\_005a Summary of Short-term debt
  - i.  500\_005b Long Term Debt Outstandings
  - j.  500\_006 IRR Monitoring latest- Asset-Liability Committee Meeting 7-9-08
  - k.  500\_007 Summary of Investment Securities 6-30-08
  - l.  500\_008 Review of Asset Liability Committee Minutes
  - m.  500\_009 #080708 Reply to OTS ALM Recommendation
  - n.  500\_010 AGFC 2nd Qtr Draft 10-Q
4.  Sensitivity
- a.  600\_000 Sensitivity to Mrkt Risk Prg (tailored)
- 5.  1000 01
  - 6.  1000 02
  - 7.  1000 03
  - 8.  1000 04
  - 9.  1000 05A State exam reviews
  - 10.  1000 05B MorEquity exam response
  - 11.  1000 06A Open complaints 2008
  - 12.  1000 06B Update on selected complaints
  - 13.  1000 07 Meeting on Plan of Remediation


























































14.  1000 08A Follow-up SAR Reporting for POR Loans
15.  1000 08B POR - SAR Reporting Program and Porcedures
16.  1000 08C SAR Reporting Status Report April 2008
17.  1000TP Compliance Prg (tailored)
18.  217 01 AGFI RE Portfolio Vintage
19.  217 02 Credit Strategy Comm Rev
20.  217 03 AGFI Monthly Loan Volume
21.  217 04 Recommendation Subprime and Hybrid ARM loan disclosure
22.  217 05A Examiners Review of Equity One Due Diligence
23.  217 05B Equity One DD Mgt
24.  217 05C Equitiy One DD Mgt
25.  217 05D Equity One DD Mgt
26.  217 05E Equity One DD Mgt
27.  217 05F Equity One DD Mgt
28.  217 05G Equity One DD Mgt
29.  217BP Subprime Lend Prg
30.  300 01 AGFI Meeting Summary July 30
31.  300 02 Meeting Recap -- PWC Meeting on AGFI
32.  300 02A AGFI Board Minutes Highlights
33.  300 02B CRM Meeting 7-08-08
34.  300 02C WFI Board Minutes
35.  300 03 AIG CRC Analysis
36.  300 04A Loss Mitigation Policies
37.  300 04B Loss Mitigation Numbers thru 063008
38.  300 05 Meeting on Plan of Remediation
39.  300 06 AGFI 2008 Business Review
40.  300 07A AGF Meeting Summary 8-13
41.  300 07B AGF Meeting Summary 8-15
42.  300 07C Meeting Recap -- WFI restructuring announcement
43.  300RP Management Prg (risk-focused)
44.  355 01
45.  355 02
46.  355 03
47.  355 04
48.  355 05
49.  355 06 Defalcation Rpt
50.  355P Internal Audit Prg
51.  C8852EED-484D-4241-9E04-7CB3B38858B6
52.  README
62.  H2984\_20080609\_93
  - a.  Report of Examination
    1.  ROEM\_H2984\_20080609\_93
  - b.  Workpapers
    1.  98695BF9-44A9-4259-9E76-06DF56ECAC9C
63.  H2984\_20080902\_93
  - a.  Report of Examination
    1.  ROEM\_H2984\_20080902\_93
  - b.  Workpapers
    1.  5F9777CC-0F01-43C2-A821-F9B95EEF9B0D
64.  H3048\_20000118\_A
  - a.  Report of Examination
    1.  ROEF\_H3048\_20000118\_A
65.  H3048\_20010220\_A
  - a.  Report of Examination
    1.  ROEF\_H3048\_20010220\_A
66.  Supporting Documentation
  - a.  14939\_Examination History Report

- b.  Folder Structure of AIG
- c.  H2984\_Examination History Report
- d.  H3048\_Examination History Report
- e.  Historical Examination Type Listing
- f.  OTS Examination Type Definitions - Current
- p.  H4361\_20070402\_90
  - 1.  Report of Examination
    - a.  ROEF\_H4361\_20070402\_90
  - 2.  Work Papers
    - a.  1Q08 PERK 001 Holding Company PERK
    - b.  4Q 2007 CFC Economic Capital PERK Request
    - c.  4Q 2007 Economic Capital PERK Matrix
    - d.  710-01 Consideration of Enforcement Action
    - e.  710P-00 CFC Holding Company Admin Program 710 Index
    - f.  710P-00 Networking Arrangements Review Index
    - g.  710P-01 Holding Company Administration Program
    - h.  710P-01 Review of CFC Networking Arrangement with CWIS
    - i.  710P-02 1Q2008 Holding Company & Related Orgs Scope
    - j.  710P-02 CWIS Subordinate Organization Questionnaire & Attachments
    - k.  710P-03 CFC Exam Conclusions Summary
    - l.  710P-03 CWIS Business Overview
    - m.  710P-04 CFC 11-27-07 U Rating Letter
    - n.  710P-04 Internal Audit Engagement Memorandum
    - o.  710P-04a CFC Troubled Condition Letter 2-08-2008
    - p.  710P-05 BofA & CFC Merger Agreement
    - q.  710P-05 CWIS Internal Audit Report 12-15-2006
    - r.  710P-05a Internal Audit Matrix Summary
    - s.  710P-06 BOD Meeting 5-14-08 Slide Presentation
    - t.  710Q- 01 CFC Holding Company Risk Class Checklist
    - u.  720P-00 Insurance Program 720P Index
    - v.  720P-01 Insurance Program 720P
    - w.  720P-02 Balboa Insurance Group Organizational Structure
    - x.  720P-03 CDI Exam of Balboa Insurance Co as of 12-31-2005
    - y.  720P-03a Response to BIC ROE dated 8-20-2007
    - z.  720P-04 CDI Exam of Balboa Life Insurance Co as of 12-31-2005
    - aa.  720P-04a Response to BLIC ROE dated 8-20-2007
    - ab.  720P-05 CDI Exam of Meritplan Insurance Co as of 12-31-2005
    - ac.  720P-06 CDI Exam of Balboa Insurance Group as of 12-31-2005
    - ad.  720P-07 Summary of Internal Audits
    - ae.  730-00 CFC Capital Rating Review (9-28-07) Index
    - af.  730-00 Relationship Rating Review (9-28-07) Index
    - ag.  730-01 CFC Capital Rating Summary
    - ah.  730-01 CFC Relationship Rating Summary
    - ai.  730-02 CFC Capital Ratio Calculations
    - aj.  730E(L)-00 Cross Reference to CWB Liquidity Reviews Index 730E(L)
    - ak.  730E-00 CFC Earnings Program 730E Index
    - al.  730E-01 HC Earnings Program 730E
    - am.  730E-02 Draft Earnings Comment for ROE
    - an.  730E-03 CFC Management's Going Concern Eval 2-13-08 for KPMG
    - ao.  730E-04 CFC Portfolio Performance Matrix February 2008
    - ap.  730E-05 Key Debt Ratings for CFC as of 01-18-2008
    - aq.  730E-06 CFC 4th Qtr & Year-end Results Press Release
    - ar.  730E-06a CFC 3Q2007 Earnings Press Release
    - as.  730E-07 CFC 2008 Strategic Plan & Forecast Summary (February 2008)
    - at.  730E-08 CFC Cashflow Model as of 1-25-08 FINAL
    - au.  730E-09 CFC Business Segment Consolidating Statements QE 12-31-07

























































- av.  730E-10 CFC Business Segment Stmt of Income (2000-2007 Unaudited)
- aw.  730E-11 CFC & Subs Rolling 13-month Statistical Data
- ax.  730E-12 Overview of CFC 5 Business Segments
- ay.  730E-13 Rapid Am Impact of HELOC ABS on CFC Earnings Summary
- az.  730E-14 CHL & CCM Cash Flow Forecast Assumptions
- ba.  730E-15 CFC Liquidity Management Plan
- bb.  730EC-00 CFC Economic Capital Review (4Q2007) Index
- bc.  730EC-01 Draft CFC Economic Capital ROE Comment
- bd.  730EC-02a OTS Economic Capital Review Procedures
- be.  730EC-02b Questions for Management - EC Review
- bf.  730EC-02c EC Risk Review Follow-up
- bg.  730EC-02d OTS Examiner Findings Update to FRBSF 2007 Exam
- bh.  730EC-02e EC Overview Summary from Email 11-14-2007
- bi.  730EC-03 CFC EC Detailed Scope Memorandum
- bj.  730EC-04 Capital Scope-Economic Capital Review Q42007
- bk.  730EC-05 FRBSF CFC Scope Memorandum 01-15-2007
- bl.  730EC-06a FRBSF EC Review Findings Letter 03-05-2007
- bm.  730EC-06b FRBSF Summary Matrix-2007 Review of CFC Economic Capital
- bn.  730EC-06c FRBSF Detail List of January 2007 EC Exam Findings
- bo.  730EC-07 CFC EC Presentation to BOD 11-29-2006
- bp.  730EC-08 CFC Q1 2007 Economic Capital Update (May 2007)
- bq.  730EC-09 CFC Q2 2007 Economic Capital Update (August 2007)
- br.  730EC-10 CFC EC Governance Review Summary
- bs.  730EC-11 CFC EC Governance Review Recommendations
- bt.  730EC-12a CFC Economic Capital Policy
- bu.  730EC-12b CFC Draft Economic Capital Procedures
- bv.  730EC-13 CFC Snapshot Metrics Q12007 vs Q42006
- bw.  730EC-14 CFC Peer Group Selected Financial Ratios 3-31-2007
- bx.  730EC-15 Comparison EC Benchmarking
- by.  730EC-16 CFC BETA Analysis 06-19-2007
- bz.  730EC-17 Economic Capital Framework Presentation 09-27-2007
- ca.  730EC-18 Findings Memo #12 (CFC Capital - Economic Capital) FINAL
- cb.  730EC-19 Findings Memo #13 (Mgmt - Model Validation) FINAL
- cc.  730EC-20 Findings Memo #14 (Broker-Dealer Risk Management) FINAL
- cd.  730EC-21 Internal Audit of CFC Economic Capital 6-22-07
- ce.  730EC-22 EC Review Matrix - Credit Risk
- cf.  730EC-23 Banking Book and Trading Book Market Risk Models Summary
- cg.  730EC-24 FRBSF Market Risk Review Summary 02-14-2007
- ch.  730EC-25 CFC Model Risk Committee Charter
- ci.  730EC-26 CFC Model Validation Org Chart
- cj.  730EC-27 Model Risk Committee Minutes 06-08-2007
- ck.  730EC-28 Quantitative Modeling and Model Validation Policy
- cl.  730EC-29 RORAC CFC EC Calculations
- cm.  730EC-30 LEVELS EC Model Validation Report 06-08-2007
- cn.  730EC-31 CCM Risk Management Summary of Concerns
- co.  730EC-32 CCM VaR Backtesting Exceptions
- cp.  730EC-33 CFC EC Operational Risk Memorandum
- cq.  730PC-00 CFC Holding Company Capital Program 730PC Index
- cr.  730PC-01 CFC HC Capital Program 730PC
- cs.  730PC-02 Draft Capital Comment for ROE
- ct.  730PC-03 CFC Form 8-K March 31, 2008
- cu.  730PC-04 Cover Letter for 2008 CFC Strategic Plan & Forecast
- cv.  730PC-05 CFC 2008 Strategic Plan & Forecast Summary
- cw.  730PC-05a CFC Forecast Summary Matrix
- cx.  730PC-06 CFC Quarterly Legal Entity Summary






























cy.  730PC-06a CFC Stress Case Legal Entity Summary  
 cz.  730PC-07 BAC Form S-4 February 13, 2008  
 da.  730PC-08 CFC Form 10-K as of 12-31-2007  
 db.  730PC-09 CFC Consolidated Leverage Capital Ratio 12-31-07  
 dc.  730PC-10 CFC Consolidated Intangible Assets 12-31-07  
 dd.  730PE-00 Earnings Rating Review (9-28-07) Index  
 de.  730PE-01 CFC Earnings Rating Summary  
 df.  730PE-02 CFC Consolidating Balance Sheet as of 7-31-07 YTD (Final-VO 8-23-07)  
 dg.  730PE-03 CFC Consolidating Earnings Statement as of 7-31-07 ME (Final-VO 8)  
 dh.  730PE-04 CFC Consolidating Balance Sheet as of 8-31-07 YTD  
 di.  730PE-06 CFC Daily Cash Flow Projection Report - CFC without Insurance and Banking  
 dj.  730PE-07 CFC Cash Flow Projection by Legal Entity  
 dk.  730PE-08 CFC Monthly Balance Sheet and Income Stmt by Legal Entity  
 dl.  730PE-09 Daily Cash Flow Report for CFC (8-24-07)  
 dm.  730PE-10 Treasury Enterprise Cash Flow Summary 082807  
 dn.  730PE-11 Treasury Enterprise Cash Flow Summary 092007  
 do.  730PO-00 CFC Organizational Structure Program 730PO Index  
 dp.  730PO-00 Organizational Structure Rating Review (9-28-07) Index  
 dq.  730PO-01 CFC Organizational Structure Program 730PO  
 dr.  730PO-01 CFC Organizational Structure Rating Summary  
 ds.  730PO-02 Draft Organizational Structure Comment for ROE  
 dt.  730PO-03 Review Summary  
 du.  730PO-04 CFC Org Chart (revised as of 03-31-08)  
 dv.  730PO-04a CFC Org Chart as of 12-31-07  
 dw.  730PO-05 Summary of CFC Stock Ownership (ROE Page)  
 dx.  730PO-07 CFC Subsidiary Structure Summary  
 dy.  730PO-08 CFC Business Segment vs Legal Structure  
 dz.  730PO-09 Summary of Internal Audits for CFC Segments  
 ea.  730PO-10 SEC Exam Letter (9-20-2007) for CSC  
 eb.  730PO-11 FINRA Letter of Caution (12-21-07) for CSC  
 ec.  730PO-12 CDI Exam Letter of Balboa Insurance Group as of 12-31-2005  
 ed.  730PO-13 EIC meeting with CDI Examiners (5-21-2007)  
 ee.  730PR-00 CFC Board Governance Structure and Minutes Review 2Q2007 Index  
 ef.  730PR-00 CFC Risk Management Program 730PR Index  
 eg.  730PR-01 Enterprise-wide Board Governance & Committee Framework Summary  
 eh.  730PR-01 HC Relationship Program 730PR for Risk Management Review  
 ei.  730PR-02 CFC CORAD ERA Framework (February 2007)  
 ej.  730PR-02 Draft Risk Management Comment for ROE  
 ek.  730PR-03 ERA Canned Presentation to 4-03-2007  
 el.  730PR-03 Summary of Risk Management Review  
 em.  730PR-04 CFC Board Attendance Chart (1-29-07 to 5-15-07)  
 en.  730PR-04 CFC Master Compensation Committee 11-27-2007 Minutes  
 eo.  730PR-05 CFC Board Minutes Recap (1-29-07 to 4-18-07)  
 ep.  730PR-05 CORAD ERA Framework  
 eq.  730PR-06 ERA Governance Standards  
 er.  730PR-07 ERA Overview Presentation to OTS  
 es.  730PR-08 CRO Lederman Introduction Presentation 01-29-2008  
 et.  730PR-09 Sample ERAm for 4-16-2007  
 eu.  730PR-10 Top 10 Litigation Law Firm Billings  
 ev.  730PR-11 CFC Pending Class Action Lawsuit Matrix  
 ew.  730PR-11a Pending Litigation Information Request  
 ex.  730PR-12 CFC & CWB Pending Litigation Discussion  
 ey.  730PR-13 Email regarding Litigation Review meeting  
 ez.  730PR-16 CFC General Counsel Letter to KPMG reg Litigation Claims  
 fa.  730PR-17 Bankruptcy Court Asked to Sanction Countrywide - New York Times

- fb.  730PR-19 FBI probe eyes Countrywide's loan origination practices - MarketWatch
- fc.  730PR-20 CFC Board of Directors Compensation
- fd.  730R(L)-00 Cross Reference to CWB Liquidity Reviews Index 730R(L)
- fe.  730R-00 HELOC Rapid Am Event (1Q2008 Review) Index
- ff.  730R-01 Scope for Review of Securitization Activities
- fg.  730R-01a Summary of Findings HELOC Asset Securitization Activities (draft)
- fh.  730R-01b HELOC ABS - Recourse of Retained Residual Interests Summary (draft)
- fi.  730R-02 Findings Memo #29 (CFC Risk Mgmt - HELOC Securitization Oversight) FINAL
- fj.  730R-03 CFC Internal Audit Report on Rapid Am on HELOC Securitizations
- fk.  730R-04a IA Review of Treasury Cash Mgmt - Liquidity & Funding 8-08-2003
- fl.  730R-04b IA Review of Treasury Cash Mgmt - Liquidity, Funding & Cash Mgmt 1-26-2005
- fm.  730R-04c IA Review of Treasury - Liquidity, Funding & Cash Mgmt 12-19-2005
- fn.  730R-04d IA Review of Enterprise Wide Asset Securitization 12-13-2007
- fo.  730R-04e IA Reivew of Investor Accounting Department 2005
- fp.  730R-05 Email re CFC Internal Memos on HELOC event
- fq.  730R-06 CFC HELOC Rapid Am Events Memo Q4 2007
- fr.  730R-07 CFC Residual Interests Valuation Memo Q4 2007
- fs.  730R-08 Moody's Rating Methodology- HELOC Securitizations
- ft.  730R-09 Moody's Comments on CFC's Rapid Am on HELOCs
- fu.  730R-10 Email re CWB using CHL's shelf
- fv.  730R-13 Email re Estimated HELOC Early Amort Draws
- fw.  730R-14 Email re HELOCs Early Amortization and Insurance Coverage
- fx.  730R-15 Email String of Rapid Am Description from the BAC merger documents
- fy.  730R-16 Email re HELOC Line Freezes
- fz.  730R-17 Email re Rapid Am Triggers
- ga.  CFC 730PR-00 CFC TWA Review Index
- gb.  CFC 730PR-01 HC Relationship Program 730
- gc.  CFC 730PR-02 TWA Scope Memo 2Q2007
- gd.  CFC 730PR-03 TWA Overview Memo
- ge.  CFC 730PR-04 Management Response to Examiner's Findings Memo #2 re TWA Dual Officers Employee Proce
- gf.  CFC 730PR-05 Internal Audit TWA Dec06
- gg.  CFC 730PR-06 TWA Audit Engagement Responses
- gh.  CFC 730PR-07 List of Affiliates
- gi.  CFC 730PR-07b Reg O List as of 6-30-07\_OTs
- gj.  CFC 730PR-08 Affiliate Transactions Policy 6-6-07
- gk.  CFC 730PR-09 Affiliate Transactions Procedures
- gl.  CFC 730PR-10 Minutes of TWA Working Group
- gm.  CFC 730PR-11 May 2007 TWA Executive Summary Narrative CWB and Subsidiar
- gn.  CFC 730PR-12 May 2007 TWA Matrix Report for CWB and Subsidiaries
- go.  CFC 730PR-13 Review Summary of Tax Sharing Agreement
- gp.  CFC 730PR-14 CFC Tax Sharing Agreement
- gq.  CFC Board Audit&Ethics Committee (3-12-2007)
- gr.  CFC Board Finance Committee (3-12-2007)
- gs.  CFC HC Signature Page Matter
- gt.  CFC Holding Company PERK Certification
- gu.  COMPLIANCE CFC
- gv.  ROE Response Cover Letter
- gw.  ROE-CFC Signature Pages and Board Resolution del 1 1 09
- q.  H4361\_20070402\_93
  - 1.  Report of Examination
    - a.  ROEM\_H4361\_20070402\_93
- r.  H4361\_20071120\_93
  - 1.  Report of Examination
    - a.  ROEM\_H4361\_20071120\_93
- s.  H4361\_20071211\_93

1.  Report of Examination
  - a.  ROEM\_H4361\_20071211\_93
- t.  H4361\_20080401\_90
  1.  Report of Examination
    - a.  ROEF\_H4361\_20080401\_90
  2.  Work Papers
    - a.  080-0 -- CFC Enforcement Action Summary
    - b.  730PR-00 Transactions with Affiliates Program 730 Index (2Q 2008 Review)
    - c.  CFC 730PR\_01 Q2 2008 TWA Summary Memo
- u.  Supporting Documentation
  1.  Folder Structure of Countrywide
  2.  Historical Examination Type Listing
  3.  OTS Examination Type Definitions - Current
57.  Pershing Square
  - a.  Email from William Ackman to NYAG 2-7-2005
    1.  Bloomberg Article 1\_18\_2005
    2.  Bloomberg Article 1\_5\_2005
    3.  Email from William Ackman to the New York Attorney General 2
  - b.  Email from William Ackman to NYAG, DOJ, SEC, NYSID
    1.  Email from William Ackman to NYAG, DoJ, SEC and the NYSID 12
    2.  Fitch Report on MBIA Insurance Corp. 11\_28\_2005
  - c.  Exhibits to Moody's Presentation 6-16-2005
    1.  Presentation Exhibits to Moodys 6\_16\_2005
    2.  Tab 1
    3.  Tab 10
    4.  Tab 11
    5.  Tab 12
    6.  Tab 13
    7.  Tab 14
    8.  Tab 15a
    9.  Tab 15b
    10.  Tab 16
    11.  Tab 17\_Redacted
    12.  Tab 18
    13.  Tab 19
    14.  Tab 2
    15.  Tab 20
    16.  Tab 21a
    17.  Tab 21b
    18.  Tab 22
    19.  Tab 23
    20.  Tab 24
    21.  Tab 25
    22.  Tab 26
    23.  Tab 27
    24.  Tab 28
    25.  Tab 29
    26.  Tab 3
    27.  Tab 30
    28.  Tab 31
    29.  Tab 32
    30.  Tab 33
    31.  Tab 34
    32.  Tab 35
    33.  Tab 36
    34.  Tab 37
    35.  Tab 38



















































































































- 36.  Tab 4
- 37.  Tab 5
- 38.  Tab 6
- 39.  Tab 7
- 40.  Tab 8
- 41.  Tab 9
- d.  Gotham Partners and Kroll Zolfo Cooper Presentatio
  - 1.  Gotham Partners and Kroll Zolfo Cooper Presentation to the S
  - 2.  Page 1
  - 3.  Page 10
  - 4.  Page 11
  - 5.  Page 12
  - 6.  Page 13
  - 7.  Page 14
  - 8.  Page 15
  - 9.  Page 16
  - 10.  Page 17
  - 11.  Page 18
  - 12.  Page 19
  - 13.  Page 2
  - 14.  Page 20
  - 15.  Page 21
  - 16.  Page 22
  - 17.  Page 23
  - 18.  Page 24
  - 19.  Page 25
  - 20.  Page 26
  - 21.  Page 28
  - 22.  Page 29
  - 23.  Page 3
  - 24.  Page 30
  - 25.  Page 31
  - 26.  Page 32
  - 27.  Page 33
  - 28.  Page 34
  - 29.  Page 35
  - 30.  Page 36
  - 31.  Page 37
  - 32.  Page 38
  - 33.  Page 39
  - 34.  Page 4
  - 35.  Page 40
  - 36.  Page 41
  - 37.  Page 5
  - 38.  Page 6
  - 39.  Page 7
  - 40.  Page 8
  - 41.  Page 9
- e.  Letter from Covington and Burling to AG 2-27-2003
  - 1.  Letter from Covington & Burling to the Attorney General 2\_2
  - 2.  Tab A
  - 3.  Tab A1
  - 4.  Tab A2
  - 5.  Tab A3
  - 6.  Tab A4
  - 7.  Tab A5























































8.  Tab A6
9.  Tab A7
10.  Tab B
11.  Tab B1
12.  Tab B2
13.  Tab B3
14.  Tab B4
15.  Tab B5
16.  Tab B6
17.  Tab B7
18.  Tab B8
19.  Tab B9
20.  Tab C
21.  Tab C1
22.  Tab C2
23.  Tab C3
24.  Tab C4
25.  Tab C5
26.  Tab C6
27.  Tab D
28.  Tab D1
29.  Tab D3
30.  Tab D4
31.  Tab E
32.  Tab E2
33.  Tab E3
34.  Tab E4
35.  Tab F
36.  Tab F1










































f.  Letter to John Siffert, Esq 3-2-2007

1.  Letter to John Siffert, Esq 3\_2\_2007
2.  MBIA Supporting Exhibits
3.  Tab 1
4.  Tab 10
5.  Tab 10a
6.  Tab 11
7.  Tab 11a
8.  Tab 12
9.  Tab 12a
10.  Tab 13
11.  Tab 13a
12.  Tab 14
13.  Tab 14a
14.  Tab 15
15.  Tab 15a
16.  Tab 16
17.  Tab 16a
18.  Tab 17
19.  Tab 17a
20.  Tab 18
21.  Tab 18a
22.  Tab 19
23.  Tab 1a
24.  Tab 2
25.  Tab 20














- 26.  Tab 2a
- 27.  Tab 2b
- 28.  Tab 2c
- 29.  Tab 2d
- 30.  Tab 3
- 31.  Tab 3a
- 32.  Tab 4
- 33.  Tab 4a
- 34.  Tab 5
- 35.  Tab 5a
- 36.  Tab 6
- 37.  Tab 6a
- 38.  Tab 7
- 39.  Tab 7a
- 40.  Tab 8
- 41.  Tab 8a
- 42.  Tab 9
- g.  Monoline
  - 1.  Ackman - Attorney General State of New York
  - 2.  Ackman - Attorney General State of New York June 24, 2003
  - 3.  Ackman - Attorney General State of New York June 4, 2003
  - 4.  Ackman - Attorney General State of New York March 4, 2003
  - 5.  Ackman - Attorney General State of New York May 13, 2003
  - 6.  Ackman - Attorney General State of New York May 28, 2003
  - 7.  Ackman - Securities and Exchange Commission Investigation
  - 8.  Ackman - Securities and Exchange Commission Investigation - June 18, 2003
  - 9.  Ackman - Securities and Exchange Commission Investigation Juner 2, 2003
  - 10.  ba\_moodysboard\_dec12\_05
  - 11.  buffett\_qa\_may2003
  - 12.  Email ba\_budnick\_may2003
  - 13.  Email ba\_sec\_dec\_07
  - 14.  how\_to\_save\_the\_bond\_insurers\_11.
  - 15.  how\_to\_save\_the\_policyholders
  - 16.  is\_mbia\_triple\_a\_12.9.02
  - 17.  peretz\_donaldson\_july12\_2004
  - 18.  who\_s\_holding\_the\_bag\_-\_may\_2007
- h.  Draft Letter to Gotham Credit Partners, L.P. re MBIA 1\_23\_20
- i.  Email from Chris Mahoney to William Ackman 8\_9\_2005
- j.  Email from Forbes to William Ackman 6\_24\_2003
- k.  Email from Moody's to William Ackman 1\_25\_2003
- l.  Email from Moody's to William Ackman 10\_21\_2005
- m.  Email from Moody's to William Ackman 2\_24\_2006
- n.  Email from Moody's to William Ackman 3\_2\_2006
- o.  Email from Moody's to William Ackman 3\_6\_2006
- p.  Email from Moody's to William Ackman 6\_20\_2005
- q.  Email from PWC to William Ackman 2\_23\_2003
- r.  Email from PWC to William Ackman 2\_24\_2003
- s.  Email from Ron MacDonald to Gotham Partners 12\_15\_2002
- t.  Email from Ron MacDonald to William Ackman re FCS Advisors O
- u.  Email from SEC to William Ackman 2\_7\_2004
- v.  Email from William Ackman to Bethany McLean 2\_26\_2005
- w.  Email from William Ackman to Christine Richard re AG SEC Mem
- x.  Email from William Ackman to FFHSJ 2\_19\_2003
- y.  Email from William Ackman to Fitch Ratings 4\_20\_2005
- z.  Email from William Ackman to Gotham Credit Partners 9\_13\_200
























































- aa.  Email from William Ackman to John Rutherford 12\_11\_2002
  - ab.  Email from William Ackman to Klafter and Marcu 2\_19\_2003
  - ac.  Email from William Ackman to Moody's 1\_26\_2003
  - ad.  Email from William Ackman to Moodys 6\_19\_2005
  - ae.  Email from William Ackman to Moodys 9\_28\_2005\_Redacted
  - af.  Email from William Ackman to PWC 2\_24\_2003
  - ag.  Email from William Ackman to PWC 2\_6\_2003
  - ah.  Email from William Ackman to SEC 11\_19\_2004
  - ai.  Email from William Ackman to SEC 2\_11\_2004
  - aj.  Email from William Ackman to SEC 2\_27\_2005
  - ak.  Email from William Ackman to SEC 4\_21\_2004
    - al.  Email from William Ackman to the NYAG 3\_16\_2005
  - am.  Email from William Ackman to the NYAG, DoJ, SEC & NYSID 8\_18
  - an.  Excerpt from 2003 Berkshire Hathaway Annual Meeting
  - ao.  Letter and Memorandum to Honorable Barney Frank 10\_26\_2007
  - ap.  Letter from Marty Peretz to William Ackman and SEC 7\_12\_2004
  - aq.  Letter from Moody's to William Ackman 1\_18\_2006
  - ar.  Letter from William Ackman to NYAG, SEC, AG, NYSID 8\_18\_2005
  - as.  Letter from William Ackman to SEC 1\_9\_2004
  - at.  Letter from William Ackman to SEC Re MBIA's Accounting 1\_9\_2
  - au.  Letter from William Ackman to the NYAG, SEC, and NYSID 8\_18\_
  - av.  Letter to MBIA Director re MBIAs False and Misleading Financ
  - aw.  MBIA Adjusted Balance Sheet 12\_31\_2003
  - ax.  MBIA Conference Call 2\_4\_2003
  - ay.  MBIA Resources
  - az.  Memorandum from William Ackman to the NYAG and the SEC 4\_10\_
  - ba.  Moody's Special Comment MBIA 7\_2000
- 58.  Pierre Gourinchas
    - a.  glob\_imbalances
    - b.  ITA\_Table
    - c.  Treasury\_survey\_2010
  - 59.  PIMCO
    - a.  2010-08-05 Production- Disk # 521
      - 1.  PIMCO-FCIC-000001
    - b.  2010-08-17 Production- Disk # 556
      - 1.  PIMCO-FCIC-000003
  - 60.  PMI
    - a.  2010-10-04 Production
      - 1.  10-4-10 Ltr re PMI Mortgage Insurance Co
  - 61.  Princeton Advisory Group
    - a.  2010-10-27 Production- Disk # 727
      - 1.  2004-03-15 Alexander Park
        - a.  PAG\_FCIC\_000001
        - b.  PAG\_FCIC\_000211
      - 2.  2004-12-15 Witherspoon
        - a.  PAG\_FCIC\_000284
        - b.  PAG\_FCIC\_000610
        - c.  PAG\_FCIC\_000703
      - 3.  2005-03-29 Palmer Square
        - a.  PAG\_FCIC\_000720
        - b.  PAG\_FCIC\_001043
      - 4.  2005-10-25 Palmer Square 2
        - a.  PAG\_FCIC\_001087
        - b.  PAG\_FCIC\_001313
      - 5.  2006-05-18 Ivy Lane
        - a.  PAG\_FCIC\_001352
        - b.  PAG\_FCIC\_001525
























































- c.  PAG\_FCIC\_001582
  - 6.  2006-09-20 Springdale
    - a.  PAG\_FCIC\_001654
    - b.  PAG\_FCIC\_001697
    - c.  PAG\_FCIC\_001768
  - 7.  2006-12-05 Nassau
    - a.  PAG\_FCIC\_001841
    - b.  PAG\_FCIC\_002043
  - 8.  2006-12-22 Silver Elms
    - a.  PAG\_FCIC\_002105
    - b.  PAG\_FCIC\_002311
  - 9.  2007-01-09 Stockton
    - a.  PAG\_FCIC\_002362
    - b.  PAG\_FCIC\_002653
    - c.  PAG\_FCIC\_002711
  - 10.  2007-04-25 Silver Elms II
    - a.  PAG\_FCIC\_002769
    - b.  PAG\_FCIC\_002958
  - 11.  2007-08-28 Palmer Square 3
    - a.  PAG\_FCIC\_003011
    - b.  PAG\_FCIC\_003254
- 62.  Putnam
  - a.  2010-06-15 Production
    - 1.  10001-20000
      - a.  PAC\_007\_00010015
      - b.  PAC\_007\_00010299
      - c.  PAC\_007\_00010587
      - d.  PAC\_007\_00010598
      - e.  PAC\_007\_00010898
      - f.  PAC\_007\_00011198
      - g.  PAC\_007\_00011486
      - h.  PAC\_007\_00011777
      - i.  PAC\_007\_00011816
      - j.  PAC\_007\_00012107
      - k.  PAC\_007\_00012398
      - l.  PAC\_007\_00012689
      - m.  PAC\_007\_00012696
      - n.  PAC\_007\_00012733
      - o.  PAC\_007\_00012735
      - p.  PAC\_007\_00012761
      - q.  PAC\_007\_00012769
      - r.  PAC\_007\_00012784
      - s.  PAC\_007\_00012788
      - t.  PAC\_007\_00012792
      - u.  PAC\_007\_00012794
      - v.  PAC\_007\_00012796
      - w.  PAC\_007\_00012807
      - x.  PAC\_007\_00012809
      - y.  PAC\_007\_00012812
      - z.  PAC\_007\_00012818
      - aa.  PAC\_007\_00012821
      - ab.  PAC\_007\_00012823
      - ac.  PAC\_007\_00012825
      - ad.  PAC\_007\_00012828
      - ae.  PAC\_007\_00012831
      - af.  PAC\_007\_00012834
      - ag.  PAC\_007\_00012838

- ah.  PAC\_007\_00012843
- ai.  PAC\_007\_00012848
- aj.  PAC\_007\_00012860
- ak.  PAC\_007\_00012864
- al.  PAC\_007\_00012868
- am.  PAC\_007\_00012870
- an.  PAC\_007\_00012879
- ao.  PAC\_007\_00012907
- ap.  PAC\_007\_00012911
- aq.  PAC\_007\_00012920
- ar.  PAC\_007\_00012931
- as.  PAC\_007\_00013006
- at.  PAC\_007\_00013082
- au.  PAC\_007\_00013108
- av.  PAC\_007\_00013148
- aw.  PAC\_007\_00013186
- ax.  PAC\_007\_00013249
- ay.  PAC\_007\_00013311
- az.  PAC\_007\_00013353
- ba.  PAC\_007\_00013636
- bb.  PAC\_007\_00013648
- bc.  PAC\_007\_00013928
- bd.  PAC\_007\_00014275
- be.  PAC\_007\_00014561
- bf.  PAC\_007\_00014841
- bg.  PAC\_007\_00015190
- bh.  PAC\_007\_00015539
- bi.  PAC\_007\_00015831
- bj.  PAC\_007\_00016124
- bk.  PAC\_007\_00016407
- bl.  PAC\_007\_00016753
- bm.  PAC\_007\_00017036
- bn.  PAC\_007\_00017387
- bo.  PAC\_007\_00017668
- bp.  PAC\_007\_00017949
- bq.  PAC\_007\_00018244
- br.  PAC\_007\_00018593
- bs.  PAC\_007\_00018888
- bt.  PAC\_007\_00019237
- bu.  PAC\_007\_00019520
- bv.  PAC\_007\_00019817
























































2.  1-10000
























































- a.  PAC\_007\_00000001
- b.  PAC\_007\_00000001-00000200
- c.  PAC\_007\_00000002
- d.  PAC\_007\_0000000201-0000000400
- e.  PAC\_007\_00000003
- f.  PAC\_007\_00000004
- g.  PAC\_007\_00000005
- h.  PAC\_007\_00000006
- i.  PAC\_007\_00000007
- j.  PAC\_007\_00000008
- k.  PAC\_007\_00000021
- l.  PAC\_007\_00000025
- m.  PAC\_007\_00000026
























































n.  PAC\_007\_00000037  
o.  PAC\_007\_00000039  
p.  PAC\_007\_00000089  
q.  PAC\_007\_00000122  
r.  PAC\_007\_00000147  
s.  PAC\_007\_00000171  
t.  PAC\_007\_00000196  
u.  PAC\_007\_00000221  
v.  PAC\_007\_00000226  
w.  PAC\_007\_00000230  
x.  PAC\_007\_00000231  
y.  PAC\_007\_00000232  
z.  PAC\_007\_00000233  
aa.  PAC\_007\_00000234  
ab.  PAC\_007\_00000235  
ac.  PAC\_007\_00000236  
ad.  PAC\_007\_00000237  
ae.  PAC\_007\_00000238  
af.  PAC\_007\_00000239  
ag.  PAC\_007\_00000241  
ah.  PAC\_007\_00000244  
ai.  PAC\_007\_00000303  
aj.  PAC\_007\_00000304  
ak.  PAC\_007\_00000305  
al.  PAC\_007\_00000309  
am.  PAC\_007\_00000386  
an.  PAC\_007\_00000387  
ao.  PAC\_007\_00000388  
ap.  PAC\_007\_00000390  
aq.  PAC\_007\_00000395  
ar.  PAC\_007\_00000469  
as.  PAC\_007\_00000470  
at.  PAC\_007\_00000471  
au.  PAC\_007\_00000472  
av.  PAC\_007\_00000473  
aw.  PAC\_007\_00000474  
ax.  PAC\_007\_00000476  
ay.  PAC\_007\_00000477  
az.  PAC\_007\_00000479  
ba.  PAC\_007\_00000480  
bb.  PAC\_007\_00000481  
bc.  PAC\_007\_00000482  
bd.  PAC\_007\_00000483  
be.  PAC\_007\_00000484  
bf.  PAC\_007\_00000487  
bg.  PAC\_007\_00000488  
bh.  PAC\_007\_00000490  
bi.  PAC\_007\_00000491  
bj.  PAC\_007\_00000492  
bk.  PAC\_007\_00000493  
bl.  PAC\_007\_00000496  
bm.  PAC\_007\_00000499  
bn.  PAC\_007\_00000500  
bo.  PAC\_007\_00000501  
bp.  PAC\_007\_00000504











































bq.  PAC\_007\_00000505  
br.  PAC\_007\_00000506  
bs.  PAC\_007\_00000507  
bt.  PAC\_007\_00000508  
bu.  PAC\_007\_00000510  
bv.  PAC\_007\_00000511  
bw.  PAC\_007\_00000513  
bx.  PAC\_007\_00000515  
by.  PAC\_007\_00000516  
bz.  PAC\_007\_00000517  
ca.  PAC\_007\_00000518  
cb.  PAC\_007\_00000520  
cc.  PAC\_007\_00000521  
cd.  PAC\_007\_00000523  
ce.  PAC\_007\_00000525  
cf.  PAC\_007\_00000526  
cg.  PAC\_007\_00000527  
ch.  PAC\_007\_00000528  
ci.  PAC\_007\_00000530  
cj.  PAC\_007\_00000532  
ck.  PAC\_007\_00000535  
cl.  PAC\_007\_00000537  
cm.  PAC\_007\_00000538  
cn.  PAC\_007\_00000540  
co.  PAC\_007\_00000541  
cp.  PAC\_007\_00000542  
cq.  PAC\_007\_00000543  
cr.  PAC\_007\_00000544  
cs.  PAC\_007\_00000547  
ct.  PAC\_007\_00000548  
cu.  PAC\_007\_00000550  
cv.  PAC\_007\_00000551  
cw.  PAC\_007\_00000552  
cx.  PAC\_007\_00000553  
cy.  PAC\_007\_00000556  
cz.  PAC\_007\_00000557  
da.  PAC\_007\_00000559  
db.  PAC\_007\_00000560  
dc.  PAC\_007\_00000561  
dd.  PAC\_007\_00000562  
de.  PAC\_007\_00000565  
df.  PAC\_007\_00000566  
dg.  PAC\_007\_00000569  
dh.  PAC\_007\_00000570  
di.  PAC\_007\_00000571  
dj.  PAC\_007\_00000572  
dk.  PAC\_007\_00000574  
dl.  PAC\_007\_00000575  
dm.  PAC\_007\_00000577  
dn.  PAC\_007\_00000579  
do.  PAC\_007\_00000580  
dp.  PAC\_007\_00000581  
dq.  PAC\_007\_00000582  
dr.  PAC\_007\_00000584  
ds.  PAC\_007\_00000585















dt.  PAC\_007\_00000588  
du.  PAC\_007\_00000590  
dv.  PAC\_007\_00000592  
dw.  PAC\_007\_00000593  
dx.  PAC\_007\_00000594  
dy.  PAC\_007\_00000595  
dz.  PAC\_007\_00000597  
ea.  PAC\_007\_00000598  
eb.  PAC\_007\_00000599  
ec.  PAC\_007\_00000600  
ed.  PAC\_007\_00000601  
ee.  PAC\_007\_00000603  
ef.  PAC\_007\_00000604  
eg.  PAC\_007\_00000605  
eh.  PAC\_007\_00000606  
ei.  PAC\_007\_00000607  
ej.  PAC\_007\_00000610  
ek.  PAC\_007\_00000611  
el.  PAC\_007\_00000614  
em.  PAC\_007\_00000616  
en.  PAC\_007\_00000617  
eo.  PAC\_007\_00000618  
ep.  PAC\_007\_00000619  
eq.  PAC\_007\_00000620  
er.  PAC\_007\_00000621  
es.  PAC\_007\_00000622  
et.  PAC\_007\_00000623  
eu.  PAC\_007\_00000624  
ev.  PAC\_007\_00000626  
ew.  PAC\_007\_00000627  
ex.  PAC\_007\_00000629  
ey.  PAC\_007\_00000630  
ez.  PAC\_007\_00000631  
fa.  PAC\_007\_00000632  
fb.  PAC\_007\_00000634  
fc.  PAC\_007\_00000635  
fd.  PAC\_007\_00000636  
fe.  PAC\_007\_00000637  
ff.  PAC\_007\_00000638  
fg.  PAC\_007\_00000639  
fh.  PAC\_007\_00000641  
fi.  PAC\_007\_00000642  
fj.  PAC\_007\_00000643  
fk.  PAC\_007\_00000644  
fl.  PAC\_007\_00000645  
fm.  PAC\_007\_00000646  
fn.  PAC\_007\_00000647  
fo.  PAC\_007\_00000648  
fp.  PAC\_007\_00000649  
fq.  PAC\_007\_00000650  
fr.  PAC\_007\_00000651  
fs.  PAC\_007\_00000652  
ft.  PAC\_007\_00000653  
fu.  PAC\_007\_00000654  
fv.  PAC\_007\_00000655
























































fw.  PAC\_007\_00000656  
fx.  PAC\_007\_00000657  
fy.  PAC\_007\_00000658  
fz.  PAC\_007\_00000659  
ga.  PAC\_007\_00000660  
gb.  PAC\_007\_00000661  
gc.  PAC\_007\_00000662  
gd.  PAC\_007\_00000663  
ge.  PAC\_007\_00000664  
gf.  PAC\_007\_00000665  
gg.  PAC\_007\_00000666  
gh.  PAC\_007\_00000667  
gi.  PAC\_007\_00000668  
gj.  PAC\_007\_00000669  
gk.  PAC\_007\_00000670  
gl.  PAC\_007\_00000671  
gm.  PAC\_007\_00000672  
gn.  PAC\_007\_00000673  
go.  PAC\_007\_00000674  
gp.  PAC\_007\_00000675  
gq.  PAC\_007\_00000676  
gr.  PAC\_007\_00000677  
gs.  PAC\_007\_00000678  
gt.  PAC\_007\_00000681  
gu.  PAC\_007\_00000683  
gv.  PAC\_007\_00000685  
gw.  PAC\_007\_00000687  
gx.  PAC\_007\_00000690  
gy.  PAC\_007\_00000693  
gz.  PAC\_007\_00000702  
ha.  PAC\_007\_00000735  
hb.  PAC\_007\_00000876  
hc.  PAC\_007\_00000917  
hd.  PAC\_007\_00000959  
he.  PAC\_007\_00000989  
hf.  PAC\_007\_00002019  
hg.  PAC\_007\_00002311  
hh.  PAC\_007\_00002315  
hi.  PAC\_007\_00002317  
hj.  PAC\_007\_00002318  
hk.  PAC\_007\_00002350  
hl.  PAC\_007\_00002353  
hm.  PAC\_007\_00002360  
hn.  PAC\_007\_00002363  
ho.  PAC\_007\_00002366  
hp.  PAC\_007\_00002369  
hq.  PAC\_007\_00002372  
hr.  PAC\_007\_00002375  
hs.  PAC\_007\_00002378  
ht.  PAC\_007\_00002381  
hu.  PAC\_007\_00002382  
hv.  PAC\_007\_00002385  
hw.  PAC\_007\_00002389  
hx.  PAC\_007\_00002390  
hy.  PAC\_007\_00002391
























































hz.  PAC\_007\_00002392  
ia.  PAC\_007\_00002393  
ib.  PAC\_007\_00002487  
ic.  PAC\_007\_00002581  
id.  PAC\_007\_00002620  
ie.  PAC\_007\_00002658  
if.  PAC\_007\_00002697  
ig.  PAC\_007\_00002736  
ih.  PAC\_007\_00002818  
ii.  PAC\_007\_00002900  
ij.  PAC\_007\_00002901  
ik.  PAC\_007\_00002902  
il.  PAC\_007\_00002973  
im.  PAC\_007\_00002974  
in.  PAC\_007\_00002980  
io.  PAC\_007\_00003027  
ip.  PAC\_007\_00003121  
iq.  PAC\_007\_00003169  
ir.  PAC\_007\_00003263  
is.  PAC\_007\_00003269  
it.  PAC\_007\_00003282  
iu.  PAC\_007\_00003298  
iv.  PAC\_007\_00003300  
iw.  PAC\_007\_00003346  
ix.  PAC\_007\_00003393  
iy.  PAC\_007\_00003440  
iz.  PAC\_007\_00003450  
ja.  PAC\_007\_00003470  
jb.  PAC\_007\_00003548  
jc.  PAC\_007\_00003561  
jd.  PAC\_007\_00003562  
je.  PAC\_007\_00003610  
jf.  PAC\_007\_00003616  
jg.  PAC\_007\_00003621  
jh.  PAC\_007\_00003623  
ji.  PAC\_007\_00003628  
jj.  PAC\_007\_00003671  
jk.  PAC\_007\_00003676  
jl.  PAC\_007\_00003684  
jm.  PAC\_007\_00003697  
jn.  PAC\_007\_00003710  
jo.  PAC\_007\_00003717  
jp.  PAC\_007\_00003721  
jq.  PAC\_007\_00003729  
jr.  PAC\_007\_00003730  
js.  PAC\_007\_00003744  
jt.  PAC\_007\_00003745  
ju.  PAC\_007\_00003759  
jv.  PAC\_007\_00003773  
jw.  PAC\_007\_00003787  
jx.  PAC\_007\_00003801  
jy.  PAC\_007\_00003815  
jz.  PAC\_007\_00003829  
ka.  PAC\_007\_00003843  
kb.  PAC\_007\_00003858
























































- kc.  PAC\_007\_00003872
- kd.  PAC\_007\_00003887
- ke.  PAC\_007\_00003901
- kf.  PAC\_007\_00003915
- kg.  PAC\_007\_00003929
- kh.  PAC\_007\_00003930
- ki.  PAC\_007\_00003931
- kj.  PAC\_007\_00003932
- kk.  PAC\_007\_00003933
- kl.  PAC\_007\_00003934
- km.  PAC\_007\_00003935
- kn.  PAC\_007\_00003936
- ko.  PAC\_007\_00003937
- kp.  PAC\_007\_00003938
- kq.  PAC\_007\_00003941
- kr.  PAC\_007\_00003942
- ks.  PAC\_007\_00003943
- kt.  PAC\_007\_00003944
- ku.  PAC\_007\_00003945
- kv.  PAC\_007\_00003959
- kw.  PAC\_007\_00003988
- kx.  PAC\_007\_00003991
- ky.  PAC\_007\_00003995
- kz.  PAC\_007\_00004301
- la.  PAC\_007\_00004656
- lb.  PAC\_007\_00004968
- lc.  PAC\_007\_00005298
- ld.  PAC\_007\_00005610
- le.  PAC\_007\_00005940
- lf.  PAC\_007\_00006254
- lg.  PAC\_007\_00006574
- lh.  PAC\_007\_00006894
- li.  PAC\_007\_00007208
- lj.  PAC\_007\_00007526
- lk.  PAC\_007\_00007848
- ll.  PAC\_007\_00008170
- lm.  PAC\_007\_00008489
- ln.  PAC\_007\_00008808
- lo.  PAC\_007\_00009127
- lp.  PAC\_007\_00009411
- lq.  PAC\_007\_00009421
- lr.  PAC\_007\_00009718
























































3.  20001-23500

- a.  PAC\_007\_00020171
- b.  PAC\_007\_00020178
- c.  PAC\_007\_00020461
- d.  PAC\_007\_00020758
- e.  PAC\_007\_00021112
- f.  PAC\_007\_00021114
- g.  PAC\_007\_00021133
- h.  PAC\_007\_00021416
- i.  PAC\_007\_00021699
- j.  PAC\_007\_00021700
- k.  PAC\_007\_00021701
- l.  PAC\_007\_00021702
























































m.  PAC\_007\_00021703  
n.  PAC\_007\_00021704  
o.  PAC\_007\_00021705  
p.  PAC\_007\_00021706  
q.  PAC\_007\_00021707  
r.  PAC\_007\_00021708  
s.  PAC\_007\_00021709  
t.  PAC\_007\_00021710  
u.  PAC\_007\_00021711  
v.  PAC\_007\_00021714  
w.  PAC\_007\_00021715  
x.  PAC\_007\_00021716  
y.  PAC\_007\_00021717  
z.  PAC\_007\_00021718  
aa.  PAC\_007\_00021748  
ab.  PAC\_007\_00021749  
ac.  PAC\_007\_00021750  
ad.  PAC\_007\_00021751  
ae.  PAC\_007\_00021752  
af.  PAC\_007\_00021755  
ag.  PAC\_007\_00021756  
ah.  PAC\_007\_00021757  
ai.  PAC\_007\_00021758  
aj.  PAC\_007\_00021759  
ak.  PAC\_007\_00021760  
al.  PAC\_007\_00021761  
am.  PAC\_007\_00021762  
an.  PAC\_007\_00021763  
ao.  PAC\_007\_00021764  
ap.  PAC\_007\_00021776  
aq.  PAC\_007\_00021784  
ar.  PAC\_007\_00021796  
as.  PAC\_007\_00021797  
at.  PAC\_007\_00021798  
au.  PAC\_007\_00021799  
av.  PAC\_007\_00021800  
aw.  PAC\_007\_00021801  
ax.  PAC\_007\_00021802  
ay.  PAC\_007\_00021803  
az.  PAC\_007\_00021804  
ba.  PAC\_007\_00021805  
bb.  PAC\_007\_00021806  
bc.  PAC\_007\_00021807  
bd.  PAC\_007\_00021808  
be.  PAC\_007\_00021809  
bf.  PAC\_007\_00021810  
bg.  PAC\_007\_00021811  
bh.  PAC\_007\_00021812  
bi.  PAC\_007\_00021813  
bj.  PAC\_007\_00021814  
bk.  PAC\_007\_00021815  
bl.  PAC\_007\_00021816  
bm.  PAC\_007\_00021819  
bn.  PAC\_007\_00021820  
bo.  PAC\_007\_00021821
























































bp.  PAC\_007\_00021822  
bq.  PAC\_007\_00021823  
br.  PAC\_007\_00021824  
bs.  PAC\_007\_00021825  
bt.  PAC\_007\_00021826  
bu.  PAC\_007\_00021827  
bv.  PAC\_007\_00021828  
bw.  PAC\_007\_00021831  
bx.  PAC\_007\_00021832  
by.  PAC\_007\_00021833  
bz.  PAC\_007\_00021834  
ca.  PAC\_007\_00021835  
cb.  PAC\_007\_00021836  
cc.  PAC\_007\_00021837  
cd.  PAC\_007\_00021838  
ce.  PAC\_007\_00021839  
cf.  PAC\_007\_00021840  
cg.  PAC\_007\_00021841  
ch.  PAC\_007\_00021842  
ci.  PAC\_007\_00021843  
cj.  PAC\_007\_00021844  
ck.  PAC\_007\_00021845  
cl.  PAC\_007\_00021846  
cm.  PAC\_007\_00021847  
cn.  PAC\_007\_00021848  
co.  PAC\_007\_00021849  
cp.  PAC\_007\_00021850  
cq.  PAC\_007\_00021851  
cr.  PAC\_007\_00021852  
cs.  PAC\_007\_00021853  
ct.  PAC\_007\_00021854  
cu.  PAC\_007\_00021855  
cv.  PAC\_007\_00021856  
cw.  PAC\_007\_00021857  
cx.  PAC\_007\_00021858  
cy.  PAC\_007\_00021859  
cz.  PAC\_007\_00021860  
da.  PAC\_007\_00021861  
db.  PAC\_007\_00021862  
dc.  PAC\_007\_00021863  
dd.  PAC\_007\_00021864  
de.  PAC\_007\_00021865  
df.  PAC\_007\_00021866  
dg.  PAC\_007\_00021867  
dh.  PAC\_007\_00021868  
di.  PAC\_007\_00021869  
dj.  PAC\_007\_00021870  
dk.  PAC\_007\_00021871  
dl.  PAC\_007\_00021872  
dm.  PAC\_007\_00021873  
dn.  PAC\_007\_00021874  
do.  PAC\_007\_00021875  
dp.  PAC\_007\_00021876  
dq.  PAC\_007\_00021877  
dr.  PAC\_007\_00021878
























































ds.  PAC\_007\_00021879  
dt.  PAC\_007\_00021880  
du.  PAC\_007\_00021881  
dv.  PAC\_007\_00021882  
dw.  PAC\_007\_00021883  
dx.  PAC\_007\_00021885  
dy.  PAC\_007\_00021886  
dz.  PAC\_007\_00021887  
ea.  PAC\_007\_00021888  
eb.  PAC\_007\_00021889  
ec.  PAC\_007\_00021890  
ed.  PAC\_007\_00021891  
ee.  PAC\_007\_00021892  
ef.  PAC\_007\_00021893  
eg.  PAC\_007\_00021894  
eh.  PAC\_007\_00021895  
ei.  PAC\_007\_00021896  
ej.  PAC\_007\_00021897  
ek.  PAC\_007\_00021898  
el.  PAC\_007\_00021899  
em.  PAC\_007\_00021900  
en.  PAC\_007\_00021901  
eo.  PAC\_007\_00021902  
ep.  PAC\_007\_00021903  
eq.  PAC\_007\_00021904  
er.  PAC\_007\_00021905  
es.  PAC\_007\_00021906  
et.  PAC\_007\_00021907  
eu.  PAC\_007\_00021908  
ev.  PAC\_007\_00021909  
ew.  PAC\_007\_00021910  
ex.  PAC\_007\_00021911  
ey.  PAC\_007\_00021912  
ez.  PAC\_007\_00021913  
fa.  PAC\_007\_00021914  
fb.  PAC\_007\_00021915  
fc.  PAC\_007\_00021916  
fd.  PAC\_007\_00021917  
fe.  PAC\_007\_00021918  
ff.  PAC\_007\_00021919  
fg.  PAC\_007\_00021920  
fh.  PAC\_007\_00021921  
fi.  PAC\_007\_00021922  
fj.  PAC\_007\_00021923  
fk.  PAC\_007\_00021924  
fl.  PAC\_007\_00021925  
fm.  PAC\_007\_00021947  
fn.  PAC\_007\_00021950  
fo.  PAC\_007\_00021951  
fp.  PAC\_007\_00021952  
fq.  PAC\_007\_00021953  
fr.  PAC\_007\_00021954  
fs.  PAC\_007\_00021955  
ft.  PAC\_007\_00021956  
fu.  PAC\_007\_00022016
























































fv.  PAC\_007\_00022017  
fw.  PAC\_007\_00022077  
fx.  PAC\_007\_00022078  
fy.  PAC\_007\_00022079  
fz.  PAC\_007\_00022080  
ga.  PAC\_007\_00022081  
gb.  PAC\_007\_00022140  
gc.  PAC\_007\_00022141  
gd.  PAC\_007\_00022142  
ge.  PAC\_007\_00022202  
gf.  PAC\_007\_00022203  
gg.  PAC\_007\_00022204  
gh.  PAC\_007\_00022205  
gi.  PAC\_007\_00022211  
gj.  PAC\_007\_00022212  
gk.  PAC\_007\_00022213  
gl.  PAC\_007\_00022214  
gm.  PAC\_007\_00022215  
gn.  PAC\_007\_00022273  
go.  PAC\_007\_00022279  
gp.  PAC\_007\_00022280  
gq.  PAC\_007\_00022281  
gr.  PAC\_007\_00022282  
gs.  PAC\_007\_00022283  
gt.  PAC\_007\_00022284  
gu.  PAC\_007\_00022342  
gv.  PAC\_007\_00022343  
gw.  PAC\_007\_00022350  
gx.  PAC\_007\_00022351  
gy.  PAC\_007\_00022352  
gz.  PAC\_007\_00022413  
ha.  PAC\_007\_00022474  
hb.  PAC\_007\_00022475  
hc.  PAC\_007\_00022476  
hd.  PAC\_007\_00022477  
he.  PAC\_007\_00022478  
hf.  PAC\_007\_00022479  
hg.  PAC\_007\_00022485  
hh.  PAC\_007\_00022486  
hi.  PAC\_007\_00022487  
hj.  PAC\_007\_00022545  
hk.  PAC\_007\_00022603  
hl.  PAC\_007\_00022604  
hm.  PAC\_007\_00022605  
hn.  PAC\_007\_00022611  
ho.  PAC\_007\_00022612  
hp.  PAC\_007\_00022613  
hq.  PAC\_007\_00022614  
hr.  PAC\_007\_00022615  
hs.  PAC\_007\_00022673  
ht.  PAC\_007\_00022681  
hu.  PAC\_007\_00022682  
hv.  PAC\_007\_00022683  
hw.  PAC\_007\_00022684  
hx.  PAC\_007\_00022685

















































































































hy.  PAC\_007\_00022743  
hz.  PAC\_007\_00022801  
ia.  PAC\_007\_00022859  
ib.  PAC\_007\_00022864  
ic.  PAC\_007\_00022865  
id.  PAC\_007\_00022866  
ie.  PAC\_007\_00022910  
if.  PAC\_007\_00022911  
ig.  PAC\_007\_00022919  
ih.  PAC\_007\_00022920  
ii.  PAC\_007\_00022921  
ij.  PAC\_007\_00022922  
ik.  PAC\_007\_00022923  
il.  PAC\_007\_00022924  
im.  PAC\_007\_00022925  
in.  PAC\_007\_00022931  
io.  PAC\_007\_00022937  
ip.  PAC\_007\_00022944  
iq.  PAC\_007\_00022951  
ir.  PAC\_007\_00022957  
is.  PAC\_007\_00022958  
it.  PAC\_007\_00022966  
iu.  PAC\_007\_00022967  
iv.  PAC\_007\_00022975  
iw.  PAC\_007\_00022976  
ix.  PAC\_007\_00022977  
iy.  PAC\_007\_00023020  
iz.  PAC\_007\_00023082  
ja.  PAC\_007\_00023083  
jb.  PAC\_007\_00023091  
jc.  PAC\_007\_00023098  
jd.  PAC\_007\_00023099  
je.  PAC\_007\_00023100  
jf.  PAC\_007\_00023107  
jg.  PAC\_007\_00023108  
jh.  PAC\_007\_00023168  
ji.  PAC\_007\_00023169  
jj.  PAC\_007\_00023177  
jk.  PAC\_007\_00023184  
jl.  PAC\_007\_00023191  
jm.  PAC\_007\_00023198  
jn.  PAC\_007\_00023258  
jo.  PAC\_007\_00023259  
jp.  PAC\_007\_00023266  
jq.  PAC\_007\_00023273  
jr.  PAC\_007\_00023280  
js.  PAC\_007\_00023281  
jt.  PAC\_007\_00023282  
ju.  PAC\_007\_00023342  
jv.  PAC\_007\_00023343  
jw.  PAC\_007\_00023351  
jx.  PAC\_007\_00023352  
jy.  PAC\_007\_00023417  
jz.  PAC\_007\_00023418  
ka.  PAC\_007\_00023419
























































- kb.  PAC\_007\_00023426
- kc.  PAC\_007\_00023427
- kd.  PAC\_007\_00023487
- ke.  PAC\_007\_00023488
- 4.  23501-27000
  - a.  PAC\_007\_00023548
  - b.  PAC\_007\_00023549
  - c.  PAC\_007\_00023550
  - d.  PAC\_007\_00023557
  - e.  PAC\_007\_00023558
  - f.  PAC\_007\_00023618
  - g.  PAC\_007\_00023619
  - h.  PAC\_007\_00023626
  - i.  PAC\_007\_00023633
  - j.  PAC\_007\_00023634
  - k.  PAC\_007\_00023635
  - l.  PAC\_007\_00023695
  - m.  PAC\_007\_00023755
  - n.  PAC\_007\_00023756
  - o.  PAC\_007\_00023757
  - p.  PAC\_007\_00023758
  - q.  PAC\_007\_00023759
  - r.  PAC\_007\_00023760
  - s.  PAC\_007\_00023768
  - t.  PAC\_007\_00023769
  - u.  PAC\_007\_00023830
  - v.  PAC\_007\_00023831
  - w.  PAC\_007\_00023839
  - x.  PAC\_007\_00023840
  - y.  PAC\_007\_00023901
  - z.  PAC\_007\_00023962
  - aa.  PAC\_007\_00023963
  - ab.  PAC\_007\_00023964
  - ac.  PAC\_007\_00023971
  - ad.  PAC\_007\_00023972
  - ae.  PAC\_007\_00024033
  - af.  PAC\_007\_00024034
  - ag.  PAC\_007\_00024041
  - ah.  PAC\_007\_00024102
  - ai.  PAC\_007\_00024163
  - aj.  PAC\_007\_00024164
  - ak.  PAC\_007\_00024169
  - al.  PAC\_007\_00024174
  - am.  PAC\_007\_00024180
  - an.  PAC\_007\_00024186
  - ao.  PAC\_007\_00024187
  - ap.  PAC\_007\_00024188
  - aq.  PAC\_007\_00024189
  - ar.  PAC\_007\_00024239
  - as.  PAC\_007\_00024289
  - at.  PAC\_007\_00024290
  - au.  PAC\_007\_00024291
  - av.  PAC\_007\_00024292
  - aw.  PAC\_007\_00024297
  - ax.  PAC\_007\_00024298
























































ay.  PAC\_007\_00024337  
az.  PAC\_007\_00024338  
ba.  PAC\_007\_00024343  
bb.  PAC\_007\_00024383  
bc.  PAC\_007\_00024423  
bd.  PAC\_007\_00024424  
be.  PAC\_007\_00024429  
bf.  PAC\_007\_00024430  
bg.  PAC\_007\_00024469  
bh.  PAC\_007\_00024470  
bi.  PAC\_007\_00024509  
bj.  PAC\_007\_00024548  
bk.  PAC\_007\_00024549  
bl.  PAC\_007\_00024554  
bm.  PAC\_007\_00024555  
bn.  PAC\_007\_00024594  
bo.  PAC\_007\_00024595  
bp.  PAC\_007\_00024596  
bq.  PAC\_007\_00024601  
br.  PAC\_007\_00024602  
bs.  PAC\_007\_00024603  
bt.  PAC\_007\_00024604  
bu.  PAC\_007\_00024605  
bv.  PAC\_007\_00024606  
bw.  PAC\_007\_00024607  
bx.  PAC\_007\_00024608  
by.  PAC\_007\_00024609  
bz.  PAC\_007\_00024610  
ca.  PAC\_007\_00024611  
cb.  PAC\_007\_00024612  
cc.  PAC\_007\_00024613  
cd.  PAC\_007\_00024614  
ce.  PAC\_007\_00024615  
cf.  PAC\_007\_00024616  
cg.  PAC\_007\_00024617  
ch.  PAC\_007\_00024618  
ci.  PAC\_007\_00024619  
cj.  PAC\_007\_00024620  
ck.  PAC\_007\_00024621  
cl.  PAC\_007\_00024622  
cm.  PAC\_007\_00024623  
cn.  PAC\_007\_00024624  
co.  PAC\_007\_00024625  
cp.  PAC\_007\_00024626  
cq.  PAC\_007\_00024627  
cr.  PAC\_007\_00024628  
cs.  PAC\_007\_00024629  
ct.  PAC\_007\_00024630  
cu.  PAC\_007\_00024631  
cv.  PAC\_007\_00024635  
cw.  PAC\_007\_00024639  
cx.  PAC\_007\_00024640  
cy.  PAC\_007\_00024641  
cz.  PAC\_007\_00024642  
da.  PAC\_007\_00024645

db.  PAC\_007\_00024646  
dc.  PAC\_007\_00024647  
dd.  PAC\_007\_00024648  
de.  PAC\_007\_00024649  
df.  PAC\_007\_00024650  
dg.  PAC\_007\_00024651  
dh.  PAC\_007\_00024652  
di.  PAC\_007\_00024653  
dj.  PAC\_007\_00024654  
dk.  PAC\_007\_00024655  
dl.  PAC\_007\_00024656  
dm.  PAC\_007\_00024657  
dn.  PAC\_007\_00024658  
do.  PAC\_007\_00024659  
dp.  PAC\_007\_00024660  
dq.  PAC\_007\_00024661  
dr.  PAC\_007\_00024662  
ds.  PAC\_007\_00024663  
dt.  PAC\_007\_00024664  
du.  PAC\_007\_00024665  
dv.  PAC\_007\_00024666  
dw.  PAC\_007\_00024667  
dx.  PAC\_007\_00024668  
dy.  PAC\_007\_00024669  
dz.  PAC\_007\_00024670  
ea.  PAC\_007\_00024671  
eb.  PAC\_007\_00024672  
ec.  PAC\_007\_00024673  
ed.  PAC\_007\_00024674  
ee.  PAC\_007\_00024675  
ef.  PAC\_007\_00024676  
eg.  PAC\_007\_00024677  
eh.  PAC\_007\_00024678  
ei.  PAC\_007\_00024679  
ej.  PAC\_007\_00024680  
ek.  PAC\_007\_00024681  
el.  PAC\_007\_00024682  
em.  PAC\_007\_00024683  
en.  PAC\_007\_00024684  
eo.  PAC\_007\_00024685  
ep.  PAC\_007\_00024686  
eq.  PAC\_007\_00024687  
er.  PAC\_007\_00024688  
es.  PAC\_007\_00024689  
et.  PAC\_007\_00024690  
eu.  PAC\_007\_00024692  
ev.  PAC\_007\_00024693  
ew.  PAC\_007\_00024695  
ex.  PAC\_007\_00024696  
ey.  PAC\_007\_00024698  
ez.  PAC\_007\_00024700  
fa.  PAC\_007\_00024701  
fb.  PAC\_007\_00024702  
fc.  PAC\_007\_00024704  
fd.  PAC\_007\_00024708











fe.  PAC\_007\_00024709  
ff.  PAC\_007\_00024710  
fg.  PAC\_007\_00024712  
fh.  PAC\_007\_00024714  
fi.  PAC\_007\_00024715  
fj.  PAC\_007\_00024716  
fk.  PAC\_007\_00024717  
fl.  PAC\_007\_00024719  
fm.  PAC\_007\_00024721  
fn.  PAC\_007\_00024722  
fo.  PAC\_007\_00024723  
fp.  PAC\_007\_00024725  
fq.  PAC\_007\_00024726  
fr.  PAC\_007\_00024730  
fs.  PAC\_007\_00024731  
ft.  PAC\_007\_00024732  
fu.  PAC\_007\_00024734  
fv.  PAC\_007\_00024735  
fw.  PAC\_007\_00024736  
fx.  PAC\_007\_00024737  
fy.  PAC\_007\_00024738  
fz.  PAC\_007\_00024739  
ga.  PAC\_007\_00024740  
gb.  PAC\_007\_00024741  
gc.  PAC\_007\_00024742  
gd.  PAC\_007\_00024744  
ge.  PAC\_007\_00024748  
gf.  PAC\_007\_00024752  
gg.  PAC\_007\_00024753  
gh.  PAC\_007\_00024754  
gi.  PAC\_007\_00024755  
gj.  PAC\_007\_00024756  
gk.  PAC\_007\_00024757  
gl.  PAC\_007\_00024758  
gm.  PAC\_007\_00024759  
gn.  PAC\_007\_00024760  
go.  PAC\_007\_00024761  
gp.  PAC\_007\_00024762  
gq.  PAC\_007\_00024763  
gr.  PAC\_007\_00024764  
gs.  PAC\_007\_00024765  
gt.  PAC\_007\_00024766  
gu.  PAC\_007\_00024767  
gv.  PAC\_007\_00024768  
gw.  PAC\_007\_00024769  
gx.  PAC\_007\_00024770  
gy.  PAC\_007\_00024771  
gz.  PAC\_007\_00024772  
ha.  PAC\_007\_00024773  
hb.  PAC\_007\_00024774  
hc.  PAC\_007\_00024775  
hd.  PAC\_007\_00024779  
he.  PAC\_007\_00024783  
hf.  PAC\_007\_00024787  
hg.  PAC\_007\_00024791
















































hh.  PAC\_007\_00024797  
hi.  PAC\_007\_00024802  
hj.  PAC\_007\_00024807  
hk.  PAC\_007\_00024812  
hl.  PAC\_007\_00024817  
hm.  PAC\_007\_00024822  
hn.  PAC\_007\_00024827  
ho.  PAC\_007\_00024832  
hp.  PAC\_007\_00024837  
hq.  PAC\_007\_00024842  
hr.  PAC\_007\_00024847  
hs.  PAC\_007\_00024853  
ht.  PAC\_007\_00024859  
hu.  PAC\_007\_00024866  
hv.  PAC\_007\_00024872  
hw.  PAC\_007\_00024878  
hx.  PAC\_007\_00024884  
hy.  PAC\_007\_00024890  
hz.  PAC\_007\_00024896  
ia.  PAC\_007\_00024902  
ib.  PAC\_007\_00024908  
ic.  PAC\_007\_00024914  
id.  PAC\_007\_00024920  
ie.  PAC\_007\_00024927  
if.  PAC\_007\_00024934  
ig.  PAC\_007\_00024941  
ih.  PAC\_007\_00024947  
ii.  PAC\_007\_00024953  
ij.  PAC\_007\_00024959  
ik.  PAC\_007\_00024965  
il.  PAC\_007\_00024971  
im.  PAC\_007\_00024977  
in.  PAC\_007\_00024984  
io.  PAC\_007\_00024991  
ip.  PAC\_007\_00024998  
iq.  PAC\_007\_00025005  
ir.  PAC\_007\_00025013  
is.  PAC\_007\_00025021  
it.  PAC\_007\_00025029  
iu.  PAC\_007\_00025037  
iv.  PAC\_007\_00025044  
iw.  PAC\_007\_00025051  
ix.  PAC\_007\_00025059  
iy.  PAC\_007\_00025066  
iz.  PAC\_007\_00025073  
ja.  PAC\_007\_00025080  
jb.  PAC\_007\_00025087  
jc.  PAC\_007\_00025094  
jd.  PAC\_007\_00025098  
je.  PAC\_007\_00025099  
jf.  PAC\_007\_00025100  
jg.  PAC\_007\_00025101  
jh.  PAC\_007\_00025102  
ji.  PAC\_007\_00025103  
jj.  PAC\_007\_00025104




jk.  PAC\_007\_00025105  
jl.  PAC\_007\_00025106  
jm.  PAC\_007\_00025107  
jn.  PAC\_007\_00025108  
jo.  PAC\_007\_00025109  
jp.  PAC\_007\_00025110  
jq.  PAC\_007\_00025111  
jr.  PAC\_007\_00025112  
js.  PAC\_007\_00025113  
jt.  PAC\_007\_00025114  
ju.  PAC\_007\_00025115  
jv.  PAC\_007\_00025116  
jw.  PAC\_007\_00025117  
jx.  PAC\_007\_00025118  
jy.  PAC\_007\_00025119  
jz.  PAC\_007\_00025120  
ka.  PAC\_007\_00025121  
kb.  PAC\_007\_00025122  
kc.  PAC\_007\_00025123  
kd.  PAC\_007\_00025124  
ke.  PAC\_007\_00025125  
kf.  PAC\_007\_00025126  
kg.  PAC\_007\_00025127  
kh.  PAC\_007\_00025128  
ki.  PAC\_007\_00025129  
kj.  PAC\_007\_00025130  
kk.  PAC\_007\_00025131  
kl.  PAC\_007\_00025132  
km.  PAC\_007\_00025133  
kn.  PAC\_007\_00025134  
ko.  PAC\_007\_00025135  
kp.  PAC\_007\_00025136  
kq.  PAC\_007\_00025137  
kr.  PAC\_007\_00025138  
ks.  PAC\_007\_00025139  
kt.  PAC\_007\_00025140  
ku.  PAC\_007\_00025141  
kv.  PAC\_007\_00025142  
kw.  PAC\_007\_00025143  
kx.  PAC\_007\_00025144  
ky.  PAC\_007\_00025146  
kz.  PAC\_007\_00025148  
la.  PAC\_007\_00025149  
lb.  PAC\_007\_00025150  
lc.  PAC\_007\_00025151  
ld.  PAC\_007\_00025152  
le.  PAC\_007\_00025153  
lf.  PAC\_007\_00025154  
lg.  PAC\_007\_00025155  
lh.  PAC\_007\_00025156  
li.  PAC\_007\_00025157  
lj.  PAC\_007\_00025158  
lk.  PAC\_007\_00025159  
ll.  PAC\_007\_00025160  
lm.  PAC\_007\_00025161
























































In.  PAC\_007\_00025162  
Io.  PAC\_007\_00025163  
Ip.  PAC\_007\_00025188  
Iq.  PAC\_007\_00025189  
Ir.  PAC\_007\_00025200  
Is.  PAC\_007\_00025240  
It.  PAC\_007\_00025280  
Iu.  PAC\_007\_00025281  
Iv.  PAC\_007\_00025282  
Iw.  PAC\_007\_00025289  
Ix.  PAC\_007\_00025292  
Iy.  PAC\_007\_00025334  
Iz.  PAC\_007\_00025335  
ma.  PAC\_007\_00025336  
mb.  PAC\_007\_00025343  
mc.  PAC\_007\_00025347  
md.  PAC\_007\_00025350  
me.  PAC\_007\_00025389  
mf.  PAC\_007\_00025428  
mg.  PAC\_007\_00025466  
mh.  PAC\_007\_00025504  
mi.  PAC\_007\_00025542  
mj.  PAC\_007\_00025580  
mk.  PAC\_007\_00025618  
ml.  PAC\_007\_00025656  
mm.  PAC\_007\_00025694  
mn.  PAC\_007\_00025732  
mo.  PAC\_007\_00025770  
mp.  PAC\_007\_00025808  
mq.  PAC\_007\_00025846  
mr.  PAC\_007\_00025884  
ms.  PAC\_007\_00025922  
mt.  PAC\_007\_00025960  
mu.  PAC\_007\_00026020  
mv.  PAC\_007\_00026080  
mw.  PAC\_007\_00026138  
mx.  PAC\_007\_00026199  
my.  PAC\_007\_00026257  
mz.  PAC\_007\_00026315  
na.  PAC\_007\_00026377  
nb.  PAC\_007\_00026437  
nc.  PAC\_007\_00026497  
nd.  PAC\_007\_00026562  
ne.  PAC\_007\_00026622  
nf.  PAC\_007\_00026682  
ng.  PAC\_007\_00026743  
nh.  PAC\_007\_00026804  
ni.  PAC\_007\_00026848  
nj.  PAC\_007\_00026887  
nk.  PAC\_007\_00026926  
nl.  PAC\_007\_00026965  
nm.  PAC\_007\_00026966  
nn.  PAC\_007\_00026967  
no.  PAC\_007\_00026968  
np.  PAC\_007\_00026969





















































































































- nq.  PAC\_007\_00026970
- nr.  PAC\_007\_00026971
- ns.  PAC\_007\_00026972
- nt.  PAC\_007\_00026973
- nu.  PAC\_007\_00026977
- nv.  PAC\_007\_00026978
- nw.  PAC\_007\_00026979
- nx.  PAC\_007\_00026980
- ny.  PAC\_007\_00026981
- nz.  PAC\_007\_00026982


























































- 63.  PwC
  - a.  2010-06-02 PwC (R Brown) ltr to Seefer re Doc per 5-21 Request (copy)
  - b.  PWC-FCIC 000001 - 12
  - c.  PWC-FCIC 000013 - 14
  - d.  PWC-FCIC 000015 - 68
  - e.  PWC-FCIC 000069 - 71
  - f.  PWC-FCIC 000072 - 75
  - g.  PWC-FCIC 000075 - 78
  - h.  PWC-FCIC 000079 - 83
  - i.  PWC-FCIC 000084 - 95
  - j.  PWC-FCIC 000096 - 98
  - k.  PWC-FCIC 000099 - 100
  - l.  PWC-FCIC 000101 - 108
  - m.  PWC-FCIC 000109 - 110
- 64.  Radian
  - a.  RESCISSION REASONS by Submitter SUMMARY
- 65.  RBS
  - a.  2010-07-23 Production- Disk # 483
    - 1.  RBSS-FCIC-0000000001-0000000500
    - 2.  RBSS-FCIC-0000000501-0000001000
    - 3.  RBSS-FCIC-0000001001-0000001500
    - 4.  RBSS-FCIC-0000001501-0000002000
    - 5.  RBSS-FCIC-0000002001-0000002500
    - 6.  RBSS-FCIC-0000002501-0000003000
    - 7.  RBSS-FCIC-0000003001-0000003500
    - 8.  RBSS-FCIC-0000003501-0000004000
    - 9.  RBSS-FCIC-0000004001-0000004500
    - 10.  RBSS-FCIC-0000004501-0000004854
  - b.  2010-07-29 Production- Disk # 494
    - 1.  RBSS-FCIC-0000004855-0000004863
  - c.  2010-08-13 Production- Disk # 540
    - 1.  RBSS-FCIC-0000004864-0000005165
    - 2.  RBSS-FCIC-0000005166-0000005467
  - d.  2010-08-31 Production- Disk # 589
    - 1.  RBSS-FCIC-0000005468-0000005475
  - e.  2010-09-07 Production- Disk # 604
    - 1.  RBSS-FCIC-0000005476-0000005491
  - f.  2010-11-16 Production- Disk # 738
    - 1.  RBSS-FCIC-D-00000012-00000061
- 66.  RMIC
  - a.  2010-09-28 RMIC Production
- 67.  S&P
  - a.  2010-11-01 Production- Disk # 730
    - 1.  S& P-FCIC 0017460-0017483
    - 2.  S& P-FCIC 0017484-0017495
    - 3.  S& P-FCIC 0017496-0017503
    - 4.  S& P-FCIC 0017504-0017519


























































5.  S& P-FCIC 0017520-0017531
  6.  S& P-FCIC 0017532-0017543
  7.  S& P-FCIC 0017544-0017635
  8.  S& P-FCIC 0017636-0017647
  9.  S& P-FCIC 0017648-0017655
  10.  S& P-FCIC 0017656-0017663
  11.  S& P-FCIC 0017664-0017675
  12.  S& P-FCIC 0017676-0017683
  13.  S& P-FCIC 0017684-0017694
  14.  S& P-FCIC 0017695-0017705
  15.  S& P-FCIC 0017706-0017729
  - b.  2010-11-10 Production- Disk # 733
    1.  S&P-FCIC 0017730-0018495
68.  Scion Capital
- a.  2010-05-13 Production
    1.  CDS RMBS Positions
      - a.  Scion ABS Portfolio
    2.  Counterparties
      - a.  List of Counterparties
    3.  Investor Letters
      - a.  Scion 2003 1Q
      - b.  Scion 2003 2Q
      - c.  Scion 2003 3Q
      - d.  Scion 2003 4Q Annual Letter
      - e.  Scion 2004 1Q
      - f.  Scion 2004 2Q
      - g.  Scion 2004 3Q
      - h.  Scion 2004 4Q Annual Letter
      - i.  Scion 2005 1Q
      - j.  Scion 2005 2Q
      - k.  Scion 2005 3Q
      - l.  Scion 2005 4Q Annual Letter
      - m.  Scion 2006 1Q
      - n.  Scion 2006 2Q
      - o.  Scion 2006 3Q
      - p.  Scion 2006 4Q Annual Letter
      - q.  Scion 2006 4Q Nov 6th RMBS CDS Primer and FAQ
      - r.  Scion 2007 1Q
      - s.  Scion 2007 2Q
      - t.  Scion 2007 3Q
      - u.  Scion 2007 4Q Annual Letter
      - v.  Scion 2008 1Q
      - w.  Scion 2008 2Q
      - x.  Scion 2008 3Q
69.  SEC
- a.  2010-05-07 Production re Goldman
    1.  Tab 01
    2.  Tab 02
    3.  Tab 03
    4.  Tab 04
    5.  Tab 05
    6.  Tab 06
    7.  Tab 07
    8.  Tab 08
    9.  Tab 09
    10.  Tab 10

























































11.  Tab 11
12.  Tab 12
13.  Tab 13
14.  Tab 14
15.  Tab 15
16.  Tab 16
17.  Tab 17
18.  Tab 18
19.  Tab 19
20.  Tab 20
21.  Tab 21
22.  Tab 22
23.  Tab 23
24.  Tab 24
25.  Tab 25
26.  Tab 26
27.  Tab 27
28.  Tab 28
29.  Tab 29
30.  Tab 30
31.  Tab 31
32.  Tab 32
33.  Tab 33
34.  Tab 34
35.  Tab 35
36.  Tab 36
37.  Tab 37
38.  Tab 38
39.  Tab 39
40.  Tab 40
41.  Tab 41
42.  Tab 42
43.  Tab 43
44.  Tab 44
45.  Tab 45
46.  Tab 46
47.  Tab 47
48.  Tab 48
49.  Tab 49
50.  Tab 50
51.  Tab 51
52.  Tab 52
53.  Tab 53
54.  Tab 54
55.  Tab 55
56.  Tab 56
57.  Tab 57
58.  Tab 58
59.  Tab 59
60.  Tab 60
61.  Tab 61
62.  Tab 62
63.  Tab 63
64.  Tab 64
65.  Tab 65

- 66.  Tab 66
- 67.  Tab 67
- 68.  Tab 68
- 69.  Tab 69
- 70.  Tab 70
- 71.  Tab 71
- b.  2010-06-10 SEC Chief Accountant Records (disk#374)
  - 1.  SEC\_OCA\_FCIC\_000001 - 000042
- c.  2010-06-11 GEN RE and AIG Complaints
  - 1.  WAV Files
    - a.  CRD\_0000679-729 (03-24-05 Production)
      - 1.  11.14.00 1.31.16 pm holdsworth
      - 2.  11.14.00 1.41.38 pm holdsworth
      - 3.  11.14.00 1.45.07 pm holdsworth
      - 4.  11.14.00 1.52.29 pm holdsworth
      - 5.  11.14.00 12.29.29 pm holdsworth
      - 6.  11.14.00 12.39.50 pm holdsworth
      - 7.  11.14.00 12.50.14 pm holdsworth
      - 8.  11.15.00 10.57.48 am holdsworth
      - 9.  11.15.00 10.59.04 am holdsworth
      - 10.  11.15.00 11.00.47 am holdsworth
      - 11.  11.15.00 6.03.23 pm holdsworth
      - 12.  11.15.00 6.13.44 pm holdsworth - more of 6.03.23 call
      - 13.  11.15.00 6.24.08 pm holdsworth - more of 6.03.23 call
      - 14.  11.15.00 6.34.31 pm holdsworth - more of 6.03.23 call
      - 15.  11.15.00 6.44.55 pm holdsworth - more of 6.03.23 call
      - 16.  11.15.00 6.55.19 pm holdsworth - more of 6.03.23 call
      - 17.  11.15.00 7.05.42 pm holdsworth - more of 6.03.23 call
    - b.  CRD\_0030855-865
      - 1.  11.28.2000 5.20.41 pm holdsworth (responsive)
    - c.  CRD\_0030882-889
      - 1.  6.11.2001 11.10.01 am Byrne
    - d.  CRD\_0030907-919
      - 1.  11.14.00 1.45.07 pm holdsworth
    - e.  CRD\_0030920-930
      - 1.  11.14.00 1.52.29 pm holdsworth
    - f.  CRD\_0030966-972
      - 1.  11.15.00 10.57.48 am holdsworth
      - 2.  11.15.00 10.59.04 am holdsworth
      - 3.  11.15.00 11.00.47 am holdsworth
    - g.  CRD\_0030973-1049
      - 1.  11.15.2000 6.03.23 pm Byrne
      - 2.  11.15.2000 6.13.44 pm Byrne
      - 3.  11.15.2000 6.24.08 pm Byrne
      - 4.  11.15.2000 6.34.31 pm Byrne
      - 5.  11.15.2000 6.44.55 pm Byrne
      - 6.  11.15.2000 6.55.19 pm Byrne
      - 7.  11.15.2000 7.05.42 pm
    - h.  CRD\_0031070-1102
      - 1.  11.16.2000 11.42.53 am Houldsworth
      - 2.  11.16.2000 11.53.15 am Houldsworth
      - 3.  11.16.2000 12.03.38 pm Houldsworth
    - i.  CRD\_0031103-113
      - 1.  3.7.2001 4.39.25 pm Houldsworth
      - 2.  3.7.2001 4.49.47 pm Houldsworth
    - j.  CRD\_0031114-117
      - 1.  3.7.2001 4.54.55 pm Houldsworth



























































- k.  CRD\_0031118-123
  - 1.  3.7.2001 5.53.24 pm Houldsworth
  - 2.  3.7.2001 5.55.35 pm Houldsworth
- l.  CRD\_0031124-133
  - 1.  3.7.2001 5.59.39 pm Houldsworth
  - 2.  3.7.2001 6.10.00 pm Houldsworth
- m.  CRD\_0031134-1149
  - 1.  3.8.2001 11.13.11 am Houldsworth
  - 2.  3.8.2001 11.23.32 am Houldsworth
- n.  CRD\_0031150-1161
  - 1.  3.8.2001 3.44.44 pm Houldsworth
  - 2.  3.8.2001 3.45.45 pm Houldsworth
- o.  CRD\_0031162-1170
  - 1.  3.8.2001 3.52.21 pm Houldsworth
- p.  CRD\_0031171-1187
  - 1.  6.14.2001 8.33.23 am Byrne
  - 2.  6.14.2001 8.37.50 am Byrne
  - 3.  6.14.2001 8.40.21 am Byrne
- q.  CRD\_0031188-1197
  - 1.  11.21.00 4.36.45 pm Byrne
- r.  CRD\_0031198-1202
  - 1.  6.14.2001 9.01.58 am Byrne
  - 2.  6.14.2001 9.02.26 am Byrne
  - 3.  6.14.2001 9.03.17 am Byrne
  - 4.  6.14.2001 9.03.34 am Byrne
  - 5.  6.14.2001 9.04.04 am Byrne
  - 6.  6.14.2001 9.04.53 am Byrne
- s.  CRD\_0031203-1206
  - 1.  6.14.2001 9.14.46 am Byrne
- t.  CRD\_0031207-1210
  - 1.  6.14.2001 9.58.28 am Byrne
- u.  CRD\_0031211-224
  - 1.  6.14.2001 12.27.30 pm Byrne
  - 2.  6.14.2001 12.28.17 pm Byrne
  - 3.  6.14.2001 12.28.33 pm Byrne
  - 4.  6.14.2001 12.30.53 pm Byrne
  - 5.  6.14.2001 12.32.18 pm Byrne
  - 6.  6.14.2001 12.32.55 pm Byrne
  - 7.  6.14.2001 12.33.41 pm Byrne
  - 8.  6.14.2001 12.33.56 pm Byrne
  - 9.  6.14.2001 12.34.27 pm Byrne
  - 10.  6.14.2001 12.34.52 pm Byrne
  - 11.  6.14.2001 12.35.04 pm Byrne
  - 12.  6.14.2001 12.35.35 pm Byrne
  - 13.  6.14.2001 12.36.53 pm Byrne
  - 14.  6.14.2001 12.37.12 pm Byrne
  - 15.  6.14.2001 12.37.29 pm Byrne
  - 16.  6.14.2001 12.37.45 pm Byrne
  - 17.  6.14.2001 12.39.03 pm Byrne
  - 18.  6.14.2001 12.39.18 pm Byrne
  - 19.  6.14.2001 12.39.39 pm Byrne
  - 20.  6.14.2001 12.39.58 pm Byrne
  - 21.  6.14.2001 12.40.18 pm Byrne
  - 22.  6.14.2001 12.40.58 pm Byrne
  - 23.  6.14.2001 12.41.21 pm Byrne
  - 24.  6.14.2001 12.41.39 pm Byrne
- v.  CRD\_0031225-1271


























































1.  6.21.2001 2.41.23 pm Byrne
  2.  6.21.2001 2.43.13 pm Byrne
  3.  6.21.2001 2.44.40 pm Byrne
  4.  6.21.2001 2.45.12 pm Byrne
  5.  6.21.2001 2.45.56 pm Byrne
  6.  6.21.2001 2.46.10 pm Byrne
  7.  6.21.2001 2.46.41 pm Byrne
  8.  6.21.2001 2.48.08 pm Byrne
  9.  6.21.2001 2.49.26 pm Byrne
  10.  6.21.2001 2.50.07 pm Byrne
  11.  6.21.2001 2.50.32 pm Byrne
  12.  6.21.2001 2.50.54 pm Byrne
  13.  6.21.2001 2.51.11 pm Byrne
  14.  6.21.2001 2.54.02 pm Byrne
  15.  6.21.2001 2.55.24 pm Byrne
  16.  6.21.2001 2.56.27 pm Byrne
  17.  6.21.2001 2.56.49 pm Byrne
  18.  6.21.2001 2.59.21 pm Byrne
  19.  6.21.2001 2.59.54 pm Byrne
  20.  6.21.2001 3.00.10 pm Byrne
  21.  6.21.2001 3.00.52 pm Byrne
  22.  6.21.2001 3.03.49 pm Byrne
  23.  6.21.2001 3.05.03 pm Byrne
  24.  6.21.2001 3.05.42 pm Byrne
  25.  6.21.2001 3.05.53 pm Byrne
  26.  6.21.2001 3.07.59 pm Byrne
  27.  6.21.2001 3.08.35 pm Byrne
  28.  6.21.2001 3.09.00 pm Byrne
- w.  CRD\_0031272-278
1.  9.13.01 10.22.13 am Byrne
- x.  CRD\_0031275-274
1.  9.13.01 9.59.20 am Byrne
- y.  CRD\_0031279-295
1.  3.14.2001 8.57.55 am Houldsworth
  2.  3.14.2001 9.08.17 am Houldsworth
- z.  CRD\_0031298-301
1.  12.13.00 7.50.40 pm Houldsworth
- aa.  CRD\_0031302-326
1.  12.15.00 12.33.19 pm Houldsworth
  2.  12.15.00 12.43.41 am Houldsworth
- ab.  CRD\_0031327-360
1.  12.20.00 5.11.55 pm Houldsworth
  2.  12.20.00 5.22.17 pm Houldsworth
  3.  12.20.00 5.32.41 pm Houldsworth
  4.  12.20.00 5.36.06 pm Houldsworth
- ac.  CRD\_0031361-371
1.  12.27.00 1.37.18 pm Houldsworth
- ad.  CRD\_0031372-382
1.  12.27.00 12.19.21 pm Houldsworth
- ae.  CRD\_0031383-393
1.  12.27.00 4.15.40 pm Houldsworth
- af.  CRD\_0031394-407
1.  12.27.00 4.58.20 pm Houldsworth
  2.  12.27.00 5.06.14 pm Houldsworth
  3.  12.27.00 5.06.48 pm Houldsworth
  4.  12.27.00 5.07.01 pm Houldsworth
- ag.  CRD\_0031408-412



























































- 1.  12.27.00 6.06.09 pm Houldsworth
- ah.  CRD\_0031413-433
  - 1.  12.27.00 6.21.08 pm Houldsworth
  - 2.  12.27.00 6.31.29 pm Houldsworth
- ai.  CRD\_0031434-446
  - 1.  12.27.00 6.35.50 pm Houldsworth
- aj.  CRD\_0031447-472
  - 1.  3.12.01 1.48.08 pm Houldsworth
  - 2.  3.12.01 1.58.30 pm Houldsworth
- ak.  CRD\_0031473-511
  - 1.  12.21.00 2.56.04 pm Houldsworth
  - 2.  12.21.00 3.06.26 pm Houldsworth
  - 3.  12.21.00 3.16.50 pm Houldsworth
- al.  CRD\_0031473-511 (produced 05-02-05)
  - 1.  12.21.00 2.56.04 pm Houldsworth
  - 2.  12.21.00 3.06.26 pm Houldsworth
  - 3.  12.21.00 3.16.50 pm Houldsworth
- am.  CRD\_0031512-527
  - 1.  12.21.00 7.48.21 am Houldsworth
  - 2.  12.21.00 7.58.43 am Houldsworth
- an.  CRD\_0031512-527 (produced 05-02-05)
  - 1.  12.21.00 7.48.21 am Houldsworth
  - 2.  12.21.00 7.58.43 am Houldsworth
- ao.  CRD\_0031533-549
  - 1.  12.29.00 2.36.55 pm Houldsworth
  - 2.  12.29.00 2.47.17 pm Houldsworth
- ap.  CRD\_0031550-565
  - 1.  12.28.00 3.57.46 pm Houldsworth
  - 2.  12.28.00 3.59.26 pm Houldsworth
  - 3.  12.28.00 4.04.29 pm Houldsworth
- aq.  CRD\_0031648-679
  - 1.  12.28.00 1.15.00 am Houldsworth
  - 2.  12.28.00 1.25.22 am Houldsworth
- ar.  CRD\_0032735-758
  - 1.  10.9.01 3.32.12 pm Byrne
  - 2.  10.9.01 3.36.28 pm Byrne
  - 3.  10.9.01 3.37.15 pm Byrne
  - 4.  10.9.01 3.38.00 pm Byrne
  - 5.  10.9.01 3.38.17 pm Byrne
  - 6.  10.9.01 3.38.44 pm Byrne
  - 7.  10.9.01 3.41.24 pm Byrne
  - 8.  10.9.01 3.45.12 pm Byrne
  - 9.  10.9.01 3.48.21 pm Byrne
  - 10.  10.9.01 3.51.42 pm Byrne
  - 11.  10.9.01 3.52.03 pm Byrne
- as.  CRD\_0032759-791
  - 1.  10.10.01 12.28.22 pm Byrne
  - 2.  10.10.01 12.38.44 pm Byrne
  - 3.  10.10.01 12.41.56 pm Byrne
  - 4.  10.10.01 12.52.18 pm Byrne
- at.  CRD\_0033122-137
  - 1.  11.30.2000 10.22.01 am Houldsworth
  - 2.  11.30.2000 10.32.23 am Houldsworth
- au.  CRD\_0033138-157
  - 1.  1.3.01 2.56.06 pm Houldsworth
  - 2.  1.3.01 3.06.30 pm Houldsworth
- av.  CRD\_0033158-3172


























































1.  5.8.01 4.52.35 pm Houldsworth
- aw.  CRD\_0033173-3209
1.  9.04.01 2.11.01 pm Byrne
  2.  9.04.01 2.12.09 pm Byrne
  3.  9.04.01 2.12.43 pm Byrne
  4.  9.04.01 2.13.25 pm Byrne
  5.  9.04.01 2.14.00 pm Byrne
  6.  9.04.01 2.14.26 pm Byrne
  7.  9.04.01 2.15.04 pm Byrne
  8.  9.04.01 2.16.15 pm Byrne
  9.  9.04.01 2.16.44 pm Byrne
  10.  9.04.01 2.17.45 pm Byrne
  11.  9.04.01 2.18.43 pm Byrne
  12.  9.04.01 2.19.24 pm Byrne
  13.  9.04.01 2.19.52 pm Byrne
  14.  9.04.01 2.21.10 pm Byrne
  15.  9.04.01 2.21.54 pm Byrne
  16.  9.4.01 2.22.05 pm Byrne
  17.  9.4.01 2.22.40 pm Byrne
  18.  9.4.01 2.24.22 pm Byrne
  19.  9.4.01 2.25.20 pm Byrne
  20.  9.4.01 2.25.38 pm Byrne
  21.  9.4.01 2.27.32 pm Byrne
- ax.  CRD\_0033210-3308
1.  12.14.2001 1.10.46 am Byrne
  2.  12.14.2001 1.13.02.pm Byrne
  3.  12.14.2001 1.14.01.pm Byrne
  4.  12.14.2001 1.18.01.pm Byrne
  5.  12.14.2001 1.19.17.pm Byrne
  6.  12.14.2001 1.21.22.pm Byrne
  7.  12.14.2001 1.21.36.pm Byrne
  8.  12.14.2001 1.22.11.pm Byrne
  9.  12.14.2001 1.24.15.pm Byrne
  10.  12.14.2001 1.24.29.pm Byrne
  11.  12.14.2001 1.25.11.pm Byrne
  12.  12.14.2001 1.26.33.pm Byrne
  13.  12.14.2001 1.27.40.pm Byrne
  14.  12.14.2001 1.35.48.pm Byrne
  15.  12.14.2001 1.37.29.pm Byrne
  16.  12.14.2001 1.41.41.pm Byrne
  17.  12.14.2001 1.44.16.pm Byrne
  18.  12.14.2001 1.45.06.pm Byrne
  19.  12.14.2001 1.46.33.pm Byrne
  20.  12.14.2001 1.46.51.pm Byrne
  21.  12.14.2001 1.57.13.pm Byrne
  22.  12.14.2001 2.02.35.pm Byrne
  23.  12.14.2001 2.06.43.pm Byrne
  24.  12.14.2001 2.17.05.pm Byrne
- ay.  CRD\_0033309-3312
1.  12.27.2001 3.31.51 pm Byrne
- az.  CRD\_0033313\_3317
1.  12.28.2001 4.56.46 pm Byrne
- ba.  CRD\_0033318\_3321
1.  12.28.2001 5.02.43 pm Byrne
- bb.  CRD\_0033322\_3325
1.  12.28.2001 5.09.27 pm Byrne
























































- bc.  CRD\_0033326\_3328
  - 1.  12.28.2001 5.11.30 pm Byrne
- bd.  CRD\_0033329-338
  - 1.  1.14.2002 1.51.45 pm Byrne
- be.  CRD\_0033339-357
  - 1.  12.28.2001 2.55.01 pm Byrne
  - 2.  12.28.2001 3.05.23 pm Byrne
  - 3.  12.28.2001 3.07.36 pm Byrne
- bf.  CRD\_0033358-361
  - 1.  12.28.2001 3.20.56 pm Byrne
- bg.  CRD\_0033362-364
  - 1.  12.28.2001 3.22.52 pm Byrne
- bh.  CRD\_0033365-373
  - 1.  12.28.2001 3.34.36 pm Byrne
- bi.  CRD\_0033374-389
  - 1.  12.28.2001 4.01.53 pm Byrne
  - 2.  12.28.2001 4.12.15 pm Byrne
- bj.  CRD\_0033390-396
  - 1.  12.28.2001 4.46.30 pm Byrne
- bk.  CRD\_0033397-401
  - 1.  12.28.2001 4.50.48 pm Byrne
- bl.  CRD\_0033402-404
  - 1.  12.28.2001 4.54.36 pm Byrne
- bm.  CRD\_0033405-408
  - 1.  1.16.01 3.09.50 pm Houldsworth
- bn.  CRD\_0033409-420
  - 1.  12.7.00 6.11.07 pm houldsworth
  - 2.  12.7.00 6.15.01 pm houldsworth
- bo.  CRD\_0033421-438
  - 1.  12.7.00 6.50.10 pm Houldsworth
  - 2.  12.7.00 6.55.55 pm Houldsworth
  - 3.  12.7.00 7.06.17 pm Houldsworth
- bp.  CRD\_0033439-480
  - 1.  12.8.00 1.02.05 pm Houldsworth
  - 2.  12.8.00 1.12.28 pm Houldsworth
  - 3.  12.8.00 1.18.39 pm Houldsworth
- bq.  CRD\_0033461-467
  - 1.  12.8.00 4.35.07 pm Houldsworth
  - 2.  12.8.00 4.37.54 pm Houldsworth
- br.  CRD\_0033468-480
  - 1.  12.8.00 5.31.13 pm Houldsworth
  - 2.  12.8.00 5.41.35 pm Houldsworth
- bs.  CRD\_0033481-484
  - 1.  12.11.00 2.02.46 pm Houldsworth
- bt.  CRD\_0033485-491
  - 1.  12.11.00 2.27.45 pm Houldsworth
- bu.  CRD\_0033492-497
  - 1.  12.11.00 4.02.08 pm Houldsworth
- bv.  CRD\_0033533-582
  - 1.  12.03.01 01.14.03 pm Byrne
  - 2.  12.03.01 01.24.25 pm Byrne
  - 3.  12.03.01 01.27.19 pm Byrne
  - 4.  12.03.01 01.37.40 pm Byrne
  - 5.  12.03.01 01.39.32 pm Byrne
  - 6.  12.03.01 01.42.22 pm Byrne
  - 7.  12.03.01 02.03.07 pm Byrne
- bw.  CRD\_0034662-665
  - 1.  01.16.01\_3.22.49

- bx.  CRD\_0034666-693
  - 1.  12.20.2001 03.02.39 pm Byrne
  - 2.  12.20.2001 03.13.02 pm Byrne
- by.  CRD\_0034694-707
  - 1.  1.22.02 12.07.31 pm Byrne
  - 2.  1.22.02 12.07.47 pm Byrne
  - 3.  1.22.02 12.08.33 pm Byrne
  - 4.  1.22.02 12.12.05 pm Byrne
  - 5.  1.22.02 12.13.28 pm Byrne
- bz.  CRD\_0034708-748
  - 1.  1.28.02 7.05.44 pm Byrne
  - 2.  1.28.02 7.07.53 pm Byrne
  - 3.  1.28.02 7.08.44 pm Byrne
  - 4.  1.28.02 7.17.36 pm Byrne
  - 5.  1.28.02 7.18.33 pm Byrne
  - 6.  1.28.02 7.19.00 pm Byrne
  - 7.  1.28.02 7.27.36 pm Byrne
- ca.  CRD\_0034749-784
  - 1.  12.8.00 10.29.20 am Houldsworth
  - 2.  12.8.00 10.39.42 am Houldsworth
  - 3.  12.8.00 10.50.06 am Houldsworth
- cb.  CRD\_0035640-697
  - 1.  11.29.01 03.57.32\_redacted
  - 2.  11.29.01 04.07.55 redacted\_A
  - 3.  11.29.01 04.07.55 redacted\_B
  - 4.  11.29.01 04.18.18 pm Byrne
  - 5.  11.29.01 04.28.42 pm Byrne
  - 6.  11.29.01 04.39.06 pm Byrne
  - 7.  11.29.01 04.40.40 pm Byrne
  - 8.  11.29.01 04.41.38 pm Byrne
  - 9.  11.29.01 04.50.12 pm Byrne
- cc.  CRD\_0036594-621
  - 1.  12.29.00 1.49.25 pm Houldsworth\_
  - 2.  12.29.00 1.59.47 pm Houldsworth
  - 3.  12.29.00 2.10.10 pm Houldsworth
  - 4.  12.29.00 2.20.34 pm Houldsworth
- cd.  CRD\_0036622-631
  - 1.  2.7.01 11.13.43 am Houldsworth
  - 2.  2.7.01 11.15.02 am Houldsworth
- ce.  CRD\_0036632-650
  - 1.  2.16.01 2.08.23 pm Houldsworth
  - 2.  2.16.01 2.08.58 pm Houldsworth
  - 3.  2.16.01 2.11.55 pm Houldsworth
  - 4.  2.16.01 2.12.21 pm Houldsworth
  - 5.  2.16.01 2.22.43 pm Houldsworth
  - 6.  2.16.01 2.33.07 pm Houldsworth
- cf.  CRD\_0036651-669
  - 1.  2.21.01 11.14.12 am Houldsworth
  - 2.  2.21.01 11.24.35 am Houldsworth
- cg.  CRD\_0036670-673
  - 1.  01.08.2002 11.51.24 am Byrne
  - 2.  01.08.2002 11.52.44 am Byrne
  - 3.  01.08.2002 11.54.04 am Byrne
- ch.  CRD\_0036674-677
  - 1.  1.29.02 5.55.14 pm Byrne
- ci.  CRD\_0036678-685
  - 1.  1.29.02 7.02.59 pm Byrne

- cj.  CRD\_0036720-743
  - 1.  11.20.2000 02.16.13 pm Houldsworth
  - 2.  11.20.2000 02.26.37 pm Houldsworth
  - 3.  11.20.2000 02.37.00 pm Houldsworth
- ck.  CRD\_0036744-758
  - 1.  11.21.00 5.20.30 pm Byrne
  - 2.  11.21.00 5.26.10 pm Byrne
  - 3.  11.21.00 5.30.26 pm Byrne
- cl.  CRD\_0036759-770
  - 1.  12.11.00 4.11.18 pm Houldsworth
- cm.  CRD\_0036771-806
  - 1.  9.19.01 5.00.59 pm Byrne
  - 2.  9.19.01 5.06.28 pm Byrne
  - 3.  9.19.01 5.12.08 pm Byrne
  - 4.  9.19.01 5.14.00 pm Byrne
- cn.  CRD\_0037937-986
  - 1.  12.21.2001 12.37.27 pm Byrne
  - 2.  12.21.2001 12.47.49 pm Byrne
  - 3.  12.21.2001 12.53.39 pm Byrne
  - 4.  12.21.2001 12.54.48 pm Byrne
  - 5.  12.21.2001 12.58.32 pm Byrne
  - 6.  12.21.2001 13.08.55 pm Byrne
- co.  CRD\_0065283-503
  - 1.  12.11.00 2.45.51 pm Houldsworth
  - 2.  12.11.00 2.56.13 pm Houldsworth
  - 3.  12.11.00 3.06.37 pm Houldsworth
- cp.  CRD\_0065304-323
  - 1.  1.29.01 10.42.45 am houldsworth\_e
  - 2.  1.29.01 10.53.07 am houldsworth
- cq.  CRD\_0065324\_329
  - 1.  1.29.02 5.41.47 pm Byrne
- cr.  CRD\_0065931-951
  - 1.  11.29.2000 12.57.55 pm Houldsworth
  - 2.  11.29.2000 13.08.18 pm Houldsworth
- cs.  CRD\_0065952-964
  - 1.  11.30.2000 4.39.20 pm Houldsworth
  - 2.  11.30.2000 4.49.42 pm Houldsworth
- ct.  CRD\_0065965-974
  - 1.  12.06.2000 9.36.28 am Byrne
- cu.  CRD\_0065975-980
  - 1.  12.06.2000 2.14.41 pm Houldsworth
- cv.  CRD\_0065981-997
  - 1.  07.30.2001 2.04.04 pm Byrne
  - 2.  07.30.2001 2.14.26 pm Byrne
- cw.  CRD\_0065998-021
  - 1.  12.18.2001 5.00.38 pm Byrne
  - 2.  12.18.2001 5.11.01 pm Byrne
  - 3.  12.18.2001 5.15.08 pm Byrne
  - 4.  12.18.2001 5.16.56 pm Byrne
- cx.  CRD\_0066022-076
  - 1.  3.22.02 01.39.11 pm Byrne
  - 2.  3.22.02 01.49.34 pm Byrne
  - 3.  3.22.02 01.59.57A pm Byrne
  - 4.  3.22.02 01.59.57B pm Byrne
  - 5.  3.22.02 02.10.21 pm Byrne
  - 6.  3.22.02 02.20.45 pm Byrne
- cy.  CRD\_0066077-115
  - 1.  5.20.2002 5.41.24 pm Byrne

- 2.  5.20.2002 5.51.45 pm Byrne
- 3.  5.20.2002 6.02.09 pm Byrne
- cz.  CRD\_0066116-149 - Redacted
  - 1.  5.21.2002 12.47.07 pm Byrne\_A
  - 2.  5.21.2002 12.47.07 pm Byrne\_B
  - 3.  5.21.2002 12.57.29 pm Byrne
  - 4.  5.21.2002 13.07.53 pm Byrne
- da.  CRD\_0066598-600
  - 1.  12.31.2001 9.57.36 am Byrne
- db.  CRD\_0066601-604
  - 1.  12.31.2001 9.57.36 am Byrne
- dc.  CRD\_0066605-608
  - 1.  01.07.2002 1.31.22 pm Byrne
- dd.  CRD\_0066609-673
  - 1.  2.01.02 2.31.38 pm Byrne
  - 2.  2.01.02 2.32.56 pm Byrne
  - 3.  2.01.02 2.43.19 pm Byrne
  - 4.  2.01.02 2.49.34 pm Byrne
  - 5.  2.01.02 2.52.38 pm Byrne
  - 6.  2.01.02 2.57.59 pm Byrne
  - 7.  2.01.02 3.08.21 pm Byrne
- de.  CRD\_0066674-709
  - 1.  9.13.2002 10.17.06 am Byrne
  - 2.  9.13.2002 10.27.28 am Byrne
  - 3.  9.13.2002 10.36.00 am Byrne
  - 4.  9.13.2002 10.36.24 am Byrne
  - 5.  9.13.2002 10.37.42 am Byrne
  - 6.  9.13.2002 10.37.55 am Byrne
  - 7.  9.13.2002 10.38.47 am Byrne
- df.  CRD\_0067222-226
  - 1.  01.08.2001 9.58.18 am Byrne\_edited
- dg.  CRD\_0067227-252
  - 1.  01.08.2001 11.58.13 am Byrne
  - 2.  01.08.2001 12.08.35 pm Byrne
  - 3.  01.08.2001 12.18.58 pm Byrne
- dh.  CRD\_0067253-283
  - 1.  01.10.2001 12.17.35 pm Byrne
  - 2.  01.10.2001 12.27.57 pm Byrne
- di.  CRD\_0067284-306
  - 1.  1.11.2001 2.28.05 pm Byrne
  - 2.  1.11.2001 2.29.52 pm Byrne
- dj.  CRD\_0067307-327
  - 1.  11.16.01 2.55.33 pm Byrne
  - 2.  11.16.01 3.04.03 pm Byrne
  - 3.  11.16.01 3.04.40 pm Byrne
- dk.  CRD\_0067328-333
  - 1.  5.31.2002 12.54.24 pm Byrne
- dl.  CRD\_0067334-354
  - 1.  6.24.2002 1.25.36 pm Byrne
  - 2.  6.24.2002 1.28.55 pm Byrne
  - 3.  6.24.2002 1.30.39 pm Byrne
  - 4.  6.24.2002 1.31.10 pm Byrne
  - 5.  6.24.2002 1.32.03 pm Byrne
- dm.  CRD\_0067819-821
  - 1.  11.14.00 1.11.13 pm Houldsworth
- dn.  CRD\_0067822-852
  - 1.  12.27.2001 1.20.12 pm Byrne

- 2. 12.27.2001 1.24.35 pm Byrne
- 3. 12.27.2001 1.34.56 pm Byrne
- 4. 12.27.2001 1.45.20 pm Byrne
- do. CRD\_0067853-875
  - 1. 3.12.02 9.30.38 am Byrne
  - 2. 3.12.02 9.41.00 am Byrne
- dp. CRD\_0067876-899
  - 1. 4.22.2002 3.48.05 pm Byrne
  - 2. 4.22.2002 3.58.26 pm Byrne
- dq. CRD\_0067900-984
  - 1. 5.22.02 12.52.08 pm Houldsworth
  - 2. 5.22.02 13.02.30 pm Houldsworth
  - 3. 5.22.02 13.06.51 pm Houldsworth
  - 4. 5.22.02 13.10.46 pm Houldsworth
  - 5. 5.22.02 13.12.28 pm Houldsworth
  - 6. 5.22.02 13.19.32 am Houldsworth
  - 7. 5.22.02 13.23.01 am Houldsworth
  - 8. 5.22.02 13.28.28 pm Houldsworth
  - 9. 5.22.02 13.28.54 pm Houldsworth
  - 10. 5.22.02 13.33.59 pm Houldsworth
- dr. CRD\_0069485-495
  - 1. 12.11.00 10.10.37 pm Houldsworth
  - 2. 12.11.00 10.11.25 pm Houldsworth
- ds. CRD\_0069496-551
  - 1. 01.09.2001 10.36.25 am Byrne
  - 2. 01.09.2001 10.46.47 am Byrne
  - 3. 01.09.2001 10.57.10 am Byrne
  - 4. 01.09.2001 11.03.32 am Byrne
- dt. CRD\_0069552-556
  - 1. 2.19.01 3.49.40 pm Houldsworth
- du. CRD\_0069557-559
  - 1. 2.19.01 3.39.17 pm Houldsworth
- dv. CRD\_0069560-567
  - 1. 12.20.2002 10.08.39 am Byrne
  - 2. 12.20.2002 10.10.23 am Byrne
  - 3. 12.20.2002 10.10.44 am Byrne
  - 4. 12.20.2002 10.10.59 am Byrne
  - 5. 12.20.2002 10.12.02 am Byrne
  - 6. 12.20.2002 10.12.57 am Byrne
  - 7. 12.20.2002 10.13.57 am Byrne
- dw. CRD\_0078070-72 - produced on 3-24-06
  - 1. 07.09.2001 10.07.24 am Byrne
- dx. GR1\_1100899-1100917
  - 1. 12.04.00-P01.29.56-P01.52.44
- dy. Ferguson et al. Complaint
- dz. Houldsworth Napier FIRST AMENDED COMPLAINT
- 2. Ferguson et al. Complaint
- 3. Houldsworth Napier FIRST AMENDED COMPLAINT
- d. 2010-06-27 Production
  - 1. 6.28.10 OCIE Production to OCG
    - a. 2003 Hedge Fund Sweep
      - 1. Individual Reports
      - 2. 2003 reviewof bd activities with respect to hedge funds summary memo
      - 3. beacon exam report 082004
      - 4. Bornhoft Group Securities Corp Deficiency Letter
      - 5. Bornhoft Group Securities Corp Exam Report
      - 6. California Network 2003

















7.  Douglas03nc
  8.  Douglas03nc (2)
  9.  FarHills03no
  10.  Hamilton Miller Investments Deficiency Letter
  11.  Hamilton Miller Investments Exam Report
  12.  HedgeWorld03nc
  13.  HedgeWorld03nc (2)
  14.  Joint Hedge Fund Sweep Supplemental Exam Report-Prudentialt (2)
  15.  Im examrptfinal010504
  16.  Schwab-SEChedgedfund examoutline71403
- b.  ETF Sweep
1.  Individual Reports
    - a.  Final IAIC ETF Summary Report 1-13-2010
    - b.  IA2009NYRO00118 - Rafferty Asset Management LLC- IC2009NYRO00118 Direxion Shares
    - c.  IA2009PLRO00061 - ProShare Advisors LLC- IC2009PLRO00017 ProShares
  2.  Final IAIC ETF Summary Report 1-13-2010
  3.  OCIE ETF Cover Memo 12312009 (2)
- c.  Money Market Funds Exposure to SIVs4.4.08
1.  Individual Reports
    - a.  IA2008BRO00020 - Evergreen Investment Management Co
    - b.  IA2008BRO00021 - Putnam Investment Management LLC
    - c.  IA2008BRO00022 - Columbia Management Advisors LLC
    - d.  IA2008BRO00024 - Fidelity Management & Research Co.
    - e.  IA2008CHRO00126 - Munder Capital Management
    - f.  IA2008LARO061 - ING Investments LLC
    - g.  IA2008NYRO000108 - Credit Suisse Asset Management, LLC MMF Letter
    - h.  IA2008NYRO000108 - Credit Suisse Asset management, LLC MMF Report
    - i.  IA2008NYRO000132 - Williams Capital Management Trust MMF Letter
    - j.  IA2008NYRO000132 - Williams Capital Management Trust MMF Report
    - k.  IA2008NYRO00105 - Neuberger Berman Management Inc.
    - l.  IA2008PLRO00032- Federated Money Market Fund Exam
    - m.  IA2008PLRO00034- SEI Investment Management Corporation
    - n.  IA2008PLRO00035- Utendahl Capital Management L.P.
    - o.  IA2008PLRO00041- Legg Mason Partners Fund Advisor, LLC
    - p.  IA2008SFRO00036 - HighMark Capital Management - RITES Rpt
    - q.  IA2008SFRO00046 - Charles Schwab Investment Mgmt Inc
    - r.  IA2008SFRO00047 - Wells Fargo Funds Management
    - s.  IA2008SFRO00048 - Barclays Global Fund Advisors
    - t.  IA2008SFRO00049 - Russell Investment Mgmt Company
    - u.  IC2008ARO00004 - STI Classic Funds
    - v.  IC2008BRO00011 - Eaton Vance Funds
    - w.  IC2008CHRO00012 - RiverSource MMF 08
    - x.  IC2008CHRO00013 - Thrivent MMF 08
    - y.  IC2008CHRO00014 - Victory MMF 08
    - z.  IC2008CHRO00057 - First American Funds
    - aa.  IC2008DRO00006 - Centennial MM Trust & IA2008DRO00031 - Centennial Asset Mgmt Corp
    - ab.  IC2008DRO00007 - Janus Inst'l MM Fund & IA2008DRO00032 - Janus Capital Mgmt., LLC
    - ac.  IC2008DRO00008 - Security Cash Fund & IA2008DRO00030 - Security Investors, LLC
    - ad.  IC2008DRO00009 W\_R Advisors Cash Mgmt IA2008DRO00034 W\_R Inv Mgmt Co
    - ae.  IC2008FWRO0005 & IA2008FWRO00045 - AIM, et al ...(MM)
    - af.  IC2008LARO003 - Cash Management Trust of America
    - ag.  IC2008NYRO000026 - TIAA-CREF MMF Letter
    - ah.  IC2008NYRO000026 - TIAA-CREF MMF Report

- ai. IC2008NYRO00019 - BNY Hamilton Funds, Inc MMF letter
- aj. IC2008NYRO00019 - BNY Hamilton Funds, Inc MMF rpt
- ak. IC2008PLRO 0005- T Rowe Price Money Market Fund Exam
- al. IC2008PLRO 006- MTB Complex Money Market Fund Exam
- 2. Info memo
- 3. Information%20Memorandum%20-%20Concerning%20Select%20Money%20Market%20Funds
- d. Porfolio Holdings of Select MM Funds
  - 1. MM Fund II - FINAL
  - 2. Request for MMF Portfolio Information
- e. Q BD Prime Brokerage Activities for Compliance
  - 1. Individual exam reports and Summary Memo (PDFs)
    - a. BD2008NYRO00015
    - b. CITIGROUP09NC
    - c. DBSPrimeBrokerage-Report08NC
  - 2. Information Memo - BD Prime Brokerage Summ Rpt
  - 3. Prime Brokerage RiTE
- f. Q Insured Principal
  - 1. Q Insured Principal
    - a. 05F26679 - ACI
    - b. 05F32213 - Beneficial
    - c. BroderickIPCE05r redact - FINAL
    - d. CCMG final report
    - e. CMG Cap Mgmt Grp IPS rptfinal
    - f. Fairport Report - final
    - g. FINAL FFP Report
    - h. InsightIPCE05r redact- final
    - i. LBS Report - Final
    - j. McDaniel 05F62611rl
    - k. MRM Report - Final
    - l. MVP report final
    - m. Newport Report - final
    - n. Perritt report - final
    - o. Schield Report - final
    - p. Summit Report - final
  - 2. FWRO Insured Principal Sweep rpt.lar
  - 3. IP Scope Memo
- g. Q Mutual Funds' Investments in Exchange Traded Fun
  - 1. Individual Exam Reports
    - a. AIGETF LTR2
    - b. AIM-Invesco ETF final
    - c. American Independence ETF Report
    - d. AmericanSkandia-Pru final
    - e. amsoETF
    - f. BBT.rpt
    - g. Columbiareport ltr-final
    - h. deutscheFinal Report5
    - i. DreyETF
    - j. Fifth Third Rpt
    - k. Gartmore ETF Report
    - l. GEFundsReportETF2
    - m. huntETF
    - n. IC2004NERO075 - American Century Family of Funds
    - o. ING ETF rptltr -final
    - p. Legg Mason Report
    - q. MainETF







- r. Mercantile Rpt
- s. Merrill Lynch ETF Report
- t. Nations - ETF - final
- u. NewCenturyETFExam2
- v. Old Westbury Funds4
- w. OneGroup-Rpt
- x. OppenETFReport 2
- y. PIMCO ETF Report
- z. PIMCO MMS ETF final
- aa. PionETF.rpt
- ab. Principal Rpt
- ac. Putnam ETF Report
- ad. Quaker.rpt
- ae. report.advisorseriestrust.complex2
- af. report.Phoenix972
- ag. ThrivETF-Rpt
- ah. Waddel& IReedAppA
- ai. WadReedRpt
- 2. ETFFOCUSMEMO
- 3. IC Investment in ETFs Sweep Final Summary Report
- h. Q Structured Loan Product Collateral Pool Managers
  - 1. NYRO CDO Sweep Memo2
- 2. 6.29.2010 Production
  - a. 06 29 2010 FCIC transmittal derivatives supp
  - b. Asset-Backed Securities Proposed Rule
  - c. CDS Exemption Order 2008-11-12
  - d. citigroup\_cds\_charts\_20081230
  - e. citigroup\_cds\_charts\_20081230more
  - f. citigroup\_production\_summary\_20091005
  - g. CME - Central Clearing of CDS (12-14-09)
  - h. Crisis and Management\_CRSP
  - i. Draft SEC outline on OTC Derivs Legislation Points
  - j. Eurex Clearing AG - Central Clearing of CDS (4-23-10)
  - k. Final Rule - Extension of Temporary Exemptions for Eligible CDS (9-14-09)
  - l. Hong-Ahn margin memo
  - m. Hu ORA memo 090909 final
  - n. ICE Trust U.S. - Central Clearing of CDS (3-05-10)
  - o. MER\_charts\_20090127
  - p. MS\_chart\_collection2
  - q. mutual-fund-directors-forum-speech-20100415-david-ruder-2010\_04\_16\_15\_57\_43
  - r. Required Technical Changes
  - s. review on the BIS ABX paper
  - t. Risk Fin-to-Nisanci-Lehman-March 24 2010
  - u. Risk Management and FR
  - v. STBTerm sheet-May 20, 2010
  - w. Summary of Risk Fin Study on CDS Trading
  - x. Temporary Exemptions for Eligible CDS (1-14-09)
  - y. UBC Derivatives notes
  - z. WM\_charts\_20090127
- 3. Memoranda
  - a. 2003 08 12 IOSCO Standing Committee 2 and Committee 5
  - b. 2010 03 13 Briefing memo chairman (use of derivatives)
  - c. 2010 05 06 ETF Derivatives Chairman talking points 5 6
  - d. 2010 05 28 Suggested timeline for IC Derivatives project
  - e. 2010 06 21 Some Discussion Points on Registered Investment Companies and Derivatives
- 4. Speeches and Testimony

































- a.  2000 02 03 SEC Speech Investing in the Internet Age What You Should Know and What Your Computer May
- b.  2000 02 10 arkets and The Commodity Exchange Act
- c.  2000 02 12 SEC Speech Investing With Your Eyes Open (A\_ Levitt)
- d.  2000 02 15 SEC Testimony OTC Derivatives Markets (A\_ L\_ Nazareth)
- e.  2000 02 18 SEC Speech Points for Muni Issuers in Good Times (P\_ Maco)
- f.  2000 02 28 SEC Testimony The Competitive Market Supervision Act (A\_ Levitt)
- g.  2000 03 03 SEC Speech Current SEC Financial Fraud Developments (I\_ C\_ Hunt)
- h.  2000 03 06 SEC Speech Remarks at The Finance Conference 2000 (A\_ Levitt)
- i.  2000 03 16 SEC Speech Visible Prices, Accessible Markets, Order Interaction (A\_ Levitt)
- j.  2000 03 24 SEC Speech International Reporting Issues (L\_ Turner)
- k.  2000 04 11 SEC Testimony Contracts, Hedge Funds, OTC Derivatives \_\_\_ (A\_ Nazareth)
- l.  2000 05 05 SEC Speech Remarks at the Annual Options Industry Conference (A\_ Levitt)
- m.  2000 05 08 SEC Testimony Preserving, Strengthening the U\_S\_ National Market System (A\_ Levitt)
- n.  2000 05 15 SEC Speech Current SEC International Accounting and Selective Disclosure Developments (I\_
- o.  2000 05 17 SEC Speech Empowering Investors in an Electronic Age (L\_ Unger)
- p.  2000 05 22 SEC Speech A Regulator's Perspective on the Needs of the Capital Markets (M\_ B\_ Tokar)
- q.  2000 05 24 SEC Speech Market Structure 2000 and Beyond (Annette Nazareth)
- r.  2000 06 04 SEC Testimony H\_R\_ 4541, The Commodity Futures Modernization Act of 2000(A\_ Nazareth)
- s.  2000 06 13 SEC Speech Securities Law and the Internet (L\_ Unger)
- t.  2000 06 13 SEC Testimony Decimal Pricing in the Securities and Options Markets (A\_ Levitt)
- u.  2000 06 21 SEC Testimony S\_ 2697, The Commodity Futures Modernization Act of 2000(A\_ Levitt)
- v.  2000 06 30 SEC Speech Remarks, American Society of Corporate Secretaries (I\_ Hunt)
- w.  2000 07 12 SEC Testimony H\_R\_ 4541, The Commodity Futures Modernization Act of 2000 (A\_ Levitt)
- x.  2000 07 19 SEC Testimony H\_R\_ 4541, The Commodity Futures Modernization Act of 2000(A\_ Nazareth)
- y.  2000 07 25 SEC Speech Opening Statement Commission Open Meeting on Market Structure Initiatives (A\_
- z.  2000 07 28 SEC Speech Securities Law and the Internet (L\_ Unger)
- aa.  2000 09 11 SEC Speech Self-Regulation in the New Era (L\_ Richards)
- ab.  2000 09 13 SEC Testimony Organized Crime on Wall Street (R\_ Walker)
- ac.  2000 09 22 SEC Speech Keynote Address Regulation of Mutual Funds in the United States(P\_ Roye)
- ad.  2000 11 02 SEC Speech Call Them As You See Them (Jackson M\_ Day)
- ae.  2000 11 03 SEC Speech Costs Paid With Other People's Money (A\_ Levitt)
- af.  2000 11 09 SEC Speech 60th Anniversary of the Investment Company Act (A\_ Levitt)
- ag.  2000 11 10 SEC Speech The Future is Now (L\_ Turner)
- ah.  2000 11 14 SEC Speech Importance of Strong Internal Controls in a Changing World (L\_ Richards)
- ai.  2000 11 14 SEC Speech The Right and Wrong of Board Oversight(P\_ Roye)
- aj.  2000 12 05 SEC Speech Fair Value Accounting - Let's Work Together and Get It Done! (J\_ Day)
- ak.  2000 12 06 SEC Speech International Reporting Issues (C\_ Olinger)
- al.  2000 12 12 SEC Speech Remarks, Conference on the Rise and Effectiveness of New Corporate Governance
- am.  2001 01 08 SEC Speech Current Activities of the Office of the Chief Accountant (J\_ Day)
- an.  2001 01 08 SEC Speech The National Market System\_\_\_ (A\_ Levitt)
- ao.  2001 01 16 SEC Speech The Future for America's Investors (A\_ Levitt)
- ap.  2001 01 29 SEC Speech Trading Floors Versus Computer Networks (L\_ Unger)
- aq.  2001 01 31 SEC Speech Social Security Privatization (P\_ Carey)
- ar.  2001 02 14 SEC TestimonyConcerning the Competitive Market Supervision Act of 2001 (L\_ Unger)
- as.  2001 02 20 SEC Speech New Regulatory Structures (A\_ Nazareth)








































- at.  2001 03 02 SEC Speech Financial Reporting Issues in 2001 (R\_ Bayless)
- au.  2001 03 02 SEC Speech What's New in the Land of Regulation (L\_ Unger)
- av.  2001 03 07 SEC Testimony Concerning Fee Collections Required by the Federal Securities Laws (L\_ Unge)
- aw.  2001 03 19 SEC Speech Mutual Funds and Investment Management (P\_ Roye)
- ax.  2001 03 26 SEC Speech Managing the Revolution (P\_ Roye)
- ay.  2001 04 03 SEC Speech SEC Update Transparent Financial Reporting and Disclosures (L\_ Turner)
- az.  2001 04 27 SEC Speech Keynote Address at the 2001 Options Industry Conference (A\_ Nazareth)
- ba.  2001 05 03 SEC Speech Security Traders Association - Washington Congressional Conference (A\_ Nazaret)
- bb.  2001 05 04 SEC Speech Keynote Address, Glasser LegalWorks 5th Annual Investment Advisors Compliance
- bc.  2001 05 04 SEC Speech Remarks at New Challenges Facing Capital Markets (I\_ Hunt)
- bd.  2001 05 06 SEC Speech Timeless Principles of Investing in an Electronic Age (L\_ Unger)
- be.  2001 05 18 SEC Speech Navigating the Mutual Fund Industry Through Challenging Times (P\_ Roye)
- bf.  2001 05 18 SEC Speech The Times, They Are a-Changing (L\_ Turner)
- bg.  2001 05 24 Testimony The Effects of Decimalization on the Securities Markets (L\_ Unger)
- bh.  2001 06 07 SEC Speech The State of Financial Reporting Today An Unfinished Chapter II (L\_ Turner)
- bi.  2001 06 08 SEC Speech Regulatory and Compliance Issues in a Decimalized Environment (A\_ Nazareth)
- bj.  2001 06 14 SEC Speech The Exciting World of Investment Company Regulation (P\_ Roye)
- bk.  2001 06 18 SEC Speech The Investor's Bill of Rights A Commitment for the Ages (L\_ Turner)
- bl.  2001 06 21 SEC Speech The State of Financial Reporting Today An Unfinished Chapter III (L\_ Turner)
- bm.  2001 06 25 SEC Speech Maintaining Investor Trust In A Competitive Variable Products Marketplace (P\_
- bn.  2001 06 25 SEC Speech This Year's Proxy Season Sunlight Shines on Auditor Independence and Executive
- bo.  2001 07 17 SEC Speech Risks and Opportunities for Public Pension Plans (P\_ Roye)
- bp.  2001 07 18 SEC Speech New Millennium, New Market (L\_ Unger)
- bq.  2001 07 31 Testimony Conflicts of Interest Faced by Brokerage Firms and Their Research Analysts (L\_
- br.  2001 08 02 The Functional Regulation Provisions of the Gramm-Leach-Bliley Act
- bs.  2001 08 12 SEC Speech We're Good But We Can Be Better
- bt.  2001 09 20 The Condition of the U\_S\_ Financial Markets Following the Recent Terrorist Attacks
- bu.  2001 09 26 Testimony The State of the Nation's Financial Markets in the Wake of Recent Terrorist Att
- bv.  2001 10 12 SEC Speech The Securities Industry Some Thoughts on What Lies Ahead (L\_ Unger)
- bw.  2001 11 14 SEC Speech Remarks, SEC Historical Society Marjor Issues Conference (H\_ Pitt)
- bx.  2001 11 29 SEC Speech Consumer Federation of America Financial Services Meeting (H\_ Pitt)
- by.  2001 11 8 SEC Speech Remarks, PLI 33rd Annual Institute on Securities Regulation (H\_ Pitt)
- bz.  2001 11 9 SEC Speech Remarks, Securities Industry Association Annual Meeting (H\_ Pitt)
- ca.  2001 12 06 SEC Speech Advancing Investors' Interests (R\_ Herdman)
- cb.  2001 12 11 SEC Public Statement How to Prevent Future Enrons (H\_ Pitt)
- cc.  2001 12 12 Testimony Recent Events Relating to Enron Corporation (R\_ Herdman)
- cd.  2002 01 08 SEC Speech Remarks Before The Bond Market Association Legal and Compliance Conference (A\_
- ce.  2002 01 24 SEC Speech Critical Accounting and Critical Disclosures (R\_ Herdman)
- cf.  2002 02 03 Special Study Staff Report on Enhancing Disclosure in the Mortgage-Backed Securities Mark
- cg.  2002 02 04 Testimony Concerning Legislative Solutions to Problems Raised by Events Relating to Enron
- ch.  2002 02 06 Testimony S\_ 1766 and Repeal of the Public Utility Holding Company Act of 1935 (I\_ Hunt)
- ci.  2002 02 14 SEC Testimony Are Current Financial Accounting Standards Protecting Investors (R\_
























































Herdman











































- cj.  2002 03 20 Testimony Role of Credit Rating Agencies in the U\_S\_ Securities Markets (I\_Hunt)
- ck.  2002 03 20 Testimony The Corporate and Auditing Accountability, Responsibility and Transparency Act
- cl.  2002 03 21 SEC Oral Testimony Accounting and Investor Protection Issues Raised by Enron and Other Pu
- cm.  2002 03 21 Testimony Telecommunications Accounting Issues (John M\_ Morrissey)
- cn.  2002 03 21 Written Testimony Accounting and Investor Protection Issues Raised by Enron and Other Pub
- co.  2002 04 18 SEC Speech Moving Toward the Globalization of Accounting Standards (R\_Herdman)
- cp.  2002 04 25 SEC Speech Remarks Before the Annual Meeting of the Bond Market Association (H\_Pitt)
- cq.  2002 05 03 SEC Speech 2002 Options Industry Conference (A\_Nazareth)
- cr.  2002 05 06 SEC Speech The SEC Examination Perspective (M\_Gadziala)
- cs.  2002 05 14 Testimony Roles of SEC and FASB in Establishing GAAP (R\_K\_Herdman)
- ct.  2002 05 17 SEC Speech Remarks before the Rocky Mountain Securities Conference (Alan L\_Beller)
- cu.  2002 05 22 SEC Oral Testimony Certain Pending Proposals by the European Commission (Annette Nazareth)
- cv.  2002 05 24 SEC Speech Before the Investment Company Institute, 2002 General Membership Meeting (Harv
- cw.  2002 09 25 SEC Speech ICAA STP-T+1 Conference (L\_E\_Bergmann)
- cx.  2002 10 17 SEC Speech Priorities in Investment Management Regulation (P\_Roye)
- cy.  2002 12 11 Testimony Transparent Financial Reporting for Structured Finance Transactions (A\_Nazaret
- cz.  2002 12 18 SEC Speech Remarks at the Commission Open Meeting, Dec\_ 18, 2002 (H\_Pitt)
- da.  2003 01 28 SEC Speech SEC Initiatives Under the Sarbanes-Oxley Act of 2002 (C\_Glassman)
- db.  2003 02 26 SEC Speech Risk Management and Compliance Examinations (M\_A\_Gadziala)
- dc.  2003 03 17 SEC Speech Remarks Announcing the Filing of SEC v\_ Merrill Lynch, et al\_ (S\_M\_Cutler)
- dd.  2003 04 02 Testimony Rating the Rating Agencies (A\_L\_Nazareth)
- de.  2003 04 25 SEC Speech 2003 Options Industry Confernece
- df.  2003 05 07 SEC Speech Remarks before the American Enterprise Institute (P\_Atkins)
- dg.  2003 05 09 SEC Speech Remarks at the PLI Conference on International Securities Markets 2003 (Annett
- dh.  2003 09 24 SEC Speech Remarks - Strengthening Investor Confidence Through Sound Compliance and Risk
- di.  2003 12 11 SEC Speech 2003 Thirty-First AICPA National Conference on Current SEC Developments Decemb
- dj.  2003 12 11 SEC Speech 2003 Thirty-First AICPA National Conference on Current SEC Developments Decemb
- dk.  2003 12 11 SEC Speech 2003 Thirty-First AICPA National Conference on Current SEC Developments Decemb
- dl.  2004 02 10 SEC Testimony
- dm.  2004 03 01 SEC Speech Annual Conference Institute of International Bankers March 1, 2004 (Cynthia A\_
- dn.  2004 05 13 SEC Testimony Global Markets, National Regulation, and Cooperation (Ethiopsis Tafara), May
- do.  2004 06 08 SEC Speech Remarks at the Annual Meeting of the American Securitization Forum (Alan L\_ Be
- dp.  2004 07 15 SEC Testimony Investor Protection and the Regulation of Hedge Funds Advisers (William H\_
- dq.  2004 07 21 Testimony Concerning Proposals to Modernize the National Market System (Chairman William
- dr.  2004 09 14 Hedge Fund Best practices
- ds.  2004 10 29 SEC Speech Speech to Detroit Economic Club October 29, 2004 (Chairman William H\_Donaldso
- dt.  2004 11 30 SEC Speech General Session Speaker at the SIA Hedge Funds Conference November 30, 2004 (P

- du.  2004 5 12 Speech by SEC Chairman Remarks to the National Association of Securities Dealers
- dv.  2004 6 14 SEC Speech Remarks before the National Association for Variable Annuities June 14, 2004 (C
- dw.  2004 9 17 SEC Speech The Global Marketplace and a Regulatory Overview September 17, 2004 (Roel C\_ Ca
- dx.  2005 01 28
- dy.  2005 01 28 SEC Speech Remarks before the ALI-ABA Course of Study Investment Adviser Regulation Janua
- dz.  2005 02 09 Testimony Concerning Accounting
- ea.  2005 05 20 SEC Speech Remarks before the SIA Market Structure Conference May 20, 2005 (Annette L\_ Na
- eb.  2005 06 28 letter from William Donaldson to Thomas Cochran
- ec.  2005 07 12 SEC Speech Remarks before the Managed Funds Association July 12, 2005 (Commissioner Roel
- ed.  2005 07 19 SEC Speech SIA Compliance and Legal Division Member Luncheon July 19, 2005 (Annette L\_ Na
- ee.  2005 09 14 SEC Speech Remarks before the SIA-Hedge Fund Conference September 14, 2005 (Commissioner
- ef.  2005 09 29 SEC Speech Remarks before the Managed Funds Association September 29, 2005 (Commissioner
- eg.  2005 10 07 SEC Speech Remarks before the STA Annual Conference October 7, 2005 (Commissioner Annette
- eh.  2005 11 18 SEC Speech
- ei.  2005 12 08 SEC Speech The Growth of Derivative Securities New York, New York Dec\_ 8, 2005 (Chester S
- ej.  2006 02 07 SEC Speech The SEC Examination Program Coordination and Priorities New York, NY Feb\_ 7, 2
- ek.  2006 02 15 SEC Speech Remarks Before the Mutual Fund Directors Forum (Brian G\_ Cartwright); February
- el.  2006 03 03 Speech by SEC Commissioner Remarks Before the SEC Speaks Conference; Washington, D\_C\_; Ma
- em.  2006 03 30 SEC Chairman's Speech Remarks Before the Council of Institutional Investors (Chairman Chr
- en.  2006 05 12 SEC Speech Remarks Before the SIA Operations Conference; Phoenix, Arizona May 12, 2006 (R
- eo.  2006 05 16 Testimony Concerning Hedge Funds (Susan Ferris Wyderko, May 16, 2006)
- ep.  2006 05 23 SEC Speech Remarks at Joint News Conference with OFHEO; Washington, DC May 23, 2006 (Chri
- eq.  2006 05 23 SEC Speech Statement regarding Fannie Mae; Washington, DC May 23, 2006 (Linda Chatman Tho
- er.  2006 06 08 SEC Speech Complexity in Financial Reporting and Disclosure Regulation Pasadena, CA Jun\_
- es.  2006 06 15 Testimony Accounting Irregularities at Fannie Mae (Chairman Christopher Cox, June 16, 200
- et.  2006 07 25 Testimony Testimony Concerning the Regulation of Hedge Funds (Chairman Christopher Cox, J
- eu.  2006 09 14 Testimony Prudential Supervision of U\_S\_ Securities Firms (Robert L\_D\_ Colby, September 1
- ev.  2006 10 19 SEC Speech Broker-Dealer Examination and Compliance Developments, Washington, DC Oct\_ 19,
- ew.  2006 10 31 SEC Speech International Financial Institutions Examination Issues A Regulatory Perspecti
- ex.  2006 12 20 letter from William Brodsky to Christopher Cox
- ey.  2007 01 11 SEC Speech Remarks at the ALI-ABA Course of Study, Broker Dealer Regulation Washington, D
- ez.  2007 01 22 SEC Speech Remarks at the Corporate Directors Forum 2007, San Diego, California Jan 22, 2
- fa.  2007 01 26 SEC Speech Remarks before The California GÇÖ40 Acts Group; Los Angeles, California Jan\_ 2
- fb.  2007 02 08 SEC Speech Remarks Before the Conference of Business Economists; Washington, DC; February

























































- fc.  2007 03 26 SEC Speech Remarks Before the SIFMA Compliance and Legal Conference; Phoenix, Arizona; Ma
- fd.  2007 04 19 SEC Speech Remarks Before the Security Traders Association of New York; New York, New Yor
- fe.  2007 04 30 SEC Speech Remarks Before the 34th Annual SIFMA Operations Conference; Kissimmee, Florida
- ff.  2007 05 10 SEC Speech The Challenge of MiFID in the United States; Washington, D\_C\_ May 10, 2007
- fg.  2007 06 07 SEC Speech Remarks at the Fund Governance Forum; New York City; June 7, 2007 (Paul S\_ Atk
- fh.  2007 06 07 SEC Speech Remarks Before the PLI Hedge Fund Conference; New York, New York June 6, 2007
- fi.  2007 06 13 SEC Statement Opening Statement at the Commission Open Meeting; Washington, D\_C\_ June 13
- fj.  2007 06 27 SEC Speech Remarks before the SIFMA Risk Management Conference; New York, New York; June
- fk.  2007 07 18 SEC Speech Integrity in the Municipal Market; Los Angeles, California July 18, 2007
- fl.  2007 08 22 letter Christopher Cox to Dingell
- fm.  2007 08 22 letter Christopher Cox to Joe Barton
- fn.  2007 10 04 SEC Speech
- fo.  2007 10 19 SEC Speech
- fp.  2007 10 30 letter Crapo to Cox,Paulson,Bernanke,and Lukken
- fq.  2008 02 14 Testimony The State of the United States Economy and Financial Markets (Chairman Christop
- fr.  2008 03 26 letter from Matthew Holzmann to Christopher Cox
- fs.  2008 05 07 SEC Speech Address to the Security Traders 12th Annual Washington Conference; Washington,
- ft.  2008 06 11 letter from Christopher Cox to Charles Schumer
- fu.  2008 06 18 Testimony Testimony Concerning Oversight of Risk Management at Investment Banks (Erik Sir
- fv.  2008 07 08 letter from Christopher Cox to Charles Schumer
- fw.  2008 07 09 Testimony Regarding Reducing Risks and Improving Oversight in the OTC Credit Derivatives
- fx.  2008 07 24 Testimony Reform of the Financial Regulatory System (Christopher Cox, July 24, 2008)
- fy.  2008 08 18 letter from Christopher Cox to William Brodsky
- fz.  2008 10 08 SEC speech
- ga.  2008 10 15 Testimony Testimony Concerning Credit Default Swaps (Erik Sirri, October 15, 2008)
- gb.  2008 10 18 SEC Statement Swapping Secrecy for Transparency (staff)
- gc.  2008 11 04 SEC Statement Reinventing a Markets Watchdog (Chairman Christopher Cox)
- gd.  2008 11 12 Speech by SEC Chairman 'Building on Strengths in Designing the New Regulatory Structure'
- ge.  2008 11 17 SEC Speech Address to Institute of International Bankers; New York, NY; November 17, 2008
- gf.  2008 11 20 Testimony Concerning Credit Default Swaps (Erik Sirri, Nov\_ 20, 2008)
- gg.  2009 01 10 SEC Speech Empowering the Markets Watchdog to Effect Real Results; San Diego, California
- gh.  2009 01 20 letter from Christopher Cox to Jack Reed
- gi.  2009 01 23 SEC Speech Remarks at the Security Traders Association of Chicago Mid-Winter Meeting; Chi
- gj.  2009 02 06 SEC Speech Increasing Accountability and Transparency to Investors; Washington, D\_C\_ Feb
- gk.  2009 02 06 SEC Speech Remarks at The SEC Speaks in 2009; Washington, D\_C\_ February 6, 2009 (Elisse
- gl.  2009 04 06 SEC Speech Address to the Council of Institutional Investors; Washington, D\_C\_ April 6,
- gm.  2009 04 08 Statement Before the Commission Open Meeting; Washington, D\_C\_ April 8, 2009 (Luis A\_ Ag
- gn.  2009 05 05 SEC Speech Regulating Broker-Dealers and Investment Advisers Demarcation or Harmonization

























































- go.  2009 06 03 letter from Mary Schapiro to Larry Thompson
  - gp.  2009 06 22 Testimony Concerning Regulation of Over-The-Counter Derivatives (Chairman Mary Schapiro,
  - gq.  2009 07 13 SEC Speech Remarks at Corporate Directors Forum; San Diego, California; July 13, 2009 (Tr
  - gr.  2009 07 14 SEC Speech The New Financial Landscape Lesson of the Financial Crisis; Sydney, Australia;
  - gs.  2009 07 23 Testimony Concerning Regulation of Systemic Risk (Chairman Mary Schapiro, July 23, 2009)
  - gt.  2009 09 22 Testimony Testimony Concerning the Over-the-Counter Derivatives Markets Act of 2009 (Mary
  - gu.  2009 10 02 letter from Mary Schapiro to Spencer Bachus
  - gv.  2009 10 07 Testimony Testimony Concerning the Over-the-Counter Derivatives Markets Act of 2009 (Henr
  - gw.  2009 10 09 Remarks at the Center for the Study of International Business Law at Brooklyn Law School
  - gx.  2009 10 09 SEC Speech Creating Reform That Is Sustainable for Investors (Commissioner Luis A\_ Aguila
  - gy.  2009 10 10 SEC Speech
  - gz.  2009 10 27 SEC Speech The Road to Investor Confidence; New York, N\_Y\_ Oct\_ 27, 2009 (Chairman Mary S
  - ha.  2009 10 28 SEC Speech
  - hb.  2009 11 06 SEC Speech Investors Deserve Sustainable Reform -- Not More of the Same (Luis A\_ Aguilar;
  - hc.  2009 12 03 SEC Speech The Consumer in the Financial Services Revolution (Chairman Mary L\_ Schapiro;
  - hd.  2010 01 14 Testimony Concerning the State of the Financial Crisis (Chairman Mary Schapiro, January 1
  - he.  2010 01 20 SEC Speech Embracing the Change (Chairman Mary L\_ Schapiro; January 20, 2010)
  - hf.  2010 02 05 SEC Speech
  - hg.  2010 02 05 SEC Speech Remarks at The SEC Speaks in 2010 (Commissioner Elisse B\_ Walter; February 5,
  - hh.  2010 03 22 Schapiro Reg Reform Letter
  - hi.  2010 04 16 SEC Speech Regulatory Reform That Optimizes the Regulation of Systemic Risk (Commissioner
  - hj.  2010 04 20 letter from Mary Schapiro to Blanche Lincoln
  - hk.  2010 04 20 Lincoln4 20 2010
  - hl.  2010 04 23 SEC Speech Plans and Prospects for Financial Regulatory Reform (Commissioner Elisse B\_ Wa
  - hm.  2010 05 04 Dodd - Reg Reform - ES134491
  - hn.  2010 05 07 SEC Speech Remarks at 42nd Annual Rocky Mountain Securities Conference (Commissioner Elis
  - ho.  2010 05 18 SEC Speech Remarks at CFA Institute 2010 Annual Conference (SEC Chairman Mary L\_ Schapiro
  - hp.  2010 05 24 SEC Speech Market Upheaval and Investor Harm Should Not be the New Normal (Commissioner L
  - hq.  2010 05 26 SEC Speech Improving Municipal Securities Disclosure Our Work Is Not Yet Done (Commission
  - hr.  2010 06 08 SEC Speech Remarks at CEO Quarterly Meeting of The Business Roundtable (Chairman Mary L\_
  - hs.  2010 06 18 House OTC Conference Ltr
  - ht.  SEC Speech Luncheon Address
  - hu.  SEC Speech Remarks
5.  Trading and Markets
- a.  Data submissions (by month)
    - 1.  2005-2006
      - a.  Bear\_0806
      - b.  Bear\_July06
      - c.  Bear\_June
      - d.  Bear\_May


























































