3. Agency Component Abbreviations

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| --- | --- |
| Component Abbreviation | Component Name |
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| **IV. Exemption 3 Statutes** |

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| --- | --- | --- | --- | --- | --- |
| Statute | Type of Information Withheld | Case Citation | Agency / Component | Number of Times Relied upon by Agency / Component | Total Number of Times Relied upon by Agency Overall |
| 5 U.S.C. § 574(j) | Dispute-resolution communications between a party and a neutral | None | NARA | 1 | 1 |
|  |  |  |  |
| 10 U.S.C. 424 | Organization or any function of, and certain information pertaining to, employees of the Defense Intelligence Agency, the National Reconnaissance Office, and the National Geospatial-Intelligence Agency | Physicians for Human Rights v. DOD, No. RDB-08-273, 2011 WL 1495942 at \*7 (D.D.C. Apr. 19, 2011); Miller v. DOJ, 562 F. Supp. 2d 82, 112 (D.D.C. 2008); Wickwire Gavin, P.C. v. Def. Intelligence Agency, 330 F. Supp. 2d 592, 602 (E.D. Va. 2004). | NARA | 11 | 11 |
|  |  |  |  |
| 10 U.S.C. §§ 1506(d), (f) | P.O.W. Debriefs | None | NARA | 3 | 3 |
|  |  |  |  |
| 18 U.S.C. § 798(a) | Certain classified information pertaining to the communication, intelligence, and cryptographic devices of the United States or any foreign government | Larson v. Dep't of State, 565 F.3d 857, 868-69 (D.C. Cir. 2009); N.Y. Times Co. v. DOD, 499 F. Supp. 2d 501, 512 (S.D.N.Y. 2007); Adejumobi v. NSA, No. 07-1237, 2007 WL 4247878, at \*3 (M.D. Fla. Dec. 3, 2007) aff'd per curiam, 287 F. App'x 770 (11th Cir. 2008). | NARA | 1 | 1 |
|  |  |  |  |
| 18 U.S.C. §§ 2510-2520 | Wiretap requests and the contents of any wire, oral, or electronic communication obtained through wiretaps | Mendoza v. DEA, No. 07-5006, 2007 U.S. App. LEXIS 22175 (D.C. Cir. Sept. 14, 2007) (per curiam); Lam Lek Chong v. DEA, 929 F.2d 729, 733 (D.C. Cir. 1991); Payne v. DOJ, No. 96-30840, slip op. at 5-6 (5th Cir. July 11, 1997). | NARA | 1 | 1 |
|  |  |  |  |
| 22 U.S.C. § 2778(e) | Certain information pertaining to export license applications | Council for a Livable World v. U.S. Dep't of State, No. 96-1807, slip op. at 11 (D.D.C. Jan. 21, 1998), amended (D.D.C. Nov. 23, 1998) | NARA | 1 | 1 |
|  |  |  |  |
| 26 U.S.C. §§ 6103, 6105 | Certain tax return information and certain tax convention information | Church of Scientology v. IRS, 484 U.S. 9, 15 (1987)(26 U.S.C. 6103); Pac. Fisheries, Inc. v. IRS, 395 F. App'x, 438, 440 (9th Cir. 2010)(unpublished disposition)(26 U.S.C. 6103, 6105); Tax Analysts v. IRS, 217 F. Supp. 2d 23, 27-29 (D.D.C. 2002)(26 U.S.C. 6105) | NARA | 4 | 4 |
|  |  |  |  |
| 41 U.S.C. 253(b)(m)(1) | Contractor proposals that are in the possession or control of an executive agency and that have not been set forth or incorporated by reference into contracts | Margolin v. NASA, No. 09-CV-00421-LRH-VPC, 2011 WL 1303221, at 6\* (D. Nev. March 31, 2011); Hornbostel v. US Dep’t of the Interior, 305 F. Supp. 2d 21, 30 (D.D.C. 203) | NARA | 1 | 1 |
|  |  |  |  |
