



NATIONAL ARCHIVES *and* RECORDS ADMINISTRATION
8601 ADELPHI ROAD COLLEGE PARK, MD 20740-6001
www.archives.gov

Sent Via Email. No Hard Copy to Follow.

August 5, 2020

Mr. David Alspach
Department of the Interior
Departmental Records Officer
MS 7145A
1849 C Street, NW
Washington, DC 20240

Dear Mr. Alspach,

The National Archives Records Administration (NARA) was notified of a potential unauthorized disposition of records from the Bureau of Indian Affairs (BIA). The notification stated that, “The Department of the Interior (DOI), Office of the Special Trustee for American Indians (OST), received a report on July 14, 2020 of a potential loss of records, by the Bureau of Indian Affairs (BIA), Branch of Human Services located in Gallup, NM. The incident has subsequently been reported to the BIA Privacy Officer, Mr. Richard Gibbs. The BIA misplaced a file packet containing Personally Identifiable Information (PII) which included an individual’s account holder’s name, date of birth, Social Security number, Tribal number, Individual Indian Monies (IIM) account number, IIM account balance, and other identifying information. The individual was notified of the incident and the file package has been recreated.”

NARA requests that you investigate this potential unauthorized disposition of agency records and submit a report to NARA within 30 calendar days of the date of this letter. Please ensure your report is compliant with the reporting requirements in 36 CFR 1230.14.

Your attention to this matter is greatly appreciated.

Sincerely,

LAURENCE BREWER
Chief Records Officer
for the U.S. Government

CC: Mary Beth Roberts
Office of the Special Trustee for American Indians
Records Officer



Office of the Chief
Records Officer for the
U.S. Government

Sent Via Email. No Hard Copy to Follow.

September 22, 2020

Mr. David Alspach
Department of the Interior
Departmental Records Officer
MS 7145A 1849 C Street, NW
Washington, DC 20240

Dear Mr. Alspach,

The National Archives and Records Administration (NARA) received the Bureau of Indian Affairs (BIA) final report, dated September 2, 2020, regarding the unauthorized disposition of an Individual Indian Monies Major Purchase Request Packet. Your report noted that this packet was misplaced around July 14, 2020 in the Gallup, New Mexico Branch of Human Services for the Bureau of Indian Affairs (BIA).

NARA understands that even though the packet was never found, the contents have since been recreated, and BIA has established and implemented new protocols to prevent further loss of BIA records. Based on the information provided, the reporting requirements of 36 CFR 1230.14(a) have been met. NARA is satisfied with the safeguards established and considers this matter closed. If you have any questions, please contact me at laurence.brewer@nara.gov.

Sincerely,

LAURENCE BREWER
Chief Records Officer

CC: Mary Beth Roberts
Office of the Special Trustee for American Indians
Records Officer