- e.  Bear01\_06
- f.  Bear10\_05
- g.  Bear11\_05
- h.  Bear12\_05
- i.  Bear2\_06
- j.  Bear3\_06
- k.  Bear4\_06
- l.  Bear9\_05
- m.  Goldman July06
- n.  Goldman\_0806
- o.  Goldman\_June
- p.  Goldman\_May
- q.  Goldman01\_06
- r.  Goldman10\_05
- s.  Goldman11\_05
- t.  Goldman12\_05
- u.  Goldman2\_06
- v.  Goldman3\_06
- w.  Goldman9\_05
- x.  Lehman\_0806
- y.  Lehman\_July 06
- z.  Lehman\_June
- aa.  Lehman\_May
- ab.  Lehman01\_06
- ac.  Lehman10\_05
- ad.  Lehman11\_05
- ae.  Lehman12\_05
- af.  Lehman2\_06
- ag.  Lehman3\_06
- ah.  Lehman9\_05
- ai.  Market Data Report - October Restated Settlements
- aj.  MarkIt 12\_05
- ak.  MarkIt April 2006
- al.  MarkIt\_0806
- am.  MarkIt\_10\_05
- an.  Markit\_July06
- ao.  Markit\_June
- ap.  Markit\_may
- aq.  MarkIt01\_06
- ar.  MarkIt10\_05
- as.  MarkIt11\_05
- at.  MarkIt12\_05
- au.  MarkIt2\_06
- av.  MarkIt3\_06
- aw.  Markit9\_05
- ax.  Merrill\_0806
- ay.  Merrill\_July06
- az.  Merrill\_June
- ba.  Merrill\_May
- bb.  Merrill01\_06
- bc.  Merrill10\_05
- bd.  Merrill11\_05
- be.  Merrill12\_05
- bf.  Merrill2\_06
- bg.  Merrill3\_06























































- bh.  Merrill9\_05
  - bi.  Morgan\_0806
  - bj.  Morgan\_July2006
  - bk.  Morgan\_June
  - bl.  Morgan\_May
  - bm.  Morgan01\_06
  - bn.  Morgan10\_05
  - bo.  Morgan11\_05
  - bp.  Morgan12\_05
  - bq.  Morgan2\_06
  - br.  Morgan3\_06
  - bs.  Morgan9\_05
2.  2006-2007
- a.  Bear\_Stearns\_CDS\_Metrics\_October2006\_FINAL
  - b.  Bear\_Stearns\_CDS\_Metrics\_September2006\_FINAL
  - c.  Bear\_Stearns\_Cross-Product\_Metrics\_August2007
  - d.  Bear\_Stearns\_Cross-Product\_Metrics\_December2007\_SEC-FINAL
  - e.  Bear\_Stearns\_Cross-Product\_Metrics\_February2007\_SEC-FINAL
  - f.  Bear\_Stearns\_Cross-Product\_Metrics\_January2007\_SEC-FINAL
  - g.  Bear\_Stearns\_Cross-Product\_Metrics\_May2007\_SEC-FINAL
  - h.  Bear\_Stearns\_Cross-Product\_Metrics\_November2007\_SEC-FINAL
  - i.  Bear\_Stearns\_Cross-Product\_Metrics\_October2007\_SEC-FINAL
  - j.  Cross-Product Metrics - Proforma - Dec07\_MS\_FINAL
  - k.  Cross-Product Metrics ML - December
  - l.  Goldman Sachs August 2007 Fed X-Product Report vFinal
  - m.  Goldman Sachs December 2007 Fed X-Product Report vFinal
  - n.  Goldman Sachs February 2007 Cross Product Report vFinal
  - o.  Goldman Sachs January 2007 Cross Product Report vFinal
  - p.  Goldman Sachs November 2007 Fed X-Product Report vFinal
  - q.  Goldman Sachs October 2006 CDS Report vFinal
  - r.  Goldman Sachs October 2007 Fed X-Product Report vFinal
  - s.  Goldman\_September06
  - t.  LB\_Cross-Product Metrics - Proforma - December Month End regulators
  - u.  LB\_Cross-Product Metrics - Proforma - November Month End.reg
  - v.  Lehman October 2007
  - w.  Lehman\_August 2007
  - x.  Lehman\_Cross Product Metrics - Proforma - March 2007 Revised\_Reg
  - y.  Lehman\_Cross-Product Metrics - Proforma - February 2007 v3.1 regulator
  - z.  Lehman\_Cross-Product Metrics - Proforma - May 2007 (2)\_reg.rev
  - aa.  Lehman\_October 2006
  - ab.  Lehman\_Sep.06
  - ac.  Market Data Report - April Month End 2007
  - ad.  Market Data Report - February 2007 - Bank D
  - ae.  Market Data Report - January 2007
  - af.  Market Data Report - May Month End 2007
  - ag.  Market Data Report - October 2006
  - ah.  Market Data Report - September Month End
  - ai.  Market Data Report August 2007 Month End
  - aj.  Market Data Report December Month End
  - ak.  Market Data Report July 2007 Month End
  - al.  Market Data Report June 2007
  - am.  Market Data Report Month End February - Commodities Restatement
  - an.  Market Data Report November Month End
  - ao.  Market Data Report October 2007
  - ap.  MarkIt\_September06




























































- aq.  Merrill Lynch October 2007
- ar.  Merrill Lynch\_August Month 2007-Fed
- as.  Merrill\_Cross-Product Metrics - Proforma - March 2007
- at.  Merrill\_Cross-Product Metrics - Proforma - May 2007-NEW
- au.  Merrill\_Cross-Product Metrics Proforma Template February FED
- av.  Merrill\_October 2006
- aw.  Merrill\_Sep2006
- ax.  ML Cross-Product Metrics - Proforma - July -Fed
- ay.  ML\_Cross-Product Metrics - Proforma-FED
- az.  Morgan Stanely August 2007\_MS\_FINAL
- ba.  Morgan Stanley Oct 2007
- bb.  Morgan Stanley\_Cross-Product Metrics - Proforma - Feb 2007\_MS\_FINAL
- bc.  Morgan Stanley\_Cross-Product Metrics - Proforma - Jan 2007\_MS\_FINAL
- bd.  Morgan Stanley\_Oct2006
- be.  Morgan\_Cross-Product Metrics - Proforma - May 2007\_final
- bf.  Morgan\_Sep2006
- bg.  MS\_Cross-Product Metrics - Proforma - November Month End\_Final
- 3.  2008
  - a.  Bear\_Stearns\_Cross-Product\_Metrics\_April2008\_SEC-FINAL
  - b.  Bear\_Stearns\_Cross-Product\_Metrics\_January2008\_SEC-FINAL
  - c.  Cross-Product LB\_Metrics - Proforma - January 08 Month End regulators
  - d.  Cross-Product Metrics - MSFinal\_Apr08
  - e.  Cross-Product Metrics - Proforma - Jan08\_MSFinal
  - f.  Goldman Sachs April 2008 Fed X-Product Report vFinal
  - g.  Goldman Sachs January 2008 Fed X-Product Report vFinal
  - h.  LB\_Cross-Product Metrics - Proforma - April Month End regulators
  - i.  Market Data Report February 08 Month End
  - j.  Market Data Report January 08 Month End
  - k.  Markit April 2008
  - l.  ML\_Cross-Product Metrics - April Month End
  - m.  ML\_Cross-Product Metrics February Month End
  - n.  ML-Cross-Product Metrics-Markit-January
  - o.  OMG Metrics April 2008
  - p.  OTC Monthly Status Report May 2008
- b.  Equity Der
  - 1.  cfd-pairs-trading
  - 2.  Contract\_for\_difference
  - 3.  contracts-for-differenc
  - 4.  Equity\_swap
  - 5.  equity\_trs
  - 6.  equity-derivatives
  - 7.  equityswaps
  - 8.  Equitiy Derivatives
  - 9.  ML November results
  - 10.  Notes
  - 11.  OTC Equity Derivatives - suggested topics
  - 12.  swapsalternative
  - 13.  TRS
- c.  Monthly write-ups
  - 1.  BS December results
  - 2.  BS March results
  - 3.  BS November results
  - 4.  GS December results
  - 5.  January 22
  - 6.  Lehman December results
  - 7.  Lehman November results

- 8.  ML December results
- 9.  ML March results
- 10.  ML November results
- 11.  MS December results
- 12.  MS November results
- d.  Notes from calls with regulators
  - 1.  January 22
  - 2.  November 22
- e.  2009 06 29 IOSCO and CPSS
- f.  2010 04 16 IOSCO Adv Memo
- g.  2010 04 23 OTC Derivatives Regulators Forum adv memo
- h.  2010 05 03 FSB OTC reform
- i.  Additional OveradahIQs7 08 08\_lhb
- j.  Background on CDS confirmation clean-up
- k.  Booking of OTC derivatives
  - l.  BS Confirmation Process
- m.  Central Clearing for CDS
- n.  Commitment letter 3.26.08
- o.  Copy over ora other otc derivatives
- p.  Credit derivatives assignment process
- q.  Credit Derivatives Metrics
- r.  Credit Derivatives Metrics\_Aug
- s.  Credit Derivatives Metrics\_July
- t.  Credit Derivatives Metrics\_June
- u.  Credit Derivatives Metrics\_May
- v.  Credit Derivatives Metrics\_Oct
- w.  Credit Derivatives Metrics\_Sept
- x.  Credit Derivatives Update 92805
- y.  Derivatives Metrics 1\_07
- z.  Derivatives Metrics 1\_08
- aa.  Derivatives Metrics 10\_07
- ab.  Derivatives Metrics 11\_06
- ac.  Derivatives Metrics 12\_06
- ad.  Derivatives Metrics 2\_07
- ae.  Derivatives Metrics 3\_07
- af.  Derivatives Metrics 4\_07
- ag.  Derivatives Metrics 5\_07
- ah.  Derivatives Metrics 6\_07
- ai.  Derivatives Metrics 7\_07
- aj.  Derivatives Metrics 8\_07
- ak.  Derivatives Metrics 9\_07
- al.  Notes for SIFMA meeting
- am.  Notes\_ver1
- an.  OMG Metrics
- ao.  OTC Derivatives Confirmations
- ap.  OTC Derivatives Confirmations Update 6\_6\_08
- aq.  Summary011706
- ar.  Summary120205
- as.  Topics for 9\_26 meeting
- at.  Update on CDS confirmation 083006
- au.  Variance10\_07
- av.  Variance9\_07
- e.  2010-07-16 Production (re Countrywide)
  - 1.  2005 Alliance Agreement
  - 2.  2008 Alliance Agreement
  - 3.  Dallavecchia 6.8.10





















































4.  Englestad 9.22.09
5.  Exhibit 361
6.  FNM\_TSC 4
7.  Hempstead 5.20.10
8.  Strategic Alliance Extension Proposal
- f.  2010-07-29 Production
  1.  CMA Macro-Level Phase1a
  2.  CMA Macro-Level Phase1b
- g.  2010-07-30 Production- Disk # 501
  1.  IM
    - a.  COOP Exercise TM Injects with IM additions 2 (3)
    - b.  Hedge Fund Survey Draft July 26, 2010 - sent to MFA
    - c.  Hedge Fund Survey Draft July 26, 2010 Blackline - sent to MFA
    - d.  IOSCONEWS179
    - e.  lehman2
    - f.  RE FC-06 MESSAGE -- Evergreen Asset Management 2a-7 Request 4
  2.  RiskFin
    - a.  Briefing on Current Projects (15Oct08)
    - b.  Briefing on Current Projects (21Apr09)
    - c.  Briefing on Current Projects (24Nov08)
    - d.  Memo - FICC Loss Allocation
    - e.  Memo - MMF Risk
  3.  TM
    - a.  Crisis Management Meetings Jan. Meetings
      1.  Crisis Management Meeting\_BAC
      2.  Crisis Management Meeting\_Citigroup
      3.  Crisis Management Meeting\_JPMorgan
      4.  Crisis\_docs
      5.  Draft Agenda for Crisis Management Meetings
      6.  fdcipresentation
      7.  FinancialInsolvancylaw
      8.  Summary Memo
      9.  Summary Memo as bullets
    - b.  Crisis Management Meetings July Meetings
      1.  Resolution Frameworks
        - a.  Bco de Mexico Liquidity Banamex-Cit
        - b.  BOE Resolution Regime
        - c.  BOE SRR overview
        - d.  BOE UK SRR international context
        - e.  BOJ liquidity provision
        - f.  call-fdcipresentation
        - g.  call-US FinancialInsolvancylaw
        - h.  CNBV MexicanBkg Resolution Regime 2010 jan
        - i.  Japan deposit insuranceJan2010
      2.  Questionnaire - Bank of America
      3.  Questionnaire - Citigroup
      4.  Questionnaire - Goldman Sachs
      5.  Questionnaire - JPM Chase
      6.  Questionnaire - Morgan Stanley
    - c.  Lehman Stress Tests
      1.  Fed\_Presentation\_070208\_v5\_(for\_SEC)[1]
      2.  Liquidity Stress Scenario Analysis for Fed v2 (3)
    - d.  Additional OveradahIQs7 08 08\_lhb
    - e.  Bearbankruptcyq4.1.08
    - f.  BriefCSEAuthority (6)
    - g.  OTC Derivatives Confirmations Update 6\_6\_08
    - h.  SIPCLehman QAs (2)7.17.09
























































- h.  2010-09-21 Production
  - 1.  Bear Stearns Liquidity Pool Verification - Bank Records
  - 2.  PC Liquidity Pool Detail 1-31-08
- i.  2010-10-05 Production
  - 1.  SEC\_ENF\_FCIC\_019128
  - 2.  SEC\_ENF\_FCIC\_019129
- j.  2010-10-07 Production re CSE
  - 1.  Volume 1
    - a.  GC-LIT-0008\_Report
    - b.  SEC\_TM\_FCIC\_01056119
    - c.  SEC\_TM\_FCIC\_01056202
    - d.  SEC\_TM\_FCIC\_01056300
    - e.  SEC\_TM\_FCIC\_01056418
    - f.  SEC\_TM\_FCIC\_01056512
    - g.  SEC\_TM\_FCIC\_01056737
    - h.  SEC\_TM\_FCIC\_01056835
    - i.  SEC\_TM\_FCIC\_01056882
    - j.  SEC\_TM\_FCIC\_01057090
    - k.  SEC\_TM\_FCIC\_01057322
    - l.  SEC\_TM\_FCIC\_01057420
    - m.  SEC\_TM\_FCIC\_01057655
    - n.  SEC\_TM\_FCIC\_01057857
    - o.  SEC\_TM\_FCIC\_01057960
    - p.  SEC\_TM\_FCIC\_01058203
    - q.  SEC\_TM\_FCIC\_01058364
    - r.  SEC\_TM\_FCIC\_01058584
    - s.  SEC\_TM\_FCIC\_01058771
    - t.  SEC\_TM\_FCIC\_01058888
    - u.  SEC\_TM\_FCIC\_01059014
    - v.  SEC\_TM\_FCIC\_01059122
    - w.  SEC\_TM\_FCIC\_01059223
    - x.  SEC\_TM\_FCIC\_01059332
  - 2.  Volume 2
    - a.  SEC\_TM\_FCIC\_01059461
    - b.  SEC\_TM\_FCIC\_01059516
    - c.  SEC\_TM\_FCIC\_01059620
    - d.  SEC\_TM\_FCIC\_01059739
    - e.  SEC\_TM\_FCIC\_01059959
    - f.  SEC\_TM\_FCIC\_01060174
    - g.  SEC\_TM\_FCIC\_01060276
    - h.  SEC\_TM\_FCIC\_01060406
    - i.  SEC\_TM\_FCIC\_01060643
    - j.  SEC\_TM\_FCIC\_01060741
    - k.  SEC\_TM\_FCIC\_01060870
    - l.  SEC\_TM\_FCIC\_01061049
    - m.  SEC\_TM\_FCIC\_01061257
    - n.  SEC\_TM\_FCIC\_01061362
    - o.  SEC\_TM\_FCIC\_01061475
    - p.  SEC\_TM\_FCIC\_01061676
    - q.  SEC\_TM\_FCIC\_01061744
    - r.  SEC\_TM\_FCIC\_01062063
    - s.  SEC\_TM\_FCIC\_01062145
    - t.  SEC\_TM\_FCIC\_01062357
    - u.  SEC\_TM\_FCIC\_01062477
  - 3.  Volume 3
    - a.  SEC\_TM\_FCIC\_01062592
























































- b.  SEC\_TM\_FCIC\_01062869
- c.  SEC\_TM\_FCIC\_01063042
- d.  SEC\_TM\_FCIC\_01063301
- e.  SEC\_TM\_FCIC\_01063509
- f.  SEC\_TM\_FCIC\_01063647
- g.  SEC\_TM\_FCIC\_01063734
- h.  SEC\_TM\_FCIC\_01063768
- i.  SEC\_TM\_FCIC\_01063899
- j.  SEC\_TM\_FCIC\_01064024
- k.  SEC\_TM\_FCIC\_01064160
- l.  SEC\_TM\_FCIC\_01064322
- m.  SEC\_TM\_FCIC\_01064728
- n.  SEC\_TM\_FCIC\_01064935
- o.  SEC\_TM\_FCIC\_01065170
- p.  SEC\_TM\_FCIC\_01065303
- q.  SEC\_TM\_FCIC\_01065303
- r.  SEC\_TM\_FCIC\_01065464
- s.  SEC\_TM\_FCIC\_01065663
- t.  SEC\_TM\_FCIC\_01065775
- u.  SEC\_TM\_FCIC\_01065870
- v.  SEC\_TM\_FCIC\_01066041
- w.  SEC\_TM\_FCIC\_01066096
- x.  SEC\_TM\_FCIC\_01066289
- y.  SEC\_TM\_FCIC\_01066495
- z.  SEC\_TM\_FCIC\_01066661
- aa.  SEC\_TM\_FCIC\_01066838
- ab.  SEC\_TM\_FCIC\_01067174
- ac.  SEC\_TM\_FCIC\_01067272
- ad.  SEC\_TM\_FCIC\_01067378
- ae.  SEC\_TM\_FCIC\_01067455
- af.  SEC\_TM\_FCIC\_01067457
- ag.  SEC\_TM\_FCIC\_01067460
- ah.  SEC\_TM\_FCIC\_01067466
- ai.  SEC\_TM\_FCIC\_01067471
- aj.  SEC\_TM\_FCIC\_01067473
- ak.  SEC\_TM\_FCIC\_01067474
- al.  SEC\_TM\_FCIC\_01067491
- am.  SEC\_TM\_FCIC\_01067504
- an.  SEC\_TM\_FCIC\_01067532
- ao.  SEC\_TM\_FCIC\_01067535
- ap.  SEC\_TM\_FCIC\_01067556
- aq.  SEC\_TM\_FCIC\_01067580
- ar.  SEC\_TM\_FCIC\_01067583
- as.  SEC\_TM\_FCIC\_01067589
- at.  SEC\_TM\_FCIC\_01067590
- au.  SEC\_TM\_FCIC\_01067594
- av.  SEC\_TM\_FCIC\_01067604
- aw.  SEC\_TM\_FCIC\_01067621
- ax.  SEC\_TM\_FCIC\_01067661
- ay.  SEC\_TM\_FCIC\_01067727
- az.  SEC\_TM\_FCIC\_01067729
- ba.  SEC\_TM\_FCIC\_01067731
- bb.  SEC\_TM\_FCIC\_01067860
- bc.  SEC\_TM\_FCIC\_01067870
- bd.  SEC\_TM\_FCIC\_01067878

- be.  SEC\_TM\_FCIC\_01067886
- bf.  SEC\_TM\_FCIC\_01067896
























































4.  Volume 4
























































- a.  SEC\_TM\_FCIC\_01067898
- b.  SEC\_TM\_FCIC\_01067900
- c.  SEC\_TM\_FCIC\_01067949
- d.  SEC\_TM\_FCIC\_01067963
- e.  SEC\_TM\_FCIC\_01067964
- f.  SEC\_TM\_FCIC\_01067965
- g.  SEC\_TM\_FCIC\_01067980
- h.  SEC\_TM\_FCIC\_01068005
- i.  SEC\_TM\_FCIC\_01068020
- j.  SEC\_TM\_FCIC\_01068053
- k.  SEC\_TM\_FCIC\_01068080
- l.  SEC\_TM\_FCIC\_01068112
- m.  SEC\_TM\_FCIC\_01068140
- n.  SEC\_TM\_FCIC\_01068176
- o.  SEC\_TM\_FCIC\_01068207
- p.  SEC\_TM\_FCIC\_01068209
- q.  SEC\_TM\_FCIC\_01068236
- r.  SEC\_TM\_FCIC\_01068273
- s.  SEC\_TM\_FCIC\_01068282
- t.  SEC\_TM\_FCIC\_01068316
- u.  SEC\_TM\_FCIC\_01068349
- v.  SEC\_TM\_FCIC\_01068350
- w.  SEC\_TM\_FCIC\_01068351
- x.  SEC\_TM\_FCIC\_01068382
- y.  SEC\_TM\_FCIC\_01068396
- z.  SEC\_TM\_FCIC\_01068404
- aa.  SEC\_TM\_FCIC\_01068405
- ab.  SEC\_TM\_FCIC\_01068433
- ac.  SEC\_TM\_FCIC\_01068538
- ad.  SEC\_TM\_FCIC\_01068553
- ae.  SEC\_TM\_FCIC\_01068554
- af.  SEC\_TM\_FCIC\_01068591
- ag.  SEC\_TM\_FCIC\_01068592
- ah.  SEC\_TM\_FCIC\_01068711
- ai.  SEC\_TM\_FCIC\_01068741
- aj.  SEC\_TM\_FCIC\_01068762
- ak.  SEC\_TM\_FCIC\_01068793
- al.  SEC\_TM\_FCIC\_01068800
- am.  SEC\_TM\_FCIC\_01068814
- an.  SEC\_TM\_FCIC\_01068842
- ao.  SEC\_TM\_FCIC\_01068936
- ap.  SEC\_TM\_FCIC\_01068950
- aq.  SEC\_TM\_FCIC\_01068977
- ar.  SEC\_TM\_FCIC\_01068978
- as.  SEC\_TM\_FCIC\_01068992
- at.  SEC\_TM\_FCIC\_01069087
- au.  SEC\_TM\_FCIC\_01069126
- av.  SEC\_TM\_FCIC\_01069143
- aw.  SEC\_TM\_FCIC\_01069198
- ax.  SEC\_TM\_FCIC\_01069225
- ay.  SEC\_TM\_FCIC\_01069226
- az.  SEC\_TM\_FCIC\_01069227
























































ba.  SEC\_TM\_FCIC\_01069228  
bb.  SEC\_TM\_FCIC\_01069229  
bc.  SEC\_TM\_FCIC\_01069236  
bd.  SEC\_TM\_FCIC\_01069243  
be.  SEC\_TM\_FCIC\_01069278  
bf.  SEC\_TM\_FCIC\_01069300  
bg.  SEC\_TM\_FCIC\_01069327  
bh.  SEC\_TM\_FCIC\_01069337  
bi.  SEC\_TM\_FCIC\_01069364  
bj.  SEC\_TM\_FCIC\_01069394  
bk.  SEC\_TM\_FCIC\_01069443  
bl.  SEC\_TM\_FCIC\_01069473  
bm.  SEC\_TM\_FCIC\_01069588  
bn.  SEC\_TM\_FCIC\_01069707  
bo.  SEC\_TM\_FCIC\_01069836  
bp.  SEC\_TM\_FCIC\_01069851  
bq.  SEC\_TM\_FCIC\_01069865  
br.  SEC\_TM\_FCIC\_01069892  
bs.  SEC\_TM\_FCIC\_01069923  
bt.  SEC\_TM\_FCIC\_01069944  
bu.  SEC\_TM\_FCIC\_01069971  
bv.  SEC\_TM\_FCIC\_01070003  
bw.  SEC\_TM\_FCIC\_01070030  
bx.  SEC\_TM\_FCIC\_01070042  
by.  SEC\_TM\_FCIC\_01070060  
bz.  SEC\_TM\_FCIC\_01070077  
ca.  SEC\_TM\_FCIC\_01070079  
cb.  SEC\_TM\_FCIC\_01070080  
cc.  SEC\_TM\_FCIC\_01070162  
cd.  SEC\_TM\_FCIC\_01070394  
ce.  SEC\_TM\_FCIC\_01070395  
cf.  SEC\_TM\_FCIC\_01070400  
cg.  SEC\_TM\_FCIC\_01070402  
ch.  SEC\_TM\_FCIC\_01070405  
ci.  SEC\_TM\_FCIC\_01070427  
cj.  SEC\_TM\_FCIC\_01070450  
ck.  SEC\_TM\_FCIC\_01070452  
cl.  SEC\_TM\_FCIC\_01070461  
cm.  SEC\_TM\_FCIC\_01070462  
cn.  SEC\_TM\_FCIC\_01070465  
co.  SEC\_TM\_FCIC\_01070466  
cp.  SEC\_TM\_FCIC\_01070467  
cq.  SEC\_TM\_FCIC\_01070476  
cr.  SEC\_TM\_FCIC\_01070481  
cs.  SEC\_TM\_FCIC\_01070484  
ct.  SEC\_TM\_FCIC\_01070491  
cu.  SEC\_TM\_FCIC\_01070501  
cv.  SEC\_TM\_FCIC\_01070512  
cw.  SEC\_TM\_FCIC\_01070521  
cx.  SEC\_TM\_FCIC\_01070532  
cy.  SEC\_TM\_FCIC\_01070533  
cz.  SEC\_TM\_FCIC\_01070539  
da.  SEC\_TM\_FCIC\_01070541  
db.  SEC\_TM\_FCIC\_01070542  
dc.  SEC\_TM\_FCIC\_01070550
























































dd.  SEC\_TM\_FCIC\_01070567  
de.  SEC\_TM\_FCIC\_01070610  
df.  SEC\_TM\_FCIC\_01070648  
dg.  SEC\_TM\_FCIC\_01070649  
dh.  SEC\_TM\_FCIC\_01070650  
di.  SEC\_TM\_FCIC\_01070678  
dj.  SEC\_TM\_FCIC\_01070703  
dk.  SEC\_TM\_FCIC\_01070704  
dl.  SEC\_TM\_FCIC\_01070706  
dm.  SEC\_TM\_FCIC\_01070712  
dn.  SEC\_TM\_FCIC\_01070718  
do.  SEC\_TM\_FCIC\_01070719  
dp.  SEC\_TM\_FCIC\_01070723  
dq.  SEC\_TM\_FCIC\_01070725  
dr.  SEC\_TM\_FCIC\_01070741  
ds.  SEC\_TM\_FCIC\_01070745  
dt.  SEC\_TM\_FCIC\_01070750  
du.  SEC\_TM\_FCIC\_01070753  
dv.  SEC\_TM\_FCIC\_01070755  
dw.  SEC\_TM\_FCIC\_01070758  
dx.  SEC\_TM\_FCIC\_01070769  
dy.  SEC\_TM\_FCIC\_01070770  
dz.  SEC\_TM\_FCIC\_01070772  
ea.  SEC\_TM\_FCIC\_01070774  
eb.  SEC\_TM\_FCIC\_01070776  
ec.  SEC\_TM\_FCIC\_01070780  
ed.  SEC\_TM\_FCIC\_01070784  
ee.  SEC\_TM\_FCIC\_01070789  
ef.  SEC\_TM\_FCIC\_01070812  
eg.  SEC\_TM\_FCIC\_01070824  
eh.  SEC\_TM\_FCIC\_01070848  
ei.  SEC\_TM\_FCIC\_01070855  
ej.  SEC\_TM\_FCIC\_01070857  
ek.  SEC\_TM\_FCIC\_01070859  
el.  SEC\_TM\_FCIC\_01070881  
em.  SEC\_TM\_FCIC\_01070896  
en.  SEC\_TM\_FCIC\_01070901  
eo.  SEC\_TM\_FCIC\_01070905  
ep.  SEC\_TM\_FCIC\_01070907  
eq.  SEC\_TM\_FCIC\_01070911  
er.  SEC\_TM\_FCIC\_01070917  
es.  SEC\_TM\_FCIC\_01070920  
et.  SEC\_TM\_FCIC\_01070921  
eu.  SEC\_TM\_FCIC\_01070925  
ev.  SEC\_TM\_FCIC\_01070931  
ew.  SEC\_TM\_FCIC\_01070955  
ex.  SEC\_TM\_FCIC\_01070956  
ey.  SEC\_TM\_FCIC\_01070960  
ez.  SEC\_TM\_FCIC\_01070964  
fa.  SEC\_TM\_FCIC\_01070969  
fb.  SEC\_TM\_FCIC\_01070973  
fc.  SEC\_TM\_FCIC\_01070974  
fd.  SEC\_TM\_FCIC\_01070976  
fe.  SEC\_TM\_FCIC\_01070978  
ff.  SEC\_TM\_FCIC\_01070983

















































































































fg.  SEC\_TM\_FCIC\_01070986  
fh.  SEC\_TM\_FCIC\_01070989  
fi.  SEC\_TM\_FCIC\_01071011  
fj.  SEC\_TM\_FCIC\_01071039  
fk.  SEC\_TM\_FCIC\_01071066  
fl.  SEC\_TM\_FCIC\_01071079  
fm.  SEC\_TM\_FCIC\_01071090  
fn.  SEC\_TM\_FCIC\_01071091  
fo.  SEC\_TM\_FCIC\_01071092  
fp.  SEC\_TM\_FCIC\_01071093  
fq.  SEC\_TM\_FCIC\_01071097  
fr.  SEC\_TM\_FCIC\_01071103  
fs.  SEC\_TM\_FCIC\_01071107  
ft.  SEC\_TM\_FCIC\_01071122  
fu.  SEC\_TM\_FCIC\_01071138  
fv.  SEC\_TM\_FCIC\_01071139  
fw.  SEC\_TM\_FCIC\_01071140  
fx.  SEC\_TM\_FCIC\_01071142  
fy.  SEC\_TM\_FCIC\_01071143  
fz.  SEC\_TM\_FCIC\_01071148  
ga.  SEC\_TM\_FCIC\_01071150  
gb.  SEC\_TM\_FCIC\_01071182  
gc.  SEC\_TM\_FCIC\_01071187  
gd.  SEC\_TM\_FCIC\_01071202  
ge.  SEC\_TM\_FCIC\_01071218  
gf.  SEC\_TM\_FCIC\_01071263  
gg.  SEC\_TM\_FCIC\_01071282  
gh.  SEC\_TM\_FCIC\_01071304  
gi.  SEC\_TM\_FCIC\_01071316  
gj.  SEC\_TM\_FCIC\_01071335  
gk.  SEC\_TM\_FCIC\_01071349  
gl.  SEC\_TM\_FCIC\_01071350  
gm.  SEC\_TM\_FCIC\_01071351  
gn.  SEC\_TM\_FCIC\_01071352  
go.  SEC\_TM\_FCIC\_01071355  
gp.  SEC\_TM\_FCIC\_01071358  
gq.  SEC\_TM\_FCIC\_01071364  
gr.  SEC\_TM\_FCIC\_01071370  
gs.  SEC\_TM\_FCIC\_01071374  
gt.  SEC\_TM\_FCIC\_01071376  
gu.  SEC\_TM\_FCIC\_01071378  
gv.  SEC\_TM\_FCIC\_01071383  
gw.  SEC\_TM\_FCIC\_01071400  
gx.  SEC\_TM\_FCIC\_01071405  
gy.  SEC\_TM\_FCIC\_01071408  
gz.  SEC\_TM\_FCIC\_01072616  
ha.  SEC\_TM\_FCIC\_01073406  
hb.  SEC\_TM\_FCIC\_01073411  
hc.  SEC\_TM\_FCIC\_01073413  
hd.  SEC\_TM\_FCIC\_01073414  
he.  SEC\_TM\_FCIC\_01073415  
hf.  SEC\_TM\_FCIC\_01073416  
hg.  SEC\_TM\_FCIC\_01073425  
hh.  SEC\_TM\_FCIC\_01073431  
hi.  SEC\_TM\_FCIC\_01073442
























































hj.  SEC\_TM\_FCIC\_01073445  
hk.  SEC\_TM\_FCIC\_01073448  
hl.  SEC\_TM\_FCIC\_01073468  
hm.  SEC\_TM\_FCIC\_01073486  
hn.  SEC\_TM\_FCIC\_01073503  
ho.  SEC\_TM\_FCIC\_01073509  
hp.  SEC\_TM\_FCIC\_01073512  
hq.  SEC\_TM\_FCIC\_01073524  
hr.  SEC\_TM\_FCIC\_01073542  
hs.  SEC\_TM\_FCIC\_01073546  
ht.  SEC\_TM\_FCIC\_01073551  
hu.  SEC\_TM\_FCIC\_01073557  
hv.  SEC\_TM\_FCIC\_01073571  
hw.  SEC\_TM\_FCIC\_01073580  
hx.  SEC\_TM\_FCIC\_01073590  
hy.  SEC\_TM\_FCIC\_01073592  
hz.  SEC\_TM\_FCIC\_01073599  
ia.  SEC\_TM\_FCIC\_01073600  
ib.  SEC\_TM\_FCIC\_01073600  
ic.  SEC\_TM\_FCIC\_01073604  
id.  SEC\_TM\_FCIC\_01073609  
ie.  SEC\_TM\_FCIC\_01073625  
if.  SEC\_TM\_FCIC\_01073634  
ig.  SEC\_TM\_FCIC\_01073651  
ih.  SEC\_TM\_FCIC\_01073653  
ii.  SEC\_TM\_FCIC\_01073659  
ij.  SEC\_TM\_FCIC\_01073660  
ik.  SEC\_TM\_FCIC\_01073665  
il.  SEC\_TM\_FCIC\_01073668  
im.  SEC\_TM\_FCIC\_01073674  
in.  SEC\_TM\_FCIC\_01073690  
io.  SEC\_TM\_FCIC\_01073696  
ip.  SEC\_TM\_FCIC\_01073697  
iq.  SEC\_TM\_FCIC\_01073698  
ir.  SEC\_TM\_FCIC\_01073706  
is.  SEC\_TM\_FCIC\_01073710  
it.  SEC\_TM\_FCIC\_01073711  
iu.  SEC\_TM\_FCIC\_01073724  
iv.  SEC\_TM\_FCIC\_01073744  
iw.  SEC\_TM\_FCIC\_01073745  
ix.  SEC\_TM\_FCIC\_01073778  
iy.  SEC\_TM\_FCIC\_01073780  
iz.  SEC\_TM\_FCIC\_01073781  
ja.  SEC\_TM\_FCIC\_01073786  
jb.  SEC\_TM\_FCIC\_01073787  
jc.  SEC\_TM\_FCIC\_01073792  
jd.  SEC\_TM\_FCIC\_01073806  
je.  SEC\_TM\_FCIC\_01073811  
jf.  SEC\_TM\_FCIC\_01073813  
jg.  SEC\_TM\_FCIC\_01073817  
jh.  SEC\_TM\_FCIC\_01073819  
ji.  SEC\_TM\_FCIC\_01073837  
jj.  SEC\_TM\_FCIC\_01073864  
jk.  SEC\_TM\_FCIC\_01073899  
jl.  SEC\_TM\_FCIC\_01073903
























































jm.  SEC\_TM\_FCIC\_01073905  
jn.  SEC\_TM\_FCIC\_01073906  
jo.  SEC\_TM\_FCIC\_01073920  
jp.  SEC\_TM\_FCIC\_01073938  
jq.  SEC\_TM\_FCIC\_01073943  
jr.  SEC\_TM\_FCIC\_01073944  
js.  SEC\_TM\_FCIC\_01073962  
jt.  SEC\_TM\_FCIC\_01073987  
ju.  SEC\_TM\_FCIC\_01074006  
jv.  SEC\_TM\_FCIC\_01074038  
jw.  SEC\_TM\_FCIC\_01074055  
jx.  SEC\_TM\_FCIC\_01074057  
jy.  SEC\_TM\_FCIC\_01074091  
jz.  SEC\_TM\_FCIC\_01074092  
ka.  SEC\_TM\_FCIC\_01074093  
kb.  SEC\_TM\_FCIC\_01074107  
kc.  SEC\_TM\_FCIC\_01074111  
kd.  SEC\_TM\_FCIC\_01074123  
ke.  SEC\_TM\_FCIC\_01074126  
kf.  SEC\_TM\_FCIC\_01074127  
kg.  SEC\_TM\_FCIC\_01074131  
kh.  SEC\_TM\_FCIC\_01074135  
ki.  SEC\_TM\_FCIC\_01074136  
kj.  SEC\_TM\_FCIC\_01074137  
kk.  SEC\_TM\_FCIC\_01074138  
kl.  SEC\_TM\_FCIC\_01074156  
km.  SEC\_TM\_FCIC\_01074175  
kn.  SEC\_TM\_FCIC\_01074205  
ko.  SEC\_TM\_FCIC\_01074206  
kp.  SEC\_TM\_FCIC\_01074254  
kq.  SEC\_TM\_FCIC\_01074302  
kr.  SEC\_TM\_FCIC\_01074350  
ks.  SEC\_TM\_FCIC\_01074399  
kt.  SEC\_TM\_FCIC\_01074448  
ku.  SEC\_TM\_FCIC\_01074495  
kv.  SEC\_TM\_FCIC\_01074544  
kw.  SEC\_TM\_FCIC\_01074592  
kx.  SEC\_TM\_FCIC\_01074639  
ky.  SEC\_TM\_FCIC\_01074643  
kz.  SEC\_TM\_FCIC\_01074648  
la.  SEC\_TM\_FCIC\_01074651  
lb.  SEC\_TM\_FCIC\_01074658  
lc.  SEC\_TM\_FCIC\_01074659  
ld.  SEC\_TM\_FCIC\_01074660  
le.  SEC\_TM\_FCIC\_01074661  
lf.  SEC\_TM\_FCIC\_01074684  
lg.  SEC\_TM\_FCIC\_01074724  
lh.  SEC\_TM\_FCIC\_01074734  
li.  SEC\_TM\_FCIC\_01074738  
lj.  SEC\_TM\_FCIC\_01074742  
lk.  SEC\_TM\_FCIC\_01074743  
ll.  SEC\_TM\_FCIC\_01074750  
lm.  SEC\_TM\_FCIC\_01074751  
ln.  SEC\_TM\_FCIC\_01074770  
lo.  SEC\_TM\_FCIC\_01074790

lp.  SEC\_TM\_FCIC\_01074836  
lq.  SEC\_TM\_FCIC\_01074839  
lr.  SEC\_TM\_FCIC\_01074840  
ls.  SEC\_TM\_FCIC\_01074853  
lt.  SEC\_TM\_FCIC\_01074873  
lu.  SEC\_TM\_FCIC\_01074879  
lv.  SEC\_TM\_FCIC\_01074887  
lw.  SEC\_TM\_FCIC\_01074890  
lx.  SEC\_TM\_FCIC\_01074893  
ly.  SEC\_TM\_FCIC\_01074901  
lz.  SEC\_TM\_FCIC\_01074902  
ma.  SEC\_TM\_FCIC\_01074905  
mb.  SEC\_TM\_FCIC\_01074921  
mc.  SEC\_TM\_FCIC\_01074931  
md.  SEC\_TM\_FCIC\_01074935  
me.  SEC\_TM\_FCIC\_01074949  
mf.  SEC\_TM\_FCIC\_01074953  
mg.  SEC\_TM\_FCIC\_01074954  
mh.  SEC\_TM\_FCIC\_01074959  
mi.  SEC\_TM\_FCIC\_01074963  
mj.  SEC\_TM\_FCIC\_01074967  
mk.  SEC\_TM\_FCIC\_01074971  
ml.  SEC\_TM\_FCIC\_01075022  
mm.  SEC\_TM\_FCIC\_01075023  
mn.  SEC\_TM\_FCIC\_01075024  
mo.  SEC\_TM\_FCIC\_01075026  
mp.  SEC\_TM\_FCIC\_01075028  
mq.  SEC\_TM\_FCIC\_01075035  
mr.  SEC\_TM\_FCIC\_01075041  
ms.  SEC\_TM\_FCIC\_01075062  
mt.  SEC\_TM\_FCIC\_01075063  
mu.  SEC\_TM\_FCIC\_01075070  
mv.  SEC\_TM\_FCIC\_01075078  
mw.  SEC\_TM\_FCIC\_01075079  
mx.  SEC\_TM\_FCIC\_01075082  
my.  SEC\_TM\_FCIC\_01075085  
mz.  SEC\_TM\_FCIC\_01075096  
na.  SEC\_TM\_FCIC\_01075098  
nb.  SEC\_TM\_FCIC\_01075141  
nc.  SEC\_TM\_FCIC\_01075141  
nd.  SEC\_TM\_FCIC\_01075144  
ne.  SEC\_TM\_FCIC\_01075146  
nf.  SEC\_TM\_FCIC\_01075150  
ng.  SEC\_TM\_FCIC\_01075167  
nh.  SEC\_TM\_FCIC\_01075169  
ni.  SEC\_TM\_FCIC\_01075170  
nj.  SEC\_TM\_FCIC\_01075174  
nk.  SEC\_TM\_FCIC\_01075227  
nl.  SEC\_TM\_FCIC\_01075244  
nm.  SEC\_TM\_FCIC\_01075307  
nn.  SEC\_TM\_FCIC\_01075309  
no.  SEC\_TM\_FCIC\_01075313  
np.  SEC\_TM\_FCIC\_01075320  
nq.  SEC\_TM\_FCIC\_01075327  
nr.  SEC\_TM\_FCIC\_01075451
























































ns.  SEC\_TM\_FCIC\_01075453  
nt.  SEC\_TM\_FCIC\_01075457  
nu.  SEC\_TM\_FCIC\_01075471  
nv.  SEC\_TM\_FCIC\_01075475  
nw.  SEC\_TM\_FCIC\_01075477  
nx.  SEC\_TM\_FCIC\_01075598  
ny.  SEC\_TM\_FCIC\_01075601  
nz.  SEC\_TM\_FCIC\_01075605  
oa.  SEC\_TM\_FCIC\_01075688  
ob.  SEC\_TM\_FCIC\_01075689  
oc.  SEC\_TM\_FCIC\_01075690  
od.  SEC\_TM\_FCIC\_01075707  
oe.  SEC\_TM\_FCIC\_01075708  
of.  SEC\_TM\_FCIC\_01075710  
og.  SEC\_TM\_FCIC\_01075711  
oh.  SEC\_TM\_FCIC\_01075713  
oi.  SEC\_TM\_FCIC\_01075714  
oj.  SEC\_TM\_FCIC\_01075716  
ok.  SEC\_TM\_FCIC\_01075717  
ol.  SEC\_TM\_FCIC\_01075797  
om.  SEC\_TM\_FCIC\_01075798  
on.  SEC\_TM\_FCIC\_01075823  
oo.  SEC\_TM\_FCIC\_01075824  
op.  SEC\_TM\_FCIC\_01075830  
oq.  SEC\_TM\_FCIC\_01075832  
or.  SEC\_TM\_FCIC\_01075833  
os.  SEC\_TM\_FCIC\_01075834  
ot.  SEC\_TM\_FCIC\_01075836  
ou.  SEC\_TM\_FCIC\_01075838  
ov.  SEC\_TM\_FCIC\_01075839  
ow.  SEC\_TM\_FCIC\_01075848  
ox.  SEC\_TM\_FCIC\_01075856  
oy.  SEC\_TM\_FCIC\_01075858  
oz.  SEC\_TM\_FCIC\_01075921  
pa.  SEC\_TM\_FCIC\_01075922  
pb.  SEC\_TM\_FCIC\_01075933  
pc.  SEC\_TM\_FCIC\_01075934  
pd.  SEC\_TM\_FCIC\_01075935  
pe.  SEC\_TM\_FCIC\_01075937  
pf.  SEC\_TM\_FCIC\_01075939  
pg.  SEC\_TM\_FCIC\_01075946  
ph.  SEC\_TM\_FCIC\_01075947  
pi.  SEC\_TM\_FCIC\_01075949  
pj.  SEC\_TM\_FCIC\_01075950  
pk.  SEC\_TM\_FCIC\_01075956  
pl.  SEC\_TM\_FCIC\_01075958  
pm.  SEC\_TM\_FCIC\_01075970  
pn.  SEC\_TM\_FCIC\_01075987  
po.  SEC\_TM\_FCIC\_01075990  
pp.  SEC\_TM\_FCIC\_01075991  
pq.  SEC\_TM\_FCIC\_01075996  
pr.  SEC\_TM\_FCIC\_01075997  
ps.  SEC\_TM\_FCIC\_01075999  
pt.  SEC\_TM\_FCIC\_01076004  
pu.  SEC\_TM\_FCIC\_01076007
























































pv.  SEC\_TM\_FCIC\_01076009  
pw.  SEC\_TM\_FCIC\_01076010  
px.  SEC\_TM\_FCIC\_01076012  
py.  SEC\_TM\_FCIC\_01076019  
pz.  SEC\_TM\_FCIC\_01076022  
qa.  SEC\_TM\_FCIC\_01076025  
qb.  SEC\_TM\_FCIC\_01076039  
qc.  SEC\_TM\_FCIC\_01076043  
qd.  SEC\_TM\_FCIC\_01076045  
qe.  SEC\_TM\_FCIC\_01076046  
qf.  SEC\_TM\_FCIC\_01076047  
qg.  SEC\_TM\_FCIC\_01076049  
qh.  SEC\_TM\_FCIC\_01076060  
qi.  SEC\_TM\_FCIC\_01076061  
qj.  SEC\_TM\_FCIC\_01076063  
qk.  SEC\_TM\_FCIC\_01076069  
ql.  SEC\_TM\_FCIC\_01076071  
qm.  SEC\_TM\_FCIC\_01076078  
qn.  SEC\_TM\_FCIC\_01076105  
qo.  SEC\_TM\_FCIC\_01076119  
qp.  SEC\_TM\_FCIC\_01076165  
qq.  SEC\_TM\_FCIC\_01076190  
qr.  SEC\_TM\_FCIC\_01076215  
qs.  SEC\_TM\_FCIC\_01076270  
qt.  SEC\_TM\_FCIC\_01076273  
qu.  SEC\_TM\_FCIC\_01076278  
qv.  SEC\_TM\_FCIC\_01076282  
qw.  SEC\_TM\_FCIC\_01076283  
qx.  SEC\_TM\_FCIC\_01076292  
qy.  SEC\_TM\_FCIC\_01076293  
qz.  SEC\_TM\_FCIC\_01076294  
ra.  SEC\_TM\_FCIC\_01076295  
rb.  SEC\_TM\_FCIC\_01076297  
rc.  SEC\_TM\_FCIC\_01076306  
rd.  SEC\_TM\_FCIC\_01076310  
re.  SEC\_TM\_FCIC\_01076322  
rf.  SEC\_TM\_FCIC\_01076326  
rg.  SEC\_TM\_FCIC\_01076329  
rh.  SEC\_TM\_FCIC\_01076337  
ri.  SEC\_TM\_FCIC\_01076343  
rj.  SEC\_TM\_FCIC\_01076350  
rk.  SEC\_TM\_FCIC\_01076355  
rl.  SEC\_TM\_FCIC\_01076362  
rm.  SEC\_TM\_FCIC\_01076403  
rn.  SEC\_TM\_FCIC\_01076413  
ro.  SEC\_TM\_FCIC\_01076419  
rp.  SEC\_TM\_FCIC\_01076444  
rq.  SEC\_TM\_FCIC\_01076535  
rr.  SEC\_TM\_FCIC\_01076539  
rs.  SEC\_TM\_FCIC\_01076543  
rt.  SEC\_TM\_FCIC\_01076546  
ru.  SEC\_TM\_FCIC\_01076558  
rv.  SEC\_TM\_FCIC\_01076561  
rw.  SEC\_TM\_FCIC\_01076563  
rx.  SEC\_TM\_FCIC\_01076569
























































ry.  SEC\_TM\_FCIC\_01076571  
rz.  SEC\_TM\_FCIC\_01076575  
sa.  SEC\_TM\_FCIC\_01076591  
sb.  SEC\_TM\_FCIC\_01076595  
sc.  SEC\_TM\_FCIC\_01076604  
sd.  SEC\_TM\_FCIC\_01076605  
se.  SEC\_TM\_FCIC\_01076607  
sf.  SEC\_TM\_FCIC\_01076609  
sg.  SEC\_TM\_FCIC\_01076610  
sh.  SEC\_TM\_FCIC\_01076616  
si.  SEC\_TM\_FCIC\_01076623  
sj.  SEC\_TM\_FCIC\_01076625  
sk.  SEC\_TM\_FCIC\_01076628  
sl.  SEC\_TM\_FCIC\_01076659  
sm.  SEC\_TM\_FCIC\_01076717  
sn.  SEC\_TM\_FCIC\_01076721  
so.  SEC\_TM\_FCIC\_01076746  
sp.  SEC\_TM\_FCIC\_01076751  
sq.  SEC\_TM\_FCIC\_01076753  
sr.  SEC\_TM\_FCIC\_01076790  
ss.  SEC\_TM\_FCIC\_01076794  
st.  SEC\_TM\_FCIC\_01076809  
su.  SEC\_TM\_FCIC\_01076814  
sv.  SEC\_TM\_FCIC\_01076840  
sw.  SEC\_TM\_FCIC\_01076841  
sx.  SEC\_TM\_FCIC\_01076859  
sy.  SEC\_TM\_FCIC\_01076861  
sz.  SEC\_TM\_FCIC\_01076862  
ta.  SEC\_TM\_FCIC\_01076862  
tb.  SEC\_TM\_FCIC\_01076868  
tc.  SEC\_TM\_FCIC\_01076886  
td.  SEC\_TM\_FCIC\_01076890  
te.  SEC\_TM\_FCIC\_01076896  
tf.  SEC\_TM\_FCIC\_01076897  
tg.  SEC\_TM\_FCIC\_01076901  
th.  SEC\_TM\_FCIC\_01076914  
ti.  SEC\_TM\_FCIC\_01076915  
tj.  SEC\_TM\_FCIC\_01076994  
tk.  SEC\_TM\_FCIC\_01076995  
tl.  SEC\_TM\_FCIC\_01077004  
tm.  SEC\_TM\_FCIC\_01077005  
tn.  SEC\_TM\_FCIC\_01077014  
to.  SEC\_TM\_FCIC\_01077015  
tp.  SEC\_TM\_FCIC\_01077029  
tq.  SEC\_TM\_FCIC\_01077032  
tr.  SEC\_TM\_FCIC\_01077034  
ts.  SEC\_TM\_FCIC\_01077036  
tt.  SEC\_TM\_FCIC\_01077038  
tu.  SEC\_TM\_FCIC\_01077040  
tv.  SEC\_TM\_FCIC\_01077042  
tw.  SEC\_TM\_FCIC\_01077045  
tx.  SEC\_TM\_FCIC\_01077048  
ty.  SEC\_TM\_FCIC\_01077056  
tz.  SEC\_TM\_FCIC\_01077059  
ua.  SEC\_TM\_FCIC\_01077061
























































ub.  SEC\_TM\_FCIC\_01077062  
uc.  SEC\_TM\_FCIC\_01077069  
ud.  SEC\_TM\_FCIC\_01077071  
ue.  SEC\_TM\_FCIC\_01077073  
uf.  SEC\_TM\_FCIC\_01077075  
ug.  SEC\_TM\_FCIC\_01077077  
uh.  SEC\_TM\_FCIC\_01077079  
ui.  SEC\_TM\_FCIC\_01077080  
uj.  SEC\_TM\_FCIC\_01077082  
uk.  SEC\_TM\_FCIC\_01077083  
ul.  SEC\_TM\_FCIC\_01077086  
um.  SEC\_TM\_FCIC\_01077089  
un.  SEC\_TM\_FCIC\_01077090  
uo.  SEC\_TM\_FCIC\_01077092  
up.  SEC\_TM\_FCIC\_01077094  
uq.  SEC\_TM\_FCIC\_01077096  
ur.  SEC\_TM\_FCIC\_01077098  
us.  SEC\_TM\_FCIC\_01077099  
ut.  SEC\_TM\_FCIC\_01077102  
uu.  SEC\_TM\_FCIC\_01077104  
uv.  SEC\_TM\_FCIC\_01077105  
uw.  SEC\_TM\_FCIC\_01077107  
ux.  SEC\_TM\_FCIC\_01077109  
uy.  SEC\_TM\_FCIC\_01077111  
uz.  SEC\_TM\_FCIC\_01077115  
va.  SEC\_TM\_FCIC\_01077117  
vb.  SEC\_TM\_FCIC\_01077118  
vc.  SEC\_TM\_FCIC\_01077119  
vd.  SEC\_TM\_FCIC\_01077122  
ve.  SEC\_TM\_FCIC\_01077123  
vf.  SEC\_TM\_FCIC\_01077125  
vg.  SEC\_TM\_FCIC\_01077126  
vh.  SEC\_TM\_FCIC\_01077128  
vi.  SEC\_TM\_FCIC\_01077130  
vj.  SEC\_TM\_FCIC\_01077136  
vk.  SEC\_TM\_FCIC\_01077138  
vl.  SEC\_TM\_FCIC\_01077142  
vm.  SEC\_TM\_FCIC\_01077143  
vn.  SEC\_TM\_FCIC\_01077145  
vo.  SEC\_TM\_FCIC\_01077146  
vp.  SEC\_TM\_FCIC\_01077148  
vq.  SEC\_TM\_FCIC\_01077150  
vr.  SEC\_TM\_FCIC\_01077151  
vs.  SEC\_TM\_FCIC\_01077154  
vt.  SEC\_TM\_FCIC\_01077155  
vu.  SEC\_TM\_FCIC\_01077157  
vv.  SEC\_TM\_FCIC\_01077159  
vw.  SEC\_TM\_FCIC\_01077165  
vx.  SEC\_TM\_FCIC\_01077166  
vy.  SEC\_TM\_FCIC\_01077167  
vz.  SEC\_TM\_FCIC\_01077169  
wa.  SEC\_TM\_FCIC\_01077170  
wb.  SEC\_TM\_FCIC\_01077174  
wc.  SEC\_TM\_FCIC\_01077177  
wd.  SEC\_TM\_FCIC\_01077180

















































































































we.  SEC\_TM\_FCIC\_01077182  
wf.  SEC\_TM\_FCIC\_01077184  
wg.  SEC\_TM\_FCIC\_01077190  
wh.  SEC\_TM\_FCIC\_01077197  
wi.  SEC\_TM\_FCIC\_01077200  
wj.  SEC\_TM\_FCIC\_01077207  
wk.  SEC\_TM\_FCIC\_01077213  
wl.  SEC\_TM\_FCIC\_01077217  
wm.  SEC\_TM\_FCIC\_01077219  
wn.  SEC\_TM\_FCIC\_01077221  
wo.  SEC\_TM\_FCIC\_01077231  
wp.  SEC\_TM\_FCIC\_01077235  
wq.  SEC\_TM\_FCIC\_01077243  
wr.  SEC\_TM\_FCIC\_01077261  
ws.  SEC\_TM\_FCIC\_01077264  
wt.  SEC\_TM\_FCIC\_01077266  
wu.  SEC\_TM\_FCIC\_01077276  
wv.  SEC\_TM\_FCIC\_01077280  
ww.  SEC\_TM\_FCIC\_01077289  
wx.  SEC\_TM\_FCIC\_01077297  
wy.  SEC\_TM\_FCIC\_01077300  
wz.  SEC\_TM\_FCIC\_01077310  
xa.  SEC\_TM\_FCIC\_01077316  
xb.  SEC\_TM\_FCIC\_01077318  
xc.  SEC\_TM\_FCIC\_01077319  
xd.  SEC\_TM\_FCIC\_01077320  
xe.  SEC\_TM\_FCIC\_01077321  
xf.  SEC\_TM\_FCIC\_01077322  
xg.  SEC\_TM\_FCIC\_01077324  
xh.  SEC\_TM\_FCIC\_01077325  
xi.  SEC\_TM\_FCIC\_01077333  
xj.  SEC\_TM\_FCIC\_01077334  
xk.  SEC\_TM\_FCIC\_01077360  
xl.  SEC\_TM\_FCIC\_01077369  
xm.  SEC\_TM\_FCIC\_01077394  
xn.  SEC\_TM\_FCIC\_01077405  
xo.  SEC\_TM\_FCIC\_01077424  
xp.  SEC\_TM\_FCIC\_01077494  
xq.  SEC\_TM\_FCIC\_01077504  
xr.  SEC\_TM\_FCIC\_01077514  
xs.  SEC\_TM\_FCIC\_01077529  
xt.  SEC\_TM\_FCIC\_01077606  
xu.  SEC\_TM\_FCIC\_01077612  
xv.  SEC\_TM\_FCIC\_01077619  
xw.  SEC\_TM\_FCIC\_01077620  
xx.  SEC\_TM\_FCIC\_01077622  
xy.  SEC\_TM\_FCIC\_01077633  
xz.  SEC\_TM\_FCIC\_01077637  
ya.  SEC\_TM\_FCIC\_01077637  
yb.  SEC\_TM\_FCIC\_01077638  
yc.  SEC\_TM\_FCIC\_01077641  
yd.  SEC\_TM\_FCIC\_01077642  
ye.  SEC\_TM\_FCIC\_01077644  
yf.  SEC\_TM\_FCIC\_01077645  
yg.  SEC\_TM\_FCIC\_01077647

















































yh.  SEC\_TM\_FCIC\_01077651  
yi.  SEC\_TM\_FCIC\_01077662  
yj.  SEC\_TM\_FCIC\_01077664  
yk.  SEC\_TM\_FCIC\_01077669  
yl.  SEC\_TM\_FCIC\_01077670  
ym.  SEC\_TM\_FCIC\_01077672  
yn.  SEC\_TM\_FCIC\_01077683  
yo.  SEC\_TM\_FCIC\_01077688  
yp.  SEC\_TM\_FCIC\_01077691  
yq.  SEC\_TM\_FCIC\_01077692  
yr.  SEC\_TM\_FCIC\_01077695  
ys.  SEC\_TM\_FCIC\_01077699  
yt.  SEC\_TM\_FCIC\_01077701  
yu.  SEC\_TM\_FCIC\_01077703  
yv.  SEC\_TM\_FCIC\_01077704  
yw.  SEC\_TM\_FCIC\_01077715  
yx.  SEC\_TM\_FCIC\_01077719  
yy.  SEC\_TM\_FCIC\_01077723  
yz.  SEC\_TM\_FCIC\_01077758  
za.  SEC\_TM\_FCIC\_01077760  
zb.  SEC\_TM\_FCIC\_01077763  
zc.  SEC\_TM\_FCIC\_01077766  
zd.  SEC\_TM\_FCIC\_01077768  
ze.  SEC\_TM\_FCIC\_01077769  
zf.  SEC\_TM\_FCIC\_01077770  
zg.  SEC\_TM\_FCIC\_01077771  
zh.  SEC\_TM\_FCIC\_01077784  
zi.  SEC\_TM\_FCIC\_01077788  
zj.  SEC\_TM\_FCIC\_01077790  
zk.  SEC\_TM\_FCIC\_01077794  
zl.  SEC\_TM\_FCIC\_01077796  
zm.  SEC\_TM\_FCIC\_01077799  
zn.  SEC\_TM\_FCIC\_01077805  
zo.  SEC\_TM\_FCIC\_01077806  
zp.  SEC\_TM\_FCIC\_01077810  
zq.  SEC\_TM\_FCIC\_01077813  
zr.  SEC\_TM\_FCIC\_01077814  
zs.  SEC\_TM\_FCIC\_01077815  
zt.  SEC\_TM\_FCIC\_01077816  
zu.  SEC\_TM\_FCIC\_01077818  
zv.  SEC\_TM\_FCIC\_01077821  
zw.  SEC\_TM\_FCIC\_01077832  
zx.  SEC\_TM\_FCIC\_01077846  
zy.  SEC\_TM\_FCIC\_01077847  
zz.  SEC\_TM\_FCIC\_01077848  
aaa.  SEC\_TM\_FCIC\_01077849  
aab.  SEC\_TM\_FCIC\_01077850  
aac.  SEC\_TM\_FCIC\_01077851  
aad.  SEC\_TM\_FCIC\_01077853  
aae.  SEC\_TM\_FCIC\_01077854  
aaf.  SEC\_TM\_FCIC\_01077855  
aag.  SEC\_TM\_FCIC\_01077856  
aah.  SEC\_TM\_FCIC\_01077857  
aai.  SEC\_TM\_FCIC\_01077858  
aaj.  SEC\_TM\_FCIC\_01077859









aak.  SEC\_TM\_FCIC\_01077860  
aal.  SEC\_TM\_FCIC\_01077863  
aam.  SEC\_TM\_FCIC\_01077864  
aan.  SEC\_TM\_FCIC\_01077865  
aao.  SEC\_TM\_FCIC\_01077866  
aap.  SEC\_TM\_FCIC\_01077867  
aaq.  SEC\_TM\_FCIC\_01077868  
aar.  SEC\_TM\_FCIC\_01077869  
aas.  SEC\_TM\_FCIC\_01077870  
aat.  SEC\_TM\_FCIC\_01077872  
aau.  SEC\_TM\_FCIC\_01077874  
aav.  SEC\_TM\_FCIC\_01077875  
aaw.  SEC\_TM\_FCIC\_01077876  
aax.  SEC\_TM\_FCIC\_01077877  
aay.  SEC\_TM\_FCIC\_01077878  
aaz.  SEC\_TM\_FCIC\_01077879  
aba.  SEC\_TM\_FCIC\_01077880  
abb.  SEC\_TM\_FCIC\_01077883  
abc.  SEC\_TM\_FCIC\_01077885  
abd.  SEC\_TM\_FCIC\_01077916  
abe.  SEC\_TM\_FCIC\_01077917  
abf.  SEC\_TM\_FCIC\_01077918  
abg.  SEC\_TM\_FCIC\_01077919  
abh.  SEC\_TM\_FCIC\_01077920  
abi.  SEC\_TM\_FCIC\_01077925  
abj.  SEC\_TM\_FCIC\_01077926  
abk.  SEC\_TM\_FCIC\_01077927  
abl.  SEC\_TM\_FCIC\_01077928  
abm.  SEC\_TM\_FCIC\_01077929  
abn.  SEC\_TM\_FCIC\_01077931  
abo.  SEC\_TM\_FCIC\_01077932  
abp.  SEC\_TM\_FCIC\_01077933  
abq.  SEC\_TM\_FCIC\_01077935  
abr.  SEC\_TM\_FCIC\_01077950  
abs.  SEC\_TM\_FCIC\_01077952  
abt.  SEC\_TM\_FCIC\_01077955  
abu.  SEC\_TM\_FCIC\_01077955  
abv.  SEC\_TM\_FCIC\_01077958  
abw.  SEC\_TM\_FCIC\_01077961  
abx.  SEC\_TM\_FCIC\_01077962  
aby.  SEC\_TM\_FCIC\_01077964  
abz.  SEC\_TM\_FCIC\_01077969  
aca.  SEC\_TM\_FCIC\_01077971  
acb.  SEC\_TM\_FCIC\_01077972  
acc.  SEC\_TM\_FCIC\_01077973  
acd.  SEC\_TM\_FCIC\_01077974  
ace.  SEC\_TM\_FCIC\_01077975  
acf.  SEC\_TM\_FCIC\_01077976  
acg.  SEC\_TM\_FCIC\_01077978  
ach.  SEC\_TM\_FCIC\_01077981  
aci.  SEC\_TM\_FCIC\_01077982  
acj.  SEC\_TM\_FCIC\_01077985  
ack.  SEC\_TM\_FCIC\_01078062  
acl.  SEC\_TM\_FCIC\_01078073  
acm.  SEC\_TM\_FCIC\_01078088



















































acn.  SEC\_TM\_FCIC\_01078114  
aco.  SEC\_TM\_FCIC\_01078183  
acp.  SEC\_TM\_FCIC\_01078186  
acq.  SEC\_TM\_FCIC\_01078187  
acr.  SEC\_TM\_FCIC\_01078188  
acs.  SEC\_TM\_FCIC\_01078189  
act.  SEC\_TM\_FCIC\_01078193  
acu.  SEC\_TM\_FCIC\_01078194  
acv.  SEC\_TM\_FCIC\_01078201  
acw.  SEC\_TM\_FCIC\_01078203  
acx.  SEC\_TM\_FCIC\_01078205  
acy.  SEC\_TM\_FCIC\_01078206  
acz.  SEC\_TM\_FCIC\_01078207  
ada.  SEC\_TM\_FCIC\_01078208  
adb.  SEC\_TM\_FCIC\_01078252  
adc.  SEC\_TM\_FCIC\_01078285  
add.  SEC\_TM\_FCIC\_01078287  
ade.  SEC\_TM\_FCIC\_01078288  
adf.  SEC\_TM\_FCIC\_01078309  
adg.  SEC\_TM\_FCIC\_01078311  
adh.  SEC\_TM\_FCIC\_01078320  
adi.  SEC\_TM\_FCIC\_01078323  
adj.  SEC\_TM\_FCIC\_01078356  
adk.  SEC\_TM\_FCIC\_01078439  
adl.  SEC\_TM\_FCIC\_01078473  
adm.  SEC\_TM\_FCIC\_01078492  
adn.  SEC\_TM\_FCIC\_01078502  
ado.  SEC\_TM\_FCIC\_01078503  
adp.  SEC\_TM\_FCIC\_01078526  
adq.  SEC\_TM\_FCIC\_01078535  
adr.  SEC\_TM\_FCIC\_01078558  
ads.  SEC\_TM\_FCIC\_01078564  
adt.  SEC\_TM\_FCIC\_01078569  
adu.  SEC\_TM\_FCIC\_01078570  
adv.  SEC\_TM\_FCIC\_01078588  
adw.  SEC\_TM\_FCIC\_01078607  
adx.  SEC\_TM\_FCIC\_01078614  
ady.  SEC\_TM\_FCIC\_01078615  
adz.  SEC\_TM\_FCIC\_01078618  
aea.  SEC\_TM\_FCIC\_01078622  
aeb.  SEC\_TM\_FCIC\_01078625  
aec.  SEC\_TM\_FCIC\_01078638  
aed.  SEC\_TM\_FCIC\_01078651  
aee.  SEC\_TM\_FCIC\_01078664  
aef.  SEC\_TM\_FCIC\_01078677  
aeg.  SEC\_TM\_FCIC\_01078701  
aeh.  SEC\_TM\_FCIC\_01078727  
aei.  SEC\_TM\_FCIC\_01078751  
aej.  SEC\_TM\_FCIC\_01078780  
aek.  SEC\_TM\_FCIC\_01078785  
ael.  SEC\_TM\_FCIC\_01078788  
aem.  SEC\_TM\_FCIC\_01078790  
aen.  SEC\_TM\_FCIC\_01078805  
aео.  SEC\_TM\_FCIC\_01078808  
aep.  SEC\_TM\_FCIC\_01078810

aeq.  SEC\_TM\_FCIC\_01078814  
aer.  SEC\_TM\_FCIC\_01078822  
aes.  SEC\_TM\_FCIC\_01078836  
aet.  SEC\_TM\_FCIC\_01078856  
aeu.  SEC\_TM\_FCIC\_01078876  
aev.  SEC\_TM\_FCIC\_01078896  
aew.  SEC\_TM\_FCIC\_01078913  
aex.  SEC\_TM\_FCIC\_01078925  
aey.  SEC\_TM\_FCIC\_01078938  
aez.  SEC\_TM\_FCIC\_01078951  
afa.  SEC\_TM\_FCIC\_01078964  
afb.  SEC\_TM\_FCIC\_01078966  
afc.  SEC\_TM\_FCIC\_01078967  
afd.  SEC\_TM\_FCIC\_01078968  
afe.  SEC\_TM\_FCIC\_01078970  
aff.  SEC\_TM\_FCIC\_01078972  
afg.  SEC\_TM\_FCIC\_01078988  
afh.  SEC\_TM\_FCIC\_01079005  
afi.  SEC\_TM\_FCIC\_01079008  
afj.  SEC\_TM\_FCIC\_01079008  
afk.  SEC\_TM\_FCIC\_01079011  
afl.  SEC\_TM\_FCIC\_01079019  
afm.  SEC\_TM\_FCIC\_01079020  
afn.  SEC\_TM\_FCIC\_01079021  
afo.  SEC\_TM\_FCIC\_01079023  
afp.  SEC\_TM\_FCIC\_01079030  
afq.  SEC\_TM\_FCIC\_01079046  
afr.  SEC\_TM\_FCIC\_01079048  
afs.  SEC\_TM\_FCIC\_01079066  
aft.  SEC\_TM\_FCIC\_01079074  
afu.  SEC\_TM\_FCIC\_01079098  
afv.  SEC\_TM\_FCIC\_01079122  
afw.  SEC\_TM\_FCIC\_01079125  
afx.  SEC\_TM\_FCIC\_01079149  
afy.  SEC\_TM\_FCIC\_01079153  
afz.  SEC\_TM\_FCIC\_01079168  
aga.  SEC\_TM\_FCIC\_01079171  
agb.  SEC\_TM\_FCIC\_01079195  
agc.  SEC\_TM\_FCIC\_01079201  
agd.  SEC\_TM\_FCIC\_01079205  
age.  SEC\_TM\_FCIC\_01079206  
agf.  SEC\_TM\_FCIC\_01079213  
agg.  SEC\_TM\_FCIC\_01079216  
agh.  SEC\_TM\_FCIC\_01079232  
agi.  SEC\_TM\_FCIC\_01079267  
agj.  SEC\_TM\_FCIC\_01079289  
agk.  SEC\_TM\_FCIC\_01079306  
agl.  SEC\_TM\_FCIC\_01079457  
agm.  SEC\_TM\_FCIC\_01079460  
agn.  SEC\_TM\_FCIC\_01079482  
ago.  SEC\_TM\_FCIC\_01079486  
agp.  SEC\_TM\_FCIC\_01079487  
agq.  SEC\_TM\_FCIC\_01079488  
agr.  SEC\_TM\_FCIC\_01079491  
ags.  SEC\_TM\_FCIC\_01079493
























































agt.  SEC\_TM\_FCIC\_01079497  
agu.  SEC\_TM\_FCIC\_01079498  
agv.  SEC\_TM\_FCIC\_01079499  
agw.  SEC\_TM\_FCIC\_01079500  
agx.  SEC\_TM\_FCIC\_01079501  
agy.  SEC\_TM\_FCIC\_01079502  
agz.  SEC\_TM\_FCIC\_01079527  
aha.  SEC\_TM\_FCIC\_01079551  
ahb.  SEC\_TM\_FCIC\_01079576  
ahc.  SEC\_TM\_FCIC\_01079600  
ahd.  SEC\_TM\_FCIC\_01079624  
ahе.  SEC\_TM\_FCIC\_01079647  
ahf.  SEC\_TM\_FCIC\_01079670  
ahg.  SEC\_TM\_FCIC\_01079693  
ahh.  SEC\_TM\_FCIC\_01079724  
ahi.  SEC\_TM\_FCIC\_01079743  
ahj.  SEC\_TM\_FCIC\_01080022  
ahk.  SEC\_TM\_FCIC\_01080121  
ahl.  SEC\_TM\_FCIC\_01080247  
ahm.  SEC\_TM\_FCIC\_01080282  
ahn.  SEC\_TM\_FCIC\_01080311  
aho.  SEC\_TM\_FCIC\_01080336  
ahp.  SEC\_TM\_FCIC\_01080359  
ahq.  SEC\_TM\_FCIC\_01080382  
ahr.  SEC\_TM\_FCIC\_01080402  
ahs.  SEC\_TM\_FCIC\_01080418  
aht.  SEC\_TM\_FCIC\_01080435  
ahu.  SEC\_TM\_FCIC\_01080437  
ahv.  SEC\_TM\_FCIC\_01080447  
ahw.  SEC\_TM\_FCIC\_01080454  
ahx.  SEC\_TM\_FCIC\_01080459  
ahy.  SEC\_TM\_FCIC\_01080460  
ahz.  SEC\_TM\_FCIC\_01080463  
aia.  SEC\_TM\_FCIC\_01080465  
aib.  SEC\_TM\_FCIC\_01080466  
aic.  SEC\_TM\_FCIC\_01080473  
aid.  SEC\_TM\_FCIC\_01080478  
aie.  SEC\_TM\_FCIC\_01080480  
aif.  SEC\_TM\_FCIC\_01080482  
aig.  SEC\_TM\_FCIC\_01080482  
aih.  SEC\_TM\_FCIC\_01080511  
aii.  SEC\_TM\_FCIC\_01080539  
aij.  SEC\_TM\_FCIC\_01080574  
aik.  SEC\_TM\_FCIC\_01080597  
ail.  SEC\_TM\_FCIC\_01080620  
aim.  SEC\_TM\_FCIC\_01080643  
ain.  SEC\_TM\_FCIC\_01080667  
aio.  SEC\_TM\_FCIC\_01080691  
aip.  SEC\_TM\_FCIC\_01080715  
aiq.  SEC\_TM\_FCIC\_01080739  
air.  SEC\_TM\_FCIC\_01080764  
ais.  SEC\_TM\_FCIC\_01080789  
ait.  SEC\_TM\_FCIC\_01080793  
aiu.  SEC\_TM\_FCIC\_01080816  
aiv.  SEC\_TM\_FCIC\_01080841
























































aiw.  SEC\_TM\_FCIC\_01080865  
aix.  SEC\_TM\_FCIC\_01080889  
aiy.  SEC\_TM\_FCIC\_01080912  
aiz.  SEC\_TM\_FCIC\_01080935  
aja.  SEC\_TM\_FCIC\_01080959  
ajb.  SEC\_TM\_FCIC\_01080983  
ajc.  SEC\_TM\_FCIC\_01081007  
ajd.  SEC\_TM\_FCIC\_01081009  
aje.  SEC\_TM\_FCIC\_01081009  
ajf.  SEC\_TM\_FCIC\_01081013  
ajg.  SEC\_TM\_FCIC\_01081023  
ajh.  SEC\_TM\_FCIC\_01081249  
aji.  SEC\_TM\_FCIC\_01081267  
ajj.  SEC\_TM\_FCIC\_01081278  
ajk.  SEC\_TM\_FCIC\_01081283  
ajl.  SEC\_TM\_FCIC\_01081290  
ajm.  SEC\_TM\_FCIC\_01081292  
ajn.  SEC\_TM\_FCIC\_01081294  
ajo.  SEC\_TM\_FCIC\_01081296  
ajp.  SEC\_TM\_FCIC\_01081297  
ajq.  SEC\_TM\_FCIC\_01081305  
ajr.  SEC\_TM\_FCIC\_01081307  
ajs.  SEC\_TM\_FCIC\_01081313  
ajt.  SEC\_TM\_FCIC\_01081315  
aju.  SEC\_TM\_FCIC\_01081321  
ajv.  SEC\_TM\_FCIC\_01081328  
ajw.  SEC\_TM\_FCIC\_01081331  
ajx.  SEC\_TM\_FCIC\_01081347  
ajy.  SEC\_TM\_FCIC\_01081403  
ajz.  SEC\_TM\_FCIC\_01081427  
aka.  SEC\_TM\_FCIC\_01081434  
akb.  SEC\_TM\_FCIC\_01081441  
akc.  SEC\_TM\_FCIC\_01081443  
akd.  SEC\_TM\_FCIC\_01081453  
ake.  SEC\_TM\_FCIC\_01081466  
akf.  SEC\_TM\_FCIC\_01081491  
akg.  SEC\_TM\_FCIC\_01081503  
akh.  SEC\_TM\_FCIC\_01081516  
aki.  SEC\_TM\_FCIC\_01081526  
akj.  SEC\_TM\_FCIC\_01081530  
akk.  SEC\_TM\_FCIC\_01081564  
akl.  SEC\_TM\_FCIC\_01081567  
akm.  SEC\_TM\_FCIC\_01081601  
akn.  SEC\_TM\_FCIC\_01081607  
ako.  SEC\_TM\_FCIC\_01081643  
akp.  SEC\_TM\_FCIC\_01081660  
akq.  SEC\_TM\_FCIC\_01081664  
akr.  SEC\_TM\_FCIC\_01081666























































- k.  2010-10-12 PProduction
  - 1.  SEC ENF FCIC 019130-132
- l.  2010-10-21 Production
  - 1.  4-20-07 Risk Rpts EL HG
  - 2.  Government Admitted trial exhibits 11-9-09
  - 3.  SEC\_ENF\_FCIC\_0191
  - 4.  SEC\_ENF\_FCIC\_019964
  - 5.  SEC\_ENF\_FCIC\_020526









6.  SEC\_ENF\_FCIC\_020979
7.  SEC\_ENF\_FCIC\_021766
- m.  2010-12-21 Production
  1.  12 16 10 FCIC CF Salomon
  2.  Engelhard Minerals and Chem filed April 21 1981 (DEF 14A)
  3.  Phibro 1982 (DEF 14A) PDF
  4.  Phibro-Salomon Corp 1983 (DEF 14A) PDF
  5.  Phibro-Salomon Inc filed April 30 1984 (DEF14A) PDF
  6.  Phibro-Salomon Inc filed April 5 1985 (DEF 14A) PDF
  7.  Phibro-Salomon Inc filed March 25 1985 (DEF14A) PDF
  8.  Phibro-Salomon Inc filed March 30 1984 (DEF 14A) PDF
  9.  Salomon Inc 1987 Proxy (DEF 14A) PDF
  10.  Salomon Inc 1988 (DEF 14A) PDF
  11.  Salomon Inc 1989 (DEF 14A) PDF
70.  SEC - Countrywide Documents
  - a.  2010-08-08 Production - Depositions
    1.  2010-08-05 SEC (S Forstein) ltr re Countrywide Prod per Seefer 7-28 request (copy)
    2.  BARTLETT\_KEVIN\_20080527
    3.  BARTLETT\_KEVIN\_20080815
    4.  BARTLETT\_KEVIN\_20081007
    5.  GARCIA\_CARLOS\_20080909
    6.  GARCIA\_CARLOS\_20080910
    7.  GARCIA\_CARLOS\_20081119
    8.  KURLAND\_STANFORD\_20081001
    9.  KURLAND\_STANFORD\_20081020
    10.  McMurray Transcript, Vol. 1
    11.  McMurray Transcript, Vol. 2
    12.  McMurray Transcript, Vol. 3
    13.  McMurray Transcript, Vol. 4
    14.  McMurray Transcript, Vol. 5
  - b.  2010-08-11 Prod - SEC v Countrywide Exhibits
    1.  SEC v Countrywide Exhibits 1-300
      - a.  Ex 154
      - b.  Ex 155
      - c.  Ex 156
      - d.  Ex 157
      - e.  Ex 158
      - f.  Ex 159
      - g.  Ex 16
      - h.  Ex 160
      - i.  Ex 161
      - j.  Ex 162
      - k.  Ex 163
      - l.  Ex 164
      - m.  Ex 165
      - n.  Ex 166
      - o.  Ex 167
      - p.  Ex 168
      - q.  Ex 169
      - r.  Ex 17
      - s.  Ex 170
      - t.  Ex 171
      - u.  Ex 172
      - v.  Ex 173
      - w.  Ex 174
      - x.  Ex 175


















































y.  Ex 176  
z.  Ex 177  
aa.  Ex 178  
ab.  Ex 179  
ac.  Ex 18  
ad.  Ex 180  
ae.  Ex 181  
af.  Ex 182  
ag.  Ex 183  
ah.  Ex 184  
ai.  Ex 185  
aj.  Ex 186  
ak.  Ex 187  
al.  Ex 188  
am.  Ex 189  
an.  Ex 19  
ao.  Ex 190  
ap.  Ex 191  
aq.  Ex 192  
ar.  Ex 193  
as.  Ex 194  
at.  Ex 195  
au.  Ex 196  
av.  Ex 198  
aw.  Ex 199  
ax.  Ex 2  
ay.  Ex 20  
az.  Ex 200  
ba.  Ex 201  
bb.  Ex 202  
bc.  Ex 203  
bd.  Ex 204  
be.  Ex 205  
bf.  Ex 206  
bg.  Ex 207  
bh.  Ex 208  
bi.  Ex 209  
bj.  Ex 21  
bk.  Ex 210  
bl.  Ex 211  
bm.  Ex 212  
bn.  Ex 213  
bo.  Ex 214  
bp.  Ex 215  
bq.  Ex 216  
br.  Ex 217  
bs.  Ex 218  
bt.  Ex 219  
bu.  Ex 22  
bv.  Ex 220  
bw.  Ex 221  
bx.  Ex 222  
by.  Ex 223  
bz.  Ex 224  
ca.  Ex 225


























cb.  Ex 226  
cc.  Ex 227  
cd.  Ex 228  
ce.  Ex 229  
cf.  Ex 23  
cg.  Ex 230  
ch.  Ex 231  
ci.  Ex 232  
cj.  Ex 233  
ck.  Ex 234  
cl.  Ex 235  
cm.  Ex 236  
cn.  Ex 237  
co.  Ex 238  
cp.  Ex 239  
cq.  Ex 24  
cr.  Ex 240  
cs.  Ex 241  
ct.  Ex 242  
cu.  Ex 243  
cv.  Ex 244  
cw.  Ex 245  
cx.  Ex 246  
cy.  Ex 247  
cz.  Ex 247 CFC 10-Q 3q06  
da.  Ex 25  
db.  Ex 250  
dc.  Ex 251  
dd.  Ex 26  
de.  Ex 27  
df.  Ex 270  
dg.  Ex 271  
dh.  Ex 275  
di.  Ex 276  
dj.  Ex 277  
dk.  Ex 278  
dl.  Ex 279  
dm.  Ex 28  
dn.  Ex 280  
do.  Ex 281  
dp.  Ex 282  
dq.  Ex 29  
dr.  Ex 3  
ds.  Ex 30  
dt.  Ex 300  
du.  Ex 31  
dv.  Ex 32  
dw.  Ex 33  
dx.  Ex 34  
dy.  Ex 35  
dz.  Ex 36  
ea.  Ex 37  
eb.  Ex 38  
ec.  Ex 38a  
ed.  Ex 39


ee.  Ex 4  
ef.  Ex 40  
eg.  Ex 41  
eh.  Ex 42  
ei.  Ex 43  
ej.  Ex 44  
ek.  Ex 45  
el.  Ex 46  
em.  Ex 47  
en.  Ex 48  
eo.  Ex 49  
ep.  Ex 5  
eq.  Ex 50  
er.  Ex 51  
es.  Ex 52  
et.  Ex 53  
eu.  Ex 54  
ev.  Ex 55  
ew.  Ex 56  
ex.  Ex 57  
ey.  Ex 58  
ez.  Ex 59  
fa.  Ex 6  
fb.  Ex 60  
fc.  Ex 61  
fd.  Ex 62  
fe.  Ex 63  
ff.  Ex 64  
fg.  Ex 65  
fh.  Ex 66  
fi.  Ex 67  
fj.  Ex 68  
fk.  Ex 69  
fl.  Ex 7  
fm.  Ex 70  
fn.  Ex 71  
fo.  Ex 72  
fp.  Ex 73  
fq.  Ex 74  
fr.  Ex 75  
fs.  Ex 76  
ft.  Ex 77  
fu.  Ex 78  
fv.  Ex 79  
fw.  Ex 8  
fx.  Ex 80  
fy.  Ex 81  
fz.  Ex 82  
ga.  Ex 83  
gb.  Ex 84  
gc.  Ex 85  
gd.  Ex 86  
ge.  Ex 87  
gf.  Ex 88  
gg.  Ex 89






























- gh.  Ex 9
- gi.  Ex 90
- gj.  Ex 91
- gk.  Ex 92
- gl.  Ex 93
- gm.  Ex 94
- gn.  Ex 95
- go.  Ex 96
























































2.  SEC v Countrywide Exhibits 301-600
























































- a.  Ex 535
- b.  Ex 536
- c.  Ex 537
- d.  Ex 538
- e.  Ex 539
- f.  Ex 540
- g.  Ex 541
- h.  Ex 542
- i.  Ex 543
- j.  Ex 544
- k.  Ex 545
- l.  Ex 546
- m.  Ex 547
- n.  Ex 548
- o.  Ex 549
- p.  Ex 550
- q.  Ex 551
- r.  Ex 552
- s.  Ex 553
- t.  Ex 554
- u.  Ex 555
- v.  Ex 556
- w.  Ex 557
- x.  Ex 557A
- y.  Ex 558
- z.  Ex 559
- aa.  Ex 560
- ab.  Ex 561
- ac.  Ex 562
- ad.  Ex 563
- ae.  Ex 564
- af.  Ex 565
- ag.  Ex 566
- ah.  Ex 567
- ai.  Ex 568
- aj.  Ex 569
- ak.  Ex 570
- al.  Ex 571
- am.  Ex 572
- an.  Ex 573
- ao.  Ex 574
- ap.  Ex 575
- aq.  Ex 576
- ar.  Ex 577
- as.  Ex 578
- at.  Ex 579
























































- au.  Ex 580
- av.  Ex 581
- aw.  Ex 582
- ax.  Ex 583
- ay.  Ex 584
- az.  Ex 585
- ba.  Ex 586
- bb.  Ex 587
- bc.  Ex 588
- bd.  Ex 589
- be.  Ex 590
- bf.  Ex 591
- bg.  Ex 592
- bh.  Ex 593
- bi.  Ex 594
- bj.  Ex 595
- bk.  Ex 596
- bl.  Ex 597
- bm.  Ex 597A
- bn.  Ex 598
- bo.  Ex 598A
- bp.  Ex 599
- bq.  Ex 599A
- br.  Ex 600
- bs.  Ex 600A

3.  SEC v Countrywide Exhibits 601-900






















































- a.  Ex 601
- b.  Ex 601A
- c.  Ex 602
- d.  Ex 602A
- e.  Ex 603
- f.  Ex 603A
- g.  Ex 604
- h.  Ex 604A
- i.  Ex 605
- j.  Ex 605A
- k.  Ex 606
- l.  Ex 606A
- m.  Ex 607
- n.  Ex 607A
- o.  Ex 608
- p.  Ex 608A
- q.  Ex 609
- r.  Ex 609A
- s.  Ex 610
- t.  Ex 610A
- u.  Ex 611
- v.  Ex 611A
- w.  Ex 612
- x.  Ex 612A
- y.  Ex 613
- z.  Ex 614
- aa.  Ex 615
- ab.  Ex 616
- ac.  Ex 617

ad.  Ex 618  
ae.  Ex 619  
af.  Ex 620  
ag.  Ex 621  
ah.  Ex 622  
ai.  Ex 623  
aj.  Ex 624  
ak.  Ex 625  
al.  Ex 626  
am.  Ex 627  
an.  Ex 628  
ao.  Ex 629  
ap.  Ex 630  
aq.  Ex 631  
ar.  Ex 632  
as.  Ex 633  
at.  Ex 634  
au.  Ex 635  
av.  Ex 636  
aw.  Ex 637  
ax.  Ex 638  
ay.  Ex 639  
az.  Ex 640  
ba.  Ex 641  
bb.  Ex 642  
bc.  Ex 643  
bd.  Ex 644  
be.  Ex 645  
bf.  Ex 646  
bg.  Ex 647  
bh.  Ex 648  
bi.  Ex 649  
bj.  Ex 650  
bk.  Ex 651  
bl.  Ex 652  
bm.  Ex 653  
bn.  Ex 654  
bo.  Ex 655  
bp.  Ex 656  
bq.  Ex 657  
br.  Ex 658  
bs.  Ex 659  
bt.  Ex 660  
bu.  Ex 661  
bv.  Ex 662  
bw.  Ex 663  
bx.  Ex 664  
by.  Ex 665  
bz.  Ex 666  
ca.  Ex 667  
cb.  Ex 668.  
cc.  Ex 669  
cd.  Ex 670  
ce.  Ex 671  
cf.  Ex 672

cg.  Ex 673  
ch.  Ex 674  
ci.  Ex 675  
cj.  Ex 676  
ck.  Ex 677  
cl.  Ex 678  
cm.  Ex 679  
cn.  Ex 680  
co.  Ex 681  
cp.  Ex 682  
cq.  Ex 683  
cr.  Ex 684  
cs.  Ex 685  
ct.  Ex 686  
cu.  Ex 687  
cv.  Ex 688  
cw.  Ex 689  
cx.  Ex 690  
cy.  Ex 691  
cz.  Ex 692  
da.  Ex 693  
db.  Ex 694  
dc.  Ex 695  
dd.  Ex 696  
de.  Ex 697  
df.  Ex 698  
dg.  Ex 700  
dh.  Ex 701  
di.  Ex 702  
dj.  Ex 703  
dk.  Ex 704  
dl.  Ex 705  
dm.  Ex 750  
dn.  Ex 751  
do.  Ex 752  
dp.  Ex 753  
dq.  Ex 754  
dr.  Ex 755X  
ds.  Ex 756  
dt.  Ex 757  
du.  Ex 758  
dv.  Ex 759  
dw.  Ex 760  
dx.  Ex 761  
dy.  Ex 762  
dz.  Ex 763  
ea.  Ex 764  
eb.  Ex 765  
ec.  Ex 766  
ed.  Ex 767  
ee.  Ex 768  
ef.  Ex 769  
eg.  Ex 770  
eh.  Ex 771  
ei.  Ex 772
























































ej.  Ex 773  
ek.  Ex 774  
el.  Ex 775  
em.  Ex 776  
en.  Ex 777  
eo.  Ex 778  
ep.  Ex 779  
eq.  Ex 780  
er.  Ex 781  
es.  Ex 782  
et.  Ex 783  
eu.  Ex 784  
ev.  Ex 785  
ew.  Ex 786  
ex.  Ex 787  
ey.  Ex 788  
ez.  Ex 789  
fa.  Ex 790  
fb.  Ex 791  
fc.  Ex 792  
fd.  Ex 793  
fe.  Ex 794  
ff.  Ex 795X  
fg.  Ex 796  
fh.  Ex 797  
fi.  Ex 798  
fj.  Ex 799  
fk.  Ex 800  
fl.  Ex 801  
fm.  Ex 802  
fn.  Ex 803  
fo.  Ex 804  
fp.  Ex 805  
fq.  Ex 806  
fr.  Ex 807  
fs.  Ex 808  
ft.  Ex 809  
fu.  Ex 810  
fv.  Ex 811  
fw.  Ex 812  
fx.  Ex 813  
fy.  Ex 814  
fz.  Ex 815  
ga.  Ex 816  
gb.  Ex 817  
gc.  Ex 818  
gd.  Ex 819  
ge.  Ex 820  
gf.  Ex 821  
gg.  Ex 822  
gh.  Ex 823  
gi.  Ex 824  
gj.  Ex 825  
gk.  Ex 826  
gl.  Ex 827

















































































































- gm.  Ex 828
- gn.  Ex 829
- go.  Ex 830
- gp.  Ex 831
- gq.  Ex 832
- gr.  Ex 833
- gs.  Ex 834
- gt.  Ex 835
- gu.  Ex 836
- gv.  Ex 837
- gw.  Ex 838
- gx.  Ex 839
- gy.  Ex 840
- gz.  Ex 841
- ha.  Ex 842
- hb.  Ex 843
- hc.  Ex 844
- hd.  Ex 845
- he.  Ex 846
- hf.  Ex 847
- hg.  Ex 848
- hh.  Ex 849
- hi.  Ex 850
- hj.  Ex 851
- hk.  Ex 860
- hl.  Ex 861
- hm.  Ex 862
- hn.  Ex 865
- ho.  Ex 866
- hp.  Ex 867
- hq.  Ex 868
- hr.  Ex 869
- hs.  Ex 870
- ht.  Ex 871
- hu.  Ex 872
- hv.  Ex 873
- hw.  Ex 874
- hx.  Ex 875
- hy.  Ex 876
- hz.  Ex 877
- ia.  Ex 878
- ib.  Ex 879
- ic.  Ex 885
- id.  Ex 886
- ie.  Ex 887
- if.  Ex 888
- ig.  Ex 889
- ih.  Ex 890
- ii.  Ex 891
- ij.  Ex 892
- ik.  Ex 893
- il.  Ex 894
- im.  Ex 895








c.  Countrywide 07 13 10
























































71.  Sonia Garcia























































- a.  (14.1) BSA Organization Chart\_v8
- b.  (14.1) BSA Organization Chart\_v8
- c.  (14.11) OFAC Wire Clearing Procedures
- d.  (14.11) OFAC Wire Clearing Procedures
- e.  (14.3) 01-Suspicious%20Activity%20BSA%20Rules
- f.  (14.3) 01-Suspicious%20Activity%20BSA%20Rules
- g.  (14.3) 061004 OFAC Monthly Scans
- h.  (14.3) 061004 OFAC Monthly Scans
- i.  (14.3) 10-Cash%20Policy
- j.  (14.3) 10-Cash%20Policy
- k.  (14.3) 18-CIP
- l.  (14.3) 18-CIP
- m.  (14.3) 20061103 Providing SARs to Appropriate Law Enforcement
- n.  (14.3) 20061103 Providing SARs to Appropriate Law Enforcement
- o.  (14.3) 34-NEWACCTS
- p.  (14.3) 34-NEWACCTS
- q.  (14.3) FinCEN Records Searched Summary
- r.  (14.3) FinCEN Records Searched Summary
- s.  (14.3) National Security Letters
- t.  (14.3) National Security Letters
- u.  (14.3) Natl\_Sec\_Ltrs\_Proc
- v.  (14.3) Natl\_Sec\_Ltrs\_Proc
- w.  (14.5) A.1.4 - 2006 CORPORATE-BSA-OFAC -
- x.  (14.5) A.1.4 - 2006 CORPORATE-BSA-OFAC -
- y.  (14.5) CA 06-33 CORPORATE BSA REPORT FINAL
- z.  (14.5) CA 06-33 CORPORATE BSA REPORT FINAL
- aa.  (14.7) 071212\_BSA\_Meeting
- ab.  (14.7) 071212\_BSA\_Meeting
- ac.  (14.7) 2007 bsa wire transfers reecordkeeping statisticalreport
- ad.  (14.7) 2007 bsa wire transfers reecordkeeping statisticalreport
- ae.  (14.7) 2007BSAWireTransfersandRecordkeepingRequirementsStatisticalReportSummaryReport
- af.  (14.7) 2007BSAWireTransfersandRecordkeepingRequirementsStatisticalReportSummaryReport
- ag.  (14.7) 2007ComplianceFDICTrainingStatisticalSummaryReportByCourse1
- ah.  (14.7) 2007ComplianceFDICTrainingStatisticalSummaryReportByCourse1
- ai.  (14.7) 2007ComplianceTrainingReportNotCompletedByDivisionByManagerByCourse
- aj.  (14.7) 2007ComplianceTrainingReportNotCompletedByDivisionByManagerByCourse
- ak.  (14.7) Anti-MoneyLaundering Course
- al.  (14.7) Anti-MoneyLaundering Course
- am.  (14.7) Anti-Terrorism Course
- an.  (14.7) Anti-Terrorism Course
- ao.  (14.7) BSA Course
- ap.  (14.7) BSA Course
- aq.  (14.7) BSA Related Training\_All Employees
- ar.  (14.7) BSA Related Training\_All Employees
- as.  (14.7) BSAComplianceTraining2007(1)
- at.  (14.7) BSAComplianceTraining2007(1)
- au.  (14.7) Customer Identification Program Course
- av.  (14.7) Customer Identification Program Course
- aw.  (14.7) OFAC Course
- ax.  (14.7) OFAC Course
- ay.  (14.8) 2007RiskAssessment\_v4
- az.  (14.8) 2007RiskAssessment\_v4
- ba.  (14.8) App-3-NAICS Codes as of 093007
- bb.  (14.8) App-3-NAICS Codes as of 093007
- bc.  (14.8) App-4-BrokeredCD 111507 (1)





















































bd.  (14.8) App-4-BrokeredCD 111507 (1)  
be.  (14.8) App-5-BrokeredCD 111507 (1-5)  
bf.  (14.8) App-5-BrokeredCD 111507 (1-5)  
bg.  03-01-07\_to\_06-14-07\_RM\_Referral\_Log  
bh.  06\_July\_Aug\_Sept\_Portfolio\_Product  
bi.  06\_July\_Aug\_Sept\_Portfolio\_Product  
bj.  06\_RB\_BSA\_Rsk\_Assmt  
bk.  06\_RB\_BSA\_Rsk\_Assmt  
bl.  06\_RB\_BSA\_Rsk\_Assmt  
bm.  06\_RB\_Rsk\_Assmt  
bn.  060112\_USMLTA  
bo.  060428\_BSA  
bp.  060512\_eml  
bq.  060512-2\_eml  
br.  061009\_NP\_Accts  
bs.  061009\_NP\_Accts  
bt.  061009\_NP\_Accts  
bu.  061009\_NP\_Accts  
bv.  061025\_BSA\_Rsk\_Assmt\_Sonia.  
bw.  061027(1)\_NP\_wo\_Br\_43  
bx.  061027(1)\_NP\_wo\_Br\_43  
by.  061027\_All\_NP\_Accts  
bz.  061027\_All\_NP\_Accts  
ca.  061027\_Br\_43\_Accts  
cb.  061027\_Br\_43\_Accts  
cc.  061027\_NP\_wo\_Br43  
cd.  061027\_NP\_wo\_Br43  
ce.  061027\_NP\_wo\_Br43\_Modified  
cf.  061027\_NP\_wo\_Br43\_Modified  
cg.  061027\_RB\_NAICS\_Codes  
ch.  061027\_RB\_NAICS\_Codes  
ci.  061027\_RB\_NAICS\_Codes  
cj.  061027\_RB\_NAICS\_Codes  
ck.  061027\_ta06001a  
cl.  061027\_ta06001a  
cm.  061027\_tm06001a  
cn.  061027\_tm06001a  
co.  061030\_RB\_NAICS\_#ofAccts\_Summ  
cp.  061030\_RB\_NAICS\_#ofAccts\_Summ  
cq.  061103\_Br43\_timeqry2a  
cr.  061103\_Br43\_timeqry2a  
cs.  061103\_Br43\_tranqry2  
ct.  061103\_Br43\_tranqry2  
cu.  061103\_timeqry1a  
cv.  061103\_timeqry1a  
cw.  061103\_tranqry1  
cx.  061103\_tranqry1  
cy.  061115\_BOD\_BSA  
cz.  070612\_eml  
da.  070630\_Lending Limit Template  
db.  070726\_eml  
dc.  070727\_AML\_Monitoring  
dd.  070731\_Lending Limit Template  
de.  070803\_Fremont\_CSP  
df.  070803\_xmtl

dg.  070919\_Alerts  
dh.  070919\_Case\_Studies  
di.  070919\_eml  
dj.  071022\_xmtl  
dk.  071025\_FIL\_Director\_Training-BSA\_AML  
dl.  071025\_FIL\_Director\_Training-BSA\_AML\_v3  
dm.  071115\_BOD\_BSA\_trng  
dn.  071212\_xmtlIT  
do.  071217\_Prime\_xmtl  
dp.  080111\_xmtl  
dq.  080125\_Apprsl\_Rqrmnts  
dr.  12 31 05 MSR Valuation Responses (2)  
ds.  12 31 05 MSR Valuation Responses (3)  
dt.  12 Subprime Lending  
du.  1AElectronicPaymentsTramondFrench  
dv.  1BGatekeeperSebastianPrichardJonesDocABA  
dw.  1BMyersGATEKEEPER  
dx.  1BZagarisGATEKEEPERIntITaxEnfAMLRegulatABAABA  
dy.  1C2CHarveyTRAINING  
dz.  1C2CJimenezTRAINING  
ea.  1C2CNovakTRAININGrev915  
eb.  1C2CThurmanTRAININGABApresmaterials2006  
ec.  2006\_Bubble-chart.  
ed.  2006\_Q1-Q3\_Corr  
ee.  2006\_RB\_Wire Transfer Log  
ef.  2006\_RB\_Wire Transfer Log  
eg.  2006-bsa-compliancefdicttrainingstatisticalsummaryreportbydivisiononly1  
eh.  2007RiskAssessment\_v2 (2)  
ei.  2007RiskAssessment\_v3  
ej.  2A3BSPELLMANBSAOFACFlowChart  
ek.  2A3BSPELLMANMonitoringSheets  
el.  2B3CBECKERCorrespondentBanking  
em.  2B3CIreneKraulandCorrespondentBanking  
en.  2B3CTruonoCorrespondentBanking  
eo.  2D5DByrneWORKINGWITHOUTSIDE  
ep.  3A4ACOMBINEDPRESENTATION  
eq.  3D4ECrimEnforceTrendsGreenberg  
er.  3D4ECrimEnforceTrendsGreenbergdoc1  
es.  3D4ECrimEnforceTrendsGreenbergDoc3  
et.  3D4ECrimEnforceTrendsGreenbergHypoosite  
eu.  3EDennisWoodOFACPresentation  
ev.  3EDennisWoods20060926RemarksonRodriguezOrejuelaBro  
ew.  3EHankGrantDoc1BOFARAP2  
ex.  3EHankGrantDoc3OFACChecklistRequirements  
ey.  3EHankGrantDoc3OFACChecklistRequirements1  
ez.  3EHankGrantDoc4OFACInherentRiskAssessMatrix  
fa.  3EHankGrantDoc5OFACResidualRiskAssessmentMatrix  
fb.  3ETomFleming311PPNoNotes  
fc.  4B5BCohendoc1  
fd.  4B5BCohendoc2  
fe.  4B5BMyers  
ff.  4B5BZagaris  
fg.  4DCombinedPrivateBanking  
fh.  5AIRSIssuesCyrusDaftary  
fi.  5ENonBkingFIAMLDoc1Raemore
























































fj.  5ENonBkingFIAMLDoc1Sorcher  
 fk.  AAlvarez\_PolicyProcedures  
 fl.  Activity\_2007\_PPP\_RiskAssessment  
 fm.  Add'l\_Rprchs  
 fn.  AffiliateTransactionsMemo.  
 fo.  AffiliateTransactionsMemov1.  
 fp.  Agenda RiskCompliance 10 02 07 DRAFT  
 fq.  Agenda RiskCompliance 10 02 07 DRAFT  
 fr.  Amended-1  
 fs.  Amended-1  
 ft.  Amended-2\_061027(1)\_NP  
 fu.  Amended-2\_061027(1)\_NP  
 fv.  AML  
 fw.  AML\_Rsk\_ResRE\_Orig  
 fx.  AML\_Rsk\_ResRE\_Orig\_a  
 fy.  AML\_Rsk\_ResRE\_Svs  
 fz.  AML\_Rsk\_ResRe\_Svs\_a  
 ga.  AML\_Rsk\_Treas\_Ops  
 gb.  AML\_Rsk\_Wires  
 gc.  Anti Money Laundering SA Case Research  
 gd.  Assumption Committee Minutes  
 ge.  Basel IA Comment Letter-Fremont Inv & Loan  
 gf.  BCM\_IDV-OFAC\_Summary\_Scans  
 gg.  Binder1  
 gh.  BOOTEX  
 gi.  Br43taoutstat2  
 gj.  Br43taoutstat2  
 gk.  Br43tmoutstat2  
 gl.  Br43tmoutstat2  
 gm.  Branch\_Average\_Age  
 gn.  Brclys\_Rprchs\_DRAFT  
 go.  BSA  
 gp.  BSA 10-30-2003final  
 gq.  BSA content\_v2  
 gr.  BSA Master Policy Overview  
 gs.  BSA Organization Chart\_v8  
 gt.  BSA Questionnaire  
 gu.  BSA Review Summary  
 gv.  BSA Risk Assessment Review  
 gw.  BSA\_Questionnaire  
 gx.  BSA\_Summ  
 gy.  BSA\_Summ\_v2  
 gz.  BSA\_Summ\_v3  
 ha.  CA 06-33 CORPORATE BSA REPORT FINAL  
 hb.  CA 07-20 CORPORATE-BSA REPORT  
 hc.  Cases 070107  
 hd.  ChoicePoint\_Criteria  
 he.  ChoicePoint\_HR\_Database  
 hf.  CIP  
 hg.  comments to MIAC MSR recon memo 6 06  
 hh.  CommIBank\_bsa risk matrix (2)  
 hi.  ComplaintsTrackingSystem.  
 hj.  Compliance Manager -- Work Flow Case Management Procedure  
 hk.  ComplianceUnfairandDeceptiveActsandPracticesWPv1.  
 hl.  Copy of RRM-05-24-M\_Basel\_IA\_FINAL
























































hm.  Copy of RRM-06-09-AC\_MIAC\_12-05 MSR\_FINAL  
 hn.  Corp\_Comp\_BSA Summit BU BSA Procedure Summary  
 ho.  Corp\_Comp\_Completed\_BSA\_Risk\_Assessment\_Template\_060602  
 hp.  CRE\_Concentrations\_Wrkpaper\_Index\_2005.  
 hq.  CRE2006\_risk\_assesment.  
 hr.  CREforeignborrowers.  
 hs.  Deposits2007RiskAssessment  
 ht.  f9022-47\_sar-di  
 hu.  FF\_OtherSideofFence\_Materials\_Jan0507[1]  
 hv.  FF\_OtherSideofFence\_Presentation\_Jan0507[1]  
 hw.  FF\_OtherSideofFence\_PresentationOneSlide\_Jan0507  
 hx.  FF\_SeniorManagement\_Materials\_Feb0207  
 hy.  FF\_SeniorManagement\_Presentation\_Feb0207  
 hz.  FF\_SeniorManagement\_PresentationOneSlide\_Feb0207  
 ia.  FIL 2006 IRR\_AuditOne - FINAL  
 ib.  FIL\_bsa risk matrix (2)  
 ic.  FIL\_bsa risk matrix 2007BubbleChart  
 id.  FinCEN (USA PATRIOT Act)  
 ie.  FinCEN Section 314a Procedures  
 if.  FinCEN\_314(a)\_Downloading\_Proc  
 ig.  FTCActMemo.  
 ih.  GSTues2pmCindyRogersRespdntoEnforceAction  
 ii.  GSTues2pmClewRespdntoEnforcement  
 ij.  GSTues2pmDanStipanoRespondtoEnforceAction  
 ik.  GSTues2pmNinaNicholsRespdntoEnforcement  
 il.  GSTUES330OFACCombined  
 im.  GSTuesAsktheRegulatorsOVER5BSECAML2005EXAMINFO  
 in.  Hedge Ratio % Analysis  
 io.  Hedge\_Vol  
 ip.  High-Risk\_Paragraph  
 iq.  High-Risk\_Paragraph  
 ir.  ICS BSA Review  
 is.  IDV OFAC Scan Results Sept06  
 it.  IDV-OFAC Scan Results  
 iu.  IDV-OFAC\_Scan\_Results\_Template  
 iv.  IDV-OFAC\_Scan\_Results-Retail  
 iw.  IRR\_WKPGM\_RRM-06-20-AC  
 ix.  JOINT AGENCY POLICY STATEMENT ON INTEREST RATE RISK  
 iy.  JOINT AGENCY POLICY STATEMENT ON INTEREST RATE RISK  
 iz.  Lending Limit Template  
 ja.  Liquidity Contingency Plan Workprogram  
 jb.  LiquidityImplications.  
 jc.  Memo to File Barclays Repurchase 200510  
 jd.  Memo\_Template  
 je.  Mod\_Risk\_Entities\_Worksheet  
 jf.  Mod\_Risk\_Entities\_Worksheet  
 jg.  Mon1030RISKUnder5BDoc1MSBReviewRiskAssess  
 jh.  Mon1030RISKUnder5BDoc2NewBusAcctWksht  
 ji.  Mon1030RISKUnder5BDoc3RiskAssessment  
 jj.  Mon1030RISKUnder5BDoc4SampleProgram  
 jk.  MON1030RISKUnder5BDoc5ASpellmanRiskAssessQuestion  
 jl.  MON1030RISKUnder5BDoc5bSpellmanAMLTemplateRisk  
 jm.  Mon1030RISKUnder5BDoc5SARTrackingForm  
 jn.  MON1030RISKUnder5BDoc6WagnerBSAAMLprod  
 jo.  Moneylaundering\_com - First Friday Web Seminar Series
























































- jp.  Moneylaundering\_com Receipt
- jq.  MONGS830ByrneDoc120YRS
- jr.  MONGS830MiscDoc520YRSOrgforEconomicCooperation
- js.  MONGS830MiscDoc620YRStreasNewsOct94
- jt.  MONGS830MiscDoc720YRSwhatisthepatriotact
- ju.  MONGS830SerinoDoc220YRSOCCBSAEnforceActionProcess
- jv.  MONGS830SerinoDoc320YRSOCCguidanceonwhenenforcemen
- jw.  MONGS830SerinoDoc420YRSarticleinBEST
- jx.  MonthlyIDVScans\_Results
- jy.  NAICS CODES 10-9-06
- jz.  NAICS CODES 10-9-06
- ka.  NAICS Codes for Moderate to High Risk Businesses
- kb.  NAICS\_Codes\_Mod-High Risk Businesses
- kc.  NAICS\_Codes\_Mod-High Risk Businesses
- kd.  Non-Trdtnl\_Mrtg\_Prod\_Wrkprgrm-Draft
- ke.  NSL
- kf.  Oct\_06\_IDV-OFAC\_Scan\_Results
- kg.  OFAC
- kh.  OFAC - Checked files
- ki.  OFAC Risk Matrix Feb 06
- kj.  OIGWells.
- kk.  OQ\_2007\_v1
- kl.  OQ\_2007\_v1
- km.  Providing SARs to Appropriate Law Enforcement
- kn.  query1
- ko.  query1
- kp.  query1 (3)
- kq.  query1 (3)
- kr.  query2
- ks.  query2
- kt.  query2 (2)
- ku.  query2 (2)
- kv.  query3
- kx.  query3
- ky.  query3 (2)
- kz.  RB\_Acct\_Validation
- la.  RB\_Acct\_Validation
- lb.  RB\_Mod\_Search\_Criteria
- lc.  RB\_Mod\_Search\_Criteria
- ld.  RB\_NAICS\_#ofAccts\_Summ
- le.  RB\_NAICS\_#ofAccts\_Summ
- lf.  RB\_NAICS\_#ofAccts\_Summ
- lg.  RB\_Uncoded& High Risk Accts
- lh.  RB\_Uncoded\_Mod-HighRisk\_Accts
- li.  RB\_Uncoded\_Mod-HighRisk\_Accts
- lj.  RB2006RiskAssessment.
- lk.  RB2006RiskAssessment.
- ll.  RB2006RiskAssessment.
- lm.  RB2006RiskAssessment.
- ln.  RE IRR Review Memo
- lo.  RE IRR Review Memo
- lp.  RE Liquidity
- lq.  RequestForCertificates
- lr.  Response to RRM-06-18-AC

ls.  Responses  
lt.  ResREOrig2006RiskAssessment.  
lu.  Retail NP File 10-9-06  
lv.  Retail NP File 10-9-06  
lw.  Retail\_Bank\_Risk\_Profile\_2007\_Risk\_Assessment  
lx.  RetailBanking\_IDV-OFAC\_Summary\_Scans  
ly.  Risk\_Management\_Repurchases\_Review  
lz.  Risk\_Mgmt\_03-01-07 to 06-14-07\_Referral\_Log  
ma.  ROE\_Dffrncls\_FDICvsDFI  
mb.  RRM Wrkpaper Index Template  
mc.  RRM Wrkpaper Index Template\_Section\_5\_FTC\_Act\_2006  
md.  RRM Wrkpaper Index TemplateSubprime2006Review.  
me.  RRM\_06\_10\_AC\_QC\_Rev\_Final  
mf.  RRM\_06\_14\_M\_RBP\_Draft  
mg.  RRM\_06\_14\_M\_RBP\_v1  
mh.  RRM\_06\_19\_AC\_Lqdy  
mi.  RRM\_06\_19\_AC\_Lqdy  
mj.  RRM\_06\_19\_AC\_Lqdy\_Final  
mk.  RRM\_06\_19\_AC\_Lqdy\_v2  
ml.  RRM\_06\_19\_AC\_Lqdy\_v3  
mm.  RRM-05-01-AC\_Rcrs\_Rww\_Fndngs  
mn.  RRM-05-01-AC-Response  
mo.  RRM-05-02-AC\_Rcrs\_Rww\_Sum  
mp.  RRM-05-03-M\_Brkr\_Chnl\_Mgt  
mq.  RRM-05-04-AC\_Treas\_Recon and PPA  
mr.  RRM-05-05-AC\_Hdg\_Strtgy\_and\_FallOut  
ms.  RRM-05-06-AC\_MIAC\_MSR\_Recon  
mt.  RRM-05-06-AC\_MIAC\_MSR\_Recon  
mu.  RRM-05-06-AC-Response  
mv.  RRM-05-07-M\_IRRM\_List  
mw.  RRM-05-08-AC\_Pull-Through  
mx.  RRM-05-08-AC\_Response  
my.  RRM-05-09-AC\_IGASA\_Rww\_v2  
mz.  RRM-05-09-AC\_IGASA\_rspns\_01  
na.  RRM-05-09-AC\_IGASA\_rspns\_02  
nb.  RRM-05-10-AC\_Subprime\_Def\_V1  
nc.  RRM-05-10-AC\_Subprime\_Def\_V2  
nd.  RRM-05-10-AC\_Subprime\_Def\_V2  
ne.  RRM-05-11-AC\_Lqdy\_Rww\_Final  
nf.  RRM-05-12-AC\_Lyon\_Lns  
ng.  RRM-05-13-M\_BK\_FCL  
nh.  RRM-05-14-AC\_Wire\_Review  
ni.  RRM-05-14-AC\_Wire\_Review\_Final  
nj.  RRM-05-14-AC\_Wire\_Review\_v2  
nk.  RRM-05-14-AC\_Wire\_Review\_v3  
nl.  RRM-05-14-AC\_Wire\_Review\_v4  
nm.  RRM-05-15-AC\_Capital\_Allocation  
nn.  RRM-05-16-M\_Prt\_350\_Rev\_Final  
no.  RRM-05-16-M\_Prt\_350\_Rev\_v1  
np.  RRM-05-17-M\_Out-of-Ste\_Deps\_FINAL  
nq.  RRM-05-17-M\_Out-of-Ste\_Deps\_v1  
nr.  RRM-05-17-M\_Out-of-Ste\_Deps\_v2  
ns.  RRM-05-18-M\_RCR  
nt.  RRM-05-19-AC\_Pull\_Through\_II  
nu.  RRM-05-19-AC\_Pull\_Through\_II\_v2


















































































































nv.  RRM-05-20-AC\_Draft  
nw.  RRM-05-20-AC\_HEL\_Final  
nx.  RRM-05-20-AC\_v1  
ny.  RRM-05-20-AC\_v2  
nz.  RRM-05-20-AC\_v3  
oa.  RRM-05-20-AC\_v4  
ob.  RRM-05-20-AC\_v4  
oc.  RRM-05-20-AC\_V5  
od.  RRM-05-20-AC\_v6  
oe.  RRM-05-20-AC\_v6  
of.  RRM-05-21-AC\_IRR\_Mgmt\_Memo\_FINAL  
og.  RRM-05-21-AC\_IRR\_Mgmt\_Memo\_FINAL  
oh.  RRM-05-21-AC\_IRR\_Mgmt\_Memo\_v1  
oi.  RRM-05-21-AC\_IRR\_Mgmt\_Memo\_v2  
oj.  RRM-05-21-AC\_IRR\_Phse1  
ok.  RRM-05-22-AC\_Concentrations\_FINAL  
ol.  RRM-05-22-AC\_Concentrations\_FINAL  
om.  RRM-05-22-AC\_Concentrations\_v1  
on.  RRM-05-22-AC\_Concentrations\_v2  
oo.  RRM-05-22-AC\_Concentrations\_v3afterAlanreview.  
op.  RRM-05-22-AC\_Concentrations\_v4  
oq.  RRM-05-22-AC\_Concentrations\_v4  
or.  RRM-05-23-AC\_IRR\_Phase2\_Draft  
os.  RRM-05-23-AC\_IRR\_Phase2\_v2  
ot.  RRM-05-24-M\_Basel\_IA\_FINAL  
ou.  RRM-05-24-M\_Basel\_IA\_FINAL  
ov.  RRM-05-24-M\_Basel\_IA\_v1  
ow.  RRM-05-24-M\_Basel\_IA\_v1  
ox.  RRM-05-24-M\_Basel\_IA\_v2  
oy.  RRM-05-25-AC\_Resid\_Value  
oz.  RRM-05-25-AC\_Resid\_Value  
pa.  RRM-05-26-AC\_FDICIA  
pb.  RRM-05-26-AC\_FDICIA\_FINAL  
pc.  RRM-05-27-M\_Sec\_Mkt\_Adt\_Resp  
pd.  RRM-05-27-M\_Sec\_Mkt\_Adt\_Resp\_v2  
pe.  RRM-06-01-M\_CRE\_Conc\_Prpsl\_v1  
pf.  RRM-06-02-M\_Fid\_Ins  
pg.  RRM-06-02-M\_Fid\_Ins\_Draft  
ph.  RRM-06-02-M\_Fid\_Ins\_v.Final  
pi.  RRM-06-03-M\_BSA\_Review\_FINAL  
pj.  RRM-06-03-M\_BSA\_Review\_FINAL  
pk.  RRM-06-03-M\_BSA\_Review\_v1  
pl.  RRM-06-03-M\_BSA\_Review\_v2  
pm.  RRM-06-03-M\_BSA\_Review\_v3  
pn.  RRM-06-03-M\_BSA\_Review\_v4  
po.  RRM-06-03-M\_BSA\_Review\_v5  
pp.  RRM-06-03-M\_BSA\_Review\_v6  
pq.  RRM-06-03-M\_BSA\_Review\_v6  
pr.  RRM-06-03-M\_BSA\_Review\_v7  
ps.  RRM-06-04-AC\_Dshbrd\_Chng  
pt.  RRM-06-05-AC\_MIAC\_MSR\_Recon  
pu.  RRM-06-05-AC\_MIAC\_MSR\_Recon\_Draft  
pv.  RRM-06-05-AC\_MIAC\_MSR\_Recon\_Draft  
pw.  RRM-06-05-AC\_MIAC\_MSR\_Recon\_FINAL  
px.  RRM-06-05-AC\_MIAC\_MSR\_Recon\_FINAL
























































py.  RRM-06-06-M\_Mdl\_Valdtn\_Govn  
pz.  RRM-06-06-M\_Mdl\_Valdtn\_Govn\_FINAL  
qa.  RRM-06-06-M\_Mdl\_Valdtn\_Govn\_v2  
qb.  RRM-06-07-M\_NonTrdl\_Mrtg\_Prods\_v1  
qc.  RRM-06-08-AC\_ALLL\_Rww\_Draft  
qd.  RRM-06-08-AC\_ALLL\_Rww\_Final  
qe.  RRM-06-08-AC\_ALLL\_Rww\_Final  
qf.  RRM-06-08-AC\_ALLL\_Rww\_GC  
qg.  RRM-06-08-AC\_ALLL\_Rww\_v1  
qh.  RRM-06-08-AC\_ALLL\_Rww\_v2  
qi.  RRM-06-08-AC\_ALLL\_Rww\_v3  
qj.  RRM-06-08-AC\_ALLL\_Rww\_v4  
qk.  RRM-06-08-AC\_ALLL\_Rww\_v5  
ql.  RRM-06-09-AC\_MIAC\_12-05 MSR\_FINAL  
qm.  RRM-06-09-AC\_MIAC\_12-05 MSR\_FINAL  
qn.  RRM-06-09-AC\_MIAC\_12-05 MSR\_Recon\_v1  
qo.  RRM-06-09-AC\_MIAC\_12-05 MSR\_Recon\_v2  
qp.  RRM-06-09-AC\_MIAC\_12-05 MSR\_Recon\_v3  
qq.  RRM-06-09-AC\_MIAC\_12-05 MSR\_Recon\_v3  
qr.  RRM-06-09-AC\_MIAC\_12-05 MSR\_Recon\_v4  
qs.  RRM-06-09-AC\_MIAC\_12-05 MSR\_Recon\_v5  
qt.  RRM-06-09-AC\_MIAC\_12-05 MSR\_Recon\_v6  
qu.  RRM-06-09-AC\_MIAC\_12-05 MSR\_Recon\_v6  
qv.  RRM-06-10-AC\_QC\_Rev\_v6  
qw.  RRM-06-10-AC\_QC\_Rev\_v7  
qx.  RRM-06-10-AC\_QC\_Rev\_v8  
qy.  RRM-06-10-AC\_QC\_Rev\_v8  
qz.  RRM-06-10-AC\_QC\_Review\_Draft  
ra.  RRM-06-10-AC\_QC\_Review\_v1  
rb.  RRM-06-10-AC\_QC\_Review\_v2  
rc.  RRM-06-10-AC\_QC\_Review\_v3  
rd.  RRM-06-10-AC\_QC\_Review\_v4  
re.  RRM-06-10-AC\_QC\_Review\_v5  
rf.  RRM-06-10-AC\_QC\_Review\_v5  
rg.  RRM-06-12-AC\_SP-Lndg-Actvts\_FINAL  
rh.  RRM-06-12-AC\_SP-Lndg-Actvts\_v2  
ri.  RRM-06-12-AC\_SP-Lndg-Actvts\_v3  
rj.  RRM-06-12-AC\_SP-Lndg-Actvts\_v3  
rk.  RRM-06-12-AC\_SP-Lndg-Actvts\_v4  
rl.  RRM-06-12-AC\_SP-Lndg-Actvts\_v4  
rm.  RRM-06-13-AC\_BCM\_Rev\_Draft  
rn.  RRM-06-13-AC\_BCM\_Rev\_Final  
ro.  RRM-06-13-AC\_BCM\_Rev\_v1  
rp.  RRM-06-13-AC\_BCM\_Rev\_v2  
rq.  RRM-06-13-AC\_BCM\_Rev\_v3  
rr.  RRM-06-13-AC\_BCM\_Rev\_v4  
rs.  RRM-06-13-AC\_BCM\_Rev\_v4  
rt.  RRM-06-13-AC\_BCM\_Rev\_vRww  
ru.  RRM-06-14-M\_RBP\_FINAL  
rv.  RRM-06-14-M\_RBP\_FINAL  
rw.  RRM-06-14-M\_RBP\_v2  
rx.  RRM-06-14-M\_RBP\_v3  
ry.  RRM-06-15-AC\_23AB\_FINAL  
rz.  RRM-06-15-AC\_23AB\_v2  
sa.  RRM-06-15-AC\_23AB\_v3

























































- sb.  RRM-06-15-AC\_23AB\_v3
- sc.  RRM-06-15-AC\_23AB\_v4
- sd.  RRM-06-15-AC\_23AB\_v5
- se.  RRM-06-16-AC\_Hdgng\_FINAL
- sf.  RRM-06-16-AC\_Hdgng\_v1
- sg.  RRM-06-16-AC\_Hdgng\_v2
- sh.  RRM-06-16-AC\_Hdgng\_v2
- si.  RRM-06-16-AC\_Hdgng\_v2 - Management Responses
- sj.  RRM-06-16-AC\_Hdgng\_v3
- sk.  RRM-06-17-M\_Call Rprt\_Rev\_Final
- sl.  RRM-06-17-M\_Call Rprt\_Rev\_v1
- sm.  RRM-06-17-M\_Call Rprt\_Rev\_v2
- sn.  RRM-06-17-M\_Call Rprt\_Rev\_v3
- so.  RRM-06-18-AC\_MIAC\_06-06MSR\_Draft
- sp.  RRM-06-18-AC\_MIAC\_06-06MSR\_Draft\_2
- sq.  RRM-06-18-AC\_MIAC\_06-06MSR\_FINAL
- sr.  RRM-06-18-AC\_MIAC\_06-06MSR\_FINAL
- ss.  RRM-06-18-AC\_MIAC\_06-06MSR\_v1
- st.  RRM-06-18-AC\_MIAC\_06-06MSR\_v2
- su.  RRM-06-18-AC\_MIAC\_06-06MSR\_v3
- sv.  RRM-06-20-AC
- sw.  RRM-06-20-AC\_IRR\_FINAL
- sx.  RRM-06-20-AC\_IRR\_FINAL
- sy.  RRM-06-20-AC\_IRR\_WKPGM
- sz.  RRM-07-01-M\_SI\_CRE\_Artcl
- ta.  RRM-07-01-M\_SI\_CRE\_Artcl
- tb.  RRM-07-02-M\_IA\_Resonse
- tc.  RRM-07-02-M\_IA\_Response\_Final
- td.  RRM-07-02-M\_IA\_Response\_v2
- te.  RRM-07-03-M\_ROE-Diffs
- tf.  RRM-07-03-M\_ROE-Diffs\_v2
- tg.  RRM-07-03-M\_ROE-Diffs\_v2
- th.  RRM-07-04-AC\_Inv-Secs-Rev
- ti.  RRM-07-04-AC\_Inv-Secs-Rev\_Final
- tj.  RRM-07-04-AC\_Inv-Secs-Rev\_Final
- tk.  RRM-07-04-AC\_Inv-Secs-Rev\_v2
- tl.  RRM-07-04-AC\_Inv-Secs-Rev\_v3
- tm.  RRM-07-05-M\_IRR\_FINAL
- tn.  RRM-07-05-M\_IRR\_FINAL
- to.  RRM-07-05-M\_IRR\_FINAL
- tp.  RRM-07-05-M\_IRR\_FINAL
- tq.  RRM-07-05-M\_IRR\_v1
- tr.  RRM-07-05-M\_IRR\_v1
- ts.  RRM-07-05-M\_IRR\_v2
- tt.  RRM-07-05-M\_IRR\_v2
- tu.  RRM-07-05-M\_IRR\_v3
- tv.  RRM-07-05-M\_IRR\_v3
- tw.  RRM-08-01-M\_FTLM
- tx.  RRM-08-01-M\_FTLM\_Final
- ty.  RRM-08-01-M\_FTLM\_v2
- tz.  RRM-08-02-M\_Rfrnc\_Guide\_v1
- ua.  RRM-2006-13AC\_BCM\_Rev
- ub.  SAR\_Form6710-06
- uc.  SARs
- ud.  Section23B.