| 41 U.S.C. 423(a)(1) Procurement Integrity Act | Contractor bid or proposal information; source selection information | Legal & Safety Employer Research, Inc. v. U.S. Dep't of the Army, No. Civ. S001748, 2001 WL 34098652, at \*3-4 (E.D. Cal. May 4, 2001)(dictum) | NARA | 1 | 1 |
|  |  |  |  |
| 42 U.S.C. § 2162 | Restricted Data pertaining to atomic weapons and special nuclear material | Meeropol v. Smith, No. 75-1121, slip. At 53-55 (D.D.C. Feb. 29, 1984) aff'd in relevant part & remanded in part on other grounds sub nom, Meeropol v. Meese, 790 F.2d 942 (D.C. Cir. 1986) | NARA | 3 | 3 |
|  |  |  |  |
| 42 U.S.C. § 2168(a)(1)(c)(FRD) | Restricted and formerly restricted data concerning atomic energy |  | NARA | 4 | 4 |
|  |  |  |  |
| 50 U.S.C. § 402 note | National Security Agency functions and information | Houghton v. NSA, No. 09-4440, 2010 WL 1784058, at \*3 (3d Cir. May 5, 2010)(per curiam); Larson v. Dep't of State, 565 F. 3d 857, 868-69 (D.C. Cir. 2009); Founding Church of Scientology v. NSA, 610 F.2d 824, 827-28 (D.C. Cir. 1979); Roman v. NSA, No. 07-CV-4502, 2009 WL 303686, at \*5-6 (E.D.N.Y. Feb 9, 2009), summary affirmance granted, 354 F. App'x 591 (2d Cir. 2009). | NARA | 6 | 6 |
|  |  |  |  |
| 50 U.S.C. § 403-1(i)(1) National Security Act of 1947 | Intelligence sources and methods | CIA v. Sims, 471 U.S. 159, 167 (1985); Berman v. CIA, 501 F. 3d 1136, 1137-38, 1140 (9th Cir. 2007); Wolf v. CIA, 473 F. 3d 370, 378, 380 (D.C. Cir. 2007). | NARA | 30 | 30 |
|  |  |  |  |
| 50 U.S.C. § 403(g) | Intelligence sources and methods; certain information pertaining to Agency employees, specifically: "the organization, names, official titles, salaries, or numbers of personnel employed by the Agency" | Larson v. Dep't of State, 565 F.3d 857, 865 n.2 (D.C. Cir. 2009); Berman v. CIA, 501 F.3d 1136, 1137-38, 1140 (9th Cir. 2007); Makky v. Chertoff, 489 F. Supp. 2d 421, 442 (D.N.J. 2007), aff'd on other grounds, 541 F. 3d 205 (3d Cir. 2008). | NARA | 139 | 139 |
|  |  |  |  |
| 50 U.S.C. § 421 | Identities of U.S. undercover intelligence officers, agents, informants, and sources | None | NARA | 2 | 2 |
|  |  |  |  |
| Fed. R. Crim. P 6(e), enacted by Act of July 30, 1977, Pub. L. No. 95-78, 91 Stat. 319 | Certain records pertaining to grand jury proceedings | Sussman v. USMS, 494 F. 3d 1106, 1113 (D.C. Cir. 2007); Fund for Constitutional Gov't v. Nat'l Archives & Records Serv., 656 F. 2d 856, 867-68 (D.C. Cir. 1981); Durham v. U.S. Atty. Gen., No. 06-843, 2008 WL 620744, at \*2 (E.D. Tex. Mar. 3, 2008); Cozen O'Connor v. U.S. Dep't of Treasury, 570 F. Supp. 2d 749, 776 (E.D. Pa. 2008). | NARA | 29 | 29 |
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**V.A. FOIA REQUESTS -- RECEIVED, PROCESSED AND PENDING FOIA REQUESTS**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Agency / Component | Number of Requests Pending as of Start of Fiscal Year | Number of Requests Received in Fiscal Year | Number of Requests Processed in Fiscal Year | Number of Requests Pending as of End of Fiscal Year |
| NARA | 7,610 | 12,243 | 13,253 | 6,600 |
|  |  |  |  | 0 |
| AGENCY OVERALL | 7,610 | 12,243 | 13,253 | 6,600 |

