



2024 Senior Agency Official for Records Management Annual Report

The National Archives and Records Administration (NARA) requires Senior Agency Officials for Records Management (SAORM) to provide an annual report demonstrating how agencies are handling important records management initiatives as identified by NARA.

NARA uses the reports to

- determine the overall progress of the federal government in managing its records and the transition away from paper to digital formats
- to identify best practices and model solutions within federal agencies

On December 23, 2022, OMB and NARA issued a memorandum, *Update to Transition to Electronic Records* ([M-23-07](#)) that reinforced the goals in [M-19-21](#) and extended the 2022 deadlines to June 30, 2024. It is critical that Federal agencies move beyond paper-based processes and embrace the opportunities afforded to improve Government by transitioning fully to an electronic environment.

This year's SAORM report provides an opportunity for agencies to report on their progress towards electronic recordkeeping under these requirements, as well as other important records management initiatives.

The reporting period begins on January 13, 2025, and reports are due back to NARA no later than March 14, 2025.

NARA will post your 2024 SAORM report on the [NARA website](#) upon receipt. Please ensure that your agency's report is a publicly releasable version. This action is in the interest of transparency in government and to promote collaboration and communication among agencies.

NARA intends to list any non-responding agencies in a summary report on our website.

Instructions for Reporting:

- This template covers records management program developments, including those related to the transition to electronic recordkeeping as required by M-19-21 and M-23-07.
- Please be brief and precise in your answers. Limit answers to each question to no more than 500 words.
- Please complete the questions/items below and send the report to rmsselfassessment@nara.gov. Include the words “2024 SAORM Annual Report - [Agency Name]” in the subject line of the email.
- If you are responsible for records management in multiple agencies, components, or bureaus, please determine how you will submit reports to NARA. While NARA prefers a comprehensive report, you may submit separate reports for each component.

NARA may follow up with agencies to obtain additional information and/or documentation related to responses provided in the template.

Please provide the following information (required):

- Name of SAORM: *Michael Anthony*
 - Position title: *Chief Information Officer*
- 1. What agencies, bureaus, components, or offices are covered by this report and your position as SAORM, and which will be reporting separately?**
 - *National Transportation Safety Board*
 - 2. Did your agency meet the goal to manage all permanent records in an electronic format to the fullest extent possible for eventual transfer and accessioning by June 30, 2024? (M-19-21, 1.2 and M-23-07, and 1.1)**
 - Yes
 - No
 - Not applicable, all records were already in electronic format

If No, why not? (Include if you already submitted or will still be submitting an exception request and/or details of specific challenges your agency had in meeting the goal.)

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- *The agency has been partnering with NARA on the development of enterprise-wide Records Schedules. These draft Records Schedule are with NARA for formal review and approval. Per our agreement, the agency successfully transferred required records to NARA for accessioning by June 30, 2024. In accordance with our draft Records Schedules, records not transferred will be digitized based on availability of resources.*

3. Did your agency meet the goal to manage and preserve all temporary records in an electronic format by June 30, 2024? (M-19-21, 1.3 and M-23-07, 1.3)

- Yes
 No
 Not applicable, all records were already in electronic format

If No, why not? (Include if you already submitted or will still be submitting an exception request and/or details of specific challenges your agency had in meeting the goal.)

- See response #2

4. Did your agency meet the requirements of M-19-21, 1.3 and M-23-07, 1.3 to close agency-operated records storage facilities by June 30, 2024? (Choose all that apply)

- Yes
 No
 Not applicable, my agency did not have agency-operated records storage facilities
 Not applicable, all records were already in electronic format

If No, why not? (Include if you already submitted or will still be submitting an exception request and/or details of specific challenges your agency had in meeting the goal.)

5. Did your agency meet the requirements of M-19-21, 1.3 and M-23-07, 1.3 to transfer inactive, temporary analog records to NARA Federal Records Centers (FRC) or commercial storage facilities by June 30, 2024? (Choose all that apply)

- Yes, we transferred the records to the FRC
 Yes, we transferred the records to commercial storage facilities
 No
 Not applicable, all records were already in electronic format

If No, why not? (Include if you already submitted or will still be submitting an exception request and/or details of specific challenges your agency had in meeting the goal.)

- See response #2

6. Are you supporting the implementation and closure of any corrective actions that resulted from a finding and recommendation documented in a NARA inspection or assessment of your agency's RM program?

- Yes
 No
 Not applicable, my agency is not under a plan of corrective action

If Yes, please explain how you are supporting the implementation and closure of the corrective actions. If No, why not?

- The agency completed record inventories, office plans, submitted draft enterprise-wide Record Schedules to NARA, and is awaiting formal approvals.*

7. Are you advocating for the agency's records management program and ensuring that it documents the organization's activities and decisions by participating in meetings and working groups dedicated to improving records management in your agency?

- Yes
 No

If Yes, please explain how. If No, why not?

- Meetings are held regularly with the Agency Records Officer and Senior leaders and includes discussions on program requirements/goals/challenges, current activities, training, FOIA activities, resources to support the program. Ad hoc meetings are held when necessary to discuss policy changes, compliance, etc. The Records Management Division has developed a 2025 Capital Planning and Investment Control proposal for the approval and funding of the digitization of physical agency records*

8. Are you ensuring the agency protects records against unauthorized disposition (e.g., destruction, deletion, alteration, removal, or loss) as defined in NARA regulations and guidance?

- Yes
 No

If Yes, please explain how. If No, why not?

- Records are managed in accordance with appropriate NARA records management statutes and regulations and policies and procedures set forth by the agency.*

9. Is there specific policy or guidance you need from NARA to support the strategic direction of your records management program?

Yes

No

Please explain your response and include any comments on existing, pending, and future topics.

- *Guidance would be beneficial concerning the management of electronic records particularly social media (messaging applications, chats, twitter, etc.). Many agencies are lacking funding and/or expertise to fully manage automated electronic records with support from information technology staff. Methods for funding in this area would be useful.*