- ue. Section5FTCAct.
- uf. Section5FTCActDecember06.
- ug. Section5FTCActV2.
- uh. Section5FTCActV3.
- ui. Sept06\_921\_IDV\_OFAC Scan Results
- uj. Sept06\_Acctg\_Daily OFAC Scan Results
- uk. Sept06\_HR\_OFAC\_Rpt
- ul. Sonia's Chart
- um. Steps\_Moderate\_Risk\_Accts
- un. SubprimeWorkprogram2006.
- uo. SUMMARY
- up. Suppliers\_IDV-OFAC\_Summary\_Scans
- uq. ta06001a
- ur. ta06001a
- us. ta06001a- Personal
- ut. ta06001a- Personal
- uu. taoutstate
- uv. taoutstate
- uw. timeqry1a
- ux. timeqry1a
- uy. timeqry2a
- uz. timeqry2a
- va. tm06001a
- vb. tm06001a
- vc. tm06001a-Personal
- vd. tm06001a-Personal
- ve. tmoutstate
- vf. tmoutstate
- vg. tmquery2
- vh. tmquery2
- vi. Wire Transfer Fraud
- vj. WMPInfo
- 72. State Street
  - a. 2010-10-25 Production- Disk # 716
    - 1. 1. Carina CDO Portfolio Holdings
    - 2. 2. crina\_abs\_data
    - 3. 3. crina\_cdo\_data
    - 4. 4. Morgan Stanley Model - Template
    - 5. 5. CDR Curves with HPA
  - b. 2010-5-13 State Street (M Levy) Ltr to Seefer re Doc Prod
  - c. State Street Global Doc Production - 2005-5-13
- 73. TCW
  - a. 2010-06-09 Production
    - 1. Disk # 357
      - a. Board Summaries
        - 1. Arca 2006-2 Board Summary
        - 2. Charles River I Board Summary
        - 3. Davis Square Board Summary
        - 4. Davis Square II Board Summary
        - 5. Davis Square III Board Summary
        - 6. Davis Square IV Board Summary
        - 7. Davis Square V Board Summary
        - 8. Davis Square VI Board Summary
        - 9. Davis Square VII Board Summary
        - 10. Dutch Hill Funding I Board Summary
        - 11. Dutch Hill Funding II Board Summary


























































12.  Eastman Hill Funding I Board Summary
  13.  Grand Avenue Funding I Board Summary
  14.  Grand Avenue Funding II Board Summary
  15.  Grand Avenue Funding III Board Summary
  16.  Inman Square I Board Summary
  17.  Inman Square II Board Summary
  18.  Le Monde Board Summary
  19.  Porter Square 02 - Approval Request Email
  20.  Porter Square I Board Summary
  21.  Porter Square II Board Summary
  22.  Porter Square III Board Summary
  23.  South Coast Funding I Board Summary
  24.  South Coast Funding II Board Summary
  25.  South Coast Funding III Board Summary
  26.  South Coast Funding IV Board Summary
  27.  South Coast Funding IX Board Summary
  28.  South Coast Funding V Board Summary
  29.  South Coast Funding VI Board Summary
  30.  South Coast Funding VII Board Summary
  31.  South Coast Funding VIII Board Summary
  32.  Stack 2004-1 Board Summary
  33.  Stack 2005-1 Structure Summary
  34.  Stack 2005-2 Board Summary
  35.  Stack 2006-1 Board Summary
  36.  Stack 2006-2 Board Summary
  37.  Stack 2007-1 Board Summary
  38.  Stack 2007-2 Board Summary
  39.  Visage I PLC Board Summary
  40.  Visage II Board Summary
  41.  West Coast Funding I Board Summary
  42.  Westways IX Board Summary
  43.  Westways VI Board Summary
  44.  Westways VII Board Summary
  45.  Westways VIII Board Summary
  46.  Westways X Board Summary
  47.  Westways XI Board Summary
- b.  CDO Lists
1.  ABS MBS CDOs Master Spreadsheet
- c.  Marketing Presentations
1.  Arca Funding 2006-2 Marketing Book
  2.  Arca Funding II Term Sheet-Final
  3.  Charles River Mktg Book 100802
  4.  Davis Square 2 - Secured Notes Presentation-v1
  5.  Davis Square I Marketing Book 27-6-03
  6.  Davis Square VI Marketing Book
  7.  Davis Square VII Marketing Book
  8.  DS V Debt Book 2005-07-20
  9.  DS4 Equity Book 2005-1-10
  10.  DSIII Termsheet083104
  11.  Dutch Hill Funding I Marketing Book 092705
  12.  Dutch Hill Funding II Marketing Book
  13.  Eastman Hill flipbookmar29
  14.  Grand Avenue - Pitch Book 012 Nov4
  15.  Grand Avenue CDO II Marketing Book 09-08-06
  16.  Grand Avenue Funding III Marketing Flip Book
  17.  Inman Square Funding II Marketing Book (040405)
























































18.  Inman Square Funding Marketing Book (052104)PRINTED
  19.  Le Monde Marketing Book
  20.  Porter Square Marketing Book 11-12-02\_Final2
  21.  PS2 Equity Presentation 2004-05-20
  22.  PS3 Marketing Book 2005-06-03 FINAL
  23.  SCV Marketing Book\_FINAL Printed May04
  24.  SCVII Marketing Book 2005-03-14 - Final
  25.  South Coast Funding I Ltd. Final Flipbook
  26.  South Coast Funding IX Marketing Book
  27.  South Coast Funding VIII Marketing Book
  28.  South Coast II flipbook 2-7-02
  29.  South Coast II flipbook for European roadshow 5-03-02\_FINAL
  30.  South Coast III Marketing Book\_Final
  31.  South Coast IV Mktg Bk\_09\_19\_Revised Print Version
  32.  South Coast VI Marketing Book 9-01-04
  33.  STACK 07-2 - Equity Marketing Book - 01-22-07
  34.  STACK 2004-1 FINAL Marketing Book 1.14.04
  35.  STACK 2005-1 Marketing Book 121004 v4
  36.  STACK 2005-2 Marketing Book 2005-10-20
  37.  Stack 2006-1 Marketing Book
  38.  Stack 2006-2 Marketing Book
  39.  STACK 2007-1 final Flipbook 04-03-07
  40.  STACK 2007-2 - Debt Marketing Book - 02-21-07
  41.  Visage I Marketing Book 2006-05-04\_FINAL
  42.  Visage II Marketing Book
  43.  West Coast Funding I Marketing Book
  44.  Westways Funding IX Marketing Finance Book
  45.  Westways Funding IX Marketing Flipbook
  46.  Westways VI Marketing Finance Book
  47.  Westways VII Marketing Finance Book
  48.  Westways VIII Marketing Finance Book
  49.  Westways VIII Marketing Flipbook
  50.  Westways X Marketing Finance Book
  51.  Westways XI Marketing Finance Book
- d.  Offering Memorandums
1.  Arca Funding 2006-2 Offering Memo
  2.  Charles River I Offering Memo
  3.  DAVIS OC\_Final
  4.  Davis Square II - Offering Circular (FINAL 05-04-04)--PRINT
  5.  Davis Square IV Note OC
  6.  Davis Square V - Final Note Offering Circular
  7.  Davis Square VI Offering Memo
  8.  Davis Square VII Offering Memo
  9.  DSIII Note OC
  10.  Dutch Hill Funding I Offering Memo
  11.  Dutch Hill Funding II Offering Memo
  12.  Eastman Hill FinalPrintedOM778162
  13.  Grand Avenue Funding I Offering Memo
  14.  Grand Avenue Funding II Offering Memo
  15.  Grand Avenue Funding III Offering Memo
  16.  Inman Sq II - Offering Memorandum
  17.  Inman Square Final OM -FINALIZED with cleanups
  18.  Le Monde Offering Memo
  19.  Porter Square - Offering Circular--FINAL
  20.  Porter Square II FINAL Offering Circular



















































21.  PSIII Final Offering Circular
  22.  South Coast Funding III - Final Offering Circular
  23.  South Coast Funding IX Offering Memo
  24.  South Coast Funding VIII Offering Memo
  25.  South Coast I Final OC
  26.  South Coast II Offering Circular Final
  27.  South Coast IV - Final Offering Circular\_Print Version
  28.  South Coast V - Offering Circular
  29.  South Coast VI Offering Circular
  30.  South Coast VII Offering Circular
  31.  STACK 2004-1 Final Offering Memo\_FINAL VERSION
  32.  Stack 2005-1 Information Memo
  33.  Stack 2005-2 Offering Memo
  34.  Stack 2006-1 Offering Memo
  35.  Stack 2006-2 Offering Memo
  36.  Stack 2007-1 Offering Memo
  37.  Stack 2007-2 Offering Memo
  38.  Visage I Offering Memo
  39.  Visage II Offering Memo
  40.  West Coast Funding I Offering Memo
  41.  Westways Funding IX Offering Memo
  42.  Westways Funding VI final OC PRINT
  43.  Westways VII Offering Memo
  44.  Westways VIII Offering Memo
  45.  Westways X Offering Memo
  46.  Westways XI Offering Memo
- e.  Structure Charts
1.  Arca Funding 2006-2 Structure Chart
  2.  Charles River I Structure Chart
  3.  Davis Square VI Structure Chart
  4.  Davis Square VII Structure Chart
  5.  Dutch Hill Funding I Structure Chart
  6.  Dutch Hill Funding II Structure Chart
  7.  EH\_StructureChart
  8.  Grand Avenue Funding II Structure Chart
  9.  Grand Avenue Funding III Structure Chart
  10.  Grand Avenue I Structure Chart
  11.  Le Monde Structure Chart
  12.  South Coast Funding IX Structure Chart
  13.  South Coast Funding VIII Structure Chart
  14.  SouthCoast II\_StructureChartfinal
  15.  SouthCoast III\_StructureChart final
  16.  SouthCoast IV\_StructureChart
  17.  SouthCoast V\_StructureChart
  18.  SouthCoast VI\_StructureChart
  19.  SouthCoast VII\_StructureChart-Final 070705
  20.  SouthCoast\_StructureChartFinal
  21.  STACK 2005 Cash Flow Structure vi
  22.  STACK 2005 Chart - Capital Structure vi
  23.  Stack 2005-2 Structure Chart
  24.  Stack 2006-1 Structure Chart
  25.  Stack 2006-2 Structure Chart
  26.  Stack 2007-1 Structure Chart
  27.  Stack 2007-2 Structure Chart
  28.  Structure Chart - Davis Square 5

29.  Structure Chart Davis Square\_Oct8
  30.  Structure Chart Davis SquareII\_FINAL
  31.  Structure Chart Davis SquareIII\_v2
  32.  Structure Chart Davis SquareIV
  33.  Structure Chart Inman Square I
  34.  Structure Chart Inman Square II 091605 v2
  35.  Structure Chart Porter Square\_Final
  36.  Structure Chart Porter SquareII
  37.  Structure Chart Porter SquareIII -v2
  38.  Structure Chart STACK 2004-1\_Final
  39.  Visage I Structure Chart
  40.  Visage II Structure Chart
  41.  West Coast Funding I Structure Chart
  42.  Westways IX Structure Chart
  43.  Westways VI Structure Chart
  44.  Westways VII Structure Chart
  45.  Westways VIII Structure Chart
  46.  Westways X Structure Chart
  47.  Westways XI Structure Chart
2.  Disk # 358
    - a.  CDO Lists
      1.  CDOs Retention and Fees Information
    - b.  Engagement Letters
      1.  Arca Funding 2006-2 Engagement Letter
      2.  Charles River I Engagement Letter
      3.  DSV Engagement Letter Fully Executed
      4.  Dutch Hill Funding I Engagement Letter
      5.  Grand Avenue Funding II Engagement Letter
      6.  Inman Square II - Fully Executed Engagement Letter
      7.  Le Monde Engagement Letter
      8.  Porter Square II - Credit Suisse First Boston LLC Engagement Letter
      9.  South Coast Funding III Engagement Letter
      10.  South Coast Funding VIII Engagement Letter
      11.  STACK 2004-1 Engagement Letter
      12.  STACK 2005-1 Engagement Letter 121304 EXECUTION ALL SIGS
      13.  Stack 2005-2 Engagement Letter
      14.  Stack 2006-1 Engagement Letter
      15.  Stack 2007-1 Engagement Letter
      16.  Stack 2007-2 Engagement Letter
      17.  Visage I Engagement Letter
      18.  Westways VI Engagement Letter
    - c.  Government Inquiries
      1.  Presentation to Senate Permanent Sub-Committee
    - d.  Investment Management Agreements
      1.  Arca Funding 2006-2 Portfolio Selection Agreement
      2.  Charles River I Investment Advisory Agreement
      3.  Davis Square V Investment Advisory Agreement
      4.  Dutch Hill Funding I Investment Advisory Agreement
      5.  Grand Avenue Funding II Investment Advisory Agreement
      6.  Inman Square II Investment Advisory Agreement
      7.  Le Monde Investment Management Agreement
      8.  Porter Square II - Credit Suisse First Boston LLC Investment Advisory Agreement
      9.  South Coast Funding III Investment Advisory Agreement
      10.  South Coast Funding VIII Investment Advisory Agreement
      11.  Stack 2004-1 Portfolio Advisor Agreement
      12.  Stack 2005-1 Reference Pool Management Agreement
































































- 13.  Stack 2005-2 Investment Advisory Agreement
- 14.  Stack 2006-1 Investment Advisory Agreement
- 15.  Stack 2007-1 Management Agreement
- 16.  Stack 2007-2 Investment Advisory Agreement
- 17.  Visage I Investment Management Agreement
- 18.  Westways VI Investment Management Agreement
- e.  Warehouse Agreements
  - 1.  Grand Avenue Funding II Warehouse Agreement
  - 2.  Inman Square II Ramp-Up Agreement
  - 3.  Le Monde Warehouse Agreement
  - 4.  Porter Square II - Credit Suisse First Boston LLC Warehouse Agreement
  - 5.  South Coast Funding VIII Warehouse Agreement
  - 6.  Stack 2004-1 Warehouse Agreement
  - 7.  Stack 2005-2 Warehouse Agreement
  - 8.  Stack 2006-1 Interim Collateral Management Agreement
  - 9.  Stack 2007-2 Warehouse Agreement
- 74.  Treasury
  - a.  2010-08-13 Production
    - 1.  AIG
    - 2.  Lehman
      - a.  FCIC flagged documents from 8-13-10 reading room-Lehman
    - 3.  Wachovia
      - a.  FCIC flagged documents from 8-13-10 reading room-Wachovia
  - b.  2010-08-25 Production
    - 1.  FCIC flagged documents from 6-11-10 AIG reading room
    - 2.  Pages from Combined 8-25-10 production-2
    - 3.  Pages from Combined 8-25-10 production-3
  - c.  UST redacted docs 04 06 10
- 75.  Triad
  - a.  2010 Information Request - Financial Crisis Inquiry Commission
- 76.  UBS
  - a.  2010-06-04 Production
    - 1.  Disk #353
      - a.  UBSSFCIC00148379
  - b.  2010-06-21 Production
    - 1.  Disk # 409
      - a.  UBSFCIC 00148380-00148523
      - b.  UBSFCIC 00148524-00148629
      - c.  UBSFCIC 00148630-00149506
      - d.  UBSFCIC 00149507-00149509
      - e.  UBSFCIC 00149510-00149518
      - f.  UBSFCIC 00149519-00149525
      - g.  UBSFCIC 00149527-00149600
      - h.  UBSFCIC 00149601-00149609
      - i.  UBSFCIC 00149610-00149620
      - j.  UBSFCIC 00149621-00149779
      - k.  UBSFCIC 00149780-00149899
      - l.  UBSFCIC 00149901-00149912
      - m.  UBSFCIC 00149913-00149922
      - n.  UBSFCIC 00149924-00149929
      - o.  UBSFCIC 00149931-00150009
      - p.  UBSFCIC 00150010-00150020
      - q.  UBSFCIC 00150021-00150031
      - r.  UBSFCIC 00150032-00150191
      - s.  UBSFCIC 00150193-00150199
      - t.  UBSFCIC 00150200-00150230
      - u.  UBSFCIC 00150231-00150243


























































v.  UBSFCIC 00150244-00150262  
w.  UBSFCIC 00150263-00150296  
x.  UBSFCIC 00150297-00150303  
y.  UBSFCIC 00150304-00150310  
z.  UBSFCIC 00150311  
aa.  UBSFCIC 00150312-00150335  
ab.  UBSFCIC 00150336-00150342  
ac.  UBSFCIC 00150343-00150349  
ad.  UBSFCIC 00150350-00150356  
ae.  UBSFCIC 00150357-00150359  
af.  UBSFCIC 00150360-00150366  
ag.  UBSFCIC 00150367-00150373  
ah.  UBS-FCIC 00150374  
ai.  UBSFCIC 00150375-00150377  
aj.  UBS-FCIC 00150378  
ak.  UBSFCIC 00150379-00150381  
al.  UBSFCIC 00150382-00150384  
am.  UBSFCIC 00150385-00150387  
an.  UBSFCIC 00150388-00150389  
ao.  UBSFCIC 00150390-00150460  
ap.  UBS-FCIC 00150461  
aq.  UBSFCIC 00150462-00150465  
ar.  UBSFCIC 00150466-00150475  
as.  UBSFCIC 00150476-00150477  
at.  UBSFCIC 00150478  
au.  UBSFCIC 00150479-00150480  
av.  UBSFCIC 00150481-00150482  
aw.  UBSFCIC 00150483-00150484  
ax.  UBSFCIC 00150485-00150486  
ay.  UBSFCIC 00150487  
az.  UBSFCIC 00150488-00150494  
ba.  UBSFCIC 00150495-00150497  
bb.  UBSFCIC 00150498-00150542  
bc.  UBSFCIC 00150543  
bd.  UBSFCIC 00150544-00150549  
be.  UBSFCIC 00150550-00150572  
bf.  UBSFCIC 00150573  
bg.  UBSFCIC 00150574  
bh.  UBSFCIC 00150575  
bi.  UBSFCIC 00150576-00150578  
bj.  UBSFCIC 00150579  
bk.  UBSFCIC 00150580-00150727  
bl.  UBSFCIC 00150728-00150742  
bm.  UBSFCIC 00150743-00150744  
bn.  UBSFCIC 00150745-00150757  
bo.  UBSFCIC 00150758-00150760  
bp.  UBSFCIC 00150761-00150766  
bq.  UBSFCIC 00150767-00150770  
br.  UBSFCIC 00150771-00150773  
bs.  UBSFCIC 00150774-00150778  
bt.  UBSFCIC 00150779  
bu.  UBSFCIC 00150780-00150781  
bv.  UBSFCIC 00150782-00150941  
bw.  UBSFCIC 00150942-00150948  
bx.  UBSFCIC 00150949-00150955

- by.  UBSFCIC 00150956-00150962
- bz.  UBSFCIC 00150963-00150969
- ca.  UBSFCIC 00150970-00150976
- cb.  UBSFCIC 00150977-00150983
- cc.  UBSFCIC 00150984-00150990
- cd.  UBSFCIC 00150991-00150997
- ce.  UBSFCIC 00150998-00151007
- cf.  UBSFCIC 00151008-00151009
- cg.  UBSFCIC 00151010-00151035
- ch.  UBSFCIC 00151036-00151038
- ci.  UBSFCIC 00151039-00151059
- cj.  UBSFCIC 00151060-00151063
- ck.  UBSFCIC 00151064-00151065
- cl.  UBSFCIC 00151066-00151067
- cm.  UBSFCIC 00151068-00151071
- cn.  UBSFCIC 00151072
- co.  UBSFCIC 00151073-00151079
- cp.  UBSFCIC 00151080-00151103
- cq.  UBSFCIC 00151104-00151120
- cr.  UBSFCIC 00151122-00151132
- cs.  UBSFCIC 00151134-00151139
- ct.  UBSFCIC 00151141-00151211
- cu.  UBSFCIC 00151212-00151234
- cv.  UBSFCIC 00151235-00151254
- cw.  UBSFCIC 00151256-00151418
- cx.  UBSFCIC 00151419-00151513
- cy.  UBSFCIC 00151514-00151600
- cz.  UBSFCIC 00151601-00152669
- da.  UBSFCIC 00152674-00152680
- db.  UBSFCIC 00152681-00152747
- dc.  UBSFCIC 00152749-00152758
- dd.  UBSFCIC 00152759
- de.  UBSFCIC 00152760-00152766
- df.  UBS-FCIC 00152767
- dg.  UBSFCIC 00152769-00152828
- dh.  UBSFCIC 00152829-00152839
- di.  UBSFCIC 00152840-00152849
- dj.  UBSFCIC 00152850-00152896
- dk.  UBSFCIC 00152897-00152941
- dl.  UBSSFCIC 00149900
- dm.  UBSSFCIC00149526
- dn.  UBSSFCIC00149923
- do.  UBSSFCIC00149930
- dp.  UBSSFCIC00151121
- dq.  UBSSFCIC00151133
- dr.  UBSSFCIC00151140
- ds.  UBSSFCIC00151255
- dt.  UBSSFCIC00152748
- du.  UBSSFCIC00152768
- dv.  UBSSFCIC00152942
























































2.  Disk # 410
























































- c.  2010-08-20 Production- Disk # 570
  - 1.  UBSFCICD00000001-00000006
- d.  2010-09-03 Production- Disk # 603
  - 1.  UBSFCICD00000007
  - 2.  UBSFCICD00000008

- e.  2010-09-17 Production
  - 1.  Exhibit 1
  - 2.  Exhibit 10
  - 3.  Exhibit 11
  - 4.  Exhibit 12
  - 5.  Exhibit 13
  - 6.  Exhibit 14
  - 7.  Exhibit 15
  - 8.  Exhibit 16
  - 9.  Exhibit 17
  - 10.  Exhibit 18
  - 11.  Exhibit 19
  - 12.  Exhibit 2
  - 13.  Exhibit 20
  - 14.  Exhibit 21
  - 15.  Exhibit 22
  - 16.  Exhibit 23
  - 17.  Exhibit 24
  - 18.  Exhibit 25
  - 19.  Exhibit 26
  - 20.  Exhibit 27
  - 21.  Exhibit 28
  - 22.  Exhibit 29
  - 23.  Exhibit 3
  - 24.  Exhibit 30
  - 25.  Exhibit 31
  - 26.  Exhibit 32
  - 27.  Exhibit 33
  - 28.  Exhibit 34
  - 29.  Exhibit 35
  - 30.  Exhibit 36
  - 31.  Exhibit 37
  - 32.  Exhibit 38
  - 33.  Exhibit 39
  - 34.  Exhibit 4
  - 35.  Exhibit 40
  - 36.  Exhibit 41
  - 37.  Exhibit 42
  - 38.  Exhibit 43
  - 39.  Exhibit 5
  - 40.  Exhibit 6
  - 41.  Exhibit 7
  - 42.  Exhibit 8
  - 43.  Exhibit 9
- f.  2010-09-20 Production- Disk # 634
  - 1.  UBSFCICD000000011
  - 2.  UBSFCICD000000018
- g.  2010-09-21 Production
  - 1.  Disk # 637
    - a.  Exhibit A
    - b.  Exhibit B
    - c.  Exhibit C
    - d.  Exhibit D
    - e.  Exhibit E
    - f.  Exhibit F
  - 2.  Disk # 640
























































- a.  UBSFCICD000000019
    - b.  UBSFCICD000000020
  - h.  2010-11-11 Production- Disk # 736
    - 1.  UBSFCICD000000080
  - i.  Exhibit 2 to 6-23 Interrogatory 3
  - j.  FCIC Supplemental Data 9-9-2010
  - k.  UBS CDO List UBSSFCIC00063907
- 77.  United Guaranty
  - a.  FCIC Data Request from UGC100810
  - b.  United Guaranty Top 5 Rescissions
- 78.  Vanderbilt Capital
  - a.  2010-11-10 Production
    - 1.  VA\_FCIC0000000001-0000000500
    - 2.  VA\_FCIC0000000501-0000001000
    - 3.  VA\_FCIC0000001001-0000001500
    - 4.  VA\_FCIC0000001501-0000002000
    - 5.  VA\_FCIC0000002001-0000002500
    - 6.  VA\_FCIC0000002501-0000003000
    - 7.  VA\_FCIC0000003001-0000003500
    - 8.  VA\_FCIC0000003501-0000004000
    - 9.  VA\_FCIC0000004001-0000004121
    - 10.  VA\_FCIC0000004122
    - 11.  VA\_FCIC0000004123
    - 12.  VA\_FCIC0000004124
    - 13.  VA\_FCIC0000004125
    - 14.  VA\_FCIC0000004126
    - 15.  VA\_FCIC0000004127
    - 16.  VA\_FCIC0000004128-0000004272
    - 17.  VA\_FCIC0000004273
- 79.  Vero
  - a.  2010-10-15 Production- Disk # 702
    - 1.  1-Collateral Purchases
    - 2.  2-2007 CDOs
    - 3.  3-Synthetic CDOs
- 80.  Vertical Capital
  - a.  VC-Excel-01
  - b.  VC-Excel-04
  - c.  VC-Excel-05
  - d.  VC-Excel-07
  - e.  VC-Excel-09
  - f.  VC-Excel-10 & 11
  - g.  VC-Excel-13
- 81.  WFB
  - a.  2010-02-25 Production
    - 1.  FCIC\_Request\_Feb2310\_WF\_Revised
    - 2.  S75BW-110022509570
    - 3.  S75BW-110022509580
    - 4.  S75BW-110022511510
  - b.  2010-05-11 Production- Disk #257
    - 1.  Request #1
      - a.  20080331163607
      - b.  roberstonorg6-14-04
      - c.  Structured Credit Products v2
      - d.  Structured Products All - Feb2008
      - e.  Structured Products August
      - f.  Structured Products July 07
      - g.  Structured Products Nov 07
























































- h. tom's org 2
  - i. yu-ming's org 5-18-04
- 2. Request #3
  - a. Audit Committee 3Q07 Fixed Income - Final
  - b. Board of Directors - 12-15-06
  - c. Board Updated 10 25 07 - Final
  - d. FIDOverviewforBoard\_042108\_final
  - e. Market Update to Board 8.21.07\_Final
  - f. Market Update to WB Oper. Comm. - 8.8.07 Final
  - g. Presentation to the Risk Committee - June 21, 2005
  - h. Presentation to the Risk Committee 8-15-05
  - i. Risk Board Presentation December 2007--FINAL
- c. 2010-05-26 Production - WF-FCIC-000000538
  - 1. Wachovia CDO Chart WF-FCIC-000000538
  - 2. WF-FCIC-000000538
- d. 2010-06-07 Production
  - 1. WF-FCIC-CRA-000000001
- e. 2010-06-10 Production- Disk # 367
  - 1. WF-FCIC-CRA-000000001
- f. 2010-07-20 Production- Disk # 466
  - 1. WF-WACH-000001-000509
  - 2. WF-WACH-000510- 001032
  - 3. WF-WACH-001033- 001506
  - 4. WF-WACH-001507- 002046
  - 5. WF-WACH-002047- 002548
  - 6. WF-WACH-002549- 002997
  - 7. WF-WACH-002998- 003499
  - 8. WF-WACH-003500- 003999
  - 9. WF-WACH-004000- 004499
  - 10. WF-WACH-004500- 004622
  - 11. WF-WACH-004623- 004695
- g. 2010-07-26 Production- Disk # 485
  - 1. WF-WACH-004696-006584
    - a. WF-WACH-004696
    - b. WF-WACH-004697-004699
    - c. WF-WACH-004700
    - d. WF-WACH-004701
    - e. WF-WACH-004702-004783
    - f. WF-WACH-004784-004794
    - g. WF-WACH-004795
    - h. WF-WACH-004796-004814
    - i. WF-WACH-004815
    - j. WF-WACH-004816-004834
    - k. WF-WACH-004835
    - l. WF-WACH-004836-004851
    - m. WF-WACH-004852
    - n. WF-WACH-004853-004868
    - o. WF-WACH-004869
    - p. WF-WACH-004870-004885
    - q. WF-WACH-004886
    - r. WF-WACH-004887
    - s. WF-WACH-004888
    - t. WF-WACH-004889
    - u. WF-WACH-004890
    - v. WF-WACH-004891
    - w. WF-WACH-004892
























































x.  WF-WACH-004893  
y.  WF-WACH-004894  
z.  WF-WACH-004895  
aa.  WF-WACH-004896  
ab.  WF-WACH-004897  
ac.  WF-WACH-004898  
ad.  WF-WACH-004899  
ae.  WF-WACH-004900  
af.  WF-WACH-004901  
ag.  WF-WACH-004902-005082  
ah.  WF-WACH-005083-005142  
ai.  WF-WACH-005143  
aj.  WF-WACH-005144-005320  
ak.  WF-WACH-005321-005380  
al.  WF-WACH-005381  
am.  WF-WACH-005382-005562  
an.  WF-WACH-005563-005624  
ao.  WF-WACH-005625  
ap.  WF-WACH-005626  
aq.  WF-WACH-005627-005634  
ar.  WF-WACH-005635  
as.  WF-WACH-005636-005637  
at.  WF-WACH-005638-005639  
au.  WF-WACH-005640-005653  
av.  WF-WACH-005654  
aw.  WF-WACH-005655  
ax.  WF-WACH-005656-005657  
ay.  WF-WACH-005658  
az.  WF-WACH-005659-005660  
ba.  WF-WACH-005661  
bb.  WF-WACH-005662  
bc.  WF-WACH-005663  
bd.  WF-WACH-005664  
be.  WF-WACH-005665-005692  
bf.  WF-WACH-005693-005694  
bg.  WF-WACH-005695  
bh.  WF-WACH-005696-005697  
bi.  WF-WACH-005698  
bj.  WF-WACH-005699-005701  
bk.  WF-WACH-005702-005739  
bl.  WF-WACH-005740-005748  
bm.  WF-WACH-005749  
bn.  WF-WACH-005750-005751  
bo.  WF-WACH-005752-005754  
bp.  WF-WACH-005755  
bq.  WF-WACH-005756-005763  
br.  WF-WACH-005764  
bs.  WF-WACH-005765-005930  
bt.  WF-WACH-005931-006000  
bu.  WF-WACH-006001  
bv.  WF-WACH-006001-006004  
bw.  WF-WACH-006005  
bx.  WF-WACH-006006  
by.  WF-WACH-006007-006008  
bz.  WF-WACH-006009-006010
























































- ca.  WF-WACH-006011-006012
- cb.  WF-WACH-006013-006047
- cc.  WF-WACH-006048
- cd.  WF-WACH-006049-006050
- ce.  WF-WACH-006051-006087
- cf.  WF-WACH-006088
- cg.  WF-WACH-006089-006107
- ch.  WF-WACH-006108
- ci.  WF-WACH-006109-006124
- cj.  WF-WACH-006125
- ck.  WF-WACH-006126-006141
- cl.  WF-WACH-006142-006143
- cm.  WF-WACH-006144-006145
- cn.  WF-WACH-006146
- co.  WF-WACH-006147
- cp.  WF-WACH-006148
- cq.  WF-WACH-006149-006150
- cr.  WF-WACH-006151-006187
- cs.  WF-WACH-006188
- ct.  WF-WACH-006189-006190
- cu.  WF-WACH-006191
- cv.  WF-WACH-006192
- cw.  WF-WACH-006193
- cx.  WF-WACH-006194
- cy.  WF-WACH-006195
- cz.  WF-WACH-006196-006197
- da.  WF-WACH-006198-006199
- db.  WF-WACH-006200-006201
- dc.  WF-WACH-006202-006204
- dd.  WF-WACH-006205
- de.  WF-WACH-006206
- df.  WF-WACH-006207
- dg.  WF-WACH-006208
- dh.  WF-WACH-006209
- di.  WF-WACH-006210
- dj.  WF-WACH-006211
- dk.  WF-WACH-006212
- dl.  WF-WACH-006213
- dm.  WF-WACH-006214
- dn.  WF-WACH-006215
- do.  WF-WACH-006216
- dp.  WF-WACH-006217
- dq.  WF-WACH-006218
- dr.  WF-WACH-006219
- ds.  WF-WACH-006220
- dt.  WF-WACH-006221
- du.  WF-WACH-006222
- dv.  WF-WACH-006223
- dw.  WF-WACH-006224-006225
- dx.  WF-WACH-006226-006245
- dy.  WF-WACH-006246-006249
- dz.  WF-WACH-006250-006305
- ea.  WF-WACH-006306-006349
- eb.  WF-WACH-006350-006413
- ec.  WF-WACH-006414

















































































































ed.  WF-WACH-006415  
ee.  WF-WACH-006416  
ef.  WF-WACH-006417  
eg.  WF-WACH-006418  
eh.  WF-WACH-006419  
ei.  WF-WACH-006420  
ej.  WF-WACH-006421  
ek.  WF-WACH-006422  
el.  WF-WACH-006423  
em.  WF-WACH-006424  
en.  WF-WACH-006425-006426  
eo.  WF-WACH-006427  
ep.  WF-WACH-006428  
eq.  WF-WACH-006429-006430  
er.  WF-WACH-006431-006432  
es.  WF-WACH-006433  
et.  WF-WACH-006434  
eu.  WF-WACH-006435  
ev.  WF-WACH-006436  
ew.  WF-WACH-006437  
ex.  WF-WACH-006438  
ey.  WF-WACH-006439  
ez.  WF-WACH-006440  
fa.  WF-WACH-006441  
fb.  WF-WACH-006442  
fc.  WF-WACH-006443  
fd.  WF-WACH-006444  
fe.  WF-WACH-006445  
ff.  WF-WACH-006446  
fg.  WF-WACH-006447  
fh.  WF-WACH-006448  
fi.  WF-WACH-006449  
fj.  WF-WACH-006450  
fk.  WF-WACH-006451  
fl.  WF-WACH-006452-006453  
fm.  WF-WACH-006454  
fn.  WF-WACH-006455  
fo.  WF-WACH-006456-006459  
fp.  WF-WACH-006460  
fq.  WF-WACH-006461  
fr.  WF-WACH-006462  
fs.  WF-WACH-006463  
ft.  WF-WACH-006464-006465  
fu.  WF-WACH-006466-006467  
fv.  WF-WACH-006468-006469  
fw.  WF-WACH-006470  
fx.  WF-WACH-006471-006472  
fy.  WF-WACH-006473-006474  
fz.  WF-WACH-006475  
ga.  WF-WACH-006476-006479  
gb.  WF-WACH-006480-006481  
gc.  WF-WACH-006482-006484  
gd.  WF-WACH-006485  
ge.  WF-WACH-006486-006488  
gf.  WF-WACH-006489-006490
























































gg.  WF-WACH-006491-006492  
gh.  WF-WACH-006493-006494  
gi.  WF-WACH-006495  
gj.  WF-WACH-006496  
gk.  WF-WACH-006497  
gl.  WF-WACH-006498-006499  
gm.  WF-WACH-006500-006501  
gn.  WF-WACH-006502  
go.  WF-WACH-006503  
gp.  WF-WACH-006504  
gq.  WF-WACH-006505  
gr.  WF-WACH-006506  
gs.  WF-WACH-006507  
gt.  WF-WACH-006508  
gu.  WF-WACH-006509  
gv.  WF-WACH-006510  
gw.  WF-WACH-006511  
gx.  WF-WACH-006512  
gy.  WF-WACH-006513  
gz.  WF-WACH-006514-006517  
ha.  WF-WACH-006518  
hb.  WF-WACH-006519  
hc.  WF-WACH-006520  
hd.  WF-WACH-006521  
he.  WF-WACH-006522  
hf.  WF-WACH-006523  
hg.  WF-WACH-006524  
hh.  WF-WACH-006525  
hi.  WF-WACH-006526  
hj.  WF-WACH-006527  
hk.  WF-WACH-006528-006529  
hl.  WF-WACH-006530  
hm.  WF-WACH-006531  
hn.  WF-WACH-006532  
ho.  WF-WACH-006533  
hp.  WF-WACH-006534  
hq.  WF-WACH-006535  
hr.  WF-WACH-006536  
hs.  WF-WACH-006537  
ht.  WF-WACH-006538-006539  
hu.  WF-WACH-006540  
hv.  WF-WACH-006541  
hw.  WF-WACH-006542  
hx.  WF-WACH-006543  
hy.  WF-WACH-006544  
hz.  WF-WACH-006545  
ia.  WF-WACH-006546  
ib.  WF-WACH-006547  
ic.  WF-WACH-006548  
id.  WF-WACH-006549  
ie.  WF-WACH-006550  
if.  WF-WACH-006551-006552  
ig.  WF-WACH-006553  
ih.  WF-WACH-006554  
ii.  WF-WACH-006555

- ij.  WF-WACH-006556-006557
- ik.  WF-WACH-006558
- il.  WF-WACH-006559
- im.  WF-WACH-006560
- in.  WF-WACH-006561
- io.  WF-WACH-006562-006563
- ip.  WF-WACH-006564
- iq.  WF-WACH-006565-006566
- ir.  WF-WACH-006567
- is.  WF-WACH-006568-006570
- it.  WF-WACH-006571-006578
- iu.  WF-WACH-006579
- iv.  WF-WACH-006580
- iw.  WF-WACH-006581
- ix.  WF-WACH-006582-006584
- 2.  WF-WACH-006585-013201
  - a.  WF-WACH-006585
  - b.  WF-WACH-006586-006667
  - c.  WF-WACH-006668-006678
  - d.  WF-WACH-006679
  - e.  WF-WACH-006680
  - f.  WF-WACH-006681
  - g.  WF-WACH-006682-006683
  - h.  WF-WACH-006684
  - i.  WF-WACH-006685-006696
  - j.  WF-WACH-006697
  - k.  WF-WACH-006698-006699
  - l.  WF-WACH-006700
  - m.  WF-WACH-006701-006706
  - n.  WF-WACH-006707-006744
  - o.  WF-WACH-006745-006753
  - p.  WF-WACH-006754-006755
  - q.  WF-WACH-006756
  - r.  WF-WACH-006757
  - s.  WF-WACH-006758-006759
  - t.  WF-WACH-006760
  - u.  WF-WACH-006761
  - v.  WF-WACH-006762
  - w.  WF-WACH-006763
  - x.  WF-WACH-006764
  - y.  WF-WACH-006765-006772
  - z.  WF-WACH-006773
  - aa.  WF-WACH-006774-006954
  - ab.  WF-WACH-006955-007014
  - ac.  WF-WACH-007015
  - ad.  WF-WACH-007016-007192
  - ae.  WF-WACH-007193-007252
  - af.  WF-WACH-007253
  - ag.  WF-WACH-007254-007434
  - ah.  Wf-WACH-007435-007496
  - ai.  WF-WACH-007497
  - aj.  Wf-WACH-007498-007686
  - ak.  WF-WACH-007687
  - al.  Wf-WACH-007688-008012
  - am.  Wf-WACH-008013-008401


an.  WF-WACH-008402  
ao.  WF-WACH-008403  
ap.  WF-WACH-008404  
aq.  WF-WACH-008405  
ar.  WF-WACH-008406  
as.  WF-WACH-008407  
at.  WF-WACH-008408  
au.  Wf-WACH-008409-008410  
av.  WF-WACH-008411  
aw.  Wf-WACH-008412-008413  
ax.  WF-WACH-008414  
ay.  Wf-WACH-008415-008420  
az.  WF-WACH-008421  
ba.  Wf-WACH-008422-008423  
bb.  Wf-WACH-008424-008437  
bc.  WF-WACH-008438  
bd.  WF-WACH-008439  
be.  Wf-WACH-008440-008441  
bf.  WF-WACH-008442  
bg.  WF-WACH-008443  
bh.  WF-WACH-008444  
bi.  WF-WACH-008445  
bj.  Wf-WACH-008446-008447  
bk.  WF-WACH-008448  
bl.  WF-WACH-008449  
bm.  WF-WACH-008450  
bn.  WF-WACH-008451  
bo.  WF-WACH-008452  
bp.  WF-WACH-008453  
bq.  WF-WACH-008454  
br.  WF-WACH-008455  
bs.  WF-WACH-008456  
bt.  WF-WACH-008457  
bu.  Wf-WACH-008458-008465  
bv.  WF-WACH-008466  
bw.  Wf-WACH-008467-008474  
bx.  WF-WACH-008475  
by.  Wf-WACH-008476-008483  
bz.  WF-WACH-008484  
ca.  Wf-WACH-008485-008648  
cb.  Wf-WACH-008649-008716  
cc.  WF-WACH-008717  
cd.  WF-WACH-008718-008877  
ce.  WF-WACH-008878-008945  
cf.  WF-WACH-008946  
cg.  Wf-WACH-008947-009104  
ch.  Wf-WACH-009105-009170  
ci.  WF-WACH-009171  
cj.  Wf-WACH-009172-009330  
ck.  Wf-WACH-009331-009396  
cl.  WF-WACH-009397  
cm.  Wf-WACH-009398-009557  
cn.  Wf-WACH-009558-009625  
co.  WF-WACH-009626  
cp.  Wf-WACH-009627-009785


cq.  Wf-WACH-009786-009851  
cr.  WF-WACH-009852  
cs.  Wf-WACH-009853-009868  
ct.  WF-WACH-009869  
cu.  Wf-WACH-009870-009900  
cv.  WF-WACH-009901  
cw.  Wf-WACH-009902-009946  
cx.  WF-WACH-009947  
cy.  Wf-WACH-009948-010013  
cz.  WF-WACH-010014  
da.  Wf-WACH-010015-010171  
db.  WF-WACH-010172-010237  
dc.  WF-WACH-010238  
dd.  Wf-WACH-010239-010254  
de.  WF-WACH-010255  
df.  Wf-WACH-010256-010412  
dg.  Wf-WACH-010413-010478  
dh.  WF-WACH-010479  
di.  WF-WACH-010480  
dj.  Wf-WACH-010481-010488  
dk.  WF-WACH-010489  
dl.  Wf-WACH-010490-010541  
dm.  WF-WACH-010542  
dn.  Wf-WACH-010543-010573  
do.  WF-WACH-010574  
dp.  Wf-WACH-010575-010661  
dq.  WF-WACH-010662  
dr.  Wf-WACH-010663-010670  
ds.  WF-WACH-010671  
dt.  Wf-WACH-010672-010675  
du.  Wf-WACH-010676-010677  
dv.  WF-WACH-010678  
dw.  Wf-WACH-010679-010680  
dx.  Wf-WACH-010681-010682  
dy.  WF-WACH-010683  
dz.  WF-WACH-010684  
ea.  WF-WACH-010685  
eb.  Wf-WACH-010686-010687  
ec.  WF-WACH-010688  
ed.  Wf-WACH-010689-010690  
ee.  Wf-WACH-010691-010692  
ef.  Wf-WACH-010693-010694  
eg.  WF-WACH-010695  
eh.  WF-WACH-010696  
ei.  WF-WACH-010697  
ej.  Wf-WACH-010698-010713  
ek.  WF-WACH-010714  
el.  Wf-WACH-010715-010732  
em.  WF-WACH-010733  
en.  Wf-WACH-010734-010735  
eo.  WF-WACH-010736-010737  
ep.  WF-WACH-010738  
eq.  Wf-WACH-010739-010740  
er.  Wf-WACH-010741-010742  
es.  Wf-WACH-010743-010744





















































et.  WF-WACH-010745  
eu.  Wf-WACH-010746-010937  
ev.  Wf-WACH-010938-011001  
ew.  WF-WACH-011002  
ex.  Wf-WACH-011003-011194  
ey.  Wf-WACH-011195-011258  
ez.  WF-WACH-011259  
fa.  WF-WACH-011260-011413  
fb.  WF-WACH-011414-011477  
fc.  WF-WACH-011478-011483  
fd.  WF-WACH-011484  
fe.  WF-WACH-011485-011671  
ff.  WF-WACH-011672-011677  
fg.  WF-WACH-011678  
fh.  WF-WACH-011679  
fi.  WF-WACH-011680  
fj.  WF-WACH-011681-011687  
fk.  WF-WACH-011688  
fl.  WF-WACH-011689-011690  
fm.  WF-WACH-011691-011693  
fn.  WF-WACH-011694-011697  
fo.  WF-WACH-011698  
fp.  WF-WACH-011699-011700  
fq.  WF-WACH-011701  
fr.  WF-WACH-011702-011717  
fs.  WF-WACH-011718  
ft.  WF-WACH-011719  
fu.  WF-WACH-011720  
fv.  WF-WACH-011721  
fw.  WF-WACH-011722  
fx.  WF-WACH-011723  
fy.  WF-WACH-011724-011726  
fz.  WF-WACH-011727-011746  
ga.  WF-WACH-011747-011750  
gb.  WF-WACH-011751-011806  
gc.  WF-WACH-011807-011850  
gd.  WF-WACH-011851-011914  
ge.  WF-WACH-011915  
gf.  WF-WACH-011916-011917  
gg.  WF-WACH-011918  
gh.  WF-WACH-011919  
gi.  WF-WACH-011920  
gj.  WF-WACH-011921  
gk.  WF-WACH-011922  
gl.  WF-WACH-011923  
gm.  WF-WACH-011924-011925  
gn.  WF-WACH-011926  
go.  WF-WACH-011927-011934  
gp.  WF-WACH-011935  
gq.  WF-WACH-011936  
gr.  WF-WACH-011937-012102  
gs.  WF-WACH-012103-012170  
gt.  WF-WACH-012171  
gu.  WF-WACH-012172-012337  
gv.  WF-WACH-012338-012405

gw.  WF-WACH-012406  
gx.  WF-WACH-012407-012563  
gy.  WF-WACH-012564-012629  
gz.  WF-WACH-012630  
ha.  WF-WACH-012631-012796  
hb.  WF-WACH-012797-012866  
hc.  WF-WACH-012867  
hd.  WF-WACH-012868-012870  
he.  WF-WACH-012871  
hf.  WF-WACH-012872-012874  
hg.  WF-WACH-012875-012890  
hh.  WF-WACH-012891  
hi.  WF-WACH-012892  
hj.  WF-WACH-012893  
hk.  WF-WACH-012894  
hl.  WF-WACH-012895-012896  
hm.  WF-WACH-012897  
hn.  WF-WACH-012898  
ho.  WF-WACH-012899  
hp.  WF-WACH-012900  
hq.  WF-WACH-012901-012902  
hr.  WF-WACH-012903-012906  
hs.  WF-WACH-012907-012924  
ht.  WF-WACH-012925-012926  
hu.  WF-WACH-012927-012929  
hv.  WF-WACH-012930  
hw.  WF-WACH-012931-012933  
hx.  WF-WACH-012934-012949  
hy.  WF-WACH-012950-012952  
hz.  WF-WACH-012953-012955  
ia.  WF-WACH-012956  
ib.  WF-WACH-012957-012959  
ic.  WF-WACH-012960-012975  
id.  WF-WACH-012976  
ie.  WF-WACH-012977-013143  
if.  WF-WACH-013144-013146  
ig.  WF-WACH-013147  
ih.  WF-WACH-013148  
ii.  WF-WACH-013149  
ij.  WF-WACH-013150  
ik.  WF-WACH-013151  
il.  WF-WACH-013152  
im.  WF-WACH-013153-013168  
in.  WF-WACH-013169  
io.  WF-WACH-013170-013185  
ip.  WF-WACH-013186  
iq.  WF-WACH-013187  
ir.  WF-WACH-013188-013189  
is.  WF-WACH-013190-013191  
it.  WF-WACH-013192  
iu.  WF-WACH-013193  
iv.  WF-WACH-013194  
iw.  WF-WACH-013195  
ix.  WF-WACH-013196  
iy.  WF-WACH-013197-013198























































iz.  WF-WACH-013199











































ja.  WF-WACH-013200-013201

























































h.  2010-07-29- Disk # 504


























































1.  WF-WACH-013202
2.  WF-WACH-013203
3.  WF-WACH-013204
4.  WF-WACH-013205-013220
5.  WF-WACH-013221
6.  WF-WACH-013222-013225
7.  WF-WACH-013226
8.  WF-WACH-013227
9.  WF-WACH-013228-013230
10.  WF-WACH-013231-013232
11.  WF-WACH-013233-013234
12.  WF-WACH-013235
13.  WF-WACH-013236
14.  WF-WACH-013237
15.  WF-WACH-013238
16.  WF-WACH-013239
17.  WF-WACH-013240
18.  WF-WACH-013241
19.  WF-WACH-013242
20.  WF-WACH-013243
21.  WF-WACH-013244
22.  WF-WACH-013245
23.  WF-WACH-013246
24.  WF-WACH-013247
25.  WF-WACH-013248
26.  WF-WACH-013249-013250
27.  WF-WACH-013251
28.  WF-WACH-013252-013253
29.  WF-WACH-013254-013255
30.  WF-WACH-013256
31.  WF-WACH-013257
32.  WF-WACH-013258-013282
33.  WF-WACH-013283
34.  WF-WACH-013284
35.  WF-WACH-013285-013300
36.  WF-WACH-013301
37.  WF-WACH-013302
38.  WF-WACH-013303-013732
39.  WF-WACH-013733-014149
40.  WF-WACH-014150
41.  WF-WACH-014151-014152
42.  WF-WACH-014153
43.  WF-WACH-014154-014156
44.  WF-WACH-014157-014158
45.  WF-WACH-014159
46.  WF-WACH-014160-014173
47.  WF-WACH-014174-014175
48.  WF-WACH-014176-014177
49.  WF-WACH-014178
50.  WF-WACH-014179-014184
51.  WF-WACH-014185
52.  WF-WACH-014186


























































53.  WF-WACH-014187
54.  WF-WACH-014188
55.  WF-WACH-014189-014378
56.  WF-WACH-014379
57.  WF-WACH-014380
58.  WF-WACH-014381-014382
59.  WF-WACH-014383
60.  WF-WACH-014384-014808
61.  WF-WACH-014809-015230
62.  WF-WACH-015231
63.  WF-WACH-015232
64.  WF-WACH-015233-015248
65.  WF-WACH-015249
66.  WF-WACH-015250
67.  WF-WACH-015251-015283
68.  WF-WACH-015284
69.  WF-WACH-015285
70.  WF-WACH-015286-015287
71.  WF-WACH-015288-015289
72.  WF-WACH-015290-015296
73.  WF-WACH-015297-015317
74.  WF-WACH-015318-015321
75.  WF-WACH-015322-015330
76.  WF-WACH-015331-015341
77.  WF-WACH-015342-015344
78.  WF-WACH-015345-015350
79.  WF-WACH-015351-015352
80.  WF-WACH-015353-015355
81.  WF-WACH-015356
82.  WF-WACH-015357-015359
83.  WF-WACH-015360-015363
84.  WF-WACH-015364-015367
85.  WF-WACH-015368-015371
86.  WF-WACH-015372-015373
87.  WF-WACH-015376-015378
88.  WF-WACH-015379-015383
89.  WF-WACH-015384-015385
90.  WF-WACH-015386-015389
91.  WF-WACH-015390-015393
92.  WF-WACH-015394-015402
93.  WF-WACH-015403-015406
94.  WF-WACH-015407
95.  WF-WACH-015408
96.  WF-WACH-015409
97.  WF-WACH-015410-015411
98.  WF-WACH-015412
99.  WF-WACH-015413-015415
100.  WF-WACH-015416
101.  WF-WACH-015417
102.  WF-WACH-015418-015419
103.  WF-WACH-015420
104.  WF-WACH-015421-015423
105.  WF-WACH-015424
106.  WF-WACH-015425-015471
107.  WF-WACH-015472-015512

























































108.  WF-WACH-015513-015529
109.  WF-WACH-015530-015570
110.  WF-WACH-015571
111.  WF-WACH-015572-015573
112.  WF-WACH-015574-015577
113.  WF-WACH-015578-015620
114.  WF-WACH-015621-015657
115.  WF-WACH-015658-015665
116.  WF-WACH-015666
117.  WF-WACH-015667-015703
118.  WF-WACH-015704-015706
119.  WF-WACH-015707-015740
120.  WF-WACH-015741
121.  WF-WACH-015742-015746
122.  WF-WACH-015747-015749
123.  WF-WACH-015750-015751
124.  WF-WACH-015752-015762
125.  WF-WACH-015763
126.  WF-WACH-015764-015769
127.  WF-WACH-015770-015771
128.  WF-WACH-015772-015794
129.  WF-WACH-015795-015797
130.  WF-WACH-015798-015823
131.  WF-WACH-015824-015827
132.  WF-WACH-015828-015829
133.  WF-WACH-015830-015833
134.  WF-WACH-015834-015852
135.  WF-WACH-015853-015871
136.  WF-WACH-015872-015890
137.  WF-WACH-015891-015892
138.  WF-WACH-015893
139.  WF-WACH-015894-015896
140.  WF-WACH-015897
141.  WF-WACH-015898
142.  WF-WACH-015899
143.  WF-WACH-015900
144.  WF-WACH-015901
145.  WF-WACH-015902-015903
146.  WF-WACH-015904-015905
147.  WF-WACH-015906-015909
148.  WF-WACH-015910-015913
149.  WF-WACH-015914-015917
150.  WF-WACH-015918-015920
151.  WF-WACH-015921-015924
152.  WF-WACH-015925
153.  WF-WACH-015926-015927
154.  WF-WACH-015928
155.  WF-WACH-015929-015932
156.  WF-WACH-015933-015935
157.  WF-WACH-015936-015949
158.  WF-WACH-015950
159.  WF-WACH-015951
160.  WF-WACH-015952-015953
161.  WF-WACH-015954-015959
162.  WF-WACH-015960-015961

























































- 163.  WF-WACH-015962-015963
- 164.  WF-WACH-015964
- 165.  WF-WACH-015965
- 166.  WF-WACH-015966-015968
- 167.  WF-WACH-015969-015975
- 168.  WF-WACH-015976
- 169.  WF-WACH-015977
- 170.  WF-WACH-015978-015979
- 171.  WF-WACH-015980-015986
- 172.  WF-WACH-015987-015990
- 173.  WF-WACH-015991
- 174.  WF-WACH-015992-015995
- 175.  WF-WACH-015996-016004
- 176.  WF-WACH-016005-016100
- 177.  WF-WACH-0160101-016102
- 178.  WF-WACH-0160103-016104
- 179.  WF-WACH-0160108-016111
- 180.  WF-WACH-016105
- 181.  WF-WACH-016106
- 182.  WF-WACH-016107
- 183.  WF-WACH-016112
- 184.  WF-WACH-016113
- 185.  WF-WACH-016114
- i.  2010-08-04 Production- Disk # 519
  - 1.  WF-WACH-016115-016299
- j.  2010-08-09 Production
  - 1.  WF-WACH-016300-016316
- k.  2010-08-11 Production- Disk # 537
  - 1.  WF-FCIC-DRV-000001
- l.  2010-08-13 Production
  - 1.  Disk # 542
    - a.  WF-FCIC-DRV-000002
    - b.  WF-FCIC-DRV-000003
    - c.  WF-FCIC-DRV-000019
    - d.  WF-FCIC-DRV-000025
    - e.  WF-FCIC-DRV-000048
    - f.  WF-FCIC-DRV-000062
    - g.  WF-FCIC-DRV-000077
    - h.  WF-FCIC-DRV-000093
  - 2.  Disk # 543
    - a.  WF-WACH-016318
    - b.  WF-WACH-016319
    - c.  WF-WACH-016320
    - d.  WF-WACH-016321
    - e.  WF-WACH-016322
    - f.  WF-WACH-016323
    - g.  WF-WACH-016324
    - h.  WF-WACH-016325
    - i.  WF-WACH-016326
    - j.  WF-WACH-016327
    - k.  WF-WACH-016328
    - l.  WF-WACH-016329
- m.  2010-08-17 Production- Disk # 553
  - 1.  WF-WACH-016330-016507
- n.  2010-08-18 Production
  - 1.  WF-WACH-016508

- 2.  WF-WACH-016535
  - 3.  WF-WACH-016538
  - 4.  WF-WACH-016574
  - 5.  WF-WACH-016598
  - o.  2010-09-03 Production
    - 1.  Disk # 597
      - a.  WF-FCIC-CRA-00000008
    - 2.  Disk # 598
      - a.  WF-FCIC-MORT-000001
      - b.  WF-FCIC-MORT-000002
      - c.  WF-FCIC-MORT-000003
      - d.  WF-FCIC-MORT-000004
      - e.  WF-FCIC-MORT-000010
      - f.  WF-FCIC-MORT-000011
  - p.  2010-10-06 Production
    - 1.  WF-FCIC-PUTB-000001
    - 2.  WF-FCIC-PUTB-000002
    - 3.  WF-FCIC-PUTB-000564
  - q.  2010-10-25 Production -Disk # 719
    - 1.  Document Requests
      - a.  WF-FCIC-SAI-000001-000117
      - b.  WF-FCIC-SAI-000118-000269
      - c.  WF-FCIC-SAI-000270-000411
      - d.  WF-FCIC-SAI-000412-000540
      - e.  WF-FCIC-SAI-000541-000721
      - f.  WF-FCIC-SAI-000722-000881
      - g.  WF-FCIC-SAI-000882-001028
      - h.  WF-FCIC-SAI-001029-001350
      - i.  WF-FCIC-SAI-001351-001581
      - j.  WF-FCIC-SAI-001582-001994
      - k.  WF-FCIC-SAI-001995-002248
      - l.  WF-FCIC-SAI-002249-002637
      - m.  WF-FCIC-SAI-002638-002938
      - n.  WF-FCIC-SAI-002939-0003263
    - 2.  Interrogatories
      - a.  WF-FCIC-SAI-003264
      - b.  WF-FCIC-SAI-003265
      - c.  WF-FCIC-SAI-003334
      - d.  WF-FCIC-SAI-003422
      - e.  WF-FCIC-SAI-003423
      - f.  WF-FCIC-SAI-003424
  - r.  2010-10-27 Production
    - 1.  2010-10-27 Wells Fargo Production
  - s.  2010-11-02 Production
    - 1.  WF-FCIC-ARM-000001
    - 2.  WF-FCIC-ARM-000002
    - 3.  WF-FCIC-ARM-000003
    - 4.  WF-FCIC-ARM-000004
  - t.  WF-FCIC-PUTB-000566
  - u.  WF-WACH-016317
- G.  Production Admin
- 1.  Media Submission Forms
    - a.  2010-02-02 Submission Form 1
    - b.  2010-02-02 Submission Form 2
    - c.  2010-02-04 Submission Form 3
    - d.  2010-02-05 Submission Form 4
    - e.  2010-02-05 Submission Form 5

























































- f.  2010-02-08 Submission Form 6
- g.  2010-02-11 Submission Form 7
- h.  2010-02-16 Submission Form 8
- i.  2010-02-18 Submission Form 9
- j.  2010-02-19 Submission Form 10
- k.  2010-02-22 Submission Form 11
- l.  2010-02-23 Submission Form 12
- m.  2010-02-24 Submission Form 13
- n.  2010-02-25 Submission Form 14
- o.  2010-02-26 Submission Form 15
- p.  2010-03-01 Submission Form 16
- q.  2010-03-02 Submission Form 17
- r.  2010-03-03 Submission Form 18
- s.  2010-03-04 Submission Form 19
- t.  2010-03-05 Submission Form 20
- u.  2010-03-08 Submission Form 21
- v.  2010-03-09 Submission Form 22
- w.  2010-03-09 Submission Form 23
- x.  2010-03-11 Submission Form 24
- y.  2010-03-12 Submission Form 25
- z.  2010-03-15 Submission Form 26
- aa.  2010-03-16 Submission Form 27
- ab.  2010-03-18 Submission Form 28
- ac.  2010-03-19 Submission Form 29
- ad.  2010-03-19 Submission Form 30
- ae.  2010-03-22 Submission Form 31
- af.  2010-03-23 Submission Form 32
- ag.  2010-03-24 Submission Form 33
- ah.  2010-03-25 Submission Form 34
- ai.  2010-03-26 Submission Form 35
- aj.  2010-03-29 Submission Form 36
- ak.  2010-03-30 Submission Form 37
- al.  2010-04-01 Submission Form 38
- am.  2010-04-02 Submission Form 39
- an.  2010-04-05 Submission Form 40
- ao.  2010-04-06 Submission Form 41
- ap.  2010-04-08 Submission Form 42.xls
- aq.  2010-04-09 Submission Form 43
- ar.  2010-04-12 Submission Form 44
- as.  2010-04-13 Submission Form 45
- at.  2010-04-14 Submission Form 46
- au.  2010-04-15 Submission Form 47
- av.  2010-04-16 Submission Form 48
- aw.  2010-04-19 Submission Form 49
- ax.  2010-04-20 Submission Form 50
- ay.  2010-04-21 Submission Form 51
- az.  2010-04-22 Submission Form 52
- ba.  2010-04-23 Submission Form 53
- bb.  2010-04-26 Submission Form 54
- bc.  2010-04-27 Submission Form 55
- bd.  2010-04-28 Submission Form 56
- be.  2010-04-29 Submission Form 57
- bf.  2010-04-30 Submission Form 58
- bg.  2010-05-03 Submission Form 59
- bh.  2010-05-04 Submission Form 60

























































- bi. 2010-05-07 Submission Form 61
- bj. 2010-05-10 Submission Form 62
- bk. 2010-05-11 Submission Form 63
- bl. 2010-05-12 Submission Form 64
- bm. 2010-05-13 Submission Form 65
- bn. 2010-05-17 Submission Form 66
- bo. 2010-05-18 Submission Form 67
- bp. 2010-05-19 Submission Form 68
- bq. 2010-05-20 Submission Form 69
- br. 2010-05-21 Submission Form 70
- bs. 2010-05-24 Submission Form 71
- bt. 2010-05-25 Submission Form 72
- bu. 2010-05-26 Submission Form 73
- bv. 2010-05-28 Submission Form 74
- bw. 2010-06-01 Submission Form 75
- bx. 2010-06-15 Submission Form 76
- by. 2010-08-02 Submission Form 77
- bz. 2010-08-09 Submission Form 78
- ca. 2010-08-13 Submission Form 79
- cb. 2010-08-19 Submission Form 80
- cc. 2010-08-27 Submission Form 81
- cd. 2010-08-30 Submission Form 82
- ce. 2010-09-01 Submission Form 83
- cf. 2010-09-07 Submission Form 84
- cg. 2010-09-17 Submission Form 85
- ch. 2010-09-22 Submission Form 86
- ci. 2010-09-22 Submission Form 87
- cj. 2010-10-08 Submission Form 88
- ck. Email -
- 2. CD Log
- 3. Confidentiality Agreement Binder Table of Contents
- 4. Confidentiality Agreement Tracker
- 5. Discovery Chart - Excess Risk - cm
- 6. Disk and Encryption Log
- 7. FC0001 Media Tracking Log
- 8. FRB Bates Numbers
- 9. GOLDMAN PSI LOG
- 10. Goldman PSI Log - GS MBS 0000027891
- 11. H5 Discovery Chart
- 12. Production Log 1 KD
- 13. TEMPLATE Doc Demand Ltr to Non Gov Entities
- 14. TEMPLATE Document Production Format Specs
- 15. TrueCrypt Encryption Instructions
- H. 2010-05-17 New Ltr to Warren Buffett
- I. FCIC to FSA request for info re Citi 2-24-10
- J. FCIC to LexisNexis confidentiality re MARI information 2-24-10 (3)
- K. RE- AIG
- XVIII. Author List 7.21.10
  - A. author list 7.21.10
  - B. Pyxis Summary
  - C. Random House UK Contract
- XIX. Hearing 7 - TBTF
  - A. Background Binder Materials
    - 1. 2. Session 2 - Witness Bios
    - 2. 2. Session 2 - Witness Bios
    - 3. a. Key Quotes - Ben Bernanke

4.  a. Key Quotes - Dick Fuld
  5.  a. Key Quotes - Harvey Miller
  6.  a. Key Quotes - Richard Fuld
  7.  a. Key Quotes - Richard Fuld
  8.  a. Key Quotes - Robert Steel
  9.  a. Key Quotes - Sheila Bair
  10.  a. Witness Bios - Lehman
  11.  a. Witness Bios - Lehman
  12.  a. Witness Bios - Regulators
  13.  a. Witness Bios - Regulators
  14.  a. Witness Bios - Wachovia
  15.  Key Quotes - Thomas Baxter
- B.  Draft PIRs & TBTF Hearing Documents
1.  2010-09-01 Draft Chron of Key Events - Lehman
  2.  Draft Chron of Key Events - Lehman
  3.  Draft Lehman PIR
  4.  Draft PIR on Wachovia
  5.  Draft Summary of Lehman PIR
  6.  Draft Summary of PIR on Wachovia
  7.  JPM Chron FINAL
  8.  JPM subchron
  9.  JPM subchron public
  10.  OLD JPMorgan Chron
  11.  Suggested TBTF Hearing Questions
- C.  Draft Reading List
1.  A Fall to Remember An Inside Look at Wachovia s Last Days - American Banker Article
  2.  Acharya Systemic Risk March10
  3.  Acharya systemic\_risk
  4.  Banks 'Too Big to Fail' Have Grown Even Bigger
  5.  Bent Reserve WSJ Article
  6.  bernanke021009
  7.  Billio Measure Systemic Risk March10
  8.  CEPR TBTF Sept09
  9.  Chasin\_-\_Interconnectedness
  10.  Demos McGhee BiggerRiskier-edit19
  11.  Dowd Moral Hazard
  12.  Dowd Moral Hazard Cato Jan09
  13.  Ennis Malek Bank Risk of Failure TBTF Spring05
  14.  Exigent and Unusual Circumstances-Fed Reserve & Crisis by Johnson
  15.  FDIC IG - Washington Mutual - Apr 2010
  16.  Financial Crisis, Interconnectedness and Regulatory Capital
  17.  FINSOB Quarterly Report -- Quarter Ending 033109 (2)
  18.  GAO - Agencies Engaged in Consolidated Supervision Can Strengthen Performance Measurement and Collab
  19.  GAO - Financial Crisis Highlights Need to Improve Oversight of Leverage at Financial Institutions an
  20.  GAO - Review of Regulators Oversight of Risk Management Systems at a Limited Number of Large, Comple
  21.  GAO Moral Hazard April10
  22.  geithner\_testimony
  23.  HseFinServ Hrg. July 2009
  24.  HseJud TBTF 17March09
  25.  Huang Systemic Risk
  26.  Jorion Bank Trading Risk Dec04
  27.  Laursen - Interconnectedness Discussion - 2010
  28.  Markham\_-\_Antitrust
  29.  Moody's money market fd research
  30.  NERA PCI Interconnect Apr10
  31.  NERA PCI TBTF

















32.  Papademos ECB FinStab 2009
  33.  PCI - Too Big to Fail - 2010
  34.  pierce-law-review-vol08-no2-graham
  35.  PSI - Wall Street and the Financial Crisis - The Role of Bank Regulators - WaMu - 16 Apr 2010
  36.  Scherer - \_Perplexed\_Economis
  37.  Schwarcz ProtFinMkts MinnLRev 2008
  38.  statement\_-\_bernanke032409
  39.  statement\_-\_geithner032409
  40.  TBTF Biblio
  41.  testimony\_of\_chairman\_bernanke
  42.  Thomson - On Systemically Important Firms - Aug 2009
  43.  Too big to fail is too big Willem Buiter's Maverecon FT\_com
  44.  Wilmarth - The Transformation of the US Financial Services Industry, 1975-2000 - Competition, Consol
- D.  Fed, FDIC and OCC Timeline
1.  Fed, FDIC and OCC Timeline
  2.  Fed, FDIC and OCC Timeline (2 8.5x11pgs)
  3.  FedFDICEvents
- E.  Lehman Risk Documents
1.  2006-04-21 Danis email re SEC-CSE montly meeting
  2.  2006-08-03 Financial Sponsors Strategies Presentation to Executive Committee
  3.  2006-09-19 Email from Jeff Goodman to Mark Walsh
  4.  2006-09-28 Gelband Presdentation FID Operating Committee Offsite
  5.  2006-10 Market Risk Management Limit Policy
  6.  2006-10-03 Gelband Email to Fuld and Gregory
  7.  2006-11-02 Antoncic Email re Daily Risk Appetite and VaR Report
  8.  2006-11-02 Goldfarb Email re Daily Risk Appetite and VaR report
  9.  2006-11-02 O'Meara Email re Daily Risk Appetite and VaR Report
  10.  2006-12 Securitized Products Outlook for 2007 Bracing for a Credit Downturn
  11.  2007-01-07 2007 Risk Appetite Limit
  12.  2007-01-16 Capital Markets Finance Presentation re Securitized Products, MBS
  13.  2007-01-17 Goodman email re CNL
  14.  2007-01-30 2007 Financial Plan Presentation
  15.  2007-01-30 Kritikos Email to Goodman re Aurora originations trends and performance
  16.  2007-02 Risk Review Aurora and BNC
  17.  2007-02-21 Gentile Email re Balance Sheet Issue
  18.  2007-03-09 Franks email re Board Presenation
  19.  2007-03-12 Kritikos email re Countrywide stops 100% CLTB lending
  20.  2007-03-20 Update on Lehman Brothers' Subprime Mortgage Origination Business
  21.  2007-04-20 Kirk email to Gelband re HY commitments
  22.  2007-05-07 Goodman email to Antoncic re Large RE deal
  23.  2007-06 Leveraged Finance Risk Presentation
  24.  2007-06-01 Goldfarb email to Nagioff re Equity Bridges
  25.  2007-06-27 Lehman Monthly Risk Review
  26.  2007-06-29 Goldfarb email re 2 things
  27.  2007-06-29 Goodman email to Antoncic re Firm-wide Risk Drivers
  28.  2007-07-25 Li Email re Single transaction limit
  29.  2007-08-13 O'Meara email to Goldfarb re Risk Appetite
  30.  2007-08-17 Antoncic Risk Management Presentation
  31.  2007-08-28 Goldfarb email to O'Meara re Daily Risk Appetite and VaR Report
  32.  2007-08-30 Li Email to Mitrokostas
  33.  2007-09-06 Sub Prime(r) Presentaiton
  34.  2007-09-11 Risk Liquidity Capital Presentation to Finance Committee
  35.  2007-09-27 O'Meara Email to Lowitt re Daily Risk Appetite and VaR Report
  36.  2007-10-01 Goodman Email to O'Meara et al re Risk Meeting Followup
  37.  2007-12-05 Email from Stewart re Repo 105-8
  38.  2007-12-05 Kritikos email re Aurora


















- 39.  Lehman Brothers Risk Management- An Integrated Framework
- F.  Proxy Statements
  - 1.  Comp Charts
    - a.  Countrywide Comp Chart
    - b.  Lehman Comp Chart
    - c.  Wachovia Comp Chart
  - 2.  Lehman 2004 Proxy
  - 3.  Lehman 2005 Proxy
  - 4.  Lehman 2006 Proxy
  - 5.  Lehman 2007 Proxy
  - 6.  Lehman 2008 Proxy
  - 7.  Wachovia 2004 Proxy
  - 8.  Wachovia 2005 Proxy
  - 9.  Wachovia 2006 Proxy
  - 10.  Wachovia 2007 Proxy
  - 11.  Wachovia 2008 Proxy
- G.  Relevant Lawsuits
  - 1.  SEC vs. ICP Asset Management
  - 2.  SRM Global Fund Ltd vs. Countrywide
  - 3.  SRM vs. Countrywide - Order on MTD
- H.  TBTF Follow Up Folder
  - 1.  Follow up grid TBTF
  - 2.  Follow up letter summary
  - 3.  H6 Commissioner Follow-up Questions Grid
- I.  TBTF MFRs
  - 1.  18-08-2010 interview with Greg Dulski (Federated)
  - 2.  2010-08-04 Dubas MFR Rutledge Angulo Dobbeck FRBNY
  - 3.  2010-6-15 MFR FCIC Interview w the Fed
  - 4.  Fed meeting staff questions
  - 5.  Interview on 18-08-2010 with Louis Geser (Dreyfus)
  - 6.  TBTF DAY 1
- J.  TBTF Requested Documents
  - 1.  TBTF Doc Requests
    - a.  FRB TBTF Doc Request
    - b.  FRB TBTF Doc Request 8.5.10
    - c.  NYFRB TBTF Doc Request
    - d.  NYFRB TBTF Doc Request
    - e.  NYFRB TBTF Doc Request 8.5.10
  - 2.  Fed documents guide
  - 3.  FRBNY disk list
  - 4.  FRBNY docs list
  - 5.  FW FRB Lehman documents
  - 6.  Lehman request chart
  - 7.  TBTF Document request
  - 8.  UPDATED TBTF Document Requests
  - 9.  Wachovia Request Chart
- K.  Wachovia Articles
  - 1.  2006-05-09 NYT - Chief Calls Deal a Dream for Wachovia
  - 2.  2008-09-27 NYT - Wachovia Looking for Help Turns to Citigroup
  - 3.  2008-09-30 NYT - An Alternative to Armageddon
  - 4.  2008-10-04 NYT - Wells Fargo Swoops In
  - 5.  2008-10-05 NYT - For Two Longtime Bank Rivals in Charlotte, Competition Turns to Concern
  - 6.  2008-10-10 NYT - Regulators Approve Wells Fargo Takeover of Wachovia
  - 7.  2008-10-23 NYT - Wachovia Reports \$23.9 Billion Loss for Third Quarter
  - 8.  2008-10-23 WSJ - Wachovia's Last Act \$23.88 Billion Loss
  - 9.  2008-11-20 Bloomberg - Wachovia, Golden West Investigated by Prosecutors Regulators

10.  2008-11-20 WSJ - Before the Bust, These CEOs Took Money Off the Table
  11.  2008-11-22 NYT- Woes at Citigroup Began With Failed Bid for Wachovia
  12.  2008-12-25 NYT - Once Trusted Mortgage Pioneers Now Scrutinized
  13.  2009-03-13 NYT - A Beseiged Bank and Its Hometown Look Inward
  14.  2009-05-15 NYT A Bank is Survived by Its Loans
  15.  2009-08-04 WSJ - Geithner Has Blown His Top with Regulators Before
  16.  2009-10-13 American Banker - Wachovia's End
- L.  Witness interview candidates
1.  Lehman counterparty Interview candidates
- M.  2010 09 01 TBTF hearing tape day 1
- N.  Countrywide Compensation
- O.  Moodys sponsor support money mkt funds
- P.  TBTF Hearing Transcript - Day 2
- Q.  TBTF Hearing Transcript - Day 2
- R.  TBTF Hearing Transcript - Day One
- S.  TBTF Hearing Transcript - Day One
- T.  TFTF extraordinary funding test
- XX.  Chronology
- A.  Chronology Supporting Documents
1.  1992-07-11 American Banker - New Faces at Top of Mortgage Market
  2.  2000-06-20 Treasury and HUD Report re Curbing Predatory Lending
  3.  2004-08-10 Moody's Press Release
  4.  2005 Report of Examination-FNM
  5.  2005-04-11 MOU between OTS and Commission Bancaire
  6.  2006 Report of Examination-FNM
  7.  2007 Report of Examination-FNM
  8.  2007-08-01 Mudd ltr to Lockhart
  9.  2007-04-07 AP - AIG chief executive earns compensation valued at nearly \$22.5 million in 2006
  10.  2007-06-11 OTS Holding Company ROE
  11.  2007-08-01 Mudd Letter to Lockhart
  12.  2007-08-03 Lockhart letter to Mudd
  13.  2007-08-06 Countrywide Board Minutes BAC-FCIC-0000080057
  14.  2007-08-09 AIG 2Q 2007 Earnings Call
  15.  2007-08-10 Lockhart letter to Mudd
  16.  2007-08-13 Attractive upside but not without risk
  17.  2007-08-15 Liquidity is the Achilles Heel
  18.  2007-08-15 Mudd letter to Lockhart
  19.  2007-08-16 LAT - Credit crunch imperils lender
  20.  2007-08-16 Moody's lowers Countrywide debt to Baa3
  21.  2007-10-11 Moody's Press Release
  22.  2007-10-15 S& P Press Release
  23.  2007-10-22 S&P Press Release
  24.  2007-10-25 WSJ - Pioneer Helped Merrill Move Into CDOs
  25.  2007-11-07 AIG 3Q Earnings Conference Call
  26.  2007-12-05 AIG Conference Call Transcript
  27.  2008\_08\_17\_E\_Scott\_Reckard\_The\_Mortgage\_Meltdown\_LAT
  28.  2008-02-11 AP - Fitch places AIG ratings on negative watch
  29.  2008-02-29 AIG 4Q087Earnings Call
  30.  2008-03-10 Moody's Press Release
  31.  2008-05-08 AP - S& P Fitch cut AIG's ratings following big 1Q loss
  32.  2008-05-08 Fitch Discusses Rating Rationale Behind AIG Downgrade
  33.  2008-05-09 AIG Q1 2008 Earnings Call Transcript
  34.  2008-05-09 Moody's reviewing AIG's senior unsecured rating
  35.  2008-05-13 AIG Habayeb Email - HHOGR00026712
  36.  2008-05-21 NYT - AIG Raising of Capital Depresses its Share Price
  37.  2008-05-22 AIG Press Release - AIG completes capital raising program

























































38.  2008-05-22 AP - Moody's downgrades AIG debt while Fitch affirms
39.  2008-06-02 S&P Press Release
40.  2008-06-09 AP Shareholders Rebel at AIG
41.  2008-06-15 AIG Press Release - Sullivan Resigns
42.  2008-06-16 AIG Conference Call
43.  2008-08-22 Fitch places AIG on Rating Watch Negative
44.  2008-09-08 Post - Reinventing Mortgage Giants -A Big Rebuild or a Teardown
45.  2008-09-12 S&P - AIG Ratings Put on CreditWatch Negative
46.  2008-09-15 S&P Ratings on AIG Lowered
47.  2008-09-18 AIG Press Release - AIG Elects Edward Liddy Chairman and CEO
48.  2008-10-07 House Oversight Committee Hearing Transcript - Panel 2 Willumstad and Sullivan
49.  2008-10-07 Willumstad Testimony before House Oversight Committee
50.  2008-10-08 Post - AIG Spa Trip Fuels Fury
51.  Aug 17 2008 E Scott Reckart The Mortgage Meltdown LATimes
52.  BAC-FCIC-E-0000730795-976
53.  BAC-ML-CDO-000030203-73
54.  Cassano Binder Tab 32 (copy)
55.  December 1971 NY Fed Monthly Review Schadrack and Breimyer - Recent Developments in the Commercial P
56.  FRB Countrywide Referral Letter to DOJ March 2007
57.  January 24 1968 WSJ Article re Standard and Poors Charge New Issues
58.  July 17 2007 WSJ Article Re ABX Index
59.  June 22 2007 Bear Stearns Statement BSAM
60.  LAT\_E\_Scott\_Reckard\_Countrywide\_Aug\_15\_2007
61.  Mozilo Transcript, Vol. 1

























































- B.  Old Chronologies - already incorporated into maste
1.  2010-10-16 Master Chron with Fact Checked Entries
  2.  Chronology - B Kellerman Fact Check Copy
  3.  Chronology - R Bachmann Chron additions
  4.  Draft Chronology - Del Real
  5.  Draft Chronology - Knaus
  6.  Master Chronology - Control Copy
  7.  Morain Fact Check Chron
  8.  Shemin Fact Check Chron
- C.  2010-10-18 Chronology for Book
- D.  2010-10-18 Shemin Fact Check Chron
- E.  Condensed Version of the Timeline - CW
- F.  Countrywide 07-08 Fact Check Chron
- G.  FCIC-document-inventory (copy)
- H.  Jacobs Fact Check Chron
- I.  Knaus Fact Check Chron

XXI.  Hearing 4
























































- A.  3-25-10 document production letters
1.  2010-03-22 G Cohen form ltr to Andrew Alter
  2.  2010-03-22 G Cohen form ltr to BS Karp-JP Morgan
  3.  2010-03-22 G Cohen form ltr to D Braff-Barclays
  4.  2010-03-22 G Cohen form ltr to D Findlay-Nomura
  5.  2010-03-22 G Cohen form ltr to EK Tarlow-Mizuho
  6.  2010-03-22 G Cohen form ltr to HL Wise-Daiwa Securities America Inc.
  7.  2010-03-22 G Cohen form ltr to HSBC USA Inc.
  8.  2010-03-22 G Cohen form ltr to LH Feller-Jefferies & Co.
  9.  2010-03-22 G Cohen form ltr to MS Shelton-UBS
  10.  2010-03-22 G Cohen form ltr to R Brown - BofA
  11.  2010-03-22 G Cohen form ltr to R Cerutti-Credit Suisse
  12.  2010-03-22 G Cohen form ltr to R Walker-Deutsche Bank
  13.  2010-03-22 G Cohen form ltr to RF Yanes-Morgan Stanley
  14.  2010-03-22 G Cohen form ltr to RH Klapper-Goldman Sachs

15.  2010-03-22 G Cohen form ltr to RT Chase-RBC Capital
  16.  2010-03-22 G Cohen form ltr to S Buerge-Citi
  17.  2010-03-22 G Cohen form ltr to S Goldfarb-RBS Americas
  18.  2010-03-22 G Cohen form ltr to Steven Merkel-Cantor& Fitzgerald
- B.  Articles
1.  2009-08-10 case Study - Bear Stearns and the SEC
  2.  2010-02-10 fed to Reveal Its Strategy for Raising Interest Rates
  3.  Mellon BNY
- C.  Briefing Book Materials
1.  Old Drafts
    - a.  2010-02-23 Fidelity Investments
    - b.  2010-02-23 Interview with Merrill Lynch MFR
    - c.  2010-02-25 REVIEWED US Chamber re commercial paper
    - d.  2010-03-03 REVIEWED Anna Lee Hewko and Steve Merriett, Federal Reserve, re accounting and capital
    - e.  2010-03-05 REVIEWED MFR Jack Brennan, Jane Heinrichs, Karrie McMillan, Brian Reid, Paul Stevens of Investment Company Institute
    - f.  2010-03-11 Call with BNYM re Repo
    - g.  2010-03-11 REVIEWED David Kotz, SEC Inspector General
    - h.  2010-03-15 Call with State Street re Repo and CP
    - i.  2010-03-15 REVIEWED FDIC Interview
    - j.  2010-03-16 Background interview with FRBNY Lubke
    - k.  2010-03-18 MFR of Interview with M Macchiaroli re CSE and Lehman
    - l.  2010-03-20 MER Warren Spector
    - m.  2010-03-22 MFR REVIEWED Robert Byman and Matt Basil
    - n.  2010-03-24 REVIEWED Bill De Leon, PIMCO
    - o.  2010-03-25 Interview notes - FRBNY Coffey - Background sources (copy)
    - p.  2010-03-29 Memo\_Call with KBass of Hayman Capital (copy)
    - q.  2010-04-01 MFR GE Capital Interview
    - r.  2010-04-06 Memo\_ISDA Call
    - s.  2010-04-06 SEC Interview MFR
    - t.  2010-04-08 Alix
    - u.  2010-04-08 Transcript of Interview with Harvey Goldschmid
    - v.  2010-04-09 MFR Interview SMolinaro
    - w.  2010-04-09 REVIEWED Ronald Hauben, Ernst & Young
    - x.  2010-04-14 REVIEWED Matt Eichner, CSE Program
    - y.  2010-04-16 K Dubas MFR with Bart McDade (Lehman)
    - z.  4.9 Memo Call with Matt Eichner re CSE Program
    - aa.  a. Bear Stearns Witness Biographies OLD
    - ab.  a. Commercial Paper Market Witness Biographies (OLD)
    - ac.  a. Key Quotes - Secretary Paulson OLD2
    - ad.  a. Sam Molinaro CRD
    - ae.  a. SEC Witness Biographies OLD
    - af.  a. Secretary Geithner Biography OLD
    - ag.  a. Secretary Paulson Biography OLD
    - ah.  b. Paul Friedman CRD
    - ai.  d. Tom Marano CRD
    - aj.  e) Schwartz MFR 2010-04-23
    - ak.  e. 2008-09-18 New York Sun\_ Ex-SEC Official Blames Agency for Blow-Up of Broker-Dealers
    - al.  e. Alan Schwartz CRD
    - am.  f) Jimmy Cayne MFR\_3\_
    - an.  f. Jimmy Cayne CRD
    - ao.  i. 2008-10-02 New York Times\_ Agency's '04 Rule let Banks Pile Up New Debt
    - ap.  r. 2010-04-14 Financial Times\_Repo Market Struggles to Pull Back from Slump OLD
    - aq.  REVIEWED 2010-03-26 Brian Peters and Jean-Marie Davis, NY Fed- Background sources (copy)
  2.  a. 2010-04-02 MFR for Secretary Paulson
  3.  a. Bear Stearns Witness Biographies






























4.  a. Commercial Paper Market Witness Biographies
  5.  a. Key Quotes - Day 2 Session 2
  6.  a. Key Quotes - Sam Molinaro
  7.  a. Key Quotes - Secretary Geithner
  8.  a. Key Quotes - Secretary Paulson
  9.  a. SEC Witness Biographies
  10.  a. Secretary Geithner Biography
  11.  a. Secretary Paulson Biography
  12.  b. Key Quotes - Chairman Donaldson
  13.  b. Key Quotes - Paul Friedman
  14.  c. Key Quotes - Alan Schwartz
  15.  Cox Quotes
  16.  e. Key Quotes - Jimmy Cayne
  17.  GAO Report Agencies Engaged in Consolidated Supervision Can Strengthen Performance and Collaboration
  18.  Karen - edits to Long List Documents H4
  19.  Key Quotes - Alan Schwartz
  20.  Key Quotes - Alan Schwartz
  21.  MFR\_Interview\_BSC\_SMolinaro\_09Apr10 (copy)
  22.  s. 2010-04-16 NYT - Measuring Wall Street Apologetics
  23.  Selected Quotes for Geithner
  24.  Sirri MFR
- D.  Cioffi Interview Documents
1.  BSAM-FCIC-00000001
  2.  BSAM-FCIC-00000004
  3.  BSAMFCIC-e00000037
  4.  Enhanced Levered Balance Sheet
- E.  Correspondence
1.  2010-02-18 Doc Demand to Barclays (Lehman)
  2.  2010-02-18 ltr to R. Brown re document production
  3.  2010-02-19 ltr to J. Hughes re document production
  4.  2010-03-22 G Cohen form ltr to pb re Survey
  5.  2010-03-22 G Cohen ltr to ICI re Survey
  6.  2010-03-24 GE Capital Request
  7.  2010-03-26 Doc Demand to JPM (Bear Stearns)
  8.  2010-03-31 G Cohen ltr to ACLI re Survey (Mutual funds)
  9.  2010-03-31 G Cohen ltr to AIA re Survey
  10.  Barclays Confidentiality Letter
  11.  Confidentiality Letter UBS
  12.  letters from and to team 3
  13.  record of document production
  14.  Treatment\_of\_Non-Public\_Info\_BNYMellon\_2-18-10.
  15.  Treatment\_of\_Non-Public\_Info\_Lehman\_3-3-10
  16.  Treatment\_of\_Non-Public\_Info\_State Street\_3-4-10
- F.  Custodial Undertaking In Connection With MRA
1.  Custodial Undertaking in Connection with Master Repurchase Agreement
- G.  Documents Cited in Report
1.  BSC-FCIC-e00578707
  2.  BSC-FCIC-e00782718 -00782723
- H.  GE Capital and CP Market
1.  Backup Materials
    - a.  GECS 10Ks
      1.  1993 10K
      2.  1994 10K
      3.  1995 10K
      4.  1996 10K
      5.  1997 10K

6.  1998 10K
7.  1999 10K
8.  2000 10K
9.  2001 10K
10.  2002 10K
11.  2003 10K
12.  2004 10K
13.  2005 10K
14.  2006 10K
15.  2007 10K
16.  2008 10K
17.  2009 10K
18.  2009 Financial Supplement
- b.  GECS Funding Updates
  1.  ge\_fi\_update\_1q08
  2.  ge\_fi\_update\_1q09
  3.  ge\_fi\_update\_2q08
  4.  ge\_fi\_update\_2q09
  5.  ge\_fi\_update\_3q08
  6.  ge\_fi\_update\_4q08
  7.  ge\_fi\_update\_4q09
- c.  5yr Spreads
- d.  Credit Ratings Actions
- e.  Cutting Workforce
- f.  GE CP Issuance
- g.  GE Financials
- h.  Market Rates
- i.  Mgmt
- j.  Sep08 CP Spreads
- k.  SNL Tearsheet
- l.  tier2\_abcp spread blowout
- m.  TLGP Announcement
- n.  Wells Notice
- o.  Writedowns and Provisions
2.  Materials from GE Capital Meetings
  - a.  01-Apr-10 GECS Meeting
    1.  01Apr10\_GECP Presentation
    2.  01Apr10\_Notes from GEC Meeting
    3.  Draft GE Agenda for 01Apr10 Meeting
  - b.  20-Apr-10 Meeting
    1.  GE Exhibit\_20Apr10Meeting
    2.  GECC CP Issuance 9-08
    3.  GECC CP Outstanding
    4.  GECC USCP Activity 9-08
3.  Public GE Capital Presentations
  - a.  GEC 08Dec09 Presentation
  - b.  GEC 19Mar09 Full Presentation
  - c.  GEC 19Mar09 Summary
4.  Discussion with GE Counsel
5.  Draft Questions for GE Capital Panel
6.  Financial Narrative for GE Capital
7.  Financial Summary for GE Capital
8.  GE Capital And Shadow Banking
9.  GE Capital\_v6
10.  GE Sketch Outline
11.  Investigative Report for GE Capital




























































- 12. SNL Financials\_GE
- 13. TLGP Participation
- I. Hearing Follow-up
  - 1. H4 Commissioner Follow-up Questions (original)
  - 2. H4 Commissioner Follow-up Questions Grid
  - 3. Word document of responses
- J. Hearing Transcripts
  - 1. 2010-05-05 FCIC HEARING 4 TRANSCRIPT [DAY ONE] FINAL
  - 2. 2010-05-06 FCIC HEARING 4 TRANSCRIPT [DAY TWO] FINAL
- K. Investigative Report Drafts
  - 1. Old Drafts
    - a. 2010-04-24 Draft Investigative Report Bear Stearns
    - b. 2010-04-29 Bear Stearns Executive Summary
    - c. 2010-05-01 Updated Bear Summary
    - d. Bear Stearns Draft Investigative Report 05-01-10
    - e. DO NOT USE BS Draft Investigative Report 04-26-10
    - f. Draft Investigative Report on Lehman 2010-04-24
    - g. Executive Summary Lehman 2010-04-24
    - h. Summary Bear Investigative Report (2010-05-01)
  - 2. 2010-04-29 Lehman Investigative Report
  - 3. 2010-04-30 Lehman Executive Summary
  - 4. 2010-05-01 Updated Bear Summary
  - 5. Bear Stearns Draft Investigative Report 05-01-10
- L. Lehman Documents
  - 1. 2010-04-20 Transcript of HFSC Hearing on Lehman Bankruptcy Examiner's Report
  - 2. DNoonan Index for Greenspan Public Speeches
  - 3. Second Interim Report
  - 4. SIPA
  - 5. Trustees First Interim Report
- M. Memoranda
  - 1. Bear Stearns Interview Memos
    - a. Additional Notes
      - 1. 2010-04-09 Interview notes - Bear Stearns Molinaro - FIRST TWO HOURS
      - 2. Additional Sam Molinaro notes
      - 3. Notes from interview with Michael Alix
      - 4. notes from Sam Molinaro interview
      - 5. Simhai notes from 4 9 sam molinaro interview
      - 6. Simhai Notes on Mike Alix 4 8 2010 interview
    - b. MER Warren Spector March 30 interview
    - c. MFR\_Interview\_BSC\_MAlix\_08Apr10
    - d. MFR\_Interview\_BSC\_SMolinaro\_09Apr10
  - 2. Fidelity Investments
    - a. 3 4 Memo call with Fidelity re commercial paper
    - b. Fidelity Investments
  - 3. ICI
    - a. ICI
  - 4. Merrill Lynch
    - a. Interview with Merrill Lynch on 23 February 2010
  - 5. MFRs to be moved
    - a. 2010-02-23 Fidelity Investments Interview MFR
    - b. 2010-02-23 Interview with Merrill Lynch MFR
    - c. 2010-02-24 SEC Scheidt and Plaze Interview
    - d. 2010-03-01 US Chamber Interview re commercial paper MFR
    - e. 2010-03-03 Interview with Hewk and Merriett re capital and accounting MFR
    - f. 2010-03-04 MFR Call with Fidelity re commercial paper
    - g. 2010-03-04 Telecom interview with JPMorgan MFR
    - h. 2010-03-05 ICI MFR




























































- i.  2010-03-11 Call with BNYM re Repo
- j.  2010-03-11 Memo re mtg with David Kotz and Pete Kyle
- k.  2010-03-15 Call with State Street re Repo and CP
- l.  2010-03-15 FDIC Interview MFR
- m.  2010-03-16 Background interview with FRBNY Lubke
- n.  2010-03-18 MFR of Interview with M Macchiaroli re CSE and Lehman
- o.  2010-03-20 MER Warren Spector
- p.  2010-03-24 MFR Mtg W Bankruptcy Examiner
- q.  2010-03-24 MFR of interview with B De Leon (PIMCO)
- r.  2010-03-25 Call with Reserve Primary Fund
- s.  2010-03-25 Interview notes - FRBNY Coffey - Background sources (copy)
- t.  2010-03-26 Interview notes - FRBNY Peters and Davis - Background sources (copy)
- u.  2010-03-29 Memo\_Call with KBass of Hayman Capital (copy)
- v.  2010-04-01 Nazareth MFR
- w.  2010-04-06 Memo\_ISDA Call
- x.  2010-04-06 SEC Interview MFR
- y.  2010-04-08 Interview with Alix MFR
- z.  2010-04-08 Transcript of Interview with Harvey Goldschmid
- aa.  2010-04-09 Interview with E& Y
- ab.  2010-04-09 MFR Call with Matt Eichner re CSE Program
- ac.  2010-04-09 MFR Interview SMolinaro
- ad.  2010-04-13 MFR of Interview with Robert Upton
- ae.  2010-04-16 K Dubas MFR with Bart McDade (Lehman)
- af.  2010-04-20 FRBNY Lubke - Background - on derivatives
- 6.  SEC
  - a.  3.2 Memo meeting with SEC re MMF and repos
- 7.  2010-03-24 MFR Mtg W Bankruptcy Examiner
- 8.  2010-04-01 MFR of Interview with Eric Sirri (SEC)
- 9.  2010-04-06 Notes of Meeting with SEC re Bear and Lehman
- 10.  2010-04-16 REVIEWED MFR Bart McDade
- 11.  2010-04-19 REVIEWED MFR Tom Marano
- 12.  2010-04-22 MFR of Interview with Helen Mucciolo (FRBNY)
- 13.  2010-04-26 MFR of Interview with David Kotz and Pete Kyle (SEC)
- 14.  2010-04-26 MFR of Interview with William Cohen (House of Cards)
- 15.  2010-04-27 REVIEWED Wendy de Monchaux, Bear Stearns
- 16.  2010-04-29 Interview with Paul Friedman
- 17.  2010-04-29 REVIEWED William Donaldson, former SEC Chairman
- 18.  2010-04-30 REVIEWED Kyle Bass, Hayman Capital
- 19.  3 11 Memo call with BNYM re Repo
- 20.  3 15 Memo\_Call with State Street re Repo and CP
- 21.  3 25 Memo\_Call with Reserve Primary Fund
- 22.  2010-3-29 REVIEWED Kyle Bass, Hayman Capital
- 23.  3.1 Memo call with US Chamber rembers re commercial paper
- 24.  3.1.2010 Team Responsibilities
- 25.  4 1 Notes of Sirri Call
- 26.  4 6 Memo\_ISDA Call
- 27.  Bear Stearns Draft Preliminary Staff Report (April 19 2010)
- 28.  Bear Stearns Write Up
- 29.  FCIC\_H-4\_April 19 Outline Bear Investigative Report
- 30.  Index - PWG Materials Binder
- 31.  2010-04-24 Interview with Jimmy Cayne
- 32.  2010-4-23 REVIEWED Paul McCulley, PIMCO
- 33.  MFR with Michael Neal
- 34.  2010-04-26 REVIEWED MFR Interview with Kathy Cassidy (GE Capital)
- 35.  MFR\_Interview\_SECBoston\_23Apr10



























































- 36.  Notes of 01Apr10 Nazareth Call
- 37.  PIMCO conversation of March 24
- 38.  Shadow Banking Memo 2010
- N.  PIMCO
- O.  Slides for October 20 Mtg with Fed and Treasury
  - 1.  Slides For October 20 Meeting With Fed and Treasury
- P.  Standard Schedules of Eligible Securities
  - 1.  Standard Schedules of Eligible Securities
- Q.  Story of BSC and LEH
  - 1.  Bear Stearns
    - a.  Preparation for Interviews
      - 1.  BSC Subject Areas
      - 2.  FCIC\_Topics for Former BSC Mgrs
    - b.  10k2001
    - c.  10k2002
    - d.  10k2003
    - e.  10k2004
    - f.  10k2005
    - g.  10k2006
    - h.  10k2007
    - i.  10ka\_march2008
    - j.  2006 annual\_report
    - k.  Bear Stearns Timeline (short)
    - l.  BSC Acquisition of ECC
    - m.  BSC Financials
    - n.  BSC Quarterly Progression
    - o.  BSC\_2007proxy
    - p.  BSC\_Sell on the Rumor Presentation
    - q.  corporate\_structure as of Nov07
    - r.  Financial Admin
    - s.  Financial Narrative for Bear Stearns
    - t.  Financial Summary for Bear Stearns
    - u.  MaidenLane I\_finstmt2010
    - v.  Merger Proxy\_28Apr08
    - w.  Notes on Bear Testimony
    - x.  NYTimes Timeline
    - y.  Outline BS Investigative Report
    - z.  Questions on Bear Stearns Run
  - 2.  Lehman Brothers
    - a.  Financial Narrative for Lehman Brothers
    - b.  Financial Summary for Lehman Brothers
    - c.  LEH 07 Annual Report
    - d.  LEH 08 Investor Presentation
    - e.  LEH 10Sep08 Press Release
    - f.  LEH 53108 10Q
    - g.  LEH Financials
    - h.  LEH Timeline
    - i.  LEH\_199310K
    - j.  LEH\_199510K
    - k.  LEH\_199610K
    - l.  LEH\_199710K
    - m.  LEH\_199810K
    - n.  LEH\_199910K
    - o.  LEH\_200010K
    - p.  LEH\_200110K
    - q.  LEH\_200210K
























































- r. LEH\_200310K
- s. LEH\_200410K
- t. LEH\_200510K
- u. LEH\_200610K
- v. LEH\_200710K
- 3. Asset Growth
- 4. BSC and LEH
- 5. Comparison
- 6. Industry Metrics
- 7. Level 3 Analysis
- 8. SEC Leverage Ratios
- 9. Shadow Banking
- 10. Stock Prices
- 11. Story of BSC
- R. Testimonies
  - 1. Alan Schwartz Testimony
  - 2. Christopher Cox Testimony (final)
  - 3. Erik Sirri Testimony
  - 4. H. David Kotz Testimony
  - 5. James Cayne Testimony (final)
  - 6. Mark Barber Testimony
  - 7. Michael Neal Testimony (final)
  - 8. Paul A. McCulley Testimony (DO NOT USE)
  - 9. Paul Friedman Testimony
  - 10. Paul McCulley Testimony (final)
  - 11. Sam Molinaro Testimony
  - 12. Secretary Paulson Testimony
  - 13. Steven R. Meier Testimony
  - 14. Warren Spector Testimony
  - 15. William Donaldson Testimony
- S. Time Line
  - 1. Lehman Timeline
    - a. Lehman Timeline
  - 2. Bear Stearns Time Line (3)
  - 3. Lehman Timeline (2)
- T. updated interview list
  - 1. Copy of Interview List Template
- U. updated interview list (2)
  - 1. Former Bear and Lehman employees to interview
  - 2. updated interview list (2)
- V. Witness Bios
  - 1. Alan Schwartz, former CEO of BS Bio
  - 2. Chris Cox, former Chairman of SEC Bio
  - 3. Chris O'Meara, former Lehman CFO Bio
  - 4. David Kotz, Inspector General of SEC Bio
  - 5. Erik Sirri, former Head of T&M Bio
  - 6. Hank Paulson, former Treasury Secretary Bio
  - 7. James Cayne, former CEO BS Bio
  - 8. Kathy Cassidy, GE Capital Treasurer Bio
  - 9. Michael Neal, CEO of GE Capital Bio
  - 10. Paul Friedman, former COO of fixed income Bio
  - 11. Paul McCulley, Portfolio Manager & Head of PIMCO Bio
  - 12. Sam Molinaro, former CFO of BS
  - 13. Seve Meier, State Street Global Cash CIO Bio
  - 14. Timothy Geitner, Treasury Secretary Bio
  - 15. Warren J. Spector, former BS president bio

























































- W.  Witness Files
  - 1.  Bear Stearns Witnesses
    - a.  Interview Prep
    - b.  Biographies of Bear Stearns Witnesses
    - c.  Notes for Interview with Paul Friedman
    - d.  Notes for Robert Upton Interview
  - 2.  PIMCO
    - a.  Mohammed El-Erian
    - b.  Notes on Pimco Articles
  - 3.  Witness File Christopher Spoth
    - a.  Witness File for Christopher Spoth
  - 4.  Witness File for Alan Schwartz
    - a.  Witness File for Alan Schwartz
  - 5.  Witness File for Bank of NY Mellon
    - a.  Witness File for Bank of NY Mellon
  - 6.  Witness File for Ben Lawsky
    - a.  Witness File for Ben Lawsky
  - 7.  Witness File for Brad Karp
    - a.  Witness File for Brad Karp
  - 8.  Witness File for Chris Clark
    - a.  Witness File for Chris Clark
  - 9.  Witness File for Chris Cox
    - a.  Cox Quotes
  - 10.  Witness File for Chris Naylor
    - a.  Witness File for Chris Naylor
  - 11.  Witness File for Christine Napolitano
    - a.  Witness File for Christine Napolitano - 01-21-09
    - b.  Witness File for Christine Napolitano - 02-09-10
  - 12.  Witness File for David Flattum
    - a.  Witness File for David Flattum (PIMCO)
  - 13.  Witness File for Diane Ellis
    - a.  Witness File for Diane Ellis
  - 14.  Witness File for Draft FCIC Interview Protocol
    - a.  FCIC Interview Protocol
    - b.  Witness for Draft FCIC Interview Protocol
  - 15.  Witness File for Erik Goldstein
    - a.  Witness File for Erik Goldstein
  - 16.  Witness File for Erik Sirri
    - a.  4 9 Memo Call with Matt Eichner re CSE Program2
  - 17.  Witness File for FDIC Interview
    - a.  FDIC Interview on 15 March 2010
  - 18.  Witness File for Gary Fishman
    - a.  Witness File for Gary Fishman
  - 19.  Witness File for Harvey Goldschmid
    - a.  March Interview of professor Harvey Goldschmid
    - b.  Questions for Goldscmid
    - c.  Witness File for Harvey Goldschmid
  - 20.  Witness File for Howie Rubin
    - a.  Witness File for Howie Rubin
  - 21.  Witness File for ICI
    - a.  Witness File for ICI
  - 22.  Witness File for Irma Aninger
    - a.  Irma Aninger Interview
  - 23.  Witness File for James Burkeen
    - a.  Witness for File James Burkeen
  - 24.  Witness file for Jana Lujan
    - a.  Jana Lujan Interview
    - b.  Witness File for Jana Lujan
  - 25.  Witness File for Jessica Carey

- a.  Witness File for Jessica Carey
- 26.  Witness File for Jimmy Cayne
  - a.  Witness File for Jimmy Cayne
- 27.  Witness File for John Geissinger
- 28.  Witness File for John Thomas
  - a.  Witness File for John Thomas
- 29.  Witness File for Kevin Gaffney
  - a.  Witness File for Kevin Gaffney
- 30.  Witness File for Lawrence J. White
  - a.  Witness File for Lawrence J. White
- 31.  Witness File for Lewis Brothers
  - a.  Witness File for Lewis Brothers
- 32.  Witness File for Lisa Arquette
  - a.  Witness File for Lisa Arquette
- 33.  Witness File for Louis Lebedin
  - a.  Witness File for Louis Lebedin
- 34.  Witness File for Madeleine Albright
  - a.  Witness for File Madeleine Albright
- 35.  Witness File for Mark Cahn
  - a.  Witness File for Mark Cahn
- 36.  Witness File for Matt Basil
  - a.  Memo dted 3-22-10 re Mtg with Exam Team...
- 37.  Witness File for Matt Eichner
  - a.  4.9 Memo Call with Matt Eichner re CSE Program
- 38.  Witness File for Michael Watkins
  - a.  Witness File for Michael Watkins
- 39.  Witness File for Mike Alix
  - a.  Simhai Notes on Mike Alix 4 8 2010 interview
  - b.  Witness File for Mike Alix
  - c.  Witness File for Mike Alix(2)
  - d.  Witness Memo to Witness File for M Alix
- 40.  Witness File for Mike Holloran
  - a.  Witness File for Mike Holloran
- 41.  Witness File for Mike Sharp
- 42.  Witness File for Norm Lind
  - a.  Witness File for Norm Lind
- 43.  Witness File for Outside Counsel for RPF
  - a.  Witness File for Outside Counsel for the Reserve Fund
- 44.  Witness File for Patricia Colohan
  - a.  Witness File for Patricia Colohan
- 45.  Witness File for Paul Friedman
  - a.  Witness File for Paul Friedman
  - b.  Witness File for Paul Friedman(2)
  - c.  Witness Memo to Witness File for P Friedman
- 46.  Witness File for Paul Schott Stevens
  - a.  Witness File for Paul Schott Stevens
- 47.  Witness File for Reginald Brown
  - a.  Witness File for Reg Brown
  - b.  Witness File for Reginald Brown
- 48.  Witness File For Richard Hume
  - a.  Witness for Richard Humes
- 49.  Witness File for Richard Marin
- 50.  Witness File for Robert Burns
  - a.  Witness File for Robert Burns
- 51.  Witness File for Robert Byman
  - a.  Memo dted 3-22-10 re Mtg with Exam Team...
- 52.  Witness File for Robert Upton
  - a.  Witness File for Robert Upton
  - b.  Witness File for Robert Upton(2)

- c. Witness Memo to Witness File for R Upton
    - 53. Witness File for Sam Forstein
      - a. Witness File for Sam Forstein
    - 54. Witness File for Sam Molinaro
      - a. Molinaro Quotes from the Sellout
      - b. Simhai notes from 4 9 sam molinaro interview
      - c. Witness File for Sam Molinaro
    - 55. Witness File for Sarah Cave
      - a. Witness File for Sarah Cave
    - 56. Witness File for Scott Goeble
      - a. Witness File for Scott Goebel
    - 57. Witness File for State Street Securities
      - a. Witness File for David Phelan (State Street)
    - 58. Witness File for Steve M. Cohen
      - a. Witness File for Steven M. Cohen
    - 59. Witness File for Steve Mayer
      - a. Witness File for Steve Mayer
    - 60. Witness File for Tom Morano
      - a. Tom Morano Interview
      - b. Witness File for Tom Morano
    - 61. Witness File for Tony Valukus
      - a. Memo dted 3-22-10 re Mtg with Exam Team...
      - b. Witness File for Tony Valukus
      - c. Witness File for Tony Valukus (2)
    - 62. Witness File for Warren Spector
      - a. Notes on Warren Spector
      - b. Warren Spector email
      - c. Witness File for Warren Spector
    - 63. Witness File for Wendy deMonchaux
      - a. Witness File for Wendy deMonchaux
    - 64. Draft Memorandum 3-15-2010
  - X. Witness List
    - 1. H4 Interview List
    - 2. Team 3 Interview List
  - Y. 2010-04-01 ge capital mfr
  - Z. 2010-04-21 request for phone logs to Paulson
  - AA. 2010-05-01\_Hearing\_4\_Questions\_730 am (2)
  - AB. Barclays Bank Plc v. Bear Stearns Asset Management Inc
  - AC. Bear Stearns Draft Investigative Report 05-01-10
  - AD. Follow up questions for Mike Alix
  - AE. Grieb and Schlich search find in the Lehman documents - Volumes 1, 2, 3, 4, 5
  - AF. H4 Document Request Chart
  - AG. Hearing 4 Long List Documents
  - AH. Letter to Brad Karp re follow up questions for Mike Alix
  - AI. McDade Search in Lehman documents-Vol. 1,2,4,5
  - AJ. Other Panelist Next to GECS
  - AK. Preliminary Documents for Hearing 4
  - AL. Revised H4 Press Release April 20, 2010
- XXII. Hearing 2
  - A. Articles
    - 1. CITI
      - a. 2000.10.22 Oppel & McGeehan - Along With a Lender, Is Citigroup Buying Trouble (NYT)
      - b. 2000-10-22 Along With a Lender Is Citigroup Buying Trouble (NYT)
      - c. 2001-12-07 Best Interests - How Big Lenders Sell a Pricier Refinancing to Poor Homeowners (WSJ)
      - d. 2002-10-30 How Fed Will Monitor Citi in Subprime (Am Bkr)
      - e. 2003-02-07 Banks Push Combined Mortgage, Home-equity lines (WSJ)
      - f. 2003-06 Banking On Misery (Southern Exposure)

- g.  2003-06-10 Citi Board the Worst, Study Says (CFO.com)
- h.  2004-05-28 Fed Assesses Citigroup Unit \$70 Million in Loan Abuses (NYT)
- i.  2006-10-31 As Homeowners Face Strains Market Bets on Loan Defaults (WSJ)
- j.  2007-08-03 Is the Dance Over, Citigroup Is Upbeat (NYT)
- k.  2007-11-03 Citigroup Chief Is Set to Exit Amid Losses (NYT)
- l.  2007-11-07 Prince Shows It's Not So Good to Be the Prince (Bloomberg)
- m.  2007-11-28 Robert Rubin on the Job He Never Wanted (CNMMoney)
- n.  2007-12-14 Citigroup Rescues SIVs With \$58 Billion Debt Bailout (Blmbrg)
- o.  2007-12-31 Lender Lobbying Blitz Abetted Mortgage Mess (WSJ)
- p.  2008.11.24 Why Citigroup Imploded (Portfolio)
- q.  2008-01-31 Robert Rubin, What Meltdown (CNMMoney)
- r.  2008-11-23 The Reckoning - Citigroup Saw No Red Flags Even as It Made Bolder Bets (NYT)
- s.  2009-01-09 Robert Rubin's Free Ride (Slate)
- t.  2009-04-14 Citigroup Inc Company Profile (DataMonitor)
- u.  2009-07 What Happened at Citigroup (HBS)
- v.  2009-11-01 Can Citigroup Carry Its Own Weight (NYT)
- w.  2010 Gevurtz - Reflections on In re Citigroup Inc Shareholder Derivative Litigation (SSRN)
- x.  Citi Articles Index
- y.  Citi CDO globes chart from NY Times
- 2.  fannie mae
  - a.  Fannie Freddie execs knew of risks WSJ 12-2008
  - b.  Fannie ignored risks 08-2008
  - c.  Fannie ignored risks 08-2008
- 3.  Fannie Mae case study -- bibliography sources
- 4.  2008.01.27 What's \$34 Billion on Wall Street (NYT)
- B.  Citi Acctg docs prep'd by FCIC staff
  - 1.  Citigroup 2007 10K
  - 2.  Citigroup 2007 4Q FR Y-9C
  - 3.  Citigroup 2008 10K
  - 4.  Citigroup 2008 4Q FR Y-9C
  - 5.  Citigroup 2009 10K
  - 6.  Citigroup 2009 4Q FR Y-9C
  - 7.  Citigroup Mortgage-Related Losses
  - 8.  obs 2
  - 9.  OBS accounting - simple version
  - 10.  OBS accounting summary for staff report
  - 11.  outstanding requests 03192010 Poulin
  - 12.  Potential witness questions re OBS
  - 13.  Potential Witness Questions re securities transfer
  - 14.  Securitizations summary Citi
- C.  Citi Conference Calls
  - 1.  C-Transcript-2001-07-16T14\_00[1]
  - 2.  C-Transcript-2001-12-19T13\_15[1]
  - 3.  C-Transcript-2002-04-15T14\_30[1]
  - 4.  C-Transcript-2002-05-21T21\_05[1]
  - 5.  C-Transcript-2002-05-29T15\_00[1]
  - 6.  C-Transcript-2002-07-17T14\_00[1]
  - 7.  C-Transcript-2002-10-15T12\_30[1]
  - 8.  C-Transcript-2002-12-09T15\_30[1]
  - 9.  C-Transcript-2002-12-23T13\_00[1]
  - 10.  C-Transcript-2003-01-21T19\_00[1]
  - 11.  C-Transcript-2003-01-23T15\_00[1]
  - 12.  C-Transcript-2003-04-14T15\_00[1]
  - 13.  C-Transcript-2003-07-14T15\_00[1]
  - 14.  C-Transcript-2003-07-15T21\_00[1]
  - 15.  C-Transcript-2003-07-16T13\_00[1]
























































16.  C-Transcript-2003-07-17T13\_00[1]
17.  C-Transcript-2003-10-20T14\_00[1]
18.  C-Transcript-2003-11-10T21\_00[1]
19.  C-Transcript-2003-11-18T17\_00[1]
20.  C-Transcript-2004-01-20T17\_15[1]
21.  C-Transcript-2004-01-22T14\_00[1]
22.  C-Transcript-2004-02-10T20\_00[1]
23.  C-Transcript-2004-03-29T18\_00[1]
24.  C-Transcript-2004-04-15T14\_00[1]
25.  C-Transcript-2004-05-10T12\_30[1]
26.  C-Transcript-2004-06-02T12\_00[1]
27.  C-Transcript-2004-07-15T14\_00[1]
28.  C-Transcript-2004-07-20T14\_00[1]
29.  C-Transcript-2004-10-14T12\_00[1]
30.  C-Transcript-2004-12-08T13\_30[1]
31.  C-Transcript-2005-01-20T13\_00[1]
32.  C-Transcript-2005-01-31T16\_00[1]
33.  C-Transcript-2005-02-11T13\_00[1]
34.  C-Transcript-2005-03-31T17\_30[1]
35.  C-Transcript-2005-04-15T12\_00[1]
36.  C-Transcript-2005-05-26T12\_30[1]
37.  C-Transcript-2005-06-01T12\_00[1]
38.  C-Transcript-2005-06-02T18\_00[1]
39.  C-Transcript-2005-06-07T18\_00[1]
40.  C-Transcript-2005-06-24T14\_00[1]
41.  C-Transcript-2005-07-14T22\_00[1]
42.  C-Transcript-2005-07-18T12\_00[1]
43.  C-Transcript-2005-07-21T13\_30[1]
44.  C-Transcript-2005-10-17T12\_00[1]
45.  C-Transcript-2005-12-16T13\_30[1]
46.  C-Transcript-2006-01-20T13\_00[1]
47.  C-Transcript-2006-01-25T15\_00[1]
48.  C-Transcript-2006-02-01T17\_15[1]
49.  C-Transcript-2006-04-12T16\_00[1]
50.  C-Transcript-2006-04-17T12\_00[1]
51.  C-Transcript-2006-06-01T13\_00[1]
52.  C-Transcript-2006-06-06T08\_30[1]
53.  C-Transcript-2006-07-17T12\_00[1]
54.  C-Transcript-2006-10-18T14\_00[1]
55.  C-Transcript-2006-10-19T15\_00[1]
56.  C-Transcript-2006-10-27T18\_00[1]
57.  C-Transcript-2006-12-14T13\_30[1]
58.  C-Transcript-2007-01-19T15\_00[1]
59.  C-Transcript-2007-01-31T17\_45[1]
60.  C-Transcript-2007-04-11T12\_00[1]
61.  C-Transcript-2007-04-16T15\_30[1]
62.  C-Transcript-2007-07-20T14\_00[1]
63.  C-Transcript-2007-07-27T14\_00[1]
64.  C-Transcript-2007-10-01T12\_00[1]
65.  C-Transcript-2007-10-15T12\_30[1]
66.  C-Transcript-2007-11-05T13\_00[1]
67.  C-Transcript-2007-12-11T20\_30[1]
68.  C-Transcript-2008-01-15T13\_30[1]
69.  C-Transcript-2008-04-18T12\_30[1]
70.  C-Transcript-2008-05-09T12\_30[1]

























































71.  C-Transcript-2008-06-19T15\_00[1]
  72.  C-Transcript-2008-07-18T12\_30[1]
  73.  C-Transcript-2008-07-23T12\_30[1]
  74.  C-Transcript-2008-09-29T14\_30[1]
  75.  C-Transcript-2008-10-08T12\_30[1]
  76.  C-Transcript-2008-10-16T14\_00[1]
  77.  C-Transcript-2009-01-13T22\_00[2]
  78.  C-Transcript-2009-01-16T13\_00[1]
  79.  C-Transcript-2009-02-27T13\_30[1]
  80.  C-Transcript-2009-04-17T12\_30[1]
  81.  C-Transcript-2009-05-07T22\_00[1]
  82.  C-Transcript-2009-06-15T16\_30[1]
  83.  C-Transcript-2009-07-17T15\_00[1]
  84.  C-Transcript-2009-10-15T15\_00[1]
  85.  C-Transcript-2009-10-16T11\_30[1]
  86.  C-Transcript-2009-11-11T13\_50[1]
- D.  Citi Interim Report
1.  Drafts
    - a.  1. Citigroup Witness Bios
    - b.  a. Citigroup Financial Narrative - DRAFT
    - c.  a. Key Quotes - Charles Prince
    - d.  b. Citigroup Capital Ratios Analysis
    - e.  Citigroup Capital Ratios
    - f.  Citigroup capital ratios 3-28-2010
    - g.  Citigroup capital ratios 3-29-2010
    - h.  Citigroup capital ratios 3-30-2010
    - i.  Citigroup capital ratios 3-30-2010
    - j.  Citigroup capital ratios 3-30-2010 [Cover Page]
    - k.  Citigroup capital ratios v2
    - l.  Citigroup Compensation Addendum - 4-02-2010
    - m.  Citigroup Financial Narrative
    - n.  Citigroup Financial Narrative - 3-29-2010
    - o.  Citigroup Financial Narrative - 3-30-2010
    - p.  Citigroup Financial Narrative - 3-30-2010
    - q.  Citigroup Financial Narrative - 3-30-2010 [Cover Page]
    - r.  Citigroup Financial Narrative - 4-01-2010
    - s.  Citigroup Financial Narrative shorter version
    - t.  Citigroup Financial Narrative Summary - 3-30-2010
    - u.  Citigroup Financial Narrative Summary - 3-30-2010
    - v.  Citigroup Financial Narrative Summary - 3-30-2010 [Cover Page]
    - w.  Citigroup Financial Narrative Summary - 3-31-2010
    - x.  Citigroup Financial Narrative Summary - 4-01-2010
    - y.  Citigroup Org Chart
    - z.  Citigroup Organizational Chart
    - aa.  Citigroup Preliminary Investigative Report - 3-22-10
    - ab.  Citigroup Preliminary Investigative Report - 3-23-10
    - ac.  Citigroup Preliminary Investigative Report - 3-24-10
    - ad.  Citigroup Preliminary Investigative Report - 3-25-10
    - ae.  Citigroup Preliminary Investigative Report - 3-26-10
    - af.  Citigroup Preliminary Investigative Report - 3-28-10
    - ag.  Citigroup Preliminary Investigative Report - 3-29-10
    - ah.  Citigroup Preliminary Investigative Report - 3-30-10
    - ai.  Citigroup Preliminary Investigative Report - 3-30-10
    - aj.  Citigroup Preliminary Investigative Report - 3-30-2010 [Cover Page]
    - ak.  Citigroup Preliminary Investigative Summary - 3-30-10
    - al.  Citigroup Preliminary Investigative Summary - 3-30-10































































- am.  Citigroup Preliminary Investigative Summary - 3-30-2010 [Cover Page]
- an.  Draft Questions for Witnesses - 4-02-2010
- ao.  Draft Questions for Witnesses - 4-05-2010
- 2.  Individual Sections
  - a.  2010-02-23 K Dubas tables for Citi and CRA memo
  - b.  2010-03-18 Paul Weiss Interrogatory Tables re CRA
  - c.  2010-03-20 K Dubas CRA section of interim investigatory report
  - d.  B Bondi Corporate Governance and Risk Management - BJB
  - e.  T Borgers Securitization Interim Report Draft
- 3.  Interim Hot Docs
  - a.  2004-09-13 CDOs in Plain English (Nomura Fixed Income Research)
  - b.  2004-09-27 OCC Johnson Memo re Bushnell, Rollauer, Daley, Takahisa
  - c.  2007-04-16 Corporate Library Analyst Reports re Citigroup
  - d.  2007-04-19 Charles Prince Remarks before Greenlining Institute - The Responsibility of Corporations
  - e.  2007-10-31 CIBC World Markets (Meredith Whitney) - Is Citigroup's Dividend Safe - Downgrading Stock
  - f.  2007-11-19 Meeting Minutes of Senior Supervisors Group at Citi (Bushnell)
  - g.  2008-04-15 Transmittal Letter and Report for 2007
  - h.  2008-06-17 FINRA Report on the Examination of CGMI (No. 20080128443)
  - i.  2008-2Q SIV Summary
  - j.  2009-03-24 James Xanthos (Citigroup) testimony to FINRA
  - k.  2009-03-24 Testimony of James Xanthos (Citigroup) to FINRA
  - l.  2010-01-25 Cumulative CFMSI Loan Losses Summary
  - m.  2010-01-25 Cumulative CMALT Loan Losses Summary
  - n.  2010-01-25 Cumulative CMSI Loan Losses Summary
  - o.  2010-01-25 Cumulative CRMSI Loan Losses Summary
  - p.  2010-03-01 Citi (B Karp) Ltr re 10-02-12 Doc Request
  - q.  2010-03-18 Citi (B Karp) Ltr to B Bondi re CRA Interrogatory Responses
  - r.  2010-03-19 Citi (B Karp) Ltr to B Bondi re CitiMtg and CitiFncl Interrogatories
  - s.  2010-03-31 B Karp (Citi) Ltr to B Bondi re 2d and 3d Interrogatories
  - t.  B Bondi Email re CITI 16523732 - Large SIV Managers by Percent of Market
  - u.  CGMI-FINRA 11960061 Citi Mortgage Finance Surveillance Team - Practices and Processes (2006-10)
  - v.  CITI 00004244 Citigroup Liquidity Put Discussion
  - w.  CITI 00007657 Presentation to the SEC Regarding Overall CDO Business and Subprime Exposure (2007-06)
  - x.  Citi 00037356 Citi memo re Valuation of Liquidity Puts (2006-10-19)
  - y.  CITI 00037356 Memo from T Brushammar to N Dominguez, J Warne, M Raynes re Liquidity Put Valuation (2
  - z.  CITI 01611657 GSCP The Way Forward (Michael Raynes, 2007-09)
  - aa.  CITI-FCIC 00000444 BOD Minutes (2007-10-15)
  - ab.  CITI-FCIC 00000673 Corporate Audit and Risk Mgmt Cmte Minutes (2007-10-15)
  - ac.  CITI-FCIC 00002793 Risk Management Review - Update to Corporate ARM Cmte (2007-10-15)
  - ad.  CITI-FCIC 00004648 C Prince Ltr to BOD re Leveraged Lending and Subprime (2007-08-15)
  - ae.  CITI-FCIC 00091306 Investor List for RMBS Cash CDOs - Response to I-19
  - af.  CITI-FCIC 00091337 Investor List to RMBS Synthetic CDOs - Response to I-20
  - ag.  CITI-FCIC 00091341 RMBS and Citi-RMBS as a Percentage of Citi-CDO Portfolio - Response to I-22
  - ah.  CITI-FCIC 00091342, 91491--91505 CMB Market Risk Mgmt 2007 Annual Limits Book
  - ai.  CITI-FCIC 00091762 CDOs and Citi-CDOs as a Percentage of Citi-CDO Portfolio - Response to I-29
  - aj.  CITI-FCIC 00091763 Subprime Whole Loan Purchases in GSM, 2006-2010 - Response to I-35
  - ak.  CITI-FCIC 00091764 GSM Mortgage Finance Warehouse Lines of Credit - Response to I-39
  - al.  CITI-FCIC 00091766 Risk View of CDOs on ABS - Alan Smillie (2007-11-16)
  - am.  CITI-FCIC 00091796 Liquidity Put Discussion
  - an.  CITI-FCIC 00091799 Super Senior Contingent Credit Option Program for High Grade ABS CDO - May 2006
  - ao.  CITI-FCIC 00091902 GSM - Global Business Introduction, Focus on Non Agency, Subprime (2007-03-28)
  - ap.  CITI-FCIC 00092009 CAI SIV Update Fed Update Call (2007-11-30)
  - aq.  CITI-FCIC 00092014 Citi BOD ARM Cmte Risk Management Update (2007-12-11)
  - ar.  CITI-FCIC 00092779 Credit and Markets Discussion - Presentation to BOD (2007-10-15)
  - as.  CITI-FCIC 00093420 Global Structured Credit Products - The Way Foward, Michael Raynes (Sept. 2007)
  - at.  CITI-FCIC 00093420 Global Structured Credit Products - The Way Foward, Michael Raynes (Sept. 2007)


- au.  CITI-FCIC 00093495 Citi SIV Critical Decision Defease vs Consolidation (2007-09-11)
- av.  CITI-FCIC 00093507 Review of Credit Markets for CMAC (Murray Barnes, CMB MRM, 2007-11-19)
- aw.  CITI-FCIC 00093519 Review of the Current Environment - D Bushnell (2007-10-30)
- ax.  CITI-FCIC 00099654 CEO Discussion on Global Credit Markets (2007-09-12)
- ay.  CITI-FCIC 00099767 CitiFinancial 1st and 2nd Mtg Delinquency by FICO 2005-9
- az.  CITI-FCIC 00099779 CitiFinancial 1st and 2nd Mtg Delinquency by LTV, 2005-9
- ba.  CITI-FCIC 00099801 List of Cash and Synthetic CDO and CDO2 - Response to I-16, 17, 23
- bb.  CITI-FCIC 00099863 CM Purchased Mortgage Loans by FICO - Response to I-5
- bc.  CITI-FCIC-E 00016495 Cover Email to C Prince re Q3 Earnings Announcement (2007-10-01)
- bd.  CITI-FCIC-E 00016496 October 1, 2007 Recorded Call Transcript (Prince and Crittenden)
- be.  CITI-FCIC-E 00016503 Citi PR re 3Q Writedowns (2007-10-01)
- bf.  CITI-FCIC-E 00024594 Tracking the Twin Storms and NYT Briefing Cover Email to C Prince (2007-07-30)
- bg.  CITI-FCIC-E 00024595 NYT Talking Points for Chuck Prince (2007-08-01)
- bh.  CITI-FCIC-E 00024607 Tracking the Twin Storms - Catalysts of Market Volatility
- bi.  CITI-FCIC-E 00024768 C Prince to R Druskin re CMB Revenue - Water Torture (2007-08-03)
- bj.  CITI-FCIC-E 00031582 C Prince Email re Six Sigma Question (2007-09-26)
- bk.  CITI-FCIC-E 00031616 C Prince Email re Citi Silos (2007-10-02)
- bl.  CITI-FCIC-E 00036374 C Prince to R Rubin re Maheras and Super Seniors (2007-09-09)
- bm.  CITI-FCIC-E 00043432 Purchases, Sales, Securitizations, 3d Party UW (2005-2009)
- bn.  CITI-FCIC-E 00639280 Non-Prime and Sub-Prime Exposure BOD Draft (2007-09-07)
- bo.  CITI-FCIC-E 00639388 D Bushnell Email to E Duke re Super Seniors (2007-08-13)
- bp.  CITI-FCIC-E 00641146 D Bushnell Email to E Duke re ABX (2007-07-26)
- bq.  CITI-FCIC-E 00743982 D Bowen to R Rubin and D Bushnell re concerns (2007-11-03)
- br.  CITI-FCIC-E 00817791 GSM Non-Agency Cover Email (2007-03-27)
- bs.  CITI-FCIC-E 00817817 GSM Global Business Review - Focus on Non Agency Subprime (2007-03-28)
- bt.  CITI-FCIC-E 01030730 CF U.S. Branch Network Real Estate Overview (Oct. 2007)
- bu.  Citigroup Warehouse Lines - Original and Updated
- bv.  Citigroup Warehouse Lines - Original and Updated (with calculations)
- bw.  FCIC-Citi 000193 OCC and FRBNY Ltr to D Bushnell re operational risk management (2007-10-26)
- bx.  FCIC-Citi-000198 FRBNY Transmittal Letter to Citigroup (April 15, 2008) [OCR]
- by.  FCIC-FRBNY 000518 Citigroup PowerPoint on U.S. CDOs
- bz.  H2-3 Hot Docs Tracker
- ca.  Hearing 2 Short List
- cb.  Hearing 2 Short List FINAL
- cc.  OCC3-00008493 Citi Ltr to OCC re Supervisory Letter 2008-05 (2008-03-24)
- 4.  Other Interim Docs
  - a.  2004-05-28 Fed Assesses Citigroup Unit 70 Million in Loan Abuse (NYT)
  - b.  2005-02-04 CDOs Squared Demystified (Nomura)
  - c.  2005-08-30 Merrill, Citigroup Record CDO Fees Earned in Top Growth Market (Bloomberg)
  - d.  2006-09-05 Maheras, Citigroup's High Roller, Sheds Caution in Profit Quest (Bloomberg)
  - e.  2007-11-04 Citi's Sub-Prime Related Exposure in Securities and Banking (Press Release)
  - f.  2008-01-15 Citi Reports Fourth Quarter Net Loss of 9.83B, Loss Per Share of 1.99 (Press Release)
  - g.  2008-03-06 Citi Strengthens US Residential Mortgage Business (Business Wire)
  - h.  2010-01-07 B Bondi Memo re Phone Call with Reilly Dolan (FTC) re Citigroup
  - i.  2010-03-04 Testimony of Herbert Allison before COP re Govt Assistance to Citigroup
  - j.  2010-03-29 US to Sell Entire Stake in Citigroup in 2010 (AP)
  - k.  Securitization Interim Report.doc[1]
- 5.  2007 Citigroup Subprime Exposure Disclosure Timeline
- 6.  2007-11-19 Meeting Minutes of Senior Supervisors Group at Citi (Demonstrative Highlighting)
- 7.  2010-03-31 Cover Page
- 8.  b. Key Quotes - Robert Rubin
- 9.  Bruce compensation charts
- 10.  Bruce graphs
- 11.  c) Citigroup capital ratios 3-30-2010
- 12.  CDO diagram







13.  CDO squared graphic
  14.  Chronology of Key Citigroup Events
  15.  Chuck Prince Key Quotes
  16.  Citi Summary Chronology - 4-06-2010
  17.  Citi TimeMap Timeline
  18.  Citigroup - What happened to Citigroup Harvard July 2009 (3)
  19.  Citigroup capital ratios 4-01-2010
  20.  Citigroup Compensation Addendum - 4-05-2010
  21.  Citigroup Compensation Addendum - 4-05-2010
  22.  Citigroup Demonstratives
  23.  Citigroup Financial Narrative - 4-01-2010 v2
  24.  Citigroup Financial Narrative - 4-02-2010
  25.  Citigroup Financial Narrative - 4-02-2010
  26.  Citigroup Financial Narrative Summary - 4-01-2010 v2
  27.  Citigroup Financial Narrative Summary - 4-02-2010
  28.  Citigroup Financial Narrative Summary - 4-02-2010
  29.  Citigroup Preliminary Investigative Report - 4-01-10
  30.  Citigroup Preliminary Investigative Report - 4-01-10
  31.  Citigroup Preliminary Investigative Summary - 4-01-10
  32.  Citigroup Preliminary Investigative Summary - 4-01-10
  33.  Compensation Table
  34.  Cover Page Template
  35.  Draft Questions for Day 1, Panel 2 Witnesses - 4-05-2010
  36.  Draft Questions for Day 1, Panel 3 Witnesses - 4-05-2010
  37.  Draft Questions for Day 2, Panel 1 Witnesses - 4-05-2010
  38.  Draft Questions for Witnesses - 4-05-2010 v2
  39.  FCIC-Citi-000198 FRBNY Transmittal Letter to Citigroup (April 15, 2008) [Demonstrative Highlight]
  40.  Hearing 2 Citigroup Witness Biographies
  41.  Subprime Mortgages to RMBS Diagram
  42.  Tables for Interim Report
- E.  Citi Research Report
1.  BOFA-10-19-06-CITI-3Q06
  2.  CIBC-01-08-07-CITI-Japan changes
  3.  CIBC-01-21-07-2006 results
  4.  CIBC-02-26-07-CITI-new CFO
  5.  CIBC-04-16-07-1Q07 results
  6.  CIBC-07-18-06-2q earnings
  7.  CIBC-07-22-07-CITI-2Q07
  8.  CIBC-10-01-07-3Q07 Earnings preannouncement
  9.  CIBC-10-15-07-3Q07
  10.  CIBC-10-20-06-3CITI-3Q06pdf
  11.  CIBC-10-30-06-CITI-INVESTMENTINITIATIVES CALLpdf
  12.  CIBC-11-05-07-CITI-Prince leavers write downs
  13.  CIBC-12-15-06-Citi-Investor Day
  14.  Citigroup Financial Briefing
  15.  DB-10-24-07-CITI-SIVUpdate
  16.  Housing\_Briefing\_Plan\_1\_25
  17.  ML-01-22-07-2007 full year
  18.  ML-03-06-07-CITI Nikko acq
  19.  ML-04-11-07-CITI Expense Restructuring
  20.  ML-04-17-07-1Q07
  21.  ML-07-18-06-Citi-2q06
  22.  ML-07-20-07-CITI-2Q07
  23.  ML-08-22-07-CITI-DiscountWindow for Clients
  24.  ML-10-01-07-3Q07 preannouncement

25.  ML-10-19-06-CITI-3Q06
  26.  ML-12-11-06-CITI-DurskinCOOannouncement
  27.  Research\_Investigative\_Plan\_for\_Housing\_1\_25
  28.  SB-01-23-07-CITI-mgmt changes
  29.  SB-01-26-07-Mortgage risk
  30.  SB-10-15-07-Citi-3Q07
- F.  Citibank Press Releases
1.  01-13-08-Citi Announces Key Actions to Enhance Capital Base
  2.  01-15-08-Citi Announces Improved Capital Ratios
  3.  01-15-08-Citi raises capital
  4.  01-15-08-Citi-Full year 2007 Report
  5.  01-22-08-Citi Improved Capital Ratios
  6.  10-01-07-Citi 3q07-earnings preannouncement
  7.  11-04-07-Citi's Sub-Prime Related Exposure in Securities and Banking
  8.  11-26-07-Citi to Sell \$7.5 Billion of Equity Units to the Abu Dhabi Investment Authority
  9.  12-12-07-Citi SIV fact sheet
  10.  8-14-07-Registered 144a announcement-OPUS5
  11.  8-22-07-Citi taps Fed Window
- G.  Civil Lawsuits
1.  AMBAC Credit Products LLC v. Citigroup, et al
    - a.  2009.08.03 Complaint
    - b.  2009.10.07 Motion to Dismiss
    - c.  2009.10.07 Motion to Dismiss - Declaration
    - d.  2009.10.07 Motion to Dismiss - MOL in Support
  2.  Brewton v. Deutsche Bank Trust, et al
    - a.  2008.02.28 State Court Complaint
    - b.  2008.03.27 Answer to Complaint (Citi)
    - c.  2009.02.12 Judgment
    - d.  2009.02.12 Memorandum Opinion and Order
  3.  City of Cleveland v. Ameriquest Mortgage Securitie
    - a.  2008.10.08 Second Amended Complaint
    - b.  2008.11.24 Motion to Dismiss - MOL in Support (Citi)
    - c.  2008.11.24 Motion to Dismiss (Citi)
    - d.  2009.05.15 Judgment
    - e.  2009.05.15 Memorandum Opinion and Order
    - f.  2009.05.19 Amended Notice of Appeal
  4.  In re Ambac Financial Group Securities Litigation
    - a.  2008.08.22 Consolidated Amended Class Action Complaint
    - b.  2008.10.21 Motion to Dismiss
    - c.  2008.10.21 Motion to Dismiss - Declaration
    - d.  2008.10.21 Motion to Dismiss - Exhibits
    - e.  2008.10.21 Motion to Dismiss - MOL in Support
    - f.  2009.07.14 Order Withdrawing Motions to Dismiss
  5.  In re American Home Mortgage Securities Litigation
    - a.  2008.06.04 Consolidated Amended Class Action Complaint
    - b.  2008.06.04 Consolidated Amended Class Action Complaint
    - c.  2009.07.07 Notice of Settlement
    - d.  2009.07.07 Notice of Settlement
    - e.  2009.07.30 Order Preliminarily Approving Settlement
    - f.  2009.07.30 Order Preliminarily Approving Settlement
    - g.  2010.01.14 Judgment and Order Approving Settlement (for underwriter defendants)
    - h.  2010.01.14 Judgment and Order Approving Settlement (for underwriter defendants)
  6.  In re American International Group 2008 Securities
    - a.  2009.05.19 Consolidated Class Action Complaint
    - b.  2009.08.05 Motion to Dismiss - List of Exhibits (by underwriters)
    - c.  2009.08.05 Motion to Dismiss - MOL in Support (by underwriters)


- d.  2009.08.05 Motion to Dismiss (by underwriters)
- 7.  In re Citi Bond Litigation
  - a.  2009.05.19 Consolidated Class Action Complaint
  - b.  2009.08.05 Motion to Dismiss - List of Exhibits (by underwriters)
  - c.  2009.08.05 Motion to Dismiss - MOL in Support (by underwriters)
  - d.  2009.08.05 Motion to Dismiss (by underwriters)
  - e.  Consolidated Amended Class Action Complaint
- 8.  In re Citigroup Securities Litigation
  - a.  2009.02.20 Amended Consolidated Class Action Complaint
  - b.  2009.03.13 Motion to Dismiss
  - c.  2009.03.13 Motion to Dismiss - Declaration (List of Exhibits)
  - d.  2009.03.13 Motion to Dismiss - MOL in Support
- 9.  In re Citigroup Shareholder Derivative Litigation
  - a.  2008.12.23 Motion to Dismiss
  - b.  2008.12.23 Motion to Dismiss - Declaration in Support
  - c.  2008.12.23 Motion to Dismiss - MOL in Support
  - d.  2009.02.24 DE Chancery Court Opinion
  - e.  2009.08.25 Opinion and Order on Motion to Dismiss
  - f.  2009.09.18 Motion to File Amended Consolidated Complaint
  - g.  2009.09.18 Motion to File Amended Consolidated Complaint - Declaration
  - h.  2009.09.18 Motion to File Amended Consolidated Complaint - Exhibit 1 (text of amended complaint)
- 10.  In re Countrywide Securities Litigation
  - a.  2009.01.07 Second Consolidated Amended Class Action Complaint - Part 1
  - b.  2009.02.06 Motion to Dismiss (by underwriter defendants)
  - c.  2009.04.06 Omnibus Order on Motions Related to Second Amended Complaint
  - d.  2009.06.19 Motion for Judgment on the Pleadings
  - e.  2009.06.19 Motion for Judgment on the Pleadings - Declaration in Support
  - f.  2009.12.09 Order for Judgment on the Pleadings
  - g.  Consolidated Amended Class Action Complaint
- 11.  In re Freddie Mac Securities Litigation
  - a.  2009.07.02 Consolidated Class Action Complaint
  - b.  2009.09.30 Motion to Dismiss
  - c.  2009.09.30 Motion to Dismiss - Declaration List of Exhibits
  - d.  2009.09.30 Motion to Dismiss - MOL in Support
- 12.  In re Lehman Brothers Equity & Debt Securities Lit
  - a.  2009.02.23 Second Amended Consolidated Class Action Complaint
  - b.  2009.04.27 Motion to Dismiss
  - c.  2009.04.27 Motion to Dismiss - Declaration
  - d.  2009.04.27 Motion to Dismiss - Index of Exhibits
- 13.  Mark v. Goldman, JPMorgan, & Citi
  - a.  2008.09.23 Class Action Complaint
- 14.  NAACP v. Ameriquest Mortgage Co., et al
  - a.  2008.03.07 Second Amended Class Action Complaint
  - b.  2008.03.07 Second Amended Class Action Complaint - Part II
  - c.  2008.03.07 Second Amended Class Action Complaint - Part III
  - d.  2008.04.04 Joint Motion to Dismiss
  - e.  2009.01.12 Order Denying MTD
  - f.  2009.04.03 Answer to Amended Complaint - Part I
  - g.  2009.08.17 Motion for Summary Judgment
  - h.  2009.08.17 Motion for Summary Judgment - Declaration
  - i.  2009.08.17 Motion for Summary Judgment - Memo in Support
  - j.  2009.09.21 Order Denying MSJ
  - k.  2009.09.21 Order on Motion to Strike Jury Demand
- 15.  Puello, et al. v. CitiFinancial Services, et al
  - a.  2008.05.21 First Amended Class Action Complaint
  - b.  2008.07.21 Motion to Dismiss
  - c.  2008.07.21 Motion to Dismiss - Declaration


- d.  2008.07.21 Motion to Dismiss - MOL in Support
- e.  2009.03.30 Order - MTD Denied
- f.  2009.04.15 Answer to First Amended Complaint










































H.  Correspondence








































- 1.  2010-02-08 Citi Production Format Specs Ltr DRAFT
- 2.  2010-03-07 Draft Invitation Letter to Greenspan wme
- 3.  2010-03-15 Invitation Ltr to Former OCC Comptroller John D. Hawke
- 4.  2010-03-15 Invitation Ltr to OCC Comptroller John C. Dugan
- 5.  2010-03-16 FCIC Hearing Invitation to John C. Dugan
- 6.  2010-03-16 FCIC Hearing Invitation to John D. Hawke


I.  Discovery
















- 1.  2010-01-13 OCC Docs Produced (Citi)
































- a.  OCC2-00001640

- 1.  1
- 2.  10
- 3.  11
- 4.  12
- 5.  13
- 6.  14
- 7.  15
- 8.  16
- 9.  17
- 10.  18
- 11.  19
- 12.  2
- 13.  20
- 14.  21
- 15.  22
- 16.  23
- 17.  24
- 18.  25
- 19.  26
- 20.  27
- 21.  28
- 22.  29
- 23.  3
- 24.  30
- 25.  31
- 26.  32
- 27.  33
- 28.  34
- 29.  35
- 30.  36
- 31.  37
- 32.  38
- 33.  39
- 34.  4
- 35.  40
- 36.  41
- 37.  42
- 38.  43
- 39.  44
- 40.  45
- 41.  46
- 42.  47
- 43.  48








- 44.  49
- 45.  5
- 46.  6
- 47.  7
- 48.  8
- 49.  9
- 50.  Copy of 1
- 51.  Copy of 10
- 52.  Copy of 11
- 53.  Copy of 12
- 54.  Copy of 13
- 55.  Copy of 14
- 56.  Copy of 15
- 57.  Copy of 16
- 58.  Copy of 17
- 59.  Copy of 18
- 60.  Copy of 19
- 61.  Copy of 2
- 62.  Copy of 20
- 63.  Copy of 21
- 64.  Copy of 22
- 65.  Copy of 23
- 66.  Copy of 24
- 67.  Copy of 25
- 68.  Copy of 26
- 69.  Copy of 27
- 70.  Copy of 28
- 71.  Copy of 29
- 72.  Copy of 3
- 73.  Copy of 30
- 74.  Copy of 31
- 75.  Copy of 32
- 76.  Copy of 38
- 77.  Copy of 4
- 78.  Copy of 5
- 79.  Copy of 6
- 80.  Copy of 7
- 81.  Copy of 8
- 82.  Copy of 9

b.  OCC2-00001689

- 1.  1
- 2.  10
- 3.  11
- 4.  12
- 5.  13
- 6.  14
- 7.  15
- 8.  16
- 9.  17
- 10.  18
- 11.  19
- 12.  2
- 13.  20
- 14.  21
- 15.  22

16.  23
17.  24
18.  25
19.  26
20.  27
21.  28
22.  29
23.  3
24.  30
25.  31
26.  32
27.  33
28.  34
29.  35
30.  36
31.  37
32.  38
33.  39
34.  4
35.  40
36.  41
37.  42
38.  43
39.  44
40.  45
41.  46
42.  47
43.  48
44.  49
45.  5
46.  50
47.  51
48.  52
49.  6
50.  7
51.  8
52.  9




































c.  OCC2-00001741


1.  1
2.  2
3.  3
4.  4
5.  5
6.  6
7.  7




















d.  OCC2-00001748





















1.  1
2.  10
3.  11
4.  12
5.  13
6.  14
7.  15
8.  16
9.  17
10.  18









- 11.  19
- 12.  2
- 13.  20
- 14.  21
- 15.  22
- 16.  23
- 17.  24
- 18.  25
- 19.  26
- 20.  27
- 21.  28
- 22.  29
- 23.  3
- 24.  30
- 25.  31
- 26.  32
- 27.  33
- 28.  34
- 29.  35
- 30.  36
- 31.  37
- 32.  38
- 33.  39
- 34.  4
- 35.  40
- 36.  41
- 37.  42
- 38.  43
- 39.  44
- 40.  45
- 41.  5
- 42.  6
- 43.  7
- 44.  8
- 45.  9


e.  OCC2-00001793

- 1.  1
- 2.  10
- 3.  11
- 4.  12
- 5.  13
- 6.  14
- 7.  15
- 8.  16
- 9.  17
- 10.  18
- 11.  19
- 12.  2
- 13.  20
- 14.  21
- 15.  22
- 16.  23
- 17.  24
- 18.  25
- 19.  26


- 20.  27
- 21.  28
- 22.  29
- 23.  3
- 24.  30
- 25.  31
- 26.  32
- 27.  33
- 28.  34
- 29.  35
- 30.  36
- 31.  37
- 32.  38
- 33.  39
- 34.  4
- 35.  5
- 36.  6
- 37.  7
- 38.  8
- 39.  9
















f.  OCC2-00001832

































- 1.  1
- 2.  10
- 3.  2
- 4.  3
- 5.  4
- 6.  5
- 7.  6
- 8.  7
- 9.  8
- 10.  9


g.  OCC2-00001842

- 1.  1
- 2.  2
- 3.  3
- 4.  4
- 5.  5
- 6.  6
- 7.  7
- 8.  8

























h.  OCC2-00001850


- 1.  1
- 2.  10
- 3.  11
- 4.  12
- 5.  13
- 6.  14
- 7.  15
- 8.  16
- 9.  17
- 10.  18
- 11.  19
- 12.  2
- 13.  20
- 14.  21
- 15.  22




- 16.  23
- 17.  24
- 18.  25
- 19.  26
- 20.  27
- 21.  28
- 22.  29
- 23.  3
- 24.  30
- 25.  31
- 26.  32
- 27.  33
- 28.  34
- 29.  35
- 30.  36
- 31.  37
- 32.  38
- 33.  39
- 34.  4
- 35.  40
- 36.  41
- 37.  42
- 38.  43
- 39.  44
- 40.  45
- 41.  46
- 42.  47
- 43.  5
- 44.  6
- 45.  7
- 46.  8
- 47.  9


i.  OCC2-00001897

- 1.  1
- 2.  10
- 3.  11
- 4.  12
- 5.  13
- 6.  14
- 7.  15
- 8.  16
- 9.  17
- 10.  18
- 11.  19
- 12.  2
- 13.  20
- 14.  21
- 15.  22
- 16.  23
- 17.  24
- 18.  25
- 19.  26
- 20.  27
- 21.  28
- 22.  29




































- 23.  3
- 24.  30
- 25.  31
- 26.  32
- 27.  33
- 28.  34
- 29.  35
- 30.  36
- 31.  37
- 32.  38
- 33.  39
- 34.  4
- 35.  40
- 36.  41
- 37.  42
- 38.  43
- 39.  44
- 40.  45
- 41.  46
- 42.  47
- 43.  48
- 44.  49
- 45.  5
- 46.  50
- 47.  6
- 48.  7
- 49.  8
- 50.  9

j.  OCC2-00001947

- 1.  1
- 2.  10
- 3.  11
- 4.  12
- 5.  2
- 6.  3
- 7.  4
- 8.  5
- 9.  6
- 10.  7
- 11.  8
- 12.  9


k.  OCC2-00001959






- 1.  1
- 2.  10
- 3.  11
- 4.  12
- 5.  13
- 6.  14
- 7.  15
- 8.  16
- 9.  17
- 10.  18
- 11.  19
- 12.  2
- 13.  20
- 14.  21




















15.  22
16.  23
17.  24
18.  25
19.  26
20.  27
21.  28
22.  29
23.  3
24.  30
25.  31
26.  32
27.  33
28.  34
29.  35
30.  36
31.  37
32.  38
33.  39
34.  4
35.  40
36.  41
37.  42
38.  43
39.  44
40.  45
41.  46
42.  47
43.  48
44.  49
45.  5
46.  50
47.  51
48.  6
49.  7
50.  8
51.  9


I.  OCC2-00002010

1.  1
2.  10
3.  11
4.  12
5.  2
6.  3
7.  4
8.  5
9.  6
10.  7
11.  8
12.  9


m.  OCC2-00002022

























1.  1
2.  10
3.  11
4.  12
5.  13


6.  14
7.  15
8.  16
9.  17
10.  18
11.  19
12.  2
13.  20
14.  21
15.  22
16.  23
17.  24
18.  3
19.  4
20.  5
21.  6
22.  7
23.  8
24.  9




n.  OCC2-00002046

























































1.  1
2.  2
3.  3
4.  4
5.  5
6.  6
7.  7

o.  OCC2-00002053


1.  1
2.  10
3.  11
4.  12
5.  13
6.  14
7.  15
8.  16
9.  17
10.  18
11.  19
12.  2
13.  20
14.  21
15.  22
16.  23
17.  24
18.  3
19.  4
20.  5
21.  6
22.  7
23.  8
24.  9







p.  OCC2-00002077


1.  1
2.  2
3.  3




























- 4.  4
- 5.  5
- 6.  6
- 7.  7
- q.  OCC2-00002084
  - 1.  1
  - 2.  10
  - 3.  11
  - 4.  12
  - 5.  13
  - 6.  14
  - 7.  15
  - 8.  16
  - 9.  17
  - 10.  18
  - 11.  19
  - 12.  2
  - 13.  20
  - 14.  21
  - 15.  3
  - 16.  4
  - 17.  5
  - 18.  6
  - 19.  7
  - 20.  8
  - 21.  9
- r.  OCC2-00002105
  - 1.  1
  - 2.  2
  - 3.  3
  - 4.  4
  - 5.  5
  - 6.  6
  - 7.  7
- s.  OCC2-00002112
  - 1.  1
  - 2.  10
  - 3.  11
  - 4.  12
  - 5.  13
  - 6.  14
  - 7.  15
  - 8.  16
  - 9.  17
  - 10.  18
  - 11.  19
  - 12.  2
  - 13.  20
  - 14.  21
  - 15.  22
  - 16.  23
  - 17.  24
  - 18.  25
  - 19.  26
  - 20.  27
  - 21.  28


22.  3
23.  4
24.  5
25.  6
26.  7
27.  8
28.  9









t.  OCC2-00002140

1.  1
2.  2
3.  3
4.  4
5.  5
6.  6

























































u.  OCC2-00002146

1.  1
2.  10
3.  11
4.  12
5.  13
6.  14
7.  15
8.  16
9.  17
10.  18
11.  19
12.  2
13.  20
14.  21
15.  22
16.  23
17.  24
18.  25
19.  26
20.  27
21.  3
22.  4
23.  5
24.  6
25.  7
26.  8
27.  9















v.  OCC2-00002173

1.  1
2.  10
3.  11
4.  12
5.  13
6.  14
7.  15
8.  2
9.  3
10.  4
11.  5
12.  6
13.  7




- 14.  8
- 15.  9
- w.  OCC2-00002188
  - 1.  1
  - 2.  10
  - 3.  11
  - 4.  12
  - 5.  13
  - 6.  14
  - 7.  15
  - 8.  16
  - 9.  17
  - 10.  18
  - 11.  19
  - 12.  2
  - 13.  20
  - 14.  21
  - 15.  22
  - 16.  23
  - 17.  24
  - 18.  3
  - 19.  4
  - 20.  5
  - 21.  6
  - 22.  7
  - 23.  8
  - 24.  9
- x.  OCC2-00002212
  - 1.  1
  - 2.  10
  - 3.  11
  - 4.  12
  - 5.  13
  - 6.  14
  - 7.  15
  - 8.  16
  - 9.  17
  - 10.  18
  - 11.  19
  - 12.  2
  - 13.  3
  - 14.  4
  - 15.  5
  - 16.  6
  - 17.  7
  - 18.  8
  - 19.  9
- y.  OCC2-00002231
  - 1.  1
  - 2.  2
  - 3.  3
- z.  OCC2-00002234
  - 1.  1
- aa.  OCC2-00002235
  - 1.  1
  - 2.  2













ab.  OCC2-00002237

1.  1
2.  10
3.  11
4.  12
5.  13
6.  14
7.  2
8.  3
9.  4
10.  5
11.  6
12.  7
13.  8
14.  9


















ac.  OCC2-00002251












1.  1
2.  2
3.  3
4.  4
5.  5
6.  6
7.  7
8.  8
9.  9

ad.  OCC2-00002260


1.  1
2.  10
3.  11
4.  12
5.  2
6.  3
7.  4
8.  5
9.  6
10.  7
11.  8
12.  9

ae.  OCC2-00002272








1.  1
2.  10
3.  11
4.  12
5.  13
6.  14
7.  15
8.  16
9.  17
10.  18
11.  19
12.  2
13.  20
14.  21
15.  22
16.  23
17.  24

18.  25
19.  26
20.  27
21.  28
22.  3
23.  4
24.  5
25.  6
26.  7
27.  8
28.  9


























af.  OCC2-00002300

1.  1
2.  2
3.  3
4.  4
5.  5
6.  6
7.  7
8.  8


















































ag.  OCC2-00002308
















































1.  1
2.  2
3.  3
4.  4
5.  5
6.  6
7.  7

ah.  OCC2-00002315

1.  1
2.  10
3.  11
4.  12
5.  13
6.  14
7.  15
8.  16
9.  17
10.  18
11.  19
12.  2
13.  20
14.  21
15.  22
16.  23
17.  24
18.  25
19.  26
20.  3
21.  4
22.  5
23.  6
24.  7
25.  8
26.  9


ai.  OCC2-00002341







1.  1
2.  10
3.  100
4.  101
5.  102
6.  11
7.  12
8.  13
9.  14
10.  15
11.  16
12.  17
13.  18
14.  19
15.  2
16.  20
17.  21
18.  22
19.  23
20.  24
21.  25
22.  26
23.  27
24.  28
25.  29
26.  3
27.  30
28.  31
29.  32
30.  33
31.  34
32.  35
33.  36
34.  37
35.  38
36.  39
37.  4
38.  40
39.  41
40.  42
41.  43
42.  44
43.  45
44.  46
45.  47
46.  48
47.  49
48.  5
49.  50
50.  51
51.  52
52.  53
53.  54
54.  55
55.  56

























































- 56.  57
- 57.  58
- 58.  59
- 59.  6
- 60.  60
- 61.  61
- 62.  62
- 63.  63
- 64.  64
- 65.  65
- 66.  66
- 67.  67
- 68.  68
- 69.  69
- 70.  7
- 71.  70
- 72.  71
- 73.  72
- 74.  73
- 75.  74
- 76.  75
- 77.  76
- 78.  77
- 79.  78
- 80.  79
- 81.  8
- 82.  80
- 83.  81
- 84.  82
- 85.  83
- 86.  84
- 87.  85
- 88.  86
- 89.  87
- 90.  88
- 91.  89
- 92.  9
- 93.  90
- 94.  91
- 95.  92
- 96.  93
- 97.  94
- 98.  95
- 99.  96
- 100.  97
- 101.  98
- 102.  99

























































aj.  OCC2-00002443
















- 1.  1

ak.  OCC2-00002444





- 1.  1
- 2.  2
- 3.  3
- 4.  4
- 5.  5
- 6.  6


- 7.  7
- 8.  8
- al.  OCC2-00002452
  - 1.  1
  - 2.  10
  - 3.  11
  - 4.  12
  - 5.  13
  - 6.  2
  - 7.  3
  - 8.  4
  - 9.  5
  - 10.  6
  - 11.  7
  - 12.  8
  - 13.  9
- am.  OCC2-00002465
  - 1.  1
- an.  OCC2-00002466
  - 1.  1
- ao.  OCC2-00002467
  - 1.  1
  - 2.  2
- ap.  OCC2-00002469
  - 1.  1
  - 2.  2
- aq.  OCC2-00002471
  - 1.  1
  - 2.  2
  - 3.  3
  - 4.  4
  - 5.  5
  - 6.  6
- ar.  OCC2-00002477
  - 1.  1
  - 2.  2
  - 3.  3
  - 4.  4
  - 5.  5
  - 6.  6
  - 7.  7
- as.  OCC2-00002484
  - 1.  1
  - 2.  10
  - 3.  11
  - 4.  12
  - 5.  13
  - 6.  14
  - 7.  15
  - 8.  16
  - 9.  17
  - 10.  18
  - 11.  2
  - 12.  3
  - 13.  4
  - 14.  5


- 15.  6
- 16.  7
- 17.  8
- 18.  9
- at.  OCC2-00002502
  - 1.  1
- au.  OCC2-00002503
  - 1.  1
  - 2.  2
- av.  OCC2-00002505
  - 1.  1
  - 2.  10
  - 3.  11
  - 4.  12
  - 5.  13
  - 6.  14
  - 7.  15
  - 8.  2
  - 9.  3
  - 10.  4
  - 11.  5
  - 12.  6
  - 13.  7
  - 14.  8
  - 15.  9
- aw.  OCC2-00002520
  - 1.  1
  - 2.  2
  - 3.  3
  - 4.  4
  - 5.  5
  - 6.  6
  - 7.  7
- ax.  OCC2-00002527
  - 1.  1
- ay.  OCC2-00002528
  - 1.  1
  - 2.  10
  - 3.  11
  - 4.  12
  - 5.  13
  - 6.  14
  - 7.  2
  - 8.  3
  - 9.  4
  - 10.  5
  - 11.  6
  - 12.  7
  - 13.  8
  - 14.  9
- az.  OCC2-00002542
  - 1.  1
  - 2.  10
  - 3.  11
  - 4.  12
  - 5.  13


6.  14
7.  15
8.  16
9.  17
10.  18
11.  19
12.  2
13.  20
14.  3
15.  4
16.  5
17.  6
18.  7
19.  8
20.  9



ba.  OCC2-00002562

1.  1
2.  2
3.  3
4.  4
























































bb.  OCC2-00002566

























































1.  1
2.  2
3.  3
4.  4
























bc.  OCC2-00002570




1.  1
2.  10
3.  100
4.  101
5.  102
6.  11
7.  12
8.  13
9.  14
10.  15
11.  16
12.  17
13.  18
14.  19
15.  2
16.  20
17.  21
18.  22
19.  23
20.  24
21.  25
22.  26
23.  27
24.  28
25.  29
26.  3
27.  30
28.  31
29.  32
30.  33









31.  34
32.  35
33.  36
34.  37
35.  38
36.  39
37.  4
38.  40
39.  41
40.  42
41.  43
42.  44
43.  45
44.  46
45.  47
46.  48
47.  49
48.  5
49.  50
50.  51
51.  52
52.  53
53.  54
54.  55
55.  56
56.  57
57.  58
58.  59
59.  6
60.  60
61.  61
62.  62
63.  63
64.  64
65.  65
66.  66
67.  67
68.  68
69.  69
70.  7
71.  70
72.  71
73.  72
74.  73
75.  74
76.  75
77.  76
78.  77
79.  78
80.  79
81.  8
82.  80
83.  81
84.  82
85.  83








- 86.  84
- 87.  85
- 88.  86
- 89.  87
- 90.  88
- 91.  89
- 92.  9
- 93.  90
- 94.  91
- 95.  92
- 96.  93
- 97.  94
- 98.  95
- 99.  96
- 100.  97
- 101.  98
- 102.  99
- bd.  OCC2-00002672
  - 1.  1
  - 2.  2
- be.  OCC2-00002674
  - 1.  1
- bf.  OCC2-00002675
  - 1.  1
  - 2.  2
  - 3.  3
  - 4.  4
  - 5.  5
  - 6.  6
- bg.  OCC2-00002681
  - 1.  1
  - 2.  10
  - 3.  11
  - 4.  12
  - 5.  13
  - 6.  14
  - 7.  2
  - 8.  3
  - 9.  4
  - 10.  5
  - 11.  6
  - 12.  7
  - 13.  8
  - 14.  9
- bh.  OCC2-00002695
  - 1.  1
- bi.  OCC2-00002696
  - 1.  1
  - 2.  2
- bj.  OCC2-00002698
  - 1.  1
  - 2.  2
- bk.  OCC2-00002700
  - 1.  1
  - 2.  10
  - 3.  11

4.  12
5.  13
6.  14
7.  15
8.  16
9.  17
10.  18
11.  19
12.  2
13.  20
14.  21
15.  22
16.  23
17.  24
18.  25
19.  26
20.  3
21.  4
22.  5
23.  6
24.  7
25.  8
26.  9

- bl.  OCC2-00002726
1.  1
  2.  2







- bm.  OCC2-00002728
1.  1
  2.  2

























































- bn.  OCC2-00002730
1.  1
  2.  2










- bo.  OCC2-00002732
1.  1
  2.  10
  3.  11
  4.  12
  5.  2
  6.  3
  7.  4
  8.  5
  9.  6
  10.  7
  11.  8
  12.  9











































- bp.  OCC2-00002744
1.  1

- bq.  OCC2-00002745
1.  1
  2.  2

- br.  OCC2-00002747
1.  1
  2.  1
  3.  2
  4.  3
  5.  4

- 6.  5
- 7.  6
- bs.  OCC2-00002753
  - 1.  1
- bt.  OCC2-00002754
  - 1.  1
  - 2.  2
  - 3.  3
  - 4.  4
  - 5.  5
  - 6.  6
  - 7.  7
  - 8.  8
  - 9.  9
- bu.  OCC2-00002763
  - 1.  1
  - 2.  2
  - 3.  3
  - 4.  4
  - 5.  5
  - 6.  6
  - 7.  7
  - 8.  8
  - 9.  9
- bv.  OCC2-00002772
  - 1.  1
  - 2.  2
  - 3.  3
  - 4.  4
  - 5.  5
  - 6.  6
  - 7.  7
  - 8.  8
- bw.  OCC2-00002780
  - 1.  1
  - 2.  2
  - 3.  3
  - 4.  4
  - 5.  5
  - 6.  6
  - 7.  7
  - 8.  8
  - 9.  9
- bx.  OCC2-00002789
  - 1.  1
  - 2.  10
  - 3.  100
  - 4.  101
  - 5.  102
  - 6.  103
  - 7.  104
  - 8.  105
  - 9.  106
  - 10.  107
  - 11.  108
  - 12.  109


13.  11
14.  110
15.  111
16.  112
17.  113
18.  114
19.  115
20.  116
21.  117
22.  118
23.  119
24.  12
25.  120
26.  121
27.  122
28.  123
29.  124
30.  125
31.  126
32.  127
33.  128
34.  129
35.  13
36.  130
37.  131
38.  132
39.  133
40.  134
41.  135
42.  136
43.  137
44.  138
45.  139
46.  14
47.  140
48.  141
49.  142
50.  143
51.  144
52.  145
53.  146
54.  15
55.  16
56.  17
57.  18
58.  19
59.  2
60.  20
61.  21
62.  22
63.  23
64.  24
65.  25
66.  26
67.  27






























68.  28
69.  29
70.  3
71.  30
72.  31
73.  32
74.  33
75.  34
76.  35
77.  36
78.  37
79.  38
80.  39
81.  4
82.  40
83.  41
84.  42
85.  43
86.  44
87.  45
88.  46
89.  47
90.  48
91.  49
92.  5
93.  50
94.  51
95.  52
96.  53
97.  54
98.  55
99.  56
100.  57
101.  58
102.  59
103.  6
104.  60
105.  61
106.  62
107.  63
108.  64
109.  65
110.  66
111.  67
112.  68
113.  69
114.  7
115.  70
116.  71
117.  72
118.  73
119.  74
120.  75
121.  76
122.  77

























































- 123.  78
- 124.  79
- 125.  8
- 126.  80
- 127.  81
- 128.  82
- 129.  83
- 130.  84
- 131.  85
- 132.  86
- 133.  87
- 134.  88
- 135.  89
- 136.  9
- 137.  90
- 138.  91
- 139.  92
- 140.  93
- 141.  94
- 142.  95
- 143.  96
- 144.  97
- 145.  98
- 146.  99

by.  OCC2-00002935

- 1.  1

bz.  OCC2-00002936

- 1.  1
- 2.  10
- 3.  11
- 4.  12
- 5.  13
- 6.  14
- 7.  15
- 8.  16
- 9.  17
- 10.  18
- 11.  19
- 12.  2
- 13.  20
- 14.  21
- 15.  22
- 16.  23
- 17.  24
- 18.  25
- 19.  26
- 20.  27
- 21.  28
- 22.  29
- 23.  3
- 24.  30
- 25.  31
- 26.  32
- 27.  33
- 28.  34
- 29.  35

- 30.  36
- 31.  37
- 32.  38
- 33.  39
- 34.  4
- 35.  40
- 36.  5
- 37.  6
- 38.  7
- 39.  8
- 40.  9
- ca.  OCC2-00002976
  - 1.  1
- cb.  OCC2-00002977
  - 1.  1
  - 2.  2
  - 3.  3
- cc.  OCC2-00002980
  - 1.  1
- cd.  OCC2-00002981
  - 1.  1
- ce.  OCC2-00002982
  - 1.  1
  - 2.  10
  - 3.  11
  - 4.  12
  - 5.  13
  - 6.  14
  - 7.  15
  - 8.  16
  - 9.  17
  - 10.  18
  - 11.  19
  - 12.  2
  - 13.  3
  - 14.  4
  - 15.  5
  - 16.  6
  - 17.  7
  - 18.  8
  - 19.  9
- cf.  OCC2-00003001
  - 1.  1
  - 2.  2
- cg.  OCC2-00003003
  - 1.  1
  - 2.  10
  - 3.  11
  - 4.  12
  - 5.  13
  - 6.  14
  - 7.  15
  - 8.  16
  - 9.  17
  - 10.  18
  - 11.  19