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**V.B.(1). DISPOSITION OF FOIA REQUESTS -- ALL PROCESSED REQUESTS**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | Number of Full Grants | Number of Partial Grants / Partial Denials | Number of Full Denials Based on Exemptions | Number of Full Denials Based on Reasons Other than Exemptions | | | | | | | | |  |
| No Records | All Records Referred to Another Component or Agency | Request Withdrawn | Fee-Related Reason | Records not Reasonably Described | Improper FOIA Request for Other Reason | Not Agency Record | Duplicate Request | Other \*Explain in Chart Below | TOTAL |
| NARA | 835 | 598 | 43 | 10,338 | 210 | 55 | 3 | 37 | 94 | 47 | 96 | 897 | 13,253 |
|  |  |  |  |  |  |  |  |  |  |  |  |  | 0 |
| AGENCY OVERALL | 835 | 598 | 43 | 10,338 | 210 | 55 | 3 | 37 | 94 | 47 | 96 | 897 | 13,253 |

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**V.B.(2). DISPOSITION OF FOIA REQUESTS -- "OTHER" REASONS FOR "FULL DENIALS BASED ON REASONS OTHER THAN EXEMPTIONS"**

|  |  |  |  |
| --- | --- | --- | --- |
| Agency / Component | Description of "Other" Reasons for Denials from Chart B(1) | Number of Times "Other" Reason Was Relied Upon | TOTAL |
| NARA | Presidential Records Act | 4 | 897 |
| Requests illegible or other instances not properly covered by procedural denials a - h | 893 |
| AGENCY OVERALL |  |  | 897 |
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**V.B.(3). DISPOSITION OF FOIA REQUESTS -- NUMBER OF TIMES EXEMPTIONS APPLIED**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | Ex. 1 | Ex. 2 | Ex. 3 | Ex. 4 | Ex. 5 | Ex. 6 | Ex. 7(A) | Ex. 7(B) | Ex. 7(C) | Ex. 7(D) | Ex. 7(E) | Ex. 7(F) | Ex. 8 | Ex. 9 |
| NARA | 281 | 32 | 237 | 19 | 30 | 265 | 4 | 0 | 118 | 129 | 29 | 2 | 1 | 1 |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| AGENCY OVERALL | 281 | 32 | 237 | 19 | 30 | 265 | 4 | 0 | 118 | 129 | 29 | 2 | 1 | 1 |

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**VI.A. ADMINISTRATIVE APPEALS OF INITIAL DETERMINATIONS OF FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING ADMINISTRATIVE APPEALS**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Agency / Component | Number of Appeals Pending as of Start of Fiscal Year | Number of Appeals Received in Fiscal Year | Number of Appeals Processed in Fiscal Year | Number of Appeals Pending as of End of Fiscal Year |
| NARA | 7 | 70 | 63 | 14 |
|  |  |  |  | 0 |
| AGENCY OVERALL | 7 | 70 | 63 | 14 |

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**VI.B. DISPOSITION OF ADMINISTRATIVE APPEALS -- ALL PROCESSED APPEALS**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Agency / Component | Number Affirmed on Appeal | Number Partially Affirmed & Partially Reversed/Remanded on Appeal | Number Completely Reversed/Remanded on Appeal | Number of Appeals Closed for Other Reasons | TOTAL |
| NARA | 39 | 13 | 5 | 6 | 63 |
|  |  |  |  |  | 0 |
| AGENCY OVERALL | 39 | 13 | 5 | 6 | 63 |

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**VI.C.(1). REASONS FOR DENIAL ON APPEAL -- NUMBER OF TIMES EXEMPTIONS APPLIED**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | Ex. 1 | Ex. 2 | Ex. 3 | Ex. 4 | Ex. 5 | Ex. 6 | Ex. 7(A) | Ex. 7(B) | Ex. 7(C) | Ex. 7(D) | Ex. 7(E) | Ex. 7(F) | Ex. 8 | Ex. 9 |
| NARA | 2 | 0 | 4 | 1 | 3 | 12 | 0 | 0 | 7 | 10 | 4 | 0 | 0 | 0 |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| AGENCY OVERALL | 2 | 0 | 4 | 1 | 3 | 12 | 0 | 0 | 7 | 10 | 4 | 0 | 0 | 0 |

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**VI.C.(2). REASONS FOR DENIAL ON APPEAL -- REASONS OTHER THAN EXEMPTIONS**

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | No Records | Records Referred at Initial Request Level | Request Withdrawn | Fee-Related Reason | Records not Reasonably Described | Improper Request for Other Reasons | Not Agency Record | Duplicate Request or Appeal | Request in Litigation | Appeal Based Solely on Denial of Request for Expedited Processing | Other \*Explain in chart below |
| NARA | 7 | 1 | 1 | 6 | 2 | 4 | 4 | 0 | 2 | 0 | 8 |
|  |  |  |  |  |  |  |  |  |  |  |  |
| AGENCY OVERALL | 7 | 1 | 1 | 6 | 2 | 4 | 4 | 0 | 2 | 0 | 8 |

