Request for Records Disposition Authority

Records Schedule Number

DAA-0566-2017-0036

Schedule Status

Approved

Agency or Establishment

Citizenship and Immigration Services

Record Group / Scheduling Group

Records of U.S. Citizenship and Immigration Services

Records Schedule applies to

Agency-wide

Schedule Subject

Certificate of Non-Existence

Internal agency concurrences will

be provided

No

Background Information

A Certificate of Non-Existence (CNE) is an official agency statement regarding the contents of USCIS records. It certifies that no record exists on a particular individual, decision or action based on the identifying information or criteria provided by the requester or encountered as a result of USCIS electronic and physical searches.

The authority to provide a Certificate of Non-Existence of USCIS records is outlined in 8 CFR 103.7(f).

USCIS records are not duplicated in CNEs.

CNEs may include the original request and any documentation prepared by USCIS.

CNEs may exist in physical or electronic formats.

Note: Records that are linked to national security, law enforcement, fraud, litigation holds, administrative investigations or actions, congressional inquiries or similar matters may be extended beyond the applicable retention period in five-year increments.

This schedule supports:

The USCIS initiative to move from paper to electronic records in compliance with the media neutral guidance found in 36 CFR § 1225.24 and the E-Government Act of 2002.

Item Count

Number of Total Disposition Items		Number of Temporary Disposition Items	Number of Withdrawn Disposition Items
1	0	1	0

GAO Approval

Outline of Records Schedule Items for DAA-0566-2017-0036

Sequence Number

1

Certificate of Non-Existence of USCIS records
Disposition Authority Number: DAA-0566-2017-0036-0001

GAO Approval

Records Schedule Items

Records Sche	dule Items				
Sequence Number					
1	Certificate of Non-Existence of USCIS records				
	Disposition Authority Number	DAA-0566-2017-0036-0001			
	A Certificate of Non-Existence (CNE) is an official agency record and applies USCIS records. It certifies that no record exists on a particular individual, dec or action based on the identifying information or criteria provided by the reque or encountered as a result of USCIS electronic and physical searches. CNEs include the original request and any documentation prepared by USCIS.				
	Final Disposition	Temporary			
	Item Status	Active			
	Is this item media neutral?	Yes			
	Do any of the records covered by this item currently exist in electronic format(s) other than email and word processing?	Yes			
	Do any of the records covered by this item exist as structured electronic data?	Yes			
	Disposition Instruction	7 ·			
	Retention Period	Delete/Destroy no sooner than 10 years from the date of record creation.			
	Additional Information				

Not Required

Agency Certification

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal in this schedule are not now needed for the business of the agency or will not be needed after the retention periods specified.

Signatory Information

Date	Action	Ву	Title	Organization
09/28/2017	Certify	Joseph Martinez	Records Officer	US Citizenship and Immigration Services - Policy and Implementation Branch
11/30/2017	Return for Revisio	Ashby Crowder	Archives Specialist	National Archives and Records Administration - ACRA
01/24/2018	Submit For Certific ation	Joseph Martinez	Records Officer	US Citizenship and Immigration Services - Policy and Implementation Branch
01/24/2018	Certify	Joseph Martinez	Records Officer	US Citizenship and Immigration Services - Policy and Implementation Branch
01/25/2018	Return for Revisio	Ashby Crowder	Archives Specialist	National Archives and Records Administration - ACRA
01/31/2018	Submit For Certific ation	Joseph Martinez	Records Officer	US Citizenship and Immigration Services - Policy and Implementation Branch
01/31/2018	Certify	Joseph Martinez	Records Officer	US Citizenship and Immigration Services - Policy and Implementation Branch
04/30/2018	Submit for Concur rence	Ashby Crowder	Archives Specialist	National Archives and Records Administration - ACRA
05/01/2018	Concur	Rachel BanTonkin	Supervisory Archive s Specialist	National Archives and Records Administration - ACR1

05/07/2018	Concur	Margaret Hawkins	es	National Records Management Program - ACNR Records Management Services
05/08/2018	Approve	David Ferriero	Archivist of the Unite d States	Office of the Archivist - Office of the Archivist