- 12. 2
- 13. 3
- 14. 4
- 15. 5
- 16. 6
- 17. 7
- 18. 8
- 19. 9

ch. OCC2-00003022

- 1. 1

ci. OCC2-00003023

- 1. 1

cj. OCC2-00003024

- 1. 1
- 2. 10
- 3. 11
- 4. 12
- 5. 13
- 6. 14
- 7. 15
- 8. 16
- 9. 2
- 10. 3
- 11. 4
- 12. 5
- 13. 6
- 14. 7
- 15. 8
- 16. 9

ck. OCC2-00003040

- 1. 1
- 2. 2
- 3. 3
- 4. 4
- 5. 5
- 6. 6

cl. OCC2-00003046

























































- 1. 1
- 2. 2
- 3. 3
- 4. 4
- 5. 5

cm. OCC2-00003051



- 1. 1
- 2. 2
- 3. 3

cn. OCC2-00003054













- 1. 1
- 2. 10
- 3. 11
- 4. 12
- 5. 13
- 6. 14
- 7. 15
- 8. 2
- 9. 3

- 10.  4
- 11.  5
- 12.  6
- 13.  7
- 14.  8
- 15.  9
- co.  OCC2-00003069
  - 1.  1
  - 2.  2
  - 3.  3
  - 4.  4
  - 5.  5
  - 6.  6
  - 7.  7
- cp.  OCC2-00003076
  - 1.  1
  - 2.  2
  - 3.  3
  - 4.  4
- cq.  OCC2-00003080
  - 1.  1
  - 2.  2
  - 3.  3
  - 4.  4
  - 5.  5
  - 6.  6
  - 7.  7
  - 8.  8
  - 9.  9
- cr.  OCC2-00003089
  - 1.  1
  - 2.  2
  - 3.  3
  - 4.  4
  - 5.  5
- cs.  OCC2-00003094
  - 1.  1
  - 2.  10
  - 3.  11
  - 4.  12
  - 5.  13
  - 6.  14
  - 7.  2
  - 8.  3
  - 9.  4
  - 10.  5
  - 11.  6
  - 12.  7
  - 13.  8
  - 14.  9
- ct.  OCC2-00003108
  - 1.  1
  - 2.  2
  - 3.  3
  - 4.  4
  - 5.  5














cu.  OCC2-00003113

1.  1
2.  2
3.  3
4.  4

cv.  OCC2-00003117

1.  1
2.  10
3.  11
4.  12
5.  2
6.  3
7.  4
8.  5
9.  6
10.  7
11.  8
12.  9

cw.  OCC2-00003129


1.  1
2.  10
3.  11
4.  12
5.  13
6.  2
7.  3
8.  4
9.  5
10.  6
11.  7
12.  8
13.  9







cx.  OCC2-00003142

1.  1
2.  10
3.  2
4.  3
5.  4
6.  5
7.  6
8.  7
9.  8
10.  9

cy.  OCC2-00003152

























































1.  1
2.  2























































cz.  OCC2-00003154







1.  1
2.  2
3.  3
4.  4
5.  5
6.  6


da.  OCC2-00003160


1.  1
2.  10


- 3.  11
- 4.  12
- 5.  13
- 6.  14
- 7.  15
- 8.  16
- 9.  17
- 10.  18
- 11.  19
- 12.  2
- 13.  20
- 14.  21
- 15.  22
- 16.  23
- 17.  24
- 18.  25
- 19.  26
- 20.  27
- 21.  28
- 22.  29
- 23.  3
- 24.  30
- 25.  31
- 26.  32
- 27.  33
- 28.  34
- 29.  35
- 30.  36
- 31.  37
- 32.  4
- 33.  5
- 34.  6
- 35.  7
- 36.  8
- 37.  9
- db.  OCC2-00003197
  - 1.  1
  - 2.  2
  - 3.  3
  - 4.  4
  - 5.  5
  - 6.  6
- dc.  OCC2-00003203
  - 1.  1
  - 2.  2
  - 3.  3
  - 4.  4
  - 5.  5
  - 6.  6
- dd.  OCC2-00003209
  - 1.  1
  - 2.  10
  - 3.  11
  - 4.  12
  - 5.  13
  - 6.  14




























- 7.  15
- 8.  16
- 9.  17
- 10.  18
- 11.  19
- 12.  2
- 13.  20
- 14.  21
- 15.  3
- 16.  4
- 17.  5
- 18.  6
- 19.  7
- 20.  8
- 21.  9
- de.  OCC2-00003230
  - 1.  1
  - 2.  2
  - 3.  3
  - 4.  4
- df.  OCC2-00003234
  - 1.  1
  - 2.  2
  - 3.  3
  - 4.  4
- dg.  OCC2-00003238
  - 1.  1
  - 2.  2
  - 3.  3
  - 4.  4
  - 5.  5
- dh.  OCC2-00003243
  - 1.  1
  - 2.  10
  - 3.  11
  - 4.  12
  - 5.  2
  - 6.  3
  - 7.  4
  - 8.  5
  - 9.  6
  - 10.  7
  - 11.  8
  - 12.  9
- di.  OCC2-00003255
  - 1.  1
  - 2.  2
  - 3.  3
  - 4.  4
  - 5.  5
- dj.  OCC2-00003260
  - 1.  1
  - 2.  2
  - 3.  3
- dk.  OCC2-00003263
  - 1.  1


2.  2
3.  3
4.  4
5.  5
6.  6
7.  7





dl.  OCC2-00003270


1.  1
2.  2
3.  3
4.  4












dm.  OCC2-00003274








1.  1
2.  10
3.  11
4.  12
5.  13
6.  14
7.  15
8.  16
9.  17
10.  18
11.  19
12.  2
13.  20
14.  21
15.  22
16.  23
17.  24
18.  25
19.  26
20.  27
21.  3
22.  4
23.  5
24.  6
25.  7
26.  8
27.  9

dn.  OCC2-00003301












1.  1
2.  2
3.  3
4.  4


do.  OCC2-00003305





1.  1
2.  10
3.  11
4.  12
5.  13
6.  14
7.  15
8.  16
9.  17
10.  18
11.  19


- 12.  2
- 13.  3
- 14.  4
- 15.  5
- 16.  6
- 17.  7
- 18.  8
- 19.  9



















dp.  OCC2-00003324

- 1.  1
- 2.  10
- 3.  11
- 4.  2
- 5.  3
- 6.  4
- 7.  5
- 8.  6
- 9.  7
- 10.  8
- 11.  9

dq.  OCC2-00003335


- 1.  1
- 2.  2
- 3.  3
- 4.  4

dr.  OCC2-00003339

- 1.  1
- 2.  10
- 3.  11
- 4.  12
- 5.  13
- 6.  14
- 7.  15
- 8.  16
- 9.  17
- 10.  18
- 11.  2
- 12.  3
- 13.  4
- 14.  5
- 15.  6
- 16.  7
- 17.  8
- 18.  9

ds.  OCC2-00003357

























































- 1.  1
- 2.  2
- 3.  3
- 4.  4
- 5.  5

dt.  OCC2-00003362


























































- 1.  1
- 2.  2

du.  OCC2-00003364

























































- 1.  1
- 2.  2






















































- 3.  3
- 4.  4
- 5.  5
- 6.  6
- dv.  OCC2-00003370
  - 1.  1
  - 2.  10
  - 3.  11
  - 4.  2
  - 5.  3
  - 6.  4
  - 7.  5
  - 8.  6
  - 9.  7
  - 10.  8
  - 11.  9
- dw.  OCC2-00003381
  - 1.  1
  - 2.  2
  - 3.  3
  - 4.  4
  - 5.  5
  - 6.  6
  - 7.  7
- dx.  OCC2-00003388
  - 1.  1
  - 2.  10
  - 3.  11
  - 4.  12
  - 5.  13
  - 6.  14
  - 7.  15
  - 8.  16
  - 9.  17
  - 10.  18
  - 11.  19
  - 12.  2
  - 13.  3
  - 14.  4
  - 15.  5
  - 16.  6
  - 17.  7
  - 18.  8
  - 19.  9
- dy.  OCC2-00003407
  - 1.  1
  - 2.  2
  - 3.  3
- dz.  OCC2-00003410
  - 1.  1
  - 2.  2
  - 3.  3
- ea.  OCC2-00003413
  - 1.  1
  - 2.  2
  - 3.  3



















































































































- eb.  OCC2-00003416
  - 1.  1
  - 2.  2
  - 3.  3
  - 4.  4
- ec.  OCC2-00003420
  - 1.  1
  - 2.  2
- ed.  OCC2-00003422
  - 1.  1
  - 2.  2
  - 3.  3
  - 4.  4
  - 5.  5
  - 6.  6
  - 7.  7
  - 8.  8
- ee.  OCC2-00003430
  - 1.  1
  - 2.  2
- ef.  OCC2-00003432
  - 1.  1
  - 2.  2
  - 3.  3
  - 4.  4
- eg.  OCC2-00003436
  - 1.  1
  - 2.  2
  - 3.  3
- eh.  OCC2-00003439
  - 1.  1
  - 2.  2
- ei.  OCC2-00003441
  - 1.  1
- ej.  OCC2-00003442
  - 1.  1
  - 2.  2
  - 3.  3
  - 4.  4
- ek.  OCC2-00003446
  - 1.  1
  - 2.  2
- el.  OCC2-00003448
  - 1.  1
  - 2.  2
- em.  OCC2-00003450
  - 1.  1
- 2.  2010-01-15 FINRA Docs Produced (Citi)
  - a.  06-00282 CHD (Gary Leidecker)
  - b.  08-00073 CA (Bernard & Jaclyne Friedman)
  - c.  08-00778 FL (Leslie Feinsmith)
  - d.  08-00779 FL (Loyola College)
  - e.  08-01430 FL (VCG Special Opportunities)
  - f.  08-03155 FL (Belford Partnership)
  - g.  08-03705 NY (Franklin & Deborah Wyman)
  - h.  08-03776 FL (Peggy Davies)
  - i.  08-03792 FL (Robert Smelkinson)

- j.  08-03793 FL (Donald & Mary Ellen Tomasso)
- k.  08-04096 FL (Weisfeld's & Fontini's)
- l.  08-04366 (Bongelli & Wilson)
- m.  08-04679 NY (Henry & Estelle Roey)
- n.  08-04832 CHD (Dale Robinson)
- o.  09-00028 NY (Peter Fleischmann)
- p.  09-00125 FL (Richard & Karen Peshkin)
- q.  09-00127 FL (Sanford Jospheon)
- r.  09-00279 Award (Robert & Eleanor Leader)
- s.  09-00279 FL (Robert & Eleanor Leader)
- t.  09-00368 NY (Schottland, Sherman, Horowitz, & Cohen)
- u.  09-00393 NY (Maureen Murnane)
- v.  09-00538 FL (Stanley & Sondra Alperin)
- w.  09-00563 NY (Maurice Curran)
- x.  09-00595 CHD (Timothy Ozark)
- y.  09-00664 NY (William & Dolores Zonghetti)
- z.  09-00737 NY (Dolores & Maria Gonzalez)
- aa.  09-01247 FL (Adam & Tina Kreysar)
- ab.  09-01284 FL (Jacob & Elaine Rabinowitz)
- ac.  09-01322 FL (Ocean Assets LLC)
- ad.  09-01474 LA (David DeForest)
- ae.  09-01719 FL (Robert Rogoff)
- af.  09-01722 CA (Richard & Nancy Blair)
- ag.  09-01784 NY (Victoria Mericle)
- ah.  09-01810 NY (Joseph & Mary Koch)
- ai.  09-02032 (Arlene Gould)
- aj.  09-02052 FL (Bert Gilbert)
- ak.  09-02410 FL (Frank & Pauline LaPlaca)
- al.  09-02464 CHD (Richard Nohr)
- am.  09-02465 CHD (Paul & Iris Seeley)
- an.  09-02478 FL (John & Pamela Steinberg)
- ao.  09-02529 FL (Peter & Arlene Luckower)
- ap.  09-03502 FL (Frank & Joy-Marie Russo)
- aq.  09-06161 FL (Harland Smilack)
- ar.  09-06585 FL (Frank Clemente Jr.)
- as.  Copy (2) of 06-00282 CHD (Gary Leidecker)
- at.  Copy (2) of 08-00073 CA (Bernard & Jaclyne Friedman)
- au.  Copy (2) of 08-00778 FL (Leslie Feinsmith)
- av.  Copy (2) of 08-00779 FL (Loyola College)
- aw.  Copy (2) of 08-01430 FL (VCG Special Opportunities)
- ax.  Copy (2) of 08-03155 FL (Belford Partnership)
- ay.  Copy (2) of 08-03705 NY (Franklin & Deborah Wyman)
- az.  Copy (2) of 08-03776 FL (Peggy Davies)
- ba.  Copy (2) of 08-03792 FL (Robert Smelkinson)
- bb.  Copy (2) of 08-03793 FL (Donald & Mary Ellen Tomasso)
- bc.  Copy (2) of 08-04096 FL (Weisfeld's & Fontini's)
- bd.  Copy of 06-00282 CHD (Gary Leidecker)
- be.  Copy of 08-00073 CA (Bernard & Jaclyne Friedman)
- bf.  Copy of 08-00778 FL (Leslie Feinsmith)
- bg.  Copy of 08-00779 FL (Loyola College)
- bh.  Copy of 08-01430 FL (VCG Special Opportunities)
- bi.  Copy of 08-03155 FL (Belford Partnership)
- bj.  Copy of 08-03705 NY (Franklin & Deborah Wyman)
- bk.  Copy of 08-03776 FL (Peggy Davies)
- bl.  Copy of 08-03792 FL (Robert Smelkinson)

- bm.  Copy of 08-03793 FL (Donald & Mary Ellen Tomasso)
- bn.  Copy of 08-04096 FL (Weisfeld's & Fontini's)
- bo.  Copy of 08-04366 (Bongelli & Wilson)
- bp.  Copy of 08-04679 NY (Henry & Estelle Roey)
- bq.  List of Cases and Case Status
- 3.  2008-02 Citigroup and Mortgage Finance Organizational Structures
- 4.  2008-2Q SIV Summary
- 5.  2010-01-25 Cumulative CFMSI Loan Losses Summary
- 6.  2010-01-25 Cumulative CMALT Loan Losses Summary
- 7.  2010-01-25 Cumulative CMSI Loan Losses Summary
- 8.  2010-01-25 Cumulative CRMSI Loan Losses Summary
- 9.  Citi Production Log
- 10.  CITI-FCIC 00002936 Citi Credit Reserve Policy
- 11.  CITI-FCIC 00002970 Discussion of October CMB Performance
- 12.  CITI-FCIC 00099767 CitiFinancial 1st and 2nd Mtg Delinquency by FICO 2005-9
- 13.  CITI-FCIC 00099779 CitiFinancial 1st and 2nd Mtg Delinquency by LTV, 2005-9
- 14.  CMLTI Asset-Backed Pass-Through Certificates
- 15.  Outstanding Citigroup Interrogatories and Document Requests
- 16.  Ridgeway Court Funding II Monthly Report 2007-11-06
- 17.  Ridgeway II Comparison of Marks on RMBS Collateral
- 18.  Ridgeway II Mortgage Originators and Sellers of Citi Underwritten RMBS
- 19.  Ridgeway loan level data excerpt
- J.  Fannie Accounting matters
  - 1.  Fannie select accounting topics
- K.  Fannie Mae Briefing Book Materials
  - 1.  Briefing Book - Supporting Documents
    - a.  03-19-08OFHEOGotRolled
    - b.  2005-06-27 Strategic Crossroads presentation
    - c.  2006 OFEHO Report of Examination on Fannie Mae
    - d.  2006-05-23 Consent Agreement
    - e.  2007 OFHEO Report of Examination on Fannie Mae
    - f.  2007-07-17 Dallavecchia eml to Mudd
    - g.  2007-12-21 American Banker - Setting and OFHEO Plan
    - h.  2008-02-27 Lockhart Statement
    - i.  2008-02-28 Schumer ltr to Lockhart
    - j.  2008-02-28 Steel email re GSE Losses Overshadowed by Removal of Portfolio Cap
    - k.  2008-03-03 Nelson email re GSEs' credit risk
    - l.  2008-03-06 Farrell eml to Steel
    - m.  2008-03-07 Levin eml to Mudd re deal with OFHEO
    - n.  2008-03-08 Thomas eml to Steel
    - o.  2008-03-10 Barrons - The Next Gov Bailout
    - p.  2008-03-16 Norton eml to Steel Nason Ryan Kashkari
    - q.  2008-03-17 Lockhart eml to Mudd and Steel
    - r.  2008-03-17 Mudd eml to Lockhart
    - s.  2008-03-19 OFHEO Press Release - re Increase Mortgage Mkt Liquidity
    - t.  2008-08-22 Dickerson ltr to Mudd
    - u.  2008-09-01 Dickerson ltr to Mudd
    - v.  2008-09-04 Dickerson ltr to Mudd - Mid-year letter
    - w.  2008-09-06 FHFA Fannie Mae Conservatorship Memo
    - x.  2008-09-08 OCC - Observations - Allowance Process and Methodology
  - 2.  2010-03-31 Draft Fannie Mae Investigative Report
  - 3.  Chart - OFHEO Budget vs. GSE Executive Comp
  - 4.  Demonstrative Charts
  - 5.  Draft memo re FNMA (copy)
  - 6.  Draft memo re FNMA (copy)
  - 7.  Lockhart FCIC Testimony

8.  Mortgage Fraud Report
9.  Mortgage Fraud Report - SUMMARY
10.  Mudd FCIC Testimony
11.  Suggested Lockhart Questions
12.  Suggested Lockhart Questions UPDATED
13.  Suggested Questions for Regulators(3)
- L.  Fannie Mae case study -- bibliography sources
  1.  bernanke on future of GSEs 10-31-2008
  2.  Committee Documents 1
  3.  Committee documents 2
  4.  Committee documents 3
  5.  Committee documents 4
  6.  Fannie Freddie execs knew of risks WSJ 12-2008
  7.  Fannie ignored risks 08-2008
  8.  Fannie ignored risks 08-2008
  9.  FHFA historical data on Fannie Mae to 2008
  10.  Lockhart 07-31-2009
  11.  The Failure of Fannie Mae and Freddie Mac -- prepublication draft 09-2009
- M.  Fannie Mae Research
  1.  Briefing Memo
    - a.  FNMA - list of interviews for briefing book
  2.  CBO Publications
    - a.  1996-05 CBO Study on Assessing the Public Costs and Benefits of Fannie Mae and Freddie Mac
    - b.  1996-06-12 CBO Statement on Assessing the Public Costs and Benefits of Fannie Mae and Freddie Mac
    - c.  1998-05-14 CBO Remarks of June E. O'Neill before the Conference on Appraising FNMA and Freddie Mac
    - d.  2001-06-21 CBO Letter to Franklin D. Raines
    - e.  2003-01-03 CBO Letter to the Honorable Paul S. Sarbanes
    - f.  2003-05-06 CBO Paper on the Effects of Repealing Fannie Mae's and Freddie Mac's SEC Exemptions
    - g.  2006-06-23 CBO Paper on Measuring the Capital Positions of Fannie Mae and Freddie Mac
    - h.  2010-01-13 CBO's Budgetary Treatment of Fannie Mae and Freddie Mac
  3.  Civil Lawsuits
    - a.  In re 2008 Fannie Mae ERISA Litigation
      1.  2008-10-23 Moore v FNMA Comp Committee ERISA
      2.  2008-11-25 Gwyer v. FNMA Comp Committee ERISA
      3.  2009-05-15 Pretrial Order Consolidation
    - b.  In re Fannie Mae 2008 Securities Litigation
      1.  2008-09-08 Demand for Jury Trial
      2.  2010-01-20 Stipulation and Order
    - c.  In re Fannie Mae Securities
      1.  2004-09-23 In re Fannie Mae Securities Litigation Docket
      2.  2006-08-14 Consolidated Civil Action
      3.  2006-12-12 Fannie Mae v KPMG
    - d.  In re G-Fees Antitrust Litigation
      1.  2005-08-05 Consolidated Class Action Complain
      2.  2009-06-25 Order of Dismissal
    - e.  Kellmer v. FNMA
      1.  2004-12-01 Complaint
      2.  2004-12-01 Kellmer v. FNMA Docket
    - f.  Fannie Mae Research Files Index
  4.  FHFA ROEs
    - a.  1999 Report of Examination-FNM
    - b.  2000 Report of Examination-FNM
    - c.  2001 Report of Examination-FNM
    - d.  2002 Report of Examination-FNM
    - e.  2003 Report of Examination\_Memo-FNM
    - f.  2004 Report of Examination-FNM
    - g.  2005 Report of Examination-FNM

- h.  2006 Report of Examination-FNM
- i.  2007 Report of Examination-FNM
- j.  2008 Report of Examination-FNM
- 5.  Financial Analysis
  - a.  Segment Analysis
- 6.  Research Reports
  - a.  2004-11-14 JPM-FNM-Fortune Article Critique
  - b.  2007-11-12 Citi-FNM-1Q to 3Q07
  - c.  2007-11-13 GS-FNM-1Q to 3Q07
  - d.  2008-01-22 CS-FNM-GSEs subprime exposure
  - e.  2008-02-25 FBR-FNM-Downgraded to Underperform
  - f.  2008-02-27 CITI-FNM-4Q07
  - g.  2008-02-28 GS-FNM-4Q07
  - h.  2008-03-19 GS-FNM-OFHEO lowers capital req
  - i.  2008-04-08 GS-GSEs\_Credit Remains our Concern
  - j.  2008-05-06 CS-FNM-1Q08
  - k.  2008-05-07 GS-FNM-1Q08
  - l.  2008-06-25 GS-GSE Update
  - m.  2008-07-14 GS-GSEs-Treasury assistance
  - n.  2008-08-08-CS-FNM-2Q08pt1
  - o.  2008-08-08-CS-FNM-2Q08pt2
  - p.  2008-08-08-PJ-FNM-2Q08
  - q.  2008-08-28 ML-FNM-Management Changes
  - r.  2008-09-07 CS-FNM-Government Conservatorship
  - s.  2008-09-08 ML-GSEs-Conservatorship
  - t.  2008-10-02 CS-FNM-Oct08Update
  - u.  2008-10-09 BC-FNM-Oct 08 update
  - v.  2008-11-11 CS-FNM-3Q08
  - w.  2008-11-12 FBR-3Q08
  - x.  2009-01-26 CS-FNM-4Q08
  - y.  2009-02-18 MT-FNM-Mtge plan to wipe out equity
  - z.  2009-02-27 MT-FNM-4Q08
  - aa.  2009-05-11 KBW-FNM-1Q09
  - ab.  2009-05-19 MT-FNM-1Q09
  - ac.  2009-07-02 KBW-GSEs raise refinance LTV limit to 125 from 105
  - ad.  2009-08-20 KBW-FNM-2Q09
  - ae.  2009-08-31 FBR-GSEs trade up but no fundamental value
  - af.  2009-10-19 GSE-Government Exit Strategy
- 7.  SEC Filings
  - a.  FNM 2Q2009 10Q
  - b.  FNM 3Q2009 10Q
- 8.  2005-6-27 Strategic Crossroads Presentation
- 9.  2007-3-2 Freddie Mac Business Strategy
- 10.  2008-03-19 OFHEO Press Release - re Increase Mortgage Mkt Liquidity
- 11.  2008-09-06 FHFA Fannie Mae Conservatorship Memo
- 12.  2008-09-06 FHFA Freddie Mac Conservatorship Memo
- 13.  Fannie Mae Research Files Index
- 14.  Scanned001
- 15.  Scanned001
- N.  Federal Reserve Investigative Report
  - 1.  Fed Prelim Investigative Report
  - 2.  FRB Fair Lending Referrals to DOJ -- Notes on 3 Cases
  - 3.  Greenspan -- Additional Proposed Questions
  - 4.  Greenspan -- Proposed Questions
  - 5.  Greenspan Quotes
  - 6.  Questions for Greenspan Interview

























































- 7.  Questions for Sandra Braunstein Interview
- O.  Government Assistance
  - 1.  01-13-FannieFreddie[1]
- P.  HEARING 2&3 FOLLOW-UP
  - 1.  Follow-up Letters
    - a.  2010-06-03\_Ltr to D Mudd Re Follow-Up Questions to 4-9-10 Hearing
    - b.  2010-06-03\_Ltr to R Levin Re Follow-Up Questions to 4-9-10 Hearing
    - c.  2010-06-04 Ltr to J Lockhart Re Follow-up Questions to 4-9-10 Hearing
    - d.  2010-06-09\_Ltr to A Greenspan Re Follow-Up Questions to 4-7-10 Hearing
    - e.  2010-06-09\_Ltr to Citi Re Follow-Up Questions to April 7-9 2010 Hearing
    - f.  2010-06-09\_Ltr to J Dugan OCC Re Follow-Up Questions to 4-8-10 Hearing
    - g.  2010-06-09\_Ltr to J Hawke OCC Re Follow-Up Questions to 4-8-10 Hearing
  - 2.  Responses to Follow-up
    - a.  2010-06-17\_D Mudd Response to 4-9-10 Hearing Follow-up Questions
    - b.  2010-06-17\_J Hawke Response to 4-8-10 Hearing Follow-up Questions
    - c.  2010-06-17\_R Levin Response to 4-9-10 Hearing Follow-up Questions
    - d.  2010-06-23\_A Greenspan Response to 4-7-10 Hearing Follow-up Questions
    - e.  2010-06-23\_Citi Response to April 7-9, 2010 Hearing Follow-up Questions
- Q.  Hearing Transcripts
  - 1.  2010-04-07 HEARING 2 & 3 TRANSCRIPT [DAY ONE]\_FINAL
  - 2.  2010-04-07 HEARING 2 & 3 TRANSCRIPT [DAY ONE]\_FINAL
  - 3.  2010-04-08 HEARING 2 3 TRANSCRIPT DAY TWO\_FINAL
  - 4.  2010-04-08 HEARING 2 3 TRANSCRIPT DAY TWO\_FINAL
  - 5.  2010-04-09 HEARING 2 & 3 TRANSCRIPT [DAY THREE]\_FINAL
  - 6.  2010-04-09 HEARING 2 & 3 TRANSCRIPT [DAY THREE]\_FINAL
- R.  Memoranda
  - 1.  Previous Drafts
    - a.  2010.01.12 Memo re Bondi Call with R. Brown (Citi counsel) - DRAFT
    - b.  2010.01.12 Memo re Bondi Call with R. Brown (Citi counsel) - DRAFT KD
    - c.  2010.01.15 Memo re Bondi Call with B. Karp, S. Buergel, R. Brown (Citi counsel) - DRAFT
    - d.  2010.01.20 Memo re Bondi call (briefing by Citi counsel)
    - e.  2010.01.22 Memo re Bondi Call with M. Macchioroli (SEC)
    - f.  2010.01.22 Memo re Bondi Call with S. Buergel
    - g.  2010.01.26 Memo re Bondi Call with S. Buergel
  - 2.  2009-11-23 Memo re call with G. Theobold (Miami Chief of Police) - DRAFT
  - 3.  2009-11-23 Memo re call with V. Spices of IL AG - DRAFT
  - 4.  2009-11-24 Memo re call with C. Guzman - DRAFT
  - 5.  2010-01-12 K Dubas memo re Bondi call with R Brown (Citi counsel)
  - 6.  2010-01-15 K Dubas Memo re Bondi Call with B Karp, S Buergel, R Brown (Citi counsel)
  - 7.  2010-01-19 K Dubas Memo re Bondi Call with L Morris (FTC)
  - 8.  2010-01-19 K Dubas Memo re Bondi Call with S Forstein (SEC)
  - 9.  2010-01-20 K Dubas Memo re Bondi call (briefing by Citi counsel)
  - 10.  2010-01-21 K Dubas Memo re Bondi Call with L Morris (FTC)
  - 11.  2010-01-21 K Dubas Memo re Bondi Call with S Schwab
  - 12.  2010-01-22 K Dubas Memo re Bondi Call with S Buergel
  - 13.  2010-01-26 K Dubas Memo re Bondi Call with S Buergel
  - 14.  2010-02-01 D Noonan memo re Telecon with Federal Reserve re Citigroup
  - 15.  2010-02-01 D Noonan memo re Telecon with OCC re Citigroup
  - 16.  2010-02-02 K Dubas memo re Interview with Bill Arnold (Citi)
  - 17.  2010-02-02 K Dubas memo re Interview with Janice Warne (Citi)
  - 18.  2010-02-02 K Dubas memo re Interview with Kevin Murray and Joe Forlenza (Citi)
  - 19.  2010-02-02 K Dubas memo re Interview with Matt Bollo (Citi)
  - 20.  2010-02-02 K Dubas memo re Interview with Pete Steinmetz (Citi)
  - 21.  2010-02-03 K Dubas memo re Interview with Dan Hoffman (Citi)
  - 22.  2010-02-03 K Dubas memo re Interview with Ed Abufaris and Tony Tenore (Citi)
  - 23.  2010-02-03 K Dubas memo re Interview with Fred Bolstad (Citi)

24.  2010-02-03 K Dubas memo re Interview with James Ryan and John Schachtel (Citi)
25.  2010-02-03 K Dubas memo re Interview with Phil Seares (Citi)
26.  2010-02-03 K Dubas memo re Interview with Susan Mills (Citi) 1
27.  2010-02-03 K Dubas memo re Interview with Susan Mills (Citi) 2
28.  2010-02-05 K Dubas memo re Bondi call with S Buergel and B Karp
29.  2010-02-08 memo re B Bondi call w. S Buergel (status of requests)
30.  2010-02-09 D Norman memo re SEC Citi Enforcement Team
31.  2010-02-12 M Simhai Notes on Citigroup Conference Calls 2
32.  2010-02-13 Aggregated Questions from NY Citi Interviews
33.  2010-02-16 Memo re B Bondi call with Citi (B Karp & S Buergel) re second supplementary request
34.  2010-02-17 B Bondi call with SEC (M Eichner) re broker-dealers
35.  2010-02-17 K Dubas memo re Call with Citi whistleblower (D Bowen)
36.  2010-02-23 K Dubas memo re Citi and CRA
37.  2010-02-23 K Dubas tables for Citi and CRA memo
38.  2010-02-24 D Norman memo re FINRA Citi Enforcement
39.  2010-02-24 D Norman memo re SEC Enforcement of Citi CDOs-2
40.  2010-02-24 D Norman memo re SEC Enforcment Citi SIV Team
41.  2010-02-25 Memo re B Bondi call with L Brown (Citi) re CRA REVIEWED
42.  2010-03-01 Compensation Tables for Interrogatory Response No 7
43.  2010-03-02 Memo re Testimony with Murray Barnes REVIEWED
44.  2010-03-02 Memo re Testimony with Nestor Dominguez REVIEWED
45.  2010-03-03 G Feldberg memo re interview with Hewko and Merriett (FRB) re accounting, capital
46.  2010-03-03 Memo re Testimony with Randy Barker REVIEWED
47.  2010-03-03 Team 2 Interview with Edward J. Kelly III
48.  2010-03-04 Team 2 Interview with Brian R. Leach
49.  2010-03-09 V Cunicelli memo re call with J. O'Reilly (New Century)
50.  2010-03-10 Transcript of Interview with Tom Maheras (Condensed format)
51.  2010-03-11 K Dubas memo re interview with Tom Maheras (Citi)
52.  2010-03-11 K Dubas memo re interview with Tom Maheras (Citi)
53.  2010-03-11 K Dubas memo re interview with Vikram Pandit REVIEWED
54.  2010-03-11 Transcript of Interview with Robert Rubin (condensed format)
55.  2010-03-12 K Dubas memo re Bondi call with S Buergel (clarification of interrogatories)
56.  2010-03-16 V Cunicelli memo re call with D Lipshaw (New Century)
57.  2010-03-17 K Dubas memo re interview with Chuck Prince
58.  2010-03-17 Transcript of Interview with Charles Prince (condensed version)
59.  2010-03-18 D Norman MFR re Interview with Ellen Bebe Duke REVIEWED
60.  2010-03-19 K Dubas memo re interview with 36CFR1256.56: Privacy
61.  2010-03-19 V Cunicelli Memo re Interview of R Auerbach (New Century)
62.  2010-03-22 REVIEWED MFR with Jeremy Burcham, former Ameriquest and other lenders
63.  2010-03-23 G Feldberg MFR re interview with Fed's Alvarez
64.  2010-03-26 DRAFT MFR Dan Sokolov
65.  2010-03-26 DRAFT MFR Glenn Canner
66.  2010-03-29 REVIEWED Sheila Bair, FDIC
67.  2010-03-31 K Dubas memo re Interview with Alan Greenspan
68.  2010-04-01 G Loney MFR
69.  2010-04-01 Transcript of Interview with David Bushnell (condensed version)
70.  2010-04-06 Richard Bitner Q and A from website
71.  2010-06-10 Memo re Bondi phone call with Buergel and Karp re requests
72.  FE894F73
73.  FNMA Memo Insert 1
74.  Mortgage Fraud Timeline
75.  Timeline - Fed Panel

S.  OCC
























































T.  OSHA - SOX

1.  DOC (67)

2.  DOC (67) for Complainant #2
  3.  DOC (68)
  4.  DOC (68) for Complainant #3
  5.  DOC (69)
  6.  DOC (69) for Complainant #4
  7.  DOC (70) for Complainant #5
  8.  DOC (71) (2)
  9.  DOC (71) for Complainant #1
  10.  DOC (72) for Complainant #6
  11.  DOC (73) for Complainant #7
  12.  SOX Whistleblower Complainant #2 - Name Withheld for privacy reasons - closeout recommended - no fur
  13.  SOX Whistleblower Complainant #3 - Name Withheld for privacy reasons - closeout recommended - no fur
  14.  SOX Whistleblower Complainant #4 - Name Withheld for privacy reasons - closeout recommended - no fur
  15.  SOX Whistleblower Complainant #5 - Name Withheld for privacy reasons - closeout recommended - no fur
  16.  SOX Whistleblower Complainant #6 - Name Withheld for privacy reasons - closeout recommended - no fur
  17.  SOX Whistleblower Complainant #7 - Name Withheld for privacy reasons - closeout recommended - no fur
- U.  Research
1.  CRA
    - a.  CRA and Citi Nexis Search
    - b.  CRA Binder Cover
    - c.  CRA possible data sites
    - d.  FR Neil Bhutta - Giving Credit where Credit is Due, the CRA and Mortgage Lending in LI Neighborhoods
    - e.  FR Staff Analysis of the Relationship between the CRA and the Subprime Crisis
    - f.  FRBSF & FRB Boston - Revisiting the CRA, Perspectives on the Future
    - g.  Harvard Joint Center for Housing - The 25th Anniversary of the CRA, Access to Capital in an Evolving
    - h.  NCRC - CRA Commitments
    - i.  NCRC Testimony - An Examination of Civil Rights Issues with Respect to the Mortgage Crisis 2009.03.2
    - j.  NCRC Testimony - The CRA, Thirty Years of Accomplishments 2008.02.13
    - k.  Treasury - The CRA after Financial Modernization
  2.  SEIU Big Bank Profile - Citigroup
- V.  Testimony
1.  2010-04-08 Hearing Transcript FINAL
  2.  2010-04-08 Hearing Transcript FINAL (copy)
  3.  A. Bitner Testimony
  4.  B. FCIC Testimony Dick Bowen (04-07-2010)
  5.  Bowen FCIC Testimony April 7 2010 DRAFT
  6.  C. FCIC Testimony of Patricia Lindsay (04-07-2010)
  7.  D. Susan Mills
  8.  E. Murray Barnes
  9.  F. Nestor Dominguez
  10.  FCIC Testimony of Patricia Lindsay (04-07-2010)
  11.  G. David Bushnell
  12.  H. Thomas Maheras
  13.  I. Charles Prince
  14.  J. FCIC Testimony of Robert Rubin (04-08-2010)
  15.  JBLtestimonytoFCICv3[1]
  16.  Mudd FCIC Testimony
- W.  Witness Files
1.  Bolstad, Fred
    - a.  Nexis Search
  2.  Bowen, Dick
    - a.  2005-2006 CMSI Non-Affiliated Originators
    - b.  2006-2007 Unofficial Consumer Lending Group Organization Charts
    - c.  2008-04-30 Complaint under Section 806 of SOX
    - d.  2008-09-28 Confidential WSJ Commentary
    - e.  2010-02-27 Team 2 Interview with Dick Bowen



- f. Bowen\_SOX\_Complaint\_w\_Attachment
- g. List of Citi Mortgage Securitizations
- h. SEC Bowen Complaint Part II
- i. SEC Bowen Transcript Part I
- 3. Pandit, Vikram
  - a. Nexis Search Results
- 4. Plugues, Michael
  - a. 2 Dec 2009 Telephone Interview of Michael Plugues (AHM)
- 5. Prince, Charles
  - a. Public Statements - Charles Prince
- 6. Robert Rubin
  - a. Rubin Public Statements
- 7. Warne, Janice
  - a. Warne Nexis Search
- 8. 2010-04-15 Update to Witness List
- 9. 2010-04-16 H2 Interview List Update
- 10. Citi Witnesses BB
- 11. Witness List
- X. a) Investigative Findings on Citigroup's Banking Supervisors - OCC
- Y. b) FCIC\_Investigative\_Findings\_OCC\_Preemption\_DRAFT
- Z. Commissioner follow up requests Hearing 2 panel 1-3
- AA. C-Transcript-2001-07-16T14\_00
- AB. C-Transcript-2001-12-19T13\_15
- AC. C-Transcript-2002-04-15T14\_30
- AD. C-Transcript-2002-05-21T21\_05
- AE. C-Transcript-2002-05-29T15\_00
- AF. C-Transcript-2002-07-17T14\_00
- AG. C-Transcript-2002-10-15T12\_30
- AH. C-Transcript-2002-12-09T15\_30
- AI. C-Transcript-2002-12-23T13\_00
- AJ. C-Transcript-2003-01-21T19\_00
- AK. C-Transcript-2003-01-23T15\_00
- AL. C-Transcript-2003-04-14T15\_00
- AM. C-Transcript-2003-07-14T15\_00
- AN. C-Transcript-2003-07-15T21\_00
- AO. C-Transcript-2003-07-16T13\_00
- AP. C-Transcript-2003-07-17T13\_00
- AQ. C-Transcript-2003-10-20T14\_00
- AR. C-Transcript-2003-11-10T21\_00
- AS. C-Transcript-2003-11-18T17\_00
- AT. C-Transcript-2004-01-20T17\_15
- AU. C-Transcript-2004-01-22T14\_00
- AV. C-Transcript-2004-02-10T20\_00
- AW. C-Transcript-2004-03-29T18\_00
- AX. C-Transcript-2004-04-15T14\_00
- AY. C-Transcript-2004-05-10T12\_30
- AZ. C-Transcript-2004-06-02T12\_00
- BA. C-Transcript-2004-07-15T14\_00
- BB. C-Transcript-2004-07-20T14\_00
- BC. C-Transcript-2004-10-14T12\_00
- BD. C-Transcript-2004-12-08T13\_30
- BE. C-Transcript-2005-01-20T13\_00
- BF. C-Transcript-2005-01-31T16\_00
- BG. C-Transcript-2005-02-11T13\_00
- BH. C-Transcript-2005-03-31T17\_30
- BI. C-Transcript-2005-04-15T12\_00

- BJ.  C-Transcript-2005-05-26T12\_30
- BK.  C-Transcript-2005-06-01T12\_00
- BL.  C-Transcript-2005-06-02T18\_00
- BM.  C-Transcript-2005-06-07T18\_00
- BN.  C-Transcript-2005-06-24T14\_00
- BO.  C-Transcript-2005-07-14T22\_00
- BP.  C-Transcript-2005-07-18T12\_00
- BQ.  C-Transcript-2005-07-21T13\_30
- BR.  C-Transcript-2005-10-17T12\_00
- BS.  C-Transcript-2005-12-16T13\_30
- BT.  C-Transcript-2006-01-20T13\_00
- BU.  C-Transcript-2006-01-25T15\_00
- BV.  C-Transcript-2006-02-01T17\_15
- BW.  C-Transcript-2006-04-12T16\_00
- BX.  C-Transcript-2006-04-17T12\_00
- BY.  C-Transcript-2006-06-01T13\_00
- BZ.  C-Transcript-2006-06-06T08\_30
- CA.  C-Transcript-2006-07-17T12\_00
- CB.  C-Transcript-2006-10-18T14\_00
- CC.  C-Transcript-2006-10-19T15\_00
- CD.  C-Transcript-2006-10-27T18\_00
- CE.  C-Transcript-2006-12-14T13\_30
- CF.  C-Transcript-2007-01-19T15\_00
- CG.  C-Transcript-2007-01-31T17\_45
- CH.  C-Transcript-2007-04-11T12\_00
- CI.  C-Transcript-2007-04-16T15\_30
- CJ.  C-Transcript-2007-07-20T14\_00
- CK.  C-Transcript-2007-07-27T14\_00
- CL.  C-Transcript-2007-10-01T12\_00
- CM.  C-Transcript-2007-10-15T12\_30
- CN.  C-Transcript-2007-11-05T13\_00
- CO.  C-Transcript-2007-12-11T20\_30
- CP.  C-Transcript-2008-01-15T13\_30
- CQ.  C-Transcript-2008-04-18T12\_30
- CR.  C-Transcript-2008-05-09T12\_30
- CS.  C-Transcript-2008-06-19T15\_00
- CT.  C-Transcript-2008-07-18T12\_30
- CU.  C-Transcript-2008-07-23T12\_30
- CV.  C-Transcript-2008-09-29T14\_30
- CW.  C-Transcript-2008-10-08T12\_30
- CX.  C-Transcript-2008-10-16T14\_00
- CY.  C-Transcript-2009-01-13T22\_00
- CZ.  C-Transcript-2009-01-16T13\_00
- DA.  C-Transcript-2009-02-27T13\_30
- DB.  C-Transcript-2009-04-17T12\_30
- DC.  C-Transcript-2009-05-07T22\_00
- DD.  C-Transcript-2009-06-15T16\_30
- DE.  C-Transcript-2009-07-17T15\_00
- DF.  C-Transcript-2009-10-15T15\_00
- DG.  C-Transcript-2009-10-16T11\_30
- DH.  C-Transcript-2009-11-11T13\_50
- DI.  H2 Follow up questions to Fannie Mae & OFHEO
- DJ.  Hearing 2-3 Docs Tracker
- DK.  mortgage fraud report summary insert
- DL.  OFHEO systemic risk report 2003

XXIII. Hearing 6- Derivatives

A. Articles

B. Correspondence

1. Hearing Invitations (Drafts)

- a. 2010-06-14 Hearing Invite to Martin Sullivan
- b. 2010-06-14 Hearing Invite to Robert Lewis
- c. 2010-06-15 Hearing Invite to Steven Bensinger
- d. 2010-06-18 Hearing Invite to Eric Dinallo
- e. 2010-06-18 Hearing Invite to Gary Gensler
- f. 2010-06-22 Hearing Invite to Andrew Forster
- g. 2010-06-22 Hearing Invite to Joseph Cassano
- h. 2010-06-22 Hearing Invite to Michael Greenberger
- i. 2010-06-22 Hearing Invite to Michael Masters
- j. 2010-06-22 Hearing Invite to Peter Kyle
- k. 2010-06-22 Hearing Invite to Steve Kohlhagen
- l. 2010-06-23 Hearing Invite to Clarence Lee
- m. 2010-06-23 Hearing Invite to David Broderick
- n. 2010-06-23 Hearing Invite to David Lehman
- o. 2010-06-23 Hearing Invite to David Viniar
- p. 2010-06-23 Hearing Invite to Gary Cohn
- q. 2010-06-23 Hearing Invite to John Reich
- r. 2010-06-24 Hearing Invite to Elias Habayeb

2. Hearing Invitations (Final)

- a. 2010-06-14 Hearing Invite to Martin Sullivan
- b. 2010-06-14 Hearing Invite to Robert Lewis
- c. 2010-06-15 Hearing Invite to Steven Bensinger
- d. 2010-06-18 Hearing Invite to Eric Dinallo
- e. 2010-06-18 Hearing Invite to Gary Gensler
- f. 2010-06-22 Hearing Invite to Andrew Forster
- g. 2010-06-22 Hearing Invite to Joseph Cassano
- h. 2010-06-22 Hearing Invite to Michael Greenberger
- i. 2010-06-22 Hearing Invite to Michael Masters
- j. 2010-06-22 Hearing Invite to Peter Kyle
- k. 2010-06-22 Hearing Invite to Steve Kohlhagen
- l. 2010-06-23 Hearing Invite to Clarence Lee
- m. 2010-06-23 Hearing Invite to David Broderick
- n. 2010-06-23 Hearing Invite to David Lehman
- o. 2010-06-23 Hearing Invite to David Viniar
- p. 2010-06-23 Hearing Invite to Gary Cohn
- q. 2010-06-23 Hearing Invite to John Reich
- r. 2010-06-24 Hearing Invite to Elias Habayeb

























































C. Financial Trend Analysis

























































- 1. GS Securitizations
  - a. GS Securitizations Jan 06 to present
- 2. AIG\_Trend Analysis
- 3. Goldman\_Income Statement - revised
- 4. Goldman\_Trend Analysis
- 5. GS Mortgage Trading Exposure
- 6. Moody's Reinvestment Strategy
- 7. segment descriptions

























































D. Follow-Up

























































E. Goldman

- 1. ABACUS case study
- 2. Amplification of Losses in Synthetic CDOs
- 3. Goldman Sachs RMBS, CDOs and Synthetic CDOs 12-13-06 to 12-2008
- 4. GS Synthetic CDOs Chart

5.  GS-1Q07-earnings release
  6.  GS-1Q08-earnings-releases
  7.  GS-1Q09-earnings
  8.  GS-2Q07-earnings-releases
  9.  GS-2Q08-earnings-release
  10.  GS-2Q09-earnings-release
  11.  GS-3Q07-earnings-release
  12.  GS-3Q08-earnings-press release
  13.  GS-3Q08-earnings-release
  14.  GS-3Q09-earnings-release
  15.  GS-4Q07-earnings-release
  16.  GS-4Q08-earnings-release
  17.  RMBS and CDO League Tables (2002-09)
- F.  Hearing 5 Follow-Up
1.  Follow-Up Letters
    - a.  2010-08-23 Follow-up Letter to Gary Gensler
    - b.  2010-08-23 Follow-up Letter to M Greenberger
  2.  Hearing 5 Response Grid
- G.  Material for Background Briefing Binder
1.  2010-04-09 Interview notes - Michael Greenberger - Derivatives
  2.  2010-05-14 C Seefer interview of Gary Gensler (3) (copy)
  3.  2010-05-14MFR Gary Gensler Interview
  4.  2010-05-24 Summary of Interview with S Kohlhagen
  5.  2010-06-10 MFR of Interview with Masters
  6.  2010-06-10 MFR of Interview with Pete Kyle
  7.  2010-06-15 MFR of Interview with Bob Lewis
  8.  2010-06-23 MFR of Interview with D Lehman
  9.  2010-06-23 MFR of Interview with D Lehman
  10.  2010-06-25 Draft PIR on AIG
  11.  2010-06-25 Draft PIR on Goldman Sachs
  12.  2010-06-27 Suggested Hearing Questions by Panel
  13.  7-1-2010 Collateral Call Timeline
  14.  a. Key Quotes - Gary Gensler
  15.  a. Witness Bios - AIG
  16.  a. Witness Bios - AIG and Goldman
  17.  a. Witness Bios - AIG and Goldman
  18.  a. Witness Bios - AIG and Goldman
  19.  a. Witness Bios - Experts
  20.  a. Witness Bios - Goldman Sachs
  21.  AIG Risk Management
  22.  AIG-Goldman Sachs Colateral Call Timeline & Supporting Docs
  23.  b. 2010-05-14 Transcript of Interview of Gary Gensler
  24.  Collateral Call Timeline - for the record
  25.  CONFIDENTIAL Collateral Call Timeline for internal use only
  26.  e. 2010-06-15 Summary of Interview with Robert Lewis
  27.  Habayeb quote
  28.  Key Quotes - Andrew Forster
  29.  Key Quotes - Andrew Forster
  30.  Key Quotes - Craig Broderick
  31.  Key Quotes - David Viniar
  32.  Key Quotes - Eric Dinallo
  33.  Key Quotes - Gary Cohn
  34.  Key Quotes - Joe Cassano
  35.  Key Quotes - Michael Greenberger
  36.  Key Quotes - Michael Masters
  37.  Key Quotes - Robert Lewis

























































38.  Key Quotes - Steve Bensinger
  39.  MFR of Interview with Pete Kyle
  40.  Suggested Hearing Questions
  41.  Summary of AIG 12-5-07 Statements for Hearing
- H.  Memoranda
1.  Derivatives Background Research
    - a.  18 Mar 2009 - Appendix to Transcript - House Financial Services Subcommittee Hearing re AIG
    - b.  18 Mar 2009 - E. Liddy testimony - House Financial Services Subcommittee
    - c.  18 Mar 2009 - Transcript - House Financial Services Subcommittee Hearing re AIG
    - d.  23 Oct 2008 - A. Greenspan Testimony - House Oversight Committee
    - e.  23 Oct 2008 - Transcript - House Oversight Comm Hearing (with Greenspan)
    - f.  24 Jun 2009 - Excessive Speculation in Wheat Market - Report of Permanent Subcommittee on Investigat
    - g.  28 Jul 2009 - WSJ - (CFTC Reverses Position) - Traders Blamed for Oil Spike - WSJ.com
    - h.  AIG fiduciary responsibility case 2009
    - i.  CFTC Concept Release - Fed Reg - 12 May 1998
    - j.  CFTC Press Release Concerning Over-The-Counter Derivatives Market Concept Release - 7 May 1998
    - k.  IMF - The Influence of Credit Derivatives and Structured Finance on Financial Stability - April 2006
    - l.  Investors' Working Group Report (July 2009)
    - m.  Jul 2008 - CFTC - Interim Report on Crude Oil
    - n.  mengle, cds overview
    - o.  NPR
    - p.  RE- Information Needed for Derivatives Hearing
  2.  2010-04-22 REVIEWED, Jamie Mai & Ben Hockett, Cornwall Capital
  3.  2010-04-28 Goldman Insert to Briefing Memo (copy)
  4.  2010-05-17 DRAFT H5 Goldman Memo
  5.  2010-06-10 Draft Exec Summary of AIG Investigative Report
  6.  2010-06-14 Draft Goldman Memo
  7.  2010-06-15 Draft Goldman Executive Summary Preliminary Investigative Report
  8.  2010-06-15 Exhibits to Draft Goldman Memo Summary
  9.  2010-06-20 Draft Goldman Summary Preliminary Investigative Report
  10.  2010-06-27 Risk Management Failures summary for Hearing
  11.  Draft Briefing Memo re AIG
  12.  Goldman Executive Summary Preliminary Investigative Report
  13.  Memo re Goldman's CDS Protection and other Hedges Against AIG
- I.  Organizational Charts
1.  AIG Org Chart
- J.  Witness Files
1.  Das Satyajit
    - a.  derivativestango
    - b.  risk-talesofleverage(july2009)
    - c.  sdas-biography(professional)
    - d.  Tranquillisersolutions
    - e.  wilmott-cdsquantumhedge(july2009)
    - f.  wilmott-creditcrunch-part1(jan2008)
    - g.  wilmott-creditcrunch-part1(jan2008)
    - h.  wilmott-creditcrunch-part2(march2008)
    - i.  wilmott-creditcrunch-part2(march2008)
    - j.  wilmott-creditdefaultswapmarket(may2008)
    - k.  wilmott-creditwhercreditdue2(may2007)
    - l.  wilmott-creditwhercreditdue2(may2007)
    - m.  wilmott-creditwhercreditdue1(march2007)
    - n.  wilmott-creditwhercreditdue1(march2007)
    - o.  wilmott-marktomakebelieve(January2010)
    - p.  wilmott-thecreditdefaultswapmarket-willitunravel(may2008)
  2.  Derman Emmanue;
  3.  DTCC

- a.  Position Definitions and Layout
    - b.  Transaction Definitions and Layout
  - 4.  Duffie Darrell
    - a.  Squam Lake Report\_FCIC
  - 5.  Eisman Steve
  - 6.  Gorton Gary
    - a.  Goldman\_Income Statement - revised
    - b.  Gorton1- Facts and Fantasies about Commodity Futures
    - c.  Gorton2 - The Design of Bank Loan Contracts
    - d.  Gorton3 - Banks and Derivatives
    - e.  Gorton4- Comment on Alternative Models for Clearance and Settlement
- K.  2010-06-15 DRAFT Hearing Invite to OTS JOHNREICH
- L.  2010-06-16 Transcript of Lloyd Blankfein Interview
- M.  2010-06-17 Martin Sullivan Interview
- N.  2010-06-29 Hearing Invite to OTS witness
- O.  AIG Document Request Chart
- P.  AIG-Goldman Sachs Colateral Call Timeline & Supporting Docs [FCIC]
- Q.  Draft Cohn transcript
- XXIV.  Market Risk Survey
  - A.  Broker-Dealers
    - 1.  BrokerDealer\_ScheduleA
    - 2.  BrokerDealer\_ScheduleB
  - B.  Extensions
    - 1.  Email - FCIC Survey - Lord, Abbett & Co. LLC
    - 2.  Email - Schedule B
    - 3.  FW FCIC request
    - 4.  FW FCIC Survey
    - 5.  FW Financial Crisis Inquiry Commission Industry Survey
    - 6.  FW Financial Crisis Inquiry Commission Industry Survey
    - 7.  FW Financial Crisis Inquiry Commission Survey
    - 8.  FW response to surveys
    - 9.  FW UBS
    - 10.  RE Call regarding Questionnaire
    - 11.  RE FCIC Industry Survey - BNP Paribas Securities Corporation
    - 12.  RE FCIC questionnaire sent to Lou Geser
    - 13.  RE FCIC Request of Jefferies
    - 14.  Re FCIC Request of Jefferies & Co.
    - 15.  RE FCIC Survey - BNP Paribas Securities Corp.
    - 16.  RE FCIC Survey - for ICI Money Market Mutual Fund Members
    - 17.  RE Financial Crisis Inquiry Commission Industry Survey
    - 18.  RE Financial Crisis Inquiry Commission Industry Survey- Money Market Mutual Funds Response Update
    - 19.  RE Financial Crisis Inquiry Commission Industry Survey- Money Market Mutual Funds Response Update
    - 20.  RE Oakmark Survey
    - 21.  RE Request for Extension
    - 22.  RE Response to Survey Forwarded by ICI
    - 23.  RE response to surveys
  - C.  Hedge Funds
    - 1.  Compiled Responses and Analysis
      - a.  Revised hedge fund survey calculations used in FCIC report
    - 2.  Information from MFA
      - a.  AR-Alpha March 2010 Billion Dollar Club
      - b.  Billion Dollar Club AUM as of January 1, 2010 \_AR Absolute Return - Alpha
      - c.  MFA Complete Member Roster as of 051310 1630EDT
      - d.  Microsoft Word - FCIC cover letter final
    - 3.  Anonymous Hedge Fund Survey\_previous version
    - 4.  Finalized\_HF\_Database\_chartworkbook






















5.  Finalized\_MRS\_Database\_chartworkbook
  6.  Hedge\_Fund\_Survey\_Chartbook
  7.  HedgeFunds\_ScheduleA\_Outdated
  8.  HedgeFunds\_ScheduleB\_Outdated
  9.  Market\_Risk\_Survey\_Chartbook
  10.  MRS Update
  11.  Revised Anonymous Hedge Fund Survey
- D.  Insurance Companies
1.  InsuranceCos\_ScheduleA
  2.  InsuranceCos\_ScheduleB
- E.  Mark to Market
1.  FCIC Fair Value Survey
  2.  FW-
  3.  Goldman Sachs Survey Regarding Portfolio Adjustments
  4.  Mark to Market Survey Response Spreadsheet
  5.  RE- Fair Value Interrogatories
  6.  U.S. Bancorp Response to the Financial Crisis Inquiry Commission Survey
- F.  Money Market Funds
1.  MMF\_ScheduleA
- G.  Mutual Funds
1.  Mutual Funds\_ScheduleA
  2.  Mutual Funds\_ScheduleB
- H.  Pension Funds
1.  2010-05-13 G Cohen ltr to CII re Survey
  2.  Institutional Investor Survey
- I.  Responses
1.  New Responses
  2.  Recorded in Master List
    - a.  [WARNING - MESSAGE ENCRYPTED] FCIC Survey
    - b.  BAC- Broker Dealer Schedule A
    - c.  BAC Sched B
    - d.  Barclays Sched B Cover Letter
    - e.  Barclays Sched B Leverage POC sheet
    - f.  Barclays Sched B Leverage Spreadsheet
    - g.  BNP Paribas Securities Corp. Response to FCIC Industry Survey
    - h.  BNP Paribas Securities Corp. Response to FCIC Industry Survey -- Schedule B
    - i.  Bridgeway Funds
    - j.  Bridgeway Funds\_ScheduleA (2).xlsx
    - k.  Citigroup Broker Dealer Sched A
    - l.  Citigroup Broker Dealer Sched B
    - m.  Credit Suisse Response to Broker Dealer Survey
    - n.  Credit Suisse Response to Broker Dealer Survey (copy)
    - o.  Daiwa
    - p.  Deutsche - Broker Dealer Schedule A
    - q.  Email - FCIC Schedule B Submission - Multiple Companies
    - r.  Email - FCIC Survey - Sec Lending
    - s.  Email - Financial Crisis Inquiry Commission (FCIC) - Schedule B - MetLife
    - t.  Email - Financial Crisis Inquiry Commission Survey of Financial Institutions
    - u.  Email - RE Response to FCIC Mutual Fund Industry Survey
    - v.  Email - Schedule B FCIC request
    - w.  Email - Survey
    - x.  FCIC Industry Study - Money Market Funds
    - y.  FCIC Inquiry
    - z.  FCIC Money Market Fund Survey.
    - aa.  FCIC money market inquiry
    - ab.  FCIC request


- ac.  FCIC Schedule A Submission - Multiple Companies
- ad.  FCIC Survey
- ae.  FCIC Survey
- af.  FCIC survey
- ag.  FCIC Survey
- ah.  FCIC Survey
- ai.  FCIC Survey - Schedule A
- aj.  FCIC Survey - Schedule A - Natixis Global Associates
- ak.  FCIC Survey - Schedule B
- al.  FCIC Survey of Financial Institutions
- am.  FCIC Survey Response
- an.  FCIC Survey Schedule A - Lord Abbett Family of Funds
- ao.  FCIC Survey Schedule B - Lord Abbett Family of Funds
- ap.  Fidelity data production
- aq.  Fidelity Sched A
- ar.  Financial Crisis Inquiry Commission (FCIC) - Schedule A - MetLife
- as.  Financial Crisis Inquiry Commission Industry Survey- Completed Federated Submission
- at.  Financial Crisis Inquiry Commission Industry Survey- MMF 04162010..XLSX
- au.  Financial Crisis Inquiry Commission Industry Survey- Money Market Mutual Funds
- av.  Financial Crisis Inquiry Commission Industry Survey- Money Market Mutual Funds
- aw.  Financial Crisis Inquiry Commission Mutual Fund Industry Survey
- ax.  Financial Crisis Inquiry Commission Mutual Fund Industry Survey
- ay.  Financial Crisis Inquiry Commission Mutual Fund Industry Survey
- az.  Financial Crisis Inquiry Commission Mutual Fund Industry Survey
- ba.  Financial Crisis Inquiry Commission Mutual Fund Industry Survey - First Eagle Funds
- bb.  Financial Crisis Inquiry Commission Mutual Fund Industry Survey - Schedule B
- bc.  Financial Crisis Inquiry Commission Mutual Fund Industry Survey (Saturna Capital)
- bd.  Financial Crisis Inquiry Commission Survey of Financial Institutions
- be.  Financial Crisis Inquiry Commission Survey of Financial Institutions
- bf.  Financial Crisis Inquiry Commission Survey of Financial Institutions
- bg.  Financial Crisis Inquiry Commission Survey of Financial Institutions
- bh.  Financial Crisis Inquiry Commission Survey of Financial Institutions - Schedule B
- bi.  Financial Crisis Inquiry Commission Survey of Financial Institutions- Homesteaders Life
- bj.  Financial Crisis Inquiry Commission Survey of Financial Institutions- Schedule B
- bk.  Follow up to previous questions discussions re FCIC survey pertaining to financing transactions
- bl.  FW- FCIC Industry Survey
- bm.  FW FCIC Survey Response
- bn.  FW FCIC Survey\_Pacific Advisors Fund
- bo.  FW Financial Crisis Inquiry Commission Industry Survey- MMF 04162010..XLSX
- bp.  FW Financial Crisis Inquiry Commission Industry Survey- Money Market Mutual Funds
- bq.  FW Financial Crisis Inquiry Commission Mutual Fund Industry Survey
- br.  FW Financial Crisis Inquiry Commission Mutual Fund Industry Survey
- bs.  FW Financial Crisis Inquiry Commission Mutual Fund Industry Survey
- bt.  FW Financial Crisis Inquiry Commission Mutual Fund Industry Survey
- bu.  FW Financial Crisis Inquiry Commission Mutual Fund Industry Survey
- bv.  FW Financial Crisis Inquiry Commission Mutual Fund Industry Survey
- bw.  FW Financial Crisis Inquiry Commission Survey of Financial Institutions
- bx.  FW Financial Crisis Inquiry Commission Survey of Financial Institutions
- by.  FW- Industry Survey
- bz.  FW- Mizuho Securities USA Inc.
- ca.  Fw Money Market Survey-REVISED
- cb.  FW- Response to FCIC Money Market Fund Survey
- cc.  FW- Response to FCIC Money Market Fund Survey
- cd.  Goldman Sachs Broker Survey
- ce.  Goldman Sachs US-Registered Mutual Funds, Survey Schedule A Submission



























































- cf.  Goldman Sachs US-Registered Mutual Funds, Survey Schedule B Submission
- cg.  HSBC Sched A
- ch.  HSBC Sched B
- ci.  Industry Survey
- cj.  Jeffries\_BrokerDealer\_SchedA
- ck.  Jeffries\_BrokerDealer\_SchedB
- cl.  JPM Broker Sched A Commercial Paper
- cm.  JPM Broker Sched B Leverage
- cn.  MFS's Response To Industry Survey to ICI Mutual Fund Members
- co.  MFS's Response To Industry Survey to ICI Mutual Fund Members
- cp.  MMF\_ScheduleA PFI Money Market Fund.xls
- cq.  MMF\_ScheduleA PVC Money Market Fund.xls
- cr.  Money Market Survey
- cs.  Nomura Securities International, Inc.
- ct.  Oakmark Funds' response to the FCIC's survey of non-money market mutual funds- Schedule B
- cu.  Oakmark Funds' Response to the Financial Crisis Inquiry Commission Mutual Fund Industry Survey
- cv.  Putnam Investments FCIC Survey responses
- cw.  RE- Barclays
- cx.  RE FCIC Mutual Fund Survey
- cy.  RE Financial Crisis Inquiry Commission Industry Survey- Money Market Mutual Funds
- cz.  RE Financial Crisis Inquiry Commission Industry Survey- Money Market Mutual Funds
- da.  RE- Letter from JPMorgan Chase & Co. - Password
- db.  RE- Nomura Securities International, Inc.
- dc.  RE Response to FCIC Mutual Fund Industry Survey
- dd.  RE Response to Survey Forwarded by ICI
- de.  Response
- df.  Response to FCIC survey (money market funds)
- dg.  Response to FCIC survey (non-money market fund)
- dh.  Response to Schedule A of Survey
- di.  Response to Schedule A of Survey for Money Market Funds
- dj.  Response to Schedule B of Survey
- dk.  Sch A FCIC
- dl.  sch b fcic
- dm.  Schedule A Submission
- dn.  Schedule B - Leveraged Ratios Submission
- do.  Schedule B of FCIC survey
- dp.  Schedule B Submission - Derivatives
- dq.  Survey
- dr.  Survey Information
- ds.  TCW Funds, Inc. Schedule A of FCIC Survey
- dt.  UBS Submission
- 3.  Stata
  - a.  AllResponsesCompiled
  - b.  CP
  - c.  DE
  - d.  Lev
  - e.  Repo
  - f.  SecLen
- 4.  Final Compiled Sched A - Market Risk Survey
- 5.  Response Master Sheet
- J.  Survey Interview Notes
  - 1.  FCIC-revised survey responses
    - a.  BNP Paribas Data
    - b.  Deutsche Bank Data
    - c.  Federated Data
    - d.  Fidelity Data

















































- e. Jefferies Data
- f. Legg Mason and Royce Data
- g. Morgan Stanley Data
- h. RBC Data
- i. RBS Data
- j. T Rowe Price Data
- k. UBS Data
- l. Vanguard Data
- 2. Interview Notes
  - a. Bank of America Notes
  - b. BlackRock Survey Interview
  - c. BNP Paribas Notes
  - d. Deutsche Bank Notes
  - e. Federated Notes
  - f. Fidelity Notes
  - g. Jefferies Notes
  - h. Legg Mason and Royce Funds Notes
  - i. Morgan Stanley Notes
  - j. RBC Notes
  - k. RBS Notes
  - l. T Rowe Price Notes
  - m. UBS Notes
  - n. Vanguard Notes
- K. Survey Precedents
  - 1. Dec-09 European Repo Market Survey
  - 2. ICMA European Repo Survey
- L. Contacts for Market Risk Survey
- M. Counterparty Exposure for Repurchase Agreements with Lehman
- N. Distribution Strategy
- O. Lehman Data
- P. Market Exposure Survey
- Q. Market Exposure Survey
- R. Survey Description
- S. Survey Supplements
- T. Word Version
- XXV. Hearing 5
  - A. Admin
    - 1. 2010-04-29 Derivatives Doc Request Log
  - B. Articles
    - 1. 2008-04-11 Interview Excerpts - Moody's Executives (WSJ)
    - 2. 2009-09-03 Credit Rating Agencies and Their Regulation (CRS)
    - 3. 2009-10-01 Heads Should Have Rolled at Raters - Congresswoman
    - 4. 2009-10-19 How Moody's Sold Its Ratings - And Sold Out Investors (McClatchy)
    - 5. 2010-04-02 Where Was Moody's Board When Top-Rated Bonds Blew Up (McClatchy)
    - 6. 2010-05-13 Prosecutor Asks if Banks Duped Rating Agencies (NYT)
    - 7. 2010-05-14 A Credit Union That Played With Fire (NYT)
    - 8. BIS - Recommendations for Central Counterparties - Nov 2004
    - 9. Corporate Library on Moodys
    - 10. Email - Moody's Cuts AIG Rating - WSJ.com
    - 11. Email - test
  - C. Background Binder
    - 1. Old Drafts
      - a. a. Witness Bios - The Credit Rating Agency Business Model
      - b. a. Witness Bios - The Credit Rating Agency Business Model
      - c. a. Witness Bios - The Ratings Process
      - d. a. Witness Bios - The Ratings Process OLD






















2.  2007-10-21 Confidential Presentation to Moodys Board of Directors October 2007 [HOC]
3.  2009-03-12 McCleskey Letter to SEC re Moody's
4.  2009-08-28 Memorandum from Eric Kolchinsky [released by HOC]
5.  2010-04-16 Transcript of Interview of Scott McCleskey
6.  2010-04-16 Transcript of Interview of Scott McCleskey
7.  2010-04-21 Transcript of Interview with Gary Witt
8.  2010-04-27 T Borgers MFR of Interview of Mark Froeba and Gene Phillips (copy)
9.  Additional Witness Bios
10.  Interview Highlights for H5 Hearing Witnesses
11.  Key Excerpts from FCIC Staff Interview of Roger Stein
12.  Key Quotes - Brian Clarkson
13.  Key Quotes - Eric Kolchinsky
14.  Key Quotes - Gary Witt
15.  Key Quotes - Mark Froeba
16.  Key Quotes - Nicolas Weill
17.  Key Quotes - Raymond McDaniel
18.  Key Quotes - Warren Buffett
19.  Moody's Org Chart
20.  Witness Bios - Credit Ratings and the Financial Crisis
21.  Witness Bios - The Rating Agency Business Model
22.  Witness Bios - The Ratings Process





















D.  Correspondence


1.  2010-03-10 G Cohen ltr to Moody's (S Ross) re Doc Production (copy)
2.  2010-03-10 G Cohen ltr to S& P (B Markley) re Doc Production (copy)
3.  2010-03-15 S& P (B Markley) ltr to G Cohen re Doc Request (copy)
4.  2010-03-31 B Markley (S&P) ltr to B Bondi re document production (copy)
5.  2010-04-09 S& P (B Markley) ltr to B Bondi re Doc Production (copy)
6.  2010-04-12 Draft Doc Demand to Morgan Stanley (FrontPoint Hedge Fund)
7.  2010-04-14 B Bondi ltr to SEC (M Cahn) re Moody's (copy)
8.  2010-04-14 Moodys (S Ross) ltr to G Cohen re Doc Production (copy)
9.  2010-04-15 B Bondi ltr to R Brown (Countrywide) re document request (copy)
10.  2010-04-15 B Bondi ltr to R Brown (Countrywide) re document request (copy)
11.  2010-04-16 Moody's Subpoena (copy)
12.  2010-04-19 Moody's (S Ross) ltr to G Cohen re Doc Production (copy)
13.  2010-04-21 SEC (S Forstein) ltr to T Greene re Doc Production (copy)
14.  2010-04-22 S& P (B Markley) ltr to B Bondi re Doc Production (copy)
15.  2010-04-23 Moody's (S Ross) ltr to G Cohen re Doc Production (copy)
16.  2010-04-27 BofA (R Brown) ltr to B Bondi re Doc Production (copy)
17.  2010-04-27 Moody's (S Ross) ltr to B Bondi re doc production (copy)
18.  2010-04-27 S& P (B Markley) ltr to B Bondi re Doc Production (copy)
19.  2010-04-29 B Bondi ltr to Bear (B Karp) re Doc Request (copy)
20.  2010-04-29 B Bondi ltr to Deutsche (D Gallagher) re Doc Request (copy)
21.  2010-04-29 B Bondi ltr to Lehman (H Miller) re Doc Request (copy)
22.  2010-04-29 B Bondi ltr to Merrill (R Brown) re Doc Request (copy)
23.  2010-04-29 B Bondi ltr to WaMu (B Karp) re Doc Request (copy)
24.  2010-04-29 B Bondi ltr to Wells Fargo (R Kelner) re Doc Request (copy)
25.  2010-05-03 S Ross (Moodys) ltr to B Bondi re Interrogatories (copy)
26.  2010-05-03 W Ricciardi (DB) ltr to B Bondi re doc request (copy)
27.  2010-05-05 B Bondi ltr to N Radey (Credit Suisse) re Ricciardi emails (copy)
28.  2010-05-05 B Bondi ltr to R Brown (Merrill) re Ricciardi emails (copy)
29.  2010-05-05 Moody's Subpoena
30.  2010-05-07 G Cohen ltr to PSI (E Bean) re Doc Request (copy)
31.  2010-05-07 Noel Kirnon Subpoena (copy)
32.  2010-05-07 Richard Michalek Subpoena (copy)
33.  2010-05-08 S Ross (Moodys) ltr to B Bondi re doc production 6 (copy)



































34.  2010-05-10 Merrill Lynch (R Brown) Ltr to W Edelberg re Doc Request (copy)
  35.  2010-05-10 Moody's (S Ross) ltr to G Cohen re Doc Production (copy)
  36.  2010-05-10 S Nelles (Moody's) ltr to B Bondi re doc production 7' (copy)
- E.  Credit Rating Agency Hearing Follow Up
1.  2010-09-21 Hearing 5 Follow-up Memo
  2.  CRA Hearing follow up Question 17
  3.  Credit Rating Agencies follow up questions with transcript cites
  4.  Credit Rating Agency Follow Up Letter Froeba (copy)
  5.  Credit Rating Agency Follow Up Letter Kolchinsky
  6.  Credit Rating Agency Follow Up Letter Moodys
  7.  Credit Rating Agency Follow Up Letter Witt
  8.  Credit Rating Agency Follow-Up Grid
  9.  hearing\_1\_memo\_followup\_8\_23
  10.  Kolchinsky response to hearing follow up 7-24-2010
  11.  Moodys downgrades upgrades 2005 2007
  12.  Moody's follow up Letter 9-10-10
  13.  Moody's Follow up Letter 9-3-2010
  14.  Moody's response to hearing follow up 7-30-2010
  15.  Moodys response to hearing follow up 8-17-10
  16.  Takeaways from Research and Investigation Plan
  17.  Witt response to hearing follow up 19-07-2010
- F.  Credit Rating Agency Hearings
1.  2010-04-23 PSI Credit Rating Agenices
  2.  Email - Subprime ABS CDO update
  3.  Hearing - Senate Banking Housing and Urban Affairs Committee (Sept 26 2007)
  4.  KOLCHINSKYEricFormerMoodys testimony
  5.  PSI Exhibit 98 - MD Town Hall Mtg (2007-09)
  6.  PSI Exhibits re Market Share and Bank Pressure
  7.  PSI rating agency hearing april 23
  8.  Scott McCleskey Testimony (Sept 2009)
- G.  Discovery
1.  2007-06-26 Moody's NRSRO Application
  2.  derivativestango
  3.  H5A Document Request Chart
  4.  S&P Discovery Production Log
  5.  Scanned001
  6.  sdas-biography(professional)
  7.  Tranquillisersolutions
  8.  wilmott-cdsquantumhedge(july2009)
  9.  wilmott-creditcrunch-part1(jan2008)
  10.  wilmott-creditcrunch-part2(march2008)
  11.  wilmott-creditdefaultswapmarket(may2008)
  12.  wilmott-creditwhereditisdue2(may2007)
  13.  wilmott-creditwhereditisdue1(march2007)
  14.  wilmott-thecreditdefaultswapmarket-willitunravel(may2008)
- H.  House Oversight
1.  Big3RevenueVisualforHAWStatement
  2.  Confidential\_Presentation\_to\_Moodys\_Board\_of\_Directors\_October\_2007
  3.  Credit\_Agencies\_Hearing\_HAW\_Statement\_10.22.08
  4.  Deven\_Sharma\_Written\_Statement\_10\_22\_08
  5.  Egan\_Statement
  6.  E-mail\_from\_Belinda\_Ghetti\_to\_Nicole\_Billick\_et\_al.\_December\_16\_2006
  7.  E-mail\_from\_Christopher\_Mahoney\_to\_Mark\_Almeida\_et\_al.\_November\_16\_2007
  8.  E-mail\_from\_Frank\_Raiter\_to\_Richard\_Gugliada\_et\_al.\_March\_20\_2001
  9.  E-mail\_from\_Mary\_Elizabeth\_Brennan\_to\_Moodys\_Subprime\_Working\_Group\_July\_11\_2007
  10.  E-mail\_from\_Raymond\_McDaniel\_to\_Mark\_Almeida\_July\_13\_2007

























































11.  Files for 2008
  12.  Fitch\_Rule\_XI\_2g\_Disclosure
  13.  Fons\_Ratings\_White\_Paper
  14.  Fons\_Testimony
  15.  House Oversight Committee
  16.  Instant\_Message\_Conversation\_between\_Shannon\_Mooney\_and\_Ralul\_Dilip\_Shah\_April\_5\_2007
  17.  Joynt\_Testimony
  18.  McDaniel\_Statement
  19.  Moodys\_Town\_Hall\_Transcript\_September\_2007
  20.  Oversight Committee press release on September 29,2009
  21.  RaiterTestimony
  22.  Sali\_Statement
  23.  Town\_Statement
  24.  Witness\_List
- I.  Kolchinsky Eric
1.  Exhibit 3 - Correlation for CDOs of ABS Email
- J.  MBIA
1.  Ackman - Attorney General State of New York
  2.  Ackman - Attorney General State of New York
  3.  Ackman - Attorney General State of New York June 24, 2003
  4.  Ackman - Attorney General State of New York June 24, 2003
  5.  Ackman - Attorney General State of New York June 4, 2003
  6.  Ackman - Attorney General State of New York June 4, 2003
  7.  Ackman - Attorney General State of New York March 4, 2003
  8.  Ackman - Attorney General State of New York March 4, 2003
  9.  Ackman - Attorney General State of New York May 13, 2003
  10.  Ackman - Attorney General State of New York May 13, 2003
  11.  Ackman - Attorney General State of New York May 28, 2003
  12.  Ackman - Attorney General State of New York May 28, 2003
  13.  Ackman - Securities and Exchange Commission Investigation
  14.  Ackman - Securities and Exchange Commission Investigation
  15.  Ackman - Securities and Exchange Commission Investigation - June 18, 2003
  16.  Ackman - Securities and Exchange Commission Investigation - June 18, 2003
  17.  Ackman - Securities and Exchange Commission Investigation Juner 2, 2003
  18.  Ackman - Securities and Exchange Commission Investigation Juner 2, 2003
  19.  ba\_moodysboard\_dec12\_05
  20.  ba\_moodysboard\_dec12\_05
  21.  buffett\_qa\_may2003
  22.  buffett\_qa\_may2003
  23.  Email ba\_budnick\_may2003
  24.  Email ba\_budnick\_may2003
  25.  Email ba\_sec\_dec\_07
  26.  Email ba\_sec\_dec\_07
  27.  how\_to\_save\_the\_bond\_insurers\_11.
  28.  how\_to\_save\_the\_bond\_insurers\_11.
  29.  how\_to\_save\_the\_policyholders
  30.  how\_to\_save\_the\_policyholders
  31.  is\_mbia\_triple\_a\_12.9.02
  32.  is\_mbia\_triple\_a\_12.9.02
  33.  peretz\_donaldson\_july12\_2004
  34.  peretz\_donaldson\_july12\_2004
  35.  who\_s\_holding\_the\_bag\_-\_may\_2007
  36.  who\_s\_holding\_the\_bag\_-\_may\_2007
- K.  Memoranda
1.  1. Index of H5A MFR and Transcripts
  2.  2010-02-25 MFR Michael Greenberger (Maryland Law School)

3.  2010-04-16 Team 2 Interview of Scott McCleskey (copy)
  4.  2010-04-19 Team 2 Interview of Jay Eisbruck
  5.  2010-04-20 K Dubas memo re B Bondi call with S Ross (Moody's)
  6.  2010-04-20 MFR of Interview with Josh Anderson (PIMCO)
  7.  2010-04-21 Team 2 Interview of Gary Witt (copy)
  8.  2010-04-22 Team 2 Interview of Jerome Fons (copy)
  9.  2010-04-23 MFR W. Ackman
  10.  2010-04-26 MFR of interview George O'Connell (CT AG)
  11.  2010-04-26 REVIEWED Kyle Bass, Hayman Capital
  12.  2010-04-27 Transcript of Interview with Eric Kolchinsky
  13.  2010-05-04 REVIEWED MFR for Bob Behal, Vanguard Investments senior analyst and co-head of credit research
  14.  2010-05-04 MFR for Interview with Andrew Feldstein
  15.  2010-05-04 Transcript of Interview with David Teicher
  16.  2010-05-05 REVIEWED MFR with Elise Bean and Chris Barkley, staff of Senate Permanent Subcommittee on Investigations (PSI)
  17.  2010-05-11 Transcript of Interview with Michael Kanef
  18.  2010-05-11 Transcript of Interview with Nicolas Weill
  19.  2010-05-13 MFR for Follow-up Interview Gary Witt
  20.  2010-05-18 Transcript of Interview with Yuri Yoshizawa
  21.  2010-05-20 Transcript of B Bondi Interview with Brian Clarkson
  22.  2010-05-21 Bane
  23.  2010-05-21 Transcript of Interview with Raymond McDaniel
  24.  2010-05-25 R Bachmann Memo re John Rutherford background information
  25.  2010-05-26 Key Excerpts from Interview of Roger Stein
  26.  2010-05-26 Transcript of Interview with Roger Stein
  27.  2010-05-26 Transcript of Interview with Warren Buffett
  28.  2010-05-27 MFR for Interview with Mike Schloessmann (Countrywide)
  29.  2010-05-27 T Krebs Interview of Schloessmann (Countrywide)
  30.  2010-06-15 B Bondi call with S Nelles and B Markley re subpoena
  31.  Email - moodys SEC exam jul 11 08
  32.  Goldman Memo RCH
  33.  Goldman Memo RCH2
  34.  House Oversight Committee \_ Credit Rating Agencies and the Financial Crisis 22 October 2008 and 30 Se
  35.  House Oversight Committee \_ Credit Rating Agencies and the Financial Crisis 22 October 2008 and 30 Se
  36.  Mark Froeba and Gene Phillips - Interview of April 27 2010 (3)
  37.  Moody's Compensation Quotes
  38.  Moody's Documents Emails and Sources- Public and Confidential 24 May 2010 (2)
- L.  Moody's board minutes
1.  MOODYS-FCIC-0002561
  2.  MOODYS-FCIC-0002643
  3.  MOODYS-FCIC-0002692
  4.  MOODYS-FCIC-0002773
  5.  MOODYS-FCIC-0002805
  6.  MOODYS-FCIC-0002853
  7.  MOODYS-FCIC-0002911
  8.  MOODYS-FCIC-0002932
  9.  MOODYS-FCIC-0002978
  10.  MOODYS-FCIC-0003016
  11.  MOODYS-FCIC-0003038
  12.  MOODYS-FCIC-0003044
- M.  Moody's fee schedules
1.  Moodys actual fee calculation
  2.  moodys fee issue
  3.  MOODYS-FCIC-0014788
  4.  MOODYS-FCIC-0014795
  5.  MOODYS-FCIC-0014797

6.  MOODYS-FCIC-0014799
7.  MOODYS-FCIC-0014802
8.  MOODYS-FCIC-0014804
9.  MOODYS-FCIC-0014806
10.  MOODYS-FCIC-0014808
11.  MOODYS-FCIC-0014810
12.  MOODYS-FCIC-0014812
13.  MOODYS-FCIC-0014814
14.  MOODYS-FCIC-0202317
15.  MOODYS-FCIC-0202323
16.  MOODYS-FCIC-0202329
17.  MOODYS-FCIC-0202335
18.  MOODYS-FCIC-0202339
19.  MOODYS-FCIC-0202650
20.  MOODYS-FCIC-0202658
21.  MOODYS-FCIC-0202666
22.  MOODYS-FCIC-0202672
23.  MOODYS-FCIC-0202691
24.  MOODYS-FCIC-0381647
25.  PIMCO-FCIC-000001

N.  Moody's Public Filings

1.  Moodys 10K 1998
2.  Moodys 10K 1999 issue 405
3.  Moodys 10K 2000 issue 405
4.  Moodys 10k 2001 issue 405
5.  Moodys 10k 2002
6.  Moodys 10K 2003
7.  Moodys 10K 2004
8.  Moodys 10K 2005
9.  Moodys 10K 2006
10.  Moodys 10K 2007
11.  Moodys 10K 2008
12.  Moodys 10K 2009
13.  Moodys Annual Report 2005
14.  Moodys Annual Report 2006
15.  Moodys Annual Report 2007
16.  Moodys Annual Report 2008
17.  Moodys Annual Report 2009
18.  Moodys Proxy 1999
19.  Moodys Proxy 2000
20.  Moodys proxy 2001
21.  Moodys proxy 2002
22.  Moodys proxy 2003
23.  Moodys Proxy 2004
24.  Moodys Proxy 2005
25.  Moodys Proxy 2005 additional b
26.  Moodys Proxy 2006
27.  Moodys Proxy 2007
28.  Moodys Proxy 2008
29.  Moodys Proxy 2009
30.  Moodys Proxy 2009 additional a
31.  Moodys Proxy 2009 additional b
32.  Moodys proxy 2010
33.  Moodys Proxy 2010 defa14a first
34.  Moodys Proxy 2010 defa14a second

























































35.  Moodys Q1 2003
  36.  Moodys Q1 2004
  37.  Moodys Q1 2005
  38.  Moodys Q1 2006
  39.  Moodys Q1 2007
  40.  Moodys Q1 2008
  41.  Moodys Q1 2009
  42.  Moodys Q2 2003
  43.  Moodys Q2 2004
  44.  Moodys Q2 2005
  45.  Moodys Q2 2006
  46.  Moodys q2 2007
  47.  Moodys Q2 2008
  48.  Moodys Q2 2009
  49.  Moodys Q2 2009 Amended
  50.  Moodys Q3 2003
  51.  Moodys Q3 2004
  52.  Moodys Q3 2005
  53.  Moodys Q3 2006
  54.  Moodys q3 2007
  55.  Moodys Q3 2008
  56.  Moodys Q3 2009
  57.  Moody's Rating Revenue and Financial Information
- O.  Report
1.  Report Drafts
  2.  Short List Docs
    - a.  hearing 5 doc list
    - b.  SEC\_OCIE\_FCIC\_000496 - Examination Report to Moody's Investor Services, Inc (copy)
    - c.  Short list KMD
  3.  2010-05-25 Investigative Report Rating Agencies
  4.  2010-05-25 PSR Rating Agencies
  5.  2010-05-26 Investigative Report Rating Agencies
  6.  2010-05-26 PSR Rating Agencies
  7.  2010-05-27 Investigative Report Rating Agencies
  8.  2010-05-28 Investigative Report - Rating Agencies
  9.  2010-05-28 Investigative Report - Rating Agencies v2
  10.  2010-05-28 Investigative Report Rating Agencies
  11.  2010-05-28 Investigative Report Rating Agencies v2
  12.  2010-05-28 Investigative Report Rating Agencies v3
  13.  2010-05-31 Investigative Report Rating Agencies
  14.  2010-05-31 Investigative Report Rating Agencies
  15.  2010-05-31 Moody's Financial Summary
  16.  2010-05-31 Moody's Financial Summary
  17.  2010-06-08 Investigative Report Rating Agencies CLEAN
  18.  2010-06-08 Investigative Report Rating Agencies CLEAN
  19.  2010-06-08 Investigative Report Rating Agencies REDLINED
  20.  2010-06-08 Investigative Report Rating Agencies REDLINED
  21.  Berkshire Hathaway and Warren Buffett FCIC Summary Report May 27(3)
  22.  Credit Rating Agencies RIR Draft - 2010-05-24
  23.  Credit Rating Agencies RIR Draft - 2010-05-24 v2
  24.  GSC ABS CDO 2006-2m Rating Downgrades
  25.  PSR Rating Agencies Report 05-24
  26.  Rating Agencies RIR Draft - 2010-05-24
- P.  Research
1.  Documents
    - a.  ~\$10-11-02 MFR of Interview with Neel Kashkari (Treasury)



- b.  1996-11-08 Moody's Approach to Rating Residential Mortgage Pass-Through Securities (MOODYS-FCIC-0013453)
- c.  2000 Moody's Corporation 10-K
- d.  2000-05-26 The Mixed Credit Impact of Mortgage Scoring on Mortgage-Backed Securities
- e.  2000-06-29 Mortgage Pool Performance - When Better-Than-Average Isn't
- f.  2000-09-15 Moody's Approach to Rating Multisector CDOs
- g.  2001 Moody's Corporation 10-K
- h.  2001-07-11 The Combined Use of Qualitative Analysis and Statistical Models in the Rating of Securitizations
- i.  2001-11-13 S and P - CDO Evaluator Applies Correlation and Monte Carlo Simulation to Determine Portf
- j.  2001-11-13 S & P CDO Evaluator Applies Correlation and Monte Carlo Simulation to Determine Portfolio Quality
- k.  2002 Moody's Corporation 10-K
- l.  2003 Moody's Corporation 10-K
- m.  2003-04-01 Moody's Mortgage Metrics - A Model Analysis of Residential Mortgage Pools
- n.  2003-07-29 Moody's Approach to Rating Synthetic CDOs
- o.  2004 Moody's Corporation 10-K
- p.  2004-03-17 US Subprime Mortgage Securitization Cashflow Analytics
- q.  2004-04-26 Measuring Loss Severity Rates of Defaulted RMBS - A Methodology
- r.  2004-05-17 Moody's Introduces a New Modeling Approach to Rating Synthetic CDOs
- s.  2004-09-09 Impact of Moody's Updated US Subprime Mortgage Cashflow Analytics
- t.  2004-12-01 Moody's Revises Corporate Default Correlation Assumptions for CDOs
- u.  2005 Moody's Corporation 10-K
- v.  2005-02 Moody's Structured Finance Rating Transitions - 1983-2004
- w.  2005-02-01 2004 US CDO Review - 2005 Preview - Record Activity Levels Driven by Resecuritization CDOs and CLOs
- x.  2005-04-15 US Subprime RMBS in CDOs (Fitch Special Report)
- y.  2005-05-16 An Update to Moody's Analysis of Payment Shock Risk in Sub-Prime Hybrid ARM Products
- z.  2005-06-30 Nomura US Fixed Income 2005 Mid-Year Outlook Review
- aa.  2005-07-22 Re-Set Risk Adjustment to Subprime ARM Loss Coverage - Revised
- ab.  2005-09-26 Moody's Modeling Approach to Rating Structured Finance Cash Flow CDO Transactions
- ac.  2005-12-02 Update to Subprime Residential Mortgage Securitization Assumptions
- ad.  2006 Moody's Corporation 10-K
- ae.  2006-01-11 Rating Changes in the US RMBS Market - 2005 Second Half Updates
- af.  2006-02 Moody's Structured Finance Rating Transitions - 1983-2005
- ag.  2006-02 Moody's Structured Finance Rating Transitions - 1983-2005
- ah.  2006-02-06 2005 US CDO Review - Looking Ahead to 2006 - Record Year Follows Record Year
- ai.  2006-04-19 Rating Methodology - Moody's Updates Loss Coverage Methodology and Cashflow Assumptions f
- aj.  2006-05-11 Home Equity Securitizations - Increased Potential for Early Losses
- ak.  2006-07-10 Briefing - US RMBS - Market Overview, Recent Trends, Moody's Rating Model
- al.  2006-07-24 Special Report - Rating Changes in the US RMBS Market - 2006 First Half Update
- am.  2006-09-06 Moody's Expands Moody's Mortgage Metrics to Include Subprime Residential Mortgage-Backed Securities
- an.  2006-09-07 Introducing Moody's Mortgage Metrics Subprime
- ao.  2006-10-31 The Blurring Lines between Traditional Alt-A and Traditional Subprime US Residential Mort
- ap.  2006-11-02 Moody's downgrades and confirms two certificates from one Fremont deal from 2002
- aq.  2006-11-14 Moody's has placed four classes issued by Two Fremont Home Loan Trust Deals under review for possible downgrade
- ar.  2006-11-14 Moody's has placed under review for possible downgrade one class of MASTR ABS Trust 2006-FRE2 securitization
- as.  2006-11-30 Moody's has placed under review for possible downgrade two classes of MASTR ABS Trust 200
- at.  2007 Moody's Corporation 10-K
- au.  2007-01 Moody's Structured Finance Rating Transitions - 1983-2006
- av.  2007-01-02 Subprime M3 Rollout Guidance
- aw.  2007-01-09 US RMBS - 40-Year Mortgages in Subprime RMBS
- ax.  2007-01-12 Adjustments to Loss Coverage for Aggregator Due Diligence
- ay.  2007-01-18 Early Defaults Rise in Mortgage Securitizations
- az.  2007-01-18 Moody's Early Defaults Rise in Mortgage Securitization






- ba.  2007-01-23 2006 Was Tough - Will 2007 Be Even More Challenging
- bb.  2007-01-27 2006 Review and 2007 Outlook - Home Equity ABS 2006 Was Tough - Will 2007 Be Even More Challenging
- bc.  2007-02-06 2006 US CDO Review and Outlook 2007 - Growth Redefined
- bd.  2007-02-08 Moody's Announces Pre-Sale Reports for Subprime RMBS
- be.  2007-03-07 Moody's Challenging Times for the US Subprime Mortgage Market
- bf.  2007-03-08 Further Guidelines for Large Loan Adjustments to Loss Coverage
- bg.  2007-03-15 New Approach for Setting CES Loss Coverage
- bh.  2007-03-16 Sub-Prime Mortgages - An Integrated Look into Credit Issues Today and What to Expect
- bi.  2007-03-23 The Impact of Subprime Residential Mortgage-Backed Securities on Moody's-Rated Structured
- bj.  2007-03-28 The Surveillance Process for Moody's-Rated RMBS and ABS
- bk.  2007-04-02 Closed-End Seconds - Recent Performance and Update to Methodology
- bl.  2007-04-19 Subprime Residential Mortgage Securitizations - Frequently Asked Questions
- bm.  2007-04-20 US Subprime Mortgage Market Update - April 2007
- bn.  2007-05-21 Loss Coverage Adjustment for First Lien HELOCs
- bo.  2007-05-31 First Quarter 2007 US CDO Review - Climbing the Wall of Subprime Worry
- bp.  2007-06-06 Loan Modifications in US RMBS - Frequently Asked Questions
- bq.  2007-06-08 US Subprime Mortgage Market Update - June 2007
- br.  2007-06-18 Home Equity Briefing
- bs.  2007-07-10 Moody's Downgrades 399 Subprime RMBS Issued in 2006, 32 Additional Securities Placed on R
- bt.  2007-07-10 Moody's Downgrades 399 Subprime RMBS Issued in 2006, 32 Additional Securities Placed on Review
- bu.  2007-07-24 Moody's US Subprime Mortgage Market Update - July 2007
- bv.  2007-07-27 US Subprime Mortgage Market Update - July 2007
- bw.  2007-08-02 US Subprime - Overview of Recent Refinements to Moody's Methodology - July 2007
- bx.  2007-08-21 Update to Moody's Approach to Analyzing Delinquent Loans Included in Performing Subprime
- by.  2007-08-27 Revised HELOC and CES Methodology for Determining Loss Coverage
- bz.  2007-08-30 Moody's Update on 2006 Closed-End Second Lien RMBS - Performance and Ratings Activity to
- ca.  2007-09-07 US Subprime Mortgage Market Update - August 2007
- cb.  2007-09-17 Early Defaults Rise in Mortgage Securitizations - Updated Data Show Continued Deterioration
- cc.  2007-09-20 Structured Finance CDO Ratings Surveillance Brief - August 2007
- cd.  2007-09-21 Early Defaults Rise in Mortgage Securitizations - Updated Data Show Continued Deteriorati
- ce.  2007-09-21 Moody's Subprime Mortgage Servicer Survey on Loan Modifications
- cf.  2007-09-21 Moody's Subprime Mortgage Servicer Survey on Loan Modifications
- cg.  2007-09-21 Moody's updates assumptions for Structured Finance CDOs
- ch.  2007-09-25 Moody's Proposes Enhancements to Non-Prime Securitization
- ci.  2007-10-03 Moody's US Subprime Mortgage Market Update - September 2007
- cj.  2007-10-03 US Subprime Mortgage Market Update - September 2007
- ck.  2007-10-11 2006 Moody's Vintage First-Lien Subprime RMBS Rating Activity
- cl.  2007-10-11 Moody's Downgrades \$33.4 billion of 2006 Subprime First-Lien RMBS and Affirms \$280 Billion Aaa's and Aa's
- cm.  2007-10-17 October 11 Rating Actions Related to 2006 Subprime First-Lien RMBS
- cn.  2007-10-23 Structured Finance CDO Ratings Surveillance Brief - September 2007
- co.  2007-10-26 Update on 2005 and 2006 Vintage US Subprime RMBS Rating Actions - October 2007
- cp.  2007-11-06 Subprime Aaa and B2 Loss Coverage Adjustments
- cq.  2007-11-09 Structured Finance CDO Ratings Surveillance Brief - October 2007
- cr.  2007-11-11 US Subprime Mortgage Market Update - October 2007
- cs.  2007-11-13 Guidance on Loss Coverage for Modified Loans
- ct.  2007-12-17 Structured Finance CDO Ratings Surveillance Brief - November 2007
- cu.  2008 Moody's Corporation 10-K
- cv.  2008-01-17 Structured Finance CDO Ratings Surveillance Brief - December 2007
- cw.  2008-02 Moody' Structured Finance Rating Transitions - 1983-2007
- cx.  2008-02-01 US Subprime RMBS 2005-2007 Vintage Rating Actions Update - January 2008
- cy.  2008-03-03 2008 US CDO Outlook and 2007 Review - Issuance Down in 2007 Triggered by Subprime Mortgag
- cz.  2008-04-21 Moody's Downgrades Certain Citigroup Subprime RMBS
- da.  2008-06-17 Structured Finance CDO Ratings Surveillance Brief - May 2008

- db. 2008-07 Moodys - The Performance of Structured Finance Ratings - Full-Year 2007 Report
- dc. 2008-07 Moody's Mortgage Metrics Subprime
- dd. 2008-10-15 Moody's Downgrades Certain Citigroup Subprime RMBS
- de. 2008-12-11 Moody's Updates Its Key Assumptions for Rating Structured Finance CDOs
- df. 2009 Moody's Corporation 10-K
- dg. 2009-03 Moody's Structured Finance Rating Transitions - 1983-2008
- dh. 2009-04-29 US Subprime RMBS 2005-2007 Vintage Rating Action Update - April 2009
- di. 2009-08 Moody's - The Performance of Structured Finance Ratings - Full-Year 2008 Report
- dj. 2010-01-13 Moody's updates loss projections for US Subprime RMBS issued in 2005-2007
- dk. 2010-03 Moody's Structured Finance Rating Transitions - 1983-2009
- dl. 2010-08-19 Moodys - US Commercial Real Estate Prices Decline 4 Percent, Repeat Sales Volume Up
- dm. 2010-08-19 Moodys REAL Commercial Property Price Indices, August 2010
- dn. 2010-11-16 Kim Shafer emails with Ann Rutledge (R& R Consulting) re CDO ratings
- do. 2010-12-20 Moodys - US Commercial Real Estate Prices Rise 1.3 Percent in October
- dp. 2010-12-21 Moodys REAL Commercial Property Price Indices, December 2010
- 2. Moody's 10-K Description of MIS Revenues
- 3. Moody's Corporation Stock Price
- Q. Risk Metrics Moodys Berkshire
  - 1. ISS\_BerkshireHathaway\_2005
  - 2. ISS\_BerkshireHathaway\_2006
  - 3. ISS\_BerkshireHathaway\_2007
  - 4. ISS\_BerkshireHathaway\_2008
  - 5. ISS\_Moodys\_2005
  - 6. ISS\_Moodys\_2006
  - 7. ISS\_Moodys\_2007
  - 8. ISS\_Moodys\_2008
  - 9. Risk Metrics Moodys Berkshire
- R. Witness Files
  - 1. Ackman Bill
    - a. Ackman - Is MBIA Triple A - 9 Dec 2002
    - b. Ackman - Letter on Open Source Research (January 30, 2008)
    - c. Ackman - Who's Holding the Bag (Presentation at Ira Sohn Conference, May 2007)
  - 2. Bill May
    - a. Bill May
  - 3. Kanef Michael
    - a. Hearing - Senate Banking Housing and Urban Affairs Committee (Sept 26 2007)
    - b. Michael Kanef - background
  - 4. McClesky Scott
    - a. 2010-02-18 Letter from Scott McClesky
  - 5. Michalek Richard
    - a. 2010-05-13 Michalek telephone interview
    - b. MICHALEK Richard FormerMoodys
  - 6. Rob Smith Florida SBA
    - a. Florida Local Gov Pool Invest Guidelines 07
  - 7. Warren Buffett Moody's Holdings
    - a. Buffett Management Role
    - b. Buffett Share Repurchase Example
    - c. OBH Holding of MCOandDNB
    - d. Warren Buffett Moody's Holdings
  - 8. Clarkson compensation
  - 9. Gary Witt Interview summary
  - 10. H5A Additions to Witness List
  - 11. Hearing questions Nicolas Weill
  - 12. Moody potential witness list
  - 13. Nancy Newcomb MFR
  - 14. Vanguard Mabel Yu Interview Questions
  - 15. Warren Buffett - Moody's Background Articles

- 16.  Weill summary comments
- S.  Witness questions
  - 1.  H5A Follow Up Questions
  - 2.  McDaniel questions
- T.  18 Mar 2009 - Appendix to Transcript - House Financial Services Subcommittee Hearing re AIG
- U.  18 Mar 2009 - E. Liddy testimony - House Financial Services Subcommittee
- V.  18 Mar 2009 - Transcript - House Financial Services Subcommittee Hearing re AIG
- W.  2010-04-28 Goldman Insert to Briefing Memo
- X.  23 Oct 2008 - A. Greenspan Testimony - House Oversight Committee
- Y.  23 Oct 2008 - Transcript - House Oversight Comm Hearing (with Greenspan)
- Z.  24 Jun 2009 - Excessive Speculation in Wheat Market - Report of Permanent Subcommittee on Investigat
- AA.  28 Jul 2009 - WSJ - (CFTC Reverses Position) - Traders Blamed for Oil Spike - WSJ.com
- AB.  CFTC Concept Release - Fed Reg - 12 May 1998
- AC.  CFTC Press Release Concerning Over-The-Counter Derivatives Market Concept Release - 7 May 1998
- AD.  Credit Rating Agencies follow up questions with transcript cites (copy)
- AE.  Documents to be made public
- AF.  Jul 2008 - CFTC - Interim Report on Crude Oil
- AG.  Moodys Hearing transcript not final
- AH.  moodys SEC exam jul 11 08001
- AI.  NPR
- AJ.  OUT OF DATE Berkshire Hathaway and Warren Buffett FCIC Summary Report May 27
- AK.  Warren Buffett Questions for H5 June 2, 2010
- XXVI.  Library
  - A.  2010-04-27 Senate Financial Services Hearing
    - 1.  birnbaum.statement
    - 2.  blankfein.testimony
    - 3.  Dan Sparks
    - 4.  STMTBRODERICKCriag
    - 5.  swenso.testimony
    - 6.  tourre.testimony
    - 7.  viniar.testimony
  - B.  8-6-2010 Docs Fr FCIC 8-5-10 Interview (Clayton)
    - 1.  EXH 1 to MA OAG TO SEC LTR DTD 8-2-10
    - 2.  EXH 2 to MA OAG TO SEC LTR DTD 8-2-10
    - 3.  EXH 3 to MA OAG TO SEC LTR DTD 8-2-10
    - 4.  EXH 4 to MA OAG TO SEC LTR DTD 8-2-10
    - 5.  MASSACHUETTES AG LTR DTD 8-2-10 TO SEC
  - C.  Congressional Research Service Reports on Crisis
    - 1.  CRS Alt Mortgs - Causes and Policy Implications - 10-8-08
    - 2.  CRS Alt Mortgs - Risks to Consumers - 12-27-06
    - 3.  CRS Asset Bubbles Economic Effects and Policy Options for the Federal Reserve - 7-25-07
    - 4.  CRS Averting the Financial Crisis - Updated 3-21-08
    - 5.  CRS Averting the Financial Crisis - updated 3-21-08
    - 6.  CRS Bear Stearns - Crisis and Rescue for a Major Prvider of Mortg-Related Products - 3-26-08
    - 7.  CRS Causes of the Financial Crisis - 1-29-09
    - 8.  CRS Containing the Financial Crisis - Updated 11-24-08
    - 9.  CRS Credit Rating Agencies and Their Regulation - 10-30-09
    - 10.  CRS Economic of a Mortgage Foreclosure Moratorium - Updated 9-12-08
    - 11.  CRS Fannie Mae and Freddie Mac in Conservatorship - 9-15-08
    - 12.  CRS Financial Crisis - The Liquidity Crunch of August 2007 - 9-21-07
    - 13.  CRS Financial Market Turmoil and U.S.Macroeconomic Performance - 6-9-09
    - 14.  CRS Financial Turmoil - Federal Reserve Policy Responses - 7-10-09
    - 15.  CRS Financial Turmoil - Federal Reserve Policy Responses pt2 - 7-10-09
    - 16.  CRS Global Financial Crisis - Analysis and Policy Implications - 10-2-09
    - 17.  CRS Global Financial Crisis - Analysis and Policy Implications - 4-3-09
    - 18.  CRS Govt Interventions in Financial Markets t - 3-25-08

19.  CRS Proposal to Allow Treasury to Buy Mortg-Related Assets - 9-22-08
  20.  CRS Proposals for a Congressional Commission - 4-29-09
  21.  CRS Securitization and Federal Regulation of Mortgages for Safety and Soundness - 9-17-087
  22.  CRS Subprime Mortgs - Primer on Current Lending and Foreclosures Issues 3-19-07
- D.  Library Admin
1.  CRS Vol I, II Index
  2.  LBI Index
- E.  OCC Ludwig-Rushton interview library
1.  AB article re industry opposition to hybrid guidance 5 07
  2.  AB article re more industry opposition to hybrid guidance 5 07
  3.  abcp\_Jan04 - Fin 46R Bank 1
  4.  ACBP - full text SR 05-13
  5.  dugan, fcic testimony
  6.  Eugene Ludwig Campaign Contributions
  7.  Eugene Ludwig on GSEs and systemic risk 2001
  8.  final guidance on nontrad mortgage products 2006
  9.  fnma systemic risk 2003b
  10.  Hawke Jr. - 2000 - OCC Interpretive Letter #892
  11.  Ludwig - Enron Crash analysis at Yale, 2002 (video panel discussion)
  12.  Ludwig American Banker columns
  13.  Ludwig BIO
  14.  Ludwig congressional testimony
  15.  Ludwig Mixed News Clips-Transcripts
  16.  Ludwig NYT clips
  17.  Murphy - 2004 - Preemption of State Law for National Banks and The
  18.  Nance - 2008 - The OCC's Exclusive Visitorial Authority over Nati
  19.  Natl Bank Act 24(seventh)
  20.  NMN article re industry comments on agency nontraditional guidance 4 06
  21.  Omarova - 2009 - The Quiet Metamorphosis How Derivatives Changed t
  22.  OTS 1996 preemption -- fed register
  23.  residual asset rule - 2001 Fed register
  24.  S and P on MA and GA predatory lending laws
  25.  Sec industry discussion of 2001 residual rule
  26.  Subprime Guidance -- bank regulators
- F.  31 Oct 08 - WSJ - Behind AIG's Fall, Risk Models Failed to Pass Real-World Test
- G.  ABA Task Force on Causes of Financial Crisis
- H.  ABA Task Force on Reg Reform - Recommendations re Banking Organization Support for Affiliated Funds
- I.  ABA Task Force on Reg Reform - Recommendations re Procyclical Accting Policies
- J.  ABA Task Force on Reg Reform - Recommendations re Resolutions of Financial Institutions
- K.  ABA Task Force on Reg Reform - Recommendations to Mitigate Systemic Risks
- L.  Economist magazine report on financial risk 02-2010
- M.  Email - 31 Oct 08 - WSJ - Behind AIG's Fall Risk Models Failed to Pass Real-World Test.pdf
- N.  Email - AG Cuomo suing BofA over failure to disclose losses
- O.  Email - Dow Jones Story
- P.  Email - FCIC Press Clips - Sunday-Monday
- Q.  Email - FW- Krugman on Canada withstanding the crisis
- R.  Email - Goldman Gives Junior Bankers A Huge Raise!
- S.  Email - William Cohan of NYT blog
- T.  Email - WSJ Blog by John McKinnon
- U.  Senate Investigations subcom WAMU hearings 04-13-2010 Exhibits

XXVII.  TEMP




























- A.  Credit Rating Agencies follow up questions with transcript cites
- B.  Credit Rating Agency Follow Up Letter Kolchinsky
- C.  Credit Rating Agency Follow Up Letter Kolchinsky (copy)
- D.  Credit Rating Agency Follow Up Letter Moodys
- E.  Credit Rating Agency Follow Up Letter Witt

























































- F. Credit Rating Agency Follow-Up Grid
  - G. Kolchinsky response to hearing follow up 7-24-2010
  - H. Moody's response to hearing follow up 7-30-2010
  - I. Moodys response to hearing follow up 8-17-10
  - J. raymond mcdaniel
  - K. Takeaways from Research and Investigation Plan
  - L. Witt response to hearing follow up 19-07-2010
- XXVIII. Excess Risk & Speculation
- A. Countrywide Investigation
    - 1. Countrywide Litigation
      - a. Footbridge v Countrywide
        - 1. 2009-04-23 Footbridge v Countrywide Docket
        - 2. 2009-08-04 Footbridge v Countrywide Second Amended Complaint
      - b. Heath White v Countrywide
        - 1. 2007-09-19 Heath White v Countrywide
        - 2. 2008-07-24 California v Countrywide terminated 3 months later
        - 3. Heath White v Countrywide Second Amended Class Action Complaint
      - c. In Re Countrywide Mortgage Marketing and Sales
        - 1. 2008-07-25 California v Countrywide
        - 2. 2008-10-16 Illinois v Countrywide
        - 3. 2008-7-24 Illinois v Countrywide
        - 4. First Amended Consolidated Class Action Complaint
        - 5. In Re Countrywide Financial Corp Mortgage Marketing and Sales Practices
      - d. In Re Countrywide Securities Litigation
        - 1. 2007-08-14 Pappas v Countrywide
        - 2. 2009-06-04 SEC v Mozilo
        - 3. In re Countrywide Financial Securities Litigation Second Consolidated Amended Complaint
      - e. Jatras v Countrywide
      - f. Rosales v Mortgage Store
        - 1. 2009-02-09 Rosales v Mortgage Store
        - 2. Second Amended Complaint
      - g. SRM v Countrywide
        - 1. 2009-05-29 SRM v Countrywide
        - 2. 2009-06-24 Jatras v BofA
        - 3. Complaint
    - 2. Data for memo
      - a. 2007 Countrywide Exec Compensation
      - b. select financial data (2)
    - 3. Countrywide Investigation - interview tracking sheet
    - 4. Draft Countrywide PIR
    - 5. Draft CW PIR - Old Doc - Use Current Version
    - 6. Fannie Mae & Countrywide Relationship-23-03-2010
  - B. Merrill Lynch
    - 1. Comp Chart and Proxies
      - a. Merrill 2004 Proxy
      - b. Merrill 2005 Proxy
      - c. Merrill 2006 Proxy
      - d. Merrill 2007 Proxy
      - e. Merrill 2008 Proxy
      - f. Merrill Comp Chart
    - 2. Merrill Articles
      - a. 2006-09-05 Bloomberg - Merrill Lynch to Buy National City's Mortgage Unit
      - b. 2006-09-06 FT - Merrill Lynch Increases Mortgage Portfolio
      - c. 2006-12-07 Bloomberg - Ownit Mortgage, Part-Owned by Merrill, Shuts Down this Week
      - d. 2006-12-30 LA Times - Ownit seek bankruptcy protection
      - e. 2007-01-03 LA Times - Demise of Ownit Mortgage hits home
      - f. 2007-01-26 NYT - Tremors at the Door

- g. 2007-02-21 CNN Money - Merrill Lynch's subprime exposure could slow acquisitions
- h. 2007-05-08 NYT - East Coast Money Lent Out West
- i. 2007-08-16 Reuters - Merrill Lynch's painful lesson in subprime
- j. 2007-09-17 Bloomberg - Merrill Cuts Jobs at First Franklin Mortgage Business
- k. 2008-09-15 LA Times - Lehman was big, early supporter of subprime
- l. 2010-08-26 ProPublica - Banks' Self-Dealing Super-Charged Financial Crisis
- 3. Sample CDO materials
  - a. Biltmore CDO 2007-1
  - b. Biltmore CDO 2007-1 Letter
  - c. Forge ABS High Grade CDO Flipbook
  - d. Forge ABS High Grade CDO letter
  - e. Mantoloking CDO Flipbook
  - f. Mantoloking CDO Letter
  - g. South Coast Funding Flipbook
  - h. South Coast Funding Letter
- 4. 2010-09-01 Notes from SEC Call re CDO Investigations & Merrill
- 5. Draft Merrill Lynch PIR
- 6. Insert to Merrill PIR RE Charts for CDO Performance
- 7. Merrill 2006 Board minutes review
- 8. Merrill 2007 Board minutes Review
- 9. Merrill 2008 Board minutes review
- 10. Merrill Request Chart
- 11. Merrill Selected Financial Data & Leverage Metrics Charts
- 12. ML Draft Org Chart
- XXIX. Whistleblowers Public Respondents
  - A. Cohen email with Rutherford & CII letters
  - B. Cohen Interview notes 1
  - C. Dost Interview 1
  - D. Dost subprime complaint
  - E. Dost subprime complaint 2
- XXX. Research
  - A. Admin and Planning
    - 1. 2010-08-19 work plan
    - 2. Action Plan 20100614
    - 3. Interesting Firms
  - B. Contacts and Instructions
    - 1. administrative\_information
    - 2. Do Not USE Commissioner Instructions for Accessing Reading Lists in NetDocuments
    - 3. FCIC Staff Guide to the Research Library
    - 4. fcic\_staff\_roster
    - 5. Full FCIC Contact List
    - 6. NetDocuments Quick Reference
    - 7. PSR - Template
    - 8. Research File System Instructions
    - 9. Staff Instructions for Accessing Reading Lists in Netdocuments
  - C. Data and Charts
    - 1. 4 Economic Crisis
      - a. Credit Crunch
        - 1. Bernanke\_Lowen-Credit Crunch- 1991
        - 2. Clair-Whats a Crunch-1993
        - 3. Credit\_Sep\_Oct1992
        - 4. EXCRESNS
        - 5. Owens- ID Crunches-1993
        - 6. St Fed Crunch of 1966\_Sep1969
        - 7. St Fed- Horse to Water
        - 8. Valencia-Crunches-2008

9. Whalen-Crunch Minsky Moment- 2007
10. What is a Credit Crunch
- b. International Economic Impact
  1. acharya\_schnabl
  2. Chronology Economic Financial Crisis
  3. Claessens\_etal\_economic\_policy(2009).pdf
  4. Country Case Studies\_v5\_ANM\_08.12.10
  5. Credit\_Lost\_-\_summary\_of\_the\_report\_118-206545
  6. Cross\_border\_banking\_and\_the\_international\_transmission\_of\_financial\_distress
  7. EU Commission Financial Crisis Report
  8. ifdp994
  9. IMF\_2010
  10. kamin\_pounder
  11. Spain Dynamic Provisioning and Other Tools\_BBVA
  12. Spain Dynamic Provisioning\_World Bank
  13. The Irish Banking Crisis Regulatory and Financial Stability Policy 2003-2008 (2)
  14. turner\_review
- c. affordability
- d. Almeida\_etal\_NBER(2010)
- e. campello\_jfe(2010)
- f. Cecchetti\_etal\_NBER(2010)
- g. Corporate\_Bonds
- h. Duchin\_etal\_JFE(2010)
- i. FDIC Failed Banks
- j. FRBP-Coincident State Indicator
- k. Ivashina\_scharfstein\_JFE(2010)
- l. Unemployment rate
2. Credit Rating Agencies
  - a. CDO Market Share by ABAAlert
  - b. RMBS\_correlation\_upg\_dng\_v2
  - c. Upg\_dng\_US\_33\_RMBS
3. Derivatives
  - a. CDOs
    1. ABAAlert - All ABS deal level data
    2. AK - FCIC LehmanLive 2010 Update
    3. AK - FCIC LehmanLive Original
    4. AK - Open Source Model v 1
    5. Bloomberg - Issuance level CDO 2001 to 2010 in USD
    6. RE- CM Alert
    7. US synthetic ABS CDO - Thomson Reuters
  - b. Credit Derivatives PSR
    1. ABX charts for Kim
    2. AIG exposures in Citi data
    3. CLEANED CITI DATA
    4. derivatives mangle tables
    5. Synthetic CDO Diagram
4. Monolines
  - a. Individual Monoline Yearly Reports
    1. Reinsurers
      - a. ACEGuarantyRelnc 2001
      - b. ACEGuarantyRelnc 2002
      - c. ACEGuarantyRelnc 2003
      - d. RadianGuarantyInc - 2003
      - e. RadianGuarantyInc - 2004
      - f. RadianGuarantyInc - 2006
      - g. RadianGuarantyInc - 2007






















































- h.  RadianGuarantyInc - 2008
- i.  XLCapitalGroup 2003
- j.  XLCapitalGroup 2004
- k.  XLCapitalGroup 2005
- l.  XLCapitalGroup 2006
- m.  XLCapitalGroup 2007
- n.  XLCapitalGroup 2008
- 2.  Ambac - 2005 Analysis S& P
- 3.  Ambac - 2006 Analysis S& P
- 4.  Ambac - 2007 Analysis S& P
- 5.  Ambac - 2008 Analysis S& P
- 6.  Ambac - Aug 2003 Analysis
- 7.  Ambac - Aug 2004 Analysis
- 8.  Ambac - Aug 2004 Analysis S& P
- 9.  Ambac - Aug 2009 Analysis
- 10.  Ambac - Aug 2010 Analysis
- 11.  Ambac - July 2003
- 12.  Ambac - Sep 2007 CDS Spreads widen
- 13.  CIFG Analysis - 2003
- 14.  CIFG Analysis - 2004
- 15.  CIFG Analysis - 2005
- 16.  CIFG Analysis - 2005 S& P
- 17.  CIFG Analysis - 2006 S& P
- 18.  CIFG Analysis - 2007
- 19.  CIFG Analysis - 2007 S& P
- 20.  CIFG Analysis - 2008
- 21.  CIFG Analysis - 2009 S& P
- 22.  FGIC - Analysis 2005
- 23.  FGIC - Analysis 2008
- 24.  FGIC - Nov 2008 Wrapping
- 25.  MBIA Analysis - 2003
- 26.  MBIA Analysis - 2004
- 27.  MBIA Analysis - 2004 S& P
- 28.  MBIA Analysis - 2005
- 29.  MBIA Analysis - 2006
- 30.  MBIA Analysis - 2007
- 31.  MBIA Analysis - 2008
- 32.  MBIA Analysis - 2009
- 33.  MBIA Analysis - 2009 S& P
- 34.  MBIA Corp Gov Update - 2005
- b.  End of the Industry - 2002
- c.  Financial Guarantor CDO Exposure - 2003
- d.  Industry Review 2009
- 5.  Shadow Banking
  - a.  Moodys ABCP Program Index
    - 1.  Moodys ABCP program index as of 30 Jun 2007
    - 2.  Moodys ABCP program index as of 30 Sep 2007
    - 3.  Moodys ABCP program index as of 31 Mar 2007
  - b.  3M TED Spread
  - c.  ABCP Conduit Figure
  - d.  CraneData - Money Fund Intelligence - MMF family rankings
  - e.  Financial Intermediation Figure
  - f.  FRB CP volumes chart
  - g.  FRB CP yields chart
  - h.  FRB Z1 - Financial Assets Chart
  - i.  FRB\_CP Outstandings

- j.  FRB\_G20 - Finance Companies Chart
- k.  FRB\_Z1
- l.  FRBNY Overnight and Term Repo Chart
- m.  ICI Money Market Fund Levels
- n.  ISFL - Hedge Funds
- o.  Sent to George Hodak
  - 1.  3M TED Spread
  - 2.  CraneData - Money Fund Intelligence - MMF family rankings
  - 3.  FRB CP volumes chart
  - 4.  FRB CP yields chart
  - 5.  FRB Z1 - Financial Assets Chart
  - 6.  FRB\_CP Outstandings
  - 7.  FRB\_G20 - Finance Companies Chart
  - 8.  FRBNY Overnight and Term Repo Chart
  - 9.  ICI Money Market Fund Levels
  - 10.  ISFL - Hedge Funds
  - 11.  PSR\_-\_Shadow\_Banking\_0429
- p.  Zoltan diagram
- q.  Zoltan diagram - revised
- 6.  Subprime Lending and Securitization
  - a.  Bank Supervision and Regulation
    - 1.  Other fact checking
      - a.  2008 FDIC AR
      - b.  2008 Fed AR Excerpt
      - c.  2009 OCC AR
      - d.  2009 OTS AR
    - 2.  Unused and Duplicates
      - a.  Data for Tables 1 and 3 in Bank Sup PSR
    - 3.  2008 FBI Mortgage Fraud Report
    - 4.  Data for Tables 1 and 3 in Bank Sup PSR
    - 5.  FDIC ILCs for Fed PSR
    - 6.  FinCEN Mortgage Fraud Chart
    - 7.  PSR on Fed - Figure 2
    - 8.  Supervision Diagram for Fed PSR
  - b.  GSEs
    - 1.  fannie\_5\_yr\_credit\_spreads over\_treasury
    - 2.  fannie\_freddie\_assets\_and\_mortgage\_backed\_securities
    - 3.  fannie\_freddie\_mkt\_capitalization
    - 4.  fannie\_freddie\_new\_business\_as\_share\_of\_originations
    - 5.  fannie\_freddie\_total\_assets\_and\_mbs\_outstanding
    - 6.  fannie\_mae\_comparative\_returns (bloomberg)
    - 7.  fannie\_mae\_cram\_data
    - 8.  fannie\_single\_family\_cumulative\_default\_rate
    - 9.  freddie\_mac\_comparative\_returns (bloomberg)
    - 10.  GSE Swap Diagram for Stanton
    - 11.  gse\_actual\_low\_and\_mod\_income\_goal\_performance
    - 12.  gse\_single\_family\_credit\_guaranty\_business
  - c.  Securitization
    - 1.  Lehman - who holds residential credit risk (original copy)
    - 2.  Lehman who holds private label MBS
    - 3.  origination diagrams
    - 4.  PSR on securitization data
    - 5.  top\_gse\_bank\_thrift\_investors\_in\_non\_agency\_mbs\_in\_2007
    - 6.  top\_non\_agency\_mbs\_sponsors\_in\_2006
    - 7.  z1\_data\_holders\_of\_mbs\_cdo\_debt (psr -securitization)
  - d.  US Mortgage Markets and CRA






















































1. data\_used\_in\_psr
  - a. homeownership\_rates\_for\_US\_and\_regions
  - b. house\_price\_appreciation\_by\_selected\_states (FHFA)
  - c. inflation\_adjusted\_home\_prices (shiller)
  - d. nominal\_home\_prices (S&P-FHFA-FirstAm)
  - e. originations\_of\_mortgages (IMF)
  - f. sand\_state\_foreclosure\_delinquency\_data (MBA\_NDS)
2. Copy of Sand State Data from NDS
3. fcic\_nonagency\_rxb
4. FHA\_VA\_90\_FCInv\_SD
5. HMDA\_originations 1990-2008
6. house\_price\_appreciation\_by\_selected\_states
7. IMF\_originations
8. IMF\_originations
9. main\_fed\_housing
10. prices\_intl\_House price indices (nominal and real+average)
11. prime\_servicing\_data\_rxb
12. Sand State Data from NDS with Graphs Sheet 2
13. Sand State Data from NDS with Graphs Sheet 2\_v2
14. subprime\_mortgage\_originations\_by\_yr\_of\_ornation\_and\_product\_type
- e. Copy of Foreclosures started for FCIC
- f. mayer\_international\_house\_prices
7. affordability
8. US Housing Starts
- D. Drafts
  1. Credit Rating Agencies
    - a. Plans
      1. Credit Rating Agencies Reading List
      2. FCIC\_Hearings\_H5A\_Credit Rating Agencies RIP
      3. FCIC\_Hearings\_H5A\_Credit Rating Agencies RIP - 2010-03-02 am
      4. FCIC\_Hearings\_H5A\_Credit Rating Agencies RIP - 3\_02 10pm
      5. FCIC\_Hearings\_H5A\_Credit Rating Agencies RIP - 3\_03
      6. FCIC\_Hearings\_H5A\_Credit Rating Agencies RIP - 3\_03
      7. FCIC\_Hearings\_H5A\_Credit Rating Agencies RIP - 3\_03 with tracked changes
      8. FCIC\_Hearings\_H5A\_Credit Rating Agencies RIP - 3\_09
      9. FCIC\_Hearings\_H5A\_Credit Rating Agencies RIP - 3\_11
      10. FCIC\_Hearings\_H5A\_Credit Rating Agencies RIP 3 02
      11. H5 outline 2 24
    - b. Preliminary Staff Reports
      1. RA RIR outline 508
  2. Derivatives
    - a. Plans
      1. FCIC\_Hearings\_H5\_Derivatives RIP
      2. Research Outline
    - b. Preliminary Staff Reports
      1. Derivatives plan staff report and research
      2. Derivatives plan staff report and research - 0429
      3. derivatives psr (part I & II)
      4. Derivatives PSR B - 613
      5. Derivatives PSR B - 615
      6. Derivatives PSR B - Credit Deriv, esp Mtgg Related
      7. derivatives\_overview\_psr\_6 25
      8. derivatives\_psr\_6 17
      9. derivatives\_psr\_credit derivatives - Jun 15 - wme 6 16 ghf 6 16 clean
      10. derivatives\_psr\_credit derivatives - Jun 17- kls
      11. derivatives\_psr\_credit derivatives - Jun 20

12. derivatives\_psr\_credit derivatives - Jun 21 clean
13. derivatives\_psr\_credit derivatives - Jun 25
14. derivatives\_psr\_credit derivatives - Jun 25 800 am
15. derivatives\_psr\_credit derivatives - Jun 25 800 am
16. PSR - Derivatives and the Financial Crisis
17. PSR - Derivatives and the Mortgage Crisis
3. Excess Risk and Speculation
  - a. ERS Research and Investigative Plan\_2010-06-24
  - b. ESR Research and Investigative Plan
  - c. ESR Research and Investigative Plan 0522
4. Governance
5. Hearing 1
6. Macroeconomic Factors
  - a. Plans
    1. macroeconomic research plan
  - b. Preliminary Staff Reports
    1. Draft Reading List for Macro
7. Magic
  - a. 2007-04 New Century Financial Counterparties
  - b. CMLTI 2006 NC2 Performance Moodys June 30, 2010
  - c. cmlti\_2006\_nc2\_prospectus
  - d. FNM Term Sheet for A1
  - e. magic\_data\_description
  - f. Magic\_loans\_summary
  - g. Pages from CITI-FCIC 00110379-00112160
8. Magic - Final Doc Set
  - a. August 2006 Loan sale to Citi corr
  - b. Broker info - cmlt\_loans\_matched\_Oct28 (no bates-see e-mail)
  - c. Broker info e-mail
  - d. Citi August 29 2010 Loan Sale
  - e. cmlti\_2006\_nc2\_prospectus (Bloomberg-no bates)
  - f. DD Accepts (CITI-FCIC 00122232)
  - g. DD Additional (CITI-FCIC 00112161)
  - h. DD Rejects(CITI-FCIC 00122231)
  - i. Fannie Term Sheet (FM-FCIC-2\_00004763.pdf)
  - j. Guaranty - Executed
  - k. JPMC Sec Lending (2010-11-16 JPMorgan (E Goldstein) ltr to C Seefer re Doc Production)
  - l. Mexx Investors (Letter 11 4 2010 (2))
  - m. Moody's Invoice (MOODY5-FCIC-0394813-0394814)
  - n. Morgan 2nd Amended & Restated Guaranty
  - o. Morgan Master Loan and Security Agreement
  - p. Pooling and Svcing Agreement (CITI-FCIC 00110379-00112160)
  - q. S& P Rating Docs (S& P-FCIC 0017730-0018495)
  - r. Senior Investors (Citi Letter 10 14 2010 (2))
9. Shadow Banking
  - a. Plans
    1. H4 outline
    2. H4 outline 2 12
    3. H4 outline 2 12
    4. SB Development Doc
    5. Shadow Banking RIP and hearing draft
    6. Shadow Banking RIP wme-1
    7. Shadow Banking RIP wme-1
    8. shadow\_banking\_reading\_list
    9. Shadow\_Banking\_RIP\_and\_hearing\_draft
    10. Shadow\_Banking\_RIP\_and\_hearing\_draft 03-04

11. Shadow\_Banking\_RIP\_and\_hearing\_draft 03-04
12. Shadow\_Banking\_RIP\_and\_hearing\_draft 03-09kls
13. Shadow\_Banking\_RIP\_and\_hearing\_draft 03-11
14. Shadow\_Banking\_RIP\_and\_hearing\_draft 03-11 wme
15. Shadow\_Banking\_RIP\_v2
- b. Preliminary Staff Reports
  1. PSR - Shadow Banking
  2. PSR - Shadow Banking\_0417
  3. PSR\_-\_Shadow\_Banking\_0417\_2
  4. PSR\_-\_Shadow\_Banking\_0421
  5. PSR\_-\_Shadow\_Banking\_0426
  6. PSR\_-\_Shadow\_Banking\_0428
  7. Shadow Banking PSR Outline
10. Subprime Lending and Securitization
  - a. Plans
  - b. Preliminary Staff Reports
  - c. [Untitled]
  - d. [Untitled]
  - e. AAA\_Reading\_List\_Housing
  - f. AAA\_Reading\_List\_Housing\_primary
  - g. Fannie Freddie execs knew of risks WSJ 12-2008
  - h. Fannie ignored risks 08-2008
  - i. Fannie Mae 6 theories
  - j. FHFA selected historical data on Fannie Mae to 2008
  - k. housing outline v3
  - l. housing\_bib\_v1
  - m. housing\_bib\_v2
  - n. housing\_bib\_v3
  - o. housing\_outline\_v2
  - p. mort compare
  - q. overview\_--\_fannie\_mae
  - r. PSR - Recent Experience in US Mortgage Market 3 29
  - s. PSR The Role of the FR in BS& R
  - t. PSR The Role of the FR in BSR version 5 - inc mortgage ghf
  - u. PSR The Role of the FR in BSR version 5 - inc mortgage ghf wme
  - v. PSR, CRA
  - w. PSR, Fed regulation of housing
  - x. PSR, Recent Experience in US Mortgage Market
  - y. PSR, Recent Experience in US Mortgage Market 3 27
  - z. PSR, Securitization and the Financial Crisis
  - aa. Staff report, Secondary market
  - ab. Staff report, Secondary market, with extra material on agency MBS
  - ac. Wendy Note -- Tom, Ron and Shaista, please read
11. TBTF
  - a. TBTF Research and Investigative Plan
  - b. TBTF Research and Investigative Plan\_2010-06-24
- E. Interview Notes
  1. Background Interviews
    - a. Derivatives
      1. 2010-03-16 Interview notes - FRBNY Lubke - Background sources
    - b. Shadow Banking
      1. 2010-03-25 Interview notes - FRBNY Coffey - Background sources
      2. 2010-03-26 Interview notes - FRBNY Peters and Davis - Background sources
  2. MFRs
    - a. 2010-03-30 G Feldberg MFR re interview with Pat Parkinson
    - b. 2010-03-31 G Feldberg MFR re interview with Norah Barger

- c.  2010-4-14 REVIEWED Jane D'Arista
- 3.  Interview with Sean Dobson - 28 Apr 2010
- F.  Library Inbox
  - 1.  Data
    - a.  Data Update 1
    - b.  Excel file
  - 2.  Emails
    - a.  (no subject)
    - b.  2001 rule -- rating agencies and capital standards
    - c.  Article re Home Owners Loan Corporation
    - d.  Background information on efforts to stem foreclosures
    - e.  Background information on efforts to stem foreclosures
    - f.  catching up from PIIE
    - g.  DATA!!!!!!!!
    - h.  FW-
    - i.  FW-
    - j.  Fw-
    - k.  Fw-
    - l.  Fw- August 9, 2007
    - m.  FW- Bank Failures and Suspensions in the US
    - n.  FW- Blog List
    - o.  Fw- Certainly worth looking into-similar to the Goldman scam
    - p.  FW- CFA Documents
    - q.  Fw- Credit Rating Agencies
    - r.  FW- electronic introduction
    - s.  FW- Fannie and Countrywide engagement plan
    - t.  FW- Fannie Request letter and indicies
    - u.  Fw- FAS 157
    - v.  FW- Financial Crisis Panel
    - w.  FW- Financial Institution Self-Assessments
    - x.  FW- Follow up
    - y.  FW- Freddie emails and indicies
    - z.  FW- From Mitch- 'A Race to the Bottom'- Assigning Responsibility for the Financial Crisis
    - aa.  Fw- From Mitch- Causes of the Financial Crisis
    - ab.  Fw- From Mitch- Understanding the Credit Crisis of 2007 to 2008
    - ac.  FW- FT Jan 16 -- US regulators subpoena big banks over CDOs
    - ad.  Fw- Fwd- Brad, Year-End Foreclosure Report released
    - ae.  Fw- GAO Question
    - af.  FW- GAO Question
    - ag.  FW- Initial recommended list of former Regulators, FBI and Industry Experts for informal phone inter
    - ah.  FW- Letter & Corporate Governance, by Robert Monks
    - ai.  FW- Losses from Subprime and Alt-A mortgages
    - aj.  FW- More stuff from Lehman
    - ak.  Fw- must read -- note the key phrase -- banks intermediate the trade deficit
      - al.  Fw- must read from Simon Johnson on the structure of the financial system and the need for meaningfu
    - am.  Fw- NCRC Subprime studies
    - an.  FW- Paper re Management and the Financial Crisis
    - ao.  Fw- Papers and data on housing
    - ap.  FW- Sample Timeline Graph
    - aq.  FW- SEC Charges State Street for Misleading Investors About Subprime Mortgage Investments
    - ar.  Fw- Short Paper on Basel I and II
    - as.  Fw- Special Report on AIG Cover-Up
    - at.  FW- Strongin Reports- GSCO
    - au.  FW- Testimony before the Commission
    - av.  FW- Triggers memo with exhibits
    - aw.  Fw- US Burry Story Betting against Subprime 10

- ax.  FW- Willen Report (Federal Reserve Bank of Boston)
- ay.  Fw- WSJ- Examiner- Lehman Torpedoed Lehman ...300 pages alone to balance-sheet manipulation...Repo 1
- az.  FW- WSJ.com - Prosecutors Are Poised to Impanel AIG Grand Jury
- ba.  FW- Yale economist paper on crisis (including role of otc derivatives)
- bb.  Fwd- #21 Kubik 300 190 0483 (Financial Package-Complaint) Follow Up
- bc.  Fwd- A washingtonpost.com article from- geegc@aol.com
- bd.  Fwd- CRA studies and testimony
- be.  Fwd- Edward Pinto Memorandum
- bf.  Fwd- Meeting with Huff Post
- bg.  Fwd- Regulatory failure and the case for the CFPA
- bh.  FYI -- a banker's view of banks and mortgages
  - bi.  GAO & SSG Reports
  - bj.  GAO report on nonprime mortgages
- bk.  Geithner's NY Fed told AIG to keep quiet
  - bl.  Greenspan Concedes That the Fed Failed to Gauge the Bubble
- bm.  Greenspan paper - being presented tomorrow
- bn.  Harvard Student Good Paper
- bo.  Hearing 1 - press clips
- bp.  I would hope the report does a little comparative analysis
- bq.  Interesting
- br.  Interesting Reading
- bs.  Interview notes - ex-Fed source
- bt.  It was good to talk -- following up
- bu.  Jim Cramer article re who the FCIC should investigate
- bv.  Josh Rosner memo
- bw.  JPMorgan, Citigroup Helped Cause Lehman's Collapse (Update5)
- bx.  Krugman NYTimes.com- An Irish Mirror
- by.  Krugman Slaps the Deficit Commission
- bz.  Krugman's Blog on Bernanke's speech
- ca.  Losses from Subprime and Alt-A mortgages
- cb.  MBA's 2010 Priorities and Economic Forecast
- cc.  MBA's New Forbearance Proposal, etc.
- cd.  Monday afternoon
- ce.  Mtg with Board research staff
- cf.  Notes on And then the roof caved in
- cg.  NYT and Reuters- Poll Shows Vast Majority for More Wall St. Regulation
- ch.  NYTimes.com- A New Chapter for Bankruptcy
- ci.  NYTimes.com- G.E., a Giant of Lending, Is Dragged Down Along With Banks
- cj.  NYTimes.com- Greenspan Concedes That the Fed Failed to Gauge the Bubble
- ck.  OCC and MBS
  - cl.  One more
- cm.  Papers and data on housing
- cn.  PIMCO article on Minsky and Shadow Banking
- co.  Pinto Triggers memo
- cp.  Potential interviewee on derivatives
- cq.  Potentially really interesting data re- Keith's four groups
- cr.  preliminary staff report- securitization
- cs.  Press Clips 1.14.10
- ct.  RE-
- cu.  RE- 2001 rule -- rating agencies and capital standards
- cv.  RE- accounting standards for depositories and bank holding companies for capital purposes
- cw.  RE- Article re- Subprime Panic
- cx.  Re- could i chat with you briefly
- cy.  RE- CRA materials
- cz.  RE- crisis economic background

- da.  RE- Elvis Goddard (CitiFinancial) and the Subprime Lenders
- db.  RE- Enforcement H1.2
- dc.  Re- FCIC
- dd.  RE- FCIC Evening Clips
- de.  RE- FCIC Forum - follow up
- df.  RE- FCIC- two items related to the recent forum
- dg.  RE- Freddie Mac memo and hypotheses on F&F behavior
- dh.  RE- Freddie Mac memo and hypotheses on F&F behavior
- di.  RE- Freddie Mac memo and hypotheses on F&F behavior
- dj.  RE- Fwd-
- dk.  Re- Hey
- dl.  RE- Ken Rosen final testimony
- dm.  RE- Losses
- dn.  Re- nudging
- do.  Re- research
- dp.  RE- Response to Pinto
- dq.  RE- Some Questions
- dr.  Report Details How Lehman Hid Its Woes as It Collapsed
- ds.  Republican Reform Comparison Chart
- dt.  Shadow Banking
- du.  Supply elasticity and the housing price cycle of the 2000s
- dv.  the financial crisis, systemic risk, and fiscal harm
- dw.  Tweet from- @GregMitch
- 3.  Kept Duplicates
  - a.  Calomiris - Banking Crises and the Rules of the Game - Nov 2009
  - b.  Kroszner - Making Markets More Robust - 30 Apr 2009
- 4.  Special Link in Casemap
  - a.  Email - Financial Crisis Panel
- 5.  Suspected Duplicates
  - a.  Anatomy of a Housing Boom 10-2-07
  - b.  Barth Riseandfallexcerpt 2009
  - c.  Calomiris - Committee on Oversight and Government Reform - 9 Dec 2008
  - d.  Calomiris-RulesoftheGamen November2009
  - e.  Calomiris-What's old and new
  - f.  Canner memo to Board fall 08
  - g.  Carmen\_Reinhart\_presentation
  - h.  CRA Commitments 07
  - i.  Enron Rerun Kolb 20090715
  - j.  FCIC\_Articles\_Why Didn't Canada's Housing Market Go Bust\_Economic Commentary\_Fed Reserve Bank of Cle
  - k.  FCIC\_Articles\_Why Didn't Canada's Housing Market Go Bust\_Economic Commentary\_Fed Reserve Bank of Cle
  - l.  FCIC\_Articles\_Why Didn't Canada's Housing Market Go Bust\_Economic Commentary\_Fed Reserve Bank of Cle
  - m.  FCIC\_Gorton\_Invisible Hand 2009
  - n.  Jaffee 2009 TheFutureRoleOfFannieMaeAndFreddi\_preview
  - o.  JaffeeGSEAtlanta
  - p.  JSF\_Winter\_2009\_Rosner
  - q.  JSF\_Winter\_2009\_Rosner
  - r.  Margot Saunders NCLC 2003
  - s.  National Community Reinv Coalition rept 2007
  - t.  Op-ed on F&F and the Financial Crisis (WSJ 12-09)
  - u.  Refuting Criticisms to CRA
  - v.  Shadow Bankruptcy Published pdf
  - w.  Shadow Bankruptcy Published pdf
  - x.  shadow\_banking\_map



















- y. Stiglitz article on Fannie and Freddie 2002
- z. Tanta -- reflections on Alt-A
- 6. Unsortable
  - a. wendy\_email\_dump
    - 1. ABA Report on Existing Powers of Bank Regulators
    - 2. an opportunity for more light airplane reading
    - 3. FW- (BN) Lehman Justice Isn't Blind, It's Unconscious- Jonathan Weil
    - 4. Gretchen Morgenson series
    - 5. NYT Article- Citigroup Has Reached a Deal to Repay U.S. Bailout Funds
    - 6. people impacted by subprime
  - b. ATT00084
  - c. ATT00087
  - d. ATT00090
  - e. ATT00093
  - f. ATT00096
  - g. ATT00203
- 7. Withheld from Research Library
  - a. (no subject)
  - b. Allen Rittenhouse - Resumes of CFA Institute Experts
  - c. CRA materials
  - d. Re- some papers cited at the hearings
  - e. Responses from panelists
- 8. (no subject)
- 9. 0108\_broken\_branch\_binder\_mann
- 10. AIG fiduciary responsibility case 2009
- 11. Amherst Securities SEC presentation 02-23-2010
- 12. Another contact
- 13. BRKannualltr-2009
- 14. CFA Institute--Follow-up
- 15. CFA\_Boston\_OCT\_2007\_final
- 16. derivatives in the press
- 17. Email - Article from Vanity Fair for Housing and Derivatives Working Groupd staff
- 18. Email - Article from Vanity Fair for Housing and Derivatives Working Groupd staff
- 19. Email - attached Amherst Securities presentation from February FYI
- 20. Email - cds papers
- 21. Email - follow up from arturo cifuentes
- 22. Email - FW- follow up from arturo cifuentes - 1 of 2
- 23. Email - FW- Information Needed for Derivatives Hearing
- 24. Email - greenspan transcript
- 25. Email - H5 AIG and Goldman To Do List
- 26. Email - H5 AIG and Goldman To Do List
- 27. Email - H5 AIG and Goldman To Do List
- 28. Email - reading list for derivatives
- 29. Email - Reports
- 30. Email - Requested materials to follow
- 31. Email - Requested materials to follow
- 32. Email - Requested materials to follow
- 33. Email - Re-report on government's capacity to respond to the br
- 34. Email - research
- 35. Email - Responses from panelists
- 36. Email - Robert Litan's Report on Derivatives
- 37. Email - Scanned from a Xerox multifunction device
- 38. Email - Systemic Risk Article - Professor Schwarcz
- 39. Email - Test
- 40. Email - William Cohan on why Bear should have been left to tank
- 41. Email - worthwhile article + greetings

42.  Email - Writings on housing issue
43.  Email - WSJ article on Fannie and Freddie
44.  FCIC Briefing Paper
45.  FCIC- Hearing One Followup
46.  Federal Reserve - SR 06-15 -Interagency Guidance on Nontraditional Mortgage Product Risks - 10 Octob
47.  Federal Reserve - SR 07-1 -Interagency Guidance on Concentrations in Commercial Real Estate - 4Janua
48.  Federal Reserve - SR 07-12 -Statement on Subprime Mortgage Lending - 24 July 2007
49.  Federal Reserve - SR 07-5 -Interagency Statement on Sound Practices Concerning Elevated Risk Complex
50.  FW- Book references
51.  FW- Draft
52.  Fw- FCIC forum
53.  FW- Financial Turmoil Timeline
54.  Fwd- Housing Red Flags Ignored (A Pretty Explicit Warning in 2005-06 JC)
55.  Fwd- THE QUANTS- How a New Breed of Math Whizzes Conquered Wall Street and Nearly Destroyed It
56.  Gensler
57.  Housing Reading List (zip file)
58.  IMN\_September\_2006
59.  JEP special issue on the financial crisis
60.  Jo of Struc Finance Article
61.  koppell gsas
62.  Lewis-AIG
63.  link as promised -- look forward to chatting in a few
64.  Link to Dinallo
65.  link to Turner
66.  MANUFACTURING TAIL RISK-
67.  mengle, cds overview
68.  names and ideas
69.  NYU\_CFA\_NOV\_2005[1]
70.  osu\_114
71.  R40877[1]
72.  RE- Confidential Commissioner Communication- Reading list for housing
73.  Re- Financial crisis inquiry commission
74.  RE- financial crisis inquiry commission
75.  Re- Gretchen Morgenson series
76.  Re- Gse cap requirements
77.  Re- Introductions
78.  RE- Ken Rosen final testimony
79.  RE- Ken Rosen final testimony
80.  Re- research
81.  Refuting Criticisms to CRA
82.  S&P - Revised Methodology And Assumptions For Assessing ALM Risk In CB Dec 09
83.  Scanned001
84.  SEC - Summary Report of IssuesIdentified in the Commission Staff's Examinations of Select CRAs - Jul
85.  sec17
86.  sec21
87.  Senior Supervisors Group - RiskManagement Lessons from the Global Banking Crisis of 2008 - 21 Octobe
88.  Senior Supervisors Group -Observations on Risk Management Practices during the Recent MarketTurbulen
89.  Shadow Banking- What It Is, How it Broke, and How to Fix It Atlantic Monthly
90.  Significant document document on housing policy
91.  split chapters
92.  Systemic Risk Through Securitization - Week of 9.21.09
93.  Task Force on Tri-Party RepoInfrastructure - Progress Report - 22 December 2009
94.  textchap1721
95.  The HUD perspective on GSEs and the goals (2006)
96.  Turner Review - A regulatoryresponse to the financial crisis - March 2009

























































- 97. US\_Senate\_Banking\_Cmt
- 98. what data do we need for March 30
- 99. whatmakesgreatboards-sonnenfeld
- G. Staff Notes
  - 1. Research call 2 10
  - 2. Research team conference call 20100210
- H. Staff Outlines and Reports
  - 1. Credit Rating Agencies
    - a. Plans
      - 1. FCIC\_Hearings\_H5A\_Credit Rating Agencies RIP
      - 2. Questions for Moodys Investigation
    - b. Preliminary Staff Reports
      - 1. RA RIR outline
  - 2. Derivatives
    - a. Plans
      - 1. derivatives draft R and I plan 3 03
      - 2. derivatives draft R and I plan 3 12
      - 3. RIP - Derivatives - Hearing 5b - 4 14
    - b. Preliminary Staff Reports
  - 3. Governance
  - 4. Hearing 1
  - 5. Macroeconomic Factors
    - a. Plans
    - b. Preliminary Staff Reports
    - c. macroeconomic research plan 3 23
  - 6. Public Commentary on PSRs
    - a. RE- Financial Crisis Inquiry Commission Industry Survey- Completed Federated Submission
  - 7. Shadow Banking
    - a. Plans
      - 1. RIP and Hearing Draft - Shadow\_Banking
    - b. Preliminary Staff Reports
      - 1. PSR\_-\_Shadow\_Banking\_0504
      - 2. PSR\_-\_Shadow\_Banking\_0504\_final
  - 8. Subprime Lending and Securitization
    - a. Plans
      - 1. Housing\_Briefing\_Plan
      - 2. RIP - Housing
    - b. Preliminary Staff Reports
      - 1. glossary
      - 2. PSR - CRA and the Mortgage Crisis
      - 3. PSR - GSEs and the Financial Crisis
      - 4. PSR - Role of Federal Reserve in Bank Supervision and Regulation
      - 5. PSR - Securitization and the Mortgage Crisis
      - 6. PSR - The Mortgage Crisis

























































XXXI. Horizontals

- A. Capital
  - 1. Treasury - Principles for Reforming the US and International Regulatory Capital Framework for Bankin
  - 2. Walter - Basel II and Revisions to the Capital Requirements Directive - May 2010
- B. Housing
  - 1. Library
    - a. 2007-03-07 FDIC Cease and Desist Order to Fremont Investment and Loan
- C. Models
  - 1. Crouhy - Presentation\_role\_of\_risk\_models = 25 Sep 2008
  - 2. Danielson - The Emperor Has No Clothes - 2000
  - 3. Honohan - Bank Failures - The Limits of Risk Modeling - Oct 2008
  - 4. Hull - paper\_securities\_spillovers - Sep 2008
- D. Securitization
  - 1. Library

- a.  2003-02 Systemic Risk Fannie Mae Freddie Mac and the Role of OFHEO
  - b.  2006-03 A Frankel - Prime or Not So Prime - An Exploration of US Housing Finance in the New Century
  - c.  2006-09-13 Consumer Mortgage Coalition Comments on OFHEO Strategic Plan
  - d.  2007-02-15 J Rosner and J Mason - How Resilient Are MBS to CDO Market Disruptions
  - e.  2007-07-26 J Rosner - Financial Services Exposures to Subprime - Why We Are Not 'Seeing Red'
  - f.  2008-05-16 Lessons Learned from Mortgage Market Turmoil
  - g.  2008-07-21 A Primer on the Secondary Mortgage Market
  - h.  2009-06 A Brief Examination of Previous House Price Declines
  - i.  2009-07 Redefaults Self-Cures and Securitization
  - j.  2009-07-07 Recourse and Residential Mortgage Default
  - k.  2009-07-28 GAO - Characteristics and Performance of Nonprime Mortgages
  - l.  2009-08-30 Taking the Lie Out of Liar Loans
  - m.  2009-09 Liar's Loans - Effects of Origination Channel and Information Falsification
  - n.  2009-09-22 Securitization and Mortgage Default - Reputation vs Adverse Selection
  - o.  2009-10 FHFA Default Risk Evaluation in the SF Mortgage Market
  - p.  2010-04-12 Permissible Securities Activities of Commercial Banks Under Glass-Steagall and GLBA
  - q.  Appraisals, Automated Valuation Models, and Mortgage Default
- E.  Supervision and Regulation
- 1.  Library
    - a.  CRS Reports
      - 1.  1999-12-16 CRS Report - Major Financial Services Legislation - GLBA
      - 2.  2005-04-27 CRS Report - Affiliates in Banking, Finance, and Commerce - Development and Regulatory Ba
      - 3.  2006-08-29 CRS Report - Mergers and Consolidation Between Banking and Financial Services Firms
      - 4.  2008-12-31 CRS Report - The US Financial Crisis, The Global Dimension with Implications for US Polic
      - 5.  2010-02-04 CRS Report - Financial Market Supervision, European Perspectives
    - b.  IG Reports
      - 1.  2008-11-12 DNI OIG - Critical Intelligence Community Management Challenges
      - 2.  2009-04-01 House Committee on Intelligence Hearing on 'Management Issues in the Intelligence Communi
      - 3.  FDIC and Treasury IG - Evaluation of Fed Reg Oversight of WaMu Bank (2010-04)
      - 4.  FDIC HUD IGs - Taylor Bean Whitaker Chairman Indicted (2010-06-16)
      - 5.  FDIC IG - Material Loss Review of Colonial Bank, Montgomery AL (2010-04)
      - 6.  FDIC IG - Material Loss Review of First Priority Bank, Bradenton FL (2009-02)
      - 7.  FDIC IG - Material Loss Review of Security Savings Bank, Henderson NV (2009-09)
      - 8.  FDIC IG - Material Loss Review of The Community Bank, Loganville GA (2009-08)
      - 9.  FDIC IG - PSI Testimony re Supervision of WaMu Bank (2010-04-16)
      - 10.  FDIC IG - The FDIC's Role in the Monitoring of IndyMac Bank (2009-08)
      - 11.  FHFA Default Risk Evaluation Report (2009-10-30)
      - 12.  FRB IG - Semiannual Report to Congress (April 2007 - September 2007)
      - 13.  FRB IG - Semiannual Report to Congress (April 2008 - September 2008)
      - 14.  FRB IG - Semiannual Report to Congress (April 2009 - September 2009)
      - 15.  FRB IG - Semiannual Report to Congress (October 2007 - March 2008)
      - 16.  FRB IG - Semiannual Report to Congress (October 2008 - March 2009)
      - 17.  HUD IG - Fraud, MBS, and Ginnie Mae (Spring-Summer 2008)
      - 18.  OTS IG - Material Loss Review of Downey S&L (2009-06-15)
      - 19.  OTS IG - Material Loss Review of IndyMac Bank (2009-02-26)
      - 20.  SEC IG - SEC's Oversight of Bear Stearns and Related Entities - Broker-Dealer Risk Assessment Progra
      - 21.  SEC IG - SEC's Oversight of Bear Stearns and Related Entities - The CSE Program
      - 22.  SEC IG - Semi-Annual Report to Congress (April 2007 - September 2007)
      - 23.  SEC IG - Semi-Annual Report to Congress (April 2008 - September 2008)
      - 24.  SEC IG - Semi-Annual Report to Congress (April 2009 - September 2009)
      - 25.  SEC IG - Semi-Annual Report to Congress (October 2007 - March 2008)
      - 26.  SEC IG - Semi-Annual Report to Congress (October 2009 - March 2010)
      - 27.  SEC IG - The SEC's Role Regarding and Oversight of NRSROs (2009-08-27)







- c.  Responses to Treasury Survey
  - 1.  2009-07 Supervision and Regulation Questionnaire
  - 2.  2010-06-21 Supervisors Follow-up
  - 3.  FDIC - Response to PWG Questionnaire on Supervision (2009-07-16)
  - 4.  FHFA - Response to PWG Questionnaire on Supervision (2009-07)
  - 5.  FRB - Response to PWG Questionnaire on Supervision (2009-08-14)
  - 6.  OCC - Response to PWG Questionnaire on Supervision (2009-08-11)
  - 7.  OTS - Response to PWG Questionnaire on Supervision (2009-08-11)
  - 8.  SEC - Response to PWG Questionnaire on Supervision (2009-07-16)
- d.  2010-04-21 Who Regulates Whom - An Overview of U.S. Financial Supervision
- e.  Borrowers Betrayed - Ex-Convicts Active in Mortgage Fraud (Miami Herald)
- f.  Borrowers Betrayed - Thousands with Criminal Records Work Unlicensed as Loan Originators (Miami Hera)
- g.  Federal Agencies - Interagency Guidance on Concentrations in Commercial Real Estate (2006-12-12)
- h.  Federal Agencies - Interagency Guidance on Nontraditional Mortgage Product Risks (2006-09-29)
- i.  Federal Agencies - Interagency Statement on Sound Practices Concerning Elevated Risk Complex Structu
- j.  Federal Agencies - Statement on Subprime Lending - Summer 2007
- k.  FRB - Bank Holding Company Rating System (2004-12)
- l.  FRB - Commercial Bank Examination Manual
- m.  FRB - Spillenkothen - Observations on the Performance of Prudential Supervision (2010-05-31)
- n.  FRBNY - Financial Holding Company Project (2008-01-25)
- o.  FRBNY - Observations on the Role of Supervision in the Current Financial Crisis (2008-07)
- p.  FSA - The Turner Review - A Regulatory Response to the Financial Crisis (2009-03)
- q.  GAO - Agencies Engaged in Consolidated Supervision Can Strengthen Measurement, Collaboration (2007)
- r.  GAO - Financial Regulation - Industry Trends Continue to Challenge the Federal Regulatory Structure
- s.  GAO - Review of Regulators Oversight of Risk Management Systems (2009-03-18)
- t.  Macroprudential Supervision of Financial Institutions - Lessons from the SCAP (NYFRB)
- u.  Maid-Turned-Realtor Ran Vegas Mortgage Scam, Prosecutors Say (Bloomberg)
- v.  NY State Insurance Dept - 1997 Regulation of CDS
- w.  NY State Insurance Dept - 1999 Regulation of CDS
- x.  NY State Insurance Dept - 2000 Regulation of CDS
- y.  NY State Insurance Dept - 2008 History of Regulation of CDS
- z.  OTS - Testimony on Insurance S&L Holding Companies (2010-03-18)
- aa.  Senior Supervisors - Risk Management Lessons from the Global Banking Crisis of 2008 (2009-10-21)
- ab.  The Fundamental Principles of Financial Regulation (Wharton)
- ac.  The Irish Banking Crisis Regulatory and Financial Stability Policy 2003-2008
- ad.  Treasury - Blueprint for a Modernized Financial Regulatory Structure (2008-03)
- ae.  Treasury - Financial Regulatory Reform, A New Foundation - Rebuilding Supervision and Reg (2009-06)
- af.  Treasury 2009-10-09 - Supervision Study
- 2.  2006 FDIC Banking Review - The US Federal Financial Regulation
- 3.  Banking Institutions and Their Regulators
- 4.  New Landscape, New Challenges - Structural Change and Regulation in the US Financial Sector (IMF)
- 5.  Scope of Supervision and Regulation Investigation
- XXXII.  Hedge Fund Survey
  - A.  List of Hedge Funds by AUM
- XXXIII.  Stata
  - A.  Jun-14-2010-backup
- XXXIV.  IT
  - A.  updates
  - B.  test
- XXXV.  Casemap
  - A.  FCIC
  - B.  The Financial Crisis
  - C.  The Financial Crisis - old bkp
- XXXVI.  Hearing 1
  - A.  Agency Reports
    - 1.  2008-9-25 SEC OIG Rept - Oversight of Bear CSE Program

2.  2008-9-25 SEC OIG Rept - Oversight of Bear CSE Program
  3.  2008-9-25 SEC OIG Rept - Oversight of Bear Risk Assess Prgm pdf
  4.  2008-9-25 SEC OIG Rept - Oversight of Bear Risk Assess Prgm pdf
- B.  Annual Letters to Shareholders
1.  JPMorgan
    - a.  JPMorgan 2004 LTS
    - b.  JPMorgan 2005 LTS
    - c.  JPMorgan 2006 LTS
    - d.  JPMorgan 2007 LTS
    - e.  JPMorgan 2008 LTS
- C.  Articles
1.  Bank of America
    - a.  B of A and Financial Crisis - sorted by relevance
    - b.  B of A Ken Lewis 2008 Annual Report Statement
    - c.  B of A Proprietary trading and compensation Chrono
    - d.  B of A recent articles Chrono
    - e.  Bank of America earnings call news Chrono
    - f.  Bank of America Financial Crisis Chrono
    - g.  Bank of America Strategic 2009 Chrono
    - h.  Bank of America Subprime - Relevance
    - i.  Bank of America Subprime Chrono
    - j.  Bof A business model and strategy Chrono
    - k.  Bof A major problems 2 years Chrono
    - l.  BofA compensation including Lewis
    - m.  BofA Datamonitor SWOT Analysis May 2009
    - n.  BofA lending practices - Most recent Chrono
    - o.  BofA lending practices - relevance
    - p.  BofA Oview of History May 2009
    - q.  Brian T Moynihan Bio
    - r.  Moynihan Bio Bank of America
  2.  Goldman Sachs
    - a.  2009-6-13 Taibbi Rolling Stone Article
    - b.  Blankfein - bio and compensation info
    - c.  Financial Times Articles1
    - d.  Goldman Articles Factiva1
    - e.  Goldman Articles Factiva10
    - f.  Goldman Articles Factiva12
    - g.  Goldman Articles Factiva13
    - h.  Goldman Articles Factiva14
    - i.  Goldman Articles Factiva15
    - j.  Goldman Articles Factiva16
    - k.  Goldman Articles Factiva2
    - l.  Goldman Articles Factiva3
    - m.  Goldman Articles Factiva4
    - n.  Goldman Articles Factiva5
    - o.  Goldman Articles Factiva6
    - p.  Goldman Articles Factiva7
    - q.  Goldman Articles Factiva8
    - r.  Goldman Articles Factiva9
    - s.  Goldman Factiva 17
    - t.  Goldman left foreign investors holding subprime bag - Gordon
    - u.  Goldman Takes on New Role - Gordon
    - v.  Goldman takes walk on wild side - Gordon
    - w.  How Goldman Secretly Bet on the Housing Crash - Gordon
    - x.  The Long and Short of It at Goldman Sachs - New York Times
  3.  Jamie Dimon (JP Morgan)

























































- a.  FT articles
    - 1.  Bank chiefs owe a personal debt to taxpayers 21 Sep 09
    - 2.  Dimon urges US leaders to solve crisis 29 Jan 09
    - 3.  JP Morgan's Dimon backs bank mechanism 27 Oct 09
    - 4.  No bail-outs for big banks, say Dimon and Bair 25 Sep 09
  - b.  Dimon articles Feb 09 - Dec 09 factiva2
  - c.  Dimon articles Jan 07 - Feb 09 factiva1
  - d.  Dimon JP Morgan Bio
  - e.  Dimon NY Times Topic Page
  - f.  Dimon Wikipedia Bio
  - g.  In Washington, One Bank Chief Still Holds Sway, NYTimes, 19 Jul 2009
  - h.  Lunch with the FT At the cutting edge, 31 Mar 2006
  - i.  The Contender, Fortune, 3 Apr 2006
- 4.  John Mack (Morgan Stanley)
    - a.  FT articles
      - 1.  Regulators inside banks 20 Nov 09
    - b.  Mack Factiva (Jan 07 - Dec 09)
    - c.  Mack Morgan Stanley Bio
    - d.  Mack NY Times Topic Page
    - e.  Mack Wikipedia Bio
  - 5.  JP Morgan
    - a.  FT articles
      - 1.  Banker warns of risk of political interference 30 Jan 09
      - 2.  JP Morgan and Amex in bid to repay Tarp 2 Jun 09
      - 3.  JP Morgan completes takeover of Cazenove 19 Nov 09
      - 4.  JP Morgan earnings beat expectations 17 Jul 08
      - 5.  JP Morgan earns \$2,1bn on record sales 16 Apr 09
      - 6.  JP Morgan leads in mortgage renegotiations 5 Aug 09
      - 7.  JP Morgan looks to buy at home and overseas 4 May 09
      - 8.  JP Morgan profits lift Dow past 10,000 15 Oct 09
      - 9.  JP Morgan takes top fund slot 15 Mar 09
      - 10.  JP Morgan warns of more consumer woe 27 Feb 09
      - 11.  JP Morgan's 'solid' results bring a smile to Wall Street 14 Oct 09
    - b.  JPMorgan articles Jan 07 - 15 Dec 09 factiva
    - c.  JPMorgan articles Jan 07 - 15 Dec 09 factiva2
    - d.  The Wall Street intervention that should worry the world 14 Mar 08
  - 6.  Morgan Stanley
    - a.  FT articles
      - 1.  Citi and Morgan Stanley to combine brokerages 14 Jan 09
      - 2.  Fed moves to protect Goldman and Morgan Stanley 22 Sep 08
      - 3.  Hance joins Morgan Stanley 23 Jun 09
      - 4.  M Stanley to pay \$950m for Tarp warrants 6 Aug 09
      - 5.  Morgan Stanley deal with CIC sparks fears 21 Dec 07
      - 6.  Morgan Stanley faces China setbacks 29 Oct 09
      - 7.  Morgan Stanley says clearing revenues to be lower 18 Jul 09
      - 8.  Morgan Stanley shifts focus to Europe 25 Jul 07
      - 9.  Morgan Stanley strives to bring a cautionary tale to a close 27 Apr 08
      - 10.  Morgan Stanley suspends top official 12 Feb 09
      - 11.  Morgan Stanley's results outpace rivals 21 Mar 07
      - 12.  Struggling M Stanley slashes dividend 22 Apr 09
      - 13.  Subprime crisis claims top Morgan banker 30 Nov 07
    - b.  Morgan Stanley articles Apr 09 - Sep 09 factiva3
    - c.  Morgan Stanley articles Mar 07 - Oct 08 factiva1
    - d.  Morgan Stanley articles Oct 08 - Apr 09 factiva2
    - e.  Morgan Stanley articles Sep 09 - Dec 09 factiva4
  - 7.  2009-12-11 Post Article re BofA loan mofication

























































8.  Goldman Sachs Articles Binder
9.  Jamie Dimon Articles Binder (JP Morgan)
10.  JP Morgan Articles Binder
11.  Morgan Stanley Articles Binder
- D.  Briefing Binders
  1.  B of A
    - a.  Bank of America Corporation 1995- YTD 2009
    - b.  Moynihan Quotes
  2.  Goldman Sachs
    - a.  Key Articles
      1.  2009-10-13 FT - To avoid crisis we need more transparency
      2.  2009-10-24 NYT - Short Memories at Goldman
      3.  2009-11-19 McClatchy - Goldman's Chief Executive Apologizes for Part in Financial Crisis
      4.  2009-11-2 McClatchy - Goldman Takes on New Role - Taking Away People's Homes
      5.  2009-11-2 McClatchy - How Goldman Secretly Bet on the US Housing Crash
      6.  2009-11-22 NYT Goldman's Response to Questions About AIG
      7.  2009-11-3 McClatchy Goldman Left Foreign Investors Hodling the Subprime Bag
      8.  2009-11-6 McClatchy - Why Did Blue-Chip Goldman Take a Walk on Subprime's Wild Side
      9.  2009-12-10 FT - Goldman scraps cash bonuses for 30 top executives
      10.  2009-12-11 Goldman Sachs Alters it Bonus Policy to Quell Uproar
      11.  2009-12-16 NYT - As Goldman Thrives Some Say an Ethos has Faded
      12.  2009-12-24 NYT - Banks Bundled Bad Debt Bet Against it and Won
      13.  2009-7-13 Rolling Stone - The Great America Bubble Machine
      14.  2009-8-6 NYT - Despite Bailouts Business as Usual at Goldman
      15.  2009-9-29 NY Post - The Secret to Goldman Sachs' Good Fortune
      16.  2010-1 Vanity Fair - The Bank Job
    - b.  Quotes by Blankfein
      1.  Blankfein Quotes
      2.  Blankfein Quotes(2)
      3.  Exhibit P Blankfein Quotes
    - c.  Testimony Speeches and Interviews
      1.  2007 Goldman Sachs Annual Report - Letter to Shareholders
      2.  2007-6-22 Lloyd Blankfein Transcript - FT Interview
      3.  2008 Goldman Sachs Annual Report - Letter to Shareholders-entire-annual-report
      4.  2009-10 Goldman Sachs Compensation Practices
      5.  2009-10-10 WSJ - The Weekend Interview with Lloyd Blankfein - The Bank Everyone Loves to Hate
      6.  2009-10-16 CNMONEY - Blankfein - We Contribute to Growth
      7.  2009-11-1 Probe reveals Goldman Sachs Passed off Big losses to other Firms
      8.  2009-11-10 Goldman Sachs at B of A Merrill Lynch Banking and Financial Services Conference
      9.  2009-11-23 Goldman Sachs Responds to Questions from NYT About the SIGTARP Report on AIG
      10.  2009-11-8 I'm Doing God's Work Meet Mr Goldman Sachs
      11.  2009-12-10 Goldman Sachs Announces Changes to 2009 Compensation Program
      12.  2009-12-2 Goldman Sachs Announces \$61 Million Investment in Affordable Housing
      13.  2009-12-24 Goldman Sachs Responds to NYT on Synthetic CDOs
      14.  2009-2-11 Blankfein Testimony before House Financial Services Committee
      15.  2009-2-8 FT - Do not destroy the essential catalyst of risk
      16.  2009-4 Remarks by Blankfein to the Council of Institutional Investors
      17.  2009-5-8 Compensation Principles Discussed by Blankfein at Annual Shareholders Meeting
      18.  2009-9-21 CFR - Achieving a Deal on Climate change
      19.  2009-9-23 Goldman CEO Says Bonus Not Main Cause of Crisis - Report
      20.  2009-9-9 Remarks by Lloyd Blankfein at Handelsblatt Banking Conference
    - d.  Goldman Quarterly Segment Reporting
  3.  Gordon
    - a.  Gordon loan mod testimony 12-8-09 final (3)
  4.  JPMorgan
    - a.  Key Articles
      1.  2006-3-29 Fortune- In This Corner! The Contender!