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**VI.C.(3). REASONS FOR DENIAL ON APPEAL -- "OTHER" REASONS**

|  |  |  |  |
| --- | --- | --- | --- |
| Agency / Component | Description of "Other" Reasons for Denial on Appeal from Chart C(2) | Number of Times "Other" Reason Was Relied Upon | TOTAL |
| NARA | Upheld reasonable search | 4 | 8 |
| Presidential Records Act | 1 |
| Upheld reasearcher's complex queue assignment | 1 |
| NARA does not have legal custody of the records | 1 |
| Moot due to NARA responding to request while under appeal | 1 |
| AGENCY OVERALL |  |  | 8 |
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**VI.C.(4). RESPONSE TIME FOR ADMINISTRATIVE APPEALS**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Agency / Component | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days |
|  |  |  |  |  |
|  |  |  |  |  |
| AGENCY OVERALL | 18.00 | 39.00 | 4.00 | 592.00 |

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**VI.C.(5). TEN OLDEST PENDING ADMINISTRATIVE APPEALS**

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component |  | 10th Oldest Appeal | 9th | 8th | 7th | 6th | 5th | 4th | 3rd | 2nd | Oldest Appeal |
|  | Date of Appeal |  |  |  |  |  |  |  |  |  |  |
| Number of Days Pending |  |  |  |  |  |  |  |  |  |  |
| AGENCY OVERALL | Date of Appeal | 2013-09-09 | 2013-08-23 | 2013-08-16 | 2013-07-23 | 2013-07-12 | 2013-06-24 | 2013-06-24 | 2012-10-26 | 2012-06-11 | 2012-03-13 |
| Number of Days Pending | 15 | 25 | 30 | 38 | 45 | 68 | 68 | 232 | 328 | 391 |

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**VII.A. FOIA REQUESTS -- RESPONSE TIME FOR ALL PROCESSED PERFECTED REQUESTS**

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | SIMPLE | | | | COMPLEX | | | | EXPEDITED PROCESSING | | | |
| Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days |
|  |  |  |  |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  |  |
| AGENCY OVERALL | 6 | 42.2 | <1 | 3994 | 290 | 718 | <1 | 6136 | 6 | 7.5 | 1 | 22 |

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| *At this time, NARA can only provide data for expedited processing of FOIA requests for our operational records. NARA's data system, Performance Measurement and Reporting System, is not programmed to calculate the processing times of FOIA requests for accessioned or Presidential records seeking expedited processing.* |
| *We track and respond to these expedited requests but cannot follow them through the life cycle to completion.* |
|  |

**VII.B. PROCESSED REQUESTS -- RESPONSE TIME FOR PERFECTED REQUESTS IN WHICH INFORMATION WAS GRANTED**

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | SIMPLE | | | | COMPLEX | | | | EXPEDITED PROCESSING | | | |
| Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days |
|  |  |  |  |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  |  |
| AGENCY OVERALL | 16 | 334.7 | <1 | 2696 | 297 | 686 | <1 | 5210 | 5 | 10.67 | 5 | 22 |

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| *At this time, NARA can only provide data for expedited processing of FOIA requests for our operational records. NARA's data system, Performance Measurement and Reporting System, is not programmed to calculate the processing times of FOIA requests for accessioned or Presidential records seeking expedited processing.* |
| *We track and respond to these expedited requests but cannot follow them through the life cycle to completion.* |
|  |

**VII.C. PROCESSED SIMPLE REQUESTS -- RESPONSE TIME IN DAY INCREMENTS**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | <1-20 Days | 21-40 Days | 41-60 Days | 61-80 Days | 81-100 Days | 101-120 Days | 121-140 Days | 141-160 Days | 161-180 Days | 181-200 Days | 201-300 Days | 301-400 Days | 401+ Days | TOTAL |
| NARA | 9,480 | 616 | 341 | 197 | 77 | 42 | 31 | 13 | 6 | 9 | 49 | 38 | 277 | 11,176 |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  | 0 |
| AGENCY OVERALL | 9,480 | 616 | 341 | 197 | 77 | 42 | 31 | 13 | 6 | 9 | 49 | 38 | 277 | 11,176 |