2.  2006-3-31 FT- Lunch with the FT At the cutting edge
3.  2007-11-13 AP- JPMorgan Chase CEO sees little risk in bank's CDO exposure
4.  2007-11-26 JPM Press Release - Barry Zubrow Apointed Chief Risk Officer
5.  2007-3-6 Dow Jones- Dimon, Retail Chief Bullish on Mortgage Business
6.  2008-1-14 Asset Securitization Report, Boomerang Effect is Citi's Latest SIV Strategy
7.  2008-3-18 NYYT- Rallying the House of Morgan
8.  2008-3-20 BusinessWeek- JPMorgan to Rescue Again
9.  2008-7-7 Charlie Rose- Interview with Jamie Dimon
10.  2008-7-8 Charlie Rose- Interview with Jamie Dimon
11.  2008-9-15 Forbes BofA Gets Risk Along With Rewards With Merrill
12.  2008-9-27 NYT- JPMorgan Builds Strength with WaMu Deal in Tense Age
13.  2009 -11-30 Forbes- Master Banker, Master Schmooser
14.  2009-10-14 FT- JPMorgan profits lift Dow past 10,000
15.  2009-10-14 FT- JPMorgan's 'solid' results bring a smile to Wall Street
16.  2009-10-15 CSM- JPMorgan earnings show 'too big to fail' banks getting bigger
17.  2009-10-15 Daily Telegraph -- JPMorgan's \$29bn bonus pot reignites row over pay
18.  2009-10-16 Daily Telegraph- The return of bonus bonanza for bankers
19.  2009-10-22 Daily News- JPMorgan passes Goldman in advisor fees
20.  2009-11-1 NYT- I, Banker
21.  2009-11-13 Bloomberg JPMorgan Sued for Sewer Bond Fraud by Alabama County
22.  2009-11-13 Washington Post, Dimon, No More Too Big To Fail
23.  2009-11-14 NYTimes JPMorgan Faces New Suit in Alabama County's Woes
24.  2009-11-4 Bloomberg JPMorgan Ends SEC Alabama Swap Probe for \$722 Million
25.  2009-12-11 Treasury Press Release - Treasury Dept Announces Pricing of Public Offering of Warrants t
26.  2009-12-15 Reuters - WaMu asks to probe Fed over Collapse
27.  2009-12-28 Puget Sound Business Journal - What a Deal
28.  2009-12-28 Telegraph JPMorgan May Scrap 1.5bn London HQ
29.  2009-12-7 American Banker- Fortress Intact, Dimon Emerges as Public Face of the Industry
30.  2009-12-7 Financial News- European Investment Bank of the Year JP Morgan
31.  2009-12-7 NYT- Dimon Guesses Right on Warrant Pricing
32.  2009-1-29 FT- Dimon urges US leaders to solve crisis
33.  2009-2-27 FT JPMorgan warns of more consumer woe
34.  2009-2-27 FT JPMorgan warns of more consumer woe
35.  2009-3-11 WSJ- Dimon Optimistic About Economy
36.  2009-3-15 Business Week -- German and French Banks Got \$36 Billion from AIG Bailout
37.  2009-3-27 CNBC- Interview with JPMorgan Chase CEO Jamie Dimon
38.  2009-4-16 MarketWatch- Dimon gets a little rough
39.  2009-5-18 Wealth Bulletin- Key People at JP Morgan
40.  2009-6-17 JPM Press Release - JPMorgan Chase Repays \$25 Billion in TARP Funds In Full
41.  2009-6-27 WSJ- A Unified Bank Regulator Is a Good Start
42.  2009-6-5 Nikkei Report- Excerpts from Interview with JPMorgan Chase CEO Jamie Dimon
43.  2009-7-16 NYTimes Inquiry Looks at Derivatives Data Providers
44.  2009-7-19 NYT- In Washington, One Bank Chief Still Holds Sway
45.  2009-8-24 Chicago Tribune- Dimon doesn't buy into notion that government bailed out JPMorgan Chase
46.  2009-8-25 NPR Will Time Prove Ben Bernanke Wrong
47.  2009-8-28 WP- Banks 'Too Big to Fail' Have Grown Even Bigger
48.  2009-8-5 FT- JPMorgan leads in mortgage renegotiations
49.  2009-8-6 Boston Globe -- Goldman Faces Credit Swap Inquiry
50.  2009-9-11 Newsweek- The Banker Who Saved Wall Street
51.  2009-Dec-4 Puget Sound Biz Journal - What Happened to WaMu
52.  2009-Sep-25 - Puget Sound Biz Journal - The downfall of WaMu
53.  2010-1-2 NYT- Graphic - U.S. Loan Effort is Seen As Adding to Housing Woes
54.  2010-1-2 NYT- U.S. Loan Effort Is Seen as Adding to Housing Woes
55.  2010-1-6 istockanalyst, JPM Fourth Quarter Earnings Preview
56.  2010-1-8 Puget Sound Biz Journal - JPMorgan Chase's Internal Documents

























































- 57. No Rhyme or Reason The 'Heads I Win, Tails You Lose' Bank Bonus Culture, Andrew M. Cuomo
- 58. OCC 3Q 2009 Derivatives Report
- b. Dimon Quotes
- 5. Morgan Stanley
  - a. Key Mack Quotes
  - b. Mack quotes
- 6. Rosen
  - a. Anatomy of a Housing Boom 10-2-07
- 7. Zandi
  - a. TARP - Assessment 111909
- 8. 1-6-2010 Q & A
- 9. 1-7-2010 Q & A
- 10. Bank Fact Sheet Underlying Data 08-09
- E. Briefing Packet
  - 1. Bank of America
    - a. BACv2
    - b. Bank of America Segment Financials 2005 - 2008
    - c. Bank of America Stock Price Chart 2007 - 2009
  - 2. Briefing Book Distributed 1-8-10
    - a. FCIC Confidential Draft Briefing Binder
    - b. Pages from FCIC Confidential Draft Briefing Binder - PART 1pdf
    - c. Pages from FCIC Confidential Draft Briefing Binder - PART 3pdf
    - d. State Witnesses - Exhibits 16-19
  - 3. Briefing book for Jan 12
    - a. 1-12-10 FCIC Confidential Draft Briefing Binder2
  - 4. Briefing Book Revised for Mon Jan 11
    - a. 1-11-10 FCIC Confidential Draft Briefing Binder2
    - b. Exhibits from 1-11-10 FCIC Confidential Draft Briefing Binder - PART 1 OF 2
    - c. Exhibits from 1-11-10 FCIC Confidential Draft Briefing Binder - PART 2 OF 2
    - d. Exhibits from 1-11-10 FCIC Confidential Draft Briefing Binder2
  - 5. DRAFT Briefing Packets
    - a. 1-4-2010 Briefing packet
    - b. 1-5-2010 Briefing packet
    - c. 1-5-2010 Briefing packetA
    - d. 1-6-2010 Briefing packetA
    - e. 1-6-2010 Briefing packetA
    - f. 1-7-2010 Briefing packetA
    - g. 1-7-2010 Briefing packetA
    - h. Briefing Packet Part 1 - Part 2
    - i. Fact Sheet Bank Key Financial Data
    - j. JPM Jefferson County Insert
    - k. Morgan Stanley
    - l. Morgan Stanley Litigation
    - m. Morgan Stanleyv2
    - n. Morgan Stanleyv3
    - o. Quotes and Questions - Morgan Stanley
    - p. Quotes and Questions - Morgan Stanleyv1
    - q. TEMP
  - 6. Exhibits
    - a. Q&A
      - 1. Q & A - Suthers
      - 2. Q & A- General
    - b. 1-6-2010 Q & A
    - c. Briefing Packet
    - d. Cloutier
    - e. Exhibit 1 - Press Release
    - f. Exhibit 11 - Gordon

























































- g.  Exhibit 18 - Crawford
  - h.  Exhibit 2 - Blankfein
  - i.  Exhibit 3 - Dimon
  - j.  Exhibit 4 - Mack
  - k.  Exhibit 6 - Mayo
  - l.  Exhibit 8 - Solomon
  - m.  Hearing One Q & A-1-9-10
  - n.  Q & A - Blankfein
  - o.  Q & A - Blankfein
  - p.  Q & A - Crawford
  - q.  Q & A - Crawford
  - r.  Q & A - Dimon
  - s.  Q & A - General Compensation
  - t.  Q & A - Mack
  - u.  Q & A - Madigan
  - v.  Q & A - Madigan
  - w.  Q & A - Moynihan
  - x.  Q & A - Schapiro
  - y.  Q & A - Schapiro
  - z.  Q & A - Suthers
7.  Goldman Sachs
    - a.  Conversion to a Bank Holding Company
    - b.  Exhibit P Blankfein Quotes
    - c.  Goldman Quarterly Segment Reporting
  8.  JP Morgan
    - a.  Dimon Quotes
    - b.  DLN JPMorgan Notes
    - c.  JP Morgan Briefing Packet Insert
    - d.  JPMorgan Chase Segment Tables by year and quarter
    - e.  JPMorgan Litigation
    - f.  JPMorgan Segment Financials 2005-2008
    - g.  JPMorgan Stock Price Chart 2007-2009
    - h.  Suggested Questions for Jamie Dimon (JPMorgan)
  9.  Madigan Articles
    - a.  An Equal Opportunity to Pay More
    - b.  Comptroller Dugan is Wrong About the Causes of the Financial Crisis and the Scope of
    - c.  Home Values Plummet 500 Billion
    - d.  Lax Oversight Caused Crisis, Beranke Says
    - e.  Making Home Affordable Program- SERVICER Performance Report Through November 2009
    - f.  One in Four Borrowers in Underwater
    - g.  Preemption and Regulatory Reform- Restore the States' Traditional Role as
    - h.  TARP December Oversight Report
  10.  Mike Mayo
    - a.  7 Deadly Sins of Banking
    - b.  Banks and the Red Queen Effect
    - c.  Property pressure
    - d.  Relaxing the rules
    - e.  Weighing in
  11.  Morgan Stanley
    - a.  Mack quotes
    - b.  Morgan Stanley NYSE MS Board Members
    - c.  Morgan Stanley Segment Financials 04-3q09
    - d.  Morgan Stanley Segment Financials-2005-2009
    - e.  Morgan Stanley Segment Financials-2006-2009
    - f.  Morgan Stanley-Quarterly 2007-3q09
    - g.  Questions and Likely Answers

12.  Press Packets
  - a.  Day Two Fact Sheet - Final
  - b.  Final Day One Panel One Press Packet
  - c.  Final Day One Panel Three
  - d.  Final Day One Panel Two Press Packet
  - e.  Final Day Two Panel Two
  - f.  Press Packet - Day One Panel One Slipsheets
  - g.  Press Packet - Day Two Panel Two Slipsheets pdf
  - h.  Press Packet - Day Two Panel Twopdf
  - i.  Press Packet - Fact Sheet
  - j.  Press Packet - Fact Sheet
  - k.  Press Packet - Fact Sheet 1-12-10
  - l.  Press Packet - Fact Sheet Final
  - m.  Press Packet - Fact Sheet Final
  - n.  Press Packet - Fact Sheet SG
  - o.  Press Packet - Fact Sheet SG
  - p.  Press Packet - Panel One
  - q.  Press Packet - Panel One
  - r.  Press Packet - Panel One Slipsheets
  - s.  Press Packet - Panel Three
  - t.  Press Packet - Panel Three
  - u.  Press Packet - Panel Two
  - v.  Press Packet - Panel Two
  - w.  Press Packet - Panel Two Slipsheets
  - x.  Press Packet - Two Panel One Slipsheets
13.  Supporting Materials
  - a.  Binder Spine
  - b.  Binder Spine2
  - c.  Binder Spine3
  - d.  Binder Spine4
  - e.  Book Binder Labels
  - f.  Briefing Binder Exhibit Index
  - g.  Briefing Binder Exhibit Index
  - h.  Briefing Binder Exhibit IndexApdf
  - i.  Cover Page
  - j.  Financial Crisis Inquiry Commission
  - k.  Financial Crisis Inquiry Commission
  - l.  INDEX
  - m.  Index for H Murren
  - n.  Index for H Murren
  - o.  NOT YET RECEIVED
  - p.  NOT YET RECEIVED
  - q.  Support Materials Binder Index
  - r.  Support Materials Binder Index for Panels 2-5
  - s.  Supporting Binder Exhibit Index (3)
  - t.  Supporting Materials Labels
14.  WITNESS TESTIMONY
  - a.  Blankfein
    1.  Blankfein CII Speech
    2.  Blankfein Compensation Principles
    3.  Blankfein effective-reg-part-1[1]
    4.  Blankfein effective-reg-part-2[1]
    5.  Blankfein effective-reg-part-4[1]
    6.  Blankfein effective-reg-part-5[1]
    7.  Blankfein effective-regulation-part-3[1]
    8.  Blankfein FCIC Testimony
























































- 9. Blankfein Handelsblatt Speech
- 10. Blankfein The Financial Times, To Avoid Crises, we need more transparency, By Lloyd Blankfein, Octob
- b. 2-14-10 SuthersFCICTestimony
- c. Bair Testimony Final
- d. Bass testimony exhibits
- e. Bass Testimony Final
- f. Cloutier
- g. Crawford FCIC Testimony\_final
- h. DimonFCIC Dimon Testimony 011310 Final
- i. Gordon FCIC testimony final
- j. Mack FCIC Written Testimony FINAL 01-07-10
- k. Madigan
- l. Mayo Testimony and Slides
- m. Moynihan Testimony - Draft
- n. Rosen FCIC Testimony Final
- o. Rosen FCIC Testimony Final 1-10-10 (3)
- p. Rosen FCIC testimony SLIDES
- q. Schapiro FCIC Testimony
- r. SOLOMON TESTIMONY
- s. Theobald Testimony
- t. ZANDI Final
- 15. 1-10-2009 DRAFT Q & A
- 16. 1-10-2009 Master Q & A
- 17. 1-10-2009 Master Q & A
- 18. 1-8-2010 Briefing packetA
- 19. 1-8-2010 Briefing packetA
- 20. 1-9-2010 Briefing packetA
- 21. Moynihan Final FCIC Testimony (written) FINAL
- 22. Pages from FCIC Confidential Draft Briefing Binder - PART 2pdf
- 23. Panel One Suggested Questions -- all banks
- 24. Potential Topics and Questions-01-08-10
- 25. Press Packet - Day One Panel One
- F. Civil Lawsuits
  - 1. Abu Dhabi Commercial Bank v Morgan Stanley
    - a. Abu Dhabi Commerical Bank v Morgan Stanley - COMPLAINT
    - b. Abu Dhabi Commerical Bank v Morgan Stanley - FAC
    - c. Abu Dhabi Commerical Bank v Morgan Stanley - Moodys ANSWER to SAC
    - d. Abu Dhabi Commerical Bank v Morgan Stanley - Morgan Stanley ANSWER to SAC
    - e. Abu Dhabi Commerical Bank v Morgan Stanley - Order on MTD FAC
    - f. Abu Dhabi Commerical Bank v Morgan Stanley - Order on MTD SAC
    - g. Abu Dhabi Commerical Bank v Morgan Stanley - S&P ANSWER to SAC
    - h. Abu Dhabi Commerical Bank v Morgan Stanley - SAC
  - 2. Alabama Public School v JPMorgan Chase - Answer to Complaint
  - 3. Alabama Public School v JPMorgan Chase - Answer to Complaint. - Exhibit Apdf
  - 4. Alabama Public School v JPMorgan Chase - Answer to Counter Claim pdf
  - 5. Alabama Public School v JPMorgan Chase - Order Denying MTD
  - 6. BNP Paribas v BofA - 09-9783 SDNY COMPLAINT
  - 7. Deutsche Bank v BofA - 09-9784 SDNY COMPLAINT
  - 8. Illinois v WFB - Circuit Ct of Cook County
  - 9. In re AHM Securities Litigation
  - 10. v JPMorgan Chase - Exhibit A
  - 11. Virgin Islands v Morgan Stanley- SDNY COMPLAINT
  - 12. Virgin Islands v Morgan Stanley-12-24-09
- G. Congressional Hearings
- H. Correspondence
  - 1. Draft Letters

- a. 2010-1-15 Thank you ltr to Theobald
- b. Blankfein Hearing One Follow up letter - 2
- c. Crawford letter
- d. Dimon Follow-up Letter Hearing 1
- e. Eakes letter
- f. Rosen letter
- g. Theobald Invitation letter
- h. Theobald Invitation letter
- i. Zandi letter
- 2. FW- BERNSTEIN RESEARCH
- 3. FW- Jamie Dimon Testimony
- 4. FW- MFTF4
- I. Financial Trend Analysis
  - 1. BAC-Annual Segment Analysis-06-8
  - 2. Bank of America Corporation 1995- YTD 2009
  - 3. Bank of America Quarterly Segment Reporting-2007-08
  - 4. Bank of America Quarterly Segment Reporting-2007-09
  - 5. Bank of America Quarterly Segment Reporting-2009
  - 6. Goldman Quarterly Segment Reporting
  - 7. Goldman Sachs Group Inc 1995-YTD 2009
  - 8. GS Compensation -1996-1998
  - 9. JPMorgan Chase Co 1995- YTD 2009
  - 10. JPMorgan Chase Co Segments by Q 2007-2009
  - 11. Morgan Stanley 1995-YTD 2009
  - 12. MS Segment Annual Segment 06-08
  - 13. Trend Analysis -Printed Version
- J. Info
  - 1. 14090894-Whos-Holding-the-Bag-Presentation-at-the-Ira-Sohn-May-2007-Conference-by-Bill-Ackman-Founde
  - 2. Bill Ackman
  - 3. Bill Ackman Factiva news broke news on mortgage problems 2002
- K. JPMorgan WaMu Investigation
  - 1. JPMorgan Chase's Internal Documents, Puget Sound Biz Journal article, 8 Jan 2010
  - 2. WaMu Bankruptcy Court Exhibits (link from Pug Sound Biz Journal article 8 Jan 10)
- L. Memoranda
  - 1. 2009-12-18 DRAFT Hearing 1 Witness Memo
  - 2. 2009-12-28 Hearing One Briefing Packet Outline (3)
  - 3. 2010-02-11 Memo re H1 Lines of Investigation
  - 4. Hearing One Briefing Packet Outline
- M. New Folder
- N. Produced Documents
  - 1. CFTC Docs Produced 12-24-2009
    - a. 2009-12-29 Index of CFTC Documents
    - b. Banc of America Securities LLC 11-30-2009 focus 2
    - c. Banc of America Securities LLC Cover Letter and Form 17-H Risk Assessment Report
    - d. Banc of America Securities LLC Investment List as of 9-30-09
    - e. Banc of America Securities LLC Legal Proceedings as of 9-30-09
    - f. Banc of America Securities LLC Organizational Chart 9-30-09
    - g. Goldman Sachs & Co 10-31-2009 monthly financial report
    - h. Goldman Sachs Execution & Clearing LP 10-30-2009 monthly financial report
    - i. Goldman Sachs Org Chart - Risk Filings as of 11-30-07
    - j. JP Morgan Clearing Corp 10-31-2009 monthly financial report
    - k. JP Morgan Futures Inc 10-31-2009 monthly financial report
    - l. JP Morgan Securities Inc 10-31-2009 monthly financial report
    - m. JPMorgan Org Charts - Risk Filing 2008-12-31
    - n. Merrill Lynch Org Chart as of 12 28 07
    - o. Merrill Lynch Pierce Fenner & Smith 10-31-2009 monthly financial report

























































- p.  Merrill Lynch Professional Clearing Corp 10-31-2009 monthly financial report
- q.  Morgan Stanley & Co Incorporated 10-30-2009 monthly financial report
- r.  Morgan Stanley Org Charts - Risk Filing 2008
- s.  Morgan Stanley Smith Barney LLC 10-30-2009 monthly financial report
- 2.  House Oversight Docs Produced 12-18-2009
  - a.  FMAC448-526
  - b.  FMAC664-1172
- 3.  Production Admin
  - a.  2009-12-24 Index of Docs Produced by CFTC
  - b.  Production Log 1
- O.  Research Reports
  - 1.  Bank of America
    - a.  Bof A 2007 1st Quarter Matt O Connor CFA UBS 20 Feb 2007
    - b.  Bof A 2007 1st Quarter Jason Goldberg CFA Lehman 01 March 2007
    - c.  Bof A 2007 2nd Quarter Matt O Connor CFA UBS 19 July 2007
    - d.  Bof A 2007 2nd Quarter Jason Goldberg CFA Lehman 09 August 2007
    - e.  Bof A 2007 3rd Quarter Matt O Connor CFA UBS 1 October 2007
    - f.  Bof A 2007 3rd Quarter Jason Goldberg CFA Lehman 21 November 2007
    - g.  Bof A 2007 4th Quarter Jason Goldberg CFA Lehman 13 December 2007
    - h.  Bof A 2007 4th Quarter Matt O Connor CFA UBS 12 December 2007
    - i.  Bof A 2008 4th Quarter Matt O Connor CFA UBS 10 December 2008
    - j.  Bof A 2008 1st Quarter Jason Goldberg CFA Lehman 07 March 2008
    - k.  Bof A 2008 1st Quarter Matt O Connor CFA UBS 22 January 2008
    - l.  Bof A 2008 2nd Quarter Matt O Connor CFA UBS 21 July 2008
    - m.  Bof A 2008 2nd Quarter Jason Goldberg CFA Lehman 08 August 2008
    - n.  Bof A 2008 3rd Quarter Jason Goldberg CFA Lehman 07 October 2008
    - o.  Bof A 2008 3rd Quarter Matt O Connor CFA UBS 24 September 2008
    - p.  Bof A 2008 4th Quarter Jason Goldberg CFA Lehman 16 December 2008
    - q.  Bof A 2009 1st Quarter Matt O Connor CFA UBS 16 January 2009
    - r.  Bof A 2009 1st Quarter Jason Goldberg CFA Barclays 06 March 2009
    - s.  Bof A 2009 2nd Quarter Matt O Connor CFA Deutsche Bank North America 18 June 2009
    - t.  Bof A 2009 2nd Quarter Jason Goldberg CFA Barclays 13 July 2009
    - u.  Bof A 2009 3rd Quarter Matt O Connor CFA Deutsche Bank North America September 2009
    - v.  Bof A 2009 3rd Quarter Jason Goldberg CFA Barclays 01 October 2009
    - w.  Bof A 2009 4th Quarter Jason Goldberg CFA Barclays Dec 3 2009
    - x.  Bof A 2009 4th Quarter Matt O Connor CFA Deutsche Bank North America December 2009
  - 2.  Goldman Sachs
    - a.  GS 2007 1st Quarter Guy Moszkowski CFA Merrill Lynch 13 March 2007
    - b.  GS 2007 2nd Quarter Guy Moszkowski CFA Merrill Lynch 21 June 2007
    - c.  GS 2007 3rd Quarter Guy Moszkowski CFA Merrill Lynch 20 September 2007
    - d.  GS 2007 4th Quarter Guy Moszkowski CFA Merrill Lynch 21 December 2007
    - e.  GS 2007 1st Quarter Roger Freeman CFA Lehman Borthers 27 March 2007
    - f.  GS 2007 2nd Quarter Roger Freeman CFA Lehman Borthers 06 June 2007
    - g.  GS 2007 3rd Quarter Roger Freeman CFA Lehman Borthers 21 September 2007
    - h.  GS 2007 4th Quarter Roger Freeman CFA Lehman Borthers 18 December 2007
    - i.  GS 2008 1st Quarter Guy Moszkowski CFA Merrill Lynch 20 March 2008
    - j.  GS 2008 1st Quarter Roger Freeman CFA Lehman Brothers 18 March 2008
    - k.  GS 2008 2nd Quarter Guy Moszkowski CFA Bank of America 18 June 2008
    - l.  GS 2008 2nd Quarter Roger Freeman CFA Lehman Brothers 07 July 2008
    - m.  GS 2008 3rd Quarter Guy Moszkowski CFA Bank of America 05 August 2008
    - n.  GS 2008 3rd Quarter Roger Freeman CFA Barclays 08 October 2008
    - o.  GS 2008 4th Quarter Guy Moszkowski CFA Bank of America 17 December 2009
    - p.  GS 2008 4th Quarter Guy Moszkowski CFA Merrill Lynch 21 December 20 March 2007
    - q.  GS 2008 4th Quarter Roger Freeman CFA Barclays 27 January 2009
    - r.  GS 2009 1st Quarter Guy Moszkowski CFA Bank of America 19 February 2009
    - s.  GS 2009 1st Quarter Roger Freeman CFA Barclays Capital 27 January 2009

































- t.  GS 2009 2nd Quarter Roger Freeman CFA Barclays Capital 18 September 2009
  - u.  GS 2009 2nd Quarter Guy Moszkowski CFA Bank of America 09 July 2009
  - v.  GS 2009 3rd Quarter Roger Freeman CFA Barclays Capital 18 September 2009
  - w.  GS 2009 3rd Quarter Guy Moszkowski CFA Bank of America 22 October 2009
  - x.  GS 2009 4th Quarter Guy Moszkowski CFA Bank of America 17 December 2009
  - y.  GS 2009 4th Quarter Roger Freeman CFA Barclays Capital 15 December 2009
3.  JP Morgan Chase
- a.  JPM 2007 1st Quarter John McDonald CFA Bank of America 10 April 2007
  - b.  JPM 2007 1st Quarter Keith Horowitz CFA Citi 19 April 2007
  - c.  JPM 2007 2nd Quarter Keith Horowitz CFA Citi 19 July 2007
  - d.  JPM 2007 2nd Quarter John McDonald CFA Bank of America 16 May 2007
  - e.  JPM 2007 3rd Quarter John McDonald CFA Bank of America 17 October 2007
  - f.  JPM 2007 3rd Quarter Keith Horowitz CFA Citi 18 October 2007
  - g.  JPM 2007 4th Quarter John McDonald CFA Bank of America No Report
  - h.  JPM 2007 4th Quarter Keith Horowitz CFA Citi 16 January 2008
  - i.  JPM 2008 4th Quarter John McDonald CFA Bernstein Research 16 January 2009
  - j.  JPM 2008 1st Quarter John McDonald CFA Bank of America 16 January 2008
  - k.  JPM 2008 1st Quarter Keith Horowitz CFA Citi 16 January 2008
  - l.  JPM 2008 2nd Quarter John McDonald CFA Bank of America 13 October 2008
  - m.  JPM 2008 2nd Quarter Keith Horowitz CFA Citi 20 July 2008
  - n.  JPM 2008 3rd Quarter John McDonald CFA Bank of America 16 October 2008
  - o.  JPM 2008 3rd Quarter Keith Horowitz CFA Citi 13 November 2008
  - p.  JPM 2008 4th Quarter Keith Horowitz CFA Citi 20 January 2009
  - q.  JPM 2009 1st Quarter John McDonald CFA Bernstein Research 13 January 2009
  - r.  JPM 2009 1st Quarter Keith Horowitz CFA Citi 20 April 2009
  - s.  JPM 2009 2nd Quarter John McDonald CFA Bernstein Research 23 June 2009
  - t.  JPM 2009 2nd Quarter Keith Horowitz CFA Citi 17 July 2009
  - u.  JPM 2009 3rd Quarter John McDonald CFA Bernstein Research 15 October 2009
  - v.  JPM 2009 3rd Quarter Keith Horowitz CFA Citi 15 October 2009
  - w.  JPM 2009 4th Quarter John McDonald CFA Bernstein Research 02 December 2009
  - x.  JPM 2009 4th Quarter Keith Horowitz CFA Citi 29 October 2009
4.  Morgan Stanley
- a.  2006-12-19 Schorr Report on MS
  - b.  2006-12-20 Schorr Report
  - c.  2006-9-21 Schorr Report on MS
  - d.  2007-10-10 Mayo Report on MS
  - e.  2007-10-11 Mayo Report on MS
  - f.  2007-11-12 Schorr Report on Ms
  - g.  2007-11-7 Mayo Report on MS
  - h.  2007-11-7 Schorr Report on MS
  - i.  2007-12-19 Mayo Report on MS
  - j.  2007-12-19 Schorr Report on MS
  - k.  2007-12-20 Schorr Report
  - l.  2007-3-21 Schorr Report
  - m.  2007-3-22 Schorr Report
  - n.  2007-4-11 Mayo Report on MS
  - o.  2007-5-10 Mayo Report on MS
  - p.  2007-6-12 Mayo Report on MS
  - q.  2007-6-20 Mayo Report on MS
  - r.  2007-6-20 Schorr Report
  - s.  2007-6-21 Schorr Report on MS
  - t.  2007-6-8 Schorr Report
  - u.  2007-7-2 Mayo Report on MS
  - v.  2007-7-2 Schorr Report on MS
  - w.  2007-9-19 Mayo Report on MS
  - x.  2007-9-19 Mayo Report on MS - 2
































































































- y.  2007-9-19 Schorr Report on MS
- z.  2007-9-20 Schorr Report on Ms
- aa.  2008-10-13 Schorr Report on MS
- ab.  2008-10-2 Mayo Report on MS
- ac.  2008-10-31 Mayo Report on MS
- ad.  2008-10-8 Schorr Report on MS
- ae.  2008-11-6 Mayo Report on MS
- af.  2008-12-1 Mayo Report on MS
- ag.  2008-12-15 Mayo Report on MS
- ah.  2008-12-17 Mayo Report on Ms
- ai.  2008-12-17 Schorr Report on MS
- aj.  2008-12-17 Schorr Report on MS - First Read
- ak.  2008-1-29 Mayo Report on MS
- al.  2008-2-29 Mayo Report on MS
- am.  2008-3-11 Mayo Report on Ms
- an.  2008-3-19 Mayo Report on MS
- ao.  2008-3-20 Schorr Report
- ap.  2008-4-14 Mayo Report on MS
- aq.  2008-4-25 Schorr Report on MS
- ar.  2008-6-18 Mayo Report on MS
- as.  2008-6-18 Mayo Report on MS -2
- at.  2008-6-18 Schorr Report on MS
- au.  2008-6-19 Schorr Report on MS
- av.  2008-8-12 Mayo Report on MS
- aw.  2008-9-17 Mayo Report on MS
- ax.  2008-9-17 Schorr Report on MS
- ay.  2008-9-22 Schorr Report
- az.  2008-9-29 Schorr Reprot
- ba.  2008-9-30 Schorr Report on MS
- bb.  2009-10-1 Mayo Report on MS
- bc.  2009-10-19 Schorr Report on MS
- bd.  2009-10-20 Mayo Report on MS
- be.  2009-10-21 Mayo Report on MS
- bf.  2009-10-21 Schorr Report on MS
- bg.  2009-10-21 Schorr Report on MS - First Read
- bh.  2009-1-12 Schorr Report on MS
- bi.  2009-1-13 Mayo Report on MS
- bj.  2009-1-14 Schorr Report on MS
- bk.  2009-11-9 Schorr Report on MS
- bl.  2009-12-14 Mayo Report on MS
- bm.  2009-12-7 Mayo Report on MS
- bn.  2009-1-27 Schorr Report on MS
- bo.  2009-3-19 Schorr Report on MS
- bp.  2009-4-22 Mayo Report on MS
- bq.  2009-5-26 Mayo Report on MS
- br.  2009-5-27 Mayo Report on Ms
- bs.  2009-5-8 Mayo Report on MS
- bt.  2009-6-29 Schorr Report on Ms
- bu.  2009-7-22 Mayo Report on MS
- bv.  2009-7-22 Schorr Report on MS
- bw.  2009-7-22 Schorr Report on MS - First Read
- bx.  2009-7-23 Mayo Report on MS
- by.  2009-7-6 Mayo Report on MS
- bz.  2009-8-7 Mayo Report on MS
- ca.  2009-9-10 Mayo Report on Ms

- cb. 2009-9-24 Schorr Report on MS
- 5. B of A Research Reports Binder
- 6. Goldman Sachs Research Reports Binder
- 7. JP Morgan Chase Research Reports Binder
- 8. Morgan Stanley Research Reports Binder
- P. SEC Filings
  - 1. Bank of America
    - a. Bank of America-10K-09
    - b. BANKOFAMERICACO10Q-11-09
  - 2. Goldman Sachs
  - 3. JPMorgan
    - a. JPMorgan - 10K (2007)
    - b. JPMorgan - 10K (2008)
    - c. JPMorgan - 10Q (Q1 - 2008)
    - d. JPMorgan - 10Q (Q1 - 2009)
    - e. JPMorgan - 10Q (Q2 - 2008)
    - f. JPMorgan - 10Q (Q2 - 2009)
    - g. JPMorgan - 10Q (Q3 - 2008)
    - h. JPMorgan - 10Q (Q3 - 2009)
  - 4. Morgan Stanley
    - a. 2007 10-K
    - b. 2008 10-K
    - c. Form 10-K - 2008
    - d. Form 10-Q - 2-29-2008
    - e. Form 10-Q - 8-31-2009
    - f. Form 10-Q 9-30-2009
  - 5. B of A SEC Filings Binder
  - 6. Goldman Sachs SEC Filings Binder
  - 7. Goldman\_Sachs\_Group\_Inc\_-\_Form\_10-K(Jan-27-2009)
  - 8. JP Morgan SEC Filings Binder
  - 9. Morgan Stanley SEC Filings Binder
- Q. Stock Price
  - 1. Absolute Stock Price Charts - GS, MS, JPM, BAC
  - 2. Bank of America Stock Price 01-07 to 12-22-09
  - 3. Goldman Sachs Stock Price Performance 01-07 to 12-22-09
  - 4. JP Morgan Stock Price Performance 01-07 to 12-22-09
  - 5. Morgan Stanley Stock Price Performance 01-07 to 12-22-09
- R. Testimony and Speeches
  - 1. Jamie Dimon
    - a. Charlie Rose 7 Jul 2008
    - b. Charlie Rose 8 Jul 2008
    - c. JDimon-House-Testimony-TARP-02-11-09
    - d. JDimon-Testimony-Senate-fin markets status and BSacq-04-03-08
    - e. Links to Webcasts of Speeches by Jamie Dimon
    - f. SIFMA Speech 27 Oct 2009
  - 2. John Mack
    - a. John Mack-Congressional Testimony-02-11-09
    - b. Morgan Stanley
    - c. MS-Mack-Letter to Shareholders 2007
    - d. MS-Mack-Letter to Shareholders 2008
    - e. MS-Mack-Letter to Shareholders 2009
  - 3. AAG FCIC Testimony Jan2010 FINAL
  - 4. ag fcic statement final
  - 5. FDIC Bair testimony Jan 14 FCIC
  - 6. final\_chairman\_statement - H1
  - 7. final\_vice\_chairman\_statement - H1

8.  Hearing Before House Financial Services Committee-02-11-09e
9.  Schapiro FCIC Testimony
- S.  Witness Files
  1.  Ackman, Bill
  2.  Bair, Sheila
    - a.  17609140-Sheila-Bair-Systemic-Rist-Testimony-072309[1]
    - b.  2008-10-23 Bair testimony before Senate Banking
    - c.  2009-10-13 Bair interview of CNNC
    - d.  2009-10-30 NTY Regulator Picks Apart Obama Plan
    - e.  2009-11-1 Post Moral Hazards No one has sure fix for too big to fail
    - f.  2009-11-13 PBS Bair interview with Jim Lehrer
    - g.  2009-11-13 Post Banks to Prepay FDIC for Failures
    - h.  2009-11-16 Forbes Bair Market
    - i.  2009-11-18 Forum on Small Business Financing Issues
    - j.  2009-11-19 NYT Pathology of a Crisis
    - k.  2009-11-25 NYT As Bank Failures Rise Insurance Fund Falls Into the Red
    - l.  2009-12-14 Bair interview on CNBC
    - m.  2009-12-8 NTY Bailout Refund is all about Pay
    - n.  2009-3-19 Bair testimony before Senate Banking
    - o.  2009-7-24 Bair testimony before House Financial Services Committee
  3.  Bass, Kyle
    - a.  2007-9-27 Bass Testimony before House Financial Services Committee
    - b.  2009-10-2 Bass letter to investors
    - c.  2009-3-2 Bass letter to investors
    - d.  Articles on Kyle Bass
    - e.  Hayman Capital Master Fund Company Report
    - f.  Hayman Capital Partners Company Report
    - g.  Hayman Capital Partners LP Company Report
    - h.  Kyle Bass Person Report
  4.  Blankfein, Lloyd
    - a.  LBlankfein-house-testimony-TARP-02-11-09
    - b.  Lloyd C Blankfein \_Bio\_ Address\_Background
    - c.  Paese, Mike Contact Info
  5.  Brinkman, Jay
  6.  Case, Karl
  7.  Chanos, Jim
  8.  Cloutier, C.R 'Rusty'
    - a.  2009.03.04 HFSC Testimony - TARP Oversight - Is TARP Working for Main Street
    - b.  2009.03.17 House Judiciary Testimony - Too Big to Fail
    - c.  Nexis Search for Cloutier
  9.  Dimon, Jamie
    - a.  2008\_Jamie Diamond \_Letter\_to\_shareholders1
    - b.  2008-4-30 JDimon-Testimony-Senate-fin markets status and BSacq
    - c.  2009-2-11 JDimon-House-Testimony-TARP
    - d.  Jamie Dimon\_Bio\_ Address\_Background
  10.  Doerr, John
  11.  Donovan, Shaun
  12.  Eaks, Martin
    - a.  2005-3-4 Martin Eaks Testimony
    - b.  2006-9-20 Michael Calhoun Testimony Senate Banking Committee
    - c.  2007-12-16 Michael Calhoun Testimony House Financial Services Committee
    - d.  2007-2-7 Mirtin Eaks Testimony Senate Banking Committee
    - e.  2007-9-19 Martin Eaks Testimony Joint Economic Committee
    - f.  2008-11-13 Martin Eaks Testimony Senate Banking Committe
    - g.  2008-2-28 Michael Calhoun Testimony House Appropriations
    - h.  2008-4-15 Julia Gordon Testimony House Financial Services Committee

- i.  2009-1-13 Michael Calhoun Testimony House Financial Services Committee
- j.  2009-3-11 Julia Gordon Testimony House Financial Services Committee
- 13.  Einhorn, David
  - a.  2006-11-10 Einhorn Speech
  - b.  2006-5-23 Einhorn Speech
  - c.  2007-3-9 Einhorn Testimony before Energy and Commerce re Phone Records
  - d.  2007-4-10 Kaufman v New Century - COMPLAINT
  - e.  2008-4-8 Speech - Private Profits and Socialized Risk
  - f.  2008-5-21 Einhorn Speech
  - g.  2009-10-19 Einhorn Speech
  - h.  Background Research - Einhorn + Greenlight + New Century
- 14.  Ely, Bert
  - a.  2009-12-16 Revised Methodology paper
  - b.  Bad Rules Produce Bad Outcomes -- Cato Journal (Winter 2009)
  - c.  Failed banks -- 2007 2008 and 2009
  - d.  Testimony -- House Financial Institutions Subcommittee -- March 4 2009
  - e.  Testimony -- House Financial Services Committee -- December 15 2009 -- opening statement
- 15.  Glaeser, Edward
- 16.  Gordon, Julia
  - a.  Citation 02 - Center for Responsible Lending - Common Sense Solutions for Saving Homes and Communiti
  - b.  Citation 03 - Dean Baker - The Failures of TARP
  - c.  Citation 06 - Credit Suisse - Foreclosure Update, Over 8 Million Foreclosures Expected
  - d.  Citation 06 - Goldman Sachs - Home Prices and Credit Losses, Projections and Policy Options
  - e.  Citation 08 - HOPE NOW Phase 1 National Data
  - f.  Citation 12 - OCC and OTS Mortgage Metrics Report
  - g.  Citation 18 - Center for Responsible Lending - Soaring Spillover
  - h.  Citation 19 - Center for Responsible Lending - Continued Decay and Shaky Repairs, The State of Subpr
  - i.  Citation 20 - The Urban Institute - The Impacts of Foreclosures on Families and Communities
  - j.  Citation 21 - National Low Income Housing Coalition - Renters in Foreclosure
  - k.  Citation 22 - P.L. 111-22 Helping Families Save Their Homes Act of 2009
  - l.  Citation 23 - Deborah Cuevas Hill - Impact of Foreclosures on Home Ownership and Affordable Housing
  - m.  Citation 24 - Harvard Joint Center for Housing Studies - Meeting Multifamily Housing Finance Needs
  - n.  Citation 26 - Center for Responsible Lending - Subprime Lending, A Net Drain on Homeownership
  - o.  Citation 28 - Eric Stein (CRL) - Turmoil in the U.S. Credit Markets
  - p.  Citation 32 - Center for Responsible Lending - Proposed Rules Regarding Regulation Z
  - q.  Citation 33 - Martin Eakes (CRL) - Evolution of an Economic Crisis
  - r.  Citation 38 - Karen Weaver - The Subprime Mortgage Crisis, A Synopsis
  - s.  Citation 38 - Yuliya Demyanyk - Ten Myths about Subprime Mortgages
  - t.  Citation 39 - Risky Borrowers or Risky Mortgages
  - u.  Citation 40 - Center for Responsible Lending - Steered Wrong, Brokers Borrowers, and Subprime Loans
  - v.  Citation 42 - Michael Barr - The Community Reinvestment Act
  - w.  Citation 43 - Traiger & Hinckley - The CRA, A Welcome Anomaly in the Foreclosure Crisis
  - x.  Citation 43 - Traiger & Hinckley - The CRA, Addendum
  - y.  Citation 44 - Ann Jaedicke - HFSC Testimony
  - z.  Citation 44 - Janet Yellen - National Interagency Community Reinvestment Conference
  - aa.  Citation 44 - Michael Barr - Credit Where It Counts, Maintaining a Strong CRA
  - ab.  Citation 46 - Pershing Square Cap. Mgmt - Who's Holding the Bag
  - ac.  Citation 51 - Mortgage Bankers Association - Press Release
  - ad.  Citation 51 - Robert Broeksmit - Calculated Risk, Assessing Non-Traditional Mortgage Products
  - ae.  Citation 53 - Federal Reserve - New Information Reported Under HMDA
  - af.  Citation 53 - Federal Reserve - The 2006 HMDA Data
  - ag.  Citation 53 - Federal Reserve - The 2008 HMDA Data
  - ah.  Citation 55 - Center for Responsible Lending - Borrowers in Higher Minority Areas More Likely to Rec
  - ai.  Citation 61 - Center for Responsible Lending - Losing Ground
  - aj.  Citation 62 - Patricia McCoy - Consumer Protections in Financial Services
  - ak.  Citation 67 - Laurie Goodman - The Private Sector and Govt Response to the Mortgage Foreclosure Cris

- al.  Citation 72 - FRBNY - Second Chances, Subprime Mortgage Modification and Redefault
- am.  Citation 75 - Julia Gordon - The Private Sector and Govt Response to the Financial Crisis
- an.  Citation 76 - Andrew Jakobovics - Sustainable Mortgage Modifications
- ao.  Citation 79 - H.R. 3451
- ap.  Citation 79 - S. 1731
- aq.  Citation 80 - Mark Zandi - Homeownership Vesting Plan
- ar.  Citation 82 - FHFA News Release
- as.  Citation 83 - Making Home Affordable Program
- at.  Citation 85 - Alys Cohen - Progress of the Making Home Affordable Program
- au.  List of Citations KD
- 17.  Hintz, Brad
- 18.  Immelt, Jeff
  - a.  Jeff Immelt-West Point Speech-12-09-09
- 19.  Johnson, Rob
  - a.  2009-12-13 Memo re Johnson meeting
- 20.  Kiefer, John
  - a.  John Kiefer 12-17-09
  - b.  john\_w\_\_kiefer
- 21.  Lamont, Ann
- 22.  Lewis, Ken
  - a.  Kenneth D Lewis \_Bio\_ Address\_ Background
  - b.  KLewis-House-Merrill acq-06-11-09
  - c.  KLewis-House-testimony-TARP-02-11-09
- 23.  Mack, John J
  - a.  JMack-house-testimony-TARP-02-11-09
  - b.  John J Mack \_Bio\_ Address\_ Background
- 24.  Madigan, Lisa
  - a.  2009-12-29 MBeigelman Memo re Madigan Background
  - b.  2009-3-20 Testimony before Rep Frank
- 25.  Mai, Jamie
- 26.  Maughan, Deryck
- 27.  Mayer, Chris
- 28.  Moynihan, Brian
  - a.  2009.11.17 Moynihan House Oversight Committee - BoA
  - b.  Brian Moynihan - Lexis Search Results
- 29.  Paulson, John
- 30.  Rosen, Ken
  - a.  2010-1-26 Memo from K Rosen to P Angelides
  - b.  Anatomy of a Housing Boom 10-2-07
  - c.  Kenneth Rosen - Lexis Search Results
- 31.  Solomon, Peter
  - a.  Peter Solomon - bio and summay of testimony
  - b.  Solomon Business Report
  - c.  Solomon Person Report
- 32.  Swagel, Phil
- 33.  Swensen, David
- 34.  Taleb, Nassim Nicholas
- 35.  Tavakoli, Janet
- 36.  Theobald, Glen
  - a.  11.23 Memo re call with G. Theobald (Miami Chief of Police) - DRAFT
  - b.  2009-12-29 MBeibelman Memo re Theobald Background
- 37.  Vereline, Mike
- 38.  Volker, Paul
- 39.  Welch, Jack
- 40.  Whalen, Christopher
  - a.  2009-10-5 Whalen interview on Bloomberg
  - b.  2009-11-24 Whalen interview on Bloomberg TV

- c.  2009-3-24 Whalen testimony before Senate Banking Committee
  - d.  2009-6-22 Whalen testimony before Senate Banking Committee
- 41.  Whitney, Meredith
- 42.  Zandi, Mark
  - a.  2008.02.26 HFSC Testimony - Creative, Targeted Plan Needed to Remedy Housing & Financial Crisis
  - b.  2008.11.19 Senate Budget - The Economic Outlook and Stimulus Options
  - c.  2009.01.06 House Steering & Policy Comm - Economic Impact of Fiscal Stimulus
  - d.  2009.06.03 The Once and Future Financial System
  - e.  2009.07.09 The Shape of the Coming Recovery
  - f.  2009.07.21 HFSC Testimony - Systemic Risk, Are Some Institutions TBTF
  - g.  2009.09.24 HFSC Testimony - Experts' Perspectives on Systemic Risk and Resolution Issues
  - h.  2009.10.29 Joint Economic Committee - Impact of Recovery Act on Economic Growth
  - i.  2009.11.19 Congressional Oversight Panel Testimony - Independent Views on TARP's Effectiveness
- T.  ~\$10-1-27 Chart of Commissioner follow up requests-draft
- U.  ~\$10-1-29 Chart of Commissioner follow up requests-draft
- V.  2010-1-27 Chart of Commissioner follow up requests (2)
- W.  2010-1-27 Chart of Commissioner follow up requests (2)
- X.  2010-1-29 Chart of Commissioner follow up requests-draft
- Y.  2010-1-29 Chart of Commissioner follow up requests-draft
- Z.  Chart of Commissioner follow up requests
- AA.  Chart of Commissioner follow up requests
- AB.  FCIC\_HEARING 1 - DAY 1\_OFFICIAL TRANSCRIPT\_011310
- AC.  FCIC\_HEARING 1 - DAY 1\_OFFICIAL TRANSCRIPT\_011310
- AD.  FCIC\_HEARING 1 - DAY 1\_OFFICIAL TRANSCRIPT\_011310
- AE.  FCIC\_HEARING 1 - DAY 1\_OFFICIAL TRANSCRIPT\_011310
- AF.  FCIC\_HEARING 1 - DAY 2\_OFFICIAL TRANSCRIPT\_011410
- AG.  FCIC\_HEARING 1 - DAY 2\_OFFICIAL TRANSCRIPT\_011410
- AH.  FCIC\_HEARING 1 - DAY 2\_OFFICIAL TRANSCRIPT\_011410
- AI.  FCIC\_HEARING 1 - DAY 2\_OFFICIAL TRANSCRIPT\_011410
- :XXVII.  Timemap
- XXVIII.  Hearing 3
  - A.  Articles
  - B.  Correspondence
  - C.  Memoranda
  - D.  SEC Filings
  - E.  Witness Files

## Homefront

NAID: 24823585

Extent: 1,626 data files

This series contains documents uploaded to the FCIC website.




[File list](#)

# NetDocuments Document Report
























Homefront - 3/1/2011 9:36:10 AM

## I. Audio Files

### A. Batch 1





































1.  2010-03-03 FCIC staff audiotape of interview with Edward J. (Ned) Kelly III, Citigroup
2.  2010-03-04 FCIC staff audiotape of interview with Brian Leach, Citigroup
3.  2010-03-24 FCIC staff audiotape of interview with John Reed, Citigroup
4.  2010-03-24 FCIC staff audiotape of interview with Patricial Lindsay, New Century Financial Corporation
5.  2010-04-22 FCIC staff audiotape of interview with Jerome Fons, Moody's Investors Service
6.  2010-05-12 FCIC staff audiotape of interview with Emanuel Derman, Columbia University
7.  2010-05-17 FCIC staff audiotape of interview with Alan Roseman, ACA Capital Holdings, Inc.
8.  2010-05-18 FCIC staff audiotape of interview with Michael Burry, Cornwall Capital
9.  2010-05-25 FCIC staff audiotape of interview with Ed Parker, Ameriquest
10.  2010-06-01 FCIC staff audiotape of interview with Darcy Parmer, Wells Fargo
11.  2010-06-16 FCIC staff audiotape of interview with Josh Silver, National Community Reinvestment Coalition
12.  2010-06-21 FCIC staff audiotape of interview with Jake Sun Interview, American International Group Financial Products
13.  2010-06-22 FCIC staff audiotape of interview with Anurag Saxena, Freddie Mac
14.  2010-06-22 FCIC staff audiotape of interview with Mark Hutchings, American International Group, Inc.
15.  2010-07-14 FCIC staff audiotape of interview with Madelyn Antoncic, Lehman Brothers
16.  2010-07-23 FCIC staff audiotape of interview with Duff McDonald, Author
17.  2010-07-30 FCIC staff audiotape of interview with Angus McBryde, Wachovia
18.  2010-07-30 FCIC staff audiotape of interview with Lewis Ranieri, Salomon Brothers (Part 1)
19.  2010-07-30 FCIC staff audiotape of interview with Lewis Ranieri, Salomon Brothers (Part 2)
20.  2010-07-30 FCIC staff audiotape of interview with William Cohan, Author
21.  2010-08-04 FCIC staff audiotape of interview with Doug Roeder, Office of the Comptroller of the Currency (Part 1)
22.  2010-08-05 FCIC staff audiotape of interview with Harvey Miller, Weil, Gotshal & Manges LLP
23.  2010-08-05 FCIC staff audiotape of interview with Robert Kuttner, The American Prospect
24.  2010-08-05 FCIC staff audiotape of interview with Rodgin Cohen, Sullivan & Cromwell
25.  2010-08-09 FCIC staff audiotape of interview with Bart McDade, Lehman Brothers
26.  2010-08-09 FCIC staff audiotape of interview with Frank Filippis, Clayton Holdings LLC
27.  2010-08-11 FCIC staff audiotape of interview with Arthur Laffer, Author
28.  2010-08-11 FCIC staff audiotape of interview with John Cassidy, The New Yorker
29.  2010-08-12 FCIC staff audiotape of interview with Scott Patterson, Wall Street Journal
30.  2010-08-16 FCIC staff audiotape of interview with Alex Kirk, Lehman Brothers
31.  2010-08-18 FCIC staff audiotape of interview with Dean Baker, Center for Economic & Policy Research
32.  2010-08-19 FCIC staff audiotape of interview with Richard Levy, Wells Fargo
33.  2010-08-20 FCIC staff audiotape of interview with Christopher Cruise
34.  2010-08-24 FCIC staff audiotape of interview with Richard Kovacevich, Wells Fargo
35.  2010-09-02 FCIC staff audiotape of interview with Eugene Ludwig, Promotory Financial Group
36.  2010-09-14 FCIC staff audiotape of interview with Ray Romano, Freddie Mac
37.  2010-09-15 FCIC staff audiotape of interview with William Buell, JPMorgan Chase



38.  2010-09-16 FCIC staff audiotape of interview with Julia Gordon and Mike Calhoun, Center for Responsible Lending
39.  2010-09-22 FCIC staff audiotape of with Herb Sandler, Golden West Financial Corporation
40.  2010-09-23 FCIC staff audiotape of interview with John Stumpf, Wells Fargo
41.  2010-09-27 FCIC staff audiotape of interview with Sihan Shu, Paulson & Co.
42.  2010-09-28 FCIC staff audiotape of interview with Mel Martinez, Department of Housing and Urban Development
43.  2010-09-28 FCIC staff audiotape of interview with Nestor Dominguez, Citigroup (Part 1)
44.  2010-09-28 FCIC staff audiotape of interview with Nestor Dominguez, Citigroup (Part 2)
45.  2010-10-05 FCIC staff audiotape of interview with Jim Chanos, Kynikos Associates
46.  2010-10-06 FCIC staff audiotape of interview with Alphonso Jackson, Department of Housing and Urban Development (Part 1)
47.  2010-10-06 FCIC staff audiotape of interview with Susan Wachter, University of Pennsylvania
48.  2010-10-15 FCIC staff audiotape of interview with Prentiss Cox, Minnesota, Office of the State Attorney General
49.  2010-10-19 FCIC staff audiotape of interview with Ralph Cioffi, Bear Stearns Asset Management
50.  2010-10-20 FCIC staff audiotape of interview with Jamie Dimon, JPMorgan Chase
51.  2010-10-27 FCIC staff audiotape of interview with Greg Mankiw, Harvard University
52.  2010-10-28 FCIC staff audiotape of interview with John Paulson, Paulson & Co.
53.  2010-10-29 FCIC staff audiotape of interview with Andrew Davidson, Andrew Davidson & Co.
54.  2010-11-01 FCIC staff audiotape of interview with Alberto Gonzales, Attorney General
55.  2010-11-02 FCIC staff audiotape of interview with David Grais, Grais & Ellsworth LLP
56.  2010-11-08 FCIC staff audiotape of interview with Jim Rokakis, Ohio Cuyahoga County (Part 1)
57.  2010-11-08 FCIC staff audiotape of interview with Jim Rokakis, Ohio Cuyahoga County (Part 2)
58.  2010-11-10 FCIC staff audiotape of interview with Ed Lazear, Council of Economic Advisors
59.  2010-11-17 FCIC staff audiotape of interview with Marc Savitt, National Association of Independent Housing Professionals
60.  2010-11-18 FCIC staff audiotape of interview with Sujit CanagaRetna, Council of State Governments
61.  2010-11-22 FCIC staff audiotape of interview with Raghuram Rajan, University of Chicago
62.  2010-11-30 FCIC staff audiotape of interview with Lord Adair Turner, Financial Services Authority (UK)









II.  Documents- Round Two

- A.  0000-00-00 AIG CDS notional balances at year-end 2000-2010 Q1
- B.  0000-00-00 AIMA's Illustrative Questionnaire for Due Diligence of Bear Stearns High Grade Structured Credit Strategies Fund
- C.  0000-00-00 Bear Stearns Asset Management Collateral Manager Presentation
- D.  0000-00-00 Bear Stearns Asset Management Spreadsheet
- E.  0000-00-00 Bear Stearns Asset Management Spreadsheet of Funds
- F.  0000-00-00 Bear Stearns Cioffi and Tannin Compensation Table
- G.  0000-00-00 Bear Stearns High Grade Structured Credit Strategies Presentation
- H.  0000-00-00 Bear Stearns NAV estimate reconciliation chart
- I.  0000-00-00 Citi GSM Mortgage Finance Warehouse Lines of Credit
- J.  0000-00-00 Citi GSM Warehouse Lines Additions
- K.  0000-00-00 CMLTI-2006-nc2-prospectus
- L.  0000-00-00 Countrywide originations by quarter from 2003 to 2007
- M.  0000-00-00 Countrywide Whole Loan and MBS Sales to GSEs
- N.  0000-00-00 Golden West Table Showing ARM Originations by Year

- O.  0000-00-00 Goldman Sachs 2004-2007 Synthetic and Partially Synthetic CDOs
- P.  0000-00-00 Goldman Sachs Document re Valuation and Pricing Related to Initial Collateral Calls on Transactions with AIG
- Q.  0000-00-00 Goldman Sachs Loans Acquired from Residential Mortgage Originator Warehouse Counterparties
- R.  0000-00-00 Merrill Lynch Norma Flow of Funds
- S.  0000-00-00 Merrill Lynch Tranche Purchase Information
- T.  0000-00-00 Moodys Chronology Prepared by Eric Kolchinsky
- U.  0000-00-00 Moodys Summary of Key Provisions for Cash CDOs as of January 2000-2010
- V.  0000-00-00 OTS Memo re AIG Meeting with the Commission Bancaire
- W.  0000-00-00 SEC Narrative Chronologies- Merrill Lynch
- X.  0000-00-00 Spreadsheet of Markit Data MK00000001
- Y.  0000-00-00 Wells Fargo Chart re CRA Committments
- Z.  0000-00-00 Wells Fargo CRA Public Committments
- AA.  1997-09-19 Moodys Structured Finance Special Report on Alternative Financial Ratios for the Effects of Securitization
- AB.  1998-04-08 FRB Memo to the Committee on Consumer and Community Affairs
- AC.  1998-08-10 FRB Memorandum to Board Of Governors from Division of Consumer and Community Affairs
- AD.  2000-07-03 John Taylor Letter to the OTS re Responsible Alternative Mortgage Lending
- AE.  2003-10-22 Countryside Loan Program Guide- Non-Conforming COFI MTA or LIBOR
- AF.  2004-03-25 FRB Transcript of the Consumer Advisory Council Meeting
- AG.  2004-06-24 FRB Transcript of the Consumer Advisory Council Meeting
- AH.  2004-07-12 Countrywide Loan Program Guide- Non-Conforming COFI MTA or LIBOR
- AI.  2004-09-01 Countrywide Email from Mozilo to Kurland and McLaughlin re Subprime Residuals
- AJ.  2004-10-28 FRB Transcript of the Consumer Advisory Council
- AK.  2005-01-24 FRB Memo- Stress Scenarios on Bank Exposures to GSE Debt
- AL.  2005-03-07 Countrywide Loan Program Guide Non Conforming Programs
- AM.  2005-03-17 FRB Transcript of the Consumer Advisory Council Meeting
- AN.  2005-04-05 FRBNY Summary of Supervision for Citi 2004
- AO.  2005-04-11 Memorandum of Understanding Between the OTS and la Commission Bancaire
- AP.  2005-05-05 FRB Memo re Talking Points on House Prices
- AQ.  2005-06-14 Fannie Mae Presentation- Costs and Benefits of Mission Activities - Project Phineas
- AR.  2005-08-02 Countrywide Mozilo email to Garcia cc Kurland re Bank Assets
- AS.  2005-10-27 FRB Consumer Advisory Council Meeting Transcript FCIC
- AT.  2005-11-01 FRB Untitled Presentation on Nontraditional Mortgages
- AU.  2005-11-30 FRB Presentation- Residential Mortgage LendersPeer Group Survey- Analysis and Implications for First Lien Guidance
- AV.  2005-12-05 SEC Risk Management Review of Consolidated Supervised Entities
- AW.  2006-01-06 SEC Risk Management Review of Consolidated Supervised Entities
- AX.  2006-02-01 SEC Risk Management Review of Consolidated Supervised Entities
- AY.  2006-03-08 SEC Risk Management Review of Consolidated Supervised Entities
- AZ.  2006-04-13 Countrywide Mozilo email to Sieracki cc Kurland Sambol Spector McMurray
- BA.  2006-04-17 Countrywide Mozilo email to Sambol and Kurland re Subprime Seconds
- BB.  2006-04-17 Countrywide Sambol Email to Mozilo Email on Subprime Seconds
- BC.  2006-04-27 Moodys Email from O'Driscoll to Yoshizawa re Magnolia 2006-5 Class D
- BD.  2006-05-08 SEC Risk Management Review of Consolidated Supervised Entities
- BE.  2006-05-18 Countrywide Mozilo email to Sambol Bartlett Sieracki cc Kurland re Reducing Risk

## Reducing Cost

- BF.  2006-05-30 Moodys E Kolchinsky email to Y Fu, Y Yoshizawa
- BG.  2006-06-07 SEC Risk Management Review of Consolidated Supervised Entities
- BH.  2006-07-05 SEC Risk Management Review of Consolidated Supervised Entities
- BI.  2006-07-13 Countrywide Briefing Paper- Meeting with Office of Thrift Supervision
- BJ.  2006-08-00 Bear Stearns High Grade Structured Credit Strategies Enhanced Leverage Fund Private Placement Memo
- BK.  2006-08-02 SEC Risk Management Review of Consolidated Supervised Entities
- BL.  2006-08-12 Countrywide McMurray email to Mozilo cc Sambol Bartlett Sieracki and Garcia
- BM.  2006-09-07 Citi, Fannie Mae Term Sheet CMLTI 2006-NC2
- BN.  2006-09-07 SEC Risk Management Review of Consolidated Supervised Entities
- BO.  2006-10-06 SEC Risk Management Review of Consolidated Supervised Entities
- BP.  2006-10-12 Moody's Investor Service Invoice to Susan Mills
- BQ.  2006-11-07 SEC Risk Management Review of Consolidated Supervised Entities
- BR.  2006-11-23 Bear Stearns Matt Tannin email diary entry
- BS.  2006-12-05 SEC Risk Management Review of Consolidated Supervised Entities
- BT.  2006-12-31 Bear Stearns High Grade Structured Credit Strategies Enhanced Leverage Master Fund Financial Statements
- BU.  2006-12-31 Bear Stearns High Grade Structured Credit Strategies Master Fund Financial Statements
- BV.  2007-01-00 UBS Global CDO Group Presentation on Product Series
- BW.  2007-01-04 SEC Risk Management Review of Consolidated Supervised Entities
- BX.  2007-02-02 SEC Risk Management Review of Consolidated Supervised Entities
- BY.  2007-02-07 SEC Risk Management Review of Consolidated Supervised Entities
- BZ.  2007-03-01 SEC Risk Management Review of Consolidated Supervised Entities
- CA.  2007-03-02-03 Freddie Mac Board of Directors Presentation- Business Strategy
- CB.  2007-03-07 Bear Stearns Email from Matthew Tannin to Chavanne Klaus MEAG New York Corporation
- CC.  2007-03-12 Bear Stearns Asset Management Transcript of Conference Call
- CD.  2007-03-13 Fannie Mae Business Review Briefing
- CE.  2007-03-16 Bear Stearns Email from Matt Tannin to Bella Borg-Brenner
- CF.  2007-03-20 Lehman Board presentation on Subprime Mortgage Origination Business
- CG.  2007-03-22 Bear Stearns Email from Jim Crystal to Ralph Cioffi and others
- CH.  2007-03-23 Bear Stearns Email from Ralph Cioffi to Ken Mak
- CI.  2007-03-28 Moodys Yoshizawa email to Kirnon, McDaniel, Kolchinsky re CSFB Pipeline Info
- CJ.  2007-03-29 Bear Stearns Fixed Income Overview
- CK.  2007-03-30 Bear Stearns Email from Matthew Tannin to Steven Van Solkema
- CL.  2007-03-30 SEC Risk Management Review of Consolidated Supervised Entities
- CM.  2007-04-02 Email from Goldman Sachs to Bear Stearns
- CN.  2007-04-19 Bear Stearns Email from Steven Van Solkema
- CO.  2007-04-21 Fannie Mae Minutes of a Board of Directors Meeting
- CP.  2007-04-22 Bear Stearns Email from Matt Tannin to Ralph Cioffi
- CQ.  2007-04-25 Bear Stearns Asset Management Transcript of Conference Call
- CR.  2007-04-26 Bear Stearns Warren Spector e-mail message to Mary Kay Scucci
- CS.  2007-04-26 SEC Risk Management Review of Consolidated Supervised Entities
- CT.  2007-04-27 SEC Bear Stearns High Grade Risk Analysis
- CU.  2007-05-01 Bear Stearns Asset Management Email from Iris Semic
- CV.  2007-05-01 Bear Stearns Email re BSAM Marks from Goldman Sachs
- CW.  2007-05-01 Email from Goldman Sachs to Bear Stearns

- CX.  2007-05-08 Fannie Mae Housing Goals Audit Team Briefing
- CY.  2007-05-10 Bear Stearns Email from Robert Ervin
- CZ.  2007-05-16 Email from Bear Stearns Asset Management Hedge Fund Product Management
- DA.  2007-05-21 Fannie Mae Minutes of a Board of Directors Meeting
- DB.  2007-05-29 Bear Stearns Asset Management Pricing Committee Minutes
- DC.  2007-05-31 SEC Risk Management Review of Consolidated Supervised Entities
- DD.  2007-06-04 Bear Stearns Asset Management Pricing Committee Minutes
- DE.  2007-06-05 Bear Stearns Asset Management Pricing Committee Minutes
- DF.  2007-06-05 OCC Supervisory Letter to Bank of America
- DG.  2007-06-06 Bear Stearns Cioffi Email
- DH.  2007-06-06 Bear Stearns Email from Greg Quental to Andrew Donnellan et al
- DI.  2007-07-00 Merrill Lynch ABS CDO Update Presentation
- DJ.  2007-07-04 Moodys Email from Nicolas Weill to Raymond McDaniel, Brian Clarkson
- DK.  2007-07-05 SEC Risk Management Review of Consolidated Supervised Entities
- DL.  2007-07-13 Bear Stearns Email re High Grade Enhanced Leverage Q and A
- DM.  2007-07-17 Fannie Mae Board of Directors Management Report
- DN.  2007-07-22 Merrill Lynch Finance Committee Meeting Minutes
- DO.  2007-08-01 Countrywide Mozilo Email Chain
- DP.  2007-08-01 Fannie Mae Mudd ltr to Lockhart
- DQ.  2007-08-03 SEC Risk Management Review of Consolidated Supervised Entities
- DR.  2007-08-06 Countrywide Board Meeting Minutes
- DS.  2007-08-08 PWC Memo to AIGFP 2007 2Q Review Files
- DT.  2007-08-10 FHFA Lockhart letter to Mudd
- DU.  2007-08-13 Merrill Lynch Kenneth Bruce Analyst Report on Countrywide- Attractive Upside
- DV.  2007-08-14 FRB Memo to the Board re Countrywide
- DW.  2007-08-15 Countrywide Minutes of a Special Joint Telephonic Board of Directors Meeting of Countrywide
- DX.  2007-08-15 Fannie Mae Mudd letter to Lockhart
- DY.  2007-08-15 Merrill Lynch Kenneth Bruce Analyst Report on Countrywide -Liquidity Achilles Heel
- DZ.  2007-09-05 SEC Risk Management Review of Consolidated Supervised Entities
- EA.  2007-09-26 Merrill Lynch Presentation to the Risk Oversight Committee
- EB.  2007-10-05 SEC Risk Management Review of Consolidated Supervised Entities
- EC.  2007-10-11 PWC Notes of a Meeting at AIGFP
- ED.  2007-10-15 Citi Risk Management Review - Update to Corporate ARM Committee
- EE.  2007-10-21 Merrill Lynch Board of Directors Presentation- Leveraged Finance and Mortgage CDO Review
- EF.  2007-10-21 Moodys Presentation to Board of Directors re Credit policy issues at Moody's suggested by the subprime-liquidity crisis
- EG.  2007-11-01 AIG Cassano Email to Habayeb
- EH.  2007-11-06 SEC Risk Management Review of Consolidated Supervised Entities
- EI.  2007-11-09 Florida SBA Update on Sub-Prime Mortgage Meltdown
- EJ.  2007-11-09 SEC Countrywide Deposition of Angelo Mozilo Volume 1
- EK.  2007-11-30 SEC Risk Management Review of Consolidated Supervised Entities
- EL.  2007-12-00 BlackRock Solutions- Fannie Mae's Strategy and Business Model Supplementary Exhibits
- EM.  2007-12-07 AIG Super Senior Credit Transactions- Principal Collateral Provisions
- EN.  2007-12-21 Fannie Mae ltr to HUD re affordable housing goals
- EO.  2008-01-02 SEC Review of Consolidated Supervised Entities
- EP.  2008-01-30 SEC Matthew Eichner Email to James Giles et al

EQ.  2008-02-06 SEC Risk Management Review of Consolidated Supervised Entities

ER.  2008-02-12 Bear Stearns Tom Marano e-mail message to Alan Schwartz and Richie Metrick

ES.  2008-03-05 SEC Risk Management Review of Consolidated Supervised Entities

ET.  2008-03-07 Fannie Mae Email exchange between Mudd Steel and Levin

EU.  2008-03-07 OTS letter to AIG General Counsel and Board re Supervisory Concerns

EV.  2008-03-11 Hayman Email to Bear Stearns re Bear stearns Goldman Sachs Novation

EW.  2008-03-11 Hayman Second Email to Bear Stearns re Bear stearns Goldman Sachs Novation

EX.  2008-03-19 Joshua Rosner OFHEO Got Rolled, GrahamFisher Weekly Spew

EY.  2008-05-28 Lehman Presentation to the Federal Reserve- Update on Capital Liquidity

EZ.  2008-06-20 Corporate Library Profile of Bear Stearns Governance

FA.  2008-07-10 Lehman Brothers email Mocharko to Shanley

FB.  2008-07-11 FRB email Parkinson to Marshall

FC.  2008-07-22 OTS letter to FDIC re Examination of WaMu

FD.  2008-08-19 FRB Email re Goldman Sachs Report on AIG

FE.  2008-08-22 FHFA Dickerson letter to Syron

FF.  2008-08-28 Morgan Stanley Liquidity and Financing activity report to the FRBNY

FG.  2008-09-00 Fannie Mae Single Family Conventional Book Characteristics Overall

FH.  2008-09-00 Fannie Mae Single Family Conventional Book Characteristics Overall

FI.  2008-09-00 FDIC Problem Bank Memo on WaMu

FJ.  2008-09-03 Lehman Brothers Emil Cornejo e-mail to Janet Birney

FK.  2008-09-04 FHFA Dickerson ltr to Fannie Mae Mudd - Mid-year letter

FL.  2008-09-04 FHFA Dickerson ltr to Freddie Mac Syron - Mid-year letter

FM.  2008-09-06 FHFA Freddie Mac Conservatorship Memo

FN.  2008-09-10 FRBNY email from Patricia Mosser to Dudley etc re thoughts on Lehman

FO.  2008-09-11 Jane Buyers-Russo email to Paolo Tonucci attaching JPMorgan Notice to Lehman Brothers

FP.  2008-09-11 OTS letter to FDIC re WaMu ratings

FQ.  2008-09-12 FRBNY Hayley Boesky email to Bill Dudley re AIG Panic

FR.  2008-09-13 FRBNY Email re AIG Update

FS.  2008-09-13 FRBNY Email re AIG-Board Call

FT.  2008-09-14 FRBNY Alejandro LaTorre e-mail message to Adam Ashcraft

FU.  2008-09-14 FRBNY Email re AIG and the Discount Window

FV.  2008-09-15 FRBNY Email re AIG Lead Point FCIC-AIG0020500

FW.  2008-09-15 FRBNY Email re Morgan Stanley Counterparty Issues

FX.  2008-09-16 FRBNY Email re Merrill Lynch Overnight Info

FY.  2008-09-16 Systemic Impact of AIG Bankruptcy attachment to FRBNY internal email from Alejandro LaTorre to Geithner

FZ.  2008-09-17 FRBNY Email re Morgan Stanley Tri-Party Repo Activity

GA.  2008-09-18 FRBNY Email re Merrill Lynch Liquidity Update

GB.  2008-09-19 FRBNY Email re On-Site Primary Dealer Update

GC.  2008-09-19 Morgan Stanley Liquidity and Financing activity report to FRBNY

GD.  2008-09-20 Morgan Stanley Corporate Treasury Meeting with Federal Reserve

GE.  2008-09-27 FRB Beebe Memo to Burns re Wachovia Large Funds Providers












GF.  2008-09-28 FRBNY Email from Patrice Maher re Morgan Stanley Liquidity Changes
















































GG.  2008-10-03 Morgan Stanley Liquidity and Financing activity report to the FRBNY














































GH.  2008-10-22 FRBNY Email re Morgan, Goldman and Merrill Afternoon Update

GI.  2008-11-20 FDIC Richardson email to Cave Corston - RE CONFIDENTIAL - Citigroup - Deterioration




















GJ.  2008-11-21 FDIC Richardson Email re 11-21-08 Citi Liquidity Call Notes
















































- GK.  2008-11-22 FDIC Krimminger, Thomas, Murton Email Chain - RE Proposed Conduit
- GL.  2008-11-23 Memo to the FDIC Board from James R. Wigand and Herbert J. Held re recommendation for systemic risk determination for Citigroup
- GM.  2008-11-23 Transcript of FDIC Board of Directors meeting, closed session
- GN.  2009-01-11 FDIC Cox Email to Corston, Hoyer - FW Funding Vulnerabilities Memo
- GO.  2009-01-15 FDIC Board Meeting Transcript
- GP.  2009-01-15 Memo to the FDIC board of directors from Mitchell Glassman, Sandra Thompson, Arthur Murton, and John Thomas re Bank of America
- GQ.  2009-03-24 James Xanthos (Citigroup) testimony to FINRA
- GR.  2009-06-04 Freddie Mac- Cost of Affordable Housing Mission
- GS.  2009-08-05 FRBNY Report on Systemic Risk and Supervision Draft
- GT.  2009-09-07 Moody's Presentation- Introducing Moody's Mortgage Metrics- Subprime Just Became More Transparent
- GU.  2010-03-01 Citi Counsel letter to the FCIC
- GV.  2010-03-05 Mary Shapiro Response to Hearing Follow-Up Questions
- GW.  2010-03-08 Lloyd Blankfein Response to Hearing Follow-Up Questions
- GX.  2010-03-18 Citi Counsel letter to the FCIC re CRA
- GY.  2010-03-31 Citi Counsel letter to the FCIC
- GZ.  2010-04-16 E Holder ltr to G Cohen re Hearing One Follow-Up
- HA.  2010-04-27 Lisa Madigan Hearing One Follow-Up
- HB.  2010-04-28 Department of Justice Letter to the FCIC
- HC.  2010-05-11 Letter from Rabobank's Counsel to Judge Fried of Supreme Court of NY
- HD.  2010-05-26 JPMorgan Counsel Letter to the FCIC re CRA
- HE.  2010-05-31 FRB Richard Spillenkothen Paper- Observations on the Performance of Prudential Supervision
- HF.  2010-06-16 Bank of American Counsel letter to the FCIC
- HG.  2010-06-17 Robert Levin Response to Hearing Follow-up Questions
- HH.  2010-06-23 Nestor Dominguez, Murray Barnes, and Chuck Prince Response to Hearing Follow-up Questions
- HI.  2010-07-07 FCIC Staff Analysis of Housing Data
- HJ.  2010-07-15 Goldman Sachs Email to the FCIC
- HK.  2010-07-29 FinCEN Report to the FCIC on Countrywide
- HL.  2010-08-02 Massachusetts Attorney General (Martha Coakley) Comment Letter
- HM.  2010-08-09 FCIC Staff Analysis of Housing Data and Comparison with Ed Pinto Analysis
- HN.  2010-08-20 Bank of American Counsel Letter to the FCIC re the Community Reinvestment Act
- HO.  2010-09-21 Fannie Mae Counsel letter to the FCIC
- HP.  2010-09-21 Freddie Mac Counsel letter to the FCIC
- HQ.  2010-09-30 Moody's General counsel John Goggins Letter to FCIC re Clayton & Sacramento Hearing
- HR.  2010-10-14 Citi Counsel letters to the FCIC
- HS.  2010-10-14 FinCEN Response to Interrogatories
- HT.  2010-10-15 FinCEN Response to Interrogatories
- HU.  2010-10-15 Thomas Baxter Response to Hearing Follow-up Questions
- HV.  2010-10-19 Fannie Mae Counsel letter to the FCIC
- HW.  2010-10-19 Freddie Mac Counsel letter to FCIC
- HX.  2010-10-21 Department Of Justice letter to the FCIC
- HY.  2010-10-28 Sheila Bair Response to Hearing Follow-Up Questions
- HZ.  2010-11-01 Richard Bowen Response to Follow-Up Questions
- IA.  2010-11-02 FRB Email to FCIC re Richard Westerkamp Question

- IB.  2010-11-04 Citi Counsel letter to FCIC
- IC.  2010-11-16 FCIC emails with Ann Rutledge (R& R Consulting) re CDO ratings
- ID.  2010-11-16 JPMorgan Counsel letter to the FCIC
- IE.  2010-12-16 JPMorgan Counsel letter to FCIC
- IF.  2010-12-21 B Bernanke ltr to Angelides and Thomas re FOMC information
- IG.  2010-12-21 Magnetar Counsel Email to FCIC
- IH.  2010-12-22 Merrill Lynch Counsel Letter to the FCIC re Merrill CDOs
- II.  2010-12-22 William Black Email to the FCIC
- III.  Synced 1-3-2010
  - A.  H 2-3
    - 1.  FM-FCIC-N\_00003
      - a.  FCIC PLS CMBS purchases with Deal Name and Impairments
      - b.  Repurchases Closed in 2005 - 2009 Report Summary
    - 2.  FM-FCIC-N\_00004
      - a.  FCIC 2003-2004 PLS purchases with Deal Names and Impairments
    - 3.  1999 Report of Examination-FNM
    - 4.  1999-00-00 OFHEO Freddie Mac Report of Examination
    - 5.  2000 Report of Examination-FNM
    - 6.  2000-00-00 OFHEO Freddie Mac Report of Examination
    - 7.  2000-08-31 memo fr Dolores Smith and Glenn Loney to Gov Edward Gramlich, re Compliance Inspections
    - 8.  2000-10-05 Letter to Citi
    - 9.  2000-11-15 Memo re Citigroup's Acquisition of Associates
    - 10.  2000-12-05 FRB Memo
    - 11.  2001 Report of Examination-FNM
    - 12.  2001-00-00 OFHEO Freddie Mac Report of Examination
    - 13.  2001-03-05 Board Minutes
    - 14.  2002 Report of Examination-FNM
    - 15.  2002-00-00 OFHEO Freddie Mac Report of Examination
    - 16.  2002-09-30 BOG Meeting Minutes re Citigroup and Enron
    - 17.  2002-10-28 BOG Meeting Minutes re Citigroup's acquisition of CalFed (copy)
    - 18.  2002-12-13 Draft Proposal to CMAC to establish a Liquidity Put
    - 19.  2002-12-17 Minutes of CMAC meeting approving Liquidity Put
    - 20.  2003 Report of Examination\_Memo-FNM
    - 21.  2003-00-00 OFHEO Freddie Mac Special Report of Annual Examination
    - 22.  2003-02-19 Joint Letter from OCC & FRBNY to Citi Risk Managers
    - 23.  2003-07-28 Formal Agreement between Citi and the OCC
    - 24.  2004 OFHEO Report of Examination- Freddie Mac
    - 25.  2004 Report of Examination-FNM
    - 26.  2004-03-29 OCC Findings on Fixed Income Derivatives Examination
    - 27.  2004-09-23 Transmittal to Citibank of OCC Findings on Derivatives Examination
    - 28.  2004-09-27 Memo to File from OCC Examiner re Meeting with Citibank
    - 29.  2005 OFHEO Report of Examination- Freddie Mac
    - 30.  2005 Report of Examination-FNM
    - 31.  2005-01-13 OCC Findings on Risk Management Examination
    - 32.  2005-01-31 OCC Transmittal of Risk Management Findings
    - 33.  2005-03-12 Citigroup Response to Risk Management Findings
    - 34.  2005-05 Draft Close Out Report

35.  2005-05-09-27 Operations Review Report (FRBNY)
36.  2005-06-13 Notice of Failure to Meet Safety and Soundness
37.  2005-08-18 Minutes of CDO-ABS Warehouse Business Product Control and Market Risk Meeting
38.  2005-12-15 OCC Findings on North American Global Structured Credit Derivatives Examination
39.  2005-12-22 OCC Transmittal to Citibank of Derivatives Examination Findings
40.  2006 FHFA Report of Examination on Fannie Mae
41.  2006 Report of Examination-FNM
42.  2006-00-00 OFHEO Freddie Mac Report of Annual Examination
43.  2006-01-09 CMAC Proposal to issue CDS on Subprime backed ABS CDOs
44.  2006-01-18 Memo reflecting CMAC approval of subprime back ABS CDOs
45.  2006-03-06 Citibank Response to December 22, 2005 Transmittal
46.  2006-03-21 Email fr. Leonard Zawistowski to John McCormick re Mortgage Fraud discussion with FBI
47.  2006-06 OCC Review Check List of CMAC Approval of CDS Issued on ABS CDOs for compliance with Formal
48.  2006-11-21 OCC Recommendation for Removal of Formal Agreement
49.  2007 FHFA Report of Examination on Fannie Mae
50.  2007 OFHEO Report of Examination- Freddie Mac
51.  2007 Report of Examination-FNM
52.  2007-04-05 OCC Notice of Satisfaction of Safety and Soundness Notice
53.  2007-05-08 Transmittal of OCC Findings on Structured Credit Derivatives
54.  2007-10-26 OCC and FRBNY Ltr to D Bushnell re Operational Risk Management
55.  2007-11-19 Minutes of Meeting of Regulators with CRO Bushnell, GC Helfer, and COB Rubin
56.  2008 Report of Examination-FNM
57.  2008-00-00 OFHEO Freddie Mac Report of Annual Examination
58.  2008-01-17 Conclusion Memorandum on CDO Valuation
59.  2008-02-14 Letter to Pandit Regarding Serious Problems at Citibank
60.  2008-03-03 Nelson email re GSEs' credit risk
61.  2008-03-06 Farrell eml to Steel
62.  2008-03-08 Thomas eml to Steel
63.  2008-03-16 Norton eml to Steel Nason Ryan Kashkari
64.  2008-03-17 Email Chain with Mudd, Lockhart, Steel and Syron
65.  2008-03-17 Mudd eml to Lockhart
66.  2008-03-24 Citibank Letter to OCC Disputing OCC Findings
67.  2008-04-09 OCC Transmittal Letter and Report of Examination as of December 31, 2007
68.  2008-06-17 FINRA Report on the Examination of CGMI
69.  2008-08-22 Dickerson ltr to Mudd
70.  2008-08-26 FHFA ltr to D Mudd
71.  2008-09-01 Dickerson ltr to Mudd
72.  2008-09-06 FHFA Fannie Mae Conservatorship Memo
73.  2008-10-03 Board Minutes
74.  2008-10-05 Board Minutes
75.  2008-11-23 Board Minutes
76.  2009-06-05 Board Minutes
77.  2009-06-17 Board Minutes
78.  2009-12 Operations Review Close Out Report (copy)
79.  2010-01-25 Cumulative CFMSI Loan Losses Summary



80.  2010-01-25 Cumulative CMALT Loan Losses Summary
81.  2010-01-25 Cumulative CMSI Loan Losses Summary
82.  2010-01-25 Cumulative CRMSI Loan Losses Summary
83.  CITI 00004244 Citigroup Liquidity Put Discussion
84.  CITI 00007657 Presentation to the SEC Regarding Overall CDO Business and Subprime Exposure (2007-06)
85.  Citi 00037356 Citi memo re Valuation of Liquidity Puts (2006-10-19) (copy)
86.  CITI 00074187
87.  CITI 01611657 GSCP The Way Forward (Michael Raynes, 2007-09)
88.  CITI-FCIC 00000444 BOD Minutes (2007-10-15)
89.  CITI-FCIC 00000673 Corporate Audit and Risk Mgmt Cmte Minutes (2007-10-15)
90.  CITI-FCIC 00091337 Investor List to RMBS Synthetic CDOs - Response to I-20
91.  CITI-FCIC 00091341 RMBS and Citi-RMBS as a Percentage of Citi-CDO Portfolio - Response to I-22
92.  CITI-FCIC 00091762 CDOs and Citi-CDOs as a Percentage of Citi-CDO Portfolio - Response to I-29
93.  CITI-FCIC 00091763 Subprime Whole Loan Purchases in GSM, 2006-2010 - Response to I-35
94.  CITI-FCIC 00091766 Risk View of CDOs on ABS - Alan Smillie (2007-11-16)
95.  CITI-FCIC 00091799 Super Senior Contingent Credit Option Program for High Grade ABS CDO - May 2006
96.  CITI-FCIC 00092009 CAI SIV Update Fed Update Call (2007-11-30)
97.  CITI-FCIC 00093495 Citi SIV Critical Decision Defease vs Consolidation (2007-09-11)
98.  CITI-FCIC 00093507 Review of Credit Markets for CMAC (Murray Barnes, CMB MRM, 2007-11-19)
99.  CITI-FCIC 00099654 CEO Discussion on Global Credit Markets (2007-09-12)
100.  CITI-FCIC 00099767 CitiFinancial 1st and 2nd Mtg Delinquency by FICO 2005-9
101.  CITI-FCIC 00099779 CitiFinancial 1st and 2nd Mtg Delinquency by LTV, 2005-9
102.  CITI-FCIC 00099801 List of Cash and Synthetic CDO and CDO2 - Response to I-16, 17, 23
103.  CITI-FCIC-E 00016495 Cover Email to C Prince re Q3 Earnings Announcement (2007-10-01)
104.  CITI-FCIC-E 00016496 October 1, 2007 Recorded Call Transcript (Prince and Crittenden)
105.  CITI-FCIC-E 00016503 Citi PR re 3Q Writedowns (2007-10-01)
106.  CITI-FCIC-E 00024594 Tracking the Twin Storms and NYT Briefing Cover Email to C Prince (2007-07-30)
107.  CITI-FCIC-E 00024607 Tracking the Twin Storms - Catalysts of Market Volatility
108.  CITI-FCIC-E 00024768 C Prince to R Druskin re CMB Revenue - Water Torture (2007-08-03)
109.  CITI-FCIC-E 00031582 C Prince Email re Six Sigma Question (2007-09-26)
110.  CITI-FCIC-E 00031616 C Prince Email re Citi Silos (2007-10-02)
111.  CITI-FCIC-E 00036374 C Prince to R Rubin re Maheras and Super Seniors (2007-09-09)
112.  CITI-FCIC-E 00043432 Purchases, Sales, Securitizations, 3d Party UW (2005-2009)
113.  CITI-FCIC-E 00639388 D Bushnell Email to E Duke re Super Seniors (2007-08-13)
114.  CITI-FCIC-E 00641146 D Bushnell Email to E Duke re ABX (2007-07-26)
115.  CITI-FCIC-E 00743982 D Bowen to R Rubin and D Bushnell re concerns (2007-11-03)
116.  CITI-FCIC-E 00817791 GSM Non-Agency Cover Email (2007-03-27)
117.  CITI-FCIC-E 00817817 GSM Global Business Review - Focus on Non Agency Subprime (2007-03-28)
118.  CITI-FCIC-E 01030735
119.  CITI-FCIC-E 01030741
120.  Citigroup PowerPoint on U.S. CDOs












































121.  Citigroup Written Agreement with FRBNY
122.  CMLTI Asset-Backed Pass-Through Certificates
123.  FCIC-084936-084940
124.  FCIC-085004-085009
125.  FCIC-085111
126.  FCIC-085186-085197
127.  FCIC-085227-085232
128.  FCIC-085290-085298
129.  FCIC-085322-085325
130.  FCIC-085333-085340
131.  FCIC-085357-085360
132.  FCIC-085390-085391
133.  FCIC-085481-085482
134.  FCIC-085483-085497
135.  FCIC-085666-085667
136.  FCIC-086292-086294
137.  FCIC-086300-086301
138.  FCIC-086317-086318
139.  FCIC-086326-086340
140.  FCIC-086389-086394
141.  FCIC-086579-086585
142.  FCIC-086656-086657
143.  FCIC-086808
144.  FCIC-086813-086816
145.  FCIC-086817-086818
146.  FCIC-086819-086837
147.  FCIC-086838-086844
148.  FCIC-086872-086894
149.  FCIC-086936-086982
150.  FCIC-087039-087051
151.  FCIC-087159-087168
152.  FCIC-087204-087205
153.  FCIC-087211-087226
154.  FCIC-087291-087324
155.  FCIC-087325-087326
156.  FCIC-087343-087376
157.  FCIC-087383-087385
158.  FCIC-087396-087435
159.  FCIC-087437-087441
160.  FCIC-087443-087466
161.  FCIC-087619-087637
162.  FCIC-087639-087641
163.  FCIC-088499-088500
164.  FCIC-090757-090771
165.  FCIC-090804-090815
166.  FCIC-100293-100295
167.  FCIC-100296-100298


168.  FCIC-100307-100310
169.  FCIC-Citi 000193
170.  FCIC-Citi 000198-224 2008-04-15 Transmittal Letter and Report for 2007
171.  FCIC-FRBNY000042-000044
172.  FCIC-FRBNY000378-000435
173.  FCIC-FRBNY000550-000573
174.  FCIC-FRBNY000663-000666
175.  FCIC-FRBNY-000667-000673
176.  FCIC-FRBNY000674-000679
177.  FCIC-FRBNY000682-000699
178.  FCIC-FRBNY000700-000702
179.  FCIC-FRBNY000732-000746
180.  FCIC-FRBNY000819-000821
181.  FM\_FCIC\_00037328-00037330
182.  FM0COGR\_00339175-76
183.  FM-COGR\_00002344-2376
184.  FM-COGR\_00002902-35
185.  FM-COGR\_000050991-1123
186.  FM-COGR\_00010455-466
187.  FM-COGR\_00011806
188.  FM-COGR\_00012172
189.  FM-COGR\_00013201-211
190.  FM-COGR\_00051298-303
191.  FM-COGR\_00051327-44
192.  FM-COGR\_00051494-501
193.  FM-COGR\_00051545-51
194.  FM-COGR\_00051915-928
195.  FM-COGR\_00051930-34
196.  FM-COGR\_00052030-38
197.  FM-COGR\_00052043
198.  FM-COGR\_00052135-39
199.  FM-COGR\_00052211-218
200.  FM-COGR\_00052228-29
201.  FM-COGR\_00060379-98
202.  FM-COGR\_00060405-410
203.  FM-COGR\_00060412-23
204.  FM-COGR\_00060463-556
205.  FM-COGR\_00094010-025
206.  FM-COGR\_00138471-74
207.  FM-COGR\_00138639-653
208.  FM-COGR\_00138654-663
209.  FM-COGR\_00143155-174
210.  FM-COGR\_00143413-29
211.  FM-COGR\_00156147
212.  FM-COGR\_0038592-613
213.  FM-COGR00088741-786
214.  FM-COGR00138414-23










































215.  FM-COGR00138448-458
216.  FM-COGR00143101-154
217.  FM-COGR00143191-217
218.  FM-COGR00143219-245
219.  FM-COGR00143392-406
220.  FM-COGR00143464-69
221.  FM-FCIC\_00000210-00001686
222.  FM-FCIC\_00029045-00029046
223.  FM-FCIC\_00037331-00037338
224.  FM-FCIC\_00045293-00045380
225.  FM-FCIC\_30201-31970
226.  FM-FCIC\_31971-32727
227.  FM-FCIC\_37460-38661
228.  FM-FCIC\_38661-40181
229.  FM-FCIC\_42955-44071
230.  FM-FCIC\_44072-45287
231.  FM-FCIC-2\_00000047
232.  FM-FCIC-2\_00000048-00000145
233.  FM-FCIC-2\_00000146-00000158
234.  FM-FCIC-2\_00000159-00000165
235.  OCC3-00008493 2008-03-24 Citi Ltr to OCC Disputing OCC Findings
236.  OCC5-00076169-71
237.  Review of the Current Environment - D Bushnell (2007-10-30)
238.  Ridgeway Court Funding II Monthly Report 2007-11-06
239.  Ridgeway II Comparison of Marks on RMBS Collateral
240.  Ridgeway II Mortgage Originators and Sellers of Citi Underwritten RMBS
241.  Ridgeway loan level data excerpt
242.  Summary Report of New Century Mortgages- produced on 2010-03-02
243.  Undated scope memo of FRBNY on 2005 horizontal examinations of multiple companies
244.  UST-FCIC 0004918-19
245.  UST-FCIC 0004934-35
246.  UST-FCIC0004972-84
247.  UST-FCIC0005022
248.  UST-FCIC0005070-71




B.  H 4

1.  2006-06-26 Hedge Fund Derivative-Linked Products- Current Market Practice, Risk Management & Capital
2.  2006-10-03 Residential and Commercial Mortgage Securitization- Current Market Practice, Risk Managem
3.  2007-01-10 Minutes of Regular Meeting Board of Directors
4.  2007-01-23 Email from Jay Remis re Bear Res December 2006 Business Update
5.  2007-01-24 Email from Sandra Hong re Redemption Report for February 1, 2007
6.  2007-02-13 Email from Warren Spector re US Investment Banks- Examining the sector risk from sub-prim
7.  2007-02-13 Securities Broker Dealer- Little Exposure to subprime implosion
8.  2007-02-28 Email from Jay Remis re Bear Res January 2007 Business Update
9.  2007-03-19 Email from George Zahringer re Bear Stearns Sub-prime-Prime Mortgage Watch
10.  2007-03-22 Minutes of Regular Meeting of the Board of Directors


































11.  2007-04-18 The Bear Stearns Companies Inc. Minutes of Regular Meeting of Board of Directors
12.  2007-04-21 Email from Ralph Cioffi to Gerald Cummins, Matthew Tannin and John Geissinger
13.  2007-05 Funding and Liquidity Package
14.  2007-06-12 Email from Tom Marano to Warren Spector
15.  2007-06-14 Memo from Bob Upton & John Stacconi to Sam Molinaro re Liquidity Pool- Theory and Sizing
16.  2007-06-16 Email from Paul Friedman re Old Lane
17.  2007-06-17 Email from Tom Marano to Warren Spector
18.  2007-06-21 Internal email from Bill Jamison of Federated
19.  2007-06-24 Email from Tom Marano to Warren Spector
20.  2007-06-27 Federated Internal Memo re Counterparty Risk Committee
21.  2007-07-06 Email from Jeff Chan to Alan Greenberg, et al. re BSSC Weekly Net Capital Report
22.  2007-07-07 Email from John Mauldin and InvestorsInsght to Ralph Cioffi
23.  2007-07-12 Email from Tom Marano to Andrew Donnellan, Ralph Cioffi, Raymond Mcgarrigal
24.  2007-07-23 Memorandum from Bob Upton and Pat Lewis re Funding & Liquidity Packaged
25.  2007-08-03 Private Equity and Principal Investing- Current Market Practice, Risk Management & Capita
26.  2007-08-15 Email 2 from Paul Friedman re Thornburg
27.  2007-08-15 Email from Paul Friedman re Thornburg
28.  2007-08-15 Tom Marano Email to Lisa Anzalone
29.  2007-09-18 Bear Stearns Minutes of a Regular Meeting of the Board of Directors
30.  2007-09-18 JPMorgan Worldwide Securities Services Risk Review, Directors Risk Policy Committee
31.  2008-01-09 Email from David Rawlings re Paulson Partners follow up
32.  2008-01-10 Email from Fran Martiniello re Risk Policy Committee Meeting
33.  2008-01-16 Email from Michael Alix re Recession Scenario focus on Commercial Mortgages
34.  2008-01-16 President's Advisory Council
35.  2008-01-17 Bear Stearns Price Verification Quarterly Update
36.  2008-01-18 Lehman Brothers Equity Research
37.  2008-01-22 Minutes of Regular Meeting of the Operations Committee
38.  2008-01-30 Memo from Dan Chen re Weekly Mortgage Risk
39.  2008-02-01 Email chain re Project RENO
40.  2008-02-01 Email from Jim Collins re Nov-Dec CSE Calculations
41.  2008-02-02 Email from Tom Marano to Alan Schwartz
42.  2008-02-04 SEC Internal Audit report
43.  2008-02-05 Email from Elizabeth Ventura to Tom Marano, et al
44.  2008-02-05 Email from Michael Alix re Loan Presentation
45.  2008-02-05 Oliver Wyman Presentation
46.  2008-02-06 Memo from Dan Chen re Weekly Mortgage Risk
47.  2008-02-08 Email from Beau Paulk to Tom Marano et al
48.  2008-02-12 Bear Stearns Minutes of Regular Meeting of the Operations Committee
49.  2008-02-15 Email from Sam Molinaro to Alan Schwartz
50.  2008-02-20 Memo from Dan Chen re Weekly Mortgage Risk
51.  2008-02-20 Mortgage Portfolio Update
52.  2008-02-21 Email from Tom Marano to Sam Molinaro
53.  2008-02-21 memo re GMAC ResCap Restructuring and Proposed Transactions
54.  2008-02-27 Email from Paul Friedman re Non-Agency AAA Financing for Non-IG Clients
55.  2008-02-28 Email from Mary Rose O'Kane to Michael Alix

56.  2008-02-29 Email from Tom Marano re Global Master Repurchase Agreement
57.  2008-03-03 email from Tom Marano to Sam Molinaro, et al
58.  2008-03-04 Minutes of Regular Meeting of the Operations Committee
59.  2008-03-05 Email from Michael Alix re Firmwide Limits as of 2-29-08
60.  2008-03-05 Email from Sal Catrini re TMA 8K hits
61.  2008-03-08 Email from Paul Friedman to Tom Marano re Thornburg
62.  2008-03-08 email from Paul Friedman to Tom Marano, et al
63.  2008-03-09 Email from Paul Friedman re Thornburg
64.  2008-03-10 Internal email from Bill Jamison of Federated
65.  2008-03-11 Email from P Lewis to Eichner, Spurry, Giles, and Silva (copy)
66.  2008-03-12 Email from David Rawlings re Client Calls
67.  2008-03-12 Email from Paul Friedman to Tom Marano re Fixed Income
68.  2008-03-12 Memo fr. Tom Marano, Paul Friedman, and Wayne Buchman re Thornburg Mortgage Forbearance
69.  2008-03-12 Transcript of Alan Schwartz on CNBC Squawk
70.  2008-03-13 Bear Stearns Minutes of a Special Meeting of the Board of Directors
71.  2008-03-13 Email chain re Bear Credit Concerns affecting abx, cdx, and ig cds Business with RBC
72.  2008-03-13 Email from David Rawlings re investor moving PB accounts away from Bear
73.  2008-03-13 Email from Jerome Schneider re Mark-Up of Existing Terms
74.  2008-03-13 email from Michael Nierenberg to Tom Marano, et al.,
75.  2008-03-15 email from Tom Marano to Peter Bainlardi, Craig Overlander, Alan Schwartz, Jeff Mayer
76.  2008-03-16 Bear Stearns Minutes of a Special Meeting of the Board of Directors
77.  2008-03-16 Investor Presentation- JPMorgan Chase Acquiring Bear Stearns
78.  2008-03-18 Email from Tony Chow re Japan FSA Inquiry about liquidity
79.  2008-03-18 Email from Tony Chow to Robert Upton, Jeff Farber, Michael Alix and John Stacconi
80.  2008-03-20 Email from Michael Alix re Firmwide Drawdowns as of March 18, 2008
81.  2008-03-20 Email from Michael Alix re Firmwide Limits as of 3-7-2008
82.  2008-03-20 email from Tom Marano to group
83.  2008-03-26 Email from Paul Friedman to Wayne Buchan, et al. re Thornburg Mortgage Deal Pricing
84.  2008-06-26 Project LLC Summary of Findings and Observations Report by Ernst & Young
85.  2008-09 GECC Commercial Paper Issuance
86.  2008-09 GECC Commercial Paper Outstanding
87.  2008-09 GECC USD Commercial Paper Activity
88.  2008-09-25 unredacted IG Report
89.  2009-12-08 Heidi Miller, TSS State of Control and Risk Management
90.  2010-04-26 Interrogatories from JPMorgan
91.  BSC-FCIC 00000097
92.  BSC-FCIC 00000194
93.  BSC-FCIC 00000503
94.  BSC-FCIC-e00034207
95.  BSC-FCIC-E00143268-284
96.  BSC-FCIC-e00151617-18
97.  BSC-FCIC-e00739992
98.  BSC-FCIC-e00775627
99.  BSC-FCIC-e00795153

100.  BSC-FCIC-e00858753
101.  BSC-FCIC-e00923134
102.  BSC-FCIC-e00933887
103.  Federated Advised Funds - Changes in Approved Commercial Paper List
104.  Federated Money Markets & Municipal Fixed Income – 1st Quarter 2008 presentation
105.  Fitch Presentation, August 2007
106.  From the August 2007 General Session Minutes- Investment Research Presentation Cont'd
107.  GE Calendar of Events for September 2008
108.  GEFCIC 0001 Commercial Paper Market Overview (copy)
109.  GS FCIC 000000564
110.  GS MBS 0000024916-24955
111.  GS-PSI-00265
112.  JPM-FCIC 00000020
113.  JPMorgan, US Fixed Income Tri-Party Repo Update, undated
114.  Merrill Lynch Analysis Bear Stearns Asset Management- What Went Wrong
115.  Minutes of a Special Meeting of the Board of Directors December 12, 2007
116.  Provided by FRB New York Bank Supervision Group 2009 Operations Review Report FINAL (4.28.10 @ 5 pm)
117.  Provided by FRBNY 2005-05-09-27 Operations Review Report (FRBNY)
118.  Provided by FRBNY Transmittal Letter for FRB NY Ops Rev Final Rpt (4.28.10)(2)
119.  REDACTED for Release SEC Risk Management Reports
120.  SEC\_OCIE\_FCIC\_000185 - 000197
121.  SEC\_TM\_FCIC\_1053224-423
122.  SEC\_TM\_FCIC\_1053244 Email from P Lewis (Bear) to M Eichner, et al (3-10-08) re Liquidity Pool
123.  SEC\_TM\_FCIC\_1053247 Email from Matt Eichner to Brian Peters (March 11, 2008)
124.  SEC\_TM\_FCIC\_1053249 Internal SEC Email from Matt Eichner to CSE's Monitor (March 11, 2008)
125.  SEC\_TM\_FCIC\_1053253 Internal SEC Email from Matt Eichner to Sirri, Macchiaroli, and Robert Colby
126.  SEC\_TM\_FCIC\_1053280 Expected Activity for 3-17-08
127.  SEC\_TM\_FCIC\_1053292 Bear Stearns Notes by Steve Spurry, SEC (March 6-14, 2008)
128.  SEC\_TM\_FCIC\_1053301 Internal Audit Report, February 4, 2008
129.  SEC\_TM\_FCIC\_1053309 Internal SEC Doc - Bear Stearns Packet (May 30), Mtg Held June 20
130.  SEC\_TM\_FCIC\_1053317 SEC Timeline Regarding Bear Stearns (4-3-08)
131.  SEC\_TM\_FCIC\_1053322 SEC Memo on 'Bear Stearns Liquidity Pool Inspection' (March 2008)
132.  SEC\_TM\_FCIC\_1053369 Lori Richards Memo to Colby re CSE Exam of Bear (11-4-05)
133.  SEC\_TM\_FCIC\_1053424-657
134.  SEC\_TM\_FCIC\_1053426 SEC monitor's email of October 1, 2007
135.  SEC\_TM\_FCIC\_1053474 Internal SEC Doc - Bear Packet (7-31-08) re Meeting on August 15
136.  SEC\_TM\_FCIC\_1053483 Bear Stearns Co. Inc. Balance Sheet and Funding Composition (1-31-08)
137.  SEC\_TM\_FCIC\_1053533 Eichner memo to Colby re Risk Mgmt Rww of CSE (12-5-05 - 9-7-06)
138.  SEC\_TM\_FCIC\_1053587 Internal SEC Memo, Risk Mgmt Reviews of CSEs (2-2-07)
139.  SEC\_TM\_FCIC\_1053596 Memo to Eichner, Sirri, Division of Mkt Reg re Risk Mgmt Rww of CSE (3-30-07)
140.  SEC\_TM\_FCIC\_1053601 Memo to Eichner, Sirri, Mkt Reg re Risk Mgmt Rww of CSEs (4-26-07)

































141.  Securitizations Issues & Underwritten by Goldman Sachs 2006-present
142.  The Bear Stearns Companies Inc. 2007 Performance Compensation Plan
143.  The Bear Stearns Companies Inc. Finance and Risk Committee of the Board of Directors Charter
















































C.  H 5






















1.  09-02-2008 AIG Targeted Review
2.  2005-07-21 Email from Forster re Topics to discuss AIG-FCIC00145574
3.  2005-12-07 CRC Memo Broderick CDO I AIG-FCIC00098115
4.  2005-12-20 CRC memo re AIGGIG Global Securities Lending Cash Policy AIG-FCIC00550171-00550175
5.  2006-02-28 Email from Park to Cassano re exiting business-AIG-FCIC00109490
6.  2006-07-17 CRC Memo West Coast Funding I AIG-FCIC00384171
7.  2007-03-23 Timberwolf Offering Circular GS-MBS-E 000673671
8.  2007-05-22 CRC Minutes AIG-SEC9406809
9.  2007-07-26 email from Davilman to Frost re margin call 20bb of superseniorAIG-FCIC00370077-0037078
10.  2007-07-27 Ash Email to Friedman RE \$2bn margin call
11.  2007-07-27 Collateral Call GS 06017
12.  2007-07-27 L Brafman email to D Lehman RE FW Collateral Calls
13.  2007-07-31 D Lehman email to D Sparks RE Margin Call
14.  2007-08-01 Athan Email re HALO called needed tomorrow AM AIG-SEC9990006 (copy)
15.  2007-08-02 Email from Forster to Cassano & Micottis re GS Collateral marks AIG-SEC2035262
16.  2007-08-08 Email fr. Athan to Frost re Latest on GS AIG-SEC1913380
17.  2007-08-10 Side Letter Agreement AIG-SUBPRIME-SEC00000547-548
18.  2007-08-16 Email from Forster to Frost re Goldman AIGSEC11604624
19.  2007-09-11 Email Cassano Binder Tab 3
20.  2007-11-01 Email- Cassano Tab 15
21.  2007-11-02 Collateral Call GS 07806
22.  2007-11-05 email from Forster to Cassano AIG-SEC2152468
23.  2007-11-05 Micottis Collateral Call Spreadsheet
24.  2007-11-06 Audit Committee Meeting Minutes
25.  2007-11-07 PWC Memo PWC-FCIC 000224-240
26.  2007-11-09 Email re Merrill Marks Cassano Binder Tab 12
27.  2007-11-14 Email Cassano Binder Tab 5
28.  2007-11-18 Cassano Binder Tab 6 Email
29.  2007-11-19-20 email re Credit Perspective for the CFO AIG-SEC9422058
30.  2007-11-23 Margin Call to AIGFP GS 08183-8236
31.  2007-11-29 Email re FP Meeting Cassano Binder Tab 9- AIGSEC12689327
32.  2007-11-30 Email from Cassano to Dooley re GS call back AIG-SEC 2556794
33.  2007-11-30 Memo from AIGFP to GS re returning collateral payment AIG-SEC2556795
34.  2007-12-01 email from Cassano re November change in value for SS multisector CDO AIG-SEC5981397
35.  2007-12-05 Email to Cassano re PwC year-end Evaluation Cassano Binder Tab 13- AIG-SEC0005623
36.  2007-12-07 Deffered Comp - Write up for Comp Committee SEC00001499
37.  2007-12-14 Forster email re Collateral Dispute
38.  2007-12-17 Cassano email re 2007 special comp plan
39.  2007-12-23 email from Sherwood to Cassano & Viniar re CDO spreadsheet

























AIG-FCIC00336767-00336768
















































40.  2008 Targeted Review
41.  2008-01-02 Collateral Call GS 08890
42.  2008-01-07 Email Cassano Binder Tab 7
43.  2008-01-16 Email from Cassano to Sherwood re CDO valuations AIG-FCIC00345900-00345906
44.  2008-02-02 Habayeb Memo re Regulatory Capital Transactions PWC-FCIC 000084 - 95
45.  2008-02-06 PwC Meeting Notes PWC-FCIC 000384-386
46.  2008-02-29 Margin Call to AIGFP GS 09589-9608
47.  2008-03-16 email Michael Sutton to Robert Willumstad re AIG FT Article FRBNY-TOWNS-R1-193865-193866
48.  2008-04-28 Amended Side Letter GS 05877-05878
49.  2008-09-23 Status of Collateral Calls- Super Senior CDOs AIG-FCIC00384231-00384253
50.  2010-06-17 AIG (S Nettleton) ltr to D Noonan re Docs Production
51.  ABACUS 2005-CB1 information- AIG-FCIC00098415-00098425
52.  AIG 0000118
53.  AIG Biographies AIG-SEC9651653
54.  AIG Compensation Information AIG-FCIC00562661-678
55.  AIG Financial Products Corp. Deferred Compensation Plan AIG-FCIC00384195-00384214
56.  AIG Hedged January 2006-December 2008 GS FCIC 000000550-551
57.  AIG-FCIC 00220943-52
58.  AIG-FCIC 00384082-94
59.  AIG-FCIC 00522411
60.  AIG-FCIC00068785-91
61.  AIG-FCIC00077943-955
62.  AIG-FCIC00125836-840
63.  AIG-FCIC00336716
64.  AIG-FCIC00336717-19
65.  AIG-FCIC00348556-62
66.  AIG-FCIC00348567
67.  AIG-FCIC00384095-105
68.  AIG-FCIC00384118-127
69.  AIG-FCIC00384215-230
70.  AIG-FCIC00384296-4355
71.  AIG-FCIC00393724
72.  AIG-FCIC00403936
73.  AIG-FCIC00403938
74.  AIG-FCIC005209534
75.  AIG-FCIC00522328-35
76.  AIG-FCIC00522336-47
77.  AIG-FCIC00535455
78.  AIG-FCIC00561500-00561506
79.  AIGFP Target Examinations Limited Field Visitations 3-6-2006
80.  AIGFP Target Examinations Limited Field Visitations 3-6-2006 REDACTED
81.  AIGFP Target Examinations Limited Field Visitations 4-23-2007
82.  AIGFP\_Target\_Examinations\_Limited\_Field\_Visitations\_4-23-20071 REDACTED
83.  AIG-SEC1364131
84.  AIG-SEC1364133








































85.  AIG-SEC9996199
  86.  Cassano Binder Tab 32
  87.  GS 05795-5801
  88.  GS 05823-26
  89.  GS 05845-5847
  90.  GS 05860
  91.  GS 05862 -63
  92.  GS 06247-268
  93.  GS 06269-291
  94.  GS 09610
  95.  GS 09804-825
  96.  GS 09825
  97.  GS FCIC 000000080-167
  98.  GS MBS 0000021129-GS MBS 0000021133
  99.  GS MBS 0000027878 (CUSIP Overlap Analysis)
  100.  GS MBS 0000027880 - Notional amount by counterparty
  101.  GS MBS 0000027965 (copy)
  102.  GS MBS 0000027966
  103.  GS MBS 0000027967
  104.  GS MBS-E-001918219-22
  105.  GS MBS-E-00221171
  106.  GS MBS-E-002356757-58
  107.  GS MBS-E-005565527 -52
  108.  GS MBS-E-007980762
  109.  GS MBS-E-009209654-55
  110.  GS MBS-E-009635410
  111.  GS MBS-E-009726498
  112.  GS MBS-E-010381094 -95
  113.  GS MBS-E-010381411 -12
  114.  GS00067
  115.  GS08318
  116.  Listing of collateral movements from 2007-08-10 to 2008-12-31 GS-FCIC000000537-539
  117.  Meeting notes 2008-02-08 PWC-FCIC 000209-211
  118.  Memo to File by AIG FSD CFO Elias Habayeb PWC-FCIC 000101-108
  119.  Multisector CDO portfolio information AIG-FCIC00535400-FCIC00535402
  120.  PWC-FCIC 000001 - 12
  121.  PWC-FCIC 000019-28
  122.  PWC-FCIC 000473-476
  123.  PWC-FCIC 000477
  124.  PWC-FCIC 00379-380
  125.  PWC-FCIC 00381-383
  126.  PWC-FCIC 00387-389
  127.  SSCDS contract between AIGFP and Goldman Sachs GS 00001-62
  128.  Transcript of 2007-07-11 call AIG-SEC1910855
  129.  Transcript of 2007-07-30 Forster call AIG-SEC1361798
- D.  H 5a
1.  Corporate Library Board Analyst Profile of Moody's (copy)

2.  MOODYS-FCIC-0002561 July 2007 MCO Strategic Plan (McDaniel) [unredacted]
3.  MOODYS-FCIC-0002978-0003015 - Moody's 2007 Operating Plan
4.  MOODYS-FCIC-0003716 (2003-03-03) Moody's Bonus Ltr to Kolchinsky from Rutherford
5.  MOODYS-FCIC-0005186 - Derivatives (Americas) Org Chart
6.  MOODYS-FCIC-0005198 - Derivatices (Americas) Org Chart II
7.  MOODYS-FCIC-0005390 - Legal Violations Letter to Michael Kanef
8.  MOODYS-FCIC-0005390 - Legal Violations Letter to Michael Kanef(2)
9.  MOODYS-FCIC-0007328 (2006-05-26) Ratings Memorandum GSC ABS CDO 2006-2m Ltd
10.  MOODYS-FCIC-0008593 Rating Committee Memo CMLTI 206-NC2 (2006-08-29)
11.  MOODYS-FCIC-0012073 - Email From Jay Siegel Regarding Revised Silent Second
12.  MOODYS-FCIC-0012075 - Email from Jay Siegel Regarding Benefit For SQ1 Servicer
13.  MOODYS-FCIC-0012079 - Email from Jay Siegel Regarding the Interim Rule
14.  MOODYS-FCIC-0012103 - Email from Jay Siegel Regarding Second Liens IOs
15.  MOODYS-FCIC-0016904 - Email from Michael Zoccoli Regarding the Sep 2002YTD Distribution
16.  MOODYS-FCIC-0033971 - Email from Jay Siegel Subject-Rating Feedback Discussion
17.  MOODYS-FCIC-0035795 - Email from Brian Clarkson Subject-June YTD AFG by Analyst
18.  MOODYS-FCIC-0055776 - Email from Ray McDaniel Subject-Fitch Criticises Ratings of CPDOs
19.  MOODYS-FCIC-0184795 - Email from Ray McDaniel Subject-Re Short Guide to Subprime
20.  SEC\_OCIE\_FCIC\_000001 - SEC Letter to Ray McDaniel
21.  SEC\_OCIE\_FCIC\_000010 -SEC Fax to Ray McDaniel
22.  SEC\_OCIE\_FCIC\_000496 - Examination Report to Moody's Investor Services, Inc
23.  SEC\_OCIE\_FCIC\_000512 - SEC Credit Rating Agencies Report 1
24.  SEC\_OCIE\_FCIC\_000550 - The Office of Compliance Inspections & Examinations Memo
25.  Subprime Methodology Changes

E.  H 6






1.  07\_11\_08 Memo FCIC-155482-155491
2.  11\_17\_08 Letter WF-WACH-003797-3826
3.  2006 OCC ROE Wachovia
4.  2006 RAP FCIC-134446 – FCIC134579
5.  2006 Supervisory letter, April 4, 2007 FCIC-134580 –FCIC-134585
6.  2007 ROE FCIC 134717-725
7.  2010-03-23 D Noonan Interview with Scott Alvarez and Kiernan Fallon
8.  3\_09\_09 Memo to File fr. Scott G. Alvarez FCIC-133533-133548
9.  6\_16\_08 email FCIC-155446
10.  6\_17\_08 Email FCIC 154463-464
11.  6\_25\_08 Primary Dealer Monitoring- Liquidity Stress Analysis FCIC-155470-474 REDACTED
12.  7\_10\_08 email UST-FCIC 0029097
13.  7\_11\_08 Email FCIC-155481
14.  7\_11\_08 email UST-FCIC 0029096
15.  7\_12\_07 RAP FCIC-134586-FCIC 134716
16.  7\_12\_08 Email from McAndrews to McConnell FCIC-155504-06
17.  7\_12-13\_08 email FCIC-155510-12
18.  7\_14\_08 Email from Deborah Bailey FRB to Roger ColeFCIC-155293
19.  7\_14\_08 Email from Mark VanDerWeide, FRB to Scott Alvarez FRB FCIC-155285
20.  7\_15\_08 Email from Mark VanDerWeide FRB to Scott Alvarez FRB FCIC-155290
21.  7\_30\_08 Email from Mark VanDerWeide FRB to Deborah Bailey FRB FCIC-155214
22.  8\_04\_08 OCC letter and exam report OCC15-00300870-903
































23.  8\_18\_08 AIG Report by Goldman FCIC-AIG0015413-430
24.  8\_19\_08 MOU OCC15-00300853-869
25.  9\_05\_08 Email FCIC-156055-156057
26.  9\_10\_08 Email FCIC-154786
27.  9\_10\_08 email UST-FCIC 0029201
28.  9\_11\_08 email and gameplan FCIC-154768-773
29.  9\_11\_08 Email and plan FCIC-155196-201 (copy)
30.  9\_11\_08 Email FCIC-154785
31.  9\_11\_08 Email FCIC-154787-789
32.  9\_11\_08 email UST-FCIC 0029425-429
33.  9\_11\_08 email UST-FCIC 0029510
34.  9\_11\_08 email UST-FCIC 0029573
35.  9\_12\_08 email and attached speaking notes UST-FCIC 0029633-35
36.  9\_12\_08 Email and Financial Times article UST-FCIC 0029440-441
37.  9\_12\_08 email FCIC-154863
38.  9\_12\_08 email FCIC-154870-871
39.  9\_12\_08 email UST-FCIC0029418-424
40.  9\_13\_08 Email and article FCIC-155927-932
41.  9\_13\_08 Email and Master Timeline FCIC 155172-155175 REDACTED
42.  9\_13\_08 Email FCIC 154966-67 (copy)
43.  9\_13\_08 email FCIC-155967-969
44.  9\_13\_08 Email from Tobias to Ashcraft et al FCIC-SSI0001367-1371
45.  9\_13\_08 Emails FCIC 155902-904
46.  9\_14\_08 email and resolutions FCIC 154989-154991
47.  9\_14\_08 email FCIC-154997
48.  9\_14\_08 Email FCIC-155006-010
49.  9\_14\_08 email FCIC-156017-020
50.  9\_14\_08 email FCIC-AIG0021165-66 REDACTED
51.  9\_14\_08 email thread UST-FCIC0029411-0416
52.  9\_27\_08 Memo from Elizabeth Gress and John A. Beebe to Jennifer Burns re Wachovia
53.  9\_28\_08 Memo to the Board of Governors of the Federal Reserve System
54.  9\_29\_08 memo to the FDIC Board of Directors
55.  9\_9\_08 email and attachment FCIC-155639-647
56.  9-29-08 Meeting Minutes
57.  9-29-08 Transcript of Closed Meeting
58.  9-29-08 Wachovia Board Resolution
59.  FCIC - 155450 – FCIC-155452
60.  FCIC 155130-155134
61.  FCIC 155130-155134(2)
62.  FCIC 156050-156054
63.  FCIC-133426-133437
64.  FCIC-154477-79
65.  FCIC-154542-154545
66.  FCIC-154556-563
67.  FCIC-154564
68.  FCIC-154731 REDACTED
69.  FCIC-154739

70.  FCIC-154818-820
71.  FCIC-154847-850
72.  FCIC-155000
73.  FCIC-155011-013
74.  FCIC-155014-15 REDACTED
75.  FCIC-155027
76.  FCIC-155141-143 REDACTED
77.  FCIC-155144-147 REDACTED
78.  FCIC-AIG0015389
79.  FCIC-AIG0015409
80.  FCIC-AIG0016236
81.  FCIC-AIG0021217-218
82.  FCIC-AIG-0021602-604
83.  FCIC-SSI0007460
84.  FRBNY to Exam. 000017-000032\_redacted
85.  FRBNY to Exam. 000033-000037\_redacted
86.  FRBNY to Exam. 007968
87.  FRBNY to Exam. 024000- 31
88.  FRBNY to Exam. 024766-802
89.  FRBNY to Exam. 034332-33
90.  Moodys-FCIC-0383733
91.  Notes of 9\_14\_08 conference call with Dinallo FCIC-AIG0021169-72
92.  OCC 2005 Report of Examination of Wachovia
93.  OCC Quarterly Risk Assessment Profiles of Wachovia 2nd Quarter 2006
94.  OCC Quarterly Risk Assessment Profiles of Wachovia 2nd Quarter 2007
95.  OCC Quarterly Risk Assessment Profiles of Wachovia 2nd Quarter 2008
96.  UST-FCIC 0029177 REDACTED
97.  UST-FCIC 0029475 REDACTED
98.  UST-FCIC 0029499 REDACTED
99.  UST-FCIC 0029512 - UST-FCIC 0029514
100.  UST-FCIC 0029516-517 REDACTED
101.  UST-FCIC 0029680 REDACTED
102.  UST-FCIC 0029725-30 REDACTED
103.  UST-FCIC 0029964 REDACTED
104.  UST-FCIC 0030001 REDACTED
105.  Wachovia 2009 Supervisory Plan FCIC-136525 – FCIC 136621
106.  Wachovia power point feldberg
107.  WF-WACH-003782-3796
108.  WF-WACH-004017-20
109.  WF-WACH-016550













































IV.  Homefront

A.  H 2-3


1.  FM-FCIC-N 00003
  - a.  FCIC PLS CMBS purchases with Deal Name and Impairments
  - b.  Repurchases Closed in 2005 - 2009 Report Summary
2.  FM-FCIC-N 00004
  - a.  FCIC 2003-2004 PLS purchases with Deal Names and Impairments

3.  1999 Report of Examination-FNM
4.  2000 Report of Examination-FNM
5.  2000-08-31 memo fr Dolores Smith and Glenn Loney to Gov Edward Gramlich, re Compliance Inspections
6.  2000-11-15 Memo re Citigroup's Acquisition of Associates
7.  2000-12-05 FRB Memo
8.  2001 Report of Examination-FNM
9.  2002 Report of Examination-FNM
10.  2002-09-30 BOG Meeting Minutes re Citigroup and Enron
11.  2002-10-28 BOG Meeting Minutes re Citigroup's acquisition of CalFed (copy)
12.  2002-12-13 Draft Proposal to CMAC to establish a Liquidity Put
13.  2002-12-17 Minutes of CMAC meeting approving Liquidity Put
14.  2003 Report of Examination\_Memo-FNM
15.  2003-02-19 Joint Letter from OCC & FRBNY to Citi Risk Managers
16.  2003-07-28 Formal Agreement between Citi and the OCC
17.  2004 Report of Examination-FNM
18.  2004-03-29 OCC Findings on Fixed Income Derivatives Examination
19.  2004-09-23 Transmittal to Citibank of OCC Findings on Derivatives Examination
20.  2004-09-27 Memo to File from OCC Examiner re Meeting with Citibank
21.  2005 Report of Examination-FNM
22.  2005-01-13 OCC Findings on Risk Management Examination
23.  2005-01-31 OCC Transmittal of Risk Management Findings
24.  2005-03-12 Citigroup Response to Risk Management Findings
25.  2005-05 Draft Close Out Report
26.  2005-05-09-27 Operations Review Report (FRBNY)
27.  2005-06-13 Notice of Failure to Meet Safety and Soundness
28.  2005-08-18 Minutes of CDO-ABS Warehouse Business Product Control and Market Risk Meeting
29.  2005-12-15 OCC Findings on North American Global Structured Credit Derivatives Examination
30.  2005-12-22 OCC Transmittal to Citibank of Derivatives Examination Findings
31.  2006 FHFA Report of Examination on Fannie Mae
32.  2006 Report of Examination-FNM
33.  2006-01-09 CMAC Proposal to issue CDS on Subprime backed ABS CDOs
34.  2006-01-18 Memo reflecting CMAC approval of subprime back ABS CDOs
35.  2006-03-06 Citibank Response to December 22, 2005 Transmittal
36.  2006-03-21 Email fr. Leonard Zawistowski to John McCormick re Mortgage Fraud discussion with FBI
37.  2006-06 OCC Review Check List of CMAC Approval of CDS Issued on ABS CDOs for compliance with Formal
38.  2006-11-21 OCC Recommendation for Removal of Formal Agreement
39.  2007 FHFA Report of Examination on Fannie Mae
40.  2007 Report of Examination-FNM
41.  2007-04-05 OCC Notice of Satisfaction of Safety and Soundness Notice
42.  2007-05-08 Transmittal of OCC Findings on Structured Credit Derivatives
43.  2007-10-26 OCC and FRBNY Ltr to D Bushnell re Operational Risk Management
44.  2007-11-19 Minutes of Meeting of Regulators with CRO Bushnell, GC Helfer, and COB Rubin
45.  2008 Report of Examination-FNM
46.  2008-01-17 Conclusion Memorandum on CDO Valuation

47.  2008-02-14 Letter to Pandit Regarding Serious Problems at Citibank
48.  2008-03-03 Nelson email re GSEs' credit risk
49.  2008-03-06 Farrell eml to Steel
50.  2008-03-08 Thomas eml to Steel
51.  2008-03-16 Norton eml to Steel Nason Ryan Kashkari
52.  2008-03-17 Email Chain with Mudd, Lockhart, Steel and Syron
53.  2008-03-17 Mudd eml to Lockhart
54.  2008-03-24 Citibank Letter to OCC Disputing OCC Findings
55.  2008-04-09 OCC Transmittal Letter and Report of Examination as of December 31, 2007
56.  2008-08-22 Dickerson ltr to Mudd
57.  2008-09-01 Dickerson ltr to Mudd
58.  2008-09-06 FHFA Fannie Mae Conservatorship Memo
59.  2009-12 Operations Review Close Out Report (copy)
60.  2010-01-25 Cumulative CFMSI Loan Losses Summary
61.  2010-01-25 Cumulative CMALT Loan Losses Summary
62.  2010-01-25 Cumulative CMSI Loan Losses Summary
63.  2010-01-25 Cumulative CRMSI Loan Losses Summary
64.  CITI 00004244 Citigroup Liquidity Put Discussion
65.  CITI 00007657 Presentation to the SEC Regarding Overall CDO Business and Subprime Exposure (2007-06)
66.  Citi 00037356 Citi memo re Valuation of Liquidity Puts (2006-10-19) (copy)
67.  CITI 00074187
68.  CITI 01611657 GSCP The Way Forward (Michael Raynes, 2007-09)
69.  CITI-FCIC 00000444 BOD Minutes (2007-10-15)
70.  CITI-FCIC 00000673 Corporate Audit and Risk Mgmt Cmte Minutes (2007-10-15)
71.  CITI-FCIC 00091337 Investor List to RMBS Synthetic CDOs - Response to I-20
72.  CITI-FCIC 00091341 RMBS and Citi-RMBS as a Percentage of Citi-CDO Portfolio - Response to I-22
73.  CITI-FCIC 00091762 CDOs and Citi-CDOs as a Percentage of Citi-CDO Portfolio - Response to I-29
74.  CITI-FCIC 00091763 Subprime Whole Loan Purchases in GSM, 2006-2010 - Response to I-35
75.  CITI-FCIC 00091766 Risk View of CDOs on ABS - Alan Smillie (2007-11-16)
76.  CITI-FCIC 00091799 Super Senior Contingent Credit Option Program for High Grade ABS CDO - May 2006
77.  CITI-FCIC 00092009 CAI SIV Update Fed Update Call (2007-11-30)
78.  CITI-FCIC 00093495 Citi SIV Critical Decision Defease vs Consolidation (2007-09-11)
79.  CITI-FCIC 00093507 Review of Credit Markets for CMAC (Murray Barnes, CMB MRM, 2007-11-19)
80.  CITI-FCIC 00099654 CEO Discussion on Global Credit Markets (2007-09-12)
81.  CITI-FCIC 00099767 CitiFinancial 1st and 2nd Mtg Delinquency by FICO 2005-9
82.  CITI-FCIC 00099779 CitiFinancial 1st and 2nd Mtg Delinquency by LTV, 2005-9
83.  CITI-FCIC 00099801 List of Cash and Synthetic CDO and CDO2 - Response to I-16, 17, 23
84.  CITI-FCIC-E 00016495 Cover Email to C Prince re Q3 Earnings Announcement (2007-10-01)
85.  CITI-FCIC-E 00016496 October 1, 2007 Recorded Call Transcript (Prince and Crittenden)
86.  CITI-FCIC-E 00016503 Citi PR re 3Q Writedowns (2007-10-01)
87.  CITI-FCIC-E 00024594 Tracking the Twin Storms and NYT Briefing Cover Email to C Prince (2007-07-30)
88.  CITI-FCIC-E 00024607 Tracking the Twin Storms - Catalysts of Market Volatility











89.  CITI-FCIC-E 00024768 C Prince to R Druskin re CMB Revenue - Water Torture (2007-08-03)
90.  CITI-FCIC-E 00031582 C Prince Email re Six Sigma Question (2007-09-26)
91.  CITI-FCIC-E 00031616 C Prince Email re Citi Silos (2007-10-02)
92.  CITI-FCIC-E 00036374 C Prince to R Rubin re Maheras and Super Seniors (2007-09-09)
93.  CITI-FCIC-E 00043432 Purchases, Sales, Securitizations, 3d Party UW (2005-2009)
94.  CITI-FCIC-E 00639388 D Bushnell Email to E Duke re Super Seniors (2007-08-13)
95.  CITI-FCIC-E 00641146 D Bushnell Email to E Duke re ABX (2007-07-26)
96.  CITI-FCIC-E 00743982 D Bowen to R Rubin and D Bushnell re concerns (2007-11-03)
97.  CITI-FCIC-E 00817791 GSM Non-Agency Cover Email (2007-03-27)
98.  CITI-FCIC-E 00817817 GSM Global Business Review - Focus on Non Agency Subprime (2007-03-28)
99.  CITI-FCIC-E 01030735
100.  CITI-FCIC-E 01030741
101.  Citigroup PowerPoint on U.S. CDOs
102.  CMLTI Asset-Backed Pass-Through Certificates
103.  FCIC-084936-084940
104.  FCIC-085004-085009
105.  FCIC-085111
106.  FCIC-085186-085197
107.  FCIC-085227-085232
108.  FCIC-085290-085298
109.  FCIC-085322-085325
110.  FCIC-085333-085340
111.  FCIC-085357-085360
112.  FCIC-085390-085391
113.  FCIC-085481-085482
114.  FCIC-085483-085497
115.  FCIC-085666-085667
116.  FCIC-086292-086294
117.  FCIC-086300-086301
118.  FCIC-086317-086318
119.  FCIC-086326-086340
120.  FCIC-086389-086394
121.  FCIC-086579-086585
122.  FCIC-086656-086657
123.  FCIC-086808
124.  FCIC-086813-086816
125.  FCIC-086817-086818
126.  FCIC-086819-086837
127.  FCIC-086838-086844
128.  FCIC-086872-086894
129.  FCIC-086936-086982
130.  FCIC-087039-087051
131.  FCIC-087159-087168
132.  FCIC-087204-087205
133.  FCIC-087211-087226
134.  FCIC-087291-087324





































135.  FCIC-087325-087326
136.  FCIC-087343-087376
137.  FCIC-087383-087385
138.  FCIC-087396-087435
139.  FCIC-087437-087441
140.  FCIC-087443-087466
141.  FCIC-087619-087637
142.  FCIC-087639-087641
143.  FCIC-088499-088500
144.  FCIC-090757-090771
145.  FCIC-090804-090815
146.  FCIC-100293-100295
147.  FCIC-100296-100298
148.  FCIC-100307-100310
149.  FCIC-Citi 000193
150.  FCIC-Citi 000198-224 2008-04-15 Transmittal Letter and Report for 2007
151.  FCIC-FRBNY000042-000044
152.  FCIC-FRBNY000378-000435
153.  FCIC-FRBNY000550-000573
154.  FCIC-FRBNY000663-000666
155.  FCIC-FRBNY-000667-000673
156.  FCIC-FRBNY000674-000679
157.  FCIC-FRBNY000682-000699
158.  FCIC-FRBNY000700-000702
159.  FCIC-FRBNY000732-000746
160.  FCIC-FRBNY000819-000821
161.  FM\_FCIC\_00037328-00037330
162.  FM0COGR\_00339175-76
163.  FM-COGR\_00002344-2376
164.  FM-COGR\_00002902-35
165.  FM-COGR\_000050991-1123
166.  FM-COGR\_00010455-466
167.  FM-COGR\_00011806
168.  FM-COGR\_00012172
169.  FM-COGR\_00013201-211
170.  FM-COGR\_00051298-303
171.  FM-COGR\_00051327-44
172.  FM-COGR\_00051494-501
173.  FM-COGR\_00051545-51
174.  FM-COGR\_00051915-928
175.  FM-COGR\_00051930-34
176.  FM-COGR\_00052030-38
177.  FM-COGR\_00052043
178.  FM-COGR\_00052135-39
179.  FM-COGR\_00052211-218
180.  FM-COGR\_00052228-29
181.  FM-COGR\_00060379-98

182.  FM-COGR\_00060405-410
183.  FM-COGR\_00060412-23
184.  FM-COGR\_00060463-556
185.  FM-COGR\_00094010-025
186.  FM-COGR\_00138471-74
187.  FM-COGR\_00138639-653
188.  FM-COGR\_00138654-663
189.  FM-COGR\_00143155-174
190.  FM-COGR\_00143413-29
191.  FM-COGR\_00156147
192.  FM-COGR\_0038592-613
193.  FM-COGR00088741-786
194.  FM-COGR00138414-23
195.  FM-COGR00138448-458
196.  FM-COGR00143101-154
197.  FM-COGR00143191-217
198.  FM-COGR00143219-245
199.  FM-COGR00143392-406
200.  FM-COGR00143464-69
201.  FM-FCIC\_00000210-00001686
202.  FM-FCIC\_00029045-00029046
203.  FM-FCIC\_00037331-00037338
204.  FM-FCIC\_00045293-00045380
205.  FM-FCIC\_30201-31970
206.  FM-FCIC\_31971-32727
207.  FM-FCIC\_37460-38661
208.  FM-FCIC\_38661-40181
209.  FM-FCIC\_42955-44071
210.  FM-FCIC\_44072-45287
211.  FM-FCIC-2\_00000047
212.  FM-FCIC-2\_00000048-00000145
213.  FM-FCIC-2\_00000146-00000158
214.  FM-FCIC-2\_00000159-00000165
215.  OCC3-00008493 2008-03-24 Citi Ltr to OCC Disputing OCC Findings
216.  OCC5-00076169-71
217.  Review of the Current Environment - D Bushnell (2007-10-30)
218.  Ridgeway Court Funding II Monthly Report 2007-11-06
219.  Ridgeway II Comparison of Marks on RMBS Collateral
220.  Ridgeway II Mortgage Originators and Sellers of Citi Underwritten RMBS
221.  Ridgeway loan level data excerpt
222.  Undated scope memo of FRBNY on 2005 horizontal examinations of multiple companies
223.  UST-FCIC 0004918-19
224.  UST-FCIC 0004934-35
225.  UST-FCIC0004972-84
226.  UST-FCIC0005022
227.  UST-FCIC0005070-71











B.  H 4








1.  2006-06-26 Hedge Fund Derivative-Linked Products- Current Market Practice, Risk Management & Capital
2.  2006-10-03 Residential and Commercial Mortgage Securitization- Current Market Practice, Risk Managem
3.  2007-01-10 Minutes of Regular Meeting Board of Directors
4.  2007-01-23 Email from Jay Remis re Bear Res December 2006 Business Update
5.  2007-01-24 Email from Sandra Hong re Redemption Report for February 1, 2007
6.  2007-02-13 Email from Warren Spector re US Investment Banks- Examining the sector risk from sub-prim
7.  2007-02-13 Securities Broker Dealer- Little Exposure to subprime implosion
8.  2007-02-28 Email from Jay Remis re Bear Res January 2007 Business Update
9.  2007-03-19 Email from George Zahringer re Bear Stearns Sub-prime-Prime Mortgage Watch
10.  2007-03-22 Minutes of Regular Meeting of the Board of Directors
11.  2007-04-18 The Bear Stearns Companies Inc. Minutes of Regular Meeting of Board of Directors
12.  2007-04-21 Email from Ralph Cioffi to Gerald Cummins, Matthew Tannin and John Geissing
13.  2007-05 Funding and Liquidity Package
14.  2007-06-12 Email from Tom Marano to Warren Spector
15.  2007-06-14 Memo from Bob Upton & John Stacconi to Sam Molinaro re Liquidity Pool- Theory and Sizing
16.  2007-06-16 Email from Paul Friedman re Old Lane
17.  2007-06-17 Email from Tom Marano to Warren Spector
18.  2007-06-21 Internal email from Bill Jamison of Federated
19.  2007-06-24 Email from Tom Marano to Warren Spector
20.  2007-06-27 Federated Internal Memo re Counterparty Risk Committee
21.  2007-07-06 Email from Jeff Chan to Alan Greenberg, et al. re BSSC Weekly Net Capital Report
22.  2007-07-07 Email from John Mauldin and InvestorsInsght to Ralph Cioffi
23.  2007-07-12 Email from Tom Marano to Andrew Donnellan, Ralph Cioffi, Raymond MCGarrigal
24.  2007-07-23 Memorandum from Bob Upton and Pat Lewis re Funding & Liquidity Packaged
25.  2007-08-03 Private Equity and Principal Investing- Current Market Practice, Risk Management & Capita
26.  2007-08-15 Email 2 from Paul Friedman re Thornburg
27.  2007-08-15 Email from Paul Friedman re Thornburg
28.  2007-08-15 Tom Marano Email to Lisa Anzalone
29.  2007-09-18 Bear Stearns Minutes of a Regular Meeting of the Board of Directors
30.  2007-09-18 JPMorgan Worldwide Securities Services Risk Review, Directors Risk Policy Committee
31.  2008-01-09 Email from David Rawlings re Paulson Partners follow up
32.  2008-01-10 Email from Fran Martiniello re Risk Policy Committee Meeting
33.  2008-01-16 Email from Michael Alix re Recession Scenario focus on Commercial Mortgages
34.  2008-01-16 President's Advisory Council
35.  2008-01-17 Bear Stearns Price Verification Quarterly Update
36.  2008-01-18 Lehman Brothers Equity Research
37.  2008-01-22 Minutes of Regular Meeting of the Operations Committee
38.  2008-01-30 Memo from Dan Chen re Weekly Mortgage Risk
39.  2008-02-01 Email chain re Project RENO
40.  2008-02-01 Email from Jim Collins re Nov-Dec CSE Calculations
41.  2008-02-02 Email from Tom Marano to Alan Schwartz
42.  2008-02-04 SEC Internal Audit report

43.  2008-02-05 Email from Elizabeth Ventura to Tom Marano, et al
44.  2008-02-05 Email from Michael Alix re Loan Presentation
45.  2008-02-05 Oliver Wyman Presentation
46.  2008-02-06 Memo from Dan Chen re Weekly Mortgage Risk
47.  2008-02-08 Email from Beau Paulk to Tom Marano et al
48.  2008-02-12 Bear Stearns Minutes of Regular Meeting of the Operations Committee
49.  2008-02-15 Email from Sam Molinaro to Alan Schwartz
50.  2008-02-20 Memo from Dan Chen re Weekly Mortgage Risk
51.  2008-02-20 Mortgage Portfolio Update
52.  2008-02-21 Email from Tom Marano to Sam Molinaro
53.  2008-02-21 memo re GMAC ResCap Restructuring and Proposed Transactions
54.  2008-02-27 Email from Paul Friedman re Non-Agency AAA Financing for Non-IG Clients
55.  2008-02-28 Email from Mary Rose O'Kane to Michael Alix
56.  2008-02-29 Email from Tom Marano re Global Master Repurchase Agreement
57.  2008-03-03 email from Tom Marano to Sam Molinaro, et al
58.  2008-03-04 Minutes of Regular Meeting of the Operations Committee
59.  2008-03-05 Email from Michael Alix re Firmwide Limits as of 2-29-08
60.  2008-03-05 Email from Sal Catrini re TMA 8K hits
61.  2008-03-08 Email from Paul Friedman to Tom Marano re Thornburg
62.  2008-03-08 email from Paul Friedman to Tom Marano, et al
63.  2008-03-09 Email from Paul Friedman re Thornburg
64.  2008-03-10 Internal email from Bill Jamison of Federated
65.  2008-03-12 Email from David Rawlings re Client Calls
66.  2008-03-12 Email from Paul Friedman to Tom Marano re Fixed Income
67.  2008-03-12 Memo fr. Tom Marano, Paul Friedman, and Wayne Buchman re Thornburg Mortgage Forbearance
68.  2008-03-12 Transcript of Alan Schwartz on CNBC Squawk
69.  2008-03-13 Bear Stearns Minutes of a Special Meeting of the Board of Directors
70.  2008-03-13 Email chain re Bear Credit Concerns affecting abx, cdx, and ig cds Business with RBC
71.  2008-03-13 Email from David Rawlings re investor moving PB accounts away from Bear
72.  2008-03-13 Email from Jerome Schneider re Mark-Up of Existing Terms
73.  2008-03-13 email from Michael Nierenberg to Tom Marano, et al.,
74.  2008-03-15 email from Tom Marano to Peter Bainlardi, Craig Overlander, Alan Schwartz, Jeff Mayer
75.  2008-03-16 Bear Stearns Minutes of a Special Meeting of the Board of Directors
76.  2008-03-16 Investor Presentation- JPMorgan Chase Acquiring Bear Stearns
77.  2008-03-18 Email from Tony Chow re Japan FSA Inquiry about liquidity
78.  2008-03-18 Email from Tony Chow to Robert Upton, Jeff Farber, Michael Alix and John Stacconi
79.  2008-03-20 Email from Michael Alix re Firmwide Drawdowns as of March 18, 2008
80.  2008-03-20 Email from Michael Alix re Firmwide Limits as of 3-7-2008
81.  2008-03-20 email from Tom Marano to group
82.  2008-03-26 Email from Paul Friedman to Wayne Buchan, et al. re Thornburg Mortgage Deal Pricing
83.  2008-06-26 Project LLC Summary of Findings and Observations Report by Ernst & Young
84.  2008-09 GECC Commercial Paper Issuance
85.  2008-09 GECC Commercial Paper Outstanding
86.  2008-09 GECC USD Commercial Paper Activity





























87.  2008-09-25 unredacted IG Report
88.  2009-12-08 Heidi Miller, TSS State of Control and Risk Management
89.  2010-04-26 Interrogatories from JPMorgan
90.  BSC-FCIC 00000097
91.  BSC-FCIC 00000194
92.  BSC-FCIC 00000503
93.  BSC-FCIC-e00034207
94.  BSC-FCIC-e00739992
95.  BSC-FCIC-e00775627
96.  BSC-FCIC-e00795153
97.  BSC-FCIC-e00923134
98.  BSC-FCIC-e00933887
99.  Federated Advised Funds - Changes in Approved Commercial Paper List
100.  Federated Money Markets & Municipal Fixed Income – 1st Quarter 2008 presentation
101.  Fitch Presentation, August 2007
102.  From the August 2007 General Session Minutes- Investment Research Presentation Cont'd
103.  GE Calendar of Events for September 2008
104.  GEFCIC 0001 Commercial Paper Market Overview (copy)
105.  GS FCIC 000000564
106.  GS MBS 0000024916-24955
107.  GS-PSI-00265
108.  JPM-FCIC 00000020
109.  JPMorgan, US Fixed Income Tri-Party Repo Update, undated
110.  Merrill Lynch Analysis Bear Stearns Asset Management- What Went Wrong
111.  Minutes of a Special Meeting of the Board of Directors December 12, 2007
112.  Provided by FRB New York Bank Supervision Group 2009 Operations Review Report FINAL (4.28.10 @ 5 pm)
113.  Provided by FRBNY 2005-05-09-27 Operations Review Report (FRBNY)
114.  Provided by FRBNY Transmittal Letter for FRB NY Ops Rev Final Rpt (4.28.10)(2)
115.  REDACTED for Release SEC Risk Management Reports
116.  SEC\_OCIE\_FCIC\_000185 - 000197
117.  SEC\_TM\_FCIC\_1053369 Lori Richards Memo to Colby re CSE Exam of Bear (11-4-05)
118.  Securitizations Issues & Underwritten by Goldman Sachs 2006-present
119.  The Bear Stearns Companies Inc. 2007 Performance Compensation Plan
120.  The Bear Stearns Companies Inc. Finance and Risk Committee of the Board of Directors Charter











































C.  H 5












































1.  Corporate Library Board Analyst Profile of Moody's (copy)
2.  MOODYS-FCIC-0002561 July 2007 MCO Strategic Plan (McDaniel) [unredacted]
3.  MOODYS-FCIC-0002978-0003015 - Moody's 2007 Operating Plan
4.  MOODYS-FCIC-0003716 (2003-03-03) Moody's Bonus Ltr to Kolchinsky from Rutherford
5.  MOODYS-FCIC-0005186 - Derivatives (Americas) Org Chart
6.  MOODYS-FCIC-0005198 - Derivatices (Americas) Org Chart II
7.  MOODYS-FCIC-0005390 - Legal Violations Letter to Michael Kanef
8.  MOODYS-FCIC-0005390 - Legal Violations Letter to Michael Kanef(1)
9.  MOODYS-FCIC-0007328 (2006-05-26) Ratings Memorandum GSC ABS CDO 2006-2m Ltd
10.  MOODYS-FCIC-0008593 Rating Committee Memo CMLTI 206-NC2 (2006-08-29)

11.  MOODYS-FCIC-0012073 - Email From Jay Siegel Regarding Revised Silent Second
12.  MOODYS-FCIC-0012075 - Email from Jay Siegel Regarding Benefit For SQ1 Servicer
13.  MOODYS-FCIC-0012079 - Email from Jay Siegel Regarding the Interim Rule
14.  MOODYS-FCIC-0012103 - Email from Jay Siegel Regarding Second Liens IOs
15.  MOODYS-FCIC-0016904 - Email from Michael Zoccoli Regarding the Sep 2002YTD Distribution
16.  MOODYS-FCIC-0033971 - Email from Jay Siegel Subject-Rating Feedback Discussion
17.  MOODYS-FCIC-0035795 - Email from Brian Clarkson Subject-June YTD AFG by Analyst
18.  MOODYS-FCIC-0055776 - Email from Ray McDaniel Subject-Fitch Criticises Ratings of CPDOs
19.  MOODYS-FCIC-0184795 - Email from Ray McDaniel Subject-Re Short Guide to Subprime
20.  SEC\_OCIE\_FCIC\_000001 - SEC Letter to Ray McDaniel
21.  SEC\_OCIE\_FCIC\_000010 -SEC Fax to Ray McDaniel
22.  SEC\_OCIE\_FCIC\_000185 - 000197 (copy)
23.  SEC\_OCIE\_FCIC\_000496 - Examination Report to Moody's Investor Services, Inc
24.  SEC\_OCIE\_FCIC\_000512 - SEC Credit Rating Agencies Report 1
25.  SEC\_OCIE\_FCIC\_000550 - The Office of Compliance Inspections & Examinations Memo
26.  Subprime Methodology Changes
















































D.  H 6
















































1.  09-02-2008 AIG Targeted Review
2.  2005-07-21 Email from Forster re Topics to discuss AIG-FCIC00145574
3.  2005-12-07 CRC Memo Broderick CDO I AIG-FCIC00098115
4.  2005-12-20 CRC memo re AIGGIG Global Securities Lending Cash Policy  
AIG-FCIC00550171-00550175
5.  2006-02-28 Email from Park to Cassano re exiting business-AIG-FCIC00109490
6.  2006-07-17 CRC Memo West Coast Funding I AIG-FCIC00384171
7.  2007-03-23 Timberwolf Offering Circular GS-MBS-E 000673671
8.  2007-05-22 CRC Minutes AIG-SEC9406809
9.  2007-07-26 email from Davilman to Frost re margin call 20bb of superseniorAIG-  
FCIC00370077-0037078
10.  2007-07-27 Ash Email to Friedman RE \$2bn margin call
11.  2007-07-27 Collateral Call GS 06017
12.  2007-07-27 L Brafman email to D Lehman RE FW Collateral Calls
13.  2007-07-31 D Lehman email to D Sparks RE Margin Call
14.  2007-08-01 Athan Email re HALO called needed tomorrow AM AIG-SEC9990006 (copy)
15.  2007-08-02 Email from Forster to Cassano & Micottis re GS Collateral marks AIG-SEC2035262
16.  2007-08-08 Email fr. Athan to Frost re Latest on GS AIG-SEC1913380
17.  2007-08-10 Side Letter Agreement AIG-SUBPRIME-SEC00000547-548
18.  2007-08-16 Email from Forster to Frost re Goldman AIGSEC11604624
19.  2007-09-11 Email Cassano Binder Tab 3
20.  2007-11-01 Email- Cassano Tab 15
21.  2007-11-02 Collateral Call GS 07806
22.  2007-11-05 email from Forster to Cassano AIG-SEC2152468
23.  2007-11-05 Micottis Collateral Call Spreadsheet
24.  2007-11-06 Audit Committee Meeting Minutes
25.  2007-11-07 PWC Memo PWC-FCIC 000224-240
26.  2007-11-09 Email re Merrill Marks Cassano Binder Tab 12
27.  2007-11-14 Email Cassano Binder Tab 5
28.  2007-11-18 Cassano Binder Tab 6 Email





































29.  2007-11-19-20 email re Credit Perspective for the CFO AIG-SEC9422058
30.  2007-11-19-20 email re Credit Perspective for the CFO AIG-SEC9422058 (copy)
31.  2007-11-23 Margin Call to AIGFP GS 08183-8236
32.  2007-11-29 Email re FP Meeting Cassano Binder Tab 9- AIGSEC12689327
33.  2007-11-30 Email from Cassano to Dooley re GS call back AIG-SEC 2556794
34.  2007-11-30 Memo from AIGFP to GS re returning collateral payment AIG-SEC2556795
35.  2007-11-30 Memo from AIGFP to GS re returning collateral payment AIG-SEC2556795 (copy)
36.  2007-12-01 email from Cassano re November change in value for SS multisector CDO AIG-SEC5981397
37.  2007-12-05 Email to Cassano re PwC year-end Evaluation Cassano Binder Tab 13- AIG-SEC0005623
38.  2007-12-07 Deffered Comp - Write up for Comp Committee SEC00001499
39.  2007-12-14 Forster email re Collateral Dispute
40.  2007-12-17 Cassano email re 2007 special comp plan
41.  2007-12-23 email from Sherwood to Cassano & Vinjar re CDO spreadsheet AIG-FCIC00336767-00336768
42.  2008 Targeted Review
43.  2008-01-02 Collateral Call GS 08890
44.  2008-01-07 Email Cassano Binder Tab 7
45.  2008-01-16 Email from Cassano to Sherwood re CDO valuations AIG-FCIC00345900-00345906
46.  2008-01-16 Email from Cassano to Sherwood re CDO valuations AIG-FCIC00345900-00345906 (copy)
47.  2008-02-02 Habayeb Memo re Regulatory Capital Transactions PWC-FCIC 000084 - 95
48.  2008-02-06 PwC Meeting Notes PWC-FCIC 000384-386
49.  2008-02-29 Margin Call to AIGFP GS 09589-9608
50.  2008-03-16 email Michael Sutton to Robert Willumstad re AIG FT Article FRBNY-TOWNS-R1-193865-193866
51.  2008-04-28 Amended Side Letter GS 05877-05878
52.  2008-09-23 Status of Collateral Calls- Super Senior CDOs AIG-FCIC00384231-00384253
53.  2008-09-23 Status of Collateral Calls- Super Senior CDOs AIG-FCIC00384231-00384253 (copy)
54.  2010-06-17 AIG (S Nettleton) ltr to D Noonan re Docs Production
55.  ABACUS 2005-CB1 information- AIG-FCIC00098415-00098425
56.  AG 0000118
57.  AG 0000118 (copy)
58.  AIG Biographies AIG-SEC9651653
59.  AIG Compensation Information AIG-FCIC00562661-678
60.  AIG Financial Products Corp. Deferred Compensation Plan AIG-FCIC00384195-00384214
61.  AIG Hedged January 2006-December 2008 GS FCIC 000000550-551
62.  AIG-FCIC 00220943-52
63.  AIG-FCIC 00384082-94
64.  AIG-FCIC 00522411
65.  AIG-FCIC00068785-91
66.  AIG-FCIC00077943-955
67.  AIG-FCIC00125836-840
68.  AIG-FCIC00336716
69.  AIG-FCIC00336717-19
70.  AIG-FCIC00348556-62


71.  AIG-FCIC00348567
72.  AIG-FCIC00384095-105
73.  AIG-FCIC00384118-127
74.  AIG-FCIC00384215-230
75.  AIG-FCIC00384296-4355
76.  AIG-FCIC00393724
77.  AIG-FCIC00403936
78.  AIG-FCIC00403938
79.  AIG-FCIC005209534
80.  AIG-FCIC00522328-35
81.  AIG-FCIC00522336-47
82.  AIG-FCIC00535455
83.  AIG-FCIC00561500-00561506
84.  AIGFP Target Examinations Limited Field Visitations 3-6-2006
85.  AIGFP Target Examinations Limited Field Visitations 3-6-2006 REDACTED
86.  AIGFP Target Examinations Limited Field Visitations 4-23-2007
87.  AIGFP\_Target\_Examinations\_Limited\_Field\_Visitations\_4-23-20071 REDACTED
88.  AIG-SEC1364131
89.  AIG-SEC1364133
90.  AIG-SEC9996199
91.  AIG-SEC9996199 (copy)
92.  Cassano Binder Tab 32
93.  GS 05795-5801
94.  GS 05823-26
95.  GS 05845-5847
96.  GS 05860
97.  GS 05862 -63
98.  GS 06247-268
99.  GS 06269-291
100.  GS 09610
101.  GS 09804-825
102.  GS 09825
103.  GS FCIC 000000080-167
104.  GS MBS 0000021129-GS MBS 0000021133
105.  GS MBS 0000021129-GS MBS 0000021133 (copy)
106.  GS MBS 0000027878 (CUSIP Overlap Analysis)
107.  GS MBS 0000027880 - Notional amount by counterparty
108.  GS MBS 0000027965 (copy)
109.  GS MBS 0000027966
110.  GS MBS 0000027967
111.  GS MBS 0000027967 (copy)
112.  GS MBS-E-001918219-22
113.  GS MBS-E-00221171
114.  GS MBS-E-002356757-58
115.  GS MBS-E-005565527 -52
116.  GS MBS-E-007980762
117.  GS MBS-E-009209654-55



118.  GS MBS-E-009635410
  119.  GS MBS-E-009726498
  120.  GS MBS-E-010381094 -95
  121.  GS MBS-E-010381411 -12
  122.  GS00067
  123.  GS08318
  124.  Listing of collateral movements from 2007-08-10 to 2008-12-31 GS-FCIC000000537-539
  125.  Meeting notes 2008-02-08 PWC-FCIC 000209-211
  126.  Memo to File by AIG FSD CFO Elias Habayeb PWC-FCIC 000101-108
  127.  Multisector CDO portfolio information AIG-FCIC00535400-FCIC00535402
  128.  PWC-FCIC 000001 - 12
  129.  PWC-FCIC 000019-28
  130.  PWC-FCIC 000473-476
  131.  PWC-FCIC 000477
  132.  PWC-FCIC 00379-380
  133.  PWC-FCIC 00381-383
  134.  PWC-FCIC 00387-389
  135.  SSCDS contract between AIGFP and Goldman Sachs GS 00001-62
  136.  Transcript of 2007-07-11 call AIG-SEC1910855
  137.  Transcript of 2007-07-30 Forster call AIG-SEC1361798
- E.  H 7
1.  07\_11\_08 Memo FCIC-155482-155491
  2.  11\_17\_08 Letter WF-WACH-003797-3826
  3.  2006 OCC ROE Wachovia
  4.  2006 RAP FCIC-134446 – FCIC134579
  5.  2006 Supervisory letter, April 4, 2007 FCIC-134580 –FCIC-134585
  6.  2007 ROE FCIC 134717-725
  7.  2010-03-23 D Noonan Interview with Scott Alvarez and Kiernan Fallon
  8.  3\_09\_09 Memo to File fr. Scott G. Alvarez FCIC-133533-133548
  9.  6\_16\_08 email FCIC-155446
  10.  6\_17\_08 Email FCIC 154463-464
  11.  6\_25\_08 Primary Dealer Monitoring- Liquidity Stress Analysis FCIC-155470-474 REDACTED
  12.  7\_10\_08 email UST-FCIC 0029097
  13.  7\_11\_08 Email FCIC-155481
  14.  7\_11\_08 email UST-FCIC 0029096
  15.  7\_12\_07 RAP FCIC-134586-FCIC 134716
  16.  7\_12\_08 Email from McAndrews to McConnell FCIC-155504-06
  17.  7\_12-13\_08 email FCIC-155510-12
  18.  7\_14\_08 Email from Deborah Bailey FRB to Roger ColeFCIC-155293
  19.  7\_14\_08 Email from Mark VanDerWeide, FRB to Scott Alvarez FRB FCIC-155285
  20.  7\_15\_08 Email from Mark VanDerWeide FRB to Scott Alvarez FRB FCIC-155290
  21.  7\_30\_08 Email from Mark VanDerWeide FRB to Deborah Bailey FRB FCIC-155214
  22.  8\_04\_08 OCC letter and exam report OCC15-00300870-903
  23.  8\_18\_08 AIG Report by Goldman FCIC-AIG0015413-430
  24.  8\_19\_08 MOU OCC15-00300853-869
  25.  9\_05\_08 Email FCIC-156055-156057
  26.  9\_10\_08 Email FCIC-154786

27.  9\_10\_08 email UST-FCIC 0029201
28.  9\_11\_08 email and gameplan FCIC-154768-773
29.  9\_11\_08 Email and plan FCIC-155196-201 (copy)
30.  9\_11\_08 Email FCIC-154785
31.  9\_11\_08 Email FCIC-154787-789
32.  9\_11\_08 email UST-FCIC 0029425-429
33.  9\_11\_08 email UST-FCIC 0029510
34.  9\_11\_08 email UST-FCIC 0029573
35.  9\_12\_08 email and attached speaking notes UST-FCIC 0029633-35
36.  9\_12\_08 Email and Financial Times article UST-FCIC 0029440-441
37.  9\_12\_08 email FCIC-154863
38.  9\_12\_08 email FCIC-154870-871
39.  9\_12\_08 email UST-FCIC0029418-424
40.  9\_13\_08 Email and article FCIC-155927-932
41.  9\_13\_08 Email and Master Timeline FCIC 155172-155175 REDACTED
42.  9\_13\_08 Email FCIC 154966-67 (copy)
43.  9\_13\_08 email FCIC-155967-969
44.  9\_13\_08 Email from Tobias to Ashcraft et al FCIC-SSI0001367-1371
45.  9\_13\_08 Emails FCIC 155902-904
46.  9\_14\_08 email and resolutions FCIC 154989-154991
47.  9\_14\_08 email FCIC-154997
48.  9\_14\_08 Email FCIC-155006-010
49.  9\_14\_08 email FCIC-156017-020
50.  9\_14\_08 email FCIC-AIG0021165-66 REDACTED
51.  9\_14\_08 email thread UST-FCIC0029411-0416
52.  9\_27\_08 Memo from Elizabeth Gress and John A. Beebe to Jennifer Burns re Wachovia
53.  9\_28\_08 Memo to the Board of Governors of the Federal Reserve System
54.  9\_29\_08 memo to the FDIC Board of Directors
55.  9\_9\_08 email and attachment FCIC-155639-647
56.  9-29-08 Meeting Minutes
57.  9-29-08 Transcript of Closed Meeting
58.  9-29-08 Wachovia Board Resolution
59.  FCIC - 155450 – FCIC-155452
60.  FCIC 155130-155134
61.  FCIC 155130-155134(1)
62.  FCIC 156050-156054
63.  FCIC-133426-133437
64.  FCIC-154477-79
65.  FCIC-154542-154545
66.  FCIC-154556-563
67.  FCIC-154564
68.  FCIC-154731 REDACTED
69.  FCIC-154739
70.  FCIC-154818-820
71.  FCIC-154847-850
72.  FCIC-155000
73.  FCIC-155011-013

74.  FCIC-155014-15 REDACTED
75.  FCIC-155027
76.  FCIC-155141-143 REDACTED
77.  FCIC-155144-147 REDACTED
78.  FCIC-AIG0015389
79.  FCIC-AIG0015409
80.  FCIC-AIG0016236
81.  FCIC-AIG0021217-218
82.  FCIC-AIG-0021602-604
83.  FCIC-SSI0007460
84.  FRBNY to Exam. 000017-000032\_redacted
85.  FRBNY to Exam. 000033-000037\_redacted
86.  FRBNY to Exam. 007968
87.  FRBNY to Exam. 024000- 31
88.  FRBNY to Exam. 024766-802
89.  FRBNY to Exam. 034332-33
90.  Moodys-FCIC-0383733
91.  Notes of 9\_14\_08 conference call with Dinallo FCIC-AIG0021169-72
92.  OCC 2005 Report of Examination of Wachovia
93.  OCC Quarterly Risk Assessment Profiles of Wachovia 2nd Quarter 2006
94.  OCC Quarterly Risk Assessment Profiles of Wachovia 2nd Quarter 2007
95.  OCC Quarterly Risk Assessment Profiles of Wachovia 2nd Quarter 2008
96.  UST-FCIC 0029177 REDACTED
97.  UST-FCIC 0029475 REDACTED
98.  UST-FCIC 0029499 REDACTED
99.  UST-FCIC 0029512 - UST-FCIC 0029514
100.  UST-FCIC 0029516-517 REDACTED
101.  UST-FCIC 0029680 REDACTED
102.  UST-FCIC 0029725-30 REDACTED
103.  UST-FCIC 0029964 REDACTED
104.  UST-FCIC 0030001 REDACTED
105.  Wachovia 2009 Supervisory Plan FCIC-136525 – FCIC 136621
106.  Wachovia power point feldberg
107.  WF-WACH-003782-3796
108.  WF-WACH-004017-20
109.  WF-WACH-016550

F.  FCIC-document-inventory

V.  Cabinet Inbox

Series Related to the Preparation of the Final Report:

### **Latest Versions of the Final Report**

NAID: 24823586














































Extent: 220 data files






This series contains files related to drafting the final report. Many of the files were moved from this cabinet as they were finalized for the final report. The “Timeline” records contain the most current versions of the timeline as it was prepared for the web. The “Tracking” records contain all the trackers for various parts of the final report.

File list

# NetDocuments Document Report


Latest versions - 3/1/2011 9:36:20 AM









- I.  Timeline
  - A.  2011-02-01 - FCIC timeline - old excel version
  - B.  2011-02-07 - Timeline - footnotes removed for transfer
  - C.  2011-02-08 - Timeline final
- II.  Section I -- Landscape
  - A.  OLD
    - 1.  #2010-10-05 - Section I Outline
    - 2.  OLD - 2010-11-05 (1900) - OLD - Section I, Ch. 2 and Ch. 3 - to C-VC
  - B.  Prepping
  - C.  2011-1-1 Section 1, Intro--to commissioners
- III.  Front and back matter
  - A.  OLD
  - B.  2010-12-03 - Timeline - AF edits
  - C.  2010-12-13 - Preface
  - D.  2010-12-20 - Book Shortened Timeline
  - E.  OLD-2010-12-15 - Glossary- OLD excel format
- IV.  Conclusions
  - A.  OLD
    - 1.  OLD - 12-19-2010 (1700) - Findings and Conclusions- OLD
    - 2.  OLD - 2010-12-22 (0200) - Chapter Conclusions - commissioner revision - OLD
- V.  Part A
  - A.  Part A Quote requests
- VI.  Section IV -- Economic
  - A.  OLD
    - 1.  #2010-10-03 (1200) - Section IV - OUTLINE in progress
  - B.  Prepping
- VII.  Section II -- Housing
  - A.  Old versions Section II
    - 1.  #2010-10-28 - Section II Outline (revised)
    - 2.  2010-11-27 - Section II, Intro - MB edit
    - 3.  2010-17-10 - SUBSECTION - Section II, Ch. 4 subsection on mortgage fraud - to commissioners
    - 4.  OLD-2010-10-25 (1800) - OLD Section II, Ch. 2-- OLD
    - 5.  OLD-2010-11-19 (1200) - OLD Section II, Ch. 4 as renumbered - OLD
  - B.  Prepping
- VIII.  Section III -- Financial
  - A.  OLD
    - 1.  #2010-10-03 (1300) - Section III - OUTLINE in progress
    - 2.  2010-11-27 - Section III, Intro - MB edit
  - B.  Prepping
  - C.  Section II
    - 1.  #2010-10-03 (1300) - Section III - OUTLINE in progress
    - 2.  2010-10-25 (2000) - Section III, Ch. 5 - sent to commissioners; AF ed
    - 3.  2010-10-29 (1600) - Section III - Ch. 8 - to commissioners; AF ed; GS check
    - 4.  2010-10-29 (1700) - Section III, Ch. 4 - to commissioners; ed by AF; BK-Mina
    - 5.  2010-11-01 (2100) - Section III, Ch. 2 - ed by AF; RC factchecked

6.  2010-11-08 (1300) - Section III, Ch. 1. - ed by AF; checks by BK
7.  2010-11-10 (1800) - Section III, Ch. 3 - sent to commissioners; AF ed
8.  2010-11-11 (2000) - Section III - Ch. 9 - AF edit
9.  2010-11-15 (900) - Section III, Ch. 6 - GSEs - to commissioners - AF ed
10.  2010-11-21 (0200) - Section III, Ch. 7 -AF ed, RC checked

IX.  Tracking

A.  Prepare for 12-22

B.  Versions back from Jane L.


1.  I.4 jl 2010-10-24 (2300) - Section I, Chapter 4 - Subprime - for Jane
2.  II.1 jl 2010-10- 21 (1800) - Section II, Ch. 1 - for Jane
3.  III.1 jl 2010-10-18 (1600) - Section III, Ch. 1
4.  III.2 jl 2010-10-22 (1730) - Section III, Ch. 2
5.  Jane- 2010-10-17 (1300) Section 1, Chapter 3 - GSEs
6.  Jane- 2010-10-20 (1000) Section I, Ch. 1 - Parallel Banking
7.  Jane-2010-10-20 (1000) - Section I, Ch. 2
8.  Jane-2010-18-10 (1500) - Part A - Draft

C.  FCIC Chapter Status Report


D.  glossary Lewin 11.2


E.  Graphics tracking


F.  MH notes--quote checks

X.  Additional Views


A.  Bill Thomas

1.  Bill Thomas additional or dissenting view



B.  Doug Holtz-Eakin

1.  Doug Holtz-Eakin additional or dissenting view

C.  Keith Hennessey

1.  FCIC draft dissenting or additional view Hennessey (v14)

D.  Peter Wallison

1.  Dissenting statement Commissioner Wallison Long Form12-17 draft
2.  Dissenting statement Wallison Short Form 12-17 draft (2)

XI.  Cabinet Inbox

## **Preliminary Book Material**


NAID: 24823587














































Extent: 2,293 data files

This series contains a copy of the final hearing material from the Commissioner's Workspace cabinet as well as early drafts of the final report from the beginning of the process in the summer of 2010.














































File list














# NetDocuments Document Report

 Prel. Book Mat - 3/1/2011 9:54:03 AM

- I.  Supporting Materials
  - A.  Commissioners
    - 1.  2010-09-01 Commissioners meeting
  - B.  Forum
    - 1.  Brunnermeir
      - a.  g1Brunnermeier\_Bio
      - b.  g2Brunnermeier\_paper
      - c.  g3Brunnermeier\_slides
      - d.  g4Brunnermeier\_followup\_questions
      - e.  g5Brunnermeier\_followup\_reponse
    - 2.  Geanakoplos
      - a.  c1Geanakoplos\_Bio
      - b.  c2Geanakoplos\_paper
      - c.  c3Geanakoplos\_slides
      - d.  c4Geanakoplos\_followup\_questions
    - 3.  Gorton
      - a.  i1Gorton\_Bio
      - b.  i2Gorton\_paper
      - c.  i3Gorton\_followup\_questions
    - 4.  Gourinchas
      - a.  b1Gourinchas\_Bio
      - b.  b2Gourinchas\_paper
      - c.  b3Gourinchas\_followup\_questions
      - d.  b4Gourinchas\_followup\_responses
      - e.  b5Gourinchas\_glob\_imbalances
      - f.  b6Gourinchas\_ITA\_Table
      - g.  b7Gourinchas\_slides
      - h.  b8Gourinchas\_Treasury\_survey\_2010
    - 5.  Jaffee
      - a.  f1Jaffee\_bio
      - b.  f2Jaffee\_paper
      - c.  f3Jaffee\_slides
      - d.  f4Jaffee\_followup\_questions
      - e.  f5Jaffee\_followup\_responses
      - f.  f6Jaffee\_Quinn Shreds and Patches 0310
    - 6.  Kashyap
      - a.  h1Kashyap\_Bio
      - b.  h2Kashyap\_paper
      - c.  h3Kashyap\_supplemental\_paper
      - d.  h4Kashyap\_slides
      - e.  h5Kashyap\_followup\_questions
      - f.  h6Kashyap\_followup\_responses
    - 7.  Kroszner
      - a.  a1Kroszner\_Bio
      - b.  a2Kroszner\_paper



- c.  a3Kroszner\_updated\_table1 (042010)
  - d.  a4Kroszner\_slides
  - e.  a5Kroszner\_followup\_questions
  - f.  a6Kroszner\_followup\_responses
- 8.  Lusardi
    - a.  d1Lusardi\_bio
    - b.  d2Lusardi\_paper
    - c.  d3Lusardi\_slides
    - d.  d4Lusardi\_followup\_questions
    - e.  d5Lusardi\_followup\_response
- 9.  Mayer
    - a.  e1Mayer\_Bio
    - b.  e2Mayer\_paper
    - c.  e3Mayer\_slides
    - d.  e4Mayer\_followup\_questions
- 10.  Financial Crisis Inquiry Commission\_Forum\_Feb 26 and 27\_public\_agenda
- C.  Hearing Transcripts
    - 1.  2010-01-13 HEARING 1 TRANSCRIPT - DAY 1
    - 2.  2010-01-14 HEARING 1 TRANSCRIPT - DAY 2
    - 3.  2010-04-07 HEARING 2& 3 TRANSCRIPT [HOUSING, SECURITIZATION, GSEs] - DAY 1
    - 4.  2010-04-08 HEARING 2& 3 TRANSCRIPT [HOUSING, SECURITIZATION, GSEs] - DAY 2
    - 5.  2010-04-09 HEARING 2& 3 TRANSCRIPT [HOUSING, SECURITIZATION, GSEs] - DAY 3
    - 6.  2010-05-05 HEARING 4 TRANSCRIPT [SHADOW BANKING] DAY 1
    - 7.  2010-05-06 HEARING 4 TRANSCRIPT [SHADOW BANKING] DAY 2
    - 8.  2010-06-02 HEARING 5A TRANSCRIPT [RATING AGENCIES]
    - 9.  2010-06-30 HEARING 5 TRANSCRIPT [DERIVATIVES] - DAY 1
    - 10.  2010-07-01 HEARING 5 TRANSCRIPT [DERIVATIVES] - DAY 2
- D.  Hearing Videos
    - 1.  Credibility of Credit Ratings, the Investment Decisions Made Based on Those Ratings, and the Financi
    - 2.  First Public Hearing on the FDIC
    - 3.  Forum to Explore the Causes of the Financial Crisis
    - 4.  Hearing Videos
    - 5.  Subprime Lending and Securitization and Government-Sponsored Enterprises
    - 6.  The Role of Derivatives in the Financial Crisis
    - 7.  The Shadow Banking System
- E.  mPIRs
    - 1.  m - 2010-06-11 (Bubb) Financial Guarantors and the Financial Crisis
    - 2.  m - 2010-08-21 (Kahn) FinGuar outline
- F.  PIRs
    - 1.  PIR - AIG
    - 2.  PIR - AIG (Summary)
    - 3.  PIR - Bear Stearns
    - 4.  PIR - Bear Stearns (Summary)
    - 5.  PIR - Citigroup
    - 6.  PIR - Citigroup (Summary)
    - 7.  PIR - Citigroup's Banking Supervisors - OCC
    - 8.  PIR - Credit Ratings and the Financial Crisis (Moody's)

















































9.  PIR - Fannie Mae
10.  PIR - Fannie Mae (Summary)
11.  PIR - Federal Reserve's Regulation of Subprime Mortgage Lending
12.  PIR - Financial Narrative for Citigroup (Summary)
13.  PIR - GE
14.  PIR - GE (Summary)
15.  PIR - Goldman Sachs
16.  PIR - Goldman Sachs (Summary)
17.  PIR - Lehman Brothers
18.  PIR - Lehman Brothers (Summary)
19.  PIR - OCC and Preemption (Summary)
20.  PIR - Wachovia
21.  PIR - Wachovia (Summary)















































G.  PSRs









































1.  PSR - Credit Derivatives and Mortgage-Related Credit Derivatives
2.  PSR - Credit Ratings and the Financial Crisis
3.  PSR - Government Sponsored Enterprises and the Financial Crisis
4.  PSR - Mortgage Crisis
5.  PSR - Overview on Derivatives
6.  PSR - Securitization and the Mortgage Crisis
7.  PSR - Shadow Banking and the Financial Crisis
8.  PSR - The Community Reinvestment Act and the Mortgage Crisis
9.  PSR - The Role of the Federal Reserve in Banking Supervision and Regulation
10.  PSR - Too-Big-To-Fail Financial Institutions

H.  TopIRs






































1.  Z - OLD
  - a.  2010-07-20 (Paul) History of Subprime
  - b.  2010-08-20 (Paul) Housing Bubble Dynamics
  - c.  2010-9-17 Securitization Report
  - d.  T - 2010-08-20 (Wahl) Fraud Draft
  - e.  T - 2010-08-24 (Maasry) Macro and Housing Draft
  - f.  T - 2010-08-27 (Hinkley, Krebs) Securitization Draft
  - g.  T - 2010-08-31 (Miller, Stroebel) Shadow Banking & Supervision (copy)
  - h.  T - 2010-09-01 (Paul) Regional - Bakersfield
  - i.  T - 2010-09-01 (Paul) Regional - Las Vegas
  - j.  T - 2010-09-01 (Paul) Regional - Miami
  - k.  T - 2010-09-01 (Paul) Regional - Sacramento
2.  2010-08-12 (Simhai) SEC supervision of CSEs
3.  2010-08-23 (Easterly) Regulatory change in financial services, 1980-2000 Draft
4.  2010-08-31 (Easterly) - History of corporate governance
5.  2010-08-31 (Easterly) - Housing bubble debate
6.  2010-08-31 (Easterly) - Monoline bond insurers Draft
7.  2010-10-12 - Use of off-balance-sheet entities (JPoulin)
8.  2010-10-12- Regulators and capital TopIR rough draft (Sanderford)
9.  2010-10-15 - Draft Compensation TOPIR (Dangaman)
10.  T - 2010-08-08 (Stanton) Risk Management
11.  T - 2010-08-12 (Dubas, Cunicelli) CRA Draft

12.  T - 2010-08-12 (Massry) International Draft
  13.  T - 2010-08-24 (Dodd) - Models Outline
  14.  T - 2010-08-24 (Dodd) Derivatives and Supervision Outline
  15.  T - 2010-08-24 (Shafer) Role of Credit Derivatives
  16.  T - 2010-08-26 (Stroebe) Hedge Funds Draft
  17.  T - 2010-08-30 (Sanderford) Regulators and Capital Draft
  18.  T - 2010-08-31 (Easterly) - Tax
  19.  T - 2010-08-31 (Miller) MMFs Draft
  20.  T - 2010-08-31 (Miller, Stroebe) Securities Lending
  21.  T - 2010-09-01 (Stroebe) - SIV and ABCP Draft
  22.  T - 2010-09-02 (Hinkley, Krebs) Securitization Draft
  23.  T - 2010-09-03 (Paul) Regional - Bakersfield
  24.  T - 2010-09-03 (Paul) Regional - Las Vegas Regional
  25.  T - 2010-09-14 (Poulin) - Accounting Draft
  26.  T - 2010-09-17 (Wahl) Fraud Draft
  27.  T - 2010-10-07 (Wilmarth) Regulatory Inaction and Preemption
  28.  T - 2010-10-09 (Miller, Stroebe) Shadow Banking & Supervision
  29.  T - 2010-9-17 (Paul) Regional - Miami
  30.  T - 2010-9-17 (Paul) Regional - Sacramento
  31.  T 2010-9-10 (Easterly, Maasry, Paul) Housing and the Wider Economy
  32.  T-2010-9-3 TOPIR Securitization
  33.  TOPIR securitization (Abridged)
    - I.  40 CDO Manager Survey
    - J.  Feinberg Treasury TARP Compensation Report September 2010
- II.  Charts and Figures
- A.  Financial Sector Lobbying and Political Contributions
- III.  2\_Housing
- A.  Section II drafts--post Mike Bryan, round 1
    1.  Sec. II, ch. 1, Mike Edit
  - B.  Section II-drafts pre-Mike Bryan
    1.  Section II chapter 1 09-24-2010 revised draft without comments
    2.  Section II chapter 2 09-27-2010 draft
    3.  Section II chapter 3 09-22-2010 draft
  - C.  2010-07-20 Section II early draft
  - D.  2010-08-16 Section II outline
  - E.  2010-08-24 Section II NOTES
  - F.  2010-09-04 - Section II draft
  - G.  2010-09-29 Draft II-2
  - H.  2010-11-02 (2000) Section II.1
- IV.  4\_Economic
- A.  2010-09-03 Section IV draft
  - B.  2010-10-30 Section IV draft
  - C.  2010-11-02 Section IV draft, Nov. 2, incorporating MH edits
  - D.  S4 090310
  - E.  S4 Outline
  - F.  Section 4
  - G.  Section 4
  - H.  Section IV draft notes





































- I.  tasklist
- V.  1\_Landscape
  - A.  OLD Section 1 (Landscape)
  - B.  2010-09-02 Section I draft
  - C.  2010-09-11 Section I draft
  - D.  2010-09-12 Section I draft
  - E.  2010-09-12 Section I draft CHRON
  - F.  2010-10-08 (1000) Section I, Chapter 1 - Parallel Banking me
  - G.  2010-10-15 (1800) - Section I, Chapter 2 - Crises and Consolidation me
  - H.  2010-10-15 (1800) Section I, Chapter 1 - Parallel Banking me
  - I.  Section 1, Chapter 1 - Parallel Banking 2010-10-07
  - J.  Section 1, Chapter 2 - Crises and Consolidation 2010-10-10 me
- VI.  3\_Financial
  - A.  Chair and Vice Chair comments
    - 1.  2010-09-24 - Section III - Chapter 1 - cites added
    - 2.  2010-09-24 - Section III - Chapter 11 post Phil--OLD VERSION
  - B.  Sent to All Commissioners
    - 1.  2010-09-26 section III through SIVs to commissioners
  - C.  Sent to Chair and Vice Chair
    - 1.  2010-09-25 - Section III - ratings agencies on
    - 2.  2010-09-25 - Section III - ratings agencies on - with cites
  - D.  2010-08-26 - Section III draft
  - E.  2010-09-03 - Section III draft
  - F.  2010-09-05 - Section III draft
  - G.  2010-09-13 - Section III draft
  - H.  2010-09-14 - Section III draft - WME
  - I.  2010-09-15 - Section III draft KMD
  - J.  2010-09-25 - Section III - ratings agencies on
- VII.  Timeline
  - A.  Sent to All Commissioners
    - 1.  2010-09-26 Draft\_timeline\_-\_2008 to commissioners
  - B.  Downey timeline, April 2005-yearend 2006
  - C.  FCIC Crisis Timeline (updated 8-23)
- VIII.  R&I Plans
  - A.  Complex Financial Derivatives
    - 1.  Document Requests
      - a.  2010-02-09 G Cohen ltr to BofA (E O'Keefe) (copy)\_1
      - b.  2010-02-09 G Cohen ltr to Goldman Sachs (G Palm) (copy)\_1
    - 2.  Hearing 5
      - a.  Documents
        - 1.  2003-08-19 ISDA Master Agreement Between AIGFP and Goldman AIG-FCIC 00384254\_1
        - 2.  2005-07-21 Email from Forster re Topics to discuss AIG-FCIC00145574\_1
        - 3.  2005-12-01 Schedule to the Master Agreement AIG-FCIC00000110\_1
        - 4.  2005-12-07 CRC Memo Broderick CDO I AIG-FCIC00098115\_1
        - 5.  2005-12-20 CRC memo re AIGGIG Global Securities Lending Cash Policy AIG-FCIC00550171-00550175\_1
        - 6.  2006-02-28 Email from Park to Cassano re exiting business-AIG-FCIC00109490\_1

7.  2006-07-17 CRC Memo West Coast Funding I AIG-FCIC00384171\_1
8.  2007 Goldman Sachs third-quarter-earnings\_1
9.  2007 Supervisory Plan at OTSAIG-EFILES 0000084111-130\_1
10.  2007-03-23 Timberwolf Offering Circular GS-MBS-E 000673671\_1
11.  2007-05-22 CRC Minutes AIG-SEC9406809\_1
12.  2007-07-26 email from Davilman to Frost re margin call 20bb of superseniorAIG-FCIC00370077-0037078\_1
13.  2007-07-27 Collateral Call GS 06017\_1
14.  2007-08-01 Athan Email re HALO called needed tomorrow AM AIG-SEC9990006\_1
15.  2007-08-02 Email from Forster to Cassano & Micottis re GS Collateral marks AIG-SEC2035262\_1
16.  2007-08-08 Email fr. Athan to Frost re Latest on GS AIG-SEC1913380\_1
17.  2007-08-08 Memo to AIGFP 2007 2Q Review Files PWC-FCIC 00212-213\_1
18.  2007-08-10 Side Letter Agreement AIG-SUBPRIME-SEC00000547-548\_1
19.  2007-08-16 Email from Forster to Frost re Goldman AIGSEC11604624\_1
20.  2007-09-11 Email Cassano Binder Tab 3\_1
21.  2007-10-02 Frederick W Cook Co 2006 Competitive Executive Compensation Review HHOGRO0002528-2549\_1
22.  2007-11-01 Email- Cassano Tab 4\_1
23.  2007-11-02 Collateral Call GS 07806\_1
24.  2007-11-05 email from Forster to Cassano AIG-SEC2152468\_1
25.  2007-11-05 Micottis Collateral Call Spreadsheet\_1
26.  2007-11-06 Audit Committee Meeting Minutes\_1
27.  2007-11-07 PWC Memo PWC-FCIC 000224-240\_1
28.  2007-11-08 Email fr. Lehman to Forster AIG-FCIC00348171\_1
29.  2007-11-09 Email re Merrill Marks Cassano Binder Tab 12\_1
30.  2007-11-14 Email Cassano Binder Tab 5\_1
31.  2007-11-18 Cassano Binder Tab 6 Email\_1
32.  2007-11-19-20 email re Credit Perspective for the CFO AIG-SEC9422058\_1
33.  2007-11-21 Email from Cassano to Dooley re 2007 Compensation SEC00001400\_1
34.  2007-11-23 Margin Call to AIGFP GS 08183-8236\_1
35.  2007-11-23 Side Letter\_1
36.  2007-11-27 Email AIG-SEC1364133\_1
37.  2007-11-29 Email from Roemer re Cassano Participation in 11-29 Meeting AIGSEC12689327\_1
38.  2007-11-29 Email re FP Meeting Cassano Binder Tab 9- AIGSEC12689327\_1
39.  2007-11-29 PwC Meeting Notes PWC-FCIC 000381-383\_1
40.  2007-11-30 Email from Cassano to Dooley re GS call back AIG-SEC 2556794\_1
41.  2007-11-30 Memo from AIGFP to GS re returning collateral payment AIG-SEC2556795\_1
42.  2007-12-01 email from Cassano re November change in value for SS multisector CDO AIG-SEC5981397\_1
43.  2007-12-05 AIG Investor Conference Call Transcript\_1
44.  2007-12-05 Email to Cassano re PwC year-end Evaluation Cassano Binder Tab 13- AIG-SEC0005623\_1
45.  2007-12-07 Deffered Comp - Write up for Comp Committee SEC00001499\_1
46.  2007-12-13 Proposal for a Special Incentive Plan for AIG-FP for 2007 PowerPoint

presentation HHOGRO0









47.  2007-12-14 Forster email re Collateral Dispute\_1
48.  2007-12-17 Cassano email re 2007 special comp plan\_1
49.  2007-12-20 Goldman Sachs Collateral Disagreement Observations and Conclusions Micottis AIG-SEC254922
50.  2007-12-23 email from Sherwood to Cassano & Viniar re CDO spreadsheet AIG-FCIC00336767-00336768\_1
51.  2008-01-02 Collateral Call GS 08890\_1
52.  2008-01-07 Email Cassano Binder Tab 7\_1
53.  2008-01-07 Email fr. Athan to Cassano re CDS Collateral Call Status AIG-FCIC00383724\_1
54.  2008-01-11 Email fr. Cassano to Habayeb- Cassano Binder Tab 56\_1
55.  2008-01-11 Email from Habayeb re getting out of the business 00146702-000001-4\_1
56.  2008-01-16 Email from Cassano to Sherwood re CDO valuations AIG-FCIC00345900-00345906\_1
57.  2008-02-02 Habayeb Memo re Regulatory Capital Transactions PWC-FCIC 000084 - 95 (copy)\_1
58.  2008-02-06 Email fr. Cassano to Habayeb re Soc. Gen. collateral call Cassano Tab 9\_1
59.  2008-02-06 PwC Meeting Notes PWC-FCIC 000384-386\_1
60.  2008-02-29 Margin Call to AIGFP GS 09589-9608\_1
61.  2008-03-07 Cassano email to Dooley and Bensinger Cassano Tab 68\_1
62.  2008-03-16 email Michael Sutton to Robert Willumstad re AIG FT Article FRBNY-TOWNS-R1-193865-193866\_
63.  2008-04-28 Amended Side Letter GS 05877-05878\_1
64.  2008-05-18 email from Habayeb re why FP didn't hedge HHOGRO0026712-713\_1
65.  2008-07-16 Financial Products AIG Investments and ILFC Incentive Compensation Programs and 2007 Payo
66.  2008-09-23 Status of Collateral Calls- Super Senior CDOs AIG-FCIC00384231-00384253\_1
67.  2010-06-17 AIG (S Nettleton) ltr to D Noonan re Docs Production\_1
68.  ABACUS 2005-CB1 information- AIG-FCIC00098415-00098425\_1
69.  AG 0000118\_1
70.  AIG Biographies AIG-SEC9651653\_1
71.  AIG Compensation Information AIG-FCIC00562661-678\_1
72.  AIG ERM Presentation at Cassano Counsel Binder Tab 33\_1
73.  AIG Financial Products Corp. Deferred Compensation Plan AIG-FCIC00384195-00384214\_1
74.  AIG Hedged January 2006-December 2008 GS FCIC 000000550-551\_1
75.  AIG June 11, 2007 Regular Holding Company Examination\_1
76.  AIG, Residential Mortgage Presentation\_1
77.  AIG-FCIC 00220943-52\_1
78.  AIG-FCIC 00384082-94\_1
79.  AIG-FCIC00068785-91\_1
80.  AIG-FCIC00077943-955\_1
81.  AIG-FCIC00125836-840\_1
82.  AIG-FCIC00336717-19\_1
83.  AIG-FCIC00384095-105\_1







































84.  AIG-FCIC00384118-127\_1
85.  AIG-FCIC00384215-230\_1
86.  AIG-FCIC00384296-4355\_1
87.  AIG-FCIC00522328-35\_1
88.  AIG-FCIC00522336-47\_1
89.  AIG-FCIC00535455\_1
90.  AIG-FCIC00561500-00561506\_1
91.  AIGFP Target Examinations Limited Field Visitations 10-12-2004\_1
92.  AIGFP Target Examinations Limited Field Visitations 3-6-2006\_1
93.  AIGFP Target Examinations Limited Field Visitations 4-23-2007\_1
94.  AIG-SEC1364131\_1
95.  AIG-SEC1913380\_1
96.  AIG-SEC2035263\_1
97.  AIG-SEC2035264\_1
98.  AIG-SEC2153469\_1
99.  AIG-SEC9996199\_1
100.  August 2008 Draft Letter JSD00335-342\_1
101.  Cassano Binder Tab 32\_1
102.  Cassano Consulting Agreement 1 HHOG00067174-75\_1
103.  Email from Athan to Frost re Latest on GS AIG-SEC1913380\_1
104.  Figure 11 Documents\_1
105.  GAO Follow-Up Questions at OTSAIG-EFILES 0000011615-620\_1
106.  GAO Report- Troubled Asset Relief Program, Status of Government Assistance Provided to AIG\_1
107.  GS 05793-94\_1
108.  GS 05795-5801\_1
109.  GS 05823-26\_1
110.  GS 05845-5847\_1
111.  GS 05860\_1
112.  GS 05862 -63\_1
113.  GS 05880-5887\_1
114.  GS 06247-268\_1
115.  GS 06269-291\_1
116.  GS 09610\_1
117.  GS 09804-825\_1
118.  GS 09825\_1
119.  GS MBS 0000027878 (CUSIP Overlap Analysis)\_1
120.  GS MBS 0000027880 - Notional amount by counterparty\_1
121.  GS MBS 0000027965\_1
122.  GS MBS 0000027966\_1
123.  GS MBS 0000027967 (copy)\_1
124.  GS MBS-E-001918219-22\_1
125.  GS MBS-E-00221171\_1
126.  GS MBS-E-002356757-58\_1
127.  GS MBS-E-005565527 -52\_1
128.  GS MBS-E-007980762\_1
129.  GS MBS-E-009209654-55\_1












































130.  GS MBS-E-009635410\_1
131.  GS MBS-E-009726498\_1
132.  GS MBS-E-010381094 -95\_1
133.  GS MBS-E-010381411 -12\_1
134.  GS00067\_1
135.  GS08318\_1
136.  H5 Document Long List\_1
137.  H5 Document Short List\_1
138.  HHOGR 00057174-57176\_1
139.  HHOGR00001823-1832\_1
140.  ISDA Master Agreement 2003-08-19 AIG-FCIC00384254—AIG-FCIC00384295\_1
141.  Listing of collateral movements from 2007-08-10 to 2008-12-31  
GS-FCIC000000537-539\_1
142.  Meeting notes 2008-02-08 PWC-FCIC 000209-211\_1
143.  Memo to File by AIG FSD CFO Elias Habayeb PWC-FCIC 000101-108\_1
144.  Multisector CDO portfolio information AIG-FCIC00535400-FCIC00535402\_1
145.  Notes of 2007-11-29 meeting produced by PWCPWC-FCIC000111-113\_1
146.  Notes of 2008-02-07 meeting PWC-FCIC 000206-208\_1
147.  PSI Exhibit 103- Goldman Sachs internal email, dated May 2007 re Lester called\_1
148.  PSI Exhibit 107- Goldman Sachs internal email, dated December 2006, re Paulson\_1
149.  PSI Exhibit 11- Goldman Sachs internal email, dated February 2007 re goals\_1
150.  PSI Exhibit 112- Goldman Sachs internal email, dated January 2007 re GSC Post\_1
151.  PSI Exhibit 12- Goldman Sachs document, Mortgage VaR change\_1
152.  PSI Exhibit 130- Goldman Sachs internal email, dated February 2007 re Mortgage Risk\_1
153.  PSI Exhibit 135- Goldman Sachs internal email, dated September 2007, re Fortune- How Goldman Sachs D
154.  PSI Exhibit 151- Goldman Sachs internal email, dated December 2006, re Mezz Risk\_1
155.  PSI Exhibit 158- Thomson StreetEvents Final Transcript, dated December 2007\_1
156.  PSI Exhibit 159- Goldman Sachs, 4Q07 Fact Sheet\_1
157.  PSI Exhibit 16- Goldman Sachs internal email, dated March 2007re Mortgage Taking Points for Earning
158.  PSI Exhibit 160- Goldman Sachs, Securities Division Summary Highlights - Week Ending November 30, 20
159.  PSI Exhibit 161- Goldman Sachs Risk Management and the Residential Mortgage Market, April 2010\_1
160.  PSI Exhibit 169- Goldman Sachs internal email, dated January 2007, re Sub-Prime Presentation to Vini
161.  PSI Exhibit 17- Goldman Sachs internal email, dated March 2007re Mortgage Persentation to the board\_
162.  PSI Exhibit 170d- Goldman Sachs Internal Email, dated October 2006 re Structured Product New Issues\_
163.  PSI Exhibit 172- Goldman Sachs internal emails, dated March 2007, re Sale of Anderson CDO\_1
164.  PSI Exhibit 19- Goldman Sachs internal memorandum, dated March 2006\_1
165.  PSI Exhibit 2- Goldman Sachs internal email, dated December 2006 re Subprime












































Mortgage Risk\_1

166.  PSI Exhibit 20 Goldman Sachs internal email, dated March 2007 re Cactus Delivers\_1
167.  PSI Exhibit 21- Goldman Sachs internal email, dated March 2007\_1
168.  PSI Exhibit 22- Goldman Sachs, Presentation to GS Board of Directors, Subprime Mortgage Business Mar
169.  PSI Exhibit 29- Goldman Sachs internal email, dated August 2007 re Market Risk Report\_1
170.  PSI Exhibit 3- Goldman Sachs email fr. Dec. 2006 re Subprime risk meeting w Viniar & McMahan Summary
171.  PSI Exhibit 30- Goldman Sachs internal email, dated August 2007 re Post\_1
172.  PSI Exhibit 31- Goldman Sachs internal email, dated August 2007 re MarketRisk\_1
173.  PSI Exhibit 32- Goldman Sachs internal email, dated August 2007 re Post\_1
174.  PSI Exhibit 35- Market Risk Report, 8-14-07, Mortgage Portfolio Summary\_1
175.  PSI Exhibit 36- Goldman Sachs internal email, dated August 2007 re Taking VaR 144mm\_1
176.  PSI Exhibit 37-Goldman Sachs internal email, dated August 2007\_1
177.  PSI Exhibit 4- Goldman Sachs internal email, dated December 2006, re Single-A ABS CDOs\_1
178.  PSI Exhibit 40- Goldman Sachs internal email, dated September 2007 re Mortgage VaR Analysis\_1
179.  PSI Exhibit 41- Presentation to Board of Directors Residential Mortgage Business September 27, 2007\_
180.  PSI Exhibit 42- GS Board of Directors Meeting Financial Summary September 17-18 2008 quarter ended\_
181.  PSI Exhibit 43- Goldman Sachs internal email, dated September 2007 re Mortgage P& L for the week end
182.  PSI Exhibit 44- Goldman Sachs internal email, dated September 2007 re Mortgage commentary on Q3 earn
183.  PSI Exhibit 45- Goldman Sachs, 3rd Quarter 2007 Script\_1
184.  PSI Exhibit 51- Goldman Sachs, How Did GS Avoid the Mortgage Crisis\_1
185.  PSI Exhibit 52- Goldman Sachs internal email, dated November 2007 re NYT\_1
186.  PSI Exhibit 54- Goldman Sachs, Quarterly Market Risk Review, Market Risk Management & Analysis\_1
187.  PSI Exhibit 6- Goldman Sachs internal email, dated January 2007, re ...post\_1
188.  PSI Exhibit 61- Goldman Sachs internal email, dated December 2006 re Last call\_1
189.  PSI Exhibit 62- Goldman Sachs internal email, dated January 2007 re ft-friday\_1
190.  PSI Exhibit 67- Goldman Sachs internal email, dated July 2007 re 7-23 CDO-RMBS requests from Taiwan\_
191.  PSI Exhibit 7- Goldman Sachs internal email, dated February 2007 re Post\_1
192.  PSI Exhibit 72- Goldman Sachs internal email, dated December 2006 re Retained Bonds\_1
193.  PSI Exhibit 73- Goldman Sachs internal email, dated February 2007 re GS Syndicate RMBS Axes\_1
194.  PSI Exhibit 74- Goldman Sachs internal email, dated February 2007 re Mortgage Risk\_1
195.  PSI Exhibit 76- Goldman Sachs internal email, dated March 2007 re Help\_1
196.  PSI Exhibit 77- Goldman Sachs internal email, dated March 2007 re New Century EPDs\_1
197.  PSI Exhibit 8- Goldman Sachs internal email, dated February 2007 re Post\_1















































198.  PSI Exhibit 84- Goldman Sachs internal email, dated May 2007- re CDOs-Mortgages\_1
  199.  PSI Exhibit 9- Goldman Sachs internal email, dated February 2007\_1
  200.  PSI Exhibit 91- Goldman Sachs internal email, dated January 2007 re MTModel\_1
  201.  PSI Exhibit 92- Goldman Sachs internal email, dated February 2007- Second lien deal performance and\_
  202.  PSI Exhibit 96- Goldman Sachs internal email and attachment, dated March 2007 re Anderson Mezzanine\_
  203.  PSI Exhibit 98- Goldman Sachs internal Memorandum, dated November 10, 2006 re Timberwolf CDO\_1
  204.  PWC F07 audit workpaper PWC-FCIC 000021 - 28\_1
  205.  PWC-FCIC 000001 - 12 (copy)\_1
  206.  PWC-FCIC 000019-28\_1
  207.  PWC-FCIC 000114-115\_1
  208.  PWC-FCIC 000214-216\_1
  209.  PWC-FCIC 000217\_1
  210.  PWC-FCIC 000220-223\_1
  211.  SEC vs. Cioffi & Tannin\_1
  212.  SSCDS contract between AIGFP and Goldman Sachs GS 00001-62\_1
  213.  Transcript of 2007-07-11 call AIG-SEC1910855\_1
  214.  Transcript of 2007-07-30 Forster call AIG-SEC1361798\_1
- b.  Hearing Background Materials
1.  I. Background Reading
    - a.  1. AIG
      1.  a. 2008-05-10 WSJ - AIG's Black-Box Pickle\_1
      2.  b. 2008-09-08 WSJ - Bad Bets and Cash Crunch Pushed Ailing AIG to Brink\_1
      3.  c. 2008-10-11 WSJ - Documents Show AIG Knew of Problems with Valuations\_1
      4.  d. 2008-10-31 WSJ Behind AIG's Fall, Risk Models Failed to Pass Real-World Test\_1
      5.  e. 2008-12-29 WPost - The Beautiful Machine\_1
      6.  f. 2008-12-30 WPost - A Crack in the System\_1
      7.  g. 2008-12-31 WPost - Downgrades and Downfall\_1
      8.  h. 2009-02-05 WSJ - An AIG Unit's Quest to Juice Profit\_1
      9.  i. 2009-03-30 Time - How AIG Became Too Big the Fail\_1
      10.  j. 2009-04-28 WSJ - Criminal Authorities Focus on 3 in Investigation of AIG\_1
      11.  k. 2010-04-07 Institutional Investor - The Inside Story of Hank Greenberg and the rise and demise\_1
    - b.  2. Goldman Sachs
      1.  a. 2008-09-28 NYTimes - Behind Insurer's Crisis, Blind Eye to a Web of Risk\_1
      2.  b. 2010-01 Vanity Fair - The Bank Job\_1
      3.  c. 2010-04-18 NYTimes - Senior Executives at Goldman Had a Role in Mortgage Unit\_1
      4.  d. 2010-04-20 NYTimes - Gambling with the Economy\_1
      5.  e. 2010-06-19 Bloomberg - Goldman's Hudson CDO Said to Be Target of 2nd SEC Probe\_1
    - c.  3. AIG and Goldman

1.  a. 2010-02-07 NYTimes - Testy conflict with Goldman Helped Push  
AIG to Edge\_1
2.  b. 2010-04-12 WSJ - AIG, Goldman Unwind Soured Trades\_1
- d.  4. Regulators
  1.  a. 2010-01-06 FT - CFTC Head Blames OTC Derivatives for Crisis\_1
  2.  b. 2009-03-30 FT\_We modernised ourselves into this ice age\_1
2.  II. Day 1, Panel 1 - Experts
  - a.  1. Witness Bios - Experts
    1.  a. Witness Bios - Experts\_1
  - b.  2. Transcripts or MFRs of Pre-Interviews
    1.  a. 2010-06-10 MFR of Interview with Pete Kyle\_1
    2.  b. 2010-06-10 MFR of Interview with Michael Masters\_1
    3.  c. 2010-05-24 MFR of Interview with Steve Kohlhagen\_1
    4.  d. 2010-02-25 MFR of Interview with Michael Greenberger\_1
  - c.  3. Documents of Selected Quotes
    1.  b. Key Quotes - Michael Masters\_1
    2.  d. Key Quotes - Michael Greenberger\_1
  - d.  4. Significant Past Testimony
    1.  a. 2009-02-03 M Greenberger Testimony Before House Ag  
Committee\_1
    2.  b. 2009-02-04 M Masters Testimony Before House Ag Committee\_1
    3.  c. 2009-06-04 M Masters Testimony Before Senate Ag  
Committee\_1
    4.  d. 2009-08-05 M MGreenberger Testimony Before the CFTC\_1
    5.  e. 2010 Greenberger Out of the Black Hole - Make Markets be  
Markets\_1
    6.  f. 1993 - 12-27. Albert Kyle On the Economics of Securities Clearing  
and Settlement (3)\_1
    7.  g. Albert Kyle - Cash Settlement, Price Manipulation and the  
Modigliani-Miller Theorem\_1
    8.  h. Albert Kyle - How to Define Illegal Price Manipulation\_1
    9.  i. Albert Kyle - Causes and Cures to Financial Crisis\_1
    10.  j. 20100629\_Kyle\_for\_FCIC\_FER\_statement\_Reforming the OTC  
Derivatives Market\_1
3.  III. Day 1, Panel 2 - AIG
  - a.  1. Witness Bios - AIG
    1.  a. Witness Bios - AIG\_1
  - b.  2. Transcripts or MFRs of Pre-Interviews
    1.  a. 2010-06-25 MFR of Interview with Joe Cassano\_1
    2.  b. 2010-06-25 Draft Transcript of Joe Cassano Interview\_1
    3.  c. 2010-06-17 MFR of Martin Sullivan Interview\_1
    4.  d. 2010-06-17 Transcript of Interview with Martin Sullivan\_1
    5.  e. 2010-06-15 Summary of Interview with Robert Lewis\_1
    6.  f. 2010-06-15 MFR of Interview with Robert Lewis\_1
  - c.  3. Documents of Selected Key Quotes
    1.  a. Key Quotes - Martin Sullivan\_1
    2.  b. Key Quotes - Robert Lewis\_1
    3.  c. Key Quotes - Joe Cassano\_1
  - d.  4. Significant Past Testimony
    1.  a. 2008-10-07 Martin Sullivan Testimony Before the House Oversight










































Committee\_1










































4.  IV. Day 1, Panel 3 - Goldman Sachs
  - a.  1. Witness Bios
    1.  a. Witness Bios - Goldman Sachs\_1
  - b.  2. Transcripts or MFRs of Pre-Interviews
    1.  a. 2010-06-16 Summary of Interview with Lloyd Blankfein\_1
    2.  b. 2010-06-16 Transcript of Interview with Lloyd Blankfein\_1
    3.  c. 2010-06-17 MFR of Interview with C Broderick\_1
    4.  d. 2010-06-16 MFR of Gary Cohn Interview\_1
    5.  e. 2010-06-17 Draft Transcript of Interview with Gary Cohn\_1
  - c.  3. Documents of Selected Key Quotes
    1.  a. Key Quotes - Craig Broderick\_1
    2.  b. Key Quotes - Gary Cohn\_1
  - d.  4. Significant Past Testimony
    1.  a. 2010-01-13 L Blankfein Testimony Before the FCIC\_1
5.  V. Day 2, Panel 1 - AIG and Goldman
  - a.  1. Witness Bios
    1.  a. Witness Bios - AIG and Goldman\_1
  - b.  2. Transcripts or MFRs of Pre-Interviews
    1.  a. 2010-06-15 MFR of Interview with Steven Bensinger\_1
    2.  b. 2010-06-16 MFR of David Viniar Interview\_1
    3.  c. 2010-06-18 MFR of Andrew Davilman Interview\_1
  - c.  3. Documents of Selected Key Quotes
    1.  a. Key Quotes - Steve Bensinger\_1
    2.  b. Key Quotes - David Viniar\_1
    3.  d. Key Quotes - Elias Habayeb\_1
    4.  e. Key Quotes - Andrew Forster\_1
  - d.  4. Significant Past Testimony
    1.  b. 2010-01-27 E Habayeb Testimony before the House Oversight Committee\_1
6.  VI. Day 2, Panel 2 - Regulators
  - a.  1. Witness Bios
    1.  a. Witness Bios - Regulators\_1
  - b.  2. Transcripts or MFRs of Pre-Interviews
    1.  a. 2010-05-14 Summary of Gary Gensler Interview\_1
    2.  b. 2010-05-14 Transcript of Interview of Gary Gensler\_1
    3.  c. 2010-05-27 MFR of Interview with Gary Gensler\_1
    4.  d. 2010-06-04 MFR of Interview with Eric Dinallo\_1
  - c.  3. Documents of Selected Key Quotes
    1.  a. Key Quotes - Gary Gensler\_1
    2.  b. Key Quotes - Eric Dinallo\_1
  - d.  4. Significant Past Testimony
    1.  a. 2008-10-07 Testimony of E Dinallo Before the House Oversight Committee\_1
    2.  b. 2009-10-07 G Gensler Testimony Before House Financial Services Committee\_1
    3.  c. 2008-10-14 Dinallo Testimony Before the Senate Ag Committee\_1
    4.  d. 2009-03-05 E Dinallo Testimony Before the Senate Banking Committee\_1
    5.  e. 2009-06-22 G Gensler Testimony Before the House Financial

Services Committee\_1

7.  H5 Background Binder Index\_1
- c.  Hearing Briefing Binder
  1.  I. Agendas, Logistics, and Media Advisory
    - a.  a. Agenda for Commission Briefing Session on 6-29-2010\_1
    - b.  b. Agenda and Witness List FOR Hearing June 30-July 1\_2010\_1
    - c.  c. H5\_logistics\_Commissioners\_1
    - d.  d. Media Advisory 6 25 10\_1
  2.  II. DAY ONE, WEDNESDAY, JUNE 30, 2010
    - a.  SESSION 1 – Experts
      1.  a. derivatives\_overview\_psr\_6 25\_1
      2.  b. derivatives\_psr\_credit derivatives - Jun 25\_1
      3.  c. Witness Bios - Experts\_1
      4.  d-i. FCIC-Final\_Greenberger\_Testimony\_1
      5.  d-ii-a. 20100629\_Kyle\_for\_FCIC\_FER\_statement\_Reforming the OTC Derivatives Market (copy)\_1
      6.  d-iii. Steven W. Kohlhagen testimony\_1
      7.  d-iv. MichaelMastersFCICTestimony2\_1
      8.  e. Witness Questions - Expert Panel - Day 1\_Session 1\_1
      9.  OLD FCIC Michael Greenberger Testimony June 27 2010\_1
      10.  OLD MichaelMastersTestimonyFCIC\_1
    - b.  SESSION 2 – AIG
      1.  a. 2010-06-24 Draft Summary of PIR on AIG\_1
      2.  b. Witness Bios - AIG\_1
      3.  c-i. Cassano Statement\_1
      4.  c-ii. Lewis Testimony\_1
      5.  c-ii. OLD Lewis Testimony\_1
      6.  c-iii. Martin Sullivan FCIC Prepared Testimony\_1
      7.  d. Witness Questions - AIG Panel - Day 1\_Session 2\_1
    - c.  SESSION 3 – Goldman Sachs
      1.  a. 2010-06-24 Draft Summary of PIR on Goldman Sachs\_1
      2.  b. Witness Bios - Goldman Sachs\_1
      3.  c-i. Testimony from Craig Broderick to FCIC 063010\_1
      4.  c-ii. Testimony from Gary Cohn to FCIC 063010\_1
      5.  d. Witness Questions - Goldman Sachs Panel - Day 1\_Session 3\_1
  3.  III. DAY TWO, THURSDAY, JULY 1, 2010
    - a.  SESSION 1 –AIG\_GOLDMAN
      1.  a. Witness Bios - AIG and Goldman\_1
      2.  b-i. S. Bensinger - FCIC Statement\_1
      3.  b-ii. Elias Habayeb Testimony\_1
      4.  b-iii. Testimony of David Lehman to FCIC 07-01-10\_1
      5.  b-iv. Testimony from David Viniar to FCIC 070110\_1
      6.  b-v. Andrew\_Forster Testimony\_1
      7.  c. Witness Questions - AIG and Goldman Sachs Panel - Day 2\_Session 1\_1
    - b.  SESSION 2 –Regulators
      1.  a. Witness Bios - Regulators\_1
      2.  b-i. Dinallo written tstmny for FCIC 7-1-10\_1
      3.  b-ii. Gensler\_Gary. Testimony final clean\_1































- 4. b-iii.CK Lee. FCIC.testimony\_1
- 5. c. Witness Questions - Regulation Panel - Day 2\_Session 2\_1
- 4. IV. SUPPLEMENTAL INVESTIGATIVE DOCUMENTS
  - a. a. 2010-06-25 Draft PIR on AIG\_1
  - b. b. 2010-06-25 Draft PIR on Goldman Sachs\_1
  - 5. OUTLINE - H5 Briefing book for Commissioners (1)\_1
- d. Hearing Follow-Up
  - 1. Follow-up Letters
    - a. 2010-08-23 Follow-up Letter to Gary Gensler\_1
    - b. 2010-08-23 Follow-up Letter to M Greenberger\_1
    - c. 2010-08-26 Follow-Up Letter to David Lehman (copy)\_1
    - d. 2010-08-30 Follow-up Letter to Mr. Broderick (copy)\_1
    - e. 2010-08-30 Follow-Up Letter to Mr. Cassano (copy)\_1
    - f. 2010-08-31 Follow-Up Letter to Mr. Lee (copy)\_1
    - g. 2010-08-31 Follow-Up Letter to Mr. Viniar (copy)\_1
    - h. 2010-09-13 Follow-Up Letter 2 to Joseph Cassano\_1
  - 2. Responses
    - a. 2010-09-09 CK Lee Response to Follow-Up\_1
    - b. 2010-09-10 Broderick Response to Follow-Up(2)\_1
    - c. 2010-09-10 Broderick Response to Follow-Up\_1
    - d. 2010-09-10 Viniar Response to Follow-Up\_1
    - e. 2010-09-14 Gensler Response to Hearing Follow-Up\_1
  - 3. 2010-08-30 Derivatives Takeaways\_1
  - 4. H5 Commissioner Follow-up Questions (original)\_1
  - 5. H5 Commissioner Follow-up Questions Grid\_1
- e. Hearing transcripts
  - 1. FCIC Derivatives\_hearing\_day\_one\_transcript\_FINAL\_1
  - 2. FCIC Derivatives\_hearing\_day\_two\_transcript\_FINAL\_1
- f. MFRs\_Transcripts\_Interview Notes
  - 1. 2010-02-22 MFR re Interview with Frank Partnoy\_1
  - 2. 2010-03-10 Interview notes - IMF Randall Dodd - Background - Derivatives\_1
  - 3. 2010-03-16 Interview notes - FRBNY Theo Lubke - Background sources\_1
  - 4. 2010-03-30 Transcript - Interview of Patrick Parkinson\_1
  - 5. 2010-04-09 Interview notes - Michael Greenberger - Derivatives\_1
  - 6. 2010-04-20 Interview notes - FRBNY Theo Lubke - Background - Derivatives\_1
  - 7. 2010-05-04 MFR re Interview with Andrew Feldstein\_1
  - 8. 2010-05-04 MFR re interview with Laurie Goodman\_1
  - 9. 2010-05-07 Interview notes - Satyajit Das - Derivatives\_1
  - 10. 2010-05-07 Transcript - Interview of Satyajit Das\_1
  - 11. 2010-05-11 MFR re Interview with Adam White\_1
  - 12. 2010-05-11 MFR re Interview with Bob Litan\_1
  - 13. 2010-05-11 MFR re Interview with Richard Bookstaber\_1
  - 14. 2010-05-12 MFR re Interview with Emanuel Derman\_1
  - 15. 2010-05-13 MFR re Interview with Henry Hu\_1
  - 16. 2010-05-14 REVIEWED Darrell Duffie, Stanford Univ.
  - 17. 2010-05-14 Transcript - Interview of Gary Gensler\_1
  - 18. 2010-05-17 MFR re Interview with Anthony Sanders\_1
  - 19. 2010-05-19 G Feldberg MFR re interview with Martin Mayer\_1

- 20.  2010-05-20 Interview notes - FRB Mark Van der Weide - Background sources\_1
- 21.  2010-05-24 Interview notes - Steve Kohlhagen - Derivatives\_1
- 22.  2010-05-27 G Feldberg MFR re interview with Bob Pickel\_1
- 23.  2010-05-27 G Feldberg MFR re interview with Gary Gensler\_1
- 24.  2010-06-10 MFR re Interview with Darryll Hendricks\_1
- g.  Misc
- 3.  Memorandum
  - a.  2010-08-22 Memo re Goldman's CDS Protection and other Hedges Against AIG\_2\_\_1
- 4.  PSR Outline - Derivatives - Hearing 5b - 4 29\_1
- 5.  RIP - Derivatives - Hearing 5b - 4 14\_1
- 6.  RIP - Derivatives - Hearing 5b - 4 27\_1
- B.  Credit Rating Agencies
  - 1.  Document Requests
    - a.  2010-02-03 G Cohen ltr to ISDA (Tew Darras) (copy)\_1
    - b.  2010-02-09 G Cohen ltr to JPMorgan (S Cutler) (copy)\_1
    - c.  2010-02-09 G Cohen ltr to WFB (J Strother) re Doc Production (copy)\_1
    - d.  2010-03-10 G Cohen ltr to Moody's (S Ross) re Doc Production (copy)\_1
    - e.  2010-03-10 G Cohen ltr to S& P (B Markley) re Doc Production (copy)\_1
  - 2.  Hearing 5a
    - a.  Documents
      - 1.  berkshire hathaway ltr to Shareholders
        - a.  2001pdf\_1
        - b.  2002pdf\_1
        - c.  2003ltr\_1
        - d.  2004ltr\_1
        - e.  2005ltr\_1
        - f.  2006ltr\_1
        - g.  2007ltr\_1
        - h.  2008ltr\_1
        - i.  2009ltr\_1
      - 2.  2000-09-15 Moody's Approach to Rating Multisector CDOs\_1
      - 3.  2002 - Moody's Corporation Achieves 1 Billion in Revenue in 2002 (commemorative plaque)\_1
      - 4.  2004-10-1Moody's Investors Service Record Retention Policy for Non-Public Records Used in the Credit
      - 5.  2005-06-27 Moody's Revisits its Assumptions Regarding Structured Finance Default and Asset Correlati
      - 6.  2005-2006 Kolchinsky Performance Evaluation\_1
      - 7.  2006 - Moody's Corporation Achieves 2 Billion in Revenue in 2006 (commemorative plaque)\_1
      - 8.  2006-08-17 Yoshizawa Email to Derivatives Monitoring Group re Deal Assignment - Muni Healthcare Edu\_
      - 9.  2007-01-04 Email from Sunil Surana to MDs re 2006 US Derivative Market Share\_1
      - 10.  2007-02-05 Email from Sunil Surana to MDs re Jan 07 US Derivatives Market Share\_1
      - 11.  2007-02-20 Email from Kolchinsky to Clarkson re Subprime ABS CDO Update\_1
      - 12.  2007-03-28 Yoshizawa email to Kirnon, McDaniel, Kolchinsky re CSFB Pipeline Info\_1
















































13.  2007-05-04 Email from Sunil Surana to MDs re 2007 CDO Market Share.xls\_1
14.  2007-06-20 Yoshizawa email to Fu and Kolchinsky re BSAM Update\_1
15.  2007-06-26 Moody's Investors Service Form NRSRO Application to SEC\_1
16.  2007-07-04 Email from Nicolas Weill to Raymond McDaniel, Brian Clarkson, July 4, 2007.\_1
17.  2007-07-10 Moody's Downgrades Subprime First-Lien RMBS(2)\_1
18.  2007-07-10 Moody's Downgrades Subprime First-Lien RMBS\_1
19.  2007-07-11 Lam email to Yoshizawa to 2Q Market Coverage CDO updated\_1
20.  2007-08-12 Tomlinson and Evans - The Rating Charade (Bloomberg)\_1
21.  2007-09-21 A Kimball email to E Kolchinsky re Servicer Survey and New Grid for CDOs of ABS\_1
22.  2007-09-21 Moody's Updates Assumptions for Structured Finance CDOs\_1
23.  2007-10-04 Lam email to Yoshizawa, Kolchinsky, et al re 3Q Market Coverage CDO\_1
24.  2007-10-11 Moody's Downgrades 33.4 Billion of 2006 Subprime First-Lien RMBS and Affirms 280Billion A
25.  2007-11-11 D Collins Email Town Hall Feedback\_1
26.  2008-04-11 Lucchetti - As Housing Boomed, Moody's Opened Up (WSJ)\_1
27.  2008-05-20 Jones - Moody's Error Gave Top Ratings to Debt Products (FT)\_1
28.  2008-08-04 Gary Gorton - The Panic of 2007 (FRBKC)\_1
29.  2008-08-11 Moody's Response to SEC Examination Report\_1
30.  2008-10-22 Jerome Fons Testimony to House Oversight Committee\_1
31.  2008-12-11 Moody's Updates Its Key Assumptions for Rating Structured Finance CDOs\_1
32.  2009-09-30 Kolchinsky Testimony to House Oversight Committee\_1
33.  2010-04-19 Transcript of Interview of Jay Eisbruck\_1
34.  2010-04-21 Transcript of Interview with Gary Witt\_1
35.  2010-04-22 Transcript of Interview of Jerome Fons\_1
36.  2010-04-23 PSI Credit Rating Agenices (copy)\_1
37.  2010-04-23 Richard Michalek Testimony to Permanent Subcommittee on Investigations\_1
38.  2010-04-27 MFR of Interview of Mark Froeba\_1
39.  2010-04-27 Transcript of Interview with Eric Kolchinsky\_1
40.  2010-05 Moody's Rating Symbols and Definitions\_1
41.  2010-05-11 Transcript of Interview with Nicolas Weill\_1
42.  2010-05-17 Transcript of Interview with Yuri Yoshizawa\_1
43.  2010-05-20 Transcript of B Bondi Interview with Brian Clarkson\_1
44.  2010-05-21 Transcript of Interview with Raymond McDaniel\_1
45.  2010-05-26 Transcript of Interview with Roger Stein\_1
46.  2010-05-26 Transcript of Interview with Warren Buffett\_1
47.  Complex Mortgage Related Asset Backed Securities Rating Fee Schedule\_1
48.  Corporate Library Board Analyst Profile of Moody's\_1
49.  E Kolchinsky email to Y Fu, Y Yoshizawa 2006-5-30\_1
50.  Email from Raymond McDaniel to Nicolas Weill, Andrew Kimball, and Brian Clarkson, March 19, 2008.\_1
51.  Examination of Moody's Investor Services, Inc July 11, 2008\_1
52.  Figure 1 - 60+ Days Delinquency\_1
53.  Figure 2 - GSC ABS CDO 2006-2m Estimated Total Market Value of Collateral\_1



54.  First Quarter 2007 Deal List (Moody's, S&P, Fitch deal sizes)\_1
55.  hearing 5 Long doc list\_1
56.  hearing 5 Long doc list\_2
57.  hearing 5 Long doc list\_3
58.  hearing 5 updated short doc list\_1
59.  Key Excerpts from FCIC staff Interview of Roger Stein\_1
60.  Key Excerpts of FCIC Staff Interview with Jay Siegel\_1
61.  Kolchinsky Chronology\_1
62.  Montana Board of Investments Letter to FCIC\_1
63.  Moody's Investors Service Record Retention Policy for Non-Public Records Used in the Credit Research
64.  MOODY'S-COGR-0002561 - 0002586 2007 Strategic Plan Overview\_1
65.  MOODY'S-COGR-0004710 - 0004711 R McDaniel email to Alica Bass\_1
66.  MOODY'S-COGR-0018860 M Kanef Email to B Clarkson re Subprime Script for BC D1\_1
67.  MOODY'S-COGR-0019397 - 0019409 Testimony of Warren Kornfeld\_1
68.  MOODY'S-COGR-0019693 - 0019695 B Mary email to Moodys subprime Working group (July 11, 2007)\_1
69.  MOODY'S-COGR-0038025 - 0038029 A Kimball memo re Credit Policy Issues at Moodys\_1
70.  MOODY'S-COGR-0039859 R McDaniel email to Linda Huber (June 11, 2008)\_1
71.  MOODY'S-COGR-0042037 - 0042042 Email chain Ratings Credibility Outreach 2\_1
72.  MOODY'S-COGR-0057229 Y Yoshizawa email to B CLarkson (Oct 18, 2007)\_1
73.  MOODY'S-COGR-0064550 - 0064553 Threats and Possible Responses\_1
74.  MOODY'S-COGR-0067365 - 0067366 ERM RISK The Ratings Business\_1
75.  MOODY'S-COGR-0067365 - 0067366 ERM RISK The Ratings Business\_2
76.  MOODY'S-COGR-0067972 - 0067975 L Levenstein Email to Y Yoshizaawa re Financial Guarantors Special Co
77.  MOODY'S-COGR-0072143 - 0072145 A Mirenda to B Clarkson re WSJ-Next Steps Culture article (Mar 5, 200
78.  MOODY'S-COGR-0076800 - 0076801 L Huber email to G Jonas re Market reaction to rating enhancements (j
79.  Moody's - The First Hundred Years (Stimpson and Mahoney) pp. 264-266\_1
80.  MOODY'S-COGR-0018594 2007-03-23 Email from McDaniel to Clarkson re Sub-Prime and Player-Ump Conflict
81.  MOODY'S-CORG-0057229 Y Yoshizawa email to B Clarkson (oct 18, 2007)\_1
82.  MOODYS-FCIC-0002561 - 0002586 Report on the Financial Stability Forum on enhancing Market and Instit
83.  MOODYS-FCIC-0002561 July 2007 MCO Strategic Plan (McDaniel) [unredacted]\_1
84.  MOODYS-FCIC-0002978-0003015 - Moody's 2007 Operating Plan\_1
85.  MOODYS-FCIC-0003712 - Moody's Investor Service Securities Trading Policy\_1
86.  MOODYS-FCIC-0003716 (2003-03-03) Moody's Bonus Ltr to Kolchinsky from Rutherford\_1
87.  MOODYS-FCIC-0005186 - Derivatives (Americas) Org Chart\_1
88.  MOODYS-FCIC-0005198 - Derivatices (Americas) Org Chart II\_1
89.  MOODYS-FCIC-0005390 - Legal Violations Letter to Michael Kanef\_1
90.  MOODYS-FCIC-0007328 (2006-05-26) Ratings Memorandum GSC ABS CDO 2006-2m Ltd\_1
























91.  MOODYS-FCIC-0008593 Rating Committee Memo CMLTI 206-NC2 (2006-08-29)\_1
92.  MOODYS-FCIC-0012073 - Email From Jay Siegel Regarding Revised Silent Second\_1
93.  MOODYS-FCIC-0012075 - Email from Jay Siegel Regarding Benefit For SQ1 Servicer\_1
94.  MOODYS-FCIC-0012079 - Email from Jay Siegel Regarding the Interim Rule\_1
95.  MOODYS-FCIC-0012103 - Email from Jay Siegel Regarding Second Liens IOs\_1
96.  MOODYS-FCIC-0014789 Complex Collateralized Bond and Loan Obligations Rating Fee Schedule\_1
97.  MOODYS-FCIC-0014809 Residential Mortgage Securitizations (Home Equity and Overcollateralization Stru
98.  MOODYS-FCIC-0016904 - Email from Michael Zoccoli Regarding the Sep 2002YTD Distribution\_1
99.  MOODYS-FCIC-0033971 - Email from Jay Siegel Subject-Rating Feedback Discussion\_1
100.  MOODYS-FCIC-0035795 - Email from Brian Clarkson Subject-June YTD AFG by Analyst\_1
101.  MOODYS-FCIC-0035795 (2001-07-05) B Clarkson email re June YTD AFG by Analyst - 1st Half 2001 AFG\_1
102.  MOODYS-FCIC-0038399 (2010-04-02) Moody's Wells Submission to SEC\_1
103.  MOODYS-FCIC-0052868 - Email from Mandy Roberts to Wendy Lynn\_1
104.  MOODYS-FCIC-0055776 - Email from Ray McDaniel Subject-Fitch Criticises Ratings of CPDOs\_1
105.  MOODYS-FCIC-0184795 - Email from Ray McDaniel Subject-Re Short Guide to Subprime\_1
106.  MOODYS-FCIC-0207797 Memo from J Goggins re Record Retention Policy 2005-02-15\_1
107.  MOODYS-FCIC-0207805 Memo from J Goggins re Record Retention Policy 2006-02-15\_1
108.  MOODYS-FCIC-0284278 (2007-07-19) Memo from B Clarkson to BOD re Subprime Update\_1
109.  PSI-MOODYS-000072 [Ex16] (2006-01-12) W May Email to G Harris re BES and PEs\_1
110.  PSI-MOODYS-000090 [Ex 19] (2006-04-27) Email from O'Driscoll to Yoshizawa re Magnolia 2006-5 Class D
111.  PSI-MOODYS-000096 [Ex 15] B Clarkson Email to N Kirnon re performance evaluation (2003-12-01)\_1
112.  PSI-MOODYS-MS-000001 [Ex 24a] (2007-10-05) Email from Y Yoshizawa to MDs re 3Q Mkt Coverage CDOs\_1
113.  SEC\_OCIE\_FCIC\_000001 - SEC Letter to Ray McDaniel\_1
114.  SEC\_OCIE\_FCIC\_000010 -SEC Fax to Ray McDaniel\_1
115.  SEC\_OCIE\_FCIC\_000496 - Examination Report to Moody's Investor Services, Inc\_1
116.  SEC\_OCIE\_FCIC\_000512 - SEC Credit Rating Agencies Report 1\_1
117.  SEC\_OCIE\_FCIC\_000512 - SEC Credit Rating Agencies Report 1\_2
118.  SEC\_OCIE\_FCIC\_000550 - The Office of Compliance Inspections & Examinations Memo\_1
119.  Subprime Methodology Changes\_1
120.  Table 1 - Capital Structure and Ratings of CMLTI 2006-NC2 RMBS\_1

b.  Hearing Briefing Binder




































1.  I. Agendas, Timelines, Logistics, and Media Adv
  - a.  a. Agenda for Commission Briefing Session on 6-1-2010\_1
  - b.  b. Agenda and Witness List FOR Hearing June 2\_2010\_1
  - c.  c. H5\_logistics\_Commissioners\_1
  - d.  d. Media Advisory 5.26.10 - Final.doc\_1
2.  II. MATERIALS for DAY ONE, WEDNESDAY, JUNE 2, 2010
  - a.  1) SESSION 1 – The Ratings Process
    1.  a) PSR Credit Ratings and the Financial Crisis 5-28-2010 \_\_1
    2.  b) 2010-06-08 Investigative Report Rating Agencies CLEAN\_1
    3.  b-i. Key Excerpts from FCIC Staff Interview of Roger Stein\_1
    4.  b-ii. Interview Highlights for H5 Hearing Witnesses\_1
    5.  b-iii. 2010-05-31 Moody's Financial Summary\_1
    6.  c. Session 1- Witness Bios - The Ratings Process - Revised\_1
    7.  d-i. Eric Kolchinsky Testimony\_1
    8.  d-ii. Nicholas Weill Testimony\_1
    9.  d-iii. Gary Witt Testimony\_1
    10.  d-iii\_1. Witt-CRA\_1
    11.  d-iii\_2. Witt -CDOROM driven Correlated Binomial Sep 2005\_1
    12.  d-iii\_3. Witt - Correlated Binomial Aug 2004\_1
    13.  d-iii\_4. Witt -MultiSectorCDO Sep 2000\_1
    14.  d-iii\_5. Witt -SF CDO Correlation Jun 2005\_1
    15.  d-iv. Jay Siegel Testimony\_1
    16.  e. H5 - Session 1 questions\_1
    17.  OLD b) 2010-05-28 Investigative Report Credit Ratings v3\_1
    18.  OLD b) 2010-06-08 REDLINED Rating Agencies Investigative Report\_1
  - b.  2) SESSION 2 – Credit Ratings and the Financial C
    1.  a-i. Buffett \_Berkshire addendum\_1
    2.  c-ii. Raymond McDaniel Testimony\_1
    3.  d. H5 - Session 2 questions\_1
  - c.  3) SESSION 3 – The Credit Rating Agency Business M
    1.  b-i. Brian Clarkson Testimony\_1
    2.  b-ii. Mark Froeba Testimony\_1
    3.  b-iii. MICHALEK-Richard CORRECTED 5 21 10.doc\_1
    4.  c. H5 - Session 3 questions\_1
3.  OUTLINE - H5 Briefing book for Commissioners (5-27-2010)\_1
- c.  Hearing follow-up
  1.  Follow Up Letters
    - a.  Credit Rating Agency Follow Up Letter Kolchinsky\_1
    - b.  Credit Rating Agency Follow Up Letter Moodys\_1
    - c.  Credit Rating Agency Follow Up Letter Witt\_1
    - d.  Froeba follow up\_1
    - e.  Moody's follow up question\_1
  2.  Response Letters From Witnesses
    - a.  Kolchinsky response to hearing follow up 7-24-2010\_1
    - b.  Moody's follow up letter 7-30-2010\_1
    - c.  Moody's follow up Letter 8-10-10\_1
    - d.  Moody's follow up Letter 8-25-10\_1

- e. Moody's follow up Letter 9-10-10\_1
- f. Moody's Follow up Letter 9-3-10\_1
- g. Moodys response to special request regarding Money Mkt funds\_1
- h. Question 14 question mark\_1
- i. Witt response to hearing follow up 19-07-2010\_1
- 3. 2010-10-14 Hearing 5 Follow-up Memo
- 4. H5a Commissioner Follow-up Questions (original)\_1
- 5. H5a Commissioners Follow-up Questions Grid\_1
- 6. takeaways\_from\_credit\_rating\_agencies\_research\_and\_investigation\_plan\_8\_25\_1
- d. Hearing transcript
  - 1. 2010-06-02 HEARING 5A TRANSCRIPT [RATING AGENCIES]\_1
- e. Hrng Bg Mat.
  - 1. I. Background Reading
    - a. a. SEC Examination Report for Moody's Investor Services Inc\_1
    - b. b. Summary Report of Issues Identified in SEC Examinations of Select Rating Agencies (July 2008)\_1
    - c. c. 2010-04-23 Levin and Coburn memo re Wall Street and the Financial Crisis - The Role of Rating\_1
    - d. d. 2010-04-23 Senator Levin PSI Opening Statement on The Role of Credit Rating Agencies\_1
    - e. e. 2009-06 Stocktaking on the Use of Credit Ratings (Joint Forum of Basel, BIS, Intl Org Securities\_
    - f. f. 2010-04-23 Michalek Testimony to Senate Permanent Subcommittee on Investigations\_1
    - g. g. 2008-04-11. Article. LUCCHETTI\_ AARON\_ As Housing Boomed\_ Moodys Opened Up\_ Wall Street Journal\_1
    - h. h. 2008-04-11. Article. Excerpts from Moody's interviews. Wall Street Journal\_1
    - i. i. 2008-07-12. Article. The Reckoning. Debt Watchdogs \_ Tamed or Caught Napping. Morgenson\_ Gretchen.
    - j. j. Credit Ratings - Legislation\_1
    - k. k. 2010-05-26 Transcript of Interview with Roger Stein\_1
  - 2. II. Panel 1 - The Ratings Process
    - a. 1. Witness Bios
      - 1. a. Witness Bios - The Ratings Process REVISED\_1
    - b. 2. Transcripts or MFRs of Pre-Interviews
      - 1. a. 2010-04-27 Transcript of Interview with Eric Kolchinsky\_1
      - 2. b. 2010-05-11 Transcript of Interview with Nicolas Weill\_1
      - 3. c. 2010-04-21 Transcript of Interview with Gary Witt\_1
      - 4. d. 26 May 2010 Transcript of Interview with Jay Siegel\_1
    - c. 3. Documents of Key Selected Quotes
      - 1. a. Key Quotes - Eric Kolchinsky\_1
      - 2. b. Key Quotes - Nicolas Weill\_1
      - 3. c. Key Quotes - Gary Witt\_1
    - d. 4. Significant Past Testimony
      - 1. a. 2009-09-30 Kolchisky Testimony to HOC re Rating Agencies and Next Financial Crisis\_1
      - 2. b. 2010-04-23 Kolchinsky Testimony to Senate Permanent Subcommittee on Investigations\_1
  - 3. III. Panel 2 - Credit Ratings and Financial Crisis

- a. 1. Witness Bios
  - 1. a. Witness Bios - Credit Ratings and the Financial Crisis\_1
- b. 2. Transcripts or MFRs of Pre-Interviews
  - 1. a) 2010-05-26 Transcript of FCIC Interview of Warren Buffett\_1
  - 2. b. 2010-05-21 Transcript of Interview with Raymond McDaniel\_1
- c. 3. Documents of Key Selected Quotes
  - 1. a. Key Quotes - Warren Buffett\_1
  - 2. b. Key Quotes - Raymond McDaniel\_1
- d. 4. Significant Past Testimony
- 4. IV. Panel 3 - The Rating Agency Business Model
  - a. 1. Witness Bios
    - 1. a. Witness Bios - The Rating Agency Business Model\_1
  - b. 2. Transcripts or MFRs of Pre-Interviews
    - 1. a. 2010-05-20 Transcript of B Bondi Interview with Brian Clarkson\_1
    - 2. b. 2010-04-27 T Borgers MFR of Interview of Mark Froeba\_1
  - c. 3. Documents of Selected Key Quotes
    - 1. a. Key Quotes - Brian Clarkson\_1
    - 2. b. Key Quotes - Mark Froeba\_1
  - d. 4. Significant Past Testimony
- 5. H5 Background Briefing Binder Index\_1
- 6. H5 Background Briefing Binder Index\_2
- f. MFRs Transcripts Hearing Notes
- g. Misc
  - 1. 2000-2009 Berkshire Hathaway Shareholder Letters\_1
  - 2. 26 May 2010 Interview Transcript of Warren Buffett\_1
  - 3. Warren Buffett House Oversight investigation Sub-Committee\_1
  - 4. Warren Buffett Questions for H5 June 2, 2010\_1
  - 5. Warren Buffett Questions for H5 June 2, 2010\_2
  - 6. Warren Buffett Questions for H5 June 2, 2010\_3
  - 7. Warren Buffett Questions for H5 June 2, 2010\_4
- 3. RIP - Credit Rating Agencies - Hearing 5a\_1
- C. Excess Risk and Financial Speculation
  - 1. ESR Research and Investigative Plan 5 31\_1
  - 2. PIR - Excess Risk and Financial Speculation - Merrill Lynch
- D. Hearing 1
  - 1. Documents
  - 2. Hearing Background Materials
    - a. I. Background Reading
      - 1. 1. Goldman Sachs
        - a. 08-09-17 NYT - Goldman Posts Profit, and Morgan Follows Suit\_1
        - b. 08-09-22 NYT - Radical Shift For Goldman and Morgan\_1
        - c. 08-10-02 FT.com - Eased mark-to-market rule lifts banks\_1
        - d. 08-12-17 NTY - Goldman Sachs Reports \$2.1 Billion Quarterly Loss\_1
        - e. 09-07-13 Rolling Stone - The Great American Bubble Machine\_1
        - f. 09-08-06 NYT - Despite Bailouts, Business as Usual at Goldman\_1
        - g. 09-09-29 NYPost - The Secret to Goldman Sachs' Good Fortune\_1
        - h. 09-10-10 WSJ - The Bank Everybody Loves to Hate\_1
        - i. 09-10-12 FT.com - To avoid crises, we need more transarency\_1
        - j. 09-10-24 NTY - Short Memories at Goldman\_1

- k.  09-11-02 McClatchy - Goldman takes on new role- taking away people's homes\_1
  - l.  09-11-06 McClatchy - Goldman left foreign investors holding the subprime bag\_1
  - m.  09-11-06 McClatchy - How Goldman secretly bet on the U.S. housing crash\_1
  - n.  09-11-06 McClatchy - Why did blue-chip Goldman take a walk on subprime's wild side\_1
  - o.  09-11-08 Times - I'm doing 'God's work'. Meet Mr Goldman Sachs\_1
  - p.  09-11-11 Blankfein defends pay levels for 'more productive' Goldman staff\_1
  - q.  09-11-17 SIGTARP - Factors Affecting Efforts to Limit Payments to AIG Counterparties\_1
  - r.  09-11-18 - \$500 Million and Apology From Goldman\_1
  - s.  09-12-10 Goldman Sachs - Goldman Sachs Announces Changes to 2009 Compensation Program\_1
  - t.  09-12-10 WSJ - CORRECT-UPDATE-Goldman Sachs Top Execs Get No Cash Bonus For 2009\_1
  - u.  09-12-11 FT.com - Goldman scraps cash bonuses for 30 top executives\_1
  - v.  09-12-11 LATimes - Goldman scraps cash bonuses for 30 top executives\_1
  - w.  09-12-11 NYT - Goldman Sachs Alters Its Bonus Policy to Quell Uproar\_1
  - x.  09-12-14 ENP Newswire - Goldman Sachs Announces changes to 2009 Comensation Program\_1
  - y.  09-12-16 NYPost - Goldman Suit Over Bonus\_1
  - z.  09-12-16 NYT - As Goldman Thrives, SomeSay an Ethos Has Faded\_1
  - aa.  09-12-24 NYT - Banks Bundled Bad Debt, Bet Against It and Won\_1
  - ab.  09-12-30 McClatchy - Goldman's offshore deals deepened global financial crisis\_1
  - ac.  10-01-04 VanityFair - The Bank Job\_1
  - ad.  10-01-05 McClatchy - Goldman's chief executive apologizes for part in fiscal crisis\_1
  - ae.  10-01-07 - Supreme Court of the State of New York, County of New York\_1
  - af.  10-01-07 Reuters - Goldman sued by pension fund over bonus plans\_1
  - ag.  10-01-11 - Goldman Sachs Weighs Requirements for Charity\_1
  - ah.  10-01-11 Bloomberg - Geitherner rejects Goldman Sachs Assertion It Didn't Need U.S. Help\_1
  - ai.  10-01-11 Westchester County - Goldman Sachs subsidiary files flurry of mortgage lawsuits\_1
  - aj.  10-01-12 Bloomberg - Goldman Trdes Shouldn't Get U.S. Aid, Volcker Says (Update 1)\_1
2.  2. Morgan Stanley
- a.  05-12-20 TheStreet - Morgan Stanley Shines\_1
  - b.  06-07-03 BusinessWeek - Mack Attack\_1
  - c.  07-06-21 NYT - Morgan Stanley's Profits Rise, Defying Subprime Slump\_1
  - d.  07-09-20 NYT - Write-Downs Push Morgan's Profit Lower\_1
  - e.  07-10-03 NYT - Morgan Stanley Will Eliminate 600 Jobs\_1
  - f.  07-11-07 NYT - Morgan Stanley May be Next to Write Down Assets\_1
  - g.  07-12-20 NYT - \$9.4 Billion Write-Down at Morgan Stanley\_1
  - h.  07-12-21 SouthChinaMorningPost - Morgan Stanley a good bet until wall

Street's next crisis\_1












































- i.  08-02-18 LexisNexis - Morgan Shuts Most of Saxon\_1
- j.  08-02-24 Reuters - Morgan Stanley's Mack says firm faces restrictions\_1
- k.  08-03-11 NYT - Morgan Stanley Chief Grappling With New Risk\_1
- l.  08-04-08 Reuters - CORRECTED-INTERVIEW-UPDATE 3-Morgan Stanley CEO says end to trouble nearer\_1
- m.  08-04-09 TheIrishTimes - Morgan Stanley chief predicts near-term end to subprime trouble\_1
- n.  08-09-11 NYT - Some Banks Are Accused Of Aiding A Tax Dodge\_1
- o.  08-09-17 Reuters - Morgan Stanley, Goldman shares sink as fear spreads\_1
- p.  08-09-18 TIME - Can Morgan Stanley and Goldman Sachs Go It Alone\_1
- q.  08-09-21 Morgan Stanley - Morgan Stanley Granted Federal bank Holding Company Status By U.S. Federa
- r.  08-09-21 Reuters - RPT-UPDATE 4-Goldman, M.Stanley change into Fed-regulated banks\_1
- s.  08-10-14 WaPo - Morgan Stanley-Misubishi Deal Closes\_1
- t.  08-12-17 Dow Jones - Morgan Stanley 2008 Employee Compensation Plunges\_1
- u.  09-02-23 Charlie Rose - Morgan Stanley CEO Advocates Worldwide Coordination of Global Economy\_1
- v.  09-07-02 NYT - Risk Cuts at Morgan May Lead to a Loss\_1
- w.  09-07-16 WSJ - Mortgage Firms Struggle to Redo Hard-Hit Loans\_1
- x.  09-07-23 NYT - Red Ink Stains Bank and Banker\_1
- y.  09-07-23 WSJ - Morgan Stanley Pays for Caution\_1
- z.  09-08-07 NYT - Driving a Bargain With the Treasury\_1
- aa.  09-09-11 NYT - Morgan Stanley Announces New Chief\_1
- ab.  09-10-21 NYT - Morgan Stanley\_1
- ac.  09-10-22 NYT - Morgan Stanley Returns to a Profit\_1
- ad.  09-10-22 WaPo - Morgan Stanley posts first profit for 2009\_1
- ae.  09-11 - Making Home Affordable Program\_1
- af.  09-11-12 Saxon - Saxon Top Ranked Servicer in HAMP Report\_1
- ag.  09-11-18 WSJ - MUFG, Morgan Stanley Delay Broker\_1
- ah.  09-11-19 LondonToday - John Mack, the tough-talking boss of Morgan Stanley, made a surprise confession
- ai.  09-12-17 WSJ - Walk Away News-Morgan Stanley Gives Properties Back to the Lender\_1
- aj.  09-12-18 Reuters - UPDATE 4-Morgan Stanley's Mack forgoes bonus again\_1
- ak.  09-12-19 NYT - Unlike Most on Wall St., Morgan Stanley's Chief Will Forgo His 2009 Bonus\_1
- al.  09-12-29 Reuters - Morgan Stanley sued over failed \$1.2 billion CDO\_1
- am.  09-12-30 NYT - Suit Claims Bank Knew Investments Were Bad\_1
- an.  09-12-30 WSJ - Morgan Stanley to Overhaul Pay Plan\_1
- ao.  10-01-04 WSJ - The Sun Shines on Morgan Stanley CEO James Gorman\_1
- ap.  10-01-08 Bloomberg - Morgan Stanley Sued for Fraud by Investors in CDO (Update 2)\_1
- aq.  10-01-08 Bloomberg - Morgan Stanley Won't Issue More FDIC-Guaranteed Debt (Update 2)\_1
- ar.  10-01-11 Bloomberg - Morgan Stanley's Mack Proposes Single Global Bank Regulator\_1











































3.  3. JPMorgan Chase
  - a.  07-03-06 Dow Jones - UPDATE-Dimon, Retail Cheif Bullish On Mortgage Business\_1
  - b.  07-11-26 JPMorganChase - Barry Zubrow Appointed Chief Risk Officer Of JPMorgan Chase\_1
  - c.  08-01-14 LexisNexis - Boomerang Effect is Citi's Latest SIV Strategy\_1
  - d.  08-03-18 NYTimes - Rallying the House of Morgan\_1
  - e.  08-07-07 PBS - Interview with Jamie Dimon\_1
  - f.  08-07-08 PBS - War Powers Act; Evaluating the Economic Situation\_1
  - g.  08-09-15 Forbes - BofA Gets Risk Along With Rewards With Merrill\_1
  - h.  09-01-29 FT - Dimon urges US leaders to solve crisis\_1
  - i.  09-03-11 WSJ - Dimon Optimistic About Economy\_1
  - j.  09-03-27 FEDNWS - CNBC Interview with JPMorgan Chase CEO Jamie Dimon (Part 1)\_1
  - k.  09-04-16 MarketWatch - Dimon gets a little rough\_1
  - l.  09-06-05 NIKKEI - Experts From Interview With JPMorgan Chase CEO Jamie Dimon\_1
  - m.  09-06-29 WSJ - A Unified Bank Regulator Is a Good Start\_1
  - n.  09-07-16 NYT - Federal Inquiry Looks at Derivatives Data Providers\_1
  - o.  09-07-18 NYT - In Washington, One Bank Still Holds Sway\_1
  - p.  09-08-06 boston.com - Goldman faces credit-swap inquiry\_1
  - q.  09-08-24 Chicago Tribune - Jamie Dimon doesn't buy into notion that government bailed out JPMorgan C
  - r.  09-08-25 - Will Time Prove Ben Bernanke Wrong\_1
  - s.  09-09-21 Newsweek - The Banker Who Saved Wall Street\_1
  - t.  09-09-28 Puget Sound Business Journal - The downfall of Washington Mutual\_1
  - u.  09-10-14 The Christian Science Monitor - JPMorgan earnings show 'too big to fail' banks getting bigg
  - v.  09-10-15 The Daily Telegraph - JPMorgan's \$29bn bonus pot reignites row over pay\_1
  - w.  09-11-13 The Washington Post - No more 'too big to fail'\_1
  - x.  09-11-14 NYT - JPMorgan Faces New Suit in Alabama County's Woes\_1
  - y.  09-11-30 Forbes - Master Banker, Master Schmooser\_1
  - z.  09-12-07 Why Did They Close WaMu\_1
  - aa.  09-12-11 Dept of Treasury - Treasury Department Announces Pricing of Public Offering of Warrants to\_
  - ab.  09-12-15 Reuters - Wamu asks to probe Fed over collapse\_1
  - ac.  09-12-28 - What a Deal\_1
  - ad.  09-12-28 Telegraph - JP Morgan may scrap 1.5bn London HQ Plan over Labour's attacks on City\_1
  - ae.  10-01-02 NYT - U.S. Loan Effort Is Seen as Adding to Housing Woes\_1
  - af.  10-01-06 iStonckAnalyst - JPMorgan Chase & Co (NYSE-JPM) Fourth Quarter Earnings Preview 2009\_1
  - ag.  10-01-08 BusinessJournal - JPMorgan Chase's Internal Documents\_1
  - ah.  10-01-10 Bloomberg - JPMorgan Ends SEC Alabama Swap Probe for \$722 Million (update 2)\_1
  - ai.  10-01-10 Businessweek - German and French banks got \$36 billion from AIG Bailout\_1
  - aj.  Andrew M Cuomo - No Rhyme of Reason-The 'Heads I Win, Trails You








































Lose Bank Bonus Culture\_1




































- ak.  OCC's Quarterly Report on Bank Trading and Derivatives Activities Third Quarter 2009\_1
- 4.  4. Bank of America
  - a.  08-01-11 The Charlotte Observer - BofA May Buy Troubled Lender Countrywide Stock Surges Based on New
  - b.  08-01-12 WSJ - Behind Bank of America's Big Gamble\_1
  - c.  08-01-14 BusinessWeek - Bank of America Bags Countrywide\_1
  - d.  08-03-12 CNNMoney - FBI probes Countrywide for possible fraud\_1
  - e.  08-09-14 - Bank of America Said to Walk Away From Lehman Talks (Update 1)\_1
  - f.  08-10-06 PRNewswire - Bank of America Announces National Homeownership Retention Program for Country
  - g.  08-10-07 WaPo - Bank of America To Modify Mortgages From Countrywide\_1
  - h.  09-01-15 WSJ - Bank of America to Get Billions in U.S. Aid\_1
  - i.  09-03-15 BusinessWeek - German and French banks got \$36 billion from AIG Bailout\_1
  - j.  09-03-28 NYT - Bank of America Accused in Ponzi Lawsuit\_1
  - k.  09-08-20 NYT - Countrywide Loses Ruling in Loan Suit\_1
  - l.  09-09 The Atlantic Online - The Final Days of Merrill Lynch\_1
  - m.  09-09-14 Fortune - Checkmate for a Wall Street Wizard\_1
  - n.  09-10-06 Bank of America - Bank of America Announces Nationwide Homeownership Retention Program for\_
  - o.  09-10-19 LexisNexis - Bank of America Announces Third-Quarter Net Loss of \$1.0 Billion\_1
  - p.  09-10-28 DowJones - Merrill Bonuses Raised Issues In Merger With Bank of America\_1
  - q.  09-11-17 DowJones - Ex-general counsel of Bank of America says he had no role in controversial Merri
  - r.  09-12-09 CNNMoney - Bank of America-Paid in full\_1
  - s.  09-12-11 US Securities and Exchange Commission - Testimony Concerning Events Surrounding Bank of Ame
  - t.  10-01-04 - BofA-We'll bank on balance\_1
  - u.  10-01-05 BusinessWeek - Bailed-Out Banks Profit on Rescued Assets\_1
  - v.  10-01-05 TheCharlotteObserver - Bank of America CEO\_1
  - w.  10-01-08 WSJ - For BofA Investment Bankers, Bonuses Likely Close to 2007\_1
  - x.  10-01-11 Bank of America Corporation - US Securities and Exchange Commission\_1
  - y.  10-01-12 WSJ - BofA to Limit Curl's Duties; SEC Won't Sue Top Officers\_1
  - z.  10-12-01 Bloomberg - Bank of America may Not Guarantee Countrywide's Debt (Update 6)\_1
- b.  II. Day 1, Panel 1
  - 1.  1. Witness Bios
    - a.  a. Witness Bios\_1
  - 2.  2. Transcripts or MFRs of Pre-Interviews
  - 3.  3. Documents of Selected Quotes
    - a.  Key Quotes - Brian Moynihan\_1
    - b.  Key Quotes - Jamie Dimon\_1
    - c.  Key Quotes - John Mack\_1












































- d.  Key Quotes - Lloyd C. Blankfein\_1
  - 4.  4. Significant Past Testimony
    - a.  07-06-22 L Blankfein Interview with Chrystia Freeland, Financial Times US\_1
    - b.  08-04-03 J Dimon Before the Senate Committee on Banking, Housing and Urban Affairs\_1
    - c.  09-02-11 J Dimon Before House Financial Services Committee\_1
    - d.  09-02-11 J Mack Before US House of Rep Committee on Financial Services\_1
    - e.  09-02-11 L Blankfein Before House Committee on Financial Services\_1
    - f.  09-04 L Blankfein Before Council of Institutional Investos\_1
    - g.  09-05-08 L Blankfein Before the Annual Shareholders' Meeting on Compensation Principles\_1
    - h.  09-09-09 L Blankfein Before Handelsblatt Banking Conference\_1
    - i.  09-09-22 L Blankfein Before Council on Foreign Relations Meeting (Part 1)\_1
    - j.  09-11-10 L Blankfein Before Bank of America Merrill Lynch Banking and Financial Services Conference-
    - k.  10-01-04 B Moynihan Remarks to NC Chamber and NC Bankers Association Economic Forecast Forum\_1
  - c.  III. Day 1, Panel 2
    - 1.  1. Witness Bios
      - a.  a. Witness Bios\_1
    - 2.  2. Transcripts or MFRs of Pre-Interviews
    - 3.  3. Documents of Selected Quotes
    - 4.  4. Significant Past Testimony
  - d.  IV. Day 1, Panel 3
    - 1.  1. Witness Bios
      - a.  a. Witness Bios\_1
    - 2.  2. Transcripts or MFRs of Pre-Interviews
    - 3.  3. Documents of Selected Quotes
    - 4.  4. Significant Past Testimony
  - e.  V. Day 2, Panel 1
    - 1.  1. Witness Bios
      - a.  a. Witness Bios\_1
    - 2.  2. Transcripts or MFRs of Pre-Interviews
    - 3.  3. Documents of Selected Quotes
    - 4.  4. Significant Past Testimony
  - f.  VI. Day 2, Panel 2
    - 1.  1. Witness Bios
      - a.  a. Witness Bios\_1
    - 2.  2. Transcripts or MFRs of Pre-Interviews
    - 3.  3. Documents of Selected Quotes
    - 4.  4. Significant Past Testimony
- 3.  Hearing Briefing Binder
  - 4.  Hearing follow-up
    - a.  Letters to witnesses
      - 1.  2010-01-27 T Greene Ltr to D Crawford (copy)\_1
      - 2.  2010-01-27 T Greene Ltr to E Holder (copy)\_1
      - 3.  2010-01-27 T Greene Ltr to G Theobald (copy)\_1

















































4.  2010-01-27 T Greene Ltr to J Gordon (copy)\_1
  5.  2010-01-27 T Greene Ltr to J Suthers (copy)\_1
  6.  2010-01-27 T Greene Ltr to K Bass (copy)\_1
  7.  2010-01-27 T Greene Ltr to K Rosen (copy)\_1
  8.  2010-01-27 T Greene Ltr to M Mayo (copy)\_1
  9.  2010-01-27 T Greene Ltr to M Schapiro (copy)\_1
  10.  2010-01-27 T Greene Ltr to P Solomon (copy)\_1
  11.  2010-01-27 T Greene ltr to R Cloutier (copy)\_1
  12.  2010-01-27 T Greene Ltr to S Bair (copy)\_1
  13.  2010-01-28 T Greene Ltr to L Blankfein (copy)\_1
  14.  2010-02-02 T Greene Ltr to B Moynihan (copy)\_1
  15.  2010-02-02 T Greene Ltr to J Dimon (copy)\_1
  16.  2010-02-02 T Greene Ltr to J Mack (copy)\_1
- b.  Response letters from witnesses
1.  2010-01-29 M Mayo Response to Follow Up Qs (copy)\_1
  2.  2010-02-03 P Solomon ltr to Chair re Hearing 1 Follow-Up (copy)\_1
  3.  2010-02-23 BofA (R Brown) ltr to G Cohen re Doc Production (copy)\_1
  4.  2010-02-26 D Crawford ltr to T Greene re Hearing One Follow-Up (copy)\_1
  5.  2010-02-26 DOJ (R Weich) Ltr to T Greene re DOJ Response to FCIC Doc Request (copy)\_1
  6.  2010-02-26\_Colorado AG Fax to T Greene re Extension of Time to Answer FCIC Inquiries (copy)\_1
  7.  2010-03-01 J Dimon ltr re Hearing One Follow-Up (copy)\_1
  8.  2010-03-01 J Gordon Response to Hearing One Follow-Up (copy)\_1
  9.  2010-03-01 K Rosen ltr re Response to Hearing 1 Follow-Up (copy)\_1
  10.  2010-03-01\_K Bass Ltr to T Greene re Response to FCIC Request For Additional Info (copy)\_1
  11.  2010-03-05 M Schapiro Response re Hearing One Follow-Up (copy)\_1
  12.  2010-03-08 L Blankfein Response to Hearing One Follow-Up (copy)\_1
  13.  2010-03-11 FDIC (P Nash) Ltr to T Greene re Response to Questions (copy)\_1
  14.  2010-03-16 JPM (S Cutler) Ltr to T Greene re Response to Questions (copy)\_1
  15.  2010-03-22 G Theobald Response to Hearing One Follow-Up (copy)\_1
  16.  2010-03-22 Morgan Stanley (R Yanes) Ltr to T Greene re Response to FCIC Follow-up Questions (copy)\_1
  17.  2010-03-30 BofA (R Brown) ltr to T Greene re Hearing One Follow-Up (copy)\_1
  18.  2010-04-07 D Crawford ltr to T Greene re Hearing One Follow-Up (copy)\_1
  19.  2010-04-16 E Holder ltr to G Cohen re Hearing One Follow-Up (copy)\_1
  20.  2010-04-21 B Moynihan (R Brown) Ltr to T Greene re Response to H1 Follow-up (copy)\_1
  21.  2010-04-27 L Madigan Hearing One Follow-Up (copy)\_1
  22.  2010-04-27 Morgan Stanley (R Yanes) ltr to Seefer re Doc Production (copy)\_1
  23.  2010-05-03 JP Morgan Chase (S Cutler) Ltr to T Greene re Hearing 1 Followup (copy)\_1
  24.  2010-05-08 BofA (R Brown) ltr to FCIC re Supp Resp to Feb 2 Letter (copy)\_1
  25.  2010-05-10 R Cloutier ltr re Hearing One Follow Up (copy)\_1
  26.  2010-05-13 BofA (R Brown) ltr to FCIC re Hearing One Follow-Up (copy)\_1
  27.  2010-05-19 BofA (R Brown) ltr to FCIC re Hearing One Follow-Up (copy)\_1
  28.  2010-05-28 Morgan Stanley (R Yanes) ltr to Seefer Hearing One Follow-Up (copy)\_1

- 29. 2010-05-28 Morgan Stanley (R Yanes) ltr to Seefer Hearing One Follow-Up (copy)\_2
- 30. Blankfein Questions not answered\_1
- 31. Dimon's Questions not answered\_1
- 32. K Rosen H1 follow up answers\_1
- 33. Mack Questions not answered\_1
- 34. Moynihan questions not answered\_1
- c. 2010-08-23 H1 follow-up memo\_1
- d. H1 Commissioner Follow-up Questions (original)\_1
- e. H1 Commissioner Follow-up Questions Grid\_1
- 5. Hearing transcripts
  - a. 2010-01-13 HEARING 1 TRANSCRIPT - DAY 1\_1
  - b. 2010-01-14 HEARING 1 TRANSCRIPT - DAY 2\_1
- 6. MFRs Transcripts Interviews Notes
- 7. Misc
- E. Macroeconomic Factors and U.S. Monetary Policy
- F. Shadow Banking
  - 1. Document Requests
    - a. 2010-02-05 G Cohen ltr to Reserve Primary Fund (C Birch) (copy)\_1
    - b. 2010-02-18 G Cohen ltr to JPMorgan (S Cutler) re Doc Production (copy)\_1
    - c. 2010-02-18 G Cohen ltr to Lehman (H Miller) re Doc Production (copy)\_1
    - d. 2010-02-18 G Cohen ltr to Merrill Lynch (R Brown) re Doc Production (copy)\_1
    - e. 2010-02-19 G Cohen ltr to Barclays (J Hughes) re Doc Production (copy)\_1
    - f. 2010-02-26 G Cohen ltr to BNY (R Dorado) re Doc Request (copy)\_1
    - g. 2010-02-26 G Cohen ltr to JPMorgan (S Cutler) re Doc Request (copy)\_1
    - h. 2010-03-08 G Cohen ltr to FDIC (P Nash) re Doc Request (copy)\_1
    - i. 2010-03-10 G Cohen ltr to Federated (P Germaine) re Doc Production (copy)\_1
    - j. 2010-03-10 G Cohen ltr to Fidelity (S Goebel) re Doc Production (copy)\_1
  - 2. Hearing 4
    - a. Hearing Background Materials
      - 1. I. Bear Stearns
        - a. 1. Bear Stearns Witness Biographies
          - 1. a. Bear Stearns Witness Biographies\_1
        - b. 2. Transcripts or Memoranda of Pre-Interviews
          - 1. a) MFR\_Interview\_BSC\_SMolinaro\_09Apr10\_3\_1
          - 2. b.) Paul Friedman MFR 2010-04-29\_1
          - 3. c) MFR Warren Spector March 30 interview\_3\_1
          - 4. d. 2010-04-19 MFR of Interview with Tom Marano\_1
          - 5. e.) 2010-04-23 A Schwartz\_1
          - 6. f.) Jimmy Cayne MFR\_1
          - 7. OLD 2010-04-29 Friedman\_2\_1
          - 8. OLD. 2010-03-20 MFR for Warren Spector (Bear Stearns)\_1
          - 9. OLD. 2010-04-09 MFR of Interview with SMolinaro\_1
        - c. 3. Documents of Selected Key Quotes
          - 1. a. Key Quotes - Sam Molinaro\_1
          - 2. b. Key Quotes - Paul Friedman\_1
          - 3. d. Key Quotes - Alan Schwartz\_1
          - 4. e. Key Quotes - Jimmy Cayne\_1

- d.  4. Congressional Research Service Report
  - 1.  a. 2008-03-26 CRS Report - Bear Stearns, Crisis and 'Rescue' for a Major Provider\_1
- e.  5. Relevant Articles
  - 1.  a. 2007-03-28 WSJ - CEO Pay Rises at Bear Stearns\_1
  - 2.  b. 2007-06-03 WSJ - Bear Stearns and Man Group Launch New Financial Product\_1
  - 3.  c. 2007-06-07 WSJ - The Sure Bet Turns Bad - Funds Howl as Bear Buys Mortgages\_1
  - 4.  d. 2007-06-21 Bloomberg - Bear Stearns Fund Collapse Sends Shock Through CDOs\_1
  - 5.  e. 2007-06-23 WSJ - Bear Stearns Bails Out Fund With Big Loan\_1
  - 6.  f. 2007-06-26 NYT - A Stock Filing Gone Awry for Bear Stearns\_1
  - 7.  g. 2007-07-11 WSJ - Behind a Bear Analyst's Subprime Call\_1
  - 8.  h. 2007-08-06 NYT - Fallout from U.S. Subprime Market Widens, Costing the Job of Top Banker\_1
  - 9.  i. 2007-10-11 Business Week - Bear Stearns' Bad Bet\_1
  - 10.  j. 2007-10-23 WSJ - Bear Stearns Is Big in Bonds But Didn't Start Out That Way\_1
  - 11.  k. 2007-11-16 WSJ - Bear Rating is Cut by S&P\_1
  - 12.  l. 2008-03-18 WSJ - With Street Watching, 'Repo' Trading is Light\_1
  - 13.  m. 2008-05-27 WSJ - Lost Opportunities Haunt Final Days of Bear Stearns\_1
  - 14.  n. 2008-05-28 WSJ - Fear, Rumors Touched Off Fatal Run on Bear Stearns\_1
  - 15.  o. 2008-08 Vanity Fair - Bringing Down Bear Stearns\_1
  - 16.  p. 2009-10-14 Rolling Stone - Wall Street's Naked Swindle\_1
  - 17.  q. 2009-10-31 WSJ - In 'The Greatest Trade Ever'\_1
  - 18.  r. 2010-04-01 Bloomberg - Fed Reveals Bear Stearns Assets It Swallowed\_1
  - 19.  s. 2010-04-14 FT - Repo Market Struggles to Pull Back from Slump\_1
- f.  6. Investigator General Report
  - 1.  a. 2008-09-25 SEC's Oversight of Bear Stearns and Related Entities- the CSE Program\_1
- 2.  II. Consolidated Supervised Entities Program
  - a.  1. SEC Witness Biographies
    - 1.  a. SEC Witness Biographies\_1
  - b.  2. Transcripts or Memoranda for the Record (MFR)
    - 1.  b. William Donaldson 2010-04-29\_1
    - 2.  c. David Kotz 2010-04-26\_1
    - 3.  d. 2010-04-01 MFR of Interview with Eric Sirri (SEC)\_1
  - c.  3. Speeches, Letters, Op-Eds, and Testimony
    - 1.  a. 2007-06-26 Cox Testimony Before the House Financial Services Committee\_1
    - 2.  e. 2008-02-08 Cox Speech to the Practising Law Institute\_1
    - 3.  f. 2008-02-14 Cox Testimony Before Senate Banking Committee\_1
    - 4.  g. 2008-04-03 Chairman Cox Testimony Before Senate Banking Committee\_1
    - 5.  h. 2008-04-16 Chairman Christopher Cox Letter to Sen. Charles Grassley\_1

- 6.  i. 2008-04-30 Cox Speech to the ICI Mutual Fund Leadership Dinner\_1
  - 7.  k. 2008-06-19 Chairman Cox Op-Ed for WSJ\_1
  - 8.  n. 2008-10-23 Cox Testimony before House Oversight Committee\_1
  - 9.  p. 2009-04-09 Erik R. Sirri- Securities Markets and Regulatory Reform\_1
  - 10.  q. 2010-04-20 Cox Testimony re Lehman\_1
  - 11.  r. 2003-11-07 Donaldson Speech to the Securities Industry Association\_1
  - 12.  s. 2004-04-28 Donaldson Statement at SEC Open Meeting\_1
  - 13.  t. 2005-06-29 Donaldson Farewell Remarks to SEC Staff\_1
  - d.  4. Documents of Selected Key Quotes
    - 1.  a. Key Quotes - Chairman Cox\_1
    - 2.  b. Key Quotes - Chairman Donaldson\_1
  - e.  5. Relevant Articles
    - 1.  a. 2005-07-23 New York Times\_Donaldson The Exit Interview\_1
    - 2.  b. 2008-02-18 Real Clear Markets - The SEC Killed Wall Street on April 28, 2004\_1
    - 3.  c. 2008-04-17 Bond Buyer - SEC Plans Rule 15c2-12 Changes in May\_1
    - 4.  d. 2008-05-08 Bond Buyer - SEC Eyes Legislation to Help Strength BD Oversight\_1
    - 5.  e. 2008-09-23 Time - How Much is the SEC's Cox to Blame\_1
    - 6.  f. 2008-09-27 WaPo - Report Says SEC Failed in Oversight of Bear Stearns\_1
    - 7.  g. 2008-09-27 NYT - SEC Concedes Oversight Flaws Fueled Collapse\_1
    - 8.  h. 2008-10-03 NYT - Agency's 04 Rule Let Banks Pile Up New Debt\_1
    - 9.  i. 2008-10-06 ComplyNet - SEC Chairman Cox Announces End of CSE Program\_1
    - 10.  j. 2008-10-18 Reuters - SEC Head Calls for Transparency on CDS\_1
    - 11.  k. 2008-12 Compliance Week - SEC Puts Credit Swaps in Regulatory Sight\_1
    - 12.  l. 2009-03-31 Reuters - SEC's Head of Trading and Markets Leaving\_1
    - 13.  m. 2010-03-18 Wall Street Journal - SEC on Lehman Oversight- Not Good Enough\_1
    - 14.  n. 2010-04-20 DowJones - Ex-SEC Chairman Cox Says Agency Stretched Authority with Lehman\_1
  - f.  6. Selected SEC Press Releases
    - 1.  d. 2008-09-26 Chairman Cox Announces End of Consolidated Supervised Entities Program\_1
    - 2.  e. 2009-03-31 Erik R. Sirri, Director of Division of Trading and Markets, to Leave SEC\_1
  - g.  7. Investigator General Report
  - h.  8. Case Study- Bear Stearns and the SEC
  - i.  9. SEC Basement Meeting
    - 1.  a. 2004-04-28 SEC Open Meeting Agenda\_1
- 3.  III. Secretary Henry Paulson
    - a.  1. Secretary Paulson Biography

1.  a. Secretary Paulson Biography\_1
- b.  2. Transcripts or Memoranda for the Record (MFR)
  1.  a. 2010-04-02 MFR for Secretary Paulson\_1
- c.  3. Significant Speeches and Testimony
  1.  a. 2008-09-24 Paulson Testimony Before the House Financial Services Committee\_1
  2.  b. 2009-07-16 Paulson Testimony Before the House Oversight Committee\_1
  3.  c. 2010-04-20 Paulson Testimony Before the House Financial Services Committee\_1
- d.  4. Document of Selected Quotes
  1.  a. Key Quotes - Secretary Paulson\_1
4.  IV. Secretary Timothy Geithner
  - a.  1. Secretary Geithner Biography
    1.  a. Secretary Geithner Biography\_1
  - b.  2. Transcripts or Memoranda for the Record (MFR)
    1.  a. 2009-11-17 Geithner Transcript from Closed Session\_1
  - c.  3. Significant Speeches and Testimony
    1.  a. 2008-06-09 Timothy Geithner Remarks at the Economic Club of New York\_1
    2.  b. 2009-03-26 Geithner Testimony Before the House Financial Services Committee\_1
    3.  c. 2009-04-03 Geithner Testimony Before the Senate Banking Committee\_1
    4.  d. 2009-04-21 Geithner Testimony Before the Congressional Oversight Panel\_1
    5.  e. 2010-04-20 Geithner Testimony Before House Financial Services Committee\_1
  - d.  4. Document of Selected Key Quotes
    1.  a. Key Quotes - Secretary Geithner\_1
5.  V. Commercial Paper Market
  - a.  1. Commercial Paper Witness Biographies
    1.  a. Commercial Paper Market Witness Biographies\_1
  - b.  2. Transcripts or Memoranda for the Record (MFR)
    1.  a. MFR with Michael Neal\_1
    2.  b. Mark Barber MFR 2010-04-01\_1
    3.  c. Paul McCulley 2010-04-23\_1
    4.  d. 2010-04-16 MFR Interview Steve Meier\_1
    5.  OLD. 2010-04-16 MFR Interview Steve Meier\_1
  - c.  3. Documents of Selected Key Quotes
    1.  a. Key Quotes - Commercial Paper Market Witnesses\_1
  - d.  4. Relevant Articles
    1.  a. 2005-11-14 Forbes - Money Machine\_1
    2.  b. 2008-10-10 CNN Money - GE Under Seige\_1
    3.  c. 2008-12-07 NYT - Darkness and Light at GE Capital\_1
6.  INDEX\_H4\_Background Briefing Book for Commissioners\_1
7.  INDEX\_H4\_Background Briefing Book for Commissioners\_2
8.  INDEX\_H4\_Background Briefing Book for Commissioners\_3
9.  INDEX\_H4\_Background Briefing Book for Commissioners\_4
- b.  Hearing Briefing Binder
  1.  I. Agendas, Timelines, Logistics, and Media Adv

- a.  a. Agenda for Commission Briefing Session on 5-4-2010\_1
  - b.  b. Agenda and Witness List FOR Hearing April 7-9\_2010\_1
  - c.  c. H4\_logistics\_Commissioners\_1
  - d.  d. Media Advisory 4.29.10 - Final\_1
2.  II. DAY ONE, WEDNESDAY, MAY 5, 2010
- a.  1. SESSION 1 - BEAR STEARNS
    - 1.  a. PSR\_-\_Shadow\_Banking\_0504\_1
    - 2.  b) REDLINE Updated Bear Summary 5-2-2010 \_2\_\_1
    - 3.  c. Bear Stearns - Summary of Financial Narrative\_1
    - 4.  d. Bear Stearns Session 1 - Witness Bios\_1
    - 5.  e-i. Sam Molinaro\_1
    - 6.  e-ii. Paul Friedman\_1
    - 7.  e-iii. Warren Spector FCIC Prepared Testimony\_1
    - 8.  f) Bear Stearns Session 1 - Suggested Questions\_1
    - 9.  OLD - Bear Stearns Session 1 - Suggested Questions\_1
    - 10.  OLD - PSR\_-\_Shadow\_Banking\_0429\_1
    - 11.  OLD Bear Stearns - Summary of Investigative Findings\_1
  - b.  2. SESSION 2 - BEAR STEARNS CEO's
    - 1.  a. Bear Stearns Session 2 - Witness Bios\_1
    - 2.  b-i. Alan Schwartz\_1
    - 3.  b-ii. James Cayne -- final FCIC statement\_1
    - 4.  c) Bear Stearns Session 2 - Suggested Questions\_1
    - 5.  OLD - Bear Stearns Session 2 - Suggested Questions\_1
  - c.  3. SESSION 3 - SEC and CSE
    - 1.  a. SEC Witness Biographies\_1
    - 2.  b-i. Christopher Cox Testimony\_1
    - 3.  b-ii. Chairman Donaldson Testimony\_1
    - 4.  b-iii. Kotz, David - Testimony\_1
    - 5.  b-iv. Er k Sirri Testimony\_1
    - 6.  c) SEC-CSE Program Session 3 – Suggested Questions\_1
    - 7.  OLD - SEC-CSE Program Session 3 – Suggested Questions\_1
3.  III. DAY TWO, THURSDAY, MAY 6, 2010
- a.  1. SESSION 1 - Paulson
    - 1.  a. Secretary Paulson Biography\_1
    - 2.  b) Paulson FCIC Testimony 5.6.10\_1
    - 3.  c) Hank Paulson - suggested questions\_1
    - 4.  OLD - Hank Paulson - suggested questions\_1
  - b.  2. Session 2 - Geithner
    - 1.  a. Secretary Geithner Biography\_1
    - 2.  b) Geithner FCIC Testimony 050610 FINAL\_1
    - 3.  c) Tim Geithner - suggested questions\_1
    - 4.  c) Tim Geithner - suggested questions\_2
    - 5.  OLD - Tim Geithner - suggested questions\_1
  - c.  3. Session 3 - Shadow Banking System Participants
    - 1.  a. Investigative Report for GE Capital\_1
    - 2.  b. Financial Summary for GE Capital\_1
    - 3.  c. Shadow Banking Participants - Witness Biographies\_1
    - 4.  d-i. Steven R. Meier Testimony\_1













5. d-ii. Neal Testimony\_1
  6. d-iii. Mark Barber Testimony\_1
  7. d-iv. McCulley\_Written\_Statement\_1
  8. d-iv. OLD McCulley\_Written\_Statement\_1
  9. e) Institutions Participating in Shadow Banking Session 3 – Suggested Questions\_1
  10. OLD - Institutions Participating in Shadow Banking Session 3 – Suggested Questions\_1
4. IV. KEY DOCUMENT APPENDIX
    - a. 1) REDLINE Updated Bear Stearns Preliminary Investigation Report - 5-2-2010\_1
    - b. 2. Bear Stearns - Full Financial Narrative\_1
    - c. 3) Financial Narrative for GE Capital\_1
    - d. 4) Lehman Summary of Investigative Findings\_1
    - e. 5. Lehman - Summary of Financial Narrative\_1
    - f. 6) Lehman Full Preliminary Investigative Report\_1
    - g. 7. Lehman - Full Financial Narrative\_1
    - h. OLD Bear Stearns Draft Investigative Report 04-28-10 \_3\_\_1
  5. OUTLINE - H4 Briefing book for Commissioners (4-27-2010)\_1
- c. Hearing follow-up
    1. Follow Up Letters
      - a. letters to witnesses
        1. 2010-08-09 Letter to Chairman Christopher Cox (copy)\_1
        2. 2010-08-09 Letter to Paul Friedman (copy)\_1
        3. 2010-08-09 Letter to Samuel Molinaro (copy)\_1
        4. 2010-08-09 Letter to Warren Spector (copy)\_1
        5. 2010-08-10 Follow-up Letter to T Geithner (DOT) (copy)\_1
        6. 8-9-2010 Letter to Henry M Paulson\_1
      2. Response Letters From Witnesses
        - a. 2010-08-27 Geithner response to FCIC\_1
        - b. 2010-09-10 Follow-Up Response from Mr. Friedman\_1
        - c. 2010-09-10 Follow-Up Response from Mr. Molinaro\_1
        - d. 2010-09-10 Follow-Up Response from Mr. Spector\_1
        - e. 2010-09-12 Follow-Up Response of Chairman Cox\_1
        - f. 2010-09-14 Paulson Response to Hearing Follow-Up\_1
      3. H4 Commissioner Follow-up Questions (original)\_1
      4. H4 Commissioner Follow-up Questions Grid\_1
      5. takeaways\_from\_shadow\_banking\_research\_and\_investigative\_plan\_7\_22\_1
  - d. Hearing transcripts
    1. 2010-0505- Hearing 4, Day 1 - Transcript\_1
    2. 2010-0506- Hearing 4, Day 2 - Transcript\_1
  - e. MFRs Transcripts Interview Notes
    1. 2010-02-23 Fidelity Investments\_1
    2. 2010-02-23 Interview with Merrill Lynch MFR\_1
    3. 2010-02-24 SEC Scheidt and Plaze\_1
    4. 2010-03-01 US Chamber re commercial paper\_1
    5. 2010-03-03 FRB Hewko and Merriett re accounting and capital\_1
    6. 2010-03-04 Call with JPMorgan\_1
    7. 2010-03-04 Memo re Interview with Fidelity Investments\_1






