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**VII.C. PROCESSED COMPLEX REQUESTS -- RESPONSE TIME IN DAY INCREMENTS**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | <1-20 Days | 21-40 Days | 41-60 Days | 61-80 Days | 81-100 Days | 101-120 Days | 121-140 Days | 141-160 Days | 161-180 Days | 181-200 Days | 201-300 Days | 301-400 Days | 401+ Days | TOTAL |
| NARA | 541 | 125 | 37 | 26 | 31 | 28 | 32 | 24 | 25 | 18 | 78 | 61 | 1,066 | 2,092 |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  | 0 |
| AGENCY OVERALL | 541 | 125 | 37 | 26 | 31 | 28 | 32 | 24 | 25 | 18 | 78 | 61 | 1,066 | 2,092 |

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**VII.C. PROCESSED REQUESTS GRANTED EXPEDITED PROCESSING -- RESPONSE TIME IN DAY INCREMENTS**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | <1-20 Days | 21-40 Days | 41-60 Days | 61-80 Days | 81-100 Days | 101-120 Days | 121-140 Days | 141-160 Days | 161-180 Days | 181-200 Days | 201-300 Days | 301-400 Days | 401+ Days | TOTAL |
| NARA | 7 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 8 |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  | 0 |
| AGENCY OVERALL | 7 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 8 |

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| *At this time, NARA can only provide data for expedited processing of FOIA requests for our operational records. NARA's data system, Performance Measurement and Reporting System, is not programmed to calculate the processing times of FOIA requests for accessioned or Presidential records seeking expedited processing.* |
| *We track and respond to these expedited requests but cannot follow them through the life cycle to completion.* |
|  |

**VII.D. PENDING REQUESTS -- ALL PENDING PERFECTED REQUESTS**

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | SIMPLE | | | COMPLEX | | | EXPEDITED PROCESSING | | |
| Number Pending | Median Number of Days | Average Number of Days | Number Pending | Median Number of Days | Average Number of Days | Number Pending | Median Number of Days | Average Number of Days |
|  |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |
| AGENCY OVERALL | 778 | 447.5 | 773.84 | 2962 | 931.5 | 1303.7 | 1 | 9 | 9 |

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|  |
| *At this time, NARA can only provide data for expedited processing of FOIA requests for our operational records. NARA's data system, Performance Measurement and Reporting System, is not programmed to calculate the processing times of FOIA requests for accessioned or Presidential records seeking expedited processing.* |
| *We track and respond to these expedited requests but cannot follow them through the life cycle to completion.* |
|  |

**VII.E. PENDING REQUESTS -- TEN OLDEST PENDING PERFECTED REQUESTS**

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component |  | 10th Oldest Request | 9th | 8th | 7th | 6th | 5th | 4th | 3rd | 2nd | Oldest Request |
|  | Date of Receipt |  |  |  |  |  |  |  |  |  |  |
| Number of Days Pending |  |  |  |  |  |  |  |  |  |  |
| AGENCY OVERALL | Date of Receipt | 1994-06-21 | 1994-06-17 | 1994-03-10 | 1994-01-04 | 1993-10-01 | 1993-10-01 | 1993-10-01 | 1993-10-01 | 1993-09-09 | 1993-08-31 |
| Number of Days Pending | 4837 | 4839 | 4909 | 4954 | 5016 | 5016 | 5016 | 5016 | 5032 | 5038 |

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**VIII.A. REQUESTS FOR EXPEDITED PROCESSING**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Agency / Component | Number Granted | Number Denied | Median Number of Days to Adjudicate | Average Number of Days to Adjudicate | Number Adjudicated Within Ten Calendar Days |
|  |  |  |  |  |  |
|  |  |  |  |  |  |
| AGENCY OVERALL | 8 | 4 | 1 | 3 | 10 |

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**VIII.B. Requests for Fee Waiver**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Agency / Component | Number Granted | Number Denied | Median Number of Days to Adjudicate | Average Number of Days to Adjudicate |
|  |  |  |  |  |
|  |  |  |  |  |
| AGENCY OVERALL | 12 | 23 | 3 | 7 |

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| **IX. FOIA Personnel and Costs** |

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | PERSONNEL | | | COSTS | | |
| Number of "Full-Time FOIA Employees" | Number of "Equivalent Full-Time FOIA Employees" | Total Number of "Full-Time FOIA Staff" | Processing Costs | Litigation-Related Costs | Total Costs |
| NARA | 9 | 26 | 35 | $3,229,555.00 | $0.00 | $3,229,555.00 |
|  |  |  | 0 |  |  | $0.00 |
| AGENCY OVERALL | 9 | 26 | 35 | $3,229,555.00 | $0.00 | $3,229,555.00 |

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| **X. Fees Collected for Processing Requests** |

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| --- | --- | --- |
| Agency / Component | Total Amount of Fees Collected | Percentage of Total Costs |
| NARA | $365.40 | 0.01% |
|  |  |  |
| AGENCY OVERALL | $365.40 | 0.01% |

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| **XII.A. Backlogs of FOIA Requests and Administrative Appeals** |

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| --- | --- | --- |
| Agency / Component | Number of Backlogged Requests as of End of Fiscal Year | Number of Backlogged Appeals as of End of Fiscal Year |
| NARA | 6,600 | 9 |
|  |  |  |
| AGENCY OVERALL | 6,600 | 9 |

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**XII.B. CONSULTATIONS ON FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING CONSULTATIONS**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Agency / Component | Number of Consultations Received from Other Agencies that were Pending at the Agency as of Start of the Fiscal Year | Number of Consultations Received from Other Agencies During the Fiscal Year | Number of Consultations Received from Other Agencies that were Processed by the Agency During the Fiscal Year | Number of Consultations Received from Other Agencies that were Pending at the Agency as of End of the Fiscal Year |
| NARA | 0 | 2 | 2 | 0 |
|  |  |  |  | 0 |
| AGENCY OVERALL | 0 | 2 | 2 | 0 |

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**XII.C. CONSULTATIONS ON FOIA REQUESTS -- TEN OLDEST CONSULTATIONS RECEIVED FROM OTHER AGENCIES AND PENDING AT THE AGENCY**

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component |  | 10th Oldest Consultation | 9th | 8th | 7th | 6th | 5th | 4th | 3rd | 2nd | Oldest Consultation |
|  | Date |  |  |  |  |  |  |  |  |  |  |
| Number of Days |  |  |  |  |  |  |  |  |  |  |
| AGENCY OVERALL | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

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**XII.D.(1). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- REQUESTS RECEIVED AND PROCESSED**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Agency / Component | NUMBER OF REQUESTS RECEIVED | | NUMBER OF REQUESTS PROCESSED | |
| Number Received During Fiscal Year from Last Year's Annual Report | Number Received During Fiscal Year from Current Annual Report | Number Processed During Fiscal Year from Last Year's Annual Report | Number Processed During Fiscal Year from Current Annual Report |
| NARA | 13,345 | 12,243 | 13,746 | 13,253 |
|  |  |  |  |  |
| AGENCY OVERALL | 13,345 | 12,243 | 13,746 | 13,253 |

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**XII.D.(2). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED REQUESTS**

|  |  |  |
| --- | --- | --- |
| Agency / Component | Number of Backlogged Requests as of End of the Fiscal Year from Previous Annual Report | Number of Backlogged Requests as of End of the Fiscal Year from Current Annual Report |
| NARA | 7,610 | 6,600 |
|  |  |  |
| AGENCY OVERALL | 7,610 | 6,600 |

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**XII.E.(1). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- APPEALS RECEIVED AND PROCESSED**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Agency / Component | NUMBER OF APPEALS RECEIVED | | NUMBER OF APPEALS PROCESSED | |
| Number Received During Fiscal Year from Last Year's Annual Report | Number Received During Fiscal Year from Current Annual Report | Number Processed During Fiscal Year from Last Year's Annual Report | Number Processed During Fiscal Year from Current Annual Report |
| NARA | 61 | 70 | 60 | 63 |
|  |  |  |  |  |
| AGENCY OVERALL | 61 | 70 | 60 | 63 |

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**XII.E.(2). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED APPEALS**

|  |  |  |
| --- | --- | --- |
| Agency / Component | Number of Backlogged Appeals as of End of the Fiscal Year from Previous Annual Report | Number of Backlogged Appeals as of End of the Fiscal Year from Current Annual Report |
| NARA | 5 | 9 |
|  |  |  |
| AGENCY OVERALL | 5 | 9 |

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