8.  2010-03-05 ICI MFR\_1
9.  2010-03-11 Call with BNYM re Repo\_1
10.  2010-03-11 Memo re mtg with David Kotz and Pete Kyle\_1
11.  2010-03-15 FDIC Interview\_1
12.  2010-03-15 MFR for State Street re Repo and CP\_1
13.  2010-03-16 Background interview with FRBNY Lubke\_1
14.  2010-03-18 MFR of Interview with M Macchiaroli re CSE and Lehman\_1
15.  2010-03-20 MfR Warren Spector\_1
16.  2010-03-24 MFR Mtg with Bankruptcy Examiner\_1
17.  2010-03-24 MFR of interview with B De Leon (PIMCO)\_1
18.  2010-03-25 Call with Reserve Primary Fund\_1
19.  2010-03-25 Interview notes Kevin Coffey (FRBNY)\_1
20.  2010-03-26 Interview notes for Jean-Marie Davis and Brian Peters (FRBNY)\_1
21.  2010-03-29 Memo for Call with KBass of Hayman Capital\_1
22.  2010-04-01 MFR for Mark Barber (GE Capital)\_1
23.  2010-04-01 MFR of Interview with Annette Nazareth\_1
24.  2010-04-06 Memo re Interview with ISDA\_1
25.  2010-04-06 MFR of Interview at SEC\_1
26.  2010-04-08 MFR re Interview with Michael Alix (Bear Stearns)\_1
27.  2010-04-08 Transcript of Interview with Harvey Goldschmid\_1
28.  2010-04-09 MFR Call with Matt Eichner re CSE Program\_1
29.  2010-04-09 MFR of Interview with Ernst and Young\_1
30.  2010-04-09 MFR of Interview with Sam Molinaro\_1
31.  2010-04-13 MFR of Interview with Robert Upton\_1
32.  2010-04-16 K Dubas MFR with Bart McDade (Lehman)\_1
33.  2010-04-19 K Dubas MFR of Interview with Tom Marano (Bear)\_1
34.  2010-04-20 Memo re Interview with Theo Lubke (FRBNY)\_1
35.  2010-04-21 MFR of Jimmy Cayne (Bear Stearns)\_1
36.  2010-04-22 MFR of Interview with Helen Mucciolo (FRBNY)\_1
37.  2010-04-22 MFR of Interview with Steve Meyer (Bear)\_1
38.  2010-04-23 MFR for Paul McCulley (PIMCO)\_1
39.  2010-04-30 K Dubas memo re phone call with Kyle Bass\_1

f.  Misc








































g.  Short List Documents









1.  2005-03-16 FRBNY Operations Review - Draft Closeout report re Large Complex Banking Supervision\_1
2.  2005-12-05 Internal SEC Memo- Risk Management Reviews of Consolidated Supervised Entities\_1
3.  2006-01-06 Internal SEC Memo- Risk Management Reviews of Consolidated Supervised Entities\_1
4.  2006-01-24 SEC Investigation of Bear Stearns\_1
5.  2006-02-01 Internal SEC Memo- Risk Management Reviews of Consolidated Supervised Entities\_1
6.  2006-03-08 Internal SEC Memo- Risk Management Reviews of Consolidated Supervised Entities\_1
7.  2006-04-06 Internal SEC Memo- Risk Management Reviews of Consolidated Supervised Entities\_1
8.  2006-05-08 Internal SEC Memo- Risk Management Reviews of Consolidated Supervised Entities\_1
































9.  2006-06-07 Internal SEC Memo- Risk Management Reviews of Consolidated Supervised Entities\_1
10.  2006-06-26 Hedge Fund Derivative-Linked Products- Current Market Practice, Risk Management & Capital
11.  2006-07-05 Internal SEC Memo- Risk Management Reviews of Consolidated Supervised Entities\_1
12.  2006-08-02 Internal SEC Memo- Risk Management Reviews of Consolidated Supervised Entities\_1
13.  2006-09-07 Internal SEC Memo- Risk Management Reviews of Consolidated Supervised Entities\_1
14.  2006-10-03 Residential and Commercial Mortgage Securitization- Current Market Practice, Risk Managem
15.  2006-10-06 Internal SEC Memo- Risk Management Reviews of Consolidated Supervised Entities\_1
16.  2006-11-07 Internal SEC Memo- Risk Management Reviews of Consolidated Supervised Entities\_1
17.  2006-12-05 Internal SEC Memo- Risk Management Reviews of Consolidated Supervised Entities\_1
18.  2007-01-04 Internal SEC Memo- Risk Management Reviews of Consolidated Supervised Entities\_1
19.  2007-01-23 Email from Jay Remis re Bear Res December 2006 Business Update\_1
20.  2007-01-24 Email from Sandra Hong re Redemption Report for February 1, 2007\_1
21.  2007-02-02 Internal SEC Memorandum- Risk Management Reviews of Consolidated Supervised Entities\_1
22.  2007-02-13 Email from Warren Spector re US Investment Banks- Examining the sector risk from sub-prim
23.  2007-02-13 Securities Broker Dealer- Little Exposure to subprime implosion\_1
24.  2007-02-28 Email from Jay Remis re Bear Res January 2007 Business Update\_1
25.  2007-03-01 Internal SEC Memo- Risk Management Reviews of Consolidated Supervised Entities\_1
26.  2007-03-19 Email from George Zahringer re Bear Stearns Sub-prime-Prime Mortgage Watch\_1
27.  2007-03-22 Minutes of Regular Meeting of the Board of Directors\_1
28.  2007-03-29 Jeff Mayer and Thomas Marano, Fixed Income Overview\_1
29.  2007-03-30 Internal SEC Memo- Risk Management Reviews of Consolidated Supervised Entities\_1
30.  2007-04-18 The Bear Stearns Companies Inc. Minutes of Regular Meeting of Board of Directors\_1
31.  2007-04-26 Internal SEC Memo- Risk Management Reviews of Consolidated Supervised Entities\_1
32.  2007-05 Funding and Liquidity Package\_1
33.  2007-05-30 SEC Bear Stearns Packet\_1
34.  2007-06-12 Email from Tom Marano to Warren Spector\_1
35.  2007-06-14 Memo from Bob Upton & John Stacconi to Sam Molinaro re Liquidity Pool- Theory and Sizing\_
36.  2007-06-16 Email from Paul Friedman re Old Lane\_1
37.  2007-06-17 Email from Tom Marano to Warren Spector\_1
38.  2007-06-21 Internal email from Bill Jamison of Federated\_1
39.  2007-06-24 Email from Tom Marano to Warren Spector\_1

40.  2007-06-27 Federated Internal Memo re Counterparty Risk Committee\_1
41.  2007-07-06 Email from Jeff Chan to Alan Greenberg, et al. re BSSC Weekly Net Capital Report\_1
42.  2007-07-12 Email from Tom Marano to Andrew Donnellan, Ralph Cioffi, Raymond Mcgarrigal\_1
43.  2007-08-03 Private Equity and Principal Investing- Current Market Practice, Risk Management & Capita
44.  2007-08-14 Paul Friedman Email to group re Thornburg\_1
45.  2007-08-15 Email 2 from Paul Friedman re Thornburg\_1
46.  2007-08-15 Email from Paul Friedman re Thornburg\_1
47.  2007-08-15 Tom Marano Email to Lisa Anzalone\_1
48.  2007-08-31 BS Earnings Call Transcript\_1
49.  2007-09-18 Bear Stearns Minutes of a Regular Meeting of the Board of Directors\_1
50.  2007-09-18 JPMorgan Worldwide Securities Services Risk Review, Directors Risk Policy Committee\_1
51.  2007-09-19 OFHEO Press Release- OFHEO Provides Flexibility on Fannie Mae Freddie Mac Mortgage Portf\_
52.  2007-10-01 Email from SEC Monitor re Bear Stearns Risk Identification Points\_1
53.  2007-11-14 Federated Email from Bill Jamison re Bear Stearns Q4 Preannouncement\_1
54.  2007-11-15 Internal Federated Email fr. Bill Jamison re Bear Stearns\_1
55.  2007-12-02 Bear Stearns Minutes of a Special Meeting of the Board of Directors\_1
56.  2007-12-21 American Banker Article- Setting an OFHEO Plan But Wishing Otherwise\_1
57.  2008-01-08 Bear Stearns Breakdown of revenues from John Paulson\_1
58.  2008-01-09 Email from David Rawlings re Paulson Partners follow up\_1
59.  2008-01-10 Email from Fran Martiniello re Risk Policy Committee Meeting\_1
60.  2008-01-16 Email from Michael Alix re Recession Scenario focus on Commercial Mortgages\_1
61.  2008-01-16 President's Advisory Council\_1
62.  2008-01-17 Bear Stearns Price Verification Quarterly Update\_1
63.  2008-01-18 Lehman Brothers Equity Research\_1
64.  2008-01-22 Minutes of Regular Meeting of the Operations Committee\_1
65.  2008-01-30 Memo from Dan Chen re Weekly Mortgage Risk\_1
66.  2008-02-01 Email chain re Project RENO\_1
67.  2008-02-01 Email from Jim Collins re Nov-Dec CSE Calculations\_1
68.  2008-02-02 Email from Tom Marano to Alan Schwartz\_1
69.  2008-02-04 SEC Internal Audit report\_1
70.  2008-02-05 Email from Elizabeth Ventura to Tom Marano, et al\_1
71.  2008-02-05 Email from Michael Alix re Loan Presentation\_1
72.  2008-02-05 Oliver Wyman Risk Governance Diagnostic Recommendations and Case for Economic Capital De\_
73.  2008-02-06 Bear Stearns Weekly Mortgage Risk\_1
74.  2008-02-06 Internal SEC Memo- Risk Management Reviews of Consolidated Supervised Entities\_1
75.  2008-02-06 Memo from Dan Chen re Weekly Mortgage Risk\_1
76.  2008-02-07 Testimony of James Lockhart before Senate Banking re GSE Overhaul\_1













































77.  2008-02-08 Email from Beau Pauk to Tom Marano et al\_1
78.  2008-02-12 Bear Stearns Minutes of Regular Meeting of the Operations Committee\_1
79.  2008-02-14 Cox Testimony Before Senate Banking Committee\_1
80.  2008-02-15 Email from Sam Molinaro to Alan Schwartz\_1
81.  2008-02-20 Memo from Dan Chen re Weekly Mortgage Risk\_1
82.  2008-02-20 Mortgage Portfolio Update\_1
83.  2008-02-21 Email from Tom Marano to Sam Molinaro\_1
84.  2008-02-21 memo re GMAC ResCap Restructuring and Proposed Transactions\_1
85.  2008-02-25 Sen Schumer ltr to Lockhart\_1
86.  2008-02-27 Email from Paul Friedman re Non-Agency AAA Financing for Non-IG Clients\_1
87.  2008-02-27 Lockhart Statement\_1
88.  2008-02-28 Steel email re GSE Losses Overshadowed by Removal of Portfolio Cap\_1
89.  2008-02-29 Bear Stearns Statement of Financial Condition\_1
90.  2008-02-29 Email from Tom Marano re Global Master Repurchase Agreement\_1
91.  2008-03 Email Chain re Novation BS GS\_1
92.  2008-03 Email Chain with Kyle Bass re Novation BS GS\_1
93.  2008-03-03 email from Tom Marano to Sam Molinaro, et al\_1
94.  2008-03-03 Nelson email re GSEs' credit risk\_1
95.  2008-03-04 Minutes of Regular Meeting of the Operations Committee\_1
96.  2008-03-05 Email from Michael Alix re Firmwide Limits as of 2-29-08\_1
97.  2008-03-05 Email from Sal Catrini re TMA 8K hits\_1
98.  2008-03-06 Farrell eml to Steel\_1
99.  2008-03-07 Levin eml to Mudd re deal with OFHEO\_1
100.  2008-03-08 Email from Paul Friedman re Thornburg\_1
101.  2008-03-08 Email from Paul Friedman to Tom Marano re Thornburg\_1
102.  2008-03-08 email from Paul Friedman to Tom Marano, et al\_1
103.  2008-03-08 Thomas eml to Steel\_1
104.  2008-03-09 Email from Paul Friedman re Thornburg\_1
105.  2008-03-10 Internal email from Bill Jamison of Federated\_1
106.  2008-03-11 Email from P Lewis to Eichner, Spurry, Giles, and Silva\_1
107.  2008-03-12 Bear Stearns Weekly Mortgage Risk\_1
108.  2008-03-12 Email from David Rawlings re Client Calls\_1
109.  2008-03-12 Email from Paul Friedman to Tom Marano re Fixed Income\_1
110.  2008-03-12 Memo fr. Tom Marano, Paul Friedman, and Wayne Buchman re Thornburg Mortgage Forbearance\_1
111.  2008-03-12 Transcript of Alan Schwartz on CNBC Squawk\_1
112.  2008-03-13 Bear Stearns Minutes of a Special Meeting of the Board of Directors\_1
113.  2008-03-13 Email chain re Bear Credit Concerns affecting abx, cdx, and ig cds Business with RBC\_1
114.  2008-03-13 Email from David Rawlings re investor moving PB accounts away from Bear\_1
115.  2008-03-13 Email from Jerome Schneider re Mark-Up of Existing Terms\_1
116.  2008-03-13 email from Michael Nierenberg to Tom Marano, et al.,\_1
117.  2008-03-15 email from Tom Marano to Peter Bainlardi, Craig Overlander, Alan Schwartz, Jeff Mayer\_1







































118.  2008-03-16 Bear Stearns Minutes of a Special Meeting of the Board of Directors\_1
119.  2008-03-16 Investor Presentation- JPMorgan Chase Acquiring Bear Stearns\_1
120.  2008-03-16 Norton eml to Steel Nason Ryan and Kashkari re GSEs\_1
121.  2008-03-17 Lockhart eml to Mudd and Steel\_1
122.  2008-03-17 Lockhart eml to Mudd and Steel\_2
123.  2008-03-17 Mudd eml to Lockhart\_1
124.  2008-03-18 Email from Tony Chow re Japan FSA Inquiry about liquidity\_1
125.  2008-03-18 Email from Tony Chow to Robert Upton, Jeff Farber, Michael Alix and John Stacconi\_1
126.  2008-03-19 OFHEO Press Release - re Increase Mortgage Mkt Liquidity\_1
127.  2008-03-20 Email from Michael Alix re Firmwide Drawdowns as of March 18, 2008\_1
128.  2008-03-20 Email from Michael Alix re Firmwide Limits as of 3-7-2008\_1
129.  2008-03-20 email from Tom Marano to group\_1
130.  2008-03-26 Email from Paul Friedman to Wayne Buchan, et al. re Thornburg Mortgage Deal Pricing\_1
131.  2008-04-03 Email Chain re Fortune Letter\_1
132.  2008-06-20 Corporate Library Profile of Bear Stearns Governance\_1
133.  2008-06-26 Project LLC Summary of Findings and Observations Report by Ernst & Young\_1
134.  2008-09 GECC Commercial Paper Issuance\_1
135.  2008-09 GECC Commercial Paper Outstanding\_1
136.  2008-09 GECC USD Commercial Paper Activity\_1
137.  2008-09-25 unredacted IG Report\_1
138.  2008-09-26 Chairman Cox Announces End of Consolidated Supervised Entities Program\_1
139.  2009- FRBNY Dec 2009 Operations Review\_1
140.  2009-12-08 Heidi Miller, TSS State of Control and Risk Management\_1
141.  2010-03-05 M Shapiro Response re Hearing One Follow-Up (copy)\_1
142.  2010-04-01 Federated (S Keen) ltr to G Cohen re Doc Production (copy)\_1
143.  2010-04-26 Interrogatories from JPMorgan\_1
144.  Bear Stearns Companies, Inc. Consolidated Statement of Financial Condition 2008-02-29\_1
145.  Bear Stearns Liquidity Chart in billions\_1
146.  Bear Stearns Net Cash Capital Tracking and Cushion\_1
147.  Commercial Paper Market Overview\_1
148.  Corporate Library Bear Stearns\_1
149.  Email from John Mauldin and InvestorsInsight to Ralph Cioffi (July 7, 2007)\_1
150.  Email from Mary Rose O'Kane to Michael Alix (February 20, 2008).\_1
151.  Email from Matthew Eichner to CSE Monitor's (March 11, 2008), subject FW (BN) Bear Stearns Investor\_
152.  Email from Michael Alix re Recession Scenario focus on Commercial Mortgages, January 16, 2008 2\_1
153.  Email from Michael Alix re Recession Scenario focus on Commercial Mortgages, January 16, 2008\_1
154.  Email from Paul Friedman to Ben Szwalbenest, et al. re Thornburg\_1
155.  Email from Paul Friedman to Cioffi, et al. re old lane\_1
156.  Email from Paul Friedman to Jeff Mayer, et al. re non-agency AAA\_1







































157.  Email from Paul Friedman to Wayne Buchan, et al. re Thornburg\_1
158.  Email from Ralph Cioffi to Gerald Cummins, Matthew Tannin and John Geissinger, (April 21 2007).\_1
159.  Email from Sandra Hong re Redemption Report January 24 2007\_1
160.  Email from Thomas Marano to Scott Eichel re Bear Credit Concerns affecting abx, cdx, and ig cds Busi
161.  Email from Tom Marano to Andrew Donnellan, Ralph Cioffi, Raymond Mcgarrigal, July 12, 2007\_1
162.  Email from Tom Marano to Edward Buttacavoli re Thornburg Exec Committee Memo Draft\_1
163.  February 21, 2008 memo re GMAC ResCap Restructuring and Proposed Transactions\_1
164.  Federated Advised Funds - Changes in Approved Commercial Paper List\_1
165.  Federated Money Markets & Municipal Fixed Income – 1st Quarter 2008 presentation\_1
166.  Fitch Presentation, August 2007.\_1
167.  From the August 2007 General Session Minutes- Investment Research Presentation Cont'd\_1
168.  Funding and Liquidity Package, May 2007.\_1
169.  GE Exhibit\_20Apr10Meeting (copy)\_1
170.  Hearing 4 Short List\_1
171.  Internal Memorandum From Counterparty Risk Committee to John Fischer, (June 27, 2007).\_1
172.  Internal SEC Document Bear Stearns Packet (May 30, 2007), Meeting held on June 20, 2007.\_1
173.  JPM-FCIC\_00000111 JPM PP - State of Control and Risk Mgmt (12-9-2009)\_1
174.  JPMorgan, US Fixed Income Tri-Party Repo Update, undated\_1
175.  List of Goldman CDOs\_1
176.  Matthew Eichner, et al., memoranda to Erik Sirri, et al., Risk Management Reviews of Consolidated Su
177.  Matthew Eichner, et al., memoranda to Erik Sirri, et al., Risk Management Reviews of Consolidated Su
178.  Matthew Eichner, et al., memoranda to Robert Colby, et al., Risk Management Reviews of Consolidated\_
179.  Memo from Dan Chen re Weekly Mortgage Risk, January 30, 2008\_1
180.  Memorandum from Bob Upton and Pat Lewis re Funding & Liquidity Packaged (July 23, 2007).\_1
181.  Merrill Lynch Analysis Bear Stearns Asset Managment- What Went Wrong\_1
182.  Minutes of a Special Meeting of the Board of Directors December 12, 2007\_1
183.  Minutes of a Special Meeting of the Board of Directors, March 16, 2008\_1
184.  Mortgage Portfolio Update (February 20, 2008.)\_1
185.  Report Pursuant to Section 129 of the Emergency Economic Stabilization Act of 2008 Loan To Facilitat
186.  Rudiger Fahlenbrach and Rene Stulz, Bank CEO Incentives and the Credit Crisis\_1
187.  SEC Fiscal Year 2006 Budget Request\_1
188.  SEC Fiscal Year 2007 Budget Request\_1
189.  SEC\_TM\_FCIC\_1053244 Email from P Lewis (Bear) to M Eichner, et al (3-10-08) re Liquidity Pool\_1
190.  SEC\_TM\_FCIC\_1053246 Schedule 14-A Bear Stearns Merger Proxy Statement (April 28, 2008)\_1

191.  SEC\_TM\_FCIC\_1053247 Email from Matt Eichner to Brian Peters (March 11, 2008)\_1
192.  SEC\_TM\_FCIC\_1053253 Internal SEC Email from Matt Eichner to CSE's Monitor (March 11, 2008)\_1
193.  SEC\_TM\_FCIC\_1053253 Internal SEC Email from Matt Eichner to Sirri, Macchiaroli, and Robert Colby (3-
194.  SEC\_TM\_FCIC\_1053292 Bear Stearns Notes by Steve Spurry, SEC (March 6-14, 2008)\_1
195.  SEC\_TM\_FCIC\_1053299 Email from Upton, Stacconi to Molinaro (6-14-07) re shift in funding\_1
196.  SEC\_TM\_FCIC\_1053301 Internal Audit Report, February 4, 2008\_1
197.  SEC\_TM\_FCIC\_1053309 Internal SEC Doc - Bear Stearns Packet (May 30), Mtg Held June 20\_1
198.  SEC\_TM\_FCIC\_1053316 SEC Timeline of Bear Stearns, March 10-14\_1
199.  SEC\_TM\_FCIC\_1053317 SEC Timeline Regarding Bear Stearns (4-3-08)\_1
200.  SEC\_TM\_FCIC\_1053322 SEC Memo on 'Bear Stearns Liquidity Pool Inspection' (March 2008)\_1
201.  SEC\_TM\_FCIC\_1053369 Lori Richards Memo to Colby re CSE Exam of Bear (11-4-05)\_1
202.  SEC\_TM\_FCIC\_1053383 Bear Stearns Inventory Analysis (Jan 11, 2008)\_1
203.  SEC\_TM\_FCIC\_1053393 Bear Stearns Net Cash Capital Tracking and Cushion\_1
204.  SEC\_TM\_FCIC\_1053426 SEC monitor's email of October 1, 2007\_1
205.  SEC\_TM\_FCIC\_1053474 Internal SEC Doc - Bear Packet (7-31-08) re Meeting on August 15\_1
206.  SEC\_TM\_FCIC\_1053483 Beart Stearns Co. Inc. Balance Sheet and Funding Composition (1-31-08)\_1
207.  SEC\_TM\_FCIC\_1053484 Email from James Giles to Spurry, Eichner, Silva (2-4-08) re Upton-Farber Call\_1
208.  SEC\_TM\_FCIC\_1053533 Eichner memo to Colby re Risk Mgmt Rww of CSE (12-5-05 - 9-7-06)\_1
209.  SEC\_TM\_FCIC\_1053587 Internal SEC Memo, Risk Mgmt Reviews of CSEs (2-2-07)\_1
210.  SEC\_TM\_FCIC\_1053596 Memo to Eichner, Sirri, Division of Mkt Reg re Risk Mgmt Rww of CSE (3-30-07)\_1
211.  SEC\_TM\_FCIC\_1053601 Memo to Eichner, Sirri, Mkt Reg re Risk Mgmt Rww of CSEs (4-26-07)\_1
212.  SEC\_TM\_FCIC\_1953282 Expected Activity for 3-17-08\_1
213.  sknaus\_ (Part 1)\_1
214.  The Bear Stearns Companies Inc. 2007 Performance Compensation Plan requires approval from both the B
215.  The Bear Stearns Companies Inc. Finance and Risk Committee of the Board of Directors Charter\_1
216.  The Bear Stearns Companies Inc. Minutes of Regular Meeting Board of Directors January 10, 2007\_1
217.  The Bear Stearns Companies Inc. Minutes of Regular Meeting of Board of Directors (September 18, 2007
218.  The Bear Stearns Companies Inc. Minutes of Regular Meetings of Board of Directors (June 21, 2006)\_1
219.  Wyman Slides 1\_1
3.  GE Capital And Shadow Banking\_1
4.  Lehman Outline 13April2010\_1





















































































5.  Market Exposure Survey\_1
  6.  RIP - Shadow Banking - Hearing 4\_1
  7.  Shadow Banking PSR Outline\_1
  8.  shadow\_banking\_reading\_list\_1
  9.  Survey Description\_1
- G.  Subprime Securitization and GSEs
1.  Document Requests
    - a.  2010-01-06 Citi Doc Request 1 (B Bondi to M Helfer) (copy)\_1
    - b.  2010-01-22 Doc\_Retention\_Notice\_Citigroup\_MHelfer (copy)\_1
    - c.  2010-01-22 FNMA Doc Request, G Cohen to Timothy Mayopoulos (copy)\_1
    - d.  2010-01-25 Citi Second Doc Request (B Bondi to Susanna Buergel) (copy)\_1
    - e.  2010-02-02 C Seefer Ltr to FHFA (A Pollard) (copy)\_1
    - f.  2010-02-02 G Cohen ltr to DOT (G Madison) (copy)\_1
    - g.  2010-02-02 G Cohen ltr to FRBNY (S Leventhal) (copy)\_1
    - h.  2010-02-03 G Cohen Ltr to DOJ (F Burton) (copy)\_1
    - i.  2010-02-03 G Cohen Ltr to SEC (M Cahn) (copy)\_1
    - j.  2010-02-08 G Cohen ltr to OCC (J Williams) (copy)\_1
    - k.  2010-02-11 G Cohen ltr to Deloitte (W Lloyd) re Doc Production (copy)\_1
    - l.  2010-02-12 B Bondi ltr to Citi (S Buergel) re second supplemental request (copy)\_1
    - m.  2010-02-12 B Bondi ltr to FRBNY (S Leventhal) re document production (copy)\_1
    - n.  2010-02-12 G Cohen ltr to FHFA (A Pollard) re doc production (copy)\_1
    - o.  2010-02-12 G Cohen Ltr to HUD (H Kanovsky) re doc production (copy)\_1
    - p.  2010-02-16 G Cohen ltr to BofA (R Brown) re Doc Production (copy)\_1
    - q.  2010-02-22 G Cohen ltr to OCC (J Williams) re Doc Request (copy)\_1
    - r.  2010-02-23 B Bondi ltr to Citi (S Buergel) re CF and CM interviews (copy)\_1
    - s.  2010-02-24 G Cohen ltr to FHLMC (R Kelner) re Doc Request (copy)\_1
    - t.  2010-02-25 Doc Request to MARI (copy)\_1
    - u.  2010-02-26 G Cohen ltr to FDIC (M Bradfield) re Doc Request (copy)\_1
    - v.  2010-02-26 G Cohen ltr to FRB (D Caperton) re Doc Request (copy)\_1
    - w.  2010-02-26 G Cohen ltr to OCC (J Williams) re Doc Request (copy)\_1
    - x.  2010-02-26 G Cohen ltr to OTS (D Dakin) re Doc Request (copy)\_1
    - y.  2010-03-02 G Cohen ltr to OCC (J Williams) re Doc Request (copy)\_1
    - z.  2010-03-05 T Greene Ltr to FRB (D Caperton) re Document Production (copy)\_1
    - aa.  2010-03-10 G Cohen to Citi (S Buergel) re Doc Production (copy)\_1
  2.  Hearing 2-3
    - a.  Hearing Background Materials
      1.  1. Federal Reserve
        - a.  1. Chairman Alan Greenspan Biography
          1.  1. Chairman Alan Greenspan Biography\_1
        - b.  2. Transcript of pre-interview with Chairman Gr
          1.  2010-03-31 K Dubas memo re Interview with Alan Greenspan \_2\_\_1
        - c.  3. Selected Quotes\_Chairman Alan Greenspan\_Housing
          1.  4. Selected Quotes\_Chairman Alan Greenspan\_Housing\_Regulation\_GSEs\_misc\_1
        - d.  4. Relevant Articles
          1.  a. Did Greenspan Add to Subprime Woes\_ G. Ip\_ Wall Street Journal\_2007-06-09\_1
          2.  b. PBS Greenspan Admits Flaw to Congress\_PBS NewsHour Transcript\_2008-10-23\_1










































3.  c. Excerpt from And Then The Roof Caved In \_ Faber, David\_pgs 50-55\_2009-06\_1
4.  d. The Fed Didn't Cause the Housing Bubble\_A. Greenspan\_Wall Street Journal\_2009-03-11\_1
5.  e. Fed Held Back as Evidence Mounted on Subprime Loan Abuses\_B. Appelbaum\_Wash Post\_2009-09-27\_1
6.  f. Greenspan Concedes Fed Failed to Gauge Bubble\_\_S. Chan\_NYT\_2010-03-18\_1
7.  g. Fed Shrugs as Subprime Crisis Spread\_E. Andrews\_New York Times\_2007-12-18\_1
8.  h. Fortune Alan Greenspan Fights Back\_ G. Colvin\_Fortune Magazine\_2010-02-05\_1
9.  i. Fed's Approach to Regulation Left Banks Exposed to Crisis\_B. Appelbaum\_Wash Post\_2009-12-21\_1
10.  j. The Next Government Bailout\_J. Laing\_Barrons\_2008-03-10\_1
- e.  5. Greenspan Testimony before House Oversight Committee\_2008-10-23\_1
- f.  6. The Crisis, Alan Greenspan. Paper Presented to Brookings Institute\_2010-03-09\_1
2.  2. Citi
  - a.  1. Citigroup Witness Bios
    1.  1. Citigroup Witness Bios\_1
  - b.  2. Transcripts or memoranda of pre-interviews
    1.  b. Transcript of Interview with Patricia Lindsay 2010-03-24\_1
    2.  c. Transcript of Interview with Dick Bowen 2010-02-27\_1
    3.  d. MFR of Interview with Susan Mills (Citi) 2010-02-03\_1
    4.  e. MFR of Interview with Murray Barnes\_ 2010-03-02\_1
    5.  f. MFR of Interview with Nestor Dominguez\_2010-03-02\_1
    6.  h. Transcript of Interview with Tom Maheras (Condensed format)\_2010-03-10\_1
    7.  i. Transcript of Interview with Charles Prince (condensed version) 2010-03-17\_1
    8.  j. Transcript of Interview with Robert Rubin (condensed format)\_2010-03-11\_1
  - c.  3. Documents of Selected Key Quotes
    1.  a. Key Quotes - Charles Prince\_1
    2.  b. Key Quotes - Robert Rubin\_1
  - d.  4. Relevant Articles
    1.  a. Harvard Case Study - What happened to Citigroup Harvard July 2009\_1
    2.  b. The Reckoning - Citigroup Saw No Red Flags Even as It Made Bolder Bets\_E. Dash\_2008-11-22\_1
3.  3. OCC
  - a.  1. OCC Witness Biographies
    1.  1. OCC Witness Biographies\_1
  - b.  2. Transcripts or Memoranda for the Record (MFR)
    1.  MFR\_Dugan\_2010-03-30\_1
  - c.  3. Relevant Speeches and Previous Testimony
    1.  a. Hawke Remarks October 7 2002\_1
    2.  b. Hawke Testimony April 1 2004\_1
    3.  c. Dugan Testimony September 5 2007\_1
    4.  d. Dugan Remarks November 19 2008\_1



































- 5.  e. Dugan Remarks February 2, 2010\_1
- 6.  f. Statement on Subprime Mortgage Lending\_1
- d.  4. Document of Selected Key Quotes
  - 1.  a. OCC Selected Speeches and Testimony\_1
- e.  5. Relevant Articles
  - 1.  a. Does this Bank Watchdog -OCC- Have Bite\_A. Martin\_NYTimes\_2010-03-26\_1
  - 2.  b. Systemic Risk Through Securitization.P. McCoy.Connecticut Law Review.2009-05\_1
- 4.  4. GSEs and OFHEO
  - a.  1. Witness Biographies
    - 1.  1. Witness Biographies\_1
  - b.  2. Transcripts or Memoranda of Pre-Interviews
    - 1.  a. 2010-03-04-Thomas Lund MFR\_1
    - 2.  b. 2010-03-17 Robert Levin MFR\_1
    - 3.  c. 2010-03-19 James Lockhart MFR\_1
    - 4.  d. 2010-03-26 Dan Mudd MFR\_1
    - 5.  e. 2010-03-29 Falcon MFR\_1
  - c.  3. Document of selected key quotes
    - 1.  3 Fannie Mae Key Quotes\_1
  - d.  4. Relevant Articles
    - 1.  a. The Reckoning- Fannie Reached Tipping Point\_C. Duhigg\_New York Times\_2008-10-5\_1
    - 2.  b. Fannie Mae's Last Stand\_B. McLean\_Vanity Fair\_2009-02\_1
    - 3.  c. The Endgame Nears for Fannie and Freddie\_J. Laing\_Barrons\_2008-08-18\_1
    - 4.  d. A Break for Freddie and Fannie\_S.Labaton\_new York Times\_2008-03-20\_1
    - 5.  e. Geithner Backs Gov Role in Mortgage Market\_ S. Timiraos\_Wall Street Journal\_2010-03-23\_1
  - e.  5. Relevant Speeches and Previous Testimony
    - 1.  c. 2006-06-06 Lockart Testimony before House Financial Services Committee\_1
    - 2.  d. 2007-04-17 Mudd Testimony before House Financial Services Committee\_1
    - 3.  e. 2008-02-07 Lockhart Testimony before Senate Banking Committee\_1
    - 4.  f. 2008-02-07 Mudd Testimony before Senate Banking Committee\_1
    - 5.  g. 2008-05-22 Lund Testimony before House Financial Services Committee\_1
    - 6.  h. 2008-10-23 Lockhart Testimony before Senate Banking Committee\_1
    - 7.  i. 2008-12-09 Mudd Testimony before House Oversight Committee\_1
- 5.  INDEX\_H2\_Background Briefing Book for Commissioners\_1
- b.  Hearing Briefing Binder
  - 1.  I. Agendas, Timelines, Logistics, and Media Adv
    - a.  a. Agenda for Financial Crisis Inquiry Commission 4-6-2010 Briefing Meeting\_1
    - b.  a. Agenda for Financial Crisis Inquiry Commission 4-6-2010 Briefing Meeting\_2
    - c.  b. Agenda and Witness List for Financial Crisis Inquiry Commission Hearing



- 4.  IV. DAY THREE, FRIDAY, APRIL 9, 2010
  - a.  1) Day 3\_ Session 1\_ GSEs\_ Fannie
    - 1.  a) PSR - GSEs and the Financial Crisis\_1
    - 2.  b) Summary of Preliminary Investigative Report on GSEs and Timeline\_1
    - 3.  b) Summary of Preliminary Investigative Report on GSEs and Timeline\_2
    - 4.  c) GSE Witness Biographies\_1
    - 5.  d-1) Levin Testimony\_1
    - 6.  d-2) Mudd, Daniel\_ Testimony\_1
    - 7.  e) Fannie Mae Witnesses - Suggested Questions - revised\_1
    - 8.  e) Fannie Mae Witnesses - Suggested Questions\_1
  - b.  2) Day 3\_ Session 2\_ OFHEO
    - 1.  a) OFHEO Witness Biographies\_1
    - 2.  b-1) Falcon, Armando\_ Testimony\_1
    - 3.  b-2) Lockhart Testimony\_1
    - 4.  c) OFHEO-Regulators- Suggested Questions - revised\_1
    - 5.  c) OFHEO-Regulators- Suggested Questions\_1
- 5.  V. KEY DOCUMENT APPENDIX
  - a.  1) Citigroup Preliminary Investigative Report - 4-01-10\_1
  - b.  2) Citigroup Financial Narrative - 4-02-2010\_1
  - c.  3) Citigroup Compensation Addendum - 4-05-2010\_1
  - d.  4) Investigative Report on GSEs\_ Fannie Mae\_03-30-2010\_1
  - 6.  OUTLINE - Briefing books for Commissioners (3-30-2010)(Com)\_1
  - 7.  OUTLINE - Briefing books for Commissioners (3-30-2010)(Com)\_2
  - 8.  OUTLINE - Briefing books for Commissioners (3-30-2010)(Com)\_3
- c.  Hearing follow-up
  - 1.  Letters to witnesses
    - a.  2010-06-03\_ Ltr to D Mudd Re Follow-Up Questions to 4-9-10 Hearing\_1
    - b.  2010-06-03\_ Ltr to R Levin Re Follow-Up Questions to 4-9-10 Hearing\_1
    - c.  2010-06-04\_ Ltr to J Lockhart Re Follow-up Questions to 4-9-10 Hearing\_1
    - d.  2010-06-09\_ Ltr to A Greenspan Re Follow-Up Questions to 4-7-10 Hearing\_1
    - e.  2010-06-09\_ Ltr to Citi Re Follow-Up Questions to April 7-9 2010 Hearing\_1
    - f.  2010-06-09\_ Ltr to J Dugan OCC Re Follow-Up Questions to 4-8-10 Hearing\_1
    - g.  2010-06-09\_ Ltr to J Hawke OCC Re Follow-Up Questions to 4-8-10 Hearing\_1
  - 2.  Response letters from witnesses
    - a.  2010-06-17\_ D Mudd Response to 4-9-10 Hearing Follow-up Questions\_1
    - b.  2010-06-17\_ J Hawke Response to 4-8-10 Hearing Follow-up Questions\_1
    - c.  2010-06-17\_ R Levin Response to 4-9-10 Hearing Follow-up Questions\_1
    - d.  2010-06-23\_ R Rubin Response to 4-8-10 Hearing Follow-up Questions\_1
    - e.  2010-06-23\_ A Greenspan Response to 4-7-10 Hearing Follow-up Questions\_1
    - f.  2010-06-23\_ Citi Response to April 7-9, 2010 Hearing Follow-up Questions\_1
    - g.  2010-07-08\_ Dugan Testimony Follow-Up\_1
    - h.  2010-07-19\_ S Mills & D Bushnell H23 Follow-Up\_1







- i.  2010-07-30 J Lockhart ltr to FCIC re Hearing Follow up Responses (copy)\_1
  - 3.  H2-3 Commissioner Follow-up Questions (original)\_1
  - 4.  H2-3 Commissioner Follow-up Questions Grid\_1
  - 5.  takeaways from housing research and investigative plan (8-25)\_1
- d.  Hearing transcripts
  - 1.  2010-04-07 HEARING 2 & 3 TRANSCRIPT [DAY ONE]\_FINAL\_1
  - 2.  2010-04-08 HEARING 2 3 TRANSCRIPT DAY TWO\_FINAL\_1
  - 3.  2010-04-09 HEARING 2 & 3 TRANSCRIPT [DAY THREE]\_FINAL\_1
- e.  Misc
  - 1.  Protocol for Documents for H2-3\_1
  - 2.  Protocol for Documents for H2-3\_2
  - 3.  Protocol for Documents for H2-3\_3
- f.  Short List Documents
  - 1.  1996-08-12 FRB Supervisory Letter re Supervisory Guidance for Credit Derivatives\_1
  - 2.  1999-03-01 Interagency Guidelines on Subprime Lending\_1
  - 3.  2000-08-31 memo fr Dolores Smith and Glenn Loney to Gov Edward Gramlich, re Compliance Inspections\_
  - 4.  2000-11-15 Memo re Citigroup's Acquisition of Associates\_1
  - 5.  2000-12-05 FRB Memo\_1
  - 6.  2001-01-31 OCC Press Release re Expanded Guidance for Subprime Lending\_1
  - 7.  2002-09-26 memo fr Richard Spillenkothen to BOG re Board Briefing on September 30\_1
  - 8.  2002-09-28 BOG Meeting Minutes re Citigroup's acquisition of CalFed\_1
  - 9.  2002-09-30 BOG Meeting Minutes re Citigroup and Enron\_1
  - 10.  2003-02-21 Letter from David Hammker re Guidelines for National Banks to Guard Against Predatory Len
  - 11.  2003-02-21 Memo from David Hammaker re Avoiding Predatory and Abusive Lending Practices in Brokered\_
  - 12.  2003-07-28 Formal Agreement with OCC\_1
  - 13.  2003-07-31 Statement of John Hawke re National City Preemption Determinaiton and Order\_1
  - 14.  2004-03-29 OCC Findings on Fixed Income Derivatives Examination\_1
  - 15.  2004-09-23 Transmittal to Citibank of OCC Findings on Derivatives Examination\_1
  - 16.  2004-09-27 Memo to File from OCC Examiner re Meeting with Citibank\_1
  - 17.  2005-01-13 OCC Findings on Risk Management Examination\_1
  - 18.  2005-01-31 OCC Transmittal of Risk Management Findings\_1
  - 19.  2005-03-12 Citigroup Response to Risk Management Findings\_1
  - 20.  2005-05 Draft Close Out Report\_1
  - 21.  2005-05-09-27 Operations Review Report (FRBNY)\_1
  - 22.  2005-06-27 Strategic Crossroads presentation (copy)\_1
  - 23.  2005-08-17 Minutes of August 18, 2005 meeting between NYFed examiners and Citigroup (copy)\_1
  - 24.  2006 FHFA Report of Examination on Fannie Mae (copy)\_1
  - 25.  2006-03-06 Citibank Response to December 22, 2005 Transmittal\_1
  - 26.  2006-03-21 Email fr. Leonard Zawistowski to John McCormick re Mortgage Fraud discussion with FBI\_1
  - 27.  2006-05-23 Consent Agreement (copy)\_1

28.  2006-06 OCC Review Check List of CMAC Approval of CDS Issued on ABS CDOs for compliance with Formal\_
29.  2006-06-08 Testimony of James Lockhart re FDIC, SEC, FRB and Housing Nominations\_1
30.  2006-11-21 OCC Recommendation for Removal of Formal Agreement\_1
31.  2007 FHFA Report of Examination on Fannie Mae (copy)\_1
32.  2007-04-05 OCC Notice of Satisfaction of Safety and Soundness Notice\_1
33.  2007-04-16 Corporate Library Analyst Reports re Citigroup (copy)\_1
34.  2007-06-28 Notice in Federal Register re Interagency Statement on Subprime Mortgage Lending\_1
35.  2007-07-17 Dallavecchia eml to Mudd (copy)\_1
36.  2007-09-19 OFHEO Press Release- OFHEO Provides Flexibility on Fannie Mae, Freddie Mac Mortgage Portf
37.  2007-11-19 Minutes of Meeting of Regulators with CRO Bushnell, GC Helfer, and COB Rubin\_1
38.  2007-12-21 American Banker Article- Setting an OFHEO Plan, But Wishing Otherwise\_1
39.  2008-01-17 Conclusion Memorandum on CDO Valuation\_1
40.  2008-02-07 Testimony of James Lockhart before Senate Banking re GSE Overhaul\_1
41.  2008-02-14 Letter to Pandit Regarding Serious Problems at Citibank\_1
42.  2008-02-27 Lockhart Statement (copy)\_1
43.  2008-03-03 Nelson email re GSEs' credit risk (copy)\_1
44.  2008-03-06 Farrell eml to Steel (copy)\_1
45.  2008-03-08 Thomas eml to Steel (copy)\_1
46.  2008-03-10 Barrons - The Next Gov Bailout (copy)\_1
47.  2008-03-16 Norton eml to Steel Nason Ryan Kashkari (copy)\_1
48.  2008-03-17 Lockhart eml to Mudd and Steel (copy)\_1
49.  2008-03-17 Mudd eml to Lockhart (copy)\_1
50.  2008-03-19 OFHEO Press Release - re Increase Mortgage Mkt Liquidity (copy)\_1
51.  2008-03-24 Citibank Letter to OCC Disputing OCC Findings\_1
52.  2008-04-09 OCC Transmittal Letter and Report of Examination as of December 31, 2007\_1
53.  2008-04-15 Transmittal Letter and Report for 2007 (copy)\_1
54.  2008-06-17 FINRA Report on the Examination of CGMI (copy)\_1
55.  2008-08-22 Dickerson ltr to Mudd (copy)\_1
56.  2008-09-01 Dickerson ltr to Mudd (copy)\_1
57.  2008-09-04 Dickerson ltr to Mudd - Mid-year letter (copy)\_1
58.  2008-09-04 Dickerson ltr to Mudd - Mid-year letter (copy)\_2
59.  2008-09-06 FHFA Fannie Mae Conservatorship Memo (copy)\_1
60.  2008-09-08 OCC - Observations - Allowance Process and Methodology (copy)\_1
61.  2009-12 Operations Review Close Out Report\_1
62.  2010-01-22 K Dubas Memo re Bondi Call with M Macchioroli (SEC) (copy)\_1
63.  2010-02-27 Team 2 Interview with Dick Bowen\_1
64.  2010-03-23 FCIC Interview with Scott Alvarez and Kiernan Fallon (copy)\_1
65.  BOD Minutes (2007-10-15) (copy)\_1
66.  C Prince to R Rubin re Maheras and Super Seniors (2007-09-09) (copy)\_1
67.  Citi memo re Valuation of Liquidity Puts (2006-10-19) (copy)\_1
68.  Citigroup PowerPoint on U.S. CDOs (copy)\_1






































69.  Corporate Audit and Risk Mgmt Cmte Minutes (2007-10-15) (copy)\_1
  70.  Corporate Library, R. Marshall, Chief Analyst, April 16, 2007\_1
  71.  D Bowen to R Rubin and D Bushnell re concerns (2007-11-03) (copy)\_1
  72.  December 15, 2005 OCC Findings on North American Global Structured Credit Derivatives Examination\_1
  73.  December 22, 2005 OCC Transmittal to Citibank of Derivatives Examination Findings\_1
  74.  GSM - Global Business Introduction, Focus on Non Agency, Subprime (2007-03-28) (copy)\_1
  75.  GSM Mortgage Finance Warehouse Lines of Credit - Response to I-39 (copy)\_1
  76.  Hearing 2 Short List\_1
  77.  Hearing 2 Short List\_1
  78.  Letter from Sandra F. Braunstein, to Steven H. Rosenbaum, Countrywide Referral Letter, Mar. 5, 2007\_
  79.  Liquidity Put Discussion (copy)\_1
  80.  Memo to the Committee on Consumer and Community Affairs, Apr. 8, 1998\_1
  81.  November 19 2007 minutes of meeting of regulators with Chief Risk Officer Bushnell, General Counsel\_
  82.  Presentation to the SEC Regarding Overall CDO Business and Subprime Exposure (2007-06) (copy)\_1
  83.  Purchases, Sales, Securitizations, 3d Party UW (2005-2009) (copy)\_1
  84.  Review of the Current Environment - D Bushnell (2007-10-30) (copy)\_1
  85.  Risk Management Review - Update to Corporate ARM Cmte (2007-10-15) (copy)\_1
3.  Hearing Invitations
    - a.  2010-03-10 Invitation Ltr - Greenspan (copy)\_1
    - b.  2010-03-11 Hearing Invite to D Mudd (copy)\_1
    - c.  2010-03-11 Hearing Invite to J Lockhart (copy)\_1
    - d.  2010-03-16 FCIC Hearing Invitation to John C. Dugan (copy)\_1
    - e.  2010-03-16 FCIC Hearing Invitation to John D. Hawke (copy)\_1
    - f.  2010-03-22 Invitation Ltr to Charles Prince (copy)\_1
    - g.  2010-03-22 Invitation Ltr to David Bushnell (copy)\_1
    - h.  2010-03-22 Invitation Ltr to Murray Barnes (copy)\_1
    - i.  2010-03-22 Invitation Ltr to Nestor Dominguez (copy)\_1
    - j.  2010-03-22 Invitation Ltr to Patricia Lindsay (copy)\_1
    - k.  2010-03-22 Invitation Ltr to Richard Bitner (copy)\_1
    - l.  2010-03-22 Invitation Ltr to Richard Bowen (copy)\_1
    - m.  2010-03-22 Invitation Ltr to Robert Levin (copy)\_1
    - n.  2010-03-22 Invitation Ltr to Robert Rubin (copy)\_1
    - o.  2010-03-22 Invitation Ltr to Susan Mills (copy)\_1
    - p.  2010-03-22 Invitation Ltr to Thomas Lund (copy)\_1
    - q.  2010-03-22 Invitation Ltr to Thomas Maheras (copy)\_1
  4.  Memorandum
    - a.  Copy of NCRC attachment to memo 10 7 2010
    - b.  CRA staff report 10 7 2010 & Wells Exhibit 1
    - c.  housing\_results\_20100707\_1
    - d.  housing\_results\_pinto\_20100809\_1
    - e.  Pinto response to FCIC housing data 8 14 10\_1
  5.  OCC Materials


























































































- a. 1. OCC Witness Biographies\_1
- b. a. Hawke Remarks October 7 2002\_1
- c. a. OCC Selected Speeches and Testimony\_1
- d. b. Hawke Testimony April 1 2004\_1
- e. c. Dugan Testimony September 5 2007\_1
- f. d. Dugan Remarks November 19 2008\_1
- g. e. Dugan Remarks February 2, 2010\_1
- h. f. Statement on Subprime Mortgage Lending\_1
- 6. Hearing Plan - Subprime Housing, Securitization, and GSEs - Hearing 2,3\_1
- 7. Invitation to Chairman Greenspan\_1
- 8. RIP - Subprime Lending, Securitization, and GSEs - Hearing 2,3\_1
- H. Too Big to Fail
  - 1. Hearing 6
    - a. Documents
      - 1. Chairman's Documents
        - a. Lehman
          - 1. 07\_11\_08 Memo FCIC-155482-155491 (copy)\_1
          - 2. 3\_09\_09 Memo to File fr. Scott G. Alvarez FCIC-133533-133548 (copy)\_1
          - 3. 6\_16\_08 email FCIC-155446 (copy)\_1
          - 4. 6\_20\_08 email, FCIC-155450-51 (copy)\_1
          - 5. 6\_25\_08 Primary Dealer Monitoring- Liquidity Stress Analysis FCIC-155470-474 (copy)\_1
          - 6. 7\_10\_08 email UST-FCIC 0029097 (copy)\_1
          - 7. 7\_11\_08 email UST-FCIC 0029096 (copy)\_1
          - 8. 7\_12-13\_08 email FCIC-155510-12 (copy)\_1
          - 9. 9\_05\_08 Email FCIC-123348-1223350 (copy)\_1
          - 10. 9\_10\_08 email UST-FCIC 0029201 (copy)\_1
          - 11. 9\_11\_08 Email and plan FCIC-155196-201 (copy)\_1
          - 12. 9\_11\_08 email and gameplan FCIC-154768-773 (copy)\_1
          - 13. 9\_11\_08 Email FCIC-154785 (copy)\_1
          - 14. 9\_11\_08 Email FCIC-154787-789 (copy)\_1
          - 15. 9\_11\_08 email UST-FCIC 0029510 (copy)\_1
          - 16. 9\_12\_08 Email and Financial Times article UST-FCIC 0029440-441 (copy)\_1
          - 17. 9\_12\_08 email FCIC-154863 (copy)\_1
          - 18. 9\_12\_08 email FCIC-154870-871 (copy)\_1
          - 19. 9\_12\_08 email UST-FCIC0029418-424 (copy)\_1
          - 20. 9\_13\_08 email FCIC-155967-969 (copy)\_1
          - 21. 9\_13\_08 Email from Tobias to Ashcraft et al FCIC-SSI0001367-1371 (copy)\_1
          - 22. 9\_14\_08 email and resolutions FCIC 154989-154991 (copy)\_1
          - 23. 9\_14\_08 email FCIC-154997 (copy)\_1
          - 24. 9\_14\_08 email FCIC-156017-020 (copy)\_1
          - 25. 9\_14\_08 email thread UST-FCIC0029411-0416 (copy)\_1
          - 26. FCIC 154463-464. (copy)\_1
          - 27. FCIC-123343-47 (copy)\_1
          - 28. FCIC-154477-79 (copy)\_1
















































29.  FCIC-154542-154545 (copy)\_1
30.  FCIC-154556-563 (copy)\_1
31.  FCIC-154786 (copy)\_1
32.  FCIC-155637-647 (copy)\_1
33.  FRB to Exam. 000826. (copy)\_1
34.  FRBNY to Exam. 034332-33 (copy)\_1
















































b.  Wachovia













































1.  11\_17\_08 Letter WF-WACH-003797-3826 (copy)\_1
2.  2006 OCC ROE Wachovia (copy)\_1
3.  2006 RAP FCIC-134446 – FCIC134579 (copy)\_1
4.  2006 Supervisory letter, April 4, 2007 FCIC-134580 –FCIC-134585 (copy)\_1
5.  2007 ROE FCIC 134717-725 (copy)\_1
6.  2009 Wachovia RAP-Supervisory Plan (copy)\_1
7.  7\_12\_07 RAP FCIC-134586-FCIC 134716 (copy)\_1
8.  8\_04\_08 OCC letter and exam report OCC15-00300870-903 (copy)\_1
9.  8\_19\_08 MOU OCC15-00300853-869 (copy)\_1
10.  9\_28\_08 Memo to the Board of Governors of the Federal Reserve System (copy)\_1
11.  9\_29\_08 memo to the FDIC Board of Directors (copy)\_1
12.  9-29-08 Meeting Minutes (copy)\_1
13.  9-29-08 Transcript of Closed Meeting (copy)\_1
14.  9-29-08 Wachovia Board Resolution (copy)\_1
15.  OCC Quarterly Risk Assessment Profiles of Wachovia 2nd Quarter 2007 (copy)\_1
16.  Wachovia power point feldberg (copy)\_1
2.  07\_11\_08 Memo FCIC-155482-155491\_1
3.  11\_17\_08 Letter WF-WACH-003797-3826\_1
4.  2006 OCC ROE Wachovia\_1
5.  2006 RAP FCIC-134446 – FCIC134579\_1
6.  2006 Supervisory letter, April 4, 2007 FCIC-134580 –FCIC-134585\_1
7.  2007 ROE FCIC 134717-725\_1
8.  2008-03-18 NYT - Lehman, Goldman Profits Fall, but Beat Estimates\_1
9.  2008-03-24 FRBNY Press Release\_1
10.  2008-04-08 Einhorn Private Profits and Socialized Risk\_1
11.  2008-05-21 Einhorn Speech\_1
12.  2008-06-11 Bloomberg - Lehman's Greatest Value Lies in Lessons Learned\_1
13.  2008-08-18 GS Analyst Report Don't Buy AIG\_1
14.  2008-09-07 Treasury Press Release\_1
15.  2008-09-15 CRS Report Fannie and Freddie in Conservatorship\_1
16.  2008-12-16 CNN Money - Three days that shook the world\_1
17.  2009 Wachovia RAP-Supervisory Plan\_1
18.  2010-03-16 FRBNY Press Release\_1
19.  2010-03-18 Lehman Earnings Release\_1
20.  2010-06-16 Lehman Earnings Release\_1
21.  3\_09\_09 Memo to File fr. Scott G. Alvarez FCIC-133533-133548\_1
22.  6\_16\_08 email FCIC-155446\_1










































23.  6\_17\_08 Email FCIC 154463-464\_1
24.  6\_20\_08 email, FCIC-155450-51\_1
25.  6\_25\_08 Primary Dealer Monitoring- Liquidity Stress Analysis FCIC-155470-474 REDACTED\_1
26.  6\_25\_08 Primary Dealer Monitoring- Liquidity Stress Analysis FCIC-155470-474\_1
27.  7\_10\_08 email UST-FCIC 0029097\_1
28.  7\_11\_08 Email FCIC-155481\_1
29.  7\_11\_08 email UST-FCIC 0029096\_1
30.  7\_12\_07 RAP FCIC-134586-FCIC 134716\_1
31.  7\_12\_08 Email from McAndrews to McConnell FCIC-155504-06\_1
32.  7\_12-13\_08 email FCIC-155510-12\_1
33.  7\_14\_08 Email from Deborah Bailey FRB to Roger Cole FCIC-155293\_1
34.  7\_14\_08 Email from Mark VanDerWeide, FRB to Scott Alvarez FRB FCIC-155285\_1
35.  7\_15\_08 Email from Mark VanDerWeide FRB to Scott Alvarez FRB FCIC-155290\_1
36.  7\_20\_08 email FCIC-154545\_1
37.  7\_30\_08 Email from Mark VanDerWeide FRB to Deborah Bailey FRB FCIC-155214\_1
38.  8\_04\_08 OCC letter and exam report OCC15-00300870-903\_1
39.  8\_18\_08 AIG Report by Goldman FCIC-AIG0015413-430\_1
40.  8\_19\_08 MOU OCC15-00300853-869\_1
41.  8\_8-19\_08 email thread FCIC 155130-155134\_1
42.  9\_05\_08 Email FCIC-156055-156057\_1
43.  9\_10\_08 Email FCIC-154786\_1
44.  9\_10\_08 email UST-FCIC 0029201\_1
45.  9\_11\_08 Email and plan FCIC-155196-201\_1
46.  9\_11\_08 email and gameplan FCIC-154768-773\_1
47.  9\_11\_08 Email FCIC-154785\_1
48.  9\_11\_08 Email FCIC-154787-789\_1
49.  9\_11\_08 email UST-FCIC 0029425-429\_1
50.  9\_11\_08 email UST-FCIC 0029510\_1
51.  9\_11\_08 email UST-FCIC 0029573\_1
52.  9\_12\_08 email and attached speaking notes UST-FCIC 0029633-35\_1
53.  9\_12\_08 Email and Financial Times article UST-FCIC 0029440-441\_1
54.  9\_12\_08 email FCIC-154863\_1
55.  9\_12\_08 email FCIC-154870-871\_1
56.  9\_12\_08 email FCIC-155903\_1
57.  9\_12\_08 email UST-FCIC0029418-424\_1
58.  9\_13\_08 Email and article FCIC-155927-932\_1
59.  9\_13\_08 Email and Master Timeline FCIC 155172-155175 REDACTED\_1
60.  9\_13\_08 Email and Master Timeline FCIC 155172-155175\_1
61.  9\_13\_08 Email FCIC 154949-951 REDACTED\_1
62.  9\_13\_08 Email FCIC 154949-951\_1
63.  9\_13\_08 Email FCIC 154966-67\_1
64.  9\_13\_08 email FCIC-155967-969\_1
65.  9\_13\_08 Email from Tobias to Ashcraft et al FCIC-SSI0001367-1371\_1
66.  9\_13\_08 Emails FCIC 155902-904\_1

67.  9\_14\_08 email and resolutions FCIC 154989-154991\_1
68.  9\_14\_08 email FCIC-154997\_1
69.  9\_14\_08 Email FCIC-155006-010\_1
70.  9\_14\_08 email FCIC-156017-020\_1
71.  9\_14\_08 email FCIC-AIG0021165-66 REDACTED\_1
72.  9\_14\_08 email FCIC-AIG0021165-66\_1
73.  9\_14\_08 email thread UST-FCIC0029411-0416\_1
74.  9\_27\_08 Memo from Elizabeth Gress and John A. Beebe to Jennifer Burns re Wachovia\_1
75.  9\_28\_08 Memo to the Board of Governors of the Federal Reserve System\_1
76.  9\_29\_08 memo to the FDIC Board of Directors\_1
77.  9\_9\_08 email and attachment FCIC-155639-647\_1
78.  9-29-08 Meeting Minutes\_1
79.  9-29-08 Transcript of Closed Meeting\_1
80.  9-29-08 Wachovia Board Resolution\_1
81.  AChronology of key events related to Lehman Brothers\_1
82.  CITI-LBHI-EXAM 000006741-00006742\_1
83.  CITI-LBHI-EXAM 000007751-00007752\_1
84.  CITI-LBHI-EXAM 00005903-5904\_1
85.  CITI-LBHI-EXAM 000072923\_1
86.  CITI-LBHI-EXAM 000073015-00073016\_1
87.  CITI-LBHI-EXAM 000073399-00073400\_1
88.  CITI-LBHI-EXAM 000074286-00074289\_1
89.  CITI-LBHI-EXAM 000076589-00076590\_1
90.  CITI-LBHI-EXAM 000076678-00076680\_1
91.  CITI-LBHI-EXAM 000077310-00077312\_1
92.  CITI-LBHI-EXAM 000077391-00077392\_1
93.  CITI-LBHI-EXAM 000111749-00111751\_1
94.  CITI-LBHI-EXAM 000114115\_1
95.  CITI-LBHI-EXAM 00114115\_1
96.  CITI-LBHI-EXAM 00115773\_1
97.  David\_Einhorn\_Accounting\_Ingenueity\_1
98.  FCIC - 155450 – FCIC-155452\_1
99.  FCIC 156050-156054\_1
100.  FCIC-133426-133437\_1
101.  FCIC-154477-79\_1
102.  FCIC-154542-154545\_1
103.  FCIC-154556-563\_1
104.  FCIC-154564.\_1
105.  FCIC-154731 REDACTED\_1
106.  FCIC-154731\_1
107.  FCIC-154739\_1
108.  FCIC-154818-820\_1
109.  FCIC-154847-850\_1
110.  FCIC-155000\_1
111.  FCIC-155011-013\_1
112.  FCIC-155014-15 REDACTED\_1











































113.  FCIC-155014-15\_1
114.  FCIC-155027\_1
115.  FCIC-155141-143 REDACTED\_1
116.  FCIC-155141-143\_1
117.  FCIC-155144-147 REDACTED\_1
118.  FCIC-155144-147\_1
119.  FCIC-155637-647\_1
120.  FCIC-156050-51\_1
121.  FCIC-AIG0015389\_1
122.  FCIC-AIG0015409\_1
123.  FCIC-AIG0016236\_1
124.  FCIC-AIG0021217-218\_1
125.  FCIC-AIG-0021602-604\_1
126.  FCIC-AIG0021669-21670\_1
127.  FCIC-AIG0021721-723\_1
128.  FCIC-AIG0021762-65\_1
129.  FCIC-SSI0007460\_1
130.  FRB to LEH Examiner 0000781-000782\_1
131.  FRBNY to Exam. 000017-000032\_redacted\_1
132.  FRBNY to Exam. 000033-000037\_redacted\_1
133.  FRBNY to Exam. 000038-000042\_redacted\_1
134.  FRBNY to Exam. 007968\_1
135.  FRBNY to Exam. 024000- 31\_1
136.  FRBNY to Exam. 024766-802\_1
137.  FRBNY to Exam. 034332-33\_1
138.  JPM MTD\_1
139.  JPM-2004 0002032-0002033\_1
140.  JPM-2004 0005039-0005057\_1
141.  JPM-2004 0005218-0005231\_1
142.  JPM-2004 0005594-0005608\_1
143.  JPM-2004 0005856-0005860\_1
144.  JPM-2004 0005879-0005884\_1
145.  JPM-2004 0006139-0006151\_1
146.  JPM-2004 0006152-0006154\_1
147.  JPM-2004 0006170-0006174\_1
148.  JPM-2004 0006300-0006303\_1
149.  JPM-2004 0006314\_1
150.  JPM-2004 0006317-0006319\_1
151.  JPM-2004 0006331\_1
152.  JPM-2004 0006361\_1
153.  JPM-2004 0006362\_1
154.  JPM-2004 0006377\_1
155.  JPM-2004 0050095-0050096\_1
156.  JPM-2004 0005411-0005412\_1
157.  JPM-2004 0005867-0005872\_1
158.  JPM-EXAMINER00006052-00006056 REDACTED\_1
159.  LBEX-AM 0000870-000873\_1

160.  LBEX-AM 001354-001357\_1
161.  LBEX-AM 003597-3604\_1
162.  LBEX-AM 008564-008565\_1
163.  LBEX-AM 008608\_1
164.  LBEX-AM008564-8565\_1
165.  LBEX-DOCID 0070144\_1
166.  LBEX-DOCID 0110245\_1
167.  LBEX-DOCID 0280168\_1
168.  LBEX-DOCID 0405652\_1
169.  LBEX-DOCID 0445367\_1
170.  LBEX-DOCID 0457582\_1
171.  LBEX-DOCID 090568\_1
172.  LBEX-DOCID 1076205\_1
173.  LBEX-DOCID 1076467\_1
174.  LBEXDOCID 1078879\_1
175.  LBEXDOCID 1079016\_1
176.  LBEX-DOCID 1091570\_1
177.  LBEXDOCID 131058\_1
178.  LBEX-DOCID 131059\_1
179.  LBEX-DOCID 1527489\_1
180.  LBEX-DOCID 224552\_1
181.  LBEX-DOCID 280175\_1
182.  LBEX-DOCID 4263617\_1
183.  LBEX-DOCID 451527\_1
184.  LBEX-DOCID 457949\_1
185.  LBEX-DOCID 458725\_1
186.  LBEX-DOCID 462068\_1
187.  LBEX-DOCID 514265\_1
188.  LBEX-DOCID1401999\_1
189.  LBHI\_SEC07940\_016911-16977\_1
190.  LBHI\_SEC07940\_053967\_1
191.  LBHI\_SEC07940\_066820\_1
192.  LBHI\_SEC07940\_069737-069738\_1
193.  LBHI\_SEC07940\_074441\_1
194.  LBHI\_SEC07940\_075250\_1
195.  LBHI\_SEC07940\_075534-075535\_1
196.  LBHI\_SEC07940\_439441-439442\_1
197.  LBHI\_SEC07940\_557829\_1
198.  LBHI\_SEC07940\_558653-558656\_1
199.  LBHI\_SEC07940\_612771-612797\_1
200.  LBHI\_SEC07940\_623492-623493\_1
201.  LBHI\_SEC07940\_651987\_1
202.  LBHI\_SEC07940\_653425-653426\_1
203.  LBHI\_SEC07940\_670045-670047\_1
204.  LBHI\_SEC07940\_741210-741211\_1
205.  LBHI\_SEC07940\_745595-745602\_1
206.  Lehman Suit against JPM\_1

















































207.  Letter to C. Seefer\_1
  208.  Long List\_1
  209.  Moodys-FCIC-0383733\_1
  210.  Notes of 9\_14\_08 conference call with Dinallo FCIC-AIG0021169-72\_1
  211.  nyfrb\_triparty\_whitepaper\_1
  212.  OCC 2005 Report of Examination of Wachovia\_1
  213.  OCC Quarterly Risk Assessment Profiles of Wachovia 2nd Quarter 2006\_1
  214.  OCC Quarterly Risk Assessment Profiles of Wachovia 2nd Quarter 2007\_1
  215.  OCC Quarterly Risk Assessment Profiles of Wachovia 2nd Quarter 2008\_1
  216.  PWP000001727\_1
  217.  TBTF Hearing Document Final List\_1
  218.  UST-FCIC 0029177 REDACTED\_1
  219.  UST-FCIC 0029177\_1
  220.  UST-FCIC 0029475 REDACTED\_1
  221.  UST-FCIC 0029475\_1
  222.  UST-FCIC 0029499 REDACTED\_1
  223.  UST-FCIC 0029499\_1
  224.  UST-FCIC 0029512 - UST-FCIC 0029514\_1
  225.  UST-FCIC 0029516-517 REDACTED\_1
  226.  UST-FCIC 0029516-517\_1
  227.  UST-FCIC 0029680 REDACTED\_1
  228.  UST-FCIC 0029680\_1
  229.  UST-FCIC 0029725-30 REDACTED\_1
  230.  UST-FCIC 0029725-30\_1
  231.  UST-FCIC 0029964 REDACTED\_1
  232.  UST-FCIC 0029964\_1
  233.  UST-FCIC 0030001 REDACTED\_1
  234.  UST-FCIC 0030001\_1
  235.  Wachovia 2009 Supervisory Plan FCIC-136525 – FCIC 136621\_1
  236.  Wachovia Package\_1
  237.  Wachovia power point feldberg\_1
  238.  WF-WACH-003782-3796\_1
  239.  WF-WACH-004017-20\_1
  240.  WF-WACH-016550\_1
  241.  Zubrow FCIC Written Statement\_1
- b.  Hearing Background Materials
1.  I. Background Reading
    - a.  1. Too Big to Fail
      1.  a. 2003-05-30 ICBA - Fed Lite and the Industrial Loan Company Loophole\_1
      2.  b. 2009-05-30 WP - Congress's Afterthought, Wall Street's Trillion Dollars\_1
      3.  c. 2009-08-28 WP - Banks 'Too Big to Fail' Have Grown Even Bigger\_1
    - b.  3. Lehman
      1.  a. 2008-05-18 Bloomberg - Is Lehman Liquid Enough\_1
      2.  b. 2008-09-13 Bloomberg - Paulson, Bernanke Brave 'Raptors,' Resist Lehman Aid\_1































3.  c. 2008-10-06 WSJ - The Two Faces of Lehman's Fall\_1
4.  d. 2008-12-08 WSJ - A Money-Fund Manager's Fateful Shift\_1
5.  e. 2008-12-14 NYTimes - The Man Who Is Unwinding Lehman Brothers\_1
6.  f. 2009-09-17 WSJ - The Specter of Lehman Shadows Trade Partners\_1
7.  g. 2009-10-21 Bloomberg - Lehman Hedge Fund Clients to Get \$3.3 Billion Payout\_1
- c.  3. Wachovia
  1.  a. 2008-10-05 NYTimes - Citigroup Says Judge's Order Suspends Wachovia Deal\_1
  2.  b. 2008-10-06 Crowell & Moring - Tax Notice Drives Wachovia Takeover Turmoil\_1
  3.  c. 2008-10-19 ABA - What It Means to Banks Acquiring Other Banks\_1
  4.  d. 2008-10-30 Bloomberg - Schumer Challenges IRS Rule Sparking Bank Merger\_1
  5.  e. 2009-05-15 NYTimes A Bank is Survived by Its Loans (copy)\_1
  6.  f. 2009-10-13 AB - A Fall to Remember An Inside Look at Wachovia's Last Days\_1
2.  II. Day 1, Panel 1 - Wachovia
  - a.  1. Witness Bios - Wachovia
    1.  a. Witness Bios - Wachovia\_1
  - b.  2. Transcripts or MFRs of Interviews
    1.  a. 2010-08-18 MFR of Interview with Robert Steel\_1
    2.  b. 2010-07-16 MFR of Interview with John Corston\_1
    3.  c. 2010-03-23 MFR of Interview with Scott Alvarez\_1
    4.  d. 2010-03-23 Transcript of Interview with Scott Alvarez\_1
    5.  e. 2010-07-29 MFR of Interview with Scott Alvarez\_1
  - c.  3. Documents of Selected Key Quotes
    1.  a. Key Quotes - Robert Steel\_1
  - d.  4. Significant Past Testimony
    1.  a. 2008-04-03 Robert Steel Testimony Before the Senate Banking Committee\_1
3.  III. Day 1, Panel 2 - Lehman
  - a.  1. Witness Bios
    1.  a. Witness Bios - Lehman\_1
  - b.  2. Transcripts or MFRs of Pre-Interviews
    1.  a. 2010-04-28 MFR of Interview with Dick Fuld\_1
    2.  b. 2010-08-24 MFR of Interview with Dick Fuld\_1
    3.  c. 2010-04-30 MFR of Interview with Tom Baxter\_1
    4.  d. 2010-08-11 Transcript of Interview with Tom Baxter\_1
    5.  e. 2010-08-05 MFR of Interview with Harvey Miller\_1
    6.  f. 2010-08-02 MFR of Interview with Barry Zubrow\_1
    7.  g. 2010-08-19 MFR of Interview with Barry Zubrow\_1
  - c.  3. Document of Selected Key Quotes
    1.  a. Key Quotes - Dick Fuld\_1
    2.  b. Key Quotes - Tom Baxter\_1
    3.  c. Key Quotes - Harvey Miller\_1
  - d.  4. Significant Past Testimony








































































1.  a. 2008-10-06 Dick Fuld Testimony Before the House Oversight and Government Reform Committee\_1
  2.  b. 2009-10-22 Harvey Miller Testimony Before the House Judiciary Subcommittee\_1
  3.  c. 2010-04-20 Dick Fuld Testimony Before the House Financial Services Committee\_1
  4.  d. 2010-05-26 Tom Baxter Statement to COP\_1
4.  IV. Day 2, Panel 1 - Chairman Sheila Bair
    - a.  1. Witness Bios
      1.  a. Witness Bios - Chairman Bair\_1
    - b.  2. Transcripts or MFRs of Pre-Interviews
      1.  a. 2010-03-29 MFR of Interview with Chairman Bair\_1 Reviewed
      2.  b. 2010-08-18 MFR of Interview with Chairman Bair\_1
    - c.  3. Documents of Selected Key Quotes
      1.  a. Key Quotes - Chairman Bair\_1
    - d.  4. Significant Past Testimony
      1.  a. 2009-05-06 Chairman Bair Testimony Before Senate Banking Committee\_1
  5.  V. Day 2, Panel 2 - Chairman Ben Bernanke
    - a.  1. Witness Bio
      1.  a. Witness Bio - Chairman Bernanke\_1
    - b.  2. Transcripts or MFRs of Pre-Interviews
      1.  a. 2009-11-17 Summary of Closed Session with Chairman Bernanke\_1
      2.  b. 2009-11-17 Transcript of Closed Session with Chairman Bernanke\_1
    - c.  3. Documents of Selected Key Quotes
      1.  a. Key Quotes - Chairman Bernanke\_1
    - d.  4. Significant Past Testimony
      1.  a. 2008-09-23 Chairman Bernanke Testimony Before the Senate Banking Committee\_1
      2.  b. 2010-04-20 Chairman Bernanke Testimony Before the House Financial Services Committee\_1
  6.  H6 Background Binder Index\_1
  7.  H6 Background Binder Index\_2
  - c.  Hearing Briefing Binder
    1.  I. Agendas, Logistics, and Media Advisory
      - a.  a. Agenda and Timeline for Briefing Session and Hearing on TBTF and Retreat Meetings\_1
    2.  II. DAY ONE, WEDNESDAY, SEPTEMBER 1, 2010
      - a.  SESSION 1 - Wachovia
        1.  1. Draft of PSR on TBTF 8 26 10\_1
        2.  2. Summary of Preliminary Investigative Report on Wachovia\_1
        3.  3. Session 1 - Witness Bios\_1
        4.  4a. Robert Steel Testimony\_1
        5.  4b. John Corston Testimony\_1
        6.  4c. Scott Alvarez Testimony\_1
        7.  5. Session 1 - Wachovia Suggested TBTF Hearing Questions\_1
      - b.  SESSION 2 - Lehman
        1.  1. Summary of Preliminary Investigative Report on Lehman\_1
        2.  2. Session 2 - Witness Bios\_1

- 3. 3a. Dick Fuld Testimony\_1
- 4. 3b. Tom Baxter Testimony\_1
- 5. 3c. Harvey Miller Testimony\_1
- 6. 3c-i Harvey Miller Valukas Testimony\_1
- 7. 3c-ii JP Morgan Complaint\_1
- 8. 3c-iii Memorandum of Law In Support of Motion to Dismiss\_1
- 9. 3c-iv. Notice of Motion to Dismiss JPM\_1
- 10. 3c-v. Declaration of A Wolf\_1
- 11. 3d. Barry Zubrow Testimony\_1
- 12. 4. Session 2 - Lehman. Suggested TBTF Hearing Questions\_1
- 13. OLD Harvey Miller Testimony\_1
- 3. III. DAY TWO, THURSDAY, SEPTEMBER 2, 2010
  - a. SESSION 1 - Bernanke
    - 1. 2. Session 1 - Witness Bio\_1
    - 2. 3. Session 1 - Bernanke. Suggested TBTF Hearing Questions\_1
    - 3. Bernanke FCIC testimony embargoed until 9am 9-2-10 (2)\_1
  - b. SESSION 2 - Chairman Bair
    - 1. 1. Session 2 - Witness Bio\_1
    - 2. 2b. Chairman Bair Testimony\_1
    - 3. 3. Session 2 - Bair. Suggested TBTF Hearing Questions\_1
- 4. IV. SUPPLEMENTAL INVESTIGATIVE DOCUMENTS
- 5. OUTLINE - H6 Briefing book for Commissioners\_1
- 6. OUTLINE - H6 Briefing book for Commissioners\_2
- d. Hearing Transcripts
  - 1. FCIC Derivatives\_hearing\_day\_one\_transcript\_FINAL\_1
  - 2. FCIC Derivatives\_hearing\_day\_two\_transcript\_FINAL\_1
- e. MFRs Transcripts Hearing Notes
- f. Misc
- I. DocumentList
- J. ndExportLog
- IX. Field Hearings
  - A. Bakersfield - September 7, 2010
    - 1. I. Agenda, Logistics and Media Advisory
      - a. 1. Bakersfield Hearing\_Agenda\_Commissioners\_9 7 10\_1
      - b. 2. Bakersfield Hearing\_Logistics\_1
      - c. 3. Bakersfield Hearing\_Media Advisory\_1
    - 2. II. Background Materials
      - a. 1. Bakersfield Regional Chartbook\_1
      - b. 2. 2009-10-16\_CNNMoney\_FDIC Announces 99th Bank Failure\_1
      - c. 3. 2010-03-16\_Bak Cal\_Report Bakersfield Fifth Worst Residential Real Estate Market in Country\_1
      - d. 4. 2010-04-15\_Bak Cal\_Panelists Commerical Real Estate Market, Soft but getting better\_1
      - e. 5. Article\_Bak Cal\_Community Banking\_1
    - 3. III. Session 1\_Welcome
      - a. 1. Bios\_Session 1\_1
    - 4. IV. Session 2\_Local Banking
      - a. 1. Bios\_Session 2\_1
      - b. 2. Cattani\_Testimony\_1

- c.  3. Renock\_Testimony\_1
- d.  4. Wiley\_Testimony\_1
- e.  5. Suggested Questions\_Session 2\_1
- 5.  V. Session 3\_Residential and Community Real Estate
  - a.  1. Bios\_Session 3\_1
  - b.  2. Bynum\_Testimony\_1
  - c.  3. Peterson\_Testimony\_1
  - d.  4. Suggested Questions\_Session 3\_1
- 6.  VI. Session 4\_Local Housing Market
  - a.  1. Bios\_Session 4\_1
  - b.  2. Crabtree\_Testimony\_1
  - c.  4. Crabtree\_Testimony\_Crabtree Report\_July 2010\_1
  - d.  5. Crabtree\_Testimony\_Market Conditions\_April 2010\_1
  - e.  6. Crabtree\_Testimony\_Market Conditions\_August 2010\_1
  - f.  7. Plank\_Testimony\_1
  - g.  8. Suggested Questions\_Session 4\_1
- 7.  VII. Session 5\_Foreclosures and Loan Modifications
  - a.  1. Bios\_Session 5\_1
  - b.  2. Amble\_Testimony\_1
  - c.  3. McCarty\_Testimony\_1
  - d.  4. McDermott\_Testimony\_1
  - e.  5. McDermott\_Testimony\_Chronology\_1
  - f.  6. Suggested Questions\_Session 5\_1
- 8.  VIII. Forum for Public Comment
- 9.  Bakersfield Field Hearing Index\_1
- B.  Las Vegas - September 8, 2010
  - 1.  I. Agenda, Media Advisory, Logistics
    - a.  1. Las Vegas Hearing Outline 090310\_1
    - b.  2. FCIC hearing Las Vegas 9.8.10\_1
    - c.  3. Las Vegas\_Logistics\_1
  - 2.  II. Session 1 \_ Economic Impact - Nevada
    - a.  1. FCIC Las Vegas Regional Chartbook \_rxb\_1
    - b.  2. LV Hearing Session 1 Bios\_1
    - c.  3a. Agüero \_ Questions and Background (2)\_1
    - d.  3a. Agüero Testimony\_1
    - e.  4. Supporting - Background Material\_Applied Analytics\_1
  - 3.  III. Session 2 \_ Impact on Businesses - Nevada
    - a.  1. Revised LV Session 2 Bios\_1
    - b.  2a. Hill Testimony\_1
    - c.  2a-i. Hill – Employment Statistics\_1
    - d.  2b. Hill \_ Questions and Background\_1
    - e.  3a. Martin\_ Questions and Background\_1
    - f.  3b. Martin - Testimony\_1
    - g.  4a. Satre\_ Questions and Background\_1
    - h.  4b. Satre Testimony\_1
    - i.  4bi. Satre-Exhibit 1\_1
    - j.  4bii. Satre- Exhibit 2\_1
    - k.  4biii. Satre- Exhibit 3\_1

- I.  4biv. Satre - Exhibit 4\_1
      - m.  5b. Wally Murray testimony\_1
    - 4.  IV. Session 3\_Real Estate - Nevada
      - a.  1) LV Session 3 Bios\_1
      - b.  2b. Bogden\_ Questions and Background\_1
      - c.  2b. USA Bogden statement for 9-8-10 FCIC hearing FINAL\_1
      - d.  2b-i. SUMMARY OF SIGNIFICANT CASES\_1
      - e.  2b-ii. Bogdent. MORTGAGE FRAUD PRESS\_1
      - f.  3a. Gordon\_ Questions and Background (2)\_1
      - g.  3b. Gordon Testimony\_1
      - h.  4a. Burks. Questtions and Background for Witness at Las Vegas Hearing\_1
      - i.  5a. Jeffries. Questions and Background for Witnesses at Las Vegas Hearing\_1
      - j.  5b. Jay Jeffries Testimony FCIC\_1
      - k.  6a. Gail Burks CNNMoney Article\_1
      - l.  6b. Gail Burks Statement, COP 12.16.2008\_1
      - m.  6c. Fremont investigative report draft 9 05\_1
    - 5.  V. Session 4\_Public and Community Services - Nevad
      - a.  1) LV Session 4 Bios\_1
      - b.  2a. Clinger \_ Questions and Background (2)\_1
      - c.  2b. Clinger Testimony\_1
      - d.  3a. Fontaine \_ Questions and Background (2)\_1
      - e.  3b. Fontaine Testimony\_1
      - f.  4a. Morrison \_ Questions and Background (2)\_1
      - g.  4b. Morrison - Testimony - Las Vegas\_1
      - h.  5a. Fraser \_ Questions and Background (2)\_1
      - i.  5b. Fraser FCIC Testimony-Presentation 09 08 10\_1
    - 6.  Las Vegas Field Hearing Transcript - FINAL
    - 7.  OUTLINE - LV Hearing Briefing Book\_1
  - C.  Miami, Florida -September 21, 2010
    - 1.  I. Agenda, Logistics and Media Advisory
      - a.  1. Miami Hearing Agenda 09172010\_1
      - b.  2. Miami\_Logistics2\_1
      - c.  3. Media Advisory. Miami 9 16 10\_1
      - d.  4. Bio Alex Acosta. Welcoming Remarks\_1
    - 2.  II. Background Materials
      - a.  1. FCIC Miami Regional Chartbook \_ rxb\_1
      - b.  2. DRAFT FCIC Mortgage Fraud Report (9-17-2010)\_1
    - 3.  III. Session 1 - Overview of Mortgage Fraud
      - a.  1. Bios\_Session 1\_1
      - b.  2-i. Testimony - Fulmer\_1
      - c.  2-ia. Fulmer Supplemental Articles\_1
      - d.  2-ib. Fulmer Supplemental Testimony 092910 (copy)
      - e.  2-ii. Bill Black. FCIC Florida.testimony\_1
      - f.  2-iii.Pontell Testimony FLA 9-21-10\_1
      - g.  3. Session 1 Questions for Ann Fulmer-Bill Black-Henry Pontell\_1
    - 4.  IV. Session 2 - Uncovering Mortgage Fraud in Miami
      - a.  1. Bios\_Session 2\_1
      - b.  2i - Dennis J. Black FCIC testimony\_1

- c.  2-ii. Testimony - Gallagher. Final\_1
- d.  2iii. Wilcox Testimony\_1
- e.  3. Session 2 Questions for Wilcox Gallagher Dennis Black and Rubin\_1
- 5.  V. Session 3 -The Regulation, Oversight, and Prose
  - a.  1. Bios\_Session 3\_1
  - b.  2-i. Testimony. Cardwell, OFR - FCIC Financial Crisis Panel - Sept. 21 Miami\_1
  - c.  2-ii. U S Attorney Ferrer FCIC Testimony for Sept 21 (20 Sept 10) FINAL CLEARED\_1
  - d.  2-iii. FCIC Written Testimony of R Scott Palmer\_1
  - e.  3. Session 3 Questions for USA Ferrer and Scott Palmer and Tom Cardwell\_1
- 6.  Miami Field Hearing Index\_1
- 7.  Miami Field Hearing Transcript FINAL
- D.  Sacramento - September 23 2010
  - 1.  I. Agenda, Logistics, and Media Advisory
    - a.  1. Sacramento Field Hearing 9-20-2010\_1
    - b.  2. Sacramento\_Logistics\_1
    - c.  3. Sacramento Media Advisory 9.20.10\_1
  - 2.  II. Background Materials
    - a.  1. FCIC Sacramento Regional Chart Book\_1
    - b.  2. DRAFT FCIC Mortgage Fraud Report (9-17-2010)\_1
    - c.  3.FCIC Draft Topical Investigative Report on Securitization and Clayton\_1
    - d.  3i. Clayton Appendix I- Originator Trending Report\_1
    - e.  3ii. Clayton Appendix I- All Clayton Trending Report\_1
  - 3.  III. Session 1- Overview of the Sacramento Housing
    - a.  1. Session 1 Bios\_Sacramento Hearing\_1
  - 4.  IV. Session 2 -Mortgage Origination, Mortgage Frau
    - a.  1. Session 2 Bios\_Sacramento Hearing\_1
    - b.  2-i. Mann - Testimony\_1
    - c.  2-iii. Stein Testimony 9.20.10\_1
    - d.  2iii-1.Stein Exhibit. ForeclosuretoRedlining.\_1
    - e.  2iii-2.Stein Exhibit 2.SurveyVIReport.Final-1\_1
    - f.  3. Suggested Questions\_Session 2\_1
  - 5.  V. Session 3 - The Mortgage Securitization Chain
    - a.  1. Session 3 Bios\_Sacramento Hearing\_1
    - b.  2i. Testimony of Keith Johnson\_1
    - c.  2i-1. Supplemental. Eggert article Great Collapse\_1
    - d.  2ii-1. 2010-09-02 MFR Keith Johnson\_1
  - 6.  VI. Session 4 -The Impact of the Financial Crisis
    - a.  1. Session 4 Bios\_Sacramento Hearing\_1
    - b.  2i. Canada Testimony.092310\_1
    - c.  2iv.Clarence Williams FCIC Testimony 9-23-10\_1
    - d.  2v. Wirz Testimony FinCrisisInquiryCommission V2 -clc\_1
    - e.  4. Suggested Questions\_Session 4\_1
  - 7.  Witness Invite Letters
    - a.  Invite Letter - Beal\_1
    - b.  Invite Letter - Canada\_1
    - c.  Invite Letter - Eggert\_1
    - d.  Invite Letter - Johnson\_1
    - e.  Invite Letter - Mann\_1

- f.  Invite Letter - Putnam\_1
      - g.  Invite Letter - Reisig\_1
      - h.  Invite Letter - Stein\_1
      - i.  Tawatao - Invite Letter\_1
      - j.  Wagstaff - Invite Letter\_1
    - 8.  Sacramento Field Hearing Index\_1
    - 9.  Sacramento Field Hearing Transcript FINAL
  - E.  DocumentList
  - F.  ndExportLog
- X.  Work Plan
  - A.  2010-08-19 work plan
  - B.  2010-09-04 work plan
- XI.  0\_Introduction
  - A.  a
  - B.  Section A, version 1
- XII.  Summaries
  - A.  2010-08-31 Book - Brief summary for the agent
- XIII.  Outline
  - A.  2010-08-12 Book outline clean
  - B.  2010-08-19 Book outline - Detail
- XIV.  Factoids
- XV.  Cabinet Inbox

## **Preparing – Current Versions of the Final Report**


NAID: 24823588













































Extent: 1,496 data files

This series contains the final iteration of report materials. The “Ready to Go” records contain all of the chapters as they went to the publisher, along with all of their earlier versions.






File list

# NetDocuments Document Report

 Preparing-CURRENT - 3/1/2011 9:54:22 AM

- I.  Front and back matter
  - A.  2011-01-05 - Table of contents--conversion chart - Sent to Commissioners
  - B.  Book Jacket
- II.  Staff Review - Ron.Karen.Tom.Greg.Shaista.
  - A.  2011-01-07 Full FCIC Report - for Publisher \_CLEAN\_STAFF COPY
- III.  Draft - G
  - A.  01-18-2011 - Blue Draft
- IV.  Ready to go
  - A.  1610390415-text
  - B.  FCIC - Appendix - hearing list
  - C.  FCIC - Chapter 1 - Before Our Very Eyes
  - D.  FCIC - Chapter 10 - The madness
  - E.  FCIC - Chapter 11 - The Bust
  - F.  FCIC - Chapter 12 - Early 2007
  - G.  FCIC - Chapter 13 - Summer 2007
  - H.  FCIC - Chapter 14 - Late 2007 to early 2008
  - I.  FCIC - Chapter 15 - March 2008
  - J.  FCIC - Chapter 16 - March to August 2008
  - K.  FCIC - Chapter 17 - September 2008
  - L.  FCIC - Chapter 18 - Bankruptcy of Lehman
  - M.  FCIC - Chapter 19 - Bailout of AIG
  - N.  FCIC - Chapter 2 - Shadow Banking
  - O.  FCIC - Chapter 20 - Crisis and Panic
  - P.  FCIC - Chapter 21 - The economic fallout
  - Q.  FCIC - Chapter 22 - The Foreclosure Crisis
  - R.  FCIC - Chapter 3 - Securitization and Derivatives
  - S.  FCIC - Chapter 4 - Deregulation redux
  - T.  FCIC - Chapter 5 - Subprime Lending
  - U.  FCIC - Chapter 6 - Credit expansion
  - V.  FCIC - Chapter 7 - The mortgage machine
  - W.  FCIC - Chapter 8 - The CDO Machine
  - X.  FCIC - Chapter 9 - All In
  - Y.  FCIC - Glossary
  - Z.  FCIC - Head note
  - AA.  FCIC - Preface
  - AB.  FCIC- Commission staff list
  - AC.  FCIC- Conclusions
  - AD.  FCIC dissent - Hennessey Holtz-Eakin Thomas final
  - AE.  FCIC dissent - Wallison
- V.  Section IV
- VI.  Section III
- VII.  Section II
- VIII.  Section I
- IX.  Part A



- X.  Conclusions
  - A.  2011-01-03 (1800) - Chapter Conclusions - Sent to the Commission
- XI.  Titles
  - A.  01-02-2011 REVISED Chapter titles - FINAL (3)
- XII.  Cabinet Inbox

## Memoranda for the Record and Interview Lists

NAID: 24823589

Extent: 1,327 data files

This series contains summaries, transcripts, and audio files of interviews with witnesses interviewed by commission staff. The Memoranda for the Record are summaries of interviews conducted by FCIC staff with a variety of outside people. The staff interviewed employees of banks, brokerages, holding companies, state and Federal government agencies, rating agencies, mortgage companies. They also spoke with authors, lawyers, financial advisers, and economics professors. Some of these interviews were transcribed and recorded.

There are multiple drafts of many of these files. Some scans of handwritten notes are also included. Many of these documents were released by the FCIC in the “Resource Library” section of its website.

File list

# NetDocuments Document Report

MFRs & Interview Lists - 3/1/2011 9:53:06 AM
































## I. Cabinet Inbox




































### A. Handwritten Notes



































1. Ahamed, Liaquant Notes (Adam Paul) 8-2-2010
  2. Cassidy, John Notes (Adam Paul) 8-10-2010
  3. Cohan, Willam Notes (Adam Paul) 7-29-2010
  4. Crabtree, Gary (Kirstin Downey) 08-18-2010
  5. Crabtree, Gary (Kirstin Downey) 08-18-2010\_version 2
  6. Kling, Arnold Notes (Adam Paul) 7-28-2010
  7. Laffer, Arthur Notes (Adam Paul) 8-11-2010
  8. Madrick, Jeff 7-28-2010
  9. Mihm, Stephen Notes (Adam Paul) 7-29-2010
  10. Patterson, Scott Notes (Adam Paul) 8-12-2010
  11. Reinhart, Vincent Notes (Adam Paul) 9-10-2010
  12. Shiller, Robert Notes (Adam Paul) 9-22-10
  13. Stumpf, John Notes (Adam Paul) 9-23-2010
- B. 2010-02-02 FCIC memo of staff interview with William Arnold, Citigroup Alternative Investments
- C. 2010-02-23 FCIC memo of staff interview with Timothy Clark, Scott Alvarez, Federal Reserve Board
- D. 2010-02-25 FCIC memo of staff interview with Jonathan Jachym, Bradley Fox, Thomas Deas, Jeff Agosta, Jeff Overman, Mark Lovejoy, U.S. Chamber of Commerce
- E. 2010-03-03 FCIC memo of staff interview with Irma Aninger, Clayton Holdings LLC
- F. 2010-03-05 FCIC memo of staff interview with Kenneth Bacon, Fannie Mae
- G. 2010-03-10 Norah Barger Fed Reserve, quotes on Capital Regulations
- H. 2010-03-11 REVIEWED MFR of interview with Certosimo, Coad, Fajardo, Malgieri
- I. 2010-03-19 FCIC memo of staff interview with Robin Auerbach, New Century Financial Corporation
- J. 2010-03-25 REVIEWED MFR of interview with Kevin Coffey
- K. 2010-04-08 FCIC memo of staff interview with Michael Alix, Bear Stearns
- L. 2010-04-20 FCIC memo of staff interview with Ira Wagner, Bear Stearns
- M. 2010-04-20 FCIC memo of staff interview with Josh Anderson, PIMCO
- N. 2010-04-22 FCIC memo of staff interview with Steve Eisman, FrontPoint Partners
- O. 2010-04-23 FCIC memo of staff interview with William Ackman, Pershing Square Capital
- P. 2010-04-26 MFR of interview with Erin Callan (2010-11-19 REVIEWED)
- Q. 2010-04-28 REVIEWED MFR Chris Laursen
- R. 2010-05-03 Draft Scott Eichel MFR
- S. 2010-05-03 Scott Eichel Telephone Interview
- T. 2010-05-04 interview of Laurie Goodman
- U. 2010-05-04 John Reich In Person Interview
- V. 2010-05-07 FCIC Interview of Satyajit Das
- W. 2010-05-10 interview former moodys Jerome Fons MFR
- X. 2010-05-11 Dan Sparks Interview
- Y. 2010-05-12 MFR interview with Emanuel Derman
- Z. 2010-05-12 Prof Emmanuel Derman Interview
- AA. 2010-05-13 FCIC memo of staff interview with Henry Hu, Securities and Exchange Commission
- AB. 2010-05-13 interview Vanguard Bob Behal MFR
- AC. 2010-05-14 Chairman Gary Gensler Interview
































- AD.  2010-05-14 Chairman Gary Gensler Interview Transcript
- AE.  2010-05-16 Prof Anthony Sanders Interview
- AF.  2010-05-17 Alan Roseman (ACA) Interview
- AG.  2010-05-17 MFR interview with Anthony Sanders
- AH.  2010-06-18 Telephone Interview - Andrew Feldstein
- AI.  2010-06-22 Telephone Interview - Ernie Patrikis
- AJ.  2010-06-23 Telephone Interview - Bryan Marsal
- AK.  2010-07-08 FCIC memo of staff interview with Charles Cain, Securities and Exchange Commission
- AL.  2010-07-20 McWilliams MFR Dost Whistleblower
- AM.  2010-07-23--MFR--McDonald, Duff
- AN.  2010-07-26 FCIC memo of staff interview with James Grant, Grant's Publishing
- AO.  2010-07-29 REVIEWED MFR Stephen Mihm
- AP.  2010-08-11 MFR of Arthur Laffer interview
- AQ.  2010-08-11 MFR of author John Cassidy
- AR.  2010-08-16 REVIEWED MFR Mark Zandi
- AS.  2010-08-18 REVIEWED MFR Dean Baker
- AT.  2010-09-02 MFR Interview OCC Sr. Dep. Comptroller Rushton
- AU.  2010-09-08 Prof.- economist William Black Part I
- AV.  2010-09-08 Prof.-economist William Black Part II (1)
- AW.  2010-09-09 Sabeth Siddique, former Fed credit risk section asst. dir.
- AX.  2010-09-10 Interview Diane Thompson, Margot Saunders, National Consumer Law Center
- AY.  2010-09-10 MFR interview with Charles Calomiris
- AZ.  2010-09-10 MFR interview with Vince Reinhart
- BA.  2010-09-10 Vincent Reinhart, former Federal Reserve director of the Division of Monetary Affairs
- BB.  2010-09-13 Nell Minow, The Corporate Library
- BC.  2010-09-14 Interview with Greg Coleman, OCC
- BD.  2010-09-16 Elizabeth Warren, Congressional Oversight Panel
- BE.  2010-09-16 Interview Julia Gordon and M ke Calhoun, Center for Responsible Lending
- BF.  2010-09-16 Kenneth Feinberg, former TARP Treasury pay master
- BG.  2010-09-27 Mr. Sihan Shu, Paulson & Co.
- BH.  2010-10-05 FCIC memo of staff interview with Lloyd Fass, Vertical Capital
- BI.  2010-10-11 FCIC Interview with Paul Volcker
- BJ.  2010-10-12 MFR McWilliams Payne JP Morgan whistleblower
- BK.  2010-10-15 MFR of Interview with Elizabeth Beshel Robinson Goldman Sachs
- BL.  2010-10-19 MFR Interviews of Lombardi and Sigrist - FL State Bd of Admin
- BM.  2010-10-8 MFR Telephone Interview Northern Trust Michael
- BN.  2010-11-04 REVIEWED MFR William Apgar
- BO.  2010-11-09 Additional Documents\_Interview of George Cooper\_Investment Perspectives \_Martyrs by Mistake\_ July 2010
- BP.  2010-11-09 Additional Documents\_Interview of George Cooper\_Investment Perspectives January 10
- BQ.  2010-11-09 George Cooper FCIC Interview
- BR.  2010-11-09 MFR George Cooper
- BS.  2010-11-10 Audio Interview Yves Smith
- BT.  2010-11-12 MFR Yves Smith
- BU.  2010-11-16 James Surowiecki (New Yorker) MFR
- BV.  2010-11-16 James Surowiecki telephone interview
- BW.  2010-11-19 REVIEWED MFR Glenn Canner

II.  ReviewedA.  Audios1.  Batch 1 (2-4-10)


































- a.  2010-02-27 FCIC staff audiotape of interview with Richard (Dick) Bowen, CitiMortgage
- b.  2010-03-03 FCIC staff audiotape of interview with Edward J. (Ned) Kelly III, Citigroup
- c.  2010-03-04 FCIC staff audiotape of interview with Brian Leach, Citigroup
- d.  2010-03-24 FCIC staff audiotape of interview with John Reed, Citigroup
- e.  2010-03-24 FCIC staff audiotape of interview with Patricia Lindsay, New Century Financial Corporation
- f.  2010-04-08 FCIC staff audiotape of interview with Harvey Goldschmid, Securities and Exchange Commission
- g.  2010-04-16 FCIC staff audiotape of interview with Scott McCleskey, Moody's Investors Service
- h.  2010-04-22 FCIC staff audiotape of interview with Jerome Fons, Moody's Investors Service
- i.  2010-05-11 FCIC staff audiotape of interview with Dan Sparks, Goldman Sachs
- j.  2010-05-12 FCIC staff audiotape of interview with Emanuel Derman, Columbia University
- k.  2010-05-14 FCIC staff audiotape of interview with Gary Gensler, Commodity Futures Trading Commission
- l.  2010-05-17 FCIC staff audiotape of interview with Alan Roseman, ACA Capital Holdings, Inc.
- m.  2010-05-18 FCIC staff audiotape of interview with Gene Park, American International Group, Inc. (Part 1)
- n.  2010-05-18 FCIC staff audiotape of interview with Gene Park, American International Group, Inc. (Part 2)
- o.  2010-05-18 FCIC staff audiotape of interview with Michael Burry, Cornwall Capital
- p.  2010-05-20 FCIC staff audiotape of interview with Greg Lippmann, Deutsche Bank (Part 1)
- q.  2010-05-20 FCIC staff audiotape of interview with Greg Lippmann, Deutsche Bank (Part 2)
- r.  2010-05-20 FCIC staff audiotape of interview with Greg Lippmann, Deutsche Bank (Part 3)
- s.  2010-05-25 FCIC staff audiotape of interview with Ed Parker, Ameriquest
- t.  2010-05-26 FCIC staff audiotape of interview with Warren Buffett, Berkshire Hathaway
- u.  2010-06-01 FCIC staff audiotape of interview with Darcy Parmer, Wells Fargo
- v.  2010-06-15 FCIC staff audiotape of interview with Robert (Bob) Lewis, American International Group, Inc.
- w.  2010-06-15 FCIC staff audiotape of interview with Steven Bensinger, American International Group, Inc. (Part 1)
- x.  2010-06-15 FCIC staff audiotape of interview with Steven Bensinger, American International Group, Inc. (Part 2)
- y.  2010-06-16 FCIC staff audiotape of interview with Josh Silver, National Community Reinvestment Coalition
- z.  2010-06-16 FCIC staff audiotape of interview with Lloyd Blankfein, Goldman Sachs
- aa.  2010-06-18 FCIC staff audiotape of interview with Andrew Davilman, Goldman Sachs
- ab.  2010-06-21 FCIC staff audiotape of interview with Jake Sun, American International Group Financial Products
- ac.  2010-06-22 FCIC staff audiotape of interview with Anurag Saksena, Freddie Mac
- ad.  2010-06-23 FCIC staff audiotape of interview with Andrew Forster, American International Group Financial Products
- ae.  2010-07-14 FCIC staff audiotape of interview with Andrew Plepler, Bank of America


































- af.  2010-07-14 FCIC staff audiotape of interview with Madelyn Antoncic, Lehman Brothers
- ag.  2010-07-29 FCIC staff audiotape of interview with David Lehman, Goldman Sachs
- ah.  2010-07-30 FCIC staff audiotape of interview with Lewis Ranieri, Salomon Brothers (Part 1)
- ai.  2010-07-30 FCIC staff audiotape of interview with Lewis Ranieri, Salomon Brothers (Part 2)
- aj.  2010-08-03 FCIC staff audiotape of interview with Kim Scherer, Office of the Comptroller of the Currency
- ak.  2010-08-04 FCIC staff audiotape of interview with Doug Roeder, Office of the Comptroller of the Currency (Part 1)
- al.  2010-08-04 FCIC staff audiotape of interview with Doug Roeder, Office of the Comptroller of the Currency (Part 2)
- am.  2010-08-05 FCIC staff audiotape of interview with Harvey Miller, Weil, Gotshal & Manges LLP
- an.  2010-08-05 FCIC staff audiotape of interview with Robert Kuttner, The American Prospect
- ao.  2010-08-05 FCIC staff audiotape of interview with Rodgin Cohen, Sullivan & Cromwell
- ap.  2010-08-09 FCIC staff audiotape of interview with Bart McDade, Lehman Brothers
- aq.  2010-08-09 FCIC staff audiotape of interview with Frank Filippis, Clayton Holdings LLC
- ar.  2010-08-12 FCIC staff audiotape of interview with Scott Patterson, Wall Street Journal
- as.  2010-08-16 FCIC staff audiotape of interview with Alex Kirk, Lehman Brothers
- at.  2010-08-18 FCIC staff audiotape of interview with Dean Baker, Center for Economic & Policy Research
- au.  2010-08-18 FCIC staff audiotape of interview with Robert (Bob) K. Steel, Wachovia
- av.  2010-08-19 FCIC staff audiotape of interview with Richard Levy, Wells Fargo
- aw.  2010-08-20 FCIC staff audiotape of interview with Christopher Cruise
- ax.  2010-08-24 FCIC staff audiotape of interview with Richard (Dick) S. Fuld, Lehman Brothers
- ay.  2010-08-24 FCIC staff audiotape of interview with Richard Kovacevich, Wells Fargo
- az.  2010-08-25 FCIC staff audiotape of interview with Rich Delmar, Department of the Treasury
- ba.  2010-09-02 FCIC staff audiotape of interview with Eugene Ludwig, Promotory Financial Group
- bb.  2010-09-09 FCIC staff audiotape of interview with Dow Kim, Merrill Lynch
- bc.  2010-09-10 FCIC staff audiotape of interview with Diane Thompson and Margot Saunders, National Consumer Law Center
- bd.  2010-09-10 FCIC staff audiotape of interview with Vincent Reinhart, Federal Reserve Board
- be.  2010-09-14 FCIC staff audiotape of interview with Ray Romano, Freddie Mac
- bf.  2010-09-15 FCIC staff audiotape of interview with Chris Ricciardi, Merrill Lynch (Part 1)
- bg.  2010-09-15 FCIC staff audiotape of interview with Chris Ricciardi, Merrill Lynch (Part 2)
- bh.  2010-09-15 FCIC staff audiotape of interview with William Buell, JPMorgan Chase
- bi.  2010-09-16 FCIC staff audiotape of interview with Julia Gordon and Mike Calhoun, Center for Responsible Lending
- bj.  2010-09-17 FCIC staff audiotape of interview with Alan Blinder, Princeton University
- bk.  2010-09-21 FCIC staff audiotape of interview with Michael Lamont, Deutsche Bank
- bl.  2010-09-22 FCIC staff audiotape of with Herb Sandler, Golden West Financial Corporation
- bm.  2010-09-23 FCIC staff audiotape of interview with John Stumpf, Wells Fargo
- bn.  2010-09-23 FCIC staff audiotape of interview with John Taylor, Stanford University (Part




































- 1)
- bo.  2010-09-23 FCIC staff audiotape of interview with John Taylor, Stanford University (Part 2)
  - bp.  2010-09-24 FCIC staff audiotape of interview with Angelo Mozilo, Countrywide (Part 1)
  - bq.  2010-09-24 FCIC staff audiotape of interview with Angelo Mozilo, Countrywide (Part 2)
  - br.  2010-09-24 FCIC staff audiotape of interview with Angelo Mozilo, Countrywide (Part 3)
  - bs.  2010-09-27 FCIC staff audiotape of interview with David Sambol, Countrywide (Part 1)
  - bt.  2010-09-27 FCIC staff audiotape of interview with David Sambol, Countrywide (Part 2)
  - bu.  2010-09-27 FCIC staff audiotape of interview with David Sambol, Countrywide (Part 3)
  - bv.  2010-09-27 FCIC staff audiotape of interview with Sihan Shu, Paulson & Co.
  - bw.  2010-09-28 FCIC staff audiotape of interview with Nestor Dominguez, Citigroup (Part 1)
  - bx.  2010-09-28 FCIC staff audiotape of interview with Nestor Dominguez, Citigroup (Part 2)
  - by.  2010-10-01 FCIC staff audiotape of interview with Arthur Levitt, Securities and Exchange Commission
  - bz.  2010-10-05 FCIC staff audiotape of interview with Jim Chanos, Kynikos Associates
  - ca.  2010-10-06 FCIC staff audiotape of interview with Alphonso Jackson, Department of Housing and Urban Development (Part 1)
  - cb.  2010-10-06 FCIC staff audiotape of interview with Alphonso Jackson, Department of Housing and Urban Development (Part 2)
  - cc.  2010-10-06 FCIC staff audiotape of interview with Susan Wachter, University of Pennsylvania
  - cd.  2010-10-11 FCIC staff audiotape of interview with Paul Volcker, Federal Reserve Board
  - ce.  2010-10-11 FCIC staff audiotape of interview with Susan Bies, Federal Reserve Board
  - cf.  2010-10-13 FCIC staff audiotape of interview with Henry Cisneros, Department of Housing and Urban Development
  - cg.  2010-10-15 FCIC staff audiotape of interview with David Wong, Morgan Stanley
  - ch.  2010-10-15 FCIC staff audiotape of interview with Prentiss Cox, Minnesota, Office of the State Attorney General
  - ci.  2010-10-18 FCIC staff audiotape of interview with Jim Callahan, PentAlpha
  - cj.  2010-10-19 FCIC staff audiotape of interview with Ralph Cioffi, Bear Stearns Asset Management
  - ck.  2010-10-20 FCIC staff audiotape of interview with Jamie Dimon, JPMorgan Chase
  - cl.  2010-10-27 FCIC staff audiotape of interview with John Taylor and Josh Silver, National Community Reinvestment Coalition (Part 1)
  - cm.  2010-10-27 FCIC staff audiotape of interview with John Taylor and Josh Silver, National Community Reinvestment Coalition (Part 2)
  - cn.  2010-10-28 FCIC staff audiotape of interview with John Paulson, Paulson & Co.
  - co.  2010-10-29 FCIC staff audiotape of interview with Andrew Davidson, Andrew Davidson & Co.
  - cp.  2010-11-01 FCIC staff audiotape of interview with Alberto Gonzales, United States Attorney General
  - cq.  2010-11-02 FCIC staff audiotape of interview with David Grais, Grais & Ellsworth LLP
  - cr.  2010-11-02 FCIC staff audiotape of interview with John Mack, Morgan Stanley
  - cs.  2010-11-08 FCIC staff audiotape of interview with Jim Rokakis, Ohio Cuyahoga County (Part 1)
  - ct.  2010-11-08 FCIC staff audiotape of interview with Jim Rokakis, Ohio Cuyahoga County (Part 2)
  - cu.  2010-11-10 FCIC staff audiotape of interview with Ed Lazear, Council of Economic Advisors
  - cv.  2010-11-11 FCIC staff audiotape of interview of Wing Chau, Harding Advisory LLC

































- cw.  2010-11-17 FCIC staff audiotape of interview with Marc Savitt, National Association of Independent Housing Professionals
  - cx.  2010-11-18 FCIC staff audiotape of interview with Sujit CanagaRetna, Council of State Governments
  - cy.  2010-11-22 FCIC staff audiotape of interview with Raghuram Rajan, University of Chicago
  - 
 2010-11-30 FCIC staff audiotape of interview with Lord Adair Turner, Financial Services Authority (UK)
  - da.  2010-12-17 FCIC staff audiotape of interview with Andrew Cuomo, Department of Housing and Urban Development
2.  Batch 2 (2-5-10)
- a.  2010-03-16 FCIC staff audiotape of interview with Mickey Bhatia, Citigroup
  - b.  2010-03-23 FCIC staff audiotape of interview with Amy Manning, CitiFinancial
  - c.  2010-03-23 FCIC staff audiotape of interview with Mary McDowell, CitiFinancial
  - d.  2010-03-30 FCIC staff audiotape of interview with Patrick Parkinson, Federal Reserve Board
  - e.  2010-04-01 FCIC staff audiotape of interview with Jim Michaels, Federal Reserve Bank of New York
  - f.  2010-04-01 FCIC staff audiotape of interview with Sandra Braunstein, Federal Reserve Board
  - g.  2010-04-26 FCIC staff audiotape of interview with Antonio Alvarez, Federal Reserve Bank of New York
  - h.  2010-04-26 FCIC staff audiotape of interview with Randolph Brown, Federal Reserve Bank of New York
  - i.  2010-05-03 FCIC staff audiotape of interview with Scott Eichel, Bear Stearns
  - j.  2010-05-04 FCIC staff audiotape of interview with David Teicher, Moody's Investors Service
  - k.  2010-05-07 FCIC staff audiotape of interview with Alex Luk, Office of Thrift Supervision
  - l.  2010-05-07 FCIC staff audiotape of interview with Richard Cantor, Moody's Corporation (Part 1)
  - m.  2010-05-07 FCIC staff audiotape of interview with Richard Cantor, Moody's Corporation (Part 2)
  - n.  2010-05-07 FCIC staff audiotape of interview with Satyajit Das
  - o.  2010-05-11 FCIC staff audiotape of interview with Robert (Bob) Litan, Brookings Institution (Part 1)
  - p.  2010-05-12 FCIC staff audiotape of interview with Robert (Bob) Litan, Brookings Institution (Part 2)
  - q.  2010-05-14 FCIC staff audiotape of interview with Henry Ablescu, Standard & Poor's
  - r.  2010-05-18 FCIC staff audiotape of interview with Scott Taub, Securities and Exchange Commission
  - s.  2010-05-18 FCIC staff audiotape of interview with Yuri Yoshizawa, Moody's Investors Service (Part 1)
  - t.  2010-05-18 FCIC staff audiotape of interview with Yuri Yoshizawa, Moody's Investors Service (Part 2)
  - u.  2010-05-19 FCIC staff audiotape of interview with Rachel Dollar, Mortgage Fraud Blog
  - v.  2010-05-20 FCIC staff audiotape of interview with Brian Clarkson, Moody's Corporation
  - w.  2010-05-20 FCIC staff audiotape of interview with Eugene Xu, Deutsche Bank (Part 1)
  - x.  2010-05-20 FCIC staff audiotape of interview with Eugene Xu, Deutsche Bank (Part 2)
  - y.  2010-05-24 FCIC staff audiotape of interview with Steve Kohlhagen, University of California Berkeley
  - z.  2010-05-27 FCIC staff audiotape of interview with John Rutherford, Moody's Corporation
  - aa.  2010-06-07 FCIC staff audiotape of interview with James Kroeker, Securities and































































- Exchange Commission (Part 1)
- ab.  2010-06-07 FCIC staff audiotape of interview with James Kroeker, Securities and Exchange Commission (Part 2)
  - ac.  2010-06-16 FCIC staff audiotape of interview with Blythe Masters, JPMorgan Chase
  - ad.  2010-06-16 FCIC staff audiotape of interview with David Viniar, Goldman Sachs
  - ae.  2010-06-16 FCIC staff audiotape of interview with Gary Cohn, Goldman Sachs
  - af.  2010-06-17 FCIC staff audiotape of interview with Jonathan Egol, Goldman Sachs (Part 1)
  - ag.  2010-06-17 FCIC staff audiotape of interview with Jonathan Egol, Goldman Sachs (Part 2)
  - ah.  2010-06-18 FCIC staff audiotape of interview with Michael Finn, Office of Thrift Supervision
  - ai.  2010-06-19 FCIC staff audiotape of interview with Scott Polakoff, Office of Thrift Supervision
  - aj.  2010-06-24 FCIC staff audiotape of interview with Amy Stroupe, BB&T
  - ak.  2010-07-16 FCIC staff audiotape of interview with Chris O'Meara, Lehman Brothers
  - al.  2010-07-22 FCIC staff audiotape of interview with Vicki Beal, Clayton Holdings LLC (Part 1)
  - am.  2010-07-22 FCIC staff audiotape of interview with Vicki Beal, Clayton Holdings LLC (Part 2)
  - an.  2010-07-23 FCIC staff audiotape of interview with Duff McDonald, Author
  - ao.  2010-07-23 FCIC staff audiotape of interview with Richard Posner, Author
  - ap.  2010-07-26 FCIC staff audiotape of interview with James Grant, Grant's Publishing
  - aq.  2010-07-28 FCIC staff audiotape of interview with Edwin Chow, Office of Thrift Supervision
  - ar.  2010-07-28 FCIC staff audiotape of interview with Jeff Madrick, The Cooper Union (Part 1)
  - as.  2010-07-28 FCIC staff audiotape of interview with Jeff Madrick, The Cooper Union (Part 2)
  - at.  2010-07-28 FCIC staff audiotape of interview with Lawrence Carter, Office of Thrift Supervision
  - au.  2010-07-29 FCIC staff audiotape of interview with Michael Gelband, Lehman Brothers
  - av.  2010-07-29 FCIC staff audiotape of interview with Michael Swenson, Goldman Sachs (Part 1)
  - aw.  2010-07-29 FCIC staff audiotape of interview with Michael Swenson, Goldman Sachs (Part 2)
  - ax.  2010-07-30 FCIC staff audiotape of interview with Angus McBryde, Wachovia
  - ay.  2010-07-30 FCIC staff audiotape of interview with William Cohan, Author
  - az.  2010-08-02 FCIC staff audiotape of interview with Barry Zubrow, JPMorgan Chase
  - ba.  2010-08-02 FCIC staff audiotape of interview with Patrick McKenna, Deutsche Bank
  - bb.  2010-08-04 FCIC staff audiotape of interview with Jacqueline Becker, Anthony Castaldo, Elizabeth Coleman and Andrew Patchman, Federal Reserve Board
  - bc.  2010-08-04 FCIC staff audiotape of interview with Darrell Dochow, Office of Thrift Supervision
  - bd.  2010-08-05 FCIC staff audiotape of interview with Kerry O'Neill, Clayton Holdings LLC
  - be.  2010-08-11 FCIC staff audiotape of interview with John Cassidy, The New Yorker
  - bf.  2010-08-11 FCIC staff audiotape of interview with Tom Baxter, Federal Reserve Bank of New York
  - bg.  2010-08-13 FCIC staff audiotape of interview with Tom Fontana, Citigroup
  - bh.  2010-08-17 FCIC staff audiotape of interview with Mary Haggerty, Bear Stearns




































- bi.  2010-08-19 FCIC staff audiotape of interview with Barry Zubrow, JPMorgan Chase
- bj.  2010-08-20 FCIC staff audiotape of interview with Tom Russo, Lehman Brothers
- bk.  2010-08-23 FCIC staff audiotape of interview with Hugh (Skip) McGee, Lehman Brothers
- bl.  2010-08-24 FCIC staff audiotape of interview with Satyajit Das
- bm.  2010-08-31 FCIC staff audiotape of interview with William (Bill) Sharpe, Stanford University
- bn.  2010-09-07 FCIC staff audiotape of interview with Mark Kemp, Countrywide
- bo.  2010-09-08 FCIC staff audiotape of interview with William (Bill) Black, University of Missouri (Part 1)
- bp.  2010-09-08 FCIC staff audiotape of interview with William (Bill) Black, University of Missouri (Part 2)
- bq.  2010-09-10 FCIC staff audiotape of interview with Charles Calomiris, Columbia University
- br.  2010-09-13 FCIC staff audiotape of interview with Harry Markowitz, University of California San Diego
- bs.  2010-09-14 FCIC staff audiotape of interview with Michael Raynes, Citigroup
- bt.  2010-09-16 FCIC staff audiotape of interview with Elizabeth Warren, Congressional Oversight Panel
- bu.  2010-09-16 FCIC staff audiotape of interview with Kenneth Feinberg, Department of the Treasury
- bv.  2010-09-16 FCIC staff audiotape of interview with Stanley O'Neal, Merrill Lynch
- bw.  2010-09-17 FCIC staff audiotape of interview with Harvey Pitt, Securities and Exchange Commission
- bx.  2010-09-20 FCIC staff audiotape of interview with Nicolas Retsinas, Harvard University
- by.  2010-09-21 FCIC staff audiotape of interview with Tom Boemio, Federal Reserve Board
- bz.  2010-09-22 FCIC staff audiotape of interview with Robert Shiller, Yale University
- ca.  2010-09-24 FCIC staff audiotape of interview with Nellie Liang and Dan Covitz, Federal Reserve Board
- cb.  2010-09-28 FCIC staff audiotape of interview with Mel Martinez, Department of Housing and Urban Development
- cc.  2010-09-28 FCIC staff audiotape of interview with Michael Palumbo and Patrick McCabe, Federal Reserve Board (Part 1)
- cd.  2010-09-28 FCIC staff audiotape of interview with Michael Palumbo and Patrick McCabe, Federal Reserve Board (Part 1)
- ce.  2010-09-28 FCIC staff audiotape of interview with Michael Palumbo and Patrick McCabe, Federal Reserve Board (Part 2)
- cf.  2010-09-29 FCIC staff audiotape of interview with John Mongelluzzo, GMAC
- cg.  2010-09-30 FCIC staff audiotape of interview with Karen Pence, Federal Reserve Board
- ch.  2010-10-01 FCIC staff audiotape of interview with Frederic Mishkin, Federal Reserve Board
- ci.  2010-10-04 FCIC staff audiotape of interview with George Soros, Soros Fund Management, LLC
- cj.  2010-10-04 FCIC staff audiotape of interview with Mark Olson, Federal Reserve Board
- ck.  2010-10-04 FCIC staff audiotape of interview with Michael Steinhardt, Steinhardt Management
- cl.  2010-10-08 FCIC staff audiotape of interview with Stanley Sporkin, United States District Court for the District of Columbia
- cm.  2010-10-15 FCIC staff audiotape of interview with Bill Dudley, Federal Reserve Bank of New York
- cn.  2010-10-18 FCIC staff audiotape of interview with M ke Harter, Wells Fargo
- co.  2010-10-22 FCIC staff audiotape of interview with Donald Kohn, Federal Reserve Board

- cp.  2010-10-27 FCIC staff audiotape of interview with Greg Mankiw, Harvard University
  - cq.  2010-10-27 FCIC staff audiotape of interview with Mark Oman, Wells Fargo (Part 1)
  - cr.  2010-10-27 FCIC staff audiotape of interview with Mark Oman, Wells Fargo (Part 2)
  - cs.  2010-11-02 FCIC staff audiotape of interview with Katherine Porter, University of Iowa
  - ct.  2010-11-08 FCIC staff audiotape of interview with Felix Salmon, Reuters
  - cu.  2010-11-12 FCIC staff audiotape of interview with Bethany McLean, Author
  - cv.  2010-11-15 FCIC staff audiotape of interview with Janet Yellen, Federal Reserve Board
  - cw.  2010-11-15 FCIC staff audiotape of interview with Robert Diamond, Barclays
  - cx.  2010-11-16 FCIC staff audiotape of interview with James Surowiecki, The New Yorker
  - cy.  2010-11-29 FCIC staff audiotape of interview with Roger Lowenstein, Author
  - cz.  2010-12-14 FCIC staff audiotape of interview with Robert Mueller, Federal Bureau of Investigation
3.  Batch 3 (2-6-10)
- a.  2010-03-31 FCIC staff audiotape of interview with Norah Barger, Federal Reserve Board
  - b.  2010-05-07 FCIC staff audiotape of interview with Brad Waring, Office of Thrift Supervision
  - c.  2010-05-07 FCIC staff audiotape of interview with Joseph Gonzalez, Office of Thrift Supervision
  - d.  2010-05-11 FCIC staff audiotape of interview with Nicholas Weill, Moody's Investors Service
  - e.  2010-05-19 FCIC staff audiotape of interview with Martin Mayer, Brookings Institution
  - f.  2010-06-02 FCIC staff audiotape of interview with William (Bill) Isaac, LECG
  - g.  2010-06-10 FCIC staff audiotape of interview with Kevin McGinn, American International Group, Inc. (Part 1)
  - h.  2010-06-10 FCIC staff audiotape of interview with Kevin McGinn, American International Group, Inc. (Part 2)
  - i.  2010-07-23 FCIC staff audiotape of interview with Ronald Marcus, Office of Thrift Supervision
  - j.  2010-07-29 FCIC staff audiotape of interview with Scott Alvarez, Bill English, Kieran Fallon and Bill Nelson, Federal Reserve Board
  - k.  2010-08-10 FCIC staff audiotape of interview with Peter Shapiro, Swap Financial Group (copy)
  - l.  2010-08-10 FCIC staff audiotape of interview with Deborah Bailey, Federal Reserve Board
  - m.  2010-08-11 FCIC staff audiotape of interview with Arthur B. Laffer, Laffer Associates
  - n.  2010-08-24 FCIC staff audiotape of interview with Pat Parkinson, Federal Reserve Board
  - o.  2010-09-02 FCIC staff audiotape of interview with D. Keith Johnson, Clayton Holdings LLC
  - p.  2010-09-13 FCIC staff audiotape of interview with Nell Minow, The Corporate Library
  - q.  2010-09-17 FCIC staff audiotape of interview with John Thain, Merrill Lynch
  - r.  2010-09-20 FCIC staff audiotape of interview with Michael Solomon and Fred Phillips-Patrick, Office of Thrift Supervision
  - s.  2010-09-20 FCIC staff audiotape of interview with Seth Carpenter, Federal Reserve Board
  - t.  2010-09-24 FCIC staff audiotape of interview with Jim Embersit, Federal Reserve Board
  - u.  2010-10-06 FCIC staff audiotape of interview with Paul Krugman, Princeton University
  - v.  2010-10-08 FCIC staff audiotape of interview with Michael Vardas, Northern Trust
  - w.  2010-10-14 FCIC staff audiotape of interview with Tony Peterson, Morgan Stanley (Part 1)
  - x.  2010-10-14 FCIC staff audiotape of interview with Tony Peterson, Morgan Stanley (Part

- 2)
- y.  2010-10-19 FCIC staff audiotape of interview with David Jones, Federal Reserve Board
- z.  2010-10-20 FCIC staff audiotape of interview with Judge Michael Mukasey, United States Attorney General
- aa.  2010-10-21 FCIC staff audiotape of interview with Viral Acharya, New York University
- ab.  2010-10-22 FCIC staff audiotape of interview with Mark Adelson, Standard & Poor's
- ac.  2010-11-10 FCIC staff audiotape of interview with Yves Smith, Aurora Advisors
- ad.  2010-11-15 FCIC staff audiotape of interview with Nouriel Roubini, New York University
- ae.  2010-11-15 FCIC staff audiotape of interview with Robert Willumstad, American International Group, Inc.
- af.  2010-11-19 FCIC staff audiotape of interview with William (Bill) Isaac, LECC
- 4.  Batch 4 (2-8-10)
  - a.  2010-02-23 FCIC staff audiotape of interview with Kevin Gaffney, Scott Goebel, Norm Lind and Kevin Mahar, Fidelity Investments
  - b.  2010-03-10 transcript of FCIC staff interview with Thomas Maheras, Citigroup
  - c.  2010-03-11 transcript of FCIC staff interview with Robert Rubin, Citigroup
  - d.  2010-03-12 FCIC staff audiotape of interview with John Lyons, Office of the Comptroller of the Currency
  - e.  2010-03-17 transcript of FCIC staff interview with Charles Prince, Citigroup
  - f.  2010-03-30 FCIC staff audiotape of interview with John Dugan, Office of the Comptroller of the Currency
  - g.  2010-04-01 FCIC staff audiotape of interview with Glenn Loney, Federal Reserve Board
  - h.  2010-04-01 transcript of FCIC staff interview with David Bushnell, Citigroup
  - i.  2010-04-21 FCIC staff audiotape of interview with Gary Witt, Moody's Investors Service
  - j.  2010-04-23 FCIC staff audiotape of interview with Bard Stermasi, Federal Reserve Bank of New York
  - k.  2010-04-23 FCIC staff audiotape of interview with Glenn Snajder, Federal Reserve Bank of New York
  - l.  2010-04-23 FCIC staff audiotape of interview with William Hallacy, Federal Reserve Bank of New York
  - m.  2010-04-26 FCIC staff audiotape of interview with Dianne Dobbeck and Steve Manzari, Federal Reserve Bank of New York (Part 1)
  - n.  2010-04-26 FCIC staff audiotape of interview with Dianne Dobbeck and Steve Manzari, Federal Reserve Bank of New York (Part 2)
  - o.  2010-04-27 Transcript of Interview with Eric Kolchinsky
  - p.  2010-05-11 FCIC staff audiotape of interview with Alan Frost, American International Group, Inc. (Part 1)
  - q.  2010-05-11 FCIC staff audiotape of interview with Michael Kanef, Moody's Investors Service
  - r.  2010-05-14 FCIC staff audiotape of interview with Gary Gensler, Commodity Futures Trading Commission
  - s.  2010-05-14 FCIC staff audiotape of interview with William May, Moody's Investors Service
  - t.  2010-05-17 FCIC staff audiotape of interview with Anthony Sanders, George Mason University
  - u.  2010-05-19 FCIC staff audiotape of interview with Maurice (Hank) Greenberg, American International Group, Inc. (Part 1)
  - v.  2010-05-19 FCIC staff audiotape of interview with Maurice (Hank) Greenberg, American International Group, Inc. (Part 2)
  - w.  2010-05-19 FCIC staff audiotape of interview with Maurice (Hank) Greenberg, American International Group, Inc. (Part 3)

- x.  2010-06-14 FCIC staff audiotape of interview with Pierre Micottis, American International Group Financial Products
  - y.  2010-06-18 FCIC staff audiotape of interview with Stephanie Mudick and Lela Wingard, JPMorgan Chase
  - z.  2010-06-22 FCIC staff audiotape of interview with Mark Hutchings, American International Group, Inc.
  - aa.  2010-06-25 FCIC staff audiotape of interview with Joe Cassano, American International Group, Inc. (Part 1)
  - ab.  2010-06-25 FCIC staff audiotape of interview with Joe Cassano, American International Group, Inc. (Part 2)
  - ac.  2010-06-25 FCIC staff audiotape of interview with Joe Cassano, American International Group, Inc. (Part 3)
  - ad.  2010-06-25 FCIC staff audiotape of interview with Joe Cassano, American International Group, Inc. (Part 4)
  - ae.  2010-06-25 FCIC staff audiotape of interview with Joe Cassano, American International Group, Inc. (Part 5)
  - af.  2010-07-21 FCIC staff audiotape of interview with Joe Swartz, Deutsche Bank
  - ag.  2010-08-02 FCIC staff audiotape of interview with Roger Cole, Federal Reserve Board
  - ah.  2010-08-04 FCIC staff audiotape of interview with David Wilson, Office of the Comptroller of the Currency
  - ai.  2010-08-05 FCIC staff audiotape of interview with Art Angula, Bill Rutledge and Dianne Dobbeck, Federal Reserve Bank of New York
  - aj.  2010-08-06 FCIC staff audiotape of interview with John Dugan, Office of the Comptroller of the Currency
  - ak.  2010-08-13 FCIC staff audiotape of interview with Richard Westerkamp, Federal Reserve Board
  - al.  2010-08-16 FCIC staff audiotape of interview with Mark Zandi, Moody's Analytics
  - am.  2010-08-25 FCIC staff audiotape of interview with Ian Lowitt, Lehman Brothers
  - an.  2010-09-09 FCIC staff audiotape of interview with Sabeth Siddique, Federal Reserve Board (Part 1)
  - ao.  2010-09-14 FCIC staff audiotape of interview with Greg Coleman, Office of the Comptroller of the Currency
  - ap.  2010-09-14 FCIC staff audiotape of interview with Jeff Kronthal, Merrill Lynch
  - aq.  2010-09-20 FCIC staff audiotape of interview with Larry Lindsey, National Economic Council
  - ar.  2010-09-29 FCIC staff audiotape of interview with Donald J. Bisenius, Freddie Mac
  - as.  2010-10-04 FCIC staff audiotape of interview with Henry Tabe, Moody's Investors Service
  - at.  2010-10-04 FCIC staff audiotape of interview with Sandy Weill, Citigroup
  - au.  2010-10-13 FCIC staff audiotape of interview with Henry Cisneros, Department of Housing and Urban Development
  - av.  2010-10-22 FCIC staff audiotape of interview with Donald Kohn, Federal Reserve Board
  - aw.  2010-10-25 FCIC staff audiotape of interview with Sabeth Siddique, Federal Reserve Board (Part 2, continued from 2010-09-09 interview)
  - ax.  2010-10-28 FCIC staff audiotape of interview with Kevin M. Warsh, Federal Reserve Board
  - ay.  2010-11-02 FCIC staff audiotape of interview with Neel Kashkari, Department of the Treasury
5.  Batch 5 (2-08-10)
- a.  2009-11-17 FCIC staff audio tape of interview with Timothy Geithner, Department of the Treasury


































- b.  2010-03-11 FCIC staff audiotape of interview with Ron Frake, Office of the Comptroller of the Currency
- c.  2010-03-17 FCIC staff audiotape of interview with Scott Waterhouse, Office of the Comptroller of the Currency (copy)
- d.  2010-04-02 FCIC staff audiotape of interview with John Hawke, Office of the Comptroller of the Currency
- e.  2010-05-04 FCIC staff audiotape of interview with Laurie Goodman, Amherst Securities Group
- f.  2010-05-10 FCIC staff audiotape of interview with Laura Schwartz, ACA Capital Holdings, Inc.
- g.  2010-05-11 FCIC staff audiotape of interview with Adam White, White Knight Research & Trading LLC
- h.  2010-05-11 FCIC staff audiotape of interview with Alan Frost, American International Group, Inc. (Part 2)
- i.  2010-05-13 FCIC staff audiotape of interview with Lynn Turner, Securities and Exchange Commission
- j.  2010-05-21 FCIC staff audiotape of interview with Raymond McDaniel, Moody's Corporation
- k.  2010-05-26 FCIC staff audiotape of interview with Jay Siegel, Moody's Investors Service
- l.  2010-05-27 FCIC staff audiotape of interview with James (Jim) Tisch, Loews Corporation
- m.  2010-05-27 FCIC staff audiotape of interview with Robert Pickel, International Swaps and Derivatives Association, Inc. (Part 1)
- n.  2010-06-14 FCIC staff audiotape of interview with John H. Neel Foster, Financial Accounting Standards Board
- o.  2010-06-16 FCIC staff audiotape of interview with Mark Feldman, JPMorgan Chase
- p.  2010-06-18 FCIC staff audiotape of interview with Andrew Feldstein, Blue Mountain Capital
- q.  2010-07-19 FCIC staff audiotape of interview with Ed Pinto, Fannie Mae
- r.  2010-07-28 FCIC staff audiotape of interview with Arnold Kling, Cato Institute
- s.  2010-07-30 FCIC staff audiotape of interview with Eileen Foster, Countrywide
- t.  2010-08-11 FCIC staff audiotape of interview with Jonathan Wood, Whitebox Advisors
- u.  2010-08-12 FCIC staff audiotape of interview with John McMurray, Washington Mutual
- v.  2010-08-18 FCIC staff audiotape of interview with Jeff Solomon, Ramius
- w.  2010-08-18 FCIC staff audiotape of interview with Sheila Bair, Federal Deposit Insurance Corporation (Excerpt 1)
- x.  2010-08-18 FCIC staff audiotape of interview with Sheila Bair, Federal Deposit Insurance Corporation (Excerpt 2)
- y.  2010-08-18 FCIC staff audiotape of interview with Sheila Bair, Federal Deposit Insurance Corporation (Excerpt 3)
- z.  2010-08-18 FCIC staff audiotape of interview with Sheila Bair, Federal Deposit Insurance Corporation (Excerpt 4)
- aa.  2010-08-18 FCIC staff audiotape of interview with Sheila Bair, Federal Deposit Insurance Corporation (Excerpt 5)
- ab.  2010-08-18 FCIC staff audiotape of interview with Sheila Bair, Federal Deposit Insurance Corporation (Excerpt 6)
- ac.  2010-08-25 FCIC staff audiotape of interview with Shawn Fahey, Albert Marino and Wendy Ruberti, Claren Road
- ad.  2010-08-30 FCIC staff audiotape of interview with Donald Marron, Council of Economic Advisors
- ae.  2010-08-31 FCIC staff audiotape of interview with Richard Syron, Freddie Mac
- af.  2010-09-13 FCIC staff audiotape of interview with Steven Einhorn, Greenlight Capital





















- ag.  2010-09-21 FCIC staff audiotape of interview with George French and Steve Fritts, Federal Deposit Insurance Corporation
  - ah.  2010-09-30 FCIC staff audiotape of interview with Frank San Pedro, Countrywide
  - ai.  2010-10-07 FCIC staff audiotape of interview with John Snow, Department of the Treasury
  - aj.  2010-10-12 FCIC staff audiotape of interview with Julian Robertson, Tiger Management
  - ak.  2010-10-14 FCIC staff audiotape of interview with Richard Breeden, Securities and Exchange Commission
  - al.  2010-10-15 FCIC staff audiotape of interview with Stephen Friedman, Federal Reserve Bank of New York
  - am.  2010-10-21 FCIC staff audiotape of interview with Michael Karp, Option Group
  - an.  2010-10-22 FCIC staff audiotape of interview with Ken Lewis, Bank of America (Part 1)
  - ao.  2010-10-22 FCIC staff audiotape of interview with Ken Lewis, Bank of America (Part 2)
  - ap.  2010-10-28 FCIC staff audiotape of interview with Adam Levitin, Georgetown University
  - aq.  2010-10-28 FCIC staff audiotape of interview with Gillian Tett, Financial Times
  - ar.  2010-10-29 FCIC staff audiotape of interview with Bill Gross, PIMCO
  - as.  2010-11-09 FCIC staff audiotape of interview with David Einhorn, Greenlight Capital
  - at.  2010-11-09 FCIC staff audiotape of interview with Laurie Goodman, Amherst Securities Group
  - au.  2010-11-19 FCIC staff audiotape of interview with David Faber, CNBC
  - av.  2010-12-17 FCIC staff audiotape of interview with Andrew Cuomo, Department of Housing and Urban Development
6.  Ready for website
  7.  2009-11-17 FCIC staff audio tape of interview with Timothy Geithner, Department of the Treasury
  8.  2010-02-23 FCIC staff audiotape of interview with Dennis Milowski and Mike Sharp, Merrill Lynch
  9.  2010-02-23 FCIC staff audiotape of interview with Kevin Gaffney, Scott Goebel, Norm Lind and Kevin Mahar, Fidelity Investments
  10.  2010-02-27 FCIC staff audiotape of interview with Richard (Dick) Bowen, CitiMortgage
  11.  2010-03-03 FCIC staff audiotape of interview with Edward J. (Ned) Kelly III, Citigroup
  12.  2010-03-04 FCIC staff audiotape of interview with Brian Leach, Citigroup
  13.  2010-03-11 FCIC staff audiotape of interview with Philippa Malmgren, Canonbury Group
  14.  2010-03-11 FCIC staff audiotape of interview with Ron Frake, Office of the Comptroller of the Currency
  15.  2010-03-12 FCIC staff audiotape of interview with John Dugan, Office of the Comptroller of the Currency
  16.  2010-03-12 FCIC staff audiotape of interview with John Lyons, Office of the Comptroller of the Currency
  17.  2010-03-16 FCIC staff audiotape of interview with John Dugan, Office of the Comptroller of the Currency
  18.  2010-03-16 FCIC staff audiotape of interview with Mickey Bhatia, Citigroup
  19.  2010-03-17 FCIC staff audiotape of interview with Scott Waterhouse, Office of the Comptroller of the Currency
  20.  2010-03-18 FCIC staff audiotape of interview with Jeff Perlowitz, Citigroup
  21.  2010-03-19 FCIC staff audiotape of interview with Richard Spillenkothen, Federal Reserve Board
  22.  2010-03-23 FCIC staff audiotape of interview with Amy Manning, CitiFinancial
  23.  2010-03-23 FCIC staff audiotape of interview with Mary McDowell, CitiFinancial
  24.  2010-03-23 FCIC staff audiotape of interview with Scott Alvarez and Kiernan Fallon, Federal








## Reserve Board

25.  2010-03-24 FCIC staff audiotape of interview with John Reed, Citigroup
26.  2010-03-24 FCIC staff audiotape of interview with Patricial Lindsay, New Century Financial Corporation
27.  2010-03-30 FCIC staff audiotape of interview with Patrick Parkinson, Federal Reserve Board
28.  2010-03-30 FCIC staff audiotape of interview with John Dugan, Office of the Comptroller of the Currency
29.  2010-03-31 FCIC staff audiotape of interview with Norah Barger, Federal Reserve Board
30.  2010-04-01 FCIC staff audiotape of interview with Glenn Loney, Federal Reserve Board
31.  2010-04-01 FCIC staff audiotape of interview with Jim Michaels, Federal Reserve Bank of New York
32.  2010-04-01 FCIC staff audiotape of interview with Sandra Braunstein, Federal Reserve Board
33.  2010-04-02 FCIC staff audiotape of interview with John Hawke, Office of the Comptroller of the Currency
34.  2010-04-08 FCIC staff audiotape of interview with Harvey Goldschmid, Securities and Exchange Commission
35.  2010-04-16 FCIC staff audiotape of interview with Scott McCleskey, Moody's Investors Service
36.  2010-04-19 FCIC staff audiotape of interview with Jay Eisbruck, Moody's Investors Service
37.  2010-04-21 FCIC staff audiotape of interview with Gary Witt, Moody's Investors Service
38.  2010-04-22 FCIC staff audiotape of interview with Jerome Fons, Moody's Investors Service
39.  2010-04-23 FCIC staff audiotape of interview with Bard Stermasi, Federal Reserve Bank of New York
40.  2010-04-23 FCIC staff audiotape of interview with Glenn Snajder, Federal Reserve Bank of New York
41.  2010-04-23 FCIC staff audiotape of interview with William Hallessy, Federal Reserve Bank of New York
42.  2010-04-26 FCIC staff audiotape of interview with Antonio Alvarez, Federal Reserve Bank of New York
43.  2010-04-26 FCIC staff audiotape of interview with Dianne Dobbeck and Steve Manzari, Federal Reserve Bank of New York (Part 1)
44.  2010-04-26 FCIC staff audiotape of interview with Dianne Dobbeck and Steve Manzari, Federal Reserve Bank of New York (Part 2)
45.  2010-04-26 FCIC staff audiotape of interview with Randolph Brown, Federal Reserve Bank of New York
46.  2010-04-28 FCIC staff audiotape of interview with Alan Fishbein, Federal Reserve Board
47.  2010-05-03 FCIC staff audiotape of interview with Scott Eichel, Bear Stearns
48.  2010-05-04 FCIC staff audiotape of interview with David Teicher, Moody's Investors Service
49.  2010-05-04 FCIC staff audiotape of interview with John Reich, Office of Thrift Supervision
50.  2010-05-04 FCIC staff audiotape of interview with Laurie Goodman, Amherst Securities Group
51.  2010-05-05 FCIC staff audiotape of interview with Arturo Cifuentes, Moody's Investors Service
52.  2010-05-07 FCIC staff audiotape of interview with Alex Luk, Office of Thrift Supervision
53.  2010-05-07 FCIC staff audiotape of interview with Brad Waring, Office of Thrift Supervision
54.  2010-05-07 FCIC staff audiotape of interview with James Christner, Office of Thrift Supervision
55.  2010-05-07 FCIC staff audiotape of interview with Joseph Gonzalez, Office of Thrift Supervision
56.  2010-05-07 FCIC staff audiotape of interview with Manny Tisseverasinghe, Office of Thrift Supervision
57.  2010-05-07 FCIC staff audiotape of interview with Michael Russo, Office of Thrift Supervision
58.  2010-05-07 FCIC staff audiotape of interview with Richard Cantor, Moody's Corporation (Part 1)
59.  2010-05-07 FCIC staff audiotape of interview with Richard Cantor, Moody's Corporation (Part 2)
60.  2010-05-07 FCIC staff audiotape of interview with Satyajit Das










































































61.  2010-05-10 FCIC staff audiotape of interview with Laura Schwartz, ACA Capital Holdings, Inc.
62.  2010-05-11 FCIC staff audiotape of interview with Adam White, White Knight Research & Trading LLC
63.  2010-05-11 FCIC staff audiotape of interview with Alan Frost, American International Group, Inc. (Part 1)
64.  2010-05-11 FCIC staff audiotape of interview with Alan Frost, American International Group, Inc. (Part 2)
65.  2010-05-11 FCIC staff audiotape of interview with Dan Sparks, Goldman Sachs
66.  2010-05-11 FCIC staff audiotape of interview with Gary Gorton, Yale University (Part 1)
67.  2010-05-11 FCIC staff audiotape of interview with Gary Gorton, Yale University (Part 2)
68.  2010-05-11 FCIC staff audiotape of interview with Gary Gorton, Yale University (Part 3)
69.  2010-05-11 FCIC staff audiotape of interview with Gary Gorton, Yale University (Part 4)
70.  2010-05-11 FCIC staff audiotape of interview with Michael Kanef, Moody's Investors Service
71.  2010-05-11 FCIC staff audiotape of interview with Nicholas Weill, Moody's Investors Service
72.  2010-05-11 FCIC staff audiotape of interview with Richard Bookstaber, Securities and Exchange Commission
73.  2010-05-11 FCIC staff audiotape of interview with Robert (Bob) Litan, Brookings Institution (Part 1)
74.  2010-05-12 FCIC staff audiotape of interview with Emanuel Derman, Columbia University
75.  2010-05-12 FCIC staff audiotape of interview with Robert (Bob) Litan, Brookings Institution (Part 2)
76.  2010-05-13 FCIC staff audiotape of interview with Gus Harris, Moody's Investors Service (Part 1)
77.  2010-05-13 FCIC staff audiotape of interview with Gus Harris, Moody's Investors Service (Part 2)
78.  2010-05-13 FCIC staff audiotape of interview with Gus Harris, Moody's Investors Service (Part 3)
79.  2010-05-13 FCIC staff audiotape of interview with Gus Harris, Moody's Investors Service (Part 4)
80.  2010-05-13 FCIC staff audiotape of interview with Lynn Turner, Securities and Exchange Commission
81.  2010-05-13 FCIC staff audiotape of interview with Mark Adelson, Standard & Poor's
82.  2010-05-14 FCIC staff audiotape of interview with Gary Gensler, Commodity Futures Trading Commission
83.  2010-05-14 FCIC staff audiotape of interview with Henry Alblescu, Standard & Poor's
84.  2010-05-14 FCIC staff audiotape of interview with Scott Mason, Standard & Poor's
85.  2010-05-14 FCIC staff audiotape of interview with William May, Moody's Investors Service
86.  2010-05-17 FCIC staff audiotape of interview with Alan Roseman, ACA Capital Holdings, Inc.
87.  2010-05-17 FCIC staff audiotape of interview with Anthony Sanders, George Mason University
88.  2010-05-17 FCIC staff audiotape of interview with Shaun Wang, Georgia State University and Stephen Hiemstra, Federal Housing Finance Agency
89.  2010-05-18 FCIC staff audiotape of interview with Gene Park, American International Group, Inc. (Part 1)
90.  2010-05-18 FCIC staff audiotape of interview with Gene Park, American International Group, Inc. (Part 2)
91.  2010-05-18 FCIC staff audiotape of interview with Michael Burry, Cornwall Capital
92.  2010-05-18 FCIC staff audiotape of interview with Scott Taub, Securities and Exchange Commission
93.  2010-05-18 FCIC staff audiotape of interview with Yuri Yoshizawa, Moody's Investors Service (Part 1)








































94.  2010-05-18 FCIC staff audiotape of interview with Yuri Yoshizawa, Moody's Investors Service (Part 2)
95.  2010-05-19 FCIC staff audiotape of interview with Martin Mayer, Brookings Institution
96.  2010-05-19 FCIC staff audiotape of interview with Maurice (Hank) Greenberg, American International Group, Inc. (Part 1)
97.  2010-05-19 FCIC staff audiotape of interview with Maurice (Hank) Greenberg, American International Group, Inc. (Part 2)
98.  2010-05-19 FCIC staff audiotape of interview with Rachel Dollar, Mortgage Fraud Blog
99.  2010-05-19 FCIC staff audiotape of interview with Maurice (Hank) Greenberg, American International Group, Inc. (Part 3)
100.  2010-05-20 FCIC staff audiotape of interview with Brian Clarkson, Moody's Corporation
101.  2010-05-20 FCIC staff audiotape of interview with Eugene Xu, Deutsche Bank (Part 1)
102.  2010-05-20 FCIC staff audiotape of interview with Eugene Xu, Deutsche Bank (Part 2)
103.  2010-05-20 FCIC staff audiotape of interview with Greg Lippmann, Deutsche Bank (Part 1)
104.  2010-05-20 FCIC staff audiotape of interview with Greg Lippmann, Deutsche Bank (Part 2)
105.  2010-05-20 FCIC staff audiotape of interview with Greg Lippmann, Deutsche Bank (Part 3)
106.  2010-05-21 FCIC staff audiotape of interview with Ron Bane
107.  2010-05-21 FCIC staff audiotape of interview with Raymond McDaniel, Moody's Corporation
108.  2010-05-24 FCIC staff audiotape of interview with Steve Kohlhagen, University of California Berkeley
109.  2010-05-25 FCIC staff audiotape of interview with Amy Heinz and Joan Ferenczy, Federal Housing Finance Agency
110.  2010-05-25 FCIC staff audiotape of interview with David Mosso, Financial Accounting Standards Board
111.  2010-05-25 FCIC staff audiotape of interview with Ed Parker, Ameriquest
112.  2010-05-26 FCIC staff audiotape of interview with Isaac Lustgarten
113.  2010-05-26 FCIC staff audiotape of interview with Jay Siegel, Moody's Investors Service
114.  2010-05-26 FCIC staff audiotape of interview with Roger Stein, Moody's Investors Service
115.  2010-05-26 FCIC staff audiotape of interview with Warren Buffett, Berkshire Hathaway
116.  2010-05-27 FCIC staff audiotape of interview with James (Jim) Tisch, Loews Corporation
117.  2010-05-27 FCIC staff audiotape of interview with John Rutherford, Moody's Corporation
118.  2010-05-27 FCIC staff audiotape of interview with Robert Pickel, International Swaps and Derivatives Association, Inc. (Part 1)
119.  2010-05-27 FCIC staff audiotape of interview with Robert Pickel, International Swaps and Derivatives Association, Inc. (Part 2)
120.  2010-05-28 FCIC staff audiotape of interview with Tony Accetta, Bear Sterns
121.  2010-06-01 FCIC staff audiotape of interview with Darcy Parmer, Wells Fargo
122.  2010-06-02 FCIC staff audiotape of interview with William (Bill) Isaac, LECCG
123.  2010-06-07 FCIC staff audiotape of interview with James Kroeker, Securities and Exchange Commission (Part 1)
124.  2010-06-07 FCIC staff audiotape of interview with James Kroeker, Securities and Exchange Commission (Part 2)
125.  2010-06-10 FCIC staff audiotape of interview with Kevin McGinn, American International Group, Inc. (Part 1)
126.  2010-06-10 FCIC staff audiotape of interview with Kevin McGinn, American International Group, Inc. (Part 2)
127.  2010-06-11 FCIC staff audiotape of interview with Jeff Gates
128.  2010-06-11 FCIC staff audiotape of interview with Oliver Ireland, Morrison Forester
129.  2010-06-14 FCIC staff audiotape of interview with John H. Neel Foster, Financial Accounting Standards Board




































130.  2010-06-14 FCIC staff audiotape of interview with John H. Neel Foster, Financial Accounting Standards Board
131.  2010-06-14 FCIC staff audiotape of interview with Pierre Micottis, American International Group Financial Products
132.  2010-06-15 FCIC staff audiotape of interview with Kevin Anderson and M. Jefferson Davis, Office of Thrift Supervision
133.  2010-06-15 FCIC staff audiotape of interview with Robert (Bob) Lewis, American International Group, Inc.
134.  2010-06-15 FCIC staff audiotape of interview with Steven Bensinger, American International Group, Inc. (Part 1)
135.  2010-06-15 FCIC staff audiotape of interview with Steven Bensinger, American International Group, Inc. (Part 2)
136.  2010-06-16 FCIC staff audiotape of interview with Peter Ostrem
137.  2010-06-16 FCIC staff audiotape of interview with Blythe Masters, JPMorgan Chase
138.  2010-06-16 FCIC staff audiotape of interview with David Viniar, Goldman Sachs
139.  2010-06-16 FCIC staff audiotape of interview with Elias Habayeb, American International Group, Inc. (Part 1)
140.  2010-06-16 FCIC staff audiotape of interview with Elias Habayeb, American International Group, Inc. (Part 2)
141.  2010-06-16 FCIC staff audiotape of interview with Gary Cohn, Goldman Sachs
142.  2010-06-16 FCIC staff audiotape of interview with Josh Silver, National Community Reinvestment Coalition
143.  2010-06-16 FCIC staff audiotape of interview with Lloyd Blankfein, Goldman Sachs
144.  2010-06-16 FCIC staff audiotape of interview with Mark Feldman, JPMorgan Chase
145.  2010-06-17 FCIC staff audiotape of interview with Craig Broderick, Goldman Sachs (Part 1)
146.  2010-06-17 FCIC staff audiotape of interview with Craig Broderick, Goldman Sachs (Part 2)
147.  2010-06-17 FCIC staff audiotape of interview with Jonathan Egol, Goldman Sachs (Part 1)
148.  2010-06-17 FCIC staff audiotape of interview with Jonathan Egol, Goldman Sachs (Part 2)
149.  2010-06-17 FCIC staff audiotape of interview with Martin Sullivan, American International Group, Inc. (Part 1)
150.  2010-06-17 FCIC staff audiotape of interview with Martin Sullivan, American International Group, Inc. (Part 2)
151.  2010-06-17 FCIC staff audiotape of interview with Martin Sullivan, American International Group, Inc. (Part 3)
152.  2010-06-18 FCIC staff audiotape of interview with Andrew Davilman, Goldman Sachs
153.  2010-06-18 FCIC staff audiotape of interview with Andrew Feldstein, Blue Mountain Capital
154.  2010-06-18 FCIC staff audiotape of interview with Michael Finn, Office of Thrift Supervision
155.  2010-06-18 FCIC staff audiotape of interview with Michael Sherwood, Goldman Sachs
156.  2010-06-18 FCIC staff audiotape of interview with Stephanie Mudick and Lela Wingard, JPMorgan Chase
157.  2010-06-19 FCIC staff audiotape of interview with Scott Polakoff, Office of Thrift Supervision
158.  2010-06-21 FCIC staff audiotape of interview with Jake Sun Interview, American International Group Financial Products
159.  2010-06-21 FCIC staff audiotape of interview with Russ Golden, Financial Accountability Standards Board
160.  2010-06-22 FCIC staff audiotape of interview with Anurag Saxena, Freddie Mac
161.  2010-06-22 FCIC staff audiotape of interview with Ernie Patrikis, White & Case LLP
162.  2010-06-22 FCIC staff audiotape of interview with Mark Hutchings, American International Group, Inc.
163.  2010-06-23 FCIC staff audiotape of interview with Andrew Forster, American International





































## Group Financial Products

164.  2010-06-23 FCIC staff audiotape of interview with Bryan Marsal, Lehman Brothers
165.  2010-06-24 FCIC staff audiotape of interview with Amy Stroupe, BB&T
166.  2010-06-25 FCIC staff audiotape of interview with Joe Cassano, American International Group, Inc. (Part 1)
167.  2010-06-25 FCIC staff audiotape of interview with Joe Cassano, American International Group, Inc. (Part 2)
168.  2010-06-25 FCIC staff audiotape of interview with Joe Cassano, American International Group, Inc. (Part 3)
169.  2010-06-25 FCIC staff audiotape of interview with Joe Cassano, American International Group, Inc. (Part 4)
170.  2010-06-25 FCIC staff audiotape of interview with Joe Cassano, American International Group, Inc. (Part 5)
171.  2010-06-28 FCIC staff audiotape of interview with Paige Wisdom, Freddie Mac
172.  2010-06-28 FCIC staff audiotape of interview with Richard Scott, American International Group, Inc.
173.  2010-06-30 FCIC staff audiotape of interview with Alan Fishbein, Federal Reserve Board
174.  2010-07-02 FCIC staff audiotape of interview with Stan Liebowitz, University of Texas, Dallas
175.  2010-07-14 FCIC staff audiotape of interview with Andrew Plepler, Bank of America
176.  2010-07-14 FCIC staff audiotape of interview with Madelyn Antoncic, Lehman Brothers
177.  2010-07-16 FCIC staff audiotape of interview with Chris O'Meara, Lehman Brothers
178.  2010-07-16 FCIC staff audiotape of interview with Fernando Montes-Negret, World Bank
179.  2010-07-16 FCIC staff audiotape of interview with Jason Cave, Jim Wigand, John Corston, Art Warren and John Thomas, Federal Deposit Insurance Corporation
180.  2010-07-19 FCIC staff audiotape of interview with Ed Pinto, Fannie Mae
181.  2010-07-20 FCIC staff audiotape of interview with Barry Zigas, Zigas & Associates LLC
182.  2010-07-20 FCIC staff audiotape of interview with Jon Rymer and Fred Gibson, Federal Deposit Insurance Corporation
183.  2010-07-21 FCIC staff audiotape of interview with Joe Schwartz, Deutsche Bank
184.  2010-07-21 FCIC staff audiotape of interview with Scott Goebel and Kevin Mahar, Fidelity Investments
185.  2010-07-22 FCIC staff audiotape of interview with Jeff Goodman, Lehman Brothers
186.  2010-07-22 FCIC staff audiotape of interview with Vicki Beal, Clayton Holdings LLC (Part 1)
187.  2010-07-22 FCIC staff audiotape of interview with Vicki Beal, Clayton Holdings LLC (Part 2)
188.  2010-07-23 FCIC staff audiotape of interview with Duff McDonald, Author
189.  2010-07-23 FCIC staff audiotape of interview with Richard Posner, Author
190.  2010-07-23 FCIC staff audiotape of interview with Ronald Marcus, Office of Thrift Supervision
191.  2010-07-26 FCIC staff audiotape of interview with James Grant, Grant's Publishing
192.  2010-07-28 FCIC staff audiotape of interview with Arnold Kling, Cato Institute
193.  2010-07-28 FCIC staff audiotape of interview with Edwin Chow, Office of Thrift Supervision
194.  2010-07-28 FCIC staff audiotape of interview with Jeff Madrick, The Cooper Union (Part 1)
195.  2010-07-28 FCIC staff audiotape of interview with Jeff Madrick, The Cooper Union (Part 2)
196.  2010-07-28 FCIC staff audiotape of interview with Lawrence Carter, Office of Thrift Supervision
197.  2010-07-28 FCIC staff audiotape of interview with Todd Lindsey, U.S. Capital LLC
198.  2010-07-29 FCIC staff audiotape of interview with David Lehman, Goldman Sachs
199.  2010-07-29 FCIC staff audiotape of interview with Michael Gelband, Lehman Brothers
200.  2010-07-29 FCIC staff audiotape of interview with Michael Swenson, Goldman Sachs (Part 1)
201.  2010-07-29 FCIC staff audiotape of interview with Michael Swenson, Goldman Sachs (Part 2)
202.  2010-07-29 FCIC staff audiotape of interview with Scott Alvarez, Bill English, Kieran Fallon and






































- Bill Nelson, Federal Reserve Board
203.  2010-07-30 FCIC staff audiotape of interview with Angus McBryde, Wachovia
  204.  2010-07-30 FCIC staff audiotape of interview with Eileen Foster, Countrywide
  205.  2010-07-30 FCIC staff audiotape of interview with Lewis Ranieri, Salomon Brothers (Part 1)
  206.  2010-07-30 FCIC staff audiotape of interview with Lewis Ranieri, Salomon Brothers (Part 2)
  207.  2010-07-30 FCIC staff audiotape of interview with William Cohan, Author
  208.  2010-08-02 FCIC staff audiotape of interview with Barry Zubrow, JPMorgan Chase
  209.  2010-08-02 FCIC staff audiotape of interview with of Art Laichtman, UBS
  210.  2010-08-02 FCIC staff audiotape of interview with Patrick McKenna, Deutsche Bank
  211.  2010-08-02 FCIC staff audiotape of interview with Roger Cole, Federal Reserve Board
  212.  2010-08-03 FCIC staff audiotape of interview with Kim Scherer, Office of the Comptroller of the Currency
  213.  2010-08-04 FCIC staff audiotape of interview with Jacqueline Becker, Anthony Castaldo, Elizabeth Coleman and Andrew Patchman, Federal Reserve Board
  214.  2010-08-04 FCIC staff audiotape of interview with Darrell Dochow, Office of Thrift Supervision
  215.  2010-08-04 FCIC staff audiotape of interview with David Wilson, Office of the Comptroller of the Currency
  216.  2010-08-04 FCIC staff audiotape of interview with Doug Roeder, Office of the Comptroller of the Currency (Part 1)
  217.  2010-08-04 FCIC staff audiotape of interview with Doug Roeder, Office of the Comptroller of the Currency (Part 2)
  218.  2010-08-04 FCIC staff audiotape of interview with Nassim Nicholas Taleb, Author and Hervé Ferhani, I
  219.  2010-08-05 FCIC staff audiotape of interview with Art Angula, Bill Rutledge and Dianne Dobbeck, Federal Reserve Bank of New York
  220.  2010-08-05 FCIC staff audiotape of interview with Harvey Miller, Weil, Gotshal & Manges LLP
  221.  2010-08-05 FCIC staff audiotape of interview with Kerry O'Neill, Clayton Holdings LLC
  222.  2010-08-05 FCIC staff audiotape of interview with Robert Kuttner, The American Prospect
  223.  2010-08-05 FCIC staff audiotape of interview with Rodgin Cohen, Sullivan & Cromwell
  224.  2010-08-06 FCIC staff audiotape of interview with John Dugan, Office of the Comptroller of the Currency
  225.  2010-08-06 FCIC staff audiotape of interview with Paolo Tonucci, Lehman Brothers
  226.  2010-08-09 FCIC staff audiotape of interview with Bart McDade, Lehman Brothers
  227.  2010-08-09 FCIC staff audiotape of interview with Frank Filippis, Clayton Holdings LLC
  228.  2010-08-10 FCIC staff audiotape of interview with Peter Shapiro, Swap Financial Group
  229.  2010-08-10 FCIC staff audiotape of interview with Deborah Bailey, Federal Reserve Board
  230.  2010-08-11 FCIC staff audiotape of interview with Arthur B. Laffer, Laffer Associates
  231.  2010-08-11 FCIC staff audiotape of interview with James Esposito, RBS Greenwich Capital
  232.  2010-08-11 FCIC staff audiotape of interview with John Cassidy, The New Yorker
  233.  2010-08-11 FCIC staff audiotape of interview with Jonathan Wood, Whitebox Advisors
  234.  2010-08-11 FCIC staff audiotape of interview with Tom Baxter, Federal Reserve Bank of New York
  235.  2010-08-12 FCIC staff audiotape of interview with Eric Flamholtz, University of California Los Angeles
  236.  2010-08-12 FCIC staff audiotape of interview with John McMurray, Washington Mutual
  237.  2010-08-12 FCIC staff audiotape of interview with Scott Patterson, Wall Street Journal
  238.  2010-08-13 FCIC staff audiotape of interview with David Rosenbloom, Deutsche Bank
  239.  2010-08-13 FCIC staff audiotape of interview with Richard Rizzo, Deutsche Bank
  240.  2010-08-13 FCIC staff audiotape of interview with Richard Westerkamp, Federal Reserve Board





























241.  2010-08-13 FCIC staff audiotape of interview with Tom Fontana, Citigroup
242.  2010-08-16 FCIC staff audiotape of interview with Alex Kirk, Lehman Brothers
243.  2010-08-16 FCIC staff audiotape of interview with Arvin Raghunathan and Francis Lam, Roc Capital
244.  2010-08-16 FCIC staff audiotape of interview with Mark Zandi, Moody's Analytics
245.  2010-08-17 FCIC staff audiotape of interview with Mary Haggerty, Bear Stearns
246.  2010-08-18 FCIC staff audiotape of interview with Dean Baker, Center for Economic & Policy Research
247.  2010-08-18 FCIC staff audiotape of interview with Jeff Solomon, Ramius (Part 1)
248.  2010-08-18 FCIC staff audiotape of interview with Jeff Solomon, Ramius (Part 2)
249.  2010-08-18 FCIC staff audiotape of interview with Lloyd Plank, Lloyd E. Plank Real Estate Consultants
250.  2010-08-18 FCIC staff audiotape of interview with Robert (Bob) K. Steel, Wachovia
251.  2010-08-18 FCIC staff audiotape of interview with Sheila Bair, Federal Deposit Insurance Corporation
252.  2010-08-19 FCIC staff audiotape of interview with Barry Zubrow, JPMorgan Chase
253.  2010-08-19 FCIC staff audiotape of interview with Richard Levy, Wells Fargo
254.  2010-08-20 FCIC staff audiotape of interview with Christopher Cruise
255.  2010-08-20 FCIC staff audiotape of interview with Jerry Jost
256.  2010-08-20 FCIC staff audiotape of interview with Tom Russo, Lehman Brothers
257.  2010-08-23 FCIC staff audiotape of interview with Hugh (Skip) McGee, Lehman Brothers
258.  2010-08-23 FCIC staff audiotape of interview with Keith Hladek and Robin Roger, Harbinger (Part 1)
259.  2010-08-23 FCIC staff audiotape of interview with Steve Renock, Kern Schools Federal Credit Union
260.  2010-08-23 FCIC staff audiotape of interview with, Keith Hladek and Robin Roger, Harbinger (Part 2)
261.  2010-08-24 FCIC staff audiotape of interview with Arnold Cattani, Mission Bank
262.  2010-08-24 FCIC staff audiotape of interview with Nicholas Verbitsky, Blue Chip Films
263.  2010-08-24 FCIC staff audiotape of interview with Pat Parkinson, Federal Reserve Board
264.  2010-08-24 FCIC staff audiotape of interview with Richard (Dick) S. Fuld, Lehman Brothers
265.  2010-08-24 FCIC staff audiotape of interview with Richard Kovacevich, Wells Fargo
266.  2010-08-24 FCIC staff audiotape of interview with Satyajit Das
267.  2010-08-24 FCIC staff audiotape of interview with Stanford Kurland, PennyMac (Part 1)
268.  2010-08-24 FCIC staff audiotape of interview with Stanford Kurland, PennyMac (Part 2)
269.  2010-08-24 FCIC staff audiotape of interview with Stanford Kurland, PennyMac (Part 3)
270.  2010-08-24 FCIC staff audiotape of interview with Warren Peterson, Warren Peterson Construction, Inc.
271.  2010-08-25 FCIC staff audiotape of interview with Don Royer and Jim Petros, Fremont General
272.  2010-08-25 FCIC staff audiotape of interview with Ian Lowitt, Lehman Brothers
273.  2010-08-25 FCIC staff audiotape of interview with Laurie McCarty, Coldwell Banker Preferred
274.  2010-08-25 FCIC staff audiotape of interview with Rich Delmar, Department of the Treasury
275.  2010-08-25 FCIC staff audiotape of interview with Shawn Fahey, Albert Marino and Wendy Ruberti, Claren Road
276.  2010-08-26 FCIC staff audiotape of interview with Brian Taylor, Pine River Capital
277.  2010-08-26 FCIC staff audiotape of interview with Elliott Cohen and Kelly Mainelli, Russell Investment Group
278.  2010-08-26 FCIC staff audiotape of interview with Gregory Dulski (Part 1)
279.  2010-08-26 FCIC staff audiotape of interview with Gregory Dulski (Part 2)








280.  2010-08-26 FCIC staff audiotape of interview with James McIntyre, Fremont General
281.  2010-08-26 FCIC staff audiotape of interview with Jeffrey Fontaine, Nevada Association of Communities
282.  2010-08-27 FCIC staff audiotape of interview with Kurt Eggert, Chapman University
283.  2010-08-30 FCIC staff audiotape of interview with Andrew Clinger, State of Nevada
284.  2010-08-30 FCIC staff audiotape of interview with Donald Marron, Council of Economic Advisors
285.  2010-08-30 FCIC staff audiotape of interview with Gail Burks, Nevada Fair Housing Center
286.  2010-08-30 FCIC staff audiotape of interview with Jon Greenlee, Federal Reserve Board
287.  2010-08-30 FCIC staff audiotape of interview with Philip Satre, International Gaming Technology (IGT) and of NV Energy, Inc.
288.  2010-08-31 FCIC staff audiotape of interview with Richard Syron, Freddie Mac
289.  2010-08-31 FCIC staff audiotape of interview with William (Bill) Sharpe, Stanford University
290.  2010-09-01 FCIC staff audiotape of interview with Jeremy Aguero and Brian Gordon, Applied Analytics
291.  2010-09-01 FCIC staff audiotape of interview with William Martin, Service First Bank
292.  2010-09-02 FCIC staff audiotape of interview with D. Keith Johnson, Clayton Holdings LLC
293.  2010-09-02 FCIC staff audiotape of interview with Emory Wayne Rushton, Promonotory Financial Group
294.  2010-09-02 FCIC staff audiotape of interview with Eugene Ludwig, Promotory Financial Group
295.  2010-09-02 FCIC staff audiotape of interview with Roger Ehrnman, Federal Deposit Insurance Corporation
296.  2010-09-02 FCIC staff audiotape of interview with Heath Morrison, Nevada Washoe County School District
297.  2010-09-03 FCIC staff audiotape of interview with Allan Mendelowitz, Federal Housing Finance Board
298.  2010-09-03 FCIC staff audiotape of interview with Tom Wipf, Morgan Stanley (Part 1)
299.  2010-09-03 FCIC staff audiotape of interview with Tom Wipf, Morgan Stanley (Part 2)
300.  2010-09-07 FCIC staff audiotape of interview with Mark Kemp, Countrywide
301.  2010-09-08 FCIC staff audiotape of interview with William (Bill) Black, University of Missouri (Part 1)
302.  2010-09-08 FCIC staff audiotape of interview with William (Bill) Black, University of Missouri (Part 2)
303.  2010-09-09 FCIC staff audiotape of interview with David Belmont, UBS
304.  2010-09-09 FCIC staff audiotape of interview with Dow Kim, Merrill Lynch
305.  2010-09-09 FCIC staff audiotape of interview with Michael Brennan, Federal Deposit Insurance Corporation
306.  2010-09-09 FCIC staff audiotape of interview with Sabeth Siddique, Federal Reserve Board (Part 1)
307.  2010-09-09 FCIC staff audiotape of interview with Sonia Garcia, Federal Deposit Insurance Corporation
308.  2010-09-10 FCIC staff audiotape of interview with Charles Calomiris, Columbia University
309.  2010-09-10 FCIC staff audiotape of interview with Diane Thompson and Margot Saunders, National Consumer Law Center
310.  2010-09-10 FCIC staff audiotape of interview with Vincent Reinhart, Federal Reserve Board
311.  2010-09-13 FCIC staff audiotape of interview with Harry Markowitz, University of California San Diego
312.  2010-09-13 FCIC staff audiotape of interview with Nell Minow, The Corporate Library
313.  2010-09-13 FCIC staff audiotape of interview with Steven Einhorn, Greenlight Capital
314.  2010-09-14 FCIC staff audiotape of interview with Alex Hart and Neil Miller, Maryland Insurance Administration




315.  2010-09-14 FCIC staff audiotape of interview with Bill Berliner, Countrywide
316.  2010-09-14 FCIC staff audiotape of interview with Dror Oppenheimer, Fannie Mae
317.  2010-09-14 FCIC staff audiotape of interview with Greg Coleman, Office of the Comptroller of the Currency
318.  2010-09-14 FCIC staff audiotape of interview with Jeff Kronthal, Merrill Lynch
319.  2010-09-14 FCIC staff audiotape of interview with Michael Raynes, Citigroup
320.  2010-09-14 FCIC staff audiotape of interview with Ray Romano, Freddie Mac
321.  2010-09-15 FCIC staff audiotape of interview with Chris Ricciardi, Merrill Lynch (Part 1)
322.  2010-09-15 FCIC staff audiotape of interview with Chris Ricciardi, Merrill Lynch (Part 2)
323.  2010-09-15 FCIC staff audiotape of interview with Pam Canada, NeighborWorks Home Ownership Center, Sacramento Region
324.  2010-09-15 FCIC staff audiotape of interview with William Buell, JPMorgan Chase
325.  2010-09-16 FCIC staff audiotape of interview with Elizabeth Warren, Congressional Oversight Panel
326.  2010-09-16 FCIC staff audiotape of interview with Julia Gordon and Mike Calhoun, Center for Responsible Lending
327.  2010-09-16 FCIC staff audiotape of interview with Kenneth Feinberg, Department of the Treasury
328.  2010-09-16 FCIC staff audiotape of interview with Stanley O'Neal, Merrill Lynch
329.  2010-09-17 FCIC staff audiotape of interview with Alan Blinder, Princeton University
330.  2010-09-17 FCIC staff audiotape of interview with Edward Gallagher, Florida Miami-Dade Police Department
331.  2010-09-17 FCIC staff audiotape of interview with Ellen Wilcox, Florida Department of Law Enforcement
332.  2010-09-17 FCIC staff audiotape of interview with Harvey Pitt, Securities and Exchange Commission
333.  2010-09-17 FCIC staff audiotape of interview with John Thain, Merrill Lynch
334.  2010-09-17 FCIC staff audiotape of interview with Kevin Bailey, Linda Bridgman and Amrit Sekhon, Office of the Comptroller of the Currency
335.  2010-09-20 FCIC staff audiotape of interview with Larry Lindsey, National Economic Council
336.  2010-09-20 FCIC staff audiotape of interview with Mark Hughes, The Boyhan Group, Inc.
337.  2010-09-20 FCIC staff audiotape of interview with Michael Solomon and Fred Phillips-Patrick, Office of Thrift Supervision
338.  2010-09-20 FCIC staff audiotape of interview with Nicolas Retsinas, Harvard University
339.  2010-09-20 FCIC staff audiotape of interview with Seth Carpenter, Federal Reserve Board
340.  2010-09-21 FCIC staff audiotape of interview with George French and Steve Fritts, Federal Deposit Insurance Corporation
341.  2010-09-21 FCIC staff audiotape of interview with Lou Rampino, Fremont General Credit Corporation
342.  2010-09-21 FCIC staff audiotape of interview with Michael Lamont, Deutsche Bank
343.  2010-09-21 FCIC staff audiotape of interview with Tom Boemio, Federal Reserve Board
344.  2010-09-22 FCIC staff audiotape of interview with Bruce Marks, Neighborhood Assistance Corporation of America (Part 1)
345.  2010-09-22 FCIC staff audiotape of interview with Bruce Marks, Neighborhood Assistance Corporation of America (Part 2)
346.  2010-09-22 FCIC staff audiotape of interview with Robert Shiller, Yale University
347.  2010-09-22 FCIC staff audiotape of with Herb Sandler, Golden West Financial Corporation
348.  2010-09-23 FCIC staff audiotape of interview with David Lynch, Federal Reserve Board (Part 1)
349.  2010-09-23 FCIC staff audiotape of interview with David Lynch, Federal Reserve Board (Part 2)
350.  2010-09-23 FCIC staff audiotape of interview with David Lynch, Federal Reserve Board (Part 3)


















351.  2010-09-23 FCIC staff audiotape of interview with John Stumpf, Wells Fargo
352.  2010-09-23 FCIC staff audiotape of interview with John Taylor, Stanford University (Part 1)
353.  2010-09-23 FCIC staff audiotape of interview with John Taylor, Stanford University (Part 2)
354.  2010-09-23 FCIC staff audiotape of interview with Kyle Hadley, Marc Steckel, Bobby Bean, John Feid and Hugo Zia, Federal Deposit Insurance Corporation
355.  2010-09-24 FCIC staff audiotape of interview with Angelo Mozilo, Countrywide (Part 1)
356.  2010-09-24 FCIC staff audiotape of interview with Angelo Mozilo, Countrywide (Part 2)
357.  2010-09-24 FCIC staff audiotape of interview with Angelo Mozilo, Countrywide (Part 3)
358.  2010-09-24 FCIC staff audiotape of interview with Jim Embersit, Federal Reserve Board
359.  2010-09-24 FCIC staff audiotape of interview with Nellie Liang and Dan Covitz, Federal Reserve Board
360.  2010-09-25 FCIC staff audiotape of interview with Mona Tawatao, Legal Services of Northern California
361.  2010-09-27 FCIC staff audiotape of interview with Andrew Weissmann, Jenner & Block
362.  2010-09-27 FCIC staff audiotape of interview with David Sambol, Countrywide (Part 1)
363.  2010-09-27 FCIC staff audiotape of interview with David Sambol, Countrywide (Part 2)
364.  2010-09-27 FCIC staff audiotape of interview with David Sambol, Countrywide (Part 3)
365.  2010-09-27 FCIC staff audiotape of interview with Sihan Shu, Paulson & Co.
366.  2010-09-28 FCIC staff audiotape of interview with Mel Martinez, Department of Housing and Urban Development
367.  2010-09-28 FCIC staff audiotape of interview with Michael Palumbo and Patrick McCabe, Federal Reserve Board (Part 1)
368.  2010-09-28 FCIC staff audiotape of interview with Michael Palumbo and Patrick McCabe, Federal Reserve Board (Part 2)
369.  2010-09-28 FCIC staff audiotape of interview with Nestor Dominguez, Citigroup (Part 1)
370.  2010-09-28 FCIC staff audiotape of interview with Nestor Dominguez, Citigroup (Part 2)
371.  2010-09-28 FCIC staff audiotape of interview with Veronica Biddle, Caroline Brossard, John McDonough, Anne Marie Mulligan, Mike Percival and John Regan, Port Authority of New Jersey and New York
372.  2010-09-29 FCIC staff audiotape of interview with Donald J. Bisenius, Freddie Mac
373.  2010-09-29 FCIC staff audiotape of interview with John Mongolluzzo, GMAC
374.  2010-09-30 FCIC staff audiotape of interview with Frank San Pedro, Countrywide
375.  2010-09-30 FCIC staff audiotape of interview with Jim Stehli, UBS
376.  2010-09-30 FCIC staff audiotape of interview with Karen Pence, Federal Reserve Board
377.  2010-10-01 FCIC staff audiotape of interview with Arthur Levitt, Securities and Exchange Commission
378.  2010-10-01 FCIC staff audiotape of interview with Frederic Mishkin, Federal Reserve Board
379.  2010-10-04 FCIC staff audiotape of interview with George Soros, Soros Fund Management, LLC
380.  2010-10-04 FCIC staff audiotape of interview with Henry Tabe, Moody's Investors Service
381.  2010-10-04 FCIC staff audiotape of interview with Henry Tabe, Moody's Investors Service (copy)
382.  2010-10-04 FCIC staff audiotape of interview with Mark Olson, Federal Reserve Board
383.  2010-10-04 FCIC staff audiotape of interview with Michael Steinhardt, Steinhardt Management
384.  2010-10-04 FCIC staff audiotape of interview with Sandy Weill, Citigroup
385.  2010-10-05 FCIC staff audiotape of interview with J. Christopher Flowers, J.C. Flowers & Co. LLC
386.  2010-10-05 FCIC staff audiotape of interview with Jim Chanos, Kynikos Associates
387.  2010-10-05 FCIC staff audiotape of interview with Laurence (Larry) D. Fink, BlackRock






























388.  2010-10-06 FCIC staff audiotape of interview with Alphonso Jackson, Department of Housing and Urban Development (Part 1)
389.  2010-10-06 FCIC staff audiotape of interview with Alphonso Jackson, Department of Housing and Urban Development (Part 2)
390.  2010-10-06 FCIC staff audiotape of interview with Paul Krugman, Princeton University
391.  2010-10-06 FCIC staff audiotape of interview with Susan Wachter, University of Pennsylvania
392.  2010-10-07 FCIC staff audiotape of interview with John Snow, Department of the Treasury
393.  2010-10-08 FCIC staff audiotape of interview with Michael Snow, Snow Asset Management
394.  2010-10-08 FCIC staff audiotape of interview with Michael Vardas, Northern Trust
395.  2010-10-08 FCIC staff audiotape of interview with Stanley Sporkin, United States District Court for the District of Columbia
396.  2010-10-11 FCIC staff audiotape of interview with Paul Volcker, Federal Reserve Board
397.  2010-10-11 FCIC staff audiotape of interview with Susan Bies, Federal Reserve Board
398.  2010-10-12 FCIC staff audiotape of interview with Alyssa Katz, Author
399.  2010-10-12 FCIC staff audiotape of interview with Julian Robertson, Tiger Management
400.  2010-10-12 FCIC staff audiotape of interview with Walter Payne, JPMorgan Chase
401.  2010-10-13 FCIC staff audiotape of interview with Henry Cisneros, Department of Housing and Urban Development
402.  2010-10-13 FCIC staff audiotape of interview with Michael Lewis, Author
403.  2010-10-14 FCIC staff audiotape of interview with Kim Shaul, Wisconsin Office of the Commissioner of Insurance
404.  2010-10-14 FCIC staff audiotape of interview with Rachel Steinmetz, Greenpoint Mortgage (Part 1)
405.  2010-10-14 FCIC staff audiotape of interview with Rachel Steinmetz, Greenpoint Mortgage (Part 2)
406.  2010-10-14 FCIC staff audiotape of interview with Richard Breeden, Securities and Exchange Commission
407.  2010-10-14 FCIC staff audiotape of interview with Tony Peterson, Morgan Stanley (Part 1)
408.  2010-10-14 FCIC staff audiotape of interview with Tony Peterson, Morgan Stanley (Part 2)
409.  2010-10-15 FCIC staff audiotape of interview with Bill Dudley, Federal Reserve Bank of New York
410.  2010-10-15 FCIC staff audiotape of interview with David Wong, Morgan Stanley
411.  2010-10-15 FCIC staff audiotape of interview with Prentiss Cox, Minnesota, Office of the State Attorney General
412.  2010-10-15 FCIC staff audiotape of interview with Stephen Friedman, Federal Reserve Bank of New York
413.  2010-10-18 FCIC staff audiotape of interview with Jim Callahan, PentAlpha
414.  2010-10-18 FCIC staff audiotape of interview with Jim Callahan, PentAlpha
415.  2010-10-18 FCIC staff audiotape of interview with Mike Harter, Wells Fargo
416.  2010-10-19 FCIC staff audiotape of interview with David Jones, Federal Reserve Board
417.  2010-10-19 FCIC staff audiotape of interview with Michael Lombardi, Kevin Sigrist and Maureen Hazen, Florida State Board of Administration
418.  2010-10-19 FCIC staff audiotape of interview with Ralph Cioffi, Bear Stearns Asset Management
419.  2010-10-20 FCIC staff audiotape of interview with Jamie Dimon, JPMorgan Chase
420.  2010-10-20 FCIC staff audiotape of interview with Judge Michael Mukasey, United States Attorney General
421.  2010-10-20 FCIC staff audiotape of interview with William Bojan, Integrated Government Solutions
422.  2010-10-21 FCIC staff audiotape of interview with Michael Karp, Option Group


































423.  2010-10-21 FCIC staff audiotape of interview with Viral Acharya, New York University
424.  2010-10-22 FCIC staff audiotape of interview with Donald Kohn, Federal Reserve Board
425.  2010-10-22 FCIC staff audiotape of interview with Jeff Lebowitz, Mortech, LLC
426.  2010-10-22 FCIC staff audiotape of interview with Ken Lewis, Bank of America (Part 1)
427.  2010-10-22 FCIC staff audiotape of interview with Ken Lewis, Bank of America (Part 2)
428.  2010-10-22 FCIC staff audiotape of interview with Mark Adelson, Standard & Poor's
429.  2010-10-25 FCIC staff audiotape of interview with Ruhi Maker, Empire Justice Center
430.  2010-10-25 FCIC staff audiotape of interview with Sabeth Siddique, Federal Reserve Board (Part 2, continued from 2010-09-09 interview)
431.  2010-10-27 FCIC staff audiotape of interview with Greg Mankiw, Harvard University
432.  2010-10-27 FCIC staff audiotape of interview with John Taylor and Josh Silver, National Community Reinvestment Coalition (Part 1)
433.  2010-10-27 FCIC staff audiotape of interview with John Taylor and Josh Silver, National Community Reinvestment Coalition (Part 2)
434.  2010-10-27 FCIC staff audiotape of interview with Mark Oman, Wells Fargo (Part 1)
435.  2010-10-27 FCIC staff audiotape of interview with Mark Oman, Wells Fargo (Part 2)
436.  2010-10-28 FCIC staff audiotape of interview with Adam Levitin, Georgetown University
437.  2010-10-28 FCIC staff audiotape of interview with Gillian Tett, Financial Times
438.  2010-10-28 FCIC staff audiotape of interview with John Paulson, Paulson & Co.
439.  2010-10-28 FCIC staff audiotape of interview with Kevin M. Warsh, Federal Reserve Board
440.  2010-10-28 FCIC staff audiotape of interview with Kevin M. Warsh, Federal Reserve Board
441.  2010-10-29 FCIC staff audiotape of interview with Andrew Davidson, Andrew Davidson & Co.
442.  2010-10-29 FCIC staff audiotape of interview with Bill Gross, PIMCO
443.  2010-10-29 FCIC staff audiotape of interview with Jeff Lane, Curt Culver, Steven Thompson, Kathleen Valenti and Patrick Sinks, Mortgage Guaranty Insurance Company
444.  2010-11-01 FCIC staff audiotape of interview with Alberto Gonzales, United States Attorney General
445.  2010-11-02 FCIC staff audiotape of interview with David Grais, Grais & Ellsworth LLP
446.  2010-11-02 FCIC staff audiotape of interview with John Mack, Morgan Stanley
447.  2010-11-02 FCIC staff audiotape of interview with Katherine Porter, University of Iowa
448.  2010-11-02 FCIC staff audiotape of interview with Neel Kashkari, Department of the Treasury
449.  2010-11-05 FCIC staff audiotape of interview with Lawrence McDonald, McDonald Advisory Group (Part 1)
450.  2010-11-05 FCIC staff audiotape of interview with Lawrence McDonald, McDonald Advisory Group (Part 2)
451.  2010-11-05 FCIC staff audiotape of interview with Talcott (Tal) Franklin, Talcott Franklin, P.C.
452.  2010-11-08 FCIC staff audiotape of interview with Felix Salmon, Reuters
453.  2010-11-08 FCIC staff audiotape of interview with Jim Rokakis, Ohio Cuyahoga County (Part 1)
454.  2010-11-08 FCIC staff audiotape of interview with Jim Rokakis, Ohio Cuyahoga County (Part 2)
455.  2010-11-09 FCIC staff audiotape of interview with David Einhorn, Greenlight Capital
456.  2010-11-09 FCIC staff audiotape of interview with David Smith, CitiMortgage
457.  2010-11-09 FCIC staff audiotape of interview with George Cooper, BlueCrest Capital Management
458.  2010-11-09 FCIC staff audiotape of interview with Laurie Goodman, Amherst Securities Group
459.  2010-11-10 FCIC staff audiotape of interview with Ed Lazear, Council of Economic Advisors
460.  2010-11-10 FCIC staff audiotape of interview with Yves Smith, Aurora Advisors
461.  2010-11-11 FCIC staff audiotape of interview of Wing Chau, Harding Advisory LLC
462.  2010-11-12 FCIC staff audiotape of interview with Bethany McLean, Author
463.  2010-11-15 FCIC staff audiotape of interview with Janet Yellen, Federal Reserve Board






































- 464.  2010-11-15 FCIC staff audiotape of interview with Nouriel Roubini, New York University
- 465.  2010-11-15 FCIC staff audiotape of interview with Robert Diamond, Barclays
- 466.  2010-11-15 FCIC staff audiotape of interview with Robert Willumstad, American International Group, Inc.
- 467.  2010-11-16 FCIC staff audiotape of interview with James Surowiecki, The New Yorker
- 468.  2010-11-17 FCIC staff audiotape of interview with Marc Savitt, National Association of Independent Housing Professionals
- 469.  2010-11-18 FCIC staff audiotape of interview with Sujit CanagaRetna, Council of State Governments
- 470.  2010-11-18 FCIC staff audiotape of interview with Thomas Adams, Paykin Krieg & Adams LLP
- 471.  2010-11-19 FCIC staff audiotape of interview with Brian Valenti, Federal Reserve Board (Part 1)
- 472.  2010-11-19 FCIC staff audiotape of interview with Brian Valenti, Federal Reserve Board (Part 2)
- 473.  2010-11-19 FCIC staff audiotape of interview with David Faber, CNBC
- 474.  2010-11-19 FCIC staff audiotape of interview with William (Bill) Isaac, LECCG
- 475.  2010-11-22 FCIC staff audiotape of interview with Raghuram Rajan, University of Chicago
- 476.  2010-11-23 FCIC staff audiotape of interview with Michael Loughlin, Wells Fargo
- 477.  2010-11-24 FCIC staff audiotape of interview with William Apgar, Department of Housing and Urban Development
- 478.  2010-11-29 FCIC staff audiotape of interview with Roger Lowenstein, Author
- 479.  2010-11-30 FCIC staff audiotape of interview with Lord Adair Turner, Financial Services Authority (UK)
- 480.  2010-12-06 FCIC staff audiotape of interview with Steve Jackson, Office of the Comptroller of the Currency
- 481.  2010-12-14 FCIC staff audiotape of interview with Robert Mueller, Federal Bureau of Investigation
- 482.  2010-12-17 FCIC staff audiotape of interview with Andrew Cuomo, Department of Housing and Urban Development
- 483.  2010-12-20 FCIC staff audiotape of interview with Dawn Hunt









B.  MFRs

- 1.  Batch 1 -- MFRs
  - a.  2010-03-04 FCIC memo of staff interview with Thomas Lund, Fannie Mae
  - b.  2010-03-08 FCIC memo of staff interview with Chris Swecker, Federal Bureau of Investigation and Bank of America
  - c.  2010-03-18 FCIC memo of staff interview with Ellen (Bebe) Duke, Citigroup
  - d.  2010-03-25 FCIC memo of staff interview with Bob Gnaizda, Orson Aguilar, Chris Vaeth, Greenlining Institute
  - e.  2010-03-26 FCIC memo of staff interview with Dan Mudd, Fannie Mae
  - f.  2010-03-31 FCIC memo of staff interview with Alan Greenspan Interview, Federal Reserve Board
  - g.  2010-04-02 FCIC memo of staff interview with Henry Paulson, U.S. Treasury
  - h.  2010-04-23 FCIC memo of staff interview with Joseph St. Denis, American International Group, Inc.
  - i.  2010-06-08 FCIC memo of staff interview with D. Keith Johnson, Clayton Holdings LLC
  - j.  2010-06-11 FCIC memo of staff interview with William H. Brewster, Fannie Mae
- 2.  Batch 2 -- MFRs
  - a.  2010-02-24 FCIC memo of staff interview with Office of Compliance Inspections and Examinations team, SEC
  - b.  2010-03-05 FCIC memo of staff interview with Kenneth Bacon, Fannie Mae
  - c.  2010-03-15 FCIC memo of staff interview with Lisa Arquette, Robert Burns, Patricia Colohan, Diane Ellis, Christopher Spoth, John Thomas, FDIC





- d.  2010-03-18 FCIC memo of staff interview with Ed DeMarco, Federal Housing Finance Agency
- e.  2010-03-18 FCIC memo of staff interview with Mike Macchiaroli, Securities and Exchange Commission
- f.  2010-03-19 FCIC memo of staff interview with James Lockhart, Federal Housing Finance Agency
- g.  2010-03-19 FCIC memo of staff interview with Scott Smith, John Kerr, Steve Corona, Chris Dickerson, Federal Housing Finance Agency
- h.  2010-04-01 FCIC memo of staff interview with Annette Nazareth, Securities and Exchange Commission
- i.  2010-04-01 FCIC memo of staff interview with Eric Sirri, Securities and Exchange Commission
- j.  2010-04-30 FCIC memo of staff interview with James Mahoney, Federal Reserve Bank of New York
- k.  2010-04-30 FCIC memo of staff interview with Michael Alix, Bear Stearns
- l.  2010-04-30 FCIC memo of staff interview with Sarah Dahlgren, New York Fed
- 3.  Send or not
  - a.  2010-03-04 FCIC memo of staff interview with Nancy Fryer, Scott Goebel, Norm Lind, Kevin Mahar, Fidelity Investments
- 4.  2010-02-01 FCIC memo of staff interview with Art Angulo, Federal Reserve Bank of New York
- 5.  2010-02-01 FCIC memo of staff interview with Mike Halloran, Securities and Exchange Commission
- 6.  2010-02-02 FCIC memo of staff interview with Janice Warne, Citigroup
- 7.  2010-02-02 FCIC memo of staff interview with William Arnold, Citigroup Alternative Investments
- 8.  2010-02-03 FCIC memo of staff interview with Ed Abufaris and Tony Tenore, CitiMortgage
- 9.  2010-02-03 FCIC memo of staff interview with James Ryan and John Schachtel, CitiFinancial
- 10.  2010-02-03 FCIC memo of staff interview with Susan Mills, Citigroup
- 11.  2010-02-15 FCIC memo of staff interview with Mark Cahn, Securities and Exchange Commission
- 12.  2010-02-17 FCIC memo of staff interview with Matt Eichner, Securities and Exchange Commission
- 13.  2010-02-19 FCIC memo of staff interview with Janice Kuhl, Paul Manchester, Mike Price, Charlotte Reid, Kevin Sheehan, Federal Housing Finance Agency
- 14.  2010-02-19 FCIC memo of staff interview with Kevin Bailey, Susan Eckert, Kristina Whittaker, Office of the Comptroller of the Currency
- 15.  2010-02-23 FCIC memo of staff interview with Timothy Clark, Scott Alvarez, Federal Reserve Board
- 16.  2010-02-24 FCIC memo of staff interview with Dan Chaudoin, Securities and Exchange Commission
- 17.  2010-02-24 FCIC memo of staff interview with Doug Scheidt, Bob Plaze, Securities and Exchange Commission
- 18.  2010-02-24 FCIC memo of staff interview with Office of Compliance Inspections and Examinations team, SEC
- 19.  2010-02-25 FCIC memo of staff interview with Jonathan Jachym, Bradley Fox, Thomas Deas, Jeff Agosta, Jeff Overman, Mark Lovejoy, U.S. Chamber of Commerce
- 20.  2010-02-25 FCIC memo of staff interview with Lloyd Brown, Citigroup
- 21.  2010-03-02 FCIC memo of staff interview with Murray Barnes, Citigroup
- 22.  2010-03-02 FCIC memo of staff interview with Nestor Dominguez, Citigroup
- 23.  2010-03-03 FCIC memo of staff interview with Irma Aninger, Clayton Holdings LLC
- 24.  2010-03-04 FCIC memo of staff interview with Nancy Fryer, Scott Goebel, Norm Lind, Kevin Mahar, Fidelity Investments

25.  2010-03-04 FCIC memo of staff interview with Sandy O'Connor, JPMorgan Chase
26.  2010-03-04 FCIC memo of staff interview with Thomas Lund, Fannie Mae
27.  2010-03-05 FCIC memo of staff interview with Kenneth Bacon, Fannie Mae
28.  2010-03-08 FCIC memo of staff interview with Chris Swecker, Federal Bureau of Investigation and Bank of America
29.  2010-03-11 FCIC memo of staff interview with David Kotz, Pete Kyle, Securities and Exchange Commission
30.  2010-03-11 FCIC memo of staff interview with John Weicher, Hudson Institute
31.  2010-03-12 FCIC memo of staff interview with David Nason, Jeremiah Norton, Tony Ryan, Department of the Treasury
32.  2010-03-15 FCIC memo of staff interview with Lisa Arquette, Robert Burns, Patricia Colohan, Diane Ellis, Christopher Spoth, John Thomas, Federal Deposit Insurance Corporation
33.  2010-03-16 FCIC memo of staff interview with Enrico Dallavecchia, Fannie Mae
34.  2010-03-17 REVIEWED Robert Levin, Fannie Mae
35.  2010-03-18 FCIC memo of staff interview with Ed DeMarco, FHFA
36.  2010-03-18 FCIC memo of staff interview with Ellen (Bebe) Duke, Citigroup
37.  2010-03-18 FCIC memo of staff interview with Mike Macchiaroli, Securities and Exchange Commission
38.  2010-03-19 FCIC memo of staff interview with James Lockhart, FHFA
39.  2010-03-19 FCIC memo of staff interview with Robin Auerbach, New Century Financial Corporation
40.  2010-03-19 FCIC memo of staff interview with Scott Smith, John Kerr, Steve Corona, Chris Dickerson, FHFA
41.  2010-03-23 FCIC memo of staff interview with Rubin Mark, Citigroup
42.  2010-03-24 FCIC memo of staff interview with William McKay, New Century Financial Corporation
43.  2010-03-25 FCIC memo of staff interview with Bob Gnaizda, Orson Aguilar, Chris Vaeth, Greenlining Institute
44.  2010-03-25 FCIC memo of staff interview with Kathy Birch, Mike Luciano, Dan Bruch, Ryan Perry, Reserve Primary Fund
45.  2010-03-26 FCIC memo of staff interview with Dan Mudd, Fannie Mae
46.  2010-03-26 FCIC memo of staff interview with Dan Sokolov, Department of the Treasury and Federal Reserve Board
47.  2010-03-26 FCIC memo of staff interview with Dan Sokolov, Department of the Treasury and Federal Reserve Board
48.  2010-03-29 FCIC memo of staff interview with Glenn Canner, Federal Reserve Board
49.  2010-03-31 FCIC memo of staff interview with Alan Greenspan Interview, Federal Reserve Board
50.  2010-03-31 FCIC memo of staff interview with Stephen Ashley, Fannie Mae
51.  2010-04-01 FCIC memo of staff interview with Annette Nazareth, Securities and Exchange Commission
52.  2010-04-01 FCIC memo of staff interview with Eric Sirri, Securities and Exchange Commission--gf
53.  2010-04-01 FCIC memo of staff interview with Herbert Allison, Fannie Mae
54.  2010-04-06 FCIC memo of staff interview with Dan Chaudoin, Bruce Karpati, Stephanie Shuler, Securities and Exchange Commission
55.  2010-04-09 FCIC memo of staff interview with Matt Eichner, Securities and Exchange Commission
56.  2010-04-09 FCIC memo of staff interview with Tom LaMalfa and Ed Pinto
57.  2010-04-12 FCIC memo of staff interview with Robert A.G. Monks

58.  2010-04-13 FCIC memo of staff interview with Robert Upton, Bear Stearns
59.  2010-04-19 FCIC memo of staff interview with Mike Macchiaroli, Securities and Exchange Commission
60.  2010-04-20 FCIC memo of staff interview with Ira Wagner, Bear Stearns
61.  2010-04-20 FCIC memo of staff interview with Josh Anderson, PIMCO
62.  2010-04-20 FCIC memo of staff interview with Theo Lubke, Federal Reserve Bank of New York
63.  2010-04-22 FCIC memo of staff interview with Steve Eisman, FrontPoint Partners
64.  2010-04-22 FCIC memo of staff interview with Steve Meyer, Bear Stearns
65.  2010-04-23 FCIC memo of staff interview with Alan Schwartz, Bear Stearns
66.  2010-04-23 FCIC memo of staff interview with Joseph St. Denis, American International Group, Inc.
67.  2010-04-23 FCIC memo of staff interview with Mark Froeba, Gene Phillips, Moody's Investors Service
68.  2010-04-23 FCIC memo of staff interview with William Ackman, Pershing Square Capital
69.  2010-04-26 FCIC memo of staff interview with David Kotz, Securities and Exchange Commission
70.  2010-04-26 FCIC memo of staff interview with William Cohan, New York Times
71.  2010-04-27 FCIC memo of staff interview with Howie Rubin, Bear Stearns
72.  2010-04-29 FCIC memo of staff interview with William Donaldson, Securities and Exchange Commission
73.  2010-04-30 FCIC memo of staff interview with Sarah Dahlgren, New York Fed
74.  2010-04-30 FCIC memo of staff interview with J. Kyle Bass, Hayman Advisors LP
75.  2010-04-30 FCIC memo of staff interview with J. Kyle Bass, Hayman Advisors LP
76.  2010-04-30 FCIC memo of staff interview with James Mahoney, Federal Reserve Bank of New York
77.  2010-04-30 FCIC memo of staff interview with Mabel Yu, Vanguard Investments
78.  2010-04-30 FCIC memo of staff interview with Michael Alix, Bear Stearns
79.  2010-04-30 FCIC memo of staff interview with Thomas Baxter, Federal Reserve Bank of New York
80.  2010-05-10 FCIC memo of staff interview with Don Nicolaisen, Securities and Exchange Commission
81.  2010-05-11 FCIC memo of staff interview with Richard Bookstaber, Securities and Exchange Commission
82.  2010-05-11 FCIC memo of staff interview with Richard Bookstaber, Securities and Exchange Commission
83.  2010-05-13 FCIC memo of staff interview with Henry Hu, Securities and Exchange Commission
84.  2010-05-20 FCIC memo of staff interview with Mark Van Der Weide, Federal Reserve Board
85.  2010-05-20 FCIC memo of staff interview with Mark Van Der Weide, Federal Reserve Board
86.  2010-06-08 FCIC memo of staff interview with D. Keith Johnson, Clayton Holdings LLC
87.  2010-06-08 FCIC memo of staff interview with D. Keith Johnson, Clayton Holdings LLC--MH needs to fix
88.  2010-06-11 FCIC memo of staff interview with William H. Brewster, Fannie Mae
89.  2010-06-16 FCIC memo of staff interview with Mark Paltrowitz, BlackRock
90.  2010-06-17 FCIC memo of staff interview with Chris Swecker, Federal Bureau of Investigation and Bank of America
91.  2010-07-08 FCIC memo of staff interview with Charles Cain, Securities and Exchange Commission
92.  2010-07-15 FCIC memo of staff interview with Denis Manelski, Bank of America
93.  2010-07-26 FCIC memo of staff interview with James Grant, Grant's Publishing
94.  2010-07-30 FCIC memo of staff interview with William Cohan, New York Times

95.  2010-08-17 FCIC memo of staff interview with Owen Littman, Ramius
96.  2010-08-19 FCIC memo of staff interview with Paula Dubberly, Katherine Hsu, Securities and Exchange Commission
97.  2010-08-26 FCIC memo of staff interview with David Nason, Jeremiah Norton, Tony Ryan, Department of the Treasury
98.  2010-08-27 FCIC memo of staff interview with Chris Long, Tom Masek, Scott Plummer, Riversource Cash Management Fund
99.  2010-10-01 FCIC memo of staff interview with Shelley Parratt, Paula Dubberly, Securities and Exchange Commission
100.  2010-10-05 FCIC memo of staff interview with Lloyd Fass, Vertical Capital
101.  2010-10-22 FCIC memo of staff interview with Mark Adelson, Brian Markley, Standard & Poor's
102.  MFR\_Michael Smilow

C.  Transcripts

1.  2010-03-10 transcript of FCIC staff interview with Thomas Maheras, Citigroup
2.  2010-03-11 transcript of FCIC staff interview with Robert Rubin, Citigroup
3.  2010-03-17 transcript of FCIC staff interview with Charles Prince, Citigroup
4.  2010-04-01 transcript of FCIC staff interview with David Bushnell, Citigroup

D.  Videos

III.  Ranieri



## Research Library


NAID: 24823590

Extent: 852 data files

This series contains primarily public reference materials used by the research team.

File list

# NetDocuments Document Report

 Research Library - 3/1/2011 9:54:36 AM

- I.  Articles and Reports
  - A.  MBA National Delinquency Survey
    - 1.  2007 Q4 MBA NDS
    - 2.  MBA- 2010 Q2 Foreclosure Data
    - 3.  NDS\_Q210
    - 4.  Q1 2010 MBA NDS
    - 5.  Q2 2008 MBA NDS
    - 6.  Q210 NDS Press Release
    - 7.  Q210 Press Packet
    - 8.  Q310 Excel Format Distribution
    - 9.  Q310 NDS 11\_18\_10
    - 10.  Q310 NDS Press Packet 11\_18\_10
    - 11.  Q310 NDS Press Release 11\_18\_10
  - B.  5242 -- CC May 2008 press release
  - C.  5248 -- June 2008 CCI Press Release
  - D.  ABC Gomstyn - Weak Links Who Will be Next 6 Bailouts - 4 Jan 2010
  - E.  Acorn's Nutty Regime For Cities\_City Journal\_Spring 2003
  - F.  Adelson - Bond Rating Confusion - 29 June 2006
  - G.  Adelson Jacob - Risk Management Lessons from the Sub-prime Problem - 4 Mar 2008
  - H.  Adelson Jacob - The Subprime Problem Causes and Lessons - 8 Jan 2008
  - I.  American Banker - Haircut Amendment - 2 Dec 2009
  - J.  American Banker Ely - Five Myths About Shape of Deposit Insurance - 5 Sep 2008
  - K.  American Banker Ely - Regulators Need to Minimize Costly Failures - 7 Mar 2008
  - L.  American Banker Ely - The Farm Credit System - Nov 2009
  - M.  American Banker Ely - Unleashing Covered Bonds in the US - 13 Jun 2008
  - N.  American Bar Association - Causes and Contributing Circumstances of the Crisis - Sep 2009
  - O.  American Bar Association - Recommendations regarding bank support for affiliated funds
  - P.  American Bar Association - Recommendations Regarding Procyclical Accounting - 20 Jan 2010
  - Q.  American Bar Association - Recommendations Regarding Systemic Resolution - 21 Dec 2009
  - R.  American Bar Association - Recommendations to Mitigate Consumer Lending Risks - 20 Jan 2010
  - S.  American Bar Association - The Financial Crisis of 2007 to 2009 Final Version - 12 Jan 2010
  - T.  AP - FDIC Looks for Bank Fraud Red Flags - 4 Feb 2000
  - U.  AP Kuhnhenh - FCIC Set to Quiz Top Bankers - 8 Jan 2010
  - V.  Atlantic Davy - The Shadow Banking System that Operated in Broad Daylight Part 1 - 17 Jun 2009
  - W.  Baily Johnson Scott Stiglitz Taylor Zingales - FCIC Roundtable - 20 Oct 2009
  - X.  Bank Director Ely - Outlook for FDIC Deposit Insurance Fund - Q4 2009
  - Y.  Barrett - Andrew Cuomo and Fannie and Freddie - 5 Aug 2008
  - Z.  Barth Li Lu Phumiwasana Yago - The Rise and Fall of the US Mortgage and Credit Markets - Jan 2009
  - AA.  Behing AIG's Fall, Risk Models Failed to Pass Real-World Test\_Wall Street Journal\_October 31, 2008
  - AB.  Bernanke - Monetary Policy and the Housing Bubble - 3 Jan 2010
  - AC.  Binder Nann Omstein Reynolds - Assessing the 110th Congress Anticipating the 111th - Jan 2009
  - AD.  BIS - Ratings in Structured Finance What Went Wrong - July 2008
  - AE.  Bloomberg - Goldman Sachs Trading Shouldnt Be Backed by Taxpayers - 12 Dec 2009
  - AF.  Bloomberg - US to Lose \$400 on Fannie and Freddie Wallison Says - 31 Dec 2009








- AG.  Bloomberg Kopecki - Mortgage Rate Anxieties Mean Limbo for Fannie and Freddie - 28 Dec 2009
- AH.  BNA Pollard - Banking Report - Nov 2008
- AI.  Business Insider Baker - The Lay of the Land - 3 Feb 2010
- AJ.  Businessweek - Principal Cuts on Lender Menus as Foreclosures Rise - 7 Jan 2010
- AK.  BW - Leising Harrington - Banks Should Make Swap Prices Transparent Fed Says - 7 Jan 2010
- AL.  Calomiris - How to Fix Resolution of Nonbank Financial Institutions
- AM.  Calomiris - Rules of the Game - Nov 2009
- AN.  Cambridge Date - Banks Broker Dealers and the Volcker Rule - 27 Jan 2010
- AO.  Cambridge Winter Date - Industrial Loan Companies and Shadow Banking - 10 August 2009
- AP.  Cato Ely - Bad Rules Produce Bad Outcomes - Winter 2009
- AQ.  Celinda - Americans Views of Reforming the Financial Sector - July 2009
- AR.  CFA Institute - Dodd Bill Proposed Agency for Financial Stability
- AS.  CFA Institute - Proposed Changes to Credit Rating Agency Oversight
- AT.  CFA Institute - Upgrades to Wall Street Reform and Consumer Protection Act of 2009 - 4 Jan 2010
- AU.  Citigroup Saw No Red Flags Even as it Made Bolder Bets\_NY Times\_November 23, 2008
- AV.  CNN Frieden - FBI Warns of Mortgage Fraud - 17 Sep 2004
- AW.  Cole Feldberg Lynch - Hedge Funds Credit Risk Transfer and Financial Stability - Apr 2007
- AX.  Columbia Starkman - Power Problem - May 2009
- AY.  Columbia Starkman Dean - Power Problem - May 2009
- AZ.  Conference Board-CCI-TimeSeries
- BA.  Congressional Research Service - Executive Compensation SEC Congressional Proposals - 7 Dec 2009
- BB.  Congressional Research Service - Systemic Risk and the Federal Reserve - 28 Oct 2009
- BC.  Corporate Library Hodgson - What Really Happened to CEO Pay in 2002 - 1 Jun 2003
- BD.  CRS Kosar - GSEs an Institutional Overview - 23 Apr 2007
- BE.  Cuomo Announces Action to Provide \$2.4 Trillion in Mortgages for Affordable Housing\_HUD\_July 1999
- BF.  Das - Derivatives Tango A Regulation Dance - 2010
- BG.  Davis - House Prices Mortgages Wall Street Monetary Fiscal Policy Less than 45 Minutes - 1 Jul 2009
- BH.  Did Securitization Lead to Lax Screening-Evidence from Subprime Loans\_European Finance Association 2
- BI.  DJ Hughes - FCIC Will Use Subpoena Power if Needed - 2 Feb 2010
- BJ.  Dokko Doyle Kiley Kim Sherlund Sim Heuvel - Monetary Policy and the Housing Bubble - 22 Dec 2009
- BK.  Dudley - Federal Reserve Liquidity Facilities - 22 Apr 2009
- BL.  Duffie Li Lubke - Policy Perspectives on OTC-Derivatives Mkts Infrastructure - Jan 2010
- BM.  Duhigg NY Times - Pressured to Take More Risk Fannie Reached Tipping Point - 4 Oct 2008
- BN.  Economist-Leader-After the Fall
- BO.  Elliott Martin - Telling the Narrative of the Financial Crisis Not Just a Housing Bubble - 20 Oct 20
- BP.  Ex-SEC Official Blames Agency for Blow-Up of Broker Dealers\_New York Sun\_September 18, 2008
- BQ.  Fannie Mae - 10Q -10 Nov 2008
- BR.  Fannie Mae - Single Family MBS Prospectus - 1 Jun 2007
- BS.  Fannie Mae - Single Family MBS Prospectus - 1 Jun 2007
- BT.  Fannie Mae Eases Credit To Aid Mortgage Lending\_NY Times\_September 1999
- BU.  Fannie's Perilous Pursuit of Subprime Loans\_Washington Post\_August 19, 2008
- BV.  FannieMae - Single Family Guaranty Business - 27 Jun 2005
- BW.  FBI - Mortgage Fraud Report 2008
- BX.  Federal Reserve Bank of Boston\_Closing the Gap- A Guide to Equal Opportunity Lending\_April 1993
- BY.  FHA-Backed Loans-The New Subprime\_Business Week\_November 19, 2008

- BZ.  FHFA - Report to Congress - 2008
- CA.  FHFA - Report to Congress - Fannie Mae Mortgage Purchases - 2008
- CB.  FHFA Lockhart - FHFA's First Anniversary and Challenges Ahead - 30 Jul 2009
- CC.  Financial Times Meyer - CFTC Head Blames OTC Derivatives for Crisis - 6 Jan 2010
- CD.  Financial Times Roubini - Shadow Banking System Is Unraveling - 21 Sep 2008
- CE.  FINRA - Financial Capability in the United States - Dec 2009
- CF.  Fleming Hrug Keane - The Term Securities Lending Facility Origin Design and Effects - Feb 2009
- CG.  FRB Bernanke - Reflections on a Year of Crisis - 21 Aug 2009
- CH.  FRB Bernanke - The Future of Mortgage Finance in the US - 31 Oct 2008
- CI.  FRB Cleveland MacGee - Why Didn't Canada Housing Market Go Bust - Sep 2009
- CJ.  FRBNY Adrian Burke McAndrews - Federal Reserve Primary Dealer Credit Facility - Aug 2009
- CK.  FRBNY Adrian Shin - Liquidity, Monetary Policy, and Financial Cycles - Jan 2008
- CL.  FRBNY Dudley - More Lessons from the Crisis - 13 Nov 2009
- CM.  Freddie Mac - 2007 Housing Activities Report - 14 Mar 2008
- CN.  FSA - The Turner Review - Mar 2009
- CO.  GAO - Congressional Report on Revising Fannie Mae and Freddie Mac Structures - Sep 2009
- CP.  GAO - Fannie and Freddie Analysis of Potions for Revising the Housing Interprises - Sep 2009
- CQ.  GAO Report - Loan Performance and Negative Home Equity in the Nonprime Mortgage Market - 16 Dec 2009
- CR.  GAO Williams - Review of Regulators Oversight of Risk Management Systems at Large - 18 Mar 2009
- CS.  GlobeSt Defaults Reach 16-year High
- CT.  Gorton - The Subprime Panic - 30 Sep 2008
- CU.  Hanson - Millions More At Risk of Default than Most Think - 6 Dec 2009
- CV.  Hart Zingales - How to Avoid a New Financial Crisis - Nov 2009
- CW.  Harvard Rose Sesia - What Happened at Citigroup - 20 Jul 2009
- CX.  Hilzenrath - Fannie's Perilous Pursuit of Subprime Loans - 19 Aug 2008
- CY.  How to Save the Financial System\_Wall Street Journal\_September 19, 2008
- CZ.  HUD - Report to Congress on Root Causes of the Foreclosure Crisis - Jan 2010
- DA.  HUD - Subprime Markets Role of GSEs Risk Based Pricing - Mar 2002
- DB.  Hutchinson - Bailout Could Cost Taxpayers Thirty Times more than Reported - 5 Feb 2010
- DC.  IBM - Strengthening Government's Ability to Deal with the Crisis - 2009
- DD.  Implications of the New Fannie Mae and Freddie Mac Risk-Based Capital Standard\_Fannie Mae Papers\_Mar
- DE.  IOSCO - Report on the Activities of Credit Rating Agencies - Sep 2003
- DF.  IOSCO - Report on the Activities of Credit Rating Agencies - Sep 2003
- DG.  Jaffee - The Future Role of Fannie Mae and Freddie Mac in the Mortgage Market - 18 Dec 2009
- DH.  JPMorgan Cannella Loeyes - How Will the Crisis Change Markets - 14 Apr 2008
- DI.  Kane Herring - Rating Agencies How Regulation Might Help - 2 Feb 2010
- DJ.  Keefe Bruyette Woods - KBW Government Intervention Guide - 21 Dec 2009
- DK.  Kroszner - Creating More Effective Consumer Disclosures - 23 May 2007
- DL.  Las Vegas Sun Murren - Uncovering the Nations Economic Crisis - 31 Jan 2010
- DM.  LAT - Rutten - A Sanpshot of Income Disparity - 24 Feb 2010
- DN.  Laursen - Fair Value Accounting for Banking Industry and Regulators - May 2007
- DO.  Leonnig, Carol\_How HUD Mortgage Policy Fed the Crisis\_ Washington Post\_June 10, 2008
- DP.  Liu - The repo time bomb - 29 Sep 2005
- DQ.  Liu - The Repo Time Bomb Redux - 5 Dec 2009
- DR.  Lockhart, James \_Reforming the Regulation of the Government Sponsored Enterprises\_Statement before t

- DS.  Lowenstein NYTimes - Triple-A Failure - 27 Apr 2008
- DT.  Lucas - CPDO Primer - 1 Feb 2007
- DU.  MacGee - Why Didn't Canada's Housing Market Go Bust Economic Commentary Fed Reserve Bank - Sep 2009
- DV.  Martin Johnson Scott Stiglitz Taylor Zingales - FCIC Roundtable - 20 Oct 2009
- DW.  Mason - Essential Elements of BHC Resolution Reform Do Not Require New Bankruptcy Code - 2010
- DX.  Medley Global Advisors - Policy Monitor - 17 Nov 2006
- DY.  Medley Global Advisors\_Rosner - Reports on Housing and Mortgage Finance - 6 Oct 2008
- DZ.  Meyer Bomfim - Duling Taylor Rules Bernanke Weighs In - 7 Jan 2010
- EA.  Meyer Bomfim - Monetary Policy Insights Duling Taylors Rules Bernanke Weighs In - 2 Jan 2010
- EB.  Milken Institute Barth Li Lu Phumiwasana Yago - Rise and Fall of US Mortgage and Credit - Jan 2009
- EC.  Monks - Corporate Governance Past Present and Future - Feb 2010
- ED.  Moody's Downgrades 691 Mortgage-Backed Securities\_Market-Watch.com\_August 16, 2007
- EE.  Moodys MBIA release jan 17 2008
- EF.  Moody's Pozsar - Rise and Fall of the Shadow Banking System - Jul 2008
- EG.  Morrison Foerster - Timeline 101 Events that Rocked the World - 5 Jan 2009
- EH.  Mortgage Bankers Association - 2010 Agenda - 12 Jan 2010
- EI.  Mortgage Bankers Association - 8th Mortgage Fraud Case Report - April 2006
- EJ.  Mortgage Bankers Association - Economic Forecast - 12 Jan 2010
- EK.  Moss - Bank Failures and Suspensions in the US 1864 to 2008 - 14 Dec 2009
- EL.  Moss Reinhart - Historical Lessons from Previous Financial Crises - 16 Nov 2009
- EM.  Nasiripour - Senators Target Wall Street with Golman Sachs in Mind - 10 Mar 2010
- EN.  National Journal Rauch - The Real Cause of the Crash - 12 Sep 2009
- EO.  National Mortgage News\_Fannie to Boost Subprime Activities\_March 2000
- EP.  NCRC - Community Reinvestment Modernization Act of 2009
- EQ.  NCRC - CRA Commitments - Sep 2007
- ER.  NCRC - CRA Sunshine Report - Sep 2002
- ES.  NCRC - CRA Sunshine Reveals Benefits of Bank Community Group Partnerships - Sep 2002
- ET.  NCRC - Credit Unions True to Their Mission Part II - 2009
- EU.  NCRC - Discrimination Unequal Access by Race and Age - 2003
- EV.  NCRC - Fact Sheet on CRA loan performance
- EW.  NCRC - Harvard Study CRA Bolsters Lending -
- EX.  NCRC - Interpretation of Bostic and Robinson CRA Agreements
- EY.  NCRC - NCRC Urges Enactment of CRA Modernization - 26 Mar 2002
- EZ.  NCRC - Overview of Lending Trends 1993 to 2002
- FA.  NCRC - Why CRA Enforcement Belongs Under CFPA
- FB.  NCRC Laderman - Summary of CRA Lending During the Subprime Meltdown - 19 Mar 2009
- FC.  NCRC NCNW - Income is No Shield Part 3 Racial and Gender Disparities in Mortgage Lending - 2009
- FD.  NCRC Taylor Silver - CRA Wealth Building and Finishing the Job
- FE.  New Yorker Cassidy - Assorted Interviews with Chicago School Economists - Jan 2010
- FF.  New Yorker Singer - The Optimist Is Ace Greenberg the Sanest Man on Wall Street - 26 Apr 1999
- FG.  Newsweek Hirsh - Greenspans Folly - 17 Sep 2008
- FH.  Nomura Adelson - Bond Rating Confusion - 29 Jun 2006
- FI.  NPR - SEC Just Now Seeking Key Information on Meltdown - 16 Dec 2009
- FJ.  NY Fed - Second Chances Subprime Mortgage Modification and Re-Default - Dec 2009
- FK.  NY Times - Krugman - Bubbles and the Banks - 8 Jan 2010
- FL.  NY Times - Walsh - An investigator Presses to Uncover Bailout Abuse - 26 Jan 2010

FM.  NY Times BAI - The Brain Mistrust - 21 Feb 2010  
 FN.  NY Times Cohan - Mystery Men of the Financial Crisis - 4 Feb 2010  
 FO.  NY Times Cohan - Three Magi of the Meltdown - 7 Jan 2010  
 FP.  NY Times Duhigg - Pressured to Take More Risk Fannie Reached Tipping Point - 4 Oct 2008  
 FQ.  NY Times Merced - Cuomo Sues BofA Deal with SEC - 4 Feb 2010  
 FR.  NY Times Morgenson Story - Banks Bundled Bad Debt Bet Against It and Won - 24 Dec 2009  
 FS.  NY Times Powell - Billions to Fight Foreclosure but Few New Loans - 30 Dec 2009  
 FT.  NY Times Sorkin - What the FCIC Should Ask - 12 Jan 2010  
 FU.  NYT - Chan Dash - Panel Will Question Big Bank Leaders - 9 Jan 2010  
 FV.  NYT - Chan Dash - Pannel Will Question Big Bank Leaders - 9 Jan 2010  
 FW.  NYT - Merced - Paulson and Friedman Will Testify About AIG - 26 Jan 2010  
 FX.  NYT - Shiller - Mom Apple Pie and Mortgages - 5 Mar 2010  
 FY.  NYT - The Show Must Not Go On - 17 Jan 2010  
 FZ.  NYT - Tightening Credit Market Graph - 17 Dec 2007  
 GA.  OFHEO - Systemic Risk Fannie Mae Freddie Mac - Feb 2003  
 GB.  Origination News-New Century-FastQual 2003-2  
 GC.  Oxford InSIS - Beyond the Financial Crisis  
 GD.  Panel Investigating Financial Crisis to Question Bankers - WSJ\_com  
 GE.  Park - Subprime Lending and the Community Reinvestment Act - Nov 2008  
 GF.  PIMCO McCulley - Shadow Banking and Minskys Economic Journey - May 2009  
 GG.  Pinto - Fannie and Freddie Subprime and AltA Purchases - 8 Dec 2009  
 GH.  Pinto - High LTV Subprime AltA Originations 1992 to 2007 and GSEs  
 GI.  Pinto - Sizing Total Exposure to Subprime and AltA as of 30 Jun 2008 Exhibit 1  
 GJ.  Pinto - Sizing Total Federal and Agency Contributions to Subprime AltA as of 30 Jun 2008 Exhibit 2  
 GK.  Pinto - Triggers of Financial Crisis Sent to FCIC Final - 22 Jan 2010  
 GL.  Press - Clips from FCIC Hearing 1 - Jan 2010  
 GM.  Pressured to take more risk- Fannie Reached a Tipping Point\_ New York Times\_October\_2008  
 GN.  PRIMA Ely - Is Securitization the Best Way to Finance Mortgages - 21 Feb 2008  
 GO.  Randall - The New Round of Proposals for a Tax on a Wide Array of Financial - 16 Mar 2010  
 GP.  RCG Rosen - Anatomy of a Housing Market Boom and Correction - Oct 2007  
 GQ.  RCG Rosen - Examining the First Time Homebuyer Tax Credit - Aug 2009  
 GR.  RCG Rosen - The Path to Recovery - Sep 2008  
 GS.  Roosevelt Institute - Make Markets Be Markets - 2010  
 GT.  Roosevelt Institute - Make Markets Be Markets - 3 Mar 2010  
 GU.  Roosevelt Institute Auerback - FCIC Must Examine Core Financial Practices and Ideas - 17 Sep 2009  
 GV.  Roosevelt Institute Spitzer Rosner - Lehman Scandal Where's the Follow Up - 23 Mar 2010  
 GW.  Rosen - Anatomy of a Housing Boom - Oct 2007  
 GX.  Rosner - A Home Without Equity is Rental With Debt - 29 Jun 2001  
 GY.  Rosner - Financial Services Exposures to Subprime Why We Are Not Seeing Red - 26 Jul 2007  
 GZ.  S&P - Revised Methodology for Assessing Asset Liability Mismatch in Covered Bonds - 16 Dec 2009  
 HA.  S&P - Structured Finance Rating Transition and Default Update as of July 24 2009 - 31 Jul 2009  
 HB.  Sabry Okongwn - Study the Impact of Securitization on Consumers Investors Institutions - June 2009  
 HC.  Sahlman - Management and the Financial Crisis - 2009  
 HD.  Salmon Wired - The Formula that Killed Wall Street - 23 Feb 2009  
 HE.  Scott Stiglitz Taylor Zingales Hart Ganz - Responses to Commissioners Questions - Nov 2009  
 HF.  Seattle Times Bajaj - Default Rates for Alt-A Loans Increasing - 4 Aug 2008  
 HG.  Seattle Times Harney - Don't Be Fooled by Scary New Low Initial Payment Mortgages - 16 Jan 2005

- HH.  SEC - Report on Role and Function of Credit Rating Agencies in Securities Markets - Jan 2003
- HI.  SEC - Report on the Role and Function of Credit Rating Agencies - Jan 2003
- HJ.  SEC OIG - The SEC's Role Regarding Oversight of Nationally Recognized Statistical - 27 Aug 2009
- HK.  Senior Supervisors Group - Observations on Management of Recent CDS Credit Events - 9 March 2009
- HL.  Senior Supervisors Group - Risk Management Lessons from Global Banking Crisis of 2008 - 21 Oct 2009
- HM.  Senior Supervisors Group - Risk Management Lessons from the Global Banking Crisis of 2008 - 21 Octob
- HN.  SIFMA - CDO Market Issuance Data 2004 to 2007
- HO.  Simpson Thacher - Travlers Group Citicorp and the Federal Reserve - 14 Apr 1998
- HP.  Stanton - Life Cycle of GSEs Lessons for Design and Accountability - Oct 2007
- HQ.  Starkman - Power Problem the Business Press Did Everything But Take on Insitiutions - 1 May 2009
- HR.  Stiglitz Orszag Orszag - Implications of New GSE Risk Based Capital Standard - Mar 2002
- HS.  T2 Partners - Overview of Housing and Credit Crunch More Pain to Come - 18 Dec 2008
- HT.  Tanta - Reflections on Alt A - 11 Aug 2008
- HU.  Tanta - Reflections on AltA - 11 Aug 2008
- HV.  The CRA's Harmful Legacy- How It Hampers Access to Credit\_CEI OnPoint\_March 2008
- HW.  The Economist - The Gods Strike Back - Feb 2010
- HX.  The Great Untangling\_The Economist\_November 8, 2008
- HY.  Traiger Hinckley - CRA A Welcome Anomaly in the Foreclosure Crisis - 7 Jan 2008
- HZ.  Triple-A Failure\_New York Times Magazine\_April 27, 2008
- IA.  Valukis - Lehman Examiner Report Vol IV SEC Oversight - 11 Mar 2010
- IB.  Vanity Fair - Lewis - Betting on the Blind Side - April 2010
- IC.  Vanity Fair Lewis - The Man Who Crashed the World - Aug 2009
- ID.  Vox Heathcote Perri Violante - Inequality in Times of Crisis - 2 Feb 2010
- IE.  Wallison - Cause and Effect Government Policies and the Financial Crisis - Jul 2009
- IF.  Wallison - Draft Cato Publication CRA - 23 Dec 2009
- IG.  Wallison - Investigation of the Housing Issue Memo - 6 Jan 2010
- IH.  Wallison WSJ - Barney Frank, Predatory Lender - Oct 16 2009
- II.  WallStreetandTech Schmerken - Repo Dark Pool Trading - 13 Feb 2006
- IJ.  Washington Post - Graph of GSE Purchases of Subprime - 14 Feb 2010
- IK.  Washington Post Appelbaum - Financial Crisis Panel Seeks Bankers Testimony - 8 Jan 2010
- IL.  Washington Post Appelbaum - Financial Crisis Panel Seeks Bankers Testimony - 8 Jan 2010
- IM.  Washington Post Hilzenrath - Fannie's Perilous Pursuit of Subprime Loans - 19 Aug 2008
- IN.  Wholesale Access - Mortgage Brokers - 2006
- IO.  WSJ - Debtor's Dilemma Pay the Mortgage or Wa k Away - 17 Dec 2009
- IP.  WSJ - Gorton - So what Exactly Caused the Financial Crisis - 23 Feb 2010
- IQ.  WSJ - NY Fed Most Successful Mortgage Modifications Reduce Borrowers Principal - 4 Jan 2010
- IR.  WSJ - Patterson - The Minds Behind the Meltdown - 22 Jan 2010
- IS.  WSJ - Scannell - At SEC Scholar Who Saw it Coming - 25 Jan 2010
- IT.  WSJ - Spector Craig Lattman - Examiner Lehman Torpedoed Lehamn - 11 Mar 2010
- IU.  WSJ - Wallison - The President's Bank Reforms Don't Add Up - 25 Jan 2010
- IV.  WSJ - Why Financial Reform is Stalled - 1 March 2010
- IW.  WSJ Backus Cooley - Global Imbalances and the Crisis - 11 Jan 2010
- IX.  WSJ Barta Zuckerman - Mobile Home Loans Hang Over Fannie Mae - 3 Nov 2003
- IY.  WSJ Ely - Lets Try Market Oriented Market Reform - 31 May 2008
- IZ.  WSJ Hagerty - Fannie Freddie Executives Knew of Risks - 10 Dec 2008














































- JA.  WSJ Haggerty - Fannie Freddie Executives Knew of Risks - 10 Dec 2008
- JB.  WSJ McKinnon - Financial CRisis Commission Aims to Use Subpoena Power - 24 Jan 2010
- JC.  WSJ Moore - Fuld's Vendetta Against Short-Sellers and Goldman Sachs - 7 Oct 2008
- JD.  WSJ Ng Mollenkamp - Goldman Fuedl AIG Gambles - 12 Dec 2009
- JE.  WSJ Paletta McKinnon - Panel Investigating Financial Crisis to Question Bankers - 8 Jan 2010
- JF.  WSJ Scannell - At SEC Scholar Who Saw It Coming - 15 Jan 2010
- JG.  WSJ Scott Taylor - Why Toxic Assets Are So Hard to Clean Up - 21 Jul 2009
- JH.  WSJ Wallison - Barney Frank Predatory Lender - 16 Oct 2009
- JI.  WSJ Wallison - Presidents Bank Reforms Dont Add Up Loans to Real Estate - 25 Jan 2010
- JJ.  WSJ Wallison - Price for Fannie and Freddie Keeps Going Up - 29 Dec 2009
- JK.  WSJ Wallison - The Price for Fannie and Freddie Keeps Going Up - 30 Dec 2009

















































II.  Cabinet Inbox


- A.  2005 senate hearing on credit rating agencies
- B.  2010-04-02 REVIEWED MFR Michael Raynes
- C.  2010-08-10 Investigative Report - Governance DRAFT
- D.  2010-09-20 Harvey Pitt article June 2010, Compliance Week
- E.  2010-09-20 Harvey Pitt investor conference speech September 2007 on causes of crisis
- F.  Adelson 2007
- G.  Afonso Kovner Schoar - Stressed, Not Frozen - The Fed Funds Market in the Crisis - Mar 2010
- H.  Alford - AIG Redux - How did we get here - 22 Mar 2010
- I.  Ammer and Clinton 2008
- J.  Anatomy of Risk Management Practices in the Mortgage Industry Draft 4
- K.  Baggesen 2009
- L.  Banks and Real Estate Writing Rules
- M.  Benmelech and Dlugosz, The Alchemy of CDO Credit Ratings 2009
- N.  BIS 2005
- O.  BIS 2008
- P.  Bolton, et al, 2009
- Q.  Boot et al 2006
- R.  Brunnermeier (has ABX figure)
- S.  Calomiris 2009
- T.  Cantor 2009
- U.  Coffey Hrung Nguyen Sarkar - The Global Financial Crisis and Offshore Dollar Markets - Oct 2009
- V.  Corporate Library -- Compensation Accomplices
- W.  corporate library -- failed fiduciaries
- X.  craexamination070808
- Y.  cutting red tape 2003
- Z.  Duffie - How Big Banks Fail And What To Do About It - DRAFT Mar 2010
- AA.  Duffie Li Lubke - Policy Perspectives on OTC Derivatives Market Infrastructure - Mar 2010
- AB.  Eugene Ludwig on GSEs and systemic risk 2001
- AC.  FHLMC comments on OFHEO systemic risk study 2001
- AD.  Fitch, CDO criteria 2004
- AE.  FNMA comments on OFHEO systemic risk report 2001
- AF.  Fons 2009
- AG.  Frost 2006
- AH.  GAO - Federal and State Agencies Face Challenges in Combating Predatory Lending - Feb 2004
- AI.  GAO - Federal Financial Assistance - Preliminary Observations on Assistance Provided to AIG - 22


















































Mar

- AJ.  GAO - TARP - Status of Government Assistance Provided to AIG
- AK.  golub crum risk management lessons from the credit crisis
- AL.  Griffen cultural values and corporate risk taking 2009
- AM.  Herring and Kane 2008
- AN.  Hill 2004
- AO.  IIF 2009 session on governance
- AP.  IOSCO 2008
- AQ.  Ivashina Sharfstein Bank lending during the financial crisis of 2009 JFE
- AR.  Jackson 2001
- AS.  kolinsky 2009
- AT.  Kolinsky 2009 email citing probems
- AU.  Leach paper
- AV.  Lowenstein 2008
- AW.  McKinsey debt\_and\_deleveraging\_report 01-2010
- AX.  Merkel - To What Degree Were AIG's Operating Insurance Subsidiaries Sound - 28 Apr 2009
- AY.  moral and social constraints on strategic mortgage default 2009
- AZ.  Nell Minow -- Corporate Library -- on Delaware Business Law
- BA.  NERA 2003
- BB.  Partnoy 1999
- BC.  Partnoy 2001
- BD.  RatingShop\_16Feb06
- BE.  Sarkar Shrader - Financial Amplification Mechanisms and the Fed's Supply of Liquidity During the Cri
- BF.  Schwartz 2002
- BG.  Senate subcommittee WaMu exhibits 04-2010
- BH.  Senior Supervisors Group - Observations on Risk Management Practices during the Recent Market Turbul
- BI.  Stiglitz et al, Procyclical role of rating agencies
- BJ.  Systemic\_risk\_05112010
- BK.  Tom E Freeman GSU Presentation v3 100505 sent
- BL.  Wages of failure Lehman Bear Stearns
- BM.  White 2001
- BN.  White 2009
- BO.  Word Document
- III.  FINAL FCIC Report Charts
  - A.  10-magic\_cap\_structure\_forAdam
  - B.  11-Moodys-IMF\_comparison\_with\_GSEs--who bought PLS
  - C.  14-clayton bank reject wavier chart
  - D.  15 and 16-Sand State Data from NDS with Graphs Sheet 2\_v2
  - E.  17-IMPAIRMENTS-CDO\_RMBS\_Impairments
  - F.  18-2010-12-20 candlestick chart data
  - G.  19-Asset-Backed Commercial Paper
  - H.  1-Traditional and Shadow Banking Systems
  - I.  20-BearStearns liquidity
  - J.  21-BIS-OTC Volumes
  - K.  22-PRIME--assets flow from prime funds
  - L.  24-One Mo LIBOR OIS Spread

- M.  25-Maiden Lane tables
- N.  26-Household Net Worth-2 (2010-12-29)
- O.  27-Negative Equity--updated
- P.  2-SIFMA\_USABSOOutstanding
- Q.  3-Finance Compesnation
- R.  4-Mountain Chart
- S.  5-subprime reconcile
- T.  7-Short-term and Mortgage Interest Rates
- U.  8- US Home Prices
- V.  9- Repo Borrowing
- W.  CoreLogic House Prices w\_Sand State Index
- IV.  Charts for Final Report
  - A.  Liquidity Programs (primarily Fed)
    - 1.  amlf
    - 2.  blbs
    - 3.  cbbs
    - 4.  cpff
    - 5.  cpff-cp
    - 6.  Fed Liquidity
    - 7.  Fed Liquidity-TAF add-on
    - 8.  Federal Reserve Total Liquidity Funding
    - 9.  Governmet Assistance TimeLine
    - 10.  mbs
    - 11.  ml
    - 12.  pdcf
    - 13.  taf
    - 14.  talf
    - 15.  talf-borrower
    - 16.  top
    - 17.  tslf
    - 18.  tslf\_by\_firm
  - B.  Tables-Datapoints for Final
    - 1.  Corelogic Housing and mortgage Trends
      - a.  2010-11-00 CoreLogic Housing\_and\_Mortgage\_Trends
      - b.  Bi\_Monthly\_research\_report\_0810\_091310
      - c.  US\_Housing\_and\_Mortgage\_Trends\_1110
    - 2.  JPMC-CRA Programs
      - a.  2010-05-26 B Karp (JPM) ltr to B Bondi re CRA
      - b.  CRA-Corporate Commitments
    - 3.  ABX.HE.06-1 Prices
    - 4.  ABX.HE.06-2 Prices
    - 5.  ABX.HE.07-1 Prices
    - 6.  ABX-HE-06-2
    - 7.  ABX-HE-07-1
    - 8.  Asset Growth and Leverage (Sec 1, 3)
    - 9.  CLO\_Volume--Cleveland\_Fed\_042709\_out
    - 10.  Demyanyk and Hemert-Subprime Crisis
    - 11.  -Financial Services as Share of GDP (Sec 1, 1)

12.  Foreign Holdings of US Treasuries
  13.  Freddie Mac-30yr\_Mortgage\_Rate
  14.  Governnet Assistance TimeLine
  15.  GSE Mortgage Originations
  16.  Historical Case-Shiller Fig2-1
  17.  Household Debt (Sec II Intro)
  18.  IB Leverage Ratios with tangible nos (Section I, 3)
  19.  Mayer Pence Sherlund - The Rise in Mortgage Defaults - Winter 2009
  20.  Moodys-Subprime Early Payment Default
  21.  NAR Existing Home Sales
  22.  NAR--Homecomp
  23.  Price to Rent Selected Cities
  24.  SIFMA\_GlobalCDOData
  25.  State\_time
- C.  Appendix-FIPS codes
  - D.  Bloomberg - 1 Mo LIBOR OIS Spread
  - E.  CBSA and State HPI Data for SFC from 1990
  - F.  CBSA and State HPI Data for SFC from 1990
  - G.  CDO\_RMBS\_Impairments
  - H.  Compensation in Finance (Sec 1, Ch 1)
  - I.  CoreLogic HPI July 2010WEB
  - J.  CoreLogic July HPI 913
  - K.  Corporate Profits (I\_3 and IV\_1)
  - L.  Debt by Financial Sector to GDP (Sec 1, 3)
  - M.  derivatives\_concentration(SecIII,Ch5)
  - N.  Finance Compesnation
  - O.  Financial Services as Share of GDP (Sec1, Ch1)
  - P.  FRB - 30day CP spreads
  - Q.  FRB - CP Outstanding
  - R.  FRB\_FOF - Net Repo Borrowing by Securities Firms (II,2)
  - S.  Global Imbalances
  - T.  greenspan\_kennedy(2007)
  - U.  Gresnspan-Kennedy-equityextraction2008Q4
  - V.  Household Net Worth-1
  - W.  Houseould Net Worth-2 (2010-12-29)
  - X.  Kennedy-Greenspan\_equityextraction2008Q4
  - Y.  Moodys-IMF\_comparison\_with\_GSEs
  - Z.  Mortgage and Fed Funds Rate (Sec i, Ch i)
  - AA.  Mountain Chart 2010-11-16
  - AB.  NAR Existing Home Sales
  - AC.  Negative Equity
  - AD.  OTC\_derivatives(SecIII,Ch5)
  - AE.  paper-bill\_spread(SecIII,Ch2)
  - AF.  PSR on securitization data
  - AG.  sand\_state\_foreclosure\_delinquency\_data (MBA\_NDS)
  - AH.  SF-US-ABS-Issuance-SIFMA-Private Securitization (Section I, Ch 21)
  - AI.  SF-US-ABS-Outstanding-SIFMA (Section I, Ch 21)

- AJ.  supprime reconcile
- AK.  Trad and Shadow Bank Liabilities
- AL.  Wages in Finance NIPA
- V.  Federal Reserve Liquidity Programs
  - A.  amlf
  - B.  blbs
  - C.  cbfs
  - D.  Copy of LEGAL\_CASES-#152264-v1-DF-PDCF000002\_-\_Interest\_Accrued\_on\_PDCF\_and\_Transitional\_Credit\_Loans (2)
  - E.  cpff
  - F.  cpff-cp
  - G.  Governnet Assistance TimeLine
  - H.  mbs
  - I.  ml
  - J.  pdcf
  - K.  PDCF\_Series
  - L.  PDCF-goldman
  - M.  taf
  - N.  talf
  - O.  talf-borrower
  - P.  top
  - Q.  tsf
  - R.  tsf\_by\_firm
- VI.  Other
  - A.  Regional Economic Report Data
    1.  Bakersfield Regional Indicators
    2.  Las Vegas Regional Indicators
    3.  Miami Regional Indicators
    4.  Nevada State Indicators
    5.  Sacramento Regional Indicators
  - B.  Adrian - Shadow Banking Map - Jan 2010
  - C.  Barney Frank Letter to Big Four - 4 Mar 2010
  - D.  Berkshire Hathway Annual Report - 2009
  - E.  Borgers - Mortgage and Financial Crisis Impact on CFE - 2009
  - F.  CFA - Fact Sheet for Regulators
  - G.  Chanos - Ten Lessons from the Financial Crisis - 22 Oct 2009
  - H.  Cole - FED's Role in Banking Supervision and Regulation - 14 Jun 2007
  - I.  Cordell - Securitization Process Boom Bust and Remedies - 21 Nov 2008
  - J.  Egwuekwe - Unemployment Rates by County Graphic
  - K.  Failed Banks 2007 2008 2009
  - L.  FHA Delinquencies at Loan Age 6 with WAFICO
  - M.  FHA Total Counts at 6 Months of Age by FICO Range
  - N.  FHA Total Delinquencies at 6 Months of Age by FICO Range
  - O.  FHFA - Shares of Originations Chart
  - P.  FRBNY - Technical Appendix Mortgage Terms
  - Q.  Hypothetical Losses in Two Scenarios
  - R.  Hypothetical Losses in Two Scenarios with feed back
  - S.  ISDA - CDS Outstanding - Oct 2006

- T.  ISDA - Derivatives and Collateral Statistics - 2007
- U.  Jackson - Columbia Law - Notes on Systemic Risk - 12 July 2001
- V.  Jackson - Columbia Law - Systemic Risk and the Crisis - 5 Mar 2010
- W.  Kroszner - Crisis and Regulatory Reform
- X.  Kynkos Chanos - Ten Lessons of Financial Crisis that Investors Will Soon Forget - 22 Oct 2009
- Y.  LA Times - Rutten - A Snapshot of Income Disparity - 24 Feb 2010
- Z.  Laursen - Structured Finance in Post Bubble Markets - 4 May 2009
- AA.  List of Economic Blogs
- AB.  McCleskey - Letter to Tom Greene - Credit Rating Agencies - 2 Feb 2010
- AC.  Moss - Bank Failure Charts - 14 Dec 2009
- AD.  National Community Reinvestment Coalition - CRA Dollar Commitments by State since 1977
- AE.  NBER- Credit Rating Agency Workshop agenda- 15 July 2010
- AF.  NERA Laursen - Central Banking Seminar - 1 Dec 2009
- AG.  Reinhart - Causes Antecedents and Aftermath of Financial Crises - 16 Nov 2009
- AH.  Vanity Fair - Lewis - Betting on the Blind Side - April 2010

VII.  Scholarly Papers










- A.  Acharya Cooley Richardson Walter - Manufacturing Tail Risk - 11 Nov 2009
- B.  Acharya Richardson - Causes of the Financial Crisis - 2009
- C.  Acharya Schnabl Suarez - Securitization Without Risk Transfer - Feb 2010
- D.  Adelino Gerardi Willen - Why Don't Lenders Renegotiate More Home Mortgages Redefaults - 6 Jul 2009
- E.  Adrian Shin - Changing Nature of Financial Intermediation and Financial Crisis - Mar 2010
- F.  An Bostic - GSE Activity FHA Feedback Implications on Affordable Housing Goals - 13 Feb 2006
- G.  An Deng Sanders - Subordinations Levels in Structured Financing - 15 Aug 2006
- H.  Armantier Ghysels Sarkar Shrader- Stigma in Financial Markets- 16 June 2010
- I.  Ashcraft Bech Frame - Federal Home Loan Bank System Lender of Next to Last Resort - Nov 2008
- J.  Ashcraft Goldsmith-Pinkham Vickery - MBS Ratings and the Mortgage Credit Boom - 2010
- K.  Ashcraft Schuerman - Understanding the Securitization of Subprime Mortgage Credit - Mar 2008
- L.  Avery Brevoort Canner - 2007 HMDA Data - Dec 2008
- M.  Barnett-Hart - CDO Market Meltdown an Empirical Analysis - 19 Mar 2009
- N.  Barnett-Hart - The Story of the CDO Market Meltdown - 19 Mar 2009
- O.  Beck Beck Levine Levkov - Big Bad Banks Winners and Losers from Bank Deregulation
- P.  Belsky Richardson - Understanding Boom and Bust in the Nonprime Mortgage Market - Feb 2010
- Q.  Benmelech Dlugosz - The Alchemy of CDO Credit Ratings - 5 Apr 2009
- R.  Benmelech Dlugosz - The Credit Rating Crisis - 2009
- S.  Benmelech Dlugosz - The Credit Rating Crisis - 2010
- T.  Bernanke Federal Reserve Board - Monetary Policy and the Housing Bubble - 3 Jan 2010
- U.  Berndt Gupta - moral hazard and adverse selection in the originate to distribute model - May 2009
- V.  Bethel Ferrell Hu - Legal and Economic Issues in Litigation Arising from Credit Crisis - 17 Nov 2008
- W.  Bhutta - GSE Activity and Mortgage Supply in Lower Income and Minority Neighborhoods - Feb 2010
- X.  Bhutta - The Community Reinvestment Act and Mortgage Lending to Lower Income Borrowers - Feb 2010
- Y.  Black - Epidemics of Control Fraud Lead to Recurrent Bubbles and Crises
- Z.  Black Hu - Debt Equity and Hybrid Decoupling - Nov 2008
- AA.  Bluhm - CDO Modeling Techniques Examples and Applications - 10 Dec 2003
- AB.  Bostic Robinson - Do CRA Agreements Influence Lending Patterns - Aug 2002
- AC.  Bostic Robinson - What Makes CRA Agreements Work - Feb 2003
- AD.  Brennan Hein Poon - Tranching and Rating - 10 Mar 2008

- AE.  Brookings Swagel - Financial Crisis An Inside View - 30 Mar 2009
- AF.  Brunnermeier - Deciphering the Liquidity and Credit Crunch - 17 Dec 2008
- AG.  Brunnermeier - Deciphering the Liquidity and Credit Crunch - Winter 2009
- AH.  Bubb Kaufman - Securitization and Moral Hazard Evidence from Lender Cutoff Rule - 16 Nov 2009
- AI.  Caballero - The 'Other' Imbalance - Jan 2010
- AJ.  Calem Henderson Liles - Cream-Skimming in Subprime Securitizations - Mar 2010
- AK.  Calomiris - Banking Crises and the Rules of the Game - Nov 2009
- AL.  Calomiris - Subprime Turmoil Whats Old Whats New Whats Next - 15 Apr 2009
- AM.  Calomiris - Subprime Turmoil Whats Old Whats New Whats Next - 2 Oct 2008
- AN.  Calomiris - The Subprime Turmoil What's Old What's New and What's Next - 2 Oct 2008
- AO.  Calomiris Longhofer Miles - Foreclosure House Price Nexus Lessons from the Turmoil - Sep 2008
- AP.  Calomiris Longhofer Miles - The Mythical Housing Wealth Effect - Jul 2009
- AQ.  Calomiris Wallison - Last Trillion Dollar Commitment Fannie Mae and Freddie Mac - Spring 2009
- AR.  Campbell Davis Gallin Martin - What Moves Housing Markets Decomposition of Rent Price - Jun 2009
- AS.  Cantor Packer - The Credit Rating Industry - 1995
- AT.  Caprio Demirguc-Kunt Kane - Meltdown in Securitization Lessons not Scapegoats - 23 Nov 2008
- AU.  Caruana - Systemic Risk How to Deal With It - 12 Feb 2010
- AV.  Charalambakis - Excessive Liquidity Economic Cycles Allocation A Lesson to be Learned - May 2007
- AW.  Cocal Jurek Stafford - The Economics of Structured Finance - 2008
- AX.  Coval Jurek Stafford - The Economics of Structured Finance - 2008
- AY.  Covall Jurek Stafford - The Economics of Structured Finance - Winter 2009
- AZ.  Covitz Liang Suarez - Panic in the ABCP market - 18 Aug 2009
- BA.  Covitz Liang Suarez - Panic in the ABCP market - 18 Jan 2010
- BB.  Crouhy Jarrow Turnbull - Subprime Credit Crisis of 07 - 12 Sep 2007
- BC.  CRS Getter - Non Traditional Mortgage Products Innovative or Toxic
- BD.  Davis Lehnert Martin - Rent Price Ratio for Stock of Owner Occupied Housing - Dec 2007
- BE.  Dell'Aricia Igan Laeven - Credit Booms Lending Standards Evidence Subprime Mortgage - Feb 2008
- BF.  Demyanyk Hemert - Understanding the Subprime Mortgage Crisis - 4 May 2009
- BG.  Dokko Doyle Kiley Kim Sherlund Sim VandenHeuvel - Monetary Policy and Housing Bubble - 22 Dec 2009
- BH.  Downing Jaffee Wallace - Market for Mortgage Backed Securities a Market for Lemons - 23 Dec 2008
- BI.  Duffie - Failure Mechanics of Dealer Banks - Winter 2010
- BJ.  Duffie Li Lubke - Policy Perspectives on OTC Derivatives Market Infrastructure - Jan 2010
- BK.  Duffie Li Lubke - Policy Perspectives on OTC Derivatives Market Infrastructure - Jan 2010
- BL.  Ferguson Johnson - Part 1 Too Big to Bail The Paulson Put Presidential Politics - Spring 2009
- BM.  Ferguson Johnson - Part 2 Too Big to Bail The Paulson Put Presidential Politics - Spring 2009
- BN.  Ferguson Johnson - To Big to Fail The Paulson Put Presidential Politics and the Part 1 - Spring 2009
- BO.  Ferguson Johnson - To Big to Fail The Paulson Put Presidential Politics and the Part 2 - Spring 2009
- BP.  Forrester - Mortgaging the American Dream Predatory Lending Preemption - 2005
- BQ.  Frame White - Fussing and Fuming over Fannie and Freddie How Much Smoke How Much Fire - Spring 2005
- BR.  Friedman - A Crisis of Politics Not Economics - 30 Jun 2009
- BS.  Gabriel Rosenthal - GSEs CRA and Home Ownership in Underserved Neighborhoods
- BT.  Gallegati Greenwald Matteo Richiardi Stiglitz - The Asymmetric Effect of Diffusion Process - 2008
- BU.  Garcia Molesky - Economic Capital Modelling High LTV Mortgages - 28 Nov 2008
- BV.  Geanakoplos - Solving the Present Crisis and Managing the Leverage Cycle - 22 Dec 2009
- BW.  Geanakoplos - Solving the Present Crisis and Managing the Leverage Cycle - Dec 2009

- BX.  Gerardi Lehnert Sherlund Willen - Making Sense of the Subprime Crisis - 5 Sep 2008
- BY.  Gerardi Lehnert Sherlund Willen - Making Sense of the Subprime Crisis - Fall 2008
- BZ.  Gerardi Rosen Willen - Do Households Benefit from Financial Deregulation and Innovation - Mar 2007
- CA.  Gerardi Willen - Subprime Mortgages Foreclosures and Urban Neighborhoods - 2009
- CB.  Gerardi Willen - Subprime Mortgages Foreclosures and Urban Neighborhoods - 22 Dec 2008
- CC.  Getter - Consumer Credit Risk and Pricing - Summer 2006
- CD.  Goron Metrick - Securitized Banking and the Run on Repo- Aug 2009
- CE.  Gorton - Panic of 2007 - Aug 2008
- CF.  Gorton - Questions and Answers about the Financial Crisis - 20 Feb 2010
- CG.  Gorton - Slapped in the Face by the Invisible Hand Banking and Panic of 2007 - 9 May 2009
- CH.  Gorton - The Subprime Panic - 30 Sep 2008
- CI.  Gorton Souleles - Special Purpose Vehicles and Securitization - Sept 2005
- CJ.  Gramlich - The New Mortgage Market and Evolution
- CK.  Green Wachter - The American Mortgage in Historical and International Context - Autumn 2005
- CL.  Greenlaw Hatzius Kashyap Shin - Leveraged Losses - 2008
- CM.  Greenspan - The Crisis - 9 Mar 2010
- CN.  Griffin Tang - Did Subjectivity Play a Role in CDO Credit Ratings - 2 Sep 2009
- CO.  Han Li - Liquidity Crisis Runs and Securities Design - 15 Jun 2009
- CP.  Hatzius Hooper Mishkin Schoenholtz Watson- Financial Indices and the Crisis- July 2010
- CQ.  Haughwout Mayer Tracy - Impact of Race Ethnicity and Gender on Cost of Borrowing - Apr 2009
- CR.  Haughwout Mayer Tracy - Subprime Pricing Impact on Race Ethnicity and Gender - April 2009
- CS.  Himmelberg Mayer Sinai - Assessing the High House Prices Bubbles, Fundamentals - Fall 2005
- CT.  Hordahl King - Developments in repo markets during the financial turmoil - Dec 2008
- CU.  Hossain - Past Present and Future of CRA - Oct 2004
- CV.  Hossain - Past Present Future of CRA - Oct 2004
- CW.  Huizinga Laeven - Accounting Discretion of Banks During a Financial Crisis - 13 Jul 2009
- CX.  Jaffee - Future Role of Fannie Mae and Freddie Mac in US Mortgage Market - 18 Dec 2009
- CY.  Jaffee - Interest Rate Risk of Fannie Mae and Freddie Mac - 2003
- CZ.  Jaffee - US Subprime Mortgage Crisis Issues Raised and Lessons Learned - 2008
- DA.  Jaffee Quigley - Housing Subsidies and Homeowners GSE Role - 2007
- DB.  Jaffee Quigley - Mortgage Guarantee Programs and the Subprime Crisis - Fall 2008
- DC.  Jaffee Quigley - Mortgage Guarantee Programs and the Subprime Crisis - Fall 2008
- DD.  Jarrow- The Econimcs of CDS- 10 July 2010
- DE.  Jiangli Pritsker - Impacts of Securitization on US Bank Holding Companies - Mar 2008
- DF.  Kacperczyk Schnabl - Commercial Paper During the Financial Crisis - Nov 2009
- DG.  Kacperczyk Schnabl - Commercial Paper during the Financial Crisis - Winter 2010
- DH.  Kashyap - Lessons from the Financial Crisis for Risk Management - 27 Feb 2010
- DI.  Kashyap Stein - A Million Observations on Banks about Transmission of Monetary Policy - Jun 2000
- DJ.  Keys Mukherjee Seru Vig - Did Securitization Lead to Lax Screening - Feb 2010
- DK.  Kim - OCC Operating Subsidiaries Regulations and Watters v Wachovia - 2009
- DL.  Kimble - Community Reinvestment Act, Government-Sponsored Enterprises, Financial Crisis - Jan 2010
- DM.  Koppell - Hybrid Organization and the Alignment of Interests The Case of Fannie Mae and - Aug 2001
- DN.  Koppell - Hybrid Organizations and Alignment of Interests Fannie Freddie - Jul 2001
- DO.  Krishnamurthy - How Debt Markets Have Malfunctioned in the Crisis - Nov 2009
- DP.  Krishnamurthy - How Debt Markets Have Malfunctioned in the Crisis - Winter 2010
- DQ.  Kroszner - Interconnectedness Fragility and the Financial Crisis - 26 Feb 2010
- DR.  Kroszner - Making Markets More Robust - 30 Apr 2009











- DS.  Kroszner Melick - Federal Reserve Response to Banking and Financial Crisis - Dec 2009
- DT.  Kwan - Securities Activities by Commercial Banking Firms Section 20 Subsidiaries - Oct 1997
- DU.  Laderman Reid - CRA Lending During the Subprime Meltdown - 2008
- DV.  Laux Leuz - Did Fair Value Accounting Contribute to the Financial Crisis - Winter 2010
- DW.  Liebowitz - Anatomy of a Train Wreck Causes of the Mortgage Meltdown - 3 Oct 2008
- DX.  Lipson - Enron Rerun The Credit Crisis in Three Easy Pieces - 15 Jul 2009
- DY.  Lipson - Shadow Bankruptcy System - 5 Jan 2010
- DZ.  Loutskina Strahan - Securitization and Declining Impact of Bank Finance on Loan Supply - Apr 2009
- EA.  Lucas Goodman Fabozzi - CDOs and Credit Risk Transfer - 2007
- EB.  Lusardi - Americans Financial Capability - 26 Feb 2010
- EC.  MacKenzie - The Credit Crisis as a Problem in the Sociology of Knowledge - Nov 2009
- ED.  Mason Rosner - How Misapplied Bond Ratings Cause MBS and CDO Disruptions - 14 May 2007
- EE.  Mason Rosner - How Misapplied Bond Ratings Cause MBS and CDO Market Disruptions - 14 May 2007
- EF.  Mason Rosner - How Misapplied Bond Ratings Cause MBS and CDO Market Disruptions - 3 Mar 2007
- EG.  Mason Rosner - How Resilient are MBS to CDO Market Disruptions - 15 Feb 2007
- EH.  Mayer - Housing Subprime Mortgages and Securitization - Feb 2010
- EI.  Mayer Pence - Subprime Mortgages What Where and to Whom - 2008
- EJ.  Mayer Pence Sherlund - The Rise in Mortgage Defaults - Winter 2009
- EK.  McCoy Pavlov Wachter - Systemic Risk Through Securitization The Result of Deregulation - May 2009
- EL.  Mian Sufi - Consequences of Mortgage Credit Expansion
- EM.  Mian Sufi - Consequences of Mortgage Credit Expansion - Nov 2009
- EN.  Morrison - Credit Derivatives, Disintermediation, and Investment Decisions - 2005
- EO.  Moss Bolton Kintgen - Background Materials for FCIC Commissioner's Dinner - 13 Feb 2009
- EP.  Nadauld and Shane - Role of the Securitization Process in Expansion of Subprime Credit - Apr 2009
- EQ.  NBER Gerardi Rosen Willen - Do Households Benefit From Financial Deregulation and Innovat - Mar 2007
- ER.  Parlour Plantin - Loan Sales and Relationship Banking - June 2008
- ES.  Partnoy - How and Why Credit Rating Agencies Are Not Like Other Gatekeepers - 2006
- ET.  Partnoy Skeel - The Promise and Perils of Credit Derivatives - 2007
- EU.  Pence Vine- Vehicle Sales and Credit in 2008- June 2010
- EV.  Pirrong - OTC Derivatives Clearing and the Prevention of the Next Crisis - Feb 2008
- EW.  Piskorski Seru Vig - Securitization and Distressed Loan Renegotiation - Sept 2009
- EX.  Poon - Commercial Consumer Risk Scores and Making of Subprime Mortgage Finance - 2009
- EY.  Rajan Seru Vig - The Failure of Models the Predict Failure Distance Incentives and Defaults - Dec 20
- EZ.  Rose Spiegel - Cross Country Causes and Consequences of the 2008 Crisis Early Warning - Sep 2009
- FA.  Rosner - NRSRO Failings in Structured Ratings - Winter 2009
- FB.  Sahlman - Management and the Financial Crisis - 28 Oct 2009
- FC.  Schwarcz - Governments and International Organizations Worry About Systemic Risk - Mar 2008
- FD.  Schwarcz - Systemic Risk - Mar 2008
- FE.  Shin - Securitisation and Financial Stability - March 2009
- FF.  Shull White - Firewalls and Subsidiaries the Right Stuff for Expanded Bank Activities - May 1998
- FG.  Smith Walter - Ratings Agencies Is there an Agency Issue - 2002
- FH.  Stanton - Failure of Fannie Mae and Freddie Mac Future of Government Support - Fall 2009
- FI.  Stanton - Governance Implications of the Financial Crisis - Dec 2009
- FJ.  Stanton - Recommendations for the Future of Fannie Mae and Freddie Mac - 7 May 2009
- FK.  Stiglitz Orszag Orzag - Implications of the New Fannie Mae and Freddie Mac Risk Based Capital Standards



- FL.  Stulz - Credit Default Swaps and the Credit Crisis - Sep 2009
- FM.  Stulz - Credit Default Swaps and the Credit Crisis - Winter 2010
- FN.  The Failure of Fannie Mae and Freddie Mac -- prepublication draft 09-2009
- FO.  Vink Fabozzi - Non US Asset Backed Securities Spread Determinants and Over-Reliance - Jun 2009
- FP.  Wallison - Cause and Effect Government Policy and the Financial Crisis - Nov 2008
- FQ.  Wallison - Government Policies and the Financial Crisis - Jul 2009
- FR.  Wallison Calomiris - The Last Trillion Dollar Commitment The Destruction of Fannie Mae - Sep 2008
- FS.  White - Markets the Credit Rating Agencies - 2010
- FT.  Zywicki Adamson - Law and Economics of Subprime Lending - 2009

## VIII. Reading Lists










### A. Credit Rating Agency Reading List

1.  Cantor Packer - The Credit Rating Industry - 1995
2.  Covall Jurek Stafford - The Economics of Structured Finance - Winter 2009
3.  Salmon Wired - The Formula that Killed Wall Street - 23 Feb 2009
4.  Ashcraft Goldsmith-Pinkham Vickery - MBS Ratings and the Mortgage Credit Boom - 2010
5.  Benmelech Dlugosz - The Credit Rating Crisis - 2009
6.  Lowenstein NYTimes - Triple-A Failure - 27 Apr 2008
7.  Smith Walter - Ratings Agencies Is there an Agency Issue - 2002
8.  White - Markets the Credit Rating Agencies - 2010
9.  Mason Rosner - How Misapplied Bond Ratings Cause MBS and CDO Market Disruptions - 14 May 2007
10.  Partnoy - How and Why Credit Rating Agencies Are Not Like Other Gatekeepers - 2006
















### B. Derivatives Reading List

1.  Stulz - Credit Default Swaps and the Credit Crisis - Winter 2010
2.  Das - Derivatives Tango A Regulation Dance - 2010
3.  Duffie Li Lubke - Policy Perspectives on OTC-Derivatives Mkts Infrastructure - Jan 2010
4.  Senior Supervisors Group - Observations on Management of Recent CDS Credit Events - 9 March 2009
5.  Gensler - Keynote Address - Markit Conference - 9 Mar 2010
6.  Greenberger - Out of the Black Hole - Make Markets be Markets - 2010
7.  Litan - Derivative Dealers Club - Apr 2010
8.  Mengle - Credit Derivatives - An Overview - May 2007
9.  Mengle - Empty Creditor Hypothesis - Nov 2009
10.  Wallison - Everything You Wanted to Know about CDS but Were Never Told- Dec 2008
11.  Black Hu - Debt Equity and Hybrid Decoupling - Nov 2008
12.  Partnoy Skeel - The Promise and Perils of Credit Derivatives - 2007
13.  Pirrong - OTC Derivatives Clearing and the Prevention of the Next Crisis - Feb 2008









### C. Macroeconomic Reading List

1.  Dokko Doyle Kiley Kim Sherlund Sim VandenHeuvel - Monetary Policy and Housing Bubble - 22 Dec 2009
2.  bernanke-Housing Bubble-Jan 2010
3.  Caballero - The 'Other' Imbalance - Jan 2010
4.  Gourinchas - US Monetary Policy 'Imbalances' and the Crisis - Feb 2010
5.  Greespan - Fed Didnt Cause Housing Bubble - March 11, 2009
6.  Obstfeld Rogoff - Global Imbalances and Financial Crisis - November 2009
7.  Reinhart Rogoff - This Time is Different Panoramic View - April 2008
8.  Taylor - Housing and Monetary Policy - 2007
9.  Johnson - International Financial Flows - July 2009

10.  Caballero - TheGreatInsuranceCrisis - Jan 2009
  11.  Smaghi - Global Imbalances One Coin - Dec 2008
  12.  White -- Price Stability Enough - 2006
  13.  Borio Lowe - Asset Prices and Monetary Stability - July 2002
  14.  Greenspan- The Crisis - Apr 2010
  15.  Malkiel- Asset Bubbles - Jan 2010
  16.  Portes - Global Imbalances - Jan 2009
  17.  Foote - Subprime\_Known and Unknown - 2008
  18.  Glaser - Cheap Credit and Boom - Jul 2010
  19.  Glaeser Gottlieb Gyourko - Did Credit Market Policies Cause the Financial Crisis - May 2010
  20.  Dunaway - Global Imbalances and the Financial Crisis - March 2009
  21.  Gerardi, Foote and Willen - Reasonable People Did Disagree Optimism and Pessimism about the US Housi
  22.  Glaeser, Gottlieb and Gyourko - Can Cheap Credit Explain the Housing Boom (full version) - July 2010
  23.  2010-09-10 Gerardi Optimism and Pessimism about U.S. Housing Market
- D.  Shadow Banking Reading List
1.  Krishnamurthy - How Debt Markets Have Malfunctioned in the Crisis - Winter 2010
  2.  Duffie - Failure Mechanics of Dealer Banks - Winter 2010
  3.  Gorton - Slapped in the Face by the Invisible Hand Banking and Panic of 2007 - 9 May 2009
  4.  Kacperczyk Schnabl - Commercial Paper during the Financial Crisis - Winter 2010
  5.  Roosevelt Institute - Make Markets Be Markets - Out of the Shadows - 3 Mar 2010
  6.  Senior Supervisors Group - Risk Management Lessons Section III - 21 Oct 2009
  7.  FRB Bernanke - Reflections on a Year of Crisis - 21 Aug 2009
  8.  FRBNY Dudley - More Lessons from the Crisis - 13 Nov 2009
  9.  Moody's Pozsar - Rise and Fall of the Shadow Banking System - Jul 2008
  10.  JPMorgan Cannella Loeys - How Will the Crisis Change Markets - 14 Apr 2008
  11.  Brunnermeier - Deciphering the Liquidity and Credit Crunch - Winter 2009
- E.  Subprime Housing and Securitization Reading List - Primary
1.  Avery Brevoort Canner - 2007 HMDA Data - Dec 2008
  2.  Frame White - Fussing and Fuming over Fannie and Freddie How Much Smoke How Much Fire - Spring 2005
  3.  Gramlich - The New Mortgage Market and Evolution
  4.  Mayer Pence - Subprime Mortgages What Where and to Whom - 2008
  5.  Mayer Pence Sherlund - The Rise in Mortgage Defaults - Winter 2009
  6.  Stanton - Failure of Fannie Mae and Freddie Mac Future of Government Support - Fall 2009
- F.  Subprime Lending and Securitization Reading List
1.  Mian Sufi - Consequences of Mortgage Credit Expansion - Nov 2009
  2.  Laderman Reid - CRA Lending During the Subprime Meltdown - 2008
  3.  Keys Mukherjee Seru Vig - Did Securitization Lead to Lax Screening - Feb 2010
  4.  Jaffee Quigley - Mortgage Guarantee Programs and the Subprime Crisis - Fall 2008
  5.  Hossain - Past Present and Future of CRA - Oct 2004
  6.  Gerardi Willen - Subprime Mortgages Foreclosures and Urban Neighborhoods - 2009
  7.  Gerardi Lehnert Sherlund Willen - Making Sense of the Subprime Crisis - Fall 2008
  8.  Gabriel Rosenthal - GSEs CRA and Home Ownership in Underserved Neighborhoods
  9.  Demyanyk Hemert - Understanding the Subprime Mortgage Crisis - 4 May 2009
  10.  Bubb Kaufman - Securitization and Moral Hazard Evidence from Lender Cutoff Rule - 16 Nov 2009

11.  Bhutta - GSE Activity and Mortgage Supply Effect of Affordable Housing Goals
12.  Washington Post Hilzenrath - Fannie's Perilous Pursuit of Subprime Loans - 19 Aug 2008
13.  FHFA Lockhart - FHFA's First Anniversary and Challenges Ahead - 30 Jul 2009
14.  CRS Kosar - GSEs an Institutional Overview - 23 Apr 2007
15.  FRB Bernanke - The Future of Mortgage Finance in the US - 31 Oct 2008
16.  WSJ Hagerty - Fannie Freddie Executives Knew of Risks - 10 Dec 2008
17.  Stanton - Failure of Fannie Mae and Freddie Mac Future of Government Support - Fall 2009
18.  Mayer Pence Sherlund - The Rise in Mortgage Defaults - Winter 2009
19.  Mayer Pence - Subprime Mortgages What Where and to Whom - 2008
20.  Gramlich - The New Mortgage Market and Evolution
21.  Frame White - Fussing and Fuming over Fannie and Freddie How Much Smoke How Much Fire - Spring 2005
22.  Avery Brevoort Canner - 2007 HMDA Data - Dec 2008
23.  Calomiris Wallison - Last Trillion Dollar Commitment Fannie Mae and Freddie Mac - Spring 2009
24.  FHFA - Report to Congress - 2008
25.  JPMorgan Cannella Loeys - How Will the Crisis Change Markets - 14 Apr 2008

G.  Too Big To Fail Reading List

1.  Baker McArthur - The Value of the Too Big to Fail Big Bank Study - Sept 2009
2.  Deyoung Evanoff - Mergers and Acquisitions of Financial Institutions A Review of Post 2000 Literatur
3.  Haldane - The \$100 billion question - 30 March 2010
4.  Kaufman - Too Big To Fail in Banking What Remains - 8 April 2002
5.  Mishkin - How Big a Problem is Too Big to Fail A Review of Stern and Feldman - December 2006
6.  Stern Feldman - Too Big to Fail The Hazard of Bank Bailouts - 2004
7.  Thomson - On Systemically Important Financial Institutions and Progressive Systemic Mitigation - Aug
8.  Fettig - The History of a Powerful Paragraph - 2008

IX.  Official Memos and Testimony

- A.  AFGI McCarthy - New York Assembly Standing Committee on Insurance - 14 Mar 2008
- B.  Anzalone Hogan - Voters Want Major Financial Reform and Strongly Support Components of Obama - 8 Dec
- C.  Baily - Financial Crisis Inquiry Commission - Cause of Financial Crisis
- D.  Benson Strategy Group - Americans and Financial Reform - 14 Oct 2009
- E.  Bernanke - Virginia Association of Economics - 10 Mar 2005
- F.  Boston Fed - Testimony and Report of Paul Willen Senior Economist - 9 Sep 2009
- G.  Calomiris - Committee on Oversight - GSEs - 9 Dec 2008
- H.  Committee on Financial Services - Federal Reserve Efforts to Provide Liquidity - 10 Feb 2009
- I.  Committee on Financial Services - Hedge Fund Registration - 7 May 2009
- J.  Committee on Financial Services - Regulation of Systemic Risk in Financial Services - 17 Mar 2009
- K.  Committee on Financial Services - Systemic Risk - 5 Mar 2009
- L.  Committee on Oversight - Financial Crisis and Role of Federal Regulators - 23 Oct 2008
- M.  Committee on Oversight - Government Housing Policy in Financial Crisis - 7 Jul 2009
- N.  Committee on Oversight - Public Disclosure of AIG Counterparties - 25 Jan 2010
- O.  Dinallo - Senate Agriculture Committee - Role of Rfinancial Derivatives in Crisis - 14 Oct 2008
- P.  Ely - Committee on Financial Services - Covered Bonds - 15 Dec 2009
- Q.  Ely - Financial Institutions Subcommittee - TARP Oversight - 4 Mar 2009
- R.  Fishbein - Assorted Congressional Testimony and Statements - 4 Jan 2010

- S.  FRB Bernanke - CRA Impact on Subprime - 25 Nov 2008
- T.  FRB Canner Bhutta - Relationship between CRA and Subprime Crisis - 21 Nov 2008
- U.  FRB FDIC OCC OTS - Interagency Guidance on Subprime Lending - 1 Mar 1999
- V.  GAO - Financial Market Regulation - Mar 2007
- W.  GAO - Joint Economic Committee - Loan Performance and Negative Equity in Nonprime - 16 Dec 2009
- X.  Gensler - Keynote Address - Markit Conference - 9 Mar 2010
- Y.  Greenberger - Out of the Black Hole - Make Markets be Markets - 2010
- Z.  Lake Research Partners - Recent Polling Data of Financial Reform Legislation - 13 Oct 2009
- AA.  LaMalfa - Observations on the Mortgage Market - 19 Jan 2010
- AB.  Litan - Derivative Dealers Club - Apr 2010
- AC.  LJ White Testimony - Committee on Oversight and Government Reform - 24 Sep 2009
- AD.  Mason - Subcommittee on Capital Markets, Insurance, and Government Sponsored Enterprises - 27 Sep 20
- AE.  Mengle - Credit Derivatives - An Overview - May 2007
- AF.  Mengle - Empty Creditor Hypothesis - Nov 2009
- AG.  Minow - Committee on Financial Services - Compensation Structure - 22 Jan 2010
- AH.  Minow - Committee on Oversight - AIG - 7 Oct 2008
- AI.  Minow - Committee on Oversight - Lehman Brothers - 6 Oct 2008
- AJ.  NCRC Taylor - Committee Financial Svcs - Community and Consumer Advocate Perspective - 16 Jul 2009
- AK.  NCRC Taylor - Oversight and Investigations Subcommittee - Fair Lending Enforcement - 25 Jul 2007
- AL.  OCC FRB FDIC - Interagency Guidance on Concentrations in Commercial Real Estate - 12 Dec 2006
- AM.  OCC FRB FDIC OST NCUA - Interagency Guidance on Nontraditional Mortgage Product Risks - 29 Sep 2006
- AN.  OCC FRB FDIC OTS NCUA - Statement on Subprime Lending - 28 Jun 2007
- AO.  OCC OTS FRB FDIC SEC - Interagency Statement on Sound Practices with Elevated Risk - 11 Jan 2007
- AP.  OTS Riccobono - Expanded Guidance for Subprime Lending Programs - 2 Feb 2001
- AQ.  Rosner - Housing In the New Millennium A Home Without Equity is Just a Rental with Debt - 29 June 20
- AR.  Saunders - Subcommittee on Housing and Community Opportunity - 5 Nov 2003
- AS.  Saunders - Subcommittee on Housing Community Opportunity - Preventing Abusive Lending - 5 Nov 2003
- AT.  Schwartz - Senate Banking Committee - Bear Stearns Demise - 3 Apr 2008
- AU.  Scott - Responses to FCIC Additional Questions - Nov 2009
- AV.  SIFMA Norwood - House Committee on Financial Services - ARS - 18 Sep 2008
- AW.  Stiglitz - Responses to Additional Questions from the FCIC - 17 Nov 2009
- AX.  Supreme Court - Watters vs Wachovia - 17 Apr 2007
- AY.  Taylor - Committee on Financial Services - 16 Sep 2009
- AZ.  Taylor - Responses to Additional Questions from the FCIC - Nov 2009
- BA.  Volcker - Committee on Banking and Financial Services - 24 Sep 2009
- BB.  Volcker - Committee on Banking Housing and Urban Affairs - 2 Aug 1990
- BC.  Volcker - Committee on Banking Housing Urban Affairs - Safety Soundness of GSEs - 2 Aug 1990
- BD.  Wallison - Everything You Wanted to Know about CDS but Were Never Told- Dec 2008
- BE.  Wallison - Investigation of the Housing Issue Memo - 6 Jan 2010
- BF.  White - Committee on Oversight and Govt Reform - Credit Rating Agencies - 24 Sep 2009
- BG.  Zandi - Financial Crisis Inquiry Commission - Causes of the Financial Crisis - 13 Jan 2010
- BH.  Zingales - Response to FCIC - Nov 2009

## Review


NAID: 24823591











































Extent: 245 data files










































This series contains interview files copied from the MFR and Interviews cabinet. The items in this cabinet were made available by the FCIC to the interviewees' lawyers to review on behalf of their clients.

## File list

# NetDocuments Document Report






 Review - 3/1/2011 9:54:47 AM


- I.  Dave Caperton
  - A.  Transcripts
    1.  2010-03-19 D Noonan Interview with R Spillenkothen
    2.  2010-03-30 Transcript - Interview of Patrick Parkinson
    3.  2010-04-01 D Noonan Interview of Glenn Loney
    4.  2010-04-01 D Noonan Interview of Sandra Braunstein
    5.  2010-04-28 B Bondi Interview of Alan Fishbein
    6.  2010-07-31 - Transcript of Norah Barger interview
    7.  2010-08-02 FCIC Interview of Roger Cole
    8.  2010-09-09 - REVIEWED transcript of Sabeth Siddique interview
    9.  2010-09-20 - UNREVIEWED transcript of Seth Carpenter interview
    10.  2010-10-11 - REVIEWED transcript of Susan Bies interview
    11.  2010-10-25 - REVIEWED transcript of Sabeth Siddique SECOND interview
    12.  2010-11-15 REVIEWED transcript of Janet Yellen interview
  - B.  2010-02-23 FCIC memo of staff interview with Timothy Clark, Scott Alvarez, Federal Reserve
  - C.  2010-03-03 FRB Hewko and Merriett re accounting and capital (copy)
  - D.  2010-03-23 FCIC Interview of Scott Alvarez and Kiernan Fallon
  - E.  2010-03-26 DRAFT MFR Glenn Canner
  - F.  2010-03-26 MFR Dan Sokolov
  - G.  2010-03-30 D Noonan Interview of Patrick Parkinson
  - H.  2010-03-31 Interview with Norah Barger
  - I.  2010-04-01 D Noonan Interview of Glenn Loney (copy)
  - J.  2010-04-01 D Noonan Interview of Jim Michaels (copy)
  - K.  2010-04-01 D Noonan Interview of Sandra Braunstein
  - L.  2010-04-23 Bard Stermasi
  - M.  2010-04-23 Glenn Snajder
  - N.  2010-04-23 William Hallessy
  - O.  2010-04-26 Dianne Dobeck and Steve Manzari Part I
  - P.  2010-04-26 Dianne Dobeck and Steve Manzari Part II
  - Q.  2010-04-28 B Bondi Interview of Alan Fishbein (copy)
  - R.  2010-05-20 FRB Van der Weide - Background Sources
  - S.  2010-06-30 B Bondi Interview of A. Fishbein (copy)
  - T.  2010-07-29 FCIC staff audiotape of interview with Scott Alvarez, Bill English, Kieran Fallon and Bill Nelson, Federal Reserve Board
  - U.  2010-07-29 Interview with FRB Officials re 13(3) (copy)
  - V.  2010-08-02 Interview with Roger Cole (copy)
  - W.  2010-08-04 Interivew with Federal Reserve IG (Elizabeth Coleman, Anthony Castaldo, and Staff) (copy)
  - X.  2010-08-10 FCIC staff audiotape of interview wth Deborah Bailey, Federal Reserve Board
  - Y.  2010-08-13 FCIC staff audiotape of interview with Richard Westerkamp, Federal Reserve Board
  - Z.  2010-08-24 Interview with Pat Parkinson (FRB) (copy)
  - AA.  2010-09-09 FCIC staff audiotape of interview with Sabeth Siddique, Federal Reserve Board (Part 1) (copy)
  - AB.  2010-09-10 FCIC staff audiotape of interview with Vincent Reinhart, Federal Reserve Board (copy)
  - AC.  2010-09-17 FCIC staff audiotape of interview with Alan Blinder, Princeton University (copy)







- AD.  2010-09-20 FCIC staff audiotape of interview with Larry Lindsey, National Economic Council (copy)
- AE.  2010-09-20 Interview of Seth Carpenter (copy)
- AF.  2010-09-21 Tom Boemio, Federal Reserve
- AG.  2010-09-23 David Lynch Part 1, Federal Reserve (copy)
- AH.  2010-09-23 David Lynch Part 2, Federal Reserve (copy)
- AI.  2010-09-23 David Lynch Part 3, Federal Reserve (copy)
- AJ.  2010-09-24 Interview of Jim Embersit (copy)
- AK.  2010-09-24 Nellie Liang and Dan Covitz, Fed Division of Research and Statistics (copy)
- AL.  2010-09-28 Part 1, Michael Palumbo and Patrick McCabe, Federal Reserve Research & Statistics (copy)
- AM.  2010-09-28 Part 2, Michael Palumbo and Patrick McCabe, Fed Research & Statistics (copy)
- AN.  2010-09-30 Interview Karen Pence, Federal Reserve economist (copy)
- AO.  2010-10-01 FCIC staff audiotape of interview with Frederic Mishkin, Federal Reserve Board (copy)
- AP.  2010-10-04 FCIC staff audiotape of interview with Mark Olson, Federal Reserve Board (copy)
- AQ.  2010-10-11 FCIC staff audiotape of interview with Susan Bies, Federal Reserve Board
- AR.  2010-10-19 David Jones, Federal Reserve Div. of Banking (copy)
- AS.  2010-10-22 Interview with Donald Kohn (copy)
- AT.  2010-10-25 FCIC staff audiotape of interview with Sabeth Siddique, Federal Reserve Board (Part 2, continued from 2010-09-09 interview) (copy)
- AU.  2010-10-28 Kevin M Warsh Federal Reserve Governor
- II.  Martin Sullivan
  - A.  2010-06-17 FCIC staff audiotape of interview with Martin Sullivan, American International Group, Inc. (Part 1) (copy)
  - B.  2010-06-17 FCIC staff audiotape of interview with Martin Sullivan, American International Group, Inc. (Part 2) (copy)
  - C.  2010-06-17 FCIC staff audiotape of interview with Martin Sullivan, American International Group, Inc. (Part 3) (copy)
- III.  SEC
  - A.  2010-05-13 FCIC staff audiotape of interview with Lynn Turner, Securities and Exchange Commission
  - B.  2010-05-18 FCIC staff audiotape of interview with Scott Taub, Securities and Exchange Commission
  - C.  2010-06-07 FCIC staff audiotape of interview with James Kroeker, Securities and Exchange Commission (Part 1)
  - D.  2010-06-07 FCIC staff audiotape of interview with James Kroeker, Securities and Exchange Commission (Part 2)
  - E.  2010-09-17 FCIC staff audiotape of interview with Harvey Pitt, Securities and Exchange Commission
  - F.  2010-10-14 FCIC staff audiotape of interview with Richard Breeden, Securities and Exchange Commission
- IV.  OTS
  - A.  2010-04-27 REVIEWED Michael Finn and Alexandra Luk, OTS re GE Capital
  - B.  2010-05-07 FCIC staff audiotape of interview with Alex Luk, Office of Thrift Supervision
  - C.  2010-05-07 FCIC staff audiotape of interview with Brad Waring, Office of Thrift Supervision
  - D.  2010-05-07 FCIC staff audiotape of interview with Joseph Gonzalez, Office of Thrift Supervision
  - E.  2010-06-18 FCIC staff audiotape of interview with Michael Finn, Office of Thrift Supervision
  - F.  2010-07-23 FCIC staff audiotape of interview with Ronald Marcus, Office of Thrift Supervision
  - G.  2010-07-28 FCIC staff audiotape of interview with Edwin Chow, Office of Thrift Supervision
  - H.  2010-07-28 FCIC staff audiotape of interview with Lawrence Carter, Office of Thrift Supervision
  - I.  2010-08-04 FCIC staff audiotape of interview with Darrell Dochow, Office of Thrift Supervision (copy)
- V.  FDIC
  - A.  2010-03-15 FCIC memo of staff interview with Lisa Arquette, Robert Burns, Patricia Colohan, Diane

Ellis, Christopher Spoth, John Thomas, FDIC (copy)

VI.  Goldman Sachs

- A.  2010-05-11 FCIC staff audiotape of interview with Dan Sparks, Goldman Sachs
- B.  2010-06-16 FCIC staff audiotape of interview with David Viniar, Goldman Sachs
- C.  2010-06-16 FCIC staff audiotape of interview with Gary Cohn, Goldman Sachs
- D.  2010-06-16 FCIC staff audiotape of interview with Lloyd Blankfein, Goldman Sachs
- E.  2010-06-17 FCIC staff audiotape of interview with Craig Broderick, Goldman Sachs (Part 1)
- F.  2010-06-17 FCIC staff audiotape of interview with Craig Broderick, Goldman Sachs (Part 2)
- G.  2010-06-17 FCIC staff audiotape of interview with Jonathan Egol, Goldman Sachs (Part 1)
- H.  2010-06-17 FCIC staff audiotape of interview with Jonathan Egol, Goldman Sachs (Part 2)
- I.  2010-06-18 FCIC staff audiotape of interview with Andrew Davilman, Goldman Sachs (Part 1)
- J.  2010-06-18 FCIC staff audiotape of interview with Andrew Davilman, Goldman Sachs (Part 2)
- K.  2010-06-18 FCIC staff audiotape of interview with Michael Sherwood, Goldman Sachs
- L.  2010-07-29 FCIC staff audiotape of interview with David Lehman, Goldman Sachs
- M.  2010-07-29 FCIC staff audiotape of interview with Michael Swenson, Goldman Sachs (Part 1)
- N.  2010-07-29 FCIC staff audiotape of interview with Michael Swenson, Goldman Sachs (Part 2)
















































VII.  Morgan Stanley












- A.  2010-09-03 - Stroebel Interview of Wipf part I - Morgan Stanley Prime Brokerage (copy)
- B.  2010-09-03 - Stroebel Interview of Wipf part II - Morgan Stanley Prime Brokerage (copy)
- C.  2010-10-14 Interview of Tony Peterson Part I (copy)
- D.  2010-10-14 Interview of Tony Peterson Part II (copy)
- E.  2010-10-15 Interview with David Wong (Morgan Stanley) (copy)
- F.  2010-11-02 Interview of John Mack (copy)



























VIII.  Jessica Carey

- A.  2010-03-04 Call with JPMorgan (copy)
- B.  2010-03-20 MFR Warren Spector (copy)
- C.  2010-04-08 MFR Michael Alix (copy)
- D.  2010-04-09 MFR of Interview with Sam Molinaro (copy)
- E.  2010-04-13 MFR of Interview with Robert Upton\_1 (copy)
- F.  2010-04-19 MFR of Interview with Tom Marano (copy)
- G.  2010-04-20 Ira Wagner MFR (copy)
- H.  2010-04-22 MFR of Interview with Steve Meyer (Bear)\_1 (copy)
- I.  2010-04-23 Interview with Lou Lebedin (BS) (copy)
- J.  2010-04-23 MFR of Alan Schwartz (Bear) (copy)
- K.  2010-04-24 Interview with Jimmy Cayne
- L.  2010-04-27 MFR of Interview with Howie Rubin
- M.  2010-04-27 Wendy deMonchaux MFR (copy)
- N.  2010-04-29 Interview with Paul Friedman
- O.  2010-05-03 Scott Eichel Telephone Interview (copy)
- P.  2010-06-16 D Noonan Interview of B Masters (copy)
- Q.  2010-06-16 D Noonan interview of M Feldman (JPM) (copy)
- R.  2010-06-18 V Cunicelli Interview with Lela Wingard and Stephanie Mudick (JPM) (copy)
- S.  2010-08-02 Audio Interview of Barry Zubrow (copy)
- T.  2010-08-17 Audio Interview of Mary Haggerty (copy)
- U.  2010-08-19 Interview with Barry Zubrow (copy)
- V.  2010-09-15 Interview of William Buell (copy)
- W.  2010-09-29 Interview of John Mongolluzzo (copy)



- X.  2010-10-19 Interview with Ralph Cioffi (copy)
- Y.  2010-10-20 FCIC Interview with Jamie Dimon (copy)
- Z.  2010-4-8 John Chrin Interview
- IX.  Deutsche Bank
  - A.  2010-05-20 Interview with Eugene Xu Part I (copy)
  - B.  2010-05-20 Interview with Eugene Xu Part II (copy)
  - C.  2010-05-20 Interview with Greg Lippmann Part I (copy)
  - D.  2010-05-20 Interview with Greg Lippmann Part II (copy)
  - E.  2010-05-20 Interview with Greg Lippmann Part III (copy)
  - F.  2010-08-02 Audio Interview of P McKenna (copy)
  - G.  2010-09-21 KLS Interview with Michael Lamont (copy)
- X.  Wilmer Hale
  - A.  2010-03-15 MFR for State Street (copy)
  - B.  2010-04-01 Interview of Mark Barber GE (copy)
  - C.  2010-04-13 MFR William Schlich EY (copy)
  - D.  2010-04-16 MFR Interview Steve Meier (copy)
  - E.  2010-04-22 Steve Eisman MFR (copy)
  - F.  2010-04-26 MFR of Interview with Kathy Cassidy (GECS) (copy)
  - G.  2010-05-03 MFR with Michael Neal GE (copy)
  - H.  2010-05-27 Interview of Schloessman Blott and Reinhart (copy)
  - I.  2010-07-14 Bondi and Cunicelli Interview of Andrew Plepler (copy)
  - J.  2010-07-15 Interview with Rauzi and Manelski (copy)
  - K.  2010-07-27 MFR of Interview with Bram Smith Meredith Coffey Elliot Ganz (LSTA)
  - L.  2010-09-07 Interview with Mark Kemp (Countrywide) (copy)
  - M.  2010-09-09 Interview with Dow Kim (copy)
  - N.  2010-09-14 Interview with Jeff Kronthal (ML) (copy)
  - O.  2010-09-15 Interview with Chris Ricciardi (ML) Part I (copy)
  - P.  2010-09-15 Interview with Chris Ricciardi (ML) Part II (copy) (for wh)
  - Q.  2010-09-16 Interview with Stanley O'Neal (ML) (copy)
  - R.  2010-09-17 Interview with John Thain (ML) (copy)
  - S.  2010-09-24 Interview with Angelo Mozilo Part 1 of 3 (copy)
  - T.  2010-09-24 Interview with Angelo Mozilo Part 2 of 3 (copy)
  - U.  2010-09-24 Interview with Angelo Mozilo Part 3 of 3 (copy)
  - V.  2010-09-27 Interview with David Sambol - Part 1 of 3 (copy)
  - W.  2010-09-27 Interview with David Sambol - Part 2 of 3 (copy)
  - X.  2010-09-27 Interview with David Sambol Part 3 of 3 (copy)
  - Y.  2010-09-30 Interview of Frank San Pedro (copy)
  - Z.  2010-10-22 Interview with Ken Lewis Part 2 of 2 (copy)
  - AA.  2010-10-22 Interview with Ken Lewis Part 1 of 2 (copy)
- XI.  Citigroup
  - A.  2010-02-02 Memo of Interview with Janice Warne (Citi) (copy)
  - B.  2010-02-02 MFR of Interview with Kevin Murray and Joe Forlenza (Citi) (copy)
  - C.  2010-02-02 MFR of Interview with Matt Bollo (Citi) (copy)
  - D.  2010-02-02 MFR of Interview with Pete Steinmetz (Citi) (copy)
  - E.  2010-02-02 MFR of Interview with William Arnold (Citi) (copy)
  - F.  2010-02-03 MFR of Interview with Dan Hoffman (Citi) (copy)
  - G.  2010-02-03 MFR of Interview with Ed Abufaris and Tony Tenore (Citi) (copy)

- H.  2010-02-03 MFR of Interview with Fred Bolstad (Citi)
- I.  2010-02-03 MFR of Interview with James Ryan and John Schachtel (Citi) (copy)
- J.  2010-02-03 MFR of Interview with Phil Seares (Citi) (copy)
- K.  2010-02-03 MFR of Interview with Susan Mills (Citi) (copy)
- L.  2010-02-25 MFR of Call with Lloyd Brown (Citi) re CRA (copy)
- M.  2010-03-02 MFR of Interview with Murray Barnes (copy)
- N.  2010-03-02 MFR of Interview with Nestor Dominguez (copy)
- O.  2010-03-03 Audio of Interview with Edward J. Kelly III (Citi) (copy)
- P.  2010-03-03 MFR of Interview with Randy Barker (Citi) (copy)
- Q.  2010-03-04 Audio of Interview with Brian R. Leach (Citi) (copy)
- R.  2010-03-10 Transcript of Interview with Tom Maheras (Citi) (copy)
- S.  2010-03-11 MFR of Interview with Vikram Pandit (Citi) (copy)
- T.  2010-03-11 Transcript of Interview with Robert Rubin (Citi) (copy)
- U.  2010-03-16 Audio of Interview with Mickey Bhatia (Citi) (copy)
- V.  2010-03-17 Transcript of Interview with Charles Prince (copy)
- W.  2010-03-18 Audio of Interview with Jeff Perlowitz (Citi) (copy)
- X.  2010-03-18 MFR of Interview with Ellen Duke (Citi) (copy)
- Y.  2010-03-23 Audio of Interview with Amy Manning (Citi) (copy)
- Z.  2010-03-23 Audio of Interview with Mary McDowell (Citi) (copy)
- AA.  2010-03-24 Audio of Interview with John Reed (Citi) (copy)
- AB.  2010-04-01 Transcript of Interview with David Bushnell (Citi) (copy)
- AC.  2010-04-02 MFR of Interview with Michael Raynes (Citi) (copy)
- AD.  2010-08-13 Audio of Interview with Tom Fontana (Citi) (copy)
- AE.  2010-09-14 Audio of Interview of Michael Raynes (Citi) (copy)
- AF.  2010-09-28 Audio of Interview with Nestor Dominguez (Citi) Part I (copy)
- AG.  2010-09-28 Audio of Interview with Nestor Dominguez (Citi) Part II (copy)
- AH.  2010-10-04 Audio of Interview with Sandy Weill (Citi) (copy)
- AI.  2010-11-09 Audio of Interview with David Smith (Citi) (copy)
- XII.  FRBNY
  - A.  2010-03-16 Background interview with FRBNY Lubke (copy)
  - B.  2010-03-25 Interview notes Kevin Coffey (FRBNY) (copy)
  - C.  2010-03-26 Interview notes for Jean-Marie Davis and Brian Peters (FRBNY) (copy)
  - D.  2010-04-20 Memo re Interview with Theo Lubke (FRBNY) (copy)
  - E.  2010-04-23 Bard Stermasi (copy)
  - F.  2010-04-23 Glenn Snajder (copy)
  - G.  2010-04-23 William Hallessy (copy)
  - H.  2010-04-26 Antonio Alvarez (copy)
  - I.  2010-04-26 Dianne Dobeck and Steve Manzari Part I (copy)
  - J.  2010-04-26 Dianne Dobeck and Steve Manzari Part II (copy)
  - K.  2010-04-26 Randolph Brown (copy)
  - L.  2010-04-30 MFR of J Mahoney Interview by D Noonan (copy)
  - M.  2010-04-30 MFR of S Dahlgren interview by D Noonan (copy)
  - N.  2010-08-05 C Seefer Interview with FRBNY (Art Angula, Bill Rutledge, Dianne Dobbeck) (copy)
  - O.  2010-08-11 C Seefer interview with Tom Baxter (copy)
  - P.  2010-10-15 Interview with Bill Dudley, New York Fed president (copy)
- XIII.  Moody's
  - A.  2010-05-04 B Bondi Interview of David Teicher (copy)

- B.  2010-05-07 FCIC Interview of Richard Cantor (copy)
- C.  2010-05-07 FCIC Interview of Richard Cantor Part 2 (copy)
- D.  2010-05-11 B Bondi Interview of Michael Kanef (copy)
- E.  2010-05-11 B Bondi Interview of Nicholas Weill (copy)
- F.  2010-05-13 B Bondi interview of G Harris part 1 (copy)
- G.  2010-05-13 B Bondi interview of G Harris part 2 (copy)
- H.  2010-05-13 B Bondi interview of G Harris part 3 (copy)
- I.  2010-05-13 B Bondi interview of G Harris part 4 (copy)
- J.  2010-05-13 B Bondi interview with William May (Moody's) (copy)
- K.  2010-05-17 B Bondi Interview of Y Yoshizawa part 1 (copy)
- L.  2010-05-17 B Bondi Interview of Y Yoshizawa part 2 (copy)
- M.  2010-05-20 B Bondi interview of Brian Clarkson (copy)
- N.  2010-05-21 B Bondi Interview of Raymond Mcdaniel (copy)
- O.  2010-05-26 R Bubb V Cunicelli interview of Jay Siegel (copy)
- P.  2010-05-26 V Cunicelli and R Bubb interview of Roger Stein (copy)
- Q.  2010-05-27 V Cunicelli B bondi Interview of John Rutherford (copy)
- R.  2010-08-17 FCIC Interview of Mark Zandi (Complete) (copy)
- S.  2010-10-04 - Stroebel Interview of Tabe (copy)
- XIV.  David Hoffner
  - A.  2010-09-17 Interview with John Thain (ML) (copy)
- XV.  Brian Markley
  - A.  2010-05-13 T Borgers interview of Mark Adelson (copy)
  - B.  2010-05-14 T Borgers interview of Henry Alblescu (copy)
  - C.  2010-10-22 Borzekowski Interview of Mark Adelson - RMBS (copy)
- XVI.  Test
- XVII.  Cabinet Inbox

## Old FCIC Documents [non-record]


NAID: 24823592


Extent: 2 data files

This series contain two log files and can be considered non-record material.

File list

# NetDocuments Document Report

 OLDFCIC Docs - 3/1/2011 9:54:09 AM

I.  Cabinet Inbox

## II. Email of Commissioners and Staff

This series contains email of Commissioners and staff. All of this material requires screening before it can be released. As with any email system, there is substantial duplication throughout.

NAID: 24823593

Extent: 236,648 data files

### III. Loose Media

This series contains 743 CDs and DVDs and 57 hard disk drives supplied to the FCIC in response to documents requests. The producing entities include Bank of America, JP Morgan, Federal Reserve Board, Securities and Exchange Commission, Moody's, Standard and Poor's, Vero, Deutsche Bank, Goldman Sachs, AIG, Wells Fargo, Citi, and others. Please note: most of these records are closed because they contain proprietary business information and highly sensitive information about the regulation and supervision of financial institutions.

NAID: 24823594